

*Collected Works of*  
**Arthur Schopenhauer**

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*The Collected Works of*  
**ARTHUR SCHOPENHAUER**

(1788-1860)



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A handwritten signature in cursive script, reading 'Arthur Schopenhauer'. The signature is elegant and fluid, with a long, sweeping underline that extends to the right.

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Version 1

*The Collected Works of*  
**ARTHUR SCHOPENHAUER**



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## *Collected Works of Arthur Schopenhauer*

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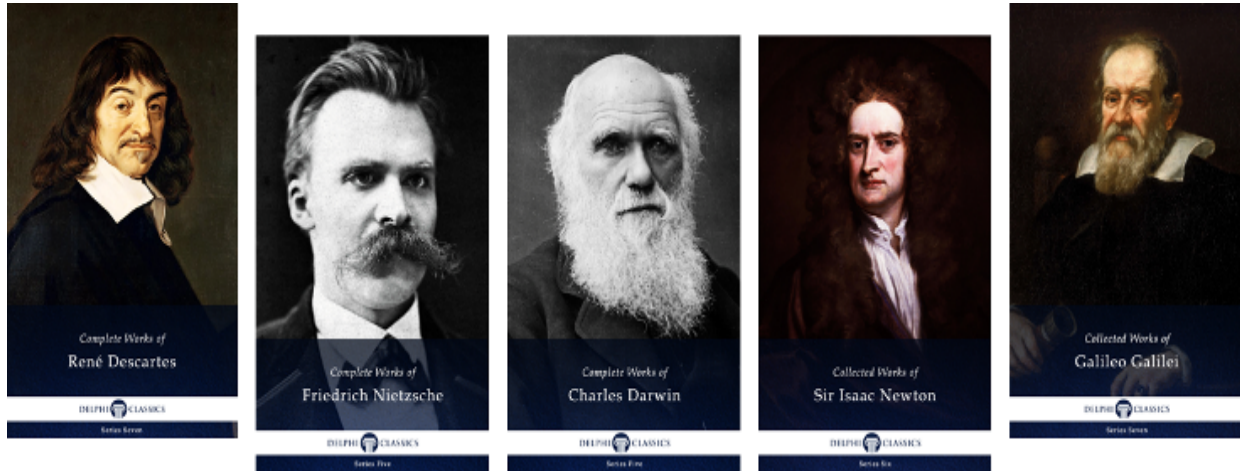
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# The Books



*Gdańsk, Poland, formerly the city of Danzig, part of the Polish–Lithuanian Commonwealth —  
Schopenhauer's birthplace*



*Schopenhauer's birthplace house, ul. Św. Ducha*





*Friedrich Eduard Meyerheim's painting of the waterfront of Danzig in 1850*



*Schopenhauer as a young man*



# ON THE FOURFOLD ROOT OF THE PRINCIPLE OF SUFFICIENT REASON



*Translated by Mme. Karl Hillebrand*

*On the Fourfold Root of the Principle of Sufficient Reason* is Schopenhauer's doctoral dissertation, which he wrote in 1813, providing an elaboration on the classical Principle of Sufficient Reason. This theme is a powerful and controversial philosophical principle stipulating that everything must have a reason or cause. Schopenhauer revised and re-published his dissertation in 1847 and it would serve as the centrepiece for many of his later arguments in his major works.

The essay was composed shortly after the events of January 1813, following the disastrous defeat of Napoleon's Grande Armée. As survivors were arriving in Berlin, the sick and wounded quickly filled up the hospitals and the risk of an epidemic grew high. A patriotic, militaristic spirit stirred the city and most of the populace, philosophers and students included, hoped that the French yoke could be thrown off. All this rapidly became intolerable to Schopenhauer, who ultimately fled the city, retreating to an inn in the small town of Rudolstadt near Weimar. It was here, from June to November of that year, that he wrote *On the Fourfold Root of the Principle of Sufficient Reason*.

After submitting the dissertation he was awarded a PhD from the University of Jena in absentia and private publication soon followed. Scarcely more than one hundred copies were sold; the rest were remaindered and, a few years later, pulped. A copy was sent to Goethe who responded by inviting the author to his home on a regular basis, ostensibly to discuss philosophy, but in reality to recruit the young philosopher into work on his *Theory of Colours*.

Schopenhauer's epistemology, by direct admission, opens with Immanuel Kant's theory of knowledge. Schopenhauer proclaims himself a Kantian, who has appropriated his predecessor's most powerful accomplishment in epistemology, and who then claims to have merely extended and completed what Kant misapplied or had left undone.

Schopenhauer argues that Kant's chief merit lies in his distinction between the thing in itself and the phenomenal world in which it appears, i.e., the world as we represent it to ourselves. What is crucial is the realisation that what makes human experience universally possible to begin with without exception is the perceiving mind. The intellect synthesises perceptions from raw sensations to consequently abstract modified concepts built upon formed perceptions. Schopenhauer appropriates Kant's forms of sensibility (space, time, and causality) and expands them into what he calls the 'understanding'.

Thus, our understanding does not exist independent of our ability to perceive and determine relationships anchored in experience itself. Not only what we think in the abstract, but also our very perceptions are completely intellectual and subjectively determined via extraction, new formation and modified formulation.

Schopenhauer's central proposition is the main idea of his entire philosophy — "the world is my representation." The rest of his work undergoes an elaborate analysis and explanation of this sentence, which begins with his Kantian epistemology, elaborated further in his explanation of the principle of sufficient reason. This is responsible for providing adequate explanations for any 'thing,' or object that occurs in relation to a subject of knowing; of any representation possible there is always a possible question of 'why?' that one can address to it. It amounts to what Schopenhauer has done, in his view, to extend and complete what Kant began in his *Critique of Pure Reason*.



*The German philosopher Immanuel Kant (1724-1804) is considered a central figure in modern philosophy. Kant argued that the human mind creates the structure of human experience, that reason is the source of morality, that aesthetics arises from a faculty of disinterested judgment. Kant would have a major influence on the early work of Schopenhauer.*

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## TRANSLATOR'S PREFACE.

In venturing to lay the present translation<sup>1</sup> before the public, I am aware of the great difficulties of my task, and indeed can hardly hope to do justice to the Author. In fact, had it not been for the considerations I am about to state, I might probably never have published what had originally been undertaken in order to acquire a clearer comprehension of these essays, rather than with a view to publicity.

The two treatises which form the contents of the present volume have so much importance for a profound and correct knowledge of Schopenhauer's philosophy, that it may even be doubted whether the translation of his chief work, "Die Welt als Wille und Vorstellung," can contribute much towards the appreciation of his system without the help at least of the "Vierfache Wurzel des Satzes vom zureichenden Grunde." Schopenhauer himself repeatedly and urgently insists upon a previous thorough knowledge of Kant's philosophy, as the basis, and of his own "Fourfold Root," as the key, to his own system, asserting that knowledge to be the indispensable condition for a right comprehension of his meaning. So far as I am aware, neither the "Fourfold Root" nor the "Will in Nature" have as yet found a translator; therefore, considering the dawning interest which has begun to make itself felt for Schopenhauer's philosophy in England and in America, and the fact that no more competent scholar has come forward to do the work, it may not seem presumptuous to suppose that this version may be acceptable to those who wish to acquire a more than superficial knowledge of this remarkable thinker, yet whose acquaintance with German does not permit them to read his works in the original.

Now although some portions of both the Essays published in the present volume have of course become antiquated, owing to the subsequent development of the empirical sciences, while others — such as, for instance, Schopenhauer's denunciation of plagiarism in the cases of Brandis and Rosas in the beginning of Physiology and Pathology<sup>2</sup> — can have no interest for the reader of the present day, I have nevertheless given them just as he left them and refrained from all suppression or alteration. And if, on the whole, the "Will in Nature" may be less indispensable for a right understanding of our philosopher's views than the "Fourfold Root," being merely a record of the confirmations which had been contributed during his



lifetime by the various branches of Natural Science to his doctrine, that *the thing in itself is the will*, the Second Essay has nevertheless in its own way quite as much importance as the First, and is, in a sense, its complement. For they both throw light on Schopenhauer's view of the Universe in its double aspect as Will and as Representation, each being as it were *a résumé* of the exposition of one of those aspects. My plea for uniting them in one volume, in spite of the difference of their contents and the wide lapse of time (seventeen years) which lies between them, must be, that they complete each other, and that their great weight and intrinsic value seem to point them out as peculiarly fitted to be introduced to the English thinker.

In endeavouring to convey the Author's thoughts as he expresses them, I have necessarily encountered many and great difficulties. His meaning, though always clearly expressed, is not always easy to seize, even for his countrymen; as a foreigner, therefore, I may often have failed to grasp, let alone adequately to render, that meaning. In this case besides, the responsibility for any want of perspicuity cannot be shifted by the translator on to the Author; since the consummate perfection of Schopenhauer's prose is universally recognised, even by those who reject, or at least who do not share, his views. An eminent German writer of our time has not hesitated to rank him immediately after Lessing and Göthe as the third greatest German prose-writer, and only quite recently a German professor, in a speech delivered with the intent of demolishing Schopenhauer's philosophy, was reluctantly obliged to admit that his works would remain on account of their literary value. Göthe himself expressed admiration for the clearness of exposition in Schopenhauer's chief work and for the beauty of his style.

The chief obstacle I have encountered in translating these Essays, did not therefore consist in the obscurity of the Author's style, nor even in the difficulty of finding appropriate terms wherewith to convey his meaning; although at times certainly the want of complete precision in our philosophical terminology made itself keenly felt and the selection was often far from easy: it lay rather in the great difference in the way of thinking and of expressing their thoughts which lies between the two nations. The regions of German and English thought are indeed separated by a gulf, which at first seems impassable, yet which must be bridged over by some means or other, if a right comprehension is to be achieved. The German writer loves to develop synthetically a single thought in a long period consisting of various members; he proceeds steadily to unravel the

seemingly tangled skein, while he keeps the reader ever on the alert, making him assist actively in the process and never letting [viii] him lose sight of the main thread. The English author, on the contrary, anxious before all things to avoid confusion and misunderstanding, and ready for this end not only to sacrifice harmony of proportion in construction, but to submit to the necessity of occasional artificial joining, usually adopts the analytical method. He prefers to divide the thread of his discourse into several smaller skeins, easier certainly to handle and thus better suiting the convenience of the English thinker, to whom long periods are trying and bewildering, and who is not always willing to wait half a page or more for the point of a sentence or the gist of a thought. Wherever it could be done without interfering seriously with the spirit of the original, I have broken up the longer periods in these essays into smaller sentences, in order to facilitate their comprehension. At times however Schopenhauer recapitulates a whole side of his view of the Universe in a single period of what seems intolerable length to the English reader: as, for instance, the *résumé* contained in the Introduction to his “Will in Nature,”<sup>1</sup> which could not be divided without damage to his meaning. Here therefore it did not seem advisable to sacrifice the unity and harmony of his design and to disturb both his form and his meaning, in order to minister to the reader’s dislike for mental exertion; in keeping the period intact I have however endeavoured to make it as easy to comprehend as possible by the way in which the single parts are presented to the eye.

As regards the terms chosen to convey the German meaning, I can hardly hope to have succeeded in every case in adequately rendering it, still less can I expect to have satisfied my English readers. Several words of frequent occurrence and of considerable importance for the right understanding of the original, have been used at different times by different English philosophers in senses so various, that, until our philosophical terminology has by universal consent attained far greater precision than at present, it must always be difficult for the writer or translator to convey to the reader’s mind precisely the same thought that was in his own. To prevent unnecessary confusion however, by leaving too much to chance, I will here briefly state those terms which give most latitude for misapprehension, explaining the sense in which I employ them and also the special meaning attached to some of them by Schopenhauer, who often differs in this from other writers. They are as follows.

(a.) *Anschauung* (*anschauen*, literally ‘to behold’) I have rendered differently, according to its double meaning in German. When used to designate the mental act by which an object is perceived, as the cause of a sensation received, it is rendered by *perception*. When used to lay stress upon *immediate*, as opposed to *abstract* representation, it is rendered by *intuition*. This last occurs however more often in the adjective form.

(b.) *Vorstellung* (*vorstellen*, literally ‘to place before’) I render by *representation* in spite of its foreign, unwelcome sound to the English ear, as being the term which nearest approaches the German meaning. The faculty of representation is defined by Schopenhauer himself as “an exceedingly complicated physiological process in the brain of an animal, the result of which is the consciousness of a *picture* there.”

(c.) *Auffassung* (*auffassen*, literally ‘to catch up’) has so many shades of meaning in German that it has to be translated in many different ways according to the relation in which it stands in the context. It signifies *apprehension*, *comprehension*, *perception*, *viewing* and *grasping*.

(d.) *Wahrnehmung* (*wahrnehmen*, from *wahr*, true, and *nehmen*, to take), is translated by *apprehension* or *perception*, according to the degree of consciousness which accompanies it.

But the two words which have proved most difficult to translate, have been *Vernehmen* and *Willkühr*.

(e.) *Vernehmen* means, to distinguish by the sense of hearing. This word conveys a shade of thought which it is almost impossible to render in English, because we have no word by which to distinguish, from mere sensuous hearing, a sort of hearing which implies more than hearing and less than comprehension. The French *entendre* comes nearer to it than our *hearing*, but implies more comprehension than *vernehmen*.

(f.) As to *Willkühr* (*arbitrium*, literally ‘will-choice’), after a great deal of consideration I have chosen (*relative*) *free-will* as the nearest approach to the German sense, or at any rate, to that in which Schopenhauer uses it. *Willkühr* means in fact what is commonly understood as free-will; *i.e.* will with power of choice, will determined by motives and unimpeded by outward obstacles: *arbitrium* as opposed to *voluntas*: conscious will as opposed to blind impulse. This relative free-will however is quite distinct from *absolute free-will* (*liberum arbitrium indifferentiæ*) in a metaphysical sense, *i.e.* will in its self-dependency. When its arbitrary character is

specially emphasized, we call *Willkühr*, *caprice*, but this is not the usual meaning given to it by Schopenhauer.

Besides the meaning of these German words, I have still to define the sense in which I have used the term *idea* in this translation; for this word has greatly changed its meaning at different times and with different authors, and is even now apt to confuse and mislead. Schopenhauer has himself contributed in one way to render its signification less clear; since, in spite of his declaration in the “Fourfold Root”<sup>4</sup> to the effect, that he never uses the word *idea* in any other than its original (Platonic) sense, he has himself employed it to translate *Vorstellung*, in a specimen he gives of a rendering of a passage in Kant’s “Prolegomena” in a letter addressed to Haywood, published in Gwinner’s “Biography of Schopenhauer.” This he probably did because some eminent English and French philosophers had taken the word in this sense, thinking perhaps that Kant’s meaning would thus be more readily understood. As however he uses the word ‘*idea*’ everywhere else exclusively in its original (Platonic) sense, I have preferred to avoid needless confusion by adhering to his own declaration and definition. Besides, many English writers of note have protested against any other sense being given to it, and modern German philosophers have more and more returned to the original meaning of the term.

Some readers may take exception at such expressions as *à priority*, *motivation*, *aseity*; for they are not, strictly speaking, English words. These terms however belong to Schopenhauer’s own characteristic terminology, and have a distinct and clearly defined meaning; therefore they had to be retained in all cases in which they could not be evaded, in order not to interfere with the Author’s intention: a necessity which the scholar will not fail to recognise, especially when I plead in my defence that fidelity and accuracy have been my sole aim in this work.

If moreover Carlyle’s words, “He who imports into his own country any true delineation, any rationally spoken word on any subject, has done well,” are true, I may also be absolved from censure, if I lay before the public this version of some important utterances of a great thinker, in the hope that it may be an assistance in, and an incitement to, a deeper study of all Schopenhauer’s works.

The Translator.

May, 1888.

Ναὶ μὰ τὸν ἀμετέρα ψυχᾷ παραδόντα τετρακτὸν,

Παγὰν ἀενάου φύσεως *ρίζωματ' ἔχουσιν*.

## THE AUTHOR'S PREFACE TO THE SECOND EDITION.

This treatise on Elementary Philosophy, which first appeared in the year 1813, when it procured for me the degree of doctor, afterwards became the substructure for the whole of my system. It cannot, therefore, be allowed to remain out of print, as has been the case, without my knowledge, for the last four years.

On the other hand, to send a juvenile work like this once more into the world with all its faults and blemishes, seemed to me unjustifiable. For I am aware that the time cannot be very far off when all correction will be impossible; but with that time the period of my real influence will commence, and this period, I trust, will be a long one, for I firmly rely upon Seneca's promise: "*Etiam si omnibus tecum viventibus silentium livor indixerit; venient qui sine offensa, sine gratia judicent.*"<sup>5</sup> I have done what I could, therefore, to improve this work of my youth, and, considering the brevity and uncertainty of life, I must even regard it as an especially fortunate circumstance, to have been thus permitted to correct in my sixtieth year what I had written in my twenty-sixth.

Nevertheless, while doing this, I meant to deal leniently with my younger self, and to let him discourse, nay, even speak his mind freely, wherever it was possible. But [xviii] wherever he had advanced what was incorrect or superfluous, or had even left out the best part, I have been obliged to interrupt the thread of his discourse. And this has happened often enough; so often, indeed, that some of my readers may perhaps think they hear an old man reading a young man's book aloud, while he frequently lets it drop, in order to indulge in digressions of his own on the same subject.

It is easy to see that a work thus corrected after so long an interval, could never acquire the unity and rounded completeness which only belong to such as are written in one breath. So great a difference will be found even in style and expression, that no reader of any tact can ever be in doubt whether it be the older or younger man who is speaking. For the contrast is indeed striking between the mild, unassuming tone in which the youth — who is still simple enough to believe quite seriously that for all whose pursuit is philosophy, truth, and truth alone, can have importance, and therefore that whoever promotes truth is sure of a welcome from them — propounds his arguments with confidence, and the firm, but also at times somewhat harsh



voice of the old man, who in course of time has necessarily discovered the true character and real aims of the noble company of mercenary time-servers into which he has fallen. Nay, the just reader will hardly find fault with him should he occasionally give free vent to his indignation; since we see what comes of it when people who profess to have truth for their sole aim, are always occupied in studying the purposes of their powerful superiors, and when the *e quovis ligno fit Mercurius* is extended even to the greatest philosophers, and a clumsy *charlatan*, like Hegel, is calmly classed among them? Verily German Philosophy stands before us loaded with contempt, the laughing-stock of other nations, expelled from all honest science — like the prostitute who sells herself for sordid hire to-day to one, to-morrow to another; and the brains of the present generation of *savants* are disorganised by Hegelian nonsense: incapable of reflection, coarse and bewildered, they fall a prey to the low Materialism which has crept out of the basilisk's egg. Good speed to them. I return to my subject.

My readers will thus have to get over the difference of tone in this treatise; for I could not do here what I had done in my chief work, that is, give the later additions I had made in a separate appendix. Besides, it is of no consequence that people should know what I wrote in my twenty-sixth and what in my sixtieth year; the only matter of real importance is, that those who wish to find their way through the fundamental principles of all philosophizing, to gain a firm footing and a clear insight, should in these few sheets receive a little volume by which they may learn something substantial, solid, and true: and this, I hope, will be the case. From the expansion now given to some portions, it has even grown into a compendious theory of the entire faculty of knowing, and this theory, by limiting itself strictly to the research of the Principle of Sufficient Reason, shows the matter from a new and peculiar side; but then it finds its completion in the First Book of “The World as Will and Representation,” together with those chapters of the Second Volume which refer to it, and also in my Critique of Kantian Philosophy.

Arthur Schopenhauer.

Frankfurt am Main,  
*September, 1847.*

## EDITOR'S PREFACE TO THE THIRD EDITION.

In the present volume I lay before the public the Third Edition of the "Fourfold Root," including the emendations and additions left by Schopenhauer in his own interleaved copy. I have already had occasion elsewhere to relate that he left copies of all his works thus interleaved, and that he was wont to jot down on these fly-leaves any corrections and additions he might intend inserting in future editions.

Schopenhauer himself prepared for the press all that has been added in the present edition, for he has indicated, by signs in the original context corresponding to other similar signs in the MS. passages, the places where he wished his additions to be inserted. All that was left for me to do, was to give in extended form a few citations he had purposed adding.

No essential corrections and additions, such as might modify the fundamental thoughts of the work, will be found in this new edition, which simply contains corrections, amplifications, and corroborations, many of them interesting and important. Let me take only a single instance: § 21, on the "Intellectual Nature of Empirical Perception." As Schopenhauer attached great importance to his proof of the *intellectual nature* of perception, nay, believed he had made a new discovery by it, he also worked out with special predilection all that tended to support, confirm, and strengthen it. Thus we find him in this § 21 quoting an interesting fact he had himself observed in 1815; then the instances of Caspar Hauser and others (taken from Franz's book, "The Eye," &c. &c.); and again the case of Joseph Kleinhaus, the blind sculptor; and finally, the physiological confirmations he has found in Flourens' "De la vie et de l'intelligence des Animaux." An observation, too, concerning the value of Arithmetic for the comprehension of physical processes, which is inserted into this same paragraph, will be found very remarkable, and may be particularly recommended to those who are inclined to set too high a value on calculation.

Many interesting and important additions will be found in the other paragraphs also.

One thing I could have wished to see left out of this Third Edition: his effusions against the "professors of philosophy." In a conversation with Schopenhauer in the year 1847, when he told me how he intended to

“chastise the professors of philosophy,”<sup>6</sup> I expressed my dissent on this point; for even in the Second Edition these passages had interrupted the measured progress of objective inquiry. At that time, however, he was not to be persuaded to strike them out; so they were left to be again included in this Third Edition, where the reader will accordingly once more find them, although times have changed since then.

Upon another point, more nearly touching the real issue, I had a controversy with Schopenhauer in the year 1852. In arguing against Fichte’s derivation of the *Non-Ego* from the *Ego* in his chief work,<sup>7</sup> he had said: —

[xxii] “Just as if Kant had never existed, the Principle of Sufficient Reason still remains with Fichte what it was with all the Schoolmen, an *æterna veritas*: that is to say, just as the Gods of the ancients were still ruled over by eternal Destiny, so was the God of the Schoolmen still ruled over by these *æterna veritates*, i.e., by the metaphysical, mathematical, and metalogical truths, and even, according to some, by the validity of the moral law. These *veritates* alone were unconditioned by anything, and God, as well as the world, existed through their necessity. Thus with Fichte the *Ego*, according to the Principle of Sufficient Reason, is the reason of the world or of the *Non-Ego*, of the Object, which is the product or result of the *Ego* itself. He took good care, therefore, neither to examine nor to check the Principle of Sufficient Reason any farther. But if I had to indicate the particular form of this principle by which Fichte was guided in making the *Ego* spin the *Non-Ego* out of itself, as the spider its web, I should point to the Principle of the Sufficient Reason of Being in Space; for nothing but a reference to this principle gives any sort of sense or meaning to his laboured deductions of the way in which the *Ego* produces and manufactures the *Non-Ego* out of itself, which form the contents of the most senseless and — simply on this account — most tiresome book ever written. The only interest this Fichteian philosophy has for us at all — otherwise it would not be worth mentioning — lies in its being the tardy appearance of the real antithesis to ancient Materialism, which was the most consistent starting from the Object, just as Fichte’s philosophy was the most consistent starting from the Subject. As Materialism overlooked the fact, that with the simplest Object it forthwith posited the Subject also; so Fichte not only overlooked the fact, that with the Subject (whatever name he might choose to give it) he had already posited the Object also, because no Subject can be thought [xxiii] without it; he likewise overlooked the fact, that all derivation à

*priori*, nay, all demonstration whatsoever, rests upon a necessity, and that all necessity itself rests entirely and exclusively on the Principle of Sufficient Reason, because to be necessary, and to result from a given reason, are convertible terms; that the Principle of Sufficient Reason is still nothing but the common form of the Object as such: therefore that it always presupposes the Object and does not, as valid before and independently of it, first introduce it, and cannot make the Object arise in conformity with its own legislation. Thus this starting from the Object and the above-mentioned starting from the Subject have in common, that both presuppose what they pretend to derive: *i.e.*, the necessary correlate of their starting-point.”

This last assertion “that the Principle of Sufficient Reason *already presupposes the Object*, but does not, as valid before and independently of it, first introduce it, and cannot make the Object arise in conformity with its own legislation,” seemed to me so far to clash with the proof given by Schopenhauer in § 21 of the “Fourfold Root,” as, according to the latter, it is *the function of the Subject’s understanding* which primarily creates the *objective* world out of the subjective feelings of the sensuous organs by the application of the Principle of Sufficient Reason; so that all that is Object, as such, after all comes into being only in conformity with the Principle of Sufficient Reason, consequently that this principle cannot, as Schopenhauer asserted in his polemic against Fichte, already presuppose the Object. In 1852, therefore, I wrote as follows to Schopenhauer: —

“In your arguments against Fichte, where you say that the Principle of Sufficient Reason already presupposes the Object, and cannot, as valid before and independently of it, first introduce it, the objection occurred to me anew, that in your “Fourfold Root” you had made the Object of perception [xxiv] first come into being through the application of the Principle of Sufficient Reason, and that you yourself, therefore, derive the Object from the Subject, as, for instance, p. 73 of the “Fourfold Root” (2nd edition). How then can you maintain against Fichte that the Object is always pre-supposed by the Subject? I know of no way of solving this difficulty but the following: The Subject only presupposes in the Object what belongs to the thing in itself, what is inscrutable; but it creates itself the *representation* of the Object, *i.e.* that by which the thing in itself becomes *phenomenon*. For instance, when I see a tree, my Subject assumes the thing in itself of that tree; whereas the *representation* of it conversely

presupposes the operation of my Subject, the transition from the effect (in my eye) to its cause.”

To this Schopenhauer replied as follows on the 12th of July, 1852: —

“Your answers (to the objection in question) are not the right ones. Here there cannot yet be a question of the thing in itself, and the distinction between representation and object is inadmissible: the world is representation. The matter stands rather as follows — Fichte’s derivation of the *Non-Ego* from the *Ego*, is quite abstract: —  $A = A$ , *ergo*,  $I = I$ , and so forth. Taken in an abstract sense, the Object is at once posited with the Subject. For to be Subject means, to know; and to know means, to have representations. Object and representation are one and the same thing. In the “Fourfold Root,” therefore, I have divided all objects or representations into four classes, within which the Principle of Sufficient Reason always reigns, though in each class under a different form; nevertheless, the Principle of Sufficient Reason always presupposes the class itself, and indeed, properly speaking, they coincide.<sup>8</sup> Now, in reality, the existence of the Subject of knowing is not an abstract existence. The Subject does not exist for itself and independently, as if it had dropped from the sky; it appears as the instrument of some individual phenomenon of the Will (animal, human being), whose purposes it is destined to serve, and which thereby now receives a consciousness, on the one hand, of itself, on the other hand, of everything else. The question next arises, as to how or out of what *elements* the representation of the outer world is brought about within this consciousness. This I have already answered in my “Theory of Colours” and also in my chief work,<sup>9</sup> but most thoroughly and exhaustively of all in the Second Edition of the “Fourfold Root,” § 21, where it is shown, that all those elements are of *subjective* origin; wherefore attention is especially drawn to the great difference between all this and Fichte’s humbug. For the whole of my exposition is but the full carrying out of Kant’s Transcendental Idealism.”<sup>10</sup>

I have thought it advisable to give this passage of his letter, as being relevant to the matter in question. As to the division in chapters and paragraphs, it is the same in this new edition as in the last. By comparing each single [xxvi] paragraph of the second with the same paragraph of the present edition, it will be easy to find out what has been newly added. In conclusion, however, I will still add a short list of the principal passages which are new.

## LIST OF ADDITIONS TO THE THIRD EDITION.

§ 8, p. 13, the passages from “*Notandum,*” &c., to “*Ex necessitate,*” and p. 14, from “*Zunächst adoptirt*” down to the end of the page (English version, p. 14, “*Not.*” &c., to “*Ex nec.*”; p. 15, from “First he adopts” down to the end of the paragraph, p. 16, “*est causa sui*”), in confirmation of his assertion that Spinoza had interchanged and confounded the relation between reason of knowledge and consequent, with that between cause and effect.

§ 9, p. 17, from “*er proklamirt*” down to “*gewusst haben wird.*” (E. v., § 9, p. 19, from “He proclaims it” down to “by others before.”)

§ 20, p. 42, in speaking of *reciprocity* (*Wechselwirkung*), from the words “*Ja, wo einem Schreiber*” down to “*ins Bodenlose gerathen sei.*” (E. v., § 20, p. 45, from “Nay, it is precisely” down to “his depth.”)

§ 21, p. 61, the words at the bottom, “*und räumlich konstruirt,*” down to p. 62, “*Data erhält,*” together with the quotation concerning the blind sculptor, J. Kleinhaus. (E. v., § 21, p. 67, the words “and constructs in Space” down to “of the Understanding,”) and the note.

§ 21, pp. 67-68, from “*Ein specieller und interessanter Beleg*” down to “*albernes Zeug dazu.*” (E. v., § 21, p. 73, “I will here add” down to p. 74, “followed by twaddle.”)

§ 21, p. 73, *sq.*, the instances of Caspar Hauser, &c., from Franz, “The Eye,” &c., and the physiological corroborations from Flourens, “*De la vie et de l’intelligence,*” &c. (E. v., p. 80, and following.)

[xxvii] § 21, p. 77, the parenthesis on the value of calculation. (E. v., p. 83, “All comprehension,” &c.)

§ 21, p. 83, the words “*da ferner Substanz*” down to “*das Wirken in concreto.*” (E. v., § 21, p. 90, “Substance and Matter” down to “*in concreto.*”)

§ 29, p. 105, the words “*im Lateinischen*” down to “*erkannte.*” (E. v., § 29, p. 116, from “In Latin” down to “κατ’ ἐξοχήν.”)

§ 34, p. 116, the words “*Ueberall ist*” down to “*Praxis und Theorie.*” (E. v., § 34, p. 128, the words “Reasonable or Rational” down to “theory and practice.”)



§ 34, p. 121, the verses from Göthe's "West-östlicher Divan."

§ 34, p. 125, *Anmerkung*, the words "*Auch ist Brahma*" down to "*die erstere*," and p. 126, the quotation from I. J. Schmidt's "Forschungen." (E. v., § 34, p. 138, note, "Brahma is also" down to "first of these.")

§ 34, p. 127, the words from "*Aber der naive*" down to "*judaisirten gouverneurs*" (E. v., § 34, p. 150, sentence beginning "But the artless" down to "infancy," and the Greek quotation from Plutarch in the note.)

§ 34, p. 128, the words from "*Ganz übereinstimmend*" down to "*überflüssige sein soll*." (E. v., p. 151, from "J. F. Davis" down to "superfluous.")

§ 45, p. 147, the words "*Eben daher kommt es*" down to "*sich erhält*." (E. v., § 45, p. 163, "It is just for this reason too" down to "their possession.")

§ 45, p. 149, the words "*Man suche Das*," &c., down to "*gelesen haben*." (E. v., § 45, p. 164, from "We should" down to "read in books.")

§ 49, p. 154, the words "*Der bei den Philosophastern*," down to "*zu kontroliren sind*." (E. v., § 49, p. 169, from the words "The conception of our," &c., down to "by perception.")

§ 50, p. 156, the words "*Denn der Satz vom Grunde*" [xxviii] down to "*nur sich selbst nicht*." (E. v., § 50, p. 172, from "For the Principle of Sufficient Reason," &c., down to "everything else.")

§ 52, p. 158, the words "*Der allgemeine Sinn des Satzes vom Grunde*," down to "*der Kosmologische Beweis ist*." (E. v., § 52, p. 173, from "The general meaning" down to "the Cosmological Proof.")

Julius Frauenstädt.  
Berlin, *August*, 1864.

## **EDITOR'S PREFACE TO THE FOURTH EDITION.**

The present Fourth Edition is of the same content as the Third; therefore it contains the same corrections and additions which I had already inserted in the Third Edition from Schopenhauer's own interleaved copy of this work.

Julius Frauenstädt.

Berlin, *September, 1877.*

## CHAPTER I. INTRODUCTION.

### § 1. *The Method.*

The divine Plato and the marvellous Kant unite their mighty voices in recommending a rule, to serve as the method of all philosophising as well as of all other science.<sup>u</sup> Two laws, they tell us: the law of *homogeneity* and the law of *specification*, should be equally observed, neither to the disadvantage of the other. The law of *homogeneity* directs us to collect things together into kinds by observing their resemblances and correspondences, to collect kinds again into species, species into genera, and so on, till at last we come to the highest all-comprehensive conception. Now this law, being transcendental, *i.e.* essential to our Reason, takes for granted that Nature conforms with it: an assumption which is expressed by the ancient formula, *entia præter necessitatem non esse multiplicanda*. As for the law of *specification*, Kant expresses it thus: *entium varietates non temere esse minuendas*. It requires namely, that we should clearly distinguish one from another the different genera collected under one comprehensive conception; likewise that we should not confound the higher and lower species comprised in each genus; that we should be careful not to overleap any, and never to classify inferior species, let alone individuals, immediately under the generic conception: each conception being susceptible of subdivision, and none even coming down to mere intuition. Kant teaches that both laws are transcendental, fundamental principles of our Reason, which postulate conformity of things with them *à priori*; and Plato, when he tells us that these rules were flung down from the seat of the gods with the Promethean fire, seems to express the same thought in his own way.

### § 2. *Application of the Method in the present case.*

In spite of the weight of such recommendations, I find that the second of these two laws has been far too rarely applied to a fundamental principle of all knowledge: *the Principle of Sufficient Reason*. For although this principle has been often and long ago stated in a general way, still sufficient distinction has not been made between its extremely different applications, in each of which it acquires a new meaning; its origin in various mental

faculties thus becoming evident. If we compare Kant's philosophy with all preceding systems, we perceive that, precisely in the observation of our mental faculties, many persistent errors have been caused by applying the principle of homogeneity, while the opposite principle of specification was neglected; whereas the law of specification has led to the greatest and most important results. I therefore crave permission to quote a passage from Kant, in which the application of the law of specification to the sources of our knowledge is especially recommended; for it gives countenance to my present endeavour: —

“It is of the highest importance to *isolate* various sorts of knowledge, which in kind and origin are different from others, and to take great care lest they be mixed up with those others with which, for practical purposes, they are generally united. What is done by the chemist in the analysis of substances, and by the mathematician in pure mathematics, is far more incumbent on the philosopher, in order to enable him to define clearly the part which, in the promiscuous employment of the understanding, belongs to a special kind of knowledge, as well as its peculiar value and influence.”<sup>12</sup>

### § 3. *Utility of this Inquiry.*

Should I succeed in showing that the principle which forms the subject of the present inquiry does not issue directly from *one* primitive notion of our intellect, but rather in the first instance from *various* ones, it will then follow, that neither can the necessity it brings with it, as a firmly established *à priori* principle, be *one* and the *same* in all cases, but must, on the contrary, be as manifold as the sources of the principle itself. Whoever therefore bases a conclusion upon this principle, incurs the obligation of clearly specifying on which of its grounds of necessity he founds his conclusion and of designating that ground by a special name, such as I am about to suggest. I hope that this may be a step towards promoting greater lucidity and precision in philosophising; for I hold the extreme clearness to be attainable by an accurate definition of each single expression to be indispensable to us, as a defence both against error and against intentional deception, and also as a means of securing to ourselves the permanent, unalienable possession of each newly acquired notion within the sphere of philosophy beyond the fear of losing it again on account of any misunderstanding or double meaning which might hereafter be detected. The true philosopher will indeed always seek after light and perspicuity, and

will endeavour to resemble a Swiss lake — which through its peacefulness is enabled to unite great depth with great clearness, the depth revealing itself precisely by the clearness — rather than a turbid, impetuous mountain torrent. “*La clarté est la bonne foi des philosophes*,” says Vauvenargues. Pseudo-philosophers, on the contrary, use speech, not indeed to conceal their thoughts, as M. de Talleyrand has it, but rather to conceal the absence of them, and are apt to make their readers responsible for the incomprehensibility of their systems, which really proceeds from their own confused thinking. This explains why in certain writers — Schelling, for instance — the tone of instruction so often passes into that of reproach, and frequently the reader is even taken to task beforehand for his assumed inability to understand.

#### § 4. *Importance of the Principle of Sufficient Reason.*

Its importance is indeed very great, since it may truly be called the basis of all science. For by *science* we understand a *system* of notions, *i.e.* a totality of connected, as opposed to a mere aggregate of disconnected, notions. But what is it that binds together the members of a system, if not the Principle of Sufficient Reason? That which distinguishes every science from a mere aggregate is precisely, that its notions are derived one from another as from their reason. So it was long ago observed by Plato: καὶ γὰρ αἱ δόξαι αἱ ἀληθεῖς οὐ πολλοῦ ἄξιαί εἰσιν, ἕως ἄν τις αὐτὰς δῇσῃ αἰτίας λογισμῷ (*etiam opinioniones veræ non multi pretii sunt, donec quis illas ratiocinatione a causis ducta liget*).<sup>13</sup> Nearly every science, moreover, contains notions of causes from which the effects may be deduced, and likewise other notions of the necessity of conclusions from reasons, as will be seen during the course of this inquiry. Aristotle has expressed this as follows: πᾶσα ἐπιστήμη διανοητική, ἥ καὶ μετέχουσά τι διανοίας, περὶ αἰτίας καὶ ἀρχῶς ἐστὶ (*omnis intellectualis scientia, sive aliquo modo intellectu participans, circa causas et principia est*).<sup>14</sup> Now, as it is this very assumption *à priori* that all things must have their reason, which authorizes us everywhere to search for the *why*, we may safely call this *why* the mother of all science.

#### § 5. *The Principle itself.*

We purpose showing further on that the Principle of Sufficient Reason is an expression common to several *à priori* notions. Meanwhile, it must be

stated under some formula or other. I choose Wolf's as being the most comprehensive: *Nihil est sine ratione cur potius sit, quam non sit*. Nothing is without a reason for its being.<sup>[15](#)</sup>



## CHAPTER II. GENERAL SURVEY OF THE MOST IMPORTANT VIEWS HITHERTO HELD CONCERNING THE PRINCIPLE OF SUFFICIENT REASON.

### § 6. *First Statement of the Principle and Distinction between Two of its Meanings.*

A more or less accurately defined, abstract expression for so fundamental a principle of all knowledge must have been found at a very early age; it would, therefore, be difficult, and besides of no great interest, to determine where it first appeared. Neither Plato nor Aristotle have formally stated it as a leading fundamental principle, although both often speak of it as a self-evident truth. Thus, with a *naïveté* which savours of the state of innocence as opposed to that of the knowledge of good and of evil, when compared with the critical researches of our own times, Plato says: ἀναγκαῖον, πάντα τὰ γινόμενα διὰ τινα αἰτίαν γίνεσθαι· πῶς γὰρ ἂν χωρὶς τούτων γίγνοιτο;<sup>16</sup> (*necesse est, quaecunque fiunt, per aliquam causam fieri: quomodo enim absque ea fierent?*) and then again: πᾶν δὲ τὸ γινόμενον ὑπ' αἰτίου τινὸς ἐξ ἀνάγκης γίνεσθαι· παντὶ γὰρ ἀδύνατον χωρὶς αἰτίου γένεσιν σχεῖν<sup>17</sup> (*quidquid gignitur, ex aliqua causa necessario gignitur: sine causa enim oriri quidquam, impossibile est*). At the end of his book “De fato,” Plutarch cites the following among the chief propositions of the Stoics: μάλιστα μὲν καὶ πρῶτον εἶναι δόξειε, τὸ μηδὲν ἀναιτίως γίνεσθαι, ἀλλὰ κατὰ προηγουμένας αἰτίας<sup>18</sup> (*maxime id primum esse videbitur, nihil fieri sine causa, sed omnia causis antegressis*).

In the “Analyt. post.” i. 2, Aristotle states the principle of sufficient reason to a certain degree when he says: ἐπίστασθαι δὲ οἰόμεθα ἕκαστον ἀπλῶς, ὅταν τὴν τ' αἰτίαν οἰόμεθα γινώσκειν, δι' ἣν τὸ πρᾶγμα ἔστιν, ὅτι ἐκείνου αἰτία ἐστίν, καὶ μὴ ἐνδέχεσθαι τοῦτο ἄλλως εἶναι. (*Scire autem putamus unamquamque rem simpliciter, quum putamus causam cognoscere, propter quum res est, ejusque rei causam esse, nec posse eam aliter se habere.*)<sup>19</sup> In his “Metaphysics,” moreover, he already divides causes, or rather principles, ἀρχαί, into different kinds,<sup>20</sup> of which he admits eight; but this division is neither profound nor precise enough. He is, nevertheless, quite right in saying, πασῶν μὲν οὖν κοινὸν τῶν ἀρχῶν, τὸ πρῶτον εἶναι,

ὅθεν ἢ ἔστιν, ἢ γίνεται, ἢ γινώσκεται.<sup>21</sup> (*Omnibus igitur principiis commune est, esse primum, unde aut est, aut fit, aut cognoscitur.*) In the following chapter he distinguishes several kinds of causes, although somewhat superficially and confusedly. In the “Analyt. post.” ii. 11, he states four kinds of causes in a more satisfactory manner: αἰτίαι δὲ τέσσαρες· μία μὲν τό τι ἦν εἶναι· μία δὲ τὸ τινῶν ὄντων, ἀνάγκη τοῦτο εἶναι· ἑτέρα δὲ, ἣ τι πρῶτον ἐκίνησε· τετάρτη δὲ, τὸ τίνος ἕνεκα.<sup>22</sup> (*Causæ autem quatuor sunt: una quæ explicat quid res sit; altera, quam, si quædam sint, necesse est esse; tertia, quæ quid primum movit; quarta id, cujus gratia.*) Now this is the origin of the division of the *causæ* universally adopted by the Scholastic Philosophers, into *causæ materiales, formales, efficientes et finales*, as may be seen in “Suarii disputationes metaphysicæ”<sup>23</sup> — a real compendium of Scholasticism. Even Hobbes still quotes and explains this division.<sup>24</sup> It is also to be found in another passage of Aristotle, this time somewhat more clearly and fully developed (“Metaph.” i. 3.) and it is again briefly noticed in the book “De somno et vigilia,” c. 2. As for the vitally important distinction between *reason* and *cause*, however, Aristotle no doubt betrays something like a conception of it in the “Analyt. post.” i. 13, where he shows at considerable length that knowing and proving *that* a thing exists is a very different thing from knowing and proving *why* it exists: what he represents as the latter, being knowledge of the *cause*; as the former, knowledge of the *reason*. If, however, he had quite clearly recognized the difference between them, he would never have lost sight of it, but would have adhered to it throughout his writings. Now this is not the case; for even when he endeavours to distinguish the various kinds of causes from one another, as in the passages I have mentioned above, the essential difference mooted in the chapter just alluded to, never seems to occur to him again. Besides he uses the term αἴτιον indiscriminately for every kind of cause, often indeed calling reasons of knowledge, and sometimes even the premisses of a conclusion, αἰτίας, as, for instance, in his “Metaph.” iv. 18; “Rhet.” ii. 2; “De plantis.” p. 816 (*ed. Berol.*), but more especially “Analyt. post.” i. 2, where he calls the premisses to a conclusion simply αἰτίαι τοῦ συμπεράσματος (causes of the conclusion). Now, using the same word to express two closely connected conceptions, is a sure sign that their difference has not been recognised, or at any rate not been firmly grasped; for a mere accidental homonymous designation of two

widely differing things is quite another matter. Nowhere, however, does this error appear more conspicuously than in his definition of the sophism *non causæ ut causa*, παρὰ τὸ μὴ αἴτιον ὡς αἴτιον, (reasoning from what is not cause as if it were cause), in the book “De sophisticis elenchis,” c. 5. By αἴτιον he here understands absolutely nothing but the argument, the premisses, consequently a reason of knowledge; for this sophism consists in correctly proving the impossibility of something, while the proof has no bearing whatever upon the proposition in dispute, which it is nevertheless supposed to refute. Here, therefore, there is no question at all of physical causes. Still the use of the word αἴτιον has had so much weight with modern logicians, that they hold to it exclusively in their accounts of the *fallacia extra dictionem*, and explain the *fallacia non causæ ut causa* as designating a physical cause, which is not the case. Reimarus, for instance, does so, and G. E. Schultze and Fries — all indeed of whom I have any knowledge. The first work in which I find a correct definition of this sophism, is Twisten’s Logic. Moreover, in all other scientific works and controversies the charge of a *fallacia non causæ ut causa* usually denotes the interpolation of a wrong cause.

Sextus Empiricus presents another forcible instance of the way in which the Ancients were wont universally to confound the logical law of the reason of knowledge with the transcendental law of cause and effect in Nature, persistently mistaking one for the other. In the 9th Book “Adversus Mathematicos,” that is, the Book “Adversus Physicos,” § 204, he undertakes to prove the law of causality, and says: “He who asserts that there is no cause (αἰτία), either has no cause (αἰτία) for his assertion, or has one. In the former case there is not more truth in his assertion than in its contradiction; in the latter, his assertion itself proves the existence of a cause.”

By this we see that the Ancients had not yet arrived at a clear distinction between requiring a reason as the ground of a conclusion, and asking for a cause for the occurrence of a real event. As for the Scholastic Philosophers of later times, the law of causality was in their eyes an axiom above investigation: “*non inquirimus an causa sit, quia nihil est per se notius*,” says Suarez.<sup>25</sup> At the same time they held fast to the above quoted Aristotelian classification; but, as far as I know at least, they equally failed to arrive at a clear idea of the necessary distinction of which we are here speaking.

## § 7. Descartes.

For we find even the excellent Descartes, who gave the first impulse to subjective reflection and thereby became the father of modern philosophy, still entangled in confusions for which it is difficult to account; and we shall soon see to what serious and deplorable consequences these confusions have led with regard to Metaphysics. In the “*Responsio ad secundas objectiones in meditationes de prima philosophia*,” *axioma i.* he says: *Nulla res existit, de qua non possit quæri, quænam sit causa, cur existat. Hoc enim de ipso Deo quæri potest, non quod indigeat ulla causa ut existat, sed quia ipsa ejus naturæ immensitas est CAUSA, SIVE RATIO, propter quam nulla causa indiget ad existendum.* He ought to have said: The immensity of God is a logical reason from which it follows, that God needs no cause; whereas he confounds the two together and obviously has no clear consciousness of the difference between reason and cause. Properly speaking however, it is his intention which mars his insight. For here, where the law of causality demands a *cause*, he substitutes a *reason* instead of it, because the latter, unlike the former, does not immediately lead to something beyond it; and thus, by means of this very axiom, he clears the way to the *Ontological Proof* of the existence of God, which was really his invention, for Anselm had only indicated it in a general manner. Immediately after these axioms, of which I have just quoted the first, there comes a formal, quite serious statement of the Ontological Proof, which, in fact, already lies within that axiom, as the chicken does within the egg that has been long brooded over. Thus, while everything else stands in need of a cause for its existence, the *immensitas* implied in the conception of the Deity — who is introduced to us upon the ladder of the Cosmological Proof — suffices in lieu of a cause or, as the proof itself expresses it: *in conceptu entis summe perfecti existentia necessaria continetur*. This, then, is the sleight-of-hand trick, for the sake of which the confusion, familiar even to Aristotle, of the two principal meanings of the principle of sufficient reason, has been used directly *in majorem Dei gloriam*.

Considered by daylight, however, and without prejudice, this famous Ontological Proof is really a charming joke. On some occasion or other, some one excogitates a conception, composed out of all sorts of predicates, among which however he takes care to include the predicate actuality or existence, either openly stated or wrapped up for decency's sake in some

other predicate, such as *perfectio*, *immensitas*, or something of the kind. Now, it is well known, — that, from a given conception, those predicates which are essential to it — *i.e.*, without which it cannot be thought — and likewise the predicates which are essential to those predicates themselves, may be extracted by means of purely logical analyses, and consequently have *logical* truth: that is, they have their reason of knowledge in the given conception. Accordingly the predicate reality or existence is now extracted from this arbitrarily thought conception, and an object corresponding to it is forthwith presumed to have real existence independently of the conception.

“Wär’ der Gedank’ nicht so verwünscht gescheut,

Man wär’ versucht ihn herzlich dumm zu nennen.”<sup>26</sup>

After all, the simplest answer to such ontological demonstrations is: “All depends upon the source whence you have derived your conception: if it be taken from experience, all well and good, for in this case its object exists and needs no further proof; if, on the contrary, it has been hatched in your own *sinciput*, all its predicates are of no avail, for it is a mere phantasm.” But we form an unfavourable prejudice against the pretensions of a theology which needed to have recourse to such proofs as this in order to gain a footing on the territory of philosophy, to which it is quite foreign, but on which it longs to trespass. But oh! for the prophetic wisdom of Aristotle! He had never even heard of the Ontological Proof; yet as though he could detect this piece of scholastic jugglery through the shades of coming darkness and were anxious to bar the road to it, he carefully shows<sup>27</sup> that defining a thing and proving its existence are two different matters, separate to all eternity; since by the one we learn *what* it is that is meant, and by the other *that* such a thing exists. Like an oracle of the future, he pronounces the sentence: τὸ δ’ εἶναι οὐκ οὐσία οὐδενί· οὐ γὰρ γένος τὸ ὄν: (*ESSE autem nullius rei essentia, est, quandoquidem ens non est genus*) which means: “Existence never can belong to the essence of a thing.” On the other hand, we may see how great was Herr von Schelling’s veneration for the Ontological Proof in a long note, p. 152, of the 1st vol. of his “*Philosophische Schriften*” of 1809. We may even see in it something still more instructive, *i.e.*, how easily Germans allow sand to be thrown in their eyes by impudence and blustering swagger. But for so thoroughly pitiable a creature as Hegel, whose whole pseudo-philosophy is but a monstrous amplification of the Ontological Proof, to have undertaken its defence against Kant, is indeed an alliance of which the Ontological Proof itself

might be ashamed, however little it may in general be given to blushing. How can I be expected to speak with deference of men, who have brought philosophy into contempt?

§ 8. *Spinoza.*

Although Spinoza's philosophy mainly consists in the negation of the double dualism between God and the world and between soul and body, which his teacher, Descartes, had set up, he nevertheless remained true to his master in confounding and interchanging the relation between reason and consequence with that between cause and effect; he even endeavoured to draw from it a still greater advantage for his own metaphysics than Descartes for his, for he made this confusion the foundation of his whole Pantheism.

A conception contains *implicite* all its essential predicates, so that they may be developed out of it *explicite* by means of mere analytical judgments: the sum total of them being its definition. This definition therefore differs from the conception itself merely in form and not in content; for it consists of judgments which are all contained within that conception, and therefore have their reason in it, in as far as they show its essence. We may accordingly look upon these judgments as the consequences of that conception, considered as their reason. Now this relation between a conception and the judgments founded upon it and susceptible of being developed out of it by analysis, is precisely the relation between Spinoza's so-called God and the world, or rather between the one and only substance and its numberless accidents (*Deus, sive substantia constans infinitis attributis*<sup>28</sup> — *Deus, sive omnia Dei attributa*). It is therefore the relation in knowledge of the *reason* to its consequent; whereas true Theism (Spinoza's Theism is merely nominal) assumes the relation of the *cause* to its effect, in which the cause remains different and separate from the consequence, not only in the way in which we consider them, but really and essentially, therefore in themselves to all eternity. For the word God, honestly used, means a cause such as this of the world, with the addition of personality. An impersonal God is, on the contrary, a *contradictio in adjecto*. Now as nevertheless, even in the case as stated by him, Spinoza desired to retain the word God to express substance, and explicitly called this the *cause* of the world, he could find no other way to do it than by completely intermingling



the two relations, and confounding the principle of the reason of knowledge with the principle of causality. I call attention to the following passages in corroboration of this statement. *Notandum, dari necessario unius cujusque rei existentis certam aliquam CAUSAM, propter quam existit. Et notandum, hanc causam, propter quam aliqua res existit, vel debere contineri in ipsa natura et DEFINITIONE rei existentis (nimirum quod ad ipsius naturam pertinet existere), vel debere EXTRA ipsam dari.*<sup>29</sup> In the last case he means an efficient cause, as appears from what follows, whereas in the first he means a mere reason of knowledge; yet he identifies both, and by this means prepares the way for identifying God with the world, which is his intention. This is the artifice of which he always makes use, and which he has learnt from Descartes. He substitutes a cause acting from without, for a reason of knowledge lying within, a given conception. *Ex necessitate divinæ naturæ omnia, quæ sub intellectum infinitum cadere possunt, sequi debent.*<sup>30</sup> At the same time he calls God everywhere the cause of the world. *Quidquid existit Dei potentiam, quæ omnium rerum CAUSA est, exprimit.*<sup>31</sup> — *Deus est omnium rerum CAUSA immanens, non vero transiens.*<sup>32</sup> — *Deus non tantam est CAUSA EFFICIENS rerum existentiae, sed etiam essentiae.*<sup>33</sup> — *Ex data quacunque IDEA aliquis EFFECTUS necessario sequi debet.*<sup>34</sup> — And: *Nulla res nisi a causa externa potest destrui.*<sup>35</sup> — Demonstr. *Definitio cujuscunque rei, ipsius essentiam* (essence, nature, as differing from existentia, existence), *affirmat, sed non negat; sive rei essentiam ponit, sed non tollit. Dum itaque ad rem ipsam tantum, non autem ad causas externas attendimus, nihil in eadem poterimus invenire, quod ipsam possit destruere.* This means, that as no conception can contain anything which contradicts its definition, *i.e.*, the sum total of its predicates, neither can an existence contain anything which might become a cause of its destruction. This view, however, is brought to a climax in the somewhat lengthy second demonstration of the 11th Proposition, in which he confounds a cause capable of destroying or annihilating a being, with a contradiction contained in its definition and therefore destroying that definition. His need of confounding cause with reason here becomes so urgent, that he can never say *causa* or *ratio* alone, but always finds it necessary to put *ratio seu causa*. Accordingly, this occurs as many as eight times in the same page, in order to conceal the subterfuge. Descartes had done the same in the above-mentioned axiom.

Thus, properly speaking, Spinoza's Pantheism is merely the *realisation* of Descartes' Ontological Proof. First, he adopts Descartes' ontotheological proposition, to which we have alluded above, *ipsa naturæ Dei immensitas est CAUSA SIVE RATIO, propter quam nulla causa indiget ad existendum*, always saying *substantia* instead of *Deus* (in the beginning); and then he finishes by *substantiæ essentia necessario involvit existentiam, ergo erit substantia CAUSA SUI*.<sup>36</sup> Therefore the very same argument which Descartes had used to prove the existence of God, is used by Spinoza to prove the existence of the world, — which consequently needs no God. He does this still more distinctly in the 2nd Scholium to the 8th Proposition: *Quoniam ad naturam substantia pertinet existere, debet ejus definitio necessariam existentiam involvere, et consequenter ex sola ejus definitione debet ipsius existentia concludi*. But this substance is, as we know, the world. The demonstration to Proposition 24 says in the same sense: *Id, cujus natura in se considerata (i.e., in its definition) involvit existentiam, est CAUSA SUI*.

For what Descartes had stated in an exclusively *ideal* and *subjective* sense, *i.e.*, only for us, for *cognitive purposes* — in this instance for the sake of proving the existence of God — Spinoza took in a *real* and *objective* sense, as the actual relation of God to the world. According to Descartes, the existence of God is contained in the *conception* of God, therefore it becomes an argument for his actual being: according to Spinoza, God is himself contained in the world. Thus what, with Descartes, was only reason of knowledge, becomes, with Spinoza, reason of fact. If the former, in his Ontological Proof, taught that the *existentia* of God is a consequence of the *essentia* of God, the latter turns this into *causa sui*, and boldly opens his Ethics with: *per causam sui intelligo id, cujus essentia* (conception) *involvit existentiam*, remaining deaf to Aristotle's warning cry, τὸ δ' εἶναι οὐκ οὐσία οὐδενί! Now, this is the most palpable confusion of *reason* and *cause*. And if Neo-Spinozans (Schellingites, Hegelians, &c.), with whom words are wont to pass for thoughts, often indulge in pompous, solemn admiration for this *causa sui*, for my own part I see nothing but a *contradictio in adjecto* in this same *causa sui*, a *before* that is *after*, an audacious command to us, to sever arbitrarily the eternal causal chain — something, in short, very like the proceeding of that Austrian, who finding himself unable to reach high enough to fasten the clasp on his tightly-

strapped shako, got upon a chair. The right emblem for *causa sui* is Baron Münchhausen, sinking on horseback into the water, clinging by the legs to his horse and pulling both himself and the animal out by his own pigtail, with the motto underneath: *Causa sui*.

Let us finally cast a look at the 16th proposition of the 1st book of the Ethics. Here we find Spinoza concluding from the proposition, *ex data cujuscunque rei definitione plures proprietates intellectus concludit, quæ revera ex eadem necessario sequuntur*, that *ex necessitate divinæ, naturæ* (i.e., taken as a reality), *infinita infinitis modis sequi debent*: this God therefore unquestionably stands in the same relation to the world as a conception to its definition. The corollary, *Deum omnium rerum esse CAUSAM EFFICIENTEM*, is nevertheless immediately connected with it. It is impossible to carry the confusion between reason and cause farther, nor could it lead to graver consequences than here. But this shows the importance of the subject of the present treatise.

In endeavouring to add a third step to the climax in question, Herr von Schelling has contributed a small afterpiece to these errors, into which two mighty intellects of the past had fallen owing to insufficient clearness in thinking. If Descartes met the demands of the inexorable law of causality, which reduced his God to the last straits, by substituting a reason instead of the cause required, in order thus to set the matter at rest; and if Spinoza made a real cause out of this reason, i.e., *causa sui*, his God thereby becoming the world itself: Schelling now made reason and consequent separate in God himself.<sup>37</sup> He thus gave the thing still greater consistency by elevating it to a real, substantial hypostasis of reason and consequent, and introducing us to something “in God, which is not himself, but his reason, as a primary reason, or rather reason beyond reason (abyss).” *Hoc quidem vere palmarium est*. — It is now known that Schelling had taken the whole fable from Jacob Böhme’s “Full account of the terrestrial and celestial mystery;” but what appears to me to be less well known, is the source from which Jacob Böhme himself had taken it, and the real birth-place of this so-called *abyss*, wherefore I now take the liberty to mention it. It is the βυθός, i.e. *abyssus*, *vorago*, bottomless pit, reason beyond reason of the Valentinians (a heretical sect of the second century) which, in silence — co-essential with itself — engendered intelligence and the world, as Irenæus<sup>38</sup> relates in the following terms: λέγουσι γάρ τινα εἶναι ἐν ἀοράτοις, καὶ

ἀκατονομάστοις ὑψώμασι τέλειον Αἰῶνα προόντα· τοῦτον δὲ καὶ προαρχήν, καὶ προπάτορα, καὶ βυθὸν καλοῦσιν. — Ὑπάρχοντα δὲ αὐτὸν ἀχώρητον καὶ ἀόρατον, αἰδιόν τε καὶ ἀγέννητον, ἐν ἡσυχίᾳ καὶ ἡρεμίᾳ πολλῇ γεγονέναι ἐν ἀπείροις αἰῶσι χρόνων. Συνυπάρχειν δὲ αὐτῷ καὶ Ἐννοίαν, ἣν δὲ καὶ Χάριν, καὶ Σιγὴν ὀνομάζουσι· καὶ ἐννοηθῆναί ποτε ἀφ' ἐαυτοῦ προβαλέσθαι τὸν βυθὸν τοῦτον ἀρχὴν τῶν πάντων, καὶ καθάπερ σπέρμα τὴν προβολὴν ταύτην (ἣν προβαλέσθαι ἐνενοήθη) καθεῖσθαι, ὡς ἐν μήτρᾳ, τῇ συνυπαρχούσῃ, ἐαυτῷ Σιγῇ. Ταύτην δὲ, ὑποδηξαμένην τὸ σπέρμα τοῦτο, καὶ ἐγκύμονα γενομένην, ἀποκυῖσαι Νοῦν, ὁμοίον τε καὶ ἴσον τῷ προβαλόντι, καὶ μόνον χωροῦντα τὸ μέγεθος τοῦ Πατρός. Τὸν δὲ νοῦν τοῦτον καὶ μονογενῆ καλοῦσι, καὶ ἀρχὴν τῶν πάντων.<sup>39</sup> (*Dicunt enim esse quendam in sublimitatibus illis, quæ nec oculis cerni, nec nominari possunt, perfectum Æonem præexistentem, quem et proarchen, et propatorem, et Bythum vocant. Eum autem, quum incomprehensibilis et invisibilis, sempiternus idem, et ingenuus esset, infinitis temporum seculis in summa quiete ac tranquillitate fuisse. Unâ etiam cum eo Cogitationem exstitisse, quam et Gratiam et Silentium (Sigen) nuncupant. Hunc porro Bythum in animum, aliquando induxisse, rerum omnium initium proferre, atque hanc, quam in animum induxerat, productionem, in Sigen (silentium) quæ unâ cum eo erat, non secus atque in vulvam demisisse. Hanc vero, suscepto hoc semine, prægnantem effectam peperisse Intellectum, parenti suo parem et æqualem, atque ita comparatum, ut solus paternæ magnitudinis capax esset. Atque hunc Intellectum et Monogenem et Patrem et principum omnium rerum appellant.*)

Somehow or other this must have come to Jacob Böhme's hearing from the History of Heresy, and Herr von Schelling must have received it from him in all faith.

### § 9. Leibnitz.

It was Leibnitz who first formally stated the Principle of Sufficient Reason as a main principle of all knowledge and of all science. He proclaims it very pompously in various passages of his works, giving himself great airs, as though he had been the first to invent it; yet all he finds to say about it is, that everything must have a sufficient reason for being as it is, and not otherwise: and this the world had probably found out before him. True, he

makes casual allusions to the distinction between its two chief significations, without, however, laying any particular stress upon it, or explaining it clearly anywhere else. The principal reference to it is in his “Principia Philosophiæ,” § 32, and a little more satisfactorily in the French version, entitled “Monadologie”: *En vertu du principe de la raison suffisante, nous considérons qu’aucun fait ne sauroit se trouver vrai ou existant, aucune énonciation véritable, sans qu’il y ait une raison suffisante, pourquoi il en soit ainsi et non pas autrement.*<sup>40</sup>

### § 10. Wolf.

The first writer who explicitly separated the two chief significations of our principle, and stated the difference between them in detail, was therefore Wolf. Wolf, however, does not place the principle of sufficient reason in Logic, as is now the custom, but in Ontology. True, in § 71 he urges the necessity of not confounding the principle of sufficient reason of knowing with that of cause and effect; still he does not clearly determine here wherein the difference consists. Indeed, he himself mistakes the one for the other; for he quotes instances of cause and effect in confirmation of the *principium rationis sufficientis* in this very chapter, *de ratione sufficiente*, §§ 70, 74, 75, 77, which, had he really wished to preserve that distinction, ought rather to have been quoted in the chapter *de causis* of the same work. In said chapter he again brings forward precisely similar instances, and once more enunciates the *principium cognoscendi* (§ 876), which does not certainly belong to it, having been already discussed, yet which serves to introduce the immediately following clear and definite distinction between this principle and the law of causality, §§ 881-884. *Principium*, he continues, *dicitur id, quod in se continet rationem alterius*; and he distinguishes three kinds: 1. *Principium Fiendi (causa)*, which he defines as *ratio actualitatis alterius*, e.g., *si lapis calescit, ignis aut radii solares sunt rationes, cur calor lapidi insit*. — 2. *Principium Essendi*, which he defines as *ratio possibilitatis alterius*; *in eodem, exemplo, ratio possibilitatis, cur lapis calorem recipere possit, est in essentia seu modo compositionis lapidis*. This last conception seems to me inadmissible. If it has any meaning at all, possibility means correspondence with the general conditions of experience known to us *à priori*, as Kant has sufficiently shown. From these conditions we know, with respect to Wolf’s instance of



the stone, that changes are possible as effects proceeding from causes: we know, that is, that one state can succeed another, if the former contains the conditions for the latter. In this case we find, as effect, the state of being warm in the stone; as cause, the preceding state of a limited capacity for warmth in the stone and its contact with free heat. Now, Wolf's naming the first mentioned property of this state *principium essendi*, and the second, *principium fiendi*, rests upon a delusion caused by the fact that, so far as the stone is concerned, the conditions are more lasting and can therefore wait longer for the others. That the stone should be as it is: that is, that it should be chemically so constituted as to bring with it a particular degree of specific heat, consequently a capacity for heat which stands in inverse proportion to its specific heat; that besides it should, on the other hand, come into contact with free heat, is the consequence of a whole chain of antecedent causes, all of them *principia fiendi*; but it is the coincidence of circumstances on both sides which primarily constitutes that condition, upon which, as cause, the becoming warm depends, as effect. All this leaves no room for Wolf's *principium essendi*, which I therefore do not admit, and concerning which I have here entered somewhat into detail, partly because I mean to use the word myself later on in a totally different sense; partly also, because this explanation contributes to facilitate the comprehension of the law of causality. — 3. Wolf, as we have said, distinguishes a *Principium Cognoscendi*, and refers also under *causa* to a *causa impulsiva, sive ratio voluntatem determinans*.

#### § 11. *Philosophers between Wolf and Kant.*

Baumgarten repeats the Wolfian distinctions in his “*Metaphysica*,” §§ 20-24, and §§ 306-313.

Reimarus, in his “*Vernunftlehre*,”<sup>41</sup> § 81, distinguishes 1. *Inward reason*, of which his explanation agrees with Wolf's *ratio essendi*, and might even be applicable to the *ratio cognoscendi*, if he did not transfer to things what only applies to conceptions; 2. *Outward reason*, i.e. *causa*. — § 120 *et seqq.*, he rightly defines the *ratio cognoscendi* as a condition of the proposition; but in an example, § 125, he nevertheless confounds it with cause.

Lambert, in the new *Organon*, does not mention Wolf's distinctions; he shows, however, that he recognizes a difference between reason of

knowledge and cause;<sup>42</sup> for he says that God is the *principium essendi* of truths, and that truths are the *principia cognoscendi* of God.

Plattner, in his Aphorisms, § 868, says: “What is called reason and conclusion within our knowledge (*principium cognoscendi, ratio — rationatum*), is in reality cause and effect (*causa efficiens — effectus*). Every cause is a reason, every effect a conclusion.” He is therefore of opinion that cause and effect, in reality, correspond to the conceptions reason and consequence in our thought; that the former stand in a similar relation with respect to the latter as substance and accident, for instance, to subject and predicate, or the quality of the object to our sensation of that quality, &c. &c. I think it useless to refute this opinion, for it is easy to see that premisses and conclusion in judgments stand in an entirely different relation to one another from a knowledge of cause and effect; although in individual cases even knowledge of a cause, as such, may be the reason of a judgment which enunciates the effect.<sup>43</sup>

## § 12. *Hume.*

No one before this serious thinker had ever doubted what follows. First, and before all things in heaven and on earth, is the Principle of Sufficient Reason in the form of the Law of Causality. For it is a *veritas æterna: i.e.* it is in and by itself above Gods and Fate; whereas everything else, the understanding, for instance, which thinks that principle, and no less the whole world and whatever may be its cause — atoms, motion, a Creator, *et cætera* — is what it is only in accordance with, and by virtue of, that principle. Hume was the first to whom it occurred to inquire whence this law of causality derives its authority, and to demand its credentials. Everyone knows the result at which he arrives: that causality is nothing beyond the empirically perceived succession of things and states in Time, with which habit has made us familiar. The fallacy of this result is felt at once, nor is it difficult to refute. The merit lies in the question itself; for it became the impulse and starting-point for Kant’s profound researches, and by their means led to an incomparably deeper and more thorough view of Idealism than the one which had hitherto existed, and which was chiefly Berkeley’s. It led to transcendental Idealism, from which arises the conviction, that the world is as dependent upon us, as a whole, as we are dependent upon it in detail. For, by pointing out the existence of those



transcendental principles, as such, which enable us to determine *à priori*, *i.e.* before all experience, certain points concerning objects and their possibility, he proved that these things could not exist, as they present themselves to us, independently of our knowledge. The resemblance between a world such as this and a dream, is obvious.

### § 13. *Kant and his School.*

Kant's chief passage on the Principle of Sufficient Reason is in a little work entitled "On a discovery, which is to permit us to dispense with all Criticism of Pure Reason."<sup>44</sup> Section I., *lit.* A. Here he strongly urges the distinction between "the logical (formal) principle of cognition 'every proposition must have its reason,' and the transcendental (material) principle 'every thing must have its cause,'" in his controversy with Eberhard, who had identified them as one and the same. — I intend myself to criticize Kant's proof of the *à priori* and consequently transcendental character of the law of causality further on in a separate paragraph, after having given the only true proof.

With these precedents to guide them, the several writers on Logic belonging to Kant's school; Hofbauer, Maass, Jakob, Kiesewetter and others, have defined pretty accurately the distinction between reason and cause. Kiesewetter, more especially, gives it thus quite satisfactorily:<sup>45</sup> "Reason of knowledge is not to be confounded with reason of fact (cause). The Principle of Sufficient Reason belongs to Logic, that of Causality to Metaphysics."<sup>46</sup> The former is the fundamental principle of thought; the latter that of experience. Cause refers to real things, logical reason has only to do with representations."

Kant's adversaries urge this distinction still more strongly. G. E. Schultze<sup>47</sup> complains that the Principle of Sufficient Reason is confounded with that of Causality. Salomon Maimon<sup>48</sup> regrets that so much should be said about the sufficient reason without an explanation of what is meant by it, while he blames Kant<sup>49</sup> for deriving the principle of causality from the logical form of hypothetical judgments.

F. H. Jacobi<sup>50</sup> says, that by the confounding of the two conceptions, reason and cause, an illusion is produced, which has given rise to various false speculations; and he points out the distinction between them after his own fashion. Here, however, as is usual with him, we find a good deal more of self-complacent phrase-jugglery than of serious philosophy.

How Herr von Schelling finally distinguishes reason from cause, may be seen in his “Aphorisms introductory to the Philosophy of Nature,”<sup>51</sup> § 184, which open the first book of the first volume of Marcus and Schelling’s “Annals of Medecine.” Here we are taught that gravity is the *reason* and light the *cause* of all things. This I merely quote as a curiosity; for such random talk would not otherwise deserve a place among the opinions of serious and honest inquirers.

#### § 14. *On the Proofs of the Principle.*

We have still to record various fruitless attempts which have been made to prove the Principle of Sufficient Reason, mostly without clearly defining in which sense it was taken: Wolf’s, for instance, in his *Ontology*, § 70, repeated by Baumgarten in his “*Metaphysics*,” § 20. It is useless to repeat and refute it here, as it obviously rests on a verbal quibble. Plattner<sup>52</sup> and Jakob<sup>53</sup> have tried other proofs, in which, however, the circle is easily detected. I purpose dealing with those of Kant further on, as I have already said. Since I hope, in the course of this treatise, to point out the different laws of our cognitive faculties, of which the principle of sufficient reason is the common expression, it will result as a matter of course, that this principle cannot be proved, and that, on the contrary, Aristotle’s remark:<sup>54</sup> λόγον ζητοῦσι ὧν οὐκ ἔστι λόγος. ἀποδείξεως γὰρ ἀρχὴ οὐκ ἀπόδειξις ἔστι (*rationem eorum quærant, quorum non est ratio: demonstrationis enim principium non est demonstratio*) may be applied with equal propriety to all these proofs. For every proof is a reference to something already recognised; and if we continue requiring a proof again for this something, whatever it be, we at last arrive at certain propositions which express the forms and laws, therefore the conditions, of all thought and of all knowledge, in the application of which consequently all thought and all knowledge consists: so that certainty is nothing but correspondence with those conditions, forms, and laws, therefore their own certainty cannot again be ascertained by means of other propositions. In the fifth chapter I mean to discuss the kind of truth which belongs to propositions such as these.

To seek a proof for the Principle of Sufficient Reason, is, moreover, an especially flagrant absurdity, which shows a want of reflection. Every proof is a demonstration of the reason for a judgment which has been pronounced,

and which receives the predicate *true* in virtue precisely of that demonstration. This necessity for a reason is exactly what the Principle of Sufficient Reason expresses. Now if we require a proof of it, or, in other words, a demonstration of its reason, we thereby already assume it to be true, nay, we found our demand precisely upon that assumption, and thus we find ourselves involved in the circle of exacting a proof of our right to exact a proof.

### CHAPTER III. INSUFFICIENCY OF THE OLD AND OUTLINES OF A NEW DEMONSTRATION.

§ 15. *Cases which are not comprised among the old established meanings of the Principle.*

From the summary given in the preceding chapter we gather, that two distinct applications of the principle of sufficient reason have been recognized, although very gradually, very tardily, and not without frequent relapses into error and confusion: the one being its application to judgments, which, to be true, must have a reason; the other, its application to changes in material objects, which must always have a cause. In both cases we find the principle of sufficient reason authorizing us to ask *why?* a quality which is essential to it. But are all the cases in which it authorizes us to ask *why* comprised in these two relations? If I ask: Why are the three sides of this triangle equal? the answer is: Because the three angles are so. Now, is the equality of the angles the cause of the equality of the sides? No; for here we have to do with no change, consequently with no effect which must have a cause. — Is it merely a logical reason? No; for the equality of the angle is not only a proof of the equality of the sides, it is not only the foundation of a judgment: mere conceptions alone would never suffice to explain why the sides must be equal, because the angles are so; for the conception of the equality of the sides is not contained in that of the equality of the angles. Here therefore we have no connection between conceptions and judgments, but between sides and angles. The equality of the angles is not the *direct*, but the *indirect* reason, by which we know the equality of the sides; for it is the reason why a thing is such as it is (in this case, that the sides are equal): the angles being equal, the sides must therefore be equal. Here we have a necessary connection between angles and sides, not a direct, necessary connection between two judgments. — Or again, if I ask why *infecta facta*, but never *facta infecta fieri possunt*, consequently why the past is absolutely irrevocable, the future inevitable, even this does not admit of purely logical proof by means of mere abstract conceptions, nor does it belong either to causality, which only rules *occurrences* within Time, not Time itself. The present hour hurled the preceding one into the bottomless pit of the past, not through causality, but

immediately, through its mere existence, which existence was nevertheless inevitable. It is impossible to make this comprehensible or even clearer by means of mere conceptions; we recognise it, on the contrary, quite directly and instinctively, just as we recognize the difference between right and left and all that depends upon it: for instance, that our left glove will not fit our right hand, &c. &c.

Now, as all those cases in which the principle of sufficient reason finds its application cannot therefore be reduced to logical reason and consequence and to cause and effect, the law of specification cannot have been sufficiently attended to in this classification. The law of homogeneity, however, obliges us to assume, that these cases cannot differ to infinity, but that they may be reduced to certain species. Now, before attempting this classification, it will be necessary to determine what is peculiar to the principle of sufficient reason in all cases, as its special characteristic; because the conception of the genus must always be determined before the conception of the species.

#### § 16. *The Roots of the Principle of Sufficient Reason.*

*Our knowing consciousness, which manifests itself as outer and inner Sensibility (or receptivity) and as Understanding and Reason, subdivides itself into Subject and Object and contains nothing else. To be Object for the Subject and to be our representation, are the same thing. All our representations stand towards one another in a regulated connection, which may be determined À PRIORI, and on account of which, nothing existing separately and independently, nothing single or detached, can become an Object for us.* It is this connection which is expressed by the Principle of Sufficient Reason in its generality. Now, although, as may be gathered from what has gone before, this connection assumes different forms according to the different kinds of objects, which forms are differently expressed by the Principle of Sufficient Reason; still the connection retains what is common to all these forms, and this is expressed in a general and abstract way by our principle. The relations upon which it is founded, and which will be more closely indicated in this treatise, are what I call the Root of the Principle of Sufficient Reason. Now, on closer inspection, according to the laws of homogeneity and of specification, these relations separate into distinct species, which differ widely from each other. Their number, however, may

be reduced to *four*, according to the *four* classes into which everything that can become an object for us — that is to say, all our representations — may be divided. These classes will be stated and considered in the following four chapters.

We shall see the Principle of Sufficient Reason appear under a different form in each of them; but it will also show itself under all as the same principle and as derived from the said root, precisely because it admits of being expressed as above.

## CHAPTER IV. ON THE FIRST CLASS OF OBJECTS FOR THE SUBJECT, AND THAT FORM OF THE PRINCIPLE OF SUFFICIENT REASON WHICH PREDOMINATES IN IT.

### § 17. *General Account of this Class of Objects.*

The first class of objects possible to our representative faculty, is that of *intuitive, complete, empirical* representations. They are *intuitive* as opposed to mere thoughts, *i.e.* abstract conceptions; they are *complete*, inasmuch as, according to Kant's distinction, they not only contain the formal, but also the material part of phenomena; and they are *empirical*, partly as proceeding, not from a mere connection of thoughts, but from an excitation of feeling in our sensitive organism, as their origin, to which they constantly refer for evidence as to their reality: partly also because they are linked together, according to the united laws of Space, Time and Causality, in that complex without beginning or end which forms our *Empirical Reality*. As, nevertheless, according to the result of Kant's teaching, this *Empirical Reality* does not annul their *Transcendental Ideality*, we shall consider them here, where we have only to do with the formal elements of knowledge, merely as representations.

### § 18. *Outline of a Transcendental Analysis of Empirical Reality.*

The forms of these representations are those of the inner and outer sense; namely, *Time* and *Space*. But these are only *perceptible* when *filled*. Their *perceptibility* is *Matter*, to which I shall return further on, and again in § 21. *If Time were the only form* of these representations, there could be no *coexistence*, therefore nothing *permanent* and no *duration*. For *Time* is only perceived when filled, and its course is only perceived by the *changes* which take place in that which fills it. The *permanence* of an object is therefore only recognized by contrast with the *changes* going on in other objects *coexistent* with it. But the representation of *coexistence* is impossible in *Time* alone; it depends, for its completion, upon the representation of *Space*; because, in mere *Time*, all things *follow one another*, and in mere *Space* all things are *side by side*; it is accordingly only



by the combination of Time and Space that the representation of coexistence arises.

*On the other hand, were Space the sole form of this class of representations, there would be no change; for change or alteration is succession of states, and succession is only possible in Time. We may therefore define Time as the possibility of opposite states in one and the same thing.*

Thus we see, that although infinite divisibility and infinite extension are common to both Time and Space, these two forms of empirical representations differ fundamentally, inasmuch as what is essential to the *one* is without any meaning at all for the *other*: juxtaposition having no meaning in Time, succession no meaning in Space. The empirical representations which belong to the orderly complex of reality, appear notwithstanding in both forms together; nay, the *intimate union* of both is the condition of reality which, in a sense, grows out of them, as a product grows out of its factors. Now it is the Understanding which, by means of its own peculiar function, brings about this *union* and connects these heterogeneous forms in such a manner, that *empirical reality* — albeit only for that Understanding — arises out of their mutual interpenetration, and arises as a collective representation, forming a complex, held together by the forms of the principle of sufficient reason, but whose limits are problematical. Each single representation belonging to this class is a part of this complex, each one taking its place in it according to laws known to us *à priori*; in it therefore countless objects *coexist*, because Substance, *i.e.* Matter, remains permanent in spite of the ceaseless flow of Time, and because its states change in spite of the rigid immobility of Space. In this complex, in short, the whole objective, real world exists for us. The reader who may be interested in this, will find the present rough sketch of the analysis of empirical reality further worked out in § 4 of the first volume of “Die Welt als Wille und Vorstellung,”<sup>55</sup> where a closer explanation is given of the way in which the Understanding effects this union and thus creates for itself the empirical world. He will also find a very important help in the table, “*Prædicabilia à priori* of Time, Space, and Matter,” which is added to the fourth chapter of the second volume of the same work, and which I recommend to his attention, as it especially shows how the contrasts of Time and Space are equally balanced in Matter, as their product, under the form of Causality.

We shall now proceed to give a detailed exposition of that function of the Understanding which is the basis of empirical reality; only we must first, by a few incidental explanations, remove the more immediate objections which the fundamental idealism of the view I have adopted might encounter.

### § 19. *Immediate Presence of Representations.*

Now as, notwithstanding this union through the Understanding of the forms of the inner and outer sense in representing Matter and with it a permanent outer world, all *immediate* knowledge is nevertheless acquired by the Subject through the *inner* sense alone — the outer sense being again Object for the inner, which in its turn perceives the perceptions of the outer — and as therefore, with respect to the *immediate presence* of representations in its consciousness, the Subject remains under the rule of *Time* alone, as the form of the *inner sense*:<sup>56</sup> it follows, that only one representation can be present to it (the Subject) at the same time, although that one may be very complicated. When we speak of representations as *immediately present*, we mean, that they are not only known in the union of Time and Space effected by the Understanding — an intuitive faculty, as we shall soon see — through which the collective representation of empirical reality arises, but that they are known in mere Time alone, as representations of the inner sense, and just at the neutral point at which its two currents separate, called the *present*. The necessary condition mentioned in the preceding paragraph for the immediate presence of a representation of this class, is its causal action upon our senses and consequently upon our organism, which itself belongs to this class of objects, and is therefore subject to the causal law which predominates in it and which we are now about to examine. Now as therefore, on the one hand, according to the laws of the inner and outer world, the Subject cannot stop short at that one representation; but as, on the other hand, there is no coexistence in Time alone: that single representation must always vanish and be superseded by others, in virtue of a law which we cannot determine *à priori*, but which depends upon circumstances soon to be mentioned. It is moreover a well-known fact, that the imagination and dreams reproduce the immediate presence of representations; the investigation of that fact, however, belongs to empirical Psychology. Now as, notwithstanding the transitory, isolated nature of our

representations with respect to their immediate presence in our consciousness, the Subject nevertheless retains the representation of an all-comprehensive complex of reality, as described above, by means of the function of the Understanding; representations have, on the strength of this antithesis, been viewed, as something quite different when considered as belonging to that complex than when considered with reference to their immediate presence in our consciousness. From the former point of view they were called *real things*; from the latter only, representations κατ' ἐξοχήν. This view of the matter, which is the ordinary one, is known under the name of *Realism*. On the appearance of modern philosophy, *Idealism* opposed itself to this *Realism* and has since been steadily gaining ground. Malebranche and Berkeley were its earliest representatives, and Kant enhanced it to the power of Transcendental Idealism, by which the co-existence of the Empirical Reality of things with their Transcendental Ideality becomes conceivable, and according to which Kant expresses himself as follows:<sup>57</sup> “*Transcendental Idealism* teaches that all phenomena are representations only, not things by themselves.” And again:<sup>58</sup> “Space itself is nothing but mere representation, and whatever is in it must therefore be contained in that representation. There is nothing whatever in Space, except so far as it is really represented in it.” Finally he says:<sup>59</sup> “If we take away the thinking Subject, the whole material world must vanish; because it is nothing but a phenomenon in the sensibility of our own subject and a certain class of its representations.” In India, Idealism is even a doctrine of popular religion, not only of Brahminism, but of Buddhism; in Europe alone is it a paradox, in consequence of the essentially and unavoidably realistic principle of Judaism. But Realism quite overlooks the fact, that the so-called existence of these real things is *absolutely nothing but their being represented (ein Vorgestellt-werden)*, or — if it be insisted, that only the immediate presence in the consciousness of the Subject can be called being represented κατ' ἐντελέχειαν — it is even only a possibility of being represented κατὰ δύναμιν. The realist forgets that the Object ceases to be Object apart from its reference to the Subject, and that if we take away that reference, or think it away, we at once do away with all objective existence. Leibnitz, while he clearly felt the Subject to be the necessary condition for the Object, was nevertheless unable to get rid of the thought that objects exist by themselves and independently of all reference whatsoever to the Subject, *i.e.* independently of being represented. He

therefore assumed in the first place a world of objects exactly like the world of representations and running parallel with it, having no direct, but only an outward connection with it by means of a *harmonia præstabilita*; — obviously the most superfluous thing possible, for it never comes within perception, and the precisely similar world of representations which does come within perception, goes its own way regardless of it. When, however, he wanted to determine more closely the essence of these things existing objectively in themselves, he found himself obliged to declare the Objects in themselves to be Subjects (*monades*), and by doing so he furnished the most striking proof of the inability of our consciousness, in as far as it is merely cognitive, to find within the limits of the intellect — *i.e.* of the apparatus by means of which we represent the world — anything beyond Subject and Object; the representer and the represented. Therefore, if we abstract from the objectivity of an Object, or in other words, from its being represented (*Vorgestellt-werden*), if we annul it in its quality as an Object, yet still wish to retain something, we can meet with nothing but *the Subject*. Conversely, if we desire to abstract from the subjectivity of the Subject, yet to have something over, the contrary takes place, and this leads to Materialism.

Spinoza, who never thoroughly sifted the matter, and never therefore acquired a clear notion of it, nevertheless quite understood the necessary correlation between Subject and Object as so essential, that they are inconceivable without it; consequently he defined it as an identity in the Substance (which alone exists) of that which knows, with that which has extension.

Observation. — With reference to the chief argument of this paragraph, I take the opportunity to remark that if, in the course of this treatise, for the sake of brevity and in order to be more easily understood, I at any time use the term *real objects*, I mean by it nothing but the intuitive representations that are united to form the complex of empirical reality, which reality in itself always remains ideal.

## § 20. *Principle of Sufficient Reason of Becoming.*

In the Class of Objects for the Subject just described, the principle of sufficient reason figures as the *Law of Causality*, and, as such, I call it the *Principle of Sufficient Reason of Becoming*, *principium rationis sufficientis*

*fiendi*. By it, all objects presenting themselves within the entire range of our representation are linked together, as far as the appearance and disappearance of their states is concerned, *i.e.* in the movement of the current of Time, to form the complex of empirical reality. The law of causality is as follows. When one or several real objects pass into any new state, some other state must have preceded this one, upon which the new state regularly follows, *i.e.* as often as that preceding one occurs. This sort of following we call *resulting*; the first of the states being named a *cause*, the second an *effect*. When a substance takes fire, for instance, this state of ignition must have been preceded by a state, 1°, of affinity to oxygen; 2°, of contact with oxygen; 3°, of a given temperature. Now, as ignition must necessarily follow immediately upon this state, and as it has only just taken place, that state cannot always have been there, but must, on the contrary, have only just supervened. This supervening is called a *change*. It is on this account that the law of causality stands in exclusive relation to *changes* and has to do with them alone. Every effect, at the time it takes place, is a *change* and, precisely by not having occurred sooner, infallibly indicates some other *change* by which it has been preceded. That other *change* takes the name of *cause*, when referred to the following one — of *effect*, when referred to a third necessarily preceding *change*. This is the chain of causality. It is necessarily without a beginning. By it, each supervening state must have resulted from a preceding change: in the case just mentioned, for instance, from the substance being brought into contact with free heat, from which necessarily resulted the heightened temperature; this contact again depended upon a preceding change, for instance the sun's rays falling upon a burning-glass; this again upon the removal of a cloud from before the sun; this upon the wind; the wind upon the unequal density of the atmosphere; this upon other conditions, and so forth *in infinitum*. When a state contains all the requisite conditions for bringing about a new state excepting *one*, *this one*, when at last it arrives, is, in a sense, rightly called the cause κατ' ἐξοχήν, inasmuch as we here have the final — in this case the decisive — change especially in view; but if we leave out this consideration, no single condition of the causal state has any advantage over the rest with reference to the determination of the causal connection in general, merely because it happens to be the last. Thus the removal of the cloud in the above example, is in so far the cause of the igniting, as it took place later than the direction

of the burning-glass towards the object; but this might have taken place after the removal of the cloud and the addition of oxygen might have occurred later still: in this respect therefore it is the accidental order of things that determines which is the cause. On closer inspection, however, we find that it is *the entire state* which is the cause of the ensuing one, so that the chronological order in which its single conditions were brought about, is in all essential respects indifferent. With reference to a given case therefore, the last occurring condition of a state may be called the cause κατ' ἐξοχήν, because it completes the measure of the necessary conditions, and its appearance thus becomes the decisive change. For purposes of general consideration, however, it is only the *entire* state which, by bringing about its successor, can be regarded as the cause. The single requisites which, added together, complete and constitute the cause may be called causal elements (*ursächliche Momente*) or even *conditions*, and into these accordingly the cause may be subdivided. On the other hand, it is quite wrong to call the objects themselves causes, instead of the states: some would, for instance, call the burning-glass in the above example the cause of the ignition; while others, again, would call the cloud the cause; others the sun or the oxygen, and so on arbitrarily and without order. But it is absurd to call an object the cause of another object; first of all, because objects not only contain form and quality, but *Matter* also, which has neither beginning or end; secondly, because the law of causality refers exclusively to *changes*, i.e. to the entrance and exit of states in Time, wherein it regulates that special relation, in reference to which the earlier state is called *cause*, the later *effect*, and the necessary connection between both, the *resulting* of the one from the other.

I here refer the thoughtful reader to the explanations I have given in my chief work.<sup>60</sup> For it is of the highest importance that our conception of the true and proper meaning of the law of causality and the sphere of its validity should be perfectly clear and definite: before all things, that we should recognize, that this law refers solely and exclusively to *changes* of material states and to nothing else whatever; consequently, that it ought not to be brought in when *these* are not in question. The law of causality is the regulator of the *changes* undergone in Time by objects of our outer *experience*; but these objects are all material. Each change can only be brought about by another having preceded it, which is determined by a rule,

and then the new change takes place as being necessarily induced by the preceding one. This necessity is the causal nexus.

However simple therefore the law of causality is, we nevertheless find it expressed quite differently in all philosophical manuals, from the earliest down to the latest ages: namely, in a broader, more abstract, therefore less definite way. We are, for instance, informed, now, that it is that by which something else comes into being; now, that it is what produces another thing or gives it reality, &c. &c. Wolf says: *Causa est principium, a quo existentia, sive actualitas, entis alterius dependet*; whereas it is obvious that in causality we have only to do with changes in the form of uncreated, indestructible Matter, and that a springing into existence of what did not previously exist is an impossibility. Want of clearness of thought may, no doubt, in most cases have led to these views of the causal relation; but surely sometimes an *arrière-pensée* lurks in the background — a theological intention coqueting with the Cosmological Proof, for whose sake it is ready to falsify even transcendental, *à priori* truths, the mother's milk of human understanding. We find the clearest instance of this in Thomas Brown's book, "On the Relation of Cause and Effect," a work of 460 pages, which, in 1835, had already reached its fourth edition, and has probably since gone through several more, and which, in spite of its wearisome, pedantic, rambling prolixity, does not handle the subject badly. Now this Englishman rightly recognises, that it is invariably with *changes* that the causal law has to do, and that every effect is accordingly a *change*. Yet, although it can hardly have escaped him, he is unwilling to admit that every cause is likewise a *change*, and that the whole process is therefore nothing but the uninterrupted nexus of *changes* succeeding one another in Time. On the contrary, he persists in clumsily calling the cause an *object* or *substance*, which precedes the change, and in tormenting himself throughout his tedious book with this entirely false expression, which spoils all his explanations, notwithstanding his own better knowledge and against his conscience, simply in order that his definition may on no account stand in the way of the Cosmological Proof, which others might hereafter state elsewhere. — But what can a truth be worth which needs devices such as these to prepare its way?

And what have our own worthy, honest German professors of philosophy been doing in behalf of their dearly beloved Cosmological Proof, since Kant dealt it the death-blow in his Critique of Pure Reason? —



they, who prize truth above everything. They were, indeed, at their wits' ends, for — as these worthies well know, though they do not say so — *causa prima* is, just as well as *causa sui*, a *contradictio in adjecto*, albeit the former expression is more generally used than the latter. It is besides usually pronounced with a very serious, not to say solemn, air; nay, many people, especially English Reverends, turn up their eyes in a truly edifying way when they impressively and emphatically mention that *contradictio in adjecto*: 'the first cause.' They know that a first cause is just as inconceivable as the point at which Space ends or the moment when Time first began. For every cause is a *change*, which necessarily obliges us to ask for the preceding change that brought it about, and so on *in infinitum, in infinitum*! Even a first state of Matter, from which, as it has ceased to be, all following states could have proceeded, is inconceivable. For if this state had in itself been the cause of the following ones, they must likewise have existed from all eternity, and the actual state existing at the present moment could not have only just now come into being. If, on the other hand, that first state only began to be causal at some given period, something or other must have *changed* it, for its inactivity to have ceased; but then something must have occurred, some change must have taken place; and this again obliges us to ask for its cause — *i.e.* a change which preceded it; and here we are once more on the causal ladder, up which we are whipped step by step, higher and higher, *in infinitum, in infinitum*! (These gentlemen will surely not have the face to talk to me of Matter itself arising out of nothing! If so, they will find corollaries at their service further on.) The causal law therefore is not so accommodating as to let itself be used like a hired cab, which we dismiss when we have reached our destination; rather does it resemble the broom brought to life by the apprentice-wizard in Göthe's poem,<sup>61</sup> which, when once set in motion, does not leave off running and fetching water until the old master-wizard himself stops it, which he alone has the power to do. These gentlemen, however, have no master-wizards among them. So what did they do, these noble, genuine lovers of truth, ever on the alert, of course, to proclaim the advent of real merit to the world as soon as it shows itself in their profession, who far from wishing to divert attention from the works of those who are really what *they* only seem to be, by craftily ignoring and meanly keeping them dark, are naturally foremost to acknowledge their worth — aye, surely, as surely as folly loves wisdom above everything? What did they do, I say, to help their old friend, the

sorely distressed Cosmological Proof, now at its last gasp? Oh, they hit upon a shrewd device. “Friend,” they said, “you are in sorry plight since your fatal encounter with that stubborn old man in Königsberg, and indeed your brethren, the Ontological and Physico-theological Proofs are in no better condition. Never mind, you shall not be abandoned by us (that is what we are paid for, you know); only you must alter your dress and your name — there is no help for it — for if we call you by your right name, everyone will take to his heels. Now *incognito*, on the contrary, we can take you by the arm, and once more lead you into society; only, as we have just said, it must be *incognito*! That is sure to answer! First of all, your argument must henceforth be called *The Absolute*. This has a foreign, dignified, aristocratic ring; and no one knows better than we do all that can be done with Germans by assuming airs of importance. Of course all know what the real meaning is, and pique themselves upon that knowledge. But you yourself must come forward disguised, in the form of an enthymeme. Be sure and leave behind you all those prosyllogisms and premisses, by which you used to drag us wearily up the long climax, for everyone knows how utterly useless they are. Come forward with a bold face and a self-sufficient, supercilious air, like a man of few words, and at one bound you will reach the goal. Exclaim (and we will chime in), ‘*The Absolute*, confound it! *that* must *exist*, or there would be nothing at all!’ Here, strike the table with your fist. Whence does the Absolute come? ‘What a silly question! Did not I tell you it was the Absolute?’ — That will do, forsooth! That will do! Germans are accustomed to content themselves with words instead of thoughts. Do we not train them to it from their cradle? Only look at Hegelianism! What is it but empty, hollow, nauseous twaddle! Yet how brilliant a career was that of this philosophical time-server! A few mercenary individuals had only to strike up a laudation of this stuff, and they at once found an echo to their voices in the empty hollow of a thousand numskulls — an echo which still continues to resound, and to extend — and behold! an ordinary intellect, a common impostor soon became a sublime thinker. Take heart, therefore! Besides, our friend and patron, we will also second you in other ways, for how, indeed, are we to get a living without you? So that carping old faultfinder, Kant, has been criticizing Reason, and clipping her wings, has he? Well, then, we will invent a *new* sort of Reason, such as has never been heard of — a Reason that does not think, but which has direct intuition — a Reason which sees Ideas (a high-flown word, made to mystify), sees them

bodily; or which apprehends directly that which you and others seek to prove; or, again, a Reason which has forebodings of all this — this last for the benefit of those who do not care to make large concessions, but also are satisfied with very little. Let us thus pass off early inculcated, popular conceptions for direct revelations of this new kind of Reason, *i.e.* for inspirations from above. As for that old-fashioned Reason, which criticism has criticized away, let us degrade it, call it Understanding, and send it about its business. Well, and what is to become of real, true Understanding? — What in the world have we to do with real, true Understanding? — You smile incredulously; but we know our listeners, and the *harum, horum* we see on the students' benches before us. Bacon of Verulam already in his time said: 'Young men learn to believe at Universities.' Of this they can learn as much as they wish from us; we have a good stock of articles of faith on hand. Should any misgivings assail you, remember that we are in Germany, where what would have been impossible in any other country, has been found possible: where a dull-witted, ignorant, pseudo-philosopher, whose ineffably hollow verbiage disorganizes peoples' brains completely and permanently, a scribbler of nonsense — I am speaking of our dearly beloved Hegel — has not only been actually proclaimed a profound thinker with impunity, and even without incurring ridicule, but is readily accepted as such: yes, indeed, for this fiction has found credence for the last thirty years, and is believed to this day! — Once therefore we have this Absolute with your help, we are quite safe, in spite of Kant and his Critique. — We may then philosophise in a lofty tone, making the Universe proceed from *the Absolute* by means of the most heterogeneous deductions, one more tiresome than the other — this, by the way, being their only point of resemblance. We can call the world the Finite, and the Absolute the Infinite — thus giving an agreeable variety to our nonsense — and talk of nothing but God, explaining how, why, wherefore, by what voluntary or involuntary process he created or brought forth the world, showing whether he be within or without it, and so forth, as if Philosophy were Theology, and as if it sought for enlightenment concerning God, not concerning the Universe!"

The Cosmological Proof, with which we here have to do, and to which the above apostrophe is addressed, consists thus, properly speaking, in the assertion, that the principle of the sufficient reason of *becoming*, or the law of causality, necessarily leads to a thought which destroys it and declares it to be null and void. For the *causa prima (absolutum)* can only be reached

by proceeding upwards from consequence to reason, through a series prolonged *ad libitum*; but it is impossible to stop short at the *causa prima* without at once annulling the principle of sufficient reason.

Having thus briefly and clearly shown the nullity of the Cosmological Proof, as I had in my second chapter already shown the nullity of the Ontological Proof, the sympathizing reader may perhaps expect me to do the same with respect to the Physico-theological Proof, which is a great deal more plausible. As, however, this belongs by its nature to a different department of philosophy, it would be quite out of place here. I therefore refer him to Kant's Critique of Pure Reason, as well as to his Critique of the Faculty of Judgment, where he treats this subject *ex professo*; I likewise refer him, as a complement to Kant's purely negative procedure, to my own positive one in "The Will in Nature,"<sup>62</sup> a work which, though small in bulk, is rich and weighty in content. As for the indifferent reader, he is free to let this and indeed all my writings pass down unread to his descendants. It matters not to me; for I am here, not for one generation only, but for many.

Now, as the law of causality is known to us *à priori*, and is therefore a transcendental law, applicable to every possible experience and consequently without exception, as will be shown in § 21; as moreover it decides, that upon a given, definite, relatively first state, a second equally definite one inevitably ensues by rule, *i.e.*, always; the relation between cause and effect is a necessary one, so that the causal law authorizes us to form hypothetical judgments, and thereby shows itself to be a form of the principle of sufficient reason, upon which principle all judgments must be founded and, as will be shown further on, all *necessity* is based.

This form of our principle I call the *principle of the sufficient reason of becoming*, because its application invariably pre-supposes a change, the entering upon a new state: consequently a becoming. One of its essential characteristics is this: that the cause always precedes the effect in Time (compare § 47), and this alone gives us the original criterion by which to distinguish which is cause and which effect, of two states linked together by the causal nexus. Conversely, in some cases, the causal nexus is known to us through former experience; but the rapidity with which the different states follow upon each other is so great, that the order in which this happens escapes our perception. We then conclude with complete certitude from causality to succession: thus, for instance, we infer that the igniting of gunpowder precedes its explosion.<sup>63</sup>

From this essential connection between causality and succession it follows, that the conception of reciprocity, strictly speaking, has no meaning; for it presumes the effect to be again the cause of its cause: that is, that what follows is at the same time what precedes. In a “Critique of Kantian Philosophy,” which I have added to my chief work, and to which I refer my readers,<sup>64</sup> I have shown at length that this favourite conception is inadmissible. It may be remarked, that authors usually have recourse to it just when their insight is becoming less clear, and this accounts for the frequency of its use. Nay, it is precisely when a writer comes to the end of his conceptions, that the word ‘*reciprocity*’ presents itself more readily than any other; it may, in fact, be looked upon as a kind of alarm-gun, denoting that the author has got out of his depth. It is also worthy of remark, that the word *Wechselwirkung*, literally reciprocal action — or, as we have preferred translating it, *reciprocity* — is only found in the German language, and that there is no precise equivalent for it in daily use in any other tongue.

From the law of causality spring two corollaries which, in virtue of this origin, are accredited as cognitions *à priori*, therefore as unquestionable and without exception. They are, *the law of inertia* and that of *permanence of substance*. The first of these laws avers, that every state in which a body can possibly be — consequently that of repose as well as that of any kind of movement — must last for ever without change, diminution, or augmentation, unless some cause supervenes to alter or annul it. But the other law, by which the eternity of Matter is affirmed, results from the fact, that the law of causality is exclusively applicable to *states* of bodies, such as repose, movement, form, and quality, since it presides over their temporal passing in or out of being; but that it is by no means applicable to the existence of *that which endures* these states, and is called *Substance*, in order precisely to express its exemption from all arising and perishing. ‘*Substance is permanent*’ means, that it can neither pass into, nor out of being: so that its quantity existing in the universe can neither be increased nor diminished. That we know this *à priori*, is proved by the consciousness of unassailable certainty with which, when we see a body disappear — whether it be by conjuring, by minute subdivision, by combustion, volatilisation, or indeed any process whatever — we all nevertheless firmly assume that its substance, *i.e.* its *matter*, must still exist somewhere or other in undiminished quantity, whatever may have become of its *form*; likewise,

when we perceive a body suddenly in a place, where it was not before, that it must have been brought there or formed by some combination of invisible particles — for instance, by precipitation — but that it, *i.e.* its substance, cannot have then started into existence; for this implies a total impossibility and is utterly inconceivable. The certainty with which we assume this beforehand (*à priori*), proceeds from the fact, that our Understanding possesses absolutely no form under which to conceive the beginning and end of Matter. For, as before said, the law of causality — the only form in which we are able to conceive changes at all — is solely applicable to *states* of bodies, and never under any circumstances to the existence of *that which undergoes* all changes: *Matter*. This is why I place the principle of the permanence of Matter among the corollaries of the causal law. Moreover, we cannot have acquired *à posteriori* the conviction that substance is permanent, partly because it cannot, in most instances, be empirically established; partly also, because every empirical knowledge obtained exclusively by means of induction, has only approximate, consequently precarious, never unconditioned, certainty. The firmness of our persuasion as to this principle is therefore of a different kind and nature from our security of conviction with regard to the accuracy of any *empirically* discovered law of Nature, since it has an entirely different, perfectly unshakable, never vacillating firmness. The reason of this is, that the principle expresses a *transcendental* knowledge, *i.e.* one which determines and fixes, *prior* to all experience, what is in any way possible within the whole range of experience; but, precisely by this, it reduces the world of experience to a mere cerebral phenomenon. Even the most universal among the non-transcendental laws of Nature and the one least liable to exception — the law of gravitation — is of empirical origin, consequently without guarantee as to its absolute universality; wherefore it is still from time to time called in question, and doubts occasionally arise as to its validity beyond our solar system; and astronomers carefully call attention to any indications corroborative of its doubtfulness with which they may happen to meet, thereby showing that they regard it as merely empirical. The question may of course be raised, whether gravitation takes effect between bodies which are separated by an *absolute* vacuum, or whether its action within a solar system may not be mediated by some sort of ether, and may not cease altogether between fixed stars; but these questions only admit of an empirical solution, and this proves that here we have not to do with a

knowledge *à priori*. If, on the other hand, we admit with Kant and Laplace the hypothesis, as the most probable one, that each solar system has developed out of an original *nebula* by a gradual process of condensation, we still cannot for a moment conceive the possibility of that original substance having sprung into being out of *nothing*: we are forced to assume the anterior existence of its particles somewhere or other, as well as their having been brought together somehow or other, precisely because of the transcendental nature of the principle of the permanence of Substance. In my Critique of Kantian Philosophy,<sup>65</sup> I have shown at length, that *Substance* is but another word for *Matter*, the conception of substance not being realisable excepting in *Matter*, and therefore deriving its origin from *Matter*, and I have also specially pointed out how that conception was formed solely to serve a surreptitious purpose. Like many other equally certain truths, this eternity of Matter (called the permanence of substance) is forbidden fruit for professors of philosophy; so they slip past it with a bashful, sidelong glance.

By the endless chain of causes and effects which directs all *changes* but never extends beyond them, two existing things remain untouched, precisely because of the limited range of its action: on the one hand, *Matter*, as we have just shown; on the other hand, the primary *forces of Nature*. The first (matter) remains uninfluenced by the causal nexus, because it is *that which undergoes* all changes, or *on which* they take place; the second (the primary forces), because it is they alone *by which* changes or effects become possible; for they alone give causality to causes. *i.e.* the faculty of operating, which the causes therefore hold as mere vassals a fief. Cause and effect are *changes* connected together to necessary succession in Time; whereas the forces of Nature by means of which all causes operate, are exempt from all change; in this sense therefore they are outside Time, but precisely on that account they are always and everywhere in reserve, omnipresent and inexhaustible, ever ready to manifest themselves, as soon as an opportunity presents itself in the thread of causality. A *cause*, like its *effect*, is invariably something individual, a single change; whereas a force of Nature is something universal, unchangeable, present at all times and in all places. The attraction of a thread by amber, for instance, at the present moment, is an effect; its cause is the preceding friction and actual contact of the amber with the thread; and the *force of Nature* which acts in, and



presides over, the process, is Electricity. The explanation of this matter is to be found in my chief work,<sup>66</sup> and there I have shown in a long chain of causes and effects how the most heterogeneous natural forces successively come into play in them. By this explanation the difference between transitory phenomena and permanent forms of operation, becomes exceedingly clear; and as, moreover, a whole section (§ 26) is devoted to the question, it will be sufficient here to give a brief sketch of it. The *rule*, by which a force of Nature manifests itself in the chain of causes and effects — consequently the link which connects it with them — is the law of Nature. But the confusion between forces of Nature and causes is as frequent as it is detrimental to clearness of thought. It seems indeed as though no one had accurately defined the difference between these conceptions before me, however great may have been the urgency for such a distinction. Not only are forces of Nature turned into causes by such expressions as, ‘Electricity, Gravity, &c., are the *cause* of so-and-so,’ but they are even often turned into effects by those who search for a cause for Electricity, Gravity, &c. &c., which is absurd. Diminishing the number of the forces of Nature, however, by reducing one to another, as for instance Magnetism is in our days reduced to Electricity, is a totally different thing. Every *true*, consequently really primary force of Nature — and every fundamental chemical property belongs to these forces — is essentially a *qualitas occulta*, *i.e.* it does not admit of physical, but only of metaphysical explanation: in other words, of an explanation which transcends the world of phenomena. No one has carried this confusion, or rather identification, of causes with forces of Nature further than Maine de Biran in his “Nouvelles considérations des rapports du physique au moral,” for it is essential to his philosophy. It is besides remarkable, that when he speaks of causes, he rarely uses the word *cause* alone, but almost always speaks of *cause ou force*, just as we have seen Spinoza above (§ 8) write *ratio sive causa* no less than eight times in the same page. Both writers are evidently conscious that they are identifying two disparates, in order to be able to make use of the one or the other, according to circumstances; for this end they are obliged to keep the identification constantly before their readers’ mind. —

Now Causality, as the director of each and every change, presents itself in Nature under *three* distinct forms: as *causes* in the strictest acceptance of the word, as *stimuli*, and as *motives*. It is just upon this difference that the

real, essential distinction between inorganic bodies, plants, and animals is based, and not upon external, anatomical, let alone chemical, distinctions.

A *cause*, in its narrowest sense, is that upon which changes in the *inorganic* kingdom alone ensue: those changes, that is to say, which form the theme of Mechanics, Physics, and Chemistry. Newton's third fundamental law, "Action and reaction are equal to one another," applies exclusively to this cause, and enunciates, that the state which precedes (the cause) undergoes a change equivalent to that produced by it (the effect). In this form of causality alone, moreover, does the degree of the effect always exactly correspond to the degree of the cause, so as to enable us accurately to calculate the one by means of the other.

The second form of causality is the *stimulus*; it reigns over *organic* life, as such, *i.e.* over plant life and the vegetative, that is, the unconscious, part of animal life. This second form is characterized by the absence of the distinctive signs of the first. In it accordingly action and reaction are not equal, nor does the intensity of the effect by any means correspond throughout all its degrees to the intensity of the cause; in fact, the opposite effect may even be produced by intensifying the cause.

The third form of causality is the *motive*. Under this form causality rules animal life proper: that is, the exterior, consciously performed actions of all animals. The medium for motives is *knowledge*: an intellect is accordingly needed for susceptibility to motives. The true characteristic of the animal is therefore the faculty of knowing, of representing (*Das Vorstellen*). Animals, as such, always move towards some aim and end, which therefore must have been *recognised* by them: that is to say, it must have presented itself to them as something different from themselves, yet of which they are conscious. Therefore the proper definition of the animal would be: 'That which knows;' for no other definition quite hits the mark or can even perhaps stand the test of investigation. Movement induced by motives is necessarily wanting where there is no cognitive faculty, and movement by stimuli alone remains, *i.e.* plant life. Irritability and sensibility are therefore inseparable. Still motives evidently act in a different way from stimuli; for the action of the former may be very brief, nay, need only be momentary; since their efficacy, unlike that of stimuli, stands in no relation whatever to the duration of that action, to the proximity of the object, &c. &c. A motive needs but to be perceived therefore, to take effect; whereas stimuli always

require outward, often even inward, contact and invariably a certain length of time.

This short sketch of the three forms of causality will suffice here. They are more fully described in my Prize-essay on Free Will.<sup>67</sup> One thing, however, still remains to be urged. The difference between cause, stimulus, and motive, is obviously only a consequence of the various degrees of *receptivity* of beings; the greater their receptivity, the feebler may be the nature of the influence: a stone needs an impact, while man obeys a look. Nevertheless, both are moved by a sufficient cause, therefore with the same necessity. For ‘*motivation*’<sup>68</sup> is only causality passing through knowledge; the intellect is the medium of the motives, because it is the highest degree of receptivity. By this, however, the law of causality loses nothing whatever of its rigour and certainty; for motives are causes and operate with the same necessity which all causes bring with them. This necessity is easy to perceive in animals because of the greater simplicity of their intellect, which is limited to the perception of what is present. Man’s intellect is double: for not only has he intuitive, but abstract, knowledge, which last is not limited to what is present. Man possesses Reason; he therefore has a power of elective decision with clear consciousness: that is, he is able to weigh against one another motives which exclude each other, as such; in other terms, he can let them try their strength on his will. The most powerful motive then decides him, and his actions ensue with just the same necessity as the rolling of a ball after it has been struck. Freedom of Will<sup>69</sup> means (not professorial twaddle but) “*that a given human being, in a given situation, can act in two different ways.*” But the utter absurdity of this assertion is a truth as certain and as clearly proved, as any truth can be which passes the limits of pure mathematics. In my Essay on Free Will, to which the Norwegian Society awarded the prize, this truth is demonstrated more clearly, methodically, and thoroughly than has been done before by anyone else, and this moreover with special reference to those facts of our consciousness by which ignorant people imagine that absurdity to be confirmed. In all that is essential however, Hobbes, Spinoza, Priestley, Voltaire, and even Kant<sup>70</sup> already taught the same doctrine. Our professional philosophers, of course, do not let this interfere with their holding forth on Free Will, as if it were an understood thing which had never been questioned. But what do these gentlemen imagine the above-named great men to have come into the world for, by the grace of Nature? To enable

them (the professors) to earn their livelihood by philosophy? — Since I had proved this truth in my prize-essay more clearly than had ever been done before, and since moreover a Royal Society had sanctioned that proof by placing my essay among its memoranda, it surely behoved these worthies, considering the views they held, to make a vigorous attack upon so pernicious a doctrine, so detestable a heresy, and thoroughly to refute it. Nay, this duty was all the more imperative as, in my other essay “On the Foundation of Morality,”<sup>21</sup> I had proved the utter groundlessness of Kant’s practical Reason with its Categorical Imperative which, under the name of the Moral Law, is still used by these gentlemen as the corner-stone of their own shallow systems of morality. I have shown it to be a futile assumption so clearly and irrefutably, that no one with a spark of judgment can possibly believe any longer in this fiction.— “Well, and so they probably did.” — Oh no! They take good care not to venture on such slippery ground! Their ability consists in holding their tongues; silence is all they have to oppose to intelligence, earnestness, and truth. In not one of the products of their useless scribblings that have appeared since 1841, has the slightest notice been taken of my Ethics — undoubtedly the most important work on Moral Philosophy that has been published for the last sixty years — nay, their terror of me and of my truth is so great, that none of the literary journals issued by Academies or Universities has so much as mentioned the book. *Zitto, zitto*, lest the public should perceive anything: in this consists the whole of their policy. The instinct of self-preservation may, no doubt, be at the bottom of these artful tactics. For would not a philosophy, whose sole aim was truth, and which had no other consideration in view, be likely to play the part of the iron pot among the earthen ones, were it to come in contact with the petty systems composed under the influence of a thousand personal considerations by people whose chief qualification is the propriety of their sentiments? Their wretched fear of my writings is the fear of truth. Nor can it be denied, that precisely this very doctrine of the complete necessity of all acts of the will stands in flagrant contradiction with all the hypotheses of their favourite old-woman’s philosophy cut after the pattern of Judaism. Still, that severely tested truth, far from being disturbed by all this, as a sure datum and criterion, as a true δός μοι ποῦ στῶ, proves the futility of all that old-woman’s philosophy and the urgent need of a fundamentally different, incomparably deeper view of the Universe and of

Man; — no matter whether that view be compatible with the official duties of a professional philosopher or not.

§ 21. *À priori character of the conception of Causality.*

*Intellectual Character of Empirical Perception.*

THE UNDERSTANDING.

In the professorial philosophy of our philosophy-professors we are still taught to this day, that perception of the outer world is a thing of the senses, and then there follows a long dissertation upon each of the five senses: whereas no mention whatever is made of the intellectual character of perception: that is to say, of the fact, that it is mainly the work of the Understanding, which, by means of its own peculiar form of Causality, together with the forms of pure sensibility, Time and Space, which are postulated by Causality, primarily creates and produces the objective, outer world out of the raw material of a few sensations. And yet in its principal features, I had stated this matter in the first edition of the present treatise<sup>72</sup> and soon after developed it more fully in my treatise “On Vision and Colours” (1816), of which Professor Rosas has shown his appreciation by allowing it to lead him into plagiarism.<sup>73</sup> But our professors of philosophy have not thought fit to take the slightest notice either of this, or indeed of any of the other great and important truths which it has been the aim and labour of my whole life to set forth, in order to secure them as a lasting possession to mankind. It does not suit their tastes, or fit into their notions; it leads to no Theology, nor is it even adapted to drill students for higher State purposes. In short, professional philosophers do not care to learn from me, nor do they even see how much they might learn from me: that is, all that their children and their children’s children will learn from me. They prefer to sit down and spin a long metaphysical yarn, each out of his own thoughts, for the benefit of the public; and no doubt, if fingers are a sufficient qualification, they have it. How right was Macchiavelli when he said, as Hesiod<sup>74</sup> before him: “There are three sorts of heads: firstly, those which acquire knowledge of things and comprehend them by themselves; secondly, those which recognise the truth when it is shown them by others; and thirdly, those which can do neither the one nor the other.”<sup>75</sup> —

One must indeed be forsaken by all the gods, to imagine that the outer, perceptible world, filling Space in its three dimensions and moving on in

the inexorable flow of Time, governed at every step by the laws of Causality, which is without exception, and in all this merely obeying laws we can indicate before all experience of them — that such a world as this, we say, can have a real, objective existence outside us, without any agency of our own, and that it can then have found its way into our heads through bare sensation and thus have a second existence within us like the one outside. For what a miserably poor thing is mere sensation, after all! Even in the noblest of our organs it is nothing but a local, specific feeling, susceptible of some slight variation, still in itself always subjective and, as such therefore, incapable of containing anything objective, anything like perception. For sensation is and remains a process within the organism and is limited, as such, to the region within the skin; it cannot therefore contain anything which lies beyond that region, or, in other words, anything that is outside us. A sensation may be pleasant or unpleasant — which betokens a relation to the Will — but nothing objective can ever lie in any sensation. In the organs of the senses, sensation is heightened by the confluence of the nerve-extremities, and can easily be excited from without on account of their extensive distribution and the delicacy of the envelope which encloses them; it is besides specially susceptible to particular influences, such as light, sound, smell; notwithstanding which it is and remains mere sensation, like all others within our body, consequently something essentially subjective, of whose changes we only become immediately conscious in the form of the *inner* sense, Time: that is, successively. It is only when the *Understanding* begins to act — a function, not of single, delicate nerve-extremities, but of that mysterious, complicated structure weighing from five to ten pounds, called the brain — only when it begins to apply its sole form, *the causal law*, that a powerful transformation takes place, by which subjective sensation becomes objective perception. For, in virtue of its own peculiar form, therefore *à priori*, *i.e. before* all experience (since there could have been none till then), the Understanding conceives the given corporeal sensation as an *effect* (a word which the Understanding alone comprehends), which *effect*, as such, necessarily implies a *cause*. Simultaneously it summons to its assistance *Space*, the form of the *outer* sense, lying likewise ready in the intellect (*i.e.* the brain), in order to remove that cause *beyond* the organism; for it is by this that the external world first arises, Space alone rendering it possible, so that pure intuition *à priori* has to supply the foundation for empirical perception. In this process,



as I shall soon show more clearly, the Understanding avails itself of all the several data, even the minutest, which are presented to it by the given sensation, in order to construct the cause of it in Space in conformity with them. This intellectual operation (which is moreover explicitly denied both by Schelling<sup>76</sup> and by Fries<sup>77</sup>), does not however take place discursively or reflectively, *in abstracto*, by means of conceptions and words; it is, on the contrary, an intuitive and quite direct process. For by it alone, therefore exclusively *in* the Understanding and *for* the Understanding, does the real, objective, corporeal world, filling Space in its three dimensions, present itself and further proceed, according to the same law of causality, to change in Time, and to move in Space. — It is therefore the Understanding itself which has to create the objective world; for this world cannot walk into our brain from outside all ready cut and dried through the senses and the openings of their organs. In fact, the senses supply nothing but the raw materials which the Understanding at once proceeds to work up into the objective view of a corporeal world, subject to regular laws, by means of the simple forms we have indicated: Space, Time, and Causality. Accordingly our every-day *empirical perception* is an *intellectual* one and has a right to claim this predicate, which German pseudo-philosophers have given to a pretended intuition of dream-worlds, in which their beloved *Absolute* is supposed to perform its evolutions. And now I will proceed to show how wide is the gulf which separates sensation from perception, by pointing out how raw is the material out of which the beautiful edifice is constructed.

Objective perception makes use, properly speaking, of only two senses; touch and sight. These alone supply the data upon which, as its basis, the Understanding constructs the objective world by the process just described. The three other senses remain on the whole subjective; for their sensations, while pointing to an external cause, still contain no data by which its relations *in Space* can be determined. Now *Space* is the form of all perception, *i.e.* of *that* apprehension, in which alone *objects* can, properly speaking, present themselves. Therefore those other three senses can no doubt serve to announce the presence of objects we already know in some other way; but no construction in Space, consequently no objective perception, can possibly be founded on their data. A rose cannot be constructed from its perfume, and a blind man may hear music all his life without having the slightest objective representation either of the musicians,



or of the instruments, or of the vibrations of the air. On the other hand, the sense of hearing is of great value as a medium for language, and through this it is the sense of *Reason*. It is also valuable as a medium for music, which is the only way in which we comprehend numerical relations not only *in abstracto*, but directly, *in concreto*. A musical sound or tone, however, gives no clue to spacial relations, therefore it never helps to bring the nature of its cause nearer to us; we stop short at it, so that it is no datum for the Understanding in its construction of the objective world. The sensations of touch and sight alone are such data; therefore a blind man without either hands or feet, while able to construct Space for himself *à priori* in all its regularity, would nevertheless acquire but a very vague representation of the objective world. Yet what is supplied by touch and sight is not by any means perception, but merely the raw material for it. For perception is so far from being contained in the sensations of touch and sight, that these sensations have not even the faintest resemblance to the qualities of the things which present themselves to us through them, as I shall presently show. Only what really belongs to sensation must first be clearly distinguished from what is added to it by the intellect in perception. In the beginning this is not easy, because we are so accustomed to pass from the sensation at once to its cause, that the cause presents itself to us without our noticing the sensation apart from it, by which, as it were, the premisses are supplied to this conclusion drawn by the Understanding.

Thus touch and sight have each their own special advantages, to begin with; therefore they assist each other mutually. Sight needs no contact, nor even proximity; its field is unbounded and extends to the stars. It is moreover sensitive to the most delicate degrees of light, shade, colour, and transparency; so that it supplies the Understanding with a quantity of nicely defined data, out of which, by dint of practice, it becomes able to construct the shape, size, distance, and nature of bodies, and represents them at once perceptibly. On the other hand, touch certainly depends upon contact; still its data are so varied and so trustworthy, that it is the most searching of all the senses. Even perception by sight may, in the last resort, be referred to touch; nay, sight may be looked upon as an imperfect touch extending to a great distance, which uses the rays of light as long feelers; and it is just because it is limited to those qualities which have light for their medium and is therefore one-sided, that it is so liable to deception; whereas touch supplies the data for cognising size, shape, hardness, softness, roughness,

temperature, &c. &c., quite immediately. In this it is assisted, partly by the shape and mobility of our arms, hands, and fingers, from whose position in feeling objects the Understanding derives its data for constructing bodies in Space, partly by muscular power, which enables it to know the weight, solidity, toughness, or brittleness of bodies: all this with the least possible liability to error.

These data nevertheless do not by any means yet give perception, which is always the work of the Understanding. The sensation I have in pressing against a table with my hand, contains no representation of a firm cohesion of parts in that object, nor indeed anything at all like it. It is only when my Understanding passes from that sensation to its cause, that the intellect constructs for itself a body having the properties of solidity, impenetrability, and hardness. If in the dark, I put my hand upon a flat surface, or lay hold of a ball of about three inches in diameter, the same parts of my hand feel the pressure in both cases; it is only by the different position which my hand takes that, in the one or in the other case, my Understanding constructs the shape of the body whose contact is the cause of the sensation, for which it receives confirmation from the changes of position which I make. The sensations in the hand of a man born blind, on feeling an object of cubic shape, are quite uniform and the same on all sides and in every direction: the edges, it is true, press upon a smaller portion of his hand, still nothing at all like a cube is contained in these sensations. His Understanding, however, draws the immediate and intuitive conclusion from the resistance felt, that this resistance must have a cause, which then presents itself through that conclusion as a hard body; and through the movements of his arms in feeling the object, while the hand's sensation remains unaltered, he constructs the cubic shape in Space, which is known to him *à priori*. If the representation of a cause and of Space, together with their laws, had not already existed within him, the image of a cube could never have proceeded from those successive sensations in his hand. If a rope be drawn through his hand, he will construct, as the cause of the friction he feels and of its duration, a long cylindrical body, moving uniformly in the same direction in that particular position of his hand. But the representation of movement, *i.e.* of change of place in Space by means of Time, never could arise for him out of the mere sensation in his hand; for that sensation can neither contain, nor can it ever by itself alone produce any such thing. It is his intellect which must, on the contrary, contain within itself, before all experience, the

intuitions of Space, Time, and together with them that of the possibility of movement; and it must also contain the representation of Causality, in order to pass from sensation — which alone is given by experience — to a cause of that sensation, and to construct that cause as a body having this or that shape, moving in this or that direction. For how great is the difference between a mere sensation in my hand and the representations of causality, materiality, and mobility in Space by means of Time! The sensation in my hand, even if its position and its points of contact are altered, is a thing far too uniform and far too poor in data, to enable me to construct out of it the representation of Space, with its three dimensions, and of the influences of bodies one upon another, together with the properties of expansion, impenetrability, cohesion, shape, hardness, softness, rest, and motion: the basis, in short, of the objective world. This is, on the contrary, only possible by the intellect containing within itself, anterior to all experience, Space, as the form of perception; Time, as the form of change; and the law of Causality, as the regulator of the passing in and out of changes. Now it is precisely the pre-existence before all experience of all these forms, which constitutes the Intellect. Physiologically, it is a function of the brain, which the brain no more learns by experience than the stomach to digest, or the liver to secrete bile. Besides, no other explanation can be given of the fact, that many who were born blind, acquire a sufficiently complete knowledge of the relations of Space, to enable them to replace their want of eyesight by it to a considerable degree, and to perform astonishing feats. A hundred years ago Saunderson, for instance, who was blind from his birth, lectured on Optics, Mathematics, and Astronomy at Cambridge.<sup>78</sup> This, too, is the only way to explain the exactly opposite case of Eva Lauk, who was born without arms or legs, yet acquired an accurate perception of the outer world by means of sight alone as rapidly as other children.<sup>79</sup> All this therefore proves that Time, Space, and Causality are not conveyed into us by touch or by sight, or indeed at all from outside, but that they have an internal, consequently not empirical, but intellectual origin. From this again follows, that the perception of the bodily world is an essentially intellectual process, a work of the Understanding, to which sensation merely gives the opportunity and the data for application in individual cases.

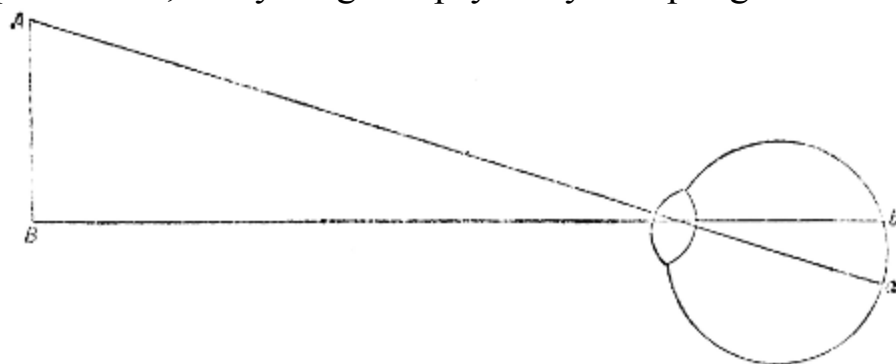
I shall now prove the same with regard to the sense of sight. Here the only immediate datum is the sensation experienced by the retina, which, though admitting of great variety, may still be reduced to the impression of

light and dark with their intermediate gradations and to that of colours proper. This sensation is entirely subjective: that is to say, it only exists within the organism and under the skin. Without the Understanding, indeed, we should never even become conscious of these gradations, excepting as of peculiar, varied modifications of the feeling in our eye, which would bear no resemblance to the shape, situation, proximity, or distance of objects outside us. For *sensation*, in seeing, supplies nothing more than a varied affection of the retina, exactly like the spectacle of a painter's palette with divers splashes of colour. Nor would anything more remain over in our consciousness, were we suddenly deprived of all our Understanding — let us say by paralysis of the brain — at a moment when we were contemplating a rich and extensive landscape, while the sensation was left unchanged: for this was the raw material out of which our Understanding had just before been constructing that perception.

Now, that the Understanding should thus be able, from such limited material as light, shade and colour, to produce the visible world, inexhaustibly rich in all its different shapes, by means of the simple function of referring effects to causes assisted by the intuition of Space, depends before all things upon the assistance given by the sensation itself, which consists in this: first, that the retina, as a surface, admits of a juxtaposition of impressions; secondly, that light always acts in straight lines, and that its refraction in the eye itself is rectilinear; finally, that the retina possesses the faculty of immediately feeling from which direction the light comes that impinges upon it, and this can, perhaps, only be accounted for by the rays of light penetrating below the surface of the retina. But by this we gain, that the mere impression at once indicates the direction of its cause; that is, it points directly to the position of the object from which the light proceeds or is reflected. The passage to this object as a cause no doubt presupposes the knowledge of causal relations, as well as of the laws of Space; but this knowledge constitutes precisely the furniture of the *Intellect*, which, here also, has again to create perception out of mere sensation. Let us now examine its procedure in doing so more closely.

The first thing it does is to set right the impression of the object, which is produced on the retina upside down. That original inversion is, as we know, brought about in the following manner. As each point of the visible object sends forth its rays towards all sides in a rectilinear direction, the rays from its upper extremity cross those from its lower extremity in the narrow

aperture of the pupil, by which the former impinge upon the bottom, the latter upon the top, those projected from the right side upon the left, and *vice versa*. The refracting apparatus of the eye, which consists of the *humor aqueus, lens, et corpus vitreum*, only serves to concentrate the rays of light proceeding from the object, so as to find room for them on the small space of the retina. Now, if seeing consisted in mere sensation, we should perceive the impression of the object turned upside down, because we receive it thus; but in that case we should perceive it as something within our eye, for we should stop short at the sensation. In reality, however, the Understanding steps in at once with its causal law, and as it has received from sensation the datum of the direction in which the ray impinged upon the retina, it pursues that direction retrogressively up to the cause on both lines; so that this time the crossing takes place in the opposite direction, and the cause presents itself upright as an external object in Space, *i.e.* in the position in which it originally sent forth its rays, not that in which they reached the retina (see fig. 1). — The purely intellectual nature of this process, to the exclusion of all other, more especially of physiological, explanations, may also be confirmed by the fact, that if we put our heads between our legs, or lie down on a hill head downwards, we nevertheless see objects in their right position, and not upside down; although the portion of the retina, which is usually met by the lower part of the object is then met by the upper: in fact, everything is topsy turvy excepting the Understanding.



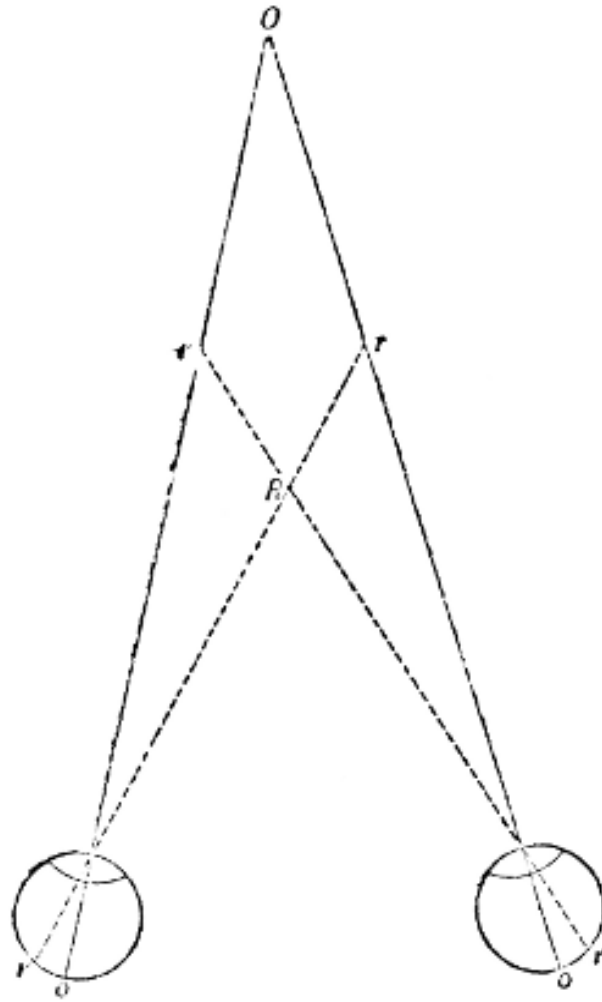
*Fig. 1.*

The *second* thing which the Understanding does in converting sensation into perception, is to make a single perception out of a double sensation; for each eye in fact receives its own separate impression from the object we are looking at; each even in a slightly different direction: nevertheless that object presents itself as a single one. This can only take place in the Understanding, and the process by which it is brought about is the

following: Our eyes are never quite parallel, excepting when we look at a distant object, *i.e.* one which is more than 200 feet from us. At other times they are both directed towards the object we are viewing, whereby they converge, so as to make the lines proceeding from each eye to the exact point of the object on which it is fixed, form an *angle*, called the *optic angle*; the lines themselves are called *optic axes*. Now, when the object lies straight before us, these lines exactly impinge upon the centre of each retina, therefore in two points which correspond exactly to each other in each eye. The Understanding, whose only business it is to look for the *cause* of all things, at once recognises the impression as coming from a *single* outside point, although here the sensation is double, and attributes it to *one* cause, which therefore presents itself as a single object. For all that is perceived by us, is perceived as a *cause* — that is to say, as the cause of an effect we have experienced, consequently *in the Understanding*. As, nevertheless, we take in not only a single point, but a considerable surface of the object with both eyes, and yet perceive it as a single object, it will be necessary to pursue this explanation still further. All those parts of the object which lie to one side of the vertex of the optic angle no longer send their rays straight into the centre, but to the side, of the retina in each eye; in both sides, however, to the same, let us say the left, side. The points therefore upon which these rays impinge, *correspond symmetrically to each other*, as well as the centres — in other words, they are *homonymous points*. The Understanding soon learns to know them, and accordingly extends the above-mentioned rule of its causal perception to them also; consequently it not only refers those rays which impinge upon the centre of each retina, but those also which impinge upon all the other symmetrically corresponding places in both retinas, to a single radiant point in the object viewed: that is, it sees all these points likewise as single, and the entire object also. Now, it should be well observed, that in this process it is not the outer side of one retina which corresponds to the outer side of the other, and the inner to the inner of each, but the right side of one retina which corresponds to the right side of the other, and so forth; so that this symmetrical correspondence must not be taken in a physiological, but in a geometrical sense. Numerous and very clear illustrations of this process, and of all the phenomena which are connected with it, are to be found in Robert Smith's "Optics," and partly also in Kästner's German translation (1755). I only give *one* (fig. 2), which, properly speaking, represents a special case, mentioned further on, but

which may also serve to illustrate the whole, if we leave the point R out of question. According to this illustration, we invariably direct both eyes equally towards the object, in order that the symmetrically corresponding places on both retinas may catch the rays projected from the same points. Now, when we move our eyes upwards and downwards, to the sides, and in all directions, the point in the object which first impinged upon the central point of each retina, strikes a different place every time, but in all cases one which, in each eye, corresponds to the place bearing the same name in the other eye. In examining (*perlustrare*) an object, we let our eyes glide backwards and forwards over it, in order to bring each point of it successively into contact with the centre of the retina, which sees most distinctly: we feel it all over with our eyes. It is therefore obvious that seeing singly with two eyes is in fact the same process as feeling a body with ten fingers, each of which receives a different impression, each moreover in a different direction: the totality of these impressions being nevertheless recognised by the Understanding as proceeding from *one* object, whose shape and size it accordingly apprehends and constructs in Space. This is why it is possible for a blind man to become a sculptor, as was the case, for instance, with the famous Joseph Kleinhaus, who died in Tyrol, 1853, having been a sculptor from his fifth year.<sup>80</sup> For, no matter from what cause it may have derived its data, perception is invariably an operation of the Understanding.





*Fig. 2.*

But just as a single ball seems to me double, if I touch it with my fingers crossed — since my Understanding, at once reverting to the cause and constructing it according to the laws of Space, takes for granted that the fingers are in their normal position and of course cannot do otherwise than attribute two spherical surfaces, which come in contact with the outer sides of the first and middle fingers, to two different balls — just so also does an object seem double, if my eyes, instead of converging symmetrically and enclosing the optic angle at a single point of the object, each view it at a different inclination — in other words, if I squint. For the rays, which in this case emanate from one point of the object, no longer impinge upon those symmetrically corresponding points in both retinas with which my mind has grown familiar by long experience, but upon other, quite different ones which, in a symmetrical position of the eyes, could only be affected in

this way by different bodies; I therefore now see *two* objects, precisely because perception takes place by means of, and within, the Understanding. — The same thing happens without squinting when, for instance, I look fixedly at the furthest of two objects placed at unequal distances before me, and complete the optic angle at it; for then the rays emanating from the nearer object do not impinge upon symmetrically corresponding places in both retinas, wherefore my Understanding attributes them to two objects, *i.e.* I see the nearer object double (see fig. 2, page 70). If, on the contrary, I complete the optic angle at the nearer object, by looking steadily at it, the further object appears double. It is easy to test this by holding a pencil two feet from the eyes, and looking alternately at it and at some other more distant object behind it.

But the finest thing of all is, that this experiment may quite well be reversed: so that, with two real objects straight before and close to us, and with our eyes wide open, we nevertheless see but *one*. This is the most striking proof that perception is a work of the Understanding and by no means contained in sensation. Let two cardboard tubes, about 8 inches long and 1-1/2 inches in diameter, be fastened parallel to one another, like those of a binocular telescope, and fix a shilling at the end of each tube. On applying our eyes to the opposite extremity and looking through the tubes, we shall see only *one* shilling surrounded by *one* tube. For in this case the eyes being forced into a completely parallel position, the rays emanating from the coins impinge exactly upon the centres of the two retinas and those points which immediately surround them, therefore upon places which correspond symmetrically to each other; consequently the Understanding, taking for granted the usual convergent position of the optic axes when objects are near, admits but one object as the cause of the reflected rays. In other words, we see but one object; so direct is the act of causal apprehension in the Understanding.

We have not space enough here to refute one by one the physiological explanations of single vision which have been attempted; but their fallacy is shown by the following considerations: —

1°. If seeing single were dependent upon an organic connection, the corresponding points in both retinas, on which this phenomenon is shown to depend, would correspond *organically*, whereas they do so in a merely *geometrical* sense, as has already been said. For, organically speaking, the two inner and two outer corners of the eyes are those which correspond, and

so it is with the other parts also; whereas for the purpose of single vision, it is the right side of the right retina which corresponds to the right side of the left retina, and so on, as the phenomena just described irrefutably show. It is also precisely on account of the intellectual character of the process, that only the most intelligent animals, such as the higher mammalia and birds of prey — more especially owls — have their eyes placed so as to enable them to direct both optic axes to the same point.

2°. The hypothesis of a confluence or partial intersection of the optic nerves before entering the brain, originated by Newton,<sup>81</sup> is false, simply because it would then be impossible to see double by squinting. Vesalius and Cæsalpinus besides have already brought forward anatomical instances in which subjects saw single, although neither fusion nor even contact of the optic nerves had taken place. A final argument against the hypothesis of a mixed impression is supplied by the fact, that on closing our right eye firmly and looking at the sun with our left, the bright image which persists for a time is always in the left, never in the right, eye: and *vice versa*.

The *third* process by which the Understanding converts sensation into perception, consists in constructing bodies out of the simple surfaces hitherto obtained — that is, in adding the third dimension. This it does by estimating the expansion of bodies in this third dimension in Space — which is known to the Understanding *à priori* — through Causality, according to the degree in which the eye is affected by the objects, and to the gradations of light and shade. In fact, although objects fill Space in all three dimensions, they can only produce an impression upon the eye with two; for the nature of that organ is such, that our sensation, in seeing, is merely planimetical, not stereometrical. All that is stereometrical in our perception is added by the Understanding, which has for its sole data the direction whence the eye receives its impression, the limits of that impression, and the various gradations of light and dark: these data directly indicate their causes, and enable us to distinguish whether what we have before us is a disk or a ball. This mental process, like the preceding ones, takes place so immediately and with such rapidity, that we are conscious of nothing but the result. It is this which makes perspective drawing so difficult a problem, that it can only be solved by mathematics and has to be learnt; although all it has to do, is to represent the sensation of seeing as it presents itself to our Understanding as a datum for the third process: that is, visual sensation in its merely planimetical extension, to the *two* dimensions

of which extension, together with the said data in them, the Understanding forthwith adds the *third*, in contemplating a drawing as well as in contemplating reality. Perspective drawing is, in fact, a sort of writing which can be read as easily as printed type, but which few are able to write; precisely because our intellect, in perceiving, only apprehends effects with a view to constructing their causes, immediately losing sight of the former as soon as it has discovered the latter. For instance, we instantly recognise a chair, whatever position it may be in; while drawing a chair in any position belongs to the art which abstracts from this third process of the Understanding, in order to present the data alone for the spectator himself to complete. In its narrowest acceptation, as we have already seen, this is the art of drawing in perspective; in a more comprehensive sense, it is the whole art of painting. A painting presents us with outlines drawn according to the rules of perspective; lighter and darker places proportioned to the effect of light and shade; finally patches of colouring, which are determined as to quality and intensity by the teaching of experience. This the spectator reads and interprets by referring similar effects to their accustomed causes. The painter's art consists in consciously retaining the data of visual sensation in the artist's memory, as they are *before* this third intellectual process; while we, who are not artists, cast them aside without retaining them in our memory, as soon as we have made use of them for the purpose described above. We shall become still better acquainted with this third intellectual process by now passing on to a fourth, which, from its intimate connection with the third, serves to elucidate it.

This *fourth* operation of the Understanding consists in acquiring knowledge of the distance of objects from us: it is this precisely which constitutes that third dimension of which we have been speaking. Visual sensation, as we have said, gives us the *direction* in which objects lie, but not their *distance* from us: that is, not their *position*. It is for the *Understanding* therefore to find out this distance; or, in other words, the distance must be inferred from purely *causal* determinations. Now the most important of these is the *visual angle*, which objects subtend; yet even this is quite ambiguous and unable to decide anything by itself. It is like a word of double meaning: the sense, in which it is to be understood, can only be gathered from its connection with the rest. An object subtending the same visual angle may in fact be small and near, or large and far off; and it is only when we have previously ascertained its size, that the visual angle enables

us to recognise its distance: and conversely, its size, when its distance is known to us. Linear perspective is based upon the fact that the visual angle diminishes as the distance increases, and its principles may here be easily deduced. As our sight ranges equally in all directions, we see everything in reality as from the interior of a hollow sphere, of which our eye occupies the centre. Now in the first place, an infinite number of intersecting circles pass through the centre of this sphere in all directions, and the angles measured by the divisions of these circles are the possible angles of vision. In the second place, the sphere itself modifies its size according to the length of radius we give to it; therefore we may also imagine it as consisting of an infinity of concentric, transparent spheres. As all radii diverge, these concentric spheres augment in size in proportion to their distance from us, and the degrees of their sectional circles increase correspondingly: therefore the true size of the objects which occupy them likewise increases. Thus objects are larger or smaller according to the size of the spheres of which they occupy similar portions — say  $10^\circ$  — while their visual angle remains unchanged in both cases, leaving it therefore undecided, whether the  $10^\circ$  occupied by a given object belong to a sphere of 2 miles, or of 10 feet diameter. Conversely, if the size of the object has been ascertained, the number of degrees occupied by it will diminish in proportion to the distance and the size of the sphere to which we refer it, and all its outlines will contract in similar proportion. From this ensues the fundamental law of all perspective; for, as objects and the intervals between them must necessarily diminish in constant proportion to their distance from us, all their outlines thereby contracting, the result will be, that with increasing distance, what is above us will descend, what is below us will ascend, and all that lies at our sides will come nearer together. This progressive convergence, this linear perspective, no doubt enables us to estimate distances, so far as we have before us an uninterrupted succession of visibly connected objects; but we are not able to do this by means of the visual angle alone, for here the help of another datum is required by the Understanding, to act, in a sense, as commentary to the visual angle, by indicating more precisely the share we are to attribute to distance in that angle. Now there are four principal data of this kind, which I am about to specify. Thanks to these data, even where there is no linear perspective to guide us, if a man standing at a distance of 200 feet appears to me subtending a visual angle twenty-four times smaller than if he were only 2 feet off, I can nevertheless in most cases estimate his

size correctly. All this proves once more that perception is not only a thing of the senses, but of the intellect also. — I will here add the following special and interesting fact in corroboration of what I have said about the basis of linear perspective as well as about the intellectual nature of all perception. When I have looked steadily at a coloured object with sharply defined outlines — say a red cross — long enough for the physiological image to form in my eye as a green cross, the further the surface on to which I project it, the larger it will appear to me: and *vice versa*. For the image itself occupies an unvarying portion of my retina, *i.e.* the portion originally affected by the red cross; therefore when referred outwards, or, in other words, recognised as the effect of an external object, it forms an unchanging visual angle, say of  $2^{\circ}$ . Now if, in this case, where all commentary to the visual angle is wanting, I remove it to a distant surface, with which I necessarily identify it as belonging to its effect, the cross will occupy  $2^{\circ}$  of a distant and therefore larger sphere, and is consequently large. If, on the other hand, I project the image on to a nearer object, it will occupy  $2^{\circ}$  of a smaller sphere, and is therefore small. The resulting perception is in both cases completely objective, quite like that of an external object; and as it proceeds from an entirely subjective reason (from the image having been excited in quite a different way), it thus confirms the intellectual character of all objective perception. — This phenomenon (which I distinctly remember to have been the first to notice, in 1815) forms the theme of an essay by Séguin, published in the “*Comptes rendus*” of the 2nd August, 1858, where it is served up as a new discovery, all sorts of absurd and distorted explanations of it being given. *Messieurs les illustres confrères* let pass no opportunity for heaping experiment upon experiment, the more complicated the better. *Expérience!* is their watchword; yet how rarely do we meet with any sound, genuine reflection upon the phenomena observed! *Expérience! expérience!* followed by twaddle.

To return to the subsidiary data which act as commentaries to a given visual angle, we find foremost among them the *mutationes oculi internæ*, by means of which the eye adapts its refractory apparatus to various distances by increasing and diminishing the refraction. In what these modifications consist, has not yet been clearly ascertained. They have been sought in the increased convexity, now of the *cornea*, now of the crystalline *lens*; but the latest theory seems to me the most probable one, according to which the lens is moved backwards for distant vision and forwards for near vision,

lateral pressure, in the latter case, giving it increased protuberance; so that the process would exactly resemble the mechanism of an opera-glass. Kepler, however, had, in the main, already expressed this theory, which may be found explained in A. Hueck's pamphlet, "Die Bewegung der Krystallinse," 1841. If we are not clearly conscious of these inner modifications of the eye, we have at any rate a certain feeling of them, and of this we immediately avail ourselves to estimate distances. As however these modifications are not available for the purposes of clear sight beyond the range of from about 7 inches to 16 feet, the Understanding is only able to apply this datum within those limits.

Beyond them, however, the second datum becomes available: that is to say, the *optic angle*, formed by the two optic axes, which we had occasion to explain when speaking of single vision. It is obvious that this optic angle becomes smaller, the further the object is removed: and *vice versa*. This different direction of the eyes, with respect to each other, does not take place without producing a slight sensation, of which we are nevertheless only in so far conscious as the Understanding makes use of it, as a datum, in estimating distances intuitively. By this datum we are not only enabled to cognize the distance, but the precise position of the object viewed, by means of the parallax of the eyes, which consists in each eye seeing the object in a slightly different direction; so that if we close one eye, the object seems to move. Thus it is not easy to snuff a candle with one eye shut, because this datum is then wanting. But as the direction of the eyes becomes parallel as soon as the distance of the object reaches or exceeds 200 feet, and as the optic angle consequently then ceases to exist, this datum only holds good within the said distance.

Beyond it, the Understanding has recourse to *atmospheric perspective*, which indicates a greater distance by means of the increasing dimness of all colours, of the appearance of physical blue in front of all dark objects (according to Göthe's perfectly correct and true theory of colours), and also of the growing indistinctness of all outlines. In Italy, where the atmosphere is very transparent, this datum loses its power and is apt to mislead: Tivoli, for instance, seems to be very near when seen from Frascati. On the other hand, all objects appear larger in a mist, which is an abnormal exaggeration of the datum; because our Understanding assumes them to be further from us.



Finally, there remains the estimation of distance by means of the size (known to us intuitively) of intervening objects, such as fields, woods, rivers, &c. &c. This mode of estimation is only applicable where there is uninterrupted succession: in other words, it can only be applied to terrestrial, not to celestial objects. Moreover, we have in general more practice in using it horizontally than vertically: a ball on the top of a tower 200 feet high appears much smaller to us than when lying on the ground 200 feet from us; because, in the latter case, we estimate the distance more accurately. When we see human beings in such a way, that what lies between them and ourselves is in a great measure hidden from our sight, they always appear strikingly small.

The fact that our Understanding assumes everything it perceives in a horizontal direction to be farther off, therefore larger, than what is seen in a vertical direction, must partly be attributed to this last mode of estimating distances, inasmuch as it only holds good when applied horizontally and to terrestrial objects; but partly also to our estimation of distances by atmospheric perspective, which is subject to similar conditions. This is why the moon seems so much larger on the horizon than at its zenith, although its visual angle accurately measured — that is, the image projected by it on to the eye — is not at all larger in one case than in the other; and this also accounts for the flattened appearance of the vault of the sky: that is to say, for its appearing to have greater horizontal than vertical extension. Both phenomena therefore are purely intellectual or cerebral, not optical. If it be objected, that even when at its zenith, the moon occasionally has a hazy appearance without seeming to be larger, we answer, that neither does it in that case appear red; for its haziness proceeds from a greater density of vapours, and is therefore of a different kind from that which proceeds from atmospheric perspective. To this may be added what I have already said: that we only apply this mode of estimating distances in a horizontal, not in a perpendicular, direction; besides, in this case, other correctives come into play. It is related of Saussure that, when on the Mont Blanc, he saw so enormous a moon rise, that, not recognising what it was, he fainted with terror.

The properties of the telescope and magnifying glass, on the other hand, depend upon a separate estimate according to the visual angle alone: *i.e.*, that of size by distance, and of distance by size; because here the four other supplementary means of estimating distances are excluded. The telescope in

reality magnifies objects, while it only seems to bring them nearer; because their size being known to us empirically, we here account for its apparent increase by a diminution of their distance from us. A house seen through a telescope, for instance, seems to be ten times nearer, not ten times larger, than seen with the naked eye. The magnifying glass, on the contrary, does not really magnify, but merely enables us to bring the object nearer to our eyes than would otherwise be possible; so that it only appears as large as it would at that distance even without the magnifying glass. In fact, we are prevented from seeing objects distinctly at less than from eight to ten inches' distance from our eyes, by the insufficient convexity of the ocular lens and cornea; but if we increase the refraction by substituting the convexity of the magnifying glass for that of the lens and cornea, we then obtain a clear image of objects even when they are as near as half an inch from our eyes. Objects thus seen in close proximity to us and in the size corresponding to that proximity, are transferred by our Understanding to the distance at which we naturally see distinctly, *i.e.* to about eight or ten inches from our eyes, and we then estimate their magnitude according to this distance and to the given visual angle.

I have entered thus fully into detail concerning all the different processes by which seeing is accomplished, in order to show clearly and irrefragably that the predominant factor in them is *the Understanding*, which, by conceiving each change as an *effect* and referring that effect to its *cause*, produces the cerebral phenomenon of the objective world on the basis of the *à priori* fundamental intuitions of Space and Time, for which it receives merely a few data from the senses. And moreover the Understanding effects this exclusively by means of its own peculiar form, the law of Causality; therefore quite directly and intuitively, without any assistance whatever from reflection — that is, from abstract knowledge by means of conceptions and of language, which are the materials of *secondary* knowledge, *i.e.* of *thought*, therefore of *Reason*.

That this knowledge through the Understanding is independent of Reason's assistance, is shown even by the fact, that when, at any time, the Understanding attributes a given effect to a wrong cause, actually perceiving that cause, whereby *illusion* arises, our Reason, however clearly it may recognise *in abstracto* the true state of the matter, is nevertheless unable to assist the Understanding, and the illusion persists undisturbed in spite of that better knowledge. The above-mentioned phenomena of seeing

and feeling double, which result from an abnormal position of the organs of touch and sight, are instances of such illusions; likewise the apparently increased size of the rising moon; the image which forms in the focus of a concave mirror and exactly resembles a solid body floating in space; the painted relieve which we take for real; the apparent motion of a shore or bridge on which we are standing, if a ship happens to pass along or beneath it; the seeming proximity of very lofty mountains, owing to the absence of atmospheric perspective, which is the result of the purity of the air round their summits. In these and in a multitude of similar cases, our Understanding takes for granted the existence of the usual cause with which it is conversant and forthwith perceives it, though our Reason has arrived at the truth by a different road; for, the knowledge of the Understanding being anterior to that of the Reason, the intellect remains inaccessible to the teaching of the Reason, and thus the *illusion* — that is, the deception of the Understanding — remains immovable; albeit *error* — that is, the deception of the Reason — is obviated. — That which is correctly known by the Understanding is *reality*: that which is correctly known by the Reason is *truth*, or in other terms, a judgment having a sufficient reason; *illusion* (that which is wrongly perceived) we oppose to *reality*: *error* (that which is wrongly thought) to *truth*.

The purely formal part of empirical perception — that is, Space, Time, and the law of Causality — is contained *à priori* in the intellect; but this is not the case with the application of this formal part to empirical data, which has to be acquired by the Understanding through practice and experience. Therefore new-born infants, though they no doubt receive impressions of light and of colour, still do not apprehend or indeed, strictly speaking, see objects. The first weeks of their existence are rather passed in a kind of stupor, from which they awaken by degrees when their Understanding begins to apply its function to the data supplied by the senses, especially those of touch and of sight, whereby they gradually gain consciousness of the objective world. This newly-arising consciousness may be clearly recognised by the look of growing intelligence in their eyes and a degree of intention in their movements, especially in the smile with which they show for the first time recognition of those who take care of them. They may even be observed to make experiments for a time with their sight and touch, in order to complete their apprehension of objects by different lights, in different directions and at different distances: thus pursuing a silent, but

serious course of study, till they have succeeded in mastering all the intellectual operations in seeing which have been described. The fact of this schooling can be ascertained still more clearly through those who, being born blind, have been operated upon late in life, since they are able to give an account of their impressions. Cheselden's blind man<sup>82</sup> was not an isolated instance, and we find in all similar cases the fact corroborated, that those who obtain their sight late in life, no doubt, see light, outlines, and colours, as soon as the operation is over, but that they have no objective perception of objects until their Understanding has learnt to apply its causal law to data and to changes which are new to it. On first beholding his room and the various objects in it, Cheselden's blind man did not distinguish one thing from another; he simply received the general impression of a totality all in one piece, which he took for a smooth, variegated surface. It never occurred to him to recognise a number of detached objects, lying one behind the other at different distances. With blind people of this sort, it is by the sense of touch, to which objects are already known, that they have to be introduced to the sense of sight. In the beginning, the patient has no appreciation whatever of distances and tries to lay hold of everything. One, when he first saw his own house from outside, could not conceive how so small a thing could contain so many rooms. Another was highly delighted to find, some weeks after the operation, that the engravings hanging on the walls of his room represented a variety of objects. The "Morgenblatt" of October 23rd, 1817, contains an account of a youth who was born blind, and obtained his sight at the age of seventeen. He had to learn intelligent perception, for at first sight he did not even recognise objects previously known to him through the sense of touch. Every object had to be introduced to the sense of sight by means of the sense of touch. As for the distances of the objects he saw, he had no appreciation whatever of them, and tried to lay hold indiscriminately of everything, far or near. — Franz expresses himself as follows:<sup>83</sup> —

"A definite idea of distance, as well as of form and size, is only obtained by sight and touch, and by reflecting on the impressions made on both senses; but for this purpose we must take into account the muscular motion and voluntary locomotion of the individual. — Caspar Hauser, in a detailed account of his own experience in this respect, states, that upon his first liberation from confinement, whenever he looked through the window upon external objects, such as the street, garden, &c., it appeared to him as if

there were a shutter quite close to his eye, and covered with confused colours of all kinds, in which he could recognise or distinguish nothing singly. He says farther, that he did not convince himself till after some time during his walks out of doors, that what had at first appeared to him as a shutter of various colours, as well as many other objects, were in reality very different things; and that at length the shutter disappeared, and he saw and recognised all things in their just proportions. Persons born blind who obtain their sight by an operation in later years only, sometimes imagine that all objects touch their eyes, and lie so near to them that they are afraid of stumbling against them; sometimes they leap towards the moon, supposing that they can lay hold of it; at other times they run after the clouds moving along the sky, in order to catch them, or commit other such extravagancies. Since ideas are gained by reflection upon sensation, it is further necessary in all cases, in order that an accurate idea of objects may be formed from the sense of sight, that the powers of the mind should be unimpaired, and undisturbed in their exercise. A proof of this is afforded in the instance related by Haslam,<sup>84</sup> of a boy who had no defect of sight, but was weak in understanding, and who in his seventh year was unable to estimate the distances of objects, especially as to height; he would extend his hand frequently towards a nail on the ceiling, or towards the moon, to catch it. It is therefore the judgment which corrects and makes clear this idea, or perception of visible objects.”

The intellectual nature of perception as I have shown it, is corroborated physiologically by Flourens<sup>85</sup> as follows:

“Il faut faire une grand distinction entre les sens et l’intelligence. L’ablation d’un tubercule détermine la perte de la *sensation*, du *sens* de la vue; la rétine devient insensible, l’iris devient immobile. L’ablation d’un lobe cérébral laisse la *sensation*, le *sens*, la *sensibilité* de la rétine, la *mobilité* de l’iris; elle ne détruit que la *perception* seule. Dans un cas, c’est un fait *sensorial*; et, dans l’autre, un fait *cérébral*; dans un cas, c’est la perte du *sens*; dans l’autre, c’est la perte de la *perception*. La distinction des perceptions et des sensations est encore un grand résultat; et il est démontré aux yeux. Il y a deux moyens de faire perdre la vision par l’encéphale: 1° par les tubercules, c’est la perte du sens, de la sensation; 2° par les lobes, c’est la perte de la perception, de l’intelligence. La sensibilité n’est donc pas l’intelligence; penser n’est donc pas sentir; et voilà toute une philosophie renversée. L’idée n’est donc pas la sensation; et voilà encore une autre

preuve du vice radical de cette philosophie.” And again, p. 77, under the heading: Séparation de la Sensibilité et de la Perception:— “Il y a une de mes expériences qui sépare nettement la *sensibilité* de la *perception*. Quand on enlève le *cerveau proprement dit* (*lobes* ou *hémisphères cérébraux*) à un animal, l’animal perd la vue. Mais, par rapport à l’œil, rien n’est changé: les objets continuent à se peindre sur la rétine; l’*iris* reste contractile, le *nerf optique* sensible, parfaitement sensible. Et cependant l’animal ne voit plus; il n’y a plus *vision*, quoique tout ce qui est *sensation* subsiste; il n’y a plus *vision*, parce qu’il n’y a plus *perception*. Le *percevoir*, et non le *sentir*, est donc le premier élément de l’*intelligence*. La *perception* est partie de l’*intelligence*, car elle se perd avec l’*intelligence*, et par l’ablation du même organe, les *lobes* ou *hémisphères cérébraux*; et la *sensibilité* n’en est point partie, puisqu’elle subsiste après la perte de l’*intelligence* et l’ablation des *lobes* ou *hémisphères*.”

The following famous verse of the ancient philosopher Epicharmus, proves that the ancients in general recognized the intellectual nature of perception: Νοῦς ὁρῇ καὶ νοῦς ἀκούει· τᾶλλα κωφὰ καὶ τυφλά. (*Mens videt, mens audit; cætera surda et cæca*.)<sup>86</sup> Plutarch in quoting this verse, adds:<sup>87</sup> ὡς τοῦ περὶ τὰ ὄμματα καὶ ὤτα πάθους, ἂν μὴ παρῇ τὸ φρονοῦν, αἴσθησιν οὐ ποιοῦντος (*quia affectio oculorum et aurium nullum affert sensum, intelligentia absente*). Shortly before too he says: Στράτωνος τοῦ φυσικοῦ λόγος ἐστίν, ἀποδεικνύων ὡς οὐδ’ αἰσθάνεσθαι τοπαράπαν ἄνευ τοῦ νοεῖν ὑπάρχει. (*Stratonis physici exstat ratiocinatio, qua “sine intelligentia sentiri omnino nihil posse” demonstrat*.)<sup>88</sup> Again shortly after he says: ὅθεν ἀνάγκη, πᾶσιν, οἷς τὸ αἰσθάνεσθαι, καὶ τὸ νοεῖν ὑπάρχειν, εἰ τῷ νοεῖν αἰσθάνεσθαι πεφύκαμεν (*quare necesse est, omnia, quæ sentiunt, etiam intelligere, siquidem intelligendo demum sentiamus*).<sup>89</sup> A second verse of Epicharmus might be connected with this, which is quoted by Diogenes Laertes (iii. 16):

Εὐμαιε, τὸ σοφόν ἐστίν οὐ καθ’ ἓν μόνον,  
ἀλλ’ ὅσα περ ζῆ, πάντα καὶ γνώμαν ἔχει.

(*Eumæe, sapientia non uni tantum competit, sed quæcunque vivunt etiam intellectum habent*.) Porphyry likewise endeavours to show at length that all animals have understanding.<sup>90</sup>

Now, that it should be so, follows necessarily from the intellectual character of perception. All animals, even down to the very lowest, must

have Understanding — that is, knowledge of the causal law, although they have it in very different degrees of delicacy and of clearness; at any rate they must have as much of it as is required for perception by their senses; for sensation without Understanding would be not only a useless, but a cruel gift of Nature. No one, who has himself any intelligence, can doubt the existence of it in the higher animals. But at times it even becomes undeniably evident that their knowledge of causality is actually *à priori*, and that it does not arise from the habit of seeing one thing follow upon another. A very young puppy will not, for instance, jump off a table, because he foresees what would be the consequence. Not long ago I had some large curtains put up at my bed-room window, which reached down to the floor, and were drawn aside from the centre by means of a string. The first morning they were opened I was surprised to see my dog, a very intelligent poodle, standing quite perplexed, and looking upwards and sideways for the cause of the phenomenon: that is, he was seeking for the change which he knew *à priori* must have taken place. Next day the same thing happened again. — But even the lowest animals have perception — consequently Understanding — down to the aquatic polypus, which has no distinct organs of sensation, yet wanders from leaf to leaf on its waterplant, while clinging to it with its feelers, in search of more light.

Nor is there, indeed, any difference, beyond that of degree, between this lowest Understanding and that of man, which we however distinctly separate from his Reason. The intermediate gradations are occupied by the various series of animals, among which the highest, such as the monkey, the elephant, the dog, astonish us often by their intelligence. But in every case the business of the Understanding is invariably to apprehend directly causal relations: first, as we have seen, those between our own body and other bodies, whence proceeds objective perception; then those between these objectively perceived bodies among themselves, and here, as has been shown in § 20, the causal relation manifests itself in three forms — as cause, as stimulus, and as motive. All movement in the world takes place according to these three forms of the causal relation, and through them alone does the intellect comprehend it. Now, if, of these three, *causes*, in the narrowest sense of the word, happen to be the object of investigation for the Understanding, it will produce Astronomy, Mechanics, Physics, Chemistry, and will invent machines for good and for evil; but in all cases a direct, intuitive apprehension of the causal connection will in the last resort lie at



the bottom of all its discoveries. For the sole form and function of the Understanding is this apprehension, and not by any means the complicated machinery of Kant's twelve Categories, the nullity of which I have proved. — (All comprehension is a direct, consequently intuitive, apprehension of the causal connection; although this has to be reduced at once to abstract conceptions in order to be fixed. To calculate therefore, is not to understand, and, in itself, calculation conveys no comprehension of things. Calculation deals exclusively with abstract conceptions of magnitudes, whose mutual relations it determines. By it we never attain the slightest comprehension of a physical process, for this requires *intuitive* comprehension of space-relations, by means of which causes take effect. Calculations have merely practical, not theoretical, value. It may even be said that *where calculation begins, comprehension ceases*; for a brain occupied with numbers is, as long as it calculates, entirely estranged from the causal connection in physical processes, being engrossed in purely abstract, numerical conceptions. The result, however, only shows us *how much*, never *what*. “*L'expérience et le calcul*,” those watchwords of French physicists, are not therefore by any means adequate [for thorough insight].) — If, again, *stimuli* are the guides of the Understanding, it will produce Physiology of Plants and Animals, Therapeutics, and Toxicology. Finally, if it devotes itself to the study of *motives*, the Understanding will use them, on the one hand, theoretically, to guide it in producing works on Morality, Jurisprudence, History, Politics, and even Dramatic and Epic Poetry; on the other hand, practically, either merely to train animals, or for the higher purpose of making human beings dance to its music, when once it has succeeded in discovering which particular wire has to be pulled in order to move each puppet at its pleasure. Now, with reference to the function which effects this, it is quite immaterial whether the intellect turns gravitation ingeniously to account, and makes it serve its purpose by stepping in just at the right time, or whether it brings the collective or the individual propensities of men into play for its own ends. In its practical application we call the Understanding *shrewdness* or, when used to outwit others, *cunning*; when its aims are very insignificant, it is called *slyness* and, if combined with injury to others, *craftiness*. In its purely theoretical application, we call it simply *Understanding*, the higher degrees of which are named *acumen*, *sagacity*, *discernment*, *penetration*, while its lower degrees are termed *dulness*, *stupidity*, *silliness*, &c. &c. These widely

differing degrees of sharpness are innate, and cannot be acquired; although, as I have already shown, even in the earliest stages of the application of the Understanding, *i.e.* in empirical perception, practice and knowledge of the material to which it is applied, are needed. Every simpleton has Reason — give him the premisses, and he will draw the conclusion; whereas *primary*, consequently intuitive, knowledge is supplied by the Understanding: herein lies the difference. The pith of every great discovery, of every plan having universal historical importance, is accordingly the product of a happy moment in which, by a favourable coincidence of outer and inner circumstances, some complicated causal series, some hidden causes of phenomena which had been seen thousands of times before, or some obscure, untrodden paths, suddenly reveal themselves to the intellect. —

By the preceding explanations of the processes in seeing and feeling, I have incontestably shown that empirical perception is essentially the work of *the Understanding*, for which the material only is supplied by the senses in sensation — and a poor material it is, on the whole; so that *the Understanding* is, in fact, the artist, while the senses are but the under-workmen who hand it the materials. But the process consists throughout in referring from given effects to their causes, which by this process are enabled to present themselves as objects in Space. The very fact that we presuppose Causality in this process, proves precisely that this law must have been supplied by the Understanding itself; for it could never have found its way into the intellect from outside. It is indeed the first condition of all empirical perception; but this again is the form in which all external experience presents itself to us; how then can this law of Causality be derived from experience, when it is itself essentially presupposed by experience? — It was just because of the utter impossibility of this, and because Locke's philosophy had put an end to all *à priori*, that Hume denied the whole reality of the conception of Causality. He had besides already mentioned two false hypotheses in the seventh section of his "Inquiry concerning the Human Understanding," which recently have again been advanced: the one, that the effect of the will upon the members of our body; the other, that the resistance opposed to our pressure by outward objects, is the origin and prototype of the conception of Causality. Hume refutes both in his own way and according to his own order of ideas. I argue as follows. There is no causal connection whatever between acts of the will and actions of the body; on the contrary, both are immediately one and the

same thing, only perceived in a double aspect — that is, on the one hand, in our self-consciousness, or inner sense, as acts of the will; on the other, simultaneously in exterior, spacial brain-perception, as actions of the body.<sup>91</sup> The second hypothesis is false, first because, as I have already shown at length, a mere sensation of touch does not yet give any objective perception whatever, let alone the conception of Causality, which never can arise from the feeling of an impeded muscular effort: besides impediments of this kind often occur without any external cause; secondly, because our pressing against an external object necessarily has a motive, and this already presupposes apprehension of that object, which again presupposes knowledge of Causality. — But the only means of radically proving the conception of Causality to be independent of all experience was by showing, as I have done, that the whole possibility of experience is conditioned by the conception of Causality. In § 23 I intend to show that Kant's proof, propounded with a similar intent, is false.

This is also the proper place for drawing attention to the fact, that Kant either did not clearly recognise in empirical perception the mediation of the causal law — which law is known to us before all experience — or that he intentionally evaded mentioning it, because it did not suit his purpose. In the “Critique of Pure Reason,” for instance, the relation between causality and perception is not treated in the “Doctrine of Elements,” but in the chapter on the “Paralogisms of Pure Reason,” where one would hardly expect to find it; moreover it appears in his “Critique of the Fourth Paralogism of Transcendental Psychology,” and only in the first edition.<sup>92</sup> The very fact that this place should have been assigned to it, shows that in considering this relation, he always had the transition from the phenomenon to the thing in itself exclusively in view, but not the genesis of perception itself. Here accordingly he says that the existence of a real external object is not given directly in perception, but can be added to it in thought and thus inferred. In Kant's eyes, however, he who does this is a Transcendental Realist, and consequently on a wrong road. For by his “outward object” Kant here means the thing in itself. The Transcendental Idealist, on the contrary, stops short at the perception of something empirically real — that is, of something existing outside us in Space — without needing the inference of a cause to give it reality. For *perception*, according to Kant, is quite directly accomplished without any assistance from the causal nexus, and consequently from the Understanding: he simply identifies perception

with sensation. This we find confirmed in the passage which begins, “With reference to the reality of external objects, I need as little trust to inference,” &c. &c.<sup>93</sup> and again in the sentence commencing with “Now we may well admit,” &c. &c.<sup>94</sup> It is quite clear from these passages that perception of external things in Space, according to Kant, precedes all application of the causal law, therefore that the causal law does not belong to perception as an element and condition of it: for him, mere sensation is identical with perception. Only in as far as we ask what may, in a *transcendental* sense, exist *outside of us*: that is, when we ask for the thing in itself, is Causality mentioned as connected with perception. Moreover Kant admits the existence, nay, the mere possibility, of causality only in reflection: that is, in abstract, distinct knowledge by means of conceptions; therefore he has no suspicion that its application is *anterior to all reflection*, which is nevertheless evidently the case, especially in empirical, sensuous perception which, as I have proved irrefragably in the preceding analysis, could never take place otherwise. Kant is therefore obliged to leave the genesis of empirical perception unexplained. With him it is a mere matter of the senses, given as it were in a miraculous way: that is, it coincides with sensation. I should very much like my reflective readers to refer to the passages I have indicated in Kant’s work, in order to convince themselves of the far greater accuracy of my view of the whole process and connection. Kant’s extremely erroneous view has held its ground till now in philosophical literature, simply because no one ventured to attack it; therefore I have found it necessary to clear the way in order to throw light upon the mechanism of our knowledge.

Kant’s fundamental idealistic position loses nothing whatever, nay, it even gains by this rectification of mine, in as far as, with me, the necessity of the causal law is absorbed and extinguished in empirical perception as its product and cannot therefore be invoked in behalf of an entirely transcendent question as to the thing in itself. On referring to my theory above concerning empirical perception, we find that its first datum, sensation, is absolutely subjective, being a process within the organism, because it takes place beneath the skin. Locke has completely and exhaustively proved, that the feelings of our senses, even admitting them to be roused by external causes, cannot have any resemblance whatever to the qualities of those causes. Sugar, for instance, bears no resemblance at all to sweetness, nor a rose to redness. But that they should need an external

cause at all, is based upon a law whose origin lies demonstrably within us, in our brain; therefore this necessity is not less subjective than the sensations themselves. Nay, even *Time* — that primary condition of every possible *change*, therefore also of the change which first permits the application of the causal law — and not less *Space* — which alone renders the externalisation of causes possible, after which they present themselves to us as objects — even Time and Space, we say, are subjective forms of the intellect, as Kant has conclusively proved. Accordingly we find all the elements of empirical perception lying within us, and nothing contained in them which can give us reliable indications as to anything differing absolutely from ourselves, anything in itself. — But this is not all. What we think under the conception *matter*, is the residue which remains over after bodies have been divested of their shape and of all their specific qualities: a residue, which precisely on that account must be identical in all bodies. Now these shapes and qualities which have been abstracted by us, are nothing but the peculiar, specially defined *way in which these bodies act*, which constitutes precisely their difference. If therefore we leave these shapes and qualities out of consideration, there remains nothing but *mere activity in general*, pure action as such, Causality itself, objectively thought — that is, the reflection of our own Understanding, the externalised image of its sole function; and Matter is throughout pure Causality, its essence is Action in general.<sup>25</sup> This is why pure Matter cannot be perceived, but can only be thought: it is a something we add to every reality, as its basis, in thinking it. For pure Causality, mere action, without any defined mode of action, cannot become perceptible, therefore it cannot come within any experience. — Thus Matter is only the objective correlate to pure Understanding; for it is Causality in general, and nothing else: just as the Understanding itself is direct knowledge of cause and effect, and nothing else. Now this again is precisely why the law of causality is not applicable to Matter itself: that is to say, Matter has neither beginning nor end, but is and remains permanent. For as, on the one hand, Causality is the indispensable condition of all alternation in the accidents (forms and qualities) of Matter, *i.e.* of all passage in and out of being; but as, on the other hand, Matter is pure Causality itself, as such, objectively viewed: it is unable to exercise its own power upon itself, just as the eye can see everything but itself. “Substance” and Matter being moreover identical, we may call *Substance*, action viewed in abstracto: *Accidents*, particular modes

of action, action *in concreto*. — Now these are the results to which true, *i.e.* transcendental, Idealism leads. In my chief work I have shown that the thing in itself — *i.e.* whatever, on the whole, exists independently of our representation — cannot be got at by way of representation, but that, to reach it, we must follow quite a different path, leading through the inside of things, which lets us into the citadel, as it were, by treachery. —

But it would be downright chicanery, nothing else, to try and compare, let alone identify, such an honest, deep, thorough analysis of empirical perception as the one I have just given, which proves all the elements of perception to be subjective, with Fichte's algebraic equations of the *Ego* and the *Non-Ego*; with his sophistical pseudo-demonstrations, which in order to be able to deceive his readers had to be clothed in the obscure, not to say absurd, language adopted by him; with his explanations of the way in which the *Ego* spins the *Non-Ego* out of itself; in short, with all the buffoonery of scientific emptiness.<sup>96</sup> Besides, I protest altogether against any community with this Fichte, as Kant publicly and emphatically did in a notice *ad hoc* in the "Jenaer Litteratur Zeitung."<sup>97</sup> Hegelians and similar ignoramuses may continue to hold forth to their heart's content upon Kant-Fichteian philosophy: there exists a Kantian philosophy and a Fichteian hocus-pocus, — this is the true state of the case, and will remain so, in spite of those who delight in extolling what is bad and in decrying what is good, and of these Germany possesses a larger number than any other country.

## § 22. *Of the Immediate Object.*

Thus it is from the sensations of our body that we receive the data for the very first application of the causal law, and it is precisely by that application that the perception of this class of objects arises. They therefore have their essence and existence solely in virtue of the intellectual function thus coming into play, and of its exercise.

Now, as far as it is the starting-point, *i.e.* the mediator, for our perception of all other objects, I have called the bodily organism, in the first edition of the present work, the *Immediate Object*; this, however, must not be taken in a strictly literal sense. For although our bodily sensations are all apprehended directly, still this immediate apprehension does not yet make our body itself perceptible to us as an object; on the contrary, up to this point all remains subjective, that is to say, sensation. From this sensation



certainly proceeds the perception of all other objects as the causes of such sensations, and these causes then present themselves to us as objects; but it is not so with the body itself, which only supplies sensations to consciousness. It is only *indirectly* that we know even this body objectively, *i.e.* as an object, by its presenting itself, like all other objects, as the recognised cause of a subjectively given effect — and precisely on this account *objectively* — in our Understanding, or brain (which is the same). Now this can only take place when its own senses are acted upon by its parts: for instance, when the body is seen by the eye, or felt by the hand, &c., upon which data the brain (or understanding) forthwith constructs it as to shape and quality in space. — The immediate presence in our consciousness of representations belonging to this class, depends therefore upon the position assigned to them in the causal chain — by which all things are *connected* — relatively to the body (for the time being) of the Subject — by which (the Subject) all things are *known*.

### § 23. *Arguments against Kant's Proof of the à priority of the conception of Causality.*

One of the chief objects of the “Critique of Pure Reason” is to show the universal validity, for all experience, of the causal law, its *à priori*, and, as a necessary consequence of this, its restriction to possible experience. Nevertheless, I cannot assent to the proof there given of the *à priori* of the principle, which is substantially this:— “The *synthesis* of the manifold by the imagination, which is necessary for all empirical knowledge, gives succession, but not yet determinate succession: that is, it leaves undetermined which of two states perceived was the first, not only in my imagination, but in the object itself. But definite order in this succession — through which alone what we perceive becomes experience, or, in other words, authorizes us to form objectively valid judgments — is first brought into it by the purely intellectual conception of cause and effect. Thus the principle of causal relation is the condition which renders experience possible, and, as such, it is given us *à priori*.”<sup>98</sup>

According to this, the order in which changes succeed each other in real objects becomes known to us as objective only by their causality. This assertion Kant repeats and explains in the “Critique of Pure Reason,” especially in his “Second Analogy of Experience,”<sup>99</sup> and again at the



conclusion of his “Third Analogy,” and I request every one who desires to understand what I am now about to say, to read these passages. In them he affirms everywhere that *the objectivity of the succession of representations* — which he defines as their correspondence with the succession of real objects — is only known through the rule by which they follow upon one another: that is, through the law of causality; that my mere apprehension consequently leaves the objective relation between phenomena following one another quite undetermined: since I merely apprehend the succession of my own representations, but the succession in my apprehension does not authorize me to form any judgment whatever as to the succession in the object, unless that judgment be based upon causality; and since, besides, I might invert the order in which these perceptions follow each other in my apprehension, there being nothing which determines them as objective. To illustrate this assertion, Kant brings forward the instance of a house, whose parts we may consider in any order we like, from top to bottom, or from bottom to top; the determination of succession being in this case purely subjective and not founded upon an object, because it depends upon our pleasure. In opposition to this instance, he brings forward the perception of a ship sailing down a river, which we see successively lower and lower down the stream, which perception of the successively varying positions of the ship cannot be changed by the looker-on. In this latter case, therefore, he derives the subjective following in his own apprehension from the objective following in the phenomenon, and on this account he calls it an *event*. Now I maintain, on the contrary, that *there is no difference at all between these two cases, that both are events*, and that our knowledge of both is objective: that is to say, it is knowledge of changes in real objects recognized as such by the Subject. *Both are changes of relative position in two bodies*. In the first case, one of these bodies is a part of the observer’s own organism, the eye, and the other is the house, with respect to the different parts of which the eye successively alters its position. In the second, it is the ship which alters its position towards the stream; therefore the change occurs between two bodies. Both are events, the only difference being that, in the first, the change has its starting-point in the observer’s own body, from whose sensations undoubtedly all his perceptions originally proceed, but which is nevertheless an object among objects, and in consequence obeys the laws of the objective, material world. For the observer, as a purely cognising individual, any movement of his body is simply an empirically perceived

fact. It would be just as possible in the second as in the first instance, to invert the order of succession in the change, were it as easy for the observer to move the ship up the stream as to alter the direction of his own eyes. For Kant infers the successive perception of different parts of the house to be neither objective nor an event, because it depends upon his own will. But the movement of his eyes in the direction from roof to basement is one event, and in the direction from basement to roof another event, just as much as the sailing of the ship. There is no difference whatever here, nor is there any difference either, as to their being or not being events, between my passing a troop of soldiers and their passing me. If we fix our eyes on a ship sailing close by the shore on which we are standing, it soon seems as if it were the ship that stood still and the shore that moved. Now, in this instance we are mistaken, it is true, as to the cause of the relative change of position, since we attribute it to a wrong cause; the real succession in the relative positions of our body towards the ship is nevertheless quite rightly and objectively recognised by us. Even Kant himself would not have believed that there was any difference, had he borne in mind that his own body was an object among objects, and that the succession in his empirical perceptions depended upon the succession of the impressions received from other objects by his body, and was therefore an objective succession: that is to say, one which takes place among objects *directly* (if not indirectly) and independently of the will of the Subject, and which may therefore be quite well recognised without any causal connection between the objects acting successively on his body.

Kant says, Time cannot be perceived; therefore no succession of representations can be empirically perceived as objective: *i.e.* can be distinguished as changes in phenomena from the changes of mere subjective representations. The causal law, being a rule according to which states follow one another, is the only means by which the objectivity of a change can be known. Now, the result of his assertion would be, that no succession in Time could be perceived by us as objective, excepting that of cause and effect, and that every other succession of phenomena we perceive, would only be determined so, and not otherwise, by our own will. In contradiction to all this I must adduce the fact, that it is quite possible for phenomena to *follow upon* one another without *following from* one another. Nor is the law of causality by any means prejudiced by this; for it remains certain that each change is the effect of another change, this being firmly established à

*priori*; only each change not only follows upon the single one which is its cause, but upon all the other changes which occur simultaneously with that cause, and with which that cause stands in no causal connection whatever. It is not perceived by me exactly in the regular order of causal succession, but in quite a different order, which is, however, no less objective on that account, and which differs widely from any subjective succession depending on my caprice, such as, for instance, the pictures of my imagination. The succession, in Time, of events which stand in no causal connection with each other is precisely what we call *contingency*.<sup>100</sup> Just as I am leaving my house, a tile happens to fall from the roof which strikes me; now, there is no causal connection whatever between my going out and the falling of the tile; yet the order of their succession — that is, that my going out preceded the falling of the tile — is objectively determined in my apprehension, not subjectively by my will, by which that order would otherwise have most likely been inverted. The order in which tones follow each other in a musical composition is likewise objectively determined, not subjectively by me, the listener; yet who would think of asserting that musical tones follow one another according to the law of cause and effect? Even the succession of day and night is undoubtedly known to us as an objective one, but we as certainly do not look upon them as causes and effects of one another; and as to their common cause, the whole world was in error till Copernicus came; yet the correct knowledge of their succession was not in the least disturbed by that error. Hume's hypothesis, by the way, also finds its refutation through this; since the following of day and night upon each other — the most ancient of all successions and the one least liable to exception — has never yet misled anyone into taking them for cause and effect of each other.

Elsewhere Kant asserts, that a representation only shows reality (which, I conclude, means that it is distinguished from a mere mental image) by our recognising its necessary connection with other representations subject to rule (the causal law) and its place in a determined order of the time-relations of our representations. But of how few representations are we able to know the place assigned to them by the law of causality in the chain of causes and effects! Yet we are never embarrassed to distinguish objective from subjective representations: real, from imaginary objects. When asleep, we are unable to make this distinction, for our brain is then isolated from the peripheral nervous system, and thereby from external influences. In

our dreams therefore, we take imaginary for real things, and it is only when we awaken: that is, when our nervous sensibility, and through this the outer world, once more comes within our consciousness, that we become aware of our mistake; still, even in our dreams, so long as they last, the causal law holds good, only an impossible material is often substituted for the usual one. We might almost think that Kant was influenced by Leibnitz in writing the passage we have quoted, however much he differs from him in all the rest of his philosophy; especially if we consider that Leibnitz expresses precisely similar views, when, for instance, he says: “La vérité des choses sensibles ne consiste que dans la liaison des phénomènes, qui doit avoir sa raison, et c’est ce qui les distingue des songes. — Le vrai Critérium, en matière des objets des sens, est la liaison des phénomènes, qui garantit les vérités de fait, à l’égard des choses sensibles hors de nous.”<sup>101</sup>

It is clear that in proving the *à priori* and the necessity of the causal law by the fact that the objective succession of changes is known to us only by means of that law, and that, in so far, causality is a condition for all experience, Kant fell into a very singular error, and one which is indeed so palpable, that the only way we can account for it is, by supposing him to have become so absorbed in the *à priori* part of our knowledge, that he lost sight of what would have been evident to anyone else. The only correct demonstration of the *à priori* of the causal law is given by me in § 21 of the present work. That *à priori* finds its confirmation every moment in the infallible security with which we expect experience to tally with the causal law: that is to say, in the apodeictic certainty we ascribe to it, a certainty which differs from every other founded on induction — the certainty, for instance, of empirically known laws of Nature — in that we can conceive no exception to the causal law anywhere within the world of experience. We can, for instance, *conceive* that in an exceptional case the law of gravitation might cease to act, but not that this could happen without a cause.

Kant and Hume have fallen into opposite errors in their proofs. Hume asserts that all *consequence* is mere *sequence*; whereas Kant affirms that all *sequence* must necessarily be *consequence*. Pure Understanding, it is true, can only conceive *consequence* (causal result), and is no more able to conceive mere *sequence* than to conceive the difference between right and left, which, like sequence, is only to be grasped by means of pure Sensibility. Empirical knowledge of the following of events in Time is, indeed, just as possible as empirical knowledge of juxtaposition of things in

Space (this Kant denies elsewhere), but *the way in which* things follow *upon* one another in general in Time can no more be explained, than the way in which one thing follows *from* another (as the effect of a cause): the former knowledge is given and conditioned by pure Sensibility; the latter, by pure Understanding. But in asserting that knowledge of the objective succession of phenomena can only be attained by means of the causal law, Kant commits the same error with which he reproaches Leibnitz:<sup>102</sup> that of “intellectualising the forms of Sensibility.” — My view of succession is the following one. We derive our knowledge of the bare *possibility* of succession from the form of Time, which belongs to pure Sensibility. The succession of real objects, whose form is precisely Time, we know empirically, consequently as *actual*. But it is through the Understanding alone, by means of Causality, that we gain knowledge of the *necessity* of a succession of two states: that is, of a change; and even the fact that we are able to conceive the necessity of a succession at all, proves already that the causal law is not known to us empirically, but given us *à priori*. The Principle of Sufficient Reason is the general expression for the fundamental form of the necessary connection between all our objects, *i.e.* representations, which lies in the innermost depths of our cognitive faculty: it is the form common to all representations, and the only source of the conception of *necessity*, which contains absolutely nothing else in it and no other import, than that of the following of the consequence, when its reason has been established. Now, the reason why this principle determines the order of succession in Time in the class of representations we are now investigating, in which it figures as the law of causality, is, that Time is the form of these representations, therefore the necessary connection appears here as the rule of succession. In other forms of the principle of sufficient reason, the necessary connection it always demands will appear under quite different forms from that of Time, therefore not as succession; still it always retains the character of a necessary connection, by which the identity of the principle under all its forms, or rather the unity of the root of all the laws of which that principle is the common expression, reveals itself.

If Kant’s assertion were correct, which I dispute, our only way of knowing the reality of succession would be through its necessity; but this would presuppose an Understanding that embraced all the series of causes and effects at once, consequently an omniscient Understanding. Kant has

burdened the Understanding with an impossibility, merely in order to have less need of Sensibility.

How can we reconcile Kant's assertion that our only means of knowing the objective reality of succession is by the necessity with which effect follows cause, with his other assertion<sup>103</sup> that succession in Time is our only empirical criterion for determining which of two states is cause, and which effect. Who does not see the most obvious circle here?

If we knew objectiveness of succession through Causality, we should never be able to think it otherwise than as Causality, and then it would be nothing else than Causality. For, if it were anything else, it would have other distinctive signs by which to be recognised; now this is just what Kant denies. Accordingly, if Kant were right, we could not say: "This state is the effect of that one, wherefore it follows it;" for following and being an effect, would be one and the same thing, and this proposition a tautology. Besides, if we do away with all distinction between following *upon* and following *from*, we once more yield the point to Hume, who declared all consequence to be mere sequence and therefore denied that distinction likewise.

Kant's proof would, consequently, be reduced to this: that, empirically, we only know *actuality* of succession; but as besides we recognise *necessity* of succession in certain series of occurrences, and even know before all experience that every possible occurrence must have a fixed place in some one of these series, the reality and the *à priori* of the causal law follow as a matter of course, the only correct proof of the latter being the one I have given in § 21 of this work.

Parallel with the Kantian theory: that the causal nexus alone renders objective succession and our knowledge of it possible, there runs another: that coexistence and our knowledge of it are only possible through reciprocity. In the "Critique of Pure Reason" they are presented under the title: "Third Analogy of Experience." Here Kant goes so far as to say that "the co-existence of phenomena, which exercise no reciprocal action on one another, but are separated by a perfectly empty space, could never become an object of possible perception"<sup>104</sup> (which, by the way, would be a proof *à priori* that there is no empty space between the fixed stars), and that "the light which *plays between* our eyes and celestial bodies" — an expression conveying surreptitiously the thought, that this starlight not only acts upon our eyes, but is acted upon by them also — "produces an intercommunity



between us and them, and proves the co-existence of the latter.” Now, even empirically, this last assertion is false; since the sight of a fixed star by no means proves its coexistence simultaneously with its spectator, but, at most, its existence some years, nay even some centuries before. Besides, this second Kantian theory stands and falls with the first, only it is far more easily detected; and the nullity of the whole conception of reciprocity has been shown in § 20.

The arguments I have brought forward against Kant’s proof may be compared with two previous attacks made on it by Feder,<sup>105</sup> and by G. E. Schulze.<sup>106</sup>

Not without considerable hesitation did I thus venture (in 1813) to attack a theory which had been universally received as a demonstrated truth, is repeated even now in the latest publications,<sup>107</sup> and forms a chief point in the doctrine of one for whose profound wisdom I have the greatest reverence and admiration; one to whom, indeed, I owe so much, that his spirit might truly say to me, in the words of Homer:

Ἀλλὸν δ’ αὖ τοι ἄπ’ ὀφθαλμῶν ἔλον, ἧ πρὶν ἐπῆεν.<sup>108</sup>

#### § 24. *Of the Misapplication of the Law of Causality.*

From the foregoing exposition it follows, that the application of the causal law to anything but *changes* in the material, empirically given world, is an abuse of it. For instance, it is a misapplication to make use of it with reference to physical forces, without which no changes could take place; or to Matter, *on* which they take place; or to the world, to which we must in that case attribute an absolutely objective existence independently of our intellect; indeed in many other cases besides. I refer the reader to what I have said on this subject in my chief work.<sup>109</sup> Such misapplications always arise, partly, through our taking the conception of cause, like many other metaphysical and ethical conceptions, in far *too wide* a sense; partly, through our forgetting that the causal law is certainly a presupposition which we bring with us into the world, by which the perception of things outside us becomes possible; but that, just on that account, we are not authorized in extending beyond the range and independently of our cognitive faculty a principle, which has its origin in the equipment of that faculty, nor in assuming it to hold good as the everlasting order of the universe and of all that exists.



§ 25. *The Time in which a Change takes place.*

As the Principle of Sufficient Reason of Becoming is exclusively applicable to *changes*, we must not omit to mention here, that the ancient philosophers had already raised the question as to the time in which a change takes place, there being no possibility of it taking place during the existence of the preceding state nor after the new one has supervened. Yet, if we assign a special time to it between both states, a body would, during this time, be neither in the first nor in the second state: a dying man, for instance, would be neither alive nor dead; a body neither at rest nor in movement: which would be absurd. The scruples and sophistic subtleties which this question has evoked, may be found collected together in Sextus Empiricus “Adv. Mathem.” lib. ix. 267-271, and “Hypat.” iii. c. 14; the subject is likewise dealt with by Gellius, l. vi. c. 13 — Plato<sup>110</sup> had disposed somewhat cavalierly of this knotty point, by maintaining that changes take place *suddenly* and occupy *no time at all*; they occur, he says, in the ἐξαίφνης (*in repentino*), which he calls an ἄτοπος φύσις, ἐν χρόνῳ οὐδὲν οὔσα; a strange, timeless existence (which nevertheless comes within Time).

It was accordingly reserved for the perspicacity of Aristotle to clear up this difficult point, which he has done profoundly and exhaustively in the sixth Book of Physics, chap. i.-viii. His proof that no change takes place suddenly (in Plato’s ἐξαίφνης), but that each occurs only gradually and therefore occupies a certain time, is based entirely upon the pure, *à priori* intuition of Time and of Space; but it is also very subtle. The pith of this very lengthy demonstration may, however, be reduced to the following propositions. When we say of objects that they limit each other, we mean, that both have their extreme ends in common; therefore only two extended things can be conterminous, never two indivisible ones, for then they would be *one* — *i.e.* only lines, but not mere points, can be conterminous. He then transfers this from Space to Time. As there always remains a line between two points, so there always remains a time between two *nows*; this is the time in which a change takes place — *i.e.* when *one* state is in the first, and *another* in the second, *now*. This time, like every other, is divisible to infinity; consequently, whatever is changing passes through an infinite number of degrees within that time, through which the second state

gradually grows out of that *first* one. — The process may perhaps be made more intelligible by the following explanation. Between two consecutive states the difference of which is perceptible to our senses, there are always several intermediate states, the difference between which is not perceptible to us; because, in order to be sensuously perceptible, the newly arising state must have reached a certain degree of intensity or of magnitude: it is therefore preceded by degrees of lesser intensity or extension, in passing through which it gradually arises. Taken collectively, these are comprised under the name of *change*, and the time occupied by them is called *the time of change*. Now, if we apply this to a body being propelled, the first effect is a certain vibration of its inner parts, which, after communicating the impulse to other parts, breaks out into external motion. — Aristotle infers quite rightly from the infinite divisibility of Time, that everything which fills it, therefore every change, *i.e.* every passage from one state to another, must likewise be susceptible of endless subdivision, so that all that arises, does so in fact by the concourse of an infinite multitude of parts; accordingly its genesis is always gradual, never sudden. From these principles and the consequent gradual arising of each movement, he draws the weighty inference in the last chapter of this Book, that nothing indivisible, no mere *point* can move. And with this conclusion Kant's definition of Matter, as "that which moves in Space," completely harmonizes.

This law of the continuity and gradual taking place of all changes which Aristotle was thus the first to lay down and prove, we find stated three times by Kant: in his "Dissertatio de mundi sensibilis et intelligibilis forma," § 14, in the "Critique of Pure Reason,"<sup>111</sup> and finally in his "Metaphysical First Principles of Natural Science."<sup>112</sup> In all three places his exposition is brief, but also less thorough than that of Aristotle; still, in the main, both entirely agree. We can therefore hardly doubt that, directly or indirectly, Kant must have derived these ideas from Aristotle, though he does not mention him. Aristotle's proposition — οὐκ ἔστι ἀλλήλων ἐχόμενα τὰ νῦν ("the moments of the present are not continuous") — we here find expressed as follows: "between two moments there is always a time," to which may be objected that "even between two centuries there is none; because in Time as in Space, there must always be a pure limit." — Thus Kant, instead of mentioning Aristotle, endeavours in the first and earliest of his three statements to identify the theory he is advancing with Leibnitz' *lex*

*continuitatis*. If they really were the same, Leibnitz must have derived his from Aristotle. Now Leibnitz<sup>113</sup> first stated this *Loi de la continuité* in a letter to Bayle.<sup>114</sup> There, however, he calls it *Principe de l'ordre général*, and gives under this name a very general, vague, chiefly geometrical argumentation, having no direct bearing on the time of change, which he does not even mention.

## CHAPTER V. ON THE SECOND CLASS OF OBJECTS FOR THE SUBJECT AND THE FORM OF THE PRINCIPLE OF SUFFICIENT REASON WHICH PREDOMINATES IN IT.

### § 26. *Explanation of this Class of Objects.*

The only essential distinction between the human race and animals, which from time immemorial has been attributed to a special cognitive faculty peculiar to mankind, called *Reason*, is based upon the fact that man owns a class of representations which is not shared by any animal. These are *conceptions*, therefore *abstract*, as opposed to *intuitive*, representations, from which they are nevertheless derived. The immediate consequence of this is, that animals can neither speak nor laugh; but indirectly all those various, important characteristics which distinguish human from animal life are its consequence. For, through the supervention of abstract representation, motivation has now changed its character. Although human actions result with a necessity no less rigorous than that which rules the actions of animals, yet through this new kind of motivation — so far as here it consists in *thoughts* which render elective decision (*i.e.* a conscious conflict of motives) possible — action with a purpose, with reflection, according to plans and principles, in concert with others, &c. &c., now takes the place of mere impulse given by present, perceptible objects; but by this it gives rise to all that renders human life so rich, so artificial, and so terrible, that man, in this Western Hemisphere, where his skin has become bleached, and where the primitive, true, profound religions of his first home could not follow him, now no longer recognises animals as his brethren, and falsely believes them to differ fundamentally from him, seeking to confirm this illusion by calling them brutes, giving degrading names to the vital functions which they have in common with him, and proclaiming them outlaws; and thus he hardens his heart against that identity of being between them and himself, which is nevertheless constantly obtruding itself upon him.

Still, as we have said, the whole difference lies in this — that, besides the intuitive representations examined in the last chapter, which are shared by animals, other, abstract representations derived from these intuitive ones, are lodged in the human brain, which is chiefly on this account so much

larger than that of animals. Representations of this sort have been called *conceptions*,<sup>115</sup> because each comprehends innumerable individual things in, or rather under, itself, and thus forms a complex.<sup>116</sup> We may also define them as *representations drawn from representations*. For, in forming them, the faculty of abstraction decomposes the complete, intuitive representations described in our last chapter into their component parts, in order to think each of these parts separately as the different qualities of, or relations between, things. By this process, however, the representations necessarily forfeit their perceptibility; just as water, when decomposed, ceases to be fluid and visible. For although each quality thus isolated (abstracted) can quite well be *thought* by itself, it does not at all follow that it can be *perceived* by itself. We form conceptions by dropping a good deal of what is given us in perception, in order to be able to think the rest by itself. To conceive therefore, is to think less than we perceive. If, after considering divers objects of perception, we drop something different belonging to each, yet retain what is the same in all, the result will be the *genus* of that species. The generic conception is accordingly always the conception of every species comprised under it, after deducting all that does not belong to *every* species. Now, as every possible conception may be thought as a *genus*, a conception is always something general, and as such, not perceptible. Every conception has on this account also its *sphere*, as the sum-total<sup>117</sup> of what may be thought under it. The higher we ascend in abstract thought, the more we deduct, the less therefore remains to be thought. The highest, *i.e.* the most general conceptions, are the emptiest and poorest, and at last become mere husks, such as, for instance, being, essence, thing, becoming, &c. &c. — Of what avail, by the way, can philosophical systems be, which are only spun out of conceptions of this sort and have for their substance mere flimsy husks of thoughts like these? They must of necessity be exceedingly empty, poor, and therefore also dreadfully tiresome.

Now as representations, thus sublimated and analysed to form abstract conceptions, have, as we have said, forfeited all perceptibility, they would entirely escape our consciousness, and be of no avail to it for the thinking processes to which they are destined, were they not fixed and retained in our senses by arbitrary signs. These signs are words. In as far as they constitute the contents of dictionaries and therefore of language, words always designate *general* representations, conceptions, never perceptible objects; whereas a lexicon which enumerates individual things, only

contains proper names, not words, and is either a geographical or historical dictionary: that is to say, it enumerates what is separated either by Time or by Space; for, as *my* readers know, Time and Space are the *principium individuationis*. It is only because animals are limited to intuitive representations and incapable of any abstraction — incapable therefore of forming conceptions — that they are without language, even when they are able to articulate words; whereas they understand proper names. That it is this same defect which excludes them from laughter, I have shown in my theory of the ridiculous.<sup>118</sup>

On analyzing a long, continuous speech made by a man of no education, we find in it an abundance of logical forms, clauses, turns of phrase, distinctions, and subtleties of all sorts, correctly expressed by means of grammatical forms with their inflections and constructions, and even with a frequent use of the *sermo obliquus*, of the different moods, &c. &c., all in conformity with rule, which astonishes us, and in which we are forced to recognise an extensive and perfectly coherent knowledge. Still this knowledge has been acquired on the basis of the perceptible world, the reduction of whose whole essence to abstract conceptions is the fundamental business of the Reason, and can only take place by means of language. In learning the use of language therefore, the whole mechanism of Reason — that is, all that is essential in Logic — is brought to our consciousness. Now this can evidently not take place without considerable mental effort and fixed attention, for which the desire to learn gives children the requisite strength. So long as that desire has before it what is really available and necessary, it is vigorous, and it only appears weak when we try to force upon children that which is not suited to their comprehension. Thus even a coarsely educated child, in learning all the turns and subtleties of language, as well through its own conversation as that of others, accomplishes the development of its Reason, and acquires that really concrete Logic, which consists less in logical rules than in the proper application of them; just as the rules of harmony are learnt by persons of musical talent simply by playing the piano, without reading music or studying thorough-bass. — The deaf and dumb alone are excluded from the above-mentioned logical training through the acquirement of speech; therefore they are almost as unreasonable as animals, when they have not been taught to read by the very artificial means specially adapted for their requirements, which takes the place of the natural schooling of Reason.

## § 27. *The Utility of Conceptions.*

The fundamental essence of our Reason or thinking faculty is, as we have seen, the power of abstraction, or the faculty of forming *conceptions*: it is therefore the presence of these in our consciousness which produces such amazing results. That it should be able to do this, rests mainly on the following grounds.

It is just because they contain less than the representations from which they are drawn, that conceptions are easier to deal with than representations; they are, in fact, to these almost as the formula of higher arithmetic to the mental operations which give rise to them and which they represent, or as a logarithm to its number. They only contain just the part required of the many representations from which they are drawn; if instead we were to try to recall those representations themselves by means of the imagination, we should, as it were, have to lug about a load of unessential lumber, which would only embarrass us; whereas, by the help of conceptions, we are enabled to think only those parts and relations of all these representations which are wanted for each individual purpose: so that their employment may be compared to doing away with superfluous luggage, or to working with extracts instead of plants themselves — with quinine, instead of bark. What is properly called *thinking*, in its narrowest sense, is the occupation of the intellect with conceptions: that is, the presence in our consciousness of the class of representations we now have before us. This is also what we call *reflection*: a word which, by a figure of speech borrowed from Optics, expresses at once the derivative and the secondary character of this kind of knowledge. Now it is this thinking, this reflection, which gives man that *deliberation*, which is wanting in animals. For, by enabling him to think many things under one conception, but always only the essential part in each of them, it allows him to drop at his pleasure every kind of distinction, consequently even those of Time and of Space, and thus he acquires the power of embracing in thought, not only the past and the future, but also what is absent; while animals are in every respect strictly bound to the present. This deliberative faculty again is really the root of all those theoretical and practical achievements which give man so great a superiority over animals; first and foremost, of his care for the future while taking the past into consideration; then of his premeditated, systematic, methodical procedure in all undertakings, and therefore of the co-operation



of many persons towards a common end, and, by this, of law, order, the State, &c. &c. — But it is especially in Science that the use of conceptions is important; for they are, properly speaking, its materials. The aims of all the sciences may, indeed, in the last resort, be reduced to knowledge of the particular through the general; now this is only possible by means of the *dictum de omni et nullo*, and this, again, is only possible through the existence of conceptions. Aristotle therefore says: ἄνευ μὲν γὰρ τῶν καθόλου οὐκ ἔστιν ἐπιστήμην λαβεῖν<sup>119</sup> (*absque universalibus enim non datur scientia*). Conceptions are precisely those *universalia*, whose mode of existence formed the argument of the long controversy between the Realists and Nominalists in the Middle Ages.

#### § 28. *Representatives of Conceptions. The Faculty of Judgment.*

Conceptions must not be confounded with pictures of the imagination, these being intuitive and complete, therefore individual representations, although they are not called forth by sensuous impressions and do not therefore belong to the complex of experience. Even when used to *represent a conception*, a picture of the imagination (phantasm) ought to be distinguished from a conception. We use phantasms as *representatives of conceptions* when we try to grasp the intuitive representation itself that has given rise to the conception and to make it tally with that conception, which is in all cases impossible; for there is no representation, for instance, of dog in general, colour in general, triangle in general, number in general, nor is there any picture of the imagination which corresponds to these conceptions. Then we evoke the phantasm of some dog or other, which, as a representation, must in all cases be determined: that is, it must have a certain size, shape, colour, &c. &c.; even though the conception represented by it has no such determinations. When we use such *representatives of conceptions* however, we are always conscious that they are not adequate to the conceptions they represent, and that they are full of arbitrary determinations. Towards the end of the first part of his Twelfth Essay on Human Understanding, Hume expresses himself in agreement with this view, as also Rousseau in his “Discours sur l’Origine de l’Inégalité.”<sup>120</sup> Kant’s doctrine, on the contrary, is a totally different one. The matter is one which introspection and clear reflection can alone decide. Each of us must therefore examine himself as to whether he is conscious in his own

conceptions of a “Monogram of Pure Imagination *à priori*,” whether, for instance, when he thinks dog, he is conscious of something *entre chien et loup*; or whether, as I have here explained it, he is either thinking an abstract conception through his Reason, or representing some representative of that conception as a complete picture through his imagination.

All thinking, in a wider sense: that is, all inner activity of the mind in general, necessitates either words or pictures of the imagination: without one or other of these it has nothing to hold by. They are not, however, both necessary at the same time, although they may co-operate to their mutual support. Now, thinking in a narrower sense — that is, abstract reflection by means of words — is either purely logical reasoning, in which case it keeps strictly to its own sphere; or it touches upon the limits of perceptible representations in order to come to an understanding with them, so as to bring that which is given by experience and grasped by perception into connection with abstract conceptions resulting from clear reflection, and thus to gain complete possession of it. In thinking therefore, we seek either for the conception or rule to which a given perception belongs, or for the particular case which proves a given conception or rule. In this quality, thinking is an activity of the *faculty of judgment*, and indeed in the first case a reflective, in the second, a subsuming activity. The faculty of judgment is accordingly the mediator between intuitive and abstract knowledge, or between the Understanding and the Reason. In most men it has merely rudimentary, often even merely nominal existence;<sup>121</sup> they are destined to follow the lead of others, and it is as well not to converse with them more than is necessary.

The true kernel of all knowledge is that reflection which works with the help of intuitive representations; for it goes back to the fountain-head, to the basis of all conceptions. Therefore it generates all really original thoughts, all primary and fundamental views and all inventions, so far as chance had not the largest share in them. *The Understanding* prevails in this sort of thinking, whilst *the Reason* is the chief factor in purely abstract reflection. Certain thoughts which wander about for a long time in our heads, belong to this sort of reflection: thoughts which come and go, now clothed in one kind of intuition, now in another, until they at last become clear, fix themselves in conceptions and find words to express them. Some, indeed, never find words to express them, and these are, unfortunately, the best of all: *quæ voce meliora sunt*, as Apuleius says.

Aristotle, however, went too far in thinking that no reflection is possible without pictures of the imagination. Nevertheless, what he says on this point,<sup>122</sup> οὐδέποτε νοεῖ ἄνευ φαντάσματος ἡ ψυχὴ (*anima sine phantasmate nunquam intelligit*),<sup>123</sup> and ὅταν θεωρῇ, ἀνάγκη ἅμα φάντασμά τι θεωρεῖν (*qui contemplatur, necesse est, una cum phantasmate contempletur*),<sup>124</sup> and again, νοεῖν οὐκ ἔστι ἄνευ φαντάσματος (*fieri non potest, ut sine phantasmate quidquam intelligatur*),<sup>125</sup> — made a strong impression upon the thinkers of the fifteenth and sixteenth centuries, who therefore frequently and emphatically repeat what he says. Pico della Mirandola,<sup>126</sup> for instance, says: *Necesse est, eum, qui ratiocinatur et intelligit, phantasmata speculari*; — Melanchthon<sup>127</sup> says: *Oportet intelligentem phantasmata speculari*; — and Jord. Brunus<sup>128</sup> says, *dicit Aristoteles: oportet scire volentem, phantasmata speculari*. Pomponatius<sup>129</sup> expresses himself in the same sense. — On the whole, all that can be affirmed is, that every true and primary notion, every genuine philosophic theorem even, must have some sort of intuitive view for its innermost kernel or root. This, though something momentary<sup>130</sup> and single, subsequently imparts life and spirit to the whole analysis, however exhaustive it may be, — just as one drop of the right reagent suffices to tinge a whole solution with the colour of the precipitate which it causes. When an analysis has a kernel of this sort, it is like a bank note issued by a firm which has ready money wherewith to back it; whereas every other analysis proceeding from mere combinations of abstract conceptions, resembles a bank note which is issued by a firm which has nothing but other paper obligations to back it with. All mere rational talk thus renders the result of given conceptions clearer, but does not, strictly speaking, bring anything new to light. It might therefore be left to each individual to do himself, instead of filling whole volumes every day.

### § 29. Principle of Sufficient Reason of Knowing.

But, even in a narrower sense, thinking does not consist in the bare presence of abstract conceptions in our consciousness, but rather in connecting or separating two or more of these conceptions under sundry restrictions and modifications which Logic indicates in the Theory of Judgments. A relation of this sort between conceptions distinctly thought and expressed we call a *judgment*. Now, with reference to these judgments, the Principle of Sufficient Reason here once more holds good, yet in a widely different form

from that which has been explained in the preceding chapter; for here it appears as the Principle of Sufficient Reason of Knowing, *principium rationis sufficientis cognoscendi*. As such, it asserts that if a *judgment* is to express *knowledge* of any kind, it must have a sufficient reason: in virtue of which quality it then receives the predicate *true*. Thus *truth* is the reference of a judgment to something different from itself, called its reason or ground, which reason, as we shall presently see, itself admits of a considerable variety of kinds. As, however, this reason is invariably a something upon which the judgment rests, the German term for it, viz., *Grund*, is not ill chosen. In Latin, and in all languages of Latin origin, the word by which a reason of knowledge is designated, is the same as that used for the faculty of Reason (*ratiocinatio*): both are called *ratio*, *la ragione*, *la razon*, *la raison*, *the reason*. From this it is evident, that attaining knowledge of the reasons of judgments had been recognised as Reason's highest function, its business κατ' ἐξοχήν. Now, these grounds upon which a judgment may rest, may be divided into *four* different kinds, and the truth obtained by that judgment will correspondingly differ. They are stated in the following paragraph.

### § 30. *Logical Truth.*

A judgment may have for its reason another judgment; in this case it has *logical* or *formal* truth. Whether it has material truth also, remains an open question and depends on whether the judgment on which it rests has material truth, or whether the series of judgments on which it is founded leads to a judgment which has material truth, or not. This founding of a judgment upon another judgment always originates in a comparison between them which takes place either directly, by mere conversion or contraposition, or by adding a third judgment, and then the truth of the judgment we are founding becomes evident through their mutual relation. This operation is the complete *sylogism*. It is brought about either by the opposition or by the subsumption of conceptions. As the syllogism, which is the founding of one judgment upon another by means of a third, never has to do with anything but judgments; and as judgments are only combinations of conceptions, and conceptions again are the exclusive object of our Reason: syllogizing has been rightly called Reason's special function. The whole syllogistic science, in fact, is nothing but the sum-total

of the rules for applying the principle of sufficient reason to the mutual relations of judgments; consequently it is the canon of *logical truth*.

Judgments, whose truth becomes evident through the four well-known laws of thinking, must likewise be regarded as based upon other judgments; for these four laws are themselves precisely judgments, from which follows the truth of those other judgments. For instance, the judgment: "A triangle is a space enclosed within three lines," has for its last reason the Principle of Identity, that is to say, the thought expressed by that principle. The judgment, "No body is without extension," has for its last reason the Principle of Contradiction. This again, "Every judgment is either true or untrue," has for its last reason the Principle of the Excluded Middle; and finally, "No one can admit anything to be true without knowing why," has for its last reason the Principle of Sufficient Reason of Knowing. In the general employment of our Reason, we do not, it is true, before admitting them to be true, reduce judgments which follow from the four laws of thinking to their last reasons, as premisses; for most men are even ignorant of the very existence of these abstract laws. The dependence of such judgments upon them, as their premisses, is however no more diminished by this, than the dependence of the first judgment upon the second, as its premiss, is diminished by the fact, that it is not at all necessary for the principle, "all bodies incline towards the centre of the earth," to be present in the consciousness of any one who says, "this body will fall if its support is removed." That in Logic, therefore, *intrinsic truth* should hitherto have been attributed to all judgments founded exclusively on the four laws of thinking: that is to say, that these judgments should have been pronounced *directly true*, and that this *intrinsic logical truth* should have been distinguished from *extrinsic logical truth*, as attributed to all judgments which have another judgment for their reason, I cannot approve. Every truth is the reference of a judgment to something *outside* of it, and the term *intrinsic truth* is a contradiction.

### § 31. *Empirical Truth.*

A judgment may be founded upon a representation of the first class, *i.e.* a perception by means of the senses, consequently on experience. In this case it has *material truth*, and moreover, if the judgment is founded *immediately* on experience, this truth is *empirical truth*.

When we say, “A judgment has *material truth*,” we mean on the whole, that its conceptions are connected, separated, limited, according to the requirements of the intuitive representations through which it is inferred. To attain knowledge of this, is the direct function of the *faculty of judgment*, as the mediator between the intuitive and the abstract or discursive faculty of knowing — in other words, between the Understanding and the Reason.

### § 32. *Transcendental Truth.*

The *forms* of intuitive, empirical knowledge which lie within the Understanding and pure Sensibility may, as conditions of all possible experience, be the grounds of a judgment, which is in that case synthetical *à priori*. As nevertheless this kind of judgment has material truth, its truth is *transcendental*; because the judgment is based not only on experience, but on the conditions of all possible experience lying within us. For it is determined precisely by that which determines experience itself: namely, either by the forms of Space and of Time perceived by us *à priori*, or by the causal law, known to us *à priori*. Propositions such as: two straight lines do not include a space; nothing happens without a cause; matter can neither come into being nor perish;  $3 \times 7 = 21$ , are examples of this kind of judgment. The whole of pure Mathematics, and no less my tables of the *Prædicabilia à priori*,<sup>131</sup> as well as most of Kant’s theorems in his “*Metaphysische Anfangsgründe der Naturwissenschaft*,” may, properly speaking, be adduced in corroboration of this kind of truth.

### § 33. *Metalogical Truth.*

Lastly, a judgment may be founded on the formal conditions of all thinking, which are contained in the Reason; and in this case its truth is of a kind which seems to me best defined as *metalogical truth*. This expression has nothing at all to do with the “*Metalogicus*” written by Johannes Sarisberriensis in the twelfth century, for he declares in his prologue, “*quia Logicæ suscepi patrocinium, Metalogicus inscriptus est liber*,” and never makes use of the word again. There are only four metalogically true judgments of this sort, which were discovered long ago by induction, and called the laws of all thinking; although entire uniformity of opinion as to their expression and even as to their number has not yet been arrived at,

whereas all agree perfectly as to what they are on the whole meant to indicate. They are the following: —

1. A subject is equal to the sum total of its predicates, or  $a = a$ .
2. No predicate can be attributed and denied to a subject at the same time, or  $a = -a = o$ .
3. One of two opposite, contradictory predicates must belong to every subject.
4. Truth is the reference of a judgment to something outside of it, as its sufficient reason.

It is by means of a kind of reflection which I am inclined to call Reason's self-examination, that we know that these judgments express the conditions of all thinking, and therefore have these conditions for their reason. For, by the fruitlessness of its endeavours to think in opposition to these laws, our Reason acknowledges them to be the conditions of all possible thinking: we then find out, that it is just as impossible to think in opposition to them, as it is to move the members of our body in a contrary direction to their joints. If it were possible for the subject to know itself, these laws would be known to us *immediately*, and we should not need to try experiments with them on objects, *i.e.* representations. In this respect it is just the same with the reasons of judgments which have transcendental truth; for they do not either come into our consciousness immediately, but only in *concreto*, by means of objects, *i.e.* of representations. In endeavouring, for instance, to conceive a change without a preceding cause, or a passing into or out of being of Matter, we become aware that it is impossible; moreover we recognise this impossibility to be an objective one, although its root lies in our intellect: for we could not otherwise bring it to consciousness in a subjective way. There is, on the whole, a strong likeness and connection between transcendental and metalogical truths, which shows that they spring from a common root. In this chapter we see the Principle of Sufficient Reason chiefly as metalogical truth, whereas in the last it appeared as transcendental truth and in the next one it will again be seen as transcendental truth under another form. In the present treatise I am taking special pains, precisely on this account, to establish the Principle of Sufficient Reason as a judgment having a fourfold reason; by which I do not mean four different reasons leading contingently to the same judgment, but one reason presenting itself under a fourfold aspect: and this is what I call its Fourfold Root. The other three metalogical truths so strongly resemble



one another, that in considering them one is almost necessarily induced to search for their common expression, as I have done in the Ninth Chapter of the Second Volume of my chief work. On the other hand, they differ considerably from the Principle of Sufficient Reason. If we were to seek an analogue for the three other metalogical truths among transcendental truths, the one I should choose would be this: Substance, I mean Matter, is permanent.

### § 34. *Reason.*

As the class of representations I have dealt with in this chapter belongs exclusively to Man, and as all that distinguishes human life so forcibly from that of animals and confers so great a superiority on man, is, as we have shown, based upon his faculty for these representations, this faculty evidently and unquestionably constitutes that Reason, which from time immemorial has been reputed the prerogative of mankind. Likewise all that has been considered by all nations and in all times explicitly as the work or manifestation of the Reason, of the λόγος, λόγιμον, λογιστικόν, *ratio*, *la ragione*, *la razon*, *la raison*, *reason*, may evidently also be reduced to what is only possible for abstract, discursive, reflective, mediate knowledge, conditioned by words, and not for mere intuitive, immediate, sensuous knowledge, which belongs to animals also. Cicero rightly places *ratio et oratio* together,<sup>132</sup> and describes them as *quæ docendo, discendo, communicando, disceptando, judicando, conciliat inter se homines, &c. &c.*, and<sup>133</sup> *rationem dico, et, si placet, pluribus verbis, mentem, consilium, cogitationem, prudentiam*. And<sup>134</sup> *ratio, qua una præstamus beluis, per quam conjectura valemus, argumentamur, refellimus, disserimus, conficimus aliquid, concludimus*. But, in all ages and countries, philosophers have invariably expressed themselves in this sense with respect to the Reason, even to Kant himself, who still defines it as the faculty for principles and for inference; although it cannot be denied that he first gave rise to the distorted views which followed. In my principal work,<sup>135</sup> and also in the Fundamental Problems of Ethics, I have spoken at great length about the agreement of all philosophers on this point, as well as about the true nature of Reason, as opposed to the distorted conceptions for which we have to thank the professors of philosophy of this century. I need

not therefore repeat what has already been said there, and shall limit myself to the following considerations.

Our professors of philosophy have thought fit to do away with the name which had hitherto been given to that faculty of thinking and pondering by means of reflection and conceptions, which distinguishes man from animals, which necessitates language while it qualifies us for its use, with which all human deliberation and all human achievements hang together, and which had therefore always been viewed in this light and understood in this sense by all nations and even by all philosophers. In defiance of all sound taste and custom, our professors decided that this faculty should henceforth be called *Understanding* instead of *Reason*, and that all that is derived from it should be named *intelligent* instead of *rational*, which, of course, had a strange, awkward ring about it, like a discordant tone in music. For in all ages and countries the words *understanding*, *intellectus*, *acumen*, *perspicacia*, *sagacitas*, &c. &c., had been used to denote the more intuitive faculty described in our last chapter; and its results, which differ specifically from those of Reason here in question, have always been called *intelligent*, *sagacious*, *clever*, &c. &c. *Intelligent* and *rational* were accordingly always distinguished one from the other, as manifestations of two entirely and widely different mental faculties. Our professional philosophers could not, however, take this into account; their policy required the sacrifice, and in such cases the cry is: "Move on, truth; for we have higher, well-defined aims in view! Make way for us, truth, *in majorem Dei gloriam*, as thou hast long ago learnt to do! Is it thou who givest fees and pensions? Move on, truth, move on; betake thyself to merit and crouch in the corner!" The fact was, they wanted Reason's place and name for a faculty of their own creation and fabrication, or to speak more correctly and honestly, for a completely fictitious faculty, destined to help them out of the straits to which Kant had reduced them; a faculty for direct, metaphysical knowledge: that is to say, one which transcends all possible experience, is able to grasp the world of things in themselves and their relations, and is therefore, before all, consciousness of God (*Gottesbewusstsein*): that is, it knows God the Lord immediately, construes *à priori* the way in which he has created the Universe, or, should this sound too trivial, the way in which he has produced it out of himself, or to a certain degree generated it by some more or less necessary vital process, or again — as the most convenient proceeding, however comical it may appear — simply

“dismissed” it, according to the custom of sovereigns at the end of an audience, and left it to get upon its legs by itself and walk away wherever it liked. Nothing less than the impudence of a scribbler of nonsense like Hegel, could, it is true, be found to venture upon this last step. Yet it is tom-foolery like this which, largely amplified, has filled hundreds of volumes for the last fifty years under the name of cognitions of Reason (*Vernunft*erkenntnisse), and forms the argument of so many works called philosophical by their authors, and scientific by others — one would think ironically — this expression being even repeated to satiety. *Reason*, to which all this wisdom is falsely and audaciously imputed, is pronounced to be a “supersensuous faculty,” or a faculty “for ideas;” in short, an oracular power lying within us, designed directly for Metaphysics. During the last half-century, however, there has been considerable discrepancy of opinion among the adepts as to the way in which all these supersensuous wonders are perceived. According to the most audacious, Reason has a direct intuition of the Absolute, or even *ad libitum* of the Infinite and of its evolutions towards the Finite. Others, somewhat less bold, opine that its mode of receiving this information partakes rather of audition than of vision; since it does not exactly see, but merely *hears* (*vernimmt*), what is going on in “cloud-cuckoo-land” (νεφέλοκοκκυγία), and then honestly transmits what it has thus received to the Understanding, to be worked up into text-books. According to a pun of Jacobi’s, even the German name for Reason, “*Vernunft*,” is derived from this pretended “*Vernehmen*,” whereas it evidently comes from that “*Vernehmen*” which is conveyed by language and conditioned by Reason, and by which the distinct perception of words and their meaning is designated, as opposed to mere sensuous hearing which animals have also. This miserable *jeu de mots* nevertheless continues, after half a century, to find favour; it passes for a serious thought, nay even for a proof, and has been repeated over and over again. The most modest among the adepts again assert, that Reason neither sees nor hears, therefore it receives neither a vision nor a report of all these wonders, and has a mere vague *Ahndung*, or misgiving of them; but then they drop the *d*, by which the word (*Ahnung*) acquires a peculiar touch of silliness, which, backed up as it is by the sheepish look of the apostle for the time being of this wisdom, cannot fail to gain it entrance.

My readers know that I only admit the word *idea* in its primitive, that is Platonic, sense, and that I have treated this point at length and exhaustively

in the Third Book of my chief work. The French and English, on the other hand, certainly attach a very commonplace, but quite clear and definite meaning to the word *idée*, or *idea*; whereas the Germans lose their heads as soon as they hear the word *Ideen*,<sup>136</sup> all presence of mind abandons them, and they feel as if they were about to ascend in a balloon. Here therefore was a field of action for our adepts in intellectual intuition; so the most impudent of them, the notorious *charlatan* Hegel, without more ado, called his theory of the universe and of all things “*Die Idee*,” and in this of course all thought that they had something to lay hold of. Still, if we inquire into the nature of these *ideas* for which Reason is pronounced to be the faculty, without letting ourselves be put out of countenance, the explanation usually given is an empty, high-flown, confused verbiage, in set periods of such length, that if the reader does not fall asleep before he has half read it, he will find himself bewildered rather than enlightened at the end; nay, he may even have a suspicion that these ideas are very like chimæras. Meanwhile, should anyone show a desire to know more about this sort of ideas, he will have all kinds of things served up to him. Now it will be the chief subjects of the theses of Scholasticism — I allude here to the representations of God, of an immortal Soul, of a real, objectively existent World and its laws — which Kant himself has unfortunately called Ideas of Reason, erroneously and unjustifiably, as I have shown in my Critique of his philosophy, yet merely with a view to proving the utter impossibility of demonstrating them and their want of all theoretical authority. Then again it will be, as a variation, only God, Freedom, and Immortality; at other times it will be the Absolute, whose acquaintance we have already made in § 20, as the Cosmological Proof, forced to travel incognito; or the Infinite as opposed to the Finite; for, on the whole, the German reader is disposed to content himself with such empty talk as this, without perceiving that the only clear thought he can get out of it is, ‘that which has an end’ and ‘that which has none.’ ‘The Good, the True, and the Beautiful,’ moreover, stand high in favour with the sentimental and tender-hearted as pretended *ideas*, though they are really only three very wide and abstract conceptions, because they are extracted from a multitude of things and relations; wherefore, like many other such *abstracta*, they are exceedingly empty. As regards their contents, I have shown above (§ 29) that Truth is a quality belonging exclusively to judgments: that is, a logical quality; and as to the other two *abstracta*, I refer my readers partly to § 65 of the first volume, partly to the

entire Third Book of my chief work. If, nevertheless, a very solemn and mysterious air is assumed and the eyebrows are raised up to the wig whenever these three meagre *abstracta* are mentioned, young people may easily be induced to believe that something peculiar and inexpressible lies behind them, which entitles them to be called *ideas*, and harnessed to the triumphal car of this would-be metaphysical Reason.

When therefore we are told, that we possess a faculty for direct, material (*i.e.*, not only formal, but substantial), supersensuous knowledge, (that is, a knowledge which transcends all possible experience), a faculty specially designed for metaphysical insight, and inherent in us for this purpose — I must take the liberty to call this a downright lie. For the slightest candid self-examination will suffice to convince us that absolutely no such faculty resides within us. The result at which all honest, competent, authoritative thinkers have arrived in the course of ages, moreover, tallies exactly with my assertion. It is as follows: All that is innate in the whole of our cognitive faculty, all that is therefore *à priori* and independent of experience, is strictly limited to the *formal* part of knowledge: that is, to the consciousness of the peculiar functions of the intellect and of the only way in which they can possibly act; but in order to give material knowledge, these functions one and all require material from outside. Within us therefore lie the forms of external, objective perception: Time and Space, and then the law of Causality — as a mere form of the Understanding which enables it to construct the objective, corporeal world — finally, the formal part of abstract knowledge: this last is deposited and treated of in *Logic*, which our forefathers therefore rightly called the *Theory of Reason*. But this very Logic teaches us also, that the *conceptions* which constitute those judgments and conclusions to which all logical laws refer, must look to *intuitive* knowledge for their *material* and their *content*; just as the Understanding, which creates *this intuitive knowledge*, looks to sensation for the material which gives content to its *à priori* forms.

Thus all that is *material* in our knowledge: that is to say, all that cannot be reduced to subjective *form*, to individual mode of activity, to functions of our intellect, — its whole *material* therefore, — comes from outside; that is, in the last resort, from the objective perception of the corporeal world, which has its origin in sensation. Now it is this intuitive and, so far as material content is concerned, empirical knowledge, which *Reason* — *real*

Reason — works up into conceptions, which it fixes sensuously by means of words; these conceptions then supply the materials for its endless combinations through judgments and conclusions, which constitute the weft of our thought-world. *Reason* therefore has absolutely no *material*, but merely a *formal*, content, and this is the object-matter of Logic, which consequently contains only forms and rules for thinking operations. In reflecting, Reason is absolutely forced to take its material contents from outside, *i.e.*, from the intuitive representations which the Understanding has created. Its functions are exercised on them, first of all, in forming *conceptions*, by dropping some of the various qualities of things while retaining others, which are then connected together to a conception. Representations, however, forfeit their capacity for being intuitively perceived by this process, while they become easier to deal with, as has already been shown. It is therefore in this, and in this alone, that the efficiency of Reason consists; whereas it can never supply *material content from its own resources*. — It has nothing but forms: its nature is feminine; it only conceives, but does not generate. It is not by mere chance that the Reason is feminine in all Latin, as well as Teutonic, languages; whereas the Understanding is invariably masculine.

In using such expressions as ‘sound Reason teaches this,’ or ‘Reason should control passion,’ we by no means imply that Reason furnishes material knowledge out of its own resources; but rather do we point to the results of rational reflection, that is, to logical inference from principles which abstract knowledge has gradually gathered from experience and by which we obtain a clear and comprehensive view, not only of what is empirically necessary, and may therefore, the case occurring, be foreseen, but even of the reasons and consequences of our own deeds also. *Reasonable* or *rational* is everywhere synonymous with *consistent* or *logical*, and conversely; for Logic is only Reason’s natural procedure itself, expressed in a system of rules; therefore these expressions (rational and logical) stand in the same relation to one another as theory and practice. Exactly in this same sense too, when we speak of a reasonable conduct, we mean by it one which is quite consistent, one therefore which proceeds from general conceptions, and is not determined by the transitory impression of the moment. By this, however, the morality of such conduct is in no wise determined: it may be good or bad indifferently. Detailed explanations of all this are to be found in my “Critique of Kant’s Philosophy,”<sup>137</sup> and also in my

“Fundamental Problems of Ethics.”<sup>138</sup> Notions derived from *pure Reason* are, lastly, those which have their source in the *formal* part, whether intuitive or reflective, of our cognitive faculty; those, consequently, which we are able to bring to our consciousness *à priori*, that is, without the help of experience. They are invariably based upon principles which have transcendental or metalogical truth.

A Reason, on the other hand, which supplies material knowledge primarily out of its own resources and conveys positive information transcending the sphere of possible experience; a Reason which, in order to do this, must necessarily contain *innate ideas*, is a pure fiction, invented by our professional philosophers and a product of the terror with which Kant’s Critique of Pure Reason has inspired them. I wonder now, whether these gentlemen know a certain Locke and whether they have ever read his works? Perhaps they may have done so in times long gone by, cursorily and superficially, while looking down complacently on this great thinker from the heights of their own conscious superiority: may be, too, in some inferior German translation; for I do not yet see that the knowledge of modern languages has increased in proportion to the deplorable decrease in that of ancient ones. How could time besides be found for such old croakers as Locke, when even a real, thorough knowledge of Kant’s Philosophy at present hardly exists excepting in a very few, very old heads? The youth of the generation now at its maturity had of course to be spent in the study of “Hegel’s gigantic mind,” of the “sublime Schleiermacher,” and of the “acute Herbart.” Alas! alas! the great mischief in academical hero-worship of this sort, and in the glorification of university celebrities by worthy colleagues in office or hopeful aspirants to it, is precisely, that ordinary intellects — Nature’s mere manufactured ware — are presented to honest credulous youths of immature judgment, as master minds, exceptions and ornaments of mankind. The students forthwith throw all their energies into the barren study of the endless, insipid scribblings of such mediocrities, thus wasting the short, invaluable period allotted to them for higher education, instead of using it to attain the sound information they might have found in the works of those extremely rare, genuine, truly exceptional thinkers, *nantes in gurgite vasto*, who only rise to the surface every now and then in the course of ages, because Nature produced but one of each kind, and then “destroyed the mould.” For this generation also those great minds might have had life, had our youth not been cheated out of its share in their wisdom by these



exceedingly pernicious extollers of mediocrity, members of the vast league and brotherhood of mediocrities, which is as flourishing to-day as it ever was and still hoists its flag as high as it can in persistent antagonism to all that is great and genuine, as humiliating to its members. Thanks to them, our age has declined to so low an ebb, that Kant's Philosophy, which it took our fathers years of study, of serious application and of strenuous effort to understand, has again become foreign to the present generation, which stands before it like ὄνος πρὸς λύραν, at times attacking it coarsely and clumsily — as barbarians throw stones at the statue of some Greek god which is foreign to them. Now, as this is the case, I feel it incumbent upon me to advise all champions of a Reason that perceives, comprehends, and knows directly — in short, that supplies material knowledge out of its own resources — to read, as something new to them, the *First Book* of Locke's work, which has been celebrated throughout the world for the last hundred and fifty years, and in it especially to peruse §§ 21-26 of the Third Chapter, expressly directed against all innate notions. For although Locke goes too far in denying all innate truths, inasmuch as he extends his denial even to our *formal* knowledge — a point in which he has been brilliantly rectified by Kant — he is nevertheless perfectly and undeniably right with reference to all *material* knowledge: that is, all knowledge which gives substance.

I have already said in my *Ethics* what I must nevertheless repeat here, because, as the Spanish proverb says, "*No hay peor sordo que quien no quiere oir*" (None so deaf as those who will not hear): namely, that if Reason were a faculty specially designed for Metaphysics, a faculty which supplied the material of knowledge and could reveal that which transcends all possible experience, the same harmony would necessarily reign between men on metaphysical and religious subjects — for they are identical — as on mathematical ones, and those who differed in opinion from the rest would simply be looked upon as not quite right in their mind. Now exactly the contrary takes place, for on no subject are men so completely at variance with one another as upon these. Ever since men first began to think, philosophical systems have opposed and combated each other everywhere; they are, in fact, often diametrically contrary to one another. Ever since men first began to believe (which is still longer), religions have fought against one another with fire and sword, with excommunication and cannons. But in times when faith was most ardent, it was not the lunatic asylum, but the Inquisition, with all its paraphernalia, which awaited

individual heretics. Here again, therefore, experience flatly and categorically contradicts the false assertion, that Reason is a faculty for direct metaphysical knowledge, or, to speak more clearly, of inspiration from above. Surely it is high time that severe judgment should be passed upon this Reason, since, *horribile dictu*, so lame, so palpable a falsehood continues after half a century to be hawked about all over Germany, wandering year by year from the professors' chair to the students' bench, and from bench to chair, and has actually found a few simpletons, even in France, willing to believe in it, and carry it about in that country also. Here, however, French *bon-sens* will very soon send *la raison transcendente* about its business.

But where was this falsehood originally hatched? How did the fiction first come into the world? I am bound to confess that it was first originated by Kant's Practical Reason with its Categorical Imperative. For when this Practical Reason had once been admitted, nothing further was needed than the addition of a second, no less sovereign Theoretical Reason, as its counterpart, or twin-sister: a Reason which proclaims metaphysical truths *ex tripode*. I have described the brilliant success of this invention in my Fundamental Problems of Ethics<sup>139</sup> to which work I refer my reader. Now, although I grant that Kant first gave rise to this false assumption, I am, nevertheless, bound to add, that those who want to dance are not long in finding a piper. For it is surely as though a curse lay on mankind, causing them, in virtue of a natural affinity for all that is corrupt and bad, to prefer and hold up to admiration the inferior, not to say downright defective, portions of the works of eminent minds, while the really admirable parts are tolerated as merely accessory. Very few in our time know wherein the peculiar depth and true grandeur of Kant's philosophy lies; for his works have necessarily ceased to be comprehended since they have ceased to be studied. In fact, they are now only cursorily read, for historical purposes, by those who are under the delusion that philosophy has advanced, not to say begun, since Kant. We soon perceive therefore, that in spite of all their talk about Kantian philosophy, these people really know nothing of it but the husk, the mere outer envelope, and that if perchance they may here or there have caught up a stray sentence or brought away a rough sketch of it, they have never penetrated to the depths of its meaning and spirit. People of this sort have always been chiefly attracted, in Kant's Philosophy, first of all by the Antinomies, on account of their oddity, but still more by his Practical

Reason with its Categorical Imperative, nay even by the Moral Theory he placed on the top of it, though with this last he was never in earnest; for a theoretical dogma which has only practical validity, is very like the wooden guns we allow our children to handle without fear of danger: properly speaking, it belongs to the same category as: “Wash my skin, but without wetting it.” Now, as regards the Categorical Imperative, Kant never asserted it as a fact, but, on the contrary, protests repeatedly against this being done; he merely served it up as the result of an exceedingly curious combination of thoughts, because he stood in need of a sheet-anchor for morality. Our professors of philosophy, however, never sifted the matter to the bottom, so that it seems as if no one before me had ever thoroughly investigated it. Instead of this, they made all haste to bring the Categorical Imperative into credit as a firmly established fact, calling it in their purism “the moral law” — which, by the way, always reminds me of Bürger’s “Mam’zelle Larègle;” indeed, they have made out of it something as massive as the stone tables of Moses, whose place it entirely takes, for them. Now in my Essay upon the Fundament of Morality, I have brought this same Practical Reason with its Categorical Imperative under the anatomical knife, and proved so clearly and conclusively that they never had any life or truth, that I should like to see the man who can refute me with reasons, and so help the Categorical Imperative honestly on its legs again. Meanwhile, our professors of philosophy do not allow themselves to be put out of countenance by this. They can no more dispense with their “moral law of practical Reason,” as a convenient *deus ex machina* on which to found their morality, than with Free Will: both are essential points in their old woman’s philosophy. No matter if I have made an end of both, since, for them, both continue to exist, like deceased sovereigns who for political reasons are occasionally allowed to continue reigning for a few days after their death. These worthies simply pursue their tactics of old against my merciless demolition of those two antiquated fictions: silence, silence; and so they glide past noiselessly, feigning ignorance, to make the public believe that I and the like of me are not worth listening to. Well, to be sure, their philosophical calling comes to them from the ministry, while mine only comes from Nature. True, we may at last perhaps discover that these heroes act upon the same principle as that idealistic bird, the ostrich, which imagines that by closing its eyes it does away with the huntsman. Ah well! we must bide our time; if the public can only be brought to take up

meantime with the barren twaddle, the unbearably tiresome repetitions, the arbitrary constructions of the Absolute, and the infant-school morality of these gentlemen — say, till I am dead and they can trim up my works as they like — we shall then see.

Morgen habe denn das Rechte  
Seine Freunde wohlgesinnet,  
Wenn nur heute noch das Schlechte  
Vollen Platz und Gunst gewinnet.  
Goethe, *West-Oestlicher Divan*.

But do these gentlemen know what time of day it is? A long predicted epoch has set in; the church is beginning to totter, nay it totters already to such a degree, that it is doubtful whether it will ever be able to recover its centre of gravity; for faith is lost. The light of revelation, like other lights, requires a certain amount of darkness as an indispensable condition. The number of those who have been unfitted for belief by a certain degree and extent of knowledge, is already very large. Of this we have evident signs in the general diffusion of that shallow Rationalism which is showing its bulldog face daily more and more overtly. It quietly sets to work to measure those profound mysteries of Christianity over which centuries have brooded and disputed with its draper's ell, and thinks itself wondrous wise withal. It is, however, the very quintessence of Christianity, the dogma of Original Sin, which these shallow-brained Rationalists have especially singled out for a laughing-stock; precisely because nothing seems clearer or more certain to them, than that existence should begin for each of us with our birth: nothing therefore so impossible as that we can have come into the world already burdened with guilt. How acute! And just as in times of prevailing poverty and neglect, wolves begin to make their appearance in villages; so does Materialism, ever lying in wait, under these circumstances lift up its head and come to the front hand in hand with Bestialism, its companion, which some call Humanism. Our thirst after knowledge augments with our incapacity for belief. There comes a boiling-point in the scale of all intellectual development, at which all faith, all revelation, and all authority evaporate, and Man claims the right to judge for himself; the right, not only to be taught, but to be convinced. The leading-strings of his infancy have fallen off, and henceforth he demands leave to walk alone. Yet his craving for Metaphysics can no more be extinguished than any physical want. Then it is, that the desire for philosophy becomes serious and that

mankind invokes the spirits of all the genuine thinkers who have issued from its ranks. Then, too, empty verbiage and the impotent endeavours of emasculated intellects no longer suffice; the want of a serious philosophy is felt, having other aims in view than fees and salaries, and caring little therefore whether it meets the approbation of cabinet-ministers, or councillors, whether it serves the purposes of this or that religious faction, or not; a philosophy which, on the contrary, clearly shows that it has a very different mission in view from that of procuring a livelihood for the poor in spirit.

But I return to my argument. By means of an amplification which only needed a little audacity, a *theoretical* oracle had been added to the *practical* oracle with which Kant had wrongly endowed Reason. The credit of this invention is no doubt due to F. H. Jacobi, from whom the professional philosophers joyfully and thankfully received the precious gift, as a means to help them out of the straits to which Kant had reduced them. That cool, calm, deliberate Reason, which Kant had criticized so mercilessly, was henceforth degraded to *Understanding* and known by this name; while Reason was supposed to denote an entirely imaginary, fictitious faculty, admitting us, as it were, to a little window overlooking the superlunar, nay, the supernatural world, through which all those truths are handed to us ready cut and dried, concerning which old-fashioned, honest, reflective Reason had for ages vainly argued and contended. And it is on such a mere product of the imagination, such a completely fictitious Reason as this, that German sham philosophy has been based for the last fifty years; first, as the free construction and projection of the absolute *Ego* and the emanation from it of the *non-Ego*; then, as the intellectual intuition of absolute identity or indifference, and its evolutions to Nature; or again, as the arising of God out of his dark depths or bottomless pit<sup>140</sup> à la Jakob Böhme; lastly, as the pure, self-thinking, absolute Idea, the scene of the ballet-dance of the self-moving conceptions — still, at the same time, always as immediate apprehension (*Vernehmen*) of the Divine, the supersensuous, the Deity, verity, beauty and as many other “-ties” as may be desired, or even as a mere vague presentiment<sup>141</sup> of all these wonders. — So this is Reason, is it? Oh no, it is simply a farce, of which our professors of philosophy, who are sorely perplexed by Kant’s serious critiques, avail themselves in order to pass off the subjects of the established religion of their country somehow or other, *per fas aut nefas*, for the results of philosophy.

For it behoves all professorial philosophy, before all things, to establish beyond doubt, and to give a philosophical basis to, the doctrine, that there is a God, Creator, and Ruler of the Universe, a personal, consequently individual, Being, endowed with Understanding and Will, who has created the world out of nothing, and who rules it with sublime wisdom, power and goodness. This obligation, however, places our professors of philosophy in an awkward position with respect to serious philosophy. For Kant had appeared and the Critique of Pure Reason, was written more than sixty years ago, the result being, that of all the proofs of the existence of God which had been brought forward during the Christian ages, and which may be reduced to three which alone are possible, none are able to accomplish the desired end. Nay, the impossibility of any such proof, and with it the impossibility of all speculative theology, is shown at length *à priori* and not in the empty verbiage or Hegelian jargon now in fashion, which may be made to mean anything one likes, but quite seriously and honestly, in the good old-fashioned way; wherefore, however little it may have been to the taste of many people, nothing cogent could be brought forward in reply to it for the last sixty years, and the proofs of the existence of God have in consequence lost all credit, and are no longer in use. Our professors of philosophy have even begun to look down upon them and treat them with decided contempt, as ridiculous and superfluous attempts to demonstrate what was self-evident. Ho! ho! what a pity this was not found out sooner! How much trouble might have been spared in searching whole centuries for these proofs, and how needless it would have been for Kant to bring the whole weight of his Critique of Reason to bear upon and crush them! Some folks, will no doubt be reminded by this contempt of the fox with the sour grapes. But those who wish to see a slight specimen of it will find a particularly characteristic one in Schelling's "Philosophische Schriften," vol. i., 1809, p. 152. Now, whilst others were consoling themselves with Kant's assertion, that it is just as impossible to prove the non-existence, as the existence, of God — as if, forsooth, the old wag did not know that *affirmanti incumbit probatio* — Jacobi's admirable invention came to the rescue of our perplexed professors, and granted German *savants* of this century a peculiar sort of Reason that had never been known or heard of before.

Yet all these artifices were quite unnecessary. For the impossibility of proving the existence of God by no means interferes with that existence,

since it rests in unshakeable security on a much firmer basis. It is indeed a matter of revelation, and this is besides all the more certain, because that revelation was exclusively vouchsafed to a single people, called, on this account, the chosen people of God. This is made evident by the fact, that the notion of God, as personal Ruler and Creator of the world, ordaining everything for the best, is to be found in no other religion but the Jewish, and the two faiths derived from it, which might consequently in a wider sense be called Jewish sects. We find no trace of such a notion in any other religion, ancient or modern. For surely no one would dream of confounding this Creator God Almighty with the Hindoo Brahm, which is living in me, in you, in my horse, in your dog — or even with Brahma, who is born and dies to make way for other Brahmas, and to whom moreover the production of the world is imputed as sin and guilt<sup>142</sup> — least of all with beguiled Saturn's voluptuous son, to whom Prometheus, defiant, prophesies his downfall. But if we finally direct our attention towards the religion which numbers most followers, and in this respect may therefore be said to rank foremost: that is, Buddhism, we can no longer shut our eyes to the fact that it is as decidedly and explicitly atheistic, as it is idealistic and ascetic; and this moreover to such a degree, that its priests express the greatest abhorrence of the doctrine of pure Theism whenever it is brought to their notice. Therefore, in a treatise handed to a Catholic bishop by the High Priest of the Buddhists at Ava,<sup>143</sup> the doctrine "that there is a Being who has created the world and all things, and who alone is worthy of worship," is counted among the six damnable heresies.<sup>144</sup> This is entirely corroborated by I. J. Schmidt, a most excellent and learned authority, whom I consider as having undoubtedly the deepest knowledge of Buddhism of any European *savant*, and who, in his work "Upon the connection between Gnostic doctrines and Buddhism," p. 9, says: —

"In the writings of the Buddhists not a trace is to be found of any positive indication of a Supreme Being as the principle of Creation. Whenever this subject presents itself consistently in the course of argument, it seems, indeed, to be intentionally evaded." And again: "The system of Buddhism knows of no eternal, uncreated, one and only Being, having existed before Time and created all that is visible and invisible. This idea is quite foreign to Buddhism, and not a trace of it is to be found in Buddhist works. And just as little mention do we find of Creation. True, the visible Universe is not without a beginning, but it *arose* out of empty Space,



according to consistent, immutable, natural laws. We should however err, were we to assume that anything — call it Fate or Nature — is regarded or revered by the Buddhists as a divine principle; on the contrary, it is just this very development of empty Space, this precipitate from it or this division into countless parts, this Matter thus arising, which constitutes the Evil of *Jirtintschi*, or of the Universe in its inner and outer relations, out of which sprang *Ortschilang*, or continuous change according to immutable laws, which the same Evil had established.” Then again:<sup>145</sup> “The expression *Creation* is foreign to Buddhism, which only knows *Cosmogony*,” and, “We must comprehend that no idea of a creation of divine origin is compatible with their system.” I could bring forward a hundred corroborative passages like these; but will limit myself to one more, which I quote on account of its popular and official character. The third volume of a very instructive Buddhist work, “Mahavansi, Raja-ratnacari, and Raja-Vali,”<sup>146</sup> contains a translation of the interrogatories to which the High Priests of the five chief Pagodas were separately and successively subjected by the Dutch Governor of Ceylon about the year 1766. It is exceedingly amusing to see the contrast between the interlocutors, who have the greatest difficulty in understanding one another’s meaning. In conformity with the doctrines of their faith, these priests, who are penetrated with love and compassion for all living beings, not excepting even Dutch Governors, spare no pains to satisfy him by their answers. But the artless, naïve Atheism of these priests, whose piety extends even to practising continence, soon comes into conflict with the deep convictions founded on Judaism, imbibed by the Governor in his infancy. This faith has become a second nature for him; he cannot in the least understand that these priests are not Theists, therefore he constantly returns to his inquiries after a Supreme Being, asking them who created the world, and so forth. Whereupon they answer that there can be no higher being than Buddha Shakia-Muni, the Victorious and the Perfect, who, though a king’s son by birth, voluntarily lived the life of a beggar, and preached to the end his sublime doctrine, for the Redemption of mankind, and for our salvation from the misery of constant renascence. They hold that the world has not been made by anyone,<sup>147</sup> that it is self-created, that Nature spreads it out, and draws it in again; but that it is that, which existing, does not exist: that it is the necessary accompaniment of renascence, and that renascence is the result of our sinful conduct, &c. &c. &c. I mention such facts as these chiefly on account of the really scandalous

way in which German *savants* still universally persist, even to the present day, in looking upon Religion and Theism as identical and synonymous; whereas Religion is, in fact, to Theism as the genus to the single species, and Judaism and Theism are alone identical. For this reason we stigmatize as heathen all nations who are neither Jews, Christians, nor Mahometans. Christians are even taxed by Mahometans and Jews with the impurity of their Theism, because of the dogma of the Trinity. For, whatever may be said to the contrary, Christianity has Indian blood in its veins, therefore it constantly tends to free itself from Judaism. The Critique of Pure Reason is the most serious attack that has ever been made upon Theism — and this is why our professors of philosophy have been in such a hurry to set Kant aside; but had that work appeared in any country where Buddhism prevailed, it would simply have been regarded as an edifying treatise intended to refute heresy more thoroughly by a salutary confirmation of the orthodox doctrine of Idealism — that is, the doctrine of the merely apparent existence of the world, as it presents itself to our senses. Even the two other religions which coexist with Buddhism in China — those of Taotsee and of Confucius — are just as Atheistic as Buddhism itself; wherefore the missionaries have never been able to translate the first verse of the Pentateuch into Chinese, because there is no word in the language for God and Creation. Even the missionary Gützlaff, in his “History of the Chinese Empire,” p. 18, has the honesty to say: “It is extraordinary that none of the (Chinese) philosophers ever soared high enough to reach the knowledge of a Creator and Lord of the Universe, although they possessed the Light of Nature in full measure.” J. F. Davis likewise quotes a passage, which is quite in accordance with this, from Milne’s Preface to his translation of the Shing-yu, where in speaking of that work, he says that we may see from it “that the bare Light of Nature, as it is called, even when aided by all the light of Pagan philosophy, is totally incapable of leading men to the knowledge and worship of the true God.” All this confirms the fact that revelation is the sole foundation on which Theism rests; indeed, it must be so, unless revelation is to be superfluous. This is a good opportunity for observing that the word Atheism itself implies a surreptitious assumption, since it takes Theism for granted as a matter of course. It would be more honest to say Non-Judaism instead of Atheism, and Non-Jew instead of Atheist.

Now as, according to the above, the existence of God belongs to revelation, by which it is firmly established, it has no need whatever of human authentication. Philosophy, however, is properly speaking only an idle, superfluous attempt to let Reason — that is, the human power of thinking, reflecting, deliberating — once in a while, try its own powers unassisted, as a child is now and then allowed to run alone on a lawn and try its strength without leading-strings, just to see what will come of it. Tests and experiments of this kind we call *speculation*; and it lies in the nature of the matter that it should, for once, leave all authority, human or divine, out of consideration, ignore it, and go its own way in search of the most sublime, most important truths. Now, if on this basis it should arrive at the very same results as those mentioned above, to which Kant had come, speculation has no right on that account to cast all honesty and conscience forthwith aside, and take to by-ways, in order somehow or other to get back to the domain of Judaism, as its *conditio sine qua non*; it ought rather henceforth to seek truth quite honestly and simply by any road that may happen to lie open before it, but never to allow any other light than that of Reason to guide it: thus advancing calmly and confidently, like one at work in his vocation, without concern as to where that road may lead.

If our professors of philosophy put a different construction on the matter, and hold that they cannot eat their bread in honour, so long as they have not reinstalled God Almighty on his throne — as if, forsooth, he stood in need of *them* — this already accounts for their not relishing my writings, and explains why I am not the man for them; for I certainly do not deal in this sort of article, nor have I the newest reports to communicate about the Almighty every Leipzig fair-time, as they have.

## CHAPTER VI. ON THE THIRD CLASS OF OBJECTS FOR THE SUBJECT AND THAT FORM OF THE PRINCIPLE OF SUFFICIENT REASON WHICH PREDOMINATES IN IT.

### § 35. *Explanation of this Class of Objects.*

It is the formal part of complete representations — that is to say, the intuitions given us *à priori* of the forms of the outer and inner sense, *i.e.* of Space and of Time — which constitutes the Third Class of Objects for our representative faculty.

As pure intuitions, these forms are objects for the faculty of representation by themselves and apart from complete representations and from the determinations of being empty or filled which these representations first add to them; since even pure points and pure lines cannot be brought to sensuous perception, but are only *à priori* intuitions, just as the infinite expansion and the infinite divisibility of Space and of Time are exclusively objects of pure intuition and foreign to empirical perception. That which distinguishes the third class of representations, in which Space and Time are *pure intuitions*, from the first class, in which they are *sensuously* (and moreover conjointly) *perceived*, is Matter, which I have therefore defined, on the one hand, as the perceptibility of Space and Time, on the other, as objectified Causality.

The form of Causality, on the contrary, which belongs to the Understanding, is not separately and by itself an object for our faculty of representation, nor have we consciousness of it, until it is connected with what is material in our knowledge.

### § 36. *Principle of the Sufficient Reason of Being.*

Space and Time are so constituted, that all their parts stand in mutual relation, so that each of them conditions and is conditioned by another. We call this relation in Space, *position*; in Time, *succession*. These relations are peculiar ones, differing entirely from all other possible relations of our representations; neither the Understanding nor the Reason are therefore able to grasp them by means of mere conceptions, and pure intuition *à priori* alone makes them intelligible to us; for it is impossible by mere conceptions

to explain clearly what is meant by above and below, right and left, behind and before, before and after. Kant rightly confirms this by the assertion, that the distinction between our right and left glove cannot be made intelligible in any other way than by intuition. Now, the law by which the divisions of Space and of Time determine one another reciprocally with reference to these relations (position and succession) is what I call the *Principle of the Sufficient Reason of Being, principium rationis sufficientis essendi*. I have already given an example of this relation in § 15, by which I have shown, through the connection between the sides and angles of a triangle, that this relation is not only quite different from that between cause and effect, but also from that between reason of knowledge and consequent; wherefore here the condition may be called *Reason of Being, ratio essendi*. The insight into such a *reason of being* can, of course, become a reason of knowing: just as the insight into the law of causality and its application to a particular case is the reason of knowledge of the effect; but this in no way annuls the complete distinction between Reason of Being, Reason of Becoming, and Reason of Knowing. It often happens, that what according to *one* form of our principle is *consequence*, is, according to another, *reason*. The rising of the quicksilver in a thermometer, for instance, is the *consequence* of increased heat according to the law of causality, while according to the principle of the sufficient reason of knowing it is the *reason*, the ground of knowledge, of the increased heat and also of the judgment by which this is asserted.

### § 37. *Reason of Being in Space.*

The position of each division of Space towards any other, say of any given line — and this is equally applicable to planes, bodies, and points — determines also absolutely its totally different position with reference to any other possible line; so that the latter position stands to the former in the relation of the consequent to its reason. As the position of this given line towards any other possible line likewise determines its position towards all the others, and as therefore the position of the first two lines is itself determined by all the others, it is immaterial which we consider as being first determined and determining the others, *i.e.* which particular one we regard as *ratio* and which others as *rationata*. This is so, because in Space there is no succession; for it is precisely by uniting Space and Time to form

the collective representation of the complex of experience, that the representation of coexistence arises. Thus an analogue to so-called reciprocity prevails everywhere in the Reason of Being in Space, as we shall see in § 48, where I enter more fully into the reciprocity of reasons. Now, as every line is determined by all the others just as much as it determines them, it is arbitrary to consider any line merely as determining and not as being determined, and the position of each towards any other admits the question as to its position with reference to some other line, which second position necessarily determines the first and makes it that which it is. It is therefore just as impossible to find an end *a parte ante* in the series of links in the chain of Reasons of Being as in that of Reasons of Becoming, nor can we find any *a parte post* either, because of the infinity of Space and of the lines possible within Space. All possible relative spaces are figures, because they are limited; and all these figures have their Reason of Being in one another, because they are conterminous. The *series rationum essendi* in Space therefore, like the *series rationum fiendi*, proceeds *in infinitum*; and moreover not only in a single direction, like the latter, but in all directions.

Nothing of all this can be proved; for the truth of these principles is transcendental, they being directly founded upon the intuition of Space given us *à priori*.

### § 38. Reason of being in Time. Arithmetic.

Every instant in Time is conditioned by the preceding one. The Sufficient Reason of Being, as the law of consequence, is so simple here, because Time has only one dimension, therefore it admits of no multiplicity of relations. Each instant is conditioned by its predecessor; we can only reach it through that predecessor: only so far as this *was* and has elapsed, does the present one exist. All counting rests upon this nexus of the divisions of Time, numbers only serving to mark the single steps in the succession; upon it therefore rests all arithmetic likewise, which teaches absolutely nothing but methodical abbreviations of numeration. Each number pre-supposes its predecessors as the reasons of its being: we can only reach the number *ten* by passing through all the preceding numbers, and it is only in virtue of this insight that I know, that where ten are, there also are eight, six, four.

### § 39. Geometry.

The whole science of Geometry likewise rests upon the nexus of the position of the divisions of Space. It would, accordingly, be an insight into that nexus; only such an insight being, as we have already said, impossible by means of mere conceptions, or indeed in any other way than by intuition, every geometrical proposition would have to be brought back to sensuous intuition, and the proof would simply consist in making the particular nexus in question clear; nothing more could be done. Nevertheless we find Geometry treated quite differently. Euclid's Twelve Axioms are alone held to be based upon mere intuition, and even of these only the Ninth, Eleventh, and Twelfth are properly speaking admitted to be founded upon different, separate intuitions; while the rest are supposed to be founded upon the knowledge that in science we do not, as in experience, deal with real things existing for themselves side by side, and susceptible of endless variety, but on the contrary with conceptions, and in Mathematics with *normal intuitions*, i.e. figures and numbers, whose laws are binding for all experience, and which therefore combine the comprehensiveness of the conception with the complete definiteness of the single representation. For although, as intuitive representations, they are throughout determined with complete precision — no room being left in *this* way by anything remaining undetermined — still they are general, because they are the bare forms of all phenomena, and, as such, applicable to all real objects to which such forms belong. What Plato says of his Ideas would therefore, even in Geometry, hold good of these normal intuitions, just as well as of conceptions, i.e. that two cannot be exactly similar, for then they would be but one.<sup>148</sup> This would, I say, be applicable also to normal intuitions in Geometry, if it were not that, as exclusively spacial objects, these differ from one another in mere juxtaposition, that is, in place. Plato had long ago remarked this, as we are told by Aristotle:<sup>149</sup> ἔτι δὲ, παρὰ τὰ αἰσθητὰ καὶ τὰ εἶδη, τὰ μαθηματικὰ τῶν πραγμάτων εἶναί φησι μεταξύ, διαφέροντα τῶν μὲν αἰσθητῶν τῷ αἰδία καὶ ἀκίνητα εἶναι, τῶν δὲ εἰδῶν τῷ τὰ μὲν πόλλ' ἅττα ὅμοια εἶναι, τὸ δὲ εἶδος αὐτὸ ἔν ἑκάστον μόνον (*item, præter sensibilia et species, mathematica rerum ait media esse, a sensibilibus quidem differentia eo, quod perpetua et immobilia sunt, a speciebus vero eo, quod illorum quidem multa quædam similia sunt, species vero ipsa unaquæque sola*). Now the mere knowledge that such a difference of place does not annul the rest of the identity, might surely, it seems to me,



supersede the other nine axioms, and would, I think, be better suited to the nature of science, whose aim is knowledge of the particular through the general, than the statement of nine separate axioms all based upon the same insight. Moreover, what Aristotle says: ἐν τούτοις ἡ ἰσότης ἐνότης (*in illis æqualitas unitas est*)<sup>150</sup> then becomes applicable to geometrical figures.

But with reference to the normal intuitions in Time, *i.e.* to numbers, even this distinction of juxtaposition no longer exists. Here, as with conceptions, absolutely nothing but the *identitas indiscernibilium* remains: for there is but one five and one seven. And in this we may perhaps also find a reason why  $7 + 5 = 12$  is a synthetical proposition *à priori*, founded upon intuition, as Kant profoundly discovered, and not an identical one, as it is called by Herder in his “Metakritik”.  $12 = 12$  is an identical proposition.

In Geometry, it is therefore only in dealing with axioms that we appeal to intuition. All the other theorems are demonstrated: that is to say, a reason of knowing is given, the truth of which everyone is bound to acknowledge. The logical truth of the theorem is thus shown, but not its transcendental truth (v. §§ 30 and 32), which, as it lies in the reason of *being* and not in the reason of *knowing*, never can become evident excepting by means of intuition. This explains *why* this sort of geometrical demonstration, while it no doubt conveys the conviction that the theorem which has been demonstrated is true, nevertheless gives no insight as to why that which it asserts is what it is. In other words, we have not found its Reason of Being; but the desire to find it is usually then thoroughly roused. For proof by indicating the reason of knowledge only effects conviction (*convictio*), not knowledge (*cognitio*): therefore it might perhaps be more correctly called *elenchus* than *demonstratio*. This is why, in most cases, therefore, it leaves behind it that disagreeable feeling which is given by all want of insight, when perceived; and here, the want of knowledge *why* a thing is as it is, makes itself all the more keenly felt, because of the certainty just attained, *that* it is as it is. This impression is very much like the feeling we have, when something has been conjured into or out of our pocket, and we cannot conceive how. The reason of knowing which, in such demonstrations as these, is given without the reason of being, resembles certain physical theories, which present the phenomenon without being able to indicate its cause: for instance, Leidenfrost’s experiment, inasmuch as it succeeds also

in a platina crucible; whereas the reason of being of a geometrical proposition which is discovered by intuition, like every knowledge we acquire, produces satisfaction. When once the reason of being is found, we base our conviction of the truth of the theorem upon that reason alone, and no longer upon the reason of knowing given us by the demonstration. Let us, for instance, take the sixth proposition of the first Book of Euclid: —

“If two angles of a triangle are equal, the sides also which subtend, or are opposite to, the equal angles shall be equal to one another.” (See fig. 3.)

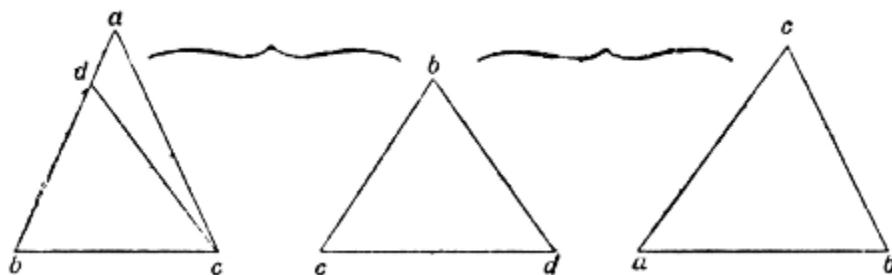


Fig. 3.

Which Euclid demonstrates as follows: —

“Let  $abc$  be a triangle having the angle  $abc$  equal to the angle  $acb$ , then the side  $ac$  must be equal to the side  $ab$  also.

“For, if side  $ab$  be not equal to side  $ac$ , one of them is greater than the other. Let  $ab$  be greater than  $ac$ ; and from  $ba$  cut off  $bd$  equal to  $ca$ , and draw  $dc$ . Then, in the triangles  $dbc$ ,  $abc$ , because  $db$  is equal to  $ac$ , and  $bc$  is common to both triangles, the two sides  $db$  and  $bc$  are equal to the two sides  $ac$ ,  $ab$ , each to each; and the angle  $dbc$  is equal to the angle  $acb$ , therefore the base  $dc$  is equal to the base  $ab$ , and the triangle  $dbc$  is equal to the triangle  $abc$ , the less triangle equal to the greater, — which is absurd. Therefore  $ab$  is not unequal to  $ac$ , that is,  $ab$  is equal to  $ac$ .”

Now, in this demonstration we have a reason of knowing for the truth of the proposition. But who bases his conviction of that geometrical truth upon this proof? Do we not rather base our conviction upon the reason of being, which we know intuitively, and according to which (by a necessity which admits of no further demonstration, but only of evidence through intuition) two lines drawn from both extreme ends of another line, and inclining equally towards each other, can only meet at a point which is equally distant from both extremities; since the two arising angles are properly but one, to which the oppositeness of position gives the appearance of being two;

wherefore there is no reason why the lines should meet at any point nearer to the one end than to the other.

It is the knowledge of the reason of being which shows us the necessary consequence of the conditioned from its condition — in this instance, the lateral equality from the angular equality — that is, it shows their connection; whereas the reason of knowing only shows their coexistence. Nay, we might even maintain that the usual method of proving merely convinces us of their coexistence in the actual figure given us as an example, but by no means that they are always coexistent; for, as the necessary connection is not shown, the conviction we acquire of this truth rests simply upon induction, and is based upon the fact, that we find it is so in every figure we make. The reason of being is certainly not as evident in all cases as it is in simple theorems like this 6th one of Euclid; still I am persuaded that it might be brought to evidence in every theorem, however complicated, and that the proposition can always be reduced to some such simple intuition. Besides, we are all just as conscious *à priori* of the necessity of such a reason of being for each relation of Space, as we are of the necessity of a cause for each change. In complicated theorems it will, of course, be very difficult to show that reason of being; and this is not the place for difficult geometrical researches. Therefore, to make my meaning somewhat clearer, I will now try to bring back to its reason of being a moderately complicated proposition, in which nevertheless that reason is not immediately evident. Passing over the intermediate theorems, I take the 16th:

“In every triangle in which one side has been produced, the exterior angle is greater than either of the interior opposite angles.”

This Euclid demonstrates in the following manner (see fig. 4): —

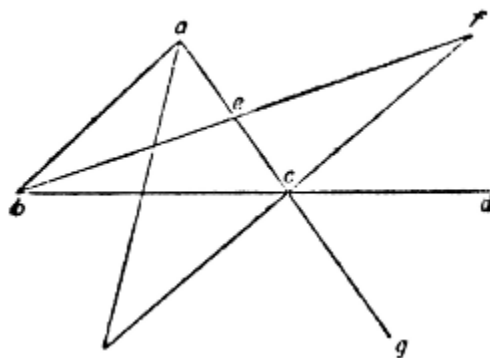


Fig. 4.

“Let  $abc$  be a triangle; and let the side  $bc$  be produced to  $d$ ; then the exterior angle  $acd$  shall be greater than either of the interior opposite angles  $bac$  or  $cba$ . Bisect the side  $ac$  at  $e$ , and join  $be$ ; produce  $be$  to  $f$ , making  $ef$  equal to  $eb$ , and join  $fc$ . Produce  $ac$  to  $g$ . Because  $ae$  is equal to  $ec$ , and  $be$  to  $ef$ ; the two sides  $ae, eb$ , are equal to the two sides  $ce, ef$ , each to each; and the angle  $aeb$  is equal to the angle  $cef$ , because they are opposite vertical angles; therefore the base  $ab$  is equal to the base  $cf$ , and the triangle  $aeb$  is equal to the triangle  $cef$ , and the remaining angles of one triangle to the remaining angles of the other, each to each, to which the equal sides are opposite; therefore the angle  $bae$  is equal to the angle  $ecf$ . But the angle  $ecd$  is greater than the angle  $ecf$ . Therefore the angle  $acd$  is greater than the angle  $abc$ .”

“In the same manner, if the side  $bc$  be bisected, and the side  $ac$  be produced to  $g$ , it may be demonstrated that the angle  $bcg$ , that is, the opposite vertical angle  $acd$  is greater than the angle  $abc$ .”

My demonstration of the same proposition would be as follows (see fig. 5): —

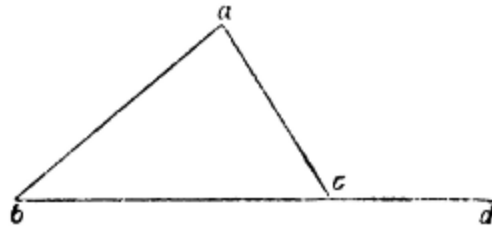


Fig. 5.

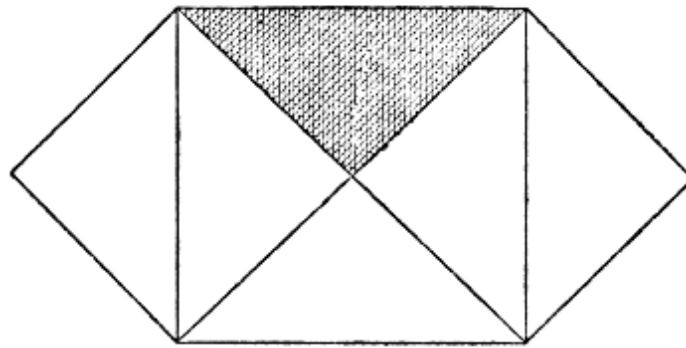
For the angle  $bac$  to be even equal to, let alone greater than, the angle  $acd$ , the line  $ba$  toward  $ca$  would have to lie in the same direction as  $bd$  (for this is precisely what is meant by equality of the angles), *i.e.*, it must be parallel with  $bd$ ; that is to say,  $ba$  and  $bd$  must never meet; but in order to form a triangle they must meet (reason of being), and must thus do the contrary of that which would be required for the angle  $bac$  to be of the same size as the angle  $acd$ .

For the angle  $abc$  to be even equal to, let alone greater than, the angle  $acd$ , line  $ba$  must lie in the same direction towards  $bd$  as  $ac$  (for this is what is meant by equality of the angles), *i.e.*, it must be parallel with  $ac$ , that is to say,  $ba$  and  $ac$  must never meet; but in order to form a triangle  $b$

$a$  and  $a c$  must meet and must thus do the contrary of that which would be required for the angle  $a b c$  to be of the same size as  $a c d$ .

By all this I do not mean to suggest the introduction of a new method of mathematical demonstration, nor the substitution of my own proof for that of Euclid, for which its whole nature unfits it, as well as the fact that it presupposes the conception of parallel lines, which in Euclid comes much later. I merely wished to show what the reason of being is, and wherein lies the difference between it and the reason of knowing, which latter only effects *convictio*, a thing that differs entirely from insight into the reason of being. The fact that Geometry only aims at effecting *convictio*, and that this, as I have said, leaves behind it a disagreeable impression, but gives no insight into the reason of being — which insight, like all knowledge, is satisfactory and pleasing — may perhaps be one of the reasons for the great dislike which many otherwise eminent heads have for mathematics.

I cannot resist again giving fig. 6, although it has already been presented elsewhere; because the mere sight of it without words conveys ten times more persuasion of the truth of the Pythagorean theorem than Euclid's mouse-trap demonstration.



*Fig. 6.*

Those readers for whom this chapter may have a special interest will find the subject of it more fully treated in my chief work, “Die Welt als Wille und Vorstellung,” vol. i. § 15; vol. ii. chap. 13.

## CHAPTER VII. ON THE FOURTH CLASS OF OBJECTS FOR THE SUBJECT, AND THE FORM OF THE PRINCIPLE OF SUFFICIENT REASON WHICH PREDOMINATES IN IT.

### § 40. *General Explanation.*

The last Class of Objects for our representative faculty which remains to be examined is a peculiar but highly important one. It comprises but *one* object for each individual: that is, the immediate object of the inner sense, the *Subject in volition*, which is Object for the Knowing Subject; wherefore it manifests itself in Time alone, never in Space, and as we shall see, even in Time under an important restriction.

### § 41. *Subject of Knowledge and Object.*

All knowledge presupposes Subject and Object. Even self-consciousness (*Selbstbewusstsein*) therefore is not absolutely simple, but, like our consciousness of all other things (*i.e.*, the faculty of perception), it is subdivided into that which is known and that which knows. Now, that which is known manifests itself absolutely and exclusively as *Will*.

The Subject accordingly knows itself exclusively as *willing*, but not as *knowing*. For the *ego* which represents, never can itself become representation or Object, since it conditions all representations as their necessary correlate; rather may the following beautiful passage from the Sacred Upanishad be applied to it: *Id videndum non est: omnia videt; et id audiendum non est: omnia audit; sciendum non est: omnia scit: et intelligendum, non est: omnia intelligit. Præter id, videns, et sciens, et audiens, et intelligens ens aliud non est.*<sup>151</sup>

There can therefore be no *knowledge of knowing*, because this would imply separation of the Subject from knowing, while it nevertheless knew that knowing — which is impossible.

My answer to the objection, “I not only know, but know also that I know,” would be, “Your knowing that you know only differs in words from your knowing. ‘I know that I know’ means nothing more than ‘I know,’ and this again, unless it is further determined, means nothing more than ‘*ego*.’ If your knowing and your knowing that you know are two different things,

just try to separate them, and first to know without knowing that you know, then to know that you know without this knowledge being at the same time knowing.” No doubt, by leaving all *special* knowing out of the question, we may at last arrive at the proposition “*I know*” — the last abstraction we are able to make; but this proposition is identical with “*Objects exist for me*,” and this again is identical with “*I am Subject*,” in which nothing more is contained than in the bare word “*I*.”

Now, it may still be asked how the various cognitive faculties belonging to the Subject, such as Sensibility, Understanding, Reason, are known to us, if we do not know the Subject. It is not through our knowing having become an Object for us that these faculties are known to us, for then there would not be so many conflicting judgments concerning them; they are inferred rather, or more correctly, they are general expressions for the established classes of representations which, at all times, have been more or less clearly distinguished in those cognitive faculties. But, with reference to the necessary correlate of these representations as their condition, *i.e.*, the Subject, these faculties are abstracted from them (the representations), and stand consequently towards the classes of representations in precisely the same relation as the Subject in general towards the Object in general. Now, just as the Object is at once posited with the Subject (for the word itself would otherwise have no meaning), and conversely, as the Subject is at once posited with the Object — so that being the Subject means exactly as much as having an Object, and being an Object means the same thing as being known by the Subject — so likewise, when an Object is assumed as being determined *in any particular way*, do we also assume that the Subject *knows precisely in that particular way*. So far therefore it is immaterial whether we say that Objects have such and such peculiar inherent determinations, or that the Subject knows in such and such ways. It is indifferent whether we say that Objects are divided into such and such classes, or that such and such different cognitive faculties are peculiar to the Subject. In that singular compound of depth and superficiality, Aristotle, are to be found traces even of insight into this truth, and indeed the critical philosophy lies in embryo in his works. He says:<sup>152</sup> ἡ ψυχὴ τὰ ὄντα πῶς ἐστὶ πάντα (anima quomodo est universa, quæ sunt). And again: ὁ νοῦς ἐστὶ εἶδος εἰδῶν, *i.e.*, the understanding is the form of forms, καὶ ἡ αἴσθησις εἶδος αἰσθητῶν, and sensibility the form of sensuous objects. Accordingly,



it is all one whether we say, “sensibility and understanding are no more;” or, “the world is at an end.” It comes to the same thing whether we say, “There are no conceptions,” or “Reason is gone and animals alone remain.”

The dispute between Realism and Idealism, which appeared for the last time in the dispute between the Dogmatists and Kantians, or between Ontology and Metaphysics on the one hand and Transcendental Æsthetic and Transcendental Logic on the other, arose out of the misapprehension of this relation and was based upon its misapprehension with reference to the First and Third Classes of representations as established by me, just as the mediæval dispute between Realists and Nominalists rested upon the misapprehension of this relation with reference to the Second Class.

#### § 42. *The Subject of Volition.*

According to what has preceded, the Subject of knowledge can never be known; it can never become Object or representation. Nevertheless, as we have not only an outer self-knowledge (in sensuous perception), but an inner one also; and as, on the other hand, every knowledge, by its very nature, presupposes a knower and a known, what is known within us as such, is not the knower, but the willer, the Subject of Volition: the Will. Starting from knowledge, we may assert that “I know” is an analytical, “I will,” on the contrary, a synthetical, and moreover an *à posteriori* proposition, that is, it is given by experience — in this case by inner experience (*i.e.*, in Time alone). In so far therefore the Subject of volition would be an Object for us. Introspection always shows us to ourselves as *willing*. In this *willing*, however, there are numerous degrees, from the faintest wish to passion, and I have often shown<sup>153</sup> that not only all our emotions, but even all those movements of our inner man, which are subsumed under the wide conception of feeling, are states of the will.

Now, the identity of the willing with the knowing Subject, in virtue of which the word “I” includes and designates both, is the *nodus*<sup>154</sup> of the Universe, and therefore inexplicable. For we can only comprehend relations between Objects; but two Objects never can be one, excepting as parts of a whole. Here, where the Subject is in question, the rules by which we know Objects are no longer applicable, and actual identity of the knower with what is known as willing — that is, of Subject and Object — is *immediately given*. Now, whoever has clearly realized the utter impossibility of

explaining this identity, will surely concur with me in calling it the miracle κατ' ἑξοχήν.

Just as the Understanding is the subjective correlate to our First Class of representations, the Reason to the Second, and pure Sensibility to the Third, so do we find that the correlate to this Fourth Class is the inner sense, or Self-consciousness in general.

#### § 43. *Willing. The Law of Motives (Motivation).*

It is just because the willing Subject is immediately given in self-consciousness, that we are unable further to define or to describe what willing is; properly speaking, it is the most direct knowledge we have, nay, one whose immediateness must finally throw light upon every other knowledge, as being very mediate.

At every resolution that we take ourselves, or that we see others take, we deem ourselves justified in asking, why? That is, we assume that something must have previously occurred, from which this resolution has resulted, and we call this something its reason, or, more correctly, the motive of the action which now follows. Without such a reason or motive, the action is just as inconceivable for us, as the movement of a lifeless body without being pushed or pulled. Motives therefore belong to causes, and have also been already numbered and characterized among them in § 20, as the third form of Causality. But all Causality is only the form of the Principle of Sufficient Reason in the First Class of Objects: that is, in the corporeal world given us in external perception. There it forms the link which connects changes one with another, the cause being that which, coming from outside, conditions each occurrence. The inner nature of such occurrences on the contrary continues to be a mystery for us: for we always remain on the outside. We certainly see this cause necessarily produce that effect; but we do not learn how it is actually enabled to do so, or what is going on inside. Thus we see mechanical, physical, chemical effects, as well as those brought about by *stimuli*, in each instance follow from their respective causes without on that account ever completely understanding the process, the essential part of which remains a mystery for us; so we attribute it to qualities of bodies, to forces of Nature, or to vital energy, which, however, are all *qualitates occultæ*. Nor should we be at all better off as to comprehension of the movements and actions of animals and of

human beings, which would also appear to us as induced in some unaccountable way by their causes (motives), were it not that here we are granted an insight into the inward part of the process; we know, that is, by our own inward experience, that this is an act of the will called forth by the motive, which consists in a mere representation. Thus the effect produced by the motive, unlike that produced by all other causes, is not only known by us from outside, in a merely indirect way, but at the same time from inside, quite directly, and therefore according to its whole mode of action. Here we stand as it were behind the scenes, and learn the secret of the process by which cause produces effect in its most inward nature; for here our knowledge comes to us through a totally different channel and in a totally different way. From this results the important proposition: *The action of motives (motivation) is causality seen from within*. Here accordingly causality presents itself in quite a different way, in quite a different medium, and for quite another kind of knowledge; therefore it must now be exhibited as a special and peculiar form of our principle, which consequently here presents itself as the Principle of the Sufficient Reason of Acting, *principium rationis sufficientis agendi*, or, more briefly, as the *Law of Motives (Law of Motivation)*.

As a clue to my philosophy in general, I here add, that this Fourth Class of Objects for the Subject, that is, the one object contained in it, the *will* which we apprehend within us, stands in the same relation towards the First Class as the law of motives towards the law of causality, as I have established it in § 20. This truth is the corner-stone of my whole Metaphysic.

As to the way in which, and the necessity with which, motives act, and as to the dependence of their action upon empirical, individual character, and even upon individual capacity for knowledge, &c. &c., I refer my readers to my Prize-essay on the Freedom of the Will, in which I have treated all this more fully.

#### § 44. *Influence of the Will over the Intellect.*

It is not upon causality proper, but upon the identity of the knowing with the willing Subject, as shown in § 42, that the influence is based, which the will exercises over the intellect, when it obliges it to repeat representations that have once been present to it, and in general to turn its attention in this

or that direction and evoke at pleasure any particular series of thoughts. And even in this, the will is determined by the law of motives, in accordance with which it also secretly rules what is called the association of ideas, to which I have devoted a separate chapter (the 14th) in the second volume of my chief work. This association of ideas is itself nothing but the application of the Principle of Sufficient Reason in its four forms to the subjective train of thought; that is, to the presence of representations in our consciousness. But it is the will of the individual that sets the whole mechanism in motion, by urging the intellect, in accordance with the interest, *i.e.*, the individual aims, of the person, to recall, together with its present representations, those which either logically or analogically, or by proximity in Time or Space, are nearly related to them. The will's activity in this, however, is so immediate, that in most cases we have no clear consciousness of it; and so rapid, that we are at times even unconscious of the occasion which has thus called forth a representation. In such cases, it appears as if something had come into our consciousness quite independently of all connection with anything else; that this, however, is impossible, is precisely the Root of the Principle of Sufficient Reason, which has been fully explained in the above-mentioned chapter of my chief work.<sup>155</sup> Every picture which suddenly presents itself to our imagination, every judgment even that does not follow its previously present reason, must be called forth by an act of volition having a motive; although that motive may often escape our perception owing to its insignificance, and although such acts of volition are often in like manner unperceived, because they take place so easily, that wish and fulfilment are simultaneous.

#### § 45. *Memory.*

That peculiar faculty of the knowing Subject which enables it to obey the will the more readily in repeating representations, the oftener they have already been present to it — in other words, its capacity for being exercised — is what we call *Memory*. I cannot agree with the customary view, by which it is looked upon as a sort of store-house in which we keep a stock of ready-made representations always at our disposal, only without being always conscious of their possession. The voluntary repetition of representations which have once been present becomes so easy through practice, that one link in a series of representations no sooner becomes

present to us, than we at once evoke all the rest, often even, as it were, involuntarily. If we were to look for a metaphor for this characteristic quality of our representative faculty (such as that of Plato, who compared it with a soft mass that receives and retains impressions), I think the best would be that of a piece of drapery, which, after having been repeatedly folded in the same folds, at last falls into them, as it were, of its own accord. The body learns by practice to obey the will, and the faculty of representing does precisely the same. A remembrance is not by any means, as the usual view supposes, always the same representation which is, as it were, fetched over and over again from its store-house; a new one, on the contrary, arises each time, only practice makes this especially easy. Thus it comes to pass that pictures of our imagination, which we fancy we have stowed away in our memory, become imperceptibly modified: a thing which we realize when we see some familiar object again after a long time, and find that it no longer completely corresponds to the image we bring with us. This could not be if we retained ready-made representations. It is just for this reason too, that acquired knowledge, if left unexercised, gradually fades from our memory, precisely because it was the result of practice coming from habit and knack; thus most scholars, for instance, forget their Greek, and most artists their Italian on their return from Italy. This is also why we find so much difficulty in recalling to mind a name or a line of poetry formerly familiar to us, when we have ceased to think of it for several years; whereas when once we succeed in remembering it, we have it again at our disposal for some time, because the practice has been renewed. Everyone therefore who knows several languages, will do well to make a point of reading occasionally in each, that he may ensure to himself their possession.

This likewise explains why the surroundings and events of our childhood impress themselves so deeply on our memory; it is because, in childhood we have but few, and those chiefly intuitive, representations: so that we are induced to repeat them constantly for the sake of occupation. People who have little capability for original thought do this all their lives (and moreover not only with intuitive representations, but with conceptions and words also); sometimes therefore they have remarkably good memories, when obtuseness and sluggishness of intellect do not act as impediments. Men of genius, on the contrary, are not always endowed with the best of memories, as, for instance, Rousseau has told us of himself. Perhaps this may be accounted for by their great abundance of new thoughts and

combinations, which leaves them no time for frequent repetition. Still, on the whole, genius is seldom found with a very bad memory; because here a greater energy and mobility of the whole thinking faculty makes up for the want of constant practice. Nor must we forget that Mnemosyne was the mother of the Muses. We may accordingly say, that our memory stands under two contending influences, that of the energy of the representative faculty on the one hand, and that of the quantity of representations occupying that faculty on the other. The less energy there is in the faculty, the fewer must be the representations, and conversely. This explains the impaired memory of habitual novel-readers, for it is with them as with men of genius: the multitude of representations following rapidly upon each other, leaves no time or patience for repetition and practice; only, in novels, these representations are not the readers' own, but other people's thoughts and combinations quickly succeeding each other, and the readers themselves are wanting in that which, in genius, counterbalances repetition. The whole thing besides is subject to the corrective, that we all have most memory for that which interests us, and least for that which does not. Great minds therefore are apt to forget in an incredibly short time the petty affairs and trifling occurrences of daily life and the commonplace people with whom they come in contact, whereas they have a wonderful recollection of those things which have importance in themselves and for them.

It is, however, on the whole, easy to understand that we should more readily remember such series of representations as are connected together by the thread of one or more of the above-mentioned species of reasons and consequences, than such as have no connection with one another, but only with our will according to the law of motives; that is to say, those which are arbitrarily grouped. For, in the former, the fact that we know the formal part *à priori*, saves us half the trouble; and this probably gave rise to Plato's doctrine, that all learning is mere remembering.

As far as possible we ought to try and reduce all that we wish to incorporate in our memory to a perceptible image, either directly, or as an example, a mere simile, or an analogue, or indeed in any other way; because intuitive perceptions take a far firmer hold than any abstract thoughts, let alone mere words. This is why we remember things we have ourselves experienced so much better than those of which we read.

## CHAPTER VIII. GENERAL OBSERVATIONS AND RESULTS.

### § 46. *The Systematic Order.*

The order of succession in which I have stated the various forms of the Principle of Sufficient Reason in this treatise, is not systematic; it has been chosen for the sake of greater clearness, in order first to present what is better known and least presupposes the rest. In this I have followed Aristotle's rule: καὶ μαθήσεως οὐκ ἀπὸ τοῦ πρώτου, καὶ τῆς τοῦ πράγματος ἀρχῆς ἐνίοτε ἀρκτέον, ἀλλ' ὅθεν ῥᾶστ' ἂν μάθοι (*et doctrina non a primo, ac rei principio aliquando inchoanda est, sed unde quis facilius discat*).<sup>156</sup> But the systematic order in which the different classes of reasons ought to follow one another is the following. First of all should come The Principle of Sufficient Reason of Being; and in this again first its application to Time, as being the simple schema containing only what is essential in all the other forms of the Principle of Sufficient Reason, nay, as being the prototype of all finitude. The Reason of Being in Space having next been stated, the Law of Causality would then follow; after which would come the Law of Motives, and last of all the Principle of Sufficient Reason of Knowing; for the other classes of reasons refer to immediate representations, whereas this last class refers to representations derived from other representations.

The truth expressed above, that Time is the simple schema which merely contains the essential part of all the forms of the Principle of Sufficient Reason, explains the absolutely perfect clearness and precision of Arithmetic, a point in which no other science can compete with it. For all sciences, being throughout combinations of reasons and consequences, are based upon the Principle of Sufficient Reason. Now, the series of numbers is the simple and only series of reasons and consequences of Being in Time; on account of this perfect simplicity — nothing being omitted, no indefinite relations left — this series leaves nothing to be desired as regards accuracy, apodeictic certainty and clearness. All the other sciences yield precedence in this respect to Arithmetic; even Geometry: because so many relations arise out of the three dimensions of Space, that a comprehensive synopsis of them becomes too difficult, not only for pure, but even for empirical intuition; complicated geometrical problems are therefore only solved by



calculation; that is, Geometry is quick to resolve itself into Arithmetic. It is not necessary to point out the existence of sundry elements of obscurity in the other sciences.

§ 47. *Relation in Time between Reason and Consequence.*

According to the laws of causality and of motivation, a reason must precede its consequence in Time. That this is absolutely essential, I have shown in my chief work, to which I here refer my readers<sup>157</sup> in order to avoid repeating myself. Therefore, if we only bear in mind that it is not one thing which is the cause of another thing, but one state which is the cause of another state, we shall not allow ourselves to be misled by examples like that given by Kant,<sup>158</sup> that the stove, which is the cause of the warmth of the room, is simultaneous with its effect. The state of the stove: that is, its being warmer than its surrounding medium, must precede the communication of its surplus caloric to that medium; now, as each layer of air on becoming warm makes way for a cooler layer rushing in, the first state, the cause, and consequently also the second, the effect, are renewed until at last the temperature of stove and room become equalized. Here therefore we have no permanent cause (the stove) and permanent effect (the warmth of the room) as simultaneous things, but a chain of changes; that is, a constant renewing of two states, one of which is the effect of the other. From this example, however, it is obvious that even Kant's conception of Causality was far from clear.

On the other hand, the Principle of Sufficient Reason of Knowing conveys with it no relation in Time, but merely a relation for our Reason: here therefore, *before* and *after* have no meaning.

In the Principle of Sufficient Reason of Being, so far as it is valid in Geometry, there is likewise no relation in Time, but only a relation in Space, of which we might say that all things were co-existent, if here the words co-existence and succession had any meaning. In Arithmetic, on the contrary, the Reason of Being is nothing else but precisely the relation of Time itself.

§ 48. *Reciprocity of Reasons.*

Hypothetical judgments may be founded upon the Principle of Sufficient Reason in each of its significations, as indeed every hypothetical judgment

is ultimately based upon that principle, and here the laws of hypothetical conclusions always hold good: that is to say, it is right to infer the existence of the consequence from the existence of the reason, and the non-existence of the reason from the non-existence of the consequence; but it is wrong to infer the non-existence of the consequence from the non-existence of the reason, and the existence of the reason from the existence of the consequence. Now it is singular that in Geometry we are nevertheless nearly always able to infer the existence of the reason from the existence of the consequence, and the non-existence of the consequence from the non-existence of the reason. This proceeds, as I have shown in § 37, from the fact that, as each line determines the position of the rest, it is quite indifferent which we begin at: that is, which we consider as the reason, and which as the consequence. We may easily convince ourselves of this by going through the whole of the geometrical theorems. It is only where we have to do not only with figures, *i.e.*, with the positions of lines, but with planes independently of figures, that we find it in most cases impossible to infer the existence of the reason from the existence of the consequence, or, in other words, to convert the propositions by making the condition the conditioned. The following theorem gives an instance of this: Triangles whose lengths and bases are equal, include equal areas. This cannot be converted as follows: Triangles whose areas are equal, have likewise equal bases and lengths; for the lengths may stand in inverse proportion to the bases.

In § 20 it has already been shown, that the law of causality does not admit of reciprocity, since the effect never can be the cause of its cause; therefore the conception of reciprocity is, in its right sense, inadmissible. Reciprocity, according to the Principle of Sufficient Reason of knowing, would only be possible between equivalent conceptions, since the spheres of these alone cover each other mutually. Apart from these, it only gives rise to a vicious circle.

#### § 49. *Necessity.*

The Principle of Sufficient Reason in all its forms is the sole principle and the sole support of all necessity. For *necessity* has no other true and distinct meaning than that of the infallibility of the consequence when the reason is posited. Accordingly every necessity is *conditioned*: absolute, *i.e.*,

unconditioned, necessity therefore is a *contradicto in adjecto*. For *to be necessary* can never mean anything but to result from a given reason. By defining it as “what cannot not be,” on the other hand, we give a mere verbal definition, and screen ourselves behind an extremely abstract conception to avoid giving a definition of the thing. But it is not difficult to drive us from this refuge by inquiring how the non-existence of anything can be possible or even conceivable, since all existence is only given empirically. It then comes out, that it is only possible so far as some *reason* or other is posited or present, from which it follows. To be necessary and to follow from a given reason, are thus convertible conceptions, and may always, as such, be substituted one for the other. The conception of an “ABSOLUTELY *necessary Being*” which finds so much favour with pseudo-philosophers, contains therefore a contradiction: it annuls by the predicate “*absolute*” (i.e., “unconditioned by anything else”) the only determination which makes the “*necessary*” conceivable. Here again we have an instance of the *improper use of abstract conceptions* to play off a metaphysical artifice such as those I have already pointed out in the conceptions “*immaterial substance*,” “*cause in general*,” “*absolute reason*,” &c. &c.<sup>159</sup> I can never insist too much upon all abstract conceptions being checked by *perception*.

There exists accordingly a *fourfold* necessity, in conformity with the *four* forms of the Principle of Sufficient Reason: —

1°. *Logical necessity*, according to the principle of sufficient reason of knowing, in virtue of which, when once we have admitted the premisses, we must absolutely admit the conclusion.

2°. *Physical necessity*, according to the law of causality, in virtue of which, as soon as the cause presents itself, the effect must infallibly follow.

3°. *Mathematical necessity*, according to the principle of sufficient reason of being, in virtue of which, every relation which is stated in a true geometrical theorem, is as that theorem affirms it to be, and every correct calculation remains irrefutable.

4°. *Moral necessity*, in virtue of which, every human being, every animal even, is *compelled*, as soon as a motive presents itself, to do that which alone is in accordance with the inborn and immutable character of the individual. This action now follows its cause therefore as infallibly as every other effect, though it is less easy here to predict what that effect will be

than in other cases, because of the difficulty we have in fathoming and completely knowing the individual empirical character and its allotted sphere of knowledge, which is indeed a very different thing from ascertaining the chemical properties of a neutral salt and predicting its reaction. I must repeat this again and again on account of the dunces and blockheads who, in defiance of the unanimous authority of so many great thinkers, still persist in audaciously maintaining the contrary, for the benefit of their old woman's philosophy. I am not a professor of philosophy, forsooth, that I need bow to the folly of others.

§ 50. *Series of Reasons and Consequences.*

According to the law of causality, the condition is itself always conditioned, and, moreover, conditioned in the same way; therefore, there arises a series *in infinitum a parte ante*. It is just the same with the Reason of Being in Space: each relative space is a figure; it has its limits, by which it is connected with another relative space, and which themselves condition the figure of this other, and so on throughout all dimensions *in infinitum*. But when we examine a single figure in itself, the series of reasons of being has an end, because we start from a given relation, just as the series of causes comes to an end if we stop at pleasure at any particular cause. In Time, the series of reasons of being has infinite extension both *a parte ante*, and *a parte post*, since each moment is conditioned by a preceding one, and necessarily gives rise to the following. Time has therefore neither beginning nor end. On the other hand, the series of reasons of knowledge — that is, a series of judgments, each of which gives logical truth to the other — always ends somewhere, *i.e.*, either in an empirical, a transcendental, or a metalogical truth. If the reason of the major to which we have been led is an empirical truth, and we still continue asking *why*, it is no longer a reason of knowledge that is asked for, but a cause — in other words, the series of reasons of knowing passes over into the series of reasons of becoming. But if we do the contrary, that is, if we allow the series of reasons of becoming to pass over into the series of reasons of knowing, in order to bring it to an end, this is never brought about by the nature of the thing, but always by a special purpose: it is therefore a trick, and this is the sophism known by the name of the Ontological Proof. For when a cause, at which it seems desirable to stop short in order to make it the *first* cause, has been reached

by means of the Cosmological Proof, we find out that the law of causality is not so easily brought to a standstill, and still persists in asking *why*: so it is simply set aside and the principle of sufficient reason of knowing, which from a distance resembles it, is substituted in its stead; and thus a reason of knowledge is given in the place of the cause which had been asked for — a reason of knowledge derived from the conception itself which has to be demonstrated, the reality of which is therefore still problematical: and this reason, as after all it is one, now has to figure as a cause. Of course the conception itself has been previously arranged for this purpose, and reality slightly covered with a few husks just for decency's sake has been placed within it, so as to give the delightful surprise of finding it there — as has been shown in Section 7. On the other hand, if a chain of judgments ultimately rests upon a principle of transcendental or of metalogical truth, and we still continue to ask *why*, we receive no answer at all, because the question has no meaning, *i.e.*, it does not know what kind of reason it is asking for.

For the Principle of Sufficient Reason is the *principle of all explanation: to explain a thing* means, to reduce its given existence or connection to some form or other of the Principle of Sufficient Reason, in accordance with which form that existence or connection necessarily is that which it is. The Principle of Sufficient Reason itself, *i.e.*, the connection expressed by it in any of its forms, cannot therefore be further explained; because there exists no principle by which to explain the source of all explanation: just as the eye is unable to see itself, though it sees everything else. There are of course series of motives, since the resolve to attain an end becomes the motive for the resolve to use a whole series of means; still this series invariably ends *à parte priori* in a representation belonging to one of our two first classes, in which lies the motive which originally had the power to set this individual will in motion. The fact that it was able to do this, is a datum for knowing the empirical character here given, but it is impossible to answer the question why that particular motive acts upon that particular character; because the intelligible character lies outside Time and never becomes an Object. Therefore the series of motives, as such, finds its termination in some such final motive and, according to the nature of its last link, passes into the series of causes, or that of reasons of knowledge: that is to say, into the former, when that last link is a real object; into the latter, when it is a mere conception.

§ 51. *Each Science has for its Guiding Thread one of the Forms of the Principle of Sufficient Reason in preference to the others.*

As the question *why* always demands a sufficient reason, and as it is the connection of its notions according to the principle of sufficient reason which distinguishes science from a mere aggregate of notions, we have called that *why* the parent of all science (§ 4). In each science, moreover, we find one of the forms of that principle predominating over the others as its guiding-thread. Thus in pure Mathematics the reason of being is the chief guiding-thread (although the exposition of the proofs proceeds according to the reason of knowing only); in applied Mathematics the law of causality appears together with it, but in Physics, Chemistry, Geology, &c., that law entirely predominates. The principle of sufficient reason in knowing finds vigorous application throughout all the sciences, for in all of them the particular is known through the general; but in Botany, Zoology, Mineralogy, and other classifying sciences, it is the chief guide and predominates absolutely. The law of motives (*motivation*) is the chief guide in History, Politics, Pragmatic Psychology, &c. &c., when we consider all motives and maxims, whatever they may be, as data for explaining actions — but when we make those motives and maxims the object-matter of investigation from the point of view of their value and origin, the law of motives becomes the guide to Ethics. In my chief work will be found the highest classification of the sciences according to this principle.<sup>160</sup>

§ 52. *Two principal Results.*

I have endeavoured in this treatise to show that the Principle of Sufficient Reason is a common expression for four completely different relations, each of which is founded upon a particular law given *à priori* (the principle of sufficient reason being a synthetical *à priori* principle). Now, according to the principle of *homogeneity*, we are compelled to assume that these four laws, discovered according to the principle of specification, as they agree in being expressed by one and the same term, must necessarily spring from one and the same original quality of our whole cognitive faculty as their common root, which we should accordingly have to look upon as the innermost germ of all dependence, relativity, instability and limitation of the objects of our consciousness — itself limited to Sensibility,

Understanding, Reason, Subject and Object — or of that world, which the divine Plato repeatedly degrades to the ἀεὶ γιγνόμενον μὲν καὶ ἀπολλύμενον, ὄντως δὲ οὐδέποτε ὄν (ever arising and perishing, but in fact never existing), the knowledge of which is merely a δόξα μετ’ αἰσθήσεως ἀλόγου, and which Christendom, with a correct instinct, calls *temporal*, after that form of our principle (Time) which I have defined as its simplest schema and the prototype of all limitation. The general meaning of the Principle of Sufficient Reason may, in the main, be brought back to this: that every thing existing no matter when or where, exists *by reason of something else*. Now, the Principle of Sufficient Reason is nevertheless *à priori* in all its forms: that is, it has its root in our intellect, therefore it must not be applied to the totality of existent things, the Universe, including that intellect in which it presents itself. For a world like this, which presents itself in virtue of *à priori* forms, is just on that account mere phenomenon; consequently that which holds good with reference to it as the result of these forms, cannot be applied to the world itself, *i.e.* to the thing in itself, representing itself in that world. Therefore we cannot say, “the world and all things in it exist by reason of something else;” and this proposition is precisely the Cosmological Proof.

If, by the present treatise, I have succeeded in deducing the result just expressed, it seems to me that every speculative philosopher who founds a conclusion upon the Principle of Sufficient Reason or indeed talks of a reason at all, is bound to specify which kind of reason he means. One might suppose that wherever there was any question of a reason, this would be done as a matter of course, and that all confusion would thus be impossible. Only too often, however, do we still find either the terms reason and cause confounded in indiscriminate use; or do we hear basis and what is based, condition and what is conditioned, *principia* and *principiata* talked about in quite a *general* way without any nearer determination, perhaps because there is a secret consciousness that these conceptions are being used in an unauthorized way. Thus even Kant speaks of the thing in itself as the *reason*<sup>161</sup> of the phenomenon, and also of a *ground* of the *possibility* of all phenomena,<sup>162</sup> of an *intelligible cause* of phenomena, of an *unknown ground* of the possibility of the sensuous series in general, of a *transcendental object*<sup>163</sup> as the *ground* of all phenomena and of the *reason* why our sensibility should have this rather than all other supreme conditions, and so



on in several places. Now all this does not seem to me to tally with those weighty, profound, nay immortal words of his,<sup>164</sup> “the contingency<sup>165</sup> of things is itself mere phenomenon, and can lead to no other than the empirical regressus which determines phenomena.”

That since Kant the conceptions reason and consequence, *principium* and *principiatum*, &c. &c., have been and still are used in a yet more indefinite and even quite transcendent sense, everyone must know who is acquainted with the more recent works on philosophy.

The following is my objection against this promiscuous employment of the word *ground* (reason) and, with it, of the Principle of Sufficient Reason in general; it is likewise the second result, intimately connected with the first, which the present treatise gives concerning its subject-matter proper. The four laws of our cognitive faculty, of which the Principle of Sufficient Reason is the common expression, by their common character as well as by the fact that all Objects for the Subject are divided amongst them, proclaim themselves to be posited by one and the same primary quality and inner peculiarity of our knowing faculty, which faculty manifests itself as Sensibility, Understanding, and Reason. Therefore, even if we imagined it to be possible for a new Fifth Class of Objects to come about, we should in that case likewise have to assume that the Principle of Sufficient Reason would appear in this class also under a different form. Notwithstanding all this, we still have no right to talk of an *absolute reason* (ground), nor does a *reason in general*, any more than a *triangle in general*, exist otherwise than as a conception derived by means of discursive reflection, nor is this conception, as a representation drawn from other representations, anything more than a means of thinking several things in one. Now, just as every triangle must be either acute-angled, right-angled, or obtuse-angled, and either equilateral, isosceles or scalene, so also must every reason belong to one or other of the four possible kinds of reasons I have pointed out. Moreover, since we have only four well-distinguished Classes of Objects, every reason must also belong to one or other of these four, and no further Class being possible, Reason itself is forced to rank it within them; for as soon as we employ a reason, we presuppose the Four Classes as well as the faculty of representing (*i.e.* the whole world), and must hold ourselves within these bounds, never transcending them. Should others, however, see this in a different light and opine that a *reason in general* is anything but a conception, derived from the four kinds of reasons, which expresses what

they all have in common, we might revive the controversy of the Realists and Nominalists, and then I should side with the latter.

## ENDNOTES.

<sup>1</sup> From the fourth edition by Julius Frauenstädt. “Fourfold Root,” Leipzig, 1875; “Will in Nature,” Leipzig, 1878.

<sup>2</sup> See “Will in Nature,” pp. 9-18 of the original; pp. 224-234 of the present translation.

<sup>3</sup> Pp. 2 and 3 of the original, and pp. 216 to 218 of the present translation.

<sup>4</sup> See p. 113, § 34 of the original, and p. 133 of the present translation.

<sup>5</sup> Seneca, Ep. 79.

<sup>6</sup> See “Arthur Schopenhauer. Von ihm; über ihn. Ein Wort der Vertheidigung,” von Ernst Otto Lindner, and “Memorabilien, Briefe und Nachlassstücke,” von Julius Frauenstädt (Berlin, 1863), pp. 163-165.

<sup>7</sup> Schopenhauer, “Die Welt als Wille und Vorstellung,” second edition, i., 37 (third edition, i., 39).

<sup>8</sup> See “Die Welt a. W. u. V.,” vol. ii. pp. 17-21, and vol. i. p. 39 of the second edition. (The passages referred to by Schopenhauer in the second edition are in the third edition vol. ii. pp. 18-21, and vol. i. p. 40).

<sup>9</sup> Die Welt a. W. u. V., vol. i. p. 22 *et seqq.*, and vol. ii. chap. ii. of the second edition; vol. i. p. 22, § 6, and vol. ii. chap. ii. of the third edition.

<sup>10</sup> The passage I have quoted above from Schopenhauer’s letter is also to be found among the letters published in my book, “Arthur Schopenhauer. Von ihm, über ihn, u. s. w.,” p. 541 *et seqq.*, and it results from this, as well as from several other letters which likewise deal with important and knotty points in his philosophy, that this correspondence may perhaps not be quite so worthless and unimportant as many — among them Gwinner, in his pamphlet, “Schopenhauer und seine Freunde” (Leipzig, 1863) — represent it to be. This pamphlet of Gwinner’s, by the way, has met with the treatment it deserves in the Preface to the collection, “Aus Arthur Schopenhauer’s handschriftlichen Aphorismen und Nachlass. Abhandlungen, Anmerkungen, Fragmente.” (Leipzig, 1864).

<sup>11</sup> Platon, “Phileb.” pp. 219-223. “Politic.” 62, 63. “Phædr.” 361-363, ed. Bip. Kant, “Kritik der reinen Vernunft. Anhang zur transcend. Dialektik.” English Translation by F. Max Müller. “Appendix

to the Transc. Dialectic.” pp. 551, and *seqq.*

<sup>12</sup> Kant, “Krit. d. r. V. Methodenlehre. Drittes Hauptstück,” p. 842 of the 1st edition. Engl. Tr. by F. M. Müller. “Architectonic of Pure Reason,” p. 723.

<sup>13</sup> “Meno.” p. 385, ed Bip. “Even true opinions are not of much value until somebody binds them down by proof of a cause.” [Translator’s addition.]

<sup>14</sup> Aristot. “Metaph.” v. 1. “All knowledge which is intellectual or partakes somewhat of intellect, deals with causes and principles.” [Tr.’s add.]

<sup>15</sup> Here the translator gives Schopenhauer’s free version of Wolf’s formula.

<sup>16</sup> Platon, “Phileb.” p. 240, ed Bip. “It is necessary that all which arises, should arise by some cause; for how could it arise otherwise?” [Tr.’s add.]

<sup>17</sup> *Ibid.* “Timæus,” p. 302. “All that arises, arises necessarily from some cause; for it is impossible for anything to come into being without cause.” [Tr.’s add.]

<sup>18</sup> “This especially would seem to be the first principle: that nothing arises without cause, but [everything] according to preceding causes.” [Tr.’s add.]

<sup>19</sup> “We think we understand a thing perfectly, whenever we think we know the cause by which the thing is, that it is really the cause of that thing, and that the thing cannot possibly be otherwise.” [Tr.’s add.]

<sup>20</sup> Lib. iv. c. 1.

<sup>21</sup> “Now it is common to all principles, that they are the first thing through which [anything] is, or arises, or is understood.” [Tr.’s add.]

<sup>22</sup> “There are four causes: first, the essence of a thing itself; second, the *sine qua non* of a thing; third, what first put a thing in motion; fourth, to what purpose or end a thing is tending.” [Tr.’s add.]

<sup>23</sup> “Suarii disputationes metaph.” Disp. 12, sect. 2 et 3.

<sup>24</sup> Hobbes, “De corpore,” P. ii. c. 10, § 7.

<sup>25</sup> Suarez, “Disp.” 12, sect. 1.

<sup>26</sup>

“Were not the thought so cursedly acute,

One might be tempted to declare it silly.”

Schiller, “Wallenstein-Trilogie. Piccolomini,” Act ii. Sc. 7.

<sup>27</sup> Aristot., “Analyt. post.” c. 7.

<sup>28</sup> Spinoza, “Eth.” i. prop. 11.

<sup>29</sup> Spinoza, “Eth.” P. 1. prop. 8, schol. 2.

<sup>30</sup> *Ibid.* Prop. 16.

<sup>31</sup> *Ibid.* Prop. 36, demonstr.

<sup>32</sup> *Ibid.* Prop. 18.

<sup>33</sup> *Ibid.* Prop. 25.

<sup>34</sup> “Eth.” P. iii. prop. 1, demonstr.

<sup>35</sup> *Ibid.* Prop. 4.

<sup>36</sup> “Eth.” P. i. prop. 7.

<sup>37</sup> Schelling, “Abhandlung von der menschlichen Freiheit.”

<sup>38</sup> Irenæus, “Contr. hæres.” lib. i. c. 1.

<sup>39</sup> “For they say that in those unseen heights which have no name there is a pre-existing, perfect Æon; this they also call fore-rule, forefather and the depth. — They say, that being incomprehensible and invisible, eternal and unborn, he has existed during endless Æons in the deepest calmness and tranquillity; and that coexisting with him was Thought, which they also call Grace and Silence. This Depth once bethought him to put forth from himself the beginning of all things and to lay that

offshoot — which he had resolved to put forth — like a sperm into the coexisting Silence, as it were into a womb. Now this Silence, being thus impregnated and having conceived, gave birth to Intellect, a being which was like and equal to its Creator, and alone able to comprehend the greatness of its father. This Intellect also they call the Only-begotten and the Beginning of all things.” [Tr.’s add.]

<sup>40</sup> Compare with this § 44 of his “Theodicée,” and his 5th letter to Clarke, § 125.

<sup>41</sup> Doctrine of Reason.

<sup>42</sup> Lambert, “New Organon,” vol. i. § 572.

<sup>43</sup> Compare § 36. of this treatise.

<sup>44</sup> “Ueber eine Entdeckung, nach der alle Kritik der reinen Vernunft entbehrlich gemacht werden soll.”

<sup>45</sup> Kiesewetter, “Logik,” vol. i. p. 16.

<sup>46</sup> *Ibid.* p. 60.

<sup>47</sup> G. E. Schultze, “Logik,” § 19, Anmerkung 1, und § 63.

<sup>48</sup> Sal. Maimon, “Logik,” p. 20, 21.

<sup>49</sup> *Ibid.* “Vorrede,” p. xxiv.

<sup>50</sup> Jacobi, “Briefe über die Lehre des Spinoza,” Beilage 7, p. 414.

<sup>51</sup> “Aphorismen zur Einleitung in die Naturphilosophie.”

<sup>52</sup> Plattner, “Aphorismen,” § 828.

<sup>53</sup> Jakob, “Logik und Metaphysik,” p. 38 (1794).

<sup>54</sup> Aristotle, “Metaph.” iii. 6. “They seek a reason for that which has no reason; for the principle of demonstration is not demonstration.” [Tr.’s add.] Compare with this citation “Analyt. post.” i. 2.

<sup>55</sup> Vol. i. p. 12, and *seqq.* of the 1st edition; p. 9 of the 3rd edition.

<sup>56</sup> Compare Kant, “Krit. d. r. Vern.” Elementarlehre. Abschnitt ii. Schlüsse a. d. Begr. *b* and *c*. 1st edition, pp. 33 and 34; 5th edition, p. 49. (Transl. M. Müller, p. 29, *b* and *c*.)

<sup>57</sup> Kant, “Krit. d. r. V.” Kritik des Vierten Paralogismus der transcendentalen Psychologie, p. 369, 1st edition. (Engl. Transl. by M. Müller, p. 320.)

<sup>58</sup> *Ibid.* 1st edition, pp. 374-375. Note. (Engl. Transl. p. 325. Note.)

<sup>59</sup> Kant, “Krit. d. r. V.” “Betrachtung über die Summe,” &c., p. 383 of 1st edition. (Engl. Transl. p. 331.)

<sup>60</sup> “Die Welt a. W. u. V.” vol. ii. chap. 4, especially p. 42 and *seq.* of the 2nd edition; p. 46 *seq.* of the 3rd edition.

<sup>61</sup> Göthe, “Der Zauberlehrling.”

<sup>62</sup> The translation of which follows the Fourfold Root in the present volume.

<sup>63</sup> Here I refer my readers to “Die Welt als Wills und Vorstellung,” vol. ii. chap. 4, p. 41 of the 2nd edition, and p. 45 of the 3rd edition.

<sup>64</sup> “Die Welt a. W. u. V.” vol. i. pp. 517-521 of the 2nd edition, and pp. 544-549 of the 3rd edition.

<sup>65</sup> “Die Welt a. W. u. V.” vol. i. p. 550 of 2nd, and 580 of 3rd edition.

<sup>66</sup> See “Die Welt a. W. u. V.” vol. i. § 26, p. 153 of the 2nd, and p. 160 of the 3rd edition.

<sup>67</sup> See “Die beiden Grundprobleme der Ethik,” p. 30-34.

<sup>68</sup> The word “motivation,” though it may appear objectionable to the English reader, seemed unavoidable here, as being Schopenhauer’s own term, for which there is no adequate equivalent in general use in our language. [Translator’s note.]

<sup>69</sup> Here used in the absolute sense of *liberum arbitrium indifferentiæ*. [Tr.]

<sup>70</sup> “Whatever conception one may form of freedom of the will, for metaphysical purposes, its phenomena, human actions, are nevertheless determined by universal laws of Nature, just as well as every other occurrence in Nature.” “Ideen zu einer allgemeinen Geschichte.” Anfang. I. Kant. “All



the acts of a man, so far as they are phenomena, are determined from his empirical character and from the other concomitant causes, according to the order of Nature; and if we could investigate all the manifestations of his will to the very bottom, there would be not a single human action which we could not predict with certainty and recognize from its preceding conditions as necessary. There is no freedom therefore with reference to this empirical character, and yet it is only with reference to it that we can consider man, when we are merely observing, and, as is the case in anthropology, trying to investigate the motive causes of his actions physiologically.”— “Kritik. d. r. Vern.” p. 549 of the 1st edition, and p. 577 of the 5th edition. (Engl. Transl. by M. Müller, p. 474.)

“It may therefore be taken for granted, that if we could see far enough into a man’s mode of thinking, as it manifests itself in his inner, as well as outer actions, for us to know every, even the faintest motive, and in like manner all the other causes which act upon these, it would be possible to calculate his conduct in future with the same certainty as an eclipse of the sun or moon.”— “Kritik der praktischen Vernunft” ed. Rosenkranz, p. 230 and p. 177 of the 4th edition.

<sup>71</sup> Published in the same volume with the Prize-Essay on “Free Will.” See “Die beiden Grundprobleme der Ethik.”

<sup>72</sup> Anno 1813, pp. 53-55.

<sup>73</sup> For further details see my “Will in Nature,” p. 19 of the 1st edition, and p. 14 of the 3rd. (P. 230 *et seqq.* of the translation of the “Will in Nature,” which follows the “Fourfold Root” in the present volume.)

<sup>74</sup> Hesiod, ἔργα, 293.

<sup>75</sup> Macchiavelli, “Il principe,” cap. 22.

<sup>76</sup> Schelling, “Philosophische Schriften” (1809), vol. i. pp. 237 and 238.

<sup>77</sup> Fries, “Kritik der Vernunft.” vol. i. pp. 52-56 and p. 290 of the 1st edition.

<sup>78</sup> Diderot, in his “Lettre sur les Aveugles,” gives a detailed account of Saunderson.

<sup>79</sup> See “Die Welt a. W. u. V.” vol. ii. chap. 4.

<sup>80</sup> The Frankfort “Konversationsblatt,” July 22, 1853, gives the following account of this sculptor:— “The blind sculptor, Joseph Kleinhaus, died at Nauders, in Tyrol, on the 10th inst. Having lost his

eyesight through small-pox when he was five years old, he began to amuse himself with carving and modelling, as a pastime. Prugg gave him some instructions, and supplied him with models, and at the age of twelve he carved a Christ in life-size. During a short stay in Nissl's workshop at Fügen, his progress was so rapid, that, thanks to his good capacities and talents, his fame as the blind sculptor soon spread far and wide. His works are numerous and of various kinds. His Christs alone, of which there are about four hundred, bear special witness to his proficiency, particularly if his blindness is taken into consideration. He sculptured many other objects besides, and, but two months ago, he modelled a bust of the Emperor Franz Joseph of Austria which has been sent to Vienna."

<sup>81</sup> Newton, "Optics." Query 15.

<sup>82</sup> See the original report in vol. 35 of the "Philosophical Transactions" as to this case.

<sup>83</sup> Franz, "The Eye, a treatise on preserving this organ in a healthy state and improving the sight." London, Churchill, 1839, pp. 34-36.

<sup>84</sup> Haslam's "Observations on Madness and Melancholy," 2nd ed. p. 192.

<sup>85</sup> Flourens, "De la vie et de l'Intelligence," 2nd edition, Paris, Garnier Frères, 1852, p. 49.

<sup>86</sup> "It is the mind that sees and hears; all besides is deaf and blind." (Tr. Ad.)

<sup>87</sup> Plutarch, "De solert. animal." c. 3. "For the affection of our eyes and ears does not produce any perception, unless it be accompanied by thought." (Tr. Ad.)

<sup>88</sup> "Straton, the physicist, has proved that 'without thinking it is quite impossible to perceive.'" (Tr. Ad.)

<sup>89</sup> "Therefore it is necessary that all who perceive should also think, since we are so constituted as to perceive by means of thinking." (Tr. Ad.)

<sup>90</sup> Porph. "De abstinentia," iii. 21.

<sup>91</sup> Compare "Die Welt a. W. u. V." 3rd edition, vol. ii. p. 41. [The 3rd edition of "Die Welt a. W. u. V." contains at this place a supplement which is wanting in the 2nd edition, vol. ii. p. 38. — Note by the Editor of the 3rd edition.]

<sup>92</sup> Kant, "Krit. d. r. V." 1st edition, p. 367 *sqq.* (English translation by M. Müller, p. 318 *sqq.*)

<sup>93</sup> Kant, “Krit. d. r. Vern.” 1st edition, p. 371. (English translation, by M. Müller, p. 322.)

<sup>94</sup> Kant, “Krit. d. r. Vern.” 1st edition, p. 372. (English translation, p. 323.)

<sup>95</sup> Compare “Die Welt a. W. u. V.” 2nd edition; vol. i. sect. 4, p. 9; and vol. ii. pp. 48, 49 (3rd edition, vol. i. p. 10; vol. ii. p. 52). English translation, vol. i. pp. 9-10; vol. ii. p. 218.

<sup>96</sup> *Wissenschaftsleere* (literally, *emptiness of science*), a pun of Schopenhauer’s on the title of Fichte’s *Wissenschaftslehre* (*doctrine of science*), which cannot be rendered in English. (Tr.’s Note.)

<sup>97</sup> Kant, “Erklärung über Fichte’s Wissenschaftslehre.” See the “Intelligenzblatt” of the Jena Literary Gazette (1799), No. 109.

<sup>98</sup> Kant, “Krit. d. r. Vern.” 1st edition, p. 201; 5th edition, p. 246. (English translation by M. Müller, p. 176.) This is, however, not a literal quotation. (Tr.’s note.)

<sup>99</sup> *Ibid.* p. 189 of the 1st edition; more fully, p. 232 of the 5th edition. (English translation by M. Müller, p. 166.)

<sup>100</sup> In German *Zufall*, a word derived from the *Zusammenfallen* (falling together), *Zusammentreffen* (meeting together), or coinciding of what is unconnected, just as τὸ συμβεβηκός from συμβαίνειν. (Compare Aristotle, “Anal. post.,” i. 4.)

<sup>101</sup> Leibnitz, “Nouveaux Essais sur l’Entendement,” lib. iv. ch. ii. sect. 14.

<sup>102</sup> Kant, “Kritik d. r. Vern.” 1st edition, p. 275; 5th edition, p. 331. (English translation by M. Müller, p. 236.)

<sup>103</sup> Kant, “Krit. d. r. Vern.” vol. i. p. 203 of the 1st edition; p. 249 of the 5th edition. (English translation by M. Müller, p. 178.)

<sup>104</sup> Kant, “Krit. d. r. Vern.” pp. 212 and 213 of the 1st edition. (English translation, pp. 185 and 186.)

<sup>105</sup> Feder, “Ueber Raum und Causalität.” sect. 29.

<sup>106</sup> G. E. Schulze, “Kritik der theoretischen Philosophie,” vol. ii. p. 422 *sqq.*

<sup>107</sup> For instance, in Fries’ “Kritik der Vernunft,” vol. ii. p. 85.

[108](#) I lifted from thine eyes the darkness which covered them before. (Tr.'s Ad.)

[109](#) “Die Welt a. W. u. V.” 2nd edition, vol. ii. ch. iv. p. 42 *et seqq.*; 3rd edition, vol. ii. p. 46 *et seqq.*

[110](#) Plato, “Parmenides,” p. 138, ed. Bip.

[111](#) Kant, “Krit. d. r. Vern.” 1st edition, p. 207; 5th edition, p. 253. (English translation by M. Müller, p. 182.)

[112](#) Kant, “Metaphysische Anfangsgründe der Naturwissenschaft.” End of the “Allgemeine Anmerkung zur Mechanik.”

[113](#) According to his own assertion, p. 189 of the “Opera philos.” ed. Erdmann.

[114](#) *Ibid.* p. 104.

[115](#) *Begriff*, *comprehensive* thought, derived from *begreifen*, to comprehend. [Tr.]

[116](#) *Inbegriff*, *comprehensive* totality. [Tr.]

[117](#) *Inbegriff*.

[118](#) See “Die Welt a. W. u. V.” vol. i. sect. 13, and vol. ii. ch. 8.

[119](#) Aristot. “Metaph.” xii. c. 9, “For without universals it is impossible to have knowledge.” (Tr.'s Add.)

[120](#) Part the First, in the middle.

[121](#) Let any one to whom this assertion may appear hyperbolic, consider the fate of Göthe's “Theory of Colours” (*Farbenlehre*), and should he wonder at my finding a corroboration for it in that fate, he will himself have corroborated it a second time.

[122](#) Aristot. “De anima,” iii. c. c. 3, 7, 8.

[123](#) “The mind never thinks without (the aid of) an image.” [Tr.]

[124](#) “He who observes anything must observe some image along with it.” [Tr.]

[125](#) “De Memoria,” c. 1: “It is impossible to think without (the aid of) an image.”

[126](#) “De imaginatione,” c. 5.

[127](#) “De anima,” p. 130.

[128](#) “De compositione imaginum,” p. 10.

[129](#) “De immortalitate,” pp. 54 et 70.

[130](#) “*Ein Momentanes end Einheitliches.*”

[131](#) See “Die Welt a. W. u. V.” 3rd edition, vol. ii. ch. iv. p. 55.

[132](#) Cicer. “De Offic.” i. 16.

[133](#) *Idem*, “De nat. deor.” ii. 7.

[134](#) *Idem*, “De Leg.” i. 10.

[135](#) See “Die Welt a. W. u. V.” 2nd edition, vol. i. § 8, and also in the Appendix, pp. 577-585 (3rd edition, pp. 610-620), and again vol. ii. ch. vi.; finally “Die b. G-P. d. Ethik,” pp. 148-154 (2nd edition, pp. 146-151).

[136](#) Here Schopenhauer adds, “especially when pronounced *Uedähen*.” [Tr.]

[137](#) “Die Welt a. W. u. V.” 2nd edition, vol. i. p. 576 *et seqq.*; 3rd edition, p. 610 *et seq.*

[138](#) Schopenhauer, “Die beiden Grundprobleme der Ethik,” p. 152; 2nd edition, p. 149 *et seq.*

[139](#) Schopenhauer, “Die beiden Grundprobleme der Ethik,” p. 148 and *seqq.* (p. 146 *et seq.* of 2nd edition.)

[140](#) “*Aus seinem Grund oder Ungrund.*”

[141](#) “*Ahnung* without the *d.*” See above, p. 133. (Tr.’s note.)

[142](#) “If Brimha be unceasingly employed in the creation of worlds ... how can tranquillity be obtained by inferior orders of being?” Prabodh Chandro Daya, translated by J. Taylor, p. 23. — Brahma is also

part of the Trimurti, which is the personification of nature, as procreation, preservation, and death: that is, he represents the first of these.

<sup>143</sup> See “Asiatic Researches,” vol. vi. p. 268, and Sangermano’s “Description of the Burmese Empire,” p. 81.

<sup>144</sup> See I. J. Schmidt, “Forschungen im Gebiete der älteren Bildungsgeschichte Mittelasiens.” St. Petersburg, 1824, pp. 276, and 180.

<sup>145</sup> I. J. Schmidt, Lecture delivered in the Academy at St. Petersburg on the 15th Sept. 1830, p. 26.

<sup>146</sup> Mahavansi, Raja-ratnacari, and Raja-Vali, from the Singhalese, by E. Upham. London, 1833.

<sup>147</sup> Κόσμον τόνδε, φησὶν Ἡράκλειτος, οὔτε τις θεῶν οὔτε ἀνθρώπων ἐποίησεν. (Neither a God nor a man created this world, says Heraclitus.) Plut. “De animæ procreatione,” c. 5.

<sup>148</sup> Platonic ideas may, after all, be described as normal intuitions, which would hold good not only for what is formal, but also for what is material in complete representations — therefore as complete representations which, as such, would be determined throughout, while comprehending many things at once, like conceptions: that is to say, as representatives of conceptions, but which are quite adequate to those conceptions, as I have explained in § 28.

<sup>149</sup> Aristot. “Metaph.” i. 6, with which compare x. 1. “Further, says he, besides things sensible and the ideas, there are things mathematical coming in between the two, which differ from the things sensible, inasmuch as they are eternal and immovable, and from the ideas, inasmuch as many of them are like each other; but the idea is absolutely and only one.” (Tr.’s Add.)

<sup>150</sup> “In these it is equality that constitutes unity.” (Tr.’s Add.)

<sup>151</sup> “Oupnekhat,” vol. i. p. 202.

<sup>152</sup> Aristot., “De anima,” iii. 8. “In a certain sense the intellect is all that exists.” (Tr.’s Add.)

<sup>153</sup> See “Die beiden Grundprobleme der Ethik,” p. 11, and in several other places.

<sup>154</sup> *Weltknoten*.

<sup>155</sup> See “Die Welt, a. W. u. V.” vol. ii. ch. xiv.

<sup>156</sup> Aristot. “Metaph.” iv. 1. “Sometimes too, learning must start, not from what is really first and with the actual beginning of the thing concerned, but from where it is easiest to learn.” [Tr.’s add.]

<sup>157</sup> See “Die Welt a. W. u. V.,” vol. ii. ch. iv. p. 41, 42 of the 2nd edition, and p. 44 of the 3rd.

<sup>158</sup> Kant, “Krit. d. r. Vern.,” 1st edition, p. 202; 5th edition, p. 248 (English translation by M. Müller, p. 177.)

<sup>159</sup> Compare “Die Welt a. W. u. V.,” vol. i. p. 551 *et seq.* of the 2nd edition (i. p. 582 *et seq.* of 3rd edition) as to “immaterial substance,” and § 52 of the present work as to “reason in general.” (Editor’s note.)

<sup>160</sup> “Die Welt a. W. u. V.,” vol. ii. ch. 12, p. 126 of the 2nd edition (p. 139 of the 3rd edition).

<sup>161</sup> Or *ground*.

<sup>162</sup> Kant, “Krit. d. r. Vern.,” 1st edition, pp. 561, 562, 564; p. 590 of the 5th edition. (Pp. 483 to 486 of the English translation by M. Müller.)

<sup>163</sup> *Ibid.* p. 540 of 1st edition, and 641 of 5th edition. (P. 466 of English translation.)

<sup>164</sup> *Ibid.* p. 563 of the 1st and 591 of the 5th edition. (P. 485 of English translation.)

<sup>165</sup> Empirical contingency is meant, which, with Kant, signifies as much as dependence upon other things. As to this, I refer my readers to my censure in my “Critique of Kantian Philosophy,” p. 524 of the 2nd, and p. 552 of the 3rd edition.



# THE WORLD AS WILL AND IDEA



*Translated by R. B. Haldane and J. Kemp*

THE SIXTH EDITION, 1909

Widely considered Schopenhauer's most important work, *The World as Will and Idea* was first published in 1818, with an expanded edition appearing in 1844 and a third expanded edition in 1859. The fundamental idea of this text, which is condensed into a short formula in the title itself, is developed in four books composed of two comprehensive series of reflections that include successively the theory of knowledge and the philosophy of nature, aesthetics and ethics.

The first book opens with a reference to Kant and Schopenhauer argues again that 'the world is my representation'. He proposes that this is only comprehensible with the aid of the constructs of man's intellect — namely space, time and causality. But these constructs reveal the world only as appearance, as a multiplicity of things next to and following one another — not as the thing in itself that Kant considered to be unknowable. The second book progresses to a consideration of the essences of the concepts presented. Of all the things in the world, only one is presented to a person in two ways: he knows himself externally as body or as appearance, and he knows himself internally as part of the primary essence of all things, as will. The will is the thing in itself. It is unitary, unfathomable, unchangeable, beyond space and time, without causes and purposes. In the world of appearances, it is reflected in an ascending series of realisations. From the blind impulses in the forces of inorganic nature, through organic to the rationally guided actions of men, a great chain of restless desires, agitations and drives stretch forth — a continual struggle of the higher forms against the lower, an eternally aimless and insatiable striving, inseparably united with misery and misfortune. At the end, however, stands death, the great reproof that the will-to-live receives, posing the question to each single person: 'Have you had enough?'

The development of Schopenhauer's ideas took place early in his career and culminated with the publication of the first volume of *World as Will and*

*Idea* in 1819. This first volume consisted of four books – covering his epistemology, ontology, aesthetics and ethics, in order. Much later in his life, in 1844, Schopenhauer published a second edition in two volumes, the first a virtual reprint of the original, and the second a new work consisting of clarifications to and additional reflections on the first. His views had not changed substantially. His belated fame after 1851 stimulated renewed interest in his seminal work, leading to a third and final edition with 136 more pages in 1859, one year before his death. In the preface to the latter, Schopenhauer noted: “If I also have at last arrived, and have the satisfaction at the end of my life of seeing the beginning of my influence, it is with the hope that, according to an old rule, it will last longer in proportion to the lateness of its beginning.”

**Die Welt**  
als  
**Wille und Vorstellung.**

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von  
**Arthur Schopenhauer.**

---

**Zweite, durchgängig verbesserte und sehr vermehrte Auflage.**

---

**Erster Band,**  
Zier Bücher, nebst einem Anhange, der die Kritik der  
Kantischen Philosophie enthält.

---

Ob nicht Natur selbst sich doch ergründe?  
Mithr.

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**Leipzig:**  
**H. V. Brockhaus.**  
**1844.**

*The title page of the expanded 1844 edition*

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*Schopenhauer in 1815*

# **VOLUME I.**



## Translators' Preface.

The style of "Die Welt als Wille und Vorstellung" is sometimes loose and involved, as is so often the case in German philosophical treatises. The translation of the book has consequently been a matter of no little difficulty. It was found that extensive alteration of the long and occasionally involved sentences, however likely to prove conducive to a satisfactory English style, tended not only to obliterate the form of the original but even to imperil the meaning. Where a choice has had to be made, the alternative of a somewhat slavish adherence to Schopenhauer's *ipsissima verba* has accordingly been preferred to that of inaccuracy. The result is a piece of work which leaves much to be desired, but which has yet consistently sought to reproduce faithfully the spirit as well as the letter of the original.

As regards the rendering of the technical terms about which there has been so much controversy, the equivalents used have only been adopted after careful consideration of their meaning in the theory of knowledge. For example, "Vorstellung" has been rendered by "idea," in preference to "representation," which is neither accurate, intelligible, nor elegant. "Idee," is translated by the same word, but spelled with a capital,— "Idea." Again, "Anschauung" has been rendered according to the context, either by "perception" simply, or by "intuition or perception."

Notwithstanding statements to the contrary in the text, the book is probably quite intelligible in itself, apart from the treatise "On the Fourfold Root of the Principle of Sufficient Reason." It has, however, been considered desirable to add an abstract of the latter work in an appendix to the third volume of this translation.

R. B. H.

J. K.

## Preface To The First Edition.

I propose to point out here how this book must be read in order to be thoroughly understood. By means of it I only intend to impart a single thought. Yet, notwithstanding all my endeavours, I could find no shorter way of imparting it than this whole book. I hold this thought to be that which has very long been sought for under the name of philosophy, and the discovery of which is therefore regarded by those who are familiar with history as quite as impossible as the discovery of the philosopher's stone, although it was already said by Pliny: *Quam multa fieri non posse, priusquam sint facta, judicantur?* (Hist. nat. 7, 1.)

According as we consider the different aspects of this one thought which I am about to impart, it exhibits itself as that which we call metaphysics, that which we call ethics, and that which we call æsthetics; and certainly it must be all this if it is what I have already acknowledged I take it to be.

A *system of thought* must always have an architectonic connection or coherence, that is, a connection in which one part always supports the other, though the latter does not support the former, in which ultimately the foundation supports all the rest without being supported by it, and the apex is supported without supporting. On the other hand, a *single thought*, however comprehensive it may be, must preserve the most perfect unity. If it admits of being broken up into parts to facilitate its communication, the connection of these parts must yet be organic, *i.e.*, it must be a connection in which every part supports the whole just as much as it is supported by it, a connection in which there is no first and no last, in which the whole thought gains distinctness through every part, and even the smallest part cannot be completely understood unless the whole has already been grasped. A book, however, must always have a first and a last line, and in this respect will always remain very unlike an organism, however like one its content may be: thus form and matter are here in contradiction.

It is self-evident that under these circumstances no other advice can be given as to how one may enter into the thought explained in this work than *to read the book twice*, and the first time with great patience, a patience which is only to be derived from the belief, voluntarily accorded, that the beginning presupposes the end almost as much as the end presupposes the beginning, and that all the earlier parts presuppose the later almost as much

as the later presuppose the earlier. I say “almost;” for this is by no means absolutely the case, and I have honestly and conscientiously done all that was possible to give priority to that which stands least in need of explanation from what follows, as indeed generally to everything that can help to make the thought as easy to comprehend and as distinct as possible. This might indeed to a certain extent be achieved if it were not that the reader, as is very natural, thinks, as he reads, not merely of what is actually said, but also of its possible consequences, and thus besides the many contradictions actually given of the opinions of the time, and presumably of the reader, there may be added as many more which are anticipated and imaginary. That, then, which is really only misunderstanding, must take the form of active disapproval, and it is all the more difficult to recognise that it is misunderstanding, because although the laboriously-attained clearness of the explanation and distinctness of the expression never leaves the immediate sense of what is said doubtful, it cannot at the same time express its relations to all that remains to be said. Therefore, as we have said, the first perusal demands patience, founded on confidence that on a second perusal much, or all, will appear in an entirely different light. Further, the earnest endeavour to be more completely and even more easily comprehended in the case of a very difficult subject, must justify occasional repetition. Indeed the structure of the whole, which is organic, not a mere chain, makes it necessary sometimes to touch on the same point twice. Moreover this construction, and the very close connection of all the parts, has not left open to me the division into chapters and paragraphs which I should otherwise have regarded as very important, but has obliged me to rest satisfied with four principal divisions, as it were four aspects of one thought. In each of these four books it is especially important to guard against losing sight, in the details which must necessarily be discussed, of the principal thought to which they belong, and the progress of the whole exposition. I have thus expressed the first, and like those which follow, unavoidable demand upon the reader, who holds the philosopher in small favour just because he himself is a philosopher.

The second demand is this, that the introduction be read before the book itself, although it is not contained in the book, but appeared five years earlier under the title, “*Ueber die vierfache Wurzel des Satzes vom zureichenden Grunde: eine philosophische Abhandlung*” (On the fourfold root of the principle of sufficient reason: a philosophical essay). Without an

acquaintance with this introduction and propaedeutic it is absolutely impossible to understand the present work properly, and the content of that essay will always be presupposed in this work just as if it were given with it. Besides, even if it had not preceded this book by several years, it would not properly have been placed before it as an introduction, but would have been incorporated in the first book. As it is, the first book does not contain what was said in the earlier essay, and it therefore exhibits a certain incompleteness on account of these deficiencies, which must always be supplied by reference to it. However, my disinclination was so great either to quote myself or laboriously to state again in other words what I had already said once in an adequate manner, that I preferred this course, notwithstanding the fact that I might now be able to give the content of that essay a somewhat better expression, chiefly by freeing it from several conceptions which resulted from the excessive influence which the Kantian philosophy had over me at the time, such as — categories, outer and inner sense, and the like. But even there these conceptions only occur because as yet I had never really entered deeply into them, therefore only by the way and quite out of connection with the principal matter. The correction of such passages in that essay will consequently take place of its own accord in the mind of the reader through his acquaintance with the present work. But only if we have fully recognised by means of that essay what the principle of sufficient reason is and signifies, what its validity extends to, and what it does not extend to, and that that principle is not before all things, and the whole world merely in consequence of it, and in conformity to it, a corollary, as it were, of it; but rather that it is merely the form in which the object, of whatever kind it may be, which is always conditioned by the subject, is invariably known so far as the subject is a knowing individual: only then will it be possible to enter into the method of philosophy which is here attempted for the first time, and which is completely different from all previous methods.

But the same disinclination to repeat myself word for word, or to say the same thing a second time in other and worse words, after I have deprived myself of the better, has occasioned another defect in the first book of this work. For I have omitted all that is said in the first chapter of my essay “On Sight and Colour,” which would otherwise have found its place here, word for word. Therefore the knowledge of this short, earlier work is also presupposed.

Finally, the third demand I have to make on the reader might indeed be tacitly assumed, for it is nothing but an acquaintance with the most important phenomenon that has appeared in philosophy for two thousand years, and that lies so near us: I mean the principal writings of Kant. It seems to me, in fact, as indeed has already been said by others, that the effect these writings produce in the mind to which they truly speak is very like that of the operation for cataract on a blind man: and if we wish to pursue the simile further, the aim of my own work may be described by saying that I have sought to put into the hands of those upon whom that operation has been successfully performed a pair of spectacles suitable to eyes that have recovered their sight — spectacles of whose use that operation is the absolutely necessary condition. Starting then, as I do to a large extent, from what has been accomplished by the great Kant, I have yet been enabled, just on account of my earnest study of his writings, to discover important errors in them. These I have been obliged to separate from the rest and prove to be false, in order that I might be able to presuppose and apply what is true and excellent in his doctrine, pure and freed from error. But not to interrupt and complicate my own exposition by a constant polemic against Kant, I have relegated this to a special appendix. It follows then, from what has been said, that my work presupposes a knowledge of this appendix just as much as it presupposes a knowledge of the philosophy of Kant; and in this respect it would therefore be advisable to read the appendix first, all the more as its content is specially related to the first book of the present work. On the other hand, it could not be avoided, from the nature of the case, that here and there the appendix also should refer to the text of the work; and the only result of this is, that the appendix, as well as the principal part of the work, must be read twice.

The philosophy of Kant, then, is the only philosophy with which a thorough acquaintance is directly presupposed in what we have to say here. But if, besides this, the reader has lingered in the school of the divine Plato, he will be so much the better prepared to hear me, and susceptible to what I say. And if, indeed, in addition to this he is a partaker of the benefit conferred by the Vedas, the access to which, opened to us through the Upanishads, is in my eyes the greatest advantage which this still young century enjoys over previous ones, because I believe that the influence of the Sanscrit literature will penetrate not less deeply than did the revival of Greek literature in the fifteenth century: if, I say, the reader has also already

received and assimilated the sacred, primitive Indian wisdom, then is he best of all prepared to hear what I have to say to him. My work will not speak to him, as to many others, in a strange and even hostile tongue; for, if it does not sound too vain, I might express the opinion that each one of the individual and disconnected aphorisms which make up the Upanishads may be deduced as a consequence from the thought I am going to impart, though the converse, that my thought is to be found in the Upanishads, is by no means the case.

But most readers have already grown angry with impatience, and burst into reproaches with difficulty kept back so long. How can I venture to present a book to the public under conditions and demands the first two of which are presumptuous and altogether immodest, and this at a time when there is such a general wealth of special ideas, that in Germany alone they are made common property through the press, in three thousand valuable, original, and absolutely indispensable works every year, besides innumerable periodicals, and even daily papers; at a time when especially there is not the least deficiency of entirely original and profound philosophers, but in Germany alone there are more of them alive at the same time, than several centuries could formerly boast of in succession to each other? How is one ever to come to the end, asks the indignant reader, if one must set to work upon a book in such a fashion?

As I have absolutely nothing to advance against these reproaches, I only hope for some small thanks from such readers for having warned them in time, so that they may not lose an hour over a book which it would be useless to read without complying with the demands that have been made, and which should therefore be left alone, particularly as apart from this we might wager a great deal that it can say nothing to them, but rather that it will always be only *pancorum hominum*, and must therefore quietly and modestly wait for the few whose unusual mode of thought may find it enjoyable. For apart from the difficulties and the effort which it requires from the reader, what cultured man of this age, whose knowledge has almost reached the august point at which the paradoxical and the false are all one to it, could bear to meet thoughts almost on every page that directly contradict that which he has yet himself established once for all as true and undeniable? And then, how disagreeably disappointed will many a one be if he finds no mention here of what he believes it is precisely here he ought to

look for, because his method of speculation agrees with that of a great living philosopher,<sup>1</sup> who has certainly written pathetic books, and who only has the trifling weakness that he takes all he learned and approved before his fifteenth year for inborn ideas of the human mind. Who could stand all this? Therefore my advice is simply to lay down the book.

But I fear I shall not escape even thus. The reader who has got as far as the preface and been stopped by it, has bought the book for cash, and asks how he is to be indemnified. My last refuge is now to remind him that he knows how to make use of a book in several ways, without exactly reading it. It may fill a gap in his library as well as many another, where, neatly bound, it will certainly look well. Or he can lay it on the toilet-table or the tea-table of some learned lady friend. Or, finally, what certainly is best of all, and I specially advise it, he can review it.

And now that I have allowed myself the jest to which in this two-sided life hardly any page can be too serious to grant a place, I part with the book with deep seriousness, in the sure hope that sooner or later it will reach those to whom alone it can be addressed; and for the rest, patiently resigned that the same fate should, in full measure, befall it, that in all ages has, to some extent, befallen all knowledge, and especially the weightiest knowledge of the truth, to which only a brief triumph is allotted between the two long periods in which it is condemned as paradoxical or disparaged as trivial. The former fate is also wont to befall its author. But life is short, and truth works far and lives long: let us speak the truth.

*Written at Dresden in August 1818.*

## Preface To The Second Edition.

Not to my contemporaries, not to my compatriots — to mankind I commit my now completed work in the confidence that it will not be without value for them, even if this should be late recognised, as is commonly the lot of what is good. For it cannot have been for the passing generation, engrossed with the delusion of the moment, that my mind, almost against my will, has uninterruptedly stuck to its work through the course of a long life. And while the lapse of time has not been able to make me doubt the worth of my work, neither has the lack of sympathy; for I constantly saw the false and the bad, and finally the absurd and senseless,<sup>2</sup> stand in universal admiration and honour, and I bethought myself that if it were not the case those who are capable of recognising the genuine and right are so rare that we may look for them in vain for some twenty years, then those who are capable of producing it could not be so few that their works afterwards form an exception to the perishableness of earthly things; and thus would be lost the reviving prospect of posterity which every one who sets before himself a high aim requires to strengthen him.

Whoever seriously takes up and pursues an object that does not lead to material advantages, must not count on the sympathy of his contemporaries. For the most part he will see, however, that in the meantime the superficial aspect of that object becomes current in the world, and enjoys its day; and this is as it should be. The object itself must be pursued for its own sake, otherwise it cannot be attained; for any design or intention is always dangerous to insight. Accordingly, as the whole history of literature proves, everything of real value required a long time to gain acceptance, especially if it belonged to the class of instructive, not entertaining, works; and meanwhile the false flourished. For to combine the object with its superficial appearance is difficult, when it is not impossible. Indeed that is just the curse of this world of want and need, that everything must serve and slave for these; and therefore it is not so constituted that any noble and sublime effort, like the endeavour after light and truth, can prosper unhindered and exist for its own sake. But even if such an endeavour has once succeeded in asserting itself, and the conception of it has thus been introduced, material interests and personal aims will immediately take possession of it, in order to make it their tool or their



mask. Accordingly, when Kant brought philosophy again into repute, it had soon to become the tool of political aims from above, and personal aims from below; although, strictly speaking, not philosophy itself, but its ghost, that passes for it. This should not really astonish us; for the incredibly large majority of men are by nature quite incapable of any but material aims, indeed they can conceive no others. Thus the pursuit of truth alone is far too lofty and eccentric an endeavour for us to expect all or many, or indeed even a few, faithfully to take part in. If yet we see, as for example at present in Germany, a remarkable activity, a general moving, writing, and talking with reference to philosophical subjects, we may confidently assume that, in spite of solemn looks and assurances, only real, not ideal aims, are the actual *primum mobile*, the concealed motive of such a movement; that it is personal, official, ecclesiastical, political, in short, material ends that are really kept in view, and consequently that mere party ends set the pens of so many pretended philosophers in such rapid motion. Thus some design or intention, not the desire of insight, is the guiding star of these disturbers of the peace, and truth is certainly the last thing that is thought of in the matter. It finds no partisans; rather, it may pursue its way as silently and unheeded through such a philosophical riot as through the winter night of the darkest century bound in the rigid faith of the church, when it was communicated only to a few alchemists as esoteric learning, or entrusted it may be only to the parchment. Indeed I might say that no time can be more unfavourable to philosophy than that in which it is shamefully misused, on the one hand to further political objects, on the other as a means of livelihood. Or is it believed that somehow, with such effort and such a turmoil, the truth, at which it by no means aims, will also be brought to light? Truth is no prostitute, that throws herself away upon those who do not desire her; she is rather so coy a beauty that he who sacrifices everything to her cannot even then be sure of her favour.

If Governments make philosophy a means of furthering political ends, learned men see in philosophical professorships a trade that nourishes the outer man just like any other; therefore they crowd after them in the assurance of their good intentions, that is, the purpose of subserving these ends. And they keep their word: not truth, not clearness, not Plato, not Aristotle, but the ends they were appointed to serve are their guiding star, and become at once the criterion of what is true, valuable, and to be respected, and of the opposites of these. Whatever, therefore, does not

answer these ends, even if it were the most important and extraordinary things in their department, is either condemned, or, when this seems hazardous, suppressed by being unanimously ignored. Look only at their zeal against pantheism; will any simpleton believe that it proceeds from conviction? And, in general, how is it possible that philosophy, degraded to the position of a means of making one's bread, can fail to degenerate into sophistry? Just because this is infallibly the case, and the rule, "I sing the song of him whose bread I eat," has always held good, the making of money by philosophy was regarded by the ancients as the characteristic of the sophists. But we have still to add this, that since throughout this world nothing is to be expected, can be demanded, or is to be had for gold but mediocrity, we must be contented with it here also. Consequently we see in all the German universities the cherished mediocrity striving to produce the philosophy which as yet is not there to produce, at its own expense and indeed in accordance with a predetermined standard and aim, a spectacle at which it would be almost cruel to mock.

While thus philosophy has long been obliged to serve entirely as a means to public ends on the one side and private ends on the other, I have pursued the course of my thought, undisturbed by them, for more than thirty years, and simply because I was obliged to do so and could not help myself, from an instinctive impulse, which was, however, supported by the confidence that anything true one may have thought, and anything obscure one may have thrown light upon, will appeal to any thinking mind, no matter when it comprehends it, and will rejoice and comfort it. To such an one we speak as those who are like us have spoken to us, and have so become our comfort in the wilderness of this life. Meanwhile the object is pursued on its own account and for its own sake. Now it happens curiously enough with philosophical meditations, that precisely that which one has thought out and investigated for oneself, is afterwards of benefit to others; not that, however, which was originally intended for others. The former is confessedly nearest in character to perfect honesty; for a man does not seek to deceive himself, nor does he offer himself empty husks; so that all sophistication and all mere talk is omitted, and consequently every sentence that is written at once repays the trouble of reading it. Thus my writings bear the stamp of honesty and openness so distinctly on the face of them, that by this alone they are a glaring contrast to those of three celebrated sophists of the post-Kantian period. I am always to be found at the

standpoint of *reflection*, i.e., rational deliberation and honest statement, never at that of *inspiration*, called intellectual intuition, or absolute thought; though, if it received its proper name, it would be called empty bombast and charlatanism. Working then in this spirit, and always seeing the false and bad in universal acceptance, yea, bombast<sup>3</sup> and charlatanism<sup>4</sup> in the highest honour, I have long renounced the approbation of my contemporaries. It is impossible that an age which for twenty years has applauded a Hegel, that intellectual Caliban, as the greatest of the philosophers, so loudly that it echoes through the whole of Europe, could make him who has looked on at that desirous of its approbation. It has no more crowns of honour to bestow; its applause is prostituted, and its censure has no significance. That I mean what I say is attested by the fact that if I had in any way sought the approbation of my contemporaries, I would have had to strike out a score of passages which entirely contradict all their opinions, and indeed must in part be offensive to them. But I would count it a crime to sacrifice a single syllable to that approbation. My guiding star has, in all seriousness, been truth. Following it, I could first aspire only to my own approbation, entirely averted from an age deeply degraded as regards all higher intellectual efforts, and a national literature demoralised even to the exceptions, a literature in which the art of combining lofty words with paltry significance has reached its height. I can certainly never escape from the errors and weaknesses which, in my case as in every one else's, necessarily belong to my nature; but I will not increase them by unworthy accommodations.

As regards this second edition, first of all I am glad to say that after five and twenty years I find nothing to retract; so that my fundamental convictions have only been confirmed, as far as concerns myself at least. The alterations in the first volume therefore, which contains the whole text of the first edition, nowhere touch what is essential. Sometimes they concern things of merely secondary importance, and more often consist of very short explanatory additions inserted here and there. Only the criticism of the Kantian philosophy has received important corrections and large additions, for these could not be put into a supplementary book, such as those which are given in the second volume, and which correspond to each of the four books that contain the exposition of my own doctrine. In the case of the latter, I have chosen this form of enlarging and improving them, because the five and twenty years that have passed since they were composed have produced so marked a change in my method of exposition

and in my style, that it would not have done to combine the content of the second volume with that of the first, as both must have suffered by the fusion. I therefore give both works separately, and in the earlier exposition, even in many places where I would now express myself quite differently, I have changed nothing, because I desired to guard against spoiling the work of my earlier years through the carping criticism of age. What in this regard might need correction will correct itself in the mind of the reader with the help of the second volume. Both volumes have, in the full sense of the word, a supplementary relation to each other, so far as this rests on the fact that one age of human life is, intellectually, the supplement of another. It will therefore be found, not only that each volume contains what the other lacks, but that the merits of the one consist peculiarly in that which is wanting in the other. Thus, if the first half of my work surpasses the second in what can only be supplied by the fire of youth and the energy of first conceptions, the second will surpass the first by the ripeness and complete elaboration of the thought which can only belong to the fruit of the labour of a long life. For when I had the strength originally to grasp the fundamental thought of my system, to follow it at once into its four branches, to return from them to the unity of their origin, and then to explain the whole distinctly, I could not yet be in a position to work out all the branches of the system with the fulness, thoroughness, and elaborateness which is only reached by the meditation of many years — meditation which is required to test and illustrate the system by innumerable facts, to support it by the most different kinds of proof, to throw light on it from all sides, and then to place the different points of view boldly in contrast, to separate thoroughly the multifarious materials, and present them in a well-arranged whole. Therefore, although it would, no doubt, have been more agreeable to the reader to have my whole work in one piece, instead of consisting, as it now does, of two halves, which must be combined in using them, he must reflect that this would have demanded that I should accomplish at one period of life what it is only possible to accomplish in two, for I would have had to possess the qualities at one period of life that nature has divided between two quite different ones. Hence the necessity of presenting my work in two halves supplementary to each other may be compared to the necessity in consequence of which a chromatic object-glass, which cannot be made out of one piece, is produced by joining together a convex lens of flint glass and a concave lens of crown

glass, the combined effect of which is what was sought. Yet, on the other hand, the reader will find some compensation for the inconvenience of using two volumes at once, in the variety and the relief which is afforded by the handling of the same subject, by the same mind, in the same spirit, but in very different years. However, it is very advisable that those who are not yet acquainted with my philosophy should first of all read the first volume without using the supplementary books, and should make use of these only on a second perusal; otherwise it would be too difficult for them to grasp the system in its connection. For it is only thus explained in the first volume, while the second is devoted to a more detailed investigation and a complete development of the individual doctrines. Even those who should not make up their minds to a second reading of the first volume had better not read the second volume till after the first, and then for itself, in the ordinary sequence of its chapters, which, at any rate, stand in some kind of connection, though a somewhat looser one, the gaps of which they will fully supply by the recollection of the first volume, if they have thoroughly comprehended it. Besides, they will find everywhere the reference to the corresponding passages of the first volume, the paragraphs of which I have numbered in the second edition for this purpose, though in the first edition they were only divided by lines.

I have already explained in the preface to the first edition, that my philosophy is founded on that of Kant, and therefore presupposes a thorough knowledge of it. I repeat this here. For Kant's teaching produces in the mind of every one who has comprehended it a fundamental change which is so great that it may be regarded as an intellectual new-birth. It alone is able really to remove the inborn realism which proceeds from the original character of the intellect, which neither Berkeley nor Malebranche succeed in doing, for they remain too much in the universal, while Kant goes into the particular, and indeed in a way that is quite unexampled both before and after him, and which has quite a peculiar, and, we might say, immediate effect upon the mind in consequence of which it undergoes a complete undeception, and forthwith looks at all things in another light. Only in this way can any one become susceptible to the more positive expositions which I have to give. On the other hand, he who has not mastered the Kantian philosophy, whatever else he may have studied, is, as it were, in a state of innocence; that is to say, he remains in the grasp of that natural and childish realism in which we are all born, and which fits us for

everything possible, with the single exception of philosophy. Such a man then stands to the man who knows the Kantian philosophy as a minor to a man of full age. That this truth should nowadays sound paradoxical, which would not have been the case in the first thirty years after the appearance of the Critique of Reason, is due to the fact that a generation has grown up that does not know Kant properly, because it has never heard more of him than a hasty, impatient lecture, or an account at second-hand; and this again is due to the fact that in consequence of bad guidance, this generation has wasted its time with the philosophemes of vulgar, uncalled men, or even of bombastic sophists, which are unwarrantably commended to it. Hence the confusion of fundamental conceptions, and in general the unspeakable crudeness and awkwardness that appears from under the covering of affectation and pretentiousness in the philosophical attempts of the generation thus brought up. But whoever thinks he can learn Kant's philosophy from the exposition of others makes a terrible mistake. Nay, rather I must earnestly warn against such accounts, especially the more recent ones; and indeed in the years just past I have met with expositions of the Kantian philosophy in the writings of the Hegelians which actually reach the incredible. How should the minds that in the freshness of youth have been strained and ruined by the nonsense of Hegelism, be still capable of following Kant's profound investigations? They are early accustomed to take the hollowest jingle of words for philosophical thoughts, the most miserable sophisms for acuteness, and silly conceits for dialectic, and their minds are disorganised through the admission of mad combinations of words to which the mind torments and exhausts itself in vain to attach some thought. No Critique of Reason can avail them, no philosophy, they need a *medicina mentis*, first as a sort of purgative, *un petit cours de senscommunologie*, and then one must further see whether, in their case, there can even be any talk of philosophy. The Kantian doctrine then will be sought for in vain anywhere else but in Kant's own works; but these are throughout instructive, even where he errs, even where he fails. In consequence of his originality, it holds good of him in the highest degree, as indeed of all true philosophers, that one can only come to know them from their own works, not from the accounts of others. For the thoughts of any extraordinary intellect cannot stand being filtered through the vulgar mind. Born behind the broad, high, finely-arched brow, from under which shine beaming eyes, they lose all power and life, and appear no longer like

themselves, when removed to the narrow lodging and low roofing of the confined, contracted, thick-walled skull from which dull glances steal directed to personal ends. Indeed we may say that minds of this kind act like an uneven glass, in which everything is twisted and distorted, loses the regularity of its beauty, and becomes a caricature. Only from their authors themselves can we receive philosophical thoughts; therefore whoever feels himself drawn to philosophy must himself seek out its immortal teachers in the still sanctuary of their works. The principal chapters of any one of these true philosophers will afford a thousand times more insight into their doctrines than the heavy and distorted accounts of them that everyday men produce, who are still for the most part deeply entangled in the fashionable philosophy of the time, or in the sentiments of their own minds. But it is astonishing how decidedly the public seizes by preference on these expositions at second-hand. It seems really as if elective affinities were at work here, by virtue of which the common nature is drawn to its like, and therefore will rather hear what a great man has said from one of its own kind. Perhaps this rests on the same principle as that of mutual instruction, according to which children learn best from children.

One word more for the professors of philosophy. I have always been compelled to admire not merely the sagacity, the true and fine tact with which, immediately on its appearance, they recognised my philosophy as something altogether different from and indeed dangerous to their own attempts, or, in popular language, something that would not suit their turn; but also the sure and astute policy by virtue of which they at once discovered the proper procedure with regard to it, the complete harmony with which they applied it, and the persistency with which they have remained faithful to it. This procedure, which further commended itself by the great ease of carrying it out, consists, as is well known, in altogether ignoring and thus in secreting — according to Goethe's malicious phrase, which just means the appropriating of what is of weight and significance. The efficiency of this quiet means is increased by the Corybantic shouts with which those who are at one reciprocally greet the birth of their own spiritual children — shouts which compel the public to look and note the air of importance with which they congratulate themselves on the event. Who can mistake the object of such proceedings? Is there then nothing to oppose to the maxim, *primum vivere, deinde philosophari*? These

gentlemen desire to live, and indeed to live by philosophy. To philosophy they are assigned with their wives and children, and in spite of Petrarch's *povera e nuda vai filosofia*, they have staked everything upon it. Now my philosophy is by no means so constituted that any one can live by it. It lacks the first indispensable requisite of a well-paid professional philosophy, a speculative theology, which — in spite of the troublesome Kant with his Critique of Reason — should and must, it is supposed, be the chief theme of all philosophy, even if it thus takes on itself the task of talking straight on of that of which it can know absolutely nothing. Indeed my philosophy does not permit to the professors the fiction they have so cunningly devised, and which has become so indispensable to them, of a reason that knows, perceives, or apprehends immediately and absolutely. This is a doctrine which it is only necessary to impose upon the reader at starting, in order to pass in the most comfortable manner in the world, as it were in a chariot and four, into that region beyond the possibility of all experience, which Kant has wholly and for ever shut out from our knowledge, and in which are found immediately revealed and most beautifully arranged the fundamental dogmas of modern, Judaising, optimistic Christianity. Now what in the world has my subtle philosophy, deficient as it is in these essential requisites, with no intentional aim, and unable to afford a means of subsistence, whose pole star is truth alone the naked, unrewarded, unbefriended, often persecuted truth, and which steers straight for it without looking to the right hand or the left, — what, I say, has this to do with that *alma mater*, the good, well-to-do university philosophy which, burdened with a hundred aims and a thousand motives, comes on its course cautiously tacking, while it keeps before its eyes at all times the fear of the Lord, the will of the ministry, the laws of the established church, the wishes of the publisher, the attendance of the students, the goodwill of colleagues, the course of current politics, the momentary tendency of the public, and Heaven knows what besides? Or what has my quiet, earnest search for truth in common with the noisy scholastic disputations of the chair and the benches, the inmost motives of which are always personal aims. The two kinds of philosophy are, indeed, radically different. Thus it is that with me there is no compromise and no fellowship, that no one reaps any benefit from my works but the man who seeks the truth alone, and therefore none of the philosophical parties of the day; for they all follow their own aims, while I have only insight into truth to offer, which suits none of these aims,



because it is not modelled after any of them. If my philosophy is to become susceptible of professorial exposition, the times must entirely change. What a pretty thing it would be if a philosophy by which nobody could live were to gain for itself light and air, not to speak of the general ear! This must be guarded against, and all must oppose it as one man. But it is not just such an easy game to controvert and refute; and, moreover, these are mistaken means to employ, because they just direct the attention of the public to the matter, and its taste for the lucubrations of the professors of philosophy might be destroyed by the perusal of my writings. For whoever has tasted of earnest will not relish jest, especially when it is tiresome. Therefore the silent system, so unanimously adopted, is the only right one, and I can only advise them to stick to it and go on with it as long as it will answer, that is, until to ignore is taken to imply ignorance; then there will just be time to turn back. Meanwhile it remains open to every one to pluck out a small feather here and there for his own use, for the superfluity of thoughts at home should not be very oppressive. Thus the ignoring and silent system may hold out a good while, at least the span of time I may have yet to live, whereby much is already won. And if, in the meantime, here and there an indiscreet voice has let itself be heard, it is soon drowned by the loud talking of the professors, who, with important airs, know how to entertain the public with very different things. I advise, however, that the unanimity of procedure should be somewhat more strictly observed, and especially that the young men should be looked after, for they are sometimes so fearfully indiscreet. For even so I cannot guarantee that the commended procedure will last for ever, and cannot answer for the final issue. It is a nice question as to the steering of the public, which, on the whole, is good and tractable. Although we nearly at all times see the Gorgiases and the Hippiases uppermost, although the absurd, as a rule, predominates, and it seems impossible that the voice of the individual can ever penetrate through the chorus of the befooling and the befooled, there yet remains to the genuine works of every age a quite peculiar, silent, slow, and powerful influence; and, as if by a miracle, we see them rise at last out of the turmoil like a balloon that floats up out of the thick atmosphere of this globe into purer regions, where, having once arrived, it remains at rest, and no one can draw it down again.

*Written at Frankfort-on-the-Maine in February 1844.*

**First Book. The World As Idea.**

## **First Aspect. The Idea Subordinated To The Principle Of Sufficient Reason: The Object Of Experience And Science.**

Sors de l'enfance, ami réveille toi!

— *Jean Jacques Rousseau.*

§ 1. "The world is my idea." — this is a truth which holds good for everything that lives and knows, though man alone can bring it into reflective and abstract consciousness. If he really does this, he has attained to philosophical wisdom. It then becomes clear and certain to him that what he knows is not a sun and an earth, but only an eye that sees a sun, a hand that feels an earth; that the world which surrounds him is there only as idea, *i.e.*, only in relation to something else, the consciousness, which is himself. If any truth can be asserted *a priori*, it is this: for it is the expression of the most general form of all possible and thinkable experience: a form which is more general than time, or space, or causality, for they all presuppose it; and each of these, which we have seen to be just so many modes of the principle of sufficient reason, is valid only for a particular class of ideas; whereas the antithesis of object and subject is the common form of all these classes, is that form under which alone any idea of whatever kind it may be, abstract or intuitive, pure or empirical, is possible and thinkable. No truth therefore is more certain, more independent of all others, and less in need of proof than this, that all that exists for knowledge, and therefore this whole world, is only object in relation to subject, perception of a perceiver, in a word, idea. This is obviously true of the past and the future, as well as of the present, of what is farthest off, as of what is near; for it is true of time and space themselves, in which alone these distinctions arise. All that in any way belongs or can belong to the world is inevitably thus conditioned through the subject, and exists only for the subject. The world is idea.

This truth is by no means new. It was implicitly involved in the sceptical reflections from which Descartes started. Berkeley, however, was the first who distinctly enunciated it, and by this he has rendered a permanent service to philosophy, even though the rest of his teaching should not endure. Kant's primary mistake was the neglect of this principle, as is shown in the appendix. How early again this truth was recognised by the wise men of India, appearing indeed as the fundamental tenet of the

Vedânta philosophy ascribed to Vyasa, is pointed out by Sir William Jones in the last of his essays: "On the philosophy of the Asiatics" (Asiatic Researches, vol. iv. p. 164), where he says, "The fundamental tenet of the Vedanta school consisted not in denying the existence of matter, that is, of solidity, impenetrability, and extended figure (to deny which would be lunacy), but in correcting the popular notion of it, and in contending that it has no essence independent of mental perception; that existence and perceptibility are convertible terms." These words adequately express the compatibility of empirical reality and transcendental ideality.

In this first book, then, we consider the world only from this side, only so far as it is idea. The inward reluctance with which any one accepts the world as merely his idea, warns him that this view of it, however true it may be, is nevertheless one-sided, adopted in consequence of some arbitrary abstraction. And yet it is a conception from which he can never free himself. The defectiveness of this view will be corrected in the next book by means of a truth which is not so immediately certain as that from which we start here; a truth at which we can arrive only by deeper research and more severe abstraction, by the separation of what is different and the union of what is identical. This truth, which must be very serious and impressive if not awful to every one, is that a man can also say and must say, "the world is my will."

In this book, however, we must consider separately that aspect of the world from which we start, its aspect as knowable, and therefore, in the meantime, we must, without reserve, regard all presented objects, even our own bodies (as we shall presently show more fully), merely as ideas, and call them merely ideas. By so doing we always abstract from will (as we hope to make clear to every one further on), which by itself constitutes the other aspect of the world. For as the world is in one aspect entirely *idea*, so in another it is entirely *will*. A reality which is neither of these two, but an object in itself (into which the thing in itself has unfortunately dwindled in the hands of Kant), is the phantom of a dream, and its acceptance is an *ignis fatuus* in philosophy.

§ 2. That which knows all things and is known by none is the subject. Thus it is the supporter of the world, that condition of all phenomena, of all objects which is always pre-supposed throughout experience; for all that exists, exists only for the subject. Every one finds himself to be subject, yet only in so far as he knows, not in so far as he is an object of knowledge. But

his body is object, and therefore from this point of view we call it idea. For the body is an object among objects, and is conditioned by the laws of objects, although it is an immediate object. Like all objects of perception, it lies within the universal forms of knowledge, time and space, which are the conditions of multiplicity. The subject, on the contrary, which is always the knower, never the known, does not come under these forms, but is presupposed by them; it has therefore neither multiplicity nor its opposite unity. We never know it, but it is always the knower wherever there is knowledge.

So then the world as idea, the only aspect in which we consider it at present, has two fundamental, necessary, and inseparable halves. The one half is the object, the forms of which are space and time, and through these multiplicity. The other half is the subject, which is not in space and time, for it is present, entire and undivided, in every percipient being. So that any one percipient being, with the object, constitutes the whole world as idea just as fully as the existing millions could do; but if this one were to disappear, then the whole world as idea would cease to be. These halves are therefore inseparable even for thought, for each of the two has meaning and existence only through and for the other, each appears with the other and vanishes with it. They limit each other immediately; where the object begins the subject ends. The universality of this limitation is shown by the fact that the essential and hence universal forms of all objects, space, time, and causality, may, without knowledge of the object, be discovered and fully known from a consideration of the subject, *i.e.*, in Kantian language, they lie *a priori* in our consciousness. That he discovered this is one of Kant's principal merits, and it is a great one. I however go beyond this, and maintain that the principle of sufficient reason is the general expression for all these forms of the object of which we are *a priori* conscious; and that therefore all that we know purely *a priori*, is merely the content of that principle and what follows from it; in it all our certain *a priori* knowledge is expressed. In my essay on the principle of sufficient reason I have shown in detail how every possible object comes under it; that is, stands in a necessary relation to other objects, on the one side as determined, on the other side as determining: this is of such wide application, that the whole existence of all objects, so far as they are objects, ideas and nothing more, may be entirely traced to this their necessary relation to each other, rests only in it, is in fact merely relative; but of this more presently. I have

further shown, that the necessary relation which the principle of sufficient reason expresses generally, appears in other forms corresponding to the classes into which objects are divided, according to their possibility; and again that by these forms the proper division of the classes is tested. I take it for granted that what I said in this earlier essay is known and present to the reader, for if it had not been already said it would necessarily find its place here.

§ 3. The chief distinction among our ideas is that between ideas of perception and abstract ideas. The latter form just one class of ideas, namely concepts, and these are the possession of man alone of all creatures upon earth. The capacity for these, which distinguishes him from all the lower animals, has always been called reason.<sup>5</sup> We shall consider these abstract ideas by themselves later, but, in the first place, we shall speak exclusively of the *ideas of perception*. These comprehend the whole visible world, or the sum total of experience, with the conditions of its possibility. We have already observed that it is a highly important discovery of Kant's, that these very conditions, these forms of the visible world, *i.e.*, the absolutely universal element in its perception, the common property of all its phenomena, space and time, even when taken by themselves and apart from their content, can, not only be thought in the abstract, but also be directly perceived; and that this perception or intuition is not some kind of phantasm arising from constant recurrence in experience, but is so entirely independent of experience that we must rather regard the latter as dependent on it, inasmuch as the qualities of space and time, as they are known in *a priori* perception or intuition, are valid for all possible experience, as rules to which it must invariably conform. Accordingly, in my essay on the principle of sufficient reason, I have treated space and time, because they are perceived as pure and empty of content, as a special and independent class of ideas. This quality of the universal forms of intuition, which was discovered by Kant, that they may be perceived in themselves and apart from experience, and that they may be known as exhibiting those laws on which is founded the infallible science of mathematics, is certainly very important. Not less worthy of remark, however, is this other quality of time and space, that the principle of sufficient reason, which conditions experience as the law of causation and of motive, and thought as the law of the basis of judgment, appears here in quite a special form, to which I have given the name of the ground of being. In time, this is the succession of its

moments, and in space the position of its parts, which reciprocally determine each other *ad infinitum*.

Any one who has fully understood from the introductory essay the complete identity of the content of the principle of sufficient reason in all its different forms, must also be convinced of the importance of the knowledge of the simplest of these forms, as affording him insight into his own inmost nature. This simplest form of the principle we have found to be time. In it each instant is, only in so far as it has effaced the preceding one, its generator, to be itself in turn as quickly effaced. The past and the future (considered apart from the consequences of their content) are empty as a dream, and the present is only the indivisible and unenduring boundary between them. And in all the other forms of the principle of sufficient reason, we shall find the same emptiness, and shall see that not time only but also space, and the whole content of both of them, *i.e.*, all that proceeds from causes and motives, has a merely relative existence, is only through and for another like to itself, *i.e.*, not more enduring. The substance of this doctrine is old: it appears in Heraclitus when he laments the eternal flux of things; in Plato when he degrades the object to that which is ever becoming, but never being; in Spinoza as the doctrine of the mere accidents of the one substance which is and endures. Kant opposes what is thus known as the mere phenomenon to the thing in itself. Lastly, the ancient wisdom of the Indian philosophers declares, “It is Mâyâ, the veil of deception, which blinds the eyes of mortals, and makes them behold a world of which they cannot say either that it is or that it is not: for it is like a dream; it is like the sunshine on the sand which the traveller takes from afar for water, or the stray piece of rope he mistakes for a snake.” (These similes are repeated in innumerable passages of the Vedas and the Puranas.) But what all these mean, and that of which they all speak, is nothing more than what we have just considered — the world as idea subject to the principle of sufficient reason.

§ 4. Whoever has recognised the form of the principle of sufficient reason, which appears in pure time as such, and on which all counting and arithmetical calculation rests, has completely mastered the nature of time. Time is nothing more than that form of the principle of sufficient reason, and has no further significance. Succession is the form of the principle of sufficient reason in time, and succession is the whole nature of time. Further, whoever has recognised the principle of sufficient reason as it

appears in the presentation of pure space, has exhausted the whole nature of space, which is absolutely nothing more than that possibility of the reciprocal determination of its parts by each other, which is called position. The detailed treatment of this, and the formulation in abstract conceptions of the results which flow from it, so that they may be more conveniently used, is the subject of the science of geometry. Thus also, whoever has recognised the law of causation, the aspect of the principle of sufficient reason which appears in what fills these forms (space and time) as objects of perception, that is to say matter, has completely mastered the nature of matter as such, for matter is nothing more than causation, as any one will see at once if he reflects. Its true being is its action, nor can we possibly conceive it as having any other meaning. Only as active does it fill space and time; its action upon the immediate object (which is itself matter) determines that perception in which alone it exists. The consequence of the action of any material object upon any other, is known only in so far as the latter acts upon the immediate object in a different way from that in which it acted before; it consists only of this. Cause and effect thus constitute the whole nature of matter; its true being is its action. (A fuller treatment of this will be found in the essay on the Principle of Sufficient Reason, § 21, p. 77.) The nature of all material things is therefore very appropriately called in German *Wirklichkeit*,<sup>6</sup> a word which is far more expressive than *Realität*. Again, that which is acted upon is always matter, and thus the whole being and essence of matter consists in the orderly change, which one part of it brings about in another part. The existence of matter is therefore entirely relative, according to a relation which is valid only within its limits, as in the case of time and space.

But time and space, each for itself, can be mentally presented apart from matter, whereas matter cannot be so presented apart from time and space. The form which is inseparable from it presupposes space, and the action in which its very existence consists, always imports some change, in other words a determination in time. But space and time are not only, each for itself, presupposed by matter, but a union of the two constitutes its essence, for this, as we have seen, consists in action, *i.e.*, in causation. All the innumerable conceivable phenomena and conditions of things, might be coexistent in boundless space, without limiting each other, or might be successive in endless time without interfering with each other: thus a necessary relation of these phenomena to each other, and a law which



should regulate them according to such a relation, is by no means needful, would not, indeed, be applicable: it therefore follows that in the case of all co-existence in space and change in time, so long as each of these forms preserves for itself its condition and its course without any connection with the other, there can be no causation, and since causation constitutes the essential nature of matter, there can be no matter. But the law of causation receives its meaning and necessity only from this, that the essence of change does not consist simply in the mere variation of things, but rather in the fact that at the *same part of space* there is now *one thing* and then *another*, and at *one* and the same point of time there is *here* one thing and there *another*: only this reciprocal limitation of space and time by each other gives meaning, and at the same time necessity, to a law, according to which change must take place. What is determined by the law of causality is therefore not merely a succession of things in time, but this succession with reference to a definite space, and not merely existence of things in a particular place, but in this place at a different point of time. Change, *i.e.*, variation which takes place according to the law of causality, implies always a determined part of space and a determined part of time together and in union. Thus causality unites space with time. But we found that the whole essence of matter consisted in action, *i.e.*, in causation, consequently space and time must also be united in matter, that is to say, matter must take to itself at once the distinguishing qualities both of space and time, however much these may be opposed to each other, and must unite in itself what is impossible for each of these independently, that is, the fleeting course of time, with the rigid unchangeable perdurance of space: infinite divisibility it receives from both. It is for this reason that we find that co-existence, which could neither be in time alone, for time has no contiguity, nor in space alone, for space has no before, after, or now, is first established through matter. But the co-existence of many things constitutes, in fact, the essence of reality, for through it permanence first becomes possible; for permanence is only knowable in the change of something which is present along with what is permanent, while on the other hand it is only because something permanent is present along with what changes, that the latter gains the special character of change, *i.e.*, the mutation of quality and form in the permanence of substance, that is to say, in matter.<sup>7</sup> If the world were in space alone, it would be rigid and immovable, without succession, without change, without action; but we know that with action, the idea of

matter first appears. Again, if the world were in time alone, all would be fleeting, without persistence, without contiguity, hence without co-existence, and consequently without permanence; so that in this case also there would be no matter. Only through the union of space and time do we reach matter, and matter is the possibility of co-existence, and, through that, of permanence; through permanence again matter is the possibility of the persistence of substance in the change of its states.<sup>8</sup> As matter consists in the union of space and time, it bears throughout the stamp of both. It manifests its origin in space, partly through the form which is inseparable from it, but especially through its persistence (substance), the *a priori* certainty of which is therefore wholly deducible from that of space<sup>9</sup> (for variation belongs to time alone, but in it alone and for itself nothing is persistent). Matter shows that it springs from time by quality (accidents), without which it never exists, and which is plainly always causality, action upon other matter, and therefore change (a time concept). The law of this action, however, always depends upon space and time together, and only thus obtains meaning. The regulative function of causality is confined entirely to the determination of what must occupy *this time and this space*. The fact that we know *a priori* the unalterable characteristics of matter, depends upon this derivation of its essential nature from the forms of our knowledge of which we are conscious *a priori*. These unalterable characteristics are space-occupation, *i.e.*, impenetrability, *i.e.*, causal action, consequently, extension, infinite divisibility, persistence, *i.e.*, indestructibility, and lastly mobility: weight, on the other hand, notwithstanding its universality, must be attributed to *a posteriori* knowledge, although Kant, in his “Metaphysical Introduction to Natural Philosophy,” p. 71 (p. 372 of Rosenkranz’s edition), treats it as knowable *a priori*.

But as the object in general is only for the subject, as its idea, so every special class of ideas is only for an equally special quality in the subject, which is called a faculty of perception. This subjective correlative of time and space in themselves as empty forms, has been named by Kant pure sensibility; and we may retain this expression, as Kant was the first to treat of the subject, though it is not exact, for sensibility presupposes matter. The subjective correlative of matter or of causation, for these two are the same, is understanding, which is nothing more than this. To know causality is its one function, its only power; and it is a great one, embracing much, of

manifold application, yet of unmistakable identity in all its manifestations. Conversely all causation, that is to say, all matter, or the whole of reality, is only for the understanding, through the understanding, and in the understanding. The first, simplest, and ever-present example of understanding is the perception of the actual world. This is throughout knowledge of the cause from the effect, and therefore all perception is intellectual. The understanding could never arrive at this perception, however, if some effect did not become known immediately, and thus serve as a starting-point. But this is the affection of the animal body. So far, then, the animal body is the *immediate object* of the subject; the perception of all other objects becomes possible through it. The changes which every animal body experiences, are immediately known, that is, felt; and as these effects are at once referred to their causes, the perception of the latter as *objects* arises. This relation is no conclusion in abstract conceptions; it does not arise from reflection, nor is it arbitrary, but immediate, necessary, and certain. It is the method of knowing of the pure understanding, without which there could be no perception; there would only remain a dull plant-like consciousness of the changes of the immediate object, which would succeed each other in an utterly unmeaning way, except in so far as they might have a meaning for the will either as pain or pleasure. But as with the rising of the sun the visible world appears, so at one stroke, the understanding, by means of its one simple function, changes the dull, meaningless sensation into perception. What the eye, the ear, or the hand feels, is not perception; it is merely its data. By the understanding passing from the effect to the cause, the world first appears as perception extended in space, varying in respect of form, persistent through all time in respect of matter; for the understanding unites space and time in the idea of matter, that is, causal action. As the world as idea exists only through the understanding, so also it exists only for the understanding. In the first chapter of my essay on "Light and Colour," I have already explained how the understanding constructs perceptions out of the data supplied by the senses; how by comparison of the impressions which the various senses receive from the object, a child arrives at perceptions; how this alone affords the solution of so many phenomena of the senses; the single vision of two eyes, the double vision in the case of a squint, or when we try to look at once at objects which lie at unequal distances behind each other; and all illusion which is produced by a sudden alteration in the organs of sense. But

I have treated this important subject much more fully and thoroughly in the second edition of the essay on “The Principle of Sufficient Reason,” § 21. All that is said there would find its proper place here, and would therefore have to be said again; but as I have almost as much disinclination to quote myself as to quote others, and as I am unable to explain the subject better than it is explained there, I refer the reader to it, instead of quoting it, and take for granted that it is known.

The process by which children, and persons born blind who have been operated upon, learn to see, the single vision of the double sensation of two eyes, the double vision and double touch which occur when the organs of sense have been displaced from their usual position, the upright appearance of objects while the picture on the retina is upside down, the attributing of colour to the outward objects, whereas it is merely an inner function, a division through polarisation, of the activity of the eye, and lastly the stereoscope, — all these are sure and incontrovertible evidence that perception is not merely of the senses, but intellectual — that is, *pure knowledge through the understanding of the cause from the effect*, and that, consequently, it presupposes the law of causality, in a knowledge of which all perception — that is to say all experience, by virtue of its primary and only possibility, depends. The contrary doctrine that the law of causality results from experience, which was the scepticism of Hume, is first refuted by this. For the independence of the knowledge of causality of all experience, — that is, its *a priori* character — can only be deduced from the dependence of all experience upon it; and this deduction can only be accomplished by proving, in the manner here indicated, and explained in the passages referred to above, that the knowledge of causality is included in perception in general, to which all experience belongs, and therefore in respect of experience is completely *a priori*, does not presuppose it, but is presupposed by it as a condition. This, however, cannot be deduced in the manner attempted by Kant, which I have criticised in the essay on “The Principle of Sufficient Reason,” § 23.

§ 5. It is needful to guard against the grave error of supposing that because perception arises through the knowledge of causality, the relation of subject and object is that of cause and effect. For this relation subsists only between the immediate object and objects known indirectly, thus always between objects alone. It is this false supposition that has given rise to the foolish controversy about the reality of the outer world; a controversy

in which dogmatism and scepticism oppose each other, and the former appears, now as realism, now as idealism. Realism treats the object as cause, and the subject as its effect. The idealism of Fichte reduces the object to the effect of the subject. Since however, and this cannot be too much emphasised, there is absolutely no relation according to the principle of sufficient reason between subject and object, neither of these views could be proved, and therefore scepticism attacked them both with success. Now, just as the law of causality precedes perception and experience as their condition, and therefore cannot (as Hume thought) be derived from them, so object and subject precede all knowledge, and hence the principle of sufficient reason in general, as its first condition; for this principle is merely the form of all objects, the whole nature and possibility of their existence as phenomena: but the object always presupposes the subject; and therefore between these two there can be no relation of reason and consequent. My essay on the principle of sufficient reason accomplishes just this: it explains the content of that principle as the essential form of every object — that is to say, as the universal nature of all objective existence, as something which pertains to the object as such; but the object as such always presupposes the subject as its necessary correlative; and therefore the subject remains always outside the province in which the principle of sufficient reason is valid. The controversy as to the reality of the outer world rests upon this false extension of the validity of the principle of sufficient reason to the subject also, and starting with this mistake it can never understand itself. On the one side realistic dogmatism, looking upon the idea as the effect of the object, desires to separate these two, idea and object, which are really one, and to assume a cause quite different from the idea, an object in itself, independent of the subject, a thing which is quite inconceivable; for even as object it presupposes subject, and so remains its idea. Opposed to this doctrine is scepticism, which makes the same false presupposition that in the idea we have only the effect, never the cause, therefore never real being; that we always know merely the action of the object. But this object, it supposes, may perhaps have no resemblance whatever to its effect, may indeed have been quite erroneously received as the cause, for the law of causality is first to be gathered from experience, and the reality of experience is then made to rest upon it. Thus both of these views are open to the correction, firstly, that object and idea are the same; secondly, that the true being of the object of perception is its action, that the reality of the

thing consists in this, and the demand for an existence of the object outside the idea of the subject, and also for an essence of the actual thing different from its action, has absolutely no meaning, and is a contradiction: and that the knowledge of the nature of the effect of any perceived object, exhausts such an object itself, so far as it is object, *i.e.*, idea, for beyond this there is nothing more to be known. So far then, the perceived world in space and time, which makes itself known as causation alone, is entirely real, and is throughout simply what it appears to be, and it appears wholly and without reserve as idea, bound together according to the law of causality. This is its empirical reality. On the other hand, all causality is in the understanding alone, and for the understanding. The whole actual, that is, active world is determined as such through the understanding, and apart from it is nothing. This, however, is not the only reason for altogether denying such a reality of the outer world as is taught by the dogmatist, who explains its reality as its independence of the subject. We also deny it, because no object apart from a subject can be conceived without contradiction. The whole world of objects is and remains idea, and therefore wholly and for ever determined by the subject; that is to say, it has transcendental ideality. But it is not therefore illusion or mere appearance; it presents itself as that which it is, idea, and indeed as a series of ideas of which the common bond is the principle of sufficient reason. It is according to its inmost meaning quite comprehensible to the healthy understanding, and speaks a language quite intelligible to it. To dispute about its reality can only occur to a mind perverted by over-subtilty, and such discussion always arises from a false application of the principle of sufficient reason, which binds all ideas together of whatever kind they may be, but by no means connects them with the subject, nor yet with a something which is neither subject nor object, but only the ground of the object; an absurdity, for only objects can be and always are the ground of objects. If we examine more closely the source of this question as to the reality of the outer world, we find that besides the false application of the principle of sufficient reason generally to what lies beyond its province, a special confusion of its forms is also involved; for that form which it has only in reference to concepts or abstract ideas, is applied to perceived ideas, real objects; and a ground of knowing is demanded of objects, whereas they can have nothing but a ground of being. Among the abstract ideas, the concepts united in the judgment, the principle of sufficient reason appears in such a way that each of these has its worth, its validity, and its whole

existence, here called *truth*, simply and solely through the relation of the judgment to something outside of it, its ground of knowledge, to which there must consequently always be a return. Among real objects, ideas of perception, on the other hand, the principle of sufficient reason appears not as the principle of the ground of *knowing*, but of *being*, as the law of causality: every real object has paid its debt to it, inasmuch as it has come to be, *i.e.*, has appeared as the effect of a cause. The demand for a ground of knowing has therefore here no application and no meaning, but belongs to quite another class of things. Thus the world of perception raises in the observer no question or doubt so long as he remains in contact with it: there is here neither error nor truth, for these are confined to the province of the abstract — the province of reflection. But here the world lies open for sense and understanding; presents itself with naive truth as that which it really is — ideas of perception which develop themselves according to the law of causality.

So far as we have considered the question of the reality of the outer world, it arises from a confusion which amounts even to a misunderstanding of reason itself, and therefore thus far, the question could be answered only by explaining its meaning. After examination of the whole nature of the principle of sufficient reason, of the relation of subject and object, and the special conditions of sense perception, the question itself disappeared because it had no longer any meaning. There is, however, one other possible origin of this question, quite different from the purely speculative one which we have considered, a specially empirical origin, though the question is always raised from a speculative point of view, and in this form it has a much more comprehensible meaning than it had in the first. We have dreams; may not our whole life be a dream? or more exactly: is there a sure criterion of the distinction between dreams and reality? between phantasms and real objects? The assertion that what is dreamt is less vivid and distinct than what we actually perceive is not to the point, because no one has ever been able to make a fair comparison of the two; for we can only compare the recollection of a dream with the present reality. Kant answers the question thus: "The connection of ideas among themselves, according to the law of causality, constitutes the difference between real life and dreams." But in dreams, as well as in real life, everything is connected individually at any rate, in accordance with the principle of sufficient reason in all its forms, and this connection is broken only between life and dreams,

or between one dream and another. Kant's answer therefore could only run thus: — the *long* dream (life) has throughout complete connection according to the principle of sufficient reason; it has not this connection, however, with *short* dreams, although each of these has in itself the same connection: the bridge is therefore broken between the former and the latter, and on this account we distinguish them.

But to institute an inquiry according to this criterion, as to whether something was dreamt or seen, would always be difficult and often impossible. For we are by no means in a position to trace link by link the causal connection between any experienced event and the present moment, but we do not on that account explain it as dreamt. Therefore in real life we do not commonly employ that method of distinguishing between dreams and reality. The only sure criterion by which to distinguish them is in fact the entirely empirical one of awaking, through which at any rate the causal connection between dreamed events and those of waking life, is distinctly and sensibly broken off. This is strongly supported by the remark of Hobbes in the second chapter of *Leviathan*, that we easily mistake dreams for reality if we have unintentionally fallen asleep without taking off our clothes, and much more so when it also happens that some undertaking or design fills all our thoughts, and occupies our dreams as well as our waking moments. We then observe the awaking just as little as the falling asleep, dream and reality run together and become confounded. In such a case there is nothing for it but the application of Kant's criterion; but if, as often happens, we fail to establish by means of this criterion, either the existence of causal connection with the present, or the absence of such connection, then it must for ever remain uncertain whether an event was dreamt or really happened. Here, in fact, the intimate relationship between life and dreams is brought out very clearly, and we need not be ashamed to confess it, as it has been recognised and spoken of by many great men. The Vedas and Puranas have no better simile than a dream for the whole knowledge of the actual world, which they call the web of *Mâyâ*, and they use none more frequently. Plato often says that men live only in a dream; the philosopher alone strives to awake himself. Pindar says (ii. η. 135): σκίας οναρ ανθρωπος (*umbræ somnium homo*), and Sophocles: —

Ὦνω γυν ἡμας ουδεν οντας αλλο, πλην

Σιδωλ' ὅσοιπερ ζωμεν, ἡ κουφην σκιαν. — Ajax, 125.



(Nos enim, quicumque vivimus, nihil aliud esse comperio quam simulacra et levem umbram.) Beside which most worthily stands Shakespeare: —

“We are such stuff

As dreams are made on, and our little life

Is rounded with a sleep.” — *Tempest*, Act iv. Sc. 1.

Lastly, Calderon was so deeply impressed with this view of life that he sought to embody it in a kind of metaphysical drama— “Life a Dream.”

After these numerous quotations from the poets, perhaps I also may be allowed to express myself by a metaphor. Life and dreams are leaves of the same book. The systematic reading of this book is real life, but when the reading hours (that is, the day) are over, we often continue idly to turn over the leaves, and read a page here and there without method or connection: often one we have read before, sometimes one that is new to us, but always in the same book. Such an isolated page is indeed out of connection with the systematic study of the book, but it does not seem so very different when we remember that the whole continuous perusal begins and ends just as abruptly, and may therefore be regarded as merely a larger single page.

Thus although individual dreams are distinguished from real life by the fact that they do not fit into that continuity which runs through the whole of experience, and the act of awaking brings this into consciousness, yet that very continuity of experience belongs to real life as its form, and the dream on its part can point to a similar continuity in itself. If, therefore, we consider the question from a point of view external to both, there is no distinct difference in their nature, and we are forced to concede to the poets that life is a long dream.

Let us turn back now from this quite independent empirical origin of the question of the reality of the outer world, to its speculative origin. We found that this consisted, first, in the false application of the principle of sufficient reason to the relation of subject and object; and secondly, in the confusion of its forms, inasmuch as the principle of sufficient reason of knowing was extended to a province in which the principle of sufficient reason of being is valid. But the question could hardly have occupied philosophers so constantly if it were entirely devoid of all real content, and if some true thought and meaning did not lie at its heart as its real source. Accordingly, we must assume that when the element of truth that lies at the bottom of the

question first came into reflection and sought its expression, it became involved in these confused and meaningless forms and problems. This at least is my opinion, and I think that the true expression of that inmost meaning of the question, which it failed to find, is this: — What is this world of perception besides being my idea? Is that of which I am conscious only as idea, exactly like my own body, of which I am doubly conscious, in one aspect as *idea*, in another aspect as *will*? The fuller explanation of this question and its answer in the affirmative, will form the content of the second book, and its consequences will occupy the remaining portion of this work.

§ 6. For the present, however, in this first book we consider everything merely as idea, as object for the subject. And our own body, which is the starting-point for each of us in our perception of the world, we consider, like all other real objects, from the side of its knowableness, and in this regard it is simply an idea. Now the consciousness of every one is in general opposed to the explanation of objects as mere ideas, and more especially to the explanation of our bodies as such; for the thing in itself is known to each of us immediately in so far as it appears as our own body; but in so far as it objectifies itself in the other objects of perception, it is known only indirectly. But this abstraction, this one-sided treatment, this forcible separation of what is essentially and necessarily united, is only adopted to meet the demands of our argument; and therefore the disinclination to it must, in the meantime, be suppressed and silenced by the expectation that the subsequent treatment will correct the one-sidedness of the present one, and complete our knowledge of the nature of the world.

At present therefore the body is for us immediate object; that is to say, that idea which forms the starting-point of the subject's knowledge; because the body, with its immediately known changes, precedes the application of the law of causality, and thus supplies it with its first data. The whole nature of matter consists, as we have seen, in its causal action. But cause and effect exist only for the understanding, which is nothing but their subjective correlative. The understanding, however, could never come into operation if there were not something else from which it starts. This is simple sensation — the immediate consciousness of the changes of the body, by virtue of which it is immediate object. Thus the possibility of knowing the world of perception depends upon two conditions; the first, *objectively expressed*, is the power of material things to act upon each other, to produce changes in

each other, without which common quality of all bodies no perception would be possible, even by means of the sensibility of the animal body. And if we wish to express this condition *subjectively* we say: The understanding first makes perception possible; for the law of causality, the possibility of effect and cause, springs only from the understanding, and is valid only for it, and therefore the world of perception exists only through and for it. The second condition is the sensibility of animal bodies, or the quality of being immediate objects of the subject which certain bodies possess. The mere modification which the organs of sense sustain from without through their specific affections, may here be called ideas, so far as these affections produce neither pain nor pleasure, that is, have no immediate significance for the will, and are yet perceived, exist therefore only for *knowledge*. Thus far, then, I say that the body is immediately *known*, is *immediate object*. But the conception of object is not to be taken here in its fullest sense, for through this immediate knowledge of the body, which precedes the operation of the understanding, and is mere sensation, our own body does not exist specifically as *object*, but first the material things which affect it: for all knowledge of an object proper, of an idea perceived in space, exists only through and for the understanding; therefore not before, but only subsequently to its operation. Therefore the body as object proper, that is, as an idea perceived in space, is first known indirectly, like all other objects, through the application of the law of causality to the action of one of its parts upon another, as, for example, when the eye sees the body or the hand touches it. Consequently the form of our body does not become known to us through mere feeling, but only through knowledge, only in idea; that is to say, only in the brain does our own body first come to appear as extended, articulate, organic. A man born blind receives this idea only little by little from the data afforded by touch. A blind man without hands could never come to know his own form; or at the most could infer and construct it little by little from the effects of other bodies upon him. If, then, we call the body an immediate object, we are to be understood with these reservations.

In other respects, then, according to what has been said, all animal bodies are immediate objects; that is, starting-points for the subject which always knows and therefore is never known in its perception of the world. Thus the distinctive characteristic of animal life is knowledge, with movement following on motives, which are determined by knowledge, just as movement following on stimuli is the distinctive characteristic of plant-

life. Unorganised matter, however, has no movement except such as is produced by causes properly so called, using the term in its narrowest sense. All this I have thoroughly discussed in my essay on the principle of sufficient reason, § 20, in the “Ethics,” first essay, iii., and in my work on Sight and Colour, § 1, to which I therefore refer.

It follows from what has been said, that all animals, even the least developed, have understanding; for they all know objects, and this knowledge determines their movements as motive. Understanding is the same in all animals and in all men; it has everywhere the same simple form; knowledge of causality, transition from effect to cause, and from cause to effect, nothing more; but the degree of its acuteness, and the extension of the sphere of its knowledge varies enormously, with innumerable gradations from the lowest form, which is only conscious of the causal connection between the immediate object and objects affecting it — that is to say, perceives a cause as an object in space by passing to it from the affection which the body feels, to the higher grades of knowledge of the causal connection among objects known indirectly, which extends to the understanding of the most complicated system of cause and effect in nature. For even this high degree of knowledge is still the work of the understanding, not of the reason. The abstract concepts of the reason can only serve to take up the objective connections which are immediately known by the understanding, to make them permanent for thought, and to relate them to each other; but reason never gives us immediate knowledge. Every force and law of nature, every example of such forces and laws, must first be immediately known by the understanding, must be apprehended through perception before it can pass into abstract consciousness for reason. Hooke’s discovery of the law of gravitation, and the reference of so many important phenomena to this one law, was the work of immediate apprehension by the understanding; and such also was the proof of Newton’s calculations, and Lavoisier’s discovery of acids and their important function in nature, and also Goethe’s discovery of the origin of physical colours. All these discoveries are nothing more than a correct immediate passage from the effect to the cause, which is at once followed by the recognition of the ideality of the force of nature which expresses itself in all causes of the same kind; and this complete insight is just an example of that single function of the understanding, by which an animal perceives as an object in space the cause which affects its body, and differs

from such a perception only in degree. Every one of these great discoveries is therefore, just like perception, an operation of the understanding, an immediate intuition, and as such the work of an instant, an *apperçu*, a flash of insight. They are not the result of a process of abstract reasoning, which only serves to make the immediate knowledge of the understanding permanent for thought by bringing it under abstract concepts, *i.e.*, it makes knowledge distinct, it puts us in a position to impart it and explain it to others. The keenness of the understanding in apprehending the causal relations of objects which are known indirectly, does not find its only application in the sphere of natural science (though all the discoveries in that sphere are due to it), but it also appears in practical life. It is then called good sense or prudence, as in its other application it is better called acuteness, penetration, sagacity. More exactly, good sense or prudence signifies exclusively understanding at the command of the will. But the limits of these conceptions must not be too sharply defined, for it is always that one function of the understanding by means of which all animals perceive objects in space, which, in its keenest form, appears now in the phenomena of nature, correctly inferring the unknown causes from the given effects, and providing the material from which the reason frames general rules as laws of nature; now inventing complicated and ingenious machines by adapting known causes to desired effects; now in the sphere of motives, seeing through and frustrating intrigues and machinations, or fitly disposing the motives and the men who are susceptible to them, setting them in motion, as machines are moved by levers and wheels, and directing them at will to the accomplishment of its ends. Deficiency of understanding is called *stupidity*. It is just *dulness in applying the law of causality*, incapacity for the immediate apprehension of the concatenations of causes and effects, motives and actions. A stupid person has no insight into the connection of natural phenomena, either when they follow their own course, or when they are intentionally combined, *i.e.*, are applied to machinery. Such a man readily believes in magic and miracles. A stupid man does not observe that persons, who apparently act independently of each other, are really in collusion; he is therefore easily mystified, and outwitted; he does not discern the hidden motives of proffered advice or expressions of opinion, &c. But it is always just one thing that he lacks — keenness, rapidity, ease in applying the law of causality, *i.e.*, power of understanding. The greatest, and, in this reference, the most instructive example of

stupidity I ever met with, was the case of a totally imbecile boy of about eleven years of age, in an asylum. He had reason, because he spoke and comprehended, but in respect of understanding he was inferior to many of the lower animals. Whenever I visited him he noticed an eye-glass which I wore round my neck, and in which the window of the room and the tops of the trees beyond were reflected: on every occasion he was greatly surprised and delighted with this, and was never tired of looking at it with astonishment, because he did not understand the immediate causation of reflection.

While the difference in degree of the acuteness of the understanding, is very great between man and man, it is even greater between one species of animal and another. In all species of animals, even those which are nearest to plants, there is at least as much understanding as suffices for the inference from the effect on the immediate object, to the indirectly known object as its cause, *i.e.*, sufficient for perception, for the apprehension of an object. For it is this that constitutes them animals, as it gives them the power of movement following on motives, and thereby the power of seeking for food, or at least of seizing it; whereas plants have only movement following on stimuli, whose direct influence they must await, or else decay, for they cannot seek after them nor appropriate them. We marvel at the great sagacity of the most developed species of animals, such as the dog, the elephant, the monkey or the fox, whose cleverness has been so admirably sketched by Buffon. From these most sagacious animals, we can pretty accurately determine how far understanding can go without reason, *i.e.*, abstract knowledge embodied in concepts. We could not find this out from ourselves, for in us understanding and reason always reciprocally support each other. We find that the manifestation of understanding in animals is sometimes above our expectation, and sometimes below it. On the one hand, we are surprised at the sagacity of the elephant, who, after crossing many bridges during his journey in Europe, once refused to go upon one, because he thought it was not strong enough to bear his weight, though he saw the rest of the party, consisting of men and horses, go upon it as usual. On the other hand, we wonder that the intelligent Orang-outangs, who warm themselves at a fire they have found, do not keep it alight by throwing wood on it; a proof that this requires a deliberation which is not possible without abstract concepts. It is clear that the knowledge of cause and effect, as the universal form of understanding, belongs to all animals *a*

*priori*, because to them as to us it is the prior condition of all perception of the outer world. If any one desires additional proof of this, let him observe, for example, how a young dog is afraid to jump down from a table, however much he may wish to do so, because he foresees the effect of the weight of his body, though he has not been taught this by experience. In judging of the understanding of animals, we must guard against ascribing to it the manifestations of instinct, a faculty which is quite distinct both from understanding and reason, but the action of which is often very analogous to the combined action of the two. We cannot, however, discuss this here; it will find its proper place in the second book, when we consider the harmony or so-called teleology of nature: and the 27th chapter of the supplementary volume is expressly devoted to it.

Deficiency of *understanding* we call *stupidity*: deficiency in the application of *reason* to practice we shall recognise later as *foolishness*: deficiency of judgment as *silliness*, and lastly, partial or entire deficiency of *memory* as *madness*. But each of these will be considered in its own place. That which is correctly known by *reason* is *truth*, that is, an abstract judgment on sufficient grounds (Essay on the Principle of Sufficient Reason, § 29 and following paragraphs); that which is correctly known by *understanding* is *reality*, that is correct inference from effect on the immediate object to its cause. *Error* is opposed to *truth*, as deception of the *reason*: *illusion* is opposed to *reality*, as deception of the *understanding*. The full discussion of all this will be found in the first chapter of my essay on Light and Colour. Illusion takes place when the same effect may be attributed to two causes, of which one occurs very frequently, the other very seldom; the understanding having no data to decide which of these two causes operates in any particular case, — for their effects are exactly alike, — always assumes the presence of the commoner cause, and as the activity of the understanding is not reflective and discursive, but direct and immediate, this false cause appears before us as a perceived object, whereas it is merely illusion. I have explained in the essay referred to, how in this way double sight and double feeling take place if the organs of sense are brought into an unusual position; and have thus given an incontrovertible proof that perception exists only through and for the understanding. As additional examples of such illusions or deceptions of the understanding, we may mention the broken appearance of a stick dipped in water; the reflections in spherical mirrors, which, when the surface is convex appear

somewhat behind it, and when the surface is concave appear a long way in front of it. To this class also belongs the apparently greater extension of the moon at the horizon than at the zenith. This appearance is not optical, for as the micrometre proves, the eye receives the image of the moon at the zenith, at an even greater angle of vision than at the horizon. The mistake is due to the understanding, which assumes that the cause of the feebler light of the moon and of all stars at the horizon is that they are further off, thus treating them as earthly objects, according to the laws of atmospheric perspective, and therefore it takes the moon to be much larger at the horizon than at the zenith, and also regards the vault of heaven as more extended or flattened out at the horizon. The same false application of the laws of atmospheric perspective leads us to suppose that very high mountains, whose summits alone are visible in pure transparent air, are much nearer than they really are, and therefore not so high as they are; for example, Mont Blanc seen from Salenche. All such illusions are immediately present to us as perceptions, and cannot be dispelled by any arguments of the reason. Reason can only prevent error, that is, a judgment on insufficient grounds, by opposing to it a truth; as for example, the abstract knowledge that the cause of the weaker light of the moon and the stars at the horizon is not greater distance, but the denser atmosphere; but in all the cases we have referred to, the illusion remains in spite of every abstract explanation. For the understanding is in itself, even in the case of man, irrational, and is completely and sharply distinguished from the reason, which is a faculty of knowledge that belongs to man alone. The reason can only *know*; perception remains free from its influence and belongs to the understanding alone.

§ 7. With reference to our exposition up to this point, it must be observed that we did not start either from the object or the subject, but from the idea, which contains and presupposes them both; for the antithesis of object and subject is its primary, universal and essential form. We have therefore first considered this form as such; then (though in this respect reference has for the most part been made to the introductory essay) the subordinate forms of time, space and causality. The latter belong exclusively to the *object*, and yet, as they are essential to the object *as such*, and as the object again is essential to the subject *as such*, they may be discovered from the subject, *i.e.*, they may be known *a priori*, and so far they are to be regarded as the common limits of both. But all these forms



may be referred to one general expression, the principle of sufficient reason, as we have explained in the introductory essay.

This procedure distinguishes our philosophical method from that of all former systems. For they all start either from the object or from the subject, and therefore seek to explain the one from the other, and this according to the principle of sufficient reason. We, on the contrary, deny the validity of this principle with reference to the relation of subject and object, and confine it to the object. It may be thought that the philosophy of identity, which has appeared and become generally known in our own day, does not come under either of the alternatives we have named, for it does not start either from the subject or from the object, but from the absolute, known through “intellectual intuition,” which is neither object nor subject, but the identity of the two. I will not venture to speak of this revered identity, and this absolute, for I find myself entirely devoid of all “intellectual intuition.” But as I take my stand merely on those manifestoes of the “intellectual intuiiter” which are open to all, even to profane persons like myself, I must yet observe that this philosophy is not to be excepted from the alternative errors mentioned above. For it does not escape these two opposite errors in spite of its identity of subject and object, which is not thinkable, but only “intellectually intuitable,” or to be experienced by a losing of oneself in it. On the contrary, it combines them both in itself; for it is divided into two parts, firstly, transcendental idealism, which is just Fichte’s doctrine of the *ego*, and therefore teaches that the object is produced by the subject, or evolved out of it in accordance with the principle of sufficient reason; secondly, the philosophy of nature, which teaches that the subject is produced little by little from the object, by means of a method called construction, about which I understand very little, yet enough to know that it is a process according to various forms of the principle of sufficient reason. The deep wisdom itself which that construction contains, I renounce; for as I entirely lack “intellectual intuition,” all those expositions which presuppose it must for me remain as a book sealed with seven seals. This is so truly the case that, strange to say, I have always been unable to find anything at all in this doctrine of profound wisdom but atrocious and wearisome bombast.

The systems starting from the object had always the whole world of perception and its constitution as their problem; yet the object which they take as their starting-point is not always this whole world of perception, nor

its fundamental element, matter. On the contrary, a division of these systems may be made, based on the four classes of possible objects set forth in the introductory essay. Thus Thales and the Ionic school, Democritus, Epicurus, Giordano Bruno, and the French materialists, may be said to have started from the first class of objects, the real world: Spinoza (on account of his conception of substance, which is purely abstract, and exists only in his definition) and, earlier, the Eleatics, from the second class, the abstract conception: the Pythagoreans and Chinese philosophy in Y-King, from the third class, time, and consequently number: and, lastly, the schoolmen, who teach a creation out of nothing by the act of will of an extra-mundane personal being, started from the fourth class of objects, the act of will directed by knowledge.

Of all systems of philosophy which start from the object, the most consistent, and that which may be carried furthest, is simple materialism. It regards matter, and with it time and space, as existing absolutely, and ignores the relation to the subject in which alone all this really exists. It then lays hold of the law of causality as a guiding principle or clue, regarding it as a self-existent order (or arrangement) of things, *veritas aeterna*, and so fails to take account of the understanding, in which and for which alone causality is. It seeks the primary and most simple state of matter, and then tries to develop all the others from it; ascending from mere mechanism, to chemism, to polarity, to the vegetable and to the animal kingdom. And if we suppose this to have been done, the last link in the chain would be animal sensibility — that is knowledge — which would consequently now appear as a mere modification or state of matter produced by causality. Now if we had followed materialism thus far with clear ideas, when we reached its highest point we would suddenly be seized with a fit of the inextinguishable laughter of the Olympians. As if waking from a dream, we would all at once become aware that its final result — knowledge, which it reached so laboriously, was presupposed as the indispensable condition of its very starting-point, mere matter; and when we imagined that we thought matter, we really thought only the subject that perceives matter; the eye that sees it, the hand that feels it, the understanding that knows it. Thus the tremendous *petitio principii* reveals itself unexpectedly; for suddenly the last link is seen to be the starting-point, the chain a circle, and the materialist is like Baron Münchhausen who, when swimming in water on horseback, drew the horse into the air with his legs, and himself also by his cue. The

fundamental absurdity of materialism is that it starts from the *objective*, and takes as the ultimate ground of explanation something *objective*, whether it be matter in the abstract, simply as it is *thought*, or after it has taken form, is empirically given — that is to say, is *substance*, the chemical element with its primary relations. Some such thing it takes, as existing absolutely and in itself, in order that it may evolve organic nature and finally the knowing subject from it, and explain them adequately by means of it; whereas in truth all that is objective is already determined as such in manifold ways by the knowing subject through its forms of knowing, and presupposes them; and consequently it entirely disappears if we think the subject away. Thus materialism is the attempt to explain what is immediately given us by what is given us indirectly. All that is objective, extended, active — that is to say, all that is material — is regarded by materialism as affording so solid a basis for its explanation, that a reduction of everything to this can leave nothing to be desired (especially if in ultimate analysis this reduction should resolve itself into action and reaction). But we have shown that all this is given indirectly and in the highest degree determined, and is therefore merely a relatively present object, for it has passed through the machinery and manufactory of the brain, and has thus come under the forms of space, time and causality, by means of which it is first presented to us as extended in space and ever active in time. From such an indirectly given object, materialism seeks to explain what is immediately given, the idea (in which alone the object that materialism starts with exists), and finally even the will from which all those fundamental forces, that manifest themselves, under the guidance of causes, and therefore according to law, are in truth to be explained. To the assertion that thought is a modification of matter we may always, with equal right, oppose the contrary assertion that all matter is merely the modification of the knowing subject, as its idea. Yet the aim and ideal of all natural science is at bottom a consistent materialism. The recognition here of the obvious impossibility of such a system establishes another truth which will appear in the course of our exposition, the truth that all science properly so called, by which I understand systematic knowledge under the guidance of the principle of sufficient reason, can never reach its final goal, nor give a complete and adequate explanation: for it is not concerned with the inmost nature of the world, it cannot get beyond the idea; indeed, it really teaches nothing more than the relation of one idea to another.

Every science must start from two principal data. One of these is always the principle of sufficient reason in some form or another, as organon; the other is its special object as problem. Thus, for example, geometry has space as problem, and the ground of existence in space as organon. Arithmetic has time as problem, and the ground of existence in time as organon. Logic has the combination of concepts as such as problem, and the ground of knowledge as organon. History has the past acts of men treated as a whole as problem, and the law of human motives as organon. Natural science has matter as problem, and the law of causality as organon. Its end and aim is therefore, by the guidance of causality, to refer all possible states of matter to other states, and ultimately to one single state; and again to deduce these states from each other, and ultimately from one single state. Thus two states of matter stand over against each other in natural science as extremes: that state in which matter is furthest from being the immediate object of the subject, and that state in which it is most completely such an immediate object, *i.e.*, the most dead and crude matter, the primary element, as the one extreme, and the human organism as the other. Natural science as chemistry seeks for the first, as physiology for the second. But as yet neither extreme has been reached, and it is only in the intermediate ground that something has been won. The prospect is indeed somewhat hopeless. The chemists, under the presupposition that the qualitative division of matter is not, like quantitative division, an endless process, are always trying to decrease the number of the elements, of which there are still about sixty; and if they were to succeed in reducing them to two, they would still try to find the common root of these. For, on the one hand, the law of homogeneity leads to the assumption of a primary chemical state of matter, which alone belongs to matter as such, and precedes all others which are not essentially matter as such, but merely contingent forms and qualities. On the other hand, we cannot understand how this one state could ever experience a chemical change, if there did not exist a second state to affect it. Thus the same difficulty appears in chemistry which Epicurus met with in mechanics. For he had to show how the first atom departed from the original direction of its motion. Indeed this contradiction, which develops entirely of itself and can neither be escaped nor solved, might quite properly be set up as a chemical *antinomy*. Thus an antinomy appears in the one extreme of natural science, and a corresponding one will appear in the other. There is just as little hope of reaching this opposite extreme of natural

science, for we see ever more clearly that what is chemical can never be referred to what is mechanical, nor what is organic to what is chemical or electrical. Those who in our own day are entering anew on this old, misleading path, will soon slink back silent and ashamed, as all their predecessors have done before them. We shall consider this more fully in the second book. Natural science encounters the difficulties which we have cursorily mentioned, in its own province. Regarded as philosophy, it would further be materialism; but this, as we have seen, even at its birth, has death in its heart, because it ignores the subject and the forms of knowledge, which are presupposed, just as much in the case of the crudest matter, from which it desires to start, as in that of the organism, at which it desires to arrive. For, “no object without a subject,” is the principle which renders all materialism for ever impossible. Suns and planets without an eye that sees them, and an understanding that knows them, may indeed be spoken of in words, but for the idea, these words are absolutely meaningless. On the other hand, the law of causality and the treatment and investigation of nature which is based upon it, lead us necessarily to the conclusion that, in time, each more highly organised state of matter has succeeded a cruder state: so that the lower animals existed before men, fishes before land animals, plants before fishes, and the unorganised before all that is organised; that, consequently, the original mass had to pass through a long series of changes before the first eye could be opened. And yet, the existence of this whole world remains ever dependent upon the first eye that opened, even if it were that of an insect. For such an eye is a necessary condition of the possibility of knowledge, and the whole world exists only in and for knowledge, and without it is not even thinkable. The world is entirely idea, and as such demands the knowing subject as the supporter of its existence. This long course of time itself, filled with innumerable changes, through which matter rose from form to form till at last the first percipient creature appeared, — this whole time itself is only thinkable in the identity of a consciousness whose succession of ideas, whose form of knowing it is, and apart from which, it loses all meaning and is nothing at all. Thus we see, on the one hand, the existence of the whole world necessarily dependent upon the first conscious being, however undeveloped it may be; on the other hand, this conscious being just as necessarily entirely dependent upon a long chain of causes and effects which have preceded it, and in which it itself appears as a small link. These two

contradictory points of view, to each of which we are led with the same necessity, we might again call an *antinomy* in our faculty of knowledge, and set it up as the counterpart of that which we found in the first extreme of natural science. The fourfold antinomy of Kant will be shown, in the criticism of his philosophy appended to this volume, to be a groundless delusion. But the necessary contradiction which at last presents itself to us here, finds its solution in the fact that, to use Kant's phraseology, time, space, and causality do not belong to the thing-in-itself, but only to its phenomena, of which they are the form; which in my language means this: The objective world, the world as idea, is not the only side of the world, but merely its outward side; and it has an entirely different side — the side of its inmost nature — its kernel — the thing-in-itself. This we shall consider in the second book, calling it after the most immediate of its objective manifestations — will. But the world as idea, with which alone we are here concerned, only appears with the opening of the first eye. Without this medium of knowledge it cannot be, and therefore it was not before it. But without that eye, that is to say, outside of knowledge, there was also no before, no time. Thus time has no beginning, but all beginning is in time. Since, however, it is the most universal form of the knowable, in which all phenomena are united together through causality, time, with its infinity of past and future, is present in the beginning of knowledge. The phenomenon which fills the first present must at once be known as causally bound up with and dependent upon a sequence of phenomena which stretches infinitely into the past, and this past itself is just as truly conditioned by this first present, as conversely the present is by the past. Accordingly the past out of which the first present arises, is, like it, dependent upon the knowing subject, without which it is nothing. It necessarily happens, however, that this first present does not manifest itself as the first, that is, as having no past for its parent, but as being the beginning of time. It manifests itself rather as the consequence of the past, according to the principle of existence in time. In the same way, the phenomena which fill this first present appear as the effects of earlier phenomena which filled the past, in accordance with the law of causality. Those who like mythological interpretations may take the birth of Kronos (χρονος), the youngest of the Titans, as a symbol of the moment here referred to at which time appears, though, indeed it has no beginning; for with him, since he ate his father, the crude productions of

heaven and earth cease, and the races of gods and men appear upon the scene.

This explanation at which we have arrived by following the most consistent of the philosophical systems which start from the object, materialism, has brought out clearly the inseparable and reciprocal dependence of subject and object, and at the same time the inevitable antithesis between them. And this knowledge leads us to seek for the inner nature of the world, the thing-in-itself, not in either of the two elements of the idea, but in something quite distinct from it, and which is not encumbered with such a fundamental and insoluble antithesis.

Opposed to the system we have explained, which starts from the object in order to derive the subject from it, is the system which starts from the subject and tries to derive the object from it. The first of these has been of frequent and common occurrence throughout the history of philosophy, but of the second we find only one example, and that a very recent one; the “philosophy of appearance” of J. G. Fichte. In this respect, therefore, it must be considered; little real worth or inner meaning as the doctrine itself had. It was indeed for the most part merely a delusion, but it was delivered with an air of the deepest earnestness, with sustained loftiness of tone and zealous ardour, and was defended with eloquent polemic against weak opponents, so that it was able to present a brilliant exterior and seemed to be something. But the genuine earnestness which keeps truth always steadfastly before it as its goal, and is unaffected by any external influences, was entirely wanting to Fichte, as it is to all philosophers who, like him, concern themselves with questions of the day. In his case, indeed, it could not have been otherwise. A man becomes a philosopher by reason of a certain perplexity, from which he seeks to free himself. This is Plato’s θαυμαζειν, which he calls a *μαλα φιλοσοφικον παθος*. But what distinguishes the false philosopher from the true is this: the perplexity of the latter arises from the contemplation of the world itself, while that of the former results from some book, some system of philosophy which is before him. Now Fichte belongs to the class of the false philosophers. He was made a philosopher by Kant’s doctrine of the thing-in-itself, and if it had not been for this he would probably have pursued entirely different ends, with far better results, for he certainly possessed remarkable rhetorical talent. If he had only penetrated somewhat deeply into the meaning of the book that made him a philosopher, “The Critique of Pure Reason,” he

would have understood that its principal teaching about mind is this. The principle of sufficient reason is not, as all scholastic philosophy maintains, a *veritas aeterna* — that is to say, it does not possess an unconditioned validity before, outside of, and above the world. It is relative and conditioned, and valid only in the sphere of phenomena, and thus it may appear as the necessary nexus of space and time, or as the law of causality, or as the law of the ground of knowledge. The inner nature of the world, the thing-in-itself can never be found by the guidance of this principle, for all that it leads to will be found to be dependent and relative and merely phenomenal, not the thing-in-itself. Further, it does not concern the subject, but is only the form of objects, which are therefore not things-in-themselves. The subject must exist along with the object, and the object along with the subject, so that it is impossible that subject and object can stand to each other in a relation of reason and consequent. But Fichte did not take up the smallest fragment of all this. All that interested him about the matter was that the system started from the subject. Now Kant had chosen this procedure in order to show the fallacy of the prevalent systems, which started from the object, and through which the object had come, to be regarded as a thing-in-itself. Fichte, however, took this departure from the subject for the really important matter, and like all imitators, he imagined that in going further than Kant he was surpassing him. Thus he repeated the fallacy with regard to the subject, which all the previous dogmatism had perpetrated with regard to the object, and which had been the occasion of Kant's "Critique". Fichte then made no material change, and the fundamental fallacy, the assumption of a relation of reason and consequent between object and subject, remained after him as it was before him. The principle of sufficient reason possessed as before an unconditioned validity, and the only difference was that the thing-in-itself was now placed in the subject instead of, as formerly, in the object. The entire relativity of both subject and object, which proves that the thing-in-itself, or the inner nature of the world, is not to be sought in them at all, but outside of them, and outside everything else that exists merely relatively, still remained unknown. Just as if Kant had never existed, the principle of sufficient reason is to Fichte precisely what it was to all the schoolmen, a *veritas aeterna*. As an eternal fate reigned over the gods of old, so these *aeternæ veritates*, these metaphysical, mathematical and metalogical truths, and in the case of some, the validity of the moral law also, reigned over the God of



the schoolmen. These *veritates* alone were independent of everything, and through their necessity both God and the world existed. According to the principle of sufficient reason, as such a *veritas aeterna*, the *ego* is for Fichte the ground of the world, or of the *non-ego*, the object, which is just its consequent, its creation. He has therefore taken good care to avoid examining further or limiting the principle of sufficient reason. If, however, it is thought I should specify the form of the principle of sufficient reason under the guidance of which Fichte derives the *non-ego* from the *ego*, as a spider spins its web out of itself, I find that it is the principle of sufficient reason of existence in space: for it is only as referred to this that some kind of meaning and sense can be attached to the laboured deductions of the way in which the *ego* produces and fabricates the *non-ego* from itself, which form the content of the most senseless, and consequently the most wearisome book that was ever written. This philosophy of Fichte, otherwise not worth mentioning, is interesting to us only as the tardy expression of the converse of the old materialism. For materialism was the most consistent system starting from the object, as this is the most consistent system starting from the subject. Materialism overlooked the fact that, with the simplest object, it assumed the subject also; and Fichte overlooked the fact that with the subject (whatever he may call it) he assumed the object also, for no subject is thinkable without an object. Besides this he forgot that all *a priori* deduction, indeed all demonstration in general, must rest upon some necessity, and that all necessity is based on the principle of sufficient reason, because to be necessary, and to follow from given grounds are convertible conceptions.<sup>10</sup> But the principle of sufficient reason is just the universal form of the object as such. Thus it is in the object, but is not valid before and outside of it; it first produces the object and makes it appear in conformity with its regulative principle. We see then that the system which starts from the subject contains the same fallacy as the system, explained above, which starts from the object; it begins by assuming what it proposes to deduce, the necessary correlative of its starting-point.

The method of our own system is *toto genere* distinct from these two opposite misconceptions, for we start neither from the object nor from the subject, but from the *idea*, as the first fact of consciousness. Its first essential, fundamental form is the antithesis of subject and object. The form of the object again is the principle of sufficient reason in its various forms. Each of these reigns so absolutely in its own class of ideas that, as we have

seen, when the special form of the principle of sufficient reason which governs any class of ideas is known, the nature of the whole class is known also: for the whole class, as idea, is no more than this form of the principle of sufficient reason itself; so that time itself is nothing but the principle of existence in it, *i.e.*, succession; space is nothing but the principle of existence in it, *i.e.*, position; matter is nothing but causality; the concept (as will appear immediately) is nothing but relation to a ground of knowledge. This thorough and consistent relativity of the world as idea, both according to its universal form (subject and object), and according to the form which is subordinate to this (the principle of sufficient reason) warns us, as we said before, to seek the inner nature of the world in an aspect of it which is *quite different and quite distinct from the idea*; and in the next book we shall find this in a fact which is just as immediate to every living being as the idea.

But we must first consider that class of ideas which belongs to man alone. The matter of these is the concept, and the subjective correlative is reason, just as the subjective correlative of the ideas we have already considered was understanding and sensibility, which are also to be attributed to all the lower animals.<sup>11</sup>

§ 8. As from the direct light of the sun to the borrowed light of the moon, we pass from the immediate idea of perception, which stands by itself and is its own warrant, to reflection, to the abstract, discursive concepts of the reason, which obtain their whole content from knowledge of perception, and in relation to it. As long as we continue simply to perceive, all is clear, firm, and certain. There are neither questions nor doubts nor errors; we desire to go no further, can go no further; we find rest in perceiving, and satisfaction in the present. Perception suffices for itself, and therefore what springs purely from it, and remains true to it, for example, a genuine work of art, can never be false, nor can it be discredited through the lapse of time, for it does not present an opinion but the thing itself. But with abstract knowledge, with reason, doubt and error appear in the theoretical, care and sorrow in the practical. In the idea of perception, illusion may at moments take the place of the real; but in the sphere of abstract thought, error may reign for a thousand years, impose its yoke upon whole nations, extend to the noblest impulses of humanity, and, by the help of its slaves and its dupes, may chain and fetter those whom it cannot deceive. It is the enemy against which the wisest men of all times have waged unequal war, and

only what they have won from it has become the possession of mankind. Therefore it is well to draw attention to it at once, as we already tread the ground to which its province belongs. It has often been said that we ought to follow truth even although no utility can be seen in it, because it may have indirect utility which may appear when it is least expected; and I would add to this, that we ought to be just as anxious to discover and to root out all error even when no harm is anticipated from it, because its mischief may be very indirect, and may suddenly appear when we do not expect it, for all error has poison at its heart. If it is mind, if it is knowledge, that makes man the lord of creation, there can be no such thing as harmless error, still less venerable and holy error. And for the consolation of those who in any way and at any time may have devoted strength and life to the noble and hard battle against error, I cannot refrain from adding that, so long as truth is absent, error will have free play, as owls and bats in the night; but sooner would we expect to see the owls and the bats drive back the sun in the eastern heavens, than that any truth which has once been known and distinctly and fully expressed, can ever again be so utterly vanquished and overcome that the old error shall once more reign undisturbed over its wide kingdom. This is the power of truth; its conquest is slow and laborious, but if once the victory be gained it can never be wrested back again.

Besides the ideas we have as yet considered, which, according to their construction, could be referred to time, space, and matter, if we consider them with reference to the object, or to pure sensibility and understanding (*i.e.*, knowledge of causality), if we consider them with reference to the subject, another faculty of knowledge has appeared in man alone of all earthly creatures, an entirely new consciousness, which, with very appropriate and significant exactness, is called *reflection*. For it is in fact derived from the knowledge of perception, and is a reflected appearance of it. But it has assumed a nature fundamentally different. The forms of perception do not affect it, and even the principle of sufficient reason which reigns over all objects has an entirely different aspect with regard to it. It is just this new, more highly endowed, consciousness, this abstract reflex of all that belongs to perception in that conception of the reason which has nothing to do with perception, that gives to man that thoughtfulness which distinguishes his consciousness so entirely from that of the lower animals, and through which his whole behaviour upon earth is so different from that

of his irrational fellow-creatures. He far surpasses them in power and also in suffering. They live in the present alone, he lives also in the future and the past. They satisfy the needs of the moment, he provides by the most ingenious preparations for the future, yea for days that he shall never see. They are entirely dependent on the impression of the moment, on the effect of the perceptible motive; he is determined by abstract conceptions independent of the present. Therefore he follows predetermined plans, he acts from maxims, without reference to his surroundings or the accidental impression of the moment. Thus, for example, he can make with composure deliberate preparations for his own death, he can dissemble past finding out, and can carry his secret with him to the grave; lastly, he has an actual choice between several motives; for only in the abstract can such motives, present together in consciousness, afford the knowledge with regard to themselves, that the one excludes the other, and can thus measure themselves against each other with reference to their power over the will. The motive that overcomes, in that it decides the question at issue, is the deliberate determinant of the will, and is a sure indication of its character. The brute, on the other hand, is determined by the present impression; only the fear of present compulsion can constrain its desires, until at last this fear has become custom, and as such continues to determine it; this is called training. The brute feels and perceives; man, in addition to this, *thinks* and *knows*: both *will*. The brute expresses its feelings and dispositions by gestures and sounds; man communicates his thought to others, or, if he wishes, he conceals it, by means of speech. Speech is the first production, and also the necessary organ of his reason. Therefore in Greek and Italian, speech and reason are expressed by the same word; ὁ λόγος, *il discorso*. *Vernunft* is derived from *vernehmen*, which is not a synonym for the verb to hear, but signifies the consciousness of the meaning of thoughts communicated in words. It is by the help of language alone that reason accomplishes its most important achievements, — the united action of several individuals, the planned co-operation of many thousands, civilisation, the state; also science, the storing up of experience, the uniting of common properties in one concept, the communication of truth, the spread of error, thoughts and poems, dogmas and superstitions. The brute first knows death when it dies, but man draws consciously nearer to it every hour that he lives; and this makes life at times a questionable good even to him who has not recognised this character of constant annihilation in the

whole of life. Principally on this account man has philosophies and religions, though it is uncertain whether the qualities we admire most in his conduct, voluntary rectitude and nobility of feeling, were ever the fruit of either of them. As results which certainly belong only to them, and as productions of reason in this sphere, we may refer to the marvellous and monstrous opinions of philosophers of various schools, and the extraordinary and sometimes cruel customs of the priests of different religions.

It is the universal opinion of all times and of all nations that these manifold and far-reaching achievements spring from a common principle, from that peculiar intellectual power which belongs distinctively to man and which has been called reason, ὁ λογος, το λογιστικον, το λογιμον, *ratio*. Besides this, no one finds any difficulty in recognising the manifestations of this faculty, and in saying what is rational and what is irrational, where reason appears as distinguished from the other faculties and qualities of man, or lastly, in pointing out what, on account of the want of reason, we must never expect even from the most sensible brute. The philosophers of all ages may be said to be on the whole at one about this general knowledge of reason, and they have also given prominence to several very important manifestations of it; such as, the control of the emotions and passions, the capacity for drawing conclusions and formulating general principles, even such as are true prior to all experience, and so forth. Still all their explanations of the peculiar nature of reason are wavering, not clearly defined, discursive, without unity and concentration; now laying stress on one manifestation, now on another, and therefore often at variance with each other. Besides this, many start from the opposition between reason and revelation, a distinction which is unknown to philosophy, and which only increases confusion. It is very remarkable that up till now no philosopher has referred these manifold expressions of reason to one simple function which would be recognised in them all, from which they would all be explained, and which would therefore constitute the real inner nature of reason. It is true that the excellent Locke in the “Essay on the Human Understanding” (Book II., ch. xi., §§ 10 and 11), very rightly refers to general concepts as the characteristic which distinguishes man from the brutes, and Leibnitz quotes this with full approval in the “Nouveaux Essais sur l’Entendement Humaine” (Book II., ch. xi., §§ 10 and 11.) But when Locke (in Book IV., ch. xvii., §§ 2 and 3) comes to the special explanation

of reason he entirely loses sight of this simple, primary characteristic, and he also falls into a wavering, undetermined, incomplete account of mangled and derivative manifestations of it. Leibnitz also, in the corresponding part of his work, behaves in a similar manner, only with more confusion and indistinctness. In the Appendix, I have fully considered how Kant confused and falsified the conception of the nature of reason. But whoever will take the trouble to go through in this reference the mass of philosophical writing which has appeared since Kant, will find out, that just as the faults of princes must be expiated by whole nations, the errors of great minds extend their influence over whole generations, and even over centuries; they grow and propagate themselves, and finally degenerate into monstrosities. All this arises from the fact that, as Berkeley says, "Few men think; yet all will have opinions."

The understanding has only one function — immediate knowledge of the relation of cause and effect. Yet the perception of the real world, and all common sense, sagacity, and inventiveness, however multifarious their applications may be, are quite clearly seen to be nothing more than manifestations of that one function. So also the reason has one function; and from it all the manifestations of reason we have mentioned, which distinguish the life of man from that of the brutes, may easily be explained. The application or the non-application of this function is all that is meant by what men have everywhere and always called rational and irrational.<sup>12</sup>

§ 9. Concepts form a distinct class of ideas, existing only in the mind of man, and entirely different from the ideas of perception which we have considered up till now. We can therefore never attain to a sensuous and, properly speaking, evident knowledge of their nature, but only to a knowledge which is abstract and discursive. It would, therefore, be absurd to demand that they should be verified in experience, if by experience is meant the real external world, which consists of ideas of perception, or that they should be brought before the eyes or the imagination like objects of perception. They can only be thought, not perceived, and only the effects which men accomplish through them are properly objects of experience. Such effects are language, preconceived and planned action and science, and all that results from these. Speech, as an object of outer experience, is obviously nothing more than a very complete telegraph, which communicates arbitrary signs with the greatest rapidity and the finest distinctions of difference. But what do these signs mean? How are they

interpreted? When some one speaks, do we at once translate his words into pictures of the fancy, which instantaneously flash upon us, arrange and link themselves together, and assume form and colour according to the words that are poured forth, and their grammatical inflections? What a tumult there would be in our brains while we listened to a speech, or to the reading of a book? But what actually happens is not this at all. The meaning of a speech is, as a rule, immediately grasped, accurately and distinctly taken in, without the imagination being brought into play. It is reason which speaks to reason, keeping within its own province. It communicates and receives abstract conceptions, ideas that cannot be presented in perceptions, which are framed once for all, and are relatively few in number, but which yet encompass, contain, and represent all the innumerable objects of the actual world. This itself is sufficient to prove that the lower animals can never learn to speak or comprehend, although they have the organs of speech and ideas of perception in common with us. But because words represent this perfectly distinct class of ideas, whose subjective correlative is reason, they are without sense and meaning for the brutes. Thus language, like every other manifestation which we ascribe to reason, and like everything which distinguishes man from the brutes, is to be explained from this as its one simple source — conceptions, abstract ideas which cannot be presented in perception, but are general, and have no individual existence in space and time. Only in single cases do we pass from the conception to the perception, do we construct images as *representatives of concepts* in perception, to which, however, they are never adequate. These cases are fully discussed in the essay on the principle of sufficient reason, § 28, and therefore I shall not repeat my explanation here. It may be compared, however, with what is said by Hume in the twelfth of his “Philosophical Essays,” p. 244, and by Herder in the “Metacritik,” pt. i. p. 274 (an otherwise worthless book). The Platonic idea, the possibility of which depends upon the union of imagination and reason, is the principal subject of the third book of this work.

Although concepts are fundamentally different from ideas of perception, they stand in a necessary relation to them, without which they would be nothing. This relation therefore constitutes the whole nature and existence of concepts. Reflection is the necessary copy or repetition of the originally presented world of perception, but it is a special kind of copy in an entirely different material. Thus concepts may quite properly be called ideas of ideas. The principle of sufficient reason has here also a special form. Now



we have seen that the form under which the principle of sufficient reason appears in a class of ideas always constitutes and exhausts the whole nature of the class, so far as it consists of ideas, so that time is throughout succession, and nothing more; space is throughout position, and nothing more; matter is throughout causation, and nothing more. In the same way the whole nature of concepts, or the class of abstract ideas, consists simply in the relation which the principle of sufficient reason expresses in them; and as this is the relation to the ground of knowledge, the whole nature of the abstract idea is simply and solely its relation to another idea, which is its ground of knowledge. This, indeed, may, in the first instance, be a concept, an abstract idea, and this again may have only a similar abstract ground of knowledge; but the chain of grounds of knowledge does not extend *ad infinitum*; it must end at last in a concept which has its ground in knowledge of perception; for the whole world of reflection rests on the world of perception as its ground of knowledge. Hence the class of abstract ideas is in this respect distinguished from other classes; in the latter the principle of sufficient reason always demands merely a relation to another idea of the *same* class, but in the case of abstract ideas, it at last demands a relation to an idea of *another* class.

Those concepts which, as has just been pointed out, are not immediately related to the world of perception, but only through the medium of one, or it may be several other concepts, have been called by preference *abstracta*, and those which have their ground immediately in the world of perception have been called *concreta*. But this last name is only loosely applicable to the concepts denoted by it, for they are always merely *abstracta*, and not ideas of perception. These names, which have originated in a very dim consciousness of the distinctions they imply, may yet, with this explanation, be retained. As examples of the first kind of concepts, *i.e.*, *abstracta* in the fullest sense, we may take “relation,” “virtue,” “investigation,” “beginning,” and so on. As examples of the second kind, loosely called *concreta*, we may take such concepts as “man,” “stone,” “horse,” &c. If it were not a somewhat too pictorial and therefore absurd simile, we might very appropriately call the latter the ground floor, and the former the upper stories of the building of reflection.<sup>13</sup>

It is not, as is commonly supposed, an essential characteristic of a concept that it should contain much under it, that is to say, that many ideas of perception, or it may be other abstract ideas, should stand to it in the



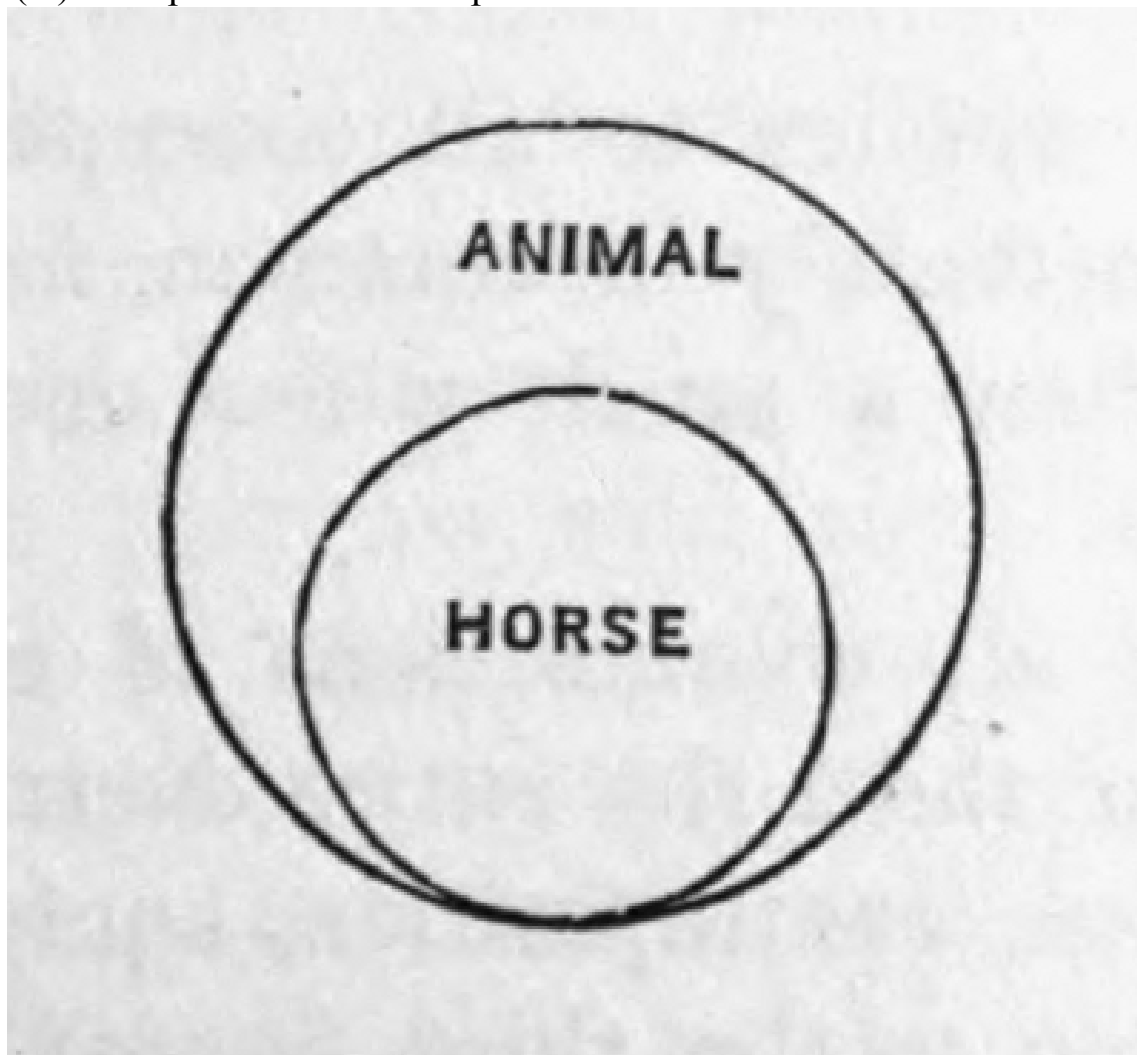
relation of its ground of knowledge, *i.e.*, be thought through it. This is merely a derived and secondary characteristic, and, as a matter of fact, does not always exist, though it must always exist potentially. This characteristic arises from the fact that a concept is an idea of an idea, *i.e.*, its whole nature consists in its relation to another idea; but as it is not this idea itself, which is generally an idea of perception and therefore belongs to quite a different class, the latter may have temporal, spacial, and other determinations, and in general many relations which are not thought along with it in the concept. Thus we see that several ideas which are different in unessential particulars may be thought by means of one concept, *i.e.*, may be brought under it. Yet this power of embracing several things is not an essential but merely an accidental characteristic of the concept. There may be concepts through which only one real object is thought, but which are nevertheless abstract and general, by no means capable of presentation individually and as perceptions. Such, for example, is the conception which any one may have of a particular town which he only knows from geography; although only this one town is thought under it, it might yet be applied to several towns differing in certain respects. We see then that a concept is not general because of being abstracted from several objects; but conversely, because generality, that is to say, non-determination of the particular, belongs to the concept as an abstract idea of the reason, different things can be thought by means of the same one.

It follows from what has been said that every concept, just because it is abstract and incapable of presentation in perception, and is therefore not a completely determined idea, has what is called extension or sphere, even in the case in which only one real object exists that corresponds to it. Now we always find that the sphere of one concept has something in common with the sphere of other concepts. That is to say, part of what is thought under one concept is the same as what is thought under other concepts; and conversely, part of what is thought under these concepts is the same as what is thought under the first; although, if they are really different concepts, each of them, or at least one of them, contains something which the other does not contain; this is the relation in which every subject stands to its predicate. The recognition of this relation is called judgment. The representation of these spheres by means of figures in space, is an exceedingly happy idea. It first occurred to Gottfried Plouquet, who used squares for the purpose. Lambert, although later than him, used only lines,

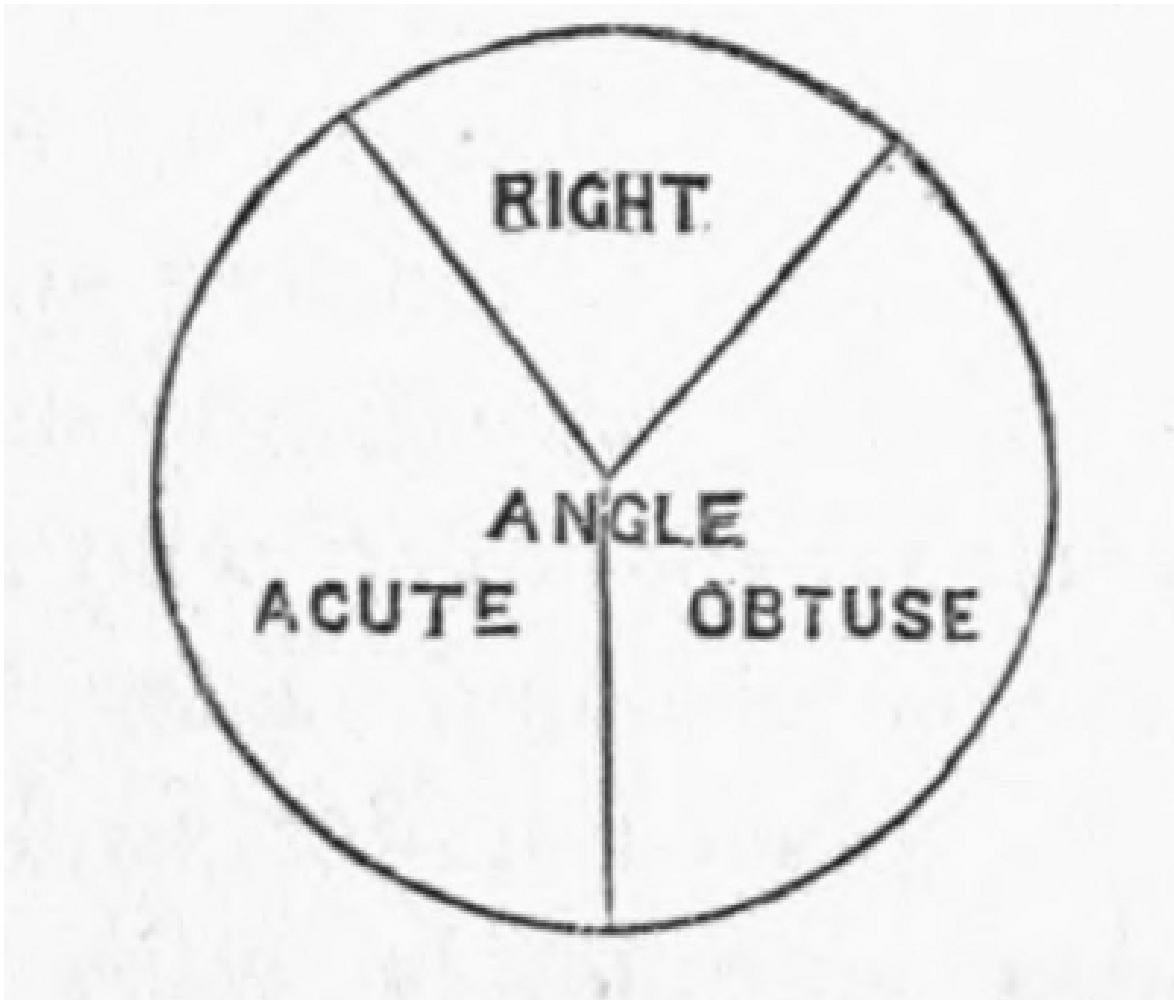
which he placed under each other. Euler carried out the idea completely with circles. Upon what this complete analogy between the relations of concepts, and those of figures in space, ultimately rests, I am unable to say. It is, however, a very fortunate circumstance for logic that all the relations of concepts, according to their possibility, *i.e.*, *a priori*, may be made plain in perception by the use of such figures, in the following way: —

(1.) The spheres of two concepts coincide: for example the concept of necessity and the concept of following from given grounds, in the same way the concepts of *Ruminantia* and *Bisulca* (ruminating and cloven-hoofed animals), also those of vertebrate and red-blooded animals (although there might be some doubt about this on account of the annelida): they are convertible concepts. Such concepts are represented by a single circle which stands for either of them.

(2.) The sphere of one concept includes that of the other.

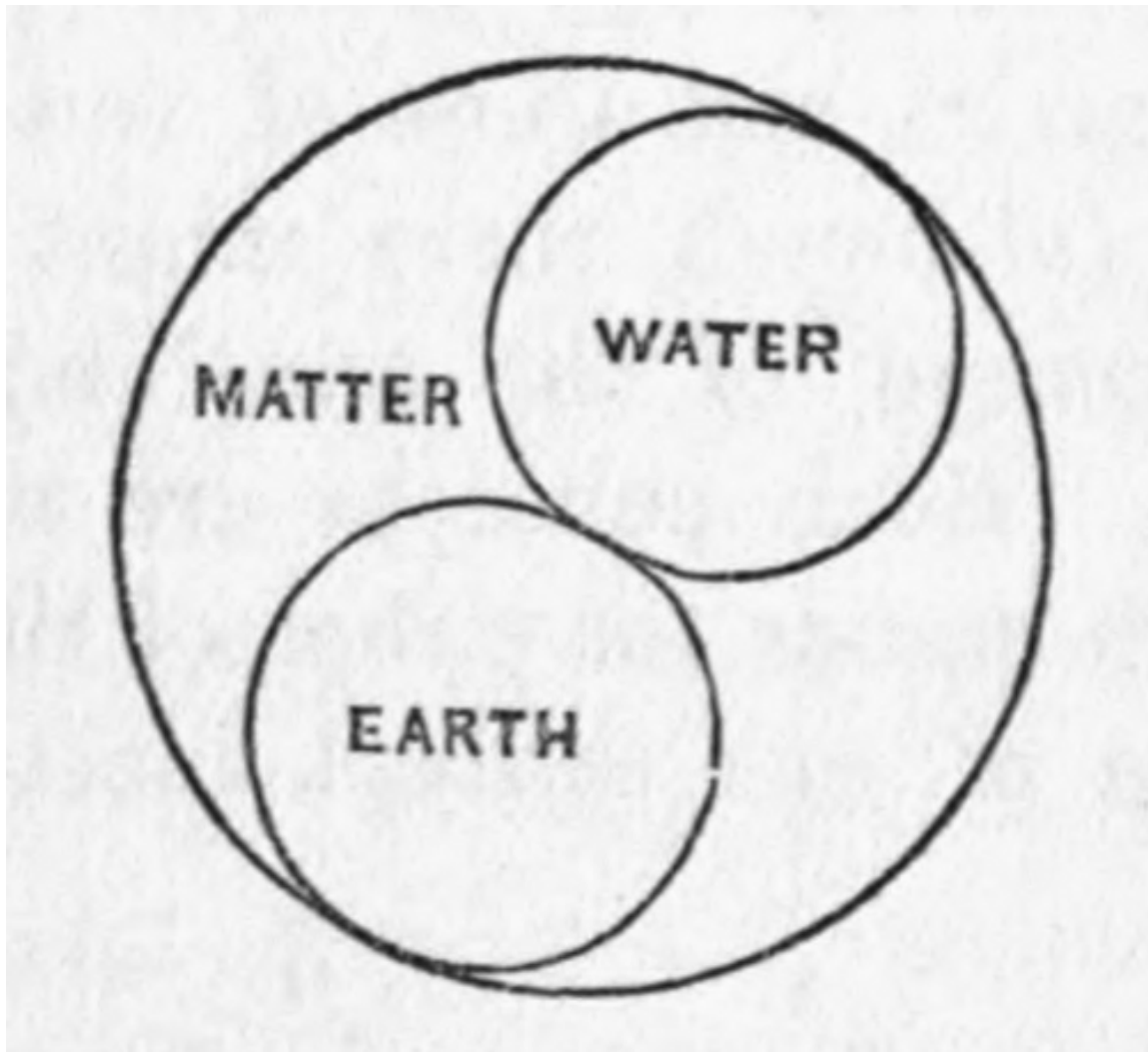


(3.) A sphere includes two or more spheres which exclude each other and fill it.



(4.) Two spheres include each a part of the other.

(5.) Two spheres lie in a third, but do not fill it.



This last case applies to all concepts whose spheres have nothing immediately in common, for there is always a third sphere, often a much wider one, which includes both.

To these cases all combinations of concepts may be referred, and from them the entire doctrine of the judgment, its conversion, contraposition, equipollence, disjunction (this according to the third figure) may be deduced. From these also may be derived the properties of the judgment, upon which Kant based his pretended categories of the understanding, with the exception however of the hypothetical form, which is not a combination of concepts, but of judgments. A full account is given in the Appendix of "Modality," and indeed of every property of judgments on which the categories are founded.

With regard to the possible combinations of concepts which we have given, it has only further to be remarked that they may also be combined

with each other in many ways. For example, the fourth figure with the second. Only if one sphere, which partly or wholly contains another, is itself contained in a third sphere, do these together exemplify the syllogism in the first figure, *i.e.*, that combination of judgments, by means of which it is known that a concept which is partly or wholly contained in another concept, is also contained in a third concept, which again contains the first: and also, conversely, the negation; the pictorial representation of which can, of course, only be two connected spheres which do not lie within a third sphere. If many spheres are brought together in this way we get a long train of syllogisms. This schematism of concepts, which has already been fairly well explained in more than one textbook, may be used as the foundation of the doctrine of the judgment, and indeed of the whole syllogistic theory, and in this way the treatment of both becomes very easy and simple. Because, through it, all syllogistic rules may be seen in their origin, and may be deduced and explained. It is not necessary, however, to load the memory with these rules, as logic is never of practical use, but has only a theoretical interest for philosophy. For although it may be said that logic is related to rational thinking as thorough-bass is to music, or less exactly, as ethics is to virtue, or æsthetics to art; we must yet remember that no one ever became an artist by the study of æsthetics; that a noble character was never formed by the study of ethics; that long before Rameau, men composed correctly and beautifully, and that we do not need to know thorough-bass in order to detect discords: and just as little do we need to know logic in order to avoid being misled by fallacies. Yet it must be conceded that thorough-bass is of the greatest use in the practice of musical composition, although it may not be necessary for the understanding of it; and indeed æsthetics and even ethics, though in a much less degree, and for the most part negatively, may be of some use in practice, so that we cannot deny them all practical worth, but of logic even this much cannot be conceded. It is nothing more than the knowledge in the abstract of what every one knows in the concrete. Therefore we call in the aid of logical rules, just as little to enable us to construct a correct argument as to prevent us from consenting to a false one, and the most learned logician lays aside the rules of logic altogether in his actual thought. This may be explained in the following way. Every science is a system of general and therefore abstract truths, laws, and rules with reference to a special class of objects. The individual case coming under these laws is determined in accordance with this general knowledge, which

is valid once for all; because such application of the general principle is far easier than the exhaustive investigation of the particular case; for the general abstract knowledge which has once been obtained is always more within our reach than the empirical investigation of the particular case. With logic, however, it is just the other way. It is the general knowledge of the mode of procedure of the reason expressed in the form of rules. It is reached by the introspection of reason, and by abstraction from all content. But this mode of procedure is necessary and essential to reason, so that it will never depart from it if left to itself. It is, therefore, easier and surer to let it proceed itself according to its nature in each particular case, than to present to it the knowledge abstracted from this procedure in the form of a foreign and externally given law. It is easier, because, while in the case of all other sciences, the general rule is more within our reach than the investigation of the particular case taken by itself; with the use of reason, on the contrary, its necessary procedure in a given case is always more within our reach than the general rule abstracted from it; for that which thinks in us is reason itself. It is surer, because a mistake may more easily occur in such abstract knowledge, or in its application, than that a process of reason should take place which would run contrary to its essence and nature. Hence arises the remarkable fact, that while in other sciences the particular case is always proved by the rule, in logic, on the contrary, the rule must always be proved from the particular case; and even the most practised logician, if he remark that in some particular case he concludes otherwise than the rule prescribes, will always expect to find a mistake in the rule rather than in his own conclusion. To desire to make practical use of logic means, therefore, to desire to derive with unspeakable trouble, from general rules, that which is immediately known with the greatest certainty in the particular case. It is just as if a man were to consult mechanics as to the motion of his body, and physiology as to his digestion; and whoever has learnt logic for practical purposes is like him who would teach a beaver to make its own dam. Logic is, therefore, without practical utility; but it must nevertheless be retained, because it has philosophical interest as the special knowledge of the organisation and action of reason. It is rightly regarded as a definite, self-subsisting, self-contained, complete, and thoroughly safe discipline; to be treated scientifically for itself alone and independently of everything else, and therefore to be studied at the universities. But it has its real value, in relation to philosophy as a whole, in the inquiry into the nature of

knowledge, and indeed of rational and abstract knowledge. Therefore the exposition of logic should not have so much the form of a practical science, should not contain merely naked arbitrary rules for the correct formation of the judgment, the syllogism, &c., but should rather be directed to the knowledge of the nature of reason and the concept, and to the detailed investigation of the principle of sufficient reason of knowing. For logic is only a paraphrase of this principle, and, more exactly, only of that exemplification of it in which the ground that gives truth to the judgment is neither empirical nor metaphysical, but logical or metalogical. Besides the principle of sufficient reason of knowing, it is necessary to take account of the three remaining fundamental laws of thought, or judgments of metalogical truth, so nearly related to it; and out of these the whole science of reason grows. The nature of thought proper, that is to say, of the judgment and the syllogism, must be exhibited in the combination of the spheres of concepts, according to the analogy of the special schema, in the way shown above; and from all this the rules of the judgment and the syllogism are to be deduced by construction. The only practical use we can make of logic is in a debate, when we can convict our antagonist of his intentional fallacies, rather than of his actual mistakes, by giving them their technical names. By thus throwing into the background the practical aim of logic, and bringing out its connection with the whole scheme of philosophy as one of its chapters, we do not think that we shall make the study of it less prevalent than it is just now. For at the present day every one who does not wish to remain uncultured, and to be numbered with the ignorant and incompetent multitude, must study speculative philosophy. For the nineteenth century is a philosophical age, though by this we do not mean either that it has philosophy, or that philosophy governs it, but rather that it is ripe for philosophy, and, therefore, stands in need of it. This is a sign of a high degree of civilisation, and indeed, is a definite stage in the culture of the ages.<sup>14</sup>

Though logic is of so little practical use, it cannot be denied that it was invented for practical purposes. It appears to me to have originated in the following way: — As the love of debating developed among the Eleatics, the Megarics, and the Sophists, and by degrees became almost a passion, the confusion in which nearly every debate ended must have made them feel the necessity of a method of procedure as a guide; and for this a scientific dialectic had to be sought. The first thing which would have to be

observed would be that both the disputing parties should always be agreed on some one proposition, to which the disputed points might be referred. The beginning of the methodical procedure consisted in this, that the propositions admitted on both sides were formally stated to be so, and placed at the head of the inquiry. But these propositions were at first concerned only with the material of the inquiry. It was soon observed that in the process of going back to the truth admitted on both sides, and of deducing their assertions from it, each party followed certain forms and laws about which, without any express agreement, there was no difference of opinion. And from this it became evident that these must constitute the peculiar and natural procedure of reason itself, the form of investigation. Although this was not exposed to any doubt or difference of opinion, some pedantically systematic philosopher hit upon the idea that it would look well, and be the completion of the method of dialectic, if this formal part of all discussion, this regular procedure of reason itself, were to be expressed in abstract propositions, just like the substantial propositions admitted on both sides, and placed at the beginning of every investigation, as the fixed canon of debate to which reference and appeal must always be made. In this way what had formerly been followed only by tacit agreement, and instinctively, would be consciously recognised and formally expressed. By degrees, more or less perfect expressions were found for the fundamental principles of logic, such as the principles of contradiction, sufficient reason, excluded middle, the *dictum de omni et nullo*, as well as the special rules of the syllogism, as for example, *ex meris particularibus aut negativis nihil sequitur*, *a rationato ad rationem non valet consequentia*, and so on. That all this was only brought about slowly, and with great pains, and up till the time of Aristotle remained very incomplete, is evident from the awkward and tedious way in which logical truths are brought out in many of the Platonic dialogues, and still more from what Sextus Empiricus tells us of the controversies of the Megarics, about the easiest and simplest logical rules, and the laborious way in which they were brought into a definite form (Sext. Emp. adv. Math. l. 8, p. 112). But Aristotle collected, arranged, and corrected all that had been discovered before his time, and brought it to an incomparably greater state of perfection. If we thus observe how the course of Greek culture had prepared the way for, and led up to the work of Aristotle, we shall be little inclined to believe the assertion of the Persian author, quoted by Sir William Jones with much approval, that Kallisthenes



found a complete system of logic among the Indians, and sent it to his uncle Aristotle (Asiatic Researches, vol. iv. p. 163). It is easy to understand that in the dreary middle ages the Aristotelian logic would be very acceptable to the controversial spirit of the schoolmen, which, in the absence of all real knowledge, spent its energy upon mere formulas and words, and that it would be eagerly adopted even in its mutilated Arabian form, and presently established as the centre of all knowledge. Though its authority has since declined, yet up to our own time logic has retained the credit of a self-contained, practical, and highly important science. Indeed, in our own day, the Kantian philosophy, the foundation-stone of which is taken from logic, has excited a new interest in it; which, in this respect, at any rate, that is, as the means of the knowledge of the nature of reason, it deserves.

Correct and accurate conclusions may be arrived at if we carefully observe the relation of the spheres of concepts, and only conclude that one sphere is contained in a third sphere, when we have clearly seen that this first sphere is contained in a second, which in its turn is contained in the third. On the other hand, the art of sophistry lies in casting only a superficial glance at the relations of the spheres of the concepts, and then manipulating these relations to suit our purposes, generally in the following way: — When the sphere of an observed concept lies partly within that of another concept, and partly within a third altogether different sphere, we treat it as if it lay entirely within the one or the other, as may suit our purpose. For example, in speaking of passion, we may subsume it under the concept of the greatest force, the mightiest agency in the world, or under the concept of the irrational, and this again under the concept of impotency or weakness. We may then repeat the process, and start anew with each concept to which the argument leads us. A concept has almost always several others, which partially come under it, and each of these contains part of the sphere of the first, but also includes in its own sphere something more, which is not in the first. But we draw attention only to that one of these latter concepts, under which we wish to subsume the first, and let the others remain unobserved, or keep them concealed. On the possession of this skill depends the whole art of sophistry and all finer fallacies; for logical fallacies such as *mentiens*, *velatus*, *cornatus*, &c., are clearly too clumsy for actual use. I am not aware that hitherto any one has traced the nature of all sophistry and persuasion back to this last possible ground of its existence, and referred it to the peculiar character of concepts, *i.e.*, to the procedure of reason itself.

Therefore, as my exposition has led me to it, though it is very easily understood, I will illustrate it in the following table by means of a schema. This table is intended to show how the spheres of concepts overlap each other at many points, and so leave room for a passage from each concept to whichever one we please of several other concepts. I hope, however, that no one will be led by this table to attach more importance to this little explanation, which I have merely given in passing, than ought to belong to it, from the nature of the subject. I have chosen as an illustration the concept of travelling. Its sphere partially includes four others, to any of which the sophist may pass at will; these again partly include other spheres, several of them two or more at once, and through these the sophist takes whichever way he chooses, always as if it were the only way, till at last he reaches, in good or evil, whatever end he may have in view. In passing from one sphere to another, it is only necessary always to follow the direction from the centre (the given chief concept) to the circumference, and never to reverse this process. Such a piece of sophistry may be either an unbroken speech, or it may assume the strict syllogistic form, according to what is the weak side of the hearer. Most scientific arguments, and especially philosophical demonstrations, are at bottom not much more than this, for how else would it be possible, that so much, in different ages, has not only been falsely apprehended (for error itself has a different source), but demonstrated and proved, and has yet afterwards been found to be fundamentally wrong, for example, the Leibnitz-Wolfian Philosophy, Ptolemaic Astronomy, Stahl's Chemistry, Newton's Theory of Colours, &c. &c.<sup>15</sup>

§ 10. Through all this, the question presses ever more upon us, how *certainty* is to be attained, how *judgments are to be established*, what constitutes *rational knowledge*, (*wissen*), and *science*, which we rank with language and deliberate action as the third great benefit conferred by reason.

Reason is feminine in nature; it can only give after it has received. Of itself it has nothing but the empty forms of its operation. There is no absolutely pure rational knowledge except the four principles to which I have attributed metalogical truth; the principles of identity, contradiction, excluded middle, and sufficient reason of knowledge. For even the rest of logic is not absolutely pure rational knowledge. It presupposes the relations and the combinations of the spheres of concepts. But concepts in general only exist after experience of ideas of perception, and as their whole nature

consists in their relation to these, it is clear that they presuppose them. No special content, however, is presupposed, but merely the existence of a content generally, and so logic as a whole may fairly pass for pure rational science. In all other sciences reason has received its content from ideas of perception; in mathematics from the relations of space and time, presented in intuition or perception prior to all experience; in pure natural science, that is, in what we know of the course of nature prior to any experience, the content of the science proceeds from the pure understanding, *i.e.*, from the *a priori* knowledge of the law of causality and its connection with those pure intuitions or perceptions of space and time. In all other sciences everything that is not derived from the sources we have just referred to belongs to experience. Speaking generally, *to know rationally* (*wissen*) means to have in the power of the mind, and capable of being reproduced at will, such judgments as have their sufficient ground of knowledge in something outside themselves, *i.e.*, are true. Thus only abstract cognition is *rational knowledge* (*wissen*), which is therefore the result of reason, so that we cannot accurately say of the lower animals that they *rationally know* (*wissen*) anything, although they have apprehension of what is presented in perception, and memory of this, and consequently imagination, which is further proved by the circumstance that they dream. We attribute consciousness to them, and therefore although the word (*bewusstsein*) is derived from the verb to know rationally (*wissen*), the conception of consciousness corresponds generally with that of idea of whatever kind it may be. Thus we attribute life to plants, but not consciousness. *Rational knowledge* (*wissen*) is therefore abstract consciousness, the permanent possession in concepts of the reason, of what has become known in another way.

§ 11. In this regard the direct opposite of *rational knowledge* is feeling, and therefore we must insert the explanation of feeling here. The concept which the word feeling denotes has merely a negative content, which is this, that something which is present in consciousness, *is not a concept, is not abstract rational knowledge*. Except this, whatever it may be, it comes under the concept of *feeling*. Thus the immeasurably wide sphere of the concept of feeling includes the most different kinds of objects, and no one can ever understand how they come together until he has recognised that they all agree in this negative respect, that they are not *abstract concepts*.

For the most diverse and even antagonistic elements lie quietly side by side in this concept; for example, religious feeling, feeling of sensual pleasure, moral feeling, bodily feeling, as touch, pain, sense of colour, of sounds and their harmonies and discords, feeling of hate, of disgust, of self-satisfaction, of honour, of disgrace, of right, of wrong, sense of truth, æsthetic feeling, feeling of power, weakness, health, friendship, love, &c. &c. There is absolutely nothing in common among them except the negative quality that they are not abstract rational knowledge. But this diversity becomes more striking when the apprehension of space relations presented *a priori* in perception, and also the knowledge of the pure understanding is brought under this concept, and when we say of all knowledge and all truth, of which we are first conscious only intuitively, and have not yet formulated in abstract concepts, we *feel* it. I should like, for the sake of illustration, to give some examples of this taken from recent books, as they are striking proofs of my theory. I remember reading in the introduction to a German translation of Euclid, that we ought to make beginners in geometry draw the figures before proceeding to demonstrate, for in this way they would already feel geometrical truth before the demonstration brought them complete knowledge. In the same way Schleiermacher speaks in his "Critique of Ethics" of logical and mathematical feeling (p. 339), and also of the feeling of the sameness or difference of two formulas (p. 342). Again Tennemann in his "History of Philosophy" (vol. I., p. 361) says, "One *felt* that the fallacies were not right, but could not point out the mistakes." Now, so long as we do not regard this concept "*feeling*" from the right point of view, and do not recognise that one negative characteristic which alone is essential to it, it must constantly give occasion for misunderstanding and controversy, on account of the excessive wideness of its sphere, and its entirely negative and very limited content which is determined in a purely one-sided manner. Since then we have in German the nearly synonymous word *empfindung* (sensation), it would be convenient to make use of it for bodily feeling, as a sub-species. This concept "feeling," which is quite out of proportion to all others, doubtless originated in the following manner. All concepts, and concepts alone, are denoted by words; they exist only for the reason, and proceed from it. With concepts, therefore, we are already at a one-sided point of view; but from such a point of view what is near appears distinct and is set down as positive, what is farther off becomes mixed up and is soon regarded as merely negative. Thus each nation calls all others

foreign: to the Greek all others are barbarians; to the Englishman all that is not England or English is continent or continental; to the believer all others are heretics, or heathens; to the noble all others are *roturiers*; to the student all others are Philistines, and so forth. Now, reason itself, strange as it may seem, is guilty of the same one-sidedness, indeed one might say of the same crude ignorance arising from vanity, for it classes under the one concept, “*feeling*,” every modification of consciousness which does not immediately belong to its own mode of apprehension, that is to say, which is *not an abstract concept*. It has had to pay the penalty of this hitherto in misunderstanding and confusion in its own province, because its own procedure had not become clear to it through thorough self-knowledge, for a special faculty of feeling has been set up, and new theories of it are constructed.

§ 12. *Rational knowledge (wissen)* is then all abstract knowledge, — that is, the knowledge which is peculiar to the reason as distinguished from the understanding. Its contradictory opposite has just been explained to be the concept “*feeling*.” Now, as reason only reproduces, for knowledge, what has been received in another way, it does not actually extend our knowledge, but only gives it another form. It enables us to know in the abstract and generally, what first became known in sense-perception, in the concrete. But this is much more important than it appears at first sight when so expressed. For it depends entirely upon the fact that knowledge has become rational or abstract knowledge (*wissen*), that it can be safely preserved, that it is communicable and susceptible of certain and wide-reaching application to practice. Knowledge in the form of sense-perception is valid only of the particular case, extends only to what is nearest, and ends with it, for sensibility and understanding can only comprehend one object at a time. Every enduring, arranged, and planned activity must therefore proceed from principles, — that is, from abstract knowledge, and it must be conducted in accordance with them. Thus, for example, the knowledge of the relation of cause and effect arrived at by the understanding, is in itself far completer, deeper and more exhaustive than anything that can be thought about it in the abstract; the understanding alone knows in perception directly and completely the nature of the effect of a lever, of a pulley, or a cog-wheel, the stability of an arch, and so forth. But on account of the peculiarity of the knowledge of perception just referred to, that it only extends to what is immediately present, the mere understanding can never

enable us to construct machines and buildings. Here reason must come in; it must substitute abstract concepts for ideas of perception, and take them as the guide of action; and if they are right, the anticipated result will happen. In the same way we have perfect knowledge in pure perception of the nature and constitution of the parabola, hyperbola, and spiral; but if we are to make trustworthy application of this knowledge to the real, it must first become abstract knowledge, and by this it certainly loses its character of intuition or perception, but on the other hand it gains the certainty and preciseness of abstract knowledge. The differential calculus does not really extend our knowledge of the curve, it contains nothing that was not already in the mere pure perception of the curve; but it alters the kind of knowledge, it changes the intuitive into an abstract knowledge, which is so valuable for application. But here we must refer to another peculiarity of our faculty of knowledge, which could not be observed until the distinction between the knowledge of the senses and understanding and abstract knowledge had been made quite clear. It is this, that relations of space cannot as such be directly translated into abstract knowledge, but only temporal quantities, — that is, numbers, are suitable for this. Numbers alone can be expressed in abstract concepts which accurately correspond to them, not spacial quantities. The concept “thousand” is just as different from the concept “ten,” as both these temporal quantities are in perception. We think of a thousand as a distinct multiple of ten, into which we can resolve it at pleasure for perception in time, — that is to say, we can count it. But between the abstract concept of a mile and that of a foot, apart from any concrete perception of either, and without the help of number, there is no accurate distinction corresponding to the quantities themselves. In both we only think of a spacial quantity in general, and if they must be completely distinguished we are compelled either to call in the assistance of intuition or perception in space, which would be a departure from abstract knowledge, or we must think the difference in *numbers*. If then we wish to have abstract knowledge of space-relations we must first translate them into time-relations, — that is, into numbers; therefore only arithmetic, and not geometry, is the universal science of quantity, and geometry must be translated into arithmetic if it is to be communicable, accurately precise and applicable in practice. It is true that a space-relation as such may also be thought in the abstract; for example, “the sine increases as the angle,” but if the quantity of this relation is to be given, it requires number for its

expression. This necessity, that if we wish to have abstract knowledge of space-relations (*i.e.*, rational knowledge, not mere intuition or perception), space with its three dimensions must be translated into time which has only one dimension, this necessity it is, which makes mathematics so difficult. This becomes very clear if we compare the perception of curves with their analytical calculation, or the table of logarithms of the trigonometrical functions with the perception of the changing relations of the parts of a triangle, which are expressed by them. What vast mazes of figures, what laborious calculations it would require to express in the abstract what perception here apprehends at a glance completely and with perfect accuracy, namely, how the co-sine diminishes as the sine increases, how the co-sine of one angle is the sine of another, the inverse relation of the increase and decrease of the two angles, and so forth. How time, we might say, must complain, that with its one dimension it should be compelled to express the three dimensions of space! Yet this is necessary if we wish to possess, for application, an expression, in abstract concepts, of space-relations. They could not be translated directly into abstract concepts, but only through the medium of the pure temporal quantity, number, which alone is directly related to abstract knowledge. Yet it is worthy of remark, that as space adapts itself so well to perception, and by means of its three dimensions, even its complicated relations are easily apprehended, while it eludes the grasp of abstract knowledge; time, on the contrary, passes easily into abstract knowledge, but gives very little to perception. Our perceptions of numbers in their proper element, mere time, without the help of space, scarcely extends as far as ten, and beyond that we have only abstract concepts of numbers, no knowledge of them which can be presented in perception. On the other hand, we connect with every numeral, and with all algebraical symbols, accurately defined abstract concepts.

We may further remark here that some minds only find full satisfaction in what is known through perception. What they seek is the reason and consequent of being in space, sensuously expressed; a demonstration after the manner of Euclid, or an arithmetical solution of spacial problems, does not please them. Other minds, on the contrary, seek merely the abstract concepts which are needful for applying and communicating knowledge. They have patience and memory for abstract principles, formulas, demonstrations in long trains of reasoning, and calculations, in which the

symbols represent the most complicated abstractions. The latter seek preciseness, the former sensible perception. The difference is characteristic.

The greatest value of rational or abstract knowledge is that it can be communicated and permanently retained. It is principally on this account that it is so inestimably important for practice. Any one may have a direct perceptive knowledge through the understanding alone, of the causal connection, of the changes and motions of natural bodies, and he may find entire satisfaction in it; but he cannot communicate this knowledge to others until it has been made permanent for thought in concepts. Knowledge of the first kind is even sufficient for practice, if a man puts his knowledge into practice himself, in an action which can be accomplished while the perception is still vivid; but it is not sufficient if the help of others is required, or even if the action is his own but must be carried out at different times, and therefore requires a pre-conceived plan. Thus, for example, a practised billiard-player may have a perfect knowledge of the laws of the impact of elastic bodies upon each other, merely in the understanding, merely for direct perception; and for him it is quite sufficient; but on the other hand it is only the man who has studied the science of mechanics, who has, properly speaking, a rational knowledge of these laws, that is, a knowledge of them in the abstract. Such knowledge of the understanding in perception is sufficient even for the construction of machines, when the inventor of the machine executes the work himself; as we often see in the case of talented workmen, who have no scientific knowledge. But whenever a number of men, and their united action taking place at different times, is required for the completion of a mechanical work, of a machine, or a building, then he who conducts it must have thought out the plan in the abstract, and such co-operative activity is only possible through the assistance of reason. It is, however, remarkable that in the first kind of activity, in which we have supposed that one man alone, in an uninterrupted course of action, accomplishes something, abstract knowledge, the application of reason or reflection, may often be a hindrance to him; for example, in the case of billiard-playing, of fighting, of tuning an instrument, or in the case of singing. Here perceptive knowledge must directly guide action; its passage through reflection makes it uncertain, for it divides the attention and confuses the man. Thus savages and untaught men, who are little accustomed to think, perform certain physical exercises, fight with beasts, shoot with bows and arrows and the like, with a certainty and



rapidity which the reflecting European never attains to, just because his deliberation makes him hesitate and delay. For he tries, for example, to hit the right position or the right point of time, by finding out the mean between two false extremes; while the savage hits it directly without thinking of the false courses open to him. In the same way it is of no use to me to know in the abstract the exact angle, in degrees and minutes, at which I must apply a razor, if I do not know it intuitively, that is, if I have not got it in my touch. The knowledge of physiognomy also, is interfered with by the application of reason. This knowledge must be gained directly through the understanding. We say that the expression, the meaning of the features, can only be *felt*, that is, it cannot be put into abstract concepts. Every man has his direct intuitive method of physiognomy and pathognomy, yet one man understands more clearly than another these *signatura rerum*. But an abstract science of physiognomy to be taught and learned is not possible; for the distinctions of difference are here so fine that concepts cannot reach them; therefore abstract knowledge is related to them as a mosaic is to a painting by a Van der Werft or a Denner. In mosaics, however fine they may be, the limits of the stones are always there, and therefore no continuous passage from one colour to another is possible, and this is also the case with regard to concepts, with their rigidity and sharp delineation; however finely we may divide them by exact definition, they are still incapable of reaching the finer modifications of the perceptible, and this is just what happens in the example we have taken, knowledge of physiognomy.<sup>16</sup>

This quality of concepts by which they resemble the stones of a mosaic, and on account of which perception always remains their asymptote, is also the reason why nothing good is produced in art by their means. If the singer or the virtuoso attempts to guide his execution by reflection he remains silent. And this is equally true of the composer, the painter, and the poet. The concept always remains unfruitful in art; it can only direct the technical part of it, its sphere is science. We shall consider more fully in the third book, why all true art proceeds from sensuous knowledge, never from the concept. Indeed, with regard to behaviour also, and personal agreeableness in society, the concept has only a negative value in restraining the grosser manifestations of egotism and brutality; so that a polished manner is its commendable production. But all that is attractive, gracious, charming in behaviour, all affectionateness and friendliness, must not proceed from the concepts, for if it does, “we feel intention, and are put out of tune.” All

dissimulation is the work of reflection; but it cannot be maintained constantly and without interruption: “*nemo potest personam diu ferre fictum*,” says Seneca in his book *de clementia*; and so it is generally found out and loses its effect. Reason is needed in the full stress of life, where quick conclusions, bold action, rapid and sure comprehension are required, but it may easily spoil all if it gains the upper hand, and by perplexing hinders the intuitive, direct discovery, and grasp of the right by simple understanding, and thus induces irresolution.

Lastly, virtue and holiness do not proceed from reflection, but from the inner depths of the will, and its relation to knowledge. The exposition of this belongs to another part of our work; this, however, I may remark here, that the dogmas relating to ethics may be the same in the reason of whole nations, but the action of every individual different; and the converse also holds good; action, we say, is guided by *feelings*, — that is, simply not by concepts, but as a matter of fact by the ethical character. Dogmas occupy the idle reason; but action in the end pursues its own course independently of them, generally not according to abstract rules, but according to unspoken maxims, the expression of which is the whole man himself. Therefore, however different the religious dogmas of nations may be, yet in the case of all of them, a good action is accompanied by unspeakable satisfaction, and a bad action by endless remorse. No mockery can shake the former; no priest’s absolution can deliver from the latter. Notwithstanding this, we must allow, that for the pursuit of a virtuous life, the application of reason is needful; only it is not its source, but has the subordinate function of preserving resolutions which have been made, of providing maxims to withstand the weakness of the moment, and give consistency to action. It plays the same part ultimately in art also, where it has just as little to do with the essential matter, but assists in carrying it out, for genius is not always at call, and yet the work must be completed in all its parts and rounded off to a whole.<sup>17</sup>

§ 13. All these discussions of the advantages and disadvantages of the application of reason are intended to show, that although abstract rational knowledge is the reflex of ideas of perception, and is founded on them, it is by no means in such entire congruity with them that it could everywhere take their place: indeed it never corresponds to them quite accurately. And thus, as we have seen, many human actions can only be performed by the

help of reason and deliberation, and yet there are some which are better performed without its assistance. This very incongruity of sensuous and abstract knowledge, on account of which the latter always merely approximates to the former, as mosaic approximates to painting, is the cause of a very remarkable phenomenon which, like reason itself, is peculiar to human nature, and of which the explanations that have ever anew been attempted, are insufficient: I mean *laughter*. On account of the source of this phenomenon, we cannot avoid giving the explanation of it here, though it again interrupts the course of our work to do so. The cause of laughter in every case is simply the sudden perception of the incongruity between a concept and the real objects which have been thought through it in some relation, and laughter itself is just the expression of this incongruity. It often occurs in this way: two or more real objects are thought through *one* concept, and the identity of the concept is transferred to the objects; it then becomes strikingly apparent from the entire difference of the objects in other respects, that the concept was only applicable to them from a one-sided point of view. It occurs just as often, however, that the incongruity between a single real object and the concept under which, from one point of view, it has rightly been subsumed, is suddenly felt. Now the more correct the subsumption of such objects under a concept may be from one point of view, and the greater and more glaring their incongruity with it, from another point of view, the greater is the ludicrous effect which is produced by this contrast. All laughter then is occasioned by a paradox, and therefore by unexpected subsumption, whether this is expressed in words or in actions. This, briefly stated, is the true explanation of the ludicrous.

I shall not pause here to relate anecdotes as examples to illustrate my theory; for it is so simple and comprehensible that it does not require them, and everything ludicrous which the reader may remember is equally valuable as a proof of it. But the theory is confirmed and illustrated by distinguishing two species into which the ludicrous is divided, and which result from the theory. Either, we have previously known two or more very different real objects, ideas of sense-perception, and have intentionally identified them through the unity of a concept which comprehends them both; this species of the ludicrous is called *wit*. Or, conversely, the concept is first present in knowledge, and we pass from it to reality, and to operation upon it, to action: objects which in other respects are fundamentally different, but which are all thought in that one concept, are now regarded

and treated in the same way, till, to the surprise and astonishment of the person acting, the great difference of their other aspects appears: this species of the ludicrous is called *folly*. Therefore everything ludicrous is either a flash of wit or a foolish action, according as the procedure has been from the discrepancy of the objects to the identity of the concept, or the converse; the former always intentional, the latter always unintentional, and from without. To seem to reverse the starting-point, and to conceal wit with the mask of folly, is the art of the jester and the clown. Being quite aware of the diversity of the objects, the jester unites them, with secret wit, under one concept, and then starting from this concept he receives from the subsequently discovered diversity of the objects the surprise which he himself prepared. It follows from this short but sufficient theory of the ludicrous, that, if we set aside the last case, that of the jester, wit must always show itself in words, folly generally in actions, though also in words, when it only expresses an intention and does not actually carry it out, or when it shows itself merely in judgments and opinions.

*Pedantry* is a form of folly. It arises in this way: a man lacks confidence in his own understanding, and, therefore, does not wish to trust to it, to recognise what is right directly in the particular case. He, therefore, puts it entirely under the control of the reason, and seeks to be guided by reason in everything; that is to say, he tries always to proceed from general concepts, rules, and maxims, and to confine himself strictly to them in life, in art, and even in moral conduct. Hence that clinging to the form, to the manner, to the expression and word which is characteristic of pedantry, and which with it takes the place of the real nature of the matter. The incongruity then between the concept and reality soon shows itself here, and it becomes evident that the former never condescends to the particular case, and that with its generality and rigid definiteness it can never accurately apply to the fine distinctions of difference and innumerable modifications of the actual. Therefore, the pedant, with his general maxims, almost always misses the mark in life, shows himself to be foolish, awkward, useless. In art, in which the concept is unfruitful, he produces lifeless, stiff, abortive mannerisms. Even with regard to ethics, the purpose to act rightly or nobly cannot always be carried out in accordance with abstract maxims; for in many cases the excessively nice distinctions in the nature of the circumstances necessitate a choice of the right proceeding directly from the character; for the application of mere abstract maxims sometimes gives false results, because

the maxims only half apply; and sometimes cannot be carried out, because they are foreign to the individual character of the actor, and this never allows itself to be entirely discovered; therefore, inconsistencies arise. Since then Kant makes it a condition of the moral worth of an action, that it shall proceed from pure rational abstract maxims, without any inclination or momentary emotion, we cannot entirely absolve him from the reproach of encouraging moral pedantry. This reproach is the significance of Schiller's epigram, entitled "Scruples of Conscience." When we speak, especially in connection with politics, of doctrinaires, theorists, savants, and so forth, we mean pedants, that is, persons who know the things well in the abstract, but not in the concrete. Abstraction consists in thinking away the less general predicates; but it is precisely upon these that so much depends in practice.

To complete our theory it remains for us to mention a spurious kind of wit, the play upon words, the *calembourg*, the pun, to which may be added the equivocation, the *double entendre*, the chief use of which is the expression of what is obscene. Just as the witticism brings two very different real objects under one concept, the pun brings two different concepts, by the assistance of accident, under one word. The same contrast appears, only familiar and more superficial, because it does not spring from the nature of things, but merely from the accident of nomenclature. In the case of the witticism the identity is in the concept, the difference in the reality, but in the case of the pun the difference is in the concepts and the identity in the reality, for the terminology is here the reality. It would only be a somewhat far-fetched comparison if we were to say that the pun is related to the witticism as the parabola (*sic*) of the upper inverted cone to that of the lower. The misunderstanding of the word or the *quid pro quo* is the unintentional pun, and is related to it exactly as folly is to wit. Thus the deaf man often affords occasion for laughter, just as much as the fool, and inferior writers of comedy often use the former for the latter to raise a laugh.

I have treated laughter here only from the psychical side; with regard to the physical side, I refer to what is said on the subject in the "Parerga," vol. II. ch. vi., § 98.<sup>18</sup>

§ 14. By means of these various discussions it is hoped that both the difference and the relation between the process of knowledge that belongs to the reason, rational knowledge, the concept on the one hand, and the direct knowledge in purely sensuous, mathematical intuition or perception,

and apprehension by the understanding on the other hand, has been clearly brought out. This remarkable relation of our kinds of knowledge led us almost inevitably to give, in passing, explanations of feeling and of laughter, but from all this we now turn back to the further consideration of science as the third great benefit which reason confers on man, the other two being speech and deliberate action. The general discussion of science which now devolves upon us, will be concerned partly with its form, partly with the foundation of its judgments, and lastly with its content.

We have seen that, with the exception of the basis of pure logic, rational knowledge in general has not its source in the reason itself; but having been otherwise obtained as knowledge of perception, it is stored up in the reason, for through reason it has entirely changed its character, and has become abstract knowledge. All rational knowledge, that is, knowledge that has been raised to consciousness in the abstract, is related to science strictly so called, as a fragment to the whole. Every one has gained a rational knowledge of many different things through experience, through consideration of the individual objects presented to him, but only he who sets himself the task of acquiring a complete knowledge in the abstract of a particular class of objects, strives after science. This class can only be marked off by means of a concept; therefore, at the beginning of every science there stands a concept, and by means of it the class of objects concerning which this science promises a complete knowledge in the abstract, is separated in thought from the whole world of things. For example, the concept of space-relations, or of the action of unorganised bodies upon each other, or of the nature of plants, or of animals, or of the successive changes of the surface of the globe, or of the changes of the human race as a whole, or of the construction of a language, and so forth. If science sought to obtain the knowledge of its object, by investigating each individual thing that is thought through the concept, till by degrees it had learned the whole, no human memory would be equal to the task, and no certainty of completeness would be obtainable. Therefore, it makes use of that property of concept-spheres explained above, that they include each other, and it concerns itself mainly with the wider spheres which lie within the concept of its object in general. When the relations of these spheres to each other have been determined, all that is thought in them is also generally determined, and can now be more and more accurately determined by the separation of smaller and smaller concept-spheres. In this

way it is possible for a science to comprehend its object completely. This path which it follows to knowledge, the path from the general to the particular, distinguishes it from ordinary rational knowledge; therefore, systematic form is an essential and characteristic feature of science. The combination of the most general concept-spheres of every science, that is, the knowledge of its first principles, is the indispensable condition of mastering it; how far we advance from these to the more special propositions is a matter of choice, and does not increase the thoroughness but only the extent of our knowledge of the science. The number of the first principles to which all the rest are subordinated, varies greatly in the different sciences, so that in some there is more subordination, in others more co-ordination; and in this respect, the former make greater claims upon the judgment, the latter upon the memory. It was known to the schoolmen,<sup>19</sup> that, as the syllogism requires two premises, no science can proceed from a single first principle which cannot be the subject of further deduction, but must have several, at least two. The specially classifying sciences: Zoology, Botany, and also Physics and Chemistry, inasmuch as they refer all inorganic action to a few fundamental forces, have most subordination; history, on the other hand, has really none at all; for the general in it consists merely in the survey of the principal periods, from which, however, the particular events cannot be deduced, and are only subordinated to them according to time, but according to the concept are co-ordinate with them. Therefore, history, strictly speaking, is certainly rational knowledge, but is not science. In mathematics, according to Euclid's treatment, the axioms alone are indemonstrable first principles, and all demonstrations are in gradation strictly subordinated to them. But this method of treatment is not essential to mathematics, and in fact each proposition introduces quite a new space construction, which in itself is independent of those which precede it, and indeed can be completely comprehended from itself, quite independently of them, in the pure intuition or perception of space, in which the most complicated construction is just as directly evident as the axiom; but of this more fully hereafter. Meanwhile every mathematical proposition remains always a universal truth, which is valid for innumerable particular cases; and a graduated process from the simple to the complicated propositions which are to be deduced from them, is also essential to mathematics; therefore, in every respect mathematics is a science. The completeness of a science as such, that is, in respect of form,



consists in there being as much subordination and as little co-ordination of the principles as possible. Scientific talent in general is, therefore, the faculty of subordinating the concept-spheres according to their different determinations, so that, as Plato repeatedly counsels, a science shall not be constituted by a general concept and an indefinite multiplicity immediately under it, but that knowledge shall descend by degrees from the general to the particular, through intermediate concepts and divisions, according to closer and closer definitions. In Kantian language this is called satisfying equally the law of homogeneity and that of specification. It arises from this peculiar nature of scientific completeness, that the aim of science is not greater certainty — for certainty may be possessed in just as high a degree by the most disconnected particular knowledge — but its aim is rather the facilitating of rational knowledge by means of its form, and the possibility of the completeness of rational knowledge which this form affords. It is therefore a very prevalent but perverted opinion that the scientific character of knowledge consists in its greater certainty, and just as false is the conclusion following from this, that, strictly speaking, the only sciences are mathematics and logic, because only in them, on account of their purely *a priori* character, is there unassailable certainty of knowledge. This advantage cannot be denied them, but it gives them no special claim to be regarded as sciences; for the special characteristic of science does not lie in certainty but in the systematic form of knowledge, based on the gradual descent from the general to the particular. The process of knowledge from the general to the particular, which is peculiar to the sciences, involves the necessity that in the sciences much should be established by deduction from preceding propositions, that is to say, by demonstration; and this has given rise to the old mistake that only what has been demonstrated is absolutely true, and that every truth requires a demonstration; whereas, on the contrary, every demonstration requires an undemonstrated truth, which ultimately supports it, or it may be, its own demonstration. Therefore a directly established truth is as much to be preferred to a truth established by demonstration as water from the spring is to water from the aqueduct. Perception, partly pure *a priori*, as it forms the basis of mathematics, partly empirical *a posteriori*, as it forms the basis of all the other sciences, is the source of all truth and the foundation of all science. (Logic alone is to be excepted, which is not founded upon perception but yet upon *direct* knowledge by the reason of its own laws.) Not the demonstrated judgments



nor their demonstrations, but judgments which are created directly out of perception, and founded upon it rather than on any demonstrations, are to science what the sun is to the world; for all light proceeds from them, and lighted by their light the others give light also. To establish the truth of such primary judgments directly from perception, to raise such strongholds of science from the innumerable multitude of real objects, that is the work of the *faculty of judgment*, which consists in the power of rightly and accurately carrying over into abstract consciousness what is known in perception, and judgment is consequently the mediator between understanding and reason. Only extraordinary and exceptional strength of judgment in the individual can actually advance science; but every one who is possessed of a healthy reason is able to deduce propositions from propositions, to demonstrate, to draw conclusions. To lay down and make permanent for reflection, in suitable concepts, what is known through perception, so that, on the one hand, what is common to many real objects is thought through *one* concept, and, on the other hand, their points of difference are each thought through one concept, so that the different shall be known and thought as different in spite of a partial agreement, and the identical shall be known and thought as identical in spite of a partial difference, all in accordance with the end and intention which in each case is in view; all this is done by the *faculty of judgment*. Deficiency in judgment is *silliness*. The silly man fails to grasp, now the partial or relative difference of concepts which in one aspect are identical, now the identity of concepts which are relatively or partially different. To this explanation of the faculty of judgment, moreover, Kant's division of it into reflecting and subsuming judgment may be applied, according as it passes from the perceived objects to the concepts, or from the latter to the former; in both cases always mediating between empirical knowledge of the understanding and the reflective knowledge of the reason. There can be no truth which could be brought out by means of syllogisms alone; and the necessity of establishing truth by means of syllogisms is merely relative, indeed subjective. Since all demonstration is syllogistic, in the case of a new truth we must first seek, not for a demonstration, but for direct evidence, and only in the absence of such evidence is a demonstration to be temporarily made use of. No science is susceptible of demonstration throughout any more than a building can stand in the air; all its demonstrations must ultimately rest upon what is perceived, and consequently cannot be

demonstrated, for the whole world of reflection rests upon and is rooted in the world of perception. All primal, that is, original, *evidence* is a *perception*, as the word itself indicates. Therefore it is either empirical or founded upon the perception *a priori* of the conditions of possible experience. In both cases it affords only immanent, not transcendent knowledge. Every concept has its worth and its existence only in its relation, sometimes very indirect, to an idea of perception; what is true of the concepts is also true of the judgments constructed out of them, and of all science. Therefore it must in some way be possible to know directly without demonstrations or syllogisms every truth that is arrived at through syllogisms and communicated by demonstrations. This is most difficult in the case of certain complicated mathematical propositions at which we only arrive by chains of syllogisms; for example, the calculation of the chords and tangents to all arcs by deduction from the proposition of Pythagoras. But even such a truth as this cannot essentially and solely rest upon abstract principles, and the space-relations which lie at its foundation also must be capable of being so presented *a priori* in pure intuition or perception that the truth of their abstract expression is directly established. But of mathematical demonstration we shall speak more fully shortly.

It is true we often hear men speak in a lofty strain of sciences which rest entirely upon correct conclusions drawn from sure premises, and which are consequently unassailable. But through pure logical reasoning, however true the premises may be, we shall never receive more than an articulate expression and exposition of what lies already complete in the premises; thus we shall only *explicitly* expound what was already *implicitly* understood. The esteemed sciences referred to are, however, specially the mathematical sciences, particularly astronomy. But the certainty of astronomy arises from the fact that it has for its basis the intuition or perception of space, which is given *a priori*, and is therefore infallible. All space-relations, however, follow from each other with a necessity (ground of being) which affords *a priori* certainty, and they can therefore be safely deduced from each other. To these mathematical properties we have only to add one force of nature, gravity, which acts precisely in relation to the masses and the square of the distance; and, lastly, the law of inertia, which follows from the law of causality and is therefore true *a priori*, and with it the empirical datum of the motion impressed, once for all, upon each of these masses. This is the whole material of astronomy, which both by its

simplicity and its certainty leads to definite results, which are highly interesting on account of the vastness and importance of the objects. For example, if I know the mass of a planet and the distance of its satellite from it, I can tell with certainty the period of the revolution of the latter according to Kepler's second law. But the ground of this law is, that with this distance only this velocity will both chain the satellite to the planet and prevent it from falling into it. Thus it is only upon such a geometrical basis, that is, by means of an intuition or perception *a priori*, and also under the application of a law of nature, that much can be arrived at by means of syllogisms, for here they are merely like bridges from *one* sensuous apprehension to others; but it is not so with mere pure syllogistic reasoning in the exclusively logical method. The source of the first fundamental truths of astronomy is, however, properly induction, that is, the comprehension of what is given in many perceptions in one true and directly founded judgment. From this, hypotheses are afterwards constructed, and their confirmation by experience, as induction approaching to completeness, affords the proof of the first judgment. For example, the apparent motion of the planets is known empirically; after many false hypotheses with regard to the spacial connection of this motion (planetary course) the right one was at last found, then the laws which it obeyed (the laws of Kepler), and, lastly, the cause of these laws (universal gravitation), and the empirically known agreement of all observed cases with the whole of the hypotheses, and with their consequences, that is to say, induction, established them with complete certainty. The invention of the hypotheses was the work of the judgment, which rightly comprehended the given facts and expressed them accordingly; but induction, that is, a multitude of perceptions, confirmed their truth. But their truth could also be known directly, and by a single empirical perception, if we could pass freely through space and had telescopic eyes. Therefore, here also syllogisms are not the essential and only source of knowledge, but really only a makeshift.

As a third example taken from a different sphere we may mention that the so-called metaphysical truths, that is, such truths as those to which Kant assigns the position of the metaphysical first principles of natural science, do not owe their evidence to demonstration. What is *a priori* certain we know directly; as the form of all knowledge, it is known to us with the most complete necessity. For example, that matter is permanent, that is, can neither come into being nor pass away, we know directly as negative truth;

for our pure intuition or perception of space and time gives the possibility of motion; in the law of causality the understanding affords us the possibility of change of form and quality, but we lack powers of the imagination for conceiving the coming into being or passing away of matter. Therefore that truth has at all times been evident to all men everywhere, nor has it ever been seriously doubted; and this could not be the case if it had no other ground of knowledge than the abstruse and exceedingly subtle proof of Kant. But besides this, I have found Kant's proof to be false (as is explained in the Appendix), and have shown above that the permanence of matter is to be deduced, not from the share which time has in the possibility of experience, but from the share which belongs to space. The true foundation of all truths which in this sense are called metaphysical, that is, abstract expressions of the necessary and universal forms of knowledge, cannot itself lie in abstract principles; but only in the immediate consciousness of the forms of the idea communicating itself in apodictic assertions *a priori*, and fearing no refutation. But if we yet desire to give a proof of them, it can only consist in showing that what is to be proved is contained in some truth about which there is no doubt, either as a part of it or as a presupposition. Thus, for example, I have shown that all empirical perception implies the application of the law of causality, the knowledge of which is hence a condition of all experience, and therefore cannot be first given and conditioned through experience as Hume thought. Demonstrations in general are not so much for those who wish to learn as for those who wish to dispute. Such persons stubbornly deny directly established insight; now only the truth can be consistent in all directions, and therefore we must show such persons that they admit under *one* form and indirectly, what they deny under another form and directly; that is, the logically necessary connection between what is denied and what is admitted.

It is also a consequence of the scientific form, the subordination of everything particular under a general, and so on always to what is more general, that the truth of many propositions is only logically proved, — that is, through their dependence upon other propositions, through syllogisms, which at the same time appear as proofs. But we must never forget that this whole form of science is merely a means of rendering knowledge more easy, not a means to greater certainty. It is easier to discover the nature of an animal, by means of the species to which it belongs, and so on through the

genus, family, order, and class, than to examine on every occasion the animal presented to us: but the truth of all propositions arrived at syllogistically is always conditioned by and ultimately dependent upon some truth which rests not upon reasoning but upon perception. If this perception were always as much within our reach as a deduction through syllogisms, then it would be in every respect preferable. For every deduction from concepts is exposed to great danger of error, on account of the fact we have considered above, that so many spheres lie partly within each other, and that their content is often vague or uncertain. This is illustrated by a multitude of demonstrations of false doctrines and sophisms of every kind. Syllogisms are indeed perfectly certain as regards form, but they are very uncertain on account of their matter, the concepts. For, on the one hand, the spheres of these are not sufficiently sharply defined, and, on the other hand, they intersect each other in so many ways that one sphere is in part contained in many others, and we may pass at will from it to one or another of these, and from this sphere again to others, as we have already shown. Or, in other words, the minor term and also the middle can always be subordinated to different concepts, from which we may choose at will the major and the middle, and the nature of the conclusion depends on this choice. Consequently immediate evidence is always much to be preferred to reasoned truth, and the latter is only to be accepted when the former is too remote, and not when it is as near or indeed nearer than the latter. Accordingly we saw above that, as a matter of fact, in the case of logic, in which the immediate knowledge in each individual case lies nearer to hand than deduced scientific knowledge, we always conduct our thought according to our immediate knowledge of the laws of thought, and leave logic unused.<sup>20</sup>

§ 15. If now with our conviction that perception is the primary source of all evidence, and that only direct or indirect connection with it is absolute truth; and further, that the shortest way to this is always the surest, as every interposition of concepts means exposure to many deceptions; if, I say, we now turn with this conviction to mathematics, as it was established as a science by Euclid, and has remained as a whole to our own day, we cannot help regarding the method it adopts, as strange and indeed perverted. We ask that every logical proof shall be traced back to an origin in perception; but mathematics, on the contrary, is at great pains deliberately to throw away the evidence of perception which is peculiar to it, and always at hand,

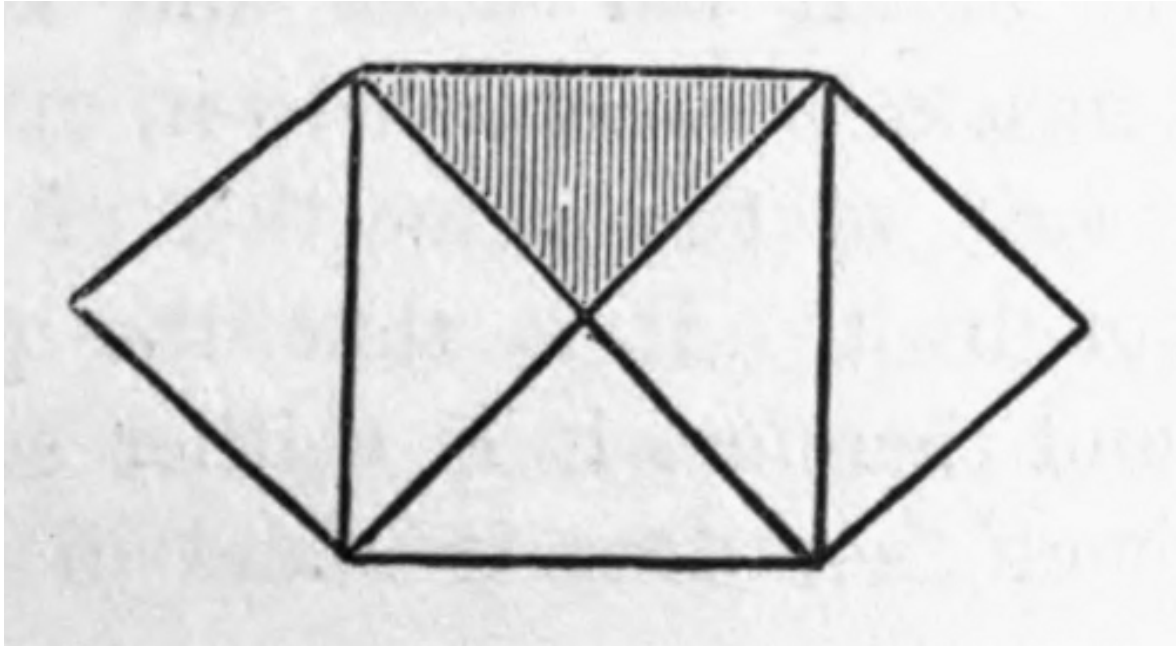
that it may substitute for it a logical demonstration. This must seem to us like the action of a man who cuts off his legs in order to go on crutches, or like that of the prince in the "*Triumph der Empfindsamkeit*" who flees from the beautiful reality of nature, to delight in a stage scene that imitates it. I must here refer to what I have said in the sixth chapter of the essay on the principle of sufficient reason, and take for granted that it is fresh and present in the memory of the reader; so that I may link my observations on to it without explaining again the difference between the mere ground of knowledge of a mathematical truth, which can be given logically, and the ground of being, which is the immediate connection of the parts of space and time, known only in perception. It is only insight into the ground of being that secures satisfaction and thorough knowledge. The mere ground of knowledge must always remain superficial; it can afford us indeed rational knowledge *that* a thing is as it is, but it cannot tell *why* it is so. Euclid chose the latter way to the obvious detriment of the science. For just at the beginning, for example, when he ought to show once for all how in a triangle the angles and sides reciprocally determine each other, and stand to each other in the relation of reason and consequent, in accordance with the form which the principle of sufficient reason has in pure space, and which there, as in every other sphere, always affords the necessity that a thing is as it is, because something quite different from it, is as it is; instead of in this way giving a thorough insight into the nature of the triangle, he sets up certain disconnected arbitrarily chosen propositions concerning the triangle, and gives a logical ground of knowledge of them, through a laborious logical demonstration, based upon the principle of contradiction. Instead of an exhaustive knowledge of these space-relations we therefore receive merely certain results of them, imparted to us at pleasure, and in fact we are very much in the position of a man to whom the different effects of an ingenious machine are shown, but from whom its inner connection and construction are withheld. We are compelled by the principle of contradiction to admit that what Euclid demonstrates is true, but we do not comprehend *why* it is so. We have therefore almost the same uncomfortable feeling that we experience after a juggling trick, and, in fact, most of Euclid's demonstrations are remarkably like such feats. The truth almost always enters by the back door, for it manifests itself *per accidens* through some contingent circumstance. Often a *reductio ad absurdum* shuts all the doors one after another, until only one is left through which we are

therefore compelled to enter. Often, as in the proposition of Pythagoras, lines are drawn, we don't know why, and it afterwards appears that they were traps which close unexpectedly and take prisoner the assent of the astonished learner, who must now admit what remains wholly inconceivable in its inner connection, so much so, that he may study the whole of Euclid through and through without gaining a real insight into the laws of space-relations, but instead of them he only learns by heart certain results which follow from them. This specially empirical and unscientific knowledge is like that of the doctor who knows both the disease and the cure for it, but does not know the connection between them. But all this is the necessary consequence if we capriciously reject the special kind of proof and evidence of one species of knowledge, and forcibly introduce in its stead a kind which is quite foreign to its nature. However, in other respects the manner in which this has been accomplished by Euclid deserves all the praise which has been bestowed on him through so many centuries, and which has been carried so far that his method of treating mathematics has been set up as the pattern of all scientific exposition. Men tried indeed to model all the sciences after it, but later they gave up the attempt without quite knowing why. Yet in our eyes this method of Euclid in mathematics can appear only as a very brilliant piece of perversity. But when a great error in life or in science has been intentionally and methodically carried out with universal applause, it is always possible to discover its source in the philosophy which prevailed at the time. The Eleatics first brought out the difference, and indeed often the conflict, that exists between what is perceived, *φαινόμενον*,<sup>21</sup> and what is thought, *νοούμενον*, and used it in many ways in their philosophical epigrams, and also in sophisms. They were followed later by the Megarics, the Dialecticians, the Sophists, the New-Academy, and the Sceptics; these drew attention to the illusion, that is to say, to the deception of the senses, or rather of the understanding which transforms the data of the senses into perception, and which often causes us to see things to which the reason unhesitatingly denies reality; for example, a stick broken in water, and such like. It came to be known that sense-perception was not to be trusted unconditionally, and it was therefore hastily concluded that only rational, logical thought could establish truth; although Plato (in the *Parmenides*), the Megarics, Pyrrho, and the New-Academy, showed by examples (in the manner which was afterwards adopted by Sextus Empiricus) how

sylogisms and concepts were also sometimes misleading, and indeed produced paralogisms and sophisms which arise much more easily and are far harder to explain than the illusion of sense-perception. However, this rationalism, which arose in opposition to empiricism, kept the upper hand, and Euclid constructed the science of mathematics in accordance with it. He was compelled by necessity to found the axioms upon evidence of perception (φαινόμενον), but all the rest he based upon reasoning (νοούμενον). His method reigned supreme through all the succeeding centuries, and it could not but do so as long as pure intuition or perception, *a priori*, was not distinguished from empirical perception. Certain passages from the works of Proclus, the commentator of Euclid, which Kepler translated into Latin in his book, “De Harmonia Mundi,” seem to show that he fully recognised this distinction. But Proclus did not attach enough importance to the matter; he merely mentioned it by the way, so that he remained unnoticed and accomplished nothing. Therefore, not till two thousand years later will the doctrine of Kant, which is destined to make such great changes in all the knowledge, thought, and action of European nations, produce this change in mathematics also. For it is only after we have learned from this great man that the intuitions or perceptions of space and time are quite different from empirical perceptions, entirely independent of any impression of the senses, conditioning it, not conditioned by it, *i.e.*, are *a priori*, and therefore are not exposed to the illusions of sense; only after we have learned this, I say, can we comprehend that Euclid’s logical method of treating mathematics is a useless precaution, a crutch for sound legs, that it is like a wanderer who during the night mistakes a bright, firm road for water, and carefully avoiding it, toils over the broken ground beside it, content to keep from point to point along the edge of the supposed water. Only now can we affirm with certainty that what presents itself to us as necessary in the perception of a figure, does not come from the figure on the paper, which is perhaps very defectively drawn, nor from the abstract concept under which we think it, but immediately from the form of all knowledge of which we are conscious *a priori*. This is always the principle of sufficient reason; here as the form of perception, *i.e.*, space, it is the principle of the ground of being, the evidence and validity of which is, however, just as great and as immediate as that of the principle of the ground of knowing, *i.e.*, logical certainty. Thus we need not and ought not to leave the peculiar province of



mathematics in order to put our trust only in logical proof, and seek to authenticate mathematics in a sphere which is quite foreign to it, that of concepts. If we confine ourselves to the ground peculiar to mathematics, we gain the great advantage that in it the rational knowledge *that* something is, is one with the knowledge *why* it is so, whereas the method of Euclid entirely separates these two, and lets us know only the first, not the second. Aristotle says admirably in the *Analyt.*, post. i. 27: “Ακριβεστερα δ’ επιστημη επιστημης και προτερα, ἥτε του ὅτι και του διοτι ἡ αυτη, αλλα μη χωρις του ὅτι, της του διοτι” (*Subtilior autem et praestantior ea est scientia, quâ quod aliquid sit, et cur sit una simulque intelligimus non separatim quod, et cur sit*). In physics we are only satisfied when the knowledge that a thing is as it is is combined with the knowledge why it is so. To know that the mercury in the Torricellian tube stands thirty inches high is not really rational knowledge if we do not know that it is sustained at this height by the counterbalancing weight of the atmosphere. Shall we then be satisfied in mathematics with the *qualitas occulta* of the circle that the segments of any two intersecting chords always contain equal rectangles? That it is so Euclid certainly demonstrates in the 35th Prop. of the Third Book; *why* it is so remains doubtful. In the same way the proposition of Pythagoras teaches us a *qualitas occulta* of the right-angled triangle; the stilted and indeed fallacious demonstration of Euclid forsakes us at the *why*, and a simple figure, which we already know, and which is present to us, gives at a glance far more insight into the matter, and firm inner conviction of that necessity, and of the dependence of that quality upon the right angle: —



In the case of unequal catheti also, and indeed generally in the case of every possible geometrical truth, it is quite possible to obtain such a conviction based on perception, because these truths were always discovered by such an empirically known necessity, and their demonstration was only thought out afterwards in addition. Thus we only require an analysis of the process of thought in the first discovery of a geometrical truth in order to know its necessity empirically. It is the analytical method in general that I wish for the exposition of mathematics, instead of the synthetical method which Euclid made use of. Yet this would have very great, though not insuperable, difficulties in the case of complicated mathematical truths. Here and there in Germany men are beginning to alter the exposition of mathematics, and to proceed more in this analytical way. The greatest effort in this direction has been made by Herr Kosack, teacher of mathematics and physics in the Gymnasium at Nordhausen, who added a thorough attempt to teach geometry according to my principles to the programme of the school examination on the 6th of April 1852.

In order to improve the method of mathematics, it is especially necessary to overcome the prejudice that demonstrated truth has any superiority over what is known through perception, or that logical truth founded upon the principle of contradiction has any superiority over metaphysical truth, which is immediately evident, and to which belongs the pure intuition or perception of space.

That which is most certain, and yet always inexplicable, is what is involved in the principle of sufficient reason, for this principle, in its different aspects, expresses the universal form of all our ideas and knowledge. All explanation consists of reduction to it, exemplification in the particular case of the connection of ideas expressed generally through it. It is thus the principle of all explanation, and therefore it is neither susceptible of an explanation itself, nor does it stand in need of it; for every explanation presupposes it, and only obtains meaning through it. Now, none of its forms are superior to the rest; it is equally certain and incapable of demonstration as the principle of the ground of being, or of change, or of action, or of knowing. The relation of reason and consequent is a necessity in all its forms, and indeed it is, in general, the source of the concept of necessity, for necessity has no other meaning. If the reason is given there is no other necessity than that of the consequent, and there is no reason that does not involve the necessity of the consequent. Just as surely then as the consequent expressed in the conclusion follows from the ground of knowledge given in the premises, does the ground of being in space determine its consequent in space: if I know through perception the relation of these two, this certainty is just as great as any logical certainty. But every geometrical proposition is just as good an expression of such a relation as one of the twelve axioms; it is a metaphysical truth, and as such, just as certain as the principle of contradiction itself, which is a metalogical truth, and the common foundation of all logical demonstration. Whoever denies the necessity, exhibited for intuition or perception, of the space-relations expressed in any proposition, may just as well deny the axioms, or that the conclusion follows from the premises, or, indeed, he may as well deny the principle of contradiction itself, for all these relations are equally undemonstrable, immediately evident and known *a priori*. For any one to wish to derive the necessity of space-relations, known in intuition or perception, from the principle of contradiction by means of a logical demonstration is just the same as for the feudal superior of an estate to wish to hold it as the vassal of another. Yet this is what Euclid has done. His axioms only, he is compelled to leave resting upon immediate evidence; all the geometrical truths which follow are demonstrated logically, that is to say, from the agreement of the assumptions made in the proposition with the axioms which are presupposed, or with some earlier proposition; or from the contradiction between the opposite of the proposition and the

assumptions made in it, or the axioms, or earlier propositions, or even itself. But the axioms themselves have no more immediate evidence than any other geometrical problem, but only more simplicity on account of their smaller content.

When a criminal is examined, a *procès-verbal* is made of his statement in order that we may judge of its truth from its consistency. But this is only a makeshift, and we are not satisfied with it if it is possible to investigate the truth of each of his answers for itself; especially as he might lie consistently from the beginning. But Euclid investigated space according to this first method. He set about it, indeed, under the correct assumption that nature must everywhere be consistent, and that therefore it must also be so in space, its fundamental form. Since then the parts of space stand to each other in a relation of reason and consequent, no single property of space can be different from what it is without being in contradiction with all the others. But this is a very troublesome, unsatisfactory, and roundabout way to follow. It prefers indirect knowledge to direct, which is just as certain, and it separates the knowledge that a thing is from the knowledge why it is, to the great disadvantage of the science; and lastly, it entirely withholds from the beginner insight into the laws of space, and indeed renders him unaccustomed to the special investigation of the ground and inner connection of things, inclining him to be satisfied with a mere historical knowledge that a thing is as it is. The exercise of acuteness which this method is unceasingly extolled as affording consists merely in this, that the pupil practises drawing conclusions, *i.e.*, he practises applying the principle of contradiction, but specially he exerts his memory to retain all those data whose agreement is to be tested. Moreover, it is worth noticing that this method of proof was applied only to geometry and not to arithmetic. In arithmetic the truth is really allowed to come home to us through perception alone, which in it consists simply in counting. As the perception of numbers is in *time alone*, and therefore cannot be represented by a sensuous schema like the geometrical figure, the suspicion that perception is merely empirical, and possibly illusive, disappeared in arithmetic, and the introduction of the logical method of proof into geometry was entirely due to this suspicion. As time has only one dimension, counting is the only arithmetical operation, to which all others may be reduced; and yet counting is just intuition or perception *a priori*, to which there is no hesitation in appealing here, and through which alone everything else, every sum and

every equation, is ultimately proved. We prove, for example, not that  $(7 + 9 \times 8 - 2)/3 = 42$ ; but we refer to the pure perception in time, counting thus makes each individual problem an axiom. Instead of the demonstrations that fill geometry, the whole content of arithmetic and algebra is thus simply a method of abbreviating counting. We mentioned above that our immediate perception of numbers in time extends only to about ten. Beyond this an abstract concept of the numbers, fixed by a word, must take the place of the perception; which does not therefore actually occur any longer, but is only indicated in a thoroughly definite manner. Yet even so, by the important assistance of the system of figures which enables us to represent all larger numbers by the same small ones, intuitive or perceptive evidence of every sum is made possible, even where we make such use of abstraction that not only the numbers, but indefinite quantities and whole operations are thought only in the abstract and indicated as so thought, as  $\sqrt[r]{b}$  so that we do not perform them, but merely symbolise them.

We might establish truth in geometry also, through pure *a priori* perception, with the same right and certainty as in arithmetic. It is in fact always this necessity, known through perception in accordance with the principle of sufficient reason of being, which gives to geometry its principal evidence, and upon which in the consciousness of every one, the certainty of its propositions rests. The stilted logical demonstration is always foreign to the matter, and is generally soon forgotten, without weakening our conviction. It might indeed be dispensed with altogether without diminishing the evidence of geometry, for this is always quite independent of such demonstration, which never proves anything we are not convinced of already, through another kind of knowledge. So far then it is like a cowardly soldier, who adds a wound to an enemy slain by another, and then boasts that he slew him himself.<sup>22</sup>

After all this we hope there will be no doubt that the evidence of mathematics, which has become the pattern and symbol of all evidence, rests essentially not upon demonstration, but upon immediate perception, which is thus here, as everywhere else, the ultimate ground and source of truth. Yet the perception which lies at the basis of mathematics has a great advantage over all other perception, and therefore over empirical perception. It is *a priori*, and therefore independent of experience, which is always given only in successive parts; therefore everything is equally near to it, and we can start either from the reason or from the consequent, as we

please. Now this makes it absolutely reliable, for in it the consequent is known from the reason, and this is the only kind of knowledge that has necessity; for example, the equality of the sides is known as established by the equality of the angles. All empirical perception, on the other hand, and the greater part of experience, proceeds conversely from the consequent to the reason, and this kind of knowledge is not infallible, for necessity only attaches to the consequent on account of the reason being given, and no necessity attaches to the knowledge of the reason from the consequent, for the same consequent may follow from different reasons. The latter kind of knowledge is simply induction, *i.e.*, from many consequents which point to one reason, the reason is accepted as certain; but as the cases can never be all before us, the truth here is not unconditionally certain. But all knowledge through sense-perception, and the great bulk of experience, has only this kind of truth. The affection of one of the senses induces the understanding to infer a cause of the effect, but, as a conclusion from the consequent to the reason is never certain, illusion, which is deception of the senses, is possible, and indeed often occurs, as was pointed out above. Only when several of the senses, or it may be all the five, receive impressions which point to the same cause, the possibility of illusion is reduced to a minimum; but yet it still exists, for there are cases, for example, the case of counterfeit money, in which all the senses are deceived. All empirical knowledge, and consequently the whole of natural science, is in the same position, except only the pure, or as Kant calls it, metaphysical part of it. Here also the causes are known from the effects, consequently all natural philosophy rests upon hypotheses, which are often false, and must then gradually give place to more correct ones. Only in the case of purposely arranged experiments, knowledge proceeds from the cause to the effect, that is, it follows the method that affords certainty; but these experiments themselves are undertaken in consequence of hypotheses. Therefore, no branch of natural science, such as physics, or astronomy, or physiology could be discovered all at once, as was the case with mathematics and logic, but required and requires the collected and compared experiences of many centuries. In the first place, repeated confirmation in experience brings the induction, upon which the hypothesis rests, so near completeness that in practice it takes the place of certainty, and is regarded as diminishing the value of the hypothesis, its source, just as little as the incommensurability of straight and curved lines diminishes the value of the application of geometry, or that

perfect exactness of the logarithm, which is not attainable, diminishes the value of arithmetic. For as the logarithm, or the squaring of the circle, approaches infinitely near to correctness through infinite fractions, so, through manifold experience, the induction, *i.e.*, the knowledge of the cause from the effects, approaches, not infinitely indeed, but yet so near mathematical evidence, *i.e.*, knowledge of the effects from the cause, that the possibility of mistake is small enough to be neglected, but yet the possibility exists; for example, a conclusion from an indefinite number of cases to all cases, *i.e.*, to the unknown ground on which all depend, is an induction. What conclusion of this kind seems more certain than that all men have the heart on the left side? Yet there are extremely rare and quite isolated exceptions of men who have the heart upon the right side. Sense-perception and empirical science have, therefore, the same kind of evidence. The advantage which mathematics, pure natural science, and logic have over them, as *a priori* knowledge, rests merely upon this, that the formal element in knowledge upon which all that is *a priori* is based, is given as a whole and at once, and therefore in it we can always proceed from the cause to the effect, while in the former kind of knowledge we are generally obliged to proceed from the effect to the cause. In other respects, the law of causality, or the principle of sufficient reason of change, which guides empirical knowledge, is in itself just as certain as the other forms of the principle of sufficient reason which are followed by the *a priori* sciences referred to above. Logical demonstrations from concepts or syllogisms have the advantage of proceeding from the reason to the consequent, just as much as knowledge through perception *a priori*, and therefore in themselves, *i.e.*, according to their form, they are infallible. This has greatly assisted to bring demonstration in general into such esteem. But this infallibility is merely relative; the demonstration merely subsumes under the first principles of the science, and it is these which contain the whole material truth of science, and they must not themselves be demonstrated, but must be founded on perception. In the few *a priori* sciences we have named above, this perception is pure, but everywhere else it is empirical, and is only raised to universality through induction. If, then, in the empirical sciences also, the particular is proved from the general, yet the general, on the other hand, has received its truth from the particular; it is only a store of collected material, not a self-constituted foundation.

So much for the foundation of truth. Of the source and possibility of error many explanations have been tried since Plato's metaphorical solution of the dove-cot where the wrong pigeons are caught, &c. (Theætetus, p. 167, *et seq.*) Kant's vague, indefinite explanation of the source of error by means of the diagram of diagonal motion, will be found in the "Critique of Pure Reason," p. 294 of the first edition, and p. 350 of the fifth. As truth is the relation of a judgment to its ground of knowledge, it is always a problem how the person judging can believe that he has such a ground of knowledge and yet not have it; that is to say, how error, the deception of reason, is possible. I find this possibility quite analogous to that of illusion, or the deception of the understanding, which has been explained above. My opinion is (and this is what gives this explanation its proper place here) that *every error is an inference from the consequent to the reason*, which indeed is valid when we know that the consequent has that reason and can have no other; but otherwise is not valid. The person who falls into error, either attributes to a consequent a reason which it cannot have, in which case he shows actual deficiency of understanding, *i.e.*, deficiency in the capacity for immediate knowledge of the connection between the cause and the effect, or, as more frequently happens, he attributes to the effect a cause which is possible, but he adds to the major proposition of the syllogism, in which he infers the cause from the effect, that this effect *always* results only from this cause. Now he could only be assured of this by a complete induction, which, however, he assumes without having made it. This "always" is therefore too wide a concept, and instead of it he ought to have used "sometimes" or "generally." The conclusion would then be problematical, and therefore not erroneous. That the man who errs should proceed in this way is due either to haste, or to insufficient knowledge of what is possible, on account of which he does not know the necessity of the induction that ought to be made. Error then is quite analogous to illusion. Both are inferences from the effect to the cause; the illusion brought about always in accordance with the law of causality, and by the understanding alone, thus directly, in perception itself; the error in accordance with all the forms of the principle of sufficient reason, and by the reason, thus in thought itself; yet most commonly in accordance with the law of causality, as will appear from the three following examples, which may be taken as types or representatives of the three kinds of error. (1.) The illusion of the senses (deception of the understanding) induces error (deception of the reason); for



example, if one mistakes a painting for an alto-relief, and actually takes it for such; the error results from a conclusion from the following major premise: “If dark grey passes regularly through all shades to white; the cause is *always* the light, which strikes differently upon projections and depressions, *ergo* — .” (2.) “If there is no money in my safe, the cause is *always* that my servant has got a key for it: *ergo* — .” (3.) “If a ray of sunlight, broken through a prism, *i.e.*, bent up or down, appears as a coloured band instead of round and white as before, the cause must always be that light consists of homogeneous rays, differently coloured and refrangible to different degrees, which, when forced asunder on account of the difference of their refrangibility, give an elongated and variously-coloured spectrum: *ergo* — *bibamus!*” — It must be possible to trace every error to such a conclusion, drawn from a major premise which is often only falsely generalised, hypothetical, and founded on the assumption that some particular cause is that of a certain effect. Only certain mistakes in counting are to be excepted, and they are not really errors, but merely mistakes. The operation prescribed by the concepts of the numbers has not been carried out in pure intuition or perception, in counting, but some other operation instead of it.

As regards the *content* of the sciences generally, it is, in fact, always the relation of the phenomena of the world to each other, according to the principle of sufficient reason, under the guidance of the *why*, which has validity and meaning only through this principle. *Explanation* is the establishment of this relation. Therefore explanation can never go further than to show two ideas standing to each other in the relation peculiar to that form of the principle of sufficient reason which reigns in the class to which they belong. If this is done we cannot further be asked the question, *why*: for the relation proved is that one which absolutely cannot be imagined as other than it is, *i.e.*, it is the form of all knowledge. Therefore we do not ask why  $2 + 2 = 4$ ; or why the equality of the angles of a triangle determines the equality of the sides; or why its effect follows any given cause; or why the truth of the conclusion is evident from the truth of the premises. Every explanation which does not ultimately lead to a relation of which no “why” can further be demanded, stops at an accepted *qualitas occulta*; but this is the character of every original force of nature. Every explanation in natural science must ultimately end with such a *qualitas occulta*, and thus with complete obscurity. It must leave the inner nature of a stone just as much

unexplained as that of a human being; it can give as little account of the weight, the cohesion, the chemical qualities, &c., of the former, as of the knowing and acting of the latter. Thus, for example, weight is a *qualitas occulta*, for it can be thought away, and does not proceed as a necessity from the form of knowledge; which, on the contrary, is not the case with the law of inertia, for it follows from the law of causality, and is therefore sufficiently explained if it is referred to that law. There are two things which are altogether inexplicable, — that is to say, do not ultimately lead to the relation which the principle of sufficient reason expresses. These are, first, the principle of sufficient reason itself in all its four forms, because it is the principle of all explanation, which has meaning only in relation to it; secondly, that to which this principle does not extend, but which is the original source of all phenomena; the thing-in-itself, the knowledge of which is not subject to the principle of sufficient reason. We must be content for the present not to understand this thing-in-itself, for it can only be made intelligible by means of the following book, in which we shall resume this consideration of the possible achievements of the sciences. But at the point at which natural science, and indeed every science, leaves things, because not only its explanation of them, but even the principle of this explanation, the principle of sufficient reason, does not extend beyond this point; there philosophy takes them up and treats them after its own method, which is quite distinct from the method of science. In my essay on the principle of sufficient reason, § 51, I have shown how in the different sciences the chief guiding clue is one or other form of that principle; and, in fact, perhaps the most appropriate classification of the sciences might be based upon this circumstance. Every explanation arrived at by the help of this clue is, as we have said, merely relative; it explains things in relation to each other, but something which indeed is presupposed is always left unexplained. In mathematics, for example, this is space and time; in mechanics, physics, and chemistry it is matter, qualities, original forces and laws of nature; in botany and zoology it is the difference of species, and life itself; in history it is the human race with all its properties of thought and will: in all it is that form of the principle of sufficient reason which is respectively applicable. It is peculiar to *philosophy* that it presupposes nothing as known, but treats everything as equally external and a problem; not merely the relations of phenomena, but also the phenomena themselves, and even the principle of sufficient reason to which the other sciences are

content to refer everything. In philosophy nothing would be gained by such a reference, as one member of the series is just as external to it as another; and, moreover, that kind of connection is just as much a problem for philosophy as what is joined together by it, and the latter again is just as much a problem after its combination has been explained as before it. For, as we have said, just what the sciences presuppose and lay down as the basis and the limits of their explanation, is precisely and peculiarly the problem of philosophy, which may therefore be said to begin where science ends. It cannot be founded upon demonstrations, for they lead from known principles to unknown, but everything is equally unknown and external to philosophy. There can be no principle in consequence of which the world with all its phenomena first came into existence, and therefore it is not possible to construct, as Spinoza wished, a philosophy which demonstrates *ex firmis principiis*. Philosophy is the most general rational knowledge, the first principles of which cannot therefore be derived from another principle still more general. The principle of contradiction establishes merely the agreement of concepts, but does not itself produce concepts. The principle of sufficient reason explains the connections of phenomena, but not the phenomena themselves; therefore philosophy cannot proceed upon these principles to seek a *causa efficiens* or a *causa finalis* of the whole world. My philosophy, at least, does not by any means seek to know *whence* or *wherefore* the world exists, but merely *what* the world is. But the *why* is here subordinated to the *what*, for it already belongs to the world, as it arises and has meaning and validity only through the form of its phenomena, the principle of sufficient reason. We might indeed say that every one knows what the world is without help, for he is himself that subject of knowledge of which the world is the idea; and so far this would be true. But that knowledge is empirical, is in the concrete; the task of philosophy is to reproduce this in the abstract to raise to permanent rational knowledge the successive changing perceptions, and in general, all that is contained under the wide concept of feeling and merely negatively defined as not abstract, distinct, rational knowledge. It must therefore consist of a statement in the abstract, of the nature of the whole world, of the whole, and of all the parts. In order then that it may not lose itself in the endless multitude of particular judgments, it must make use of abstraction and think everything individual in the universal, and its differences also in the universal. It must therefore partly separate and partly unite, in order to

present to rational knowledge the whole manifold of the world generally, according to its nature, comprehended in a few abstract concepts. Through these concepts, in which it fixes the nature of the world, the whole individual must be known as well as the universal, the knowledge of both therefore must be bound together to the minutest point. Therefore the capacity for philosophy consists just in that in which Plato placed it, the knowledge of the one in the many, and the many in the one. Philosophy will therefore be a sum-total of general judgments, whose ground of knowledge is immediately the world itself in its entirety, without excepting anything; thus all that is to be found in human consciousness; it will be *a complete recapitulation, as it were, a reflection, of the world in abstract concepts*, which is only possible by the union of the essentially identical in *one* concept and the relegation of the different to another. This task was already prescribed to philosophy by Bacon of Verulam when he said: *ea demum vera est philosophia, quae mundi ipsius voces fidelissime reddit, et veluti dictante mundo conscripta est, et nihil aliud est, quam ejusdem simulacrum et reflectio, neque addit quidquam de proprio, sed tantum iterat et resonat* (De Augm. Scient., L. 2, c. 13). But we take this in a wider sense than Bacon could then conceive.

The agreement which all the sides and parts of the world have with each other, just because they belong to a whole, must also be found in this abstract copy of it. Therefore the judgments in this sum-total could to a certain extent be deduced from each other, and indeed always reciprocally so deduced. Yet to make the first judgment possible, they must all be present, and thus implied as prior to it in the knowledge of the world in the concrete, especially as all direct proof is more certain than indirect proof; their harmony with each other by virtue of which they come together into the unity of *one* thought, and which arises from the harmony and unity of the world of perception itself, which is their common ground of knowledge, is not therefore to be made use of to establish them, as that which is prior to them, but is only added as a confirmation of their truth. This problem itself can only become quite clear in being solved.<sup>23</sup>

§ 16. After this full consideration of reason as a special faculty of knowledge belonging to man alone, and the results and phenomena peculiar to human nature brought about by it, it still remains for me to speak of reason, so far as it is the guide of human action, and in this respect may be called *practical*. But what there is to say upon this point has found its place

elsewhere in the appendix to this work, where I controvert the existence of the so-called practical reason of Kant, which he (certainly very conveniently) explained as the immediate source of virtue, and as the seat of an absolute (*i.e.*, fallen from heaven) imperative. The detailed and thorough refutation of this Kantian principle of morality I have given later in the “Fundamental Problems of Ethics.” There remains, therefore, but little for me to say here about the actual influence of reason, in the true sense of the word, upon action. At the commencement of our treatment of reason we remarked, in general terms, how much the action and behaviour of men differs from that of brutes, and that this difference is to be regarded as entirely due to the presence of abstract concepts in consciousness. The influence of these upon our whole existence is so penetrating and significant that, on account of them, we are related to the lower animals very much as those animals that see are related to those that have no eyes (certain larvae, worms, and zoophytes). Animals without eyes know only by touch what is immediately present to them in space, what comes into contact with them; those which see, on the contrary, know a wide circle of near and distant objects. In the same way the absence of reason confines the lower animals to the ideas of perception, *i.e.*, the real objects which are immediately present to them in time; we, on the contrary, on account of knowledge in the abstract, comprehend not only the narrow actual present, but also the whole past and future, and the wide sphere of the possible; we view life freely on all its sides, and go far beyond the present and the actual. Thus what the eye is in space and for sensuous knowledge, reason is, to a certain extent, in time and for inner knowledge. But as the visibility of objects has its worth and meaning only in the fact that it informs us of their tangibility, so the whole worth of abstract knowledge always consists in its relation to what is perceived. Therefore men naturally attach far more worth to immediate and perceived knowledge than to abstract concepts, to that which is merely thought; they place empirical knowledge before logical. But this is not the opinion of men who live more in words than in deeds, who have seen more on paper and in books than in actual life, and who in their greatest degeneracy become pedants and lovers of the mere letter. Thus only is it conceivable that Leibnitz and Wolf and all their successors could go so far astray as to explain knowledge of perception, after the example of Duns Scotus, as merely confused abstract knowledge! To the honour of Spinoza, I must mention that his truer sense led him, on the

contrary, to explain all general concepts as having arisen from the confusion of that which was known in perception (Eth. II., prop. 40, Schol. 1). It is also a result of perverted opinion that in mathematics the evidence proper to it was rejected, and logical evidence alone accepted; that everything in general which was not abstract knowledge was comprehended under the wide name of feeling, and consequently was little valued; and lastly that the Kantian ethics regarded the good will which immediately asserts itself upon knowledge of the circumstances, and guides to right and good action as mere feeling and emotion, and consequently as worthless and without merit, and would only recognise actions which proceed from abstract maxims as having moral worth.

The many-sided view of life as a whole which man, as distinguished from the lower animals, possesses through reason, may be compared to a geometrical, colourless, abstract, reduced plan of his actual life. He, therefore, stands to the lower animals as the navigator who, by means of chart, compass, and quadrant, knows accurately his course and his position at any time upon the sea, stands to the uneducated sailors who see only the waves and the heavens. Thus it is worth noticing, and indeed wonderful, how, besides his life in the concrete, man always lives another life in the abstract. In the former he is given as a prey to all the storms of actual life, and to the influence of the present; he must struggle, suffer, and die like the brute. But his life in the abstract, as it lies before his rational consciousness, is the still reflection of the former, and of the world in which he lives; it is just that reduced chart or plan to which we have referred. Here in the sphere of quiet deliberation, what completely possessed him and moved him intensely before, appears to him cold, colourless, and for the moment external to him; he is merely the spectator, the observer. In respect of this withdrawal into reflection he may be compared to an actor who has played his part in one scene, and who takes his place among the audience till it is time for him to go upon the stage again, and quietly looks on at whatever may happen, even though it be the preparation for his own death (in the piece), but afterwards he again goes on the stage and acts and suffers as he must. From this double life proceeds that quietness peculiar to human beings, so very different from the thoughtlessness of the brutes, and with which, in accordance with previous reflection, or a formed determination, or a recognised necessity, a man suffers or accomplishes in cold blood, what is of the utmost and often terrible importance to him; suicide, execution,

the duel, enterprises of every kind fraught with danger to life, and, in general, things against which his whole animal nature rebels. Under such circumstances we see to what an extent reason has mastered the animal nature, and we say to the strong: σιδηρειον νυ τοι ἦτορ! (*ferreum certe tibi cor*), Il. 24, 521. Here we can say truly that reason manifests itself practically, and thus wherever action is guided by reason, where the motives are abstract concepts, wherever we are not determined by particular ideas of perception, nor by the impression of the moment which guides the brutes, there *practical reason* shows itself. But I have fully explained in the Appendix, and illustrated by examples, that this is entirely different from and unrelated to the ethical worth of actions; that rational action and virtuous action are two entirely different things; that reason may just as well find itself in connection with great evil as with great good, and by its assistance may give great power to the one as well as to the other; that it is equally ready and valuable for the methodical and consistent carrying out of the noble and of the bad intention, of the wise as of the foolish maxim; which all results from the constitution of its nature, which is feminine, receptive, retentive, and not spontaneous; all this I have shown in detail in the Appendix, and illustrated by examples. What is said there would have been placed here, but on account of my polemic against Kant's pretended practical reason I have been obliged to relegate it to the Appendix, to which I therefore refer.

The ideal explained in the *Stoical philosophy* is the most complete development of *practical reason* in the true and genuine sense of the word; it is the highest summit to which man can attain by the mere use of his reason, and in it his difference from the brutes shows itself most distinctly. For the ethics of Stoicism are originally and essentially, not a doctrine of virtue, but merely a guide to a rational life, the end and aim of which is happiness through peace of mind. Virtuous conduct appears in it as it were merely by accident, as the means, not as the end. Therefore the ethical theory of Stoicism is in its whole nature and point of view fundamentally different from the ethical systems which lay stress directly upon virtue, such as the doctrines of the Vedas, of Plato, of Christianity, and of Kant. The aim of Stoical ethics is happiness: τέλος το εὐδαιμονεῖν (*virtutes omnes finem habere beatitudinem*) it is called in the account of the Stoa by Stobæus (Ecl., L. ii. c. 7, p. 114, and also p. 138). Yet the ethics of Stoicism teach that happiness can only be attained with certainty through inward peace and

quietness of spirit (αταραξία), and that this again can only be reached through virtue; this is the whole meaning of the saying that virtue is the highest good. But if indeed by degrees the end is lost sight of in the means, and virtue is inculcated in a way which discloses an interest entirely different from that of one's own happiness, for it contradicts this too distinctly; this is just one of those inconsistencies by means of which, in every system, the immediately known, or, as it is called, felt truth leads us back to the right way in defiance of syllogistic reasoning; as, for example, we see clearly in the ethical teaching of Spinoza, which deduces a pure doctrine of virtue from the egoistical *suum utile quærere* by means of palpable sophisms. According to this, as I conceive the spirit of the Stoical ethics, their source lies in the question whether the great prerogative of man, reason, which, by means of planned action and its results, relieves life and its burdens so much, might not also be capable of freeing him at once, directly, *i.e.*, through mere knowledge, completely, or nearly so, of the sorrows and miseries of every kind of which his life is full. They held that it was not in keeping with the prerogative of reason that the nature given with it, which by means of it comprehends and contemplates an infinity of things and circumstances, should yet, through the present, and the accidents that can be contained in the few years of a life that is short, fleeting, and uncertain, be exposed to such intense pain, to such great anxiety and suffering, as arise from the tempestuous strain of the desires and the antipathies; and they believed that the due application of reason must raise men above them, and can make them invulnerable. Therefore Antisthenes says: Δει κτασθαι νουν, η βροχον (*aut mentem parandam, aut laqueum*. Plut. de stoic. repugn., c. 14), *i.e.*, life is so full of troubles and vexations, that one must either rise above it by means of corrected thoughts, or leave it. It was seen that want and suffering did not directly and of necessity spring from not having, but from desiring to have and not having; that therefore this desire to have is the necessary condition under which alone it becomes a privation not to have and begets pain. Ου πενια λυπην εργαζεται, αλλα επιθυμια (*non paupertas dolorem efficit, sed cupiditas*), Epict., fragm. 25. Men learned also from experience that it is only the hope of what is claimed that begets and nourishes the wish; therefore neither the many unavoidable evils which are common to all, nor unattainable blessings, disquiet or trouble us, but only the trifling more or less of those things which we can avoid or attain; indeed, not only what is absolutely



unavoidable or unattainable, but also what is merely relatively so, leaves us quite undisturbed; therefore the ills that have once become joined to our individuality, or the good things that must of necessity always be denied us, are treated with indifference, in accordance with the peculiarity of human nature that every wish soon dies and can no more beget pain if it is not nourished by hope. It followed from all this that happiness always depends upon the proportion between our claims and what we receive. It is all one whether the quantities thus related be great or small, and the proportion can be established just as well by diminishing the amount of the first as by increasing the amount of the second; and in the same way it also follows that all suffering proceeds from the want of proportion between what we demand and expect and what we get. Now this want of proportion obviously lies only in knowledge, and it could be entirely abolished through fuller insight.<sup>24</sup> Therefore Chrysippus says: *δει ζην κατ' εμπειριαν των φυσει συμβαινοντων* (Stob. Ecl., L. ii. c. 7, p. 134), that is, one ought to live with a due knowledge of the transitory nature of the things of the world. For as often as a man loses self-command, or is struck down by a misfortune, or grows angry, or becomes faint-hearted, he shows that he finds things different from what he expected, consequently that he was caught in error, and did not know the world and life, did not know that the will of the individual is crossed at every step by the chance of inanimate nature and the antagonism of aims and the wickedness of other individuals: he has therefore either not made use of his reason in order to arrive at a general knowledge of this characteristic of life, or he lacks judgment, in that he does not recognise in the particular what he knows in general, and is therefore surprised by it and loses his self-command.<sup>25</sup> Thus also every keen pleasure is an error and an illusion, for no attained wish can give lasting satisfaction; and, moreover, every possession and every happiness is but lent by chance for an uncertain time, and may therefore be demanded back the next hour. All pain rests on the passing away of such an illusion; thus both arise from defective knowledge; the wise man therefore holds himself equally aloof from joy and sorrow, and no event disturbs his *αταραξια*.

In accordance with this spirit and aim of the Stoa, Epictetus began and ended with the doctrine as the kernel of his philosophy, that we should consider well and distinguish what depends upon us and what does not, and therefore entirely avoid counting upon the latter, whereby we shall certainly remain free from all pain, sorrow, and anxiety. But that which alone is

dependent upon us is the will; and here a transition gradually takes place to a doctrine of virtue, for it is observed that as the outer world, which is independent of us, determines good and bad fortune, so inner contentment with ourselves, or the absence of it, proceeds from the will. But it was then asked whether we ought to apply the words *bonum* and *malum* to the two former or to the two latter? This was indeed arbitrary and a matter of choice, and did not make any real difference, but yet the Stoics disputed everlastingly with the Peripatetics and Epicureans about it, and amused themselves with the inadmissible comparison of two entirely incommensurable quantities, and the antithetical, paradoxical judgments which proceeded from them, and which they flung at each other. The *Paradoxa* of Cicero afford us an interesting collection of these from the Stoical side.

Zeno, the founder, seems originally to have followed a somewhat different path. The starting-point with him was that for the attainment of the highest good, *i.e.*, blessedness and spiritual peace, one must live in harmony with oneself (ὁμολογουμένους ζῆν; δ' ἐστὶ καθ' ἓνα λόγον καὶ συμφωνον ζῆν. — *Consonanter vivere: hoc est secundum unam rationem et concordem sibi vivere.* Stob. Ecl. eth. L. ii., c. 7, p. 132. Also: Ἀρετὴν διαθέσιν εἶναι ψυχῆς συμφωνον ἑαυτῇ περὶ ὅλον τὸν βίον. *Virtutem esse animi affectionem secum per totam vitam consentientem, ibid.*, p. 104.) Now this was only possible for a man if he determined himself entirely rationally, according to concepts, not according to changing impressions and moods; since, however, only the maxims of our conduct, not the consequences nor the outward circumstances, are in our power, in order to be always consistent we must set before us as our aim only the maxims and not the consequences and circumstances, and thus again a doctrine of virtue is introduced.

But the ethical principle of Zeno — to live in harmony with oneself — appeared even to his immediate successors to be too formal and empty. They therefore gave it material content by the addition— “to live in harmony with nature” (ὁμολογουμένως τῇ φύσει ζῆν), which, as Stobæus mentions in another place, was first added by Kleanthes, and extended the matter very much on account of the wide sphere of the concept and the vagueness of the expression. For Kleanthes meant the whole of nature in general, while Chrysippus meant human nature in particular (Diog. Laert., 7, 89). It followed that what alone was adapted to the latter was virtue, just as the satisfaction of animal desires was adapted to animal natures; and thus ethics had again to be forcibly united to a doctrine of virtue, and in some way or other established through physics. For the Stoics always aimed at unity of principle, as for them God and the world were not dissevered.

The ethical system of Stoicism, regarded as a whole, is in fact a very valuable and estimable attempt to use the great prerogative of man, reason, for an important and salutary end; to raise him above the suffering and pain to which all life is exposed, by means of a maxim —

*“Qua ratione queas traducere leniter ævum:*

*Ne te semper inops agitet vexetque cupido,*

*Ne pavor et rerum mediocriter utilium spes,”*

and thus to make him partake, in the highest degree, of the dignity which belongs to him as a rational being, as distinguished from the brutes; a

dignity of which, in this sense at any rate, we can speak, though not in any other. It is a consequence of my view of the ethical system of Stoicism that it must be explained at the part of my work at which I consider what reason is and what it can do. But although it may to a certain extent be possible to attain that end through the application of reason, and through a purely rational system of ethics, and although experience shows that the happiest men are those purely rational characters commonly called practical philosophers, — and rightly so, because just as the true, that is, the theoretical philosopher carries life into the concept, they carry the concept into life, — yet it is far from the case that perfection can be attained in this way, and that the reason, rightly used, can really free us from the burden and sorrow of life, and lead us to happiness. Rather, there lies an absolute contradiction in wishing to live without suffering, and this contradiction is also implied in the commonly used expression, “blessed life.” This will become perfectly clear to whoever comprehends the whole of the following exposition. In this purely rational system of ethics the contradiction reveals itself thus, the Stoic is obliged in his doctrine of the way to the blessed life (for that is what his ethical system always remains) to insert a recommendation of suicide (as among the magnificent ornaments and apparel of Eastern despots there is always a costly vial of poison) for the case in which the sufferings of the body, which cannot be philosophised away by any principles or syllogistic reasonings, are paramount and incurable; thus its one aim, blessedness, is rendered vain, and nothing remains as a mode of escape from suffering except death; in such a case then death must be voluntarily accepted, just as we would take any other medicine. Here then a marked antagonism is brought out between the ethical system of Stoicism and all those systems referred to above which make virtue in itself directly, and accompanied by the most grievous sorrows, their aim, and will not allow a man to end his life in order to escape from suffering. Not one of them, however, was able to give the true reason for the rejection of suicide, but they laboriously collected illusory explanations from all sides: the true reason will appear in the Fourth Book in the course of the development of our system. But the antagonism referred to reveals and establishes the essential difference in fundamental principle between Stoicism, which is just a special form of endæmonism, and those doctrines we have mentioned, although both are often at one in their results, and are apparently related. And the inner contradiction referred to above,

with which the ethical system of Stoicism is affected even in its fundamental thought, shows itself further in the circumstance that its ideal, the Stoic philosopher, as the system itself represents him, could never obtain life or inner poetic truth, but remains a wooden, stiff lay-figure of which nothing can be made. He cannot himself make use of his wisdom, and his perfect peace, contentment, and blessedness directly contradict the nature of man, and preclude us from forming any concrete idea of him. When compared with him, how entirely different appear the overcomers of the world, and voluntary hermits that Indian philosophy presents to us, and has actually produced; or indeed, the holy man of Christianity, that excellent form full of deep life, of the greatest poetic truth, and the highest significance, which stands before us in perfect virtue, holiness, and sublimity, yet in a state of supreme suffering.<sup>[26](#)</sup>

## **Second Book. The World As Will.**

## First Aspect. The Objectification Of The Will.

Nos habitat, non tartara, sed nec sidera coeli:

Spiritus, in nobis qui viget, illa facit.

§ 17. In the first book we considered the idea merely as such, that is, only according to its general form. It is true that as far as the abstract idea, the concept, is concerned, we obtained a knowledge of it in respect of its content also, because it has content and meaning only in relation to the idea of perception, without which it would be worthless and empty. Accordingly, directing our attention exclusively to the idea of perception, we shall now endeavour to arrive at a knowledge of its content, its more exact definition, and the forms which it presents to us. And it will specially interest us to find an explanation of its peculiar significance, that significance which is otherwise merely felt, but on account of which it is that these pictures do not pass by us entirely strange and meaningless, as they must otherwise do, but speak to us directly, are understood, and obtain an interest which concerns our whole nature.

We direct our attention to mathematics, natural science, and philosophy, for each of these holds out the hope that it will afford us a part of the explanation we desire. Now, taking philosophy first, we find that it is like a monster with many heads, each of which speaks a different language. They are not, indeed, all at variance on the point we are here considering, the significance of the idea of perception. For, with the exception of the Sceptics and the Idealists, the others, for the most part, speak very much in the same way of an *object* which constitutes the *basis* of the idea, and which is indeed different in its whole being and nature from the idea, but yet is in all points as like it as one egg is to another. But this does not help us, for we are quite unable to distinguish such an object from the idea; we find that they are one and the same; for every object always and for ever presupposes a subject, and therefore remains idea, so that we recognised objectivity as belonging to the most universal form of the idea, which is the division into subject and object. Further, the principle of sufficient reason, which is referred to in support of this doctrine, is for us merely the form of the idea, the orderly combination of one idea with another, but not the combination of the whole finite or infinite series of ideas with something which is not idea at all, and which cannot therefore be presented in perception. Of the

Sceptics and Idealists we spoke above, in examining the controversy about the reality of the outer world.

If we turn to mathematics to look for the fuller knowledge we desire of the idea of perception, which we have, as yet, only understood generally, merely in its form, we find that mathematics only treats of these ideas so far as they fill time and space, that is, so far as they are quantities. It will tell us with the greatest accuracy the how-many and the how-much; but as this is always merely relative, that is to say, merely a comparison of one idea with others, and a comparison only in the one respect of quantity, this also is not the information we are principally in search of.

Lastly, if we turn to the wide province of natural science, which is divided into many fields, we may, in the first place, make a general division of it into two parts. It is either the description of forms, which I call *Morphology*, or the explanation of changes, which I call *Etiology*. The first treats of the permanent forms, the second of the changing matter, according to the laws of its transition from one form to another. The first is the whole extent of what is generally called natural history. It teaches us, especially in the sciences of botany and zoology, the various permanent, organised, and therefore definitely determined forms in the constant change of individuals; and these forms constitute a great part of the content of the idea of perception. In natural history they are classified, separated, united, arranged according to natural and artificial systems, and brought under concepts which make a general view and knowledge of the whole of them possible. Further, an infinitely fine analogy both in the whole and in the parts of these forms, and running through them all (*unité de plan*), is established, and thus they may be compared to innumerable variations on a theme which is not given. The passage of matter into these forms, that is to say, the origin of individuals, is not a special part of natural science, for every individual springs from its like by generation, which is everywhere equally mysterious, and has as yet evaded definite knowledge. The little that is known on the subject finds its place in physiology, which belongs to that part of natural science I have called etiology. Mineralogy also, especially where it becomes geology, inclines towards etiology, though it principally belongs to morphology. Etiology proper comprehends all those branches of natural science in which the chief concern is the knowledge of cause and effect. The sciences teach how, according to an invariable rule, one condition of matter is necessarily followed by a certain other condition;



how one change necessarily conditions and brings about a certain other change; this sort of teaching is called *explanation*. The principal sciences in this department are mechanics, physics, chemistry, and physiology.

If, however, we surrender ourselves to its teaching, we soon become convinced that etiology cannot afford us the information we chiefly desire, any more than morphology. The latter presents to us innumerable and infinitely varied forms, which are yet related by an unmistakable family likeness. These are for us ideas, and when only treated in this way, they remain always strange to us, and stand before us like hieroglyphics which we do not understand. Etiology, on the other hand, teaches us that, according to the law of cause and effect, this particular condition of matter brings about that other particular condition, and thus it has explained it and performed its part. However, it really does nothing more than indicate the orderly arrangement according to which the states of matter appear in space and time, and teach in all cases what phenomenon must necessarily appear at a particular time in a particular place. It thus determines the position of phenomena in time and space, according to a law whose special content is derived from experience, but whose universal form and necessity is yet known to us independently of experience. But it affords us absolutely no information about the inner nature of any one of these phenomena: this is called a *force of nature*, and it lies outside the province of causal explanation, which calls the constant uniformity with which manifestations of such a force appear whenever their known conditions are present, a *law of nature*. But this law of nature, these conditions, and this appearance in a particular place at a particular time, are all that it knows or ever can know. The force itself which manifests itself, the inner nature of the phenomena which appear in accordance with these laws, remains always a secret to it, something entirely strange and unknown in the case of the simplest as well as of the most complex phenomena. For although as yet etiology has most completely achieved its aim in mechanics, and least completely in physiology, still the force on account of which a stone falls to the ground or one body repels another is, in its inner nature, not less strange and mysterious than that which produces the movements and the growth of an animal. The science of mechanics presupposes matter, weight, impenetrability, the possibility of communicating motion by impact, inertia and so forth as ultimate facts, calls them forces of nature, and their necessary and orderly appearance under certain conditions a law of nature.

Only after this does its explanation begin, and it consists in indicating truly and with mathematical exactness, how, where and when each force manifests itself, and in referring every phenomenon which presents itself to the operation of one of these forces. Physics, chemistry, and physiology proceed in the same way in their province, only they presuppose more and accomplish less. Consequently the most complete etiological explanation of the whole of nature can never be more than an enumeration of forces which cannot be explained, and a reliable statement of the rule according to which phenomena appear in time and space, succeed, and make way for each other. But the inner nature of the forces which thus appear remains unexplained by such an explanation, which must confine itself to phenomena and their arrangement, because the law which it follows does not extend further. In this respect it may be compared to a section of a piece of marble which shows many veins beside each other, but does not allow us to trace the course of the veins from the interior of the marble to its surface. Or, if I may use an absurd but more striking comparison, the philosophical investigator must always have the same feeling towards the complete etiology of the whole of nature, as a man who, without knowing how, has been brought into a company quite unknown to him, each member of which in turn presents another to him as his friend and cousin, and therefore as quite well known, and yet the man himself, while at each introduction he expresses himself gratified, has always the question on his lips: "But how the deuce do I stand to the whole company?"

Thus we see that, with regard to those phenomena which we know only as our ideas, etiology can never give us the desired information that shall carry us beyond this point. For, after all its explanations, they still remain quite strange to us, as mere ideas whose significance we do not understand. The causal connection merely gives us the rule and the relative order of their appearance in space and time, but affords us no further knowledge of that which so appears. Moreover, the law of causality itself has only validity for ideas, for objects of a definite class, and it has meaning only in so far as it presupposes them. Thus, like these objects themselves, it always exists only in relation to a subject, that is, conditionally; and so it is known just as well if we start from the subject, *i.e.*, *a priori*, as if we start from the object, *i.e.*, *a posteriori*. Kant indeed has taught us this.

But what now impels us to inquiry is just that we are not satisfied with knowing that we have ideas, that they are such and such, and that they are

connected according to certain laws, the general expression of which is the principle of sufficient reason. We wish to know the significance of these ideas; we ask whether this world is merely idea; in which case it would pass by us like an empty dream or a baseless vision, not worth our notice; or whether it is also something else, something more than idea, and if so, what. Thus much is certain, that this something we seek for must be completely and in its whole nature different from the idea; that the forms and laws of the idea must therefore be completely foreign to it; further, that we cannot arrive at it from the idea under the guidance of the laws which merely combine objects, ideas, among themselves, and which are the forms of the principle of sufficient reason.

Thus we see already that we can never arrive at the real nature of things from without. However much we investigate, we can never reach anything but images and names. We are like a man who goes round a castle seeking in vain for an entrance, and sometimes sketching the façades. And yet this is the method that has been followed by all philosophers before me.

§ 18. In fact, the meaning for which we seek of that world which is present to us only as our idea, or the transition from the world as mere idea of the knowing subject to whatever it may be besides this, would never be found if the investigator himself were nothing more than the pure knowing subject (a winged cherub without a body). But he is himself rooted in that world; he finds himself in it as an *individual*, that is to say, his knowledge, which is the necessary supporter of the whole world as idea, is yet always given through the medium of a body, whose affections are, as we have shown, the starting-point for the understanding in the perception of that world. His body is, for the pure knowing subject, an idea like every other idea, an object among objects. Its movements and actions are so far known to him in precisely the same way as the changes of all other perceived objects, and would be just as strange and incomprehensible to him if their meaning were not explained for him in an entirely different way. Otherwise he would see his actions follow upon given motives with the constancy of a law of nature, just as the changes of other objects follow upon causes, stimuli, or motives. But he would not understand the influence of the motives any more than the connection between every other effect which he sees and its cause. He would then call the inner nature of these manifestations and actions of his body which he did not understand a force, a quality, or a character, as he pleased, but he would have no further insight

into it. But all this is not the case; indeed the answer to the riddle is given to the subject of knowledge who appears as an individual, and the answer is *will*. This and this alone gives him the key to his own existence, reveals to him the significance, shows him the inner mechanism of his being, of his action, of his movements. The body is given in two entirely different ways to the subject of knowledge, who becomes an individual only through his identity with it. It is given as an idea in intelligent perception, as an object among objects and subject to the laws of objects. And it is also given in quite a different way as that which is immediately known to every one, and is signified by the word *will*. Every true act of his will is also at once and without exception a movement of his body. The act of will and the movement of the body are not two different things objectively known, which the bond of causality unites; they do not stand in the relation of cause and effect; they are one and the same, but they are given in entirely different ways, — immediately, and again in perception for the understanding. The action of the body is nothing but the act of the will objectified, *i.e.*, passed into perception. It will appear later that this is true of every movement of the body, not merely those which follow upon motives, but also involuntary movements which follow upon mere stimuli, and, indeed, that the whole body is nothing but objectified will, *i.e.*, will become idea. All this will be proved and made quite clear in the course of this work. In one respect, therefore, I shall call the body the *objectivity of will*; as in the previous book, and in the essay on the principle of sufficient reason, in accordance with the one-sided point of view intentionally adopted there (that of the idea), I called it *the immediate object*. Thus in a certain sense we may also say that will is the knowledge *a priori* of the body, and the body is the knowledge *a posteriori* of the will. Resolutions of the will which relate to the future are merely deliberations of the reason about what we shall will at a particular time, not real acts of will. Only the carrying out of the resolve stamps it as will, for till then it is never more than an intention that may be changed, and that exists only in the reason *in abstracto*. It is only in reflection that to will and to act are different; in reality they are one. Every true, genuine, immediate act of will is also, at once and immediately, a visible act of the body. And, corresponding to this, every impression upon the body is also, on the other hand, at once and immediately an impression upon the will. As such it is called pain when it is opposed to the will; gratification or pleasure when it is in accordance with it. The degrees of

both are widely different. It is quite wrong, however, to call pain and pleasure ideas, for they are by no means ideas, but immediate affections of the will in its manifestation, the body; compulsory, instantaneous willing or not-willing of the impression which the body sustains. There are only a few impressions of the body which do not touch the will, and it is through these alone that the body is an immediate object of knowledge, for, as perceived by the understanding, it is already an indirect object like all others. These impressions are, therefore, to be treated directly as mere ideas, and excepted from what has been said. The impressions we refer to are the affections of the purely objective senses of sight, hearing, and touch, though only so far as these organs are affected in the way which is specially peculiar to their specific nature. This affection of them is so excessively weak an excitement of the heightened and specifically modified sensibility of these parts that it does not affect the will, but only furnishes the understanding with the data out of which the perception arises, undisturbed by any excitement of the will. But every stronger or different kind of affection of these organs of sense is painful, that is to say, against the will, and thus they also belong to its objectivity. Weakness of the nerves shows itself in this, that the impressions which have only such a degree of strength as would usually be sufficient to make them data for the understanding reach the higher degree at which they influence the will, that is to say, give pain or pleasure, though more often pain, which is, however, to some extent deadened and inarticulate, so that not only particular tones and strong light are painful to us, but there ensues a generally unhealthy and hypochondriacal disposition which is not distinctly understood. The identity of the body and the will shows itself further, among other ways, in the circumstance that every vehement and excessive movement of the will, *i.e.*, every emotion, agitates the body and its inner constitution directly, and disturbs the course of its vital functions. This is shown in detail in “Will in Nature,” p. 27 of the second edition and p. 28 of the third.

Lastly, the knowledge which I have of my will, though it is immediate, cannot be separated from that which I have of my body. I know my will, not as a whole, not as a unity, not completely, according to its nature, but I know it only in its particular acts, and therefore in time, which is the form of the phenomenal aspect of my body, as of every object. Therefore the body is a condition of the knowledge of my will. Thus, I cannot really imagine this will apart from my body. In the essay on the principle of

sufficient reason, the will, or rather the subject of willing, is treated as a special class of ideas or objects. But even there we saw this object become one with the subject; that is, we saw it cease to be an object. We there called this union the miracle κατ' ἐξοχην, and the whole of the present work is to a certain extent an explanation of this. So far as I know my will specially as object, I know it as body. But then I am again at the first class of ideas laid down in that essay, *i.e.*, real objects. As we proceed we shall see always more clearly that these ideas of the first class obtain their explanation and solution from those of the fourth class given in the essay, which could no longer be properly opposed to the subject as object, and that, therefore, we must learn to understand the inner nature of the law of causality which is valid in the first class, and of all that happens in accordance with it from the law of motivation which governs the fourth class.

The identity of the will and the body, of which we have now given a cursory explanation, can only be proved in the manner we have adopted here. We have proved this identity for the first time, and shall do so more and more fully in the course of this work. By “proved” we mean raised from the immediate consciousness, from knowledge in the concrete to abstract knowledge of the reason, or carried over into abstract knowledge. On the other hand, from its very nature it can never be demonstrated, that is, deduced as indirect knowledge from some other more direct knowledge, just because it is itself the most direct knowledge; and if we do not apprehend it and stick to it as such, we shall expect in vain to receive it again in some indirect way as derivative knowledge. It is knowledge of quite a special kind, whose truth cannot therefore properly be brought under any of the four rubrics under which I have classified all truth in the essay on the principle of sufficient reason, § 29, the logical, the empirical, the metaphysical, and the metalogical, for it is not, like all these, the relation of an abstract idea to another idea, or to the necessary form of perceptive or of abstract ideation, but it is the relation of a judgment to the connection which an idea of perception, the body, has to that which is not an idea at all, but something *toto genere* different, will. I should like therefore to distinguish this from all other truth, and call it κατ' ἐξοχην *philosophical truth*. We can turn the expression of this truth in different ways and say: My body and my will are one; — or, What as an idea of perception I call my body, I call my will, so far as I am conscious of it in an entirely different way which cannot be compared to any other; — or, My body is the *objectivity* of my will; —

or, My body considered apart from the fact that it is my idea is still my will, and so forth.<sup>27</sup>

§ 19. In the first book we were reluctantly driven to explain the human body as merely idea of the subject which knows it, like all the other objects of this world of perception. But it has now become clear that what enables us consciously to distinguish our own body from all other objects which in other respects are precisely the same, is that our body appears in consciousness in quite another way *toto genere* different from idea, and this we denote by the word *will*; and that it is just this double knowledge which we have of our own body that affords us information about it, about its action and movement following on motives, and also about what it experiences by means of external impressions; in a word, about what it is, not as idea, but as more than idea; that is to say, what it is *in itself*. None of this information have we got directly with regard to the nature, action, and experience of other real objects.

It is just because of this special relation to one body that the knowing subject is an individual. For regarded apart from this relation, his body is for him only an idea like all other ideas. But the relation through which the knowing subject is an *individual*, is just on that account a relation which subsists only between him and one particular idea of all those which he has. Therefore he is conscious of this *one* idea, not merely as an idea, but in quite a different way as a will. If, however, he abstracts from that special relation, from that twofold and completely heterogeneous knowledge of what is one and the same, then that *one*, the body, is an idea like all other ideas. Therefore, in order to understand the matter, the individual who knows must either assume that what distinguishes that one idea from others is merely the fact that his knowledge stands in this double relation to it alone; that insight in two ways at the same time is open to him only in the case of this one object of perception, and that this is to be explained not by the difference of this object from all others, but only by the difference between the relation of his knowledge to this one object, and its relation to all other objects. Or else he must assume that this object is essentially different from all others; that it alone of all objects is at once both will and idea, while the rest are only ideas, *i.e.*, only phantoms. Thus he must assume that his body is the only real individual in the world, *i.e.*, the only phenomenon of will and the only immediate object of the subject. That other objects, considered merely as *ideas*, are like his body, that is, like it,

fill space (which itself can only be present as idea), and also, like it, are causally active in space, is indeed demonstrably certain from the law of causality which is *a priori* valid for ideas, and which admits of no effect without a cause; but apart from the fact that we can only reason from an effect to a cause generally, and not to a similar cause, we are still in the sphere of mere ideas, in which alone the law of causality is valid, and beyond which it can never take us. But whether the objects known to the individual only as ideas are yet, like his own body, manifestations of a will, is, as was said in the First Book, the proper meaning of the question as to the reality of the external world. To deny this is *theoretical egoism*, which on that account regards all phenomena that are outside its own will as phantoms, just as in a practical reference exactly the same thing is done by practical egoism. For in it a man regards and treats himself alone as a person, and all other persons as mere phantoms. Theoretical egoism can never be demonstrably refuted, yet in philosophy it has never been used otherwise than as a sceptical sophism, *i.e.*, a pretence. As a serious conviction, on the other hand, it could only be found in a madhouse, and as such it stands in need of a cure rather than a refutation. We do not therefore combat it any further in this regard, but treat it as merely the last stronghold of scepticism, which is always polemical. Thus our knowledge, which is always bound to individuality and is limited by this circumstance, brings with it the necessity that each of us can only *be one*, while, on the other hand, each of us can *know all*; and it is this limitation that creates the need for philosophy. We therefore who, for this very reason, are striving to extend the limits of our knowledge through philosophy, will treat this sceptical argument of theoretical egoism which meets us, as an army would treat a small frontier fortress. The fortress cannot indeed be taken, but the garrison can never sally forth from it, and therefore we pass it by without danger, and are not afraid to have it in our rear.

The double knowledge which each of us has of the nature and activity of his own body, and which is given in two completely different ways, has now been clearly brought out. We shall accordingly make further use of it as a key to the nature of every phenomenon in nature, and shall judge of all objects which are not our own bodies, and are consequently not given to our consciousness in a double way but only as ideas, according to the analogy of our own bodies, and shall therefore assume that as in one aspect they are idea, just like our bodies, and in this respect are analogous to them, so in



another aspect, what remains of objects when we set aside their existence as idea of the subject, must in its inner nature be the same as that in us which we call *will*. For what other kind of existence or reality should we attribute to the rest of the material world? Whence should we take the elements out of which we construct such a world? Besides will and idea nothing is known to us or thinkable. If we wish to attribute the greatest known reality to the material world which exists immediately only in our idea, we give it the reality which our own body has for each of us; for that is the most real thing for every one. But if we now analyse the reality of this body and its actions, beyond the fact that it is idea, we find nothing in it except the will; with this its reality is exhausted. Therefore we can nowhere find another kind of reality which we can attribute to the material world. Thus if we hold that the material world is something more than merely our idea, we must say that besides being idea, that is, in itself and according to its inmost nature, it is that which we find immediately in ourselves as *will*. I say according to its inmost nature; but we must first come to know more accurately this real nature of the will, in order that we may be able to distinguish from it what does not belong to itself, but to its manifestation, which has many grades. Such, for example, is the circumstance of its being accompanied by knowledge, and the determination by motives which is conditioned by this knowledge. As we shall see farther on, this does not belong to the real nature of will, but merely to its distinct manifestation as an animal or a human being. If, therefore, I say, — the force which attracts a stone to the earth is according to its nature, in itself, and apart from all idea, will, I shall not be supposed to express in this proposition the insane opinion that the stone moves itself in accordance with a known motive, merely because this is the way in which will appears in man.<sup>28</sup> We shall now proceed more clearly and in detail to prove, establish, and develop to its full extent what as yet has only been provisionally and generally explained.<sup>29</sup>

§ 20. As we have said, the will proclaims itself primarily in the voluntary movements of our own body, as the inmost nature of this body, as that which it is besides being object of perception, idea. For these voluntary movements are nothing else than the visible aspect of the individual acts of will, with which they are directly coincident and identical, and only distinguished through the form of knowledge into which they have passed, and in which alone they can be known, the form of idea.

But these acts of will have always a ground or reason outside themselves in motives. Yet these motives never determine more than what I will at *this* time, in *this* place, and under *these* circumstances, not *that* I will in general, or *what* I will in general, that is, the maxims which characterise my volition generally. Therefore the inner nature of my volition cannot be explained from these motives; but they merely determine its manifestation at a given point of time: they are merely the occasion of my will showing itself; but the will itself lies outside the province of the law of motivation, which determines nothing but its appearance at each point of time. It is only under the presupposition of my empirical character that the motive is a sufficient ground of explanation of my action. But if I abstract from my character, and then ask, why, in general, I will this and not that, no answer is possible, because it is only the manifestation of the will that is subject to the principle of sufficient reason, and not the will itself, which in this respect is to be called *groundless*. At this point I presuppose Kant's doctrine of the empirical and intelligible character, and also my own treatment of the subject in "The Fundamental Problems of Ethics," pp. 48, 58, and 178, *et seq.*, of first edition (p. 174, *et seq.*, of second edition). I shall also have to speak more fully on the question in the Fourth Book. For the present, I have only to draw attention to this, that the fact of one manifestation being established through another, as here the deed through the motive, does not at all conflict with the fact that its real nature is will, which itself has no *ground*; for as the principle of sufficient reason in all its aspects is only the form of knowledge, its validity extends only to the idea, to the phenomena, to the visibility of the will, but not to the will itself, which becomes visible.

If now every action of my body is the manifestation of an act of will in which my will itself in general, and as a whole, thus my character, expresses itself under given motives, manifestation of the will must be the inevitable condition and presupposition of every action. For the fact of its manifestation cannot depend upon something which does not exist directly and only through it, which consequently is for it merely accidental, and through which its manifestation itself would be merely accidental. Now that condition is just the whole body itself. Thus the body itself must be manifestation of the will, and it must be related to my will as a whole, that is, to my intelligible character, whose phenomenal appearance in time is my empirical character, as the particular action of the body is related to the particular act of the will. The whole body, then, must be simply my will

become visible, must be my will itself, so far as this is object of perception, an idea of the first class. It has already been advanced in confirmation of this that every impression upon my body also affects my will at once and immediately, and in this respect is called pain or pleasure, or, in its lower degrees, agreeable or disagreeable sensation; and also, conversely, that every violent movement of the will, every emotion or passion, convulses the body and disturbs the course of its functions. Indeed we can also give an etiological account, though a very incomplete one, of the origin of my body, and a somewhat better account of its development and conservation, and this is the substance of physiology. But physiology merely explains its theme in precisely the same way as motives explain action. Thus the physiological explanation of the functions of the body detracts just as little from the philosophical truth that the whole existence of this body and the sum total of its functions are merely the objectification of that will which appears in its outward actions in accordance with a motive, as the establishment of the individual action through the motive and the necessary sequence of the action from the motive conflicts with the fact that action in general, and according to its nature, is only the manifestation of a will which itself has no ground. If, however, physiology tries to refer even these outward actions, the immediate voluntary movements, to causes in the organism, — for example, if it explains the movement of the muscles as resulting from the presence of fluids (“like the contraction of a cord when it is wet,” says Reil in his “Archiv für Physiologie,” vol. vi. p. 153), even supposing it really could give a thorough explanation of this kind, yet this would never invalidate the immediately certain truth that every voluntary motion (*functiones animales*) is the manifestation of an act of will. Now, just as little can the physiological explanation of vegetative life (*functiones naturales vitales*), however far it may advance, ever invalidate the truth that the whole animal life which thus develops itself is the manifestation of will. In general, then, as we have shown above, no etiological explanation can ever give us more than the necessarily determined position in time and space of a particular manifestation, its necessary appearance there, according to a fixed law; but the inner nature of everything that appears in this way remains wholly inexplicable, and is presupposed by every etiological explanation, and merely indicated by the names, force, or law of nature, or, if we are speaking of action, character or will. Thus, although every particular action, under the presupposition of the definite character,

necessarily follows from the given motive, and although growth, the process of nourishment, and all the changes of the animal body take place according to necessarily acting causes (stimuli), yet the whole series of actions, and consequently every individual act, and also its condition, the whole body itself which accomplishes it, and therefore also the process through which and in which it exists, are nothing but the manifestation of the will, the becoming visible, *the objectification of the will*. Upon this rests the perfect suitableness of the human and animal body to the human and animal will in general, resembling, though far surpassing, the correspondence between an instrument made for a purpose and the will of the maker, and on this account appearing as design, *i.e.*, the teleological explanation of the body. The parts of the body must, therefore, completely correspond to the principal desires through which the will manifests itself; they must be the visible expression of these desires. Teeth, throat, and bowels are objectified hunger; the organs of generation are objectified sexual desire; the grasping hand, the hurrying feet, correspond to the more indirect desires of the will which they express. As the human form generally corresponds to the human will generally, so the individual bodily structure corresponds to the individually modified will, the character of the individual, and therefore it is throughout and in all its parts characteristic and full of expression. It is very remarkable that Parmenides already gave expression to this in the following verses, quoted by Aristotle (Metaph. iii. 5): —

Ὅς γὰρ ἕκαστος ἔχει κρασιν μελεων πολυκαμπτων  
Τῶς νοος ἀνθρωποισι παρεστηκεν; το γὰρ αὐτο  
Ἔστιν, ὅπερ φρονεει, μελεων φυσις ἀνθρωποισι  
Και πασιν καὶ παντι; το γὰρ πλεον ἐστι νοημα.

(Ut enim cuique complexio membrorum flexibilium se habet, ita mens hominibus adest: idem namque est, quod sapit, membrorum natura hominibus, et omnibus et omni: quod enim plus est, intelligentia est.)<sup>30</sup>

§ 21. Whoever has now gained from all these expositions a knowledge *in abstracto*, and therefore clear and certain, of what every one knows directly *in concreto*, *i.e.*, as feeling, a knowledge that his will is the real inner nature of his phenomenal being, which manifests itself to him as idea, both in his actions and in their permanent substratum, his body, and that his will is that which is most immediate in his consciousness, though it has not as such completely passed into the form of idea in which object and subject stand

over against each other, but makes itself known to him in a direct manner, in which he does not quite clearly distinguish subject and object, yet is not known as a whole to the individual himself, but only in its particular acts, — whoever, I say, has with me gained this conviction will find that of itself it affords him the key to the knowledge of the inmost being of the whole of nature; for he now transfers it to all those phenomena which are not given to him, like his own phenomenal existence, both in direct and indirect knowledge, but only in the latter, thus merely one-sidedly as *idea* alone. He will recognise this will of which we are speaking not only in those phenomenal existences which exactly resemble his own, in men and animals as their inmost nature, but the course of reflection will lead him to recognise the force which germinates and vegetates in the plant, and indeed the force through which the crystal is formed, that by which the magnet turns to the north pole, the force whose shock he experiences from the contact of two different kinds of metals, the force which appears in the elective affinities of matter as repulsion and attraction, decomposition and combination, and, lastly, even gravitation, which acts so powerfully throughout matter, draws the stone to the earth and the earth to the sun, — all these, I say, he will recognise as different only in their phenomenal existence, but in their inner nature as identical, as that which is directly known to him so intimately and so much better than anything else, and which in its most distinct manifestation is called *will*. It is this application of reflection alone that prevents us from remaining any longer at the phenomenon, and leads us to the *thing in itself*. Phenomenal existence is *idea* and nothing more. All *idea*, of whatever kind it may be, all *object*, is *phenomenal* existence, but the *will* alone is a *thing in itself*. As such, it is throughout not *idea*, but *toto genere* different from it; it is that of which all *idea*, all *object*, is the phenomenal appearance, the visibility, the objectification. It is the inmost nature, the kernel, of every particular thing, and also of the whole. It appears in every blind force of nature and also in the preconsidered action of man; and the great difference between these two is merely in the degree of the manifestation, not in the nature of what manifests itself.

§ 22. Now, if we are to think as an object this thing-in-itself (we wish to retain the Kantian expression as a standing formula), which, as such, is never object, because all object is its mere manifestation, and therefore cannot be it itself, we must borrow for it the name and concept of an object,

of something in some way objectively given, consequently of one of its own manifestations. But in order to serve as a clue for the understanding, this can be no other than the most complete of all its manifestations, *i.e.*, the most distinct, the most developed, and directly enlightened by knowledge. Now this is the human will. It is, however, well to observe that here, at any rate, we only make use of a *denominatio a potiori*, through which, therefore, the concept of will receives a greater extension than it has hitherto had. Knowledge of the identical in different phenomena, and of difference in similar phenomena, is, as Plato so often remarks, a *sine qua non* of philosophy. But hitherto it was not recognised that every kind of active and operating force in nature is essentially identical with will, and therefore the multifarious kinds of phenomena were not seen to be merely different species of the same genus, but were treated as heterogeneous. Consequently there could be no word to denote the concept of this genus. I therefore name the genus after its most important species, the direct knowledge of which lies nearer to us and guides us to the indirect knowledge of all other species. But whoever is incapable of carrying out the required extension of the concept will remain involved in a permanent misunderstanding. For by the word *will* he understands only that species of it which has hitherto been exclusively denoted by it, the will which is guided by knowledge, and whose manifestation follows only upon motives, and indeed merely abstract motives, and thus takes place under the guidance of the reason. This, we have said, is only the most prominent example of the manifestation of will. We must now distinctly separate in thought the inmost essence of this manifestation which is known to us directly, and then transfer it to all the weaker, less distinct manifestations of the same nature, and thus we shall accomplish the desired extension of the concept of will. From another point of view I should be equally misunderstood by any one who should think that it is all the same in the end whether we denote this inner nature of all phenomena by the word *will* or by any other. This would be the case if the thing-in-itself were something whose existence we merely *inferred*, and thus knew indirectly and only in the abstract. Then, indeed, we might call it what we pleased; the name would stand merely as the symbol of an unknown quantity. But the word *will*, which, like a magic spell, discloses to us the inmost being of everything in nature, is by no means an unknown quantity, something arrived at only by inference, but is fully and immediately comprehended, and is so familiar to us that we know and

understand what will is far better than anything else whatever. The concept of will has hitherto commonly been subordinated to that of force, but I reverse the matter entirely, and desire that every force in nature should be thought as will. It must not be supposed that this is mere verbal quibbling or of no consequence; rather, it is of the greatest significance and importance. For at the foundation of the concept of force, as of all other concepts, there ultimately lies the knowledge in sense-perception of the objective world, that is to say, the phenomenon, the idea; and the concept is constructed out of this. It is an abstraction from the province in which cause and effect reign, *i.e.*, from ideas of perception, and means just the causal nature of causes at the point at which this causal nature is no further etiologically explicable, but is the necessary presupposition of all etiological explanation. The concept will, on the other hand, is of all possible concepts the only one which has its source *not* in the phenomenal, *not* in the mere idea of perception, but comes from within, and proceeds from the most immediate consciousness of each of us, in which each of us knows his own individuality, according to its nature, immediately, apart from all form, even that of subject and object, and which at the same time is this individuality, for here the subject and the object of knowledge are one. If, therefore, we refer the concept of *force* to that of *will*, we have in fact referred the less known to what is infinitely better known; indeed, to the one thing that is really immediately and fully known to us, and have very greatly extended our knowledge. If, on the contrary, we subsume the concept of will under that of force, as has hitherto always been done, we renounce the only immediate knowledge which we have of the inner nature of the world, for we allow it to disappear in a concept which is abstracted from the phenomenal, and with which we can therefore never go beyond the phenomenal.

§ 23. The *will* as a thing in itself is quite different from its phenomenal appearance, and entirely free from all the forms of the phenomenal, into which it first passes when it manifests itself, and which therefore only concern its *objectivity*, and are foreign to the will itself. Even the most universal form of all idea, that of being object for a subject, does not concern it; still less the forms which are subordinate to this and which collectively have their common expression in the principle of sufficient reason, to which we know that time and space belong, and consequently multiplicity also, which exists and is possible only through these. In this last

regard I shall call time and space the *principium individuationis*, borrowing an expression from the old schoolmen, and I beg to draw attention to this, once for all. For it is only through the medium of time and space that what is one and the same, both according to its nature and to its concept, yet appears as different, as a multiplicity of co-existent and successive phenomena. Thus time and space are the *principium individuationis*, the subject of so many subtleties and disputes among the schoolmen, which may be found collected in Suarez (Disp. 5, Sect. 3). According to what has been said, the will as a thing-in-itself lies outside the province of the principle of sufficient reason in all its forms, and is consequently completely groundless, although all its manifestations are entirely subordinated to the principle of sufficient reason. Further, it is free from all *multiplicity*, although its manifestations in time and space are innumerable. It is itself one, though not in the sense in which an object is one, for the unity of an object can only be known in opposition to a possible multiplicity; nor yet in the sense in which a concept is one, for the unity of a concept originates only in abstraction from a multiplicity; but it is one as that which lies outside time and space, the *principium individuationis*, i.e., the possibility of multiplicity. Only when all this has become quite clear to us through the subsequent examination of the phenomena and different manifestations of the will, shall we fully understand the meaning of the Kantian doctrine that time, space and causality do not belong to the thing-in-itself, but are only forms of knowing.

The uncaused nature of will has been actually recognised, where it manifests itself most distinctly, as the will of man, and this has been called free, independent. But on account of the uncaused nature of the will itself, the necessity to which its manifestation is everywhere subjected has been overlooked, and actions are treated as free, which they are not. For every individual action follows with strict necessity from the effect of the motive upon the character. All necessity is, as we have already said, the relation of the consequent to the reason, and nothing more. The principle of sufficient reason is the universal form of all phenomena, and man in his action must be subordinated to it like every other phenomenon. But because in self-consciousness the will is known directly and in itself, in this consciousness lies also the consciousness of freedom. The fact is, however, overlooked that the individual, the person, is not will as a thing-in-itself, but is a *phenomenon* of will, is already determined as such, and has come under the



form of the phenomenal, the principle of sufficient reason. Hence arises the strange fact that every one believes himself *a priori* to be perfectly free, even in his individual actions, and thinks that at every moment he can commence another manner of life, which just means that he can become another person. But *a posteriori*, through experience, he finds to his astonishment that he is not free, but subjected to necessity; that in spite of all his resolutions and reflections he does not change his conduct, and that from the beginning of his life to the end of it, he must carry out the very character which he himself condemns, and as it were play the part he has undertaken to the end. I cannot pursue this subject further at present, for it belongs, as ethical, to another part of this work. In the meantime, I only wish to point out here that the *phenomenon* of the will which in itself is uncaused, is yet as such subordinated to the law of necessity, that is, the principle of sufficient reason, so that in the necessity with which the phenomena of nature follow each other, we may find nothing to hinder us from recognising in them the manifestations of will.

Only those changes which have no other ground than a motive, *i.e.*, an idea, have hitherto been regarded as manifestations of will. Therefore in nature a will has only been attributed to man, or at the most to animals; for knowledge, the idea, is of course, as I have said elsewhere, the true and exclusive characteristic of animal life. But that the will is also active where no knowledge guides it, we see at once in the instinct and the mechanical skill of animals.<sup>31</sup> That they have ideas and knowledge is here not to the point, for the end towards which they strive as definitely as if it were a known motive, is yet entirely unknown to them. Therefore in such cases their action takes place without motive, is not guided by the idea, and shows us first and most distinctly how the will may be active entirely without knowledge. The bird of a year old has no idea of the eggs for which it builds a nest; the young spider has no idea of the prey for which it spins a web; nor has the ant-lion any idea of the ants for which he digs a trench for the first time. The larva of the stag-beetle makes the hole in the wood, in which it is to await its metamorphosis, twice as big if it is going to be a male beetle as if it is going to be a female, so that if it is a male there may be room for the horns, of which, however, it has no idea. In such actions of these creatures the will is clearly operative as in their other actions, but it is in blind activity, which is indeed accompanied by knowledge but not guided by it. If now we have once gained insight into the fact, that idea as motive is

not a necessary and essential condition of the activity of the will, we shall more easily recognise the activity of will where it is less apparent. For example, we shall see that the house of the snail is no more made by a will which is foreign to the snail itself, than the house which we build is produced through another will than our own; but we shall recognise in both houses the work of a will which objectifies itself in both the phenomena — a will which works in us according to motives, but in the snail still blindly as formative impulse directed outwards. In us also the same will is in many ways only blindly active: in all the functions of our body which are not guided by knowledge, in all its vital and vegetative processes, digestion, circulation, secretion, growth, reproduction. Not only the actions of the body, but the whole body itself is, as we have shown above, phenomenon of the will, objectified will, concrete will. All that goes on in it must therefore proceed through will, although here this will is not guided by knowledge, but acts blindly according to causes, which in this case are called *stimuli*.

I call a *cause*, in the narrowest sense of the word, that state of matter, which, while it introduces another state with necessity, yet suffers just as great a change itself as that which it causes; which is expressed in the rule, “action and reaction are equal.” Further, in the case of what is properly speaking a cause, the effect increases directly in proportion to the cause, and therefore also the reaction. So that, if once the mode of operation be known, the degree of the effect may be measured and calculated from the degree of the intensity of the cause; and conversely the degree of the intensity of the cause may be calculated from the degree of the effect. Such causes, properly so called, operate in all the phenomena of mechanics, chemistry, and so forth; in short, in all the changes of unorganised bodies. On the other hand, I call a *stimulus*, such a cause as sustains no reaction proportional to its effect, and the intensity of which does not vary directly in proportion to the intensity of its effect, so that the effect cannot be measured by it. On the contrary, a small increase of the stimulus may cause a very great increase of the effect, or conversely, it may eliminate the previous effect altogether, and so forth. All effects upon organised bodies as such are of this kind. All properly organic and vegetative changes of the animal body must therefore be referred to stimuli, not to mere causes. But the stimulus, like every cause and motive generally, never determines more than the point of time and space at which the manifestation of every force is to take place, and does not determine the inner nature of the force itself which is

manifested. This inner nature we know, from our previous investigation, is will, to which therefore we ascribe both the unconscious and the conscious changes of the body. The stimulus holds the mean, forms the transition between the motive, which is causality accompanied throughout by knowledge, and the cause in the narrowest sense. In particular cases it is sometimes nearer a motive, sometimes nearer a cause, but yet it can always be distinguished from both. Thus, for example, the rising of the sap in a plant follows upon stimuli, and cannot be explained from mere causes, according to the laws of hydraulics or capillary attraction; yet it is certainly assisted by these, and altogether approaches very near to a purely causal change. On the other hand, the movements of the *Hedysarum gyrans* and the *Mimosa pudica*, although still following upon mere stimuli, are yet very like movements which follow upon motives, and seem almost to wish to make the transition. The contraction of the pupils of the eyes as the light is increased is due to stimuli, but it passes into movement which is due to motive; for it takes place, because too strong lights would affect the retina painfully, and to avoid this we contract the pupils. The occasion of an erection is a motive, because it is an idea, yet it operates with the necessity of a stimulus, *i.e.*, it cannot be resisted, but we must put the idea away in order to make it cease to affect us. This is also the case with disgusting things, which excite the desire to vomit. Thus we have treated the instinct of animals as an actual link, of quite a distinct kind, between movement following upon stimuli, and action following upon a known motive. Now we might be asked to regard breathing as another link of this kind. It has been disputed whether it belongs to the voluntary or the involuntary movements, that is to say, whether it follows upon motive or stimulus, and perhaps it may be explained as something which is between the two. Marshall Hall ("On the Diseases of the Nervous System," § 293 sq.) explains it as a mixed function, for it is partly under the influence of the cerebral (voluntary), and partly under that of the spinal (non-voluntary) nerves. However, we are finally obliged to number it with the expressions of will which result from motives. For other motives, *i.e.*, mere ideas, can determine the will to check it or accelerate it, and, as is the case with every other voluntary action, it seems to us that we could give up breathing altogether and voluntarily suffocate. And in fact we could do so if any other motive influenced the will sufficiently strongly to overcome the pressing desire for air. According to some accounts Diogenes actually put an end to

his life in this way (Diog. Laert. VI. 76). Certain negroes also are said to have done this (F. B. Oslander "On Suicide" <sup>[1813]</sup> pp. 170-180). If this be true, it affords us a good example of the influence of abstract motives, *i.e.*, of the victory of distinctively rational over merely animal will. For, that breathing is at least partially conditioned by cerebral activity is shown by the fact that the primary cause of death from prussic acid is that it paralyses the brain, and so, indirectly, restricts the breathing; but if the breathing be artificially maintained till the stupefaction of the brain has passed away, death will not ensue. We may also observe in passing that breathing affords us the most obvious example of the fact that motives act with just as much necessity as stimuli, or as causes in the narrowest sense of the word, and their operation can only be neutralised by antagonistic motives, as action is neutralised by re-action. For, in the case of breathing, the illusion that we can stop when we like is much weaker than in the case of other movements which follow upon motives; because in breathing the motive is very powerful, very near to us, and its satisfaction is very easy, for the muscles which accomplish it are never tired, nothing, as a rule, obstructs it, and the whole process is supported by the most inveterate habit of the individual. And yet all motives act with the same necessity. The knowledge that necessity is common to movements following upon motives, and those following upon stimuli, makes it easier for us to understand that that also which takes place in our bodily organism in accordance with stimuli and in obedience to law, is yet, according to its inner nature — will, which in all its manifestations, though never in itself, is subordinated to the principle of sufficient reason, that is, to necessity.<sup>32</sup> Accordingly, we shall not rest contented with recognising that animals, both in their actions and also in their whole existence, bodily structure and organisation, are manifestations of will; but we shall extend to plants also this immediate knowledge of the essential nature of things which is given to us alone. Now all the movements of plants follow upon stimuli; for the absence of knowledge, and the movement following upon motives which is conditioned by knowledge, constitutes the only essential difference between animals and plants. Therefore, what appears for the idea as plant life, as mere vegetation, as blindly impelling force, we shall claim, according to its inner nature, for will, and recognise it as just that which constitutes the basis of our own phenomenal being, as it expresses itself in our actions, and also in the whole existence of our body itself.

It only remains for us to take the final step, the extension of our way of looking at things to all those forces which act in nature in accordance with universal, unchangeable laws, in conformity with which the movements of all those bodies take place, which are wholly without organs, and have therefore no susceptibility for stimuli, and have no knowledge, which is the necessary condition of motives. Thus we must also apply the key to the understanding of the inner nature of things, which the immediate knowledge of our own existence alone can give us, to those phenomena of the unorganised world which are most remote from us. And if we consider them attentively, if we observe the strong and unceasing impulse with which the waters hurry to the ocean, the persistency with which the magnet turns ever to the north pole, the readiness with which iron flies to the magnet, the eagerness with which the electric poles seek to be re-united, and which, just like human desire, is increased by obstacles; if we see the crystal quickly and suddenly take form with such wonderful regularity of construction, which is clearly only a perfectly definite and accurately determined impulse in different directions, seized and retained by crystallisation; if we observe the choice with which bodies repel and attract each other, combine and separate, when they are set free in a fluid state, and emancipated from the bonds of rigidity; lastly, if we feel directly how a burden which hampers our body by its gravitation towards the earth, unceasingly presses and strains upon it in pursuit of its one tendency; if we observe all this, I say, it will require no great effort of the imagination to recognise, even at so great a distance, our own nature. That which in us pursues its ends by the light of knowledge; but here, in the weakest of its manifestations, only strives blindly and dumbly in a one-sided and unchangeable manner, must yet in both cases come under the name of will, as it is everywhere one and the same — just as the first dim light of dawn must share the name of sunlight with the rays of the full mid-day. For the name *will* denotes that which is the inner nature of everything in the world, and the one kernel of every phenomenon.

Yet the remoteness, and indeed the appearance of absolute difference between the phenomena of unorganised nature and the will which we know as the inner reality of our own being, arises chiefly from the contrast between the completely determined conformity to law of the one species of phenomena, and the apparently unfettered freedom of the other. For in man, individuality makes itself powerfully felt. Every one has a character of his

own; and therefore the same motive has not the same influence over all, and a thousand circumstances which exist in the wide sphere of the knowledge of the individual, but are unknown to others, modify its effect. Therefore action cannot be predetermined from the motive alone, for the other factor is wanting, the accurate acquaintance with the individual character, and with the knowledge which accompanies it. On the other hand, the phenomena of the forces of nature illustrate the opposite extreme. They act according to universal laws, without variation, without individuality in accordance with openly manifest circumstances, subject to the most exact predetermination; and the same force of nature appears in its million phenomena in precisely the same way. In order to explain this point and prove the identity of the *one* indivisible will in all its different phenomena, in the weakest as in the strongest, we must first of all consider the relation of the will as thing-in-itself to its phenomena, that is, the relation of the world as will to the world as idea; for this will open to us the best way to a more thorough investigation of the whole subject we are considering in this second book.<sup>33</sup>

§ 24. We have learnt from the great Kant that time, space, and causality, with their entire constitution, and the possibility of all their forms, are present in our consciousness quite independently of the objects which appear in them, and which constitute their content; or, in other words, they can be arrived at just as well if we start from the subject as if we start from the object. Therefore, with equal accuracy, we may call them either forms of intuition or perception of the subject, or qualities of the object *as object* (with Kant, phenomenon), *i.e.*, *idea*. We may also regard these forms as the irreducible boundary between object and subject. All objects must therefore exist in them, yet the subject, independently of the phenomenal object, possesses and surveys them completely. But if the objects appearing in these forms are not to be empty phantoms, but are to have a meaning, they must refer to something, must be the expression of something which is not, like themselves, object, idea, a merely relative existence for a subject, but which exists without such dependence upon something which stands over against it as a condition of its being, and independent of the forms of such a thing, *i.e.*, *is not idea*, but a *thing-in-itself*. Consequently it may at least be asked: Are these ideas, these objects, something more than or apart from the fact that they are ideas, objects of the subject? And what would they be in this sense? What is that other side of them which is *toto genere* different

from idea? What is the thing-in-itself? *The will*, we have answered, but for the present I set that answer aside.

Whatever the thing-in-itself may be, Kant is right in his conclusion that time, space, and causality (which we afterwards found to be forms of the principle of sufficient reason, the general expression of the forms of the phenomenon) are not its properties, but come to it only after, and so far as, it has become idea. That is, they belong only to its phenomenal existence, not to itself. For since the subject fully understands and constructs them out of itself, independently of all object, they must be dependent upon *existence as idea* as such, not upon that which becomes idea. They must be the form of the idea as such; but not qualities of that which has assumed this form. They must be already given with the mere antithesis of subject and object (not as concepts but as facts), and consequently they must be only the more exact determination of the form of knowledge in general, whose most universal determination is that antithesis itself. Now, that in the phenomenon, in the object, which is in its turn conditioned by time, space and causality, inasmuch as it can only become idea by means of them, namely *multiplicity*, through co-existence and succession, *change* and *permanence* through the law of causality, *matter* which can only become idea under the presupposition of causality, and lastly, all that becomes idea only by means of these, — all this, I say, as a whole, does not in reality belong to that which appears, to that which has passed into the form of idea, but belongs merely to this form itself. And conversely, that in the phenomenon which is not conditioned through time, space and causality, and which cannot be referred to them, nor explained in accordance with them, is precisely that in which the thing manifested, the thing-in-itself, directly reveals itself. It follows from this that the most complete capacity for being known, that is to say, the greatest clearness, distinctness, and susceptibility of exhaustive explanation, will necessarily belong to that which pertains to knowledge *as such*, and thus to the *form* of knowledge; but not to that which in itself is not idea, not object, but which has become knowledge only through entering these forms; in other words, has become idea, object. Thus only that which depends entirely upon being an object of knowledge, upon existing as idea in general and *as such* (not upon that which *becomes* known, and has only *become* idea), which therefore belongs without distinction to everything that is known, and which, on that account, is found just as well if we start from the subject as if we start from the

object, — this alone can afford us without reserve a sufficient, exhaustive knowledge, a knowledge which is clear to the very foundation. But this consists of nothing but those forms of all phenomena of which we are conscious *a priori*, and which may be generally expressed as the principle of sufficient reason. Now, the forms of this principle which occur in knowledge of perception (with which alone we are here concerned) are time, space, and causality. The whole of pure mathematics and pure natural science *a priori* is based entirely upon these. Therefore it is only in these sciences that knowledge finds no obscurity, does not rest upon what is incomprehensible (groundless, *i.e.*, will), upon what cannot be further deduced. It is on this account that Kant wanted, as we have said, to apply the name science specially and even exclusively to these branches of knowledge together with logic. But, on the other hand, these branches of knowledge show us nothing more than mere connections, relations of one idea to another, form devoid of all content. All content which they receive, every phenomenon which fills these forms, contains something which is no longer completely knowable in its whole nature, something which can no longer be entirely explained through something else, something then which is groundless, through which consequently the knowledge loses its evidence and ceases to be completely lucid. This that withholds itself from investigation, however, is the thing-in-itself, is that which is essentially not idea, not object of knowledge, but has only become knowable by entering that form. The form is originally foreign to it, and the thing-in-itself can never become entirely one with it, can never be referred to mere form, and, since this form is the principle of sufficient reason, can never be completely explained. If therefore all mathematics affords us an exhaustive knowledge of that which in the phenomena is quantity, position, number, in a word, spatial and temporal relations; if all etiology gives us a complete account of the regular conditions under which phenomena, with all their determinations, appear in time and space, but, with it all, teaches us nothing more than why in each case this particular phenomenon must appear just at this time here, and at this place now; it is clear that with their assistance we can never penetrate to the inner nature of things. There always remains something which no explanation can venture to attack, but which it always presupposes; the forces of nature, the definite mode of operation of things, the quality and character of every phenomenon, that which is without ground, that which does not depend upon the form of the phenomenal, the



principle of sufficient reason, but is something to which this form in itself is foreign, something which has yet entered this form, and now appears according to its law, a law, however, which only determines the appearance, not that which appears, only the how, not the what, only the form, not the content. Mechanics, physics, and chemistry teach the rules and laws according to which the forces of impenetrability, gravitation, rigidity, fluidity, cohesion, elasticity, heat, light, affinity, magnetism, electricity, &c., operate; that is to say, the law, the rule which these forces observe whenever they enter time and space. But do what we will, the forces themselves remain *qualitates occultæ*. For it is just the thing-in-itself, which, because it is manifested, exhibits these phenomena, which are entirely different from itself. In its manifestation, indeed, it is completely subordinated to the principle of sufficient reason as the form of the idea, but it can never itself be referred to this form, and therefore cannot be fully explained etiologically, can never be completely fathomed. It is certainly perfectly comprehensible so far as it has assumed that form, that is, so far as it is phenomenon, but its inner nature is not in the least explained by the fact that it can thus be comprehended. Therefore the more necessity any knowledge carries with it, the more there is in it of that which cannot be otherwise thought or presented in perception — as, for example, space-relations — the clearer and more sufficing then it is, the less pure objective content it has, or the less reality, properly so called, is given in it. And conversely, the more there is in it which must be conceived as mere chance, and the more it impresses us as given merely empirically, the more proper objectivity and true reality is there in such knowledge, and at the same time, the more that is inexplicable, that is, that cannot be deduced from anything else.

It is true that at all times an etiology, unmindful of its real aim, has striven to reduce all organised life to chemism or electricity; all chemism, that is to say quality, again to mechanism (action determined by the shape of the atom), this again sometimes to the object of phronomy, *i.e.*, the combination of time and space, which makes motion possible, sometimes to the object of mere geometry, *i.e.*, position in space (much in the same way as we rightly deduce the diminution of an effect from the square of the distance, and the theory of the lever in a purely geometrical manner): geometry may finally be reduced to arithmetic, which, on account of its one dimension, is of all the forms of the principle of sufficient reason, the most

intelligible, comprehensible, and completely susceptible of investigation. As instances of the method generally indicated here, we may refer to the atoms of Democritus, the vortex of Descartes, the mechanical physics of Lesage, which towards the end of last century tried to explain both chemical affinities and gravitation mechanically by impact and pressure, as may be seen in detail in "*Lucrece Newtonien*;" Reil's form and combination as the cause of animal life, also tends in this direction. Finally, the crude materialism which even now in the middle of the nineteenth century has been served up again under the ignorant delusion that it is original, belongs distinctly to this class. It stupidly denies vital force, and first of all tries to explain the phenomena of life from physical and chemical forces, and those again from the mechanical effects of the matter, position, form, and motion of imagined atoms, and thus seeks to reduce all the forces of nature to action and reaction as its thing-in-itself. According to this teaching, light is the mechanical vibration or undulation of an imaginary ether, postulated for this end. This ether, if it reaches the eye, beats rapidly upon the retina, and gives us the knowledge of colour. Thus, for example, four hundred and eighty-three billion beats in a second give red, and seven hundred and twenty-seven billion beats in a second give violet. Upon this theory, persons who are colour-blind must be those who are unable to count the beats, must they not? Such crass, mechanical, clumsy, and certainly knotty theories, which remind one of Democritus, are quite worthy of those who, fifty years after the appearance of Goethe's doctrine of colour, still believe in Newton's homogeneous light, and are not ashamed to say so. They will find that what is overlooked in the child (Democritus) will not be forgiven to the man. They might indeed, some day, come to an ignominious end; but then every one would slink away and pretend that he never had anything to do with them. We shall soon have to speak again of this false reduction of the forces of nature to each other; so much for the present. Supposing this theory were possible, all would certainly be explained and established and finally reduced to an arithmetical problem, which would then be the holiest thing in the temple of wisdom, to which the principle of sufficient reason would at last have happily conducted us. But all content of the phenomenon would have disappeared, and the mere form would remain. The "what appears" would be referred to the "how it appears," and this "how" would be what is *a priori* knowable, therefore entirely dependent on the subject, therefore only for the subject, therefore, lastly, mere phantom, idea and form of idea,

through and through: no thing-in-itself could be demanded. Supposing, then, that this were possible, the whole world would be derived from the subject, and in fact, that would be accomplished which Fichte wanted to *seem* to accomplish by his empty bombast. But it is not possible: phantasies, sophisms, castles in the air, have been constructed in this way, but science never. The many and multifarious phenomena in nature have been successfully referred to particular original forces, and as often as this has been done, a real advance has been made. Several forces and qualities, which were at first regarded as different, have been derived from each other, and thus their number has been curtailed. (For example, magnetism from electricity.) Etiology will have reached its goal when it has recognised and exhibited as such all the original forces of nature, and established their mode of operation, *i.e.*, the law according to which, under the guidance of causality, their phenomena appear in time and space, and determine their position with regard to each other. But certain original forces will always remain over; there will always remain as an insoluble residuum a content of phenomena which cannot be referred to their form, and thus cannot be explained from something else in accordance with the principle of sufficient reason. For in everything in nature there is something of which no ground can ever be assigned, of which no explanation is possible, and no ulterior cause is to be sought. This is the specific nature of its action, *i.e.*, the nature of its existence, its being. Of each particular effect of the thing a cause may be certainly indicated, from which it follows that it must act just at this time and in this place; but no cause can ever be found from which it follows that a thing acts in general, and precisely in the way it does. If it has no other qualities, if it is merely a mote in a sunbeam, it yet exhibits this unfathomable something, at least as weight and impenetrability. But this, I say, is to the mote what his will is to a man; and, like the human will, it is, according to its inner nature, not subject to explanation; nay, more — it is in itself identical with this will. It is true that a motive may be given for every manifestation of will, for every act of will at a particular time and in a particular place, upon which it must necessarily follow, under the presupposition of the character of the man. But no reason can ever be given that the man has this character; that he wills at all; that, of several motives, just this one and no other, or indeed that any motive at all, moves his will. That which in the case of man is the unfathomable character which is presupposed in every explanation of his actions from motives is, in the case

of every unorganised body, its definitive quality — the mode of its action, the manifestations of which are occasioned by impressions from without, while it itself, on the contrary, is determined by nothing outside itself, and thus is also inexplicable. Its particular manifestations, through which alone it becomes visible, are subordinated to the principle of sufficient reason; it itself is groundless. This was in substance rightly understood by the schoolmen, who called it *forma substantialis*. (Cf. Suarez, Disput. Metaph., disp. xv. sect. 1.)

It is a greater and a commoner error that the phenomena which we best understand are those which are of most frequent occurrence, and which are most universal and simple; for, on the contrary, these are just the phenomena that we are most accustomed to see about us, and to be ignorant of. It is just as inexplicable to us that a stone should fall to the earth as that an animal should move itself. It has been supposed, as we have remarked above, that, starting from the most universal forces of nature (gravitation, cohesion, impenetrability), it was possible to explain from them the rarer forces, which only operate under a combination of circumstances (for example, chemical quality, electricity, magnetism), and, lastly, from these to understand the organism and the life of animals, and even the nature of human knowing and willing. Men resigned themselves without a word to starting from mere *qualitates occultæ*, the elucidation of which was entirely given up, for they intended to build upon them, not to investigate them. Such an intention cannot, as we have already said, be carried out. But apart from this, such structures would always stand in the air. What is the use of explanations which ultimately refer us to something which is quite as unknown as the problem with which we started? Do we in the end understand more of the inner nature of these universal natural forces than of the inner nature of an animal? Is not the one as much a sealed book to us as the other? Unfathomable because it is without ground, because it is the content, that which the phenomenon is, and which can never be referred to the form, to the how, to the principle of sufficient reason. But we, who have in view not etiology but philosophy, that is, not relative but unconditioned knowledge of the real nature of the world, take the opposite course, and start from that which is immediately and most completely known to us, and fully and entirely trusted by us — that which lies nearest to us, in order to understand that which is known to us only at a distance, one-sidedly and indirectly. From the most powerful, most significant, and most distinct

phenomenon we seek to arrive at an understanding of those that are less complete and weaker. With the exception of my own body, all things are known to me only on *one* side, that of the idea. Their inner nature remains hidden from me and a profound secret, even if I know all the causes from which their changes follow. Only by comparison with that which goes on in me if my body performs an action when I am influenced by a motive — only by comparison, I say, with what is the inner nature of my own changes determined by external reasons, can I obtain insight into the way in which these lifeless bodies change under the influence of causes, and so understand what is their inner nature. For the knowledge of the causes of the manifestation of this inner nature affords me merely the rule of its appearance in time and space, and nothing more. I can make this comparison because my body is the only object of which I know not merely the *one* side, that of the idea, but also the other side which is called will. Thus, instead of believing that I would better understand my own organisation, and then my own knowing and willing, and my movements following upon motives, if I could only refer them to movements due to electrical, chemical, and mechanical causes, I must, seeing that I seek philosophy and not etiology, learn to understand from my own movements following upon motives the inner nature of the simplest and commonest movements of an unorganised body which I see following upon causes. I must recognise the inscrutable forces which manifest themselves in all natural bodies as identical in kind with that which in me is the will, and as differing from it only in degree. That is to say, the fourth class of ideas given in the Essay on the Principle of Sufficient Reason must be the key to the knowledge of the inner nature of the first class, and by means of the law of motivation I must come to understand the inner meaning of the law of causation.

Spinoza (Epist. 62) says that if a stone which has been projected through the air had consciousness, it would believe that it was moving of its own will. I add to this only that the stone would be right. The impulse given it is for the stone what the motive is for me, and what in the case of the stone appears as cohesion, gravitation, rigidity, is in its inner nature the same as that which I recognise in myself as will, and what the stone also, if knowledge were given to it, would recognise as will. In the passage referred to, Spinoza had in view the necessity with which the stone flies, and he rightly desires to transfer this necessity to that of the particular act of will of

a person. I, on the other hand, consider the inner being, which alone imparts meaning and validity to all real necessity (*i.e.*, effect following upon a cause) as its presupposition. In the case of men this is called character; in the case of a stone it is called quality, but it is the same in both. When it is immediately known it is called will. In the stone it has the weakest, and in man the strongest degree of visibility, of objectivity. St. Augustine recognises, with a true instinct, this identity of the tendencies of all things with our own willing, and I cannot refrain from quoting his naïve account of the matter:— “*Si pecora essemus, carnalem vitam et quod secundum sensum ejusdem est amaremus, idque esset sufficiens bonum nostrum, et secundum hoc si esset nobis bene, nihil aliud quæreremus. Item, si arbores essemus, nihil quidem sentientes motu amare possemus: verumtamen id quasi appetere videremur, quo feracius essemus, uberiusque fructuosæ. Si essemus lapides, aut fluctus, aut ventus, aut flamma, vel quid ejusmodi, sine ullo quidem sensu atque vita, non tamen nobis deesset quasi quidam nostrorum locorum atque ordinis appetitus. Nam velut amores corporum momenta sunt ponderum, sive deorsum gravitate, sive sursum levitate nitantur: ita enim corpus pondere, sicut animus amore fertur quocunque fertur*” (De Civ. Dei, xi. 28).

It ought further to be mentioned that Euler saw that the inner nature of gravitation must ultimately be referred to an “inclination and desire” (thus will) peculiar to material bodies (in the 68th letter to the Princess). Indeed, it is just this that makes him averse to the conception of gravitation as it existed for Newton, and he is inclined to try a modification of it in accordance with the earlier Cartesian theory, and so to derive gravitation from the impact of an ether upon the bodies, as being “more rational and more suitable for persons who like clear and intelligible principles.” He wishes to banish attraction from physics as a *qualitas occulta*. This is only in keeping with the dead view of nature which prevailed at Euler’s time as the correlative of the immaterial soul. It is only worth noticing because of its bearing upon the fundamental truth established by me, which even at that time this fine intellect saw glimmering in the distance. He hastened to turn in time, and then, in his anxiety at seeing all the prevalent fundamental views endangered, he sought safety in the old and already exploded absurdities.

We know that *multiplicity* in general is necessarily conditioned by space and time, and is only thinkable in them. In this respect they are called the *principium individuationis*. But we have found that space and time are forms of the principle of sufficient reason. In this principle all our knowledge *a priori* is expressed, but, as we showed above, this *a priori* knowledge, as such, only applies to the knowableness of things, not to the things themselves, *i.e.*, it is only our form of knowledge, it is not a property of the thing-in-itself. The thing-in-itself is, as such, free from all forms of knowledge, even the most universal, that of being an object for the subject. In other words, the thing-in-itself is something altogether different from the idea. If, now, this thing-in-itself is *the will*, as I believe I have fully and convincingly proved it to be, then, regarded as such and apart from its manifestation, it lies outside time and space, and therefore knows no multiplicity, and is consequently *one*. Yet, as I have said, it is not one in the sense in which an individual or a concept is one, but as something to which the condition of the possibility of multiplicity, the *principium individuationis*, is foreign. The multiplicity of things in space and time, which collectively constitute the objectification of will, does not affect the will itself, which remains indivisible notwithstanding it. It is not the case that, in some way or other, a smaller part of will is in the stone and a larger part in the man, for the relation of part and whole belongs exclusively to space, and has no longer any meaning when we go beyond this form of intuition or perception. The more and the less have application only to the phenomenon of will, that is, its visibility, its objectification. Of this there is a higher grade in the plant than in the stone; in the animal a higher grade than in the plant: indeed, the passage of will into visibility, its objectification, has grades as innumerable as exist between the dimmest twilight and the brightest sunshine, the loudest sound and the faintest echo. We shall return later to the consideration of these grades of visibility which belong to the objectification of the will, to the reflection of its nature. But as the grades of its objectification do not directly concern the will itself, still less is it concerned by the multiplicity of the phenomena of these different grades, *i.e.*, the multitude of individuals of each form, or the particular manifestations of each force. For this multiplicity is directly conditioned by time and space, into which the will itself never enters. The will reveals itself as completely and as much in *one* oak as in millions. Their number and multiplication in space and time has no meaning with regard to it, but only

with regard to the multiplicity of individuals who know in space and time, and who are themselves multiplied and dispersed in these. The multiplicity of these individuals itself belongs not to the will, but only to its manifestation. We may therefore say that if, *per impossibile*, a single real existence, even the most insignificant, were to be entirely annihilated, the whole world would necessarily perish with it. The great mystic Angelus Silesius feels this when he says —

“I know God cannot live an instant without me,  
He must give up the ghost if I should cease to be.”

Men have tried in various ways to bring the immeasurable greatness of the material universe nearer to the comprehension of us all, and then they have seized the opportunity to make edifying remarks. They have referred perhaps to the relative smallness of the earth, and indeed of man; or, on the contrary, they have pointed out the greatness of the mind of this man who is so insignificant — the mind that can solve, comprehend, and even measure the greatness of the universe, and so forth. Now, all this is very well, but to me, when I consider the vastness of the world, the most important point is this, that the thing-in-itself, whose manifestation is the world — whatever else it may be — cannot have its true self spread out and dispersed after this fashion in boundless space, but that this endless extension belongs only to its manifestation. The thing-in-itself, on the contrary, is present entire and undivided in every object of nature and in every living being. Therefore we lose nothing by standing still beside any single individual thing, and true wisdom is not to be gained by measuring out the boundless world, or, what would be more to the purpose, by actually traversing endless space. It is rather to be attained by the thorough investigation of any individual thing, for thus we seek to arrive at a full knowledge and understanding of its true and peculiar nature.

The subject which will therefore be fully considered in the next book, and which has, doubtless, already presented itself to the mind of every student of Plato, is, that these different grades of the objectification of will which are manifested in innumerable individuals, and exist as their unattained types or as the eternal forms of things, not entering themselves into time and space, which are the medium of individual things, but remaining fixed, subject to no change, always being, never becoming, while the particular things arise and pass away, always become and never are, — that these *grades of the objectification of will* are, I say, simply *Plato's*



*Ideas*. I make this passing reference to the matter here in order that I may be able in future to use the word *Idea* in this sense. In my writings, therefore, the word is always to be understood in its true and original meaning given to it by Plato, and has absolutely no reference to those abstract productions of dogmatising scholastic reason, which Kant has inaptly and illegitimately used this word to denote, though Plato had already appropriated and used it most fitly. By *Idea*, then, I understand every definite and fixed grade of the objectification of will, so far as it is thing-in-itself, and therefore has no multiplicity. These grades are related to individual things as their eternal forms or prototypes. The shortest and most concise statement of this famous Platonic doctrine is given us by Diogenes Laertes (iii. 12): “ὁ Πλάτων φησι, ἐν τῇ φύσει τὰς ἰδέας ἔσταναι, καθάπερ παραδειγµατα, τὰ δ’ ἄλλα ταυταῖς εἰκέναι, τούτων ὁµοιωµατα καθεστῶτα” — (“*Plato ideas in natura velut exemplaria dixit subsistere; cetera his esse similia, ad istarum similitudinem consistentia*”). Of Kant’s misuse of the word I take no further notice; what it is needful to say about it will be found in the Appendix.

§ 26. The lowest grades of the objectification of will are to be found in those most universal forces of nature which partly appear in all matter without exception, as gravity and impenetrability, and partly have shared the given matter among them, so that certain of them reign in one species of matter and others in another species, constituting its specific difference, as rigidity, fluidity, elasticity, electricity, magnetism, chemical properties and qualities of every kind. They are in themselves immediate manifestations of will, just as much as human action; and as such they are groundless, like human character. Only their particular manifestations are subordinated to the principle of sufficient reason, like the particular actions of men. They themselves, on the other hand, can never be called either effect or cause, but are the prior and presupposed conditions of all causes and effects through which their real nature unfolds and reveals itself. It is therefore senseless to demand a cause of gravity or electricity, for they are original forces. Their expressions, indeed, take place in accordance with the law of cause and effect, so that every one of their particular manifestations has a cause, which is itself again just a similar particular manifestation which determines that this force must express itself here, must appear in space and time; but the force itself is by no means the effect of a cause, nor the cause of an effect. It is therefore a mistake to say “gravity is the cause of a stone falling;” for the cause in this case is rather the nearness of the earth, because

it attracts the stone. Take the earth away and the stone will not fall, although gravity remains. The force itself lies quite outside the chain of causes and effects, which presupposes time, because it only has meaning in relation to it; but the force lies outside time. The individual change always has for its cause another change just as individual as itself, and not the force of which it is the expression. For that which always gives its efficiency to a cause, however many times it may appear, is a force of nature. As such, it is groundless, *i.e.*, it lies outside the chain of causes and outside the province of the principle of sufficient reason in general, and is philosophically known as the immediate objectivity of will, which is the “in-itself” of the whole of nature; but in etiology, which in this reference is physics, it is set down as an original force, *i.e.*, a *qualitas occulta*.

In the higher grades of the objectivity of will we see individuality occupy a prominent position, especially in the case of man, where it appears as the great difference of individual characters, *i.e.*, as complete personality, outwardly expressed in strongly marked individual physiognomy, which influences the whole bodily form. None of the brutes have this individuality in anything like so high a degree, though the higher species of them have a trace of it; but the character of the species completely predominates over it, and therefore they have little individual physiognomy. The farther down we go, the more completely is every trace of the individual character lost in the common character of the species, and the physiognomy of the species alone remains. We know the physiological character of the species, and from that we know exactly what is to be expected from the individual; while, on the contrary, in the human species every individual has to be studied and fathomed for himself, which, if we wish to forecast his action with some degree of certainty, is, on account of the possibility of concealment that first appears with reason, a matter of the greatest difficulty. It is probably connected with this difference of the human species from all others, that the folds and convolutions of the brain, which are entirely wanting in birds, and very weakly marked in rodents, are even in the case of the higher animals far more symmetrical on both sides, and more constantly the same in each individual, than in the case of human beings.<sup>34</sup> It is further to be regarded as a phenomenon of this peculiar individual character which distinguishes men from all the lower animals, that in the case of the brutes the sexual instinct seeks its satisfaction without observable choice of objects, while in the case of man this choice is, in a purely instinctive manner and independent of all

reflection, carried so far that it rises into a powerful passion. While then every man is to be regarded as a specially determined and characterised phenomenon of will, and indeed to a certain extent as a special Idea, in the case of the brutes this individual character as a whole is wanting, because only the species has a special significance. And the farther we go from man, the fainter becomes the trace of this individual character, so that plants have no individual qualities left, except such as may be fully explained from the favourable or unfavourable external influences of soil, climate, and other accidents. Finally, in the inorganic kingdom of nature all individuality disappears. The crystal alone is to be regarded as to a certain extent individual. It is a unity of the tendency in definite directions, fixed by crystallisation, which makes the trace of this tendency permanent. It is at the same time a cumulative repetition of its primitive form, bound into unity by an idea, just as the tree is an aggregate of the single germinating fibre which shows itself in every rib of the leaves, in every leaf, in every branch; which repeats itself, and to some extent makes each of these appear as a separate growth, nourishing itself from the greater as a parasite, so that the tree, resembling the crystal, is a systematic aggregate of small plants, although only the whole is the complete expression of an individual Idea, *i.e.*, of this particular grade of the objectification of will. But the individuals of the same species of crystal can have no other difference than such as is produced by external accidents; indeed we can make at pleasure large or small crystals of every species. The individual, however, as such, that is, with traces of an individual character, does not exist further in unorganised nature. All its phenomena are expressions of general forces of nature, *i.e.*, of those grades of the objectification of will which do not objectify themselves (as is the case in organised nature), by means of the difference of the individualities which collectively express the whole of the Idea, but show themselves only in the species, and as a whole, without any variation in each particular example of it. Time, space, multiplicity, and existence conditioned by causes, do not belong to the will or to the Idea (the grade of the objectification of will), but only to their particular phenomena. Therefore such a force of nature as, for example, gravity or electricity, must show itself as such in precisely the same way in all its million phenomena, and only external circumstances can modify these. This unity of its being in all its phenomena, this unchangeable constancy of the appearance of these, whenever, under the guidance of causality, the necessary conditions are

present, is called a *law of nature*. If such a law is once learned from experience, then the phenomenon of that force of nature, the character of which is expressed and laid down in it, may be accurately forecast and counted upon. But it is just this conformity to law of the phenomena of the lower grades of the objectification of will which gives them such a different aspect from the phenomena of the same will in the higher, *i.e.*, the more distinct, grades of its objectification, in animals, and in men and their actions, where the stronger or weaker influence of the individual character and the susceptibility to motives which often remain hidden from the spectator, because they lie in knowledge, has had the result that the identity of the inner nature of the two kinds of phenomena has hitherto been entirely overlooked.

If we start from the knowledge of the particular, and not from that of the Idea, there is something astonishing, and sometimes even terrible, in the absolute uniformity of the laws of nature. It might astonish us that nature never once forgets her laws; that if, for example, it has once been according to a law of nature that where certain materials are brought together under given conditions, a chemical combination will take place, or gas will be evolved, or they will go on fire; if these conditions are fulfilled, whether by our interposition or entirely by chance (and in this case the accuracy is the more astonishing because unexpected), to-day just as well as a thousand years ago, the determined phenomenon will take place at once and without delay. We are most vividly impressed with the marvellousness of this fact in the case of rare phenomena, which only occur under very complex circumstances, but which we are previously informed will take place if these conditions are fulfilled. For example, when we are told that if certain metals, when arranged alternately in fluid with which an acid has been mixed, are brought into contact, silver leaf brought between the extremities of this combination will suddenly be consumed in a green flame; or that under certain conditions the hard diamond turns into carbonic acid. It is the ghostly omnipresence of natural forces that astonishes us in such cases, and we remark here what in the case of phenomena which happen daily no longer strikes us, how the connection between cause and effect is really as mysterious as that which is imagined between a magic formula and a spirit that must appear when invoked by it. On the other hand, if we have attained to the philosophical knowledge that a force of nature is a definite grade of the objectification of will, that is to say, a definite grade of that which we

recognise as our own inmost nature, and that this will, in itself, and distinguished from its phenomena and their forms, lies outside time and space, and that, therefore, the multiplicity, which is conditioned by time and space, does not belong to it, nor directly to the grade of its objectification, *i.e.*, the Idea, but only to the phenomena of the Idea; and if we remember that the law of causality has significance only in relation to time and space, inasmuch as it determines the position of the multitude of phenomena of the different Ideas in which the will reveals itself, governing the order in which they must appear; if, I say, in this knowledge the inner meaning of the great doctrine of Kant has been fully grasped, the doctrine that time, space, and causality do not belong to the thing-in-itself, but merely to the phenomenon, that they are only the forms of our knowledge, not qualities of things in themselves; then we shall understand that this astonishment at the conformity to law and accurate operation of a force of nature, this astonishment at the complete sameness of all its million phenomena and the infallibility of their occurrence, is really like that of a child or a savage who looks for the first time through a glass with many facets at a flower, and marvels at the complete similarity of the innumerable flowers which he sees, and counts the leaves of each of them separately.

Thus every universal, original force of nature is nothing but a low grade of the objectification of will, and we call every such grade an eternal *Idea* in Plato's sense. But a *law of nature* is the relation of the Idea to the form of its manifestation. This form is time, space, and causality, which are necessarily and inseparably connected and related to each other. Through time and space the Idea multiplies itself in innumerable phenomena, but the order according to which it enters these forms of multiplicity is definitely determined by the law of causality; this law is as it were the norm of the limit of these phenomena of different Ideas, in accordance with which time, space, and matter are assigned to them. This norm is therefore necessarily related to the identity of the aggregate of existing matter, which is the common substratum of all those different phenomena. If all these were not directed to that common matter in the possession of which they must be divided, there would be no need for such a law to decide their claims. They might all at once and together fill a boundless space throughout an endless time. Therefore, because all these phenomena of the eternal Ideas are directed to one and the same matter, must there be a rule for their appearance and disappearance; for if there were not, they would not make

way for each other. Thus the law of causality is essentially bound up with that of the permanence of substance; they reciprocally derive significance from each other. Time and space, again, are related to them in the same way. For time is merely the possibility of conflicting states of the same matter, and space is merely the possibility of the permanence of the same matter under all sorts of conflicting states. Accordingly, in the preceding book we explained matter as the union of space and time, and this union shows itself as change of the accidents in the permanence of the substance, of which causality or becoming is the universal possibility. And accordingly, we said that matter is through and through causality. We explained the understanding as the subjective correlative of causality, and said matter (and thus the whole world as idea) exists only for the understanding; the understanding is its condition, its supporter as its necessary correlative. I repeat all this in passing, merely to call to mind what was demonstrated in the First Book, for it is necessary for the complete understanding of these two books that their inner agreement should be observed, since what is inseparably united in the actual world as its two sides, will and idea, has, in order that we might understand each of them more clearly in isolation, been dissevered in these two books.

It may not perhaps be superfluous to elucidate further by an example how the law of causality has meaning only in relation to time and space, and the matter which consists in the union of the two. For it determines the limits in accordance with which the phenomena of the forces of nature divide themselves in the possession of matter, while the original forces of nature, as the immediate objectification of will, which, as a thing in itself, is not subordinated to the principle of sufficient reason, lie outside these forms, within which alone all etiological explanation has validity and meaning, and just on that account can never lead us to the inner reality of nature. For this purpose let us think of some kind of machine constructed according to the laws of mechanics. Iron weights begin the motion by their gravity; copper wheels resist by their rigidity, affect and raise each other and the lever by their impenetrability, and so on. Here gravity, rigidity, and impenetrability are original unexplained forces; mechanics only gives us the condition under which, and the manner in which, they manifest themselves, appear, and govern a definite matter, time, and place. If, now, a strong magnet is made to attract the iron of the weight, and overcome its gravity, the movement of the machine stops, and the matter becomes forthwith the

scene of quite a different force of nature — magnetism, of which etiology again gives no further explanation than the condition under which it appears. Or let us suppose that the copper discs of such a machine are laid upon zinc plates, and an acid solution introduced between them. At once the same matter of the machine has become subject to another original force, galvanism, which now governs it according to its own laws, and reveals itself in it through its phenomena; and etiology can again tell us nothing about this force except the conditions under which, and the laws in accordance with which, it manifests itself. Let us now raise the temperature and add pure acid; the whole machine burns; that is to say, once more an entirely different force of nature, chemical energy, asserts at this time and in this place irresistible claims to this particular matter, and reveals itself in it as Idea, as a definite grade of the objectification of will. The calcined metal thus produced now unites with an acid, and a salt is obtained which forms itself into crystals. These are the phenomena of another Idea, which in itself is again quite inexplicable, while the appearance of its phenomena is dependent upon certain conditions which etiology can give us. The crystals dissolve, mix with other materials, and vegetation springs up from them — a new phenomenon of will: and so the same permanent matter may be followed *ad infinitum*, to observe how now this and now that natural force obtains a right to it and temporarily takes possession of it, in order to appear and reveal its own nature. The condition of this right, the point of time and space at which it becomes valid, is given by causality, but the explanation founded upon this law only extends thus far. The force itself is a manifestation of will, and as such is not subject to the forms of the principle of sufficient reason, that is, it is groundless. It lies outside all time, is omnipresent, and seems as it were to wait constantly till the circumstances occur under which it can appear and take possession of a definite matter, supplanting the forces which have reigned in it till then. All time exists only for the phenomena of such a force, and is without significance for the force itself. Through thousands of years chemical forces slumber in matter till the contact with the reagents sets them free; then they appear; but time exists only for the phenomena, not for the forces themselves. For thousands of years galvanism slumbered in copper and zinc, and they lay quietly beside silver, which must be consumed in flame as soon as all three are brought together under the required conditions. Even in the organic kingdom we see

a dry seed preserve the slumbering force through three thousand years, and when at last the favourable circumstances occur, grow up as a plant.<sup>35</sup>

If by this exposition the difference between a force of nature and all its phenomena has been made quite distinct; if we have seen clearly that the former is the will itself at this particular grade of its objectification, but that multiplicity comes to phenomena only through time and space, and that the law of causality is nothing but the determination of the position of these phenomena in time and space; then we shall recognise the complete truth and the deep meaning of Malebranche's doctrine of occasional causes (*causes occasionelles*). It is well worth while comparing this doctrine of his, as he explains it in the "*Recherches de la Vérite*," both in the 3rd Chapter of the second part of the 6th Book, and in the *éclaircissements* appended to this chapter, with this exposition of mine, and observing the complete agreement of the two doctrines in the case of such different systems of thought. Indeed I cannot help admiring how Malebranche, though thoroughly involved in the positive dogmas which his age inevitably forced upon him, yet, in such bonds and under such a burden, hit the truth so happily, so correctly, and even knew how to combine it with these dogmas, at all events verbally.

For the power of truth is incredibly great and of unspeakable endurance. We find constant traces of it in all, even the most eccentric and absurd dogmas, of different times and different lands, — often indeed in strange company, curiously mixed up with other things, but still recognisable. It is like a plant that germinates under a heap of great stones, but still struggles up to the light, working itself through with many deviations and windings, disfigured, worn out, stunted in its growth, — but yet, to the light.

In any case Malebranche is right: every natural cause is only an occasional cause. It only gives opportunity or occasion for the manifestation of the one indivisible will which is the "in-itself" of all things, and whose graduated objectification is the whole visible world. Only the appearance, the becoming visible, in this place, at this time, is brought about by the cause and is so far dependent on it, but not the whole of the phenomenon, nor its inner nature. This is the will itself, to which the principle of sufficient reason has not application, and which is therefore groundless. Nothing in the world has a sufficient cause of its existence generally, but only a cause of existence just here and just now. That a stone exhibits now gravity, now rigidity, now electricity, now chemical qualities, depends upon



causes, upon impressions upon it from without, and is to be explained from these. But these qualities themselves, and thus the whole inner nature of the stone which consists in them, and therefore manifests itself in all the ways referred to; thus, in general, that the stone is such as it is, that it exists generally — all this, I say, has no ground, but is the visible appearance of the groundless will. Every cause is thus an occasional cause. We have found it to be so in nature, which is without knowledge, and it is also precisely the same when motives and not causes or stimuli determine the point at which the phenomena are to appear, that is to say, in the actions of animals and human beings. For in both cases it is one and the same will which appears; very different in the grades of its manifestation, multiplied in the phenomena of these grades, and, in respect of these, subordinated to the principle of sufficient reason, but in itself free from all this. Motives do not determine the character of man, but only the phenomena of his character, that is, his actions; the outward fashion of his life, not its inner meaning and content. These proceed from the character which is the immediate manifestation of the will, and is therefore groundless. That one man is bad and another good, does not depend upon motives or outward influences, such as teaching and preaching, and is in this sense quite inexplicable. But whether a bad man shows his badness in petty acts of injustice, cowardly tricks, and low knavery which he practises in the narrow sphere of his circumstances, or whether as a conqueror he oppresses nations, throws a world into lamentation, and sheds the blood of millions; this is the outward form of his manifestation, that which is unessential to it, and depends upon the circumstances in which fate has placed him, upon his surroundings, upon external influences, upon motives; but his decision upon these motives can never be explained from them; it proceeds from the will, of which this man is a manifestation. Of this we shall speak in the Fourth Book. The manner in which the character discloses its qualities is quite analogous to the way in which those of every material body in unconscious nature are disclosed. Water remains water with its intrinsic qualities, whether as a still lake it reflects its banks, or leaps in foam from the cliffs, or, artificially confined, spouts in a long jet into the air. All that depends upon external causes; the one form is as natural to it as the other, but it will always show the same form in the same circumstances; it is equally ready for any, but in every case true to its character, and at all times revealing this alone. So will every human character under all circumstances reveal itself, but the

phenomena which proceed from it will always be in accordance with the circumstances.

§ 27. If, from the foregoing consideration of the forces of nature and their phenomena, we have come to see clearly how far an explanation from causes can go, and where it must stop if it is not to degenerate into the vain attempt to reduce the content of all phenomena to their mere form, in which case there would ultimately remain nothing but form, we shall be able to settle in general terms what is to be demanded of etiology as a whole. It must seek out the causes of all phenomena in nature, *i.e.*, the circumstances under which they invariably appear. Then it must refer the multitude of phenomena which have various forms in various circumstances to what is active in every phenomenon, and is presupposed in the cause, — original forces of nature. It must correctly distinguish between a difference of the phenomenon which arises from a difference of the force, and one which results merely from a difference of the circumstances under which the force expresses itself; and with equal care it must guard against taking the expressions of one and the same force under different circumstances for the manifestations of different forces, and conversely against taking for manifestations of one and the same force what originally belongs to different forces. Now this is the direct work of the faculty of judgment, and that is why so few men are capable of increasing our insight in physics, while all are able to enlarge experience. Indolence and ignorance make us disposed to appeal too soon to original forces. This is exemplified with an exaggeration that savours of irony in the entities and quidities of the schoolmen. Nothing is further from my desire than to favour their resuscitation. We have just as little right to appeal to the objectification of will, instead of giving a physical explanation, as we have to appeal to the creative power of God. For physics demands causes, and the will is never a cause. Its whole relation to the phenomenon is not in accordance with the principle of sufficient reason. But that which in itself is the will exists in another aspect as idea; that is to say, is phenomenon. As such, it obeys the laws which constitute the form of the phenomenon. Every movement, for example, although it is always a manifestation of will, must yet have a cause from which it is to be explained in relation to a particular time and space; that is, not in general in its inner nature, but as a *particular* phenomenon. In the case of the stone, this is a mechanical cause; in that of the movement of a man, it is a motive; but in no case can it be wanting. On

the other hand, the universal common nature of all phenomena of one particular kind, that which must be presupposed if the explanation from causes is to have any sense and meaning, is the general force of nature, which, in physics, must remain a *qualitas occulta*, because with it the etiological explanation ends and the metaphysical begins. But the chain of causes and effects is never broken by an original force to which it has been necessary to appeal. It does not run back to such a force as if it were its first link, but the nearest link, as well as the remotest, presupposes the original force, and could otherwise explain nothing. A series of causes and effects may be the manifestation of the most different kinds of forces, whose successive visible appearances are conducted through it, as I have illustrated above by the example of a metal machine. But the difference of these original forces, which cannot be referred to each other, by no means breaks the unity of that chain of causes, and the connection between all its links. The etiology and the philosophy of nature never do violence to each other, but go hand in hand, regarding the same object from different points of view. Etiology gives an account of the causes which necessarily produce the particular phenomenon to be explained. It exhibits, as the foundation of all its explanations, the universal forces which are active in all these causes and effects. It accurately defines, enumerates, and distinguishes these forces, and then indicates all the different effects in which each force appears, regulated by the difference of the circumstances, always in accordance with its own peculiar character, which it discloses in obedience to an invariable rule, called a *law of nature*. When all this has been thoroughly accomplished by physics in every particular, it will be complete, and its work will be done. There will then remain no unknown force in unorganised nature, nor any effect, which has not been proved to be the manifestation of one of these forces under definite circumstances, in accordance with a law of nature. Yet a law of nature remains merely the observed rule according to which nature invariably proceeds whenever certain definite circumstances occur. Therefore a law of nature may be defined as a fact expressed generally — *un fait généralisé* — and thus a complete enumeration of all the laws of nature would only be a complete register of facts. The consideration of nature as a whole is thus completed in *morphology*, which enumerates, compares, and arranges all the enduring forms of organised nature. Of the causes of the appearance of the individual creature it has little to say, for in all cases this is procreation (the theory of

which is a separate matter), and in rare cases the *generatio æquivoca*. But to this last belongs, strictly speaking, the manner in which all the lower grades of the objectification of will, that is to say, physical and chemical phenomena, appear as individual, and it is precisely the task of etiology to point out the conditions of this appearance. Philosophy, on the other hand, concerns itself only with the universal, in nature as everywhere else. The original forces themselves are here its object, and it recognises in them the different grades of the objectivity of will, which is the inner nature, the “in-itself” of this world; and when it regards the world apart from will, it explains it as merely the idea of the subject. But if etiology, instead of preparing the way for philosophy, and supplying its doctrines with practical application by means of instances, supposes that its aim is rather to deny the existence of all original forces, except perhaps *one*, the most general, for example, impenetrability, which it imagines it thoroughly understands, and consequently seeks forcibly to refer all the others to it — it forsakes its own province and can only give us error instead of truth. The content of nature is supplanted by its form, everything is ascribed to the circumstances which work from without, and nothing to the inner nature of the thing. Now if it were possible to succeed by this method, a problem in arithmetic would ultimately, as we have already remarked, solve the riddle of the universe. But this is the method adopted by those, referred to above, who think that all physiological effects ought to be reduced to form and combination, this, perhaps, to electricity, and this again to chemism, and chemism to mechanism. The mistake of Descartes, for example, and of all the Atomists, was of this last description. They referred the movements of the globe to the impact of a fluid, and the qualities of matter to the connection and form of the atoms, and hence they laboured to explain all the phenomena of nature as merely manifestations of impenetrability and cohesion. Although this has been given up, precisely the same error is committed in our own day by the electrical, chemical, and mechanical physiologists, who obstinately attempt to explain the whole of life and all the functions of the organism from “form and combination.” In Meckel’s “Archiv für Physiologie” (1820, vol. v. p. 185) we still find it stated that the aim of physiological explanation is the reduction of organic life to the universal forces with which physics deals. Lamarck also, in his “*Philosophie Zoologique*,” explains life as merely the effect of warmth and electricity: *le calorique et la matière électrique suffisent parfaitement pour composer ensemble cette*

*cause essentielle de la vie* (p. 16). According to this, warmth and electricity would be the “thing-in-itself,” and the world of animals and plants its phenomenal appearance. The absurdity of this opinion becomes glaringly apparent at the 306th and following pages of that work. It is well known that all these opinions, that have been so often refuted, have reappeared quite recently with renewed confidence. If we carefully examine the foundation of these views, we shall find that they ultimately involve the presupposition that the organism is merely an aggregate of phenomena of physical, chemical, and mechanical forces, which have come together here by chance, and produced the organism as a freak of nature without further significance. The organism of an animal or of a human being would therefore be, if considered philosophically, not the exhibition of a special Idea, that is, not itself immediate objectivity of the will at a definite higher grade, but in it would appear only those Ideas which objectify the will in electricity, in chemism, and in mechanism. Thus the organism would be as fortuitously constructed by the concurrence of these forces as the forms of men and beasts in clouds and stalactites, and would therefore in itself be no more interesting than they are. However, we shall see immediately how far the application of physical and chemical modes of explanation to the organism may yet, within certain limits, be allowable and useful; for I shall explain that the vital force certainly avails itself of and uses the forces of unorganised nature; yet these forces no more constitute the vital force than a hammer and anvil make a blacksmith. Therefore even the most simple example of plant life can never be explained from these forces by any theory of capillary attraction and endosmose, much less animal life. The following observations will prepare the way for this somewhat difficult discussion.

It follows from all that has been said that it is certainly an error on the part of natural science to seek to refer the higher grades of the objectification of will to the lower; for the failure to recognise, or the denial of, original and self-existing forces of nature is just as wrong as the groundless assumption of special forces when what occurs is merely a peculiar kind of manifestation of what is already known. Thus Kant rightly says that it would be absurd to hope for a blade of grass from a Newton, that is, from one who reduced the blade of grass to the manifestations of physical and chemical forces, of which it was the chance product, and therefore a mere freak of nature, in which no special Idea appeared, *i.e.*, the

will did not directly reveal itself in it in a higher and specific grade, but just as in the phenomena of unorganised nature and by chance in this form. The schoolmen, who certainly would not have allowed such a doctrine, would rightly have said that it was a complete denial of the *forma substantialis*, and a degradation of it to the *forma accidentalis*. For the *forma substantialis* of Aristotle denotes exactly what I call the grade of the objectification of will in a thing. On the other hand, it is not to be overlooked that in all Ideas, that is, in all forces of unorganised, and all forms of organised nature, it is *one and the same* will that reveals itself, that is to say, which enters the form of the idea and passes into *objectivity*. Its unity must therefore be also recognisable through an inner relationship between all its phenomena. Now this reveals itself in the higher grades of the objectification of will, where the whole phenomenon is more distinct, thus in the vegetable and animal kingdoms, through the universally prevailing analogy of all forms, the fundamental type which recurs in all phenomena. This has, therefore, become the guiding principle of the admirable zoological system which was originated by the French in this century, and it is most completely established in comparative anatomy as *l'unité de plan, l'uniformité de l'élément anatomique*. To discover this fundamental type has been the chief concern, or at any rate the praiseworthy endeavour, of the natural philosophers of the school of Schelling, who have in this respect considerable merit, although in many cases their hunt after analogies in nature degenerated into mere conceits. They have, however, rightly shown that that general relationship and family likeness exists also in the Ideas of unorganised nature; for example, between electricity and magnetism, the identity of which was afterwards established; between chemical attraction and gravitation, and so forth. They specially called attention to the fact that *polarity*, that is, the sundering of a force into two qualitatively different and opposed activities striving after reunion, which also shows itself for the most part in space as a dispersion in opposite directions, is a fundamental type of almost all the phenomena of nature, from the magnet and the crystal to man himself. Yet this knowledge has been current in China from the earliest times, in the doctrine of opposition of Yin and Yang. Indeed, since all things in the world are the objectification of one and the same will, and therefore in their inner nature identical, it must not only be the case that there is that unmistakable analogy between them, and that in every phenomenon the trace, intimation, and plan of the higher phenomenon that

lies next to it in point of development shows itself, but also because all these forms belong to the world as *idea*, it is indeed conceivable that even in the most universal forms of the idea, in that peculiar framework of the phenomenal world space and time, it may be possible to discern and establish the fundamental type, intimation, and plan of what fills the forms. It seems to have been a dim notion of this that was the origin of the Cabala and all the mathematical philosophy of the Pythagoreans, and also of the Chinese in Y-king. In the school of Schelling also, to which we have already referred, we find, among their efforts to bring to light the similarity among the phenomena of nature, several attempts (though rather unfortunate ones) to deduce laws of nature from the laws of pure space and time. However, one can never tell to what extent a man of genius will realise both endeavours.

Now, although the difference between phenomenon and thing-in-itself is never lost sight of, and therefore the identity of the will which objectifies itself in all Ideas can never (because it has different grades of its objectification) be distorted to mean identity of the particular Ideas themselves in which it appears, so that, for example, chemical or electrical attraction can never be reduced to the attraction of gravitation, although this inner analogy is known, and the former may be regarded as, so to speak, higher powers of the latter, just as little does the similarity of the construction of all animals warrant us in mixing and identifying the species and explaining the more developed as mere variations of the less developed; and although, finally, the physiological functions are never to be reduced to chemical or physical processes, yet, in justification of this procedure, within certain limits, we may accept the following observations as highly probable.

If several of the phenomena of will in the lower grades of its objectification — that is, in unorganised nature — come into conflict because each of them, under the guidance of causality, seeks to possess a given portion of matter, there arises from the conflict the phenomenon of a higher Idea which prevails over all the less developed phenomena previously there, yet in such a way that it allows the essence of these to continue to exist in a subordinate manner, in that it takes up into itself from them something which is analogous to them. This process is only intelligible from the identity of the will which manifests itself in all the Ideas, and which is always striving after higher objectification. We thus see, for example, in the hardening of the bones, an unmistakable analogy to

crystallisation, as the force which originally had possession of the chalk, although ossification is never to be reduced to crystallisation. The analogy shows itself in a weaker degree in the flesh becoming firm. The combination of humours in the animal body and secretion are also analogous to chemical combination and separation. Indeed, the laws of chemistry are still strongly operative in this case, but subordinated, very much modified, and mastered by a higher Idea; therefore mere chemical forces outside the organism will never afford us such humours; but

“Encheiresin naturæ nennt es die Chemie,  
Spottet ihrer selbst und weiss nicht wie.”

The more developed Idea resulting from this victory over several lower Ideas or objectifications of will, gains an entirely new character by taking up into itself from every Idea over which it has prevailed a strengthened analogy. The will objectifies itself in a new, more distinct way. It originally appears in *generatio æquivoca*; afterwards in assimilation to the given germ, organic moisture, plant, animal, man. Thus from the strife of lower phenomena the higher arise, swallowing them all up, but yet realising in the higher grade the tendency of all the lower. Here, then, already the law applies — *Serpens nisi serpentem comederit non fit draco*.

I wish it had been possible for me to dispel by clearness of explanation the obscurity which clings to the subject of these thoughts; but I see very well that the reader's own consideration of the matter must materially aid me if I am not to remain uncomprehended or misunderstood. According to the view I have expressed, the traces of chemical and physical modes of operation will indeed be found in the organism, but it can never be explained from them; because it is by no means a phenomenon even accidentally brought about through the united actions of such forces, but a higher Idea which has overcome these lower ideas by *subduing assimilation*; for the *one* will which objectifies itself in all Ideas always seeks the highest possible objectification, and has therefore in this case given up the lower grades of its manifestation after a conflict, in order to appear in a higher grade, and one so much the more powerful. No victory without conflict: since the higher Idea or objectification of will can only appear through the conquest of the lower, it endures the opposition of these lower Ideas, which, although brought into subjection, still constantly strive to obtain an independent and complete expression of their being. The



magnet that has attracted a piece of iron carries on a perpetual conflict with gravitation, which, as the lower objectification of will, has a prior right to the matter of the iron; and in this constant battle the magnet indeed grows stronger, for the opposition excites it, as it were, to greater effort. In the same way every manifestation of the will, including that which expresses itself in the human organism, wages a constant war against the many physical and chemical forces which, as lower Ideas, have a prior right to that matter. Thus the arm falls which for a while, overcoming gravity, we have held stretched out; thus the pleasing sensation of health, which proclaims the victory of the Idea of the self-conscious organism over the physical and chemical laws, which originally governed the humours of the body, is so often interrupted, and is indeed always accompanied by greater or less discomfort, which arises from the resistance of these forces, and on account of which the vegetative part of our life is constantly attended by slight pain. Thus also digestion weakens all the animal functions, because it requires the whole vital force to overcome the chemical forces of nature by assimilation. Hence also in general the burden of physical life, the necessity of sleep, and, finally, of death; for at last these subdued forces of nature, assisted by circumstances, win back from the organism, wearied even by the constant victory, the matter it took from them, and attain to an unimpeded expression of their being. We may therefore say that every organism expresses the Idea of which it is the image, only after we have subtracted the part of its force which is expended in subduing the lower Ideas that strive with it for matter. This seems to have been running in the mind of Jacob Böhm when he says somewhere that all the bodies of men and animals, and even all plants, are really half dead. According as the subjection in the organism of these forces of nature, which express the lower grades of the objectification of will, is more or less successful, the more or the less completely does it attain to the expression of its Idea; that is to say, the nearer it is to the *ideal* or the further from it — the *ideal* of beauty in its species.

Thus everywhere in nature we see strife, conflict, and alternation of victory, and in it we shall come to recognise more distinctly that variance with itself which is essential to the will. Every grade of the objectification of will fights for the matter, the space, and the time of the others. The permanent matter must constantly change its form; for under the guidance of causality, mechanical, physical, chemical, and organic phenomena,

eagerly striving to appear, wrest the matter from each other, for each desires to reveal its own Idea. This strife may be followed through the whole of nature; indeed nature exists only through it: εἰ γὰρ μὴ ἦν τὸ νεῖκος ἐν τοῖς πραγμασιν, ἔν αν ἦν ἅπαντα, ὥς φησιν Ἐμπεδοκλῆς; (nam si non inesset in rebus contentio, unum omnia essent, ut ait Empedocles. Aris. Metaph., B. 5). Yet this strife itself is only the revelation of that variance with itself which is essential to the will. This universal conflict becomes most distinctly visible in the animal kingdom. For animals have the whole of the vegetable kingdom for their food, and even within the animal kingdom every beast is the prey and the food of another; that is, the matter in which its Idea expresses itself must yield itself to the expression of another Idea, for each animal can only maintain its existence by the constant destruction of some other. Thus the will to live everywhere preys upon itself, and in different forms is its own nourishment, till finally the human race, because it subdues all the others, regards nature as a manufactory for its use. Yet even the human race, as we shall see in the Fourth Book, reveals in itself with most terrible distinctness this conflict, this variance with itself of the will, and we find *homo homini lupus*. Meanwhile we can recognise this strife, this subjugation, just as well in the lower grades of the objectification of will. Many insects (especially ichneumon-flies) lay their eggs on the skin, and even in the body of the larvæ of other insects, whose slow destruction is the first work of the newly hatched brood. The young hydra, which grows like a bud out of the old one, and afterwards separates itself from it, fights while it is still joined to the old one for the prey that offers itself, so that the one snatches it out of the mouth of the other (Trembley, Polypod., ii. p. 110, and iii. p. 165). But the bulldog-ant of Australia affords us the most extraordinary example of this kind; for if it is cut in two, a battle begins between the head and the tail. The head seizes the tail with its teeth, and the tail defends itself bravely by stinging the head: the battle may last for half an hour, until they die or are dragged away by other ants. This contest takes place every time the experiment is tried. (From a letter by Howitt in the W. Journal, reprinted in Galignani's Messenger, 17th November 1855.) On the banks of the Missouri one sometimes sees a mighty oak the stem and branches of which are so encircled, fettered, and interlaced by a gigantic wild vine, that it withers as if choked. The same thing shows itself in the lowest grades; for example, when water and carbon are changed into vegetable sap, or vegetables or bread into blood by organic

assimilation; and so also in every case in which animal secretion takes place, along with the restriction of chemical forces to a subordinate mode of activity. This also occurs in unorganised nature, when, for example, crystals in process of formation meet, cross, and mutually disturb each other to such an extent that they are unable to assume the pure crystalline form, so that almost every cluster of crystals is an image of such a conflict of will at this low grade of its objectification; or again, when a magnet forces its magnetism upon iron, in order to express its Idea in it; or when galvanism overcomes chemical affinity, decomposes the closest combinations, and so entirely suspends the laws of chemistry that the acid of a decomposed salt at the negative pole must pass to the positive pole without combining with the alkalis through which it goes on its way, or turning red the litmus paper that touches it. On a large scale it shows itself in the relation between the central body and the planet, for although the planet is in absolute dependence, yet it always resists, just like the chemical forces in the organism; hence arises the constant tension between centripetal and centrifugal force, which keeps the globe in motion, and is itself an example of that universal essential conflict of the manifestation of will which we are considering. For as every body must be regarded as the manifestation of a will, and as will necessarily expresses itself as a struggle, the original condition of every world that is formed into a globe cannot be rest, but motion, a striving forward in boundless space without rest and without end. Neither the law of inertia nor that of causality is opposed to this: for as, according to the former, matter as such is alike indifferent to rest and motion, its original condition may just as well be the one as the other, therefore if we first find it in motion, we have just as little right to assume that this was preceded by a condition of rest, and to inquire into the cause of the origin of the motion, as, conversely, if we found it at rest, we would have to assume a previous motion and inquire into the cause of its suspension. It is, therefore, not needful to seek for a first impulse for centrifugal force, for, according to the hypothesis of Kant and Laplace, it is, in the case of the planets, the residue of the original rotation of the central body, from which the planets have separated themselves as it contracted. But to this central body itself motion is essential; it always continues its rotation, and at the same time rushes forward in endless space, or perhaps circulates round a greater central body invisible to us. This view entirely agrees with the conjecture of astronomers that there is a central sun, and

also with the observed advance of our whole solar system, and perhaps of the whole stellar system to which our sun belongs. From this we are finally led to assume a general advance of fixed stars, together with the central sun, and this certainly loses all meaning in boundless space (for motion in absolute space cannot be distinguished from rest), and becomes, as is already the case from its striving and aimless flight, an expression of that nothingness, that failure of all aim, which, at the close of this book, we shall be obliged to recognise in the striving of will in all its phenomena. Thus boundless space and endless time must be the most universal and essential forms of the collective phenomena of will, which exist for the expression of its whole being. Lastly, we can recognise that conflict which we are considering of all phenomena of will against each other in simple matter regarded as such; for the real characteristic of matter is correctly expressed by Kant as repulsive and attractive force; so that even crude matter has its existence only in the strife of conflicting forces. If we abstract from all chemical differences in matter, or go so far back in the chain of causes and effects that as yet there is no chemical difference, there remains mere matter, — the world rounded to a globe, whose life, *i.e.*, objectification of will, is now constituted by the conflict between attractive and repulsive forces, the former as gravitation pressing from all sides towards the centre, the latter as impenetrability always opposing the former either as rigidity or elasticity; and this constant pressure and resistance may be regarded as the objectivity of will in its very lowest grade, and even there it expresses its character.

We should see the will express itself here in the lowest grade as blind striving, an obscure, inarticulate impulse, far from susceptible of being directly known. It is the simplest and the weakest mode of its objectification. But it appears as this blind and unconscious striving in the whole of unorganised nature, in all those original forces of which it is the work of physics and chemistry to discover and to study the laws, and each of which manifests itself to us in millions of phenomena which are exactly similar and regular, and show no trace of individual character, but are mere multiplicity through space and time, *i.e.*, through the *principium individuationis*, as a picture is multiplied through the facets of a glass.

From grade to grade objectifying itself more distinctly, yet still completely without consciousness as an obscure striving force, the will acts in the vegetable kingdom also, in which the bond of its phenomena consists

no longer properly of causes, but of stimuli; and, finally, also in the vegetative part of the animal phenomenon, in the production and maturing of the animal, and in sustaining its inner economy, in which the manifestation of will is still always necessarily determined by stimuli. The ever-ascending grades of the objectification of will bring us at last to the point at which the individual that expresses the Idea could no longer receive food for its assimilation through mere movement following upon stimuli. For such a stimulus must be waited for, but the food has now come to be of a more special and definite kind, and with the ever-increasing multiplicity of the individual phenomena, the crowd and confusion has become so great that they interfere with each other, and the chance of the individual that is moved merely by stimuli and must wait for its food would be too unfavourable. From the point, therefore, at which the animal has delivered itself from the egg or the womb in which it vegetated without consciousness, its food must be sought out and selected. For this purpose movement following upon motives, and therefore consciousness, becomes necessary, and consequently it appears as an agent, μηχανή, called in at this stage of the objectification of will for the conservation of the individual and the propagation of the species. It appears represented by the brain or a large ganglion, just as every other effort or determination of the will which objectifies itself is represented by an organ, that is to say, manifests itself for the idea as an organ.<sup>36</sup> But with this means of assistance, this μηχανή, the *world as idea* comes into existence at a stroke, with all its forms, object and subject, time, space, multiplicity, and causality. The world now shows its second side. Till now *mere will*, it becomes also *idea*, object of the knowing subject. The will, which up to this point followed its tendency in the dark with unerring certainty, has at this grade kindled for itself a light as a means which became necessary for getting rid of the disadvantage which arose from the throng and the complicated nature of its manifestations, and which would have accrued precisely to the most perfect of them. The hitherto infallible certainty and regularity with which it worked in unorganised and merely vegetative nature, rested upon the fact that it alone was active in its original nature, as blind impulse, will, without assistance, and also without interruption, from a second and entirely different world, the world as idea, which is indeed only the image of its own inner being, but is yet of quite another nature, and now encroaches on the connected whole of its phenomena. Hence its infallible certainty comes to an end.

Animals are already exposed to illusion, to deception. They have, however, merely ideas of perception, no conceptions, no reflection, and they are therefore bound to the present; they cannot have regard for the future. It seems as if this knowledge without reason was not in all cases sufficient for its end, and at times required, as it were, some assistance. For the very remarkable phenomenon presents itself, that the blind working of the will and the activity enlightened by knowledge encroach in a most astonishing manner upon each other's spheres in two kinds of phenomena. In the one case we find in the very midst of those actions of animals which are guided by perceptive knowledge and its motives one kind of action which is accomplished apart from these, and thus through the necessity of the blindly acting will. I refer to those mechanical instincts which are guided by no motive or knowledge, and which yet have the appearance of performing their work from abstract rational motives. The other case, which is opposed to this, is that in which, on the contrary, the light of knowledge penetrates into the workshop of the blindly active will, and illuminates the vegetative functions of the human organism. I mean clairvoyance. Finally, when the will has attained to the highest grade of its objectification, that knowledge of the understanding given to brutes to which the senses supply the data, out of which there arises mere perception confined to what is immediately present, does not suffice. That complicated, many-sided, imaginative being, man, with his many needs, and exposed as he is to innumerable dangers, must, in order to exist, be lighted by a double knowledge; a higher power, as it were, of perceptive knowledge must be given him, and also reason, as the faculty of framing abstract conceptions. With this there has appeared reflection, surveying the future and the past, and, as a consequence, deliberation, care, the power of premeditated action independent of the present, and finally, the full and distinct consciousness of one's own deliberate volition as such. Now if with mere knowledge of perception there arose the possibility of illusion and deception, by which the previous infallibility of the blind striving of will was done away with, so that mechanical and other instincts, as expressions of unconscious will, had to lend their help in the midst of those that were conscious, with the entrance of reason that certainty and infallibility of the expressions of will (which at the other extreme in unorganised nature appeared as strict conformity to law) is almost entirely lost; instinct disappears altogether; deliberation, which is supposed to take the place of everything else, begets (as was

shown in the First Book) irresolution and uncertainty; then error becomes possible, and in many cases obstructs the adequate objectification of the will in action. For although in the character the will has already taken its definite and unchangeable bent or direction, in accordance with which volition, when occasioned by the presence of a motive, invariably takes place, yet error can falsify its expressions, for it introduces illusive motives that take the place of the real ones which they resemble;<sup>37</sup> as, for example, when superstition forces on a man imaginary motives which impel him to a course of action directly opposed to the way in which the will would otherwise express itself in the given circumstances. Agamemnon slays his daughter; a miser dispenses alms, out of pure egotism, in the hope that he will some day receive an hundred-fold; and so on.

Thus knowledge generally, rational as well as merely sensuous, proceeds originally from the will itself, belongs to the inner being of the higher grades of its objectification as a mere *μηχανή*, a means of supporting the individual and the species, just like any organ of the body. Originally destined for the service of the will for the accomplishment of its aims, it remains almost throughout entirely subjected to its service: it is so in all brutes and in almost all men. Yet we shall see in the Third Book how in certain individual men knowledge can deliver itself from this bondage, throw off its yoke, and, free from all the aims of will, exist purely for itself, simply as a clear mirror of the world, which is the source of art. Finally, in the Fourth Book, we shall see how, if this kind of knowledge reacts on the will, it can bring about self-surrender, *i.e.*, resignation, which is the final goal, and indeed the inmost nature of all virtue and holiness, and is deliverance from the world.

§ 28. We have considered the great multiplicity and diversity of the phenomena in which the will objectifies itself, and we have seen their endless and implacable strife with each other. Yet, according to the whole discussion up to this point, the will itself, as thing-in-itself, is by no means included in that multiplicity and change. The diversity of the (Platonic) Ideas, *i.e.*, grades of objectification, the multitude of individuals in which each of these expresses itself, the struggle of forms for matter, — all this does not concern it, but is only the manner of its objectification, and only through this has an indirect relation to it, by virtue of which it belongs to the expression of the nature of will for the idea. As the magic-lantern shows many different pictures, which are all made visible by one and the same

light, so in all the multifarious phenomena which fill the world together or throng after each other as events, only *one will* manifests itself, of which everything is the visibility, the objectivity, and which remains unmoved in the midst of this change; it alone is thing-in-itself; all objects are manifestations, or, to speak the language of Kant, phenomena. Although in man, as (Platonic) Idea, the will finds its clearest and fullest objectification, yet man alone could not express its being. In order to manifest the full significance of the will, the Idea of man would need to appear, not alone and sundered from everything else, but accompanied by the whole series of grades, down through all the forms of animals, through the vegetable kingdom to unorganised nature. All these supplement each other in the complete objectification of will; they are as much presupposed by the Idea of man as the blossoms of a tree presuppose leaves, branches, stem, and root; they form a pyramid, of which man is the apex. If fond of similes, one might also say that their manifestations accompany that of man as necessarily as the full daylight is accompanied by all the gradations of twilight, through which, little by little, it loses itself in darkness; or one might call them the echo of man, and say: Animal and plant are the descending fifth and third of man, the inorganic kingdom is the lower octave. The full truth of this last comparison will only become clear to us when, in the following book, we attempt to fathom the deep significance of music, and see how a connected, progressive melody, made up of high, quick notes, may be regarded as in some sense expressing the life and efforts of man connected by reflection, while the unconnected complemental notes and the slow bass, which make up the harmony necessary to perfect the music, represent the rest of the animal kingdom and the whole of nature that is without knowledge. But of this in its own place, where it will not sound so paradoxical. We find, however, that the *inner necessity* of the gradation of its manifestations, which is inseparable from the adequate objectification of the will, is expressed by an *outer necessity* in the whole of these manifestations themselves, by reason of which man has need of the beasts for his support, the beasts in their grades have need of each other as well as of plants, which in their turn require the ground, water, chemical elements and their combinations, the planet, the sun, rotation and motion round the sun, the curve of the ellipse, &c., &c. At bottom this results from the fact that the will must live on itself, for there exists nothing



beside it, and it is a hungry will. Hence arise eager pursuit, anxiety, and suffering.

It is only the knowledge of the unity of will as thing-in-itself, in the endless diversity and multiplicity of the phenomena, that can afford us the true explanation of that wonderful, unmistakable analogy of all the productions of nature, that family likeness on account of which we may regard them as variations on the same ungiven theme. So in like measure, through the distinct and thoroughly comprehended knowledge of that harmony, that essential connection of all the parts of the world, that necessity of their gradation which we have just been considering, we shall obtain a true and sufficient insight into the inner nature and meaning of the undeniable *teleology* of all organised productions of nature, which, indeed, we presupposed *a priori*, when considering and investigating them.

This *teleology* is of a twofold description; sometimes an *inner teleology*, that is, an agreement of all the parts of a particular organism, so ordered that the sustenance of the individual and the species results from it, and therefore presents itself as the end of that disposition or arrangement. Sometimes, however, there is an *outward teleology*, a relation of unorganised to organised nature in general, or of particular parts of organised nature to each other, which makes the maintenance of the whole of organised nature, or of the particular animal species, possible, and therefore presents itself to our judgment as the means to this end.

*Inner teleology* is connected with the scheme of our work in the following way. If, in accordance with what has been said, all variations of form in nature, and all multiplicity of individuals, belong not to the will itself, but merely to its objectivity and the form of this objectivity, it necessarily follows that the will is indivisible and is present as a whole in every manifestation, although the grades of its objectification, the (Platonic) Ideas, are very different from each other. We may, for the sake of simplicity, regard these different Ideas as in themselves individual and simple acts of the will, in which it expresses its nature more or less. Individuals, however, are again manifestations of the Ideas, thus of these acts, in time, space, and multiplicity. Now, in the lowest grades of objectivity, such an act (or an Idea) retains its unity in the manifestation; while, in order to appear in higher grades, it requires a whole series of conditions and developments in time, which only collectively express its nature completely. Thus, for example the Idea that reveals itself in any general force of nature has

always one single expression, although it presents itself differently according to the external relations that are present: otherwise its identity could not be proved, for this is done by abstracting the diversity that arises merely from external relations. In the same way the crystal has only *one* manifestation of life, crystallisation, which afterwards has its fully adequate and exhaustive expression in the rigid form, the corpse of that momentary life. The plant, however, does not express the Idea, whose phenomenon it is, at once and through a single manifestation, but in a succession of developments of its organs in time. The animal not only develops its organism in the same manner, in a succession of forms which are often very different (metamorphosis), but this form itself, although it is already objectivity of will at this grade, does not attain to a full expression of its Idea. This expression must be completed through the actions of the animal, in which its empirical character, common to the whole species, manifests itself, and only then does it become the full revelation of the Idea, a revelation which presupposes the particular organism as its first condition. In the case of man, the empirical character is peculiar to every individual (indeed, as we shall see in the Fourth Book, even to the extent of supplanting entirely the character of the species, through the self-surrender of the whole will). That which is known as the empirical character, through the necessary development in time, and the division into particular actions that is conditioned by it, is, when we abstract from this temporal form of the manifestation the *intelligible character*, according to the expression of Kant, who shows his undying merit especially in establishing this distinction and explaining the relation between freedom and necessity, *i.e.*, between the will as thing-in-itself and its manifestations in time.<sup>38</sup> Thus the intelligible character coincides with the Idea, or, more accurately, with the original act of will which reveals itself in it. So far then, not only the empirical character of every man, but also that of every species of animal and plant, and even of every original force of unorganised nature, is to be regarded as the manifestation of an intelligible character, that is, of a timeless, indivisible act of will. I should like here to draw attention in passing to the naïveté with which every plant expresses and lays open its whole character in its mere form, reveals its whole being and will. This is why the physiognomy of plants is so interesting; while in order to know an animal in its Idea, it is necessary to observe the course of its action. As for man, he must be fully investigated and tested, for reason makes him capable

of a high degree of dissimulation. The beast is as much more naïve than the man as the plant is more naïve than the beast. In the beast we see the will to live more naked, as it were, than in the man, in whom it is clothed with so much knowledge, and is, moreover, so veiled through the capacity for dissimulation, that it is almost only by chance, and here and there, that its true nature becomes apparent. In the plant it shows itself quite naked, but also much weaker, as mere blind striving for existence without end or aim. For the plant reveals its whole being at the first glance, and with complete innocence, which does not suffer from the fact that it carries its organs of generation exposed to view on its upper surface, though in all animals they have been assigned to the most hidden part. This innocence of the plant results from its complete want of knowledge. Guilt does not lie in willing, but in willing with knowledge. Every plant speaks to us first of all of its home, of the climate, and the nature of the ground in which it has grown. Therefore, even those who have had little practice easily tell whether an exotic plant belongs to the tropical or the temperate zone, and whether it grows in water, in marshes, on mountain, or on moorland. Besides this, however, every plant expresses the special will of its species, and says something that cannot be uttered in any other tongue. But we must now apply what has been said to the teleological consideration of the organism, so far as it concerns its inner design. If in unorganised nature the Idea, which is everywhere to be regarded as a single act of will, reveals itself also in a single manifestation which is always the same, and thus one may say that here the empirical character directly partakes of the unity of the intelligible, coincides, as it were, with it, so that no inner design can show itself here; if, on the contrary, all organisms express their Ideas through a series of successive developments, conditioned by a multiplicity of co-existing parts, and thus only the sum of the manifestations of the empirical character collectively constitute the expression of the intelligible character; this necessary co-existence of the parts and succession of the stages of development does not destroy the unity of the appearing Idea, the act of will which expresses itself; nay, rather this unity finds its expression in the necessary relation and connection of the parts and stages of development with each other, in accordance with the law of causality. Since it is the will which is one, indivisible, and therefore entirely in harmony with itself, that reveals itself in the whole Idea as in act, its manifestation, although broken up into a number of different parts and conditions, must yet show this unity

again in the thorough agreement of all of these. This is effected by a necessary relation and dependence of all the parts upon each other, by means of which the unity of the Idea is re-established in the manifestation. In accordance with this, we now recognise these different parts and functions of the organism as related to each other reciprocally as means and end, but the organism itself as the final end of all. Consequently, neither the breaking up of the Idea, which in itself is simple, into the multiplicity of the parts and conditions of the organism, on the one hand, nor, on the other hand, the re-establishment of its unity through the necessary connection of the parts and functions which arises from the fact that they are the cause and effect, the means and end, of each other, is peculiar and essential to the appearing will as such, to the thing-in-itself, but only to its manifestation in space, time, and causality (mere modes of the principle of sufficient reason, the form of the phenomenon). They belong to the world as idea, not to the world as will; they belong to the way in which the will becomes object, *i.e.*, idea at this grade of its objectivity. Every one who has grasped the meaning of this discussion — a discussion which is perhaps somewhat difficult — will now fully understand the doctrine of Kant, which follows from it, that both the design of organised and the conformity to law of unorganised nature are only introduced by our understanding, and therefore both belong only to the phenomenon, not to the thing-in-itself. The surprise, which was referred to above, at the infallible constancy of the conformity to law of unorganised nature, is essentially the same as the surprise that is excited by design in organised nature; for in both cases what we wonder at is only the sight of the original unity of the Idea, which, for the phenomenon, has assumed the form of multiplicity and diversity.<sup>39</sup>

As regards the second kind of teleology, according to the division made above, the *outer* design, which shows itself, not in the inner economy of the organisms, but in the support and assistance they receive from without, both from unorganised nature and from each other; its general explanation is to be found in the exposition we have just given. For the whole world, with all its phenomena, is the objectivity of the one indivisible will, the Idea, which is related to all other Ideas as harmony is related to the single voice. Therefore that unity of the will must show itself also in the agreement of all its manifestations. But we can very much increase the clearness of this insight if we go somewhat more closely into the manifestations of that outer teleology and agreement of the different parts of nature with each other, an

inquiry which will also throw some light on the foregoing exposition. We shall best attain this end by considering the following analogy.

The character of each individual man, so far as it is thoroughly individual, and not entirely included in that of the species, may be regarded as a special Idea, corresponding to a special act of the objectification of will. This act itself would then be his intelligible character, and his empirical character would be the manifestation of it. The empirical character is entirely determined through the intelligible, which is without ground, *i.e.*, as thing-in-itself is not subordinated to the principle of sufficient reason (the form of the phenomenon). The empirical character must in the course of life afford us the express image of the intelligible, and can only become what the nature of the latter demands. But this property extends only to the essential, not to the unessential in the course of life to which it applies. To this unessential belong the detailed events and actions which are the material in which the empirical character shows itself. These are determined by outward circumstances, which present the motives upon which the character reacts according to its nature; and as they may be very different, the outward form of the manifestation of the empirical character, that is, the definite actual or historical form of the course of life, will have to accommodate itself to their influence. Now this form may be very different, although what is essential to the manifestation, its content, remains the same. Thus, for example it is immaterial whether a man plays for nuts or for crowns; but whether a man cheats or plays fairly, that is the real matter; the latter is determined by the intelligible character, the former by outward circumstances. As the same theme may be expressed in a hundred different variations, so the same character may be expressed in a hundred very different lives. But various as the outward influence may be, the empirical character which expresses itself in the course of life must yet, whatever form it takes, accurately objectify the intelligible character, for the latter adapts its objectification to the given material of actual circumstances. We have now to assume something analogous to the influence of outward circumstances upon the life that is determined in essential matters by the character, if we desire to understand how the will, in the original act of its objectification, determines the various Ideas in which it objectifies itself, that is, the different forms of natural existence of every kind, among which it distributes its objectification, and which must therefore necessarily have a relation to each other in the manifestation. We must assume that between all

these manifestations of the *one* will there existed a universal and reciprocal adaptation and accommodation of themselves to each other, by which, however, as we shall soon see more clearly, all time-determination is to be excluded, for the Idea lies outside time. In accordance with this, every manifestation must have adapted itself to the surroundings into which it entered, and these again must have adapted themselves to it, although it occupied a much later position in time; and we see this *consensus naturæ* everywhere. Every plant is therefore adapted to its soil and climate, every animal to its element and the prey that will be its food, and is also in some way protected, to a certain extent, against its natural enemy: the eye is adapted to the light and its refrangibility, the lungs and the blood to the air, the air-bladder of fish to water, the eye of the seal to the change of the medium in which it must see, the water-pouch in the stomach of the camel to the drought of the African deserts, the sail of the nautilus to the wind that is to drive its little bark, and so on down to the most special and astonishing outward adaptations.<sup>40</sup> We must abstract however here from all temporal relations, for these can only concern the manifestation of the Idea, not the Idea itself. Accordingly this kind of explanation must also be used retrospectively, and we must not merely admit that every species accommodated itself to the given environment, but also that this environment itself, which preceded it in time, had just as much regard for the being that would some time come into it. For it is one and the same will that objectifies itself in the whole world; it knows no time, for this form of the principle of sufficient reason does not belong to it, nor to its original objectivity, the Ideas, but only to the way in which these are known by the individuals who themselves are transitory, *i.e.*, to the manifestation of the Ideas. Thus, time has no significance for our present examination of the manner in which the objectification of the will distributes itself among the Ideas, and the Ideas whose *manifestations* entered into the course of time earlier, according to the law of causality, to which as phenomena they are subject, have no advantage over those whose manifestation entered later; nay rather, these last are the completest objectifications of the will, to which the earlier manifestations must adapt themselves just as much as they must adapt themselves to the earlier. Thus the course of the planets, the tendency to the ellipse, the rotation of the earth, the division of land and sea, the atmosphere, light, warmth, and all such phenomena, which are in nature what bass is in harmony, adapted themselves in anticipation of the coming

species of living creatures of which they were to become the supporter and sustainer. In the same way the ground adapted itself to the nutrition of plants, plants adapted themselves to the nutrition of animals, animals to that of other animals, and conversely they all adapted themselves to the nutrition of the ground. All the parts of nature correspond to each other, for it is *one* will that appears in them all, but the course of time is quite foreign to its original and only *adequate objectification* (this expression will be explained in the following book), the Ideas. Even now, when the species have only to sustain themselves, no longer to come into existence, we see here and there some such forethought of nature extending to the future, and abstracting as it were from the process of time, a self-adaptation of what is to what is yet to come. The bird builds the nest for the young which it does not yet know; the beaver constructs a dam the object of which is unknown to it; ants, marmots, and bees lay in provision for the winter they have never experienced; the spider and the ant-lion make snares, as if with deliberate cunning, for future unknown prey; insects deposit their eggs where the coming brood finds future nourishment. In the spring-time the female flower of the dioecian valisneria unwinds the spirals of its stalk, by which till now it was held at the bottom of the water, and thus rises to the surface. Just then the male flower, which grows on a short stalk from the bottom, breaks away, and so, at the sacrifice of its life, reaches the surface, where it swims about in search of the female. The latter is fructified, and then draws itself down again to the bottom by contracting its spirals, and there the fruit grows.<sup>41</sup> I must again refer here to the larva of the male stag-beetle, which makes the hole in the wood for its metamorphosis as big again as the female does, in order to have room for its future horns. The instinct of animals in general gives us the best illustration of what remains of teleology in nature. For as instinct is an action, like that which is guided by the conception of an end, and yet is entirely without this; so all construction of nature resembles that which is guided by the conception of an end, and yet is entirely without it. For in the outer as in the inner teleology of nature, what we are obliged to think as means and end is, in every case, *the manifestation of the unity of the one will so thoroughly agreeing with itself*, which has assumed multiplicity in space and time for our manner of knowing.

The reciprocal adaptation and self-accommodation of phenomena that springs from this unity cannot, however, annul the inner contradiction which appears in the universal conflict of nature described above, and



which is essential to the will. That harmony goes only so far as to render possible the duration of the world and the different kinds of existences in it, which without it would long since have perished. Therefore it only extends to the continuance of the species, and the general conditions of life, but not to that of the individual. If, then, by reason of that harmony and accommodation, the *species* in organised nature and the *universal forces* in unorganised nature continue to exist beside each other, and indeed support each other reciprocally, on the other hand, the inner contradiction of the will which objectifies itself in all these ideas shows itself in the ceaseless internecine war of the *individuals* of these species, and in the constant struggle of the *manifestations* of these natural forces with each other, as we pointed out above. The scene and the object of this conflict is matter, which they try to wrest from each other, and also space and time, the combination of which through the form of causality is, in fact, matter, as was explained in the First Book.<sup>42</sup>

§ 29. I here conclude the second principal division of my exposition, in the hope that, so far as is possible in the case of an entirely new thought, which cannot be quite free from traces of the individuality in which it originated, I have succeeded in conveying to the reader the complete certainty that this world in which we live and have our being is in its whole nature through and through *will*, and at the same time through and through *idea*: that this idea, as such, already presupposes a form, object and subject, is therefore relative; and if we ask what remains if we take away this form, and all those forms which are subordinate to it, and which express the principle of sufficient reason, the answer must be that as something *toto genere* different from idea, this can be nothing but *will*, which is thus properly the *thing-in-itself*. Every one finds that he himself is this will, in which the real nature of the world consists, and he also finds that he is the knowing subject, whose idea the whole world is, the world which exists only in relation to his consciousness, as its necessary supporter. Every one is thus himself in a double aspect the whole world, the microcosm; finds both sides whole and complete in himself. And what he thus recognises as his own real being also exhausts the being of the whole world — the macrocosm; thus the world, like man, is through and through *will*, and through and through *idea*, and nothing more than this. So we see the philosophy of Thales, which concerned the macrocosm, unite at this point with that of Socrates, which dealt with the microcosm, for the object of both



is found to be the same. But all the knowledge that has been communicated in the two first books will gain greater completeness, and consequently greater certainty, from the two following books, in which I hope that several questions that have more or less distinctly arisen in the course of our work will also be sufficiently answered.

In the meantime *one* such question may be more particularly considered, for it can only properly arise so long as one has not fully penetrated the meaning of the foregoing exposition, and may so far serve as an illustration of it. It is this: Every will is a will towards something, has an object, an end of its willing; what then is the final end, or towards what is that will striving that is exhibited to us as the being-in-itself of the world? This question rests, like so many others, upon the confusion of the thing-in-itself with the manifestation. The principle of sufficient reason, of which the law of motivation is also a form, extends only to the latter, not to the former. It is only of phenomena, of individual things, that a ground can be given, never of the will itself, nor of the Idea in which it adequately objectifies itself. So then of every particular movement or change of any kind in nature, a cause is to be sought, that is, a condition that of necessity produced it, but never of the natural force itself which is revealed in this and innumerable similar phenomena; and it is therefore simple misunderstanding, arising from want of consideration, to ask for a cause of gravity, electricity, and so on. Only if one had somehow shown that gravity and electricity were not original special forces of nature, but only the manifestations of a more general force already known, would it be allowable to ask for the cause which made this force produce the phenomena of gravity or of electricity here. All this has been explained at length above. In the same way every particular act of will of a knowing individual (which is itself only a manifestation of will as the thing-in-itself) has necessarily a motive without which that act would never have occurred; but just as material causes contain merely the determination that at this time, in this place, and in this matter, a manifestation of this or that natural force must take place, so the motive determines only the act of will of a knowing being, at this time, in this place, and under these circumstances, as a particular act, but by no means determines that that being wills in general or wills in this manner; this is the expression of his intelligible character, which, as will itself, the thing-in-itself, is without ground, for it lies outside the province of the principle of sufficient reason. Therefore every man has permanent aims and motives by which he guides

his conduct, and he can always give an account of his particular actions; but if he were asked why he wills at all, or why in general he wills to exist, he would have no answer, and the question would indeed seem to him meaningless; and this would be just the expression of his consciousness that he himself is nothing but will, whose willing stands by itself and requires more particular determination by motives only in its individual acts at each point of time.

In fact, freedom from all aim, from all limits, belongs to the nature of the will, which is an endless striving. This was already touched on above in the reference to centrifugal force. It also discloses itself in its simplest form in the lowest grade of the objectification of will, in gravitation, which we see constantly exerting itself, though a final goal is obviously impossible for it. For if, according to its will, all existing matter were collected in one mass, yet within this mass gravity, ever striving towards the centre, would still wage war with impenetrability as rigidity or elasticity. The tendency of matter can therefore only be confined, never completed or appeased. But this is precisely the case with all tendencies of all phenomena of will. Every attained end is also the beginning of a new course, and so on *ad infinitum*. The plant raises its manifestation from the seed through the stem and the leaf to the blossom and the fruit, which again is the beginning of a new seed, a new individual, that runs through the old course, and so on through endless time. Such also is the life of the animal; procreation is its highest point, and after attaining to it, the life of the first individual quickly or slowly sinks, while a new life ensures to nature the endurance of the species and repeats the same phenomena. Indeed, the constant renewal of the matter of every organism is also to be regarded as merely the manifestation of this continual pressure and change, and physiologists are now ceasing to hold that it is the necessary reparation of the matter wasted in motion, for the possible wearing out of the machine can by no means be equivalent to the support it is constantly receiving through nourishment. Eternal becoming, endless flux, characterises the revelation of the inner nature of will. Finally, the same thing shows itself in human endeavours and desires, which always delude us by presenting their satisfaction as the final end of will. As soon as we attain to them they no longer appear the same, and therefore they soon grow stale, are forgotten, and though not openly disowned, are yet always thrown aside as vanished illusions. We are fortunate enough if there still remains something to wish for and to strive after, that the game may be kept

up of constant transition from desire to satisfaction, and from satisfaction to a new desire, the rapid course of which is called happiness, and the slow course sorrow, and does not sink into that stagnation that shows itself in fearful ennui that paralyses life, vain yearning without a definite object, deadening languor. According to all this, when the will is enlightened by knowledge, it always knows what it wills now and here, never what it wills in general; every particular act of will has its end, the whole will has none; just as every particular phenomenon of nature is determined by a sufficient cause so far as concerns its appearance in this place at this time, but the force which manifests itself in it has no general cause, for it belongs to the thing-in-itself, to the groundless will. The single example of self-knowledge of the will as a whole is the idea as a whole, the whole world of perception. It is the objectification, the revelation, the mirror of the will. What the will expresses in it will be the subject of our further consideration.<sup>[43](#)</sup>

## **Third Book. The World As Idea.**

## Second Aspect. The Idea Independent Of The Principle Of Sufficient Reason: The Platonic Idea: The Object Of Art.

Τί τὸ ὄν μὲν αἰεί, γένεσιν δὲ οὐκ ἔχον; καὶ τί τό γιγνόμενον μὲν καὶ ἀπολλύμενον, ὄντως δε οὐδέποτε ὄν. — ΠΛΑΤΩΝ.

§ 30. In the First Book the world was explained as mere *idea*, object for a subject. In the Second Book we considered it from its other side, and found that in this aspect it is *will*, which proved to be simply that which this world is besides being idea. In accordance with this knowledge we called the world as idea, both as a whole and in its parts, the *objectification of will*, which therefore means the will become object, *i.e.*, idea. Further, we remember that this objectification of will was found to have many definite grades, in which, with gradually increasing distinctness and completeness, the nature of will appears in the idea, that is to say, presents itself as object. In these grades we already recognised the Platonic Ideas, for the grades are just the determined species, or the original unchanging forms and qualities of all natural bodies, both organised and unorganised, and also the general forces which reveal themselves according to natural laws. These Ideas, then, as a whole express themselves in innumerable individuals and particulars, and are related to these as archetypes to their copies. The multiplicity of such individuals is only conceivable through time and space, their appearing and passing away through causality, and in all these forms we recognise merely the different modes of the principle of sufficient reason, which is the ultimate principle of all that is finite, of all individual existence, and the universal form of the idea as it appears in the knowledge of the individual as such. The Platonic Idea, on the other hand, does not come under this principle, and has therefore neither multiplicity nor change. While the individuals in which it expresses itself are innumerable, and unceasingly come into being and pass away, it remains unchanged as one and the same, and the principle of sufficient reason has for it no meaning. As, however, this is the form under which all knowledge of the subject comes, so far as the subject knows as an *individual*, the Ideas lie quite outside the sphere of its knowledge. If, therefore, the Ideas are to become objects of knowledge, this can only happen by transcending the

individuality of the knowing subject. The more exact and detailed explanation of this is what will now occupy our attention.

§ 31. First, however, the following very essential remark. I hope that in the preceding book I have succeeded in producing the conviction that what is called in the Kantian philosophy the *thing-in-itself*, and appears there as so significant, and yet so obscure and paradoxical a doctrine, and especially on account of the manner in which Kant introduced it as an inference from the caused to the cause, was considered a stumbling-stone, and, in fact, the weak side of his philosophy, — that this, I say, if it is reached by the entirely different way by which we have arrived at it, is nothing but the *will* when the sphere of that conception is extended and defined in the way I have shown. I hope, further, that after what has been said there will be no hesitation in recognising the definite grades of the objectification of the will, which is the inner reality of the world, to be what Plato called the *eternal Ideas* or unchangeable forms (εἰδῆ); a doctrine which is regarded as the principal, but at the same time the most obscure and paradoxical dogma of his system, and has been the subject of reflection and controversy of ridicule and of reverence to so many and such differently endowed minds in the course of many centuries.

If now the will is for us the *thing-in-itself*, and the Idea is the immediate objectivity of that will at a definite grade, we find that Kant's thing-in-itself, and Plato's Idea, which to him is the only οὐτως οὐ, these two great obscure paradoxes of the two greatest philosophers of the West are not indeed identical, but yet very closely related, and only distinguished by a single circumstance. The purport of these two great paradoxes, with all inner harmony and relationship, is yet so very different on account of the remarkable diversity of the individuality of their authors, that they are the best commentary on each other, for they are like two entirely different roads that conduct us to the same goal. This is easily made clear. What Kant says is in substance this:— "Time, space, and causality are not determinations of the thing-in-itself, but belong only to its phenomenal existence, for they are nothing but the forms of our knowledge. Since, however, all multiplicity, and all coming into being and passing away, are only possible through time, space, and causality, it follows that they also belong only to the phenomenon, not to the thing-in-itself. But as our knowledge is conditioned by these forms, the whole of experience is only knowledge of the

phenomenon, not of the thing-in-itself; therefore its laws cannot be made valid for the thing-in-itself. This extends even to our own *ego*, and we know it only as phenomenon, and not according to what it may be in itself.” This is the meaning and content of the doctrine of Kant in the important respect we are considering. What Plato says is this:— “The things of this world which our senses perceive have no true being; *they always become, they never are*: they have only a relative being; they all exist merely in and through their relations to each other; their whole being may, therefore, quite as well be called a non-being. They are consequently not objects of a true knowledge (ἐπιστημη), for such a knowledge can only be of what exists for itself, and always in the same way; they, on the contrary, are only the objects of an opinion based on sensation (δοξα μετ’ αισθησεως αλογου). So long as we are confined to the perception of these, we are like men who sit in a dark cave, bound so fast that they cannot turn their heads, and who see nothing but the shadows of real things which pass between them and a fire burning behind them, the light of which casts the shadows on the wall opposite them; and even of themselves and of each other they see only the shadows on the wall. Their wisdom would thus consist in predicting the order of the shadows learned from experience. The real archetypes, on the other hand, to which these shadows correspond, the eternal Ideas, the original forms of all things, can alone be said to have true being (οντως ον), because they *always are, but never become nor pass away*. To them belongs *no multiplicity*; for each of them is according to its nature only one, for it is the archetype itself, of which all particular transitory things of the same kind which are named after it are copies or shadows. They have also *no coming into being nor passing away*, for they are truly being, never becoming nor vanishing, like their fleeting shadows. (It is necessarily presupposed, however, in these two negative definitions, that time, space, and causality have no significance or validity for these Ideas, and that they do not exist in them.) Of these only can there be true knowledge, for the object of such knowledge can only be that which always and in every respect (thus in-itself) is; not that which is and again is not, according as we look at it.” This is Plato’s doctrine. It is clear, and requires no further proof that the inner meaning of both doctrines is entirely the same; that both explain the visible world as a manifestation, which in itself is nothing, and which only has meaning and a borrowed reality through that which expresses itself in it (in the one case the thing-in-itself, in the other the

Idea). To this last, which has true being, all the forms of that phenomenal existence, even the most universal and essential, are, according to both doctrines, entirely foreign. In order to disown these forms Kant has directly expressed them even in abstract terms, and distinctly refused time, space, and causality as mere forms of the phenomenon to the thing-in-itself. Plato, on the other hand, did not attain to the fullest expression, and has only distinctly refused these forms to his Ideas in that he denies of the Ideas what is only possible through these forms, multiplicity of similar things, coming into being and passing away. Though it is perhaps superfluous, I should like to illustrate this remarkable and important agreement by an example. There stands before us, let us suppose, an animal in the full activity of life. Plato would say, "This animal has no true existence, but merely an apparent existence, a constant becoming, a relative existence which may just as well be called non-being as being. Only the Idea which expresses itself in that animal is truly 'being,' or the animal in-itself (αὐτὸ τὸ θηρίον), which is dependent upon nothing, but is in and for itself (καθ' ἑαυτὸ, αἰεὶ ὡς αὐτῶς); it has not become, it will not end, but always is in the same way (αἰεὶ ὄν, καὶ μηδέποτε οὐτε γυγνομενὸν οὐτε ἀπολλυμενόν). If now we recognise its Idea in this animal, it is all one and of no importance whether we have this animal now before us or its progenitor of a thousand years ago, whether it is here or in a distant land, whether it presents itself in this or that manner, position, or action; whether, lastly, it is this or any other individual of the same species; all this is nothing, and only concerns the phenomenon; the Idea of the animal alone has true being, and is the object of real knowledge." So Plato; Kant would say something of this kind, "This animal is a phenomenon in time, space, and causality, which are collectively the conditions *a priori* of the possibility of experience, lying in our faculty of knowledge, not determinations of the thing-in-itself. Therefore this animal as we perceive it at this definite point of time, in this particular place, as an individual in the connection of experience (*i.e.*, in the chain of causes and effects), which has come into being, and will just as necessarily pass away, is not a thing-in-itself, but a phenomenon which only exists in relation to our knowledge. To know it as what it may be in itself, that is to say, independent of all the determinations which lie in time, space, and causality, would demand another kind of knowledge than that which is possible for us through the senses and the understanding."



In order to bring Kant's mode of expression nearer the Platonic, we might say: Time, space, and causality are that arrangement of our intellect by virtue of which the *one* being of each kind which alone really is, manifests itself to us as a multiplicity of similar beings, constantly appearing and disappearing in endless succession. The apprehension of things by means of and in accordance with this arrangement is *immanent* knowledge; that, on the other hand, which is conscious of the true state of the case, is *transcendental* knowledge. The latter is obtained *in abstracto* through the criticism of pure reason, but in exceptional cases it may also appear intuitively. This last is an addition of my own, which I am endeavouring in this Third Book to explain.

If the doctrine of Kant had ever been properly understood and grasped, and since Kant's time that of Plato, if men had truly and earnestly reflected on the inner meaning and content of the teaching of these two great masters, instead of involving themselves in the technicalities of the one and writing parodies of the style of the other, they could not have failed to discern long ago to what an extent these two great philosophers agree, and that the true meaning, the aim of both systems, is the same. Not only would they have refrained from constantly comparing Plato to Leibnitz, on whom his spirit certainly did not rest, or indeed to a well-known gentleman who is still alive,<sup>44</sup> as if they wanted to mock the manes of the great thinker of the past; but they would have advanced much farther in general, or rather they would not have fallen so disgracefully far behind as they have in the last forty years. They would not have let themselves be led by the nose, to-day by one vain boaster and to-morrow by another, nor would they have opened the nineteenth century, which promised so much in Germany, with the philosophical farces that were performed over the grave of Kant (as the ancients sometimes did at the funeral obsequies of their dead), and which deservedly called forth the derision of other nations, for such things least become the earnest and strait-laced German. But so small is the chosen public of true philosophers, that even students who understand are but scantily brought them by the centuries — Εἰσι δὲ νάρθηκοφοροὶ μὲν πολλοί, βακχοὶ δὲ γε παυροὶ (*Thyrsigeri quidem multi, Baachi vero pauci*). Ἡ αἰτία φιλοσοφία διὰ ταῦτα προσπεπτῶκεν, ὅτι οὐ κατ' ἀξίαν αὐτῆς ἀπτονται; οὐ γὰρ νοθοὺς εἰδὲ ἀπτεσθαι, ἀλλὰ γνησίους (*Eam ob rem philosophia in infamiam incidit, quod non pro dignitate ipsam attingunt: neque enim a spuris, sed a legitimis erat attrectanda*). — Plato.

Men followed the words, — such words as “*a priori* ideas,” “forms of perception and thought existing in consciousness independently of experience,” “fundamental conceptions of the pure understanding,” &c., &c., — and asked whether Plato’s Ideas, which were also original conceptions, and besides this were supposed to be reminiscences of a perception before life of the truly real things, were in some way the same as Kant’s forms of perception and thought, which lie *a priori* in our consciousness. On account of some slight resemblance in the expression of these two entirely different doctrines, the Kantian doctrine of the forms which limit the knowledge of the individual to the phenomenon, and the Platonic doctrine of Ideas, the knowledge of which these very forms expressly deny, these so far diametrically opposed doctrines were carefully compared, and men deliberated and disputed as to whether they were identical, found at last that they were not the same, and concluded that Plato’s doctrine of Ideas and Kant’s “Critique of Reason” had nothing in common. But enough of this.<sup>45</sup>

§ 32. It follows from our consideration of the subject, that, for us, Idea and thing-in-itself are not entirely one and the same, in spite of the inner agreement between Kant and Plato, and the identity of the aim they had before them, or the conception of the world which roused them and led them to philosophise. The Idea is for us rather the direct, and therefore adequate, objectivity of the thing-in-itself, which is, however, itself the *will* — the will as not yet objectified, not yet become idea. For the thing-in-itself must, even according to Kant, be free from all the forms connected with knowing as such; and it is merely an error on his part (as is shown in the Appendix) that he did not count among these forms, before all others, that of being object for a subject, for it is the first and most universal form of all phenomena, *i.e.*, of all idea; he should therefore have distinctly denied objective existence to his thing-in-itself, which would have saved him from a great inconsistency that was soon discovered. The Platonic Idea, on the other hand, is necessarily object, something known, an idea, and in that respect is different from the thing-in-itself, but in that respect only. It has merely laid aside the subordinate forms of the phenomenon, all of which we include in the principle of sufficient reason, or rather it has not yet assumed them; but it has retained the first and most universal form, that of the idea in general, the form of being object for a subject. It is the forms which are subordinate to this (whose general expression is the principle of sufficient

reason) that multiply the Idea in particular transitory individuals, whose number is a matter of complete indifference to the Idea. The principle of sufficient reason is thus again the form into which the Idea enters when it appears in the knowledge of the subject as individual. The particular thing that manifests itself in accordance with the principle of sufficient reason is thus only an indirect objectification of the thing-in-itself (which is the will), for between it and the thing-in-itself stands the Idea as the only direct objectivity of the will, because it has assumed none of the special forms of knowledge as such, except that of the idea in general, *i.e.*, the form of being object for a subject. Therefore it alone is the most *adequate objectivity* of the will or thing-in-itself which is possible; indeed it is the whole thing-in-itself, only under the form of the idea; and here lies the ground of the great agreement between Plato and Kant, although, in strict accuracy, that of which they speak is not the same. But the particular things are no really adequate objectivity of the will, for in them it is obscured by those forms whose general expression is the principle of sufficient reason, but which are conditions of the knowledge which belongs to the individual as such. If it is allowable to draw conclusions from an impossible presupposition, we would, in fact, no longer know particular things, nor events, nor change, nor multiplicity, but would comprehend only Ideas, — only the grades of the objectification of that one will, of the thing-in-itself, in pure unclouded knowledge. Consequently our world would be a *nunc stans*, if it were not that, as knowing subjects, we are also individuals, *i.e.*, our perceptions come to us through the medium of a body, from the affections of which they proceed, and which is itself only concrete willing, objectivity of the will, and thus is an object among objects, and as such comes into the knowing consciousness in the only way in which an object can, through the forms of the principle of sufficient reason, and consequently already presupposes, and therefore brings in, time, and all other forms which that principle expresses. Time is only the broken and piecemeal view which the individual being has of the Ideas, which are outside time, and consequently *eternal*. Therefore Plato says time is the moving picture of eternity: αἰῶνος εἰκὼν κίνητη ὁ χρόνος.<sup>46</sup>

§ 33. Since now, as individuals, we have no other knowledge than that which is subject to the principle of sufficient reason, and this form of knowledge excludes the Ideas, it is certain that if it is possible for us to raise ourselves from the knowledge of particular things to that of the Ideas, this

can only happen by an alteration taking place in the subject which is analogous and corresponds to the great change of the whole nature of the object, and by virtue of which the subject, so far as it knows an Idea, is no more individual.

It will be remembered from the preceding book that knowledge in general belongs to the objectification of will at its higher grades, and sensibility, nerves, and brain, just like the other parts of the organised being, are the expression of the will at this stage of its objectivity, and therefore the idea which appears through them is also in the same way bound to the service of will as a means (μηχανη) for the attainment of its now complicated (πολυτελεστερα) aims for sustaining a being of manifold requirements. Thus originally and according to its nature, knowledge is completely subject to the will, and, like the immediate object, which, by means of the application of the law of causality, is its starting-point, all knowledge which proceeds in accordance with the principle of sufficient reason remains in a closer or more distant relation to the will. For the individual finds his body as an object among objects, to all of which it is related and connected according to the principle of sufficient reason. Thus all investigations of these relations and connections lead back to his body, and consequently to his will. Since it is the principle of sufficient reason which places the objects in this relation to the body, and, through it, to the will, the one endeavour of the knowledge which is subject to this principle will be to find out the relations in which objects are placed to each other through this principle, and thus to trace their innumerable connections in space, time, and causality. For only through these is the object *interesting* to the individual, *i.e.*, related to the will. Therefore the knowledge which is subject to the will knows nothing further of objects than their relations, knows the objects only so far as they exist at this time, in this place, under these circumstances, from these causes, and with these effects — in a word, as particular things; and if all these relations were to be taken away, the objects would also have disappeared for it, because it knew nothing more about them. We must not disguise the fact that what the sciences consider in things is also in reality nothing more than this; their relations, the connections of time and space, the causes of natural changes, the resemblance of forms, the motives of actions, — thus merely relations. What distinguishes science from ordinary knowledge is merely its systematic form, the facilitating of knowledge by the comprehension of all

particulars in the universal, by means of the subordination of concepts, and the completeness of knowledge which is thereby attained. All relation has itself only a relative existence; for example, all being in time is also non-being; for time is only that by means of which opposite determinations can belong to the same thing; therefore every phenomenon which is in time again is not, for what separates its beginning from its end is only time, which is essentially a fleeting, inconstant, and relative thing, here called duration. But time is the most universal form of all objects of the knowledge which is subject to the will, and the prototype of its other forms.

Knowledge now, as a rule, remains always subordinate to the service of the will, as indeed it originated for this service, and grew, so to speak, to the will, as the head to the body. In the case of the brutes this subjection of knowledge to the will can never be abolished. In the case of men it can be abolished only in exceptional cases, which we shall presently consider more closely. This distinction between man and brute is outwardly expressed by the difference of the relation of the head to the body. In the case of the lower brutes both are deformed: in all brutes the head is directed towards the earth, where the objects of its will lie; even in the higher species the head and the body are still far more one than in the case of man, whose head seems freely set upon his body, as if only carried by and not serving it. This human excellence is exhibited in the highest degree by the Apollo of Belvedere; the head of the god of the Muses, with eyes fixed on the far distance, stands so freely on his shoulders that it seems wholly delivered from the body, and no more subject to its cares.

§ 34. The transition which we have referred to as possible, but yet to be regarded as only exceptional, from the common knowledge of particular things to the knowledge of the Idea, takes place suddenly; for knowledge breaks free from the service of the will, by the subject ceasing to be merely individual, and thus becoming the pure will-less subject of knowledge, which no longer traces relations in accordance with the principle of sufficient reason, but rests in fixed contemplation of the object presented to it, out of its connection with all others, and rises into it.

A full explanation is necessary to make this clear, and the reader must suspend his surprise for a while, till he has grasped the whole thought expressed in this work, and then it will vanish of itself.

If, raised by the power of the mind, a man relinquishes the common way of looking at things, gives up tracing, under the guidance of the forms of the principle of sufficient reason, their relations to each other, the final goal of which is always a relation to his own will; if he thus ceases to consider the where, the when, the why, and the whither of things, and looks simply and solely at the *what*; if, further, he does not allow abstract thought, the concepts of the reason, to take possession of his consciousness, but, instead of all this, gives the whole power of his mind to perception, sinks himself entirely in this, and lets his whole consciousness be filled with the quiet contemplation of the natural object actually present, whether a landscape, a tree, a mountain, a building, or whatever it may be; inasmuch as he *loses* himself in this object (to use a pregnant German idiom), *i.e.*, forgets even his individuality, his will, and only continues to exist as the pure subject, the clear mirror of the object, so that it is as if the object alone were there, without any one to perceive it, and he can no longer separate the perceiver from the perception, but both have become one, because the whole consciousness is filled and occupied with one single sensuous picture; if thus the object has to such an extent passed out of all relation to something outside it, and the subject out of all relation to the will, then that which is so known is no longer the particular thing as such; but it is the *Idea*, the eternal form, the immediate objectivity of the will at this grade; and, therefore, he who is sunk in this perception is no longer individual, for in such perception the individual has lost himself; but he is *pure*, will-less, painless, timeless *subject of knowledge*. This, which in itself is so remarkable (which I well know confirms the saying that originated with Thomas Paine, *Du sublime au ridicule il n'y a qu'un pas*), will by degrees become clearer and less surprising from what follows. It was this that was running in Spinoza's mind when he wrote: *Meus æterna est, quatenus res sub æternitatis specie concipit* (Eth. V. pr. 31, Schol.)<sup>47</sup> In such contemplation the particular thing becomes at once the *Idea* of its species, and the perceiving individual becomes *pure subject of knowledge*. The individual, as such, knows only particular things; the pure subject of knowledge knows only Ideas. For the individual is the subject of knowledge in its relation to a definite particular manifestation of will, and in subjection to this. This particular manifestation of will is, as such, subordinated to the principle of sufficient reason in all its forms; therefore, all knowledge which relates itself to it also follows the principle of sufficient reason, and no other kind of knowledge is fitted to be

of use to the will but this, which always consists merely of relations to the object. The knowing individual as such, and the particular things known by him, are always in some place, at some time, and are links in the chain of causes and effects. The pure subject of knowledge and his correlative, the Idea, have passed out of all these forms of the principle of sufficient reason: time, place, the individual that knows, and the individual that is known, have for them no meaning. When an individual knower has raised himself in the manner described to be pure subject of knowledge, and at the same time has raised the observed object to the Platonic Idea, the *world as idea* appears complete and pure, and the full objectification of the will takes place, for the Platonic Idea alone is its *adequate objectivity*. The Idea includes object and subject in like manner in itself, for they are its one form; but in it they are absolutely of equal importance; for as the object is here, as elsewhere, simply the idea of the subject, the subject, which passes entirely into the perceived object has thus become this object itself, for the whole consciousness is nothing but its perfectly distinct picture. Now this consciousness constitutes the whole *world as idea*, for one imagines the whole of the Platonic Ideas, or grades of the objectivity of will, in their series passing through it. The particular things of all time and space are nothing but Ideas multiplied through the principle of sufficient reason (the form of the knowledge of the individual as such), and thus obscured as regards their pure objectivity. When the Platonic Idea appears, in it subject and object are no longer to be distinguished, for the Platonic Idea, the adequate objectivity of will, the true world as idea, arises only when the subject and object reciprocally fill and penetrate each other completely; and in the same way the knowing and the known individuals, as things in themselves, are not to be distinguished. For if we look entirely away from the true *world as idea*, there remains nothing but the *world as will*. The will is the “in-itself” of the Platonic Idea, which fully objectifies it; it is also the “in-itself” of the particular thing and of the individual that knows it, which objectify it incompletely. As will, outside the idea and all its forms, it is one and the same in the object contemplated and in the individual, who soars aloft in this contemplation, and becomes conscious of himself as pure subject. These two are, therefore, in themselves not different, for in themselves they are will, which here knows itself; and multiplicity and difference exist only as the way in which this knowledge comes to the will,



*i.e.*, only in the phenomenon, on account of its form, the principle of sufficient reason.

Now the known thing, without me as the subject of knowledge, is just as little an object, and not mere will, blind effort, as without the object, without the idea, I am a knowing subject and not mere blind will. This will is in itself, *i.e.*, outside the idea, one and the same with mine: only in the world as idea, whose form is always at least that of subject and object, we are separated as the known and the knowing individual. As soon as knowledge, the world as idea, is abolished, there remains nothing but mere will, blind effort. That it should receive objectivity, become idea, supposes at once both subject and object; but that this should be pure, complete, and adequate objectivity of the will, supposes the object as Platonic Idea, free from the forms of the principle of sufficient reason, and the subject as the pure subject of knowledge, free from individuality and subjection to the will.

Whoever now, has, after the manner referred to, become so absorbed and lost in the perception of nature that he only continues to exist as the pure knowing subject, becomes in this way directly conscious that, as such, he is the condition, that is, the supporter, of the world and all objective existence; for this now shows itself as dependent upon his existence. Thus he draws nature into himself, so that he sees it to be merely an accident of his own being. In this sense Byron says —

“Are not the mountains, waves, and skies, a part  
Of me and of my soul, as I of them?”

But how shall he who feels this, regard himself as absolutely transitory, in contrast to imperishable nature? Such a man will rather be filled with the consciousness, which the Upanishad of the Veda expresses: *Hæ omnes creaturæ in totum ego sum, et præter me aliud ens non est* (Oupnek’hat, i. 122).<sup>48</sup>

§ 35. In order to gain a deeper insight into the nature of the world, it is absolutely necessary that we should learn to distinguish the will as thing-in-itself from its adequate objectivity, and also the different grades in which this appears more and more distinctly and fully, *i.e.*, the Ideas themselves, from the merely phenomenal existence of these Ideas in the forms of the principle of sufficient reason, the restricted method of knowledge of the individual. We shall then agree with Plato when he attributes actual being only to the Ideas, and allows only an illusive, dream-like existence to things



in space and time, the real world for the individual. Then we shall understand how one and the same Idea reveals itself in so many phenomena, and presents its nature only bit by bit to the individual, one side after another. Then we shall also distinguish the Idea itself from the way in which its manifestation appears in the observation of the individual, and recognise the former as essential and the latter as unessential. Let us consider this with the help of examples taken from the most insignificant things, and also from the greatest. When the clouds move, the figures which they form are not essential, but indifferent to them; but that as elastic vapour they are pressed together, drifted along, spread out, or torn asunder by the force of the wind: this is their nature, the essence of the forces which objectify themselves in them, the Idea; their actual forms are only for the individual observer. To the brook that flows over stones, the eddies, the waves, the foam-flakes which it forms are indifferent and unessential; but that it follows the attraction of gravity, and behaves as inelastic, perfectly mobile, formless, transparent fluid: this is its nature; this, *if known through perception*, is its Idea; these accidental forms are only for us so long as we know as individuals. The ice on the window-pane forms itself into crystals according to the laws of crystallisation, which reveal the essence of the force of nature that appears here, exhibit the Idea; but the trees and flowers which it traces on the pane are unessential, and are only there for us. What appears in the clouds, the brook, and the crystal is the weakest echo of that will which appears more fully in the plant, more fully still in the beast, and most fully in man. But only the essential in all these grades of its objectification constitutes the Idea; on the other hand, its unfolding or development, because broken up in the forms of the principle of sufficient reason into a multiplicity of many-sided phenomena, is unessential to the Idea, lies merely in the kind of knowledge that belongs to the individual and has reality only for this. The same thing necessarily holds good of the unfolding of that Idea which is the completest objectivity of will. Therefore, the history of the human race, the throng of events, the change of times, the multifarious forms of human life in different lands and countries, all this is only the accidental form of the manifestation of the Idea, does not belong to the Idea itself, in which alone lies the adequate objectivity of the will, but only to the phenomenon which appears in the knowledge of the individual, and is just as foreign, unessential, and indifferent to the Idea itself as the

figures which they assume are to the clouds, the form of its eddies and foam-flakes to the brook, or its trees and flowers to the ice.

To him who has thoroughly grasped this, and can distinguish between the will and the Idea, and between the Idea and its manifestation, the events of the world will have significance only so far as they are the letters out of which we may read the Idea of man, but not in and for themselves. He will not believe with the vulgar that time may produce something actually new and significant; that through it, or in it, something absolutely real may attain to existence, or indeed that it itself as a whole has beginning and end, plan and development, and in some way has for its final aim the highest perfection (according to their conception) of the last generation of man, whose life is a brief thirty years. Therefore he will just as little, with Homer, people a whole Olympus with gods to guide the events of time, as, with Ossian, he will take the forms of the clouds for individual beings; for, as we have said, both have just as much meaning as regards the Idea which appears in them. In the manifold forms of human life and in the unceasing change of events, he will regard the Idea only as the abiding and essential, in which the will to live has its fullest objectivity, and which shows its different sides in the capacities, the passions, the errors and the excellences of the human race; in self-interest, hatred, love, fear, boldness, frivolity, stupidity, slyness, wit, genius, and so forth, all of which crowding together and combining in thousands of forms (individuals), continually create the history of the great and the little world, in which it is all the same whether they are set in motion by nuts or by crowns. Finally, he will find that in the world it is the same as in the dramas of Gozzi, in all of which the same persons appear, with like intention, and with a like fate; the motives and incidents are certainly different in each piece, but the spirit of the incidents is the same; the actors in one piece know nothing of the incidents of another, although they performed in it themselves; therefore, after all experience of former pieces, Pantaloon has become no more agile or generous, Tartaglia no more conscientious, Brighella no more courageous, and Columbine no more modest.

Suppose we were allowed for once a clearer glance into the kingdom of the possible, and over the whole chain of causes and effects; if the earth-spirit appeared and showed us in a picture all the greatest men, enlighteners of the world, and heroes, that chance destroyed before they were ripe for their work; then the great events that would have changed the history of the

world and brought in periods of the highest culture and enlightenment, but which the blindest chance, the most insignificant accident, hindered at the outset; lastly, the splendid powers of great men, that would have enriched whole ages of the world, but which, either misled by error or passion, or compelled by necessity, they squandered uselessly on unworthy or unfruitful objects, or even wasted in play. If we saw all this, we would shudder and lament at the thought of the lost treasures of whole periods of the world. But the earth-spirit would smile and say, "The source from which the individuals and their powers proceed is inexhaustible and unending as time and space; for, like these forms of all phenomena, they also are only phenomena, visibility of the will. No finite measure can exhaust that infinite source; therefore an undiminished eternity is always open for the return of any event or work that was nipped in the bud. In this world of phenomena true loss is just as little possible as true gain. The will alone is; it is the thing in-itself, and the source of all these phenomena. Its self-knowledge and its assertion or denial, which is then decided upon, is the only event in-itself."<sup>49</sup>

§ 36. History follows the thread of events; it is pragmatic so far as it deduces them in accordance with the law of motivation, a law that determines the self-manifesting will wherever it is enlightened by knowledge. At the lowest grades of its objectivity, where it still acts without knowledge, natural science, in the form of etiology, treats of the laws of the changes of its phenomena, and, in the form of morphology, of what is permanent in them. This almost endless task is lightened by the aid of concepts, which comprehend what is general in order that we may deduce what is particular from it. Lastly, mathematics treats of the mere forms, time and space, in which the Ideas, broken up into multiplicity, appear for the knowledge of the subject as individual. All these, of which the common name is science, proceed according to the principle of sufficient reason in its different forms, and their theme is always the phenomenon, its laws, connections, and the relations which result from them. But what kind of knowledge is concerned with that which is outside and independent of all relations, that which alone is really essential to the world, the true content of its phenomena, that which is subject to no change, and therefore is known with equal truth for all time, in a word, the *Ideas*, which are the direct and adequate objectivity of the thing in-itself, the will? We answer, *Art*, the work of genius. It repeats or reproduces the eternal Ideas grasped through pure contemplation, the essential and abiding in all the phenomena

of the world; and according to what the material is in which it reproduces, it is sculpture or painting, poetry or music. Its one source is the knowledge of Ideas; its one aim the communication of this knowledge. While science, following the unresting and inconstant stream of the fourfold forms of reason and consequent, with each end attained sees further, and can never reach a final goal nor attain full satisfaction, any more than by running we can reach the place where the clouds touch the horizon; art, on the contrary, is everywhere at its goal. For it plucks the object of its contemplation out of the stream of the world's course, and has it isolated before it. And this particular thing, which in that stream was a small perishing part, becomes to art the representative of the whole, an equivalent of the endless multitude in space and time. It therefore pauses at this particular thing; the course of time stops; the relations vanish for it; only the essential, the Idea, is its object. We may, therefore, accurately define it as the *way of viewing things independent of the principle of sufficient reason*, in opposition to the way of viewing them which proceeds in accordance with that principle, and which is the method of experience and of science. This last method of considering things may be compared to a line infinitely extended in a horizontal direction, and the former to a vertical line which cuts it at any point. The method of viewing things which proceeds in accordance with the principle of sufficient reason is the rational method, and it alone is valid and of use in practical life and in science. The method which looks away from the content of this principle is the method of genius, which is only valid and of use in art. The first is the method of Aristotle; the second is, on the whole, that of Plato. The first is like the mighty storm, that rushes along without beginning and without aim, bending, agitating, and carrying away everything before it; the second is like the silent sunbeam, that pierces through the storm quite unaffected by it. The first is like the innumerable showering drops of the waterfall, which, constantly changing, never rest for an instant; the second is like the rainbow, quietly resting on this raging torrent. Only through the pure contemplation described above, which ends entirely in the object, can Ideas be comprehended; and the nature of *genius* consists in pre-eminent capacity for such contemplation. Now, as this requires that a man should entirely forget himself and the relations in which he stands, *genius* is simply the completest *objectivity*, *i.e.*, the objective tendency of the mind, as opposed to the subjective, which is directed to one's own self — in other words, to the will. Thus genius is the faculty of

continuing in the state of pure perception, of losing oneself in perception, and of enlisting in this service the knowledge which originally existed only for the service of the will; that is to say, genius is the power of leaving one's own interests, wishes, and aims entirely out of sight, thus of entirely renouncing one's own personality for a time, so as to remain *pure knowing subject*, clear vision of the world; and this not merely at moments, but for a sufficient length of time, and with sufficient consciousness, to enable one to reproduce by deliberate art what has thus been apprehended, and "to fix in lasting thoughts the wavering images that float before the mind." It is as if, when genius appears in an individual, a far larger measure of the power of knowledge falls to his lot than is necessary for the service of an individual will; and this superfluity of knowledge, being free, now becomes subject purified from will, a clear mirror of the inner nature of the world. This explains the activity, amounting even to disquietude, of men of genius, for the present can seldom satisfy them, because it does not fill their consciousness. This gives them that restless aspiration, that unceasing desire for new things, and for the contemplation of lofty things, and also that longing that is hardly ever satisfied, for men of similar nature and of like stature, to whom they might communicate themselves; whilst the common mortal, entirely filled and satisfied by the common present, ends in it, and finding everywhere his like, enjoys that peculiar satisfaction in daily life that is denied to genius.

Imagination has rightly been recognised as an essential element of genius; it has sometimes even been regarded as identical with it; but this is a mistake. As the objects of genius are the eternal Ideas, the permanent, essential forms of the world and all its phenomena, and as the knowledge of the Idea is necessarily knowledge through perception, is not abstract, the knowledge of the genius would be limited to the Ideas of the objects actually present to his person, and dependent upon the chain of circumstances that brought these objects to him, if his imagination did not extend his horizon far beyond the limits of his actual personal existence, and thus enable him to construct the whole out of the little that comes into his own actual apperception, and so to let almost all possible scenes of life pass before him in his own consciousness. Further, the actual objects are almost always very imperfect copies of the Ideas expressed in them; therefore the man of genius requires imagination in order to see in things, not that which Nature has actually made, but that which she endeavoured to

make, yet could not because of that conflict of her forms among themselves which we referred to in the last book. We shall return to this farther on in treating of sculpture. The imagination then extends the intellectual horizon of the man of genius beyond the objects which actually present themselves to him, both as regards quality and quantity. Therefore extraordinary strength of imagination accompanies, and is indeed a necessary condition of genius. But the converse does not hold, for strength of imagination does not indicate genius; on the contrary, men who have no touch of genius may have much imagination. For as it is possible to consider a real object in two opposite ways, purely objectively, the way of genius grasping its Idea, or in the common way, merely in the relations in which it stands to other objects and to one's own will, in accordance with the principle of sufficient reason, it is also possible to perceive an imaginary object in both of these ways. Regarded in the first way, it is a means to the knowledge of the Idea, the communication of which is the work of art; in the second case, the imaginary object is used to build castles in the air congenial to egotism and the individual humour, and which for the moment delude and gratify; thus only the relations of the phantasies so linked together are known. The man who indulges in such an amusement is a dreamer; he will easily mingle those fancies that delight his solitude with reality, and so unfit himself for real life: perhaps he will write them down, and then we shall have the ordinary novel of every description, which entertains those who are like him and the public at large, for the readers imagine themselves in the place of the hero, and then find the story very agreeable.

The common mortal, that manufacture of Nature which she produces by the thousand every day, is, as we have said, not capable, at least not continuously so, of observation that in every sense is wholly disinterested, as sensuous contemplation, strictly so called, is. He can turn his attention to things only so far as they have some relation to his will, however indirect it may be. Since in this respect, which never demands anything but the knowledge of relations, the abstract conception of the thing is sufficient, and for the most part even better adapted for use; the ordinary man does not linger long over the mere perception, does not fix his attention long on one object, but in all that is presented to him hastily seeks merely the concept under which it is to be brought, as the lazy man seeks a chair, and then it interests him no further. This is why he is so soon done with everything, with works of art, objects of natural beauty, and indeed everywhere with the

truly significant contemplation of all the scenes of life. He does not linger; only seeks to know his own way in life, together with all that might at any time become his way. Thus he makes topographical notes in the widest sense; over the consideration of life itself as such he wastes no time. The man of genius, on the other hand, whose excessive power of knowledge frees it at times from the service of will, dwells on the consideration of life itself, strives to comprehend the Idea of each thing, not its relations to other things; and in doing this he often forgets to consider his own path in life, and therefore for the most part pursues it awkwardly enough. While to the ordinary man his faculty of knowledge is a lamp to lighten his path, to the man of genius it is the sun which reveals the world. This great diversity in their way of looking at life soon becomes visible in the outward appearance both of the man of genius and of the ordinary mortal. The man in whom genius lives and works is easily distinguished by his glance, which is both keen and steady, and bears the stamp of perception, of contemplation. This is easily seen from the likenesses of the few men of genius whom Nature has produced here and there among countless millions. On the other hand, in the case of an ordinary man, the true object of his contemplation, what he is prying into, can be easily seen from his glance, if indeed it is not quite stupid and vacant, as is generally the case. Therefore the expression of genius in a face consists in this, that in it a decided predominance of knowledge over will is visible, and consequently there also shows itself in it a knowledge that is entirely devoid of relation to will, *i.e.*, *pure knowing*. On the contrary, in ordinary countenances there is a predominant expression of will; and we see that knowledge only comes into activity under the impulse of will, and thus is directed merely by motives.

Since the knowledge that pertains to genius, or the knowledge of Ideas, is that knowledge which does not follow the principle of sufficient reason, so, on the other hand, the knowledge which does follow that principle is that which gives us prudence and rationality in life, and which creates the sciences. Thus men of genius are affected with the deficiencies entailed in the neglect of this latter kind of knowledge. Yet what I say in this regard is subject to the limitation that it only concerns them in so far as and while they are actually engaged in that kind of knowledge which is peculiar to genius; and this is by no means at every moment of their lives, for the great though spontaneous exertion which is demanded for the comprehension of Ideas free from will must necessarily relax, and there are long intervals

during which men of genius are placed in very much the same position as ordinary mortals, both as regards advantages and deficiencies. On this account the action of genius has always been regarded as an inspiration, as indeed the name indicates, as the action of a superhuman being distinct from the individual himself, and which takes possession of him only periodically. The disinclination of men of genius to direct their attention to the content of the principle of sufficient reason will first show itself, with regard to the ground of being, as dislike of mathematics; for its procedure is based upon the most universal forms of the phenomenon space and time, which are themselves merely modes of the principle of sufficient reason, and is consequently precisely the opposite of that method of thought which seeks merely the content of the phenomenon, the Idea which expresses itself in it apart from all relations. The logical method of mathematics is also antagonistic to genius, for it does not satisfy but obstructs true insight, and presents merely a chain of conclusions in accordance with the principle of the ground of knowing. The mental faculty upon which it makes the greatest claim is memory, for it is necessary to recollect all the earlier propositions which are referred to. Experience has also proved that men of great artistic genius have no faculty for mathematics; no man was ever very distinguished for both. Alfieri relates that he was never able to understand the fourth proposition of Euclid. Goethe was constantly reproached with his want of mathematical knowledge by the ignorant opponents of his theory of colours. Here certainly, where it was not a question of calculation and measurement upon hypothetical data, but of direct knowledge by the understanding of causes and effects, this reproach was so utterly absurd and inappropriate, that by making it they have exposed their entire want of judgment, just as much as by the rest of their ridiculous arguments. The fact that up to the present day, nearly half a century after the appearance of Goethe's theory of colours, even in Germany the Newtonian fallacies still have undisturbed possession of the professorial chair, and men continue to speak quite seriously of the seven homogeneous rays of light and their different refrangibility, will some day be numbered among the great intellectual peculiarities of men generally, and especially of Germans. From the same cause as we have referred to above, may be explained the equally well-known fact that, conversely, admirable mathematicians have very little susceptibility for works of fine art. This is very naïvely expressed in the well-known anecdote of the French mathematician, who, after having read



Racine's "Iphigenia," shrugged his shoulders and asked, "*Qu'est ce que cela prouve?*" Further, as quick comprehension of relations in accordance with the laws of causality and motivation is what specially constitutes prudence or sagacity, a prudent man, so far as and while he is so, will not be a genius, and a man of genius, so far as and while he is so, will not be a prudent man. Lastly, perceptive knowledge generally, in the province of which the Idea always lies, is directly opposed to rational or abstract knowledge, which is guided by the principle of the ground of knowing. It is also well known that we seldom find great genius united with pre-eminent reasonableness; on the contrary, persons of genius are often subject to violent emotions and irrational passions. But the ground of this is not weakness of reason, but partly unwonted energy of that whole phenomenon of will — the man of genius — which expresses itself through the violence of all his acts of will, and partly preponderance of the knowledge of perception through the senses and understanding over abstract knowledge, producing a decided tendency to the perceptible, the exceedingly lively impressions of which so far outshine colourless concepts, that they take their place in the guidance of action, which consequently becomes irrational. Accordingly the impression of the present moment is very strong with such persons, and carries them away into unconsidered action, violent emotions and passions. Moreover, since, in general, the knowledge of persons of genius has to some extent freed itself from the service of will, they will not in conversation think so much of the person they are addressing as of the thing they are speaking about, which is vividly present to them; and therefore they are likely to judge or narrate things too objectively for their own interests; they will not pass over in silence what would more prudently be concealed, and so forth. Finally, they are given to soliloquising, and in general may exhibit certain weaknesses which are actually akin to madness. It has often been remarked that there is a side at which genius and madness touch, and even pass over into each other, and indeed poetical inspiration has been called a kind of madness: *amabilis insania*, Horace calls it (Od. iii. 4), and Wieland in the introduction to "Oberon" speaks of it as "amiable madness." Even Aristotle, as quoted by Seneca (De Tranq. Animi, 15, 16), is reported to have said: *Nullum magnum ingenium sine mixtura dementiæ fuit*. Plato expresses it in the figure of the dark cave, referred to above (De Rep. 7), when he says: "Those who, outside the cave, have seen the true sunlight and the things

that have true being (Ideas), cannot afterwards see properly down in the cave, because their eyes are not accustomed to the darkness; they cannot distinguish the shadows, and are jeered at for their mistakes by those who have never left the cave and its shadows.” In the “Phædrus” also (p. 317), he distinctly says that there can be no true poet without a certain madness; in fact, (p. 327), that every one appears mad who recognises the eternal Ideas in fleeting things. Cicero also quotes: *Negat enim sine furore, Democritus, quemquam poetam magnum esse posse; quod idem dicit Plato* (De Divin., i. 37). And, lastly, Pope says —

“Great wits to madness sure are near allied,  
And thin partitions do their bounds divide.”

Especially instructive in this respect is Goethe’s “Torquato Tasso,” in which he shows us not only the suffering, the martyrdom of genius as such, but also how it constantly passes into madness. Finally, the fact of the direct connection of genius and madness is established by the biographies of great men of genius, such as Rousseau, Byron, and Alfieri, and by anecdotes from the lives of others. On the other hand, I must mention that, by a diligent search in lunatic asylums, I have found individual cases of patients who were unquestionably endowed with great talents, and whose genius distinctly appeared through their madness, which, however, had completely gained the upper hand. Now this cannot be ascribed to chance, for on the one hand the number of mad persons is relatively very small, and on the other hand a person of genius is a phenomenon which is rare beyond all ordinary estimation, and only appears in nature as the greatest exception. It will be sufficient to convince us of this if we compare the number of really great men of genius that the whole of civilised Europe has produced, both in ancient and modern times, with the two hundred and fifty millions who are always living in Europe, and who change entirely every thirty years. In estimating the number of men of outstanding genius, we must of course only count those who have produced works which have retained through all time an enduring value for mankind. I shall not refrain from mentioning, that I have known some persons of decided, though not remarkable, mental superiority, who also showed a slight trace of insanity. It might seem from this that every advance of intellect beyond the ordinary measure, as an abnormal development, disposes to madness. In the meantime, however, I will explain as briefly as possible my view of the purely intellectual ground of the relation between genius and madness, for this will certainly assist the

explanation of the real nature of genius, that is to say, of that mental endowment which alone can produce genuine works of art. But this necessitates a brief explanation of madness itself.<sup>50</sup>

A clear and complete insight into the nature of madness, a correct and distinct conception of what constitutes the difference between the sane and the insane, has, as far as I know, not as yet been found. Neither reason nor understanding can be denied to madmen, for they talk and understand, and often draw very accurate conclusions; they also, as a rule, perceive what is present quite correctly, and apprehend the connection between cause and effect. Visions, like the phantasies of delirium, are no ordinary symptom of madness: delirium falsifies perception, madness the thoughts. For the most part, madmen do not err in the knowledge of what is immediately *present*; their raving always relates to what is *absent* and *past*, and only through these to their connection with what is present. Therefore it seems to me that their malady specially concerns the memory; not indeed that memory fails them entirely, for many of them know a great deal by heart, and sometimes recognise persons whom they have not seen for a long time; but rather that the thread of memory is broken, the continuity of its connection destroyed, and no uniformly connected recollection of the past is possible. Particular scenes of the past are known correctly, just like the particular present; but there are gaps in their recollection which they fill up with fictions, and these are either always the same, in which case they become fixed ideas, and the madness that results is called monomania or melancholy; or they are always different, momentary fancies, and then it is called folly, *fatuitas*. This is why it is so difficult to find out their former life from lunatics when they enter an asylum. The true and the false are always mixed up in their memory. Although the immediate present is correctly known, it becomes falsified through its fictitious connection with an imaginary past; they therefore regard themselves and others as identical with persons who exist only in their imaginary past; they do not recognise some of their acquaintances at all, and thus while they perceive correctly what is actually present, they have only false conceptions of its relations to what is absent. If the madness reaches a high degree, there is complete absence of memory, so that the madman is quite incapable of any reference to what is absent or past, and is only determined by the caprice of the moment in connection with the fictions which, in his mind, fill the past. In such a case, we are never for a moment safe from violence or murder, unless we constantly

make the madman aware of the presence of superior force. The knowledge of the madman has this in common with that of the brute, both are confined to the present. What distinguishes them is that the brute has really no idea of the past as such, though the past acts upon it through the medium of custom, so that, for example, the dog recognises its former master even after years, that is to say, it receives the wonted impression at the sight of him; but of the time that has passed since it saw him it has no recollection. The madman, on the other hand, always carries about in his reason an abstract past, but it is a false past, which exists only for him, and that either constantly, or only for the moment. The influence of this false past prevents the use of the true knowledge of the present which the brute is able to make. The fact that violent mental suffering or unexpected and terrible calamities should often produce madness, I explain in the following manner. All such suffering is as an actual event confined to the present. It is thus merely transitory, and is consequently never excessively heavy; it only becomes unendurably great when it is lasting pain; but as such it exists only in thought, and therefore lies in the *memory*. If now such a sorrow, such painful knowledge or reflection, is so bitter that it becomes altogether unbearable, and the individual is prostrated under it, then, terrified Nature seizes upon *madness* as the last resource of life; the mind so fearfully tortured at once destroys the thread of its memory, fills up the gaps with fictions, and thus seeks refuge in madness from the mental suffering that exceeds its strength, just as we cut off a mortified limb and replace it with a wooden one. The distracted Ajax, King Lear, and Ophelia may be taken as examples; for the creations of true genius, to which alone we can refer here, as universally known, are equal in truth to real persons; besides, in this case, frequent actual experience shows the same thing. A faint analogy of this kind of transition from pain to madness is to be found in the way in which all of us often seek, as it were mechanically, to drive away a painful thought that suddenly occurs to us by some loud exclamation or quick movement — to turn ourselves from it, to distract our minds by force.

We see, from what has been said, that the madman has a true knowledge of what is actually present, and also of certain particulars of the past, but that he mistakes the connection, the relations, and therefore falls into error and talks nonsense. Now this is exactly the point at which he comes into contact with the man of genius; for he also leaves out of sight the knowledge of the connection of things, since he neglects that knowledge of

relations which conforms to the principle of sufficient reason, in order to see in things only their Ideas, and to seek to comprehend their true nature, which manifests itself to perception, and in regard to which *one thing* represents its whole species, in which way, as Goethe says, one case is valid for a thousand. The particular object of his contemplation, or the present which is perceived by him with extraordinary vividness, appear in so strong a light that the other links of the chain to which they belong are at once thrown into the shade, and this gives rise to phenomena which have long been recognised as resembling those of madness. That which in particular given things exists only incompletely and weakened by modifications, is raised by the man of genius, through his way of contemplating it, to the Idea of the thing, to completeness: he therefore sees everywhere extremes, and therefore his own action tends to extremes; he cannot hit the mean, he lacks soberness, and the result is what we have said. He knows the Ideas completely but not the individuals. Therefore it has been said that a poet may know mankind deeply and thoroughly, and may yet have a very imperfect knowledge of men. He is easily deceived, and is a tool in the hands of the crafty.

§ 37. Genius, then, consists, according to our explanation, in the capacity for knowing, independently of the principle of sufficient reason, not individual things, which have their existence only in their relations, but the Ideas of such things, and of being oneself the correlative of the Idea, and thus no longer an individual, but the pure subject of knowledge. Yet this faculty must exist in all men in a smaller and different degree; for if not, they would be just as incapable of enjoying works of art as of producing them; they would have no susceptibility for the beautiful or the sublime; indeed, these words could have no meaning for them. We must therefore assume that there exists in all men this power of knowing the Ideas in things, and consequently of transcending their personality for the moment, unless indeed there are some men who are capable of no æsthetic pleasure at all. The man of genius excels ordinary men only by possessing this kind of knowledge in a far higher degree and more continuously. Thus, while under its influence he retains the presence of mind which is necessary to enable him to repeat in a voluntary and intentional work what he has learned in this manner; and this repetition is the work of art. Through this he communicates to others the Idea he has grasped. This Idea remains unchanged and the same, so that æsthetic pleasure is one and the same

whether it is called forth by a work of art or directly by the contemplation of nature and life. The work of art is only a means of facilitating the knowledge in which this pleasure consists. That the Idea comes to us more easily from the work of art than directly from nature and the real world, arises from the fact that the artist, who knew only the Idea, no longer the actual, has reproduced in his work the pure Idea, has abstracted it from the actual, omitting all disturbing accidents. The artist lets us see the world through his eyes. That he has these eyes, that he knows the inner nature of things apart from all their relations, is the gift of genius, is inborn; but that he is able to lend us this gift, to let us see with his eyes, is acquired, and is the technical side of art. Therefore, after the account which I have given in the preceding pages of the inner nature of æsthetical knowledge in its most general outlines, the following more exact philosophical treatment of the beautiful and the sublime will explain them both, in nature and in art, without separating them further. First of all we shall consider what takes place in a man when he is affected by the beautiful and the sublime; whether he derives this emotion directly from nature, from life, or partakes of it only through the medium of art, does not make any essential, but merely an external, difference.

§ 38. In the æsthetical mode of contemplation we have found *two inseparable constituent parts* — the knowledge of the object, not as individual thing but as Platonic Idea, that is, as the enduring form of this whole species of things; and the self-consciousness of the knowing person, not as individual, but as *pure will-less subject of knowledge*. The condition under which both these constituent parts appear always united was found to be the abandonment of the method of knowing which is bound to the principle of sufficient reason, and which, on the other hand, is the only kind of knowledge that is of value for the service of the will and also for science. Moreover, we shall see that the pleasure which is produced by the contemplation of the beautiful arises from these two constituent parts, sometimes more from the one, sometimes more from the other, according to what the object of the æsthetical contemplation may be.

All *willing* arises from want, therefore from deficiency, and therefore from suffering. The satisfaction of a wish ends it; yet for one wish that is satisfied there remain at least ten which are denied. Further, the desire lasts long, the demands are infinite; the satisfaction is short and scantily measured out. But even the final satisfaction is itself only apparent; every

satisfied wish at once makes room for a new one; both are illusions; the one is known to be so, the other not yet. No attained object of desire can give lasting satisfaction, but merely a fleeting gratification; it is like the alms thrown to the beggar, that keeps him alive to-day that his misery may be prolonged till the morrow. Therefore, so long as our consciousness is filled by our will, so long as we are given up to the throng of desires with their constant hopes and fears, so long as we are the subject of willing, we can never have lasting happiness nor peace. It is essentially all the same whether we pursue or flee, fear injury or seek enjoyment; the care for the constant demands of the will, in whatever form it may be, continually occupies and sways the consciousness; but without peace no true well-being is possible. The subject of willing is thus constantly stretched on the revolving wheel of Ixion, pours water into the sieve of the Danaids, is the ever-longing Tantalus.

But when some external cause or inward disposition lifts us suddenly out of the endless stream of willing, delivers knowledge from the slavery of the will, the attention is no longer directed to the motives of willing, but comprehends things free from their relation to the will, and thus observes them without personal interest, without subjectivity, purely objectively, gives itself entirely up to them so far as they are ideas, but not in so far as they are motives. Then all at once the peace which we were always seeking, but which always fled from us on the former path of the desires, comes to us of its own accord, and it is well with us. It is the painless state which Epicurus prized as the highest good and as the state of the gods; for we are for the moment set free from the miserable striving of the will; we keep the Sabbath of the penal servitude of willing; the wheel of Ixion stands still.

But this is just the state which I described above as necessary for the knowledge of the Idea, as pure contemplation, as sinking oneself in perception, losing oneself in the object, forgetting all individuality, surrendering that kind of knowledge which follows the principle of sufficient reason, and comprehends only relations; the state by means of which at once and inseparably the perceived particular thing is raised to the Idea of its whole species, and the knowing individual to the pure subject of will-less knowledge, and as such they are both taken out of the stream of time and all other relations. It is then all one whether we see the sun set from the prison or from the palace.

Inward disposition, the predominance of knowing over willing, can produce this state under any circumstances. This is shown by those admirable Dutch artists who directed this purely objective perception to the most insignificant objects, and established a lasting monument of their objectivity and spiritual peace in their pictures of *still life*, which the æsthetic beholder does not look on without emotion; for they present to him the peaceful, still, frame of mind of the artist, free from will, which was needed to contemplate such insignificant things so objectively, to observe them so attentively, and to repeat this perception so intelligently; and as the picture enables the onlooker to participate in this state, his emotion is often increased by the contrast between it and the unquiet frame of mind, disturbed by vehement willing, in which he finds himself. In the same spirit, landscape-painters, and particularly Ruisdael, have often painted very insignificant country scenes, which produce the same effect even more agreeably.

All this is accomplished by the inner power of an artistic nature alone; but that purely objective disposition is facilitated and assisted from without by suitable objects, by the abundance of natural beauty which invites contemplation, and even presses itself upon us. Whenever it discloses itself suddenly to our view, it almost always succeeds in delivering us, though it may be only for a moment, from subjectivity, from the slavery of the will, and in raising us to the state of pure knowing. This is why the man who is tormented by passion, or want, or care, is so suddenly revived, cheered, and restored by a single free glance into nature: the storm of passion, the pressure of desire and fear, and all the miseries of willing are then at once, and in a marvellous manner, calmed and appeased. For at the moment at which, freed from the will, we give ourselves up to pure will-less knowing, we pass into a world from which everything is absent that influenced our will and moved us so violently through it. This freeing of knowledge lifts us as wholly and entirely away from all that, as do sleep and dreams; happiness and unhappiness have disappeared; we are no longer individual; the individual is forgotten; we are only pure subject of knowledge; we are only that *one* eye of the world which looks out from all knowing creatures, but which can become perfectly free from the service of will in man alone. Thus all difference of individuality so entirely disappears, that it is all the same whether the perceiving eye belongs to a mighty king or to a wretched beggar; for neither joy nor complaining can pass that boundary with us. So



near us always lies a sphere in which we escape from all our misery; but who has the strength to continue long in it? As soon as any single relation to our will, to our person, even of these objects of our pure contemplation, comes again into consciousness, the magic is at an end; we fall back into the knowledge which is governed by the principle of sufficient reason; we know no longer the Idea, but the particular thing, the link of a chain to which we also belong, and we are again abandoned to all our woe. Most men remain almost always at this standpoint because they entirely lack objectivity, *i.e.*, genius. Therefore they have no pleasure in being alone with nature; they need company, or at least a book. For their knowledge remains subject to their will; they seek, therefore, in objects, only some relation to their will, and whenever they see anything that has no such relation, there sounds within them, like a ground bass in music, the constant inconsolable cry, "It is of no use to me;" thus in solitude the most beautiful surroundings have for them a desolate, dark, strange, and hostile appearance.

Lastly, it is this blessedness of will-less perception which casts an enchanting glamour over the past and distant, and presents them to us in so fair a light by means of self-deception. For as we think of days long gone by, days in which we lived in a distant place, it is only the objects which our fancy recalls, not the subject of will, which bore about with it then its incurable sorrows just as it bears them now; but they are forgotten, because since then they have often given place to others. Now, objective perception acts with regard to what is remembered just as it would in what is present, if we let it have influence over us, if we surrendered ourselves to it free from will. Hence it arises that, especially when we are more than ordinarily disturbed by some want, the remembrance of past and distant scenes suddenly flits across our minds like a lost paradise. The fancy recalls only what was objective, not what was individually subjective, and we imagine that that objective stood before us then just as pure and undisturbed by any relation to the will as its image stands in our fancy now; while in reality the relation of the objects to our will gave us pain then just as it does now. We can deliver ourselves from all suffering just as well through present objects as through distant ones whenever we raise ourselves to a purely objective contemplation of them, and so are able to bring about the illusion that only the objects are present and not we ourselves. Then, as the pure subject of knowledge, freed from the miserable self, we become entirely one with these objects, and, for the moment, our wants are as foreign to us as they are

to them. The world as idea alone remains, and the world as will has disappeared.

In all these reflections it has been my object to bring out clearly the nature and the scope of the subjective element in æsthetic pleasure; the deliverance of knowledge from the service of the will, the forgetting of self as an individual, and the raising of the consciousness to the pure will-less, timeless, subject of knowledge, independent of all relations. With this subjective side of æsthetic contemplation, there must always appear as its necessary correlative the objective side, the intuitive comprehension of the Platonic Idea. But before we turn to the closer consideration of this, and to the achievements of art in relation to it, it is better that we should pause for a little at the subjective side of æsthetic pleasure, in order to complete our treatment of this by explaining the impression of the *sublime* which depends altogether upon it, and arises from a modification of it. After that we shall complete our investigation of æsthetic pleasure by considering its objective side.

But we must first add the following remarks to what has been said. Light is the pleasantest and most gladdening of things; it has become the symbol of all that is good and salutary. In all religions it symbolises salvation, while darkness symbolises damnation. Ormuzd dwells in the purest light, Ahrimanes in eternal night. Dante's Paradise would look very much like Vauxhall in London, for all the blessed spirits appear as points of light and arrange themselves in regular figures. The very absence of light makes us sad; its return cheers us. Colours excite directly a keen delight, which reaches its highest degree when they are transparent. All this depends entirely upon the fact that light is the correlative and condition of the most perfect kind of knowledge of perception, the only knowledge which does not in any way affect the will. For sight, unlike the affections of the other senses, cannot, in itself, directly and through its sensuous effect, make the *sensation* of the special organ agreeable or disagreeable; that is, it has no immediate connection with the will. Such a quality can only belong to the perception which arises in the understanding, and then it lies in the relation of the object to the will. In the case of hearing this is to some extent otherwise; sounds can give pain directly, and they may also be sensuously agreeable, directly and without regard to harmony or melody. Touch, as one with the feeling of the whole body, is still more subordinated to this direct influence upon the will; and yet there is such a thing as a sensation of touch

which is neither painful nor pleasant. But smells are always either agreeable or disagreeable, and tastes still more so. Thus the last two senses are most closely related to the will, and therefore they are always the most ignoble, and have been called by Kant the subjective senses. The pleasure which we experience from light is in fact only the pleasure which arises from the objective possibility of the purest and fullest perceptive knowledge, and as such it may be traced to the fact that pure knowledge, freed and delivered from all will, is in the highest degree pleasant, and of itself constitutes a large part of æsthetic enjoyment. Again, we must refer to this view of light the incredible beauty which we associate with the reflection of objects in water. That lightest, quickest, finest species of the action of bodies upon each other, that to which we owe by far the completest and purest of our perceptions, the action of reflected rays of light, is here brought clearly before our eyes, distinct and perfect, in cause and in effect, and indeed in its entirety, hence the æsthetic delight it gives us, which, in the most important aspect, is entirely based on the subjective ground of æsthetic pleasure, and is delight in pure knowing and its method.

§ 39. All these reflections are intended to bring out the subjective part of æsthetic pleasure; that is to say, that pleasure so far as it consists simply of delight in perceptive knowledge as such, in opposition to will. And as directly connected with this, there naturally follows the explanation of that disposition or frame of mind which has been called the sense of the *sublime*.

We have already remarked above that the transition to the state of pure perception takes place most easily when the objects bend themselves to it, that is, when by their manifold and yet definite and distinct form they easily become representatives of their Ideas, in which beauty, in the objective sense, consists. This quality belongs pre-eminently to natural beauty, which thus affords even to the most insensible at least a fleeting æsthetic satisfaction: indeed it is so remarkable how especially the vegetable world invites æsthetic observation, and, as it were, presses itself upon it, that one might say, that these advances are connected with the fact that these organisms, unlike the bodies of animals, are not themselves immediate objects of knowledge, and therefore require the assistance of a foreign intelligent individual in order to rise out of the world of blind will and enter the world of idea, and that thus they long, as it were, for this entrance, that they may attain at least indirectly what is denied them directly. But I leave this suggestion which I have hazarded, and which

borders perhaps upon extravagance, entirely undecided, for only a very intimate and devoted consideration of nature can raise or justify it.<sup>51</sup> As long as that which raises us from the knowledge of mere relations subject to the will, to æsthetic contemplation, and thereby exalts us to the position of the subject of knowledge free from will, is this fittingness of nature, this significance and distinctness of its forms, on account of which the Ideas individualised in them readily present themselves to us; so long is it merely *beauty* that affects us and the sense of the *beautiful* that is excited. But if these very objects whose significant forms invite us to pure contemplation, have a hostile relation to the human will in general, as it exhibits itself in its objectivity, the human body, if they are opposed to it, so that it is menaced by the irresistible predominance of their power, or sinks into insignificance before their immeasurable greatness; if, nevertheless, the beholder does not direct his attention to this eminently hostile relation to his will, but, although perceiving and recognising it, turns consciously away from it, forcibly detaches himself from his will and its relations, and, giving himself up entirely to knowledge, quietly contemplates those very objects that are so terrible to the will, comprehends only their Idea, which is foreign to all relation, so that he lingers gladly over its contemplation, and is thereby raised above himself, his person, his will, and all will: — in that case he is filled with the sense of the *sublime*, he is in the state of spiritual exaltation, and therefore the object producing such a state is called *sublime*. Thus what distinguishes the sense of the sublime from that of the beautiful is this: in the case of the beautiful, pure knowledge has gained the upper hand without a struggle, for the beauty of the object, *i.e.*, that property which facilitates the knowledge of its Idea, has removed from consciousness without resistance, and therefore imperceptibly, the will and the knowledge of relations which is subject to it, so that what is left is the pure subject of knowledge without even a remembrance of will. On the other hand, in the case of the sublime that state of pure knowledge is only attained by a conscious and forcible breaking away from the relations of the same object to the will, which are recognised as unfavourable, by a free and conscious transcending of the will and the knowledge related to it.

This exaltation must not only be consciously won, but also consciously retained, and it is therefore accompanied by a constant remembrance of will; yet not of a single particular volition, such as fear or desire, but of human volition in general, so far as it is universally expressed in its

objectivity the human body. If a single real act of will were to come into consciousness, through actual personal pressure and danger from the object, then the individual will thus actually influenced would at once gain the upper hand, the peace of contemplation would become impossible, the impression of the sublime would be lost, because it yields to the anxiety, in which the effort of the individual to right itself has sunk every other thought. A few examples will help very much to elucidate this theory of the æsthetic sublime and remove all doubt with regard to it; at the same time they will bring out the different degrees of this sense of the sublime. It is in the main identical with that of the beautiful, with pure will-less knowing, and the knowledge, that necessarily accompanies it of Ideas out of all relation determined by the principle of sufficient reason, and it is distinguished from the sense of the beautiful only by the additional quality that it rises above the known hostile relation of the object contemplated to the will in general. Thus there come to be various degrees of the sublime, and transitions from the beautiful to the sublime, according as this additional quality is strong, bold, urgent, near, or weak, distant, and merely indicated. I think it is more in keeping with the plan of my treatise, first to give examples of these transitions, and of the weaker degrees of the impression of the sublime, although persons whose æsthetical susceptibility in general is not very great, and whose imagination is not very lively, will only understand the examples given later of the higher and more distinct grades of that impression; and they should therefore confine themselves to these, and pass over the examples of the very weak degrees of the sublime that are to be given first.

As man is at once impetuous and blind striving of will (whose pole or focus lies in the genital organs), and eternal, free, serene subject of pure knowing (whose pole is the brain); so, corresponding to this antithesis, the sun is both the source of *light*, the condition of the most perfect kind of knowledge, and therefore of the most delightful of things — and the source of *warmth*, the first condition of life, *i.e.*, of all phenomena of will in its higher grades. Therefore, what warmth is for the will, light is for knowledge. Light is the largest gem in the crown of beauty, and has the most marked influence on the knowledge of every beautiful object. Its presence is an indispensable condition of beauty; its favourable disposition increases the beauty of the most beautiful. Architectural beauty more than any other object is enhanced by favourable light, though even the most

insignificant things become through its influence most beautiful. If, in the dead of winter, when all nature is frozen and stiff, we see the rays of the setting sun reflected by masses of stone, illuminating without warming, and thus favourable only to the purest kind of knowledge, not to the will; the contemplation of the beautiful effect of the light upon these masses lifts us, as does all beauty, into a state of pure knowing. But, in this case, a certain transcending of the interests of the will is needed to enable us to rise into the state of pure knowing, because there is a faint recollection of the lack of warmth from these rays, that is, an absence of the principle of life; there is a slight challenge to persist in pure knowing, and to refrain from all willing, and therefore it is an example of a transition from the sense of the beautiful to that of the sublime. It is the faintest trace of the sublime in the beautiful; and beauty itself is indeed present only in a slight degree. The following is almost as weak an example.

Let us imagine ourselves transported to a very lonely place, with unbroken horizon, under a cloudless sky, trees and plants in the perfectly motionless air, no animals, no men, no running water, the deepest silence. Such surroundings are, as it were, a call to seriousness and contemplation, apart from all will and its cravings; but this is just what imparts to such a scene of desolate stillness a touch of the sublime. For, because it affords no object, either favourable or unfavourable, for the will which is constantly in need of striving and attaining, there only remains the state of pure contemplation, and whoever is incapable of this, is ignominiously abandoned to the vacancy of unoccupied will, and the misery of ennui. So far it is a test of our intellectual worth, of which, generally speaking, the degree of our power of enduring solitude, or our love of it, is a good criterion. The scene we have sketched affords us, then, an example of the sublime in a low degree, for in it, with the state of pure knowing in its peace and all-sufficiency, there is mingled, by way of contrast, the recollection of the dependence and poverty of the will which stands in need of constant action. This is the species of the sublime for which the sight of the boundless prairies of the interior of North America is celebrated.

But let us suppose such a scene, stripped also of vegetation, and showing only naked rocks; then from the entire absence of that organic life which is necessary for existence, the will at once becomes uneasy, the desert assumes a terrible aspect, our mood becomes more tragic; the elevation to the sphere of pure knowing takes place with a more decided tearing of

ourselves away from the interests of the will; and because we persist in continuing in the state of pure knowing, the sense of the sublime distinctly appears.

The following situation may occasion this feeling in a still higher degree: Nature convulsed by a storm; the sky darkened by black threatening thunder-clouds; stupendous, naked, overhanging cliffs, completely shutting out the view; rushing, foaming torrents; absolute desert; the wail of the wind sweeping through the clefts of the rocks. Our dependence, our strife with hostile nature, our will broken in the conflict, now appears visibly before our eyes. Yet, so long as the personal pressure does not gain the upper hand, but we continue in æsthetic contemplation, the pure subject of knowing gazes unshaken and unconcerned through that strife of nature, through that picture of the broken will, and quietly comprehends the Ideas even of those objects which are threatening and terrible to the will. In this contrast lies the sense of the sublime.

But the impression becomes still stronger, if, when we have before our eyes, on a large scale, the battle of the raging elements, in such a scene we are prevented from hearing the sound of our own voice by the noise of a falling stream; or, if we are abroad in the storm of tempestuous seas, where the mountainous waves rise and fall, dash themselves furiously against steep cliffs, and toss their spray high into the air; the storm howls, the sea boils, the lightning flashes from black clouds, and the peals of thunder drown the voice of storm and sea. Then, in the undismayed beholder, the two-fold nature of his consciousness reaches the highest degree of distinctness. He perceives himself, on the one hand, as an individual, as the frail phenomenon of will, which the slightest touch of these forces can utterly destroy, helpless against powerful nature, dependent, the victim of chance, a vanishing nothing in the presence of stupendous might; and, on the other hand, as the eternal, peaceful, knowing subject, the condition of the object, and, therefore, the supporter of this whole world; the terrific strife of nature only his idea; the subject itself free and apart from all desires and necessities, in the quiet comprehension of the Ideas. This is the complete impression of the sublime. Here he obtains a glimpse of a power beyond all comparison superior to the individual, threatening it with annihilation.

The impression of the sublime may be produced in quite another way, by presenting a mere immensity in space and time; its immeasurable greatness

dwindles the individual to nothing. Adhering to Kant's nomenclature and his accurate division, we may call the first kind the dynamical, and the second the mathematical sublime, although we entirely dissent from his explanation of the inner nature of the impression, and can allow no share in it either to moral reflections, or to hypostases from scholastic philosophy.

If we lose ourselves in the contemplation of the infinite greatness of the universe in space and time, meditate on the thousands of years that are past or to come, or if the heavens at night actually bring before our eyes innumerable worlds and so force upon our consciousness the immensity of the universe, we feel ourselves dwindle to nothing; as individuals, as living bodies, as transient phenomena of will, we feel ourselves pass away and vanish into nothing like drops in the ocean. But at once there rises against this ghost of our own nothingness, against such lying impossibility, the immediate consciousness that all these worlds exist only as our idea, only as modifications of the eternal subject of pure knowing, which we find ourselves to be as soon as we forget our individuality, and which is the necessary supporter of all worlds and all times the condition of their possibility. The vastness of the world which disquieted us before, rests now in us; our dependence upon it is annulled by its dependence upon us. All this, however, does not come at once into reflection, but shows itself merely as the felt consciousness that in some sense or other (which philosophy alone can explain) we are one with the world, and therefore not oppressed, but exalted by its immensity. It is the felt consciousness of this that the Upanishads of the Vedas repeatedly express in such a multitude of different ways; very admirably in the saying already quoted: *Hæ omnes creaturæ in totum ego sum, et præter me aliud ens non est* (Oupnek'hat, vol. i. p. 122.) It is the transcending of our own individuality, the sense of the sublime.

We receive this impression of the mathematical-sublime, quite directly, by means of a space which is small indeed as compared with the world, but which has become directly perceptible to us, and affects us with its whole extent in all its three dimensions, so as to make our own body seem almost infinitely small. An empty space can never be thus perceived, and therefore never an open space, but only space that is directly perceptible in all its dimensions by means of the limits which enclose it; thus for example a very high, vast dome, like that of St. Peter's at Rome, or St. Paul's in London. The sense of the sublime here arises through the consciousness of the vanishing nothingness of our own body in the presence of a vastness which,



from another point of view, itself exists only in our idea, and of which we are as knowing subject, the supporter. Thus here as everywhere it arises from the contrast between the insignificance and dependence of ourselves as individuals, as phenomena of will, and the consciousness of ourselves as pure subject of knowing. Even the vault of the starry heaven produces this if it is contemplated without reflection; but just in the same way as the vault of stone, and only by its apparent, not its real extent. Some objects of our perception excite in us the feeling of the sublime because, not only on account of their spatial vastness, but also of their great age, that is, their temporal duration, we feel ourselves dwarfed to insignificance in their presence, and yet revel in the pleasure of contemplating them: of this kind are very high mountains, the Egyptian pyramids, and colossal ruins of great antiquity.

Our explanation of the sublime applies also to the ethical, to what is called the sublime character. Such a character arises from this, that the will is not excited by objects which are well calculated to excite it, but that knowledge retains the upper hand in their presence. A man of sublime character will accordingly consider men in a purely objective way, and not with reference to the relations which they might have to his will; he will, for example, observe their faults, even their hatred and injustice to himself, without being himself excited to hatred; he will behold their happiness without envy; he will recognise their good qualities without desiring any closer relations with them; he will perceive the beauty of women, but he will not desire them. His personal happiness or unhappiness will not greatly affect him, he will rather be as Hamlet describes Horatio: —

“... for thou hast been,

As one, in suffering all, that suffers nothing;

A man that fortune's buffets and rewards

Hast ta'en with equal thanks,” &c. (A. 3. Sc. 2.)

For in the course of his own life and its misfortunes, he will consider less his individual lot than that of humanity in general, and will therefore conduct himself in its regard, rather as knowing than as suffering.

§ 40. Opposites throw light upon each other, and therefore the remark may be in place here, that the proper opposite of the sublime is something which would not at the first glance be recognised, as such: *the charming* or *attractive*. By this, however, I understand, that which excites the will by presenting to it directly its fulfilment, its satisfaction. We saw that the

feeling of the sublime arises from the fact, that something entirely unfavourable to the will, becomes the object of pure contemplation, so that such contemplation can only be maintained by persistently turning away from the will, and transcending its interests; this constitutes the sublimity of the character. The charming or attractive, on the contrary, draws the beholder away from the pure contemplation which is demanded by all apprehension of the beautiful, because it necessarily excites this will, by objects which directly appeal to it, and thus he no longer remains pure subject of knowing, but becomes the needy and dependent subject of will. That every beautiful thing which is bright or cheering should be called charming, is the result of a too general concept, which arises from a want of accurate discrimination, and which I must entirely set aside, and indeed condemn. But in the sense of the word which has been given and explained, I find only two species of the charming or attractive in the province of art, and both of them are unworthy of it. The one species, a very low one, is found in Dutch paintings of still life, when they err by representing articles of food, which by their deceptive likeness necessarily excite the appetite for the things they represent, and this is just an excitement of the will, which puts an end to all æsthetic contemplation of the object. Painted fruit is yet admissible, because we may regard it as the further development of the flower, and as a beautiful product of nature in form and colour, without being obliged to think of it as eatable; but unfortunately we often find, represented with deceptive naturalness, prepared and served dishes, oysters, herrings, crabs, bread and butter, beer, wine, and so forth, which is altogether to be condemned. In historical painting and in sculpture the charming consists in naked figures, whose position, drapery, and general treatment are calculated to excite the passions of the beholder, and thus pure æsthetical contemplation is at once annihilated, and the aim of art is defeated. This mistake corresponds exactly to that which we have just censured in the Dutch paintings. The ancients are almost always free from this fault in their representations of beauty and complete nakedness of form, because the artist himself created them in a purely objective spirit, filled with ideal beauty, not in the spirit of subjective, and base sensuality. The charming is thus everywhere to be avoided in art.

There is also a negative species of the charming or exciting which is even more reprehensible than the positive form which has been discussed; this is the disgusting or the loathsome. It arouses the will of the beholder,

just as what is properly speaking charming, and therefore disturbs pure æsthetic contemplation. But it is an active aversion and opposition which is excited by it; it arouses the will by presenting to it objects which it abhors. Therefore it has always been recognised that it is altogether inadmissible in art, where even what is ugly, when it is not disgusting, is allowable in its proper place, as we shall see later.

§ 41. The course of the discussion has made it necessary to insert at this point the treatment of the sublime, though we have only half done with the beautiful, as we have considered its subjective side only. For it was merely a special modification of this subjective side that distinguished the beautiful from the sublime. This difference was found to depend upon whether the state of pure will-less knowing, which is presupposed and demanded by all æsthetic contemplation, was reached without opposition, by the mere disappearance of the will from consciousness, because the object invited and drew us towards it; or whether it was only attained through the free, conscious transcending of the will, to which the object contemplated had an unfavourable and even hostile relation, which would destroy contemplation altogether, if we were to give ourselves up to it. This is the distinction between the beautiful and the sublime. In the object they are not essentially different, for in every case the object of æsthetical contemplation is not the individual thing, but the Idea in it which is striving to reveal itself; that is to say, adequate objectivity of will at a particular grade. Its necessary correlative, independent, like itself of the principle of sufficient reason, is the pure subject of knowing; just as the correlative of the particular thing is the knowing individual, both of which lie within the province of the principle of sufficient reason.

When we say that a thing is *beautiful*, we thereby assert that it is an object of our æsthetic contemplation, and this has a double meaning; on the one hand it means that the sight of the thing makes us *objective*, that is to say, that in contemplating it we are no longer conscious of ourselves as individuals, but as pure will-less subjects of knowledge; and on the other hand it means that we recognise in the object, not the particular thing, but an Idea; and this can only happen, so far as our contemplation of it is not subordinated to the principle of sufficient reason, does not follow the relation of the object to anything outside it (which is always ultimately connected with relations to our own will), but rests in the object itself. For the Idea and the pure subject of knowledge always appear at once in

consciousness as necessary correlatives, and on their appearance all distinction of time vanishes, for they are both entirely foreign to the principle of sufficient reason in all its forms, and lie outside the relations which are imposed by it; they may be compared to the rainbow and the sun, which have no part in the constant movement and succession of the falling drops. Therefore, if, for example, I contemplate a tree aesthetically, *i.e.*, with artistic eyes, and thus recognise, not it, but its Idea, it becomes at once of no consequence whether it is this tree or its predecessor which flourished a thousand years ago, and whether the observer is this individual or any other that lived anywhere and at any time; the particular thing and the knowing individual are abolished with the principle of sufficient reason, and there remains nothing but the Idea and the pure subject of knowing, which together constitute the adequate objectivity of will at this grade. And the Idea dispenses not only with time, but also with space, for the Idea proper is not this special form which appears before me but its expression, its pure significance, its inner being, which discloses itself to me and appeals to me, and which may be quite the same though the spatial relations of its form be very different.

Since, on the one hand, every given thing may be observed in a. purely objective manner and apart from all relations; and since, on the other hand, the will manifests itself in everything at some grade of its objectivity, so that everything is the expression of an Idea; it follows that everything is also *beautiful*. That even the most insignificant things admit of pure objective and will-less contemplation, and thus prove that they are beautiful, is shown by what was said above in this reference about the Dutch pictures of still-life (§ 38). But one thing is more beautiful than another, because it makes this pure objective contemplation easier, it lends itself to it, and, so to speak, even compels it, and then we call it very beautiful. This is the case sometimes because, as an individual thing, it expresses in its purity the Idea of its species by the very distinct, clearly defined, and significant relation of its parts, and also fully reveals that Idea through the completeness of all the possible expressions of its species united in it, so that it makes the transition from the individual thing to the Idea, and therefore also the condition of pure contemplation, very easy for the beholder. Sometimes this possession of special beauty in an object lies in the fact that the Idea itself which appeals to us in it is a high grade of the objectivity of will, and therefore very significant and expressive. Therefore

it is that man is more beautiful than all other objects, and the revelation of his nature is the highest aim of art. Human form and expression are the most important objects of plastic art, and human action the most important object of poetry. Yet each thing has its own peculiar beauty, not only every organism which expresses itself in the unity of an individual being, but also everything unorganised and formless, and even every manufactured article. For all these reveal the Ideas through which the will objectifies itself at its lowest grades, they give, as it were, the deepest resounding bass-notes of nature. Gravity, rigidity, fluidity, light, and so forth, are the Ideas which express themselves in rocks, in buildings, in waters. Landscape-gardening or architecture can do no more than assist them to unfold their qualities distinctly, fully, and variously; they can only give them the opportunity of expressing themselves purely, so that they lend themselves to æsthetic contemplation and make it easier. Inferior buildings or ill-favoured localities, on the contrary, which nature has neglected or art has spoiled, perform this task in a very slight degree or not at all; yet even from them these universal, fundamental Ideas of nature cannot altogether disappear. To the careful observer they present themselves here also, and even bad buildings and the like are capable of being æsthetically considered; the Ideas of the most universal properties of their materials are still recognisable in them, only the artificial form which has been given them does not assist but hinders æsthetic contemplation. Manufactured articles also serve to express Ideas, only it is not the Idea of the manufactured article which speaks in them, but the Idea of the material to which this artificial form has been given. This may be very conveniently expressed in two words, in the language of the schoolmen, thus, — the manufactured article expresses the Idea of its *forma substantialis*, but not that of its *forma accidentalis*; the latter leads to no Idea, but only to a human conception of which it is the result. It is needless to say that by manufactured article no work of plastic art is meant. The schoolmen understand, in fact, by *forma substantialis* that which I call the grade of the objectification of will in a thing. We shall return immediately, when we treat of architecture, to the Idea of the material. Our view, then, cannot be reconciled with that of Plato if he is of opinion that a table or a chair express the Idea of a table or a chair (De Rep., x., pp. 284, 285, et Parmen., p. 79, ed. Bip.), but we say that they express the Ideas which are already expressed in their mere material as such. According to Aristotle (Metaph. xi., chap. 3), however, Plato himself

only maintained Ideas of natural objects: ὁ Πλάτων εφη, ὅτι εἶδη ἐστὶν ὅποσα φύσει (*Plato dixit, quod ideæ eorum sunt, quæ natura sunt*), and in chap. 5 he says that, according to the Platonists, there are no Ideas of house and ring. In any case, Plato's earliest disciples, as Alcinous informs us (*Introductio in Platonicam Philosophiam*, chap. 9), denied that there were any ideas of manufactured articles. He says: Ὅριζονται δὲ τὴν ἰδεάν, παραδειγµα τῶν κατὰ φύσιν αἰωνίων. Οὐτε γὰρ τοῖς πλείστοις τῶν ἀπὸ Πλάτωνος ἀρεσκεῖ, τῶν τεχνικῶν εἶναι ἰδεάς, οἷον ἀσπίδος ἢ λύρας, οὐτε μὴν τῶν παρὰ φύσιν, οἷον πυρετοῦ καὶ χολέρας, οὐτε τῶν κατὰ μέρος, οἷον Σωκράτους καὶ Πλάτωνος, ἀλλ' οὐτε τῶν εὐτελῶν τινος, οἷον ρυτοῦ καὶ καρφούς, οὐτε τῶν πρὸς τι, οἷον µειζονος καὶ ὑπερεχοντος; εἶναι γὰρ τὰς ἰδεάς νοήσεις θεοῦ αἰωνίους τε καὶ αὐτοτελεῖς (*Definiunt autem ideam exemplar æternum eorum, quæ secundum naturam existunt. Nam plurimis ex iis, qui Platonem secuti sunt, minime placuit, arte factorum ideas esse, ut clypei atque lyræ; neque rursus eorum, quæ prætor naturam, ut febris et cholera, neque particularium, ceu Socratis et Platonis; neque etiam rerum vilium, veluti sordium et festucæ; neque relationum, ut majoris et excedentis: esse namque ideas intellectiones dei æternas, ac seipsis perfectas*). We may take this opportunity of mentioning another point in which our doctrine of Ideas differs very much from that of Plato. He teaches (*De Rep.*, x., p. 288) that the object which art tries to express, the ideal of painting and poetry, is not the Idea but the particular thing. Our whole exposition hitherto has maintained exactly the opposite, and Plato's opinion is the less likely to lead us astray, inasmuch as it is the source of one of the greatest and best known errors of this great man, his depreciation and rejection of art, and especially poetry; he directly connects his false judgment in reference to this with the passage quoted.

§ 42. I return to the exposition of the æsthetic impression. The knowledge of the beautiful always supposes at once and inseparably the pure knowing subject and the known Idea as object. Yet the source of æsthetic satisfaction will sometimes lie more in the comprehension of the known Idea, sometimes more in the blessedness and spiritual peace of the pure knowing subject freed from all willing, and therefore from all individuality, and the pain that proceeds from it. And, indeed, this predominance of one or the other constituent part of æsthetic feeling will depend upon whether the intuitively grasped Idea is a higher or a lower

grade of the objectivity of will. Thus in æsthetic contemplation (in the real, or through the medium of art) of the beauty of nature in the inorganic and vegetable worlds, or in works of architecture, the pleasure of pure will-less knowing will predominate, because the Ideas which are here apprehended are only low grades of the objectivity of will, and are therefore not manifestations of deep significance and rich content. On the other hand, if animals and man are the objects of æsthetic contemplation or representation, the pleasure will consist rather in the comprehension of these Ideas, which are the most distinct revelation of will; for they exhibit the greatest multiplicity of forms, the greatest richness and deep significance of phenomena, and reveal to us most completely the nature of will, whether in its violence, its terribleness, its satisfaction or its aberration (the latter in tragic situations), or finally in its change and self-surrender, which is the peculiar theme of christian painting; as the Idea of the will enlightened by full knowledge is the object of historical painting in general, and of the drama. We shall now go through the fine arts one by one, and this will give completeness and distinctness to the theory of the beautiful which we have advanced.

§ 43. Matter as such cannot be the expression of an Idea. For, as we found in the first book, it is throughout nothing but causality: its being consists in its casual action. But causality is a form of the principle of sufficient reason; knowledge of the Idea, on the other hand, absolutely excludes the content of that principle. We also found, in the second book, that matter is the common substratum of all particular phenomena of the Ideas, and consequently is the connecting link between the Idea and the phenomenon, or the particular thing. Accordingly for both of these reasons it is impossible that matter can for itself express any Idea. This is confirmed *a posteriori* by the fact that it is impossible to have a perceptible idea of matter as such, but only an abstract conception; in the former, *i.e.*, in perceptible ideas are exhibited only the forms and qualities of which matter is the supporter, and in all of which Ideas reveal themselves. This corresponds also with the fact, that causality (the whole essence of matter) cannot for itself be presented perceptibly, but is merely a definite casual connection. On the other hand, *every phenomenon* of an Idea, because as such it has entered the form of the principle of sufficient reason, or the *principium individuationis*, must exhibit itself in matter, as one of its qualities. So far then matter is, as we have said, the connecting link between

the Idea and the *principium individuationis*, which is the form of knowledge of the individual, or the principle of sufficient reason. Plato is therefore perfectly right in his enumeration, for after the Idea and the phenomenon, which include all other things in the world, he gives matter only, as a third thing which is different from both (Timaus, p. 345). The individual, as a phenomenon of the Idea, is always matter. Every quality of matter is also the phenomenon of an Idea, and as such it may always be an object of æsthetic contemplation, *i.e.*, the Idea expressed in it may always be recognised. This holds good of even the most universal qualities of matter, without which it never appears, and which are the weakest objectivity of will. Such are gravity, cohesion, rigidity, fluidity, sensitiveness to light, and so forth.

If now we consider *architecture* simply as a fine art and apart from its application to useful ends, in which it serves the will and not pure knowledge, and therefore ceases to be art in our sense; we can assign to it no other aim than that of bringing to greater distinctness some of those ideas, which are the lowest grades of the objectivity of will; such as gravity, cohesion, rigidity, hardness, those universal qualities of stone, those first, simplest, most inarticulate manifestations of will; the bass notes of nature; and after these light, which in many respects is their opposite. Even at these low grades of the objectivity of will we see its nature revealing itself in discord; for properly speaking the conflict between gravity and rigidity is the sole æsthetic material of architecture; its problem is to make this conflict appear with perfect distinctness in a multitude of different ways. It solves it by depriving these indestructible forces of the shortest way to their satisfaction, and conducting them to it by a circuitous route, so that the conflict is lengthened and the inexhaustible efforts of both forces become visible in many different ways. The whole mass of the building, if left to its original tendency, would exhibit a mere heap or clump, bound as closely as possible to the earth, to which gravity, the form in which the will appears here, continually presses, while rigidity, also objectivity of will, resists. But this very tendency, this effort, is hindered by architecture from obtaining direct satisfaction, and only allowed to reach it indirectly and by roundabout ways. The roof, for example, can only press the earth through columns, the arch must support itself, and can only satisfy its tendency towards the earth through the medium of the pillars, and so forth. But just by these enforced digressions, just by these restrictions, the forces which reside in the crude



mass of stone unfold themselves in the most distinct and multifarious ways; and the purely æsthetic aim of architecture can go no further than this. Therefore the beauty, at any rate, of a building lies in the obvious adaptation of every part, not to the outward arbitrary end of man (so far the work belongs to practical architecture), but directly to the stability of the whole, to which the position, dimensions, and form of every part must have so necessary a relation that, where it is possible, if any one part were taken away, the whole would fall to pieces. For just because each part bears just as much as it conveniently can, and each is supported just where it requires to be and just to the necessary extent, this opposition unfolds itself, this conflict between rigidity and gravity, which constitutes the life, the manifestation of will, in the stone, becomes completely visible, and these lowest grades of the objectivity of will reveal themselves distinctly. In the same way the form of each part must not be determined arbitrarily, but by its end, and its relation to the whole. The column is the simplest form of support, determined simply by its end: the twisted column is tasteless; the four-cornered pillar is in fact not so simple as the round column, though it happens that it is easier to make it. The forms also of frieze, rafter, roof, and dome are entirely determined by their immediate end, and explain themselves from it. The decoration of capitals, &c., belongs to sculpture, not to architecture, which admits it merely as extraneous ornament, and could dispense with it. According to what has been said, it is absolutely necessary, in order to understand the æsthetic satisfaction afforded by a work of architecture, to have immediate knowledge through perception of its matter as regards its weight, rigidity, and cohesion, and our pleasure in such a work would suddenly be very much diminished by the discovery that the material used was pumice-stone; for then it would appear to us as a kind of sham building. We would be affected in almost the same way if we were told that it was made of wood, when we had supposed it to be of stone, just because this alters and destroys the relation between rigidity and gravity, and consequently the significance and necessity of all the parts, for these natural forces reveal themselves in a far weaker degree in a wooden building. Therefore no real work of architecture as a fine art can be made of wood, although it assumes all forms so easily; this can only be explained by our theory. If we were distinctly told that a building, the sight of which gave us pleasure, was made of different kinds of material of very unequal weight and consistency, but not distinguishable to the eye, the whole

building would become as utterly incapable of affording us pleasure as a poem in an unknown language. All this proves that architecture does not affect us mathematically, but also dynamically, and that what speaks to us through it, is not mere form and symmetry, but rather those fundamental forces of nature, those first Ideas, those lowest grades of the objectivity of will. The regularity of the building and its parts is partly produced by the direct adaptation of each member to the stability of the whole, partly it serves to facilitate the survey and comprehension of the whole, and finally, regular figures to some extent enhance the beauty because they reveal the constitution of space as such. But all this is of subordinate value and necessity, and by no means the chief concern; indeed, symmetry is not invariably demanded, as ruins are still beautiful.

Works of architecture have further quite a special relation to light; they gain a double beauty in the full sunshine, with the blue sky as a background, and again they have quite a different effect by moonlight. Therefore, when a beautiful work of architecture is to be erected, special attention is always paid to the effects of the light and to the climate. The reason of all this is, indeed, principally that all the parts and their relations are only made clearly visible by a bright, strong light; but besides this I am of opinion that it is the function of architecture to reveal the nature of light just as it reveals that of things so opposite to it as gravity and rigidity. For the light is intercepted, confined, and reflected by the great opaque, sharply outlined, and variously formed masses of stone, and thus it unfolds its nature and qualities in the purest and clearest way, to the great pleasure of the beholders, for light is the most joy-giving of things, as the condition and the objective correlative of the most perfect kind of knowledge of perception.

Now, because the Ideas which architecture brings to clear perception, are the lowest grades of the objectivity of will, and consequently their objective significance, which architecture reveals to us, is comparatively small; the æsthetic pleasure of looking at a beautiful building in a good light will lie, not so much in the comprehension of the Idea, as in the subjective correlative which accompanies this comprehension; it will consist pre-eminently in the fact that the beholder, set free from the kind of knowledge that belongs to the individual, and which serves the will and follows the principle of sufficient reason, is raised to that of the pure subject of knowing free from will. It will consist then principally in pure contemplation itself, free from all the suffering of will and of individuality.

In this respect the opposite of architecture, and the other extreme of the series of the fine arts, is the drama, which brings to knowledge the most significant Ideas. Therefore in the æsthetic pleasure afforded by the drama the objective side is throughout predominant.

Architecture has this distinction from plastic art and poetry: it does not give us a copy but the thing itself. It does not repeat, as they do, the known Idea, so that the artist lends his eyes to the beholder, but in it the artist merely presents the object to the beholder, and facilitates for him the comprehension of the Idea by bringing the actual, individual object to a distinct and complete expression of its nature.

Unlike the works of the other arts, those of architecture are very seldom executed for purely æsthetic ends. These are generally subordinated to other useful ends which are foreign to art itself. Thus the great merit of the architect consists in achieving and attaining the pure æsthetic ends, in spite of their subordination to other ends which are foreign to them. This he does by cleverly adapting them in a variety of ways to the arbitrary ends in view, and by rightly judging which form of æsthetical architectonic beauty is compatible and may be associated with a temple, which with a palace, which with a prison, and so forth. The more a harsh climate increases these demands of necessity and utility, determines them definitely, and prescribes them more inevitably, the less free play has beauty in architecture. In the mild climate of India, Egypt, Greece, and Rome, where the demands of necessity were fewer and less definite, architecture could follow its æsthetic ends with the greatest freedom. But under a northern sky this was sorely hindered. Here, when caissons, pointed roofs and towers were what was demanded, architecture could only unfold its own beauty within very narrow limits, and therefore it was obliged to make amends by resorting all the more to the borrowed ornaments of sculpture, as is seen in Gothic architecture.

We thus see that architecture is greatly restricted by the demands of necessity and utility; but on the other hand it has in them a very powerful support, for, on account of the magnitude and costliness of its works, and the narrow sphere of its æsthetic effect, it could not continue to exist merely as a fine art, if it had not also, as a useful and necessary profession, a firm and honourable place among the occupations of men. It is the want of this that prevents another art from taking its place beside architecture as a sister art, although in an æsthetical point of view it is quite properly to be classed

along with it as its counterpart; I mean artistic arrangements of water. For what architecture accomplishes for the Idea of gravity when it appears in connection with that of rigidity, hydraulics accomplishes for the same Idea, when it is connected with fluidity, *i.e.*, formlessness, the greatest mobility and transparency. Leaping waterfalls foaming and tumbling over rocks, cataracts dispersed into floating spray, springs gushing up as high columns of water, and clear reflecting lakes, reveal the Ideas of fluid and heavy matter, in precisely the same way as the works of architecture unfold the Ideas of rigid matter. Artistic hydraulics, however, obtains no support from practical hydraulics, for, as a rule, their ends cannot be combined; yet, in exceptional cases, this happens; for example, in the Cascata di Trevi at Rome.<sup>52</sup>

§ 44. What the two arts we have spoken of accomplish for these lowest grades of the objectivity of will, is performed for the higher grades of vegetable nature by artistic horticulture. The landscape beauty of a scene consists, for the most part, in the multiplicity of natural objects which are present in it, and then in the fact that they are clearly separated, appear distinctly, and yet exhibit a fitting connection and alternation. These two conditions are assisted and promoted by landscape-gardening, but it has by no means such a mastery over its material as architecture, and therefore its effect is limited. The beauty with which it is concerned belongs almost exclusively to nature; it has done little for it; and, on the other hand, it can do little against unfavourable nature, and when nature works, not for it, but against it, its achievements are small.

The vegetable world offers itself everywhere for æsthetic enjoyment without the medium of art; but so far as it is an object of art, it belongs principally to landscape-painting; to the province of which all the rest of unconscious nature also belongs. In paintings of still life, and of mere architecture, ruins, interiors of churches, &c., the subjective side of æsthetic pleasure is predominant, *i.e.*, our satisfaction does not lie principally in the direct comprehension of the represented Ideas, but rather in the subjective correlative of this comprehension, pure, will-less knowing. For, because the painter lets us see these things through his eyes, we at once receive a sympathetic and reflected sense of the deep spiritual peace and absolute silence of the will, which were necessary in order to enter with knowledge so entirely into these lifeless objects, and comprehend them with such love, *i.e.*, in this case with such a degree of objectivity. The effect of landscape-

painting proper is indeed, as a whole, of this kind; but because the Ideas expressed are more distinct and significant, as higher grades of the objectivity of will, the objective side of æsthetic pleasure already comes more to the front and assumes as much importance as the subjective side. Pure knowing as such is no longer the paramount consideration, for we are equally affected by the known Platonic Idea, the world as idea at an important grade of the objectification of will.

But a far higher grade is revealed by animal painting and sculpture. Of the latter we have some important antique remains; for example, horses at Venice, on Monte Cavallo, and on the Elgin Marbles, also at Florence in bronze and marble; the ancient boar, howling wolves, the lions in the arsenal at Venice, also in the Vatican a whole room almost filled with ancient animals, &c. In these representations the objective side of æsthetic pleasure obtains a marked predominance over the subjective. The peace of the subject which knows these Ideas, which has silenced its own will, is indeed present, as it is in all æsthetic contemplation; but its effect is not felt, for we are occupied with the restlessness and impetuosity of the will represented. It is that very will, which constitutes our own nature, that here appears to us in forms, in which its manifestation is not, as in us, controlled and tempered by intellect, but exhibits itself in stronger traits, and with a distinctness that borders on the grotesque and monstrous. For this very reason there is no concealment; it is free, naïve, open as the day, and this is the cause of our interest in animals. The characteristics of species appeared already in the representation of plants, but showed itself only in the forms; here it becomes much more distinct, and expresses itself not only in the form, but in the action, position, and mien, yet always merely as the character of the species, not of the individual. This knowledge of the Ideas of higher grades, which in painting we receive through extraneous means, we may gain directly by the pure contemplative perception of plants, and observation of beasts, and indeed of the latter in their free, natural, and unrestrained state. The objective contemplation of their manifold and marvellous forms, and of their actions and behaviour, is an instructive lesson from the great book of nature, it is a deciphering of the true *signatura rerum*.<sup>53</sup> We see in them the manifold grades and modes of the manifestation of will, which in all beings of one and the same grade, wills always in the same way, which objectifies itself as life, as existence in such endless variety, and such different forms, which are all adaptations to the

different external circumstances, and may be compared to many variations on the same theme. But if we had to communicate to the observer, for reflection, and in a word, the explanation of their inner nature, it would be best to make use of that Sanscrit formula which occurs so often in the sacred books of the Hindoos, and is called Mahavakya, i.e., the great word: "*Tat twam asi*," which means, "this living thing art thou."

§ 45. The great problem of historical painting and sculpture is to express directly and for perception the Idea in which the will reaches the highest grade of its objectification. The objective side of the pleasure afforded by the beautiful is here always predominant, and the subjective side has retired into the background. It is further to be observed that at the next grade below this, animal painting, the characteristic is entirely one with the beautiful; the most characteristic lion, wolf, horse, sheep, or ox, was always the most beautiful also. The reason of this is that animals have only the character of their species, no individual character. In the representation of men the character of the species is separated from that of the individual; the former is now called beauty (entirely in the objective sense), but the latter retains the name, character, or expression, and the new difficulty arises of representing both, at once and completely, in the same individual.

*Human beauty* is an objective expression, which means the fullest objectification of will at the highest grade at which it is knowable, the Idea of man in general, completely expressed in the sensible form. But however much the objective side of the beautiful appears here, the subjective side still always accompanies it. And just because no object transports us so quickly into pure æsthetic contemplation, as the most beautiful human countenance and form, at the sight of which we are instantly filled with unspeakable satisfaction, and raised above ourselves and all that troubles us; this is only possible because this most distinct and purest knowledge of will raises us most easily and quickly to the state of pure knowing, in which our personality, our will with its constant pain, disappears, so long as the pure æsthetic pleasure lasts. Therefore it is that Goethe says: "No evil can touch him who looks on human beauty; he feels himself at one with himself and with the world." That a beautiful human form is produced by nature must be explained in this way. At this its highest grade the will objectifies itself in an individual; and therefore through circumstances and its own power it completely overcomes all the hindrances and opposition which the phenomena of the lower grades present to it. Such are the forces of nature,

from which the will must always first extort and win back the matter that belongs to all its manifestations. Further, the phenomenon of will at its higher grades always has multiplicity in its form. Even the tree is only a systematic aggregate of innumerably repeated sprouting fibres. This combination assumes greater complexity in higher forms, and the human body is an exceedingly complex system of different parts, each of which has a peculiar life of its own, *vita propria*, subordinate to the whole. Now that all these parts are in the proper fashion subordinate to the whole, and co-ordinate to each other, that they all work together harmoniously for the expression of the whole, nothing superfluous, nothing restricted; all these are the rare conditions, whose result is beauty, the completely expressed character of the species. So is it in nature. But how in art? One would suppose that art achieved the beautiful by imitating nature. But how is the artist to recognise the perfect work which is to be imitated, and distinguish it from the failures, if he does not anticipate the beautiful *before experience*? And besides this, has nature ever produced a human being perfectly beautiful in all his parts? It has accordingly been thought that the artist must seek out the beautiful parts, distributed among a number of different human beings, and out of them construct a beautiful whole; a perverse and foolish opinion. For it will be asked, how is he to know that just these forms and not others are beautiful? We also see what kind of success attended the efforts of the old German painters to achieve the beautiful by imitating nature. Observe their naked figures. No knowledge of the beautiful is possible purely *a posteriori*, and from mere experience; it is always, at least in part, *a priori*, although quite different in kind, from the forms of the principle of sufficient reason, of which we are conscious *a priori*. These concern the universal form of phenomena as such, as it constitutes the possibility of knowledge in general, the universal *how* of all phenomena, and from this knowledge proceed mathematics and pure natural science. But this other kind of knowledge *a priori*, which makes it possible to express the beautiful, concerns, not the form but the content of phenomena, not the *how* but the *what* of the phenomenon. That we all recognise human beauty when we see it, but that in the true artist this takes place with such clearness that he shows it as he has never seen it, and surpasses nature in his representation; this is only possible because *we ourselves are* the will whose adequate objectification at its highest grade is here to be judged and discovered. Thus alone have we in fact an

anticipation of that which nature (which is just the will that constitutes our own being) strives to express. And in the true genius this anticipation is accompanied by so great a degree of intelligence that he recognises the Idea in the particular thing, and thus, as it were, *understands the half-uttered speech of nature*, and articulates clearly what she only stammered forth. He expresses in the hard marble that beauty of form which in a thousand attempts she failed to produce, he presents it to nature, saying, as it were, to her, "That is what you wanted to say!" And whoever is able to judge replies, "Yes, that is it." Only in this way was it possible for the genius of the Greeks to find the type of human beauty and establish it as a canon for the school of sculpture; and only by virtue of such an anticipation is it possible for all of us to recognise beauty, when it has actually been achieved by nature in the particular case. This anticipation is the *Ideal*. It is the *Idea* so far as it is known *a priori*, at least half, and it becomes practical for art, because it corresponds to and completes what is given *a posteriori* through nature. The possibility of such an anticipation of the beautiful *a priori* in the artist, and of its recognition *a posteriori* by the critic, lies in the fact that the artist and the critic are themselves the "in-itself" of nature, the will which objectifies itself. For, as Empedocles said, like can only be known by like: only nature can understand itself: only nature can fathom itself: but only spirit also can understand spirit.<sup>54</sup>

The opinion, which is absurd, although expressed by the Socrates of Xenophon (Stobæi Floril, vol. ii. p. 384) that the Greeks discovered the established ideal of human beauty empirically, by collecting particular beautiful parts, uncovering and noting here a knee, there an arm, has an exact parallel in the art of poetry. The view is entertained, that Shakespeare, for example, observed, and then gave forth from his own experience of life, the innumerable variety of the characters in his dramas, so true, so sustained, so profoundly worked out. The impossibility and absurdity of such an assumption need not be dwelt upon. It is obvious that the man of genius produces the works of poetic art by means of an anticipation of what is characteristic, just as he produces the works of plastic and pictorial art by means of a prophetic anticipation of the beautiful; yet both require experience as a pattern or model, for thus alone can that which is dimly known *a priori* be called into clear consciousness, and an intelligent representation of it becomes possible.



Human beauty was explained above as the fullest objectification of will at the highest grade at which it is knowable. It expresses itself through the form; and this lies in space alone, and has no necessary connection with time, as, for example, motion has. Thus far then we may say: the adequate objectification of will through a merely spatial phenomenon is beauty, in the objective sense. A plant is nothing but such a merely spatial phenomenon of will; for no motion, and consequently no relation to time (regarded apart from its development), belongs to the expression of its nature; its mere form expresses its whole being and displays it openly. But brutes and men require, further, for the full revelation of the will which is manifested in them, a series of actions, and thus the manifestation in them takes on a direct relation to time. All this has already been explained in the preceding book; it is related to what we are considering at present in the following way. As the merely spatial manifestation of will can objectify it fully or defectively at each definite grade, — and it is this which constitutes beauty or ugliness, — so the temporal objectification of will, *i.e.*, the action, and indeed the direct action, the movement, may correspond to the will, which objectifies itself in it, purely and fully without foreign admixture, without superfluity, without defect, only expressing exactly the act of will determined in each case; — or the converse of all this may occur. In the first case the movement is made with *grace*, in the second case without it. Thus as beauty is the adequate representation of will generally, through its merely spatial manifestation; *grace* is the adequate representation of will through its temporal manifestation, that is to say, the perfectly accurate and fitting expression of each act of will, through the movement and position which objectify it. Since movement and position presuppose the body, Winckelmann's expression is very true and suitable, when he says, "Grace is the proper relation of the acting person to the action" (Works, vol. i. p. 258). It is thus evident that beauty may be attributed to a plant, but no grace, unless in a figurative sense; but to brutes and men, both beauty and grace. Grace consists, according to what has been said, in every movement being performed, and every position assumed, in the easiest, most appropriate and convenient way, and therefore being the pure, adequate expression of its intention, or of the act of will, without any superfluity, which exhibits itself as aimless, meaningless bustle, or as wooden stiffness. Grace presupposes as its condition a true proportion of all the limbs, and a symmetrical, harmonious figure; for complete ease and evident appropriateness of all

positions and movements are only possible by means of these. Grace is therefore never without a certain degree of beauty of person. The two, complete and united, are the most distinct manifestation of will at the highest grade of its objectification.

It was mentioned above that in order rightly to portray man, it is necessary to separate the character of the species from that of the individual, so that to a certain extent every man expresses an Idea peculiar to himself, as was said in the last book. Therefore the arts whose aim is the representation of the Idea of man, have as their problem, not only beauty, the character of the species, but also the character of the individual, which is called, *par excellence*, *character*. But this is only the case in so far as this character is to be regarded, not as something accidental and quite peculiar to the man as a single individual, but as a side of the Idea of humanity which is specially apparent in this individual, and the representation of which is therefore of assistance in revealing this Idea. Thus the character, although as such it is individual, must yet be Ideal, that is, its significance in relation to the Idea of humanity generally (the objectifying of which it assists in its own way) must be comprehended and expressed with special prominence. Apart from this the representation is a portrait, a copy of the individual as such, with all his accidental qualities. And even the portrait ought to be, as Winckelmann says, the ideal of the individual.

That *character* which is to be ideally comprehended, as the prominence of a special side of the Idea of humanity, expresses itself visibly, partly through permanent physiognomy and bodily form, partly through passing emotion and passion, the reciprocal modification of knowing and willing by each other, which is all exhibited in the mien and movements. Since the individual always belongs to humanity, and, on the other hand, humanity always reveals itself in the individual with what is indeed peculiar ideal significance, beauty must not be destroyed by character nor character by beauty. For if the character of the species is annulled by that of the individual, the result is caricature; and if the character of the individual is annulled by that of the species, the result is an absence of meaning. Therefore the representation which aims at beauty, as sculpture principally does, will yet always modify this (the character of the species), in some respect, by the individual character, and will always express the Idea of man in a definite individual manner, giving prominence to a special side of it. For the human individual as such has to a certain extent the dignity of a

special Idea, and it is essential to the Idea of man that it should express itself in individuals of special significance. Therefore we find in the works of the ancients, that the beauty distinctly comprehended by them, is not expressed in one form, but in many forms of different character. It is always apprehended, as it were, from a different side, and expressed in one way in Apollo, in another way in Bacchus, in another in Hercules, in another in Antinous; indeed the characteristic may limit the beautiful, and finally extend even to hideousness, in the drunken Silenus, in the Faun, &c. If the characteristic goes so far as actually to annul the character of the species, if it extends to the unnatural, it becomes caricature. But we can far less afford to allow grace to be interfered with by what is characteristic than even beauty, for graceful position and movement are demanded for the expression of the character also; but yet it must be achieved in the way which is most fitting, appropriate, and easy for the person. This will be observed, not only by the sculptor and the painter, but also by every good actor; otherwise caricature will appear here also as grimace or distortion.

In sculpture, beauty and grace are the principal concern. The special character of the mind, appearing in emotion, passion, alternations of knowing and willing, which can only be represented by the expression of the countenance and the gestures, is the peculiar sphere of *painting*. For although eyes and colour, which lie outside the province of sculpture, contribute much to beauty, they are yet far more essential to character. Further, beauty unfolds itself more completely when it is contemplated from various points of view; but the expression, the character, can only be completely comprehended from *one* point of view.

Because beauty is obviously the chief aim of sculpture, Lessing tried to explain the fact that the *Laocoon does not cry out*, by saying that crying out is incompatible with beauty. The Laocoon formed for Lessing the theme, or at least the text of a work of his own, and both before and after him a great deal has been written on the subject. I may therefore be allowed to express my views about it in passing, although so special a discussion does not properly belong to the scheme of this work, which is throughout concerned with what is general.

§ 46. That Laocoon, in the celebrated group, does not cry out is obvious, and the universal and ever-renewed surprise at this must be occasioned by the fact that any of us would cry out if we were in his place. And nature demands that it should be so; for in the case of the acutest physical pain,

and the sudden seizure by the greatest bodily fear, all reflection, that might have inculcated silent endurance, is entirely expelled from consciousness, and nature relieves itself by crying out, thus expressing both the pain and the fear, summoning the deliverer and terrifying the assailer. Thus Winckelmann missed the expression of crying out; but as he wished to justify the artist he turned Laocoon into a Stoic, who considered it beneath his dignity to cry out *secundum naturam*, but added to his pain the useless constraint of suppressing all utterance of it. Winckelmann therefore sees in him “the tried spirit of a great man, who writhes in agony, and yet seeks to suppress the utterance of his feeling, and to lock it up in himself. He does not break forth into loud cries, as in Virgil, but only anxious sighs escape him,” &c. (Works, vol. vii. p. 98, and at greater length in vol. vi. p. 104). Now Lessing criticised this opinion of Winckelmann’s in his Laocoon, and improved it in the way mentioned above. In place of the psychological he gave the purely æsthetic reason that beauty, the principle of ancient art, does not admit of the expression of crying out. Another argument which he added to this, that a merely passing state incapable of duration ought not to be represented in motionless works of art, has a hundred examples of most excellent figures against it, which are fixed in merely transitory movements, dancing, wrestling, catching, &c. Indeed Goethe, in the essay on the Laocoon, which opens the Propylæen (p. 8), holds that the choice of such a merely fleeting movement is absolutely necessary. In our own day Hirt (Horen, 1797, tenth St.) finally decided the point, deducing everything from the highest truth of expression, that Laocoon does not cry out, because he can no longer do so, as he is at the point of death from choking. Lastly, Fernow (“Römische Studien,” vol. i. p. 246) expounded and weighed all these opinions; he added, however, no new one of his own, but combined these three eclectically.

I cannot but wonder that such thoughtful and acute men should laboriously bring far-fetched and insufficient reasons, should resort to psychological and physiological arguments, to explain a matter the reason of which lies so near at hand, and is obvious at once to the unprejudiced; and especially I wonder that Lessing, who came so near the true explanation, should yet have entirely missed the real point.

Before all psychological and physiological inquiries as to whether Laocoon would cry out in his position or not (and I certainly affirm that he

would), it must be decided as regards the group in question, that crying out ought not to be expressed in it, for the simple reason that its expression lies quite outside the province of sculpture. A shrieking Laocoon could not be produced in marble, but only a figure with the mouth open vainly endeavouring to shriek; a Laocoon whose voice has stuck in his throat, *vox faucibus haesit*. The essence of shrieking, and consequently its effect upon the onlooker, lies entirely in sound; not in the distortion of the mouth. This phenomenon, which necessarily accompanies shrieking, derives motive and justification only from the sound produced by means of it; then it is permissible and indeed necessary, as characteristic of the action, even though it interferes with beauty. But in plastic art, to which the representation of shrieking is quite foreign and impossible, it would be actual folly to represent the medium of violent shrieking, the distorted mouth, which would disturb all the features and the remainder of the expression; for thus at the sacrifice of many other things the means would be represented, while its end, the shrieking itself, and its effect upon our feelings, would be left out. Nay more, there would be produced the spectacle of a continuous effort without effect, which is always ridiculous, and may really be compared to what happened when some one for a joke stopped the horn of a night watchman with wax while he was asleep, and then awoke him with the cry of fire, and amused himself by watching his vain endeavours to blow the horn. When, on the other hand, the expression of shrieking lies in the province of poetic or histrionic art, it is quite admissible, because it helps to express the truth, *i.e.*, the complete expression of the Idea. Thus it is with poetry, which claims the assistance of the imagination of the reader, in order to enable it to represent things perceptibly. Therefore Virgil makes Laocoon cry out like the bellowing of an ox that has broken loose after being struck by the axe; and Homer (Il. xx. 48-53) makes Mars and Minerva shriek horribly, without derogating from their divine dignity or beauty. The same with acting; Laocoon on the stage would certainly have to shriek. Sophocles makes Philoctetus cry out, and, on the ancient stage at any rate, he must actually have done so. As a case in point, I remember having seen in London the great actor Kemble play in a piece called Pizarro, translated from the German. He took the part of the American, a half-savage, but of very noble character. When he was wounded he cried out loudly and wildly, which had a great and admirable effect, for it was exceedingly characteristic and therefore assisted the truth

of the representation very much. On the other hand, a painted or sculptured model of a man shrieking, would be much more absurd than the painted music which is censured in Goethe's Propylaen. For shrieking does far more injury to the expression and beauty of the whole than music, which at the most only occupies the hands and arms, and is to be looked upon as an occupation characteristic of the person; indeed thus far it may quite rightly be painted, as long as it demands no violent movement of the body, or distortion of the mouth: for example, St. Cecilia at the organ, Raphael's violin-player in the Sciarra Gallery at Rome, and others. Since then, on account of the limits of the art, the pain of Laocoon must not be expressed by shrieking, the artist was obliged to employ every other expression of pain; this he has done in the most perfect manner, as is ably described by Winckelmann (Works, vol. vi. p. 104), whose admirable account thus retains its full value and truth, as soon as we abstract from the stoical view which underlies it.<sup>55</sup>

§ 47. Because beauty accompanied with grace is the principal object of sculpture, it loves nakedness, and allows clothing only so far as it does not conceal the form. It makes use of drapery, not as a covering, but as a means of exhibiting the form, a method of exposition that gives much exercise to the understanding, for it can only arrive at a perception of the cause, the form of the body, through the only directly given effect, the drapery. Thus to a certain extent drapery is in sculpture what fore-shortening is in painting. Both are suggestions, yet not symbolical, but such that, if they are successful, they force the understanding directly to perceive what is suggested, just as if it were actually given.

I may be allowed, in passing, to insert here a comparison that is very pertinent to the arts we are discussing. It is this: as the beautiful bodily form is seen to the greatest advantage when clothed in the lightest way, or indeed without any clothing at all, and therefore a very handsome man, if he had also taste and the courage to follow it, would go about almost naked, clothed only after the manner of the ancients; so every one who possesses a beautiful and rich mind will always express himself in the most natural, direct, and simple way, concerned, if it be possible, to communicate his thoughts to others, and thus relieve the loneliness that he must feel in such a world as this. And conversely, poverty of mind, confusion, and perversity of thought, will clothe itself in the most far-fetched expressions and the

obscurest forms of speech, in order to wrap up in difficult and pompous phraseology small, trifling, insipid, or commonplace thoughts; like a man who has lost the majesty of beauty, and trying to make up for the deficiency by means of clothing, seeks to hide the insignificance or ugliness of his person under barbaric finery, tinsel, feathers, ruffles, cuffs, and mantles. Many an author, if compelled to translate his pompous and obscure book into its little clear content, would be as utterly spoilt as this man if he had to go naked.

§ 48. *Historical painting* has for its principal object, besides beauty and grace, character. By character we mean generally, the representation of will at the highest grade of its objectification, when the individual, as giving prominence to a particular side of the Idea of humanity, has special significance, and shows this not merely by his form, but makes it visible in his bearing and occupation, by action of every kind, and the modifications of knowing and willing that occasion and accompany it. The Idea of man must be exhibited in these circumstances, and therefore the unfolding of its many-sidedness must be brought before our eyes by means of representative individuals, and these individuals can only be made visible in their significance through various scenes, events, and actions. This is the endless problem of the historical painter, and he solves it by placing before us scenes of life of every kind, of greater or less significance. No individual and no action can be without significance; in all and through all the Idea of man unfolds itself more and more. Therefore no event of human life is excluded from the sphere of painting. It is thus a great injustice to the excellent painters of the Dutch school, to prize merely their technical skill, and to look down upon them in other respects, because, for the most part, they represent objects of common life, whereas it is assumed that only the events of the history of the world, or the incidents of biblical story, have significance. We ought first to bethink ourselves that the inward significance of an action is quite different from its outward significance, and that these are often separated from each other. The outward significance is the importance of an action in relation to its result for and in the actual world; thus according to the principle of sufficient reason. The inward significance is the depth of the insight into the Idea of man which it reveals, in that it brings to light sides of that Idea which rarely appear, by making individuals who assert themselves distinctly and decidedly, disclose their peculiar characteristics by means of appropriately arranged circumstances.

Only the inward significance concerns art; the outward belongs to history. They are both completely independent of each other; they may appear together, but may each appear alone. An action which is of the highest significance for history may in inward significance be a very ordinary and common one; and conversely, a scene of ordinary daily life may be of great inward significance, if human individuals, and the inmost recesses of human action and will, appear in it in a clear and distinct light. Further, the outward and the inward significance of a scene may be equal and yet very different. Thus, for example, it is all the same, as far as inward significance is concerned, whether ministers discuss the fate of countries and nations over a map, or boors wrangle in a beer-house over cards and dice, just as it is all the same whether we play chess with golden or wooden pieces. But apart from this, the scenes and events that make up the life of so many millions of men, their actions, their sorrows, their joys, are on that account important enough to be the object of art, and by their rich variety they must afford material enough for unfolding the many-sided Idea of man. Indeed the very transitoriness of the moment which art has fixed in such a picture (now called *genre*-painting) excites a slight and peculiar sensation; for to fix the fleeting, ever-changing world in the enduring picture of a single event, which yet represents the whole, is an achievement of the art of painting by which it seems to bring time itself to a standstill, for it raises the individual to the Idea of its species. Finally, the historical and outwardly significant subjects of painting have often the disadvantage that just what is significant in them cannot be presented to perception, but must be arrived at by thought. In this respect the nominal significance of the picture must be distinguished from its real significance. The former is the outward significance, which, however, can only be reached as a conception; the latter is that side of the Idea of man which is made visible to the onlooker in the picture. For example, Moses found by the Egyptian princess is the nominal significance of a painting; it represents a moment of the greatest importance in history; the real significance, on the other hand, that which is really given to the onlooker, is a foundling child rescued from its floating cradle by a great lady, an incident which may have happened more than once. The costume alone can here indicate the particular historical case to the learned; but the costume is only of importance to the nominal significance, and is a matter of indifference to the real significance; for the latter knows only the human being as such, not the arbitrary forms. Subjects



taken from history have no advantage over those which are taken from mere possibility, and which are therefore to be called, not individual, but merely general. For what is peculiarly significant in the former is not the individual, not the particular event as such, but the universal in it, the side of the Idea of humanity which expresses itself through it. But, on the other hand, definite historical subjects are not on this account to be rejected, only the really artistic view of such subjects, both in the painter and in the beholder, is never directed to the individual particulars in them, which properly constitute the historical, but to the universal which expresses itself in them, to the Idea. And only those historical subjects are to be chosen the chief point of which can actually be represented, and not merely arrived at by thought, otherwise the nominal significance is too remote from the real; what is merely thought in connection with the picture becomes of most importance, and interferes with what is perceived. If even on the stage it is not right that the chief incident of the plot should take place behind the scenes (as in French tragedies), it is clearly a far greater fault in a picture. Historical subjects are distinctly disadvantageous only when they confine the painter to a field which has not been chosen for artistic but for other reasons, and especially when this field is poor in picturesque and significant objects — if, for example, it is the history of a small, isolated, capricious, hierarchical (*i.e.*, ruled by error), obscure people, like the Jews, despised by the great contemporary nations of the East and the West. Since the wandering of the tribes lies between us and all ancient nations, as the change of the bed of the ocean lies between the earth's surface as it is today and as it was when those organisations existed which we only know from fossil remains, it is to be regarded generally as a great misfortune that the people whose culture was to be the principal basis of our own were not the Indians or the Greeks, or even the Romans, but these very Jews. But it was especially a great misfortune for the Italian painters of genius in the fifteenth and sixteenth centuries that, in the narrow sphere to which they were arbitrarily driven for the choice of subjects, they were obliged to have recourse to miserable beings of every kind. For the New Testament, as regards its historical part, is almost more unsuitable for painting than the Old, and the subsequent history of martyrs and doctors of the church is a very unfortunate subject. Yet of the pictures, whose subject is the history or mythology of Judaism and Christianity, we must carefully distinguish those in which the peculiar, *i.e.*, the ethical spirit of Christianity is revealed for

perception, by the representation of men who are full of this spirit. These representations are in fact the highest and most admirable achievements of the art of painting; and only the greatest masters of this art succeeded in this, particularly Raphael and Correggio, and especially in their earlier pictures. Pictures of this kind are not properly to be classed as historical: for, as a rule, they represent no event, no action; but are merely groups of saints, with the Saviour himself, often still a child, with His mother, angels, &c. In their countenances, and especially in the eyes, we see the expression, the reflection, of the completest knowledge, that which is not directed to particular things, but has fully grasped the Ideas, and thus the whole nature of the world and life. And this knowledge in them, reacting upon the will, does not, like other knowledge, convey *motives* to it, but on the contrary has become a *quieter* of all will, from which proceeded the complete resignation, which is the innermost spirit of Christianity, as of the Indian philosophy; the surrender of all volition, conversion, the suppression of will, and with it of the whole inner being of this world, that is to say, salvation. Thus these masters of art, worthy of eternal praise, expressed perceptibly in their works the highest wisdom. And this is the summit of all art. It has followed the will in its adequate objectivity, the Ideas, through all its grades, in which it is affected and its nature unfolded in so many ways, first by causes, then by stimuli, and finally by motives. And now art ends with the representation of the free self-suppression of will, by means of the great peace which it gains from the perfect knowledge of its own nature.<sup>56</sup>

§ 49. The truth which lies at the foundation of all that we have hitherto said about art, is that the object of art, the representation of which is the aim of the artist, and the knowledge of which must therefore precede his work as its germ and source, is an Idea in Plato's sense, and never anything else; not the particular thing, the object of common apprehension, and not the concept, the object of rational thought and of science. Although the Idea and the concept have something in common, because both represent as unity a multiplicity of real things; yet the great difference between them has no doubt been made clear and evident enough by what we have said about concepts in the first book, and about Ideas in this book. I by no means wish to assert, however, that Plato really distinctly comprehended this difference; indeed many of his examples of Ideas, and his discussions of them, are applicable only to concepts. Meanwhile we leave this question alone and go on our own way, glad when we come upon traces of any great and noble

mind, yet not following his footsteps but our own aim. The *concept* is abstract, discursive, undetermined within its own sphere, only determined by its limits, attainable and comprehensible by him who has only reason, communicable by words without any other assistance, entirely exhausted by its definition. The *Idea* on the contrary, although defined as the adequate representative of the concept, is always object of perception, and although representing an infinite number of particular things, is yet thoroughly determined. It is never known by the individual as such, but only by him who has raised himself above all willing and all individuality to the pure subject of knowing. Thus it is only attainable by the man of genius, and by him who, for the most part through the assistance of the works of genius, has reached an exalted frame of mind, by increasing his power of pure knowing. It is therefore not absolutely but only conditionally communicable, because the Idea, comprehended and repeated in the work of art, appeals to every one only according to the measure of his own intellectual worth. So that just the most excellent works of every art, the noblest productions of genius, must always remain sealed books to the dull majority of men, inaccessible to them, separated from them by a wide gulf, just as the society of princes is inaccessible to the common people. It is true that even the dumbest of them accept on authority recognisedly great works, lest otherwise they should argue their own incompetence; but they wait in silence, always ready to express their condemnation, as soon as they are allowed to hope that they may do so without being left to stand alone; and then their long-restrained hatred against all that is great and beautiful, and against the authors of it, gladly relieves itself; for such things never appealed to them, and for that very reason were humiliating to them. For as a rule a man must have worth in himself in order to recognise it and believe in it willingly and freely in others. On this rests the necessity of modesty in all merit, and the disproportionately loud praise of this virtue, which alone of all its sisters is always included in the eulogy of every one who ventures to praise any distinguished man, in order to appease and quiet the wrath of the unworthy. What then is modesty but hypocritical humility, by means of which, in a world swelling with base envy, a man seeks to obtain pardon for excellences and merits from those who have none? For whoever attributes to himself no merits, because he actually has none, is not modest but merely honest.

The *Idea* is the unity that falls into multiplicity on account of the temporal and spatial form of our intuitive apprehension; the *concept*, on the contrary, is the unity reconstructed out of multiplicity by the abstraction of our reason; the latter may be defined as *unitas post rem*, the former as *unitas ante rem*. Finally, we may express the distinction between the Idea and the concept, by a comparison, thus: the *concept* is like a dead receptacle, in which, whatever has been put, actually lies side by side, but out of which no more can be taken (by analytical judgment) than was put in (by synthetical reflection); the (Platonic) *Idea*, on the other hand, develops, in him who has comprehended it, ideas which are new as regards the concept of the same name; it resembles a living organism, developing itself and possessed of the power of reproduction, which brings forth what was not put into it.

It follows from all that has been said, that the concept, useful as it is in life, and serviceable, necessary and productive as it is in science, is yet always barren and unfruitful in art. The comprehended Idea, on the contrary, is the true and only source of every work of art. In its powerful originality it is only derived from life itself, from nature, from the world, and that only by the true genius, or by him whose momentary inspiration reaches the point of genius. Genuine and immortal works of art spring only from such direct apprehension. Just because the Idea is and remains object of perception, the artist is not conscious in the abstract of the intention and aim of his work; not a concept, but an Idea floats before his mind; therefore he can give no justification of what he does. He works, as people say, from pure feeling, and unconsciously, indeed instinctively. On the contrary, imitators, mannerists, *imitatores*, *servum pecus*, start, in art, from the concept; they observe what pleases and affects us in true works of art; understand it clearly, fix it in a concept, and thus abstractly, and then imitate it, openly or disguisedly, with dexterity and intentionally. They suck their nourishment, like parasite plants, from the works of others, and like polypi, they become the colour of their food. We might carry comparison further, and say that they are like machines which mince fine and mingle together whatever is put into them, but can never digest it, so that the different constituent parts may always be found again if they are sought out and separated from the mixture; the man of genius alone resembles the organised, assimilating, transforming and reproducing body. For he is indeed educated and cultured by his predecessors and their works; but he is

really fructified only by life and the world directly, through the impression of what he perceives; therefore the highest culture never interferes with his originality. All imitators, all mannerists, apprehend in concepts the nature of representative works of art; but concepts can never impart inner life to a work. The age, *i.e.*, the dull multitude of every time, knows only concepts, and sticks to them, and therefore receives mannered works of art with ready and loud applause: but after a few years these works become insipid, because the spirit of the age, *i.e.*, the prevailing concepts, in which alone they could take root, have changed. Only true works of art, which are drawn directly from nature and life, have eternal youth and enduring power, like nature and life themselves. For they belong to no age, but to humanity, and as on that account they are coldly received by their own age, to which they disdain to link themselves closely, and because indirectly and negatively they expose the existing errors, they are slowly and unwillingly recognised; on the other hand, they cannot grow old, but appear to us ever fresh and new down to the latest ages. Then they are no longer exposed to neglect and ignorance, for they are crowned and sanctioned by the praise of the few men capable of judging, who appear singly and rarely in the course of ages,<sup>57</sup> and give in their votes, whose slowly growing number constitutes the authority, which alone is the judgment-seat we mean when we appeal to posterity. It is these successively appearing individuals, for the mass of posterity will always be and remain just as perverse and dull as the mass of contemporaries always was and always is. We read the complaints of great men in every century about the customs of their age. They always sound as if they referred to our own age, for the race is always the same. At every time and in every art, mannerisms have taken the place of the spirit, which was always the possession of a few individuals, but mannerisms are just the old cast-off garments of the last manifestation of the spirit that existed and was recognised. From all this it appears that, as a rule, the praise of posterity can only be gained at the cost of the praise of one's contemporaries, and *vice versa*.<sup>58</sup>

§ 50. If the aim of all art is the communication of the comprehended Idea, which through the mind of the artist appears in such a form that it is purged and isolated from all that is foreign to it, and may now be grasped by the man of weaker comprehension and no productive faculty; if further, it is forbidden in art to start from the concept, we shall not be able to consent to the intentional and avowed employment of a work of art for the

expression of a concept; this is the case in the *Allegory*. An allegory is a work of art which means something different from what it represents. But the object of perception, and consequently also the Idea, expresses itself directly and completely, and does not require the medium of something else which implies or indicates it. Thus, that which in this way is indicated and represented by something entirely different, because it cannot itself be made object of perception, is always a concept. Therefore through the allegory a conception has always to be signified, and consequently the mind of the beholder has to be drawn away from the expressed perceptible idea to one which is entirely different, abstract and not perceptible, and which lies quite outside the work of art. The picture or statue is intended to accomplish here what is accomplished far more fully by a book. Now, what we hold is the end of art, representation of a perceivable, comprehensible Idea, is not here the end. No great completeness in the work of art is demanded for what is aimed at here. It is only necessary that we should see what the thing is meant to be, for, as soon as this has been discovered, the end is reached, and the mind is now led away to quite a different kind of idea to an abstract conception, which is the end that was in view. Allegories in plastic and pictorial art are, therefore, nothing but hieroglyphics; the artistic value which they may have as perceptible representations, belongs to them not as allegories, but otherwise. That the “Night” of Correggio, the “Genius of Fame” of Hannibal Caracci, and the “Hours” of Poussin, are very beautiful pictures, is to be separated altogether from the fact that they are allegories. As allegories they do not accomplish more than a legend, indeed rather less. We are here again reminded of the distinction drawn above between the real and the nominal significance of a picture. The nominal is here the allegorical as such, for example, the “Genius of Fame.” The real is what is actually represented, in this case a beautiful winged youth, surrounded by beautiful boys; this expresses an Idea. But this real significance affects us only so long as we forget the nominal, allegorical significance; if we think of the latter, we forsake the perception, and the mind is occupied with an abstract conception; but the transition from the Idea to the conception is always a fall. Indeed, that nominal significance, that allegorical intention, often injures the real significance, the perceptible truth. For example, the unnatural light in the “Night” of Correggio, which, though beautifully executed, has yet a merely allegorical motive, and is really impossible. If then an allegorical picture has artistic value, it is quite separate from and

independent of what it accomplishes as allegory. Such a work of art serves two ends at once, the expression of a conception and the expression of an Idea. Only the latter can be an end of art; the other is a foreign end, the trifling amusement of making a picture also do service as a legend, as a hieroglyphic, invented for the pleasure of those to whom the true nature of art can never appeal. It is the same thing as when a work of art is also a useful implement of some kind, in which case it also serves two ends; for example, a statue which is at the same time a candelabrum or a caryatide; or a bas-relief, which is also the shield of Achilles. True lovers of art will allow neither the one nor the other. It is true that an allegorical picture may, because of this quality, produce a vivid impression upon the feelings; but when this is the case, a legend would under the same circumstances produce the same effect. For example, if the desire of fame were firmly and lastingly rooted in the heart of a man, because he regarded it as his rightful possession, which is only withheld from him so long as he has not produced the charter of his ownership; and if the Genius of Fame, with his laurel crown, were to appear to such a man, his whole mind would be excited, and his powers called into activity; but the same effect would be produced if he were suddenly to see the word "fame," in large distinct letters on the wall. Or if a man has made known a truth, which is of importance either as a maxim for practical life, or as insight for science, but it has not been believed; an allegorical picture representing time as it lifts the veil, and discloses the naked figure of Truth, will affect him powerfully; but the same effect would be produced by the legend: "*Le temps découvre la vérité.*" For what really produces the effect here is the abstract thought, not the object of perception.

If then, in accordance with what has been said, allegory in plastic and pictorial art is a mistaken effort, serving an end which is entirely foreign to art, it becomes quite unbearable when it leads so far astray that the representation of forced and violently introduced subtleties degenerates into absurdity. Such, for example, is a tortoise, to represent feminine seclusion; the downward glance of Nemesis into the drapery of her bosom, signifying that she can see into what is hidden; the explanation of Bellori that Hannibal Carracci represents voluptuousness clothed in a yellow robe, because he wishes to indicate that her lovers soon fade and become yellow as straw. If there is absolutely no connection between the representation and the conception signified by it, founded on subsumption under the concept,

or association of Ideas; but the signs and the things signified are combined in a purely conventional manner, by positive, accidentally introduced laws; then I call this degenerate kind of allegory *Symbolism*. Thus the rose is the symbol of secrecy, the laurel is the symbol of fame, the palm is the symbol of peace, the scallop-shell is the symbol of pilgrimage, the cross is the symbol of the Christian religion. To this class also belongs all significance of mere colour, as yellow is the colour of falseness, and blue is the colour of fidelity. Such symbols may often be of use in life, but their value is foreign to art. They are simply to be regarded as hieroglyphics, or like Chinese word-writing, and really belong to the same class as armorial bearings, the bush that indicates a public-house, the key of the chamberlain, or the leather of the mountaineer. If, finally, certain historical or mythical persons, or personified conceptions, are represented by certain fixed symbols, these are properly called *emblems*. Such are the beasts of the Evangelist, the owl of Minerva, the apple of Paris, the Anchor of Hope, &c. For the most part, however, we understand by emblems those simple allegorical representations explained by a motto, which are meant to express a moral truth, and of which large collections have been made by J. Camerarius, Alciatus, and others. They form the transition to poetical allegory, of which we shall have more to say later. Greek sculpture devotes itself to the perception, and therefore it is *æsthetical*; Indian sculpture devotes itself to the conception, and therefore it is merely *symbolical*.

This conclusion in regard to allegory, which is founded on our consideration of the nature of art and quite consistent with it, is directly opposed to the opinion of Winckelmann, who, far from explaining allegory, as we do, as something quite foreign to the end of art, and often interfering with it, always speaks in favour of it, and indeed (Works, vol. i. p. 55) places the highest aim of art in the “representation of universal conceptions, and non-sensuous things.” We leave it to every one to adhere to whichever view he pleases. Only the truth became very clear to me from these and similar views of Winckelmann connected with his peculiar metaphysic of the beautiful, that one may have the greatest susceptibility for artistic beauty, and the soundest judgment in regard to it, without being able to give an abstract and strictly philosophical justification of the nature of the beautiful; just as one may be very noble and virtuous, and may have a tender conscience, which decides with perfect accuracy in particular cases,



without on that account being in a position to investigate and explain in the abstract the ethical significance of action.

Allegory has an entirely different relation to poetry from that which it has to plastic and pictorial art, and although it is to be rejected in the latter, it is not only permissible, but very serviceable to the former. For in plastic and pictorial art it leads away from what is perceptibly given, the proper object of all art, to abstract thoughts; but in poetry the relation is reversed; for here what is directly given in words is the concept, and the first aim is to lead from this to the object of perception, the representation of which must be undertaken by the imagination of the hearer. If in plastic and pictorial art we are led from what is immediately given to something else, this must always be a conception, because here only the abstract cannot be given directly; but a conception must never be the source, and its communication must never be the end of a work of art. In poetry, on the contrary, the conception is the material, the immediately given, and therefore we may very well leave it, in order to call up perceptions which are quite different, and in which the end is reached. Many a conception or abstract thought may be quite indispensable to the connection of a poem, which is yet, in itself and directly, quite incapable of being perceived; and then it is often made perceptible by means of some example which is subsumed under it. This takes place in every trope, every metaphor, simile, parable, and allegory, all of which differ only in the length and completeness of their expression. Therefore, in the arts which employ language as their medium, similes and allegories are of striking effect. How beautifully Cervantes says of sleep in order to express the fact that it frees us from all spiritual and bodily suffering, "It is a mantle that covers all mankind." How beautifully Kleist expresses allegorically the thought that philosophers and men of science enlighten mankind, in the line, "Those whose midnight lamp lights the world." How strongly and sensuously Homer describes the harmful Ate when he says: "She has tender feet, for she walks not on the hard earth, but treads on the heads of men" (Il. xix. 91.) How forcibly we are struck by Menenius Agrippa's fable of the belly and the limbs, addressed to the people of Rome when they seceded. How beautifully Plato's figure of the Cave, at the beginning of the seventh book of the "Republic" to which we have already referred, expresses a very abstract philosophical dogma. The fable of Persephone is also to be regarded as a deeply significant allegory of philosophical tendency, for she became subject to the nether world by

tasting a pomegranate. This becomes peculiarly enlightening from Goethe's treatment of the fable, as an episode in the *Triumph der Empfindsamkeit*, which is beyond all praise. Three detailed allegorical works are known to me, one, open and avowed, is the incomparable "Criticon" of Balthasar Gracian. It consists of a great rich web of connected and highly ingenious allegories, that serve here as the fair clothing of moral truths, to which he thus imparts the most perceptible form, and astonishes us by the richness of his invention. The two others are concealed allegories, "Don Quixote" and "Gulliver's Travels." The first is an allegory of the life of every man, who will not, like others, be careful, merely for his own welfare, but follows some objective, ideal end, which has taken possession of his thoughts and will; and certainly, in this world, he has then a strange appearance. In the case of Gulliver we have only to take everything physical as spiritual or intellectual, in order to see what the "satirical rogue," as Hamlet would call him, meant by it. Such, then, in the poetical allegory, the conception is always the given, which it tries to make perceptible by means of a picture; it may sometimes be expressed or assisted by a painted picture. Such a picture will not be regarded as a work of art, but only as a significant symbol, and it makes no claim to pictorial, but only to poetical worth. Such is that beautiful allegorical vignette of Lavater's, which must be so heartening to every defender of truth: a hand holding a light is stung by a wasp, while gnats are burning themselves in the flame above; underneath is the motto:

"And although it sings the wings of the gnats,  
Destroys their heads and all their little brains,  
Light is still light;  
And although I am stung by the angriest wasp,  
I will not let it go."

To this class also belongs the gravestone with the burnt-out, smoking candle, and the inscription —

"When it is out, it becomes clear  
Whether the candle was tallow or wax."

Finally, of this kind is an old German genealogical tree, in which the last representative of a very ancient family thus expresses his determination to live his life to the end in abstinence and perfect chastity, and therefore to let his race die out; he represents himself at the root of the high-branching tree cutting it over himself with shears. In general all those symbols referred to above, commonly called emblems, which might also be defined as short

painted fables with obvious morals, belong to this class. Allegories of this kind are always to be regarded as belonging to poetry, not to painting, and as justified thereby; moreover, the pictorial execution is here always a matter of secondary importance, and no more is demanded of it than that it shall represent the thing so that we can recognise it. But in poetry, as in plastic art, the allegory passes into the symbol if there is merely an arbitrary connection between what it presented to perception and the abstract significance of it. For as all symbolism rests, at bottom, on an agreement, the symbol has this among other disadvantages, that in time its meaning is forgotten, and then it is dumb. Who would guess why the fish is a symbol of Christianity if he did not know? Only a Champollion; for it is entirely a phonetic hieroglyphic. Therefore, as a poetical allegory, the Revelation of John stands much in the same position as the reliefs with *Magnus Deus sol Mithra*, which are still constantly being explained.

§ 51. If now, with the exposition which has been given of art in general, we turn from plastic and pictorial art to poetry, we shall have no doubt that its aim also is the revelation of the Ideas, the grades of the objectification of will, and the communication of them to the hearer with the distinctness and vividness with which the poetical sense comprehends them. Ideas are essentially perceptible; if, therefore, in poetry only abstract conceptions are directly communicated through words, it is yet clearly the intention to make the hearer perceive the Ideas of life in the representatives of these conceptions, and this can only take place through the assistance of his own imagination. But in order to set the imagination to work for the accomplishment of this end, the abstract conceptions, which are the immediate material of poetry as of dry prose, must be so arranged that their spheres intersect each other in such a way that none of them can remain in its abstract universality; but, instead of it, a perceptible representative appears to the imagination; and this is always further modified by the words of the poet according to what his intention may be. As the chemist obtains solid precipitates by combining perfectly clear and transparent fluids; the poet understands how to precipitate, as it were, the concrete, the individual, the perceptible idea, out of the abstract and transparent universality of the concepts by the manner in which he combines them. For the Idea can only be known by perception; and knowledge of the Idea is the end of art. The skill of a master, in poetry as in chemistry, enables us always to obtain the precise precipitate we intended. This end is assisted by the numerous

epithets in poetry, by means of which the universality of every concept is narrowed more and more till we reach the perceptible. Homer attaches to almost every substantive an adjective, whose concept intersects and considerably diminishes the sphere of the concept of the substantive, which is thus brought so much the nearer to perception: for example —

“Ἐν δ’ ἐπὲς Ὠκεανῷ λαμπρὸν φάος ἡέλιοιο,  
Ἐλκὸν νυκτὰ μελαιναν ἐπὶ ζειδῶρον αὐραν.”  
 (“Occidit vero in Oceanum splendidum lumen solis,  
Trahens noctem nigram super almam terram.”)

And —

“Where gentle winds from the blue heavens sigh,  
There stand the myrtles still, the laurel high,” —

calls up before the imagination by means of a few concepts the whole delight of a southern clime.

Rhythm and rhyme are quite peculiar aids to poetry. I can give no other explanation of their incredibly powerful effect than that our faculties of perception have received from time, to which they are essentially bound, some quality on account of which we inwardly follow, and, as it were, consent to each regularly recurring sound. In this way rhythm and rhyme are partly a means of holding our attention, because we willingly follow the poem read, and partly they produce in us a blind consent to what is read prior to any judgment, and this gives the poem a certain emphatic power of convincing independent of all reasons.

From the general nature of the material, that is, the concepts, which poetry uses to communicate the Ideas, the extent of its province is very great. The whole of nature, the Ideas of all grades, can be represented by means of it, for it proceeds according to the Idea it has to impart, so that its representations are sometimes descriptive, sometimes narrative, and sometimes directly dramatic. If, in the representation of the lower grades of the objectivity of will, plastic and pictorial art generally surpass it, because lifeless nature, and even brute nature, reveals almost its whole being in a single well-chosen moment; man, on the contrary, so far as he does not express himself by the mere form and expression of his person, but through a series of actions and the accompanying thoughts and emotions, is the principal object of poetry, in which no other art can compete with it, for here the progress or movement which cannot be represented in plastic or pictorial art just suits its purpose.

The revelation of the Idea, which is the highest grade of the objectivity of will, the representation of man in the connected series of his efforts and actions, is thus the great problem of poetry. It is true that both experience and history teach us to know man; yet oftener men than man, *i.e.*, they give us empirical notes of the behaviour of men to each other, from which we may frame rules for our own conduct, oftener than they afford us deep glimpses of the inner nature of man. The latter function, however, is by no means entirely denied them; but as often as it is the nature of mankind itself that discloses itself to us in history or in our own experience, we have comprehended our experience, and the historian has comprehended history, with artistic eyes, poetically, *i.e.*, according to the Idea, not the phenomenon, in its inner nature, not in its relations. Our own experience is the indispensable condition of understanding poetry as of understanding history; for it is, so to speak, the dictionary of the language that both speak. But history is related to poetry as portrait-painting is related to historical painting; the one gives us the true in the individual, the other the true in the universal; the one has the truth of the phenomenon, and can therefore verify it from the phenomenal, the other has the truth of the Idea, which can be found in no particular phenomenon, but yet speaks to us from them all. The poet from deliberate choice represents significant characters in significant situations; the historian takes both as they come. Indeed, he must regard and select the circumstances and the persons, not with reference to their inward and true significance, which expresses the Idea, but according to the outward, apparent, and relatively important significance with regard to the connection and the consequences. He must consider nothing in and for itself in its essential character and expression, but must look at everything in its relations, in its connection, in its influence upon what follows, and especially upon its own age. Therefore he will not overlook an action of a king, though of little significance, and in itself quite common, because it has results and influence. And, on the other hand, actions of the highest significance of particular and very eminent individuals are not to be recorded by him if they have no consequences. For his treatment follows the principle of sufficient reason, and apprehends the phenomenon, of which this principle is the form. But the poet comprehends the Idea, the inner nature of man apart from all relations, outside all time, the adequate objectivity of the thing-in-itself, at its highest grade. Even in that method of treatment which is necessary for the historian, the inner nature and

significance of the phenomena, the kernel of all these shells, can never be entirely lost. He who seeks for it, at any rate, may find it and recognise it. Yet that which is significant in itself, not in its relations, the real unfolding of the Idea, will be found far more accurately and distinctly in poetry than in history, and, therefore, however paradoxical it may sound, far more really genuine inner truth is to be attributed to poetry than to history. For the historian must accurately follow the particular event according to life, as it develops itself in time in the manifold tangled chains of causes and effects. It is, however, impossible that he can have all the data for this; he cannot have seen all and discovered all. He is forsaken at every moment by the original of his picture, or a false one substitutes itself for it, and this so constantly that I think I may assume that in all history the false outweighs the true. The poet, on the contrary, has comprehended the Idea of man from some definite side which is to be represented; thus it is the nature of his own self that objectifies itself in it for him. His knowledge, as we explained above when speaking of sculpture, is half *a priori*; his ideal stands before his mind firm, distinct, brightly illuminated, and cannot forsake him; therefore he shows us, in the mirror of his mind, the Idea pure and distinct, and his delineation of it down to the minutest particular is true as life itself.<sup>59</sup> The great ancient historians are, therefore, in those particulars in which their data fail them, for example, in the speeches of their heroes — poets; indeed their whole manner of handling their material approaches to the epic. But this gives their representations unity, and enables them to retain inner truth, even when outward truth was not accessible, or indeed was falsified. And as we compared history to portrait-painting, in contradistinction to poetry, which corresponds to historical painting, we find that Winckelmann's maxim, that the portrait ought to be the ideal of the individual, was followed by the ancient historians, for they represent the individual in such a way as to bring out that side of the Idea of man which is expressed in it. Modern historians, on the contrary, with few exceptions, give us in general only "a dust-bin and a lumber-room, and at the most a chronicle of the principal political events." Therefore, whoever desires to know man in his inner nature, identical in all its phenomena and developments, to know him according to the Idea, will find that the works of the great, immortal poet present a far truer, more distinct picture, than the historians can ever give. For even the best of the historians are, as poets, far from the first; and moreover their hands are tied. In this aspect the relation

between the historian and the poet may be illustrated by the following comparison. The mere, pure historian, who works only according to data, is like a man, who without any knowledge of mathematics, has investigated the relations of certain figures, which he has accidentally found, by measuring them; and the problem thus empirically solved is affected of course by all the errors of the drawn figure. The poet, on the other hand, is like the mathematician, who constructs these relations *a priori* in pure perception, and expresses them not as they actually are in the drawn figure, but as they are in the Idea, which the drawing is intended to render for the senses. Therefore Schiller says: —

“What has never anywhere come to pass,  
That alone never grows old.”

Indeed I must attribute greater value to biographies, and especially to autobiographies, in relation to the knowledge of the nature of man, than to history proper, at least as it is commonly handled. Partly because in the former the data can be collected more accurately and completely than in the latter; partly, because in history proper, it is not so much men as nations and heroes that act, and the individuals who do appear, seem so far off, surrounded with such pomp and circumstance, clothed in the stiff robes of state, or heavy, inflexible armour, that it is really hard through all this to recognise the human movements. On the other hand, the life of the individual when described with truth, in a narrow sphere, shows the conduct of men in all its forms and subtleties, the excellence, the virtue, and even holiness of a few, the perversity, meanness, and knavery of most, the dissolute profligacy of some. Besides, in the only aspect we are considering here, that of the inner significance of the phenomenal, it is quite the same whether the objects with which the action is concerned, are, relatively considered, trifling or important, farm-houses or kingdoms: for all these things in themselves are without significance, and obtain it only in so far as the will is moved by them. The motive has significance only through its relation to the will, while the relation which it has as a thing to other things like itself, does not concern us here. As a circle of one inch in diameter, and a circle of forty million miles in diameter, have precisely the same geometrical properties, so are the events and the history of a village and a kingdom essentially the same; and we may study and learn to know mankind as well in the one as in the other. It is also a mistake to suppose

that autobiographies are full of deceit and dissimulation. On the contrary, lying (though always possible) is perhaps more difficult there than elsewhere. Dissimulation is easiest in mere conversation; indeed, though it may sound paradoxical, it is really more difficult even in a letter. For in the case of a letter the writer is alone, and looks into himself, and not out on the world, so that what is strange and distant does not easily approach him; and he has not the test of the impression made upon another before his eyes. But the receiver of the letter peruses it quietly in a mood unknown to the writer, reads it repeatedly and at different times, and thus easily finds out the concealed intention. We also get to know an author as a man most easily from his books, because all these circumstances act here still more strongly and permanently. And in an autobiography it is so difficult to dissimulate, that perhaps there does not exist a single one that is not, as a whole, more true, than any history that ever was written. The man who writes his own life surveys it as a whole, the particular becomes small, the near becomes distant, the distant becomes near again, the motives that influenced him shrink; he seats himself at the confessional, and has done so of his own free will; the spirit of lying does not so easily take hold of him here, for there is also in every man an inclination to truth which has first to be overcome whenever he lies, and which here has taken up a specially strong position. The relation between biography and the history of nations may be made clear for perception by means of the following comparison: History shows us mankind as a view from a high mountain shows us nature; we see much at a time, wide stretches, great masses, but nothing is distinct nor recognisable in all the details of its own peculiar nature. On the other hand, the representation of the life of the individual shows us the man, as we see nature if we go about among her trees, plants, rocks, and waters. But in landscape-painting, in which the artist lets us look at nature with his eyes, the knowledge of the Ideas, and the condition of pure will-less knowing, which is demanded by these, is made much easier for us; and, in the same way, poetry is far superior both to history and biography, in the representation of the Ideas which may be looked for in all three. For here also genius holds up to us the magic glass, in which all that is essential and significant appears before us collected and placed in the clearest light, and what is accidental and foreign is left out.<sup>60</sup>

The representation of the Idea of man, which is the work of the poet, may be performed, so that what is represented is also the representer. This is



the case in lyrical poetry, in songs, properly so called, in which the poet only perceives vividly his own state and describes it. Thus a certain subjectivity is essential to this kind of poetry from the nature of its object. Again, what is to be represented may be entirely different from him who represents it, as is the case in all other kinds of poetry, in which the poet more or less conceals himself behind his representation, and at last disappears altogether. In the ballad the poet still expresses to some extent his own state through the tone and proportion of the whole; therefore, though much more objective than the lyric, it has yet something subjective. This becomes less in the idyll, still less in the romantic poem, almost entirely disappears in the true epic, and even to the last vestige in the drama, which is the most objective and, in more than one respect, the completest and most difficult form of poetry. The lyrical form of poetry is consequently the easiest, and although art, as a whole, belongs only to the true man of genius, who so rarely appears, even a man who is not in general very remarkable may produce a beautiful song if, by actual strong excitement from without, some inspiration raises his mental powers; for all that is required for this is a lively perception of his own state at a moment of emotional excitement. This is proved by the existence of many single songs by individuals who have otherwise remained unknown; especially the German national songs, of which we have an exquisite collection in the “Wunderhorn;” and also by innumerable love-songs and other songs of the people in all languages; — for to seize the mood of a moment and embody it in a song is the whole achievement of this kind of poetry. Yet in the lyrics of true poets the inner nature of all mankind is reflected, and all that millions of past, present, and future men have found, or will find, in the same situations, which are constantly recurring, finds its exact expression in them. And because these situations, by constant recurrence, are permanent as man himself and always call up the same sensations, the lyrical productions of genuine poets remain through thousands of years true, powerful, and fresh. But if the poet is always the universal man, then all that has ever moved a human heart, all that human nature in any situation has ever produced from itself, all that dwells and broods in any human breast — is his theme and his material, and also all the rest of nature. Therefore the poet may just as well sing of voluptuousness as of mysticism, be Anacreon or Angelus Silesius, write tragedies or comedies, represent the sublime or the common mind — according to humour or vocation. And no

one has the right to prescribe to the poet what he ought to be — noble and sublime, moral, pious, Christian, one thing or another, still less to reproach him because he is one thing and not another. He is the mirror of mankind, and brings to its consciousness what it feels and does.

If we now consider more closely the nature of the lyric proper, and select as examples exquisite and pure models, not those that approach in any way to some other form of poetry, such as the ballad, the elegy, the hymn, the epigram, &c., we shall find that the peculiar nature of the lyric, in the narrowest sense, is this: It is the subject of will, *i.e.*, his own volition, which the consciousness of the singer feels; often as a released and satisfied desire (joy), but still oftener as a restricted desire (grief), always as an emotion, a passion, a moved frame of mind. Besides this, however, and along with it, by the sight of surrounding nature, the singer becomes conscious of himself as the subject of pure, will-less knowing, whose unbroken blissful peace now appears, in contrast to the stress of desire which is always restricted and always needy. The feeling of this contrast, this alternation, is really what the lyric as a whole expresses, and what principally constitutes the lyrical state of mind. In it pure knowing comes to us, as it were, to deliver us from desire and its stain; we follow, but only for an instant; desire, the remembrance of our own personal ends, tears us anew from peaceful contemplation; yet ever again the next beautiful surrounding in which the pure will-less knowledge presents itself to us, allures us away from desire. Therefore, in the lyric and the lyrical mood, desire (the personal interest of the ends), and pure perception of the surrounding presented, are wonderfully mingled with each other; connections between them are sought for and imagined; the subjective disposition, the affection of the will, imparts its own hue to the perceived surrounding, and conversely, the surroundings communicate the reflex of their colour to the will. The true lyric is the expression of the whole of this mingled and divided state of mind. In order to make clear by examples this abstract analysis of a frame of mind that is very far from all abstraction, any of the immortal songs of Goethe may be taken. As specially adapted for this end I shall recommend only a few: “The Shepherd’s Lament,” “Welcome and Farewell,” “To the Moon,” “On the Lake,” “Autumn;” also the songs in the “Wunderhorn” are excellent examples; particularly the one which begins, “O Bremen, I must now leave thee.” As a comical and happy parody of the lyrical character a song of Voss strikes me as remarkable. It describes the feeling of a drunk

plumber falling from a tower, who observes in passing that the clock on the tower is at half-past eleven, a remark which is quite foreign to his condition, and thus belongs to knowledge free from will. Whoever accepts the view that has been expressed of the lyrical frame of mind, will also allow, that it is the sensuous and poetical knowledge of the principle which I established in my essay on the Principle of Sufficient Reason, and have also referred to in this work, that the identity of the subject of knowing with that of willing may be called the miracle *κατ' ἐξοχην*; so that the poetical effect of the lyric rests finally on the truth of that principle. In the course of life these two subjects, or, in popular language, head and heart, are ever becoming further apart; men are always separating more between their subjective feeling and their objective knowledge. In the child the two are still entirely blended together; it scarcely knows how to distinguish itself from its surroundings, it is at one with them. In the young man all perception chiefly affects feeling and mood, and even mingles with it, as Byron very beautifully expresses —

“I live not in myself, but I become  
Portion of that around me; and to me  
High mountains are a feeling.”

This is why the youth clings so closely to the perceptible and outward side of things; this is why he is only fit for lyrical poetry, and only the full-grown man is capable of the drama. The old man we can think of as at the most an epic poet, like Ossian, and Homer, for narration is characteristic of old age.

In the more objective kinds of poetry, especially in the romance, the epic, and the drama, the end, the revelation of the Idea of man, is principally attained by two means, by true and profound representation of significant characters, and by the invention of pregnant situations in which they disclose themselves. For as it is incumbent upon the chemist not only to exhibit the simple elements, pure and genuine, and their principal compounds, but also to expose them to the influence of such reagents as will clearly and strikingly bring out their peculiar qualities, so is it incumbent on the poet not only to present to us significant characters truly and faithfully as nature itself; but, in order that we may get to know them, he must place them in those situations in which their peculiar qualities will fully unfold themselves, and appear distinctly in sharp outline; situations which are therefore called significant. In real life, and in history, situations of this kind are rarely brought about by chance, and they stand alone, lost

and concealed in the multitude of those which are insignificant. The complete significance of the situations ought to distinguish the romance, the epic, and the drama from real life as completely as the arrangement and selection of significant characters. In both, however, absolute truth is a necessary condition of their effect, and want of unity in the characters, contradiction either of themselves or of the nature of humanity in general, as well as impossibility, or very great improbability in the events, even in mere accessories, offend just as much in poetry as badly drawn figures, false perspective, or wrong lighting in painting. For both in poetry and painting we demand the faithful mirror of life, of man, of the world, only made more clear by the representation, and more significant by the arrangement. For there is only one end of all the arts, the representation of the Ideas; and their essential difference lies simply in the different grades of the objectification of will to which the Ideas that are to be represented belong. This also determines the material of the representation. Thus the arts which are most widely separated may yet throw light on each other. For example, in order to comprehend fully the Ideas of water it is not sufficient to see it in the quiet pond or in the evenly-flowing stream; but these Ideas disclose themselves fully only when the water appears under all circumstances and exposed to all kinds of obstacles. The effects of the varied circumstances and obstacles give it the opportunity of fully exhibiting all its qualities. This is why we find it beautiful when it tumbles, rushes, and foams, or leaps into the air, or falls in a cataract of spray; or, lastly, if artificially confined it springs up in a fountain. Thus showing itself different under different circumstances, it yet always faithfully asserts its character; it is just as natural to it to spout up as to lie in glassy stillness; it is as ready for the one as for the other as soon as the circumstances appear. Now, what the engineer achieves with the fluid matter of water, the architect achieves with the rigid matter of stone, and just this the epic or dramatic poet achieves with the Idea of man. Unfolding and rendering distinct the Idea expressing itself in the object of every art, the Idea of the will which objectifies itself at each grade, is the common end of all the arts. The life of man, as it shows itself for the most part in the real world, is like the water, as it is generally seen in the pond and the river; but in the epic, the romance, the tragedy, selected characters are placed in those circumstances in which all their special qualities unfold themselves, the depths of the human heart are revealed, and become visible in extraordinary and very significant

actions. Thus poetry objectifies the Idea of man, an Idea which has the peculiarity of expressing itself in highly individual characters.

Tragedy is to be regarded, and is recognised as the summit of poetical art, both on account of the greatness of its effect and the difficulty of its achievement. It is very significant for our whole system, and well worthy of observation, that the end of this highest poetical achievement is the representation of the terrible side of life. The unspeakable pain, the wail of humanity, the triumph of evil, the scornful mastery of chance, and the irretrievable fall of the just and innocent, is here presented to us; and in this lies a significant hint of the nature of the world and of existence. It is the strife of will with itself, which here, completely unfolded at the highest grade of its objectivity, comes into fearful prominence. It becomes visible in the suffering of men, which is now introduced, partly through chance and error, which appear as the rulers of the world, personified as fate, on account of their insidiousness, which even reaches the appearance of design; partly it proceeds from man himself, through the self-mortifying efforts of a few, through the wickedness and perversity of most. It is one and the same will that lives and appears in them all, but whose phenomena fight against each other and destroy each other. In one individual it appears powerfully, in another more weakly; in one more subject to reason, and softened by the light of knowledge, in another less so, till at last, in some single case, this knowledge, purified and heightened by suffering itself, reaches the point at which the phenomenon, the veil of *Mâya*, no longer deceives it. It sees through the form of the phenomenon, the *principium individuationis*. The egoism which rests on this perishes with it, so that now the *motives* that were so powerful before have lost their might, and instead of them the complete knowledge of the nature of the world, which has a *quieting* effect on the will, produces resignation, the surrender not merely of life, but of the very will to live. Thus we see in tragedies the noblest men, after long conflict and suffering, at last renounce the ends they have so keenly followed, and all the pleasures of life for ever, or else freely and joyfully surrender life itself. So is it with the steadfast prince of Calderon; with Gretchen in "Faust;" with Hamlet, whom his friend Horatio would willingly follow, but is bade remain a while, and in this harsh world draw his breath in pain, to tell the story of Hamlet, and clear his memory; so also is it with the Maid of Orleans, the Bride of Messina; they all die purified by suffering, *i.e.*, after the will to live which was formerly in them is dead. In

the “Mohammed” of Voltaire this is actually expressed in the concluding words which the dying Palmira addresses to Mohammad: “The world is for tyrants: live!” On the other hand, the demand for so-called poetical justice rests on entire misconception of the nature of tragedy, and, indeed, of the nature of the world itself. It boldly appears in all its dulness in the criticisms which Dr. Samuel Johnson made on particular plays of Shakespeare, for he very naïvely laments its entire absence. And its absence is certainly obvious, for in what has Ophelia, Desdemona, or Cordelia offended? But only the dull, optimistic, Protestant-rationalistic, or peculiarly Jewish view of life will make the demand for poetical justice, and find satisfaction in it. The true sense of tragedy is the deeper insight, that it is not his own individual sins that the hero atones for, but original sin, *i.e.*, the crime of existence itself:

“Pues el delito mayor  
Del hombre es haber nacido;”  
 (“For the greatest crime of man  
Is that he was born;”)  
as Calderon exactly expresses it.

I shall allow myself only one remark, more closely concerning the treatment of tragedy. The representation of a great misfortune is alone essential to tragedy. But the many different ways in which this is introduced by the poet may be brought under three specific conceptions. It may happen by means of a character of extraordinary wickedness, touching the utmost limits of possibility, who becomes the author of the misfortune; examples of this kind are Richard III., Iago in “Othello,” Shylock in “The Merchant of Venice,” Franz Moor, Phædra of Euripides, Creon in the “Antigone,” &c., &c. Secondly, it may happen through blind fate, *i.e.*, chance and error; a true pattern of this kind is the *Œdipus Rex* of Sophocles, the “Trachiniæ” also; and in general most of the tragedies of the ancients belong to this class. Among modern tragedies, “Romeo and Juliet,” “Tancred” by Voltaire, and “The Bride of Messina,” are examples. Lastly, the misfortune may be brought about by the mere position of the *dramatis personæ* with regard to each other, through their relations; so that there is no need either for a tremendous error or an unheard-of accident, nor yet for a character whose wickedness reaches the limits of human possibility; but characters of ordinary morality, under circumstances such as often occur, are so situated with regard to each other that their position compels them, knowingly and

with their eyes open, to do each other the greatest injury, without any one of them being entirely in the wrong. This last kind of tragedy seems to me far to surpass the other two, for it shows us the greatest misfortune, not as an exception, not as something occasioned by rare circumstances or monstrous characters, but as arising easily and of itself out of the actions and characters of men, indeed almost as essential to them, and thus brings it terribly near to us. In the other two kinds we may look on the prodigious fate and the horrible wickedness as terrible powers which certainly threaten us, but only from afar, which we may very well escape without taking refuge in renunciation. But in the last kind of tragedy we see that those powers which destroy happiness and life are such that their path to us also is open at every moment; we see the greatest sufferings brought about by entanglements that our fate might also partake of, and through actions that perhaps we also are capable of performing, and so could not complain of injustice; then shuddering we feel ourselves already in the midst of hell. This last kind of tragedy is also the most difficult of achievement; for the greatest effect has to be produced in it with the least use of means and causes of movement, merely through the position and distribution of the characters; therefore even in many of the best tragedies this difficulty is evaded. Yet one tragedy may be referred to as a perfect model of this kind, a tragedy which in other respects is far surpassed by more than one work of the same great master; it is “Clavigo.” “Hamlet” belongs to a certain extent to this class, as far as the relation of Hamlet to Laertes and Ophelia is concerned. “Wallenstein” has also this excellence. “Faust” belongs entirely to this class, if we regard the events connected with Gretchen and her brother as the principal action; also the “Cid” of Corneille, only that it lacks the tragic conclusion, while on the contrary the analogous relation of Max to Thecla has it.<sup>61</sup>

§ 52. Now that we have considered all the fine arts in the general way that is suitable to our point of view, beginning with architecture, the peculiar end of which is to elucidate the objectification of will at the lowest grades of its visibility, in which it shows itself as the dumb unconscious tendency of the mass in accordance with laws, and yet already reveals a breach of the unity of will with itself in a conflict between gravity and rigidity — and ending with the consideration of tragedy, which presents to us at the highest grades of the objectification of will this very conflict with itself in terrible magnitude and distinctness; we find that there is still

another fine art which has been excluded from our consideration, and had to be excluded, for in the systematic connection of our exposition there was no fitting place for it — I mean *music*. It stands alone, quite cut off from all the other arts. In it we do not recognise the copy or repetition of any Idea of existence in the world. Yet it is such a great and exceedingly noble art, its effect on the inmost nature of man is so powerful, and it is so entirely and deeply understood by him in his inmost consciousness as a perfectly universal language, the distinctness of which surpasses even that of the perceptible world itself, that we certainly have more to look for in it than an *exercitum arithmeticae occultum nescientis se numerare animi*,<sup>62</sup> which Leibnitz called it. Yet he was perfectly right, as he considered only its immediate external significance, its form. But if it were nothing more, the satisfaction which it affords would be like that which we feel when a sum in arithmetic comes out right, and could not be that intense pleasure with which we see the deepest recesses of our nature find utterance. From our standpoint, therefore, at which the æsthetic effect is the criterion, we must attribute to music a far more serious and deep significance, connected with the inmost nature of the world and our own self, and in reference to which the arithmetical proportions, to which it may be reduced, are related, not as the thing signified, but merely as the sign. That in some sense music must be related to the world as the representation to the thing represented, as the copy to the original, we may conclude from the analogy of the other arts, all of which possess this character, and affect us on the whole in the same way as it does, only that the effect of music is stronger, quicker, more necessary and infallible. Further, its representative relation to the world must be very deep, absolutely true, and strikingly accurate, because it is instantly understood by every one, and has the appearance of a certain infallibility, because its form may be reduced to perfectly definite rules expressed in numbers, from which it cannot free itself without entirely ceasing to be music. Yet the point of comparison between music and the world, the respect in which it stands to the world in the relation of a copy or repetition, is very obscure. Men have practised music in all ages without being able to account for this; content to understand it directly, they renounce all claim to an abstract conception of this direct understanding itself.

I gave my mind entirely up to the impression of music in all its forms, and then returned to reflection and the system of thought expressed in the present work, and thus I arrived at an explanation of the inner nature of



music and of the nature of its imitative relation to the world — which from analogy had necessarily to be presupposed — an explanation which is quite sufficient for myself, and satisfactory to my investigation, and which will doubtless be equally evident to any one who has followed me thus far and has agreed with my view of the world. Yet I recognise the fact that it is essentially impossible to prove this explanation, for it assumes and establishes a relation of music, as idea, to that which from its nature can never be idea, and music will have to be regarded as the copy of an original which can never itself be directly presented as idea. I can therefore do no more than state here, at the conclusion of this third book, which has been principally devoted to the consideration of the arts, the explanation of the marvellous art of music which satisfies myself, and I must leave the acceptance or denial of my view to the effect produced upon each of my readers both by music itself and by the whole system of thought communicated in this work. Moreover, I regard it as necessary, in order to be able to assent with full conviction to the exposition of the significance of music I am about to give, that one should often listen to music with constant reflection upon my theory concerning it, and for this again it is necessary to be very familiar with the whole of my system of thought.

The (Platonic) Ideas are the adequate objectification of will. To excite or suggest the knowledge of these by means of the representation of particular things (for works of art themselves are always representations of particular things) is the end of all the other arts, which can only be attained by a corresponding change in the knowing subject. Thus all these arts objectify the will indirectly only by means of the Ideas; and since our world is nothing but the manifestation of the Ideas in multiplicity, though their entrance into the *principium individuationis* (the form of the knowledge possible for the individual as such), music also, since it passes over the Ideas, is entirely independent of the phenomenal world, ignores it altogether, could to a certain extent exist if there was no world at all, which cannot be said of the other arts. Music is as *direct* an objectification and copy of the whole *will* as the world itself, nay, even as the Ideas, whose multiplied manifestation constitutes the world of individual things. Music is thus by no means like the other arts, the copy of the Ideas, but the *copy of the will itself*, whose objectivity the Ideas are. This is why the effect of music is so much more powerful and penetrating than that of the other arts, for they speak only of shadows, but it speaks of the thing itself. Since, however, it is the same will which objectifies itself both in the Ideas and in music, though in quite different ways, there must be, not indeed a direct likeness, but yet a parallel, an analogy, between music and the Ideas whose manifestation in multiplicity and incompleteness is the visible world. The establishing of this analogy will facilitate, as an illustration, the understanding of this exposition, which is so difficult on account of the obscurity of the subject.

I recognise in the deepest tones of harmony, in the bass, the lowest grades of the objectification of will, unorganised nature, the mass of the planet. It is well known that all the high notes which are easily sounded, and die away more quickly, are produced by the vibration in their vicinity of the deep bass-notes. When, also, the low notes sound, the high notes always sound faintly, and it is a law of harmony that only those high notes may accompany a bass-note which actually already sound along with it of themselves (its *sons harmoniques*) on account of its vibration. This is analogous to the fact that the whole of the bodies and organisations of nature must be regarded as having come into existence through gradual development out of the mass of the planet; this is both their supporter and their source, and the same relation subsists between the high notes and the

bass. There is a limit of depth, below which no sound is audible. This corresponds to the fact that no matter can be perceived without form and quality, *i.e.*, without the manifestation of a force which cannot be further explained, in which an Idea expresses itself, and, more generally, that no matter can be entirely without will. Thus, as a certain pitch is inseparable from the note as such, so a certain grade of the manifestation of will is inseparable from matter. Bass is thus, for us, in harmony what unorganised nature, the crudest mass, upon which all rests, and from which everything originates and develops, is in the world. Now, further, in the whole of the complementary parts which make up the harmony between the bass and the leading voice singing the melody, I recognise the whole gradation of the Ideas in which the will objectifies itself. Those nearer to the bass are the lower of these grades, the still unorganised, but yet manifold phenomenal things; the higher represent to me the world of plants and beasts. The definite intervals of the scale are parallel to the definite grades of the objectification of will, the definite species in nature. The departure from the arithmetical correctness of the intervals, through some temperament, or produced by the key selected, is analogous to the departure of the individual from the type of the species. Indeed, even the impure discords, which give no definite interval, may be compared to the monstrous abortions produced by beasts of two species, or by man and beast. But to all these bass and complementary parts which make up the *harmony* there is wanting that connected progress which belongs only to the high voice singing the melody, and it alone moves quickly and lightly in modulations and runs, while all these others have only a slower movement without a connection in each part for itself. The deep bass moves most slowly, the representative of the crudest mass. Its rising and falling occurs only by large intervals, in thirds, fourths, fifths, never by *one* tone, unless it is a base inverted by double counterpoint. This slow movement is also physically essential to it; a quick run or shake in the low notes cannot even be imagined. The higher complementary parts, which are parallel to animal life, move more quickly, but yet without melodious connection and significant progress. The disconnected course of all the complementary parts, and their regulation by definite laws, is analogous to the fact that in the whole irrational world, from the crystal to the most perfect animal, no being has a connected consciousness of its own which would make its life into a significant whole, and none experiences a succession of mental developments, none perfects

itself by culture, but everything exists always in the same way according to its kind, determined by fixed law. Lastly, in the *melody*, in the high, singing, principal voice leading the whole and progressing with unrestrained freedom, in the unbroken significant connection of *one* thought from beginning to end representing a whole, I recognise the highest grade of the objectification of will, the intellectual life and effort of man. As he alone, because endowed with reason, constantly looks before and after on the path of his actual life and its innumerable possibilities, and so achieves a course of life which is intellectual, and therefore connected as a whole; corresponding to this, I say, the *melody* has significant intentional connection from beginning to end. It records, therefore, the history of the intellectually enlightened will. This will expresses itself in the actual world as the series of its deeds; but melody says more, it records the most secret history of this intellectually-enlightened will, pictures every excitement, every effort, every movement of it, all that which the reason collects under the wide and negative concept of feeling, and which it cannot apprehend further through its abstract concepts. Therefore it has always been said that music is the language of feeling and of passion, as words are the language of reason. Plato explains it as ἡ τῶν μελῶν κινήσις μεμιμημένη, ἐν τοῖς παθήμασιν ὅταν ψυχὴ γίνηται (*melodiarum motus, animi affectus imitans*), De Leg. vii.; and also Aristotle says: δια τι οἱ ρυθμοὶ καὶ τὰ μέλη, φωνηοῦσα, ἡθεσὶν εἴκε (*cur numeri musici et modi, qui voces sunt, moribus similes sese exhibent?*): Probl. c. 19.

Now the nature of man consists in this, that his will strives, is satisfied and strives anew, and so on for ever. Indeed, his happiness and well-being consist simply in the quick transition from wish to satisfaction, and from satisfaction to a new wish. For the absence of satisfaction is suffering, the empty longing for a new wish, languor, *ennui*. And corresponding to this the nature of melody is a constant digression and deviation from the key-note in a thousand ways, not only to the harmonious intervals to the third and dominant, but to every tone, to the dissonant sevenths and to the superfluous degrees; yet there always follows a constant return to the key-note. In all these deviations melody expresses the multifarious efforts of will, but always its satisfaction also by the final return to an harmonious interval, and still more, to the key-note. The composition of melody, the disclosure in it of all the deepest secrets of human willing and feeling, is the work of genius, whose action, which is more apparent here than anywhere

else, lies far from all reflection and conscious intention, and may be called an inspiration. The conception is here, as everywhere in art, unfruitful. The composer reveals the inner nature of the world, and expresses the deepest wisdom in a language which his reason does not understand; as a person under the influence of mesmerism tells things of which he has no conception when he awakes. Therefore in the composer, more than in any other artist, the man is entirely separated and distinct from the artist. Even in the explanation of this wonderful art, the concept shows its poverty and limitation. I shall try, however, to complete our analogy. As quick transition from wish to satisfaction, and from satisfaction to a new wish, is happiness and well-being, so quick melodies without great deviations are cheerful; slow melodies, striking painful discords, and only winding back through many bars to the keynote are, as analogous to the delayed and hardly won satisfaction, sad. The delay of the new excitement of will, languor, could have no other expression than the sustained keynote, the effect of which would soon be unbearable; very monotonous and unmeaning melodies approach this effect. The short intelligible subjects of quick dance-music seem to speak only of easily attained common pleasure. On the other hand, the *Allegro maestoso*, in elaborate movements, long passages, and wide deviations, signifies a greater, nobler effort towards a more distant end, and its final attainment. The *Adagio* speaks of the pain of a great and noble effort which despises all trifling happiness. But how wonderful is the effect of the *minor* and *major*! How astounding that the change of half a tone, the entrance of a minor third instead of a major, at once and inevitably forces upon us an anxious painful feeling, from which again we are just as instantaneously delivered by the major. The *Adagio* lengthens in the minor the expression of the keenest pain, and becomes even a convulsive wail. Dance-music in the minor seems to indicate the failure of that trifling happiness which we ought rather to despise, seems to speak of the attainment of a lower end with toil and trouble. The inexhaustibleness of possible melodies corresponds to the inexhaustibleness of Nature in difference of individuals, physiognomies, and courses of life. The transition from one key to an entirely different one, since it altogether breaks the connection with what went before, is like death, for the individual ends in it; but the will which appeared in this individual lives after him as before him, appearing in other individuals, whose consciousness, however, has no connection with his.

But it must never be forgotten, in the investigation of all these analogies I have pointed out, that music has no direct, but merely an indirect relation to them, for it never expresses the phenomenon, but only the inner nature, the in-itself of all phenomena, the will itself. It does not therefore express this or that particular and definite joy, this or that sorrow, or pain, or horror, or delight, or merriment, or peace of mind; but joy, sorrow, pain, horror, delight, merriment, peace of mind *themselves*, to a certain extent in the abstract, their essential nature, without accessories, and therefore without their motives. Yet we completely understand them in this extracted quintessence. Hence it arises that our imagination is so easily excited by music, and now seeks to give form to that invisible yet actively moved spirit-world which speaks to us directly, and clothe it with flesh and blood, *i.e.*, to embody it in an analogous example. This is the origin of the song with words, and finally of the opera, the text of which should therefore never forsake that subordinate position in order to make itself the chief thing and the music a mere means of expressing it, which is a great misconception and a piece of utter perversity; for music always expresses only the quintessence of life and its events, never these themselves, and therefore their differences do not always affect it. It is precisely this universality, which belongs exclusively to it, together with the greatest determinateness, that gives music the high worth which it has as the panacea for all our woes. Thus, if music is too closely united to the words, and tries to form itself according to the events, it is striving to speak a language which is not its own. No one has kept so free from this mistake as Rossini; therefore his music speaks *its own language* so distinctly and purely that it requires no words, and produces its full effect when rendered by instruments alone.

According to all this, we may regard the phenomenal world, or nature, and music as two different expressions of the same thing, which is therefore itself the only medium of their analogy, so that a knowledge of it is demanded in order to understand that analogy. Music, therefore, if regarded as an expression of the world, is in the highest degree a universal language, which is related indeed to the universality of concepts, much as they are related to the particular things. Its universality, however, is by no means that empty universality of abstraction, but quite of a different kind, and is united with thorough and distinct definiteness. In this respect it resembles

geometrical figures and numbers, which are the universal forms of all possible objects of experience and applicable to them all *a priori*, and yet are not abstract but perceptible and thoroughly determined. All possible efforts, excitements, and manifestations of will, all that goes on in the heart of man and that reason includes in the wide, negative concept of feeling, may be expressed by the infinite number of possible melodies, but always in the universal, in the mere form, without the material, always according to the thing-in-itself, not the phenomenon, the inmost soul, as it were, of the phenomenon, without the body. This deep relation which music has to the true nature of all things also explains the fact that suitable music played to any scene, action, event, or surrounding seems to disclose to us its most secret meaning, and appears as the most accurate and distinct commentary upon it. This is so truly the case, that whoever gives himself up entirely to the impression of a symphony, seems to see all the possible events of life and the world take place in himself, yet if he reflects, he can find no likeness between the music and the things that passed before his mind. For, as we have said, music is distinguished from all the other arts by the fact that it is not a copy of the phenomenon, or, more accurately, the adequate objectivity of will, but is the direct copy of the will itself, and therefore exhibits itself as the metaphysical to everything physical in the world, and as the thing-in-itself to every phenomenon. We might, therefore, just as well call the world embodied music as embodied will; and this is the reason why music makes every picture, and indeed every scene of real life and of the world, at once appear with higher significance, certainly all the more in proportion as its melody is analogous to the inner spirit of the given phenomenon. It rests upon this that we are able to set a poem to music as a song, or a perceptible representation as a pantomime, or both as an opera. Such particular pictures of human life, set to the universal language of music, are never bound to it or correspond to it with stringent necessity; but they stand to it only in the relation of an example chosen at will to a general concept. In the determinateness of the real, they represent that which music expresses in the universality of mere form. For melodies are to a certain extent, like general concepts, an abstraction from the actual. This actual world, then, the world of particular things, affords the object of perception, the special and individual, the particular case, both to the universality of the concepts and to the universality of the melodies. But these two universalities are in a certain respect opposed to each other; for the concepts

contain particulars only as the first forms abstracted from perception, as it were, the separated shell of things; thus they are, strictly speaking, *abstracta*; music, on the other hand, gives the inmost kernel which precedes all forms, or the heart of things. This relation may be very well expressed in the language of the schoolmen by saying the concepts are the *universalia post rem*, but music gives the *universalia ante rem*, and the real world the *universalia in re*. To the universal significance of a melody to which a poem has been set, it is quite possible to set other equally arbitrarily selected examples of the universal expressed in this poem corresponding to the significance of the melody in the same degree. This is why the same composition is suitable to many verses; and this is also what makes the *vaudeville* possible. But that in general a relation is possible between a composition and a perceptible representation rests, as we have said, upon the fact that both are simply different expressions of the same inner being of the world. When now, in the particular case, such a relation is actually given, that is to say, when the composer has been able to express in the universal language of music the emotions of will which constitute the heart of an event, then the melody of the song, the music of the opera, is expressive. But the analogy discovered by the composer between the two must have proceeded from the direct knowledge of the nature of the world unknown to his reason, and must not be an imitation produced with conscious intention by means of conceptions, otherwise the music does not express the inner nature of the will itself, but merely gives an inadequate imitation of its phenomenon. All specially imitative music does this; for example, "The Seasons," by Haydn; also many passages of his "Creation," in which phenomena of the external world are directly imitated; also all battle-pieces. Such music is entirely to be rejected.

The unutterable depth of all music by virtue of which it floats through our consciousness as the vision of a paradise firmly believed in yet ever distant from us, and by which also it is so fully understood and yet so inexplicable, rests on the fact that it restores to us all the emotions of our inmost nature, but entirely without reality and far removed from their pain. So also the seriousness which is essential to it, which excludes the absurd from its direct and peculiar province, is to be explained by the fact that its object is not the idea, with reference to which alone deception and absurdity are possible; but its object is directly the will, and this is essentially the most serious of all things, for it is that on which all depends. How rich in



content and full of significance the language of music is, we see from the repetitions, as well as the *Da capo*, the like of which would be unbearable in works composed in a language of words, but in music are very appropriate and beneficial, for, in order to comprehend it fully, we must hear it twice.

In the whole of this exposition of music I have been trying to bring out clearly that it expresses in a perfectly universal language, in a homogeneous material, mere tones, and with the greatest determinateness and truth, the inner nature, the in-itself of the world, which we think under the concept of will, because will is its most distinct manifestation. Further, according to my view and contention, philosophy is nothing but a complete and accurate repetition or expression of the nature of the world in very general concepts, for only in such is it possible to get a view of that whole nature which will everywhere be adequate and applicable. Thus, whoever has followed me and entered into my mode of thought, will not think it so very paradoxical if I say, that supposing it were possible to give a perfectly accurate, complete explanation of music, extending even to particulars, that is to say, a detailed repetition in concepts of what it expresses, this would also be a sufficient repetition and explanation of the world in concepts, or at least entirely parallel to such an explanation, and thus it would be the true philosophy. Consequently the saying of Leibnitz quoted above, which is quite accurate from a lower standpoint, may be parodied in the following way to suit our higher view of music: *Musica est exercitium metaphysices occultum nescientis se philosophari animi*; for *scire*, to know, always means to have fixed in abstract concepts. But further, on account of the truth of the saying of Leibnitz, which is confirmed in various ways, music, regarded apart from its æsthetic or inner significance, and looked at merely externally and purely empirically, is simply the means of comprehending directly and in the concrete large numbers and complex relations of numbers, which otherwise we could only know indirectly by fixing them in concepts. Therefore by the union of these two very different but correct views of music we may arrive at a conception of the possibility of a philosophy of number, such as that of Pythagoras and of the Chinese in Y-King, and then interpret in this sense the saying of the Pythagoreans which Sextus Empiricus quotes (adv. Math., L. vii.): τῷ ἀριθμῷ δε τὰ παντ' ἐπεοικεν (*numero cuncta assimilantur*). And if, finally, we apply this view to the interpretation of harmony and melody given above, we shall find that a

mere moral philosophy without an explanation of Nature, such as Socrates wanted to introduce, is precisely analogous to a mere melody without harmony, which Rousseau exclusively desired; and, in opposition to this mere physics and metaphysics without ethics, will correspond to mere harmony without melody. Allow me to add to these cursory observations a few more remarks concerning the analogy of music with the phenomenal world. We found in the second book that the highest grade of the objectification of will, man, could not appear alone and isolated, but presupposed the grades below him, as these again presupposed the grades lower still. In the same way music, which directly objectifies the will, just as the world does, is complete only in full harmony. In order to achieve its full effect, the high leading voice of the melody requires the accompaniment of all the other voices, even to the lowest bass, which is to be regarded as the origin of all. The melody itself enters as an integral part into the harmony, as the harmony enters into it, and only thus, in the full harmonious whole, music expresses what it aims at expressing. Thus also the one will outside of time finds its full objectification only in the complete union of all the steps which reveal its nature in the innumerable ascending grades of distinctness. The following analogy is also very remarkable. We have seen in the preceding book that notwithstanding the self-adaptation of all the phenomena of will to each other as regards their species, which constitutes their teleological aspect, there yet remains an unceasing conflict between those phenomena as individuals, which is visible at every grade, and makes the world a constant battle-field of all those manifestations of one and the same will, whose inner contradiction with itself becomes visible through it. In music also there is something corresponding to this. A complete, pure, harmonious system of tones is not only physically but arithmetically impossible. The numbers themselves by which the tones are expressed have inextricable irrationality. There is no scale in which, when it is counted, every fifth will be related to the keynote as 2 to 3, every major third as 4 to 5, every minor third as 5 to 6, and so on. For if they are correctly related to the keynote, they can no longer be so to each other; because, for example, the fifth must be the minor third to the third, &c. For the notes of the scale may be compared to actors who must play now one part, now another. Therefore a perfectly accurate system of music cannot even be thought, far less worked out; and on this account all possible music deviates from perfect purity; it can only conceal the discords essential to it

by dividing them among all the notes, *i.e.*, by temperament. On this see Chladni's "Akustik," § 30, and his "Kurze Uebersicht der Schall- und Klanglehre."<sup>63</sup>

I might still have something to say about the way in which music is perceived, namely, in and through time alone, with absolute exclusion of space, and also apart from the influence of the knowledge of causality, thus without understanding; for the tones make the æsthetic impression as effect, and without obliging us to go back to their causes, as in the case of perception. I do not wish, however, to lengthen this discussion, as I have perhaps already gone too much into detail with regard to some things in this Third Book, or have dwelt too much on particulars. But my aim made it necessary, and it will be the less disapproved if the importance and high worth of art, which is seldom sufficiently recognised, be kept in mind. For if, according to our view, the whole visible world is just the objectification, the mirror, of the will, conducting it to knowledge of itself, and, indeed, as we shall soon see, to the possibility of its deliverance; and if, at the same time, the world as idea, if we regard it in isolation, and, freeing ourselves from all volition, allow it alone to take possession of our consciousness, is the most joy-giving and the only innocent side of life; we must regard art as the higher ascent, the more complete development of all this, for it achieves essentially just what is achieved by the visible world itself, only with greater concentration, more perfectly, with intention and intelligence, and therefore may be called, in the full significance of the word, the flower of life. If the whole world as idea is only the visibility of will, the work of art is to render this visibility more distinct. It is the *camera obscura* which shows the objects more purely, and enables us to survey them and comprehend them better. It is the play within the play, the stage upon the stage in "Hamlet."

The pleasure we receive from all beauty, the consolation which art affords, the enthusiasm of the artist, which enables him to forget the cares of life, — the latter an advantage of the man of genius over other men, which alone repays him for the suffering that increases in proportion to the clearness of consciousness, and for the desert loneliness among men of a different race, — all this rests on the fact that the in-itself of life, the will, existence itself, is, as we shall see farther on, a constant sorrow, partly miserable, partly terrible; while, on the contrary, as idea alone, purely contemplated, or copied by art, free from pain, it presents to us a drama full

of significance. This purely knowable side of the world, and the copy of it in any art, is the element of the artist. He is chained to the contemplation of the play, the objectification of will; he remains beside it, does not get tired of contemplating it and representing it in copies; and meanwhile he bears himself the cost of the production of that play, *i.e.*, he himself is the will which objectifies itself, and remains in constant suffering. That pure, true, and deep knowledge of the inner nature of the world becomes now for him an end in itself: he stops there. Therefore it does not become to him a quieter of the will, as, we shall see in the next book, it does in the case of the saint who has attained to resignation; it does not deliver him for ever from life, but only at moments, and is therefore not for him a path out of life, but only an occasional consolation in it, till his power, increased by this contemplation and at last tired of the play, lays hold on the real. The St. Cecilia of Raphael may be regarded as a representation of this transition. To the real, then, we now turn in the following book.

## **Fourth Book. The World As Will.**

## **Second Aspect. The Assertion And Denial Of The Will To Live, When Self-Consciousness Has Been Attained.**

Tempore quo cognitio simul advenit, amor e medio supersurrexit. —  
*Oupnek'hat,*

*Studio Anquetil Duperron, vol. ii. p. 216.*

§ 53. The last part of our work presents itself as the most serious, for it relates to the action of men, the matter which concerns every one directly and can be foreign or indifferent to none. It is indeed so characteristic of the nature of man to relate everything else to action, that in every systematic investigation he will always treat the part that has to do with action as the result or outcome of the whole work, so far, at least, as it interests him, and will therefore give his most serious attention to this part, even if to no other. In this respect the following part of our work would, in ordinary language, be called practical philosophy, in opposition to the theoretical, which has occupied us hitherto. But, in my opinion, all philosophy is theoretical, because it is essential to it that it should retain a purely contemplative attitude, and should investigate, not prescribe. To become, on the contrary, practical, to guide conduct, to transform character, are old claims, which with fuller insight it ought finally to give up. For here, where the worth or worthlessness of an existence, where salvation or damnation are in question, the dead conceptions of philosophy do not decide the matter, but the inmost nature of man himself, the Dæmon that guides him and that has not chosen him, but been chosen by him, as Plato would say; his intelligible character, as Kant expresses himself. Virtue cannot be taught any more than genius; indeed, for it the concept is just as unfruitful as it is in art, and in both cases can only be used as an instrument. It would, therefore, be just as absurd to expect that our moral systems and ethics will produce virtuous, noble, and holy men, as that our æsthetics will produce poets, painters, and musicians.

Philosophy can never do more than interpret and explain what is given. It can only bring to distinct abstract knowledge of the reason the nature of the world which in the concrete, that is, as feeling, expresses itself comprehensibly to every one. This, however, it does in every possible reference and from every point of view. Now, as this attempt has been made from other points of view in the three preceding books with the generality that is proper to philosophy, in this book the action of men will be

considered in the same way; and this side of the world might, indeed, be considered the most important of all, not only subjectively, as I remarked above, but also objectively. In considering it I shall faithfully adhere to the method I have hitherto followed, and shall support myself by presupposing all that has already been advanced. There is, indeed, just one thought which forms the content of this whole work. I have endeavoured to work it out in all other spheres, and I shall now do so with regard to human action. I shall then have done all that is in my power to communicate it as fully as possible.

The given point of view, and the method of treatment announced, are themselves sufficient to indicate that in this ethical book no precepts, no doctrine of duty must be looked for; still less will a general moral principle be given, an universal receipt, as it were, for the production of all the virtues. Neither shall we talk of an “*absolute ought*,” for this contains a contradiction, as is explained in the Appendix; nor yet of a “*law of freedom*,” which is in the same position. In general, we shall not speak at all of “ought,” for this is how one speaks to children and to nations still in their childhood, but not to those who have appropriated all the culture of a full-grown age. It is a palpable contradiction to call the will free, and yet to prescribe laws for it according to which it ought to will. “Ought to will!” — wooden iron! But it follows from the point of view of our system that the will is not only free, but almighty. From it proceeds not only its action, but also its world; and as the will is, so does its action and its world become. Both are the self-knowledge of the will and nothing more. The will determines itself, and at the same time both its action and its world; for besides it there is nothing, and these are the will itself. Only thus is the will truly autonomous, and from every other point of view it is heteronomous. Our philosophical endeavours can only extend to exhibiting and explaining the action of men in its inner nature and content, the various and even opposite maxims, whose living expression it is. This we shall do in connection with the preceding portion of our work, and in precisely the same way as we have hitherto explained the other phenomena of the world, and have sought to bring their inmost nature to distinct abstract knowledge. Our philosophy will maintain the same *immanency* in the case of action, as in all that we have hitherto considered. Notwithstanding Kant’s great doctrine, it will not attempt to use the forms of the phenomenon, the universal expression of which is the principle of sufficient reason, as a

leaping-pole to jump over the phenomenon itself, which alone gives meaning to these forms, and land in the boundless sphere of empty fictions. But this actual world of experience, in which we are, and which is in us, remains both the material and the limits of our consideration: a world which is so rich in content that even the most searching investigation of which the human mind is capable could not exhaust it. Since then the real world of experience will never fail to afford material and reality to our ethical investigations, any more than to those we have already conducted, nothing will be less needful than to take refuge in negative conceptions void of content, and then somehow or other make even ourselves believe that we are saying something when we speak with lifted eyebrows of “absolutes,” “infinities,” “supersensibles,” and whatever other mere negations of this sort there may be (οὐδὲν ἐστὶ, ἢ τὸ τῆς στέρησεως ὄνομα, μετὰ ἀμυδρὰς ἐπινοίας — *nihil est, nisi negationis nomen, cum obscura notione.* — Jul. or. 5), instead of which it would be shorter to say at once cloud-cuckoo-town (νεφέλοκοκκυγία): we shall not require to serve up covered empty dishes of this kind. Finally, we shall not in this book, any more than in those which have preceded it, narrate histories and give them out as philosophy. For we are of opinion that whoever supposes that the inner nature of the world can in any way, however plausibly disguised, be *historically* comprehended, is infinitely far from a philosophical knowledge of the world. Yet this is what is supposed whenever a “becoming,” or a “having become,” or an “about to become” enters into a theory of the nature of the world, whenever an earlier or a later has the least place in it; and in this way a beginning and an end of the world, and the path it pursues between them, is, either openly or disguisedly, both sought for and found, and the individual who philosophises even recognises his own position on that path. Such *historical philosophising* in most cases produces a cosmogony which admits of many varieties, or else a system of emanations, a doctrine of successive disengagements from one being; or, finally, driven in despair from fruitless efforts upon these paths to the last path of all, it takes refuge in the converse doctrine of a constant becoming, springing up, arising, coming to light out of darkness, out of the hidden ground source or groundlessness, or whatever other nonsense of this sort there may be, which is most shortly disposed of with the remark that at the present moment a whole eternity, *i.e.*, an endless time, has already passed, so that everything that can or ought to become must have already done so. For all such



historical philosophy, whatever airs it may give itself, regards *time* just as if Kant had never lived, as a quality of the thing-in-itself, and thus stops at that which Kant calls the phenomenon in opposition to the thing-in-itself; which Plato calls the becoming and never being, in opposition to the being and never becoming; and which, finally, is called in the Indian philosophy the web of Mâyâ. It is just the knowledge which belongs to the principle of sufficient reason, with which no one can penetrate to the inner nature of things, but endlessly pursues phenomena, moving without end or aim, like a squirrel in its wheel, till, tired out at last, he stops at some point or other arbitrarily chosen, and now desires to extort respect for it from others also. The genuine philosophical consideration of the world, *i.e.*, the consideration that affords us a knowledge of its inner nature, and so leads us beyond the phenomenon, is precisely that method which does not concern itself with the whence, the whither, and the why of the world, but always and everywhere demands only the what; the method which considers things not according to any relation, not as becoming and passing away, in short, not according to one of the four forms of the principle of sufficient reason; but, on the contrary, just that which remains when all that belongs to the form of knowledge proper to that principle has been abstracted, the inner nature of the world, which always appears unchanged in all the relations, but is itself never subject to them, and has the Ideas of the world as its object or material. From such knowledge as this proceeds philosophy, like art, and also, as we shall see in this book, that disposition of mind which alone leads to true holiness and to deliverance from the world.

§ 54. The first three books will, it is hoped, have conveyed the distinct and certain knowledge that the world as idea is the complete mirror of the will, in which it knows itself in ascending grades of distinctness and completeness, the highest of which is man, whose nature, however, receives its complete expression only through the whole connected series of his actions. The self-conscious connection of these actions is made possible by reason, which enables a man constantly to survey the whole in the abstract.

The will, which, considered purely in itself, is without knowledge, and is merely a blind incessant impulse, as we see it appear in unorganised and vegetable nature and their laws, and also in the vegetative part of our own life, receives through the addition of the world as idea, which is developed in subjection to it, the knowledge of its own willing and of what it is that it wills. And this is nothing else than the world as idea, life, precisely as it

exists. Therefore we called the phenomenal world the mirror of the will, its objectivity. And since what the will wills is always life, just because life is nothing but the representation of that willing for the idea, it is all one and a mere pleonism if, instead of simply saying “the will,” we say “the will to live.”

Will is the thing-in-itself, the inner content, the essence of the world. Life, the visible world, the phenomenon, is only the mirror of the will. Therefore life accompanies the will as inseparably as the shadow accompanies the body; and if will exists, so will life, the world, exist. Life is, therefore, assured to the will to live; and so long as we are filled with the will to live we need have no fear for our existence, even in the presence of death. It is true we see the individual come into being and pass away; but the individual is only phenomenal, exists only for the knowledge which is bound to the principle of sufficient reason, to the *principio individuationis*. Certainly, for this kind of knowledge, the individual receives his life as a gift, rises out of nothing, then suffers the loss of this gift through death, and returns again to nothing. But we desire to consider life philosophically, *i.e.*, according to its Ideas, and in this sphere we shall find that neither the will, the thing-in-itself in all phenomena, nor the subject of knowing, that which perceives all phenomena, is affected at all by birth or by death. Birth and death belong merely to the phenomenon of will, thus to life; and it is essential to this to exhibit itself in individuals which come into being and pass away, as fleeting phenomena appearing in the form of time — phenomena of that which in itself knows no time, but must exhibit itself precisely in the way we have said, in order to objectify its peculiar nature. Birth and death belong in like manner to life, and hold the balance as reciprocal conditions of each other, or, if one likes the expression, as poles of the whole phenomenon of life. The wisest of all mythologies, the Indian, expresses this by giving to the very god that symbolises destruction, death (as Brahma, the most sinful and the lowest god of the Trimurti, symbolises generation, coming into being, and Vishnu maintaining or preserving), by giving, I say, to Siva as an attribute not only the necklace of skulls, but also the lingam, the symbol of generation, which appears here as the counterpart of death, thus signifying that generation and death are essentially correlatives, which reciprocally neutralise and annul each other. It was precisely the same sentiment that led the Greeks and Romans to adorn their costly sarcophagi, just as we see them now, with feasts, dances, marriages,

the chase, fights of wild beasts, bacchanalians, &c.; thus with representations of the full ardour of life, which they place before us not only in such revels and sports, but also in sensual groups, and even go so far as to represent the sexual intercourse of satyrs and goats. Clearly the aim was to point in the most impressive manner away from the death of the mourned individual to the immortal life of nature, and thus to indicate, though without abstract knowledge, that the whole of nature is the phenomenon and also the fulfilment of the will to live. The form of this phenomenon is time, space, and causality, and by means of these individuation, which carries with it that the individual must come into being and pass away. But this no more affects the will to live, of whose manifestation the individual is, as it were, only a particular example or specimen, than the death of an individual injures the whole of nature. For it is not the individual, but only the species that Nature cares for, and for the preservation of which she so earnestly strives, providing for it with the utmost prodigality through the vast surplus of the seed and the great strength of the fructifying impulse. The individual, on the contrary, neither has nor can have any value for Nature, for her kingdom is infinite time and infinite space, and in these infinite multiplicity of possible individuals. Therefore she is always ready to let the individual fall, and hence it is not only exposed to destruction in a thousand ways by the most insignificant accident, but originally destined for it, and conducted towards it by Nature herself from the moment it has served its end of maintaining the species. Thus Nature naïvely expresses the great truth that only the Ideas, not the individuals, have, properly speaking, reality, *i.e.*, are complete objectivity of the will. Now, since man is Nature itself, and indeed Nature at the highest grade of its self-consciousness, but Nature is only the objectified will to live, the man who has comprehended and retained this point of view may well console himself, when contemplating his own death and that of his friends, by turning his eyes to the immortal life of Nature, which he himself is. This is the significance of Siva with the lingam, and of those ancient sarcophagi with their pictures of glowing life, which say to the mourning beholder, *Natura non contristatur*.

That generation and death are to be regarded as something belonging to life, and essential to this phenomenon of the will, arises also from the fact that they both exhibit themselves merely as higher powers of the expression of that in which all the rest of life consists. This is through and through nothing else than the constant change of matter in the fixed

permanence of form; and this is what constitutes the transitoriness of the individual and the permanence of the species. Constant nourishment and renewal differ from generation only in degree, and constant excretion differs only in degree from death. The first shows itself most simply and distinctly in the plant. The plant is throughout a constant recurrence of the same impulse of its simplest fibre, which groups itself into leaf and branch. It is a systematic aggregate of similar plants supporting each other, whose constant reproduction is its single impulse. It ascends to the full satisfaction of this tendency through the grades of its metamorphosis, finally to the blossom and fruit, that compendium of its existence and effort in which it now attains, by a short way, to that which is its single aim, and at a stroke produces a thousand-fold what, up till then, it effected only in the particular case — the repetition of itself. Its earlier growth and development stands in the same relation to its fruit as writing stands to printing. With the animal it is clearly quite the same. The process of nourishing is a constant reproduction; the process of reproduction is a higher power of nourishing. The pleasure which accompanies the act of procreation is a higher power of the agreeableness of the sense of life. On the other hand, excretion, the constant exhalation and throwing off of matter, is the same as that which, at a higher power, death, is the contrary of generation. And if here we are always content to retain the form without lamenting the discarded matter, we ought to bear ourselves in the same way if in death the same thing happens, in a higher degree and to the whole, as takes place daily and hourly in a partial manner in excretion: if we are indifferent to the one, we ought not to shrink from the other. Therefore, from this point of view, it appears just as perverse to desire the continuance of an individuality which will be replaced by other individuals as to desire the permanence of matter which will be replaced by other matter. It appears just as foolish to embalm the body as it would be carefully to preserve its excrement. As to the individual consciousness which is bound to the individual body, it is absolutely interrupted every day by sleep. Deep sleep is, while it lasts, in no way different from death, into which, in fact, it often passes continuously, as in the case of freezing to death. It differs only with regard to the future, the awaking. Death is a sleep in which individuality is forgotten; everything else wakes again, or rather never slept.<sup>64</sup>

Above all things, we must distinctly recognise that the form of the phenomenon of will, the form of life or reality, is really only the *present*,

not the future nor the past. The latter are only in the conception, exist only in the connection of knowledge, so far as it follows the principle of sufficient reason. No man has ever lived in the past, and none will live in the future; the *present* alone is the form of all life, and is its sure possession which can never be taken from it. The present always exists, together with its content. Both remain fixed without wavering, like the rainbow on the waterfall. For life is firm and certain in the will, and the present is firm and certain in life. Certainly, if we reflect on the thousands of years that are past, of the millions of men who lived in them, we ask, What were they? what has become of them? But, on the other hand, we need only recall our own past life and renew its scenes vividly in our imagination, and then ask again, What was all this? what has become of it? As it is with it, so is it with the life of those millions. Or should we suppose that the past could receive a new existence because it has been sealed by death? Our own past, the most recent part of it, and even yesterday, is now no more than an empty dream of the fancy, and such is the past of all those millions. What was? What is? The will, of which life is the mirror, and knowledge free from will, which beholds it clearly in that mirror. Whoever has not yet recognised this, or will not recognise it, must add to the question asked above as to the fate of past generations of men this question also: Why he, the questioner, is so fortunate as to be conscious of this costly, fleeting, and only real present, while those hundreds of generations of men, even the heroes and philosophers of those ages, have sunk into the night of the past, and have thus become nothing; but he, his insignificant ego, actually exists? or more shortly, though somewhat strangely: Why this now, his now, *is* just now and *was* not long ago? Since he asks such strange questions, he regards his existence and his time as independent of each other, and the former as projected into the latter. He assumes indeed two nows — one which belongs to the object, the other which belongs to the subject, and marvels at the happy accident of their coincidence. But in truth, only the point of contact of the object, the form of which is time, with the subject, which has no mode of the principle of sufficient reason as its form, constitutes the present, as is shown in the essay on the principle of sufficient reason. Now all object is the will so far as it has become idea, and the subject is the necessary correlative of the object. But real objects are only in the present; the past and the future contain only conceptions and fancies, therefore the present is the essential form of the phenomenon of the will, and inseparable

from it. The present alone is that which always exists and remains immovable. That which, empirically apprehended, is the most transitory of all, presents itself to the metaphysical vision, which sees beyond the forms of empirical perception, as that which alone endures, the *nunc stans* of the schoolmen. The source and the supporter of its content is the will to live or the thing-in-itself, — which we are. That which constantly becomes and passes away, in that it has either already been or is still to be, belongs to the phenomenon as such on account of its forms, which make coming into being and passing away possible. Accordingly, we must think: — *Quid fuit?* — *Quod est. Quid erit?* — *Quod fuit*; and take it in the strict meaning of the words; thus understand not *simile* but *idem*. For life is certain to the will, and the present is certain to life. Thus it is that every one can say, “I am once for all lord of the present, and through all eternity it will accompany me as my shadow: therefore I do not wonder where it has come from, and how it happens that it is exactly now.” We might compare time to a constantly revolving sphere; the half that was always sinking would be the past, that which was always rising would be the future; but the indivisible point at the top, where the tangent touches, would be the extensionless present. As the tangent does not revolve with the sphere, neither does the present, the point of contact of the object, the form of which is time, with the subject, which has no form, because it does not belong to the knowable, but is the condition of all that is knowable. Or, time is like an unceasing stream, and the present a rock on which the stream breaks itself, but does not carry away with it. The will, as thing-in-itself, is just as little subordinate to the principle of sufficient reason as the subject of knowledge, which, finally, in a certain regard is the will itself or its expression. And as life, its own phenomenon, is assured to the will, so is the present, the single form of real life. Therefore we have not to investigate the past before life, nor the future after death: we have rather to know the *present*, the one form in which the will manifests itself.<sup>65</sup> It will not escape from the will, but neither will the will escape from it. If, therefore, life as it is satisfies, whoever affirms it in every way may regard it with confidence as endless, and banish the fear of death as an illusion that inspires him with the foolish dread that he can ever be robbed of the present, and foreshadows a time in which there is no present; an illusion with regard to time analogous to the illusion with regard to space through which every one imagines the position on the globe he happens to occupy as above, and all

other places as below. In the same way every one links the present to his own individuality, and imagines that all present is extinguished with it; that then past and future might be without a present. But as on the surface of the globe every place is above, so the form of all life is the *present*, and to fear death because it robs us of the present, is just as foolish as to fear that we may slip down from the round globe upon which we have now the good fortune to occupy the upper surface. The present is the form essential to the objectification of the will. It cuts time, which extends infinitely in both directions, as a mathematical point, and stands immovably fixed, like an everlasting mid-day with no cool evening, as the actual sun burns without intermission, while it only seems to sink into the bosom of night. Therefore, if a man fears death as his annihilation, it is just as if he were to think that the sun cries out at evening, "Woe is me! for I go down into eternal night."<sup>66</sup> And conversely, whoever is oppressed with the burden of life, whoever desires life and affirms it, but abhors its torments, and especially can no longer endure the hard lot that has fallen to himself, such a man has no deliverance to hope for from death, and cannot right himself by suicide. The cool shades of Orcus allure him only with the false appearance of a haven of rest. The earth rolls from day into night, the individual dies, but the sun itself shines without intermission, an eternal noon. Life is assured to the will to live; the form of life is an endless present, no matter how the individuals, the phenomena of the Idea, arise and pass away in time, like fleeting dreams. Thus even already suicide appears to us as a vain and therefore a foolish action; when we have carried our investigation further it will appear to us in a still less favourable light.

Dogmas change and our knowledge is deceptive; but Nature never errs, her procedure is sure, and she never conceals it. Everything is entirely in Nature, and Nature is entire in everything. She has her centre in every brute. It has surely found its way into existence, and it will surely find its way out of it. In the meantime it lives, fearless and without care, in the presence of annihilation, supported by the consciousness that it is Nature herself, and imperishable as she is. Man alone carries about with him, in abstract conceptions, the certainty of his death; yet this can only trouble him very rarely, when for a single moment some occasion calls it up to his imagination. Against the mighty voice of Nature reflection can do little. In man, as in the brute which does not think, the certainty that springs from his inmost consciousness that he himself is Nature, the world, predominates as

a lasting frame of mind; and on account of this no man is observably disturbed by the thought of certain and never-distant death, but lives as if he would live for ever. Indeed this is carried so far that we may say that no one has really a lively conviction of the certainty of his death, otherwise there would be no great difference between his frame of mind and that of a condemned criminal. Every one recognises that certainty in the abstract and theoretically, but lays it aside like other theoretical truths which are not applicable to practice, without really receiving it into his living consciousness. Whoever carefully considers this peculiarity of human character will see that the psychological explanations of it, from habit and acquiescence in the inevitable, are by no means sufficient, and that its true explanation lies in the deeper ground we have given. The same fact explains the circumstance that at all times and among all peoples dogmas of some kind or other relating to the continued existence of the individual after death arise, and are believed in, although the evidence in support of them must always be very insufficient, and the evidence against them forcible and varied. But, in truth, this really requires no proof, but is recognised by the healthy understanding as a fact, and confirmed by the confidence that Nature never lies any more than she errs, but openly exhibits and naïvely expresses her action and her nature, while only we ourselves obscure it by our folly, in order to establish what is agreeable to our limited point of view.

But this that we have brought to clearest consciousness, that although the particular phenomenon of the will has a temporal beginning and end, the will itself as thing-in-itself is not affected by it, nor yet the correlative of all object, the knowing but never known subject, and that life is always assured to the will to live — this is not to be numbered with the doctrines of immortality. For permanence has no more to do with the will or with the pure subject of knowing, the eternal eye of the world, than transitoriness, for both are predicates that are only valid in time, and the will and the pure subject of knowing lie outside time. Therefore the egoism of the individual (this particular phenomenon of will enlightened by the subject of knowing) can extract as little nourishment and consolation for his wish to endure through endless time from the view we have expressed, as he could from the knowledge that after his death the rest of the eternal world would continue to exist, which is just the expression of the same view considered objectively, and therefore temporally. For every individual is transitory only as phenomenon, but as thing-in-itself is timeless, and therefore endless. But



it is also only as phenomenon that an individual is distinguished from the other things of the world; as thing-in-itself he is the will which appears in all, and death destroys the illusion which separates his consciousness from that of the rest: this is immortality. His exemption from death, which belongs to him only as thing-in-itself, is for the phenomenon one with the immortality of the rest of the external world.<sup>67</sup> Hence also, it arises that although the inward and merely felt consciousness of that which we have raised to distinct knowledge is indeed, as we have said, sufficient to prevent the thought of death from poisoning the life of the rational being, because this consciousness is the basis of that love of life which maintains everything living, and enables it to live on at ease as if there were no such thing as death, so long as it is face to face with life, and turns its attention to it, yet it will not prevent the individual from being seized with the fear of death, and trying in every way to escape from it, when it presents itself to him in some particular real case, or even only in his imagination, and he is compelled to contemplate it. For just as, so long as his knowledge was directed to life as such, he was obliged to recognise immortality in it, so when death is brought before his eyes, he is obliged to recognise it as that which it is, the temporal end of the particular temporal phenomenon. What we fear in death is by no means the pain, for it lies clearly on this side of death, and, moreover, we often take refuge in death from pain, just as, on the contrary, we sometimes endure the most fearful suffering merely to escape death for a while, although it would be quick and easy. Thus we distinguish pain and death as two entirely different evils. What we fear in death is the end of the individual, which it openly professes itself to be, and since the individual is a particular objectification of the will to live itself, its whole nature struggles against death. Now when feeling thus exposes us helpless, reason can yet step in and for the most part overcome its adverse influence, for it places us upon a higher standpoint, from which we no longer contemplate the particular but the whole. Therefore a philosophical knowledge of the nature of the world, which extended to the point we have now reached in this work but went no farther, could even at this point of view overcome the terror of death in the measure in which reflection had power over direct feeling in the given individual. A man who had thoroughly assimilated the truths we have already advanced, but had not come to know, either from his own experience or from a deeper insight, that constant suffering is essential to life, who found satisfaction and all that he

wished in life, and could calmly and deliberately desire that his life, as he had hitherto known it, should endure for ever or repeat itself ever anew, and whose love of life was so great that he willingly and gladly accepted all the hardships and miseries to which it is exposed for the sake of its pleasures, — such a man would stand “with firm-knit bones on the well-rounded, enduring earth,” and would have nothing to fear. Armed with the knowledge we have given him, he would await with indifference the death that hastens towards him on the wings of time. He would regard it as a false illusion, an impotent spectre, which frightens the weak but has no power over him who knows that he is himself the will of which the whole world is the objectification or copy, and that therefore he is always certain of life, and also of the present, the peculiar and only form of the phenomenon of the will. He could not be terrified by an endless past or future in which he would not be, for this he would regard as the empty delusion of the web of *Mâya*. Thus he would no more fear death than the sun fears the night. In the “*Bhagavad-Gita*” Krishna thus raises the mind of his young pupil Arjuna, when, seized with compunction at the sight of the arrayed hosts (somewhat as Xerxes was), he loses heart and desires to give up the battle in order to avert the death of so many thousands. Krishna leads him to this point of view, and the death of those thousands can no longer restrain him; he gives the sign for battle. This point of view is also expressed by Goethe’s Prometheus, especially when he says —

“Here sit I, form mankind  
In my own image,  
A race like to myself,  
To suffer and to weep,  
Rejoice, enjoy,  
And heed thee not,  
As I.”

The philosophy of Bruno and that of Spinoza might also lead any one to this point of view whose conviction was not shaken and weakened by their errors and imperfections. That of Bruno has properly no ethical theory at all, and the theory contained in the philosophy of Spinoza does not really proceed from the inner nature of his doctrine, but is merely tacked on to it by means of weak and palpable sophisms, though in itself it is praiseworthy and beautiful. Finally, there are many men who would occupy this point of view if their knowledge kept pace with their will, *i.e.*, if, free from all

illusion, they were in a position to become clearly and distinctly themselves. For this is, for knowledge, the point of view of the complete *assertion of the will to live*.

That the will asserts itself means, that while in its objectivity, *i.e.*, in the world and life, its own nature is completely and distinctly given it as idea, this knowledge does not by any means check its volition; but this very life, so known, is willed as such by the will with knowledge, consciously and deliberately, just as up to this point it willed it as blind effort without knowledge. The opposite of this, the *denial of the will to live*, shows itself if, when that knowledge is attained, volition ends, because the particular known phenomena no longer act as *motives* for willing, but the whole knowledge of the nature of the world, the mirror of the will, which has grown up through the comprehension of the *Ideas*, becomes a *quieter* of the will; and thus free, the will suppresses itself. These quite unfamiliar conceptions are difficult to understand when expressed in this general way, but it is hoped they will become clear through the exposition we shall give presently, with special reference to action, of the phenomena in which, on the one hand, the assertion in its different grades, and, on the other hand, the denial, expresses itself. For both proceed from knowledge, yet not from abstract knowledge, which is expressed in words, but from living knowledge, which is expressed in action and behaviour alone, and is independent of the dogmas which at the same time occupy the reason as abstract knowledge. To exhibit them both, and bring them to distinct knowledge of the reason, can alone be my aim, and not to prescribe or recommend the one or the other, which would be as foolish as it would be useless; for the will in itself is absolutely free and entirely self-determining, and for it there is no law. But before we go on to the exposition referred to, we must first explain and more exactly define this *freedom* and its relation to necessity. And also, with regard to the life, the assertion and denial of which is our problem, we must insert a few general remarks connected with the will and its objects. Through all this we shall facilitate the apprehension of the inmost nature of the knowledge we are aiming at, of the ethical significance of methods of action.

Since, as has been said, this whole work is only the unfolding of a single thought, it follows that all its parts have the most intimate connection with each other. Not merely that each part stands in a necessary relation to what immediately precedes it, and only presupposes a recollection of that by the

reader, as is the case with all philosophies which consist merely of a series of inferences, but that every part of the whole work is related to every other part and presupposes it. It is, therefore, necessary that the reader should remember not only what has just been said, but all the earlier parts of the work, so that he may be able to connect them with what he is reading, however much may have intervened. Plato also makes this demand upon his readers through the intricate digressions of his dialogues, in which he only returns to the leading thought after long episodes, which illustrate and explain it. In our case this demand is necessary; for the breaking up of our one single thought into its many aspects is indeed the only means of imparting it, though not essential to the thought itself, but merely an artificial form. The division of four principal points of view into four books, and the most careful bringing together of all that is related and homogeneous, assists the exposition and its comprehension; yet the material absolutely does not admit of an advance in a straight line, such as the progress of history, but necessitates a more complicated exposition. This again makes a repeated study of the book necessary, for thus alone does the connection of all the parts with each other become distinct, and only then do they all mutually throw light upon each other and become quite clear.<sup>68</sup>

§ 55. That the will as such is *free*, follows from the fact that, according to our view, it is the thing-in-itself, the content of all phenomena. The phenomena, on the other hand, we recognise as absolutely subordinate to the principle of sufficient reason in its four forms. And since we know that necessity is throughout identical with following from given grounds, and that these are convertible conceptions, all that belongs to the phenomenon, *i.e.*, all that is object for the knowing subject as individual, is in one aspect reason, and in another aspect consequent; and in this last capacity is determined with absolute necessity, and can, therefore, in no respect be other than it is. The whole content of Nature, the collective sum of its phenomena, is thus throughout necessary, and the necessity of every part, of every phenomenon, of every event, can always be proved, because it must be possible to find the reason from which it follows as a consequent. This admits of no exception: it follows from the unrestricted validity of the principle of sufficient reason. In another aspect, however, the same world is for us, in all its phenomena, objectivity of will. And the will, since it is not phenomenon, is not idea or object, but thing-in-itself, and is not subordinate to the principle of sufficient reason, the form of all object; thus is not

determined as a consequent through any reason, knows no necessity, *i.e.*, is *free*. The concept of freedom is thus properly a negative concept, for its content is merely the denial of necessity, *i.e.*, the relation of consequent to its reason, according to the principle of sufficient reason. Now here lies before us in its most distinct form the solution of that great contradiction, the union of freedom with necessity, which has so often been discussed in recent times, yet, so far as I know, never clearly and adequately. Everything is as phenomenon, as object, absolutely necessary: *in itself* it is will, which is perfectly free to all eternity. The phenomenon, the object, is necessarily and unalterably determined in that chain of causes and effects which admits of no interruption. But the existence in general of this object, and its specific nature, *i.e.*, the Idea which reveals itself in it, or, in other words, its character, is a direct manifestation of will. Thus, in conformity with the freedom of this will, the object might not be at all, or it might be originally and essentially something quite different from what it is, in which case, however, the whole chain of which it is a link, and which is itself a manifestation of the same will, would be quite different also. But once there and existing, it has entered the chain of causes and effects, is always necessarily determined in it, and can, therefore, neither become something else, *i.e.*, change itself, nor yet escape from the chain, *i.e.*, vanish. Man, like every other part of Nature, is objectivity of the will; therefore all that has been said holds good of him. As everything in Nature has its forces and qualities, which react in a definite way when definitely affected, and constitute its character, man also has his *character*, from which the motives call forth his actions with necessity. In this manner of conduct his empirical character reveals itself, but in this again his intelligible character, the will in itself, whose determined phenomenon he is. But man is the most complete phenomenon of will, and, as we explained in the Second Book, he had to be enlightened with so high a degree of knowledge in order to maintain himself in existence, that in it a perfectly adequate copy or repetition of the nature of the world under the form of the idea became possible: this is the comprehension of the Ideas, the pure mirror of the world, as we learnt in the Third Book. Thus in man the will can attain to full self-consciousness, to distinct and exhaustive knowledge of its own nature, as it mirrors itself in the whole world. We saw in the preceding book that art springs from the actual presence of this degree of knowledge; and at the end of our whole work it will further appear that, through the same knowledge, in that the

will relates it to itself, a suppression and self-denial of the will in its most perfect manifestation is possible. So that the freedom which otherwise, as belonging to the thing-in-itself, can never show itself in the phenomenon, in such a case does also appear in it, and, by abolishing the nature which lies at the foundation of the phenomenon, while the latter itself still continues to exist in time, it brings about a contradiction of the phenomenon with itself, and in this way exhibits the phenomena of holiness and self-renunciation. But all this can only be fully understood at the end of this book. What has just been said merely affords a preliminary and general indication of how man is distinguished from all the other phenomena of will by the fact that freedom, *i.e.*, independence of the principle of sufficient reason, which only belongs to the will as thing-in-itself, and contradicts the phenomenon, may yet possibly, in his case, appear in the phenomenon also, where, however, it necessarily exhibits itself as a contradiction of the phenomenon with itself. In this sense, not only the will in itself, but man also may certainly be called free, and thus distinguished from all other beings. But how this is to be understood can only become clear through all that is to follow, and for the present we must turn away from it altogether. For, in the first place, we must beware of the error that the action of the individual definite man is subject to no necessity, *i.e.*, that the power of the motive is less certain than the power of the cause, or the following of the conclusion from the premises. The freedom of the will as thing-in-itself, if, as has been said, we abstract from the entirely exceptional case mentioned above, by no means extends directly to its phenomenon, not even in the case in which this reaches the highest made of its visibility, and thus does not extend to the rational animal endowed with individual character, *i.e.*, the person. The person is never free although he is the phenomenon of a free will; for he is already the determined phenomenon of the free volition of this will, and, because he enters the form of every object, the principle of sufficient reason, he develops indeed the unity of that will in a multiplicity of actions, but on account of the timeless unity of that volition in itself, this multiplicity exhibits in itself the regular conformity to law of a force of Nature. Since, however, it is that free volition that becomes visible in the person and the whole of his conduct, relating itself to him as the concept to the definition, every individual action of the person is to be ascribed to the free will, and directly proclaims itself as such in consciousness. Therefore, as was said in the Second Book, every one regards himself *a priori* (*i.e.*,

here in this original feeling) as free in his individual actions, in the sense that in every given case every action is possible for him, and he only recognises *a posteriori* from experience and reflection upon experience that his actions take place with absolute necessity from the coincidence of his character with his motives. Hence it arises that every uncultured man, following his feeling, ardently defends complete freedom in particular actions, while the great thinkers of all ages, and indeed the more profound systems of religion, have denied it. But whoever has come to see clearly that the whole nature of man is will, and he himself only a phenomenon of this will, and that such a phenomenon has, even from the subject itself, the principle of sufficient reason as its necessary form, which here appears as the law of motivation, — such a man will regard it as just as absurd to doubt the inevitable nature of an action when the motive is presented to a given character, as to doubt that the three angles of any triangle are together equal to two right angles. Priestley has very sufficiently proved the necessity of the individual action in his “Doctrine of Philosophical Necessity;” but Kant, whose merit in this respect is specially great, first proved the coexistence of this necessity with the freedom of the will in itself, *i.e.*, apart from the phenomenon,<sup>69</sup> by establishing the distinction between the intelligible and the empirical character. I entirely adhere to this distinction, for the former is the will as thing-in-itself so far as it appears in a definite individual in a definite grade, and the latter is this phenomenon itself as it exhibits itself in time in the mode of action, and in space in the physical structure. In order to make the relation of the two comprehensible, the best expression is that which I have already used in the introductory essay, that the intelligible character of every man is to be regarded as an act of will outside time, and therefore indivisible and unchangeable, and the manifestation of this act of will developed and broken up in time and space and all the forms of the principle of sufficient reason is the empirical character as it exhibits itself for experience in the whole conduct and life of this man. As the whole tree is only the constantly repeated manifestation of one and the same tendency, which exhibits itself in its simplest form in the fibre, and recurs and is easily recognised in the construction of the leaf, shoot, branch, and trunk, so all a man’s deeds are merely the constantly repeated expression, somewhat varied in form, of his intelligible character, and the induction based on the sum of all these expressions gives us his

empirical character. For the rest, I shall not at this point repeat in my own words Kant's masterly exposition, but presuppose it as known.

In the year 1840 I dealt with the important chapter on the freedom of the will, thoroughly and in detail, in my crowned prize-essay upon the subject, and exposed the reason of the delusion which led men to imagine that they found an empirically given absolute freedom of the will, that is to say, a *liberum arbitrium indifferentiæ*, as a fact in self-consciousness; for the question propounded for the essay was with great insight directed to this point. Therefore, as I refer the reader to that work, and also to the tenth paragraph of the prize-essay on the basis of morals, which was published along with it under the title "The Two Fundamental Problems of Ethics," I now omit the incomplete exposition of the necessity of the act of will, which was given at this place in the first edition. Instead of it I shall explain the delusion mentioned above in a brief discussion which is presupposed in the nineteenth chapter of the supplement to the present work, and therefore could not be given in the prize-essay referred to.

Apart from the fact that the will as the true thing-in-itself is actually original and independent, and that the feeling of its originality and absoluteness must accompany its acts in self-consciousness, though here they are already determined, there arises the illusion of an empirical freedom of the will (instead of the transcendental freedom which alone is to be attributed to it), and thus a freedom of its particular actions, from that attitude of the intellect towards the will which is explained, separated, and subordinated in the nineteenth chapter of the supplement, especially under No. 3. The intellect knows the conclusions of the will only *a posteriori* and empirically; therefore when a choice is presented, it has no data as to how the will is to decide. For the intelligible character, by virtue of which, when motives are given, only *one* decision is possible and is therefore necessary, does not come within the knowledge of the intellect, but merely the empirical character is known to it through the succession of its particular acts. Therefore it seems to the intellect that in a given case two opposite decisions are possible for the will. But this is just the same thing as if we were to say of a perpendicular beam that has lost its balance, and is hesitating which way to fall, "It can fall either to the right hand or the left." This *can* has merely a subjective significance, and really means "as far as the data known to us are concerned." Objectively, the direction of the fall is necessarily determined as soon as the equilibrium is lost. Accordingly, the



decision of one's own will is undetermined only to the beholder, one's own intellect, and thus merely relatively and subjectively for the subject of knowing. In itself and objectively, on the other hand, in every choice presented to it, its decision is at once determined and necessary. But this determination only comes into consciousness through the decision that follows upon it. Indeed, we receive an empirical proof of this when any difficult and important choice lies before us, but only under a condition which is not yet present, but merely hoped for, so that in the meanwhile we can do nothing, but must remain passive. Now we consider how we shall decide when the circumstances occur that will give us a free activity and choice. Generally the foresight of rational deliberation recommends one decision, while direct inclination leans rather to the other. So long as we are compelled to remain passive, the side of reason seems to wish to keep the upper hand; but we see beforehand how strongly the other side will influence us when the opportunity for action arises. Till then we are eagerly concerned to place the motives on both sides in the clearest light, by calm meditation on the *pro et contra*, so that every motive may exert its full influence upon the will when the time arrives, and it may not be misled by a mistake on the part of the intellect to decide otherwise than it would have done if all the motives had their due influence upon it. But this distinct unfolding of the motives on both sides is all that the intellect can do to assist the choice. It awaits the real decision just as passively and with the same intense curiosity as if it were that of a foreign will. Therefore from its point of view both decisions must seem to it equally possible; and this is just the illusion of the empirical freedom of the will. Certainly the decision enters the sphere of the intellect altogether empirically, as the final conclusion of the matter; but yet it proceeded from the inner nature, the intelligible character, of the individual will in its conflict with given motives, and therefore with complete necessity. The intellect can do nothing more than bring out clearly and fully the nature of the motives; it cannot determine the will itself; for the will is quite inaccessible to it, and, as we have seen, cannot be investigated.

If, under the same circumstances, a man could act now one way and now another, it would be necessary that his will itself should have changed in the meantime, and thus that it should lie in time, for change is only possible in time; but then either the will would be a mere phenomenon, or time would be a condition of the thing-in-itself. Accordingly the dispute as to the

freedom of the particular action, the *liberum arbitrium indifferentiae*, really turns on the question whether the will lies in time or not. If, as both Kant's doctrine and the whole of my system necessitates, the will is the thing-in-itself outside time and outside every form of the principle of sufficient reason, not only must the individual act in the same way in the same circumstances, and not only must every bad action be the sure warrant of innumerable others, which the individual *must* perform and *cannot* leave, but, as Kant said, if only the empirical character and the motives were completely given, it would be possible to calculate the future conduct of a man just as we can calculate an eclipse of the sun or moon. As Nature is consistent, so is the character; every action must take place in accordance with it, just as every phenomenon takes place according to a law of Nature: the causes in the latter case and the motives in the former are merely the occasional causes, as was shown in the Second Book. The will, whose phenomenon is the whole being and life of man, cannot deny itself in the particular case, and what the man wills on the whole, that will he also will in the particular case.

The assertion of an empirical freedom of the will, a *liberum arbitrium indifferentiae*, agrees precisely with the doctrine that places the inner nature of man in a *soul*, which is originally a *knowing*, and indeed really an abstract *thinking* nature, and only in consequence of this a *willing* nature — a doctrine which thus regards the will as of a secondary or derivative nature, instead of knowledge which is really so. The will indeed came to be regarded as an act of thought, and to be identified with the judgment, especially by Descartes and Spinoza. According to this doctrine every man must become what he is only through his knowledge; he must enter the world as a moral cipher come to know the things in it, and thereupon determine to be this or that, to act thus or thus, and may also through new knowledge achieve a new course of action, that is to say, become another person. Further, he must first know a thing to be *good*, and in consequence of this will it, instead of first *willing* it, and in consequence of this calling it *good*. According to my fundamental point of view, all this is a reversal of the true relation. Will is first and original; knowledge is merely added to it as an instrument belonging to the phenomenon of will. Therefore every man is what he is through his will, and his character is original, for willing is the basis of his nature. Through the knowledge which is added to it he comes to know in the course of experience *what he is*, *i.e.*, he learns his character.

Thus he *knows* himself in consequence of and in accordance with the nature of his will, instead of *willing* in consequence of and in accordance with his knowing. According to the latter view, he would only require to consider how he would like best to be, and he would be it; that is its doctrine of the freedom of the will. Thus it consists really in this, that a man is his own work guided by the light of knowledge. I, on the contrary, say that he is his own work before all knowledge, and knowledge is merely added to it to enlighten it. Therefore he cannot resolve to be this or that, nor can he become other than he is; but he *is* once for all, and he knows in the course of experience *what* he is. According to one doctrine he *wills* what he knows, and according to the other he *knows* what he wills.

The Greeks called the character *ηθος*, and its expression, *i.e.*, morals, *ηθη*. But this word comes from *εθος*, custom; they chose it in order to express metaphorically the constancy of character through the constancy of custom. Το γαρ ηθος απο του εθους εχει την επωνυμιαν. ηθηκε γαρ καλειται δια το εθιζεσθαι (*a voce ηθος, i.e., consuetudo ηθος est appellatum: ethica ergo dicta est απο του εθιζεσθαι, sivi ab assuescendo*) says Aristotle (Eth. Magna, i. 6, p. 1186, and Eth. Eud., p. 1220, and Eth. Nic., p. 1103, ed. Ber.) Stobæus quotes: οἱ δε κατα Ζηνωνα τροπικως; ηθος εστι πηγη βιου αφ' ης αι κατα μερος πραξεις ρεουσι (*Stoici autem, Zenonis castra sequentes, metaphorice ethos definiunt vitæ fontem, e quo singulæ manant actiones*), ii. ch. 7. In Christian theology we find the dogma of predestination in consequence of election and non-election (Rom. ix. 11-24), clearly originating from the knowledge that man does not change himself, but his life and conduct, *i.e.*, his empirical character, is only the unfolding of his intelligible character, the development of decided and unchangeable natural dispositions recognisable even in the child; therefore, as it were, even at his birth his conduct is firmly determined, and remains essentially the same to the end. This we entirely agree with; but certainly the consequences which followed from the union of this perfectly correct insight with the dogmas that already existed in Jewish theology, and which now gave rise to the great difficulty, the Gordian knot upon which most of the controversies of the Church turned, I do not undertake to defend, for even the Apostle Paul scarcely succeeded in doing so by means of his simile of the potter's vessels which he invented for the purpose, for the result he finally arrived at was nothing else than this: —

“Let mankind  
Fear the gods!  
They hold the power  
In everlasting hands:  
And they can use it  
As seems good to them.”

Such considerations, however, are really foreign to our subject. Some explanation as to the relation between the character and the knowledge in which all its motives lie, will now be more to the point.

The motives which determine the manifestation of the character or conduct influence it through the medium of knowledge. But knowledge is changeable, and often vacillates between truth and error, yet, as a rule, is rectified more and more in the course of life, though certainly in very different degrees. Therefore the conduct of a man may be observably altered without justifying us in concluding that his character has been changed. What the man really and in general wills, the striving of his inmost nature, and the end he pursues in accordance with it, this we can never change by influence upon him from without by instruction, otherwise we could transform him. Seneca says admirably, *velle non discitur*; whereby he preferred truth to his Stoic philosophers, who taught διδακτην ειναι την αρετην (*doceri posse virtutem*). From without the will can only be affected by motives. But these can never change the will itself; for they have power over it only under the presupposition that it is precisely such as it is. All that they can do is thus to alter the direction of its effort, *i.e.*, bring it about that it shall seek in another way than it has hitherto done that which it invariably seeks. Therefore instruction, improved knowledge, in other words, influence from without, may indeed teach the will that it erred in the means it employed, and can therefore bring it about that the end after which it strives once for all according to its inner nature shall be pursued on an entirely different path and in an entirely different object from what has hitherto been the case. But it can never bring about that the will shall will something actually different from what it has hitherto willed; this remains unchangeable, for the will is simply this willing itself, which would have to be abolished. The former, however, the possible modification of knowledge, and through knowledge of conduct, extends so far that the will seeks to attain its unalterable end, for example, Mohammed's paradise, at one time in the real world, at another time in a world of imagination, adapting the

means to each, and thus in the first case applying prudence, might, and fraud, and in the second case, abstinence, justice, alms, and pilgrimages to Mecca. But its effort itself has not therefore changed, still less the will itself. Thus, although its action certainly shows itself very different at different times, its willing has yet remained precisely the same. *Velle non discitur*.

For motives to act, it is necessary not only that they should be present, but that they should be known; for, according to a very good expression of the schoolmen, which we referred to once before, *causa finalis movet non secundum suum esse reale; sed secundum esse cognitum*. For example, in order that the relation may appear that exists in a given man between egoism and sympathy, it is not sufficient that he should possess wealth and see others in want, but he must also know what he can do with his wealth, both for himself and for others: not only must the suffering of others be presented to him, but he must know both what suffering and also what pleasure is. Perhaps, on a first occasion, he did not know all this so well as on a second; and if, on a similar occasion, he acts differently, this arises simply from the fact that the circumstances were really different, as regards the part of them that depends on his knowing them, although they seem to be the same. As ignorance of actually existing circumstances robs them of their influence, so, on the other hand, entirely imaginary circumstances may act as if they were real, not only in the case of a particular deception, but also in general and continuously. For example, if a man is firmly persuaded that every good action will be repaid him a hundredfold in a future life, such a conviction affects him in precisely the same way as a good bill of exchange at a very long date, and he can give from mere egoism, as from another point of view he would take from egoism. He has not changed himself: *velle non discitur*. It is on account of this great influence of knowledge upon action, while the will remains unchangeable, that the character develops and its different features appear only little by little. Therefore it shows itself different at every period of life, and an impetuous, wild youth may be succeeded by a staid, sober, manly age. Especially what is bad in the character will always come out more strongly with time, yet sometimes it occurs that passions which a man gave way to in his youth are afterwards voluntarily restrained, simply because the motives opposed to them have only then come into knowledge. Hence, also, we are all innocent to begin with, and this merely means that neither we nor others know the

evil of our own nature; it only appears with the motives, and only in time do the motives appear in knowledge. Finally we come to know ourselves as quite different from what *a priori* we supposed ourselves to be, and then we are often terrified at ourselves.

Repentance never proceeds from a change of the will (which is impossible), but from a change of knowledge. The essential and peculiar in what I have always willed I must still continue to will; for I myself am this will which lies outside time and change. I can therefore never repent of what I have willed, though I can repent of what I have done; because, led by false conceptions, I did something that was not in conformity with my will. The discovery of this through fuller knowledge is *repentance*. This extends not merely to worldly wisdom, to the choice of the means, and the judgment of the appropriateness of the end to my own will, but also to what is properly ethical. For example, I may have acted more egotistically than is in accordance with my character, led astray by exaggerated ideas of the need in which I myself stood, or of the craft, falseness, and wickedness of others, or because I hurried too much, *i.e.*, acted without deliberation, determined not by motives distinctly known *in abstracto*, but by merely perceived motives, by the present and the emotion which it excited, and which was so strong that I had not properly the use of my reason; but the return of reflection is thus here also merely corrected knowledge, and from this repentance may proceed, which always proclaims itself by making amends for the past, as far as is possible. Yet it must be observed that, in order to deceive themselves, men prearrange what seem to be hasty errors, but are really secretly considered actions. For we deceive and flatter no one through such fine devices as ourselves. The converse of the case we have given may also occur. I may be misled by too good an opinion of others, or want of knowledge of the relative value of the good things of life, or some abstract dogma in which I have since lost faith, and thus I may act less egotistically than is in keeping with my character, and lay up for myself repentance of another kind. Thus repentance is always corrected knowledge of the relation of an act to its special intention. When the will reveals its Ideas in space alone, *i.e.*, through mere form, the matter in which other Ideas — in this case natural forces — already reign, resists the will, and seldom allows the form that is striving after visibility to appear in perfect purity and distinctness, *i.e.*, in perfect beauty. And there is an analogous hindrance to the will as it reveals itself in time alone, *i.e.*, through actions, in the

knowledge which seldom gives it the data quite correctly, so that the action which takes place does not accurately correspond to the will, and leads to repentance. Repentance thus always proceeds from corrected knowledge, not from the change of the will, which is impossible. Anguish of conscience for past deeds is anything but repentance. It is pain at the knowledge of oneself in one's inmost nature, *i.e.*, as will. It rests precisely on the certainty that we have still the same will. If the will were changed, and therefore the anguish of conscience mere repentance, it would cease to exist. The past could then no longer give us pain, for it exhibited the expressions of a will which is no longer that of him who has repented. We shall explain the significance of anguish of conscience in detail farther on.

The influence which knowledge, as the medium of motives, exerts, not indeed upon the will itself, but upon its appearance in actions, is also the source of the principal distinction between the action of men and that of brutes, for their methods of knowledge are different. The brute has only knowledge of perception, the man, through reason, has also abstract ideas, conceptions. Now, although man and brute are with equal necessity determined by their motives, yet man, as distinguished from the brute, has a complete *choice*, which has often been regarded as a freedom of the will in particular actions, although it is nothing but the possibility of a thoroughly-fought-out battle between several motives, the strongest of which then determines it with necessity. For this the motives must have assumed the form of abstract thoughts, because it is really only by means of these that deliberation, *i.e.*, a weighing of opposite reasons for action, is possible. In the case of the brute there can only be a choice between perceptible motives presented to it, so that the choice is limited to the narrow sphere of its present sensuous perception. Therefore the necessity of the determination of the will by the motive, which is like that of the effect by the cause, can be exhibited perceptibly and directly only in the case of the brutes, because here the spectator has the motives just as directly before his eyes as their effect; while in the case of man the motives are almost always abstract ideas, which are not communicated to the spectator, and even for the actor himself the necessity of their effect is hidden behind their conflict. For only *in abstracto* can several ideas, as judgments and chains of conclusions, lie beside each other in consciousness, and then, free from all determination of time, work against each other till the stronger overcomes the rest and determines the will. This is the complete *choice* or power of deliberation

which man has as distinguished from the brutes, and on account of which freedom of the will has been attributed to him, in the belief that his willing is a mere result of the operations of his intellect, without a definite tendency which serves as its basis; while, in truth, the motives only work on the foundation and under the presupposition of his definite tendency, which in his case is individual, *i.e.*, a character. A fuller exposition of this power of deliberation, and the difference between human and brute choice which is introduced by it, will be found in the “Two Fundamental Problems of Ethics” (1st edition, p. 35, *et seq.*; 2d edition, p. 34, *et seq.*), to which I therefore refer. For the rest, this power of deliberation which man possesses is one of those things that makes his existence so much more miserable than that of the brute. For in general our greatest sufferings do not lie in the present as ideas of perception or as immediate feelings; but in the reason, as abstract conceptions, painful thoughts, from which the brute, which lives only in the present, and therefore in enviable carelessness, is entirely free.

It seems to have been the dependence, which we have shown, of the human power of deliberation upon the faculty of abstract thinking, and thus also of judging and drawing conclusions also, that led both Descartes and Spinoza to identify the decisions of the will with the faculty of asserting and denying (the faculty of judgment). From this Descartes deduced the doctrine that the will, which, according to him, is indifferently free, is the source of sin, and also of all theoretical error. And Spinoza, on the other hand, concluded that the will is necessarily determined by the motives, as the judgment is by the reasons.<sup>70</sup> The latter doctrine is in a sense true, but it appears as a true conclusion from false premises.

The distinction we have established between the ways in which the brutes and man are respectively moved by motives exerts a very wide influence upon the nature of both, and has most to do with the complete and obvious differences of their existence. While an idea of perception is in every case the motive which determines the brute, the man strives to exclude this kind of motivation altogether, and to determine himself entirely by abstract ideas. Thus he uses his prerogative of reason to the greatest possible advantage. Independent of the present, he neither chooses nor avoids the passing pleasure or pain, but reflects on the consequences of both. In most cases, setting aside quite insignificant actions, we are determined by abstract, thought motives, not present impressions. Therefore all particular privation for the moment is for us comparatively light, but all



renunciation is terribly hard; for the former only concerns the fleeting present, but the latter concerns the future, and includes in itself innumerable privations, of which it is the equivalent. The causes of our pain, as of our pleasure, lie for the most part, not in the real present, but merely in abstract thoughts. It is these which are often unbearable to us — inflict torments in comparison with which all the sufferings of the animal world are very small; for even our own physical pain is not felt at all when they are present. Indeed, in the case of keen mental suffering, we even inflict physical suffering on ourselves merely to distract our attention from the former to the latter. This is why, in great mental anguish, men tear their hair, beat their breasts, lacerate their faces, or roll on the floor, for all these are in reality only violent means of diverting the mind from an unbearable thought. Just because mental pain, being much greater, makes us insensible to physical pain, suicide is very easy to the person who is in despair, or who is consumed by morbid depression, even though formerly, in comfortable circumstances, he recoiled at the thought of it. In the same way care and passion (thus the play of thought) wear out the body oftener and more than physical hardships. And in accordance with this Epictetus rightly says: Ταρασσει τοὺς ἀνθρώπους οὐ τὰ πράγματα, ἀλλὰ τὰ περὶ τῶν πραγμάτων δογμάτα (*Perturbant homines non res ipsæ, sed de rebus decreta*) (V.); and Seneca: *Plura sunt quæ nos terrent, quam quæ premunt, et sæpius opinione quam re laboramus* (Ep. 5). Eulenspiegel also admirably bantered human nature, for going uphill he laughed, and going downhill he wept. Indeed, children who have hurt themselves often cry, not at the pain, but at the thought of the pain which is awakened when some one condoles with them. Such great differences in conduct and in life arise from the diversity between the methods of knowledge of the brutes and man. Further, the appearance of the distinct and decided individual character, the principal distinction between man and the brute, which has scarcely more than the character of the species, is conditioned by the choice between several motives, which is only possible through abstract conceptions. For only after a choice has been made are the resolutions, which vary in different individuals, an indication of the individual character which is different in each; while the action of the brute depends only upon the presence or absence of the impression, supposing this impression to be in general a motive for its species. And, finally, in the case of man, only the resolve, and not the mere wish, is a valid indication of his character both for himself and

for others; but the resolve becomes for himself, as for others, a certain fact only through the deed. The wish is merely the necessary consequence of the present impression, whether of the outward stimulus, or the inward passing mood; and is therefore as immediately necessary and devoid of consideration as the action of the brutes. Therefore, like the action of the brutes, it merely expresses the character of the species, not that of the individual, *i.e.*, it indicates merely what *man in general*, not what the individual who experiences the wish, is capable of doing. The deed alone, — because as human action it always requires a certain deliberation, and because as a rule a man has command of his reason, is considerate, *i.e.*, decides in accordance with considered and abstract motives, — is the expression of the intelligible maxims of his conduct, the result of his inmost willing, and is related as a letter to the word that stands for his empirical character, itself merely the temporal expression of his intelligible character. In a healthy mind, therefore, only deeds oppress the conscience, not wishes and thoughts; for it is only our deeds that hold up to us the mirror of our will. The deed referred to above, that is entirely unconsidered and is really committed in blind passion, is to a certain extent an intermediate thing between the mere wish and the resolve.

Therefore, by true repentance, which, however, shows itself as action also, it can be obliterated, as a falsely drawn line, from that picture of our will which our course of life is. I may insert the remark here, as a very good comparison, that the relation between wish and deed has a purely accidental but accurate analogy with that between the accumulation and discharge of electricity.

As the result of the whole of this discussion of the freedom of the will and what relates to it, we find that although the will may, in itself and apart from the phenomenon, be called free and even omnipotent, yet in its particular phenomena enlightened by knowledge, as in men and brutes, it is determined by motives to which the special character regularly and necessarily responds, and always in the same way. We see that because of the possession on his part of abstract or rational knowledge, man, as distinguished from the brutes, has a *choice*, which only makes him the scene of the conflict of his motives, without withdrawing him from their control. This choice is therefore certainly the condition of the possibility of the complete expression of the individual character, but is by no means to

be regarded as freedom of the particular volition, *i.e.*, independence of the law of causality, the necessity of which extends to man as to every other phenomenon. Thus the difference between human volition and that of the brutes, which is introduced by reason or knowledge through concepts, extends to the point we have indicated, and no farther. But, what is quite a different thing, there may arise a phenomenon of the human will which is quite impossible in the brute creation, if man altogether lays aside the knowledge of particular things as such which is subordinate to the principle of sufficient reason, and by means of his knowledge of the Ideas sees through the *principium individuationis*. Then an actual appearance of the real freedom of the will as a thing-in-itself is possible, by which the phenomenon comes into a sort of contradiction with itself, as is indicated by the word self-renunciation; and, finally, the “in-itself” of its nature suppresses itself. But this, the one, real, and direct expression of the freedom of the will in itself in the phenomenon, cannot be distinctly explained here, but will form the subject of the concluding part of our work.

Now that we have shown clearly in these pages the unalterable nature of the empirical character, which is just the unfolding of the intelligible character that lies outside time, together with the necessity with which actions follow upon its contact with motives, we hasten to anticipate an argument which may very easily be drawn from this in the interest of bad dispositions. Our character is to be regarded as the temporal unfolding of an extra-temporal, and therefore indivisible and unalterable, act of will, or an intelligible character. This necessarily determines all that is essential in our conduct in life, *i.e.*, its ethical content, which must express itself in accordance with it in its phenomenal appearance, the empirical character; while only what is unessential in this, the outward form of our course of life, depends upon the forms in which the motives present themselves. It might, therefore, be inferred that it is a waste of trouble to endeavour to improve one’s character, and that it is wiser to submit to the inevitable, and gratify every inclination at once, even if it is bad. But this is precisely the same thing as the theory of an inevitable fate which is called ἀργος λογος, and in more recent times Turkish faith. Its true refutation, as it is supposed to have been given by Chrysippus, is explained by Cicero in his book *De Fato*, ch. 12, 13.

Though everything may be regarded as irrevocably predetermined by fate, yet it is so only through the medium of the chain of causes; therefore in

no case can it be determined that an effect shall appear without its cause. Thus it is not simply the event that is predetermined, but the event as the consequence of preceding causes; so that fate does not decide the consequence alone, but also the means as the consequence of which it is destined to appear. Accordingly, if some means is not present, it is certain that the consequence also will not be present: each is always present in accordance with the determination of fate, but this is never known to us till afterwards.

As events always take place according to fate, *i.e.*, according to the infinite concatenation of causes, so our actions always take place according to our intelligible character. But just as we do not know the former beforehand, so no *a priori* insight is given us into the latter, but we only come to know ourselves as we come to know other persons *a posteriori* through experience. If the intelligible character involved that we could only form a good resolution after a long conflict with a bad disposition, this conflict would have to come first and be waited for. Reflection on the unalterable nature of the character, on the unity of the source from which all our actions flow, must not mislead us into claiming the decision of the character in favour of one side or the other; it is in the resolve that follows that we shall see what manner of men we are, and mirror ourselves in our actions. This is the explanation of the satisfaction or the anguish of soul with which we look back on the course of our past life. Both are experienced, not because these past deeds have still an existence; they are past, they have been, and now are no more; but their great importance for us lies in their significance, lies in the fact that these deeds are the expression of the character, the mirror of the will, in which we look and recognise our inmost self, the kernel of our will. Because we experience this not before, but only after, it behoves us to strive and fight in time, in order that the picture we produce by our deeds may be such that the contemplation of it may calm us as much as possible, instead of harassing us. The significance of this consolation or anguish of soul will, as we have said, be inquired into farther on; but to this place there belongs the inquiry which follows, and which stands by itself.

Besides the intelligible and the empirical character, we must mention a third which is different from them both, the *acquired character*, which one only receives in life through contact with the world, and which is referred to when one is praised as a man of character or censured as being without

character. Certainly one might suppose that, since the empirical character, as the phenomenon of the intelligible, is unalterable, and, like every natural phenomenon, is consistent with itself, man would always have to appear like himself and consistent, and would therefore have no need to acquire a character artificially by experience and reflection. But the case is otherwise, and although a man is always the same, yet he does not always understand himself, but often mistakes himself, till he has in some degree acquired real self-knowledge. The empirical character, as a mere natural tendency, is in itself irrational; nay, more, its expressions are disturbed by reason, all the more so the more intellect and power of thought the man has; for these always keep before him what becomes *man in general* as the character of the species, and what is possible for him both in will and in deed. This makes it the more difficult for him to see how much his individuality enables him to will and to accomplish. He finds in himself the germs of all the various human pursuits and powers, but the difference of degree in which they exist in his individuality is not clear to him in the absence of experience; and if he now applies himself to the pursuits which alone correspond to his character, he yet feels, especially at particular moments and in particular moods, the inclination to directly opposite pursuits which cannot be combined with them, but must be entirely suppressed if he desires to follow the former undisturbed. For as our physical path upon earth is always merely a line, not an extended surface, so in life, if we desire to grasp and possess one thing, we must renounce and leave innumerable others on the right hand and on the left. If we cannot make up our minds to this, but, like children at the fair, snatch at everything that attracts us in passing, we are making the perverse endeavour to change the line of our path into an extended surface; we run in a zigzag, skip about like a will o' the wisp, and attain to nothing. Or, to use another comparison, as, according to Hobbes' philosophy of law, every one has an original right to everything but an exclusive right to nothing, yet can obtain an exclusive right to particular things by renouncing his right to all the rest, while others, on their part, do likewise with regard to what he has chosen; so is it in life, in which some definite pursuit, whether it be pleasure, honour, wealth, science, art, or virtue, can only be followed with seriousness and success when all claims that are foreign to it are given up, when everything else is renounced. Accordingly, the mere will and the mere ability are not sufficient, but a man must also *know* what he wills, and *know* what he can do; only then will he

show character, and only then can he accomplish something right. Until he attains to that, notwithstanding the natural consistency of the empirical character, he is without character. And although, on the whole, he must remain true to himself, and fulfil his course, led by his dæmon, yet his path will not be a straight line, but wavering and uneven. He will hesitate, deviate, turn back, lay up for himself repentance and pain. And all this is because, in great and small, he sees before him all that is possible and attainable for man in general, but does not know what part of all this is alone suitable for him, can be accomplished by him, and is alone enjoyable by him. He will, therefore, envy many men on account of a position and circumstances which are yet only suitable to their characters and not to his, and in which he would feel unhappy, if indeed he found them endurable at all. For as a fish is only at home in water, a bird in the air, a mole in the earth, so every man is only at home in the atmosphere suitable to him. For example, not all men can breathe the air of court life. From deficiency of proper insight into all this, many a man will make all kinds of abortive attempts, will do violence to his character in particulars, and yet, on the whole, will have to yield to it again; and what he thus painfully attains will give him no pleasure; what he thus learns will remain dead; even in an ethical regard, a deed that is too noble for his character, that has not sprung from pure, direct impulse, but from a concept, a dogma, will lose all merit, even in his own eyes, through subsequent egoistical repentance. *Velle non discitur*. We only become conscious of the inflexibility of another person's character through experience, and till then we childishly believe that it is possible, by means of rational ideas, by prayers and entreaties, by example and noble-mindedness, ever to persuade any one to leave his own way, to change his course of conduct, to depart from his mode of thinking, or even to extend his capacities: so is it also with ourselves. We must first learn from experience what we desire and what we can do. Till then we know it not, we are without character, and must often be driven back to our own way by hard blows from without. But if we have finally learnt it, then we have attained to what in the world is called character, the *acquired character*. This is accordingly nothing but the most perfect knowledge possible of our own individuality. It is the abstract, and consequently distinct, knowledge of the unalterable qualities of our own empirical character, and of the measure and direction of our mental and physical powers, and thus of the whole strength and weakness of our own

individuality. This places us in a position to carry out deliberately and methodically the rôle which belongs to our own person, and to fill up the gaps which caprices or weaknesses produce in it, under the guidance of fixed conceptions. This rôle is in itself unchangeably determined once for all, but hitherto we have allowed it to follow its natural course without any rule. We have now brought to distinct conscious maxims which are always present to us the form of conduct which is necessarily determined by our own individual nature, and now we conduct it in accordance with them as deliberately as if we had learned it; without ever falling into error through the passing influence of the mood or the impression of the present, without being checked by the bitterness or sweetness of some particular thing we meet with on our path, without delay, without hesitation, without inconsistency. We shall now no longer, as novices, wait, attempt, and grope about in order to see what we really desire and are able to do, but we know this once for all, and in every choice we have only to apply general principles to particular cases, and arrive at once at a decision. We know our will in general, and do not allow ourselves to be led by the passing mood or by solicitations from without to resolve in particular cases what is contrary to it as a whole. We know in the same way the nature and the measure of our strength and our weakness, and thereby are spared much suffering. For we experience no real pleasure except in the use and feeling of our own powers, and the greatest pain is the conscious deficiency of our powers where we need them. If, now, we have discovered where our strength and our weakness lie, we will endeavour to cultivate, employ, and in every way make use of those talents which are naturally prominent in us. We will always turn to those occupations in which they are valuable and to the purpose, and entirely avoid, even with self-renunciation, those pursuits for which we have naturally little aptitude; we will beware of attempting that in which we have no chance of succeeding. Only he who has attained to this will constantly and with full consciousness be completely himself, and will never fail himself at the critical moment, because he will always have known what he could expect from himself. He will often enjoy the satisfaction of feeling his strength, and seldom experience the pain of being reminded of his weakness. The latter is mortification, which causes perhaps the greatest of mental sufferings; therefore it is far more endurable to have our misfortune brought clearly before us than our incapacity. And, further, if we are thus fully acquainted with our strength and our weakness, we will

not attempt to make a show of powers which we do not possess; we will not play with base coin, for all such dissimulation misses the mark in the end. For since the whole man is only the phenomenon of his will, nothing can be more perverse than to try, by means of reflection, to become something else than one is, for this is a direct contradiction of the will with itself. The imitation of the qualities and idiosyncrasies of others is much more shameful than to dress in other people's clothes; for it is the judgment of our own worthlessness pronounced by ourselves. Knowledge of our own mind and its capacities of every kind, and their unalterable limits, is in this respect the surest way to the attainment of the greatest possible contentment with ourselves. For it holds good of inward as of outward circumstances that there is for us no consolation so effective as the complete certainty of unalterable necessity. No evil that befalls us pains us so much as the thought of the circumstances by which it might have been warded off. Therefore nothing comforts us so effectually as the consideration of what has happened from the standpoint of necessity, from which all accidents appear as tools in the hand of an overruling fate, and we therefore recognise the evil that has come to us as inevitably produced by the conflict of inner and outer circumstances; in other words, fatalism. We really only complain and storm so long as we hope either to affect others or to excite ourselves to unheard-of efforts. But children and grown-up people know very well to yield contentedly as soon as they clearly see that it absolutely cannot be otherwise: — *Θυμὸν ἐνὶ στήθεσσι φίλον δαμάσσαντες ἀνάγκη* (*Animo in pectoribus nostro domito necessitate*). We are like the entrapped elephants, that rage and struggle for many days, till they see that it is useless, and then suddenly offer their necks quietly to the yoke, tamed for ever. We are like King David, who, as long as his son still lived, unceasingly importuned Jehovah with prayers, and behaved himself as if in despair; but as soon as his son was dead, thought no longer about it. Hence it arises that innumerable permanent ills, such as lameness, poverty, low estate, ugliness, a disagreeable dwelling-place, are borne with indifference by innumerable persons, and are no longer felt, like healed wounds, just because these persons know that inward or outward necessity renders it impossible that any change can take place in these things; while those who are more fortunate cannot understand how such misfortunes can be borne. Now as with outward necessity, so also with inward; nothing reconciles so thoroughly as a distinct knowledge of it. If we have once for all distinctly



recognised not only our good qualities and our strength, but also our defects and weakness, established our aim accordingly, and rest satisfied concerning what cannot be attained, we thus escape in the surest way, as far as our individuality permits, the bitterest of all sorrows, discontentment with ourselves, which is the inevitable result of ignorance of our own individuality, of false conceit and the audacity that proceeds from it. To the bitter chapter of the self-knowledge here recommended the lines of Ovid admit of excellent application —

*“Optimus ille animi vindex lædentia pectus,  
Vincula qui rupit, dedoluitque semel.”*

So much with regard to the *acquired character*, which, indeed, is not of so much importance for ethics proper as for life in the world. But its investigation was related as that of a third species to the investigation of the intelligible and the empirical character, in regard to which we were obliged to enter upon a somewhat detailed inquiry in order to bring out clearly how in all its phenomena the will is subject to necessity, while yet in itself it may be called free and even omnipotent.

§ 56. This freedom, this omnipotence, as the expression of which the whole visible world exists and progressively develops in accordance with the laws which belong to the form of knowledge, can now, at the point at which in its most perfect manifestation it has attained to the completely adequate knowledge of its own nature, express itself anew in two ways. Either it wills here, at the summit of mental endowment and self-consciousness, simply what it willed before blindly and unconsciously, and if so, knowledge always remains its *motive* in the whole as in the particular case. Or, conversely, this knowledge becomes for it a *quieter*, which appeases and suppresses all willing. This is that assertion and denial of the will to live which was stated above in general terms. As, in the reference of individual conduct, a general, not a particular manifestation of will, it does not disturb and modify the development of the character, nor does it find its expression in particular actions; but, either by an ever more marked appearance of the whole method of action it has followed hitherto, or conversely by the entire suppression of it, it expresses in a living form the maxims which the will has freely adopted in accordance with the knowledge it has now attained to. By the explanations we have just given of freedom, necessity, and character, we have somewhat facilitated and

prepared the way for the clearer development of all this, which is the principal subject of this last book. But we shall have done so still more when we have turned our attention to life itself, the willing or not willing of which is the great question, and have endeavoured to find out generally what the will itself, which is everywhere the inmost nature of this life, will really attain by its assertion — in what way and to what extent this assertion satisfies or can satisfy the will; in short, what is generally and mainly to be regarded as its position in this its own world, which in every relation belongs to it.

First of all, I wish the reader to recall the passage with which we closed the Second Book, — a passage occasioned by the question, which met us then, as to the end and aim of the will. Instead of the answer to this question, it appeared clearly before us how, in all the grades of its manifestation, from the lowest to the highest, the will dispenses altogether with a final goal and aim. It always strives, for striving is its sole nature, which no attained goal can put an end to. Therefore it is not susceptible of any final satisfaction, but can only be restrained by hindrances, while in itself it goes on for ever. We see this in the simplest of all natural phenomena, gravity, which does not cease to strive and press towards a mathematical centre to reach which would be the annihilation both of itself and matter, and would not cease even if the whole universe were already rolled into one ball. We see it in the other simple natural phenomena. A solid tends towards fluidity either by melting or dissolving, for only so will its chemical forces be free; rigidity is the imprisonment in which it is held by cold. The fluid tends towards the gaseous state, into which it passes at once as soon as all pressure is removed from it. No body is without relationship, *i.e.*, without tendency or without desire and longing, as Jacob Böhme would say. Electricity transmits its inner self-repulsion to infinity, though the mass of the earth absorbs the effect. Galvanism is certainly, so long as the pile is working, an aimless, unceasingly repeated act of repulsion and attraction. The existence of the plant is just such a restless, never satisfied striving, a ceaseless tendency through ever-ascending forms, till the end, the seed, becomes a new starting-point; and this repeated *ad infinitum* — nowhere an end, nowhere a final satisfaction, nowhere a resting-place. It will also be remembered, from the Second Book, that the multitude of natural forces and organised forms everywhere strive with each other for the matter in which they desire to appear, for each of them only

possesses what it has wrested from the others; and thus a constant internecine war is waged, from which, for the most part, arises the resistance through which that striving, which constitutes the inner nature of everything, is at all points hindered; struggles in vain, yet, from its nature, cannot leave off; toils on laboriously till this phenomenon dies, when others eagerly seize its place and its matter.

We have long since recognised this striving, which constitutes the kernel and in-itself of everything, as identical with that which in us, where it manifests itself most distinctly in the light of the fullest consciousness, is called *will*. Its hindrance through an obstacle which places itself between it and its temporary aim we call *suffering*, and, on the other hand, its attainment of the end satisfaction, wellbeing, happiness. We may also transfer this terminology to the phenomena of the unconscious world, for though weaker in degree, they are identical in nature. Then we see them involved in constant suffering, and without any continuing happiness. For all effort springs from defect — from discontent with one's estate — is thus suffering so long as it is not satisfied; but no satisfaction is lasting, rather it is always merely the starting-point of a new effort. The striving we see everywhere hindered in many ways, everywhere in conflict, and therefore always under the form of suffering. Thus, if there is no final end of striving, there is no measure and end of suffering.

But what we only discover in unconscious Nature by sharpened observation, and with an effort, presents itself distinctly to us in the intelligent world in the life of animals, whose constant suffering is easily proved. But without lingering over these intermediate grades, we shall turn to the life of man, in which all this appears with the greatest distinctness, illuminated by the clearest knowledge; for as the phenomenon of will becomes more complete, the suffering also becomes more and more apparent. In the plant there is as yet no sensibility, and therefore no pain. A certain very small degree of suffering is experienced by the lowest species of animal life — infusoria and radiata; even in insects the capacity to feel and suffer is still limited. It first appears in a high degree with the complete nervous system of vertebrate animals, and always in a higher degree the more intelligence develops. Thus, in proportion as knowledge attains to distinctness, as consciousness ascends, pain also increases, and therefore reaches its highest degree in man. And then, again, the more distinctly a man knows, the more intelligent he is, the more pain he has; the man who is

gifted with genius suffers most of all. In this sense, that is, with reference to the degree of knowledge in general, not mere abstract rational knowledge, I understand and use here that saying of the Preacher: *Qui auget scientiam, auget at dolorem*. That philosophical painter or painting philosopher, Tischbein, has very beautifully expressed the accurate relation between the degree of consciousness and that of suffering by exhibiting it in a visible and clear form in a drawing. The upper half of his drawing represents women whose children have been stolen, and who in different groups and attitudes, express in many ways deep maternal pain, anguish, and despair. The lower half of the drawing represents sheep whose lambs have been taken away. They are arranged and grouped in precisely the same way; so that every human head, every human attitude of the upper half, has below a brute head and attitude corresponding to it. Thus we see distinctly how the pain which is possible in the dull brute consciousness is related to the violent grief, which only becomes possible through distinctness of knowledge and clearness of consciousness.

We desire to consider in this way, in *human existence*, the inner and essential destiny of will. Every one will easily recognise that same destiny expressed in various degrees in the life of the brutes, only more weakly, and may also convince himself to his own satisfaction, from the suffering animal world, *how essential to all life is suffering*.

§ 57. At every grade that is enlightened by knowledge, the will appears as an individual. The human individual finds himself as finite in infinite space and time, and consequently as a vanishing quantity compared with them. He is projected into them, and, on account of their unlimited nature, he has always a merely relative, never absolute *when* and *where* of his existence; for his place and duration are finite parts of what is infinite and boundless. His real existence is only in the present, whose unchecked flight into the past is a constant transition into death, a constant dying. For his past life, apart from its possible consequences for the present, and the testimony regarding the will that is expressed in it, is now entirely done with, dead, and no longer anything; and, therefore, it must be, as a matter of reason, indifferent to him whether the content of that past was pain or pleasure. But the present is always passing through his hands into the past; the future is quite uncertain and always short. Thus his existence, even when we consider only its formal side, is a constant hurrying of the present into the dead past, a constant dying. But if we look at it from the physical side; it is

clear that, as our walking is admittedly merely a constantly prevented falling, the life of our body is only a constantly prevented dying, an ever-postponed death: finally, in the same way, the activity of our mind is a constantly deferred ennui. Every breath we draw wards off the death that is constantly intruding upon us. In this way we fight with it every moment, and again, at longer intervals, through every meal we eat, every sleep we take, every time we warm ourselves, &c. In the end, death must conquer, for we became subject to him through birth, and he only plays for a little while with his prey before he swallows it up. We pursue our life, however, with great interest and much solicitude as long as possible, as we blow out a soap-bubble as long and as large as possible, although we know perfectly well that it will burst.

We saw that the inner being of unconscious nature is a constant striving without end and without rest. And this appears to us much more distinctly when we consider the nature of brutes and man. Willing and striving is its whole being, which may be very well compared to an unquenchable thirst. But the basis of all willing is need, deficiency, and thus pain. Consequently, the nature of brutes and man is subject to pain originally and through its very being. If, on the other hand, it lacks objects of desire, because it is at once deprived of them by a too easy satisfaction, a terrible void and ennui comes over it, *i.e.*, its being and existence itself becomes an unbearable burden to it. Thus its life swings like a pendulum backwards and forwards between pain and ennui. This has also had to express itself very oddly in this way; after man had transferred all pain and torments to hell, there then remained nothing over for heaven but ennui.

But the constant striving which constitutes the inner nature of every manifestation of will obtains its primary and most general foundation at the higher grades of objectification, from the fact that here the will manifests itself as a living body, with the iron command to nourish it; and what gives strength to this command is just that this body is nothing but the objectified will to live itself. Man, as the most complete objectification of that will, is in like measure also the most necessitous of all beings: he is through and through concrete willing and needing; he is a concretion of a thousand necessities. With these he stands upon the earth, left to himself, uncertain about everything except his own need and misery. Consequently the care for the maintenance of that existence under exacting demands, which are renewed every day, occupies, as a rule, the whole of human life. To this is

directly related the second claim, that of the propagation of the species. At the same time he is threatened from all sides by the most different kinds of dangers, from which it requires constant watchfulness to escape. With cautious steps and casting anxious glances round him he pursues his path, for a thousand accidents and a thousand enemies lie in wait for him. Thus he went while yet a savage, thus he goes in civilised life; there is no security for him.

*“Qualibus in tenebris vitæ, quantisque periclis*

*Degitur hocc’ ævi, quodcunque est!”* — Lucr. ii. 15.

The life of the great majority is only a constant struggle for this existence itself, with the certainty of losing it at last. But what enables them to endure this wearisome battle is not so much the love of life as the fear of death, which yet stands in the background as inevitable, and may come upon them at any moment. Life itself is a sea, full of rocks and whirlpools, which man avoids with the greatest care and solicitude, although he knows that even if he succeeds in getting through with all his efforts and skill, he yet by doing so comes nearer at every step to the greatest, the total, inevitable, and irremediable shipwreck, death; nay, even steers right upon it: this is the final goal of the laborious voyage, and worse for him than all the rocks from which he has escaped.

Now it is well worth observing that, on the one hand, the suffering and misery of life may easily increase to such an extent that death itself, in the flight from which the whole of life consists, becomes desirable, and we hasten towards it voluntarily; and again, on the other hand, that as soon as want and suffering permit rest to a man, ennui is at once so near that he necessarily requires diversion. The striving after existence is what occupies all living things and maintains them in motion. But when existence is assured, then they know not what to do with it; thus the second thing that sets them in motion is the effort to get free from the burden of existence, to make it cease to be felt, “to kill time,” *i.e.*, to escape from ennui. Accordingly we see that almost all men who are secure from want and care, now that at last they have thrown off all other burdens, become a burden to themselves, and regard as a gain every hour they succeed in getting through; and thus every diminution of the very life which, till then, they have employed all their powers to maintain as long as possible. Ennui is by no means an evil to be lightly esteemed; in the end it depicts on the countenance real despair. It makes beings who love each other so little as

men do, seek each other eagerly, and thus becomes the source of social intercourse. Moreover, even from motives of policy, public precautions are everywhere taken against it, as against other universal calamities. For this evil may drive men to the greatest excesses, just as much as its opposite extreme, famine: the people require *panem et circenses*. The strict penitentiary system of Philadelphia makes use of ennui alone as a means of punishment, through solitary confinement and idleness, and it is found so terrible that it has even led prisoners to commit suicide. As want is the constant scourge of the people, so ennui is that of the fashionable world. In middle-class life ennui is represented by the Sunday, and want by the six week-days.

Thus between desiring and attaining all human life flows on throughout. The wish is, in its nature, pain; the attainment soon begets satiety: the end was only apparent; possession takes away the charm; the wish, the need, presents itself under a new form; when it does not, then follows desolateness, emptiness, ennui, against which the conflict is just as painful as against want. That wish and satisfaction should follow each other neither too quickly nor too slowly reduces the suffering, which both occasion to the smallest amount, and constitutes the happiest life. For that which we might otherwise call the most beautiful part of life, its purest joy, if it were only because it lifts us out of real existence and transforms us into disinterested spectators of it — that is, pure knowledge, which is foreign to all willing, the pleasure of the beautiful, the true delight in art — this is granted only to a very few, because it demands rare talents, and to these few only as a passing dream. And then, even these few, on account of their higher intellectual power, are made susceptible of far greater suffering than duller minds can ever feel, and are also placed in lonely isolation by a nature which is obviously different from that of others; thus here also accounts are squared. But to the great majority of men purely intellectual pleasures are not accessible. They are almost quite incapable of the joys which lie in pure knowledge. They are entirely given up to willing. If, therefore, anything is to win their sympathy, to be *interesting* to them, it must (as is implied in the meaning of the word) in some way excite their *will*, even if it is only through a distant and merely problematical relation to it; the will must not be left altogether out of the question, for their existence lies far more in willing than in knowing, — action and reaction is their one element. We may find in trifles and everyday occurrences the naïve expressions of this

quality. Thus, for example, at any place worth seeing they may visit, they write their names, in order thus to react, to affect the place since it does not affect them. Again, when they see a strange rare animal, they cannot easily confine themselves to merely observing it; they must rouse it, tease it, play with it, merely to experience action and reaction; but this need for excitement of the will manifests itself very specially in the discovery and support of card-playing, which is quite peculiarly the expression of the miserable side of humanity.

But whatever nature and fortune may have done, whoever a man be and whatever he may possess, the pain which is essential to life cannot be thrown off: — Πηλεϊδης δ' ὠμωξεν, ιδων εις ουρανον ευρον (Pelides autem ejulavit, intuitus in cælum latum). And again: — Ζηνος μιν παις ηα Κρονιονος, αυταρ οιζυν ειχον απειρεσιν (Jovis quidem filius eram Saturnii; verum ærumnam habebam infinitam). The ceaseless efforts to banish suffering accomplish no more than to make it change its form. It is essentially deficiency, want, care for the maintenance of life. If we succeed, which is very difficult, in removing pain in this form, it immediately assumes a thousand others, varying according to age and circumstances, such as lust, passionate love, jealousy, envy, hatred, anxiety, ambition, covetousness, sickness, &c., &c. If at last it can find entrance in no other form, it comes in the sad, grey garments of tediousness and ennui, against which we then strive in various ways. If finally we succeed in driving this away, we shall hardly do so without letting pain enter in one of its earlier forms, and the dance begin again from the beginning; for all human life is tossed backwards and forwards between pain and ennui. Depressing as this view of life is, I will draw attention, by the way, to an aspect of it from which consolation may be drawn, and perhaps even a stoical indifference to one's own present ills may be attained. For our impatience at these arises for the most part from the fact that we regard them as brought about by a chain of causes which might easily be different. We do not generally grieve over ills which are directly necessary and quite universal; for example, the necessity of age and of death, and many daily inconveniences. It is rather the consideration of the accidental nature of the circumstances that brought some sorrow just to us, that gives it its sting. But if we have recognised that pain, as such, is inevitable and essential to life, and that nothing depends upon chance but its mere fashion, the form under which it presents itself, that thus our present sorrow fills a place that, without it, would at once be



occupied by another which now is excluded by it, and that therefore fate can affect us little in what is essential; such a reflection, if it were to become a living conviction, might produce a considerable degree of stoical equanimity, and very much lessen the anxious care for our own well-being. But, in fact, such a powerful control of reason over directly felt suffering seldom or never occurs.

Besides, through this view of the inevitableness of pain, of the supplanting of one pain by another, and the introduction of a new pain through the passing away of that which preceded it, one might be led to the paradoxical but not absurd hypothesis, that in every individual the measure of the pain essential to him was determined once for all by his nature, a measure which could neither remain empty, nor be more than filled, however much the form of the suffering might change. Thus his suffering and well-being would by no means be determined from without, but only through that measure, that natural disposition, which indeed might experience certain additions and diminutions from the physical condition at different times, but yet, on the whole, would remain the same, and would just be what is called the temperament, or, more accurately, the degree in which he might be *ευκολος* or *δυσκολος*, as Plato expresses it in the First Book of the Republic, *i.e.*, in an easy or difficult mood. This hypothesis is supported not only by the well-known experience that great suffering makes all lesser ills cease to be felt, and conversely that freedom from great suffering makes even the most trifling inconveniences torment us and put us out of humour; but experience also teaches that if a great misfortune, at the mere thought of which we shuddered, actually befalls us, as soon as we have overcome the first pain of it, our disposition remains for the most part unchanged; and, conversely, that after the attainment of some happiness we have long desired, we do not feel ourselves on the whole and permanently very much better off and agreeably situated than before. Only the moment at which these changes occur affects us with unusual strength, as deep sorrow or exulting joy, but both soon pass away, for they are based upon illusion. For they do not spring from the immediately present pleasure or pain, but only from the opening up of a new future which is anticipated in them. Only by borrowing from the future could pain or pleasure be heightened so abnormally, and consequently not enduringly. It would follow, from the hypothesis advanced, that a large part of the feeling of suffering and of well-being would be subjective and determined *a priori*, as

is the case with knowing; and we may add the following remarks as evidence in favour of it. Human cheerfulness or dejection are manifestly not determined by external circumstances, such as wealth and position, for we see at least as many glad faces among the poor as among the rich. Further, the motives which induce suicide are so very different, that we can assign no motive that is so great as to bring it about, even with great probability, in every character, and few that would be so small that the like of them had never caused it. Now although the degree of our serenity or sadness is not at all times the same, yet, in consequence of this view, we shall not attribute it to the change of outward circumstances, but to that of the inner condition, the physical state. For when an actual, though only temporary, increase of our serenity, even to the extent of joyfulness, takes place, it usually appears without any external occasion. It is true that we often see our pain arise only from some definite external relation, and are visibly oppressed and saddened by this only. Then we believe that if only this were taken away, the greatest contentment would necessarily ensue. But this is illusion. The measure of our pain and our happiness is on the whole, according to our hypothesis, subjectively determined for each point of time, and the motive for sadness is related to that, just as a blister which draws to a head all the bad humours otherwise distributed is related to the body. The pain which is at that period of time essential to our nature, and therefore cannot be shaken off, would, without the definite external cause of our suffering, be divided at a hundred points, and appear in the form of a hundred little annoyances and cares about things which we now entirely overlook, because our capacity for pain is already filled by that chief evil which has concentrated in a point all the suffering otherwise dispersed. This corresponds also to the observation that if a great and pressing care is lifted from our breast by its fortunate issue, another immediately takes its place, the whole material of which was already there before, yet could not come into consciousness as care because there was no capacity left for it, and therefore this material of care remained indistinct and unobserved in a cloudy form on the farthest horizon of consciousness. But now that there is room, this prepared material at once comes forward and occupies the throne of the reigning care of the day (πρυτανευουσα). And if it is very much lighter in its matter than the material of the care which has vanished, it knows how to blow itself out so as apparently to equal it in size, and thus, as the chief care of the day, completely fills the throne.

Excessive joy and very keen suffering always occur in the same person, for they condition each other reciprocally, and are also in common conditioned by great activity of the mind. Both are produced, as we have just seen, not by what is really present, but by the anticipation of the future. But since pain is essential to life, and its degree is also determined by the nature of the subject, sudden changes, because they are always external, cannot really alter its degree. Thus an error and delusion always lies at the foundation of immoderate joy or grief, and consequently both these excessive strainings of the mind can be avoided by knowledge. Every immoderate joy (*exultatio, insolens lætitia*) always rests on the delusion that one has found in life what can never be found there — lasting satisfaction of the harassing desires and cares, which are constantly breeding new ones. From every particular delusion of this kind one must inevitably be brought back later, and then when it vanishes must pay for it with pain as bitter as the joy its entrance caused was keen. So far, then, it is precisely like a height from which one can come down only by a fall. Therefore one ought to avoid them; and every sudden excessive grief is just a fall from some such height, the vanishing of such a delusion, and so conditioned by it. Consequently we might avoid them both if we had sufficient control over ourselves to survey things always with perfect clearness as a whole and in their connection, and steadfastly to guard against really lending them the colours which we wish they had. The principal effort of the Stoical ethics was to free the mind from all such delusion and its consequences, and to give it instead an equanimity that could not be disturbed. It is this insight that inspires Horace in the well-known ode —

*“Æquam memento rebus in arduis  
Servare mentem, non secus in bonis  
Ab insolenti temperatam  
Lætitia.”*

For the most part, however, we close our minds against the knowledge, which may be compared to a bitter medicine, that suffering is essential to life, and therefore does not flow in upon us from without, but that every one carries about with him its perennial source in his own heart. We rather seek constantly for an external particular cause, as it were, a pretext for the pain which never leaves us, just as the free man makes himself an idol, in order to have a master. For we unweariedly strive from wish to wish; and although every satisfaction, however much it promised, when attained fails

to satisfy us, but for the most part comes presently to be an error of which we are ashamed, yet we do not see that we draw water with the sieve of the Danaïdes, but ever hasten to new desires.

*“Sed, dum abest quod avemus, id exsuperare videtur  
Cætera; post aliud, quum contigit illud, avemus;  
Et sitis æqua tenet vitai semper hiantes.”* — Lucr. iii. 1095.

Thus it either goes on for ever, or, what is more rare and presupposes a certain strength of character, till we reach a wish which is not satisfied and yet cannot be given up. In that case we have, as it were, found what we sought, something that we can always blame, instead of our own nature, as the source of our suffering. And thus, although we are now at variance with our fate, we are reconciled to our existence, for the knowledge is again put far from us that suffering is essential to this existence itself, and true satisfaction impossible. The result of this form of development is a somewhat melancholy disposition, the constant endurance of a single great pain, and the contempt for all lesser sorrows or joys that proceeds from it; consequently an already nobler phenomenon than that constant seizing upon ever-new forms of illusion, which is much more common.

§ 58. All satisfaction, or what is commonly called happiness, is always really and essentially only *negative*, and never positive. It is not an original gratification coming to us of itself, but must always be the satisfaction of a wish. The wish, *i.e.*, some want, is the condition which precedes every pleasure. But with the satisfaction the wish and therefore the pleasure cease. Thus the satisfaction or the pleasing can never be more than the deliverance from a pain, from a want; for such is not only every actual, open sorrow, but every desire, the importunity of which disturbs our peace, and, indeed, the deadening ennui also that makes life a burden to us. It is, however, so hard to attain or achieve anything; difficulties and troubles without end are opposed to every purpose, and at every step hindrances accumulate. But when finally everything is overcome and attained, nothing can ever be gained but deliverance from some sorrow or desire, so that we find ourselves just in the same position as we occupied before this sorrow or desire appeared. All that is even directly given us is merely the want, *i.e.*, the pain. The satisfaction and the pleasure we can only know indirectly through the remembrance of the preceding suffering and want, which ceases with its appearance. Hence it arises that we are not properly conscious of the blessings and advantages we actually possess, nor do we prize them, but

think of them merely as a matter of course, for they gratify us only negatively by restraining suffering. Only when we have lost them do we become sensible of their value; for the want, the privation, the sorrow, is the positive, communicating itself directly to us. Thus also we are pleased by the remembrance of past need, sickness, want, and such like, because this is the only means of enjoying the present blessings. And, further, it cannot be denied that in this respect, and from this standpoint of egoism, which is the form of the will to live, the sight or the description of the sufferings of others affords us satisfaction and pleasure in precisely the way Lucretius beautifully and frankly expresses it in the beginning of the Second Book —

*“Suave, mari magno, turbantibus æquora ventis,  
E terra magnum alterius spectare laborem:  
Non, quia vexari quemquam est jucunda voluptas;  
Sed, quibus ipse malis careas, quia cernere suave est.”*

Yet we shall see farther on that this kind of pleasure, through knowledge of our own well-being obtained in this way, lies very near the source of real, positive wickedness.

That all happiness is only of a negative not a positive nature, that just on this account it cannot be lasting satisfaction and gratification, but merely delivers us from some pain or want which must be followed either by a new pain, or by languor, empty longing, and ennui; this finds support in art, that true mirror of the world and life, and especially in poetry. Every epic and dramatic poem can only represent a struggle, an effort, and fight for happiness, never enduring and complete happiness itself. It conducts its heroes through a thousand difficulties and dangers to the goal; as soon as this is reached, it hastens to let the curtain fall; for now there would remain nothing for it to do but to show that the glittering goal in which the hero expected to find happiness had only disappointed him, and that after its attainment he was no better off than before. Because a genuine enduring happiness is not possible, it cannot be the subject of art. Certainly the aim of the idyll is the description of such a happiness, but one also sees that the idyll as such cannot continue. The poet always finds that it either becomes epical in his hands, and in this case it is a very insignificant epic, made up of trifling sorrows, trifling delights, and trifling efforts — this is the commonest case — or else it becomes a merely descriptive poem, describing the beauty of nature, *i.e.*, pure knowing free from will, which certainly, as a matter of fact, is the only pure happiness, which is neither

preceded by suffering or want, nor necessarily followed by repentance, sorrow, emptiness, or satiety; but this happiness cannot fill the whole life, but is only possible at moments. What we see in poetry we find again in music; in the melodies of which we have recognised the universal expression of the inmost history of the self-conscious will, the most secret life, longing, suffering, and delight; the ebb and flow of the human heart. Melody is always a deviation from the keynote through a thousand capricious wanderings, even to the most painful discord, and then a final return to the keynote which expresses the satisfaction and appeasing of the will, but with which nothing more can then be done, and the continuance of which any longer would only be a wearisome and unmeaning monotony corresponding to ennui.

All that we intend to bring out clearly through these investigations, the impossibility of attaining lasting satisfaction and the negative nature of all happiness, finds its explanation in what is shown at the conclusion of the Second Book: that the will, of which human life, like every phenomenon, is the objectification, is a striving without aim or end. We find the stamp of this endlessness imprinted upon all the parts of its whole manifestation, from its most universal form, endless time and space, up to the most perfect of all phenomena, the life and efforts of man. We may theoretically assume three extremes of human life, and treat them as elements of actual human life. First, the powerful will, the strong passions (Radscha-Guna). It appears in great historical characters; it is described in the epic and the drama. But it can also show itself in the little world, for the size of the objects is measured here by the degree in which they influence the will, not according to their external relations. Secondly, pure knowing, the comprehension of the Ideas, conditioned by the freeing of knowledge from the service of will: the life of genius (Satwa-Guna). Thirdly and lastly, the greatest lethargy of the will, and also of the knowledge attaching to it, empty longing, life-benumbing languor (Tama-Guna). The life of the individual, far from becoming permanently fixed in one of these extremes, seldom touches any of them, and is for the most part only a weak and wavering approach to one or the other side, a needy desiring of trifling objects, constantly recurring, and so escaping ennui. It is really incredible how meaningless and void of significance when looked at from without, how dull and unenlightened by intellect when felt from within, is the course of the life of the great majority of men. It is a weary longing and complaining, a dream-like staggering

through the four ages of life to death, accompanied by a series of trivial thoughts. Such men are like clockwork, which is wound up, and goes it knows not why; and every time a man is begotten and born, the clock of human life is wound up anew, to repeat the same old piece it has played innumerable times before, passage after passage, measure after measure, with insignificant variations. Every individual, every human being and his course of life, is but another short dream of the endless spirit of nature, of the persistent will to live; is only another fleeting form, which it carelessly sketches on its infinite page, space and time; allows to remain for a time so short that it vanishes into nothing in comparison with these, and then obliterates to make new room. And yet, and here lies the serious side of life, every one of these fleeting forms, these empty fancies, must be paid for by the whole will to live, in all its activity, with many and deep sufferings, and finally with a bitter death, long feared and coming at last. This is why the sight of a corpse makes us suddenly so serious.

The life of every individual, if we survey it as a whole and in general, and only lay stress upon its most significant features, is really always a tragedy, but gone through in detail, it has the character of a comedy. For the deeds and vexations of the day, the restless irritation of the moment, the desires and fears of the week, the mishaps of every hour, are all through chance, which is ever bent upon some jest, scenes of a comedy. But the never-satisfied wishes, the frustrated efforts, the hopes unmercifully crushed by fate, the unfortunate errors of the whole life, with increasing suffering and death at the end, are always a tragedy. Thus, as if fate would add derision to the misery of our existence, our life must contain all the woes of tragedy, and yet we cannot even assert the dignity of tragic characters, but in the broad detail of life must inevitably be the foolish characters of a comedy.

But however much great and small trials may fill human life, they are not able to conceal its insufficiency to satisfy the spirit; they cannot hide the emptiness and superficiality of existence, nor exclude ennui, which is always ready to fill up every pause that care may allow. Hence it arises that the human mind, not content with the cares, anxieties, and occupations which the actual world lays upon it, creates for itself an imaginary world also in the form of a thousand different superstitions, then finds all manner of employment with this, and wastes time and strength upon it, as soon as the real world is willing to grant it the rest which it is quite incapable of

enjoying. This is accordingly most markedly the case with nations for which life is made easy by the congenial nature of the climate and the soil, most of all with the Hindus, then with the Greeks, the Romans, and later with the Italians, the Spaniards, &c. Demons, gods, and saints man creates in his own image; and to them he must then unceasingly bring offerings, prayers, temple decorations, vows and their fulfilment, pilgrimages, salutations, ornaments for their images, &c. Their service mingles everywhere with the real, and, indeed, obscures it. Every event of life is regarded as the work of these beings; the intercourse with them occupies half the time of life, constantly sustains hope, and by the charm of illusion often becomes more interesting than intercourse with real beings. It is the expression and symptom of the actual need of mankind, partly for help and support, partly for occupation and diversion; and if it often works in direct opposition to the first need, because when accidents and dangers arise valuable time and strength, instead of being directed to warding them off, are uselessly wasted on prayers and offerings; it serves the second end all the better by this imaginary converse with a visionary spirit world; and this is the by no means contemptible gain of all superstitions.

§ 59. If we have so far convinced ourselves *a priori*, by the most general consideration, by investigation of the primary and elemental features of human life, that in its whole plan it is capable of no true blessedness, but is in its very nature suffering in various forms, and throughout a state of misery, we might now awaken this conviction much more vividly within us if, proceeding more *a posteriori*, we were to turn to more definite instances, call up pictures to the fancy, and illustrate by examples the unspeakable misery which experience and history present, wherever one may look and in whatever direction one may seek. But the chapter would have no end, and would carry us far from the standpoint of the universal, which is essential to philosophy; and, moreover, such a description might easily be taken for a mere declamation on human misery, such as has often been given, and, as such, might be charged with one-sidedness, because it started from particular facts. From such a reproach and suspicion our perfectly cold and philosophical investigation of the inevitable suffering which is founded in the nature of life is free, for it starts from the universal and is conducted *a priori*. But confirmation *a posteriori* is everywhere easily obtained. Every one who has awakened from the first dream of youth, who has considered his own experience and that of others, who has studied himself in life, in the



history of the past and of his own time, and finally in the works of the great poets, will, if his judgment is not paralysed by some indelibly imprinted prejudice, certainly arrive at the conclusion that this human world is the kingdom of chance and error, which rule without mercy in great things and in small, and along with which folly and wickedness also wield the scourge. Hence it arises that everything better only struggles through with difficulty; what is noble and wise seldom attains to expression, becomes effective and claims attention, but the absurd and the perverse in the sphere of thought, the dull and tasteless in the sphere of art, the wicked and deceitful in the sphere of action, really assert a supremacy, only disturbed by short interruptions. On the other hand, everything that is excellent is always a mere exception, one case in millions, and therefore, if it presents itself in a lasting work, this, when it has outlived the enmity of its contemporaries, exists in isolation, is preserved like a meteoric stone, sprung from an order of things different from that which prevails here. But as far as the life of the individual is concerned, every biography is the history of suffering, for every life is, as a rule, a continual series of great and small misfortunes, which each one conceals as much as possible, because he knows that others can seldom feel sympathy or compassion, but almost always satisfaction at the sight of the woes from which they are themselves for the moment exempt. But perhaps at the end of life, if a man is sincere and in full possession of his faculties, he will never wish to have it to live over again, but rather than this, he will much prefer absolute annihilation. The essential content of the famous soliloquy in “Hamlet” is briefly this: Our state is so wretched that absolute annihilation would be decidedly preferable. If suicide really offered us this, so that the alternative “to be or not to be,” in the full sense of the word, was placed before us, then it would be unconditionally to be chosen as “a consummation devoutly to be wished.” But there is something in us which tells us that this is not the case: suicide is not the end; death is not absolute annihilation. In like manner, what was said by the father of history<sup>71</sup> has not since him been contradicted, that no man has ever lived who has not wished more than once that he had not to live the following day. According to this, the brevity of life, which is so constantly lamented, may be the best quality it possesses. If, finally, we should bring clearly to a man’s sight the terrible sufferings and miseries to which his life is constantly exposed, he would be seized with horror; and if we were to conduct the confirmed optimist through the hospitals,

infirmaries, and surgical operating-rooms, through the prisons, torture-chambers, and slave-kennels, over battle-fields and places of execution; if we were to open to him all the dark abodes of misery, where it hides itself from the glance of cold curiosity, and, finally, allow him to glance into the starving dungeon of Ugolino, he, too, would understand at last the nature of this "best of possible worlds." For whence did Dante take the materials for his hell but from this our actual world? And yet he made a very proper hell of it. And when, on the other hand, he came to the task of describing heaven and its delights, he had an insurmountable difficulty before him, for our world affords no materials at all for this. Therefore there remained nothing for him to do but, instead of describing the joys of paradise, to repeat to us the instruction given him there by his ancestor, by Beatrice, and by various saints. But from this it is sufficiently clear what manner of world it is. Certainly human life, like all bad ware, is covered over with a false lustre: what suffers always conceals itself; on the other hand, whatever pomp or splendour any one can get, he makes a show of openly, and the more inner contentment deserts him, the more he desires to exist as fortunate in the opinion of others: to such an extent does folly go, and the opinion of others is a chief aim of the efforts of every one, although the utter nothingness of it is expressed in the fact that in almost all languages vanity, *vanitas*, originally signifies emptiness and nothingness. But under all this false show, the miseries of life can so increase — and this happens every day — that the death which hitherto has been feared above all things is eagerly seized upon. Indeed, if fate will show its whole malice, even this refuge is denied to the sufferer, and, in the hands of enraged enemies, he may remain exposed to terrible and slow tortures without remedy. In vain the sufferer then calls on his gods for help; he remains exposed to his fate without grace. But this irremediableness is only the mirror of the invincible nature of his will, of which his person is the objectivity. As little as an external power can change or suppress this will, so little can a foreign power deliver it from the miseries which proceed from the life which is the phenomenal appearance of that will. In the principal matter, as in everything else, a man is always thrown back upon himself. In vain does he make to himself gods in order to get from them by prayers and flattery what can only be accomplished by his own will-power. The Old Testament made the world and man the work of a god, but the New Testament saw that, in order to teach that holiness and salvation from the sorrows of this world can only

come from the world itself, it was necessary that this god should become man. It is and remains the will of man upon which everything depends for him. Fanatics, martyrs, saints of every faith and name, have voluntarily and gladly endured every torture, because in them the will to live had suppressed itself; and then even the slow destruction of its phenomenon was welcome to them. But I do not wish to anticipate the later exposition. For the rest, I cannot here avoid the statement that, to me, *optimism*, when it is not merely the thoughtless talk of such as harbour nothing but words under their low foreheads, appears not merely as an absurd, but also as a really *wicked* way of thinking, as a bitter mockery of the unspeakable suffering of humanity. Let no one think that Christianity is favourable to optimism; for, on the contrary, in the Gospels world and evil are used as almost synonymous.<sup>72</sup>

§ 60. We have now completed the two expositions it was necessary to insert; the exposition of the freedom of the will in itself together with the necessity of its phenomenon, and the exposition of its lot in the world which reflects its own nature, and upon the knowledge of which it has to assert or deny itself. Therefore we can now proceed to bring out more clearly the nature of this assertion and denial itself, which was referred to and explained in a merely general way above. This we shall do by exhibiting the conduct in which alone it finds its expression, and considering it in its inner significance.

The *assertion of the will* is the continuous willing itself, undisturbed by any knowledge, as it fills the life of man in general. For even the body of a man is the objectivity of the will, as it appears at this grade and in this individual. And thus his willing which develops itself in time is, as it were, a paraphrase of his body, an elucidation of the significance of the whole and its parts; it is another way of exhibiting the same thing-in-itself, of which the body is already the phenomenon. Therefore, instead of saying assertion of the will, we may say assertion of the body. The fundamental theme or subject of all the multifarious acts of will is the satisfaction of the wants which are inseparable from the existence of the body in health, they already have their expression in it, and may be referred to the maintenance of the individual and the propagation of the species. But indirectly the most different kinds of motives obtain in this way power over the will, and bring about the most multifarious acts of will. Each of these is only an example,

an instance, of the will which here manifests itself generally. Of what nature this example may be, what form the motive may have and impart to it, is not essential; the important point here is that something is willed in general and the degree of intensity with which it is so willed. The will can only become visible in the motives, as the eye only manifests its power of seeing in the light. The motive in general stands before the will in protean forms. It constantly promises complete satisfaction, the quenching of the thirst of will. But whenever it is attained it at once appears in another form, and thus influences the will anew, always according to the degree of the intensity of this will, and its relation to knowledge which are revealed as empirical character, in these very examples and instances.

From the first appearance of consciousness, a man finds himself a willing being, and as a rule, his knowledge remains in constant relation to his will. He first seeks to know thoroughly the objects of his desire, and then the means of attaining them. Now he knows what he has to do, and, as a rule, he does not strive after other knowledge. He moves and acts; his consciousness keeps him always working directly and actively towards the aims of his will; his thought is concerned with the choice of motives. Such is life for almost all men; they wish, they know what they wish, and they strive after it, with sufficient success to keep them from despair, and sufficient failure to keep them from ennui and its consequences. From this proceeds a certain serenity, or at least indifference, which cannot be affected by wealth or poverty; for the rich and the poor do not enjoy what they have, for this, as we have shown, acts in a purely negative way, but what they hope to attain to by their efforts. They press forward with much earnestness, and indeed with an air of importance; thus children also pursue their play. It is always an exception if such a life suffers interruption from the fact that either the æsthetic demand for contemplation or the ethical demand for renunciation proceed from a knowledge which is independent of the service of the will, and directed to the nature of the world in general. Most men are pursued by want all through life, without ever being allowed to come to their senses. On the other hand, the will is often inflamed to a degree that far transcends the assertion of the body, and then violent emotions and powerful passions show themselves, in which the individual not only asserts his own existence, but denies and seeks to suppress that of others when it stands in his way.

The maintenance of the body through its own powers is so small a degree of the assertion of will, that if it voluntarily remains at this degree, we might assume that, with the death of this body, the will also which appeared in it would be extinguished. But even the satisfaction of the sexual passions goes beyond the assertion of one's own existence, which fills so short a time, and asserts life for an indefinite time after the death of the individual. Nature, always true and consistent, here even naïve, exhibits to us openly the inner significance of the act of generation. Our own consciousness, the intensity of the impulse, teaches us that in this act the most decided *assertion of the will to live* expresses itself, pure and without further addition (any denial of other individuals); and now, as the consequence of this act, a new life appears in time and the causal series, *i.e.*, in nature; the begotten appears before the begetter, different as regards the phenomenon, but in himself, *i.e.*, according to the Idea, identical with him. Therefore it is this act through which every species of living creature binds itself to a whole and is perpetuated. Generation is, with reference to the begetter, only the expression, the symptom, of his decided assertion of the will to live: with reference to the begotten, it is not the cause of the will which appears in him, for the will in itself knows neither cause nor effect, but, like all causes, it is merely the occasional cause of the phenomenal appearance of this will at this time in this place. As thing-in-itself, the will of the begetter and that of the begotten are not different, for only the phenomenon, not the thing-in-itself, is subordinate to the *principium individuationis*. With that assertion beyond our own body and extending to the production of a new body, suffering and death, as belonging to the phenomenon of life, have also been asserted anew, and the possibility of salvation, introduced by the completest capability of knowledge, has for this time been shown to be fruitless. Here lies the profound reason of the shame connected with the process of generation. This view is mythically expressed in the dogma of Christian theology that we are all partakers in Adam's first transgression (which is clearly just the satisfaction of sexual passion), and through it are guilty of suffering and death. In this theology goes beyond the consideration of things according to the principle of sufficient reason, and recognises the Idea of man, the unity of which is re-established out of its dispersion into innumerable individuals through the bond of generation which holds them all together. Accordingly it regards every individual as on one side identical with Adam, the representative of the assertion of life, and,

so far, as subject to sin (original sin), suffering, and death; on the other side, the knowledge of the Idea of man enables it to regard every individual as identical with the saviour, the representative of the denial of the will to live, and, so far as a partaker of his sacrifice of himself, saved through his merits, and delivered from the bands of sin and death, *i.e.*, the world (Rom. v. 12-21).

Another mythical exposition of our view of sexual pleasure as the assertion of the will to live beyond the individual life, as an attainment to life which is brought about for the first time by this means, or as it were a renewed assignment of life, is the Greek myth of Proserpine, who might return from the lower world so long as she had not tasted its fruit, but who became subject to it altogether through eating the pomegranate. This meaning appears very clearly in Goethe's incomparable presentation of this myth, especially when, as soon as she has tasted the pomegranate, the invisible chorus of the Fates —

“Thou art ours!

Fasting shouldest thou return:

And the bite of the apple makes thee ours!”

It is worth noticing that Clement of Alexandria (Strom. iii. c. 15) illustrates the matter with the same image and the same expression: Οἱ μὲν εὐνουχισαντες ἑαυτοὺς ἀπο πάσης ἁμαρτίας, δια τὴν βασιλειαν, τῶν οὐρανῶν, μακαριοὶ οὗτοι εἰσιν, οἱ τοῦ κόσμου νηστευόντες; (*Qui se castrarunt ab omni peccato propter regnum cœlorum, ii sunt beati, a mundo jejunantes*).

The sexual impulse also proves itself the decided and strongest assertion of life by the fact that to man in a state of nature, as to the brutes, it is the final end, the highest goal of life. Self-maintenance is his first effort, and as soon as he has made provision for that, he only strives after the propagation of the species: as a merely natural being he can attempt no more. Nature also, the inner being of which is the will to live itself, impels with all her power both man and the brute towards propagation. Then it has attained its end with the individual, and is quite indifferent to its death, for, as the will to live, it cares only for the preservation of the species, the individual is nothing to it. Because the will to live expresses itself most strongly in the sexual impulse, the inner being of nature, the old poets and philosophers — Hesiod and Parmenides — said very significantly that Eros is the first, the

creator, the principle from which all things proceed. (Cf. Arist. Metaph., i. 4.) Pherecydes said: Εἰς ἐρωτὰ μεταβεβλησθαι τὸν Δία, μέλλοντα δημιουργεῖν (*Jovem, cum mundum fabricare vellet, in cupidinem sese transformasse*). Proclus ad Plat. Tim., l. iii. A complete treatment of this subject we have recently received from G. F. Schœmann, “*De Cupidine Cosmogonico*,” 1852. The Mâyâ of the Hindus, whose work and web is the whole world of illusion, is also symbolised by love.

The genital organs are, far more than any other external member of the body, subject merely to the will, and not at all to knowledge. Indeed, the will shows itself here almost as independent of knowledge, as in those parts which, acting merely in consequence of stimuli, are subservient to vegetative life and reproduction, in which the will works blindly as in unconscious nature. For generation is only reproduction passing over to a new individual, as it were reproduction at the second power, as death is only excretion at the second power. According to all this, the genitals are properly the *focus* of will, and consequently the opposite pole of the brain, the representative of knowledge, *i.e.*, the other side of the world, the world as idea. The former are the life-sustaining principle ensuring endless life to time. In this respect they were worshipped by the Greeks in the *phallus*, and by the Hindus in the *lingam*, which are thus the symbol of the assertion of the will. Knowledge, on the other hand, affords the possibility of the suppression of willing, of salvation through freedom, of conquest and annihilation of the world.

We already considered fully at the beginning of this Fourth Book how the will to live in its assertion must regard its relation to death. We saw that death does not trouble it, because it exists as something included in life itself and belonging to it. Its opposite, generation, completely counterbalances it; and, in spite of the death of the individual, ensures and guarantees life to the will to live through all time. To express this the Hindus made the *lingam* an attribute of Siva, the god of death. We also fully explained there how he who with full consciousness occupies the standpoint of the decided assertion of life awaits death without fear. We shall therefore say nothing more about this here. Without clear consciousness most men occupy this standpoint and continually assert life. The world exists as the mirror of this assertion, with innumerable individuals in infinite time and space, in infinite suffering, between generation and death without end. Yet from no side is a complaint to be further raised about this; for the will

conducts the great tragedy and comedy at its own expense, and is also its own spectator. The world is just what it is because the will, whose manifestation it is, is what it is, because it so wills. The justification of suffering is, that in this phenomenon also the will asserts itself; and this assertion is justified and balanced by the fact that the will bears the suffering. Here we get a glimpse of *eternal justice* in the whole: we shall recognise it later more definitely and distinctly, and also in the particular. But first we must consider temporal or human justice.<sup>73</sup>

§ 61. It may be remembered from the Second Book that in the whole of nature, at all the grades of the objectification of will, there was a necessary and constant conflict between the individuals of all species; and in this way was expressed the inner contradiction of the will to live with itself. At the highest grade of the objectification, this phenomenon, like all others, will exhibit itself with greater distinctness, and will therefore be more easily explained. With this aim we shall next attempt to trace the source of *egoism* as the starting-point of all conflict.

We have called time and space the *principium individuationis*, because only through them and in them is multiplicity of the homogeneous possible. They are the essential forms of natural knowledge, *i.e.*, knowledge springing from the will. Therefore the will everywhere manifests itself in the multiplicity of individuals. But this multiplicity does not concern the will as thing-in-itself, but only its phenomena. The will itself is present, whole and undivided, in every one of these, and beholds around it the innumerably repeated image of its own nature; but this nature itself, the actually real, it finds directly only in its inner self. Therefore every one desires everything for himself, desires to possess, or at least to control, everything, and whatever opposes it it would like to destroy. To this is added, in the case of such beings as have knowledge, that the individual is the supporter of the knowing subject, and the knowing subject is the supporter of the world, *i.e.*, that the whole of Nature outside the knowing subject, and thus also all other individuals, exist only in its idea; it is only conscious of them as its idea, thus merely indirectly as something which is dependent on its own nature and existence; for with its consciousness the world necessarily disappears for it, *i.e.*, its being and non-being become synonymous and indistinguishable. Every knowing individual is thus in truth, and finds itself as the whole will to live, or the inner being of the world itself, and also as the complementary condition of the world as idea,



consequently as a microcosm which is of equal value with the macrocosm. Nature itself, which is everywhere and always truthful, gives him this knowledge, originally and independently of all reflection, with simple and direct certainty. Now from these two necessary properties we have given the fact may be explained that every individual, though vanishing altogether and diminished to nothing in the boundless world, yet makes itself the centre of the world, has regard for its own existence and well-being before everything else; indeed, from the natural standpoint, is ready to sacrifice everything else for this — is ready to annihilate the world in order to maintain its own self, this drop in the ocean, a little longer. This disposition is *egoism*, which is essential to everything in Nature. Yet it is just through egoism that the inner conflict of the will with itself attains to such a terrible revelation; for this egoism has its continuance and being in that opposition of the microcosm and macrocosm, or in the fact that the objectification of will has the *principium individuationis* for its form, through which the will manifests itself in the same way in innumerable individuals, and indeed entire and completely in both aspects (will and idea) in each. Thus, while each individual is given to itself directly as the whole will and the whole subject of ideas, other individuals are only given it as ideas. Therefore its own being, and the maintenance of it, is of more importance to it than that of all others together. Every one looks upon his own death as upon the end of the world, while he accepts the death of his acquaintances as a matter of comparative indifference, if he is not in some way affected by it. In the consciousness that has reached the highest grade, that of man, egoism, as well as knowledge, pain and pleasure, must have reached its highest grade also, and the conflict of individuals which is conditioned by it must appear in its most terrible form. And indeed we see this everywhere before our eyes, in small things as in great. Now we see its terrible side in the lives of great tyrants and miscreants, and in world-desolating wars; now its absurd side, in which it is the theme of comedy, and very specially appears as self-conceit and vanity. Rochefoucault understood this better than any one else, and presented it in the abstract. We see it both in the history of the world and in our own experience. But it appears most distinctly of all when any mob of men is set free from all law and order; then there shows itself at once in the distinctest form the *bellum omnium contra omnes*, which Hobbes has so admirably described in the first chapter *De Cive*. We see not only how every one tries to seize from the other what he wants himself, but

how often one will destroy the whole happiness or life of another for the sake of an insignificant addition to his own happiness. This is the highest expression of egoism, the manifestations of which in this regard are only surpassed by those of actual wickedness, which seeks, quite disinterestedly, the hurt and suffering of others, without any advantage to itself. Of this we shall speak soon. With this exhibition of the source of egoism the reader should compare the presentation of it in my prize-essay on the basis of morals, § 14.

A chief source of that suffering which we found above to be essential and inevitable to all life is, when it really appears in a definite form, that *Eris*, the conflict of all individuals, the expression of the contradiction, with which the will to live is affected in its inner self, and which attains a visible form through the *principium individuationis*. Wild-beast fights are the most cruel means of showing this directly and vividly. In this original discord lies an unquenchable source of suffering, in spite of the precautions that have been taken against it, and which we shall now consider more closely.

§ 62. It has already been explained that the first and simplest assertion of the will to live is only the assertion of one's own body, *i.e.*, the exhibition of the will through acts in time, so far as the body, in its form and design, exhibits the same will in space, and no further. This assertion shows itself as maintenance of the body, by means of the application of its own powers. To it is directly related the satisfaction of the sexual impulse; indeed this belongs to it, because the genitals belong to the body. Therefore *voluntary* renunciation of the satisfaction of that impulse, based upon no *motive*, is already a denial of the will to live, is a voluntary self-suppression of it, upon the entrance of knowledge which acts as a *quieter*. Accordingly such denial of one's own body exhibits itself as a contradiction by the will of its own phenomenon. For although here also the body objectifies in the genitals the will to perpetuate the species, yet this is not willed. Just on this account, because it is a denial or suppression of the will to live, such a renunciation is a hard and painful self-conquest; but of this later. But since the will exhibits that *self-assertion* of one's own body in innumerable individuals beside each other, it very easily extends in one individual, on account of the egoism peculiar to them all, beyond this assertion to the *denial* of the same will appearing in another individual. The will of the first breaks through the limits of the assertion of will of another, because the individual either destroys or injures this other body itself, or else because it compels the

powers of the other body to serve *its own* will, instead of the will which manifests itself in that other body. Thus if, from the will manifesting itself as another body, it withdraws the powers of this body, and so increases the power serving its own will beyond that of its own body, it consequently asserts its own will beyond its own body by means of the negation of the will appearing in another body. This breaking through the limits of the assertion of will of another has always been distinctly recognised, and its concept denoted by the word *wrong*. For both sides recognise the fact instantly, not, indeed, as we do here in distinct abstraction, but as feeling. He who suffers wrong feels the transgression into the sphere of the assertion of his own body, through the denial of it by another individual, as a direct and mental pain which is entirely separated and different from the accompanying physical suffering experienced from the act or the vexation at the loss. To the doer of wrong, on the other hand, the knowledge presents itself that he is in himself the same will which appears in that body also, and which asserts itself with such vehemence; the one phenomenon that, transgressing the limits of its own body and its powers, it extends to the denial of this very will in another phenomenon, and so, regarded as will in itself, it strives against itself by this vehemence and rends itself. Moreover, this knowledge presents itself to him instantly, not *in abstracto*, but as an obscure feeling; and this is called remorse, or, more accurately in this case, the feeling of *wrong committed*.

*Wrong*, the conception of which we have thus analysed in its most general and abstract form, expresses itself in the concrete most completely, peculiarly, and palpably in cannibalism. This is its most distinct and evident type, the terrible picture of the greatest conflict of the will with itself at the highest grade of its objectification, which is man. Next to this, it expresses itself most distinctly in murder; and therefore the committal of murder is followed instantly and with fearful distinctness by remorse, the abstract and dry significance of which we have just given, which inflicts a wound on our peace of mind that a lifetime cannot heal. For our horror at the murder committed, as also our shrinking from the committal of it, corresponds to that infinite clinging to life with which everything living, as phenomenon of the will to live, is penetrated. (We shall analyse this feeling which accompanies the doing of wrong and evil, in other words, the pangs of conscience, more fully later on, and raise its concept to distinctness.) Mutilation, or mere injury of another body, indeed every blow, is to be

regarded as in its nature the same as murder, and differing from it only in degree. Further, wrong shows itself in the subjugation of another individual, in forcing him into slavery, and, finally, in the seizure of another's goods, which, so far as these goods are regarded as the fruit of his labour, is just the same thing as making him a slave, and is related to this as mere injury is to murder.

For *property*, which is not taken from a man without *wrong*, can, according to our explanation of wrong, only be that which has been produced by his own powers. Therefore by taking this we really take the powers of his body from the will objectified in it, to make them subject to the will objectified in another body. For only so does the wrong-doer, by seizing, not the body of another, but a lifeless thing quite different from it, break into the sphere of the assertion of will of another person, because the powers, the work of this other body, are, as it were, incorporated and identified with this thing. It follows from this that all true, *i.e.*, moral, right of property is based simply and solely on work, as was pretty generally assumed before Kant, and is distinctly and beautifully expressed in the oldest of all codes of law: "Wise men who know the past explain that a cultured field is the property of him who cut down the wood and cleared and ploughed it, as an antelope belongs to the first hunter who mortally wounds it" (Laws of Manu, ix. 44). Kant's philosophy of law is an extraordinary concatenation of errors all leading to each other, and he bases the right of property upon first occupation. To me this is only explicable on the supposition that his powers were failing through old age. For how should the mere avowal of my will to exclude others from the use of a thing at once give me a *right* to it? Clearly such an avowal itself requires a foundation of right, instead of being one, as Kant assumes. And how would he act unjustly *in se*, *i.e.*, morally, who does not respect that claim to the sole possession of a thing which is based upon nothing but its own avowal? How should his conscience trouble him about it? For it is so clear and easy to understand that there can be absolutely no such thing as a just seizure of anything, but only a just conversion or acquired possession of it, by spending our own original powers upon it. When, by any foreign labour, however little, a thing has been cultivated, improved, kept from harm or preserved, even if this labour were only the plucking or picking up from the ground of fruit that has grown wild; the person who forcibly seizes such a thing clearly deprives the other of the result of his labour expended upon it,

makes the body of this other serve his will instead of its own, asserts his will beyond its own phenomenon to the denial of that of the other, *i.e.*, does injustice or wrong.<sup>74</sup> On the other hand, the mere enjoyment of a thing, without any cultivation or preservation of it from destruction, gives just as little right to it as the mere avowal of our desire for its sole possession. Therefore, though one family has hunted a district alone, even for a hundred years, but has done nothing for its improvement; if a stranger comes and desires to hunt there, it cannot prevent him from doing so without moral injustice. Thus the so-called right of preoccupation, according to which, for the mere past enjoyment of a thing, there is demanded the further recompense of the exclusive right to its future enjoyment, is morally entirely without foundation. A new-comer might with far better right reply to him who was depending upon such a right, “Just because you have so long enjoyed, it is right that others should now enjoy also.” No moral right can be established to the sole possession of anything upon which labour cannot be expended, either in improving it or in preserving it from harm, unless it be through a voluntary surrender on the part of others, as a reward for other services. This, however, already presupposes a community regulated by agreement — the State. The morally established right of property, as we have deduced it above, gives, from its nature, to the owner of a thing, the same unlimited power over it which he has over his own body; and hence it follows that he can part with his possessions to others either in exchange or as a gift, and they then possess them with the same moral right as he did.

As regards the doing of wrong generally, it occurs either through violence or through craft; it matters not which as far as what is morally essential is concerned. First, in the case of murder, it is a matter of indifference whether I make use of a dagger or of poison; and the case of every bodily injury is analogous. Other cases of wrong can all be reduced to the fact that I, as the doer of wrong, compel another individual to serve my will instead of his own, to act according to my will instead of according to his own. On the path of violence I attain this end through physical causality, but on the path of craft by means of motivation, *i.e.*, by means of causality through knowledge; for I present to his will illusive motives, on account of which he follows my will, while he believes he is following his own. Since the medium in which the motives lie is knowledge, I can only accomplish this by falsifying his knowledge, and this is the *lie*. The lie always aims at

influencing another's will, not merely his knowledge, for itself and as such, but only as a means, so far as it determines his will. For my lying itself, inasmuch as it proceeds from my will, requires a motive; and only the will of another can be such a motive, not his knowledge in and for itself; for as such it can never have an influence upon *my* will, therefore it can never move it, can never be a motive of its aim. But only the willing and doing of another can be this, and his knowledge indirectly through it. This holds good not only of all lies that have manifestly sprung from self-interest, but also of those which proceed from pure wickedness, which seeks enjoyment in the painful consequences of the error into which it has led another. Indeed, mere empty boasting aims at influencing the will and action of others more or less, by increasing their respect or improving their opinion of the boaster. The mere refusal of a truth, *i.e.*, of an assertion generally, is in itself no wrong, but every imposing of a lie is certainly a wrong. He who refuses to show the strayed traveller the right road does him no wrong, but he who directs him to a false road certainly does. It follows from what has been said, that every *lie*, like every act of violence, is as such *wrong*, because as such it has for its aim the extension of the authority of my will to other individuals, and so the assertion of my will through the denial of theirs, just as much as violence has. But the most complete lie is the *broken contract*, because here all the conditions mentioned are completely and distinctly present together. For when I enter into a contract, the promised performance of the other individual is directly and confessedly the motive for my reciprocal performance. The promises were deliberately and formally exchanged. The fulfilment of the declarations made is, it is assumed, in the power of each. If the other breaks the covenant, he has deceived me, and by introducing merely illusory motives into my knowledge, he has bent my will according to his intention; he has extended the control of his will to another individual, and thus has committed a distinct wrong. On this is founded the moral lawfulness and validity of the *contract*.

Wrong through violence is not so *shameful* to the doer of it as wrong through craft; for the former arises from physical power, which under all circumstances impresses mankind; while the latter, by the use of subterfuge, betrays weakness, and lowers man at once as a physical and moral being. This is further the case because lying and deception can only succeed if he who employs them expresses at the same time horror and contempt of them

in order to win confidence, and his victory rests on the fact that men credit him with honesty which he does not possess. The deep horror which is always excited by cunning, faithlessness, and treachery rests on the fact that good faith and honesty are the bond which externally binds into a unity the will which has been broken up into the multiplicity of individuals, and thereby limits the consequences of the egoism which results from that dispersion. Faithlessness and treachery break this outward bond asunder, and thus give boundless scope to the consequences of egoism.

In the connection of our system we have found that the content of the concept of *wrong* is that quality of the conduct of an individual in which he extends the assertion of the will appearing in his own body so far that it becomes the denial of the will appearing in the bodies of others. We have also laid down, by means of very general examples, the limits at which the province of wrong begins; for we have at once defined its gradations, from the highest degree to the lowest, by means of a few leading conceptions. According to this, the concept of wrong is the original and positive, and the concept of right, which is opposed to it, is the derivative and negative; for we must keep to the concepts, and not to the words. As a matter of fact, there would be no talk of right if there were no such thing as wrong. The concept right contains merely the negation of wrong, and every action is subsumed under it which does not transgress the limit laid down above, *i.e.*, is not a denial of the will of another for the stronger assertion of our own. That limit, therefore, divides, as regards a purely *moral* definition, the whole province of possible actions into such as are wrong or right. Whenever an action does not encroach, in the way explained above, on the sphere of the assertion of will of another, denying it, it is not wrong. Therefore, for example, the refusal of help to another in great need, the quiet contemplation of the death of another from starvation while we ourselves have more than enough, is certainly cruel and fiendish, but it is not wrong; only it can be affirmed with certainty that whoever is capable of carrying unkindness and hardness to such a degree will certainly also commit every wrong whenever his wishes demand it and no compulsion prevents it.

But the conception of right as the negation of wrong finds its principal application, and no doubt its origin, in cases in which an attempted wrong by violence is warded off. This warding off cannot itself be wrong, and consequently is right, although the violence it requires, regarded in itself

and in isolation, would be wrong, and is here only justified by the motive, *i.e.*, becomes right. If an individual goes so far in the assertion of his own will that he encroaches upon the assertion of will which is essential to my person as such, and denies it, then my warding off of that encroachment is only the denial of that denial, and thus from my side is nothing more than the assertion of the will which essentially and originally appears in my body, and is already implicitly expressed by the mere appearance of this body; consequently is not wrong, but right. That is to say: I have then a right to deny that denial of another with the force necessary to overcome it, and it is easy to see that this may extend to the killing of the other individual, whose encroachment as external violence pressing upon me may be warded off by a somewhat stronger counteraction, entirely without wrong, consequently with right. For all that happens from my side lies always within the sphere of the assertion of will essential to my person as such, and already expressed by it (which is the scene of the conflict), and does not encroach on that of the other, consequently is only negation of the negation, and thus affirmation, not itself negation. Thus if the will of another denies my will, as this appears in my body and the use of its powers for its maintenance, without denial of any foreign will which observes a like limitation, I can *without wrong* compel it to desist from such denial, *i.e.*, I have so far a *right of compulsion*.

In all cases in which I have a right of compulsion, a complete right to use *violence* against another, I may, according to the circumstances, just as well oppose the violence of the other with *craft* without doing any wrong, and accordingly I have an actual *right to lie precisely so far as I have a right of compulsion*. Therefore a man acts with perfect right who assures a highway robber who is searching him that he has nothing more upon him; or, if a burglar has broken into his house by night, induces him by a lie to enter a cellar and then locks him in. A man who has been captured and carried off by robbers, for example by pirates, has the right to kill them not only by violence but also by craft, in order to regain his freedom. Thus, also, a promise is certainly not binding when it has been extorted by direct bodily violence, because he who suffers such compulsion may with full right free himself by killing, and, *a fortiori*, by deceiving his oppressor. Whoever cannot recover through force the property which has been stolen from him, commits no wrong if he can accomplish it through craft. Indeed, if some one plays with me for money he has stolen from me, I have the right to use



false dice against him, because all that I win from him already belongs to me. Whoever would deny this must still more deny the justifiableness of stratagem in war, which is just an acted lie, and is a proof of the saying of Queen Christina of Sweden, “The words of men are to be esteemed as nothing; scarcely are their deeds to be trusted.” So sharply does the limit of right border upon that of wrong. For the rest, I regard it as superfluous to show that all this completely agrees with what was said above about the unlawfulness of the lie and of violence. It may also serve to explain the peculiar theory of the lie told under pressure.<sup>75</sup>

In accordance with what has been said, wrong and right are merely moral determinations, *i.e.*, such as are valid with regard to the consideration of human action as such, and in relation *to the inner significance of this action in itself*. This asserts itself directly in consciousness through the fact that the doing of wrong is accompanied by an inward pain, which is the merely felt consciousness of the wrong-doer of the excessive strength of the assertion of will in itself, which extends even to the denial of the manifestation of the will of another, and also the consciousness that although he is different from the person suffering wrong as far as the manifestation is concerned, yet in himself he is identical with him. The further explanation of this inner significance of all pain of conscience cannot be given till later. He who suffers wrong is, on the other hand, painfully conscious of the denial of his will, as it is expressed through the body and its natural requirements, for the satisfaction of which nature refers him to the powers of his body; and at the same time he is conscious that without doing wrong he might ward off that denial by every means unless he lacks the power. This purely moral significance is the only one which right and wrong have for men as men, not as members of the State, and which consequently remains even when man is in a state of nature without any positive law. It constitutes the basis and the content of all that has on this account been named *natural law*, though it is better called moral law, for its validity does not extend to suffering, to the external reality, but only to the action of man and the self-knowledge of his individual will which grows up in him from his action, and which is called *conscience*. It cannot, however, in a state of nature, assert itself in all cases, and outwardly upon other individuals, and prevent might from reigning instead of right. In a state of nature it depends upon every one merely to see that in every case he *does* no wrong, but by no means to see that in every case he *suffers* no wrong, for this depends on the

accident of his outward power. Therefore the concepts right and wrong, even in a state of nature, are certainly valid and by no means conventional, but there they are valid merely as *moral* concepts, for the self-knowledge of one's own will in each. They are a fixed point in the scale of the very different degrees of strength with which the will to live asserts itself in human individuals, like the freezing-point on the thermometer; the point at which the assertion of one's own will becomes the denial of the will of another, *i.e.*, specifies through wrong-doing the degree of its intensity, combined with the degree in which knowledge is involved in the *principium individuationis* (which is the form of all knowledge that is subject to the will). But whoever wants to set aside the purely moral consideration of human action, or denies it, and wishes to regard conduct merely in its outward effects and their consequences, may certainly, with Hobbes, explain right and wrong as conventional definitions arbitrarily assumed, and therefore not existing outside positive law, and we can never show him through external experience what does not belong to such experience. Hobbes himself characterises his completely empirical method of thought very remarkably by the fact that in his book "*De Principiis Geometrarum*" he denies all pure mathematics properly so called, and obstinately maintains that the point has extension and the line has breadth, and we can never show him a point without extension or a line without breadth. Thus we can just as little impart to him the *a priori* nature of mathematics as the *a priori* nature of right, because he shuts himself out from all knowledge which is not empirical.

The pure doctrine of right is thus a chapter of ethics, and is directly related only to *action*, not to *suffering*; for only the former is the expression of will, and this alone is considered by ethics. Suffering is mere occurrence. Ethics can only have regard to suffering indirectly, merely to show that what takes place merely to avoid suffering wrong is itself no infliction of wrong. The working out of this chapter of ethics would contain the precise definition of the limits to which an individual may go in the assertion of the will already objectified in his body without denying the same will as it appears in another individual; and also the actions which transgress these limits, which consequently are wrong, and therefore in their turn may be warded off without wrong. Thus our own *action* always remains the point of view of the investigation.

But the *suffering of wrong* appears as an event in outward experience, and in it is manifested, as we have said, more distinctly than anywhere else, the phenomenon of the conflict of the will to live with itself, arising from the multiplicity of individuals and from egoism, both of which are conditioned through the *principium individuationis*, which is the form of the world as idea for the knowledge of the individual. We also saw above that a very large part of the suffering essential to human life has its perennial source in that conflict of individuals.

The reason, however, which is common to all these individuals, and which enables them to know not merely the particular case, as the brutes do, but also the whole abstractly in its connection, has also taught them to discern the source of that suffering, and induced them to consider the means of diminishing it, or, when possible, of suppressing it by a common sacrifice, which is, however, more than counterbalanced by the common advantage that proceeds from it. However agreeable it is to the egoism of the individual to inflict wrong in particular cases, this has yet a necessary correlative in the suffering of wrong of another individual, to whom it is a great pain. And because the reason which surveys the whole left the one-sided point of view of the individual to which it belongs, and freed itself for the moment from its dependence upon it, it saw the pleasure of an individual in inflicting wrong always outweighed by the relatively greater pain of the other who suffered the wrong; and it found further, that because here everything was left to chance, every one had to fear that the pleasure of conveniently inflicting wrong would far more rarely fall to his lot than the pain of enduring it. From this reason recognised that both in order to diminish the suffering which is everywhere disseminated, and as far as possible to divide it equally, the best and only means was to spare all the pain of suffering wrong by renouncing all the pleasure to be obtained by inflicting it. This means is the *contract of the state* or *law*. It is easily conceived, and little by little carried out by the egoism, which, through the use of reason, proceeds methodically and forsakes its one-sided point of view. This origin of the state and of law I have indicated was already exhibited as such by Plato in the "Republic." In fact, it is the essential and only origin, determined by the nature of the matter. Moreover, in no land can the state have ever had a different origin, because it is just this mode of originating this aim that makes it a state. But it is a matter of indifference whether, in each particular nation, the condition which preceded it was that

of a horde of savages independent of each other (anarchy), or that of a horde of slaves ruled at will by the stronger (despotism). In both cases there existed as yet no state; it first arose through that common agreement; and according as that agreement is more or less free from anarchy or despotism, the state is more or less perfect. Republics tend to anarchy, monarchies to despotism, and the mean of constitutional monarchy, which was therefore devised, tends to government by factions. In order to found a perfect state, we must begin by providing beings whose nature allows them always to sacrifice their own to the public good. Till then, however, something may be attained through the existence of *one* family whose good is quite inseparable from that of the country; so that, at least in matters of importance, it can never advance the one without the other. On this rests the power and the advantage of the hereditary monarchy.

Now as ethics was concerned exclusively with right and wrong doing, and could accurately point out the limits of his action to whoever was resolved to do no wrong; politics, on the contrary, the theory of legislation, is exclusively concerned with the *suffering* of wrong, and would never trouble itself with wrong-doing at all if it were not on account of its ever-necessary correlative, the suffering of wrong, which it always keeps in view as the enemy it opposes. Indeed, if it were possible to conceive an infliction of wrong with which no suffering of wrong on the part of another was connected, the state would, consistently, by no means prohibit it. And because in ethics the will, the disposition, is the object of consideration, and the only real thing, the firm will to do wrong, which is only restrained and rendered ineffective by external might, and the actually committed wrong, are to it quite the same, and it condemns him who so wills as unjust at its tribunal. On the other hand, will and disposition, merely as such, do not concern the state at all, but only the *deed* (whether it is merely attempted or carried out), on account of its correlative, the *suffering* on the part of another. Thus for the state the deed, the event, is the only real; the disposition, the intention, is only investigated so far as the significance of the deed becomes known through it. Therefore the state will forbid no one to carry about in his thought murder and poison against another, so long as it knows certainly that the fear of the sword and the wheel will always restrain the effects of that will. The state has also by no means to eradicate the foolish purpose, the inclination to wrong-doing, the wicked disposition; but merely always to place beside every possible motive for doing a wrong

a more powerful motive for leaving it undone in the inevitable punishment that will ensue. Therefore the criminal code is as complete a register as possible of motives against every criminal action that can possibly be imagined — both *in abstracto*, in order to make any case that occurs an application *in concreto*. Politics or legislation will therefore for this end borrow from that chapter of ethics which is the doctrine of right, and which, besides the inner significance of right and wrong, determines the exact limits between them. Yet it will only do so for the purpose of making use of its reverse side, and regarding all the limits which ethics lays down as not to be transgressed, if we are to avoid *doing* wrong, from the other side, as the limits which we must not allow others to transgress if we do not wish to *suffer* wrong, and from which we have therefore a *right* to drive others back. Therefore these limits are, as much as possible, from the passive side, barricaded by laws. It is evident that as an historian has very wittily been called an inverted prophet, the professor of law is an inverted moralist, and therefore law itself, in its proper sense, *i.e.*, the doctrine of the *right*, which we ought to maintain, is inverted ethics in that chapter of it in which the rights are laid down which we ought not to violate. The concept of wrong and its negation, that of right, which is originally *ethical*, becomes *juridical* by the transference of the starting-point from the active to the passive side, and thus by inversion. This, as well as Kant's theory of law, which very falsely deduces the institution of the state as a moral duty from his categorical imperative, has, even in the most recent times, repeatedly occasioned the very extraordinary error that the state is an institution for furthering morality; that it arises from the endeavour after this, and is, consequently, directed against egoism. As if the inward disposition, to which alone morality or immorality belongs, the externally free will, would allow itself to be modified from without and changed by influences exerted upon it! Still more perverse is the theory that the state is the condition of freedom in the moral sense, and in this way the condition of morality; for freedom lies beyond the phenomenon, and indeed beyond human arrangements. The state is, as we have said, so little directed against egoism in general and as such, that, on the contrary, it has sprung from egoism and exists only in its service — an egoism that well understands itself, proceeds methodically and forsakes the one-sided for the universal point of view, and so by addition is the common egoism of all. The state is thus instituted under the correct presupposition that pure morality, *i.e.*, right action from

moral grounds, is not to be expected; if this were not the case, it would itself be superfluous. Thus the state, which aims at well-being, is by no means directed against egoism, but only against the disadvantageous consequences which arise from the multiplicity of egoistic individuals, and reciprocally affect them all and disturb their well-being. Therefore it was already said by Aristotle (De. Rep. iii.): Τέλος μὲν οὖν πόλεως τὸ εὖ ζῆν; τοῦτο δὲ ἐστὶν τὸ ζῆν εὐδαιμονῶς καὶ καλῶς (*Finis civitatis est bene vivere, hoc autem est beate et pulchre vivere*). Hobbes also has accurately and excellently expounded this origin and end of the state; and that old first principle of all state policy, *salus publica prima lex esto*, indicates the same thing. If the state completely attains its end, it will produce the same outward result as if perfect justice of disposition prevailed everywhere. But the inner nature and origin of both phenomena will be the converse. Thus in the second case it would be that no one wished to *do* wrong, and in the first that no one wished to *suffer* wrong, and the means appropriate to this end had been fully employed. Thus the same line may be drawn from opposite directions, and a beast of prey with a muzzle is as harmless as a graminivorous animal. But beyond this point the state cannot go. It cannot exhibit a phenomenon such as would spring from universal mutual well-wishing and love. For just as we found that from its nature it would not forbid the doing of a wrong which involved no corresponding suffering of wrong on the part of another, and prohibits all wrong-doing only because this is impossible; so conversely, in accordance with its tendency towards the well-being of all, it would very gladly take care that every benevolent action and work of human love should be *experienced*, if it were not that these also have an inevitable correlative in the *performance* of acts of benevolence and works of love, and every member of the state would wish to assume the passive and none the active rôle, and there would be no reason for exacting the latter from one member of the state rather than from another. Accordingly only the negative, which is just the *right*, not the positive, which has been comprehended under the name of obligations of love, or, less completely, duties, *can be exacted by force*.

Legislation, as we have said, borrows the pure philosophy of right, or the doctrine of the nature and limits of right and wrong, from ethics, in order to apply it from the reverse side to its own ends, which are different from those of ethics, and to institute positive legislation and the means of supporting it, *i.e.*, the state, in accordance with it. Positive legislation is thus

the inverted application of the purely moral doctrine of right. This application may be made with reference to the peculiar relations and circumstances of a particular people. But only if the positive legislation is, in essential matters, throughout determined in accordance with the guidance of the pure theory of right, and for each of its propositions a ground can be established in the pure theory of right, is the legislation which has arisen a *positive right* and the state a community *based upon right*, a *state* in the proper meaning of the word, a morally permissible, not immoral institution. Otherwise the positive legislation is, on the contrary, the establishment of a *positive wrong*; it is itself an openly avowed enforced wrong. Such is every despotism, the constitution of most Mohammedan kingdoms; and indeed various parts of many constitutions are also of this kind; for example, serfdom, vassalage, and many such institutions. The pure theory of right or natural right — better, moral right — though always reversed, lies at the foundation of every just positive legislation, as pure mathematics lies at the foundation of every branch of applied mathematics. The most important points of the doctrine of right, as philosophy has to supply it for that end to legislation, are the following: 1. The explanation of the inner and real significance both of the origin of the conceptions of wrong and right, and of their application and position in ethics. 2. The deduction of the law of property. 3. The deduction of the moral validity of contracts; for this is the moral basis of the contract of the state. 4. The explanation of the origin and the aim of the state, of the relation of this aim to ethics, and of the intentional transference of the ethical doctrine of right, by reversing it, to legislation, in consequence of this relation. 5. The deduction of the right of punishment. The remaining content of the doctrine of right is mere application of these principles, mere accurate definition of the limits of right and wrong for all possible relations of life, which are consequently united and distributed under certain points of view and titles. In these special doctrines the books which treat of pure law are fairly at one; it is only in the principles that they differ much, for these are always connected with some philosophical system. In connection with our system, we have explained the first four of these principal points shortly and generally, yet definitely and distinctly, and it remains for us to speak in the same way of the right of punishment.

Kant makes the fundamentally false assertion that apart from the state there would be no complete right of property. It follows from our deduction,

as given above, that even in a state of nature there is property with complete natural, *i.e.*, moral right, which cannot be injured without wrong, but may without wrong be defended to the uttermost. On the other hand, it is certain that apart from the state there is no right of punishment. All right to punish is based upon the positive law alone, which *before* the offence has determined a punishment for it, the threat of which, as a counter-motive, is intended to outweigh all possible motives for the offence. This positive law is to be regarded as sanctioned and recognised by all the members of the state. It is thus based upon a common contract which the members of the state are in duty bound to fulfil, and thus, on the one hand, to inflict the punishment, and, on the other hand, to endure it; thus the endurance of the punishment may with right be enforced. Consequently the immediate *end of punishment* is, in the particular case, *the fulfilment of the law as a contract*. But the one end of the *law* is *deterrence* from the infringement of the rights of others. For, in order that every one may be protected from suffering wrong, men have combined to form a state, have renounced the doing of wrong, and assumed the task of maintaining the state. Thus the law and the fulfilment of it, the punishment, are essentially directed to the *future*, not to the *past*. This distinguishes *punishment* from *revenge*; for the motives which instigate the latter are solely concerned with what has happened, and thus with the past as such. All requital of wrong by the infliction of pain, without any aim for the future, is revenge, and can have no other end than consolation for the suffering one has borne by the sight of the suffering one has inflicted upon another. This is wickedness and cruelty, and cannot be morally justified. Wrong which some one has inflicted upon me by no means entitles me to inflict wrong upon him. The requital of evil with evil without further intention is neither morally nor otherwise through any rational ground to be justified, and the *jus talionis* set up as the absolute, final principle of the right of punishment, is meaningless. Therefore Kant's theory of punishment as mere requital for requital's sake is a completely groundless and perverse view. Yet it is always appearing in the writings of many jurists, under all kinds of lofty phrases, which amount to nothing but empty words, as: Through the punishment the crime is expiated or neutralised and abolished, and many such. But no man has the right to set himself up as a purely moral judge and requiter, and punish the misdeeds of another with pains which he inflicts upon him, and so to impose penance upon him for his sins. Nay, this would rather be the most presumptuous



arrogance; and therefore the Bible says, "Vengeance is mine; I will repay, saith the Lord." But man has the right to care for the safety of society; and this can only be done by interdicting all actions which are denoted by the word "criminal," in order to prevent them by means of counter-motives, which are the threatened punishments. And this threat can only be made effective by carrying it out when a case occurs in spite of it. Accordingly that the end of punishment, or more accurately of penal law, is the deterrence from crime, is a truth so generally recognised and indeed self-evident, that in England it is expressed in the very old form of indictment which is still served by the counsel for the Crown in criminal actions, for it concludes with the words, "If this be proved, you, the said N. N., ought to be punished with pains of law, to deter others from the like crimes in all time coming." If a prince desires to extend mercy to a criminal who has justly been condemned, his Ministers will represent to him that, if he does, this crime will soon be repeated. An end for the future distinguishes punishment from revenge, and punishment only has this end when it is inflicted *in fulfilment of a law*. It thus announces itself as inevitable in every future case, and thus the law obtains the power to deter, in which its end really consists. Now here a Kantian would inevitably reply that certainly according to this view the punished criminal would be used "merely as a means." This proposition, so unweariedly repeated by all the Kantians, "Man must always be treated as an end, never as a means," certainly sounds significant, and is therefore a very suitable proposition for those who like to have a formula which saves them all further thought; but looked at in the light, it is an exceedingly vague, indefinite assertion, which reaches its aim quite indirectly, requires to be explained, defined, and modified in every case of its application, and, if taken generally, is insufficient, meagre, and moreover problematical. The murderer who has been condemned to the punishment of death according to law must now, at any rate, and with complete right, be used as a mere means. For public security, the chief end of the state, is disturbed by him; indeed it is abolished if the law is not carried out. The murderer, his life, his person, must now be the means of fulfilling the law, and thereby of re-establishing the public security. And he is made such a means with perfect right, in fulfilment of the contract of the state, which was entered into by him because he was a citizen, and in accordance with which, in order to enjoy security for his life, freedom, and

property, he has pledged his life, his freedom, and his property for the security of all, which pledge has now been forfeited.

This theory of punishment which we have established, the theory which is directly supported by sound reason, is certainly in the main no new thought; but it is a thought which was almost supplanted by new errors, and therefore it was necessary to exhibit it as distinctly as possible. The same thing is in its essence contained in what Puffendorf says on the subject, “*De Officio Hominis et Civis*” (Bk. ii. chap. 12). Hobbes also agrees with it, “*Leviathan*” (chaps. 15-28). In our own day Feurbach is well known to have maintained it. Indeed, it occurs even in the utterances of the ancient philosophers. Plato expresses it clearly in the “*Protagoras*” (p. 114, edit. Bip.), also in the “*Gorgias*” (p. 168), and lastly in the eleventh book of the “*Laws*” (p. 165). Seneca expresses Plato’s opinion and the theory of all punishment in the short sentence, “*Nemo prudens punit, quia peccatum est; sed ne peccetur*” (De Ira, i. 16).

Thus we have come to recognise in the state the means by which egoism endowed with reason seeks to escape from its own evil consequences which turn against itself, and now each promotes the well-being of all because he sees that his own well-being is involved in it. If the state attained its end completely, then to a certain extent something approaching to an Utopia might finally, by the removal of all kinds of evil, be brought about. For by the human powers united in it, it is able to make the rest of nature more and more serviceable. But as yet the state has always remained very far from this goal. And even if it attained to it, innumerable evils essential to all life would still keep it in suffering; and finally, if they were all removed, ennui would at once occupy every place they left. And besides, the strife of individuals is never completely abolished by the state, for it vexes in trifles when it is prohibited in greater things. Finally, Eris, happily expelled from within, turns to what is without; as the conflict of individuals, she is banished by the institution of the state; but she reappears from without as the war of nations, and now demands in bulk and at once, as an accumulated debt, the bloody sacrifice which by wise precautions has been denied her in the particular. And even supposing that all this were finally overcome and removed, by wisdom founded on the experience of thousands of years, at the end the result would be the actual over-population of the whole planet, the terrible evil of which only a bold imagination can now realise.<sup>76</sup>

§ 63. We have recognised *temporal justice*, which has its seat in the state, as requiting and punishing, and have seen that this only becomes justice through a reference to the *future*. For without this reference all punishing and requiting would be an outrage without justification, and indeed merely the addition of another evil to that which has already occurred, without meaning or significance. But it is quite otherwise with *eternal justice*, which was referred to before, and which rules not the state but the world, is not dependent upon human institutions, is not subject to chance and deception, is not uncertain, wavering, and erring, but infallible, fixed, and sure. The conception of requital implies that of time; therefore *eternal justice* cannot be requital. Thus it cannot, like temporal justice, admit of respite and delay, and require time in order to triumph, equalising the evil deed by the evil consequences only by means of time. The punishment must here be so bound up with the offence that both are one.

Δοκεῖτε πηδᾶν τ' ἀδικηματ' εἰς θεοὺς  
Πτεροῖσι, κάπειτ' ἐν Διὸς δελτοῦ πτυχαῖς  
Γραφεῖν τιν' αὐτὰ, Ζηνα δ' εἰσορῶντα νιν  
Θνητοῖς δικάζειν? Οὐδ' ὁ παρ' οὐρανοῦ,  
Διὸς γραφοντος τὰρ βροτῶν ἁμαρτίας,  
Εἰς ἀρκεσσειεν, οὐδ' ἐκεῖνος ἀν σκοπῶν  
Πεμπεῖν ἑκάστῳ ζημῖαν; ἀλλ' ἡ Δίκη  
Ἐνταυθα ποῦ ἐστὶν ἐγγυς, εἰ βούλεσθ' ὁρᾶν.  
Eurip. ap. Stob. Ecl., i. c. 4.

("Volare pennis scelera ad ætherias domus  
Putatis, illic in Jovis tabularia  
Scripto referri; tum Jovem lectis super  
Sententiam proferre? — sed mortalium  
Facinora cœli, quantaquanta est, regia  
Nequit tenere: nec legendis Juppiter  
Et puniendis par est. Est tamen ultio,  
Et, si intuemur, illa nos habitat prope.")

Now that such an eternal justice really lies in the nature of the world will soon become completely evident to whoever has grasped the whole of the thought which we have hitherto been developing.

The world, in all the multiplicity of its parts and forms, is the manifestation, the objectivity, of the one will to live. Existence itself, and the kind of existence, both as a collective whole and in every part, proceeds from the will alone. The will is free, the will is almighty. The will appears in everything, just as it determines itself in itself and outside time. The world is only the mirror of this willing; and all finitude, all suffering, all miseries, which it contains, belong to the expression of that which the will wills, are as they are because the will so wills. Accordingly with perfect right every being supports existence in general, and also the existence of its species and its peculiar individuality, entirely as it is and in circumstances as they are, in a world such as it is, swayed by chance and error, transient, ephemeral, and constantly suffering; and in all that it experiences, or indeed can experience, it always gets its due. For the will belongs to it; and as the will is, so is the world. Only this world itself can bear the responsibility of its own existence and nature — no other; for by what means could another have assumed it? Do we desire to know what men, morally considered, are worth as a whole and in general, we have only to consider their fate as a whole and in general. This is want, wretchedness, affliction, misery, and death. Eternal justice reigns; if they were not, as a whole, worthless, their fate, as a whole, would not be so sad. In this sense we may say, the world itself is the judgment of the world. If we could lay all the misery of the world in one scale of the balance, and all the guilt of the world in the other, the needle would certainly point to the centre.

Certainly, however, the world does not exhibit itself to the knowledge of the individual as such, developed for the service of the will, as it finally reveals itself to the inquirer as the objectivity of the one and only will to live, which he himself is. But the sight of the uncultured individual is clouded, as the Hindus say, by the veil of *Mâyâ*. He sees not the thing-in-itself but the phenomenon in time and space, the *principium individuationis*, and in the other forms of the principle of sufficient reason. And in this form of his limited knowledge he sees not the inner nature of things, which is one, but its phenomena as separated, disunited, innumerable, very different, and indeed opposed. For to him pleasure appears as one thing and pain as quite another thing: one man as a tormentor and a murderer, another as a martyr and a victim; wickedness as one thing and evil as another. He sees one man live in joy, abundance, and pleasure, and even at his door another die miserably of want and cold. Then he asks, Where is the retribution? And

he himself, in the vehement, pressure of will which is his origin and his nature, seizes upon the pleasures and enjoyments of life, firmly embraces them, and knows not that by this very act of his will he seizes and hugs all those pains and sorrows at the sight of which he shudders. He sees the ills and he sees the wickedness in the world, but far from knowing that both of these are but different sides of the manifestation of the one will to live, he regards them as very different, and indeed quite opposed, and often seeks to escape by wickedness, *i.e.*, by causing the suffering of another, from ills, from the suffering of his own individuality, for he is involved in the *principium individuationis*, deluded by the veil of Mâyâ. Just as a sailor sits in a boat trusting to his frail barque in a stormy sea, unbounded in every direction, rising and falling with the howling mountainous waves; so in the midst of a world of sorrows the individual man sits quietly, supported by and trusting to the *principium individuationis*, or the way in which the individual knows things as phenomena. The boundless world, everywhere full of suffering in the infinite past, in the infinite future, is strange to him, indeed is to him but a fable; his ephemeral person, his extensionless present, his momentary satisfaction, this alone has reality for him; and he does all to maintain this, so long as his eyes are not opened by a better knowledge. Till then, there lives only in the inmost depths of his consciousness a very obscure presentiment that all that is after all not really so strange to him, but has a connection with him, from which the *principium individuationis* cannot protect him. From this presentiment arises that ineradicable *awe* common to all men (and indeed perhaps even to the most sensible of the brutes) which suddenly seizes them if by any chance they become puzzled about the *principium individuationis*, because the principle of sufficient reason in some one of its forms seems to admit of an exception. For example, if it seems as if some change took place without a cause, or some one who is dead appears again, or if in any other way the past or the future becomes present or the distant becomes near. The fearful terror at anything of the kind is founded on the fact that they suddenly become puzzled about the forms of knowledge of the phenomenon, which alone separate their own individuality from the rest of the world. But even this separation lies only in the phenomenon, and not in the thing-in-itself; and on this rests eternal justice. In fact, all temporal happiness stands, and all prudence proceeds, upon ground that is undermined. They defend the person from accidents and supply its pleasures; but the person is merely phenomenon, and its

difference from other individuals, and exemption from the sufferings which they endure, rests merely in the form of the phenomenon, the *principium individuationis*. According to the true nature of things, every one has all the suffering of the world as his own, and indeed has to regard all merely possible suffering as for him actual, so long as he is the fixed will to live, *i.e.*, asserts life with all his power. For the knowledge that sees through the *principium individuationis*, a happy life in time, the gift of chance or won by prudence, amid the sorrows of innumerable others, is only the dream of a beggar in which he is a king, but from which he must awake and learn from experience that only a fleeting illusion had separated him from the suffering of his life.

Eternal justice withdraws itself from the vision that is involved in the knowledge which follows the principle of sufficient reason in the *principium individuationis*; such vision misses it altogether unless it vindicates it in some way by fictions. It sees the bad, after misdeeds and cruelties of every kind, live in happiness and leave the world unpunished. It sees the oppressed drag out a life full of suffering to the end without an avenger, a requiter appearing. But that man only will grasp and comprehend eternal justice who raises himself above the knowledge that proceeds under the guidance of the principle of sufficient reason, bound to the particular thing, and recognises the Ideas, sees through the *principium individuationis*, and becomes conscious that the forms of the phenomenon do not apply to the thing-in-itself. Moreover, he alone, by virtue of the same knowledge, can understand the true nature of virtue, as it will soon disclose itself to us in connection with the present inquiry, although for the practice of virtue this knowledge in the abstract is by no means demanded. Thus it becomes clear to whoever has attained to the knowledge referred to, that because the will is the in-itself of all phenomena, the misery which is awarded to others and that which he experiences himself, the bad and the evil, always concerns only that one inner being which is everywhere the same, although the phenomena in which the one and the other exhibits itself exist as quite different individuals, and are widely separated by time and space. He sees that the difference between him who inflicts the suffering and him who must bear it is only the phenomenon, and does not concern the thing-in-itself, for this is the will living in both, which here, deceived by the knowledge which is bound to its service, does not recognise itself, and seeking an increased happiness in *one* of its phenomena, produces great

suffering in *another*, and thus, in the pressure of excitement, buries its teeth in its own flesh, not knowing that it always injures only itself, revealing in this form, through the medium of individuality, the conflict with itself which it bears in its inner nature. The inflicter of suffering and the sufferer are one. The former errs in that he believes he is not a partaker in the suffering; the latter, in that he believes he is not a partaker in the guilt. If the eyes of both were opened, the inflicter of suffering would see that he lives in all that suffers pain in the wide world, and which, if endowed with reason, in vain asks why it was called into existence for such great suffering, its desert of which it does not understand. And the sufferer would see that all the wickedness which is or ever was committed in the world proceeds from that will which constitutes *his* own nature also, appears also in *him*, and that through this phenomenon and its assertion he has taken upon himself all the sufferings which proceed from such a will and bears them as his due, so long as he is this will. From this knowledge speaks the profound poet Calderon in “Life a Dream” —

“Pues el delito mayor  
Del hombre es haber nacido.”  
 (“For the greatest crime of man  
Is that he ever was born.”)

Why should it not be a crime, since, according to an eternal law, death follows upon it? Calderon has merely expressed in these lines the Christian dogma of original sin.

The living knowledge of eternal justice, of the balance that inseparably binds together the *malum culpæ* with the *malum pœnæ*, demands the complete transcending of individuality and the principle of its possibility. Therefore it will always remain unattainable to the majority of men, as will also be the case with the pure and distinct knowledge of the nature of all virtue, which is akin to it, and which we are about to explain. Accordingly the wise ancestors of the Hindu people have directly expressed it in the Vedas, which are only allowed to the three regenerate castes, or in their esoteric teaching, so far at any rate as conception and language comprehend it, and their method of exposition, which always remains pictorial and even rhapsodical, admits; but in the religion of the people, or exoteric teaching, they only communicate it by means of myths. The direct exposition we find in the Vedas, the fruit of the highest human knowledge and wisdom, the kernel of which has at last reached us in the Upanishads as the greatest gift

of this century. It is expressed in various ways, but especially by making all the beings in the world, living and lifeless, pass successively before the view of the student, and pronouncing over every one of them that word which has become a formula, and as such has been called the Mahavakya: Tatoumes, — more correctly, Tat twam asi, — which means, “This thou art.”<sup>77</sup> But for the people, that great truth, so far as in their limited condition they could comprehend it, was translated into the form of knowledge which follows the principle of sufficient reason. This form of knowledge is indeed, from its nature, quite incapable of apprehending that truth pure and in itself, and even stands in contradiction to it, yet in the form of a myth it received a substitute for it which was sufficient as a guide for conduct. For the myth enables the method of knowledge, in accordance with the principle of sufficient reason, to comprehend by figurative representation the ethical significance of conduct, which itself is ever foreign to it. This is the aim of all systems of religion, for as a whole they are the mythical clothing of the truth which is unattainable to the uncultured human intellect. In this sense this myth might, in Kant’s language, be called a postulate of the practical reason; but regarded as such, it has the great advantage that it contains absolutely no elements but such as lie before our eyes in the course of actual experience, and can therefore support all its conceptions with perceptions. What is here referred to is the myth of the transmigration of souls. It teaches that all sufferings which in life one inflicts upon other beings must be expiated in a subsequent life in this world, through precisely the same sufferings; and this extends so far, that he who only kills a brute must, some time in endless time, be born as the same kind of brute and suffer the same death. It teaches that wicked conduct involves a future life in this world in suffering and despised creatures, and, accordingly, that one will then be born again in lower castes, or as a woman, or as a brute, as Pariah or Tschandala, as a leper, or as a crocodile, and so forth. All the pains which the myth threatens it supports with perceptions from actual life, through suffering creatures which do not know how they have merited their misery, and it does not require to call in the assistance of any other hell. As a reward, on the other hand, it promises re-birth in better, nobler forms, as Brahmans, wise men, or saints. The highest reward, which awaits the noblest deeds and the completest resignation, which is also given to the woman who in seven successive lives has voluntarily died on the funeral pile of her husband, and not less to the man whose pure mouth has never



uttered a single lie, — this reward the myth can only express negatively in the language of this world by the promise, which is so often repeated, that they shall never be born again, *Non adsumes iterum existentiam apparentem*; or, as the Buddhists, who recognise neither Vedas nor castes, express it, “Thou shalt attain to Nirvâna,” *i.e.*, to a state in which four things no longer exist — birth, age, sickness, and death.

Never has a myth entered, and never will one enter, more closely into the philosophical truth which is attainable to so few than this primitive doctrine of the noblest and most ancient nation. Broken up as this nation now is into many parts, this myth yet reigns as the universal belief of the people, and has the most decided influence upon life to-day, as four thousand years ago. Therefore Pythagoras and Plato have seized with admiration on that *ne plus ultra* of mythical representation, received it from India or Egypt, honoured it, made use of it, and, we know not how far, even believed it. We, on the contrary, now send the Brahmans English clergymen and evangelical linen-weavers to set them right out of sympathy, and to show them that they are created out of nothing, and ought thankfully to rejoice in the fact. But it is just the same as if we fired a bullet against a cliff. In India our religions will never take root. The ancient wisdom of the human race will not be displaced by what happened in Galilee. On the contrary, Indian philosophy streams back to Europe, and will produce a fundamental change in our knowledge and thought.

§ 64. From our exposition of eternal justice, which is not mythical but philosophical, we will now proceed to the kindred investigation of the ethical significance of conduct and of conscience, which is the merely felt knowledge of that significance. But first I wish at this point to draw attention to two peculiarities of human nature, that might help to make clear how the nature of that eternal justice, and the unity and identity of the will in all its phenomena upon which it rests, is known to every one, at least as an obscure feeling.

When a bad deed has been done, it affords satisfaction not only to the sufferer, who for the most part feels the desire of revenge, but also to the perfectly indifferent spectator, to see that he who caused another pain suffers himself a like measure of pain; and this quite independently of the end which we have shown the state has in view in punishment, and which is the foundation of penal law. It seems to me that what expresses itself here is nothing but the consciousness of that eternal justice, which is, nevertheless,

at once misunderstood and falsified by the unenlightened mind, for, involved in the *principium individuationis*, it produces an amphiboly of the concepts and demands from the phenomenon what only belongs to the thing in itself. It does not see how far in themselves the offender and the offended are one, and that it is the same being which, not recognising itself in its own manifestation, bears both the pain and the guilt, but it desires rather to see the pain also in the particular individual to whom the guilt belongs. Therefore, most persons would demand that a man who had a very high degree of wickedness which might yet occur in many others, only not matched with other qualities such as are found in him, a man who also far surpassed others by extraordinary intellectual powers, and who inflicted unspeakable sufferings upon millions of others — for example, as a conqueror, — most persons, I say, would demand that such a man should at some time and in some place expiate all these sufferings by a like amount of pain; for they do not recognise how in themselves the inflicter of suffering and the sufferers are one, and that it is the same will through which the latter exist and live which also appears in the former, and just through him attains to a distinct revelation of its nature, and which likewise suffers both in the oppressed and the oppressor; and indeed in the latter in a greater measure, as the consciousness has attained a higher degree of clearness and distinctness and the will has greater vehemence. But that the deeper knowledge, which is no longer involved in the *principium individuationis*, from which all virtue and nobleness proceed, no longer retains the disposition which demands requital, is shown by the Christian ethics, which absolutely forbids all requital of evil with evil, and allows eternal justice to proceed in the sphere of the thing-in-itself, which is different from that of the phenomenon. (“Vengeance is mine; I will repay, saith the Lord,” — Rom. xii. 19.)

A much more striking, but also a much rarer, characteristic of human nature, which expresses that desire to draw eternal justice into the province of experience, *i.e.*, of individuality, and at the same time indicates a felt consciousness that, as I have expressed it above, the will to live conducts at its own cost the great tragedy and comedy, and that the same one will lives in all manifestations, — such a characteristic, I say, is the following. We sometimes see a man so deeply moved by a great injury which he has experienced, or, it may be, only witnessed, that he deliberately and irretrievably stakes his own life in order to take vengeance on the perpetrator of that wrong. We see him seek for some mighty oppressor through long years, murder him at last, and then himself die on the scaffold, as he had foreseen, and often, it may be, did not seek to avoid, for his life had value for him only as a means of vengeance. We find examples of this especially among the Spaniards.<sup>78</sup> If, now, we consider the spirit of that desire for retribution carefully, we find that it is very different from common revenge, which seeks to mitigate the suffering, endured by the sight of the suffering inflicted; indeed, we find that what it aims at deserves to be called, not so much revenge as punishment. For in it there really lies the intention of an effect upon the future through the example, and that without any selfish aim, either for the avenging person, for it costs him his life, or for a society which secures its own safety by laws. For that punishment is carried out by individuals, not by the state, nor is it in fulfilment of a law, but, on the contrary, always concerns a deed which the state either would not or could not punish, and the punishment of which it condemns. It seems to me that the indignation which carries such a man so far beyond the limits of all self-love springs from the deepest consciousness that he himself is the whole will to live, which appears in all beings through all time, and that therefore the most distant future belongs to him just as the present, and cannot be indifferent to him. Asserting this will, he yet desires that in the drama which represents its nature no such fearful wrong shall ever appear again, and wishes to frighten ever future wrong-doer by the example of a vengeance against which there is no means of defence, since the avenger is not deterred by the fear of death. The will to live, though still asserting itself, does not here depend any longer upon the particular phenomenon, the individual, but comprehends the Idea of man, and wishes to keep its manifestation pure from such a fearful and shocking wrong. It is a rare, very significant, and even sublime trait of character through which

the individual sacrifices himself by striving to make himself the arm of eternal justice, of the true nature of which he is yet ignorant.

§ 65. In all the preceding investigations of human action, we have been leading up to the final investigation, and have to a considerable extent lightened the task of raising to abstract and philosophical clearness, and exhibiting as a branch of our central thought that special ethical significance of action which in life is with perfect understanding denoted by the words *good* and *bad*.

First, however, I wish to trace back to their real meaning those conceptions of *good* and *bad* which have been treated by the philosophical writers of the day, very extraordinarily, as simple conceptions, and thus incapable of analysis; so that the reader may not remain involved in the senseless delusion that they contain more than is actually the case, and express in and for themselves all that is here necessary. I am in a position to do this because in ethics I am no more disposed to take refuge behind the word *good* than formerly behind the words *beautiful* and *true*, in order that by the adding a “ness,” which at the present day is supposed to have a special *σεμνοτης*, and therefore to be of assistance in various cases, and by assuming an air of solemnity, I might induce the belief that by uttering three such words I had done more than denote three very wide and abstract, and consequently empty conceptions, of very different origin and significance. Who is there, indeed, who has made himself acquainted with the books of our own day to whom these three words, admirable as are the things to which they originally refer, have not become an aversion after he has seen for the thousandth time how those who are least capable of thinking believe that they have only to utter these three words with open mouth and the air of an intelligent sheep, in order to have spoken the greatest wisdom?

The explanation of the concept *true* has already been given in the essay on the principle of sufficient reason, chap. v. § 29 *et seq.* The content of the concept *beautiful* found for the first time its proper explanation through the whole of the Third Book of the present work. We now wish to discover the significance of the concept *good*, which can be done with very little trouble. This concept is essentially relative, and signifies *the conformity of an object to any definite effort of the will*. Accordingly everything that corresponds to the will in any of its expressions and fulfils its end is thought through the concept *good*, however different such things may be in other respects. Thus we speak of good eating, good roads, good weather, good weapons, good

omens, and so on; in short, we call everything good that is just as we wish it to be; and therefore that may be good in the eyes of one man which is just the reverse in those of another. The conception of the good divides itself into two sub-species — that of the direct and present satisfaction of any volition, and that of its indirect satisfaction which has reference to the future, *i.e.*, the agreeable and the useful. The conception of the opposite, so long as we are speaking of unconscious existence, is expressed by the word *bad*, more rarely and abstractly by the word *evil*, which thus denotes everything that does not correspond to any effort of the will. Like all other things that can come into relation to the will, men who are favourable to the ends which happen to be desired, who further and befriend them, are called good, in the same sense, and always with that relative limitation, which shows itself, for example, in the expression, “I find this good, but you don’t.” Those, however, who are naturally disposed not to hinder the endeavours of others, but rather to assist them, and who are thus consistently helpful, benevolent, friendly, and charitable, are called *good* men, on account of this relation of their conduct to the will of others in general. In the case of conscious beings (brutes and men) the contrary conception is denoted in German, and, within the last hundred years or so, in French also, by a different word from that which is used in speaking of unconscious existence; in German, *böse*; in French, *méchant*; while in almost all other languages this distinction does not exist; and *κακος*, *malus*, *cattivo*, *bad*, are used of men, as of lifeless things, which are opposed to the ends of a definite individual will. Thus, having started entirely from the passive element in the good, the inquiry could only proceed later to the active element, and investigate the conduct of the man who is called good, no longer with reference to others, but to himself; specially setting itself the task of explaining both the purely objective respect which such conduct produces in others, and the peculiar contentment with himself which it clearly produces in the man himself, since he purchases it with sacrifices of another kind; and also, on the other hand, the inner pain which accompanies the bad disposition, whatever outward advantages it brings to him who entertains it. It was from this source that the ethical systems, both the philosophical and those which are supported by systems of religion, took their rise. Both seek constantly in some way or other to connect happiness with virtue, the former either by means of the principle of contradiction or that of sufficient reason, and thus to make happiness either identical with or

the consequence of virtue, always sophistically; the latter, by asserting the existence of other worlds than that which alone can be known to experience.<sup>79</sup> In our system, on the contrary, virtue will show itself, not as a striving after happiness, that is, well-being and life, but as an effort in quite an opposite direction.

It follows from what has been said above, that the *good* is, according to its concept, τὸν πρὸς τι; thus every good is essentially relative, for its being consists in its relation to a desiring will. *Absolute good* is, therefore, a contradiction in terms; highest good, *summum bonum*, really signifies the same thing — a final satisfaction of the will, after which no new desire could arise, — a last motive, the attainment of which would afford enduring satisfaction of the will. But, according to the investigations which have already been conducted in this Fourth Book, such a consummation is not even thinkable. The will can just as little cease from willing altogether on account of some particular satisfaction, as time can end or begin; for it there is no such thing as a permanent fulfilment which shall completely and for ever satisfy its craving. It is the vessel of the Danaides; for it there is no highest good, no absolute good, but always a merely temporary good. If, however, we wish to give an honorary position, as it were *emeritus*, to an old expression, which from custom we do not like to discard altogether, we may, metaphorically and figuratively, call the complete self-effacement and denial of the will, the true absence of will, which alone for ever stills and silences its struggle, alone gives that contentment which can never again be disturbed, alone redeems the world, and which we shall now soon consider at the close of our whole investigation — the absolute good, the *summum bonum* — and regard it as the only radical cure of the disease of which all other means are only palliations or anodynes. In this sense the Greek τέλος and also *finis bonorum* correspond to the thing still better. So much for the words *good* and *bad*; now for the thing itself.

If a man is always disposed to do *wrong* whenever the opportunity presents itself, and there is no external power to restrain him, we call him *bad*. According to our doctrine of wrong, this means that such a man does not merely assert the will to live as it appears in his own body, but in this assertion goes so far that he denies the will which appears in other individuals. This is shown by the fact that he desires their powers for the service of his own will, and seeks to destroy their existence when they stand in the way of its efforts. The ultimate source of this is a high degree of

egoism, the nature of which has been already explained. Two things are here apparent. In the first place, that in such a man an excessively vehement will to live expresses itself, extending far beyond the assertion of his own body; and, in the second place, that his knowledge, entirely given up to the principle of sufficient reason and involved in the *principium individuationis*, cannot get beyond the difference which this latter principle establishes between his own person and every one else. Therefore he seeks his own well-being alone, completely indifferent to that of all others, whose existence is to him altogether foreign and divided from his own by a wide gulf, and who are indeed regarded by him as mere masks with no reality behind them. And these two qualities are the constituent elements of the bad character.

This great intensity of will is in itself and directly a constant source of suffering. In the first place, because all volition as such arises from want; that is, suffering. (Therefore, as will be remembered, from the Third Book, the momentary cessation of all volition, which takes place whenever we give ourselves up to æsthetic contemplation, as pure will-less subject of knowledge, the correlative of the Idea, is one of the principal elements in our pleasure in the beautiful.) Secondly, because, through the causal connection of things, most of our desires must remain unfulfilled, and the will is oftener crossed than satisfied, and therefore much intense volition carries with it much intense suffering. For all suffering is simply unfulfilled and crossed volition; and even the pain of the body when it is injured or destroyed is as such only possible through the fact that the body is nothing but the will itself become object. Now on this account, because much intense suffering is inseparable from much intense volition, very bad men bear the stamp of inward suffering in the very expression of the countenance; even when they have attained every external happiness, they always look unhappy so long as they are not transported by some momentary ecstasy and are not dissembling. From this inward torment, which is absolutely and directly essential to them, there finally proceeds that delight in the suffering of others which does not spring from mere egoism, but is disinterested, and which constitutes *wickedness* proper, rising to the pitch of *cruelty*. For this the suffering of others is not a means for the attainment of the ends of its own will, but an end in itself. The more definite explanation of this phenomenon is as follows: — Since man is a manifestation of will illuminated by the clearest knowledge, he is always

contrasting the actual and felt satisfaction of his will with the merely possible satisfaction of it which knowledge presents to him. Hence arises envy: every privation is infinitely increased by the enjoyment of others, and relieved by the knowledge that others also suffer the same privation. Those ills which are common to all and inseparable from human life trouble us little, just as those which belong to the climate, to the whole country. The recollection of greater sufferings than our own stills our pain; the sight of the sufferings of others soothes our own. If, now, a man is filled with an exceptionally intense pressure of will, — if with burning eagerness he seeks to accumulate everything to slake the thirst of his egoism, and thus experiences, as he inevitably must, that all satisfaction is merely apparent, that the attained end never fulfils the promise of the desired object, the final appeasing of the fierce pressure of will, but that when fulfilled the wish only changes its form, and now torments him in a new one; and indeed that if at last all wishes are exhausted, the pressure of will itself remains without any conscious motive, and makes itself known to him with fearful pain as a feeling of terrible desolation and emptiness; if from all this, which in the case of the ordinary degrees of volition is only felt in a small measure, and only produces the ordinary degree of melancholy, in the case of him who is a manifestation of will reaching the point of extraordinary wickedness, there necessarily springs an excessive inward misery, an eternal unrest, an incurable pain; he seeks indirectly the alleviation which directly is denied him, — seeks to mitigate his own suffering by the sight of the suffering of others, which at the same time he recognises as an expression of his power. The suffering of others now becomes for him an end in itself, and is a spectacle in which he delights; and thus arises the phenomenon of pure cruelty, blood-thirstiness, which history exhibits so often in the Neros and Domitians, in the African Deis, in Robespierre, and the like.

The desire of revenge is closely related to wickedness. It recompenses evil with evil, not with reference to the future, which is the character of punishment, but merely on account of what has happened, what is past, as such, thus disinterestedly, not as a means, but as an end, in order to revel in the torment which the avenger himself has inflicted on the offender. What distinguishes revenge from pure wickedness, and to some extent excuses it, is an appearance of justice. For if the same act, which is now revenge, were to be done legally, that is, according to a previously determined and known



rule, and in a society which had sanctioned this rule, it would be punishment, and thus justice.

Besides the suffering which has been described, and which is inseparable from wickedness, because it springs from the same root, excessive vehemence of will, another specific pain quite different from this is connected with wickedness, which is felt in the case of every bad action, whether it be merely injustice proceeding from egoism or pure wickedness, and according to the length of its duration is called *the sting of conscience* or *remorse*. Now, whoever remembers and has present in his mind the content of the preceding portion of this Fourth Book, and especially the truth explained at the beginning of it, that life itself is always assured to the will to live, as its mere copy or mirror, and also the exposition of eternal justice, will find that the sting of conscience can have no other meaning than the following, *i.e.*, its content, abstractly expressed, is what follows, in which two parts are distinguished, which again, however, entirely coincide, and must be thought as completely united.

However closely the veil of Mâyâ may envelop the mind of the bad man, *i.e.*, however firmly he may be involved in the *principium individuationis*, according to which he regards his person as absolutely different and separated by a wide gulf from all others, a knowledge to which he clings with all his might, as it alone suits and supports his egoism, so that knowledge is almost always corrupted by will, yet there arises in the inmost depths of his consciousness the secret presentiment that such an order of things is only phenomenal, and that their real constitution is quite different. He has a dim foreboding that, however much time and space may separate him from other individuals and the innumerable miseries which they suffer, and even suffer through him, and may represent them as quite foreign to him, yet in themselves, and apart from the idea and its forms, it is the one will to live appearing in them all, which here failing to recognise itself, turns its weapons against itself, and, by seeking increased happiness in one of its phenomena, imposes the greatest suffering upon another. He dimly sees that he, the bad man, is himself this whole will; that consequently he is not only the inflicter of pain but also the endurer of it, from whose suffering he is only separated and exempted by an illusive dream, the form of which is space and time, which, however, vanishes away; that he must in reality pay for the pleasure with the pain, and that all suffering which he only knows as possible really concerns him as the will to live, inasmuch as the

possible and actual, the near and the distant in time and space, are only different for the knowledge of the individual, only by means of the *principium individuationis*, not in themselves. This is the truth which mythically, *i.e.*, adapted to the principle of sufficient reason, and so translated into the form of the phenomenal, is expressed in the transmigration of souls. Yet it has its purest expression, free from all foreign admixture, in that obscurely felt yet inconsolable misery called remorse. But this springs also from a second immediate knowledge, which is closely bound to the first — the knowledge of the strength with which the will to live asserts itself in the wicked individual, which extends far beyond his own individual phenomenon, to the absolute denial of the same will appearing in other individuals. Consequently the inward horror of the wicked man at his own deed, which he himself tries to conceal, contains, besides that presentment of the nothingness, the mere illusiveness of the *principium individuationis*, and of the distinction established by it between him and others; also the knowledge of the vehemence of his own will, the intensity with which he has seized upon life and attached himself closely to it, even that life whose terrible side he sees before him in the misery of those who are oppressed by him, and with which he is yet so firmly united, that just on this account the greatest atrocity proceeds from him himself, as a means for the fuller assertion of his own will. He recognises himself as the concentrated manifestation of the will to live, feels to what degree he is given up to life, and with it also to innumerable sufferings which are essential to it, for it has infinite time and infinite space to abolish the distinction between the possible and the actual, and to change all the sufferings which as yet are merely *known* to him into sufferings he has *experienced*. The millions of years of constant rebirth certainly exist, like the whole past and future, only in conception; occupied time, the form of the phenomenon of the will, is only the present, and for the individual time is ever new: it seems to him always as if he had newly come into being. For life is inseparable from the will to live, and the only form of life is the present. Death (the repetition of the comparison must be excused) is like the setting of the sun, which is only apparently swallowed up by the night, but in reality, itself the source of all light, burns without intermission, brings new days to new worlds, is always rising and always setting. Beginning and end only concern the individual through time, the form of the phenomenon for the idea. Outside time lies only the will, Kant's thing-in-itself, and its

adequate objectification, the Idea of Plato. Therefore suicide affords no escape; what every one in his inmost consciousness *wills*, that must he *be*; and what every one *is*, that he *wills*. Thus, besides the merely felt knowledge of the illusiveness and nothingness of the forms of the idea which separate individuals, it is the self-knowledge of one's own will and its degree that gives the sting to conscience. The course of life draws the image of the empirical character, whose original is the intelligible character, and horrifies the wicked man by this image. He is horrified all the same whether the image is depicted in large characters, so that the world shares his horror, or in such small ones that he alone sees it, for it only concerns him directly. The past would be a matter of indifference, and could not pain the conscience if the character did not feel itself free from all time and unalterable by it, so long as it does not deny itself. Therefore things which are long past still weigh on the conscience. The prayer, "Lead me not into temptation," means, "Let me not see what manner of person I am." In the might with which the bad man asserts life, and which exhibits itself to him in the sufferings which he inflicts on others, he measures how far he is from the surrender and denial of that will, the only possible deliverance from the world and its miseries. He sees how far he belongs to it, and how firmly he is bound to it; the *known* suffering of others has no power to move him; he is given up to life and *felt* suffering. It remains hidden whether this will ever break and overcome the vehemence of his will.

This exposition of the significance and inner nature of the *bad*, which as mere feeling, *i.e.*, not as distinct, abstract knowledge, is the content of *remorse*, will gain distinctness and completeness by the similar consideration of the *good* as a quality of human will, and finally of absolute resignation and holiness, which proceeds from it when it has attained its highest grade. For opposites always throw light upon each other, and the day at once reveals both itself and the night, as Spinoza admirably remarks.

§ 66. A theory of morals without proof, that is, mere moralising, can effect nothing, because it does not act as a motive. A theory of morals which does act as a motive can do so only by working on self-love. But what springs from this source has no moral worth. It follows from this that no genuine virtue can be produced through moral theory or abstract knowledge in general, but that such virtue must spring from that intuitive knowledge which recognises in the individuality of others the same nature as in our own.

For virtue certainly proceeds from knowledge, but not from the abstract knowledge that can be communicated through words. If it were so, virtue could be taught, and by here expressing in abstract language its nature and the knowledge which lies at its foundation, we should make every one who comprehends this even ethically better. But this is by no means the case. On the contrary, ethical discourses and preaching will just as little produce a virtuous man as all the systems of æsthetics from Aristotle downwards have succeeded in producing a poet. For the real inner nature of virtue the concept is unfruitful, just as it is in art, and it is only in a completely subordinate position that it can be of use as a tool in the elaboration and preserving of what has been ascertained and inferred by other means. *Velle non discitur*. Abstract dogmas are, in fact, without influence upon virtue, *i.e.*, upon the goodness of the disposition. False dogmas do not disturb it; true ones will scarcely assist it. It would, in fact, be a bad look-out if the cardinal fact in the life of man, his ethical worth, that worth which counts for eternity, were dependent upon anything the attainment of which is so much a matter of chance as is the case with dogmas, religious doctrines, and philosophical theories. For morality dogmas have this value only: The man who has become virtuous from knowledge of another kind, which is presently to be considered, possesses in them a scheme or formula according to which he accounts to his own reason, for the most part fictitiously, for his non-egoistical action, the nature of which it, *i.e.*, he himself, does not comprehend, and with which account he has accustomed it to be content.

Upon conduct, outward action, dogmas may certainly exercise a powerful influence, as also custom and example (the last because the ordinary man does not trust his judgment, of the weakness of which he is conscious, but only follows his own or some one else's experience), but the disposition is not altered in this way.<sup>80</sup> All abstract knowledge gives only motives; but, as was shown above, motives can only alter the direction of the will, not the will itself. All communicable knowledge, however, can only affect the will as a motive. Thus when dogmas lead it, what the man really and in general wills remains still the same. He has only received different thoughts as to the ways in which it is to be attained, and imaginary motives guide him just like real ones. Therefore, for example, it is all one, as regards his ethical worth, whether he gives large gifts to the poor, firmly persuaded that he will receive everything tenfold in a future life, or expends

the same sum on the improvement of an estate which will yield interest, certainly late, but all the more surely and largely. And he who for the sake of orthodoxy commits the heretic to the flames is as much a murderer as the bandit who does it for gain; and indeed, as regards inward circumstances, so also was he who slaughtered the Turks in the Holy Land, if, like the burner of heretics, he really did so because he thought that he would thereby gain a place in heaven. For these are careful only for themselves, for their own egoism, just like the bandit, from whom they are only distinguished by the absurdity of their means. From without, as has been said, the will can only be reached through motives, and these only alter the way in which it expresses itself, never the will itself. *Velle non discitur.*

In the case of good deeds, however, the doer of which appeals to dogmas, we must always distinguish whether these dogmas really are the motives which lead to the good deeds, or whether, as was said above, they are merely the illusive account of them with which he seeks to satisfy his own reason with regard to a good deed which really flows from quite a different source, a deed which he does because he is good, though he does not understand how to explain it rightly, and yet wishes to think something with regard to it. But this distinction is very hard to make, because it lies in the heart of a man. Therefore we can scarcely ever pass a correct moral judgment on the action of others, and very seldom on our own. The deeds and conduct of an individual and of a nation may be very much modified through dogmas, example, and custom. But in themselves all deeds (*opera operata*) are merely empty forms, and only the disposition which leads to them gives them moral significance. This disposition, however, may be quite the same when its outward manifestation is very different. With an equal degree of wickedness, one man may die on the wheel, and another in the bosom of his family. It may be the same grade of wickedness which expresses itself in one nation in the coarse characteristics of murder and cannibalism, and in another finely and softly in miniature, in court intrigues, oppressions, and delicate plots of every kind; the inner nature remains the same. It is conceivable that a perfect state, or perhaps indeed a complete and firmly believed doctrine of rewards and punishments after death, might prevent every crime; politically much would be gained thereby; morally, nothing; only the expression of the will in life would be restricted.

Thus genuine goodness of disposition, disinterested virtue, and pure nobility do not proceed from abstract knowledge. Yet they do proceed from

knowledge; but it is a direct intuitive knowledge, which can neither be reasoned away, nor arrived at by reasoning, a knowledge which, just because it is not abstract, cannot be communicated, but must arise in each for himself, which therefore finds its real and adequate expression not in words, but only in deeds, in conduct, in the course of the life of man. We who here seek the theory of virtue, and have therefore also to express abstractly the nature of the knowledge which lies at its foundation, will yet be unable to convey that knowledge itself in this expression. We can only give the concept of this knowledge, and thus always start from action in which alone it becomes visible, and refer to action as its only adequate expression. We can only explain and interpret action, *i.e.*, express abstractly what really takes place in it.

Before we speak of the *good* proper, in opposition to the *bad*, which has been explained, we must touch on an intermediate grade, the mere negation of the bad: this is *justice*. The nature of right and wrong has been fully explained above; therefore we may briefly say here, that he who voluntarily recognises and observes those merely moral limits between wrong and right, even where this is not secured by the state or any other external power, thus he who, according to our explanation, never carries the assertion of his own will so far as to deny the will appearing in another individual, is *just*. Thus, in order to increase his own well-being, he will not inflict suffering upon others, *i.e.*, he will commit no crime, he will respect the rights and the property of others. We see that for such a just man the *principium individuationis* is no longer, as in the case of the bad man, an absolute wall of partition. We see that he does not, like the bad man, merely assert his own manifestation of will and deny all others; that other persons are not for him mere masks, whose nature is quite different from his own; but he shows in his conduct that he also recognises his own nature — the will to live as a thing-in-itself, in the foreign manifestation which is only given to him as idea. Thus he finds himself again in that other manifestation, up to a certain point, that of doing no wrong, *i.e.*, abstaining from injury. To this extent, therefore, he sees through the *principium individuationis*, the veil of Mâyâ; so far he sets the being external to him on a level with his own — he does it no injury.

If we examine the inmost nature of this justice, there already lies in it the resolution not to go so far in the assertion of one's own will as to deny the manifestations of will of others, by compelling them to serve one's own.

One will therefore wish to render to others as much as one receives from them. The highest degree of this justice of disposition, which is, however, always united with goodness proper, whose character is no longer merely negative, extends so far that a man doubts his right to inherited property, wishes to support his body only by his own powers, mental and physical, feels every service of others and every luxury a reproach, and finally embraces voluntary poverty. Thus we see how Pascal, when he became an ascetic, would no longer permit any services to be rendered him, although he had servants enough; in spite of his constant bad health he made his bed himself, brought his own food from the kitchen, &c. (“Vie de Pascal, par sa Sœur,” p. 19). Quite in keeping with this, it is reported that many Hindus, even Rajas with great wealth, expend it merely on the maintenance of their position, their court and attendants, and themselves observe with the greatest scrupulousness the maxim that a man should eat nothing that he has not himself both sowed and reaped. Yet a certain misunderstanding lies at the bottom of this; for one man, just because he is rich and powerful, can render such signal services to the whole of human society that they counterbalance the wealth he has inherited, for the secure possession of which he is indebted to society. In reality that excessive justice of such Hindus is already more than justice; it is actual renunciation, denial of the will to live, — asceticism, of which we shall speak last. On the other hand, pure idleness and living through the exertions of others, in the case of inherited wealth, without accomplishing anything, may be regarded as morally wrong, even if it must remain right according to positive laws.

We have found that voluntary justice has its inmost source in a certain degree of penetration of the *principium individuationis*, while the unjust remain entirely involved in this principle. This penetration may exist not only in the degree which is required for justice, but also in the higher degree which leads to benevolence and well-doing, to love of mankind. And this may take place however strong and energetic in itself the will which appears in such an individual may be. Knowledge can always counterbalance it in him, teach him to resist the tendency to wrong, and even produce in him every degree of goodness, and indeed of resignation. Thus the good man is by no means to be regarded as originally a weaker manifestation of will than the bad man, but it is knowledge which in him masters the blind striving of will. There are certainly individuals who merely seem to have a good disposition on account of the weakness of the

will appearing in them, but what they are soon appears from the fact that they are not capable of any remarkable self-conquest in order to perform a just or good deed.

If, however, as a rare exception, we meet a man who possesses a considerable income, but uses very little of it for himself and gives all the rest to the poor, while he denies himself many pleasures and comforts, and we seek to explain the action of this man, we shall find, apart altogether from the dogmas through which he tries to make his action intelligible to his reason, that the simplest general expression and the essential character of his conduct is that *he makes less distinction than is usually made between himself and others*. This distinction is so great in the eyes of many that the suffering of others is a direct pleasure to the wicked and a welcome means of happiness to the unjust. The merely just man is content not to cause it; and, in general, most men know and are acquainted with innumerable sufferings of others in their vicinity, but do not determine to mitigate them, because to do so would involve some self-denial on their part. Thus, in each of all these a strong distinction seems to prevail between his own ego and that of others; on the other hand, to the noble man we have imagined, this distinction is not so significant. The *principium individuationis*, the form of the phenomenon, no longer holds him so tightly in its grasp, but the suffering which he sees in others touches him almost as closely as his own. He therefore tries to strike a balance between them, denies himself pleasures, practises renunciation, in order to mitigate the sufferings of others. He sees that the distinction between himself and others, which to the bad man is so great a gulf, only belongs to a fleeting and illusive phenomenon. He recognises directly and without reasoning that the in-itself of his own manifestation is also that of others, the will to live, which constitutes the inner nature of everything and lives in all; indeed, that this applies also to the brutes and the whole of nature, and therefore he will not cause suffering even to a brute.<sup>[81](#)</sup>

He is now just as little likely to allow others to starve, while he himself has enough and to spare, as any one would be to suffer hunger one day in order to have more the next day than he could enjoy. For to him who does works of love the veil of Mâyâ has become transparent, the illusion of the *principium individuationis* has left him. He recognises himself, his will, in every being, and consequently also in the sufferer. He is now free from the perversity with which the will to live, not recognising itself, here in one



individual enjoys a fleeting and precarious pleasure, and there in another pays for it with suffering and starvation, and thus both inflicts and endures misery, not knowing that, like Thyestes, it eagerly devours its own flesh; and then, on the one hand, laments its undeserved suffering, and on the other hand transgresses without fear of Nemesis, always merely because, involved in the *principium individuationis*, thus generally in the kind of knowledge which is governed by the principle of sufficient reason, it does not recognise itself in the foreign phenomenon, and therefore does not perceive eternal justice. To be cured of this illusion and deception of Mâyâ, and to do works of love, are one and the same. But the latter is the necessary and inevitable symptom of that knowledge.

The opposite of the sting of conscience, the origin and significance of which is explained above, is the *good conscience*, the satisfaction which we experience after every disinterested deed. It arises from the fact that such a deed, as it proceeds from the direct recognition of our own inner being in the phenomenon of another, affords us also the verification of this knowledge, the knowledge that our true self exists not only in our own person, this particular manifestation, but in everything that lives. By this the heart feels itself enlarged, as by egoism it is contracted. For as the latter concentrates our interest upon the particular manifestation of our own individuality, upon which knowledge always presents to us the innumerable dangers which constantly threaten this manifestation, and anxiety and care becomes the key-note of our disposition; the knowledge that everything living is just as much our own inner nature, as is our own person, extends our interest to everything living; and in this way the heart is enlarged. Thus through the diminished interest in our own self, the anxious care for the self is attacked at its very root and limited; hence the peace, the unbroken serenity, which a virtuous disposition and a good conscience affords, and the more distinct appearance of this with every good deed, for it proves to ourselves the depth of that disposition. The egoist feels himself surrounded by strange and hostile individuals, and all his hope is centred in his own good. The good man lives in a world of friendly individuals, the well-being of any of whom he regards as his own. Therefore, although the knowledge of the lot of mankind generally does not make his disposition a joyful one, yet the permanent knowledge of his own nature in all living beings, gives him a certain evenness, and even serenity of disposition. For the interest which is extended to innumerable manifestations cannot cause such anxiety

as that which is concentrated upon one. The accidents which concern individuals collectively, equalise themselves, while those which happen to the particular individual constitute good or bad fortune.

Thus, though others have set up moral principles which they give out as prescriptions for virtue, and laws which it was necessary to follow, I, as has already been said, cannot do this because I have no “ought” or law to prescribe to the eternally free-will. Yet on the other hand, in the connection of my system, what to a certain extent corresponds and is analogous to that undertaking is the purely theoretical truth, of which my whole exposition may be regarded as merely an elaboration, that the will is the in-itself of every phenomenon but itself, as such, is free from the forms of the phenomenal, and consequently from multiplicity; a truth, which, with reference to action, I do not know how to express better than by the formula of the Vedas already quoted: “Tat twam asi!” (This thou art!) Whoever is able to say this to himself, with regard to every being with whom he comes in contact, with clear knowledge and firm inward conviction, is certain of all virtue and blessedness, and is on the direct road to salvation.

But before I go further, and, as the conclusion of my exposition, show how love, the origin and nature of which we recognised as the penetration of the *principium individuationis*, leads to salvation, to the entire surrender of the will to live, *i.e.*, of all volition, and also how another path, less soft but more frequented, leads men to the same goal, a paradoxical proposition must first be stated and explained; not because it is paradoxical, but because it is true, and is necessary to the completeness of the thought I have present. It is this: “All love (αγάπη, *caritas*) is sympathy.”

§ 67. We have seen how justice proceeds from the penetration of the *principium individuationis* in a less degree, and how from its penetration in a higher degree there arises goodness of disposition proper, which shows itself as pure, *i.e.*, disinterested love towards others. When now the latter becomes perfect, it places other individuals and their fate completely on a level with itself and its own fate. Further than this it cannot go, for there exists no reason for preferring the individuality of another to its own. Yet the number of other individuals whose whole happiness or life is in danger may outweigh the regard for one’s own particular well-being. In such a case, the character that has attained to the highest goodness and perfect nobility will entirely sacrifice its own well-being, and even its life, for the well-being of many others. So died Codrus, and Leonidas, and Regulus, and

Decius Mus, and Arnold von Winkelried; so dies every one who voluntarily and consciously faces certain death for his friends or his country. And they also stand on the same level who voluntarily submit to suffering and death for maintaining what conduces and rightly belongs to the welfare of all mankind; that is, for maintaining universal and important truths and destroying great errors. So died Socrates and Giordano Bruno, and so many a hero of the truth suffered death at the stake at the hands of the priests.

Now, however, I must remind the reader, with reference to the paradox stated above, that we found before that suffering is essential to life as a whole, and inseparable from it. And that we saw that every wish proceeds from a need, from a want, from suffering, and that therefore every satisfaction is only the removal of a pain, and brings no positive happiness; that the joys certainly lie to the wish, presenting themselves as a positive good, but in truth they have only a negative nature, and are only the end of an evil. Therefore what goodness, love, and nobleness do for others, is always merely an alleviation of their suffering, and consequently all that can influence them to good deeds and works of love, is simply the *knowledge of the suffering of others*, which is directly understood from their own suffering and placed on a level with it. But it follows from this that pure love (*αγάπη*, *caritas*) is in its nature sympathy; whether the suffering it mitigates, to which every unsatisfied wish belongs, be great or small. Therefore we shall have no hesitation, in direct contradiction to Kant, who will only recognise all true goodness and all virtue to be such, if it has proceeded from abstract reflection, and indeed from the conception of duty and of the categorical imperative, and explains felt sympathy as weakness, and by no means virtue, we shall have no hesitation, I say, in direct contradiction to Kant, in saying: the mere concept is for genuine virtue just as unfruitful as it is for genuine art: all true and pure love is sympathy, and all love which is not sympathy is selfishness. *Ερως* is selfishness, *αγάπη* is sympathy. Combinations of the two frequently occur. Indeed genuine friendship is always a mixture of selfishness and sympathy; the former lies in the pleasure experienced in the presence of the friend, whose individuality corresponds to our own, and this almost always constitutes the greatest part; sympathy shows itself in the sincere participation in his joy and grief, and the disinterested sacrifices made in respect of the latter. Thus Spinoza says: *Benevolentia nihil aliud est, quam cupiditas ex commiseratione orta* (Eth. iii. pr. 27, cor. 3, schol.) As a confirmation of our

paradoxical proposition it may be observed that the tone and words of the language and caresses of pure love, entirely coincide with the tones of sympathy; and we may also remark in passing that in Italian sympathy and true love are denoted by the same word *pietà*.

This is also the place to explain one of the most striking peculiarities of human nature, *weeping*, which, like laughter, belongs to those qualities which distinguish man from the brutes. Weeping is by no means a direct expression of pain, for it occurs where there is very little pain. In my opinion, indeed, we never weep directly on account of the pain we experience, but always merely on account of its repetition in reflection. We pass from the felt pain, even when it is physical, to a mere idea of it, and then find our own state so deserving of sympathy that we are firmly and sincerely convinced that if another were the sufferer, we would be full of sympathy, and love to relieve him. But now we ourselves are the object of our own sympathy; with the most benevolent disposition we are ourselves most in need of help; we feel that we suffer more than we could see another suffer; and in this very complex frame of mind, in which the directly felt suffering only comes to perception by a doubly circuitous route, imagined as the suffering of another, sympathised with as such, and then suddenly perceived again as directly our own, — in this complex frame of mind, I say, Nature relieves itself through that remarkable physical conflict. *Weeping* is accordingly *sympathy with our own selves*, or sympathy directed back on its source. It is therefore conditional upon the capacity for love and sympathy, and also upon imagination. Therefore men who are either hard-hearted or unimaginative do not weep easily, and weeping is even always regarded as a sign of a certain degree of goodness of character, and disarms anger, because it is felt that whoever can still weep, must necessarily always be capable of love, *i.e.*, sympathy towards others, for this enters in the manner described into the disposition that leads to weeping. The description which Petrarch gives of the rising of his own tears, naïvely and truly expressing his feeling, entirely agrees with the explanation we have given

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“I vo pensando: e nel pensar m’ assale  
*Una pietà sì forte di me stesso,*  
Che mi conduce spesso,  
Ad alto lagrimar, ch’i non soleva.”<sup>82</sup>

What has been said is also confirmed by the fact that children who have been hurt generally do not cry till some one commiserates them; thus not on account of the pain, but on account of the idea of it. When we are moved to tears, not through our own suffering but through that of another, this happens as follows. Either we vividly put ourselves in the place of the sufferer by imagination, or see in his fate the lot of humanity as a whole, and consequently, first of all, our own lot; and thus, in a very roundabout way, it is yet always about ourselves that we weep, sympathy with ourselves which we feel. This seems to be the principal reason of the universal, and thus natural, weeping in the case of death. The mourner does not weep for his loss; he would be ashamed of such egotistical tears, instead of which he is sometimes ashamed of not weeping. First of all he certainly weeps for the fate of the dead, but he also weeps when, after long, heavy, and incurable suffering, death was to this man a wished-for deliverance. Thus, principally, he is seized with sympathy for the lot of all mankind, which is necessarily finite, so that every life, however aspiring, and often rich in deeds, must be extinguished and become nothing. But in this lot of mankind the mourner sees first of all his own, and this all the more, the more closely he is related to him who has died, thus most of all if it is his father. Although to his father his life was misery through age and sickness, and though his helplessness was a heavy burden to his son, yet that son weeps bitterly over the death of his father for the reason which has been given.<sup>83</sup>

§ 68. After this digression about the identity of pure love and sympathy, the final return of which upon our own individuality has, as its symptom, the phenomenon of weeping, I now take up the thread of our discussion of the ethical significance of action, in order to show how, from the same source from which all goodness, love, virtue, and nobility of character spring, there finally arises that which I call the denial of the will to live.

We saw before that hatred and wickedness are conditioned by egoism, and egoism rests on the entanglement of knowledge in the *principium individuationis*. Thus we found that the penetration of that *principium individuationis* is the source and the nature of justice, and when it is carried further, even to its fullest extent, it is the source and nature of love and nobility of character. For this penetration alone, by abolishing the distinction between our own individuality and that of others, renders possible and explains perfect goodness of disposition, extending to disinterested love and the most generous self-sacrifice for others.

If, however, this penetration of the *principium individuationis*, this direct knowledge of the identity of will in all its manifestations, is present in a high degree of distinctness, it will at once show an influence upon the will which extends still further. If that veil of Mâyâ, the *principium individuationis*, is lifted from the eyes of a man to such an extent that he no longer makes the egotistical distinction between his person and that of others, but takes as much interest in the sufferings of other individuals as in his own, and therefore is not only benevolent in the highest degree, but even ready to sacrifice his own individuality whenever such a sacrifice will save a number of other persons, then it clearly follows that such a man, who recognises in all beings his own inmost and true self, must also regard the infinite suffering of all suffering beings as his own, and take on himself the pain of the whole world. No suffering is any longer strange to him. All the miseries of others which he sees and is so seldom able to alleviate, all the miseries he knows directly, and even those which he only knows as possible, work upon his mind like his own. It is no longer the changing joy and sorrow of his own person that he has in view, as is the case with him who is still involved in egoism; but, since he sees through the *principium individuationis*, all lies equally near him. He knows the whole, comprehends its nature, and finds that it consists in a constant passing away, vain striving, inward conflict, and continual suffering. He sees wherever he looks suffering humanity, the suffering brute creation, and a world that passes away. But all this now lies as near him as his own person lies to the egoist. Why should he now, with such knowledge of the world, assert this very life through constant acts of will, and thereby bind himself ever more closely to it, press it ever more firmly to himself? Thus he who is still involved in the *principium individuationis*, in egoism, only knows particular things and their relation to his own person, and these constantly become new *motives* of his volition. But, on the other hand, that knowledge of the whole, of the nature of the thing-in-itself which has been described, becomes a *quieter* of all and every volition. The will now turns away from life; it now shudders at the pleasures in which it recognises the assertion of life. Man now attains to the state of voluntary renunciation, resignation, true indifference, and perfect will-lessness. If at times, in the hard experience of our own suffering, or in the vivid recognition of that of others, the knowledge of the vanity and bitterness of life draws nigh to us also who are still wrapt in the veil of Mâyâ, and we would like to destroy the sting of the

desires, close the entrance against all suffering, and purify and sanctify ourselves by complete and final renunciation; yet the illusion of the phenomenon soon entangles us again, and its motives influence the will anew; we cannot tear ourselves free. The allurements of hope, the flattery of the present, the sweetness of pleasure, the well-being which falls to our lot, amid the lamentations of a suffering world governed by chance and error, draws us back to it and rivets our bonds anew. Therefore Jesus says: "It is easier for a camel to go through the eye of a needle, than for a rich man to enter into the kingdom of God."

If we compare life to a course or path through which we must unceasingly run — a path of red-hot coals, with a few cool places here and there; then he who is entangled in delusion is consoled by the cool places, on which he now stands, or which he sees near him, and sets out to run through the course. But he who sees through the *principium individuationis*, and recognises the real nature of the thing-in-itself, and thus the whole, is no longer susceptible of such consolation; he sees himself in all places at once, and withdraws. His will turns round, no longer asserts its own nature, which is reflected in the phenomenon, but denies it. The phenomenon by which this change is marked, is the transition from virtue to asceticism. That is to say, it no longer suffices for such a man to love others as himself, and to do as much for them as for himself; but there arises within him a horror of the nature of which his own phenomenal existence is an expression, the will to live, the kernel and inner nature of that world which is recognised as full of misery. He therefore disowns this nature which appears in him, and is already expressed through his body, and his action gives the lie to his phenomenal existence, and appears in open contradiction to it. Essentially nothing else but a manifestation of will, he ceases to will anything, guards against attaching his will to anything, and seeks to confirm in himself the greatest indifference to everything. His body, healthy and strong, expresses through the genitals, the sexual impulse; but he denies the will and gives the lie to the body; he desires no sensual gratification under any condition. Voluntary and complete chastity is the first step in asceticism or the denial of the will to live. It thereby denies the assertion of the will which extends beyond the individual life, and gives the assurance that with the life of this body, the will, whose manifestation it is, ceases. Nature, always true and naïve, declares that if this maxim became universal, the human race would die out; and I think I may assume, in accordance with

what was said in the Second Book about the connection of all manifestations of will, that with its highest manifestation, the weaker reflection of it would also pass away, as the twilight vanishes along with the full light. With the entire abolition of knowledge, the rest of the world would of itself vanish into nothing; for without a subject there is no object. I should like here to refer to a passage in the Vedas, where it is said: "As in this world hungry infants press round their mother; so do all beings await the holy oblation." (Asiatic Researches, vol. viii.; Colebrooke, On the Vedas, Abstract of the Sama-Veda; also in Colebrooke's Miscellaneous Essays, vol. i. p. 79.) Sacrifice means resignation generally, and the rest of nature must look for its salvation to man who is at once the priest and the sacrifice. Indeed it deserves to be noticed as very remarkable, that this thought has also been expressed by the admirable and unfathomably profound Angelus Silesius, in the little poem entitled, "Man brings all to God;" it runs, "Man! all loves thee; around thee great is the throng. All things flee to thee that they may attain to God." But a yet greater mystic, Meister Eckhard, whose wonderful writings are at last accessible (1857) through the edition of Franz Pfeiffer, says the same thing (p. 459) quite in the sense explained here: "I bear witness to the saying of Christ. I, if I be lifted up from the earth, will draw all things unto me (John xii. 32). So shall the good man draw all things up to God, to the source whence they first came. The Masters certify to us that all creatures are made for the sake of man. This is proved in all created things, by the fact that the one makes the use of the other; the ox makes use of the grass, the fish of the water, the bird of the air, the wild beast of the forest. Thus, all created things become of use to the good man. A good man brings to God the one created thing in the other." He means to say, that man makes use of the brutes in this life because, in and with himself, he saves them also. It also seems to me that that difficult passage in the Bible, Rom. viii. 21-24, must be interpreted in this sense.

In Buddhism also, there is no lack of expressions of this truth. For example, when Buddha, still as Bodisatwa, has his horse saddled for the last time, for his flight into the wilderness from his father's house, he says these lines to the horse: "Long hast thou existed in life and in death, but now thou shalt cease from carrying and drawing. Bear me but this once more, O Kantakana, away from here, and when I have attained to the Law (have



become Buddha) I will not forget thee” (Foe Koue Ki, trad. p. Abel Rémusat, p. 233).

Asceticism then shows itself further in voluntary and intentional poverty, which not only arises *per accidens*, because the possessions are given away to mitigate the sufferings of others, but is here an end in itself, is meant to serve as a constant mortification of will, so that the satisfaction of the wishes, the sweet of life, shall not again arouse the will, against which self-knowledge has conceived a horror. He who has attained to this point, still always feels, as a living body, as concrete manifestation of will, the natural disposition for every kind of volition; but he intentionally suppresses it, for he compels himself to refrain from doing all that he would like to do, and to do all that he would like not to do, even if this has no further end than that of serving as a mortification of will. Since he himself denies the will which appears in his own person, he will not resist if another does the same, *i.e.*, inflicts wrongs upon him. Therefore every suffering coming to him from without, through chance or the wickedness of others, is welcome to him, every injury, ignominy, and insult; he receives them gladly as the opportunity of learning with certainty that he no longer asserts the will, but gladly sides with every enemy of the manifestation of will which is his own person. Therefore he bears such ignominy and suffering with inexhaustible patience and meekness, returns good for evil without ostentation, and allows the fire of anger to rise within him just as little as that of the desires. And he mortifies not only the will itself, but also its visible form, its objectivity, the body. He nourishes it sparingly, lest its excessive vigour and prosperity should animate and excite more strongly the will, of which it is merely the expression and the mirror. So he practises fasting, and even resorts to chastisement and self-inflicted torture, in order that, by constant privation and suffering, he may more and more break down and destroy the will, which he recognises and abhors as the source of his own suffering existence and that of the world. If at last death comes, which puts an end to this manifestation of that will, whose existence here has long since perished through free-denial of itself, with the exception of the weak residue of it which appears as the life of this body; it is most welcome, and is gladly received as a longed-for deliverance. Here it is not, as in the case of others, merely the manifestation which ends with death; but the inner nature itself is abolished, which here existed only in the manifestation, and that in a very

weak degree;<sup>84</sup> this last slight bond is now broken. For him who thus ends, the world has ended also.

And what I have here described with feeble tongue and only in general terms, is no philosophical fable, invented by myself, and only of to-day; no, it was the enviable life of so many saints and beautiful souls among Christians, and still more among Hindus and Buddhists, and also among the believers of other religions. However different were the dogmas impressed on their reason, the same inward, direct, intuitive knowledge, from which alone all virtue and holiness proceed, expressed itself in precisely the same way in the conduct of life. For here also the great distinction between intuitive and abstract knowledge shows itself; a distinction which is of such importance and universal application in our whole investigation, and which has hitherto been too little attended to. There is a wide gulf between the two, which can only be crossed by the aid of philosophy, as regards the knowledge of the nature of the world. Intuitively or *in concreto*, every man is really conscious of all philosophical truths, but to bring them to abstract knowledge, to reflection, is the work of philosophy, which neither ought nor is able to do more than this.

Thus it may be that the inner nature of holiness, self-renunciation, mortification of our own will, asceticism, is here for the first time expressed abstractly, and free from all mythical elements, as *denial of the will to live*, appearing after the complete knowledge of its own nature has become a quieter of all volition. On the other hand, it has been known directly and realised in practice by saints and ascetics, who had all the same inward knowledge, though they used very different language with regard to it, according to the dogmas which their reason had accepted, and in consequence of which an Indian, a Christian, or a Lama saint must each give a very different account of his conduct, which is, however, of no importance as regards the fact. A saint may be full of the absurdest superstition, or, on the contrary, he may be a philosopher, it is all the same. His conduct alone certifies that he is a saint, for, in a moral regard, it proceeds from knowledge of the world and its nature, which is not abstractly but intuitively and directly apprehended, and is only expressed by him in any dogma for the satisfaction of his reason. It is therefore just as little needful that a saint should be a philosopher as that a philosopher should be a saint; just as it is not necessary that a perfectly beautiful man should be a great sculptor, or that a great sculptor should himself be a

beautiful man. In general, it is a strange demand upon a moralist that he should teach no other virtue than that which he himself possesses. To repeat the whole nature of the world abstractly, universally, and distinctly in concepts, and thus to store up, as it were, a reflected image of it in permanent concepts always at the command of the reason; this and nothing else is philosophy. I refer the reader to the passage quoted from Bacon in the First Book.

But the description I have given above of the denial of the will to live, of the conduct of a beautiful soul, of a resigned and voluntarily expiating saint, is merely abstract and general, and therefore cold. As the knowledge from which the denial of the will proceeds is intuitive and not abstract, it finds its most perfect expression, not in abstract conceptions, but in deeds and conduct. Therefore, in order to understand fully what we philosophically express as denial of the will to live, one must come to know examples of it in experience and actual life. Certainly they are not to be met with in daily experience: *Nam omnia præclara tam difficilia quam rara sunt*, Spinoza admirably says. Therefore, unless by a specially happy fate we are made eye-witnesses, we have to content ourselves with descriptions of the lives of such men. Indian literature, as we see from the little that we as yet know through translations, is very rich in descriptions of the lives of saints, penitents, Samanas or ascetics, Sannyâsis or mendicants, and whatever else they may be called. Even the well-known "Mythologie des Indous, par Mad. de Polier," though by no means to be commended in every respect, contains many excellent examples of this kind (especially in ch. 13, vol. ii.) Among Christians also there is no lack of examples which afford us the illustrations we desire. See the biographies, for the most part badly written, of those persons who are sometimes called saintly souls, sometimes pietists, quietists, devout enthusiasts, and so forth. Collections of such biographies have been made at various times, such as Tersteegen's "Leben heiliger Seelen," Reiz's "Geschichte der Wiedergeborenen," in our own day, a collection by Kanne, which, with much that is bad, yet contains some good, and especially the "Leben der Beata Sturmin." To this category very properly belongs the life of St. Francis of Assisi, that true personification of the ascetic, and prototype of all mendicant friars. His life, described by his younger contemporary, St. Bonaventura, also famous as a scholastic, has recently been republished. "Vita S. Francisci a S. Bonaventura concinnata" (Soest, 1847), though shortly before a painstaking and detailed biography,

making use of all sources of information, appeared in France, “Histoire de S. François d’Assise, par Chavin de Mallan” (1845). As an Oriental parallel of these monastic writings we have the very valuable work of Spence Hardy, “Eastern Monachism; an Account of the Order of Mendicants founded by Gotama Budha” (1850). It shows us the same thing in another dress. We also see what a matter of indifference it is whether it proceeds from a theistical or an atheistical religion. But as a special and exceedingly full example and practical illustration of the conceptions I have established, I can thoroughly recommend the “Autobiography of Madame de Guion.” To become acquainted with this great and beautiful soul, the very thought of whom always fills me with reverence, and to do justice to the excellence of her disposition while making allowance for the superstition of her reason, must be just as delightful to every man of the better sort as with vulgar thinkers, *i.e.*, the majority, that book will always stand in bad repute. For it is the case with regard to everything, that each man can only prize that which to a certain extent is analogous to him and for which he has at least a slight inclination. This holds good of ethical concerns as well as of intellectual. We might to a certain extent regard the well-known French biography of Spinoza as a case in point, if we used as a key to it that noble introduction to his very insufficient essay, “De Emendatione Intellectus,” a passage which I can also recommend as the most effectual means I know of stilling the storm of the passions. Finally, even the great Goethe, Greek as he is, did not think it below his dignity to show us this most beautiful side of humanity in the magic mirror of poetic art, for he represented the life of Fräulein Klettenberg in an idealised form in his “Confessions of a Beautiful Soul,” and later, in his own biography, gave us also an historical account of it. Besides this, he twice told the story of the life of St. Philippo Neri. The history of the world, will, and indeed must, keep silence about the men whose conduct is the best and only adequate illustration of this important point of our investigation, for the material of the history of the world is quite different, and indeed opposed to this. It is not the denial of the will to live, but its assertion and its manifestation in innumerable individuals in which its conflict with itself at the highest grade of its objectification appears with perfect distinctness, and brings before our eyes, now the ascendancy of the individual through prudence, now the might of the many through their mass, now the might of chance personified as fate, always the vanity and emptiness of the whole effort. We, however, do not follow here

the course of phenomena in time, but, as philosophers, we seek to investigate the ethical significance of action, and take this as the only criterion of what for us is significant and important. Thus we will not be withheld by any fear of the constant numerical superiority of vulgarity and dullness from acknowledging that the greatest, most important, and most significant phenomenon that the world can show is not the conqueror of the world, but the subduer of it; is nothing but the quiet, unobserved life of a man who has attained to the knowledge in consequence of which he surrenders and denies that will to live which fills everything and strives and strains in all, and which first gains freedom here in him alone, so that his conduct becomes the exact opposite of that of other men. In this respect, therefore, for the philosopher, these accounts of the lives of holy, self-denying men, badly as they are generally written, and mixed as they are with superstition and nonsense, are, because of the significance of the material, immeasurably more instructive and important than even Plutarch and Livy.

It will further assist us much in obtaining a more definite and full knowledge of what we have expressed abstractly and generally, according to our method of exposition, as the denial of the will to live, if we consider the moral teaching that has been imparted with this intention, and by men who were full of this spirit; and this will also show how old our view is, though the pure philosophical expression of it may be quite new. The teaching of this kind which lies nearest to hand is Christianity, the ethics of which are entirely in the spirit indicated, and lead not only to the highest degrees of human love, but also to renunciation. The germ of this last side of it is certainly distinctly present in the writings of the Apostles, but it was only fully developed and expressed later. We find the Apostles enjoining the love of our neighbour as ourselves, benevolence, the requital of hatred with love and well-doing, patience, meekness, the endurance of all possible injuries without resistance, abstemiousness in nourishment to keep down lust, resistance to sensual desire, if possible, altogether. We already see here the first degrees of asceticism, or denial of the will proper. This last expression denotes that which in the Gospels is called denying ourselves and taking up the cross (Matt. xvi. 24, 25; Mark viii. 34, 35; Luke ix. 23, 24, xiv. 26, 27, 33). This tendency soon developed itself more and more, and was the origin of hermits, anchorites, and monasticism — an origin which in itself was pure and holy, but for that very reason unsuitable for the

great majority of men; therefore what developed out of it could only be hypocrisy and wickedness, for *abusus optimi pessimus*. In more developed Christianity, we see that seed of asceticism unfold into the full flower in the writings of the Christian saints and mystics. These preach, besides the purest love, complete resignation, voluntary and absolute poverty, genuine calmness, perfect indifference to all worldly things, dying to our own will and being born again in God, entire forgetting of our own person, and sinking ourselves in the contemplation of God. A full exposition of this will be found in Fénelon's "Explication des Maximes des Saints sur la Vie Interieure." But the spirit of this development of Christianity is certainly nowhere so fully and powerfully expressed as in the writings of the German mystics, in the works of Meister Eckhard, and in that justly famous book "Die Deutsche Theologie," of which Luther says in the introduction to it which he wrote, that with the exception of the Bible and St. Augustine, he had learnt more from it of what God, Christ, and man are than from any other book. Yet we only got the genuine and correct text of it in the year 1851, in the Stuttgart edition by Pfeiffer. The precepts and doctrines which are laid down there are the most perfect exposition, sprung from deep inward conviction of what I have presented as the denial of the will. It should therefore be studied more closely in that form before it is dogmatised about with Jewish-Protestant assurance. Tauler's "Nachfolgung des armen Leben Christi," and also his "Medulla Animæ," are written in the same admirable spirit, though not quite equal in value to that work. In my opinion the teaching of these genuine Christian mystics, when compared with the teaching of the New Testament, is as alcohol to wine, or what becomes visible in the New Testament as through a veil and mist appears to us in the works of the mystics without cloak or disguise, in full clearness and distinctness. Finally, the New Testament might be regarded as the first initiation, the mystics as the second, — σμικρα και μεγαλα μυστηρια.

We find, however, that which we have called the denial of the will to live more fully developed, more variously expressed, and more vividly represented in the ancient Sanscrit writings than could be the case in the Christian Church and the Western world. That this important ethical view of life could here attain to a fuller development and a more distinct expression is perhaps principally to be ascribed to the fact that it was not confined by an element quite foreign to it, as Christianity is by the Jewish theology, to which its sublime author had necessarily to adopt and accommodate it,

partly consciously, partly, it may be, unconsciously. Thus Christianity is made up of two very different constituent parts, and I should like to call the purely ethical part especially and indeed exclusively Christian, and distinguish it from the Jewish dogmatism with which it is combined. If, as has often been feared, and especially at the present time, that excellent and salutary religion should altogether decline, I should look for the reason of this simply in the fact that it does not consist of one single element, but of two originally different elements, which have only been combined through the accident of history. In such a case dissolution had to follow through the separation of these elements, arising from their different relationship to and reaction against the progressive spirit of the age. But even after this dissolution the purely ethical part must always remain uninjured, because it is indestructible. Our knowledge of Hindu literature is still very imperfect. Yet, as we find their ethical teaching variously and powerfully expressed in the Vedas, Puranas, poems, myths, legends of their saints, maxims and precepts,<sup>85</sup> we see that it inculcates love of our neighbour with complete renunciation of self-love; love generally, not confined to mankind, but including all living creatures; benevolence, even to the giving away of the hard-won wages of daily toil; unlimited patience towards all who injure us; the requital of all wickedness, however base, with goodness and love; voluntary and glad endurance of all ignominy; abstinence from all animal food; perfect chastity and renunciation of all sensual pleasure for him who strives after true holiness; the surrender of all possessions, the forsaking of every dwelling-place and of all relatives; deep unbroken solitude, spent in silent contemplation, with voluntary penance and terrible slow self-torture for the absolute mortification of the will, torture which extends to voluntary death by starvation, or by men giving themselves up to crocodiles, or flinging themselves over the sacred precipice in the Himalayas, or being buried alive, or, finally, by flinging themselves under the wheels of the huge car of an idol drawn along amid the singing, shouting, and dancing of bayaderes. And even yet these precepts, whose origin reaches back more than four thousand years, are carried out in practice, in some cases even to the utmost extreme,<sup>86</sup> and this notwithstanding the fact that the Hindu nation has been broken up into so many parts. A religion which demands the greatest sacrifices, and which has yet remained so long in practice in a nation that embraces so many millions of persons, cannot be an arbitrarily invented superstition, but must have its foundation in the nature of man. But

besides this, if we read the life of a Christian penitent or saint, and also that of a Hindu saint, we cannot sufficiently wonder at the harmony we find between them. In the case of such radically different dogmas, customs, and circumstances, the inward life and effort of both is the same. And the same harmony prevails in the maxims prescribed for both of them. For example, Tauler speaks of the absolute poverty which one ought to seek, and which consists in giving away and divesting oneself completely of everything from which one might draw comfort or worldly pleasure, clearly because all this constantly affords new nourishment to the will, which it is intended to destroy entirely. And as an Indian counterpart of this, we find in the precepts of Fo that the Saniassi, who ought to be without a dwelling and entirely without property, is further finally enjoined not to lay himself down often under the same tree, lest he should acquire a preference or inclination for it above other trees. The Christian mystic and the teacher of the Vedanta philosophy agree in this respect also, they both regard all outward works and religious exercises as superfluous for him who has attained to perfection. So much agreement in the case of such different ages and nations is a practical proof that what is expressed here is not, as optimistic dulness likes to assert, an eccentricity and perversity of the mind, but an essential side of human nature, which only appears so rarely because of its excellence.

I have now indicated the sources from which there may be obtained a direct knowledge, drawn from life itself, of the phenomena in which the denial of the will to live exhibits itself. In some respects this is the most important point of our whole work; yet I have only explained it quite generally, for it is better to refer to those who speak from direct experience, than to increase the size of this book unduly by weak repetitions of what is said by them.

I only wish to add a little to the general indication of the nature of this state. We saw above that the wicked man, by the vehemence of his volition, suffers constant, consuming, inward pain, and finally, if all objects of volition are exhausted, quenches the fiery thirst of his self-will by the sight of the suffering of others. He, on the contrary, who has attained to the denial of the will to live, however poor, joyless, and full of privation his condition may appear when looked at externally, is yet filled with inward joy and the true peace of heaven. It is not the restless strain of life, the jubilant delight which has been suffering as its preceding or succeeding condition, in the



experience of the man who loves life; but it is a peace that cannot be shaken, a deep rest and inward serenity, a state which we cannot behold without the greatest longing when it is brought before our eyes or our imagination, because we at once recognise it as that which alone is right, infinitely surpassing everything else, upon which our better self cries within us the great *sapere aude*. Then we feel that every gratification of our wishes won from the world is merely like the alms which the beggar receives from life to-day that he may hunger again on the morrow; resignation, on the contrary, is like an inherited estate, it frees the owner for ever from all care.

It will be remembered from the Third Book that the æsthetic pleasure in the beautiful consists in great measure in the fact that in entering the state of pure contemplation we are lifted for the moment above all willing, *i.e.*, all wishes and cares; we become, as it were, freed from ourselves. We are no longer the individual whose knowledge is subordinated to the service of its constant willing, the correlative of the particular thing to which objects are motives, but the eternal subject of knowing purified from will, the correlative of the Platonic Idea. And we know that these moments in which, delivered from the ardent strain of will, we seem to rise out of the heavy atmosphere of earth, are the happiest which we experience. From this we can understand how blessed the life of a man must be whose will is silenced, not merely for a moment, as in the enjoyment of the beautiful, but for ever, indeed altogether extinguished, except as regards the last glimmering spark that retains the body in life, and will be extinguished with its death. Such a man, who, after many bitter struggles with his own nature, has finally conquered entirely, continues to exist only as a pure, knowing being, the undimmed mirror of the world. Nothing can trouble him more, nothing can move him, for he has cut all the thousand cords of will which hold us bound to the world, and, as desire, fear, envy, anger, drag us hither and thither in constant pain. He now looks back smiling and at rest on the delusions of this world, which once were able to move and agonise his spirit also, but which now stand before him as utterly indifferent to him, as the chess-men when the game is ended, or as, in the morning, the cast-off masquerading dress which worried and disquieted us in a night in Carnival. Life and its forms now pass before him as a fleeting illusion, as a light morning dream before half-waking eyes, the real world already shining through it so that it can no longer deceive; and like this morning dream, they finally vanish altogether without any violent transition. From this we

can understand the meaning of Madame Guion when towards the end of her autobiography she often expresses herself thus: "Everything is alike to me; I *cannot* will anything more: often I know not whether I exist or not." In order to express how, after the extinction of the will, the death of the body (which is indeed only the manifestation of the will, and therefore loses all significance when the will is abolished) can no longer have any bitterness, but is very welcome, I may be allowed to quote the words of that holy penitent, although they are not very elegantly turned: "*Midi de la gloire; jour où il n'y a plus de nuit; vie qui ne craint plus la mort, dans la mort même: parceque la mort a vaincu la mort, et que celui qui a souffert la première mort, ne gouterá plus la seconde mort*" (Vie de Mad. de Guion, vol. ii. p. 13).

We must not, however, suppose that when, by means of the knowledge which acts as a quieter of will, the denial of the will to live has once appeared, it never wavers or vacillates, and that we can rest upon it as on an assured possession. Rather, it must ever anew be attained by a constant battle. For since the body is the will itself only in the form of objectivity or as manifestation in the world as idea, so long as the body lives, the whole will to live exists potentially, and constantly strives to become actual, and to burn again with all its ardour. Therefore that peace and blessedness in the life of holy men which we have described is only found as the flower which proceeds from the constant victory over the will, and the ground in which it grows is the constant battle with the will to live, for no one can have lasting peace upon earth. We therefore see the histories of the inner life of saints full of spiritual conflicts, temptations, and absence of grace, *i.e.*, the kind of knowledge which makes all motives ineffectual, and as an universal quieter silences all volition, gives the deepest peace and opens the door of freedom. Therefore also we see those who have once attained to the denial of the will to live strive with all their might to keep upon this path, by enforced renunciation of every kind, by penance and severity of life, and by selecting whatever is disagreeable to them, all in order to suppress the will, which is constantly springing up anew. Hence, finally, because they already know the value of salvation, their anxious carefulness to retain the hard-won blessing, their scruples of conscience about every innocent pleasure, or about every little excitement of their vanity, which here also dies last, the most immovable, the most active, and the most foolish of all the inclinations of man. By the term *asceticism*, which I have used so often, I mean in its

narrower sense this *intentional* breaking of the will by the refusal of what is agreeable and the selection of what is disagreeable, the voluntarily chosen life of penance and self-chastisement for the continual mortification of the will.

We see this practised by him who has attained to the denial of the will in order to enable him to persist in it; but suffering in general, as it is inflicted by fate, is a second way (δεύτερος πλους<sup>87</sup>) of attaining to that denial. Indeed, we may assume that most men only attain to it in this way, and that it is the suffering which is personally experienced, not that which is merely known, which most frequently produces complete resignation, often only at the approach of death. For only in the case of a few is the mere knowledge which, seeing through the *principium individuationis*, first produces perfect goodness of disposition and universal love of humanity, and finally enables them to regard all the suffering of the world as their own; only in the case of a few, I say, is this knowledge sufficient to bring about the denial of the will. Even with him who approaches this point, it is almost invariably the case that the tolerable condition of his own body, the flattery of the moment, the delusion of hope, and the satisfaction of the will, which is ever presenting itself anew, *i.e.*, lust, is a constant hindrance to the denial of the will, and a constant temptation to the renewed assertion of it. Therefore in this respect all these illusions have been personified as the devil. Thus in most cases the will must be broken by great personal suffering before its self-conquest appears. Then we see the man who has passed through all the increasing degrees of affliction with the most vehement resistance, and is finally brought to the verge of despair, suddenly retire into himself, know himself and the world, change his whole nature, rise above himself and all suffering, as if purified and sanctified by it, in inviolable peace, blessedness, and sublimity, willingly renounce everything he previously desired with all his might, and joyfully embrace death. It is the refined silver of the denial of the will to live that suddenly comes forth from the purifying flame of suffering. It is salvation. Sometimes we see even those who were very wicked purified to this degree by great grief; they have become new beings and are completely changed. Therefore their former misdeeds trouble their consciences no more, yet they willingly atone for them by death, and gladly see the end of the manifestation of that will which is now foreign to them and abhorred by them. The great Goethe has given us a distinct and visible representation of this denial of the will, brought about by great misfortunes

and despair of all deliverance, in his immortal masterpiece “Faust,” in the story of the sufferings of Gretchen. I know no parallel to this in poetry. It is a perfect example of the second path that leads to the denial of the will, not, as the first, through the mere knowledge of the sufferings of a whole world which one has voluntarily acquired, but through excessive suffering experienced in one’s own person. Many tragedies certainly end by conducting their strong-willed heroes to the point of entire resignation, and then generally the will to live and its manifestation end together, but no representation that is known to me brings what is essential to that change so distinctly before us, free from all that is extraneous, as the part of “Faust” I have referred to.

In actual life we see that those unfortunate persons who have to drink to the dregs the greatest cup of suffering, since when all hope is taken from them they have to face with full consciousness a shameful, violent, and often painful death on the scaffold, are very frequently changed in this way. We must not indeed assume that there is so great a difference between their character and that of most men as their fate would seem to indicate, but must attribute the latter for the most part to circumstances; yet they are guilty and to a considerable degree bad. We see, however, many of them, when they have entirely lost hope, changed in the way referred to. They now show actual goodness and purity of disposition, true abhorrence of doing any act in the least degree bad or unkind. They forgive their enemies, even if it is through them that they innocently suffer; and not with words merely and a sort of hypocritical fear of the judges of the lower world, but in reality and with inward earnestness and no desire for revenge. Indeed, their sufferings and death at last becomes dear to them, for the denial of the will to live has appeared; they often decline the deliverance when it is offered, and die gladly, peacefully, and happily. To them the last secret of life has revealed itself in their excessive pain; the secret that misery and wickedness, sorrow and hate, the sufferer and the inflicter of suffering, however different they may appear to the knowledge which follows the principle of sufficient reason, are in themselves one, the manifestation of that one will to live which objectifies its conflict with itself by means of the *principium individuationis*. They have learned to know both sides in full measure, the badness and the misery; and since at last they see the identity of the two, they reject them both at once; they deny the will to live. In what myths and dogmas they account to their reason for this intuitive and direct

knowledge and for their own change is, as has been said, a matter of no importance.

Matthias Claudius must without doubt have witnessed a change of mind of this description when he wrote the remarkable essay in the “Wandsbecker Boten” (pt. i. p. 115) with the title “Bekehrungsgeschichte des \* \* \*” (“History of the Conversion of \* \* \*”), which concludes thus: “Man’s way of thinking may pass from one point of the periphery to the opposite point, and again back to the former point, if circumstances mark out for him the path. And these changes in a man are really nothing great or interesting, but that *remarkable, catholic, transcendental change* in which the whole circle is irreparably broken up and all the laws of psychology become vain and empty when the coat is stripped from the shoulders, or at least turned outside in, and as it were scales fall from a man’s eyes, is such that every one who has breath in his nostrils forsakes father and mother if he can hear or experience something certain about it.”

The approach of death and hopelessness are in other respects not absolutely necessary for such a purification through suffering. Even without them the knowledge of the contradiction of the will to live with itself can, through great misfortune and pain, force an entrance, and the vanity of all striving become recognised. Hence it has often happened that men who have led a very restless life in the full strain of the passions, kings, heroes, and adventurers, suddenly change, betake themselves to resignation and penance, become hermits or monks. To this class belong all true accounts of conversions; for example, that of Raymond Lully, who had long wooed a fair lady, and was at last admitted to her chamber, anticipating the fulfilment of all his wishes, when she, opening her bodice, showed him her bosom frightfully eaten with cancer. From that moment, as if he had looked into hell, he was changed; he forsook the court of the king of Majorca, and went into the desert to do penance.<sup>88</sup> This conversion is very like that of the Abbé Rancé, which I have briefly related in the 48th chapter of the Supplement. If we consider how in both cases the transition from the pleasure to the horror of life was the occasion of it, this throws some light upon the remarkable fact that it is among the French, the most cheerful, gay, sensuous, and frivolous nation in Europe, that by far the strictest of all monastic orders, the Trappists, arose, was re-established by Rancé after its fall, and has maintained itself to the present day in all its purity and strictness, in spite of revolutions, Church reformations, and encroachments of infidelity.

But a knowledge such as that referred to above of the nature of this existence may leave us again along with the occasion of it and the will to live, and with it the previous character may reappear. Thus we see that the passionate Benvenuto Cellini was changed in this way, once when he was in prison, and again when very ill; but when the suffering passed over, he fell back again into his old state. In general, the denial of the will to live by no means proceeds from suffering with the necessity of an effect from its cause, but the will remains free; for this is indeed the one point at which its freedom appears directly in the phenomenon; hence the astonishment which Asmus expresses so strongly at the “transcendental change.” In the case of every suffering, it is always possible to conceive a will which exceeds it in intensity and is therefore unconquered by it. Thus Plato speaks in the “Phædon” of men who up to the moment of their execution feast, drink, and indulge in sensuous pleasure, asserting life even to the death. Shakespeare shows us in Cardinal Beaufort the fearful end of a profligate, who dies full of despair, for no suffering or death can break his will, which is vehement to the extreme of wickedness.<sup>89</sup>

The more intense the will is, the more glaring is the conflict of its manifestation, and thus the greater is the suffering. A world which was the manifestation of a far more intense will to live than this world manifests would produce so much the greater suffering; would thus be a hell.

All suffering, since it is a mortification and a call to resignation, has potentially a sanctifying power. This is the explanation of the fact that every great misfortune or deep pain inspires a certain awe. But the sufferer only really becomes an object of reverence when, surveying the course of his life as a chain of sorrows, or mourning some great and incurable misfortune, he does not really look at the special combination of circumstances which has plunged his own life into suffering, nor stops at the single great misfortune that has befallen him; for in so doing his knowledge still follows the principle of sufficient reason, and clings to the particular phenomenon; he still wills life only not under the conditions which have happened to him; but only then, I say, he is truly worthy of reverence when he raises his glance from the particular to the universal, when he regards his suffering as merely an example of the whole, and for him, since in a moral regard he partakes of genius, one case stands for a thousand, so that the whole of life conceived as essentially suffering brings him to resignation. Therefore it inspires reverence when in Goethe’s “Torquato Tasso” the princess speaks

of how her own life and that of her relations has always been sad and joyless, and yet regards the matter from an entirely universal point of view.

A very noble character we always imagine with a certain trace of quiet sadness, which is anything but a constant fretfulness at daily annoyances (this would be an ignoble trait, and lead us to fear a bad disposition), but is a consciousness derived from knowledge of the vanity of all possessions, of the suffering of all life, not merely of his own. But such knowledge may primarily be awakened by the personal experience of suffering, especially some one great sorrow, as a single unfulfilled wish brought Petrarch to that state of resigned sadness concerning the whole of life which appeals to us so pathetically in his works; for the Daphne he pursued had to flee from his hands in order to leave him, instead of herself, the immortal laurel. When through some such great and irrevocable denial of fate the will is to some extent broken, almost nothing else is desired, and the character shows itself mild, just, noble, and resigned. When, finally, grief has no definite object, but extends itself over the whole of life, then it is to a certain extent a going into itself, a withdrawal, a gradual disappearance of the will, whose visible manifestation, the body, it imperceptibly but surely undermines, so that a man feels a certain loosening of his bonds, a mild foretaste of that death which promises to be the abolition at once of the body and of the will. Therefore a secret pleasure accompanies this grief, and it is this, as I believe, which the most melancholy of all nations has called "the joy of grief." But here also lies the danger of *sentimentality*, both in life itself and in the representation of it in poetry; when a man is always mourning and lamenting without courageously rising to resignation. In this way we lose both earth and heaven, and retain merely a watery sentimentality. Only if suffering assumes the form of pure knowledge, and this, acting as a *quieter of the will*, brings about resignation, is it worthy of reverence. In this regard, however, we feel a certain respect at the sight of every great sufferer which is akin to the feeling excited by virtue and nobility of character, and also seems like a reproach of our own happy condition. We cannot help regarding every sorrow, both our own and those of others, as at least a potential advance towards virtue and holiness, and, on the contrary, pleasures and worldly satisfactions as a retrogression from them. This goes so far, that every man who endures a great bodily or mental suffering, indeed every one who merely performs some physical labour which demands the greatest exertion, in the sweat of his brow and with evident



exhaustion, yet with patience and without murmuring, every such man, I say, if we consider him with close attention, appears to us like a sick man who tries a painful cure, and who willingly, and even with satisfaction, endures the suffering it causes him, because he knows that the more he suffers the more the cause of his disease is affected, and that therefore the present suffering is the measure of his cure.

According to what has been said, the denial of the will to live, which is just what is called absolute, entire resignation, or holiness, always proceeds from that quieter of the will which the knowledge of its inner conflict and essential vanity, expressing themselves in the suffering of all living things, becomes. The difference, which we have represented as two paths, consists in whether that knowledge is called up by suffering which is merely and purely *known*, and is freely appropriated by means of the penetration of the *principium individuationis*, or by suffering which is directly *felt* by a man himself. True salvation, deliverance from life and suffering, cannot even be imagined without complete denial of the will. Till then, every one is simply this will itself, whose manifestation is an ephemeral existence, a constantly vain and empty striving, and the world full of suffering we have represented, to which all irrevocably and in like manner belong. For we found above that life is always assured to the will to live, and its one real form is the present, from which they can never escape, since birth and death reign in the phenomenal world. The Indian mythus expresses this by saying “they are born again.” The great ethical difference of character means this, that the bad man is infinitely far from the attainment of the knowledge from which the denial of the will proceeds, and therefore he is in truth *actually* exposed to all the miseries which appear in life as *possible*; for even the present fortunate condition of his personality is merely a phenomenon produced by the *principium individuationis*, and a delusion of Mâyâ, the happy dream of a beggar. The sufferings which in the vehemence and ardour of his will he inflicts upon others are the measure of the suffering, the experience of which in his own person cannot break his will, and plainly lead it to the denial of itself. All true and pure love, on the other hand, and even all free justice, proceed from the penetration of the *principium individuationis*, which, if it appears with its full power, results in perfect sanctification and salvation, the phenomenon of which is the state of resignation described above, the unbroken peace which accompanies it, and the greatest delight in death.<sup>90</sup>



§ 69. Suicide, the actual doing away with the individual manifestation of will, differs most widely from the denial of the will to live, which is the single outstanding act of free-will in the manifestation, and is therefore, as Asmus calls it, the transcendental change. This last has been fully considered in the course of our work. Far from being denial of the will, suicide is a phenomenon of strong assertion of will; for the essence of negation lies in this, that the joys of life are shunned, not its sorrows. The suicide wills life, and is only dissatisfied with the conditions under which it has presented itself to him. He therefore by no means surrenders the will to live, but only life, in that he destroys the individual manifestation. He wills life — wills the unrestricted existence and assertion of the body; but the complication of circumstances does not allow this, and there results for him great suffering. The very will to live finds itself so much hampered in this particular manifestation that it cannot put forth its energies. It therefore comes to such a determination as is in conformity with its own nature, which lies outside the conditions of the principle of sufficient reason, and to which, therefore, all particular manifestations are alike indifferent, inasmuch as it itself remains unaffected by all appearing and passing away, and is the inner life of all things; for that firm inward assurance by reason of which we all live free from the constant dread of death, the assurance that a phenomenal existence can never be wanting to the will, supports our action even in the case of suicide. Thus the will to live appears just as much in suicide (Siva) as in the satisfaction of self-preservation (Vishnu) and in the sensual pleasure of procreation (Brahma). This is the inner meaning of the unity of the Trimurtis, which is embodied in its entirety in every human being, though in time it raises now one, now another, of its three heads. Suicide stands in the same relation to the denial of the will as the individual thing does to the Idea. The suicide denies only the individual, not the species. We have already seen that as life is always assured to the will to live, and as sorrow is inseparable from life, suicide, the wilful destruction of the single phenomenal existence, is a vain and foolish act; for the thing-in-itself remains unaffected by it, even as the rainbow endures however fast the drops which support it for the moment may change. But, more than this, it is also the masterpiece of Mâyâ, as the most flagrant example of the contradiction of the will to live with itself. As we found this contradiction in the case of the lowest manifestations of will, in the permanent struggle of all the forces of nature, and of all organic individuals for matter and time

and space; and as we saw this antagonism come ever more to the front with terrible distinctness in the ascending grades of the objectification of the will, so at last in the highest grade, the Idea of man, it reaches the point at which, not only the individuals which express the same Idea extirpate each other, but even the same individual declares war against itself. The vehemence with which it wills life, and revolts against what hinders it, namely, suffering, brings it to the point of destroying itself; so that the individual will, by its own act, puts an end to that body which is merely its particular visible expression, rather than permit suffering to break the will. Just because the suicide cannot give up willing, he gives up living. The will asserts itself here even in putting an end to its own manifestation, because it can no longer assert itself otherwise. As, however, it was just the suffering which it so shuns that was able, as mortification of the will, to bring it to the denial of itself, and hence to freedom, so in this respect the suicide is like a sick man, who, after a painful operation which would entirely cure him has been begun, will not allow it to be completed, but prefers to retain his disease. Suffering approaches and reveals itself as the possibility of the denial of will; but the will rejects it, in that it destroys the body, the manifestation of itself, in order that it may remain unbroken. This is the reason why almost all ethical teachers, whether philosophical or religious, condemn suicide, although they themselves can only give far-fetched sophistical reasons for their opinion. But if a human being was ever restrained from committing suicide by purely moral motives, the inmost meaning of this self-conquest (in whatever ideas his reason may have clothed it) was this: "I will not shun suffering, in order that it may help to put an end to the will to live, whose manifestation is so wretched, by so strengthening the knowledge of the real nature of the world which is already beginning to dawn upon me, that it may become the final quieter of my will, and may free me for ever."

It is well known that from time to time cases occur in which the act of suicide extends to the children. The father first kills the children he loves, and then himself. Now, if we consider that conscience, religion, and all influencing ideas teach him to look upon murder as the greatest of crimes, and that, in spite of this, he yet commits it, in the hour of his own death, and when he is altogether uninfluenced by any egotistical motive, such a deed can only be explained in the following manner: in this case, the will of the individual, the father, recognises itself immediately in the children, though

involved in the delusion of mistaking the appearance for the true nature; and as he is at the same time deeply impressed with the knowledge of the misery of all life, he now thinks to put an end to the inner nature itself, along with the appearance, and thus seeks to deliver from existence and its misery both himself and his children, in whom he discerns himself as living again. It would be an error precisely analogous to this to suppose that one may reach the same end as is attained through voluntary chastity by frustrating the aim of nature in fecundation; or indeed if, in consideration of the unendurable suffering of life, parents were to use means for the destruction of their new-born children, instead of doing everything possible to ensure life to that which is struggling into it. For if the will to live is there, as it is the only metaphysical reality, or the thing-in-itself, no physical force can break it, but can only destroy its manifestation at this place and time. It itself can never be transcended except through knowledge. Thus the only way of salvation is, that the will shall manifest itself unrestrictedly, in order that in this individual manifestation it may come to apprehend its own nature. Only as the result of this knowledge can the will transcend itself, and thereby end the suffering which is inseparable from its manifestation. It is quite impossible to accomplish this end by physical force, as by destroying the germ, or by killing the new-born child, or by committing suicide. Nature guides the will to the light, just because it is only in the light that it can work out its salvation. Therefore the aims of Nature are to be promoted in every way as soon as the will to live, which is its inner being, has determined itself.

There is a species of suicide which seems to be quite distinct from the common kind, though its occurrence has perhaps not yet been fully established. It is starvation, voluntarily chosen on the ground of extreme asceticism. All instances of it, however, have been accompanied and obscured by much religious fanaticism, and even superstition. Yet it seems that the absolute denial of will may reach the point at which the will shall be wanting to take the necessary nourishment for the support of the natural life. This kind of suicide is so far from being the result of the will to live, that such a completely resigned ascetic only ceases to live because he has already altogether ceased to will. No other death than that by starvation is in this case conceivable (unless it were the result of some special superstition); for the intention to cut short the torment would itself be a stage in the assertion of will. The dogmas which satisfy the reason of such a penitent

delude him with the idea that a being of a higher nature has inculcated the fasting to which his own inner tendency drives him. Old examples of this may be found in the "Breslauer Sammlung von Natur- und Medicin-Geschichten," September 1799, p. 363; in Bayle's "Nouvelles de la République des Lettres," February 1685, p. 189; in Zimmermann, "Ueber die Einsamkeit," vol. i. p. 182; in the "Histoire de l'Académie des Sciences" for 1764, an account by Houttuyn, which is quoted in the "Sammlung für praktische Aerzte," vol. i. p. 69. More recent accounts may be found in Hufeland's "Journal für praktische Heilkunde," vol. x. p. 181, and vol. xlviii. p. 95; also in Nasse's "Zeitschrift für psychische Aerzte," 1819, part iii. p. 460; and in the "Edinburgh Medical and Surgical Journal," 1809, vol. v. p. 319. In the year 1833 all the papers announced that the English historian, Dr. Lingard, had died in January at Dover of voluntary starvation; according to later accounts, it was not he himself, but a relation of his who died. Still in these accounts the persons were generally described as insane, and it is no longer possible to find out how far this was the case. But I will give here a more recent case of this kind, if it were only to ensure the preservation of one of the rare instances of this striking and extraordinary phenomenon of human nature, which, to all appearance at any rate, belongs to the category to which I wish to assign it and could hardly be explained in any other way. This case is reported in the "Nürnberger Correspondenten" of the 29th July 1813, in these words:—"We hear from Bern that in a thick wood near Thurnen a hut has been discovered in which was lying the body of a man who had been dead about a month. His clothes gave little or no clue to his social position. Two very fine shirts lay beside him. The most important article, however, was a Bible interleaved with white paper, part of which had been written upon by the deceased. In this writing he gives the date of his departure from home (but does not mention where his home was). He then says that he was driven by the Spirit of God into the wilderness to pray and fast. During his journey he had fasted seven days and then he had again taken food. After this he had begun again to fast, and continued to do so for the same number of days as before. From this point we find each day marked with a stroke, and of these there are five, at the expiration of which the pilgrim presumably died. There was further found a letter to a clergyman about a sermon which the deceased heard him preach, but the letter was not addressed." Between this voluntary death arising from extreme asceticism and the common suicide resulting from despair there

may be various intermediate species and combinations, though this is hard to find out. But human nature has depths, obscurities, and perplexities, the analysis and elucidation of which is a matter of the very greatest difficulty.

§ 70. It might be supposed that the entire exposition (now terminated) of that which I call the denial of the will is irreconcilable with the earlier explanation of necessity, which belongs just as much to motivation as to every other form of the principle of sufficient reason, and according to which, motives, like all causes, are only occasional causes, upon which the character unfolds its nature and reveals it with the necessity of a natural law, on account of which we absolutely denied freedom as *liberum arbitrium indifferentiæ*. But far from suppressing this here, I would call it to mind. In truth, real freedom, *i.e.*, independence of the principle of sufficient reason, belongs to the will only as a thing-in-itself, not to its manifestation, whose essential form is everywhere the principle of sufficient reason, the element or sphere of necessity. But the one case in which that freedom can become directly visible in the manifestation is that in which it makes an end of what manifests itself, and because the mere manifestation, as a link in the chain of causes, the living body in time, which contains only phenomena, still continues to exist, the will which manifests itself through this phenomenon then stands in contradiction to it, for it denies what the phenomenon expresses. In such a case the organs of generation, for example, as the visible form of the sexual impulse, are there and in health; but yet, in the inmost consciousness, no sensual gratification is desired; and although the whole body is only the visible expression of the will to live, yet the motives which correspond to this will no longer act; indeed, the dissolution of the body, the end of the individual, and in this way the greatest check to the natural will, is welcome and desired. Now, the contradiction between our assertions of the necessity of the determination of the will by motives, in accordance with the character, on the one hand, and of the possibility of the entire suppression of the will whereby the motives become powerless, on the other hand, is only the repetition in the reflection of philosophy of this *real* contradiction which arises from the direct encroachment of the freedom of the will-in-itself, which knows no necessity, into the sphere of the necessity of its manifestation. But the key to the solution of these contradictions lies in the fact that the state in which the character is withdrawn from the power of motives does not proceed directly from the will, but from a changed form of knowledge. So long as the knowledge is

merely that which is involved in the *principium individuationis* and exclusively follows the principle of sufficient reason, the strength of the motives is irresistible. But when the *principium individuationis* is seen through, when the Ideas, and indeed the inner nature of the thing-in-itself, as the same will in all, are directly recognised, and from this knowledge an universal quieter of volition arises, then the particular motives become ineffective, because the kind of knowledge which corresponds to them is obscured and thrown into the background by quite another kind. Therefore the character can never partially change, but must, with the consistency of a law of Nature, carry out in the particular the will which it manifests as a whole. But this whole, the character itself, may be completely suppressed or abolished through the change of knowledge referred to above. It is this suppression or abolition which Asmus, as quoted above, marvels at and denotes the “catholic, transcendental change;” and in the Christian Church it has very aptly been called the *new birth*, and the knowledge from which it springs, the *work of grace*. Therefore it is not a question of a change, but of an entire suppression of the character; and hence it arises that, however different the characters which experience the suppression may have been before it, after it they show a great similarity in their conduct, though every one still speaks very differently according to his conceptions and dogmas.

In this sense, then, the old philosophical doctrine of the freedom of the will, which has constantly been contested and constantly maintained, is not without ground, and the dogma of the Church of the work of grace and the new birth is not without meaning and significance. But we now unexpectedly see both united in one, and we can also now understand in what sense the excellent Malebranche could say, “*La liberté est un mystère*,” and was right. For precisely what the Christian mystics call *the work of grace* and *the new birth*, is for us the single direct expression of *the freedom of the will*. It only appears if the will, having attained to a knowledge of its own real nature, receives from this a *quieter*, by means of which the motives are deprived of their effect, which belongs to the province of another kind of knowledge, the objects of which are merely phenomena. The possibility of the freedom which thus expresses itself is the greatest prerogative of man, which is for ever wanting to the brute, because the condition of it is the deliberation of reason, which enables him to survey the whole of life independent of the impression of the present. The brute is entirely without the possibility of freedom, as, indeed, it is without the

possibility of a proper or deliberate choice following upon a completed conflict of motives, which for this purpose would have to be abstract ideas. Therefore with the same necessity with which the stone falls to the earth, the hungry wolf buries its fangs in the flesh of its prey, without the possibility of the knowledge that it is itself the destroyed as well as the destroyer. *Necessity is the kingdom of nature; freedom is the kingdom of grace.*

Now because, as we have seen, that *self-suppression of the will* proceeds from knowledge, and all knowledge is involuntary, that denial of will also, that entrance into freedom, cannot be forcibly attained to by intention or design, but proceeds from the inmost relation of knowing and volition in the man, and therefore comes suddenly, as if spontaneously from without. This is why the Church has called it *the work of grace*; and that it still regards it as independent of the acceptance of grace corresponds to the fact that the effect of the quieter is finally a free act of will. And because, in consequence of such a work of grace, the whole nature of man is changed and reversed from its foundation, so that he no longer wills anything of all that he previously willed so intensely, so that it is as if a new man actually took the place of the old, the Church has called this consequence of the work of grace the *new birth*. For what it calls the *natural man*, to which it denies all capacity for good, is just the will to live, which must be denied if deliverance from an existence such as ours is to be attained. Behind our existence lies something else, which is only accessible to us if we have shaken off this world.

Having regard, not to the individuals according to the principle of sufficient reason, but to the Idea of man in its unity, Christian theology symbolises *nature*, the *assertion of the will to live* in Adam, whose sin, inherited by us, *i.e.*, our unity with him in the Idea, which is represented in time by the bond of procreation, makes us all partakers of suffering and eternal death. On the other hand, it symbolises *grace*, the *denial of the will*, *salvation*, in the incarnate God, who, as free from all sin, that is, from all willing of life, cannot, like us, have proceeded from the most pronounced assertion of the will, nor can he, like us, have a body which is through and through simply concrete will, manifestation of the will; but born of a pure virgin, he has only a phantom body. This last is the doctrine of the Docetæ, *i.e.*, certain Church Fathers, who in this respect are very consistent. It is especially taught by Apelles, against whom and his followers Tertullian

wrote. But even Augustine comments thus on the passage, Rom. viii. 3, "God sent his Son in the likeness of sinful flesh:" "*Non enim caro peccati erat, quæ non de carnali delectatione nata erat: sed tamen inerat ei similitudo carnis peccati, quia mortalis caro erat*" (Liber 83, *quæst. qu.* 66). He also teaches in his work entitled "*Opus Imperfectum*," i. 47, that inherited sin is both sin and punishment at once. It is already present in new-born children, but only shows itself if they grow up. Yet the origin of this sin is to be referred to the will of the sinner. This sinner was Adam, but we all existed in him; Adam became miserable, and in him we have all become miserable. Certainly the doctrine of original sin (assertion of the will) and of salvation (denial of the will) is the great truth which constitutes the essence of Christianity, while most of what remains is only the clothing of it, the husk or accessories. Therefore Jesus Christ ought always to be conceived in the universal, as the symbol or personification of the denial of the will to live, but never as an individual, whether according to his mythical history given in the Gospels, or according to the probably true history which lies at the foundation of this. For neither the one nor the other will easily satisfy us entirely. It is merely the vehicle of that conception for the people, who always demand something actual. That in recent times Christianity has forgotten its true significance, and degenerated into dull optimism, does not concern us here.

It is further an original and evangelical doctrine of Christianity — which Augustine, with the consent of the leaders of the Church, defended against the platitudes of the Pelagians, and which it was the principal aim of Luther's endeavour to purify from error and re-establish, as he expressly declares in his book, "*De Servo Arbitrio*," — the doctrine that *the will is not free*, but originally subject to the inclination to evil. Therefore according to this doctrine the deeds of the will are always sinful and imperfect, and can never fully satisfy justice; and, finally, these works can never save us, but faith alone, a faith which itself does not spring from resolution and free will, but from the work of grace, without our co-operation, comes to us as from without.

Not only the dogmas referred to before, but also this last genuine evangelical dogma belongs to those which at the present day an ignorant and dull opinion rejects as absurd or hides. For, in spite of Augustine and Luther, it adheres to the vulgar Pelagianism, which the rationalism of the day really is, and treats as antiquated those deeply significant dogmas which



are peculiar and essential to Christianity in the strictest sense; while, on the other hand, it holds fast and regards as the principal matter only the dogma that originates in Judaism, and has been retained from it, and is merely historically connected with Christianity.<sup>91</sup> We, however, recognise in the doctrine referred to above the truth completely agreeing with the result of our own investigations. We see that true virtue and holiness of disposition have their origin not in deliberate choice (works), but in knowledge (faith); just as we have in like manner developed it from our leading thought. If it were works, which spring from motives and deliberate intention, that led to salvation, then, however one may turn it, virtue would always be a prudent, methodical, far-seeing egoism. But the faith to which the Christian Church promises salvation is this: that as through the fall of the first man we are all partakers of sin and subject to death and perdition, through the divine substitute, through grace and the taking upon himself of our fearful guilt, we are all saved, without any merit of our own (of the person); since that which can proceed from the intentional (determined by motives) action of the person, works, can never justify us, from its very nature, just because it is *intentional*, action induced by motives, *opus operatum*. Thus in this faith there is implied, first of all, that our condition is originally and essentially an incurable one, from which we need *salvation*; then, that we ourselves essentially belong to evil, and are so firmly bound to it that our works according to law and precept, *i.e.*, according to motives, can never satisfy justice nor save us; but salvation is only obtained through faith, *i.e.*, through a changed mode of knowing, and this faith can only come through grace, thus as from without. This means that the salvation is one which is quite foreign to our person, and points to a denial and surrender of this person necessary to salvation. Works, the result of the law as such, can never justify, because they are always action following upon motives. Luther demands (in his book “*De Libertate Christiana*”) that after the entrance of faith the good works shall proceed from it entirely of themselves, as symptoms, as fruits of it; yet by no means as constituting in themselves a claim to merit, justification, or reward, but taking place quite voluntarily and gratuitously. So we also hold that from the ever-clearer penetration of the *principium individuationis* proceeds, first, merely free justice, then love, extending to the complete abolition of egoism, and finally resignation or denial of the will.

I have here introduced these dogmas of Christian theology, which in themselves are foreign to philosophy, merely for the purpose of showing that the ethical doctrine which proceeds from our whole investigation, and is in complete agreement and connection with all its parts, although new and unprecedented in its expression, is by no means so in its real nature, but fully agrees with the Christian dogmas properly so called, and indeed, as regards its essence, was contained and present in them. It also agrees quite as accurately with the doctrines and ethical teachings of the sacred books of India, which in their turn are presented in quite different forms. At the same time the calling to mind of the dogmas of the Christian Church serves to explain and illustrate the apparent contradiction between the necessity of all expressions of character when motives are presented (the kingdom of Nature) on the one hand, and the freedom of the will in itself, to deny itself, and abolish the character with all the necessity of the motives based upon it (the kingdom of grace) on the other hand.

§ 71. I now end the general account of ethics, and with it the whole development of that one thought which it has been my object to impart; and I by no means desire to conceal here an objection which concerns this last part of my exposition, but rather to point out that it lies in the nature of the question, and that it is quite impossible to remove it. It is this, that after our investigation has brought us to the point at which we have before our eyes perfect holiness, the denial and surrender of all volition, and thus the deliverance from a world whose whole existence we have found to be suffering, this appears to us as a passing away into empty nothingness.

On this I must first remark, that the conception of nothing is essentially relative, and always refers to a definite something which it negatives. This quality has been attributed (by Kant) merely to the *nihil privativum*, which is indicated by - as opposed to +, which -, from an opposite point of view, might become +, and in opposition to this *nihil privativum* the *nihil negativum* has been set up, which would in every reference be nothing, and as an example of this the logical contradiction which does away with itself has been given. But more closely considered, no absolute nothing, no proper *nihil negativum* is even thinkable; but everything of this kind, when considered from a higher standpoint or subsumed under a wider concept, is always merely a *nihil privativum*. Every nothing is thought as such only in relation to something, and presupposes this relation, and thus also this something. Even a logical contradiction is only a relative nothing. It is no

thought of the reason, but it is not on that account an absolute nothing; for it is a combination of words; it is an example of the unthinkable, which is necessary in logic in order to prove the laws of thought. Therefore if for this end such an example is sought, we will stick to the nonsense as the positive which we are in search of, and pass over the sense as the negative. Thus every *nihil negativum*, if subordinated to a higher concept, will appear as a mere *nihil privativum* or relative nothing, which can, moreover, always exchange signs with what it negatives, so that that would then be thought as negation, and it itself as assertion. This also agrees with the result of the difficult dialectical investigation of the meaning of nothing which Plato gives in the “Sophist” (pp. 277-287): Την του ἑτερου φυσιν αποδειξαντες ουσαν τε, και κατακεκερματισμενην επι παντα τα οντα προς αλληλα, το προς το ον ἑκαστου μοριου αυτης αντιτιθεμενον, ετολμησαμεν ειπειν, ὡς αυτο τουτο εστιν οντως το μη ον (*Cum enim ostenderemus, alterius ipsius naturam esse perque omnia entia divisam atque dispersam in vicem; tunc partem ejus oppositam ei, quod cujusque ens est, esse ipsum revera non ens asseruimus*).

That which is generally received as positive, which we call the real, and the negation of which the concept nothing in its most general significance expresses, is just the world as idea, which I have shown to be the objectivity and mirror of the will. Moreover, we ourselves are just this will and this world, and to them belongs the idea in general, as one aspect of them. The form of the idea is space and time, therefore for this point of view all that is real must be in some place and at some time. Denial, abolition, conversion of the will, is also the abolition and the vanishing of the world, its mirror. If we no longer perceive it in this mirror, we ask in vain where it has gone, and then, because it has no longer any where and when, complain that it has vanished into nothing.

A reversed point of view, if it were possible for us, would reverse the signs and show the real for us as nothing, and that nothing as the real. But as long as we ourselves are the will to live, this last — nothing as the real — can only be known and signified by us negatively, because the old saying of Empedocles, that like can only be known by like, deprives us here of all knowledge, as, conversely, upon it finally rests the possibility of all our actual knowledge, *i.e.*, the world as idea; for the world is the self-knowledge of the will.

If, however, it should be absolutely insisted upon that in some way or other a positive knowledge should be attained of that which philosophy can only express negatively as the denial of the will, there would be nothing for it but to refer to that state which all those who have attained to complete denial of the will have experienced, and which has been variously denoted by the names ecstasy, rapture, illumination, union with God, and so forth; a state, however, which cannot properly be called knowledge, because it has not the form of subject and object, and is, moreover, only attainable in one's own experience and cannot be further communicated.

We, however, who consistently occupy the standpoint of philosophy, must be satisfied here with negative knowledge, content to have reached the utmost limit of the positive. We have recognised the inmost nature of the world as will, and all its phenomena as only the objectivity of will; and we have followed this objectivity from the unconscious working of obscure forces of Nature up to the completely conscious action of man. Therefore we shall by no means evade the consequence, that with the free denial, the surrender of the will, all those phenomena are also abolished; that constant strain and effort without end and without rest at all the grades of objectivity, in which and through which the world consists; the multifarious forms succeeding each other in gradation; the whole manifestation of the will; and, finally, also the universal forms of this manifestation, time and space, and also its last fundamental form, subject and object; all are abolished. No will: no idea, no world.

Before us there is certainly only nothingness. But that which resists this passing into nothing, our nature, is indeed just the will to live, which we ourselves are as it is our world. That we abhor annihilation so greatly, is simply another expression of the fact that we so strenuously will life, and are nothing but this will, and know nothing besides it. But if we turn our glance from our own needy and embarrassed condition to those who have overcome the world, in whom the will, having attained to perfect self-knowledge, found itself again in all, and then freely denied itself, and who then merely wait to see the last trace of it vanish with the body which it animates; then, instead of the restless striving and effort, instead of the constant transition from wish to fruition, and from joy to sorrow, instead of the never-satisfied and never-dying hope which constitutes the life of the man who wills, we shall see that peace which is above all reason, that perfect calm of the spirit, that deep rest, that inviolable confidence and

serenity, the mere reflection of which in the countenance, as Raphael and Correggio have represented it, is an entire and certain gospel; only knowledge remains, the will has vanished. We look with deep and painful longing upon this state, beside which the misery and wretchedness of our own is brought out clearly by the contrast. Yet this is the only consideration which can afford us lasting consolation, when, on the one hand, we have recognised incurable suffering and endless misery as essential to the manifestation of will, the world; and, on the other hand, see the world pass away with the abolition of will, and retain before us only empty nothingness. Thus, in this way, by contemplation of the life and conduct of saints, whom it is certainly rarely granted us to meet with in our own experience, but who are brought before our eyes by their written history, and, with the stamp of inner truth, by art, we must banish the dark impression of that nothingness which we discern behind all virtue and holiness as their final goal, and which we fear as children fear the dark; we must not even evade it like the Indians, through myths and meaningless words, such as reabsorption in Brahma or the Nirvana of the Buddhists. Rather do we freely acknowledge that what remains after the entire abolition of will is for all those who are still full of will certainly nothing; but, conversely, to those in whom the will has turned and has denied itself, this our world, which is so real, with all its suns and milky-ways — is nothing.<sup>[92](#)</sup>

## ENDNOTES

[1](#).

F. H. Jacobi.

[2](#).

The Hegelian Philosophy.

[3](#).

Fichte and Schelling.

[4](#).

Hegel.

[5](#).

Kant is the only writer who has confused this idea of reason, and in this connection I refer the reader to the Appendix, and also to my “Grundprobleme der Ethik”: Grundl. dd. Moral. § 6, pp. 148-154, first and second editions.

[6](#).

Mira in quibusdam rebus verborum proprietas est, et consuetudo sermonis antiqui quædam efficacissimis notis signat. *Seneca*, epist. 81.

[7](#).

It is shown in the Appendix that matter and substance are one.

[8](#).

This shows the ground of the Kantian explanation of matter, that it is “that which is movable in space,” for motion consists simply in the union of space and time.

[9](#).

Not, as Kant holds, from the knowledge of time, as will be explained in the Appendix.

[10](#).

On this see “The Fourfold Root of the Principle of Sufficient Reason,” § 49.

[11](#).

The first four chapters of the first of the supplementary books belong to these seven paragraphs.

[12](#).

Compare with this paragraph §§ 26 and 27 of the third edition of the essay on the principle of sufficient reason.

[13](#).

Cf. Ch. 5 and 6 of the Supplement.

[14](#).

Cf. Ch. 9 and 10 of the Supplement.

[15](#).

Cf. Ch. 11 of Supplement.

[16](#).

I am therefore of opinion that a science of physiognomy cannot, with certainty, go further than to lay down a few quite general rules. For example, the intellectual qualities are to be read in the forehead and the eyes; the moral qualities, the expression of will, in the mouth and lower part of the face. The forehead and the eyes interpret each other; either of them seen alone can only be half understood. Genius is never without a high, broad, finely-arched brow; but such a brow often occurs where there is no genius. A clever-looking person may the more certainly be judged to be so the uglier the face is; and a stupid-looking person may the more certainly be judged to be stupid the more beautiful the face

is; for beauty, as the approximation to the type of humanity, carries in and for itself the expression of mental clearness; the opposite is the case with ugliness, and so forth.

[17](#).

Cf. Ch. 7 of the Supplement.

[18](#).

Cf. Ch. 8 of Supplement.

[19](#).

Suarez, Disput. Metaphysicæ, disp. iii. sect. 3, tit. 3.

[20](#).

Cf. Ch. 12 of Supplement.

[21](#).

The reader must not think here of Kant's misuse of these Greek terms, which is condemned in the Appendix.

[22](#).

Spinoza, who always boasts that he proceeds *more geometrico*, has actually done so more than he himself was aware. For what he knew with certainty and decision from the immediate, perceptive apprehension of the nature of the world, he seeks to demonstrate logically without reference to this knowledge. He only arrives at the intended and predetermined result by starting from arbitrary concepts framed by himself (*substantia causa sui*, &c.), and in the demonstrations he allows himself all the freedom of choice for which the nature of the wide concept-spheres afford such convenient opportunity. That his doctrine is true and excellent is therefore in his case, as in that of geometry, quite independent of the demonstrations of it. Cf. ch. 13 of supplementary volume.

[23](#).

Cf. Ch. 17 of Supplement.



[24](#).

Omnes perturbationes iudicio censent fieri et opinione. Cic. Tusc., 4, 6. Ταράσσει τους ανθρώπους ου τα πραγματα, αλλα τα περι των πραγματων δογματα (Perturbant homines non res ipsæ, sed de rebus opinionones). Epictet., c. v.

[25](#).

Τουτο γαρ εστι το αιτιον τοις ανθρωποις παντων των κακων, το τας προληψεις τας κοινας μη δυνασθαι εφαρμοξειν ταις επι μερους (Hæc est causa mortalibus omnium malorum, non posse communes notiones aptare singularibus). Epict. dissert., ii., 26.

[26](#).

Cf. Ch. 16 of Supplement.

[27](#).

Cf. Ch. xviii. of the Supplement.

[28](#).

We can thus by no means agree with Bacon if he (De Augm. Scient., L. iv. in fine.) thinks that all mechanical and physical movement of bodies has always been preceded by perception in these bodies; though a glimmering of truth lies at the bottom of this false proposition. This is also the case with Kepler's opinion, expressed in his essay *De Planeta Martis*, that the planets must have knowledge in order to keep their elliptical courses so correctly, and to regulate the velocity of their motion so that the triangle of the plane of their course always remains proportional to the time in which they pass through its base.

[29](#).

Cf. Ch. xix. of the Supplement.

[30](#).

Cf. Ch. xx. of the Supplement, and also in my work, "*Ueber den Willen in der Natur*," the chapters on Physiology and Comparative Anatomy, where the subject I have only touched upon here is fully

discussed.

[31](#).

This is specially treated in the 27th Ch. of the Supplement.

[32](#).

This subject is fully worked out in my prize essay on the freedom of the will, in which therefore (pp. 29-44 of the “Grundprobleme der Ethik”) the relation of *cause*, *stimulus*, and *motive* has also been fully explained.

[33](#).

Cf. Ch. xxiii. of the Supplement, and also the Ch. on the physiology of plants in my work “Ueber den Willen in der Natur,” and the Ch. on physical astronomy, which is of great importance with regard to the kernel of my metaphysic.

[34](#).

Wenzel, De Structura Cerebri Hominis et Brutorum, 1812, ch. iii.; Cuvier, Leçons d’Anat., comp. leçon 9, arts. 4 and 5; Vic. d’Azyr, Hist. de l’Acad. de Sc. de Paris, 1783, pp. 470 and 483.

[35](#).

On the 16th of September 1840, at a lecture upon Egyptian Archæology delivered by Mr. Pettigrew at the Literary and Scientific Institute of London, he showed some corns of wheat which Sir G. Wilkinson had found in a grave at Thebes, in which they must have lain for three thousand years. They were found in an hermetically sealed vase. Mr. Pettigrew had sowed twelve grains, and obtained a plant which grew five feet high, and the seeds of which were now quite ripe. — *Times*, 21st September 1840. In the same way in 1830 Mr. Haulton produced in the Medical Botanical Society of London a bulbous root which was found in the hand of an Egyptian mummy, in which it was probably put in observance of some religious rite, and which must have been at least two thousand years old. He had planted it in a flower-pot, in which it grew up and flourished. This is quoted from the Medical Journal of 1830 in the Journal of the Royal Institute of Great Britain, October 1830, p. 196.— “In the garden of Mr. Grimstone of the Herbarium, Highgate, London, is a pea in full fruit, which has sprung from a pea that Mr. Pettigrew and the officials of the British Museum took out of a vase which had been found in an Egyptian sarcophagus, where it must have

lain 2844 years.” — *Times*, 16th August 1844. Indeed, the living toads found in limestone lead to the conclusion that even animal life is capable of such a suspension for thousands of years, if this is begun in the dormant period and maintained by special circumstances.

[36](#).

Cf. Chap. xxii. of the Supplement, and also my work “Ueber den Willen in der Natur,” p. 54 *et seq.*, and pp. 70-79 of the first edition, or p. 46 *et seq.*, and pp. 63-72 of the second, or p. 48 *et seq.*, and pp. 69-77 of the third edition.

[37](#).

The Scholastics therefore said very truly: *Causa finalis movet non secundum suum esse reale, sed secundum esse cognitum*. Cf. Suarez, Disp. Metaph. disp. xxiii., sec. 7 and 8.

[38](#).

Cf. “Critique of Pure Reason. Solution of the Cosmological Ideas of the Totality of the Deduction of the Events in the Universe,” pp. 560-586 of the fifth, and p. 532 and following of first edition; and “Critique of Practical Reason,” fourth edition, pp. 169-179; Rosenkranz’ edition, p. 224 and following. Cf. my Essay on the Principle of Sufficient Reason, § 43.

[39](#).

Cf. “Ueber den Willen in der Natur,” at the end of the section on Comparative Anatomy.

[40](#).

Cf. “Ueber den Willen in der Natur,” the section on Comparative Anatomy.

[41](#).

Chatin, Sur la Valisneria Spiralis, in the Comptes Rendus de l’Acad. de Sc., No. 13, 1855.

[42](#).

Cf. Chaps. xxvi. and xxvii. of the Supplement.

[43](#).

Cf. Chap. xxviii. of the Supplement.

[44](#).

F. H. Jacobi.

[45](#).

See for example, “Immanuel Kant, a Reminiscence, by Fr. Bouterweck,” pg. 49, and Buhle’s “History of Philosophy,” vol. vi. pp. 802-815 and 823.

[46](#).

Cf. Chap. xxix. of Supplement.

[47](#).

I also recommend the perusal of what Spinoza says in his Ethics (Book II., Prop. 40, Schol. 2, and Book V., Props. 25-38), concerning the *cognitio tertii generis, sive intuitiva*, in illustration of the kind of knowledge we are considering, and very specially Prop. 29, Schol.; prop. 36, Schol., and Prop. 38, Demonstr. et Schol.

[48](#).

Cf. Chap. xxx. of the Supplement.

[49](#).

This last sentence cannot be understood without some acquaintance with the next book.

[50](#).

Cf. Chap. xxxi. of the Supplement.

[51](#).

I am all the more delighted and astonished, forty years after I so timidly and hesitatingly advanced this thought, to discover that it has already been expressed by St. Augustine: *Arbusta formas suas varias, quibus mundi hujus visibilis structura formosa est, sentiendas sensibus praebent; ut, pro eo quod nosse non possunt, quasi innotescere velle videantur.* — *De civ. Dei*, xi. 27.

[52](#).

Cf. Chap. 35 of Supplement.

[53](#).

Jakob Böhm in his book, “de Signatura Rerum,” ch. i., § 13-15, says, “There is nothing in nature that does not manifest its internal form externally; for the internal continually labours to manifest itself.... Everything has its language by which to reveal itself.... And this is the language of nature when everything speaks out of its own property, and continually manifests and declares itself, ... for each thing reveals its mother, which thus gives *the essence and the will* to the form.”

[54](#).

The last sentence is the German of the *il n’y a que l’esprit qui sente l’esprit*, of Helvetius. In the first edition there was no occasion to point this out, but since then the age has become so degraded and ignorant through the stupefying influence of the Hegelian sophistry, that some might quite likely say that an antithesis was intended here between “spirit and nature.” I am therefore obliged to guard myself in express terms against the suspicion of such vulgar sophisms.

[55](#).

This digression is worked out more fully in the 36th Chapter of the Supplement.

[56](#).

In order to understand this passage it is necessary to have read the whole of the next book.

[57](#).

*Apparent rari, nantes in gurgite vasto.*

[58](#).

Cf. Ch. xxxiv. of Supplement.

[59](#).

It is scarcely necessary to say that wherever I speak of poets I refer exclusively to that rare phenomenon the great true poet. I mean no one else; least of all that dull insipid tribe, the mediocre poets, rhymsters, and inventors of fables, that flourishes so luxuriantly at the present day in Germany. They ought rather to have the words shouted in their ears unceasingly from all sides —

*Mediocribus esse poëtis*

*Non homines, non Dî, non concessere columnæ.*

It is worthy of serious consideration what an amount of time — both their own and other people's — and paper is lost by this swarm of mediocre poets, and how injurious is their influence. For the public always seizes on what is new, and has naturally a greater proneness to what is perverse and dull as akin to itself. Therefore these works of the mediocre poets draw it away and hold it back from the true masterpieces and the education they afford, and thus working in direct antagonism to the benign influence of genius, they ruin taste more and more, and retard the progress of the age. Such poets should therefore be scourged with criticism and satire without indulgence or sympathy till they are induced, for their own good, to apply their muse rather to reading what is good than to writing what is bad. For if the bungling of the incompetent so raised the wrath of the gentle Apollo that he could flay Marsyas, I do not see on what the mediocre poets will base their claim to tolerance.

[60](#).

Cf. Ch. xxxviii. of Supplement.

[61](#).

Cf. Ch. xxxvii. of the Supplement.

[62](#).

Leibnitii epistolæ, collectio Kortholti, ep. 154.

[63](#).

Cf. Ch. xxxix. of Supplement.

[64](#).

The following remark may assist those for whom it is not too subtle to understand clearly that the individual is only the phenomenon, not the thing in itself. Every individual is, on the one hand, the subject of knowing, *i.e.*, the complemental condition of the possibility of the whole objective world, and, on the other hand, a particular phenomenon of will, the same will which objectifies itself in everything. But this double nature of our being does not rest upon a self-existing unity, otherwise it would be possible for us to be conscious of ourselves *in ourselves, and independent of the objects of knowledge and will*. Now this is by no means possible, for as soon as we turn into ourselves to make the attempt, and seek for once to know ourselves fully by means of introspective reflection, we are lost in a bottomless void; we find ourselves like the hollow glass globe, from out of which a voice speaks whose cause is not to be found in it, and whereas we desired to comprehend ourselves, we find, with a shudder, nothing but a vanishing spectre.

[65](#).

“Scholastici docuerunt, quod æternitas non sit temporis sine fine aut principio successio; sed *Nunc stans*, *i.e.*, idem nobis *Nunc esse*, quod erat *Nunc Adamo*, *i.e.*, inter *nunc* et *tunc* nullam esse differentiam.” — Hobbes, *Leviathan*, c. 46.

[66](#).

In Eckermann’s “Conversations of Goethe” (vol. i. p. 161), Goethe says: “Our spirit is a being of a nature quite indestructible, and its activity continues from eternity to eternity. It is like the sun, which seems to set only to our earthly eyes, but which, in reality, never sets, but shines on unceasingly.” Goethe has taken the simile from me; not I from him. Without doubt he used it in this conversation, which was held in 1824, in consequence of a (possibly unconscious) reminiscence of the above passage, for it occurs in the first edition, p. 401, in exactly the same words, and it is also repeated at p. 528 of that edition, as at the close of § 65 of the present work. The first edition was sent to him in December 1818, and in March 1819, when I was at Naples, he sent me his congratulations by letter, through my sister, and enclosed a piece of paper upon which he had noted the places of certain passages which had specially pleased him. Thus he had read my book.

[67](#).

This is expressed in the Veda by saying, that when a man dies his sight becomes one with the sun, his smell with the earth, his taste with water, his hearing with the air, his speech with fire, &c., &c. (Oupnek'hat, vol. i. p. 249 *et seq.*) And also by the fact that, in a special ceremony, the dying man gives over his senses and all his faculties singly to his son, in whom they are now supposed to live on (Oupnek'hat, vol. ii. p. 82 *et seq.*)

[68](#).

Cf. Chap. xli.-xliv. of Supplement.

[69](#).

“Critique of Pure Reason,” first edition, pp. 532-558; fifth edition, pp. 560-586; and “Critique of Practical Reason,” fourth edition, pp. 169-179; Rosenkranz’s edition, pp. 224-231.

[70](#).

Cart. Medit. 4. — Spin. Eth., pt. ii. prop. 48 et 49, cæt.

[71](#).

Herodot. vii. 46.

[72](#).

Cf. Ch. xlvi. of Supplement.

[73](#).

Cf. Ch. xlv. of the Supplement.

[74](#).

Thus the basis of natural right of property does not require the assumption of two grounds of right beside each other, that based on *detention* and that based on *formation*; but the latter is itself sufficient. Only the name *formation* is not very suitable, for the spending of any labour upon a thing does not need to be a forming or fashioning of it.



[75](#).

The further exposition of the philosophy of law here laid down will be found in my prize-essay, "Ueber das Fundament der Moral," § 17, pp. 221-230 of 1st ed., pp. 216-226 of 2d ed.

[76](#).

Cf. Ch. xlvii. of Supplement.

[77](#).

Oupnek'hat, vol. i. p. 60 et seq.

[78](#).

That Spanish bishop who, in the last war, poisoned both himself and the French generals at his own table, is an instance of this; and also various incidents in that war. Examples are also to be found in Montaigne, Bk. ii. ch. 12.

[79](#).

Observe, in passing, that what gives every positive system of religion its great strength, the point of contact through which it takes possession of the soul, is entirely its ethical side. Not, however, the ethical side directly as such, but as it appears firmly united and interwoven with the element of mythical dogma which is present in every system of religion, and as intelligible only by means of this. So much is this the case, that although the ethical significance of action cannot be explained in accordance with the principle of sufficient reason, yet since every mythus follows this principle, believers regard the ethical significance of action as quite inseparable, and indeed as absolutely identical, and regard every attack upon the mythus as an attack upon right and virtue. This goes so far that among monotheistic nations atheism or godlessness has become synonymous with the absence of all morality. To the priests such confusions of conceptions are welcome, and only in consequence of them could that horrible monstrosity fanaticism arise and govern, not merely single individuals who happen to be specially perverse and bad, but whole nations, and finally embody itself in the Western world as the Inquisition (to the honour of mankind be it said that this only happened once in their history), which, according to the latest and most authentic accounts, in Madrid alone (in the rest of Spain there were many more such ecclesiastical dens of murderers) in 300 years put 300,000 human beings to a painful death at the stake on theological grounds — a fact of which every zealot ought to be reminded whenever he begins to make himself heard.

[80](#).

The Church would say that these are merely *opera operata*, which do not avail unless grace gives the faith which leads to the new birth. But of this farther on.

[81](#).

The right of man over the life and powers of the brutes rests on the fact that, because with the growing clearness of consciousness suffering increases in like measure; the pain which the brute suffers through death or work is not so great as man would suffer by merely denying himself the flesh, or the powers of the brutes. Therefore man may carry the assertion of his existence to the extent of denying the existence of the brute, and the will to live as a whole endures less suffering in this way than if the opposite course were adopted. This at once determines the extent of the use man may make of the powers of the brutes without wrong; a limit, however, which is often transgressed, especially in the case of beasts of burden and dogs used in the chase; to which the activity of societies for the prevention of cruelty to animals is principally devoted. In my opinion, that right does not extend to vivisection, particularly of the higher animals. On the other hand, the insect does not suffer so much through its death as a man suffers from its sting. The Hindus do not understand this.

[82](#).

As I wander sunk in thought, so strong a sympathy with myself comes over me that I must often weep aloud, which otherwise I am not wont to do.

[83](#).

Cf. Ch. xlvii. of Supplement. It is scarcely necessary to remind the reader that the whole ethical doctrine given in outline in §§ [93](#)-[94](#) has been explained fully and in detail in my prize-essay on the foundation of morals.

[84](#).

This thought is expressed by a beautiful simile in the ancient philosophical Sanscrit writing, "Sankhya Karica:" "Yet the soul remains a while invested with body; as the potter's wheel continues whirling after the pot has been fashioned, by force of the impulse previously given to it. When separation of the informed soul from its corporeal frame at length takes place and nature in respect of it ceases, then is absolute and final deliverance accomplished." Colebrooke, "On the Philosophy of

the Hindus: Miscellaneous Essays,” vol i. p. 271. Also in the “Sankhya Karica by Horace Wilson,” § 67, p. 184.

[85](#).

See, for example, “Oupnek’hat, studio Anquetil du Perron,” vol. ii., Nos. 138, 144, 145, 146. “Mythologie des Indous,” par Mad. de Polier, vol. ii., ch. 13, 14, 15, 16, 17. “Asiatisches Magazin,” by Klaproth: in the first volume, “Ueber die Fo-Religion,” also “Baghnat Geeta” or “Gespräche zwischen Krishna und Arjoon;” in the second volume, “Moha-Mudgava.” Also, “Institutes of Hindu Law, or the Ordinances of Manu,” from the Sanscrit, by Sir William Jones (German by Hüttner, 1797), especially the sixth and twelfth chapters. Finally, many passages in the “Asiatic Researches.” (In the last forty years Indian literature has grown so much in Europe, that if I were now to complete this note to the first edition, it would occupy several pages.)

[86](#).

At the procession of Jagganath in June 1840, eleven Hindus threw themselves under the wheels, and were instantly killed. (Letter of an East Indian proprietor in the *Times* of 30th December 1840.)

[87](#).

On δευτερος πλους cf. Stob. Floril., vol. ii. p. 374.

[88](#).

Bruckeri Hist. Philos., tomi iv. pars. i. p. 10.

[89](#).

Henry VI., Part ii. act 3, sc. 3.

[90](#).

Cf. Ch. xlviii. of the Supplement.

[91](#).

How truly this is the case may be seen from the fact that all the contradictions and inconceivabilities contained in the Christian dogmatics, consistently systematised by Augustine, which have led to the Pelagian insipidity which is opposed to them, vanish as soon as we abstract from the fundamental Jewish dogma, and recognize that man is not the work of another, but of his own will. Then all is at once clear and correct: then there is no need of freedom in the *operari*, for it lies in the *esse*; and there also lies the sin as original sin. The work of grace is, however, our own. To the rationalistic point of view of the day, on the contrary, many doctrines of the Augustinian dogmatics, founded on the New Testament, appear quite untenable, and indeed revolting; for example, predestination. Accordingly Christianity proper is rejected, and a return is made to crude Judaism. But the miscalculation or the original weakness of Christian dogmatics lies — where it is never sought — precisely in that which is withdrawn from all investigation as established and certain. Take this away and the whole of dogmatics is rational; for this dogma destroys theology as it does all other sciences. If any one studies the Augustinian theology in the books “*De Civitate Dei*” (especially in the Fourteenth Book), he experiences something analogous to the feeling of one who tries to make a body stand whose centre of gravity falls outside it; however he may turn it and place it, it always tumbles over again. So here, in spite of all the efforts and sophisms of Augustine, the guilt and misery of the world always falls back on God, who made everything and everything that is in everything, and also knew how all things would go. That Augustine himself was conscious of the difficulty, and puzzled by it, I have already shown in my prize-essay on the Freedom of the Will (ch. iv. pp. 66-68 of the first and second editions). In the same way, the contradiction between the goodness of God and the misery of the world, and also between the freedom of the will and the foreknowledge of God, is the inexhaustible theme of a controversy which lasted nearly a hundred years between the Cartesians, Malebranche, Leibnitz, Bayle, Clarke, Arnauld, and many others. The only dogma which was regarded as fixed by all parties was the existence and attributes of God, and they all unceasingly move in a circle, because they seek to bring these things into harmony, *i.e.*, to solve a sum that will not come right, but always shows a remainder at some new place whenever we have concealed it elsewhere. But it does not occur to any one to seek for the source of the difficulty in the fundamental assumption, although it palpably obtrudes itself. Bayle alone shows that he saw this.

[92](#).

This is also just the Prajna — Paramita of the Buddhists, the “beyond all knowledge,” *i.e.*, the point at which subject and object are no more. (Cf. J. J. Schmidt, “Ueber das Mahajana und Pratschna-Paramita.”)

## **VOLUME II.**

## **Appendix: Criticism of the Kantian Philosophy.**

C'est le privilège du vrai génie, et surtout du génie qui ouvre une carrière, de faire impunément de grandes fautes. — *Voltaire*.

It is much easier to point out the faults and errors in the work of a great mind than to give a distinct and full exposition of its value. For the faults are particular and finite, and can therefore be fully comprehended; while, on the contrary, the very stamp which genius impresses upon its works is that their excellence is unfathomable and inexhaustible. Therefore they do not grow old, but become the instructor of many succeeding centuries. The perfected masterpiece of a truly great mind will always produce a deep and powerful effect upon the whole human race, so much so that it is impossible to calculate to what distant centuries and lands its enlightening influence may extend. This is always the case; for however cultivated and rich the age may be in which such a masterpiece appears, genius always rises like a palm-tree above the soil in which it is rooted.

But a deep-reaching and widespread effect of this kind cannot take place suddenly, because of the great difference between the genius and ordinary men. The knowledge which that one man in one lifetime drew directly from life and the world, won and presented to others as won and arranged, cannot yet at once become the possession of mankind; for mankind has not so much power to receive as the genius has power to give. But even after a successful battle with unworthy opponents, who at its very birth contest the life of what is immortal and desire to nip in the bud the salvation of man (like the serpents in the cradle of Hercules), that knowledge must then traverse the circuitous paths of innumerable false constructions and distorted applications, must overcome the attempts to unite it with old errors, and so live in conflict till a new and unprejudiced generation grows up to meet it. Little by little, even in youth, this new generation partially receives the contents of that spring through a thousand indirect channels, gradually assimilates it, and so participates in the benefit which was destined to flow to mankind from that great mind. So slowly does the education of the human race, the weak yet refractory pupil of genius, advance. Thus with Kant's teaching also; its full strength and importance will only be revealed through time, when the spirit of the age, itself gradually transformed and altered in the most important and essential respects by the influence of that teaching, will afford convincing evidence

of the power of that giant mind. I have, however, no intention of presumptuously anticipating the spirit of the age and assuming here the thankless *rôle* of Calchas and Cassandra. Only I must be allowed, in accordance with what has been said, to regard Kant's works as still very new, while many at the present day look upon them as already antiquated, and indeed have laid them aside as done with, or, as they express it, have left them behind; and others, emboldened by this, ignore them altogether, and with brazen face go on philosophising about God and the soul on the assumption of the old realistic dogmatism and its scholastic teaching, which is as if one sought to introduce the doctrines of the alchemists into modern chemistry. For the rest, the works of Kant do not stand in need of my feeble eulogy, but will themselves for ever praise their author, and though perhaps not in the letter, yet in the spirit they will live for ever upon earth.

Certainly, however, if we look back at the first result of his teaching, at the efforts and events in the sphere of philosophy during the period that has elapsed since he wrote, a very depressing saying of Goethe obtains confirmation: "As the water that is displaced by a ship immediately flows in again behind it, so when great minds have driven error aside and made room for themselves, it very quickly closes in behind them again by the law of its nature" (*Wahrheit und Dichtung*, Theil 3, s. 521). Yet this period has been only an episode, which is to be reckoned as part of the lot referred to above that befalls all new and great knowledge; an episode which is now unmistakably near its end, for the bubble so long blown out yet bursts at last. Men generally are beginning to be conscious that true and serious philosophy still stands where Kant left it. At any rate, I cannot see that between Kant and myself anything has been done in philosophy; therefore I regard myself as his immediate successor.

What I have in view in this Appendix to my work is really only a defence of the doctrine I have set forth in it, inasmuch as in many points that doctrine does not agree with the Kantian philosophy, but indeed contradicts it. A discussion of this philosophy is, however, necessary, for it is clear that my train of thought, different as its content is from that of Kant, is yet throughout under its influence, necessarily presupposes it, starts from it; and I confess that, next to the impression of the world of perception, I owe what is best in my own system to the impression made upon me by the works of Kant, by the sacred writings of the Hindus, and by Plato. But I can only justify the contradictions of Kant which are nevertheless present in my

work by accusing him of error in these points, and exposing mistakes which he committed. Therefore in this Appendix I must proceed against Kant in a thoroughly polemical manner, and indeed seriously and with every effort; for it is only thus that his doctrine can be freed from the error that clings to it, and its truth shine out the more clearly and stand the more firmly. It must not, therefore, be expected that the sincere reverence for Kant which I certainly feel shall extend to his weaknesses and errors also, and that I shall consequently refrain from exposing these except with the most careful indulgence, whereby my language would necessarily become weak and insipid through circumlocution. Towards a living writer such indulgence is needed, for human frailty cannot endure even the most just refutation of an error, unless tempered by soothing and flattery, and hardly even then; and a teacher of the age and benefactor of mankind deserves at least that the human weakness he also has should be indulged, so that he may not be caused pain. But he who is dead has thrown off this weakness; his merit stands firm; time will purify it more and more from all exaggeration and detraction. His mistakes must be separated from it, rendered harmless, and then given over to oblivion. Therefore in the polemic against Kant I am about to begin, I have only his mistakes and weak points in view. I oppose them with hostility, and wage a relentless war of extermination against them, always mindful not to conceal them indulgently, but rather to place them in the clearest light, in order to extirpate them the more surely. For the reasons given above, I am not conscious either of injustice or ingratitude towards Kant in doing this. However, in order that, in the eyes of others also, I may remove every appearance of malice, I wish first to bring out clearly my sincere reverence for Kant and gratitude to him, by expressing shortly what in my eyes appears to be his chief merit; and I shall do this from a standpoint so general that I shall not require to touch upon the points in which I must afterwards controvert him.

*Kant's greatest merit is the distinction of the phenomenon from the thing in itself*, based upon the proof that between things and us there still always stands the *intellect*, so that they cannot be known as they may be in themselves. He was led into this path through Locke (see *Prolegomena zu jeder Metaph.*, § 13, Anm. 2). The latter had shown that the secondary qualities of things, such as sound, smell, colour, hardness, softness, smoothness, and the like, as founded on the affections of the senses, do not



belong to the objective body, to the thing in itself. To this he attributed only the primary qualities, *i.e.*, such as only presuppose space and impenetrability; thus extension, figure, solidity, number, mobility. But this easily discovered Lockeian distinction was, as it were, only a youthful introduction to the distinction of Kant. The latter, starting from an incomparably higher standpoint, explains all that Locke had accepted as *primary qualities*, *i.e.*, qualities of the thing in itself, as also belonging only to its phenomenal appearance in our faculty of apprehension, and this just because the conditions of this faculty, space, time, and causality, are known by us *a priori*. Thus Locke had abstracted from the thing in itself the share which the organs of sense have in its phenomenal appearance; Kant, however, further abstracted the share of the brain-functions (though not under that name). Thus the distinction between the phenomenon and the thing in itself now received an infinitely greater significance, and a very much deeper meaning. For this end he was obliged to take in hand the important separation of our *a priori* from our *a posteriori* knowledge, which before him had never been carried out with adequate strictness and completeness, nor with distinct consciousness. Accordingly this now became the principal subject of his profound investigations. Now here we would at once remark that Kant's philosophy has a threefold relation to that of his predecessors. First, as we have just seen, to the philosophy of Locke, confirming and extending it; secondly, to that of Hume, correcting and making use of it, a relation which is most distinctly expressed in the "*Prolegomena*" (that most beautiful and comprehensible of all Kant's important writings, which is far too little read, for it facilitates immensely the study of his philosophy); thirdly, a decidedly polemical and destructive relation to the Leibnitz-Wolfian philosophy. All three systems ought to be known before one proceeds to the study of the Kantian philosophy. If now, according to the above, the distinction of the phenomenon from the thing in itself, thus the doctrine of the complete diversity of the ideal and the real, is the fundamental characteristic of the Kantian philosophy, then the assertion of the absolute identity of these two which appeared soon afterwards is a sad proof of the saying of Goethe quoted above; all the more so as it rested upon nothing but the empty boast of intellectual intuition, and accordingly was only a return to the crudeness of the vulgar opinion, masked under bombast and nonsense, and the imposing impression of an air of importance. It became the fitting starting-point for the still grosser nonsense

of the clumsy and stupid Hegel. Now as Kant's separation of the phenomenon from the thing in itself, arrived at in the manner explained above, far surpassed all that preceded it in the depth and thoughtfulness of its conception, it was also exceedingly important in its results. For in it he propounded, quite originally, in a perfectly new way, found from a new side and on a new path, the same truth which Plato never wearies of repeating, and in his language generally expresses thus: This world which appears to the senses has no true being, but only a ceaseless becoming; it is, and it is not, and its comprehension is not so much knowledge as illusion. This is also what he expresses mythically at the beginning of the seventh book of the Republic, the most important passage in all his writings, which has already been referred to in the third book of the present work. He says: Men, firmly chained in a dark cave, see neither the true original light nor real things, but only the meagre light of the fire in the cave and the shadows of real things which pass by the fire behind their backs; yet they think the shadows are the reality, and the determining of the succession of these shadows is true wisdom. The same truth, again quite differently presented, is also a leading doctrine of the Vedas and Puranas, the doctrine of Mâyâ, by which really nothing else is understood than what Kant calls the phenomenon in opposition to the thing in itself; for the work of Mâyâ is said to be just this visible world in which we are, a summoned enchantment, an inconstant appearance without true being, like an optical illusion or a dream, a veil which surrounds human consciousness, something of which it is equally false and true to say that it is and that it is not. But Kant not only expressed the same doctrine in a completely new and original way, but raised it to the position of proved and indisputable truth by means of the calmest and most temperate exposition; while both Plato and the Indian philosophers had founded their assertions merely upon a general perception of the world, had advanced them as the direct utterance of their consciousness, and presented them rather mythically and poetically than philosophically and distinctly. In this respect they stand to Kant in the same relation as the Pythagoreans Hicetas, Philolaus, and Aristarchus, who already asserted the movement of the earth round the fixed sun, stand to Copernicus. Such distinct knowledge and calm, thoughtful exposition of this dream-like nature of the whole world is really the basis of the whole Kantian philosophy; it is its soul and its greatest merit. He accomplished this by taking to pieces the whole machinery of our intellect by means of

which the phantasmagoria of the objective world is brought about, and presenting it in detail with marvellous insight and ability. All earlier Western philosophy, appearing in comparison with the Kantian unspeakably clumsy, had failed to recognise that truth, and had therefore always spoken just as if in a dream. Kant first awakened it suddenly out of this dream; therefore the last sleepers (Mendelssohn) called him the “all-destroyer.” He showed that the laws which reign with inviolable necessity in existence, *i.e.*, in experience generally, are not to be applied to deduce and explain *existence itself* that thus the validity of these laws is only relative, *i.e.*, only arises after existence; the world of experience in general is already established and present; that consequently these laws cannot be our guide when we come to the explanation of the existence of the world and of ourselves. All earlier Western philosophers had imagined that these laws, according to which the phenomena are combined, and all of which — time and space, as well as causality and inference — I comprehend under the expression “the principle of sufficient reason,” were absolute laws conditioned by nothing, *æternæ veritates*; that the world itself existed only in consequence of and in conformity with them; and therefore that under their guidance the whole riddle of the world must be capable of solution. The assumptions made for this purpose, which Kant criticises under the name of the Ideas of the reason, only served to raise the mere phenomenon, the work of Mâyâ, the shadow world of Plato, to the one highest reality, to put it in the place of the inmost and true being of things, and thereby to make the real knowledge of this impossible; that is, in a word, to send the dreamers still more soundly to sleep. Kant exhibited these laws, and therefore the whole world, as conditioned by the form of knowledge belonging to the subject; from which it followed, that however far one carried investigation and reasoning under the guidance of these laws, yet in the principal matter, *i.e.*, in knowledge of the nature of the world in itself and outside the idea, no step in advance was made, but one only moved like a squirrel in its wheel. Thus, all the dogmatists may be compared to persons who supposed that if they only went straight on long enough they would come to the end of the world; but Kant then circumnavigated the world and showed that, because it is round, one cannot get out of it by horizontal movement, but that yet by perpendicular movement this is perhaps not impossible. We may also say that Kant’s doctrine affords the insight that we must seek the end and beginning of the world, not without, but within us.

All this, however, rests on the fundamental distinction between dogmatic and *critical* or *transcendental philosophy*. Whoever wishes to make this quite clear to himself, and realise it by means of an example, may do so very briefly by reading, as a specimen of dogmatic philosophy, an essay of Leibnitz entitled “*De Rerum Originatione Radicali*,” and printed for the first time in the edition of the philosophical works of Leibnitz by Erdmann (vol. i. p. 147). Here the origin and excellence of the world is demonstrated *a priori*, so thoroughly in the manner of realistic-dogmatism, on the ground of the *veritates æternæ* and with the assistance of the ontological and cosmological proofs. It is indeed once admitted, by the way, that experience shows the exact opposite of the excellence of the world here demonstrated; but experience is therefore given to understand that it knows nothing of the matter, and ought to hold its tongue when philosophy has spoken *a priori*. Now, with Kant, the *critical philosophy* appeared as the opponent of this whole method. It takes for its problem just these *veritates æternæ*, which serve as the foundation of every such dogmatic structure, investigates their origin, and finds it in the human mind, where they spring from the peculiar forms which belong to it, and which it carries in itself for the purpose of comprehending an objective world. Thus, here, in the brain, is the quarry which supplies the material for that proud dogmatic edifice. But because the critical philosophy, in order to attain to this result, was obliged to go beyond the *veritates æternæ* upon which all the preceding dogmatism was founded, and make these truths themselves the objects of investigation, it became *transcendental* philosophy. From this, then, it also follows that the objective world, as we know it, does not belong to the true being of the thing in itself, but is merely its phenomenal appearance conditioned by those very forms which lie *a priori* in the intellect (*i.e.*, the brain), therefore it cannot contain anything but phenomena.

Kant, indeed, did not attain to the knowledge that the phenomenon is the world as idea, and the thing in itself is the will. But he showed that the phenomenal world is conditioned just as much through the subject as through the object, and because he isolated the most universal forms of its phenomenal appearance, *i.e.*, of the idea, he proved that we may know these forms and consider them in their whole constitution, not only by starting from the object, but also just as well by starting from the subject, because

they are really the limits between object and subject which are common to them both; and he concluded that by following these limits we never penetrate to the inner nature either of the object or of the subject, consequently never know the true nature of the world, the thing in itself.

He did not deduce the thing in itself in the right way, as I shall show presently, but by means of an inconsistency, and he had to pay the penalty of this in frequent and irresistible attacks upon this important part of his teaching. He did not recognise the thing in itself directly in the will; but he made a great initial step towards this knowledge in that he explained the undeniable moral significance of human action as quite different from and not dependent upon the laws of the phenomenon, nor even explicable in accordance with them, but as something which touches the thing in itself directly: this is the second important point of view for estimating his services.

We may regard as the third the complete overthrow of the Scholastic philosophy, a name by which I wish here to denote generally the whole period beginning with Augustine, the Church Father, and ending just before Kant. For the chief characteristic of Scholasticism is, indeed, that which is very correctly stated by Tennemann, the guardianship of the prevailing national religion over philosophy, which had really nothing left for it to do but to prove and embellish the cardinal dogmas prescribed to it by religion. The Schoolmen proper, down to Suarez, confess this openly; the succeeding philosophers do it more unconsciously, or at least unavowedly. It is held that Scholastic philosophy only extends to about a hundred years before Descartes, and that then with him there begins an entirely new epoch of free investigation independent of all positive theological doctrine. Such investigation, however, is in fact not to be attributed to Descartes and his successors,<sup>1</sup> but only an appearance of it, and in any case an effort after it. Descartes was a man of supreme ability, and if we take account of the age he lived in, he accomplished a great deal. But if we set aside this consideration and measure him with reference to the freeing of thought from all fetters and the commencement of a new period of untrammelled original investigation with which he is credited, we are obliged to find that with his doubt still wanting in true seriousness, and therefore surrendering so quickly and so entirely, he has, indeed, the appearance of wishing to throw off at once all the early implanted opinions belonging to his age and nation, but does so only apparently and for a moment, to assume them again

immediately and hold them all the more firmly; and so is it with all his successors down to Kant. Goethe's lines are, therefore, very applicable to a free independent thinker of this kind:

“Saving Thy gracious presence, he to me  
A long-legged grasshopper appears to be,  
That springing flies, and flying springs,  
And in the grass the same old ditty sings.”<sup>2</sup>

Kant had reasons for assuming the air of also intending nothing more. But the pretended spring, which was permitted because it was known that it leads back to the grass, this time became a flight, and now those who remain below can only look after him, and can never catch him again.

Kant, then, ventured to show by his teaching that all those dogmas which had been so often professedly proved were incapable of proof. Speculative theology, and the rational psychology connected with it, received from him their deathblow. Since then they have vanished from German philosophy, and one must not allow oneself to be misled by the fact that here and there the word is retained after the thing has been given up, or some wretched professor of philosophy has the fear of his master in view, and lets truth take care of itself. Only he who has observed the pernicious influence of these conceptions upon natural science, and upon philosophy in all, even the best writers of the seventeenth and eighteenth centuries, can estimate the extent of this service of Kant's. The change of tone and of metaphysical background which has appeared in German writing upon natural science since Kant is remarkable; before him it was in the same position as it still occupies in England. This merit of Kant's is connected with the fact that the unreflecting pursuit of the laws of the phenomenon, the elevation of these to the position of eternal truths, and thus the raising of the fleeting appearance to the position of the real being of the world, in short, *realism* undisturbed in its illusion by any reflection, had reigned throughout all preceding philosophy, ancient, mediæval, and modern. Berkeley, who, like Malebranche before him, recognised its one-sidedness, and indeed falseness, was unable to overthrow it, for his attack was confined to *one* point. Thus it was reserved for Kant to enable the idealistic point of view to obtain the ascendancy in Europe, at least in philosophy; the point of view which throughout all non-Mohammedan Asia, and indeed essentially, is that of religion. Before Kant, then, we were in time; now time is in us, and so on.

Ethics also were treated by that realistic philosophy according to the laws of the phenomenon, which it regarded as absolute and valid also for the thing in itself. They were therefore based now upon a doctrine of happiness, now upon the will of the Creator, and finally upon the conception of perfection; a conception which, taken by itself, is entirely empty and void of content, for it denotes a mere relation that only receives significance from the things to which it is applied. "To be perfect" means nothing more than "to correspond to some conception which is presupposed and given," a conception which must therefore be previously framed, and without which the perfection is an unknown quantity, and consequently has no meaning when expressed alone. If, however, it is intended tacitly to presuppose the conception "humanity," and accordingly to make it the principle of morality to strive after human perfection, this is only saying: "Men ought to be as they ought to be," — and we are just as wise as before. In fact "perfect" is very nearly a mere synonym of "complete," for it signifies that in one given case or individual, all the predicates which lie in the conception of its species appear, thus are actually present. Therefore the conception "perfection," if used absolutely and in the abstract, is a word void of significance, and this is also the case with the talk about the "most perfect being," and other similar expressions. All this is a mere jingle of words. Nevertheless last century this conception of perfection and imperfection had become current coin; indeed it was the hinge upon which almost all speculation upon ethics, and even theology, turned. It was in every one's mouth, so that at last it became a simple nuisance. We see even the best writers of the time, for example Lessing, entangled in the most deplorable manner in perfections and imperfections, and struggling with them. At the same time, every thinking man must at least dimly have felt that this conception is void of all positive content, because, like an algebraical symbol, it denotes a mere relation *in abstracto*. Kant, as we have already said, entirely separated the undeniably great ethical significance of actions from the phenomenon and its laws, and showed that the former directly concerned the thing in itself, the inner nature of the world, while the latter, *i.e.*, time, space, and all that fills them, and disposes itself in them according to the law of causality, is to be regarded as a changing and unsubstantial dream.

The little I have said, which by no means exhausts the subject, may suffice as evidence of my recognition of the great merits of Kant, — a

recognition expressed here both for my own satisfaction, and because justice demands that those merits should be recalled to the memory of every one who desires to follow me in the unsparing exposure of his errors to which I now proceed.

It may be inferred, upon purely historical grounds, that Kant's great achievements must have been accompanied by great errors. For although he effected the greatest revolution in philosophy and made an end of Scholasticism, which, understood in the wider sense we have indicated, had lasted for fourteen centuries, in order to begin what was really the third entirely new epoch in philosophy which the world has seen, yet the direct result of his appearance was only negative, not positive. For since he did not set up a completely new system, to which his disciples could only have adhered for a period, all indeed observed that something very great had happened, but yet no one rightly knew what. They certainly saw that all previous philosophy had been fruitless dreaming, from which the new age had now awakened, but what they ought to hold to now they did not know. A great void was felt; a great need had arisen; the universal attention even of the general public was aroused. Induced by this, but not urged by inward inclination and sense of power (which find utterance even at unfavourable times, as in the case of Spinoza), men without any exceptional talent made various weak, absurd, and indeed sometimes insane, attempts, to which, however, the now interested public gave its attention, and with great patience, such as is only found in Germany, long lent its ear.

The same thing must once have happened in Nature, when a great revolution had altered the whole surface of the earth, land and sea had changed places, and the scene was cleared for a new creation. It was then a long time before Nature could produce a new series of lasting forms all in harmony with themselves and with each other. Strange and monstrous organisations appeared which did not harmonise either with themselves or with each other, and therefore could not endure long, but whose still existing remains have brought down to us the tokens of that wavering and tentative procedure of Nature forming itself anew.

Since, now, in philosophy, a crisis precisely similar to this, and an age of fearful abortions, was, as we all know, introduced by Kant, it may be concluded that the services he rendered were not complete, but must have



been negative and one-sided, and burdened with great defects. These defects we now desire to search out.

First of all we shall present to ourselves clearly and examine the fundamental thought in which the aim of the whole “Critique of Pure Reason” lies. Kant placed himself at the standpoint of his predecessors, the dogmatic philosophers, and accordingly he started with them from the following assumptions: — (1.) Metaphysics is the science of that which lies beyond the possibility of all experience. (2.) Such a science can never be attained by applying principles which must first themselves be drawn from experience (*Prolegomena*, § 1); but only what we know *before*, and thus *independently* of all experience, can reach further than possible experience. (3.) In our reason certain principles of this kind are actually to be found: they are comprehended under the name of Knowledge of pure reason. So far Kant goes with his predecessors, but here he separates from them. They say: “These principles, or this knowledge of pure reason, are expressions of the absolute possibility of things, *æternæ veritates*, sources of ontology; they stand above the system of the world, as fate stood above the gods of the ancients.” Kant says, they are mere forms of our intellect, laws, not of the existence of things, but of our idea of them; they are therefore valid merely for our apprehension of things, and hence they cannot extend beyond the possibility of experience, which, according to assumption 1, is what was aimed at; for the *a priori* nature of these forms of knowledge, since it can only rest on their subjective origin, is just what cuts us off for ever from the knowledge of the nature of things in themselves, and confines us to a world of mere phenomena, so that we cannot know things as they may be in themselves, even *a posteriori*, not to speak of *a priori*. Accordingly metaphysics is impossible, and criticism of pure reason takes its place. As opposed to the old dogmatism, Kant is here completely victorious; therefore all dogmatic attempts which have since appeared have been obliged to pursue an entirely different path from the earlier systems; and I shall now go on to the justification of my own system, according to the expressed intention of this criticism. A more careful examination, then, of the reasoning given above will oblige one to confess that its first fundamental assumption is a *petitio principii*. It lies in the proposition (stated with particular clearness in the *Prolegomena*, § 1): “The source of metaphysics must throughout be non-empirical; its fundamental principles

and conceptions must never be taken from either inner or outer experience.” Yet absolutely nothing is advanced in proof of this cardinal assertion except the etymological argument from the word metaphysic. In truth, however, the matter stands thus: The world and our own existence presents itself to us necessarily as a riddle. It is now assumed, without more ado, that the solution of this riddle cannot be arrived at from a thorough understanding of the world itself, but must be sought in something entirely different from the world (for that is the meaning of “beyond the possibility of all experience”); and that everything must be excluded from that solution of which we can in any way have *immediate* knowledge (for that is the meaning of possible experience, both inner and outer); the solution must rather be sought only in that at which we can arrive merely indirectly, that is, by means of inferences from universal principles *a priori*. After the principal source of all knowledge has in this way been excluded, and the direct way to truth has been closed, we must not wonder that the dogmatic systems failed, and that Kant was able to show the necessity of this failure; for metaphysics and knowledge *a priori* had been assumed beforehand to be identical. But for this it was first necessary to prove that the material for the solution of the riddle absolutely cannot be contained in the world itself, but must be sought for only outside the world in something we can only attain to under the guidance of those forms of which we are conscious *a priori*. But so long as this is not proved, we have no grounds for shutting ourselves off, in the case of the most important and most difficult of all questions, from the richest of all sources of knowledge, inner and outer experience, in order to work only with empty forms. I therefore say that the solution of the riddle of the world must proceed from the understanding of the world itself; that thus the task of metaphysics is not to pass beyond the experience in which the world exists, but to understand it thoroughly, because outer and inner experience is at any rate the principal source of all knowledge; that therefore the solution of the riddle of the world is only possible through the proper connection of outer with inner experience, effected at the right point, and the combination thereby produced of these two very different sources of knowledge. Yet this solution is only possible within certain limits which are inseparable from our finite nature, so that we attain to a right understanding of the world itself without reaching a final explanation of its existence abolishing all further problems. Therefore *est quadam prodire tenus*, and my path lies midway between the omniscience of the earlier dogmatists and

the despair of the Kantian Critique. The important truths, however, which Kant discovered, and through which the earlier metaphysical systems were overthrown, have supplied my system with data and materials. Compare what I have said concerning my method in chap. xvii. of the Supplements. So much for the fundamental thought of Kant; we shall now consider his working out of it and its details.

Kant's style bears throughout the stamp of a pre-eminent mind, genuine strong individuality, and quite exceptional power of thought. Its characteristic quality may perhaps be aptly described as a *brilliant dryness*, by virtue of which he was able to grasp firmly and select the conceptions with great certainty, and then to turn them about with the greatest freedom, to the astonishment of the reader. I find the same brilliant dryness in the style of Aristotle, though it is much simpler. Nevertheless Kant's language is often indistinct, indefinite, inadequate, and sometimes obscure. Its obscurity, certainly, is partly excusable on account of the difficulty of the subject and the depth of the thought; but he who is himself clear to the bottom, and knows with perfect distinctness what he thinks and wishes, will never write indistinctly, will never set up wavering and indefinite conceptions, compose most difficult and complicated expressions from foreign languages to denote them, and use these expressions constantly afterwards, as Kant took words and formulas from earlier philosophy, especially Scholasticism, which he combined with each other to suit his purposes; as, for example, "transcendental synthetic unity of apperception," and in general "unity of synthesis" (*Einheit der Synthesis*), always used where "union" (*Vereinigung*) would be quite sufficient by itself. Moreover, a man who is himself quite clear will not be always explaining anew what has once been explained, as Kant does, for example, in the case of the understanding, the categories, experience, and other leading conceptions. In general, such a man will not incessantly repeat himself, and yet in every new exposition of the thought already expressed a hundred times leave it in just the same obscure condition, but he will express his meaning once distinctly, thoroughly, and exhaustively, and then let it alone. "*Quo enim melius rem aliquam concipimus eo magis determinati sumus ad eam unico modo exprimendam*," says Descartes in his fifth letter. But the most injurious result of Kant's occasionally obscure language is, that it acted as *exemplar vitiis imitabile*; indeed, it was misconstrued as a pernicious

authorisation. The public was compelled to see that what is obscure is not always without significance; consequently, what was without significance took refuge behind obscure language. Fichte was the first to seize this new privilege and use it vigorously; Schelling at least equalled him; and a host of hungry scribblers, without talent and without honesty, soon outbade them both. But the height of audacity, in serving up pure nonsense, in stringing together senseless and extravagant mazes of words, such as had previously only been heard in madhouses, was finally reached in Hegel, and became the instrument of the most barefaced general mystification that has ever taken place, with a result which will appear fabulous to posterity, and will remain as a monument of German stupidity. In vain, meanwhile, Jean Paul wrote his beautiful paragraph, “Higher criticism of philosophical madness in the professorial chair, and poetical madness in the theatre” (*Ästhetische Nachschule*); for in vain Goethe had already said —

“They prate and teach, and no one interferes;  
All from the fellowship of fools are shrinking;  
Man usually believes, if only words he hears,  
That also with them goes material for thinking.”<sup>3</sup>

But let us return to Kant. We are compelled to admit that he entirely lacks grand, classical simplicity, *naïveté*, *ingénuité*, *candeur*. His philosophy has no analogy with Grecian architecture, which presents large simple proportions revealing themselves at once to the glance; on the contrary, it reminds us strongly of the Gothic style of building. For a purely individual characteristic of Kant’s mind is a remarkable love of *symmetry*, which delights in a varied multiplicity, so that it may reduce it to order, and repeat this order in subordinate orders, and so on indefinitely, just as happens in Gothic churches. Indeed, he sometimes carries this to the extent of trifling, and from love of this tendency he goes so far as to do open violence to truth, and to deal with it as Nature was dealt with by the old-fashioned gardeners, whose work we see in symmetrical alleys, squares, and triangles, trees shaped like pyramids and spheres, and hedges winding in regular curves. I will support this with facts.

After he has treated space and time isolated from everything else, and has then dismissed this whole world of perception which fills space and time, and in which we live and are, with the meaningless words “the empirical content of perception is given us,” he immediately arrives with one spring at *the logical basis of his whole philosophy, the table of*

*judgments*. From this table he deduces an exact dozen of categories, symmetrically arranged under four heads, which afterwards become the fearful procrustean bed into which he violently forces all things in the world and all that goes on in man, shrinking from no violence and disdaining no sophistry if only he is able to repeat everywhere the symmetry of that table. The first that is symmetrically deduced from it is the pure physiological table of the general principles of natural science — the axioms of intuition, anticipations of perception, analogies of experience, and postulates of empirical thought in general. Of these fundamental principles, the first two are simple; but each of the last two sends out symmetrically three shoots. The mere categories were what he calls *conceptions*; but these principles of natural science are *judgments*. In accordance with his highest guide to all wisdom, symmetry, the series must now prove itself fruitful in the syllogisms, and this, indeed, is done symmetrically and regularly. For, as by the application of the categories to sensibility, experience with all its *a priori* principles arose for the understanding, so by the application of *syllogisms* to the categories, a task performed by the *reason* in accordance with its pretended principle of seeking the unconditioned, the *Ideas* of the reason arise. Now this takes place in the following manner: The three categories of relation supply to syllogistic reasoning the three only possible kinds of major premisses, and syllogistic reasoning accordingly falls into three kinds, each of which is to be regarded as an egg out of which the reason hatches an Idea; out of the categorical syllogism the Idea of the *soul*, out of the hypothetical the Idea of the *world*, and out of the disjunctive the Idea of *God*. In the second of these, the Idea of the world, the symmetry of the table of the categories now repeats itself again, for its four heads produce four theses, each of which has its antithesis as a symmetrical pendant.

We pay the tribute of our admiration to the really exceedingly acute combination which produced this elegant structure, but we shall none the less proceed to a thorough examination of its foundation and its parts. But the following remarks must come first.

It is astonishing how Kant, without further reflection, pursues his way, following his symmetry, ordering everything in accordance with it, without ever taking one of the subjects so handled into consideration on its own account. I will explain myself more fully. After he has considered intuitive

knowledge in a mathematical reference only, he neglects altogether the rest of knowledge of perception in which the world lies before us, and confines himself entirely to abstract thinking, although this receives the whole of its significance and value from the world of perception alone, which is infinitely more significant, generally present, and rich in content than the abstract part of our knowledge. Indeed, and this is an important point, he has nowhere clearly distinguished perception from abstract knowledge, and just on this account, as we shall afterwards see, he becomes involved in irresolvable contradictions with himself. After he has disposed of the whole sensible world with the meaningless “it is given,” he makes, as we have said, the logical table of judgments the foundation-stone of his building. But here again he does not reflect for a moment upon that which really lies before him. These forms of judgment are indeed *words* and *combinations of words*; yet it ought first to have been asked what these directly denote: it would have been found that they denote *conceptions*. The next question would then have been as to the nature of *conceptions*. It would have appeared from the answer what relation these have to the ideas of perception in which the world exists; for perception and reflection would have been distinguished. It would now have become necessary to examine, not merely how pure and merely formal intuition or perception *a priori*, but also how its content, the empirical perception, comes into consciousness. But then it would have become apparent what part the *understanding* has in this, and thus also in general what the *understanding* is, and, on the other hand, what the *reason* properly is, the critique of which is being written. It is most remarkable that he does not once properly and adequately define the latter, but merely gives incidentally, and as the context in each case demands, incomplete and inaccurate explanations of it, in direct contradiction to the rule of Descartes given above.<sup>4</sup> For example, at p. 11; V. 24, of the “Critique of Pure Reason,” it is the faculty of principles *a priori*; but at p. 299; V. 356, it is said that reason is the faculty of *principles*, and it is opposed to the understanding, which is the faculty of *rules*! One would now think that there must be a very wide difference between principles and rules, since it entitles us to assume a special faculty of knowledge for each of them. But this great distinction is made to lie merely in this, that what is known *a priori* through pure perception or through the forms of the understanding is a rule, and only what results from mere conceptions is a principle. We shall return to this arbitrary and inadmissible distinction later,

when we come to the Dialectic. On p. 330; V. 386, reason is the faculty of inference; mere judging (p. 69; V. 94) he often explains as the work of the understanding. Now, this really amounts to saying: Judging is the work of the understanding so long as the ground of the judgment is empirical, transcendental, or metalogical (Essay on the Principle of Sufficient Reason, § 31, 32, 33); but if it is logical, as is the case with the syllogism, then we are here concerned with a quite special and much more important faculty of knowledge — the reason. Nay, what is more, on p. 303; V. 360, it is explained that what follows directly from a proposition is still a matter of the understanding, and that only those conclusions which are arrived at by the use of a mediating conception are the work of the reason, and the example given is this: From the proposition, “All men are mortal,” the inference, “Some mortals are men,” may be drawn by the mere understanding. On the other hand, to draw the conclusion, “All the learned are mortal,” demands an entirely different and far more important faculty — the reason. How was it possible for a great thinker to write the like of this! On p. 553; V. 581, reason is all at once the constant condition of all voluntary action. On p. 614; V. 642, it consists in the fact that we can give an account of our assertions; on pp. 643, 644; V. 671, 672, in the circumstance that it brings unity into the conceptions of the understanding by means of Ideas, as the understanding brings unity into the multiplicity of objects by means of conceptions. On p. 646; V. 674, it is nothing else than the faculty which deduces the particular from the general.

The understanding also is constantly being explained anew. In seven passages of the “Critique of Pure Reason” it is explained in the following terms. On p. 51; V. 75, it is the faculty which of itself produces ideas of perception. On p. 69; V. 94, it is the faculty of judging, *i.e.*, of thinking, *i.e.*, of knowing through conceptions. On p. 137 of the fifth edition, it is the faculty of knowledge generally. On p. 132; V. 171, it is the faculty of rules. On p. 158; V. 197, however, it is said: “It is not only the faculty of rules, but the source of principles (*Grundsätze*) according to which everything comes under rules;” and yet above it was opposed to the reason because the latter alone was the faculty of principles (*Principien*). On p. 160; V. 199, the understanding is the faculty of conceptions; but on p. 302; V. 359, it is the faculty of the unity of phenomena by means of rules.

Against such really confused and groundless language on the subject (even though it comes from Kant) I shall have no need to defend the

explanation which I have given of these two faculties of knowledge — an explanation which is fixed, clearly defined, definite, simple, and in full agreement with the language of all nations and all ages. I have only quoted this language as a proof of my charge that Kant follows his symmetrical, logical system without sufficiently reflecting upon the subject he is thus handling.

Now, as I have said above, if Kant had seriously examined how far two such different faculties of knowledge, one of which is the specific difference of man, may be known, and what, in accordance with the language of all nations and all philosophers, reason and understanding are, he would never, without further authority than the *intellectus theoreticus* and *practicus* of the Schoolmen, which is used in an entirely different sense, have divided the reason into theoretical and practical, and made the latter the source of virtuous conduct. In the same way, before Kant separated so carefully conceptions of the understanding (by which he sometimes means his categories, sometimes all general conceptions) and conceptions of the reason (his so-called Ideas), and made them both the material of his philosophy, which for the most part deals only with the validity, application, and origin of all these conceptions; — first, I say, he ought to have really examined what in general a *conception* is. But this very necessary investigation has unfortunately been also neglected, and has contributed much to the irremediable confusion of intuitive and abstract knowledge which I shall soon refer to. The same want of adequate reflection with which he passed over the questions: what is perception? what is reflection? what is conception? what is reason? what is understanding? allowed him to pass over the following investigations, which were just as inevitably necessary: what is it that I call the *object*, which I distinguish from the *idea*? what is existence? what is object? what is subject? what is truth, illusion, error? But he follows his logical schema and his symmetry without reflecting or looking about him. The table of judgments ought to, and must, be the key to all wisdom.

I have given it above as the chief merit of Kant that he distinguished the phenomenon from the thing in itself, explained the whole visible world as phenomenon, and therefore denied all validity to its laws beyond the phenomenon. It is certainly remarkable that he did not deduce this merely relative existence of the phenomenon from the simple undeniable truth



which lay so near him, "*No object without a subject*," in order thus at the very root to show that the object, because it always exists merely in relation to a subject, is dependent upon it, conditioned by it, and therefore conditioned as mere phenomenon, which does not exist in itself nor unconditioned. Berkeley, to whose merits Kant did not do justice, had already made this important principle the foundation-stone of his philosophy, and thereby established an immortal reputation. Yet he himself did not draw the proper conclusions from this principle, and so he was both misunderstood and insufficiently attended to. In my first edition I explained Kant's avoidance of this Berkeleian principle as arising from an evident shrinking from decided idealism; while, on the other hand, I found idealism distinctly expressed in many passages of the "*Critique of Pure Reason*," and accordingly I charged Kant with contradicting himself. And this charge was well founded, if, as was then my case, one only knew the "*Critique of Pure Reason*" in the second or any of the five subsequent editions printed from it. But when later I read Kant's great work in the first edition, which is already so rare, I saw, to my great pleasure, all these contradictions disappear, and found that although Kant does not use the formula, "*No object without a subject*," he yet explains, with just as much decision as Berkeley and I do, the outer world lying before us in space and time as the mere idea of the subject that knows it. Therefore, for example, he says there without reserve (p. 383): "If I take away the thinking subject, the whole material world must disappear, for it is nothing but a phenomenon in the sensibility of our subject, and a class of its ideas." But the whole passage from p. 348-392, in which Kant expounded his pronounced idealism with peculiar beauty and clearness, was suppressed by him in the second edition, and instead of it a number of remarks controverting it were introduced. In this way then the text of the "*Critique of Pure Reason*," as it has circulated from the year 1787 to the year 1838, was disfigured and spoilt, and it became a self-contradictory book, the sense of which could not therefore be thoroughly clear and comprehensible to any one. The particulars about this, and also my conjectures as to the reasons and the weaknesses which may have influenced Kant so to disfigure his immortal work, I have given in a letter to Professor Rosenkranz, and he has quoted the principal passage of it in his preface to the second volume of the edition of Kant's collected works edited by him, to which I therefore refer. In consequence of my representations, Professor Rosenkranz was induced in the year 1838 to restore the "*Critique*

of Pure Reason” to its original form, for in the second volume referred to he had it printed according to the *first* edition of 1781, by which he has rendered an inestimable service to philosophy; indeed, he has perhaps saved from destruction the most important work of German literature; and this should always be remembered to his credit. But let no one imagine that he knows the “Critique of Pure Reason” and has a distinct conception of Kant’s teaching if he has only read the second or one of the later editions. That is altogether impossible, for he has only read a mutilated, spoilt, and to a certain extent ungenuine text. It is my duty to say this here decidedly and for every one’s warning.

Yet the way in which Kant introduces the *thing in itself* stands in undeniable contradiction with the distinctly idealistic point of view so clearly expressed in the first edition of the “Critique of Pure Reason,” and without doubt this is the chief reason why, in the second edition, he suppressed the principal idealistic passage we have referred to, and directly declared himself opposed to the Berkeleian idealism, though by doing so he only introduced inconsistencies into his work, without being able to remedy its principal defect. This defect, as is known, is the introduction of the *thing in itself* in the way chosen by him, the inadmissibility of which was exposed at length by G. E. Schulze in “*Ænesidemus*,” and was soon recognised as the untenable point of his system. The matter may be made clear in a very few words. Kant based the assumption of the thing in itself, though concealed under various modes of expression, upon an inference from the law of causality — an inference that the empirical perception, or more accurately the *sensation*, in our organs of sense, from which it proceeds, must have an external cause. But according to his own account, which is correct, the law of causality is known to us *a priori*, consequently is a function of our intellect, and is thus of *subjective* origin; further, sensation itself, to which we here apply the law of causality, is undeniably *subjective*; and finally, even space, in which, by means of this application, we place the cause of this sensation as object, is a form of our intellect given *a priori*, and is consequently *subjective*. Therefore the whole empirical perception remains always upon a *subjective* foundation, as a mere process in us, and nothing entirely different from it and independent of it can be brought in as a *thing in itself*, or shown to be a necessary assumption. The empirical perception actually is and remains merely our idea: it is the world as idea. An inner nature of this we can only arrive at on

the entirely different path followed by me, by means of calling in the aid of self-consciousness, which proclaims the will as the inner nature of our own phenomenon; but then the thing in itself will be one which is *toto genere* different from the idea and its elements, as I have explained.

The great defect of the Kantian system in this point, which, as has been said, was soon pointed out, is an illustration of the truth of the beautiful Indian proverb: "No lotus without a stem." The erroneous deduction of the thing in itself is here the stem; yet only the method of the deduction, not the recognition of a thing in itself belonging to the given phenomenon. But this last was Fichte's misunderstanding of it, which could only happen because he was not concerned with truth, but with making a sensation for the furtherance of his individual ends. Accordingly he was bold and thoughtless enough to deny the thing in itself altogether, and to set up a system in which, not, as with Kant, the mere form of the idea, but also the matter, its whole content, was professedly deduced *a priori* from the subject. In doing this, he counted with perfect correctness upon the want of judgment and the stupidity of the public, which accepted miserable sophisms, mere hocus-pocus and senseless babble, for proofs; so that he succeeded in turning its attention from Kant to himself, and gave the direction to German philosophy in which it was afterwards carried further by Schelling, and ultimately reached its goal in the mad sophistry of Hegel.

I now return to the great mistake of Kant, already touched on above, that he has not properly separated perceptible and abstract knowledge, whereby an inextricable confusion has arisen which we have now to consider more closely. If he had sharply separated ideas of perception from conceptions merely thought *in abstracto*, he would have held these two apart, and in every case would have known with which of the two he had to do. This, however, was unfortunately not the case, although this accusation has not yet been openly made, and may thus perhaps be unexpected. His "object of experience," of which he is constantly speaking, the proper object of the categories, is not the idea of perception; neither is it the abstract conception, but it is different from both, and yet both at once, and is a perfect chimera. For, incredible as it may seem, he lacked either the wisdom or the honesty to come to an understanding with himself about this, and to explain distinctly to himself and others whether his "object of experience, *i.e.*, the knowledge produced by the application of the categories," is the idea of perception in space and time (my first class of ideas), or merely the abstract

conception. Strange as it is, there always runs in his mind something between the two, and hence arises the unfortunate confusion which I must now bring to light. For this end I must go through the whole theory of elements in a general way.

The “Transcendental Æsthetic” is a work of such extraordinary merit that it alone would have been sufficient to immortalise the name of Kant. Its proofs carry such perfect conviction, that I number its propositions among incontestable truths, and without doubt they are also among those that are richest in results, and are, therefore, to be regarded as the rarest thing in the world, a real and great discovery in metaphysics. The fact, strictly proved by him, that a part of our knowledge is known to us *a priori*, admits of no other explanation than that this constitutes the forms of our intellect; indeed, this is less an explanation than merely the distinct expression of the fact itself. For *a priori* means nothing else than “not gained on the path of experience, thus not come into us from without.” But what is present in the intellect, and has not come from without, is just what belongs originally to the intellect itself, its own nature. Now if what is thus present in the intellect itself consists of the general mode or manner in which it must present all its objects to itself, this is just saying that what is thus present is the intellect’s forms of knowing, *i.e.*, the mode, fixed once for all, in which it fulfils this its function. Accordingly, “knowledge *a priori*” and “the intellect’s own forms” are at bottom only two expressions for the same things thus to a certain extent synonyms.

Therefore from the doctrine of the Transcendental Æsthetic I knew of nothing to take away, only of something to add. Kant did not carry out his thought to the end, especially in this respect, that he did not reject Euclid’s whole method of demonstration, even after having said on p. 87; V. 120, that all geometrical knowledge has direct evidence from perception. It is most remarkable that one of Kant’s opponents, and indeed the acutest of them, G. E. Schulze (*Kritik der theoretischen Philosophie*, ii. 241), draws the conclusion that from his doctrine an entirely different treatment of geometry from that which is actually in use would arise; and thus he thought to bring an apagogical argument against Kant, but, in fact, without knowing it, he only began the war against the method of Euclid. Let me refer to § 15 of the first book of this work.

After the full exposition of the universal *forms* of perception given in the Transcendental Aesthetic, one necessarily expects to receive some explanation as to its *content*, as to the way in which the *empirical* perception comes into our consciousness, how the knowledge of this whole world, which is for us so real and so important, arises in us. But the whole teaching of Kant contains really nothing more about this than the oft-repeated meaningless expression: "The empirical element in perception is *given* from without." Consequently here also from the *pure forms of perception* Kant arrives with one spring at *thinking* at the *Transcendental Logic*. Just at the beginning of the Transcendental Logic (Critique of Pure Reason, p. 50; V. 74), where Kant cannot avoid touching upon the content of the empirical perception, he takes the first false step; he is guilty of the *πρωτον ψευδος*. "Our knowledge," he says, "has two sources, receptivity of impressions and spontaneity of conceptions: the first is the capacity for receiving ideas, the second that of knowing an object through these ideas: through the first an *object* is given us, through the second it is thought." This is false; for according to it the *impression*, for which alone we have mere receptivity, which thus comes from without and alone is properly "given," would be already an *idea*, and indeed an *object*. But it is nothing more than a mere *sensation* in the organ of sense, and only by the application of the *understanding* (i.e., of the law of causality) and the forms of perception, space and time, does our *intellect* change this mere *sensation* into an *idea*, which now exists as an object in space and time, and cannot be distinguished from the latter (the object) except in so far as we ask after the thing in itself, but apart from this is identical with it. I have explained this point fully in the essay on the principle of sufficient reason, § 21. With this, however, the work of the understanding and of the faculty of perception is completed, and no conceptions and no thinking are required in addition; therefore the brute also has these *ideas*. If conceptions are added, if thinking is added, to which spontaneity may certainly be attributed, then knowledge of *perception* is entirely abandoned, and a completely different class of ideas comes into consciousness, non-perceptible abstract conceptions. This is the activity of the *reason*, which yet obtains the whole content of its thinking only from the previous perception, and the comparison of it with other perceptions and conceptions. But thus Kant brings thinking into the perception, and lays the foundation for the inextricable confusion of

intuitive and abstract knowledge which I am now engaged in condemning. He allows the perception, taken by itself, to be without understanding, purely sensuous, and thus quite passive, and only through thinking (category of the understanding) does he allow an *object* to be apprehended: thus he brings *thought into the perception*. But then, again, the object of thinking is an individual real object; and in this way thinking loses its essential character of universality and abstraction, and instead of general conceptions receives individual things as its object: thus again he *brings perception into thinking*. From this springs the inextricable confusion referred to, and the consequences of this first false step extend over his whole theory of knowledge. Through the whole of his theory the utter confusion of the idea of perception with the abstract idea tends towards a something between the two which he expounds as the object of knowledge through the understanding and its categories, and calls this knowledge *experience*. It is hard to believe that Kant really figured to himself something fully determined and really distinct in this object of the understanding; I shall now prove this through the tremendous contradiction which runs through the whole Transcendental Logic, and is the real source of the obscurity in which it is involved.

In the “Critique of Pure Reason,” p. 67-69; V. 92-94; p. 89, 90; V. 122, 123; further, V. 135, 139, 153, he repeats and insists: the understanding is no faculty of perception, its knowledge is not intuitive but discursive; the understanding is the faculty of judging (p. 69; V. 94), and a judgment is indirect knowledge, an idea of an idea (p. 68; V. 93); the understanding is the faculty of thinking, and thinking is knowledge through conceptions (p. 69; V. 94); the categories of the understanding are by no means the conditions under which objects are given in perception (p. 89; V. 122), and perception in no way requires the functions of thinking (p. 91; V. 123); our understanding can only think, not perceive (V. pp. 135, 139). Further, in the “Prolegomena,” § 20, he says that perception, sensation, *perceptio*, belongs merely to the senses; judgment to the understanding alone; and in § 22, that the work of the senses is to perceive, that of the understanding to think, *i.e.*, to judge. Finally, in the “Critique of Practical Reason,” fourth edition, p. 247; Rosenkranz’s edition, p. 281, he says that the understanding is discursive; its ideas are thoughts, not perceptions. All this is in Kant’s own words.

From this it follows that this perceptible world would exist for us even if we had no understanding at all; that it comes into our head in a quite inexplicable manner, which he constantly indicates by his strange expression the perception is *given*, without ever explaining this indefinite and metaphorical expression further.

Now all that has been quoted is contradicted in the most glaring manner by the whole of the rest of his doctrine of the understanding, of its categories, and of the possibility of experience as he explains it in the Transcendental Logic. Thus (Critique of Pure Reason, p. 79; V. 105), the understanding through its categories brings unity into the manifold of *perception*, and the pure conceptions of the understanding refer *a priori* to objects of *perception*. P. 94; V. 126, the “categories are the condition of experience, whether of perception, which is found in it, or of thought.” V. p. 127, the understanding is the originator of experience. V. p. 128, the categories determine the *perception* of objects. V. p. 130, all that we present to ourselves as connected in the object (which is yet certainly something perceptible and not an abstraction), has been so connected by an act of the understanding. V. p. 135, the understanding is explained anew as the faculty of combining *a priori*, and of bringing the multiplicity of given ideas under the unity of apperception; but according to all ordinary use of words, apperception is not the thinking of a conception, but is *perception*. V. p. 136, we find a first principle of the possibility of all perception in connection with the understanding. V. p. 143, it stands as the heading, that all sense perception is conditioned by the categories. At the same place the *logical function of the judgment* also brings the manifold of given perceptions under an apperception in general, and the manifold of a given perception stands necessarily under the categories. V. p. 144, unity comes into perception, by means of the categories, through the understanding. V. p. 145, the thinking of the understanding is very strangely explained as synthetically combining, connecting, and arranging the manifold of perception. V. p. 161, experience is only possible through the categories, and consists in the connection of *sensations*, which, however, are just perceptions. V. p. 159, the categories are *a priori* knowledge of the objects of perception in general. Further, here and at V. p. 163 and 165, a chief doctrine of Kant’s is given, this: *that the understanding first makes Nature possible*, because it prescribes laws for it *a priori*, and Nature adapts itself to the system of the understanding, and so on. Nature, however, is certainly

perceptible and not an abstraction; therefore, the understanding must be a faculty of perception. V. p. 168, it is said, the conceptions of the understanding are the principles of the possibility of experience, and the latter is the condition of phenomena in space and time in general; phenomena which, however, certainly exist in perception. Finally, p. 189-211; V. 232-265, the long proof is given (the incorrectness of which is shown in detail in my essay on the principle of sufficient reason, § 23) that the objective succession and also the coexistence of objects of experience are not sensuously apprehended, but are only brought into Nature by the understanding, and that Nature itself first becomes possible in this way. Yet it is certain that Nature, the course of events, and the coexistence of states, is purely perceptible, and no mere abstract thought.

I challenge every one who shares my respect towards Kant to reconcile these contradictions and to show that in his doctrine of the object of experience and the way it is determined by the activity of the understanding and its twelve functions, Kant thought something quite distinct and definite. I am convinced that the contradiction I have pointed out, which extends through the whole Transcendental Logic, is the real reason of the great obscurity of its language. Kant himself, in fact, was dimly conscious of the contradiction, inwardly combated it, but yet either would not or could not bring it to distinct consciousness, and therefore veiled it from himself and others, and avoided it by all kinds of subterfuges. This is perhaps also the reason why he made out of the faculties of knowledge such a strange complicated machine, with so many wheels, as the twelve categories, the transcendental synthesis of imagination, of the inner sense, of the transcendental unity of apperception, also the schematism of the pure conceptions of the understanding, &c., &c. And notwithstanding this great apparatus, not even an attempt is made to explain the perception of the external world, which is after all the principal fact in our knowledge; but this pressing claim is very meanly rejected, always through the same meaningless metaphorical expression: "The empirical perception is given us." On p. 145 of the fifth edition, we learn further that the perception is given through the object; therefore the object must be something different from the perception.

If, now, we endeavour to investigate Kant's inmost meaning, not clearly expressed by himself, we find that in reality such an object, different from the perception, but which is by no means a conception, is for him the proper



object for the understanding; indeed that it must be by means of the strange assumption of such an object, which cannot be presented in perception, that the perception first becomes experience. I believe that an old deeply-rooted prejudice in Kant, dead to all investigation, is the ultimate reason of the assumption of such an *absolute object*, which is an object in itself, *i.e.*, without a subject. It is certainly not the *perceived object*, but through the conception it is added to the perception by thought, as something corresponding to it; and now the perception is experience, and has value and truth, which it thus only receives through the relation to a conception (in diametrical opposition to my exposition, according to which the conception only receives value and truth from the perception). It is then the proper function of the categories to add on in thought to the perception this directly non-perceptible object. "The object is given only through perception, and is afterwards thought in accordance with the category" (Critique of Pure Reason, first edition, p. 399). This is made specially clear by a passage on p. 125 of the fifth edition: "Now the question arises whether conceptions *a priori* do not also come first as conditions under which alone a thing can be, not perceived certainly, but yet *thought* as an *object* in general," which he answers in the affirmative. Here the source of the error and the confusion in which it is involved shows itself distinctly. For the *object* as such exists always only for *perception* and in it; it may now be completed through the senses, or, when it is absent, through the imagination. What is thought, on the contrary, is always an universal non-perceptible *conception*, which certainly can be the conception of an object in general; but only indirectly by means of conceptions does thought relate itself to *objects*, which always are and remain *perceptible*. For our thinking is not able to impart reality to perceptions; this they have, so far as they are capable of it (empirical reality) of themselves; but it serves to bring together the common element and the results of perceptions, in order to preserve them, and to be able to use them more easily. But Kant ascribes the objects themselves to thought, in order to make experience and the objective world dependent upon *understanding*, yet without allowing understanding to be a faculty of *perception*. In this relation he certainly distinguishes perception from thought, but he makes particular things sometimes the object of perception and sometimes the object of thought. In reality, however, they are only the object of the former; our empirical perception is at once *objective*, just because it proceeds from the causal nexus. Things, not ideas

different from them, are directly its object. Particular things as such are perceived in the understanding and through the senses; the one-sided impression upon the latter is at once completed by the imagination. But, on the contrary, as soon as we pass over to thought, we leave the particular things, and have to do with general conceptions, which cannot be presented in perception, although we afterwards apply the results of our thought to particular things. If we hold firmly to this, the inadmissibility of the assumption becomes evident that the perception of things only obtains reality and becomes experience through the thought of these very things applying its twelve categories. Rather in perception itself the empirical reality, and consequently experience, is already given; but the perception itself can only come into existence by the application to sensation of the knowledge of the causal nexus, which is the one function of the understanding. Perception is accordingly in reality intellectual, which is just what Kant denies.

Besides in the passages quoted, the assumption of Kant here criticised will be found expressed with admirable clearness in the “Critique of Judgment,” § 36, just at the beginning; also in the “Metaphysical Principles of Natural Science,” in the note to the first explanation of “Phenomenology.” But with a *naïveté* which Kant ventured upon least of all with reference to this doubtful point, it is to be found most distinctly laid down in the book of a Kantian, Kiesewetter’s “*Grundriss einer allgemeinen Logik*,” third edition, part i., p. 434 of the exposition, and part ii., § 52 and 53 of the exposition; similarly in Tieftrunk’s “*Denklehre in rein Deutschem Gewande*” (1825). It there appears so clearly how those disciples who do not themselves think become a magnifying mirror of the errors of every thinker. Once having determined his doctrine of the categories, Kant was always cautious when expounding it, but his disciples on the contrary were quite bold, and thus exposed its falseness.

According to what has been said, the object of the categories is for Kant, not indeed the thing in itself, but yet most closely akin to it. It is the *object in itself*; it is an object that requires no subject; it is a particular thing, and yet not in space and time, because not perceptible; it is an object of thought, and yet not an abstract conception. Accordingly Kant really makes a triple division: (1.) the idea; (2.) the object of the idea; (3.) the thing in itself. The first belongs to the sensibility, which in its case, as in that of sensation, includes the pure forms of perception, space and time. The second belongs

to the understanding, which thinks it through its twelve categories. The third lies beyond the possibility of all knowledge. (In support of this, *cf.* Critique of Pure Reason, first edition, p. 108 and 109.) The distinction of the idea from the object of the idea is however unfounded; this had already been proved by Berkeley, and it appears from my whole exposition in the first book, especially chap. i. of the supplements; nay, even from Kant's own completely idealistic point of view in the first edition. But if we should not wish to count the object of the idea as belonging to the idea and identify it with the idea, it would be necessary to attribute it to the thing in itself: this ultimately depends on the sense which is attached to the word object. This, however, always remains certain, that, when we think clearly, nothing more can be found than idea and thing in itself. The illicit introduction of that hybrid, the object of the idea, is the source of Kant's errors; yet when it is taken away, the doctrine of the categories as conceptions *a priori* also falls to the ground; for they bring nothing to the perception, and are not supposed to hold good of the thing in itself, but by means of them we only think those "objects of the ideas," and thereby change ideas into experience. For every empirical perception is already experience; but every perception which proceeds from sensation is empirical: this sensation is related by the understanding, by means of its sole function (knowledge *a priori* of the law of causality), to its cause, which just on this account presents itself in space and time (forms of pure perception) as object of experience, material object, enduring in space through all time, yet as such always remains idea, as do space and time themselves. If we desire to go beyond this idea, then we arrive at the question as to the thing in itself, the answer to which is the theme of my whole work, as of all metaphysics in general. Kant's error here explained is connected with his mistake, which we condemned before, that he gives no theory of the origin of empirical perception, but, without saying more, treats it as *given*, identifying it with the mere sensation, to which he only adds the forms of intuition or perception, space and time, comprehending both under the name sensibility. But from these materials no objective idea arises: this absolutely demands the relation of the idea to its cause, thus the application of the law of causality, and thus understanding; for without this the sensation still remains always subjective, and does not take the form of an object in space, even if space is given with it. But according to Kant, the understanding must not be assigned to perception; it is supposed merely to *think*, so as to remain within the

transcendental logic. With this again is connected another mistake of Kant's: that he left it to me to adduce the only valid proof of the *a priori* nature of the law of causality which he rightly recognised, the proof from the possibility of objective empirical perception itself, and instead of it gives a palpably false one, as I have already shown in my essay on the principle of sufficient reason, § 23. From the above it is clear that Kant's "object of the idea" (2) is made up of what he has stolen partly from the idea (1), and partly from the thing in itself (3). If, in reality, experience were only brought about by the understanding applying its twelve different functions in order to *think* through as many conceptions *a priori*, the objects which were previously merely perceived, then every real thing would necessarily as such have a number of determinations, which, as given *a priori*, absolutely could not be thought away, just like space and time, but would belong quite essentially to the existence of the thing, and yet could not be deduced from the properties of space and time. But only one such determination is to be found — that of causality. Upon this rests materiality, for the essence of matter consists in action, and it is through and through causality (*cf.* Bk. II. ch. iv.) But it is materiality alone that distinguishes the real thing from the picture of the imagination, which is then only idea. For matter, as permanent, gives to the thing permanence through all time, in respect of its matter, while the forms change in conformity with causality. Everything else in the thing consists either of determinations of space or of time, or of its empirical properties, which are all referable to its activity, and are thus fuller determinations of causality. But causality enters already as a condition into the empirical perception, and this is accordingly a thing of the understanding, which makes even perception possible, and yet apart from the law of causality contributes nothing to experience and its possibility. What fills the old ontologies is, with the exception of what is given here, nothing more than relations of things to each other, or to our reflection, and a farrago of nonsense.

The language in which the doctrine of the categories is expressed affords an evidence of its baselessness. What a difference in this respect between the Transcendental *Æsthetic* and the Transcendental *Analytic*! In the former, what clearness, definiteness, certainty, firm conviction which is freely expressed and infallibly communicates itself! All is full of light, no dark lurking-places are left: Kant knows what he wants and knows that he is right. In the latter, on the other hand, all is obscure, confused, indefinite,

wavering, uncertain, the language anxious, full of excuses and appeals to what is coming, or indeed of suppression. Moreover, the whole second and third sections of the Deduction of the Pure Conceptions of the Understanding are completely changed in the second edition, because they did not satisfy Kant himself, and they have become quite different from the first edition, though not clearer. We actually see Kant in conflict with the truth in order to carry out his hypothesis which he has once fixed upon. In the Transcendental *Æsthetic* all his propositions are really proved from undeniable facts of consciousness, in the Transcendental Analytic, on the contrary, we find, if we consider it closely, mere assertions that thus it is and must be. Here, then, as everywhere, the language bears the stamp of the thought from which it has proceeded, for style is the physiognomy of the mind. We have still to remark, that whenever Kant wishes to give an example for the purpose of fuller explanation, he almost always takes for this end the category of causality, and then what he has said turns out correct; for the law of causality is indeed the real form of the understanding, but it is also its only form, and the remaining eleven categories are merely blind windows. The deduction of the categories is simpler and less involved in the first edition than in the second. He labours to explain how, according to the perception given by sensibility, the understanding produces experience by means of thinking the categories. In doing so, the words recognition, reproduction, association, apprehension, transcendental unity of apperception, are repeated to weariness, and yet no distinctness is attained. It is well worth noticing, however, that in this explanation he does not once touch upon what must nevertheless first occur to every one — the relation of the sensation to its external cause. If he did not intend this relation to hold good, he ought to have expressly denied it; but neither does he do this. Thus in this way he evades the point, and all the Kantians have in like manner evaded it. The secret motive of this is, that he reserves the causal nexus, under the name “ground of the phenomenon,” for his false deduction of the thing in itself; and also that perception would become intellectual through the relation to the cause, which he dare not admit. Besides this, he seems to have been afraid that if the causal nexus were allowed to hold good between sensation and object, the latter would at once become the thing in itself, and introduce the empiricism of Locke. But this difficulty is removed by reflection, which shows us that the law of causality is of subjective origin, as well as the sensation itself; and besides this, our

own body also, inasmuch as it appears in space, already belongs to ideas. But Kant was hindered from confessing this by his fear of the Berkeleian idealism.

“The combination of the manifold of perception” is repeatedly given as the essential operation of the understanding, by means of its twelve categories. Yet this is never adequately explained, nor is it shown what this manifold of perception is before it is combined by the understanding. But time and space, the latter in all its three dimensions, are *continua*, *i.e.*, all their parts are originally not separate but combined. Thus, then, everything that exhibits itself in them (is given) appears originally as a *continuum*, *i.e.*, its parts appear already combined and require no adventitious combination of a manifold. If, however, some one should seek to interpret that combining of the manifold of perception by saying that I refer the different sense-impressions of one object to this one only — thus, for example, perceiving a bell, I recognise that what affects my eye as yellow, my hand as smooth and hard, my ear as sounding, is yet only one and the same body, — then I reply that this is rather a consequence of the knowledge *a priori* of the causal nexus (this actual and only function of the understanding), by virtue of which all those different effects upon my different organs of sense yet lead me only to one common cause of them, the nature of the body standing before me, so that my understanding, in spite of the difference and multiplicity of the effects, still apprehends the unity of the cause as a single object, which just on that account exhibits itself in perception. In the beautiful recapitulation of his doctrine which Kant gives at p. 719-726 or V. 747-754 of the “Critique of Pure Reason,” he explains the categories, perhaps more distinctly than anywhere else, as “the mere rule of the synthesis of that which empirical apprehension has given *a posteriori*.” It seems as if here he had something in his mind, such as that, in the construction of the triangle, the angles give the rule for the composition of the lines; at least by this image one can best explain to oneself what he says of the function of the categories. The preface to the “Metaphysical First Principles of Natural Science” contains a long note which likewise gives an explanation of the categories, and says that they “differ in no respect from the formal acts of the understanding in judging,” except that in the latter subject and predicate can always change places; then the judgment in general is defined in the same passage as “an act through which given ideas first become knowledge of an object.” According to this, the brutes, since

they do not judge, must also have no knowledge of objects. In general, according to Kant, there are only conceptions of objects, no perceptions. I, on the contrary, say: Objects exist primarily only for perception, and conceptions are always abstractions from this perception. Therefore abstract thinking must be conducted exactly according to the world present in perception, for it is only their relation to this that gives content to conceptions; and we must assume for the conceptions no other *a priori* determined form than the faculty of reflection in general, the nature of which is the construction of conceptions, *i.e.*, of abstract non-perceptible ideas, which constitutes the sole function of the *reason*, as I have shown in the first book. I therefore require that we should reject eleven of the categories, and only retain that of causality, and yet that we should see clearly that its activity is indeed the condition of empirical perception, which accordingly is not merely sensuous but intellectual, and that the object so perceived, the object of experience, is one with the idea, from which there remains nothing to distinguish except the thing in itself.

After repeated study of the “Critique of Pure Reason” at different periods of my life, a conviction has forced itself upon me with regard to the origin of the Transcendental Logic, which I now impart as very helpful to an understanding of it. Kant’s only discovery, which is based upon objective comprehension and the highest human thought, is the *apperçu* that time and space are known by us *a priori*. Gratified by this happy hit, he wished to pursue the same vein further, and his love of architectonic symmetry afforded him the clue. As he had found that a pure intuition or perception *a priori* underlay the empirical *perception* as its condition, he thought that in the same way certain *pure conceptions* as presuppositions in our faculty of knowledge must lie at the foundation of the empirically obtained *conceptions*, and that real empirical thought must be only possible through a pure thought *a priori*, which, however, would have no objects in itself, but would be obliged to take them from perception. So that as the *Transcendental Aesthetic* establishes an *a priori* basis of mathematics, there must, he supposed, also be a similar basis for logic; and thus, then for the sake of symmetry, the former received a pendant in a *Transcendental Logic*. From this point onwards Kant was no more free, no more in the position of purely, investigating and observing what is present in consciousness; but he was guided by an assumption and pursued a purpose — the purpose of finding what he assumed, in order to add to the *Transcendental Aesthetic* so

happily discovered a Transcendental Logic analogous to it, and thus symmetrically corresponding to it, as a second storey. Now for this purpose he hit upon the table of judgments, out of which he constructed, as well as he could, the table of categories, the doctrine of twelve pure *a priori* conceptions, which are supposed to be the conditions of our *thinking* those very *things* the *perception* of which is conditioned by the two *a priori* forms of sensibility: thus a *pure understanding* now corresponded symmetrically to a *pure sensibility*. Then another consideration occurred to him, which offered a means of increasing the plausibility of the thing, by the assumption of the *schematism* of the pure conceptions of the understanding. But just through this the way in which his procedure had, unconsciously indeed, originated betrayed itself most distinctly. For because he aimed at finding something *a priori* analogous to every empirical function of the faculty of knowledge, he remarked that between our empirical perception and our empirical thinking, conducted in abstract non-perceptible conceptions, a connection very frequently, though not always, takes place, because every now and then we try to go back from abstract thinking to perception; but try to do so merely in order really to convince ourselves that our abstract thought has not strayed far from the safe ground of perception, and perhaps become exaggeration, or, it may be, mere empty talk; much in the same way as, when we are walking in the dark, we stretch out our hand every now and then to the guiding wall. We go back, then, to the perception only tentatively and for the moment, by calling up in imagination a perception corresponding to the conceptions which are occupying us at the time — a perception which can yet never be quite adequate to the conception, but is merely a temporary *representative* of it. I have already adduced what is needful on this point in my essay on the principle of sufficient reason, § 28. Kant calls a fleeting phantasy of this kind a schema, in opposition to the perfected picture of the imagination. He says it is like a monogram of the imagination, and asserts that just as such a schema stands midway between our abstract thinking of empirically obtained conceptions, and our clear perception which comes to us through the senses, so there are *a priori schemata of the pure conceptions of the understanding* between the faculty of perception *a priori* of pure sensibility and the faculty of thinking *a priori* of the pure understanding (thus the categories). These schemata, as monograms of the pure imagination *a priori*, he describes one by one, and assigns to each of them its corresponding category, in the wonderful



“Chapter on the Schematism of the Pure Conceptions of the Understanding,” which is noted as exceedingly obscure, because no man has ever been able to make anything out of it. Its obscurity, however, vanishes if it is considered from the point of view here indicated, but there also comes out more clearly in it than anywhere else the intentional nature of Kant’s procedure, and of the determination formed beforehand of finding what would correspond to the analogy, and could assist the architectonic symmetry; indeed this is here the case to such a degree as to be almost comical. For when he assumes schemata of the pure (empty) *a priori* conceptions of the understanding (categories) analogous to the empirical schemata (or representatives through the fancy of our actual conceptions), he overlooks the fact that the end of such schemata is here entirely wanting, For the end of the schemata in the case of empirical (real) thinking is entirely connected with the *material content* of such conceptions. For since these conceptions are drawn from empirical perception, we assist and guide ourselves when engaged in abstract thinking by now and then casting a momentary glance back at the perception out of which the conceptions are framed, in order to assure ourselves that our thought has still real content. This, however, necessarily presupposes that the conceptions which occupy us are sprung from perception, and it is merely a glance back at their material content, indeed a mere aid to our weakness. But in the case of *a priori* conceptions which as yet have no content at all, clearly this is necessarily omitted. For these conceptions are not sprung from perception, but come to it from within, in order to receive a content first from it. Thus they have as yet nothing on which they could look back. I speak fully upon this point, because it is just this that throws light upon the secret origin of the Kantian philosophising, which accordingly consists in this, that Kant, after the happy discovery of the two forms of intuition or perception *a priori*, exerted himself, under the guidance of the analogy, to prove that for every determination of our empirical knowledge there is an *a priori* analogue, and this finally extended, in the schemata, even to a mere psychological fact. Here the apparent depth and the difficulty of the exposition just serve to conceal from the reader that its content remains a wholly undemonstrable and merely arbitrary assumption. But he who has penetrated at last to the meaning of such an exposition is then easily induced to mistake this understanding so painfully attained for a conviction of the truth of the matter. If, on the contrary, Kant had kept himself here as

unprejudiced and purely observant as in the discovery of *a priori* intuition or perception, he must have found that what is added to the pure intuition or perception of space and time, if an empirical perception arises from it, is on the one hand the sensation, and on the other hand the knowledge of causality, which changes the mere sensation into objective empirical perception, but just on this account is not first derived and learned from sensation, but exists *a priori*, and is indeed the form and function of the pure understanding. It is also, however, its sole form and function, yet one so rich in results that all our empirical knowledge rests upon it. If, as has often been said, the refutation of an error is only complete when the way it originated has been psychologically demonstrated, I believe I have achieved this, with regard to Kant's doctrine of the categories and their schemata, in what I have said above.

After Kant had thus introduced such great errors into the first simple outlines of a theory of the faculty of perception, he adopted a variety of very complicated assumptions. To these belongs first of all the synthetic unity of apperception: a very strange thing, very strangely explained. "The *I think* must be able to accompany all my ideas." Must — be able: this is a problematic-apodictic enunciation; in plain English, a proposition which takes with one hand what it gives with the other. And what is the meaning of this carefully balanced proposition? That all knowledge of ideas is thinking? That is not the case: and it would be dreadful; there would then be nothing but abstract conceptions, or at any rate a pure perception free from reflection and will, such as that of the beautiful, the deepest comprehension of the true nature of things, *i.e.*, of their Platonic Ideas. And besides, the brutes would then either think also, or else they would not even have ideas. Or is the proposition perhaps intended to mean: no object without a subject? That would be very badly expressed by it, and would come too late. If we collect Kant's utterances on the subject, we shall find that what he understands by the synthetic unity of apperception is, as it were, the extensionless centre of the sphere of all our ideas, whose radii converge to it. It is what I call the subject of knowing, the correlative of all ideas, and it is also that which I have fully described and explained in the 22d chapter of the Supplements, as the focus in which the rays of the activity of the brain converge. Therefore, to avoid repetition, I now refer to that chapter.

That I reject the whole doctrine of the categories, and reckon it among the groundless assumptions with which Kant burdened the theory of knowledge, results from the criticism given above; and also from the proof of the contradictions in the Transcendental Logic, which had their ground in the confusion of perception and abstract knowledge; also further from the proof of the want of a distinct and definite conception of the nature of the understanding and of the reason, instead of which we found in Kant's writings only incoherent, inconsistent, insufficient, and incorrect utterances with regard to these two faculties of the mind. Finally, it results from the explanations which I myself have given of these faculties of the mind in the first book and its Supplements, and more fully in the essay on the principle of sufficient reason, § 21, 26, and 34, — explanations which are very definite and distinct, which clearly follow from the consideration of the nature of our knowledge, and which completely agree with the conceptions of those two faculties of knowledge that appear in the language and writings of all ages and all nations, but were not brought to distinctness. Their defence against the very different exposition of Kant has, for the most part, been given already along with the exposure of the errors of that exposition. Since, however, the table of judgments, which Kant makes the foundation of his theory of thinking, and indeed of his whole philosophy, has, in itself, as a whole, its correctness, it is still incumbent upon me to show how these universal forms of all judgment arise in our faculty of knowledge, and to reconcile them with my exposition of it. In this discussion I shall always attach to the concepts understanding and reason the sense given them in my explanation, which I therefore assume the reader is familiar with.

An essential difference between Kant's method and that which I follow lies in this, that he starts from indirect, reflected knowledge, while I start from direct or intuitive knowledge. He may be compared to a man who measures the height of a tower by its shadow, while I am like him who applies the measuring-rule directly to the tower itself. Therefore, for him philosophy is a science *of* conceptions, but for me it is a science *in* conceptions, drawn from knowledge of perception, the one source of all evidence, and comprehended and made permanent in general conceptions. He passes over this whole world of perception which surrounds us, so multifarious and rich in significance, and confines himself to the forms of abstract thinking; and, although he never expressly says so, this procedure

is founded on the assumption that reflection is the ectype of all perception, that, therefore, all that is essential in perception must be expressed in reflection, and expressed in very contracted forms and outlines, which are thus easily surveyed. According to this, what is essential and conformable to law in abstract knowledge would, as it were, place in our hands all the threads by which the varied puppet-show of the world of perception is set in motion before our eyes. If Kant had only distinctly expressed this first principle of his method, and then followed it consistently, he would at least have been obliged to separate clearly the intuitive from the abstract, and we would not have had to contend with inextricable contradictions and confusions. But from the way in which he solves his problem we see that that fundamental principle of his method was only very indistinctly present to his mind, and thus we have still to arrive at it by conjecture even after a thorough study of his philosophy.

Now as concerns the specified method and fundamental maxim itself, there is much to be said for it, and it is a brilliant thought. The nature of all science indeed consists in this, that we comprehend the endless manifold of perceptible phenomena under comparatively few abstract conceptions, and out of these construct a system by means of which we have all those phenomena completely in the power of our knowledge, can explain the past and determine the future. The sciences, however, divide the wide sphere of phenomena among them according to the special and manifold classes of the latter. Now it was a bold and happy thought to isolate what is absolutely essential to the conceptions as such and apart from their content, in order to discover from these forms of all thought found in this way what is essential to all intuitive knowledge also, and consequently to the world as phenomenon in general; and because this would be found *a priori* on account of the necessity of those forms of thought, it would be of subjective origin, and would just lead to the ends Kant had in view. Here, however, before going further, the relation of reflection to knowledge of perception ought to have been investigated (which certainly presupposes the clear separation of the two, which was neglected by Kant). He ought to have inquired in what way the former really repeats and represents the latter, whether quite pure, or changed and to some extent disguised by being taken up into its special forms (forms of reflection); whether the form of abstract reflective knowledge becomes more determined through the form of knowledge of perception, or through the nature or constitution which

unalterably belongs to itself, *i.e.*, to reflective knowledge, so that even what is very heterogeneous in intuitive knowledge can no longer be distinguished when it has entered reflective knowledge, and conversely many distinctions of which we are conscious in the reflective method of knowledge have also sprung from this knowledge itself, and by no means point to corresponding differences in intuitive knowledge. As the result of this investigation, however, it would have appeared that knowledge of perception suffers very nearly as much change when it is taken up into reflection as food when it is taken into the animal organism whose forms and compounds are determined by itself, so that the nature of the food can no longer be recognised from the result they produce. Or (for this is going a little too far) at least it would have appeared that reflection is by no means related to knowledge of perception as the reflection in water is related to the reflected objects, but scarcely even as the mere shadow of these objects stands to the objects themselves; which shadow repeats only a few external outlines, but also unites the most manifold in the same form and presents the most diverse through the same outline; so that it is by no means possible, starting from it, to construe the forms of things with completeness and certainty.

The whole of reflective knowledge, or the reason, has only one chief form, and that is the abstract conception. It is proper to the reason itself, and has no direct necessary connection with the world of perception, which therefore exists for the brutes entirely without conceptions, and indeed, even if it were quite another world from what it is, that form of reflection would suit it just as well. But the combination of conceptions for the purpose of judging has certain definite and normal forms, which have been found by induction, and constitute the table of judgments. These forms are for the most part deducible from the nature of reflective knowledge itself, thus directly from the reason, because they spring from the four laws of thought (called by me metalogical truths) and the *dictum de omni et nullo*. Certain others of these forms, however, have their ground in the nature of knowledge of perception, thus in the understanding; yet they by no means point to a like number of special forms of the understanding, but can all be fully deduced from the sole function which the understanding has — the direct knowledge of cause and effect. Lastly, still others of these forms have sprung from the concurrence and combination of the reflective and intuitive modes of knowledge, or more properly from the assumption of the latter into the former. I shall now go through the moments of the judgment one

by one, and point out the origin of each of them in the sources referred to; and from this it follows of itself that a deduction of categories from them is wanting, and the assumption of this is just as groundless as its exposition was found to be entangled and self-conflicting.

1. The so-called *Quantity* of judgments springs from the nature of concepts as such. It thus has its ground in the reason alone, and has absolutely no direct connection with the understanding and with knowledge of perception. It is indeed, as is explained at length in the first book, essential to concepts, as such, that they should have an extent, a sphere, and the wider, less determined concept includes the narrower and more determined. The latter can therefore be separated from the former, and this may happen in two ways, — either the narrower concept may be indicated as an indefinite part of the wider concept in general, or it may be defined and completely separated by means of the addition of a special name. The judgment which carries out this operation is in the first case called a particular, and in the second case an universal judgment. For example, one and the same part of the sphere of the concept tree may be isolated through a particular and through an universal judgment, thus— “Some trees bear gall-nuts,” or “All oaks bear gall-nuts.” One sees that the difference of the two operations is very slight; indeed, that the possibility of it depends upon the richness of the language. Nevertheless, Kant has explained this difference as disclosing two fundamentally different actions, functions, categories of the pure understanding which determines experience *a priori* through them.

Finally, a concept may also be used in order to arrive by means of it at a definite particular idea of perception, from which, as well as from many others, this concept itself is drawn; this happens in the singular judgment. Such a judgment merely indicates the boundary-line between abstract knowledge and knowledge of perception, and passes directly to the latter, “This tree here bears gall-nuts.” Kant has made of this also a special category.

After all that has been said there is no need of further polemic here.

2. In the same way the *Quality* of the judgment lies entirely within the province of reason, and is not an adumbration of any law of that understanding which makes perception possible, *i.e.*, it does not point to it. The nature of abstract concepts, which is just the nature of the reason itself objectively comprehended, carries with it the possibility of uniting and

separating their spheres, as was already explained in the first book, and upon this possibility, as their presupposition, rest the universal laws of thought of identity and contradiction, to which I have given the name of *metalogical* truths, because they spring purely from the reason, and cannot be further explained. They determine that what is united must remain united, and what is separated must remain separate, thus that what is established cannot at the same time be also abolished, and thus they presuppose the possibility of the combination and separation of spheres, *i.e.*, of judgment. This, however, lies, according to its *form*, simply and solely in the reason, and this *form* has not, like the *content* of the judgments, been brought over from the perceptible knowledge of the understanding, and therefore there is no correlative or analogue of it to be looked for there. After the perception has been brought about through the understanding and for the understanding, it exists complete, subject to no doubt nor error, and therefore knows neither assertion nor denial; for it expresses itself, and has not, like the abstract knowledge of the reason, its value and content in its mere relation to something outside of it, according to the principle of the ground of knowing. It is, therefore, pure reality; all negation is foreign to its nature, can only be added on through reflection, and just on this account remains always in the province of abstract thought.

To the affirmative and negative Kant adds the infinite judgment, making use of a crotchet of the old scholastics, an ingeniously invented stop-gap, which does not even require to be explained, a blind window, such as many others he made for the sake of his architectonic symmetry.

3. Under the very wide conception of *Relation* Kant has brought three entirely different properties of judgments, which we must, therefore, examine singly, in order to recognise their origin.

(a.) The *hypothetical judgment* in general is the abstract expression of that most universal form of all our knowledge, the principle of sufficient reason. In my essay on this principle, I already showed in 1813 that it has four entirely different meanings, and in each of these originally originates in a different faculty of knowledge, and also concerns a different class of ideas. It clearly follows from this, that the source of the hypothetical judgment in general, of that universal form of thought, cannot be, as Kant wishes to make it, merely the understanding and its category of causality; but that the law of causality which, according to my exposition, is the one form of knowledge of the pure understanding, is only one of the forms of

that principle which embraces all pure or *a priori* knowledge — the principle of sufficient reason — which, on the other hand, in each of its meanings has this hypothetical form of judgment as its expression. We see here, however, very distinctly how kinds of knowledge which are quite different in their origin and significance yet appear, if thought *in abstracto* by the reason, in one and the same form of combination of concepts and judgments, and then in this form can no longer be distinguished, but, in order to distinguish them, we must go back to knowledge of perception, leaving abstract knowledge altogether. Therefore the path which was followed by Kant, starting from the point of view of abstract knowledge, to find the elements and the inmost spring of intuitive knowledge also, was quite a wrong one. For the rest, my whole introductory essay on the principle of sufficient reason is, to a certain extent, to be regarded merely as a thorough exposition of the significance of the hypothetical form of judgment; therefore I do not dwell upon it longer here.

(b.) The form of the *categorical judgment* is nothing but the form of judgment in general, in its strictest sense. For, strictly speaking, judging merely means thinking, the combination of, or the impossibility of combining, the spheres of the concepts. Therefore the hypothetical and the disjunctive combination are properly no special forms of the judgment; for they are only applied to already completed judgments, in which the combination of the concepts remains unchanged the categorical. But they again connect these judgments, for the hypothetical form expresses their dependence upon each other, and the disjunctive their incompatibility. Mere concepts, however, have only *one* class of relations to each other, those which are expressed in the categorical judgment. The fuller determination, or the sub-species of this relation, are the intersection and the complete separateness of the concept-spheres, *i.e.*, thus affirmation and negation; out of which Kant has made special categories, under quite a different title, that of *quality*. Intersection and separateness have again sub-species, according as the spheres lie within each other entirely, or only in part, a determination which constitutes the *quantity* of the judgments; out of which Kant has again made a quite special class of categories. Thus he separates what is very closely related, and even identical, the easily surveyed modifications of the one possible relation of mere concepts to each other, and, on the other hand, unites what is very different under this title of relation.



Categorical judgments have as their metalogical principle the laws of thought of identity and contradiction. But the *ground* of the connection of the concept-spheres which gives *truth* to the judgment, which is nothing but this connection, may be of very different kinds; and, according to this, the truth of the judgment is either logical, or empirical, or metaphysical, or metalogical, as is explained in the introductory essay, § 30-33, and does not require to be repeated here. But it is apparent from this how very various the direct cognitions may be, all of which exhibit themselves in the abstract, through the combination of the spheres of two concepts, as subject and predicate, and that we can by no means set up the sole function of the understanding as corresponding to them and producing them. For example, the judgments, “Water boils, the sine measures the angle, the will resolves, business distracts, distinction is difficult,” express through the same logical form the most different kinds of relations; but from this we obtain the right, however irregular the beginning may be, of placing ourselves at the standpoint of abstract knowledge to analyse direct intuitive knowledge. For the rest, the categorical judgment springs from knowledge of the understanding proper, in my sense, only when causation is expressed by it; this is, however, the case in all judgments which refer to a physical quality. For if I say, “This body is heavy, hard, fluid, green, sour, alkaline, organic, &c., &c.,” this always refers to its effect, and thus is knowledge which is only possible through the pure understanding. Now, after this, like much which is quite different from it (for example, the subordination of very abstract concepts), has been expressed in the abstract through subject and predicate, these mere relations of concepts have been transferred back to knowledge of perception, and it has been supposed that the subject and predicate of the judgment must have a peculiar and special correlative in perception, substance and accident. But I shall show clearly further on that the conception substance has no other true content than that of the conception matter. Accidents, however, are quite synonymous with kinds of effects, so that the supposed knowledge of substance and accident is never anything more than the knowledge of cause and effect by the understanding. But the special manner in which the idea of matter arises is explained partly in § 4 of the first book, and still more clearly in the essay on the principle of sufficient reason at the end of § 21, p. 77 (3d ed., p. 82), and in some respects we shall see it still more closely when we investigate the principle of the permanence of substance.

(c.) *Disjunctive judgments* spring from the law of thought of excluded third, which is a metalogical truth; they are, therefore, entirely the property of the reason, and have not their origin in the understanding. The deduction of the category of community or *reciprocity* from them is, however, a glaring example of the violence which Kant sometimes allowed to be done to truth, merely in order to satisfy his love of architectonic symmetry. The illegitimacy of that deduction has already often been justly condemned and proved upon various grounds, especially by G. E. Schulze in his “*Kritik der theoretischen Philosophie*,” and by Berg in his “*Epikritik der Philosophie*.” What real analogy is there, indeed, between the problematical determination of a concept by disjunctive predicates and the thought of reciprocity? The two are indeed absolutely opposed, for in the disjunctive judgment the actual affirmation of one of the two alternative propositions is also necessarily the negation of the other; if, on the other hand, we think two things in the relation of reciprocity, the affirmation of one is also necessarily the affirmation of the other, and *vice versa*. Therefore, unquestionably, the real logical analogue of reciprocity is the vicious circle, for in it, as nominally in the case of reciprocity, what is proved is also the proof, and conversely. And just as logic rejects the vicious circle, so the conception of reciprocity ought to be banished from metaphysics. For I now intend, quite seriously, to prove that there is no reciprocity in the strict sense, and this conception, which people are so fond of using, just on account of the indefiniteness of the thought, is seen, if more closely considered, to be empty, false, and invalid. First of all, the reader must call to mind what causality really is, and to assist my exposition, see upon this subject § 20 of the introductory essay, also my prize-essay on the freedom of the will, chap. iii. p. 27 *seq.*, and lastly the fourth chapter of the second book of this work. Causality is the law according to which the conditions or states of matter which appear determine their position in time. Causality has to do merely with conditions or states, indeed, properly, only with *changes*, and neither with matter as such, nor with permanence without change. *Matter*, as such, does not come under the law of causality, for it neither comes into being nor passes away; thus neither does the whole *thing*, as we commonly express ourselves, come under this law, but only the *conditions* or *states* of matter. Further, the law of causality has nothing to do with *permanence*, for where nothing changes there is no producing of *effects* and no causality, but a continuing quiet condition or state. But if, now, such a state is changed, then

the new state is either again permanent or it is not, but immediately introduces a third state, and the necessity with which this happens is just the law of causality, which is a form of the principle of sufficient reason, and therefore cannot be further explained, because the principle of sufficient reason is the principle of all explanation and of all necessity. From this it is clear that cause and effect stand in intimate connection with, and necessary relation to, the *course of time*. Only because the state A. precedes in time the state B., and their succession is necessary and not accidental, *i.e.*, no mere sequence but a consequence — only because of this is the state A. cause and the state B. effect. The conception *reciprocity*, however, contains this, that both are cause and both are effect of each other; but this really amounts to saying that each of the two is the earlier and also the later; thus it is an absurdity. For that both states are simultaneous, and indeed necessarily simultaneous, cannot be admitted, because, as necessarily belonging to each other and existing at the same time, they constitute only *one* state. For the permanence of this state there is certainly required the continued existence of all its determinations, but we are then no longer concerned with change and causality, but with duration and rest, and nothing further is said than that if *one* determination of the whole state be changed, the new state which then appears cannot continue, but becomes the cause of the change of all the other determinations of the first state, so that a new third state appears; which all happens merely in accordance with the simple law of causality, and does not establish a *new* law, that of reciprocity.

I also definitely assert that the conception *reciprocity* cannot be supported by a single example. Everything that one seeks to pass off as such is either a state of rest, to which the conception of causality, which has only significance with reference to changes, finds no application at all, or else it is an alternating succession of states of the same name which condition each other, for the explanation of which simple causality is quite sufficient. An example of the first class is afforded by a pair of scales brought to rest by equal weights. Here there is no effect produced, for there is no change; it is a state of rest; gravity acts, equally divided, as in every body which is supported at its centre of gravity, but it cannot show its force by any effect. That the taking away of one weight produces a second state, which at once becomes the cause of the third, the sinking of the other scale, happens according to the simple law of cause and effect, and requires no special

category of the understanding, and not even a special name. An example of the second class is the continuous burning of a fire. The combination of oxygen with the combustible body is the cause of heat, and heat, again, is the cause of the renewed occurrence of the chemical combination. But this is nothing more than a chain of causes and effects, the links of which have alternately *the same name*. The burning, A., produces free heat, B., this produces new burning, C. (*i.e.*, a new effect which has the same name as the cause A., but is not individually identical with it), this produces new heat, D. (which is not really identical with the effect B., but only according to the concept, *i.e.*, it has the same name), and so on indefinitely. A good example of what in ordinary life is called reciprocity is afforded by a theory about deserts given by Humboldt (*Ansichten der Natur*, 2d ed., vol. ii. p. 79). In the sandy deserts it does not rain, but it rains upon the wooded mountains surrounding them. The cause is not the attraction of the clouds by the mountains; but it is the column of heated air rising from the sandy plain which prevents the particles of vapour from condensing, and drives the clouds high into the heavens. On the mountains the perpendicular rising stream of air is weaker, the clouds descend, and the rainfall ensues in the cooler air. Thus, want of rain and the absence of plants in the desert stand in the relation of reciprocity; it does not rain because the heated sand-plain sends out more heat; the desert does not become a steppe or prairie because it does not rain. But clearly we have here again, as in the example given above, only a succession of causes and effects of the same names, and throughout nothing essentially different from simple causality. This is also the case with the swinging of the pendulum, and indeed also with the self-conservation of the organised body, in which case likewise every state introduces a new one, which is of the same kind as that by which it was itself brought about, but individually is new. Only here the matter is complicated, because the chain no longer consists of links of two kinds, but of many kinds, so that a link of the same name only recurs after several others have intervened. But we always see before us only an application of the single and simple law of causality which gives the rule to the sequence of states, but never anything which must be comprehended by means of a new and special function of the understanding.

Or is it perhaps advanced in support of the conception of reciprocity that action and reaction are equal? But the reason of this is what I urge so strongly and have fully explained in the essay on the principle of sufficient

reason, that the cause and the effect are not two bodies, but two successive states of bodies, consequently each of the two states implicates all bodies concerned; thus the effect, *i.e.*, the newly appearing state, for example, in the case of an impulse, extends to both bodies in the same proportion; therefore the body impelled produces just as great a change in the body impelling as it itself sustains (each in proportion to its mass and velocity). If one pleases to call this reciprocity, then absolutely every effect is a reciprocal effect, and no new conception is introduced on this account, still less does it require a new function of the understanding, but we only have a superfluous synonym for causality. But Kant himself, in a moment of thoughtlessness, exactly expressed this view in the “Metaphysical First Principles of Natural Science” at the beginning of the proof of the fourth principle of mechanics: “All external effect in the world is reciprocal effect.” How then should different functions lie *a priori* in the understanding for simple causality and for reciprocity, and, indeed, how should the real succession of things only be possible and knowable by means of the first, and their co-existence by means of the second? According to this, if all effect is reciprocal effect, succession and simultaneity would be the same thing, and therefore everything in the world would take place at the same moment. If there were true reciprocity, then perpetual motion would also be possible, and indeed *a priori* certain; but it is rather the case that the *a priori* conviction that there is no true reciprocity, and no corresponding form of the understanding, is the ground of the assertion that perpetual motion is impossible.

Aristotle also denies reciprocity in the strict sense; for he remarks that two things may certainly be reciprocal causes of each other, but only if this is understood in a different sense of each of them; for example, that one acts upon the other as the motive, but the latter acts upon the former as the cause of its movement. We find in two passages the same words: *Physic.*, lib. ii. c. 3, and *Metaph.*, lib. v. c. 2. Ἔστι δὲ τινα καὶ ἀλλήλων αἰτία; οἷον τὸ πονεῖν αἰτίον τῆς εὐεξίας, καὶ αὕτη τοῦ πονεῖν; ἀλλ’ οὐ τὸν αὐτὸν τρόπον, ἀλλὰ τὸ μὲν ὥς τέλος, τὸ δὲ ὥς ἀρχὴ κινήσεως. (*Sunt præterea quæ sibi sunt mutuo causæ, ut exercitium bonæ habitudinis, et hæc exercitii: at non eodem modo, sed hæc ut finis, aliud ut principium motus.*) If, besides this, he had accepted a reciprocity proper, he would have introduced it here, for in both passages he is concerned with enumerating all the possible kinds of causes.

In the *Analyt. post.*, lib. ii. c. 11, he speaks of a circle of causes and effects, but not of reciprocity.

4. The categories of *Modality* have this advantage over all others, that what is expressed through each of them really corresponds to the form of judgment from which it is derived; which with the other categories is scarcely ever the case, because for the most part they are deduced from the forms of judgment with the most capricious violence.

Thus that it is the conceptions of the possible, the actual, and the necessary which occasion the problematic, assertatory, and apodictic forms of judgment, is perfectly true; but that those conceptions are special, original forms of knowledge of the understanding which cannot be further deduced is not true. On the contrary, they spring from the single original form of all knowledge, which is, therefore, known to us *a priori*, the principle of sufficient reason; and indeed out of this the knowledge of *necessity* springs directly. On the other hand, it is only because reflection is applied to this that the conceptions of contingency, possibility, impossibility, and actuality arise. Therefore all these do not by any means spring from *one* faculty of the mind, the understanding, but arise through the conflict of abstract and intuitive knowledge, as will be seen directly.

I hold that to be necessary and to be the consequent of a given reason are absolutely interchangeable notions, and completely identical. We can never know, nor even think, anything as necessary, except so far as we regard it as the consequent of a given reason; and the conception of necessity contains absolutely nothing more than this dependence, this being established through something else, and this inevitable following from it. Thus it arises and exists simply and solely through the application of the principle of sufficient reason. Therefore, there is, according to the different forms of this principle, a physical necessity (the effect from the cause), a logical (through the ground of knowing, in analytical judgments, syllogisms, &c.), a mathematical (according to the ground of being in time and space), and finally a practical necessity, by which we intend to signify not determination through a pretended categorical imperative, but the necessary occurrence of an action according to the motives presented, in the case of a given empirical character. But everything necessary is only so relatively, that is, under the presupposition of the reason from which it follows; therefore absolute necessity is a contradiction. With regard to the rest, I refer to § 49 of the essay on the principle of sufficient reason.

The contradictory opposite, *i.e.*, the denial of necessity, is *contingency*. The content of this conception is, therefore, negative — nothing more than this: absence of the connection expressed by the principle of sufficient reason. Consequently the contingent is also always merely relative. It is contingent in relation to something which is not its reason. Every object, of whatever kind it may be — for example, every event in the actual world — is always at once necessary and contingent, *necessary* in relation to the *one* condition which is its cause: *contingent* in relation to everything else. For its contact in time and space with everything else is a mere coincidence without necessary connection: hence also the words chance, *συμπτωμα*, *contingens*. Therefore an absolute contingency is just as inconceivable as an absolute necessity. For the former would be simply an object which stood to no other in the relation of consequent to its reason. But the inconceivability of such a thing is just the content of the principle of sufficient reason negatively expressed, and therefore this principle must first be upset before we can think an absolute contingency; and even then it itself would have lost all significance, for the conception of contingency has meaning only in relation to that principle, and signifies that two objects do not stand to each other in the relation of reason and consequent.

In nature, which consists of ideas of perception, everything that happens is necessary; for it proceeds from its cause. If, however, we consider this individual with reference to everything else which is not its cause, we know it as contingent; but this is already an abstract reflection. Now, further, let us abstract entirely from a natural object its causal relation to everything else, thus its necessity and its contingency; then this kind of knowledge comprehends the conception of the *actual*, in which one only considers the *effect*, without looking for the cause, in relation to which one would otherwise have to call it *necessary*, and in relation to everything else *contingent*. All this rests ultimately upon the fact that the *modality* of the judgment does not indicate so much the objective nature of things as the relation of our knowledge to them. Since, however, in nature everything proceeds from a cause, everything *actual* is also *necessary*, yet only so far as it is *at this time, in this place*; for only so far does determination by the law of causality extend. Let us leave, however, concrete nature and pass over to abstract thinking; then we can present to ourselves in reflection all the natural laws which are known to us partly *a priori*, partly only *a posteriori*, and this abstract idea contains all that is in nature at *any* time, in

any place, but with abstraction from every definite time and place; and just in this way, through such reflection, we have entered the wide kingdom of *the possible*. But what finds no place even here is the *impossible*. It is clear that possibility and impossibility exist only for reflection, for abstract knowledge of the reason, not for knowledge of perception; although it is the pure forms of perception which supply the reason with the determination of the possible and impossible. According as the laws of nature, from which we start in the thought of the possible and impossible, are known *a priori* or *a posteriori*, is the possibility or impossibility metaphysical or physical.

From this exposition, which requires no proof because it rests directly upon the knowledge of the principle of sufficient reason and upon the development of the conceptions of the necessary, the actual, and the possible, it is sufficiently evident how entirely groundless is Kant's assumption of three special functions of the understanding for these three conceptions, and that here again he has allowed himself to be disturbed by no reflection in the carrying out of his architectonic symmetry.

To this, however, we have to add the other great mistake, that, certainly according to the procedure of earlier philosophy, he has confounded the conceptions of necessity and contingency with each other. That earlier philosophy has applied abstraction to the following mistaken use. It was clear that that of which the reason is given inevitably follows, *i.e.*, cannot not be, and thus necessarily is. But that philosophy held to this last determination alone, and said that is necessary which cannot be otherwise, or the opposite of which is impossible. It left, however, the ground and root of such necessity out of account, overlooked the relativity of all necessity which follows from it, and thereby made the quite unthinkable fiction of an *absolute necessity*, *i.e.*, of something the existence of which would be as inevitable as the consequent of a reason, but which yet was not the consequent of a reason, and therefore depended upon nothing; an addition which is an absurd *petitio*, for it conflicts with the principle of sufficient reason. Now, starting from this fiction, it explained, in diametrical opposition to the truth, all that is established by a reason as contingent, because it looked at the relative nature of its necessity and compared this with that entirely imaginary *absolute* necessity, which is self-contradictory in its conception.<sup>5</sup> Now Kant adheres to this fundamentally perverse definition of the contingent and gives it as explanation. (Critique of Pure Reason, V. p. 289-291, 243. V. 301, 419. V. 447, 486, 488.) He falls indeed



into the most evident contradiction with himself upon this point, for on p. 301 he says: "Everything contingent has a cause," and adds, "That is contingent which might possibly not be." But whatever has a cause cannot possibly not be: thus it is necessary. For the rest, the source of the whole of this false explanation of the necessary and the contingent is to be found in Aristotle in "*De Generatione et Corruptione*," lib. ii. c. 9 et 11, where the necessary is explained as that which cannot possibly not be: there stands in opposition to it that which cannot possibly be, and between these two lies that which can both be and not be, — thus that which comes into being and passes away, and this would then be the contingent. In accordance with what has been said above, it is clear that this explanation, like so many of Aristotle's, has resulted from sticking to abstract conceptions without going back to the concrete and perceptible, in which, however, the source of all abstract conceptions lies, and by which therefore they must always be controlled. "Something which cannot possibly not be" can certainly be thought in the abstract, but if we go with it to the concrete, the real, the perceptible, we find nothing to support the thought, even as possible, — as even merely the asserted consequent of a given reason, whose necessity is yet relative and conditioned.

I take this opportunity of adding a few further remarks on these conceptions of modality. Since all necessity rests upon the principle of sufficient reason, and is on this account relative, all *apodictic* judgments are originally, and according to their ultimate significance, *hypothetical*. They become *categorical* only through the addition of an *assertatory* minor, thus in the conclusion. If this minor is still undecided, and this indecision is expressed, this gives the problematical judgment.

What in general (as a rule) is apodictic (a law of nature), is in reference to a particular case only problematical, because the condition must actually appear which brings the case under the rule. And conversely, what in the particular as such is necessary (apodictic) (every particular change necessary through the cause), is again in general, and predicated universally, only problematical; because the causes which appear only concern the particular case, and the apodictic, always hypothetical judgment, always expresses merely the general law, not the particular case directly. All this has its ground in the fact that possibility exists only in the province of reflection and for the reason; the actual, in the province of perception and for the understanding; the necessary, for both. Indeed, the

distinction between necessary, actual, and possible really exists only in the abstract and according to the conception; in the real world, on the other hand, all three fall into one. For all that happens, happens *necessarily*, because it happens from causes; but these themselves have again causes, so that the whole of the events of the world, great and small, are a strict concatenation of necessary occurrences. Accordingly everything actual is also necessary, and in the real world there is no difference between actuality and necessity, and in the same way no difference between actuality and possibility; for what has not happened, *i.e.*, has not become actual, was also not possible, because the causes without which it could never appear have not themselves appeared, nor could appear, in the great concatenation of causes; thus it was an impossibility. Every event is therefore either necessary or impossible. All this holds good only of the empirically real world, *i.e.*, the complex of individual things, thus of the whole particular as such. If, on the other hand, we consider things generally, comprehending them *in abstracto*, necessity, actuality, and possibility are again separated; we then know everything which is in accordance with the *a priori* laws which belong to our intellect as possible in general; that which corresponds to the empirical laws of nature as possible in this world, even if it has never become actual; thus we distinguish clearly the possible from the actual. The actual is in itself always also necessary, but is only comprehended as such by him who knows its cause; regarded apart from this, it is and is called contingent. This consideration also gives us the key to that *contentio περὶ δυνατόων* between the Megaric Diodorus and Chrysippus the Stoic which Cicero refers to in his book *De Fato*. Diodorus says: “Only what becomes actual was possible, and all that is actual is also necessary.” Chrysippus on the other hand says: “Much that is possible never becomes actual; for only the necessary becomes actual.” We may explain this thus: Actuality is the conclusion of a syllogism to which possibility gives the premises. But for this is required not only the major but also the minor; only the two give complete possibility. The major gives a merely theoretical, general possibility *in abstracto*, but this of itself does not make anything possible, *i.e.*, capable of becoming actual. For this the minor also is needed, which gives the possibility for the particular case, because it brings it under the rule, and thereby it becomes at once actual. For example:

*Maj.* All houses (consequently also my house) can be destroyed by fire.

*Min.* My house is on fire.

*Concl.* My house is being destroyed by fire.

For every general proposition, thus every major, always determines things with reference to actuality only under a presupposition, therefore hypothetically; for example, the capability of being burnt down has as a presupposition the catching fire. This presupposition is produced in the minor. The major always loads the cannon, but only if the minor brings the match does the shot, *i.e.*, the conclusion, follow. This holds good throughout of the relation of possibility to actuality. Since now the conclusion, which is the assertion of actuality, always follows *necessarily*, it is evident from this that all that is actual is also necessary, which can also be seen from the fact that necessity only means being the consequent of a given reason: this is in the case of the actual a cause: thus everything actual is necessary. Accordingly, we see here the conceptions of the possible, the actual, and the necessary unite, and not merely the last presuppose the first, but also the converse. What keeps them apart is the limitation of our intellect through the form of time; for time is the mediator between possibility and actuality. The necessity of the particular event may be fully seen from the knowledge of all its causes; but the concurrence of the whole of these different and independent causes seems to us *contingent*; indeed their independence of each other is just the conception of contingency. Since, however, each of them was the necessary effect of *its* causes, the chain of which has no beginning, it is evident that contingency is merely a subjective phenomenon, arising from the limitation of the horizon of our understanding, and just as subjective as the optical horizon at which the heavens touch the earth.

Since necessity is the same thing as following from given grounds, it must appear in a special way in the case of every form of the principle of sufficient reason, and also have its opposite in the possibility and impossibility which always arises only through the application of the abstract reflection of the reason to the object. Therefore the four kinds of necessity mentioned above stand opposed to as many kinds of impossibility, physical, logical, mathematical and practical. It may further be remarked that if one remains entirely within the province of abstract concepts, possibility is always connected with the more general, and necessity with the more limited concept; for example, "An animal *may* be a bird, a fish, an amphibious creature, &c." "A nightingale *must* be a bird, a bird *must* be an animal, an animal *must* be an organism, an organism *must* be a body." This

is because logical necessity, the expression of which is the syllogism, proceeds from the general to the particular, and never conversely. In the concrete world of nature (ideas of the first class), on the contrary, everything is really necessary through the law of causality; only added reflection can conceive it as also contingent, comparing it with that which is not its cause, and also as merely and purely actual, by disregarding all causal connection. Only in this class of ideas does the conception of the *actual* properly occur, as is also shown by the derivation of the word from the conception of causality. In the third class of ideas, that of pure mathematical perception or intuition, if we confine ourselves strictly to it, there is only necessity. Possibility occurs here also only through relation to the concepts of reflection: for example, "A triangle *may* be right-angled, obtuse-angled, or equiangular; its three angles *must* be equal to two right-angles." Thus here we only arrive at the possible through the transition from the perceptible to the abstract.

After this exposition, which presupposes the recollection of what was said both in the essay on the principle of sufficient reason and in the first book of the present work, there will, it is hoped, be no further doubt as to the true and very heterogeneous source of those forms which the table of judgments lays before us, nor as to the inadmissibility and utter groundlessness of the assumption of twelve special functions of the understanding for the explanation of them. The latter point is also supported by a number of special circumstances very easily noted. Thus, for example, it requires great love of symmetry and much trust in a clue derived from it, to lead one to assume that an affirmative, a categorical, and an assertatory judgment are three such different things that they justify the assumption of an entirely special function of the understanding for each of them.

Kant himself betrays his consciousness of the untenable nature of his doctrine of the categories by the fact that in the third chapter of the *Analytic of Principles* (*phænomena et noumena*) several long passages of the first edition (p. 241, 242, 244-246, 248-253) are omitted in the second — passages which displayed the weakness of that doctrine too openly. So, for example, he says there (p. 241) that he has not defined the individual categories, because he could not define them even if he had wished to do so, inasmuch as they were susceptible of no definition. In saying this he forgot that at p. 82 of the same first edition he had said: "I purposely dispense with the definition of the categories although I may be in possession of it." This

then was, *sit venia verbo*, wind. But this last passage he has allowed to stand. And so all those passages wisely omitted afterwards betray the fact that nothing distinct can be thought in connection with the categories, and this whole doctrine stands upon a weak foundation.

This table of the categories is now made the guiding clue according to which every metaphysical, and indeed every scientific inquiry is to be conducted (Prolegomena, § 39). And, in fact, it is not only the foundation of the whole Kantian philosophy and the type according to which its symmetry is everywhere carried out, as I have already shown above, but it has also really become the procrustean bed into which Kant forces every possible inquiry, by means of a violence which I shall now consider somewhat more closely. But with such an opportunity what must not the *imitatores servum pecus* have done! We have seen. That violence then is applied in this way. The meaning of the expressions denoted by the titles, forms of judgment and categories, is entirely set aside and forgotten, and the expressions alone are retained. These have their source partly in Aristotle's *Analyt. priora*, i. 23 (περι ποιότητος και ποσότητος των του συλλογισμού ὀρων: *de qualitate et quantitate terminorum syllogismi*), but are arbitrarily chosen; for the extent of the concepts might certainly have been otherwise expressed than through the word *quantity*, though this word is more suited to its object than the rest of the titles of the categories. Even the word *quality* has obviously been chosen on account of the custom of opposing quality to quantity; for the name quality is certainly taken arbitrarily enough for affirmation and negation. But now in every inquiry instituted by Kant, every quantity in time and space, and every possible quality of things, physical, moral, &c., is brought by him under those category titles, although between these things and those titles of the forms of judgment and of thought there is absolutely nothing in common except the accidental and arbitrary nomenclature. It is needful to keep in mind all the respect which in other regards is due to Kant to enable one to refrain from expressing in hard terms one's repugnance to this procedure. The nearest example is afforded us at once by the pure physiological table of the general principles of natural science. What in all the world has the quantity of judgments to do with the fact that every perception has an extensive magnitude? What has the quality of judgments to do with the fact that every sensation has a degree? The former rests rather on the fact that space is the form of our external perception, and the latter is nothing more than an empirical, and, moreover, entirely subjective feeling,

drawn merely from the consideration of the nature of our organs of sense. Further, in the table which gives the basis of rational psychology (Critique of Pure Reason, p. 344; V. 402), the *simplicity* of the soul is cited under quality; but this is just a quantitative property, and has absolutely no relation to the affirmation or negation in the judgment. But quantity had to be completed by the *unity* of the soul, which is, however, already included in its simplicity. Then modality is forced in in an absurd way; the soul stands in connection with *possible* objects; but connection belongs to relation, only this is already taken possession of by substance. Then the four cosmological Ideas, which are the material of the antinomies, are referred to the titles of the categories; but of this we shall speak more fully further on, when we come to the examination of these antinomies. Several, if possible, still more glaring examples are to be found in the table of the *Categories of Freedom!* in the “Critique of Practical Reason;” also in the first book of the “Critique of Judgment,” which goes through the judgment of taste according to the four titles of the categories; and, finally, in the “Metaphysical First Principles of Natural Science,” which are entirely adapted to the table of the categories, whereby the false that is mingled here and there with what is true and excellent in this important work is for the most part introduced. See, for example, at the end of the first chapter how the unity, the multiplicity, and the totality of the directions of lines are supposed to correspond to the categories, which are so named according to the quantity of judgments.

The principle of the *Permanence of Substance* is deduced from the category of subsistence and inherence. This, however, we know only from the form of the categorical judgment, *i.e.*, from the connection of two concepts as subject and predicate. With what violence then is that great metaphysical principle made dependent upon this simple, purely logical form! Yet this is only done *pro forma*, and for the sake of symmetry. The proof of this principle, which is given here, sets entirely aside its supposed origin in the understanding and in the category, and is based upon the pure intuition or perception of time. But this proof also is quite incorrect. It is false that in mere time there is *simultaneity* and *duration*; these ideas only arise from the union of *space* with time, as I have already shown in the essay on the principle of sufficient reason, § 18, and worked out more fully in § 4 of the present work. I must assume a knowledge of both these

expositions for the understanding of what follows. It is false that time *remains* the same through all change; on the contrary, it is just time itself that is fleeting; a permanent time is a contradiction. Kant's proof is untenable, strenuously as he has supported it with sophisms; indeed, he falls into the most palpable contradictions. Thus, after he has falsely set up co-existence as a mode of time (p. 177; V. 219), he says, quite rightly (p. 183; V. 226), "Co-existence is not a mode of time, for in time there are absolutely no parts together, but all in succession." In truth, space is quite as much implicated in co-existence as time. For if two things are co-existent and yet not one, they are different in respect of space; if two states of one thing are co-existent (*e.g.*, the glow and the heat of iron), then they are two contemporaneous effects of *one* thing, therefore presuppose matter, and matter presupposes space. Strictly speaking, co-existence is a negative determination, which merely signifies that two things or states are not different in respect of time; thus their difference is to be sought for elsewhere. But in any case, our knowledge of the permanence of substance, *i.e.*, of matter, must be based upon insight *a priori*; for it is raised above all doubt, and therefore cannot be drawn from experience. I deduce it from the fact that the principle of all becoming and passing away, the law of causality, of which we are conscious *a priori*, is essentially concerned only with the *changes*, *i.e.*, the successive *states* of matter, is thus limited to the form, and leaves the matter untouched, which therefore exists in our consciousness as the foundation of all things, which is not subject to becoming or passing away, which has therefore always been and will always continue to be. A deeper proof of the permanence of substance, drawn from the analysis of our perception of the empirical world in general, is to be found in the first book of this work, § 4, where it is shown that the nature of matter consists in the absolute *union of space and time*, a union which is only possible by means of the idea of causality, consequently only for the understanding, which is nothing but the subjective correlative of causality. Hence, also, matter is never known otherwise than as producing effects, *i.e.*, as through and through causality; to be and to act are with it one, which is indeed signified by the word *actuality*. Intimate union of space and time — causality, matter, actuality — are thus one, and the subjective correlative of this one is the understanding. Matter must bear in itself the conflicting properties of both factors from which it proceeds, and it is the idea of causality which abolishes what is contradictory in both, and

makes their co-existence conceivable by the understanding, through which and for which alone matter is, and whose whole faculty consists in the knowledge of cause and effect. Thus for the understanding there is united in matter the inconstant flux of time, appearing as change of the accidents, with the rigid immobility of space, which exhibits itself as the permanence of substance. For if the substance passed away like the accidents, the phenomenon would be torn away from space altogether, and would only belong to time; the world of experience would be destroyed by the abolition of matter, annihilation. Thus from the share which space has in matter, *i.e.*, in all phenomena of the actual — in that it is the opposite and counterpart of time, and therefore in itself and apart from the union with the latter knows absolutely no change — the principle of the permanence of substance, which recognises everything as *a priori* certain, had to be deduced and explained; but not from mere time, to which for this purpose and quite erroneously Kant has attributed *permanence*.

In the essay on the principle of sufficient reason, § 23, I have fully explained the incorrectness of the following proof of the *a priori* nature and of the necessity of the law of causality from the mere succession of events in time; I must, therefore, content myself here by referring to that passage.<sup>6</sup> This is precisely the case with the proof of reciprocity also, the concept of which I was obliged to explain above as invalid. What is necessary has also been said of modality, the working out of the principles of which now follows.

There are still a few points in the further course of the transcendental analytic which I should have to refute were it not that I am afraid of trying the patience of the reader; I therefore leave them to his own reflection. But ever anew in the “Critique of Pure Reason” we meet that principal and fundamental error of Kant’s, which I have copiously denounced above, the complete failure to distinguish abstract, discursive knowledge from intuitive. It is this that throws a constant obscurity over Kant’s whole theory of the faculty of knowledge, and never allows the reader to know what he is really speaking about at any time, so that instead of understanding, he always merely conjectures, for he alternately tries to understand what is said as referring to thought and to perception, and remains always in suspense. In the chapter “On the Division of all Objects into Phenomena and Noumena,” Kant carries that incredible want of reflection as to the nature of the idea of perception and the abstract idea, as I



shall explain more fully immediately, so far as to make the monstrous assertion that without thought, that is, without abstract conceptions, there is no knowledge of an object; and that perception, because it is not thought, is also not knowledge, and, in general, is nothing but a mere affection of sensibility, mere sensation! Nay, more, that perception without conception is absolutely void; but conception without perception is yet always something (p. 253; V. 309). Now this is exactly the opposite of the truth; for concepts obtain all significance, all content, only from their relation to ideas of perception, from which they have been abstracted, derived, that is, constructed through the omission of all that is unessential: therefore if the foundation of perception is taken away from them, they are empty and void. Perceptions, on the contrary, have in themselves immediate and very great significance (in them, indeed, the thing in itself objectifies itself); they represent themselves, express themselves, have no mere borrowed content like concepts. For the principle of sufficient reason governs them only as the law of causality, and determines as such only their position in space and time; it does not, however, condition their content and their significance, as is the case with concepts, in which it appears as the principle of the ground of knowing. For the rest, it looks as if Kant really wished here to set about distinguishing the idea of perception and the abstract idea. He objects to Leibnitz and Locke that the former reduced everything to abstract ideas, and the latter everything to ideas of perception. But yet he arrives at no distinction; and although Locke and Leibnitz really committed these errors, Kant himself is burdened with a third error which includes them both — the error of having so mixed up knowledge of perception and abstract knowledge that a monstrous hybrid of the two resulted, a chimera of which no distinct idea is possible, and which therefore necessarily only confused and stupefied students, and set them at variance.

Certainly thought and perception are separated more in the chapter referred to “On the Division of all Objects into Phenomena and Noumena” than anywhere else, but the nature of this distinction is here a fundamentally false one. On p. 253; V. 309, it is said: “If I take away all thought (through the categories) from empirical knowledge, there remains absolutely no knowledge of an object, for through mere perception nothing at all is thought, and that this affection of sensibility in me establishes really no relation of such ideas to any object.” This sentence contains, in some degree, all the errors of Kant in a nutshell; for it brings out clearly that he

has falsely conceived the relation between sensation, perception, and thought, and accordingly identifies the perception, whose form he yet supposes to be space, and indeed space in all its three dimensions, with the mere subjective sensation in the organs of sense, but only allows the knowledge of an object to be given through thought, which is different from perception. I, on the contrary, say: Objects are first of all objects of perception, not of thought, and all knowledge of *objects* is originally and in itself perception. Perception, however, is by no means mere sensation, but the understanding is already active in it. The thought, which is added only in the case of men, not in the case of the brutes, is mere abstraction from perception, gives no fundamentally new knowledge, does not itself establish objects which were not before, but merely changes the form of the knowledge already won through perception, makes it abstract knowledge in concepts, whereby its concrete or perceptible character is lost, but, on the other hand, combination of it becomes possible, which immeasurably extends the range of its applicability. The material of our thought is, on the other hand, nothing else than our perceptions themselves, and not something which the perceptions did not contain, and which was added by the thought; therefore the material of everything that appears in our thought must be capable of verification in our perception, for otherwise it would be an empty thought. Although this material is variously manipulated and transformed by thought, it must yet be capable of being reduced to perception, and the thought traced back to this — just as a piece of gold can be reduced from all its solutions, oxides, sublimates, and combinations, and presented pure and undiminished. This could not happen if thought itself had added something, and, indeed, the principal thing, to the object.

The whole of the chapter on the Amphiboly, which follows this, is merely a criticism of the Leibnitzian philosophy, and as such is on the whole correct, though the form or pattern on which it is constructed is chosen merely for the sake of architectonic symmetry, which here also is the guiding clue. Thus, to carry out the analogy with the Aristotelian Organon, a transcendental Topic is set up, which consists in this, that every conception is to be considered from four points of view, in order to make out to which faculty of knowledge it belongs. But these four points of view are quite arbitrarily selected, and ten others might be added to them with just as much right; but their fourfold number corresponds to the titles of the categories, and therefore the chief doctrine of Leibnitz is divided among

them as best it may be. By this critique, also, to some extent, certain errors are stamped as natural to the reason, whereas they were merely false abstractions of Leibnitz's, who, rather than learn from his great philosophical contemporaries, Spinoza and Locke, preferred to serve up his own strange inventions. In the chapter on the Amphiboly of Reflection it is finally said that there may possibly be a kind of perception entirely different from ours, to which, however, our categories are applicable; therefore the objects of that supposed perception would be *noumena*, things which can only be *thought* by us; but since the perception which would give that thought meaning is wanting to us, and indeed is altogether quite problematical, the object of that thought would also merely be a wholly indefinite possibility. I have shown above by quotations that Kant, in utter contradiction with himself, sets up the categories now as the condition of knowledge of perception, now as the function of merely abstract thought. Here they appear exclusively in the latter sense, and it seems quite as if he wished to attribute them merely to discursive thought. But if this is really his opinion, then necessarily at the beginning of the Transcendental Logic, before specifying the different functions of thought at such length, he was necessarily bound to characterise thought in general, and consequently to distinguish it from perception; he ought to have shown what knowledge is given by mere perception, and what that is new is added by thought. Then we would have known what he was really speaking about; or rather, he would then have spoken quite differently, first of perception, and then of thought; instead of which, as it is, he is always dealing with something between the two, which is a mere delusion. There would not then be that great gap between the transcendental *Æsthetic* and the transcendental Logic, where, after the exposition of the mere form of perception, he simply dismisses its content, all that is empirically apprehended, with the phrase "It is given," and does not ask how it came about, *whether with or without understanding*; but, with one spring, passes over to abstract thought; and not even to thought in general, but at once to certain forms of thought, and does not say a word about what thought is, what the concept is, what is the relation of abstract and discursive to concrete and intuitive, what is the difference between the knowledge of men and that of brutes, and what is reason.

Yet it was just this distinction between abstract knowledge and knowledge of perception, entirely overlooked by Kant, which the ancients

denoted by φαίνομενα and νοούμενα,<sup>7</sup> and whose opposition and incommensurability occupied them so much in the philosophemes of the Eleatics, in Plato's doctrine of Ideas, in the dialectic of the Megarics, and later the Scholastics in the controversy between Nominalism and Realism, the seed of which, so late in developing, was already contained in the opposite mental tendencies of Plato and Aristotle. But Kant, who, in an inexcusable manner, entirely neglected the thing to denote which the words φαίνομενα and νοούμενα had already been taken, took possession of the words, as if they were still unappropriated, in order to denote by them his thing in itself and his phenomenon.

Since I have been obliged to reject Kant's doctrine of the categories, just as he rejected that of Aristotle, I wish here to indicate as a suggestion a third way of reaching what is aimed at. What both Kant and Aristotle sought for under the name of the categories were the most general conceptions under which all things, however different, must be subsumed, and through which therefore everything that exists would ultimately be thought. Just on this account Kant conceived them as the *forms* of all thought.

Grammar is related to logic as clothes to the body. Should not, therefore, these primary conceptions, the ground-bass of the reason, which is the foundation of all special thought, without whose application, therefore, no thought can take place, ultimately lie in those conceptions which just on account of their exceeding generality (transcendentalism) have their expression not in single words, but in whole classes of words, because one of them is thought along with every word whatever it may be, whose designation would therefore have to be looked for, not in the lexicon but in the grammar? In fact, should they not be those distinctions of conceptions on account of which the word which expresses them is either a substantive or an adjective, a verb or an adverb, a pronoun, a preposition, or some other particle — in short, the parts of speech? For undoubtedly these denote the forms which all thought primarily assumes, and in which it directly moves; accordingly they are the essential forms of speech, the fundamental constituent elements of every language, so that we cannot imagine any language which would not consist of at least substantives, adjectives, and verbs. These fundamental forms would then have subordinated to them those forms of thought which are expressed through their inflections, that is, through declension and conjugation, and it is unessential to the chief

concern whether in denoting them we call in the assistance of the article and the pronoun. We will examine the thing, however, somewhat more closely, and ask the question anew: What are the forms of thought?

(1.) Thought consists throughout of judging; judgments are the threads of its whole web, for without making use of a verb our thought does not move, and as often as we use a verb we judge.

(2.) Every judgment consists in the recognition of the relation between subject and predicate, which it separates or unites with various restrictions. It unites them from the recognition of the actual identity of the two, which can only happen in the case of synonyms; then in the recognition that the one is always thought along with the other, though the converse does not hold — in the universal affirmative proposition; up to the recognition that the one is sometimes thought along with the other, in the particular affirmative proposition. The negative propositions take the opposite course. Accordingly in every judgment the subject, the predicate, and the copula, the latter affirmative or negative, must be to be found; even although each of these is not denoted by a word of its own, as is however generally the case. The predicate and the copula are often denoted by *one* word, as “Caius ages;” sometimes one word denotes all three, as *concurritur*, *i.e.*, “the armies engage.” From this it is evident that the forms of thought are not to be sought for precisely and directly in words, nor even in the parts of speech, for even in the same language the same judgment may be expressed in different words, and indeed in different parts of speech, yet the thought remains the same, and consequently also its form; for the thought could not be the same if the form of thought itself were different. But with the same thought and the same form of thought the form of words may very well be different, for it is merely the outward clothing of the thought, which, on the other hand, is inseparable from *its* form. Thus grammar only explains the clothing of the forms of thought. The parts of speech can therefore be deduced from the original forms of thought themselves which are independent of all language; their work is to express these forms of thought in all their modifications. They are the instrument and the clothing of the forms of thought, and must be accurately adapted to the structure of the latter, so that it may be recognised in them.

(3.) These real, unalterable, original forms of thought are certainly *those of Kant's logical table of judgments*; only that in this table are to be found

blind windows for the sake of symmetry and the table of the categories; these must all be omitted, and also a false arrangement. Thus: —

(a.) *Quality*: affirmation and negation, *i.e.*, combination and separation of concepts: two forms. It depends on the copula.

(b.) *Quantity*: the subject-concept is taken either in whole or in part: totality or multiplicity. To the first belong also individual subjects: Socrates means “all Socrateses.” Thus two forms. It depends on the subject.

(c.) *Modality*: has really three forms. It determines the quality as necessary, actual, or contingent. It consequently depends also on the copula.

These three forms of thought spring from the laws of thought of contradiction and identity. But from the principle of sufficient reason and the law of excluded middle springs —

(d.) *Relation*. It only appears if we judge concerning completed judgments, and can only consist in this, that it either asserts the dependence of one judgment upon another (also in the plurality of both), and therefore combines them in the *hypothetical* proposition; or else asserts that judgments exclude each other, and therefore separates them in the *disjunctive* proposition. It depends on the copula, which here separates or combines the completed judgments.

The *parts of speech* and grammatical forms are ways of expressing the three constituent parts of the judgment, the subject, the predicate, and the copula, and also of the possible relations of these; thus of the forms of thought just enumerated, and the fuller determinations and modifications of these. Substantive, adjective, and verb are therefore essential fundamental constituent elements of language in general; therefore they must be found in all languages. Yet it is possible to conceive a language in which adjective and verb would always be fused together, as is sometimes the case in all languages. Provisionally it may be said, for the expression of the *subject* are intended the substantive, the article, and the pronoun; for the expression of the *predicate*, the adjective, the adverb, and the preposition; for the expression of the *copula*, the verb, which, however, with the exception of the verb to be, also contains the predicate. It is the task of the philosophy of grammar to teach the precise mechanism of the expression of the forms of thought, as it is the task of logic to teach the operations with the forms of thought themselves.

*Note.* — As a warning against a false path and to illustrate the above, I mention S. Stern’s “*Vorläufige Grundlage zur Sprachphilosophie*,” 1835,

which is an utterly abortive attempt to construct the categories out of the grammatical forms. He has entirely confused thought with perception, and therefore, instead of the categories of thought, he has tried to deduce the supposed categories of perception from the grammatical forms, and consequently has placed the grammatical forms in direct relation to perception. He is involved in the great error that *language* is immediately related to *perception*, instead of being directly related only to thought as such, thus to the *abstract concepts*, and only by means of these to perception, to which they, however, have a relation which introduces an entire change of the form. What exists in perception, thus also the relations which proceed from time and space, certainly becomes an object of thought; thus there must also be forms of speech to express it, yet always merely in the abstract, as concepts. Concepts are always the primary material of thought, and the forms of logic are always related to these, never *directly* to perception. Perception always determines only the material, never the formal truth of the proposition, for the formal truth is determined according to the logical rules alone.

I return to the Kantian philosophy, and come now to the *Transcendental Dialectic*. Kant opens it with the explanation of *reason*, the faculty which is to play the principal part in it, for hitherto only sensibility and understanding were on the scene. When considering his different explanations of reason, I have already spoken above of the explanation he gives here that “it is the faculty of principles.” It is now taught here that all the *a priori* knowledge hitherto considered, which makes pure mathematics and pure natural science possible, affords only *rules*, and no *principles*; because it proceeds from perceptions and forms of knowledge, and not from mere conceptions, which is demanded if it is to be called a principle. Such a principle must accordingly be knowledge *from pure conceptions* and yet *synthetical*. But this is absolutely impossible. From pure conceptions nothing but *analytical* propositions can ever proceed. If conceptions are to be synthetically and yet *a priori* combined, this combination must necessarily be accomplished by some third thing, through a pure perception of the formal possibility of experience, just as synthetic judgments *a posteriori* are brought about through empirical perception; consequently a synthetic proposition *a priori* can never proceed from pure conceptions. In

general, however, we are *a priori* conscious of nothing more than the principle of sufficient reason in its different forms, and therefore no other synthetic judgments *a priori* are possible than those which proceed from that which receives its content from that principle.

However, Kant finally comes forward with a pretended principle of the reason answering to his demand, yet only with this *one*, from which others afterwards follow as corollaries. It is the principle which Chr. Wolf set up and explained in his “*Cosmologia*,” sect. i. c. 2, § 93, and in his “*Ontologia*,” § 178. As now above, under the title of the Amphiboly, mere Leibnitzian philosophemes were taken for natural and necessary aberrations of the reason, and were criticised as such, so here precisely the same thing happens with the philosophemes of Wolf. Kant still presents this principle of the reason in an obscure light, through indistinctness, indefiniteness, and breaking of it up (p. 307; V. 361, and 322; V. 379). Clearly expressed, however, it is as follows: “If the conditioned is given, the totality of its conditions must also be given, and therefore also the *unconditioned*, through which alone that totality becomes complete.” We become most vividly aware of the apparent truth of this proposition if we imagine the conditions and the conditioned as the links of a suspended chain, the upper end of which, however, is not visible, so that it might extend *ad infinitum*; since, however, the chain does not fall, but hangs, there must be above *one* link which is the first, and in some way is fixed. Or, more briefly: the reason desires to have a point of attachment for the causal chain which reaches back to infinity; it would be convenient for it. But we will examine the proposition, not in figures, but in itself. Synthetic it certainly is; for, analytically, nothing more follows from the conception of the conditioned than that of the condition. It has not, however, *a priori* truth, nor even *a posteriori*, but it surreptitiously obtains its appearance of truth in a very subtle way, which I must now point out. Immediately, and *a priori*, we have the knowledge which the principle of sufficient reason in its four forms expresses. From this immediate knowledge all abstract expressions of the principle of sufficient reason are derived, and they are thus indirect; still more, however, is this the case with inferences or corollaries from them. I have already explained above how *abstract* knowledge often unites a variety of *intuitive* cognitions in *one* form or *one* concept in such a way that they can no longer be distinguished; therefore abstract knowledge stands to intuitive knowledge as the shadow to the real objects, the great multiplicity



of which it presents through one outline comprehending them all. Now the pretended principle of the reason makes use of this shadow. In order to deduce from the principle of sufficient reason the unconditioned, which directly contradicts it, it prudently abandons the immediate concrete knowledge of the content of the principle of sufficient reason in its particular forms, and only makes use of abstract concepts which are derived from it, and have value and significance only through it, in order to smuggle its unconditioned somehow or other into the wide sphere of those concepts. Its procedure becomes most distinct when clothed in dialectical form; for example, thus: "If the conditioned exists, its condition must also be given, and indeed all given, thus completely, thus the totality of its conditions; consequently, if they constitute a series, the whole series, consequently also its first beginning, thus the unconditioned." Here it is false that the conditions of a conditioned can constitute a *series*. Rather must the totality of the conditions of everything conditioned be contained in its *nearest* ground or reason from which it directly proceeds, and which is only thus a *sufficient* ground or reason. For example, the different determinations of the state which is the cause, all of which must be present together before the effect can take place. But the series, for example, the chain of causes, arises merely from the fact that we regard what immediately before was the condition as now a conditioned; but then at once the whole operation begins again from the beginning, and the principle of sufficient reason appears anew with its claim. But there can never be for a conditioned a properly successive *series* of conditions, which exist merely as such, and on account of that which is at last conditioned; it is always an alternating series of conditioneds and conditions; as each link is laid aside the chain is broken, and the claim of the principle of sufficient reason entirely satisfied, it arises anew because the condition becomes the conditioned. Thus the principle of *sufficient* reason always demands only the completeness of the *immediate or next condition*, never the completeness of a *series*. But just this conception of the completeness of the condition leaves it undetermined whether this completeness should be simultaneous or successive; and since the latter is chosen, the demand now arises for a complete series of conditions following each other. Only through an arbitrary abstraction is a series of causes and effects regarded as a series of causes alone, which exists merely on account of the last effect, and is therefore demanded as its *sufficient* reason. From closer and more

intelligent consideration, and by rising from the indefinite generality of abstraction to the particular definite reality, it appears, on the contrary, that the demand for a *sufficient* reason extends only to the completeness of the determinations of the *immediate* cause, not to the completeness of a series. The demand of the principle of sufficient reason is completely extinguished in each sufficient reason given. It arises, however, immediately anew, because this reason is again regarded as a consequent; but it never demands directly a series of reasons. If, on the other hand, instead of going to the thing itself, we confine ourselves to the abstract concepts, these distinctions vanish. Then a chain of alternating causes and effects, or of alternating logical reasons and consequents, is given out as simply a chain of causes of the last effect, or reasons of the last consequent, and the *completeness of the conditions*, through which alone a reason becomes *sufficient*, appears as the completeness of that assumed *series* of reasons alone, which only exist on account of the last consequent. There then appears the abstract principle of the reason very boldly with its demand for the unconditioned. But, in order to recognise the invalidity of this claim, there is no need of a critique of reason by means of antinomies and their solution, but only of a critique of reason understood in my sense, an examination of the relation of abstract knowledge to direct intuitive knowledge, by means of ascending from the indefinite generality of the former to the fixed definiteness of the latter. From such a critique, then, it here appears that the nature of the reason by no means consists in the demand for an unconditioned; for, whenever it proceeds with full deliberation, it must itself find that an unconditioned is an absurdity. The reason as a faculty of knowledge can always have to do only with objects; but every object for the subject is necessarily and irrevocably subordinated to the principle of sufficient reason, both *a parte ante* and *a parte post*. The validity of the principle of sufficient reason is so involved in the form of consciousness that we absolutely cannot imagine anything objective of which no *why* could further be demanded; thus we cannot imagine an absolute absolute, like a blind wall in front of us. That his convenience should lead this or that person to stop at some point, and assume such an absolute at pleasure, is of no avail against that incontestable certainty *a priori*, even if he should put on an air of great importance in doing so. In fact, the whole talk about the absolute, almost the sole theme of philosophies since Kant, is nothing but the cosmological proof *incognito*. This proof, in consequence of the case brought against it by Kant, deprived

of all right and declared outlawed, dare no longer show itself in its true form, and therefore appears in all kinds of disguises — now in distinguished form, concealed under intellectual intuition or pure thought; now as a suspicious vagabond, half begging, half demanding what it wants in more unpretending philosophemes. If an absolute must absolutely be had, then I will give one which is far better fitted to meet all the demands which are made on such a thing than these visionary phantoms; it is matter. It has no beginning, and it is imperishable; thus it is really independent, and *quod per se est et per se concipitur*; from its womb all proceeds, and to it all returns; what more can be desired of an absolute? But to those with whom no critique of reason has succeeded, we should rather say —

“Are not ye like unto women, who ever

Return to the point from which they set out,

Though reason should have been talked by the hour?”

That the return to an unconditioned cause, to a first beginning, by no means lies in the nature of reason, is, moreover, practically proved by the fact that the primitive religions of our race, which even yet have the greatest number of followers upon earth, Brahmanism and Buddhism, neither know nor admit such assumptions, but carry the series of phenomena conditioning each other into infinity. Upon this point, I refer to the note appended to the criticism of the first antinomy, which occurs further on; and the reader may also see Upham’s “Doctrine of Buddhism” (p. 9), and in general all genuine accounts of the religions of Asia. Judaism and reason ought not to be identified.

Kant, who by no means desires to maintain his pretended principle of reason as objectively valid, but merely as subjectively necessary, deduces it even as such only by means of a shallow sophism, p. 307; V. 364. He says that because we seek to subsume every truth known to us under a more general truth, as far as this process can be carried, this is nothing else than the pursuit of the unconditioned, which we already presuppose. But, in truth, in this endeavour we do nothing more than apply reason, and intentionally make use of it to simplify our knowledge by enabling us to survey it — reason, which is that faculty of abstract, general knowledge that distinguishes the reflective, thinking man, endowed with speech, from the brute, which is the slave of the present. For the use of reason just consists in this, that we know the particular through the universal, the case through the rule, the rule through the more general rule; thus that we seek the most

general points of view. Through such survey or general view our knowledge is so facilitated and perfected that from it arises the great difference between the life of the brutes and that of men, and again between the life of educated and that of uneducated men. Now, certainly the series of *grounds of knowledge*, which exist only in the sphere of the abstract, thus of reason, always finds an end in what is indemonstrable, *i.e.*, in an idea which is not further conditioned according to this form of the principle of sufficient reason, thus in the *a priori* or *a posteriori* directly perceptible ground of the first proposition of the train of reasoning. I have already shown in the essay on the principle of sufficient reason, § 50, that here the series of grounds of knowledge really passes over into grounds of becoming or of being. But one can only desire to make this circumstance hold good as a proof of an unconditioned according to the law of causality, or even of the mere demand for such an unconditioned, if one has not yet distinguished the forms of the principle of sufficient reason at all, but, holding to the abstract expression, has confounded them all. Kant, however, seeks to establish that confusion, through a mere play upon words, with *Universalitas* and *Universitas*, p. 322; V. 379. Thus it is fundamentally false that our search for higher grounds of knowledge, more general truths, springs from the presupposition of an object unconditioned in its being, or has anything whatever in common with this. Moreover, how should it be essential to the reason to presuppose something which it must know to be an absurdity as soon as it reflects? The source of that conception of the unconditioned is rather to be found only in the indolence of the individual who wishes by means of it to get rid of all further questions, whether his own or of others, though entirely without justification.

Now Kant himself denies objective validity to this pretended principle of reason; he gives it, however, as a necessary subjective assumption, and thus introduces an irremediable split into our knowledge, which he soon allows to appear more clearly. With this purpose he unfolds that principle of reason further, p. 322; V. 379, in accordance with the method of architectonic symmetry of which he is so fond. From the three categories of relation spring three kinds of syllogisms, each of which gives the clue for the discovery of a special unconditioned, of which again there are three: the soul, the world (as an object in itself and absolute totality), and God. Now here we must at once note a great contradiction, of which Kant, however, takes no notice, because it would be very dangerous to the symmetry. Two

of these unconditioneds are themselves conditioned by the third, the soul and the world by God, who is the cause of their existence. Thus the two former have by no means the predicate of unconditionedness in common with the latter, though this is really the point here, but only that of inferred being according to the principles of experience, beyond the sphere of the possibility of experience.

Setting this aside, we recognise in the three unconditioneds, to which, according to Kant, reason, following its essential laws, must come, the three principal subjects round which the whole of philosophy under the influence of Christianity, from the Scholastics down to Christian Wolf, has turned. Accessible and familiar as these conceptions have become through all these philosophers, and now also through the philosophers of pure reason, this by no means shows that, without revelation, they would necessarily have proceeded from the development of all reason as a production peculiar to its very nature. In order to prove this it would be necessary to call in the aid of historical criticism, and to examine whether the ancient and non-European nations, especially the peoples of Hindostan and many of the oldest Greek philosophers, really attained to those conceptions, or whether it is only we who, by quite falsely translating the Brahma of the Hindus and the Tien of the Chinese as "God," good-naturedly attribute such conceptions to them, just as the Greeks recognised their gods everywhere; whether it is not rather the case that theism proper is only to be found in the religion of the Jews, and in the two religions which have proceeded from it, whose followers just on this account comprise the adherents of all other religions on earth under the name of heathen, which, by the way, is a most absurd and crude expression, and ought to be banished at least from the writings of the learned, because it identifies and jumbles together Brahmanists, Buddhists, Egyptians, Greeks, Romans, Germans, Gauls, Iroquois, Patagonians, Caribbeans, Otaheiteans, Australians, and many others. Such an expression is all very well for priests, but in the learned world it must at once be shown the door: it can go to England and take up its abode at Oxford. It is a thoroughly established fact that Buddhism, the religion which numbers more followers than any other on earth, contains absolutely no theism, indeed rejects it. As regards Plato, it is my opinion that he owes to the Jews the theism with which he is periodically seized. On this account Numenius (according to Clem. Alex., *Strom.*, i. c. 22, Euseb. *præp. evang.*, xiii. 12, and Suidas under Numenius) called him the *Moses græcisans*: Τὶ γὰρ ἐστὶ

Πλατων, η Μωσης αττικιζων; and he accuses him of having stolen (αποσυλησας) his doctrine of God and the creation from the Mosaical writings. Clemens often repeats that Plato knew and made use of Moses, e.g., *Strom.*, i. 25. — v. c. 14, § 90, &c., &c.; *Pædagog.*, ii. 10, and iii. 11; also in the *Cohortatio ad gentes*, c. 6, where, after he has bitterly censured and derided the whole of the Greek philosophers in the preceding chapter because they were not Jews, he bestows on Plato nothing but praise, and breaks out into pure exultation that as Plato had learnt his geometry from the Egyptians, his astronomy from the Babylonians, magic from the Thracians, and much also from the Assyrians, so he had learnt his theism from the Jews: Οἶδα σου τοὺς διδασκαλοὺς, καὶ αποκρυπτειν ἐθέλῃς, ... δοξάν τὴν τοῦ θεοῦ παρ' αὐτῶν ὠφελησέι τῶν Εβραίων (*Tuos magistros novi, licet eos celare velis, ... illa de Deo sententia suppeditata tibi est ab Hebræis*). A pathetic scene of recognition. But I see a remarkable confirmation of the matter in what follows. According to Plutarch (*in Mario*), and, better, according to Lactantius (i. 3, 19), Plato thanked Nature that he had been born a human being and not a brute, a man and not a woman, a Greek and not a barbarian. Now in Isaac Euchel's "Prayers of the Jews," from the Hebrew, second edition, 1799, p. 7, there is a morning prayer in which God is thanked and praised that the worshipper was born a Jew and not a heathen, a free man and not a slave, a man and not a woman. Such an historical investigation would have spared Kant an unfortunate necessity in which he now becomes involved, in that he makes these three conceptions spring necessarily from the nature of reason, and yet explains that they are untenable and unverifiable by the reason, and thus makes the reason itself a sophisticator; for he says, p. 339; V. 397: "There are sophistications, not of man, but of pure reason itself, from which even the wisest cannot free himself, and although after much trouble he may be able to avoid error, yet he never can escape from the illusion which unceasingly torments and mocks him." Therefore these Kantian "Ideas of the Reason" might be compared to the focus in which the converging reflected rays from a concave mirror meet several inches before its surface, in consequence of which, by an inevitable process of the understanding, an object presents itself to us there which is a thing without reality.

But the name "Idea" is very unfortunately chosen for these pretended necessary productions of the pure theoretical reason, and violently appropriated from Plato, who used it to denote the eternal forms which,

multiplied through space and time, become partially visible in the innumerable individual fleeting things. Plato's "Ideas" are accordingly throughout perceptible, as indeed the word which he chose so definitely signifies, for it could only be adequately translated by means of perceptible or visible things; and Kant has appropriated it to denote that which lies so far from all possibility of perception that even abstract thought can only half attain to it. The word "Idea," which Plato first introduced, has, moreover, since then, through two-and-twenty centuries, always retained the significance in which he used it; for not only all ancient philosophers, but also all the Scholastics, and indeed the Church Fathers and the theologians of the Middle Ages, used it only in that Platonic sense, the sense of the Latin word *exemplar*, as Suarez expressly mentions in his twenty-fifth Disputation, sect. 1. That Englishmen and Frenchmen were later induced by the poverty of their languages to misuse this word is bad enough, but not of importance. Kant's misuse of the word idea, by the substitution of a new significance introduced by means of the slender clue of not being object of experience, which it has in common with Plato's ideas, but also in common with every possible chimera, is thus altogether unjustifiable. Now, since the misuse of a few years is not to be considered against the authority of many centuries, I have always used the word in its old, original, Platonic significance.

The refutation of *rational psychology* is much fuller and more thorough in the first edition of the "Critique of Pure Reason" than in the second and following editions, and therefore upon this point we must make use of the first edition exclusively. This refutation has as a whole very great merit and much truth. Yet I am clearly of the opinion that it was merely from his love of symmetry that Kant deduced as necessary the conception of the soul from the paralogism of substantiality by applying the demand for the unconditioned to the conception *substance*, which is the first category of relation, and accordingly maintained that the conception of a soul arose in this way in every speculative reason. If this conception really had its origin in the presupposition of a final subject of all predicates of a thing, one would have assumed a soul not in men alone, but also just as necessarily in every lifeless thing, for such a thing also requires a final subject of all its predicates. Speaking generally, however, Kant makes use of a quite inadmissible expression when he talks of something which can exist only as

subject and not as predicate (*e.g.*, Critique of Pure Reason, p. 323; V. 412; Prolegomena, § 4 and 47); though a precedent for this is to be found in Aristotle's "Metaphysics," iv. ch. 8. Nothing whatever exists as subject and predicate, for these expressions belong exclusively to logic, and denote the relations of abstract conceptions to each other. Now their correlative or representative in the world of perception must be substance and accident. But then we need not look further for that which exists always as substance and never as accident, but have it directly in matter. It is the substance corresponding to all properties of things which are their accidents. It is, in fact, if one wishes to retain the expression of Kant which has just been condemned, the final subject of all predicates of that empirically given thing, that which remains after the abstraction of all its properties of every kind. And this holds good of man as of a brute, a plant, or a stone, and is so evident, that in order not to see it a determined desire not to see is required. That it is really the prototype of the conception substance, I will show soon. But subject and predicate are related to substance and accident rather as the principle of sufficient reason in logic to the law of causality in nature, and the substitution or identification of the former is just as inadmissible as that of the latter. Yet in the "Prolegomena," § 46, Kant carries this substitution and identification to its fullest extent in order to make the conception of the soul arise from that of the final subject of all predicates and from the form of the categorical syllogism. In order to discover the sophistical nature of this paragraph, one only needs to reflect that subject and predicate are purely logical determinations, which concern abstract conceptions solely and alone, and that according to their relation in the judgment. Substance and accident, on the other hand, belong to the world of perception and its apprehension in the understanding, and are even there only as identical with matter and form or quality. Of this more shortly.

The antithesis which has given occasion for the assumption of two fundamentally different substances, body and soul, is in truth that of objective and subjective. If a man apprehends himself objectively in external perception, he finds a being extended in space and in general merely corporeal; but if, on the other hand, he apprehends himself in mere self-consciousness, thus purely subjectively, he finds himself a merely willing and perceiving being, free from all forms of perception, thus also without a single one of the properties which belong to bodies. Now he forms the conception of the soul, like all the transcendental conceptions



called by Kant Ideas, by applying the principle of sufficient reason, the form of all objects, to that which is not an object, and in this case indeed to the subject of knowing and willing. He treats, in fact, knowing, thinking, and willing as effects of which he seeks the cause, and as he cannot accept the body as their cause, he assumes a cause of them entirely different from the body. In this manner the first and the last of the dogmatists proves the existence of the soul: Plato in the “Phædrus” and also Wolf: from thinking and willing as the effects which lead to that cause. Only after in this way, by hypostatising a cause corresponding to the effect, the conception of an immaterial, simple, indestructible being had arisen, the school developed and demonstrated this from the conception of *substance*. But this conception itself they had previously constructed specially for this purpose by the following artifice, which is worthy of notice.

With the first class of ideas, *i.e.*, the real world of perception, the idea of matter is also given; because the law governing this class of ideas, the law of causality, determines the change of the states or conditions, and these conditions themselves presuppose something permanent, whose changes they are. When speaking above of the principle of the permanence of substance, I showed, by reference to earlier passages, that this idea of matter arises because in the understanding, for which alone it exists, time and space are intimately united, and the share of space in this product exhibits itself as the permanence of matter, while the share of time appears as the change of states. Purely in itself, matter can only be thought *in abstracto*, and not perceived; for to perception it always appears already in form and quality. From this conception of *matter*, *substance* is again an abstraction, consequently a higher *genus*, and arose in this way. Of the conception of matter, only the predicate of permanence was allowed to remain, while all its other essential properties, extension, impenetrability, divisibility, &c., were thought away. Like every higher *genus*, then, the concept *substance* contains *less in itself* than the concept *matter*, but, unlike every other higher *genus*, it does not contain *more under it*, because it does not include several lower *genera* besides matter; but this remains the one true species of the concept substance, the only assignable thing by which its content is realised and receives a proof. Thus the aim with which in other cases the reason produces by abstraction a higher conception, in order that in it several subordinate species may be thought at once through common determinations, has here no place; consequently that abstraction is either

undertaken idly and entirely without aim, or it has a secret secondary purpose. This secret purpose is now brought to light; for under the conception substance, along with its true sub-species matter, a second species is co-ordinated — the immaterial, simple, indestructible substance, soul. But the surreptitious introduction of this last concept arose from the fact that the higher concept *substance* was framed illogically, and in a manner contrary to law. In its legitimate procedure the reason always frames the concept of a higher genus by placing together the concepts of several species, and now comparing them, proceeds discursively, and by omitting their differences and retaining the qualities in which they agree, obtains the generic concept which includes them all but has a smaller content. From this it follows that the concepts of the species must always precede the concept of the genus. But, in the present case, the converse is true. Only the concept matter existed before the generic concept *substance*. The latter was without occasion, and consequently without justification, as it were aimlessly framed from the former by the arbitrary omission of all its determinations except one. Not till afterwards was the second ungenuine species placed beside the concept matter, and so foisted in. But for the framing of this second concept nothing more was now required than an express denial of what had already been tacitly omitted in the higher generic concept, extension, impenetrability, and divisibility. Thus the concept *substance* was framed merely to be the vehicle for the surreptitious introduction of the concept of the immaterial substance. Consequently, it is very far from being capable of holding good as a category or necessary function of the understanding; rather is it an exceedingly superfluous concept, because its only true content lies already in the concept of matter, besides which it contains only a great void, which can be filled up by nothing but the illicitly introduced species *immaterial substance*; and, indeed, it was solely for the purpose of containing this that it was framed. Accordingly, in strictness, the concept substance must be entirely rejected, and the concept matter everywhere put in its place.

The categories were a procrustean bed for every possible thing, but the three kinds of syllogisms are so only for the three so-called Ideas. The Idea of the soul was compelled to find its origin in the form of the categorical syllogism. It is now the turn of the dogmatic ideas concerning the universe, so far as it is thought as an object in itself, between two limits — that of the

smallest (atom), and that of the largest (limits of the universe in time and space). These must now proceed from the form of the hypothetical syllogism. Nor for this in itself is any special violence necessary. For the hypothetical judgment has its form from the principle of sufficient reason, and not the cosmological alone but all those so-called Ideas really have their origin in the inconsiderate and unrestricted application of that principle, and the laying aside of it at pleasure. For, in accordance with that principle, the mere dependence of an object upon another is ever sought for, till finally the exhaustion of the imagination puts an end to the journey; and thus it is lost sight of that every object, and indeed the whole chain of objects and the principle of sufficient reason itself, stand in a far closer and greater dependence, the dependence upon the knowing subject, for whose objects alone, *i.e.*, ideas, that principle is valid, for their mere position in space and time is determined by it. Thus, since the form of knowledge from which here merely the cosmological Ideas are derived, the principle of sufficient reason, is the source of all subtle hypostases, in this case no sophisms need be resorted to; but so much the more is sophistry required in order to classify those Ideas according to the four titles of the categories.

(1.) The cosmological Ideas with regard to time and space, thus of the limits of the world in both, are boldly regarded as determined through the category of *quantity*, with which they clearly have nothing in common, except the accidental denotation in logic of the extent of the concept of the subject in the judgment by the word *quantity*, a pictorial expression instead of which some other might just as well have been chosen. But for Kant's love of symmetry this is enough. He takes advantage of the fortunate accident of this nomenclature, and links to it the transcendent dogmas of the world's extension.

(2.) Yet more boldly does Kant link to *quality*, *i.e.*, the affirmation or negation in a judgment, the transcendent Ideas concerning matter; a procedure which has not even an accidental similarity of words as a basis. For it is just to the *quantity*, and not to the *quality* of matter that its mechanical (not chemical) divisibility is related. But, what is more, this whole idea of divisibility by no means belongs to those inferences according to the principle of sufficient reason, from which, however, as the content of the hypothetical form, all cosmological Ideas ought to flow. For the assertion upon which Kant there relies, that the relation of the parts to the whole is that of the condition to the conditioned, thus a relation

according to the principle of sufficient reason, is certainly an ingenious but yet a groundless sophism. That relation is rather based upon the principle of contradiction; for the whole is not through the part, nor the parts through the whole, but both are necessarily together because they are one, and their separation is only an arbitrary act. It depends upon this, according to the principle of contradiction, that if the parts are thought away, the whole is also thought away, and conversely; and by no means upon the fact that the parts as the *reason* conditioned the whole as the *consequent*, and that therefore, in accordance with the principle of sufficient reason, we were necessarily led to seek the ultimate parts, in order, as its reason, to understand from them the whole. Such great difficulties are here overcome by the love of symmetry.

(3.) The Idea of the first cause of the world would now quite properly come under the title of *relation*; but Kant must reserve this for the fourth title, that of *modality*, for which otherwise nothing would remain, and under which he forces this idea to come by saying that the contingent (*i.e.*, according to his explanation, which is diametrically opposed to the truth, every consequent of its reason) becomes the necessary through the first cause. Therefore, for the sake of symmetry, the conception of *freedom* appears here as the third Idea. By this conception, however, as is distinctly stated in the observations on the thesis of the third conflict, what is really meant is only that Idea of the cause of the world which alone is admissible here. The third and fourth conflicts are at bottom tautological.

About all this, however, I find and assert that the whole antinomy is a mere delusion, a sham fight. Only the assertions of the antitheses really rest upon the forms of our faculty of knowledge, *i.e.*, if we express it objectively, on the necessary, *a priori* certain, most universal laws of nature. Their proofs alone are therefore drawn from objective grounds. On the other hand, the assertions and proofs of the theses have no other than a subjective ground, rest solely on the weakness of the reasoning individual; for his imagination becomes tired with an endless regression, and therefore he puts an end to it by arbitrary assumptions, which he tries to smooth over as well as he can; and his judgment, moreover, is in this case paralysed by early and deeply imprinted prejudices. On this account the proof of the thesis in all the four conflicts is throughout a mere sophism, while that of the antithesis is a necessary inference of the reason from the laws of the world as idea known to us *a priori*. It is, moreover, only with great pains and skill that

Kant is able to sustain the thesis, and make it appear to attack its opponent, which is endowed with native power. Now in this regard his first and constant artifice is, that he does not render prominent the *nervus argumentationis*, and thus present it in as isolated, naked, and distinct a manner as he possibly can; but rather introduces the same argument on both sides, concealed under and mixed up with a mass of superfluous and prolix sentences.

The theses and antitheses which here appear in such conflict remind one of the δίκαιος and αδίκος λογος which Socrates, in the “Clouds” of Aristophanes, brings forward as contending. Yet this resemblance extends only to the form and not to the content, though this would gladly be asserted by those who ascribe to these most speculative of all questions of theoretical philosophy an influence upon morality, and therefore seriously regard the thesis as the δίκαιος, and the antithesis as the αδίκος λογος. I shall not, however, accommodate myself here with reference to such small, narrow, and perverse minds; and, giving honour not to them, but to the truth, I shall show that the proofs which Kant adduced of the individual theses are sophisms, while those of the antitheses are quite fairly and correctly drawn from objective grounds. I assume that in this examination the reader has always before him the Kantian antinomy itself.

If the proof of the thesis in the first conflict is to be held as valid, then it proves too much, for it would be just as applicable to time itself as to change in time, and would therefore prove that time itself must have had a beginning, which is absurd. Besides, the sophism consists in this, that instead of the beginninglessness of the series of states, which was at first the question, suddenly the endlessness (infinity) of the series is substituted; and now it is proved that this is logically contradicted by completeness, and yet every present is the end of the past, which no one doubted. The end of a beginningless series can, however, always be *thought*, without prejudice to the fact that it has no beginning; just as, conversely, the beginning of an endless series can also be *thought*. But against the real, true argument of the antithesis, that the changes of the world necessarily presuppose an infinite series of changes *backwards*, absolutely nothing is advanced. We can think the possibility that the causal chain will some day end in an absolute standstill, but we can by no means think the possibility of an absolute beginning.<sup>8</sup>

With reference to the spatial limits of the world, it is proved that, if it is to be regarded as a *given whole*, it must necessarily have limits. The reasoning is correct, only it was just the first link of it that was to be proved, and that remains unproved. Totality presupposes limits, and limits presuppose totality; but here both together are arbitrarily presupposed. For this second point, however, the antithesis affords no such satisfactory proof as for the first, because the law of causality provides us with necessary determinations only with reference to time, not to space, and affords us *a priori* the certainty that no occupied time can ever be bounded by a previous empty time, and that no change can be the first change, but not that an occupied space can have no empty space beside it. So far no *a priori* decision on the latter point would be possible; yet the difficulty of conceiving the world in space as limited lies in the fact that space itself is necessarily infinite, and therefore a limited finite world in space, however large it may be, becomes an infinitely small magnitude; and in this incongruity the imagination finds an insuperable stumbling-block, because there remains for it only the choice of thinking the world either as infinitely large or infinitely small. This was already seen by the ancient philosophers: Μητροδωρος, ὁ καθηγητής Επικούρου, φηδὶν ατοπον εἶναι ἐν μεγάλῳ πεδίῳ ἓνα σταχὺν γεννηθῆναι, καὶ ἓνα κοσμον ἐν τῷ ἀπειρῷ (*Metrodorus, caput scholæ Epicuri, absurdum ait, in magno campo spicam unam produci, et unum in infinito mundum*) Stob. Ecl., i. c. 23. Therefore many of them taught (as immediately follows), ἀπειροὺς κόσμους ἐν τῷ ἀπειρῷ (*infinitos mundos in infinito*). This is also the sense of the Kantian argument for the antithesis, only he has disfigured it by a scholastic and ambiguous expression. The same argument might be used against the limitation of the world in time, only we have a far better one under the guidance of causality. In the case of the assumption of a world limited in space, there arises further the unanswerable question, What advantage has the filled part of space enjoyed over the infinite space that has remained empty? In the fifth dialogue of his book, “*Del Infinito, Universo e Mondi*,” Giordano Bruno gives a full account of the arguments for and against the finiteness of the world, which is very well worth reading. For the rest, Kant himself asserts seriously, and upon objective grounds, the infinity of the world in space in his “*Natural History of the Theory of the Heavens*,” part ii. ch. 7. Aristotle also acknowledges the same, “*Phys.*,” iii. ch. 4, a chapter which, together

with the following one, is very well worth reading with reference to this antinomy.

In the second conflict the thesis is at once guilty of a very palpable *petitio principii*, for it commences, “Every *compound* substance consists of simple parts.” From the compoundness here arbitrarily assumed, no doubt it afterwards very easily proves the simple parts. But the proposition, “All matter is compound,” which is just the point, remains unproved, because it is simply a groundless assumption. The opposite of simple is not compound, but extended, that which has parts and is divisible. Here, however, it is really tacitly assumed that the parts existed before the whole, and were brought together, whence the whole has arisen; for this is the meaning of the word “compound.” Yet this can just as little be asserted as the opposite. Divisibility means merely the possibility of separating the whole into parts, and not that the whole is compounded out of parts and thus came into being. Divisibility merely asserts the parts *a parte post*; compoundness asserts them *a parte ante*. For there is essentially no temporal relation between the parts and the whole; they rather condition each other reciprocally, and thus always exist at the same time, for only so far as both are there is there anything extended in space. Therefore what Kant says in the observations on the thesis, “Space ought not to be called a *compositum*, but a *totum*,” &c., holds good absolutely of matter also, which is simply space become perceptible. On the other hand, the infinite divisibility of matter, which the antithesis asserts, follows *a priori* and incontrovertibly from that of space, which it fills. This proposition has absolutely nothing against it; and therefore Kant also (p. 513; V. 541), when he speaks seriously and in his own person, no longer as the mouthpiece of the ἀδίκος λόγος, presents it as objective truth; and also in the “Metaphysical First Principles of Natural Science” (p. 108, first edition), the proposition, “Matter is infinitely divisible,” is placed at the beginning of the proof of the first proposition of mechanics as established truth, having appeared and been proved as the fourth proposition in the Dynamics. But here Kant spoils the proof of the antithesis by the greatest obscurity of style and useless accumulation of words, with the cunning intention that the evidence of the antithesis shall not throw the sophisms of the thesis too much into the shade. Atoms are no necessary thought of the reason, but merely an hypothesis for the explanation of the difference of the specific gravity of bodies. But Kant himself has shown, in the dynamics of his

“Metaphysical First Principles of Natural Science,” that this can be otherwise, and indeed better and more simply explained than by atomism. In this, however, he was anticipated by Priestley, “On Matter and Spirit,” sect. i. Indeed, even in Aristotle, “Phys.” iv. 9, the fundamental thought of this is to be found.

The argument for the third thesis is a very fine sophism, and is really Kant’s pretended principle of pure reason itself entirely unadulterated and unchanged. It tries to prove the finiteness of the series of causes by saying that, in order to be *sufficient*, a cause must contain the complete sum of the conditions from which the succeeding state, the effect, proceeds. For the completeness of the determinations present *together* in the state which is the cause, the argument now substitutes the completeness of the series of causes by which that state itself was brought to actuality; and because completeness presupposes the condition of being rounded off or closed in, and this again presupposes finiteness, the argument infers from this a first cause, closing the series and therefore unconditioned. But the juggling is obvious. In order to conceive the state A. as the sufficient cause of the state B., I assume that it contains the sum of the necessary determinations from the co-existence of which the state B. inevitably follows. Now by this my demand upon it as a *sufficient* cause is entirely satisfied, and has no direct connection with the question how the state A. itself came to be; this rather belongs to an entirely different consideration, in which I regard the said state A. no more as cause, but as itself an effect; in which case another state again must be related to it, just as it was related to B. The assumption of the finiteness of the series of causes and effects, and accordingly of a first beginning, appears nowhere in this as necessary, any more than the presentness of the present moment requires us to assume a beginning of time itself. It only comes to be added on account of the laziness of the speculating individual. That this assumption lies in the acceptance of a cause as a *sufficient reason* is thus unfairly arrived at and false, as I have shown at length above when considering the Kantian principle of pure reason which coincides with this thesis. In illustration of the assertion of this false thesis, Kant is bold enough in his observations upon it to give as an example of an unconditioned beginning his rising from his chair; as if it were not just as impossible for him to rise without a motive as for a ball to roll without a cause. I certainly do not need to prove the baselessness of the appeal which, induced by a sense of weakness, he makes to the



philosophers of antiquity, by quoting from Ocellus Lucanus, the Eleatics, &c., not to speak of the Hindus. Against the proof of this antithesis, as in the case of the previous ones, there is nothing to advance.

The fourth conflict is, as I have already remarked, really tautological with the third; and the proof of the thesis is also essentially the same as that of the preceding one. His assertion that every conditioned presupposes a complete series of conditions, and therefore a series which ends with an unconditioned, is a *petitio principii*, which must simply be denied. Everything conditioned presupposes nothing but its condition; that this is again conditioned raises a new consideration which is not directly contained in the first.

A certain appearance of probability cannot be denied to the antinomy; yet it is remarkable that no part of the Kantian philosophy has met so little contradiction, indeed has found so much acceptance, as this exceedingly paradoxical doctrine. Almost all philosophical parties and text-books have regarded it as valid, and have also repeatedly reconstructed it; while nearly all Kant's other doctrines have been contested, and indeed there have never been wanting some perverse minds which rejected even the transcendental æsthetic. The undivided assent which the antinomy, on the other hand, has met with may ultimately arise from the fact that certain persons regard with inward satisfaction the point at which the understanding is so thoroughly brought to a standstill, having hit upon something which at once is and is not, so that they actually have before them here the sixth trick of Philadelphia in Lichtenberg's broadsheet.

If we examine the real meaning of Kant's *Critical Solution* of the cosmological problem which now follows, we find that it is not what he gives it out to be, the solution of the problem by the disclosure that both sides, starting from false assumptions, are wrong in the first and second conflicts, and that in the third and fourth both are right. It is really the confirmation of the antitheses by the explanation of their assertions.

First Kant asserts, in this solution, obviously wrongly, that both sides started from the assumption, as their first principle, that with the conditioned the completed (thus rounded off) *series* of its conditions is given. Only the thesis laid down this proposition, Kant's principle of pure reason, as the ground of its assertions; the antithesis, on the other hand, expressly denied it throughout, and asserted the contrary. Further, Kant charges both sides with this assumption, that the world exists in itself, *i.e.*,

independently of being known and of the forms of this knowledge, but this assumption also is only made by the thesis; indeed, it is so far from forming the ground of the assertions of the antithesis that it is absolutely inconsistent with them. For that it should all be given is absolutely contradictory of the conception of an infinite series. It is therefore essential to it that it should always exist only with reference to the process of going through it, and not independently of this. On the other hand, in the assumption of definite limits also lies that of a whole which exists absolutely and independently of the process of completely measuring it. Thus it is only the thesis that makes the false assumption of a self-existent universe, *i.e.*, a universe given prior to all knowledge, and to which knowledge came as to something external to itself. The antithesis from the outset combats this assumption absolutely; for the infinity of the series which it asserts merely under the guidance of the principle of sufficient reason can only exist if the regressus is fully carried out, but not independently of it. As the object in general presupposes the subject, so also the object which is determined as an *endless* chain of conditions necessarily presupposes in the subject the kind of knowledge corresponding to this, that is, the *constant following* of the links of that chain. But this is just what Kant gives as the solution of the problem, and so often repeats: “The infinity of the world is only *through* the regressus, not *before* it.” This his solution of the conflict is thus really only the decision in favour of the antithesis in the assertion of which this truth already lies, while it is altogether inconsistent with the assertions of the thesis. If the antithesis had asserted that the world consisted of infinite series of reasons and consequents, and yet existed independently of the idea and its regressive series, thus in itself, and therefore constituted a given whole, it would have contradicted not only the thesis but also itself. For an infinite can never be given as a whole, nor an *endless* series exist, except as an endless progress; nor can what is boundless constitute a whole. Thus this assumption, of which Kant asserts that it led both sides into error, belongs only to the thesis.

It is already a doctrine of Aristotle’s that an infinity can never be *actu*, *i.e.*, actual and given, but only *potentiâ*. Οὐκ ἐστὶν ἐνεργεῖα εἶναι τὸ ἀπείρῳν ... ἀλλ’ ἀδύνατον τὸ ἐντελεχεῖα ὄν ἀπείρῳν (*infinitum non potest esse actu: ... sed impossibile, actu esse infinitum*), *Metaph.* K. 10. Further: κατ’ ἐνεργεῖαν μὲν γὰρ οὐδὲν ἐστὶν ἀπείρῳν, δυνάμει δὲ ἐπὶ τὴν διαίρεσιν

*(nihil enim actu infinitum est, sed potentia tantum, nempe divisione ipsa).*  
*De generat. et corrupt.*, i., 3. He develops this fully in the “Physics,” iii. 5 and 6, where to a certain extent he gives the perfectly correct solution of the whole of the antinomies. He expounds the antinomies in his short way, and then says, “A mediator (δωαιτητου) is required;” upon which he gives the solution that the infinite, both of the world in space and in time and in division, is never *before* the regressus, or progressus, but in it. This truth lies then in the rightly apprehended conception of the infinite. Thus one misunderstands himself if he imagines that he can think the infinite, of whatever kind it may be, as something objectively present and complete, and independent of the regressus.

Indeed if, reversing the procedure, we take as the starting-point what Kant gives as the solution of the conflict, the assertion of the antithesis follows exactly from it. Thus: if the world is not an unconditioned whole and does not exist absolutely but only in the idea, and if its series of reasons and consequents do not exist *before* the regressus of the ideas of them but only *through* this regressus, then the world cannot contain determined and finite series, because their determination and limitation would necessarily be independent of the idea, which would then only come afterwards; but all its series must be infinite, *i.e.*, inexhaustible by any idea.

On p. 506; V. 534, Kant tries to prove from the falseness of both sides the transcendental ideality of the phenomenon, and begins, "If the world is a whole existing by itself, it is either finite or infinite." But this is false; a whole existing of itself cannot possibly be infinite. That ideality may rather be concluded from the infinity of the series in the world in the following manner: — If the series of reasons and consequents in the world are absolutely without end, the world cannot be a given whole independent of the idea; for such a world always presupposes definite limits, just as on the contrary infinite series presuppose an infinite regressus. Therefore, the presupposed infinity of the series must be determined through the form of reason and consequent, and this again through the form of knowledge of the subject; thus the world as it is known must exist only in the idea of the subject.

Now whether Kant himself was aware or not that his critical solution of the problem is really a decision in favour of the antithesis, I am unable to decide. For it depends upon whether what Schelling has somewhere very happily called Kant's system of accommodation extended so far; or whether Kant's mind was here already involved in an unconscious accommodation to the influence of his time and surroundings.

The solution of the third antinomy, the subject of which was the Idea of freedom, deserves a special consideration, because it is for us very well worth notice that it is just here in connection with *the Idea of freedom* that Kant is obliged to speak more fully of the *thing in itself*, which was hitherto only seen in the background. This is very explicable to us since we have recognised the thing in itself as the *will*. Speaking generally, this is the point at which the Kantian philosophy leads to mine, or at which mine springs out

of his as its parent stem. One will be convinced of this if one reads with attention pp. 536 and 537; V. 564 and 565, of the “Critique of Pure Reason,” and, further, compares these passages with the introduction to the “Critique of Judgment,” pp. xviii. and xix. of the third edition, or p. 13 of Rosenkranz’s edition, where indeed it is said: “The conception of freedom can in its object (that is then the will) present to the mind a thing in itself, but not in perception; the conception of nature, on the other hand, can present its object to the mind in perception, but not as a thing in itself.” But specially let any one read concerning the solution of the antinomies the fifty-third paragraph of the Prolegomena, and then honestly answer the question whether all that is said there does not sound like a riddle to which my doctrine is the answer. Kant never completed his thought; I have merely carried out his work. Accordingly, what Kant says only of the human phenomenon I have extended to all phenomena in general, as differing from the human phenomenon only in degree, that their true being is something absolutely free, *i.e.*, a will. It appears from my work how fruitful this insight is in connection with Kant’s doctrine of the ideality of space, time, and causality.

Kant has nowhere made the thing in itself the subject of a special exposition or distinct deduction; but, whenever he wants it, he introduces it at once by means of the conclusion that the phenomenon, thus the visible world, must have a reason, an intelligible cause, which is not a phenomenon, and therefore belongs to no possible experience. He does this after having assiduously insisted that the categories, and thus causality also, had a use which was absolutely confined to possible experience; that they were merely forms of the understanding, which served to spell out the phenomena of the world of sense, beyond which, on the other hand, they had no significance, &c., &c. Therefore, he denies in the most uncompromising manner their application to things beyond experience, and rightly explains and at once rejects all earlier dogmatism as based upon the neglect of this law. The incredible inconsistency which Kant here fell into was soon noticed, and used by his first opponents to make attacks on his philosophy to which it could offer no resistance. For certainly we apply the law of causality entirely *a priori* and before all experience to the changes felt in our organs of sense. But, on this very account, this law is just as much of subjective origin as these sensations themselves, and thus does not lead to a thing in itself. The truth is, that upon the path of the idea one can

never get beyond the idea; it is a rounded-off whole, and has in its own resources no clue leading to the nature of the thing in itself, which is *toto genere* different from it. If we were merely perceiving beings, the way to the thing in itself would be absolutely cut off from us. Only the other side of our own being can disclose to us the other side of the inner being of things. This path I have followed. But Kant's inference to the thing in itself, contrary as it is to his own teaching, obtains some excuse from the following circumstance. He does not say, as truth required, simply and absolutely that the object is conditioned by the subject, and conversely; but only that the manner of the appearance of the object is conditioned by the forms of knowledge of the subject, which, therefore, also come *a priori* to consciousness. But that now which in opposition to this is only known *a posteriori* is for him the immediate effect of the thing in itself, which becomes phenomenon only in its passage through these forms which are given *a priori*. From this point of view it is to some extent explicable how it could escape him that objectivity in general belongs to the form of the phenomenon, and is just as much conditioned by subjectivity in general as the mode of appearing of the object is conditioned by the forms of knowledge of the subject; that thus if a thing in itself must be assumed, it absolutely cannot be an object, which however he always assumes it to be, but such a thing in itself must necessarily lie in a sphere *toto genere* different from the idea (from knowing and being known), and therefore could least of all be arrived at through the laws of the combination of objects among themselves.

With the proof of the thing in itself it has happened to Kant precisely as with that of the *a priori* nature of the law of causality. Both doctrines are true, but their proof is false. They thus belong to the class of true conclusions from false premises. I have retained them both, but have proved them in an entirely different way, and with certainty.

The thing in itself I have neither introduced surreptitiously nor inferred according to laws which exclude it, because they really belong to its phenomenal appearance; nor, in general, have I arrived at it by roundabout ways. On the contrary, I have shown it directly, there where it lies immediately, in the will, which reveals itself to every one directly as the in-itself of his own phenomenal being.

And it is also this immediate knowledge of his own will out of which in human consciousness the conception of *freedom* springs; for certainly the

will, as world-creating, as thing in itself, is free from the principle of sufficient reason, and therewith from all necessity, thus is completely independent, free, and indeed almighty. Yet, in truth, this only holds good of the will in itself, not of its manifestations, the individuals, who, just through the will itself, are unalterably determined as its manifestations in time. But in the ordinary consciousness, unenlightened by philosophy, the will is at once confused with its manifestation, and what belongs only to the former is attributed to the latter, whence arises the illusion of the unconditioned freedom of the individual. Therefore Spinoza says rightly that if the projected stone had consciousness, it would believe that it flew of its own free will. For certainly the in-itself of the stone also is the will, which alone is free; but, as in all its manifestations, here also, where it appears as a stone, it is already fully determined. But of all this enough has already been said in the text of this work.

Kant fails to understand and overlooks this immediate origin of the conception of freedom in every human consciousness, and therefore he now places (p. 533; V. 561) the source of that conception in a very subtle speculation, through which the unconditioned, to which the reason must always tend, leads us to hypostatise the conception of freedom, and it is only upon this transcendent Idea of freedom that the practical conception of it is supposed to be founded. In the “Critique of Practical Reason,” § 6, and p. 158 of the fourth and 235 of Rosenkranz’s edition, he yet deduces this last conception differently by saying that the categorical imperative presupposes it. The speculative Idea is accordingly only the primary source of the conception of freedom for the sake of this presupposition, but here it obtains both significance and application. Neither, however, is the case. For the delusion of a perfect freedom of the individual in his particular actions is most lively in the conviction of the least cultivated man who has never reflected, and it is thus founded on no speculation, although often assumed by speculation from without. Thus only philosophers, and indeed only the most profound of them, are free from it, and also the most thoughtful and enlightened of the writers of the Church.

It follows, then, from all that has been said, that the true source of the conception of freedom is in no way essentially an inference, either from the speculative Idea of an unconditioned cause, nor from the fact that it is presupposed by the categorical imperative. But it springs directly from the consciousness in which each one recognises himself at once as the *will*, *i.e.*,

as that which, as the thing in itself, has not the principle of sufficient reason for its form, and which itself depends upon nothing, but on which everything else rather depends. Every one, however, does not recognise himself at once with the critical and reflective insight of philosophy as a determined manifestation of this will which has already entered time, as we might say, an act of will distinguished from that will to live itself; and, therefore, instead of recognising his whole existence as an act of his freedom, he rather seeks for freedom in his individual actions. Upon this point I refer the reader to my prize-essay on the freedom of the will.

Now if Kant, as he here pretends, and also apparently did in earlier cases, had merely inferred the thing in itself, and that with the great inconsistency of an inference absolutely forbidden by himself, what a remarkable accident would it then be that here, where for the first time he approaches the thing in itself more closely and explains it, he should recognise in it at once the *will*, the free will showing itself in the world only in temporal manifestations! I therefore really assume, though it cannot be proved, that whenever Kant spoke of the thing in itself, in the obscure depths of his mind he already always indistinctly thought of the will. This receives support from a passage in the preface to the second edition of the “Critique of Pure Reason,” pp. xxvii. and xxviii., in Rosenkranz’s edition, p. 677 of the Supplement.

For the rest, it is just this predetermined solution of the sham third conflict that affords Kant the opportunity of expressing very beautifully the deepest thoughts of his whole philosophy. This is the case in the whole of the “Sixth Section of the Antinomy of Pure Reason;” but, above all, in the exposition of the opposition between the empirical and the intelligible character, p. 534-550; V. 562-578, which I number among the most admirable things that have ever been said by man. (As a supplemental explanation of this passage, compare a parallel passage in the Critique of Practical Reason, p. 169-179 of the fourth edition, or p. 224-231 of Rosenkranz’s edition.) It is yet all the more to be regretted that this is here not in its right place, partly because it is not found in the way which the exposition states, and therefore could be otherwise deduced than it is, partly because it does not fulfil the end for which it is there — the solution of the sham antinomy. The intelligible character, the thing in itself, is inferred from the phenomenon by the inconsistent use of the category of causality beyond the sphere of all phenomena, which has already been sufficiently



condemned. In this case the will of man (which Kant entitles reason, most improperly, and with an unpardonable breach of all use of language) is set up as the thing in itself, with an appeal to an unconditioned ought, the categorical imperative, which is postulated without more ado.

Now, instead of all this, the plain open procedure would have been to start directly from the will, and prove it to be the in-itself of our own phenomenal being, recognised without any mediation; and then to give that exposition of the empirical and the intelligible character to explain how all actions, although necessitated by motives, yet, both by their author and by the disinterested judge, are necessarily and absolutely ascribed to the former himself and alone, as depending solely upon him, to whom therefore guilt and merit are attributed in respect of them. This alone was the straight path to the knowledge of that which is not phenomenon, and therefore will not be found by the help of the laws of the phenomenon, but is that which reveals itself through the phenomenon, becomes knowable, objectifies itself — the will to live. It would then have had to be exhibited merely by analogy as the inner nature of every phenomenon. Then, however, it certainly could not have been said that in lifeless or even animal nature no faculty can be thought except as sensuously conditioned (p. 546; V. 574), which in Kant's language is simply saying that the explanation, according to the law of causality, exhausts the inner nature of these phenomena, and thus in their case, very inconsistently, the thing in itself disappears. Through the false position and the roundabout deduction according with it which the exposition of the thing in itself has received from Kant, the whole conception of it has also become falsified. For the will or the thing in itself, found through the investigation of an unconditioned cause, appears here related to the phenomenon as cause to effect. But this relation exists only within the phenomenal world, therefore presupposes it, and cannot connect the phenomenal world itself with what lies outside it, and is *toto genere* different from it.

Further, the intended end, the solution of the third antinomy by the decision that both sides, each in a different sense, are right, is not reached at all. For neither the thesis nor the antithesis have anything to do with the thing in itself, but entirely with the phenomenon, the objective world, the world as idea. This it is, and absolutely nothing else, of which the thesis tries to show, by means of the sophistry we have laid bare, that it contains unconditioned causes, and it is also this of which the antithesis rightly

denies that it contains such causes. Therefore the whole exposition of the transcendental freedom of the will, so far as it is a thing in itself, which is given here in justification of the thesis, excellent as it is in itself, is yet here entirely a μεταβασις εις αλλο γενοϛ. For the transcendental freedom of the will which is expounded is by no means the unconditioned causality of a cause, which the thesis asserts, because it is of the essence of a cause that it must be a phenomenon, and not something which lies beyond all phenomena and is *toto genere* different.

If what is spoken of is cause and effect, the relation of the will to the manifestation (or of the intelligible character to the empirical) must never be introduced, as happens here: for it is entirely different from causal relation. However, here also, in this solution of the antinomy, it is said with truth that the empirical character of man, like that of every other cause in nature, is unalterably determined, and therefore that his actions necessarily take place in accordance with the external influences; therefore also, in spite of all transcendental freedom (*i.e.*, independence of the will in itself of the laws of the connection of its manifestation), no man has the power of himself to begin a series of actions, which, however, was asserted by the thesis. Thus also freedom has no causality; for only the will is free, and it lies outside nature or the phenomenon, which is just its objectification, but does not stand in a causal relation to it, for this relation is only found within the sphere of the phenomenon, thus presupposes it, and cannot embrace the phenomenon itself and connect it with what is expressly not a phenomenon. The world itself can only be explained through the will (for it is the will itself, so far as it manifests itself), and not through causality. But *in the world* causality is the sole principle of explanation, and everything happens simply according to the laws of nature. Thus the right lies entirely on the side of the antithesis, which sticks to the question in hand, and uses that principle of explanation which is valid with regard to it; therefore it needs no apology. The thesis, on the other hand, is supposed to be got out of the matter by an apology, which first passes over to something quite different from the question at issue, and then assumes a principle of explanation which is inapplicable to it.

The fourth conflict is, as has already been said, in its real meaning tautological with the third. In its solution Kant develops still more the untenable nature of the thesis; while for its truth, on the other hand, and its pretended consistency with the antithesis, he advances no reason, as

conversely he is able to bring no reason against the antithesis. The assumption of the thesis he introduces quite apologetically, and yet calls it himself (p. 562; V. 590) an arbitrary presupposition, the object of which might well in itself be impossible, and shows merely an utterly impotent endeavour to find a corner for it somewhere where it will be safe from the prevailing might of the antithesis, only to avoid disclosing the emptiness of the whole of his once-loved assertion of the necessary antinomy in human reason.

Now follows the chapter on the transcendental ideal, which carries us back at once to the rigid Scholasticism of the Middle Ages. One imagines one is listening to Anselm of Canterbury himself. The *ens realissimum*, the essence of all realities, the content of all affirmative propositions, appears, and indeed claims to be a necessary thought of the reason. I for my part must confess that to my reason such a thought is impossible, and that I am not able to think anything definite in connection with the words which denote it.

Moreover, I do not doubt that Kant was compelled to write this extraordinary chapter, so unworthy of him, simply by his fondness for architectonic symmetry. The three principal objects of the Scholastic philosophy (which, as we have said, if understood in the wider sense, may be regarded as continuing down to Kant), the soul, the world, and God, are supposed to be deduced from the three possible major propositions of syllogisms, though it is plain that they have arisen, and can arise, simply and solely through the unconditioned application of the principle of sufficient reason. Now, after the soul had been forced into the categorical judgment, and the hypothetical was set apart for the world, there remained for the third Idea nothing but the disjunctive major. Fortunately there existed a previous work in this direction, the *ens realissimum* of the Scholastics, together with the ontological proof of the existence of God set up in a rudimentary form by Anselm of Canterbury and then perfected by Descartes. This was joyfully made use of by Kant, with some reminiscence also of an earlier Latin work of his youth. However, the sacrifice which Kant makes to his love of architectonic symmetry in this chapter is exceedingly great. In defiance of all truth, what one must regard as the grotesque idea of an essence of all possible realities is made an essential and necessary thought of the reason. For the deduction of this Kant makes

use of the false assertion that our knowledge of particular things arises from a progressive limitation of general conceptions; thus also of a most general conception of all which contains all reality *in itself*. In this he stands just as much in contradiction with his own teaching as with the truth, for exactly the converse is the case. Our knowledge starts with the particular and is extended to the general, and all general conceptions arise by abstraction from real, particular things known by perception, and this can be carried on to the most general of all conceptions, which includes everything under it, but almost nothing *in it*. Thus Kant has here placed the procedure of our faculty of knowledge just upside down, and thus might well be accused of having given occasion to a philosophical charlatanism that has become famous in our day, which, instead of recognising that conceptions are thoughts abstracted from things, makes, on the contrary the conceptions first, and sees in things only concrete conceptions, thus bringing to market the world turned upside down as a philosophical buffoonery, which of course necessarily found great acceptance.

Even if we assume that every reason must, or at least can, attain to the conception of God, even without revelation, this clearly takes place only under the guidance of causality. This is so evident that it requires no proof. Therefore Chr. Wolf says (*Cosmologia Generalis*, præf., p. 1): *Sane in theologia naturali existentiam Numinis e principiis cosmologicis demonstramus. Contingentia universi et ordinis naturæ, una cum impossibilitate casus, sunt scala, per quam a mundo hoc adspectabili ad Deum ascenditur.* And, before him, Leibnitz said, in connection with the law of causality: *Sans ce grand principe on ne saurait venir à la preuve de l'existence de Dieu.* On the other hand, the thought which is worked out in this chapter is so far from being essential and necessary to reason, that it is rather to be regarded as a veritable masterpiece of the monstrous productions of an age which, through strange circumstances, fell into the most singular aberrations and perversities, such as the age of the Scholastics was — an age which is unparalleled in the history of the world, and can never return again. This Scholasticism, as it advanced to its final form, certainly derived the principal proof of the existence of God from the conception of the *ens realissimum*, and only then used the other proofs as accessory. This, however, is mere methodology, and proves nothing as to the origin of theology in the human mind. Kant has here taken the procedure of Scholasticism for that of reason — a mistake which indeed he

has made more than once. If it were true that according to the essential laws of reason the Idea of God proceeds from the disjunctive syllogism under the form of an Idea of the most real being, this Idea would also have existed in the philosophy of antiquity; but of the *ens realissimum* there is nowhere a trace in any of the ancient philosophers, although some of them certainly teach that there is a Creator of the world, yet only as the giver of form to the matter which exists without him, δημιουργός, a being whom they yet infer simply and solely in accordance with the law of causality. It is true that Sextus Empiricus (*adv. Math.*, ix. § 88) quotes an argument of Cleanthes, which some have held to be the ontological proof. This, however, it is not, but merely an inference from analogy; because experience teaches that upon earth one being is always better than another, and man, indeed, as the best, closes the series, but yet has many faults; therefore there must exist beings who are still better, and finally one being who is best of all (κρατιστον, αριστον), and this would be God.

On the detailed refutation of speculative theology which now follows I have only briefly to remark that it, and in general the whole criticism of the three so-called Ideas of reason, thus the whole Dialectic of Pure Reason, is indeed to a certain extent the goal and end of the whole work: yet this polemical part has not really an absolutely universal, permanent, and purely philosophical interest, such as is possessed by the preceding doctrinal part, *i.e.*, the æsthetic and analytic; but rather a temporary and local interest, because it stands in a special relation to the leading points of the philosophy which prevailed in Europe up till the time of Kant, the complete overthrow of which was yet, to his immortal credit, achieved by him through this polemic. He has eliminated theism from philosophy; for in it, as a science and not a system of faith, only that can find a place which is either empirically given or established by valid proofs. Naturally we only mean here the real seriously understood philosophy which is concerned with the truth, and nothing else; and by no means the jest of philosophy taught in the universities, in which, after Kant as before him, speculative theology plays the principal part, and where, also, after as before him, the soul appears without ceremony as a familiar person. For it is the philosophy endowed with salaries and fees, and, indeed, also with titles of Hofrath, which, looking proudly down from its height, remains for forty years entirely unaware of the existence of little people like me, and would be thoroughly

glad to be rid of the old Kant with his Critiques, that they might drink the health of Leibnitz with all their hearts. It is further to be remarked here, that as Kant was confessedly led to his doctrine of the *a priori* nature of the conception of causality by Hume's scepticism with regard to that conception, it may be that in the same way Kant's criticism of all speculative theology had its occasion in Hume's criticism of all popular theology, which he had given in his "Natural History of Religion," a book so well worth reading, and in the "Dialogues on Natural Religion." Indeed, it may be that Kant wished to a certain extent to supplement this. For the first-named work of Hume is really a critique of popular theology, the pitiable condition of which it seeks to show; while, on the other hand, it points to rational or speculative theology as the genuine, and that which is worthy of respect. But Kant now discloses the groundlessness of the latter, and leaves, on the other hand, popular theology untouched, nay, even establishes it in a nobler form as a faith based upon moral feeling. This was afterwards distorted by the philosophasters into rational apprehensions, consciousness of God, or intellectual intuitions of the supersensible, of the divine, &c., &c.; while Kant, as he demolished old and revered errors, and knew the danger of doing so, rather wished through the moral theology merely to substitute a few weak temporary supports, so that the ruin might not fall on him, but that he might have time to escape.

Now, as regards the performance of the task, no critique of reason was necessary for the refutation of the *ontological* proof of the existence of God; for without presupposing the æsthetic and analytic, it is quite easy to make clear that that ontological proof is nothing but a subtle playing with conceptions which is quite powerless to produce conviction. There is a chapter in the "*Organon*" of Aristotle which suffices as fully for the refutation of the ontological proof as if it had been written intentionally with that purpose. It is the seventh chapter of the second book of the "*Analyt. Post.*" Among other things, it is expressly said there: "το δε ειναι ουκ ουσια ουδενι," *i.e.*, *existentia nunquam ad essentiam rei pertinet*.

The refutation of the *cosmological* proof is an application to a given case of the doctrine of the Critique as expounded up to that point, and there is nothing to be said against it. The *physico-theological* proof is a mere amplification of the cosmological, which it presupposes, and it finds its full refutation only in the "Critique of Judgment." I refer the reader in this

connection to the rubric, “Comparative Anatomy,” in my work on the Will in Nature.

In the criticism of this proof Kant has only to do, as we have already said, with speculative theology, and limits himself to the School. If, on the contrary, he had had life and popular theology also in view, he would have been obliged to add a fourth proof to the three he has considered — that proof which is really the effective one with the great mass of men, and which in Kant’s technical language might best be called the *keraunological*. It is the proof which is founded upon the needy, impotent, and dependent condition of man as opposed to natural forces, which are infinitely superior, inscrutable, and for the most part threatening evil; to which is added man’s natural inclination to personify everything, and finally the hope of effecting something by prayers and flattery, and even by gifts. In every human undertaking there is something which is not in our power and does not come within our calculations; the wish to win this for oneself is the origin of the gods. “*Primus in orbe Deos fecit timor*” is an old and true saying of Petronius. It is principally this proof which is criticised by Hume, who throughout appears as Kant’s forerunner in the writings referred to above. But those whom Kant has placed in a position of permanent embarrassment by his criticism of speculative theology are the professors of philosophy. Salaried by Christian governments, they dare not give up the chief article of faith.<sup>9</sup> Now, how do these gentlemen help themselves? They simply declare that the existence of God is self-evident. Indeed! After the ancient world, at the expense of its conscience, had worked miracles to prove it, and the modern world, at the expense of its understanding, had brought into the field ontological, cosmological, and physico-theological proofs — to these gentlemen it is self-evident. And from this self-evident God they then explain the world: that is their philosophy.

Till Kant came there was a real dilemma between materialism and theism, *i.e.*, between the assumption that a blind chance, or that an intelligence working from without in accordance with purposes and conceptions, had brought about the world, *neque dabatur tertium*. Therefore atheism and materialism were the same; hence the doubt whether there really could be an atheist, *i.e.*, a man who really could attribute to blind chance the disposition of nature, so full of design, especially organised nature. See, for example, Bacon’s Essays (*sermones fideles*), Essay 16, on Atheism. In the opinion of the great mass of men, and of the English, who

in such things belong entirely to the great mass (the mob), this is still the case, even with their most celebrated men of learning. One has only to look at Owen's "*Ostéologie Comparée*," of 1855, preface, p. 11, 12, where he stands always before the old dilemma between Democritus and Epicurus on the one side, and an intelligence on the other, in which *la connaissance d'un être tel que l'homme a existé avant que l'homme fit son apparition*. All design must have proceeded from an *intelligence*; he has never even dreamt of doubting this. Yet in the lecture based upon this now modified preface, delivered in the *Académie des Sciences* on the 5th September 1853, he says, with childish naivete: "*La téléologie, ou la théologie scientifique*" (*Comptes Rendus*, Sept. 1853), that is for him precisely the same thing! Is anything in nature designed? then it is a work of intention, of reflection, of intelligence. Yet, certainly, what has such an Englishman and the *Académie des Sciences* to do with the "Critique of Judgment," or, indeed, with my book upon the Will in Nature? These gentlemen do not see so far below them. These *illustres confrères* disdain metaphysics and the *philosophie allemande*: they confine themselves to the old woman's philosophy. The validity of that disjunctive major, that dilemma between materialism and theism, rests, however, upon the assumption that the present given world is the world of things in themselves; that consequently there is no other order of things than the empirical. But after the world and its order had through Kant become mere phenomenon, the laws of which rest principally upon the forms of our intellect, the existence and nature of things and of the world no longer required to be explained according to the analogy of the changes perceived or effected by us in the world; nor must that which we comprehend as means and end have necessarily arisen as the consequence of a similar knowledge. Thus, inasmuch as Kant, through his important distinction between phenomenon and thing in itself, withdrew the foundation from theism, he opened, on the other hand, the way to entirely different and more profound explanations of existence.

In the chapter on the ultimate aim of the natural dialectic of reason it is asserted that the three transcendent Ideas are of value as regulative principles for the advancement of the knowledge of nature. But Kant can barely have been serious in making this assertion. At least its opposite, that these assumptions are restrictive and fatal to all investigation of nature, is to every natural philosopher beyond doubt. To test this by an example, let any one consider whether the assumption of the soul as an immaterial, simple,



thinking substance would have been necessarily advantageous or in the highest degree impeding to the truths which Cabanis has so beautifully expounded, or to the discoveries of Flourens, Marshall Hall, and Ch. Bell. Indeed Kant himself says (*Prolegomena*, § 44), “The Ideas of the reason are opposed and hindering to the maxims of the rational knowledge of nature.”

It is certainly not the least merit of Frederick the Great, that under his Government Kant could develop himself, and dared to publish the “Critique of Pure Reason.” Hardly under any other Government would a salaried professor have ventured such a thing. Kant was obliged to promise the immediate successor of the great king that he would write no more.

I might consider that I could dispense with the criticism of the ethical part of the Kantian philosophy here because I have given a detailed and thorough criticism of it twenty-two years later than the present work in the “*Beiden Grundproblemen der Ethik*.” However, what is here retained from the first edition, and for the sake of completeness must not be omitted, may serve as a suitable introduction to that later and much more thorough criticism, to which in the main I therefore refer the reader.

On account of Kant’s love of architectonic symmetry, the theoretical reason had also to have a *pendant*. The *intellectus practicus* of the Scholastics, which again springs from the νοῦς πρακτικός of Aristotle (*De Anima*, iii. 10, and *Polit.*, vii. c. 14: ὁ μὲν γὰρ πρακτικὸς ἐστὶ λόγος, ὁ δὲ θεωρητικὸς), provides the word ready made. Yet here something quite different is denoted by it — not as there, the reason directed to technical skill. Here the practical reason appears as the source and origin of the undeniable ethical significance of human action, and of all virtue, all nobleness, and every attainable degree of holiness. All this accordingly should come from mere *reason*, and demand nothing but this. To act rationally and to act virtuously, nobly, holily, would be one and the same; and to act selfishly, wickedly, viciously, would be merely to act irrationally. However, all times and peoples and languages have distinguished the two, and held them to be quite different things; and so does every one even at the present day who knows nothing of the language of the new school, *i.e.*, the whole world, with the exception of a small company of German *savants*. Every one but these last understands by virtuous conduct and a rational course of life two entirely different things. To say that the sublime founder of the Christian religion, whose life is presented to us as the pattern of all

virtue, was *the most rational* of all men would be called a very unbecoming and even a blasphemous way of speaking; and almost as much so if it were said that His precepts contained all the best directions for a perfectly *rational life*. Further, that he who, in accordance with these precepts, instead of taking thought for his own future needs, always relieves the greater present wants of others, without further motive, nay, gives all his goods to the poor, in order then, destitute of all means of subsistence, to go and preach to others also the virtue which he practises himself; this every one rightly honours; but who ventures to extol it as the highest pitch of *reasonableness*? And finally, who praises it as a *rational* deed that Arnold von Winkelried, with surpassing courage, clasped the hostile spears against his own body in order to gain victory and deliverance for his countrymen? On the other hand, if we see a man who from his youth upwards deliberates with exceptional foresight how he may procure for himself an easy competence, the means for the support of wife and children, a good name among men, outward honour and distinction, and in doing so never allows himself to be led astray or induced to lose sight of his end by the charm of present pleasures or the satisfaction of defying the arrogance of the powerful, or the desire of revenging insults and undeserved humiliations he has suffered, or the attractions of useless aesthetic or philosophical occupations of the mind, or travels in interesting lands, but with great consistency works towards his one end, — who ventures to deny that such a philistine is in quite an extraordinary degree *rational*, even if he has made use of some means which are not praiseworthy but are yet without danger? Nay, more, if a bad man, with deliberate shrewdness, through a well-thought-out plan attains to riches and honours, and even to thrones and crowns, and then with the acutest cunning gets the better of neighbouring states, overcomes them one by one, and now becomes a conqueror of the world, and in doing so is not led astray by any respect for right, any sense of humanity, but with sharp consistency tramples down and dashes to pieces everything that opposes his plan, without compassion plunges millions into misery of every kind, condemns millions to bleed and die, yet royally rewards and always protects his adherents and helpers, never forgetting anything, and thus reaches his end, — who does not see that such a man must go to work in a most rational manner? — that, as a powerful understanding was needed to form the plans, their execution demanded the complete command of the *reason*, and indeed properly of *practical reason*?

Or are the precepts which the prudent and consistent, the thoughtful and far-seeing Machiavelli prescribes to the prince *irrational*?<sup>10</sup>

As wickedness is quite consistent with reason, and indeed only becomes really terrible in this conjunction, so, conversely, nobleness is sometimes joined with want of reason. To this may be attributed the action of Coriolanus, who, after he had applied all his strength for years to the accomplishment of his revenge upon the Romans, when at length the time came, allowed himself to be softened by the prayers of the Senate and the tears of his mother and wife, gave up the revenge he had so long and so painfully prepared, and indeed, by thus bringing on himself the just anger of the Volscians, died for those very Romans whose thanklessness he knew and desired so intensely to punish. Finally, for the sake of completeness, it may be mentioned that reason may very well exist along with want of understanding. This is the case when a foolish maxim is chosen, but is followed out consistently. An example of this is afforded by the case of the Princess Isabella, daughter of Philip II., who vowed that she would not put on a clean chemise so long as Ostend remained unconquered, and kept her word through three years. In general all vows are of this class, whose origin is a want of insight as regards the law of causality, *i.e.*, want of understanding; nevertheless it is rational to fulfil them if one is of such narrow understanding as to make them.

In agreement with what we have said, we see the writers who appeared just before Kant place the conscience, as the seat of the moral impulses, in opposition to the reason. Thus Rousseau, in the fourth book of “*Emile*,” says: “*La raison nous trompe, mais la conscience ne trompe jamais*,” and further on: “*Il est impossible d’expliquer par les conséquences de notre nature le principe immédiat de la conscience indépendant de la raison même*.” Still further: “*Mes sentimens naturels parlaient pour l’intérêt commun, ma raison rapportait tout a moi.... On a beau vouloir etabli la vertu par la raison seul, quelle solide base peut-on lui donner?*” In the “*Rêveries du Promeneur*,” prom. 4<sup>e</sup>me, he says: “*Dans toutes les questions de morale difficiles je me suis toujours bien trouvé de les résoudre par le dictamen de la conscience, plutôt que par les lumières de la raison*.” Indeed Aristotle already says expressly (*Eth. Magna*, i. 5) that the virtues have their seat in the αλογω μοριω της ψυχης (*in parte irrationali animi*), and not in

the λογον εχοντι (*in parte rationali*). In accordance with this, Stobæus says (Ecl., ii, c.7), speaking of the Peripatetics: “Την ηθικην αρετην ὑπολαμβάνουσι περι το αλογον μέρος γινεσθαι της ψυχης, επειδη διμερη προς την παρουναν θεωριαν ὑπεθεντο την ψυχην, το μεν λογικον εχουσαν, το δ’ αλογον. Και περι μεν το λογικον την καλοκαγαθιαν γινεσθαι, και την φρονησιν, και την αγχινοιαν, και σοφιαν, και ευμαθειαν, και μνημην, και τας ὁμοιους; περι δε το αλογον, σωφροσυνην, και δικαιοσυνην, και ανδρειαν, και τας αλλας τας ηθικας καλουμενας αρετας.” (*Ethicam virtutem circa partem animæ ratione carentem versari putant, cam duplicem, ad hanc disquisitionem, animam ponant, ratione præditam, et ea carentem. In parte vero ratione prædita collocant ingenuitatem, prudentiam, perspicacitatem, sapientiam, docilitatem, memoriam et reliqua; in parte vero ratione destituta temperantiam, justitiam, fortitudinem, et reliquas virtutes, quas ethicās vocant.*) And Cicero (*De Nat. Deor.*, iii., c. 26-31) explains at length that reason is the necessary means, the tool, of all crime.

I have explained *reason* to be the *faculty of framing concepts*. It is this quite special class of general non-perceptible ideas, which are symbolised and fixed only by words, that distinguishes man from the brutes and gives him the pre-eminence upon earth. While the brute is the slave of the present, and knows only immediate sensible motives, and therefore when they present themselves to it is necessarily attracted or repelled by them, as iron is by the magnet, in man, on the contrary, deliberation has been introduced through the gift of reason.

This enables him easily to survey as a whole his life and the course of the world, looking before and after; it makes him independent of the present, enables him to go to work deliberately, systematically, and with foresight, to do evil as well as to do good. But what he does he does with complete self-consciousness; he knows exactly how his will decides, what in each case he chooses, and what other choice was in the nature of the case possible; and from this self-conscious willing he comes to know himself and mirrors himself in his actions. In all these relations to the conduct of men reason is to be called *practical*; it is only theoretical so far as the objects with which it is concerned have no relation to the action of the thinker, but have purely a theoretical interest, which very few men are capable of feeling. What in this sense is called *practical reason* is very

nearly what is signified by the Latin word *prudentia*, which, according to Cicero (*De Nat. Deor.* ii., 22), is a contraction of *providentia*; while, on the other hand, *ratio*, if used of a faculty of the mind, signifies for the most part theoretical reason proper, though the ancients did not observe the distinction strictly. In nearly all men reason has an almost exclusively practical tendency; but if this also is abandoned thought loses the control of action, so that it is then said, “*Scio meliora, proboque, deteriora sequor*,” or “*Le matin je fais des projets, et le soir je fais des sottises*.” Thus the man does not allow his conduct to be guided by his thought, but by the impression of the moment, after the manner of the brute; and so he is called irrational (without thereby imputing to him moral turpitude), although he is not really wanting in reason, but in the power of applying it to his action; and one might to a certain extent say his reason is theoretical and not practical. He may at the same time be a really good man, like many a one who can never see any one in misfortune without helping him, even making sacrifices to do so, and yet leaves his debts unpaid. Such an irrational character is quite incapable of committing great crimes, because the systematic planning, the discrimination and self-control, which this always requires are quite impossible to him. Yet, on the other hand, he will hardly attain to a very high degree of virtue, for, however much inclined to good he may be by nature, those single vicious and wicked emotions to which every one is subject cannot be wanting; and where reason does not manifest itself practically, and oppose to them unalterable maxims and firm principles, they must become deeds.

Finally, *reason* manifests itself very specially as *practical* in those exceedingly rational characters who on this account are called in ordinary life practical philosophers, and who are distinguished by an unusual equanimity in disagreeable as in pleasing circumstances, an equable disposition, and a determined perseverance in resolves once made. In fact, it is the predominance of reason in them, *i.e.*, the more abstract than intuitive knowledge, and therefore the survey of life by means of conceptions, in general and as a whole, which has enabled them once for all to recognise the deception of the momentary impression, the fleeting nature of all things, the shortness of life, the emptiness of pleasures, the fickleness of fortune, and the great and little tricks of chance. Therefore nothing comes to them unexpectedly, and what they know in the abstract does not surprise nor disturb them when it meets them in the actual and in the particular case,

though it does so in the case of those less reasonable characters upon whom the present, the perceptible, the actual, exerts such an influence that the cold, colourless conceptions are thrown quite into the background of consciousness, and forgetting principles and maxims, they are abandoned to emotions and passions of every kind. I have already explained at the end of the first book that in my opinion the ethics of Stoicism were simply a guide to a truly reasonable life, in this sense. Such a life is also repeatedly praised by Horace in very many passages. This is the significance of his *nil admirari*, and also of the Delphic Μηδεν ἀγαν. To translate *nil admirari* “to admire nothing” is quite wrong. This Horatian maxim does not concern the theoretical so much as the practical, and its real meaning is: “Prize no object unconditionally. Do not fall in love with anything; do not believe that the possession of anything can give you happiness. Every intense longing for an object is only a delusive chimera, which one may just as well, and much more easily, get quit of by fuller knowledge as by attained possession.” Cicero also uses *admirari* in this sense (*De Divinatione*, ii. 2). What Horace means is thus the ἀθαμβία and ἀκαταπληξίς, also ἀθαυμασία, which Democritus before him prized as the highest good (see *Clem. Alex. Strom.*, ii. 21, and cf. *Strabo*, i. p. 98 and 105). Such reasonableness of conduct has properly nothing to do with virtue and vice; but this practical use of reason is what gives man his pre-eminence over the brute, and only in this sense has it any meaning and is it permissible to speak of a dignity of man.

In all the cases given, and indeed in all conceivable cases, the distinction between rational and irrational action runs back to the question whether the motives are abstract conceptions or ideas of perception. Therefore the explanation which I have given of reason agrees exactly with the use of language at all times and among all peoples — a circumstance which will not be regarded as merely accidental or arbitrary, but will be seen to arise from the distinction of which every man is conscious, of the different faculties of the mind, in accordance with which consciousness he speaks, though certainly he does not raise it to the distinctness of an abstract definition. Our ancestors did not make the words without attaching to them a definite meaning, in order, perhaps, that they might lie ready for philosophers who might possibly come centuries after and determine what ought to be thought in connection with them; but they denoted by them quite definite conceptions. Thus the words are no longer unclaimed, and to attribute to them an entirely different sense from that which they have

hitherto had means to misuse them, means to introduce a licence in accordance with which every one might use any word in any sense he chose, and thus endless confusion would necessarily arise. Locke has already shown at length that most disagreements in philosophy arise from a false use of words. For the sake of illustration just glance for a moment at the shameful misuse which philosophers destitute of thoughts make at the present day of the words substance, consciousness, truth, and many others. Moreover, the utterances and explanations concerning reason of all philosophers of all ages, with the exception of the most modern, agree no less with my explanation of it than the conceptions which prevail among all nations of that prerogative of man. Observe what Plato, in the fourth book of the Republic, and in innumerable scattered passages, calls the λογισμον, or λογιστικον της ψυχης, what Cicero says (*De Nat. Deor.*, iii. 26-31), what Leibnitz and Locke say upon this in the passages already quoted in the first book. There would be no end to the quotations here if one sought to show how all philosophers before Kant have spoken of reason in general in my sense, although they did not know how to explain its nature with complete definiteness and distinctness by reducing it to one point. What was understood by reason shortly before Kant's appearance is shown in general by two essays of Sulzer in the first volume of his miscellaneous philosophical writings, the one entitled "Analysis of the Conception of Reason," the other, "On the Reciprocal Influence of Reason and Language." If, on the other hand, we read how reason is spoken about in the most recent times, through the influence of the Kantian error, which after him increased like an avalanche, we are obliged to assume that the whole of the wise men of antiquity, and also all philosophers before Kant, had absolutely no reason at all; for the immediate perceptions, intuitions, apprehensions, presentiments of the reason now discovered were as utterly unknown to them as the sixth sense of the bat is to us. And as far as I am concerned, I must confess that I also, in my weakness, cannot comprehend or imagine that reason which directly perceives or apprehends, or has an intellectual intuition of the super-sensible, the absolute, together with long yarns that accompany it, in any other way than as the sixth sense of the bat. This, however, must be said in favour of the invention or discovery of such a reason, which at once directly perceives whatever you choose, that it is an incomparable expedient for withdrawing oneself from the affair in the easiest manner in the world, along with one's favourite ideas, in spite of all

Kants, with their Critiques of Reason. The invention and the reception it has met with do honour to the age.

Thus, although what is essential in reason (το λογικον, ἡ φρονησις, *ratio*, *raison*, Vernunft) was, on the whole and in general, rightly understood by all philosophers of all ages, though not sharply enough defined nor reduced to one point, yet it was not so clear to them what the understanding (νοῦς, διανοια, *intellectus*, *esprit*, Verstand) is. Therefore they often confuse it with reason, and just on this account they did not attain to a thoroughly complete, pure, and simple explanation of the nature of the latter. With the Christian philosophers the conception of reason received an entirely extraneous, subsidiary meaning through the opposition of it to revelation. Starting, then, from this, many are justly of opinion that the knowledge of the duty of virtue is possible from mere reason, *i.e.*, without revelation. Indeed this aspect of the matter certainly had influence upon Kant's exposition and language. But this opposition is properly of positive, historical significance, and is therefore for philosophy a foreign element, from which it must keep itself free.

We might have expected that in his critiques of theoretical and practical reason Kant would have started with an exposition of the nature of reason in general, and, after he had thus defined the *genus*, would have gone on to the explanation of the two *species*, showing how one and the same reason manifests itself in two such different ways, and yet, by retaining its principal characteristic, proves itself to be the same. But we find nothing of all this. I have already shown how inadequate, vacillating, and inconsistent are the explanations of the faculty he is criticising, which he gives here and there by the way in the "Critique of Pure Reason." The *practical* reason appears in the "Critique of Pure Reason" without any introduction, and afterwards stands in the "Critique" specially devoted to itself as something already established. No further account of it is given, and the use of language of all times and peoples, which is treated with contempt, and the definitions of the conception given by the greatest of earlier philosophers, dare not lift up their voices. In general, we may conclude from particular passages that Kant's opinion amounts to this: the knowledge of principles *a priori* is the essential characteristic of reason: since now the knowledge of the ethical significance of action is not of empirical origin, it also is an *a priori* principle, and accordingly proceeds from the reason, and therefore thus far the reason is *practical*. I have already spoken enough of the



incorrectness of this explanation of reason. But, independently of this, how superficial it is, and what a want of thoroughness it shows, to make use here of the single quality of being independent of experience in order to combine the most heterogeneous things, while overlooking their most essential and immeasurable difference in other respects. For, even assuming, though we do not admit it, that the knowledge of the ethical significance of action springs from an imperative lying in us, an unconditioned *ought*, yet how fundamentally different would such an imperative be from those universal *forms of knowledge* of which, in the “Critique of Pure Reason,” Kant proves that we are conscious *a priori*, and by virtue of which consciousness we can assert beforehand an unconditioned *must*, valid for all experience possible for us. But the difference between this *must*, this necessary form of all objects which is already determined in the subject, and that *ought* of morality is so infinitely great and palpable that the mere fact that they agree in the one particular that neither of them is empirically known may indeed be made use of for the purpose of a witty comparison, but not as a philosophical justification for regarding their origin as the same.

Moreover, the birthplace of this child of practical reason, the *absolute ought* or the categorical imperative, is not in the “Critique of Practical Reason,” but in that of “Pure Reason,” p. 802; V. 830. The birth is violent, and is only accomplished by means of the forceps of a *therefore*, which stands boldly and audaciously, indeed one might say shamelessly, between two propositions which are utterly foreign to each other and have no connection, in order to combine them as reason and consequent. Thus, that not merely perceptible but also abstract motives determine us, is the proposition from which Kant starts, expressing it in the following manner: “Not merely what excites, *i.e.*, what affects the senses directly, determines human will, but we have a power of overcoming the impressions made upon our sensuous appetitive faculty through ideas of that which is itself in a more remote manner useful or hurtful. These deliberations as to what is worthy of desire, with reference to our whole condition, *i.e.*, as to what is good and useful, rest upon reason.” (Perfectly right; would that he only always spoke so rationally of reason!) “Reason *therefore* gives! also laws, which are imperatives, *i.e.*, objective laws of freedom, and say what ought to take place, though perhaps it never does take place”! Thus, without further authentication, the categorical imperative comes into the world, in

order to rule there with its unconditioned *ought* — a sceptre of wooden iron. For in the conception “*ought*” there lies always and essentially the reference to threatened punishment, or promised reward, as a necessary condition, and cannot be separated from it without abolishing the conception itself and taking all meaning from it. Therefore an *unconditioned ought* is a *contradictio in adjecto*. It was necessary to censure this mistake, closely as it is otherwise connected with Kant’s great service to ethics, which consists in this, that he has freed ethics from all principles of the world of experience, that is, from all direct or indirect doctrines of happiness, and has shown in a quite special manner that the kingdom of virtue is not of this world. This service is all the greater because all ancient philosophers, with the single exception of Plato, thus the Peripatetics, the Stoics, and the Epicureans, sought by very different devices either to make virtue and happiness dependent on each other in accordance with the principle of sufficient reason, or to identify them in accordance with the principle of contradiction. This charge applies with equal force to all modern philosophers down to Kant. His merit in this respect is therefore very great; yet justice demands that we should also remember here first that his exposition and elaboration often does not correspond with the tendency and spirit of his ethics, and secondly that, even so, he is not really the first who separated virtue from all principles of happiness. For Plato, especially in the “*Republic*,” the principal tendency of which is just this, expressly teaches that virtue is to be chosen for itself alone, even if unhappiness and ignominy are inevitably connected with it. Still more, however, Christianity preaches a perfectly unselfish virtue, which is practised not on account of the reward in a life after death, but quite disinterestedly from love to God, for works do not justify, but only faith, which accompanies virtue, so to speak, as its symptom, and therefore appears quite irrespective of reward and of its own accord. See Luther’s “*De Libertate Christiana*.” I will not take into account at all the Indians, in whose sacred books the hope of a reward for our works is everywhere described as the way of darkness, which can never lead to blessedness. Kant’s doctrine of virtue, however, we do not find so pure; or rather the exposition remains far behind the spirit of it, and indeed falls into inconsistency. In his *highest good*, which he afterwards discussed, we find virtue united to happiness. The ought originally so unconditioned does yet afterwards postulate one condition, in order to escape from the inner contradiction with which it is affected and with which it cannot live.

Happiness in the highest good is not indeed really meant to be the motive for virtue; yet there it is, like a secret article, the existence of which reduces all the rest to a mere sham contract. It is not really the reward of virtue, but yet it is a voluntary gift for which virtue, after work accomplished, stealthily opens the hand. One may convince oneself of this from the “Critique of Practical Reason” (p. 223-266 of the fourth, or p. 264-295 of Rosenkranz’s, edition). The whole of Kant’s moral theology has also the same tendency, and just on this account morality really destroys itself through moral theology. For I repeat that all virtue which in any way is practised for the sake of a reward is based upon a prudent, methodical, far-seeing egoism.

The content of the absolute ought, the fundamental principle of the practical reason, is the famous: “So act that the maxim of your will might always be also valid as the principle of a universal legislation.” This principle presents to him who desires a rule for his own will the task of seeking such a rule for the wills of all. Then the question arises how such a rule is to be found. Clearly, in order to discover the rule of my conduct, I ought not to have regard to myself alone, but to the sum of all individuals. Then, instead of my own well-being, the well-being of all without distinction becomes my aim. Yet the aim still always remains well-being. I find, then, that all can be equally well off only if each limits his own egoism by that of others. From this it certainly follows that I must injure no one, because, since this principle is assumed to be universal, I also will not be injured. This, however, is the sole ground on account of which I, who do not yet possess a moral principle, but am only seeking one, can wish this to be a universal law. But clearly in this way the desire of well-being, *i.e.*, egoism, remains the source of this ethical principle. As the basis of politics it would be excellent, as the basis of ethics it is worthless. For he who seeks to establish a rule for the wills of all, as is demanded by that moral principle, necessarily stands in need of a rule himself; otherwise everything would be alike to him. But this rule can only be his own egoism, since it is only this that is affected by the conduct of others; and therefore it is only by means of this egoism, and with reference to it, that each one can have a will concerning the conduct of others, and that it is not a matter of indifference to him. Kant himself very naively intimates this (p. 123 of the “Critique of Practical Reason,” Rosenkranz’s edition, p. 192), where he thus prosecutes the search for maxims for the will: “If every one regarded the need of others

with complete indifference, *and thou also didst belong* to such an order of things, wouldst thou consent thereto?" *Quam temere in nosmet legem sancimus iniquam!* would be the rule of the consent inquired after. So also in the "Fundamental Principles of the Metaphysic of Morals" (p. 56 of the third, and p. 50 of Rosenkranz's, edition): "A will which resolved to assist no one in distress would contradict itself, for cases might arise in which *it required the love and sympathy of others,*" &c. &c. This principle of ethics, which when light is thrown upon it is therefore nothing else than an indirect and disguised expression of the old, simple principle, "*Quod tibi fieri non vis, alteri ne feceris,*" is related first and directly to passivity, suffering, and then only by means of this to action. Therefore, as we have said, it would be thoroughly serviceable as a guide for the constitution of the State, which aims at the prevention of *the suffering of wrong*, and also desires to procure for all and each the greatest sum of well-being. But in ethics, where the object of investigation is *action as action*, and in its direct significance for the *actor* — not its consequences, suffering, or its relation to others — in this reference, I say, it is altogether inadmissible, because at bottom it really amounts to a principle of happiness, thus to egoism.

We cannot, therefore, share Kant's satisfaction that his principle of ethics is not a material one, *i.e.*, one which sets up an object as a motive, but merely formal, whereby it corresponds symmetrically to the formal laws with which the "Critique of Pure Reason" has made us familiar. Certainly it is, instead of a law, merely a formula for finding such a law. But, in the first place, we had this formula already more briefly and clearly in the "*Quod tibi fieri non vis, alteri ne feceris;*" and, secondly, the analysis of this formula shows that it is simply and solely the reference to one's own happiness that gives it content, and therefore it can only be serviceable to a rational egoism, to which also every legal constitution owes its origin.

Another mistake which, because it offends the feelings of every one, has often been condemned, and was satirised by Schiller in an epigram, is the pedantic rule that for an act to be really good and meritorious it must be done simply and solely out of respect for the known law and the conception of duty, and in accordance with a maxim known to the reason *in abstracto*, and not from any inclination, not from benevolence felt towards others, not from tender-hearted compassion, sympathy, or emotion of the heart, which (according to the "Critique of Practical Reason," p. 213; Rosenkranz's

edition, p. 257) to right-thinking persons are indeed very burdensome, as confusing their deliberate maxims. The act must be performed unwillingly and with self-compulsion. Remember that nevertheless the hope of reward is not allowed to enter, and estimate the great absurdity of the demand. But, what is saying more, this is directly opposed to the true spirit of virtue; not the act, but the willingness to do it, the love from which it proceeds, and without which it is a dead work, constitutes its merit. Therefore Christianity rightly teaches that all outward works are worthless if they do not proceed from that genuine disposition which consists in true goodwill and pure love, and that what makes blessed and saves is not the works done (*opera operata*), but the faith, the genuine disposition, which is the gift of the Holy Ghost alone, and which the free, deliberative will, having only the law in view, does not produce. This demand of Kant's, that all virtuous conduct shall proceed from pure, deliberate respect for the law and in accordance with its abstract maxims, coldly and without inclination, nay, opposed to all inclination, is just the same thing as if he asserted that every work of art must be accomplished by a well-considered application of æsthetical rules. The one is just as perverse as the other. The question, already handled by Plato and Seneca, whether virtue can be taught, is to be answered in the negative. We must finally make up our minds to see, what indeed was the source of the Christian doctrine of election by grace, that as regards its chief characteristic and its inner nature, virtue, like genius, is to a certain extent inborn; and that just as little as all the professors of æsthetics could impart to any one the power of producing works of genius, *i.e.*, genuine works of art, so little could all the professors of ethics and preachers of virtue transform an ignoble into a virtuous and noble character, the impossibility of which is very much more apparent than that of turning lead into gold. The search for a system of ethics and a first principle of the same, which would have practical influence and would actually transform and better the human race, is just like the search for the philosopher's stone. Yet I have spoken at length at the end of the fourth book of the possibility of an entire change of mind or conversion of man (new birth), not by means of abstract (ethics) but of intuitive knowledge (the work of grace). The contents of that book relieve me generally of the necessity of dwelling longer upon this point.

That Kant by no means penetrated to the real significance of the ethical content of actions is shown finally by his doctrine of the highest good as the

necessary combination of virtue and happiness, a combination indeed in which virtue would be that which merits happiness. He is here involved in the logical fallacy that the conception of merit, which is here the measure or test, already presupposes a theory of ethics as its own measure, and thus could not be deducible from it. It appeared in our fourth book that all genuine virtue, after it has attained to its highest grade, at last leads to a complete renunciation in which all willing finds an end. Happiness, on the other hand, is a satisfied wish; thus the two are essentially incapable of being combined. He who has been enlightened by my exposition requires no further explanation of the complete perverseness of this Kantian view of the highest good. And, independent of my positive exposition, I have no further negative exposition to give.

Kant's love of architectonic symmetry meets us also in the "Critique of Practical Reason," for he has given it the shape of the "Critique of Pure Reason," and has again introduced the same titles and forms with manifest intention, which becomes specially apparent in the table of the categories of freedom.

The "Philosophy of Law" is one of Kant's latest works, and is so poor that, although I entirely disagree with it, I think a polemic against it is superfluous, since of its own weakness it must die a natural death, just as if it were not the work of this great man, but the production of an ordinary mortal. Therefore, as regards the "Philosophy of Law," I give up the negative mode of procedure and refer to the positive, that is, to the short outline of it given in the fourth book. Just one or two general remarks on Kant's "Philosophy of Law" may be made here. The errors which I have condemned in considering the "Critique of Pure Reason," as clinging to Kant throughout, appear in the "Philosophy of Law" in such excess that one often believes he is reading a satirical parody of the Kantian style, or at least that he is listening to a Kantian. Two principal errors, however, are these. He desires (and many have since then desired) to separate the Philosophy of Law sharply from ethics, and yet not to make the former dependent upon positive legislation, *i.e.*, upon arbitrary sanction, but to let the conception of law exist for itself pure and *a priori*. But this is not possible; because conduct, apart from its ethical significance, and apart from the physical relation to others, and thereby from external sanction, does not admit even of the possibility of any third view. Consequently,

when he says, “Legal obligation is that which *can* be enforced,” this *can* is either to be understood physically, and then all law is positive and arbitrary, and again all arbitrariness that achieves its end is law; or the *can* is to be understood ethically, and we are again in the province of ethics. With Kant the conception of legal right hovers between heaven and earth, and has no ground on which to stand; with me it belongs to ethics. Secondly, his definition of the conception law is entirely negative, and thereby inadequate.<sup>11</sup> Legal right is that which is consistent with the compatibility of the respective freedom of individuals together, according to a general law. Freedom (here the empirical, *i.e.*, physical, not the moral freedom of the will) signifies not being hindered or interfered with, and is thus a mere negation; compatibility, again, has exactly the same significance. Thus we remain with mere negations and obtain no positive conception, indeed do not learn at all, what is really being spoken about, unless we know it already from some other source. In the course of the exposition the most perverse views afterwards develop themselves, such as that in the state of nature, *i.e.*, outside the State, there is no right to property at all, which really means that all right or law is positive, and involves that natural law is based upon positive law, instead of which the case ought to be reversed. Further, the founding of legal acquisition on possession; the ethical obligation to establish the civil constitution; the ground of the right of punishment, &c., &c., all of which, as I have said, I do not regard as worth a special refutation. However, these Kantian errors have exercised a very injurious influence. They have confused and obscured truths long known and expressed, and have occasioned strange theories and much writing and controversy. This certainly cannot last, and we see already how truth and sound reason again make way for themselves. Of the latter, the “*Naturrecht*” of J. C. F. Meister specially bears evidence, and is thus a contrast to many a preposterous theory, though I do not regard it as on this account a pattern of perfection.

On the “Critique of Judgment” also, after what has been said, I must be very short. We cannot but be surprised that Kant, to whom art certainly was very foreign, and who to all appearance had little susceptibility for the beautiful, indeed probably never had the opportunity of seeing an important work of art, and who seems, finally, to have had no knowledge of Goethe, the only man of his century and nation who was fit to be placed by his side

as his giant equal, — it is, I say, surprising how, notwithstanding all this, Kant was able to render a great and permanent service to the philosophical consideration of art and the beautiful. His merit lies in this, that much as men had reflected upon the beautiful and upon art, they had yet really always considered it only from the empirical point of view, and had investigated upon a basis of facts what quality distinguished the object of any kind which was called beautiful from other objects of the same kind. On this path they first arrived at quite special principles, and then at more general ones. They sought to separate true artistic beauty from false, and to discover marks of this genuineness, which could then serve again as rules. What gives pleasure as beautiful and what does not, what therefore is to be imitated, what is to be striven against, what is to be avoided, what rules, at least negative rules, are to be established, in short, what are the means of exciting æsthetic satisfaction, *i.e.*, what are the conditions of this residing in the object — this was almost exclusively the theme of all treatises upon art. This path was followed by Aristotle, and in the most recent times we find it chosen by Home, Burke, Winckelmann, Lessing, Herder, and many others. It is true that the universality of the æsthetical principles discovered finally led back to the subject, and it was observed that if the effect upon the subject were adequately known we would then also be able to determine *a priori* the causes of this which lie in the object, and thus alone this method of treatment could attain to the certainty of a science. This occasioned once and again psychological disquisitions. Specially however, Alexander Baumgarten produced with this intention a general æsthetic of all beauty, in which he started from the conception of the perfection of sensuous knowledge, that is, of knowledge of perception. With him also, however, the subjective part is done with as soon as this conception has been established, and he passes on to the objective part and to the practical, which is connected with it. But here also the merit was reserved for Kant of investigating seriously and profoundly *the feeling itself*, in consequence of which we call the object occasioning it beautiful, in order to discover, wherever it was possible, the constituent elements and conditions of it in our nature. His investigation, therefore, took an entirely subjective direction. This path was clearly the right one, for in order to explain a phenomenon which is given in its effects, one must know accurately this effect itself, if one is to determine thoroughly the nature of the cause. Yet Kant's merit in this regard does not really extend much further than this,



that he has indicated the right path, and by a provisional attempt has given an example of how, more or less, it is to be followed. For what he gave cannot be regarded as objective truth and as a real gain. He gave the method for this investigation, he broke ground in the right direction, but otherwise he missed the mark.

In the “Critique of *Æsthetical Judgment*” the observation first of all forces itself upon us that Kant retains the method which is peculiar to his whole philosophy, and which I have considered at length above — I mean the method of starting from abstract knowledge in order to establish knowledge of perception, so that the former serves him, so to speak, as a *camera obscura* in which to receive and survey the latter. As in the “Critique of Pure Reason” the forms of judgment are supposed to unfold to him the knowledge of our whole world of perception, so in this “Critique of *Æsthetical Judgment*” he does not start from the beautiful itself, from the perceptible and immediately beautiful, but from the *judgment* of the beautiful, the so-called, and very badly so-called, judgment of taste. This is his problem. His attention is especially aroused by the circumstance that such a judgment is clearly the expression of something that takes place in the subject, but yet is just as universally valid as if it concerned a quality of the object. It is this that struck him, not the beautiful itself. He starts always merely from the assertions of others, from the judgment of the beautiful, not from the beautiful itself. It is therefore as if he knew it simply from hearsay, not directly. A blind man of high understanding could almost in the same way make up a theory of colours from very accurate reports which he had heard concerning them. And really we can only venture to regard Kant’s philosophemes concerning the beautiful as in almost the same position. Then we shall find that his theory is very ingenious indeed, that here and there telling and true observations are made; but his real solution of the problem is so very insufficient, remains so far below the dignity of the subject, that it can never occur to us to accept it as objective truth. Therefore I consider myself relieved from the necessity of refuting it; and here also I refer to the positive part of my work.

With regard to the form of his whole book, it is to be observed that it originated in the idea of finding in the teleological conception the key to the problem of the beautiful. This inspiration is deduced, which is always a matter of no difficulty, as we have learnt from Kant’s successors. Thus there now arises the strange combination of the knowledge of the beautiful with

that of the teleology of natural bodies in *one* faculty of knowledge called *judgment*, and the treatment of these two heterogeneous subjects in one book. With these three powers of knowledge, reason, judgment, and understanding, a variety of symmetrical-architectonic amusements are afterwards undertaken, the general inclination to which shows itself in many ways in this book; for example, in the forcible adaptation of the whole of it to the pattern of the “Critique of Pure Reason,” and very specially in the antinomy of the æsthetical judgment, which is dragged in by the hair. One might also extract a charge of great inconsistency from the fact that after it has been incessantly repeated in the “Critique of Pure Reason” that the understanding is the faculty of judgment, and after the forms of its judgment have been made the foundation-stone of all philosophy, a quite special faculty of judgment now appears, which is completely different from the former. For the rest, what I call the faculty of judgment, the capacity for translating knowledge of perception into abstract knowledge, and again of applying the latter correctly to the former, is explained in the positive part of my work.

By far the best part of the “Critique of Æsthetical Judgment” is the theory of the sublime. It is incomparably more successful than that of the beautiful, and does not only give, as that does, the general method of investigation, but also a part of the right way to it — so much so that even though it does not give the real solution of the problem, it yet touches very closely upon it.

In the “Critique of the Teleological Judgment,” on account of the simplicity of the matter, we can recognise perhaps more than anywhere else Kant’s rare talent of turning a thought this way and that way, and expressing it in a multitude of different ways, until out of it there grows a book. The whole book is intended to say this alone: although organised bodies necessarily appear to us as if they were constructed in accordance with a conceived design of an end which preceded them, yet we are not justified in assuming that this is objectively the case. For our intellect, to which things are given from without and indirectly, which thus never knows their inner nature through which they arise and exist, but merely their outward side, cannot otherwise comprehend a certain quality peculiar to organised productions of nature than by analogy, for it compares it with the intentionally accomplished works of man, the nature of which is determined by a design and the conception of this design. This analogy is sufficient to

enable us to comprehend the agreement of all the parts with the whole, and thus indeed to give us the clue to their investigation; but it must by no means on this account be made the actual ground of explanation of the origin and existence of such bodies. For the necessity of so conceiving them is of subjective origin. Somewhat in this way I would epitomise Kant's doctrine on this question. In its most important aspect he had expounded it already in the "Critique of Pure Reason," p. 692-702; V., 720-730. But in the knowledge of *this* truth also we find David Hume to be Kant's worthy forerunner. He also had keenly controverted that assumption in the second part of his "Dialogues concerning Natural Religion." The difference between Hume's criticism of that assumption and Kant's is principally this, that Hume criticised it as an assumption based upon experience, while Kant, on the other hand, criticised it as an *a priori* assumption. Both are right, and their expositions supplement each other. Indeed what is really essential in the Kantian doctrine on this point we find already expressed in the commentary of Simplicius on Aristotle's Physics: "ἡ δε πλανη γεγονεν αυτοις απο του ἡγεισθαι, παντα τα ἔνεκα του γινομενα κατα προαιρεσιν γενεσθαι και λογισμον, τα δε φυσει μη οὕτως ὄραν γινομενα." (*Error iis ortus est ex eo, quod credebant, omnia, quæ propter finem aliquem fierent, ex proposito et ratiocinio fieri, dum videbant, naturæ opera non ita fieri.*) *Schol. in Arist., ex edit. Berol.*, p. 354. Kant is perfectly right in the matter; and it was necessary that after it had been shown that the conception of cause and effect is inapplicable to the whole of nature in general, in respect of its existence, it should also be shown that in respect of its qualities it is not to be thought of as the effect of a cause guided by motives (designs). If we consider the great plausibility of the physico-theological proof, which even Voltaire held to be irrefragable, it was clearly of the greatest importance to show that what is subjective in our comprehension, to which Kant had relegated space, time, and causality, extends also to our judgment of natural bodies; and accordingly the compulsion which we feel to think of them as having arisen as the result of premeditation, according to designs, thus in such a way that *the idea of them preceded their existence*, is just as much of subjective origin as the perception of space, which presents itself so objectively, and that therefore it must not be set up as objective truth. Kant's exposition of the matter, apart from its tedious prolixity and repetitions, is excellent. He rightly asserts that we can never succeed in explaining the nature of organised bodies from merely mechanical causes,

by which he understands the undesigned and regular effect of all the universal forces of nature. Yet I find here another flaw. He denies the possibility of such an explanation merely with regard to the teleology and apparent adaptation of *organised* bodies. But we find that even where there is no organisation the grounds of explanation which apply to *one* province of nature cannot be transferred to another, but forsake us as soon as we enter a new province, and new fundamental laws appear instead of them, the explanation of which is by no means to be expected from the laws of the former province. Thus in the province of the mechanical, properly so called, the laws of gravitation, cohesion, rigidity, fluidity, and elasticity prevail, which in themselves (apart from my explanation of all natural forces as lower grades of the objectification of will) exist as manifestations of forces which cannot be further explained, but themselves constitute the principles of all further explanation, which merely consists in reduction to them. If we leave this province and come to the phenomena of chemistry, of electricity, magnetism, crystallisation, the former principles are absolutely of no use, indeed the former laws are no longer valid, the former forces are overcome by others, and the phenomena take place in direct contradiction to them, according to new laws, which, just like the former ones, are original and inexplicable, *i.e.*, cannot be reduced to more general ones. Thus, for example, no one will ever succeed in explaining even the dissolving of a salt in water in accordance with the laws proper to mechanics, much less the more complicated phenomena of chemistry. All this has already been explained at length in the second book of the present work. An exposition of this kind would, as it seems to me, have been of great use in the “Critique of the Teleological Judgment,” and would have thrown much light upon what is said there. Such an exposition would have been especially favourable to his excellent remark that a more profound knowledge of the real being, of which the things of nature are the manifestation, would recognise both in the mechanical (according to law) and the apparently intentional effects of nature one and the same ultimate principle, which might serve as the more general ground of explanation of them both. Such a principle I hope I have given by establishing the will as the real thing in itself; and in accordance with it generally in the second book and the supplements to it, but especially in my work “On the Will in Nature,” the insight into the inner nature of the apparent design and of the harmony and

agreement of the whole of nature has perhaps become clearer and deeper. Therefore I have nothing more to say about it here.

The reader whom this criticism of the Kantian philosophy interests should not neglect to read the supplement to it which is given in the second essay of the first volume of my “Parerga and Paralipomena,” under the title “*Noch einige Erläuterungen zur Kantischen Philosophie*” (Some Further Explanations of the Kantian Philosophy). For it must be borne in mind that my writings, few as they are, were not composed all at once, but successively, in the course of a long life, and with long intervals between them. Accordingly, it must not be expected that all I have said upon one subject should stand together in one place.

## **Supplements to the First Book.**

“ ’Warum willst du dich von uns Allen  
Und unsrer Meinung entfernen?’  
Ich schreibe nicht euch zu gefallen,  
Ihr sollt was lernen.”  
— Goethe.

**First Half. The Doctrine Of The Idea Of Perception. (To §  
1-7 of the First Volume.)**

## Chapter I. The Standpoint of Idealism.

In boundless space countless shining spheres, about each of which, and illuminated by its light, there revolve a dozen or so of smaller ones, hot at the core and covered with a hard, cold crust, upon whose surface there have been generated from a mouldy film beings which live and know — this is what presents itself to us in experience as the truth, the real, the world. Yet for a thinking being it is a precarious position to stand upon one of those numberless spheres moving freely in boundless space without knowing whence or whither, and to be only one of innumerable similar beings who throng and press and toil, ceaselessly and quickly arising and passing away in time, which has no beginning and no end; moreover, nothing permanent but matter alone and the recurrence of the same varied organised forms, by means of certain ways and channels which are there once for all. All that empirical science can teach is only the more exact nature and law of these events. But now at last modern philosophy especially through Berkeley and Kant, has called to mind that all this is first of all merely a *phenomenon of the brain*, and is affected with such great, so many, and such different *subjective* conditions that its supposed absolute reality vanishes away, and leaves room for an entirely different scheme of the world, which consists of what lies at the foundation of that phenomenon, *i.e.*, what is related to it as the thing in itself is related to its mere manifestation.

“The world is my idea” is, like the axioms of Euclid, a proposition which every one must recognise as true as soon as he understands it; although it is not a proposition which every one understands as soon as he hears it. To have brought this proposition to clear consciousness, and in it the problem of the relation of the ideal and the real, *i.e.*, of the world in the head to the world outside the head, together with the problem of moral freedom, is the distinctive feature of modern philosophy. For it was only after men had spent their labour for thousands of years upon a mere philosophy of the object that they discovered that among the many things that make the world so obscure and doubtful the first and chiefest is this, that however immeasurable and massive it may be, its existence yet hangs by a single thread; and this is the actual consciousness in which it exists. This condition, to which the existence of the world is irrevocably subject, marks it, in spite of all *empirical* reality, with the stamp of *ideality*, and therefore of mere *phenomenal appearance*. Thus on one side at least the world must



be recognised as akin to dreams, and indeed to be classified along with them. For the same function of the brain which, during sleep, conjures up before us a completely objective, perceptible, and even palpable world must have just as large a share in the presentation of the objective world of waking life. Both worlds, although different as regards their matter, are yet clearly moulded in the one form. This form is the intellect, the function of the brain. Descartes was probably the first who attained to the degree of reflection which this fundamental truth demands, and consequently he made it the starting-point of his philosophy, though provisionally only in the form of a sceptical doubt. When he took his *cogito ergo sum* as alone certain, and provisionally regarded the existence of the world as problematical, he really discovered the essential and only right starting-point of all philosophy, and at the same time its *true* foundation. This foundation is essentially and inevitably the *subjective*, the *individual consciousness*. For this alone is and remains immediate; everything else, whatever it may be, is mediated and conditioned through it, and is therefore dependent upon it. Therefore modern philosophy is rightly regarded as starting with Descartes, who was the father of it. Not long afterwards Berkeley followed the same path further, and attained to *idealism* proper, *i.e.*, to the knowledge that the world which is extended in space, thus the objective, material world in general, exists as such simply and solely in our *idea*, and that it is false, and indeed absurd, to attribute to it, *as such*, an existence apart from all idea and independent of the knowing subject, thus to assume matter as something absolute and possessed of real being in itself. But his correct and profound insight into this truth really constitutes Berkeley's whole philosophy; in it he had exhausted himself.

Thus true philosophy must always be idealistic; indeed, it must be so in order to be merely honest. For nothing is more certain than that no man ever came out of himself in order to identify himself directly with things which are different from him; but everything of which he has certain, and therefore immediate, knowledge lies within his own consciousness. Beyond this consciousness, therefore, there can be no *immediate* certainty; but the first principles of a science must have such certainty. For the empirical standpoint of the other sciences it is quite right to assume the objective world as something absolutely given; but not so for the standpoint of philosophy, which has to go back to what is first and original. Only consciousness is immediately given; therefore the basis of philosophy is

limited to facts of consciousness, *i.e.*, it is essentially *idealistic*. Realism which commends itself to the crude understanding, by the appearance which it assumes of being matter-of-fact, really starts from an arbitrary assumption, and is therefore an empty castle in the air, for it ignores or denies the first of all facts, that all that we know lies within consciousness. For that the *objective existence* of things is conditioned through a subject whose ideas they are, and consequently that the objective world exists only as *idea*, is no hypothesis, and still less a dogma, or even a paradox set up for the sake of discussion; but it is the most certain and the simplest truth; and the knowledge of it is only made difficult by the fact that it is indeed so simple, and that it is not every one who has sufficient power of reflection to go back to the first elements of his consciousness of things. There can never be an absolute and independent objective existence; indeed such an existence is quite unintelligible. For the objective, as such, always and essentially has its existence in the consciousness of a subject, is thus the idea of this subject, and consequently is conditioned by it, and also by its forms, the forms of the idea, which depend upon the subject and not on the object.

That *the objective world would exist* even if there existed no conscious being certainly seems at the first blush to be unquestionable, because it can be thought in the abstract, without bringing to light the contradiction which it carries within it. But if we desire to *realise* this abstract thought, that is, to reduce it to ideas of perception, from which alone (like everything abstract) it can have content and truth, and if accordingly we try *to imagine an objective world without a knowing subject*, we become aware that what we then imagine is in truth the opposite of what we intended, is in fact nothing else than the process in the intellect of a knowing subject who perceives an objective world, is thus exactly what we desired to exclude. For this perceptible and real world is clearly a phenomenon of the brain; therefore there lies a contradiction in the assumption that as such it ought also to exist independently of all brains.

The principal objection to the inevitable and essential *ideality of all objects*, the objection which, distinctly or indistinctly, arises in every one, is certainly this: My own person also is an object for some one else, is thus his idea, and yet I know certainly that I would continue to exist even if he no longer perceived me. But all other objects also stand in the same relation to his intellect as I do; consequently they also would continue to exist without

being perceived by him. The answer to this is: That other being as whose object I now regard my person is not absolutely *the subject*, but primarily is a knowing individual. Therefore, if he no longer existed, nay, even if there existed no other conscious being except myself, yet the subject, in whose idea alone all objects exist, would by no means be on that account abolished. For I myself indeed am this subject, as every conscious being is. Consequently, in the case assumed, my person would certainly continue to exist, but still as idea, in my own knowledge. For even by me myself it is always known only indirectly, never immediately; because all existence as idea is indirect. As *object*, i.e., as extended, occupying space and acting, I know my body only in the perception of my brain. This takes place by means of the senses, upon data supplied by which the percipient understanding performs its function of passing from effect to cause, and thereby, in that the eye sees the body or the hands touch it, it constructs that extended figure which presents itself in space as my body. By no means, however, is there directly given me, either in some general feeling of bodily existence or in inner self-consciousness, any extension, form, or activity, which would then coincide with my nature itself, which accordingly, in order so to exist, would require no other being in whose knowledge it might exhibit itself. On the contrary, that general feeling of bodily existence, and also self-consciousness, exists directly only in relation to the *will*, that is, as agreeable or disagreeable, and as active in the acts of will, which for external perception exhibit themselves as actions of the body. From this it follows that the existence of my person or body as *something extended and acting* always presupposes a *knowing being* distinct from it; because it is essentially an existence in apprehension, in the idea, thus an existence *for another*. In fact, it is a phenomenon of brain, just as much whether the brain in which it exhibits itself is my own or belongs to another person. In the first case one's own person divides itself into the knowing and the known, into object and subject, which here as everywhere stand opposed to each other, inseparable and irreconcilable. If, then, my own person, in order to exist as such, always requires a knowing subject, this will at least as much hold good of the other objects for which it was the aim of the above objection to vindicate an existence independent of knowledge and its subject.

However, it is evident that the existence which is conditioned through a knowing subject is only the existence in space, and therefore that of an

extended and active being. This alone is always something known, and consequently *an existence for another*. On the other hand, every being that exists in this way may yet have *an existence for itself*, for which it requires no subject. Yet this existence for itself cannot be extension and activity (together space-occupation), but is necessarily a being of another kind, that of a thing in itself, which, as such, can never be an *object*. This, then, would be the answer to the leading objection set forth above, which accordingly does not overthrow the fundamental truth that the objectively given world can only exist in the idea, thus only for a subject.

We have further to remark here that Kant also, so long at least as he remained consistent, can have thought no *objects* among his things in themselves. For this follows from the fact that he proves that space, and also time, are mere forms of our perception, which consequently do not belong to things in themselves. What is neither in space nor in time can be no *object*; thus the being of *things in themselves* cannot be objective, but of quite a different kind, a metaphysical being. Consequently that Kantian principle already involves this principle also, that the *objective* world exists only as *idea*.

In spite of all that one may say, nothing is so persistently and ever anew misunderstood as *Idealism*, because it is interpreted as meaning that one denies the *empirical* reality of the external world. Upon this rests the perpetual return to the appeal to common sense, which appears in many forms and guises; for example, as an “irresistible conviction” in the Scotch school, or as Jacobi’s *faith* in the reality of the external world. The external world by no means presents itself, as Jacobi declares, upon credit, and is accepted by us upon trust and faith. It presents itself as that which it is, and performs directly what it promises. It must be remembered that Jacobi, who set up such a credit or faith theory of the world, and had the fortune to impose it upon a few professors of philosophy, who for thirty years have philosophised upon the same lines lengthily and at their ease, is the same man who once denounced Lessing as a Spinozist, and afterwards denounced Schelling as an atheist, and who received from the latter the well-known and well-deserved castigation. In keeping with such zeal, when he reduced the external world to a mere matter of faith he only wished to open the door to faith in general, and to prepare belief for that which was afterwards really to be made a matter of belief; as if, in order to introduce a paper currency, one should seek to appeal to the fact that the value of the ringing coin also

depends merely on the stamp which the State has set upon it. Jacobi, in his doctrine that the reality of the external world is assumed upon faith, is just exactly “the transcendental realist who plays the empirical idealist” censured by Kant in the “Critique of Pure Reason,” first edition, p. 369.

The true idealism, on the contrary, is not the empirical but the transcendental. This leaves the empirical reality of the world untouched, but holds fast to the fact that every *object*, thus the empirically real in general, is conditioned in a twofold manner by the subject; in the first place *materially* or as *object* generally, because an objective existence is only conceivable as opposed to a subject, and as its idea; in the second place *formally*, because the mode of existence of an object, *i.e.*, its being perceived (space, time, causality), proceeds from the subject, is pre-arranged in the subject. Therefore with the simple or Berkeleian idealism, which concerns the object in general, there stands in immediate connection the Kantian idealism, which concerns the specially given *mode or manner* of objective existence. This proves that the whole material world, with its bodies, which are extended in space and, by means of time, have causal relations to each other, and everything that depends upon this — that all this is not something which is there *independently* of our head, but essentially presupposes the functions of our brain *by means of which* and *in* which alone *such* an objective arrangement of things is possible. For time, space, and causality, upon which all those real and objective events rest, are themselves nothing more than functions of the brain; so that thus the unchangeable *order* of things which affords the criterion and clue to their empirical reality itself proceeds only from the brain, and has its credentials from this alone. All this Kant has expounded fully and thoroughly; only he does not speak of the brain, but calls it “the faculty of knowledge.” Indeed he has attempted to prove that when that objective order in time, space, causality, matter, &c., upon which all the events of the real world ultimately rest, is properly considered, it cannot even be conceived as a self-existing order, *i.e.*, an order of the thing in itself, or as something absolutely objective and unconditionally given, for if one tries to think this out it leads to contradictions. To accomplish this was the object of the antinomies, but in the appendix to my work I have proved the failure of the attempt. On the other hand, the Kantian doctrine, even without the antinomies, leads to the insight that things and the whole mode of their existence are inseparably bound up with our consciousness of them. Therefore whoever has distinctly

grasped this soon attains to the conviction that the assumption that things also exist as such, apart from and independently of our consciousness, is really absurd. That we are so deeply involved in time, space, causality, and the whole regular process of experience which rests upon them, that we (and indeed the brutes) are so perfectly at home, and know how to find our way from the first — this would not be possible if our intellect were one thing and things another, but can only be explained from the fact that both constitute one whole, the intellect itself creates that order, and exists only for things, while they, on the other hand, exist only for it.

But even apart from the deep insight, which only the Kantian philosophy gives, the inadmissibility of the assumption of absolute realism which is so obstinately clung to may be directly shown, or at least made capable of being felt, by the simple exhibition of its meaning in the light of such considerations as the following. According to realism, the world is supposed to exist, as we know it, independently of this knowledge. Let us once, then, remove all percipient beings from it, and leave only unorganised and vegetable nature. Rock, tree, and brook are there, and the blue heaven; sun, moon, and stars light this world, as before; yet certainly in vain, for there is no eye to see it. Let us now in addition place in it a percipient being. Now that world presents itself *again* in his brain, and repeats itself within it precisely as it was formerly without it. Thus to the *first* world a *second* has been added, which, although completely separated from it, resembles it to a nicety. And now the *subjective* world of this perception is precisely so constituted in *subjective*, known space as the *objective* world in *objective*, infinite space. But the subjective world has this advantage over the objective, the knowledge that that space, outside there, is infinite; indeed it can also give beforehand most minutely and accurately the whole constitution or necessary properties of all relations which are possible, though not yet actual, in that space, and does not require to examine them. It can tell just as much with regard to the course of time, and also with regard to the relation of cause and effect which governs the changes in that external world. I think all this, when closely considered, turns out absurd enough, and hence leads to the conviction that that absolute objective world outside the head, independent of it and prior to all knowledge, which at first we imagined ourselves to conceive, is really no other than the second, the world which is known *subjectively*, the world of idea, as which alone we are actually able to conceive it. Thus of its own accord the assumption forces

itself upon us, that the world, as we know it, exists also only for our knowledge, therefore in the *idea* alone, and not a second time outside of it.<sup>12</sup> In accordance, then, with this assumption, the thing in itself, *i.e.*, that which exists independently of our knowledge and of every knowledge, is to be regarded as something completely different from the *idea* and all its attributes, thus from objectivity in general. What this is will be the subject of our second book.

On the other hand, the controversy concerning the reality of the external world considered in § 5 of the first volume rests upon the assumption, which has just been criticised, of an objective and a subjective world both in *space*, and upon the impossibility which arises in connection with this presupposition of a transition from one to the other, a bridge between the two. Upon this controversy I have still to add the following remarks.

The subjective and the objective do not constitute a continuous whole. That of which we are immediately conscious is bounded by the skin, or rather by the extreme ends of the nerves which proceed from the cerebral system. Beyond this lies a world of which we have no knowledge except through pictures in our head. Now the question is, whether and how far there is a world independent of us which corresponds to these pictures. The relation between the two could only be brought about by means of the law of causality; for this law alone leads from what is given to something quite different from it. But this law itself has first of all to prove its validity. Now it must either be of *objective* or of *subjective* origin; but in either case it lies upon one or the other side, and therefore cannot supply the bridge between them. If, as Locke and Hume assume, it is *a posteriori*, thus drawn from experience, it is of *objective* origin, and belongs then itself to the external world which is in question. Therefore it cannot attest the reality of this world, for then, according to Locke's method, causality would be proved from experience, and the reality of experience from causality. If, on the contrary, it is given *a priori*, as Kant has more correctly taught us, then it is of *subjective* origin, and in that case it is clear that with it we remain always in the *subjective* sphere. For all that is actually given *empirically* in perception is the occurrence of a sensation in the organ of sense; and the assumption that this, even in general, must have a cause rests upon a law which is rooted in the form of our knowledge, *i.e.*, in the functions of our brain. The origin of this law is therefore just as subjective as that of the sensation itself. The cause of the given sensation, which is assumed in

consequence of this law, presents itself at once in perception as an *object*, which has space and time for the form of its manifestation. But *these forms* themselves again are entirely of subjective origin; for they are the mode or method of our faculty of perception. That transition from the sensation to its cause which, as I have repeatedly pointed out, lies at the foundation of all sense-perception is certainly sufficient to give us the empirical presence in space and time of an empirical object, and is therefore quite enough for the practical purposes of life; but it is by no means sufficient to afford us any conclusion as to the existence and real nature, or rather as to the intelligible substratum, of the phenomena which in this way arise for us. Thus that on the occasion of certain sensations occurring in my organs of sense there arises in my head a perception of things which are extended in space, permanent in time, and causally efficient by no means justifies the assumption that they also exist in themselves, *i.e.*, that such things with these properties belonging absolutely to themselves exist independently and outside of my head. This is the true outcome of the Kantian philosophy. It coincides with an earlier result of Locke's, which is just as true, but far more easily understood. For although, as Locke's doctrine permits, external things are absolutely assumed as the causes of sensations, yet there can be no *resemblance* between the sensation in which the *effect* consists and the objective nature of the *cause* which occasions it. For the sensation, as organic function, is primarily determined by the highly artificial and complicated nature of our organs of sense. It is therefore merely excited by the external cause, but is then perfected entirely in accordance with its own laws, and thus is completely subjective. Locke's philosophy was the criticism of the functions of sense; Kant has given us the criticism of the functions of the brain. But to all this we have yet to add the Berkeleian result, which has been revised by me, that every object, whatever its origin may be, is *as object* already conditioned by the subject, is in fact merely its *idea*. The aim of realism is indeed the object without subject; but it is impossible even to conceive such an object distinctly.

From this whole inquiry it follows with certainty and distinctness that it is absolutely impossible to attain to the comprehension of the inner nature of things upon the path of mere *knowledge* and *perception*. For knowledge always comes to things from without, and therefore must for ever remain outside them. This end would only be reached if we could find *ourselves* in the inside of things, so that their inner nature would be known to us directly.



Now, how far this is actually the case is considered in my second book. But so long as we are concerned, as in this first book, with objective comprehension, that is, with *knowledge*, the world is, and remains for us, a mere *idea*, for here there is no possible path by which we can cross over to it.

But, besides this, a firm grasp of the point of view of *idealism* is a necessary counterpoise to that of *materialism*. The controversy concerning the *real* and the *ideal* may also be regarded as a controversy concerning the existence of *matter*. For it is the reality or ideality of this that is ultimately in question. Does matter, as such, exist only in our *idea*, or does it also exist independently of it? In the latter case it would be the thing in itself; and whoever assumes a self-existent matter must also, consistently, be a materialist, *i.e.*, he must make matter the principle of explanation of all things. Whoever, on the contrary, denies its existence as a thing in itself is *eo ipso* an idealist. Among the moderns only Locke has definitely and without ambiguity asserted the reality of matter; and therefore his teaching led, in the hands of Condillac, to the sensualism and materialism of the French. Only Berkeley directly and without modifications denies matter. The complete antithesis is thus that of idealism and materialism, represented in its extremes by Berkeley and the French materialists (Hollbach). Fichte is not to be mentioned here: he deserves no place among true philosophers; among those elect of mankind who, with deep earnestness, seek not their own things but the *truth*, and therefore must not be confused with those who, under this pretence, have only their personal advancement in view. Fichte is the father of the *sham philosophy*, of the *disingenuous* method which, through ambiguity in the use of words, incomprehensible language, and sophistry, seeks to deceive, and tries, moreover, to make a deep impression by assuming an air of importance — in a word, the philosophy which seeks to bamboozle and humbug those who desire to learn. After this method had been applied by Schelling, it reached its height, as every one knows, in Hegel, in whose hands it developed into pure charlatanism. But whoever even names this Fichte seriously along with Kant shows that he has not even a dim notion of what Kant is. On the other hand, materialism also has its warrant. It is just as true that the knower is a product of matter as that matter is merely the idea of the knower; but it is also just as one-sided. For materialism is the philosophy of the subject that forgets to take account of itself. And, accordingly, as against the assertion

that I am a mere modification of matter, this must be insisted upon, that all matter exists merely in my idea; and it is no less right. A knowledge, as yet obscure, of these relations seems to have been the origin of the saying of Plato, “ὅλη ἀληθινὸν ψευδός” (*materia mendacium verax*).

*Realism* necessarily leads, as we have said, to *materialism*. For if empirical perception gives us things in themselves, as they exist independently of our knowledge, experience also gives us the *order* of things in themselves, *i.e.*, the true and sole order of the world. But this path leads to the assumption that there is only *one* thing in itself, matter; of which all other things are modifications; for the course of nature is here the absolute and only order of the world. To escape from these consequences, while realism remained in undisputed acceptance, spiritualism was set up, that is, the assumption of a second substance outside of and along with matter, an *immaterial substance*. This dualism and spiritualism, equally unsupported by experience and destitute of proof and comprehensibility, was denied by Spinoza, and was proved to be false by Kant, who dared to do so because at the same time he established idealism in its rights. For with realism materialism, as the counterpoise of which spiritualism had been devised, falls to the ground of its own accord, because then matter and the course of nature become mere phenomena, which are conditioned by the intellect, as they have their existence only in its *idea*. Accordingly spiritualism is the delusive and false safeguard against materialism, while the real and true safeguard is idealism, which, by making the objective world dependent upon us, gives the needed counterpoise to the position of dependence upon the objective world, in which we are placed by the course of nature. The world from which I part at death is, in another aspect, only my idea. The centre of gravity of existence falls back into the *subject*. What is proved is not, as in spiritualism, that the knower is independent of matter, but that all matter is dependent on him. Certainly this is not so easy to comprehend or so convenient to handle as spiritualism, with its two substances; but χαλεπα τα καλά.

In opposition to the *subjective* starting-point, “the world is my idea,” there certainly stands provisionally with equal justification the *objective* starting-point, “the world is matter,” or “matter alone is absolute” (since it alone is not subject to becoming and passing away), or “all that exists is matter.” This is the starting-point of Democritus, Leucippus, and Epicurus. But, more closely considered, the departure from the subject retains a real

advantage; it has the start by one perfectly justified step. For consciousness alone is the *immediate*: but we pass over this if we go at once to matter and make it our starting-point. On the other hand, it would certainly be possible to construct the world from matter and its properties if these were correctly, completely, and exhaustively known to us (which is far from being the case as yet). For all that has come to be has become actual through *causes*, which could operate and come together only by virtue of the *fundamental forces of matter*. But these must be perfectly capable of demonstration at least objectively, even if subjectively we never attain to a knowledge of them. But such an explanation and construction of the world would not only have at its foundation the assumption of an existence in itself of matter (while in truth it is conditioned by the subject), but it would also be obliged to allow all the *original qualities* in this matter to pass current and remain absolutely inexplicable, thus as *qualitates occultæ*. (Cf. § 26, 27 of the first volume.) For matter is only the vehicle of these forces, just as the law of causality is only the arranger of their manifestations. Therefore such an explanation of the world would always remain merely relative and conditioned, properly the work of a *physical science*, which at every step longed for a *metaphysic*. On the other hand, there is also something inadequate about the subjective starting-point and first principle, “the world is my idea,” partly because it is one-sided, since the world is far more than that (the thing in itself, will), and indeed its existence as idea is to a certain extent only accidental to it; but partly also because it merely expresses the fact that the object is conditioned by the subject, without at the same time saying that the subject, as such, is also conditioned by the object. For the assertion, “the subject would still remain a knowing being if it had no object, *i.e.*, if it had absolutely no idea,” is just as false as the assertion of the crude understanding, “the world, the object, would still exist, even if there were no subject.” A consciousness without an object is no consciousness. A thinking subject has conceptions for its object; a subject of sense perception has objects with the qualities corresponding to its organisation. If we rob the subject of all special characteristics and forms of its knowledge, all the properties of the object vanish also, and nothing remains but *matter without form and quality*, which can just as little occur in experience as a subject without the forms of its knowledge, but which remains opposed to the naked subject as such, as its reflex, which can only disappear along with it. Although materialism pretends to postulate nothing more than this matter — for instance, atoms

— yet it unconsciously adds to it not only the subject, but also space, time, and causality, which depend upon special properties of the subject.

The world as idea, the objective world, has thus, as it were, two poles; the simple knowing subject without the forms of its knowledge, and crude matter without form and quality. Both are completely unknowable; the subject because it is that which knows, matter because without form and quality it cannot be perceived. Yet both are fundamental conditions of all empirical perception. Thus the knowing subject, merely as such, which is a presupposition of all experience, stands opposed as its pure counterpart to the crude, formless, and utterly dead (*i.e.*, will-less) matter, which is given in no experience, but which all experience presupposes. This subject is not in time, for time is only the more definite form of all its ideas. The matter which stands over against it is, like it, eternal and imperishable, endures through all time, but is, properly speaking, not extended, for extension gives form, thus it has no spatial properties. Everything else is involved in a constant process of coming into being and passing away, while these two represent the unmoved poles of the world as idea. The permanence of matter may therefore be regarded as the reflex of the timelessness of the pure subject, which is simply assumed as the condition of all objects. Both belong to phenomena, not to the thing in itself, but they are the framework of the phenomenon. Both are arrived at only by abstraction, and are not given immediately, pure and for themselves.

The fundamental error of all systems is the failure to understand this truth. *Intelligence and matter are correlates, i.e.*, the one exists only for the other, both stand and fall together, the one is only the reflex of the other. Indeed they are really *one and the same thing* regarded from two opposite points of view; and this one thing, I am here anticipating, is the manifestation of the will, or the thing in itself. Consequently both are secondary, and therefore the origin of the world is not to be sought in either of the two. But because of their failure to understand this, all systems (with the exception perhaps of that of Spinoza) sought the origin of all things in one of these two. Some of them, on the one hand, suppose an intelligence, νοῦς, as the absolutely First and δημιουργός, and accordingly in this allow an idea of things and of the world to precede their actual existence; consequently they distinguish the real world from the world of idea; which is false. Therefore matter now appears as that through which the two are distinguished, as the thing in itself. Hence arises the difficulty of procuring

this matter, the ὕλη, so that when added to the mere idea of the world it may impart reality to it. That original intelligence must now either find it ready to hand, in which case it is just as much an absolute First as that intelligence itself, and we have then two absolute Firsts, the δημιουργος and the ὕλη; or the absolute intelligence must create this matter out of nothing, an assumption which our understanding refuses to make, for it is only capable of comprehending changes in matter, and not that matter itself should come into being or pass away. This rests ultimately upon the fact that matter is essential, the correlate of the understanding. On the other hand, the systems opposed to these, which make the other of the two correlates, that is, matter, the absolute First, suppose a matter which would exist without being perceived; and it has been made sufficiently clear by all that has been said above that this is a direct contradiction, for by the existence of matter we always mean simply its being perceived. But here they encounter the difficulty of bringing to this matter, which alone is their absolute First, the intelligence which is finally to experience it. I have shown this weak side of materialism in § 7 of the first volume. For me, on the contrary, matter and intelligence are inseparable correlates, which exist only for each other, and therefore merely relatively. Matter is the idea of the intelligence; the intelligence is that in whose idea alone matter exists. The two together constitute *the world as idea*, which is just Kant's *phenomenon*, and consequently something secondary. What is primary is that which manifests itself, *the thing in itself*, which we shall afterwards discover is the will. This is in itself neither the perceiver nor the perceived, but is entirely different from the mode of its manifestation.

As a forcible conclusion of this important and difficult discussion I shall now personify these two abstractions, and present them in a dialogue after the fashion of Prabodha Tschandro Daya. It may also be compared with a similar dialogue between matter and form in the "*Duodecim Principia Philosophiæ*" of Raymund Lully, c. 1 and 2.

*The Subject.*

I am, and besides me there is nothing. For the world is my idea.

*Matter.*

Presumptuous delusion! I, I am, and besides me there is nothing, for the world is my fleeting form. Thou art a mere result of a part of this form and altogether accidental.

*The Subject.*

What insane arrogance! Neither thou nor thy form would exist without me; ye are conditioned by me. Whosoever thinks me away, and believes he can still think ye there, is involved in gross delusion, for your existence apart from my idea is a direct contradiction, a meaningless form of words. *Ye are* simply means ye are perceived by me. My idea is the sphere of your existence; therefore I am its first condition.

*Matter.*

Fortunately the audacity of your assertion will soon be put to silence in reality and not by mere words. Yet a few moments and thou actually art no more. With all thy boasting thou hast sunk into nothing, vanished like a shadow, and shared the fate of all my transitory forms. But I, I remain, unscathed and undiminished, from age to age, through infinite time, and behold unshaken the play of my changing form.

*The Subject.*

This infinite time through which thou boastest that thou livest, like the infinite space which thou fillest, exists only in my idea. Indeed it is merely the form of my idea which I bear complete in myself, and in which thou exhibitest thyself, which receives thee, and through which thou first of all existest. But the annihilation with which thou threatenest me touches me not; were it so, then wouldst thou also be annihilated. It merely affects the individual, which for a short time is my vehicle, and which, like everything else, is my idea.

*Matter.*

And if I concede this, and go so far as to regard thy existence, which is yet inseparably linked to that of these fleeting individuals, as something absolute, it yet remains dependent upon mine. For thou art subject only so far as thou hast an object; and this object I am. I am its kernel and content, that which is permanent in it, that which holds it together, and without which it would be as disconnected, as wavering, and unsubstantial as the dreams and fancies of thy individuals, which have yet borrowed from me even the illusive content they possess.

*The Subject.*

Thou dost well to refrain from contesting my existence on the ground that it is linked to individuals; for, as inseparably as I am joined to them, thou art joined to thy sister, Form, and hast never appeared without her. No eye hath yet seen either thee or me naked and isolated; for we are both mere abstractions. It is in reality *one* being that perceives itself and is perceived

by itself, but whose real being cannot consist either in perceiving or in being perceived, since these are divided between us two.

*Both.*

We are, then, inseparably joined together as necessary parts of one whole, which includes us both and exists through us. Only a misunderstanding can oppose us two hostilely to each other, and hence draw the false conclusion that the one contests the existence of the other, with which its own existence stands or falls.

This whole, which comprehends both, is the world as idea, or the world of phenomena. When this is taken away there remains only what is purely metaphysical, the thing in itself, which in the second book we shall recognise as the will.

## Chapter II. The Doctrine of Perception or Knowledge Of The Understanding.

With all *transcendental* ideality the objective world retains *empirical* reality; the object is indeed not the thing in itself, but as an empirical object it is real. It is true that space is only in my head; but empirically my head is in space. The law of causality can certainly never enable us to get quit of idealism by building a bridge between things in themselves and our knowledge of them, and thus certifying the absolute reality of the world, which exhibits itself in consequence of its application; but this by no means does away with the causal relation of objects to each other, thus it does not abolish the causal relation which unquestionably exists between the body of each knowing person and all other material objects. But the law of causality binds together only phenomena, and does not lead beyond them. With that law we are and remain in the world of objects, *i.e.*, the world of phenomena, or more properly the world of ideas. Yet the whole of such a world of experience is primarily conditioned by the knowledge of a subject in general as its necessary presupposition, and then by the special forms of our perception and apprehension, thus necessarily belongs to the merely *phenomenal*, and has no claim to pass for the world of things in themselves. Indeed the subject itself (so far as it is merely the *knowing* subject) belongs to the merely phenomenal, of which it constitutes the complementary half.

Without application of the law of causality, however, perception of an *objective* world could never be arrived at; for this perception is, as I have often explained, essentially matter of the *intellect*, and not merely of the *senses*. The senses afford us mere *sensation*, which is far from being *perception*. The part played by sensations of the senses in perception was distinguished by Locke under the name *secondary qualities*, which he rightly refused to ascribe to things in themselves. But Kant, carrying Locke's method further, distinguished also, and refused to ascribe to things in themselves what belongs to the working up of this material (the sensations) by the brain. The result was, that in this was included all that Locke had left to things in themselves as *primary* qualities — extension, form, solidity, &c. — so that with Kant the thing in itself was reduced to a completely unknown quantity =  $x$ . With Locke accordingly the thing in itself is certainly without colour, sound, smell, taste, neither warm nor cold,



neither soft nor hard, neither smooth nor rough; yet it has still extension and form, it is impenetrable, at rest or in motion, and has mass and number. With Kant, on the other hand, it has laid aside all these latter qualities also, because they are only possible by means of time, space, and causality, and these spring from an intellect (brain), just as colours, tones, smells, &c., originate in the nerves of the organs of sense. The thing in itself has with Kant become spaceless, unextended, and incorporeal. Thus what the mere senses bring to the perception, in which the objective world exists, stands to what is supplied by the *functions of the brain* (space, time, causality) as the mass of the nerves of sense stand to the mass of the brain, after subtracting that part of the latter which is further applied to thinking proper, *i.e.*, to abstract ideas, and is therefore not possessed by the brutes. For as the nerves of the organs of sense impart to the phenomenal objects colour, sound, taste, smell, temperature, &c., so the brain imparts to them extension, form, impenetrability, the power of movement, &c., in short all that can only be presented in perception by means of time, space, and causality. How small is the share of the senses in perception, compared with that of the intellect, is also shown by a comparison of the nerve apparatus for receiving impressions with that for working them up. The mass of the nerves of sensation of the whole of the organs of sense is very small compared with that of the brain, even in the case of the brutes, whose brain, since they do not, properly speaking, *i.e.*, in the abstract, think, is merely used for effecting perception, and yet when this is complete, thus in the case of mammals, has a very considerable mass, even after the cerebellum, whose function is the systematic guidance of movements, has been taken away.

That excellent book by Thomas Reid, the “Inquiry into the Human Mind” (first edition, 1764; 6th edition, 1810), as a *negative* proof of the Kantian truths, affords us a very thorough conviction of the inadequacy of the senses to produce the objective perception of things, and also of the non-empirical origin of the perception of space and time. Reid refutes Locke’s doctrine that perception is a product of the senses, by a thorough and acute demonstration that the collective sensations of the senses do not bear the least resemblance to the world as known in perception, and especially that the five primary qualities of Locke (extension, form, solidity, movement, and number) absolutely could not be afforded us by any sensation of the senses. Accordingly he gives up the question as to the mode

of origination and the source of perception as completely insoluble; and although altogether unacquainted with Kant, he gives us, as it were, according to the *regula falsi*, a thorough proof of the intellectual nature of perception (really first explained by me as a consequence of the Kantian doctrine), and also of the *a priori* source, discovered by Kant, of its constituent elements, space, time, and causality, from which those primary qualities of Locke first proceed, but by means of which they are easily constructed. Thomas Reid's book is very instructive and well worth reading — ten times more so than all the philosophy together that has been written since Kant. Another indirect proof of the same doctrine, though in the way of error, is afforded by the French sensational philosophers, who, since Condillac trod in the footsteps of Locke, have laboured to show once for all that the whole of our perception and thinking can be referred to mere sensations (*penser c'est sentir*), which, after Locke's example, they call *idées simples*, and through the mere coming together and comparison of which the whole objective world is supposed to build itself up in our heads. These gentlemen certainly have *des idées bien simples*. It is amusing to see how, lacking alike the profundity of the German and the honesty of the English philosopher, they turn the poor material of sensation this way and that way, and try to increase its importance, in order to construct out of it the deeply significant phenomena of the world of perception and thought. But the man constructed by them would necessarily be an *Anencephalus*, a *Tête de crapaud*, with only organs of sense and without a brain. To take only a couple of the better attempts of this sort out of a multitude of others, I may mention as examples Condorcet at the beginning of his book, "*Des Progrès de l'Esprit Humain*," and Tourtual on Sight, in the second volume of the "*Scriptures Ophthalmologici Minores*," edidit Justus Radius (1828).

The feeling of the insufficiency of a purely sensationalistic explanation of perception is in like manner shown in the assertion which was made shortly before the appearance of the Kantian philosophy, that we not only have *ideas* of things called forth by sensation, but apprehend the *things themselves* directly, although they lie outside us — which is certainly inconceivable. And this was not meant in some idealistic sense, but was said from the point of view of common realism. This assertion is well and pointedly put by the celebrated Euler in his "Letters to a German Princess," vol. ii. p. 68. He says: "I therefore believe that the sensations (of the senses) contain something more than philosophers imagine. They are not merely

empty perceptions of certain impressions made in the brain. They do not give the soul mere *ideas* of things, *but actually place before it objects* which exist outside it, although we cannot conceive how this really happens.” This opinion is explained by the following facts. Although, as I have fully proved, perception is brought about by application of the law of causality, of which we are conscious *a priori*, yet in sight the act of the understanding, by means of which we pass from the effect to the cause, by no means appears distinctly in consciousness; and therefore the sensation does not separate itself clearly from the idea which is constructed out of it, as the raw material, by the understanding. Still less can a distinction between object and idea, which in general does not exist, appear in consciousness; but we feel the *things themselves* quite directly, and indeed as lying *outside us*, although it is certain that what is immediate can only be the sensation, and this is confined to the sphere of the body enclosed by our skin. This can be explained from the fact that *outside us* is exclusively a *spatial* determination. But space itself is a form of our faculty of perception, *i.e.*, a function of our brain. Therefore that externality to us to which we refer objects, on the occasion of sensations of sight, is itself really within our heads; for that is its whole sphere of activity. Much as in the theatre we see the mountains, the woods, and the sea, but yet everything is inside the house. From this it becomes intelligible that we perceive things in the relation of externality, and yet in every respect immediately, but have not within us an idea of the things which lie outside us, different from these things. For things are in space, and consequently also external to us only in so far as we *perceive* them. Therefore those things which to this extent we perceive directly, and not mere images of them, are themselves only *our ideas*, and as such exist only in our heads. Therefore we do not, as Euler says, directly perceive the things themselves which are external to us, but rather the things which are perceived by us as external to us are only our ideas, and consequently are apprehended by us immediately. The whole observation given above in Euler’s words, and which is quite correct, affords a fresh proof of Kant’s Transcendental *Æsthetic*, and of my theory of perception which is founded upon it, as also of idealism in general. The directness and unconsciousness referred to above, with which in perception we make *the transition from the sensation to its cause*, may be illustrated by an analogous procedure in the use of abstract ideas or thinking. When we read or hear we receive mere words, but we pass from these so immediately

to the conceptions denoted by them, that it is as if we *received the conceptions directly*; for we are absolutely unconscious of the transition from the words to the conceptions. Therefore it sometimes happens that we do not know in what language it was that we read something yesterday which we now remember. Yet that such a transition always takes place becomes apparent if it is once omitted, that is, if in a fit of abstraction we read without thinking, and then become aware that we certainly have taken in all the words but no conceptions. Only when we pass from abstract conceptions to pictures of the imagination do we become conscious of the transposition we have made.

Further, it is really only in perception in the narrowest sense, that is, in *sight*, that in empirical apprehension the transition from the sensation to its cause takes place quite unconsciously. In every other kind of sense perception, on the contrary, the transition takes place with more or less distinct consciousness; therefore, in the case of apprehension through the four coarser senses, its reality is capable of being established as an immediate fact. Thus in the dark we feel a thing for a long time on all sides until from the different effects upon our hands we are able to construct its definite form as their cause. Further, if something feels smooth we sometimes reflect whether we may not have fat or oil upon our hands; and again, if something feels cold we ask ourselves whether it may not be that we have very warm hands. When we hear a sound we sometimes doubt whether it was really an affection of our sense of hearing from without or merely an inner affection of it; then whether it sounded near and weak or far off and strong, then from what direction it came, and finally whether it was the voice of a man or of a brute, or the sound of an instrument; thus we investigate the cause of each effect we experience. In the case of smell and taste uncertainty as to the objective nature of the cause of the effect felt is of the commonest occurrence, so distinctly are the two separated here. The fact that *in sight* the transition from the effect to the cause occurs quite unconsciously, and hence the illusion arises that this kind of perception is perfectly direct, and consists simply in the sensation alone without any operation of the understanding — this has its explanation partly in the great perfection of the organ of vision, and partly in the exclusively rectilineal action of light. On account of the latter circumstance the impression itself leads directly to the place of the cause, and since the eye is capable of perceiving with the greatest exactness and at a glance all the fine

distinctions of light and shade, colour and outline, and also the data in accordance with which the understanding estimates distance, it thus happens that in the case of impressions of this sense the operation of the understanding takes place with such rapidity and certainty that we are just as little conscious of it as of spelling when we read. Hence arises the delusion that the sensation itself presents us directly with the objects. Yet it is just in sight that the operation of the *understanding*, consisting in the knowledge of the cause from the effect, is most significant. By means of it what is felt doubly, with two eyes, is perceived as single; by means of it the impression which strikes the retina upside down, in consequence of the crossing of the rays in the pupils, is put right by following back the cause of this in the same direction, or as we express ourselves, we see things upright although their image in the eye is reversed; and finally by means of the operation of the understanding magnitude and distance are estimated by us in direct perception from five different data, which are very clearly and beautifully described by Dr. Thomas Reid. I expounded all this, and also the proofs which irrefutably establish the *intellectual nature of perception*, as long ago as 1816, in my essay “On Sight and Colour” (second edition, 1854; third edition, 1870), and with important additions fifteen years later in the revised Latin version of it which is given under the title, “*Theoria Colorum Physiologica Eademque Primaria*,” in the third volume of the “*Scriptores Ophthalmologici Minores*,” published by Justus Radius in 1830; yet most fully and thoroughly in the second (and third) edition of my essay “On the Principle of Sufficient Reason,” § 21. Therefore on this important subject I refer to these works, so as not to extend unduly the present exposition.

On the other hand, an observation which trenches on the province of æsthetics may find its place here. It follows from the proved intellectual nature of perception that the sight of beautiful objects — for example, of a beautiful view — is also a *phenomenon of the brain*. Its purity and completeness, therefore, depends not merely on the object, but also upon the quality of the brain, its form and size, the fineness of its texture, and the stimulation of its activity by the strength of the pulse of the arteries which supply it. Accordingly the same view appears in different heads, even when the eyes are equally acute, as different as, for example, the first and last impressions of a copper plate that has been much used. This is the explanation of the difference of capacity for enjoying natural beauty, and

consequently also for reproducing it, *i.e.*, for occasioning a similar phenomenon of the brain by means of an entirely different kind of cause, the arrangement of colours on a canvas.

The apparent immediacy of perception, depending on its entire intellectuality, by virtue of which, as Euler says, we apprehend the thing itself, and as external to us, finds an analogy in the way in which we feel the parts of our own bodies, especially when they suffer pain, which when we do feel them is generally the case. Just as we imagine that we perceive things where they are, while the perception really takes place in the brain, we believe that we feel the pain of a limb in the limb itself, while in reality it also is felt in the brain, to which it is conducted by the nerve of the affected part. Therefore, only the affections of those parts whose nerves go to the brain are felt, and not those of the parts whose nerves belong to the sympathetic system, unless it be that an unusually strong affection of these parts penetrates by some roundabout way to the brain, where yet for the most part it only makes itself known as a dull sense of discomfort, and always without definite determination of its locality. Hence, also, it is that we do not feel injuries to a limb whose nerve-trunk has been severed or ligatured. And hence, finally, the man who has lost a limb still sometimes feels pain in it, because the nerves which go to the brain are still there. Thus, in the two phenomena here compared, what goes on in the brain is apprehended as outside of it; in the case of perception, by means of the understanding, which extends its feelers into the outer world; in the case of the feeling of our limbs, by means of the nerves.

### Chapter III. On The Senses.

It is not the object of my writings to repeat what has been said by others, and therefore I only make here some special remarks of my own on the subject of the senses.

The senses are merely the channels through which the brain receives from without (in the form of sensations) the materials which it works up into ideas of perception. Those sensations which principally serve for the objective comprehension of the external world must in themselves be neither agreeable nor disagreeable. This really means that they must leave the will entirely unaffected. Otherwise the sensation *itself* would attract our attention, and we would remain at the *effect* instead of passing to the *cause*, which is what is aimed at here. For it would bring with it that marked superiority, as regards our consideration, which the will always has over the mere idea, to which we only turn when the will is silent. Therefore colours and sounds are in themselves, and so long as their impression does not pass the normal degree, neither painful nor pleasurable sensations, but appear with the indifference that fits them to be the material of pure objective perception. This is as far the case as was possible in a body which is in itself through and through will; and just in this respect it is worthy of admiration. Physiologically it rests upon the fact that in the organs of the nobler senses, thus in sight and hearing, the nerves which have to receive the specific outward impression are quite insusceptible to any sensation of pain, and know no other sensation than that which is specifically peculiar to them, and which serves the purpose of mere apprehension. Thus the retina, as also the optic nerve, is insensible to every injury; and this is also the case with the nerve of hearing. In both organs pain is only felt in their other parts, the surroundings of the nerve of sense which is peculiar to them, never in this nerve itself. In the case of the eye such pain is felt principally in the *conjunctiva*; in the case of the ear, in the *meatus auditorius*. Even with the brain this is the case, for if it is cut into directly, thus from above, it has no feeling. Thus only on account of this indifference with regard to the will which is peculiar to them are the sensations of the eye capable of supplying the understanding with such multifarious and finely distinguished data, out of which it constructs in our head the marvellous objective world, by the application of the law of causality upon

the foundation of the pure perceptions of space and time. Just that freedom from affecting the will which is characteristic of sensations of colour enables them, when their energy is heightened by transparency, as in the glow of an evening sky, in painted glass, and the like, to raise us very easily into the state of pure objective will-less perception, which, as I have shown in my third book, is one of the chief constituent elements of the æsthetic impression. Just this indifference with regard to the will fits sounds to supply the material for denoting the infinite multiplicity of the conceptions of the reason.

*Outer sense*, that is, receptivity for external impressions as pure data for the understanding, is divided into *five senses*, and these accommodate themselves to the four elements, *i.e.*, the four states of aggregation, together with that of imponderability. Thus the sense for what is firm (earth) is touch; for what is fluid (water), taste; for what is in the form of vapour, *i.e.*, volatile (vapour, exhalation), smell; for what is permanently elastic (air), hearing; for what is imponderable (fire, light), sight. The second imponderable, heat, is not properly an object of the senses, but of general feeling, and therefore always affects the *will* directly, as agreeable or disagreeable. From this classification there also follows the relative dignity of the senses. Sight has the highest rank, because its sphere is the widest and its susceptibility the finest. This rests upon the fact that what affects it is an imponderable, that is, something which is scarcely corporeal, but is *quasi* spiritual. Hearing has the second place, corresponding to air. However, touch is a more thorough and well-informed sense. For while each of the other senses gives us only an entirely one-sided relation to the object, as its sound, or its relation to light, touch, which is closely bound up with general feeling and muscular power, supplies the understanding with the data at once for the form, magnitude, hardness, softness, texture, firmness, temperature, and weight of bodies, and all this with the least possibility of illusion and deception, to which all the other senses are far more subject. The two lowest senses, smell and taste, are no longer free from a direct affection of the will, that is, they are always agreeably or disagreeably affected, and are therefore more subjective than objective.

Sensations of hearing are exclusively in *time*, and therefore the whole nature of music consists in degrees of time, upon which depends both the quality or pitch of tones, by means of vibrations, and also their quantity or duration, by means of time. The sensations of sight, on the other hand, are



primarily and principally in *space*; but secondarily, by reason of their duration, they are also in time.

Sight is the sense of the understanding which perceives; hearing is the sense of the reason which thinks and apprehends. Words are only imperfectly represented by visible signs; and therefore I doubt whether a deaf and dumb man, who can read, but has no idea of the sound of the words, works as quickly in thinking with the mere visible signs of conceptions as we do with the real, *i.e.*, the audible words. If he cannot read, it is well known that he is almost like an irrational animal, while the man born blind is from the first a thoroughly rational being.

Sight is an *active*, hearing a *passive* sense. Therefore sounds affect our mind in a disturbing and hostile manner, and indeed they do so the more in proportion as the mind is active and developed; they distract all thoughts and instantly destroy the power of thinking. On the other hand, there is no analogous disturbance through the eye, no direct effect of what is seen, *as such*, upon the activity of thought (for naturally we are not speaking here of the influence which the objects looked at have upon the will); but the most varied multitude of things before our eyes admits of entirely unhindered and quiet thought. Therefore the thinking mind lives at peace with the eye, but is always at war with the ear. This opposition of the two senses is also confirmed by the fact that if deaf and dumb persons are cured by galvanism they become deadly pale with terror at the first sounds they hear (Gilbert's "*Annalen der Physik*," vol. x. p. 382), while blind persons, on the contrary, who have been operated upon, behold with ecstasy the first light, and unwillingly allow the bandages to be put over their eyes again. All that has been said, however, can be explained from the fact that hearing takes place by means of a mechanical vibration of the nerve of hearing which is at once transmitted to the brain, while seeing, on the other hand, is a real *action* of the retina which is merely stimulated and called forth by light and its modifications; as I have shown at length in my physiological theory of colours. But this whole opposition stands in direct conflict with that coloured-ether, drum-beating theory which is now everywhere unblushingly served up, and which seeks to degrade the eye's sensation of light to a mechanical vibration, such as primarily that of hearing actually is, while nothing can be more different than the still, gentle effect of light and the alarm-drum of hearing. If we add to this the remarkable circumstance that although we hear with two ears, the sensibility of which is often very

different, yet we never hear a sound double, as we often see things double with our two eyes, we are led to the conjecture that the sensation of hearing does not arise in the labyrinth or in the cochlea, but deep in the brain where the two nerves of hearing meet, and thus the impression becomes simple. But this is where the *pons Varolii* encloses the *medulla oblongata*, thus at the absolutely lethal spot, by the injury of which every animal is instantly killed, and from which the nerve of hearing has only a short course to the labyrinth, the seat of acoustic vibration. Now it is just because its source is here, in this dangerous place, in which also all movement of the limbs originates, that we start at a sudden noise; which does not occur in the least degree when we suddenly see a light; for example, a flash of lightning. The optic nerve, on the contrary, proceeds from its *thalami* much further forward (though perhaps its source lies behind them), and throughout its course is covered by the anterior lobes of the brain, although always separated from them till, having extended quite out of the brain, it is spread out in the retina, upon which, on stimulation by light, the sensation first arises, and where it is really localised. This is shown in my essay upon sight and colour. This origin of the auditory nerve explains, then, the great disturbance which the power of thinking suffers from sound, on account of which thinking men, and in general all people of much intellect, are without exception absolutely incapable of enduring any noise. For it disturbs the constant stream of their thoughts, interrupts and paralyses their thinking, just because the vibration of the auditory nerve extends so deep into the brain, the whole mass of which feels the oscillations set up through this nerve, and vibrates along with them, and because the brains of such persons are more easily moved than those of ordinary men. On the same readiness to be set in motion, and capacity for transmission, which characterises their brains depends the fact that in the case of persons like these every thought calls forth so readily all those analogous or related to it whereby the similarities, analogies, and relations of things in general come so quickly and easily into their minds; that the same occasion which millions of ordinary minds have experienced before brings them to *the* thought, to *the* discovery, that other people are subsequently surprised they did not reach themselves, for they certainly can think afterwards, but they cannot think before. Thus the sun shone on all statues, but only the statue of Memnon gave forth a sound. For this reason Kant, Goethe, and Jean Paul were highly sensitive to every noise, as their biographers bear witness.<sup>13</sup> Goethe in his

last years bought a house which had fallen into disrepair close to his own, simply in order that he might not have to endure the noise that would be made in repairing it. Thus it was in vain that in his youth he followed the drum in order to harden himself against noise. It is not a matter of custom. On the other hand, the truly stoical indifference to noise of ordinary minds is astonishing. No noise disturbs them in their thinking, reading, writing, or other occupations, while the finer mind is rendered quite incapable by it. But just that which makes them so insensible to noise of every kind makes them also insensible to the beautiful in plastic art, and to deep thought or fine expression in literary art; in short, to all that does not touch their personal interests. The following remark of Lichtenberg's applies to the paralysing effect which noise has upon highly intellectual persons: "It is always a good sign when an artist can be hindered by trifles from exercising his art. F — used to stick his fingers into sulphur if he wished to play the piano.... Such things do not interfere with the average mind;... it acts like a coarse sieve" (*Vermischte Schriften*, vol. i. p. 398). I have long really held the opinion that the amount of noise which any one can bear undisturbed stands in inverse proportion to his mental capacity, and therefore may be regarded as a pretty fair measure of it. Therefore, if I hear the dogs barking for hours together in the court of a house without being stopped, I know what to think of the intellectual capacity of the inhabitants. The man who habitually slams the door of a room, instead of shutting it with his hand, or allows this to go on in his house, is not only ill-bred, but is also a coarse and dull-minded fellow. That in English "sensible" also means gifted with understanding is based upon accurate and fine observation. We shall only become quite civilised when the ears are no longer unprotected, and when it shall no longer be the right of everybody to sever the consciousness of each thinking being, in its course of a thousand steps, with whistling, howling, bellowing, hammering, whip-cracking, barking, &c. &c. The Sybarites banished all noisy trades without the town; the honourable sect of the Shakers in North America permit no unnecessary noise in their villages, and the Moravians have a similar rule. Something more is said upon this subject in the thirtieth chapter of the second volume of the "Parerga."

The effect of music upon the mind, so penetrating, so direct, so unfailing, may be explained from the *passive* nature of hearing which has been discussed; also the after effect which sometimes follows it, and which consists in a specially elevated frame of mind. The vibrations of the tones

following in rationally combined numerical relations set the fibre of the brain itself in similar vibration. On the other hand, the *active* nature of sight, opposed as it is to the passive nature of hearing, makes it intelligible why there can be nothing analogous to music for the eye, and the piano of colours was an absurd mistake. Further, it is just on account of the active nature of the sense of sight that it is remarkably acute in the case of beasts that hunt, *i.e.*, beasts of prey, while conversely the *passive* sense of hearing is specially acute in those beasts that are hunted, that flee, and are timid, so that it may give them timely warning of the pursuer that is rushing or creeping upon them.

Just as we have recognised in sight the sense of the understanding, and in hearing the sense of the reason, so we might call smell the sense of the memory, because it recalls to us more directly than any other the specific impression of an event or a scene even from the most distant past.

## Chapter IV. On Knowledge A Priori.

From the fact that we are able spontaneously to assign and determine the laws of relations in space without having recourse to experience, Plato concludes (*Meno*, p. 353, Bip.) that all learning is mere recollection. Kant, on the other hand, concludes that space is subjectively conditioned, and merely a form of the faculty of knowledge. How far, in this regard, does Kant stand above Plato!

*Cogito, ergo sum*, is an analytical judgment. Indeed Parmenides held it to be an identical judgment: “το γὰρ αὐτο νοεῖν ἐστὶ τε καὶ εἶναι” (*nam intelligere et esse idem est*, *Clem. Alex. Strom.*, vi. 2, § 23). As such, however, or indeed even as an analytical judgment, it cannot contain any special wisdom; nor yet if, to go still deeper, we seek to deduce it as a conclusion from the major premise, *non-entis nulla sunt prædicata*. But with this proposition what Descartes really wished to express was the great truth that immediate certainty belongs only to self-consciousness, to what is subjective. To what is objective, on the other hand, thus to everything else, only indirect certainty belongs; for it is arrived at through self-consciousness; and being thus merely at second hand, it is to be regarded as problematical. Upon this depends the value of this celebrated proposition. As its opposite we may set up, in the sense of the Kantian philosophy, *cogito, ergo est*, that is, exactly as I think certain relations in things (the mathematical), they must always occur in all possible experience; — this was an important, profound, and a late *apperçu*, which appeared in the form of the problem as to the *possibility of synthetic judgments a priori*, and has actually opened up the way to a deeper knowledge. This problem is the watchword of the Kantian philosophy, as the former proposition is that of the Cartesian, and shows ἐξ οἴων εἰς οἶα.

Kant very fitly places his investigations concerning time and space at the head of all the rest. For to the speculative mind these questions present themselves before all others: what is time? — what is this that consists of mere movement, without anything that moves it? — and what is space? this omnipresent nothing, out of which nothing that exists can escape without ceasing to be anything at all?

That time and space depend on the subject, are the mode in which the process of objective apperception is brought about in the brain, has already

a sufficient proof in the absolute impossibility of thinking away time and space, while we can very easily think away everything that is presented in them. The hand can leave go of everything except itself. However, I wish here to illustrate by a few examples and deductions the more exact proofs of this truth which are given by Kant, not for the purpose of refuting stupid objections, but for the use of those who may have to expound Kant's doctrine in future.

“A right-angled equilateral triangle” contains no logical contradiction; for the predicates do not by any means cancel the subject, nor are they inconsistent with each other. It is only when their object is constructed in pure perception that the impossibility of their union in it appears. Now if on this account we were to regard this as a contradiction, then so would every physical impossibility, only discovered to be such after the lapse of centuries, be a contradiction; for example, the composition of a metal from its elements, or a mammal with more or fewer than seven cervical vertebra,<sup>14</sup> or horns and upper incisors in the same animal. But only *logical* impossibility is a contradiction, not physical, and just as little mathematical. Equilateral and rectangled do not contradict each other (they coexist in the square), nor does either of them contradict a triangle. Therefore the incompatibility of the above conceptions can never be known by mere *thinking*, but is only discovered by perception — merely mental perception, however, which requires no experience, no real object. We should also refer here to the proposition of Giordano Bruno, which is also found in Aristotle: “An infinitely large body is necessarily immovable” — a proposition which cannot rest either upon experience or upon the principle of contradiction, since it speaks of things which cannot occur in any experience, and the conceptions “infinitely large” and “movable” do not contradict each other; but it is only pure perception that informs us that motion demands a space outside the body, while its infinite size leaves no space over. Suppose, now, it should be objected to the first mathematical example that it is only a question of how complete a conception of a triangle the person judging has: if the conception is quite complete it will also contain the impossibility of a triangle being rectangular and also equilateral. The answer to this is: assume that his conception is not so complete, yet without recourse to experience he can, by the mere construction of the triangle in his imagination, extend his conception of it and convince himself for ever of the impossibility of this combination of these conceptions. This process, however, is a synthetic

judgment *a priori*, that is, a judgment through which, independently of all experience, and yet with validity for all experience, we form and perfect our conceptions. For, in general, whether a given judgment is analytical or synthetical can only be determined in the particular case according as the conception of the subject in the mind of the person judging is more or less complete. The conception “cat” contains in the mind of a Cuvier a hundred times more than in that of his servant; therefore the same judgments about it will be synthetical for the latter, and only analytical for the former. But if we take the conceptions objectively, and now wish to decide whether a given judgment is analytical or synthetical, we must change the predicate into its contradictory opposite, and apply this to the subject without a copula. If this gives a *contradictio in adjecto*, then the judgment was analytical; otherwise it was synthetical.

That Arithmetic rests on the pure intuition or perception of time is not so evident as that Geometry is based upon that of space.<sup>15</sup> It can be proved, however, in the following manner. All counting consists in the repeated affirmation of unity. Only for the purpose of always knowing how often we have already affirmed unity do we mark it each time with another word: these are the numerals. Now repetition is only possible through succession. But succession, that is, being after one another, depends directly upon the intuition or perception of *time*. It is a conception which can only be understood by means of this; and thus counting also is only possible by means of time. This dependence of all counting upon time is also betrayed by the fact that in all languages multiplication is expressed by “time,” thus by a time-concept: *sexies*, ἑξάκις, *six fois*, *sex mal*. But simple counting is already a multiplication by one, and for this reason in Pestalozzi’s educational establishment the children are always made to multiply thus: “Two times two is four times one.” Aristotle already recognised the close relationship of number and time, and expounded it in the fourteenth chapter of the fourth book of the “Physics.” Time is for him “the number of motion” (“ὁ χρόνος ἀριθμὸς ἐστὶ κινήσεως”). He very profoundly suggests the question whether time could be if the soul were not, and answers it in the negative. If arithmetic had not this pure intuition or perception of time at its foundation, it would be no science *a priori*, and therefore its propositions would not have infallible certainty.

Although time, like space, is the form of knowledge of the subject, yet, just like space, it presents itself as independent of the subject and

completely objective. Against our will, or without our knowledge, it goes fast or slow. We ask what o'clock it is; we investigate time, as if it were something quite objective. And what is this objective existence? Not the progress of the stars, or of the clocks, which merely serve to measure the course of time itself, but it is something different from all things, and yet, like them, independent of our will and knowledge. It exists only in the heads of percipient beings, but the uniformity of its course and its independence of the will give it the authority of objectivity.

Time is primarily the form of inner sense. Anticipating the following book, I remark that the only object of inner sense is the individual will of the knowing subject. Time is therefore the form by means of which self-consciousness becomes possible for the individual will, which originally and in itself is without knowledge. In it the nature of the will, which in itself is simple and identical, appears drawn out into a course of life. But just on account of this original simplicity and identity of what thus exhibits itself, its *character* remains always precisely the same, and hence also the course of life itself retains throughout the same key-note, indeed its multifarious events and scenes are at bottom just like variations of one and the same theme.

The *a priori nature of the law of causality* has, by Englishmen and Frenchmen, sometimes not been seen at all, sometimes not rightly conceived of; and therefore some of them still prosecute the earlier attempts to find for it an *empirical* origin. Maine de Biran places this in the experience that the act of will as cause is followed by the movement of the body as effect. But this fact itself is untrue. We certainly do not recognise the really immediate act of will as something different from the action of the body, and the two as connected by the bond of causality; but both are one and indivisible. Between them there is no succession; they are simultaneous. They are one and the same thing, apprehended in a double manner. That which makes itself known to inner apprehension (self-consciousness) as the real *act of will* exhibits itself at once in external perception, in which the body exists objectively as an *action* of the body. That physiologically the action of the nerve precedes that of the muscle is here immaterial, for it does not come within self-consciousness; and we are not speaking here of the relation between muscle and nerve, but of that between the act of will and the action of the body. Now this does not present itself as a causal relation. If these two presented themselves to us as



cause and effect their connection would not be so incomprehensible to us as it actually is; for what we understand from its cause we understand as far as there is an understanding of things generally. On the other hand, the movement of our limbs by means of mere acts of will is indeed a miracle of such common occurrence that we no longer observe it; but if we once turn our attention to it we become keenly conscious of the incomprehensibility of the matter, just because in this we have something before us which we do *not* understand as the effect of a cause. This apprehension, then, could never lead us to the idea of causality, for that never appears in it at all. Maine de Biran himself recognises the perfect simultaneousness of the act of will and the movement (*Nouvelles Considérations des Rapports du Physique au Moral*, p. 377, 378). In England Thomas Reid (On the First Principles of Contingent Truths, Essay IV. c. 5) already asserted that the knowledge of the causal relation has its ground in the nature of the faculty of knowledge itself. Quite recently Thomas Brown, in his very tediously composed book, "Inquiry into the Relation of Cause and Effect," 4th edit., 1835, says much the same thing, that that knowledge springs from an innate, intuitive, and instinctive conviction; thus he is at bottom upon the right path. Quite unpardonable, however, is the crass ignorance on account of which in this book of 476 pages, of which 130 are devoted to the refutation of Hume, absolutely no mention is made of Kant, who cleared up the question more than seventy years ago. If Latin had remained the exclusive language of science such a thing would not have occurred. In spite of Brown's exposition, which in the main is correct, a modification of the doctrine set up by Maine de Biran, of the empirical origin of the fundamental knowledge of the causal relation, has yet found acceptance in England; for it is not without a certain degree of plausibility. It is this, that we abstract the law of causality from the perceived effect of our own body upon other bodies. This was already refuted by Hume. I, however, have shown that it is untenable in my work, "*Ueber den Willen in der Natur*" (p. 75 of the second edition, p. 82 of the third), from the fact that since we apprehend both our own and other bodies objectively in spatial perception, the knowledge of causality must already be there, because it is a condition of such perception. The one genuine proof that we are conscious of the law of causality *before all experience* lies in the necessity of making a *transition* from the sensation, which is only empirically given, to its *cause*, in order that it may become perception of the external world. Therefore I have

substituted this proof for the Kantian, the incorrectness of which I have shown. A most full and thorough exposition of the whole of this important subject, which is only touched on here, the *a priori* nature of the law of causality and the intellectual nature of empirical perception, will be found in my essay on the principle of sufficient reason, § 21, to which I refer, in order to avoid the necessity of repeating here what is said there. I have also shown there the enormous difference between the mere sensation of the senses and the perception of an objective world, and discovered the wide gulf that lies between the two. The law of causality alone can bridge across this gulf, and it presupposes for its application the two other forms which are related to it, space and time. Only by means of these three combined is the objective idea attained to. Now whether the sensation from which we start to arrive at apprehension arises through the resistance which is suffered by our muscular exertion, or through the impression of light upon the retina, or of sound upon the nerves of the brain, &c. &c., is really a matter of indifference. The *sensation* always remains a mere *datum* for the *understanding*, which alone is capable of apprehending it as the effect of a cause different from itself, which the understanding now perceives as external, *i.e.*, as something occupying and filling space, which is also a form inherent in the intellect prior to all experience. Without this intellectual operation, for which the forms must lie ready in us, the perception of an *objective, external world* could never arise from a mere *sensation* within our skin. How can it ever be supposed that the mere feeling of being hindered in intended motion, which occurs also in lameness, could be sufficient for this? We may add to this that before I attempt to affect external things *they* must necessarily have affected me as motives. But this almost presupposes the apprehension of the external world. According to the theory in question (as I have remarked in the place referred to above), a man born without arms and legs could never attain to the idea of causality, and consequently could never arrive at the apprehension of the external world. But that this is not the case is proved by a fact communicated in Froriep's *Notizen*, July 1838, No. 133 — the detailed account, accompanied by a likeness, of an Esthonian girl, Eva Lauk, then fourteen years old, who was born entirely without arms or legs. The account concludes with these words: "According to the evidence of her mother, her mental development had been quite as quick as that of her brothers and sisters; she attained just as soon as they did to a correct

judgment of size and distance, yet without the assistance of hands. — Dorpat, 1st March 1838, Dr. A. Hueck.”

Hume’s doctrine also, that the conception of causality arises from the custom of seeing two states constantly following each other, finds a practical refutation in the oldest of all successions, that of day and night, which no one has ever held to be cause and effect of each other. And the same succession also refutes Kant’s false assertion that the *objective* reality of a succession is only known when we apprehend the two succeeding events as standing in the relation of cause and effect to each other. Indeed the converse of this doctrine of Kant’s is true. We know which of the two connected events is the cause and which the effect, *empirically*, only in the succession. Again, on the other hand, the absurd assertion of several professors of philosophy in our own day that cause and effect are simultaneous can be refuted by the fact that in cases in which the succession cannot be perceived on account of its great rapidity, we yet assume it with certainty *a priori*, and with it the lapse of a certain time. Thus, for example, we know that a certain time must elapse between the falling of the flint and the projection of the bullet, although we cannot perceive it, and that this time must further be divided between several events that occur in a strictly determined succession — the falling of the flint, the striking of the spark, ignition, the spread of the fire, the explosion, and the projection of the bullet. No man ever perceived this succession of events; but because we know which is the cause of the others, we thereby also know which must *precede the others in time*, and consequently also that during the course of the whole series a certain time must elapse, although it is so short that it escapes our empirical apprehension; for no one will assert that the projection of the bullet is actually simultaneous with the falling of the flint. Thus not only the law of causality, but also its relation to *time*, and the necessity of the *succession* of cause and effect, is known to us *a priori*. If we know which of two events is the cause and which is the effect, we also know which precedes the other in time; if, on the contrary, we do not know which is cause and which effect, but only know in general that they are causally connected, we seek to discover the succession empirically, and according to that we determine which is the cause and which the effect. The falseness of the assertion that cause and effect are simultaneous further appears from the following consideration. An unbroken chain of causes and effects fills the whole of time. (For if this chain were broken the world

would stand still, or in order to set it in motion again an effect without a cause would have to appear.) Now if every effect were simultaneous with its cause, then every effect would be moved up into the time of its cause, and a chain of causes and effects containing as many links as before would fill no time at all, still less an infinite time, but would be all together in one moment. Thus, under the assumption that cause and effect are simultaneous, the course of the world shrinks up into an affair of a moment. This proof is analogous to the proof that every sheet of paper must have a certain thickness, because otherwise the whole book would have none. To say *when* the cause ceases and the effect begins is in almost all cases difficult, and often impossible. For the *changes* (i.e., the succession of states) are continuous, like the time which they fill, and therefore also, like it, they are infinitely divisible. But their succession is as necessarily determined and as unmistakable as that of the moments of time itself, and each of them is called, with reference to the one which precedes it, “effect,” and with reference to the one which follows it, “cause.”

*Every change in the material world can only take place because another has immediately preceded it:* this is the true and the whole content of the law of causality. But no conception has been more misused in philosophy than that of *cause*, by means of the favourite trick or blunder of conceiving it too widely, taking it too generally, through abstract thinking. Since Scholasticism, indeed properly since Plato and Aristotle, philosophy has been for the most part a *systematic misuse of general conceptions*. Such, for example, are substance, ground, cause, the good, perfection, necessity, and very many others. A tendency of the mind to work with such abstract and too widely comprehended conceptions has shown itself almost at all times. It may ultimately rest upon a certain indolence of the intellect, which finds it too difficult a task to be constantly controlling thought by perception. By degrees such unduly wide conceptions come to be used almost like algebraical symbols, and tossed about like them, and thus philosophy is reduced to a mere process of combination, a kind of reckoning which (like all calculations) employs and demands only the lower faculties. Indeed there finally results from this a mere juggling with words, of which the most shocking example is afforded us by the mind-destroying Hegelism, in which it is carried to the extent of pure nonsense. But Scholasticism also often degenerated into word-juggling. Nay even the “Topi” of Aristotle — very abstract principles, conceived with absolute generality, which one could

apply to the most different kinds of subjects, and always bring into the field in arguing either *pro* or *contra* — have also their origin in this misuse of general conceptions. We find innumerable examples of the way the Schoolmen worked with such abstractions in their writings, especially in those of Thomas Aquinas. But philosophy really pursued the path which was entered on by the Schoolmen down to the time of Locke and Kant, who at last bethought themselves as to the origin of conceptions. Indeed we find Kant himself, in his earlier years, still upon that path, in his “Proof of the Existence of God” (p. 191 of the first volume of Rosenkranz’s edition), where the conceptions substance, ground, reality, are used in such a way as would never have been possible if he had gone back to the *source* of these conceptions and to their *true content* which is determined thereby. For then he would have found as the source and content of *substance* simply matter, of ground (if things of the real world are in question) simply cause, that is, the prior change which brings about the later change, &c. It is true that in this case such an investigation would not have led to the intended result. But everywhere, as here, such unduly wide conceptions, under which, therefore, more was subsumed than their true content would have justified, there have arisen false principles, and from these false systems. Spinoza’s whole method of demonstration rests upon such uninvestigated and too widely comprehended conceptions. Now here lies the great merit of Locke, who, in order to counteract all that dogmatic unreality, insisted upon the investigation of the *origin of the conceptions*, and thus led back to *perception and experience*. Bacon had worked in a similar frame of mind, yet more with reference to Physics than to Metaphysics. Kant followed the path entered upon by Locke, but in a higher sense and much further, as has already been mentioned above. To the men of mere show who succeeded in diverting the attention of the public from Kant to themselves the results obtained by Locke and Kant were inconvenient. But in such a case they know how to ignore both the dead and the living. Thus without hesitation they forsook the only right path which had at last been found by those wise men, and philosophised at random with all kinds of indiscriminately collected conceptions, unconcerned as to their origin and content, till at last the substance of the Hegelian philosophy, wise beyond measure, was that the conceptions had no origin at all, but were rather themselves the origin and source of things. But Kant has erred in this respect. He has too much neglected empirical perception for the sake of *pure* perception — a point

which I have fully discussed in my criticism of his philosophy. With me perception is throughout the source of all knowledge. I early recognised the misleading and insidious nature of abstractions, and in 1813, in my essay on the principle of sufficient reason, I pointed out the difference of the relations which are thought under *this* conception. General conceptions must indeed be the material in which philosophy deposits and stores up its knowledge, but not the source from which it draws it; the *terminus ad quem*, not *a quo*. It is not, as Kant defines it, a science *drawn from* conceptions, but a science *in* conceptions. Thus the conception of causality also, with which we are here concerned, has always been taken far too widely by philosophers for the furtherance of their dogmatic ends, and much was imported into it which does not belong to it at all. Hence arose propositions such as the following: “All that is has its cause”— “the effect cannot contain more than the cause, thus nothing that was not also in the cause”— “*causa est nobilior suo effectu*,” and many others just as unwarranted. The following subtilty of that insipid gossip Proclus affords an elaborate and specially lucid example of this. It occurs in his “*Institutio Theologica*,” § 76: “Παν το απο ακινητου γιγνομενον αιτιας, αμεταβλητον εχει την ὑπαρξιν; παν δε το απο κινουμενης, μεταβλητην; ει γαρ ακινητον εστι παντη το ποιουν, ου δια κινησεως, αλλ’ αυτω τω ειναι παραγει το δευτερον αφ’ ἑαυτου.” (*Quidquid ab immobili causa manat, immutabilem habet essentiam [substantiam]. Quidquid vero a mobili causa manat, essentiam habet mutabilem. Si enim illud, quod aliquid facit, est prorsus immobile, non per motum, sed per ipsum Esse producit ipsum secundum ex se ipso.*) Excellent! But just show me a cause which is not itself set in motion: it is simply impossible. But here, as in so many cases, abstraction has thought away all determinations down to that one which it is desired to make use of without regard to the fact that the latter cannot exist without the former. The only correct expression of the law of causality is this: *Every change has its cause in another change which immediately precedes it.* If something happens, *i.e.*, if a new state of things appears, *i.e.*, if something is changed, then something else must have *changed* immediately before, and something else again before this, and so on *ad infinitum*, for a *first* cause is as impossible to conceive as a beginning of time or a limit of space. More than this the law of causality does not assert. Thus its claims only arise in the case of *changes*. So long as nothing changes there can be no question of

a cause. For there is no *a priori* ground for inferring from the existence of given things, *i.e.*, states of matter, their previous non-existence, and from this again their coming into being, that is to say, there is no *a priori* ground for inferring a change. Therefore the mere existence of a thing does not justify us in inferring that it has a cause. Yet there may be *a posteriori* reasons, that is, reasons drawn from previous experience, for the assumption that the present state or condition did not always exist, but has only come into existence in consequence of another state, and therefore by means of a change, the cause of which is then to be sought, and also the cause of this cause. Here then we are involved in the *infinite regressus* to which the application of the law of causality always leads. We said above: “*Things, i.e., states or conditions of matter,*” for *change* and *causality* have only to do with states or conditions. It is these states which we understand by *form*, in the wider sense; and only the forms change, the matter is permanent. Thus it is only the form which is subject to the law of causality. But the form constitutes the *thing, i.e.*, it is the ground of *the difference* of things; while matter must be thought as the same in all. Therefore the Schoolmen said, “*Forma dat esse rei;*” more accurately this proposition would run: *Forma dat rei essentiam, materia existentiam*. Therefore the question as to the cause of a *thing* always concerns merely its form, *i.e.*, its state or quality, and not its matter, and indeed only the former so far as we have grounds for assuming that it has not *always* existed, but has come into being by means of a *change*. The union of *form* and *matter*, or of *essentia* and *existentia*, gives the *concrete*, which is always particular; thus, the *thing*. And it is the *forms* whose union with *matter, i.e.*, whose appearance in matter by means of a *change*, are subject to the law of causality. By taking the conception *too widely* in the abstract the mistake slipped in of extending causality to the thing absolutely, that is, to its whole inner nature and existence, thus also to matter, and ultimately it was thought justifiable to ask for a cause of the world itself. This is the origin of the *cosmological proof*. This proof begins by inferring from the existence of the world its non-existence, which preceded its existence, and such an inference is quite unjustifiable; it ends, however, with the most fearful inconsistency, for it does away altogether with the law of causality, from which alone it derives all its evidencing power, for it stops at a first cause, and will not go further; thus ends, as it were, by committing parricide, as the bees kill the drones

after they have served their end. All the talk about the *absolute* is referable to a shamefast, and therefore disguised cosmological proof, which, in the face of the “Critique of Pure Reason,” has passed for philosophy in Germany for the last sixty years. What does the absolute mean? Something that is, and of which (under pain of punishment) we dare not ask further whence and why it is. A precious rarity for professors of philosophy! In the case, however, of the honestly expressed cosmological proof, through the assumption of a first cause, and therefore of a first beginning in a time which has absolutely no beginning, this beginning is always pushed further back by the question: Why not earlier? And so far back indeed that one never gets down from it to the present, but is always marvelling that the present itself did not occur already millions of years ago. In general, then, the law of causality applies to all things in the world, but not to the world itself, for it is *immanent* in the world, not *transcendent*; *with* it it comes into action, and *with* it it is abolished. This depends ultimately upon the fact that it belongs to the mere form of our understanding, like the whole of the objective world, which accordingly is merely phenomenal, and is conditioned by the understanding. Thus the law of causality has full application, without any exception, to all things in the world, of course in respect of their form, to the variation of these forms, and thus to their changes. It is valid for the actions of men as for the impact of a stone, yet, as we have said always, merely with regard to events, to *changes*. But if we abstract from its origin in the understanding and try to look at it as purely objective, it will be found in ultimate analysis to depend upon the fact that everything that acts does so by virtue of its original, and therefore eternal or timeless, power; therefore its present effect would necessarily have occurred infinitely earlier, that is, before all conceivable time, but that it lacked the temporal condition. This temporal condition is the occasion, *i.e.*, the cause, on account of which alone the effect only takes place *now*, but now takes place necessarily; the cause assigns it its place in time.

But in consequence of that unduly wide view in abstract thought of the conception *cause*, which was considered above, it has been confounded with the conception of *force*. This is something completely different from the cause, but yet is that which imparts to every cause its causality, *i.e.*, the capability of producing an effect. I have explained this fully and thoroughly in the second book of the first volume, also in “The Will in Nature,” and



finally also in the second edition of the essay on the principle of sufficient reason, § 20, p. 44 (third edition, p. 45). This confusion is to be found in its most aggravated form in Maine de Biran's book mentioned above, and this is dealt with more fully in the place last referred to; but apart from this it is also very common; for example, when people seek for the cause of any original force, such as gravitation. Kant himself (*Über den Einzig Möglichen Beweisgrund*, vol. i. p. 211-215 of Rosenkranz's edition) calls the forces of nature "efficient causes," and says "gravity is a cause." Yet it is impossible to see to the bottom of his thought so long as force and cause are not distinctly recognised as completely different. But the use of abstract conceptions leads very easily to their confusion if the consideration of their origin is set aside. The knowledge of causes and effects, always *perceptive*, which rests on the form of the understanding, is neglected in order to stick to the abstraction *cause*. In this way alone is the conception of causality, with all its simplicity, so very frequently wrongly apprehended. Therefore even in Aristotle ("Metaph.," iv. 2) we find causes divided into four classes which are utterly falsely, and indeed crudely conceived. Compare with it my classification of causes as set forth for the first time in my essay on sight and colour, chap. 1, and touched upon briefly in the sixth paragraph of the first volume of the present work, but expounded at full length in my prize essay on the freedom of the will, p. 30-33. Two things in nature remain untouched by that chain of causality which stretches into infinity in both directions; these are matter and the forces of nature. They are both conditions of causality, while everything else is conditioned by it. For the one (matter) is that *in* which the states and their changes appear; the other (forces of nature) is that by virtue of which alone they can appear at all. Here, however, one must remember that in the second book, and later and more thoroughly in "The Will in Nature," the natural forces are shown to be identical with the will in us; but matter appears as the mere *visibility of the will*; so that ultimately it also may in a certain sense be regarded as identical with the will.

On the other hand, not less true and correct is what is explained in § 4 of the first book, and still better in the second edition of the essay on the principle of sufficient reason at the end of § 21, p. 77 (third edition, p. 82), that matter is causality itself objectively comprehended, for its entire nature consists in *acting in general*, so that it itself is thus the activity (ἐνέργεια = reality) of things generally, as it were the abstraction of all their different

kinds of acting. Accordingly, since the essence, *essentia*, of matter consists in *action in general*, and the reality, *existentia*, of things consists in their materiality, which thus again is one with action in general, it may be asserted of matter that in it *existentia* and *essentia* unite and are one, for it has no other attribute than *existence itself* in general and independent of all fuller definitions of it. On the other hand, all *empirically* given matter, thus all material or matter in the special sense (which our ignorant materialists at the present day confound with matter), has already entered the framework of the *forms* and manifests itself only through their qualities and accidents, because in experience every action is of quite a definite and special kind, and is never merely general. Therefore pure matter is an object of *thought* alone, not of *perception*, which led Plotinus (*Enneas II.*, lib. iv., c. 8 & 9) and Giordano Bruno (*Della Causa*, dial. 4) to make the paradoxical assertion that matter has no extension, for extension is inseparable from the form, and that therefore it is *incorporeal*. Yet Aristotle had already taught that it is not a body although it is corporeal: “σῶμα μὲν οὐκ ἂν εἴη, σωματικὴ δέ” (*Stob. Ecl.*, lib. i., c. 12, § 5). In reality we think under *pure matter* only action, in the abstract, quite independent of the *kind* of action, thus *pure causality* itself; and as such it is not an *object* but a *condition* of experience, just like space and time. This is the reason why in the accompanying table of our pure *a priori* knowledge matter is able to take the place of causality, and therefore appears along with space and time as the third pure form, and therefore as dependent on our intellect.

This table contains all the fundamental truths which are rooted in our perceptive or intuitive knowledge *a priori*, expressed as first principles independent of each other. What is special, however, what forms the content of arithmetic and geometry, is not given here, nor yet what only results from the union and application of those formal principles of knowledge. This is the subject of the “Metaphysical First Principles of Natural Science” expounded by Kant, to which this table in some measure forms the propædutic and introduction, and with which it therefore stands in direct connection. In this table I have primarily had in view the very remarkable *parallelism* of those *a priori* principles of knowledge which form the framework of all experience, but specially also the fact that, as I have explained in § 4 of the first volume, matter (and also causality) is to be regarded as a combination, or if it is preferred, an amalgamation, of space

and time. In agreement with this, we find that what geometry is for the pure perception or intuition of space, and arithmetic for that of time, Kant's *phoronomy* is for the pure perception or intuition of the two *united*. For matter is primarily that which is movable in space. The mathematical point cannot even be conceived as movable, as Aristotle has shown ("Physics," vi. 10). This philosopher also himself provided the first example of such a science, for in the fifth and sixth books of his "Physics" he determined *a priori* the laws of rest and motion.

Now this table may be regarded at pleasure either as a collection of the eternal laws of the world, and therefore as the basis of our ontology, or as a chapter of the physiology of the brain, according as one assumes the realistic or the idealistic point of view; but the second is in the last instance right. On this point, indeed, we have already come to an understanding in the first chapter; yet I wish further to illustrate it specially by an example. Aristotle's book "*De Xenophane*," &c., commences with these weighty words of Xenophanes: "Αἰδίων εἶναι φησιν, εἰ τι ἐστίν, εἴπερ μὴ ἐνδέχεται γενεσθαι μηδὲν ἐκ μηδενός." (*Æternum esse, inquit, quicquid est, siquidem fieri non potest, ut ex nihilo quippiam existat.*) Here, then, Xenophanes judges as to the origin of things, as regards its possibility, and of this origin he can have had no experience, even by analogy; nor indeed does he appeal to experience, but judges apodictically, and therefore *a priori*. How can he do this if as a stranger he looks from without into a world that exists purely objectively, that is, independently of his knowledge? How can he, an ephemeral being hurrying past, to whom only a hasty glance into such a world is permitted, judge apodictically, *a priori* and without experience concerning that world, the possibility of its existence and origin? The solution of this riddle is that the man has only to do with his own ideas, which as such are the work of his brain, and the constitution of which is merely the manner or mode in which alone the function of his brain can be fulfilled, *i.e.*, the form of his perception. He thus judges only as to the *phenomena of his own brain*, and declares what enters into its forms, time, space, and causality, and what does not. In this he is perfectly at home and speaks apodictically. In a like sense, then, the following table of the *Prædicabilia a priori* of time, space, and matter is to be taken: —

Prædicabilia *A Priori*.

Of Time.

Of Space.

Of Matter.

(1) There is only *one* Time, and all different times are parts of it.

(2) Different times are not simultaneous but successive.

(3) Time cannot be thought away, but everything can be thought away from it.

(4) Time has three divisions, the past, the present, and the future, which constitute two directions and a centre of indifference.

(5) Time is infinitely divisible.

(6) Time is homogeneous and a *Continuum*, *i.e.*, no one of its parts is different from the rest, nor separated from it by anything that is not time.

(1) There is only *one* Space, and all different spaces are parts of it.

(2) Different spaces are not successive but simultaneous.

(3) Space cannot be thought away, but everything can be thought away from it.

(4) Space has three dimensions — height, breadth, and length.

(5) Space is infinitely divisible.

(6) Space is homogeneous and a *Continuum*, *i.e.*, no one of its parts is different from the rest, nor separated from it by anything that is not space.

(1) There is only *one* Matter, and all different materials are different states of matter; as such it is called *Substance*.

(2) Different matters (materials) are not so through substance but through accidents.

(3) Annihilation of matter is inconceivable, but annihilation of all its forms and qualities is conceivable.

(4) Matter exists, *i.e.*, acts in all the dimensions of space and throughout the whole length of time, and thus these two are united and thereby filled. In this consists the true nature of matter; thus it is through and through causality.

(5) Matter is infinitely divisible.

(6) Matter is homogeneous and a *Continuum*, *i.e.*, it does not consist of originally different (*homoiomeria*) or originally separated parts (atoms); it is therefore not composed of parts, which would necessarily be separated by something that was not matter.

(7) Time has no beginning and no end, but all beginning and end is in it.

(8) By reason of time we count.

(9) Rhythm is only in time.

(10) We know the laws of time *a priori*.

(11) Time can be perceived *a priori*, although only in the form of a line.

(12) Time has no permanence, but passes away as soon as it is there.

(13) Time never rests.

(14) Everything that exists in time has duration.

(15) Time has no duration, but all duration is in it, and is the persistence of what is permanent in contrast with its restless course.

(16) All motion is only possible in

(7) Space has no limits, but all limits are in it.

(8) By reason of space we measure.

(9) Symmetry is only in space.

(10) We know the laws of space *a priori*.

(11) Space is immediately perceptible *a priori*.

(12) Space can never pass away, but endures through all time.

(13) Space is immovable.

(14) Everything that exists in space has a position.

(15) Space has no motion, but all motion is in it, and it is the change of position of what is moved, in contrast with its unbroken rest.

(16) All motion is only possible in space.

(7) Matter has no origin and no end, but all coming into being and passing away are in it.

(8) By reason of matter we weigh.

(9) Equilibrium is only in matter.

(10) We know the laws of the substance of all accidents *a priori*.

(11) Matter can only be thought *a priori*.

(12) The accidents change; the substance remains.

(13) Matter is indifferent to rest and motion, *i.e.*, it is originally disposed towards neither of the two.

(14) Everything material has the capacity for action.

(15) Matter is what is permanent in time and movable in space; by the comparison of what rests with what is moved we measure duration.

(16) All motion is only possible to matter.

time.

(17) Velocity is, in equal spaces, in inverse proportion to the time.

(17) Velocity is, in equal times, in direct proportion to the space.

(17) The magnitude of the motion, the velocity being equal, is in direct geometrical proportion to the matter (mass).

(18) Time is not measurable directly through itself, but only indirectly through motion, which is in space and time together: thus the motion of the sun and of the clock measure time.

(18) Space is measurable directly through itself, and indirectly through motion, which is in time and space together; hence, for example, an hour's journey, and the distance of the fixed stars expressed as the travelling of light for so many years.

(18) Matter as such (mass) is measurable, *i.e.*, determinable as regards its quantity only indirectly, only through the amount of the motion which it receives and imparts when it is repelled or attracted.

(19) Time is omnipresent. Every part of time is everywhere, *i.e.*, in all space, at once.

(19) Space is eternal. Every part of it exists always.

(19) Matter is absolute. That is, it neither comes into being nor passes away, and thus its quantity can neither be increased nor diminished.

(20) In time taken by itself everything would be in succession.

(20) In space taken by itself everything would be simultaneous.

(20, 21) Matter unites the ceaseless flight of time with the rigid immobility of space; therefore it is the permanent substance of the changing accidents. Causality determines this change for every place at every time, and thereby combines time and space, and constitutes the whole nature of matter.

(21) Time makes the change of accidents possible.

(21) Space makes the permanence of substance possible.

(22) Every part of time contains all parts of matter.

(23) Time is the *principium individuationis*.

(24) The now has no duration.

(25) Time in itself is empty and without properties.

(26) Every moment is conditioned by the preceding moment, and is only because the latter has ceased to be. (Principle of sufficient reason of existence in time. — See my essay on the principle of sufficient reason.)

(27) Time makes arithmetic possible.

(28) The simple element in arithmetic is unity.

(22) No part of space contains the same matter as another.

(23) Space is the *principium individuationis*.

(24) The point has no extension.

(25) Space in itself is empty and without properties.

(26) By the position of every limit in space with reference to any other limit, its position with reference to every possible limit is precisely determined. (Principle of sufficient reason of existence in space.)

(27) Space makes geometry possible.

(28) The simple element in geometry is the point.

(22) For matter is both permanent and impenetrable.

(23) Individuals are material.

(24) The atom has no reality.

(25) Matter in itself is without form and quality, and likewise inert, *i.e.*, indifferent to rest or motion, thus without properties.

(26) Every change in matter can take place only on account of another change which preceded it; and therefore a first change, and thus also a first state of matter, is just as inconceivable as a beginning of time or a limit of space. (Principle of sufficient reason of becoming.)

(27) Matter, as that which is movable in space, makes phoronomy possible.

(28) The simple element in phoronomy is the atom.

## **Notes to the Annexed Table.**



## (1) To No. 4 of Matter.

The essence of matter is acting, it is acting itself, in the abstract, thus acting in general apart from all difference of the kind of action: it is through and through causality. On this account it is itself, as regards its existence, not subject to the law of causality, and thus has neither come into being nor passes away, for otherwise the law of causality would be applied to itself. Since now causality is known to us *a priori*, the conception of matter, as the indestructible basis of all that exists, can so far take its place in the knowledge we possess *a priori*, inasmuch as it is only the realisation of an *a priori* form of our knowledge. For as soon as we see anything that acts or is causally efficient it presents itself *eo ipso* as material, and conversely anything material presents itself as necessarily active or causally efficient. They are in fact interchangeable conceptions. Therefore the word “actual” is used as synonymous with “material;” and also the Greek κατ’ ενεργειαν, in opposition to κατα δυναμιν, reveals the same source, for ενεργεια signifies action in general; so also with *actu* in opposition to *potentia*, and the English “actually” for “wirklich.” What is called space-occupation, or impenetrability, and regarded as the essential predicate of body (*i.e.* of what is material), is merely that *kind of action* which belongs to *all* bodies without exception, the mechanical. It is this universality alone, by virtue of which it belongs to the conception of body, and follows *a priori* from this conception, and therefore cannot be thought away from it without doing away with the conception itself — it is this, I say, that distinguishes it from any other kind of action, such as that of electricity or chemistry, or light or heat. Kant has very accurately analysed this space-occupation of the mechanical mode of activity into repulsive and attractive force, just as a given mechanical force is analysed into two others by means of the parallelogram of forces. But this is really only the thoughtful analysis of the phenomenon into its two constituent parts. The two forces in conjunction exhibit the body within its own limits, that is, in a definite volume, while the one alone would diffuse it into infinity, and the other alone would contract it to a point. Notwithstanding this reciprocal balancing or neutralisation, the body still acts upon other bodies which contest its space with the first force, repelling them, and with the other force, in gravitation, attracting all bodies in general. So that the two forces are not extinguished in their product, as, for instance, two equal forces acting in different

directions, or +E and -E, or oxygen and hydrogen in water. That impenetrability and gravity really exactly coincide is shown by their empirical inseparableness, in that the one never appears without the other, although we can separate them in thought.

I must not, however, omit to mention that the doctrine of Kant referred to, which forms the fundamental thought of the second part of his “Metaphysical First Principles of Natural Science,” thus of the Dynamics, was distinctly and fully expounded before Kant by Priestley, in his excellent “Disquisitions on Matter and Spirit,” § 1 and 2, a book which appeared in 1777, and the second edition in 1782, while Kant’s work was published in 1786. Unconscious recollection may certainly be assumed in the case of subsidiary thoughts, flashes of wit, comparisons, &c., but not in the case of the principal and fundamental thought. Shall we then believe that Kant silently appropriated such important thoughts of another man? and this from a book which at that time was new? Or that this book was unknown to him, and that the same thoughts sprang up in two minds within a short time? The explanation, also, which Kant gives, in the “Metaphysical First Principles of Natural Science” (first edition, p. 88; Rosenkranz’s edition, p. 384), of the real difference between fluids and solids, is in substance already to be found in Kaspar Freidr. Wolff’s “Theory of Generation,” Berlin 1764, p. 132. But what are we to say if we find Kant’s most important and brilliant doctrine, that of the ideality of space and the merely phenomenal existence of the corporeal world, already expressed by Maupertuis thirty years earlier? This will be found more fully referred to in Frauenstädt’s letters on my philosophy, Letter 14. Maupertuis expresses this paradoxical doctrine so decidedly, and yet without adducing any proof of it, that one must suppose that he also took it from somewhere else. It is very desirable that the matter should be further investigated, and as this would demand tiresome and extensive researches, some German Academy might very well make the question the subject of a prize essay. Now in the same relation as that in which Kant here stands to Priestley, and perhaps also to Kaspar Wolff, and Maupertuis or his predecessor, Laplace stands to Kant. For the principal and fundamental thought of Laplace’s admirable and certainly correct theory of the origin of the planetary system, which is set forth in his “*Exposition du Système du Monde*,” liv. v. c. 2, was expressed by Kant nearly fifty years before, in 1755, in his “*Naturgeschichte und Theorie des Himmels*,” and more fully in 1763 in his “*Einzig möglichen Beweisgrund des Daseyns*

*Gottes*,” ch. 7. Moreover, in the later work he gives us to understand that Lambert in his “*Kosmologischen Briefen*,” 1761, tacitly adopted that doctrine from him, and these letters at the same time also appeared in French (*Lettres Cosmologiques sur la Constitution de l’Univers*). We are therefore obliged to assume that Laplace knew that Kantian doctrine. Certainly he expounds the matter more thoroughly, strikingly, and fully, and at the same time more simply than Kant, as is natural from his more profound astronomical knowledge; yet in the main it is to be found clearly expressed in Kant, and on account of the importance of the matter, would alone have been sufficient to make his name immortal. It cannot but disturb us very much if we find minds of the first order under suspicion of dishonesty, which would be a scandal to those of the lowest order. For we feel that theft is even more inexcusable in a rich man than in a poor one. We dare not, however, be silent; for here we are posterity, and must be just, as we hope that posterity will some day be just to us. Therefore, as a third example, I will add to these cases, that the fundamental thoughts of the “Metamorphosis of Plants,” by Goethe, were already expressed by Kaspar Wolff in 1764 in his “Theory of Generation,” p. 148, 229, 243, &c. Indeed, is it otherwise with the *system of gravitation*? the discovery of which is on the Continent of Europe always ascribed to Newton, while in England the learned at least know very well that it belongs to Robert Hooke, who in the year 1666, in a “Communication to the Royal Society,” expounds it quite distinctly, although only as an hypothesis and without proof. The principal passage of this communication is quoted in Dugald Stewart’s “Philosophy of the Human Mind,” and is probably taken from Robert Hooke’s Posthumous Works. The history of the matter, and how Newton got into difficulty by it, is also to be found in the “*Biographie Universelle*,” article Newton. Hooke’s priority is treated as an established fact in a short history of astronomy, *Quarterly Review*, August 1828. Further details on this subject are to be found in my “*Parerga*,” vol. ii., § 86 (second edition, § 88). The story of the fall of an apple is a fable as groundless as it is popular, and is quite without authority.

## (2) To No. 18 of Matter.

*The quantity of a motion (quantitas motus, already in Descartes) is the product of the mass into the velocity.*

This law is the basis not only of the doctrine of impact in mechanics, but also of that of equilibrium in statics. From the force of impact which two bodies with the same velocity exert the relation of their masses to each other may be determined. Thus of two hammers striking with the same velocity, the one which has the greater mass will drive the nail deeper into the wall or the post deeper into the earth. For example, a hammer weighing six pounds with a velocity = 6 effects as much as a hammer weighing three pounds with a velocity = 12, for in both cases the quantity of motion or the momentum = 36. Of two balls rolling at the same pace, the one which has the greater mass will impel a third ball at rest to a greater distance than the ball of less mass can. For the mass of the first multiplied by the same velocity gives a *greater quantity of motion*, or a *greater momentum*. The cannon carries further than the gun, because an equal velocity communicated to a much greater mass gives a much *greater quantity of motion*, which resists longer the retarding effect of gravity. For the same reason, the same arm will throw a lead bullet further than a stone one of equal magnitude, or a large stone further than quite a small one. And therefore also a case-shot does not carry so far as a ball-shot.

The same law lies at the foundation of the theory of the lever and of the balance. For here also the smaller mass, on the longer arm of the lever or beam of the balance, has a greater velocity in falling; and multiplied by this it may be equal to, or indeed exceed, the *quantity of motion* or the *momentum* of the greater mass at the shorter arm of the lever. In the state of rest brought about by *equilibrium* this velocity exists merely in intention or virtually, *potentiâ*, not *actu*; but it acts just as well as *actu*, which is very remarkable.

The following explanation will be more easily understood now that these truths have been called to mind.

The quantity of a given matter can only be estimated in general according to its force, and its force can only be known in its *expression*. Now when we are considering matter only as regards its quantity, not its quality, this expression can only be mechanical, *i.e.*, it can only consist in

motion which it imparts to other matter. For only in motion does the force of matter become, so to speak, *alive*; hence the expression *vis viva* for the manifestation of force of matter in motion. Accordingly the only measure of the quantity of a given matter is the *quantity of its motion*, or its *momentum*. In this, however, if it is given, the *quantity* of matter still appears in conjunction and amalgamated with its other factor, *velocity*. Therefore if we want to know the quantity of matter (the mass) this other factor must be eliminated. Now the velocity is known directly; for it is  $S/T$ . But the other factor, which remains when this is eliminated, can always be known only *relatively* in comparison with other masses, which again can only be known themselves by means of the *quantity of their motion*, or their *momentum*, thus in their combination with velocity. We must therefore compare one *quantity of motion* with the other, and then subtract the velocity from both, in order to see how much each of them owed to its mass. This is done by weighing the masses against each other, in which that *quantity of motion* is compared which, in each of the two masses, calls forth the attractive power of the earth that acts upon both only in proportion to their *quantity*. Therefore there are two kinds of weighing. Either we impart to the two masses to be compared *equal* velocity, in order to find out which of the two now *communicates* motion to the other, thus itself *has* a greater quantity of motion, which, since the velocity is the same on both sides, is to be ascribed to the other factor of the *quantity of motion* or the *momentum*, thus to the mass (common balance). Or we weigh, by investigating how much *more velocity* the one mass must receive than the other has, in order to be equal to the latter in *quantity of motion* or *momentum*, and therefore allow no more motion to be communicated to itself by the other; for then in proportion as its velocity must exceed that of the other, its mass, *i.e.*, the quantity of its matter, is less than that of the other (steelyard). This estimation of masses by weighing depends upon the favourable circumstance that the moving force, in itself, acts upon both quite equally, and each of the two is in a position to *communicate* to the other directly its surplus *quantity of motion* or *momentum*, so that it becomes visible.

The substance of these doctrines has long ago been expressed by Newton and Kant, but through the connection and the clearness of this exposition I believe I have made it more intelligible, so that that insight is possible for all which I regarded as necessary for the justification of proposition No. 18.

## **Second Half. The Doctrine of the Abstract Idea, or Thinking.**

## Chapter V.<sup>16</sup> On The Irrational Intellect.

It must be possible to arrive at a complete knowledge of the consciousness of the brutes, for we can construct it by abstracting certain properties of our own consciousness. On the other hand, there enters into the consciousness of the brute instinct, which is much more developed in all of them than in man, and in some of them extends to what we call mechanical instinct.

The brutes have understanding without having reason, and therefore they have knowledge of perception but no abstract knowledge. They apprehend correctly, and also grasp the immediate causal connection, in the case of the higher species even through several links of its chain, but they do not, properly speaking, *think*. For they lack *conceptions*, that is, abstract ideas. The first consequence of this, however, is the want of a proper memory, which applies even to the most sagacious of the brutes, and it is just this which constitutes the principal difference between their consciousness and that of men. Perfect intelligence depends upon the distinct consciousness of the past and of the eventual future, *as such*, and in connection with the present. The special memory which this demands is therefore an orderly, connected, and thinking retrospective recollection. This, however, is only possible by means of *general conceptions*, the assistance of which is required by what is entirely individual, in order that it may be recalled in its order and connection. For the boundless multitude of things and events of the same and similar kinds, in the course of our life, does not admit directly of a perceptible and individual recollection of each particular, for which neither the powers of the most comprehensive memory nor our time would be sufficient. Therefore all this can only be preserved by subsuming it under general conceptions, and the consequent reference to relatively few principles, by means of which we then have always at command an orderly and adequate survey of our past. We can only present to ourselves in perception particular scenes of the past, but the time that has passed since then and its content we are conscious of only in the abstract by means of conceptions of things and numbers which now represent days and years, together with their content. The memory of the brutes, on the contrary, like their whole intellect, is confined to what they *perceive*, and primarily consists merely in the fact that a recurring impression presents itself as having already been experienced, for the present perception revivifies the traces of an earlier one. Their memory is therefore always dependent upon

what is now actually present. Just on this account, however, this excites anew the sensation and the mood which the earlier phenomenon produced. Thus the dog recognises acquaintances, distinguishes friends from enemies, easily finds again the path it has once travelled, the houses it has once visited, and at the sight of a plate or a stick is at once put into the mood associated with them. All kinds of training depend upon the use of this perceptive memory and on the force of habit, which in the case of animals is specially strong. It is therefore just as different from human education as perception is from thinking. We ourselves are in certain cases, in which memory proper refuses us its service, confined to that merely perceptive recollection, and thus we can measure the difference between the two from our own experience. For example, at the sight of a person whom it appears to us we know, although we are not able to remember when or where we saw him; or again, when we visit a place where we once were in early childhood, that is, while our reason was yet undeveloped, and which we have therefore entirely forgotten, and yet feel that the present impression is one which we have already experienced. This is the nature of all the recollections of the brutes. We have only to add that in the case of the most sagacious this merely perceptive memory rises to a certain degree of *phantasy*, which again assists it, and by virtue of which, for example, the image of its absent master floats before the mind of the dog and excites a longing after him, so that when he remains away long it seeks for him everywhere. Its dreams also depend upon this phantasy. The consciousness of the brutes is accordingly a mere succession of presents, none of which, however, exist as future before they appear, nor as past after they have vanished; which is the specific difference of human consciousness. Hence the brutes have infinitely less to *suffer* than we have, because they know no other pains but those which the *present* directly brings. But the present is without extension, while the future and the past, which contain most of the causes of our suffering, are widely extended, and to their actual content there is added that which is merely possible, which opens up an unlimited field for desire and aversion. The brutes, on the contrary, undisturbed by these, enjoy quietly and peacefully each present moment, even if it is only bearable. Human beings of very limited capacity perhaps approach them in this. Further, the sufferings which belong *purely* to the present can only be physical. Indeed the brutes do not properly speaking feel death: they can only know it when it appears, and then they are already no more. Thus then



the life of the brute is a continuous present. It lives on without reflection, and exists wholly in the present; even the great majority of men live with very little reflection. Another consequence of the special nature of the intellect of the brutes, which we have explained is the perfect accordance of their consciousness with their environment. Between the brute and the external world there is nothing, but between us and the external world there is always our thought about it, which makes us often inapproachable to it, and it to us. Only in the case of children and very primitive men is this wall of partition so thin that in order to see what goes on in them we only need to see what goes on round about them. Therefore the brutes are incapable alike of purpose and dissimulation; they reserve nothing. In this respect the dog stands to the man in the same relation as a glass goblet to a metal one, and this helps greatly to endear the dog so much to us, for it affords us great pleasure to see all those inclinations and emotions which we so often conceal displayed simply and openly in him. In general, the brutes always play, as it were, with their hand exposed; and therefore we contemplate with so much pleasure their behaviour towards each other, both when they belong to the same and to different species. It is characterised by a certain stamp of innocence, in contrast to the conduct of men, which is withdrawn from the innocence of nature by the entrance of reason, and with it of prudence or deliberation. Hence human conduct has throughout the stamp of intention or deliberate purpose, the absence of which, and the consequent determination by the impulse of the moment, is the fundamental characteristic of all the action of the brutes. No brute is capable of a purpose properly so-called. To conceive and follow out a purpose is the prerogative of man, and it is a prerogative which is rich in consequences. Certainly an instinct like that of the bird of passage or the bee, still more a permanent, persistent desire, a longing like that of the dog for its absent master, may present the appearance of a purpose, with which, however, it must not be confounded. Now all this has its ultimate ground in the relation between the human and the brute intellect, which may also be thus expressed: The brutes have only *direct* knowledge, while we, in addition to this, have *indirect* knowledge; and the advantage which in many things — for example, in trigonometry and analysis, in machine work instead of hand work, &c. — indirect has over direct knowledge appears here also. Thus again we may say: The brutes have only a *single* intellect, we a *double* intellect, both perceptive and thinking, and the operation of the two often go on

independently of each other. We perceive one thing, and we think another. Often, again, they act upon each other. This way of putting the matter enables us specially to understand that natural openness and naivete of the brutes, referred to above, as contrasted with the concealment of man.

However, the law *natura non facit saltus* is not entirely suspended even with regard to the intellect of the brutes, though certainly the step from the brute to the human intelligence is the greatest which nature has made in the production of her creatures. In the most favoured individuals of the highest species of the brutes there certainly sometimes appears, always to our astonishment, a faint trace of reflection, reason, the comprehension of words, of thought, purpose, and deliberation. The most striking indications of this kind are afforded by the elephant, whose highly developed intelligence is heightened and supported by an experience of a lifetime which sometimes extends to two hundred years. He has often given unmistakable signs, recorded in well-known anecdotes, of premeditation, which, in the case of brutes, always astonishes us more than anything else. Such, for instance, is the story of the tailor on whom an elephant revenged himself for pricking him with a needle. I wish, however, to rescue from oblivion a parallel case to this, because it has the advantage of being authenticated by judicial investigation. On the 27th of August 1830 there was held at Morpeth, in England, a coroner's inquest on the keeper, Baptist Bernhard, who was killed by his elephant. It appeared from the evidence that two years before he had offended the elephant grossly, and now, without any occasion, but on a favourable opportunity, the elephant had seized him and crushed him. (See the *Spectator* and other English papers of that day.) For special information on the intelligence of brutes I recommend Leroy's excellent book, "*Sur l'Intelligence des Animaux*," nouv. éd. 1802.

## Chapter VI. On The Doctrine of Abstract or Rational Knowledge.

The outward impression upon the senses, together with the mood which it alone awakens in us, vanishes with the presence of the thing. Therefore these two cannot of themselves constitute *experience* proper, whose teaching is to guide our conduct for the future. The image of that impression which the imagination preserves is originally weaker than the impression itself, and becomes weaker and weaker daily, until in time it disappears altogether. There is only one thing which is not subject either to the instantaneous vanishing of the impression or to the gradual disappearance of its image, and is therefore free from the power of time. This is the *conception*. In it, then, the teaching of experience must be stored up, and it alone is suited to be a safe guide to our steps in life. Therefore Seneca says rightly, "*Si vis tibi omnia subijcere, te subijce rationi*" (Ep. 37). And I add to this that the essential condition of surpassing others in actual life is that we should reflect or deliberate. Such an important tool of the intellect as the *concept* evidently cannot be identical with the *word*, this mere sound, which as an impression of sense passes with the moment, or as a phantasm of hearing dies away with time. Yet the concept is an idea, the distinct consciousness and preservation of which are bound up with the word. Hence the Greeks called word, concept, relation, thought, and reason by the name of the first, ὁ λόγος. Yet the concept is perfectly different both from the word, to which it is joined, and from the perceptions, from which it has originated. It is of an entirely different nature from these impressions of the senses. Yet it is able to take up into itself all the results of perception, and give them back again unchanged and undiminished after the longest period of time; thus alone does *experience* arise. But the concept preserves, not what is perceived nor what is then felt, but only what is essential in these, in an entirely altered form, and yet as an adequate representative of them. Just as flowers cannot be preserved, but their ethereal oil, their essence, with the same smell and the same virtues, can be. The action that has been guided by correct conceptions will, in the result, coincide with the real object aimed at. We may judge of the inestimable value of conceptions, and consequently of the reason, if we glance for a moment at the infinite multitude and variety of the things and conditions that coexist and succeed each other, and

then consider that speech and writing (the signs of conceptions) are capable of affording us accurate information as to everything and every relation when and wherever it may have been; for comparatively *few* conceptions can contain and represent an infinite number of things and conditions. In our own reflection *abstraction* is a throwing off of useless baggage for the sake of more easily handling the knowledge which is to be compared, and has therefore to be turned about in all directions. We allow much that is unessential, and therefore only confusing, to fall away from the real things, and work with few but essential determinations thought in the abstract. But just because general conceptions are only formed by thinking away and leaving out existing qualities, and are therefore the emptier the more general they are, the use of this procedure is confined to the *working up* of knowledge which we have already acquired. This working up includes the drawing of conclusions from premisses contained in our knowledge. New insight, on the contrary, can only be obtained by the help of the faculty of judgment, from perception, which alone is complete and rich knowledge. Further, because the content and the extent of the concepts stand in inverse relation to each other, and thus the more is thought *under* a concept, the less is thought *in* it, concepts form a graduated series, a hierarchy, from the most special to the most general, at the lower end of which scholastic realism is almost right, and at the upper end nominalism. For the most special conception is almost the individual, thus almost real; and the most general conception, *e.g.*, being (*i.e.*, the infinitive of the copula), is scarcely anything but a word. Therefore philosophical systems which confine themselves to such very general conceptions, without going down to the real, are little more than mere juggling with words. For since all abstraction consists in thinking away, the further we push it the less we have left over. Therefore, if I read those modern philosophemes which move constantly in the widest abstractions, I am soon quite unable, in spite of all attention, to think almost anything more in connection with them; for I receive no material for thought, but am supposed to work with mere empty shells, which gives me a feeling like that which we experience when we try to throw very light bodies; the strength and also the exertion are there, but there is no object to receive them, so as to supply the other moment of motion. If any one wants to experience this let him read the writings of the disciples of Schelling, or still better of the Hegelians. *Simple conceptions* would necessarily be such as could not be broken up. Accordingly they

could never be the subject of an analytical judgment. This I hold to be impossible, for if we think a conception we must also be able to give its content. What are commonly adduced as examples of simple conceptions are really not conceptions at all, but partly mere sensations — as, for instance, those of some special colour; partly the forms of perception which are known to us *a priori*, thus properly the ultimate elements of *perceptive knowledge*. But this itself is for the whole system of our thought what granite is for geology, the ultimate firm basis which supports all, and beyond which we cannot go. The *distinctness* of a conception demands not only that we should be able to separate its predicates, but also that we should be able to analyse these even if they are abstractions, and so on until we reach knowledge of *perception*, and thus refer to concrete things through the distinct perception of which the final abstractions are verified and reality guaranteed to them, as well as to all the higher abstractions which rest upon them. Therefore the ordinary explanation that the conception is distinct as soon as we can give its predicates is not sufficient. For the separating of these predicates may lead perhaps to more conceptions; and so on again without there being that ultimate basis of perceptions which imparts reality to all those conceptions. Take, for example, the conception “spirit,” and analyse it into its predicates: “A thinking, willing, immaterial, simple, indestructible being that does not occupy space.” Nothing is yet distinctly thought about it, because the elements of these conceptions cannot be verified by means of perceptions, for a thinking being without a brain is like a digesting being without a stomach. Only perceptions are, properly speaking, *clear*, not conceptions; these at the most can only be distinct. Hence also, absurd as it was, “clear and confused” were coupled together and used as synonymous when knowledge of perception was explained as merely a confused abstract knowledge, because the latter kind of knowledge alone was distinct. This was first done by Duns Scotus, but Leibnitz has substantially the same view, upon which his “*Identitas Indiscernibilium*” depends. (See Kant’s refutation of this, p. 275 of the first edition of the Critique of Pure Reason.)

The close connection of the conception with the word, thus of speech with reason, which was touched on above, rests ultimately upon the following ground. *Time* is throughout the form of our whole consciousness, with its inward and outward apprehension. Conceptions, on the other hand, which originate through abstraction and are perfectly general ideas,

different from all particular things, have in this property indeed a certain measure of objective existence, which does not, however, belong to any series of events in time. Therefore in order to enter the immediate present of an individual consciousness, and thus to admit of being introduced into a series of events in time, they must to a certain extent be reduced again to the nature of individual things, individualised, and therefore linked to an idea of sense. Such an idea is the *word*. It is accordingly the sensible sign of the conception, and as such the necessary means of *fixing* it, that is, of presenting it to the consciousness, which is bound up with the form of time, and thus establishing a connection between the reason, whose objects are merely general universals, knowing neither place nor time, and consciousness, which is bound up with time, is sensuous, and so far purely animal. Only by this means is the reproduction at pleasure, thus the recollection and preservation, of conceptions possible and open to us; and only by means of this, again, are the operations which are undertaken with conceptions possible — judgment, inference, comparison, limitation, &c. It is true it sometimes happens that conceptions occupy consciousness without their signs, as when we run through a train of reasoning so rapidly that we could not think the words in the time. But such cases are exceptions, which presuppose great exercise of the reason, which it could only have obtained by means of language. How much the use of reason is bound up with speech we see in the case of the deaf and dumb, who, if they have learnt no kind of language, show scarcely more intelligence than the ourang-outang or the elephant. For their reason is almost entirely potential, not actual.

Words and speech are thus the indispensable means of distinct thought. But as every means, every machine, at once burdens and hinders, so also does language; for it forces the fluid and modifiable thoughts, with their infinitely fine distinctions of difference, into certain rigid, permanent forms, and thus in fixing also fetters them. This hindrance is to some extent got rid of by learning several languages. For in these the thought is poured from one mould into another, and somewhat alters its form in each, so that it becomes more and more freed from all form and clothing, and thus its own proper nature comes more distinctly into consciousness, and it recovers again its original capacity for modification. The ancient languages render this service very much better than the modern, because, on account of their great difference from the latter, the same thoughts are expressed in them in quite another way, and must thus assume a very different form; besides

which the more perfect grammar of the ancient languages renders a more artistic and more perfect construction of the thoughts and their connection possible. Thus a Greek or a Roman might perhaps content himself with his own language, but he who understands nothing but some single modern patois will soon betray this poverty in writing and speaking; for his thoughts, firmly bound to such narrow stereotyped forms, must appear awkward and monotonous. Genius certainly makes up for this as for everything else, for example in Shakespeare.

Burke, in his "Inquiry into the Sublime and Beautiful," p. 5, § 4 and 5, has given a perfectly correct and very elaborate exposition of what I laid down in § 9 of the first volume, that the words of a speech are perfectly understood without calling up ideas of perception, pictures in our heads. But he draws from this the entirely false conclusion that we hear, apprehend, and make use of words without connecting with them any idea whatever; whereas he ought to have drawn the conclusion that all ideas are not perceptible images, but that precisely those ideas which must be expressed by means of words are abstract notions or conceptions, and these from their very nature are not perceptible. Just because words impart only general conceptions, which are perfectly different from ideas of perception, when, for example, an event is recounted all the hearers will receive the same conceptions; but if afterwards they wish to make the incident clear to themselves, each of them will call up in his imagination a different *image* of it, which differs considerably from the correct image that is possessed only by the eye-witness. This is the primary reason (which, however, is accompanied by others) why every fact is necessarily distorted by being repeatedly told. The second recounter communicates conceptions which he has abstracted from the image of *his own* imagination, and from these conceptions the third now forms another image differing still more widely from the truth, and this again he translates into conceptions, and so the process goes on. Whoever is sufficiently matter of fact to stick to the conceptions imparted to him, and repeat them, will prove the most truthful reporter.

The best and most intelligent exposition of the essence and nature of conceptions which I have been able to find is in Thomas Reid's "Essays on the Powers of Human Mind," vol. ii., Essay 5, ch. 6. This was afterwards condemned by Dugald Stewart in his "Philosophy of the Human Mind." Not to waste paper I will only briefly remark with regard to the latter that he

belongs to that large class who have obtained an undeserved reputation through favour and friends, and therefore I can only advise that not an hour should be wasted over the scribbling of this shallow writer.

The princely scholastic Pico de Mirandula already saw that reason is the faculty of abstract ideas, and understanding the faculty of ideas of perception. For in his book, "*De Imaginatione*," ch. 11, he carefully distinguishes understanding and reason, and explains the latter as the discursive faculty peculiar to man, and the former as the intuitive faculty, allied to the kind of knowledge which is proper to the angels, and indeed to God. Spinoza also characterises reason quite correctly as the faculty of framing general conceptions (Eth., ii. prop. 40, schol. 2). Such facts would not need to be mentioned if it were not for the tricks that have been played in the last fifty years by the whole of the philosophasters of Germany with the conception *reason*. For they have tried, with shameless audacity, to smuggle in under this name an entirely spurious faculty of immediate, metaphysical, so-called super-sensuous knowledge. The reason proper, on the other hand, they call *understanding*, and the understanding proper, as something quite strange to them, they overlook altogether, and ascribe its intuitive functions to sensibility.

In the case of all things in this world new drawbacks or disadvantages cleave to every source of aid, to every gain, to every advantage; and thus reason also, which gives to man such great advantages over the brutes, carries with it its special disadvantages, and opens for him paths of error into which the brutes can never stray. Through it a new species of motives, to which the brute is not accessible, obtains power over his will. These are the *abstract* motives, the mere thoughts, which are by no means always drawn from his own experience, but often come to him only through the talk and example of others, through tradition and literature. Having become accessible to thought, he is at once exposed to error. But every error must sooner or later do harm, and the greater the error the greater the harm it will do. The individual error must be atoned for by him who cherishes it, and often he has to pay dearly for it. And the same thing holds good on a large scale of the common errors of whole nations. Therefore it cannot too often be repeated that every error wherever we meet it, is to be pursued and rooted out as an enemy of mankind, and that there can be no such thing as privileged or sanctioned error. The thinker ought to attack it, even if humanity should cry out with pain, like a sick man whose ulcer the



physician touches. The brute can never stray far from the path of nature; for its motives lie only in the world of perception, where only the possible, indeed only the actual, finds room. On the other hand, all that is only imaginable, and therefore also the false, the impossible, the absurd, and senseless, enters into abstract conceptions, into thoughts and words. Since now all partake of reason, but few of judgment, the consequence is that man is exposed to delusion, for he is abandoned to every conceivable chimera which any one talks him into, and which, acting on his will as a motive, may influence him to perversities and follies of every kind, to the most unheard-of extravagances, and also to actions most contrary to his animal nature. True culture, in which knowledge and judgment go hand in hand, can only be brought to bear on a few; and still fewer are capable of receiving it. For the great mass of men a kind of training everywhere takes its place. It is effected by example, custom, and the very early and firm impression of certain conceptions, before any experience, understanding, or judgment were there to disturb the work. Thus thoughts are implanted, which afterward cling as firmly, and are as incapable of being shaken by any instruction as if they were *inborn*; and indeed they have often been regarded, even by philosophers, as such. In this way we can, with the same trouble, imbue men with what is right and rational, or with what is most absurd. For example, we can accustom them to approach this or that idol with holy dread, and at the mention of its name to prostrate in the dust not only their bodies but their whole spirit; to sacrifice their property and their lives willingly to words, to names, to the defence of the strangest whims; to attach arbitrarily the greatest honour or the deepest disgrace to this or that, and to prize highly or disdain everything accordingly with full inward conviction; to renounce all animal food, as in Hindustan, or to devour still warm and quivering pieces, cut from the living animal, as in Abyssinia; to eat men, as in New Zealand, or to sacrifice their children to Moloch; to castrate themselves, to fling themselves voluntarily on the funeral piles of the dead — in a word, to do anything we please. Hence the Crusades, the extravagances of fanatical sects; hence Chiliasts and Flagellants, persecutions, *autos da fe*, and all that is offered by the long register of human perversities. Lest it should be thought that only the dark ages afford such examples, I shall add a couple of more modern instances. In the year 1818 there went from Würtemberg 7000 Chiliasts to the neighbourhood of Ararat, because the new kingdom of God, specially announced by Jung

Stilling, was to appear there.<sup>17</sup> Gall relates that in his time a mother killed her child and roasted it in order to cure her husband's rheumatism with its fat.<sup>18</sup> The tragical side of error lies in the practical, the comical is reserved for the theoretical. For example, if we could firmly persuade three men that the sun is not the cause of daylight, we might hope to see it soon established as the general conviction. In Germany it was possible to proclaim as the greatest philosopher of all ages Hegel, a repulsive, mindless charlatan, an unparalleled scribbler of nonsense, and for twenty years many thousands have believed it stubbornly and firmly; and indeed, outside Germany, the Danish Academy entered the lists against myself for his fame, and sought to have him regarded as a *summus philosophus*. (Upon this see the preface to my *Grundproblemen der Ethik*.) These, then, are the disadvantages which, on account of the rarity of judgment, attach to the existence of reason. We must add to them the possibility of madness. The brutes do not go mad, although the carnivora are subject to fury, and the ruminants to a sort of delirium.

## Chapter VII.<sup>19</sup> On The Relation of the Concrete Knowledge of Perception to Abstract Knowledge.

It has been shown that conceptions derive their material from knowledge of perception, and therefore the entire structure of our world of thought rests upon the world of perception. We must therefore be able to go back from every conception, even if only indirectly through intermediate conceptions, to the perceptions from which it is either itself directly derived or those conceptions are derived of which it is again an abstraction. That is to say, we must be able to support it with perceptions which stand to the abstractions in the relation of examples. These perceptions thus afford the real content of all our thought, and whenever they are wanting we have not had conceptions but mere words in our heads. In this respect our intellect is like a bank, which, if it is to be sound, must have cash in its safe, so as to be able to meet all the notes it has issued, in case of demand; the perceptions are the cash, the conceptions are the notes. In this sense the perceptions might very appropriately be called *primary*, and the conceptions, on the other hand, *secondary* ideas. Not quite so aptly, the Schoolmen, following the example of Aristotle (*Metaph.*, vi. 11, xi. 1), called real things *substantiæ primæ*, and the conceptions *substantiæ secundæ*. Books impart only secondary ideas. Mere conceptions of a thing without perception give only a general knowledge of it. We only have a thorough understanding of things and their relations so far as we are able to represent them to ourselves in pure, distinct perceptions, without the aid of words. To explain words by words, to compare concepts with concepts, in which most philosophising consists, is a trivial shifting about of the concept-spheres in order to see which goes into the other and which does not. At the best we can in this way only arrive at conclusions; but even conclusions give no really new knowledge, but only show us all that lay in the knowledge we already possessed, and what part of it perhaps might be applicable to the particular case. On the other hand, to perceive, to allow the things themselves to speak to us, to apprehend new relations of them, and then to take up and deposit all this in conceptions, in order to possess it with certainty — that gives new knowledge. But, while almost every one is capable of comparing conceptions with conceptions, to compare conceptions with perceptions is a gift of the select few. It is the condition,

according to the degree of its perfection, of wit, judgment, ingenuity, genius. The former faculty, on the contrary, results in little more than possibly rational reflections. The inmost kernel of all genuine and actual knowledge is a perception; and every new truth is the profit or gain yielded by a perception. All original thinking takes place in images, and this is why imagination is so necessary an instrument of thought, and minds that lack imagination will never accomplish much, unless it be in mathematics. On the other hand, merely abstract thoughts, which have no kernel of perception, are like cloud-structures, without reality. Even writing and speaking, whether didactic or poetical, has for its final aim to guide the reader to the same concrete knowledge from which the author started; if it has not this aim it is bad. This is why the contemplation and observing of every real thing, as soon as it presents something new to the observer, is more instructive than any reading or hearing. For indeed, if we go to the bottom of the matter, all truth and wisdom, nay, the ultimate secret of things, is contained in each real object, yet certainly only *in concreto*, just as gold lies hidden in the ore; the difficulty is to extract it. From a book, on the contrary, at the best we only receive the truth at second hand, and oftener not at all.

In most books, putting out of account those that are thoroughly bad, the author, when their content is not altogether empirical, has certainly *thought* but not *perceived*; he has written from reflection, not from intuition, and it is this that makes them commonplace and tedious. For what the author has thought could always have been thought by the reader also, if he had taken the same trouble; indeed it consists simply of intelligent thought, full exposition of what is *implicite* contained in the theme. But no actually new knowledge comes in this way into the world; this is only created in the moment of perception, of direct comprehension of a new side of the thing. When, therefore, on the contrary, *sight* has formed the foundation of an author's thought, it is as if he wrote from a land where the reader has never been, for all is fresh and new, because it is drawn directly from the original source of all knowledge. Let me illustrate the distinction here touched upon by a perfectly easy and simple example. Any commonplace writer might easily describe profound contemplation or petrifying astonishment by saying: "He stood like a statue;" but Cervantes says: "Like a clothed statue, for the wind moved his garments" (*Don Quixote*, book vi. ch. 19). It is thus that all great minds have ever *thought in presence of the perception*, and

kept their gaze steadfastly upon it in their thought. We recognise this from this fact, among others, that even the most opposite of them so often agree and coincide in some particular; because they all speak of the same thing which they all had before their eyes, the world, the perceived reality; indeed in a certain degree they all say the same thing, and others never believe them. We recognise it further in the appropriateness and originality of the expression, which is always perfectly adapted to the subject because it has been inspired by perception, in the naivete of the language, the freshness of the imagery, and the impressiveness of the similes, all of which qualities, without exception, distinguish the works of great minds, and, on the contrary, are always wanting in the works of others. Accordingly only commonplace forms of expression and trite figures are at the service of the latter, and they never dare to allow themselves to be natural, under penalty of displaying their vulgarity in all its dreary barrenness; instead of this they are affected mannerists. Hence Buffon says: "*Le style est l'homme même.*" If men of commonplace mind write poetry they have certain traditional conventional opinions, passions, noble sentiments, &c., which they have received in the abstract, and attribute to the heroes of their poems, who are in this way reduced to mere personifications of those opinions, and are thus themselves to a certain extent abstractions, and therefore insipid and tiresome. If they philosophise, they have taken in a few wide abstract conceptions, which they turn about in all directions, as if they had to do with algebraical equations, and hope that something will come of it; at the most we see that they have all read the same things. Such a tossing to and fro of abstract conceptions, after the manner of algebraical equations, which is now-a-days called dialectic, does not, like real algebra, afford certain results; for here the conception which is represented by the word is not a fixed and perfectly definite quality, such as are symbolised by the letters in algebra, but is wavering and ambiguous, and capable of extension and contraction. Strictly speaking, all thinking, *i.e.*, combining of abstract conceptions, has at the most the *recollections* of earlier perceptions for its material, and this only indirectly, so far as it constitutes the foundation of all conceptions. Real knowledge, on the contrary, that is, immediate knowledge, is perception alone, new, fresh perception itself. Now the concepts which the reason has framed and the memory has preserved cannot all be present to consciousness at once, but only a very small number of them at a time. On the other hand, the energy with which we

apprehend what is present in perception, in which really all that is essential in all things generally is virtually contained and represented, is apprehended, fills the consciousness in one moment with its whole power. Upon this depends the infinite superiority of genius to learning; they stand to each other as the text of an ancient classic to its commentary. All truth and all wisdom really lies ultimately in perception. But this unfortunately can neither be retained nor communicated. The *objective* conditions of such communication can certainly be presented to others purified and illustrated through plastic and pictorial art, and even much more directly through poetry; but it depends so much upon *subjective* conditions, which are not at the command of every one, and of no one at all times, nay, indeed in the higher degrees of perfection, are only the gift of the favoured few. Only the worst knowledge, abstract, secondary knowledge, the conception, the mere shadow of true knowledge, is unconditionally communicable. If perceptions were communicable, that would be a communication worth the trouble; but at last every one must remain in his own skin and skull, and no one can help another. To enrich the conception from perception is the unceasing endeavour of poetry and philosophy. However, the aims of man are essentially *practical*; and for these it is sufficient that what he has apprehended through perception should leave traces in him, by virtue of which he will recognise it in the next similar case; thus he becomes possessed of worldly wisdom. Thus, as a rule, the man of the world cannot teach his accumulated truth and wisdom, but only make use of it; he rightly comprehends each event as it happens, and determines what is in conformity with it. That books will not take the place of experience nor learning of genius are two kindred phenomena. Their common ground is that the abstract can never take the place of the concrete. Books therefore do not take the place of experience, because *conceptions* always remain *general*, and consequently do not get down to the particular, which, however, is just what has to be dealt with in life; and, besides this, all conceptions are abstracted from what is particular and perceived in experience, and therefore one must have come to know these in order adequately to understand even the general conceptions which the books communicate. Learning cannot take the place of genius, because it also affords merely conceptions, but the knowledge of genius consists in the apprehension of the (Platonic) Ideas of things, and therefore is essentially intuitive. Thus in the first of these phenomena the *objective* condition of

perceptive or intuitive knowledge is wanting; in the second the *subjective*; the former may be attained, the latter cannot.

Wisdom and genius, these two summits of the Parnassus of human knowledge, have their foundation not in the abstract and discursive, but in the perceptive faculty. Wisdom proper is something intuitive, not something abstract. It does not consist in principles and thoughts, which one can carry about ready in his mind, as results of his own research or that of others; but it is the whole manner in which the world presents itself in his mind. This varies so much that on account of it the wise man lives in another world from the fool, and the genius sees another world from the blockhead. That the works of the man of genius immeasurably surpass those of all others arises simply from the fact that the world which he sees, and from which he takes his utterances, is so much clearer, as it were more profoundly worked out, than that in the minds of others, which certainly contains the same objects, but is to the world of the man of genius as the Chinese picture without shading and perspective is to the finished oil-painting. The material is in all minds the same; but the difference lies in the perfection of the form which it assumes in each, upon which the numerous grades of intelligence ultimately depend. These grades thus exist in the root, in the *perceptive* or *intuitive* apprehension, and do not first appear in the abstract. Hence original mental superiority shows itself so easily when the occasion arises, and is at once felt and hated by others.

In practical life the intuitive knowledge of the understanding is able to guide our action and behaviour directly, while the abstract knowledge of the reason can only do so by means of the memory. Hence arises the superiority of intuitive knowledge in all cases which admit of no time for reflection; thus for daily intercourse, in which, just on this account, women excel. Only those who intuitively know the nature of men as they are as a rule, and thus comprehend the individuality of the person before them, will understand how to manage him with certainty and rightly. Another may know by heart all the three hundred maxims of Gracian, but this will not save him from stupid mistakes and misconceptions if he lacks that intuitive knowledge. For all *abstract knowledge* affords us primarily mere general principles and rules; but the particular case is almost never to be carried out exactly according to the rule; then the rule itself has to be presented to us at the right time by the memory, which seldom punctually happens; then the *propositio minor* has to be formed out of the present case, and finally the

conclusion drawn. Before all this is done the opportunity has generally turned its back upon us, and then those excellent principles and rules serve at the most to enable us to measure the magnitude of the error we have committed. Certainly with time we gain in this way experience and practice, which slowly grows to knowledge of the world, and thus, in connection with this, the abstract rules may certainly become fruitful. On the other hand, the *intuitive knowledge*, which always apprehends only the particular, stands in immediate relation to the present case. Rule, case, and application are for it one, and action follows immediately upon it. This explains why in real life the scholar, whose pre-eminence lies in the province of abstract knowledge, is so far surpassed by the man of the world, whose pre-eminence consists in perfect intuitive knowledge, which original disposition conferred on him, and a rich experience has developed. The two kinds of knowledge always stand to each other in the relation of paper money and hard cash; and as there are many cases and circumstances in which the former is to be preferred to the latter, so there are also things and situations for which abstract knowledge is more useful than intuitive. If, for example, it is a conception that in some case guides our action, when it is once grasped it has the advantage of being unalterable, and therefore under its guidance we go to work with perfect certainty and consistency. But this certainty which the conception confers on the subjective side is outweighed by the uncertainty which accompanies it on the objective side. The whole conception may be false and groundless, or the object to be dealt with may not come under it, for it may be either not at all or not altogether of the kind which belongs to it. Now if in the particular case we suddenly become conscious of something of this sort, we are put out altogether; if we do not become conscious of it, the result brings it to light. Therefore Vauvenargue says: "*Personne n'est sujet à plus de fautes, que ceux qui n'agissent que par réflexion.*" If, on the contrary, it is direct perception of the objects to be dealt with and their relations that guides our action, we easily hesitate at every step, for the perception is always modifiable, is ambiguous, has inexhaustible details in itself, and shows many sides in succession; we act therefore without full confidence. But the subjective uncertainty is compensated by the objective certainty, for here there is no conception between the object and us, we never lose sight of it; if therefore we only see correctly what we have before us and what we do, we shall hit the mark. Our action then is perfectly sure only when it is guided by a conception the



right ground of which, its completeness, and applicability to the given cause is perfectly certain. Action in accordance with conceptions may pass into pedantry, action in accordance with the perceived impression into levity and folly.

*Perception* is not only the *source* of all knowledge, but is itself knowledge κατ' ἐξοχην, is the only unconditionally true, genuine knowledge completely worthy of the name. For it alone imparts *insight* properly so called, it alone is actually assimilated by man, passes into his nature, and can with full reason be called *his*; while the conceptions merely cling to him. In the fourth book we see indeed that true virtue proceeds from knowledge of perception or intuitive knowledge; for only those actions which are directly called forth by this, and therefore are performed purely from the impulse of our own nature, are properly symptoms of our true and unalterable character; not so those which, resulting from reflection and its dogmas, are often extorted from the character, and therefore have no unalterable ground in us. But *wisdom* also, the true view of life, the correct eye, and the searching judgment, proceeds from the way in which the man apprehends the perceptible world, but not from his mere abstract knowledge, *i.e.*, not from abstract conceptions. The basis or ultimate content of every science consists, not in proofs, nor in what is proved, but in the unproved foundation of the proofs, which can finally be apprehended only through perception. So also the basis of the true wisdom and real insight of each man does not consist in conceptions and in abstract rational knowledge, but in what is perceived, and in the degree of acuteness, accuracy, and profundity with which he has apprehended it. He who excels here knows the (Platonic) Ideas of the world and life; every case he has seen represents for him innumerable cases; he always apprehends each being according to its true nature, and his action, like his judgment, corresponds to his insight. By degrees also his countenance assumes the expression of penetration, of true intelligence, and, if it goes far enough, of wisdom. For it is pre-eminence in knowledge of perception alone that stamps its impression upon the features also; while pre-eminence in abstract knowledge cannot do this. In accordance with what has been said, we find in all classes men of intellectual superiority, and often quite without learning. Natural understanding can take the place of almost every degree of culture, but no culture can take the place of natural understanding. The scholar has the advantage of such men in the possession of a wealth of cases

and facts (historical knowledge) and of causal determinations (natural science), all in well-ordered connection, easily surveyed; but yet with all this he has not a more accurate and profound insight into what is truly essential in all these cases, facts, and causations. The unlearned man of acuteness and penetration knows how to dispense with this wealth; we can make use of much; we can do with little. One case in his own experience teaches him more than many a scholar is taught by a thousand cases which he *knows*, but does not, properly speaking, *understand*. For the little knowledge of that unlearned man is living, because every fact that is known to him is supported by accurate and well-apprehended perception, and thus represents for him a thousand similar facts. On the contrary, the much knowledge of the ordinary scholar is *dead*, because even if it does not consist, as is often the case, in mere words, it consists entirely in abstract knowledge. This, however, receives its value only through the *perceptive* knowledge of the individual with which it must connect itself, and which must ultimately realise all the conceptions. If now this perceptive knowledge is very scanty, such a mind is like a bank with liabilities tenfold in excess of its cash reserve, whereby in the end it becomes bankrupt. Therefore, while the right apprehension of the perceptible world has impressed the stamp of insight and wisdom on the brow of many an unlearned man, the face of many a scholar bears no other trace of his much study than that of exhaustion and weariness from excessive and forced straining of the memory in the unnatural accumulation of dead conceptions. Moreover, the insight of such a man is often so puerile, so weak and silly, that we must suppose that the excessive strain upon the faculty of indirect knowledge, which is concerned with abstractions, directly weakens the power of immediate perceptive knowledge, and the natural and clear vision is more and more blinded by the light of books. At any rate the constant streaming in of the thoughts of others must confine and suppress our own, and indeed in the long run paralyse the power of thought if it has not that high degree of elasticity which is able to withstand that unnatural stream. Therefore ceaseless reading and study directly injures the mind — the more so that completeness and constant connection of the system of our own thought and knowledge must pay the penalty if we so often arbitrarily interrupt it in order to gain room for a line of thought entirely strange to us. To banish my own thought in order to make room for that of a book would seem to me like what Shakespeare censures in the tourists of his time, that

they sold their own land to see that of others. Yet the inclination for reading of most scholars is a kind of *fuga vacui*, from the poverty of their own minds, which forcibly draws in the thoughts of others. In order to have thoughts they must read something; just as lifeless bodies are only moved from without; while the man who thinks for himself is like a living body that moves of itself. Indeed it is dangerous to read about a subject before we have thought about it ourselves. For along with the new material the old point of view and treatment of it creeps into the mind, all the more so as laziness and apathy counsel us to accept what has already been thought, and allow it to pass for truth. This now insinuates itself, and henceforward our thought on the subject always takes the accustomed path, like brooks that are guided by ditches; to find a thought of our own, a new thought, is then doubly difficult. This contributes much to the want of originality on the part of scholars. Add to this that they suppose that, like other people, they must divide their time between pleasure and work. Now they regard reading as their work and special calling, and therefore they gorge themselves with it, beyond what they can digest. Then reading no longer plays the part of the mere initiator of thought, but takes its place altogether; for they think of the subject just as long as they are reading about it, thus with the mind of another, not with their own. But when the book is laid aside entirely different things make much more lively claims upon their interest; their private affairs, and then the theatre, card-playing, skittles, the news of the day, and gossip. The man of thought is so because such things have no interest for him. He is interested only in his problems, with which therefore he is always occupied, by himself and without a book. To give ourselves this interest, if we have not got it, is impossible. This is the crucial point. And upon this also depends the fact that the former always speak only of what they have read, while the latter, on the contrary, speaks of what he has thought, and that they are, as Pope says:

“For ever reading, never to be read.”

The mind is naturally free, not a slave; only what it does willingly, of its own accord, succeeds. On the other hand, the compulsory exertion of a mind in studies for which it is not qualified, or when it has become tired, or in general too continuously and *invita Minerva*, dulls the brain, just as reading by moonlight dulls the eyes. This is especially the case with the straining of the immature brain in the earlier years of childhood. I believe that the learning of Latin and Greek grammar from the sixth to the twelfth

year lays the foundation of the subsequent stupidity of most scholars. At any rate the mind requires the nourishment of materials from without. All that we eat is not at once incorporated in the organism, but only so much of it as is digested; so that only a small part of it is assimilated, and the remainder passes away; and thus to eat more than we can assimilate is useless and injurious. It is precisely the same with what we read. Only so far as it gives food for thought does it increase our insight and true knowledge. Therefore Heracleitus says: “πολυμαθια νουν ου διδασκει” (*multiscitia non dat intellectum*). It seems, however, to me that learning may be compared to a heavy suit of armour, which certainly makes the strong man quite invincible, but to the weak man is a burden under which he sinks altogether.

The exposition given in our third book of the knowledge of the (Platonic) Ideas, as the highest attainable by man, and at the same time entirely *perceptive or intuitive* knowledge, is a proof that the source of true wisdom does not lie in abstract rational knowledge, but in the clear and profound apprehension of the world in perception. Therefore wise men may live in any age, and those of the past remain wise men for all succeeding generations. Learning, on the contrary, is relative; the learned men of the past are for the most part children as compared with us, and require indulgence.

But to him who studies in order to gain *insight* books and studies are only steps of the ladder by which he climbs to the summit of knowledge. As soon as a round of the ladder has raised him a step, he leaves it behind him. The many, on the other hand, who study in order to fill their memory do not use the rounds of the ladder to mount by, but take them off, and load themselves with them to carry them away, rejoicing at the increasing weight of the burden. They remain always below, because they bear what ought to have borne them.

Upon the truth set forth here, that the kernel of all knowledge is the *perceptive or intuitive* apprehension, depends the true and profound remark of Helvetius, that the really characteristic and original views of which a gifted individual is capable, and the working up, development, and manifold application of which is the material of all his works, even if written much later, can arise in him only up to the thirty-fifth or at the latest the fortieth year of his life, and are really the result of combinations he has made in his early youth. For they are not mere connections of abstract

conceptions, but his own intuitive comprehension of the objective world and the nature of things. Now, that this intuitive apprehension must have completed its work by the age mentioned above depends partly on the fact that by that time the ectypes of all (Platonic) Ideas must have presented themselves to the man, and therefore cannot appear later with the strength of the first impression; partly on this, that the highest energy of brain activity is demanded for this quintessence of all knowledge, for this proof before the letter of the apprehension, and this highest energy of the brain is dependent on the freshness and flexibility of its fibres and the rapidity with which the arterial blood flows to the brain. But this again is at its strongest only as long as the arterial system has a decided predominance over the venous system, which begins to decline after the thirtieth year, until at last, after the forty-second year, the venous system obtains the upper hand, as Cabanis has admirably and instructively explained. Therefore the years between twenty and thirty and the first few years after thirty are for the intellect what May is for the trees; only then do the blossoms appear of which all the later fruits are the development. The world of perception has made its impression, and thereby laid the foundation of all the subsequent thoughts of the individual. He may by reflection make clearer what he has apprehended; he may yet acquire much knowledge as nourishment for the fruit which has once set; he may extend his views, correct his conceptions and judgments, it may be only through endless combinations that he becomes completely master of the materials he has gained; indeed he will generally produce his best works much later, as the greatest heat begins with the decline of the day, but he can no longer hope for new original knowledge from the one living fountain of perception. It is this that Byron feels when he breaks forth into his wonderfully beautiful lament:

“No more — no more — oh! never more on me  
The freshness of the heart can fall like dew,  
Which out of all the lovely things we see  
Extracts emotions beautiful and new,  
Hived in our bosoms like the bag o’ the bee:  
Think’st thou the honey with those objects grew?  
Alas! ’twas not in them, but in thy power  
To double even the sweetness of a flower.”

Through all that I have said hitherto I hope I have placed in a clear light the important truth that since all abstract knowledge springs from

knowledge of perception, it obtains its whole value from its relation to the latter, thus from the fact that its conceptions, or the abstractions which they denote, can be realised, *i.e.*, proved, through perceptions; and, moreover, that most depends upon the quality of these perceptions. Conceptions and abstractions which do not ultimately refer to perceptions are like paths in the wood that end without leading out of it. The great value of conceptions lies in the fact that by means of them the original material of knowledge is more easily handled, surveyed, and arranged. But although many kinds of logical and dialectical operations are possible with them, yet no entirely original and new knowledge will result from these; that is to say, no knowledge whose material neither lay already in perception nor was drawn from self-consciousness. This is the true meaning of the doctrine attributed to Aristotle: *Nihil est in intellectu, nisi quod antea fuerit in sensu*. It is also the meaning of the Lockean philosophy, which made for ever an epoch in philosophy, because it commenced at last the serious discussion of the question as to the origin of our knowledge. It is also principally what the “Critique of Pure Reason” teaches. It also desires that we should not remain at the *conceptions*, but go back to their *source*, thus to *perception*; only with the true and important addition that what holds good of the perception also extends to its subjective conditions, thus to the forms which lie predisposed in the perceiving and thinking brain as its natural functions; although these at least *virtualiter* precede the actual sense-perception, *i.e.*, are *a priori*, and therefore do not depend upon sense-perception, but it upon them. For these forms themselves have indeed no other end, nor service, than to produce the empirical perception on the nerves of sense being excited, as other forms are determined afterwards to construct thoughts in the abstract from the material of perception. The “Critique of Pure Reason” is therefore related to the Lockean philosophy as the analysis of the infinite to elementary geometry, but is yet throughout to be regarded as the *continuation of the Lockean philosophy*. The given material of every philosophy is accordingly nothing else than the *empirical consciousness*, which divides itself into the consciousness of one’s own self (self-consciousness) and the consciousness of other things (external perception). For this alone is what is immediately and actually given. Every philosophy which, instead of starting from this, takes for its starting-point arbitrarily chosen abstract conceptions, such as, for example, absolute, absolute substance, God, infinity, finitude, absolute identity, being, essence, &c.,

&c., moves in the air without support, and can therefore never lead to a real result. Yet in all ages philosophers have attempted it with such materials; and hence even Kant sometimes, according to the common usage, and more from custom than consistency, defines philosophy as a science of mere conceptions. But such a science would really undertake to extract from the partial ideas (for that is what the abstractions are) what is not to be found in the complete ideas (the perceptions), from which the former were drawn by abstraction. The possibility of the syllogism leads to this mistake, because here the combination of the judgments gives a new result, although more apparent than real, for the syllogism only brings out what already lay in the given judgments; for it is true the conclusion cannot contain more than the premisses. Conceptions are certainly the material of philosophy, but only as marble is the material of the sculptor. It is not to work *out of* them but *in* them; that is to say, it is to deposit its results in them, but not to start from them as what is given. Whoever wishes to see a glaring example of such a false procedure from mere conceptions may look at the “*Institutio Theologica*” of Proclus in order to convince himself of the vanity of that whole method. There abstractions such as “ἐν, πλεθος, αγαθον, παραγον και παραγομενον, αυταρκες, αιτιον, κρειττον, κινητον, ακινητον, κινουμενον” (*unum, multa, bonum, producens et productum, sibi sufficiens, causa, melius, mobile, immobile, motum*), &c., are indiscriminately collected, but the perceptions to which alone they owe their origin and content ignored and contemptuously disregarded. A theology is then constructed from these conceptions, but its goal, the θεος, is kept concealed; thus the whole procedure is apparently unprejudiced, as if the reader did not know at the first page, just as well as the author, what it is all to end in. I have already quoted a fragment of this above. This production of Proclus is really quite peculiarly adapted to make clear how utterly useless and illusory such combinations of abstract conceptions are, for we can make of them whatever we will, especially if we further take advantage of the ambiguity of many words, such, for example, as κρειττον. If such an architect of conceptions were present in person we would only have to ask naively where all the things are of which he has so much to tell us, and whence he knows the laws from which he draws his conclusions concerning them. He would then soon be obliged to turn to empirical perception, in which alone the real world exhibits itself, from which those conceptions are drawn. Then we would only have to ask further why he did not honestly

start from the given perception of such a world, so that at every step his assertions could be proved by it, instead of operating with conceptions, which are yet drawn from perception alone, and therefore can have no further validity than that which it imparts to them. But of course this is just his trick. Through such conceptions, in which, by virtue of abstraction, what is inseparable is thought as separate, and what cannot be united as united, he goes far beyond the perception which was their source, and thus beyond the limits of their applicability, to an entirely different world from that which supplied the material for building, but just on this account to a world of chimeras. I have here referred to Proclus because in him this procedure becomes specially clear through the frank audacity with which he carries it out. But in Plato also we find some examples of this kind, though not so glaring; and in general the philosophical literature of all ages affords a multitude of instances of the same thing. That of our own time is rich in them. Consider, for example, the writings of the school of Schelling, and observe the constructions that are built up out of abstractions like finite and infinite — being, non-being, other being — activity, hindrance, product — determining, being determined, determinateness — limit, limiting, being limited — unity, plurality, multiplicity — identity, diversity, indifference — thinking, being, essence, &c. Not only does all that has been said above hold good of constructions out of such materials, but because an infinite amount can be thought *through* such wide abstractions, only very little indeed can be thought *in* them; they are empty husks. But thus the matter of the whole philosophising becomes astonishingly trifling and paltry, and hence arises that unutterable and excruciating tediousness which is characteristic of all such writings. If indeed I now chose to call to mind the way in which Hegel and his companions have abused such wide and empty abstractions, I should have to fear that both the reader and I myself would be ill; for the most nauseous tediousness hangs over the empty word-juggling of this loathsome philophastrer.

That in *practical* philosophy also no wisdom is brought to light from mere abstract conceptions is the one thing to be learnt from the ethical dissertations of the theologian Schleiermacher, with the delivery of which he has wearied the Berlin Academy for a number of years, and which are shortly to appear in a collected form. In them only abstract conceptions, such as duty, virtue, highest good, moral law, &c., are taken as the starting-point, without further introduction than that they commonly occur in ethical



systems, and are now treated as given realities. He then discusses these from all sides with great subtilty, but, on the other hand, never makes for the source of these conceptions, for the thing itself, the actual human life, to which alone they are related, from which they ought to be drawn, and with which morality has, properly speaking, to do. On this account these diatribes are just as unfruitful and useless as they are tedious, which is saying a great deal. At all times we find persons, like this theologian, who is too fond of philosophising, famous while they are alive, afterwards soon forgotten. My advice is rather to read those whose fate has been the opposite of this, for time is short and valuable.

Now although, in accordance with all that has been said, wide, abstract conceptions, which can be realised in no perception, must never be the source of knowledge, the starting-point or the proper material of philosophy, yet sometimes particular results of philosophy are such as can only be thought in the abstract, and cannot be proved by any perception. Knowledge of this kind will certainly only be half knowledge; it will, as it were, only point out the place where what is to be known lies; but this remains concealed. Therefore we should only be satisfied with such conceptions in the most extreme case, and when we have reached the limit of the knowledge possible to our faculties. An example of this might perhaps be the conception of a being out of time; such as the proposition: the indestructibility of our true being by death is not a continued existence of it. With conceptions of this sort the firm ground which supports our whole knowledge, the perceptible, seems to waver. Therefore philosophy may certainly at times, and in case of necessity, extend to such knowledge, but it must never begin with it.

The working with wide abstractions, which is condemned above, to the entire neglect of the perceptive knowledge from which they are drawn, and which is therefore their permanent and natural controller, was at all times the principal source of the errors of dogmatic philosophy. A science constructed from the mere comparison of conceptions, that is, from general principles, could only be certain if all its principles were synthetical *a priori*, as is the case in mathematics: for only such admit of no exceptions. If, on the other hand, the principles have any empirical content, we must keep this constantly at hand, to control the general principles. For no truths which are in any way drawn from experience are ever unconditionally true. They have therefore only an approximately universal validity; for here there

is no rule without an exception. If now I link these principles together by means of the intersection of their concept-spheres, one conception might very easily touch the other precisely where the exception lies. But if this happens even only once in the course of a long train of reasoning, the whole structure is loosed from its foundation and moves in the air. If, for example, I say, "The ruminants have no front incisors," and apply this and what follows from it to the camel, it all becomes false, for it only holds good of horned ruminants. What Kant calls *das Vernünfteln*, mere abstract reasoning, and so often condemns, is just of this sort. For it consists simply in subsuming conceptions under conceptions, without reference to their origin, and without proof of the correctness and exclusiveness of such subsumption — a method whereby we can arrive by longer or shorter circuits at almost any result we choose to set before us as our goal. Hence this mere abstract reasoning differs only in degree from sophistication strictly so called. But sophistication is in the theoretical sphere exactly what chicanery is in the practical. Yet even Plato himself has very frequently permitted such mere abstract reasoning; and Proclus, as we have already mentioned, has, after the manner of all imitators, carried this fault of his model much further. Dionysius the Areopagite, "*De Divinis Nominibus*," is also strongly affected with this. But even in the fragments of the Eleatic Melissus we already find distinct examples of such mere abstract reasoning (especially § 2-5 in Brandis' *Comment. Eleat.*) His procedure with the conceptions, which never touch the reality from which they have their content, but, moving in the atmosphere of abstract universality, pass away beyond it, resembles blows which never hit the mark. A good pattern of such mere abstract reasoning is the "*De Diis et Mundo*" of the philosopher Sallustius Büchelchen; especially chaps. 7, 12, and 17. But a perfect gem of philosophical mere abstract reasoning passing into decided sophistication is the following reasoning of the Platonist, Maximus of Tyre, which I shall quote, as it is short: "Every injustice is the taking away of a good. There is no other good than virtue: but virtue cannot be taken away: thus it is not possible that the virtuous can suffer injustice from the wicked. It now remains either that no injustice can be suffered, or that it is suffered by the wicked from the wicked. But the wicked man possesses no good at all, for only virtue is a good; therefore none can be taken from him. Thus he also can suffer no injustice. Thus injustice is an impossible thing." The original, which is less concise through repetitions, runs thus: "Αδικία ἐστὶ ἀφαιρέσις

αγαθου; το δε αγαθον τι αν ειη αλλο η αρετη? — ἡ δε αρετη αναφαιρετον. Ουκ αδικησεται τοινυν ὁ την αρετην εχων, η ουκ εστιν αδικια αφαιρεσις αγαθου; ουδεν γαρ αγαθον αφαιρετον, ουδ' χαποβλητον, ουδ' ἔλετον, ουδε ληιστον. Ειεν ουν, ουδ' αδικειται ὁ χρηστος, ουδ' ὑπο του μοχθηρου; αναφαιρετος γαρ. Λειπεται τοινυν η μηδενα αδικεισθαι καθαπαξ, η τον μοχθηρον ὑπο του ὁμοιου; αλλα τω μοχθηρω ουδενος μετεστιν αγαθου; ἡ δε αδικια ην αγαθου αφαιρεσις; ὁ δε μη εχων ὁ, τι αφαιρεσθη, ουδε εις ὁ, τι αδικησθη, εχει” (*Sermo 2*). I shall add further a modern example of such proofs from abstract conceptions, by means of which an obviously absurd proposition is set up as the truth, and I shall take it from the works of a great man, Giordano Bruno. In his book, “*Del Infinito Universo e Mondi*” (p. 87 of the edition of A. Wagner), he makes an Aristotelian prove (with the assistance and exaggeration of the passage of Aristotle’s *De Cælo*, i. 5) that there can be *no space* beyond the world. The world is enclosed by the eight spheres of Aristotle, and beyond these there can be no space. For if beyond these there were still a body, it must either be simple or compound. It is now proved sophistically, from principles which are obviously begged, that no *simple* body could be there; and therefore, also, no compound body, for it would necessarily be composed of simple ones. Thus in general there can be no body there — but if not, then *no space*. For space is defined as “that in which bodies can be;” and it has just been proved that no body can be there. Thus there is also there no space. This last is the final stroke of this proof from abstract conceptions. It ultimately rests on the fact that the proposition, “Where no space is, there can be no body” is taken as a universal negative, and therefore converted simply, “Where no body can be there is no space.” But the former proposition, when properly regarded, is a universal affirmative: “Everything that has no space has no body,” thus it must not be converted simply. Yet it is not every proof from abstract conceptions, with a conclusion which clearly contradicts perception (as here the finiteness of space), that can thus be referred to a logical error. For the sophistry does not always lie in the form, but often in the matter, in the premisses, and in the indefiniteness of the conceptions and their extension. We find numerous examples of this in Spinoza, whose method indeed it is to prove from conceptions. See, for example, the miserable sophisms in his “Ethics,” P. iv., prop. 29-31, by means of the ambiguity of the uncertain conceptions *convenire* and *commune habere*. Yet this does not prevent the neo-Spinozists of our own day from taking all that he has said for gospel.

Of these the Hegelians, of whom there are actually still a few, are specially amusing on account of their traditional reverence for his principle, *omnis determinatio est negatio*, at which, according to the charlatan spirit of the school, they put on a face as if it was able to unhinge the world; whereas it is of no use at all, for even the simplest can see for himself that if I limit anything by determinations, I thereby exclude and thus negate what lies beyond these limits.

Thus in all mere reasonings of the above kind it becomes very apparent what errors that algebra with mere conceptions, uncontrolled by perception, is exposed to, and that therefore perception is for our intellect what the firm ground upon which it stands is for our body: if we forsake perception everything is *instabilis tellus, innabilis unda*. The reader will pardon the fulness of these expositions and examples on account of their instructiveness. I have sought by means of them to bring forward and support the difference, indeed the opposition, between perceptive and abstract or reflected knowledge, which has hitherto been too little regarded, and the establishment of which is a fundamental characteristic of my philosophy. For many phenomena of our mental life are only explicable through this distinction. The connecting link between these two such different kinds of knowledge is the *faculty of judgment*, as I have shown in § 14 of the first volume. This faculty is certainly also active in the province of mere abstract knowledge, in which it compares conceptions only with conceptions; therefore every judgment, in the logical sense of the word, is certainly a work of the faculty of judgment, for it always consists in the subsumption of a narrower conception under a wider one. Yet this activity of the faculty of judgment, in which it merely compares conceptions with each other, is a simpler and easier task than when it makes the transition from what is quite particular, the perception, to the essentially general, the conception. For by the analysis of conceptions into their essential predicates it must be possible to decide upon purely logical grounds whether they are capable of being united or not, and for this the mere reason which every one possesses is sufficient. The faculty of judgment is therefore only active here in shortening this process, for he who is gifted with it sees at a glance what others only arrive at through a series of reflections. But its activity in the narrower sense really only appears when what is known through perception, thus the real experience, has to be carried over into distinct abstract knowledge, subsumed under accurately corresponding conceptions, and

thus translated into reflected rational knowledge. It is therefore this faculty which has to establish the firm *basis* of all sciences, which always consists of what is known directly and cannot be further denied. Therefore here, in the fundamental judgments, lies the difficulty of the sciences, not in the inferences from these. To infer is easy, to judge is difficult. False inferences are rare, false judgments are always the order of the day. Not less in practical life has the faculty of judgment to give the decision in all fundamental conclusions and important determinations. Its office is in the main like that of the judicial sentence. As the burning-glass brings to a focus all the sun's rays, so when the understanding works, the intellect has to bring together all the data which it has upon the subject so closely that the understanding comprehends them at a glance, which it now rightly fixes, and then carefully makes the result distinct to itself. Further, the great difficulty of judging in most cases depends upon the fact that we have to proceed from the consequent to the reason, a path which is always uncertain; indeed I have shown that the source of all error lies here. Yet in all the empirical sciences, and also in the affairs of real life, this way is for the most part the only one open to us. The experiment is an attempt to go over it again the other way; therefore it is decisive, and at least brings out error clearly; provided always that it is rightly chosen and honestly carried out; not like Newton's experiments in connection with the theory of colours. But the experiment itself must also again be judged. The complete certainty of the *a priori* sciences, logic and mathematics, depends principally upon the fact that in them the path from the reason to the consequent is open to us, and it is always certain. This gives them the character of *purely objective* sciences, *i.e.*, sciences with regard to whose truths all who understand them must judge alike; and this is all the more remarkable as they are the very sciences which rest on the subjective forms of the intellect, while the empirical sciences alone have to do with what is palpably objective.

Wit and ingenuity are also manifestations of the faculty of judgment; in the former its activity is reflective, in the latter subsuming. In most men the faculty of judgment is only nominally present; it is a kind of irony that it is reckoned with the normal faculties of the mind, instead of being only attributed to the *monstris per excessum*. Ordinary men show even in the smallest affairs want of confidence in their own judgment, just because they know from experience that it is of no service. With them prejudice and imitation take its place; and thus they are kept in a state of continual non-

age, from which scarcely one in many hundreds is delivered. Certainly this is not avowed, for even to themselves they appear to judge; but all the time they are glancing stealthily at the opinion of others, which is their secret standard. While each one would be ashamed to go about in a borrowed coat, hat, or mantle, they all have nothing but borrowed opinions, which they eagerly collect wherever they can find them, and then strut about giving them out as their own. Others borrow them again from them and do the same thing. This explains the rapid and wide spread of errors, and also the fame of what is bad; for the professional purveyors of opinion, such as journalists and the like, give as a rule only false wares, as those who hire out masquerading dresses give only false jewels.

## Chapter VIII.<sup>20</sup> On The Theory Of The Ludicrous.

My theory of the ludicrous also depends upon the opposition explained in the preceding chapters between perceptible and abstract ideas, which I have brought into such marked prominence. Therefore what has still to be said in explanation of this theory finds its proper place here, although according to the order of the text it would have to come later.

The problem of the origin, which is everywhere the same, and hence of the peculiar significance of laughter, was already known to Cicero, but only to be at once dismissed as insoluble (*De Orat.*, ii. 58). The oldest attempt known to me at a psychological explanation of laughter is to be found in Hutcheson's "Introduction into Moral Philosophy," Bk. I., ch. i. § 14. A somewhat later anonymous work, "*Traité des Causes Physiques et Morales du Rire*," 1768, is not without merit as a ventilation of the subject. Platner, in his "Anthropology," § 894, has collected the opinions of the philosophers from Hume to Kant who have attempted an explanation of this phenomenon peculiar to human nature. Kant's and Jean Paul's theories of the ludicrous are well known. I regard it as unnecessary to prove their incorrectness, for whoever tries to refer given cases of the ludicrous to them will in the great majority of instances be at once convinced of their insufficiency.

According to my explanation given in the first volume, the source of the ludicrous is always the paradoxical, and therefore unexpected, subsumption of an object under a conception which in other respects is different from it, and accordingly the phenomenon of laughter always signifies the sudden apprehension of an incongruity between such a conception and the real object thought under it, thus between the abstract and the concrete object of perception. The greater and more unexpected, in the apprehension of the laughter, this incongruity is, the more violent will be his laughter. Therefore in everything that excites laughter it must always be possible to show a conception and a particular, that is, a thing or event, which certainly can be subsumed under that conception, and therefore thought through it, yet in another and more predominating aspect does not belong to it at all, but is strikingly different from everything else that is thought through that conception. If, as often occurs, especially in witticisms, instead of such a real object of perception, the conception of a subordinate species is brought under the higher conception of the genus, it will yet excite laughter only

through the fact that the imagination realises it, *i.e.*, makes a perceptible representative stand for it, and thus the conflict between what is thought and what is perceived takes place. Indeed if we wish to understand this perfectly explicitly, it is possible to trace everything ludicrous to a syllogism in the first figure, with an undisputed *major* and an unexpected *minor*, which to a certain extent is only sophistically valid, in consequence of which connection the conclusion partakes of the quality of the ludicrous.

In the first volume I regarded it as superfluous to illustrate this theory by examples, for every one can do this for himself by a little reflection upon cases of the ludicrous which he remembers. Yet, in order to come to the assistance of the mental inertness of those readers who prefer always to remain in a passive condition, I will accommodate myself to them. Indeed in this third edition I wish to multiply and accumulate examples, so that it may be indisputable that here, after so many fruitless earlier attempts, the true theory of the ludicrous is given, and the problem which was proposed and also given up by Cicero is definitely solved.

If we consider that an angle requires two lines meeting so that if they are produced they will intersect each other; on the other hand, that the tangent of a circle only touches it at one point, but at this point is really parallel to it; and accordingly have present to our minds the abstract conviction of the impossibility of an angle between the circumference of a circle and its tangent; and if now such an angle lies visibly before us upon paper, this will easily excite a smile. The ludicrousness in this case is exceedingly weak; but yet the source of it in the incongruity of what is thought and perceived appears in it with exceptional distinctness. When we discover such an incongruity, the occasion for laughter that thereby arises is, according as we pass from the real, *i.e.*, the perceptible, to the conception, or conversely from the conception to the real, either a witticism or an absurdity, which in a higher degree, and especially in the practical sphere, is folly, as was explained in the text. Now to consider examples of the first case, thus of wit, we shall first of all take the familiar anecdote of the Gascon at whom the king laughed when he saw him in light summer clothing in the depth of winter, and who thereupon said to the king: "If your Majesty had put on what I have, you would find it very warm;" and on being asked what he had put on, replied: "My whole wardrobe!" Under this last conception we have to think both the unlimited wardrobe of a king and the single summer coat of a poor devil, the sight of which upon his freezing body shows its great



incongruity with the conception. The audience in a theatre in Paris once called for the “Marseillaise” to be played, and as this was not done, began shrieking and howling, so that at last a commissary of police in uniform came upon the stage and explained that it was not allowed that anything should be given in the theatre except what was in the playbill. Upon this a voice cried: “*Et vous, Monsieur, êtes-vous aussi sur l’affiche?*” — a hit which was received with universal laughter. For here the subsumption of what is heterogeneous is at once distinct and unforced. The epigramme:

“Bav is the true shepherd of whom the Bible spake:

Though his flock be all asleep, he alone remains awake:”

subsumes, under the conception of a sleeping flock and a waking shepherd, the tedious preacher who still bellows on unheard when he has sent all the people to sleep. Analogous to this is the epitaph on a doctor: “Here lies he like a hero, and those he has slain lie around him;” it subsumes under the conception, honourable to the hero, of “lying surrounded by dead bodies,” the doctor, who is supposed to preserve life. Very commonly the witticism consists in a single expression, through which only the conception is given, under which the case presented can be subsumed, though it is very different from everything else that is thought under it. So is it in “Romeo” when the vivacious Mercutio answers his friends who promise to visit him on the morrow: “Ask for me to-morrow, and you shall find me a grave man.” Under this conception a dead man is here subsumed; but in English there is also a play upon the words, for “a grave man” means both a serious man and a man of the grave. Of this kind is also the well-known anecdote of the actor Unzelmann. In the Berlin theatre he was strictly forbidden to improvise. Soon afterwards he had to appear on the stage on horseback, and just as he came on the stage the horse dinged, at which the audience began to laugh, but laughed much more when Unzelmann said to the horse: “What are you doing? Don’t you know we are forbidden to improvise?” Here the subsumption of the heterogeneous under the more general conception is very distinct, but the witticism is exceedingly happy, and the ludicrous effect produced by it excessively strong. To this class also belongs the following announcement from Hall in a newspaper of March 1851: “The band of Jewish swindlers to which we have referred were again delivered over to us with obligato accompaniment.” This subsuming of a police escort under a musical term is very happy, though it approaches the mere play upon words. On the other

hand, it is exactly a case of the kind we are considering when Saphir, in a paper-war with the actor Angeli, describes him as "Angeli, who is equally great in mind and body." The small statue of the actor was known to the whole town, and thus under the conception "great" unusual smallness was presented to the mind. Also when the same Saphir calls the airs of a new opera "good old friends," and so brings the quality which is most to be condemned under a conception which is usually employed to commend. Also, if we should say of a lady whose favour could be influenced by presents, that she knew how to combine the *utile* with the *dulci*. For here we bring the moral life under the conception of a rule which Horace has recommended in an æsthetical reference. Also if to signify a brothel we should call it the "modest abode of quiet joys." Good society, in order to be thoroughly insipid, has forbidden all decided utterances, and therefore all strong expressions. Therefore it is wont, when it has to signify scandalous or in any way indecent things, to mitigate or extenuate them by expressing them through general conceptions. But in this way it happens that they are more or less incongruously subsumed, and in a corresponding degree the effect of the ludicrous is produced. To this class belongs the use of *utile dulci* referred to above, and also such expressions as the following: "He had unpleasantness at the ball" when he was thrashed and kicked out; or, "He has done too well" when he is drunk; and also, "The woman has weak moments" if she is unfaithful to her husband, &c. Equivocal sayings also belong to the same class. They are conceptions which in themselves contain nothing improper, but yet the case brought under them leads to an improper idea. They are very common in society. But a perfect example of a full and magnificent equivocation is Shenstone's incomparable epitaph on a justice of the peace, which, in its high-flown lapidary style, seems to speak of noble and sublime things, while under each of their conceptions something quite different is to be subsumed, which only appears in the very last word as the unexpected key to the whole, and the reader discovers with loud laughter that he has only read a very obscene equivocation. In this smooth-combed age it is altogether impossible to quote this here, not to speak of translating it; it will be found in Shenstone's poetical works, under the title "Inscription." Equivocations sometimes pass over into mere puns, about which all that is necessary has been said in the text.

Further, the ultimate subsumption, ludicrous to all, of what in one respect is heterogeneous, under a conception which in other respects agrees with it,

may take place contrary to our intention. For example, one of the free negroes in North America, who take pains to imitate the whites in everything, quite recently placed an epitaph over his dead child which begins, "Lovely, early broken lily." If, on the contrary, something real and perceptible is, with direct intention, brought under the conception of its opposite, the result is plain, common irony. For example, if when it is raining hard we say, "Nice weather we are having to-day;" or if we say of an ugly bride, "That man has found a charming treasure;" or of a knave, "This honest man," &c. &c. Only children and quite uneducated people will laugh at such things; for here the incongruity between what is thought and what is perceived is total. Yet just in this direct exaggeration in the production of the ludicrous its fundamental character, incongruity, appears very distinctly. This species of the ludicrous is, on account of its exaggeration and distinct intention, in some respects related to *parody*. The procedure of the latter consists in this. It substitutes for the incidents and words of a serious poem or drama insignificant low persons or trifling motives and actions. It thus subsumes the commonplace realities which it sets forth under the lofty conceptions given in the theme, under which in a certain respect they must come, while in other respects they are very incongruous; and thereby the contrast between what is perceived and what is thought appears very glaring. There is no lack of familiar examples of this, and therefore I shall only give one, from the "Zobeide" of Carlo Gozzi, act iv., scene 3, where the famous stanza of Ariosto (*Orl. Fur.*, i. 22), "*Oh gran bontà de' cavalieri antichi*," &c., is put word for word into the mouth of two clowns who have just been thrashing each other, and tired with this, lie quietly side by side. This is also the nature of the application so popular in Germany of serious verses, especially of Schiller, to trivial events, which clearly contains a subsumption of heterogeneous things under the general conception which the verse expresses. Thus, for example, when any one has displayed a very characteristic trait, there will rarely be wanting some one to say, "From that I know with whom I have to do." But it was original and very witty of a man who was in love with a young bride to quote to the newly married couple (I know not how loudly) the concluding words of Schiller's ballad, "The Surety:"

"Let me be, I pray you,  
In your bond the third."

The effect of the ludicrous is here strong and inevitable, because under the conceptions through which Schiller presents to the mind a moral and noble relation, a forbidden and immoral relation is subsumed, and yet correctly and without change, thus is thought through it. In all the examples of wit given here we find that under a conception, or in general an abstract thought, a real thing is, directly, or by means of a narrower conception, subsumed, which indeed, strictly speaking, comes under it, and yet is as different as possible from the proper and original intention and tendency of the thought. Accordingly wit, as a mental capacity, consists entirely in a facility for finding for every object that appears a conception under which it certainly can be thought, though it is very different from all the other objects which come under this conception.

The second species of the ludicrous follows, as we have mentioned, the opposite path from the abstract conception to the real or perceptible things thought through it. But this now brings to light any incongruity with the conception which was overlooked, and hence arises an absurdity, and therefore in the practical sphere a foolish action. Since the play requires action, this species of the ludicrous is essential to comedy. Upon this depends the observation of Voltaire: "*J'ai cru remarquer aux spectacles, qu'il ne s'élève presque jamais de ces éclats de rire universels, qu'à l'occasion d'une méprise*" (*Preface de L'Enfant Prodigue*). The following may serve as examples of this species of the ludicrous. When some one had declared that he was fond of walking alone, an Austrian said to him: "You like walking alone; so do I: therefore we can go together." He starts from the conception, "A pleasure which two love they can enjoy in common," and subsumes under it the very case which excludes community. Further, the servant who rubbed a worn sealskin in his master's box with Macassar oil, so that it might become covered with hair again; in doing which he started from the conception, "Macassar oil makes hair grow." The soldiers in the guard-room who allowed a prisoner who was brought in to join in their game of cards, then quarrelled with him for cheating, and turned him out. They let themselves be led by the general conception, "Bad companions are turned out," and forget that he is also a prisoner, *i.e.*, one whom they ought to hold fast. Two young peasants had loaded their gun with coarse shot, which they wished to extract, in order to substitute fine, without losing the powder. So one of them put the mouth of the barrel in his hat, which he took between his legs, and said to the other: "Now you pull

the trigger slowly, slowly, slowly; then the shot will come first.” He starts from the conception, “Prolonging the cause prolongs the effect.” Most of the actions of Don Quixote are also cases in point, for he subsumes the realities he encounters under conceptions drawn from the romances of chivalry, from which they are very different. For example, in order to support the oppressed he frees the galley slaves. Properly all Münchhausenisms are also of this nature, only they are not actions which are performed, but impossibilities, which are passed off upon the hearer as having really happened. In them the fact is always so conceived that when it is thought merely in the abstract, and therefore comparatively *a priori*, it appears possible and plausible; but afterwards, if we come down to the perception of the particular case, thus *a posteriori* the impossibility of the thing, indeed the absurdity of the assumption, is brought into prominence, and excites laughter through the evident incongruity of what is perceived and what is thought. For example, when the melodies frozen up in the post-horn are thawed in the warm room — when Münchhausen, sitting upon a tree during a hard frost, draws up his knife which has dropped to the ground by the frozen jet of his own water, &c. Such is also the story of the two lions who broke down the partition between them during the night and devoured each other in their rage, so that in the morning there was nothing to be found but the two tails.

There are also cases of the ludicrous where the conception under which the perceptible facts are brought does not require to be expressed or signified, but comes into consciousness itself through the association of ideas. The laughter into which Garrick burst in the middle of playing tragedy because a butcher in the front of the pit, who had taken off his wig to wipe the sweat from his head, placed the wig for a while upon his large dog, who stood facing the stage with his fore paws resting on the pit railings, was occasioned by the fact that Garrick started from the conception of a spectator, which was added in his own mind. This is the reason why certain animal forms, such as apes, kangaroos, jumping-hares, &c., sometimes appear to us ludicrous because something about them resembling man leads us to subsume them under the conception of the human form, and starting from this we perceive their incongruity with it.

Now the conceptions whose observed incongruity with the perceptions moves us to laughter are either those of others or our own. In the first case we laugh at others, in the second we feel a surprise, often agreeable, at the

least amusing. Therefore children and uneducated people laugh at the most trifling things, even at misfortunes, if they were unexpected, and thus convicted their preconceived conception of error. As a rule laughing is a pleasant condition; accordingly the apprehension of the incongruity between what is thought and what is perceived, that is, the real, gives us pleasure, and we give ourselves up gladly to the spasmodic convulsions which this apprehension excites. The reason of this is as follows. In every suddenly appearing conflict between what is perceived and what is thought, what is perceived is always unquestionably right; for it is not subject to error at all, requires no confirmation from without, but answers for itself. Its conflict with what is thought springs ultimately from the fact that the latter, with its abstract conceptions, cannot get down to the infinite multifariousness and fine shades of difference of the concrete. This victory of knowledge of perception over thought affords us pleasure. For perception is the original kind of knowledge inseparable from animal nature, in which everything that gives direct satisfaction to the will presents itself. It is the medium of the present, of enjoyment and gaiety; moreover it is attended with no exertion. With thinking the opposite is the case; it is the second power of knowledge, the exercise of which always demands some, and often considerable, exertion. Besides, it is the conceptions of thought that often oppose the gratification of our immediate desires, for, as the medium of the past, the future, and of seriousness, they are the vehicle of our fears, our repentance, and all our cares. It must therefore be diverting to us to see this strict, untiring, troublesome governess, the reason, for once convicted of insufficiency. On this account then the mien or appearance of laughter is very closely related to that of joy.

On account of the want of reason, thus of general conceptions, the brute is incapable of laughter, as of speech. This is therefore a prerogative and characteristic mark of man. Yet it may be remarked in passing that his one friend the dog has an analogous characteristic action peculiar to him alone in distinction from all other brutes, the very expressive, kindly, and thoroughly honest fawning and wagging of its tail. But how favourably does this salutation given him by nature compare with the bows and simpering civilities of men. At least for the present, it is a thousand times more reliable than their assurance of inward friendship and devotion.

The opposite of laughing and joking is *seriousness*. Accordingly it consists in the consciousness of the perfect agreement and congruity of the

conception, or thought, with what is perceived, or the reality. The serious man is convinced that he thinks the things as they are, and that they are as he thinks them. This is just why the transition from profound seriousness to laughter is so easy, and can be effected by trifles. For the more perfect that agreement assumed by seriousness may seem to be, the more easily is it destroyed by the unexpected discovery of even a slight incongruity. Therefore the more a man is capable of entire seriousness, the more heartily can he laugh. Men whose laughter is always affected and forced are intellectually and morally of little worth; and in general the way of laughing, and, on the other hand, the occasions of it, are very characteristic of the person. That the relations of the sexes afford the easiest materials for jokes always ready to hand and within the reach of the weakest wit, as is proved by the abundance of obscene jests, could not be if it were not that the deepest seriousness lies at their foundation.

That the laughter of others at what we do or say seriously offends us so keenly depends on the fact that it asserts that there is a great incongruity between our conceptions and the objective realities. For the same reason, the predicate “ludicrous” or “absurd” is insulting. The laugh of scorn announces with triumph to the baffled adversary how incongruous were the conceptions he cherished with the reality which is now revealing itself to him. Our own bitter laughter at the fearful disclosure of the truth through which our firmly cherished expectations are proved to be delusive is the active expression of the discovery now made of the incongruity between the thoughts which, in our foolish confidence in man or fate, we entertained, and the truth which is now unveiled.

The *intentionally* ludicrous is the *joke*. It is the effort to bring about a discrepancy between the conceptions of another and the reality by disarranging one of the two; while its opposite, *seriousness*, consists in the exact conformity of the two to each other, which is at least aimed at. But if now the joke is concealed behind seriousness, then we have *irony*. For example, if with apparent seriousness we acquiesce in the opinions of another which are the opposite of our own, and pretend to share them with him, till at last the result perplexes him both as to us and them. This is the attitude of Socrates as opposed to Hippias, Protagoras, Gorgias, and other sophists, and indeed often to his collocutors in general. The converse of irony is accordingly seriousness concealed behind a joke, and this is *humour*. It might be called the double counterpoint of irony. Explanations

such as “Humour is the interpenetration of the finite and the infinite” express nothing more than the entire incapacity for thought of those who are satisfied with such empty phrases. Irony is objective, that is, intended for another; but humour is subjective, that is, it primarily exists only for one’s own self. Accordingly we find the masterpieces of irony among the ancients, but those of humour among the moderns. For, more closely considered, humour depends upon a subjective, yet serious and sublime mood, which is involuntarily in conflict with a common external world very different from itself, which it cannot escape from and to which it will not give itself up; therefore, as an accommodation, it tries to think its own point of view and that external world through the same conceptions, and thus a double incongruity arises, sometimes on the one side, sometimes on the other, between these concepts and the realities thought through them. Hence the impression of the intentionally ludicrous, thus of the joke, is produced, behind which, however, the deepest seriousness is concealed and shines through. Irony begins with a serious air and ends with a smile; with humour the order is reversed. The words of Mercutio quoted above may serve as an example of humour. Also in “Hamlet” — *Polonius*: “My honourable lord, I will most humbly take my leave of you. *Hamlet*: You cannot, sir, take from me anything that I will more willingly part withal, except my life, except my life, except my life.” Again, before the introduction of the play at court, Hamlet says to Ophelia: “What should a man do but be merry? for, look you, how cheerfully my mother looks, and my father died within these two hours. *Ophelia*: Nay, ’tis twice two months, my lord. *Hamlet*: So long? Nay, then let the devil wear black, for I’ll have a suit of sables.”

Again, in Jean Paul’s “Titan,” when Schoppe, melancholy and now brooding over himself, frequently looking at his hands, says to himself, “There sits a lord in bodily reality, and I in him; but who is such?” Heinrich Heine appears as a true humourist in his “*Romancero*.” Behind all his jokes and drollery we discern a profound seriousness, which is ashamed to appear unveiled. Accordingly humour depends upon a special kind of mood or temper (German, *Laune*, probably from *Luna*) through which conception in all its modifications, a decided predominance of the subjective over the objective in the apprehension of the external world, is thought. Moreover, every poetical or artistic presentation of a comical, or indeed even a farcical scene, through which a serious thought yet glimmers as its concealed



background, is a production of humour, thus is humorous. Such, for example, is a coloured drawing of Tischbein's, which represents an empty room, lighted only by the blazing fire in the grate. Before the fire stands a man with his coat off, in such a position that his shadow, going out from his feet, stretches across the whole room. Tischbein comments thus on the drawing: "This is a man who has succeeded in nothing in the world, and who has made nothing of it; now he rejoices that he can throw such a large shadow." Now, if I had to express the seriousness that lies concealed behind this jest, I could best do so by means of the following verse taken from the Persian poem of Anwari Soheili: —

"If thou hast lost possession of a world,  
Be not distressed, for it is nought;  
Or hast thou gained possession of a world,  
Be not o'erjoyed, for it is nought.  
Our pains, our gains, all pass away;  
Get thee beyond the world, for it is nought."

That at the present day the word humorous is generally used in German literature in the sense of comical arises from the miserable desire to give things a more distinguished name than belongs to them, the name of a class that stands above them. Thus every inn must be called a hotel, every money-changer a banker, every concert a musical academy, the merchant's counting-house a bureau, the potter an artist in clay, and therefore also every clown a humourist. The word *humour* is borrowed from the English to denote a quite peculiar species of the ludicrous, which indeed, as was said above, is related to the sublime, and which was first remarked by them. But it is not intended to be used as the title for all kinds of jokes and buffoonery, as is now universally the case in Germany, without opposition from men of letters and scholars; for the true conception of that modification, that tendency of the mind, that child of the sublime and the ridiculous, would be too subtle and too high for their public, to please which they take pains to make everything flat and vulgar. Well, "high words and a low meaning" is in general the motto of the noble present, and accordingly now-a-days he is called a humourist who was formerly, called a buffoon.

## Chapter IX.<sup>21</sup> On Logic In General.

Logic, Dialectic, and Rhetoric go together, because they make up the whole of a *technic of reason*, and under this title they ought also to be taught — Logic as the technic of our own thinking, Dialectic of disputing with others, and Rhetoric of speaking to many (*concionatio*); thus corresponding to the singular, dual, and plural, and to the monologue, the dialogue, and the panegyric.

Under Dialectic I understand, in agreement with Aristotle (*Metaph.*, iii. 2, and *Analyt. Post.*, i. 11), the art of conversation directed to the mutual investigation of truth, especially philosophical truth. But a conversation of this kind necessarily passes more or less into controversy; therefore dialectic may also be explained as the art of disputation. We have examples and patterns of dialectic in the Platonic dialogues; but for the special theory of it, thus for the technical rules of disputation, eristics, very little has hitherto been accomplished. I have worked out an attempt of the kind, and given an example of it, in the second volume of the “Parerga,” therefore I shall pass over the exposition of this science altogether here.

In Rhetoric the rhetorical figures are very much what the syllogistic figures are in Logic; at all events they are worth considering. In Aristotle’s time they seem to have not yet become the object of theoretical investigation, for he does not treat of them in any of his rhetorics, and in this reference we are referred to Rutilius Lupus, the epitomiser of a later Gorgias.

All the three sciences have this in common, that without having learned them we follow their rules, which indeed are themselves first abstracted from this natural employment of them. Therefore, although they are of great theoretical interest, they are of little practical use; partly because, though they certainly give the rule, they do not give the case of its application; partly because in practice there is generally no time to recollect the rules. Thus they teach only what every one already knows and practises of his own accord; but yet the abstract knowledge of this is interesting and important. Logic will not easily have a practical value, at least for our own thinking. For the errors of our own reasoning scarcely ever lie in the inferences nor otherwise in the form, but in the judgments, thus in the matter of thought. In controversy, on the other hand, we can sometimes

derive some practical use from logic, by taking the more or less intentionally deceptive argument of our opponent, which he advances under the garb and cover of continuous speech, and referring it to the strict form of regular syllogisms, and thus convicting it of logical errors; for example, simple conversion of universal affirmative judgments, syllogisms with four terms, inferences from the consequent to the reason, syllogisms in the second figure with merely affirmative premisses, and many such.

It seems to me that the doctrine of the laws of thought might be simplified if we were only to set up two, the law of excluded middle and that of sufficient reason. The former thus: "Every predicate can either be affirmed or denied of every subject." Here it is already contained in the "either, or" that both cannot occur at once, and consequently just what is expressed by the laws of identity and contradiction. Thus these would be added as corollaries of that principle which really says that every two concept-spheres must be thought either as united or as separated, but never as both at once; and therefore, even although words are brought together which express the latter, these words assert a process of thought which cannot be carried out. The consciousness of this infeasibility is the feeling of contradiction. The second law of thought, the principle of sufficient reason, would affirm that the above attributing or denying must be determined by something different from the judgment itself, which may be a (pure or empirical) perception, or merely another judgment. This other and different thing is then called the ground or reason of the judgment. So far as a judgment satisfies the first law of thought, it is thinkable; so far as it satisfies the second, it is true, or at least in the case in which the ground of a judgment is only another judgment it is logically or formally true. But, finally, material or absolute truth is always the relation between a judgment and a perception, thus between the abstract and the concrete or perceptible idea. This is either an immediate relation or it is brought about by means of other judgments, *i.e.*, through other abstract ideas. From this it is easy to see that one truth can never overthrow another, but all must ultimately agree; because in the concrete or perceptible, which is their common foundation, no contradiction is possible. Therefore no truth has anything to fear from other truths. Illusion and error have to fear every truth, because through the logical connection of all truths even the most distant must some time strike its blow at every error. This second law of thought is therefore the connecting link between logic and what is no longer logic, but the matter of

thought. Consequently the agreement of the conceptions, thus of the abstract idea with what is given in the perceptible idea, is, on the side of the object *truth*, and on the side of the subject *knowledge*.

To express the union or separation of two concept-spheres referred to above is the work of the copula, “is — is not.” Through this every verb can be expressed by means of its participle. Therefore all judging consists in the use of a verb, and *vice versâ*. Accordingly the significance of the copula is that the predicate is to be thought in the subject, nothing more. Now, consider what the content of the infinitive of the copula “to be” amounts to. But this is a principal theme of the professors of philosophy of the present time. However, we must not be too strict with them; most of them wish to express by it nothing but material things, the corporeal world, to which, as perfectly innocent realists at the bottom of their hearts, they attribute the highest reality. To speak, however, of the bodies so directly appears to them too vulgar; and therefore they say “being,” which they think sounds better, and think in connection with it the tables and chairs standing before them.

“For, because, why, therefore, thus, since, although, indeed, yet, but, if, then, either, or,” and more like these, are properly *logical particles*, for their only end is to express the form of the thought processes. They are therefore a valuable possession of a language, and do not belong to all in equal numbers. Thus “*zwar*” (the contracted “*es ist wahr*”) seems to belong exclusively to the German language. It is always connected with an “*aber*” which follows or is added in thought, as “if” is connected with “then.”

The logical rule that, as regards quantity, singular judgments, that is, judgments which have a singular conception (*notio singularis*) for their subject, are to be treated as *universal judgments*, depends upon the circumstance that they are in fact universal judgments, which have merely the peculiarity that their subject is a conception which can only be supported by a single real object, and therefore only contains a single real object under it; as when the conception is denoted by a proper name. This, however, has really only to be considered when we proceed from the abstract idea to the concrete or perceptible, thus seek to realise the conceptions. In thinking itself, in operating with judgments, this makes no difference, simply because between singular and universal conceptions there is no logical difference. “Immanuel Kant” signifies logically, “*all Immanuel Kant*.” Accordingly the quantity of judgments is really only of two kinds — universal and particular. An *individual idea* cannot be the

subject of a judgment, because it is not an abstraction, it is not something thought, but something perceived. Every conception, on the other hand, is essentially universal, and every judgment must have a *conception* as its subject.

The difference between *particular judgments* (*propositiones particulares*) and *universal judgments* often depends merely on the external and contingent circumstance that the language has no word to express by itself the part that is here to be separated from the general conception which forms the subject of such a judgment. If there were such a word many a particular judgment would be universal. For example, the particular judgment, "Some trees bear gall-nuts," becomes a universal judgment, because for this part of the conception, "tree," we have a special word, "All oaks bear gall-nuts." In the same way is the judgment, "Some men are black," related to the judgment, "All negroes are black." Or else this difference depends upon the fact that in the mind of him who judges the conception which he makes the subject of the particular judgment has not become clearly separated from the general conception as a part of which he defines it; otherwise he could have expressed a universal instead of a particular judgment. For example, instead of the judgment, "Some ruminants have upper incisors," this, "All unhorned ruminants have upper incisors."

The *hypothetical and disjunctive judgments* are assertions as to the relation of two (in the case of the disjunctive judgment even several) categorical judgments to each other. The *hypothetical judgment* asserts that the truth of the second of the two categorical judgments here linked together depends upon the truth of the first, and the falseness of the first depends upon the falseness of the second; thus that these two propositions stand in direct community as regards truth and falseness. The *disjunctive judgment*, on the other hand, asserts that upon the truth of one of the categorical judgments here linked together depends the falseness of the others, and conversely; thus that these propositions are in conflict as regards truth and falseness. The *question* is a judgment, one of whose three parts is left open: thus either the copula, "Is Caius a Roman — or not?" or the predicate, "Is Caius a Roman — or something else?" or the subject, "Is Caius a Roman — or is it some one else who is a Roman?" The place of the conception which is left open may also remain quite empty; for example, "What is Caius?"—"Who is a Roman?"

The επαγωγή, inductio, is with Aristotle the opposite of the απαγωγή. The latter proves a proposition to be false by showing that what would follow from it is not true; thus by the *instantia in contrarium*. The επαγωγή, on the other hand, proves the truth of a proposition by showing that what would follow from it is true. Thus it leads by means of examples to our accepting something while the απαγωγή leads to our rejecting it. Therefore the επαγωγή, or induction, is an inference from the consequents to the reason, and indeed *modo ponente*; for from many cases it establishes the rule, from which these cases then in their turn follow. On this account it is never perfectly certain, but at the most arrives at very great probability. However, this *formal* uncertainty may yet leave room for *material* certainty through the number of the sequences observed; in the same way as in mathematics the irrational relations are brought infinitely near to rationality by means of decimal fractions. The απαγωγή, on the contrary, is primarily an inference from the reason to the consequents, though it is afterwards carried out *modo tollente*, in that it proves the non-existence of a necessary consequent, and thereby destroys the truth of the assumed reason. On this account it is always perfectly certain, and accomplishes more by a single example *in contrarium* than the induction does by innumerable examples in favour of the proposition propounded. So much easier is it to refute than to prove, to overthrow than to establish.

## Chapter X. On The Syllogism.

Although it is very hard to establish a new and correct view of a subject which for more than two thousand years has been handled by innumerable writers, and which, moreover, does not receive additions through the growth of experience, yet this must not deter me from presenting to the thinker for examination the following attempt of this kind.

An inference is that operation of our reason by virtue of which, through the comparison of two judgments a third judgment arises, without the assistance of any knowledge otherwise obtained. The condition of this is that these two judgments have *one* conception in common, for otherwise they are foreign to each other and have no community. But under this condition they become the father and mother of a child that contains in itself something of both. Moreover, this operation is no arbitrary act, but an act of the reason, which, when it has considered such judgments, performs it of itself according to its own laws. So far it is objective, not subjective, and therefore subject to the strictest rules.

We may ask in passing whether he who draws an inference really learns something new from the new proposition, something previously unknown to him? Not absolutely; but yet to a certain extent he does. What he learns lay in what he knew: thus he knew it also, but he did not know that he knew it; which is as if he had something, but did not know that he had it, and this is just the same as if he had it not. He knew it only *implicite*, now he knows it *explicite*; but this distinction may be so great that the conclusion appears to him a new truth. For example:

All diamonds are stones;

All diamonds are combustible:

Therefore some stones are combustible.

The nature of inference consequently consists in this, that we bring it to distinct consciousness that we have already thought in the premisses what is asserted in the conclusion. It is therefore a means of becoming more distinctly conscious of one's own knowledge, of learning more fully, or becoming aware of what one knows. The knowledge which is afforded by the conclusion was *latent*, and therefore had just as little effect as latent heat has on the thermometer. Whoever has salt has also chlorine; but it is as if he had it not, for it can only act as chlorine if it is chemically evolved; thus

only, then, does he really possess it. It is the same with the gain which a mere conclusion from already known premisses affords: a previously *bound or latent knowledge* is thereby set *free*. These comparisons may indeed seem to be somewhat strained, but yet they really are not. For because we draw many of the possible inferences from our knowledge very soon, very rapidly, and without formality, and therefore have no distinct recollection of them, it seems to us as if no premisses for possible conclusions remained long stored up unused, but as if we already had also conclusions prepared for all the premisses within reach of our knowledge. But this is not always the case; on the contrary, two premisses may have for a long time an isolated existence in the same mind, till at last some occasion brings them together, and then the conclusion suddenly appears, as the spark comes from the steel and the stone only when they are struck together. In reality the premisses assumed from without, both for theoretical insight and for motives, which bring about resolves, often lie for a long time in us, and become, partly through half-conscious, and even inarticulate, processes of thought, compared with the rest of our stock of knowledge, reflected upon, and, as it were, shaken up together, till at last the right major finds the right minor, and these immediately take up their proper places, and at once the conclusion exists as a light that has suddenly arisen for us, without any action on our part, as if it were an inspiration; for we cannot comprehend how we and others have so long been in ignorance of it. It is true that in a happily organised mind this process goes on more quickly and easily than in ordinary minds; and just because it is carried on spontaneously and without distinct consciousness it cannot be learned. Therefore Goethe says: "How easy anything is he knows who has discovered it, he knows who has attained to it." As an illustration of the process of thought here described we may compare it to those padlocks which consist of rings with letters; hanging on the box of a travelling carriage, they are shaken so long that at last the letters of the word come together in their order and the lock opens. For the rest, we must also remember that the syllogism consists in the process of thought itself, and the words and propositions through which it is expressed only indicate the traces it has left behind it — they are related to it as the sound-figures of sand are related to the notes whose vibrations they express. When we reflect upon something, we collect our data, reduce them to judgments, which are all quickly brought together and compared, and thereby the conclusions which it is possible to draw from them are instantly



arrived at by means of the use of all the three syllogistic figures. Yet on account of the great rapidity of this operation only a few words are used, and sometimes none at all, and only the conclusion is formally expressed. Thus it sometimes happens that because in this way, or even merely intuitively, *i.e.*, by a happy *apperçu*, we have brought some new truth to consciousness, we now treat it as a conclusion and seek premisses for it, that is, we desire to prove it, for as a rule knowledge exists earlier than its proofs. We then go through our stock of knowledge in order to see whether we can find some truth in it in which the newly discovered truth was already implicitly contained, or two propositions which would give this as a result if they were brought together according to rule. On the other hand, every judicial proceeding affords a most complete and imposing syllogism, a syllogism in the first figure. The civil or criminal transgression complained of is the minor; it is established by the prosecutor. The law applicable to the case is the major. The judgment is the conclusion, which therefore, as something necessary, is “merely recognised” by the judge.

But now I shall attempt to give the simplest and most correct exposition of the peculiar mechanism of inference.

*Judging*, this elementary and most important process of thought, consists in the comparison of two *conceptions*; *inference* in the comparison of two *judgments*. Yet ordinarily in text-books inference is also referred to the comparison of conceptions, though of *three*, because from the relation which two of these conceptions have to a third their relation to each other may be known. Truth cannot be denied to this view also; and since it affords opportunity for the perceptible demonstration of syllogistic relations by means of drawn concept-spheres, a method approved of by me in the text, it has the advantage of making the matter easily comprehensible. But it seems to me that here, as in so many cases, comprehensibility is attained at the cost of thoroughness. The real process of thought in inference, with which the three syllogistic figures and their necessity precisely agree, is not thus recognised. In inference we operate *not* with mere *conceptions* but with whole *judgments*, to which quality, which lies only in the copula and not in the conceptions, and also quantity are absolutely essential, and indeed we have further to add modality. That exposition of inference as a relation of *three conceptions* fails in this, that it at once resolves the judgments into their ultimate elements (the conceptions), and thus the means of combining these is lost, and that which is peculiar to the judgments as such and in their

completeness, which is just what constitutes the necessity of the conclusion which follows from them, is lost sight of. It thus falls into an error analogous to that which organic chemistry would commit if, for example, in the analysis of plants it were at once to reduce them to their *ultimate* elements, when it would find in all plants carbon, hydrogen, and oxygen, but would lose the specific differences, to obtain which it is necessary to stop at their more special elements, the so-called alkaloids, and to take care to analyse these in their turn. From three given conceptions no conclusion can as yet be drawn. It may certainly be said: the relation of two of them to the third must be given with them. But it is just the *judgments* which combine these conceptions, that are the expression of this relation; thus *judgments*, not mere *conceptions*, are the material of the inference. Accordingly inference is essentially a comparison of two *judgments*. The process of thought in our mind is concerned with these and the thoughts expressed by them, not merely with three conceptions. This is the case even when this process is imperfectly or not at all expressed in words; and it is as such, as a bringing together of the complete and unanalysed judgments, that we must consider it in order properly to understand the technical procedure of inference. From this there will then also follow the necessity for three really rational syllogistic figures.

As in the exposition of syllogistic reasoning by means of *concept-spheres* these are presented to the mind under the form of circles, so in the exposition by means of entire judgments we have to think these under the form of rods, which, for the purpose of comparison, are held together now by one end, now by the other. The different ways in which this can take place give the three figures. Since now every premiss contains its subject and its predicate, these two conceptions are to be imagined as situated at the two ends of each rod. The two judgments are now compared with reference to the two *different* conceptions in them; for, as has already been said, the third conception must be the same in both, and is therefore subject to no comparison, but is that *with which*, that is, in reference to which, the other two are compared; it is the *middle*. The latter is accordingly always only the means and not the chief concern. The two different conceptions, on the other hand, are the subject of reflection, and to find out their relation to each other by means of the judgments in which they are contained is the aim of the syllogism. Therefore the conclusion speaks only of them, not of the middle, which was only a means, a measuring rod, which we let fall as soon

as it has served its end. Now if this conception which is *identical* in both propositions, thus the middle, is the subject of *one* premiss, the conception to be compared with it must be the predicate, and conversely. Here at once is established *a priori* the possibility of three cases; either the subject of one premiss is compared with the predicate of the other, or the subject of the one with the subject of the other, or, finally, the predicate of the one with the predicate of the other. Hence arise the three syllogistic figures of Aristotle; the fourth, which was added somewhat impertinently, is ungenue and a spurious form. It is attributed to Galenus, but this rests only on Arabian authority. Each of the three figures exhibits a perfectly different, correct, and natural thought-process of the reason in inference.

If in the two judgments to be compared the relation between the *predicate of the one and the subject of the other* is the object of the comparison, the *first figure* appears. This figure alone has the advantage that the conceptions which in the conclusion are subject and predicate both appear already in the same character in the premisses; while in the two other figures one of them must always change its roll in the conclusion. But thus in the first figure the result is always less novel and surprising than in the other two. Now this advantage in the first figure is obtained by the fact that the predicate of the major is compared with the subject of the minor, but not conversely, which is therefore here essential, and involves that the middle should assume both the positions, *i.e.*, it is the subject in the major and the predicate in the minor. And from this again arises its subordinate significance, for it appears as a mere weight which we lay at pleasure now in one scale and now in the other. The course of thought in this figure is, that the predicate of the major is attributed to the subject of the minor, because the subject of the major is the predicate of the minor, or, in the negative case, the converse holds for the same reason. Thus here a property is attributed to the things thought through a conception, because it depends upon another property which we already know they possess; or conversely. Therefore here the guiding principle is: *Nota notæ est nota rei ipsius, et repugnans notæ repugnat rei ipsi.*

If, on the other hand, we compare two judgments with the intention of bringing out the relation which the *subjects of both* may have to each other, we must take as the common measure their predicate. This will accordingly be here the middle, and must therefore be the same in both judgments. Hence arises the *second figure*. In it the relation of two subjects to each

other is determined by that which they have as their common predicate. But this relation can only have significance if the same predicate is attributed to the one subject and denied of the other, for thus it becomes an essential ground of distinction between the two. For if it were attributed to both the subjects this could decide nothing as to their relation to each other, for almost every predicate belongs to innumerable subjects. Still less would it decide this relation if the predicate were denied of both the subjects. From this follows the fundamental characteristic of the second figure, that the premisses must be of *opposite quality*; the one must affirm and the other deny. Therefore here the principal rule is: *Sit altera negans*; the corollary of which is: *E meris affirmativis nihil sequitur*; a rule which is sometimes transgressed in a loose argument obscured by many parenthetical propositions. The course of thought which this figure exhibits distinctly appears from what has been said. It is the investigation of two kinds of things with the view of distinguishing them, thus of establishing that they are *not* of the same species; which is here decided by showing that a certain property is essential to the one kind, which the other lacks. That this course of thought assumes the second figure of its own accord, and expresses itself clearly only in it, will be shown by an example:

All fishes have cold blood;

No whale has cold blood:

Thus no whale is a fish.

In the first figure, on the other hand, this thought exhibits itself in a weak, forced, and ultimately patched-up form:

Nothing that has cold blood is a whale;

All fishes have cold blood:

Thus no fish is a whale,

And consequently no whale is a fish.

Take also an example with an affirmative minor:

No Mohamedan is a Jew;

Some Turks are Jews:

Therefore some Turks are not Mohamedans.

As the guiding principle for this figure I therefore give, for the mood with the negative minor: *Cui repugnat nota, etiam repugnat notatum*; and for the mood with the affirmative minor: *Notato repugnat id cui nota repugnat*. Translated these may be thus combined: Two subjects which

stand in opposite relations to one predicate have a negative relation to each other.

The third case is that in which we place two judgments together in order to investigate the relation of their *predicates*. Hence arises the *third figure*, in which accordingly the middle appears in both premisses as the subject. It is also here the *tertium comparationis*, the measure which is applied to both the conceptions which are to be investigated, or, as it were, a chemical reagent, with which we test them both in order to learn from their relation to it what relation exists between themselves. Thus, then, the conclusion declares whether a relation of subject and predicate exists between the two, and to what extent this is the case. Accordingly, what exhibits itself in this figure is reflection concerning two properties which we are inclined to regard either as *incompatible*, or else as *inseparable*, and in order to decide this we attempt to make them the predicates of one subject in two judgments. From this it results either that both properties belong to the same thing, consequently their *compatibility*, or else that a thing has the one but not the other, consequently their *separableness*. The former in all moods with two affirmative premisses, the latter in all moods with one negative; for example:

Some brutes can speak;

All brutes are irrational:

Therefore some irrational beings can speak.

According to Kant (*Die Falsche Spitzfinigkeit*, § 4) this inference would only be conclusive if we added in thought: “Therefore some irrational beings are brutes.” But this seems to be here quite superfluous and by no means the natural process of thought. But in order to carry out the same process of thought directly by means of the first figure I must say:

“All brutes are irrational;

Some beings that can speak are brutes,”

which is clearly not the natural course of thought; indeed the conclusion which would then follow, “Some beings that can speak are irrational,” would have to be converted in order to preserve the conclusion which the third figure gives of itself, and at which the whole course of thought has aimed. Let us take another example:

All alkalis float in water;

All alkalis are metals:

Therefore some metals float in water.

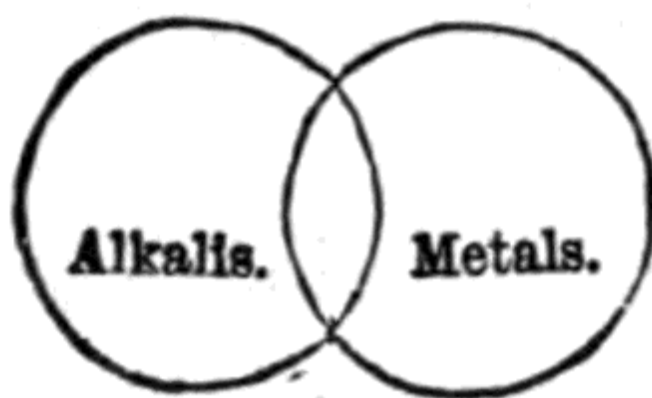


Figure 1



Figure 2

When this is transposed into the first figure the minor must be converted, and thus runs: “Some metals are alkalis.” It therefore merely asserts that some metals lie in the sphere “alkalis,” thus [Figure 1], while our actual knowledge is that all alkalis lie in the sphere “metals,” thus [Figure 2]: It follows that if the first figure is to be regarded as the only normal one, in order to think naturally we would have to think less than we know, and to think indefinitely while we know definitely. This assumption has too much against it. Thus in general it must be denied that when we draw inferences in the second and third figures we tacitly convert a proposition. On the contrary, the third, and also the second, figure exhibits just as rational a process of thought as the first. Let us now consider another example of the other class of the third figure, in which the separableness of two predicates is the result; on account of which one premiss must here be negative:

No Buddhist believes in a God;

Some Buddhists are rational:

Therefore some rational beings do not believe in a God.

As in the examples given above the *compatibility* of two properties is the problem of reflection, now their *separableness* is its problem, which here also must be decided by comparing them with *one* subject and showing that *one* of them is present in it without the *other*. Thus the end is directly attained, while by means of the first figure it could only be attained indirectly. For in order to reduce the syllogism to the first figure we must convert the minor, and therefore say: “Some rational beings are Buddhists,” which would be only a faulty expression of its meaning, which really is: “Some Buddhists are yet certainly rational.”

As the guiding principle of this figure I therefore give: for the affirmative moods: *Ejusdem rei notæ, modo sit altera universalis, sibi invicem sunt notæ particulares*; and for the negative moods: *Nota rei competens, notæ eidem repugnanti, particulariter repugnat, modo sit altera universalis*. Translated: If two predicates are affirmed of one subject, and at least one of them universally, they are also affirmed of each other particularly; and, on the contrary, they are denied of each other particularly whenever one of them contradicts the subject of which the other is affirmed; provided always that either the contradiction or the affirmation be universal.

In the *fourth figure* the subject of the major has to be compared with the predicate of the minor; but in the conclusion they must both exchange their value and position, so that what was the subject of the major appears as the

predicate of the conclusion, and what was the predicate of the minor appears as the subject of the conclusion. By this it becomes apparent that this figure is merely the *first*, wilfully turned upside down, and by no means the expression of a real process of thought natural to the reason.

On the other hand, the first three figures are the ectypes of three real and essentially different operations of thought. They have this in common, that they consist in the comparison of two judgments; but such a comparison only becomes fruitful when these judgments have *one* conception in common. If we present the premisses to our imagination under the sensible form of two rods, we can think of this conception as a clasp that links them to each other; indeed in lecturing one might provide oneself with such rods. On the other hand, the three figures are distinguished by this, that those judgments are compared either with reference to the subjects of both, or to the predicates of both, or lastly, with reference to the subject of the one and the predicate of the other. Since now every conception has the property of being subject or predicate only because it is already part of a judgment, this confirms my view that in the syllogism only judgments are primarily compared, and conceptions only because they are parts of judgments. In the comparison of two judgments, however, the essential question is, in *respect of what* are they compared? not *by what means* are they compared? The former consists of the concepts which are different in the two judgments; the latter consists of the middle, that is, the conception which is identical in both. It is therefore not the right point of view which Lambert, and indeed really Aristotle, and almost all the moderns have taken in starting from the *middle* in the analysis of syllogisms, and making it the principal matter and its position the essential characteristic of the syllogisms. On the contrary, its role is only secondary, and its position a consequence of the logical value of the conceptions which are really to be compared in the syllogism. These may be compared to two substances which are to be chemically tested, and the middle to the reagent by which they are tested. It therefore always takes the place which the conceptions to be compared leave vacant, and does not appear again in the conclusion. It is selected according to our knowledge of its relation to both the conceptions and its suitability for the place it has to take up. Therefore in many cases we can change it at pleasure for another without affecting the syllogism. For example, in the syllogism:

All men are mortal;

Caius is a man:



I can exchange the middle “man” for “animal existence.” In the syllogism:

All diamonds are stones;

All diamonds are combustible:

I can exchange the middle “diamond” for “anthracite.” As an external mark by which we can recognise at once the figure of a syllogism the middle is certainly very useful. But as the fundamental characteristic of a thing which is to be explained, we must take what is essential to it; and what is essential here is, whether we place two propositions together in order to compare their predicates or their subjects, or the predicate of the one and the subject of the other.

Therefore, in order as premisses to yield a conclusion, two judgments must have a conception in common; further, they must not both be negative, nor both particular; and lastly, in the case in which the conceptions to be compared are the subjects of both, they must not both be affirmative.

The voltaic pile may be regarded as a sensible image of the syllogism. Its point of indifference, at the centre, represents the middle, which holds together the two premisses, and by virtue of which they have the power of yielding a conclusion. The two different conceptions, on the other hand, which are really what is to be compared, are represented by the two opposite poles of the pile. Only because these are brought together by means of their two conducting wires, which represent the copulas of the two judgments, is the spark emitted upon their contact — the new light of the conclusion.

## Chapter XI.<sup>22</sup> On Rhetoric.

Eloquence is the faculty of awakening in others our view of a thing, or our opinion about it, of kindling in them our feeling concerning it, and thus putting them in sympathy with us. And all this by conducting the stream of our thought into their minds, through the medium of words, with such force as to carry their thought from the direction it has already taken, and sweep it along with ours in its course. The more their previous course of thought differs from ours, the greater is this achievement. From this it is easily understood how personal conviction and passion make a man eloquent; and in general, eloquence is more the gift of nature than the work of art; yet here, also, art will support nature.

In order to convince another of a truth which conflicts with an error he firmly holds, the first rule to be observed, is an easy and natural one: *let the premisses come first, and the conclusion follow*. Yet this rule is seldom observed, but reversed; for zeal, eagerness, and dogmatic positiveness urge us to proclaim the conclusion loudly and noisily against him who adheres to the opposed error. This easily makes him shy, and now he opposes his will to all reasons and premisses, knowing already to what conclusion they lead. Therefore we ought rather to keep the conclusion completely concealed, and only advance the premisses distinctly, fully, and in different lights. Indeed, if possible, we ought not to express the conclusion at all. It will come necessarily and regularly of its own accord into the reason of the hearers, and the conviction thus born in themselves will be all the more genuine, and will also be accompanied by self-esteem instead of shame. In difficult cases we may even assume the air of desiring to arrive at a quite opposite conclusion from that which we really have in view. An example of this is the famous speech of Antony in Shakspeare's "Julius Cæsar."

In defending a thing many persons err by confidently advancing everything imaginable that can be said for it, mixing up together what is true, half true, and merely plausible. But the false is soon recognised, or at any rate felt, and throws suspicion also upon the cogent and true arguments which were brought forward along with it. Give then the true and weighty pure and alone, and beware of defending a truth with inadequate, and therefore, since they are set up as adequate, sophistical reasons; for the opponent upsets these, and thereby gains the appearance of having upset the

truth itself which was supported by them, that is, he makes *argumenta ad hominem* hold good as *argumenta ad rem*. The Chinese go, perhaps, too far the other way, for they have the saying: "He who is eloquent and has a sharp tongue may always leave half of a sentence unspoken; and he who has right on his side may confidently yield three-tenths of his assertion."

## Chapter XII.<sup>23</sup> On The Doctrine Of Science.

From the analysis of the different functions of our intellect given in the whole of the preceding chapters, it is clear that for a correct use of it, either in a theoretical or a practical reference, the following conditions are demanded: (1.) The correct apprehension through perception of the real things taken into consideration, and of all their essential properties and relations, thus of all *data*. (2.) The construction of correct conceptions out of these; thus the connotation of those properties under correct abstractions, which now become the material of the subsequent thinking. (3.) The comparison of those conceptions both with the perceived object and among themselves, and with the rest of our store of conceptions, so that correct judgments, pertinent to the matter in hand, and fully comprehending and exhausting it, may proceed from them; thus the right *estimation* of the matter. (4.) The placing together or *combination* of those judgments as the premisses of *syllogisms*. This may be done very differently according to the choice and arrangement of the judgments, and yet the actual *result* of the whole operation primarily depends upon it. What is really of importance here is that from among so many possible combinations of those different judgments which have to do with the matter free deliberation should hit upon the very ones which serve the purpose and are decisive. But if in the first function, that is, in the apprehension through perception of the things and relations, any single essential point has been overlooked, the correctness of all the succeeding operations of the mind cannot prevent the result from being false; for there lie the data, the material of the whole investigation. Without the certainty that these are correctly and completely collected, one ought to abstain, in important matters, from any definite decision.

A conception is *correct*; a judgment is *true*; a body is *real*; and a relation is *evident*. A proposition of immediate certainty is an *axiom*. Only the fundamental principles of logic, and those of mathematics drawn *a priori* from intuition or perception, and finally also the law of causality, have immediate certainty. A proposition of indirect certainty is a maxim, and that by means of which it obtains its certainty is the proof. If immediate certainty is attributed to a proposition which has no such certainty, this is a *petitio principii*. A proposition which appeals directly to the empirical

perception is an *assertion*: to confront it with such perception demands judgment. Empirical perception can primarily afford us only *particular*, not universal truths. Through manifold repetition and confirmation such truths indeed obtain a certain universality also, but it is only comparative and precarious, because it is still always open to attack. But if a proposition has absolute universality, the perception to which it appeals is not empirical but *a priori*. Thus Logic and Mathematics alone are absolutely certain sciences; but they really teach us only what we already knew beforehand. For they are merely explanations of that of which we are conscious *a priori*, the forms of our own knowledge, the one being concerned with the forms of thinking, the other with those of perceiving. Therefore we spin them entirely out of ourselves. All other scientific knowledge is empirical.

A proof proves *too much* if it extends to things or cases of which that which is to be proved clearly does not hold good; therefore it is refuted apagogically by these. The *deductio ad absurdum* properly consists in this, that we take a false assertion which has been made as the major proposition of a syllogism, then add to it a correct minor, and arrive at a conclusion which clearly contradicts facts of experience or unquestionable truths. But by some round-about way such a refutation must be possible of every false doctrine. For the defender of this will yet certainly recognise and admit some truth or other, and then the consequences of this, and on the other hand those of the false assertion, must be followed out until we arrive at two propositions which directly contradict each other. We find many examples in Plato of this beautiful artifice of genuine dialectic.

A *correct hypothesis* is nothing more than the true and complete expression of the present fact, which the originator of the hypothesis has intuitively apprehended in its real nature and inner connection. For it tells us only what really takes place here.

The opposition of the *analytical* and *synthetical* methods we find already indicated by Aristotle, yet perhaps first distinctly described by Proclus, who says quite correctly: “Μεθοδοι δε παραδιδονται; καλλιστη μιν ἡ δια της αναλυσεως ἐπ’ ἀρχην ὁμολογουμενην αναγούσα το ζητούμενον; ἣν καὶ Πλάτων, ὡς φασι, Λαοδαμαντι παρέδωκεν. κ.τ.λ.” (*Methodi traduntur sequentes: pulcherrima quidem ea, quæ per analysin quæsitum refert ad principium, de quo jam convenit; quam etiam Plato Laodamanti tradidisse dicitur.*) “*In Primum Euclidis Librum,*” L. iii. Certainly the analytical

method consists in referring what is given to an admitted principle; the synthetical method, on the contrary, in deduction from such a principle. They are therefore analogous to the *επαγωγή* and *απαγωγή* explained in chapter ix.; only the latter are not used to establish propositions, but always to overthrow them. The analytical method proceeds from the facts; the particular, to the principle or rule; the universal, or from the consequents to the reasons; the other conversely. Therefore it would be much more correct to call them *the inductive and the deductive methods*, for the customary names are unsuitable and do not fully express the things.

If a philosopher tries to begin by thinking out the methods in accordance with which he will philosophise, he is like a poet who first writes a system of æsthetics in order to poetise in accordance with it. Both of them may be compared to a man who first sings himself a tune and afterwards dances to it. The thinking mind must find its way from original tendency. Rule and application, method and achievement, must, like matter and form, be inseparable. But after we have reached the goal we may consider the path we have followed. Æsthetics and methodology are, from their nature, younger than poetry and philosophy; as grammar is younger than language, thorough bass younger than music, and logic younger than thought.

This is a fitting place to make, in passing, a remark by means of which I should like to check a growing evil while there is yet time. That Latin has ceased to be the language of all scientific investigations has the disadvantage that there is no longer an immediately common scientific literature for the whole of Europe, but national literatures. And thus every scholar is primarily limited to a much smaller public, and moreover to a public hampered with national points of view and prejudices. Then he must now learn the four principal European languages, as well as the two ancient languages. In this it will be a great assistance to him that the *termini technici* of all sciences (with the exception of mineralogy) are, as an inheritance from our predecessors, Latin or Greek. Therefore all nations wisely retain these. Only the Germans have hit upon the unfortunate idea of wishing to Germanise the *termini technici* of all the sciences. This has two great disadvantages. First, the foreign and also the German scholar is obliged to learn all the technical terms of his science twice, which, when there are many — for example, in Anatomy — is an incredibly tiresome and lengthy business. If the other nations were not in this respect wiser than the Germans, we would have the trouble of learning every *terminus*

*technicus* five times. If the Germans carry this further, foreign men of learning will leave their books altogether unread; for besides this fault they are for the most part too diffuse, and are written in a careless, bad, and often affected and objectionable style, and besides are generally conceived with a rude disregard of the reader and his requirements. Secondly, those Germanised forms of the *termini technici* are almost throughout long, patched-up, stupidly chosen, awkward, jarring words, not clearly separated from the rest of the language, which therefore impress themselves with difficulty upon the memory, while the Greek and Latin expressions chosen by the ancient and memorable founders of the sciences possess the whole of the opposite good qualities, and easily impress themselves on the memory by their sonorous sound. What an ugly, harsh-sounding word, for instance, is “*Stickstoff*” instead of *azot*! “*Verbum*,” “*substantiv*,” “*adjectiv*,” are remembered and distinguished more easily than “*Zeitwort*,” “*Nennwort*,” “*Beiwort*,” or even “*Umstandswort*” instead of “*adverbium*.” In Anatomy it is quite unsupportable, and moreover vulgar and low. Even “*Pulsader*” and “*Blutader*” are more exposed to momentary confusion than “*Arterie*” and “*Vene*,” but utterly bewildering are such expressions as “*Fruchthälter*,” “*Fruchtgang*,” and “*Fruchtleiter*” instead of “*uterus*,” “*vagina*,” and “*tuba Fallopii*,” which yet every doctor must know, and which he will find sufficient in all European languages. In the same way “*Speiche*” and “*Ellenbogenröhre*” instead of “*radius*” and “*ulna*,” which all Europe has understood for thousands of years. Wherefore then this clumsy, confusing, drawling, and awkward Germanising? Not less objectionable is the translation of the technical terms in Logic, in which our gifted professors of philosophy are the creators of a new terminology, and almost every one of them has his own. With G. E. Schulze, for example, the subject is called “*Grundbegriff*,” the predicate “*Beilegungsbegriff*,” then there are “*Beilegungsschlüsse*,” “*Voraussetzungsschlüsse*,” and “*Entgegensetzungsschlüsse*,” the judgments have “*Grösse*,” “*Beschaffenheit*,” “*Verhältniss*,” and “*Zuverlässigkeit*,” i.e., quantity, quality, relation, and modality. The same perverse influence of this Germanising mania is to be found in all the sciences. The Latin and Greek expressions have the further advantage that they stamp the scientific conception as such, and distinguish it from the words of common intercourse, and the ideas which cling to them through association; while,

for example, “*Speisebrei*” instead of *chyme* seems to refer to the food of little children, and “*Lungensack*” instead of *pleura*, and “*Herzbeutel*” instead of *pericardium* seem to have been invented by butchers rather than anatomists. Besides this, the most immediate necessity of learning the ancient languages depends upon the old *termini technici*, and they are more and more in danger of being neglected through the use of living languages in learned investigations. But if it comes to this, if the spirit of the ancients bound up with their languages disappears from a liberal education, then coarseness, insipidity, and vulgarity will take possession of the whole of literature. For the works of the ancients are the pole-star of every artistic or literary effort; if it sets they are lost. Even now we can observe from the miserable and puerile style of most writers that they have never written Latin.<sup>24</sup> The study of the classical authors is very properly called the study of *Humanity*, for through it the student first becomes a *man* again, for he enters into the world which was still free from all the absurdities of the Middle Ages and of romanticism, which afterwards penetrated so deeply into mankind in Europe that even now every one comes into the world covered with it, and has first to strip it off simply to become a man again. Think not that your modern wisdom can ever supply the place of that initiation into manhood; ye are not, like the Greeks and Romans, born freemen, unfettered sons of nature. Ye are first the sons and heirs of the barbarous Middle Ages and of their madness, of infamous priestcraft, and of half-brutal, half-childish chivalry. Though both now gradually approach their end, yet ye cannot yet stand on your own feet. Without the school of the ancients your literature will degenerate into vulgar gossip and dull philistinism. Thus for all these reasons it is my well-intended counsel that an end be put at once to the Germanising mania condemned above.

I shall further take the opportunity of denouncing here the disorder which for some years has been introduced into German orthography in an unprecedented manner. Scribblers of every species have heard something of conciseness of expression, but do not know that this consists in the careful omission of everything superfluous (to which, it is true, the whole of their writings belong), but imagine they can arrive at it by clipping the words as swindlers clip coin; and every syllable which appears to them superfluous, because they do not feel its value, they cut off without more ado. For example, our ancestors, with true tact, said “*Beweis*” and “*Verweis*,” but, on the other hand, “*Nachweisung*.” The fine distinction analogous to that



between “*Versuch*” and “*Versuchung*,” “*Betracht*” and “*Betrachtung*,” is not perceptible to dull ears and thick skulls; therefore they have invented the word “*Nachweis*,” which has come at once into general use, for this only requires that an idea should be thoroughly awkward and a blunder very gross. Accordingly a similar amputation has already been proposed in innumerable words; for example, instead of “*Untersuchung*” is written “*Untersuch*,” nay, even instead of “*allmählig*,” “*mählig*,” instead of “*beinahe*,” “*nahe*,” instead of “*beständig*,” “*ständig*.” If a Frenchman took upon himself to write “*près*” instead of “*presque*,” or if an Englishman wrote “*most*” instead of “*almost*,” they would be laughed at by every one as fools; but in Germany whoever does this sort of thing passes for a man of originality. Chemists already write “*löslich*” and “*unlöslich*” instead of “*unauflöslich*,” and if the grammarians do not rap them over the knuckles they will rob the language of a valuable word. Knots, shoe-strings, and also conglomerates of which the cement is softened, and all analogous things are “*löslich*” (can be loosed); but what is “*auflöslich*” (soluble), on the other hand, is whatever vanishes in a liquid, like salt in water. “*Auflösen*” (to dissolve) is the *terminus ad hoc*, which says this and nothing else, marking out a definite conception; but our acute improvers of the language wish to empty it into the general rinsing-pan “*lösen*” (to loosen); they would therefore in consistency be obliged to make “*lösen*” also take the place everywhere of “*ablösen*” (to relieve, used of guards), “*auslösen*” (to release), “*einlösen*” (to redeem), &c., and in these, as in the former case, deprive the language of definiteness of expression. But to make the language poorer by a word means to make the thought of the nation poorer by a conception. Yet this is the tendency of the united efforts of almost all our writers of books for the last ten or twenty years. For what I have shown here by *one* example can be supported by a hundred others, and the meanest stinting of syllables prevails like a disease. The miserable wretches actually count the letters, and do not hesitate to mutilate a word, or to use one in a false sense, whenever by doing so they can gain two letters. He who is capable of no new thoughts will at least bring new words to market, and every ink-slinger regards it as his vocation to improve the language. Journalists practise this most shamelessly; and since their papers, on account of the trivial nature of their contents, have the largest public, indeed a public which for the most part reads nothing else, a great danger threatens

the language through them. I therefore seriously advise that they should be subjected to an orthographical censorship, or that they should be made to pay a fine for every unusual or mutilated word; for what could be more improper than that changes of language should proceed from the lowest branch of literature? Language, especially a relatively speaking original language like German, is the most valuable inheritance of a nation, and it is also an exceedingly complicated work of art, easily injured, and which cannot again be restored, therefore a *noli me tangere*. Other nations have felt this, and have shown great piety towards their languages, although far less complete than German. Therefore the language of Dante and Petrarch differs only in trifles from that of to-day; Montaigne is still quite readable, and so also is Shakspeare in his oldest editions. For a German indeed it is good to have somewhat long words in his mouth; for he thinks slowly, and they give him time to reflect. But this prevailing economy of language shows itself in yet more characteristic phenomena. For example, in opposition to all logic and grammar, they use the imperfect for the perfect and pluperfect; they often stick the auxiliary verb in their pocket; they use the ablative instead of the genitive; for the sake of omitting a couple of logical particles they make such intricate sentences that one has to read them four times over in order to get at the sense; for it is only the paper and not the reader's time that they care to spare. In proper names, after the manner of Hottentots, they do not indicate the case either by inflection or article: the reader may guess it. But they are specially fond of contracting the double vowel and dropping the lengthening *h*, those letters sacred to prosody; which is just the same thing as if we wanted to banish  $\eta$  and  $\omega$  from Greek, and make  $\varepsilon$  and  $o$  take their place. Whoever writes *Scham*, *Märchen*, *Mass*, *Spass*, ought also to write *Lon*, *Son*, *Stat*, *Sat*, *Jar*, *Al*, &c. But since writing is the copy of speech, posterity will imagine that one ought to speak as one writes; and then of the German language there will only remain a narrow, mouth-distorting, jarring noise of consonants, and all prosody will be lost. The spelling "*Literatur*" instead of the correct "*Litteratur*" is also very much liked, because it saves a letter. In defence of this the participle of the verb *linere* is given as the root of the word. But *linere* means to smear; therefore the favoured spelling might actually be correct for the greater part of German bookmaking; so that one could distinguish a very small "*Litteratur*" from a very extensive "*Literatur*." In order to write concisely let a man improve his style and shun all useless

gossip and chatter, and then he will not need to cut out syllables and letters on account of the dearness of paper. But to write so many useless pages, useless sheets, useless books, and then to want to make up this waste of time and paper at the cost of the innocent syllables and letters — that is truly the superlative of what is called in English being penny wise and pound foolish. It is to be regretted that there is no German Academy to take charge of the language against literary *sans-culottism*, especially in an age when even those who are ignorant of the ancient language venture to employ the press. I have expressed my mind more fully on the whole subject of the inexcusable mischief being done at the present day to the German language in my “Parerga,” vol. ii. chap. 23.

In my essay on the principle of sufficient reason, § 51, I already proposed a first *classification of the sciences* in accordance with the form of the principle of sufficient reason which reigns in them; and I also touched upon it again in §§ 7 and 15 of the first volume of this work. I will give here a small attempt at such a classification, which will yet no doubt be susceptible of much improvement and perfecting: —

I. Pure *a priori* Sciences.

1. The doctrine of the ground of being.

(a.) In space: Geometry.

(b.) In time: Arithmetic and Algebra.

2. The doctrine of the ground of knowing: Logic.

II. Empirical or *a posteriori* Sciences. All based upon the ground of becoming, *i.e.*, the law of causality, and upon the three modes of that law.

1. The doctrine of causes.

(a.) Universal: Mechanics, Hydrodynamics, Physics, Chemistry.

(b.) Particular: Astronomy, Mineralogy, Geology, Technology, Pharmacy.

2. The doctrine of stimuli.

(a.) Universal: Physiology of plants and animals, together with the ancillary science, Anatomy.

(b.) Particular: Botany, Zoology, Zootomy, Comparative Physiology, Pathology, Therapeutics.

3. The doctrine of motives.

(a.) Universal: Ethics, Psychology.

(b.) Particular: Jurisprudence, History.

Philosophy or Metaphysics, as the doctrine of consciousness and its contents in general, or of the whole of experience as such, does not appear

in the list, because it does not at once pursue the investigation which the principle of sufficient reason prescribes, but first has this principle itself as its object. It is to be regarded as the thorough bass of all sciences, but belongs to a higher class than they do, and is almost as much related to art as to science. As in music every particular period must correspond to the tonality to which thorough bass has advanced, so every author, in proportion to the line he follows, must bear the stamp of the philosophy which prevails in his time. But besides this, every science has also its special philosophy; and therefore we speak of the philosophy of botany, of zoology, of history, &c. By this we must reasonably understand nothing more than the chief results of each science itself, regarded and comprehended from the highest, that is the most general, point of view which is possible within that science. These general results connect themselves directly with general philosophy, for they supply it with important data, and relieve it from the labour of seeking these itself in the philosophically raw material of the special sciences. These special philosophies therefore stand as a mediating link between their special sciences and philosophy proper. For since the latter has to give the most general explanations concerning the whole of things, these must also be capable of being brought down and applied to the individual of every species of thing. The philosophy of each science, however, arises independently of philosophy in general, from the data of its own science itself. Therefore it does not need to wait till that philosophy at last be found; but if worked out in advance it will certainly agree with the true universal philosophy. This, on the other hand, must be capable of receiving confirmation and illustration from the philosophies of the particular sciences; for the most general truth must be capable of being proved through the more special truths. Goethe has afforded a beautiful example of the philosophy of zoology in his reflections on Dalton's and Pander's skeletons of rodents (*Hefte zur Morphologie*, 1824). And like merit in connection with the same science belongs to Kielmayer, Delamark, Geoffroy St. Hilaire, Cuvier, and many others, in that they have all brought out clearly the complete analogy, the inner relationship, the permanent type, and systematic connection of animal forms. Empirical sciences pursued purely for their own sake and without philosophical tendency are like a face without eyes. They are, however, a suitable occupation for men of good capacity who yet lack the highest faculties, which would even be a

hindrance to minute investigations of such a kind. Such men concentrate their whole power and their whole knowledge upon one limited field, in which, therefore, on condition of remaining in entire ignorance of everything else, they can attain to the most complete knowledge possible; while the philosopher must survey all fields of knowledge, and indeed to a certain extent be at home in them; and thus that complete knowledge which can only be attained by the study of detail is necessarily denied him. Therefore the former may be compared to those Geneva workmen of whom one makes only wheels, another only springs, and a third only chains. The philosopher, on the other hand, is like the watchmaker, who alone produces a whole out of all these which has motion and significance. They may also be compared to the musicians of an orchestra, each of whom is master of his own instrument; and the philosopher, on the other hand, to the conductor, who must know the nature and use of every instrument, yet without being able to play them all, or even one of them, with great perfection. Scotus Erigena includes all sciences under the name *Scientia*, in opposition to philosophy, which he calls *Sapientia*. The same distinction was already made by the Pythagoreans; as may be seen from Stobæus (*Floril.*, vol. i. p. 20), where it is very clearly and neatly explained. But a much happier and more piquant comparison of the relation of the two kinds of mental effort to each other has been so often repeated by the ancients that we no longer know to whom it belongs. Diogenes Laertius (ii. 79) attributes it to Aristippus, Stobæus (*Floril.*, tit. iv. 110) to Aristo of Chios; the Scholiast of Aristotle ascribes it to him (p. 8 of the Berlin edition), but Plutarch (*De Puer. Educ.*, c. 10) attributes it to Bio— “*Qui ajebat, sicut Penelopes proci, quum non possent cum Penelope concumbere, rem cum ejus ancillis habuissent; ita qui philosophiam nequeunt apprehendere eos in alliis nullius pretii disciplinis sese conterere.*” In our predominantly empirical and historical age it can do no harm to recall this.

### Chapter XIII.<sup>25</sup> On The Methods Of Mathematics.

Euclid's method of demonstration has brought forth from its own womb its most striking parody and caricature in the famous controversy on the theory of parallels, and the attempts, which are repeated every year, to prove the eleventh axiom. This axiom asserts, and indeed supports its assertion by the indirect evidence of a third intersecting line, that two lines inclining towards each other (for that is just the meaning of "less than two right angles") if produced far enough must meet — a truth which is supposed to be too complicated to pass as self-evident, and therefore requires a demonstration. Such a demonstration, however, cannot be produced, just because there is nothing that is not immediate. This scruple of conscience reminds me of Schiller's question of law: —

"For years I have used my nose for smelling. Have I, then, actually a right to it that can be proved?" Indeed it seems to me that the logical method is hereby reduced to absurdity. Yet it is just through the controversies about this, together with the vain attempts to prove what is *directly* certain as merely *indirectly* certain, that the self-sufficingness and clearness of intuitive evidence appears in contrast with the uselessness and difficulty of logical proof — a contrast which is no less instructive than amusing. The direct certainty is not allowed to be valid here, because it is no mere logical certainty following from the conceptions, thus resting only upon the relation of the predicate to the subject, according to the principle of contradiction. That axiom, however, is a synthetical proposition *a priori*, and as such has the guarantee of pure, not empirical, perception, which is just as immediate and certain as the principle of contradiction itself, from which all demonstrations first derive their certainty. Ultimately this holds good of every geometrical theorem, and it is quite arbitrary where we draw the line between what is directly certain and what has first to be demonstrated. It surprises me that the eighth axiom is not rather attacked. "Figures which coincide with each other are equal to each other." For "coinciding with each other" is either a mere tautology or something purely empirical which does not belong to pure perception but to external sensuous experience. It presupposes that the figures may be moved; but only matter is movable in space. Therefore this appeal to coincidence leaves pure space —

the one element of geometry — in order to pass over to what is material and empirical.

The reputed motto of the Platonic lecture-room, “Ἀγεωμετρητος μηδεις εισιτω,” of which mathematicians are so proud, was no doubt inspired by the fact that Plato regarded the geometrical figures as intermediate existences between the eternal Ideas and particular things, as Aristotle frequently mentions in his “Metaphysics” (especially i. c. 6, p. 887, 998, *et Scholia*, p. 827, ed. Berol.) Moreover, the opposition between those self-existent eternal forms, or Ideas, and the transitory individual things, was most easily made comprehensible in geometrical figures, and thereby laid the foundation of the doctrine of Ideas, which is the central point of the philosophy of Plato, and indeed his only serious and decided theoretical dogma. In expounding it, therefore, he started from geometry. In the same sense we are told that he regarded geometry as a preliminary exercise through which the mind of the pupil accustomed itself to deal with incorporeal objects, having hitherto in practical life had only to do with corporeal things (*Schol. in Aristot.*, p. 12, 15). This, then, is the sense in which Plato recommended geometry to the philosopher; and therefore one is not justified in extending it further. I rather recommend, as an investigation of the influence of mathematics upon our mental powers, and their value for scientific culture in general, a very thorough and learned discussion, in the form of a review of a book by Whewell in the *Edinburgh Review* of January 1836. Its author, who afterwards published it with some other discussions, with his name, is Sir W. Hamilton, Professor of Logic and Metaphysics in Scotland. This work has also found a German translator, and has appeared by itself under the title, “*Ueber den Werth und Unwerth der Mathematik*” *aus dem Englishen*, 1836. The conclusion the author arrives at is that the value of mathematics is only indirect, and lies in the application to ends which are only attainable through them; but in themselves mathematics leave the mind where they find it, and are by no means conducive to its general culture and development, nay, even a decided hindrance. This conclusion is not only proved by thorough dianoiological investigation of the mathematical activity of the mind, but is also confirmed by a very learned accumulation of examples and authorities. The only direct use which is left to mathematics is that it can accustom restless and unsteady minds to fix their attention. Even Descartes, who was yet himself famous as a mathematician, held the same opinion with regard

to mathematics. In the “*Vie de Descartes par Baillet*,” 1693, it is said, Liv. ii. c. 6, p. 54: “*Sa propre expérience l’avait convaincu du peu d’utilité des mathématiques, surtout lorsqu’on ne les cultive que pour elles mêmes.... Il ne voyait rien de moins solide, que de s’occuper de nombres tout simples et de figures imaginaires,*” &c.



## Chapter XIV. On The Association Of Ideas.

The presence of ideas and thoughts in our consciousness is as strictly subordinated to the principle of sufficient reason in its different forms as the movement of bodies to the law of causality. It is just as little possible that a thought can appear in the mind without an occasion as that a body can be set in motion without a cause. Now this occasion is either *external*, thus an impression of the senses, or *internal*, thus itself also a thought which introduces another thought by means of *association*. This again depends either upon a relation of reason and consequent between the two; or upon similarity, even mere analogy; or lastly upon the circumstance that they were both first apprehended at the same time, which again may have its ground in the proximity in space of their objects. The last two cases are denoted by the word *à propos*. The predominance of one of these three bonds of association of thoughts over the others is characteristic of the intellectual worth of the man. The first named will predominate in thoughtful and profound minds, the second in witty, ingenious, and poetical minds, and the third in minds of limited capacity. Not less characteristic is the degree of facility with which one thought recalls others that stand in any kind of relation to it: this constitutes the activeness of the mind. But the impossibility of the appearance of a thought without its sufficient occasion, even when there is the strongest desire to call it up, is proved by all the cases in which we weary ourselves in vain to *recollect* something, and go through the whole store of our thoughts in order to find any one that may be associated with the one we seek; if we find the former, the latter is also found. Whoever wishes to call up something in his memory first seeks for a thread with which it is connected by the association of thoughts. Upon this depends mnemonics: it aims at providing us with easily found occasioners or causes for all the conceptions, thoughts, or words which are to be preserved. But the worst of it is that these occasioners themselves have first to be recalled, and this again requires an occasioner. How much the occasion accomplishes in memory may be shown in this way. If we have read in a book of anecdotes say fifty anecdotes, and then have laid it aside, immediately afterwards we will sometimes be unable to recollect a single one of them. But if the occasion comes, or if a thought occurs to us which has any analogy with one of those anecdotes, it immediately comes back to

us; and so with the whole fifty as opportunity offers. The same thing holds good of all that we read. Our immediate remembrance of words, that is, our remembrance of them without the assistance of mnemonic contrivances, and with it our whole faculty of speech, ultimately depends upon the direct association of thoughts. For the learning of language consists in this, that once for all we so connect a conception with a word that this word will always occur to us along with this conception, and this conception will always occur to us along with this word. We have afterwards to repeat the same process in learning every new language; yet if we learn a language for passive and not for active use — that is, to read, but not to speak, as, for example, most of us learn Greek — then the connection is one-sided, for the conception occurs to us along with the word, but the word does not always occur to us along with the conception. The same procedure as in language becomes apparent in the particular case, in the learning of every new proper name. But sometimes we do not trust ourselves to connect directly the name of *this* person, or town, river, mountain, plant, animal, &c., with the thought of each so firmly that it will call each of them up of itself; and then we assist ourselves mnemonically, and connect the image of the person or thing with any perceptible quality the name of which occurs in that of the person or thing. Yet this is only a temporary prop to lean on; later we let it drop, for the association of thoughts becomes an immediate support.

The search of memory for a clue shows itself in a peculiar manner in the case of a dream which we have forgotten on awaking, for in this case we seek in vain for that which a few minutes before occupied our minds with the strength of the clearest present, but now has entirely disappeared. We grasp at any lingering impression by which may hang the clue that by virtue of association would call that dream back again into our consciousness. According to Kieser, "*Tellurismus*," Bd. ii. § 271, memory even of what passed in magnetic-somnambular sleep may possibly sometimes be aroused by a sensible sign found when awake. It depends upon the same impossibility of the appearance of a thought without its occasion that if we propose to do anything at a definite time, this can only take place if we either think of nothing else till then, or if at the determined time we are *reminded* of it by something, which may either be an external impression arranged beforehand or a thought which is itself again brought about in the regular way. Both, then, belong to the class of motives. Every morning when we awake our consciousness is a *tabula rasa*, which, however,

quickly fills itself again. First it is the surroundings of the previous evening which now reappear, and remind us of what we thought in these surroundings; to this the events of the previous day link themselves on; and so one thought rapidly recalls the others, till all that occupied us yesterday is there again. Upon the fact that this takes place properly depends the health of the mind, as opposed to madness, which, as is shown in the third book, consists in the existence of great blanks in the memory of past events. But how completely sleep breaks the thread of memory, so that each morning it has to be taken up again, we see in particular cases of the incompleteness of this operation. For example, sometimes we cannot recall in the morning a melody which the night before ran in our head till we were tired of it.

The cases in which a thought or a picture of the fancy suddenly came into our mind without any conscious occasion seem to afford an exception to what has been said. Yet this is for the most part an illusion, which rests on the fact that the occasion was so trifling and the thought itself so vivid and interesting, that the former is instantly driven out of consciousness. Yet sometimes the cause of such an instantaneous appearance of an idea may be an internal physical impression either of the parts of the brain on each other or of the organic nervous system upon the brain.

In general our internal process of thought is in reality not so simple as the theory of it; for here it is involved in many ways. To make the matter clear to our imagination, let us compare our consciousness to a sheet of water of some depth. Then the distinctly conscious thoughts are merely the surface; while, on the other hand, the indistinct thoughts, the feelings, the after sensation of perceptions and of experience generally, mingled with the special disposition of our own will, which is the kernel of our being, is the mass of the water. Now the mass of the whole consciousness is more or less, in proportion to the intellectual activity, in constant motion, and what rise to the surface, in consequence of this, are the clear pictures of the fancy or the distinct, conscious thoughts expressed in words and the resolves of the will. The whole process of our thought and purpose seldom lies on the surface, that is, consists in a combination of distinctly thought judgments; although we strive against this in order that we may be able to explain our thought to ourselves and others. But ordinarily it is in the obscure depths of the mind that the rumination of the materials received from without takes place, through which they are worked up into thoughts; and it goes on

almost as unconsciously as the conversion of nourishment into the humours and substance of the body. Hence it is that we can often give no account of the origin of our deepest thoughts. They are the birth of our mysterious inner life. Judgments, thoughts, purposes, rise from out that deep unexpectedly and to our own surprise. A letter brings us unlooked-for and important news, in consequence of which our thoughts and motives are disordered; we get rid of the matter for the present, and think no more about it; but next day, or on the third or fourth day after, the whole situation sometimes stands distinctly before us, with what we have to do in the circumstances. Consciousness is the mere surface of our mind, of which, as of the earth, we do not know the inside, but only the crust.

But in the last instance, or in the secret of our inner being, what sets in activity the association of thought itself, the laws of which were set forth above, is the *will*, which urges its servant the intellect, according to the measure of its powers, to link thought to thought, to recall the similar, the contemporaneous, to recognise reasons and consequents. For it is to the interest of the will that, in general, one should think, so that one may be well equipped for all cases that may arise. Therefore the form of the principle of sufficient reason which governs the association of thoughts and keeps it active is ultimately the law of motivation. For that which rules the sensorium, and determines it to follow the analogy or other association of thoughts in this or that direction, is the will of the thinking subject. Now just as here the laws of the connection of ideas subsist only upon the basis of the will, so also in the real world the causal connection of bodies really subsists only upon the basis of the will, which manifests itself in the phenomena of this world. On this account the explanation from causes is never absolute and exhaustive, but leads back to forces of nature as their condition, and the inner being of the latter is just the will as thing in itself. In saying this, however, I have certainly anticipated the following book.

But because now the *outward* (sensible) occasions of the presence of our ideas, just as well as the *inner* occasions (those of association), and both independently of each other, constantly affect the consciousness, there arise from this the frequent interruptions of our course of thought, which introduce a certain cutting up and confusion of our thinking. This belongs to its imperfections which cannot be explained away, and which we shall now consider in a separate chapter.

## Chapter XV. On The Essential Imperfections Of The Intellect.

Our self-consciousness has not space but only time as its form, and therefore we do not think in three dimensions, as we perceive, but only in *one*, thus in a line, without breadth or depth. This is the source of the greatest of the essential imperfections of our intellect. We can know all things only in *succession*, and can become conscious of only one at a time, indeed even of this one only under the condition that for the time we forget everything else, thus are absolutely unconscious of everything else, so that for the time it ceases to exist as far as we are concerned. In respect of this quality our intellect may be compared to a telescope with a very narrow field of vision; just because our consciousness is not stationary but fleeting. The intellect apprehends only successively, and in order to grasp one thing must let another go, retaining nothing but traces of it, which are ever becoming weaker. The thought which is vividly present to me now must after a little while have escaped me altogether; and if a good night's sleep intervene, it may be that I shall never find it again, unless it is connected with my personal interests, that is, with my will, which always commands the field.

Upon this imperfection of the intellect depends the disconnected and often *fragmentary nature* of our course of thought, which I have already touched on at the close of last chapter; and from this again arises the unavoidable *distraction* of our thinking. Sometimes external impressions of sense throng in upon it, disturbing and interrupting it, forcing different kinds of things upon it every moment; sometimes *one* thought draws in *another* by the bond of association, and is now itself dislodged by it; sometimes, lastly, the intellect itself is not capable of fixing itself very long and continuously at a time upon *one* thought, but as the eye when it gazes long at one object is soon unable to see it any more distinctly, because the outlines run into each other and become confused, until finally all is obscure, so through long-continued reflection upon one subject our thinking also is gradually confused, becomes dull, and ends in complete stupor. Therefore after a certain time, which varies with the individual, we must for the present give up every meditation or deliberation which has had the fortune to remain undisturbed, but yet has not been brought to an end, even

if it concerns a matter which is most important and pertinent to us; and we must dismiss from our consciousness the subject which interests us so much, however heavily our anxiety about it may weigh upon us, in order to occupy ourselves now with insignificant and indifferent things. During this time that important subject no longer exists for us; it is like the heat in cold water, *latent*. If now we resume it again at another time, we approach it like a new thing, with which we become acquainted anew, although more quickly, and the agreeable or disagreeable impression of it is also produced anew upon our will. We ourselves, however, do not come back quite unchanged. For with the physical composition of the humours and tension of the nerves, which constantly changes with the hours, days, and years, our mood and point of view also changes. Moreover, the different kinds of ideas which have been there in the meantime have left an echo behind them, the tone of which influences the ideas which follow. Therefore the same thing appears to us at different times, in the morning, in the evening, at mid-day, or on another day, often very different; opposite views of it now press upon each other and increase our doubt. Hence we speak of sleeping upon a matter, and for important determinations we demand a long time for consideration. Now, although this quality of our intellect, as springing from its weakness, has its evident disadvantages, yet, on the other hand, it affords the advantage that after the distraction and the physical change we return to our subject as comparatively new beings, fresh and strange, and thus are able to see it repeatedly in very different lights. From all this it is plain that human consciousness and thought is in its nature necessarily fragmentary, on account of which the theoretical and practical results which are achieved by piecing together such fragments are for the most part defective. In this our thinking consciousness is like a magic lantern, in the focus of which only one picture can appear at a time, and each, even if it represents the noblest objects, must yet soon pass away in order to make room for others of a different, and even most vulgar, description. In practical matters the most important plans and resolutions are formed in general; but others are subordinated to these as means to an end, and others again are subordinated to these, and so on down to the particular case that has to be carried out *in concreto*. They do not, however, come to be carried out in the order of their dignity, but while we are occupied with plans which are great and general, we have to contend with the most trifling details and the cares of the moment. In this way our consciousness becomes still more desultory. In

general, theoretical occupations of the mind unfit us for practical affairs, and *vice versâ*.

In consequence of the inevitably distracted and fragmentary nature of all our thinking, which has been pointed out, and the mingling of ideas of different kinds thereby introduced, to which even the noblest human minds are subject, we really have only *half a consciousness* with which to grope about in the labyrinth of our life and the obscurity of our investigations; bright moments sometimes illuminate our path like lightning. But what is to be expected of heads of which even the wisest is every night the scene of the strangest and most senseless dreams, and which has to take up its meditations again on awakening from these? Clearly a consciousness which is subject to such great limitations is little suited for solving the riddle of the world; and such an endeavour would necessarily appear strange and pitiful to a being of a higher order whose intellect had not time as its form, and whose thinking had thus true completeness and unity. Indeed it is really wonderful that we are not completely confused by the very heterogeneous mixture of ideas and fragments of thought of every kind which are constantly crossing each other in our minds, but are yet always able to see our way again and make everything agree together. Clearly there must exist a simpler thread upon which everything ranges itself together: but what is this? Memory alone is not sufficient, for it has essential limitations of which I shall speak shortly, and besides this, it is exceedingly imperfect and untrustworthy. The *logical ego* or even the *transcendental synthetic unity of apperception* are expressions and explanations which will not easily serve to make the matter comprehensible; they will rather suggest to many:

“’Tis true your beard is curly, yet it will not draw you the bolt.”

Kant’s proposition, “The *I think* must accompany all our ideas,” is insufficient; for the “I” is an unknown quantity, *i.e.*, it is itself a secret. That which gives unity and connection to consciousness in that it runs through all its ideas, and is thus its substratum, its permanent supporter, cannot itself be conditioned by consciousness, therefore cannot be an idea. Rather it must be the *prius* of consciousness, and the root of the tree of which that is the fruit. This, I say, is the *will*. It alone is unchangeable and absolutely identical, and has brought forth consciousness for its own ends. Therefore it is also the will which gives it unity and holds together all its ideas and thoughts, accompanying them like a continuous harmony. Without it the intellect would no longer have the unity of consciousness, as a mirror in

which now this and now that successively presents itself, or at the most only so much as a convex mirror whose rays unite in an imaginary point behind its surface. But the *will* alone is that which is permanent and unchangeable in consciousness. It is the will which holds together all thoughts and ideas as means to its ends, and tinges them with the colour of its own character, its mood, and its interests, commands the attention, and holds in its hand the train of motives whose influence ultimately sets memory and the association of ideas in activity; at bottom it is the will that is spoken of whenever “I” appears in a judgment. Thus it is the true and final point of unity of consciousness, and the bond of all its functions and acts; it does not itself, however, belong to the intellect, but is only its root, source, and controller.

From the *form of time and the single dimension* of the series of ideas, on account of which, in order to take up one, the intellect must let all the others fall, there follows not only its distraction, but also its *forgetfulness*. Most of what it lets fall it never takes up again; especially since the taking up again is bound to the principle of sufficient reason, and thus demands an occasion which the association of thoughts and motivation have first to supply; an occasion, however, which may be the more remote and smaller in proportion as our sensibility for it is heightened by our interest in the subject. But memory, as I have already shown in the essay on the principle of sufficient reason, is not a store-house, but merely a faculty acquired by practice of calling up ideas at pleasure, which must therefore constantly be kept in practice by use; for otherwise it will gradually be lost. Accordingly the knowledge even of the learned man exists only *virtualiter* as an acquired facility in calling up certain ideas; *actualiter*, on the other hand, it also is confined to one idea, and is only conscious of this one at a time. Hence arises a strange contrast between what he knows *potentiâ* and what he knows *actu*; that is, between his knowledge and what he thinks at any moment: the former is an immense and always somewhat chaotic mass, the latter is a single distinct thought. The relation resembles that between the innumerable stars of the heavens and the limited field of vision of the telescope; it appears in a striking manner when upon some occasion he wishes to call distinctly to his remembrance some particular circumstance in his knowledge, and time and trouble are required to produce it from that chaos. Rapidity in doing this is a special gift, but is very dependent upon day and hour; therefore memory sometimes refuses us its service, even in



things which at another time it has readily at hand. This consideration calls us in our studies to strive more to attain to correct insight than to increase our learning, and to lay it to heart that the *quality* of knowledge is more important than its *quantity*. The latter imparts to books only thickness, the former thoroughness and also style; for it is an *intensive* quantity, while the other is merely *extensive*. It consists in the distinctness and completeness of the conceptions, together with the purity and accuracy of the knowledge of perception which forms their foundation; therefore the whole of knowledge in all its parts is penetrated by it, and in proportion as it is so is valuable or trifling. With a small quantity, but of good quality, one achieves more than with a very large quantity of bad quality.

The most perfect and satisfactory knowledge is that of perception, but it is limited absolutely to the particular, the individual. The combination of the many and the different in *one* idea is only possible through the *conception*, that is, through the omission of the differences; therefore this is a very imperfect manner of presenting things to the mind. Certainly the particular also can be directly comprehended as a universal, if it is raised to the (Platonic) Idea; but in this process, which I have analysed in the third book, the intellect already passes beyond the limits of individuality, and therefore of time; moreover it is only an exception.

These inner and essential imperfections of the intellect are further increased by a disturbance which, to a certain extent, is external to it, but yet is unceasing — the influence exerted by the will upon all its operations whenever it is in any way concerned in their result. Every passion, indeed every inclination and aversion, tinges the objects of knowledge with its colour. Of most common occurrence is the falsifying of knowledge which is brought about by wishes and hopes, for they picture to us the scarcely possible as probable and well nigh certain, and make us almost incapable of comprehending what is opposed to it: fear acts in a similar way; and every preconceived opinion, every partiality, and, as has been said, every interest, every emotion and inclination of the will, acts in an analogous manner.

To all these imperfections of the intellect we have finally to add this, that it grows old with the brain, that is, like all physiological functions, it loses its energy in later years, whereby all its imperfections are then much increased.

The defective nature of the intellect here set forth will not, however, surprise us if we look back at its origin and destiny as established by me in

the second book. Nature has produced it for the service of an individual will. Therefore it is only designed to know things so far as they afford the motives of such a will, but not to fathom them or comprehend their true being. Human intellect is only a higher gradation of the intellect of the brutes; and as this is entirely confined to the present, our intellect also bears strong traces of this limitation. Therefore our memory and recollection is something very imperfect. How little of all that we have done, experienced, learnt, or read, can we recall! And even this little for the most part only laboriously and imperfectly. For the same reasons is it so very difficult for us to keep ourselves free from the impressions of the present. Unconsciousness is the original and natural condition of all things, and therefore also the basis from which, in particular species of beings, consciousness results as their highest efflorescence; wherefore even then unconsciousness always continues to predominate. Accordingly most existences are without consciousness; but yet they act according to the laws of their nature, *i.e.*, of their will. Plants have at most a very weak analogue of consciousness; the lowest species of animals only the dawn of it. But even after it has ascended through the whole series of animals to man and his reason, the unconsciousness of plants, from which it started, still remains the foundation, and may be traced in the necessity for sleep, and also in all those essential and great imperfections, here set forth, of every intellect produced through physiological functions; and of another intellect we have no conception.

The imperfections here proved to be *essential* to the intellect are constantly increased, however, in particular cases, by *non-essential* imperfections. The intellect is never in *every* respect what it possibly might be. The perfections possible to it are so opposed that they exclude each other. Therefore no man can be at once Plato and Aristotle, or Shakspeare and Newton, or Kant and Goethe. The imperfections of the intellect, on the contrary, consort very well together; therefore in reality it for the most part remains far below what it might be. Its functions depend upon so very many conditions, which we can only comprehend as anatomical and physiological, in the *phenomenon* in which alone they are given us, that a decidedly excelling intellect, even in *one* respect alone, is among the rarest of natural phenomena. Therefore the productions of such an intellect are preserved through thousands of years, indeed every relic of such a highly favoured individual becomes a most valuable treasure. From such an

intellect down to that which approaches imbecility the gradations are innumerable. And primarily, in conformity with these gradations, the *mental horizon* of each of us varies very much from the mere comprehension of the present, which even the brute has, to that which also embraces the next hour, the day, even the morrow, the week, the year, the life, the century, the thousand years, up to that of the consciousness which has almost always present, even though obscurely dawning, the horizon of the infinite, and whose thoughts therefore assume a character in keeping with this. Further, that difference among intelligences shows itself in the rapidity of their thinking, which is very important, and which may be as different and as finely graduated as that of the points in the radius of a revolving disc. The remoteness of the consequents and reasons to which any one's thought can extend seems to stand in a certain relation to the rapidity of his thinking, for the greatest exertion of thought-power in general can only last quite a short time, and yet only while it lasts can a thought be thought out in its complete unity. It therefore amounts to this, how far the intellect can pursue it in so short a time, thus what length of path it can travel in it. On the other hand, in the case of some, rapidity may be made up for by the greater duration of that time of perfectly concentrated thought. Probably the slow and lasting thought makes the mathematical mind, while rapidity of thought makes the genius. The latter is a flight, the former a sure advance upon firm ground, step by step. Yet even in the sciences, whenever it is no longer a question of mere quantities, but of understanding the nature of phenomena, this last kind of thinking is inadequate. This is shown, for example, by Newton's theory of colour, and later by Biot's nonsense about colour rings, which yet agrees with the whole atomistic method of treating light among the French, with its *molécules de lumière*, and in general with their fixed idea of reducing everything in nature to mere mechanical effects. Lastly, the great individual diversity of intelligence we are speaking about shows itself excellently in the *degrees of the clearness of understanding*, and accordingly in the distinctness of *the whole thinking*. To one man that is to understand which to another is only in some degree to observe; the one is already done and at the goal while the other is only at the beginning; to the one that is the solution which to the other is only the problem. This depends on the *quality of thought* and knowledge, which was already referred to above. As in rooms the degree of light varies, so does it in minds. We can detect this *quality of the whole thought* as soon as we have read only a few

pages of an author. For in doing so we have been obliged to understand both with his understanding and in his sense; and therefore before we know all that he has thought we see already how he thinks, what is the *formal* nature, the *texture* of his thinking, which remains the same in everything about which he thinks, and whose expression is the train of thought and the style. In this we feel at once the pace, the flexibleness and lightness, even indeed the soaring power of his mind; or, on the contrary, its dulness, formality, lameness and leaden quality. For, as language is the expression of the mind of a nation, style is the more immediate expression of the mind of an author than even his physiognomy. We throw a book aside when we observe that in it we enter an obscurer region than our own, unless we have to learn from it mere facts, not thoughts. Apart from mere facts, only that author will afford us profit whose understanding is keener and clearer than our own, who forwards our thinking instead of hindering it, like the dull mind that will force us to keep pace with the toad-like course of its thought; thus that author with whose mind it gives us sensible relief and assistance sometimes to think, by whom we feel ourselves borne where we could not have gone alone. Goethe once said to me that if he read a page of Kant he felt as if he entered a brightly lighted room. Inferior minds are so not merely because they are distorted, and therefore judge falsely, but primarily through the *indistinctness* of their whole thinking, which may be compared to seeing through a bad telescope, when all the outlines appear indistinct and as if obliterated, and the different objects run into each other. The weak understanding of such minds shrinks from the demand for distinctness of conceptions, and therefore they do not themselves make this claim upon it, but put up with haziness; and to satisfy themselves with this they gladly have recourse to *words*, especially such as denote indefinite, very abstract, unusual conceptions which are hard to explain; such, for example, as infinite and finite, sensible and supersensible, the Idea of being, Ideas of the reason, the absolute, the Idea of the good, the divine, moral freedom, power of spontaneous generation, the absolute Idea, subject-object, &c. The like of these they confidently fling about, imagine they really express thoughts, and expect every one to be content with them; for the highest summit of wisdom which they can see is to have at command such ready-made words for every possible question. This immense *satisfaction in words* is thoroughly characteristic of inferior minds. It depends simply upon their incapacity for distinct conceptions, whenever these must rise above the most trivial and

simple relations. Hence upon the weakness and indolence of their intellect, and indeed upon the secret consciousness of this, which in the case of scholars is bound up with the early learnt and hard necessity of passing themselves off as thinking beings, to meet which demand in all cases they keep such a suitable store of ready-made words. It must really be amusing to see a professor of philosophy of this kind in the chair, who *bonâ fide* delivers such a juggle of words destitute of thoughts, quite sincerely, under the delusion that they are really thoughts, and in front of him the students, who just as *bonâ fide*, i.e., under the same delusion, listen attentively and take notes, while yet in reality neither the one nor the other goes beyond the words, but rather these words themselves, together with the audible scratching of pens, are the only realities in the whole matter. This peculiar *satisfaction in words* has more than anything else to do with the perpetuation of errors. For, relying on the words and phrases received from his predecessors, each one confidently passes over obscurities and problems, and thus these are propagated through centuries from book to book; and the thinking man, especially in youth, is in doubt whether it may be that he is incapable of understanding it, or that there is really nothing here to understand; and similarly, whether for others the problem which they all slink past with such comical seriousness by the same path is no problem at all, or whether it is only that they will not see it. Many truths remain undiscovered simply on this account, that no one has the courage to look the problem in the face and grapple with it. On the contrary, the distinctness of thought and clearness of conceptions peculiar to eminent minds produces the effect that even known truths when brought forward by them gain new light, or at least a new stimulus. If we hear them or read them, it is as if we exchanged a bad telescope for a good one. Let one only read, for example, in Euler's "Letters to the Princess," his exposition of the fundamental truths of mechanics and optics. Upon this rests the remark of Diderot in the *Neveu de Rameau*, that only the perfect masters are capable of teaching really well the elements of a science; just because it is only they who really understand the questions, and for them words never take the place of thoughts.

But we ought to know that inferior minds are the rule, good minds the exception, eminent minds very rare, and genius a portent. How otherwise could a human race consisting of about eight hundred million individuals have left so much after six thousand years to discover, to invent, to think

out, and to say? The intellect is calculated for the support of the individual alone, and as a rule it is only barely sufficient even for this. But nature has wisely been very sparing of conferring a larger measure; for the man of limited intelligence can survey the few and simple relations which lie within reach of his narrow sphere of action, and can control the levers of them with much greater ease than could the eminently intellectual man who commands an incomparably larger sphere and works with long levers. Thus the insect sees everything on its stem or leaf with the most minute exactness, and better than we, and yet is not aware of the man who stands within three steps of it. This is the reason of the slyness of half-witted persons, and the ground of the paradox: *Il y a un mystère dans l'esprit des gens qui n'en ont pas*. For practical life genius is about as useful as an astral telescope in a theatre. Thus, with regard to the intellect nature is highly *aristocratic*. The distinctions which it has established are greater than those which are made in any country by birth, rank, wealth, or caste. But in the aristocracy of intellect, as in other aristocracies, there are many thousands of plebeians for one nobleman, many millions for one prince, and the great multitude of men are mere populace, mob, rabble, *la canaille*. Now certainly there is a glaring contrast between the scale of rank of nature and that of convention, and their agreement is only to be hoped for in a golden age. Meanwhile those who stand very high in the one scale of rank and in the other have this in common, that for the most part they live in exalted isolation, to which Byron refers when he says: —

“To feel me in the solitude of kings  
Without the power that makes them bear a crown.”  
— *Proph. of Dante*, c. i.

For intellect is a differentiating, and therefore a separating principle. Its different grades, far more than those of mere culture, give to each man different conceptions, in consequence of which each man lives to a certain extent in a different world, in which he can directly meet those only who are like himself, and can only attempt to speak to the rest and make himself understood by them from a distance. Great differences in the grade and in the cultivation of the understanding fix a wide gulf between man and man, which can only be crossed by benevolence; for it is, on the contrary, the unifying principle, which identifies every one else with its own self. Yet the connection remains a moral one; it cannot become intellectual. Indeed,

when the degree of culture is about the same, the conversation between a man of great intellect and an ordinary man is like the journey together of two men, one of whom rides on a spirited horse and the other goes on foot. It soon becomes very trying to both of them, and for any length of time impossible. For a short way the rider can indeed dismount, in order to walk with the other, though even then the impatience of his horse will give him much to do.

But the public could be benefited by nothing so much as by the recognition of that *intellectual aristocracy of nature*. By virtue of such recognition it would comprehend that when facts are concerned, thus when the matter has to be decided from experiments, travels, codes, histories, and chronicles, the normal mind is certainly sufficient; but, on the other hand, when mere thoughts are in question, especially those thoughts the material or data of which are within reach of every one, thus when it is really only a question of *thinking before* others, decided reflectiveness, native eminence, which only nature bestows, and that very seldom, is inevitably demanded, and no one deserves to be heard who does not at once give proofs of this. If the public could be brought to see this for itself, it would no longer waste the time which is sparingly measured out to it for its culture on the productions of ordinary minds, thus on the innumerable botches of poetry and philosophy which are produced every day. It would no longer seize always what is newest, in the childish delusion that books, like eggs, must be enjoyed while they are fresh, but would confine itself to the works of the few select and chosen minds of all ages and nations, would strive to learn to know and understand them, and might thus by degrees attain to true culture. And then, also, those thousands of uncalled-for productions which, like tares, hinder the growth of the good wheat would be discontinued.

## Chapter XVI.<sup>26</sup> On The Practical Use Of Reason And On Stoicism.

In the seventh chapter I have shown that, in the theoretical sphere, procedure based upon *conceptions* suffices for mediocre achievements only, while great achievements, on the other hand, demand that we should draw from perception itself as the primary source of all knowledge. In the practical sphere, however, the converse is the case. Here determination by what is perceived is the way of the brutes, but is unworthy of man, who has *conceptions* to guide his conduct, and is thus emancipated from the power of what is actually perceptibly present, to which the brute is unconditionally given over. In proportion as a man makes good this prerogative his conduct may be called *rational*, and only in this sense can we speak of *practical reason*, not in the Kantian sense, the inadmissibility of which I have thoroughly exposed in my prize essay on the foundation of morals.

It is not easy, however, to let oneself be determined by *conceptions* alone; for the directly present external world, with its perceptible reality, intrudes itself forcibly even on the strongest mind. But it is just in conquering this impression, in destroying its illusion, that the human spirit shows its worth and greatness. Thus if incitements to lust and pleasure leave it unaffected, if the threats and fury of enraged enemies do not shake it, if the entreaties of erring friends do not make its purpose waver, and the delusive forms with which preconcerted plots surround it leave it unmoved, if the scorn of fools and of the vulgar herd does not disturb it nor trouble it as to its own worth, then it seems to stand under the influence of a spirit-world, visible to it alone (and this is the world of conceptions), before which that perceptibly present world which lies open to all dissolves like a phantom. But, on the other hand, what gives to the external world and visible reality their great power over the mind is their nearness and directness. As the magnetic needle, which is kept in its position by the combined action of widely distributed forces of nature embracing the whole earth, can yet be perturbed and set in violent oscillation by a small piece of iron, if only it comes quite close to it, so even a great mind can sometimes be disconcerted and perturbed by trifling events and insignificant men, if only they affect it very closely, and the deliberate purpose can be for the moment shaken by a trivial but immediately present counter motive. For the



influence of the motives is subject to a law which is directly opposed to the law according to which weights act on a balance, and in consequence of it a very small motive, which, however, lies very near to us, can outweigh one which in itself is much stronger, but which only affects us from a distance. But it is this quality of the mind, by reason of which it allows itself to be determined in accordance with this law, and does not withdraw itself from it by the strength of actual practical reason, which the ancients denoted by *animi impotentia*, which really signifies *ratio regendæ voluntatis impotens*. Every *emotion* (*animi perturbatio*) simply arises from the fact that an idea which affects our will comes so excessively near to us that it conceals everything else from us, and we can no longer see anything but it, so that for the moment we become incapable of taking account of things of another kind. It would be a valuable safeguard against this if we were to bring ourselves to regard the present, by the assistance of imagination, as if it were past, and should thus accustom our apperception to the epistolary style of the Romans. Yet conversely we are very well able to regard what is long past as so vividly present that old emotions which have long been asleep are thereby reawakened in their full strength. Thus also no one would be irritated or disconcerted by a misfortune, a disappointment, if reason always kept present to him what man really is: the most needy of creatures, daily and hourly abandoned to innumerable misfortunes, great and small, το δειλοτατον ζων, who has therefore to live in constant care and fear. Herodotus already says, “Παν εστι ανθρωπος συμφορα” (*homo totus est calamitas*).

The application of reason to practice primarily accomplishes this. It reconstructs what is one-sided and defective in knowledge of mere perception, and makes use of the contrasts or oppositions which it presents, to correct each other, so that thus the objectively true result is arrived at. For example, if we look simply at the bad action of a man we will condemn him; on the other hand, if we consider merely the need that moved him to it, we will compassionate him: reason, by means of its conceptions, weighs the two, and leads to the conclusion that he must be restrained, restricted, and curbed by a proportionate punishment.

I am again reminded here of Seneca's saying: “*Si vis tibi omnia subjicere, te subijce rationi.*” Since, however, as was shown in the fourth book, the nature of suffering is positive, and that of pleasure negative, he who takes abstract or rational knowledge as the rule of his conduct, and

therefore constantly reflects on its consequences and on the future, will very frequently have to practise *sustine et abstine*, for in order to obtain the life that is most free from pain he generally sacrifices its keenest joys and pleasures, mindful of Aristotle's "ὁ φρονιμος το αλυπον διωκει, ου το ηδυν" (*quod dolore vacat, non quod suave est, persequitur vir prudens*). Therefore with him the future constantly borrows from the present, instead of the present borrowing from the future, as is the case with a frivolous fool, who thus becomes impoverished and finally bankrupt. In the case of the former reason must, for the most part, assume the *rôle* of a churlish mentor, and unceasingly call for renunciations, without being able to promise anything in return, except a fairly painless existence. This rests on the fact that reason, by means of its conceptions, surveys the *whole* of life, whose outcome, in the happiest conceivable case, can be no other than what we have said.

When this striving after a painless existence, so far as it might be attainable by the application of and strict adherence to rational reflection and acquired knowledge of the true nature of life, was carried out with the greatest consistency and to the utmost extreme, it produced cynicism, from which stoicism afterwards proceeded. I wish briefly here to bring this out more fully for the sake of establishing more firmly the concluding exposition of our first book.

All ancient moral systems, with the single exception of that of Plato, were guides to a happy life. Accordingly in them the end of virtue was entirely in this life, not beyond death. For to them it is only the right path to a truly happy life; and on this account the wise choose it. Hence arise those lengthy debates chiefly preserved for us by Cicero, those keen and constantly renewed investigations, whether virtue quite alone and in itself is really sufficient for a happy life, or whether this further requires some external condition; whether the virtuous and wise may also be happy on the rack and the wheel, or in the bull of Phalaris; or whether it does not go as far as this. For certainly this would be the touchstone of an ethical system of this kind; the practice of it must give happiness directly and unconditionally. If it cannot do this it does not accomplish what it ought, and must be rejected. It is therefore with truth and in accordance with the Christian point of view that Augustine prefaces his exposition of the moral systems of the ancients (*De Civ. Dei*, Lib. xix. c. 1) with the explanation: "*Exponenda sunt nobis argumenta mortalium, quibus sibi ipsi beatitudinem*

*facere in hujus vitæ infelicitate moliti sunt; ut ab eorum rebus vanis spes nostra quid differat clarescat. De finibus bonorum et malorum multa inter se philosophi disputarunt; quam quæstionem maxima intentione versantes, invenire conati sunt, quid efficiat hominem beatum: illud enim est finis bonorum.*” I wish to place beyond all doubt the eudæmonistic end which we have ascribed to all ancient ethics by several express statements of the ancients themselves. Aristotle says in the “*Eth. Magna*,” i. 4: “Ἡ εὐδαιμονία ἐν τῷ ἐν ζῆν ἐστὶ, τὸ δὲ εὖ ζῆν ἐν τῷ κατὰ τὰς ἀρετὰς ζῆν.” (*Felicitas in bene vivendo posita est: verum bene vivere est in eo positum, ut secundum virtutem vivamus*), with which may be compared “*Eth. Nicom.*,” i. 5. “*Cic. Tusc.*,” v. 1: “*Nam, quum ea causa impulerit eos, qui primi se ad philosophiæ studia contulerunt, ut, omnibus rebus posthabitis, totos se in optimo vitæ statu exquirendo collocarent; profecto spe beate vivendi tantam in eo studio curam operamque posuerunt*”. According to Plutarch (*De Repugn. Stoic.*, c. xviii.) Chrysippus said: “Τὸ κατὰ κακίαν ζῆν τῷ κακοδαιμονῶς ζῆν ταῦτον ἐστὶ.” (*Vitiose vivere idem est quod vivere infelicer*.) Ibid., c. 26: “Ἡ φρονησις οὐχ ἕτερον ἐστὶ τῆς εὐδαιμονίας καθ’ ἑαυτο, ἀλλ’ εὐδαιμονία.” (*Prudentia nihil differt a felicitate, estque ipsa adeo felicitas*.) “*Stob. Ecl.*,” Lib. ii. c. 7: “Τέλος δὲ φασὶν εἶναι τὸ εὐδαιμονεῖν, ὃν ἔνεκα πάντα πράττεται.” (*Finem esse dicunt felicitatem, cujus causa fiunt omnia*.) “Εὐδαιμονίαν συνωνύμειν τῷ τελεῖ λεγουσι.” (*Finem bonorum et felicitatem synonyma esse dicunt*.) “*Arrian Diss. Epict.*,” i. 4: “Ἡ ἀρετὴ ταύτην ἔχει τὴν ἐπαγγελίαν, εὐδαιμονίαν ποιῆσαι.” (*Virtus profitetur, se felicitatem præstare*.) Sen., Ep. 90: “*Ceterum (sapientia) ad beatum statum tendit, illo ducit, illo vias aperit*.” — Id., Ep. 108: “*Illud admoneo auditionem philosophorum, lectionemque, ad propositum beatæ vitæ trahendum*.”

The ethics of the Cynics also adopted this end of the happiest life, as the Emperor Julian expressly testifies (*Orat. vi.*): “Τῆς Κυνικῆς δὲ φιλοσοφίας σκοπὸς μὲν ἐστὶ καὶ τέλος, ὥσπερ δὴ καὶ πάσης φιλοσοφίας, τὸ εὐδαιμονεῖν; τὸ δὲ εὐδαιμονεῖν ἐν τῷ ζῆν κατὰ φύσιν, ἀλλὰ μὴ πρὸς τὰς τῶν πολλῶν δοξὰς.” (*Cynicæ philosophiæ ut etiam omnis philosophiæ, scopus et finis est feliciter vivere: felicitas vitæ autem in eo posita est, ut secundum naturam vivatur, nec vero secundum opiniones multitudinis*.) Only the Cynics followed quite a peculiar path to this end, a path directly

opposed to the ordinary one — the path of extreme privation. They start from the insight that the motions of the will which are brought about by the objects which attract and excite it, and the wearisome, and for the most part vain, efforts to attain these, or, if they are attained, the fear of losing them, and finally the loss itself, produce far greater pain than the want of all these objects ever can. Therefore, in order to attain to the life that is most free from pain, they chose the path of the extremest destitution, and fled from all pleasures as snares through which one was afterwards handed over to pain. But after this they could boldly scorn happiness and its caprices. This is the *spirit of cynicism*. Seneca distinctly expresses it in the eighth chapter, “*De Tranquillitate Animi*.” “*Cogitandum est, quanto levior dolor sit, non habere, quam perdere: et intelligemus paupertati eo minorem tormentorum, quo minorem damnorum esse materiam.*” Then: “*Tolerabilius est, faciliusque, non acquirere, quam amittere.... Diogenes effecit, ne quid sibi eripi posset, ... qui se fortuitis omnibus exuit.... Videtur mihi dixisse; age tuum negotium, fortuna: nihil apud Diogenem jam tuum est.*” The parallel passage to this last sentence is the quotation of Stobæus (*Ecl.* ii. 7): “Διογενης εφη νομιζειν ὄραν την Τυχην ενορωσαν αυτον και λεγουσαν; τουτον δ’ ου δυναμαι βαλειν κυνα λυσσητηρα.” (*Diogenes credere se dixit, videre Fortunam, ipsum intuentem, ac dicentem: aut hunc non potui tetigisse canem rabiosum.*) The same spirit of cynicism is also shown in the epitaph on Diogenes, in Suidas, under the word Φιλισκος, and in “*Diogenes Laertius*,” vi. 2:

“Τηρασκει μεν χαλκος ὑπο χρονου; αλλα σον ουτι  
Κυδος ὁ πας αιων, Διογενης, καθελει;  
Μουνος επει βιοτης αυταρκεα δοξαν εδειξας  
Θνητοις, και ζωης οιμον ελαφροτατην.”  
(*Æra quidem absumit tempus, sed tempore numquam  
Interitura tua est gloria, Diogenes:  
Quandoquidem ad vitam miseris mortalibus æquam  
Monstrata est facilis, te duce, et ampla via.*)

Accordingly the fundamental thought of cynicism is that life in its simplest and nakedest form, with the hardships that belong to it by nature, is the most endurable, and is therefore to be chosen; for every assistance, convenience, gratification, and pleasure by means of which men seek to make life more agreeable only brings with it new and greater ills than

originally belonged to it. Therefore we may regard the following sentence as the expression of the kernel of the doctrine of cynicism: “Διογενής εβόα πολλάκις λέγων, τον των ανθρωπων βιον ραδιον ὑπο των θεων δεδοσθαι, αποκεκρυφθαι δε αυτον ζητούντων μελιπηκτα και μυρα και τα παραπλησια.” (*Diogenes clamabat sæpius, hominum vitam facilem a diis dari, verum occultari illam quærentibus mellita cibaria, unguenta et his similia.*) (*Diog., Laert., vi. 2.*) And further: “Δεον, αντι των αχρηστων πονων, τους κατα φυσιν ἐλομενους, ζην ευδαιμονως; παρα την ανοιαν κακοδαιμονουσι.... τον αυτον χαρακτηρα του βιου λεγων διεξαγειν, ὄνπερ και Ἡρακλῆς, μηδεν ελευθηριας προκρινων.” (*Quum igitur, repudiatis inutilibus laboribus, naturales insequi, ac vivere beate debeamus, per summam dementia infelices sumus.... eandem vitæ formam, quam Hercules, se vivere affirmans, nihil libertati præferens. Ibid.*) Therefore the old, genuine Cynics, Antisthenes, Diogenes, Krates, and their disciples had once for all renounced every possession, all conveniences and pleasures, in order to escape for ever from the troubles and cares, the dependence and the pains, which are inevitably bound up with them and are not counterbalanced by them. Through the bare satisfaction of the most pressing wants and the renunciation of everything superfluous they thought they would come off best. Accordingly they contented themselves with what in Athens or Corinth was to be had almost for nothing, such as lupines, water, an old threadbare cloak, a wallet, and a staff. They begged occasionally, as far as was necessary to supply such wants, but they never worked. Yet they accepted absolutely nothing that exceeded the wants referred to above. Independence in the widest sense was their aim. They occupied their time in resting, going about, talking with all men, and much mocking, laughing, and joking; their characteristic was carelessness and great cheerfulness. Since now in this manner of life they had no aims of their own, no purposes or ends to pursue, thus were lifted above the sphere of human action, and at the same time always enjoyed complete leisure, they were admirably fitted, as men of proved strength of mind, to be the advisers and admonishers of the rest. Therefore Apuleius says (*Florid., iv.*): “*Crates, ut lar familiaris apud homines suæ ætatis cultus est. Nulla domus ei unquam clausa erat: nec erat patrisfamilias tam absconditum secretum, quin eo tempestive Crates interveniret, litium omnium et jurgiorum inter propinquos disceptator et arbiter.*” Thus in this, as in so many other respects, they show

a great likeness to the mendicant friars of modern times, that is, to the better and more genuine among them, whose ideal may be seen in the Capucine Christoforo in Manzoni's famous romance. Yet this resemblance lies only in the effects, not in the cause. They agree in the result, but the fundamental thought of the two is quite different. With the friars, as with the Sannyâsis, who are akin to them, it is an aim which transcends life; but with the Cynics it is only the conviction that it is easier to reduce their wishes and their wants to the *minimum*, than to attain to the *maximum* in their satisfaction, which indeed is impossible, for with their satisfaction the wishes and wants grow *ad infinitum*; therefore, in order to reach the goal of all ancient ethics, the greatest happiness possible in this life, they took the path of renunciation as the shortest and easiest: "ὁθεν καὶ τὸν Κυνισμὸν εἰρηκάσιν συντομὸν ἐπ' ἀρετὴν ὁδόν." (*Unde Cynismus dixere compendiosam ad virtutem viam.*) *Diog. Laert.*, vi. 9. The fundamental difference between the spirit of cynicism and that of asceticism comes out very clearly in the humility which is essential to the ascetic, but is so foreign to the Cynic that, on the contrary, he is distinguished beyond everything else for pride and scorn: —

"*Sapiens uno minor est Jove, dives,*

*Liber, honoratus, pulcher, rex denique regum.*" — *Hor.*

On the other hand, the view of life held by the Cynics agrees in spirit with that of J. J. Rousseau as he expounds it in the "*Discours sur l'Origine de l'Inégalité.*" For he also would wish to lead us back to the crude state of nature, and regards the reduction of our wants to the minimum as the surest path to happiness. For the rest, the Cynics were exclusively *practical* philosophers: at least no account of their theoretical philosophy is known to me.

Now the Stoics proceeded from them in this way — they changed the practical into the theoretical. They held that the *actual* dispensing with everything that can be done without is not demanded, but that it is sufficient that we should regard possessions and pleasures constantly as *dispensable*, and as held in the hand of chance; for then the actual deprivation of them, if it should chance to occur, would neither be unexpected nor fall heavily. One might always have and enjoy everything; only one must ever keep present the conviction of the worthlessness and dispensableness of these good things on the one hand, and of their uncertainty and perishableness on the other, and therefore prize them all very little, and be always ready to give

them up. Nay more, he who must actually dispense with these things in order not to be moved by them, thereby shows that in his heart he holds them to be truly good things, which one must put quite out of sight if one is not to long after them. The wise man, on the other hand, knows that they are not good things at all, but rather perfectly indifferent things, ἀδιαφορά, in any case προηγμένα. Therefore if they present themselves he will accept them, but yet is always ready to let them go again, if chance, to which they belong, should demand them back; for they are τῶν οὐκ ἐφ' ἡμῖν. In this sense, Epictetus, chap. vii., says that the wise man, like one who has landed from a ship, &c., will also let himself be comforted by a wife or a child, but yet will always be ready, whenever the captain calls, to let them go again. Thus the Stoics perfected the theory of equanimity and independence at the cost of the practice, for they reduced everything to a mental process, and by arguments, such as are presented in the first chapter of Epictetus, sophisticated themselves into all the amenities of life. But in doing so they left out of account that everything to which one is accustomed becomes a need, and therefore can only be given up with pain; that the will does not allow itself to be played with, cannot enjoy without loving the pleasures; that a dog does not remain indifferent if one draws a piece of meat through its mouth, and neither does a wise man if he is hungry; and that there is no middle path between desiring and renouncing. But they believed that they satisfied their principles if, sitting at a luxurious Roman table, they left no dish untasted, yet at the same time protested that they were each and all of them mere προηγμένα, not ἀγαθὰ; or in plain English, if they eat, drank, and were merry, yet gave no thanks to God for it all, but rather made fastidious faces, and persisted in boldly asserting that they gained nothing whatever from the whole feast. This was the expedient of the Stoics; they were therefore mere braggarts, and stand to the Cynics in much the same relation as well-fed Benedictines and Augustines stand to Franciscans and Capucines. Now the more they neglected practice, the more they refined the theory. I shall here add a few proofs and supplementary details to the exposition of it given at the close of our first book.

If we search in the writings of the Stoics which remain to us, all of which are unsystematically composed, for the ultimate ground of that irrefragible equanimity which is unceasingly demanded of us, we find no other than the knowledge that the course of the world is entirely independent of our will, and consequently, that the evil which befalls us is inevitable. If we have

regulated our claims by a correct insight into this, then mourning, rejoicing, fearing, and hoping are follies of which we are no longer capable. Further, especially in the commentaries of Arrian, it is surreptitiously assumed that all that is οὐκ ἐφ' ἡμῖν (*i.e.*, does not depend upon us) is at once also οὐ πρὸς ἡμᾶς (*i.e.*, does not concern us). Yet it remains true that all the good things of life are in the power of chance, and therefore whenever it makes use of this power to deprive us of them, we are unhappy if we have placed our happiness in them. From this unworthy fate we are, in the opinion of the Stoics, delivered by the right use of reason, by virtue of which we regard all these things, never as ours, but only as lent to us for an indefinite time; only thus can we never really lose them. Therefore Seneca says (Ep. 98): “*Si, quid humanarum rerum varietas possit, cogitaverit, ante quam senserit,*” and Diogenes Laertius (vii. 1. 87): “Ἴσον δε εστι το κατ’ ἀρετην ζῆν τῷ κατ’ ἐμπειριαν τῶν φύσει συμβαινόντων ζῆν.” (*Secundum virtutem vivere idem est, quod secundum experientiam eorum, quæ secundum naturam accidunt, vivere.*) The passage in Arrian’s “Discourses of Epictetus,” B. iii., c. 24, 84-89, is particularly in point here; and especially, as a proof of what I have said in this reference in § 16 of the first volume, the passage: “Τοῦτο γὰρ εστι το αἷτιον τοῖς ἀνθρώποις πάντων τῶν κακῶν το τὰς προλήψεις τὰς κοινὰς μὴ δύνασθαι ἐφαρμοζεῖν τοῖς ἐπὶ μεροῦς,” Ibid. iv., 1. 42. (*Hæc enim causa est hominibus omnium malorum, quod anticipationes generales rebus singularibus accommodare non possunt.*) Similarly the passage in “Marcus Aurelius” (iv. 29): “Ἐὶ ξένος κόσμου ὃ μὴ γνωρίζων τὰ ἐν αὐτῷ ὄντα, οὐχ ἥττον ξένος καὶ ὁ μὴ γνωρίζων τὰ γιγνόμενα,” that is: “If he is a stranger to the universe who does not know what is in it, no less is he a stranger who does not know how things go on in it.” Also Seneca’s eleventh chapter, “*De Tranquillitate Animi,*” is a complete proof of this view. The opinion of the Stoics amounts on the whole to this, that if a man has watched for a while the juggling illusion of happiness and then uses his reason, he must recognise both the rapid changes of the dice and the intrinsic worthlessness of the counters, and therefore must henceforth remain unmoved. Taken generally the Stoical point of view may be thus expressed: our suffering always arises from the want of agreement between our wishes and the course of the world. Therefore one of these two must be changed and adapted to the other. Since now the course of things is not in our power (οὐκ ἐφ' ἡμῖν), we must direct our volitions and desires according to the course



of things: for the will alone is εφ' ἡμιν. This adaptation of volition to the course of the external world, thus to the nature of things, is very often understood under the ambiguous κατα φυσιν ζην. See the "Discourses of Epictetus," ii. 17, 21, 22. Seneca also denotes this point of view (Ep. 119) when he says: "*Nihil interest, utrum non desideres, an habeas. Summa rei in utroque est eadem: non torqueberis.*" Also Cicero (*Tusc.* iv. 26) by the words: "*Solum habere velle, summa dementia est.*" Similarly Arrian (iv. 1. 175): "Ου γαρ εκπληρωσει των επιθυμουμενων ελευθερια παρασκευαζεται, αλλα ανασκευη της επιθυμιας." (*Non enim explendis desideriis libertas comparatur, sed tollenda cupiditate.*)

The collected quotations in the "*Historia Philosophiæ Græco-Romanæ*" of Ritter and Preller may be taken as proofs of what I have said, in the place referred to above, about the ὁμολογουμενως ζην of the Stoics. Also the saying of Seneca (Ep. 31, and again Ep. 74): "*Perfecta virtus est æqualitas et tenor vitæ per omnia consonans sibi.*" The following passage of Seneca's indicates the spirit of the Stoa generally (Ep. 92): "*Quid est beata vita? Securitas et perpetua tranquillitas. Hanc dabit animi magnitudo, dabit constantia bene judicati tenax.*" A systematical study of the Stoics will convince every one that the end of their ethics, like that of the ethics of Cynicism from which they sprang, is really nothing else than a life as free as possible from pain, and therefore as happy as possible. Whence it follows that the Stoical morality is only a special form of *Eudæmonism*. It has not, like the Indian, the Christian, and even the Platonic ethics, a metaphysical tendency, a transcendental end, but a completely immanent end, attainable in this life; the steadfast serenity (αταραξια) and unclouded happiness of the wise man, whom nothing can disturb. Yet it cannot be denied that the later Stoics, especially Arrian, sometimes lose sight of this end, and show a really ascetic tendency, which is to be attributed to the Christian and Oriental spirit in general which was then already spreading. If we consider closely and seriously the goal of Stoicism, that αταραξια, we find in it merely a hardening and insensibility to the blow of fate which a man attains to because he keeps ever present to his mind the shortness of life, the emptiness of pleasure, the instability of happiness, and has also discerned that the difference between happiness and unhappiness is very much less than our anticipation of both is wont to represent. But this is yet no state of happiness; it is only the patient endurance of sufferings which one has

foreseen as irremediable. Yet magnanimity and worth consist in this, that one should bear silently and patiently what is irremediable, in melancholy peace, remaining always the same, while others pass from rejoicing to despair and from despair to rejoicing. Accordingly one may also conceive of Stoicism as a spiritual hygiene, in accordance with which, just as one hardens the body against the influences of wind and weather, against fatigue and exertion, one has also to harden one's mind against misfortune, danger, loss, injustice, malice, perfidy, arrogance, and the folly of men.

I remark further, that the καθήκοντα of the Stoics, which Cicero translates *officia*, signify as nearly as possible *Obliegenheiten*, or that which it befits the occasion to do; English, *incumbencies*; Italian, *quel che tocca a me di fare, o di lasciare*, thus what *it behoves* a reasonable man to do. Cf. *Diog. Laert.*, vii. 1. 109. Finally, the *pantheism* of the Stoics, though absolutely inconsistent with many an exhortation of Arrian, is most distinctly expressed by Seneca: "*Quid est Deus? Mens universi. Quid est Deus? Quod vides totum, et quod non vides totum. Sic demum magnitudo sua illi redditur, qua nihil majus excogitari potest: si solus est omnia, opus suum et extra, et intra tenet.*" (*Quæst. Natur.* 1, *præfatio* 12.)

## Chapter XVII.<sup>27</sup> On Man's Need Of Metaphysics.

With the exception of man, no being wonders at its own existence; but it is to them all so much a matter of course that they do not observe it. The wisdom of nature speaks out of the peaceful glance of the brutes; for in them the will and the intellect are not yet so widely separated that they can be astonished at each other when they meet again. Thus here the whole phenomenon is still firmly attached to the stem of nature from which it has come, and is partaker of the unconscious omniscience of the great mother. Only after the inner being of nature (the will to live in its objectification) has ascended, vigorous and cheerful, through the two series of unconscious existences, and then through the long and broad series of animals, does it attain at last to reflection for the first time on the entrance of reason, thus in man. Then it marvels at its own works, and asks itself what it itself is. Its wonder however is the more serious, as it here stands for the first time consciously in the presence of *death*, and besides the finiteness of all existence, the vanity of all effort forces itself more or less upon it. With this reflection and this wonder there arises therefore for man alone, the *need for a metaphysic*; he is accordingly an *animal metaphysicum*. At the beginning of his consciousness certainly he also accepts himself as a matter of course. This does not last long however, but very early, with the first dawn of reflection, that wonder already appears, which is some day to become the mother of metaphysics. In agreement with this Aristotle also says at the beginning of his metaphysics: “Δια γὰρ τὸ θαυμάζειν οἱ ἄνθρωποι καὶ νῦν καὶ τὸ πρῶτον ἤρξαντο φιλοσοφεῖν.” (*Propter admirationem enim et nunc et primo inceperunt homines philosophari.*) Moreover, the special philosophical disposition consists primarily in this, that a man is capable of wonder beyond the ordinary and everyday degree, and is thus induced to make the *universal* of the phenomenon his problem, while the investigators in the natural sciences wonder only at exquisite or rare phenomena, and their problem is merely to refer these to phenomena which are better known. The lower a man stands in an intellectual regard the less of a problem is existence itself for him; everything, how it is, and that it is, appears to him rather a matter of course. This rests upon the fact that his intellect still remains perfectly true to its original destiny of being serviceable to the will as the medium of motives, and therefore is closely

bound up with the world and nature, as an integral part of them. Consequently it is very far from comprehending the world in a purely objective manner, freeing itself, so to speak, from the whole of things, opposing itself to this whole, and so for a while becoming as if self-existent. On the other hand, the philosophical wonder which springs from this is conditioned in the individual by higher development of the intellect, yet in general not by this alone; but without doubt it is the knowledge of death, and along with this the consideration of the suffering and misery of life, which gives the strongest impulse to philosophical reflection and metaphysical explanation of the world. If our life were endless and painless, it would perhaps occur to no one to ask why the world exists, and is just the kind of world it is; but everything would just be taken as a matter of course. In accordance with this we find that the interest which philosophical and also religious systems inspire has always its strongest hold in the dogma of some kind of existence after death; and although the most recent systems seem to make the existence of their gods the main point, and to defend this most zealously, yet in reality this is only because they have connected their special dogma of immortality with this, and regard the one as inseparable from the other: only on this account is it of importance to them. For if one could establish their doctrine of immortality for them in some other way, their lively zeal for their gods would at once cool, and it would give place almost to complete indifference if, conversely, the absolute impossibility of immortality were proved to them; for the interest in the existence of the gods would vanish with the hope of a closer acquaintance with them, to the residuum which might connect itself with their possible influence on the events of this present life. But if one could prove that continued existence after death is incompatible with the existence of gods, because, let us say, it pre-supposes originality of being, they would soon sacrifice the gods to their own immortality and become zealous for Atheism. The fact that the materialistic systems, properly so-called, and also absolute scepticism, have never been able to obtain a general or lasting influence, depends upon the same grounds.

Temples and churches, pagodas and mosques, in all lands and in all ages, in splendour and vastness, testify to the metaphysical need of man, which, strong and ineradicable, follows close upon his physical need. Certainly whoever is satirically inclined might add that this metaphysical need is a modest fellow who is content with poor fare. It sometimes allows itself to

be satisfied with clumsy fables and insipid tales. If only imprinted early enough, they are for a man adequate explanations of his existence and supports of his morality. Consider, for example, the Koran. This wretched book was sufficient to found a religion of the world, to satisfy the metaphysical need of innumerable millions of men for twelve hundred years, to become the foundation of their morality, and of no small contempt for death, and also to inspire them to bloody wars and most extended conquests. We find in it the saddest and the poorest form of Theism. Much may be lost through the translations; but I have not been able to discover one single valuable thought in it. Such things show that metaphysical capacity does not go hand in hand with the metaphysical need. Yet it will appear that in the early ages of the present surface of the earth this was not the case, and that those who stood considerably nearer than we do to the beginning of the human race and the source of organic nature, had also both greater energy of the intuitive faculty of knowledge, and a truer disposition of mind, so that they were capable of a purer, more direct comprehension of the inner being of nature, and were thus in a position to satisfy the metaphysical need in a more worthy manner. Thus originated in the primitive ancestors of the Brahmans, the Rishis, the almost super-human conceptions which were afterwards set down in the Upanishads of the Vedas.

On the other hand, there have never been wanting persons who were interested in deriving their living from that metaphysical need, and in making the utmost they could out of it. Therefore among all nations there are monopolists and farmers-general of it — the priests. Yet their trade had everywhere to be assured to them in this way, that they received the right to impart their metaphysical dogmas to men at a very early age, before the judgment has awakened from its morning slumber, thus in early childhood; for then every well-impressed dogma, however senseless it may be, remains for ever. If they had to wait till the judgment is ripe, their privileges could not continue.

A second, though not a numerous class of persons, who derive their support from the metaphysical need of man, is constituted by those who live by *philosophy*. By the Greeks they were called Sophists, by the moderns they are called Professors of Philosophy. Aristotle (*Metaph.*, ii. 2) without hesitation numbers Aristippus among the Sophists. In Diogenes Laertius (ii. 65) we find that the reason of this is that he was the first of the Socratics

who accepted payment for his philosophy; on account of which Socrates also returned him his present. Among the moderns also those who live *by* philosophy are not only, as a rule, and with the rarest exceptions, quite different from those who live *for* philosophy, but they are very often the opponents, the secret and irreconcilable enemies of the latter. For every true and important philosophical achievement will overshadow their own too much, and, moreover, cannot adapt itself to the views and limitations of their guild. Therefore it is always their endeavour to prevent such a work from making its way; and for this purpose, according to the age and circumstances in each case, the customary means are suppressing, concealing, hushing up, ignoring and keeping secret, or denying, disparaging, censuring, slandering and distorting, or, finally, denouncing and persecuting. Hence many a great man has had to drag himself wearily through life unknown, unhonoured, unrewarded, till at last, after his death, the world became undeceived as to him and as to them. In the meanwhile they had attained their end, had been accepted by preventing him from being accepted, and, with wife and child, had lived *by* philosophy, while he lived *for* it. But if he is dead, then the thing is reversed; the new generation of the former class, which always exists, now becomes heir to his achievements, cuts them down to its own measure, and now lives *by* him. That Kant could yet live both *by* and *for* philosophy depended on the rare circumstance that, for the first time since *Divus Antoninus* and *Divus Julianus*, a philosopher sat on the throne. Only under such auspices could the “Critique of Pure Reason” have seen the light. Scarcely was the king dead than we see that Kant also, seized with fear, because he belonged to the guild, modified, expurgated, and spoiled his masterpiece in the second edition, and yet was soon in danger of losing his place; so that Campe invited him to come to him, in Brunswick, and live with him as the instructor of his family (Ring., *Ansichten aus Kant's Leben*, p. 68). University philosophy is, as a rule, mere juggling. Its real aim is to impart to the students, in the deepest ground of their thought, that tendency of mind which the ministry that appoints to the professorships regards as consistent with its views. The ministry may also be perfectly right in this from a statesman's point of view; only the result of it is that such philosophy of the chair is a *nervis alienis mobile lignum*, and cannot be regarded as serious philosophy, but as the mere jest of it. Moreover, it is at any rate just that such inspection or guidance should extend only to the

philosophy of the chair, and not to the real philosophy that is in earnest. For if anything in the world is worth wishing for — so well worth wishing for that even the ignorant and dull herd in its more reflective moments would prize it more than silver and gold — it is that a ray of light should fall on the obscurity of our being, and that we should gain some explanation of our mysterious existence, in which nothing is clear but its misery and its vanity. But even if this is in itself attainable, it is made impossible by imposed and compulsory solutions.

We shall now subject to a general consideration the different ways of satisfying this strong metaphysical need.

By *metaphysics* I understand all knowledge that pretends to transcend the possibility of experience, thus to transcend nature or the given phenomenal appearance of things, in order to give an explanation of that by which, in some sense or other, this experience or nature is conditioned; or, to speak in popular language, of that which is behind nature, and makes it possible. But the great original diversity in the power of understanding, besides the cultivation of it, which demands much leisure, makes so great a difference between men, that as soon as a people has emerged from the state of savages, no *one* metaphysic can serve for them all. Therefore among civilised nations we find throughout two different kinds of metaphysics, which are distinguished by the fact that the one has its evidence *in itself*, the other *outside itself*. Since the metaphysical systems of the first kind require reflection, culture, and leisure for the recognition of their evidence, they can be accessible only to a very small number of men; and, moreover, they can only arise and maintain their existence in the case of advanced civilisation. On the other hand, the systems of the second kind exclusively are for the great majority of men who are not capable of thinking, but only of believing, and who are not accessible to reasons, but only to authority. These systems may therefore be called metaphysics of the people, after the analogy of poetry of the people, and also wisdom of the people, by which is understood proverbs. These systems, however, are known under the name of religions, and are found among all nations, not excepting even the most savage. Their evidence is, as has been said, external, and as such is called revelation, which is authenticated by signs and miracles. Their arguments are principally threats of eternal, and indeed also temporal evils, directed against unbelievers, and even against mere doubters. As *ultima ratio theologorum*, we find among many nations the stake or things similar to it.

If they seek a different authentication, or if they make use of other arguments, they already make the transition into the systems of the first kind, and may degenerate into a mixture of the two, which brings more danger than advantage, for their invaluable prerogative of being imparted to *children* gives them the surest guarantee of the permanent possession of the mind, for thereby their dogmas grow into a kind of second inborn intellect, like the twig upon the grafted tree; while, on the other hand, the systems of the first kind only appeal to grown-up people, and in them always find a system of the second kind already in possession of their convictions. Both kinds of metaphysics, whose difference may be briefly expressed by the words *reasoned conviction* and *faith*, have this in common, that every one of their particular systems stands in a hostile relation to all the others of its kind. Between those of the first kind war is waged only with word and pen; between those of the second with fire and sword as well. Several of the latter owe their propagation in part to this last kind of polemic, and all have by degrees divided the earth between them, and indeed with such decided authority that the peoples of the earth are distinguished and separated more according to them than according to nationality or government. They alone *reign*, each in its own province. The systems of the first kind, on the contrary, are at the most *tolerated*, and even this only because, on account of the small number of their adherents, they are for the most part not considered worth the trouble of combating with fire and sword — although, where it seemed necessary, these also have been employed against them with effect; besides, they occur only in a sporadic form. Yet in general they have only been endured in a tamed and subjugated condition, for the system of the second kind which prevailed in the country ordered them to conform their teaching more or less closely to its own. Sometimes it not only subjugated them, but even employed their services and used them as a support, which is however a dangerous experiment. For these systems of the first kind, since they are deprived of power, believe they may advance themselves by craft, and never entirely lay aside a secret ill-will which at times comes unexpectedly into prominence and inflicts injuries which are hard to heal. For they are further made the more dangerous by the fact that all the real sciences, not even excepting the most innocent, are their secret allies against the systems of the second kind, and without themselves being openly at war with the latter, suddenly and unexpectedly do great mischief in their province. Besides, the attempt which is aimed at by the enlistment



referred to of the services of the systems of the first kind by the second — the attempt to add an inner authentication to a system whose original authentication was external, is in its nature perilous; for, if it were capable of such an authentication, it would never have required an external one. And in general it is always a hazardous thing to attempt to place a new foundation under a finished structure. Moreover, how should a religion require the suffrage of a philosophy? It has everything upon its side — revelation, tradition, miracles, prophecies, the protection of the government, the highest rank, as is due to the truth, the consent and reverence of all, a thousand temples in which it is proclaimed and practised, bands of sworn priests, and, what is more than all, the invaluable privilege of being allowed to imprint its doctrines on the mind at the tender age of childhood, whereby they became almost like innate ideas. With such wealth of means at its disposal, still to desire the assent of poor philosophers it must be more covetous, or to care about their contradiction it must be more fearful, than seems to be compatible with a good conscience.

To the distinction established above between metaphysics of the first and of the second kind, we have yet to add the following: — A system of the first kind, thus a philosophy, makes the claim, and has therefore the obligation, in everything that it says, *sensu stricto et proprio*, to be true, for it appeals to thought and conviction. A religion, on the other hand, being intended for the innumerable multitude who, since they are incapable of examination and thought, would never comprehend the profoundest and most difficult truths *sensu proprio*, has only the obligation to be true *sensu allegorico*. Truth cannot appear naked before the people. A symptom of this *allegorical* nature of religions is the *mysteries* which are to be found perhaps in them all, certain dogmas which cannot even be distinctly thought, not to speak of being literally true. Indeed, perhaps it might be asserted that some absolute contradictions, some actual absurdities, are an essential ingredient in a complete religion, for these are just the stamp of its allegorical nature, and the only adequate means of making the ordinary mind and the uncultured understanding *feel* what would be incomprehensible to it, that religion has ultimately to do with quite a different order of things, with an order of *things in themselves*, in the presence of which the laws of this phenomenal world, in conformity with which it must speak, vanish; and that therefore not only the contradictory but also the comprehensible dogmas are really only allegories and

accommodations to the human power of comprehension. It seems to me that it was in this spirit that Augustine and even Luther adhered to the mysteries of Christianity in opposition to Pelagianism, which sought to reduce everything to the dull level of comprehensibility. From this point of view it is also conceivable how Tertullian could say in all seriousness: “*Prorsus credibile est, quia ineptum est: ... certum est, quia impossibile*” (*De Carne Christi*, c. 5). This *allegorical* nature of religions makes them independent of the proofs which are incumbent on philosophy, and in general withdraws them from investigation. Instead of this they require faith, that is, a voluntary admission that such is the state of the case. Since, then, faith guides action, and the allegory is always so framed that, as regards the practical, it leads precisely to that which the truth *sensu proprio* would also lead to, religion is justified in promising to those who believe eternal salvation. Thus we see that in the main, and for the great majority, who cannot apply themselves to thought, religions very well supply the place of metaphysics in general, the need of which man feels to be imperative. They do this partly in a practical interest, as the guiding star of their action, the unfurled standard of integrity and virtue, as Kant admirably expresses it; partly as the indispensable comfort in the heavy sorrows of life, in which capacity they fully supply the place of an objectively true metaphysic, because they lift man above himself and his existence in time, as well perhaps as such a metaphysic ever could. In this their great value and indeed necessity shows itself very clearly. For Plato says, and says rightly, “φιλόσοφον πλῆθος ἀδύνατον εἶναι” (*vulgus philosophum esse impossibile est. De Rep.*, vi. p. 89, *Bip.*) On the other hand, the only stumbling-stone is this, that religions never dare to confess their allegorical nature, but have to assert that they are true *sensu proprio*. They thereby encroach on the province of metaphysics proper, and call forth the antagonism of the latter, which has therefore expressed itself at all times when it was not chained up. The controversy which is so perseveringly carried on in our own day between supernaturalists and rationalists also rests on the failure to recognise the allegorical nature of all religion. Both wish to have Christianity true *sensu proprio*; in this sense the former wish to maintain it without deduction, as it were with skin and hair; and thus they have a hard stand to make against the knowledge and general culture of the age. The latter wish to explain away all that is properly Christian; whereupon they retain something which is neither *sensu proprio* nor *sensu allegorico* true,

but rather a mere platitude, little better than Judaism, or at the most a shallow Pelagianism, and, what is worst, an abject optimism, absolutely foreign to Christianity proper. Moreover, the attempt to found a religion upon reason removes it into the other class of metaphysics, that which has its authentication *in itself*, thus to the foreign ground of the philosophical systems, and into the conflict which these wage against each other in their own arena, and consequently exposes it to the light fire of scepticism and the heavy artillery of the “Critique of Pure Reason;” but for it to venture there would be clear presumption.

It would be most beneficial to both kinds of metaphysics that each of them should remain clearly separated from the other and confine itself to its own province, that it may there be able to develop its nature fully. Instead of which, through the whole Christian era, the endeavour has been to bring about a fusion of the two, for the dogmas and conceptions of the one have been carried over into the other, whereby both are spoiled. This has taken place in the most open manner in our own day in that strange hermaphrodite or centaur, the so-called philosophy of religion, which, as a kind of gnosis, endeavours to interpret the given religion, and to explain what is true *sensu allegorico* through something which is true *sensu proprio*. But for this we would have to know and possess the truth *sensu proprio* already; and in that case such an interpretation would be superfluous. For to seek first to find metaphysics, *i.e.*, the truth *sensu proprio*, merely out of religion by explanation and interpretation would be a doubtful and dangerous undertaking, to which one would only make up one’s mind if it were proved that truth, like iron and other base metals, could only be found in a mixed, not in a pure form, and therefore one could only obtain it by reduction from the mixed ore.

Religions are necessary for the people, and an inestimable benefit to them. But if they oppose themselves to the progress of mankind in the knowledge of the truth, they must with the utmost possible forbearance be set aside. And to require that a great mind — a Shakspeare; a Goethe — should make the dogmas of any religion implicitly, *bonâ fide et sensu proprio*, his conviction is to require that a giant should put on the shoe of a dwarf.

Religions, being calculated with reference to the power of comprehension of the great mass of men, can only have indirect, not immediate truth. To require of them the latter is as if one wished to read the

letters set up in the form-chase, instead of their impression. The value of a religion will accordingly depend upon the greater or less content of truth which it contains under the veil of allegory, and then upon the greater or less distinctness with which it becomes visible through this veil, thus upon the transparency of the latter. It almost seems that, as the oldest languages are the most perfect, so also are the oldest religions. If I were to take the results of my philosophy as the standard of truth, I would be obliged to concede to Buddhism the pre-eminence over the rest. In any case it must be a satisfaction to me to see my teaching in such close agreement with a religion which the majority of men upon the earth hold as their own; for it numbers far more adherents than any other. This agreement, however, must be the more satisfactory to me because in my philosophising I have certainly not been under its influence. For up till 1818, when my work appeared, there were very few, exceedingly incomplete and scanty, accounts of Buddhism to be found in Europe, which were almost entirely limited to a few essays in the earlier volumes of "Asiatic Researches," and were principally concerned with the Buddhism of the Burmese. Only since then has fuller information about this religion gradually reached us, chiefly through the profound and instructive essays of the meritorious member of the St. Petersburg Academy, J. J. Schmidt, in the proceedings of his Academy, and then little by little through several English and French scholars, so that I was able to give a fairly numerous list of the best works on this religion in my work, "*Ueber den Willen in der Natur*," under the heading *Sinologie*. Unfortunately Csoma Körösi, that persevering Hungarian, who, in order to study the language and sacred writings of Buddhism, spent many years in Tibet, and for the most part in Buddhist monasteries, was carried off by death just as he was beginning to work out for us the results of his researches. I cannot, however, deny the pleasure with which I read, in his provisional accounts, several passages cited directly from the Kahgyur itself; for example, the following conversation of the dying Buddha with Brahma, who is doing him homage: "There is a description of their conversation on the subject of creation, — by whom was the world made? Shakya asks several questions of Brahma, — whether was it he who made or produced such and such things, and endowed or blessed them with such and such virtues or properties, — whether was it he who caused the several revolutions in the destruction and regeneration of the world. He denies that he had ever done anything to that effect. At last he

himself asks Shakyas how the world was made, — by whom? Here are attributed all changes in the world to the moral works of the animal beings, and it is stated that in the world all is illusion, there is no reality in the things; all is empty. Brahma, being instructed in his doctrine, becomes his follower” (Asiatic Researches, vol. xx. p. 434).

I cannot place, as is always done, the fundamental difference of all religions in the question whether they are monotheistic, polytheistic, pantheistic, or atheistic, but only in the question whether they are optimistic or pessimistic, that is, whether they present the existence of the world as justified by itself, and therefore praise and value it, or regard it as something that can only be conceived as the consequence of our guilt, and therefore properly ought not to be, because they recognise that pain and death cannot lie in the eternal, original, and immutable order of things, in that which in every respect ought to be. The power by virtue of which Christianity was able to overcome first Judaism, and then the heathenism of Greece and Rome, lies solely in its pessimism, in the confession that our state is both exceedingly wretched and sinful, while Judaism and heathenism were optimistic. That truth, profoundly and painfully felt by all, penetrated, and bore in its train the need of redemption.

I turn to a general consideration of the other kind of metaphysics, that which has its authentication in itself, and is called *philosophy*. I remind the reader of its origin, mentioned above, in a *wonder* concerning the world and our own existence, inasmuch as these press upon the intellect as a riddle, the solution of which therefore occupies mankind without intermission. Here, then, I wish first of all to draw attention to the fact that this could not be the case if, in Spinoza’s sense, which in our own day has so often been brought forward again under modern forms and expositions as pantheism, the world were an “*absolute substance*,” and therefore an *absolutely necessary existence*. For this means that it exists with so great a necessity that beside it every other necessity comprehensible to our understanding as such must appear as an accident. It would then be something which comprehended in itself not only all actual but also all possible existence, so that, as Spinoza indeed declares, its possibility and its actuality would be absolutely one. Its non-being would therefore be impossibility itself; thus it would be something the non-being or other-being of which must be completely inconceivable, and which could therefore just as little be thought away as, for example, space or time. And since, further, *we*

*ourselves* would be parts, modes, attributes, or accidents of such an absolute substance, which would be the only thing that, in any sense, could ever or anywhere exist, our and its existence, together with its properties, would necessarily be very far from presenting itself to us as remarkable, problematical, and indeed as an unfathomable and ever-disquieting riddle, but, on the contrary, would be far more self-evident than that two and two make four. For we would necessarily be incapable of thinking anything else than that the world is, and is, as it is; and therefore we would necessarily be as little conscious of its existence *as such*, *i.e.*, as a problem for reflection, as we are of the incredibly fast motion of our planet.

All this, however, is absolutely not the case. Only to the brutes, who are without thought, does the world and existence appear as a matter of course; to man, on the contrary, it is a problem, of which even the most uneducated and narrow-minded becomes vividly conscious in certain brighter moments, but which enters more distinctly and more permanently into the consciousness of each one of us the clearer and more enlightened that consciousness is, and the more material for thought it has acquired through culture, which all ultimately rises, in minds that are naturally adapted for philosophising, to Plato's "θαυμάζειν, μάλα φιλοσοφικόν παθος" (*mirari, valde philosophicus affectus*), that is, to that *wonder* which comprehends in its whole magnitude that problem which unceasingly occupies the nobler portion of mankind in every age and in every land, and gives it no rest. In fact, the pendulum which keeps in motion the clock of metaphysics, that never runs down, is the consciousness that the non-existence of this world is just as possible as its existence. Thus, then, the Spinozistic view of it as an absolutely necessary existence, that is, as something that absolutely and in every sense ought to and must be, is a false one. Even simple Theism, since in its cosmological proof it tacitly starts by inferring the previous non-existence of the world from its existence, thereby assumes beforehand that the world is something contingent. Nay, what is more, we very soon apprehend the world as something the non-existence of which is not only conceivable, but indeed preferable to its existence. Therefore our wonder at it easily passes into a brooding over the *fatality* which could yet call forth its existence, and by virtue of which such stupendous power as is demanded for the production and maintenance of such a world could be directed so much against its own interest. The philosophical astonishment is therefore at bottom perplexed and melancholy; philosophy, like the overture to "Don

Juan,” commences with a minor chord. It follows from this that it can neither be Spinozism nor optimism. The more special nature, which has just been indicated, of the astonishment which leads us to philosophise clearly springs from the sight of the *suffering and the wickedness* in the world, which, even if they were in the most just proportion to each other, and also were far outweighed by good, are yet something which absolutely and in general ought not to be. But since now nothing can come out of nothing, these also must have their germ in the origin or in the kernel of the world itself. It is hard for us to assume this if we look at the magnitude, the order and completeness, of the physical world, for it seems to us that what had the power to produce such a world must have been able to avoid the suffering and the wickedness. That assumption (the truest expression of which is Ormuzd and Ahrimanes), it is easy to conceive, is hardest of all for Theism. Therefore the freedom of the will was primarily invented to account for wickedness. But this is only a concealed way of making something out of nothing, for it assumes an *Operari* that proceeded from no *Esse* (see Die beiden *Grundprobleme der Ethik*, p. 58, *et seq.*; second edition, p. 57 *et seq.*) Then it was sought to get rid of evil by attributing it to matter, or to unavoidable necessity, whereby the devil, who is really the right *Expediens ad hoc*, was unwillingly set aside. To evil also belongs *death*; but wickedness is only the throwing of the existing evil from oneself on to another. Thus, as was said above, it is wickedness, evil, and death that qualify and intensify the philosophical astonishment. Not merely that the world exists, but still more that it is such a wretched world, is the *punctum pruriens* of metaphysics, the problem which awakens in mankind an unrest that cannot be quieted by scepticism nor yet by criticism.

We find *physics* also (in the widest sense of the word) occupied with the explanation of the phenomena in the world. But it lies in the very nature of its explanations themselves that they cannot be sufficient. Physics cannot stand on its own feet, but requires a metaphysic to lean upon, whatever airs it may give itself towards the latter. For it explains the phenomena by something still more unknown than they are themselves; by laws of nature, resting upon forces of nature, to which the power of life also belongs. Certainly the whole present condition of all things in the world, or in nature, must necessarily be explicable from purely physical causes. But such an explanation — supposing one actually succeeded so far as to be able to give it — must always just as necessarily be tainted with two imperfections

(as it were with two sores, or like Achilles with the vulnerable heel, or the devil with the horse's hoof), on account of which everything so explained really remains still unexplained. First with this imperfection, that the *beginning* of every explanatory chain of causes and effects, *i.e.*, of connected changes, can absolutely *never* be reached, but, just like the limits of the world in space and time, unceasingly recedes *in infinito*. Secondly with this, that the whole of the efficient causes out of which everything is explained constantly rest upon something which is completely inexplicable, the original *qualities* of things and the *natural forces* which play a prominent part among them, by virtue of which they produce a specific kind of effect, *e.g.*, weight, hardness, impulsive force, elasticity, warmth, electricity, chemical forces, &c., and which now remain in every explanation which is given, like an unknown quantity, which absolutely cannot be eliminated, in an otherwise perfectly solved algebraical equation. Accordingly there is no fragment of clay, however little worth, that is not entirely composed of inexplicable qualities. Thus these two inevitable defects in every purely physical, *i.e.*, causal, explanation show that such an explanation can only be *relative*, and that its whole method and nature cannot be the only one, the ultimate and thus the sufficient one, *i.e.*, cannot be the method of explanation that can ever lead to the satisfactory solution of the difficult riddle of things, and to the true understanding of the world and existence; but that the physical explanation in general and as such requires further a *metaphysical* explanation, which affords us the key to all its assumptions, but just on this account must necessarily follow quite a different path. The first step to this is that one should bring to distinct consciousness and firmly retain the difference of the two, hence the difference between *physics* and *metaphysics*. It rests in general on the Kantian distinction between *phenomenon* and *thing in itself*. Just because Kant held the latter to be absolutely unknowable, there was, according to him, no *metaphysics*, but merely immanent knowledge, *i.e.*, *physics*, which throughout can speak only of phenomena, and also a critique of the reason which strives after metaphysics. Here, however, in order to show the true point of connection between my philosophy and that of Kant, I shall anticipate the second book, and give prominence to the fact that Kant, in his beautiful exposition of the compatibility of freedom and necessity (Critique of Pure Reason, first edition, p. 532-554; and Critique of Practical Reason, p. 224-231 of Rosenkranz's edition), shows how one and the same action



may in one aspect be perfectly explicable as necessarily arising from the character of the man, the influence to which he has been subject in the course of his life, and the motives which are now present to him, but yet in another aspect must be regarded as the work of his free will; and in the same sense he says, § 53 of the “Prolegomena:” “Certainly natural necessity will belong to every connection of cause and effect in the world of sense; yet, on the other hand, freedom will be conceded to that cause which is not itself a phenomenon (though indeed it is the ground of phenomena), thus nature and freedom may without contradiction be attributed to the same thing, but in a different reference — in the one case as a phenomenon, in the other case as a thing in itself.” What, then, Kant teaches of the phenomenon of man and his action my teaching extends to *all* phenomena in nature, in that it makes the *will* as a thing in itself their foundation. This proceeding is justified first of all by the fact that it must not be assumed that man is specifically *toto genere* radically different from the other beings and things in nature, but rather that he is different only in degree. I turn back from this premature digression to our consideration of the inadequacy of physics to afford us the ultimate explanation of things. I say, then, everything certainly is physical, but yet nothing is explicable physically. As for the motion of the projected bullet, so also for the thinking of the brain, a physical explanation must ultimately be in itself possible, which would make the latter just as comprehensible as is the former. But even the former, which we imagine we understand so perfectly, is at bottom as obscure to us as the latter; for what the inner nature of expansion in space may be — of impenetrability, mobility, hardness, elasticity, and gravity remains, after all physical explanations, a mystery, just as much as thought. But because in the case of thought the inexplicable appears most immediately, a spring was at once made here from physics to metaphysics, and a substance of quite a different kind from all corporeal substances was hypostatized — a soul was set up in the brain. But if one had not been so dull as only to be capable of being struck by the most remarkable of phenomena, one would have had to explain digestion by a soul in the stomach, vegetation by a soul in the plant, affinity by a soul in the reagents, nay, the falling of a stone by a soul in the stone. For the quality of every unorganised body is just as mysterious as the life in the living body. In the same way, therefore, the physical explanation strikes everywhere upon what is metaphysical, by which it is annihilated, *i.e.*, it ceases to be explanation. Strictly speaking, it may be asserted that no

natural science really achieves anything more than what is also achieved by Botany: the bringing together of similars, classification. A physical system which asserted that its explanations of things — in the particular from causes, and in general from forces — were really sufficient, and thus exhausted the nature of the world, would be the true *Naturalism*. From Leucippus, Democritus, and Epicurus down to the *Système de la Nature*, and further, to Delamark, Cabanis, and to the materialism that has again been warmed up in the last few years, we can trace the persistent attempt to set up a *system of physics without metaphysics*, that is, a system which would make the phenomenon the thing in itself. But all their explanations seek to conceal from the explainers themselves and from others that they simply assume the principal matter without more ado. They endeavour to show that all phenomena, even those of mind, are physical. And they are right; only they do not see that all that is physical is in another aspect also metaphysical. But, without Kant, this is indeed difficult to see, for it presupposes the distinction of the phenomenon from the thing in itself. Yet without this Aristotle, much as he was inclined to empiricism, and far as he was removed from the Platonic hyper-physics, kept himself free from this limited point of view. He says: “Εἰ μὲν οὖν μὴ ἐστὶ τις ἕτερα οὐσία παρα τὰς φύσει συνεστηκυῖας, ἢ φυσικὴ ἀν εἰς πρώτη ἐπιστήμη; εἰ δὲ ἐστὶ τις οὐσία ἀκίνητος, αὕτη προτέρα καὶ φιλοσοφία πρώτη, καὶ καθόλου οὕτως, ὅτι πρώτη; καὶ περὶ τοῦ ὄντος ἢ ὄν, ταύτης ἀν εἰς θεωρησαί.” (*Si igitur non est aliqua alia substantia, præter eas, quæ natura consistunt, physica profecto prima scientia esset: quodsi autem est aliqua substantia immobilis, hæc prior et philosophia prima, et universalis sic, quod prima; et de ente, prout ens est, speculari hujus est*), “Metaph.,” v. 1. Such an *absolute system of physics* as is described above, which leaves room for no *metaphysics*, would make the *Natura naturata* into the *Natura naturans*; it would be physics established on the throne of metaphysics, yet it would comport itself in this high position almost like Holberg’s theatrical would-be politician who was made burgomaster. Indeed behind the reproach of atheism, in itself absurd, and for the most part malicious, there lies, as its inner meaning and truth, which gives it strength, the obscure conception of such an absolute system of physics without metaphysics. Certainly such a system would necessarily be destructive of ethics; and while Theism has falsely been held to be inseparable from morality, this is really true only of

*metaphysics in general, i.e.*, of the knowledge that the order of nature is not the only and absolute order of things. Therefore we may set up this as the necessary *Credo* of all just and good men: "I believe in metaphysics." In this respect it is important and necessary that one should convince oneself of the untenable nature of an *absolute system of physics*, all the more as this, the true *naturalism*, is a point of view which of its own accord and ever anew presses itself upon a man, and can only be done away with through profound speculation. In this respect, however, all kinds of systems and faiths, so far and so long as they are accepted, certainly serve as a substitute for such speculation. But that a fundamentally false view presses itself upon man of its own accord, and must first be skilfully removed, is explicable from the fact that the intellect is not originally intended to instruct us concerning the nature of things, but only to show us their relations, with reference to our will; it is, as we shall find in the second book, only the medium of motives. Now, that the world schematises itself in the intellect in a manner which exhibits quite a different order of things from the absolutely true one, because it shows us, not their kernel, but only their outer shell, happens accidentally, and cannot be used as a reproach to the intellect; all the less as it nevertheless finds in itself the means of rectifying this error, in that it arrives at the distinction between the phenomenal appearance and the inner being of things, which distinction existed in substance at all times, only for the most part was very imperfectly brought to consciousness, and therefore was inadequately expressed, indeed often appeared in strange clothing. The Christian mystics, when they call it the *light of nature*, declare the intellect to be inadequate to the comprehension of the true nature of things. It is, as it were, a mere surface force, like electricity, and does not penetrate to the inner being.

The insufficiency of pure naturalism appears, as we have said, first of all, on the empirical path itself, through the circumstance that every physical explanation explains the particular from its cause; but the chain of these causes, as we know *a priori*, and therefore with perfect certainty, runs back to infinity, so that absolutely no cause could ever be the first. Then, however, the effect of every cause is referred to a law of nature, and this finally to a force of nature, which now remains as the absolutely inexplicable. But this inexplicable, to which all phenomena of this so clearly given and naturally explicable world, from the highest to the lowest, are referred, just shows that the whole nature of such explanation is only

conditional, as it were only *ex concessis*, and by no means the true and sufficient one; therefore I said above that physically everything and nothing is explicable. That absolutely inexplicable element which pervades all phenomena, which is most striking in the highest, *e.g.*, in generation, but yet is just as truly present in the lowest, *e.g.*, in mechanical phenomena, points to an entirely different kind of order of things lying at the foundation of the physical order, which is just what Kant calls the order of things in themselves, and which is the goal of metaphysics. But, secondly, the insufficiency of pure naturalism comes out clearly from that fundamental philosophical truth, which we have fully considered in the first half of this book, and which is also the theme of the “Critique of Pure Reason;” the truth that every *object*, both as regards its objective existence in general and as regards the manner (forms) of this existence, is throughout conditioned by the knowing *subject*, hence is merely a phenomenon, not a thing in itself. This is explained in § 7 of the first volume, and it is there shown that nothing can be more clumsy than that, after the manner of all materialists, one should blindly take the objective as simply given in order to derive everything from it without paying any regard to the subjective, through which, however, nay, in which alone the former exists. Samples of this procedure are most readily afforded us by the fashionable materialism of our own day, which has thereby become a philosophy well suited for barbers’ and apothecaries’ apprentices. For it, in its innocence, matter, assumed without reflection as absolutely real, is the thing in self, and the one capacity of a thing in itself is impulsive force, for all other qualities can only be manifestations of this.

With naturalism, then, or the purely physical way of looking at things, we shall never attain our end; it is like a sum that never comes out. Causal series without beginning or end, fundamental forces which are inscrutable, endless space, beginningless time, infinite divisibility of matter, and all this further conditioned by a knowing brain, in which alone it exists just like a dream, and without which it vanishes — constitute the labyrinth in which naturalism leads us ceaselessly round. The height to which in our time the natural sciences have risen in this respect entirely throws into the shade all previous centuries, and is a summit which mankind reaches for the first time. But however great are the advances which *physics* (understood in the wide sense of the ancients) may make, not the smallest step towards *metaphysics* is thereby taken, just as a plane can never obtain cubical

content by being indefinitely extended. For all such advances will only perfect our knowledge of the *phenomenon*; while *metaphysics* strives to pass beyond the phenomenal appearance itself, to that which so appears. And if indeed it had the assistance of an entire and complete experience, it would, as regards the main point, be in no way advantaged by it. Nay, even if one wandered through all the planets and fixed stars, one would thereby have made no step in *metaphysics*. It is rather the case that the greatest advances of physics will make the need of metaphysics ever more felt; for it is just the corrected, extended, and more thorough knowledge of nature which, on the one hand, always undermines and ultimately overthrows the metaphysical assumptions which till then have prevailed, but, on the other hand, presents the problem of metaphysics itself more distinctly, more correctly, and more fully, and separates it more clearly from all that is merely physical; moreover, the more perfectly and accurately known nature of the particular thing more pressingly demands the explanation of the whole and the general, which, the more correctly, thoroughly, and completely it is known empirically, only presents itself as the more mysterious. Certainly the individual, simple investigator of nature, in a special branch of physics, does not at once become clearly conscious of all this; he rather sleeps contentedly by the side of his chosen maid, in the house of Odysseus, banishing all thoughts of Penelope (cf. ch. 12 at the end). Hence we see at the present day the *husk of nature* investigated in its minutest details, the intestines of intestinal worms and the vermin of vermin known to a nicety. But if some one comes, as, for example, I do, and speaks of the *kernel of nature*, they will not listen; they even think it has nothing to do with the matter, and go on sifting their husks. One finds oneself tempted to call that over-microscopical and micrological investigator of nature the cotquean of nature. But those persons who believe that crucibles and retorts are the true and only source of all wisdom are in their own way just as perverse as were formerly their antipodes the Scholastics. As the latter, absolutely confined to their abstract conceptions, used these as their weapons, neither knowing nor investigating anything outside them, so the former, absolutely confined to their empiricism, allow nothing to be true except what their eyes behold, and believe they can thus arrive at the ultimate ground of things, not discerning that between the phenomenon and that which manifests itself in it, the thing in itself, there is a deep gulf, a radical difference, which can only be cleared up by the knowledge and

accurate delimitation of the subjective element of the phenomenon, and the insight that the ultimate and most important conclusions concerning the nature of things can only be drawn from self-consciousness; yet without all this one cannot advance a step beyond what is directly given to the senses, thus can get no further than to the problem. Yet, on the other hand, it is to be observed that the most perfect possible knowledge of nature is the corrected *statement of the problem* of metaphysics. Therefore no one ought to venture upon this without having first acquired a knowledge of all the branches of natural science, which, though general, shall be thorough, clear, and connected. For the problem must precede its solution. Then, however, the investigator must turn his glance inward; for the intellectual and ethical phenomena are more important than the physical, in the same proportion as, for example, animal magnetism is a far more important phenomenon than mineral magnetism. The last fundamental secret man carries within himself, and this is accessible to him in the most immediate manner; therefore it is only here that he can hope to find the key to the riddle of the world and gain a clue to the nature of all things. The special province of metaphysics thus certainly lies in what has been called mental philosophy.

“The ranks of living creatures thou dost lead  
Before me, teaching me to know my brothers  
In air and water and the silent wood:  
Then to the cave secure thou ledest me,  
Then show’st me mine own self, and in my breast  
The deep, mysterious miracles unfold.”<sup>28</sup>

Finally, then, as regards the *source or the foundation* of metaphysical knowledge, I have already declared myself above to be opposed to the assumption, which is even repeated by Kant, that it must lie *in mere conceptions*. In no knowledge can conceptions be what is first; for they are always derived from some perception. What has led, however, to that assumption is probably the example of mathematics. Mathematics can leave perception altogether, and, as is especially the case in algebra, trigonometry, and analysis, can operate with purely abstract conceptions, nay, with conceptions which are represented only by signs instead of words, and can yet arrive at a perfectly certain result, which is still so remote that any one who adhered to the firm ground of perception could not arrive at it. But the possibility of this depends, as Kant has clearly shown, on the fact that the conceptions of mathematics are derived from the most certain and definite

of all perceptions, from the *a priori* and yet intuitively known relations of quantity, and can therefore be constantly realised again and controlled by these, either arithmetically, by performing the calculations which are merely indicated by those signs, or geometrically, by means of what Kant calls the construction of the conceptions. This advantage, on the other hand, is not possessed by the conceptions out of which it was believed metaphysics could be built up; such, for example, as essence, being, substance, perfection, necessity, reality, finite, infinite, absolute, ground, &c. For such conceptions are by no means original, as fallen from heaven, or innate; but they also, like all conceptions, are derived from perceptions; and as, unlike the conceptions of mathematics, they do not contain the mere form of perception, but more, empirical perceptions must lie at their foundation. Thus nothing can be drawn from them which the empirical perceptions did not also contain, that is, nothing which was not a matter of experience, and which, since these conceptions are very wide abstractions, we would receive with much greater certainty at first hand from experience. For from conceptions nothing more can ever be drawn than the perceptions from which they are derived contain. If we desire pure conceptions, *i.e.*, such as have no empirical source, the only ones that can be produced are those which concern space and time, *i.e.*, the merely formal part of perception, consequently only the mathematical conceptions, or at most also the conception of causality, which indeed does not originate in experience, but yet only comes into consciousness by means of it (first in sense-perception); therefore experience indeed is only possible by means of it; but it also is only valid in the sphere of experience, on which account Kant has shown that it only serves to communicate the connection of experience, and not to transcend it; that thus it admits only of physical application, not of metaphysical. Certainly only its *a priori* origin can give apodictic certainty to any knowledge; but this limits it to the mere *form* of experience in general, for it shows that it is conditioned by the subjective nature of the intellect. Such knowledge, then, far from taking us beyond experience, gives only one *part* of experience itself, the *formal* part, which belongs to it throughout, and therefore is universal, consequently mere form without content. Since now metaphysics can least of all be confined to this, it must have also *empirical* sources of knowledge; therefore that preconceived idea of a metaphysic to be found purely *a priori* is necessarily vain. It is really a *petitio principii* of Kant's, which he expresses most distinctly in § 1 of the

Prolegomena, that metaphysics must not draw its fundamental conceptions and principles from experience. In this it is assumed beforehand that only what we knew *before* all experience can extend beyond all possible experience. Supported by this, Kant then comes and shows that all such knowledge is nothing more than the form of the intellect for the purpose of experience, and consequently can never lead beyond experience, from which he then rightly deduces the impossibility of all metaphysics. But does it not rather seem utterly perverse that in order to discover the secret of experience, *i.e.*, of the world which alone lies before us, we should look quite away from it, ignore its content, and take and use for its material only the empty forms of which we are conscious *a priori*? Is it not rather in keeping with the matter that *the science of experience in general*, and as such, should also be drawn from experience? Its problem itself is given it empirically; why should not the solution of it call in the assistance of experience? Is it not senseless that he who speaks of the nature of things should not look at things themselves, but should confine himself to certain abstract conceptions? The task of metaphysics is certainly not the observation of particular experiences, but yet it is the correct explanation of experience as a whole. Its foundation must therefore, at any rate, be of an empirical nature. Indeed the *a priori* nature of a part of human knowledge will be apprehended by it as a given *fact*, from which it will infer the subjective origin of the same. Only because the consciousness of its *a priori* nature accompanies it is it called by Kant *transcendental* as distinguished from *transcendent*, which signifies “passing beyond all possibility of experience,” and has its opposite in *immanent*, *i.e.*, remaining within the limits of experience. I gladly recall the original meaning of this expression introduced by Kant, with which, as also with that of the Categories, and many others, the apes of philosophy carry on their game at the present day. Now, besides this, the source of the knowledge of metaphysics is not *outer* experience alone, but also *inner*. Indeed, what is most peculiar to it, that by which the decisive step which alone can solve the great question becomes possible for it, consists, as I have fully and thoroughly proved in “*Ueber den Willen in der Natur*,” under the heading, “*Physische Astronomie*,” in this, that at the right place it combines outer experience with inner, and uses the latter as a key to the former.



The origin of metaphysics in empirical sources of knowledge, which is here set forth, and which cannot fairly be denied, deprives it certainly of that kind of apodictic certainty which is only possible through knowledge *a priori*. This remains the possession of logic and mathematics — sciences, however, which really only teach what every one knows already, though not distinctly. At most the primary elements of natural science may also be deduced from knowledge *a priori*. By this confession metaphysics only surrenders an ancient claim, which, according to what has been said above, rested upon misunderstanding, and against which the great diversity and changeableness of metaphysical systems, and also the constantly accompanying scepticism, in every age has testified. Yet against the possibility of metaphysics in general this changeableness cannot be urged, for the same thing affects just as much all branches of natural science, chemistry, physics, geology, zoology, &c., and even history has not remained exempt from it. But when once, as far as the limits of human intellect allow, a true system of metaphysics shall have been found, the unchangeableness of a science which is known *a priori* will yet belong to it; for its foundation can only be *experience in general*, and not the particular and special experiences by which, on the other hand, the natural sciences are constantly modified and new material is always being provided for history. For experience as a whole and in general will never change its character for a new one.

The next question is: How can a science drawn from experience pass beyond it and so merit the name of metaphysics? It cannot do so perhaps in the same way as we find a fourth number from three proportionate ones, or a triangle from two sides and an angle. This was the way of the pre-Kantian dogmatism, which, according to certain laws known to us *a priori*, sought to reason from the given to the not given, from the consequent to the reason, thus from experience to that which could not possibly be given in any experience. Kant proved the impossibility of a metaphysic upon this path, in that he showed that although these laws were not drawn from experience, they were only valid for experience. He therefore rightly taught that in such a way we cannot transcend the possibility of all experience. But there are other paths to metaphysics. The whole of experience is like a cryptograph, and philosophy the deciphering of it, the correctness of which is proved by the connection appearing everywhere. If this whole is only profoundly enough comprehended, and the inner experience is connected with the

outer, it must be capable of being *interpreted, explained* from itself. Since Kant has irrefutably proved to us that experience in general proceeds from two elements, the forms of knowledge and the inner nature of things, and that these two may be distinguished in experience from each other, as that of which we are conscious *a priori* and that which is added *a posteriori*, it is possible, at least in general, to say, what in the given experience, which is primarily merely phenomenal, belongs to the *form* of this phenomenon, conditioned by the intellect, and what, after deducting this, remains over for the *thing in itself*. And although no one can discern the thing in itself through the veil of the forms of perception, on the other hand every one carries it in himself, indeed is it himself; therefore in self-consciousness it must be in some way accessible to him, even though only conditionally. Thus the bridge by which metaphysics passes beyond experience is nothing else than that analysis of experience into phenomenon and thing in itself in which I have placed Kant's greatest merit. For it contains the proof of a kernel of the phenomenon different from the phenomenon itself. This can indeed never be entirely separated from the phenomenon and regarded in itself as an *ens extramundatum*, but is always known only in its relations to and connections with the phenomenon itself. But the interpretation and explanation of the latter, in relation to the former, which is its inner kernel, is capable of affording us information with regard to it which does not otherwise come into consciousness. In this sense, then, metaphysics goes beyond the phenomenon, *i.e.*, nature, to that which is concealed in or behind it (το μετα το φυσικον), always regarding it, however, merely as that which manifests itself in the phenomenon, not as independent of all phenomenal appearance; it therefore remains immanent, and does not become transcendent. For it never disengages itself entirely from experience, but remains merely its interpretation and explanation, since it never speaks of the thing in itself otherwise than in its relation to the phenomenon. This at least is the sense in which I, with reference throughout to the limitations of human knowledge proved by Kant, have attempted to solve the problem of metaphysics. Therefore his Prolegomena to future metaphysics will be valid and suitable for mine also. Accordingly it never really goes beyond experience, but only discloses the true understanding of the world which lies before it in experience. It is neither, according to the definition of metaphysics which even Kant repeats, a science of mere conceptions, nor is it a system of deductions from *a priori* principles, the uselessness of which

for the *end* of metaphysics has been shown by Kant. But it is rational knowledge, drawn from perception of the external actual world and the information which the most intimate fact of self-consciousness affords us concerning it, deposited in distinct conceptions. It is accordingly the science of experience; but its subject and its source is not particular experiences, but the totality of all experience. I completely accept Kant's doctrine that the world of experience is merely phenomenal, and that the *a priori* knowledge is valid only in relation to phenomena; but I add that just as phenomenal appearance, it is the manifestation of that which appears, and with him I call this the thing in itself. This must therefore express its nature and character in the world of experience, and consequently it must be possible to interpret these from this world, and indeed from the matter, not the mere form, of experience. Accordingly philosophy is nothing but the correct and universal understanding of experience itself, the true exposition of its meaning and content. To this the metaphysical, *i.e.*, that which is merely clothed in the phenomenon and veiled in its forms, is that which is related to it as thought to words.

Such a deciphering of the world with reference to that which manifests itself in it must receive its confirmation from itself, through the agreement with each other in which it places the very diverse phenomena of the world, and which without it we do not perceive. If we find a document the alphabet of which is unknown, we endeavour to make it out until we hit upon an hypothesis as to the significance of the letters in accordance with which they make up comprehensible words and connected sentences. Then, however, there remains no doubt as to the correctness of the deciphering, because it is not possible that the agreement and connection in which all the letters of that writing are placed by this explanation is merely accidental, and that by attributing quite a different value to the letters we could also recognise words and sentences in this arrangement of them. In the same way the deciphering of the world must completely prove itself from itself. It must throw equal light upon all the phenomena of the world, and also bring the most heterogeneous into agreement, so that the contradiction between those which are most in contrast may be abolished. This proof from itself is the mark of genuineness. For every false deciphering, even if it is suitable for some phenomena, will conflict all the more glaringly with the rest. So, for example, the optimism of Leibnitz conflicts with the palpable misery of existence; the doctrine of Spinoza, that the world is the only possible and

absolutely necessary substance, is incompatible with our wonder at its existence and nature; the Wolfian doctrine, that man obtains his *Existentialia* and *Essentialia* from a will foreign to himself, is contradicted by our moral responsibility for the actions which proceed with strict necessity from these, in conflict with the motives; the oft-repeated doctrine of the progressive development of man to an ever higher perfection, or in general of any kind of becoming by means of the process of the world, is opposed to the *a priori* knowledge that at any point of time an infinite time has already run its course, and consequently all that is supposed to come with time would necessarily have already existed; and in this way an interminable list might be given of the contradictions of dogmatic assumptions with the given reality of things. On the other hand, I must deny that any doctrine of my philosophy could fairly be added to such a list, because each of them has been thought out in the presence of the perceived reality, and none of them has its root in abstract conceptions alone. There is yet in it a fundamental thought which is applied to all the phenomena of the world as their key; but it proves itself to be the right alphabet at the application of which all words and sentences have sense and significance. The discovered answer to a riddle shows itself to be the right one by the fact that all that is said in the riddle is suitable to it. In the same way my doctrine introduces agreement and connection into the confusion of the contrasting phenomena of this world, and solves the innumerable contradictions which, when regarded from any other point of view, it presents. Therefore, so far, it is like a sum that comes out right, yet by no means in the sense that it leaves no problem over to solve, no possible question unanswered. To assert anything of that sort would be a presumptuous denial of the limits of human knowledge in general. Whatever torch we may kindle, and whatever space it may light, our horizon will always remain bounded by profound night. For the ultimate solution of the riddle of the world must necessarily be concerned with the things in themselves, no longer with the phenomena. But all our forms of knowledge are adapted to the phenomena alone; therefore we must comprehend everything through coexistence, succession, and causal relations. These forms, however, have meaning and significance only with reference to the phenomenon; the things in themselves and their possible relations cannot be apprehended by means of those forms. Therefore the actual, positive solution of the riddle of the world must be something that human intellect is absolutely incapable of grasping and thinking; so that if a

being of a higher kind were to come and take all pains to impart it to us, we would be absolutely incapable of understanding anything of his expositions. Those, therefore, who profess to know the ultimate, *i.e.*, the first ground of things, thus a primordial being, an absolute, or whatever else they choose to call it, together with the process, the reasons, motives, or whatever it may be, in consequence of which the world arises from it, or springs, or falls, or is produced, set in existence, “discharged,” and ushered forth, are playing tricks, are vain boasters, when indeed they are not charlatans.

I regard it as a great excellence of my philosophy that all its truths have been found independently of each other, by contemplation of the real world; but their unity and agreement, about which I had been unconcerned, has always afterwards appeared of itself. Hence also it is rich, and has wide-spreading roots in the ground of perceptible reality, from which all nourishment of abstract truths springs; and hence, again, it is not wearisome — a quality which, to judge from the philosophical writings of the last fifty years, one might regard as essential to philosophy. If, on the other hand, all the doctrines of a philosophy are merely deduced the one out of the other, and ultimately indeed all out of one first principle, it must be poor and meagre, and consequently wearisome, for nothing can follow from a proposition except what it really already says itself. Moreover, in this case everything depends upon the correctness of *one* proposition, and by a single mistake in the deduction the truth of the whole would be endangered. Still less security is given by the systems which start from an intellectual intuition, *i.e.*, a kind of ecstasy or clairvoyance. All knowledge so obtained must be rejected as subjective, individual, and consequently problematical. Even if it actually existed it would not be communicable, for only the normal knowledge of the brain is communicable; if it is abstract, through conceptions and words; if purely perceptible or concrete, through works of art.

If, as so often happens, metaphysics is reproached with having made so little progress, it ought also to be considered that no other science has grown up like it under constant oppression, none has been so hampered and hindered from without as it has always been by the religion of every land, which, everywhere in possession of a monopoly of metaphysical knowledge, regards metaphysics as a weed growing beside it, as an unlicensed worker, as a horde of gipsies, and as a rule tolerates it only under the condition that it accommodates itself to serve and follow it. For where

has there ever been true freedom of thought? It has been vaunted sufficiently; but whenever it wishes to go further than perhaps to differ about the subordinate dogmas of the religion of the country, a holy shudder seizes the prophets of tolerance, and they say: "Not a step further!" What progress of metaphysics was possible under such oppression? Nay, this constraint which the privileged metaphysics exercises is not confined to the *communication* of thoughts, but extends to *thinking* itself, for its dogmas are so firmly imprinted in the tender, plastic, trustful, and thoughtless age of childhood, with studied solemnity and serious airs, that from that time forward they grow with the brain, and almost assume the nature of innate thoughts, which some philosophers have therefore really held them to be, and still more have pretended to do so. Yet nothing can so firmly resist the comprehension of even the *problem* of metaphysics as a previous solution of it intruded upon and early implanted in the mind. For the necessary starting-point for all genuine philosophy is the deep feeling of the Socratic: "This one thing I know, that I know nothing." The ancients were in this respect in a better position than we are, for their national religions certainly limited somewhat the imparting of thoughts; but they did not interfere with the freedom of thought itself, because they were not formally and solemnly impressed upon children, and in general were not taken so seriously. Therefore in metaphysics the ancients are still our teachers.

Whenever metaphysics is reproached with its small progress, and with not having yet reached its goal in spite of such sustained efforts, one ought further to consider that in the meanwhile it has constantly performed the invaluable service of limiting the boundless claims of the privileged metaphysics, and yet at the same time combating naturalism and materialism proper, which are called forth by it as an inevitable reaction. Consider to what a pitch the arrogance of the priesthood of every religion would rise if the belief in their doctrines was as firm and blind as they really wish. Look back also at the wars, disturbances, rebellions, and revolutions in Europe from the eighth to the eighteenth century; how few will be found that have not had as their essence, or their pretext, some controversy about beliefs, thus a metaphysical problem, which became the occasion of exciting nations against each other. Yet is that whole thousand years a continual slaughter, now on the battlefield, now on the scaffold, now in the streets, in metaphysical interests! I wish I had an authentic list of all crimes which Christianity has really prevented, and all good deeds it has really

performed, that I might be able to place them in the other scale of the balance.

Lastly, as regards the *obligations* of metaphysics, it has only one; for it is one which endures no other beside it — the obligation to be *true*. If one would impose other obligations upon it besides this, such as to be spiritualistic, optimistic, monotheistic, or even only to be moral, one cannot know beforehand whether this would not interfere with the fulfilment of that first obligation, without which all its other achievements must clearly be worthless. A given philosophy has accordingly no other standard of its value than that of truth. For the rest, philosophy is essentially *world-wisdom*: its problem is the world. It has to do with this alone, and leaves the gods in peace — expects, however, in return, to be left in peace by them.

## **Supplements to the Second Book.**

“Ihr folget falscher Spur,  
Denkt nicht, wir scherzen!  
Ist nicht der Kern der Natur  
Menschen im Herzen?”  
— Goethe.



## Chapter XVIII.<sup>29</sup> On The Possibility Of Knowing The Thing In Itself.

In 1836 I already published, under the title “*Ueber den Willen in der Natur*” (second ed., 1854; third ed., 1867), the most essential supplement to this book, which contains the most peculiar and important step in my philosophy, the transition from the phenomenon to the thing in itself, which Kant gave up as impossible. It would be a great mistake to regard the foreign conclusions with which I have there connected my expositions as the real material and subject of that work, which, though small as regards its extent, is of weighty import. These conclusions are rather the mere occasion starting from which I have there expounded that fundamental truth of my philosophy with so much greater clearness than anywhere else, and brought it down to the empirical knowledge of nature. And indeed this is done most exhaustively and stringently under the heading “*Physische Astronomie*,” so that I dare not hope ever to find a more correct or accurate expression of that core of my philosophy than is given there. Whoever desires to know my philosophy thoroughly and to test it seriously must therefore give attention before everything to that section. Thus, in general, all that is said in that little work would form the chief content of these supplements, if it had not to be excluded on account of having preceded them; but, on the other hand, I here take for granted that it is known, for otherwise the very best would be wanting.

I wish now first of all to make a few preliminary observations from a general point of view as to the sense in which we can speak of a knowledge of the thing in itself and of its necessary limitation.

What is *knowledge*? It is primarily and essentially *idea*. What is *idea*? A very complicated *physiological* process in the brain of an animal, the result of which is the consciousness of a *picture* there. Clearly the relation between such a picture and something entirely different from the animal in whose brain it exists can only be a very indirect one. This is perhaps the simplest and most comprehensible way of disclosing the *deep gulf between the ideal and the real*. This belongs to the things of which, like the motion of the earth, we are not directly conscious; therefore the ancients did not observe it, just as they did not observe the motion of the earth. Once pointed out, on the other hand, first by Descartes, it has ever since given

philosophers no rest. But after Kant had at last proved in the most thorough manner the complete diversity of the ideal and the real, it was an attempt, as bold as it was absurd, yet perfectly correctly calculated with reference to the philosophical public in Germany, and consequently crowned with brilliant results, to try to assert the *absolute identity* of the two by dogmatic utterances, on the strength of a pretended intellectual intuition. In truth, on the contrary, a subjective and an objective existence, a being for self and a being for others, a consciousness of one's own self, and a consciousness of other things, is given us directly, and the two are given in such a fundamentally different manner that no other difference can compare with this. About himself every one knows directly, about all others only very indirectly. This is the fact and the problem.

Whether, on the other hand, through further processes in the interior of a brain, general conceptions (*Universalia*) are abstracted from the perceptible ideas or images that have arisen within it, for the assistance of further combinations, whereby knowledge becomes *rational*, and is now called *thinking* — this is here no longer the essential question, but is of subordinate significance. For all such *conceptions* receive their content only from the perceptible idea, which is therefore *primary knowledge*, and has consequently alone to be taken account of in an investigation of the relation between the ideal and the real. It therefore shows entire ignorance of the problem, or at least it is very inept, to wish to define that relation as that between *being* and *thinking*. Thinking has primarily only a relation to *perceiving*, but *perception* has a relation to the *real being* of what is perceived, and this last is the great problem with which we are here concerned. Empirical being, on the other hand, as it lies before us, is nothing else than simply being given in perception; but the relation of the latter to *thinking* is no riddle, for the conceptions, thus the immediate materials of thought, are obviously *abstracted* from perception, which no reasonable man can doubt. It may be said in passing that one can see how important the choice of expressions in philosophy is from the fact that that inept expression condemned above, and the misunderstanding which arose from it, became the foundation of the whole Hegelian pseudo-philosophy, which has occupied the German public for twenty-five years.

If, however, it should be said: "The perception is itself the knowledge of the thing in itself: for it is the effect of that which is outside of us, and as this *acts*, so it *is*: its action is just its being;" to this we reply: (1.) that the

law of causality, as has been sufficiently proved, is of subjective origin, as well as the sensation from which the perception arises; (2.) that at any rate time and space, in which the object presents itself, are of subjective origin; (3.) that if the being of the object consists simply in its action, this means that it consists merely in the changes which it brings about in others; therefore itself and in itself it is nothing at all. Only of *matter* is it true, as I have said in the text, and worked out in the essay on the principle of sufficient reason, at the end of § 21, that its being consists in its action, that it is through and through only causality, thus is itself causality objectively regarded; hence, however, it is also nothing in itself (ἡ ὕλη το ἀληθινον ψευδος, *materia mendacium verax*), but as an ingredient in the perceived object, is a mere abstraction, which for itself alone can be given in no experience. It will be fully considered later on in a chapter of its own. But the perceived object must be something *in itself*, and not merely something *for others*. For otherwise it would be altogether merely idea, and we would have an absolute idealism, which would ultimately become theoretical egoism, with which all reality disappears and the world becomes a mere subjective phantasm. If, however, without further question, we stop altogether at the *world as idea*, then certainly it is all one whether I explain objects as ideas in my head or as phenomena exhibiting themselves in time and space; for time and space themselves exist only in my head. In this sense, then, an identity of the ideal and the real might always be affirmed; only, after Kant, this would not be saying anything new. Besides this, however, the nature of things and of the phenomenal world would clearly not be thereby exhausted; but with it we would always remain still upon the ideal side. The *real* side must be something *toto genere* different from *the world as idea*, it must be that which things are *in themselves*; and it is this entire diversity between the ideal and the real which Kant has proved in the most thorough manner.

Locke had denied to the senses the knowledge of things as they are in themselves; but Kant denied this also to the perceiving *understanding*, under which name I here comprehend what he calls the *pure* sensibility, and, as it is given *a priori*, the law of causality which brings about the empirical perception. Not only are both right, but we can also see quite directly that a contradiction lies in the assertion that a thing is known as it is in and for itself, *i.e.*, outside of knowledge. For all knowing is, as we have said, essentially a perceiving of ideas; but my perception of ideas, just

because it is mine, can never be identical with the inner nature of the thing outside of me. The being in and for itself, of everything, must necessarily be *subjective*; in the idea of another, however, it exists just as necessarily as *objective* — a difference which can never be fully reconciled. For by it the whole nature of its existence is fundamentally changed; as objective it presupposes a foreign subject, as whose idea it exists, and, moreover, as Kant has shown, has entered forms which are foreign to its own nature, just because they belong to that foreign subject, whose knowledge is only possible by means of them. If I, absorbed in this reflection, perceive, let us say lifeless bodies, of easily surveyed magnitude and regular, comprehensible form, and now attempt to conceive this spatial existence, in its three dimensions, as their being in itself, consequently as the existence which to the things is subjective, the impossibility of the thing is at once apparent to me, for I can never think those objective forms as the being which to the things is subjective, rather I become directly conscious that what I there perceive is only a picture produced in my brain, and existing only for me as the knowing subject, which cannot constitute the ultimate, and therefore subjective, being in and for itself of even these lifeless bodies. But, on the other hand, I must not assume that even these lifeless bodies exist only in my idea, but, since they have inscrutable qualities, and, by virtue of these, activity, I must concede to them a *being in itself* of some kind. But this very inscrutableness of the properties, while, on the one hand, it certainly points to something which exists independently of our knowledge, gives also, on the other hand, the empirical proof that our knowledge, because it consists simply in *framing ideas* by means of subjective forms, affords us always mere *phenomena*, not the true being of things. This is the explanation of the fact that in all that we know there remains hidden from us a certain something, as quite inscrutable, and we are obliged to confess that we cannot thoroughly understand even the commonest and simplest phenomena. For it is not merely the highest productions of nature, living creatures, or the *complicated* phenomena of the unorganised world that remain inscrutable to us, but even every rock-crystal, every iron-pyrite, by reason of its crystallographical, optical, chemical, and electrical properties, is to the searching consideration and investigation an abyss of incomprehensibilities and mysteries. This could not be the case if we knew things as they are in themselves; for then at least the simpler phenomena, the path to whose qualities was not barred for us by

ignorance, would necessarily be thoroughly comprehensible to us, and their whole being and nature would be able to pass over into our knowledge. Thus it lies not in the defectiveness of our acquaintance with things, but in the nature of knowledge itself. For if our perception, and consequently the whole empirical comprehension of the things that present themselves to us, is already essentially and in the main determined by our faculty of knowledge, and conditioned by its forms and functions, it cannot but be that things exhibit themselves in a manner which is quite different from their own inner nature, and therefore appear as in a mask, which allows us merely to assume what is concealed beneath it, but never to know it; hence, then, it gleams through as an inscrutable mystery, and never can the nature of anything entire and without reserve pass over into knowledge; but much less can any real thing be construed *a priori*, like a mathematical problem. Thus the empirical inscrutableness of all natural things is a proof *a posteriori* of the ideality and merely phenomenal-actuality of their empirical existence.

According to all this, upon the path of *objective knowledge*, hence starting from the *idea*, one will never get beyond the idea, *i.e.*, the phenomenon. One will thus remain at the outside of things, and will never be able to penetrate to their inner nature and investigate what they are in themselves, *i.e.*, for themselves. So far I agree with Kant. But, as the counterpart of this truth, I have given prominence to this other truth, that we are not merely the *knowing subject*, but, in another aspect, we ourselves also belong to the inner nature that is to be known, *we ourselves are the thing in itself*; that therefore a *way from within* stands open for us to that inner nature belonging to things themselves, to which we cannot penetrate *from without*, as it were a subterranean passage, a secret alliance, which, as if by treachery, places us at once within the fortress which it was impossible to take by assault from without. The thing in itself can, as such, only come into consciousness quite directly, in this way, that *it is itself conscious of itself*: to wish to know it objectively is to desire something contradictory. Everything objective is idea, therefore appearance, mere phenomenon of the brain.

Kant's chief result may in substance be thus concisely stated: "All conceptions which have not at their foundation a perception in space and time (sensuous intuition), that is to say then, which have not been drawn from such a perception, are absolutely empty, *i.e.*, give no knowledge. But

since now perception can afford us only *phenomena*, not things in themselves, we have also absolutely no knowledge of things in themselves.” I grant this of everything, with the single exception of the knowledge which each of us has of his own *willing*: this is neither a perception (for all perception is spatial) nor is it empty; rather it is more real than any other. Further, it is not *a priori*, like merely formal knowledge, but entirely *a posteriori*; hence also we cannot anticipate it in the particular case, but are hereby often convicted of error concerning ourselves. In fact, our *willing* is the one opportunity which we have of understanding from within any event which exhibits itself without, consequently the one thing which is known to us *immediately*, and not, like all the rest, merely given in the idea. Here, then, lies the datum which alone is able to become the key to everything else, or, as I have said, the single narrow door to the truth. Accordingly we must learn to understand nature from ourselves, not conversely ourselves from nature. What is known to us immediately must give us the explanation of what we only know indirectly, not conversely. Do we perhaps understand the rolling of a ball when it has received an impulse more thoroughly than our movement when we feel a motive? Many may imagine so, but I say it is the reverse. Yet we shall attain to the knowledge that what is essential in both the occurrences just mentioned is identical; although identical in the same way as the lowest audible note of harmony is the same as the note of the same name ten octaves higher.

Meanwhile it should be carefully observed, and I have always kept it in mind, that even the inward experience which we have of our own will by no means affords us an exhaustive and adequate knowledge of the thing in itself. This would be the case if it were entirely an immediate experience; but it is effected in this way: the will, with and by means of the corporisation, provides itself also with an intellect (for the sake of its relations to the external world), and through this now knows itself as will in self-consciousness (the necessary counterpart of the external world); this knowledge therefore of the thing in itself is not fully adequate. First of all, it is bound to the form of the idea, it is apprehension, and as such falls asunder into subject and object. For even in self-consciousness the I is not absolutely simple, but consists of a knower, the intellect, and a known, the will. The former is not known, and the latter does not know, though both unite in the consciousness of an I. But just on this account that I is not thoroughly *intimate* with itself, as it were transparent, but is opaque, and

therefore remains a riddle to itself, thus even in inner knowledge there also exists a difference between the true being of its object and the apprehension of it in the knowing subject. Yet inner knowledge is free from two forms which belong to outer knowledge, the form of *space* and the form of *causality*, which is the means of effecting all sense-perception. On the other hand, there still remains the form of *time*, and that of being known and knowing in general. Accordingly in this inner knowledge the thing in itself has indeed in great measure thrown off its veil, but still does not yet appear quite naked. In consequence of the form of time which still adheres to it, every one knows his will only in its successive *acts*, and not as a whole, in and for itself: therefore no one knows his character *a priori*, but only learns it through experience and always incompletely. But yet the apprehension, in which we know the affections and acts of our own will, is far more immediate than any other. It is the point at which the thing in itself most directly enters the phenomenon and is most closely examined by the knowing subject; therefore the event thus intimately known is alone fitted to become the interpreter of all others.

For in every emergence of an act of will from the obscure depths of our inner being into the knowing consciousness a direct transition occurs of the thing in itself, which lies outside time, into the phenomenal world. Accordingly the act of will is indeed only the closest and most distinct *manifestation* of the thing in itself; yet it follows from this that if all other manifestations or phenomena could be known by us as directly and inwardly, we would be obliged to assert them to be that which the will is in us. Thus in this sense I teach that the inner nature of everything is *will*, and I call will the thing in itself. Kant's doctrine of the unknowableness of the thing in itself is hereby modified to this extent, that the thing in itself is only not absolutely and from the very foundation knowable, that yet by far the most immediate of its phenomena, which by this immediateness is *toto genere* distinguished from all the rest, represents it for us; and accordingly we have to refer the whole world of phenomena to that one in which the thing in itself appears in the very thinnest of veils, and only still remains phenomenon in so far as my intellect, which alone is capable of knowledge, remains ever distinguished from me as the willing subject, and moreover does not even in *inner* perfection put off the form of knowledge of *time*.

Accordingly, even after this last and furthest step, the question may still be raised, what that will, which exhibits itself in the world and as the world,

ultimately and absolutely is in itself? *i.e.*, what it is, regarded altogether apart from the fact that it exhibits itself as will, or in general *appears*, *i.e.*, in general is *known*. This question can never be answered: because, as we have said, becoming known is itself the contradictory of being in itself, and everything that is known is as such only phenomenal. But the possibility of this question shows that the thing in itself, which we know most directly in the will, may have, entirely outside all possible phenomenal appearance, ways of existing, determinations, qualities, which are absolutely unknowable and incomprehensible to us, and which remain as the nature of the thing in itself, when, as is explained in the fourth book, it has voluntarily abrogated itself as *will*, and has therefore retired altogether from the phenomenon, and for our knowledge, *i.e.*, as regards the world of phenomena, has passed into empty nothingness. If the will were simply and absolutely the thing in itself this nothing would also be *absolute*, instead of which it expressly presents itself to us there as only *relative*.

I now proceed to supplement with a few considerations pertinent to the subject the exposition given both in our second book and in the work “*Ueber den Willen in der Natur*,” of the doctrine that what makes itself known to us in the most immediate knowledge as will is also that which objectifies itself at different grades in all the phenomena of this world; and I shall begin by citing a number of psychological facts which prove that first of all in our own consciousness the will always appears as primary and fundamental, and throughout asserts its superiority to the intellect, which, on the other hand, always presents itself as secondary, subordinate, and conditioned. This proof is the more necessary as all philosophers before me, from the first to the last, place the true being or the kernel of man in the *knowing* consciousness, and accordingly have conceived and explained the I, or, in the case of many of them, its transcendental hypostasis called soul, as primarily and essentially *knowing*, nay, *thinking*, and only in consequence of this, secondarily and derivatively, as *willing*. This ancient and universal radical error, this enormous *πρωτον ψευδος* and fundamental *ὑστερον προτερον*, must before everything be set aside, and instead of it the true state of the case must be brought to perfectly distinct consciousness. Since, however, this is done here for the first time, after thousands of years of philosophising, some fulness of statement will be appropriate. The remarkable phenomenon, that in this most essential point all philosophers have erred, nay, have exactly reversed the truth, might, especially in the



case of those of the Christian era, be partly explicable from the fact that they all had the intention of presenting man as distinguished as widely as possible from the brutes, yet at the same time obscurely felt that the difference between them lies in the intellect, not in the will; whence there arose unconsciously within them an inclination to make the intellect the essential and principal thing, and even to explain volition as a mere function of the intellect. Hence also the conception of a soul is not only inadmissible, because it is a transcendent hypostasis, as is proved by the “Critique of Pure Reason,” but it becomes the source of irremediable errors, because in its “simple substance” it establishes beforehand an indivisible unity of knowledge and will, the separation of which is just the path to the truth. That conception must therefore appear no more in philosophy, but may be left to German doctors and physiologists, who, after they have laid aside scalpel and spattle, amuse themselves by philosophising with the conceptions they received when they were confirmed. They might certainly try their luck in England. The French physiologists and zootomists have (till lately) kept themselves free from that reproach.

The first consequence of their common fundamental error, which is very inconvenient to all these philosophers, is this: since in death the knowing consciousness obviously perishes, they must either allow death to be the annihilation of the man, to which our inner being is opposed, or they must have recourse to the assumption of a continued existence of the knowing consciousness, which requires a strong faith, for his own experience has sufficiently proved to every one the thorough and complete dependence of the knowing consciousness upon the brain, and one can just as easily believe in digestion without a stomach as in a knowing consciousness without a brain. My philosophy alone leads out of this dilemma, for it for the first time places the true being of man not in the consciousness but in the will, which is not essentially bound up with consciousness, but is related to consciousness, *i.e.*, to knowledge, as substance to accident, as something illuminated to the light, as the string to the resounding-board, and which enters consciousness from within as the corporeal world does from without. Now we can comprehend the indestructibleness of this our real kernel and true being, in spite of the evident ceasing of consciousness in death, and the corresponding non-existence of it before birth. For the intellect is as perishable as the brain, whose product or rather whose action it is. But the

brain, like the whole organism, is the product or phenomenon, in short, the subordinate of the will, which alone is imperishable.

## Chapter XIX.<sup>30</sup> On The Primacy Of The Will In Self-Consciousness.

The will, as the thing in itself, constitutes the inner, true, and indestructible nature of man; in itself, however, it is unconscious. For consciousness is conditioned by the intellect, and the intellect is a mere accident of our being; for it is a function of the brain, which, together with the nerves and spinal cord connected with it, is a mere fruit, a product, nay, so far, a parasite of the rest of the organism; for it does not directly enter into its inner constitution, but merely serves the end of self-preservation by regulating the relations of the organism to the external world. The organism itself, on the other hand, is the visibility, the objectivity, of the individual will, the image of it as it presents itself in that very brain (which in the first book we learned to recognise as the condition of the objective world in general), therefore also brought about by its forms of knowledge, space, time, and causality, and consequently presenting itself as extended, successively acting, and material, *i.e.*, as something operative or efficient. The members are both directly felt and also perceived by means of the senses only in the brain. According to this one may say: The intellect is the secondary phenomenon; the organism the primary phenomenon, that is, the immediate manifestation of the will; the will is metaphysical, the intellect physical; — the intellect, like its objects, is merely phenomenal appearance; the will alone is the thing in itself. Then, in a more and more *figurative sense*, thus by way of simile: The will is the substance of man, the intellect the accident; the will is the matter, the intellect is the form; the will is warmth, the intellect is light.

We shall now first of all verify and also elucidate this thesis by the following facts connected with the inner life of man; and on this opportunity perhaps more will be done for the knowledge of the inner man than is to be found in many systematic psychologies.

1. Not only the consciousness of other things, *i.e.*, the apprehension of the external world, but also *self-consciousness*, contains, as was mentioned already above, a knower and a known; otherwise it would not be *consciousness*. For *consciousness* consists in knowing; but knowing requires a knower and a known; therefore there could be no self-consciousness if there were not in it also a known opposed to the knower

and different from it. As there can be no object without a subject, so also there can be no subject without an object, *i.e.*, no knower without something different from it which is known. Therefore a consciousness which is through and through pure intelligence is impossible. The intelligence is like the sun, which does not illuminate space if there is no object from which its rays are reflected. The knower himself, as such, cannot be known; otherwise he would be the known of another knower. But now, as the *known* in self-consciousness we find exclusively the *will*. For not merely willing and purposing in the narrowest sense, but also all striving, wishing, shunning, hoping, fearing, loving, hating, in short, all that directly constitutes our own weal and woe, desire and aversion, is clearly only affection of the will, is a moving, a modification of willing and not-willing, is just that which, if it takes outward effect, exhibits itself as an act of will proper.<sup>31</sup> In all knowledge, however, the known is first and essential, not the knower; for the former is the *πρωτοτυπος*, the latter the *εκτυπος*. Therefore in self-consciousness also the known, thus the will, must be what is first and original; the knower, on the other hand, only what is secondary, that which has been added, the mirror. They are related very much as the luminous to the reflecting body; or, again, as the vibrating strings to the resounding-board, in which case the note produced would be consciousness. We may also regard the plant as a like symbol of consciousness. It has, we know, two poles, the root and the corona: the former struggling into darkness, moisture, and cold, the latter into light, dryness, and warmth; then, as the point of indifference of the two poles, where they part asunder, close to the ground, the collum (*rhizoma*, *le collet*). The root is what is essential, original, perennial, the death of which involves that of the corona, is thus the primary; the corona, on the other hand, is the ostensible, but it has sprung from something else, and it passes away without the root dying; it is thus secondary. The root represents the will, the corona the intellect, and the point of indifference of the two, the collum, would be the *I*, which, as their common termination, belongs to both. This *I* is the *pro tempore* identical subject of knowing and willing, whose identity I called in my very first essay (on the principle of sufficient reason), and in my first philosophical wonder, the miracle *κατ' εξοχην*. It is the temporal starting-point and connecting-link of the whole phenomenon, *i.e.*, of the objectification of the will: it conditions indeed the phenomenon, but is also conditioned by it. This comparison may even be carried to the individual

nature of men. As a large corona commonly springs only from a large root, so the greatest intellectual capabilities are only found in connection with a vehement and passionate will. A genius of a phlegmatic character and weak passions would resemble those succulent plants that, with a considerable corona consisting of thick leaves, have very small roots; will not, however, be found. That vehemence of will and passionateness of character are conditions of heightened intelligence exhibits itself physiologically through the fact that the activity of the brain is conditioned by the movement which the great arteries running towards the *basis cerebri* impart to it with each pulsation; therefore an energetic pulse, and even, according to Bichat, a short neck, is a requisite of great activity of the brain. But the opposite of the above certainly occurs: vehement desires, passionate, violent character, along with weak intellect, *i.e.*, a small brain of bad conformation in a thick skull. This is a phenomenon as common as it is repulsive: we might perhaps compare it to beetroot.

2. But in order not merely to describe consciousness figuratively, but to know it thoroughly, we have first of all to find out what appears in the same way in every consciousness, and therefore, as the common and constant element, will also be the essential. Then we shall consider what distinguishes *one* consciousness from another, which accordingly will be the adventitious and secondary element.

Consciousness is positively only known to us as a property of animal nature; therefore we must not, and indeed cannot, think of it otherwise than as *animal consciousness*, so that this expression is tautological. Now, that which in *every* animal consciousness, even the most imperfect and the weakest, is always present, nay, lies at its foundation, is an immediate sense of *longing*, and of the alternate satisfaction and non-satisfaction of it, in very different degrees. This we know to a certain extent *a priori*. For marvellously different as the innumerable species of animals are, and strange as some new form, never seen before, appears to us, we yet assume beforehand its inmost nature, with perfect certainty, as well known, and indeed fully confided to us. We know that the animal *wills*, indeed also *what* it wills, existence, well-being, life, and propagation; and since in this we presuppose with perfect certainty identity with us, we do not hesitate to attribute to it unchanged all the affections of will which we know in ourselves, and speak at once of its desire, aversion, fear, anger, hatred, love, joy, sorrow, longing, &c. On the other hand, whenever phenomena of mere

knowledge come to be spoken of we fall at once into uncertainty. We do not venture to say that the animal conceives, thinks, judges, knows: we only attribute to it with certainty ideas in general; because without them its *will* could not have those emotions referred to above. But with regard to the definite manner of knowing of the brutes and the precise limits of it in a given species, we have only indefinite conceptions, and make conjectures. Hence our understanding with them is also often difficult, and is only brought about by skill, in consequence of experience and practice. Here then lie distinctions of consciousness. On the other hand, a longing, desiring, wishing, or a detesting, shunning, and not wishing, is proper to every consciousness: man has it in common with the polyp. This is accordingly the essential element in and the basis of every consciousness. The difference of the manifestations of this in the different species of animal beings depends upon the various extension of their sphere of knowledge, in which the motives of those manifestations lie. We understand directly from our own nature all actions and behaviour of the brutes which express movements of the will; therefore, so far, we sympathise with them in various ways. On the other hand, the gulf between us and them results simply and solely from the difference of intellect. The gulf which lies between a very sagacious brute and a man of very limited capacity is perhaps not much greater than that which exists between a blockhead and a man of genius; therefore here also the resemblance between them in another aspect, which springs from the likeness of their inclinations and emotions, and assimilates them again to each other, sometimes appears with surprising prominence, and excites astonishment. This consideration makes it clear that in all animal natures the *will* is what is primary and substantial, the *intellect* again is secondary, adventitious, indeed a mere tool for the service of the former, and is more or less complete and complicated, according to the demands of this service. As a species of animals is furnished with hoofs, claws, hands, wings, horns, or teeth according to the aims of its will, so also is it furnished with a more or less developed brain, whose function is the intelligence necessary for its endurance. The more complicated the organisation becomes, in the ascending series of animals, the more numerous also are its wants, and the more varied and specially determined the objects which are capable of satisfying them; hence the more complicated and distant the paths by which these are to be obtained, which must now be all known and found: therefore in the same proportion the

ideas of the animal must be more versatile, accurate, definite, and connected, and also its attention must be more highly strung, more sustained, and more easily roused, consequently its intellect must be more developed and perfect. Accordingly we see the organ of intelligence, the cerebral system, together with all the organs of sense, keep pace with the increasing wants and the complication of the organism; and the increase of the part of consciousness that has to do with ideas (as opposed to the willing part) exhibits itself in a bodily form in the ever-increasing proportion of the brain in general to the rest of the nervous system, and of the cerebrum to the cerebellum; for (according to Flourens) the former is the workshop of ideas, while the latter is the disposer and orderer of movements. The last step which nature has taken in this respect is, however, disproportionately great. For in man not only does the faculty of ideas of *perception*, which alone existed hitherto, reach the highest degree of perfection, but the *abstract* idea, thought, *i.e.*, *reason*, and with it reflection, is added. Through this important advance of the intellect, thus of the secondary part of consciousness, it now gains a preponderance over the primary part, in so far as it becomes henceforward the predominantly active part. While in the brute the immediate sense of its satisfied or unsatisfied desire constitutes by far the most important part of its consciousness, and the more so indeed the lower the grade of the animal, so that the lowest animals are only distinguished from plants by the addition of a dull idea, in man the opposite is the case. Vehement as are his desires, even more vehement than those of any brute, rising to the level of passions, yet his consciousness remains continuously and predominantly occupied and filled with ideas and thoughts. Without doubt this has been the principal occasion of that fundamental error of all philosophers on account of which they make thought that which is essential and primary in the so-called soul, *i.e.*, in the inner or spiritual life of man, always placing it first, but will, as a mere product of thought, they regard as only a subordinate addition and consequence of it. But if willing merely proceeded from knowing, how could the brutes, even the lower grades of them, with so very little knowledge, often show such an unconquerable and vehement will? Accordingly, since that fundamental error of the philosophers makes, as it were, the accident the substance, it leads them into mistaken paths, which there is afterwards no way of getting out of. Now this relative predominance of the *knowing* consciousness over the *desiring*,

consequently of the secondary part over the primary, which appears in man, may, in particular exceptionally favoured individuals, go so far that at the moments of its highest ascendancy, the secondary or knowing part of consciousness detaches itself altogether from the willing part, and passes into free activity for itself, *i.e.*, untouched by the will, and consequently no longer serving it. Thus it becomes purely objective, and the clear mirror of the world, and from it the conceptions of genius then arise, which are the subject of our third book.

3. If we run through the series of grades of animals downwards, we see the intellect always becoming weaker and less perfect, but we by no means observe a corresponding degradation of the will. Rather it retains everywhere its identical nature and shows itself in the form of great attachment to life, care for the individual and the species, egoism and regardlessness of all others, together with the emotions that spring from these. Even in the smallest insect the will is present, complete and entire; it wills what it wills as decidedly and completely as the man. The difference lies merely in *what* it wills, *i.e.*, in the motives, which, however, are the affair of the intellect. It indeed, as the secondary part of consciousness, and bound to the bodily organism, has innumerable degrees of completeness, and is in general essentially limited and imperfect. The *will*, on the contrary, as original and the thing in itself, can never be imperfect, but every act of will is all that it can be. On account of the simplicity which belongs to the will as the thing in itself, the metaphysical in the phenomenon, its nature admits of no degrees, but is always completely itself. Only its *excitement* has degrees, from the weakest inclination to the passion, and also its susceptibility to excitement, thus its vehemence from the phlegmatic to the choleric temperament. The *intellect*, on the other hand, has not merely degrees of *excitement*, from sleepiness to being in the vein, and inspiration, but also degrees of its nature, of the completeness of this, which accordingly rises gradually from the lowest animals, which can only obscurely apprehend, up to man, and here again from the fool to the genius. The *will* alone is everywhere completely itself. For its function is of the utmost simplicity; it consists in willing and not willing, which goes on with the greatest ease, without effort, and requires no practice. Knowing, on the contrary, has multifarious functions, and never takes place entirely without effort, which is required to fix the attention and to make clear the object,



and at a higher stage is certainly needed for thinking and deliberation; therefore it is also capable of great improvement through exercise and education. If the intellect presents a simple, perceptible object to the will, the latter expresses at once its approval or disapproval of it, and this even if the intellect has laboriously inquired and pondered, in order from numerous data, by means of difficult combinations, ultimately to arrive at the conclusion as to which of the two seems to be most in conformity with the interests of the will. The latter has meanwhile been idly resting, and when the conclusion is arrived at it enters, as the Sultan enters the Divan, merely to express again its monotonous approval or disapproval, which certainly may vary in degree, but in its nature remains always the same.

This fundamentally different nature of the will and the intellect, the essential simplicity and originality of the former, in contrast to the complicated and secondary character of the latter, becomes still more clear to us if we observe their remarkable interaction within us, and now consider in the particular case, how the images and thoughts which arise in the intellect move the will, and how entirely separated and different are the parts which the two play. We can indeed perceive this even in actual events which excite the will in a lively manner, while primarily and in themselves they are merely objects of the intellect. But, on the one hand, it is here not so evident that this reality primarily existed only in the intellect; and, on the other hand, the change does not generally take place so rapidly as is necessary if the thing is to be easily surveyed, and thereby become thoroughly comprehensible. Both of these conditions, however, are fulfilled if it is merely thoughts and phantasies which we allow to act on the will. If, for example, alone with ourselves, we think over our personal circumstances, and now perhaps vividly present to ourselves the menace of an actually present danger and the possibility of an unfortunate issue, anxiety at once compresses the heart, and the blood ceases to circulate in the veins. But if then the intellect passes to the possibility of an opposite issue, and lets the imagination picture the long hoped for happiness thereby attained, all the pulses quicken at once with joy and the heart feels light as a feather, till the intellect awakes from its dream. Thereupon, suppose that an occasion should lead the memory to an insult or injury once suffered long ago, at once anger and bitterness pour into the breast that was but now at peace. But then arises, called up by accident, the image of a long-lost love, with which the whole romance and its magic scenes is connected; then that

anger will at once give place to profound longing and sadness. Finally, if there occurs to us some former humiliating incident, we shrink together, would like to sink out of sight, blush with shame, and often try forcibly to distract and divert our thoughts by some loud exclamation, as if to scare some evil spirit. One sees, the intellect plays, and the will must dance to it. Indeed the intellect makes the will play the part of a child which is alternately thrown at pleasure into joyful or sad moods by the chatter and tales of its nurse. This depends upon the fact that the will is itself without knowledge, and the understanding which is given to it is without will. Therefore the former is like a body which is moved, the latter like the causes which set it in motion, for it is the medium of motives. Yet in all this the primacy of the will becomes clear again, if this will, which, as we have shown, becomes the sport of the intellect as soon as it allows the latter to control it, once makes its supremacy in the last instance felt by prohibiting the intellect from entertaining certain ideas, absolutely preventing certain trains of thought from arising, because it knows, *i.e.*, learns from that very intellect, that they would awaken in it some one of the emotions set forth above. It now bridles the intellect, and compels it to turn to other things. Hard as this often may be, it must yet be accomplished as soon as the will is in earnest about it, for the resistance in this case does not proceed from the intellect, which always remains indifferent, but from the will itself, which in one respect has an inclination towards an idea that in another respect it abhors. It is in itself interesting to the will simply because it excites it, but at the same time abstract knowledge tells it that this idea will aimlessly cause it a shock of painful or unworthy emotion: it now decides in conformity with this abstract knowledge, and compels the obedience of the intellect. This is called "being master of oneself." Clearly the master here is the will, the servant the intellect, for in the last instance the will always keeps the upper hand, and therefore constitutes the true core, the inner being of man. In this respect the title *ἡγεμονικόν* would belong to the *will*; yet it seems, on the other hand, to apply to the *intellect*, because it is the leader and guide, like the *valet de place* who conducts a stranger. In truth, however, the happiest figure of the relation of the two is the strong blind man who carries on his shoulders the lame man who can see.

The relation of the will to the intellect here explained may also be further recognised in the fact that the intellect is originally entirely a stranger to the purposes of the will. It supplies the motives to the will, but it only learns

afterwards, completely *a posteriori*, how they have affected it, as one who makes a chemical experiment applies the reagents and awaits the result. Indeed the intellect remains so completely excluded from the real decisions and secret purposes of its own will that sometimes it can only learn them like those of a stranger, by spying upon them and surprising them, and must catch the will in the act of expressing itself in order to get at its real intentions. For example, I have conceived a plan, about which, however, I have still some scruple, but the feasibility of which, as regards its possibility, is completely uncertain, for it depends upon external and still undecided circumstances. It would therefore certainly be unnecessary to come to a decision about it at present, and so for the time I leave the matter as it is. Now in such a case I often do not know how firmly I am already attached to that plan in secret, and how much, in spite of the scruple, I wish to carry it out: that is, my intellect does not know. But now only let me receive news that it is practicable, at once there rises within me a jubilant, irresistible gladness, that passes through my whole being and takes permanent possession of it, to my own astonishment. For now my intellect learns for the first time how firmly my will had laid hold of that plan, and how thoroughly the plan suited it, while the intellect had regarded it as entirely problematical, and had with difficulty been able to overcome that scruple. Or in another case, I have entered eagerly into a contract which I believed to be very much in accordance with my wishes. But as the matter progresses the disadvantages and burdens of it are felt, and I begin to suspect that I even repent of what I so eagerly pursued; yet I rid myself of this feeling by assuring myself that even if I were not bound I would follow the same course. Now, however, the contract is unexpectedly broken by the other side, and I perceive with astonishment that this happens to my great satisfaction and relief. Often we don't know what we wish or what we fear. We may entertain a wish for years without even confessing it to ourselves, or even allowing it to come to clear consciousness; for the intellect must know nothing about it, because the good opinion which we have of ourselves might thereby suffer. But if it is fulfilled we learn from our joy, not without shame, that we have wished this. For example, the death of a near relation whose heir we are. And sometimes we do not know what we really fear, because we lack the courage to bring it to distinct consciousness. Indeed we are often in error as to the real motive from which we have done something or left it undone, till at last perhaps an accident discovers to us

the secret, and we know that what we have held to be the motive was not the true one, but another which we had not wished to confess to ourselves, because it by no means accorded with the good opinion we entertained of ourselves. For example, we refrain from doing something on purely moral grounds, as we believe, but afterwards we discover that we were only restrained by fear, for as soon as all danger is removed we do it. In particular cases this may go so far that a man does not even guess the true motive of his action, nay, does not believe himself capable of being influenced by such a motive; and yet it is the true motive of his action. We may remark in passing that in all this we have a confirmation and explanation of the rule of Larochefoucauld: "*L'amour-propre est plus habile que le plus habile homme du monde;*" nay, even a commentary on the Delphic γνώθι σαυτον and its difficulty. If now, on the contrary, as all philosophers imagine, the intellect constituted our true nature and the purposes of the will were a mere result of knowledge, then only the motive from which we imagined that we acted would be decisive of our moral worth; in analogy with the fact that the intention, not the result, is in this respect decisive. But really then the distinction between imagined and true motive would be impossible. Thus all cases here set forth, to which every one who pays attention may observe analogous cases in himself, show us how the intellect is so strange to the will that it is sometimes even mystified by it: for it indeed supplies it with motives, but does not penetrate into the secret workshop of its purposes. It is indeed a confidant of the will, but a confidant that is not told everything. This is also further confirmed by the fact, which almost every one will some time have the opportunity of observing in himself, that sometimes the intellect does not thoroughly trust the will. If we have formed some great and bold purpose, which as such is yet really only a promise made by the will to the intellect, there often remains within us a slight unconfessed doubt whether we are quite in earnest about it, whether in carrying it out we will not waver or draw back, but will have sufficient firmness and persistency to fulfil it. It therefore requires the deed to convince us ourselves of the sincerity of the purpose.

All these facts prove the absolute difference of the will and the intellect, the primacy of the former and the subordinate position of the latter.

4. The *intellect* becomes tired; the *will* is never tired. After sustained work with the head we feel the tiredness of the brain, just like that of the arm after sustained bodily work. All *knowing* is accompanied with effort;

*willing*, on the contrary, is our very nature, whose manifestations take place without any weariness and entirely of their own accord. Therefore, if our will is strongly excited, as in all emotions, thus in anger, fear, desire, grief, &c., and we are now called upon to know, perhaps with the view of correcting the motives of that emotion, the violence which we must do ourselves for this purpose is evidence of the transition from the original natural activity proper to ourselves to the derived, indirect, and forced activity. For the will alone is αὐτοματός, and therefore ἀκαματος καὶ ἀγήρατος ἡματα πάντα (*lassitudinis et senii experts in sempiternum*). It alone is active without being called upon, and therefore often too early and too much, and it knows no weariness. Infants who scarcely show the first weak trace of intelligence are already full of self-will: through unlimited, aimless roaring and shrieking they show the pressure of will with which they swell, while their willing has yet no object, *i.e.*, they will without knowing what they will. What Cabanis has observed is also in point here: “*Toutes ces passions, qui se succèdent d’une manière si rapide, et se peignent avec tant de naïveté, sur le visage mobile des enfants. Tandis que les faibles muscles de leurs bras et de leurs jambes savent encore à peine former quelque mouvemens indécis, les muscles de la face expriment déjà par des mouvemens distincts presque toute la suite des affections générales propres à la nature humaine: et l’observateur attentif reconnaît facilement dans ce tableau les traits caractéristiques de l’homme futur*” (*Rapports du Physique et Moral*, vol. i. p. 123). The intellect, on the contrary, develops slowly, following the completion of the brain and the maturity of the whole organism, which are its conditions, just because it is merely a somatic function. It is because the brain attains its full size in the seventh year that from that time forward children become so remarkably intelligent, inquisitive, and reasonable. But then comes puberty; to a certain extent it affords a support to the brain, or a resounding-board, and raises the intellect at once by a large step, as it were by an octave, corresponding to the lowering of the voice by that amount. But at once the animal desires and passions that now appear resist the reasonableness that has hitherto prevailed and to which they have been added. Further evidence is given of the indefatigable nature of the will by the fault which is, more or less, peculiar to all men by nature, and is only overcome by education — *precipitation*. It consists in this, that the will hurries to its work before the time. This work is the purely active and executive part, which ought only to

begin when the explorative and deliberative part, thus the work of knowing, has been completely and thoroughly carried out. But this time is seldom waited for. Scarcely are a few data concerning the circumstances before us, or the event that has occurred, or the opinion of others conveyed to us, superficially comprehended and hastily gathered together by knowledge, than from the depths of our being the will, always ready and never weary, comes forth unasked, and shows itself as terror, fear, hope, joy, desire, envy, grief, zeal, anger, or courage, and leads to rash words and deeds, which are generally followed by repentance when time has taught us that the hegemonicon, the intellect, has not been able to finish half its work of comprehending the circumstances, reflecting on their connection, and deciding what is prudent, because the will did not wait for it, but sprang forward long before its time with "Now it is my turn!" and at once began the active work, without the intellect being able to resist, as it is a mere slave and bondman of the will, and not, like it, *αυτοματος*, nor active from its own power and its own impulse; therefore it is easily pushed aside and silenced by a nod of the will, while on its part it is scarcely able, with the greatest efforts, to bring the will even to a brief pause, in order to speak. This is why the people are so rare, and are found almost only among Spaniards, Turks, and perhaps Englishmen, who even under circumstances of provocation *keep the head uppermost*, imperturbably proceed to comprehend and investigate the state of affairs, and when others would already be beside themselves, *con mucho sosiego*, still ask further questions, which is something quite different from the indifference founded upon apathy and stupidity of many Germans and Dutchmen. Iffland used to give an excellent representation of this admirable quality, as Hetmann of the Cossacks, in Benjowski, when the conspirators have enticed him into their tent and hold a rifle to his head, with the warning that they will fire it if he utters a cry, Iffland blew into the mouth of the rifle to try whether it was loaded. Of ten things that annoy us, nine would not be able to do so if we understood them thoroughly in their causes, and therefore knew their necessity and true nature; but we would do this much oftener if we made them the object of reflection before making them the object of wrath and indignation. For what bridle and bit are to an unmanageable horse the intellect is for the will in man; by this bridle it must be controlled by means of instruction, exhortation, culture, &c., for in itself it is as wild and impetuous an impulse as the force that appears in the descending waterfall,

may, as we know, it is at bottom identical with this. In the height of anger, in intoxication, in despair, it has taken the bit between its teeth, has run away, and follows its original nature. In the *Mania sine delirio* it has lost bridle and bit altogether, and shows now most distinctly its original nature, and that the intellect is as different from it as the bridle from the horse. In this condition it may also be compared to a clock which, when a certain screw is taken away, runs down without stopping.

Thus this consideration also shows us the will as that which is original, and therefore metaphysical; the intellect, on the other hand, as something subordinate and physical. For as such the latter is, like everything physical, subject to *vis inertiae*, consequently only active if it is set agoing by something else, the will, which rules it, manages it, rouses it to effort, in short, imparts to it the activity which does not originally reside in it. Therefore it willingly rests whenever it is permitted to do so, often declares itself lazy and disinclined to activity; through continued effort it becomes weary to the point of complete stupefaction, is exhausted, like the voltaic pile, through repeated shocks. Hence all continuous mental work demands pauses and rest, otherwise stupidity and incapacity ensue, at first of course only temporarily; but if this rest is persistently denied to the intellect it will become excessively and continuously fatigued, and the consequence is a permanent deterioration of it, which in an old man may pass into complete incapacity, into childishness, imbecility, and madness. It is not to be attributed to age in and for itself, but to long-continued tyrannical over-exertion of the intellect or brain, if this misfortune appears in the last years of life. This is the explanation of the fact that Swift became mad, Kant became childish, Walter Scott, and also Wordsworth, Southey, and many *minorum gentium*, became dull and incapable. Goethe remained to the end clear, strong, and active-minded, because he, who was always a man of the world and a courtier, never carried on his mental occupations with self-compulsion. The same holds good of Wieland and of Kuebel, who lived to the age of ninety-one, and also of Voltaire. Now all this proves how very subordinate and physical and what a mere tool the intellect is. Just on this account it requires, during almost a third part of its lifetime, the entire suspension of its activity in sleep, *i.e.*, the rest of the brain, of which it is the mere function, and which therefore just as truly precedes it as the stomach precedes digestion, or as a body precedes its impulsion, and with which in old age it flags and decays. The will, on the contrary, as the thing in itself, is

never lazy, is absolutely untiring, its activity is its essence, it never ceases willing, and when, during deep sleep, it is forsaken of the intellect, and therefore cannot act outwardly in accordance with motives, it is active as the vital force, cares the more uninterruptedly for the inner economy of the organism, and as *vis naturæ medicatrix* sets in order again the irregularities that have crept into it. For it is not, like the intellect, a function of the body; *but the body is its function*; therefore it is, *ordine rerum*, prior to the body, as its metaphysical substratum, as the in-itself of its phenomenal appearance. It shares its unwearying nature, for the time that life lasts, with the heart, that *primum mobile* of the organism, which has therefore become its symbol and synonym. Moreover, it does not disappear in the old man, but still continues to will what it has willed, and indeed becomes firmer, more inflexible, than it was in youth, more implacable, self-willed, and unmanageable, because the intellect has become less susceptible: therefore in old age the man can perhaps only be matched by taking advantage of the weakness of his intellect.

Moreover, the prevailing *weakness* and *imperfection* of the intellect, as it is shown in the want of judgment, narrow-mindedness, perversity, and folly of the great majority of men, would be quite inexplicable if the intellect were not subordinate, adventitious, and merely instrumental, but the immediate and original nature of the so-called soul, or in general of the inner man: as all philosophers have hitherto assumed it to be. For how could the original nature in its immediate and peculiar function so constantly err and fail? The *truly* original in human consciousness, the *willing*, always goes on with perfect success; every being wills unceasingly, capably, and decidedly. To regard the immorality in the will as an imperfection of it would be a fundamentally false point of view. For morality has rather a source which really lies above nature, and therefore its utterances are in contradiction with it. Therefore morality is in direct opposition to the natural will, which in itself is completely egoistic; indeed the pursuit of the path of morality leads to the abolition of the will. On this subject I refer to our fourth book and to my prize essay, "*Ueber das Fundament der Moral.*"

5. That the *will* is what is real and essential in man, and the *intellect* only subordinate, conditioned, and produced, is also to be seen in the fact that the latter can carry on its function with perfect purity and correctness only so long as the will is silent and pauses. On the other hand, the function of the



intellect is disturbed by every observable excitement of the will, and its result is falsified by the intermixture of the latter; but the converse does not hold, that the intellect should in the same way be a hindrance to the will. Thus the moon cannot shine when the sun is in the heavens, but when the moon is in the heavens it does not prevent the sun from shining.

A great *fright* often deprives us of our senses to such an extent that we are petrified, or else do the most absurd things; for example, when fire has broken out run right into the flames. *Anger* makes us no longer know what we do, still less what we say. *Zeal*, therefore called blind, makes us incapable of weighing the arguments of others, or even of seeking out and setting in order our own. *Joy* makes us inconsiderate, reckless, and foolhardy, and *desire* acts almost in the same way. *Fear* prevents us from seeing and laying hold of the resources that are still present, and often lie close beside us. Therefore for overcoming sudden dangers, and also for fighting with opponents and enemies, the most essential qualifications are *coolness and presence of mind*. The former consists in the silence of the will so that the intellect can act; the latter in the undisturbed activity of the intellect under the pressure of events acting on the will; therefore the former is the condition of the latter, and the two are nearly related; they are seldom to be found, and always only in a limited degree. But they are of inestimable advantage, because they permit the use of the intellect just at those times when we stand most in need of it, and therefore confer decided superiority. He who is without them only knows what he should have done or said when the opportunity has passed. It is very appropriately said of him who is violently moved, *i.e.*, whose will is so strongly excited that it destroys the purity of the function of the intellect, he is *disarmed*; for the correct knowledge of the circumstances and relations is our defence and weapon in the conflict with things and with men. In this sense Balthazar Gracian says: “*Es la passion enemiga declarada de la cordura*” (Passion is the declared enemy of prudence). If now the intellect were not something completely different from the will, but, as has been hitherto supposed, knowing and willing had the same root, and were equally original functions of an absolutely simple nature, then with the rousing and heightening of the will, in which the emotion consists, the intellect would necessarily also be heightened; but, as we have seen, it is rather hindered and depressed by this; whence the ancients called emotion *animi perturbatio*. The intellect is really like the reflecting surface of water, but the water itself is like the will,

whose disturbance therefore at once destroys the clearness of that mirror and the distinctness of its images. The *organism* is the will itself, is embodied will, *i.e.*, will objectively perceived in the brain. Therefore many of its functions, such as respiration, circulation, secretion of bile, and muscular power, are heightened and accelerated by the pleasurable, and in general the healthy, emotions. The *intellect*, on the other hand, is the mere function of the brain, which is only nourished and supported by the organism as a parasite. Therefore every perturbation of the *will*, and with it of the *organism*, must disturb and paralyse the function of the brain, which exists for itself and for no other wants than its own, which are simply rest and nourishment.

But this disturbing influence of the activity of the will upon the intellect can be shown, not only in the perturbations brought about by emotions, but also in many other, more gradual, and therefore more lasting falsifications of thought by our inclinations. *Hope* makes us regard what we wish, and *fear* what we are apprehensive of, as probable and near, and both exaggerate their object. Plato (according to Ælian, V.H., 13, 28) very beautifully called hope the dream of the waking. Its nature lies in this, that the will, when its servant the intellect is not able to produce what it wishes, obliges it at least to picture it before it, in general to undertake the roll of comforter, to appease its lord with fables, as a nurse a child, and so to dress these out that they gain an appearance of likelihood. Now in this the intellect must do violence to its own nature, which aims at the truth, for it compels it, contrary to its own laws, to regard as true things which are neither true nor probable, and often scarcely possible, only in order to appease, quiet, and send to sleep for a while the restless and unmanageable *will*. Here we see clearly who is master and who is servant. Many may well have observed that if a matter which is of importance to them may turn out in several different ways, and they have brought all of these into one disjunctive judgment which in their opinion is complete, the actual result is yet quite another, and one wholly unexpected by them: but perhaps they will not have considered this, that this result was then almost always the one which was unfavourable to them. The explanation of this is, that while their *intellect* intended to survey the possibilities completely, the worst of all remained quite invisible to it; because the *will*, as it were, covered it with its hand, that is, it so mastered the intellect that it was quite incapable of glancing at the worst case of all, although, since it actually came to pass, this was also

the most probable case. Yet in very melancholy dispositions, or in those that have become prudent through experience like this, the process is reversed, for here apprehension plays the part which was formerly played by hope. The first appearance of danger throws them into groundless anxiety. If the intellect begins to investigate the matter it is rejected as incompetent, nay, as a deceitful sophist, because the heart is to be believed, whose fears are now actually allowed to pass for arguments as to the reality and greatness of the danger. So then the intellect dare make no search for good reasons on the other side, which, if left to itself, it would soon recognise, but is obliged at once to picture to them the most unfortunate issue, even if it itself can scarcely think this issue possible:

“Such as we know is false, yet dread in sooth,  
Because the worst is ever nearest truth.”

— Byron (*Lara*, c. 1).

*Love* and *hate* falsify our judgment entirely. In our enemies we see nothing but faults — in our loved ones nothing but excellences, and even their faults appear to us amiable. Our *interest*, of whatever kind it may be, exercises a like secret power over our judgment; what is in conformity with it at once seems to us fair, just, and reasonable; what runs contrary to it presents itself to us, in perfect seriousness, as unjust and outrageous, or injudicious and absurd. Hence so many prejudices of position, profession, nationality, sect, and religion. A conceived hypothesis gives us lynx-eyes for all that confirms it, and makes us blind to all that contradicts it. What is opposed to our party, our plan, our wish, our hope, we often cannot comprehend and grasp at all, while it is clear to every one else; but what is favourable to these, on the other hand, strikes our eye from afar. What the heart opposes the head will not admit. We firmly retain many errors all through life, and take care never to examine their ground, merely from a fear, of which we ourselves are conscious, that we might make the discovery that we had so long believed and so often asserted what is false. Thus then is the intellect daily befooled and corrupted by the impositions of inclination. This has been very beautifully expressed by Bacon of Verulam in the words: *Intellectus luminis sicci non est; sed recipit infusionem a voluntate et affectibus: id quod generat ad quod vult scientias: quod enim mavult homo, id potius credit. Innumeris modis, iisque interdum imperceptibilibus, affectus intellectum imbuit et inficit* (Org. Nov., i. 14). Clearly it is also this that opposes all new fundamental opinions in the

sciences and all refutations of sanctioned errors, for one will not easily see the truth of that which convicts one of incredible want of thought. It is explicable, on this ground alone, that the truths of Goethe's doctrine of colours, which are so clear and simple, are still denied by the physicists; and thus Goethe himself has had to learn what a much harder position one has if one promises men instruction than if one promises them amusement. Hence it is much more fortunate to be born a poet than a philosopher. But the more obstinately an error was held by the other side, the more shameful does the conviction afterwards become. In the case of an overthrown system, as in the case of a conquered army, the most prudent is he who first runs away from it.

A trifling and absurd, but striking example of that mysterious and immediate power which the will exercises over the intellect, is the fact that in doing accounts we make mistakes much oftener in our own favour than to our disadvantage, and this without the slightest dishonest intention, merely from the unconscious tendency to diminish our *Debit* and increase our *Credit*.

Lastly, the fact is also in point here, that when advice is given the slightest aim or purpose of the adviser generally outweighs his insight, however great it may be; therefore we dare not assume that he speaks from the latter when we suspect the existence of the former. How little perfect sincerity is to be expected even from otherwise honest persons whenever their interests are in any way concerned we can gather from the fact that we so often deceive ourselves when hope bribes us, or fear befools us, or suspicion torments us, or vanity flatters us, or an hypothesis blinds us, or a small aim which is close at hand injures a greater but more distant one; for in this we see the direct and unconscious disadvantageous influence of the will upon knowledge. Accordingly it ought not to surprise us if in asking advice the will of the person asked directly dictates the answer even before the question could penetrate to the forum of his judgment.

I wish in a single word to point out here what will be fully explained in the following book, that the most perfect knowledge, thus the purely objective comprehension of the world, *i.e.*, the comprehension of genius, is conditioned by a silence of the will so profound that while it lasts even the individuality vanishes from consciousness and the man remains *as the pure subject of knowing*, which is the correlative of the *Idea*.

The disturbing influence of the will upon the intellect, which is proved by all these phenomena, and, on the other hand, the weakness and frailty of the latter, on account of which it is incapable of working rightly whenever the will is in any way moved, gives us then another proof that the will is the radical part of our nature, and acts with original power, while the intellect, as adventitious and in many ways conditioned, can only act in a subordinate and conditional manner.

There is no direct disturbance of the will by the intellect corresponding to the disturbance and clouding of knowledge by the will that has been shown. Indeed we cannot well conceive such a thing. No one will wish to construe as such the fact that motives wrongly taken up lead the will astray, for this is a fault of the intellect in its own function, which is committed quite within its own province, and the influence of which upon the will is entirely indirect. It would be plausible to attribute *irresolution* to this, for in its case, through the conflict of the motives which the intellect presents to the will, the latter is brought to a standstill, thus is hindered. But when we consider it more closely, it becomes very clear that the cause of this hindrance does not lie in the activity of the *intellect* as such, but entirely in *external objects* which are brought about by it, for in this case they stand in precisely such a relation to the will, which is here interested, that they draw it with nearly equal strength in different directions. This real cause merely acts *through* the intellect as the medium of motives, though certainly under the assumption that it is keen enough to comprehend the objects in their manifold relations. Irresolution, as a trait of character, is just as much conditioned by qualities of the will as of the intellect. It is certainly not peculiar to exceedingly limited minds, for their weak understanding does not allow them to discover such manifold qualities and relations in things, and moreover is so little fitted for the exertion of reflection and pondering these, and then the probable consequences of each step, that they rather decide at once according to the first impression, or according to some simple rule of conduct. The converse of this occurs in the case of persons of considerable understanding. Therefore, whenever such persons also possess a tender care for their own well-being, *i.e.*, a very sensitive egoism, which constantly desires to come off well and always to be safe, this introduces a certain anxiety at every step, and thereby irresolution. This quality therefore indicates throughout not a want of understanding but a want of courage. Yet very eminent minds survey the relations and their probable developments

with such rapidity and certainty, that if they are only supported by some courage they thereby acquire that quick decision and resolution that fits them to play an important part in the affairs of the world, if time and circumstances afford them the opportunity.

The only decided, direct restriction and disturbance which the will can suffer from the intellect as such may indeed be the quite exceptional one, which is the consequence of an abnormally preponderating development of the intellect, thus of that high endowment which has been defined as genius. This is decidedly a hindrance to the energy of the character, and consequently to the power of action. Hence it is not the really great minds that make historical characters, because they are capable of bridling and ruling the mass of men and carrying out the affairs of the world; but for this persons of much less capacity of mind are qualified when they have great firmness, decision, and persistency of will, such as is quite inconsistent with very high intelligence. Accordingly, where this very high intelligence exists we actually have a case in which the intellect directly restricts the will.

6. In opposition to the hindrances and restrictions which it has been shown the intellect suffers from the will, I wish now to show, in a few examples, how, conversely, the functions of the intellect are sometimes aided and heightened by the incitement and spur of the will; so that in this also we may recognise the primary nature of the one and the secondary nature of the other, and it may become clear that the intellect stands to the will in the relation of a tool.

A motive which affects us strongly, such as a yearning desire or a pressing need, sometimes raises the intellect to a degree of which we had not previously believed it capable. Difficult circumstances, which impose upon us the necessity of certain achievements, develop entirely new talents in us, the germs of which were hidden from us, and for which we did not credit ourselves with any capacity. The understanding of the stupidest man becomes keen when objects are in question that closely concern his wishes; he now observes, weighs, and distinguishes with the greatest delicacy even the smallest circumstances that have reference to his wishes or fears. This has much to do with the cunning of half-witted persons, which is often remarked with surprise. On this account Isaiah rightly says, *vexatio dat intellectum*, which is therefore also used as a proverb. Akin to it is the German proverb, "*Die Noth ist die Mutter der Künste*" ("Necessity is the mother of the arts"); when, however, the fine arts are to be excepted,

because the heart of every one of their works, that is, the conception, must proceed from a perfectly will-less, and only thereby purely objective, perception, if they are to be genuine. Even the understanding of the brutes is increased considerably by necessity, so that in cases of difficulty they accomplish things at which we are astonished. For example, they almost all calculate that it is safer not to run away when they believe they are not seen; therefore the hare lies still in the furrow of the field and lets the sportsman pass close to it; insects, when they cannot escape, pretend to be dead, &c. We may obtain a fuller knowledge of this influence from the special history of the self-education of the wolf, under the spur of the great difficulty of its position in civilised Europe; it is to be found in the second letter of Leroy's excellent book, "*Lettres sur l'intelligence et la perfectibilité des animaux.*" Immediately afterwards, in the third letter, there follows the high school of the fox, which in an equally difficult position has far less physical strength. In its case, however, this is made up for by great understanding; yet only through the constant struggle with want on the one hand and danger on the other, thus under the spur of the will, does it attain that high degree of cunning which distinguishes it especially in old age. In all these enhancements of the intellect the will plays the part of a rider who with the spur urges the horse beyond the natural measure of its strength.

In the same way the memory is enhanced through the pressure of the will. Even if it is otherwise weak, it preserves perfectly what has value for the ruling passion. The lover forgets no opportunity favourable to him, the ambitious man forgets no circumstance that can forward his plans, the avaricious man never forgets the loss he has suffered, the proud man never forgets an injury to his honour, the vain man remembers every word of praise and the most trifling distinction that falls to his lot. And this also extends to the brutes: the horse stops at the inn where once long ago it was fed; dogs have an excellent memory for all occasions, times, and places that have afforded them choice morsels; and foxes for the different hiding-places in which they have stored their plunder.

Self-consideration affords opportunity for finer observations in this regard. Sometimes, through an interruption, it has entirely escaped me what I have just been thinking about, or even what news I have just heard. Now if the matter had in any way even the most distant personal interest, the after-feeling of the impression which it made upon the *will* has remained. I am still quite conscious how far it affected me agreeably or disagreeably, and

also of the special manner in which this happened, whether, even in the slightest degree, it vexed me, or made me anxious, or irritated me, or depressed me, or produced the opposite of these affections. Thus the mere relation of the thing to my will is retained in the memory after the thing itself has vanished, and this often becomes the clue to lead us back to the thing itself. The sight of a man sometimes affects us in an analogous manner, for we remember merely in general that we have had something to do with him, yet without knowing where, when, or what it was, or who he is. But the sight of him still recalls pretty accurately the feeling which our dealings with him excited in us, whether it was agreeable or disagreeable, and also in what degree and in what way. Thus our memory has preserved only the response of the will, and not that which called it forth. We might call what lies at the foundation of this process the memory of the heart; it is much more intimate than that of the head. Yet at bottom the connection of the two is so far-reaching that if we reflect deeply upon the matter we will arrive at the conclusion that memory in general requires the support of a will as a connecting point, or rather as a thread upon which the memories can range themselves, and which holds them firmly together, or that the will is, as it were, the ground to which the individual memories cleave, and without which they could not last; and that therefore in a pure intelligence, *i.e.*, in a merely knowing and absolutely will-less being, a memory cannot well be conceived. Accordingly the improvement of the memory under the spur of the ruling passion, which has been shown above, is only the higher degree of that which takes place in all retention and recollection; for its basis and condition is always the will. Thus in all this also it becomes clear how very much more essential to us the will is than the intellect. The following facts may also serve to confirm this.

The intellect often obeys the will; for example, if we wish to remember something, and after some effort succeed; so also if we wish now to ponder something carefully and deliberately, and in many such cases. Sometimes, again, the intellect refuses to obey the will; for example, if we try in vain to fix our minds upon something, or if we call in vain upon the memory for something that was intrusted to it. The anger of the will against the intellect on such occasions makes its relation to it and the difference of the two very plain. Indeed the intellect, vexed by this anger, sometimes officiously brings what was asked of it hours afterwards, or even the following morning, quite unexpectedly and unseasonably. On the other hand, the will never really



obeys the intellect; but the latter is only the ministerial council of that sovereign; it presents all kinds of things to the will, which then selects what is in conformity with its nature, though in doing so it determines itself with necessity, because this nature is unchangeable and the motives now lie before it. Hence no system of ethics is possible which moulds and improves the will itself. For all teaching only affects *knowledge*, and knowledge never determines the will itself, *i.e.*, the *fundamental character* of willing, but only its application to the circumstances present. Rectified knowledge can only modify conduct so far as it proves more exactly and judges more correctly what objects of the will's choice are within its reach; so that the will now measures its relation to things more correctly, sees more clearly what it desires, and consequently is less subject to error in its choice. But over the will itself, over the main tendency or fundamental maxim of it, the intellect has no power. To believe that knowledge really and fundamentally determines the will is like believing that the lantern which a man carries by night is the *primum mobile* of his steps. Whoever, taught by experience or the admonitions of others, knows and laments a fundamental fault of his character, firmly and honestly forms the intention to reform and give it up; but in spite of this, on the first opportunity, the fault receives free course. New repentance, new intentions, new transgressions. When this has been gone through several times he becomes conscious that he cannot improve himself, that the fault lies in his nature and personality, indeed is one with this. Now he will blame and curse his nature and personality, will have a painful feeling, which may rise to anguish of consciousness, but to change these he is not able. Here we see that which condemns and that which is condemned distinctly separate: we see the former as a merely theoretical faculty, picturing and presenting the praiseworthy, and therefore desirable, course of life, but the other as something real and unchangeably present, going quite a different way in spite of the former: and then again the first remaining behind with impotent lamentations over the nature of the other, with which, through this very distress, it again identifies itself. Will and intellect here separate very distinctly. But here the will shows itself as the stronger, the invincible, unchangeable, primitive, and at the same time as the essential thing in question, for the intellect deplores its errors, and finds no comfort in the correctness of the *knowledge*, as its own function. Thus the intellect shows itself entirely secondary, as the spectator of the deeds of another, which it accompanies with impotent praise and blame, and also as

determinable from without, because it learns from experience, weighs and alters its precepts. Special illustrations of this subject will be found in the "*Parerga*," vol. ii. § 118 (second ed., § 119.) Accordingly, a comparison of our manner of thinking at different periods of our life will present a strange mixture of permanence and changeableness. On the one hand, the moral tendency of the man in his prime and the old man is still the same as was that of the boy; on the other hand, much has become so strange to him that he no longer knows himself, and wonders how he ever could have done or said this and that. In the first half of life to-day for the most part laughs at yesterday, indeed looks down on it with contempt; in the second half, on the contrary, it more and more looks back at it with envy. But on closer examination it will be found that the changeable element was the *intellect*, with its functions of insight and knowledge, which, daily appropriating new material from without, presents a constantly changing system of thought, while, besides this, it itself rises and sinks with the growth and decay of the organism. The will, on the contrary, the basis of this, thus the inclinations, passions, and emotions, the character, shows itself as what is unalterable in consciousness. Yet we have to take account of the modifications that depend upon physical capacities for enjoyment, and hence upon age. Thus, for example, the eagerness for sensuous pleasure will show itself in childhood as a love of dainties, in youth and manhood as the tendency to sensuality, and in old age again as a love of dainties.

7. If, as is generally assumed, the will proceeded from knowledge, as its result or product, then where there is much will there would necessarily also be much knowledge, insight, and understanding. This, however, is absolutely not the case; rather, we find in many men a strong, *i.e.*, decided, resolute, persistent, unbending, wayward, and vehement will, combined with a very weak and incapable understanding, so that every one who has to do with them is thrown into despair, for their will remains inaccessible to all reasons and ideas, and is not to be got at, so that it is hidden, as it were, in a sack, out of which it wills blindly. Brutes have often violent, often stubborn wills, but yet very little understanding. Finally, plants only will without any knowledge at all.

If willing sprang merely from knowledge, our *anger* would necessarily be in every case exactly proportionate to the occasion, or at least to our relation to it, for it would be nothing more than the result of the present knowledge. This, however, is rarely the case; rather, anger generally goes

far beyond the occasion. Our fury and rage, the *furor brevis*, often upon small occasions, and without error regarding them, is like the raging of an evil spirit which, having been shut up, only waits its opportunity to dare to break loose, and now rejoices that it has found it. This could not be the case if the foundation of our nature were a *knower*, and willing were merely a result of *knowledge*; for how came there into the result what did not lie in the elements? The conclusion cannot contain more than the premisses. Thus here also the will shows itself as of a nature quite different from knowledge, which only serves it for communication with the external world, but then the will follows the laws of its own nature without taking from the intellect anything but the occasion.

The intellect, as the mere tool of the will, is as different from it as the hammer from the smith. So long as in a conversation the intellect alone is active it remains *cold*. It is almost as if the man himself were not present. Moreover, he cannot then, properly speaking, compromise himself, but at the most can make himself ridiculous. Only when the will comes into play is the man really present: now he becomes *warm*, nay, it often happens, *hot*. It is always the will to which we ascribe the warmth of life; on the other hand, we say the *cold* understanding, or to investigate a thing *coolly*, *i.e.*, to think without being influenced by the will. If we attempt to reverse the relation, and to regard the will as the tool of the intellect, it is as if we made the smith the tool of the hammer.

Nothing is more provoking, when we are arguing against a man with reasons and explanations, and taking all pains to convince him, under the impression that we have only to do with his *understanding*, than to discover at last that he *will* not understand; that thus we had to do with his *will*, which shuts itself up against the truth and brings into the field wilful misunderstandings, chicaneries, and sophisms in order to intrench itself behind its understanding and its pretended want of insight. Then he is certainly not to be got at, for reasons and proofs applied against the will are like the blows of a phantom produced by mirrors against a solid body. Hence the saying so often repeated, "*Stat pro ratione voluntas.*" Sufficient evidence of what has been said is afforded by ordinary life. But unfortunately proofs of it are also to be found on the path of the sciences. The recognition of the most important truths, of the rarest achievements, will be looked for in vain from those who have an interest in preventing them from being accepted, an interest which either springs from the fact

that such truths contradict what they themselves daily teach, or else from this, that they dare not make use of them and teach them; or if all this be not the case they will not accept them, because the watchword of mediocrity will always be, *Si quelqu'un excelle parmi nous, qu'il aille exceller ailleurs*, as Helvetius has admirably rendered the saying of the Ephesian in the fifth book of Cicero's "*Tusculanæ*" (c. 36), or as a saying of the Abyssinian Fit Arari puts it, "Among quartzes adamant is outlawed." Thus whoever expects from this always numerous band a just estimation of what he has done will find himself very much deceived, and perhaps for a while he will not be able to understand their behaviour, till at last he finds out that while he applied himself to *knowledge* he had to do with the *will*, thus is precisely in the position described above, nay, is really like a man who brings his case before a court the judges of which have all been bribed. Yet in particular cases he will receive the fullest proof that their will and not their insight opposed him, when one or other of them makes up his mind to plagiarism. Then he will see with astonishment what good judges they are, what correct perception of the merit of others they have, and how well they know how to find out the best, like the sparrows, who never miss the ripest cherries.

The counterpart of the victorious resistance of the will to knowledge here set forth appears if in expounding our reasons and proofs we have the will of those addressed with us. Then all are at once convinced, all arguments are telling, and the matter is at once clear as the day. This is well known to popular speakers. In the one case, as in the other, the will shows itself as that which has original power, against which the intellect can do nothing.

8. But now we shall take into consideration the individual qualities, thus excellences and faults of the will and character on the one hand, and of the intellect on the other, in order to make clear, in their relation to each other, and their relative worth, the complete difference of the two fundamental faculties. History and experience teach that the two appear quite independently of each other. That the greatest excellence of mind will not easily be found combined with equal excellence of character is sufficiently explained by the extraordinary rarity of both, while their opposites are everywhere the order of the day; hence we also daily find the latter in union. However, we never infer a good will from a superior mind, nor the latter from the former, nor the opposite from the opposite, but every unprejudiced person accepts them as perfectly distinct qualities, the presence of which each for itself has to be learned from experience. Great

narrowness of mind may coexist with great goodness of heart, and I do not believe Balthazar Gracian was right in saying (*Discreto*, p. 406), “*No ay simple, que no sea malicioso*” (“There is no simpleton who would not be malicious”), though he has the Spanish proverb in his favour, “*Nunca la necedad anduvo sin malicia*” (“Stupidity is never without malice”). Yet it may be that many stupid persons become malicious for the same reason as many hunchbacks, from bitterness on account of the neglect they have suffered from nature, and because they think they can occasionally make up for what they lack in understanding through malicious cunning, seeking in this a brief triumph. From this, by the way, it is also comprehensible why almost every one easily becomes malicious in the presence of a very superior mind. On the other hand, again, stupid people have very often the reputation of special good-heartedness, which yet so seldom proves to be the case that I could not help wondering how they had gained it, till I was able to flatter myself that I had found the key to it in what follows. Moved by a secret inclination, every one likes best to choose for his more intimate intercourse some one to whom he is a little superior in understanding, for only in this case does he find himself at his ease, because, according to Hobbes, “*Omnis animi voluptas, omnisque alacritas in eo sita est, quod quis habeat, quibuscum conferens se, possit magnifice sentire de se ipso*” (*De Cive*, i. 5). For the same reason every one avoids him who is superior to himself; wherefore Lichtenberg quite rightly observes: “To certain men a man of mind is a more odious production than the most pronounced rogue.” And similarly Helvetius says: “*Les gens médiocres ont un instinct sûr et prompt, pour connaître et fuir les gens d’esprit.*” And Dr. Johnson assures us that “there is nothing by which a man exasperates most people more than by displaying a superior ability of brilliancy in conversation. They seem pleased at the time, but their envy makes them curse him in their hearts” (Boswell; aet. anno 74). In order to bring this truth, so universal and so carefully concealed, more relentlessly to light, I add the expression of it by Merck, the celebrated friend of Goethe’s youth, from his story “*Lindor*.” “He possessed talents which were given him by nature and acquired by himself through learning; and thus it happened that in most society he left the worthy members of it far behind.” If, in the moment of delight at the sight of an extraordinary man, the public swallows these superiorities also, without actually at once putting a bad construction upon them, yet a certain impression of this phenomenon remains behind, which, if it is often

repeated, may on serious occasions have disagreeable future consequences for him who is guilty of it. Without any one consciously noting that on this occasion he was insulted, no one is sorry to place himself tacitly in the way of the advancement of this man. Thus on this account great mental superiority isolates more than anything else, and makes one, at least silently, hated. Now it is the opposite of this that makes stupid people so generally liked; especially since many can only find in them what, according to the law of their nature referred to above, they must seek. Yet this the true reason of such an inclination no one will confess to himself, still less to others; and therefore, as a plausible pretext for it, will impute to those he has selected a special goodness of heart, which, as we have said, is in reality only very rarely and accidentally found in combination with mental incapacity. Want of understanding is accordingly by no means favourable or akin to goodness of character. But, on the other hand, it cannot be asserted that great understanding is so; nay, rather, no scoundrel has in general been without it. Indeed even the highest intellectual eminence can coexist with the worst moral depravity. An example of this is afforded by Bacon of Verulam: “Ungrateful, filled with the lust of power, wicked and base, he at last went so far that, as Lord Chancellor and the highest judge of the realm, he frequently allowed himself to be bribed in civil actions. Impeached before his peers, he confessed himself guilty, was expelled by them from the House of Lords, and condemned to a fine of forty thousand pounds and imprisonment in the Tower” (see the review of the latest edition of Bacon’s Works in the *Edinburgh Review*, August 1837). Hence also Pope called him “the wisest, brightest, meanest of mankind” (“Essay on Man,” iv. 282). A similar example is afforded by the historian Guicciardini, of whom Rosini says in the *Notizie Storiche*, drawn from good contemporary sources, which is given in his historical romance “*Luisa Strozzi*.” “*Da coloro, che pongono l’ingegno e il sapere al di sopra di tutte le umane qualità, questo uomo sarà riguardato come fra i più grandi del suo secolo: ma da quelli, che reputano la virtù dovere andare innanzi a tutto, non potrà esecrarsi abbastanza la sua memoria. Esso fu il più crudele fra i cittadini a perseguitare, uccidere e confinare,*” &c.<sup>32</sup>

If now it is said of one man, “He has a good heart, though a bad head,” but of another, “He has a very good head, yet a bad heart,” every one feels that in the first case the praise far outweighs the blame — in the other case the reverse. Answering to this, we see that if some one has done a bad deed

his friends and he himself try to remove the guilt from the *will* to the *intellect*, and to give out that faults of the heart were faults of the head; roguish tricks they will call *errors*, will say they were merely want of understanding, want of reflection, light-mindedness, folly; nay, if need be, they will plead a paroxysm, momentary mental aberration, and if a heavy crime is in question, even madness, only in order to free the *will* from the guilt. And in the same way, we ourselves, if we have caused a misfortune or injury, will before others and ourselves willingly impeach our *stultitia*, simply in order to escape the reproach of *malitia*. In the same way, in the case of the equally unjust decision of the judge, the difference, whether he has erred or been bribed, is so infinitely great. All this sufficiently proves that the *will* alone is the real and essential, the kernel of the man, and the *intellect* is merely its tool, which may be constantly faulty without the will being concerned. The accusation of want of understanding is, at the moral judgment-seat, no accusation at all; on the contrary, it even gives privileges. And so also, before the courts of the world, it is everywhere sufficient to deliver a criminal from all punishment that his guilt should be transferred from his will to his intellect, by proving either unavoidable error or mental derangement, for then it is of no more consequence than if hand or foot had slipped against the will. I have fully discussed this in the appendix, “*Ueber die Intellectuelle Freiheit*,” to my prize essay on the freedom of the will, to which I refer to avoid repetition.

Everywhere those who are responsible for any piece of work appeal, in the event of its turning out unsatisfactorily, to their good intentions, of which there was no lack. Hereby they believe that they secure the essential, that for which they are properly answerable, and their true self; the inadequacy of their faculties, on the other hand, they regard as the want of a suitable tool.

If a man is *stupid*, we excuse him by saying that he cannot help it; but if we were to excuse a *bad* man on the same grounds we would be laughed at. And yet the one, like the other, is innate. This proves that the will is the man proper, the intellect merely its tool.

Thus it is always only our *willing* that is regarded as depending upon ourselves, *i.e.*, as the expression of our true nature, and for which we are therefore made responsible. Therefore it is absurd and unjust if we are taken to task for our beliefs, thus for our knowledge: for we are obliged to regard

this as something which, although it changes in us, is as little in our power as the events of the external world. And here, also, it is clear that the *will* alone is the inner and true nature of man; the *intellect*, on the contrary, with its operations, which go on as regularly as the external world, stands to the will in the relation of something external to it, a mere tool.

High mental capacities have always been regarded as the gift of nature or the gods; and on that account they have been called *Gaben*, *Begabung*, *ingenii dotes*, gifts (a man highly gifted), regarding them as something different from the man himself, something that has fallen to his lot through favour. No one, on the contrary, has ever taken this view of moral excellences, although they also are innate; they have rather always been regarded as something proceeding from the man himself, essentially belonging to him, nay, constituting his very self. But it follows now from this that the will is the true nature of man; the intellect, on the other hand, is secondary, a tool, a gift.

Answering to this, all religions promise a reward beyond life, in eternity, for excellences of the *will* or heart, but none for excellences of the head or understanding. Virtue expects its reward in that world; prudence hopes for it in this; genius, again, neither in this world nor in that; it is its own reward. Accordingly the will is the eternal part, the intellect the temporal.

Connection, communion, intercourse among men is based, as a rule, upon relations which concern the *will*, not upon such as concern the *intellect*. The first kind of communion may be called the *material*, the other the *formal*. Of the former kind are the bonds of family and relationship, and further, all connections that rest upon any common aim or interest, such as that of trade or profession, of the corporation, the party, the faction, &c. In these it merely amounts to a question of views, of aims; along with which there may be the greatest diversity of intellectual capacity and culture. Therefore not only can any one live in peace and unity with any one else, but can act with him and be allied to him for the common good of both. Marriage also is a bond of the heart, not of the head. It is different, however, with merely formal communion, which aims only at an exchange of thought; this demands a certain equality of intellectual capacity and culture. Great differences in this respect place between man and man an impassable gulf: such lies, for example, between a man of great mind and a fool, between a scholar and a peasant, between a courtier and a sailor. Natures as heterogeneous as this have therefore trouble in making themselves



intelligible so long as it is a question of exchanging thoughts, ideas, and views. Nevertheless close *material* friendship may exist between them, and they may be faithful allies, conspirators, or men under mutual pledges. For in all that concerns the will alone, which includes friendship, enmity, honesty, fidelity, falseness, and treachery, they are perfectly homogeneous, formed of the same clay, and neither mind nor culture make any difference here; indeed here the ignorant man often shames the scholar, the sailor the courtier. For at the different grades of culture there are the same virtues and vices, emotions and passions; and although somewhat modified in their expression, they very soon mutually recognise each other even in the most heterogeneous individuals, upon which the similarly disposed agree and the opposed are at enmity.

Brilliant qualities of mind win admiration, but never affection; this is reserved for the moral, the qualities of the character. Every one will choose as his friend the honest, the good-natured, and even the agreeable, complaisant man, who easily concurs, rather than the merely able man. Indeed many will be preferred to the latter, on account of insignificant, accidental, outward qualities which just suit the inclination of another. Only the man who has much mind himself will wish able men for his society; his friendship, on the other hand, he will bestow with reference to moral qualities; for upon this depends his really high appreciation of a man in whom a single good trait of character conceals and expiates great want of understanding. The known goodness of a character makes us patient and yielding towards weaknesses of understanding, as also towards the dulness and childishness of age. A distinctly noble character along with the entire absence of intellectual excellence and culture presents itself as lacking nothing; while, on the contrary, even the greatest mind, if affected with important moral faults, will always appear blamable. For as torches and fireworks become pale and insignificant in the presence of the sun, so intellect, nay, genius, and also beauty, are outshone and eclipsed by the goodness of the heart. When this appears in a high degree it can make up for the want of those qualities to such an extent that one is ashamed of having missed them. Even the most limited understanding, and also grotesque ugliness, whenever extraordinary goodness of heart declares itself as accompanying them, become as it were transfigured, outshone by a beauty of a higher kind, for now a wisdom speaks out of them before which all other wisdom must be dumb. For goodness of heart is a transcendent

quality; it belongs to an order of things that reaches beyond this life, and is incommensurable with any other perfection. When it is present in a high degree it makes the heart so large that it embraces the world, so that now everything lies within it, no longer without; for it identifies all natures with its own. It then extends to others also that boundless indulgence which otherwise each one only bestows on himself. Such a man is incapable of becoming angry; even if the malicious mockery and sneers of others have drawn attention to his own intellectual or physical faults, he only reproaches himself in his heart for having been the occasion of such expressions, and therefore, without doing violence to his own feelings, proceeds to treat those persons in the kindest manner, confidently hoping that they will turn from their error with regard to him, and recognise themselves in him also. What is wit and genius against this? — what is Bacon of Verulam?

Our estimation of our own selves leads to the same result as we have here obtained by considering our estimation of others. How different is the self-satisfaction which we experience in a moral regard from that which we experience in an intellectual regard! The former arises when, looking back on our conduct, we see that with great sacrifices we have practised fidelity and honesty, that we have helped many, forgiven many, have behaved better to others than they have behaved to us; so that we can say with King Lear, “I am a man more sinned against than sinning;” and to its fullest extent if perhaps some noble deed shines in our memory. A deep seriousness will accompany the still peace which such a review affords us; and if we see that others are inferior to us here, this will not cause us any joy, but we will rather deplore it, and sincerely wish that they were as we are. How entirely differently does the knowledge of our intellectual superiority affect us! Its ground bass is really the saying of Hobbes quoted above: *Omnis animi voluptas, omnisque alacritas in eo sita est, quod quis habeat, quibuscum conferens se, possit magnifice sentire de se ipso*. Arrogant, triumphant vanity, proud, contemptuous looking down on others, inordinate delight in the consciousness of decided and considerable superiority, akin to pride of physical advantages, — that is the result here. This opposition between the two kinds of self-satisfaction shows that the one concerns our true inner and eternal nature, the other a more external, merely temporal, and indeed scarcely more than a mere physical excellence. The *intellect* is in fact

simply the function of the brain; the *will*, on the contrary, is that whose function is the whole man, according to his being and nature.

If, looking without us, we reflect that ὁ βίος βραχύς, ἡ δὲ τέχνη μακρά (*vita brevis, ars longa*), and consider how the greatest and most beautiful minds, often when they have scarcely reached the summit of their power, and the greatest scholars, when they have only just attained to a thorough knowledge of their science, are snatched away by death, we are confirmed in this, that the meaning and end of life is not intellectual but moral.

The complete difference between the mental and moral qualities displays itself lastly in the fact that the intellect suffers very important changes through time, while the will and character remain untouched by it. The new-born child has as yet no use of its understanding, but obtains it within the first two months to the extent of perception and apprehension of the things in the external world — a process which I have described more fully in my essay, “*Ueber das Sehn und die Farben*,” p. 10 of the second (and third) edition. The growth of reason to the point of speech, and thereby of thought, follows this first and most important step much more slowly, generally only in the third year; yet the early childhood remains hopelessly abandoned to silliness and folly, primarily because the brain still lacks physical completeness, which, both as regards its size and texture, it only attains in the seventh year. But then for its energetic activity there is still wanting the antagonism of the genital system; it therefore only begins with puberty. Through this, however, the intellect has only attained to the *capacity* for its psychical improvement; this itself can only be won by practice, experience, and instruction. Thus as soon as the mind has escaped from the folly of childhood it falls into the snares of innumerable errors, prejudices, and chimeras, sometimes of the absurdest and crudest kind, which it obstinately sticks to, till experience gradually removes them, and many of them also are insensibly lost. All this takes many years to happen, so that one grants it majority indeed soon after the twentieth year, yet has placed full maturity, years of discretion, not before the fortieth year. But while this psychical education, resting upon help from without, is still in process of growth, the inner *physical* energy of the brain already begins to sink again. This has reached its real culminating point about the thirtieth year, on account of its dependence upon the pressure of blood and the effect of the pulsation upon the brain, and through this again upon the predominance of the arterial over the venous system, and the fresh tenderness of the brain fibre, and also on

account of the energy of the genital system. After the thirty-fifth year a slight diminution of the physical energy of the brain becomes noticeable, which, through the gradually approaching predominance of the venous over the arterial system, and also through the increasing firmer and drier consistency of the brain fibre, more and more takes place, and would be much more observable if it were not that, on the other hand, the psychical perfecting, through exercise, experience, increase of knowledge, and acquired skill in the use of it, counteracts it — an antagonism which fortunately lasts to an advanced age, for the brain becomes more and more like a worn-out instrument. But yet the diminution of the original energy of the intellect, resting entirely upon organic conditions, continues, slowly indeed, but unceasingly: the faculty of original conception, the imagination, the plastic power, the memory, become noticeably weaker; and so it goes on step by step downwards into old age, garrulous, without memory, half-unconscious, and ultimately quite childish.

The will, on the contrary, is not affected by all this becoming, this change and vicissitude, but is from beginning to end unalterably the same. *Willing* does not require to be learned like *knowing*, but succeeds perfectly at once. The new-born child makes violent movements, rages, and cries; it wills in the most vehement manner, though it does not yet know what it wills. For the medium of motives, the intellect, is not yet fully developed. The will is in darkness concerning the external world, in which its objects lie, and now rages like a prisoner against the walls and bars of his dungeon. But little by little it becomes light: at once the fundamental traits of universal human willing, and, at the same time, the individual modification of it here present, announce themselves. The already appearing character shows itself indeed at first in weak and uncertain outline, on account of the defective service of the intellect, which has to present it with motives; but to the attentive observer it soon declares its complete presence, and in a short time it becomes unmistakable. The characteristics appear which last through the whole of life; the principal tendencies of the will, the easily excited emotions, the ruling passion, declare themselves. Therefore the events at school stand to those of the future life for the most part as the dumb-show in “Hamlet” that precedes the play to be given at the court, and foretells its content in the form of pantomime, stands to the play itself. But it is by no means possible to prognosticate in the same way the future intellectual capacities of the man from those shown in the boy; rather as a rule the

*ingenia præcocia*, prodigies, turn out block-heads; genius, on the contrary, is often in childhood of slow conception, and comprehends with difficulty, just because it comprehends deeply. This is how it is that every one relates laughing and without reserve the follies and stupidities of his childhood. For example, Goethe, how he threw all the kitchen crockery out of the window (*Dichtung und Wahrheit*, vol. i. p. 7); for we know that all this only concerns what changes. On the other hand, a prudent man will not favour us with the bad features, the malicious or deceitful actions, of his youth, for he feels that they also bear witness to his present character. I have been told that when Gall, the phrenologist and investigator of man, had to put himself into connection with a man as yet unknown to him, he used to get him to speak about his youthful years and actions, in order, if possible, to gather from these the distinctive traits of his character; because this must still be the same now. This is the reason why we are indifferent to the follies and want of understanding of our youthful years, and even look back on them with smiling satisfaction, while the bad features of character even of that time, the ill-natured actions and the misdeeds then committed exist even in old age as inextinguishable reproaches, and trouble our consciences. Now, just as the character appears complete, so it remains unaltered to old age. The advance of age, which gradually consumes the intellectual powers, leaves the moral qualities untouched. The goodness of the heart still makes the old man honoured and loved when his head already shows the weaknesses which are the commencement of second childhood. Gentleness, patience, honesty, veracity, disinterestedness, philanthropy, &c., remain through the whole life, and are not lost through the weaknesses of old age; in every clear moment of the worn-out old man they come forth undiminished, like the sun from the winter clouds. And, on the other hand, malice, spite, avarice, hard-heartedness, infidelity, egoism, and baseness of every kind also remain undiminished to our latest years. We would not believe but would laugh at any one who said to us, "In former years I was a malicious rogue, but now I am an honest and noble-minded man." Therefore Sir Walter Scott, in the "Fortunes of Nigel," has shown very beautifully, in the case of the old usurer, how burning avarice, egoism, and injustice are still in their full strength, like a poisonous plant in autumn, when the intellect has already become childish. The only alterations that take place in our inclinations are those which result directly from the decrease of our physical strength, and with it of our capacities for

enjoyment. Thus voluptuousness will make way for intemperance, the love of splendour for avarice, and vanity for ambition; just like the man who before he has a beard will wear a false one, and later, when his own beard has become grey, will dye it brown. Thus while all organic forces, muscular power, the senses, the memory, wit, understanding, genius, wear themselves out, and in old age become dull, the will alone remains undecayed and unaltered: the strength and the tendency of willing remains the same. Indeed in many points the will shows itself still more decided in age: thus, in the clinging to life, which, it is well known, increases; also in the firmness and persistency with regard to what it has once embraced, in obstinacy; which is explicable from the fact that the susceptibility of the intellect for other impressions, and thereby the movement of the will by motives streaming in upon it, has diminished. Hence the implacable nature of the anger and hate of old persons —

“The young man’s wrath is like light straw on fire,  
But like red-hot steel is the old man’s ire.”

— *Old Ballad*.

From all these considerations it becomes unmistakable to the more penetrating glance that, while the *intellect* has to run through a long series of gradual developments, but then, like everything physical, must encounter decay, the *will* takes no part in this, except so far as it has to contend at first with the imperfection of its tool, the intellect, and, again, at last with its worn-out condition, but itself appears perfect and remains unchanged, not subject to the laws of time and of becoming and passing away in it. Thus in this way it makes itself known as that which is metaphysical, not itself belonging to the phenomenal world.

9. The universally used and generally very well understood expressions *heart* and *head* have sprung from a true feeling of the fundamental distinction here in question; therefore they are also apt and significant, and occur in all languages. *Nec cor nec caput habet*, says Seneca of the Emperor Claudius (*Ludus de morte Claudii Cæsaris*, c. 8). The heart, this *primum mobile* of the animal life, has with perfect justice been chosen as the symbol, nay, the synonym, of the *will*, as the primary kernel of our phenomenon, and denotes this in opposition to the intellect, which is exactly identical with the head. All that, in the widest sense, is matter of the will, as wish, passion, joy, grief, goodness, wickedness, also what we are wont to understand under “Gemüth,” and what Homer expresses through

φιλον ἥτορ, is attributed to the *heart*. Accordingly we say: He has a bad heart; — his heart is in the thing; — it comes from his heart; — it cut him to the heart; — it breaks his heart; — his heart bleeds; — the heart leaps for joy; — who can see the heart of man? — it is heart-rending, heart-crushing, heart-breaking, heart-inspiring, heart-touching; — he is good-hearted, hard-hearted, heartless, stout-hearted, faint-hearted, &c. &c. Quite specially, however, love affairs are called affairs of the heart, *affaires de cœur*; because the sexual impulse is the focus of the will, and the selection with reference to it constitutes the chief concern of natural, human volition, the ground of which I shall show in a full chapter supplementary to the fourth book. Byron in “Don Juan,” c. xi. v. 34, is satirical about love being to women an affair of the head instead of an affair of the heart. On the other hand, the *head* denotes everything that is matter of *knowledge*. Hence a man of head, a good head, a fine head, a bad head, to lose one’s head, to keep one’s head uppermost, &c. Heart and head signifies the whole man. But the head is always the second, the derived; for it is not the centre but the highest efflorescence of the body. When a hero dies his heart is embalmed, not his brain; on the other hand, we like to preserve the skull of the poet, the artist, and the philosopher. So Raphael’s skull was preserved in the Academia di S. Luca at Rome, though it has lately been proved not to be genuine; in Stockholm in 1820 the skull of Descartes was sold by auction.<sup>33</sup>

A true feeling of the real relation between will, intellect, and life is also expressed in the Latin language. The intellect is *mens*, νοῦς; the will again is *animus*, which comes from *anima*, and this from ἀνεμῶν. *Anima* is the life itself, the breath, ψυχή; but *animus* is the living principle, and also the will, the subject of inclinations, intentions, passions, emotions; hence also *est mihi animus*, — *fert animus*, — for “I have a desire to,” also *animi causa*, &c.; it is the Greek θυμός, the German “Gemüth,” thus the heart but not the head. *Animi perturbatio* is an emotion; *mentis perturbatio* would signify insanity. The predicate *immortalis* is attributed to *animus*, not to *mens*. All this is the rule gathered from the great majority of passages; though in the case of conceptions so nearly related it cannot but be that the words are sometimes interchanged. Under ψυχή the Greeks appear primarily and originally to have understood the vital force, the living principle, whereby at once arose the dim sense that it must be something

metaphysical, which consequently would not be reached by death. Among other proofs of this are the investigations of the relation between νοῦς and ψυχή preserved by Stobæus (*Ecl.*, Lib. i. c. 51, § 7, 8).

10. Upon what depends the *identity of the person*? Not upon the matter of the body; it is different after a few years. Not upon its form, which changes as a whole and in all its parts; all but the expression of the glance, by which, therefore, we still know a man even after many years; which proves that in spite of all changes time produces in him something in him remains quite untouched by it. It is just this by which we recognise him even after the longest intervals of time, and find the former man entire. It is the same with ourselves, for, however old we become, we yet feel within that we are entirely the same as we were when we were young, nay, when we were still children. This, which unaltered always remains quite the same, and does not grow old along with us, is really the kernel of our nature, which does not lie in time. It is assumed that the identity of the person rests upon that of consciousness. But by this is understood merely the connected recollection of the course of life; hence it is not sufficient. We certainly know something more of our life than of a novel we have formerly read, yet only very little. The principal events, the interesting scenes, have impressed themselves upon us; in the remainder a thousand events are forgotten for one that has been retained. The older we become the more do things pass by us without leaving any trace. Great age, illness, injury of the brain, madness, may deprive us of memory altogether, but the identity of the person is not thereby lost. It rests upon the identical *will* and the unalterable character of the person. It is it also which makes the expression of the glance unchangeable. In the *heart* is the man, not in the head. It is true that, in consequence of our relation to the external world, we are accustomed to regard as our real self the subject of knowledge, the knowing I, which wears in the evening, vanishes in sleep, and in the morning shines brighter with renewed strength. This is, however, the mere function of the brain, and not our own self. Our true self, the kernel of our nature, is what is behind that, and really knows nothing but willing and not willing, being content and not content, with all the modifications of this, which are called feelings, emotions, and passions. This is that which produces the other, does not sleep with it when it sleeps, and in the same way when it sinks in death remains uninjured. Everything, on the contrary, that belongs to *knowledge* is exposed to oblivion; even actions of moral significance can sometimes,



after years, be only imperfectly recalled, and we no longer know accurately and in detail how we acted on a critical occasion. But the *character itself*, to which the actions only testify, cannot be forgotten by us; it is now still quite the same as then. The will itself, alone and for itself, is permanent, for it alone is unchangeable, indestructible, not growing old, not physical, but metaphysical, not belonging to the phenomenal appearance, but to that itself which so appears. How the identity of consciousness also, so far as it goes, depends upon it I have shown above in chapter 15, so I need not dwell upon it further here.

11. Aristotle says in passing, in his book on the comparison of the desirable, “To live well is better than to live” (βελτιον του ζην το ευ ζην, Top. iii. 2). From this we might infer, by double contraposition, not to live is better than to live badly. This is also evident to the intellect; yet the great majority live very badly rather than not at all. This clinging to life cannot therefore have its ground in the *object* of life, since life, as was shown in the fourth book, is really a constant suffering, or at the least, as will be shown further on in the 28th chapter, a business which does not cover its expenses; thus that clinging to life can only be founded in the *subject* of it. But it is not founded in the *intellect*, it is no result of reflection, and in general is not a matter of choice; but this willing of life is something that is taken for granted: it is a *prius* of the intellect itself. We ourselves are the will to live, and therefore we must live, well or ill. Only from the fact that this clinging to a life which is so little worth to them is entirely *a priori* and not a *posteriori* can we explain the excessive fear of death that dwells in every living thing, which Rochefoucauld has expressed in his last reflection, with rare frankness and naïveté, and upon which the effect of all tragedies and heroic actions ultimately rest, for it would be lost if we prized life only according to its objective worth. Upon this inexpressible *horror mortis* is also founded the favourite principle of all ordinary minds, that whosoever takes his own life must be mad; yet not less the astonishment, mingled with a certain admiration, which this action always excites even in thinking minds, because it is so opposed to the nature of all living beings that in a certain sense we are forced to admire him who is able to perform it. For suicide proceeds from a purpose of the intellect, but our will to live is a *prius* of the intellect. Thus this consideration also, which will be fully discussed in chapter 28, confirms the primacy of the will in self-consciousness.

12. On the other hand, nothing proves more clearly the secondary, dependent, conditioned nature of the *intellect* than its periodical intermittance. In deep sleep all knowing and forming of ideas ceases. But the kernel of our nature, the metaphysical part of it which the organic functions necessarily presuppose as their *primum mobile*, must never pause if life is not to cease, and, moreover, as something metaphysical and therefore incorporeal, it requires no rest. Therefore the philosophers who set up a *soul* as this metaphysical kernel, *i.e.*, an originally and essentially *knowing* being, see themselves forced to the assertion that this soul is quite untiring in its perceiving and knowing, therefore continues these even in deep sleep; only that we have no recollection of this when we awake. The falseness of this assertion, however, was easy to see whenever one had rejected that *soul* in consequence of Kant's teaching. For sleep and waking prove to the unprejudiced mind in the clearest manner that knowing is a secondary function and conditioned by the organism, just like any other. Only the *heart* is untiring, because its beating and the circulation of the blood are not directly conditioned by nerves, but are just the original manifestation of the will. Also all other physiological functions governed merely by ganglionic nerves, which have only a very indirect and distant connection with the brain, are carried on during sleep, although the secretions take place more slowly; the beating of the heart itself, on account of its dependence upon respiration, which is conditioned by the cerebral system (*medulla oblongata*), becomes with it a little slower. The stomach is perhaps most active in sleep, which is to be attributed to its special consensus with the now resting brain, which occasions mutual disturbances. The *brain* alone, and with it knowing, pauses entirely in deep sleep. For it is merely the minister of foreign affairs, as the ganglion system is the minister of the interior. The brain, with its function of knowing, is only a *vedette* established by the will for its external ends, which, up in the watch-tower of the head, looks round through the windows of the senses and marks where mischief threatens and where advantages are to be looked for, and in accordance with whose report the will decides. This *vedette*, like every one engaged on active service, is then in a condition of strain and effort, and therefore it is glad when, after its watch is completed, it is again withdrawn, as every watch gladly retires from its post. This withdrawal is going to sleep, which is therefore so sweet and agreeable, and to which we are so glad to yield; on the other hand, being roused from sleep is

unwelcome, because it recalls the *vedette* suddenly to its post. One generally feels also after the beneficent systole the reappearance of the difficult diastole, the reseparation of the intellect from the will. A so-called *soul*, which was originally and radically a *knowing* being, would, on the contrary, necessarily feel on awaking like a fish put back into water. In sleep, when merely the vegetative life is carried on, the will works only according to its original and essential nature, undisturbed from without, with no diminution of its power through the activity of the brain and the exertion of knowing, which is the heaviest organic function, yet for the organism merely a means, not an end; therefore, in sleep the whole power of the will is directed to the maintenance and, where it is necessary, the improvement of the organism. Hence all healing, all favourable crises, take place in sleep; for the *vis naturæ medicatrix* has free play only when it is delivered from the burden of the function of knowledge. The embryo which has still to form the body therefore sleeps continuously, and the new-born child the greater part of its time. In this sense Burdach (*Physiologie*, vol. iii. p. 484) quite rightly declares sleep to be the *original state*.

With reference to the brain itself, I account to myself for the necessity of sleep more fully through an hypothesis which appears to have been first set up in Neumann's book, "*Von den Krankheiten des Menschen*," 1834, vol. 4, § 216. It is this, that the nutrition of the brain, thus the renewal of its substance from the blood, cannot go on while we are awake, because the very eminent organic function of knowing and thinking would be disturbed or put an end to by the low and material function of nutrition. This explains the fact that sleep is not a purely negative condition, a mere pausing of the activity of the brain, but also shows a positive character. This makes itself known through the circumstance that between sleep and waking there is no mere difference of degree, but a fixed boundary, which, as soon as sleep intervenes, declares itself in dreams which are completely different from our immediately preceding thoughts. A further proof of this is that when we have dreams which frighten us we try in vain to cry out, or to ward off attacks, or to shake off sleep; so that it is as if the connecting-link between the brain and the motor nerves, or between the cerebrum and the cerebellum (as the regulator of movements) were abolished; for the brain remains in its isolation and sleep holds us fast as with brazen claws. Finally, the positive character of sleep can be seen in the fact that a certain degree of strength is

required for sleeping. Therefore too great fatigue or natural weakness prevent us from seizing it, *capere somnum*. This may be explained from the fact that the *process of nutrition* must be introduced if sleep is to ensue: the brain must, as it were, begin to feed. Moreover, the increased flow of blood into the brain during sleep is explicable from the nutritive process; and also the position of the arms laid together above the head, which is instinctively assumed because it furthers this process: also why children, so long as their brain is still growing, require a great deal of sleep, while in old age, on the other hand, when a certain atrophy of the brain, as of all the parts, takes place, sleep is short; and finally why excessive sleep produces a certain dulness of consciousness, the consequence of a certain hypertrophy of the brain, which in the case of habitual excess of sleep may become permanent and produce imbecility: ἀνὴ καὶ πολὺς ὕπνος (*noxæ est etiam multus somnus*), Od. 15, 394. The need of sleep is therefore directly proportionate to the intensity of the brain-life, thus to the clearness of the consciousness. Those animals whose brain-life is weak and dull sleep little and lightly; for example, reptiles and fishes: and here I must remind the reader that the winter sleep is sleep almost only in name, for it is not an inaction of the brain alone, but of the whole organism, thus a kind of apparent death. Animals of considerable intelligence sleep deeply and long. Men also require more sleep the more developed, both as regards quantity and quality, and the more active their brain is. Montaigne relates of himself that he had always been a long sleeper, that he had passed a large part of his life in sleeping, and at an advanced age still slept from eight to nine hours at a time (Liv. iii., chap. 13). Descartes also is reported to have slept a great deal (Baillet, *Vie de Descartes*, 1693, p. 288). Kant allowed himself seven hours for sleep, but it was so hard for him to do with this that he ordered his servant to force him against his will, and without listening to his remonstrances, to get up at the set time (Jachmann, *Immanuel Kant*, p. 162). For the more completely awake a man is, *i.e.*, the clearer and more lively his consciousness, the greater for him is the necessity of sleep, thus the deeper and longer he sleeps. Accordingly much thinking or hard brain-work increases the need of sleep. That sustained muscular exertion also makes us sleepy is to be explained from the fact that in this the brain continuously, by means of the *medulla oblongata*, the spinal marrow, and the motor nerves, imparts the stimulus to the muscles which affects their irritability, and in this way it exhausts its strength. The fatigue which we observe in the arms

and legs has accordingly its real seat in the brain; just as the pain which these parts feel is really experienced in the brain; for it is connected with the motor nerves, as with the nerves of sense. The muscles which are not actuated from the brain — for example, those of the heart — accordingly never tire. The same grounds explain the fact that both during and after great muscular exertion we cannot think acutely. That one has far less energy of mind in summer than in winter is partly explicable from the fact that in summer one sleeps less; for the deeper one has slept, the more completely awake, the more lively, is one afterwards. This, however, must not mislead us into extending sleep unduly, for then it loses in intension, *i.e.*, in deepness and soundness, what it gains in extension; whereby it becomes mere loss of time. This is what Goethe means when he says (in the second part of “Faust”) of morning slumber: “Sleep is husk: throw it off.” Thus in general the phenomenon of sleep most specially confirms the assertion that consciousness, apprehension, knowing, thinking, is nothing original in us, but a conditioned and secondary state. It is a luxury of nature, and indeed its highest, which it can therefore the less afford to pursue without interruption the higher the pitch to which it has been brought. It is the product, the efflorescence of the cerebral nerve-system, which is itself nourished like a parasite by the rest of the organism. This also agrees with what is shown in our third book, that knowing is so much the purer and more perfect the more it has freed and severed itself from the will, whereby the purely objective, the æsthetic comprehension appears. Just as an extract is so much the purer the more it has been separated from that out of which it is extracted and been cleared of all sediment. The opposite is shown by the *will*, whose most immediate manifestation is the whole organic life, and primarily the untiring heart.

This last consideration is related to the theme of the following chapter, to which it therefore makes the transition: yet the following observation belongs to it. In magnetic somnambulism the consciousness is doubled: two trains of knowledge, each connected in itself, but quite different from each other, arise; the waking consciousness knows nothing of the somnambulant. But the will retains in both the same character, and remains throughout identical; it expresses in both the same inclinations and aversions. For the function may be doubled, but not the true nature.

## Chapter XX.<sup>34</sup> Objectification Of The Will In The Animal Organism.

By *objectification* I understand the self-exhibition in the real corporeal world. However, this world itself, as was fully shown in the first book and its supplements, is throughout conditioned by the knowing subject, thus by the intellect, and therefore as such is absolutely inconceivable outside the knowledge of this subject; for it primarily consists simply of ideas of perception, and as such is a phenomenon of the brain. After its removal the thing in itself would remain. That this is the *will* is the theme of the second book, and is there proved first of all in the human organism and in that of the brutes.

The knowledge of the external world may also be defined as the *consciousness of other things*, in opposition to *self-consciousness*. Since we have found in the latter that its true object or material is the will, we shall now, with the same intention, take into consideration the consciousness of other things, thus objective knowledge. Now here my thesis is this: *that which in self-consciousness, thus subjectively is the intellect, presents itself in the consciousness of other things, thus objectively, as the brain; and that which in self-consciousness, thus subjectively, is the will, presents itself in the consciousness of other things, thus objectively, as the whole organism.*

To the evidence which is given in support of this proposition, both in our second book and in the first two chapters of the treatise “*Ueber den Willen in der Natur*,” I add the following supplementary remarks and illustrations.

Nearly all that is necessary to establish the first part of this thesis has already been brought forward in the preceding chapter, for in the necessity of sleep, in the alterations that arise from age, and in the differences of the anatomical conformation, it was proved that the intellect is of a secondary nature, and depends absolutely upon a single organ, the brain, whose function it is, just as grasping is the function of the hand; that it is therefore physical, like digestion, not metaphysical, like the will. As good digestion requires a healthy, strong stomach, as athletic power requires muscular sinewy arms, so extraordinary intelligence requires an unusually developed, beautifully formed brain of exquisitely fine texture and animated by a vigorous pulse. The nature of the will, on the contrary, is dependent upon no organ, and can be prognosticated from none. The greatest error in Gall’s

phrenology is that he assigns organs of the brain for moral qualities also. Injuries to the head, with loss of brain substance, affect the intellect as a rule very disadvantageously: they result in complete or partial imbecility or forgetfulness of language, permanent or temporary, yet sometimes only of one language out of several which were known, also in the loss of other knowledge possessed, &c., &c. On the other hand, we never read that after a misfortune of this kind the *character* has undergone a change, that the man has perhaps become morally worse or better, or has lost certain inclinations or passions, or assumed new ones; never. For the will has not its seat in the brain, and moreover, as that which is metaphysical, it is the *prius* of the brain, as of the whole body, and therefore cannot be altered by injuries of the brain. According to an experiment made by Spallanzani and repeated by Voltaire,<sup>35</sup> a snail that has had its head cut off remains alive, and after some weeks a new head grows on, together with horns; with this consciousness and ideas again appear; while till then the snail had only given evidence of blind will through unregulated movements. Thus here also we find the will as the substance which is permanent, the intellect, on the contrary, conditioned by its organ, as the changing accident. It may be defined as the regulator of the will.

It was perhaps Tiedemann who first compared the cerebral nervous system to a *parasite* (*Tiedemann und Trevirann's Journal für Physiologie*, Bd. i. § 62). The comparison is happy; for the brain, together with the spinal cord and nerves which depend upon it, is, as it were, implanted in the organism, and is nourished by it without on its part *directly* contributing anything to the support of the economy of the organism; therefore there can be life without a brain, as in the case of brainless abortions, and also in the case of tortoises, which live for three weeks after their heads have been cut off; only the *medulla oblongata*, as the organ of respiration, must be spared. Indeed a hen whose whole brain Flourens had cut away lived for ten months and grew. Even in the case of men the destruction of the brain does not produce death directly, but only through the medium of the lungs, and then of the heart (*Bichat, Sur la Vie et la Mort*, Part ii., art. ii. § 1). On the other hand, the brain controls the relations to the external world; this alone is its office, and hereby it discharges its debt to the organism which nourishes it, since its existence is conditioned by the external relations. Accordingly the brain alone of all the parts requires sleep, because its *activity* is completely distinct from its *support*; the former only consumes both strength and

substance, the latter is performed by the rest of the organism as the nurse of the brain: thus because its activity contributes nothing to its continued existence it becomes exhausted, and only when it pauses in sleep does its nourishment go on unhindered.

The second part of our thesis, stated above, will require a fuller exposition even after all that I have said about it in the writings referred to. I have shown above, in chapter 18, that the thing in itself, which must lie at the foundation of every phenomenon, and therefore of our own phenomenal existence also, throws off in self-consciousness *one* of its phenomenal forms — space, and only retains the other — time. On this account it presents itself here more immediately than anywhere else, and we claim it as will, according to its most undisguised manifestation. But no *permanent substance*, such as matter is, can present itself in time alone, because, as § 4 of the first volume showed, such a substance is only possible through the intimate union of space and time. Therefore, in self-consciousness the will is not apprehended as the enduring substratum of its impulses, therefore is not perceived as a permanent substance; but only its individual acts, such as purposes, wishes, and emotions, are known successively and during the time they last, directly, yet not perceptibly. The knowledge of the will in self-consciousness is accordingly not a *perception* of it, but a perfectly direct becoming aware of its successive impulses. On the other hand, for the knowledge which is directed *outwardly*, brought about by the senses and perfected in the understanding, which, besides time, has also space for its form, which two it connects in the closest manner by means of the function of the understanding, causality, whereby it really becomes *perception* — this knowledge presents to itself *perceptibly* what in inner immediate apprehension was conceived as will, as *organic body*, whose particular movements visibly present to us the acts, and whose parts and forms visibly present to us the sustained efforts, the fundamental character, of the individually given will, nay, whose pain and comfort are perfectly immediate affections of this will itself.

We first become aware of this identity of the body with the will in the individual actions of the two, for in these what is known in self-consciousness as an immediate, real act of will, at the same time and unseparated, exhibits itself outwardly as movement of the body; and every one beholds the purposes of his will, which are instantaneously brought



about by motives which just as instantaneously appear at once as faithfully copied in as many actions of his body as his body itself is copied in his shadow; and from this, for the unprejudiced man, the knowledge arises in the simplest manner that his body is merely the outward manifestation of his will, *i.e.*, the way in which his will exhibits itself in his perceiving intellect, or his will itself under the form of the idea. Only if we forcibly deprive ourselves of this primary and simple information can we for a short time marvel at the process of our own bodily action as a miracle, which then rests on the fact that between the act of will and the action of the body there is really no causal connection, for they are directly *identical*, and their apparent difference only arises from the circumstance that here what is one and the same is apprehended in two different modes of knowledge, the outer and the inner. Actual willing is, in fact, inseparable from doing and in the strictest sense only that is an act of will which the deed sets its seal to. Mere resolves of the will, on the contrary, till they are carried out, are only intentions, and are therefore matter of the intellect alone; as such they have their place merely in the brain, and are nothing more than completed calculations of the relative strength of the different opposing motives. They have, therefore, certainly great probability, but no infallibility. They may turn out false, not only through alteration of the circumstances, but also from the fact that the estimation of the effect of the respective motives upon the will itself was erroneous, which then shows itself, for the deed is untrue to the purpose: therefore before it is carried out no resolve is certain. The *will itself*, then, is operative only in real action; hence in muscular action, and consequently in *irritability*. Thus the *will* proper objectifies itself in this. The cerebrum is the place of motives, where, through these, the will becomes choice, *i.e.*, becomes more definitely determined by motives. These motives are ideas, which, on the occasion of external stimuli of the organs of sense, arise by means of the functions of the brain, and are also worked up into conceptions, and then into resolves. When it comes to the real act of will these motives, the workshop of which is the cerebrum, act through the medium of the cerebellum upon the spinal cord and the motor nerves which proceed from it, which then act upon the muscles, yet merely as *stimuli* of their irritability; for galvanic, chemical, and even mechanical stimuli can effect the same contraction which the motor nerve calls forth. Thus what was *motive* in the brain acts, when it reaches the muscle through the nerves, as mere stimulus. Sensibility in itself is quite unable to contract

a muscle. This can only be done by the muscle itself, and its capacity for doing so is called *irritability*, i.e., *susceptibility to stimuli*. It is exclusively a property of the muscle, as sensibility is exclusively a property of the nerve. The latter indeed gives the muscle the *occasion* for its contraction, but it is by no means it that, in some mechanical way, draws the muscle together; but this happens simply and solely on account of the *irritability*, which is a power of the muscle itself. Apprehended from without this is a *Qualitas occulta*, and only self-consciousness reveals it as the *will*. In the causal chain here briefly set forth, from the effect of the motive lying outside us to the contraction of the muscle, the will does not in some way come in as the last link of the chain; but it is the metaphysical substratum of the irritability of the muscle: thus it plays here precisely the same part which in a physical or chemical chain of causes is played by the mysterious forces of nature which lie at the foundation of the process — forces which as such are not themselves involved as links in the causal chain, but impart to all the links of it the capacity to act, as I have fully shown in § 26 of the first volume. Therefore we would ascribe the contraction of the muscle also to a similar mysterious force of nature, if it were not that this contraction is disclosed to us by an entirely different source of knowledge — self-consciousness as *will*. Hence, as was said above, if we start from the will our own muscular movement appears to us a miracle; for indeed there is a strict causal chain from the external motive to the muscular action; but the will itself is not included as a link in it, but, as the metaphysical substratum of the possibility of an action upon the muscle through brain and nerve, lies at the foundation of the present muscular action also; therefore the latter is not properly its *effect* but its *manifestation*. As such it enters the world of idea, the form of which is the law of causality, a world which is entirely different from the *will* in itself: and thus, if we start from the *will*, this manifestation has, for attentive reflection, the appearance of a miracle, but for deeper investigation it affords the most direct authentication of the great truth that what appears in the phenomenon as body and its action is in itself *will*. If now perhaps the motor nerve that leads to my hand is severed, the will can no longer move it. This, however, is not because the hand has ceased to be, like every part of my body, the objectivity, the mere visibility, of my will, or in other words, that the irritability has vanished, but because the effect of the motive, in consequence of which alone I can move my hand, cannot reach it and act on its muscles as a stimulus, for the line of connection

between it and the brain is broken. Thus really my will is, in this part, only deprived of the effect of the motive. The will objectifies itself directly, in irritability, not in sensibility.

In order to prevent all misunderstandings about this important point, especially such as proceed from physiology pursued in a purely empirical manner, I shall explain the whole process somewhat more thoroughly. My doctrine asserts that the whole body is the will itself, exhibiting itself in the perception of the brain; consequently, having entered into its forms of knowledge. From this it follows that the will is everywhere equally present in the whole body, as is also demonstrably the case, for the organic functions are its work no less than the animal. But how, then, can we reconcile it with this, that the *voluntary* actions, those most undeniable expressions of the will, clearly originate in the brain, and thus only through the spinal cord reach the nerve fibres, which finally set the limbs in motion, and the paralysis or severing of which therefore prevents the possibility of voluntary movement? This would lead one to think that the will, like the intellect, has its seat only in the brain, and, like it, is a mere function of the brain.

Yet this is not the case: but the whole body is and remains the exhibition of the will in perception, thus the will itself objectively perceived by means of the functions of the brain. That process, however, in the case of the acts of will, depends upon the fact that the will, which, according to my doctrine, expresses itself in every phenomenon of nature, even in vegetable and inorganic phenomena, appears in the bodies of men and animals as a *conscious will*. A *consciousness*, however, is essentially a unity, and therefore always requires a central point of unity. The necessity of consciousness is, as I have often explained, occasioned by the fact that in consequence of the increased complication, and thereby more multifarious wants, of an organism, the acts of its will must be guided by *motives*, no longer, as in the lower grades, by mere stimuli. For this purpose it had at this stage to appear provided with a knowing consciousness, thus with an intellect, as the medium and place of the motives. This intellect, if itself objectively perceived, exhibits itself as the brain, together with its appendages, spinal cord, and nerves. It is the brain now in which, on the occasion of external impressions, the ideas arise which become motives for the will. But in the *rational* intellect they undergo besides this a still further working up, through reflection and deliberation. Thus such an intellect must

first of all unite in *one* point all impressions, together with the working up of them by its functions, whether to mere perception or to conceptions, a point which will be, as it were, the focus of all its rays, in order that that unity of consciousness may arise which is the *theoretical ego*, the supporter of the whole consciousness, in which it presents itself as identical with the *willing ego*, whose mere function of knowledge it is. That point of unity of consciousness, or the theoretical ego, is just Kant's synthetic unity of apperception, upon which all ideas string themselves as on a string of pearls, and on account of which the "I think," as the thread of the string of pearls, "must be capable of accompanying all our ideas."<sup>36</sup> This assembling-place of the motives, then, where their entrance into the single focus of consciousness takes place, is the brain. Here, in the non-rational consciousness, they are merely perceived; in the *rational* consciousness they are elucidated by conceptions, thus are first thought in the abstract and compared; upon which the will chooses, in accordance with its individual and immutable character, and so the *purpose* results which now, by means of the cerebellum, the spinal cord, and the nerves, sets the outward limbs in motion. For although the will is quite directly present in these, inasmuch as they are merely its manifestation, yet when it has to move according to motives, or indeed according to reflection, it requires such an apparatus for the apprehension and working up of ideas into such motives, in conformity with which its acts here appear as resolves: just as the nourishment of the blood with chyle requires a stomach and intestines, in which this is prepared, and then as such is poured into the blood through the *ductus thoracicus*, which here plays the part which the spinal cord plays in the former case. The matter may be most simply and generally comprehended thus: the will is immediately present as irritability in all the muscular fibres of the whole body, as a continual striving after activity in general. Now if this striving is to realise itself, thus to manifest itself as movement, this movement must as such have some direction; but this direction must be *determined* by something, *i.e.*, it requires a guide, and this is the nervous system. For to the mere irritability, as it lies in the muscular fibres and in itself is pure will, all directions are alike; thus it determines itself in no direction, but behaves like a body which is equally drawn in all directions; it remains at rest. Since the activity of the nerves comes in as motive (in the case of reflex movements as a stimulus), the striving force, *i.e.*, the irritability, receives a definite direction, and now produces the movements.

Yet those external acts of will which require no motives, and thus also no working up of mere stimuli into ideas in the brain, from which motives arise, but which follow immediately upon stimuli, for the most part inward stimuli, are the reflex movements, starting only from the spinal cord, as, for example, spasms and cramp, in which the will acts without the brain taking part. In an analogous manner the will carries on the organic life, also by nerve stimulus, which does not proceed from the brain. Thus the will appears in every muscle as irritability, and is consequently of itself in a position to contract them, yet only *in general*; in order that some definite contraction should take place at a given moment, there is required here, as everywhere, a cause, which in this case must be a stimulus. This is everywhere given by the nerve which goes into the muscle. If this nerve is in connection with the brain, then the contraction is a conscious act of will, *i.e.*, takes place in accordance with motives, which, in consequence of *external* impressions, have arisen as ideas in the brain. If the nerve is *not* in connection with the brain, but with the *sympathicus maximus*, then the contraction is involuntary and unconscious, an act connected with the maintenance of the organic life, and the nerve stimulus which causes it is occasioned by *inward* impressions; for example, by the pressure upon the stomach of the food received, or of the chyme upon the intestines, or of the in-flowing blood upon the walls of the heart, in accordance with which the act is digestion, or *motus peristalticus*, or beating of the heart, &c.

But if now, in this process, we go one step further, we find that the muscles are the product of the blood, the result of its work of condensation, nay, to a certain extent they are merely solidified, or, as it were, clotted or crystallised blood; for they have taken up into themselves, almost unaltered, its fibrin (*cruor*) and its colouring matter (*Burdach's Physiologie*, Bd. v. § 686). But the force which forms the muscle out of the blood must not be assumed to be different from that which afterwards moves it as irritability, upon nerve stimulus, which the brain supplies; in which case it then presents itself in self-consciousness as that which we call *will*. The close connection between the blood and irritability is also shown by this, that where, on account of imperfection of the lesser circulation, part of the blood returns to the heart unoxidised, the irritability is also uncommonly weak, as in the batrachia. Moreover, the movement of the blood, like that of the muscle, is independent and original; it does not, like irritation, require the influence of the nerve, and is even independent of the heart, as is shown

most clearly by the return of the blood through the veins to the heart; for here it is not propelled by a *vis a tergo*, as in the case of the arterial circulation; and all other mechanical explanations, such as a power of suction of the right ventricle of the heart, are quite inadequate. (See *Burdach's Physiologie*, Bd. 4, § 763, and *Rösch, Ueber die Bedeutung des Blutes*, § II, seq.) It is remarkable to see how the French, who recognise nothing but mechanical forces, controvert each other with insufficient grounds upon both sides; and Bichat ascribes the flowing back of the blood through the veins to the pressure of the walls of the capillary tubes, and Magendie, on the other hand, to the continue action of the impulse of the heart (*Précis de Physiologie par Magendie*, vol. ii. p. 389). That the movement of the blood is also independent of the nervous system, at least of the cerebral nervous system, is shown by the fetus, which (according to *Müller's Physiologie*), without brain and spinal cord, has yet circulation of the blood. And Flourens also says: "*Le mouvement du cœur, pris en soi, et abstraction faite de tout ce qui n'est pas essentiellement lui, comme sa durée, son énergie, ne dépend ni immédiatement, ni coinstantanément, du système nerveux central, et conséquemment c'est dans tout autre point de ce système que dans les centres nerveux eux-mêmes, qu'il faut chercher le principe primitif et immédiat de ce mouvement*" (*Annales des sciences naturelles p. Audouin et Brougniard*, 1828, vol. 13). Cuvier also says: "*La circulation survit à la destruction de tout l'encéphale et de toute la moëlle épinière* (*Mém. de l'acad. d. sc.*, 1823, vol. 6; *Hist. d. l'acad. p. Cuvier*," p. cxxx). "*Cor primum vivens et ultimum moriens*," says Haller. The beating of the heart ceases at last in death. The blood has made the vessels themselves; for it appears in the ovum earlier than they do; they are only its path, voluntarily taken, then beaten smooth, and finally gradually condensed and closed up; as Kaspar Wolff has already taught: "*Theorie der Generation*," § 30-35. The motion of the heart also, which is inseparable from that of the blood, although occasioned by the necessity of sending blood into the lungs, is yet an original motion, for it is independent of the nervous system and of sensibility, as Burdach fully shows. "In the heart," he says, "appears, with the maximum of irritability, a minimum of sensibility" (*loc. cit.*, § 769). The heart belongs to the muscular system as well as to the blood or vascular system; from which, however, it is clear that the two are closely related, indeed constitute one whole. Since now the metaphysical substratum of the

force which moves the muscle, thus of irritability, is the *will*, the will must also be the metaphysical substratum of the force which lies at the foundation of the movement and the formations of the blood, as that by which the muscles are produced. The course of the arteries also determines the form and size of all the limbs; consequently the whole form of the body is determined by the course of the blood. Thus in general the blood, as it nourishes all the parts of the body, has also, as the primary fluidity of the organism, produced and framed them out of itself. And the nourishment which confessedly constitutes the principal function of the blood is only the continuance of that original production of them. This truth will be found thoroughly and excellently explained in the work of Rösch referred to above: "*Ueber die Bedeutung des Blutes*," 1839. He shows that the blood is that which first has life and is the source both of the existence and of the maintenance of all the parts; that all the organs have sprung from it through secretion, and together with them, for the management of their functions, the nervous system, which appears now as *plastic*, ordering and arranging the life of the particular parts within, now as *cerebral*, controlling the relation to the external world. "The blood," he says, p. 25, "was flesh and nerve at once, and at the same moment at which the muscle freed itself from it the nerve, severed in like manner, remained opposed to the flesh." Here it is a matter of course that the blood, before those solid parts have been secreted from it, has also a somewhat different character from afterwards; it is then, as Rösch defines it, the chaotic, animated, slimy, primitive fluid, as it were an organic emulsion, in which all subsequent parts are *implicite* contained: moreover, it has not the red colour quite at the beginning. This disposes of the objection which might be drawn from the fact that the brain and the spinal cord begin to form before the circulation of the blood is visible or the heart appears. In this reference also Schultz says (*System der Circulation*, § 297): "We do not believe that the view of Baümgarthen, according to which the nervous system is formed earlier than the blood, can consistently be carried out; for Baümgarthen reckons the appearance of the blood only from the formation of the corpuscles, while in the embryo and in the series of animals blood appears much earlier in the form of a pure plasma." The blood of invertebrate animals never assumes the red colour; but we do not therefore, with Aristotle, deny that they have any. It is well worthy of note that, according to the account of Justinus Kerner (*Geschichte zweier Somnambulen*, § 78), a somnambulist of a very high degree of

clairvoyance, says: "I am as deep in myself as ever a man can be led; the force of my mortal life seems to me to have its source in the blood, whereby, through the circulation in the veins, it communicates itself, by means of the nerves, to the whole body, and to the brain, which is the noblest part of the body, and above the blood itself."

From all this it follows that the will objectifies itself most immediately in the blood as that which originally makes and forms the organism, perfects it by growth, and afterwards constantly maintains it, both by the regular renewal of all the parts and by the extraordinary restoration of any part that may have been injured. The first productions of the blood are its own vessels, and then the muscles, in the irritability of which the will makes itself known to self-consciousness; but with this also the heart, which is at once vessel and muscle, and therefore is the true centre and *primum mobile* of the whole life. But for the individual life and subsistence in the external world the will now requires two assistant systems: *one* to govern and order its inner and outer activity, and *another* for the constant renewal of the mass of the blood; thus a controller and a sustainer. It therefore makes for itself the nervous and the intestinal systems; thus the *functiones animales* and the *functiones naturales* associate themselves in a subsidiary manner with the *functiones vitales*, which are the most original and essential. In the *nervous system*, accordingly, the will only objectifies itself in an indirect and secondary way; for this system appears as a mere auxiliary organ, as a contrivance by means of which the will attains to a knowledge of those occasions, internal and external, upon which, in conformity with its aims, it must express itself; the internal occasions are received by the *plastic* nervous system, thus by the sympathetic nerve, this *cerebrum abdominale*, as mere stimuli, and the will thereupon reacts on the spot without the brain being conscious; the *outward* occasions are received by the brain, as *motives*, and the will reacts through conscious actions directed outwardly. Therefore the whole nervous system constitutes, as it were, the antennæ of the will, which it stretches towards within and without. The nerves of the brain and spinal cord separate at their roots into sensory and motory nerves. The sensory nerves receive the knowledge from without, which now accumulates in the thronging brain, and is there worked up into ideas, which arise primarily as motives. But the motory nerves bring back, like couriers, the result of the brain function to the muscle, upon which it acts as a stimulus, and the irritability of which is the immediate manifestation of the



will. Presumably the plastic nerves also divide into sensory and motory, although on a subordinate scale. The part which the ganglia play in the organism we must think of as that of a diminutive brain, and thus the one throws light upon the other. The ganglia lie wherever the organic functions of the vegetative system require care. It is as if there the will was not able by its direct and simple action to carry out its aims, but required guidance, and consequently control; just as when in some business a man's own memory is not sufficient, and he must constantly take notes of what he does. For this end mere knots of nerves are sufficient for the interior of the organism, because everything goes on within its own compass. For the exterior, on the other hand, a very complicated contrivance of the same kind is required. This is the brain with its feelers, which it stretches into the outer world, the nerves of sense. But even in the organs which are in communication with this great nerve centre, in very simple cases the matter does not need to be brought before the highest authority, but a subordinate one is sufficient to determine what is needed; such is the spinal cord, in the reflex actions discovered by Marshall Hall, such as sneezing, yawning, vomiting, the second half of swallowing, &c. &c. The will itself is present in the whole organism, since this is merely its visible form; the nervous system exists everywhere merely for the purpose of making the *direction* of an action possible by a control of it, as it were to serve the will as a mirror, so that it may see what it does, just as we use a mirror to shave by. Hence small sensoria arise within us for special, and consequently simple, functions, the ganglia; but the chief sensorium, the brain, is the great and skilfully contrived apparatus for the complicated and multifarious functions which have to do with the ceaselessly and irregularly changing external world. Wherever in the organism the nerve threads run together in a ganglion, there, to a certain extent, an animal exists for itself and shut off, which by means of the ganglion has a kind of weak knowledge, the sphere of which is, however, limited to the part from which these nerves directly come. But what actuates these parts to such *quasi* knowledge is clearly the *will*; indeed we are utterly unable to conceive it otherwise. Upon this depends the *vita propria* of each part, and also in the case of insects, which, instead of a spinal cord, have a double string of nerves, with ganglia at regular intervals, the capacity of each part to continue alive for days after being severed from the head and the rest of the trunk; and finally also the actions which in the last instance do not receive their motives from the

brain, *i.e.*, instinct and natural mechanical skill. Marshall Hall, whose discovery of the reflex movements I have mentioned above, has given us in this the *theory of involuntary movements*. Some of these are normal or physiological; such are the closing of the places of ingress to and egress from the body, thus of the *sphincteres vesicæ et ani* (proceeding from the nerves of the spinal cord); the closing of the eyelids in sleep (from the fifth pair of nerves), of the larynx (from *N. vagus*) if food passes over it or carbonic acid tries to enter; also swallowing, from the pharynx, yawning and sneezing, respiration, entirely in sleep and partly when awake; and, lastly, the erection, ejaculation, as also conception, and many more. Some, again, are abnormal and pathological; such are stammering, hiccoughing, vomiting, also cramps and convulsions of every kind, especially in epilepsy, tetanus, in hydrophobia and otherwise; finally, the convulsive movements produced by galvanic or other stimuli, and which take place without feeling or consciousness in paralysed limbs, *i.e.*, in limbs which are out of connection with the brain, also the convulsions of beheaded animals, and, lastly, all movements and actions of children born without brains. All cramps are a rebellion of the nerves of the limbs against the sovereignty of the brain; the normal reflex movements, on the other hand, are the legitimate autocracy of the subordinate officials. These movements are thus all involuntary, because they do not proceed from the brain, and therefore do not take place in accordance with motives, but follow upon mere stimuli. The stimuli which occasion them extend only to the spinal cord or the *medulla oblongata*, and from there the reaction directly takes place which effects the movement. The spinal cord has the same relation to these involuntary movements as the brain has to motive and action, and what the sentient and voluntary nerve is for the latter the incident and motor nerve is for the former. That yet, in the one as in the other, that which really moves is the *will* is brought all the more clearly to light because the involuntarily moved muscles are for the most part the same which, under other circumstances, are moved from the brain in the voluntary actions, in which their *primum mobile* is intimately known to us through self-consciousness as the *will*. Marshall Hall's excellent book "On the Diseases of the Nervous System" is peculiarly fitted to bring out clearly the difference between volition and will, and to confirm the truth of my fundamental doctrine.

For the sake of illustrating all that has been said, let us now call to mind that case of the origination of an organism which is most accessible to our

observation. Who makes the chicken in the egg? Some power and skill coming from without, and penetrating through the shell? Oh no! The chicken makes itself, and the force which carries out and perfects this work, which is complicated, well calculated, and designed beyond all expression, breaks through the shell as soon as it is ready, and now performs the outward actions of the chicken, under the name of *will*. It cannot do both at once; previously occupied with the perfecting of the organism, it had no care for without. But after it has completed the former, the latter appears, under the guidance of the brain and its feelers, the senses, as a tool prepared beforehand for this end, the service of which only begins when it grows up in self-consciousness as intellect, which is the lantern to the steps of the will, its ἡγεμονικόν, and also the supporter of the objective external world, however limited the horizon of this may be in the consciousness of a hen. But what the hen is now able to do in the external world, through the medium of this organ, is, as accomplished by means of something secondary, infinitely less important than what it did in its original form, for it made itself.

We became acquainted above with the cerebral nervous system as an *assistant organ* of the will, in which it therefore objectifies itself in a secondary manner. As thus the cerebral system, although not directly coming within the sphere of the life-functions of the organism, but only governing its relations to the outer world, has yet the organism as its basis, and is nourished by it in return for its services; and as thus the cerebral or animal life is to be regarded as the production of the organic life, the brain and its function, knowledge, thus the intellect, belong indirectly and in a subordinate manner to the manifestation of the *will*. The will objectifies itself also in it, as will to apprehend the external world, thus as *will to know*. Therefore great and fundamental as is the difference in us between willing and knowing, the ultimate substratum of both is yet the same, the *will*, as the real inner nature of the whole phenomenon. But knowing, the intellect, which presents itself in self-consciousness entirely as secondary, is to be regarded not only as the accident of the will, but also as its work, and thus, although in a circuitous manner, is yet to be referred to it. As the intellect presents itself physiologically as the function of an organ of the body, metaphysically it is to be regarded as a work of the will, whose objectification or visible appearance is the whole body. Thus the will *to know*, objectively perceived, is the brain; as the will *to go*, objectively

perceived, is the foot; the will *to grasp*, the hand; the will *to digest*, the stomach; the will *to beget*, the genitals, &c. This whole objectification certainly ultimately exists only for the brain, as its perception: in this the will exhibits itself as organised body. But so far as the brain knows, it is *itself* not known, but is the *knower*, the subject of all knowledge. So far, however, as in objective perception, *i.e.*, in the consciousness of *other things*, thus secondarily, *it is known*, it belongs, as an organ of the body, to the objectification of the will. For the whole process is the *self-knowledge of the will*; it starts from this and returns to it, and constitutes what Kant has called the *phenomenon* in opposition to the thing in itself. Therefore that which is *known*, that which is *idea*, is the *will*; and this idea is what we call body, which, as extended in space and moving in time, exists only by means of the functions of the brain, thus only in it. That, on the other hand, which *knows*, which *has that idea*, is the *brain*, which yet does not know itself, but only becomes conscious of itself subjectively as intellect, *i.e.*, as the *knower*. That which when regarded from within is the faculty of knowledge is when regarded from without the brain. This brain is a part of that body, just because it itself belongs to the objectification of the *will*, the will's *will to know* is objectified in it, its tendency towards the external world. Accordingly the brain, and therefore the intellect, is certainly conditioned immediately by the body, and this again by the brain, yet only indirectly, as spatial and corporeal, in the world of perception, not in itself, *i.e.*, as will. Thus the whole is ultimately the will, which itself becomes idea, and is that unity which we express by I. The brain itself, so far as it is *perceived* — thus in the consciousness of other things, and hence secondarily — is only idea. But in itself, and so far as it *perceives*, it is the will, because this is the real substratum of the whole phenomenon; its will to know objectifies itself as brain and its functions. We may take the voltaic pile as an illustration, certainly imperfect, but yet to some extent throwing light upon the nature of the human phenomenon, as we here regard it. The metals, together with the fluid, are the body; the chemical action, as the basis of the whole effect, is the will, and the electric current resulting from it, which produces shock and spark, is the intellect. But *omne simile claudicat*.

Quite recently the *physiATRICA* point of view has at last prevailed in pathology. According to it diseases are themselves a curative process of nature, which it introduces to remove, by overcoming its causes, a disorder

which in some way has got into the organism. Thus in the decisive battle, the crisis, it is either victorious and attains its end, or else is defeated. This view only gains its full rationality from our standpoint, which shows the *will* in the vital force, that here appears as *vis naturæ medicatrix*, the will which lies at the foundation of all organic functions in a healthy condition, but now, when disorder has entered, threatening its whole work, assumes dictatorial power in order to subdue the rebellious forces by quite extraordinary measures and entirely abnormal operations (the disease), and bring everything back to the right track. On the other hand, that the *will itself* is sick, as Brandis repeatedly expresses himself in his book, "*Ueber die Anwendung der Kälte*," which I have quoted in the first part of my essay, "*Ueber den Willen in der Natur*," is a gross misunderstanding. When I weigh this, and at the same time observe that in his earlier book, "*Ueber die Lebenskraft*," of 1795, Brandis betrayed no suspicion that this force is in itself the will, but, on the contrary, says there, page 13: "It is impossible that the vital force can be that which we only know through our consciousness, for most movements take place without our consciousness. The assertion that this, of which the only characteristic known to us is consciousness, also affects the body without consciousness is at the least quite arbitrary and unproved;" and page 14: "Haller's objections to the opinion that all living movements are the effect of the soul are, as I believe, quite unanswerable;" when I further reflect that he wrote his book, "*Ueber die Anwendung der Kälte*," in which all at once the will appears so decidedly as the vital force, in his seventieth year, an age at which no one as yet has conceived for the first time original fundamental thoughts; when, lastly, I bear in mind that he makes use of my exact expressions, "will and idea," and not of those which are far more commonly used by others, "the faculties of desire and of knowledge," I am now convinced, contrary to my earlier supposition, that he borrowed his fundamental thought from me, and with the usual honesty which prevails at the present day in the learned world, said nothing about it. The particulars about this will be found in the second (and third) edition of my work, "*Ueber den Willen in der Natur*," p. 14.

Nothing is more fitted to confirm and illustrate the thesis with which we are occupied in this chapter than Bichat's justly celebrated book, "*Sur la vie et la mort*." His reflections and mine reciprocally support each other, for his are the physiological commentary on mine, and mine are the philosophical commentary on his, and one will best understand us both by reading us

together. This refers specially to the first half of his work, entitled “*Recherches physiologiques sur la vie.*” He makes the foundation of his expositions the opposition of the *organic* to the *animal* life, which corresponds to mine of the will to the intellect. Whoever looks at the sense, not at the words, will not allow himself to be led astray by the fact that he ascribes the will to the animal life; for by will, as is usual, he only understands conscious volition, which certainly proceeds from the brain, where, however, as was shown above, it is not yet actual willing, but only deliberation upon and estimation of the motives, the conclusion or product of which at last appears as the act of will. All that I ascribe to the *will* proper he ascribes to the *organic* life, and all that I conceive as *intellect* is with him the *animal* life: the latter has with him its seat in the brain alone, together with its appendages: the former, again, in the whole of the remainder of the organism. The complete opposition in which he shows that the two stand to each other corresponds to that which with me exists between the will and the intellect. As anatomist and physiologist he starts from the objective, that is, from the consciousness of other things; I, as a philosopher, start from the subjective, self-consciousness; and it is a pleasure to see how, like the two voices in a duet, we advance in harmony with each other, although each expresses something different. Therefore, let every one who wishes to understand me read him; and let every one who wishes to understand him, better than he understood himself, read me. Bichat shows us, in article 4, that the *organic* life begins earlier and ends later than the *animal* life; consequently, since the latter also rests in sleep, has nearly twice as long a duration; then, in articles 8 and 9, that the organic life performs everything perfectly, at once, and of its own accord; the animal life, on the other hand, requires long practice and education. But he is most interesting in the sixth article, where he shows that the *animal* life is completely limited to the intellectual operations, therefore goes on coldly and indifferently, while the emotions and passions have their seat in the *organic* life, although the occasions of them lie in the animal, *i.e.*, the cerebral, life. Here he has ten valuable pages which I wish I could quote entire. On page 50 he says: “*Il est sans doute étonnant, que les passions n’ayent jamais leur terme ni leur origine dans les divers organes de la vie animale; qu’au contraire les parties servant aux fonctions internes, soient constamment affectées par elles, et même les déterminent suivant l’état où*

*elles se trouvent. Tel est cependant ce que la stricte observation nous prouve. Je dis d'abord que l'effet de toute espèce de passion, constamment étranger à la vie animale, est de faire naître un changement, une altération quelconque dans la vie organique.*" Then he shows in detail how anger acts on the circulation of the blood and the beating of the heart, then how joy acts, and lastly how fear; next, how the lungs, the stomach, the intestines, the liver, glands, and pancreas are affected by these and kindred emotions, and how grief diminishes the nutrition; and then how the animal, that is, the brain life, is untouched by all this, and quietly goes on its way. He refers to the fact that to signify intellectual operations we put the hand to the head, but, on the contrary, we lay it on the heart, the stomach, the bowels, if we wish to express our love, joy, sorrow, or hatred; and he remarks that he must be a bad actor who when he spoke of his grief would touch his head, and when he spoke of his mental effort would touch his heart; and also that while the learned make the so-called soul reside in the head, the common people always indicate the well-felt difference between the affections of the intellect and the will by the right expression, and speak, for example, of a capable, clever, fine head; but, on the other hand, say a good heart, a feeling heart, and also "Anger boils in my veins," "Stirs my gall," "My bowels leap with joy," "Jealousy poisons my blood," &c. "*Les chants sont le langage des passions, de la vie organique, comme la parole ordinaire est celui de l'entendement, de la vie animale: la déclamation, tient le milieu, elle anime la langue froide du cerveau par la langue expressive des organes intérieurs, du cœur, du foie, de l'estomac,*" &c. His conclusion is: "*La vie organique est le terme où aboutissent, et le centre d'où partent les passions.*" Nothing is better fitted than this excellent and thorough book to confirm and bring out clearly that the body is only the embodied (*i.e.*, perceived by means of the brain functions, time, space, and causality) will itself, from which it follows that the will is the primary and original, the intellect, as mere brain function, the subordinate and derived. But that which is most worthy of admiration, and to me most pleasing, in Bichat's thought is, that this great anatomist, on the path of his purely physiological investigations, actually got so far as to explain the unalterable nature of the *moral character* from the fact that only the *animal* life, thus the functions of the brain, are subject to the influence of education, practice, culture, and habit, but the moral character belongs to the *organic* life, *i.e.*, to all the other parts, which cannot

be modified from without. I cannot refrain from giving the passage; it occurs in article 9, § 2: “*Telle est donc la grande différence des deux vies de l’animal*” (cerebral or animal and organic life) “*par rapport à l’inégalité de perfection des divers systèmes de fonctions, dont chacune résulte; savoir, que dans l’une la prédominance ou l’infériorité d’un système relativement aux autres, tient presque toujours à l’activité ou à l’inertie plus grandes de ce système, à l’habitude d’agir ou de ne pas agir; que dans l’autre, au contraire, cette prédominance ou cette infériorité sont immédiatement liées à la texture des organes, et jamais à leur éducation. Voilà pourquoi le tempérament physique et le caractère moral ne sont point susceptible de changer par l’éducation, qui modifie si prodigieusement les actes de la vie animale; car, comme nous l’avons vu, tous deux appartiennent à la vie organique. Le caractère est, si je puis m’exprimer ainsi, la physionomie des passions; le tempérament est celle des fonctions internes: or les unes et les autres étant toujours les mêmes, ayant une direction que l’habitude et l’exercice ne dérangent jamais, il est manifeste que le tempérament et le caractère doivent être aussi soustraits à l’empire de l’éducation. Elle peut modérer l’influence du second, perfectionner assez le jugement et la réflexion, pour rendre leur empire supérieur au sien, fortifier la vie animal afin qu’elle résiste aux impulsions de l’organique. Mais vouloir par elle dénaturer le caractère, adoucir ou exalter les passions dont il est l’expression habituelle, agrandir ou resserrer leur sphère, c’est une entreprise analogue à celle d’un médecin qui essaierait d’élever ou d’abaisser de quelque degrés, et pour toute la vie, la force de contraction ordinaire au cœur dans l’état de santé, de précipiter ou de ralentir habituellement le mouvement naturel aux artères, et qui est nécessaire à leur action, etc. Nous observerions à ce médecin, que la circulation, la respiration, etc., ne sont point sous le domaine de la volonté (volition), quelles ne peuvent être modifiées par l’homme, sans passer à l’état maladif, etc. Faisons la même observation à ceux qui croient qu’on change le caractère, et par-là, même les passions, puisque celles-ci sont un produit de l’action de tous les organes internes, ou qu’elles y ont au moins spécialement leur siège.*” The reader who is familiar with my philosophy may imagine how great was my joy when I discovered, as it were, the proof of my own convictions in those which were arrived at upon an entirely different field, by this extraordinary man, so early taken from the world.



A special authentication of the truth that the organism is merely the visibility of the will is also afforded us by the fact that if dogs, cats, domestic cocks, and indeed other animals, bite when violently angry, the wounds become mortal; nay, if they come from a dog, may cause hydrophobia in the man who is bitten, without the dog being mad or afterwards becoming so. For the extremest anger is only the most decided and vehement will to annihilate its object; this now appears in the assumption by the saliva of an injurious, and to a certain extent magically acting, power, and springs from the fact that the will and the organism are in truth one. This also appears from the fact that intense vexation may rapidly impart to the mother's milk such a pernicious quality that the sucking child dies forthwith in convulsions (*Most, Ueber sympathetische Mittel*, p. 16).

## Note On What Has Been Said About Bichat.

Bichat has, as we have shown above, cast a deep glance into human nature, and in consequence has given an exceedingly admirable exposition, which is one of the most profound works in the whole of French literature. Now, sixty years later, M. Flourens suddenly appears with a polemic against it in his work, “*De la vie et de l’intelligence*,” and makes so bold as to declare without ceremony that all that Bichat has brought to light on this important subject, which was quite his own, is false. And what does he oppose to him in the field? Counter reasons? No, counter assertions<sup>37</sup> and authorities, indeed, which are as inadmissible as they are remarkable — Descartes and Gall! M. Flourens is by conviction a Cartesian, and to him Descartes, in the year 1858, is still “*le philosophe par excellence*.” Now Descartes was certainly a great man, yet only as a forerunner. In the whole of his dogmas, on the other hand, there is not a word of truth; and to appeal to these as authorities at this time of day is simply absurd. For in the nineteenth century a Cartesian in philosophy is just what a follower of Ptolemy would be in astronomy, or a follower of Stahl in chemistry. But for M. Flourens the dogmas of Descartes are articles of faith. Descartes has taught, *les volontés sont des pensées*: therefore this is the case, although every one feels within himself that willing and thinking are as different as white and black. Hence I have been able above, in chapter 19, to prove and explain this fully and thoroughly, and always under the guidance of experience. But above all, according to Descartes, the oracle of M. Flourens, there are two fundamentally different substances, body and soul. Consequently M. Flourens, as an orthodox Cartesian, says: “*Le premier point est de séparer, même par les mots, ce qui est du corps de ce qui est de l’âme*” (i. 72). He informs us further that this “*âme réside uniquement et exclusivement dans le cerveau*” (ii. 137); from whence, according to a passage of Descartes, it sends the *spiritus animales* as couriers to the muscles, yet can only itself be affected by the brain; therefore the passions have their seat (*siège*) in the heart, which is altered by them, yet their place (*place*) in the brain. Thus, really thus, speaks the oracle of M. Flourens, who is so much edified by it, that he even utters it twice after him (i. 33 and ii. 135), for the unfailing conquest of the ignorant Bichat, who knows neither soul nor body, but merely an animal and an organic life, and whom he then here

condescendingly informs that we must thoroughly distinguish the parts where the passions have their *seat* (*siègent*) from those which they *affect*. According to this, then, the passions *act* in one place while they *are* in another. Corporeal things are wont to act only where they are, but with an immaterial soul the case may be different. But what in general may he and his oracle really have thought in this distinction of *place* and *siège*, of *siéger* and *affecter*? The fundamental error of M. Flourens and Descartes springs really from the fact that they confound the motives or occasions of the passions, which, as ideas, certainly lie in the intellect, *i.e.*, in the brain, with the passions themselves, which, as movements of the will, lie in the whole body, which (as we know) is the perceived will itself. M. Flourens' second authority is, as we have said, Gall. I certainly have said, at the beginning of this twentieth chapter (and already in the earlier edition): "The greatest error in Gall's phrenology is, that he makes the brain the organ of moral qualities also." But what I censure and reject is precisely what M. Flourens praises and admires, for he bears in his heart the doctrine of Descartes: "*Les volontés sont des pensées.*" Accordingly he says, p. 144: "*Le premier service que Gall a rendu à la physiologie (?) a été de ramener le moral à l'intellectuel, et de faire voir que les facultés morales et les facultés intellectuelles sont du même ordre, et de les placer toutes, autant les unes que les autres, uniquement et exclusivement dans le cerveau.*" To a certain extent my whole philosophy, but especially the nineteenth chapter of this volume, consists of the refutation of this fundamental error. M. Flourens, on the contrary, is never tired of extolling this as a great truth and Gall as its discoverer; for example, p. 147: "*Si j'en étais à classer les services que nous a rendu Gall, je dirais que le premier a été de ramener les qualités morales au cerveau;*" — p. 153: "*Le cerveau seul est l'organe de l'âme, et de l'âme dans toute la plénitude de ses fonctions*" (we see the simple soul of Descartes still always lurks in the background, as the kernel of the matter); "*il est le siège de toutes les facultés intellectuelles.... Gall a rammené le moral à l'intellectuel, il a rammené les qualités morales au même siège, au même organe, que les facultés intellectuelles.*" Oh how must Bichat and I be ashamed of ourselves in the presence of such wisdom! But, to speak seriously, what can be more disheartening, or rather more shocking, than to see the true and profound rejected and the false and perverse extolled; to live to find that important truths, deeply hidden, and extracted late and with

difficulty, are to be torn down, and the old, stale, and late conquered errors set up in their place; nay, to be compelled to fear that through such procedure the advances of human knowledge, so hardly achieved, will be broken off! But let us quiet our fears; for *magma est vis veritatis et prævalebit*. M. Flourens is unquestionably a man of much merit, but he has chiefly acquired it upon the experimental path. Just those truths, however, which are of the greatest importance cannot be brought out by experiments, but only by reflection and penetration. Now Bichat by his reflection and penetration has here brought a truth to light which is of the number of those which are unattainable by the experimental efforts of M. Flourens, even if, as a true and consistent Cartesian, he tortures a hundred more animals to death. But he ought betimes to have observed and thought something of this: "Take care, friend, for it burns." The presumption and self-sufficiency, however, such as is only imparted by superficiality combined with a false obscurity, with which M. Flourens undertakes to refute a thinker like Bichat by counter assertions, old wives' beliefs, and futile authorities, indeed to reprove and instruct him, and even almost to mock at him, has its origin in the nature of the Academy and its *fauteuils*. Throned upon these, and saluting each other mutually as *illustre confrère*, gentlemen cannot avoid making themselves equal with the best who have ever lived, regarding themselves as oracles, and therefore fit to decree what shall be false and what true. This impels and entitles me to say out plainly for once, that the really superior and privileged minds, who now and then are born for the enlightenment of the rest, and to whom certainly Bichat belongs, are so "by the grace of God," and accordingly stand to the Academy (in which they have generally occupied only the forty-first *fauteuil*) and to its *illustres confrères*, as born princes to the numerous representatives of the people, chosen from the crowd. Therefore a secret awe should warn these gentlemen of the Academy (who always exist by the score) before they attack such a man, — unless they have most cogent reasons to present, and not mere contradictions and appeals to *placita* of Descartes, which at the present day is quite absurd.

## ENDNOTES.

[1](#).

Bruno and Spinoza are here entirely to be excepted. They stand each for himself and alone, and belong neither to their age nor their quarter of the globe, which rewarded the one with death and the other with persecution and insult. Their miserable existence and death in this Western world is like that of a tropical plant in Europe. The banks of the sacred Ganges were their true spiritual home; there they would have led a peaceful and honoured life among men of like mind. In the following lines, with which Bruno begins his book *Della Causa Principio et Uno*, for which he was brought to the stake, he expresses clearly and beautifully how lonely he felt himself in his age, and he also shows a presentiment of his fate which led him to delay the publication of his views, till that inclination to communicate what one knows to be true, which is so strong in noble minds, prevailed:

*“Ad partum properare tuum, mens ægra, quid obstat;*

*Seclo hæc indigno sint tribuenda licet?*

*Umbrarum fluctu terras mergente, cacumen*

*Adtolle in clarum, noster Olympe, Jovem.”*

Whoever has read this his principal work, and also his other Italian writings, which were formerly so rare, but are now accessible to all through a German edition, will find, as I have done, that he alone of all philosophers in some degree approaches to Plato, in respect of the strong blending of poetical power and tendency along with the philosophical, and this he also shows especially in a dramatic form. Imagine the tender, spiritual, thoughtful being, as he shows himself to us in this work of his, in the hands of coarse, furious priests as his judges and executioners, and thank Time which brought a brighter and a gentler age, so that the after-world whose curse was to fall on those fiendish fanatics is the world we now live in.

[2](#).

Bayard Taylor's translation of "Faust," vol. i. p. 14. — Trs.

[3](#).

"Faust," scene vi., Bayard Taylor's translation, vol. i. p. 134. — Trs.

[4](#).

Observe here that I always quote the “Kritik der reinen Vernunft” according to the paging of the first edition, for in Rosenkranz’s edition of Kant’s collected works this paging is always given in addition. Besides this, I add the paging of the fifth edition, preceded by a V.; all the other editions, from the second onwards, are the same as the fifth, and so also is their paging.

[5](#).

Cf. Christian Wolf’s “*Vernünfftige Gedanken von Gott, Welt und Seele*,” § 577-579. It is strange that he only explains as contingent what is necessary according to the principle of sufficient reason of becoming, *i.e.*, what takes place from causes, and on the contrary recognises as necessary that which is so according to the other forms of the principle of sufficient reason; for example, what follows from the *essentia* (definition), thus analytical judgments, and further also mathematical truths. The reason he assigns for this is, that only the law of causality gives infinite series, while the other kinds of grounds give only finite series. Yet this is by no means the case with the forms of the principle of sufficient reason in pure space and time, but only holds good of the logical ground of knowledge; but he held mathematical necessity to be such also. Compare the essay on the principle of sufficient reason, § 50.

[6](#).

With my refutation of the Kantian proof may be compared the earlier attacks upon it by Feder, *Ueber Zeit, Raum und Kausalität*, § 28; and by G. E. Schulze, *Kritik der theoretischen Philosophie*, Bd. ii. S. 422-442.

[7](#).

See *Sext. Empir. Pyrrhon. hypotyp.*, lib. i. c. 13, νοούμενα φαινόμενοις ἀντετιθη Ἀναξαγορας (intelligibilia apparentibus opposuit Anaxagoras).

[8](#).

That the assumption of a limit of the world in time is certainly not a necessary thought of the reason may be also proved historically, for the Hindus teach nothing of the kind, even in the religion of the people, much less in the Vedas, but try to express mythologically by means of monstrous chronology the infinity of this phenomenal world, this fleeting and baseless web of Mâyâ, for they at once bring out very ingeniously the relativity of all periods of time in the following mythus (Polier, *Mythologie des Indous*, vol. ii. p. 585). The four ages, in the last of which we live, embrace together 4,320,000 years. Each day of the creating Brahma has 1000 such periods of four ages, and his nights have also

1000. His year has 365 days and as many nights. He lives 100 of his years, always creating; and if he dies, at once a new Brahma is born, and so on from eternity to eternity. The same relativity of time is also expressed in the special myth which is quoted in Polier's work, vol. ii. p. 594, from the Puranas. In it a Rajah, after a visit of a few seconds to Vishnu in his heaven, finds on his return to earth that several millions of years have elapsed, and a new age has begun; for every day of Vishnu is 100 recurrences of the four ages.

[9](#).

Kant said, "It is very absurd to expect enlightenment from reason, and yet to prescribe to her beforehand which side she must necessarily take" ("Critique of Pure Reason," p. 747; V. 775). On the other hand, the following is the naive assertion of a professor of philosophy in our own time: "If a philosophy denies the reality of the fundamental ideas of Christianity, it is either false, or, *even if true, it is yet useless*." That is to say, for professors of philosophy. It was the late Professor Bachmann who, in the Jena *Litteraturzeitung* for July 1840, No. 126, so indiscreetly blurted out the maxim of all his colleagues. However, it is worth noticing, as regards the characteristics of the University philosophy, how here the truth, if it will not suit and adapt itself, is shown the door without ceremony, with, "Be off, truth! we cannot make *use* of you. Do we owe you anything? Do you pay us? Then be off!"

[10](#).

By the way, Machiavelli's problem was the solution of the question how the prince, *as a prince*, was to keep himself on the throne in spite of internal and external enemies. His problem was thus by no means the ethical problem whether a prince, as a man, ought to will such things, but purely the political one how, if he so wills, he can carry it out. And the solution of this problem he gives just as one writes directions for playing chess, with which it would be folly to mix up the answer to the question whether from an ethical point of view it is advisable to play chess at all. To reproach Machiavelli with the immorality of his writing is just the same as to reproach a fencing-master because he does not begin his instructions with a moral lecture against murder and slaughter.

[11](#).

Although the conception of legal right is properly negative in opposition to that of wrong, which is the positive starting-point, yet the explanation of these conceptions must not on this account be entirely negative.

[12](#).

I specially recommend here the passage in Lichtenberg's "Miscellaneous Writings" (Göthingen, 1801, vol. ii. p. 12): "Euler says, in his letters upon various subjects in connection with natural science (vol. ii. p. 228), that it would thunder and lighten just as well if there were no man present whom the lightning might strike. It is a very common expression, but I must confess that it has never been easy for me completely to comprehend it. It always seems to me as if the conception *being* were something derived from our thought, and thus, if there are no longer any sentient and thinking creatures, then there is nothing more whatever."

[13](#).

Lichtenberg says in his "*Nachrichten und Bemerkungen von und über sich selbst*" (*Vermischte Schriften*, Göttingen, 1800, vol. i. p. 43): "I am extremely sensitive to all noise, but it entirely loses its disagreeable character as soon as it is associated with a rational purpose."

[14](#).

That the three-toed sloth has nine must be regarded as a mistake; yet Owen still states this, "*Ostéologie Comp.*," p. 405.

[15](#).

This, however, does not excuse a professor of philosophy who, sitting in Kant's chair, expresses himself thus: "That mathematics as such contains arithmetic and geometry is correct. It is incorrect, however, to conceive arithmetic as the science of time, really for no other reason than to give a pendant (*sic*) to geometry as the science of space" (Rosenkranz in the "*Deutschen Museum*," 1857, May 14, No. 20). This is the fruit of Hegelism. If the mind is once thoroughly debauched with its senseless jargon, serious Kantian philosophy will no longer enter it. The audacity to talk at random about what one does not understand has been inherited from the master, and one comes in the end to condemn without ceremony the fundamental teaching of a great genius in a tone of peremptory decision, just as if it were Hegelian foolery. We must not, however, fail to notice that these little people struggle to escape from the track of great thinkers. They would therefore have done better not to attack Kant, but to content themselves with giving their public full details about God, the soul, the actual freedom of the will, and whatever belongs to that sort of thing, and then to have indulged in a private luxury in their dark back-shop, the philosophical journal; there they may do whatever they like without constraint, for no one sees it.

[16](#).



This chapter, along with the one which follows it, is connected with § 8 and 9 of the first book.

[17](#).

Illgen's "*Zeitschrift für Historische Theologie*," 1839, part i, p. 182.

[18](#).

*Gall et Spurzheim*, "*Des Dispositions Innées*," 1811, p. 253.

[19](#).

This chapter is connected with § 12 of the first volume.

[20](#).

This chapter is connected with § 13 of the first volume.

[21](#).

This chapter and the one which follows it are connected with § 9 of the first volume.

[22](#).

This chapter is connected with the conclusion of § 9 of the first volume.

[23](#).

This chapter is connected with § 14 of the first volume.

[24](#).

A principal use of the study of the ancients is that it preserves us from *verbosity*; for the ancients always take pains to write concisely and pregnantly, and the error of almost all moderns is verbosity, which the most recent try to make up for by suppressing syllables and letters. Therefore we ought to pursue the study of the ancients all our life, although reducing the time devoted to it. The ancients knew that we ought not to write as we speak. The moderns, on the other hand, are not even ashamed to print lectures they have delivered.

[25](#).

This chapter is connected with § 15 of the first volume.

[26](#).

This chapter is connected with § 16 of the first volume.

[27](#).

This chapter is connected with § 15 of the first volume.

[28](#).

[Bayard Taylor's translation of Faust, vol. i. 180. Trs.]

[29](#).

This chapter is connected with § 18 of the first volume.

[30](#).

This chapter is connected with § 19 of the first volume.

[31](#).

It is remarkable that Augustine already knew this. In the fourteenth book, "*De Civ. Dei*," c. 6, he speaks of the *affectionibus animi*, which in the preceding book he had brought under four categories, *cupiditas*, *timor*, *lætitia*, *tristitia*, and says: "*Voluntas est quippe in omnibus, imo omnes nihil aliud, quam voluntates sunt: nam quid est cupiditas et lætitia, nisi voluntas in eorum consensionem, quæ volumus? et quid est metus atque tristitia, nisi voluntas in dissensionem ab his, quæ nolumus? cet.*"

[32](#).

By those who place mind and learning above all other human qualities this man will be reckoned the greatest of his century. But by those who let virtue take precedence of everything else his memory can never be execrated enough. He was the cruelest of the citizens in persecuting, putting to death, and banishing.

[33](#).

The *Times* of 18th October 1845; from the *Athenæum*.

[34](#).

This chapter is connected with § 20 of the first volume.

[35](#).

*Spallanzani, Risultati di esperienze sopra la riproduzione della testa nelle lumache terrestri: in the Memorie di matematica e fisica della Società Italiana, Tom. i. p. 581. Voltaire, Les colimaçons du révérend père l'escarbotier.*

[36](#).

Cf. Ch. 22.

[37](#).

« *Tout ce qui est relatif à l'entendement appartient à la vie animale, » dit Bichat, et jusque-là point de doute; « tout ce qui est relatif aux passions appartient à la vie organique, » — et ceci est absolument faux. Indeed! — decrevit Florentius magnus.*

## **VOLUME III.**

## **Supplements To The Second Book.**

## Chapter XXI. Retrospect and More General View.

If the intellect were not of a subordinate nature, as the two preceding chapters show, then everything which takes place without it, *i.e.*, without intervention of the idea, such as reproduction, the development and maintenance of the organism, the healing of wounds, the restoration or vicarious supplementing of mutilated parts, the salutary crisis in diseases, the works of the mechanical skill of animals, and the performances of instinct would not be done so infinitely better and more perfectly than what takes place with the assistance of intellect, all conscious and intentional achievements of men, which compared with the former are mere bungling. In general nature signifies that which operates, acts, performs without the assistance of the intellect. Now, that this is really identical with what we find in ourselves as will is the general theme of this second book, and also of the essay, "*Ueber den Willen in der Natur*." The possibility of this fundamental knowledge depends upon the fact that in us the will is directly lighted by the intellect, which here appears as self-consciousness; otherwise we could just as little arrive at a fuller knowledge of it within us as without us, and must for ever stop at inscrutable forces of nature. We have to abstract from the assistance of the intellect if we wish to comprehend the nature of the will in itself, and thereby, as far as is possible, penetrate to the inner being of nature.

On this account, it may be remarked in passing, my direct antipode among philosophers is Anaxagoras; for he assumed arbitrarily as that which is first and original, from which everything proceeds, a *vovç*, an intelligence, a subject of ideas, and he is regarded as the first who promulgated such a view. According to him the world existed earlier in the mere idea than in itself; while according to me it is the unconscious will which constitutes the reality of things, and its development must have advanced very far before it finally attains, in the animal consciousness, to the idea and intelligence; so that, according to me, thought appears as the very last. However, according to the testimony of Aristotle (*Metaph.*, i. 4), Anaxagoras himself did not know how to begin much with his *vovç*, but merely set it up, and then left it standing like a painted saint at the entrance, without making use of it in his development of nature, except in cases of need, when he did not know how else to help himself. All physico-theology is a carrying out of the error opposed to the truth expressed at the beginning

of this chapter — the error that the most perfect form of the origin of things is that which is brought about by means of an intellect. Therefore it draws a bolt against all deep exploration of nature.

From the time of Socrates down to our own time, we find that the chief subject of the ceaseless disputations of the philosophers has been that *ens rationis*, called soul. We see the most of them assert its immortality, that is to say, its metaphysical nature; yet others, supported by facts which incontrovertibly prove the entire dependence of the intellect upon the bodily organism, unweariedly maintain the contrary. That soul is by all and before everything taken as absolutely simple; for precisely from this its metaphysical nature, its immateriality and immortality were proved, although these by no means necessarily follow from it. For although we can only conceive the destruction of a formed body through breaking up of it into its parts, it does not follow from this that the destruction of a simple existence, of which besides we have no conception, may not be possible in some other way, perhaps by gradually vanishing. I, on the contrary, start by doing away with the presupposed simplicity of our subjectively conscious nature, or the ego, inasmuch as I show that the manifestations from which it was deduced have two very different sources, and that in any case the intellect is physically conditioned, the function of a material organ, therefore dependent upon it, and without it is just as impossible as the grasp without the hand; that accordingly it belongs to the mere phenomenon, and thus shares the fate of this, — that the will, on the contrary, is bound to no special organ, but is everywhere present, is everywhere that which moves and forms, and therefore is that which conditions the whole organism; that, in fact, it constitutes the metaphysical substratum of the whole phenomenon, consequently is not, like the intellect, a *Posterior* of it, but its *Prior*; and the phenomenon depends upon it, not it upon the phenomenon. But the body is reduced indeed to a mere idea, for it is only the manner in which the will exhibits itself in the perception of the intellect or brain. The will, again, which in all other systems, different as they are in other respects, appears as one of the last results, is with me the very first. The intellect, as mere function of the brain, is involved in the destruction of the body, but the will is by no means so. From this heterogeneity of the two, together with the subordinate nature of the intellect, it becomes conceivable that man, in the depths of his self-consciousness, feels himself to be eternal and indestructible, but yet can have no memory, either a *parte ante* or a

parte post, beyond the duration of his life. I do not wish to anticipate here the exposition of the true indestructibility of our nature, which has its place in the fourth book, but have only sought to indicate the place where it links itself on.

But now that, in an expression which is certainly one-sided, yet from our standpoint true, the body is called a mere idea depends upon the fact that an existence in space, as something extended, and in time, as something that changes, and more closely determined in both through the causal-nexus, is only possible in the idea, for all those determinations rest upon its forms, thus in a brain, in which accordingly such an existence appears as something objective, *i.e.*, foreign; therefore even our own body can have this kind of existence only in a brain. For the knowledge which I have of my body as extended, space-occupying, and movable, is only indirect: it is a picture in my brain which is brought about by means of the senses and understanding. The body is given to me directly only in muscular action and in pain and pleasure, both of which primarily and directly belong to the will. But the combination of these two different kinds of knowledge of my own body afterwards affords the further insight that all other things which also have the objective existence described, which is primarily only in my brain, are not therefore entirely non-existent apart from it, but must also ultimately in themselves be that which makes itself known in self-consciousness as will.



## Chapter XXII.<sup>1</sup> Objective View of the Intellect.

There are two fundamentally different ways of regarding the intellect, which depend upon the difference of the point of view, and, much as they are opposed to each other in consequence of this, must yet be brought into agreement. One is the subjective, which, starting from within and taking the consciousness as the given, shows us by what mechanism the world exhibits itself in it, and how, out of the materials which the senses and the understanding provide, it constructs itself in it. We must look upon Locke as the originator of this method of consideration; Kant brought it to incomparably higher perfection; and our first book also, together with its supplements, are devoted to it.

The method of considering the intellect which is opposed to this is the objective, which starts from without, takes as its object not our own consciousness, but the beings given in outward experience, conscious of themselves and of the world, and now investigates the relation of their intellect to their other qualities, how it has become possible, how it has become necessary, and what it accomplishes for them. The standpoint of this method of consideration is the empirical. It takes the world and the animal existences present in it as absolutely given, in that it starts from them. It is accordingly primarily zoological, anatomical, physiological, and only becomes philosophical by connection with that first method of consideration, and from the higher point of view thereby attained. The only foundations of this which as yet have been given we owe to zootomists and physiologists, for the most part French. Here Cabanis is specially to be named, whose excellent work, "*Des rapports du physique au moral*," is initiatory of this method of consideration on the path of physiology. The famous Bichat was his contemporary, but his theme was a much more comprehensive one. Even Gall may be named here, although his chief aim was missed. Ignorance and prejudice have raised against this method of consideration the accusation of materialism, because, adhering simply to experience, it does not know the immaterial substance, soul. The most recent advances in the physiology of the nervous system, through Sir Charles Bell, Magendie, Marshall Hall, and others, have also enriched and corrected the material of this method of consideration. A philosophy which, like the Kantian, entirely ignores this point of view for the intellect is one-

sided, and consequently inadequate. It leaves an impassable gulf between our philosophical and our physiological knowledge, with which we can never find satisfaction.

Although what I have said in the two preceding chapters concerning the life and the activity of the brain belongs to this method of consideration, and in the same way all the discussions to be found under the heading, "*Pflanzenphysiologie*," in the essay, "*Ueber den Willen in der Natur*," and also a portion of those under the heading "*Vergleichende Anatomie*," are devoted to it, the following exposition of its results in general will be by no means superfluous.

We become most vividly conscious of the glaring contrast between the two methods of considering the intellect opposed to each other above if we carry the matter to the extreme and realise that what the one, as reflective thought and vivid perception, directly assumes and makes its material is for the other nothing more than the physiological function of an internal organ, the brain; nay, that we are justified in asserting that the whole objective world, so boundless in space, so infinite in time, so unsearchable in its perfection, is really only a certain movement or affection of the pulpy matter in the skull. We then ask in astonishment: what is this brain whose function produces such a phenomenon of all phenomena? What is the matter which can be refined and potentiated to such a pulp that the stimulation of a few of its particles becomes the conditional supporter of the existence of an objective world? The fear of such questions led to the hypothesis of the simple substance of an immaterial soul, which merely dwelt in the brain. We say boldly: this pulp also, like every vegetable or animal part, is an organic structure, like all its poorer relations in the inferior accommodation of the heads of our irrational brethren, down to the lowest, which scarcely apprehends at all; yet that organic pulp is the last product of nature, which presupposes all the rest. But in itself, and outside the idea, the brain also, like everything else, is will. For existing for another is being perceived; being in itself is willing: upon this it depends that on the purely objective path we never attain to the inner nature of things; but if we attempt to find their inner nature from without and empirically, this inner always becomes an outer again in our hands, — the pith of the tree, as well as its bark; the heart of the animal, as well as its hide; the white and the yolk of an egg, as well as its shell. On the other hand, upon the subjective path the inner is accessible to us at every moment; for we find it as the will

primarily in ourselves, and must, by the clue of the analogy with our own nature, be able to solve that of others, in that we attain to the insight that a being in itself independent of being known, *i.e.*, of exhibiting itself in an intellect, is only conceivable as willing.

If now, in the objective comprehension of the intellect, we go back as far as we possibly can, we shall find that the necessity or the need of knowledge in general arises from the multiplicity and the separate existence of beings, thus from individuation. For suppose there only existed a single being, such a being would have no need of knowledge: because nothing would exist which was different from it, and whose existence it would therefore have to take up into itself indirectly through knowledge, *i.e.*, image and concept. It would itself already be all in all, and therefore there would remain nothing for it to know, *i.e.*, nothing foreign that could be apprehended as object. In the case of a multiplicity of beings, on the other hand, every individual finds itself in a condition of isolation from all the rest, and hence arises the necessity of knowledge. The nervous system, by means of which the animal individual primarily becomes conscious of itself, is bounded by a skin; yet in the brain that has attained to intellect it passes beyond this limit by means of its form of knowledge, causality, and thus there arises for it perception as a consciousness of other things, as an image of beings in space and time, which change in accordance with causality. In this sense it would be more correct to say, "Only the different is known by the different," than as Empedocles said, "Only the like is known by the like," which was a very indefinite and ambiguous proposition; although points of view may certainly also be conceived from which it is true; as, for instance, we may observe in passing that of Helvetius when he says so beautifully and happily: "Il n'y a que l'esprit qui sente l'esprit: c'est une corde qui ne frémit qu'à l'unison," which corresponds with Xenophon's "σοφον ειναι δει τον επιγνωσομενον τον σοφον" (sapientem esse oportet eum, qui sapientem agniturus sit), and is a great sorrow. But now, again, from the other side we know that multiplicity of similars only becomes possible through time and space; thus through the forms of our knowledge. Space first arises in that the knowing subject sees externally; it is the manner in which the subject comprehends something as different from itself. But we also saw knowledge in general conditioned by multiplicity and difference. Thus knowledge and multiplicity, or individuation, stand and fall together, for they reciprocally condition each

other. Hence it must be inferred that, beyond the phenomenon in the true being of all things, to which time and space, and consequently also multiplicity, must be foreign, there can also be no knowledge. Buddhism defines this as Pratschna Paramita, *i.e.*, that which is beyond all knowledge (J. J. Schmidt, "On the Maha-Jana and Pratschna Paramita"). A "knowledge of things in themselves," in the strictest sense of the word, would accordingly be already impossible from the fact that where the thing in itself begins knowledge ceases, and all knowledge is essentially concerned only with phenomena. For it springs from a limitation, by which it is made necessary, in order to extend the limits.

For the objective consideration the brain is the efflorescence of the organism; therefore only where the latter has attained its highest perfection and complexity does the brain appear in its greatest development. But in the preceding chapter we have recognised the organism as the objectification of the will; therefore the brain also, as a part of it, must belong to this objectification. Further, from the fact that the organism is only the visibility of the will, thus in itself is the will, I have deduced that every affection of the organism at once and directly affects the will, *i.e.*, is felt as agreeable or painful. Yet, with the heightening of sensibility, in the higher development of the nervous system, the possibility arises that in the nobler, *i.e.*, the objective, organs of sense (sight and hearing) the exquisitely delicate affections proper to them are perceived without in themselves and directly affecting the will, that is, without being either painful or agreeable, and that therefore they appear in consciousness as indifferent, merely perceived, sensations. But in the brain this heightening of sensibility reaches such a high degree that upon received impressions of sense a reaction even takes place, which does not proceed directly from the will, but is primarily a spontaneity of the function of understanding, which makes the transition from the directly perceived sensation of the senses to its cause; and since the brain then at once produces the form of space, there thus arises the perception of an external object. We may therefore regard the point at which the understanding makes the transition from the mere sensation upon the retina, which is still a mere affection of the body and therefore of the will, to the cause of that sensation, which it projects by means of its form of space, as something external and different from its own body, as the boundary between the world as will and the world as idea, or as the birthplace of the latter. In man, however, the spontaneity of the activity of

the brain, which in the last instance is certainly conferred by the will, goes further than mere perception and immediate comprehension of causal relations. It extends to the construction of abstract conceptions out of these perceptions, and to operating with these conceptions, *i.e.*, to thinking, as that in which his reason consists. Thoughts are therefore furthest removed from the affections of the body, which, since the body is the objectification of the will, may, through increased intensity, pass at once into pain, even in the organs of sense. Accordingly idea and thought may also be regarded as the efflorescence of the will, because they spring from the highest perfection and development of the organism; but the organism, in itself and apart from the idea, is the will. Of course, in my explanation, the existence of the body presupposes the world of idea; inasmuch as it also, as body or real object, is only in this world; and, on the other hand, the idea itself just as much presupposes the body, for it arises only through the function of an organ of the body. That which lies at the foundation of the whole phenomenon, that in it which alone has being in itself and is original, is exclusively the will; for it is the will which through this very process assumes the form of the idea, *i.e.*, enters the secondary existence of an objective world, or the sphere of the knowable. Philosophers before Kant, with few exceptions, approached the explanation of the origin of our knowledge from the wrong side. They set out from a so-called soul, an existence whose inner nature and peculiar function consisted in thinking, and indeed quite specially in abstract thinking, with mere conceptions, which belonged to it the more completely the further they lay from all perception. (I beg to refer here to the note at the end of § 6 of my prize essay on the foundation of morals.) This soul has in some inconceivable manner entered the body, and there it is only disturbed in its pure thinking, first by impressions of the senses and perceptions, still more by the desires which these excite, and finally by the emotions, nay, passions, to which these desires develop; while the characteristic and original element of this soul is mere abstract thinking, and given up to this it has only universals, inborn conceptions, and æternæ veritates for its objects, and leaves everything perceptible lying far below it. Hence, also, arises the contempt with which even now “sensibility” and the “sensuous” are referred to by professors of philosophy, nay, are even made the chief source of immorality, while it is just the senses which are the genuine and innocent source of all our knowledge, from which all thinking must first borrow its material, for in

combination with the a priori functions of the intellect they produce the perception. One might really suppose that in speaking of sensibility these gentlemen always think only of the pretended sixth sense of the French. Thus, as we have said, in the process of knowledge, its ultimate product was made that which is first and original in it, and accordingly the matter was taken hold of by the wrong end. According to my exposition, the intellect springs from the organism, and thereby from the will, and hence could not be without the latter. Thus, without the will it would also find no material to occupy it; for everything that is knowable is just the objectification of the will.

But not only the perception of the external world, or the consciousness of other things, is conditioned by the brain and its functions, but also self-consciousness. The will in itself is without consciousness, and remains so in the greater part of its phenomena. The secondary world of idea must be added, in order that it may become conscious of itself, just as light only becomes visible through the bodies which reflect it, and without them loses itself in darkness without producing any effect. Because the will, with the aim of comprehending its relations to the external world, produces a brain in the animal individual, the consciousness of its own self arises in it, by means of the subject of knowledge, which comprehends things as existing and the ego as willing. The sensibility, which reaches its highest degree in the brain, but is yet dispersed through its different parts, must first of all collect all the rays of its activity, concentrate them, as it were, in a focus, which, however, does not lie without, as in the case of the concave mirror, but within, as in the convex mirror. With this point now it first describes the line of time, upon which, therefore, all that it presents to itself as idea must exhibit itself, and which is the first and most essential form of all knowledge, or the form of inner sense. This focus of the whole activity of the brain is what Kant called the synthetic unity of apperception (*cf.* vol. ii. p. 475). Only by means of this does the will become conscious of itself, because this focus of the activity of the brain, or that which knows, apprehends itself as identical with its own basis, from which it springs, that which wills; and thus the ego arises. Yet this focus of the brain activity remains primarily a mere subject of knowledge, and as such capable of being the cold and impartial spectator, the mere guide and counsellor of the will, and also of comprehending the external world in a purely objective manner, without reference to the will and its weal or woe. But whenever it

turns within, it recognises the will as the basis of its own phenomenon, and therefore combines with it in the consciousness of an ego. That focus of the activity of the brain (or the subject of knowledge) is indeed, as an indivisible point, simple, but yet is not on this account a substance (soul), but a mere condition or state. That of which it is itself a condition or state can only be known by it indirectly, as it were through reflection. But the ceasing of this state must not be regarded as the annihilation of that of which it is a state. This knowing and conscious ego is related to the will, which is the basis of its phenomenal appearance, as the picture in the focus of a concave mirror is related to the mirror itself, and has, like that picture, only a conditioned, nay, really a merely apparent, reality. Far from being the absolutely first (as, for example, Fichte teaches), it is at bottom tertiary, for it presupposes the organism, and the organism presupposes the will. I admit that all that is said here is really only an image and a figure, and in part also hypothetical; but we stand at a point to which thought can scarcely reach, not to speak of proof. I therefore request the reader to compare with this what I have adduced at length on this subject in chapter 20.

Now, although the true being of everything that exists consists in its will, and knowledge together with consciousness are only added at the higher grades of the phenomenon as something secondary, yet we find that the difference which the presence and the different degree of consciousness places between one being and another is exceedingly great and of important results. The subjective existence of the plant we must think of as a weak analogue, a mere shadow of comfort and discomfort; and even in this exceedingly weak degree the plant knows only of itself, not of anything outside of it. On the other hand, even the lowest animal standing next to it is forced by increased and more definitely specified wants to extend the sphere of its existence beyond the limits of its own body. This takes place through knowledge. It has a dim apprehension of its immediate surroundings, out of which the motives for its action with a view to its own maintenance arise. Thus accordingly the medium of motives appears, and this is — the world existing objectively in time and space, the world as idea, however weak, obscure, and dimly dawning this first and lowest example of it may be. But it imprints itself ever more and more distinctly, ever wider and deeper, in proportion as in the ascending scale of animal organisations the brain is ever more perfectly produced. This progress in the development of the brain, thus of the intellect, and of the clearness of the idea, at each of

these ever higher grades is, however, brought about by the constantly increasing and more complicated wants of this phenomenon of the will. This must always first afford the occasion for it, for without necessity nature (*i.e.*, the will which objectifies itself in it) produces nothing, least of all the hardest of its productions — a more perfect brain: in consequence of its *lex parsimoniæ: natura nihil agit frustra et nihil facit supervacaneum*. It has provided every animal with the organs which are necessary for its sustenance and the weapons necessary for its conflict, as I have shown at length in my work, “*Ueber den Willen in der Natur*,” under the heading, “*Vergleichende Anatomie*.” According to this measure, therefore, it imparts to each the most important of those organs concerned with what is without, the brain, with its function the intellect. The more complicated, through higher development, its organisation became, the more multifarious and specially determined did its wants also become, and consequently the more difficult and the more dependent upon opportunity was the provision of what would satisfy them. Thus there was needed here a wider range of sight, a more accurate comprehension, a more correct distinction of things in the external world, in all their circumstances and relations. Accordingly we see the faculty of forming ideas, and its organs, brain, nerves, and special senses, appear ever more perfect the higher we advance in the scale of animals; and in proportion as the cerebral system develops, the external world appears ever more distinct, many-sided, and complete in consciousness. The comprehension of it now demands ever more attention, and ultimately in such a degree that sometimes its relation to the will must momentarily be lost sight of in order that it may take place more purely and correctly. Quite definitely this first appears in the case of man. With him alone does a pure separation of knowing and willing take place. This is an important point, which I merely touch on here in order to indicate its position, and be able to take it up again later. But, like all the rest, nature takes this last step also in extending and perfecting the brain, and thereby in increasing the powers of knowledge, only in consequence of the increased needs, thus in the service of the will. What this aims at and attains in man is indeed essentially the same, and not more than what is also its goal in the brutes — nourishment and propagation. But the requisites for the attainment of this goal became so much increased in number, and of so much higher quality and greater definiteness through the organisation of man, that a very much more considerable heightening of the intellect than the previous steps



demanding was necessary, or at least was the easiest means of reaching the end. But since now the intellect, in accordance with its nature, is a tool of the most various utility, and is equally applicable to the most different kinds of ends, nature, true to her spirit of parsimony, could now meet through it alone all the demands of the wants which had now become so manifold. Therefore she sent forth man without clothing, without natural means of protection or weapons of attack, nay, with relatively little muscular power, combined with great frailty and little endurance of adverse influences and wants, in reliance upon that one great tool, in addition to which she had only to retain the hands from the next grade below him, the ape. But through the predominating intellect which here appears not only is the comprehension of motives, their multiplicity, and in general the horizon of the aims infinitely increased, but also the distinctness with which the will is conscious of itself is enhanced in the highest degree in consequence of the clearness of the whole consciousness which has been brought about, which is supported by the capacity for abstract knowledge, and now attains to complete reflectiveness. But thereby, and also through the vehemence of the will, which is necessarily presupposed as the supporter of such a heightened intellect, an intensifying of all the emotions appears, and indeed the possibility of the passions, which, properly speaking, are unknown to the brute. For the vehemence of the will keeps pace with the advance of intelligence, because this advance really always springs from the increased needs and pressing demands of the will: besides this, however, the two reciprocally support each other. Thus the vehemence of the character corresponds to the greater energy of the beating of the heart and the circulation of the blood, which physically heighten the activity of the brain. On the other hand, the clearness of the intelligence intensifies the emotions, which are called forth by the outward circumstances, by means of the more vivid apprehension of the latter. Hence, for example, young calves quietly allow themselves to be packed in a cart and carried off; but young lions, if they are only separated from their mother, remain permanently restless, and roar unceasingly from morning to night; children in such a position would cry and vex themselves almost to death. The vivaciousness and impetuosity of the ape is in exact proportion to its greatly developed intellect. It depends just on this reciprocal relationship that man is, in general, capable of far greater sorrows than the brute, but also of greater joy in satisfied and pleasing emotions. In the same way his higher intelligence makes him more

sensible to ennui than the brute; but it also becomes, if he is individually very complete, an inexhaustible source of entertainment. Thus, as a whole, the manifestation of the will in man is related to that in the brute of the higher species, as a note that has been struck to its fifth pitched two or three octaves lower. But between the different kinds of brutes also the differences of intellect, and thereby of consciousness, are great and endlessly graduated. The mere analogy of consciousness which we must yet attribute to plants will be related to the still far deader subjective nature of an unorganised body, very much as the consciousness of the lowest species of animals is related to the quasi consciousness of plants. We may present to our imagination the innumerable gradations in the degree of consciousness under the figure of the different velocity of points which are unequally distant from the centre of a revolving sphere. But the most correct, and indeed, as our third book teaches, the natural figure of that gradation is afforded us by the scale in its whole compass from the lowest audible note to the highest. It is, however, the grade of consciousness which determines the grade of existence of a being. For every immediate existence is subjective: the objective existence is in the consciousness of another, thus only for this other, consequently quite indirect. Through the grade of consciousness beings are as different as through the will they are alike, for the will is what is common to them all.

But what we have now considered between the plant and the animal, and then between the different species of animals, occurs also between man and man. Here also that which is secondary, the intellect, by means of the clearness of consciousness and distinctness of knowledge which depends upon it, constitutes a fundamental and immeasurably great difference in the whole manner of the existence, and thereby in the grade of it. The higher the consciousness has risen, the more distinct and connected are the thoughts, the clearer the perceptions the more intense the sensations. Through it everything gains more depth: emotion, sadness, joy, and sorrow. Commonplace blockheads are not even capable of real joy: they live on in dull insensibility. While to one man his consciousness only presents his own existence, together with the motives which must be apprehended for the purpose of sustaining and enlivening it, in a bare comprehension of the external world, it is to another a camera obscura in which the macrocosm exhibits itself:

“He feels that he holds a little world

Brooding in his brain,  
That it begins to work and to live,  
That he fain would give it forth.”

The difference of the whole manner of existence which the extremes of the gradation of intellectual capacity establish between man and man is so great that that between a king and a day labourer seems small in comparison. And here also, as in the case of the species of animals, a connection between the vehemence of the will and the height of the intellect can be shown. Genius is conditioned by a passionate temperament, and a phlegmatic genius is inconceivable: it seems as if an exceptionally vehement, thus a violently longing, will must be present if nature is to give an abnormally heightened intellect, as corresponding to it; while the merely physical account of this points to the greater energy with which the arteries of the head move the brain and increase its turgescence. Certainly, however, the quantity, quality, and form of the brain itself is the other and incomparably more rare condition of genius. On the other hand, phlegmatic persons are as a rule of very moderate mental power; and thus the northern, cold-blooded, and phlegmatic nations are in general noticeably inferior in mind to the southern vivacious and passionate peoples; although, as Bacon<sup>2</sup> has most pertinently remarked, if once a man of a northern nation is highly gifted by nature, he can then reach a grade which no southern ever attains to. It is accordingly as perverse as it is common to take the great minds of different nations as the standard for comparing their mental powers: for that is just attempting to prove the rule by the exceptions. It is rather the great majority of each nation that one has to consider: for one swallow does not make a summer. We have further to remark here that that very passionateness which is a condition of genius, bound up with its vivid apprehension of things, produces in practical life, where the will comes into play, and especially in the case of sudden occurrences, so great an excitement of the emotions that it disturbs and confuses the intellect; while the phlegmatic man in such a case still retains the full use of his mental faculties, though they are much more limited, and then accomplishes much more with them than the greatest genius can achieve. Accordingly a passionate temperament is favourable to the original quality of the intellect, but a phlegmatic temperament to its use. Therefore genius proper is only for theoretical achievements, for which it can choose and await its time, which will just be the time at which the will is entirely at rest, and no waves

disturb the clear mirror of the comprehension of the world. On the other hand, genius is ill adapted and unserviceable for practical life, and is therefore for the most part unfortunate. Goethe's "Tasso" is written from this point of view. As now genius proper depends upon the absolute strength of the intellect, which must be purchased by a correspondingly excessive vehemence of disposition, so, on the other hand, the great pre-eminence in practical life that makes generals and statesmen depends upon the relative strength of the intellect, thus upon the highest degree of it that can be attained without too great excitability of the emotions, and too great vehemence of character, and that therefore can hold its own even in the storm. Great firmness of will and constancy of mind, together with a capable and fine understanding, are here sufficient; and whatever goes beyond this acts detrimentally, for too great a development of the intelligence directly impedes firmness of character and resolution of will. Hence this kind of eminence is not so abnormal, and is a hundred times less rare than the former kind; and accordingly we see great generals and great ministers appear in every age, whenever the merely external conditions are favourable to their efficiency. Great poets and philosophers, on the other hand, leave centuries waiting for them; and yet humanity may be contented even with this rare appearance of them, for their works remain, and do not exist only for the present, like the achievements of those other men. It is also quite in keeping with the law of the parsimony of nature referred to above that it bestows great eminence of mind in general upon very few, and genius only as the rarest of all exceptions, while it equips the great mass of the human race with no more mental power than is required for the maintenance of the individual and the species. For the great, and through their very satisfaction, constantly increasing needs of the human race make it necessary that the great majority of men should pass their lives in occupations of a coarsely physical and entirely mechanical description. And what would be the use to them of an active mind, a glowing imagination, a subtle understanding, and a profoundly penetrating intellect? These would only make them useless and unhappy. Therefore nature has thus gone about the most costly of all her productions in the least extravagant manner. In order not to judge unfairly one ought also to settle definitely one's expectations of the mental achievements of men generally from this point of view, and to regard, for example, even learned men, since as a rule they have become so only by the force of outward circumstances, primarily as

men whom nature really intended to be tillers of the soil; indeed even professors of philosophy ought to be estimated according to this standard, and then their achievements will be found to come up to all fair expectations. It is worth noticing that in the south, where the necessities of life press less severely upon the human race, and more leisure is allowed, the mental faculties even of the multitude also become more active and finer. It is physiologically noteworthy that the preponderance of the mass of the brain over that of the spinal cord and the nerves, which, according to Sömmerring's acute discovery, affords the true and closest measure of the degree of intelligence both of species of brutes and of individual men, at the same time increases the direct power of moving, the agility of the limbs; because, through the great inequality of the relation, the dependence of all motor nerves upon the brain becomes more decided; and besides this the cerebellum, which is the primary controller of movements, shares the qualitative perfection of the cerebrum; thus through both all voluntary movements gain greater facility, rapidity, and manageableness, and by the concentration of the starting-point of all activity that arises which Lichtenberg praises in Garrick: "that he appeared to be present in all the muscles of his body." Hence clumsiness in the movement of the body indicates clumsiness in the movement of the thoughts, and will be regarded as a sign of stupidity both in individuals and nations, as much as sleepiness of the countenance and vacancy of the glance. Another symptom of the physiological state of the case referred to is the fact that many persons are obliged at once to stand still whenever their conversation with any one who is walking with them begins to gain some connection; because their brain, as soon as it has to link together a few thoughts, has no longer as much power over as is required to keep the limbs in motion by means of the motory nerves, so closely is everything measured with them.

It results from this whole objective consideration of the intellect and its origin, that it is designed for the comprehension of those ends upon the attainment of which depends the individual life and its propagation, but by no means for deciphering the inner nature of things and of the world, which exists independently of the knower. What to the plant is the susceptibility to light, in consequence of which it guides its growth in the direction of it, that is, in kind, the knowledge of the brute, nay, even of man, although in degree it is increased in proportion as the needs of each of these beings demand. With them all apprehension remains a mere consciousness of their

relations to other things, and is by no means intended to present again in the consciousness of the knower the peculiar, absolutely real nature of these things. Rather, as springing from the will, the intellect is also only designed for its service, thus for the apprehension of motives; it is adapted for this, and is therefore of a thoroughly practical tendency. This also holds good if we conceive the significance of life as ethical; for in this regard too we find man knowing only for the benefit of his conduct. Such a faculty of knowledge, existing exclusively for practical ends, will from its nature always comprehend only the relations of things to each other, but not the inner nature of them, as it is in itself. But to regard the complex of these relations as the absolute nature of the world as it is in itself, and the manner in which it necessarily exhibits itself in accordance with the laws predisposed in the brain as the eternal laws of the existence of all things, and then to construct ontology, cosmology, and theology in accordance with this view — this was really the old fundamental error, of which Kant's teaching has made an end. Here, then, our objective, and therefore for the most part physiological consideration of the intellect meets his transcendental consideration of it; nay, appears in a certain sense even as an a priori insight into it; for, from a point of view which we have taken up outside of it, our objective view enables us to know in its origin, and therefore as necessary, what that transcendental consideration, starting from facts of consciousness, presents only as a matter of fact. For it follows from our objective consideration of the intellect, that the world as idea, as it exists stretched out in space and time, and moves on regularly according to the strict law of causality, is primarily only a physiological phenomenon, a function of the brain, which brings it about, certainly upon the occasion of certain external stimuli, but yet in conformity with its own laws. Accordingly it is beforehand a matter of course, that what goes on in this function itself, and therefore through it and for it, must by no means be regarded as the nature of things in themselves, which exist independently of it and are entirely different from it, but primarily exhibits only the mode or manner of this function itself, which can always receive only a very subordinate modification through that which exists completely independently of it, and sets it in motion as a stimulus. As, then, Locke claimed for the organs of sense all that comes into our apprehension by means of the sensation, in order to deny that it belongs to things in themselves, so Kant, with the same intention, and pursuing the same path

further, has proved all that makes perception proper possible, thus space, time, and causality, to be functions of the brain; although he has refrained from using this physiological expression, to which, however, our present method of investigation, coming from the opposite side, the side of the real, necessarily leads us. Kant arrived upon his analytical path at the result that what we know are mere phenomena. What this mysterious expression really means becomes clear from our objective and genetic investigation of the intellect. The phenomena are the motives for the aims of individual will as they exhibit themselves in the intellect which the will has produced for this purpose (which itself appears as a phenomenon objectively, as the brain), and which, when comprehended, as far as one can follow their concatenation, afford us in their connection the world which extends itself objectively in time and space, and which I call the world as idea. Moreover, from our point of view, the objectionable element vanishes which in the Kantian doctrine arises from the fact that, because the intellect knows merely phenomena instead of things as they are in themselves, nay, in consequence of this is led astray into paralogisms and unfounded hypostases by means of “sophistications, not of men but of the reason itself, from which even the wisest does not free himself, and if, perhaps indeed after much trouble, he avoids error, can yet never get quit of the illusion which unceasingly torments and mocks him” — because of all this, I say, the appearance arises that our intellect is intentionally designed to lead us into errors. For the objective view of the intellect given here, which contains a genesis of it, makes it conceivable that, being exclusively intended for practical ends, it is merely the medium of motives, and therefore fulfils its end by an accurate presentation of these, and that if we undertake to discover the nature of things in themselves, from the manifold phenomena which here exhibit themselves objectively to us, and their laws, we do this at our own peril and on our own responsibility. We have recognised that the original inner force of nature, without knowledge and working in the dark, which, if it has worked its way up to self-consciousness, reveals itself to this as will, attains to this grade only by the production of an animal brain and of knowledge, as its function, whereupon the phenomenon of the world of perception arises in this brain. But to explain this mere brain phenomenon, with the conformity to law which is invariably connected with its functions, as the objective inner nature of the world and the things in it, which is independent of the brain, existing before

and after it, is clearly a spring which nothing warrants us in making. From this mundus phœnomenon, however, from this perception which arises under such a variety of conditions, all our conceptions are drawn. They have all their content from it, or even only in relation to it. Therefore, as Kant says, they are only for immanent, not for transcendental, use; that is to say, these conceptions of ours, this first material of thought, and consequently still more the judgments which result from their combination, are unfitted for the task of thinking the nature of things in themselves, and the true connection of the world and existence; indeed, to undertake this is analogous to expressing the stereometrical content of a body in square inches. For our intellect, originally only intended to present to an individual will its paltry aims, comprehends accordingly mere relations of things, and does not penetrate to their inner being, to their real nature. It is therefore a merely superficial force, clings to the surface of things, and apprehends mere species transitivas, not the true being of things. From this it arises that we cannot understand and comprehend any single thing, even the simplest and smallest, through and through, but something remains entirely inexplicable to us in each of them. Just because the intellect is a product of nature, and is therefore only intended for its ends, the Christian mystics have very aptly called it “the light of nature,” and driven it back within its limits; for nature is the object to which alone it is the subject. The thought from which the Critique of Pure Reason has sprung really lies already at the foundation of this expression. That we cannot comprehend the world on the direct path, *i.e.*, through the uncritical, direct application of the intellect and its data, but when we reflect upon it become ever more deeply involved in insoluble mysteries, points to the fact that the intellect, thus knowledge itself, is secondary, a mere product, brought about by the development of the inner being of the world, which consequently till then preceded it, and it at last appeared as a breaking through to the light out of the obscure depths of the unconscious striving the nature of which exhibits itself as will to the self-consciousness which now at once arises. That which preceded knowledge as its condition, whereby it first became possible, thus its own basis, cannot be directly comprehended by it; as the eye cannot see itself. It is rather the relations of one existence to another, exhibiting themselves upon the surface of things, which alone are its affair, and are so only by means of the apparatus of the intellect, its forms, space, time, and causality. Just because the world has made itself without the assistance of knowledge,



its whole being does not enter into knowledge, but knowledge presupposes the existence of the world; on which account the origin of the world does not lie within its sphere. It is accordingly limited to the relations between the things which lie before it, and is thus sufficient for the individual will, for the service of which alone it appeared. For the intellect is, as has been shown, conditioned by nature, lies in it, belongs to it, and cannot therefore place itself over against it as something quite foreign to it, in order thus to take up into itself its whole nature, absolutely, objectively, and thoroughly. It can, if fortune favours it, understand all that is in nature, but not nature itself, at least not directly.

However discouraging to metaphysics this essential limitation of the intellect may be, which arises from its nature and origin, it has yet another side which is very consoling. It deprives the direct utterances of nature of their unconditional validity, in the assertion of which naturalism proper consists. If, therefore, nature presents to us every living thing as appearing out of nothing, and, after an ephemeral existence, returning again for ever to nothing, and if it seems to take pleasure in the unceasing production of new beings, in order that it may be able unceasingly to destroy, and, on the other hand, is unable to bring anything permanent to light; if accordingly we are forced to recognise matter as that which alone is permanent, which never came into being and never passes away, but brings forth all things from its womb, whence its name appears to be derived from *mater rerum*, and along with it, as the father of things, form, which, just as fleeting as matter is permanent, changes really every moment, and can only maintain itself so long as it clings as a parasite to matter (now to one part of it, now to another), but when once it entirely loses hold, disappears, as is shown by the *palæotheria* and the *ichthyosaurians*, we must indeed recognise this as the direct and genuine utterance of nature, but on account of the origin of the intellect explained above, and the nature of it which results from this origin, we cannot ascribe to this utterance an unconditional truth, but rather only an entirely conditional truth, which Kant has appropriately indicated as such by calling it the phenomenon in opposition to the thing in itself.

If, in spite of this essential limitation of the intellect, it is possible, by a circuitous route, to arrive at a certain understanding of the world and the nature of things, by means of reflection widely pursued, and the skilful combination of objective knowledge directed towards without, with the data of self-consciousness, this will yet be only a very limited, entirely indirect,

and relative understanding, a parabolical translation into the forms of knowledge, thus a quodam prode tenus, which must always leave many problems still unsolved. On the other hand, the fundamental error of the old dogmatism in all its forms, which was destroyed by Kant, was this, that it started absolutely from knowledge, i.e., the world as idea, in order to deduce and construct from its laws being in general, whereby it accepted that world of idea, together with its laws, as absolutely existing and absolutely real; while its whole existence is throughout relative, and a mere result or phenomenon of the true being which lies at its foundation, — or, in other words, that it constructed an ontology when it had only materials for a dianoiology. Kant discovered the subjectively conditioned and therefore entirely immanent nature of knowledge, *i.e.*, its unsuitableness for transcendental use, from the constitution of knowledge itself; and therefore he very appropriately called his doctrine the *Critique of Reason*. He accomplished this partly by showing the important and thoroughly a priori part of all knowledge, which, as throughout subjective, spoils all objectivity, and partly by professedly proving that if they were followed out to the end the principles of knowledge, taken as purely objective, led to contradictions. He had, however, hastily assumed that, apart from objective knowledge, *i.e.*, apart from the world as idea, there is nothing given us except conscience, out of which he constructed the little that still remained of metaphysics, his moral theology, to which, however, he attributed absolutely only a practical validity, and no theoretical validity at all. He had overlooked that although certainly objective knowledge, or the world as idea, affords nothing but phenomena, together with their phenomenal connection and regressus, yet our own nature necessarily also belongs to the world of things in themselves, for it must have its root in it. But here, even if the root itself cannot be brought to light, it must be possible to gather some data for the explanation of the connection of the world of phenomena with the inner nature of things. Thus here lies the path upon which I have gone beyond Kant and the limits which he drew, yet always restricting myself to the ground of reflection, and consequently of honesty, and therefore without the vain pretension of intellectual intuition or absolute thought which characterises the period of pseudo-philosophy between Kant and me. In his proof of the insufficiency of rational knowledge to fathom the nature of the world Kant started from knowledge as a fact, which our consciousness affords us, thus in this sense he proceeded a posteriori. But in

this chapter, and also in my work, "*Ueber den Willen in der Natur*," I have sought to show what knowledge is in its nature and origin, something secondary, designed for individual ends; whence it follows that it must be insufficient to fathom the nature of the world. Thus so far I have reached the same goal a priori. But one never knows anything wholly and completely until one has gone right round it for that purpose, and has got back to it from the opposite side from which one started. Therefore also, in the case of the important fundamental knowledge here considered, one must not merely go from the intellect to the knowledge of the world, as Kant has done, but also from the world, taken as given, to the intellect, as I have undertaken here. Then this physiological consideration, in the wider sense, becomes the supplement of that ideological, as the French say, or, more accurately, transcendental consideration.

In the above, in order not to break the thread of the exposition, I have postponed the explanation of one point which I touched upon. It was this, that in proportion as, in the ascending series of animals, the intellect appears ever more developed and complete, knowledge always separates itself more distinctly from will, and thereby becomes purer. What is essential upon this point will be found in my work, "*Ueber den Willen in der Natur*," under the heading, "*Pflanzenphysiologie*" (p. 68-72 of the second, and 74-77 of the third edition), to which I refer, in order to avoid repetition, and merely add here a few remarks. Since the plant possesses neither irritability nor sensibility, but the will objectifies itself in it only as plastic or reproductive power, it has neither muscle nor nerve. In the lowest grades of the animal kingdom, in zoophytes, especially in polyps, we cannot as yet distinctly recognise the separation of these two constituent parts, but still we assume their existence, though in a state of fusion; because we perceive movements which follow, not, as in the case of plants, upon mere stimuli, but upon motives, *i.e.*, in consequence of a certain apprehension. Now in proportion as, in the ascending series of animals, the nervous and muscular systems separate ever more distinctly from each other, till in the vertebrate animals, and most completely in man, the former divides into an organic and a cerebral nervous system, and of these the latter again develops into the excessively complicated apparatus of the cerebrum and cerebellum, spinal marrow, cerebral and spinal nerves, sensory and motor nerve fascicles, of which only the cerebrum, together with the sensory nerves depending upon it, and the posterior spinal nerve fascicles are intended for the apprehension

of the motive from the external world, while all the other parts are intended for the transmission of the motive to the muscles in which the will manifests itself directly; in the same proportion does the motive separate ever more distinctly in consciousness from the act of will which it calls forth, thus the idea from the will; and thereby the objectivity of consciousness constantly increases, for the ideas exhibit themselves ever more distinctly and purely in it. These two separations are, however, really only one and the same, which we have here considered from two sides, the objective and the subjective, or first in the consciousness of other things and then in self-consciousness. Upon the degree of this separation ultimately depends the difference and the gradation of intellectual capacity, both between different kinds of animals and between individual human beings; thus it gives the standard for the intellectual completeness of these beings. For the clearness of the consciousness of the external world, the objectivity of the perception, depends upon it. In the passage referred to above I have shown that the brute only perceives things so far as they are motives for its will, and that even the most intelligent of the brutes scarcely overstep these limits, because their intellect is too closely joined to the will from which it has sprung. On the other hand, even the stupidest man comprehends things in some degree objectively; for he recognises not merely what they are with reference to him, but also something of what they are with reference to themselves and to other things. Yet in the case of very few does this reach such a degree that they are in a position to examine and judge of anything purely objectively; but “that must I do, that must I say, that must I believe,” is the goal to which on every occasion their thought hastens in a direct line, and at which their understanding at once finds welcome rest. For thinking is as unendurable to the weak head as the lifting of a burden to the weak arm; therefore both hasten to set it down. The objectivity of knowledge, and primarily of perceptive knowledge, has innumerable grades, which depend upon the energy of the intellect and its separation from the will, and the highest of which is genius, in which the comprehension of the external world becomes so pure and objective that to it even more reveals itself directly in the individual thing than the individual thing itself, namely, the nature of its whole species, *i.e.*, its Platonic Idea; which is brought about by the fact that in this case the will entirely vanishes from consciousness. Here is the point at which the present investigation, starting from physiological grounds, connects itself with the subject of our third book, the metaphysics

of the beautiful, where æsthetic comprehension proper, which, in a high degree, is peculiar to genius alone, is fully considered as the condition of pure, *i.e.*, perfectly will-less, and on that account completely objective knowledge. According to what has been said, the rise of intelligence, from the obscurest animal consciousness up to that of man, is a progressive loosening of the intellect from the will, which appears complete, although only as an exception, in the genius. Therefore genius may be defined as the highest grade of the objectivity of knowledge. The condition of this, which so seldom occurs, is a decidedly larger measure of intelligence than is required for the service of the will, which constitutes its basis; it is accordingly this free surplus which first really properly comes to know the world, *i.e.*, comprehends it perfectly objectively, and now paints pictures, composes poems, and thinks in accordance with this comprehension.

## Chapter XXIII.<sup>3</sup> On The Objectification Of The Will In Unconscious Nature.

That the will which we find within us does not proceed, as philosophy has hitherto assumed, first from knowledge, and indeed is a mere modification of it, thus something secondary, derived, and, like knowledge itself, conditioned by the brain; but that it is the prius of knowledge, the kernel of our nature, and that original force itself which forms and sustains the animal body, in that it carries out both its unconscious and its conscious functions; — this is the first step in the fundamental knowledge of my metaphysics. Paradoxical as it even now seems to many that the will in itself is without knowledge, yet the scholastics in some way already recognised and confessed it; for Jul. Cæs. Vaninus (that well-known sacrifice to fanaticism and priestly fury), who was thoroughly versed in their philosophy, says in his “*Amphitheatro*,” p. 181: “*Voluntas potentia cæca est, ex scholasticorum opinione.*” That, further, it is that same will which in the plant forms the bud in order to develop the leaf and the flower out of it; nay, that the regular form of the crystal is only the trace which its momentary effort has left behind, and that in general, as the true and only *αὐτοματον*, in the proper sense of the word, it lies at the foundation of all the forces of unorganised nature, plays, acts, in all their multifarious phenomena, imparts power to their laws, and even in the crudest mass manifests itself as gravity; — this insight is the second step in that fundamental knowledge, and is brought about by further reflection. But it would be the grossest misunderstanding to suppose that this is a mere question of a word to denote an unknown quantity. It is rather the most real of all real knowledge which is here expressed in language. For it is the tracing back of that which is quite inaccessible to our immediate knowledge, and therefore in its essence foreign and unknown to us, which we denote by the words force of nature, to that which is known to us most accurately and intimately, but which is yet only accessible to us in our own being and directly, and must therefore be carried over from this to other phenomena. It is the insight that what is inward and original in all the changes and movements of bodies, however various they may be, is in its nature identical; that yet we have only one opportunity of getting to know it more closely and directly, and that is in the movements of our own body. In consequence of this knowledge we must

call it will. It is the insight that that which acts and strives in nature, and exhibits itself in ever more perfect phenomena, when it has worked itself up so far that the light of knowledge falls directly upon it, *i.e.*, when it has attained to the state of self-consciousness — exists as that will, which is what is most intimately known to us, and therefore cannot be further explained by anything else, but rather affords the explanation of all other things. It is accordingly the thing in itself so far as this can ever be reached by knowledge. Consequently it is that which must express itself in some way in everything in the world, for it is the inner nature of the world and the kernel of all phenomena.

As my essay, “*Ueber den Willen in der Natur*,” specially refers to the subject of this chapter, and also adduces the evidence of unprejudiced empiricists in favour of this important point of my doctrine, I have only to add now to what is said there a few supplementary remarks, which are therefore strung together in a somewhat fragmentary manner.

First, then, with reference to plant life, I draw attention to the remarkable first two chapters of Aristotle’s work upon plants. What is most interesting in them, as is so often the case with Aristotle, are the opinions of earlier profound philosophers quoted by him. We see there that Anaxagoras and Empedocles quite rightly taught that plants have the motion of their growth by virtue of their indwelling desires (ἐπιθυμία); nay, that they also attributed to them pleasure and pain, therefore sensation. But Plato only ascribed to them desires, and that on account of their strong appetite for nutrition (*cf.* Plato in the “*Timæus*,” p. 403, Bip.) Aristotle, on the other hand, true to his customary method, glides on the surface of things, confines himself to single characteristics and conceptions fixed by current expressions, and asserts that without sensation there can be no desires, and that plants have not sensation. He is, however, in considerable embarrassment, as his confused language shows, till here also, “where fails the comprehension, a word steps promptly in as deputy,” namely, τὸ θρεπτικόν, the faculty of nourishing. Plants have this, and thus a part of the so-called soul, according to his favourite division into anima vegetativa, sensitiva, and intellectiva. This, however, is just a scholastic Quidditas, and signifies plantæ nutriuntur quia habent facultatem nutritivam. It is therefore a bad substitute for the more profound research of his predecessors, whom he is criticising. We also see, in the second chapter, that Empedocles even recognised the sexuality of

plants; which Aristotle then also finds fault with, and conceals his want of special knowledge behind general propositions, such as this, that plants could not have both sexes combined, for if so they would be more complete than animals. By quite an analogous procedure he displaces the correct astronomical system of the world of the Pythagoreans, and by his absurd fundamental principles, which he specially explains in the books *de Cælo*, introduces the system of Ptolemy, whereby mankind was again deprived of an already discovered truth of the greatest importance for almost two thousand years.

I cannot refrain from giving here the saying of an excellent biologist of our own time who fully agrees with my teaching. It is G. R. Treviranus, who, in his work, "*Ueber die Erscheinungen und Gesetze des organischen Lebens*," 1832, Bd. 2, Abth. 1, § 49, has said what follows: "A form of life is, however, conceivable in which the effect of the external upon the internal produces merely feelings of desire or dislike. Such is the life of plants. In the higher forms of animal life the external is felt as something objective." Treviranus speaks here from pure unprejudiced comprehension of nature, and is as little conscious of the metaphysical importance of his words as of the contradictio in adjecto which lies in the conception of something "felt as objective," a conception which indeed he works out at great length. He does not know that all feeling is essentially subjective, and all that is objective is, on the other hand, perception, and therefore a product of the understanding. Yet this does not detract at all from the truth and importance of what he says.

In fact, in the life of plants the truth that will can exist without knowledge is apparent — one might say palpably recognisable. For here we see a decided effort, determined by wants, modified in various ways, and adapting itself to the difference of the circumstances, yet clearly without knowledge. And just because the plant is without knowledge it bears its organs of generation ostentatiously in view, in perfect innocence; it knows nothing about it. As soon, on the other hand, as in the series of existences knowledge appears the organs of generation are transferred to a hidden part. Man, however, with whom this is again less the case, conceals them intentionally: he is ashamed of them.

Primarily, then, the vital force is identical with the will, but so also are all other forces of nature; though this is less apparent. If, therefore, we find the recognition of a desire, *i.e.*, of a will, as the basis of plant life,



expressed at all times, with more or less distinctness of conception, on the other hand, the reference of the forces of unorganised nature to the same foundation is rarer in proportion as their remoteness from our own nature is greater. In fact, the boundary between the organised and the unorganised is the most sharply drawn in the whole of nature, and perhaps the only one that admits of no transgressions; so that *natura non facit saltus* seems to suffer an exception here. Although certain crystallisations display an external form resembling the vegetable, yet even between the smallest lichen, the lowest fungus, and everything unorganised there remains a fundamental and essential difference. In the unorganised body that which is essential and permanent, thus that upon which its identity and integrity rests, is the material, the matter; what is unessential and changing is, on the other hand, the form. With the organised body the case is exactly reversed; for its life, *i.e.*, its existence as an organised being, simply consists in the constant change of the material, while the form remains permanent. Its being and its identity thus lies in the form alone. Therefore the continuance of the unorganised body depends upon repose and exclusion from external influences: thus alone does it retain its existence; and if this condition is perfect, such a body lasts for ever. The continuance of the organised body, on the contrary, just depends upon continual movement and the constant reception of external influences. As soon as these are wanting and the movement in it stops it is dead, and thereby ceases to be organic, although the trace of the organism that has been still remains for a while. Therefore the talk, which is so much affected in our own day, of the life of what is unorganised, indeed of the globe itself, and that it, and also the planetary system, is an organism, is entirely inadmissible. The predicate life belongs only to what is organised. Every organism, however, is throughout organised, is so in all its parts; and nowhere are these, even in their smallest particles, composed by aggregation of what is unorganised. Thus if the earth were an organism, all mountains and rocks, and the whole interior of their mass, would necessarily be organised, and accordingly really nothing unorganised would exist; and therefore the whole conception of it would be wanting.

On the other hand, that the manifestation of a will is as little bound up with life and organisation as with knowledge, and that therefore the unorganised has also a will, the manifestations of which are all its fundamental qualities, which cannot be further explained, — this is an

essential point in my doctrine; although the trace of such a thought is far seldomer found in writers who have preceded me than that of the will in plants, where, however, it is still unconscious.

In the forming of the crystal we see, as it were, a tendency towards an attempt at life, to which, however, it does not attain, because the fluidity of which, like a living thing, it is composed at the moment of that movement is not enclosed in a skin, as is always the case with the latter, and consequently it has neither vessels in which that movement could go on, nor does anything separate it from the external world. Therefore, rigidity at once seizes that momentary movement, of which only the trace remains as the crystal.

The thought that the will, which constitutes the basis of our own nature, is also the same will which shows itself even in the lowest unorganised phenomena, on account of which the conformity to law of both phenomena shows a perfect analogy, lies at the foundation of Goethe's "*Wahlverwandschaften*," as the title indeed indicates, although he himself was unconscious of this.

Mechanics and astronomy specially show us how this will conducts itself so far as it appears at the lowest grade of its manifestation merely as gravity, rigidity, and inertia. Hydraulics shows us the same thing where rigidity is wanting and the fluid material is now unrestrainedly surrendered to its predominating passion, gravity. In this sense hydraulics may be conceived as a characteristic sketch of water, for it presents to us the manifestations of will to which water is moved by gravity; these always correspond exactly to the external influences, for in the case of all non-individual existences there is no particular character in addition to the general one; thus they can easily be referred to fixed characteristics, which are called laws, and which are learned by experience of water. These laws accurately inform us how water will conduct itself under all different circumstances, on account of its gravity, the unconditioned mobility of its parts, and its want of elasticity. Hydrostatics teaches how it is brought to rest through gravity; hydrodynamics, how it is set in motion; and the latter has also to take account of hindrances which adhesion opposes to the will of water: the two together constitute hydraulics. In the same way Chemistry teaches us how the will conducts itself when the inner qualities of materials obtain free play by being brought into a fluid state, and there appears that wonderful attraction and repulsion, separating and combining, leaving go of

one to seize upon another, from which every precipitation originates, and the whole of which is denoted by “elective affinity” (an expression which is entirely borrowed from the conscious will). But Anatomy and Physiology allow us to see how the will conducts itself in order to bring about the phenomenon of life and sustain it for a while. Finally, the poet shows us how the will conducts itself under the influence of motives and reflection. He exhibits it therefore for the most part in the most perfect of its manifestations, in rational beings, whose character is individual, and whose conduct and suffering he brings before us in the Drama, the Epic, the Romance, &c. The more correctly, the more strictly according to the laws of nature his characters are there presented, the greater is his fame; hence Shakespeare stands at the top. The point of view which is here taken up corresponds at bottom to the spirit in which Goethe followed and loved the natural sciences, although he was not conscious of the matter in the abstract. Nay more, this not only appears from his writings, but is also known to me from his personal utterances.

If we consider the will, where no one denies it, in conscious beings, we find everywhere, as its fundamental effort, the self-preservation of every being: *omnis natura vult esse conservatrix sui*. But all manifestations of this fundamental effort may constantly be traced back to a seeking or pursuit and a shunning or fleeing from, according to the occasion. Now this also may be shown even at the lowest grades of nature, that is, of the objectification of the will, where the bodies still act only as bodies in general, thus are the subject-matter of mechanics, and are considered only with reference to the manifestations of impenetrability, cohesion, rigidity, elasticity, and gravity. Here also the seeking shows itself as gravitation, and the shunning as the receiving of motion; and the movableness of bodies by pressure or impact, which constitutes the basis of mechanics, is at bottom a manifestation of the effort after self-preservation, which dwells in them also. For, since as bodies they are impenetrable, this is the sole means of preserving their cohesion, thus their continuance at any time. The body which is impelled or exposed to pressure would be crushed to pieces by the impelling or pressing body if it did not withdraw itself from its power by flight, in order to preserve its cohesion; and when flight is impossible for it this actually happens. Indeed, one may regard elastic bodies as the more courageous, which seek to repel the enemy, or at least to prevent him from pursuing further. Thus in the one secret which (besides gravity) is left by

mechanics otherwise so clear, in the communicability of motion, we see a manifestation of the fundamental effort of the will in all its phenomena, the effort after self-preservation, which shows itself even at the lowest grades as that which is essential.

In unorganised nature the will objectifies itself primarily in the universal forces, and only by means of these in the phenomena of the particular things which are called forth by causes. In § 26 of the first volume I have fully explained the relation between cause, force of nature, and will as thing in itself. One sees from that explanation that metaphysics never interrupts the course of physics, but only takes up the thread where physics leaves it, at the original forces in which all causal explanation has its limits. Only here does the metaphysical explanation from the will as the thing in itself begin. In the case of every physical phenomenon, of every change of material things, its cause is primarily to be looked for; and this cause is just such a particular change which has appeared immediately before it. Then, however, the original force of nature is to be sought by virtue of which this cause was capable of acting. And first of all the will is to be recognised as the inner nature of this force in opposition to its manifestation. Yet the will shows itself just as directly in the fall of a stone as in the action of the man; the difference is only that its particular manifestation is in the one case called forth by a motive, in the other by a mechanically acting cause, for example, the taking away of what supported the stone; yet in both cases with equal necessity; and that in the one case it depends upon an individual character, in the other upon an universal force of nature. This identity of what is fundamentally essential is even made palpable to the senses. If, for instance, we carefully observe a body which has lost its equilibrium, and on account of its special form rolls back and forward for a long time till it finds its centre of gravity again, a certain appearance of life forces itself upon us, and we directly feel that something analogous to the foundation of life is also active here. This is certainly the universal force of nature, which, however, in itself identical with the will, becomes here, as it were, the soul of a very brief quasi life. Thus what is identical in the two extremes of the manifestation of the will makes itself faintly known here even to direct perception, in that this raises a feeling in us that here also something entirely original, such as we only know in the acts of our own will, directly succeeded in manifesting itself.

We may attain to an intuitive knowledge of the existence and activity of the will in unorganised nature in quite a different and a sublime manner if we study the problem of the three heavenly bodies, and thus learn more accurately and specially the course of the moon round the earth. By the different combinations which the constant change of the position of these three heavenly bodies towards each other introduces, the course of the moon is now accelerated; now retarded, now it approaches the earth, and again recedes from it; and this again takes place differently in the perihelion of the earth from in its aphelion, all of which together introduces such irregularity into the moon's course that it really obtains a capricious appearance; for, indeed, Kepler's third law is no longer constantly valid, but in equal times it describes unequal areas. The consideration of this course is a small and separate chapter of celestial mechanics, which is distinguished in a sublime manner from terrestrial mechanics by the absence of all impact and pressure, thus of the *vis a tergo* which appears to us so intelligible, and indeed of the actually completed case, for besides *vis inertiae* it knows no other moving and directing force, except only gravitation, that longing for union which proceeds from the very inner nature of bodies. If now we construct for ourselves in imagination the working of this given case in detail, we recognise distinctly and directly in the moving force here that which is given to us in self-consciousness as will. For the alterations in the course of the earth and the moon, according as one of them is by its position more or less exposed to the influence of the sun, are evidently analogous to the influence of newly appearing motives upon our wills, and to the modifications of our action which result.

The following is an illustrative example of another kind. Liebig (*Chemie in Anwendung auf Agrikultur*, p. 501), says: "If we bring moist copper into air which contains carbonic acid, the affinity of the metal for the oxygen of the air will be increased by the contact with this acid to such a degree that the two will combine with each other; its surface will be coated with green carbonic oxide of copper. But now two bodies which have the capacity of combining, the moment they meet assume opposite electrical conditions. Therefore if we touch the copper with iron, by producing a special electrical state, the capacity of the copper to enter into combination with the oxygen is destroyed; even under the above conditions it remains bright." The fact is well known and of technical use. I quote it in order to say that here the will of the copper, laid claim to and occupied by the electrical opposition to iron,

leaves unused the opportunity which presents itself for its chemical affinity for oxygen and carbonic acid. Accordingly it conducts itself exactly as the will in a man who omits an action which he would otherwise feel himself moved to in order to perform another to which a stronger motive urges him.

I have shown in the first volume that the forces of nature lie outside the chain of causes and effects, because they constitute their accompanying condition, their metaphysical foundation, and therefore prove themselves to be eternal and omnipresent, *i.e.*, independent of time and space. Even in the uncontested truth that what is essential to a cause as such consists in this, that it will produce the same effect at any future time as it does now, it is already involved that something lies in the cause which is independent of the course of time, *i.e.*, is outside of all time; this is the force of nature which manifests itself in it. One can even convince oneself to a certain extent empirically and as a matter of fact of the ideality of this form of our perception by fixing one's eyes upon the powerlessness of time as opposed to natural forces. If, for example, a rotatory motion is imparted to a planet by some external cause, if no new cause enters to stop it, this motion will endure for ever. This could not be so if time were something in itself and had an objective, real existence; for then it would necessarily also produce some effect. Thus we see here, on the one hand, the forces of nature, which manifest themselves in that rotation, and, if it is once begun, carry it on for ever without becoming weary or dying out, prove themselves to be eternal or timeless, and consequently absolutely real and existing in themselves; and, on the other hand, time as something which consists only in the manner in which we apprehend that phenomenon, since it exerts no power and no influence upon the phenomenon itself; for what does not act is not.

We have a natural inclination whenever it is possible to explain every natural phenomenon mechanically; doubtless because mechanics calls in the assistance of the fewest original, and hence inexplicable, forces, and, on the other hand, contains much that can be known a priori, and therefore depends upon the forms of our own intellect, which as such carries with it the highest degree of comprehensibility and clearness. However, in the "Metaphysical First Principles of Natural Science" Kant has referred mechanical activity itself to a dynamical activity. On the other hand, the application of mechanical explanatory hypotheses, beyond what is demonstrably mechanical, to which, for example, Acoustics also belongs, is entirely unjustified, and I will never believe that even the simplest chemical

combination or the difference of the three states of aggregation will ever admit of mechanical explanation, much less the properties of light, of heat, and electricity. These will always admit only of a dynamical explanation, *i.e.*, one which explains the phenomenon from original forces which are entirely different from those of impact, pressure, weight, &c., and are therefore of a higher kind, *i.e.*, are more distinct objectifications of that which obtains visible form in all things. I am of opinion that light is neither an emanation nor a vibration; both views are akin to that which explains transparency from pores and the evident falseness of which is proved by the fact that light is subject to no mechanical laws. In order to obtain direct conviction of this one only requires to watch the effects of a storm of wind, which bends, upsets, and scatters everything, but during which a ray of light shooting down from a break in the clouds is entirely undisturbed and steadier than a rock, so that with great directness it imparts to us the knowledge that it belongs to another order of things than the mechanical: it stands there unmoved like a ghost. Those constructions of light from molecules and atoms which have originated with the French are indeed a revolting absurdity. An article by Ampère, who is otherwise so acute, upon light and heat, which is to be found in the April number of the “*Annales de chimie et physique*,” of 1835, may be considered as a flagrant expression of this, and indeed of the whole of atomism in general. There the solid, the fluid, and the elastic consist of the same atoms, and all differences arise solely from their aggregation; nay, it is said that space indeed is infinitely divisible, but not matter; because, if the division has been carried as far as the atoms, the further division must fall in the spaces between the atoms! Light and heat, then, are here vibrations of the atoms; and sound, on the other hand, is a vibration of the molecules composed of the atoms. In truth, however, these atoms are a fixed idea of the French savants, and therefore they just speak of them as if they had seen them. Otherwise one would necessarily marvel that such a matter-of-fact nation as the French can hold so firmly to a completely transcendent hypothesis, which is quite beyond the possibility of experience, and confidently build upon it up to the sky. This is just a consequence of the backward state of the metaphysics they shun so much, which is poorly represented by M. Cousin, who, with all good will, is shallow and very scantily endowed with judgment. At bottom they are still Lockeians, owing to the earlier influence of Condillac. Therefore for them the thing in itself is really matter, from the fundamental

properties of which, such as impenetrability, form, hardness, and the other primary qualities, everything in the world must be ultimately explicable. They will not let themselves be talked out of this, and their tacit assumption is that matter can only be moved by mechanical forces. In Germany Kant's teaching has prevented the continuance of the absurdities of the atomistic and purely mechanical physics for any length of time; although at the present moment these views prevail here also, which is a consequence of the shallowness, crudeness, and folly introduced by Hegel. However, it cannot be denied that not only the evidently porous nature of natural bodies, but also two special doctrines of modern physics, apparently render assistance to the atomic nuisance. These are, Hauz's Crystallography, which traces every crystal back to its kernel form, which is an ultimate form, though only relatively indivisible; and Berzelius's doctrine of chemical atoms, which are yet mere expressions for combining proportions, thus only arithmetical quantities, and at bottom nothing more than counters. On the other hand, Kant's thesis in the second antinomy in defence of atoms, which is certainly only set up for dialectical purposes, is a mere sophism, as I have proved in my criticism of his philosophy, and our understanding itself by no means leads us necessarily to the assumption of atoms. For just as little as I am obliged to think that the slow but constant and uniform motion of a body before my eyes is composed of innumerable motions which are absolutely quick, but broken and interrupted by just as many absolutely short moments of rest, but, on the contrary, know very well that the stone that has been thrown flies more slowly than the projected bullet, yet never pauses for an instant on the way, so little am I obliged to think of the mass of a body as consisting of atoms and the spaces between them, *i.e.*, of absolute density and absolute vacuity; but I comprehend those two phenomena without difficulty as constant continua, one of which uniformly fills time and the other space. But just as the one motion may yet be quicker than another, *i.e.*, in an equal time can pass through more space, so also one body may have a greater specific gravity than another, *i.e.*, in equal space may contain more matter: in both cases the difference depends upon the intensity of the acting force; for Kant (following Priestley) has quite correctly reduced matter to forces. But even if the analogy here set up should not be admitted as valid, and it should be insisted upon that the difference of specific gravity can only have its ground in porosity, even this assumption would always lead, not to atoms, but only to a perfectly dense matter, unequally distributed



among different bodies; a matter which would certainly be no longer compressible, when no pores ran through it, but yet, like the space which it fills, would always remain infinitely divisible. For the fact that it would have no pores by no means involves that no possible force could do away with the continuity of its spatial parts. For to say that everywhere this is only possible by extending the already existing intervals is a purely arbitrary assertion.

The assumption of atoms rests upon the two phenomena which have been touched upon, the difference of the specific gravity of bodies and that of their compressibility, for both are conveniently explained by the assumption of atoms. But then both must also always be present in like measure, which is by no means the case. For, for example, water has a far lower specific gravity than all metals properly so called. It must thus have fewer atoms and greater interstices between them, and consequently be very compressible: but it is almost entirely incompressible.

The defence of atoms might be conducted in this way. One may start from porosity and say something of this sort: All bodies have pores, and therefore so also have all parts of a body: now if this were carried out to infinity, there would ultimately be nothing left of a body but pores. The refutation would be that what remained over would certainly have to be assumed as without pores, and so far as absolutely dense, yet not on that account as consisting of absolutely indivisible particles, atoms; accordingly it would certainly be absolutely incompressible, but not absolutely indivisible. It would therefore be necessary that it should be asserted that the division of a body is only possible by penetrating into its pores; which, however, is entirely unproved. If, however, this is assumed, then we certainly have atoms, *i.e.*, absolutely indivisible bodies, thus bodies of such strong cohesion of their spatial parts that no possible power can separate them: but then one may just as well assume such bodies to be large as small, and an atom might be as big as an ox, if it only would resist all possible attacks upon it.

Imagine two bodies of very different kinds, entirely freed from all pores by compression, as by means of hammering, or by pulverisation; — would their specific gravity then be the same? This would be the criterion of dynamics.

## Chapter XXIV. On Matter.

Matter has already been spoken of in the fourth chapter of the supplements to the first book, when we were considering the part of our knowledge of which we are conscious a priori. But it could only be considered there from a one-sided point of view, because we were then concerned merely with its relation to the forms of our intellect, and not to the thing in itself, and therefore we investigated it only from the subjective side, *i.e.*, so far as it is an idea, and not from the objective side, *i.e.*, with regard to what it may be in itself. In the first respect, our conclusion was that it is objective activity in general, yet conceived without fuller determination; therefore it takes the place of causality in the table of our a priori knowledge which is given there. For what is material is that which acts (the actual) in general, and regarded apart from the specific nature of its action. Hence also matter, merely as such, is not an object of perception, but only of thought, and thus is really an abstraction. It only comes into perception in connection with form and quality, as a body, *i.e.*, as a fully determined kind of activity. It is only by abstracting from this fuller determination that we think of matter as such, *i.e.*, separated from form and quality; consequently under matter we think of acting absolutely and in general, thus of activity in the abstract. The more fully determined acting we then conceive as the accident of matter; but only by means of this does matter become perceptible, *i.e.*, present itself as a body and an object of experience. Pure matter, on the other hand, which, as I have shown in the Criticism of the Kantian Philosophy, alone constitutes the true and admissible content of the conception of substance, is causality itself, thought objectively, consequently as in space, and therefore filling it. Accordingly the whole being of matter consists in acting. Only thus does it occupy space and last in time. It is through and through pure causality. Therefore wherever there is action there is matter, and the material is the active in general. But causality itself is the form of our understanding; for it is known to us a priori, as well as time and space. Thus matter also, so far and up to this point, belongs to the formal part of our knowledge, and is consequently that form of the understanding, causality itself, bound up with space and time, hence objectified, *i.e.*, conceived as that which fills space. (The fuller explanation of this doctrine will be found in the second edition of the essay on the principle of sufficient reason, p. 77;

third edition, p. 82.) So far, however, matter is properly not the object but the condition of experience; like the pure understanding itself, whose function it so far is. Therefore of pure matter there is also only a conception, no perception. It enters into all external experience as a necessary constituent part of it; yet it cannot be given in any experience, but is only thought, and thought indeed as that which is absolutely inert, inactive, formless, and without qualities, and which is yet the supporter of all forms, qualities, and effects. Accordingly, of all fleeting phenomena, thus of all manifestations of natural forces and all living beings, matter is the permanent substratum which is necessarily produced by the forms of our intellect in which the world as idea exhibits itself. As such, and as having sprung from the forms of the intellect, it is entirely indifferent to those phenomena themselves, *i.e.*, it is just as ready to be the supporter of this force of nature as of that, whenever, under the guidance of causality, the necessary conditions appear; while it itself, just because its existence is really only formal, *i.e.*, is founded in the intellect must be thought as that which under all that change is absolutely permanent, thus with regard to time is without beginning and without end. This is why we cannot give up the thought that anything may be made out of anything, for example, gold out of lead; for this would only require that we should find out and bring about the intermediate states which matter, in itself indifferent, would have to pass through upon that path. For a priori we can never see why the same matter which is now the supporter of the quality lead could not some time become the supporter of the quality gold. Matter, as that which is only thought a priori, is distinguished from the a priori intuitions or perceptions proper by the fact that we can also think it entirely away; space and time, on the contrary, never. But this only shows that we can present to ourselves space and time in imagination without matter. For the matter which has once been placed in them, and accordingly thought as existing, we can never again absolutely think away, *i.e.*, imagine it as vanished and annihilated, but are always forced to think of it merely as transferred to another space. So far, then, matter is as inseparably connected with our faculty of knowledge as space and time themselves. Yet even the difference that it must first be voluntarily thought as existing indicates that it does not belong so entirely and in every regard to the formal part of our knowledge as space and time, but also contains an element which is only given a posteriori. It is, in fact, the point of connection of the empirical part of our

knowledge with the pure and a priori part, consequently the peculiar foundation-stone of the world of experience.

Only where all a priori assertions cease, therefore in the entirely empirical part of our knowledge of bodies, in their form, quality, and definite manner of acting, does that will reveal itself which we have already recognised and established as the true inner nature of things. But these forms and qualities always appear only as the properties and manifestations of that very matter the existence and nature of which depends upon the subjective forms of our intellect, *i.e.*, they only become visible in it, and therefore by means of it. For that which always exhibits itself to us is only matter acting in some specially determined manner. Out of the inner properties of such matter, properties which cannot be further explained, proceeds every definite kind of effect of given bodies; and yet the matter itself is never perceived, but only these effects, and the definite properties which lie at their foundation, after separating which, matter, as that which then remains over, is necessarily added in thought by us; for, according to the exposition given above, it is objectified causality itself. Accordingly matter is that whereby the will, which constitutes the inner nature of things, becomes capable of being apprehended, perceptible, visible. In this sense, then, matter is simply the visibility of the will, or the bond between the world as will and the world as idea. It belongs to the latter inasmuch as it is the product of the functions of the intellect, to the former inasmuch as that which manifests itself in all material existences, *i.e.*, phenomena is the will. Therefore every object is, as thing in itself, will, and as phenomenon, matter. If we could strip any given matter of all the properties that come to it a priori, *i.e.*, of all the forms of our perception and apprehension, we would have left the thing in itself, that which, by means of those forms, appears as the purely empirical in matter, but which would then itself no longer appear as something extended and active; *i.e.*, we would no longer have matter before us, but the will. This very thing in itself, or the will, in that it becomes a phenomenon, *i.e.*, enters the forms of our intellect, appears as matter, *i.e.*, as the invisible but necessarily assumed supporter of the properties which are only visible through it. In this sense, then, matter is the visibility of the will. Consequently Plotinus and Giordano Bruno were right, not only in their sense but also in ours, when they made the paradoxical assertion already referred to in chapter 4: Matter itself is not extended, consequently it is incorporeal. For space, which is our form of

perception, imparts extension to matter, and corporeal existence consists in acting, which depends upon causality, and consequently upon the form of our understanding. On the other hand, every definite property, thus everything empirical in matter, even gravity, depends upon that which only becomes visible by means of matter, the thing in itself, the will. Gravity is yet the lowest of all grades of the objectification of the will; therefore it appears in all matter without exception, thus is inseparable from matter in general. Yet, just because it is a manifestation of the will, it belongs to knowledge a posteriori, not to knowledge a priori. Therefore we can always picture to ourselves matter without weight, but not without extension, repulsive force, and stability, for then it would be without impenetrability, and consequently would not occupy space, *i.e.*, it would be without the power of acting; but the nature of matter as such just consists in acting, *i.e.*, in causality in general; and causality depends upon the a priori form of our understanding, and therefore cannot be thought away.

Matter is accordingly the will itself, but no longer in itself, but so far as it is perceived, *i.e.*, assumes the form of the objective idea. Thus what objectively is matter is subjectively will. Exactly corresponding to this, as was proved above, our body is just the visibility, objectivity of our will, and so also every body is the objectivity of the will at some one of its grades. Whenever the will exhibits itself to objective knowledge it enters into the forms of perception of the intellect, time, space, and causality. But on account of this it exists at once as a material object. We can present to our minds form without matter, but not the reverse; because matter deprived of form would be the will itself, and the will only becomes objective by entering the forms of perception of our intellect, and therefore only by means of the assumption of form. Space is the form of perception of matter because the latter is the substance (Stoff) of mere form, but matter can appear only in form.

Since the will becomes objective, *i.e.*, passes over into the idea, matter is the universal substratum of this objectification, or rather it is this objectification itself taken abstractly, *i.e.*, regarded apart from all form. Matter is accordingly the visibility of the will in general, while the character of its definite manifestations has its expression in form and quality. Hence what in the manifestation, *i.e.*, for the idea, is matter is in itself will. Therefore, under the conditions of experience and perception, everything holds good of it that holds good of the will in itself, and it repeats all the

relations and properties of the will in temporal images. Accordingly it is the substance of the world of perception, as the will is the inner nature of all things. The forms are innumerable, the matter is one; just as the will is one in all its objectifications. As the will never objectifies itself as general, *i.e.*, as absolute will, but always as particular, *i.e.*, under special determinations and a given character, so matter never appears as such, but always in connection with some particular form and quality. In the manifestation or objectification of the will matter represents its totality, it itself, which in all is one, as matter is one in all bodies. As the will is the inmost kernel of all phenomenal beings, so matter is the substance which remains after all the accidents have been taken away. As the will is that which is absolutely indestructible in all existence, so matter is that which is imperishable in time and permanent through all changes. That matter for itself, thus separated from form, cannot be perceived or presented in imagination depends upon the fact that in itself, and as the pure substantiality of bodies, it is really the will itself. But the will cannot be apprehended objectively, or perceived in itself, but only under all the conditions of the idea, and therefore only as phenomenon. Under these conditions, however, it exhibits itself at once as body, *i.e.*, as matter clothed in form and quality. But form is conditioned by space, and quality or power of acting by causality; thus both depend upon the functions of the intellect. Matter without them would just be the thing in itself, *i.e.*, the will itself. Therefore, as has been said, Plotinus and Giordano Bruno could only be brought by a completely objective path to the assertion that matter in and for itself is without extension, consequently without spatial properties, consequently incorporeal.

Because, then, matter is the visibility of the will, and every force in itself is will, no force can appear without a material substratum, and conversely no body can be without forces dwelling in it which constitute its quality. Therefore a body is the union of matter and form which is called substance (Stoff). Force and substance are inseparable because at bottom they are one; for, as Kant has shown, matter itself is given us only as the union of two forces, the force of expansion and that of attraction. Thus there is no opposition between force and substance, rather they are precisely one.

Led by the course of our consideration to this standpoint, and having attained to this metaphysical view of matter, we will confess without reluctance that the temporal origin of forms, shapes, or species cannot

reasonably be sought elsewhere than in matter. Some time or other they must have come forth from it, just because it is the mere visibility of the will which constitutes the inner nature of all phenomena. In that the will manifests itself, *i.e.*, presents itself objectively to the intellect, matter, as its visibility, assumes form by means of the functions of the intellect. Hence the Schoolmen said: "Materia appetit formam." That such was the origin of all forms of life cannot be doubted: we cannot even conceive it otherwise. Whether, however, now, since the paths to the perpetuation of the forms stand open, and are secured and sustained by nature with boundless care and jealousy, generatio œquivoca still takes place, can only be decided by experience; especially since the saying, Natura nihil facit frustra, might, with reference to the paths of regular propagation, be used as a valid argument against it. Yet in spite of the most recent objections to it, I hold that at very low grades generatio œquivoca is very probable, and primarily indeed in the case of entozoa and epizoa, particularly such as appear in consequence of special cachexia of the animal organism. For the conditions of their life only appear exceptionally; consequently their species cannot propagate itself in the regular manner, and therefore has always to arise anew whenever opportunity offers. Therefore as soon as the conditions of life of epizoa have appeared in consequence of certain chronic diseases, or cachexia, and in accordance with them, pediculus capitis or pubis or corporis appears entirely of itself, and without any egg; and this notwithstanding the complex structure of these insects, for the putrefaction of a living animal body affords material for higher productions than that of hay in water, which only produces infusoria. Or is it thought more likely that the eggs of the epizoa are constantly floating about in the air in expectation? (Fearful to think of!) Let us rather remember the disease of phthiriasis, which occurs even now. An analogous case takes place when through special circumstances the conditions of life appear of a species which up till then was foreign to that place. Thus August St. Hilaire saw in Brazil, after the burning of a primitive forest, as soon as ever the ashes had cooled, a number of plants grow up out of them, the species of which was not to be found far and wide; and quite recently Admiral Petit-Thouars informed the *Académie des sciences* that upon the growing coral islands in Polynesia a soil gradually deposits itself which is now dry, now lies in water, and which vegetation soon takes possession of, bringing forth trees which are absolutely peculiar to these islands (*Comptes rendus*, 17th Jan.

1859, p. 147). Whenever putrefaction takes place mould, fungi, and in liquids infusoria appear. The assumption now in favour that spores and eggs of the innumerable species of all those kinds of animal life are everywhere floating in the air, and wait through long years for a favourable opportunity, is more paradoxical than that of generatio œquivoca. Putrefaction is the decomposition of an organised body, first into its more immediate chemical constituents. Since now these are more or less the same in all living beings, the omnipresent will to live can possess itself of them, in order, in accordance with the circumstances, to produce new existences from them; and these forming themselves according to design, *i.e.*, objectifying the volition of the will at the time, solidify out of the chemical elements as the chicken out of the fluidity of the egg. When, however, this does not take place, the putrefying matter is resolved into its ultimate constituent parts, which are the chemical elements, and now passes over again into the great course of nature. The war which has been waged for the last ten or fifteen years against generatio œquivoca, with its premature shouts of victory, was the prelude to the denial of the vital force, and related to it. Let no one, however, be deceived by dogmatic assertions and brazen assurances that the questions are decided, settled, and generally recognised. On the contrary, the whole mechanical and atomistic view of nature is approaching its bankruptcy, and its defenders have to learn that something more is concealed behind nature than action and reaction. The reality of generatio œquivoca and the folly of the extraordinary assumption that in the atmosphere, everywhere and always, billions of seeds of all possible kinds of fungi, and eggs of all possible kinds of infusoria, are floating about, till now one and then another by chance finds its suitable medium, has quite recently (1859) been thoroughly and victoriously shown by Pouchet before the French Academy, to the great vexation of the other members.

Our wonder at the origin of forms in matter is at bottom like that of the savage who looks for the first time in a mirror and marvels at his own image which he sees there. For our own inner nature is the will, whose mere visibility is matter. Yet matter never appears otherwise than with the visible, *i.e.*, under the outer shell of form and quality, and therefore is never directly apprehended, but always merely added in thought as that which is identical in all things, under all differences of quality and form. On this account it is more a metaphysical than a physical principle of explanation of things, and to make all existences arise from it is really to explain them from something



which is very mysterious; which all know it to be except those who confound attacking with comprehending. In truth, the ultimate and exhaustive explanation of things is by no means to be sought in matter, although certainly the temporal origin both of unorganised forms and of organised beings is to be sought in it. Yet it seems that the origination of organised forms, the production of the species themselves, is almost as difficult for nature to accomplish as it is for us to comprehend. This is indicated by the entirely extravagant provision which nature always makes for maintaining the species which once exist. Yet on the present surface of this planet the will to live has gone through the scale of its objectification three times, quite independently of each other, in a different modulation, and also with great difference of perfection and fulness. The old world, America, and Australia have, it is well known, each their peculiar independent fauna, entirely different from that of the other two. Upon each of these great continents the species are throughout different, but yet, because all three belong to the same planet, they have a thorough analogy with each other running parallel through them; therefore the genera are for the most part the same. In Australia this analogy can only be very imperfectly followed because its fauna is very poor in mammalia, and contains neither beasts of prey nor apes. On the other hand, between the old world and America it is obvious, and in the following manner. In mammals America always produces the inferior analogue, but in birds and reptiles the better. Thus it has the advantage in the condor, the macaw, the hummingbird, and the largest batrachia and ophidia; but, for example, instead of the elephant it has only the tapir, instead of the lion the puma, instead of the tiger the jaguar, instead of the camel the lama, and instead of apes proper only monkeys. Even from this last defect it may be concluded that in America nature was not able to rise to man; for even from the nearest grade below man, the chimpanzee and the orang-outang or pongo, the step to man was still an excessively great one. Correspondingly we find that the three races of men which, both upon physiological and linguistic grounds, are undoubtedly equally original, the Caucasian, the Mongolian, and the Ethiopian, are only at home in the old world; while America, on the other hand, is peopled by a mixed or climatically modified Mongolian race, which must have come over from Asia. On the surface of the earth which immediately preceded the present surface apes were reached here and there, but not men.

From this standpoint of our consideration, which shows us matter as the direct visibility of the will which manifests itself in all things, nay, indeed, for the merely physical investigation which follows the guidance of time and causality, lets it pass as the origin of things, we are easily led to the question whether even in philosophy we could not just as well start from the objective as from the subjective side, and accordingly set up as the fundamental truth the proposition: "There is in general nothing but matter and its indwelling forces." But, with regard to these "indwelling forces" here so easily used, we must remember that their assumption leads every explanation back to a completely incomprehensible miracle, and then leaves it beside it, or rather leaves it to begin from it. For every definite, inexplicable force of nature which lies at the foundation of the most different kinds of effects of an unorganised body, not less than the vital force which manifests itself in every organised body, is such an incomprehensible miracle, as I have fully explained in chap. 17, and have also shown that physics can never be set upon the throne of metaphysics, just because it leaves quite untouched the assumption referred to and also many others; whereby from the beginning it renounces all claim to give an ultimate explanation of things. I must further remind the reader here of the proof of the insufficiency of materialism, which is given towards the end of the first chapter, because, as was said there, it is the philosophy of the subject which forgets itself in its calculation. But all these truths rest upon the fact that everything objective, everything external, since it is always only something apprehended, something known, remains also always indirect and secondary, therefore absolutely never can become the ultimate ground of explanation of things or the starting-point of philosophy. Philosophy necessarily requires what is absolutely immediate for its starting-point. But clearly only that which is given in self-consciousness fulfils this condition, that which is within, the subjective. And hence it is so eminent a merit of Descartes that he first made philosophy start from self-consciousness. Since then, upon this path, the genuine philosophers, especially Locke, Berkeley, and Kant, have gone even further, each in his own manner, and in consequence of their investigations I was led to recognise and make use, not of one, but of two completely different data of immediate knowledge in self-consciousness, the idea and the will, by the combined application of which one can go further in philosophy, in the same proportion as in the case of an algebraical problem one can

accomplish more if two known quantities are given than if only one is given.

In accordance with what has been said, the ineradicable falseness of materialism primarily consists in the fact that it starts from a *petitio principii*, which when more closely considered turns out indeed to be a *πρωτον ψευδος*. It starts from the assumption that matter is something absolutely and unconditionally given, something existing independently of the knowledge of the subject, thus really a thing in itself. It attributes to matter (and consequently also to its presuppositions time and space) an absolute existence, *i.e.*, an existence independent of the perceiving subject; this is its fundamental error. Then, if it will go honestly to work, it must leave the qualities inherent in the given materials, *i.e.*, in the substances, together with the natural forces which manifest themselves in these, and finally also the vital force, unexplained, as unfathomable *qualitates occultæ*, and start from them; as physics and physiology actually do, because they make no claim to be the ultimate explanation of things. But just to avoid this, materialism — at least as it has hitherto appeared — has not proceeded honestly. It denies all those original forces, for it pretends and seems to reduce them all, and ultimately also the vital force, to the mere mechanical activity of matter, thus to manifestations of impenetrability, form, cohesion, impulsive power, inertia, gravity, &c., qualities which certainly have least that is inexplicable in themselves, just because they partly depend upon what is known *a priori*, consequently on the forms of our own intellect, which are the principle of all comprehensibility. But the intellect as the condition of all objects, and consequently of the whole phenomenal world, is entirely ignored by materialism. Its plan is now to refer everything qualitative to something merely quantitative, for it attributes the former to mere form in opposition to matter proper. To matter it leaves, of the properly empirical qualities, only gravity, because it already appears as something quantitative, the only measure of the quantity of the matter. This path necessarily leads it to the fiction of atoms, which now become the material out of which it thinks to construct the mysterious manifestations of all original forces. But here it has really no longer to do with empirically given matter, but with a matter which is not to be found in *rerum natura*, but is rather a mere abstraction of that real matter, a matter which would absolutely have no other than those mechanical qualities which, with the

exception of gravity, can be pretty well construed a priori, just because they depend upon the forms of space, time, and causality, and consequently upon our intellect; to this poor material, then, it finds itself reduced for the construction of its castle in the air.

In this way it inevitably becomes atomism; as happened to it already in its childhood in the hands of Leucippus and Democritus, and happens to it again now that it has come to a second childhood through age; with the French because they have never known the Kantian philosophy, and with the Germans because they have forgotten it. And indeed it carries it further in this its second childhood than in its first. Not merely solid bodies are supposed to consist of atoms, but liquids, water, air, gas, nay, even light, which is supposed to be the undulations of a completely hypothetical and altogether unproved ether, consisting of atoms, the difference of the rapidity of these undulations causing colours. This is an hypothesis which, like the earlier Newtonian seven-colour theory, starts from an analogy with music, entirely arbitrarily assumed, and then violently carried out. One must really be credulous to an unheard-of degree to let oneself be persuaded that the innumerable different ether vibrations proceeding from the infinite multiplicity of coloured surfaces in this varied world could constantly, and each in its own time, run through and everywhere cross each other without ever disturbing each other, but should rather produce through such tumult and confusion the profoundly peaceful aspect of illumined nature and art. Credat Judæus Apella! Certainly the nature of light is to us a secret; but it is better to confess this than to bar the way of future knowledge by bad theories. That light is something quite different from a mere mechanical movement, undulation, or vibration and tremor, indeed that it is material, is shown by its chemical effects, a beautiful series of which was recently laid before the *Académie des sciences* by Chevreul, who let sunlight act upon different coloured materials. The most beautiful thing in these experiments is, that a white roll of paper which has been exposed to the sunlight exhibits the same effects, nay, does so even after six months, if during this time it has been secured in a firmly closed metal tube. Has, then, the tremulation paused for six months, and does it now fall into time again? (*Comptes rendus* of 20th December 1858.) This whole hypothesis of vibrating ether atoms is not only a chimera, but equals in awkward crudeness the worst of Democritus, and yet is shameless enough, at the present day, to profess to be an established fact, and has thus brought it about that it is orthodoxly

repeated by a thousand stupid scribblers of all kinds, who are devoid of all knowledge of such things, and is believed in as a gospel. But the doctrine of atoms in general goes still further: it is soon a case of *Spartam, quam nactus es, orna!* Different perpetual motions are then ascribed to all the atoms, revolving, vibrating, &c., according to the office of each; in the same way every atom has its atmosphere of ether, or something else, and whatever other similar fancies there may be. The fancies of Schelling's philosophy of nature and its disciples were for the most part ingenious, lofty, or at least witty; but these, on the contrary, are clumsy, insipid, paltry, and awkward, the production of minds which, in the first place, are unable to think any other reality than a fabulous, qualityless matter, which is also an absolute object, *i.e.*, an object without a subject; and secondly can think of no other activity than motion and impact: these two alone are comprehensible to them, and that everything runs back to these is their a priori assumption; for these are their thing in itself. To attain this end the vital force is reduced to chemical forces (which are insidiously and unjustifiably called molecular forces), and all processes of unorganised nature to mechanism, *i.e.*, to action and reaction. And thus at last the whole world and everything in it becomes merely a piece of mechanical ingenuity, like the toys worked by levers, wheels, and sand, which represent a mine or the work on a farm. The source of the evil is, that through the amount of hand-work which experimenting requires the head-work of thinking has been allowed to get out of practice. The crucible and the voltaic pile are supposed to assume its functions; hence also the profound abhorrence of all philosophy.

But the matter might be put in this way. One might say that materialism, as it has hitherto appeared, has only failed because it did not adequately know the matter out of which it thought to construct the world, and therefore was dealing, not with matter itself, but with a propertyless substitute for it. If, on the contrary, instead of this, it had taken the actual and empirically given matter (*i.e.*, material substance, or rather substances), endowed as it is with all physical, chemical, electrical properties, and also with the power of spontaneously producing life out of itself, thus the true *mater rerum*, from the obscurity of whose womb all phenomena and forms come forth, to fall back into it some time again; from this, *i.e.*, from matter fully comprehended and exhaustively known, a world might have been constructed of which materialism would not need to be ashamed. Quite true: only the trick would then consist in this, that the *Quæsitæ* had been placed in

the Data, for professedly what was taken as given, and made the starting-point of the deduction, was mere matter, but really it included all the mysterious forces of nature which cling to it, or more correctly, by means of it become visible to us, much the same as if under the name of the dish we understand what lies upon it. For in fact, for our knowledge, matter is really merely the vehicle of the qualities and natural forces, which appear as its accidents, and just because I have traced these back to the will I call matter the mere visibility of the will. Stripped of all these qualities, matter remains behind as that which is without qualities, the *caput mortuum* of nature, out of which nothing can honestly be made. If, on the contrary, in the manner referred to, one leaves it all these properties, one is guilty of a concealed *petitio principii*, for one has assumed the *Quæsitæ* beforehand as Data. But what is accomplished with this will no longer be a proper materialism, but merely naturalism, *i.e.*, an absolute system of physics, which, as was shown in chap. 17 already referred to, can never assume and fill the place of metaphysics, just because it only begins after so many assumptions, thus never undertakes to explain things from the foundation. Mere naturalism is therefore essentially based simply upon *qualitates occultæ*, which one can never get beyond except, as I have done, by calling in the aid of the subjective source of knowledge, which then certainly leads to the long and toilsome round-about path of metaphysics, for it presupposes the complete analysis of self-consciousness and of the intellect and will given in it. However, the starting from what is objective, at the foundation of which lies external perception, so distinct and comprehensible, is a path so natural and which presents itself of its own accord to man, that naturalism, and consequently, because this cannot satisfy as it is not exhaustive, materialism, are systems to which the speculative reason must necessarily have come, nay, must have come first of all. Therefore at the very beginning of the history of philosophy we meet naturalism, in the systems of the Ionic philosophers, and then materialism in the teaching of Leucippus and Democritus, and also later we see them ever appear anew from time to time.

## **Chapter XXV. Transcendent Considerations Concerning The Will As Thing In Itself.**

Even the merely empirical consideration of nature recognises a constant transition from the simplest and most necessary manifestation of a universal force of nature up to the life and consciousness of man himself, through gentle gradations, and with only relative, and for the most part fluctuating, limits. Reflection, following this view, and penetrating somewhat more deeply into it, will soon be led to the conviction that in all these phenomena, the inner nature, that which manifests itself, that which appears, is one and the same, which comes forth ever more distinctly; and accordingly that what exhibits itself in a million forms of infinite diversity, and so carries on the most varied and the strangest play without beginning or end, this is one being which is so closely disguised behind all these masks that it does not even recognise itself, and therefore often treats itself roughly. Thus the great doctrine of the *ἐν καὶ πᾶν* early appeared both in the east and in the west, and, in spite of all contradiction, has asserted itself, or at least constantly revived. We, however, have now entered even deeper into the secret, since by what has already been said we have been led to the insight that when in any phenomenon a knowing consciousness is added to that inner being which lies at the foundation of all phenomena, a consciousness which when directed inwardly becomes self-consciousness, then that inner being presents itself to this self-consciousness as that which is so familiar and so mysterious, and is denoted by the word will. Accordingly we have called that universal fundamental nature of all phenomena the will, after that manifestation in which it unveils itself to us most fully; and by this word nothing is further from our intention than to denote an unknown  $x$ ; but, on the contrary, we denote that which at least on one side is infinitely better known and more intimate than anything else.

Let us now call to mind a truth, the fullest and most thorough proof of which will be found in my prize essay on the freedom of the will — the truth that on account of the absolutely universal validity of the law of causality, the conduct or the action of all existences in this world is always strictly necessitated by the causes which in each case call it forth. And in this respect it makes no difference whether such an action has been occasioned by causes in the strictest sense of the word, or by stimuli, or

finally by motives, for these differences refer only to the grade of the susceptibility of the different kinds of existences. On this point we must entertain no illusion: the law of causality knows no exception; but everything, from the movement of a mote in a sunbeam to the most deeply considered action of man, is subject to it with equal strictness. Therefore, in the whole course of the world, neither could a mote in a sunbeam describe any other line in its flight than it has described, nor a man act any other way than he has acted; and no truth is more certain than this, that all that happens, be it small or great, happens with absolute necessity. Consequently, at every given moment of time, the whole condition of all things is firmly and accurately determined by the condition which has just preceded it, and so is it with the stream of time back to infinity and on to infinity. Thus the course of the world is like that of a clock after it has been put together and wound up; thus from this incontestable point of view it is a mere machine, the aim of which we cannot see. Even if, quite without justification, nay, at bottom, in spite of all conceivability and its conformity to law, one should assume a first beginning, nothing would thereby be essentially changed. For the arbitrarily assumed first condition of things would at its origin have irrevocably determined and fixed, both as a whole and down to the smallest detail, the state immediately following it; this state, again, would have determined the one succeeding it, and so on *per secula seculorum*, for the chain of causality, with its absolute strictness — this brazen bond of necessity and fate — introduces every phenomenon irrevocably and unalterably, just as it is. The difference merely amounts to this, that in the case of the one assumption we would have before us a piece of clockwork which had once been wound up, but in the case of the other a perpetual motion; the necessity of the course, on the other hand, would remain the same. In the prize essay already referred to I have irrefutably proved that the action of man can make no exception here, for I showed how it constantly proceeds with strict necessity from two factors — his character and the motives which come to him. The character is inborn and unalterable; the motives are introduced with necessity under the guidance of causality by the strictly determined course of the world.

Accordingly then, from one point of view, which we certainly cannot abandon, because it is established by the objective laws of the world, which are *a priori* valid, the world, with all that is in it, appears as an aimless, and therefore incomprehensible, play of an eternal necessity, an inscrutable and



inexorable *Αναγκη*. Now, what is objectionable, nay, revolting, in this inevitable and irrefutable view of the world cannot be thoroughly done away with by any assumption except this, that as in one aspect every being in the world is a phenomenon, and necessarily determined by the laws of the phenomenon, in another aspect it is in itself will, and indeed absolutely free will, for necessity only arises through the forms which belong entirely to the phenomenon, through the principle of sufficient reason in its different modes. Such a will, then, must be self-dependent, for, as free, *i.e.*, as a thing in itself, and therefore not subject to the principle of sufficient reason, it cannot depend upon another in its being and nature any more than in its conduct and action. By this assumption alone will as much freedom be supposed as is needed to counterbalance the inevitable strict necessity which governs the course of the world. Accordingly one has really only the choice either of seeing that the world is a mere machine which runs on of necessity, or of recognising a free will as its inner being whose manifestation is not directly the action but primarily the existence and nature of things. This freedom is therefore transcendental, and consists with empirical necessity, in the same way as the transcendental ideality of phenomena consists with their empirical reality. That only under this assumption the action of a man, in spite of the necessity with which it proceeds from his character and the motives, is yet his own I have shown in my prize essay on the freedom of the will; with this, however, self-dependency is attributed to his nature. The same relation holds good of all things in the world. The strictest necessity, carried out honestly with rigid consistency, and the most perfect freedom, rising to omnipotence, had to appear at once and together in philosophy; but, without doing violence to truth, this could only take place by placing the whole necessity in the acting and doing (*Operari*), and the whole freedom in the being and nature (*Esse*). Thereby a riddle is solved which is as old as the world, simply because it has hitherto always been held upside down and the freedom persistently sought in the *Operari*, the necessity in the *Esse*. I, on the contrary, say: Every being without exception acts with strict necessity, but it exists and is what it is by virtue of its freedom. Thus with me freedom and necessity are to be met with neither more nor less than in any earlier system; although now one and now the other must be conspicuous according as one takes offence that will is attributed to processes of nature which hitherto were explained from necessity, or that the same strict necessity is recognised in

motivation as in mechanical causality. The two have merely changed places: freedom has been transferred to the *Esse*, and necessity limited to the *Operari*.

In short, Determinism stands firm. For fifteen hundred years men have wearied themselves in vain to shake it, influenced by certain crotchets, which are well known, but dare scarcely yet be called by their name. Yet in accordance with it the world becomes a mere puppet-show, drawn by wires (motives), without it being even possible to understand for whose amusement. If the piece has a plan, then fate is the director; if it has none, then blind necessity. There is no other deliverance from this absurdity than the knowledge that the being and nature of all things is the manifestation of a really free will, which knows itself in them; for their doing and acting cannot be delivered from necessity. To save freedom from fate and chance, it had to be transferred from the action to the existence.

As now necessity only affects the phenomenon, not the thing in itself, *i.e.*, the true nature of the world, so also does multiplicity. This is sufficiently explained in § 25 of the first volume. I have only to add here one remark in confirmation and illustration of this truth.

Every one knows only one being quite immediately — his own will in self-consciousness. Everything else he knows only indirectly, and then judges it by analogy with this; a process which he carries further in proportion to the grade of his reflective powers. Even this ultimately springs from the fact that there really is only one being; the illusion of multiplicity (*Maja*), which proceeds from the forms of external, objective comprehension, could not penetrate to inner, simple consciousness; therefore this always finds before it only one being.

If we consider the perfection of the works of nature, which can never be sufficiently admired, and which even in the lowest and smallest organisms, for example, in the fertilising parts of plants or in the internal construction of insects, is carried out with as infinite care and unwearied labour as if each work of nature had been its only one, upon which it was therefore able to expend all its art and power; if we yet find this repeated an infinite number of times in each one of innumerable individuals of every kind, and not less carefully worked out in that one whose dwelling-place is the most lonely, neglected spot, to which, till then, no eye had penetrated; if we now follow the combination of the parts of every organism as far as we can, and yet never come upon one part which is quite simple, and therefore ultimate,

not to speak of one which is inorganic; if, finally, we lose ourselves in calculating the design of all those parts of the organism for the maintenance of the whole by virtue of which every living thing is complete in and for itself; if we consider at the same time that each of these masterpieces, itself of short duration, has already been produced anew an innumerable number of times, and yet every example of a species, every insect, every flower, every leaf, still appears just as carefully perfected as was the first of its kind; thus that nature by no means wearies and begins to bungle, but, with equally patient master-hand, perfects the last like the first: then we become conscious, first of all, that all human art is completely different, not merely in degree, but in kind, from the works of nature; and, next, that the working force, the *natura naturans*, in each of its innumerable works, in the least as in the greatest, in the last as in the first, is immediately present whole and undivided, from which it follows that, as such and in itself, it knows nothing of space and time. If we further reflect that the production of these hyperboles of all works of art costs nature absolutely nothing, so that, with inconceivable prodigality, she creates millions of organisms which never attain to maturity, and without sparing exposes every living thing to a thousand accidents, yet, on the other hand, if favoured by chance or directed by human purpose, readily affords millions of examples of a species of which hitherto there was only one, so that millions cost her no more than one; this also leads us to see that the multiplicity of things has its root in the nature of the knowledge of the subject, but is foreign to the thing in itself, *i.e.*, to the inner primary force which shows itself in things; that consequently space and time, upon which the possibility of all multiplicity depends, are mere forms of our perception; nay, that even that whole inconceivable ingenuity of structure associated with the reckless prodigality of the works upon which it has been expended ultimately springs simply from the way in which things are apprehended by us; for when the simple and indivisible original effort of the will exhibits itself as object in our cerebral knowledge, it must appear as an ingenious combination of separate parts, as means and ends of each other, accomplished with wonderful completeness.

The unity of that will, here referred to, which lies beyond the phenomenon, and in which we have recognised the inner nature of the phenomenal world, is a metaphysical unity, and consequently transcends the knowledge of it, *i.e.*, does not depend upon the functions of our intellect,

and therefore can not really be comprehended by it. Hence it arises that it opens to the consideration an abyss so profound that it admits of no thoroughly clear and systematically connected insight, but grants us only isolated glances, which enable us to recognise this unity in this and that relation of things, now in the subjective, now in the objective sphere, whereby, however, new problems are again raised, all of which I will not engage to solve, but rather appeal here to the words *est quadam prode tenus*, more concerned to set up nothing false or arbitrarily invented than to give a thorough account of all; — at the risk of giving here only a fragmentary exposition.

If we call up to our minds and distinctly go through in thought the exceedingly acute theory of the origin of the planetary system, first put forth by Kant and later by Laplace, a theory of which it is scarcely possible to doubt the correctness, we see the lowest, crudest, and blindest forces of nature bound to the most rigid conformity to law, by means of their conflict for one and the same given matter, and the accidental results brought about by this produce the framework of the world, thus of the designedly prepared future dwelling-place of innumerable living beings, as a system of order and harmony, at which we are the more astonished the more distinctly and accurately we come to understand it. For example, if we see that every planet, with its present velocity, can only maintain itself exactly where it actually has its place, because if it were brought nearer to the sun it would necessarily fall into it, or if placed further from it would necessarily fly away from it; how, conversely, if we take the place as given, it can only remain there with its present velocity and no other, because if it went faster it would necessarily fly away from the sun, and if it went slower it would necessarily fall into it; that thus only one definite place is suitable to each definite velocity of a planet; and if we now see this solved by the fact that the same physical, necessary, and blindly acting cause which appointed it its place, at the same time and just by doing so, imparted to it exactly the only velocity suitable for this place, in consequence of the law of nature that a revolving body increases its velocity in proportion as its revolution becomes smaller; and, moreover, if finally we understand how endless permanence is assured to the whole system, by the fact that all the mutual disturbances of the course of the planets which unavoidably enter, must adjust themselves in time; how then it is just the irrationality of the periods of revolution of Jupiter and Saturn to each other that prevents their respective perturbations

from repeating themselves at one place, whereby they would become dangerous, and brings it about that, appearing seldom and always at a different place, they must sublate themselves again, like dissonances in music which are again resolved into harmony. By means of such considerations we recognise a design and perfection, such as could only have been brought about by the freest absolute will directed by the most penetrating understanding and the most acute calculation. And yet, under the guidance of that cosmogony of Laplace, so well thought out and so accurately calculated, we cannot prevent ourselves from seeing that perfectly blind forces of nature, acting according to unalterable natural laws, through their conflict and aimless play among themselves, could produce nothing else but this very framework of the world, which is equal to the work of an extraordinarily enhanced power of combination. Instead now, after the manner of Anaxagoras, of dragging in the aid of an intelligence known to us only from animal nature, and adapted only to its aims, an intelligence which, coming from without, cunningly made use of the existing forces of nature and their laws in order to carry out its ends, which are foreign to these, — we recognise in these lowest forces of nature themselves that same, one will, which indeed first manifests itself in them, and already in this manifestation striving after its goal, through its original laws themselves works towards its final end, to which therefore all that happens according to blind laws of nature must minister and correspond. And this indeed cannot be otherwise, because everything material is nothing but just the phenomenal appearance, the visibility, the objectivity of the will to live which is one. Thus even the lowest forces of nature themselves are animated by that same will, which afterwards, in the individual beings provided with intelligence, marvels at its own work, as the somnambulist wonders in the morning at what he has done in his sleep; or, more accurately, which is astonished at its own form which it beholds in the mirror. This unity which is here proved of the accidental with the intentional, of the necessary with the free, on account of which the blindest chances, which, however, rest upon universal laws of nature, are as it were the keys upon which the world-spirit plays its melodies so full of significance, — this unity, I say, is, as has already been remarked, an abyss in the investigation into which even philosophy can throw no full light, but only a glimmer.

But I now turn to a subjective consideration belonging to this place, to which, however, I am able to give still less distinctness than to the objective consideration which has just been set forth; for I shall only be able to express it by images and similes. Why is our consciousness brighter and more distinct the further it extends towards without, so that its greatest clearness lies in sense perception, which already half belongs to things outside us, — and, on the other hand, grows dimmer as we go in, and leads, if followed to its inmost recesses, to a darkness in which all knowledge ceases? Because, I say, consciousness presupposes individuality; but this belongs to the mere phenomenon, for it is conditioned by the forms of the phenomenon, space and time, as multiplicity of the similar. Our inner nature, on the other hand, has its root in that which is no longer phenomenon, but thing in itself, to which, therefore, the forms of the phenomenon do not extend; and thus the chief conditions of individuality are wanting, and with these the distinctness of consciousness falls off. In this root of existence the difference of beings ceases, like that of the radii of a sphere in the centre; and as in the sphere the surface is produced by the radii ending and breaking off, so consciousness is only possible where the true inner being runs out into the phenomenon, through whose forms the separate individuality becomes possible upon which consciousness depends, which is just on that account confined to phenomena. Therefore all that is distinct and thoroughly comprehensible in our consciousness always lies without upon this surface of the sphere. Whenever, on the contrary, we withdraw entirely from this, consciousness forsakes us, — in sleep, in death, to a certain extent also in magnetic or magic influences; for these all lead through the centre. But just because distinct consciousness, being confined to the surface of the sphere, is not directed towards the centre, it recognises other individuals certainly as of the same kind, but not as identical, which yet in themselves they are. Immortality of the individual might be compared to a point of the surface flying off at a tangent. But immortality, by virtue of the eternal nature of the inner being of the whole phenomenon, may be compared to the return of that point, on the radius, to the centre, of which the whole surface is just the extension. The will as the thing in itself is whole and undivided in every being, as the centre is an integral part of every radius; while the peripheral end of this radius is in the most rapid revolution, with the surface, which represents time and its content, the other end, at the centre, which represents eternity, remains in

the profoundest peace, because the centre is the point of which the rising half is not different from the sinking. Therefore in the Bhagavad-gita it is said: “Haud distributum animantibus, et quasi distributum tamen insidens, animantiumque sustentaculum id cognoscendum, edax et rursus genitale” (Lect. 13, 16 vers. Schlegel). Certainly we fall here into mystical and figurative language, but it is the only language in which anything can be said on this entirely transcendent theme. So this simile also may pass. The human race may be imagined as an animal compositum, a form of life of which many polypi, especially those which swim, such as Veretillum, Funiculina, and others, afford examples. As in these the head isolates each individual animal, and the lower part, with the common stomach, combines them all in the unity of one life process, so the brain with its consciousness isolates the human individual, while the unconscious part, the vegetative life with its ganglion system, into which in sleep the brain-consciousness disappears, like a lotus which nightly sinks in the flood, is a common life of all, by means of which in exceptional cases they can even communicate, as, for example, occurs when dreams communicate themselves directly, the thoughts of the mesmeriser pass into the somnambulist, and finally also in the magnetic or generally magical influence proceeding from intentional willing. Such an influence, if it occurs, is toto genere different from every other on account of the influxus physicus which takes place, for it is really an actio in distans which the will, certainly proceeding from the individual, yet performs in its metaphysical quality as the omnipresent substratum of the whole of nature. One might also say that as in the generatio æquivoca there sometimes and as an exception appears a weak residue of the original creative power of the will, which in the existing forms of nature has already done its work and is extinguished, so there may be, exceptionally, acting in these magical influences, as it were, a surplus of its original omnipotence, which completes its work and spends itself in the construction and maintenance of the organisms. I have spoken fully of this magical property of the will in “The Will in Nature,” and I gladly omit here discussions which have to appeal to uncertain facts, which yet cannot be altogether ignored or denied.

## Chapter XXVI.<sup>4</sup> On Teleology.

The universal teleology or design of organised nature relative to the continuance of every existing being, together with the adaptation of organised to unorganised nature, cannot without violence enter into the connection of any philosophical system except that one which makes a will the basis of the existence of every natural being; a will which accordingly expresses its nature and tendency not merely in the actions, but already in the form of the phenomenal organism. In the preceding chapter I have merely indicated the account which our system of thought gives of this subject, since I have already expounded it in the passage of the first volume referred to below, and with special clearness and fulness in “The Will in Nature,” under the rubric “Comparative Anatomy.”

The astounding amazement which is wont to take possession of us when we consider the endless design displayed in the construction of organised beings ultimately rests upon the certainly natural but yet false assumption that that adaptation of the parts to each other, to the whole of the organism and to its aims in the external world, as we comprehend it and judge of it by means of knowledge, thus upon the path of the idea, has also come into being upon the same path; thus that as it exists for the intellect, it was also brought about by the intellect. We certainly can only bring about something regular and conforming to law, such, for example, as every crystal is, under the guidance of the law and the rule; and in the same way, we can only bring about something designed under the guidance of the conception of the end; but we are by no means justified in imputing this limitation of ours to nature, which is itself prior to all intellect, and whose action is entirely different in kind from ours, as was said in the preceding chapter. It accomplishes that which appears so designed and planned without reflection and without conception of an end, because without idea, which is of quite secondary origin. Let us first consider what is merely according to rule, not yet adapted to ends. The six equal radii of a snowflake, separating at equal angles, are measured beforehand by no knowledge; but it is the simple tendency of the original will, which so exhibits itself to knowledge when knowledge appears. As now here the will brings about the regular figure without mathematics, so also without physiology does it bring about the form which is organised and furnished with organs evidently adapted to



special ends. The regular form in space only exists for the perception, the perceptive form of which is space; so the design of the organism only exists for the knowing reason, the reflection of which is bound to the conceptions of end and means. If direct insight into the working of nature was possible for us, we would necessarily recognise that the wonder excited by teleology referred to above is analogous to that which that savage referred to by Kant in his explanation of the ludicrous felt when he saw the froth irresistibly foaming out of a bottle of beer which had just been opened, and expressed his wonder not that it should come out, but that any one had ever been able to get it in; for we also assume that the teleology of natural productions has been put in the same as it comes out for us. Therefore our astonishment at design may likewise be compared to that which the first productions of the art of printing excited in those who considered them under the supposition that they were works of the pen, and therefore had to resort to the assumption of the assistance of a devil in order to explain them. For, let it be said again, it is our intellect which by means of its own forms, space, time, and causality, apprehends as object the act of will, in itself metaphysical and indivisible, which exhibits itself in the phenomenon of an animal, — it is our intellect which first produces the multiplicity and diversity of the parts, and is then struck with amazement at their perfect agreement and conspiring together, which proceeds from the original unity; whereby then, in a certain sense, it marvels at its own work.

If we give ourselves up to the contemplation of the indescribably and infinitely ingenious construction of any animal, even if it were only the commonest insect, lose ourselves in admiration of it, and it now occurs to us that nature recklessly exposes even this exceedingly ingenious and highly complicated organism daily and by thousands to destruction by accident, animal rapacity, and human wantonness, this wild prodigality fills us with amazement; but our amazement is based upon an ambiguity of the conceptions, for we have in our minds the human work of art which is accomplished by the help of the intellect and by overcoming a foreign and resisting material, and therefore certainly costs much trouble. Nature's works, on the contrary, however ingenious they may be, cost her absolutely no trouble; for here the will to work is already the work itself, since, as has already been said, the organism is merely the visibility of the will which is here present, brought about in the brain.

In consequence of the nature of organised beings which has been set forth, teleology, as the assumption of the adaptation of every part to its end, is a perfectly safe guide in considering the whole of organised nature; on the other hand, in a metaphysical regard, for the explanation of nature beyond the possibility of experience, it must only be regarded as valid in a secondary and subsidiary manner for the confirmation of principles of explanation which are otherwise established: for here it belongs to the problems which have to be given account of. Accordingly, if in some animal a part is found of which we do not see any use, we must never venture the conjecture that nature has produced it aimlessly, perhaps trifling, or out of mere caprice. Certainly it is possible to conceive something of this kind under the Anaxagorean assumption that the disposition of nature has been brought about by means of an ordering understanding, which, as such, obeys a foreign will; but not under the assumption that the true inner being (*i.e.*, outside of our idea) of every organism is simply and solely its own will; for then the existence of every part is conditioned by the circumstance that in some way it serves the will which here lies at its foundation, expresses and realises some tendency of it, and consequently in some way contributes to the maintenance of this organism. For apart from the will which manifests itself in it, and the conditions of the external world under which this has voluntarily undertaken to live, for the conflict with which its whole form and disposition is already adapted, nothing can have influenced it and determined its form and parts, thus no arbitrary power, no caprice. On this account everything in it must be designed; and therefore final causes (*causæ finales*) are the clue to the understanding of organised nature, as efficient causes (*causæ efficientes*) are the clue to the understanding of unorganised nature. It depends upon this, that if in anatomy or zoology, we cannot find the end or aim of an existing part, our understanding receives a shock similar to that which it receives in physics from an effect whose cause remains concealed; and as we assume the latter as necessary, so also we assume the former, and therefore go on searching for it, however long we may already have done so in vain. This is, for example, the case with the spleen, as to the use of which men never cease inventing hypotheses, till some day one shall have proved itself correct. So is it also with the large spiral-formed teeth of the babyroussa, the horn-shaped excrescences of certain caterpillars, and more of the like. Negative cases are also judged by

us according to the same rule; for example, that in a class which, as a whole, is so uniform as that of lizards, so important a part as the bladder is present in many species, while it is wanting in others; similarly that dolphins and certain cetacea related to them are entirely without olfactory nerves, while the rest of the cetacea and even fishes have them: there must be a reason which determines this.

Individual real exceptions to this universal law of design in organised nature have indeed been discovered, and with great surprise; but in these cases that *exceptio firmat regulam* applies, since they can be accounted for upon other grounds. Such, for example, is the fact that the tadpoles of the pipa toad have tails and gills, although, unlike all other tadpoles, they do not swim, but await their metamorphosis on the back of the mother; that the male kangaroo has the marsupial bones which in the female carry the pouch; that male mammals have breasts; that the *Mus typhlus*, a rat, has eyes, although very small ones, without any opening for them in the outer skin, which thus covers them, clothed with hair; and that the moles of the Apennines, and also two fishes — *Murena cœcilia* and *Gastrobrauchus cœcus* — are in the same case; of like kind is the *Proteus anguinus*. These rare and surprising exceptions to the rule of nature, which is otherwise so rigid, these contradictions with itself into which it falls, we must explain from the inner connection which the different kinds of phenomena have with each other, by virtue of the unity of that which manifests itself in them, and in consequence of which nature must hint at some thing in one, simply because another of the same type actually has it. Accordingly the male animal has a rudimentary form of an organ which is actually present in the female. As now here the difference of the sex cannot abolish the type of the species, so also the type of a whole order — for example, of the batrachia — asserts itself even where in one particular species (pipa) one of its determinations is superfluous. Still less can nature allow a determination (eyes) which belongs to the type of a whole division (Vertebrata) to vanish entirely without a trace, even if it is wanting in some particular species (*Mus typhlus*) as superfluous; but here also it must at least indicate in a rudimentary manner what it carries out in all the others.

Even from this point of view it is to some extent possible to see upon what depends that homology in the skeleton primarily of mammals, and in a wider sense of all vertebrates, which has been so fully explained, especially by Richard Owen in his “*Ostéologie comparée*,” and on account of which,

for example, all mammals have seven cervical vertebræ, every bone of the human hand and arm finds its analogue in the fin of the whale, the skull of the bird in the egg has exactly as many bones as that of the human fœtus, &c. All this points to a principle which is independent of teleology, but which is yet the foundation upon which teleology builds, or the already given material for its works, and just that which Geoffroy St. Hilaire has explained as the “anatomical element.” It is the *unité de plan*, the fundamental type of the higher animal world, as it were the arbitrarily chosen key upon which nature here plays.

Aristotle has already correctly defined the difference between the efficient cause (*causa efficiens*) and the final cause (*causa finalis*) in these words: “Δυο τροποι της αιτιας, το οὐ ἔνεκα και το εξ αναγκης, και δει λεγοντας τυγχανειν μαλιστα μεν αμφοιν.” (*Duo sunt causæ modi: alter cujus gratia, et alter e necessitate; ac potissimum utrumque eruere oportet.*) *De part. anim.*, i. 1. The efficient cause is that whereby something is, the final cause that on account of which it is; the phenomenon to be explained has, in time, the former behind it, and the latter before it. Only in the case of the voluntary actions of animal beings do the two directly unite, for here the final cause, the end, appears as the motive; a motive, however, is always the true and proper cause of the action, is wholly and solely its efficient cause, the change preceding it which calls it forth, by virtue of which it necessarily appears, and without which it could not happen; as I have shown in my prize essay upon freedom. For whatever of a physiological nature one might wish to insert between the act of will and the corporeal movement, the will always remains here confessedly that which moves, and what moves it is the motive coming from without, thus the *causa finalis*; which consequently appears here as *causa efficiens*. Besides, we know from what has gone before that the bodily movement is one with the act of will, for it is merely its phenomenal appearance in cerebral perception. This union of the *causa finalis* with the efficient cause in the one phenomenon intimately known to us, which accordingly remains throughout our typical phenomenon, is certainly to be firmly retained; for it leads precisely to the conclusion that at least in organised nature, the knowledge of which has throughout final causes for its clue, a will is the forming power. In fact, we cannot otherwise distinctly think a final cause except as an end in view, *i.e.*, a motive. Indeed, if we carefully consider the final causes in nature in order to express their transcendent nature, we must not shrink from a

contradiction, and boldly say: the final cause is a motive which acts upon a being, by which it is not known. For certainly the termite nests are the motive which has produced the toothless muzzle of the ant-bear, and also its long extensile, glutinous tongue: the hard egg-shell which holds the chicken imprisoned is certainly the motive for the horny point with which its beak is provided in order to break through that shell, after which it throws it off as of no further use. And in the same way the laws of the reflection and refraction of light are the motive for the wonderfully ingenious and complex optical instrument, the human eye, which has the transparency of its cornea, the different density of its three humours, the form of its lens, the blackness of its choroid, the sensitiveness of its retina, the contracting power of its pupil, and its muscular system, accurately calculated according to those laws. But those motives acted before they were apprehended; it is not otherwise, however contradictory it may sound. For here is the transition of the physical into the metaphysical. But the latter we have already recognised in the will; therefore we must see that the will which extends an elephant's trunk towards an object is the same will which has also called it forth and formed it, anticipating objects.

It is in conformity with this that in the investigation of organised nature we are entirely referred to final causes, everywhere seek for these and explain everything from them. The efficient causes, on the contrary, here assume only a quite subordinate position as the mere tools of the final causes, and, just as in the case of the voluntary movement of the limbs, which is confessedly effected by external motives, they are rather assumed than pointed out. In explaining the physiological functions we certainly look about for the efficient causes, though for the most part in vain; but in explaining the origin of the parts we again look for them no more, but are satisfied with the final causes alone. At the most we have here some such general principle as that the larger the part is to be the stronger must be the artery that conducts blood to it; but of the actually efficient causes which bring about, for example, the eye, the ear, the brain, we know absolutely nothing. Indeed, even in explaining the mere functions the final cause is far more important and more to the point than the efficient; therefore, if the former alone is known we are instructed and satisfied with regard to the principal matter, while, on the other hand, the efficient cause alone helps us little. For example, if we really knew the efficient cause of the circulation of the blood, as we do not, but still seek it, this would help us little unless we

knew the final cause, that the blood must go into the lungs for the purpose of oxidation, and again flow back for the purpose of nourishing; but by the knowledge of this, even without the knowledge of the efficient cause, we have gained much light. Moreover, I am of opinion, as was said above, that the circulation of the blood has no properly efficient cause, but that the will is here as immediately active as in muscular movement where motives determine it by means of nerve conduction, so that here also the movement is called forth directly by the final cause; thus by the need of oxidation in the lungs, which here to a certain extent acts as a motive upon the blood, yet so that the mediation of knowledge is in this case wanting, because everything takes place in the interior of the organism. The so-called metamorphosis of plants, a thought lightly thrown out by Kaspar Wolf, which, under this hyperbolic title, Goethe pompously and with solemn delivery expounds as his own production, belongs to the class of explanations of organic nature from the efficient cause; although ultimately he only says that nature does not in the case of every production begin from the beginning and create out of nothing, but as it were, writing on in the same style, adds on to what already exists, makes use of the earlier forms, developed, and raised to higher power, to carry its work further: just as it has done in the ascending series of animals entirely in accordance with the law: *Natura non facit saltus, et quod commodissimum in omnibus suis operationibus sequitur* (*Arist. de incessu animalium, c. 2 et 8*). Indeed, to explain the blossom by pointing out in all its parts the form of the leaf seems to me almost the same as explaining the structure of a house by showing that all its parts, storeys, balconies, and garrets, are only composed of bricks and mere repetitions of the original unity of the brick. And not much better, though much more problematical, seems to me the explanation of the skull from vertebræ, although even here also it is a matter of course that the covering or case of the brain will not be absolutely different and entirely disparate from that of the spinal cord, of which it is the continuation and terminal knob, but will rather be a carrying out of the same kind of thing. This whole method of consideration belongs to the Homology of Richard Owen referred to above. On the other hand, it seems to me that the following explanation of the nature of the flower from its final cause, suggested by an Italian whose name has escaped me, is a far more satisfactory account to give. The end of the corolla is — (1.) Protection of the pistil and the stamina; (2.) by means of it the purified saps are prepared,

which are concentrated in the pollen and germs; (3.) from the glands of its base the essential oil distils which, for the most part as a fragrant vapour, surrounding the anthers and pistil, protects them to a certain extent from the influence of the damp air. It is also one of the advantages of final causes that every efficient cause always ultimately rests upon something that cannot be fathomed, a force of nature, *i.e.*, a *qualitas occulta*, and, therefore, it can only give a relative explanation; while the final cause within its sphere affords a sufficient and perfect explanation. It is true we are only perfectly content when we know both the efficient cause, also called by Aristotle ἡ αἰτία ἐξ ἀνάγκης, and the final cause, ἡ χάρις τοῦ βελτιονοῦς, at once and yet separately, as their concurrence, their wonderful working together, then surprises us, and on account of it the best appears as the absolutely necessary, and the necessary again as if it were merely the best and not necessary; for then arises in us the dim perception that both causes, however different may be their origin, are yet connected in the root, in the nature of the thing in itself. But such a twofold knowledge is seldom attainable; in organised nature, because the efficient cause is seldom known to us; in unorganised nature, because the final cause remains problematical. However, I will illustrate this by a couple of examples as good as I find within the range of my physiological knowledge, for which physiologists may be able to substitute clearer and more striking ones. The louse of the negro is black. Final cause: its own safety. Efficient cause: because its nourishment is the black *rete Malpighi* of the negro. The multifarious, brilliant, and gay colouring of the plumage of tropical birds is explained, although only very generally, from the strong effect of the light in the tropics, as its efficient cause. As the final cause I would assign that those brilliant feathers are the gorgeous uniform in which the individuals of the innumerable species there, often belonging to the same genus, may recognise each other; so that each male may find his female. The same holds good of butterflies of different zones and latitudes. It has been observed that consumptive women, in the last stage of their illness, readily become pregnant, that the disease stops during pregnancy, but after delivery appears again worse than before, and now generally results in death: similarly that consumptive men generally beget another child in the last days of their life. The final cause here is that nature, always so anxiously concerned for the maintenance of the species, seeks to replace by a new individual the approaching loss of one in the prime of life; the efficient

cause, on the other hand, is the unusually excited state of the nervous system which occurs in the last period of consumption. From the same final cause is to be explained the analogous phenomenon that (according to Oken, *Die Zeugung*, p. 65) flies poisoned with arsenic still couple, and die in the act of copulation. The final cause of the pubes in both sexes, and of the Mons Veneris in the female, is that even in the case of very thin subjects the Ossa pubis shall not be felt, which might excite antipathy; the efficient cause, on the other hand, is to be sought in the fact that wherever the mucous membrane passes over to the outer skin, hair grows in the vicinity; and, secondly, also that the head and the genitals are to a certain extent opposite poles of each other, and therefore have various relations and analogies between them, among which is that of being covered with hair. The same efficient cause holds good also of the beard of the man; the final cause of it, I suppose, lies in the fact that the pathognomonic signs, thus the rapid alterations of the countenance betraying every movement of the mind, are principally visible in the mouth and its vicinity; therefore, in order to conceal these from the prying eye of the adversary, as something dangerous in bargaining, or in sudden emergencies, nature gave man the beard (which shows that homo homini lupus). The woman, on the other hand, could dispense with this; for with her dissimulation and command of countenance are inborn. As I have said, there must be far more apt examples to be found to show how the completely blind working of nature unites in the result with the apparently intentional, or, as Kant calls it, the mechanism of nature with its technic; which points to the fact that both have their common origin beyond their difference in the will as the thing in itself. Much would be achieved for the elucidation of this point of view, if, for example, we could find the efficient cause which carries the driftwood to the treeless polar lands, or that which has concentrated the dry land of our planet principally in the northern half of it; while it is to be regarded as the final cause of this that the winter of that half, because it occurs in the perihelion which accelerates the course of the earth, is eight days shorter, and hereby is also milder. Yet in considering unorganised nature the final cause is always ambiguous, and, especially when the efficient cause is found, leaves us in doubt whether it is not a merely subjective view, an aspect conditioned by our point of view. In this respect, however, it may be compared to many works of art; for example, to coarse mosaics, theatre decorations, and to the god Apennine at Pratolino, near Florence, composed of large masses of



rock, all of which only produce their effect at a distance, and vanish when we come near, because instead of them the efficient cause of their appearance now becomes visible: but the forms are yet actually existent, and are no mere imagination. Analogous to this, then, are the final causes in unorganised nature, if the efficient causes appear. Indeed, those who take a wide view of things would perhaps allow it to pass if I added that something similar is the case with omens.

For the rest, if any one desires to misuse the external design, which, as has been said, always remains ambiguous for physico-theological demonstrations, which is done even at the present day, though it is to be hoped only by Englishmen, there are in this class enough examples in contrarium, thus ateleological instances, to derange his conception. One of the strongest is presented by the unsuitableness of sea-water for drinking, in consequence of which man is never more exposed to the danger of dying of thirst than in the midst of the greatest mass of water on his planet. “Why, then, does the sea need to be salt?” let us ask our Englishman.

That in unorganised nature the final causes entirely withdraw into the background, so that an explanation from them alone is here no longer valid, but the efficient causes are rather indispensably required, depends upon the fact that the will which objectifies itself here also no longer appears in individuals which constitute a whole for themselves, but in forces of nature and their action, whereby end and means are too far separated for their relation to be clear and for us to recognise a manifestation of will in it. This already occurs in organised nature, in a certain degree, when the design is an external one, *i.e.*, the end lies in one individual and the means in another. Yet even here it remains unquestionable so long as the two belong to the same species, indeed it then becomes the more striking. Here we have first to count the reciprocally adapted organisation of the genitals of the two sexes, and then also many circumstances that assist the propagation of the species, for example, in the case of the *Lampyrus noctiluca* (the glowworm) the circumstance that only the male, which does not shine, has wings to enable it to seek out the female; the wingless female, on the other hand, since it only comes out in the evening, possesses the phosphorescent light, so that the male may be able to find it. Yet in the case of the *Lampyrus Italica* both sexes shine, which is an instance of the natural luxury of the South. But a striking, because quite special, example of the kind of design we are speaking of is afforded by the discovery made by Geoffroy St.

Hilaire, in his last years, of the more exact nature of the sucking apparatus of the cetacea. Since all sucking requires the action of respiration, it can only take place in the respirable medium itself, and not under water, where, however, the sucking young of the whale hangs on to the teats of the mother; now to meet this the whole mammary apparatus of the cetacea is so modified that it has become an injecting organ, and placed in the mouth of the young injects the milk into it without it requiring to suck. When, on the contrary, the individual that affords essential help to another belongs to an entirely different species, and even to another kingdom of nature, we will doubt this external design just as in unorganised nature; unless it is evident that the maintenance of the species depends upon it. But this is the case with many plants whose fructification only takes place by means of insects, which either bear the pollen to the stigma or bend the stamina to the pistil. The common barberry, many kinds of iris, and Aristolochia Clematitis cannot fructify themselves at all without the help of insects (*Chr. Cour. Sprengel, Entdecktes Geheimniss, &c.*, 1793; Wildenow, *Grundriss der Kräuterkunde*, 353). Very many diœcia, monœcia, and polygamia are in the same position. The reciprocal support which the plant and the insect worlds receive from each other will be found admirably described in Burdach's large Physiology, vol. i. § 263. He very beautifully adds: "This is no mechanical assistance, no make-shift, as if nature had made the plants yesterday, and had committed an error which she tries to correct to-day through the insect; it is rather a deep-lying sympathy between the plant and the animal worlds. It ought to reveal the identity of the two. Both, children of one mother, ought to subsist with each other and through each other." And further on: "But the organised world stands in such a sympathy with the unorganised world also," &c. A proof of this consensus naturæ is also afforded by the observation communicated in the second volume of the "Introduction into Entomology" by Kirby and Spence, that the insect eggs that pass the winter attached to the twigs of the trees, which serve as nourishment for their larvæ, are hatched exactly at the time at which the twig buds; thus, for example, the aphids of the birch a month earlier than that of the ash. Similarly, that the insects of perennial plants pass the winter upon these as eggs; but those of mere annuals, since they cannot do this, in the state of pupæ.

Three great men have entirely rejected teleology, or the explanation from final causes, and many small men have echoed them. These three are,

Lucretius, Bacon of Verulam, and Spinoza. But in the case of all three we know clearly enough the source of this aversion, namely, that they regarded it as inseparable from speculative theology, of which, however, they entertained so great a distrust (which Bacon indeed prudently sought to conceal) that they wanted to give it a wide berth. We find Leibnitz also entirely involved in this prejudice, for, with characteristic naïveté, he expresses it as something self-evident in his *Lettre à M. Nicaise* (*Spinozæ op. ed Paulus*, vol. ii. p. 672): “Les causes finales, ou ce qui est la même chose, la consideration de la sagesse divine dans l’ordre des choses.” (The devil also même chose!) At the same point of view we find, indeed, Englishmen even at the present day. The Bridgewater-Treatise-men — Lord Brougham, &c. — nay, even Richard Owen also, in his “*Ostéologie Comparée*,” thinks precisely as Leibnitz, which I have already found fault with in the first volume. To all these teleology is at once also theology, and at every instance of design recognised in nature, instead of thinking and learning to understand nature, they break at once into the childish cry, “Design! design!” then strike up the refrain of their old wives’ philosophy, and stop their ears against all rational arguments, such as, however, the great Hume has already advanced against them.<sup>5</sup>

The ignorance of the Kantian philosophy now, after seventy years, which is really a disgrace to Englishmen of learning, is principally responsible for this whole outcast position of the English; and this ignorance, again, depends, at least in great measure, upon the nefarious influence of the detestable English clergy, with whom stultification of every kind is a thing after their own hearts, so that only they may be able still to hold the English nation, otherwise so intelligent, involved in the most degrading bigotry; therefore, inspired by the basest obscurantism, they oppose with all their might the education of the people, the investigation of nature, nay, the advancement of all human knowledge in general; and both by means of their connections and by means of their scandalous, unwarrantable wealth, which increases the misery of the people, they extend their influence even to university teachers and authors, who accordingly (for example, Th. Brown, “On Cause and Effect”) resort to suppressions and perversions of every kind simply in order to avoid opposing even in a distant manner that “cold superstition” (as Pückler very happily designates their religion, or the current arguments in its favour).

But, on the other hand, the three great men of whom we are speaking, since they lived long before the dawn of the Kantian philosophy, are to be pardoned for their distrust of teleology on account of its origin; yet even Voltaire regarded the physico-theological proof as irrefutable. In order, however, to go into this somewhat more fully: first of all, the polemic of Lucretius (iv. 824-858) against teleology is so crude and clumsy that it refutes itself and convinces us of the opposite. But as regards Bacon (*De augm. scient.*, iii. 4), he makes, in the first place, no distinction with reference to the use of final causes between organised and unorganised nature (which is yet just the principal matter), for, in his examples of final causes, he mixes the two up together. Then he banishes final causes from physics to metaphysics; but the latter is for him, as it is still for many at the present day, identical with speculative theology. From this, then, he regards final causes as inseparable, and goes so far in this respect that he blames Aristotle because he has made great use of final causes, yet without connecting them with speculative theology (which I shall have occasion immediately especially to praise). Finally, Spinoza (*Eth.* i. *prop.* 36, *appendix*) makes it abundantly clear that he identifies teleology so entirely with physico-theology, against which he expresses himself with bitterness, that he explains *Natura nihil frustra agere: hoc est, quod in usum hominum non sit*: similarly, *Omnia naturalia tanquam ad suum utile media considerant, et credunt aliquem alium esse, qui illa media paraverit*; and also: *Hinc statuerunt, Deos omnia in usum hominum fecisse et dirigere*. Upon this, then, he bases his assertion: *Naturam finem nullum sibi præfixum habere et omnes causas finales nihil, nisi humana esse figmenta*. His aim merely was to block the path of theism; and he had quite rightly recognised the physico-theological proof as its strongest weapon. But it was reserved for Kant really to refute this proof, and for me to give the correct exposition of its material, whereby I have satisfied the maxim: *Est enim verum index sui et falsi*. But Spinoza did not know how else to help himself but by the desperate stroke of denying teleology itself, thus design in the works of nature — an assertion the monstrosity of which is at once evident to every one who has gained any accurate knowledge of organised nature. This limited point of view of Spinoza, together with his complete ignorance of nature, sufficiently prove his entire incompetence in this matter, and the folly of those who, upon his authority, believe they must judge contemptuously of final causes.

Aristotle, who just here shows his brilliant side, contrasts very advantageously with these modern philosophers. He goes unprejudiced to nature, knows of no physico-theology — such a thing has never entered his mind, — and he has never looked at the world for the purpose of seeing whether it was a bungled piece of work. He is in his heart pure from all this, for he also sets up hypotheses as to the origin of animals and men (*De generat. anim.*, iii. 11) without lighting upon the physico-theological train of thought. He always says: “ἡ φύσις ποιεῖ (natura facit), never ἡ φύσις πεποιηται” (natura facta est). But after he has truly and diligently studied nature, he finds that it everywhere proceeds teleologically, and he says: “ματὴν ὁρῶμεν οὐδὲν ποιοῦσαν τὴν φύσιν” (naturam nihil frustra facere cernimus), *De respir.*, c. 10; and in the books, *De partibus animalium*, which are a comparative anatomy: “Οὐδὲ περιεργὸν οὐδὲν, οὔτε ματὴν ἡ φύσις ποιεῖ. — Ἡ φύσις ἐνεκά τοῦ ποιεῖ πάντα. — Πανταχοῦ δὲ λεγόμεν τοδε τοῦδε ἐνεκά, ὅπου ἀν φαίνεται τέλος τι, πρὸς ὃ ἡ κίνησις περαίνεται; ὥστε εἶναι φανερόν, ὅτι ἐστὶ τι τοιοῦτον, ὃ δὴ καὶ καλούμεν φύσιν. Ἐπεὶ τὸ σῶμα ὄργανον; ἐνεκά τινος γὰρ ἕκαστον τῶν μορίων, ὁμοίως τε καὶ τὸ ὅλον.” (Nihil supervacaneum, nihil frustra natura facit. — Natura rei alicujus gratia facit omnia. — Rem autem hanc esse illius gratia asserere ubique solemus, quoties finem intelligimus aliquem, in quem motus terminetur; quocirca ejusmodi aliquid esse constat, quod Naturam vocamus. Est enim corpus instrumentum: nam membrum unumquodque rei alicujus gratia est, tum vero totum ipsum.) At greater length, p. 633 and 645 of the Berlin quarto edition, and also *De incessu animalium*, c. 2: “Ἡ φύσις οὐδὲν ποιεῖ ματὴν, ἀλλ’ αἰεὶ, ἐκ τῶν ἐνδεχομένων τῇ οὐσίᾳ, περὶ ἕκαστον γένος ζῶον τὸ ἀρίστον.” (Natura nihil frustra facit, sed semper ex iis, quæ cuique animalium generis essentiæ contingunt, id quod optimum est.) But he expressly recommends teleology at the end of the books *De generatione animalium*, and blames Democritus for having denied it, which is just what Bacon, in his prejudice, praises in him. Especially, however, in the “Physica,” ii. 8, p. 198, Aristotle speaks ex professo of final causes, and establishes them as the true principle of the investigation of nature. In fact, every good and regular mind must, in considering organised nature, hit upon teleology, but unless it is determined by the preconceived opinions, by no means either upon physico-theology or upon the anthro-po-teleology condemned by Spinoza. With regard to Aristotle generally, I wish further to

draw attention to the fact here, that his teaching, so far as it concerns unorganised nature, is very defective and unserviceable, as in the fundamental conceptions of mechanics and physics he accepts the most gross errors, which is the less pardonable, since before him the Pythagoreans and Empedocles had been upon the right path and had taught much better. Empedocles indeed, as we learn from Aristotle's second book, *De cælo* (c. 1, p. 284), had already grasped the conception of a tangential force arising from rotation, and counteracting gravity, which Aristotle again rejects. Quite the reverse, however, is Aristotle's relation to the investigation of organised nature. This is his field; here the wealth of his knowledge, the keenness of his observation, nay, sometimes the depth of his insight, astonish us. Thus, to give just one example, he already knew the antagonism in which in the ruminants the horns and the teeth of the upper jaw stand to each other, on account of which, therefore, the latter are wanting where the former are found, and conversely (*De partib. anim.*, iii. 2). Hence then, also his correct estimation of final causes.

## Chapter XXVII. On Instinct And Mechanical Tendency.

It is as if nature had wished, in the mechanical tendencies of animals, to give the investigator an illustrative commentary upon her works, according to final causes and the admirable design of her organised productions which is thereby introduced. For these mechanical tendencies show most clearly that creatures can work with the greatest decision and definiteness towards an end which they do not know, nay, of which they have no idea. Such, for instance, is the bird's nest, the spider's web, the ant-lion's pitfall, the ingenious bee-hive, the marvellous termite dwelling, &c., at least for those individual animals that carry them out for the first time; for neither the form of the perfected work nor the use of it can be known to them. Precisely so, however, does organising nature work; and therefore in the preceding chapter I gave the paradoxical explanation of the final cause, that it is a motive which acts without being known. And as in working from mechanical tendency that which is active is evidently and confessedly the will, so is it also really the will which is active in the working of organising nature.

One might say, the will of animal creatures is set in motion in two different ways: either by motivation or by instinct; thus from without, or from within; by an external occasion, or by an internal tendency; the former is explicable because it lies before us without, the latter is inexplicable because it is merely internal. But, more closely considered, the contrast between the two is not so sharp, indeed ultimately it runs back into a difference of degree. The motive also only acts under the assumption of an inner tendency, *i.e.*, a definite quality of will which is called its character. The motive in each case only gives to this a definite direction — individualises it for the concrete case. So also instinct, although a definite tendency of the will, does not act entirely, like a spring, from within; but it also waits for some external circumstance necessarily demanded for its action, which at least determines the time of its manifestation; such is, for the migrating bird, the season of the year; for the bird that builds its nest, the fact of pregnancy and the presence of the material for the nest; for the bee it is, for the beginning of the structure, the basket or the hollow tree, and for the following work many individually appearing circumstances; for the spider, it is a well-adapted corner; for the caterpillar, the suitable leaf;

for egg-laying insects, the for the most part very specially determined and often rare place, where the hatched larvæ will at once find their nourishment, and so on. It follows from this that in works of mechanical tendency it is primarily the instinct of these animals that is active, yet subordinated also to their intellect. The instinct gives the universal, the rule; the intellect the particular, the application, in that it directs the detail of the execution, in which therefore the work of these animals clearly adapts itself to the circumstances of the existing case. According to all this, the difference between instinct and mere character is to be fixed thus: Instinct is a character which is only set in motion by a quite specially determined motive, and on this account the action that proceeds from it is always exactly of the same kind; while the character which is possessed by every species of animal and every individual man is certainly a permanent and unalterable quality of will, which can yet be set in motion by very different motives, and adapts itself to these; and on account of this the action proceeding from it may, according to its material quality, be very different, but yet will always bear the stamp of the same character, and will therefore express and reveal this; so that for the knowledge of this character the material quality of the action in which it appears is essentially a matter of indifference. Accordingly we might explain instinct as a character which is beyond all measure one-sided and strictly determined. It follows from this exposition that being determined by mere motivation presupposes a certain width of the sphere of knowledge, and consequently a more fully developed intellect: therefore it is peculiar to the higher animals, quite pre-eminently, however, to man; while being determined by instinct only demands as much intellect as is necessary to apprehend the one quite specially determined motive, which alone and exclusively becomes the occasion for the manifestation of the instinct. Therefore it is found in the case of an exceedingly limited sphere of knowledge, and consequently, as a rule, and in the highest degree, only in animals of the lower classes, especially insects. Since, accordingly, the actions of these animals only require an exceedingly simple and small motivation from without, the medium of this, thus the intellect or the brain, is very slightly developed in them, and their outward actions are for the most part under the same guidance as the inner, follow upon mere stimuli, physiological functions, thus the ganglion system. This is, then, in their case excessively developed; their principal nerve-stem runs under the belly in the form of two cords, which at every



limb of the body form a ganglion little inferior to the brain in size, and, according to Cuvier, this nerve-stem is an analogue not so much of the spinal cord as of the great sympathetic nerve. According to all this, instinct and action through mere motivation, stand in a certain antagonism, in consequence of which the former has its maximum in insects, and the latter in man, and the actuation of other animals lies between the two in manifold gradations according as in each the cerebral or the ganglion system is preponderatingly developed. Just because the instinctive action and the ingenious contrivances of insects are principally directed from the ganglion system, if we regard them as proceeding from the brain alone, and wish to explain them accordingly, we fall into absurdities, because we then apply a false key. The same circumstance, however, imparts to their action a remarkable likeness to that of somnambulists, which indeed is also explained as arising from the fact that, instead of the brain, the sympathetic nerve has undertaken the conduct of the outward actions also; insects are accordingly, to a certain extent, natural somnambulists. Things which we cannot get at directly we must make comprehensible to ourselves by means of an analogy. What has just been referred to will accomplish this in a high degree when assisted by the fact that in Kieser's "*Tellurismus*" (vol. ii. p. 250) a case is mentioned "in which the command of the mesmerist to the somnambulist to perform a definite action in a waking state was carried out by him when he awoke, without remembering the command." Thus it was as if he must perform that action without rightly knowing why. Certainly this has the greatest resemblance to what goes on in the case of mechanical instincts in insects. The young spider feels that it must spin its web, although it neither knows nor understands the aim of it. We are also reminded here of the dæmon of Socrates, on account of which he had the feeling that he must leave undone some action expected of him, or lying near him, without knowing why — for his prophetic dream about it was forgotten. We have in our own day quite well-authenticated cases analogous to this; therefore I only briefly call these to mind. One had taken his passage on a ship, but when it was about to sail he positively would not go on board without being conscious of a reason; — the ship went down. Another goes with companions to a powder magazine; when he has arrived in its vicinity he absolutely will not go any further, but turns hastily back, seized with anxiety he knows not why; — the magazine blows up. A third upon the ocean feels moved one night, without any reason, not to undress, but lays

himself on the bed in his clothes and boots, and even with his spectacles on; — in the night the ship goes on fire, and he is among the few who save themselves in the boat. All this depends upon the dull after-effect of forgotten fatidical dreams, and gives us the key to an analogous understanding of instinct and mechanical tendencies.

On the other hand, as has been said, the mechanical tendencies of insects reflect much light upon the working of the unconscious will in the inner functions of the organism and in its construction. For without any difficulty we can see in the ant-hill or the beehive the picture of an organism explained and brought to the light of knowledge. In this sense Burdach says (*Physiologie*, vol. ii. p. 22): “The formation and depositing of the eggs is the part of the queen-bee, and the care for the cultivation of them falls to the workers; thus in the former the ovary, and in the latter the uterus, is individualised.” In the insect society, as in the animal organism, the *vita propria* of each part is subordinated to the life of the whole, and the care for the whole precedes that for particular existence; indeed the latter is only conditionally willed, the former unconditionally; therefore the individuals are even sacrificed occasionally for the whole, as we allow a limb to be taken off in order to save the whole body. Thus, for example, if the path is closed by water against the march of the ants, those in front boldly throw themselves in until their corpses are heaped up into a dam for those that follow. When the drones have become useless they are stung to death. Two queens in the hive are surrounded, and must fight with each other till one of them loses its life. The ant-mother bites its own wings off after it has been impregnated, for they would only be a hindrance to it in the work that is before it of tending the new family it is about to found under the earth (Kirby and Spence, vol. i.) As the liver will do nothing more than secrete gall for the service of the digestion, nay, will only itself exist for this end — and so with every other part — the working bees also will do nothing more than collect honey, secrete wax, and make cells for the brood of the queen; the drones nothing more than impregnate; the queen nothing but deposit eggs; thus all the parts work only for the maintenance of the whole which alone is the unconditional end, just like the parts of the organism. The difference is merely that in the organism the will acts perfectly blindly in its primary condition; in the insect society, on the other hand, the thing goes on already in the light of knowledge, to which, however, a decided co-operation and individual choice is only left in the accidents of detail, where

it gives assistance and adopts what has to be carried out to the circumstances. But the insects will the end as a whole without knowing it; just like organised nature working according to final causes; even the choice of the means is not as a whole left to their knowledge, but only the more detailed disposition of them. Just on this account, however, their action is by no means automatic, which becomes most distinctly visible if one opposes obstacles to their action. For example, the caterpillar spins itself in leaves without knowing the end; but if we destroy the web it skilfully repairs it. Bees adapt their hive at the first to the existing circumstances, and subsequent misfortunes, such as intentional destruction, they meet in the way most suitable to the special case (Kirby and Spence, *Introduc. to Entomol.*; Huber, *Des abeilles*). Such things excite our astonishment, because the apprehension of the circumstances and the adaptation to these is clearly a matter of knowledge; while we believe them capable once for all of the most ingenious preparation for the coming race and the distant future, well knowing that in this they are not guided by knowledge, for a forethought of that kind proceeding from knowledge demands an activity of the brain rising to the level of reason. On the other hand, the intellect even of the lower animals is sufficient for the modifying and arranging of the particular case according to the existing or appearing circumstances; because, guided by instinct, it has only to fill up the gaps which this leaves. Thus we see ants carry off their larvæ whenever the place is too damp, and bring them back again when it becomes dry. They do not know the aim of this, thus are not guided in it by knowledge; but the choice of the time at which the place is no longer suitable for the larvæ, and also of the place to which they now bring them, is left to their knowledge. I wish here also to mention a fact which some one related to me verbally from his own experience, though I have since found that Burdach quotes it from Gleditsch. The latter, in order to test the burying-beetle (*Necrophorus vespillo*), had tied a dead frog lying upon the ground to a string, the upper end of which was fastened to a stick stuck obliquely in the ground. Now after several burying-beetles had, according to their custom, undermined the frog, it could not, as they expected, sink into the ground; after much perplexed running hither and thither they undermined the stick also. To this assistance rendered to instinct, and that repairing of the works of mechanical tendency, we find in the organism the healing power of nature analogous, which not only heals wounds, replacing even bone and nerve

substance, but, if through the injury of a vein or nerve branch a connection is interrupted, opens a new connection by means of enlargement of other veins or nerves, nay, perhaps even by producing new branches; which further makes some other part or function take the place of a diseased part or function; in the case of the loss of an eye sharpens the other, or in the case of the loss of one of the senses sharpens all the rest; which even sometimes closes an intestinal wound, in itself fatal, by the adhesion of the mesentery or the peritoneum; in short, seeks to meet every injury and every disturbance in the most ingenious manner. If, on the other hand, the injury is quite incurable, it hastens to expedite death, and indeed the more so the higher is the species of the organism, thus the greater its sensibility. Even this has its analogue in the instinct of insects. The wasps, for instance, who through the whole summer have with great care and labour fed their larvæ on the produce of their plundering, but now, in October, see the last generation of them facing starvation, sting them to death (Kirby and Spence, vol. i. p. 374). Nay, still more curious and special analogies may be found; for example, this: if the female humble-bee (*Apis terrestris*, *bombylus*) lays eggs, the working humble-bees are seized with a desire to devour them, which lasts from six to eight hours and is satisfied unless the mother keeps them off and carefully guards the eggs. But after this time the working humble-bees show absolutely no inclination to eat the eggs even when offered to them; on the contrary, they now become the zealous tenders and nourishers of the larvæ now being hatched out. This may without violence be taken as an analogue of children's complaints, especially teething, in which it is just the future nourishers of the organism making an attack upon it which so often costs it its life. The consideration of all these analogies between organised life and the instinct, together with the mechanical tendencies of the lower animals, serves ever more to confirm the conviction that the will is the basis of the one as of the other, for it shows here also the subordinate rôle of knowledge in the action of the will, sometimes more, sometimes less, confined, and sometimes wanting altogether.

But in yet another respect instincts and the animal organisation reciprocally illustrate each other: through the anticipation of the future which appears in both. By means of instincts and mechanical tendencies animals care for the satisfaction of wants which they do not yet feel, nay, not only for their own wants, but even for those of the future brood. Thus

they work for an end which is as yet unknown to them. This goes so far, as I have illustrated by the example of the Bombex in “The Will in Nature” (second edit. p. 45, third edit. p. 47), that they pursue and kill in advance the enemies of their future eggs. In the same way we see the future wants of an animal, its prospective ends, anticipated in its whole corporisation by the organised implements for their attainment and satisfaction; from which, then, proceeds that perfect adaptation of the structure of every animal to its manner of life, that equipment of it with the needful weapons to attack its prey and to ward off its enemies, and that calculation of its whole form with reference to the element and the surroundings in which it has to appear as a pursuer, which I have fully described in my work on the will in nature under the rubric “Comparative Anatomy.” All these anticipations, both in the instinct and in the organisation of animals, we might bring under the conception of a knowledge a priori, if knowledge lay at their foundation at all. But this is, as we have shown, not the case. Their source lies deeper than the sphere of knowledge, in the will as the thing in itself, which as such remains free even from the forms of knowledge; therefore with reference to it time has no significance, consequently the future lies as near it as the present.

## Chapter XXVIII.<sup>6</sup> Characterisation Of The Will To Live.

Our second book closed with the question as to the goal and aim of that will which had shown itself to be the inner nature of all things in the world. The following remarks may serve to supplement the answer to this question given there in general terms, for they lay down the character of the will as a whole.

Such a characterisation is possible because we have recognised as the inner nature of the world something thoroughly real and empirically given. On the other hand, the very name “world-soul,” by which many have denoted that inner being, gives instead of this a mere *ens rationis*; for “soul” signifies an individual unity of consciousness which clearly does not belong to that nature, and in general, since the conception “soul” supposes knowing and willing in inseparable connection and yet independent of the animal organism, it is not to be justified, and therefore not to be used. The word should never be applied except in a metaphorical sense, for it is much more insidious than  $\psi\upsilon\chi\eta$  or *anima*, which signify breath.

Much more unsuitable, however, is the way in which so-called pantheists express themselves, whose whole philosophy consists chiefly in this, that they call the inner nature of the world, which is unknown to them, “God;” by which indeed they imagine they have achieved much. According to this, then, the world would be a theophany. But let one only look at it: this world of constantly needy creatures, who continue for a time only by devouring one another, fulfil their existence in anxiety and want, and often suffer terrible miseries, till at last they fall into the arms of death; whoever distinctly looks upon this will allow that Aristotle was right in saying: “ἡ φύσις δαίμονια, ἀλλ’ οὐ θεία ἐστὶ” (*Natura dæmonia est, non divina*), *De divinat.*, c. 2, p. 463; nay, he will be obliged to confess that a God who could think of changing Himself into such a world as this must certainly have been tormented by the devil. I know well that the pretended philosophers of this century follow Spinoza in this, and think themselves thereby justified. But Spinoza had special reasons for thus naming his one substance, in order, namely, to preserve at least the word, although not the thing. The stake of Giordano Bruno and of Vanini was still fresh in the memory; they also had been sacrificed to that God for whose honour incomparably more human sacrifices have bled than on the altars of all

heathen gods of both hemispheres together. If, then, Spinoza calls the world God, it is exactly the same thing as when Rousseau in the "*Contrat social*," constantly and throughout denotes the people by the word *le souverain*; we might also compare it with this, that once a prince who intended to abolish the nobility in his land, in order to rob no one of his own, hit upon the idea of ennobling all his subjects. Those philosophers of our day have certainly one other ground for the nomenclature we are speaking of, but it is no more substantial. In their philosophising they all start, not from the world or our consciousness of it, but from God, as something given and known; He is not their *quæsitum*, but their *datum*. If they were boys I would then explain to them that this is a *petitio principii*, but they know this as well as I do. But since Kant has shown that the path of the earlier dogmatism, which proceeded honestly, the path from the world to a God, does not lead there, these gentlemen now imagine they have found a fine way of escape and made it cunningly. Will the reader of a later age pardon me for detaining him with persons of whom he has never heard.

Every glance at the world, to explain which is the task of the philosopher, confirms and proves that will to live, far from being an arbitrary hypostasis or an empty word, is the only true expression of its inmost nature. Everything presses and strives towards existence, if possible organised existence, *i.e.*, life, and after that to the highest possible grade of it. In animal nature it then becomes apparent that will to live is the keynote of its being, its one unchangeable and unconditioned quality. Let any one consider this universal desire for life, let him see the infinite willingness, facility, and exuberance with which the will to live presses impetuously into existence under a million forms everywhere and at every moment, by means of fructification and of germs, nay, when these are wanting, by means of *generatio æquivoca*, seizing every opportunity, eagerly grasping for itself every material capable of life: and then again let him cast a glance at its fearful alarm and wild rebellion when in any particular phenomenon it must pass out of existence; especially when this takes place with distinct consciousness. Then it is precisely the same as if in this single phenomenon the whole world would be annihilated for ever, and the whole being of this threatened living thing is at once transformed into the most desperate struggle against death and resistance to it. Look, for example, at the incredible anxiety of a man in danger of his life, the rapid and serious participation in this of every witness of it, and the boundless rejoicing at his

deliverance. Look at the rigid terror with which a sentence of death is heard, the profound awe with which we regard the preparations for carrying it out, and the heartrending compassion which seizes us at the execution itself. We would then suppose there was something quite different in question than a few less years of an empty, sad existence, embittered by troubles of every kind, and always uncertain: we would rather be amazed that it was a matter of any consequence whether one attained a few years earlier to the place where after an ephemeral existence he has billions of years to be. In such phenomena, then, it becomes visible that I am right in declaring that the will to live is that which cannot be further explained, but lies at the foundation of all explanations, and that this, far from being an empty word, like the absolute, the infinite, the idea, and similar expressions, is the most real thing we know, nay, the kernel of reality itself.

But if now, abstracting for a while from this interpretation drawn from our inner being, we place ourselves as strangers over against nature, in order to comprehend it objectively, we find that from the grade of organised life upwards it has only one intention — that of the maintenance of the species. To this end it works, through the immense superfluity of germs, through the urgent vehemence of the sexual instinct, through its willingness to adapt itself to all circumstances and opportunities, even to the production of bastards, and through the instinctive maternal affection, the strength of which is so great that in many kinds of animals it even outweighs self-love, so that the mother sacrifices her life in order to preserve that of the young. The individual, on the contrary, has for nature only an indirect value, only so far as it is the means of maintaining the species. Apart from this its existence is to nature a matter of indifference; indeed nature even leads it to destruction as soon as it has ceased to be useful for this end. Why the individual exists would thus be clear; but why does the species itself exist? That is a question which nature when considered merely objectively cannot answer. For in vain do we seek by contemplating her for an end of this restless striving, this ceaseless pressing into existence, this anxious care for the maintenance of the species. The strength and time of the individuals are consumed in the effort to procure sustenance for themselves and their young, and are only just sufficient, sometimes even not sufficient, for this. Even if here and there a surplus of strength, and therefore of comfort — in the case of the one rational species also of knowledge — remains, this is much too insignificant to pass for the end of that whole process of nature.



The whole thing, when regarded thus purely objectively, and indeed as extraneous to us, looks as if nature was only concerned that of all her (Platonic) Ideas, *i.e.*, permanent forms, none should be lost. Accordingly, as if she had so thoroughly satisfied herself with the fortunate discovery and combination of these Ideas (for which the three preceding occasions on which she stocked the earth's surface with animals were only the preparation), that now her only fear is lest any one of these beautiful fancies should be lost, *i.e.*, lest any one of these forms should disappear from time and the causal series. For the individuals are fleeting as the water in the brook; the Ideas, on the contrary, are permanent, like its eddies: but the exhaustion of the water would also do away with the eddies. We would have to stop at this unintelligible view if nature were known to us only from without, thus were given us merely objectively, and we accepted it as it is comprehended by knowledge, and also as sprung from knowledge, *i.e.*, in the sphere of the idea, and were therefore obliged to confine ourselves to this province in solving it. But the case is otherwise, and a glance at any rate is afforded us into the interior of nature; inasmuch as this is nothing else than our own inner being, which is precisely where nature, arrived at the highest grade to which its striving could work itself up, is now by the light of knowledge found directly in self-consciousness. Here the will shows itself to us as something *toto genere* different from the idea, in which nature appears unfolded in all her (Platonic) Ideas; and it now gives us, at one stroke, the explanation which could never be found upon the objective path of the idea. Thus the subjective here gives the key for the exposition of the objective. In order to recognise, as something original and unconditioned, that exceedingly strong tendency of all animals and men to retain life and carry it on as long as possible — a tendency which was set forth above as characteristic of the subjective, or of the will — it is necessary to make clear to ourselves that this is by no means the result of any objective knowledge of the worth of life, but is independent of all knowledge; or, in other words, that those beings exhibit themselves, not as drawn from in front, but as impelled from behind.

If with this intention we first of all review the interminable series of animals, consider the infinite variety of their forms, as they exhibit themselves always differently modified according to their element and manner of life, and also ponder the inimitable ingenuity of their structure and mechanism, which is carried out with equal perfection in every

individual; and finally, if we take into consideration the incredible expenditure of strength, dexterity, prudence, and activity which every animal has ceaselessly to make through its whole life; if, approaching the matter more closely, we contemplate the untiring diligence of wretched little ants, the marvellous and ingenious industry of the bees, or observe how a single burying-beetle (*Necrophorus vespillo*) buries a mole of forty times its own size in two days in order to deposit its eggs in it and insure nourishment for the future brood (*Gleditsch, Physik. Bot. Œkon. Abhandl.*, iii. 220), at the same time calling to mind how the life of most insects is nothing but ceaseless labour to prepare food and an abode for the future brood which will arise from their eggs, and which then, after they have consumed the food and passed through the chrysalis state, enter upon life merely to begin again from the beginning the same labour; then also how, like this, the life of the birds is for the most part taken up with their distant and laborious migrations, then with the building of their nests and the collecting of food for the brood, which itself has to play the same rôle the following year; and so all work constantly for the future, which afterwards makes bankrupt; — then we cannot avoid looking round for the reward of all this skill and trouble, for the end which these animals have before their eyes, which strive so ceaselessly — in short, we are driven to ask: What is the result? what is attained by the animal existence which demands such infinite preparation? And there is nothing to point to but the satisfaction of hunger and the sexual instinct, or in any case a little momentary comfort, as it falls to the lot of each animal individual, now and then in the intervals of its endless need and struggle. If we place the two together, the indescribable ingenuity of the preparations, the enormous abundance of the means, and the insufficiency of what is thereby aimed at and attained, the insight presses itself upon us that life is a business, the proceeds of which are very far from covering the cost of it. This becomes most evident in some animals of a specially simple manner of life. Take, for example, the mole, that unwearied worker. To dig with all its might with its enormous shovel claws is the occupation of its whole life; constant night surrounds it; its embryo eyes only make it avoid the light. It alone is truly an animal nocturnum; not cats, owls, and bats, who see by night. But what, now, does it attain by this life, full of trouble and devoid of pleasure? Food and the begetting of its kind; thus only the means of carrying on and beginning anew the same doleful course in new individuals. In such examples it becomes clear that

there is no proportion between the cares and troubles of life and the results or gain of it. The consciousness of the world of perception gives a certain appearance of objective worth of existence to the life of those animals which can see, although in their case this consciousness is entirely subjective and limited to the influence of motives upon them. But the blind mole, with its perfect organisation and ceaseless activity, limited to the alternation of insect larvæ and hunger, makes the disproportion of the means to the end apparent. In this respect the consideration of the animal world left to itself in lands uninhabited by men is also specially instructive. A beautiful picture of this, and of the suffering which nature prepares for herself without the interference of man, is given by Humboldt in his "*Ansichten der Natur*" (second edition, p. 30 *et seq.*); nor does he neglect to cast a glance (p. 44) at the analogous suffering of the human race, always and everywhere at variance with itself. Yet in the simple and easily surveyed life of the brutes the emptiness and vanity of the struggle of the whole phenomenon is more easily grasped. The variety of the organisations, the ingenuity of the means, whereby each is adapted to its element and its prey contrasts here distinctly with the want of any lasting final aim; instead of which there presents itself only momentary comfort, fleeting pleasure conditioned by wants, much and long suffering, constant strife, bellum omnium, each one both a hunter and hunted, pressure, want, need, and anxiety, shrieking and howling; and this goes on in secula seculorum, or till once again the crust of the planet breaks. Yunglahn relates that he saw in Java a plain far as the eye could reach entirely covered with skeletons, and took it for a battlefield; they were, however, merely the skeletons of large turtles, five feet long and three feet broad, and the same height, which come this way out of the sea in order to lay their eggs, and are then attacked by wild dogs (*Canis rutilans*), who with their united strength lay them on their backs, strip off their lower armour, that is, the small shell of the stomach, and so devour them alive. But often then a tiger pounces upon the dogs. Now all this misery repeats itself thousands and thousands of times, year out, year in. For this, then, these turtles are born. For whose guilt must they suffer this torment? Wherefore the whole scene of horror? To this the only answer is: it is thus that the will to live objectifies itself.<sup>7</sup> Let one consider it well and comprehend it in all its objectifications; and then one will arrive at an understanding of its nature and of the world; but not if one frames general conceptions and builds card houses out of them. The

comprehension of the great drama of the objectification of the will to live, and the characterisation of its nature, certainly demands somewhat more accurate consideration and greater thoroughness than the dismissal of the world by attributing to it the title of God, or, with a silliness which only the German fatherland offers and knows how to enjoy, explaining it as the "Idea in its other being," in which for twenty years the simpletons of my time have found their unutterable delight. Certainly, according to pantheism or Spinozism, of which the systems of our century are mere travesties, all that sort of thing reels itself off actually without end, straight on through all eternity. For then the world is a God, *ens perfectissimum*, *i.e.*, nothing better can be or be conceived. Thus there is no need of deliverance from it; and consequently there is none. But why the whole tragi-comedy exists cannot in the least be seen; for it has no spectators, and the actors themselves undergo infinite trouble, with little and merely negative pleasure.

Let us now add the consideration of the human race. The matter indeed becomes more complicated, and assumes a certain seriousness of aspect; but the fundamental character remains unaltered. Here also life presents itself by no means as a gift for enjoyment, but as a task, a drudgery to be performed; and in accordance with this we see, in great and small, universal need, ceaseless cares, constant pressure, endless strife, compulsory activity, with extreme exertion of all the powers of body and mind. Many millions, united into nations, strive for the common good, each individual on account of his own; but many thousands fall as a sacrifice for it. Now senseless delusions, now intriguing politics, incite them to wars with each other; then the sweat and the blood of the great multitude must flow, to carry out the ideas of individuals, or to expiate their faults. In peace industry and trade are active, inventions work miracles, seas are navigated, delicacies are collected from all ends of the world, the waves engulf thousands. All strive, some planning, others acting; the tumult is indescribable. But the ultimate aim of it all, what is it? To sustain ephemeral and tormented individuals through a short span of time in the most fortunate case with endurable want and comparative freedom from pain, which, however, is at once attended with ennui; then the reproduction of this race and its striving. In this evident disproportion between the trouble and the reward, the will to live appears to us from this point of view, if taken objectively, as a fool, or subjectively, as a delusion, seized by which everything living works with the utmost exertion of its strength for something that is of no value. But when we

consider it more closely, we shall find here also that it is rather a blind pressure, a tendency entirely without ground or motive.

The law of motivation, as was shown in § 29 of the first volume, only extends to the particular actions, not to willing as a whole and in general. It depends upon this, that if we conceive of the human race and its action as a whole and universally, it does not present itself to us, as when we contemplate the particular actions, as a play of puppets who are pulled after the ordinary manner by threads outside them; but from this point of view, as puppets which are set in motion by internal clockwork. For if, as we have done above, one compares the ceaseless, serious, and laborious striving of men with what they gain by it, nay, even with what they ever can gain, the disproportion we have pointed out becomes apparent, for one recognises that that which is to be gained, taken as the motive-power, is entirely insufficient for the explanation of that movement and that ceaseless striving. What, then, is a short postponement of death, a slight easing of misery or deferment of pain, a momentary stilling of desire, compared with such an abundant and certain victory over them all as death? What could such advantages accomplish taken as actual moving causes of a human race, innumerable because constantly renewed, which unceasingly moves, strives, struggles, grieves, writhes, and performs the whole tragi-comedy of the history of the world, nay, what says more than all, perseveres in such a mock-existence as long as each one possibly can? Clearly this is all inexplicable if we seek the moving causes outside the figures and conceive the human race as striving, in consequence of rational reflection, or something analogous to this (as moving threads), after those good things held out to it, the attainment of which would be a sufficient reward for its ceaseless cares and troubles. The matter being taken thus, every one would rather have long ago said, "*Le jeu ne vaut pas la chandelle*," and have gone out. But, on the contrary, every one guards and defends his life, like a precious pledge intrusted to him under heavy responsibility, under infinite cares and abundant misery, even under which life is tolerable. The wherefore and the why, the reward for this, certainly he does not see; but he has accepted the worth of that pledge without seeing it, upon trust and faith, and does not know what it consists in. Hence I have said that these puppets are not pulled from without, but each bears in itself the clockwork from which its movements result. This is the will to live, manifesting itself as an untiring machine, an irrational tendency, which has not its sufficient reason

in the external world. It holds the individuals firmly upon the scene, and is the *primum mobile* of their movements; while the external objects, the motives, only determine their direction in the particular case; otherwise the cause would not be at all suitable to the effect. For, as every manifestation of a force of nature has a cause, but the force of nature itself none, so every particular act of will has a motive, but the will in general has none: indeed at bottom these two are one and the same. The will, as that which is metaphysical, is everywhere the boundary-stone of every investigation, beyond which it cannot go. From the original and unconditioned nature of the will, which has been proved, it is explicable that man loves beyond everything else an existence full of misery, trouble, pain, and anxiety, and, again, full of ennui, which, if he considered and weighed it purely objectively, he would certainly abhor, and fears above all things the end of it, which is yet for him the one thing certain.<sup>8</sup> Accordingly we often see a miserable figure, deformed and shrunk with age, want, and disease, implore our help from the bottom of his heart for the prolongation of an existence, the end of which would necessarily appear altogether desirable if it were an objective judgment that determined here. Thus instead of this it is the blind will, appearing as the tendency to life, the love of life, and the sense of life; it is the same which makes the plants grow. This sense of life may be compared to a rope which is stretched above the puppet-show of the world of men, and on which the puppets hang by invisible threads, while apparently they are supported only by the ground beneath them (the objective value of life). But if the rope becomes weak the puppet sinks; if it breaks the puppet must fall, for the ground beneath it only seemed to support it: *i.e.*, the weakening of that love of life shows itself as hypochondria, spleen, melancholy: its entire exhaustion as the inclination to suicide, which now takes place on the slightest occasion, nay, for a merely imaginary reason, for now, as it were, the man seeks a quarrel with himself, in order to shoot himself dead, as many do with others for a like purpose; — indeed, upon necessity, suicide is resorted to without any special occasion. (Evidence of this will be found in Esquirol, *Des maladies mentales*, 1838.) And as with the persistence in life, so is it also with its action and movement. This is not something freely chosen; but while every one would really gladly rest, want and ennui are the whips that keep the top spinning. Therefore the whole and every individual bears the stamp of a forced condition; and every one, in that, inwardly weary, he longs for rest, but yet

must press forward, is like his planet, which does not fall into the sun only because a force driving it forward prevents it. Therefore everything is in continual strain and forced movement, and the course of the world goes on, to use an expression of Aristotle's (*De cælo*, ii. 13), "οὐ φύσει, ἀλλὰ βίᾳ" (Motu, non naturali sed violento). Men are only apparently drawn from in front; really they are pushed from behind; it is not life that tempts them on, but necessity that drives them forward. The law of motivation is, like all causality, merely the form of the phenomenon. We may remark in passing that this is the source of the comical, the burlesque, the grotesque, the ridiculous side of life; for, urged forward against his will, every one bears himself as best he can, and the straits that thus arise often look comical enough, serious as is the misery which underlies them.

In all these considerations, then, it becomes clear to us that the will to live is not a consequence of the knowledge of life, is in no way a conclusio ex præmissis, and in general is nothing secondary. Rather, it is that which is first and unconditioned, the premiss of all premisses, and just on that account that from which philosophy must start, for the will to live does not appear in consequence of the world, but the world in consequence of the will to live.

I scarcely need to draw attention to the fact that the considerations with which we now conclude the second book already point forcibly to the serious theme of the fourth book, indeed would pass over into it directly if it were not that my architectonic symmetry makes it necessary that the third book, with its fair contents, should come between, as a second consideration of the world as idea, the conclusion of which, however, again points in the same direction.

## **Supplements to the Third Book.**

“Et is similis spectatori est, quad ab omni separatus spectaculum videt.”

— Oupnekhat, vol. i. p. 304.



## Chapter XXIX.<sup>9</sup> On The Knowledge Of The Ideas.

The intellect, which has hitherto only been considered in its original and natural condition of servitude under the will, appears in the third book in its deliverance from that bondage; with regard to which, however, it must at once be observed that we have not to do here with a lasting emancipation, but only with a brief hour of rest, an exceptional and indeed only momentary release from the service of the will. As this subject has been treated with sufficient fulness in the first volume, I have here only to add a few supplementary remarks.

As, then, was there explained, the intellect in its activity in the service of the will, thus in its natural function, knows only the mere relations of things; primarily to the will itself, to which it belongs, whereby they become motives of the will; but then also, just for the sake of the completeness of this knowledge, the relations of things to each other. This last knowledge first appears in some extent and importance in the human intellect; in the case of the brutes, on the other hand, even where the intellect is considerably developed, only within very narrow limits. Clearly even the apprehension of the relations which things have to each other only takes place, indirectly, in the service of the will. It therefore forms the transition to the purely objective knowledge, which is entirely independent of the will; it is scientific knowledge, the latter is artistic knowledge. If many and various relations of an object are immediately apprehended, from these the peculiar and proper nature of the object appears ever more distinctly, and gradually constructs itself out of mere relations: although it itself is entirely different from them. In this mode of apprehension the subjection of the intellect to the will at once becomes ever more indirect and less. If the intellect has strength enough to gain the preponderance, and let go altogether the relations of things to the will, in order to apprehend, instead of them, the purely objective nature of a phenomenon, which expresses itself through all relations, it also forsakes, along with the service of the will, the apprehension of mere relations, and thereby really also that of the individual thing as such. It then moves freely, no longer belonging to a will. In the individual thing it knows only the essential, and therefore its whole species; consequently it now has for its object the Ideas, in my sense, which agrees with the original, Platonic meaning of this grossly misused

word; thus the permanent, unchanging forms, independent of the temporal existence of the individuals, the species rerum, which really constitute what is purely objective in the phenomena. An Idea so apprehended is not yet indeed the essence of the thing in itself, just because it has sprung from knowledge of mere relations; yet, as the result of the sum of all the relations, it is the peculiar character of the thing, and thereby the complete expression of the essence which exhibits itself as an object of perception, comprehended, not in relation to an individual will, but as it expresses itself spontaneously, whereby indeed it determines all its relations, which till then alone were known. The Idea is the root point of all these relations, and thereby the complete and perfect phenomenon, or, as I have expressed it in the text, the adequate objectivity of the will at this grade of its manifestation. Form and colour, indeed, which in the apprehension of the Idea by perception are what is immediate, belong at bottom not to the Idea itself, but are merely the medium of its expression; for, strictly speaking, space is as foreign to it as time. In this sense the Neo-Platonist Olympiodorus already says in his commentary on Plato's Alcibiades (Kreuzer's edition of Proclus and Olympiodorus, vol. ii. p. 82): "το εἶδος μεταδεδωκε μὲν τῆς μορφῆς τῇ ὕλῃ ἀμερεῖς δὲ οὐ μετελάβεν ἐξ αὐτῆς τοῦ δεαστατοῦ." *i.e.*, the Idea, in itself unextended, imparted certainly the form to the matter, but first assumed extension from it. Thus, as was said, the Ideas reveal not the thing in itself, but only the objective character of things, thus still only the phenomenon; and we would not even understand this character if the inner nature of things were not otherwise known to us at least obscurely and in feeling. This nature itself cannot be understood from the Ideas, nor in general through any merely objective knowledge; therefore it would remain an eternal secret if we were not able to approach it from an entirely different side. Only because every knowing being is also an individual, and thereby a part of nature, does the approach to the inner being of nature stand open to him in his own self-consciousness, where, as we have found, it makes itself known in the most immediate manner as will.

Now what the Platonic Idea is, regarded as a merely objective image, mere form, and thereby lifted out of time and all relations — that, taken empirically and in time, is the species or kind. This, then, is the empirical correlative of the Idea. The Idea is properly eternal, but the species is of endless duration, although its appearance upon one planet may become extinct. Even the names of the two pass over into each other: ἰδεα, εἶδος,

species, kind. The Idea is the species, but not the genus: therefore the species are the work of nature, the genera the work of man; they are mere conceptions. There are species naturales, but only genera logica. Of manufactured articles there are no Ideas, but only conceptions; thus genera logica, and their subordinate classes are species logicæ. To what is said in this reference in vol. i. § 41, I will add here that Aristotle also (*Metaph.* i. 9 and xiii. 5) says that the Platonists admitted no ideas of manufactured articles: “ὅιον οικία, και δακτυλιος, ὧν ου φασιν ειναι ειδη” (Ut domus et annulus, quorum ideas dari negant). With which compare the Scholiast, p. 562, 563 of the Berlin quarto edition. Aristotle further says (*Metaph.* xi. 3): “αλλ ειπερ (Supple., ειδη εστι) επι των φυσει (εστι) διο δη ου κακως ὁ Πλατων εφη, ὅτι ειδη εστι ὅποσα φυσει” (Si quidem ideæ sunt, in iis sunt, quæ natura fiunt: propter quod non male Plato dixit, quod species eorum sunt, quæ natura sunt). On which the Scholiast remarks, p. 800: “και τουτο αρεσκει και αυτοις τοις τας ιδεας θεμενοις; των γαρ ὑπο τεχνης γινομενων ιδεας ειναι ουκ ελεγον, αλλα των ὑπο φυσεως” (Hoc etiam ipsis ideas statuentibus placet: non enim arte factorum ideas dari ajebant, sed natura procreatorum). For the rest, the doctrine of Ideas originated with the Pythagoreans, unless we distrust the assertion of Plutarch in the book, *De placitis philosophorum*, L. i. c. 3.

The individual is rooted in the species, and time in eternity. And as every individual is so only because it has the nature of its species in itself, so also it has only temporal existence because it is in eternity. In the following book a special chapter is devoted to the life of the species.

In § 49 of the first volume I have sufficiently brought out the difference between the Idea and the conception. Their resemblance, on the other hand, rests upon the following ground: The original and essential unity of an Idea becomes broken up into the multiplicity of individual things through the perception of the knowing individual, which is subject to sensuous and cerebral conditions. But that unity is then restored through the reflection of the reason, yet only in abstracto, as a concept, universale, which indeed is equal to the Idea in extension, but has assumed quite a different form, and has thereby lost its perceptible nature, and with this its thorough determinateness. In this sense (but in no other) we might, in the language of the Scholastics, describe the Ideas as universalia ante rem, the conceptions as universalia post rem. Between the two stand the individual things, the knowledge of which is possessed also by the brutes. Without doubt the

realism of the Scholastics arose from the confusion of the Platonic Ideas, to which, since they are also the species, an objective real being can certainly be attributed, with the mere concepts to which the Realists now wished to attribute such a being, and thereby called forth the victorious opposition of Nominalism.

## Chapter XXX.<sup>10</sup> On The Pure Subject Of Knowledge.

The comprehension of an Idea, the entrance of it into our consciousness, is only possible by means of a change in us, which might also be regarded as an act of self-denial; for it consists in this, that knowledge turns away altogether from our own will, thus now leaves out of sight entirely the valuable pledge intrusted to it, and considers things as if they could never concern the will at all. For thus alone does knowledge become a pure mirror of the objective nature of things. Knowledge conditioned in this way must lie at the foundation of every genuine work of art as its origin. The change in the subject which is required for this cannot proceed from the will, just because it consists in the elimination of all volition; thus it can be no act of the will, *i.e.*, it cannot lie in our choice. On the contrary, it springs only from a temporary preponderance of the intellect over the will, or, physiologically considered, from a strong excitement of the perceptive faculty of the brain, without any excitement of the desires or emotions. To explain this somewhat more accurately I remind the reader that our consciousness has two sides; partly, it is a consciousness of our own selves, which is the will; partly a consciousness of other things, and as such primarily, knowledge, through perception, of the external world, the apprehension of objects. Now the more one side of the whole consciousness comes to the front, the more the other side withdraws. Accordingly, the consciousness of other things, thus knowledge of perception, becomes the more perfect, *i.e.*, the more objective, the less we are conscious of ourselves at the time. Here exists an actual antagonism. The more we are conscious of the object, the less we are conscious of the subject; the more, on the other hand, the latter occupies our consciousness, the weaker and more imperfect is our perception of the external world. The state which is required for pure objectivity of perception has partly permanent conditions in the perfection of the brain and the general physiological qualities favourable to its activity, partly temporary conditions, inasmuch as such a state is favoured by all that increases the attention and heightens the susceptibility of the cerebral nervous system, yet without exciting any passion. One must not think here of spirituous drinks or opium; what is rather required is a night of quiet sleep, a cold bath, and all that procures for the brain activity an unforced predominance by quieting the circulation and

calming the passions. It is especially these natural means of furthering the cerebral nervous activity which bring it about, certainly so much the better the more developed and energetic in general the brain is, that the object separates itself ever more from the subject, and finally introduces the state of pure objectivity of perception, which of itself eliminates the will from consciousness, and in which all things stand before us with increased clearness and distinctness, so that we are conscious almost only of them and scarcely at all of ourselves; thus our whole consciousness is almost nothing more than the medium through which the perceived object appears in the world as an idea. Thus it is necessary for pure, will-less knowledge that the consciousness of ourselves should vanish, since the consciousness of other things is raised to such a pitch. For we only apprehend the world in a purely objective manner when we no longer know that we belong to it; and all things appear the more beautiful the more we are conscious merely of them and the less we are conscious of ourselves. Since now all suffering proceeds from the will, which constitutes the real self, with the withdrawal of this side of consciousness all possibility of suffering is also abolished; therefore the condition of the pure objectivity of perception is one which throughout gives pleasure; and hence I have shown that in it lies one of the two constituent elements of æsthetic satisfaction. As soon, on the other hand, as the consciousness of our own self, thus subjectivity, *i.e.*, the will, again obtains the upper hand, a proportional degree of discomfort or unrest also enters; of discomfort, because our corporeality (the organism which in itself is the will) is again felt; of unrest, because the will, on the path of thought, again fills the consciousness through wishes, emotions, passions, and cares. For the will, as the principle of subjectivity, is everywhere the opposite, nay, the antagonist of knowledge. The greatest concentration of subjectivity consists in the act of will proper, in which therefore we have the most distinct consciousness of our own self. All other excitements of the will are only preparations for this; the act of will itself is for subjectivity what for the electric apparatus is the passing of the spark. Every bodily sensation is in itself an excitement of the will, and indeed oftener of the *noluntas* than of the *voluntas*. The excitement of the will on the path of thought is that which occurs by means of motives; thus here the subjectivity is awakened and set in play by the objectivity itself. This takes place whenever any object is apprehended no longer in a purely objective manner, thus without participation in it, but, directly or indirectly, excites desire or

aversion, even if it is only by means of a recollection, for then it acts as a motive in the widest sense of the word.

I remark here that abstract thinking and reading, which are connected with words, belong indeed in the wider sense to the consciousness of other things, thus to the objective employment of the mind; yet only indirectly, by means of conceptions. But the latter are the artificial product of the reason, and are therefore already a work of intention. Moreover, the will is the ruler of all abstract exercise of the mind, for, according to its aims, it imparts the direction, and also fixes the attention; therefore such mental activity is always accompanied by some effort; and this presupposes the activity of the will. Thus complete objectivity of consciousness does not exist with this kind of mental activity, as it accompanies the æsthetic apprehension, *i.e.*, the knowledge of the Ideas, as a condition.

In accordance with the above, the pure objectivity of perception, by virtue of which no longer the individual thing as such, but the Idea of its species is known, is conditioned by the fact that one is no longer conscious of oneself, but only of the perceived objects, so that one's own consciousness only remains as the supporter of the objective existence of these objects. What increases the difficulty of this state, and therefore makes it more rare, is, that in it the accident (the intellect) overcomes and annuls the substance (the will), although only for a short time. Here also lies the analogy and, indeed, the relationship of this with the denial of the will expounded at the end of the following book. Although knowledge, as was shown in the preceding book, is sprung from the will and is rooted in the manifestation of the will, the organism, yet it is just by the will that its purity is disturbed, as the flame is by the fuel and its smoke. It depends upon this that we can only apprehend the purely objective nature of things, the Ideas which appear in them, when we have ourselves no interest in them, because they stand in no relation to our will. From this, again, it arises that the Ideas of anything appeal to us more easily from a work of art than from reality. For what we behold only in a picture or in poetry stands outside all possibility of having any relation to our will; for in itself it exists only for knowledge and appeals immediately to knowledge alone. On the other hand, the apprehension of Ideas from reality assumes some measure of abstraction from our own volition, arising above its interests which demands a special power of the intellect. In a high degree, and for some duration, this belongs only to genius, which consists indeed in this, that a

greater measure of the power of knowledge exists than is required for the service of an individual will, and this surplus becomes free, and now comprehends the world without reference to the will. Thus that the work of art facilitates so greatly the apprehension of the Ideas, in which æsthetic satisfaction consists, depends not merely upon the fact that art, by giving prominence to what is essential and eliminating what is unessential, presents the things more distinctly and characteristically, but just as much on the fact that the absolute silence of the will, which is demanded for the purely objective comprehension of the nature of the things, is attained with the greatest certainty when the perceived object itself lies entirely outside the province of things which are capable of having a relation to the will, because it is nothing real, but a mere picture. Now this holds good, not only of the works of plastic and pictorial art, but also of poetry; the effect of which is also conditioned by indifferent, will-less, and thereby purely objective apprehension. It is exactly this which makes a perceived object picturesque, an event of actual life poetical; for it is only this that throws over the objects of the real world that magic gleam which in the case of sensibly perceived objects is called the picturesque, and in the case of those which are only perceived in imagination is called the poetical. If poets sing of the blithe morning, the beautiful evening, the still moonlight night, and many such things, the real object of their praise is, unknown to themselves, the pure subject of knowledge which is called forth by those beauties of nature, and on the appearance of which the will vanishes from consciousness, and so that peace of heart enters which, apart from this, is unattainable in the world. How otherwise, for example, could the verse —

“Nox erat, at cœlo fulgebat luna sereno,  
Inter minora sidera,”

affect us so beneficently, nay, so magically? Further, that the stranger or the mere passing traveller feels the picturesque or poetical effect of objects which are unable to produce this effect upon those who live among them may be explained from the fact that the novelty and complete strangeness of the objects of such an indifferent, purely objective apprehension are favourable to it. Thus, for example, the sight of an entirely strange town often makes a specially agreeable impression upon the traveller, which it by no means produces in the inhabitant of it; for it arises from the fact that the former, being out of all relation to this town and its inhabitants, perceives it



purely objectively. Upon this depends partly the pleasure of travelling. This seems also to be the reason why it is sought to increase the effect of narrative or dramatic works by transferring the scene to distant times or lands: in Germany, to Italy or Spain; in Italy, to Germany, Poland, or even Holland. If now perfectly objective, intuitive apprehension, purified from all volition, is the condition of the enjoyment of æsthetic objects, so much the more is it the condition of their production. Every good picture, every genuine poem, bears the stamp of the frame of mind described. For only what has sprung from perception, and indeed from purely objective perception, or is directly excited by it, contains the living germ from which genuine and original achievements can grow up: not only in plastic and pictorial art, but also in poetry, nay, even in philosophy. The *punctum saliens* of every beautiful work, of every great or profound thought, is a purely objective perception. Such perception, however, is absolutely conditioned by the complete silence of the will, which leaves the man simply the pure subject of knowledge. The natural disposition for the predominance of this state is genius.

With the disappearance of volition from consciousness, the individuality also, and with it its suffering and misery, is really abolished. Therefore I have described the pure subject of knowledge which then remains over as the eternal eye of the world, which, although with very different degrees of clearness, looks forth from all living creatures, untouched by their appearing and passing away, and thus, as identical with itself, as constantly one and the same, is the supporter of the world of permanent Ideas, *i.e.*, of the adequate objectivity of the will; while the individual subject, whose knowledge is clouded by the individuality which springs from the will, has only particular things as its object, and is transitory as these themselves. In the sense here indicated a double existence may be attributed to every one. As will, and therefore as individual, he is only one, and this one exclusively, which gives him enough to do and to suffer. As the purely objective perceiver, he is the pure subject of knowledge in whose consciousness alone the objective world has its existence; as such he is all things so far as he perceives them. and in him is their existence without burden or inconvenience. It is his existence, so far as it exists in his idea; but it is there without will. So far, on the other hand, as it is will, it is not in him. It is well with every one when he is in that state in which he is all things; it is ill with him when in the state in which he is exclusively one. Every state, every

man, every scene of life, requires only to be purely objectively apprehended and be made the subject of a sketch, whether with pencil or with words, in order to appear interesting, charming, and enviable; but if one is in it, if one is it oneself, then (it is often a case of) may the devil endure it. Therefore Goethe says —

“What in life doth only grieve us,  
That in art we gladly see.”

There was a period in the years of my youth when I was always trying to see myself and my action from without, and picture it to myself; probably in order to make it more enjoyable to me.

As I have never spoken before on the subject I have just been considering, I wish to add a psychological illustration of it.

In the immediate perception of the world and of life we consider things, as a rule, merely in their relations, consequently according to their relative and not their absolute nature and existence. For example, we will regard houses, ships, machines, and the like with the thought of their end and their adaptation to it; men, with the thought of their relation to us, if they have any such; and then with that of their relations to each other, whether in their present action or with regard to their position and business, judging perhaps their fitness for it, &c. Such a consideration of the relations we can follow more or less far to the most distant links of their chain: the consideration will thereby gain in accuracy and extent, but in its quality and nature it remains the same. It is the consideration of things in their relations, nay, by means of these, thus according to the principle of sufficient reason. Every one, for the most part and as a rule, is given up to this method of consideration; indeed I believe that most men are capable of no other. But if, as an exception, it happens that we experience a momentary heightening of the intensity of our intuitive intelligence, we at once see things with entirely different eyes, in that we now apprehend them no longer according to their relations, but according to that which they are in and for themselves, and suddenly perceive their absolute existence apart from their relative existence. At once every individual represents its species; and accordingly we now apprehend the universal of every being. Now what we thus know are the Ideas of things; but out of these there now speaks a higher wisdom than that which knows of mere relations. And we also have then passed out of the relations, and have thus become the pure subject of knowledge. But

what now exceptionally brings about this state must be internal physiological processes, which purify the activity of the brain, and heighten it to such a degree that a sudden spring-tide of activity like this ensues. The external conditions of this are that we remain completely strange to the scene to be considered, and separated from it, and are absolutely not actively involved in it.

In order to see that a purely objective, and therefore correct, comprehension of things is only possible when we consider them without any personal participation in them, thus when the will is perfectly silent, let one call to mind how much every emotion or passion disturbs and falsifies our knowledge, indeed how every inclination and aversion alters, colours, and distorts not only the judgment, but even the original perception of things. Let one remember how when we are gladdened by some fortunate occurrence the whole world at once assumes a bright colour and a smiling aspect, and, on the contrary, looks gloomy and sad when we are pressed with cares; also, how even a lifeless thing, if it is to be made use of in doing something which we abhor, seems to have a hideous physiognomy; for example, the scaffold, the fortress, to which we have been brought, the surgeon's cases of instruments; the travelling carriage of our loved one, &c., nay, numbers, letters, seals, may seem to grin upon us horribly and affect us as fearful monstrosities. On the other hand, the tools for the accomplishment of our wishes at once appear to us agreeable and pleasing; for example, the hump-backed old woman with the love-letter, the Jew with the louis d'ors, the rope-ladder to escape by, &c. As now here the falsification of the idea through the will in the case of special abhorrence or love is unmistakable, so is it present in a less degree in every object which has any even distant relation to our will, that is, to our desire or aversion. Only when the will with its interests has left consciousness, and the intellect freely follows its own laws, and as pure subject mirrors the objective world, yet in doing so, although spurred on by no volition, is of its own inclination in the highest state of tension and activity, do the colours and forms of things appear in their true and full significance. Thus it is from such comprehension alone that genuine works of art can proceed whose permanent worth and ever renewed approval arises simply from the fact that they express the purely objective element, which lies at the foundation of and shines through the different subjective, and therefore distorted, perceptions, as that which is common to them all and alone stands fast; as it

were the common theme of all those subjective variations. For certainly the nature which is displayed before our eyes exhibits itself very differently in different minds; and as each one sees it so alone can he repeat it, whether with the pencil or the chisel, or with words and gestures on the stage. Objectivity alone makes one capable of being an artist; but objectivity is only possible in this way, that the intellect, separated from its root the will, moves freely, and yet acts with the highest degree of energy.

To the youth whose perceptive intellect still acts with fresh energy nature often exhibits itself with complete objectivity, and therefore with perfect beauty. But the pleasure of such a glance is sometimes disturbed by the saddening reflection that the objects present which exhibit themselves in such beauty do not stand in a personal relation to this will, by virtue of which they could interest and delight him; he expects his life in the form of an interesting romance. "Behind that jutting cliff the well-mounted band of friends should await me, — beside that waterfall my love should rest; this beautifully lighted building should be her dwelling, and that vine-clad window hers; — but this beautiful world is for me a desert!" and so on. Such melancholy youthful reveries really demand something exactly contradictory to themselves; for the beauty with which those objects present themselves depends just upon the pure objectivity, *i.e.*, disinterestedness of their perception, and would therefore at once be abolished by the relation to his own will which the youth painfully misses, and thus the whole charm which now affords him pleasure, even though alloyed with a certain admixture of pain, would cease to exist. The same holds good, moreover, of every age and every relation; the beauty of the objects of a landscape which now delights us would vanish if we stood in personal relations to them, of which we remained always conscious. Everything is beautiful only so long as it does not concern us. (We are not speaking here of sensual passion, but of æsthetic pleasure.) Life is never beautiful, but only the pictures of life are so in the transfiguring mirror of art or poetry; especially in youth, when we do not yet know it. Many a youth would receive great peace of mind if one could assist him to this knowledge.

Why has the sight of the full moon such a beneficent, quieting, and exalting effect? Because the moon is an object of perception, but never of desire:

"The stars we yearn not after  
Delight us with their glory." — G.

Further, it is sublime, *i.e.*, it induces a lofty mood in us, because, without any relation to us, it moves along for ever strange to earthly doings, and sees all while it takes part in nothing. Therefore, at the sight of it the will, with its constant neediness, vanishes from consciousness, and leaves a purely knowing consciousness behind. Perhaps there is also mingled here a feeling that we share this sight with millions, whose individual differences are therein extinguished, so that in this perception they are one, which certainly increases the impression of the sublime. Finally, this is also furthered by the fact that the moon lights without heating, in which certainly lies the reason why it has been called chaste and identified with Diana. In consequence of this whole beneficent impression upon our feeling, the moon becomes gradually our bosom friend. The sun, again, never does so; but is like an over-plenteous benefactor whom we can never look in the face.

The following remark may find room here as an addition to what is said in § 38 of the first volume on the æsthetic pleasure afforded by light, reflection, and colours. The whole immediate, thoughtless, but also unspeakable, pleasure which is excited in us by the impression of colours, strengthened by the gleam of metal, and still more by transparency, as, for example, in coloured windows, and in a greater measure by means of the clouds and their reflection at sunset, — ultimately depends upon the fact that here in the easiest manner, almost by a physical necessity, our whole interest is won for knowledge, without any excitement of our will, so that we enter the state of pure knowing, although for the most part this consists here in a mere sensation of the affection of the retina, which, however, as it is in itself perfectly free from pain or pleasure, and therefore entirely without direct influence on the will, thus belongs to pure knowledge.

## Chapter XXXI.<sup>11</sup> On Genius.

What is properly denoted by the name genius is the predominating capacity for that kind of knowledge which has been described in the two preceding chapters, the knowledge from which all genuine works of art and poetry, and even of philosophy, proceed. Accordingly, since this has for its objects the Platonic Ideas, and these are not comprehended in the abstract, but only perceptibly, the essence of genius must lie in the perfection and energy of the knowledge of perception. Corresponding to this, the works which we hear most decidedly designated works of genius are those which start immediately from perception and devote themselves to perception; thus those of plastic and pictorial art, and then those of poetry, which gets its perceptions by the assistance of the imagination. The difference between genius and mere talent makes itself noticeable even here. For talent is an excellence which lies rather in the greater versatility and acuteness of discursive than of intuitive knowledge. He who is endowed with talent thinks more quickly and more correctly than others; but the genius beholds another world from them all, although only because he has a more profound perception of the world which lies before them also, in that it presents itself in his mind more objectively, and consequently in greater purity and distinctness.

The intellect is, according to its destination, merely the medium of motives; and in accordance with this it originally comprehends nothing in things but their relations to the will, the direct, the indirect, and the possible. In the case of the brutes, where it is almost entirely confined to the direct relations, the matter is just on that account most apparent: what has no relation to their will does not exist for them. Therefore we sometimes see with surprise that even clever animals do not observe at all something conspicuous to them; for example, they show no surprise at obvious alterations in our person and surroundings. In the case of normal men the indirect, and even the possible, relations to the will are added, the sum of which make up the total of useful knowledge; but here also knowledge remains confined to the relations. Therefore the normal mind does not attain to an absolutely pure, objective picture of things, because its power of perception, whenever it is not spurred on by the will and set in motion, at

once becomes tired and inactive, because it has not enough energy of its own elasticity and without an end in view to apprehend the world in a purely objective manner. Where, on the other hand, this takes place — where the brain has such a surplus of the power of ideation that a pure, distinct, objective image of the external world exhibits itself without any aim; an image which is useless for the intentions of the will, indeed, in the higher degrees, disturbing, and even injurious to them — there, the natural disposition, at least, is already present for that abnormality which the name genius denotes, which signifies that here a genius foreign to the will, *i.e.*, to the I proper, as it were coming from without, seems to be active. But to speak without a figure: genius consists in this, that the knowing faculty has received a considerably greater development than the service of the will, for which alone it originally appeared, demands. Therefore, strictly speaking, physiology might to a certain extent class such a superfluity of brain activity, and with it of brain itself, among the monstra per excessum, which, it is well known, it co-ordinates with monstra per defectum and those per situm mutatum. Thus genius consists in an abnormally large measure of intellect, which can only find its use by being applied to the universal of existence, whereby it then devotes itself to the service of the whole human race, as the normal intellect to that of the individual. In order to make this perfectly comprehensible one might say: if the normal man consists of two-thirds will and one-third intellect, the genius, on the contrary, has two-thirds intellect and one-third will. This might, then, be further illustrated by a chemical simile: the base and the acid of a neutral salt are distinguished by the fact that in each of the two the radical has the converse relation to oxygen to that which it has in the other. The base or the alkali is so because in it the radical predominates with reference to oxygen, and the acid is so because in it oxygen predominates. In the same way now the normal man and the genius are related in respect of will and intellect. From this arises a thorough distinction between them, which is visible even in their whole nature and behaviour, but comes out most clearly in their achievements. One might add the difference that while that total opposition between the chemical materials forms the strongest affinity and attraction between them, in the human race the opposite is rather wont to be found.

The first manifestation which such a superfluity of the power of knowledge calls forth shows itself for the most part in the most original and fundamental knowledge, *i.e.*, in knowledge of perception, and occasions the

repetition of it in an image; hence arises the painter and the sculptor. In their case, then, the path between the apprehension of genius and the artistic production is the shortest; therefore the form in which genius and its activity here exhibits itself is the simplest and its description the easiest. Yet here also the source is shown from which all genuine productions in every art, in poetry, and indeed in philosophy, have their origin, although in the case of these the process is not so simple.

Let the result arrived at in the first book be here borne in mind, that all perception is intellectual and not merely sensuous. If one now adds the exposition given here, and, at the same time, in justice considers that the philosophy of last century denoted the perceptive faculty of knowledge by the name "lower powers of the soul," we will not think it so utterly absurd nor so deserving of the bitter scorn with which Jean Paul quotes it in his "*Vorschule der Ästhetik*," that Adelung, who had to speak the language of his age, placed genius in "a remarkable strength of the lower powers of the soul." The work just referred to of this author, who is so worthy of our admiration, has great excellences, but yet I must remark that all through, whenever a theoretical explanation and, in general, instruction is the end in view, a style of exposition which is constantly indulging in displays of wit and hurrying along in mere similes cannot be well adapted to the purpose.

It is, then, perception to which primarily the peculiar and true nature of things, although still in a conditioned manner, discloses and reveals itself. All conceptions and everything thought are mere abstractions, consequently partial ideas taken from perception, and have only arisen by thinking away. All profound knowledge, even wisdom properly so called, is rooted in the perceptive apprehension of things, as we have fully considered in the supplements to the first book. A perceptive apprehension has always been the generative process in which every genuine work of art, every immortal thought, received the spark of life. All primary thought takes place in pictures. From conceptions, on the other hand, arise the works of mere talent, the merely rational thoughts, imitations, and indeed all that is calculated merely with reference to the present need and contemporary conditions.

But if now our perception were constantly bound to the real present of things, its material would be entirely under the dominion of chance, which seldom produces things at the right time, seldom arranges them for an end and for the most part presents them to us in very defective examples.



Therefore the imagination is required in order to complete, arrange, give the finishing touches to, retain, and repeat at pleasure all those significant pictures of life, according as the aims of a profoundly penetrating knowledge and of the significant work whereby they are to be communicated may demand. Upon this rests the high value of imagination, which is an indispensable tool of genius. For only by virtue of imagination can genius ever, according to the requirements of the connection of its painting or poetry or thinking, call up to itself each object or event in a lively image, and thus constantly draw fresh nourishment from the primary source of all knowledge, perception. The man who is endowed with imagination is able, as it were, to call up spirits, who at the right time reveal to him the truths which the naked reality of things exhibits only weakly, rarely, and then for the most part at the wrong time. Therefore the man without imagination is related to him, as the mussel fastened to its rock, which must wait for what chance may bring it, is related to the freely moving or even winged animal. For such a man knows nothing but the actual perception of the senses: till it comes he gnaws at conceptions and abstractions which are yet mere shells and husks, not the kernel of knowledge. He will never achieve anything great, unless it be in calculating and mathematics. The works of plastic and pictorial art and of poetry, as also the achievements of mimicry, may also be regarded as means by which those who have no imagination may make up for this defect as far as possible, and those who are gifted with it may facilitate the use of it.

Thus, although the kind of knowledge which is peculiar and essential to genius is knowledge of perception, yet the special object of this knowledge by no means consists of the particular things, but of the Platonic Ideas which manifest themselves in these, as their apprehension was analysed in chapter 29. Always to see the universal in the particular is just the fundamental characteristic of genius, while the normal man knows in the particular only the particular as such, for only as such does it belong to the actual which alone has interests for him, *i.e.*, relations to his will. The degree in which every one not merely thinks, but actually perceives, in the particular thing, only the particular, or a more or less universal up to the most universal of the species, is the measure of his approach to genius. And corresponding to this, only the nature of things generally, the universal in them, the whole, is the special object of genius. The investigation of the

particular phenomena is the field of the talents, in the real sciences, whose special object is always only the relations of things to each other.

What was fully shown in the preceding chapter, that the apprehension of the Ideas is conditioned by the fact that the knower is the pure subject of knowledge, *i.e.*, that the will entirely vanishes from consciousness, must be borne in mind here. The pleasure which we have in many of Goethe's songs which bring the landscape before our eyes, or in Jean Paul's sketches of nature, depends upon the fact that we thereby participate in the objectivity of those minds, *i.e.*, the purity with which in them the world as idea separated from the world as will, and, as it were, entirely emancipated itself from it. It also follows from the fact that the kind of knowledge peculiar to genius is essentially that which is purified from all will and its relations, that the works of genius do not proceed from intention or choice, but it is guided in them by a kind of instinctive necessity. What is called the awaking of genius, the hour of initiation, the moment of inspiration, is nothing but the attainment of freedom by the intellect, when, delivered for a while from its service under the will, it does not now sink into inactivity or lassitude, but is active for a short time entirely alone and spontaneously. Then it is of the greatest purity, and becomes the clear mirror of the world; for, completely severed from its origin, the will, it is now the world as idea itself, concentrated in one consciousness. In such moments, as it were, the souls of immortal works are begotten. On the other hand, in all intentional reflection the intellect is not free, for indeed the will guides it and prescribes it its theme.

The stamp of commonness, the expression of vulgarity, which is impressed on the great majority of countenances consists really in this, that in them becomes visible the strict subordination of their knowledge to their will, the firm chain which binds these two together, and the impossibility following from this of apprehending things otherwise than in their relation to the will and its aims. On the other hand, the expression of genius which constitutes the evident family likeness of all highly gifted men consists in this, that in it we distinctly read the liberation, the manumission of the intellect from the service of the will, the predominance of knowledge over volition; and because all anxiety proceeds from the will, and knowledge, on the contrary, is in and for itself painless and serene, this gives to their lofty brow and clear, perceiving glance, which are not subject to the service of the will and its wants, that look of great, almost supernatural serenity which

at times breaks through, and consists very well with the melancholy of their other features, especially the mouth, and which in this relation may be aptly described by the motto of Giordano Bruno: In tristitia hilaris, in hilaritate tristis.

The will, which is the root of the intellect, opposes itself to any activity of the latter which is directed to anything else but its own aims. Therefore the intellect is only capable of a purely objective and profound comprehension of the external world when it has freed itself at least for a while from this its root. So long as it remains bound to the will, it is of its own means capable of no activity, but sleeps in a stupor, whenever the will (the interests) does not awake it, and set it in motion. If, however, this happens, it is indeed very well fitted to recognise the relations of things according to the interest of the will, as the prudent mind does, which, however, must always be an awakened mind, *i.e.*, a mind actively aroused by volition; but just on this account it is not capable of comprehending the purely objective nature of things. For the willing and the aims make it so one-sided that it sees in things only that which relates to these, and the rest either disappears or enters consciousness in a falsified form. For example, the traveller in anxiety and haste will see the Rhine and its banks only as a line, and the bridges over it only as lines cutting it. In the mind of the man who is filled with his own aims the world appears as a beautiful landscape appears on the plan of a battlefield. Certainly these are extremes, taken for the sake of distinctness; but every excitement of the will, however slight, will have as its consequence a slight but constantly proportionate falsification of knowledge. The world can only appear in its true colour and form, in its whole and correct significance, when the intellect, devoid of willing, moves freely over the objects, and without being driven on by the will is yet energetically active. This is certainly opposed to the nature and determination of the intellect, thus to a certain extent unnatural, and just on this account exceedingly rare; but it is just in this that the essential nature of genius lies, in which alone that condition takes place in a high degree and is of some duration, while in others it only appears approximately and exceptionally. I take it to be in the sense expounded here that Jean Paul (*Vorschule der Ästhetik*, § 12) places the essence of genius in reflectiveness. The normal man is sunk in the whirl and tumult of life, to which he belongs through his will; his intellect is filled with the things and events of life; but he does not know these things nor life itself in their

objective significance; as the merchant on 'Change in Amsterdam apprehends perfectly what his neighbour says, but does not hear the hum of the whole Exchange, like the sound of the sea, which astonishes the distant observer. From the genius, on the contrary, whose intellect is delivered from the will, and thus from the person, what concerns these does not conceal the world and things themselves; but he becomes distinctly conscious of them, he apprehends them in and for themselves in objective perception; in this sense he is reflective.

It is reflectiveness which enables the painter to repeat the natural objects which he contemplates faithfully upon the canvas, and the poet accurately to call up again the concrete present, by means of abstract conceptions, by giving it utterance and so bringing it to distinct consciousness, and also to express everything in words which others only feel. The brute lives entirely without reflection. It has consciousness, *i.e.*, it knows itself and its good and ill, also the objects which occasion these. But its knowledge remains always subjective, never becomes objective; everything that enters it seems a matter of course, and therefore can never become for it a theme (an object of exposition) nor a problem (an object of meditation). Its consciousness is thus entirely immanent. Not certainly the same, but yet of kindred nature, is the consciousness of the common type of man, for his apprehension also of things and the world is predominantly subjective and remains prevalently immanent. It apprehends the things in the world, but not the world; its own action and suffering, but not itself. As now in innumerable gradations the distinctness of consciousness rises, reflectiveness appears more and more; and thus it is brought about little by little that sometimes, though rarely, and then again in very different degrees of distinctness, the question passes through the mind like a flash, "What is all this?" or again, "How is it really fashioned?" The first question, if it attains great distinctness and continued presence, will make the philosopher, and the other, under the same conditions, the artist or the poet. Therefore, then, the high calling of both of these has its root in the reflectiveness which primarily springs from the distinctness with which they are conscious of the world and their own selves, and thereby come to reflect upon them. But the whole process springs from the fact that the intellect through its preponderance frees itself for a time from the will, to which it is originally subject.

The considerations concerning genius here set forth are connected by way of supplement with the exposition contained in chapter 21, of the ever

wider separation of the will and the intellect, which can be traced in the whole series of existences. This reaches its highest grade in genius, where it extends to the entire liberation of the intellect from its root the will, so that here the intellect becomes perfectly free, whereby the world as idea first attains to complete objectification.

A few remarks now concerning the individuality of genius. Aristotle has already said, according to Cicero (*Tusc.*, i. 33), “Omnes ingeniosos melancholicos esse;” which without doubt is connected with the passage of Aristotle’s “*Problemata*,” xxx. 1. Goethe also says: “My poetic rapture was very small, so long as I only encountered good; but it burnt with a bright flame when I fled from threatening evil. The tender poem, like the rainbow, is only drawn on a dark ground; hence the genius of the poet loves the element of melancholy.”

This is to be explained from the fact that since the will constantly re-establishes its original sway over the intellect, the latter more easily withdraws from this under unfavourable personal relations; because it gladly turns from adverse circumstances, in order to a certain extent to divert itself, and now directs itself with so much the greater energy to the foreign external world, thus more easily becomes purely objective. Favourable personal relations act conversely. Yet as a whole and in general the melancholy which accompanies genius depends upon the fact that the brighter the intellect which enlightens the will to live, the more distinctly does it perceive the misery of its condition. The melancholy disposition of highly gifted minds which has so often been observed has its emblem in Mont Blanc, the summit of which is for the most part lost in clouds; but when sometimes, especially in the early morning, the veil of clouds is rent and now the mountain looks down on Chamounix from its height in the heavens above the clouds, then it is a sight at which the heart of each of us swells from its profoundest depths. So also the genius, for the most part melancholy, shows at times that peculiar serenity already described above, which is possible only for it, and springs from the most perfect objectivity of the mind. It floats like a ray of light upon his lofty brow: In tristitia hilaris, in hilaritate tristis.

All bunglers are so ultimately because their intellect, still too firmly bound to the will, only becomes active when spurred on by it, and therefore remains entirely in its service. They are accordingly only capable of personal aims. In conformity with these they produce bad pictures, insipid

poems, shallow, absurd, and very often dishonest philosophemes, when it is to their interest to recommend themselves to high authorities by a pious disingenuousness. Thus all their action and thought is personal. Therefore they succeed at most in appropriating what is external, accidental, and arbitrary in the genuine works of others as mannerisms, in doing which they take the shell instead of the kernel, and yet imagine they have attained to everything, nay, have surpassed those works. If, however, the failure is patent, yet many hope to attain success in the end through their good intentions. But it is just this good will which makes success impossible; because this only pursues personal ends, and with these neither art nor poetry nor philosophy can ever be taken seriously. Therefore the saying is peculiarly applicable to such persons: "They stand in their own light." They have no idea that it is only the intellect delivered from the government of the will and all its projects, and therefore freely active, that makes one capable of genuine productions, because it alone imparts true seriousness; and it is well for them that they have not, otherwise they would leap into the water. The good will is in morality everything; but in art it is nothing. In art, as the word itself indicates (Kunst), what alone is of consequence is ability (Können). It all amounts ultimately to this, where the true seriousness of the man lies. In almost all it lies exclusively in their own well-being and that of their families; therefore they are in a position to promote this and nothing else; for no purpose, no voluntary and intentional effort, imparts the true, profound, and proper seriousness, or makes up for it, or more correctly, takes its place. For it always remains where nature has placed it; and without it everything is only half performed. Therefore, for the same reason, persons of genius often manage so badly for their own welfare. As a leaden weight always brings a body back to the position which its centre of gravity thereby determined demands, so the true seriousness of the man always draws the strength and attention of the intellect back to that in which it lies; everything else the man does without true seriousness. Therefore only the exceedingly rare and abnormal men whose true seriousness does not lie in the personal and practical, but in the objective and theoretical, are in a position to apprehend what is essential in the things of the world, thus the highest truths, and reproduce them in any way. For such a seriousness of the individual, falling outside himself in the objective, is something foreign to the nature of man, something unnatural, or really supernatural: yet on account of this alone is the man great; and therefore what he achieves is

then ascribed to a genius different from himself, which takes possession of him. To such a man his painting, poetry, or thinking is an end; to others it is a means. The latter thereby seek their own things, and, as a rule, they know how to further them, for they flatter their contemporaries, ready to serve their wants and humours; therefore for the most part they live in happy circumstances; the former often in very miserable circumstances. For he sacrifices his personal welfare to his objective end; he cannot indeed do otherwise, because his seriousness lies there. They act conversely; therefore they are small, but he is great. Accordingly his work is for all time, but the recognition of it generally only begins with posterity: they live and die with their time. In general he only is great who in his work, whether it is practical or theoretical, seeks not his own concerns, but pursues an objective end alone; he is so, however, even when in the practical sphere this end is a misunderstood one, and even if in consequence of this it should be a crime. That he seeks not himself and his own concerns, this makes him under all circumstances great. Small, on the other hand, is all action which is directed to personal ends; for whoever is thereby set in activity knows and finds himself only in his own transient and insignificant person. He who is great, again, finds himself in all, and therefore in the whole: he lives not, like others, only in the microcosm, but still more in the macrocosm. Hence the whole interests him, and he seeks to comprehend it in order to represent it, or to explain it, or to act practically upon it. For it is not strange to him; he feels that it concerns him. On account of this extension of his sphere he is called great. Therefore that lofty predicate belongs only to the true hero, in some sense, and to genius: it signifies that they, contrary to human nature, have not sought their own things, have not lived for themselves, but for all. As now clearly the great majority must constantly be small, and can never become great, the converse of this, that one should be great throughout, that is, constantly and every moment, is yet not possible

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“For man is made of common clay,  
And custom is his nurse.”

Every great man must often be only the individual, have only himself in view, and that means he must be small. Upon this depends the very true remark, that no man is a hero to his valet, and not upon the fact that the

valet cannot appreciate the hero; which Goethe, in the "*Wahlverwandtschaften*" (vol. ii. chap. 5), serves up as an idea of Ottilie's.

Genius is its own reward: for the best that one is, one must necessarily be for oneself. "Whoever is born with a talent, to a talent, finds in this his fairest existence," says Goethe. When we look back at a great man of former times, we do not think, "How happy is he to be still admired by all of us!" but, "How happy must he have been in the immediate enjoyment of a mind at the surviving traces of which centuries revive themselves!" Not in the fame, but in that whereby it is attained, lies the value, and in the production of immortal children the pleasure. Therefore those who seek to show the vanity of posthumous fame from the fact that he who obtains it knows nothing of it, may be compared to the wiseacre who very learnedly tried to demonstrate to the man who cast envious glances at a heap of oyster-shells in his neighbour's yard the absolute uselessness of them.

According to the exposition of the nature of genius which has been given, it is so far contrary to nature, inasmuch as it consists in this, that the intellect, whose real destination is the service of the will, emancipates itself from this service in order to be active on its own account. Accordingly genius is an intellect which has become untrue to its destination. Upon this depend the disadvantages connected with it, for the consideration of which we shall now prepare the way by comparing genius with the less decided predominance of the intellect.

The intellect of the normal man, strictly bound to the service of the will, and therefore really only occupied with the apprehension of motives, may be regarded as a complex system of wires, by means of which each of these puppets is set in motion in the theatre of the world. From this arises the dry, grave seriousness of most people, which is only surpassed by that of the brutes, who never laugh. On the other hand, we might compare the genius, with his unfettered intellect, to a living man playing along with the large puppets of the famous puppet-show at Milan, who would be the only one among them who would understand everything, and would therefore gladly leave the stage for a while to enjoy the play from the boxes; — that is the reflectiveness of genius. But even the man of great understanding and reason, whom one might almost call wise, is very different from the genius, and in this way, that his intellect retains a practical tendency, is concerned with the choice of the best ends and means, therefore remains in the service of the will, and accordingly is occupied in a manner that is thoroughly in



keeping with nature. The firm, practical seriousness of life which the Romans denoted *gravitas* presupposes that the intellect does not forsake the service of the will in order to wander away after that which does not concern the will; therefore it does not admit of that separation of the will and the intellect which is the condition of genius. The able, nay, eminent man, who is fitted for great achievements in the practical sphere, is so precisely because objects rouse his will in a lively manner, and spur him on to the ceaseless investigation of their relations and connections. Thus his intellect has grown up closely connected with his will. Before the man of genius, on the contrary, there floats in his objective comprehension the phenomenon of the world, as something foreign to him, an object of contemplation, which expels his will from consciousness. Round this point turns the distinction between the capacity for deeds and for works. The latter demand objectivity and depth of knowledge, which presupposes entire separation of the intellect from the will; the former, on the other hand, demands the application of knowledge, presence of mind, and decision, which required that the intellect should uninterruptedly attend to the service of the will. Where the bond between the intellect and the will is loosened, the intellect, turned away from its natural destination, will neglect the service of the will; it will, for example, even in the need of the moment, preserve its emancipation, and perhaps be unable to avoid taking in the picturesque impression of the surroundings, from which danger threatens the individual. The intellect of the reasonable and understanding man, on the other hand, is constantly at its post, is directed to the circumstances and their requirements. Such a man will therefore in all cases determine and carry out what is suitable to the case, and consequently will by no means fall into those eccentricities, personal slips, nay, follies, to which the genius is exposed, because his intellect does not remain exclusively the guide and guardian of his will, but sometimes more, sometimes less, is laid claim to by the purely objective. In the contrast of Tasso and Antonio, Goethe has illustrated the opposition, here explained in the abstract, in which these two entirely different kinds of capacity stand to each other. The kinship of genius and madness, so often observed, depends chiefly upon that separation of the intellect from the will which is essential to genius, but is yet contrary to nature. But this separation itself is by no means to be attributed to the fact that genius is accompanied by less intensity of will; for it is rather distinguished by a vehement and passionate character; but it is to

be explained from this, that the practically excellent person, the man of deeds, has merely the whole, full measure of intellect required for an energetic will while most men lack even this; but genius consists in a completely abnormal, actual superfluity of intellect, such as is required for the service of no will. On this account the men of genuine works are a thousand times rarer than the men of deeds. It is just that abnormal superfluity of intellect by virtue of which it obtains the decided preponderance, sets itself free from the will, and now, forgetting its origin, is freely active from its own strength and elasticity; and from this the creations of genius proceed.

Now further, just this, that genius in working consists of the free intellect, *i.e.*, of the intellect emancipated from the service of the will, has as a consequence that its productions serve no useful ends. The work of genius is music, or philosophy, or paintings, or poetry; it is nothing to use. To be of no use belongs to the character of the works of genius; it is their patent of nobility. All other works of men are for the maintenance or easing of our existence; only those we are speaking of are not; they alone exist for their own sake, and are in this sense to be regarded as the flower or the net profit of existence. Therefore our heart swells at the enjoyment of them, for we rise out of the heavy earthly atmosphere of want. Analogous to this, we see the beautiful, even apart from these, rarely combined with the useful. Lofty and beautiful trees bear no fruit; the fruit-trees are small, ugly cripples. The full garden rose is not fruitful, but the small, wild, almost scentless roses are. The most beautiful buildings are not the useful ones; a temple is no dwelling-house. A man of high, rare mental endowments compelled to apply himself to a merely useful business, for which the most ordinary man would be fitted, is like a costly vase decorated with the most beautiful painting which is used as a kitchen pot; and to compare useful people with men of genius is like comparing building-stone with diamonds.

Thus the merely practical man uses his intellect for that for which nature destined it, the comprehension of the relations of things, partly to each other, partly to the will of the knowing individual. The genius, on the other hand, uses it, contrary to its destination, for the comprehension of the objective nature of things. His mind, therefore, belongs not to himself, but to the world, to the illumination of which, in some sense, it will contribute. From this must spring manifold disadvantages to the individual favoured with genius. For his intellect will in general show those faults which are

rarely wanting in any tool which is used for that for which it has not been made. First of all, it will be, as it were, the servant of two masters, for on every opportunity it frees itself from the service to which it was destined in order to follow its own ends, whereby it often leaves the will very inopportunistically in a fix, and thus the individual so gifted becomes more or less useless for life, nay, in his conduct sometimes reminds us of madness. Then, on account of its highly developed power of knowledge, it will see in things more the universal than the particular; while the service of the will principally requires the knowledge of the particular. But, again, when, as opportunity offers, that whole abnormally heightened power of knowledge directs itself with all its energy to the circumstances and miseries of the will, it will be apt to apprehend these too vividly, to behold all in too glaring colours, in too bright a light, and in a fearfully exaggerated form, whereby the individual falls into mere extremes. The following may serve to explain this more accurately. All great theoretical achievements, in whatever sphere they may be, are brought about in this way: Their author directs all the forces of his mind upon one point, in which he lets them unite and concentrate so strongly, firmly, and exclusively that now the whole of the rest of the world vanishes for him, and his object fills all reality. Now this great and powerful concentration which belongs to the privileges of genius sometimes appears for it also in the case of objects of the real world and the events of daily life, which then, brought under such a focus, are magnified to such a monstrous extent that they appear like the flea, which under the solar microscope assumes the stature of an elephant. Hence it arises that highly gifted individuals sometimes are thrown by trifles into violent emotions of the most various kinds, which are incomprehensible to others, who see them transported with grief, joy, care, fear, anger, &c., by things which leave the every-day man quite composed. Thus, then, the genius lacks soberness, which simply consists in this, that one sees in things nothing more than actually belongs to them, especially with reference to our possible ends; therefore no sober-minded man can be a genius. With the disadvantages which have been enumerated there is also associated hypersensibility, which an abnormally developed nervous and cerebral system brings with it, and indeed in union with the vehemence and passionateness of will which is certainly characteristic of genius, and which exhibits itself physically as energy of the pulsation of the heart. From all this very easily arises that extravagance of disposition, that vehemence of the emotions, that

quick change of mood under prevailing melancholy, which Goethe has presented to us in Tasso. What reasonableness, quiet composure, finished surveyal, certainty and proportionateness of behaviour is shown by the well-endowed normal man in comparison with the now dreamy absentness, and now passionate excitement of the man of genius, whose inward pain is the mother's lap of immortal works! To all this must still be added that genius lives essentially alone. It is too rare to find its like with ease, and too different from the rest of men to be their companion. With them it is the will, with him it is knowledge, that predominates; therefore their pleasures are not his, and his are not theirs. They are merely moral beings, and have merely personal relations; he is at the same time a pure intellect, and as such belongs to the whole of humanity. The course of thought of the intellect which is detached from its mother soil, the will, and only returns to it periodically, will soon show itself entirely different from that of the normal intellect, still cleaving to its stem. For this reason, and also on account of the dissimilarity of the pace, the former is not adapted for thinking in common, *i.e.*, for conversation with the others: they will have as little pleasure in him and his oppressive superiority as he will in them. They will therefore feel more comfortable with their equals, and he will prefer the entertainment of his equals, although, as a rule, this is only possible through the works they have left behind them. Therefore Chamfort says very rightly: "Il y a peu de vices qui empêchent un homme d'avoir beaucoup d'amis, autant que peuvent le faire de trop grandes qualités." The happiest lot that can fall to the genius is release from action, which is not his element, and leisure for production. From all this it results that although genius may highly bless him who is gifted with it, in the hours in which, abandoned to it, he revels unhindered in its delight, yet it is by no means fitted to procure for him a happy course of life; rather the contrary. This is also confirmed by the experience recorded in biographies. Besides this there is also an external incongruity, for the genius, in his efforts and achievements themselves, is for the most part in contradiction and conflict with his age. Mere men of talent come always at the right time; for as they are roused by the spirit of their age, and called forth by its needs, they are also capable only of satisfying these. They therefore go hand in hand with the advancing culture of their contemporaries or with the gradual progress of a special science: for this they reap reward and approval. But to the next generation their works are no longer enjoyable; they must be replaced by others, which again are

not permanent. The genius, on the contrary, comes into his age like a comet into the paths of the planets, to whose well-regulated and comprehensible order its entirely eccentric course is foreign. Accordingly he cannot go hand in hand with the existing, regular progress of the culture of the age, but flings his works far out on to the way in front (as the dying emperor flung his spear among the enemy), upon which time has first to overtake them. His relation to the culminating men of talent of his time might be expressed in the words of the Evangelist: “Ὁ καιρὸς ὃ ἐμὸς οὐπὼ παρεστίν; ὃ δὲ καιρὸς ὃ ὑμετέρος παντοτε ἐστίν ἐτοιμὸς” (John vii. 6). The man of talent can achieve what is beyond the power of achievement of other men, but not what is beyond their power of apprehension: therefore he at once finds those who prize him. But the achievement of the man of genius, on the contrary, transcends not only the power of achievement, but also the power of apprehension of others; therefore they do not become directly conscious of him. The man of talent is like the marksman who hits a mark the others cannot hit; the man of genius is like the marksman who hits a mark they cannot even see to; therefore they only get news of him indirectly, and thus late; and even this they only accept upon trust and faith. Accordingly Goethe says in one of his letters, “Imitation is inborn in us; what to imitate is not easily recognised. Rarely is what is excellent found; still more rarely is it prized.” And Chamfort says: “Il en est de la valeur des hommes comme de celle des diamans, qui à une certaine mesure de grosseur, de pureté, de perfection, ont un prix fixe et marqué, mais qui, par-delà cette mesure, restent sans prix, et ne trouvent point d’acheteurs.” And Bacon of Verulam has also expressed it: “Infimarum virtutum, apud vulgus, laus est, mediarum admiratio, supremarum sensus nullus” (*De augm. sc.*, L. vi. c. 3). Indeed, one might perhaps reply, Apud vulgus! But I must then come to his assistance with Machiavelli’s assurance: “Nel mondo non è se non volgo;”<sup>12</sup> as also Thilo (*Ueber den Ruhm*) remarks, that to the vulgar herd there generally belongs one more than each of us believes. It is a consequence of this late recognition of the works of the man of genius that they are rarely enjoyed by their contemporaries, and accordingly in the freshness of colour which synchronism and presence imparts, but, like figs and dates, much more in a dry than in a fresh state.

If, finally, we consider genius from the somatic side, we find it conditioned by several anatomical and physiological qualities, which

individually are seldom present in perfection, and still more seldom perfect together, but which are yet all indispensably required; so that this explains why genius only appears as a perfectly isolated and almost portentous exception. The fundamental condition is an abnormal predominance of sensibility over irritability and reproductive power; and what makes the matter more difficult, this must take place in a male body. (Women may have great talent, but no genius, for they always remain subjective.) Similarly the cerebral system must be perfectly separated from the ganglion system by complete isolation, so that it stands in complete opposition to the latter; and thus the brain pursues its parasitic life on the organism in a very decided, isolated, powerful, and independent manner. Certainly it will thereby very easily affect the rest of the organism injuriously, and through its heightened life and ceaseless activity wear it out prematurely, unless it is itself possessed of energetic vital force and a good constitution: thus the latter belong to the conditions of genius. Indeed even a good stomach is a condition on account of the special and close agreement of this part with the brain. But chiefly the brain must be of unusual development and magnitude, especially broad and high. On the other hand, its depth will be inferior, and the cerebrum will abnormally preponderate in proportion to the cerebellum. Without doubt much depends upon the configuration of the brain as a whole and in its parts; but our knowledge is not yet sufficient to determine this accurately, although we easily recognise the form of skull that indicates a noble and lofty intelligence. The texture of the mass of the brain must be of extreme fineness and perfection, and consist of the purest, most concentrated, tenderest, and most excitable nerve-substance; certainly the quantitative proportion of the white to the grey matter has a decided influence, which, however, we are also unable as yet to specify. However, the report of the post-mortem on the body of Byron<sup>13</sup> shows that in his case the white matter was in unusually large proportion to the grey, and also that his brain weighed six pounds. Cuvier's brain weighed five pounds; the normal weight is three pounds. In contrast to the superior size of the brain, the spinal cord and nerves must be unusually thin. A beautifully arched, high and broad skull of thin bone must protect the brain without in any way cramping it. This whole quality of the brain and nervous system is the inheritance from the mother, to which we shall return in the following book. But it is quite insufficient to produce the phenomenon of genius if the inheritance from the father is not added, a lively, passionate temperament,

which exhibits itself somatically as unusual energy of the heart, and consequently of the circulation of the blood, especially towards the head. For, in the first place, that turgescence peculiar to the brain on account of which it presses against its walls is increased by this; therefore it forces itself out of any opening in these which has been occasioned by some injury; and secondly, from the requisite strength of the heart the brain receives that internal movement different from its constant rising and sinking at every breath, which consists in a shaking of its whole mass at every pulsation of the four cerebral arteries, and the energy of which must correspond to the here increased quantity of the brain, as this movement in general is an indispensable condition of its activity. To this, therefore, small stature and especially a short neck is favourable, because by the shorter path the blood reaches the brain with more energy; and on this account great minds have seldom large bodies. Yet that shortness of the distance is not indispensable; for example, Goethe was of more than middle height. If, however, the whole condition connected with the circulation of the blood, and therefore coming from the father is wanting, the good quality of the brain coming from the mother, will at most produce a man of talent, a fine understanding, which the phlegmatic temperament thus introduced supports; but a phlegmatic genius is impossible. This condition coming from the father explains many faults of temperament described above. But, on the other hand, if this condition exists without the former, thus with an ordinarily or even badly constructed brain, it gives vivacity without mind, heat without light, hot-headed persons, men of unsupportable restlessness and petulance. That of two brothers only one has genius, and that one generally the elder, as, for example, in Kant's case, is primarily to be explained from the fact that the father was at the age of strength and passion only when he was begotten; although also the other condition originating with the mother may be spoiled by unfavourable circumstances.

I have further to add here a special remark on the childlike character of the genius, *i.e.*, on a certain resemblance which exists between genius and the age of childhood. In childhood, as in the case of genius, the cerebral and nervous system decidedly preponderates, for its development hurries far in advance of that of the rest of the organism; so that already at the seventh year the brain has attained its full extension and mass. Therefore, Bichat says: "Dans l'enfance le système nerveux, comparé au musculaire, est proportionnellement plus considérable que dans tous les âges suivans, tandis

que par la suite, la plupart des autres systèmes prédominent sur celui-ci. On sait que, pour bien voir les nerfs, on choisit toujours les enfans” (*De la vie et de la mort*, art. 8, § 6). On the other hand, the development of the genital system begins latest, and irritability, reproduction, and genital function are in full force only at the age of manhood, and then, as a rule, they predominate over the brain function. Hence it is explicable that children, in general, are so sensible, reasonable, desirous of information, and teachable, nay, on the whole, are more disposed and fitted for all theoretical occupation than grown-up people. They have, in consequence of that course of development, more intellect than will, *i.e.*, than inclinations, desire, and passion. For intellect and brain are one, and so also is the genital system one with the most vehement of all desires: therefore I have called the latter the focus of the will. Just because the fearful activity of this system still slumbers, while that of the brain has already full play, childhood is the time of innocence and happiness, the paradise of life, the lost Eden on which we look longingly back through the whole remaining course of our life. But the basis of that happiness is that in childhood our whole existence lies much more in knowing than in willing — a condition which is also supported from without by the novelty of all objects. Hence in the morning sunshine of life the world lies before us so fresh, so magically gleaming, so attractive. The small desires, the weak inclinations, and trifling cares of childhood are only a weak counterpoise to that predominance of intellectual activity. The innocent and clear glance of children, at which we revive ourselves, and which sometimes in particular cases reaches the sublime contemplative expression with which Raphael has glorified his cherubs, is to be explained from what has been said. Accordingly the mental powers develop much earlier than the needs they are destined to serve; and here, as everywhere, nature proceeds very designedly. For in this time of predominating intelligence the man collects a great store of knowledge for future wants which at the time are foreign to him. Therefore his intellect, now unceasingly active, eagerly apprehends all phenomena, broods over them and stores them up carefully for the coming time, — like the bees, who gather a great deal more honey than they can consume, in anticipation of future need. Certainly what a man acquires of insight and knowledge up to the age of puberty is, taken as a whole, more than all that he afterwards learns, however learned he may become; for it is the foundation of all human knowledge. Up till the same time plasticity predominates in the



child's body, and later, by a metastasis, its forces throw themselves into the system of generation; and thus with puberty the sexual passion appears, and now, little by little, the will gains the upper hand. Then childhood, which is prevailingly theoretical and desirous of learning, is followed by the restless, now stormy, now melancholy, period of youth, which afterwards passes into the vigorous and earnest age of manhood. Just because that impulse pregnant with evil is wanting in the child is its volition so adapted and subordinated to knowledge, whence arises that character of innocence, intelligence, and reasonableness which is peculiar to the age of childhood. On what, then, the likeness between childhood and genius depends I scarcely need to express further: upon the surplus of the powers of knowledge over the needs of the will, and the predominance of the purely intellectual activity which springs from this. Really every child is to a certain extent a genius, and the genius is to a certain extent a child. The relationship of the two shows itself primarily in the naïveté and sublime simplicity which is characteristic of true genius; and besides this it appears in several traits, so that a certain childishness certainly belongs to the character of the genius. In Riemer's "*Mittheilungen über Goethe*" (vol. i. p. 184) it is related that Herder and others found fault with Goethe, saying he was always a big child. Certainly they were right in what they said, but they were not right in finding fault with it. It has also been said of Mozart that all his life he remained a child (Nissen's Biography of Mozart, p. 2 and 529). Schlichtegroll's "*Nekrology*" (for 1791, vol. ii. p. 109) says of him: "In his art he early became a man, but in all other relations he always remained a child." Every genius is even for this reason a big child; he looks out into the world as into something strange, a play, and therefore with purely objective interest. Accordingly he has just as little as the child that dull gravity of ordinary men, who, since they are capable only of subjective interests, always see in things mere motives for their action. Whoever does not to a certain extent remain all his life a big child, but becomes a grave, sober, thoroughly composed, and reasonable man, may be a very useful and capable citizen of this world; but never a genius. In fact, the genius is so because that predominance of the sensible system and of intellectual activity which is natural to childhood maintains itself in him in an abnormal manner through his whole life, thus here becomes perennial. A trace of this certainly shows itself in many ordinary men up to the period of their youth; therefore, for example, in many students a purely intellectual tendency and

an eccentricity suggestive of genius is unmistakable. But nature returns to her track; they assume the chrysalis form and reappear at the age of manhood, as incarnate Philistines, at whom we are startled when we meet them again in later years. Upon all this that has been expounded here depends Goethe's beautiful remark: "Children do not perform what they promise; young people very seldom; and if they do keep their word, the world does not keep its word with them" (*Wahlverwandtschaften*, Pt. i. ch. 10) — the world which afterwards bestows the crowns which it holds aloft for merit on those who are the tools of its low aims or know how to deceive it. In accordance with what has been said, as there is a mere beauty of youth, which almost every one at some time possesses (*beauté du diable*), so there is a mere intellectuality of youth, a certain mental nature disposed and adapted for apprehending, understanding, and learning, which every one has in childhood, and some have still in youth, but which is afterwards lost, just like that beauty. Only in the case of a very few, the chosen, the one, like the other, lasts through the whole life; so that even in old age a trace of it still remains visible: these are the truly beautiful and the men of true genius.

The predominance of the cerebral nervous system and of intelligence in childhood, which is here under consideration, together with the decline of it in riper age, receives important illustration and confirmation from the fact that in the species of animals which stands nearest to man, the apes, the same relation is found in a striking degree. It has by degrees become certain that the highly intelligent orang-outang is a young pongo, which when it has grown up loses the remarkable human look of its countenance, and also its astonishing intelligence, because the lower and brutal part of its face increases in size, the forehead thereby recedes, large *cristæ*, muscular developments, give the skull a brutish form, the activity of the nervous system sinks, and in its place extraordinary muscular strength develops, which, as it is sufficient for its preservation, makes the great intelligence now superfluous. Especially important is what Fréd. Cuvier has said in this reference, and Flourens has illustrated in a review of the "*Histoire Naturelle*" of the former, which appeared in the September number of the "*Journal des Savans*" of 1839, and was also separately printed with some additions, under the title, "*Résumé analytique des observations de Fr. Cuvier sur l'instinct et l'intelligence des animaux*," p. Flourens, 1841. It is

there said, p. 50: “L’intelligence de l’orang-outang, cette intelligence si développée, et développée de si bonne heure, décroît avec l’âge. L’orang-outang, lorsqu’il est jeune, nous étonne par sa pénétration, par sa ruse, par son adresse; l’orang-outang, devenu adulte, n’est plus qu’un animal grossier, brutal, intraitable. Et il en est de tous les singes comme de l’orang-outang. Dans tous, l’intelligence décroît à mesure que les forces s’accroissent. L’animal qui a le plus d’intelligence, n’a toute cette intelligence que dans le jeune âge.” Further, p. 87: “Les singes de tous les genres offrent ce rapport inverse de l’âge et de l’intelligence. Ainsi, par exemple, l’Entelle (espèce de guenon du sous-genre des Semno-pithèques et l’un des singes vénérés dans la religion des Brame) a, dans le jeune âge, le front large, le museau peu saillant, le crâne élevé, arrondi, etc. Avec l’âge le front disparaît, recule, le museau proémine; et le moral ne change pas moins que le physique: l’apathie, la violence, le besoin de solitude, remplacent la pénétration, la docilité, la confiance. *« Ces différences sont si grandes, »* dit Mr. Fréd. Cuvier, *« que dans l’habitude où nous sommes de juger des actions des animaux par les nôtres, nous prendrions le jeune animal pour un individu de l’âge, où toutes les qualités morales de l’espèce sont acquises, et l’Entelle adulte pour un individu qui n’aurait encore que ses forces physiques. Mais la nature n’en agit pas ainsi avec ces animaux, qui ne doivent pas sortir de la sphère étroite, qui leur est fixée, et à qui il suffit en quelque sorte de pouvoir veiller à leur conservation. Pour cela l’intelligence était nécessaire, quand la force n’existait pas, et quand celle-ci est acquise, toute autre puissance perd de son utilité. »*” And p. 118: “La conservation des espèces ne repose pas moins sur les qualités intellectuelles des animaux, que sur leurs qualités organiques.” This last confirms my principle that the intellect, like the claws and teeth, is nothing else than a weapon in the service of the will.

## Chapter XXXII.<sup>14</sup> On Madness.

The health of the mind properly consists in perfect recollection. Of course this is not to be understood as meaning that our memory preserves everything. For the past course of our life shrinks up in time, as the path of the wanderer looking back shrinks up in space: sometimes it is difficult for us to distinguish the particular years; the days have for the most part become unrecognisable. Really, however, only the exactly similar events, recurring an innumerable number of times, so that their images, as it were, conceal each other, ought so to run together in the memory that they are individually unrecognisable; on the other hand, every event in any way peculiar or significant we must be able to find again in memory, if the intellect is normal, vigorous, and quite healthy. In the text I have explained madness as the broken thread of this memory, which still runs on regularly, although in constantly decreasing fulness and distinctness. The following considerations may serve to confirm this.

The memory of a healthy man affords a certainty as to an event he has witnessed, which is regarded as just as firm and sure as his present apprehension of things; therefore, if sworn to by him, this event is thereby established in a court of law. On the other hand, the mere suspicion of madness will at once weaken the testimony of a witness. Here, then, lies the criterion between the healthy mind and insanity. Whenever I doubt whether an event which I remember really took place, I throw upon myself the suspicion of madness: unless it is that I am uncertain whether it was not a mere dream. If another doubts the reality of an event, related by me as an eye-witness, without mistrusting my honesty, then he regards me as insane. Whoever comes at last, through constantly recounting an event which originally was fabricated by him, to believe in it himself is, in this one point, really insane. We may ascribe to an insane person flashes of wit, single clever thoughts, even correct judgments, but his testimony as to past events no man will consider valid. In the Lalita-vistara, well known to be the history of Buddha Sakya-Muni, it is related that at the moment of his birth all the sick became well, all the blind saw, all the deaf heard, and all mad people “recovered their memory.” This last is mentioned in two passages.<sup>15</sup>

My own experience of many years has led me to the opinion that madness occurs proportionally most frequently among actors. But what a misuse they make of their memory! Daily they have to learn a new part or refresh an old one; but these parts are entirely without connection, nay, are in contradiction and contrast with each other, and every evening the actor strives to forget himself entirely and be some quite different person. This kind of thing paves the way for madness.

The exposition of the origin of madness given in the text will become more comprehensible if it is remembered how unwillingly we think of things which powerfully injure our interests, wound our pride, or interfere with our wishes; with what difficulty do we determine to lay such things before our own intellect for careful and serious investigation; how easily, on the other hand, we unconsciously break away or sneak off from them again; how, on the contrary, agreeable events come into our minds of their own accord, and, if driven away, constantly creep in again, so that we dwell on them for hours together. In that resistance of the will to allowing what is contrary to it to come under the examination of the intellect lies the place at which madness can break in upon the mind. Each new adverse event must be assimilated by the intellect, *i.e.*, it must receive a place in the system of the truths connected with our will and its interests, whatever it may have to displace that is more satisfactory. Whenever this has taken place, it already pains us much less; but this operation itself is often very painful, and also, in general, only takes place slowly and with resistance. However, the health of the mind can only continue so long as this is in each case properly carried out. If, on the contrary, in some particular case, the resistance and struggles of the will against the apprehension of some knowledge reaches such a degree that that operation is not performed in its integrity, then certain events or circumstances become for the intellect completely suppressed, because the will cannot endure the sight of them, and then, for the sake of the necessary connection, the gaps that thus arise are filled up at pleasure; thus madness appears. For the intellect has given up its nature to please the will: the man now imagines what does not exist. Yet the madness which has thus arisen is now the lethe of unendurable suffering; it was the last remedy of harassed nature, *i.e.*, of the will.

Let me mention here in passing a proof of my view which is worth noticing. Carlo Gozzi, in the “*Monstro turchino*,” act i. scene 2, presents to

us a person who has drunk a magic potion which produces forgetfulness, and this person appears exactly like a madman.

In accordance with the above exposition one may thus regard the origin of madness as a violent “casting out of the mind” of anything, which, however, is only possible by “taking into the head” something else. The converse process is more rare, that the “taking into the head” comes first, and the “casting out of the mind” second. It takes place, however, in those cases in which the occasion of insanity is kept constantly present to the mind and cannot be escaped from; thus, for example, in the case of many who have gone mad from love, erotomaniacs, where the occasion of their madness is constantly longed after; also in the case of madness which has resulted from the fright of some sudden horrible occurrence. Such patients cling, as it were, convulsively to the thought they have grasped, so that no other, or at least none opposed to it, can arise. In both processes, however, what is essential to madness remains the same, the impossibility of a uniformly connected recollection, such as is the basis of our healthy and rational reflection. Perhaps the contrast of the ways in which they arise, set forth here, might, if applied with judgment, afford a sharp and profound principle of division of delusions proper.

For the rest, I have only considered the physical origin of madness, thus what is introduced by external, objective occasions. More frequently, however, it depends upon purely physical causes, upon malformations or partial disorganisation of the brain or its membranes, also upon the influence which other parts affected with disease exercise upon the brain. Principally in the latter kind of madness false sense-perceptions, hallucinations, may arise. Yet the two causes of madness will generally partake of each other, particularly the psychical of the physical. It is the same as with suicide, which is rarely brought about by an external occasion alone, but a certain physical discomfort lies at its foundation; and according to the degree which this attains to a greater or less external occasion is required; only in the case of the very highest degree is no external occasion at all required. Therefore there is no misfortune so great that it would influence every one to suicide, and none so small that one like it has not already led to it. I have shown the psychical origin of madness as, at least according to all appearance, it is brought about in the healthy mind by a great misfortune. In the case of those who are already strongly disposed to madness physically a very small disappointment will be sufficient to induce

it. For example, I remember a man in a madhouse who had been a soldier, and had gone out of his mind because his officer had addressed him as Er.<sup>16</sup> In the case of decided physical disposition no occasion at all is required when this has come to maturity. The madness which has sprung from purely psychical causes may, perhaps, by the violent perversion of the course of thought which has produced it, also introduce a kind of paralysis or other depravity of some part of the brain, which, if not soon done away with, becomes permanent. Therefore madness is only curable at first, and not after a longer time.

Pinel taught that there is a *mania sine delirio*, frenzy without insanity. This was controverted by Esquirol, and since then much has been said for and against it. The question can only be decided empirically. But if such a state really does occur, then it is to be explained from the fact that here the will periodically entirely withdraws itself from the government and guidance of the intellect, and consequently of motives, and thus it then appears as a blind, impetuous, destructive force of nature, and accordingly manifests itself as the desire to annihilate everything that comes in its way. The will thus let loose is like the stream which has broken through the dam, the horse that has thrown his rider, or a clock out of which the regulating screws have been taken. Yet only the reason, thus reflective knowledge, is included in that suspension, not intuitive knowledge also; otherwise the will would remain entirely without guidance, and consequently the man would be immovable. But, on the contrary, the man in a frenzy apprehends objects, for he breaks out upon them; thus he has also consciousness of his present action, and afterwards remembrance of it. But he is entirely without reflection, thus without any guidance of the reason, consequently quite incapable of any consideration or regard for the present, the past, or the future. When the attack is over, and the reason has regained its command, its function is correct, because here its proper activity has not been perverted or destroyed, but only the will has found the means to withdraw itself from it entirely for a while.

## Chapter XXXIII.<sup>17</sup> Isolated Remarks On Natural Beauty.

What contributes among other things to make the sight of a beautiful landscape so exceedingly delightful is the perfect truth and consistency of nature. Certainly nature does not follow here the guidance of logic in the connection of the grounds of knowledge, of antecedents and consequences, premisses and conclusions; but still it follows what is for it analogous to the law of causality in the visible connection of causes and effects. Every modification, even the slightest, which an object receives from its position, foreshortening, concealment, distance, lighting, linear and atmospheric perspective, &c., is, through its effect upon the eye, unerringly given and accurately taken account of: the Indian proverb, “Every corn of rice casts its shadow,” finds here its confirmation. Therefore here everything shows itself so consistent, accurately regular, connected, and scrupulously right; here there are no evasions. If now we consider the sight of a beautiful view, merely as a brain-phenomenon, it is the only one among the complicated brain-phenomena which is always absolutely regular, blameless, and perfect; all the rest, especially our own mental operations, are, in form or material, affected more or less with defects or inaccuracies. From this excellence of the sight of beautiful nature, is the harmonious and thoroughly satisfying character of its impression to be explained, and also the favourable effect which it has upon our whole thought, which in its formal part thereby becomes more correctly disposed, and to a certain extent purified, for that brain-phenomenon which alone is entirely faultless sets the brain in general in perfectly normal action; and now the thought seeks to follow that method of nature in the consistency, connectedness, regularity, and harmony of all its processes, after being brought by it into the right swing. A beautiful view is therefore a cathartic of the mind, as music, according to Aristotle, is of the feeling, and in its presence one will think most correctly.

That the sight of a mountain chain suddenly rising before us throws us so easily into a serious, and even sublime mood may partly depend upon the fact that the form of the mountains and the outline of the chain arising from it is the only constantly permanent line of the landscape, for the mountains alone defy the decay which soon sweeps away everything else, especially our own ephemeral person. Not that at the sight of the mountain chain all



this appeared distinctly in our consciousness, but an obscure feeling of it is the fundamental note of our mood.

I would like to know why it is that while for the human form and countenance light from above is altogether the most advantageous, and light from below the most unfavourable, with regard to landscape nature exactly the converse holds good.

Yet how æsthetic is nature! Every spot that is entirely uncultivated and wild, *i.e.*, left free to itself, however small it may be, if only the hand of man remains absent, it decorates at once in the most tasteful manner, clothes it with plants, flowers, and shrubs, whose unforced nature, natural grace, and tasteful grouping bears witness that they have not grown up under the rod of correction of the great egoist, but that nature has here moved freely. Every neglected plant at once becomes beautiful. Upon this rests the principle of the English garden, which is as much as possible to conceal art, so that it may appear as if nature had here moved freely; for only then is it perfectly beautiful, *i.e.*, shows in the greatest distinctness the objectification of the still unconscious will to live, which here unfolds itself with the greatest naïveté, because the forms are not, as in the animal world, determined by external ends, but only immediately by the soil, climate, and a mysterious third influence on account of which so many plants which have originally sprung up in the same soil and climate yet show such different forms and characters.

The great difference between the English, or more correctly the Chinese, garden and the old French, which is now always becoming more rare, yet still exists in a few magnificent examples, ultimately rests upon the fact that the former is planned in an objective spirit, the latter in a subjective. In the former the will of nature, as it objectifies itself in tree and shrub, mountain and waterfall, is brought to the purest possible expression of these its Ideas, thus of its own inner being. In the French garden, on the other hand, only the will of the possessor of it is mirrored, which has subdued nature so that instead of its Ideas it bears as tokens of its slavery the forms which correspond to that will, and which are forcibly imposed upon it — clipped hedges, trees cut into all kinds of forms, straight alleys, arched avenues, &c.

## Chapter XXXIV.<sup>18</sup> On The Inner Nature Of Art.

Not merely philosophy but also the fine arts work at bottom towards the solution of the problem of existence. For in every mind that once gives itself up to the purely objective contemplation of nature a desire has been excited, however concealed and unconscious it may be, to comprehend the true nature of things, of life and existence. For this alone has interest for the intellect as such, *i.e.*, for the pure subject of knowledge which has become free from the aims of the will; as for the subject which knows as a mere individual the aims of the will alone have interest. On this account the result of the purely objective apprehension of things is an expression more of the nature of life and existence, more an answer to the question, "What is life?" Every genuine and successful work of art answers this question in its own way with perfect correctness. But all the arts speak only the naive and childish language of perception, not the abstract and serious language of reflection; their answer is therefore a fleeting image: not permanent and general knowledge. Thus for perception every work of art answers that question, every painting, every statue, every poem, every scene upon the stage: music also answers it; and indeed more profoundly than all the rest, for in its language, which is understood with absolute directness, but which is yet untranslatable into that of the reason, the inner nature of all life and existence expresses itself. Thus all the other arts hold up to the questioner a perceptible image, and say, "Look here, this is life." Their answer, however correct it may be, will yet always afford merely a temporary, not a complete and final, satisfaction. For they always give merely a fragment, an example instead of the rule, not the whole, which can only be given in the universality of the conception. For this, therefore, thus for reflection and in the abstract, to give an answer which just on that account shall be permanent and suffice for always, is the task of philosophy. However, we see here upon what the relationship of philosophy to the fine arts rests, and can conclude from that to what extent the capacity of both, although in its direction and in secondary matters very different, is yet in its root the same.

Every work of art accordingly really aims at showing us life and things as they are in truth, but cannot be directly discerned by every one through the mist of objective and subjective contingencies. Art takes away this mist.

The works of the poets, sculptors, and representative artists in general contain an unacknowledged treasure of profound wisdom; just because out of them the wisdom of the nature of things itself speaks, whose utterances they merely interpret by illustrations and purer repetitions. On this account, however, every one who reads the poem or looks at the picture must certainly contribute out of his own means to bring that wisdom to light; accordingly he comprehends only so much of it as his capacity and culture admit of; as in the deep sea each sailor only lets down the lead as far as the length of the line will allow. Before a picture, as before a prince, every one must stand, waiting to see whether and what it will speak to him; and, as in the case of a prince, so here he must not himself address it, for then he would only hear himself. It follows from all this that in the works of the representative arts all truth is certainly contained, yet only virtualiter or implicate; philosophy, on the other hand, endeavours to supply the same truth actualiter and explicite, and therefore, in this sense, is related to art as wine to grapes. What it promises to supply would be, as it were, an already realised and clear gain, a firm and abiding possession; while that which proceeds from the achievements and works of art is one which has constantly to be reproduced anew. Therefore, however, it makes demands, not only upon those who produce its works, but also upon those who are to enjoy them which are discouraging and hard to comply with. Therefore its public remains small, while that of art is large.

The co-operation of the beholder, which is referred to above, as demanded for the enjoyment of a work of art, depends partly upon the fact that every work of art can only produce its effect through the medium of the fancy; therefore it must excite this, and can never allow it to be left out of the play and remain inactive. This is a condition of the æsthetic effect, and therefore a fundamental law of all fine arts. But it follows from this that, through the work of art, everything must not be directly given to the senses, but rather only so much as is demanded to lead the fancy on to the right path; something, and indeed the ultimate thing, must always be left over for the fancy to do. Even the author must always leave something over for the reader to think; for Voltaire has very rightly said, “Le secret d’être ennuyeux, c’est de tout dire.” But besides this, in art the best of all is too spiritual to be given directly to the senses; it must be born in the imagination of the beholder, although begotten by the work of art. It depends upon this that the sketches of great masters often effect more than

their finished pictures; although another advantage certainly contributes to this, namely, that they are completed offhand in the moment of conception; while the perfected painting is only produced through continued effort, by means of skilful deliberation and persistent intention, for the inspiration cannot last till it is completed. From the fundamental æsthetical law we are speaking of, it is further to be explained why wax figures never produce an æsthetic effect, and therefore are not properly works of fine art, although it is just in them that the imitation of nature is able to reach its highest grade. For they leave nothing for the imagination to do. Sculpture gives merely the form without the colour; painting gives the colour, but the mere appearance of the form; thus both appeal to the imagination of the beholder. The wax figure, on the other hand, gives all, form and colour at once; whence arises the appearance of reality, and the imagination is left out of account. Poetry, on the contrary, appeals indeed to the imagination alone, which it sets in action by means of mere words.

An arbitrary playing with the means of art without a proper knowledge of the end is, in every art, the fundamental characteristic of the dabbler. Such a man shows himself in the pillars that support nothing, aimless volutes, juttings and projections of bad architecture, in the meaningless runs and figures, together with the aimless noise of bad music, in the jingling of the rhymes of senseless poetry, &c.

It follows from the preceding chapter, and from my whole view of art, that its aim is the facilitating of the knowledge of the Ideas of the world (in the Platonic sense, the only one which I recognise for the word Idea). The Ideas, however, are essentially something perceptible, which, therefore, in its fuller determinations, is inexhaustible. The communication of such an Idea can therefore only take place on the path of perception, which is that of art. Whoever, therefore, is filled with the comprehension of an Idea is justified if he chooses art as the medium of its communication. The mere conception, on the other hand, is something completely determinable, therefore exhaustible, and distinctly thought, the whole content of which can be coldly and dryly expressed in words. Now to desire to communicate such a conception by means of a work of art is a very useless circumlocution, indeed belongs to that playing with the means of art without knowledge of its end which has just been condemned. Therefore a work of art which has proceeded from mere distinct conceptions is always ungenuine. If now, in considering a work of plastic art, or in reading a

poem, or in hearing a piece of music (which aims at describing something definite), we see, through all the rich materials of art, the distinct, limited, cold, dry conception shine out, and at last come to the front, the conception which was the kernel of this work, the whole notion of which consequently consisted in the distinct thinking of it, and accordingly is absolutely exhausted by its communication, we feel disgusted and indignant, for we see ourselves deceived and cheated out of our interest and attention. We are only perfectly satisfied by the impression of a work of art when it leaves something which, with all our thinking about it, we cannot bring down to the distinctness of a conception. The mark of that hybrid origin from mere conceptions is that the author of a work of art could, before he set about it, give in distinct words what he intended to present; for then it would have been possible to attain his whole end through these words. Therefore it is an undertaking as unworthy as it is absurd if, as has often been tried at the present day, one seeks to reduce a poem of Shakspeare's or Goethe's to the abstract truth which it was its aim to communicate. Certainly the artist ought to think in the arranging of his work; but only that thought which was perceived before it was thought has afterwards, in its communication, the power of animating or rousing, and thereby becomes imperishable. We shall not refrain from observing here that certainly the work which is done at a stroke, like the sketches of painters already referred to, the work which is completed in the inspiration of its first conception, and as it were unconsciously dashed off, like the melody which comes entirely without reflection, and quite as if by inspiration, and finally, also the lyrical poem proper, the mere song, in which the deeply felt mood of the present, and the impression of the surroundings, as if involuntarily, pours itself forth in words, whose metre and rhyme come about of their own accord — that all these, I say, have the great advantage of being purely the work of the ecstasy of the moment, the inspiration, the free movement of genius, without any admixture of intention and reflection; hence they are through and through delightful and enjoyable, without shell and kernel, and their effect is much more inevitable than that of the greatest works of art, of slower and more deliberate execution. In all the latter, thus in great historical paintings, in long epic poems, great operas, &c., reflection, intention, and deliberate selection has had an important part; understanding, technical skill, and routine must here fill up the gaps which the conception and inspiration of genius has left, and must mix with these all kinds of

necessary supplementary work as cement of the only really genuinely brilliant parts. This explains why all such works, only excepting the perfect masterpieces of the very greatest masters (as, for example, “Hamlet,” “Faust,” the opera of “Don Juan”), inevitably contain an admixture of something insipid and wearisome, which in some measure hinders the enjoyment of them. Proofs of this are the “Messiah,” “*Gerusalemme liberata*,” even “Paradise Lost” and the “Æneid;” and Horace already makes the bold remark, “Quandoque dormitat bonus Homerus.” But that this is the case is the consequence of the limitation of human powers in general.

The mother of the useful arts is necessity; that of the fine arts superfluity. As their father, the former have understanding; the latter genius, which is itself a kind of superfluity, that of the powers of knowledge beyond the measure which is required for the service of the will.

## Chapter XXXV.<sup>19</sup> On The Æsthetics Of Architecture.

In accordance with the deduction given in the text of the pure æsthetics of architecture from the lowest grades of the objectification of the will or of nature, the Ideas of which it seeks to bring to distinct perception, its one constant theme is support and burden, and its fundamental law is that no burden shall be without sufficient support, and no support without a suitable burden; consequently that the relation of these two shall be exactly the fitting one. The purest example of the carrying out of this theme is the column and entablature. Therefore the order or columnar arrangement has become, as it were, the thorough bass of the whole of architecture. In column and entablature the support and the burden are completely separated; whereby the reciprocal action of the two and their relation to each other becomes apparent. For certainly even every plain wall contains support and burden; but here the two are still fused together. All is here support and all is burden; hence there is no æsthetic effect. This first appears through the separation, and takes place in proportion to its degree. For between the row of columns and the plain wall there are many intermediate degrees. Even in the mere breaking up of the wall of a house by windows and doors one seeks at least to indicate that separation by flat projecting pilasters (*antæ*) with capitals, which are inserted under the mouldings, nay, in case of need, are represented by mere painting, in order to indicate in some way the entablature and an order. Real pillars, and also consoles and supports of various kinds, realise more that pure separation of support and burden which is striven after throughout by architecture. In this respect, next to the column with the entablature, but as a special construction not imitating it, stands the vault with the pillar. The latter certainly is far from attaining to the æsthetic effect of the former, because here the support and the burden are not purely separated, but are fused, passing over into each other. In the vault itself every stone is at once burden and support, and even the pillars, especially in groined vaulting, are, at least apparently, held in position by the pressure of opposite arches; and also just on account of this lateral pressure not only vaults but even mere arches ought not to rest upon columns, but require the massive four-cornered pillars. In the row of columns alone is the separation complete, for here the entablature appears as pure burden, the column as pure support.

Accordingly the relation of the colonnade to the plain wall may be compared to that which would exist between a scale ascending in regular intervals and a tone ascending little by little from the same depth to the same height without gradation, which would produce a mere howl. For in the one as in the other the material is the same, and the important difference proceeds entirely from the pure separation.

Moreover, the support is not adapted to the burden when it is only sufficient to bear it, but when it can do this so conveniently and amply that at the first glance we are quite at ease about it. Yet this superfluity of support must not exceed a certain degree; for otherwise we will perceive support without burden, which is opposed to the æsthetic end. As a rule for determining that degree the ancients devised the line of equilibrium, which is got by carrying out the diminution of the thickness of the column as it ascends till it runs out into an acute angle, whereby the column becomes a cone; now every cross section will leave the lower part so strong that it is sufficient to support the upper part cut off. Commonly, however, one builds with twentyfold strength, *i.e.*, one lays upon every support only 1/20th of the maximum it could bear. A glaring example of burden without support is presented to the eye by the balconies at the corners of many houses built in the elegant style of the present day. We do not see what supports them; they seem to hang suspended, and disturb the mind.

That in Italy even the simplest and most unornamented buildings make an æsthetic impression, while in Germany this is not the case, depends principally upon the fact that in Italy the roofs are very flat. A high roof is neither support nor burden, for its two halves mutually support each other, but the whole has no weight corresponding to its extension. Therefore it presents to the eye an extended mass which is entirely foreign to the æsthetic end, serves merely a useful end, consequently disturbs the former, of which the theme is always only support and burden.

The form of the column has its sole ground in the fact that it affords the simplest and most suitable support. In the twisted column inappropriateness appears as if with intentional perversity, and therefore shamelessness: hence good taste condemns it at the first glance. The four-cornered pillar, since the diagonal exceeds the sides, has unequal dimensions of thickness which have no end as their motive, but are occasioned by the accident of greater feasibility; and just on this account it pleases us so very much less than the column. Even the hexagonal or octagonal pillar is more pleasing,



because it approaches more nearly to the round column; for the form of the latter alone is exclusively determined by the end. It is, however, also so determined in all its other proportions, primarily in the relation of its thickness to its height, within the limits permitted by the difference of the three columnar orders. Therefore its diminution from the first third of its height upwards, and also a slight increase of its thickness just at this place (*entasis vitr.*), depends upon the fact that the pressure of the burden is greatest there. It has hitherto been believed that this increase in thickness was peculiar to the Ionic and Corinthian columns alone, but recent measurements have shown it also in the Doric columns, even at Pæstum. Thus everything in the column, its thoroughly determined form, the proportion of its height to its thickness, of both to the intervals between the columns, and that of the whole series to the entablature and the burden resting upon it, is the exactly calculated result of the relation of the necessary support to the given burden. As the latter is uniformly distributed, so must also the support be; therefore groups of columns are tasteless. On the other hand, in the best Doric temples the corner column comes somewhat nearer to the next ones, because the meeting of the entablatures at the corner increases the burden; and in this the principle of architecture expresses itself distinctly, that the structural relations, *i.e.*, the relations between support and burden, are the essential ones, to which the relations of symmetry, as subordinate, must at once give way. According to the weight of the whole burden generally will the Doric or the two lighter orders of columns be chosen, for the first, not only by the greater thickness, but also by the closer position of the columns, which is essential to it, is calculated for heavier burdens, to which end also the almost crude simplicity of its capital is suited. The capitals in general serve the end of showing visibly that the columns bear the entablature, and are not stuck in like pins; at the same time they increase by means of their abacus the bearing surface. Since, then, all the laws of columnar arrangement, and consequently also the form and proportion of the column, in all its parts and dimensions down to the smallest details, follow from the thoroughly understood and consistently carried out conception of the amply adequate support of a given burden, thus so far are determined a priori, it comes out clearly how perverse is the thought, so often repeated, that the stems of trees, or even (which unfortunately even "Vitruvius," iv. 1, expresses) the human form has been the prototype of the column. For if the form of the column were for

architecture a purely accidental one, taken from without, it could never appeal to us so harmoniously and satisfactorily whenever we behold it in its proper symmetry; nor, on the other hand, could every even slight disproportion of it be felt at once by the fine and cultivated sense as disagreeable and disturbing, like a false note in music. This is rather only possible because, according to the given end and means, all the rest is essentially determined a priori, as in music, according to the given melody and key, the whole harmony is essentially so determined. And, like music, architecture in general is also not an imitative art, although both are often falsely taken to be so.

Æsthetic satisfaction, as was fully explained in the text, always depends upon the apprehension of a (Platonic) Idea. For architecture, considered merely as a fine art, the Ideas of the lowest grades of nature, such as gravity, rigidity, and cohesion, are the peculiar theme; but not, as has hitherto been assumed, merely regular form, proportion, and symmetry, which, as something purely geometrical, properties of space, are not Ideas, and therefore cannot be the theme of a fine art. Thus in architecture also they are of secondary origin, and have a subordinate significance, which I shall bring out immediately. If it were the task of architecture as a fine art simply to exhibit these, then the model would have the same effect as the finished work. But this is distinctly not the case; on the contrary, the works of architecture, in order to act æsthetically, absolutely must have a considerable size; nay, they can never be too large, but may easily be too small. Indeed *ceteris paribus* the æsthetic effect is in exact proportion to the size of the building, because only great masses make the action of gravitation apparent and impressive in a high degree. But this confirms my view that the tendency and antagonism of those fundamental forces of nature constitute the special æsthetical material of architecture, which, according to its nature, requires large masses in order to become visible, and indeed capable of being felt. The forms in architecture, as was shown above in the case of the column, are primarily determined by the immediate structural end of each part. But so far as this leaves anything undetermined, the law of the most perfect clearness to perception, thus also of the easiest comprehensibility, comes in; for architecture has its existence primarily in our spatial perception, and accordingly appeals to our a priori faculty for this. But these qualities always result from the greatest regularity of the forms and rationality of their relations. Therefore beautiful architecture

selects only regular figures composed of straight lines or regular curves, and also the bodies which result from these, such as cubes, parallelopipeda, cylinders, spheres, pyramids, and cones; but as openings sometimes circles or ellipses, yet, as a rule, quadrates, and still oftener rectangles, the latter of thoroughly rational and very easily comprehended relation of their sides (not, for instance as 6:7, but as 1:2, 2:3), finally also blind windows or niches of regular and comprehensible proportions. For the same reason it will readily give to the buildings themselves and their large parts a rational and easily comprehended relation of height and breadth; for example, it will let the height of a facade be half the breadth, and place the pillars so that every three or four of them, with the intervals between them, will measure a line which is equal to the height, thus will form a quadrate. The same principle of perceptibility and easy comprehension demands also that a building should be easily surveyed. This introduces symmetry, which is further necessary to mark out the work as a whole, and to distinguish its essential from its accidental limitation; for sometimes, for example, it is only under the guidance of symmetry that one knows whether one has before one three buildings standing beside each other or only one. Thus only by means of symmetry does a work of architecture at once announce itself as individual unity, and as the development of a central thought.

Now although, as was cursorily shown above, architecture has by no means to imitate the forms of nature, such as the stems of trees or even the human figure, yet it ought to work in the spirit of nature, for it makes the law its own, *natura nihil agit frustra, nihilque supervacaneum, et quod commodissimum in omnibus suis operationibus sequitur*, and accordingly avoids everything which is even only apparently aimless, and always attains the end in view in each case, whether this is purely architectonic, *i.e.*, structural, or an end connected with usefulness, by the shortest and most natural path, and thus openly exhibits the end through the work itself. Thus it attains a certain grace, analogous to that which in living creatures consists in the ease and suitableness of every movement and position to its end. Accordingly we see in the good antique style of architecture every part, whether pillar, column, arch, entablature, or door, window, stair, or balcony, attain its end in the directest and simplest manner, at the same time displaying it openly and naively; just as organised nature also does in its works. The tasteless style of architecture, on the contrary, seeks in everything useless roundabout ways, and delights in caprices, thereby hits

upon aimlessly broken and irregular entablatures, grouped columns, fragmentary cornices on door arches and gables, meaningless volutes, scrolls, and such like. It plays with the means of the art without understanding its aims, as children play with the tools of grown-up people. This was given above as the character of the bungler. Of this kind is every interruption of a straight line, every alteration in the sweep of a curve, without apparent end. On the other hand, it is also just that naive simplicity in the disclosure and attainment of the end, corresponding to the spirit in which nature works and fashions, that imparts such beauty and grace of form to antique pottery that it ever anew excites our wonder, because it contrasts so advantageously in original taste with our modern pottery, which bears the stamp of vulgarity, whether it is made of porcelain or common potter's clay. At the sight of the pottery and implements of the ancients we feel that if nature had wished to produce such things it would have done so in these forms. Since, then, we see that the beauty of architecture arises from the unconcealed exhibition of the ends, and the attainment of them by the shortest and most natural path, my theory here appears in direct contradiction with that of Kant, which places the nature of all beauty in an apparent design without an end.

The sole theme of architecture here set forth — support and burden — is so very simple, that just on this account this art, so far as it is a fine art (but not so far as it serves useful ends), is perfect and complete in essential matters, since the best Greek period, at least, is not susceptible of any important enrichment. On the other hand, the modern architect cannot noticeably depart from the rules and patterns of the ancients without already being on the path of deterioration. Therefore there remains nothing for him to do but to apply the art transmitted to him by the ancients, and carry out the rules so far as is possible under the limitations which are inevitably laid down for him by wants, climate, age, and country. For in this art, as in sculpture, the effort after the ideal unites with the imitation of the ancients.

I scarcely need to remind the reader that in all these considerations I have had in view antique architecture alone, and not the so-called Gothic style, which is of Saracen origin, and was introduced by the Goths in Spain to the rest of Europe. Perhaps a certain beauty of its own kind is not altogether to be denied to this style, but yet if it attempts to oppose itself to the former as its equal, then this is a barbarous presumption which must not be allowed for a moment. How beneficently, after contemplating such

Gothic magnificence, does the sight of a building correctly carried out in the antique style act upon our mind! We feel at once that this alone is right and true. If one could bring an ancient Greek before our most celebrated Gothic cathedrals, what would he say to them? — Βαββαπο! Our pleasure in Gothic works certainly depends for the most part upon the association of ideas and historical reminiscences, thus upon a feeling which is foreign to art. All that I have said of the true æsthetic end, of the spirit and the theme of architecture, loses in the case of these works its validity. For the freely lying entablature has vanished, and with it the columns: support and burden, arranged and distributed in order to give visible form to the conflict between rigidity and gravity, are here no longer the theme. Moreover, that thorough, pure rationality by virtue of which everything admits of strict account, nay, already presents it of its own accord to the thoughtful beholder, and which belongs to the character of antique architecture, can here no longer be found; we soon become conscious that here, instead of it, a will guided by other conceptions has moved; therefore much remains unexplained to us. For only the antique style of architecture is conceived in a purely objective spirit; the Gothic style is more in the subjective spirit. Yet as we have recognised the peculiar æsthetic fundamental thought of antique architecture in the unfolding of the conflict between rigidity and gravity, if we wish to discover in Gothic architecture also an analogous fundamental thought, it will be this, that here the entire overcoming and conquest of gravity by rigidity is supposed to be exhibited. For in accordance with this the horizontal line which is that of burden has entirely vanished, and the action of gravity only appears indirectly, disguised in arches and vaults, while the vertical line which is that of support, alone prevails, and makes palpable to the senses the victorious action of rigidity, in excessively high buttresses, towers, turrets, and pinnacles without number which rise unencumbered on high. While in antique architecture the tendency and pressure from above downwards is just as well represented and exhibited as that from below upwards, here the latter decidedly predominates; whence that analogy often observed with the crystal, whose crystallisation also takes place with the overcoming of gravity. If now we attribute this spirit and fundamental thought to Gothic architecture, and would like thereby to set it up as the equally justified antithesis of antique architecture, we must remember that the conflict between rigidity and gravity, which the antique architecture so openly and naïvely expresses, is an actual and true conflict

founded in nature; the entire overcoming of gravity by rigidity, on the contrary, remains a mere appearance, a fiction accredited by illusion. Every one will easily be able to see clearly how from the fundamental thought given here, and the peculiarities of Gothic architecture noticed above, there arises that mysterious and hyperphysical character which is attributed to it. It principally arises, as was already mentioned, from the fact that here the arbitrary has taken the place of the purely rational, which makes itself known as the thorough adaptation of the means to the end. The many things that are really aimless, but yet are so carefully perfected, raise the assumption of unknown, unfathomed, and secret ends, *i.e.*, give the appearance of mystery. On the other hand, the brilliant side of Gothic churches is the interior; because here the effect of the groined vaulting borne by slender, crystalline, aspiring pillars, raised high aloft, and, all burden having disappeared, promising eternal security, impresses the mind; while most of the faults which have been mentioned lie upon the outside. In antique buildings the external side is the most advantageous, because there we see better the support and the burden; in the interior, on the other hand, the flat roof always retains something depressing and prosaic. For the most part, also, in the temples of the ancients, while the outworks were many and great, the interior proper was small. An appearance of sublimity is gained from the hemispherical vault of a cupola, as in the Pantheon, of which, therefore, the Italians also, building in this style, have made a most extensive use. What determines this is, that the ancients, as southern peoples, lived more in the open air than the northern nations who have produced the Gothic style of architecture. Whoever, then, absolutely insists upon Gothic architecture being accepted as an essential and authorised style may, if he is also fond of analogies, regard it as the negative pole of architecture, or, again, as its minor key. In the interest of good taste I must wish that great wealth will be devoted to that which is objectively, *i.e.*, actually, good and right, to what in itself is beautiful, but not to that whose value depends merely upon the association of ideas. Now when I see how this unbelieving age so diligently finishes the Gothic churches left incomplete by the believing Middle Ages, it looks to me as if it were desired to embalm a dead Christianity.

## Chapter XXXVI.<sup>20</sup> Isolated Remarks On The Æsthetics Of The Plastic And Pictorial Arts.

In sculpture beauty and grace are the principal things; but in painting expression, passion, and character predominate; therefore just so much of the claims of beauty must be neglected. For a perfect beauty of all forms, such as sculpture demands, would detract from the characteristic and weary by monotony. Accordingly painting may also present ugly faces and emaciated figures; sculpture, on the other hand, demands beauty, although not always perfect, but, throughout, strength and fulness of the figures. Consequently a thin Christ upon the Cross, a dying St. Jerome, wasted by age and disease, like the masterpiece of Domenichino, is a proper subject for painting; while, on the contrary, the marble figure by Donatello, in the gallery at Florence, of John the Baptist, reduced to skin and bone by fasting, has, in spite of the masterly execution, a repulsive effect. From this point of view sculpture seems suitable for the affirmation, painting for the negation, of the will to live, and from this it may be explained why sculpture was the art of the ancients, while painting has been the art of the Christian era.

In connection with the exposition given in § 45 of the first volume, that the discovery, recognition, and retention of the type of human beauty depends to a certain extent upon an anticipation of it, and therefore in part has an a priori foundation, I find that I have yet to bring out clearly the fact that this anticipation nevertheless requires experience, by which it may be stirred up; analogous to the instinct of the brutes, which, although guiding the action a priori, yet requires determination by motives in the details of it. Experience and reality present to the intellect of the artist human forms, which, in one part or another, are more or less true to nature, as if it were asking for his judgment concerning them, and thus, after the Socratic method, call forth from that obscure anticipation the distinct and definite knowledge of the ideal. Therefore it assisted the Greek sculptors very much that the climate and customs of their country gave them opportunity the whole day of seeing half-naked forms, and in the gymnasium entirely naked forms. In this way every limb presented its plastic significance to criticism, and to comparison with the ideal which lay undeveloped in their consciousness. Thus they constantly exercised their judgment with regard to all forms and limbs, down to their finest shades of difference; and thus, little

by little, their originally dull anticipation of the ideal of human beauty was raised to such distinct consciousness that they became capable of objectifying it in works of art. In an entirely analogous manner some experience is useful and necessary to the poet for the representation of characters. For although he does not work according to experience and empirical data, but in accordance with the clear consciousness of the nature of humanity, as he finds it within himself, yet experience serves this consciousness as a pattern, incites it and gives it practice. Accordingly his knowledge of human nature and its varieties, although in the main it proceeds a priori and by anticipation, yet first receives life, definiteness, and compass through experience. But, supporting ourselves upon the preceding book and chapter 44 in the following book, we can go still deeper into the ground of that marvellous sense of beauty of the Greeks which made them alone of all nations upon earth capable of discovering the true normal type of the human form, and accordingly of setting up the pattern of beauty and grace for the imitation of all ages, and we can say: The same thing which, if it remains unseparated from the will, gives sexual instinct with its discriminating selection, *i.e.*, sexual love (which it is well known was subject among the Greeks to great aberrations), becomes, if, by the presence of an abnormally preponderating intellect, it separates itself from the will and yet remains active, the objective sense of beauty of the human form, which now shows itself primarily as a critical artistic sense, but can rise to the discovery and representation of the norm of all parts and proportions; as was the case in Phidias, Praxiteles, Scopas, &c. Then is fulfilled what Goethe makes the artist say —

“That I with mind divine  
And human hand  
May be able to form  
What with my wife,  
As animal, I can and must.”

And again, analogous to this, that which in the poet, if it remained unseparated from the will, would give only worldly prudence, becomes, if it frees itself from the will by abnormal preponderance of the intellect, the capacity for objective, dramatic representation.

Modern sculpture, whatever it may achieve, is still analogous to modern Latin poetry, and, like this, is a child of imitation, sprung from reminiscences. If it presumes to try to be original, it at once goes astray,



especially upon the bad path of forming according to nature as it lies before it, instead of according to the proportions of the ancients. Canova, Thorwaldsen, and many others may be compared to Johannes Secundus and Owenus. It is the same with architecture, only there it is founded in the art itself, the purely æsthetic part of which is of small compass, and was already exhausted by the ancients; therefore the modern architect can only distinguish himself in the wise application of it; and he ought to know that he removes himself from good taste just so far as he departs from the style and pattern of the Greeks.

The art of the painter, considered only so far as it aims at producing the appearance of reality, may ultimately be referred to the fact that he understands how to separate purely what in seeing is the mere sensation, thus the affection of the retina, *i.e.*, the only directly given effect, from its cause, *i.e.*, the objective external world, the perception of which first rises in the understanding from this effect; whereby, if he has technical skill, he is in a position to produce the same effect in the eye through an entirely different cause, the patches of applied colour, from which then in the understanding of the beholder the same perception again arises through the unavoidable reference of the effect to the ordinary cause.

If we consider how there lies something so entirely idiosyncratic, so thoroughly original, in every human countenance, and that it presents a whole which can only belong to a unity consisting entirely of necessary parts by virtue of which we recognise a known individual out of so many thousands, even after long years, although the possible variations of human features, especially of one race, lie within very narrow limits, we must doubt whether anything of such essential unity and such great originality could ever proceed from any other source than from the mysterious depths of the inner being of nature; but from this it would follow that no artist could be capable of really reproducing the original peculiarity of a human countenance, or even of composing it according to nature from recollection. Accordingly what he produced of this kind would always be only a half true, nay, perhaps an impossible composition; for how should he compose an actual physiognomical unity when the principle of this unity is really unknown to him? Therefore, in the case of every face which has merely been imagined by an artist, we must doubt whether it is in fact a possible face, and whether nature, as the master of all masters, would not show it to be a bungled production by pointing out complete contradictions in it. This

would, of course, lead to the principle that in historical paintings only portraits ought to figure, which certainly would then have to be selected with the greatest care and in some degree idealised. It is well known that great artists have always gladly painted from living models and introduced many portraits.

Although, as is explained in the text, the real end of painting, as of art in general, is to make the comprehension of the (Platonic) Ideas of the nature of the world easier for us, whereby we are at once thrown into the state of pure, *i.e.*, will-less, knowing, there yet belongs to it besides this an independent beauty of its own, which is produced by the mere harmony of the colours, the pleasingness of the grouping, the happy distribution of light and shade, and the tone of the whole picture. This accompanying subordinate kind of beauty furthers the condition of pure knowing, and is in painting what the diction, the metre, and rhyme are in poetry; both are not what is essential, but what acts first and immediately.

I have some further evidence to give in support of my judgment given in the first volume, § 50, on the inadmissibility of allegory in painting. In the Borghese palace at Rome there is the following picture by Michael Angelo Caravaggio: Jesus, as a child of about ten years old, treads upon the head of a serpent, but entirely without fear and with great calmness; and His mother, who accompanies Him, remains quite as indifferent. Close by stands St. Elizabeth, looking solemnly and tragically up to heaven. Now what could be thought of this kyriological hieroglyphic by a man who had never heard anything about the seed of the woman that should bruise the head of the serpent? At Florence, in the library of the palace Riccardi, we find the following allegory upon the ceiling, painted by Luca Giordano, which is meant to signify that science frees the understanding from the bonds of ignorance: the understanding is a strong man bound with cords, which are just falling off; a nymph holds a mirror in front of him, another hands him a large detached wing; above sits science on a globe, and beside her, with a globe in her hand, the naked truth. At Ludwigsburg, near Stuttgart, there is a picture which shows us time, as Saturn, cutting off with a pair of shears the wings of Cupid. If this is meant to signify that when we grow old love proves unstable, this no doubt has its truth.

The following may serve to strengthen my solution of the problem as to why Laocoon does not cry out. One may practically convince oneself of the faulty effect of the representation of shrieking by the works of the plastic

and pictorial arts, which are essentially dumb, by a picture of the slaughter of the innocents, by Guido Reni, which is to be found in the Academy of Arts at Bologna, and in which this great artist has committed the mistake of painting six shrieking wide-open mouths. Let any one who wants to have this more distinct think of a pantomimic representation on the stage, and in one of the scenes an urgent occasion for one of the players to shriek; if now the dancer who is representing this part should express the shriek by standing for a while with his mouth wide open, the loud laughter of the whole house would bear witness to the absurdity of the thing. Accordingly, since the shrieking of Laocoon had to be avoided for reasons which did not lie in the objects to be represented, but in the nature of the representing art, the task thus arose for the artist so to present this not-shrieking as to make it plausible to us that a man in such a position should not shriek. He solves this problem by representing the bite of the snake, not as having already taken place, nor yet as still threatening, but as just happening now in the side; for thereby the lower part of the body is contracted, and shrieking made impossible. This immediate but only subordinate reason was correctly discovered by Goethe, and is expounded at the end of the eleventh book of his autobiography, and also in the paper on Laocoon in the first part of the *Propylæa*; but the ultimate, primary reason, which conditions this one, is that which I have set forth. I cannot refrain from remarking that I here stand in the same relation to Goethe as with reference to the theory of colours. In the collection of the Duke of Aremberg at Brussels there is an antique head of Laocoon which was found later. However, the head in the world-renowned group is not a restored one which follows from Goethe's special table of all the restorations of this group, which is given at the end of the first volume of the *Propylæa*, and is also confirmed by the fact that the head which was found later resembles that of the group very much. Thus we must assume that another antique repetition of the group has existed to which the Aremberg head belonged. In my opinion the latter excels both in beauty and expression that of the group. It has the mouth decidedly wider open than in the group, yet not really to the extent of shrieking.

## Chapter XXXVII.<sup>21</sup> On The Æsthetics Of Poetry.

I might give it as the simplest and most correct definition of poetry, that it is the art of bringing the imagination into play by means of words. How it brings this to pass I have shown in the first volume, § 51. A special confirmation of what is said there is afforded by the following passage in a letter of Wieland's to Merck, which has since then been published: "I have spent two days and a half upon a single stanza, in which the whole thing ultimately depended upon a single word which I wanted and could not find. I revolved and turned about the thing and my brain in all directions, because naturally, where a picture was in question, I desired to bring the same definite vision, which floated before my own mind into the mind of my reader also, and for this all often depends, ut nosti, upon a single touch or suggestion or reflex" (*Briefe an Merck*, edited by Wagner, 1835, p. 193). From the fact that the imagination of the reader is the material in which poetry exhibits its pictures, it has the advantage that the fuller development of these pictures and their finer touches, take place in the imagination of every one just as is most suitable to his individuality, his sphere of knowledge, and his humour, and therefore move him in the most lively manner; instead of which plastic and pictorial art cannot so adapt itself, but here one picture, one form, must satisfy all. And yet this will always bear in some respect the stamp of the individuality of the artist or of his model, as a subjective or accidental and inefficient addition; although always less so the more objective, *i.e.*, the more of a genius, the artist is. This, to some extent, explains why works of poetry exercise a much stronger, deeper, and more universal effect than pictures and statues; the latter, for the most part, leave the common people quite cold; and, in general, the plastic arts are those which have the weakest effect. A remarkable proof of this is afforded by the frequent discovery and disclosure of pictures by great masters in private houses and all kinds of localities, where they have been hanging for many generations, not buried and concealed, but merely unheeded, thus without any effect. In my time (1823) there was even discovered in Florence a Madonna of Raphael's, which had hung for a long series of years on the wall of the servants' hall of a palace (in the *Quartiere di S. Spirito*); and this happens among Italians, the nation which is gifted beyond all others with the sense of the beautiful. It shows how little direct and

immediate effect the works of plastic and pictorial art have, and that it requires more culture and knowledge to prize them than the works of all other arts. How unfailingly, on the contrary, a beautiful melody that touches the heart makes its journey round the world, and an excellent poem wanders from people to people. That the great and rich devote their powerful support just to the plastic and pictorial arts, and expend considerable sums upon their works only; nay, at the present day, an idolatry, in the proper sense of the term, gives the value of a large estate for a picture of a celebrated old master — this depends principally upon the rarity of the masterpieces, the possession of which therefore gratifies pride; and then also upon the fact that the enjoyment of them demands very little time and effort, and is ready at any moment, for a moment; while poetry and even music make incomparably harder conditions. Corresponding to this, the plastic and pictorial arts may be dispensed with; whole nations — for example, the Mohammedan peoples — are without them, but no people is without music and poetry.

But the intention with which the poet sets our imagination in motion is to reveal to us the Ideas, *i.e.*, to show us by an example what life and what the world is. The first condition of this is that he himself has known it; according as his knowledge has been profound or superficial so will his poem be. Therefore, as there are innumerable degrees of profoundness and clearness in the comprehension of the nature of things, so are there of poets. Each of these, however, must regard himself as excellent so far as he has correctly represented what he knew, and his picture answers to his original: he must make himself equal with the best, for even in the best picture he does not recognise more than in his own, that is, as much as he sees in nature itself; for his glance cannot now penetrate deeper. But the best himself recognises himself as such in the fact that he sees how superficial was the view of the others, how much lay beyond it which they were not able to repeat, because they did not see it, and how much further his own glance and picture reaches. If he understood the superficial poets as little as they do him, then he would necessarily despair; for just because it requires an extraordinary man to do him justice, but the inferior poets can just as little esteem him as he can them, he also has long to live upon his own approval before that of the world follows it. Meanwhile he is deprived even of his own approval, for he is expected to be very modest. It is, however, as impossible that he who has merit, and knows what it costs, should himself

be blind to it, as that a man who is six feet high should not observe that he rises above others. If from the base of the tower to the summit is 300 feet, then certainly it is just as much from the summit to the base. Horace, Lucretius, Ovid, and almost all the ancients have spoken proudly of themselves, and also Dante, Shakspeare, Bacon of Verulam, and many more. That one can be a great man without observing anything of it is an absurdity of which only hopeless incapacity can persuade itself, in order that it may regard the feeling of its own insignificance as modesty. An Englishman has wittily and correctly observed that merit and modesty have nothing in common except the initial letter.<sup>22</sup> I have always a suspicion about modest celebrities that they may very well be right; and Corneille says directly —

“La fausse humilité ne met plus en crédit:

Je sçais ce que je vauz, et crois ce qu'on m'en dit.”

Finally, Goethe has frankly said, “Only good-for-nothings are modest.” But the assertion would be still more certain that those who so eagerly demand modesty from others, urge modesty, unceasingly cry, “Only be modest, for God’s sake, only be modest!” are positively good-for-nothings, *i.e.*, persons entirely without merit, manufactures of nature, ordinary members of the great mass of humanity. For he who himself has merit also concedes merit — understands himself truly and really. But he who himself lacks all excellence and merit wishes there was no such thing: the sight of it in others stretches him upon the rack; pale, green, and yellow envy consumes his heart: he would like to annihilate and destroy all those who are personally favoured; but if unfortunately he must let them live, it must only be under the condition that they conceal, entirely deny, nay, abjure their advantages. This, then, is the root of the frequent eulogising of modesty. And if the deliverers of these eulogies have the opportunity of suppressing merit as it arises, or at least of hindering it from showing itself or being known, who can doubt that they will do it? For this is the practice of their theory.

Now, although the poet, like every artist, always brings before us only the particular, the individual, what he has known, and wishes by his work to make us know, is the (Platonic) Idea, the whole species; therefore in his images, as it were, the type of human characters and situations will be impressed. The narrative and also the dramatic poet takes the whole particular from life, and describes it accurately in its individuality, but yet

reveals in this way the whole of human existence; for although he seems to have to do with the particular, in truth he is concerned with that which is everywhere and at all times. Hence it arises that sentences, especially of the dramatic poets, even without being general apophthegms, find frequent application in actual life. Poetry is related to philosophy as experience is related to empirical science. Experience makes us acquainted with the phenomenon in the particular and by means of examples, science embraces the whole of phenomena by means of general conceptions. So poetry seeks to make us acquainted with the (Platonic) Ideas through the particular and by means of examples. Philosophy aims at teaching, as a whole and in general, the inner nature of things which expresses itself in these. One sees even here that poetry bears more the character of youth, philosophy that of old age. In fact, the gift of poetry really only flourishes in youth; and also the susceptibility for poetry is often passionate in youth: the youth delights in verses as such, and is often contented with small ware. This inclination gradually diminishes with years, and in old age one prefers prose. By that poetical tendency of youth the sense of the real is then easily spoiled. For poetry differs from reality by the fact that in it life flows past us, interesting and yet painless; while in reality, on the contrary, so long as it is painless it is uninteresting, and as soon as it becomes interesting, it does not remain without pain. The youth who has been initiated into poetry earlier than into reality now desires from the latter what only the former can achieve; this is a principal source of the discomfort which oppresses the most gifted youths.

Metre and rhyme are a fetter, but also a veil which the poet throws round him, and under which he is permitted to speak as he otherwise dared not do; and that is what gives us pleasure. He is only half responsible for all that he says; metre and rhyme must answer for the other half. Metre, or measure, as mere rhythm, has its existence only in time, which is a pure perception a priori, thus, to use Kant's language, belongs merely to pure sensibility; rhyme, on the other hand, is an affair of sensation, in the organ of hearing, thus of empirical sensibility. Therefore rhythm is a much nobler and more worthy expedient than rhyme, which the ancients accordingly despised, and which found its origin in those imperfect languages which arose from the corruption of earlier ones and in barbarous times. The poorness of French poetry depends principally upon the fact that it is confined to rhyme alone without metre, and it is increased by the fact that in order to conceal its

want of means it has increased the difficulty of rhyming by a number of pedantic laws, such as, for example, that only syllables which are written the same way rhyme, as if it were for the eye and not for the ear that the hiatus is forbidden; that a number of words must not occur; and many such, to all of which the new school of French poetry seeks to put an end. In no language, however, at least on me, does the rhyme make such a pleasing and powerful impression as in Latin; the rhymed Latin poems of the Middle Ages have a peculiar charm. This must be explained from the fact that the Latin language is incomparably more perfect, more beautiful and noble, than any modern language, and now moves so gracefully in the ornaments and spangles which really belong to the latter, and which it itself originally despised.

To serious consideration it might almost appear as high treason against our reason that even the slightest violence should be done to a thought or its correct and pure expression, with the childish intention that after some syllables the same sound of word should be heard, or even that these syllables themselves should present a kind of rhythmical beat. But without such violence very few verses would be made; for it must be attributed to this that in foreign languages verses are much more difficult to understand than prose. If we could see into the secret workshops of the poets, we would find that the thought is sought for the rhyme ten times oftener than the rhyme for the thought; and even when the latter is the case, it is not easily accomplished without pliability on the part of the thought. But the art of verse bids defiance to these considerations, and, moreover, has all ages and peoples upon its side, so great is the power which metre and rhyme exercise upon the feeling, and so effective the mysterious lenocinium which belongs to them. I would explain this from the fact that a happily rhymed verse, by its indescribably emphatic effect, raises the feeling as if the thought expressed in it lay already predestined, nay, performed in the language, and the poet has only had to find it out. Even trivial thoughts receive from rhythm and rhyme a touch of importance; cut a figure in this attire, as among girls plain faces attract the eye by finery. Nay, even distorted and false thoughts gain through versification an appearance of truth. On the other hand, even famous passages from famous poets shrink together and become insignificant when they are reproduced accurately in prose. If only the true is beautiful, and the dearest ornament of truth is nakedness, then a thought which appears true and beautiful in prose will have more true worth



than one which affects us in the same way in verse. Now it is very striking, and well worth investigating, that such trifling, nay, apparently childish, means as metre and rhyme produce so powerful an effect. I explain it to myself in the following manner: That which is given directly to the sense of hearing, thus the mere sound of the words, receives from rhythm and rhyme a certain completeness and significance in itself for it thereby becomes a kind of music; therefore it seems now to exist for its own sake, and no longer as a mere means, mere signs of something signified, the sense of the words. To please the ear with its sound seems to be its whole end, and therefore with this everything seems to be attained and all claims satisfied. But that it further contains a meaning, expresses a thought, presents itself now as an unexpected addition, like words to music — as an unexpected present which agreeably surprises us — and therefore, since we made no demands of this kind, very easily satisfies us; and if indeed this thought is such that, in itself, thus said in prose, it would also be significant, then we are enchanted. I can remember, in my early childhood, that I had delighted myself for a long time with the agreeable sound of verse before I made the discovery that it all also contained meaning and thoughts. Accordingly there is also, in all languages, a mere doggerel poetry almost entirely devoid of meaning. Davis, the Sinologist, in the preface to his translation of the “*Laou-sang-urh*,” or “*An Heir in Old Age*” (*London, 1817*), observes that the Chinese dramas partly consist of verses which are sung, and adds: “The meaning of them is often obscure, and, according to the statements of the Chinese themselves, the end of these verses is especially to flatter the ear, and the sense is neglected, and even entirely sacrificed to the harmony.” Who is not reminded here of the choruses of many Greek tragedies which are often so hard to make out?

The sign by which one most immediately recognises the genuine poet, both of the higher and lower species, is the unforced nature of his rhymes. They have appeared of themselves as if by divine arrangement; his thoughts come to him already in rhyme. The homely, prosaic man on the contrary, seeks the rhyme for the thought; the bungler seeks the thought for the rhyme. Very often one can find out from a couple of rhymed verses which of the two had the thought and which had the rhyme as its father. The art consists in concealing the latter, so that such lines may not appear almost as mere stuffed out boutsrimés.

According to my feeling (proofs cannot here be given) rhyme is from its nature binary: its effect is limited to one single recurrence of the same sound, and is not strengthened by more frequent repetition. Thus whenever a final syllable has received the one of the same sound its effect is exhausted; the third recurrence of the note acts merely as a second rhyme which accidentally hits upon the same sound, but without heightening the effect; it links itself on to the existing rhyme, yet without combining with it to produce a stronger impression. For the first note does not sound through the second on to the third: therefore this is an æsthetic pleonasm, a double courage which is of no use. Least of all, therefore, do such accumulations of rhymes merit the heavy sacrifices which they cost in the octave rhyme, the *terza rima*, and the sonnet, and which are the cause of the mental torture under which we sometimes read such productions, for poetical pleasure is impossible under the condition of racking our brains. That the great poetical mind sometimes overcomes even these forms, and moves in them with ease and grace, does not extend to a recommendation of the forms themselves, for in themselves they are as ineffectual as they are difficult. And even in good poets, when they make use of these forms, we frequently see the conflict between the rhyme and the thought, in which now one and now the other gains the victory; thus either the thought is stunted for the sake of the rhyme, or the rhyme has to be satisfied with a weak *à peu près*. Since this is so, I do not regard it as an evidence of ignorance, but as a proof of good taste, that Shakspeare in his sonnets has given different rhymes to each quatrain. At any rate, their acoustic effect is not in the least diminished by it, and the thought obtains its rights far more than it could have done if it had had to be laced up in the customary Spanish boots.

It is a disadvantage for the poetry of a language if it has many words which cannot be used in prose, and, on the other hand, dare not use certain words of prose. The former is mostly the case in Latin and Italian poetry, and the latter in French, where it has recently been very aptly called, "*La bégeulerie de la langue française*;" both are to be found less in English, and least in German. For such words belonging exclusively to poetry remain foreign to our heart, do not speak to us directly, and therefore leave us cold. They are a conventional language of poetry, and as it were mere painted sensations instead of real ones: they exclude genuine feeling.

The distinction, so often discussed in our own day, between classic and romantic poetry seems to me ultimately to depend upon the fact that the former knows no other motives than those which are purely human, actual, and natural; the latter, on the other hand, also treats artificial conventional, and imaginary motives as efficient. To such belong the motives which spring from the Christian mythus, also from the chivalrous over-strained fantastical law of honour, further from the absurd and ludicrous Germano-Christian veneration of women, and lastly from doting and mooning hyperphysical amorousness. But even in the best poets of the romantic class, *e.g.*, in Calderon, we can see to what ridiculous distortions of human relations and human nature these motives lead. Not to speak of the Autos, I merely refer to such pieces as “No siempre el peor es cierto” (The worst is not always certain), and “El postrero duelo en España” (The last duel in Spain), and similar comedies *en capa y espada*: with the elements mentioned there is here further associated the scholastic subtlety so often appearing in the conversation which at that time belonged to the mental culture of the higher classes. How decidedly advantageous, on the contrary, is the position of the poetry of the ancients, which always remains true to nature; and the result is that classical poetry has an unconditional, romantic poetry only a conditional, truth and correctness; analogous to Greek and Gothic architecture. Yet, on the other hand, we must remark here that all dramatic or narrative poems which transfer their scene to ancient Greece or Rome lose by this from the fact that our knowledge of antiquity, especially in what concerns the details of life, is insufficient, fragmentary, and not drawn from perception. This obliges the poet to avoid much and to content himself with generalities, whereby he becomes abstract, and his work loses that concreteness and individualisation which is throughout essential to poetry. It is this which gives all such works the peculiar appearance of emptiness and tediousness. Only Shakspeare’s works of this kind are free from it; because without hesitation he has presented, under the names of Greeks and Romans, Englishmen of his own time.

It has been objected to many masterpieces of lyrical poetry, especially some Odes of Horace (see, for example, the second of the third book) and several of Goethe’s songs (for example, “The Shepherd’s Lament”), that they lack proper connection and are full of gaps in the thought. But here the logical connection is intentionally neglected, in order that the unity of the fundamental sensation and mood may take its place, which comes out more

clearly just by the fact that it passes like a thread through the separate pearls, and brings about the quick changes of the objects of contemplation, in the same way as in music the transition from one key to another is brought about by the chord of the seventh, through which the still sounding fundamental note becomes the dominant of the new key. Most distinctly, even exaggeratedly, the quality here described is found in the Canzone of Petrarch which begins, "Mai non vo' più cantar, com' io soleva."

Accordingly, as in the lyrical poem the subjective element predominates, so in the drama, on the contrary, the objective element is alone and exclusively present. Between the two epic poetry in all its forms and modifications, from the narrative romance to the epos proper, has a broad middle path. For although in the main it is objective, yet it contains a subjective element, appearing now more and now less, which finds its expression in the tone, in the form of the delivery, and also in scattered reflections. We do not so entirely lose sight of the poet as in the drama.

The end of the drama in general is to show us in an example what is the nature and existence of man. The sad or the bright side of these can be turned to us in it, or their transitions into each other. But the expression, "nature and existence of man," already contains the germ of the controversy whether the nature, *i.e.*, the character, or the existence, *i.e.*, the fate, the adventures, the action, is the principal thing. Moreover, the two have grown so firmly together that although they can certainly be separated in conception, they cannot be separated in the representation of them. For only the circumstances, the fate, the events, make the character manifest its nature, and only from the character does the action arise from which the events proceed. Certainly, in the representation, the one or the other may be made more prominent; and in this respect the piece which centres in the characters and the piece which centres in the plot are the two extremes.

The common end of the drama and the epic, to exhibit, in significant characters placed in significant situations, the extraordinary actions brought about by both, will be most completely attained by the poet if he first introduces the characters to us in a state of peace, in which merely their general colour becomes visible, and allows a motive to enter which produces an action, out of which a new and stronger motive arises, which again calls forth a more significant action, which, in its turn, begets new and even stronger motives, whereby, then, in the time suitable to the form of the poem, the most passionate excitement takes the place of the original peace,

and in this now the important actions occur in which the qualities of the characters which have hitherto slumbered are brought clearly to light, together with the course of the world.

Great poets transform themselves into each of the persons to be represented, and speak out of each of them like ventriloquists; now out of the hero, and immediately afterwards out of the young and innocent maiden, with equal truth and naturalness: so Shakspeare and Goethe. Poets of the second rank transform the principal person to be represented into themselves. This is what Byron does; and then the other persons often remain lifeless, as is the case even with the principal persons in the works of mediocre poets.

Our pleasure in tragedy belongs, not to the sense of the beautiful, but to that of the sublime; nay, it is the highest grade of this feeling. For, as at the sight of the sublime in nature we turn away from the interests of the will, in order to be purely perceptive, so in the tragic catastrophe we turn away even from the will to live. In tragedy the terrible side of life is presented to us, the wail of humanity, the reign of chance and error, the fall of the just, the triumph of the wicked; thus the aspect of the world which directly strives against our will is brought before our eyes. At this sight we feel ourselves challenged to turn away our will from life, no longer to will it or love it. But just in this way we become conscious that then there still remains something over to us, which we absolutely cannot know positively, but only negatively, as that which does not will life. As the chord of the seventh demands the fundamental chord; as the colour red demands green, and even produces it in the eye; so every tragedy demands an entirely different kind of existence, another world, the knowledge of which can only be given us indirectly just as here by such a demand. In the moment of the tragic catastrophe the conviction becomes more distinct to us than ever that life is a bad dream from which we have to awake. So far the effect of the tragedy is analogous to that of the dynamical sublime, for like this it lifts us above the will and its interests, and puts us in such a mood that we find pleasure in the sight of what tends directly against it. What gives to all tragedy, in whatever form it may appear, the peculiar tendency towards the sublime is the awakening of the knowledge that the world, life, can afford us no true pleasure, and consequently is not worthy of our attachment. In this consists the tragic spirit: it therefore leads to resignation.

I admit that in ancient tragedy this spirit of resignation seldom appears and is expressed directly. Œdipus Colonus certainly dies resigned and willing; yet he is comforted by the revenge on his country. Iphigenia at Aulis is very willing to die; yet it is the thought of the welfare of Greece that comforts her, and occasions the change of her mind, on account of which she willingly accepts the death which at first she sought to avoid by any means. Cassandra, in the Agamemnon of the great Æschylus, dies willingly, ἀρκεῖτω βίος (1306); but she also is comforted by the thought of revenge. Hercules, in the Trachiniæ, submits to necessity, and dies composed, but not resigned. So also the Hippolytus of Euripides, in whose case it surprises us that Artemis, who appears to comfort him, promises him temples and fame, but never points him to an existence beyond life, and leaves him in death, as all gods forsake the dying: — in Christianity they come to him; and so also in Brahmanism and Buddhism, although in the latter the gods are really exotic. Thus Hippolytus, like almost all the tragic heroes of the ancients, shows submission to inevitable fate and the inflexible will of the gods, but no surrender of the will to live itself. As the Stoic equanimity is fundamentally distinguished from Christian resignation by the fact that it teaches only patient endurance and composed expectation of unalterably necessary evil, while Christianity teaches renunciation, surrender of the will; so also the tragic heroes of the ancients show resolute subjection under the unavoidable blows of fate, while Christian tragedy, on the contrary, shows the surrender of the whole will to live, joyful forsaking of the world in the consciousness of its worthlessness and vanity. But I am also entirely of opinion that modern tragedy stands higher than that of the ancients. Shakspeare is much greater than Sophocles; in comparison with Goethe's Iphigenia one might find that of Euripides almost crude and vulgar. The Bacchæ of Euripides is a revolting composition in favour of the heathen priests. Many ancient pieces have no tragic tendency at all, like the Alcestis and Iphigenia in Tauris of Euripides; some have disagreeable, or even disgusting motives, like the Antigone and Philoctetes. Almost all show the human race under the fearful rule of chance and error, but not the resignation which is occasioned by it, and delivers from it. All because the ancients had not yet attained to the summit and goal of tragedy, or indeed of the view of life itself.

Although, then, the ancients displayed little of the spirit of resignation, the turning away of the will from life, in their tragic heroes themselves, as

their frame of mind, yet the peculiar tendency and effect of tragedy remains the awakening of that spirit in the beholder, the calling up of that frame of mind, even though only temporarily. The horrors upon the stage hold up to him the bitterness and worthlessness of life, thus the vanity of all its struggle. The effect of this impression must be that he becomes conscious, if only in obscure feeling, that it is better to tear his heart free from life, to turn his will from it, to love not the world nor life; whereby then in his deepest soul, the consciousness is aroused that for another kind of willing there must also be another existence. For if this were not so, then the tendency of tragedy would not be this rising above all the ends and good things of life, this turning away from it and its seductions, and the turning towards another kind of existence, which already lies in this, although an existence which is for us quite inconceivable. How would it, then, in general, be possible that the exhibition of the most terrible side of life, brought before our eyes in the most glaring light, could act upon us beneficently, and afford us a lofty satisfaction? Fear and sympathy, in the excitement of which Aristotle places the ultimate end of tragedy, certainly do not in themselves belong to the agreeable sensations: therefore they cannot be the end, but only the means. Thus the summons to turn away the will from life remains the true tendency of tragedy, the ultimate end of the intentional exhibition of the suffering of humanity, and is so accordingly even where this resigned exaltation of the mind is not shown in the hero himself, but is merely excited in the spectator by the sight of great, unmerited, nay, even merited suffering. Many of the moderns also are, like the ancients, satisfied with throwing the spectator into the mood which has been described, by the objective representation of human misfortune as a whole; while others exhibit this through the change of the frame of mind of the hero himself, effected by suffering. The former give, as it were, only the premisses, and leave the conclusion to the spectator; while the latter give the conclusion, or the moral of the fable, also, as the change of the frame of mind of the hero, and even also as reflection, in the mouth of the chorus, as, for example, Schiller in "The Bride of Messina:" "Life is not the highest good." Let me remark here that the genuine tragic effect of the catastrophe, thus the resignation and exaltation of the mind of the hero which is brought about by it, seldom appears so purely motivated and so distinctly expressed as in the opera of "Norma," where it comes in in the duet, "Qual cor tradisti, qual cor perdesti," in which the change of the will is distinctly indicated by

the quietness which is suddenly introduced into the music. In general, this piece — regarded apart altogether from its excellent music, and also from the diction which can only be that of a libretto, and considered only according to its motives and its inner economy — is a highly perfect tragedy, a true pattern of tragic disposition of the motives, tragic progress of the action, and tragic development, together with the effect of these upon the frame of mind of the hero, raising it above the world, and which is then also communicated to the spectator; indeed the effect attained here is the less delusive and the more indicative of the true nature of tragedy that no Christians, nor even Christian ideas, appear in it.

The neglect of the unity of time and place with which the moderns are so often reproached is only a fault when it goes so far that it destroys the unity of the action; for then there only remains the unity of the principal character, as, for example, in Shakspeare's "Henry VIII." But even the unity of the action does not need to go so far that the same thing is spoken of throughout, as in the French tragedies which in general observe this so strictly that the course of the drama is like a geometrical line without breadth. There it is constantly a case of "Only get on! Pensez à votre affaire!" and the thing is expedited and hurried on in a thoroughly business fashion, and no one detains himself with irrelevances which do not belong to it, or looks to the right or the left. The Shakspearian tragedy, on the other hand, is like a line which has also breadth: it takes time, *exspatiatur*: speeches and even whole scenes occur which do not advance the action, indeed do not properly concern it, by which, however, we get to know the characters or their circumstances more fully, and then understand the action also more thoroughly. This certainly remains the principal thing, yet not so exclusively that we forget that in the last instance what is aimed at is the representation of human nature and existence generally.

The dramatic or epic poet ought to know that he is fate, and should therefore be inexorable, as it is; also that he is the mirror of the human race, and should therefore represent very many bad and sometimes profligate characters, and also many fools, buffoons, and eccentric persons; then also, now and again, a reasonable, a prudent, an honest, or a good man, and only as the rarest exception a truly magnanimous man. In the whole of Homer there is in my opinion no really magnanimous character presented, although many good and honest. In the whole of Shakspeare there may be perhaps a couple of noble, though by no means transcendently noble, characters to be



found; perhaps Cordelia, Coriolanus — hardly more; on the other hand, his works swarm with the species indicated above. But Iffland's and Kotzebue's pieces have many magnanimous characters; while Goldoni has done as I recommended above, whereby he shows that he stands higher. On the other hand, Schiller's "Minna von Barnhelm" labours under too much and too universal magnanimity; but so much magnanimity as the one Marquis Posa displays is not to be found in the whole of Goethe's works together. There is, however, a small German piece called "Duty for Duty's Sake" (a title which sounds as if it had been taken from the Critique of Practical Reason), which has only three characters, and yet all the three are of most transcendent magnanimity.

The Greeks have taken for their heroes only royal persons; and so also for the most part have the moderns. Certainly not because the rank gives more worth to him who is acting or suffering; and since the whole thing is just to set human passions in play, the relative value of the objects by which this happens is indifferent, and peasant huts achieve as much as kingdoms. Moreover, civic tragedy is by no means to be unconditionally rejected. Persons of great power and consideration are yet the best adapted for tragedy on this account, that the misfortune in which we ought to recognise the fate of humanity must have a sufficient magnitude to appear terrible to the spectator, whoever he may be. Euripides himself says, "φευ, φευ, τα μεγάλα, μεγάλα και πασχει κακά" (*Stob. Flor.*, vol. ii. p. 299). Now the circumstances which plunge a citizen family into want and despair are in the eyes of the great or rich, for the most part, very insignificant, and capable of being removed by human assistance, nay, sometimes even by a trifle: such spectators, therefore, cannot be tragically affected by them. On the other hand, the misfortunes of the great and powerful are unconditionally terrible, and also accessible to no help from without; for kings must help themselves by their own power, or fall. To this we have to add that the fall is greatest from a height. Accordingly persons of the rank of citizens lack height to fall from.

If now we have found the tendency and ultimate intention of tragedy to be a turning to resignation, to the denial of the will to live, we shall easily recognise in its opposite, comedy, the incitement to the continued assertion of the will. It is true the comedy, like every representation of human life, without exception, must bring before our eyes suffering and adversity; but it presents it to us as passing, resolving itself into joy, in general mingled with

success, victory, and hopes, which in the end preponderate; moreover, it brings out the inexhaustible material for laughter of which life, and even its adversities themselves are filled, and which under all circumstances ought to keep us in a good humour. Thus it declares, in the result, that life as a whole is thoroughly good, and especially is always amusing. Certainly it must hasten to drop the curtain at the moment of joy, so that we may not see what comes after; while the tragedy, as a rule, so ends that nothing can come after. And moreover, if once we contemplate this burlesque side of life somewhat seriously, as it shows itself in the naïve utterances and gestures which trifling embarrassment, personal fear, momentary anger, secret envy, and many similar emotions force upon the forms of the real life that mirrors itself here, forms which deviate considerably from the type of beauty, then from this side also, thus in an unexpected manner, the reflective spectator may become convinced that the existence and action of such beings cannot itself be an end; that, on the contrary, they can only have attained to existence by an error, and that what so exhibits itself is something which had better not be.

## Chapter XXXVIII.<sup>23</sup> On History.

In the passage of the first volume referred to below I have fully shown that more is achieved for our knowledge of mankind by poetry than by history, and why this is so; inasmuch as more real instruction was to be expected from the former than from the latter. Aristotle has also confessed this, for he says: “καὶ φιλοσοφώτερον καὶ σπουδαιότερον ποιησὶς ἱστορίας ἐστίν” (et res magis philosophica, et melior poësis est quam historia<sup>24</sup>), *De poët.*, c. 9. Yet, in order to cause no misunderstanding as to the value of history, I wish here to express my thoughts about it.

In every class and species of things the facts are innumerable, the individuals infinite in number, the variety of their differences unapproachable. At the first glance at them the curious mind becomes giddy; however much it investigates, it sees itself condemned to ignorance. But then comes science: it separates the innumerable multitude, arranges it under generic conceptions, these again under conceptions of species, whereby it opens the path to a knowledge of the general and the particular, which also comprehends the innumerable individuals, for it holds good of all without one being obliged to consider each particular for itself. Thus it promises satisfaction to the investigating mind. Then all sciences place themselves together, and above the real world of individual things, as that which they have divided among them. Over them all, however, moves philosophy, as the most general, and therefore important, rational knowledge, which promises the conclusions for which the others have only prepared the way. History alone cannot properly enter into that series, since it cannot boast of the same advantage as the others, for it lacks the fundamental characteristic of science, the subordination of what is known, instead of which it can only present its co-ordination. Therefore there is no system of history, as there is of every other science. It is therefore certainly rational knowledge, but it is not a science. For it never knows the particular by means of the general, but must comprehend the particular directly, and so, as it were, creeps along the ground of experience; while the true sciences move above it, because they have obtained comprehensive conceptions by means of which they command the particular, and, at least within certain limits, anticipate the possibility of things within their sphere, so that they can be at ease even about what may yet have to come. The

sciences, since they are systems of conceptions, speak always of species; history speaks of individuals. It would accordingly be a science of individuals, which is a contradiction. It also follows that the sciences all speak of that which always is as history, on the other hand, of that which is once, and then no more. Since, further, history has to do with the absolutely particular and individuals, which from its nature is inexhaustible, it knows everything only imperfectly and half. Besides, it must also let itself be taught by every new day in its trivial commonplaceness what as yet it did not know at all. If it should be objected that in history also there is subordination of the particular under the general, because the periods, the governments, and other general changes, or political revolutions, in short, all that is given in historical tables, is the general, to which the special subordinates itself, this would rest upon a false comprehension of the conception of the general. For the general in history here referred to is merely subjective, *i.e.*, its generality springs merely from the inadequacy of the individual knowledge of the things, but not objective, *i.e.*, a conception in which the things would actually already be thought together. Even the most general in history is in itself only a particular and individual, a long period of time, or an important event; therefore the special is related to this as the part to the whole, but not as the case to the rule; which, on the contrary, takes place in all the sciences proper because they afford conceptions and not mere facts. On this account in these sciences by a correct knowledge of the general we can determine with certainty the particular that arises. If, for example, I know the laws of the triangle in general, I can then also tell what must be the properties of the triangle laid before me; and what holds good of all mammals, for example, that they have double ventricles of the heart, exactly seven cervical vertebræ, lungs, diaphragm, bladder, five senses, &c., I can also assert of the strange bat which has just been caught, before dissecting it. But not so in history, where the general is no objective general of the conception, but merely a subjective general of my knowledge, which can only be called general inasmuch as it is superficial. Therefore I may always know in general of the Thirty Years' War that it was a religious war, waged in the seventeenth century; but this general knowledge does not make me capable of telling anything more definite about its course. The same opposition is also confirmed by the fact that in the real sciences the special and individual is that which is most certain, because it rests upon immediate apprehension;

the general truths, again, are only abstracted from it; therefore something false may be more easily assumed in the latter. But in history, conversely, the most general is the most certain; for example, the periods, the succession of the kings, the revolutions, wars, and treaties of peace; the particulars, again, of the events and their connection is uncertain, and becomes always more so the further one goes into details. Therefore history is the more interesting the more special it is, but the less to be trusted, and approaches then in every respect to the romance. For the rest, what importance is to be attached to the boasted pragmatic teaching of history he will best be able to judge who remembers that sometimes it was only after twenty years that he understood the events of his own life in their true connection, although the data for this were fully before him, so difficult is the combination of the action of the motives under the constant interferences of chance and the concealment of the intentions. Since now history really always has for its object only the particular, the individual fact, and regards this as the exclusively real, it is the direct opposite and counterpart of philosophy, which considers things from the most general point of view, and has intentionally the general as its object, which remains identical in every particular; therefore in the particular philosophy sees only the general, and recognises the change in its manifestation as unessential: φιλοκαθολου γαρ ὁ φιλοσοφος (generalium amator philosophus). While history teaches us that at every time something else has been, philosophy tries to assist us to the insight that at all times exactly the same was, is, and shall be. In truth, the essence of human life, as of nature in general, is given complete in every present time, and therefore only requires depth of comprehension in order to be exhaustively known. But history hopes to make up for depth by length and breadth; for it every present time is only a fragment which must be supplemented by the past, the length of which is, however, infinite, and to which again an infinite future is joined. Upon this rests the opposition between philosophical and historical minds; the former want to go to the bottom, the latter want to go through the whole series. History shows on every side only the same under different forms; but whoever does not come to know this in one or a few will hardly attain to a knowledge of it by going through all the forms. The chapters of the history of nations are at bottom only distinguished by the names and dates; the really essential content is everywhere the same.

Now since the material of art is the Idea, and the material of science the concept, we see both occupied with that which always exists and constantly in the same manner, not something which now is and now is not, now is thus and now otherwise; therefore both have to do with that which Plato set up as the exclusive object of real rational knowledge. The material of history, on the other hand, is the particular in its particularity and contingency, which at one time is, and then for ever is no more, the transient complexities of a human world moved like clouds in the wind, a world which is often entirely transformed by the most trifling accident. From this point of view the material of history appears to us as scarcely a worthy object of the serious and painful consideration of the human mind, the human mind which, just because it is so transitory, ought to choose for its consideration that which passes not away.

Finally, as regards the endeavour — specially introduced by the Hegelian pseudo-philosophy, everywhere so pernicious and stupefying to the mind — to comprehend the history of the world as a planned whole, or, as they call it, “to construe it organically,” a crude and positive realism lies at its foundation, which takes the phenomenon for the inner being of the world, and imagines that this phenomenon, its forms and events, are the chief concern; in which it is secretly supported by certain mythological notions which it tacitly assumes: otherwise one might ask for what spectators such a comedy was really produced. For, since only the individual, and not the human race, has actual, immediate unity of consciousness, the unity of the course of life of the race is a mere fiction. Besides, as in nature only the species are real, and the genera are mere abstractions, so in the human race only the individuals and their course of life are real, the peoples and their lives mere abstractions. Finally, constructive histories, guided by a positive optimism, always ultimately end in a comfortable, rich, fat State, with a well-regulated constitution, good justice and police, useful arts and industries, and, at the most, in intellectual perfection; for this, in fact, is alone possible, since what is moral remains essentially unaltered. But it is the moral element which, according to the testimony of our inmost consciousness, is the whole concern: and this lies only in the individual as the tendency of his will. In truth, only the life of each individual has unity, connection, and true significance: it is to be regarded as an instruction, and the meaning of it is moral. Only the incidents of our inner life, since they concern the will, have true reality, and are actual events; because the will

alone is the thing in itself. In every microcosm lies the whole macrocosm, and the latter contains nothing more than the former. Multiplicity is phenomenal, and external events are mere configurations of the phenomenal world, and have therefore directly neither reality nor significance, but only indirectly through their relation to the wills of the individuals. The endeavour to explain and interpret them directly is accordingly like the endeavour to see in the forms of the clouds groups of men and animals. What history narrates is in fact only the long, heavy, and confused dream of humanity.

The Hegelians, who regard the philosophy of history as indeed the chief end of all philosophy, are to be referred to Plato, who unweariedly repeats that the object of philosophy is that which is unchangeable and always remains, not that which now is thus and now otherwise. All those who set up such constructions of the course of the world, or, as they call it, of history, have failed to grasp the principal truth of all philosophy, that what is is at all times the same, all becoming and arising are only seeming; the Ideas alone are permanent; time ideal. This is what Plato holds, this is what Kant holds. One ought therefore to seek to understand what exists, what really is, to-day and always, *i.e.*, to know the Ideas (in Plato's sense). Fools, on the contrary, imagine that something must first become and happen. Therefore they concede to history the chief place in their philosophy, and construct it according to a preconceived plan of the world, according to which everything is ordered for the best, which is then supposed finaliter to appear, and will be a glorious thing. Accordingly they take the world as perfectly real, and place the end of it in the poor earthly happiness, which, however much it may be fostered by men and favoured by fate, is a hollow, deceptive, decaying, and sad thing, out of which neither constitutions and legal systems nor steam-engines and telegraphs can ever make anything that is essentially better. The said philosophers and glorifiers of history are accordingly simple realists, and also optimists and eudæmonists, consequently dull fellows and incarnate philistines; and besides are really bad Christians, for the true spirit and kernel of Christianity, as also of Brahmanism and Buddhism, is the knowledge of the vanity of earthly happiness, the complete contempt for it, and the turning away from it to an existence of another, nay, an opposite, kind. This, I say, is the spirit and end of Christianity, the true "humour of the matter;" and not, as they imagine,

monotheism; therefore even atheistic Buddhism is far more closely related to Christianity than optimistic Judaism or its variety Islamism.

A true philosophy of history ought not therefore to consider, as all these do, what (to use Plato's language) always becomes and never is, and hold this to be the true nature of things; but it ought to fix its attention upon that which always is and never becomes nor passes away. Thus it does not consist in raising the temporal ends of men to eternal and absolute ends, and then with art and imagination constructing their progress through all complications; but in the insight that not only in its development, but in its very nature, history is mendacious; for, speaking of mere individuals and particular events, it pretends always to relate something different, while from beginning to end it repeats always the same thing under different names and in a different dress. The true philosophy of history consists in the insight that in all these endless changes and their confusion we have always before us only the same, even, unchanging nature, which to-day acts in the same way as yesterday and always; thus it ought to recognise the identical in all events, of ancient as of modern times, of the east as of the west; and, in spite of all difference of the special circumstances, of the costume and the customs, to see everywhere the same humanity. This identical element which is permanent through all change consists in the fundamental qualities of the human heart and head — many bad, few good. The motto of history in general should run: *Eadem, sed aliter*. If one has read Herodotus, then in a philosophical regard one has already studied history enough. For everything is already there that makes up the subsequent history of the world: the efforts, action, sufferings, and fate of the human race as it proceeds from the qualities we have referred to, and the physical earthly lot.

If in what has been said we have recognised that history, regarded as a means for the knowledge of the nature of man, is inferior to poetry; then, that it is not in the proper sense a science; finally, that the endeavour to construct it as a whole with beginning, middle, and end, together with a significant connection, is vain, and based upon misunderstanding: it would look as if we wished to deny it all value if we did not show in what its value consists. Really, however, there remains for it, after this conquest by art and rejection by science, a quite special province, different from both, in which it exists most honourably.

What reason is to the individual that is history to the human race. By virtue of reason, man is not, like the brute, limited to the narrow,



perceptible present, but also knows the incomparably more extended past, with which it is linked, and out of which it has proceeded; and only thus has he a proper understanding of the present itself, and can even draw inferences as to the future. The brute, on the other hand, whose knowledge, devoid of reflection, is on this account limited to the present, even when it is tamed, moves about among men ignorant, dull, stupid, helpless, and dependent. Analogous to this is the nation that does not know its own history, is limited to the present of the now living generation, and therefore does not understand itself and its own present, because it cannot connect it with a past, and explain it from this; still less can it anticipate the future. Only through history does a nation become completely conscious of itself. Accordingly history is to be regarded as the rational consciousness of the human race, and is to the race what the reflected and connected consciousness is to the individual who is conditioned by reason, a consciousness through the want of which the brute is confined to the narrow, perceptible present. Therefore every gap in history is like a gap in the recollective self-consciousness of a man; and in the presence of a monument of ancient times which has outlived the knowledge of itself, as, for example, the Pyramids, or temples and palaces in Yucatan, we stand as senseless and stupid as the brute in the presence of the action of man, in which it is implicated in his service; or as a man before something written in an old cipher of his own, the key to which he has forgotten; nay, like a somnambulist who finds before him in the morning what he has done in his sleep. In this sense, then, history is to be regarded as the reason, or the reflected consciousness, of the human race, and takes the place of an immediate self-consciousness common to the whole race, so that only by virtue of it does the human race come to be a whole, come to be a humanity. This is the true value of history, and accordingly the universal and predominating interest in it depends principally upon the fact that it is a personal concern of the human race. Now, what language is for the reason of individuals, as an indispensable condition of its use, writing is for the reason of the whole race here pointed out; for only with this does its real existence begin, as that of the individual reason begins first with language. Writing serves to restore unity to the consciousness of the human race, which is constantly interrupted by death, and therefore fragmentary; so that the thought which has arisen in the ancestor is thought out by his remote descendant; it finds a remedy for the breaking up of the human race and its

consciousness into an innumerable number of ephemeral individuals, and so bids defiance to the ever hurrying time, in whose hand goes forgetfulness. As an attempt to accomplish this we must regard not only written, but also stone monuments, which in part are older than the former. For who will believe that those who, at incalculable cost, set in action the human powers of many thousands for many years in order to construct the pyramids, monoliths, rock tombs, obelisks, temples, and palaces which have already existed for thousands of years, could have had in view the short span of their own life, too short to let them see the finishing of the construction, or even the ostensible end which the ignorance of the many required them to allege? Clearly their real end was to speak to their latest descendants, to put themselves in connection with these, and so to establish the unity of the consciousness of humanity. The buildings of the Hindus, the Egyptians, even the Greeks and Romans, were calculated to last several thousand years, because through higher culture their horizon was a wider one; while the buildings of the Middle Ages and of modern times have only been intended, at the most, to last a few centuries; which, however, is also due to the fact that men trusted more to writing after its use had become general, and still more since from its womb was born the art of printing. Yet even in the buildings of more recent times we see the desire to speak to posterity; and, therefore, it is shameful if they are destroyed or disfigured in order to serve low utilitarian ends. Written monuments have less to fear from the elements, but more to fear from barbarians, than stone ones; they accomplish far more. The Egyptians wished to combine the two, for they covered their stone monuments with hieroglyphics, nay, they added paintings in case the hieroglyphics should no longer be understood.

## Chapter XXXIX.<sup>25</sup> On The Metaphysics Of Music.

The outcome, or result, of my exposition of the peculiar significance of this wonderful art, which is given in the passage of the first volume referred to below, and which will here be present to the mind of the reader, was, that there is indeed no resemblance between its productions and the world as idea, *i.e.*, the world of nature, but yet there must be a distinct parallelism, which was then also proved. I have yet to add some fuller particulars with regard to this parallelism, which are worthy of attention.

The four voices, or parts, of all harmony, the bass, the tenor, the alto, and the soprano, or the fundamental note, the third, the fifth, and the octave, correspond to the four grades in the series of existences, the mineral kingdom, the vegetable kingdom, the brute kingdom, and man. This receives an additional and striking confirmation in the fundamental rule of music, that the bass must be at a much greater distance below the three upper parts than they have between themselves; so that it must never approach nearer to them than at the most within an octave of them, and generally remains still further below them. Hence, then, the correct triad has its place in the third octave from the fundamental note. Accordingly the effect of extended harmony, in which the bass is widely separated from the other parts, is much more powerful and beautiful than that of close harmony, in which it is moved up nearer to them, and which is only introduced on account of the limited compass of the instruments. This whole rule, however, is by no means arbitrary, but has its root in the natural source of the tonal system; for the nearest consonant intervals that sound along with the fundamental note by means of its vibrations are the octave and its fifth. Now, in this rule we recognise the analogue of the fundamental characteristic of nature on account of which organised beings are much more nearly related to each other than to the inanimate, unorganised mass of the mineral kingdom, between which and them exists the most definite boundary and the widest gulf in the whole of nature. The fact that the high voice which sings the melody is yet also an integral part of the harmony, and therein accords even with the deepest fundamental bass, may be regarded as the analogue of the fact that the same matter which in a human organism is the supporter of the Idea of man must yet also exhibit and support the Ideas of gravitation and chemical qualities, that is, of the lowest grades of the objectification of will.

That music acts directly upon the will, *i.e.*, the feelings, passions, and emotions of the hearer, so that it quickly raises them or changes them, may be explained from the fact that, unlike all the other arts, it does not express the Ideas, or grades of the objectification of the will, but directly the will itself.

As surely as music, far from being a mere accessory of poetry, is an independent art, nay, the most powerful of all the arts, and therefore attains its ends entirely with means of its own, so surely does it not stand in need of the words of the song or the action of an opera. Music as such knows the tones or notes alone, but not the causes which produce these. Accordingly, for it even the human voice is originally and essentially nothing else than a modified tone, just like that of an instrument; and, like every other tone, it has the special advantages and disadvantages which are a consequence of the instrument that produces it. Now, in this case, that this same instrument, as the organ of speech, also serves to communicate conceptions is an accidental circumstance, which music can certainly also make use of, in order to enter into a connection with poetry; but it must never make this the principal matter, and concern itself entirely with the expression of what for the most part, nay (as Diderot gives us to understand in *Le Neveu de Rameau*), essentially are insipid verses. The words are and remain for the music a foreign addition, of subordinate value, for the effect of the tones is incomparably more powerful, more infallible, and quicker than that of the words. Therefore, if words become incorporated in music, they must yet assume an entirely subordinate position, and adapt themselves completely to it. But the relation appears reversed in the case of the given poetry, thus the song or the libretto of an opera to which music is adapted. For the art of music at once shows in these its power and higher fitness, disclosing the most profound ultimate and secret significance of the feeling expressed in the words or the action presented in the opera, giving utterance to their peculiar and true nature, and teaching us the inmost soul of the actions and events whose mere clothing and body is set before us on the stage. With regard to this superiority of the music, and also because it stands to the libretto and the action in the relation of the universal to the particular, of the rule to the example, it might perhaps appear more fitting that the libretto should be written for the music than that the music should be composed for the libretto. However, in the customary method, the words and actions of the libretto lead the composer to the affections of the will which lie at their

foundation, and call up in him the feelings to be expressed; they act, therefore, as a means of exciting his musical imagination. Moreover, that the addition of poetry to music is so welcome to us, and a song with intelligible words gives us such deep satisfaction, depends upon the fact that in this way our most direct and most indirect ways of knowing are called into play at once and in connection. The most direct is that for which music expresses the emotions of the will itself, and the most indirect that of conceptions denoted by words. When the language of the feelings is in question the reason does not willingly sit entirely idle. Music is certainly able with the means at its own disposal to express every movement of the will, every feeling; but by the addition of words we receive besides this the objects of these feelings, the motives which occasion them. The music of an opera, as it is presented in the score, has a completely independent, separate, and, as it were, abstract existence for itself, to which the incidents and persons of the piece are foreign, and which follows its own unchanging rules; therefore it can produce its full effect without the libretto. But this music, since it was composed with reference to the drama, is, as it were, the soul of the latter; for, in its connection with the incidents, persons, and words, it becomes the expression of the inner significance of all those incidents, and of their ultimate and secret necessity which depends upon this significance. The pleasure of the spectator, unless he is a mere gaper, really depends upon an indistinct feeling of this. Yet in the opera music also shows its heterogeneous nature and higher reality by its entire indifference to the whole material of the incidents; in consequence of which it everywhere expresses the storm of the passions and the pathos of the feelings in the same way, and its tones accompany the piece with the same pomp, whether Agamemnon and Achilles or the dissensions of a bourgeois family form its material. For only the passions, the movements of the will, exist for it, and, like God, it sees only the hearts. It never assimilates itself to the natural; and therefore, even when it accompanies the most ludicrous and extravagant farces of the comic opera, it still preserves its essential beauty, purity, and sublimity; and its fusion with these incidents is unable to draw it down from its height, to which all absurdity is really foreign. Thus the profound and serious significance of our existence hangs over the farce and the endless miseries of human life, and never leaves it for a moment.

If we now cast a glance at purely instrumental music, a symphony of Beethoven presents to us the greatest confusion, which yet has the most

perfect order at its foundation, the most vehement conflict, which is transformed the next moment into the most beautiful concord. It is *rerum concordia discors*, a true and perfect picture of the nature of the world which rolls on in the boundless maze of innumerable forms, and through constant destruction supports itself. But in this symphony all human passions and emotions also find utterance; joy, sorrow, love, hatred, terror, hope, &c., in innumerable degrees, yet all, as it were, only in abstracto, and without any particularisation; it is their mere form without the substance, like a spirit world without matter. Certainly we have a tendency to realise them while we listen, to clothe them in imagination with flesh and bones, and to see in them scenes of life and nature on every hand. Yet, taken generally, this is not required for their comprehension or enjoyment, but rather imparts to them a foreign and arbitrary addition: therefore it is better to apprehend them in their immediacy and purity.

Since now, in the foregoing remarks, and also in the text, I have considered music only from the metaphysical side, that is, with reference to the inner significance of its performances, it is right that I should now also subject to a general consideration the means by which, acting upon our mind, it brings these about; therefore that I should show the connection of that metaphysical side of music, and the physical side, which has been fully investigated, and is well known, I start from the theory which is generally known, and has by no means been shaken by recent objections, that all harmony of the notes depends upon the coincidence of their vibrations, which when two notes sound together occurs perhaps at every second, or at every third, or at every fourth vibration, according to which, then, they are the octave, the fifth, or the fourth of each other, and so on. So long as the vibrations of two notes have a rational relation to each other, which can be expressed in small numbers, they can be connected together in our apprehension through their constantly recurring coincidence: the notes become blended, and are thereby in consonance. If, on the other hand, that relation is an irrational one, or one which can only be expressed in larger numbers, then no coincidence of the vibrations which can be apprehended occurs, but *obstrepunt sibi perpetuo*, whereby they resist being joined together in our apprehension, and accordingly are called a dissonance. Now, according to this theory, music is a means of making rational and irrational relations of numbers comprehensible, not like arithmetic by the help of the concept, but by bringing them to a knowledge which is perfectly directly and

simultaneously sensible. Now the connection of the metaphysical significance of music with this its physical and arithmetical basis depends upon the fact that what resists our apprehension, the irrational relation, or the dissonance, becomes the natural type of what resists our will; and, conversely, the consonance, or the rational relation, which easily adapts itself to our apprehension, becomes the type of the satisfaction of the will. And further, since that rational and irrational element in the numerical relations of the vibrations admits of innumerable degrees, shades of difference, sequences, and variations, by means of it music becomes the material in which all the movements of the human heart, *i.e.*, of the will, movements whose essential nature is always satisfaction and dissatisfaction, although in innumerable degrees, can be faithfully portrayed and rendered in all their finest shades and modifications, which takes place by means of the invention of the melody. Thus we see here the movements of the will transferred to the province of the mere idea, which is the exclusive scene of the achievements of the fine arts, for they absolutely demand that the will itself shall not interfere, and that we shall conduct ourselves as pure knowing subjects. Therefore the affections of the will itself, thus actual pain and actual pleasure, must not be excited, but only their substitutes, that which is agreeable to the intellect, as a picture of the satisfaction of the will, and that which is more or less repugnant to it, as a picture of greater or less pain. Only thus does music never cause us actual sorrow, but even in its most melancholy strains is still pleasing, and we gladly hear in its language the secret history of our will, and all its emotions and strivings, with their manifold protraction, hindrance, and griefs, even in the saddest melodies. When, on the other hand, in reality and its terrors, it is our will itself that is roused and tormented, we have not then to do with tones and their numerical relations, but are rather now ourselves the trembling string that is stretched and twanged.

But, further, because, in consequence of the physical theory which lies at its foundation, the musical quality of the notes is in the proportion of the rapidity of their vibrations, but not in their relative strength, the musical ear always follows by preference, in harmony, the highest note, not the loudest. Therefore, even in the case of the most powerful orchestral accompaniment, the soprano comes out clearly, and thus receives a natural right to deliver the melody. And this is also supported by its great flexibility, which depends upon the same rapidity of the vibrations, and shows itself in the ornate

passages, whereby the soprano becomes the suitable representative of the heightened sensibility, susceptible to the slightest impression, and determinable by it, consequently of the most highly developed consciousness standing on the uppermost stage of the scale of being. Its opposite, from converse causes, is the bass, inflexible, rising and falling only in great intervals, thirds, fourths, and fifths, and also at every step guided by rigid rules. It is therefore the natural representative of the inorganic kingdom of nature, which is insensible, insusceptible to fine impressions, and only determinable according to general laws. It must indeed never rise by one tone, for example, from a fourth to a fifth, for this produces in the upper parts the incorrect consecutive fifths and octaves; therefore, originally and in its own nature, it can never present the melody. If, however, the melody is assigned to it, this happens by means of counterpoint, *i.e.*, it is an inverted bass — one of the upper parts is lowered and disguised as a bass; properly speaking, it then requires a second fundamental bass as its accompaniment. This unnaturalness of a melody lying in the bass is the reason why bass airs, with full accompaniment, never afford us pure, undisturbed pleasure, like the soprano air, which, in the connection of harmony, is alone natural. We may remark in passing that such a melodious bass, forcibly obtained by inversion, might, in keeping with our metaphysic of music, be compared to a block of marble to which the human form has been imparted: and therefore it is wonderfully suitable to the stone guest in “Don Juan.”

But now we shall try to get somewhat nearer the foundation of the genesis of melody, which can be accomplished by analysing it into its constituent parts, and in any case will afford us the pleasure which arises from bringing to abstract and distinct consciousness what every one knows in the concrete, so that it gains the appearance of novelty.

Melody consists of two elements, the one rhythmical, the other harmonious. The former may also be described as the quantitative, the latter as the qualitative element, since the first is concerned with the duration, and the second with the pitch of the notes. In the writing of music the former depends upon the perpendicular, and the latter upon the horizontal lines. Purely arithmetical relations, thus relations of time, lie at the foundation of both; in the one case the relative duration of the notes, in the other the relative rapidity of their vibrations. The rhythmical element is the essential; for it can produce a kind of melody of itself alone, and without the other, as, for example, on the drum; yet complete melody requires both elements. It



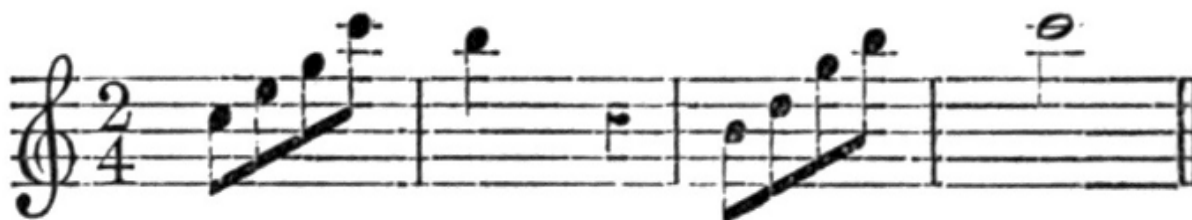
consists in an alternating disunion and reconciliation of them, as I shall show immediately; but first, since I have already spoken of the harmonious element in what has been said, I wish to consider the rhythmical element somewhat more closely.

Rhythm is in time what symmetry is in space, division into equal parts corresponding to each other. First, into larger parts, which again fall into smaller parts, subordinate to the former. In the series of the arts given by me architecture and music are the two extreme ends. Moreover, according to their inner nature, their power, the extent of their spheres, and their significance, they are the most heterogeneous, indeed true antipodes. This opposition extends even to the form of their appearance, for architecture is in space alone, without any connection with time; and music is in time alone, without any connection with space.<sup>26</sup> Now hence springs their one point of analogy, that as in architecture that which orders and holds together is symmetry, in music it is rhythm, and thus here also it holds true that extremes meet. As the ultimate constituent parts of a building are the exactly similar stones, so the ultimate constituent parts of a musical composition are the exactly similar beats; yet by being weak or strong, or in general by the measure, which denotes the species of time, these are divided into equal parts, which may be compared to the dimensions of the stone. The musical period consists of several bars, and it has also two equal parts, one rising, aspiring, generally going to the dominant, and one sinking, quieting, returning to the fundamental note. Two or several periods constitute a part, which in general is also symmetrically doubled by the sign of repetition; two parts make a small piece of music, or only a movement of a larger piece; and thus a concerto or sonata usually consists of three movements, a symphony of four, and a mass of five. Thus we see the musical composition bound together and rounded off as a whole, by symmetrical distribution and repeated division, down to the beats and their fractions, with thorough subordination, superordination, and co-ordination of its members, just as a building is connected and rounded off by its symmetry. Only in the latter that is exclusively in space which in the former is exclusively in time. The mere feeling of this analogy has in the last thirty years called forth the oft-repeated, daring witticism, that architecture is frozen music. The origin of this can be traced to Goethe; for, according to Eckermann's "Conversations," vol. ii. p. 88, he said: "I have found among my papers a page on which I call architecture a rigidified music; and really there is something in it; the mood

which is produced by architecture approaches the effect of music.” Probably he let fall this witticism much earlier in conversation, and in that case it is well known that there were never wanting persons to pick up what he so let fall that they might afterwards go about decked with it. For the rest, whatever Goethe may have said, the analogy of music and architecture, which is here referred by me to its sole ground, the analogy of rhythm with symmetry, extends accordingly only to the outward form, and by no means to the inner nature of the two arts, which is entirely different. Indeed it would be absurd to wish to put on the same level in essential respects the most limited and the weakest of all the arts, and the most far-reaching and powerful. As an amplification of the analogy pointed out, we might add further, that when music, as it were in a fit of desire for independence, seizes the opportunity of a pause to free itself from the control of rhythm, to launch out into the free imagination of an ornate cadenza, such a piece of music divested of all rhythm is analogous to the ruin which is divested of symmetry, and which accordingly may be called, in the bold language of the witticism, a frozen cadenza.

After this exposition of rhythm, I have now to show how the nature of melody consists in the constantly renewed disunion and reconciliation of the rhythmical, and the harmonious elements of it. Its harmonious element has as its assumption the fundamental note, as the rhythmical element has the species of time, and consists in a wandering from it through all the notes of the scale, until by shorter or longer digressions it reaches a harmonious interval, generally the dominant or sub-dominant, which affords it an incomplete satisfaction; and then follows, by a similarly long path, its return to the fundamental note, with which complete satisfaction appears. But both must so take place that the attainment of the interval referred to and the return to the fundamental note correspond with certain favourite points of the rhythm, otherwise it will not work. Thus, as the harmonious succession of sounds requires certain notes, first of all the tonic, next to it the dominant, and so on, so rhythm, on its part, requires certain points of time, certain numbered bars, and certain parts of these bars, which are called strong or good beats, or the accented parts of the bar, in opposition to the weak or bad beats, or unaccented parts of the bar. Now the disunion of these two fundamental elements consists in this, that because the demand of one is satisfied that of the other is not; and their reconciliation consists in this, that both are satisfied at once and together. That wandering of the notes until they

find a more or less harmonious interval must so take place that this interval is attained only after a definite number of bars, and also at an accented part of the bar, and in this way becomes for it a kind of resting-point; and similarly the return to the keynote must take place after a like number of bars, and also at an accented part of the bar, and thus complete satisfaction is then attained. So long as this required coincidence of the satisfaction of both elements is not attained, the rhythm, on the one hand, may follow its regular course, and, on the other hand, the required notes may occur often enough, but yet they will remain entirely without that effect through which melody arises. The following very simple example may serve to illustrate this: —



Here the harmonious sequence of notes finds the keynote just at the end of the first bar; but it does not receive any satisfaction from this, because the rhythm is caught at the least accented part of the bar. Immediately afterwards, in the second bar, the rhythm has the accented part of the bar, but the sequence of notes has arrived at the seventh. Thus here the two elements of melody are entirely disunited; and we feel disquieted. In the second half of the period everything is reversed, and in the last note they are reconciled. This kind of thing can be shown in every melody, although generally in a much more extended form. Now the constant disunion and reconciliation of its two elements which there takes place is, when metaphysically considered, the copy of the origination of new wishes, and then of their satisfaction. Thus, by flattery, music penetrates into our hearts, for it presents the image of the complete satisfaction of its wishes. More closely considered, we see in this procedure of melody a condition which, to a certain extent, is inward (the harmonious) meet with an outward condition (the rhythmical), as if by an accident, — which is certainly brought about by the composer, and which may, so far, be compared to rhyme in poetry. But this is just the copy of the meeting of our wishes with the favourable outward circumstances which are independent of them, and is thus the picture of happiness. The effect of the suspension also deserves to be considered here. It is a dissonance which delays the final consonance, which is awaited with certainty; and thus the

longing for it is strengthened, and its appearance satisfies all the more. Clearly an analogue of the heightened satisfaction of the will through delay. The complete cadence requires the preceding chord of the seventh on the dominant; because the most deeply felt satisfaction and the most entire relief can only follow the most earnest longing. Thus, in general, music consists of a constant succession of more or less disquieting chords, *i.e.*, chords which excite longing, and more or less quieting and satisfying chords; just as the life of the heart (the will) is a constant succession of greater or less disquietude through desire and aversion, and just as various degrees of relief. Accordingly the harmonious sequence of chords consists of the correct alternation of dissonance and consonance. A succession of merely consonant chords would be satiating, wearisome, and empty, like the languor produced by the satisfaction of all wishes. Therefore dissonances must be introduced, although they disquiet us and affect us almost painfully, but only in order to be resolved again in consonances with proper preparation. Indeed, in the whole of music there are really only two fundamental chords, the dissonant chord of the seventh and the consonant triad, to which all chords that occur can be referred. This just corresponds to the fact, that for the will there are at bottom only dissatisfaction and satisfaction, under however many forms they may present themselves. And as there are two general fundamental moods of the mind, serenity, or at least healthiness, and sadness, or even oppression, so music has two general keys, the major and the minor, which correspond to these, and it must always be in one of the two. But it is, in fact, very wonderful that there is a sign of pain which is neither physically painful nor yet conventional, but which nevertheless is suitable and unmistakable: the minor. From this we may measure how deeply music is founded in the nature of things and of man. With northern nations, whose life is subject to hard conditions, especially with the Russians, the minor prevails, even in the church music. Allegro in the minor is very common in French music, and is characteristic of it; it is as if one danced while one's shoe pinched.

I add further a few subsidiary remarks. When the key-note is changed, and with it the value of all the intervals, in consequence of which the same note figures as the second, the third, the fourth, and so on, the notes of the scale are analogous to actors, who must assume now one rôle, now another, while their person remains the same. That the actors are often not precisely suited to these rôles may be compared to the unavoidable impurity of every

harmonic system (referred to at the end of § 52 of the first volume) which the equal temperament has introduced.

Perhaps some may be offended, that, according to this metaphysic of it, music, which so often exalts our minds, which seems to us to speak of other and better worlds than ours, yet really only flatters the will to live, because it exhibits to it its nature, deludes it with the image of its success, and at the end expresses its satisfaction and contentment. The following passage from the “*Vedas*” may serve to quiet such doubts: “Etanand sroup, quod forma gaudii est, τὸν πρὸς Ἀτμᾶ ex hoc dicunt, quod quocunque loco gaudium est, particula e gaudio ejus est” (*Oupnekhat*, vol. i. p. 405; *et iterum*, vol. ii. p. 215).

## **Supplements to the Fourth Book.**

“Tous les hommes désirent uniquement de se délivrer de la mort: ils ne savent pas se délivrer de la vie.”

— *Lao-tsen-Tao-te-King*, ed. Stan. Julien, p. 184.

## Chapter XL. Preface.

The supplements to this fourth book would be very considerable if it were not that two of its principal subjects which stand specially in need of being supplemented — the freedom of the will and the foundation of ethics — have, on the occasion of prize questions being set by two Scandinavian Academies, been fully worked out by me in the form of a monograph, which was laid before the public in the year 1841 under the title, “The Two Fundamental Problems of Ethics.” Accordingly I assume an acquaintance on the part of my readers with the work which has just been mentioned, just as unconditionally as in the supplements to the second book I have assumed it with regard to the work “On the Will in Nature.” In general I make the demand that whoever wishes to make himself acquainted with my philosophy shall read every line of me. For I am no voluminous writer, no fabricator of compendiums, no earner of pecuniary rewards, not one whose writings aim at the approbation of a minister; in a word, not one whose pen is under the influence of personal ends. I strive after nothing but the truth, and write as the ancients wrote, with the sole intention of preserving my thoughts, so that they may be for the benefit of those who understand how to meditate upon them and prize them. Therefore I have written little, but that little with reflection and at long intervals, and accordingly I have also confined within the smallest possible limits those repetitions which in philosophical works are sometimes unavoidable on account of the connection, and from which no single philosopher is free; so that by far the most of what I have to say is only to be found in one place. On this account, then, whoever wishes to learn from me and understand me must leave nothing unread that I have written. Yet one can judge me and criticise me without this, as experience has shown; and to this also I further wish much pleasure.

Meanwhile the space gained by the said elimination of two important subjects will be very welcome to us. For since those explanations, which every man has more at heart than anything else, and which therefore in every system, as ultimate results, form the apex of its pyramid, are also crowded together in my last book, a larger space will gladly be granted to every firmer proof or more accurate account of these. Besides this we have been able to discuss here, as belonging to the doctrine of the “assertion of

the will to live,” a question which in our fourth book itself remained untouched, as it was also entirely neglected by all philosophers before me: it is the inner significance and real nature of the sexual love, which sometimes rises to a vehement passion — a subject which it would not have been paradoxical to take up in the ethical part of philosophy if its importance had been known.



## **Chapter XLI.<sup>27</sup> On Death And Its Relation To The Indestructibility Of Our True Nature.**

Death is the true inspiring genius, or the muse of philosophy, wherefore Socrates has defined the latter as θανάτου μελετη. Indeed without death men would scarcely philosophise. Therefore it will be quite in order that a special consideration of this should have its place here at the beginning of the last, most serious, and most important of our books.

The brute lives without a proper knowledge of death; therefore the individual brute enjoys directly the absolute imperishableness of the species, for it is only conscious of itself as endless. In the case of men the terrifying certainty of death necessarily entered with reason. But as everywhere in nature with every evil a means of cure, or at least some compensation, is given, the same reflection which introduces the knowledge of death also assists us to metaphysical points of view, which comfort us concerning it, and of which the brute has no need and is incapable. All religious and philosophical systems are principally directed to this end, and are thus primarily the antidote to the certainty of death, which the reflective reason produces out of its own means. Yet the degree in which they attain this end is very different, and certainly one religion or philosophy will, far more than the others, enable men to look death in the face with a quiet glance. Brahmanism and Buddhism, which teach man to regard himself as himself, the original being, the Brahm, to which all coming into being and passing away is essentially foreign, will achieve much more in this respect than such as teach that man is made out of nothing, and actually begins at birth his existence derived from another. Answering to this we find in India a confidence and a contempt for death of which one has no conception in Europe. It is, in fact, a hazardous thing to force upon a man, by early imprinting them, weak and untenable conceptions in this important regard, and thereby making him for ever incapable of taking up correct and stable ones. For example, to teach him that he recently came out of nothing, and consequently through an eternity has been nothing, but yet for the future will be imperishable, is just the same as to teach him that although he is through and through the work of another, yet he will be held responsible through all eternity for his actions. If, then, when the mind ripens and reflection appears, the untenable nature of such doctrines forces itself upon

him, he has nothing better to put in its place, nay, is no longer capable of understanding anything better, and thus loses the comfort which nature had destined for him also, as a compensation for the certainty of death. In consequence of such a process, we see even now in England (1844), among ruined factory hands, the Socialists, and in Germany, among ruined students, the young Hegelians, sink to the absolutely physical point of view, which leads to the result: *edite, bibite, post mortem nulla voluptas*, and so far may be defined as bestialism.

However, after all that has been taught concerning death, it cannot be denied that, at least in Europe, the opinion of men, nay, often even of the same individual, very frequently vacillates between the conception of death as absolute annihilation and the assumption that we are, as it were, with skin and hair, immortal. Both are equally false: but we have not so much to find a correct mean as rather to gain the higher point of view from which such notions disappear of themselves.

In these considerations I shall first of all start from the purely empirical standpoint. Here there primarily lies before us the undeniable fact that, according to the natural consciousness, man not only fears death for his own person more than anything else, but also weeps violently over the death of those that belong to him, and indeed clearly not egotistically, for his own loss, but out of sympathy for the great misfortune that has befallen them. Therefore he also censures those who in such a case neither weep nor show sadness as hard-hearted and unloving. It is parallel with this that revenge, in its highest degree, seeks the death of the adversary as the greatest evil that can be inflicted. Opinions change with time and place; but the voice of nature remains always and everywhere the same, and is therefore to be heeded before everything else. Now here it seems distinctly to say that death is a great evil. In the language of nature death means annihilation. And that death is a serious matter may be concluded from the fact that, as every one knows, life is no joke. We must indeed deserve nothing better than these two.

In fact, the fear of death is independent of all knowledge; for the brute has it, although it does not know death. Everything that is born brings it with it into the world. But this fear of death is a priori only the reverse side of the will to live, which indeed we all are. Therefore in every brute the fear of its destruction is inborn, like the care for its maintenance. Thus it is the fear of death, and not the mere avoidance of pain, which shows itself in the

anxious carefulness with which the brute seeks to protect itself, and still more its brood, from everything that might become dangerous. Why does the brute flee, trembling, and seek to conceal itself? Because it is simply the will to live, but, as such, is forfeited to death, and wishes to gain time. Such also, by nature, is man. The greatest evil, the worst that can anywhere threaten, is death; the greatest fear is the fear of death. Nothing excites us so irresistibly to the most lively interest as danger to the life of others; nothing is so shocking as an execution. Now the boundless attachment to life which appears here cannot have sprung from knowledge and reflection; to these it rather appears foolish, for the objective worth of life is very uncertain, and at least it remains doubtful whether it is preferable to not being, nay, if experience and reflection come to be expressed, not being must certainly win. If one knocked on the graves, and asked the dead whether they wished to rise again, they would shake their heads. Such is the opinion of Socrates in "Plato's Apology," and even the gay and amiable Voltaire cannot help saying, "On aime la vie; mais le néant ne laisse pas d'avoir du bon;" and again, "Je ne sais pas ce que c'est que la vie éternelle, mais celle-ci est une mauvaise plaisanterie." Besides, life must in any case soon end; so that the few years which perhaps one has yet to be vanish entirely before the endless time when one will be no more. Accordingly it appears to reflection even ludicrous to be so anxious about this span of time, to tremble so much if our own life or that of another is in danger, and to compose tragedies the horror of which has its strength in the fear of death. That powerful attachment to life is therefore irrational and blind; it can only be explained from the fact that our whole inner nature is itself will to live, to which, therefore, life must appear as the highest good, however embittered, short, and uncertain it may always be; and that that will, in itself and originally, is unconscious and blind. Knowledge, on the contrary, far from being the source of that attachment to life, even works against it, for it discloses the worthlessness of life, and thus combats the fear of death. When it conquers, and accordingly the man faces death courageously and composedly, this is honoured as great and noble, thus we hail then the triumph of knowledge over the blind will to live, which is yet the kernel of our own being. In the same way we despise him in whom knowledge is defeated in that conflict, and who therefore clings unconditionally to life, struggles to the utmost against approaching death, and receives it with despair;<sup>28</sup> and yet in him it is only the most original being of ourselves and of nature that expresses itself.

We may here ask, in passing, how could this boundless love of life and endeavour to maintain it in every way as long as possible be regarded as base, contemptible, and by the adherents of every religion as unworthy of this, if it were the gift of good gods, to be recognised with thankfulness? And how could it then seem great and noble to esteem it lightly? Meanwhile, what is confirmed by these considerations is — (1.) that the will to live is the inmost nature of man; (2.) that in itself it is unconscious and blind; (3.) that knowledge is an adventitious principle, which is originally foreign to the will; (4.) that knowledge conflicts with the will, and that our judgment applauds the victory of knowledge over the will.

If what makes death seem so terrible to us were the thought of not being, we would necessarily think with equal horror of the time when as yet we were not. For it is irrefutably certain that not being after death cannot be different from not being before birth, and consequently is also no more deplorable. A whole eternity has run its course while as yet we were not, but that by no means disturbs us. On the other hand, we find it hard, nay, unendurable, that after the momentary intermezzo of an ephemeral existence, a second eternity should follow in which we shall no longer be. Should, then, this thirst for existence have arisen because we have now tasted it and have found it so delightful? As was already briefly explained above, certainly not; far sooner could the experience gained have awakened an infinite longing for the lost paradise of non-existence. To the hope, also, of the immortality of the soul there is always added that of a “better world” — a sign that the present world is not much good. Notwithstanding all this, the question as to our state after death has certainly been discussed, in books and verbally, ten thousand times oftener than the question as to our state before birth. Yet theoretically the one is just as near at hand and as fair a problem as the other; and besides, whoever had answered the one would soon see to the bottom of the other. We have fine declamations about how shocking it would be to think that the mind of man, which embraces the world, and has so many very excellent thoughts, should sink with him into the grave; but we hear nothing about this mind having allowed a whole eternity to pass before it came into being with these its qualities, and how the world must have had to do without it all that time. Yet no question presents itself more naturally to knowledge, uncorrupted by the will, than this: An infinite time has passed before my birth; what was I during this time? Metaphysically, it might perhaps be answered, “I was always I; that

is, all who during that time said I, were just I.” But let us look away from this to our present entirely empirical point of view, and assume that I did not exist at all. Then I can console myself as to the infinite time after my death, when I shall not be, with the infinite time when I already was not, as a well-accustomed, and indeed very comfortable, state. For the eternity a parte post without me can be just as little fearful as the eternity a parte ante without me, since the two are distinguished by nothing except by the interposition of an ephemeral dream of life. All proofs, also, for continued existence after death may just as well be applied in partem ante, where they then demonstrate existence before life, in the assumption of which the Hindus and Buddhists therefore show themselves very consistent. Kant’s ideality of time alone solves all these riddles. But we are not speaking of that now. This, however, results from what has been said, that to mourn for the time when one will be no more is just as absurd as it would be to mourn over the time when as yet one was not; for it is all the same whether the time which our existence does not fill is related to that which it does fill, as future or as past.

But, also, regarded entirely apart from these temporal considerations, it is in and for itself absurd to look upon not being as an evil; for every evil, as every good, presupposes existence, nay, even consciousness: but the latter ceases with life, as also in sleep and in a swoon; therefore the absence of it is well known to us, and trusted, as containing no evil at all: its entrance, however, is always an affair of a moment. From this point of view Epicurus considered death, and therefore quite rightly said, “ὁ θάνατος μὴδὲν πρὸς ἡμᾶς” (Death does not concern us); with the explanation that when we are death is not, and when death is we are not (*Diog. Laert.*, x. 27). To have lost what cannot be missed is clearly no evil. Therefore ceasing to be ought to disturb us as little as not having been. Accordingly from the standpoint of knowledge there appears absolutely no reason to fear death. But consciousness consists in knowing; therefore, for consciousness death is no evil. Moreover, it is really not this knowing part of our ego that fears death, but the fuga mortis proceeds entirely and alone from the blind will, of which everything living is filled. To this, however, as was already mentioned above, it is essential, just because it is will to live, whose whole nature consists in the effort after life and existence, and which is not originally endowed with knowledge, but only in consequence of its objectification in animal individuals. If now the will, by means of

knowledge, beholds death as the end of the phenomenon with which it has identified itself, and to which, therefore, it sees itself limited, its whole nature struggles against it with all its might. Whether now it has really something to fear from death we will investigate further on, and will then remember the real source of the fear of death, which has been shown here along with the requisite distinction of the willing and the knowing part of our nature.

Corresponding to this, then, what makes death so terrible to us is not so much the end of life — for this can appear to no one specially worthy of regret — but rather the destruction of the organism; really because this is the will itself exhibiting itself as body. But we only really feel this destruction in the evils of disease or of old age; death itself, on the other hand, consists for the subject only in the moment when consciousness vanishes because the activity of the brain ceases. The extension of the stoppage to all the other parts of the organism which follows this is really already an event after death. Thus death, in a subjective regard, concerns the consciousness alone. Now what the vanishing of this may be every one can to a certain extent judge of from going to sleep; but it is still better known to whoever has really fainted, for in this the transition is not so gradual, nor accompanied by dreams, but first the power of sight leaves us, still fully conscious, and then immediately the most profound unconsciousness enters; the sensation that accompanies it, so far as it goes, is anything but disagreeable; and without doubt, as sleep is the brother of death, so the swoon is its twin-brother. Even violent death cannot be painful, for even severe wounds are not felt at all till some time afterwards, often not till the outward signs of them are observed. If they are rapidly mortal, consciousness will vanish before this discovery; if they result in death later, then it is the same as with other illnesses. All those also who have lost consciousness in water, or from charcoal fumes, or through hanging are well known to say that it happened without pain. And now, finally, the death which is properly in accordance with nature, death from old age, euthanasia, is a gradual vanishing and sinking out of existence in an imperceptible manner. Little by little in old age, the passions and desires, with the susceptibility for their objects, are extinguished; the emotions no longer find anything to excite them; for the power of presenting ideas to the mind always becomes weaker, its images fainter; the impressions no longer cleave to us, but pass over without leaving a trace,

the days roll ever faster, events lose their significance, everything grows pale. The old man stricken in years totters about or rests in a corner now only a shadow, a ghost of his former self. What remains there for death to destroy? One day a sleep is his last, and his dreams are —— . They are the dreams which Hamlet inquires after in the famous soliloquy. I believe we dream them even now.

I have here also to remark that the maintenance of the life process, although it has a metaphysical basis, does not go on without resistance, and consequently not without effort. It is this to which the organism yields every night, on account of which it then suspends the brain function and diminishes certain secretions, the respiration, the pulse, and the development of heat. From this we may conclude that the entire ceasing of the life process must be a wonderful relief to its motive force; perhaps this has some share in the expression of sweet contentment on the faces of most dead persons. In general the moment of death may be like the moment of awaking from a heavy dream that has oppressed us like a nightmare.

Up to this point the result we have arrived at is that death, however much it may be feared, can yet really be no evil. But often it even appears as a good thing, as something wished for, as a friend. All that have met with insuperable obstacles to their existence or their efforts, that suffer from incurable diseases or inconsolable griefs, have as a last refuge, which generally opens to them of its own accord, the return into the womb of nature, from which they arose for a short time, enticed by the hope of more favourable conditions of existence than have fallen to their lot, and the same path out of which constantly remains open. That return is the *cessio bonorum* of life. Yet even here it is only entered upon after a physical and moral conflict: so hard does one struggle against returning to the place from which one came out so lightly and readily, to an existence which has so much suffering and so little pleasure to offer. The Hindus give the god of death, Yama, two faces; one very fearful and terrible, and one very cheerful and benevolent. This partly explains itself from the reflections we have just made.

At the empirical point of view at which we still stand, the following consideration is one which presents itself of its own accord, and therefore deserves to be accurately defined by illustration, and thereby referred to its proper limits. The sight of a dead body shows me that sensibility, irritability, circulation of the blood, reproduction, &c., have here ceased. I

conclude from this with certainty that what actuated these hitherto, which was yet always something unknown to me, now actuates them no longer, thus has departed from them. But if I should now wish to add that this must have been just what I have known only as consciousness, consequently as intelligence (soul), this would be not only an unjustified but clearly a false conclusion. For consciousness has always showed itself to me not as the cause, but as the product and result of the organised life, for it rose and sank in consequence of this in the different periods of life, in health and sickness, in sleep, in a swoon, in awaking, &c., thus always appeared as effect, never as cause of the organised life, always showed itself as something which arises and passes away, and again arises, so long as the conditions of this still exist, but not apart from them. Nay, I may also have seen that the complete derangement of consciousness, madness, far from dragging down with it and depressing the other forces, or indeed endangering life, heightens these very much, especially irritability or muscular force, and rather lengthens than shortens life, if other causes do not come in. Then, also: I knew individuality as a quality of everything organised, and therefore, if this is a self-conscious organism, also of consciousness. But there exists no occasion now to conclude that individuality was inherent in that vanished principle, which imparts life, and is completely unknown to me; all the less so as I see that everywhere in nature each particular phenomenon is the work of a general force which is active in thousands of similar phenomena. But, on the other hand, there is just as little occasion to conclude that because the organised life has ceased here that force which hitherto actuated it has also become nothing; as little as to infer the death of the spinner from the stopping of the spinning-wheel. If a pendulum, by finding its centre of gravity, at last comes to rest, and thus its individual apparent life has ceased, no one will imagine that gravitation is now annihilated; but every one comprehends that, after as before, it is active in innumerable phenomena. Certainly it might be urged against this comparison, that here also, in this pendulum, gravitation has not ceased to be active, but only to manifest its activity palpably; whoever insists on this may think, instead, of an electrical body, in which, after its discharge, electricity has actually ceased to be active. I only wished to show in this that we ourselves recognise in the lowest forces of nature an eternity and ubiquity with regard to which the transitory nature of their fleeting phenomena never makes us err for a moment. So much the less, then,



should it come into our mind to regard the ceasing of life as the annihilation of the living principle, and consequently death as the entire destruction of the man. Because the strong arm which, three thousand years ago, bent the bow of Ulysses is no more, no reflective and well-regulated understanding will regard the force which acted so energetically in it as entirely annihilated, and therefore, upon further reflection, will also not assume that the force which bends the bow to-day first began with this arm. The thought lies far nearer us, that the force which earlier actuated the life which now has vanished is the same which is active in the life which now flourishes: nay, this is almost inevitable. Certainly, however, we know that, as was explained in the second book, only that is perishable which is involved in the causal series; but only the states and forms are so involved. On the other hand, untouched by the change of these which is introduced by causes, there remain on the one side matter, and on the other side natural forces: for both are the presupposition of all these changes. But the principle of our life we must, primarily at least, conceive as a force of nature, until perhaps a more profound investigation has brought us to know what it is in itself. Thus, taken simply as a force of nature, the vital force remains entirely undisturbed by the change of forms and states, which the bond of cause and effect introduces and carries off again, and which alone are subject to the process of coming into being and passing away, as it lies before us in experience. Thus so far the imperishable nature of our true being can be proved with certainty. But it is true this will not satisfy the claims which are wont to be made upon proofs of our continued existence after death, nor insure the consolation which is expected from such proofs. However, it is always something; and whoever fears death as an absolute annihilation cannot afford to despise the perfect certainty that the inmost principle of his life remains untouched by it. Nay, the paradox might be set up, that that second thing also which, just like the forces of nature, remains untouched by the continual change under the guidance of causality, thus matter, by its absolute permanence, insures us indestructibility, by virtue of which whoever was incapable of comprehending any other might yet confidently trust in a certain imperishableness. "What!" it will be said, "the permanence of the mere dust, of the crude matter, is to be regarded as a continuance of our being?" Oh! do you know this dust, then? Do you know what it is and what it can do? Learn to know it before you despise it. This matter which now lies there as dust and ashes will soon, dissolved in water,

form itself as a crystal, will shine as metal, will then emit electric sparks, will by means of its galvanic intensity manifest a force which, decomposing the closest combinations, reduces earths to metals; nay, it will, of its own accord, form itself into plants and animals, and from its mysterious womb develop that life for the loss of which you, in your narrowness, are so painfully anxious. Is it, then, absolutely nothing to continue to exist as such matter? Nay, I seriously assert that even this permanence of matter affords evidence of the indestructibility of our true nature, though only as in an image or simile, or, rather, only as in outline. To see this we only need to call to mind the explanation of matter given in chapter 24, from which it resulted that mere formless matter — this basis of the world of experience which is never perceived for itself alone, but assumed as constantly remaining — is the immediate reflection, the visibility in general, of the thing in itself, thus of the will. Therefore, whatever absolutely pertains to the will as such holds good also of matter, and it reflects the true eternal nature of the will under the image of temporal imperishableness. Because, as has been said, nature does not lie, no view which has sprung from a purely objective comprehension of it, and been logically thought out, can be absolutely false, but at the most only very one-sided and imperfect. Such, however, is, indisputably, consistent materialism; for instance, that of Epicurus, just as well as the absolute idealism opposed to it, like that of Berkeley, and in general every philosophical point of view which has proceeded from a correct *apperçu*, and been honestly carried out. Only they are all exceedingly one-sided comprehensions, and therefore, in spite of their opposition, they are all true, each from a definite point of view; but as soon as one has risen above this point of view, then they only appear as relatively and conditionally true. The highest standpoint alone, from which one surveys them all and knows them in their relative truth, but also beyond this, in their falseness, can be that of absolute truth so far as this is in general attainable. Accordingly we see, as was shown above, that in the very crude, and therefore very old, point of view of materialism proper the indestructibility of our true nature in itself is represented, as by a mere shadow of it, the imperishableness of matter; as in the already higher naturalism of an absolute physics it is represented by the ubiquity and eternity of the natural forces, among which the vital force is at least to be counted. Thus even these crude points of view contain the assertion that the

living being suffers no absolute annihilation through death, but continues to exist in and with the whole of nature.

The considerations which have brought us to this point, and to which the further explanations link themselves on, started from the remarkable fear of death which fills all living beings. But now we will change the standpoint and consider how, in contrast to the individual beings, the whole of nature bears itself with reference to death. In doing this, however, we still always remain upon the ground of experience.

Certainly we know no higher game of chance than that for death and life. Every decision about this we watch with the utmost excitement, interest, and fear; for in our eyes all in all is at stake. On the other hand, nature, which never lies, but is always straightforward and open, speaks quite differently upon this theme, speaks like Krishna in the Bhagavadgita. What it says is: The death or the life of the individual is of no significance. It expresses this by the fact that it exposes the life of every brute, and even of man, to the most insignificant accidents without coming to the rescue. Consider the insect on your path; a slight, unconscious turning of your step is decisive as to its life or death. Look at the wood-snail, without any means of flight, of defence, of deception, of concealment, a ready prey for all. Look at the fish carelessly playing in the still open net; the frog restrained by its laziness from the flight which might save it; the bird that does not know of the falcon that soars above it; the sheep which the wolf eyes and examines from the thicket. All these, provided with little foresight, go about guilelessly among the dangers that threaten their existence every moment. Since now nature exposes its organisms, constructed with such inimitable skill, not only to the predatory instincts of the stronger, but also to the blindest chance, to the humour of every fool, the mischievousness of every child without reserve, it declares that the annihilation of these individuals is indifferent to it, does it no harm, has no significance, and that in these cases the effect is of no more importance than the cause. It says this very distinctly, and it does not lie; only it makes no comments on its utterances, but rather expresses them in the laconic style of an oracle. If now the all-mother sends forth her children without protection to a thousand threatening dangers, this can only be because she knows that if they fall they fall back into her womb, where they are safe; therefore their fall is a mere jest. Nature does not act otherwise with man than with the brutes. Therefore its declaration extends also to man: the life and death of the individual are

indifferent to it. Accordingly, in a certain sense, they ought also to be indifferent to us, for we ourselves are indeed nature. Certainly, if only we saw deep enough, we would agree with nature, and regard life and death as indifferently as it does. Meanwhile, by means of reflection, we must attribute that carelessness and indifference of nature towards the life of the individuals to the fact that the destruction of such a phenomenon does not in the least affect its true and proper nature.

If we further ponder the fact, that not only, as we have just seen, are life and death dependent upon the most trifling accidents, but that the existence of the organised being in general is an ephemeral one, that animal and plant arise to-day and pass away to-morrow, and birth and death follow in quick succession, while to the unorganised things which stand so much lower an incomparably longer duration is assured, and an infinite duration to the absolutely formless matter alone, to which, indeed, we attribute this a priori, — then, I think, the thought must follow of its own accord, even from the purely empirical, but objective and unprejudiced comprehension of such an order of things, that this is only a superficial phenomenon, that such a constant arising and passing away can by no means touch the root of things, but can only be relative, nay, only apparent, in which the true inner nature of that thing is not included, the nature which everywhere evades our glance and is thoroughly mysterious, but rather that this continues to exist undisturbed by it; although we can neither apprehend nor conceive the manner in which this happens, and must therefore think of it only generally as a kind of *tour de passe-passe* which took place there. For that, while what is most imperfect, the lowest, the unorganised, continues to exist unassailed, it is just the most perfect beings, the living creatures, with their infinitely complicated and inconceivably ingenious organisations, which constantly arise, new from the very foundation, and after a brief span of time absolutely pass into nothingness, to make room for other new ones like them coming into existence out of nothing — this is something so obviously absurd that it can never be the true order of things, but rather a mere veil which conceals this, or, more accurately, a phenomenon conditioned by the nature of our intellect. Nay, the whole being and not being itself of these individuals, in relation to which death and life are opposites, can only be relative. Thus the language of nature, in which it is given us as absolute, cannot be the true and ultimate expression of the nature of things and of the order of the world, but indeed only a *patois du pays*, *i.e.*, something merely

relatively true, — something to be understood *cum grano salis*, or, to speak properly, something conditioned by our intellect; I say, an immediate, intuitive conviction of the kind which I have tried to describe in words will press itself upon every one; *i.e.*, certainly only upon every one whose mind is not of an utterly ordinary species, which is absolutely only capable of knowing the particular simply and solely as such, which is strictly limited to the knowledge of individuals, after the manner of the intellect of the brutes. Whoever, on the other hand, by means of a capacity of an only somewhat higher power, even just begins to see in the individual beings their universal, their Ideas, will also, to a certain extent, participate in that conviction, and that indeed as an immediate, and therefore certain, conviction. In fact, it is also only small, limited minds that fear death quite seriously as their annihilation, and persons of decidedly superior capacity are completely free from such terrors. Plato rightly bases the whole of philosophy upon the knowledge of the doctrine of Ideas, *i.e.*, upon the perception of the universal in the particular. But the conviction here described, which proceeds directly from the comprehension of nature, must have been exceedingly vivid in those sublime authors of the Upanishads of the Vedas, who can scarcely be thought of as mere men, for it speaks to us so forcibly out of an innumerable number of their utterances that we must ascribe this immediate illumination of their mind to the fact that these wise men, standing nearer the origin of our race in time, comprehended the nature of things more clearly and profoundly than the already deteriorated race, ὅτιοι νῦν βροτοὶ εἰσιν, is able to do. But certainly their comprehension is assisted by the natural world of India, which is endowed with life in a very different degree from our northern world. However, thorough reflection, as pursued by Kant's great mind, leads by another path to the same result, for it teaches us that our intellect, in which that phenomenal world which changes so fast exhibits itself, does not comprehend the true ultimate nature of things, but merely its phenomenal manifestation, and indeed, as I add, because it is originally only destined to present the motives to our will, *i.e.*, to be serviceable to it in the pursuit of its paltry ends.

Let us, however, carry our objective and unprejudiced consideration of nature still further. If I kill a living creature, whether a dog, a bird, a frog, or even only an insect, it is really inconceivable that this being, or rather the original force by virtue of which such a marvellous phenomenon exhibited itself just the moment before, in its full energy and love of life, should have

been annihilated by my wicked or thoughtless act. And again, on the other hand, the millions of animals of every kind which come into existence every moment, in infinite variety, full of force and activity, can never, before the act of their generation, have been nothing at all, and have attained from nothing to an absolute beginning. If now in this way I see one of these withdraw itself from my sight, without me knowing where it goes, and another appear without me knowing whence it comes; if, moreover, both have the same form, the same nature, the same character, and only not the same matter, which yet during their existence they continually throw off and renew; then certainly the assumption, that that which vanishes and that which appears in its place are one and the same, which has only experienced a slight alteration, a renewal of the form of its existence, and that consequently death is for the species what sleep is for the individual; this assumption, I say, lies so close at hand that it is impossible not to light upon it, unless the mind, perverted in early youth by the imprinting of false views, hurries it out of the way, even from a distance, with superstitious fear. But the opposite assumption that the birth of an animal is an arising out of nothing, and accordingly that its death is its absolute annihilation, and this with the further addition that man, who has also originated out of nothing, has yet an individual, endless existence, and indeed a conscious existence, while the dog, the ape, the elephant, are annihilated by death, is really something against which the healthy mind revolts and which it must regard as absurd. If, as is sufficiently often repeated, the comparison of the results of a system with the utterances of the healthy mind is supposed to be a touchstone of its truth, I wish the adherents of the system which was handed down from Descartes to the pre-Kantian eclectics, nay, which even now is still the prevailing view of the great majority of cultured people in Europe, would apply this touchstone here.

Throughout and everywhere the true symbol of nature is the circle, because it is the schema or type of recurrence. This is, in fact, the most universal form in nature, which it carries out in everything, from the course of the stars down to the death and the genesis of organised beings, and by which alone, in the ceaseless stream of time, and its content, a permanent existence, *i.e.*, a nature, becomes possible.

If in autumn we consider the little world of insects, and see how one prepares its bed to sleep the long, rigid winter-sleep; another spins its cocoon to pass the winter as a chrysalis, and awake in spring rejuvenated

and perfected; and, finally, how most of them, intending themselves to rest in the arms of death, merely arrange with care the suitable place for their egg, in order to issue forth again from it some day renewed; — this is nature's great doctrine of immortality, which seeks to teach us that there is no radical difference between sleep and death, but the one endangers existence just as little as the other. The care with which the insect prepares a cell, or hole, or nest, deposits its egg in it, together with food for the larva that will come out of it in the following spring, and then quietly dies, is just like the care with which in the evening a man lays ready his clothes and his breakfast for the next morning, and then quietly goes to sleep; and at bottom it could not take place at all if it were not that the insect which dies in autumn is in itself, and according to its true nature, just as much identical with the one which is hatched out in the spring as the man who lies down to sleep is identical with the man who rises from it.

If now, after these considerations, we return to ourselves and our own species, then cast our glance forward far into the future, and seek to present to our minds the future generations, with the millions of their individuals in the strange form of their customs and pursuits, and then interpose with the question: Whence will all these come? Where are they now? Where is the fertile womb of that nothing, pregnant with worlds, which still conceals the coming races? Would not the smiling and true answer to this be, Where else should they be than there where alone the real always was and will be, in the present and its content? — thus with thee, the foolish questioner, who in this mistaking of his own nature is like the leaf upon the tree, which, fading in autumn and about to fall, complains at its destruction, and will not be consoled by looking forward to the fresh green which will clothe the tree in spring, but says lamenting, “I am not these! These are quite different leaves!” Oh, foolish leaf! Whither wilt thou? And whence should others come? Where is the nothing whose abyss thou fearest? Know thine own nature, that which is so filled with thirst for existence; recognise it in the inner, mysterious, germinating force of the tree, which, constantly one and the same in all generations of leaves, remains untouched by all arising and passing away. And now, οἱ περ φυλλων γενεη, τοιηδε και ανδρων (*Qualis foliorum generatio, talis et hominum*). Whether the fly which now buzzes round me goes to sleep in the evening, and buzzes again tomorrow, or dies in the evening, and in spring another fly buzzes which has sprung from its egg: that is in itself the same thing; but therefore the knowledge which

exhibits this as two fundamentally different things is not unconditioned, but relative, a knowledge of the phenomenon, not of the thing in itself. In the morning the fly exists again; it also exists again in the spring. What distinguishes for it the winter from the night? In Burdach's "Physiology," vol. i. § 275, we read, "Till ten o'clock in the morning no *Cercaria ephemera* (one of the infusoria) is to be seen (in the infusion), and at twelve the whole water swarms with them. In the evening they die, and the next morning they again appear anew." So it was observed by Nitzsch six days running.

So everything lingers but a moment, and hastens on to death. The plant and the insect die at the end of the summer, the brute and the man after a few years: death reaps unweariedly. Yet notwithstanding this, nay, as if this were not so at all, everything is always there and in its place, just as if everything were imperishable. The plant always thrives and blooms, the insect hums, the brute and the man exist in unwasted youth, and the cherries that have already been enjoyed a thousand times we have again before us every summer. The nations also exist as immortal individuals, although sometimes their names change; even their action, what they do and suffer, is always the same; although history always pretends to relate something different: for it is like the kaleidoscope, which at every turn shows a new figure, while we really always have the same thing before our eyes. What then presses itself more irresistibly upon us than the thought that that arising and passing away does not concern the real nature of things, but this remains untouched by it, thus is imperishable, and therefore all and each that wills to exist actually exists continuously and without end. Accordingly at every given point of time all species of animals, from the gnat to the elephant, exist together complete. They have already renewed themselves many thousand times, and withal have remained the same. They know nothing of others like them, who have lived before them, or will live after them; it is the species which always lives, and in the consciousness of the imperishable nature of the species and their identity with it the individuals cheerfully exist. The will to live manifests itself in an endless present, because this is the form of the life of the species, which, therefore, never grows old, but remains always young. Death is for it what sleep is for the individual, or what winking is for the eye, by the absence of which the Indian gods are known, if they appear in human form. As through the entrance of night the world vanishes, but yet does not for a moment cease to



exist, so man and brute apparently pass away through death, and yet their true nature continues, just as undisturbed by it. Let us now think of that alternation of death and birth as infinitely rapid vibrations, and we have before us the enduring objectification of the will, the permanent Ideas of being, fixed like the rainbow on the waterfall. This is temporal immortality. In consequence of this, notwithstanding thousands of years of death and decay, nothing has been lost, not an atom of the matter, still less anything of the inner being, that exhibits itself as nature. Therefore every moment we can cheerfully cry, “In spite of time, death, and decay, we are still all together!”

Perhaps we would have to except whoever had once said from the bottom of his heart, with regard to this game, “I want no more.” But this is not yet the place to speak of this.

But we have certainly to draw attention to the fact that the pain of birth and the bitterness of death are the two constant conditions under which the will to live maintains itself in its objectification, *i.e.*, our inner nature, untouched by the course of time and the death of races, exists in an everlasting present, and enjoys the fruit of the assertion of the will to live. This is analogous to the fact that we can only be awake during the day on condition that we sleep during the night; indeed the latter is the commentary which nature offers us for the understanding of that difficult passage.<sup>29</sup>

For the substratum, or the content, *πληρωμα*, or the material of the present, is through all time really the same. The impossibility of knowing this identity directly is just time, a form and limitation of our intellect. That on account of it, for example, the future event is not yet, depends upon an illusion of which we become conscious when that event has come. That the essential form of our intellect introduces such an illusion explains and justifies itself from the fact that the intellect has come forth from the hands of nature by no means for the apprehension of the nature of things, but merely for the apprehension of motives, thus for the service of an individual and temporal phenomenon of will.<sup>30</sup>

Whoever comprehends the reflections which here occupy us will also understand the true meaning of the paradoxical doctrine of the Eleatics, that there is no arising and passing away, but the whole remains immovable: “Παρμενιδης και Μελισσος ανηρουν γενεσιν και φθοραν, δια το νομιζειν το παν ακινητον” (Parmenides et Melissus ortum et interitum tollebant,

quoniam nihil moveri putabant), *Stob. Ecl.*, i. 21. Light is also thrown here upon the beautiful passage of Empedocles which Plutarch has preserved for us in the book, “*Adversus Coloten*,” c. 12: —

“Νηπιοι; ου γαρ σφιν δολιχοφρονες εισι μεριμναι,  
Οἱ δὲ γινεσθαι παρος ουκ εον ελπίζουσι,  
Ἡ τι καταθνησκειν και εξολλυσθαι ἀπαντη.  
Ουκ αν ανηρ τοιαυτα σοφος φρεσι μαντευσαιτο,  
Ὡς οφρα μεν τε βιωσι (το δη βιοτον καλεουσι),  
Τοφρα μεν ουν εισιν, και σφιν παρα δεινα και ἔσθλα  
Πριν τε παγεν τε βροτοι, και επει λυθεν, ουδεν αρ’ ἔισιν.”  
(Stulta, et proluxas non admittentia curas  
Pectora: qui sperant, existere posse, quod ante  
Non fuit, aut ullam rem pessum protinus ire; —  
Non animo prudens homo quod praesentiat ullus,  
Dum vivunt (namque hoc vitae nomine signant),  
Sunt, et fortuna tum conflictantur utraque:  
Ante ortum nihil est homo, nec post funera quidquam.)

The very remarkable and, in its place, astonishing passage in Diderot’s “*Jacques le fataliste*,” deserves not less to be mentioned here: “Un château immense, au frontispice duquel on lisait: *«Je n’appartiens à personne, et j’appartiens à tout le monde: vous y étiez avant que d’y entrer, vous y serez encore, quand vous en sortirez.»*”

Certainly in the sense in which, when he is begotten, the man arises out of nothing, he becomes nothing through death. But really to learn to know this “nothing” would be very interesting; for it only requires moderate acuteness to see that this empirical nothing is by no means absolute, *i.e.*, such as would in every sense be nothing. We are already led to this insight by the observation that all qualities of the parents recur in the children, thus have overcome death. Of this, however, I will speak in a special chapter.

There is no greater contrast than that between the ceaseless flight of time, which carries its whole content with it, and the rigid immobility of what is actually present, which at all times is one and the same. And if from this point of view we watch in a purely objective manner the immediate events of life, the Nunc stans becomes clear and visible to us in the centre of the wheel of time. To the eye of a being of incomparably longer life, which at one glance comprehended the human race in its whole duration,

the constant alternation of birth and death would present itself as a continuous vibration, and accordingly it would not occur to it at all to see in this an ever new arising out of nothing and passing into nothing; but just as to our sight the quickly revolving spark appears as a continuous circle, the rapidly vibrating spring as a permanent triangle, the vibrating cord as a spindle, so to this eye the species would appear as that which has being and permanence, death and life as vibrations.

We will have false conceptions of the indestructibility of our true nature by death, so long as we do not make up our minds to study it primarily in the brutes, but claim for ourselves alone a class apart from them, under the boastful name of immortality. But it is this pretension alone, and the narrowness of view from which it proceeds, on account of which most men struggle so obstinately against the recognition of the obvious truth that we are essentially, and in the chief respect, the same as the brutes; nay, that they recoil at every hint of our relationship with these. But it is this denial of the truth which more than anything else closes against them the path to real knowledge of the indestructibility of our nature. For if we seek anything upon a wrong path, we have just on that account forsaken the right path, and upon the path we follow we will never attain to anything in the end but late disillusion. Up, then, follow the truth, not according to preconceived notions, but as nature leads! First of all, learn to recognise in the aspect of every young animal the existence of the species that never grows old, which, as a reflection of its eternal youth, imparts to every individual a temporary youth, and lets it come forth as new and fresh as if the world were of to-day. Let one ask himself honestly whether the swallow of this year's spring is absolutely a different one from the swallow of the first spring, and whether really between the two the miracle of the creation out of nothing has repeated itself millions of times, in order to work just as often into the hands of absolute annihilation. I know well that if I seriously assured any one that the cat which now plays in the yard is still the same one which made the same springs and played the same tricks there three hundred years ago, he would think I was mad; but I also know that it is much madder to believe that the cat of to-day is through and through and in its whole nature quite a different one from the cat of three hundred years ago. One only requires truly and seriously to sink oneself in the contemplation of one of these higher vertebrates in order to become distinctly conscious that this unfathomable nature, taken as a whole, as it

exists there, cannot possibly become nothing; and yet, on the other hand, one knows its transitoriness. This depends upon the fact that in this animal the infinite nature of its Idea (species) is imprinted in the finiteness of the individual. For in a certain sense it is of course true that in the individual we always have before us another being — in the sense which depends upon the principle of sufficient reason, in which are also included time and space, which constitute the principium individuationis. But in another sense it is not true — in the sense in which reality belongs to the permanent forms of things, the Ideas alone, and which was so clearly evident to Plato that it became his fundamental thought, the centre of his philosophy; and he made the comprehension of it the criterion of capacity for philosophising in general.

As the scattered drops of the roaring waterfall change with lightning rapidity, while the rainbow, whose supporter they are, remains immovably at rest, quite untouched by that ceaseless change, so every Idea, *i.e.*, every species of living creature remains quite untouched by the continual change of its individuals. But it is the Idea, or the species in which the will to live is really rooted, and manifests itself; and therefore also the will is only truly concerned in the continuance of the species. For example, the lions which are born and die are like the drops of the waterfall; but the leonitas, the Idea or form of the lion, is like the unshaken rainbow upon it. Therefore Plato attributed true being to the Ideas alone, *i.e.*, to the species; to the individuals only a ceaseless arising and passing away. From the profound consciousness of his imperishable nature really springs also the confidence and peace of mind with which every brute, and even human individual, moves unconcernedly along amid a host of chances, which may annihilate it any moment, and, moreover, moves straight on to death: out of its eyes, however, there shines the peace of the species, which that death does not affect, and does not concern. Even to man this peace could not be imparted by uncertain and changing dogmas. But, as was said, the contemplation of every animal teaches that death is no obstacle to the kernel of life, to the will in its manifestation. What an unfathomable mystery lies, then, in every animal! Look at the nearest one; look at your dog, how cheerfully and peacefully he lives! Many thousands of dogs have had to die before it came to this one's turn to live. But the death of these thousands has not affected the Idea of the dog; it has not been in the least disturbed by all that dying. Therefore the dog exists as fresh and endowed with primitive force as if this

were its first day and none could ever be its last; and out of its eyes there shines the indestructible principle in it, the archæus. What, then, has died during those thousands of years? Not the dog — it stands unscathed before us; merely its shadow, its image in our form of knowledge, which is bound to time. Yet how can one even believe that that passes away which for ever and ever exists and fills all time? Certainly the matter can be explained empirically; in proportion as death destroyed the individuals, generation produced new ones. But this empirical explanation is only an apparent explanation: it puts one riddle in the place of the other. The metaphysical understanding of the matter, although not to be got so cheaply, is yet the only true and satisfying one.

Kant, in his subjective procedure, brought to light the truth that time cannot belong to the thing in itself, because it lies pre-formed in our apprehension. Now death is the temporal end of the temporal phenomenon; but as soon as we abstract time, there is no longer any end, and this word has lost all significance. But I, here upon the objective path, am trying to show the positive side of the matter, that the thing in itself remains untouched by time, and by that which is only possible through time, arising and passing away, and that the phenomena in time could not have even that ceaselessly fleeting existence which stands next to nothingness, if there were not in them a kernel of the infinite. Eternity is certainly a conception which has no perception as its foundation; accordingly it has also a merely negative content; it signifies a timeless existence. Time is yet merely an image of eternity, ὁ χρόνος εἰκὼν τοῦ αἰῶνος, as Plotinus has it; and in the same way our temporal existence is a mere image of our true nature. This must lie in eternity, just because time is only the form of our knowledge; but on account of this alone do we know our own existence, and that of all things as transitory, finite, and subject to annihilation.

In the second book I have shown that the adequate objectivity of the will as the thing in itself, at each of its grades, is the (Platonic) Idea; similarly in the third book that the Ideas of things have the pure subject of knowledge as their correlative; consequently the knowledge of them only appears exceptionally and temporarily under specially favourable conditions. For individual knowledge, on the other hand, thus in time, the Idea presents itself under the form of the species, which is the Idea broken up through its entrance into time. Therefore the species is the most immediate objectification of the thing in itself, *i.e.*, of the will to live. The inmost

nature of every brute, and also of man, accordingly lies in the species; thus the will to live, which is so powerfully active, is rooted in this, not really in the individual. On the other hand, in the individual alone lies the immediate consciousness: accordingly it imagines itself different from the species, and therefore fears death. The will to live manifests itself in relation to the individual as hunger and the fear of death: in relation to the species as sexual instinct and passionate care for the offspring. In agreement with this we find nature, which is free from that delusion of the individual, as careful for the maintenance of the species as it is indifferent to the destruction of the individuals: the latter are always only means, the former is the end. Therefore a glaring contrast appears between its niggardliness in the endowment of the individuals and its prodigality when the species is concerned. In the latter case from one individual are often annually obtained a hundred thousand germs, and more; for example, from trees, fishes, crabs, termites, and many others. In the former case, on the contrary, only barely enough in the way of powers and organs is given to each to enable it with ceaseless effort to maintain its life. And, therefore, if an animal is injured or weakened it must, as a rule, starve. And where an incidental saving was possible, through the circumstance that one part could upon necessity be dispensed with, it has been withheld, even out of order. Hence, for example, many caterpillars are without eyes; the poor creatures grope in the dark from leaf to leaf, which, since they lack feelers, they do by moving three-fourths of their body back and forward in the air, till they find some object. Hence they often miss their food which is to be found close by. But this happens in consequence of the *lex parsimoniæ naturæ*, to the expression of which *natura nihil facit supervacaneum* one may add *et nihil largitur*. The same tendency of nature shows itself also in the fact that the more fit the individual is, on account of his age, for the propagation of the species, the more powerfully does the *vis naturæ medicatrix* manifest itself in him, and therefore his wounds heal easily, and he easily recovers from diseases. This diminishes along with the power of generation, and sinks low after it is extinct; for now in the eyes of nature the individual has become worthless.

If now we cast another glance at the scale of existences, with the whole of their accompanying gradations of consciousness, from the polyp up to man, we see this wonderful pyramid, kept in ceaseless oscillation certainly by the constant death of the individuals, yet by means of the bond of generation, enduring in the species through the infinite course of time.

While, then, as was explained above, the objective, the species, presents itself as indestructible, the subjective, which consists merely in the self-consciousness of these beings, seems to be of the shortest duration, and to be unceasingly destroyed, in order, just as often, to come forth again from nothing in an incomprehensible manner. But, indeed, one must be very short-sighted to let oneself be deceived by this appearance, and not to comprehend that, although the form of temporal permanence only belongs to the objective, the subjective, *i.e.*, the will, which lives and manifests itself in all, and with it the subject of the knowledge in which all exhibits itself, must be not less indestructible; because the permanence of the objective, or external, can yet only be the phenomenal appearance of the indestructibility of the subjective or internal; for the former can possess nothing which it has not received on loan from the latter; and cannot be essentially and originally an objective, a phenomenon, and then secondarily and accidentally a subjective, a thing in itself, a self-consciousness. For clearly the former as a manifestation presupposes something which manifests itself, as being for other presupposes a being for self, and as object presupposes a subject; and not conversely: because everywhere the root of things must lie in that which they are for themselves, thus in the subjective, not in the objective, *i.e.*, in that which they are only for others, in a foreign consciousness. Accordingly we found in the first book that the right starting-point for philosophy is essentially and necessarily the subjective, *i.e.*, the idealistic starting-point; and also that the opposite starting-point, that which proceeds from the objective, leads to materialism. At bottom, however, we are far more one with the world than we commonly suppose: its inner nature is our will, its phenomenal appearance is our idea. For any one who could bring this unity of being to distinct consciousness, the difference between the continuance of the external world after his death and his own continuance after death would vanish. The two would present themselves to him as one and the same; nay, he would laugh at the delusion that could separate them. For the understanding of the indestructibility of our nature coincides with that of the identity of the macrocosm and the microcosm. Meanwhile one may obtain light upon what is said here by a peculiar experiment, performed by means of the imagination, an experiment which might be called metaphysical. Let any one try to present vividly to his mind the time, in any case not far distant, when he will be dead. Then he thinks himself away and lets the world go on existing; but soon, to his own astonishment,

he will discover that he was nevertheless still there. For he intended to present the world to his mind without himself; but the ego is the immediate element in consciousness, through which alone the world is brought about, and for which alone it exists. This centre of all existence, this kernel of all reality, is to be abolished, and yet the world is to go on existing; it is a thought which can be conceived in the abstract, but not realised. The endeavour to accomplish this, the attempt to think the secondary without the primary, the conditioned without the condition, that which is supported without the supporter, always fails, much in the same way as the attempt to think an equilateral, right-angled triangle, or a destruction or origination of matter, and similar impossibilities. Instead of what was intended, the feeling here presses upon us that the world is not less in us than we in it, and that the source of all reality lies within us. The result is really this: the time when I shall not be will objectively come; but subjectively it can never come. It might therefore, indeed, be asked, how far every one, in his heart, actually believes in a thing which he really cannot conceive at all; or whether, since the profound consciousness of the indestructibleness of our true nature associates itself with that merely intellectual experiment, which, however, has already been made more or less distinctly by every one, whether, I say, our own death is not perhaps for us at bottom the most incredible thing in the world.

The deep conviction of the indestructibleness of our nature through death, which, as is also shown by the inevitable qualms of conscience at its approach, every one carries at the bottom of his heart, depends altogether upon the consciousness of the original and eternal nature of our being: therefore Spinoza expresses it thus: “Sentimus, experimurque, nos æternos esse.” For a reasonable man can only think of himself as imperishable, because he thinks of himself as without beginning, as eternal, in fact as timeless. Whoever, on the other hand, regards himself as having become out of nothing must also think that he will again become nothing; for that an eternity had passed before he was, and then a second eternity had begun, through which he will never cease to be, is a monstrous thought. Really the most solid ground for our immortality is the old principle: “Ex nihilo nihil fit, et in nihilum nihil potest reverti.” Theophrastus Paracelsus very happily says (Works, Strasburg, 1603, vol. ii. p. 6): “The soul in me has arisen out of something; therefore it does not come to nothing; for it comes out of something.” He gives the true reason. But whoever regards the birth of the



man as his absolute beginning must regard death as his absolute end. For both are what they are in the same sense; consequently every one can only think of himself as immortal so far as he also thinks of himself as unborn, and in the same sense. What birth is, that also is death, according to its nature and significance: it is the same line drawn in two directions. If the former is an actual arising out of nothing, then the latter is also an actual annihilation. But in truth it is only by means of the eternity of our real being that we can conceive it as imperishable, and consequently this imperishableness is not temporal. The assumption that man is made out of nothing leads necessarily to the assumption that death is his absolute end. Thus in this the Old Testament is perfectly consistent; for no doctrine of immortality is suitable to a creation out of nothing. New Testament Christianity has such a doctrine because it is Indian in spirit, and therefore more than probably also of Indian origin, although only indirectly, through Egypt. But to the Jewish stem, upon which that Indian wisdom had to be grafted in the Holy Land, such a doctrine is as little suited as the freedom of the will to its determinism, or as

*“Humano capiti cervicem pictor equinam Jungere si velit.”*

It is always bad if one cannot be thoroughly original, and dare not carve out of the whole wood. Brahmanism and Buddhism, on the other hand, have quite consistently, besides the continued existence after death, an existence before birth to expiate the guilt of which we have this life. Moreover, how distinctly conscious they were of the necessary consistency in this is shown by the following passage from Colebrooke’s “History of the Indian Philosophy” in the “Transac. of the Asiatic London Society,” vol. i. p. 577: “Against the system of the Bhagavatas which is but partially heretical, the objection upon which the chief stress is laid by Vyaso is, that the soul would not be eternal if it were a production, and consequently had a beginning.” Further, in Upham’s “Doctrine of Buddhism,” p. 110, it is said: “The lot in hell of impious persons called Deitty is the most severe: these are they who, discrediting the evidence of Buddha, adhere to the heretical doctrine that all living beings had their beginning in the mother’s womb, and will have their end in death.”

Whoever conceives his existence as merely accidental must certainly fear that he will lose it by death. On the other hand, whoever sees, even only in general, that his existence rests upon some kind of original necessity will not believe that this which has produced so wonderful a thing is limited

to such a brief span of time, but that it is active in every one. But he will recognise his existence as necessary who reflects that up till now, when he exists, already an infinite time, thus also an infinity of changes, has run its course, but in spite of this he yet exists; thus the Whole range of all possible states has already exhausted itself without being able to destroy his existence. If he could ever not be, he would already not be now. For the infinity of the time that has already elapsed, with the exhausted possibility of the events in it, guarantees that what exists, exists necessarily. Therefore every one must conceive himself as a necessary being, *i.e.*, as a being whose existence would follow from its true and exhaustive definition if one only had it. In this line of thought, then, really lies the only immanent proof of the imperishableness of our nature, *i.e.*, the only proof of this that holds good within the sphere of empirical data. In this nature existence must inhere, because it shows itself as independent of all states which can possibly be introduced through the chain of causes; for these states have already done what they could, and yet our existence has remained unshaken by it, as the ray of light by the storm wind which it cuts through. If time, of its own resources, could bring us to a happy state, then we would already have been there long ago; for an infinite time lies behind us. But also: if it could lead us to destruction, we would already have long been no more. From the fact that we now exist, it follows, if well considered, that we must at all times exist. For we are ourselves the nature which time has taken up into itself in order to fill its void; consequently it fills the whole of time, present, past, and future, in the same way, and it is just as impossible for us to fall out of existence as to fall out of space. Carefully considered, it is inconceivable that what once exists in all the strength of reality should ever become nothing, and then not be, through an infinite time. Hence has arisen the Christian doctrine of the restoration of all things, that of the Hindus of the constantly repeated creation of the world by Brahma, together with similar dogmas of the Greek philosophers. The great mystery of our being and not being, to explain which these and all kindred dogmas have been devised, ultimately rests upon the fact that the same thing which objectively constitutes an infinite course of time is subjectively an indivisible, ever present present: but who comprehends it? It has been most distinctly set forth by Kant in his immortal doctrine of the ideality of time and the sole reality of the thing in itself. For it results from this that the really essential part of things, of man, of the world, lies permanently and enduringly in the

Nunc stans, firm and immovable; and that the change of the phenomena and events is a mere consequence of our apprehension of them by means of our form of perception, which is time. Accordingly, instead of saying to men, “Ye have arisen through birth, but are immortal,” one ought to say to them, “Ye are not nothing,” and teach them to understand this in the sense of the saying attributed to Hermes Trismegistus, “Τὸ γὰρ ὄν ἀεὶ ἔσται” (Quod enim est, erit semper), *Stob. Ecl.*, i. 43, 6. If, however, this does not succeed, but the anxious heart raises its old lament, “I see all beings arise through birth out of nothing, and after a brief term again return to this; my existence also, now in the present, will soon lie in the distant past, and I will be nothing!” — the right answer is, “Dost thou not exist? Hast thou not within thee the valuable present, after which ye children of time so eagerly strive, now within, actually within? And dost thou understand how thou hast attained to it? Knowest thou the paths which have led thee to it, that thou canst know they will be shut against thee by death? An existence of thyself after the destruction of thy body is not conceivable by thee as possible; but can it be more inconceivable to thee than thy present existence, and how thou hast attained to it? Why shouldst thou doubt but that the secret paths to this present, which stood open to thee, will also stand open to every future present?”

If, then, considerations of this kind are at any rate adapted to awaken the conviction that there is something in us which death cannot destroy, this yet only takes place by raising us to a point of view from which birth is not the beginning of our existence. But from this it follows that what is proved to be indestructible by death is not properly the individual, which, moreover, as having arisen through generation, and having in itself the qualities of the father and mother, presents itself as a mere difference of the species, but as such can only be finite. As, in accordance with this, the individual has no recollection of its existence before its birth, so it can have no remembrance of its present existence after death. But every one places his ego in consciousness; this seems to him therefore to be bound to individuality, with which, besides, everything disappears which is peculiar to him, as to this, and distinguishes him from others. His continued existence without individuality becomes to him therefore indistinguishable from the continuance of other beings, and he sees his ego sink. But whoever thus links his existence to the identity of consciousness, and therefore desires an endless existence after death for this, ought to reflect that he can certainly

only attain this at the price of just as endless a past before birth. For since he has no remembrance of an existence before birth, thus his consciousness begins with birth, he must accept his birth as an origination of his existence out of nothing. But then he purchases the endless time of his existence after death for just as long a time before birth; thus the account balances without any profit for him. If, on the other hand, the existence which death leaves untouched is different from that of the individual consciousness, then it must be independent of birth, just as of death; and therefore, with regard to it, it must be equally true to say, "I will always be," and "I have always been;" which then gives two infinities for one. But the great equivocation really lies in the word "I," as any one will see at once who remembers the contents of our second book, and the separation which is made there of the willing from the knowing part of our nature. According as I understand this word I can say, "Death is my complete end;" or, "This my personal phenomenal existence is just as infinitely small a part of my true nature as I am of the world." But the "I" is the dark point in consciousness, as on the retina the exact point at which the nerve of sight enters is blind, as the brain itself is entirely without sensation, the body of the sun is dark, and the eye sees all except itself. Our faculty of knowledge is directed entirely towards without, in accordance with the fact that it is the product of a brain function, which has arisen for the purpose of mere self-maintenance, thus of the search for nourishment and the capture of prey. Therefore every one knows himself only as this individual as it presents itself in external perception. If, on the other hand, he could bring to consciousness what he is besides and beyond this, then he would willingly give up his individuality, smile at the tenacity of his attachment to it, and say, "What is the loss of this individuality to me, who bear in myself the possibility of innumerable individualities?" He would see that even if a continued existence of his individuality does not lie before him, it is yet quite as good as if he had such an existence, because he carries in himself complete compensation for it. Besides, however, it may further be taken into consideration that the individuality of most men is so miserable and worthless that with it they truly lose nothing, and that that in them which may still have some worth is the universal human element; but to this imperishableness can be promised. Indeed, even the rigid unalterableness and essential limitation of every individual would, in the case of an endless duration of it, necessarily at last produce such great weariness by its monotony that only to be relieved of

this one would prefer to become nothing. To desire that the individuality should be immortal really means to wish to perpetuate an error infinitely. For at bottom every individuality is really only a special error, a false step, something that had better not be; nay, something which it is the real end of life to bring us back from. This also finds confirmation in the fact that the great majority, indeed really all men, are so constituted that they could not be happy in whatever kind of world they might be placed. In proportion as such a world excluded want and hardship, they would become a prey to ennui, and in proportion as this was prevented, they would fall into want, misery, and suffering. Thus for a blessed condition of man it would be by no means sufficient that he should be transferred to a "better world," but it would also be necessary that a complete change should take place in himself; that thus he should no longer be what he is, and, on the contrary, should become what he is not. But for this he must first of all cease to be what he is: this desideratum is, as a preliminary, supplied by death, the moral necessity of which can already be seen from this point of view. To be transferred to another world and to have his whole nature changed are, at bottom, one and the same. Upon this also ultimately rests that dependence of the objective upon the subjective which the idealism of our first book shows. Accordingly here lies the point at which the transcendent philosophy links itself on to ethics. If one considers this one will find that the awaking from the dream of life is only possible through the disappearance along with it of its whole ground-warps also, But this is its organ itself, the intellect together with its forms, with which the dream would spin itself out without end, so firmly is it incorporated with it. That which really dreamt this dream is yet different from it, and alone remains over. On the other hand, the fear that with death all will be over may be compared to the case of one who imagines in a dream that there are only dreams without a dreamer. But now, after an individual consciousness has once been ended by death, would it even be desirable that it should be kindled again in order to continue for ever? The greater part of its content, nay, generally its whole content, is nothing but a stream of small, earthly, paltry thoughts and endless cares. Let them, then, at last be stilled! Therefore with a true instinct, the ancients inscribed upon their gravestones: *Securitati perpetuæ*; — or *Bonæ quieti*. But if here, as so often has happened, a continued existence of the individual consciousness should be desired, in order to connect with it a future reward or punishment, what would really be aimed at in this would

simply be the compatibility of virtue and egoism. But these two will never embrace: they are fundamentally opposed. On the other hand, the conviction is well founded, which the sight of noble conduct calls forth, that the spirit of love, which enjoins one man to spare his enemy, and another to protect at the risk of his life some one whom he has never seen before, can never pass away and become nothing.

The most thorough answer to the question as to the continued existence of the individual after death lies in Kant's great doctrine of the ideality of time, which just here shows itself specially fruitful and rich in consequences, for it substitutes a purely theoretical but well-proved insight for dogmas which upon one path as upon the other lead to the absurd, and thus settles at once the most exciting of all metaphysical questions. Beginning, ending, and continuing are conceptions which derive their significance simply and solely from time, and are therefore valid only under the presupposition of this. But time has no absolute existence; it is not the manner of being of the thing in itself, but merely the form of our knowledge of our existence and nature, and that of all things, which is just on this account very imperfect, and is limited to mere phenomena. Thus with reference to this knowledge alone do the conceptions of ceasing and continuing find application, not with reference to that which exhibits itself in these, the inner being of things in relation to which these conceptions have therefore no longer any meaning. For this shows itself also in the fact that an answer to the question which arises from those time-conceptions is impossible, and every assertion of such an answer, whether upon one side or the other, is open to convincing objections. One might indeed assert that our true being continues after death because it is false that it is destroyed; but one might just as well assert that it is destroyed because it is false that it continues: at bottom the one is as true as the other. Accordingly something like an antinomy might certainly be set up here. But it would rest upon mere negations. In it one would deny two contradictorily opposite predicates of the subject of the judgment, but only because the whole category of these predicates would be inapplicable to that subject. But if now one denies these two predicates, not together, but separately, it appears as if the contradictory opposite of the predicate which in each case is denied were proved of the subject of the judgment. This, however, depends upon the fact that here incommensurable quantities are compared, for the problem removes us to a scene where time is abolished, and yet asks about temporal

properties which it is consequently equally false to attribute to, or to deny of the subject. This just means: the problem is transcendent. In this sense death remains a mystery.

On the other hand, adhering to that distinction between phenomenon and thing in itself, we can make the assertion that, as phenomenon, man is certainly perishable, but yet his true being will not be involved in this. Thus this true being is indestructible, although, on account of the elimination of time-conceptions which is connected with it, we cannot attribute to it continuance. Accordingly we would be led here to the conception of an indestructibility which would yet be no continuance. Now this is a conception which, having been obtained on the path of abstraction, can certainly also be thought in the abstract, but yet cannot be supported by any perception, and consequently cannot really become distinct; yet, on the other hand, we must here keep in mind that we have not, like Kant, absolutely given up the knowledge of the thing in itself, but know that it is to be sought for in the will. It is true that we have never asserted an absolute and exhaustive knowledge of the thing in itself, but rather have seen very well that it is impossible to know anything as it is absolutely and in itself. For as soon as I know, I have an idea; but this idea, just because it is my idea, cannot be identical with what is known, but repeats it in an entirely different form, for it makes a being for other out of a being for self, and is thus always to be regarded as a phenomenal appearance of the thing in itself. Therefore for a knowing consciousness, however it may be constituted, there can be always only phenomena. This is not entirely obviated even by the fact that it is my own nature which is known; for, since it falls within my knowing consciousness, it is already a reflex of my nature, something different from this itself, thus already in a certain degree phenomenon. So far, then, as I am a knowing being, I have even in my own nature really only a phenomenon; so far, on the other hand, as I am directly this nature itself, I am not a knowing being. For it is sufficiently proved in the second book that knowledge is only a secondary property of our being, and introduced by its animal nature. Strictly speaking, then, we know even our own will always merely as phenomenon, and not as it may be absolutely in and for itself. But in that second book, and also in my work upon the will in nature, it is fully explained and proved that if, in order to penetrate into the inner nature of things, leaving what is given merely indirectly and from without, we stick to the only phenomenon into the nature of which an

immediate insight from within is attainable, we find in this quite definitely, as the ultimate kernel of reality, the will, in which therefore we recognise the thing in itself in so far as it has here no longer space, although it still has time, for its form consequently really only in its most immediate manifestation, and with the reservation that this knowledge of it is still not exhaustive and entirely adequate. Thus in this sense we retain here also the conception of will as that of the thing in itself.

The conception of ceasing to be is certainly applicable to man as a phenomenon in time, and empirical knowledge plainly presents death as the end of this temporal existence. The end of the person is just as real as was its beginning, and in the same sense as before birth we were not, after death we shall be no more. Yet no more can be destroyed by death than was produced by birth; thus not that through which birth first became possible. In this sense *natus et denatus* is a beautiful expression. But now the whole of empirical knowledge affords us merely phenomena; therefore only phenomena are involved in the temporal processes of coming into being and passing away, and not that which manifests itself in the phenomena, the thing in itself. For this the opposition of coming into being and passing away conditioned by the brain, does not exist at all, but has here lost meaning and significance. It thus remains untouched by the temporal end of a temporal phenomenon, and constantly retains that existence to which the conceptions of beginning, end, and continuance are not applicable. But the thing in itself, so far as we can follow it, is in every phenomenal being the will of this being: so also in man. Consciousness, on the other hand, consists in knowledge. But knowledge, as activity of the brain, and consequently as function of the organism, belongs, as has been sufficiently proved, to the mere phenomenon, and therefore ends with this. The will alone, whose work, or rather whose image was the body, is that which is indestructible. The sharp distinction of will from knowledge, together with the primacy of the former, which constitutes the fundamental characteristic of my philosophy, is therefore the only key to the contradiction which presents itself in so many ways, and arises ever anew in every consciousness, even the most crude, that death is our end, and that yet we must be eternal and indestructible, thus the *sentimus, experimurque nos æternos esse* of Spinoza. All philosophers have erred in this: they place the metaphysical, the indestructible, the eternal element in man in the intellect. It lies exclusively in the will, which is entirely different from the intellect,



and alone is original. The intellect, as was most fully shown in the second book, is a secondary phenomenon, and conditioned by the brain, therefore beginning and ending with this. The will alone is that which conditions, the kernel of the whole phenomenon, consequently free from the forms of the phenomenon to which time belongs, thus also indestructible. Accordingly with death consciousness is certainly lost, but not that which produced and sustained consciousness; life is extinguished, but not the principle of life also, which manifested itself in it. Therefore a sure feeling informs every one that there is something in him which is absolutely imperishable and indestructible. Indeed the freshness and vividness of memories of the most distant time, of earliest childhood, bears witness to the fact that something in us does not pass away with time, does not grow old, but endures unchanged. But what this imperishable element is one could not make clear to oneself. It is not consciousness any more than it is the body upon which clearly consciousness depends. But it is just that which, when it appears in consciousness, presents itself as will. Beyond this immediate manifestation of it we certainly cannot go; because we cannot go beyond consciousness; therefore the question what that may be when it does not come within consciousness, *i.e.*, what it is absolutely in itself, remains unanswerable.

In the phenomenon, and by means of its forms, time and space, as principium individuationis, what presents itself is that the human individual perishes, while the human race, on the contrary, always remains and lives. But in the true being of things, which is free from these forms, this whole distinction between the individual and the race also disappears, and the two are immediately one. The whole will to live is in the individual, as it is in the race, and therefore the continuance of the species is merely the image of the indestructibility of the individual.

Since, then, the infinitely important understanding of the indestructibility of our true nature by death depends entirely upon the distinction between phenomenon and thing in itself, I wish now to bring this difference into the clearest light by explaining it in the opposite of death, thus in the origin of the animal existence, *i.e.*, generation. For this process, which is just as mysterious as death, presents to us most directly the fundamental opposition between the phenomenal appearance and the true being of things, *i.e.*, between the world as idea and the world as will, and also the entire heterogeneity of the laws of these two. The act of procreation presents itself to us in a twofold manner: first, for self-consciousness, whose only object,

as I have often shown, is the will, with all its affections; and then for the consciousness of other things, *i.e.*, the world of idea, or the empirical reality of things. Now, from the side of the will, thus inwardly, subjectively, for self-consciousness, that act presents itself as the most immediate and complete satisfaction of the will, *i.e.*, as sensual pleasure. From the side of the idea, on the other hand, thus externally, objectively, for the consciousness of other things, this act is just the woof of the most cunning of webs, the foundation of the inexpressibly complicated animal organism, which then only requires to be developed to become visible to our astonished eyes. This organism, whose infinite complication and perfection is only known to him who has studied anatomy, cannot, from the side of the idea, be otherwise conceived and thought of than as a system devised with the most ingenious forethought and carried out with the most consummate skill and exactness, as the most arduous work of profound reflection. But from the side of the will we know, through self-consciousness, the production of this organism as the work of an act which is exactly the opposite of all reflection, an impetuous, blind impulse, an exceedingly pleasurable sensation. This opposition is closely related to the infinite contrast, which is shown above, between the absolute facility with which nature produces its works, together with the correspondingly boundless carelessness with which it abandons them to destruction, and the incalculably ingenious and studied construction of these very works, judging from which they must have been infinitely difficult to make, and their maintenance should have been provided for with all conceivable care; while we have the opposite before our eyes. If now by this certainly very unusual consideration, we have brought together in the boldest manner the two heterogeneous sides of the world, and, as it were, grasped them with one hand, we must now hold them fast in order to convince ourselves of the entire invalidity of the laws of the phenomenon, or the world as idea, for that of will, or the thing in itself. Then it will become more comprehensible to us that while on the side of the idea, that is, in the phenomenal world, there exhibits itself to us now an arising out of nothing, and now an entire annihilation of what has arisen, from that other side, or in itself, a nature lies before us with reference to which the conceptions of arising and passing away have no significance. For, by going back to the root, where, by means of self-consciousness, the phenomenon and the thing in itself meet, we have just, as it were, palpably apprehended that the two are absolutely

incommensurable, and the whole manner of being of the one, together with all the fundamental laws of its being, signify nothing, and less than nothing, in the other. I believe that this last consideration will only be rightly understood by a few, and that it will be displeasing and even offensive to all who do not understand it, but I shall never on this account omit anything that can serve to illustrate my fundamental thought.

At the beginning of this chapter I have explained that the great clinging to life, or rather fear of death, by no means springs from knowledge, in which case it would be the result of the known value of life; but that that fear of death has its root directly in the will, out of the original nature of which it proceeds, in which it is entirely without knowledge, and therefore blind will to live. As we are allured into life by the wholly illusory inclination to sensual pleasure, so we are retained in it by the fear of death, which is certainly just as illusory. Both spring directly from the will, which in itself is unconscious. If, on the contrary, man were merely a knowing being, then death would necessarily be to him not only indifferent, but even welcome. The reflection to which we have here attained now teaches that what is affected by death is merely the knowing consciousness, and the will, on the other hand, because it is the thing in itself, which lies at the foundation of every phenomenon, is free from all that depends upon temporal determinations, thus is also imperishable. Its striving towards existence and manifestation, from which the world results, is constantly satisfied, for this accompanies it as the shadow accompanies the body, for it is merely the visibility of its nature. That yet in us it fears death results from the fact that here knowledge presents its existence to it as merely in the individual phenomenon, whence the illusion arises that it will perish with this, as my image in a mirror seems to be destroyed along with it if the mirror is broken; this then, as contrary to its original nature, which is a blind striving towards existence, fills it with horror. From this now it follows that that in us which alone is capable of fearing death, and also alone fears it, the will, is not affected by it; and that, on the other hand, what is affected by it and really perishes is that which from its nature is capable of no fear, and in general of no desire or emotion, and is therefore indifferent to being and not being, the mere subject of knowledge, the intellect, whose existence consists in its relation to the world of idea, *i.e.*, the objective world, whose correlative it is, and with whose existence its own is ultimately one. Thus, although the individual consciousness does not survive death, yet that

survives it which alone struggles against it — the will. This also explains the contradiction that from the standpoint of knowledge philosophers have always proved with cogent reasons that death is no evil; yet the fear of death remains inevitable for all, because it is rooted, not in knowledge, but in the will. It is also a result of the fact that only the will, and not the intellect, is indestructible, that all religions and philosophies promise a reward in eternity only to the virtues of the will, or heart, not to those of the intellect, or head.

The following may also serve to illustrate this consideration. The will, which constitutes our true being, is of a simple nature; it merely wills, and does not know. The subject of knowledge, on the other hand, is a secondary phenomenon, arising from the objectification of the will; it is the point of unity of the sensibility of the nervous system, as it were the focus in which the rays of the activity of all the parts of the brain unite. With this, then, it must perish. In self-consciousness, as that which alone knows, it stands over against the will as its spectator, and, although sprung from it, knows it as something different from itself, something foreign to it, and consequently also only empirically, in time, by degrees, in its successive excitements and acts, and also learns its decisions only a posteriori, and often very indirectly. This explains the fact that our own nature is a riddle to us, *i.e.*, to our intellect, and that the individual regards itself as having newly arisen and as perishable; although its true nature is independent of time, thus is eternal. As now the will does not know, so conversely the intellect, or the subject of knowledge, is simply and solely knowing, without ever willing. This can be proved even physically in the fact that, as was already mentioned in the second book, according to Bichat, the various emotions directly affect all parts of the organism and disturb their functions, with the exception of the brain, which can only be affected by them very indirectly, *i.e.*, just in consequence of those disturbances (*De la vie et de la mort*, art. 6, § 2). But from this it follows that the subject of knowledge, for itself and as such, cannot take part or interest in anything, but for it the being or not being of everything, nay, even of its own self, is a matter of indifference. Now why should this purely neutral being be immortal? It ends with the temporal manifestation of the will, *i.e.*, the individual, as it arose with it. It is the lantern which is extinguished when it has served its end. The intellect, like the perceptible world which exists only in it, is a mere phenomenon; but the finiteness of both does not affect that of which they are the phenomenal

appearance. The intellect is the function of the cerebral nervous system; but the latter, like the rest of the body, is the objectivity of the will. Therefore the intellect depends upon the somatic life of the organism; but this itself depends upon the will. The organised body may thus, in a certain sense, be regarded as the link between the will and the intellect; although really it is only the will itself exhibiting itself spatially in the perception of the intellect. Death and birth are the constant renewal of the consciousness of the will, in itself without end and without beginning, which alone is, as it were, the substance of existence (but each such renewal brings a new possibility of the denial of the will to live). Consciousness is the life of the subject of knowledge, or the brain, and death is its end. And therefore, finally, consciousness is always new, in each case beginning at the beginning. The will alone is permanent; and, moreover, it is it alone that permanence concerns; for it is the will to live. The knowing subject for itself is not concerned about anything. In the ego, however, the two are bound up together. In every animal existence the will has achieved an intellect which is the light by which it here pursues its ends. It may be remarked by the way that the fear of death may also partly depend upon the fact that the individual will is so loath to separate from the intellect which has fallen to its lot through the course of nature, its guide and guard, without which it knows that it is helpless and blind.

Finally, this explanation also agrees with the commonplace moral experience which teaches us that the will alone is real, while its objects, on the other hand, as conditioned by knowledge, are only phenomena, are only froth and vapour, like the wine which Mephistopheles provided in Auerbach's cellar: after every sensuous pleasure we also say, "And yet it seemed as I were drinking wine."

The terrors of death depend for the most part upon the false illusion that now the ego vanishes and the world remains. But rather is the opposite the case; the world vanishes, but the inmost kernel of the ego, the supporter and producer of that subject, in whose idea alone the world has its existence, remains. With the brain the intellect perishes, and with the intellect the objective world, its mere idea. That in other brains, afterwards as before, a similar world lives and moves is, with reference to the intellect which perishes, a matter of indifference. If, therefore, reality proper did not lie in the will, and if the moral existence were not that which extends beyond death, then, since the intellect, and with it its world, is extinguished,

the true nature of things in general would be no more than an endless succession of short and troubled dreams, without connection among themselves; for the permanence of unconscious nature consists merely in the idea of time of conscious nature. Thus a world-spirit dreaming without end or aim, dreams which for the most part are very troubled and heavy, would then be all in all.

When, now, an individual experiences the fear of death, we have really before us the extraordinary, nay, absurd, spectacle of the lord of the worlds, who fills all with his being, and through whom alone everything that is has its existence, desponding and afraid of perishing, of sinking into the abyss of eternal nothingness; — while, in truth, all is full of him, and there is no place where he is not, no being in which he does not live; for it is not existence that supports him, but he that supports existence. Yet it is he who desponds in the individual who suffers from the fear of death, for he is exposed to the illusion produced by the principium individuationis that his existence is limited to the nature which is now dying. This illusion belongs to the heavy dream into which, as the will to live, he has fallen. But one might say to the dying individual: “Thou ceasest to be something which thou hadst done better never to become.”

So long as no denial of the will takes place, what death leaves untouched is the germ and kernel of quite another existence, in which a new individual finds itself again, so fresh and original that it broods over itself in astonishment. What sleep is for the individual, death is for the will as thing in itself. It would not endure to continue the same actions and sufferings throughout an eternity without true gain, if memory and individuality remained to it. It flings them off, and this is lethe; and through this sleep of death it reappears refreshed and fitted out with another intellect, as a new being— “a new day tempts to new shores.”

As the self-asserting will to live man has the root of his existence in the species. Accordingly death is the loss of one individuality and the assumption of another, consequently a change of individuality under the exclusive guidance of one's own will. For in this alone lies the eternal power which could produce its existence with its ego, yet, on account of its nature, was not able to maintain it in existence. For death is the *démenti* which the essence (*essentia*) of every one receives in its claim to existence (*existentia*), the appearance of a contradiction which lies in every individual existence:

“For all that arises  
Is worthy of being destroyed.”

But an infinite number of such existences, each with its ego, stands within reach of this power, thus of the will, which, however, will again prove just as transitory and perishable. Since now every ego has its separate consciousness, that infinite number of them is, with reference to such an ego, not different from a single one. From this point of view it appears to me not accidental that *ævum*, αἰών, signifies both the individual term of life and infinite time. Indeed from this point of view it may be seen, although indistinctly, that ultimately and in themselves both are the same; and according to this there would really be no difference whether I existed only through my term of life or for an infinite time.

Certainly, however, we cannot obtain an idea of all that is said above entirely without time-concepts; yet when we are dealing with the thing in itself these ought to be excluded. But it belongs to the unalterable limitations of our intellect that it can never entirely cast off this first and most immediate form of all its ideas, in order to operate without it. Therefore we certainly come here upon a kind of metempsychosis, although with the important difference that it does not concern the whole ψυχή, not the knowing being, but the will alone; and thus, with the consciousness that the form of time only enters here as an unavoidable concession to the limitation of our intellect, so many absurdities which accompany the doctrine of metempsychosis disappear. If, indeed, we now call in the assistance of the fact, to be explained in chapter 43, that the character, *i.e.*, the will, is inherited from the father, and the intellect, on the other hand, from the mother, it agrees very well with our view that the will of a man, in itself individual, separated itself in death from the intellect received from the mother in generation, and in accordance with its now modified nature, under the guidance of the absolutely necessary course of the world harmonising with this, received through a new generation a new intellect, with which it became a new being, which had no recollection of an earlier existence; for the intellect, which alone has the faculty of memory, is the mortal part or the form, while the will is the eternal part, the substance. In accordance with this, this doctrine is more correctly denoted by the word palingenesis than by metempsychosis. These constant new births, then, constitute the succession of the life-dreams of a will which in itself is indestructible, until, instructed and improved by so much and such various

successive knowledge in a constantly new form, it abolishes or abrogates itself.

The true and, so to speak, esoteric doctrine of Buddhism, as we have come to know it through the latest investigations, also agrees with this view, for it teaches not metempsychosis, but a peculiar palingenesis, resting upon a moral basis which it works out and explains with great profundity. This may be seen from the exposition of the subject, well worth reading and pondering, which is given in Spence Hardy's "Manual of Buddhism," pp. 394-96 (with which compare pp. 429, 440, and 445 of the same book), the confirmation of which is to be found in Taylor's "*Prabodh Chandro Daya*," London, 1812, p. 35; also in Sangermano's "Burmese Empire," p. 6, and in the "Asiatic Researches," vol. vi. p. 179, and vol. ix. p. 256. The very useful German compendium of Buddhism by Köppen is also right upon this point. Yet for the great mass of Buddhists this doctrine is too subtle; therefore to them simple metempsychosis is preached as a comprehensible substitute.

Besides, it must not be neglected that even empirical grounds support a palingenesis of this kind. As a matter of fact there does exist a connection between the birth of the newly appearing beings and the death of those that are worn out. It shows itself in the great fruitfulness of the human race which appears as a consequence of devastating diseases. When in the fourteenth century the black death had for the most part depopulated the old world, a quite abnormal fruitfulness appeared among the human race, and twin-births were very frequent. The circumstance was also very remarkable that none of the children born at this time obtained their full number of teeth; thus nature, exerting itself to the utmost, was niggardly in details. This is related by F. Schnurrer, "*Chronik der Seuchen*," 1825. Casper also, "*Ueber die wahrscheinliche Lebensdauer des Menschen*," 1835, confirms the principle that the number of births in a given population has the most decided influence upon the length of life and mortality in it, as this always keeps pace with the mortality: so that always and everywhere the deaths and the births increase and decrease in like proportion; which he places beyond doubt by an accumulation of evidence collected from many lands and their various provinces. And yet it is impossible that there can be a physical causal connection between my early death and the fruitfulness of a marriage with which I have nothing to do, or conversely. Thus here the metaphysical appears undeniably and in a stupendous manner as the immediate ground of explanation of the physical. Every new-born being indeed comes fresh and



blithe into the new existence, and enjoys it as a free gift: but there is, and can be, nothing freely given. Its fresh existence is paid for by the old age and death of a worn-out existence which has perished, but which contained the indestructible seed out of which this new existence has arisen: they are one being. To show the bridge between the two would certainly be the solution of a great riddle.

The great truth which is expressed here has never been entirely unacknowledged, although it could not be reduced to its exact and correct meaning, which is only possible through the doctrine of the primacy and metaphysical nature of the will and the secondary, merely organic nature of the intellect. We find the doctrine of metempsychosis, springing from the earliest and noblest ages of the human race, always spread abroad in the earth as the belief of the great majority of mankind, nay, really as the teaching of all religions, with the exception of that of the Jews and the two which have proceeded from it: in the most subtle form, however, and coming nearest to the truth, as has already been mentioned, in Buddhism. Accordingly, while Christians console themselves with the thought of meeting again in another world, in which one regains one's complete personality and knows oneself at once, in those other religions the meeting again is already going on now, only incognito. In the succession of births, and by virtue of metempsychosis or palingenesis, the persons who now stand in close connection or contact with us will also be born along with us at the next birth, and will have the same or analogous relations and sentiments towards us as now, whether these are of a friendly or a hostile description. (*Cf.*, for example, Spence Hardy's "Manual of Buddhism," p. 162.) Recognition is certainly here limited to an obscure intimation, a reminiscence which cannot be brought to distinct consciousness, and refers to an infinitely distant time; — with the exception, however, of Buddha himself, who has the prerogative of distinctly knowing his own earlier births and those of others; — as this is described in the "Jâtaka." But, in fact, if at favourable moment one contemplates, in a purely objective manner, the action of men in reality; the intuitive conviction is forced upon one that it not only is and remains constantly the same, according to the (Platonic) Idea, but also that the present generation, in its true inner nature, is precisely and substantially identical with every generation that has been before it. The question simply is in what this true being consists. The answer which my doctrine gives to this question is well known. The

intuitive conviction referred to may be conceived as arising from the fact that the multiplying-glasses, time and space, lose for a moment their effect. With reference to the universality of the belief in metempsychosis, Obry says rightly, in his excellent book, "*Du Nirvana Indien*," p. 13: "Cette vieille croyance a fait le tour du monde, et était tellement répandue dans la haute antiquité, qu'un docte Anglican l'avait jugée sans père, sans mère, et sans généalogie" (*Ths. Burnet, dans Beausobre, Hist. du Manichéisme*, ii. p. 391). Taught already in the "Vedas," as in all the sacred books of India, metempsychosis is well known to be the kernel of Brahmanism and Buddhism. It accordingly prevails at the present day in the whole of non-Mohammedan Asia, thus among more than half of the whole human race, as the firmest conviction, and with an incredibly strong practical influence. It was also the belief of the Egyptians (Herod., ii. 123), from whom it was received with enthusiasm by Orpheus, Pythagoras, and Plato: the Pythagoreans, however, specially retained it. That it was also taught in the mysteries of the Greeks undeniably follows from the ninth book of Plato's "Laws" (pp. 38 and 42, ed. Bip.) Nemesius indeed (*De nat. hom.*, c. 2) says: "Κοινή μὲν οὖν πάντες Ἕλληνες, οἱ τὴν ψυχὴν ἀθανάτων ἀποφθναμένοι, τὴν μετενσωματώσιν δογματίζουσι." (Communitur igitur omnes Græci, qui animam immortalem statuerunt, eam de uno corpore in aliud transferri censuerunt.) The "Edda" also, especially in the "Völuspá," teaches metempsychosis. Not less was it the foundation of the religion of the Druids (*Cæs. de bello Gall.*, vi.; *A. Pictet, Le mystère des Bardes de l'île de Bretagne*, 1856). Even a Mohammedan sect in Hindostan, the Bohrahs, of which Colebrooke gives a full account in the "Asiatic Researches," vol. vii. p. 336 *sqq.*, believes in metempsychosis, and accordingly refrains from all animal food. Also among American Indians and negro tribes, nay, even among the natives of Australia, traces of this belief are found, as appears from a minute description given in the *Times* of 29th January 1841 of the execution of two Australian savages for arson and murder. It is said there: "The younger of the two prisoners met his end with a dogged and a determined spirit, as it appeared, of revenge; the only intelligible expressions made use of conveyed an impression that he would rise up a 'white fellow,' which it was considered strengthened his resolution." Also in a book by Ungewitter, "*Der Welttheil Australien*," it is related that the Papuas in Australia regarded the whites as their own relations who had returned to the world. According to all this, the belief in metempsychosis

presents itself as the natural conviction of man, whenever he reflects at all in an unprejudiced manner. It would really be that which Kant falsely asserts of his three pretended Ideas of the reason, a philosopheme natural to human reason, which proceeds from its forms; and when it is not found it must have been displaced by positive religious doctrines coming from a different source. I have also remarked that it is at once obvious to every one who hears of it for the first time. Let any one only observe how earnestly Lessing defends it in the last seven paragraphs of his "Erziehung des Menschengeschlechts." Lichtenberg also says in his "Selbstcharacteristik:" "I cannot get rid of the thought that I died before I was born." Even the excessively empirical Hume says in his sceptical essay on immortality, p. 23: "The metempsychosis is therefore the only system of this kind that philosophy can hearken to."<sup>31</sup> What resists this belief, which is spread over the whole human race and commends itself alike to the wise and to the vulgar, is Judaism, together with the two religions which have sprung from it, because they teach the creation of man out of nothing, and he has then the hard task of linking on to this the belief in an endless existence a parte post. They certainly have succeeded, with fire and sword, in driving out of Europe and part of Asia that consoling primitive belief of mankind; it is still doubtful for how long. Yet how difficult this was is shown by the oldest Church histories. Most of the heretics were attached to this primitive belief; for example, Simonists, Basilidians, Valentinians, Marcionists, Gnostics, and Manichæans. The Jews themselves have in part fallen into it, as Tertullian and Justinus (in his dialogues) inform us. In the Talmud it is related that Abel's soul passed into the body of Seth, and then into that of Moses. Even the passage of the Bible, Matt. xvi. 13-15, only obtains a rational meaning if we understand it as spoken under the assumption of the dogma of metempsychosis. Luke, it is true, who also has the passage (ix. 18-20), adds the words ὅτι προφητης τις των αρχαιων ανεστη, and thus attributes to the Jews the assumption that such an ancient prophet can rise again body and all, which, since they know that he has already lain between six and seven hundred years in his grave, and consequently has long since turned to dust, would be a palpable absurdity. In Christianity, however, the doctrine of original sin, *i.e.*, the doctrine of punishment for the sins of another individual, has taken the place of the transmigration of souls and the expiation in this way of all the sins committed in an earlier life. Both identify, and that with a moral tendency, the existing man with one who has

existed before; the transmigration of souls does so directly, original sin indirectly.

Death is the great reprimand which the will to live, or more especially the egoism, which is essential to this, receives through the course of nature; and it may be conceived as a punishment for our existence.<sup>32</sup> It is the painful loosing of the knot which the act of generation had tied with sensual pleasure, the violent destruction coming from without of the fundamental error of our nature: the great disillusion. We are at bottom something that ought not to be: therefore we cease to be. Egoism consists really in the fact that man limits all reality to his own person, in that he imagines that he lives in this alone and not in others. Death teaches him better, for it destroys this person, so that the true nature of man, which is his will, will henceforth live only in other individuals; while his intellect, which itself belonged only to the phenomenon, *i.e.*, to the world as idea, and was merely the form of the external world, also continues to exist in the condition of being idea, *i.e.*, in the objective being of things as such, thus also only in the existence of what was hitherto the external world. His whole ego thus lives from this time forth only in that which he had hitherto regarded as non-ego: for the difference between external and internal ceases. We call to mind here that the better man is he who makes the least difference between himself and others, does not regard them as absolute non-ego, while for the bad man this difference is great, nay, absolute. I have worked this out in my prize essay on the foundation of morals. According to what was said above, the degree in which death can be regarded as the annihilation of the man is in proportion to this difference. But if we start from the fact that the distinction of outside me and in me, as a spatial distinction, is only founded in the phenomenon, not in the thing in itself, thus is no absolutely real distinction, then we shall see in the losing of our own individuality only the loss of a phenomenon, thus only an apparent loss. However much reality that distinction has in the empirical consciousness, yet from the metaphysical standpoint the propositions, "I perish, but the world endures," and "The world perishes but I endure," are at bottom not really different.

But, besides all this, death is the great opportunity no longer to be I; — to him who uses it. During life the will of man is without freedom: his action takes place with necessity upon the basis of his unalterable character in the chain of motives. But every one remembers much that he has done, and on account of which he is by no means satisfied with himself. If now he

were to go on living, he would go on acting in the same way, on account of the unalterable nature of his character. Accordingly he must cease to be what he is in order to be able to arise out of the germ of his nature as a new and different being. Therefore death looses these bonds; the will again becomes free; for freedom lies in the *Esse*, not in the *Operari*. “*Finditur nodus cordis, dissolvuntur omnes dubitationes, ejusque opera evanescent,*” is a very celebrated saying of the Vedas, which all Vedantic writers frequently repeat.<sup>33</sup> Death is the moment of that deliverance from the one-sidedness of an individuality which does not constitute the inmost kernel of our being, but is rather to be thought of as a kind of aberration of it. The true original freedom re-enters at this moment, which, in the sense indicated, may be regarded as a *restitutio in integrum*. The peace and quietness upon the countenance of most dead persons seems to have its origin in this. Quiet and easy is, as a rule, the death of every good man: but to die willingly, to die gladly, to die joyfully, is the prerogative of the resigned, of him who surrenders and denies the will to live. For only he wills to die really, and not merely apparently, and consequently he needs and desires no continuance of his person. The existence which we know he willingly gives up: what he gets instead of it is in our eyes nothing, because our existence is, with reference to that, nothing. The Buddhist faith calls it Nirvana,<sup>34</sup> *i.e.*, extinction.

## Chapter XLII. The Life Of The Species.

In the preceding chapter it was called to mind that the (Platonic) Ideas of the different grades of beings, which are the adequate objectification of the will to live, exhibit themselves in the knowledge of the individual, which is bound to the form of time, as the species, *i.e.*, as the successive individuals of one kind connected by the bond of generation, and that therefore the species is the Idea (εἶδος, species) broken up in time. Accordingly the true nature of every living thing lies primarily in its species: yet the species again has its existence only in the individuals. Now, although the will only attains to self-consciousness in the individual, thus knows itself immediately only as the individual, yet the deep-seated consciousness that it is really the species in which his true nature objectifies itself appears in the fact that for the individual the concerns of the species as such, thus the relations of the sexes, the production and nourishment of the offspring, are of incomparably greater importance and consequence than everything else. Hence, then, arises in the case of the brutes, heat or rut (an excellent description of the vehemence of which will be found in Burdach's "Physiology," vol. i. §§ 247, 257), and, in the case of man, the careful and capricious selection of the other individual for the satisfaction of the sexual impulse, which can rise to the height of passionate love, to the fuller investigation of which I shall devote a special chapter: hence also, finally the excessive love of parents for their offspring.

In the supplements to the second book the will was compared to the root and the intellect to the crown of the tree; and this is the case inwardly or psychologically. But outwardly or physiologically the genitals are the root and the head the crown. The nourishing part is certainly not the genitals, but the villi of the intestines: yet not the latter but the former are the root; because through them the individual is connected with the species in which it is rooted. For physically the individual is a production of the species, metaphysically a more or less perfect picture of the Idea, which, in the form of time, exhibits itself as species. In agreement with the relation expressed here, the greatest vitality, and also the decrepitude of the brain and the genital organs, is simultaneous and stands in connection. The sexual impulse is to be regarded as the inner life of the tree (the species) upon

which the life of the individual grows, like a leaf that is nourished by the tree, and assists in nourishing the tree; this is why that impulse is so strong, and springs from the depths of our nature. To castrate an individual means to cut him off from the tree of the species upon which he grows, and thus severed, leave him to wither: hence the degradation of his mental and physical powers. That the service of the species, *i.e.*, fecundation, is followed in the case of every animal individual by momentary exhaustion and debility of all the powers, and in the case of most insects indeed by speedy death, on account of which Celsus said, “Seminis emissio est partis animæ jactura;” that in the case of man the extinction of the generative power shows that the individual approaches death; that excessive use of this power at every age shortens life, while, on the other hand, temperance in this respect increases all the powers, and especially the muscular powers, on which account it was part of the training of the Greek athletes; that the same restraint lengthens the life of the insect even to the following spring; all this points to the fact that the life of the individual is at bottom only borrowed from the species, and that all vital force is, as it were, force of the species restricted by being dammed up. But this is to be explained from the fact that the metaphysical substratum of life reveals itself directly in the species and only by means of this in the individual. Accordingly the Lingam with the Yoni, as the symbol of the species and its immortality, is worshipped in India, and, as the counterpoise of death, is ascribed as an attribute to the very divinity who presides over death, Siva.

But without myth or symbol, the vehemence of the sexual impulse, the keen intentness and profound seriousness with which every animal, including man, pursues its concerns, shows that it is through the function which serves it that the animal belongs to that in which really and principally its true being lies, the species; while all other functions and organs directly serve only the individual, whose existence is at bottom merely secondary. In the vehemence of that impulse, which is the concentration of the whole animal nature, the consciousness further expresses itself that the individual does not endure, and therefore all must be staked on the maintenance of the species, in which its true existence lies.

To illustrate what has been said, let us now imagine a brute in rut, and in the act of generation. We see a seriousness and intentness never known in it at any other time. Now what goes on in it? Does it know that it must die, and that through its present occupation a new individual, which yet entirely

resembles itself, will arise in order to take its place? Of all this it knows nothing, for it does not think. But it is as intently careful for the continuance of the species in time as if it knew all that. For it is conscious that it desires to live and exist, and it expresses the highest degree of this volition in the act of generation; this is all that then takes place in its consciousness. This is also quite sufficient for the permanence of the kind; just because the will is the radical and knowledge the adventitious. On this account the will does not require to be guided by knowledge throughout; but whenever in its primitive originality it has resolved, this volition will objectify itself of its own accord in the world of the idea. If now in this way it is that definite animal form which we have thought of that wills life and existence, it does not will life and existence in general, but in this particular form. Therefore it is the sight of its form in the female of its species that stimulates the will of the brute to the act of generation. This volition of the brute, when regarded from without and under the form of time, presents itself as such an animal form maintained through an infinite time by the constantly repeated replacement of one individual by another, thus by the alternation of death and reproduction, which so regarded appear only as the pulse-beats of that form (ἰδέα, εἶδος, species) which endures through all time. They may be compared to the forces of attraction and repulsion in which matter consists. That which is shown here in the brute holds good also of man; for although in him the act of generation is accompanied by complete knowledge of its final cause, yet it is not guided by this knowledge, but proceeds directly from the will to live as its concentration. It is accordingly to be reckoned among instinctive actions. For in reproduction the brute is just as little guided by knowledge of the end as in mechanical instincts; in these also the will manifests itself, in the main, without the mediation of knowledge, which here, as there, is only concerned with details. Reproduction is, to a certain extent, the most marvellous of all instincts, and its work the most astonishing.

These considerations explain why the sexual desire has a very different character from every other; it is not only the strongest, but even specifically of a more powerful kind than any other. It is everywhere tacitly assumed as necessary and inevitable, and is not, like other desires, a matter of taste and disposition. For it is the desire which even constitutes the nature of man. In conflict with it no motive is so strong that it would be certain of victory. It is so pre-eminently the chief concern that no other pleasures make up for



the deprivation of its satisfaction; and, moreover, for its sake both brute and man undertake every danger and every conflict. A very naïve expression of this disposition is the well-known inscription on the door of the fornix at Pompeii, decorated with the phallus: "Heic habitat felicitas:" this was for those going in naïve, for those coming out ironical, and in itself humorous. On the other hand, the excessive power of the sexual passion is seriously and worthily expressed in the inscription which (according to Theon of Smyrna, *De Musica*, c. 47), Osiris had placed upon the column he erected to the eternal gods: "To Eros, the spirit, the heaven, the sun, the moon, the earth, the night, the day, and the father of all that is and that shall be;" also in the beautiful apostrophe with which Lucretius begins his work:

"Æneadum genetrix, hominum divomque voluptas,  
Alma Venus cet."

To all this corresponds the important rôle which the relation of the sexes plays in the world of men, where it is really the invisible central point of all action and conduct, and peeps out everywhere in spite of all veils thrown over it. It is the cause of war and the end of peace, the basis of what is serious, and the aim of the jest, the inexhaustible source of wit, the key to all allusions, and the meaning of all mysterious hints, of all unspoken offers and all stolen glances, the daily meditation of the young, and often also of the old, the hourly thought of the unchaste, and even against their will the constantly recurring imagination of the chaste, the ever ready material of a joke, just because the profoundest seriousness lies at its foundation. It is, however, the piquant element and the joke of life that the chief concern of all men is secretly pursued and ostensibly ignored as much as possible. But, in fact, we see it every moment seat itself, as the true and hereditary lord of the world, out of the fulness of its own strength, upon the ancestral throne, and looking down from thence with scornful glances, laugh at the preparations which have been made to bind it, imprison it, or at least to limit it and wherever it is possible to keep it concealed, or even so to master it that it shall only appear as a subordinate, secondary concern of life. But all this agrees with the fact that the sexual passion is the kernel of the will to live, and consequently the concentration of all desire; therefore in the text I have called the genital organs the focus of the will. Indeed, one may say man is concrete sexual desire; for his origin is an act of copulation and his wish of wishes is an act of copulation, and this tendency alone perpetuates and holds together his whole phenomenal existence. The will to live

manifests itself indeed primarily as an effort to sustain the individual; yet this is only a step to the effort to sustain the species, and the latter endeavour must be more powerful in proportion as the life of the species surpasses that of the individual in duration, extension, and value. Therefore sexual passion is the most perfect manifestation of the will to live, its most distinctly expressed type; and the origin of the individual in it, and its primacy over all other desires of the natural man, are both in complete agreement with this.

One other remark of a physiological nature is in place here, a remark which throws light upon my fundamental doctrine expounded in the second book. As the sexual impulse is the most vehement of desires, the wish of wishes, the concentration of all our volition, and accordingly the satisfaction of it which exactly corresponds to the individual wish of any one, that is, the desire fixed upon a definite individual, is the summit and crown of his happiness, the ultimate goal of his natural endeavours, with the attainment of which everything seems to him to have been attained, and with the frustrating of which everything seems to him to have been lost: — so we find, as its physiological correlative, in the objectified will, thus in the human organism, the sperm or semen as the secretion of secretions, the quintessence of all animal fluids, the last result of all organic functions, and have in it a new proof of the fact that the body is only the objectivity of the will, *i.e.*, is the will itself under the form of the idea.

With reproduction is connected the maintenance of the offspring, and with the sexual impulse, parental love; and thus through these the life of the species is carried on. Accordingly the love of the brute for its young has, like the sexual impulse, a strength which far surpasses that of the efforts which merely concerns itself as an individual. This shows itself in the fact that even the mildest animals are ready to undertake for the sake of their young even the most unequal battle for life and death, and with almost all species of animals the mother encounters any danger for the protection of her young, nay, in many cases even faces certain death. In the case of man this instinctive parental love is guided and directed by reason, *i.e.*, by reflection. Sometimes, however, it is also in this way restricted, and with bad characters this may extend to the complete repudiation of it. Therefore we can observe its effects most purely in the lower animals. In itself, however, it is not less strong in man; here also, in particular cases, we see it entirely overcome self-love, and even extend to the sacrifice of life. Thus,

for example, the French newspapers have just announced that at Cahors, in the department of Lot, a father has taken his own life in order that his son, who had been drawn for military service, should be the eldest son of a widow, and therefore exempt (*Galignani's Messenger* of 22d June 1843). Yet in the case of the lower animals, since they are capable of no reflection, the instinctive maternal affection (the male is generally ignorant of his paternity) shows itself directly and unsophisticated, and therefore with perfect distinctness and in its whole strength. At bottom it is the expression of the consciousness in the brute that its true being lies more immediately in the species than in the individual, and therefore, when necessary, it sacrifices its life that the species may be maintained in the young. Thus here, as also in the sexual impulse, the will to live becomes to a certain extent transcendent, for its consciousness extends beyond the individual, in which it is inherent, to the species. In order to avoid expressing this second manifestation of the life of the species in a merely abstract manner, and to present it to the reader in its magnitude and reality, I will give a few examples of the extraordinary strength of instinctive maternal affection.

The sea-otter, when pursued, seizes its young one and dives with it; when it comes up again to take breath, it covers the young one with its body, and receives the harpoon of the hunter while the young one is escaping. A young whale is killed merely to attract the mother, who hurries to it and seldom forsakes it so long as it still lives, even although she is struck with several harpoons (Scoresby's "Journal of a Whaling Voyage;" from the English of Kreis, p. 196). At Three Kings Island, near New Zealand, there are colossal seals called sea-elephants (*phoca proboscidea*). They swim round the island in regular herds and feed upon fishes, but yet have certain terrible enemies below water unknown to us, by whom they are often severely wounded; hence their swimming together requires special tactics. The females bring forth their young upon the shore; while they are suckling them, which lasts from seven to eight weeks, all the males form a circle round them in order to prevent them, driven by hunger, from entering the sea, and if this is attempted they prevent it by biting. Thus they all fast together for between seven and eight weeks, and all become very thin, simply in order that the young may not enter the sea before they are able to swim well and observe the necessary tactics which are then taught them with blows and bites (Freycinet, *Voy. aux terres Australes*, 1826). We also see here how parental affection, like every strong exertion of the will (*cf.*

chap. xix. 6), heightens the intelligence. Wild ducks, white-throats, and many other birds, when the sportsman comes near their nest, fly in front of him with loud cries and flap about as if their wings were injured, in order to attract his attention from their young to themselves. The lark tries to entice the dog away from its nest by exposing itself. In the same way hinds and does induce the hunter to pursue them in order that their young may not be attacked. Swallows have flown into burning houses to rescue their young or perish with them. At Delft, in a great fire, a stork allowed itself to be burnt in its nest rather than forsake its tender young, which could not yet fly (Hadr. Junius, *Descriptio Hollandiæ*). Mountain-cocks and woodcocks allow themselves to be taken upon the nest when brooding. Muscicapa tyrannus protects its nest with remarkable courage, and defends itself against eagles. An ant has been cut in two, and the fore half been seen to bring the pupæ to a place of safety. A bitch whose litter had been cut out of her belly crept up to them dying, caressed them, and began to whine violently only when they were taken from her (Burdach, *Physiologie als Erfahrungswissenschaft*, vol. ii. and iii.).

## Chapter XLIII. On Heredity.

The most ordinary experience teaches that in generation the combined seed of the parents not only propagates the peculiarities of the species, but also those of the individual, as far as bodily (objective, external) qualities are concerned, and this has also always been recognised —

“Naturæ sequitur semina quisque suæ.”

— Catull.

Now whether this also holds good of mental (subjective, internal) qualities, so that these also are transmitted by the parents to the children, is a question which has already often been raised, and almost always answered in the affirmative. More difficult, however, is the problem whether it is possible to distinguish what belongs to the father and what to the mother, thus what is the mental inheritance which we receive from each of our parents. If now we cast upon this problem the light of our fundamental knowledge that the will is the true being, the kernel, the radical element in man, and the intellect, on the other hand, is what is secondary, adventitious, the accident of that substance; before questioning experience we will assume it as at least probable that the father, as *sexus potior* and the procreative principle, imparts the basis, the radical element, of the new life, thus the will, and the mother, as *sexus sequior* and merely conceiving principle, imparts the secondary element, the intellect; that thus the man inherits his moral nature, his character, his inclinations, his heart, from the father, and, on the other hand, the grade, quality, and tendency of his intelligence from the mother. Now this assumption actually finds its confirmation in experience; only this cannot be decided by a physical experiment upon the table, but results partly from the careful and acute observation of many years, and partly from history.

One's own experience has the advantage of complete certainty and the greatest speciality, and this outweighs the disadvantage that arises from it, that its sphere is limited and its examples not generally known. Therefore, primarily, I refer every one to his own experience. First of all let him consider himself, confess to himself his inclinations and passions, his characteristic errors and weaknesses, his vices, and also his excellences and virtues, if he has any. Then let him think of his father, and he cannot fail to recognise all these characteristic traits in him also. On the other hand, he

will often find his mother of an entirely different character, and a moral agreement with her will very seldom occur, indeed only through the exceptional accident of a similarity of the character of the two parents. Let him make this examination, for example, with reference to quick temper or patience, avarice or prodigality, inclination to sensuality, or to intemperance, or to gambling, hard-heartedness or kindliness, honesty or hypocrisy, pride or condescension, courage or cowardice, peaceableness or quarrelsomeness, placability or resentfulness, &c. Then let him make the same investigation with regard to all those whose characters and whose parents he has accurately known. If he proceeds attentively, with correct judgment, and candidly, the confirmation of our principle will not be lacking. Thus, for example, he will find the special tendency to lie, which belongs to many men, equally present in two brothers, because they have inherited it from the father; on this account also the comedy, "The Liar and his Son," is psychologically correct. However, two inevitable limitations must here be borne in mind, which only open injustice could interpret as evasions. First, *pater semper incertus*. Only a decided physical resemblance to the father removes this limitation; a superficial resemblance, on the other hand, is not sufficient to do so; for there is an after-effect of earlier impregnation by virtue of which the children of the second marriage have sometimes still a slight resemblance to the first husband, and children begotten in adultery to the legitimate father. Such an after-effect has been still more distinctly observed in the case of brutes. The second limitation is, that in the son the moral character of the father certainly appears, yet under the modification which it has received through another and often very different intellect (the inheritance from the mother), and thus a correction of the observation becomes necessary. This modification may be important or trifling in proportion to that difference, but it can never be so great that the fundamental traits of the paternal character do not always appear under it recognisably enough, like a man who has disguised himself by an entirely different kind of dress, wig, and beard. For example, if by inheritance from the mother a man is pre-eminently endowed with reason, thus with the power of reflection and deliberation, the passions inherited from his father are partly bridled by this, partly concealed, and accordingly only attain to a methodical, systematic, or secret manifestation, and thus a very different phenomenon from that of the father, who perhaps had only a very limited mind, will then result; and in the same way the converse case may occur.

The inclinations and passions of the mother, on the other hand, do not reappear at all in the children, often indeed their opposite.

Historical examples have the advantage over those of private life of being universally known; but, on the other hand, they are of course impaired by the uncertainty and frequent falsification of all tradition, and especially also by the fact that as a rule they only contain the public, not the private life, and consequently only the political actions, not the finer manifestations of character. However, I wish to support the truth we are speaking of by a few historical examples, to which those who have made a special study of history can no doubt add a far larger number of equally pertinent cases.

It is well known that P. Decius Mus sacrificed his life for his country with heroic nobleness; for, solemnly committing himself and the enemy to the infernal deities, with covered face he plunged into the army of the Latins. About forty years later his son, of the same name, did exactly the same thing in the war against the Gauls (Liv. viii. 6; x. 28). Thus a thorough proof of the Horatian *fortes creantur fortibus et bonis*: the converse of which is thus given by Shakspeare —

“Cowards father cowards, and base things sire base.”

— *Cymbeline*, iv. 2.

Early Roman history presents to us whole families whose members in long succession distinguished themselves by devoted patriotism and courage; such were the *gens Fabia* and the *gens Fabricia*. Again, Alexander the Great was fond of power and conquest, like his father Philip. The pedigree of Nero which, with a moral intention, Suetonius (c. 4 *et* 5) gives at the beginning of his sketch of this monster is very well worth considering. It is the *gens Claudia* he describes, which flourished in Rome through six centuries, and produced not only capable, but arrogant and cruel men. From it sprang Tiberius, Caligula, and finally Nero. In his grandfather, and still more strongly in his father, all those atrocious qualities show themselves, which could only attain their perfect development in Nero, partly because his higher position afforded them freer scope, partly because he had for his mother the irrational Bacchante, Agrippina, who could impart to him no intellect to bridle his passions. Quite in our sense, therefore, Suetonius relates that at his birth *præsagium fuit etiam Domitii, patris, vox, inter gratulationes amicorum, negantis, quidquam ex se et Agrippina, nisi detestabile et malo publico nasci potuisse*. On the other hand, Cimon was

the son of Miltiades, and Hannibal of Hamilcar, and the Scipios make up a whole family of heroes and noble defenders of their country. But the son of Pope Alexander VI. was his hideous image, Cæsar Borgia. The son of the notorious Duke of Alba was just as cruel and wicked a man as his father. The malicious and unjust Philip IV. of France, who is specially known by his cruel torture and execution of the knights templars, had for his daughter Isabella, wife of Edward II. of England, who rebelled against her husband, took him prisoner, and after he had signed his abdication, since the attempt to kill him by ill-usage was unsuccessful, caused him to be put to death in prison in a manner which is too horrible for me to care to relate. The blood-thirsty tyrant and defensor fidei, Henry VIII. of England had a daughter by his first marriage, Queen Mary, equally distinguished for bigotry and cruelty, who from her numerous burnings of heretics has won the name of Bloody Mary. His daughter by his second marriage, Elizabeth, received an excellent understanding from her mother, Anne Boleyn, which prevented bigotry and curbed the parental character in her, yet did not do away with it; so that it still always shone through on occasions, and distinctly appeared in her cruel treatment of Mary of Scotland. Van Geuns<sup>35</sup> tells a story, after Marcus Donatus, of a Scotch girl whose father had been burnt as a highway robber and a cannibal when she was only one year old. Although she was brought up among quite different people, there developed in her the same craving for human flesh, and being caught in the act of satisfying it, she was buried alive. In the *Freimüthigen* of the 13th July 1821 we read that in the department of Aube the police pursued a girl because she had murdered two children, whom she ought to have taken to the foundling hospital, in order to keep the little money given to the children. At last the police found the girl on the road to Paris, near Romilly, drowned, and her own father gave himself up as her murderer. Finally, let me mention a couple of cases which have occurred recently, and have therefore only the newspapers as their vouchers. In October 1836 a Count Belecznai was condemned to death in Hungary because he had murdered an official and severely wounded his own relations. His elder brother was executed earlier as a patricide, and his father also had been a murderer (*Frankfurter Postzeitung* of the 26th October 1836). A year later the youngest brother of this Count, in the same street where the latter had murdered the official, fired a pistol at the steward of his estates, but missed him (*Frankfurter Journal*, 16th September 1837). In the *Frankfurter Postzeitung* of the 19th November 1857 a correspondent



in Paris announces the condemnation to death of a very dangerous highway robber, Lemaire, and his companions, and adds: "The criminal tendency seems hereditary in his family and in those of his confederates, as several of their race have died on the scaffold." It follows from a passage in the Laws of Plato that similar cases were already known in Greece (*Stob. Flor.*, vol. ii. p. 213). The annals of crime will certainly have many similar pedigrees to show. The tendency to suicide is specially hereditary.

On the other hand, when we see the excellent Marcus Aurelius have the wicked Commodus for a son, this does not lead us astray; for we know that the *Diva Faustina* was a *uxor infamis*. On the contrary, we mark this case in order in analogous cases to presume an analogous reason; for example, that Domitian was the full brother of Titus I can never believe, but that Vespasian also was a deceived husband.

Now, as regards the second part of the principle set up thus the inheritance of the intellect from the mother, this enjoys a far more general acceptance than the first part, which in itself appeals to the *liberum arbitrium indifferentiæ*, while its separate apprehension is opposed by the doctrine of the simplicity and indivisibility of the soul. Even the old and popular expression "mother-wit" shows the early recognition of this second truth, which depends upon the experience both with regard to small and great intellectual endowments, that they are the possession of those whose mothers proportionately distinguished themselves by their intelligence. That, on the other hand, the intellectual qualities of the father are not transmitted to the son is proved both by the fathers and the sons of men distinguished by the most eminent faculties, for, as a rule, they are quite ordinary men, without a trace of the paternal mental gifts. But if now an isolated exception to this experience, so often confirmed, should appear; such, for example, as is presented by Pitt and his father, Lord Chatham, we are warranted in ascribing it to accident, nay, obliged to do so, although, on account of the exceptional rarity of great talents, it is certainly an accident of a most extraordinary kind. Here, however, the rule holds good: it is improbable that the improbable never happens. Besides, great statesmen (as was already mentioned in chapter 22) are so just as much through the qualities of their character, thus through what is inherited from the father, as through the superiority of their mind. On the other hand, among artists, poets, and philosophers, to whose works alone genius is properly ascribed, I know of no case analogous to that. Raphael's father was certainly a painter,

but not a great one; Mozart's father, and also his son, were musicians, but not great ones. However, it is indeed wonderful that the fate which had destined a very short life to both of these men, each the greatest in his own sphere, as it were by way of compensation, took care, by letting them be born already in their workshop, that, without suffering the loss of time in youth which for the most part occurs in the case of other men of genius, they received even from childhood, through paternal example and instruction, the necessary introduction into the art to which they were exclusively destined. This secret and mysterious power which seems to guide the individual life I have made the subject of special investigations, which I have communicated in the essay, "*Ueber die scheinbare Absichtlichkeit im Schicksale des Einzelnen*" (*Parerga*, vol. i.). It is further to be observed here that there are certain scientific occupations which certainly presuppose good native faculties, yet not those which are really rare and extraordinary; while the principal requirements are zealous efforts, diligence, patience, early instruction, sustained study, and much practice. From this, and not from the inheritance of the intellect of the father, the fact is to be explained that, since the son always willingly follows the path that has been opened up by the father, and almost all businesses are hereditary in certain families, in some sciences also, which before everything demand diligence and persistence, individual families can show a succession of men of merit; such are the Scaligers, the Bernouillis, the Cassinis, the Herschels.

The number of proofs of the actual inheritance of the intellect of the mother would be much greater than it appears if it were not that the character and disposition of the female sex is such that women rarely give public proof of their mental faculties; and therefore these do not become historical, and thus known to posterity. Besides, on account of the weaker nature in general of the female sex, these faculties themselves can never reach the grade in them to which they may afterwards rise in the son; thus, with reference to themselves, we have to estimate their achievements higher in this proportion. Accordingly, in the first instance, only the following examples present themselves as proofs of our truth. Joseph II. was the son of Maria Theresia. Cardanus says in the third chapter, "*De vita propria*:" "Mater mea fuit memoria et ingenio pollens." J. J. Rousseau says in the first book of the "Confessions:" "La beauté de ma mère, son esprit, ses talents, — elle en avait de trop brillans pour son état," &c., and then quotes some delightful lines of hers. D'Alembert was the illegitimate son of Claudine de

Tencin, a woman of superior mind, and the author of several romances and similar works, which met with great approbation in her day, and should even still be enjoyable (see her biography in the "*Blätter für litterarische Unterhaltung*," March 1845, Nos. 71-73). That Buffon's mother was a remarkable woman is shown by the following passage from the "*Voyage à Montbar, par Hérault de Sechelles*," which Flourens quotes in his "*Histoire des travaux de Buffon*," p. 288: "Buffon avait ce principe qu'en général les enfants tenaient de leur mère leurs qualités intellectuelles et morales: et lorsqu'il l'avait développé dans la conversation, il en faisait sur-le-champ l'application à lui-même, en faisant un éloge pompeux de sa mère, qui avait en effet, beaucoup d'esprit, des connaissances étendues, et une tête très bien organisée." That he includes the moral qualities is an error which is either committed by the reporter, or depends upon the fact that his mother had accidentally the same character as himself and his father. The contrary of this is shown in innumerable cases in which the mother and the son have opposite characters. Hence the greatest dramatists could present, in Orestes and Hamlet, mother and son in hostile conflict, in which the son appears as the moral representative and avenger of his father. On the other hand, the converse case, that the son should appear as the moral representative and avenger of the mother against the father, would be revolting and, at the same time, almost absurd. This depends upon the fact that between father and son there is actual identity of nature, which is the will, but between mother and son there is merely identity of intellect, and even this only in a conditioned manner. Between mother and son the greatest moral opposition can exist, between father and son only an intellectual opposition. From this point of view, also, one should recognise the necessity of the Salic law: the woman cannot carry on the race. Hume says in his short autobiography: "Our mother was a woman of singular merit." It is said of Kant's mother in the most recent biography by F. W. Schubert: "According to the judgment of her son himself, she was a woman of great natural understanding. For that time, when there was so little opportunity for the education of girls, she was exceptionally well instructed, and she also continued later to care for her further education by herself. In the course of walks she drew the attention of her son to all kinds of natural phenomena, and tried to explain to him through them the power of God." What a remarkably able, clever, and superior woman Goethe's mother was is now universally known. How much she has been spoken of in literature! while his father has not been

spoken of at all; Goethe himself describes him as a man of subordinate faculties. Schiller's mother was susceptible to poetry, and made verses herself, a fragment of which will be found in his biography by Schwab. Bürger, that genuine poetic genius, to whom perhaps the first place after Goethe among German poets belongs — for compared with his ballads those of Schiller seem cold and laboured — has given an account of his parents which for us is significant, and which his friend and physician, Althof repeats in his biography which appeared in 1798, in these words: "Bürger's father was certainly provided with a variety of knowledge after the manner of study prevalent at the time, and was also a good, honourable man; but he loved his quiet comfort and his pipe of tobacco so much, that, as my friend used to say, he had always first to pull himself together if he was going to apply himself for a quarter of an hour or so to the instruction of his son. His wife was a woman of extraordinary mental endowments, which, however, were so little cultivated that she had scarcely learnt to write legibly. Bürger thought that with proper culture his mother would have been the most famous of her sex, although he several times expressed a strong disapproval of different traits of her moral character. However, he believed that he inherited from his mother some mental gifts, and from his father an agreement with his moral character." Walter Scott's mother was a poetess, and was in communication with the wits of her time, as we learn from the obituary notice of Walter Scott in the *Globe* of 24th September 1832. That poems of hers appeared in print in 1789 I find from an article entitled "Mother-wit," in the *Blätter für litterarische Unterhaltung* of 4th October 1841, published by Brockhaus, which gives a long list of clever mothers of distinguished men, from which I shall only take two: "Bacon's mother was a distinguished linguist, wrote and translated several works, and in all of them showed learning, acuteness, and taste. Boerhave's mother distinguished herself through medical knowledge." On the other hand, Haller has preserved for us a strong proof of the inheritance of the mental weakness of the mother, for he says: "E duabus patriciis sororibus, ob divitias maritos nactis, quum tamen fatuis essent proximæ, novimus in nobilissimas gentes nunc a seculo retro ejus morbi manasse semina, ut etiam in quarta generatione, quintave, omnium posterorum aliqui fatui supersint" (*Elementa physiol.*, Lib. xxix. § 8). Also, according to Esquirol, madness is more frequently inherited from the mother than the father. If,

however, it is inherited from the father, I attribute this to the disposition of the character whose influence occasions it.

It seems to follow from our principle that sons of the same mother have equal mental capacity, and if one should be highly gifted the other must be so also. Sometimes it is so. Examples of this are the Carracci, Joseph and Michael Haydn, Bernard and Andreas Romberg, George and Frederic Cuvier. I would also add the brothers Schlegel, if it were not that the younger, Friedrich, made himself unworthy of the honour of being named along with his excellent, blameless, and highly distinguished brother, August Wilhelm, by the disgraceful obscurantism which in the last quarter of his life he pursued along with Adam Müller. For obscurantism is a sin, possibly not against the Holy Spirit, but yet against the human spirit, which one ought therefore never to forgive, but always and everywhere implacably to remember against whoever has been guilty of it, and take every opportunity of showing contempt for him so long as he lives, nay, after he is dead. But just as often the above result does not take place; for example, Kant's brother was quite an ordinary man. To explain this I must remind the reader of what is said in the thirty-first chapter on the physiological conditions of genius. Not only an extraordinarily developed and absolutely correctly formed brain (the share of the mother) is required, but also a very energetic action of the heart to animate it, *i.e.*, subjectively a passionate will, a lively temperament: this is the inheritance from the father. But this quality is at its height only during the father's strongest years; and the mother ages still more quickly. Accordingly the highly gifted sons will, as a rule, be the eldest, begotten in the full strength of both parents; thus Kant's brother was eleven years younger than him. Even in the case of two distinguished brothers, as a rule, the elder will be the superior. But not only the age, but every temporary ebb of the vital force or other disturbance of health in the parents at the time when the child is begotten may interfere with the part of one or other, and prevent the appearance of a man of eminent talent, which is therefore so exceedingly rare a phenomenon. It may be said, in passing, that in the case of twins the absence of all the differences just mentioned is the cause of the quasi-identity of their nature.

If single cases should be found in which a highly gifted son had a mother who was not mentally distinguished at all, this may be explained from the fact that this mother herself had a phlegmatic father, and on this account her more than ordinarily developed brain was not adequately excited by a

corresponding energy of the circulation — a necessary condition, as I have explained above in chapter 31. Nevertheless, her highly perfected nervous and cerebral system was transmitted to the son, in whose case a father with a lively and passionate disposition and an energetic action of the heart was added, and thus the other physical condition of great mental power first appeared here. Perhaps this was Byron's case, since we nowhere find the mental advantages of his mother mentioned. The same explanation is also to be applied to the case in which the mother of a son of genius who was herself distinguished for mental gifts had a mother who was by no means clever, for the father of the latter has been a man of a phlegmatic disposition.

The inharmonious, disproportionate, ambiguous element in the character of most men might perhaps be referred to the fact that the individual has not a simple origin, but derives the will from the father and the intellect from the mother. The more heterogeneous and ill-adapted to each other the two parents were, the greater will that want of harmony, that inner variance, be. While some excel through their heart and others through their head, there are still others whose excellence lies in a certain harmony and unity of the whole nature, which arises from the fact that in them heart and head are so thoroughly adapted that they mutually support and advance each other; which leads us to assume that the parents were peculiarly suited to each other, and agreed in an exceptional measure.

With reference to the physiological side of the theory set forth, I wish now to mention that Burdach, who erroneously assumes that the same psychical qualities may be inherited now from the father, now from the mother, yet adds (*Physiologie als Erfahrungswissenschaft*, vol. i. § 306): "As a whole, the male element has more influence in determining the irritable life, and the female element, on the other hand, has more influence on the sensibility." What Linné says in the "*Systema naturæ*," Tom. i. p. 8, is also in point here: "Mater prolifera promit, ante generationem, vivum compendium medullare novi animalis suique simillimi, carinam Malpighianam dictum, tanquam plumulam vegetabilium: hoc ex genitura Cor adsociat ramificandum in corpus. Punctum emin saliens ovi incubantis avis ostendit primum cor micans, cerebrumque cum medulla: corculum hoc, cessans a frigore, excitatur calido halitu, premitque bulla aërea, sensim dilatata, liquores, secundum canales fluxiles. Punctum vitalitatis itaque in viventibus est tanquam a prima creatione continuata medullaris vitæ

ramificatio, cum ovum sit gemma medullaris matris a primordio viva, licet non sua ante proprium cor paternum.”

If we now connect the conviction we have gained here of the inheritance of the character from the father and the intellect from the mother with our earlier investigation of the wide gulf which nature has placed between man and man in a moral as in an intellectual regard, and also with our knowledge of the absolute unalterableness both of the character and of the mental faculties, we shall be led to the view that a real and thorough improvement of the human race might be attained to not so much from without as from within, thus not so much by instruction and culture as rather upon the path of generation. Plato had already something of the kind in his mind when in the fifth book of his Republic he set forth his wonderful plan for increasing and improving his class of warriors. If we could castrate all scoundrels, and shut up all stupid geese in monasteries, and give persons of noble character a whole harem, and provide men, and indeed complete men, for all maidens of mind and understanding, a generation would soon arise which would produce a better age than that of Pericles. But, without entering into such utopian plans, it might be taken into consideration that if, as, if I am not mistaken, was actually the case among certain ancient nations, castration was the severest punishment after death, the world would be delivered from whole races of scoundrels, all the more certainly as it is well known that most crimes are committed between the age of twenty and thirty.<sup>36</sup> In the same way, it might be considered whether, as regards results, it would not be more advantageous to give the public dowries which upon certain occasions have to be distributed, not, as is now customary, to the girls who are supposed to be the most virtuous, but to those who have most understanding and are the cleverest; especially as it is very difficult to judge as to virtue, for, as it is said, only God sees the heart. The opportunities for displaying a noble character are rare, and a matter of chance; besides, many a girl has a powerful support to her virtue in her plainness; on the other hand, as regards understanding, those who themselves are gifted with it can judge with great certainty after some examination. The following is another practical application. In many countries, among others in South Germany, the bad custom prevails of women carrying burdens, often very considerable, upon the head. This must act disadvantageously upon the brain, which must thereby gradually deteriorate in the female sex of the nation; and since from that sex the male sex receives its brain, the whole

nation becomes ever more stupid; which in many cases is by no means necessary. Accordingly by the abolition of this custom the quantum of intelligence in the whole nation would be increased, which would positively be the greatest increase of the national wealth.

But if now, leaving such practical applications to others, we return to our special point of view, the ethico-metaphysical standpoint — since we connect the content of chapter 41 with that of the present chapter — the following result will present itself to us, which, with all its transcendence, has yet a direct empirical support. It is the same character, thus the same individually determined will, that lives in all the descendants of one stock, from the remote ancestor to the present representative of the family. But in each of these a different intellect is given with it, thus a different degree and a different kind of knowledge. Thus in each of these life presents itself to it from another side and in a different light: it receives a new fundamental view of it, a new instruction. It is true that, since the intellect is extinguished with the individual, that will cannot supplement the insight of one course of life with that of another. But in consequence of each fundamentally new view of life, such as only a renewed personality can impart to it, its willing itself receives a different tendency, thus experiences a modification from it, and what is the chief concern, the will, has, in this new direction, either to assert life anew or deny it. In this way does the arrangement of nature of an ever-changing connection of a will with an intellect, which arises from the necessity of two sexes for reproduction, become the basis of a method of salvation. For by virtue of this arrangement life unceasingly presents new sides to the will (whose image and mirror it is), turns itself about, as it were, without intermission before its sight, allows different and ever different modes of perception to try their effect upon it, so that upon each of these it must decide for assertion or denial, both of which constantly stand open to it, only that, if once denial is chosen, the whole phenomenon ceases for it with death. Now because, according to this, it is just the constant renewal and complete alteration of the intellect for the same will which, as imparting a new view of the world, holds open the path of salvation, and because the intellect comes from the mother, the profound reason may lie here on account of which all nations (with very few and doubtful exceptions) abominate and forbid the marriage of brothers and sisters, nay, even on account of which sexual love does not arise at all between brothers and sisters, unless in very rare exceptions, which depend



upon an unnatural perversity of the instinct, if not upon the fact that one of the two is illegitimate. For from a marriage of brothers and sisters nothing could proceed but constantly ever the same will with the same intellect, as both already exist united in both the parents, thus the hopeless repetition of the phenomenon which has already been.

But if now, in the particular case and close at hand, we contemplate the incredibly great and yet manifest difference of characters — find one so good and philanthropic, another so wicked, nay, ferocious; again, behold one just, honest, and upright, and another completely false, as a sneak, a swindler, a traitor, an incorrigible scoundrel — there discloses itself to us a chasm in our investigation, for in vain we ponder, reflecting on the origin of such a difference. Hindus and Buddhists solve the problem by saying, “It is the consequence of the deeds of the preceding courses of life.” This solution is certainly the oldest, also the most comprehensible, and has come from the wisest of mankind; but it only pushes the question further back. Yet a more satisfactory answer will hardly be found. From the point of view of my whole teaching, it remains for me to say that here, where we are speaking of the will as thing in itself, the principle of sufficient reason, as merely the form of the phenomenon, is no longer applicable; with it, however, all why and whence disappear. Absolute freedom just consists in this, that something is not subject at all to the principle of sufficient reason, as the principle of all necessity. Such freedom, therefore, only belongs to the thing in itself. And this is just the will. Accordingly, in its phenomenal manifestation, consequently in the *Operari*, it is subject to necessity; but in the *Esse*, where it has determined itself as thing in itself, it is free. Whenever, therefore, we come to this, as happens here, all explanation by means of reasons and consequents ceases, and nothing remains for us but to say that here manifests itself the true freedom of the will, which belongs to it because it is the thing in itself, which, however, just as such, is groundless, *i.e.*, knows no why. But on this account all understanding ceases for us here, because all our understanding depends upon the principle of sufficient reason, for it consists in the mere application of that principle.

## Chapter XLIV. The Metaphysics Of The Love Of The Sexes.

“Ye wise men, highly, deeply learned,  
Who think it out and know,  
How, when, and where do all things pair?  
Why do they kiss and love?  
Ye men of lofty wisdom, say  
What happened to me then;  
Search out and tell me where, how, when,  
And why it happened thus.”

— Bürger.

This chapter is the last of four whose various reciprocal relations, by virtue of which, to a certain extent, they constitute a subordinate whole, the attentive reader will recognise without it being needful for me to interrupt my exposition by recalling them or referring to them.

We are accustomed to see poets principally occupied with describing the love of the sexes. This is as a rule the chief theme of all dramatic works, tragical as well as comical, romantic as well as classical, Indian as well as European. Not less is it the material of by far the largest part of lyrical and also of epic poetry, especially if we class with the latter the enormous piles of romances which for centuries every year has produced in all the civilised countries of Europe as regularly as the fruits of the earth. As regards their main contents, all these works are nothing else than many-sided brief or lengthy descriptions of the passion we are speaking of. Moreover, the most successful pictures of it — such, for example, as *Romeo and Juliet*, *La Nouvelle Héloïse*, and *Werther* — have gained immortal fame. Yet, when Rochefoucauld imagines that it is the same with passionate love as with ghosts, of which every one speaks, but which no one has seen; and Lichtenberg also in his essay, “*Ueber die Macht der Liebe*,” disputes and denies the reality and naturalness of that passion, they are greatly in error. For it is impossible that something which is foreign and contrary to human nature, thus a mere imaginary caricature, could be unweariedly represented by poetic genius in all ages, and received by mankind with unaltered interest; for nothing that is artistically beautiful can be without truth: —

“Rien n’est beau que le vrai; le vrai seul est aimable.”

— Boil.

Certainly, however, it is also confirmed by experience, although not by the experience of every day, that that which as a rule only appears as a strong yet still controllable inclination may rise under certain circumstances to a passion which exceeds all others in vehemence, and which then sets aside all considerations, overcomes all obstacles with incredible strength and perseverance, so that for its satisfaction life is risked without hesitation, nay, if that satisfaction is still withheld, is given as the price of it. Werthers and Jacopo Ortis exist not only in romance, but every year can show at least half a dozen of them in Europe: *Sed ignotis perierunt mortibus illi*; for their sorrows find no other chroniclers than the writers of official registers or the reporters of the newspapers. Yet the readers of the police news in English and French journals will attest the correctness of my assertion. Still greater, however, is the number of those whom the same passion brings to the madhouse. Finally, every year can show cases of the double suicide of a pair of lovers who are opposed by outward circumstances. In such cases, however, it is inexplicable to me how those who, certain of mutual love, expect to find the supremest bliss in the enjoyment of this, do not withdraw themselves from all connections by taking the extremest steps, and endure all hardships, rather than give up with life a pleasure which is greater than any other they can conceive. As regards the lower grades of that passion, and the mere approaches to it, every one has them daily before his eyes, and, as long as he is not old, for the most part also in his heart.

So then, after what has here been called to mind, no one can doubt either the reality or the importance of the matter; and therefore, instead of wondering that a philosophy should also for once make its own this constant theme of all poets, one ought rather to be surprised that a thing which plays throughout so important a part in human life has hitherto practically been disregarded by philosophers altogether, and lies before us as raw material. The one who has most concerned himself with it is Plato, especially in the “Symposium” and the “Phædrus.” Yet what he says on the subject is confined to the sphere of myths, fables, and jokes, and also for the most part concerns only the Greek love of youths. The little that Rousseau says upon our theme in the “*Discours sur l’inégalité*” (p. 96, ed. Bip.) is false and insufficient. Kant’s explanation of the subject in the third part of the essay, “*Ueber das Gefühl des Schönen und Erhabenen*” (p. 435 *seq.* of Rosenkranz’s edition), is very superficial and without practical knowledge,

therefore it is also partly incorrect. Lastly, Platner's treatment of the matter in his "Anthropology" (§ 1347 *seq.*) every one will find dull and shallow. On the other hand, Spinoza's definition, on account of its excessive naïveté, deserves to be quoted for the sake of amusement: "Amor est titillatio, concomitante idea causæ externæ" (*Eth.* iv., prop. 44, *dem.*) Accordingly I have no predecessors either to make use of or to refute. The subject has pressed itself upon me objectively, and has entered of its own accord into the connection of my consideration of the world. Moreover, least of all can I hope for approbation from those who are themselves under the power of this passion, and who accordingly seek to express the excess of their feelings in the sublimest and most ethereal images. To them my view will appear too physical, too material, however metaphysical and even transcendent it may be at bottom. Meanwhile let them reflect that if the object which to-day inspires them to write madrigals and sonnets had been born eighteen years earlier it would scarcely have won a glance from them.

For all love, however ethereally it may bear itself, is rooted in the sexual impulse alone, nay, it absolutely is only a more definitely determined, specialised, and indeed in the strictest sense individualised sexual impulse. If now, keeping this in view, one considers the important part which the sexual impulse in all its degrees and nuances plays not only on the stage and in novels, but also in the real world, where, next to the love of life, it shows itself the strongest and most powerful of motives, constantly lays claim to half the powers and thoughts of the younger portion of mankind, is the ultimate goal of almost all human effort, exerts an adverse influence on the most important events, interrupts the most serious occupations every hour, sometimes embarrasses for a while even the greatest minds, does not hesitate to intrude with its trash interfering with the negotiations of statesmen and the investigations of men of learning, knows how to slip its love letters and locks of hair even into ministerial portfolios and philosophical manuscripts, and no less devises daily the most entangled and the worst actions, destroys the most valuable relationships, breaks the firmest bonds, demands the sacrifice sometimes of life or health, sometimes of wealth, rank, and happiness, nay, robs those who are otherwise honest of all conscience, makes those who have hitherto been faithful, traitors; accordingly, on the whole, appears as a malevolent demon that strives to pervert, confuse, and overthrow everything; — then one will be forced to cry, Wherefore all this noise? Wherefore the straining and storming, the

anxiety and want? It is merely a question of every Hans finding his Grethe.<sup>37</sup> Why should such a trifle play so important a part, and constantly introduce disturbance and confusion into the well-regulated life of man? But to the earnest investigator the spirit of truth gradually reveals the answer. It is no trifle that is in question here; on the contrary, the importance of the matter is quite proportionate to the seriousness and ardour of the effort. The ultimate end of all love affairs, whether they are played in sock or cothurnus, is really more important than all other ends of human life, and is therefore quite worthy of the profound seriousness with which every one pursues it. That which is decided by it is nothing less than the composition of the next generation. The dramatis personæ who shall appear when we are withdrawn are here determined, both as regards their existence and their nature, by these frivolous love affairs. As the being, the *existentia*, of these future persons is absolutely conditioned by our sexual impulse generally, so their nature, *essentia*, is determined by the individual selection in its satisfaction, *i.e.*, by sexual love, and is in every respect irrevocably fixed by this. This is the key of the problem: we shall arrive at a more accurate knowledge of it in its application if we go through the degrees of love, from the passing inclination to the vehement passion, when we shall also recognise that the difference of these grades arises from the degree of the individualisation of the choice.

The collective love affairs of the present generation taken together are accordingly, of the whole human race, the serious *meditatio compositionis generationis futuræ*, e qua iterum pendent innumeræ generationes. This high importance of the matter, in which it is not a question of individual weal or woe, as in all other matters, but of the existence and special nature of the human race in future times, and therefore the will of the individual appears at a higher power as the will of the species; — this it is on which the pathetic and sublime elements in affairs of love depend, which for thousands of years poets have never wearied of representing in innumerable examples; because no theme can equal in interest this one, which stands to all others which only concern the welfare of individuals as the solid body to the surface, because it concerns the weal and woe of the species. Just on this account, then, is it so difficult to impart interest to a drama without the element of love, and, on the other hand, this theme is never worn out even by daily use.

That which presents itself in the individual consciousness as sexual impulse in general, without being directed towards a definite individual of the other sex, is in itself, and apart from the phenomenon, simply the will to live. But what appears in consciousness as a sexual impulse directed to a definite individual is in itself the will to live as a definitely determined individual. Now in this case the sexual impulse, although in itself a subjective need, knows how to assume very skilfully the mask of an objective admiration, and thus to deceive our consciousness; for nature requires this stratagem to attain its ends. But yet that in every case of falling in love, however objective and sublime this admiration may appear, what alone is looked to is the production of an individual of a definite nature is primarily confirmed by the fact that the essential matter is not the reciprocation of love, but possession, *i.e.*, the physical enjoyment. The certainty of the former can therefore by no means console us for the want of the latter; on the contrary, in such a situation many a man has shot himself. On the other hand, persons who are deeply in love, and can obtain no return of it, are contented with possession, *i.e.*, with the physical enjoyment. This is proved by all forced marriages, and also by the frequent purchase of the favour of a woman, in spite of her dislike, by large presents or other sacrifices, nay, even by cases of rape. That this particular child shall be begotten is, although unknown to the parties concerned, the true end of the whole love story; the manner in which it is attained is a secondary consideration. Now, however loudly persons of lofty and sentimental soul, and especially those who are in love, may cry out here about the gross realism of my view, they are yet in error. For is not the definite determination of the individualities of the next generation a much higher and more worthy end than those exuberant feelings and super-sensible soap bubbles of theirs? Nay, among earthly aims, can there be one which is greater or more important? It alone corresponds to the profoundness with which passionate love is felt, to the seriousness with which it appears, and the importance which it attributes even to the trifling details of its sphere and occasion. Only so far as this end is assumed as the true one do the difficulties encountered, the infinite exertions and annoyances made and endured for the attainment of the loved object, appear proportionate to the matter. For it is the future generation, in its whole individual determinateness, that presses into existence by means of those efforts and toils. Nay, it is itself already active in that careful, definite, and arbitrary

choice for the satisfaction of the sexual impulse which we call love. The growing inclination of two lovers is really already the will to live of the new individual which they can and desire to produce; nay, even in the meeting of their longing glances its new life breaks out, and announces itself as a future individuality harmoniously and well composed. They feel the longing for an actual union and fusing together into a single being, in order to live on only as this; and this longing receives its fulfilment in the child which is produced by them, as that in which the qualities transmitted by them both, fused and united in one being, live on. Conversely, the mutual, decided and persistent aversion between a man and a maid is a sign that what they could produce would only be a badly organised, in itself inharmonious and unhappy being. Hence there lies a deeper meaning in the fact that Calderon, though he calls the atrocious Semiramis the daughter of the air, yet introduces her as the daughter of rape followed by the murder of the husband.

But, finally, what draws two individuals of different sex exclusively to each other with such power is the will to live, which exhibits itself in the whole species, and which here anticipates in the individual which these two can produce an objectification of its nature answering to its aims. This individual will have the will, or character, from the father, the intellect from the mother, and the corporisation from both; yet, for the most part, the figure will take more after the father, the size after the mother, — according to the law which comes out in the breeding of hybrids among the brutes, and principally depends upon the fact that the size of the foetus must conform to the size of the uterus. Just as inexplicable as the quite special individuality of any man, which is exclusively peculiar to him, is also the quite special and individual passion of two lovers; indeed at bottom the two are one and the same: the former is *explicite* what the latter was *implicite*. The moment at which the parents begin to love each other — to fancy each other, as the very happy English expression has it — is really to be regarded as the first appearance of a new individual and the true *punctum saliens* of its life, and, as has been said, in the meeting and fixing of their longing glances there appears the first germ of the new being, which certainly, like all germs, is generally crushed out. This new individual is to a certain extent a new (Platonic) Idea; and now, as all Ideas strive with the greatest vehemence to enter the phenomenal world, eagerly seizing for this end upon the matter which the law of causality divides among them all, so also does

this particular Idea of a human individuality strive with the greatest eagerness and vehemence towards its realisation in the phenomenon. This eagerness and vehemence is just the passion of the two future parents for each other. It has innumerable degrees, the two extremes of which may at any rate be described as Αφροδιτη πανδημος and ουρανια; in its nature, however, it is everywhere the same. On the other hand, it will be in degree so much the more powerful the more *individualised* it is; that is, the more the loved individual is exclusively suited, by virtue of all his or her parts and qualities, to satisfy the desire of the lover and the need established by his or her own individuality. What is really in question here will become clear in the further course of our exposition. Primarily and essentially the inclination of love is directed to health, strength, and beauty, consequently also to youth; because the will first of all seeks to exhibit the specific character of the human species as the basis of all individuality: ordinary amorousness (Αφροδιτη πανδημος) does not go much further. To these, then, more special claims link themselves on, which we shall investigate in detail further on, and with which, when they see satisfaction before them, the passion increases. But the highest degrees of this passion spring from that suitableness of two individualities to each other on account of which the will, *i.e.*, the character, of the father and the intellect of the mother, in their connection, make up precisely that individual towards which the will to live in general which exhibits itself in the whole species feels a longing proportionate to this its magnitude, and which therefore exceeds the measure of a mortal heart, and the motives of which, in the same way, lie beyond the sphere of the individual intellect. This is thus the soul of a true and great passion. Now the more perfect is the mutual adaptation of two individuals to each other in each of the many respects which have further to be considered, the stronger will be their mutual passion. Since there do not exist two individuals exactly alike, there must be for each particular man a particular woman — always with reference to what is to be produced — who corresponds most perfectly. A really passionate love is as rare as the accident of these two meeting. Since, however, the possibility of such a love is present in every one, the representations of it in the works of the poets are comprehensible to us. Just because the passion of love really turns about that which is to be produced, and its qualities, and because its kernel lies here, a friendship without any admixture of sexual love can exist between two young and good-looking persons of different sex, on account of the



agreement of their disposition, character, and mental tendencies; nay, as regards sexual love there may even be a certain aversion between them. The reason of this is to be sought in the fact that a child produced by them would have physical or mental qualities which were inharmonious; in short, its existence and nature would not answer the ends of the will to live as it exhibits itself in the species. On the other hand, in the case of difference of disposition, character, and mental tendency, and the dislike, nay, enmity, proceeding from this, sexual love may yet arise and exist; when it then blinds us to all that; and if it here leads to marriage it will be a very unhappy one.

Let us now set about the more thorough investigation of the matter. Egoism is so deeply rooted a quality of all individuals in general, that in order to rouse the activity of an individual being egoistical ends are the only ones upon which we can count with certainty. Certainly the species has an earlier, closer, and greater claim upon the individual than the perishable individuality itself. Yet when the individual has to act, and even make sacrifices for the continuance and quality of the species, the importance of the matter cannot be made so comprehensible to his intellect, which is calculated merely with regard to individual ends, as to have its proportionate effect. Therefore in such a case nature can only attain its ends by implanting a certain illusion in the individual, on account of which that which is only a good for the species appears to him as a good for himself, so that when he serves the species he imagines he is serving himself; in which process a mere chimera, which vanishes immediately afterwards, floats before him, and takes the place of a real thing as a motive. This illusion is instinct. In the great majority of cases this is to be regarded as the sense of the species, which presents what is of benefit to *it* to the will. Since, however, the will has here become individual, it must be so deluded that it apprehends through the sense of the individual what the sense of the species presents to it, thus imagines it is following individual ends while in truth it is pursuing ends which are merely general (taking this word in its strictest sense). The external phenomenon of instinct we can best observe in the brutes where its rôle is most important; but it is in ourselves alone that we arrive at a knowledge of its internal process, as of everything internal. Now it is certainly supposed that man has almost no instinct; at any rate only this, that the new-born babe seeks for and seizes the breast of its mother. But, in fact, we have a very definite, distinct, and complicated

instinct, that of the selection of another individual for the satisfaction of the sexual impulse, a selection which is so fine, so serious, and so arbitrary. With this satisfaction in itself, *i.e.*, so far as it is a sensual pleasure resting upon a pressing want of the individual, the beauty or ugliness of the other individual has nothing to do. Thus the regard for this which is yet pursued with such ardour, together with the careful selection which springs from it, is evidently connected, not with the chooser himself — although he imagines it is so — but with the true end, that which is to be produced, which is to receive the type of the species as purely and correctly as possible. Through a thousand physical accidents and moral aberrations there arise a great variety of deteriorations of the human form; yet its true type, in all its parts, is always again established: and this takes place under the guidance of the sense of beauty, which always directs the sexual impulse, and without which this sinks to the level of a disgusting necessity. Accordingly, in the first place, every one will decidedly prefer and eagerly desire the most beautiful individuals, *i.e.*, those in whom the character of the species is most purely impressed; but, secondly, each one will specially regard as beautiful in another individual those perfections which he himself lacks, nay, even those imperfections which are the opposite of his own. Hence, for example, little men love big women, fair persons like dark, &c. &c. The delusive ecstasy which seizes a man at the sight of a woman whose beauty is suited to him, and pictures to him a union with her as the highest good, is just the *sense of the species*, which, recognising the distinctly expressed stamp of the same, desires to perpetuate it with this individual. Upon this decided inclination to beauty depends the maintenance of the type of the species: hence it acts with such great power. We shall examine specially further on the considerations which it follows. Thus what guides man here is really an instinct which is directed to doing the best for the species, while the man himself imagines that he only seeks the heightening of his own pleasure. In fact, we have in this an instructive lesson concerning the inner nature of all instinct, which, as here, almost always sets the individual in motion for the good of the species. For clearly the pains with which an insect seeks out a particular flower, or fruit, or dung, or flesh, or, as in the case of the ichneumonidæ, the larva of another insect, in order to deposit its eggs there only, and to attain this end shrinks neither from trouble nor danger, is thoroughly analogous to the pains with which for his sexual satisfaction a man carefully chooses a woman with definite qualities

which appeal to him individually, and strives so eagerly after her that in order to attain this end he often sacrifices his own happiness in life, contrary to all reason, by a foolish marriage, by love affairs which cost him wealth, honour, and life, even by crimes such as adultery or rape, all merely in order to serve the species in the most efficient way, although at the cost of the individual, in accordance with the will of nature which is everywhere sovereign. Instinct, in fact, is always an act which seems to be in accordance with the conception of an end, and yet is entirely without such a conception. Nature implants it wherever the acting individual is incapable of understanding the end, or would be unwilling to pursue it. Therefore, as a rule, it is given only to the brutes, and indeed especially to the lowest of them which have least understanding; but almost only in the case we are here considering it is also given to man, who certainly could understand the end, but would not pursue it with the necessary ardour, that is, even at the expense of his individual welfare. Thus here, as in the case of all instinct, the truth assumes the form of an illusion, in order to act upon the will. It is a voluptuous illusion which leads the man to believe he will find a greater pleasure in the arms of a woman whose beauty appeals to him than in those of any other; or which indeed, exclusively directed to a single individual, firmly convinces him that the possession of her will ensure him excessive happiness. Therefore he imagines he is taking trouble and making sacrifices for his own pleasure, while he does so merely for the maintenance of the regular type of the species, or else a quite special individuality, which can only come from these parents, is to attain to existence. The character of instinct is here so perfectly present, thus an action which seems to be in accordance with the conception of an end, and yet is entirely without such a conception, that he who is drawn by that illusion often abhors the end which alone guides it, procreation, and would like to hinder it; thus it is in the case of almost all illicit love affairs. In accordance with the character of the matter which has been explained, every lover will experience a marvellous disillusion after the pleasure he has at last attained, and will wonder that what was so longingly desired accomplishes nothing more than every other sexual satisfaction; so that he does not see himself much benefited by it. That wish was related to all his other wishes as the species is related to the individual, thus as the infinite to the finite. The satisfaction, on the other hand, is really only for the benefit of the species, and thus does not come within the consciousness of the individual, who, inspired by the will of the

species, here served an end with every kind of sacrifice, which was not his own end at all. Hence, then, every lover, after the ultimate consummation of the great work, finds himself cheated; for the illusion has vanished by means of which the individual was here the dupe of the species, Accordingly Plato very happily says: “ἡδονὴ ἀπαντῶν ἀλαζονεστατον” (*voluptas omnium maxime vaniloqua*), *Phileb.* 319.

But all this reflects light on the instincts and mechanical tendencies of the brutes. They also are, without doubt, involved in a kind of illusion, which deceives them with the prospect of their own pleasure, while they work so laboriously and with so much self-denial for the species, the bird builds its nest, the insect seeks the only suitable place for its eggs, or even hunts for prey which, unsuited for its own enjoyment, must be laid beside the eggs as food for the future larvæ, the bees, the wasps, the ants apply themselves to their skilful dwellings and highly complicated economy. They are all guided with certainty by an illusion, which conceals the service of the species under the mask of an egotistical end. This is probably the only way to comprehend the inner or subjective process that lies at the foundation of the manifestations of instinct. Outwardly, however, or objectively, we find in those creatures which are to a large extent governed by instinct, especially in insects, a preponderance of the ganglion system, *i.e.*, the *subjective* nervous system, over the objective or cerebral system; from which we must conclude that they are moved, not so much by objective, proper apprehension as by subjective ideas exciting desire, which arise from the influence of the ganglion system upon the brain, and accordingly by a kind of illusion; and this will be the *physiological* process in the case of all instinct. For the sake of illustration I will mention as another example of instinct in the human species, although a weak one, the capricious appetite of women who are pregnant. It seems to arise from the fact that the nourishment of the embryo sometimes requires a special or definite modification of the blood which flows to it, upon which the food which produces such a modification at once presents itself to the pregnant woman as an object of ardent longing, thus here also an illusion arises. Accordingly woman has one instinct more than man; and the ganglion system is also much more developed in the woman. That man has fewer instincts than the brutes and that even these few can be easily led astray, may be explained from the great preponderance of the brain in his case. The sense of beauty which instinctively guides the selection for the satisfaction

of sexual passion is led astray when it degenerates into the tendency to pederasty; analogous to the fact that the blue-bottle (*Musca vomitoria*), instead of depositing its eggs, according to instinct, in putrefying flesh, lays them in the blossom of the *Arum dracunculus*, deceived by the cadaverous smell of this plant.

Now that an instinct entirely directed to that which is to be produced lies at the foundation of all sexual love will receive complete confirmation from the fuller analysis of it, which we cannot therefore avoid. First of all we have to remark here that by nature man is inclined to inconstancy in love, woman to constancy. The love of the man sinks perceptibly from the moment it has obtained satisfaction; almost every other woman charms him more than the one he already possesses; he longs for variety. The love of the woman, on the other hand, increases just from that moment. This is a consequence of the aim of nature which is directed to the maintenance, and therefore to the greatest possible increase, of the species. The man can easily beget over a hundred children a year; the woman, on the contrary, with however many men, can yet only bring one child a year into the world (leaving twin births out of account). Therefore the man always looks about after other women; the woman, again, sticks firmly to the one man; for nature moves her, instinctively and without reflection, to retain the nourisher and protector of the future offspring. Accordingly faithfulness in marriage is with the man artificial, with the woman it is natural, and thus adultery on the part of the woman is much less pardonable than on the part of the man, both objectively on account of the consequences and also subjectively on account of its unnaturalness.

But in order to be thorough and gain full conviction that the pleasure in the other sex, however objective it may seem to us, is yet merely disguised instinct, *i.e.*, sense of the species, which strives to maintain its type, we must investigate more fully the considerations which guide us in this pleasure, and enter into the details of this, rarely as these details which will have to be mentioned here may have figured in a philosophical work before. These considerations divide themselves into those which directly concern the type of the species, *i.e.*, beauty, those which are concerned with physical qualities, and lastly, those which are merely relative, which arise from the requisite correction or neutralisation of the one-sided qualities and abnormities of the two individuals by each other. We shall go through them one by one.

The first consideration which guides our choice and inclination is age. In general we accept the age from the years when menstruation begins to those when it ceases, yet we give the decided preference to the period from the eighteenth to the twenty-eighth year. Outside of those years, on the other hand, no woman can attract us: an old woman, *i.e.*, one who no longer menstruates, excites our aversion. Youth without beauty has still always attraction; beauty without youth has none. Clearly the unconscious end which guides us here is the possibility of reproduction in general: therefore every individual loses attraction for the opposite sex in proportion as he or she is removed from the fittest period for begetting or conceiving. The second consideration is that of health. Acute diseases only temporarily disturb us, chronic diseases or cachexia repel us, because they are transmitted to the child. The third consideration is the skeleton, because it is the basis of the type of the species. Next to age and disease nothing repels us so much as a deformed figure; even the most beautiful face cannot atone for it; on the contrary, even the ugliest face when accompanied by a straight figure is unquestionably preferred. Further, we feel every disproportion of the skeleton most strongly; for example, a stunted, dumpy, short-boned figure, and many such; also a halting gait, where it is not the result of an extraneous accident. On the other hand, a strikingly beautiful figure can make up for all defects: it enchants us. Here also comes in the great value which all attach to the smallness of the feet: it depends upon the fact that they are an essential characteristic of the species, for no animal has the tarsus and the metatarsus taken together so small as man, which accords with his upright walk; he is a plantigrade. Accordingly Jesus Sirach also says (xxvi. 23, according to the revised translation by Kraus): "A woman with a straight figure and beautiful feet is like columns of gold in sockets of silver." The teeth also are important; because they are essential for nourishment and quite specially hereditary. The fourth consideration is a certain fulness of flesh; thus a predominance of the vegetative function, of plasticity; because this promises abundant nourishment for the foetus; hence great leanness repels us in a striking degree. A full female bosom exerts an exceptional charm upon the male sex; because, standing in direct connection with the female functions of propagation, it promises abundant nourishment to the new-born child. On the other hand, excessively fat women excite our disgust: the cause is that this indicates atrophy of the uterus, thus barrenness; which is not known by the head, but by instinct.

The last consideration of all is the beauty of the face. Here also before everything else the bones are considered; therefore we look principally for a beautiful nose, and a short turned-up nose spoils everything. A slight inclination of the nose downwards or upwards has decided the happiness in life of innumerable maidens, and rightly so, for it concerns the type of the species. A small mouth, by means of small maxillæ, is very essential as specifically characteristic of the human countenance, as distinguished from the muzzle of the brutes. A receding or, as it were, cut-away chin is especially disagreeable, because *mentum prominulum* is an exclusive characteristic of our species. Finally comes the regard for beautiful eyes and forehead; it is connected with the psychical qualities, especially the intellectual which are inherited from the mother.

The unconscious considerations which, on the other hand, the inclination of women follows naturally cannot be so exactly assigned. In general the following may be asserted: They give the preference to the age from thirty to thirty-five years, especially over that of youths who yet really present the height of human beauty. The reason is that they are not guided by taste but by instinct, which recognises in the age named the acme of reproductive power. In general they look less to beauty, especially of the face. It is as if they took it upon themselves alone to impart this to the child. They are principally won by the strength of the man, and the courage which is connected with this; for these promise the production of stronger children, and also a brave protector for them. Every physical defect of the man, every divergence from the type, may with regard to the child be removed by the woman in reproduction, through the fact that she herself is blameless in these respects, or even exceeds in the opposite direction. Only those qualities of the man have to be excepted which are peculiar to his sex, and which therefore the mother cannot give to the child: such are the manly structure of the skeleton, broad shoulders, slender hips, straight bones, muscular power, courage, beard, &c. Hence it arises that women often love ugly men, but never an unmanly man, because they cannot neutralise his defects.

The second class of the considerations which lie at the foundation of sexual love are those which regard psychical qualities. Here we shall find that the woman is throughout attracted by the qualities of the heart or character in the man, as those which are inherited from the father. The woman is won especially by firmness of will, decision, and courage, and

perhaps also by honesty and good-heartedness. On the other hand, intellectual gifts exercise no direct and instinctive power over her, just because they are not inherited from the father. Want of understanding does a man no harm with women; indeed extraordinary mental endowment, or even genius, might sooner influence them unfavourably as an abnormality. Hence one often sees an ugly, stupid, and coarse fellow get the better of a cultured, able, and amiable man with women. Also marriages from love are sometimes consummated between natures which are mentally very different: for example, the man is rough, powerful, and stupid; the woman tenderly sensitive, delicately thoughtful, cultured, æsthetic, &c.; or the man is a genius and learned, the woman a goose:

*“Sic visum Veneri; cui placet impares  
Formas atque animos sub juga aënea  
Sævo mittere cum joco.”*

The reason is, that here quite other considerations than the intellectual predominate, — those of instinct. In marriage what is looked to is not intellectual entertainment, but the production of children: it is a bond of the heart, not of the head. It is a vain and absurd pretence when women assert that they have fallen in love with the mind of a man, or else it is the overstraining of a degenerate nature. Men, on the other hand, are not determined in their instinctive love by the qualities of character of the woman; hence so many Socrateses have found their Xantippes; for example, Shakspeare, Albrecht Dürer, Byron, &c. The intellectual qualities, however, certainly influence here, because they are inherited from the mother. Yet their influence is easily outweighed by that of physical beauty, which acts directly, as concerning a more essential point. However, it happens, either from the feeling or the experience of that influence, that mothers have their daughters taught the fine arts, languages, and so forth in order to make them attractive to men, whereby they wish to assist the intellect by artificial means, just as, in case of need, they assist the hips and the bosom. Observe that here we are speaking throughout only of that entirely immediate instinctive attraction from which alone love properly so called grows. That a woman of culture and understanding prizes understanding and intellect in a man, that a man from rational reflection should test and have regard to the character of his bride, has nothing to do with the matter with which we are dealing here. Such things lie at the bottom of a rational choice in marriage, but not of the passionate love, which is our theme.



Hitherto I have only taken account of the *absolute* considerations, *i.e.*, those which hold good for every one: I come now to the *relative* considerations, which are individual, because in their case what is looked to is the rectification of the type of the species, which is already defectively presented, the correction of the divergences from it which the chooser's own person already bears in itself, and thus the return to the pure presentation of the type. Here, then, each one loves what he lacks. Starting from the individual constitution, and directed to the individual constitution, the choice which rests upon such relative considerations is much more definite, decided, and exclusive than that which proceeds merely from the absolute considerations; therefore the source of really passionate love will lie, as a rule, in these relative considerations, and only that of the ordinary and slighter inclination in the absolute considerations. Accordingly it is not generally precisely correct and perfect beauties that kindle great passions. For such a truly passionate inclination to arise something is required which can only be expressed by a chemical metaphor: two persons must neutralise each other, like acid and alkali, to a neutral salt. The essential conditions demanded for this are the following. First: all sex is one-sided. This one-sidedness is more distinctly expressed in one individual than in another; therefore in every individual it can be better supplemented and neutralised by one than by another individual of the opposite sex, for each one requires a one-sidedness which is the opposite of his own to complete the type of humanity in the new individual that is to be produced, the constitution of which is always the goal towards which all tends. Physiologists know that manhood and womanhood admit of innumerable degrees, through which the former sinks to the repulsive gynander and hypospadæus, and the latter rises to the graceful androgyne; from both sides complete hermaphrodism can be reached, at which point stand those individuals who, holding the exact mean between the two sexes, can be attributed to neither, and consequently are unfit to propagate the species. Accordingly, the neutralisation of two individualities by each other, of which we are speaking, demands that the definite degree of *his* manhood shall exactly correspond to the definite degree of *her* womanhood; so that the one-sidedness of each exactly annuls that of the other. Accordingly, the most manly man will seek the most womanly woman, and *vice versâ*, and in the same way every individual will seek another corresponding to him or her in degree of sex. Now how far the required relation exists between two individuals is instinctively felt by

them, and, together with the other relative considerations, lies at the foundation of the higher degrees of love. While, therefore, the lovers speak pathetically of the harmony of their souls, the heart of the matter is for the most part the agreement or suitableness pointed out here with reference to the being which is to be produced and its perfection, and which is also clearly of much more importance than the harmony of their souls, which often, not long after the marriage, resolves itself into a howling discord. Now, here come in the further relative considerations, which depend upon the fact that every one endeavours to neutralise by means of the other his weaknesses, defects, and deviations from the type, so that they will not perpetuate themselves, or even develop into complete abnormities in the child which is to be produced. The weaker a man is as regards muscular power the more will he seek for strong women; and the woman on her side will do the same. But since now a less degree of muscular power is natural and regular in the woman, women as a rule will give the preference to strong men. Further, the size is an important consideration. Little men have a decided inclination for big women, and *vice versâ*; and indeed in a little man the preference for big women will be so much the more passionate if he himself was begotten by a big father, and only remains little through the influence of his mother; because he has inherited from his father the vascular system and its energy, which was able to supply a large body with blood. If, on the other hand, his father and grandfather were both little, that inclination will make itself less felt. At the foundation of the aversion of a big woman to big men lies the intention of nature to avoid too big a race, if with the strength which *this* woman could impart to them they would be too weak to live long. If, however, such a woman selects a big husband, perhaps for the sake of being more presentable in society, then, as a rule, her offspring will have to atone for her folly. Further, the consideration as to the complexion is very decided. Blondes prefer dark persons, or brunettes; but the latter seldom prefer the former. The reason is, that fair hair and blue eyes are in themselves a variation from the type, almost an abnormality, analogous to white mice, or at least to grey horses. In no part of the world, not even in the vicinity of the pole, are they indigenous, except in Europe, and are clearly of Scandinavian origin. I may here express my opinion in passing that the white colour of the skin is not natural to man, but that by nature he has a black or brown skin, like our forefathers the Hindus; that consequently a white man has never originally sprung from the womb of

nature, and that thus there is no such thing as a white race, much as this is talked of, but every white man is a faded or bleached one. Forced into the strange world, where he only exists like an exotic plant, and like this requires in winter the hothouse, in the course of thousands of years man became white. The gipsies, an Indian race which immigrated only about four centuries ago, show the transition from the complexion of the Hindu to our own.<sup>38</sup> Therefore in sexual love nature strives to return to dark hair and brown eyes as the primitive type; but the white colour of the skin has become a second nature, though not so that the brown of the Hindu repels us. Finally, each one also seeks in the particular parts of the body the corrective of his own defects and aberrations, and does so the more decidedly the more important the part is. Therefore snub-nosed individuals have an inexpressible liking for hook-noses, parrot-faces; and it is the same with regard to all other parts. Men with excessively slim, long bodies and limbs can find beauty in a body which is even beyond measure stumpy and short. The considerations with regard to temperament act in an analogous manner. Each will prefer the temperament opposed to his own; yet only in proportion as his one is decided. Whoever is himself in some respect very perfect does not indeed seek and love imperfection in this respect, but is yet more easily reconciled to it than others; because he himself insures the children against great imperfection of this part. For example, whoever is himself very white will not object to a yellow complexion; but whoever has the latter will find dazzling whiteness divinely beautiful. The rare case in which a man falls in love with a decidedly ugly woman occurs when, besides the exact harmony of the degree of sex explained above, the whole of her abnormities are precisely the opposite, and thus the corrective, of his. The love is then wont to reach a high degree.

The profound seriousness with which we consider and ponder each bodily part of the woman, and she on her part does the same, the critical scrupulosity with which we inspect a woman who begins to please us, the capriciousness of our choice, the keen attention with which the bridegroom observes his betrothed, his carefulness not to be deceived in any part, and the great value which he attaches to every excess or defect in the essential parts, all this is quite in keeping with the importance of the end. For the new being to be produced will have to bear through its whole life a similar part. For example, if the woman is only a little crooked, this may easily impart to her son a hump, and so in all the rest. Consciousness of all this certainly

does not exist. On the contrary, every one imagines that he makes that careful selection in the interest of his own pleasure (which at bottom cannot be interested in it at all); but he makes it precisely as, under the presupposition of his own corporisation, is most in keeping with the interest of the species, to maintain the type of which as pure as possible is the secret task. The individual acts here, without knowing it, by order of something higher than itself, the species; hence the importance which it attaches to things which may and indeed must be, indifferent to itself as such. There is something quite peculiar in the profound unconscious seriousness with which two young persons of opposite sex who see each other for the first time regard each other, in the searching and penetrating glance they cast at one another, in the careful review which all the features and parts of their respective persons have to endure. This investigating and examining is the *meditation of the genius of the species* on the individual which is possible through these two and the combination of its qualities. According to the result of this meditation is the degree of their pleasure in each other and their yearning for each other. This yearning, even after it has attained a considerable degree, may be suddenly extinguished again by the discovery of something that had previously remained unobserved. In this way, then, the genius of the species meditates concerning the coming race in all who are capable of reproduction. The nature of this race is the great work with which Cupid is occupied, unceasingly active, speculating, and pondering. In comparison with the importance of his great affair, which concerns the species and all coming races, the affairs of individuals in their whole ephemeral totality are very trifling; therefore he is always ready to sacrifice these regardlessly. For he is related to them as an immortal to mortals, and his interests to theirs as infinite to finite. Thus, in the consciousness of managing affairs of a higher kind than all those which only concern individual weal or woe, he carries them on sublimely, undisturbed in the midst of the tumult of war, or in the bustle of business life, or during the raging of a plague, and pursues them even into the seclusion of the cloister.

We have seen in the above that the intensity of love increases with its individualisation, because we have shown that the physical qualities of two individuals can be such that, for the purpose of restoring as far as possible the type of the species, the one is quite specially and perfectly the completion or supplement of the other, which therefore desires it exclusively. Already in this case a considerable passion arises, which at

once gains a nobler and more sublime appearance from the fact that it is directed to an individual object, and to it alone; thus, as it were, arises at the special order of the species. For the opposite reason, the mere sexual impulse is ignoble, because without individualisation it is directed to all, and strives to maintain the species only as regards quantity, with little respect to quality. But the individualising, and with it the intensity of the love, can reach so high a degree that without its satisfaction all the good things in the world, and even life itself, lose their value. It is then a wish which attains a vehemence that no other wish ever reaches, and therefore makes one ready for any sacrifice, and in case its fulfilment remains unalterably denied, may lead to madness or suicide. At the foundation of such an excessive passion there must lie, besides the considerations we have shown above, still others which we have not thus before our eyes. We must therefore assume that here not only the corporisation, but the *will* of the man and the *intellect* of the woman are specially suitable to each other, in consequence of which a perfectly definite individual can be produced by them alone, whose existence the genius of the species has here in view, for reasons which are inaccessible to us, since they lie in the nature of the thing in itself. Or, to speak more exactly, the will to live desires here to objectify itself in a perfectly definite individual, which can only be produced by this father with this mother. This metaphysical desire of the will in itself has primarily no other sphere of action in the series of existences than the hearts of the future parents, which accordingly are seized with this ardent longing, and now imagine themselves to desire on their own account what really for the present has only a purely metaphysical end, *i.e.*, an end which lies outside the series of actually existing things. Thus it is the ardent longing to enter existence of the future individual which has first become possible here, a longing which proceeds from the primary source of all being, and exhibits itself in the phenomenal world as the lofty passion of the future parents for each other, paying little regard to all that is outside itself; in fact, as an unparalleled illusion, on account of which such a lover would give up all the good things of this world to enjoy the possession of this woman, who yet can really give him nothing more than any other. That yet it is just this possession that is kept in view here is seen from the fact that even this lofty passion, like all others, is extinguished in its enjoyment — to the great astonishment of those who are possessed by it. It also becomes extinct when, through the woman turning out barren (which, according to

Hufeland, may arise from nineteen accidental constitutional defects), the real metaphysical end is frustrated; just as daily happens in millions of germs trampled under foot, in which yet the same metaphysical life principle strives for existence; for which there is no other consolation than that an infinity of space, time, and matter, and consequently inexhaustible opportunity for return, stands open to the will to live.

The view which is here expounded must once have been present to the mind of Theophrastus Paracelsus, even if only in a fleeting form, though he has not handled this subject, and my whole system of thought was foreign to him; for, in quite a different context and in his desultory manner, he wrote the following remarkable words: "*Hi sunt, quos Deus copulavit, ut eam, quæ fuit Uriæ et David; quamvis ex diametro (sic enim sibi humana mens persuadebat) cum justo et legitimo matrimonio pugnaret hoc.... sed propter Salomonem, qui aliunde nasci non potuit, nisi ex Bathseba, conjuncto David semine, quamvis meretrice, conjunxit eos Deus*" (*De vita longa*, i. 5).

The longing of love, the ἰμερος, which the poets of all ages are unceasingly occupied with expressing in innumerable forms, and do not exhaust the subject, nay, cannot do it justice, this longing, which attaches the idea of endless happiness to the possession of a particular woman, and unutterable pain to the thought that this possession cannot be attained, — this longing and this pain cannot obtain their material from the wants of an ephemeral individual; but they are the sighs of the spirit of the species, which sees here, to be won or lost, a means for the attainment of its ends which cannot be replaced, and therefore groans deeply. The species alone has infinite life, and therefore is capable of infinite desires, infinite satisfaction, and infinite pain. But these are here imprisoned in the narrow breast of a mortal. No wonder, then, if such a breast seems like to burst, and can find no expression for the intimations of infinite rapture or infinite misery with which it is filled. This, then, affords the materials for all erotic poetry of a sublime kind, which accordingly rises into transcendent metaphors, soaring above all that is earthly. This is the theme of Petrarch, the material for the St. Preuxs, Werthers, and Jacopo Ortis, who apart from it could not be understood nor explained. For that infinite esteem for the loved one cannot rest upon some spiritual excellences, or in general upon any objective, real qualities of hers; for one thing, because she is often not sufficiently well known to the lover, as was the case with Petrarch. The

spirit of the species alone can see at one glance what *worth* she has for *it*, for its ends. And great passions also arise, as a rule, at the first glance:

“Who ever loved that loved not at first sight?”

— Shakspeare, “As You Like it,” iii. 5.

In this regard a passage in the romance of “*Guzman de Alfarache*,” by Mateo Aleman, which has been famous for 250 years, is remarkable: “*No es necesario, para que uno ame, que pase distancia de tiempo, que siga discurso, ni haga eleccion, sino que con aquella primera y sola vista, concurren juntamente cierta correspondencia ó consonancia, ó lo que acá solemos vulgarmente decir, una confrontacion de sangre, a que por particular influxo suelen mover las estrellas.*” (For one to love it is not necessary that much time should pass, that he should set about reflecting and make a choice; but only that at that first and only glance a certain correspondence and consonance should be encountered on both sides, or that which in common life we are wont to call a *sympathy of the blood*, and to which a special influence of the stars generally impels), P. ii. lib. iii. c. 5. Accordingly the loss of the loved one, through a rival, or through death, is also for the passionate lover a pain that surpasses all others, just because it is of a transcendental kind, since it affects him not merely as an individual, but attacks him in his *essentia æterna*, in the life of the species into whose special will and service he was here called. Hence jealousy is such torment and so grim, and the surrender of the loved one is the greatest of all sacrifices. A hero is ashamed of all lamentations except the lamentation of love, because in this it is not he but the species that laments. In Calderon’s “Zenobia the Great” there is in the first act a scene between Zenobia and Decius in which the latter says:

“*Cielos, luego tu me quieres? Perdiera cien mil victorias, Volviérame,*” &c.

(Heaven! then thou lovest me? For this I would lose a thousand victories, would turn about, &c.)

Here, honour, which hitherto outweighed every interest, is beaten out of the field as soon as sexual love, *i.e.*, the interest of the species, comes into play, and sees before it a decided advantage; for this is infinitely superior to every interest of mere individuals, however important it may be. Therefore to this alone honour, duty, and fidelity yield after they have withstood every other temptation, including the threat of death. In the same way we find in private life that conscientiousness is in no point so rare as in this: it is here

sometimes set aside even by persons who are otherwise honest and just, and adultery is recklessly committed when passionate love, *i.e.*, the interest of the species, has mastered them. It even seems as if in this they believed themselves to be conscious of a higher right than the interests of individuals can ever confer; just because they act in the interest of the species. In this reference Chamfort's remark is worth noticing: "*Quand un homme et une femme ont l'un pour l'autre une passion violente, il me semble toujours que quelque soient les obstacles qui les séparent, un mari, des parens, etc., les deux amans sont l'un a l'autre, de par la Nature, qu'ils s'appartiennent de droit divin, malgré les lois et les conventions humaines.*" Whoever is inclined to be incensed at this should be referred to the remarkable indulgence which the Saviour shows in the Gospel to the woman taken in adultery, in that He also assumes the same guilt in the case of all present. From this point of view the greater part of the "Decameron" appears as mere mocking and jeering of the genius of the species at the rights and interests of individuals which it tramples under foot. Differences of rank and all similar circumstances, when they oppose the union of passionate lovers, are set aside with the same ease and treated as nothing by the genius of the species, which, pursuing its ends that concern innumerable generations, blows off as spray such human laws and scruples. From the same deep-lying grounds, when the ends of passionate love are concerned, every danger is willingly encountered, and those who are otherwise timorous here become courageous. In plays and novels also we see, with ready sympathy, the young persons who are fighting the battle of their love, *i.e.*, the interest of the species, gain the victory over their elders, who are thinking only of the welfare of the individuals. For the efforts of the lovers appear to us as much more important, sublime, and therefore right, than anything that can be opposed to them, as the species is more important than the individual. Accordingly the fundamental theme of almost all comedies is the appearance of the genius of the species with its aims, which are opposed to the personal interest of the individuals presented, and therefore threaten to undermine their happiness. As a rule it attains its end, which, as in accordance with poetical justice, satisfies the spectator, because he feels that the aims of the species are much to be preferred to those of the individual. Therefore at the conclusion he leaves the victorious lovers quite confidently, because he shares with them the illusion that they have founded their own happiness, while they have rather sacrificed it to the choice of the



species, against the will and foresight of their elders. It has been attempted in single, abnormal comedies to reverse the matter and bring about the happiness of the individuals at the cost of the aims of the species; but then the spectator feels the pain which the genius of the species suffers, and is not consoled by the advantages which are thereby assured to the individuals. As examples of this kind two very well-known little pieces occur to me: "*La reine de 16 ans*," and "*Le mariage de raison*." In tragedies containing love affairs, since the aims of the species are frustrated, the lovers who were its tools, generally perish also; for example, in "*Romeo and Juliet*," "*Tancred*," "*Don Carlos*," "*Wallenstein*," "*The Bride of Messina*," and many others.

The love of a man often affords comical, and sometimes also tragical phenomena; both because, taken possession of by the spirit of the species, he is now ruled by this, and no longer belongs to himself: his conduct thereby becomes unsuited to the individual. That which in the higher grades of love imparts such a tinge of poetry and sublimeness to his thoughts, which gives them even a transcendental and hyperphysical tendency, on account of which he seems to lose sight altogether of his real, very physical aim, is at bottom this, that he is now inspired by the spirit of the species whose affairs are infinitely more important than all those which concern mere individuals, in order to find under the special directions of this spirit the whole existence of an indefinitely long posterity with this individual and exactly determined nature, which it can receive only from him as father and the woman he loves as mother, and which otherwise could never, *as such*, attain to existence, while the objectification of the will to live expressly demands this existence. It is the feeling that he is acting in affairs of such transcendent importance which raises the lover so high above everything earthly, nay, even above himself, and gives such a hyperphysical clothing to his very physical desires, that love becomes a poetical episode even in the life of the most prosaic man; in which last case the matter sometimes assumes a comical aspect. That mandate of the will which objectifies itself in the species exhibits itself in the consciousness of the lover under the mask of the anticipation of an infinite blessedness which is to be found for him in the union with this female individual. Now, in the highest grades of love this chimera becomes so radiant that if it cannot be attained life itself loses all charm, and now appears so joyless, hollow, and insupportable that the disgust at it even overcomes the fear of death, so that it is then

sometimes voluntarily cut short. The will of such a man has been caught in the vortex of the will of the species, or this has obtained such a great predominance over the individual will that if such a man cannot be effective in the first capacity, he disdains to be so in the last. The individual is here too weak a vessel to be capable of enduring the infinite longing of the will of the species concentrated upon a definite object. In this case, therefore, the issue is suicide, sometimes the double suicide of the two lovers; unless, to save life, nature allows madness to intervene, which then covers with its veil the consciousness of that hopeless state. No year passes without proving the reality of what has been expounded by several cases of all these kinds.

Not only, however, has the unsatisfied passion of love sometimes a tragic issue, but the satisfied passion also leads oftener to unhappiness than to happiness. For its demands often conflict so much with the personal welfare of him who is concerned that they undermine it, because they are incompatible with his other circumstances, and disturb the plan of life built upon them. Nay, not only with external circumstances is love often in contradiction, but even with the lover's own individuality, for it flings itself upon persons who, apart from the sexual relation, would be hateful, contemptible, and even abhorrent to the lover. But so much more powerful is the will of the species than that of the individual that the lover shuts his eyes to all those qualities which are repellent to him, overlooks all, ignores all, and binds himself for ever to the object of his passion — so entirely is he blinded by that illusion, which vanishes as soon as the will of the species is satisfied, and leaves behind a detested companion for life. Only from this can it be explained that we often see very reasonable and excellent men bound to termagants and she-devils, and cannot conceive how they could have made such a choice. On this account the ancients represented love as blind. Indeed, a lover may even know distinctly and feel bitterly the faults of temperament and character of his bride, which promise him a miserable life, and yet not be frightened away: —

“I ask not, I care not,  
If guilt's in thy heart,  
I know that I love thee  
Whatever thou art.”

For ultimately he seeks not his own things, but those of a third person, who has yet to come into being, although he is involved in the illusion that

what he seeks is his own affair. But it is just this not seeking of one's own things which is everywhere the stamp of greatness, that gives to passionate love also a touch of sublimity, and makes it a worthy subject of poetry. Finally, sexual love is compatible even with the extremest hatred towards its object: therefore Plato has compared it to the love of the wolf for the sheep. This case appears when a passionate lover, in spite of all efforts and entreaties, cannot obtain a favourable hearing on any condition: —

“I love and hate her.”

— Shakspeare, *Cymb.*, iii. 5.

The hatred of the loved one which then is kindled sometimes goes so far that the lover murders her, and then himself. One or two examples of this generally happen every year; they will be found in the newspapers. Therefore Goethe's lines are quite correct: —

“By all despised love! By hellish element!

Would that I knew a worse, that I might swear by!”

It is really no hyperbole if a lover describes the coldness of his beloved and the delight of her vanity, which feeds on his sufferings, as cruelty; for he is under the influence of an impulse which, akin to the instinct of insects, compels him, in spite of all grounds of reason, to pursue his end unconditionally, and to undervalue everything else: he cannot give it up. Not one but many a Petrarch has there been who was compelled to drag through life the unsatisfied ardour of love, like a fetter, an iron weight at his foot, and breathe his sighs in lonely woods; but only in the one Petrarch dwelt also the gift of poetry; so that Goethe's beautiful lines hold good of him: —

“And when in misery the man was dumb

A god gave me the power to tell my sorrow.”

In fact, the genius of the species wages war throughout with the guardian geniuses of individuals, is their pursuer and enemy, always ready relentlessly to destroy personal happiness in order to carry out its ends; nay, the welfare of whole nations has sometimes been sacrificed to its humours. An example of this is given us by Shakspeare in “Henry VI.,” pt. iii., act 3, sc. 2 and 3. All this depends upon the fact that the species, as that in which the root of our being lies, has a closer and earlier right to us than the individual; hence its affairs take precedence. From the feeling of this the ancients personified the genius of the species in Cupid, a malevolent, cruel,

and therefore ill-reputed god, in spite of his childish appearance; a capricious, despotic demon, but yet lord of gods and men:

“Σὺ δ’ ὦ θεῶν τυραννε κ’ ἀνθρώπων, Ἐρῶς!”

(*Tu, deorum hominumque tyranne, Amor!*)

A deadly shot, blindness, and wings are his attributes. The latter signify inconstancy; and this appears, as a rule, only with the disillusion which is the consequence of satisfaction.

Because the passion depended upon an illusion, which represented that which has only value for the species as valuable for the individual, the deception must vanish after the attainment of the end of the species. The spirit of the species which took possession of the individual sets it free again. Forsaken by this spirit, the individual falls back into its original limitation and narrowness, and sees with wonder that after such a high, heroic, and infinite effort nothing has resulted for its pleasure but what every sexual gratification affords. Contrary to expectation, it finds itself no happier than before. It observes that it has been the dupe of the will of the species. Therefore, as a rule, a Theseus who has been made happy will forsake his Ariadne. If Petrarch’s passion had been satisfied, his song would have been silenced from that time forth, like that of the bird as soon as the eggs are laid.

Here let me remark in passing that however much my metaphysics of love will displease the very persons who are entangled in this passion, yet if rational considerations in general could avail anything against it, the fundamental truth disclosed by me would necessarily fit one more than anything else to subdue it. But the saying of the old comedian will, no doubt, remain true: “*Quæ res in se neque consilium, neque modum habet ullum, eam consilio regere non potes.*”

Marriages from love are made in the interest of the species, not of the individuals. Certainly the persons concerned imagine they are advancing their own happiness; but their real end is one which is foreign to themselves, for it lies in the production of an individual which is only possible through them. Brought together by this aim, they ought henceforth to try to get on together as well as possible. But very often the pair brought together by that instinctive illusion, which is the essence of passionate love, will, in other respects, be of very different natures. This comes to light when the illusion vanishes, as it necessarily must. Accordingly love marriages, as a rule, turn out unhappy; for through them the coming

generation is cared for at the expense of the present. “*Quien se casa por amores, ha de vivir con dolores*” (Who marries from love must live in sorrow), says the Spanish proverb. The opposite is the case with marriages contracted for purposes of convenience, generally in accordance with the choice of the parents. The considerations prevailing here, of whatever kind they may be, are at least real, and cannot vanish of themselves. Through them, however, the happiness of the present generation is certainly cared for, to the disadvantage of the coming generation, and notwithstanding this it remains problematical. The man who in his marriage looks to money more than to the satisfaction of his inclination lives more in the individual than in the species; which is directly opposed to the truth; hence it appears unnatural, and excites a certain contempt. A girl who, against the advice of her parents, rejects the offer of a rich and not yet old man, in order, setting aside all considerations of convenience, to choose according to her instinctive inclination alone, sacrifices her individual welfare to the species. But just on this account one cannot withhold from her a certain approbation; for she has preferred what is of most importance, and has acted in the spirit of nature (more exactly, of the species), while the parents advised in the spirit of individual egoism. In accordance with all this, it appears as if in making a marriage either the individual or the interests of the species must come off a loser. And this is generally the case; for that convenience and passionate love should go hand in hand is the rarest of lucky accidents. The physical, moral, or intellectual deficiency of the nature of most men may to some extent have its ground in the fact that marriages are ordinarily entered into not from pure choice and inclination, but from all kinds of external considerations, and on account of accidental circumstances. If, however, besides convenience, inclination is also to a certain extent regarded, this is, as it were, an agreement with the genius of the species. Happy marriages are well known to be rare; just because it lies in the nature of marriage that its chief end is not the present but the coming generation. However, let me add, for the consolation of tender, loving natures, that sometimes passionate sexual love associates itself with a feeling of an entirely different origin — real friendship based upon agreement of disposition, which yet for the most part only appears when sexual love proper is extinguished in its satisfaction. This friendship will then generally spring from the fact that the supplementing and corresponding physical, moral, and intellectual qualities of the two individuals, from which sexual love arose, with reference to the

child to be produced, are, with reference also to the individuals themselves, related to each other in a supplementary manner as opposite qualities of temperament and mental gifts, and thereby form the basis of a harmony of disposition.

The whole metaphysics of love here dealt with stands in close connection with my metaphysics in general, and the light which it throws upon this may be summed up as follows.

We have seen that the careful selection for the satisfaction of the sexual impulse, a selection which rises through innumerable degrees up to that of passionate love, depends upon the highly serious interest which man takes in the special personal constitution of the next generation. Now this exceedingly remarkable interest confirms two truths which have been set forth in the preceding chapters. (1.) The indestructibility of the true nature of man, which lives on in that coming generation. For that interest which is so lively and eager, and does not spring from reflection and intention, but from the inmost characteristics and tendencies of our nature, could not be so indelibly present and exercise such great power over man if he were absolutely perishable, and were merely followed in time by a race actually and entirely different from him. (2.) That his true nature lies more in the species than in the individual. For that interest in the special nature of the species, which is the root of all love, from the passing inclination to the serious passion, is for every one really the highest concern, the success or failure of which touches him most sensibly; therefore it is called *par excellence* the affair of the heart. Moreover, when this interest has expressed itself strongly and decidedly, everything which merely concerns one's own person is postponed and necessarily sacrificed to it. Through this, then, man shows that the species lies closer to him than the individual, and he lives more immediately in the former than in the latter. Why does the lover hang with complete abandonment on the eyes of his chosen one, and is ready to make every sacrifice for her? Because it is his immortal part that longs after her; while it is only his mortal part that desires everything else. That vehement or intense longing directed to a particular woman is accordingly an immediate pledge of the indestructibility of the kernel of our being, and of its continued existence in the species. But to regard this continued existence as something trifling and insufficient is an error which arises from the fact that under the conception of the continued life of the species one thinks nothing more than the future existence of beings similar

to us, but in no regard identical with us; and this again because, starting from knowledge directed towards without, one takes into consideration only the external form of the species as we apprehend it in perception, and not its inner nature. But it is just this inner nature which lies at the foundation of our own consciousness as its kernel, and hence indeed is more immediate than this itself, and, as thing in itself, free from the *principium individuationis*, is really the same and identical in all individuals, whether they exist together or after each other. Now this is the will to live, thus just that which desires life and continuance so vehemently. This accordingly is spared and unaffected by death. It can attain to no better state than its present one; and consequently for it, with life, the constant suffering and striving of the individuals is certain. To free it from this is reserved for the denial of the will to live, as the means by which the individual will breaks away from the stem of the species, and surrenders that existence in it. We lack conceptions for that which it now is; indeed all data for such conceptions are wanting. We can only describe it as that which is free to be will to live or not. Buddhism denotes the latter case by the word Nirvana, the etymology of which was given in the note at the end of chapter 41. It is the point which remains for ever unattainable to all human knowledge, just as such.

If now, from the standpoint of this last consideration, we contemplate the turmoil of life, we behold all occupied with its want and misery, straining all their powers to satisfy its infinite needs and to ward off its multifarious sorrows, yet without daring to hope anything else than simply the preservation of this tormented existence for a short span of time. In between, however, in the midst of the tumult, we see the glances of two lovers meet longingly: yet why so secretly, fearfully, and stealthily? Because these lovers are the traitors who seek to perpetuate the whole want and drudgery, which would otherwise speedily reach an end; this they wish to frustrate, as others like them have frustrated it before. This consideration already passes over into the subject of the following chapter.<sup>[39](#)</sup>

## Chapter XLV.<sup>40</sup> On The Assertion Of The Will To Live.

If the will to live exhibited itself merely as an impulse to self-preservation, this would only be an assertion of the individual phenomenon for the span of time of its natural duration. The cares and troubles of such a life would not be great, and consequently existence would be easy and serene. Since, on the contrary, the will wills life absolutely and for all time, it exhibits itself also as sexual impulse, which has in view an endless series of generations. This impulse does away with that carelessness, serenity, and innocence which would accompany a merely individual existence, for it brings unrest and melancholy into the consciousness; misfortunes, cares, and misery into the course of life. If, on the other hand, it is voluntarily suppressed, as we see in rare exceptions, then this is the turning of the will, which changes its course. The will does not then transcend the individual, but is abolished in it. Yet this can only take place by means of the individual doing painful violence to itself. If, however, it does take place, then the freedom from care and the serenity of the purely individual existence is restored to the consciousness, and indeed in a higher degree. On the other hand, to the satisfaction of that most vehement of all impulses and desires is linked the origin of a new existence, thus the carrying out of life anew, with all its burdens, cares, wants, and pains; certainly in another individual; yet if the two who are different in the phenomenon were so absolutely and in themselves, where would then be eternal justice? Life presents itself as a problem, a task to be worked out, and therefore, as a rule, as a constant conflict with necessity. Accordingly every one tries to get through with it and come off as well as he can. He performs life as a compulsory service which he owes. But who has contracted the debt? — His begetter, in the enjoyment of sensual pleasure. Thus, because the one has enjoyed this, the other must live, suffer, and die. However, we know and look back here to the fact that the difference of the similar is conditioned by space and time, which in this sense I have called the *principium individuationis*. Otherwise eternal justice could not be vindicated. Paternal love, on account of which the father is ready to do, to suffer, and to risk more for his child than for himself, and at the same time knows that he owes this, depends simply upon the fact that the begetter recognises himself in the begotten.



The life of a man, with its endless care, want, and suffering, is to be regarded as the explanation and paraphrase of the act of procreation, *i.e.*, the decided assertion of the will to live; and further, it is also due to this that he owes to nature the debt of death, and thinks with anxiety of this debt. Is this not evidence of the fact that our existence involves guilt? At any rate, we always exist, subject to the periodical payment of the toll, birth and death, and successively partake of all the sorrows and joys of life, so that none can escape us: this is just the fruit of the assertion of the will to live. Thus the fear of death, which in spite of all the miseries of life holds us firmly to it, is really illusory; but just as illusory is the impulse which has enticed us into it. This enticement itself may be seen objectively in the reciprocal longing glances of two lovers; they are the purest expression of the will to live, in its assertion. How soft and tender it is here! It wills well-being, and quiet pleasure, and mild joys for itself, for others, for all. It is the theme of Anacreon. Thus by allurements and flattery it makes its way into life. But when once it is there, misery introduces crime, and crime misery; horror and desolation fill the scene. It is the theme of Æschylus.

But now the act through which the will asserts itself and man arises is one of which all are, in their inmost being, ashamed, which they therefore carefully conceal; nay, if they are caught in it, are terrified as if they had been taken in a crime. It is an action of which in cold reflection one generally thinks with dislike, and in a lofty mood with loathing. Reflections which in this regard approach the matter more closely are offered by Montaigne in the fifth chapter of the third book, under the marginal heading: "*Ce que c'est que l'amour.*" A peculiar sadness and repentance follows close upon it, is yet most perceptible after the first performance of the act, and in general is the more distinct the nobler is the character. Hence even Pliny, the pagan, says: "*Homini tantum primi coitus pœnitentia, augurium scilicet vitæ, a pœnitenda origine*" (*Hist. Nat.*, x. 83). And, on the other hand, in Goethe's "Faust," what do devil and witches practise and sing of on their Sabbath? Lewdness and obscenity. And in the same work (in the admirable "Paralipomena" to "Faust") what does incarnate Satan preach before the assembled multitude? Lewdness and obscenity. But simply and solely by means of the continual practice of such an act as this does the human race subsist. If now optimism were right, if our existence were to be thankfully recognised as the gift of the highest goodness guided by wisdom, and accordingly in itself praiseworthy, commendable, and agreeable, then

certainly the act which perpetuates it would necessarily have borne quite another physiognomy. If, on the other hand, this existence is a kind of false step or error; if it is the work of an originally blind will, whose most fortunate development is that it comes to itself in order to abolish itself; then the act which perpetuates that existence must appear precisely as it does appear.

With reference to the first fundamental truth of my doctrine, the remark deserves a place here that the shame mentioned above which attaches to the act of generation extends even to the parts which are concerned in this, although, like all other parts, they are given us by nature. This is again a striking proof that not only the actions but even the body of man is to be regarded as the manifestation, the objectification, of his will, and as its work. For he could not be ashamed of a thing which existed without his will.

The act of generation is further related to the world, as the answer is related to the riddle. The world is wide in space and old in time, and of an inexhaustible multiplicity of forms. Yet all this is only the manifestation of the will to live; and the concentration, the focus of this will is the act of generation. Thus in this act the inner nature of the world expresses itself most distinctly. In this regard it is indeed worth noticing that this act itself is also distinctly called “the will” in the very significant German phrase, “*Er verlangte von ihr, sie sollte ihm zu Willen sein*” (He desired her to comply with his wishes). As the most distinct expression of the will, then, this act is the kernel, the compendium, the quintessence of the world. Therefore from it we obtain light as to the nature and tendency of the world: it is the answer to the riddle. Accordingly it is understood under “the tree of knowledge,” for after acquaintance with it the eyes of every one are opened as to life, as Byron also says:

“The tree of knowledge has been plucked, — all’s known.”

— *Don Juan*, i. 128.

It is not less in keeping with this quality that it is the great ἀπρητον, the open secret, which must never and nowhere be distinctly mentioned, but always and everywhere is understood as the principal matter, and is therefore constantly present to the thoughts of all, wherefore also the slightest allusion to it is instantly understood. The leading part which that act, and what is connected with it, plays in the world, because love intrigues are everywhere, on the one hand, pursued, and, on the other hand, assumed,

is quite in keeping with the importance of this *punctum saliens* of the egg of the world. The source of the amusing is simply the constant concealment of the chief concern.

But see now how the young, innocent, human intellect, when that great secret of the world first becomes known to it, is startled at the enormity! The reason of this is that in the long course which the originally unconscious will had to traverse before it rose to intellect, especially to human, rational intellect, it became so strange to itself that it no longer knows its origin, that *pœnitenda origo*, and now, from the standpoint of pure, and therefore innocent, knowing, is horrified at it.

Since now the focus of the will, *i.e.*, its concentration and highest expression, is the sexual impulse and its satisfaction, this is very significantly and naïvely expressed in the symbolical language of nature through the fact that the individualised will, that is, the man and the brute, makes its entrance into the world through the door of the sexual organs.

The assertion of the will to live, which accordingly has its centre in the act of generation, is in the case of the brute infallible. For the will, which is the *natura naturans*, first arrives at reflection in man. To arrive at reflection means, not merely to know the momentary necessity of the individual will, how to serve it in the pressing present — as is the case with the brute, in proportion to its completeness and its necessities, which go hand in hand — but to have attained a greater breadth of knowledge, by virtue of a distinct remembrance of the past, an approximate anticipation of the future, and thereby a general survey of the individual life, both one's own life and that of others, nay, of existence in general. Really the life of every species of brute, through the thousands of years of its existence, is to a certain extent like a single moment; for it is mere consciousness of the present, without that of the past and the future, and consequently without that of death. In this sense it is to be regarded as a permanent moment, a *Nunc stans*. Here we see, in passing, most distinctly that in general the form of life, or the manifestation of the will with consciousness, is primarily and immediately merely the present. Past and future are added only in the case of man, and indeed merely in conception, are known *in abstracto*, and perhaps illustrated by pictures of the imagination. Thus after the will to live, *i.e.*, the inner being of nature, in the ceaseless striving towards complete objectification and complete enjoyment, has run through the whole series of the brutes, — which often occurs in the various periods of successive

animal series each arising anew on the same planet, — it arrives at last at reflection in the being who is endowed with reason, man. Here now to him the thing begins to be doubtful, the question forces itself upon him whence and wherefore all this is, and chiefly whether the care and misery of his life and effort is really repaid by the gain? “*Le jeu vaut-il bien la chandelle?*” Accordingly here is the point at which, in the light of distinct knowledge, he decides for the assertion or denial of the will to live; although as a rule he can only bring the latter to consciousness in a mythical form. We have consequently no ground for assuming that a still more highly developed objectification of the will is ever reached, anywhere; for it has already reached its turning-point here.

## Chapter XLVI.<sup>41</sup> On The Vanity And Suffering Of Life.

Awakened to life out of the night of unconsciousness, the will finds itself an individual, in an endless and boundless world, among innumerable individuals, all striving, suffering, erring; and as if through a troubled dream it hurries back to its old unconsciousness. Yet till then its desires are limitless, its claims inexhaustible, and every satisfied desire gives rise to a new one. No possible satisfaction in the world could suffice to still its longings, set a goal to its infinite cravings, and fill the bottomless abyss of its heart. Then let one consider what as a rule are the satisfactions of any kind that a man obtains. For the most part nothing more than the bare maintenance of this existence itself, extorted day by day with unceasing trouble and constant care in the conflict with want, and with death in prospect. Everything in life shows that earthly happiness is destined to be frustrated or recognised as an illusion. The grounds of this lie deep in the nature of things. Accordingly the life of most men is troubled and short. Those who are comparatively happy are so, for the most part, only apparently, or else, like men of long life, they are the rare exceptions, a possibility of which there had to be, — as decoy-birds. Life presents itself as a continual deception in small things as in great. If it has promised, it does not keep its word, unless to show how little worth desiring were the things desired: thus we are deluded now by hope, now by what was hoped for. If it has given, it did so in order to take. The enchantment of distance shows us paradises which vanish like optical illusions when we have allowed ourselves to be mocked by them. Happiness accordingly always lies in the future, or else in the past, and the present may be compared to a small dark cloud which the wind drives over the sunny plain: before and behind it all is bright, only it itself always casts a shadow. The present is therefore always insufficient; but the future is uncertain, and the past irrevocable. Life with its hourly, daily, weekly, yearly, little, greater, and great misfortunes, with its deluded hopes and its accidents destroying all our calculations, bears so distinctly the impression of something with which we must become disgusted, that it is hard to conceive how one has been able to mistake this and allow oneself to be persuaded that life is there in order to be thankfully enjoyed, and that man exists in order to be happy. Rather that continual illusion and disillusion, and also the nature of life

throughout, presents itself to us as intended and calculated to awaken the conviction that nothing at all is worth our striving, our efforts and struggles, that all good things are vanity, the world in all its ends bankrupt, and life a business which does not cover its expenses; — so that our will may turn away from it.

The way in which this vanity of all objects of the will makes itself known and comprehensible to the intellect which is rooted in the individual, is primarily *time*. It is the form by means of which that vanity of things appears as their perishableness; for on account of this all our pleasures and joys disappear in our hands, and we afterwards ask astonished where they have remained. That nothingness itself is therefore the only *objective* element in time, *i.e.*, that which corresponds to it in the inner nature of things, thus that of which it is the expression. Just on this account time is the *a priori* necessary form of all our perceptions; in it everything must present itself, even we ourselves. Accordingly, first of all, our life is like a payment which one receives in nothing but copper pence, and yet must then give a discharge for: the copper pence are the days; the discharge is death. For at last time makes known the judgment of nature concerning the work of all the beings which appear in it, in that it destroys them: —

“And rightly so, for all that arises  
Is worthy only of being destroyed.  
Hence were it better that nothing arose.”

Thus old age and death, to which every life necessarily hurries on, are the sentence of condemnation on the will to live, coming from the hands of nature itself, and which declares that this will is an effort which frustrates itself. “What thou hast wished,” it says, “ends thus: desire something better.” Hence the instruction which his life affords to every one consists, as a whole, in this, that the objects of his desires continually delude, waver, and fall, and accordingly bring more misery than joy, till at last the whole foundation upon which they all stand gives way, in that his life itself is destroyed and so he receives the last proof that all his striving and wishing was a perversity, a false path: —

“Then old age and experience, hand in hand,  
Lead him to death, and make him understand,  
After a search so painful and so long,  
That all his life he has been in the wrong.”

We shall, however, enter into the details of the matter, for it is in these views that I have met with most contradiction. First of all, I have to confirm by the following remarks the proof given in the text of the negative nature of all satisfaction, thus of all pleasure and all happiness, in opposition to the positive nature of pain.

We feel pain, but not painlessness; we feel care, but not the absence of care; fear, but not security. We feel the wish as we feel hunger and thirst; but as soon as it has been fulfilled, it is like the mouthful that has been taken, which ceases to exist for our feeling the moment it is swallowed. Pleasures and joys we miss painfully whenever they are wanting; but pains, even when they cease after having long been present, are not directly missed, but at the most are intentionally thought of by means of reflection. For only pain and want can be felt positively, and therefore announce themselves; well-being, on the other hand, is merely negative. Therefore we do not become conscious of the three greatest blessings of life, health, youth, and freedom, so long as we possess them, but only after we have lost them; for they also are negations. We only observe that days of our life were happy after they have given place to unhappy ones. In proportion as pleasures increase, the susceptibility for them decreases: what is customary is no longer felt as a pleasure. Just in this way, however, is the susceptibility for suffering increased, for the loss of what we are accustomed to is painfully felt. Thus the measure of what is necessary increases through possession, and thereby the capacity for feeling pain. The hours pass the quicker the more agreeably they are spent, and the slower the more painfully they are spent; because pain, not pleasure, is the positive, the presence of which makes itself felt. In the same way we become conscious of time when we are bored, not when we are diverted. Both these cases prove that our existence is most happy when we perceive it least, from which it follows that it would be better not to have it. Great and lively joy can only be conceived as the consequence of great misery, which has preceded it; for nothing can be added to a state of permanent satisfaction but some amusement, or the satisfaction of vanity. Hence all poets are obliged to bring their heroes into anxious and painful situations, so that they may be able to free them from them. Dramas and Epics accordingly always describe only fighting, suffering, tormented men; and every romance is a rareeshow in which we observe the spasms and convulsions of the agonised human heart. Walter Scott has naïvely expressed this æsthetic necessity in

the conclusion to his novel, "Old Mortality." Voltaire, who was so highly favoured both by nature and fortune, says, in entire agreement with the truth proved by me: "*Le bonheur n'est qu'un rêve, et la douleur est réelle.*" And he adds: "*Il y a quatre-vingts ans que je l'éprouve. Je n'y sais autre chose que me résigner, et me dire que les mouches sont nées pour être mangées par les araignées, et les hommes pour être dévorés par les chagrins.*"

Before so confidently affirming that life is a blessing worth desiring or giving thanks for, let one compare calmly the sum of the possible pleasures which a man can enjoy in his life with the sum of the possible sorrows which may come to him in his life. I believe the balance will not be hard to strike. At bottom, however, it is quite superfluous to dispute whether there is more good or evil in the world: for the mere existence of evil decides the matter. For the evil can never be annulled, and consequently can never be balanced by the good which may exist along with it or after it.

"*Mille piacer' non vagliono un tormento.*" — Petr.

(A thousand pleasures are not worth one torment.)

For that a thousand had lived in happiness and pleasure would never do away with the anguish and death-agony of a single one; and just as little does my present well-being undo my past suffering. If, therefore, the evils in the world were a hundred times less than is the case, yet their mere existence would be sufficient to establish a truth which may be expressed in different ways, though always somewhat indirectly, the truth that we have not to rejoice but rather to mourn at the existence of the world; — that its non-existence would be preferable to its existence; — that it is something which at bottom ought not to be, &c., &c. Very beautiful is Byron's expression of this truth: —

"*Our life is a false nature,— 'tis not in  
The harmony of things, this hard decree,  
This uneradicable taint of sin,  
This boundless Upas, this all-blasting tree  
Whose root is earth, whose leaves and branches be  
The skies, which rain their plagues on men like dew —  
Disease, death, bondage — all the woes we see —  
And worse, the woes we see not — which throb through  
The immedicable soul, with heart-aches ever new.*"

If the world and life were an end in themselves, and accordingly required theoretically no justification and practically no indemnification or



compensation, but existed, for instance, as Spinoza and the Spinozists of the present day represent it, as the single manifestation of a God, who, *animi causa*, or else in order to mirror himself, undertook such an evolution of himself; and hence its existence neither required to be justified by reasons nor redeemed by results; — then the sufferings and miseries of life would not indeed have to be fully equalled by the pleasures and well-being in it; for this, as has been said, is impossible, because my present pain is never abolished by future joys, for the latter fill their time as the former fills its time: but there would have to be absolutely no suffering, and death also would either have not to be, or else to have no terrors for us. Only thus would life pay for itself.

But since now our state is rather something which had better not be, everything about us bears the trace of this, — just as in hell everything smells of sulphur — for everything is always imperfect and illusory, everything agreeable is displaced by something disagreeable, every enjoyment is only a half one, every pleasure introduces its own disturbance, every relief new difficulties, every aid of our daily and hourly need leaves us each moment in the lurch and denies its service, the step upon which we place our foot so often gives way under us, nay, misfortunes great and small are the element of our life; and, in a word, we are like Phineus, whose food was all tainted and made uneatable by the harpies.<sup>42</sup> Two remedies for this are tried: first, *εὐλαβεία*, *i.e.*, prudence, foresight, cunning; it does not fully instruct us, is insufficient, and leads to defeat. Secondly, the stoical equanimity which seeks to arm us against all misfortunes by preparedness for everything and contempt of all: practically it becomes cynical renunciation, which prefers once for all to reject all means of relief and all alleviations — it reduces us to the position of dogs, like Diogenes in his tub. The truth is, we ought to be wretched, and we are so. The chief source of the serious evils which affect men is man himself: *homo homini lupus*. Whoever keeps this last fact clearly in view beholds the world as a hell, which surpasses that of Dante in this respect, that one man must be the devil of another. For this, one is certainly more fitted than another; an arch-fiend, indeed, more fitted than all others, appearing in the form of a conqueror, who places several hundred thousand men opposite each other, and says to them: “To suffer and die is your destiny; now shoot each other with guns and cannons,” and they do so.

In general, however, the conduct of men towards each other is characterised as a rule by injustice, extreme unfairness, hardness, nay, cruelty: an opposite course of conduct appears only as an exception. Upon this depends the necessity of the State and legislation, and upon none of your false pretences. But in all cases which do not lie within the reach of the law, that regardlessness of his like, peculiar to man, shows itself at once; a regardlessness which springs from his boundless egoism, and sometimes also from wickedness. How man deals with man is shown, for example, by negro slavery, the final end of which is sugar and coffee. But we do not need to go so far: at the age of five years to enter a cotton-spinning or other factory, and from that time forth to sit there daily, first ten, then twelve, and ultimately fourteen hours, performing the same mechanical labour, is to purchase dearly the satisfaction of drawing breath. But this is the fate of millions, and that of millions more is analogous to it.

We others, however, can be made perfectly miserable by trifling misfortunes; perfectly happy, not by the world. Whatever one may say, the happiest moment of the happy man is the moment of his falling asleep, and the unhappiest moment of the unhappy that of his awaking. An indirect but certain proof of the fact that men feel themselves unhappy, and consequently are so, is also abundantly afforded by the fearful envy which dwells in us all, and which in all relations of life, on the occasion of any superiority, of whatever kind it may be, is excited, and cannot contain its poison. Because they feel themselves unhappy, men cannot endure the sight of one whom they imagine happy; he who for the moment feels himself happy would like to make all around him happy also, and says:

*“Que tout le monde ici soit heureux de ma joie.”*

If life were in itself a blessing to be prized, and decidedly to be preferred to non-existence, the exit from it would not need to be guarded by such fearful sentinels as death and its terrors. But who would continue in life as it is if death were less terrible? And again, who could even endure the thought of death if life were a pleasure! But thus the former has still always this good, that it is the end of life, and we console ourselves with regard to the suffering of life with death, and with regard to death with the suffering of life. The truth is, that the two inseparably belong to each other, for together they constitute a deviation from the right path, to return to which is as difficult as it is desirable.

If the world were not something which, expressed *practically*, ought not to be, it would also not be *theoretically* a problem; but its existence would either require no explanation, inasmuch as it would be so entirely self-evident that wonder concerning it or a question about it could arise in no mind, or its end would present itself unmistakably. Instead of this, however, it is indeed an insoluble problem; for even the most perfect philosophy will yet always contain an unexplained element, like an insoluble deposit or the remainder which the irrational relation of two quantities always leaves over. Therefore if one ventures to raise the question why there is not rather nothing than this world, the world cannot be justified from itself, no ground, no final cause of its existence can be found in itself, it cannot be shown that it exists for its own sake, *i.e.*, for its own advantage. In accordance with my teaching, this can certainly be explained from the fact that the principle of its existence is expressly one which is without ground, a blind will to live, which as thing in itself cannot be made subject to the principle of sufficient reason, which is merely the form of the phenomenon, and through which alone every why is justified. But this also agrees with the nature of the world, for only a blind will, no seeing will, could place itself in the position in which we behold ourselves. A seeing will would rather have soon made the calculation that the business did not cover the cost, for such a mighty effort and struggle with the straining of all the powers, under constant care, anxiety, and want, and with the inevitable destruction of every individual life, finds no compensation in the ephemeral existence itself, which is so obtained, and which passes into nothing in our hands. Hence, then, the explanation of the world from the Anaxagorean *νοῦς*, *i.e.*, from a will accompanied by *knowledge*, necessarily demands optimism to excuse it, which accordingly is set up and maintained in spite of the loudly crying evidence of a whole world full of misery. Life is there given out to be a gift, while it is evident that every one would have declined such a gift if he could have seen it and tested it beforehand; just as Lessing admired the understanding of his son, who, because he had absolutely declined to enter life, had to be forcibly brought into it with the forceps, but was scarcely there when he hurried away from it again. On the other hand, it is then well said that life should be, from one end to the other, only a lesson; to which, however, any one might reply: "For this very reason I wish I had been left in the peace of the all-sufficient nothing, where I would have had no need of lessons or of anything else." If indeed it should now be added that he must

one day give an account of every hour of his life, he would be more justified in himself demanding an account of why he had been transferred from that rest into such a questionable, dark, anxious, and painful situation. To this, then, we are led by false views. For human existence, far from bearing the character of a *gift*, has entirely the character of a *debt* that has been contracted. The calling in of this debt appears in the form of the pressing wants, tormenting desires, and endless misery established through this existence. As a rule, the whole lifetime is devoted to the paying off of this debt; but this only meets the interest. The payment of the capital takes place through death. And when was this debt contracted? At the begetting.

Accordingly, if we regard man as a being whose existence is a punishment and an expiation, we then view him in a right light. The myth of the fall (although probably, like the whole of Judaism, borrowed from the Zend-Avesta: Bundahish, 15), is the only point in the Old Testament to which I can ascribe metaphysical, although only allegorical, truth; indeed it is this alone that reconciles me to the Old Testament. Our existence resembles nothing so much as the consequence of a false step and a guilty desire. New Testament Christianity, the ethical spirit of which is that of Brahmanism and Buddhism, and is therefore very foreign to the otherwise optimistic spirit of the Old Testament, has also, very wisely, linked itself on precisely to that myth: indeed, without this it would have found no point of connection with Judaism at all. If any one desires to measure the degree of guilt with which our existence is tainted, then let him look at the suffering that is connected with it. Every great pain, whether bodily or mental, declares what we deserve: for it could not come to us if we did not deserve it. That Christianity also regards our existence in this light is shown by a passage in Luther's Commentary on Galatians, chap. 3, which I only have beside me in Latin: "*Sumus autem nos omnes corporibus et rebus subjecti Diabolo, et hospites sumus in mundo, cujus ipse princeps et Deus est. Ideo panis, quem edimus, potus, quem bibimus, vestes, quibus utimur, imo aër et totum quo vivimus in carne, sub ipsius imperio est.*" An outcry has been made about the melancholy and disconsolate nature of my philosophy; yet it lies merely in the fact that instead of inventing a future hell as the equivalent of sin, I show that where guilt lies in the world there is also already something akin to hell; but whoever is inclined to deny this can easily experience it.

And to this world, to this scene of tormented and agonised beings, who only continue to exist by devouring each other, in which, therefore, every ravenous beast is the living grave of thousands of others, and its self-maintenance is a chain of painful deaths; and in which the capacity for feeling pain increases with knowledge, and therefore reaches its highest degree in man, a degree which is the higher the more intelligent the man is; to this world it has been sought to apply the system of optimism, and demonstrate to us that it is the best of all possible worlds. The absurdity is glaring. But an optimist bids me open my eyes and look at the world, how beautiful it is in the sunshine, with its mountains and valleys, streams, plants, animals, &c. &c. Is the world, then, a rareeshow? These things are certainly beautiful to *look at*, but to *be* them is something quite different. Then comes a teleologist, and praises to me the wise arrangement by virtue of which it is taken care that the planets do not run their heads together, that land and sea do not get mixed into a pulp, but are held so beautifully apart, also that everything is neither rigid with continual frost nor roasted with heat; in the same way, that in consequence of the obliquity of the ecliptic there is no eternal spring, in which nothing could attain to ripeness, &c. &c. But this and all like it are mere *conditiones sine quibus non*. If in general there is to be a world at all, if its planets are to exist at least as long as the light of a distant fixed star requires to reach them, and are not, like Lessing's son, to depart again immediately after birth, then certainly it must not be so clumsily constructed that its very framework threatens to fall to pieces. But if one goes on to the results of this applauded work, considers the players who act upon the stage which is so durably constructed, and now sees how with sensibility pain appears, and increases in proportion as the sensibility develops to intelligence, and then how, keeping pace with this, desire and suffering come out ever more strongly, and increase till at last human life affords no other material than this for tragedies and comedies, then whoever is honest will scarcely be disposed to set up hallelujahs. David Hume, in his "Natural History of Religion," §§ 6, 7, 8, and 13, has also exposed, mercilessly but with convincing truth, the real though concealed source of these last. He also explains clearly in the tenth and eleventh books of his "Dialogues on Natural Religion," with very pertinent arguments, which are yet of quite a different kind from mine, the miserable nature of this world and the untenableness of all optimism; in doing which he attacks this in its origin. Both works of Hume's are as well

worth reading as they are unknown at the present day in Germany, where, on the other hand, incredible pleasure is found, patriotically, in the most disgusting nonsense of home-bred boastful mediocrities, who are proclaimed great men. Hamann, however, translated these dialogues; Kant went through the translation, and late in life wished to induce Hamann's son to publish them because the translation of Platner did not satisfy him (see Kant's biography by F. W. Schubert, pp. 81 and 165). From every page of David Hume there is more to be learned than from the collected philosophical works of Hegel, Herbart, and Schleiermacher together.

The founder of systematic optimism, again, is Leibnitz whose philosophical merit I have no intention of denying although I have never succeeded in thinking myself into the monadology, pre-established harmony, and *identitas indiscernibilium*. His "*Nouveaux essays sur l'entendement*" are, however, merely an excerpt, with a full yet weak criticism, with a view to correction, of Locke's work which is justly of world-wide reputation. He here opposes Locke with just as little success as he opposes Newton in the "*Tentamen de motuum cœlestium causis*," directed against the system of gravitation. The "Critique of Pure Reason" is specially directed against this Leibnitz-Wolfian philosophy, and has a polemical, nay, a destructive relation to it, just as it is related to Locke and Hume as a continuation and further construction. That at the present day the professors of philosophy are on all sides engaged in setting Leibnitz, with his juggling, upon his legs again, nay, in glorifying him, and, on the other hand, in depreciating and setting aside Kant as much as possible, has its sufficient reason in the *primum vivere*; the "Critique of Pure Reason" does not admit of one giving out Judaistic mythology as philosophy, nor of one speaking, without ceremony, of the "soul" as a given reality, a well-known and well-accredited person, without giving account of how one arrived at this conception, and what justification one has for using it scientifically. But *primum vivere, deinde philosophari!* Down with Kant, *vivat* our Leibnitz! To return, then, to Leibnitz, I cannot ascribe to the *Théodicée*, as a methodical and broad unfolding of optimism, any other merit than this, that it gave occasion later for the immortal "*Candide*" of the great Voltaire; whereby certainly Leibnitz's often-repeated and lame excuse for the evil of the world, that the bad sometimes brings about the good, received a confirmation which was unexpected by him. Even by the name of his hero Voltaire indicates that it only requires sincerity to recognise the opposite of

optimism. Really upon this scene of sin, suffering, and death optimism makes such an extraordinary figure that one would be forced to regard it as irony if one had not a sufficient explanation of its origin in the secret source of it (insincere flattery, with insulting confidence in its success), which, as was mentioned above, is so delightfully disclosed by Hume.

But indeed to the palpably sophistical proofs of Leibnitz that this is the best of all possible worlds, we may seriously and honestly oppose the proof that it is the worst of all possible worlds. For possible means, not what one may construct in imagination, but what can actually exist and continue. Now this world is so arranged as to be able to maintain itself with great difficulty; but if it were a little worse, it could no longer maintain itself. Consequently a worse world, since it could not continue to exist, is absolutely impossible: thus this world itself is the worst of all possible worlds. For not only if the planets were to run their heads together, but even if any one of the actually appearing perturbations of their course, instead of being gradually balanced by others, continued to increase, the world would soon reach its end. Astronomers know upon what accidental circumstances — principally the irrational relation to each other of the periods of revolution — this depends, and have carefully calculated that it will always go on well; consequently the world also can continue and go on. We will hope that, although Newton was of an opposite opinion, they have not miscalculated, and consequently that the mechanical perpetual motion realised in such a planetary system will not also, like the rest, ultimately come to a standstill. Again, under the firm crust of the planet dwell the powerful forces of nature which, as soon as some accident affords them free play, must necessarily destroy that crust, with everything living upon it, as has already taken place at least three times upon our planet, and will probably take place oftener still. The earthquake of Lisbon, the earthquake of Haiti, the destruction of Pompeii, are only small, playful hints of what is possible. A small alteration of the atmosphere, which cannot even be chemically proved, causes cholera, yellow fever, black death, &c., which carry off millions of men; a somewhat greater alteration would extinguish all life. A very moderate increase of heat would dry up all the rivers and springs. The brutes have received just barely so much in the way of organs and powers as enables them to procure with the greatest exertion sustenance for their own lives and food for their offspring; therefore if a brute loses a limb, or even the full use of one, it must generally perish. Even of the

human race, powerful as are the weapons it possesses in understanding and reason, nine-tenths live in constant conflict with want, always balancing themselves with difficulty and effort upon the brink of destruction. Thus throughout, as for the continuance of the whole, so also for that of each individual being the conditions are barely and scantily given, but nothing over. The individual life is a ceaseless battle for existence itself; while at every step destruction threatens it. Just because this threat is so often fulfilled provision had to be made, by means of the enormous excess of the germs, that the destruction of the individuals should not involve that of the species, for which alone nature really cares. The world is therefore as bad as it possibly can be if it is to continue to be at all. *Q. E. D.* The fossils of the entirely different kinds of animal species which formerly inhabited the planet afford us, as a proof of our calculation, the records of worlds the continuance of which was no longer possible, and which consequently were somewhat worse than the worst of possible worlds.

Optimism is at bottom the unmerited self-praise of the real originator of the world, the will to live, which views itself complacently in its works; and accordingly it is not only a false, but also a pernicious doctrine. For it presents life to us as a desirable condition, and the happiness of man as the end of it. Starting from this, every one then believes that he has the most just claim to happiness and pleasure; and if, as is wont to happen, these do not fall to his lot, then he believes that he is wronged, nay, that he loses the end of his existence; while it is far more correct to regard work, privation, misery, and suffering, crowned by death, as the end of our life (as Brahmanism and Buddhism, and also genuine Christianity do); for it is these which lead to the denial of the will to live. In the New Testament the world is represented as a valley of tears, life as a process of purifying or refining, and the symbol of Christianity is an instrument of torture. Therefore, when Leibnitz, Shaftesbury, Bolingbroke, and Pope brought forward optimism, the general offence which it gave depended principally upon the fact that optimism is irreconcilable with Christianity; as Voltaire states and explains in the preface to his excellent poem, "*Le désastre de Lisbonne*," which is also expressly directed against optimism. This great man, whom I so gladly praise, in opposition to the abuse of venal German ink-slingers, is placed decidedly higher than Rousseau by the insight to which he attained in three respects, and which prove the greater depth of his thinking: (1) the recognition of the preponderating magnitude of the evil



and misery of existence with which he is deeply penetrated; (2) that of the strict necessity of the acts of will; (3) that of the truth of Locke's principle, that what thinks may also be material: while Rousseau opposes all this with declamations in his "*Profession de foi du vicaire Savoyard*," a superficial Protestant pastor's philosophy; as he also in the same spirit attacks the beautiful poem of Voltaire which has just been referred to with ill-founded, shallow, and logically false reasoning, in the interests of optimism, in his long letter to Voltaire of 18th August 1756, which is devoted simply to this purpose. Indeed, the fundamental characteristic and the *πρωτον ψευδος* of Rousseau's whole philosophy is this, that in the place of the Christian doctrine of original sin, and the original depravity of the human race, he puts an original goodness and unlimited perfectibility of it, which has only been led astray by civilisation and its consequences, and then founds upon this his optimism and humanism.

As in "*Candide*" Voltaire wages war in his facetious manner against optimism, Byron has also done so in his serious and tragic style, in his immortal masterpiece, "*Cain*," on account of which he also has been honoured with the invectives of the obscurantist, Friedrich Schlegel. If now, in conclusion, to confirm my view, I were to give what has been said by great men of all ages in this anti-optimistic spirit, there would be no end to the quotations, for almost every one of them has expressed in strong language his knowledge of the misery of this world. Thus, not to confirm, but merely to embellish this chapter, a few quotations of this kind may be given at the end of it.

First of all, let me mention here that the Greeks, far as they were from the Christian and lofty Asiatic conception of the world, and although they decidedly stood at the point of view of the assertion of the will, were yet deeply affected by the wretchedness of existence. This is shown even by the invention of tragedy, which belongs to them. Another proof of it is afforded us by the custom of the Thracians, which is first mentioned by Herodotus, though often referred to afterwards — the custom of welcoming the new-born child with lamentations, and recounting all the evils which now lie before it; and, on the other hand, burying the dead with mirth and jesting, because they are no longer exposed to so many and great sufferings. In a beautiful poem preserved for us by Plutarch (*De audiend. poët. in fine*) this runs thus: —

“Τον φυντα θρηνειν, εις ὃς’ ερχεται κακα

Τὸν δ' αὖ θανόντα καὶ πόνων πεπαυμένον  
Χαίροντας εὐφημούντας ἐκπεμπεῖν δομῶν.”

*(Lugere genitum, tanta qui intrarit mala:*

*At morte si quis finiisset miserias,*

*Hunc laude amicos atque lætitia exsequi.)*

It is not to be attributed to historical relationship, but to the moral identity of the matter, that the Mexicans welcomed the new-born child with the words, “My child, thou art born to endure; therefore endure, suffer, and keep silence.” And, following the same feeling, Swift (as Walter Scott relates in his *Life of Swift*) early adopted the custom of keeping his birthday not as a time of joy but of sadness, and of reading on that day the passage of the Bible in which Job laments and curses the day on which it was said in the house of his father a man-child is born.

Well known and too long for quotation is the passage in the “Apology of Socrates,” in which Plato makes this wisest of mortals say that death, even if it deprives us of consciousness for ever, would be a wonderful gain, for a deep, dreamless sleep every day is to be preferred even to the happiest life.

A saying of Heraclitus runs: “Τῷ οὖν βίῳ ὄνομα μὲν βίος, ἔργον δὲ θάνατος.” (*Vitæ nomen quidem est vita, opus autem mors. Etymologicum magnum, voce Βίος*; also *Eustath. ad Iliad.*, i. p. 31.)

The beautiful lines of the “Theogony” are famous: —

“Ἀρχὴν μὲν μὴ φῦναι ἐπιχθονίοισιν ἀρίστον,

Μηδ' εἰσιδεῖν αὐγὰς ὀξέος ἡέλιου;

Φῦντα δ' ὅπως ὠκίστα πύλας Αἴδαο περῆσαι,

Καὶ κείσθαι πολλὴν γῆν ἐπαμῆσαμένον.”

*(Optima sors homini natum non esse, nec unquam.*

*Adspexisse diem, flammiferumque jubar:*

*Alteram jam genitum demitti protinus Orco,*

*Et pressum multa mergere corpus humo.)*

Sophocles, in “*Œdipus Colonus*” (1225), has the following abbreviation of the same: —

“Μὴ φῦναι τὸν ἅπαντα νικᾷ

λόγον; τὸ δ' ἐπεὶ φανῇ,

βῆναι κείθεν, ὅθεν περ ἤκει,

πολὺ δευτερόν, ὥς ταχίστα.”

*(Natum non esse sortes vincit alias omnes: proxima autem est, ubi quis in lucem editus fuerit, eodem redire, unde venit, quam ocissime.)*

Euripides says: —

“Πας δ’ οδυνηρος βιος ανθρωπων,  
Κ’ ουκ εστι πονων αναπauσις.”

*(Omnis hominum vita est plena dolore,  
Nec datur laborum remissio.)*

— Hippol, 189.

And Homer already said: —

“Ου μεν γαρ τι εστιν οϊζυρωτερον ανδρος  
Παντων, ὅσσα δε γαιαν επι πνεει τε και ἔρπει.”

*(Non enim quidquam alicubi est calamitosius homine  
Omnium, quotquot super terram spirantque et moventur.)*

— II. xvii. 446.

Even Pliny says: “*Quapropter hoc primum quisque in remediis animi sui habeat, ex omnibus bonis, quæ homini natura tribuit, nullum melius esse tempestiva morte*” (*Hist. Nat.* 28, 2).

Shakspeare puts the words in the mouth of the old king Henry IV.: —

“O heaven! that one might read the book of fate,  
And see the revolution of the times,  
... how chances mock,  
And changes fill the cup of alteration  
With divers liquors! O, if this were seen,  
The happiest youth, — viewing his progress through,  
What perils past, what crosses to ensue, —  
Would shut the book, and sit him down and die.”

Finally, Byron: —

“Count o’er the joys thine hours have seen,  
Count o’er thy days from anguish free,  
And know, whatever thou hast been,  
’Tis something better not to be.”

Baltazar Gracian also brings the misery of our existence before our eyes in the darkest colours in the “*Criticon*,” Parte i., Crisi 5, just at the beginning, and Crisi 7 at the end, where he explicitly represents life as a tragic farce.

Yet no one has so thoroughly and exhaustively handled this subject as, in our own day, Leopardi. He is entirely filled and penetrated by it: his theme is everywhere the mockery and wretchedness of this existence; he presents it upon every page of his works, yet in such a multiplicity of forms and applications, with such wealth of imagery that he never wearies us, but, on the contrary, is throughout entertaining and exciting.

## Chapter XLVII.<sup>43</sup> On Ethics.

Here is the great gap which occurs in these supplements, on account of the circumstance that I have already dealt with moral philosophy in the narrower sense in the two prize essays published under the title, “*Die Grundprobleme der Ethik*,” an acquaintance with which is assumed, as I have said, in order to avoid useless repetition. Therefore there only remains for me here a small gleaning of isolated reflections which could not be discussed in that work, the contents of which were, in the main, prescribed by the Academies; least of all those reflections which demand a higher point of view than that which is common to all, and which I was there obliged to adhere to. Accordingly it will not surprise the reader to find these reflections here in a very fragmentary collection. This collection again has been continued in the eighth and ninth chapters of the second volume of the *Parerga*.

That moral investigations are incomparably more difficult than physical, and in general than any others, results from the fact that they are almost immediately concerned with the thing in itself, namely, with that manifestation of it in which, directly discovered by the light of knowledge, it reveals its nature as *will*. Physical truths, on the other hand, remain entirely in the province of the idea, *i.e.*, of the phenomenon, and merely show how the lowest manifestations of the will present themselves in the idea in conformity to law. Further, the consideration of the world from the *physical* side, however far and successfully it may be pursued, is in its results without any consolation for us: on the *moral* side alone is consolation to be found; for here the depths of our own inner nature disclose themselves to the consideration.

But my philosophy is the only one which confers upon ethics its complete and whole rights; for only if the true nature of man is his own *will*, and consequently he is, in the strictest sense, his own work, are his deeds really entirely his and to be ascribed to him. On the other hand, whenever he has another origin, or is the work of a being different from himself, all his guilt falls back upon this origin, or originator. For *operari sequitur esse*.

To connect the force which produces the phenomenon of the world, and consequently determines its nature, with the morality of the disposition or character, and thus to establish a *moral* order of the world as the foundation

of the *physical*, — this has been since Socrates the problem of philosophy. Theism solved it in a childish manner, which could not satisfy mature humanity. Therefore pantheism opposed itself to it whenever it ventured to do so, and showed that nature bears in itself the power by virtue of which it appears. With this, however, ethics had necessarily to be given up. Spinoza, indeed, attempts here and there to preserve it by means of sophistry, but for the most part gives it up altogether, and, with a boldness which excites astonishment and repugnance, explains the distinction between right and wrong, and in general between good and evil, as merely conventional, thus in itself empty (for example, *Eth.* iv., prop. 37, schol. 2). After having met with unmerited neglect for more than a hundred years, Spinoza has, in general, become too much esteemed in this century through the reaction caused by the swing of the pendulum of opinion. All pantheism must ultimately be overthrown by the inevitable demands of ethics, and then by the evil and suffering of the world. If the world is a theophany, then all that man, or even the brute, does is equally divine and excellent; nothing can be censurable, and nothing more praiseworthy than the rest: thus there is no ethics. Hence, in consequence of the revived Spinozism of our own day, thus of pantheism, the treatment of ethics has sunk so low and become so shallow that it has been made a mere instruction as to the proper life of a citizen and a member of a family, in which the ultimate end of human existence is supposed to consist: thus in methodical, complete, smug, and comfortable philistinism. Pantheism, indeed, has only led to such shallow vulgarisms through the fact that (by a shameful misuse of the *e quovis ligno fit Mercurius*) a common mind, Hegel, has, by the well-known means, been falsely stamped as a great philosopher, and a herd of his disciples, at first suborned, afterwards only stupid, received his weighty words. Such outrages on the human mind do not remain unpunished: the seed has sprouted. In the same spirit it was then asserted that ethics should have for its material not the conduct of individuals, but that of nations, that this alone was a theme worthy of it. Nothing can be more perverse than this view, which rests on the most vulgar realism. For in every individual appears the whole undivided will to live, the thing in itself, and the microcosm is like the macrocosm. The masses have no more content than each individual. Ethics is concerned not with actions and their results, but with willing, and willing itself takes place only in the individual. Not the fate of nations, which exists only in the phenomenon, but that of the individual is decided

*morally*. Nations are really mere abstractions; individuals alone actually exist. Thus, then, is pantheism related to ethics. But the evil and misery of the world are not in accord even with theism; hence it sought assistance from all kinds of evasions, theodicies, which yet were irretrievably overthrown by the arguments of Hume and Voltaire. Pantheism, however, is completely untenable in the presence of that bad side of the world. Only when the world is regarded entirely from without and from the *physical* side alone, and nothing else is kept in view but the constant restorative order, and the comparative imperishableness of the whole which is thereby introduced, is it perhaps possible to explain it as a god, yet always only symbolically. But if one enters within, thus considers also the *subjective* and *moral* side, with its preponderance of want, suffering, and misery, of dissension, wickedness, madness, and perversity, then one soon becomes conscious with horror that the last thing imaginable one has before one is a theophany. I, however, have shown, and especially in my work "*Ueber den Willen in der Natur*" have proved, that the force which works and acts in nature is identical with the will in us. Thereby the moral order of the world is brought into direct connection with the force which produces the phenomenon of the world. For the phenomenon of the will must exactly correspond to its nature. Upon this depends the exposition of eternal justice given in §§ 63 and 64 of the first volume, and the world, although subsisting by its own power, receives throughout a *moral* tendency. Accordingly the problem which has been discussed from the time of Socrates is now for the first time really solved, and the demand of thinking reason directed to morality is satisfied. Yet I have never professed to propound a philosophy which leaves no questions unanswered. In this sense philosophy is really impossible: it would be the science of omniscience. But *est quadam prodire tenus, si non datur ultra*: there is a limit to which reflection can penetrate and can so far lighten the night of our existence, although the horizon always remains dark. My doctrine reaches this limit in the will to live, which in its own manifestation asserts or denies itself. To wish, however, to go beyond this is, in my eyes, like wishing to fly beyond the atmosphere. We must stop there; even although new problems arise out of those which have been solved. Besides this, however, we must refer to the fact that the validity of the principle of sufficient reason is limited to the phenomenon; this was the theme of my first essay on that principle, which was published as early as 1813.

I now go on to supplement particular points, and shall begin by supporting, with two passages from classical poetry, my explanation of weeping given in § 67 of the first volume, that it springs from sympathy the object of which is one's own self. At the end of the eighth book of the "Odyssey," Ulysses, who in all his many sorrows is never represented as weeping, bursts into tears, when, still unknown, he hears his early heroic life and deeds sung by the bard Demodocus in the palace of the Phæacian king, for this remembrance of the brilliant period of his life contrasts with his present wretchedness. Thus not this itself directly, but the objective consideration of it, the picture of his present summoned up by his past, calls forth his tears; he feels sympathy with himself. Euripides makes the innocently condemned Hypolytus, bemoaning his own fate, express the same feeling:

“Φευ εἰθ’ ἣν εμαυτον προσβλεπειν εναντιον  
στανθ’, ὡς εδακρυσ’, ὅια πασχομεν κακα” (1084).

(*Heu, si liceret mihi, me ipsum extrinsecus spectare, quantopere deflerem mala, quæ patior.*)

Finally, as a proof of my explanation, an anecdote may be given here which I take from the English journal *The Herald* of the 16th July 1836. A client, when he had heard his case set forth by his counsel in court, burst into a flood of tears, and cried, “I never knew I had suffered half so much till I heard it here to-day.”

I have shown in § 55 of the first volume how, notwithstanding the unalterable nature of the character, *i.e.*, of the special fundamental will of a man, a real moral repentance is yet possible. I wish, however, to add the following explanation, which I must preface by a few definitions. *Inclination* is every strong susceptibility of the will for motives of a certain kind. *Passion* is an inclination so strong that the motives which excite it exercise a power over the will, which is stronger than that of every possible motive that can oppose them; thus its mastery over the will becomes absolute, and consequently with reference to it the will is *passive* or *suffering*. It must, however, be remarked here that passions seldom reach the degree at which they fully answer to the definition, but rather bear their name as mere approximations to it: therefore there are then still counter-motives which are able at least to restrict their effect, if only they appear distinctly in consciousness. The *emotion* is just as irresistible, but yet only a passing excitement of the will, by a motive which receives its power, not



from a deeply rooted inclination, but merely from the fact that, appearing suddenly, it excludes for the moment the counter-effect of all other motives, for it consists of an idea, which completely obscures all others by its excessive vividness, or, as it were, conceals them entirely by its too close proximity, so that they cannot enter consciousness and act on the will, whereby, therefore, the capacity for reflection, and with it *intellectual freedom*, is to a certain extent abolished. Accordingly the emotion is related to the passion as delirium to madness.

Moral repentance is now conditioned by the fact that before the act the inclination to it did not leave the intellect free scope, because it did not allow it to contemplate clearly and fully the counter-motives, but rather turned it ever anew to the motives in its own favour. But now, after the act has been performed, these motives are, by this itself, neutralised, and consequently have become ineffective. Now reality brings before the intellect the counter-motives as the consequences of the act which have already appeared; and the intellect now knows that they would have been the stronger if it had only adequately contemplated and weighed them. Thus the man becomes conscious that he has done what was really not in accordance with his will. This knowledge is repentance, for he has not acted with full intellectual freedom; for all the motives did not attain to efficiency. What excluded the motives opposed to the action was in the case of the hasty action the emotion, and in the case of the deliberate action the passion. It has also often depended upon the circumstance that his reason certainly presented to him the counter-motives in the abstract, but was not supported by a sufficiently strong imagination to present to him their whole content and true significance in images. Examples of what has been said are the cases in which revenge, jealousy, or avarice have led to murder. After it is committed they are extinguished, and now justice, sympathy, the remembrance of former friendship, raise their voices and say all that they would have said before if they had been allowed to speak. Then enters the bitter repentance, which says, "If it were not done it would never happen." An incomparable representation of this is afforded by the old Scottish ballad, which has also been translated by Herder, "Edward, Edward." In an analogous manner, the neglect of one's own good may occasion an egotistical repentance. For example, when an otherwise unadvisable marriage is concluded in consequence of passionate love, which now is extinguished just by the marriage, and for the first time the counter-motives

of personal interest, lost independence, &c., &c., come into consciousness, and speak as they would have spoken before if they had been allowed utterance. All such actions accordingly spring from a relative weakness of intellect, because it lets itself be mastered by the will, just where its function as the presenter of motives ought to have been inexorably fulfilled, without allowing itself to be disturbed by the will. The vehemence of the will is here only *indirectly* the cause, in that it interferes with the intellect, and thereby prepares for itself repentance. The *reasonableness* of the character σωφροσύνη, which is opposed to passionateness, really consists in this, that the will never overpowers the intellect to such an extent as to prevent it from correctly exercising its function of the distinct, full, and clear exposition of the motives in the abstract for the reason, in the concrete for the imagination. Now this may just as well depend upon the moderation and mildness of the will as upon the strength of the intellect. All that is required is that the latter should be *relatively* strong enough for the will that is present, thus that the two should stand in a suitable relation to each other.

The following explanations have still to be added to the fundamental characteristics of the philosophy of law expounded in § 62 of the first volume, and also in my prize essay on the foundation of morals, § 17.

Those who, with Spinoza, deny that there is a right apart from the State, confound the means for enforcing the right with the right itself. Certainly the right is insured protection only in the State. But it itself exists independently of the State. For by force it can only be suppressed, never abolished. Accordingly the State is nothing more than an institution for protection, which has become necessary through the manifold attacks to which man is exposed, and which he would not be able to ward off alone, but only in union with others. So, then, the aims of the State are —

(1.) First of all, outward protection, which may just as well become needful against lifeless forces of nature or wild beasts as against men, consequently against other nations; although this case is the most frequent and important, for the worst enemy of man is man: *homo homini lupus*. Since, in consequence of this aim, nations always set up the principle, in words if not with deeds, that they wish to stand to each other in a purely defensive, never in an aggressive relation, they recognise *the law of nations*. This is at bottom nothing but natural law, in the only sphere of its practical activity that remains to it, between nation and nation, where it alone must

reign, because its stronger son, positive law, cannot assert itself, since it requires a judge and an executive. Accordingly the law of nations consists of a certain degree of morality in the dealings of nations with each other, the maintenance of which is a question of honour for mankind. The bar at which cases based on this law are tried is that of public opinion.

(2.) Protection within, thus protection of the members of a State against each other, consequently security of private right, by means of the maintenance of an honest state of things, which consists in this, that the concentrated forces of all protect each individual, from which arises an appearance as if all were honest, *i.e.*, just, thus as if no one wished to injure the others.

But, as is always the way in human affairs, the removal of one evil generally opens the way for a new one; thus the granting of that double protection introduces the need of a third, namely: (3.) Protection against the protector, *i.e.*, against him or those to whom the society has transferred the management of the protection, thus the guarantee of public right. This appears most completely attainable by dividing and separating from each other the threefold unity of the protective power, thus the legislature, the judicature, and the executive, so that each is managed by others, and independently of the rest. The great value, indeed the fundamental idea of the monarchy appears to me to lie in the fact that because men remain men one must be placed so high, and so much power, wealth, security, and absolute inviolability given him that there remains nothing for him to desire, to hope, and to fear for himself; whereby the egoism which dwells in him, as in every one, is annihilated, as it were, by neutralisation, and he is now able, as if he were no longer a man, to practise justice, and to keep in view no longer his own but only the public good. This is the source of the seemingly superhuman nature that everywhere accompanies royalty, and distinguishes it so infinitely from the mere presidency. Therefore it must also be hereditary, not elective; partly in order that no one may see his equal in the king; partly that the king himself may only be able to provide for his successors by caring for the welfare of the State, which is absolutely one with that of his family.

If other ends besides that of protection, here explained, are ascribed to the State, this may easily endanger the true end.

According to my explanation, the right of property arises only through the expenditure of labour upon things. This truth, which has already often been expressed, finds a noteworthy confirmation in the fact that it is asserted, even in a practical regard, in a declaration of the American ex-president, Quincey Adams, which is to be found in the *Quarterly Review* of 1840, No. 130; and also in French, in the “*Bibliothèque universelle de Genève*,” July 1840, No. 55. I will give it here in German (English of *Quarterly Review*): “There are moralists who have questioned the right of the Europeans to intrude upon the possessions of the aborigines in any case, and under any limitations whatsoever; but have they maturely considered the whole subject? The Indian right of possession itself stands, with regard to the greatest part of the country, upon a *questionable* foundation. Their cultivated fields, their constructed habitations, a space of ample sufficiency for their subsistence, and whatever they had annexed of themselves by personal labour, was undoubtedly by the laws of nature theirs. But what is the right of a huntsman to the forest of a thousand miles over which he has accidentally ranged in quest of prey?” &c. In the same way, those who in our own day have seen occasion to combat communism with reasons (for example, the Archbishop of Paris, in his pastoral of June 1851) have always brought forward the argument that property is the result of work, as it were only embodied work. This is further evidence that the right of property can only be established by the application of work to things, for only in this respect does it find free recognition and make itself morally valid.

An entirely different kind of proof of the same truth is afforded by the moral fact that while the law punishes poaching just as severely as theft, and in many countries more severely, yet civil honour, which is irrevocably lost by the latter, is really not affected by the former; but the poacher, if he has been guilty of nothing else, is certainly tainted with a fault, but yet is not regarded, like the thief, as dishonourable and shunned by all. For the principles of civil honour rest upon moral and not upon mere positive law; but game is not an object upon which labour is bestowed, and thus also is not an object of a morally valid possession: the right to it is therefore entirely a positive one, and is not morally recognised.

According to my view, the principle ought to lie at the basis of criminal law that it is not really the man but only the deed which is punished, in order that it may not recur. The criminal is merely the subject in whom the

deed is punished, in order that the law in consequence of which the punishment is inflicted may retain its deterrent power. This is the meaning of the expression, "He is forfeited to the law." According to Kant's explanation, which amounts to a *jus talionis*, it is not the deed but the man that is punished. The penitentiary system also seeks not so much to punish the deed as the man, in order to reform him. It thereby sets aside the real aim of punishment, determent from the deed, in order to attain the very problematic end of reformation. But it is always a doubtful thing to attempt to attain two different ends by *one* means: how much more so if the two are in any sense opposite ends. Education is a benefit, punishment ought to be an evil; the penitentiary prison is supposed to accomplish both at once. Moreover, however large a share untutored ignorance, combined with outward distress, may have in many crimes, yet we dare not regard these as their principal cause, for innumerable persons living in the same ignorance and under absolutely similar circumstances commit no crimes. Thus the substance of the matter falls back upon the personal, moral character; but this, as I have shown in my prize essay on the freedom of the will, is absolutely unalterable. Therefore moral reformation is really not possible, but only determent from the deed through fear. At the same time, the correction of knowledge and the awakening of the desire to work can certainly be attained; it will appear what effect this can produce. Besides this, it appears to me, from the aim of punishment set forth in the text, that, when possible, the apparent severity of the punishment should exceed the actual: but solitary confinement achieves the reverse. Its great severity has no witnesses, and is by no means anticipated by any one who has not experienced it; thus it does not deter. It threatens him who is tempted to crime by want and misery with the opposite pole of human suffering, ennui: but, as Goethe rightly observes —

"When real affliction is our lot,  
Then do we long for ennui."

The contemplation of it will deter him just as little as the sight of the palatial prisons which are built by honest men for rogues. If, however, it is desired that these penitentiary prisons should be regarded as educational institutions, then it is to be regretted that the entrance to them is only obtained by crimes, instead of which it ought to have preceded them.

That punishment, as Beccaria has taught, ought to bear a proper proportion to the crime does not depend upon the fact that it would be an

expiation of it, but rather on the fact that the pledge ought to be proportionate to the value of that for which it answers. Therefore every one is justified in demanding the pledge of the life of another as a guarantee for the security of his own life, but not for the security of his property, for which the freedom, and so forth, of another is sufficient pledge. For the security of the life of the citizens capital punishment is therefore absolutely necessary. Those who wish to abolish it should be answered, "First remove murder from the world, and then capital punishment ought to follow." It ought also to be inflicted for the clear attempt to murder just as for murder itself; for the law desires to punish the deed, not to revenge its consequences. In general the injury to be guarded against affords the right measure for the punishment which is to be threatened, but it does not give the moral baseness of the forbidden action. Therefore the law may rightly impose the punishment of imprisonment for allowing a flower-pot to fall from a window, or impose hard labour for smoking in the woods during the summer, and yet permit it in the winter. But to impose the punishment of death, as in Poland, for shooting an ure-ox is too much, for the maintenance of the species of ure-oxen may not be purchased with human life. In determining the measure of the punishment, along with the magnitude of the injury to be guarded against, we have to consider the strength of the motives which impel to the forbidden action. Quite a different standard of punishment would be established if expiation, retribution, *jus talionis*, were its true ground. But the criminal code ought to be nothing but a register of counter-motives for possible criminal actions: therefore each of these motives must decidedly outweigh the motives which lead to these actions, and indeed so much the more the greater the evil is which would arise from the action to be guarded against, the stronger the temptation to it, and the more difficult the conviction of the criminal; — always under the correct assumption that the will is not free, but determinable by motives; — apart from this it could not be got at at all. So much for the philosophy of law.

In my prize essay on the freedom of the will (p. 50 *seq.*) I have proved the originality and unalterableness of the inborn character, from which the moral content of the course of life proceeds. It is established as a fact. But in order to understand problems in their full extent it is sometimes necessary to oppose opposites sharply to each other. In this case, then, let one recall how incredibly great is the inborn difference between man and man, in a moral and in an intellectual regard. Here nobleness and wisdom;

there wickedness and stupidity. In one the goodness of the heart shines out of the eyes, or the stamp of genius is enthroned in his countenance. The base physiognomy of another is the impression of moral worthlessness and intellectual dulness, imprinted by the hands of nature itself, unmistakable and ineradicable; he looks as if he must be ashamed of existence. But to this outward appearance the inner being really corresponds. We cannot possibly assume that such differences, which transform the whole being of the man, and which nothing can abolish, which, further, in conflict with his circumstances, determine his course of life, could exist without guilt or merit on the part of those affected by them, and be merely the work of chance. Even from this it is evident that the man must be in a certain sense his own work. But now, on the other hand, we can show the source of these differences empirically in the nature of the parents; and besides this, the meeting and connection of these parents has clearly been the work of the most accidental circumstances. By such considerations, then, we are forcibly directed to the distinction between the phenomenon and the true being of things, which alone can contain the solution of that problem. The thing in itself only reveals itself by means of the forms of the phenomenon; therefore what proceeds from the thing in itself must yet appear in those forms, thus also in the bonds of causality. Accordingly it will present itself to us here as a mysterious and incomprehensible guidance of things, of which the external empirical connection would be the mere tool. Yet all that happens appears in this empirical connection introduced by causes, thus necessarily and determined from without, while its true ground lies in the inner nature of what thus manifests itself. Certainly we can here see the solution of the problem only from afar, and when we reflect upon it we fall into an abyss of thought — as Hamlet very truly says, “thoughts beyond the reaches of our souls.” In my essay in the first volume of the *Parerga* “On the Appearance of Intention in the Fate of Individuals” I have set forth my thoughts upon this mysterious guidance of things, a guidance which indeed can only be conceived symbolically.

In § 14 of my prize essay on the foundation of morals there will be found an exposition of egoism, as regards its nature; and the following attempt to discover its root may be looked upon as supplementary to that paragraph. Nature itself contradicts itself directly, according as it speaks from the individual or the universal, from within or from without, from the centre or the periphery. It has its centre in every individual; for each individual is the

whole will to live. Therefore, even if this individual is only an insect or a worm, nature itself speaks out of it thus: "I alone am all in all: in my maintenance everything is involved; the rest may perish, it is really nothing." So speaks nature from the *particular* standpoint, thus from the point of view of self-consciousness, and upon this depends the egoism of every living thing. On the other hand, from the *universal* point of view, — which is that of the *consciousness of other things*, that of objective knowledge, which for the moment looks away from the individual with whom the knowledge is connected, — from without then, from the periphery nature speaks thus: "The individual is nothing, and less than nothing. I destroy millions of individuals every day, for sport and pastime: I abandon their fate to the most capricious and wilful of my children, chance, who harasses them at pleasure. I produce millions of new individuals every day, without any diminution of my productive power; just as little as the power of a mirror is exhausted by the number of reflections of the sun, which it casts on the wall one after another. The individual is nothing." Only he who knows how to really reconcile and eliminate this patent contradiction of nature has a true answer to the question as to the perishableness and imperishableness of his own self. I believe I have given, in the first four chapters of this fourth book of the supplements, an adequate introduction to such knowledge. What is said above may further be illustrated in the following manner. Every individual, when he looks within, recognises in his nature, which is his will, the thing in itself, therefore that which everywhere alone is real. Accordingly he conceives himself as the kernel and centre of the world, and regards himself as of infinite importance. If, on the other hand, he looks without, then he is in the province of the idea the mere phenomenon, where he sees himself as an individual among an infinite number of other individuals, accordingly as something very insignificant, nay, vanishing altogether. Consequently every individual, even the most insignificant, every I, when regarded from within, is all in all; regarded from without, on the other hand, he is nothing, or at least as good as nothing. Hence upon this depends the great difference between what each one necessarily is in his own eyes and what he is in the eyes of others, consequently the egoism with which every one reproaches every one else.

In consequence of this egoism our fundamental error of all is this, that with reference to each other we are reciprocally not I. On the other hand, to



be just, noble, and benevolent is nothing else than to translate my metaphysics into actions. To say that time and space are mere forms of our knowledge, not conditions of things in themselves, is the same as to say that the doctrine of metempsychosis, "Thou shalt one day be born as him whom thou now injurest, and in thy turn shalt suffer like injury," is identical with the formula of the Brahmans, which has frequently been mentioned, *Tat twam asi*, "This thou art." All true virtue proceeds from the immediate and intuitive knowledge of the metaphysical identity of all beings, which I have frequently shown, especially in § 22 of my prize essay on the foundation of morals. But just on this account it is not the result of a special pre-eminence of intellect; on the contrary, even the weakest intellect is sufficient to see through the *principium individuationis*, which is what is required in this matter. Accordingly we may find the most excellent character even in the case of a very weak understanding. And further, the excitement of our sympathy is accompanied by no exertion of our intellect. It rather appears that the requisite penetration of the *principium individuationis* would be present in every one if it were not that the *will* opposes this, and by virtue of its immediate mysterious and despotic influence upon the intellect generally prevents it from arising; so that ultimately all guilt falls back upon the *will*, as indeed is in conformity with the fact.

The doctrine of metempsychosis, touched on above, deviates from the truth merely through the circumstance that it transfers to the future what already is now. It makes my true inner nature exist in others only after my death, while, according to the truth, it already lives in them now, and death merely removes the illusion on account of which I am not aware of this; just as an innumerable host of stars constantly shine above our heads, but only become visible to us when the one sun near the earth has set. From this point of view my individual existence, however much, like that sun, it may outshine everything, appears ultimately only as a hindrance which stands between me and the knowledge of the true extent of my being. And because every individual, in his knowledge, is subject to this hindrance, it is just individuation that keeps the will to live in error as to its own nature; it is the *Mâyâ* of Brahmanism. Death is a refutation of this error, and abolishes it. I believe that at the moment of death we become conscious that it is a mere illusion that has limited our existence to our person. Indeed empirical traces of this may be found in several states which are related to death by the abolition of the concentration of consciousness in the brain, among which

the magnetic sleep is the most prominent; for in it, if it reaches a high degree, our existence shows itself through various symptoms, beyond our persons and in other beings, most strikingly by direct participation in the thoughts of another individual, and ultimately even by the power of knowing the absent, the distant, and even the future, thus by a kind of omnipresence.

Upon this metaphysical identity of the will, as the thing in itself, in the infinite multiplicity of its phenomena, three principal phenomena depend, which may be included under the common name of sympathies: (1) *sympathy proper*, which, as I have shown, is the basis of justice and benevolence, *caritas*; (2) *sexual love*, with capricious selection, *amor*, which is the life of the species, that asserts its precedence over that of the individual; (3) *magic*, to which animal magnetism and sympathetic cures also belong. Accordingly *sympathy* may be defined as the empirical appearance of the metaphysical identity of the will, through the physical multiplicity of its phenomena, whereby a connection shows itself which is entirely different from that brought about by means of the forms of the phenomenon which we comprehend under the principle of sufficient reason.

## Chapter XLVIII.<sup>44</sup> On The Doctrine Of The Denial Of The Will To Live.

Man has his existence and being either *with* his will, *i.e.*, his consent, or *without* this; in the latter case an existence so embittered by manifold and insupportable sufferings would be a flagrant injustice. The ancients, especially the Stoics, also the Peripatetics and Academics, strove in vain to prove that virtue sufficed to make life happy. Experience cried out loudly against it. What really lay at the foundation of the efforts of these philosophers, although they were not distinctly conscious of it, was the assumed *justice* of the thing; whoever was without guilt ought to be free from suffering, thus happy. But the serious and profound solution of the problem lies in the Christian doctrine that works do not justify. Accordingly a man, even if he has practised all justice and benevolence, consequently the *αγαθον*, *honestum*, is yet not, as Cicero imagines, *culpa omni carens* (*Tusc.*, v. i.); but *el delito mayor del hombre es haber nacido* (the greatest guilt of man is that he was born), as Calderon, illuminated by Christianity, has expressed it with far profounder knowledge than these wise men. Therefore that man comes into the world already tainted with guilt can appear absurd only to him who regards him as just then having arisen out of nothing and as the work of another. In consequence of *this* guilt, then, which must therefore have proceeded from his will, man remains rightly exposed to physical and mental suffering, even if he has practised all those virtues, thus is not happy. This follows from the *eternal justice* of which I have spoken in § 63 of the first volume. That, however, as St. Paul (Rom. iii. 21), Augustine, and Luther teach, works cannot justify, inasmuch as we all are and remain essentially sinners, ultimately rests upon the fact that, because *operari sequitur esse*, if we acted as we ought, we would necessarily be as we ought. But then we would require no *salvation* from our present condition, which not only Christianity but also Brahmanism and Buddhism (under the name which is expressed in English by *final emancipation*) present as the highest goal, *i.e.*, we would not need to become something quite different from, nay, the very opposite of what we are. Since, however, we are what we ought not to be, we also necessarily do what we ought not to do. Therefore we need a complete transformation of

our mind and nature; *i.e.*, the new birth, as the result of which salvation appears. Although the guilt lies in action, *operari*, yet the root of the guilt lies in our *essentia et existentia*, for out of these the *operari* necessarily proceeds, as I have shown in the prize essay on the freedom of the will. Accordingly our one true sin is really original sin. Now the Christian myth makes original sin first arise after man came into existence, and for this purpose ascribes to him, *per impossibile*, a free will. It does this, however, simply as myth. The inmost kernel and spirit of Christianity is identical with that of Brahmanism and Buddhism; they all teach a great guilt of the human race through its existence itself, only that Christianity does not proceed directly and frankly like these more ancient religions: thus does not make the guilt simply the result of existence itself, but makes it arise through the act of the first human pair. This was only possible under the fiction of a *liberum arbitrium indifferentiæ*, and only necessary on account of the Jewish fundamental dogma, in which that doctrine had here to be implanted. Because, according to the truth, the coming into existence of man himself is the act of his free will, and accordingly one with the fall, and therefore the original sin, of which all other sins are the result, appeared already with the *essentia* and *existentia* of man; but the fundamental dogma of Judaism did not admit of such an explanation. Thus Augustine taught, in his books *De libero arbitrio*, that only as Adam before the fall was man guiltless and possessed of a free will, but for ever after is involved in the necessity of sin. The law, ὁ νόμος, in the Biblical sense, always demands that we shall change our doing, while our being remains unchanged. But because this is impossible, Paul says that no man is justified by the law; only the new birth in Jesus Christ, in consequence of the work of grace, on account of which a new man arises and the old man is abolished (*i.e.*, a fundamental change of mind or conversion), can transfer us from the state of sinfulness into that of freedom and salvation. This is the Christian myth with reference to ethics. But certainly the Jewish theism, upon which it was grafted, must have received wonderful additions to adapt itself to that myth. In it the fable of the fall presented the only place for the graft of the old Indian stem. It is to be attributed just to that forcibly surmounted difficulty that the Christian mysteries have received such an extraordinary appearance, conflicting with the ordinary understanding, which makes proselytising more difficult, and on account of which, from incapacity to comprehend their profound meaning, Pelagianism, or at the present day

Rationalism, rises against them, and seeks to explain them away, but thereby reduces Christianity to Judaism.

But to speak without myth: so long as our will is the same, our world can be no other than it is. It is true all wish to be delivered from the state of suffering and death; they would like, as it is expressed, to attain to eternal blessedness, to enter the kingdom of heaven, only not upon their own feet; they would like to be carried there by the course of nature. That, however, is impossible. Therefore nature will never let us fall and become nothing; but yet it can lead us nowhere but always again into nature. Yet how questionable a thing it is to exist as a part of nature every one experiences in his own life and death. Accordingly existence is certainly to be regarded as an erring, to return from which is salvation: it also bears this character throughout. It is therefore conceived in this manner by the ancient Samana religions, and also, although indirectly, by real and original Christianity. Even Judaism itself contains at least in the fall (this its redeeming feature) the germ of such a view. Only Greek paganism and Islamism are entirely optimistic: therefore in the former the opposite tendency had to find expression at least in tragedy; but in Islamism, which is the worst, as it is the most modern, of all religions, it appeared as Sufism, that very beautiful phenomenon, which is completely of Indian spirit and origin, and has now continued for upwards of a thousand years. Nothing can, in fact, be given as the end of our existence but the knowledge that we had better not be. This, however, is the most important of all truths, which must therefore be expressed, however great the contrast in which it stands with the European manner of thought of the present day. On the other hand, in the whole of non-Mohammedan Asia it is the most universally recognised fundamental truth, to-day as much as three thousand years ago.

If now we consider the will to live as a whole and objectively, we have, in accordance with what has been said, to think of it as involved in an illusion, to escape from which, thus to deny its whole existing endeavour, is what all religions denote by self-renunciation, *abnegatio sui ipsius*; for the true self is the will to live. The moral virtues, thus justice and benevolence, since if they are pure they spring, as I have shown, from the fact that the will to live, seeing through the *principium individuationis*, recognises itself in all its manifestations, are accordingly primarily a sign, a symptom, that the self-manifesting will is no longer firmly held in that illusion, but the disillusion already begins to take place; so that one might metaphorically

say it already flaps its wings to fly away from it. Conversely, injustice, wickedness, cruelty are signs of the opposite, thus of the deep entanglement in that illusion. Secondly, however, these virtues are a means of advancing self-renunciation, and accordingly the denial of the will to live. For true integrity, inviolable justice, this first and most important of cardinal virtues, is so hard a task that whoever professes it unconditionally and from the bottom of his heart has to make sacrifices that soon deprive life of the sweetness which is demanded to make it enjoyable, and thereby turn away the will from it, thus lead to resignation. Yet just what makes integrity honourable is the sacrifices which it costs; in trifles it is not admired. Its nature really consists in this, that the just man does not throw upon others, by craft or force, the burdens and sorrows which life brings with it, as the unjust man does, but bears himself what falls to his lot; and thus he has to bear the full burden of the evil imposed upon human life, undiminished. Justice thereby becomes a means of advancing the denial of the will to live, for want and suffering, those true conditions of human life, are its consequence, and these lead to resignation. Still more quickly does the virtue of benevolence, *caritas*, which goes further, lead to the same result; for on account of it one takes over even the sufferings which originally fell to the lot of others, therefore appropriates to oneself a larger share of these than in the course of things would come to the particular individual. He who is inspired with this virtue has recognised his own being in all others. And thereby he identifies his own lot with that of humanity in general; but this is a hard lot, that of care, suffering, and death. Whoever, then, by renouncing every accidental advantage, desires for himself no other lot than that of humanity in general cannot desire even this long. The clinging to life and its pleasures must now soon yield, and give place to a universal renunciation; consequently the denial of the will will take place. Since now, in accordance with this, poverty, privation, and special sufferings of many kinds are introduced simply by the perfect exercise of the moral virtues, asceticism in the narrowest sense, thus the surrender of all possessions, the intentional seeking out of what is disagreeable and repulsive, self-mortification, fasts, the hair shirt, and the scourge — all this is rejected by many, and perhaps rightly, as superfluous. Justice itself is the hair shirt that constantly harasses its owner and the charity that gives away what is needed, provides constant fasts.<sup>45</sup> Just on this account Buddhism is free from all strict and excessive asceticism, which plays a large part in Brahmanism, thus from intentional

self-mortification. It rests satisfied with the celibacy, voluntary poverty, humility, and obedience of the monks, with abstention from animal food, as also from all worldliness. Since, further, the goal to which the moral virtues lead is that which is here pointed out, the Vedanta philosophy<sup>46</sup> rightly says that after the entrance of true knowledge, with entire resignation in its train, thus the new birth, then the morality or immorality of the past life is a matter of indifference, and uses here also the saying so often quoted by the Brahmans: “*Finditur nodus cordis, dissolvuntur omnes dubitationes, ejusque opera evanescent, viso supremo illo*” (Sancara, sloca 32).

Now, however objectionable this view may be to many, to whom a reward in heaven or a punishment in hell is a much more satisfactory explanation of the ethical significance of human action, just as the good Windischmann rejects that doctrine, while he expounds it, yet whoever is able to go to the bottom of the matter will find that in the end it agrees with that Christian doctrine especially urged by Luther, that it is not works but only the faith which enters through the work of grace, that saves us, and that therefore we can never be justified by our deeds, but can only obtain the forgiveness of our sins through the merits of the Mediator. It is indeed easy to see that without such assumptions Christianity would have to teach infinite punishment for all, and Brahmanism endless re-births for all, thus no salvation would be reached by either. The sinful works and their consequences must be annulled and annihilated, whether by extraneous pardon or by the entrance of a better knowledge; otherwise the world could hope for no salvation; afterwards, however, they become a matter of indifference. This is also the μετάνοια και ἀφεσις ἁμαρτιῶν, the announcement of which the risen Christ exclusively imposes upon His Apostles as the sum of their mission (Luke xxiv. 47). The moral virtues are really not the ultimate end, but only a step towards it. This step is signified in the Christian myth by the eating of the tree of the knowledge of good and evil, with which moral responsibility enters, together with original sin. The latter itself is in truth the assertion of the will to live: the denial of the will to live, in consequence of the appearance of a better knowledge, is, on the other hand, salvation. Between these two, then, lies the sphere of morality; it accompanies man as a light upon his path from the assertion to the denial of the will, or, mythically, from original sin to salvation through faith in the mediation of the incarnate God (Avatar); or, according to the teaching of the

Vedas, through all re-births, which are the consequence of the works in each case, until right knowledge appears, and with it salvation (final emancipation), Mokscha, *i.e.*, reunion with Brahma. The Buddhists, however, with perfect honesty, only indicate the matter negatively, by Nirvana, which is the negation of this world, or of Sansara. If Nirvana is defined as nothing, this only means that the Sansara contains no single element which could assist the definition or construction of Nirvana. Just on this account the Jainas, who differ from the Buddhists only in name, call the Brahmans who believe in the Vedas Sabdapramans, a nickname which is meant to signify that they believe upon hearsay what cannot be known or proved (“Asiat. Researches,” vol. vi. P. 474).

When certain ancient philosophers, such as Orpheus, the Pythagoreans, and Plato (*e.g.*, in the “Phædo,” pp. 151, 183 *seq.*, Bip.; and see *Clem. Alex. strom.*, iii. p. 400 *seq.*), just like the Apostle Paul, lament the union of soul and body, and desire to be freed from it, we understand the real and true meaning of this complaint, since we have recognised, in the second book, that the body is the will itself, objectively perceived as a phenomenon in space.

In the hour of death it is decided whether the man returns into the womb of nature or belongs no more to nature at all, but ——— : for this opposite we lack image, conception, and word, just because these are all taken from the objectification of the will, therefore belong to this, and consequently can in no way express the absolute opposite of it, which accordingly remains for us a mere negation. However, the death of the individual is in each case the unweariedly repeated question of nature to the will to live, “Hast thou enough? Wilt thou escape from me?” In order that it may occur often enough, the individual life is so short. In this spirit are conceived the ceremonies, prayers, and exhortations of the Brahmans at the time of death, as we find them preserved in the Upanischad in several places; and so also are the Christian provisions for the suitable employment of the hour of death by means of exhortation, confession, communion, and extreme unction: hence also the Christian prayers for deliverance from sudden death. That at the present day it is just this that many desire only proves that they no longer stand at the Christian point of view, which is that of the denial of the will to live, but at that of its assertion, which is the heathen point of view.



But he will fear least to become nothing in death who has recognised that he is already nothing now, and who consequently no longer takes any share in his individual phenomenon, because in him knowledge has, as it were, burnt up and consumed the will, so that no will, thus no desire for individual existence, remains in him any more.

Individuality inheres indeed primarily in the intellect; and the intellect, reflecting the phenomenon, belongs to the phenomenon, which has the *principium individuationis* as its form. But it inheres also in the will, inasmuch as the character is individual: yet the character itself is abolished in the denial of the will. Thus individuality inheres in the will only in its assertion, not in its denial. Even the holiness which is connected with every purely moral action depends upon the fact that such an action ultimately springs from the immediate knowledge of the numerical identity of the inner nature of all living things.<sup>47</sup> But this identity only really exists in the condition of the denial of the will (Nirvana), for the assertion of the will (Sansara) has for its form the phenomenal appearance of it in multiplicity. Assertion of the will to live, the phenomenal world, the diversity of all beings, individuality, egoism, hatred, wickedness, all spring from *one* root; and so also, on the other hand, do the world as thing in itself, the identity of all beings, justice, benevolence, the denial of the will to live. If now, as I have sufficiently proved, even the moral virtues spring from the consciousness of that identity of all beings, but this lies, not in the phenomenon, but only in the thing in itself, in the root of all beings, the moral action is a momentary passing through the point, the permanent return to which is the denial of the will to live.

It follows, as a deduction from what has been said, that we have no ground to assume that there are more perfect intelligences than that of human beings. For we see that even this degree of intelligence is sufficient to impart to the will that knowledge in consequence of which it denies and abolishes itself, upon which the individuality, and consequently the intelligence, which is merely a tool of individual, and therefore animal nature, perish. This will appear to us less open to objection if we consider that we cannot conceive even the most perfect intelligences possible, which for this end we may experimentally assume, existing through an endless time, which would be much too poor to afford them constantly new objects worthy of them. Because the nature of all things is at bottom one, all knowledge of them is necessarily tautological. If now this nature once

becomes comprehended, as by those most perfect intelligences it soon would be comprehended, what would then remain but the wearisomeness of mere repetition through an infinite time? Thus from this side also we are pointed to the fact that the end of all intelligence can only be reaction upon the will; since, however, all willing is an error, it remains the last work of intelligence to abolish the willing, whose ends it had hitherto served. Accordingly even the most perfect intelligence possible can only be a transition step to that to which no knowledge can ever extend: indeed such an intelligence can, in the nature of things, only assume the position of the moment of the attainment of perfect insight.

In agreement with all these considerations, and also with what is proved in the second book as to the origin of knowledge in the will, the *assertion* of which it reflects in fulfilling the sole function of knowledge, that of being serviceable to the ends of the will, while true salvation lies in its *denial*, we see all religions at their highest point pass over into mysticism and mysteries, *i.e.*, into darkness and veiled obscurity, which for knowledge signify merely an empty spot, the point where knowledge necessarily ceases; therefore for thought this can only be expressed by negations, but for sense perception it is indicated by symbolical signs; in temples by dim light and silence; in Brahmanism indeed by the required suspension of all thought and perception for the sake of sinking oneself profoundly in the grounds of one's own being, mentally pronouncing the mysterious Oum.<sup>48</sup> Mysticism in the widest sense is every guidance to the immediate consciousness of that to which neither perception nor conception, thus in general no knowledge extends. The mystic is thus opposed to the philosopher by the fact that he begins from within, while the philosopher begins from without. The mystic starts from his inner, positive, individual experience, in which he finds himself to be the eternal and only being, &c. But nothing of this is communicable except the assertions which one has to accept upon his word; consequently he cannot convince. The philosopher, on the other hand, starts from what is common to all, from the objective phenomenon which lies before all, and from the facts of consciousness as they are present in all. His method is therefore reflection upon all this, and combination of the data given in it: accordingly he can convince. He ought therefore to beware of falling into the way of the mystics, and, for example, by the assertion of intellectual intuitions or pretended immediate apprehensions of the reason, to seek to make a vain show of positive

knowledge of that which is for ever inaccessible to all knowledge, or at the most can be indicated by means of a negation. The value and worth of philosophy lies in the fact that it rejects all assumptions which cannot be established, and takes as its data only what can be certainly proved in the world given in external perception, in the forms of apprehension of this world, which are constitutive of our intellect, and in the consciousness of one's own self which is common to all. Therefore it must remain cosmology, and cannot become theology. Its theme must limit itself to the world; to express in all aspects what this *is*, what it is in its inmost nature, is all that it can honestly achieve. Now it answers to this that my system when it reaches its highest point assumes a *negative* character, thus ends with a negation. It can here speak only of what is denied, given up: but what is thereby won, what is laid hold of, it is obliged (at the conclusion of the fourth book) to denote as nothing, and can only add the consolation that it is merely a relative, not an absolute nothing. For if something is none of all the things which we know, it is certainly for us, speaking generally, nothing. But it does not yet follow from this that it is absolutely nothing, that from every possible point of view and in every possible sense it must be nothing, but only that we are limited to a completely negative knowledge of it, which may very well lie in the limitation of our point of view. Now it is just here that the mystic proceeds positively, and therefore it is just from this point that nothing but mysticism remains. However, any one who wishes this kind of supplement to the negative knowledge to which alone philosophy can guide him will find it in its most beautiful and richest form in the Oupnekhat, then also in the Enneads of Plotinus, in Scotus Erigena, in passages of Jakob Böhm, but especially in the marvellous work of Madame de Guion, *Les Torrens*, and in Angelus Silesius; finally also in the poems of the Sufis, of which Tholuk has given us a collection translated into Latin, and another translated into German, and in many other works. The Sufis are the Gnostics of Islam. Hence Sadi denotes them by a word which may be translated "full of insight." Theism, calculated with reference to the capacity of the multitude, places the source of existence without us, as an object. All mysticism, and so also Sufism, according to the various degrees of its initiation, draws it gradually back within us, as the subject, and the adept recognises at last with wonder and delight that he is it himself. This procedure, common to all mysticism, we find not only expressed by Meister Eckhard, the father of German mysticism, in the form of a precept for the

perfect ascetic, “that he seek not God outside himself” (Eckhard’s works, edited by Pfeiffer, vol. i. p. 626), but also very naïvely exhibited by Eckhard’s spiritual daughter, who sought him out, when she had experienced that conversion in herself, to cry out joyfully to him, “Sir, rejoice with me, I have become God” (*loc. cit.*, p. 465). The mysticism of the Sufis also expresses itself throughout precisely in accordance with this spirit, principally as a revelling in the consciousness that one is oneself the kernel of the world and the source of all existence, to which all returns. Certainly there also often appears the call to surrender all volition as the only way in which deliverance from individual existence and its suffering is possible, yet subordinated and required as something easy. In the mysticism of the Hindus, on the other hand, the latter side comes out much more strongly, and in Christian mysticism it is quite predominant, so that pantheistic consciousness, which is essential to all mysticism, here only appears in a secondary manner, in consequence of the surrender of all volition, as union with God. Corresponding to this difference of the conception, Mohammedan mysticism has a very serene character, Christian mysticism a gloomy and melancholy character, while that of the Hindus, standing above both, in this respect also holds the mean.

Quietism, *i.e.*, surrender of all volition, asceticism, *i.e.*, intentional mortification of one’s own will, and mysticism, *i.e.*, consciousness of the identity of one’s own nature with that of all things or with the kernel of the world, stand in the closest connection; so that whoever professes one of them is gradually led to accept the others, even against his intention. Nothing can be more surprising than the agreement with each other of the writers who present these doctrines, notwithstanding the greatest difference of their age, country, and religion, accompanied by the firm certainty and inward confidence with which they set forth the permanence of their inner experience. They do not constitute a *sect*, which adheres to, defends, and propagates a favourite dogma once laid hold of; indeed the Indian, Christian, and Mohammedan mystics, quietists, and ascetics are different in every respect, except the inner significance and spirit of their teaching. A very striking example of this is afforded by the comparison of the *Torrens* of Madame de Guion with the teaching of the Vedas, especially with the passage in the Oupnekhat, vol. i. p. 63, which contains the content of that French work in the briefest form, but accurately and even with the same images, and yet could not possibly have been known to Madame de Guion

in 1680. In the "*Deutschen Theologie*" (the only unmutilated edition, Stuttgart, 1851) it is said in chapters 2 and 3 that both the fall of the devil and that of Adam consisted in the fact that the one as the other ascribed to himself the I and me, the mine and to me, and on p. 89 it is said: "In true love there remains neither I nor me, mine, to me, thou, thine, and the like." Now, corresponding to this, it is said in the "Kural," from the Tamilian by Graul, p. 8: "The passion of the mine directed outwardly, and that of the I directed inwardly, cease" (*cf.* ver. 346). And in the "Manual of Buddhism" by Spence Hardy, p. 258, Buddha says: "My disciples reject the thoughts I am this, or this is mine." In general, if we look away from the forms which are introduced by external circumstances and go to the bottom of the matter, we will find that Sakya Muni and Meister Eckhard teach the same; only that the former dared to express his thoughts directly, while the latter is obliged to clothe them in the garments of the Christian myth and adapt his expressions to this. He carries this, however, so far that with him the Christian myth has become little more than a symbolical language, just as the Hellenic myth became for the Neo-Platonists: he takes it throughout allegorically. In the same respect it is worth noticing that the transition of St. Francis from prosperity to the mendicant life is similar to the still greater step of Buddha Sakya Muni from prince to beggar, and that, corresponding to this, the life of St. Francis, and also the order he founded, was just a kind of Sannyasiism. Indeed it deserves to be mentioned that his relationship with the Indian spirit appears also in his great love for the brutes and frequent intercourse with them, when he always calls them his sisters and brothers; and his beautiful Cantico also bears witness to his inborn Indian spirit by the praise of the sun, the moon, the stars, the wind, the water, the fire, and the earth.<sup>49</sup>

Even the Christian quietists must often have had little or no knowledge of each other; for example, Molinos and Madame de Guion of Tauler and the "*Deutsche Theologie*," or Gichtel of the former. In any case, the great difference of their culture, in that some of them, like Molinos, were learned, others, like Gichtel and many more, were the reverse, has no essential influence upon their teaching. Their great internal agreement, along with the firmness and certainty of their utterances, proves all the more that they speak from real inward experience, from an experience which certainly is not accessible to all, but is possessed only by a few favoured individuals, and therefore has received the name of the work of grace, the reality of

which, however, for the above reasons, is not to be doubted. But in order to understand all this one must read the mystics themselves, and not be contented with second-hand reports of them; for every one must himself be comprehended before one judges concerning him. Thus to become acquainted with quietism I specially recommend Meister Eckhard, the "*Deutsche Theologie*," Tauler, Madame de Guion, Antoinette Bourignon, the English Bunyan, Molinos<sup>50</sup> and Gichtel. In the same way, as practical proofs and examples of the profound seriousness of asceticism, the life of Pascal, edited by Reuchlin, together with his history of the Port-Royal, and also the *Histoire de Sainte Elisabeth, par le comte de Montalembert*, and *La vie de Rancé, par Chateaubriand*, are very well worth reading, but yet by no means exhaust all that is important in this class. Whoever has read such writings, and compared their spirit with that of ascetism and quietism as it runs through all works of Brahmanism and Buddhism, and speaks in every page, will admit that every philosophy, which must in consistency reject that whole mode of thought, which it can only do by explaining the representatives of it to be either impostors or mad-men, must just on this account necessarily be false. But all European systems, with the exception of mine, find themselves in this position. Truly it must be an extraordinary madness which, under the most widely different circumstances and persons possible, spoke with such agreement, and, moreover, was raised to the position of a chief doctrine of their religion, by the most ancient and numerous peoples of the earth, something like three-fourths of all the inhabitants of Asia. But no philosophy can leave the theme of quietism and asceticism undecided if the question is proposed to it; because this theme is, in its matter, identical with that of all metaphysics and ethics. Here then is a point upon which I expect and desire that every philosophy, with its optimism, should declare itself. And if, in the judgment of contemporaries, the paradoxical and unexampled agreement of my philosophy with quietism and asceticism appears as an open stumbling-block, I, on the contrary, see just in that agreement a proof of its sole correctness and truth, and also a ground of explanation of why it is ignored and kept secret by the *Protestant* universities.

For not only the religions of the East, but also true Christianity, has throughout that ascetic fundamental character which my philosophy explains as the denial of the will to live; although Protestantism, especially in its present form, seeks to conceal this. Yet even the open enemies of



Christianity who have appeared in the most recent times have ascribed to it the doctrines of renunciation, self-denial, perfect chastity, and, in general, mortification of the will, which they quite correctly denote by the name of the “*anti-cosmic tendency*,” and have fully proved that such doctrines are essentially proper to original and genuine Christianity. In this they are undeniably right. But that they set up this as an evident and patent reproach to Christianity, while just here lies its profoundest truth, its high value, and its sublime character, — this shows an obscuring of the mind, which can only be explained by the fact that these men’s minds, unfortunately like thousands more at the present day in Germany, are completely spoiled and distorted by the miserable Hegelism, that school of dulness, that centre of misunderstanding and ignorance, that mind-destroying, spurious wisdom, which now at last begins to be recognised as such, and the veneration of which will soon be left to the Danish Academy, in whose eyes even that gross charlatan is a *summus philosophus*, for whom it takes the field: —

“*Car ils suivront la créance et estude,  
De l’ignorante et sotte multitude,  
Dont le plus lourd sera reçu pour juge.*”

— Rabelais.

In any case, the ascetic tendency is unmistakable in the genuine and original Christianity as it developed in the writings of the Church Fathers from its kernel in the New Testament; it is the summit towards which all strives upwards. As its chief doctrine we find the recommendation of genuine and pure celibacy (this first and most important step in the denial of the will to live), which is already expressed in the New Testament.<sup>[51](#)</sup> Strauss also, in his “Life of Jesus” (vol i. p. 618 of the first edition), says, with reference to the recommendation of celibacy given in Matt. xix. 11 *seq.*, “That the doctrine of Jesus may not run counter to the ideas of the present day, men have hastened to introduce surreptitiously the thought that Jesus only praised celibacy with reference to the circumstances of the time, and in order to leave the activity of the Apostles unfettered; but there is even less indication of this in the context than in the kindred passage, 1 Cor. vii. 25 *seq.*; but we have here again one of the places where *ascetic principles*, such as prevailed among the Essenes, and probably still more widely among the Jews, appear in the teaching of Jesus also.” This ascetic tendency appears more decidedly later than at the beginning, when Christianity, still seeking adherents, dared not pitch its demands too high; and by the

beginning of the third century it is expressly urged. Marriage, in genuine Christianity, is merely a compromise with the sinful nature of man, as a concession, something allowed to those who lack strength to aspire to the highest, an expedient to avoid greater evil: in this sense it receives the sanction of the Church in order that the bond may be indissoluble. But celibacy and virginity are set up as the higher consecration of Christianity through which one enters the ranks of the elect. Through these alone does one attain the victor's crown, which even at the present day is signified by the wreath upon the coffin of the unmarried, and also by that which the bride lays aside on the day of her marriage.

A piece of evidence upon this point, which certainly comes to us from the primitive times of Christianity, is the pregnant answer of the Lord, quoted by Clemens Alexandrinus (*Strom.* iii. 6 et 9) from the Gospel of the Egyptians: “Τῇ Σαλωμῇ ὁ κυριος πυνθανομενη, μεχρι ποτε θανατος ισχυσει; μεχρις αν ειπεν, ὑμεις, αἱ γυναικες, τικτετε” (*Salomæ interroganti quousque vigebit mors? Dominus guoadlusque inguit vos, mulieres, paritis*). “Τουτ’ εστι, μεχρις αν αἱ επιθυμιαι ενεργωσι” (*Hoc est, quamdiu operabuntur cupiditates*), adds Clement, c. 9, with which he at once connects the famous passage, Rom. v. 12. Further on, c. 13, he quotes the words of Cassianus: “Πυνθανομενης της Σαλωμης, ποτε γνωσθησεται τα περι ὧν ηρετο, εφη ὁ κυριος, ‘Οταν της αισχυνς ενδυμα πατησετε, και ὅταν γενηται τα δυο ἐν, και το αρρεν μετα της θηλειας ουτε αρρεν, ουτε θηλυ” (*Cum interrogaret Salome, quando cognoscentur ea, de quibus interrogabat, ait Dominus: quando pudoris indumentum conculcaveritis, et quando duo facto fuerint unum, et masculum cum fæmina nec masculum, nec fæminium*), i.e., when she no longer needs the veil of modesty, since all distinction of sex will have disappeared.

With regard to this point the heretics have certainly gone furthest: even in the second century the Tatianites or Encratites, the Gnostics, the Marcionites, the Montanists, Valentinians, and Cassians; yet only because with reckless consistency they gave honour to the truth, and therefore, in accordance with the spirit of Christianity, they taught perfect continence; while the Church prudently declared to be heresy all that ran counter to its far-seeing policy. Augustine says of the Tatianites: “*Nuptias damnant, atque omnino pares eas fornicationibus aliisque corruptionibus faciunt: nec recipiunt in suum numerum conjugio utentem, sive marem, sive fæminam.*



*Non vescuntur carnibus, easque abominantur.” (De hæresi ad quod vult Deum. hæc., 25.)* But even the orthodox Fathers look upon marriage in the light indicated above, and zealously preach entire continence, the ἀγνεια. Athanasius gives as the cause of marriage: “Ὅτι υποπιπτοντες εσμεν τη του προπατορος καταδικη ... επειδη ὁ προηγουμενος σκοπος του θεου ην, το μη δια γαμου γενεσθαι ἡμας και φθορας; ἡ δε παραβασις της εντολης του γαμον εισηγαγεν δια το ανομησαι τον Αδαμ.” (*Quia subjacemus condemnationi propatoris nostri; ... nam finis, a Deo prælatus, erat, nos non per nuptias et corruptionem fieri: sed transgressio mandati nuptias introduxit, propter legis violationem Adæ. — Exposit. in psalm. 50*). Tertullian calls marriage *genus mali inferioris, ex indulgentia ortum* (*De pudicitia*, c. 16) and says: “*Matrimonium et stuprum est commixtio carnis; scilicet cujus concupiscentiam dominus stupro adæquavit. Ergo, inguis, jam et primas, id est unas nuptias destruis? Nec immerito: quoniam et ipsæ ex eo constant, quod est stuprum*” (*De exhort. castit.*, c. 9). Indeed, Augustine himself commits himself entirely to this doctrine and all its results, for he says: “*Novi quosdam, qui murmurent: quid, si, inquiunt, omnes velint ab omni concubitu abstinere, unde subsistet genus humanum? Utinam omnes hoc vellent! dumtaxat in caritate, de corde puro et conscientia bona, et fide non ficta: multo citius Dei civitas compleretur, ut acceleraretur terminus mundi*” (*De bono conjugali*, c. 10). And again: “*Non vos ab hoc studio, quo multos ad imitandum vos excitatis, frangat querela vanorum, qui dicunt: quomodo subsistet genus humanum, si omnes fuerint continentes? Quasi propter aliud retardetur hoc seculum, nisi ut impleatur prædestinatus numerus ille sanctorum, quo citius impleto, profecto nec terminus seculi differetur*” (*De bono individuitatis*, c. 23). One sees at once that he identifies salvation with the end of the world. The other passages in the works of Augustine which bear on this point will be found collected in the “*Confessio Augustiniana e D. Augustini operibus compilata a Hieronymo Torrense*,” 1610, under the headings *De matrimonio, De cœlibatu, &c.*, and any one may convince himself from these that in ancient, genuine Christianity marriage was only a concession, which besides this was supposed to have only the begetting of children as its end, that, on the other hand, perfect continence was the true virtue far to be preferred to this. To those, however, who do not wish to go back to the authorities themselves I recommend two works for the purpose of removing any kind of doubt as to

the tendency of Christianity we are speaking about: Carové, "*Ueber das Cölibatgesetz*," 1832, and Lind, "*De cœlibatu Christianorum per tria priora secula*," *Havniæ*, 1839. It is, however, by no means the views of these writers themselves to which I refer, for these are opposed to mine, but solely to their carefully collected accounts and quotations, which deserve full acceptance as quite trustworthy, just because both these writers are opponents of celibacy, the former a rationalistic Catholic, and the other a Protestant candidate in theology, who speaks exactly like one. In the first-named work we find, vol. i. p. 166, in that reference, the following result expressed: "In accordance with the Church view, as it may be read in canonical Church Fathers, in the Synodal and Papal instructions, and in innumerable writings of orthodox Catholics, perpetual chastity is called a divine, heavenly, angelic virtue, and the obtaining of the assistance of divine grace for this end is made dependent upon earnest prayer. We have already shown that this Augustinian doctrine is by Canisius and in the decrees of the Council of Trent expressed as an unchanging belief of the Church. That, however, it has been retained as a dogma till the present day is sufficiently established by the June number, 1831, of the magazine '*Der Katholik*.' It is said there, p. 263: 'In Catholicism the observance of a perpetual chastity, for the sake of God, appears as in itself the highest merit of man. The view that the observance of continual chastity as an end in itself sanctifies and exalts the man is, as every instructed Catholic is convinced, deeply rooted in Christianity, both as regards its spirit and its express precepts. The decrees of the Council of Trent have abolished all possible doubt on this point....' It must at any rate be confessed by every unprejudiced person, not only that the doctrine expressed by '*Der Katholik*' is really Catholic, but also that the proofs adduced may be quite irrefutable for a Catholic reason, because they are drawn so directly from the ecclesiastical view, taken by the Church, of life and its destiny." It is further said in the same work, p. 270: "Although both Paul calls the forbidding to marry a false doctrine, and the still Judaistic author of the Epistle to the Hebrews enjoins that marriage shall be held in honour by all, and the bed kept undefiled (Heb. xiii 4), yet the main tendency of these two sacred writers is not on that account to be mistaken. Virginity is for both the perfect state, marriage only a make-shift for the weak, and only as such to be held inviolable. The highest effort, on the other hand, was directed to complete, material putting off of self. The self must turn and refrain from all

that tends only to its own pleasure, and that only temporarily.” Lastly, p. 288: “We agree with the Abbé Zaccaria, who asserts that celibacy (not the law of celibacy) is before everything to be deduced from the teaching of Christ and the Apostle Paul.”

What is opposed to this specially Christian view is everywhere and always merely the Old Testament, with its *παντα καλα λιαν*. This appears with peculiar distinctness from that important third book of the *Stromata* of Clement, where, arguing against the encratic heretics mentioned above, he constantly opposes to them only Judaism, with its optimistic history of creation, with which the world-denying tendency of the New Testament is certainly in contradiction. But the connection of the New Testament with the Old is at bottom only external, accidental, and forced; and the one point at which Christian doctrine can link itself on to the latter is only to be found, as has been said, in the story of the fall, which, moreover, stands quite isolated in the Old Testament, and is made no further use of. But, in accordance with the account in the Gospels, it is just the orthodox adherents of the Old Testament who bring about the crucifixion of the founder of Christianity, because they find his teaching in conflict with their own. In the said third book of the *Stromata* of Clement the antagonism between optimism with theism on the one hand, and pessimism with ascetic morality on the other, comes out with surprising distinctness. This book is directed against the Gnostics, who just taught pessimism and asceticism, that is, *εγκρατεια* (abstinence of every kind, but especially from all sexual satisfaction); on account of which Clement censures them vigorously. But, at the same time, it becomes apparent that even the spirit of the Old Testament stands in this antagonism with that of the New Testament. For, apart from the fall, which appears in the Old Testament like a *hors d'œuvre*, the spirit of the Old Testament is diametrically opposed to that of the New Testament — the former optimistic, the latter pessimistic. Clement himself brings this contradiction out prominently at the end of the eleventh chapter (*προσαποτεινομενον τον Παυλον τω Κριστη κ.τ.λ.*), although he will not allow that it is a real contradiction, but explains it as only apparent, — like a good Jew, as he is. In general it is interesting to see how with Clement the New and the Old Testament get mixed up together; and he strives to reconcile them, yet for the most part drives out the New Testament with the Old. Just at the beginning of the third chapter he objects to the Marcionites that they find fault with the creation, after the example of Plato and

Pythagoras; for Marcion teaches that nature is bad, made out of bad materials (φυσις κακη, εκ τε ὕλης κακης); therefore one ought not to people this world, but to abstain from marriage (μη βουλομενοι τον κοσμον συμπληρουν, απεχεσθαι γαμου). Now Clement, to whom in general the Old Testament is much more congenial and convincing than the New, takes this very much amiss. He sees in it their flagrant ingratitude to and enmity and rebellion against him who has made the world, the just demiurgus, whose work they themselves are, and yet despise the use of his creatures, in impious rebellion “forsaking the natural opinion” (αντιτασσομενοι τω ποιητη τω σφων, ... εγκρατεις τη προς τον πεποιηκοτα εχθρα, μη βουλομενοι χρησθαι τοις ὑπ’ αυτου κτισθεισιν, ... ασεβει θεομαχια των κατα φυσιν εκσταντες λογισμωι). At the same time, in his holy zeal, he will not allow the Marcionites even the honour of originality, but, armed with his well-known erudition, he brings it against them, and supports his case with the most beautiful quotations, that even the ancient philosophers, that Heraclitus and Empedocles, Pythagoras and Plato, Orpheus and Pindar, Herodotus and Euripides, and also the Sibyls, lamented deeply the wretched nature of the world, thus taught pessimism. Now in this learned enthusiasm he does not observe that in this way he is just giving the Marcionites water for their mill, for he shows that

“All the wisest of all the ages”

have taught and sung what they do, but confidently and boldly he quotes the most decided and energetic utterances of the ancients in this sense. Certainly they cannot lead him astray. Wise men may mourn the sadness of existence, poets may pour out the most affecting lamentations about it, nature and experience may cry out as loudly as they will against optimism, — all this does not touch our Church Father: he holds his Jewish revelation in his hand, and remains confident. The demiurgus made the world. From this it is *a priori* certain that it is excellent, and it may look as it likes. The same thing then takes place with regard to the second point, the εγκρατεια, through which, according to his view, the Marcionites show their ingratitude towards the demiurgus (αχαρισειν τω δημιουργω) and the perversity with which they put from them all his gifts (δί αντιταξιν προς τον δημιουργον, την χρησιν των κοσμικων παραιτουμενοι). Here now the tragic poets have preceded the Encratites (to the prejudice of their originality) and have said the same things. For since they also lament the infinite misery of existence, they have added that it is better to bring no children into such a

world; which he now again supports with the most beautiful passages, and, at the same time, accuses the Pythagoreans of having renounced sexual pleasure on this ground. But all this touches him not; he sticks to his principle that all these sin against the demiurgus, in that they teach that one ought not to marry, ought not to beget children, ought not to bring new miserable beings into the world, ought not to provide new food for death (δί' εγκρατειας ασεβουσι εις τε την κτισιν και τον ἅγιον δημιουργον, τον παντοκρατορα μονον θεον, και διδασκουσι, μη δειν παραδεχεσθαι γαμον και παιδοποιϊαν, μηδε αντεισαγειν τω κοσμῳ δυστυχησοντας ἑτερους, μηδε επιχορηγειν θανατῳ τροφην — c. 6). Since the learned Church Father thus denounces εγκρατεια, he seems to have had no presentiment that just after his time the celibacy of the Christian priesthood would be more and more introduced, and finally, in the eleventh century, raised to the position of a law, because it is in keeping with the spirit of the New Testament. It is just this spirit which the Gnostics have grasped more profoundly and understood better than our Church Father, who is more Jew than Christian. The conception of the Gnostics comes out very clearly at the beginning of the ninth chapter, where the following passage is quoted from the Gospel of the Egyptians: Αυτος ειπεν ὁ Σωτηρ, “ἦλθον καταλυσαι τα εργα της θηλειας;” θηλειας μεν, της επιθυμιας; εργα δε, γενεσιν και φθοραν (*Ajunt enim dixisse Servatorem: veni ad dissolvendum opera feminae; feminae quidem, cupiditatis; opera autem, generationem et interitum*); but quite specially at the end of the thirteenth and the beginning of the fourteenth chapter. The Church certainly was obliged to consider how to set a religion upon its legs that could also walk and stand in the world as it is, and among men; therefore it declared these persons to be heretics. At the conclusion of the seventh chapter our Church Father opposes Indian asceticism, as bad, to Christian Judaism; whereby the fundamental difference of the spirit of the two religions is clearly brought out. In Judaism and Christianity everything runs back to obedience or disobedience to the command of God: ὕπακοη και παρακοη; as befits us creatures, ἡμιν, τοις πεπλασμενοις ὑπο της του Παντοκρατορος βουλησεως (*nobis, qui Omnipotentis voluntate effecti sumus*), chap. 14. Then comes, as a second duty, λατρευειν θεῳ ζωντι, to serve God, extol His works, and overflow with thankfulness. Certainly the matter has a very different aspect in Brahmanism and Buddhism, for in the latter all improvement and conversion, and the only deliverance we can hope for from this world of suffering, this Sansara, proceeds from the

knowledge of the four fundamental truths: (1) *dolor*; (2) *doloris ortus*; (3) *doloris interitus*; (4) *octopartita via ad doloris sedationem* (*Dammāpadam*, ed. Fausböll, p. 35 et 347). The explanation of these four truths will be found in Bournouf, "*Introduct. à l'hist. du Bouddhisme*," p. 629, and in all expositions of Buddhism.

In truth, Judaism, with its *παντα καλα λιαν*, is not related to Christianity as regards its spirit and ethical tendency, but Brahmanism and Buddhism are. But the spirit and ethical tendency are what is essential in a religion, not the myths in which these are clothed. I therefore cannot give up the belief that the doctrines of Christianity can in some way be derived from these primitive religions. I have pointed out some traces of this in the second volume of the *Parerga*, § 179 (second edition, § 180). I have to add to these that Epiphanius (*Hæretic.* xviii.) relates that the first Jewish Christians of Jerusalem, who called themselves Nazarenes, refrained from all animal food. On account of this origin (or, at least, this agreement) Christianity belongs to the ancient, true and sublime faith of mankind, which is opposed to the false, shallow, and injurious optimism which exhibits itself in Greek paganism, Judaism, and Islamism. The Zend religion holds to a certain extent the mean, because it has opposed to Ormuzd a pessimistic counterpoise in Ahriman. From this Zend religion the Jewish religion proceeded, as J.G. Rhode has thoroughly proved in his book, "*Die heilige Sage des Zendvolks*;" from Ormuzd has come Jehovah, and from Ahriman, Satan, who, however, plays only a very subordinate rôle in Judaism, indeed almost entirely disappears, whereby then optimism gains the upper hand, and there only remains the myth of the fall as a pessimistic element, which certainly (as the fable of Meschia and Meschiane) is derived from the Zend-Avesta. Yet even this falls into oblivion, till it is again taken up by Christianity along with Satan. Ormuzd himself, however, is derived from Brahmanism, although from a lower region of it; he is no other than Indra, that subordinate god of the firmament and the atmosphere, who is represented as frequently in rivalry with men. This has been very clearly shown by J.J. Schmidt in his work on the relation of the Gnostic-theosophic doctrines to the religions of the East. This Indra-Ormuzd-Jehovah had afterwards to pass over into Christianity, because this religion arose in Judæa. But on account of the cosmopolitan character of Christianity he laid aside his own name to be denoted in the language of each converted nation by the appellation of the superhuman beings he supplanted, as, *Δεος*, *Deus*,

which comes from the Sanscrit *Deva* (from which also devil comes), or among the Gothico-Germanic peoples by the word God, *Gott*, which comes from *Odin*, *Wodan*, *Guodan*, *Godan*. In the same way he assumed in Islamism, which also sprang from Judaism, the name of Allah, which also existed earlier in Arabia. Analogous to this, the gods of the Greek Olympus, when in prehistoric times they were transplanted to Italy, also assumed the names of the previously reigning gods: hence among the Romans Zeus is called Jupiter, Hera Juno, Hermes Mercury, &c. In China the first difficulty of the missionaries arose from the fact that the Chinese language has no appellation of the kind and also no word for creating; for the three religions of China know no gods either in the plural or in the singular.<sup>52</sup>

However the rest may be, that *παντα κατα λιαν* of the Old Testament is really foreign to true Christianity; for in the New Testament the world is always spoken of as something to which one does not belong, which one does not love, nay, whose lord is the devil.<sup>53</sup> This agrees with the ascetic spirit of the denial of one's self and the overcoming of the world which, just like the boundless love of one's neighbour, even of one's enemy, is the fundamental characteristic which Christianity has in common with Brahmanism and Buddhism, and which proves their relationship. There is nothing in which one has to distinguish the kernel so carefully from the shell as in Christianity. Just because I prize this kernel highly I sometimes treat the shell with little ceremony; it is, however, thicker than is generally supposed. Protestantism, since it has eliminated asceticism and its central point, the meritoriousness of celibacy, has already given up the inmost kernel of Christianity, and so far is to be regarded as a falling away from it. This has become apparent in our own day by the gradual transition of Protestantism into shallow rationalism, this modern Pelagianism, which ultimately degenerates into the doctrine of a loving father, who has made the world, in order that things may go on very pleasantly in it (in which case, then, he must certainly have failed), and who, if one only conforms to his will in certain respects, will also afterwards provide a still more beautiful world (with regard to which it is only a pity that it has such a fatal entrance). That may be a good religion for comfortable, married, and enlightened Protestant pastors; but it is no Christianity. Christianity is the doctrine of the deep guilt of the human race through its existence alone, and the longing of the heart for deliverance from it, which, however, can only be attained by the greatest sacrifices and by the denial of one's own self,

thus by an entire reversal of human nature. Luther may have been perfectly right from the practical point of view, *i.e.*, with reference to the Church scandal of his time, which he wished to remove, but not so from the theoretical point of view. The more sublime a doctrine is, the more it is exposed to abuse at the hands of human nature, which, on the whole, is of a low and evil disposition: hence the abuses of Catholicism are so much more numerous and so much greater than those of Protestantism. Thus, for example, monasticism, that methodical denial of the will practised in common for the sake of mutual encouragement, is an institution of a sublime description, which, however, for this very reason is for the most part untrue to its spirit. The shocking abuses of the Church excited in the honest mind of Luther a lofty indignation. But in consequence of this he was led to desire to limit as much as possible the claims of Christianity itself, and for this end he first confined it to the words of the Bible; but then, in his well-meant zeal, he went too far, for he attacked the very heart of Christianity in the ascetic principle. For after the withdrawal of the ascetic principle, the optimistic principle soon necessarily took its place. But in religions, as in philosophy, optimism is a fundamental error which obstructs the path of all truth. From all this it seems to me that Catholicism is a shamefully abused, but Protestantism a degenerate Christianity; thus, that Christianity in general has met the fate which befalls all that is noble, sublime, and great whenever it has to dwell among men.

However, even in the very lap of Protestantism, the essentially ascetic and encratic spirit of Christianity has made way for itself; and in this case it has appeared in a phenomenon which perhaps has never before been equalled in magnitude and definiteness, the highly remarkable sect of the Shakers, in North America, founded by an Englishwoman, Anne Lee, in 1774. The adherents of this sect have already increased to 6000, who are divided into fifteen communities, and inhabit a number of villages in the states of New York and Kentucky, especially in the district of New Lebanon, near Nassau village. The fundamental characteristic of their religious rule of life is celibacy and entire abstention from all sexual satisfaction. It is unanimously admitted, even by the English and Americans who visit them, and who laugh and jeer at them in every other respect, that this rule is strictly and with perfect honesty observed; although brothers and sisters sometimes even occupy the same house, eat at the same table, nay, *dance* together in the religious services in church. For whoever has made



that hardest of all sacrifices may *dance* before the Lord; he is a victor, he has overcome. Their singing in church consists in general of cheerful, and partly even of merry, songs. The church-dance, also, which follows the sermon is accompanied by the singing of the rest. It is a lively dance, performed in measured time, and concludes with a galop, which is carried on till the dancers are exhausted. Between each dance one of their teachers cries aloud, "Think, that ye rejoice before the Lord for having slain your flesh; for this is here the only use we make of our refractory limbs." To celibacy most of the other conditions link themselves on of themselves. There are no families, and therefore there is no private property, but community of goods. All are clothed alike, in Quaker fashion, and with great neatness. They are industrious and diligent: idleness is not endured. They have also the enviable rule that they are to avoid all unnecessary noise, such as shouting, door-slamming, whip-cracking, loud knocking, &c. Their rule of life has been thus expressed by one of them: "Lead a life of innocence and purity, love your neighbours as yourself, live at peace with all men, and refrain from war, blood-shed, and all violence against others, as well as from all striving after worldly honour and distinction. Give to each his own, and follow after holiness, without which no man can see the Lord. Do good to all so far as your opportunity and your power extends." They persuade no one to join them, but test those who present themselves by a novitiate of several years. Moreover, every one is free to leave them; very rarely is any one expelled for misconduct. Adopted children are carefully educated, and only when they are grown up do they voluntarily join the sect. It is said that in the controversies of their ministers with Anglican clergy the latter generally come off the worse, for the arguments consist of passages from the New Testament. Fuller accounts of them will be found particularly in Maxwell's "Run through the United States," 1841; also in Benedict's "History of all Religions," 1830; also in the *Times*, November 4, 1837, and in the German magazine *Columbus*, May number, 1831. A German sect in America, very similar to them, who also live in strict celibacy and continence, are the Rappists. An account of them is given in F. Loher's "*Geschichte und Zustände der Deutschen in Amerika*," 1853. In Russia also the Raskolniks are a similar sect. The Gichtelians live also in strict chastity. But among the ancient Jews we already find a prototype of all these sects, the Essenes, of whom even Pliny gives an account (*Hist. Nat.*, v. 15), and who resembled the Shakers very much, not only in

celibacy, but also in other respects; for example, in dancing during divine service, which leads to the opinion that the founder of the Shakers took the Essenes as a pattern. In the presence of such facts as these how does Luther's assertion look: "*Ubi natura, quemadmodum a Deo nobis insita est, fertur ac rapitur, fieri nullo modo potest, ut extra matrimonium caste vivatur*"? (*Catech. maj.*)

Although Christianity, in essential respects, taught only what all Asia knew long before, and even better, yet for Europe it was a new and great revelation, in consequence of which the spiritual tendency of the European nations was therefore entirely transformed. For it disclosed to them the metaphysical significance of existence, and therefore taught them to look away from the narrow, paltry, ephemeral life of earth, and to regard it no longer as an end in itself, but as a condition of suffering, guilt, trial, conflict, and purification, out of which, by means of moral achievements, difficult renunciation, and denial of oneself, one may rise to a better existence, which is inconceivable by us. It taught the great truth of the assertion and denial of the will to live in the clothing of allegory by saying that through Adam's fall the curse has come upon all, sin has come into the world, and guilt is inherited by all; but that, on the other hand, through the sacrificial death of Jesus all are reconciled, the world saved, guilt abolished, and justice satisfied. In order, however, to understand the truth itself that is contained in this myth one must not regard men simply in time, as beings independent of each other, but must comprehend the (Platonic) Idea of man, which is related to the series of men, as eternity in itself is related to eternity drawn out as time; hence the eternal Idea *man* extended in time to the series of men through the connecting bond of generation appears again in time as a whole. If now we keep the Idea of man in view, we see that Adam's fall represents the finite, animal, sinful nature of man, in respect of which he is a finite being, exposed to sin, suffering, and death. On the other hand, the life, teaching, and death of Jesus Christ represent the eternal, supernatural side, the freedom, the salvation of man. Now every man, as such and *potentiâ*, is both Adam and Jesus, according as he comprehends himself, and his will thereupon determines him; in consequence of which he is then condemned and given over to death, or saved and attains to eternal life. Now these truths, both in their allegorical and in their real acceptance, were completely new as far as Greeks and Romans were concerned, who were still entirely absorbed in life, and did not seriously look beyond it. Let

whoever doubts this see how Cicero (*Pro Cluentio*, c. 61) and Sallust (*Catil.*, c. 47) speak of the state after death. The ancients, although far advanced in almost everything else, remained children with regard to the chief concern, and were surpassed in this even by the Druids, who at least taught metempsychosis. That one or two philosophers, like Pythagoras and Plato, thought otherwise alters nothing as regards the whole.

That great fundamental truth, then, which is contained in Christianity, as in Brahmanism and Buddhism, the need of deliverance from an existence which is given up to suffering and death, and the attainableness of this by the denial of the will, thus by a decided opposition to nature, is beyond all comparison the most important truth there can be; but, at the same time, it is entirely opposed to the natural tendency of the human race, and in its true grounds it is difficult to comprehend; as indeed all that can only be thought generally and in the abstract is inaccessible to the great majority of men. Therefore for these men there was everywhere required, in order to bring that great truth within the sphere of its practical application, *a mythical vehicle* for it, as it were a receptacle, without which it would be lost and dissipated. The truth had therefore everywhere to borrow the garb of the fable, and also constantly to endeavour to connect itself with what in each case was historically given, already familiar, and already revered. What *sensu proprio* remained inaccessible to the great mass of mankind of all ages and lands, with their low tone of mind, their intellectual stupidity and general brutality, had, for practical purposes, to be brought home to them *sensu allegorico*, in order to become their guiding star. So, then, the religions mentioned above are to be regarded as the sacred vessels in which the great truth, known and expressed for several thousand years, indeed perhaps since the beginning of the human race, which yet in itself, for the great mass of mankind always remains a mystery, is, according to the measure of their powers, made accessible to them, preserved and transmitted through the centuries. Yet, because all that does not through and through consist of the imperishable material of pure truth is subject to destruction, whenever this fate befalls such a vessel, through contact with a heterogeneous age, its sacred content must in some way be saved and preserved for mankind by another. But it is the task of philosophy, since it is one with pure truth, to present that content pure and unmixed, thus merely in abstract conceptions, and consequently without that vehicle, for those who are capable of thinking, who are always an exceedingly small number.

It is therefore related to religions as a straight line to several curves running near it: for it expresses *sensu proprio*, thus reaches directly, what they show in veiled forms and reach by circuitous routes.

If now, in order to illustrate what has just been said by an example, and also to follow a philosophical fashion of my time, I should wish perhaps to attempt to solve the profoundest mystery of Christianity, that of the Trinity, in the fundamental conception of my philosophy, this could be done, with the licence permitted in such interpretations, in the following manner. The Holy Ghost is the distinct denial of the will to live: the man in whom this exhibits itself *in concreto* is the Son; He is identical with the will which asserts life, and thereby produces the phenomenon of this perceptible world, *i.e.*, with the Father, because the assertion and denial are opposite acts of the same will whose capability for both is the only true freedom. However, this is to be regarded as a mere *lusus ingenii*.

Before I close this chapter I wish to adduce a few proofs in support of what in § 68 of the first volume I denoted by the expression Δευτρος πλους, the bringing about of the denial of the will by one's own deeply felt suffering, thus not merely by the appropriation of the suffering of others, and the knowledge of the vanity and wretchedness of our existence introduced by this. We can arrive at a comprehension of what goes on in the heart of a man, in the case of an elevation of this kind and the accompanying purifying process, by considering what every emotional man experiences on beholding a tragedy, which is of kindred nature to this. In the third and fourth acts perhaps such a man is distressed and disturbed by the ever more clouded and threatened happiness of the hero; but when, in the fifth act, this happiness is entirely wrecked and shattered, he experiences a certain elevation of the soul, which affords him an infinitely higher kind of pleasure than the sight of the happiness of the hero, however great it might be, could ever have given. Now this is the same thing, in the weak water-colours of sympathy which is able to raise a well-known illusion, as that which takes place with the energy of reality in the feeling of our own fate when it is heavy misfortune that drives the man at last into the haven of entire resignation. Upon this occurrence depend all those conversions which completely transform men such as are described in the text. I may give here in a few words the story of the conversion of the Abbé Rancé, as it is strikingly similar to that of Raymond Lully, which is told in the text, and besides this is memorable on account of its result. His youth

was devoted to enjoyment and pleasure; finally, he lived in a relation of passion with a Madame de Montbazon. One evening, when he visited her, he found her room empty, in disorder and darkness. He struck something with his foot; it was her head, which had been severed from the trunk, because after her sudden death her corpse could not otherwise be got into the lead coffin that stood beside it. After overcoming an immense sorrow, Rancé now became, in 1663, the reformer of the order of the Trappists, which at that time had entirely relaxed the strictness of its rules. He joined this order, and through him it was led back to that terrible degree of renunciation which is still maintained at the present day at La Trappe, and, as the methodically carried out denial of the will, aided by the severest renunciation and an incredibly hard and painful manner of life, fills the visitor with sacred awe, after he has been touched at his reception by the humility of these genuine monks, who, emaciated by fasting, by cold, by night watches, prayers and penances, kneel before him, the worldling and the sinner, to implore his blessing. Of all orders of monks, this one alone has maintained itself in perfection in France, through all changes; which is to be attributed to the profound earnestness which in it is unmistakable, and excludes all secondary ends. It has remained untouched even by the decline of religion, because its root lies deeper in human nature than any positive system of belief.

I have mentioned in the text that this great and rapid change of the inmost being of man which we are here considering, and which has hitherto been entirely neglected by philosophers, appears most frequently when, with full consciousness, he stands in the presence of a violent and certain death, thus in the case of executions. But, in order to bring this process much more distinctly before our eyes, I regard it as by no means unbecoming to the dignity of philosophy to quote what has been said by some criminals before their execution, even at the risk of incurring the sneer that I encourage gallows' sermons. I certainly rather believe that the gallows is a place of quite peculiar revelations, and a watch-tower from which the man who even then retains his presence of mind obtains a wider, clearer outlook into eternity than most philosophers over the paragraphs of their rational psychology and theology. The following speech on the gallows was made on the 15th April, 1837, at Gloucester, by a man called Bartlett, who had murdered his mother-in-law: "Englishmen and fellow countrymen, — I have a few words to say to you, and they shall be but very

few. Yet let me entreat you, one and all, that these few words that I shall utter may strike deep into your hearts. Bear them in your mind, not only now while you are witnessing this sad scene, but take them to your homes, take them, and repeat them to your children and friends. I implore you as a dying man — one for whom the instrument of death is even now prepared — and these words are that you may loose yourselves from the love of this dying world and its vain pleasures. Think less of it and more of your God. Do this: repent, repent, for be assured that without deep and true repentance, without turning to your heavenly Father, you will never attain, nor can hold the slightest hope of ever reaching those bowers of bliss to which I trust I am now fast advancing” (*Times*, 18th April 1837).

Still more remarkable are the last words of the well-known murderer, Greenacre, who was executed in London on the 1st of May 1837. The English newspaper the *Post* gives the following account, which is also reprinted in *Galignani's Messenger* of the 6th of May 1837: “On the morning of his execution a gentleman advised him to put his trust in God, and pray for forgiveness through the mediation of Jesus Christ. Greenacre replied that forgiveness through the mediation of Christ was a matter of opinion; for his part, he believed that in the sight of the highest Being, a Mohammedan was as good as a Christian and had just as much claim to salvation. Since his imprisonment he had had his attention directed to theological subjects, and he had become convinced that the gallows is a passport to heaven.” The indifference displayed here towards positive religions is just what gives this utterance greater weight, for it shows that it is no fanatical delusion, but individual immediate knowledge that lies at its foundation. The following incident may also be mentioned which is given by *Galignani's Messenger* of the 15th August 1837, from the *Limerick Chronicle*: “Last Monday Maria Cooney was executed for the revolting murder of Mrs. Anderson. So deeply was this wretched woman impressed with the greatness of her crime that she kissed the rope which was put round her neck, while she humbly implored the mercy of God.” Lastly this: the *Times*, of the 29th April 1845 gives several letters which Hocker, who was condemned for the murder of Delarue, wrote the day before his execution. In one of these he says: “I am persuaded that unless the natural heart be broken, and renewed by divine mercy, however noble and amiable it may be deemed by the world, it can never think of eternity without inwardly shuddering.” These are the outlooks into eternity referred to above which

are obtained from that watch-tower; and I have had the less hesitation in giving them here since Shakspeare also says —

“Out of these convertites

There is much matter to be heard and learned.”

— *As You Like it*, last scene.

Strauss, in his “Life of Jesus,” has proved that Christianity also ascribes to suffering as such the purifying and sanctifying power here set forth (*Leben Jesu*, vol. i. ch. 6, §§ 72 and 74). He says that the beatitudes in the Sermon on the Mount have a different sense in Luke (vi. 21) from that which they have in Matt. (v. 3), for only the latter adds τῷ πνεύματι το μακαριοι οἱ πτωχοι, and την δικαιοσυνην το πεινωντες. Thus by him alone are the simple-minded, the humble, &c., meant, while by Luke are meant the literally poor; so that here the contrast is that between present suffering and future happiness. With the Ebionites it is a capital principle that whoever takes his portion in this age gets nothing in the future, and conversely. Accordingly in Luke the blessings are followed by as many ουαι, woes, which are addressed to the rich, οἱ πλουσιοι, the full, οἱ εμπεπλησμενοι, and to them that laugh, οἱ γελωντες, in the Ebionite spirit. In the same spirit, he says, p. 604, is the parable (Luke xvi. 19) of the rich man and Lazarus given, which nowhere mentions any fault of the former or any merit of the latter, and takes as the standard of the future recompense, not the good done or the wickedness practised, but the evil suffered here and the good things enjoyed, in the Ebionite spirit. “A like estimation of outward poverty,” Strauss goes on, “is also attributed to Jesus by the other synoptists (Matt. xix. 16; Mark x. 17; Luke xviii. 18), in the story of the rich young man and the saying about the camel and the eye of a needle.”

If we go to the bottom of the matter we will recognise that even in the most famous passages of the Sermon on the Mount there is contained an indirect injunction to voluntary poverty, and thereby to the denial of the will to live. For the precept (Matt. v. 40 *seq.*) to consent unconditionally to all demands made upon us, to give our cloak also to him who will take away our coat, &c., similarly (Matt. vi. 25-34) the precept to cast aside all care for the future, even for the morrow, and so to live simply in the present, are rules of life the observance of which inevitably leads to absolute poverty, and which therefore just say in an indirect manner what Buddha directly commands his disciples and has confirmed by his own example: throw everything away and become bhikkhu, *i.e.*, beggars. This appears still more

decidedly in the passage Matt. x. 9-15, where all possessions, even shoes and a staff, are forbidden to the Apostles, and they are directed to beg. These commands afterwards became the foundation of the mendicant order of St. Francis (*Bonaventuræ vita S. Francisci*, c. 3). Hence, then, I say that the spirit of Christian ethics is identical with that of Brahmanism and Buddhism. In conformity with the whole view expounded here Meister Eckhard also says (Works, vol. i. p. 492): "The swiftest animal that bears thee to perfection is suffering."



## Chapter XLIX. The Way Of Salvation.

There is only one inborn error, and that is, that we exist in order to be happy. It is inborn in us because it is one with our existence itself, and our whole being is only a paraphrase of it, nay, our body is its monogram. We are nothing more than will to live and the successive satisfaction of all our volitions is what we think in the conception of happiness.

As long as we persist in this inborn error, indeed even become rigidly fixed in it through optimistic dogmas, the world appears to us full of contradictions. For at every step, in great things as in small, we must experience that the world and life are by no means arranged with a view to containing a happy existence. While now by this the thoughtless man only finds himself tormented in reality, in the case of him who thinks there is added to his real pain the theoretical perplexity why a world and a life which exist in order that one may be happy in them answer their end so badly. First of all it finds expression in pious ejaculations, such as, “Ah! why are the tears on earth so many?” &c. &c. But in their train come disquieting doubts about the assumptions of those preconceived optimistic dogmas. One may try if one will to throw the blame of one’s individual unhappiness now upon the circumstances, now upon other men, now upon one’s own bad luck, or even upon one’s own awkwardness, and may know well how all these have worked together to produce it; but this in no way alters the result that one has missed the real end of life, which consists indeed in being happy. The consideration of this is, then, often very depressing, especially if life is already on the wane; hence the countenances of almost all elderly persons wear the expression of that which in English is called disappointment. Besides this, however, hitherto every day of our life has taught us that joys and pleasures, even if attained, are in themselves delusive, do not perform what they promise, do not satisfy the heart, and finally their possession is at least embittered by the disagreeables that accompany them or spring from them; while, on the contrary, the pains and sorrows prove themselves very real, and often exceed all expectation. Thus certainly everything in life is calculated to recall us from that original error, and to convince us that the end of our existence is not to be happy. Indeed, if we regard it more closely and without prejudice, life rather presents itself as specially intended to be such that we shall *not* feel ourselves happy in it,

for through its whole nature it bears the character of something for which we have no taste, which must be endured by us, and from which we have to return as from an error that our heart may be cured of the passionate desire of enjoyment, nay, of life, and turned away from the world. In this sense, it would be more correct to place the end of life in our woe than in our welfare. For the considerations at the conclusion of the preceding chapter have shown that the more one suffers the sooner one attains to the true end of life, and that the more happily one lives the longer this is delayed. The conclusion of the last letter of Seneca corresponds with this: *bonum tunc habebis tuum, quum intelliges infelicissimos esse felices*; which certainly seems to show the influence of Christianity. The peculiar effect of the tragic drama also ultimately depends upon the fact that it shakes that inborn error by vividly presenting in a great and striking example the vanity of human effort and the nothingness of this whole existence, and thus discloses the profound significance of life; hence it is recognised as the sublimest form of poetry. Whoever now has returned by one or other path from that error which dwells in us *a priori*, that *πρωτου ψευδος* of our existence, will soon see all in another light, and will now find the world in harmony with his insight, although not with his wishes. Misfortunes of every kind and magnitude, although they pain him, will no longer surprise him, for he has come to see that it is just pain and trouble that tend towards the true end of life, the turning away of the will from it. This will give him indeed a wonderful composedness in all that may happen, similar to that with which a sick person who undergoes a long and painful cure bears the pain of it as a sign of its efficacy. In the whole of human existence suffering expresses itself clearly enough as its true destiny. Life is deeply sunk in suffering, and cannot escape from it; our entrance into it takes place amid tears, its course is at bottom always tragic, and its end still more so. There is an unmistakable appearance of intention in this. As a rule man's destiny passes through his mind in a striking manner, at the very summit of his desires and efforts, and thus his life receives a tragic tendency by virtue of which it is fitted to free him from the passionate desire of which every individual existence is an example, and bring him into such a condition that he parts with life without retaining a single desire for it and its pleasures. Suffering is, in fact, the purifying process through which alone, in most cases, the man is sanctified, *i.e.*, is led back from the path of error of the will to live. In accordance with this, the salutary nature of the cross and of suffering is

so often explained in Christian books of edification, and in general the cross, an instrument of suffering, not of doing, is very suitably the symbol of the Christian religion. Nay, even the Preacher, who is still Jewish, but so very philosophical, rightly says: "Sorrow is better than laughter: for by the sadness of the countenance the heart is made better" (Eccles. vii. 3). Under the name of the *δεντρος πλους* I have presented suffering as to a certain extent a substitute for virtue and holiness; but here I must make the bold assertion that, taking everything into consideration, we have more to hope for our salvation and deliverance from what we suffer than from what we do. Precisely in this spirit Lamartine very beautifully says in his "*Hymne à la douleur*," apostrophising pain: —

*"Tu me traites sans doute en favori des cieux,  
Car tu n'épargnes pas les larmes à mes yeux.  
Eh bien! je les reçois comme tu les envoies,  
Tes maux seront mes biens, et tes soupirs mes joies.  
Je sens qu'il est en toi, sans avoir combattu,  
Une vertu divine au lieu de ma vertu,  
Que tu n'es pas la mort l'âme, mais sa vie,  
Que ton bras, en frappant, guérit et vivifie."*

If, then, suffering itself has such a sanctifying power, this will belong in an even higher degree to death, which is more feared than any suffering. Answering to this, a certain awe, kindred to that which great suffering occasions us, is felt in the presence of every dead person, indeed every case of death presents itself to a certain extent as a kind of apotheosis or canonisation; therefore we cannot look upon the dead body of even the most insignificant man without awe, and indeed, extraordinary as the remark may sound in this place, in the presence of every corpse the watch goes under arms. Dying is certainly to be regarded as the real aim of life: in the moment of death all that is decided for which the whole course of life was only the preparation and introduction. Death is the result, the *Résumé* of life, or the added up sum which expresses at once the instruction which life gave in detail, and bit by bit; this, that the whole striving whose manifestation is life was a vain, idle, and self-contradictory effort, to have returned from which is a deliverance. As the whole, slow vegetation of the plant is related to the fruit, which now at a stroke achieves a hundredfold what the plant achieved gradually and bit by bit, so life, with its obstacles, deluded hopes, frustrated plans, and constant suffering, is related to death,

which at one stroke destroys all, all that the man has willed, and so crowns the instruction which life gave him. The completed course of life upon which the dying man looks back has an effect upon the whole will that objectifies itself in this perishing individuality, analogous to that which a motive exercises upon the conduct of the man. It gives it a new direction, which accordingly is the moral and essential result of the life. Just because a sudden death makes this retrospect impossible, the Church regards such a death as a misfortune, and prays that it should be averted. Since this retrospect, like the distinct foreknowledge of death, as conditioned by the reason, is possible only in man, not in the brute, and accordingly man alone really drinks the cup of death, humanity is the only material in which the will can deny itself and entirely turn away from life. To the will that does not deny itself every birth imparts a new and different intellect, — till it has learned the true nature of life, and in consequence of this wills it no more.

In the natural course, in age the decay of the body coincides with that of the will. The desire for pleasures soon vanishes with the capacity to enjoy them. The occasion of the most vehement willing, the focus of the will, the sexual impulse, is first extinguished, whereby the man is placed in a position which resembles the state of innocence which existed before the development of the genital system. The illusions, which set up chimeras as exceedingly desirable benefits, vanish, and the knowledge of the vanity of all earthly blessings takes their place. Selfishness is repressed by the love of one's children, by means of which the man already begins to live more in the ego of others than in his own, which now will soon be no more. This course of life is at least the desirable one; it is the euthanasia of the will. In hope of this the Brahman is ordered, after he has passed the best years of his life, to forsake possessions and family, and lead the life of a hermit (*Menu*, B. 6). But if, conversely, the desire outlives the capacity for enjoyment, and we now regret particular pleasures in life which we miss, instead of seeing the emptiness and vanity of all; and if then gold, the abstract representative of the objects of desire for which the sense is dead, takes the place of all these objects themselves, and now excites the same vehement passions which were formerly more pardonably awakened by the objects of actual pleasure, and thus now with deadened senses a lifeless but indestructible object is desired with equally indestructible eagerness; or, also, if, in the same way, existence in the opinion of others takes the place of existence and action in the real world, and now kindles the same

passions; — then the will has become sublimated and etherealised into avarice or ambition; but has thereby thrown itself into the last fortress, in which it can only now be besieged by death. The end of existence has been missed.

All these considerations afford us a fuller explanation of that purification, conversion of the will and deliverance, denoted in the preceding chapter by the expression δευτερος πλους which is brought about by the suffering of life, and without doubt is the most frequent. For it is the way of sinners such as we all are. The other way, which leads to the same goal, by means of mere knowledge and the consequent appropriation of the suffering of a whole world, is the narrow path of the elect, the saints, and therefore to be regarded as a rare exception. Therefore without that first way for most of us there would be no salvation to hope for. However, we struggle against entering upon it, and strive rather to procure for ourselves a safe and agreeable existence, whereby we chain our will ever more firmly to life. The conduct of the ascetics is the opposite of this. They make their life intentionally as poor, hard, and empty of pleasure as possible, because they have their true and ultimate welfare in view. But fate and the course of things care for us better than we ourselves, for they frustrate on all sides our arrangements for an utopian life, the folly of which is evident enough from its brevity, uncertainty, and emptiness, and its conclusion by bitter death; they strew thorns upon thorns in our path, and meet us everywhere with healing sorrow, the panacea of our misery. What really gives its wonderful and ambiguous character to our life is this, that two diametrically opposite aims constantly cross each other in it; that of the individual will directed to chimerical happiness in an ephemeral, dream-like, and delusive existence, in which, with reference to the past, happiness and unhappiness are a matter of indifference, and the present is every moment becoming the past; and that of fate visibly enough directed to the destruction of our happiness, and thereby to the mortification of our will and the abolition of the illusion that holds us chained in the bonds of this world.

The prevalent and peculiarly Protestant view that the end of life lies solely and immediately in the moral virtues, thus in the practice of justice and benevolence, betrays its insufficiency even in the fact that so miserably little real and pure morality is found among men. I am not speaking at all of lofty virtue, nobleness, magnanimity, and self-sacrifice, which one hardly finds anywhere but in plays and novels, but only of those virtues which are

the duty of every one. Let whoever is old think of all those with whom he has had to do; how many persons will he have met who were merely really and truly *honest*? Were not by far the greater number, in spite of their shameless indignation at the slightest suspicion of dishonesty or even untruthfulness, in plain words, the precise opposite? Were not abject selfishness, boundless avarice, well-concealed knavery, and also poisonous envy and fiendish delight in the misfortunes of others so universally prevalent that the slightest exception was met with surprise? And benevolence, how very rarely it extends beyond a gift of what is so superfluous that one never misses it. And is the whole end of existence to lie in such exceedingly rare and weak traces of morality? If we place it, on the contrary, in the entire reversal of this nature of ours (which bears the evil fruits just mentioned) brought about by suffering, the matter gains an appearance of probability and is brought into agreement with what actually lies before us. Life presents itself then as a purifying process, of which the purifying lye is pain. If the process is carried out, it leaves behind it the previous immorality and wickedness as refuse, and there appears what the Veda says: "*Finditur nodus cordis, dissolvuntur omnes dubitationes, ejusque opera evanescent.*" As agreeing with this view the fifteenth sermon of Meister Eckhard will be found very well worth reading.

## Chapter L. Epiphilosophy.

At the conclusion of my exposition a few reflections concerning my philosophy itself may find their place. My philosophy does not pretend to explain the existence of the world in its ultimate grounds: it rather sticks to the facts of external and internal experience as they are accessible to every one, and shows the true and deepest connection of them without really going beyond them to any extra-mundane things and their relations to the world. It therefore arrives at no conclusions as to what lies beyond all possible experience, but affords merely an exposition of what is given in the external world and in self-consciousness, thus contents itself with comprehending the nature of the world in its inner connection with itself. It is consequently *immanent*, in the Kantian sense of the word. But just on this account it leaves many questions untouched; for example, why what is proved as a fact is as it is and not otherwise, &c. All such questions, however, or rather the answers to them, are really transcendent, *i.e.*, they cannot be thought by the forms and functions of our intellect, do not enter into these; it is therefore related to them as our sensibility is related to the possible properties of bodies for which we have no senses. After all my explanations one may still ask, for example, whence has sprung this will that is free to assert itself, the manifestation of which is the world, or to deny itself, the manifestation of which we do not know. What is the fatality lying beyond all experience which has placed it in the very doubtful dilemma of either appearing as a world in which suffering and death reign, or else denying its very being? — or again, what can have prevailed upon it to forsake the infinitely preferable peace of blessed nothingness? An individual will, one may add, can only turn to its own destruction through error in the choice, thus through the fault of knowledge; but the will in itself, before all manifestation, consequently still without knowledge, how could it go astray and fall into the ruin of its present condition? Whence in general is the great discord that permeates this world? It may, further, be asked how deep into the true being of the world the roots of individuality go; to which it may certainly be answered: they go as deep as the assertion of the will to live; where the denial of the will appears they cease, for they have arisen with the assertion. But one might indeed even put the question, “What would I be if I were not will to live?” and more of the same kind. To

all such questions we would first have to reply that the expression of the most universal and general form of our intellect is the *principle of sufficient reason*; but that just on this account that principle finds application only to the phenomenon, not to the being in itself of things. Yet all whence and why depend upon that principle alone. As a result of the Kantian philosophy it is no longer an *æterna veritas*, but merely the form, *i.e.*, the function, of our intellect, which is essentially cerebral, and originally a mere tool in the service of the will, which it therefore presupposes together with all its objectifications. But our whole knowing and conceiving is bound to its forms; accordingly we must conceive everything in time, consequently as a before and after, then as cause and effect, and also as above and below, whole and part, &c., and cannot by any means escape from this sphere in which all possibility of our knowledge lies. Now these forms are utterly unsuited to the problems raised here, nor are they fit or able to comprehend their solution even if it were given. Therefore with our intellect, this mere tool of the will, we are everywhere striking upon insoluble problems, as against the walls of our prison. But, besides this, it may at least be assumed as probable that not only *for us* is knowledge of all that has been asked about impossible, but no such knowledge is possible in general, thus never and in no way; that these relations are not only relatively but absolutely insusceptible of investigation; that not only does no one know them, but that they are in themselves unknowable, because they do not enter into the form of knowledge in general. (This corresponds to what Scotus Erigena says, *de mirabili divina ignorantia, qua Deus non intelligit quid ipse sit*. Lib. ii.) For knowableness in general, with its most essential, and therefore constantly necessary form of subject and object, belongs merely to the phenomenal appearance, not to the being in itself of things. Where knowledge, and consequently idea, is, there is also only phenomenon, and we stand there already in the province of the phenomenal; nay, knowledge in general is known to us only as a phenomenon of brain, and we are not only unjustified in conceiving it otherwise, but also incapable of doing so. What the world is as world may be understood: it is phenomenal manifestation; and we can know that which manifests itself in it, directly from ourselves, by means of a thorough analysis of self-consciousness. Then, however, by means of this key to the nature of the world, the whole phenomenal manifestation can be deciphered, as I believe I have succeeded in doing. But if we leave the world in order to answer the questions



indicated above, we have also left the whole sphere in which, not only connection according to reason and consequent, but even knowledge itself is possible; then all is *instabilis tellus, innabilis unda*. The nature of things before or beyond the world, and consequently beyond the will, is open to no investigation; because knowledge in general is itself only a phenomenon, and therefore exists only in the world as the world exists only in it. The inner being in itself of things is nothing that knows, no intellect, but an unconscious; knowledge is only added as an accident, a means of assistance to the phenomenon of that inner being, and can therefore apprehend that being itself only in proportion to its own nature, which is designed with reference to quite different ends (those of the individual will), consequently very imperfectly. Here lies the reason why a perfect understanding of the existence, nature, and origin of the world, extending to its ultimate ground and satisfying all demands, is impossible. So much as to the limits of my philosophy, and indeed of all philosophy.

The ἐν καὶ παν, *i.e.*, that the inner nature in all things is absolutely one and the same, my age had already grasped and understood, after the Eleatics, Scotus Erigena, Giordano Bruno, and Spinoza had thoroughly taught, and Schelling had revived this doctrine. But *what* this one is, and how it is able to exhibit itself as the many, is a problem the solution of which is first found in my philosophy. Certainly from the most ancient times man had been called the microcosm. I have reversed the proposition, and shown the world as the macranthropos: because will and idea exhaust its nature as they do that of man. But it is clearly more correct to learn to understand the world from man than man from the world; for one has to explain what is indirectly given, thus external perception from what is directly given, thus self-consciousness — not conversely.

With the Pantheists, then, I have certainly that ἐν καὶ παν in common, but not the παν θεος; because I do not go beyond experience (taken in its widest sense), and still less do I put myself in contradiction with the data which lie before me. Scotus Erigena, quite consistently with the spirit of Pantheism, explains every phenomenon as a theophany; but then this conception must also be applied to the most terrible and abominable phenomena. Fine theophanies! What further distinguishes me from Pantheism is principally the following. (1). That their θεος is an *x*, an unknown quantity; the will, on the other hand, is of all possible things the one that is known to us most exactly, the only thing given immediately, and

therefore exclusively fitted for the explanation of the rest. For what is unknown must always be explained by what is better known; not conversely. (2.) That their θεός manifests himself *animi causa*, to unfold his glory, or, indeed, to let himself be admired. Apart from the vanity here attributed to him, they are placed in the position of being obliged to sophisticate away the colossal evil of the world; but the world remains in glaring and terrible contradiction with that imagined excellence. With me, on the contrary, the *will* arrives through its objectification however this may occur, at self-knowledge, whereby its abolition, conversion, salvation becomes possible. And accordingly, with me alone ethics has a sure foundation and is completely worked out in agreement with the sublime and profound religions, Brahmanism, Buddhism, and Christianity, not merely with Judaism and Mohammedanism. The metaphysic of the beautiful also is first fully cleared up as a result of my fundamental truth, and no longer requires to take refuge behind empty words. With me alone is the evil of the world honestly confessed in its whole magnitude: this is rendered possible by the fact that the answer to the question as to its origin coincides with the answer to the question as to the origin of the world. On the other hand, in all other systems, since they are all optimistic, the question as to the origin of evil is the incurable disease, ever breaking out anew, with which they are affected, and in consequence of which they struggle along with palliatives and quack remedies. (3.) That I start from experience and the natural self-consciousness given to every one, and lead to the will as that which alone is metaphysical; thus I adopt the ascending, analytical method. The Pantheists, again, adopt the opposite method, the descending or synthetical. They start from their θεός, which they beg or take by force, although sometimes under the name *substantia*, or absolute, and this unknown is then supposed to explain everything that is better known. (4.) That with me the world does not fill the whole possibility of all being, but in this there still remains much room for that which we denote only negatively as the denial of the will to live. Pantheism, on the other hand, is essentially optimism: but if the world is what is best, then the matter may rest there. (5.) That to the Pantheists the perceptible world, thus the world of idea, is just the intentional manifestation of the God indwelling in it, which contains no real explanation of its appearance, but rather requires to be explained itself. With me, on the other hand, the world as idea appears merely *per accidens*, because the intellect, with its external perception, is primarily only the

medium of motives for the more perfect phenomena of will, which gradually rises to that objectivity of perceptibility, in which the world exists. In this sense its origin, as an object of perception, is really accounted for, and not, as with the Pantheists, by means of untenable fictions.

Since, in consequence of the Kantian criticism of all speculative theology, the philosophers of Germany almost all threw themselves back upon Spinoza, so that the whole series of futile attempts known by the name of the post-Kantian philosophy are simply Spinozism tastelessly dressed up, veiled in all kinds of unintelligible language, and otherwise distorted, I wish, now that I have explained the relation of my philosophy to Pantheism in general, to point out its relation to Spinozism in particular. It stands, then, to Spinozism as the New Testament stands to the Old. What the Old Testament has in common with the New is the same God-Creator. Analogous to this, the world exists, with me as with Spinoza, by its inner power and through itself. But with Spinoza his *substantia æterna*, the inner nature of the world, which he himself calls God, is also, as regards its moral character and worth, Jehovah, the God-Creator, who applauds His own creation, and finds that all is very good, *παντα καλα λιν*. Spinoza has deprived Him of nothing but personality. Thus, according to him also, the world and all in it is wholly excellent and as it ought to be: therefore man has nothing more to do than *vivere, agere, suum Esse conservare ex fundamento proprium utile quærendi* (*Eth.*, iv. pr. 67); he is even to rejoice in his life as long as it lasts; entirely in accordance with Ecclesiastes ix. 7-10. In short, it is optimism: therefore its ethical side is weak, as in the Old Testament; nay, it is even false, and in part revolting.<sup>54</sup> With me, on the other hand, the will, or the inner nature of the world, is by no means Jehovah, it is rather, as it were, the crucified Saviour, or the crucified thief, according as it resolves. Therefore my ethical teaching agrees with that of Christianity, completely and in its highest tendencies, and not less with that of Brahmanism and Buddhism. Spinoza could not get rid of the Jews; *quo semel est imbuta recens servabit odorem*. His contempt for the brutes, which, as mere things for our use, he also declares to be without rights, is thoroughly Jewish, and, in union with Pantheism, is at the same time absurd and detestable (*Eth.*, iv., appendix, c. 27). With all this Spinoza remains a very great man. But in order to estimate his work correctly we must keep in view his relation to Descartes. The latter had sharply divided nature into mind and matter, *i.e.*, thinking and extended substance, and had also placed

God and the world in complete opposition to each other; Spinoza also, so long as he was a Cartesian, taught all that in his "*Cogitatis Metaphysicis*," c. 12, i. I., 1665. Only in his later years did he see the fundamental falseness of that double dualism; and accordingly his own philosophy principally consists of the indirect abolition of these two antitheses. Yet partly to avoid injuring his teacher, partly in order to be less offensive, he gave it a positive appearance by means of a strictly dogmatic form, although its content is chiefly negative. His identification of the world with God has also this negative significance alone. For to call the world God is not to explain it: it remains a riddle under the one name as under the other. But these two negative truths had value for their age, as for every age in which there still are conscious or unconscious Cartesians. He makes the mistake, common to all philosophers before Locke, of starting from conceptions, without having previously investigated their origin, such, for example, as substance, cause, &c., and in such a method of procedure these conceptions then receive a much too extensive validity. Those who in the most recent times refused to acknowledge the Neo-Spinozism which had appeared, for example, Jacobi, were principally deterred from doing so by the bugbear of fatalism. By this is to be understood every doctrine which refers the existence of the world, together with the critical position of mankind in it, to any absolute necessity, *i.e.*, to a necessity that cannot be further explained. Those who feared fatalism, again, believed that all that was of importance was to deduce the world from the free act of will of a being existing outside it; as if it were antecedently certain which of the two was more correct, or even better merely in relation to us. What is, however, especially assumed here is the *non datur tertium*, and accordingly hitherto every philosophy has represented one or the other. I am the first to depart from this; for I have actually established the *Tertium*: the act of will from which the world arises is our own. It is free; for the principle of sufficient reason, from which alone all necessity derives its significance, is merely the form of its phenomenon. Just on this account this phenomenon, if it once exists, is absolutely necessary in its course; in consequence of this alone we can recognise in it the nature of the act of will, and accordingly *eventualiter* will otherwise.

## **Appendix.**

## **Abstract.**

Schopenhauer's Essay on the Fourfold Root of the Principle of Sufficient Reason (Fourth Edition, Edited by Frauenstädt. The First Edition appeared in 1813).

This essay is divided into eight chapters. The first is introductory. The second contains an historical review of previous philosophical doctrines on the subject. The third deals with the insufficiency of the previous treatment of the principle, and prescribes the lines of the new departure. The fourth, fifth, sixth, and seventh treat of the four classes of objects for the subject, and the forms of the principle of sufficient reason which respectively characterise these classes. The eighth contains general remarks and results. It will be convenient to summarise these chapters severally.

## Chapter I.

Schopenhauer points out that Plato and Kant agree in recommending, as the method of all knowledge, obedience to two laws: — that of Homogeneity, and that of Specification. The former bids us, by attention to the points of resemblance and agreement in things, get at their kinds, and combine them into species, and these species again into genera, until we have arrived at the highest concept of all, that which embraces everything. This law being transcendental, or an essential in our faculty of reason, assumes that nature is in harmony with it, an assumption which is expressed in the old rule: *Entia præter necessitatem non esse multiplicanda*. The law of Specification, on the other hand, is stated by Kant in these words: *Entium varietates non temere esse minuendas*. That is to say, we must carefully distinguish the species which are united under a genus, and the lower kinds which in their turn are united under these species; taking care not to make a leap, and subsume the lower kinds and individuals under the concept of the genus, since this is always capable of division, but never descends to the object of pure perception. Plato and Kant agree that these laws are transcendental, and that they presuppose that things are in harmony with them.

The previous treatment of the principle of sufficient reason, even by Kant, has been a failure, owing to the neglect of the second of these laws. It may well be that we shall find that this principle is the common expression of more than one fundamental principle of knowledge, and that the necessity, to which it refers, is therefore of different kinds. It may be stated in these words: *Nihil est sine ratione cur potius sit, quam non sit*. This is the general expression for the different forms of the assumption which everywhere justifies that question “Why?” which is the mother of all science.

## Chapter II.

Schopenhauer in this chapter traces historically the forms in which the principle had been stated by his predecessors, and their influence. He points out that in Greek philosophy it appeared in two aspects — that of the necessity of a ground for a logical judgment, and that of a cause for every physical change — and that these two aspects were systematically confounded. The Aristotelian division, not of the forms of the principle itself, but of one of its aspects, the causal, exemplified a confusion which continued throughout the Scholastic period. Descartes succeeds no better. His proof of the existence of God that the immensity of His nature is a *cause or reason* beyond which no cause is needed for His existence, simply illustrates the gross confusion between cause and ground of knowledge which underlies every form of this ontological proof. “That a miserable fellow like Hegel, whose entire philosophy is nothing but a monstrous amplification of the ontological proof, should dare to defend this proof against Kant’s criticism of it is an alliance of which the ontological proof itself, little as it knows of shame, might well feel ashamed. It is not to be expected I should speak respectfully of people who have brought philosophy into disrespect.” Spinoza made the same confusion when he laid it down that the cause of existence was either contained in the nature and definition of the thing as it existed, or was to be found outside that thing. It was through this confusion of the ground of knowledge with the efficient cause that he succeeded in identifying God with the world. The true picture of Spinoza’s “*Causa sui*” is Baron Munchhausen encircling his horse with his legs, and raising himself and the horse upwards by means of his pigtail, with the inscription “*Causa sui*” written below. Leibnitz was the first to place the principle of sufficient reason in the position of a first principle, and to indicate the difference between its two meanings. But it was Wolff who first completely distinguished them, and divided the doctrine into three kinds: *principium fiendi* (cause), *principium essendi* (possibility), and *principium cognoscendi*. Baumgarten, Reimarus, Lambert, and Platner added nothing to the work of Wolff, and the next great step was Hume’s question as to the validity of the principle. Kant’s distinction of the logical or formal principle of knowledge — Every *proposition* must have its ground; from the transcendental or material principle, Every *thing* must



have its ground — was followed out by his immediate successors. But when we come to Schelling we find the proposition that gravitation is the *reason* and light the *cause* of things, a proposition which is quoted simply as a curiosity, for such a piece of nonsense deserves no place among the opinions of earnest and honest inquirers. The chapter concludes by pointing out the futility of the attempts to prove the principle. Every proof is the exhibition of the ground of a judgment which has been expressed, and of which, just because that ground is exhibited, we predicate truth. The principle of sufficient reason is just this expression of the demand for such a ground, and he who seeks a proof, *i.e.*, the exhibition of a ground for this principle itself, presupposes it as true, and so falls into the circle of seeking a proof of the justification of the demand for proof.

### Chapter III.

In the third chapter Schopenhauer points out that the two applications of the principle of sufficient reason distinguished by his predecessors, to judgments, which must have a ground, and to the changes of real objects, which must have a cause, are not exhaustive. The reason why the three sides of a certain triangle are equal is that the angles are equal, and this is neither a logical deduction nor a case of causation. With a view to stating exhaustively the various kinds into which the application of the principle falls it is necessary to determine the nature of the principle itself. All our ideas are objects of the subject, and all objects of the subject are our ideas. But our ideas stand to one another as a matter of fact in an orderly connection, which is always determinable *a priori* in point of form, and on account of which nothing that is in itself separate and wholly independent of other things can be the object of our consciousness. It is this connection which the principle of sufficient reason in its generality expresses. The relations which constitute it are what Schopenhauer calls its root, and they fall into four classes, which are discussed in the four following chapters.

## Chapter IV.

In the fourth chapter Schopenhauer deals with the first class of objects for the subject and the form of the principle of sufficient reason which obtains in it. This first class is that of those complete ideas of perception which form part of our experience, and which are referable to some sensation of our bodies. These ideas are capable of being perceived only under the forms of Space and Time. If time were the only form there would be no coexistence, and therefore no persistence. If space were their only form there would be no succession, and therefore no change. Time may therefore be defined as the possibility of mutually exclusive conditions of the same thing. But the union of these two forms of existence is the essential condition of reality, and this union is the work of the understanding (see "World as Will and Idea," vol. i. § 4, and the table of predicables annexed to vol. ii., chap. 4). In this class of objects for the subject the principle of sufficient reason appears as the law of causality or the principle of sufficient reason of becoming, and it is through it that all objects which present themselves in perception are bound together through the changes of their states. When a new state of one or more objects makes its appearance it must have been preceded by another on which it regularly follows. This is causal sequence, and the first state is the cause, the second the effect. The law has thus to do exclusively with the *changes* of objects of external experience, and not with things themselves, a circumstance which is fatal to the validity of the cosmological proof of the existence of God. It follows also from the essential connection of causality with succession that the notion of reciprocity, with its contemporaneous existence of cause and effect, is a delusion. The chain of causes and effects does not affect either matter, which is that in which all changes take place, or the original forces of nature, through which causation becomes possible, and which exist apart from all change, and in this sense out of time, but which yet are everywhere present (*e.g.*, chemical forces, see *supra*, vol. i., § 26). In nature causation assumes three different forms; that of cause in the narrow sense, of stimulus, and of motive, on which differences depend the true distinctions between inorganic bodies, plants, and animals. It is only of cause properly so called that Newton's third law of the equality of action and reaction is true, and only here do we find the degree of the effect proportionate to that

of the cause. The absence of this feature characterises stimulation. Motive demands knowledge as its condition, and intelligence is therefore the true characteristic of the animal. The three forms are in principle identical, the difference being due to the degrees of receptivity in existence. What is called freedom of the will is therefore an absurdity, as is also Kant's "Practical Reason." These results are followed by an examination of the nature of vision, which Schopenhauer sums up in these words: "I have examined all these visual processes in detail in order to show that the understanding is active in all of them, the understanding which, by apprehending every change as an effect and referring it to its cause, creates on the basis of the *a priori* and fundamental intuitions or perceptions of space and time, the objective world, that phenomenon of the brain, for which the sensations of the senses afford only certain data. And this task the understanding accomplishes only through its proper form, the law of causality, and accomplishes it directly without the aid of reflection, that is, of abstract knowledge through concepts and words, which are the material of secondary knowledge, of thought, thus of the Reason." "What understanding knows aright is reality; what reason knows aright is truth, *i.e.*, a judgment which has a ground; the opposite of the former being illusion (what is falsely perceived), of the latter error (what is falsely thought)." All understanding is an immediate apprehension of the causal relation, and this is the sole function of understanding, and not the complicated working of the twelve Kantian Categories, the theory of which is a mistaken one. A consequence of this conclusion is, that arithmetical processes do not belong to the understanding, concerned as they are with abstract conceptions. But it must not be forgotten that between volition and the apparently consequential action of the body there is no causal relation, for they are the same thing perceived in two different ways. Section 23 contains a detailed refutation of Kant's proof of the *a priori* nature of the causal relation in the "Second Analogy of Experience" of the Critique of Pure Reason, the gist of the objection being that the so-called subjective succession is as much objective in reality as what is called objective by Kant: "Phenomena may well follow one another, without following *from* one another."

## Chapter V.

The fifth chapter commences with an examination of the distinction between man and the brutes. Man possesses *reason*, that is to say, he has a class of ideas of which the brutes are not capable, *abstract* ideas as distinguished from those ideas of perception from which the former kind are yet derived. The consequence is, that the brute neither speaks nor laughs, and lacks all those qualities which make human life great. The nature of *motives*, too, is different where abstract ideas are possible. No doubt the actions of men follow of necessity from their causes, not less than is the case with the brutes, but the kind of sequence through thought which renders choice, *i.e.*, the conscious conflict of motives, possible is different. Our abstract ideas, being incapable of being objects of perception, would be outside consciousness, and the operations of thought would be impossible, were it not that they are fixed for sense by arbitrary signs called words, which therefore always indicate *general* conceptions. It is just because the brutes are incapable of general conceptions that they have no faculty of speech. But thought does not consist in the mere presence of abstract ideas in consciousness, but in the union and separation of two or more of them, subject to the manifold restrictions and modifications which logic deals with. Such a clearly expressed conceptual relation is a judgment. In relation to judgments the principle of sufficient reason is valid in a new form: that of the ground of knowing. In this form it asserts that if a judgment is to express knowledge it must have a ground; and it is just because it has a ground that it has ascribed to it the predicate true. The grounds on which a judgment may depend are divisible into four kinds. A judgment may have another judgment as its ground, in which case its truth is formal or *logical*. There is no truth except in the relation of a judgment to something outside it, and intrinsic truth, which is sometimes distinguished from extrinsic logical truth, is therefore an absurdity. A judgment may also have its ground in sense-perception, and its truth is then material truth. Again, those forms of knowledge which lie in the understanding and in pure sensibility, as the conditions of the possibility of experience, may be the ground of a judgment which is then synthetical *a priori*. Finally, those formal conditions of all thinking which lie in the reason may be the ground of a judgment, which may in that case be called metalogically true. Of these metalogical

judgments there are four, and they were long ago discovered and called laws of thought. (1.) A subject is equal to the sum of its predicates. (2.) A subject cannot at once have a given predicate affirmed and denied of it. (3.) Of two contradictorily opposed predicates one must belong to every subject. (4.) Truth is the relation of a judgment to something outside it as its sufficient reason. Reason, it may be remarked, has no material but only formal truth.

## Chapter VI.

The third class of objects for the subject is constituted by the formal element in perception, the forms of outer and inner sense, space and time. This class of ideas, in which time and space appear as pure intuitions, is distinguished from that other class in which they are objects of perception by the presence of matter which has been shown to be the perceptibility of time and space in one aspect, and causality which has become objective, in another. Space and time have this property, that all their parts stand to one another in a relation in which each is determined and conditioned by another. This relation is peculiar, and is intelligible to us neither through understanding nor through reason, but solely through pure intuition or perception *a priori*. And the law according to which the parts of space and time thus determine one another is called the law of sufficient reason of *being*. In space every position is determined with reference to every other position, so that the first stands to the second in the relation of a consequence to its ground. In time every moment is conditioned by that which precedes it. The ground of being, in the form of the law of sequence, is here very simple owing to the circumstance that time has only one dimension. On the nexus of the position of the parts of space depends the entire science of geometry. Ground of *knowledge* produces *conviction* only, as distinguished from *insight* into the ground of being. Thus it is that the attempt, which even Euclid at times makes, to produce *conviction*, as distinguished from insight into the ground of being, in geometry, is a mistake, and induces aversions to mathematics in many an admirable mind.

## Chapter VII.

The remaining class of objects for the subject is a very peculiar and important one. It comprehends only one object, the immediate object of inner sense, the subject in volition which becomes an object of knowledge, but only in inner sense, and therefore always in time and never in space; and in time only under limitations. There can be no knowledge of knowledge, for that would imply that the subject had separated itself from knowledge, and yet knew knowledge, which is impossible. The subject is the condition of the existence of ideas, and can never itself become idea or object. It knows itself therefore never as *knowing*, but only as *willing*. Thus what we know in ourselves is never what knows, but what wills, the will. The identity of the subject of volition with the subject of knowledge, through which the word "I" includes both, is the insoluble problem. The identity of the knowing with the known is inexplicable, and yet is immediately present. The operation of a motive is not, like that of all other causes, known only from without, and therefore indirectly, but also from within. Motivation is, in fact, causality viewed from within.



## Chapter VIII.

In this, the concluding chapter, Schopenhauer sums up his results. Necessity has no meaning other than that of the irresistible sequence of the effect where the cause is given. All necessity is thus conditioned, and absolute or unconditioned necessity is a contradiction in terms. And there is a fourfold necessity corresponding to the four forms of the principle of sufficient reason: — (1.) The logical form, according to the principle of the ground of knowledge; on account of which, if the premisses are given, the conclusion follows. (2.) The physical form, according to the law of causality; on account of which, if the cause is given, the effect must follow. (3.) The mathematical form, according to the law of being; on account of which every relation expressed by a true geometrical proposition is what it is affirmed to be, and every correct calculation is irrefutable. (4.) The moral form, on account of which every human being and every brute must, when the motive appears, perform the only act which accords with the inborn and unalterable character. A consequence of this is, that every department of science has one or other of the forms of the principle of sufficient reason as its basis. In conclusion, Schopenhauer points out that just because the principle of sufficient reason belongs to the *a priori* element in intelligence, it cannot be applied to the entirety of things, to the universe as inclusive of intelligence. Such a universe is mere phenomenon, and what is only true because it belongs to the form of intelligence can have no application to intelligence itself. Thus it is that it cannot be said that the universe and all things in it exist because of something else. In other words, the cosmological proof of the existence of God is inadmissible.

## ENDNOTES.

[1](#).

This chapter is connected with the last half of § 27 of the first volume.

[2](#).

*De Augm. Scient.*, L. vi. c. 3.

[3](#).

This chapter is connected with § 23 of the first volume.

[4](#).

This chapter and the following one are connected with § 28 of the first volume.

[5](#).

Let me here remark in passing that, judging from the German literature since Kant, one would necessarily believe that Hume's whole wisdom had consisted in his obviously false scepticism with regard to the law of causality, for this alone is everywhere referred to. In order to know Hume one must read his "Natural History of Religion" and his "Dialogues on Natural Religion." There one sees him in his greatness, and these, together with Essay 21 "Of National Characters," are the writings on account of which — I know of nothing that says more for his fame — even to the present day, he is everywhere hated by the English clergy.

[6](#).

This chapter is connected with § 29 of the first volume.

[7](#).

In the *Siècle*, 10th April 1859, there appears, very beautifully written, the story of a squirrel that was magically drawn by a serpent into its very jaws: "Un voyageur qui vient de parcourir plusieurs provinces de l'île de Java cite un exemple remarquable du pouvoir facinateur des serpens. Le

voyageur dont il est question commençait à gravir Junjind, un des monts appelés par les Hollandais Pepergebergte. Après avoir pénétré dans une épaisse forêt, il aperçut sur les branches d'un kijatile un écureuil de Java à tête blanche, folâtrant avec la grâce et l'agilité qui distinguent cette charmante espèce de rongeurs. Un nid sphérique, formé de brins flexible et de mousse, placé dans les parties les plus élevées de l'arbre, à l'enfourchure de deux branches, et une cavité dans le tronc, semblaient les points de mire de ses jeux. A peine s'en était-il éloigné qu'il y revenait avec une ardeur extrême. On était dans le mois de Juillet, et probablement l'écureuil avait en haut ses petits, et dans le bas le magasin à fruits. Bientôt il fut comme saisi d'effroi, ces mouvemens devinrent désordonnés, on eut dit qu'il cherchait toujours à mettre un obstacle entre lui et certaines parties de l'arbre: puis il se tapit et resta immobile entre deux branches. Le voyageur eut le sentiment d'un danger pour l'innocente bête, mais il ne pouvait deviner lequel. Il approcha, et un examen attentif lui fit découvrir dans un creux du tronc une couleuvre lieu, dardant ses yeux fixes dans la direction de l'écureuil. Notre voyageur trembla pour le pauvre écureuil. La couleuvre était si attentive à sa proie qu'elle ne semblait nullement remarquer la présence d'un homme. Notre voyageur, qui était armé, aurait donc prévenir en aide à l'infortuné rongeur en tuant le serpent. Mais la science l'emporta sur la pitié, et il voulut voir quelle issue aurait le drame. Le dénouement fut tragique. L'écureuil ne tarda point à pousser un cri plaintif qui, pour tous ceux qui le connaissent, dénote le voisinage d'un serpent. Il avança un peu, essaya de reculer, revint encore en avant, tâcha de retourner en arrière. Mais s'approcha toujours plus du reptile. La couleuvre, roulée en spirale, la tête au dessus des anneaux, et immobile comme un morceau de bois, ne le quittait pas du regard. L'écureuil, de branche en branche, et descendant toujours plus bas, arriva jusqu'à la partie nue du tronc. Alors le pauvre animal ne tenta même plus de fuir le danger. Attiré par une puissance invincible, et comme poussé par le vertige, il se précipita dans la gueule du serpent, qui s'ouvrit tout à coup démesurément pour le recevoir. Autant la couleuvre avait été inerte jusque là autant elle devint active dès qu'elle fut en possession de sa proie. Déroulant ses anneaux et prenant sa course de bas en haut avec une agilité inconcevable, sa reptation la porta en un clin d'œil au sommet de l'arbre, où elle alla sans doute digérer et dormir."

In this example we see what spirit animates nature, for it reveals itself in it, and how very true is the saying of Aristotle quoted above (p. 106). This story is not only important with regard to fascination, but also as an argument for pessimism. That an animal is surprised and attacked by another is bad; still we can console ourselves for that; but that such a poor innocent squirrel sitting beside its nest with its young is compelled, step by step, reluctantly, battling with itself and lamenting, to approach the wide, open jaws of the serpent and consciously throw itself into them is revolting and atrocious. What monstrous kind of nature is this to which we belong!

“*Augustini de civit. Dei*,” L. xi. c. 27, deserves to be compared as an interesting commentary on what is said here.

[9](#).

This chapter is connected with §§ 30-32 of the first volume.

[10](#).

This chapter is connected with §§ 33-34 of the first volume.

[11](#).

This chapter is connected with § 36 of the first volume.

[12](#).

There is nothing else in the world but the vulgar.

[13](#).

In Medwin’s “Conversations of Lord Byron,” p. 333.

[14](#).

This chapter is connected with the second half of § 36 of the first volume.

[15](#).

*Rgya Tcher Rol Pa, Hist. de Bouddha Chakya Mouni, trad. du Tibétain*, p. Foucaux, 1848, p. 91 et 99.

[16](#).

In German inferiors are sometimes addressed as *Er* instead of *Sie*. — *Trs.*

[17](#).

This chapter is connected with § 38 of the first volume.

[18](#).

This chapter is connected with § 49 of the first volume.

[19](#).

This chapter is connected with § 43 of the first volume.

[20](#).

This chapter is connected with §§ 44-50 of the first volume.

[21](#).

This chapter is connected with § 51 of the first volume.

[22](#).

Lichtenberg (“*Vermischte Schriften*,” new edition, Göttingen, 1884, vol. iii. p. 19) quotes Stanislaus Leszczynski as having said, “*La modestie devrait être la vertu de ceux, a qui les autres manquent.*”

[23](#).

This chapter is connected with § 51 of the first volume.

[24](#).

Let me remark in passing that from this opposition of ποιησις and ιστορια the origin, and also the peculiar significance, of the first word comes out with more than ordinary distinctness; it signifies that which is made, invented, in opposition to what is discovered.

[25](#).

This chapter is connected with § 52 of the first volume.

[26](#).

It would be a false objection that sculpture and painting are also merely in space; for their works are connected, not directly, but yet indirectly, with time, for they represent life, movement, action. And it

would be just as false to say that poetry, as speech, belongs to time alone: this is also true only indirectly of the words; its matter is all existent, thus spatial.

[27](#).

This chapter is connected with § 54 of the first volume.

[28](#).

*In gladiatoriiis pugnis timidos et supplices, et, ut vivere liceat, obsecrantes etiam odisse solemus; fortes et animosos, et se acriter ipsos morti offerentes servare cupimus* (Cic. *pro Milone*, c. 34).

[29](#).

The suspension of the *animal* functions is sleep, that of the *organic* functions is death.

[30](#).

There is only *one present*, and this is always: for it is the sole form of actual existence. One must attain to the insight that the *past* is not *in itself* different from the present, but only in our apprehension, which has time as its form, on account of which alone the present exhibits itself as different from the past. To assist this insight, imagine all the events and scenes of human life, bad and good, fortunate and unfortunate, pleasing and terrible, as they successively present themselves in the course of time and difference of places, in the most checkered multifariousness and variety, as *at once and together*, and always present in the *Nunc stans*, while it is only apparently that now this and now that is; then what the objectification of the will to live really means will be understood. Our pleasure also in *genre* painting depends principally upon the fact that it fixes the fleeting scenes of life. The dogma of metempsychosis has proceeded from the feeling of the truth which has just been expressed.

[31](#).

This posthumous essay is to be found in the “Essays on Suicide and the Immortality of the Soul” by the late David Hume, Basil, 1799, sold by James Decker. By this reprint at Bâle these two works of one of the greatest thinkers and writers of England were rescued from destruction, when in their own land, in consequence of the stupid and utterly contemptible bigotry which prevailed, they had been suppressed through the influence of a powerful and insolent priesthood, to the lasting shame of England. They are entirely passionless, coldly rational investigations of the two subjects named.

[32](#).

Death says: Thou art the product of an act which should not have been; therefore to expiate it thou must die.

[33](#).

*Sancara, s. de theologumenis Vedanticorum*, ed. F. H. H. Windischmann, p. 37; “*Oupnekhat*,” vol. i. p. 387 et p. 78; Colebrooke’s “Miscellaneous Essays,” vol. i. p. 363.

[34](#).

The etymology of the word Nirvana is variously given. According to Colebrooke (“Transact. of the Royal Asiat. Soc.,” vol. i. p. 566) it comes from *va*, “to blow,” like the wind, and the prefixed negative *nir*, and thus signifies a calm, but as an adjective “extinguished.” Obry, also, *Du Nirvana Indien*, p. 3, says: “*Nirvanam en sanscrit signifie à la lettre extinction, telle que celle d’un feu.*” According to the “Asiatic Journal,” vol. xxiv. p. 735, the word is really Neravana, from *nera*, “without,” and *vana*, “life,” and the meaning would be *annihilatio*. In “Eastern Monachism,” by Spence Hardy, p. 295, Nirvana is derived from *vana*, “sinful desires,” with the negative *nir*. J. J. Schmidt, in his translation of the history of the Eastern Mongolians, says that the Sanscrit word Nirvana is translated into Mongolian by a phrase which signifies “departed from misery,” “escaped from misery.” According to the learned lectures of the same in the St. Petersburg Academy, Nirvana is the opposite of Sanfara, which is the world of constant re-birth, of longings and desires, of illusion of the senses and changing forms, of being born, growing old, becoming sick, and dying. In the Burmese language the word Nirvana, according to the analogy of other Sanscrit words, becomes transformed into Nieban, and is translated by “complete vanishing.” See Sangermano’s “Description of the Burmese Empire,” translated by Tandy, Rome, 1833, § 27. In the first edition of 1819 I also wrote Nieban, because we then knew Buddhism only from meagre accounts of the Burmese.

[35](#).

“*Disputatio de corporum habitudine, animæ, hujusque virium indice.*” Harderov., 1789, § 9.

[36](#).

Lichtenberg says in his miscellaneous writings (Göttingen, 1801, vol. ii. p. 447): “In England it was proposed to castrate thieves. The proposal is not bad: the punishment is very severe; it makes persons contemptible, and yet leaves them still fit for trades; and if stealing is hereditary, in this way it is not

propagated. Moreover, the courage ceases, and since the sexual passion so frequently leads to thefts, this cause would also disappear. The remark that women would so much the more eagerly restrain their husbands from stealing is roguish, for as things are at present they risk losing them altogether.”

[37](#).

I have not ventured to express myself distinctly here: the courteous reader must therefore translate the phrase into Aristophanic language.

[38](#).

The fuller discussion of this subject will be found in the “Parerga,” vol. ii. § 92 of the first edition (second edition, pp. 167-170).

[39](#).

[The appendix to this chapter was added only in the third edition of the German, and is meant to explain, in consistency with Schopenhauer’s general principles, the wide prevalence of the practice of pederasty, among different nations and in different ages. It is omitted. — *Trs.*]

[40](#).

This chapter is connected with § 60 of the first volume.

[41](#).

This chapter is connected with §§ 56-59 of the first volume. Also chapters 11 and 12 of the second volume of the “Parerga and Paralipomena” should be compared with it.

[42](#).

All that we lay hold of resists us because it has its own will, which must be overcome.

[43](#).

This chapter is connected with §§ 55, 62, 67 of the first volume.

[44](#).



This chapter is connected with § 68 of the first volume. Chapter 14 of the second volume of the *Parerga* should also be compared with it.

[45](#).

If, on the contrary, asceticism is admitted, the list of the ultimate motives of human action, given in my prize essay on the foundation of morals, namely: (1) our own good, (2) the ill of others, and (3) the good of others, must be supplemented by a fourth, our own ill; which I merely mention here in passing in the interests of systematic consistency. In the essay referred to this fourth motive had to be passed over in silence, for the question asked was stated in the spirit of the philosophical ethics prevailing in Protestant Europe.

[46](#).

Cf. F. H. H. Windischmann's *Sancara, sive de theologumenis Vedanticorum*, pp. 116, 117, 121; and also *Oupnekhat*, vol. i. pp. 340, 356, 360.

[47](#).

Cf. *Die beiden Grundprobleme der Ethik*, p. 274 (second edition, p. 271).

[48](#).

If we keep in view the essential immanence of our knowledge and of all knowledge, which arises from the fact that it is a secondary thing which has only appeared for the ends of the will, it then becomes explicable to us that all mystics of all religions ultimately attain to a kind of ecstasy, in which all and every knowledge, with its whole fundamental form, object and subject, entirely ceases, and only in this sphere, which lies beyond all knowledge, do they claim to have reached their highest goal, for they have then attained to the sphere in which there is no longer any subject and object, and consequently no more knowledge, just because there is no more will, the service of which is the sole destiny of knowledge.

Now, whoever has comprehended this will no longer regard it as beyond all measure extravagant that Fakirs should sit down, and, contemplating the tip of their nose, seek to banish all thought and perception, and that in many passages of the Upanishads instructions are given to sink oneself, silently and inwardly pronouncing the mysterious Oum, in the depths of one's own being, where subject and object and all knowledge disappear.

[49](#).

*S. Bonaventuræ vita S. Francisci*, ch. 8. K. Hase, “*Franz von Assisi*,” ch. 10. “*I cantici di S. Francesco*,” editi da Schlosser e Steinle., Francoforto, s.M., 1842.

[50](#).

*Michælis de Molinos manuductio spiritualis; hispanice 1675, italice 1680, latine 1687, gallice in libro non adeo raro, cui titulus: Recueil de diverses pièces concernant le quiétisme, ou Molinos et ses disciples. Amstd., 1688.*

[51](#).

Matt. xix. 11 *seq.*; Luke xx. (1 Thess. iv. 3; 1 John iii. 3); Rev. 35-37; 1 Cor. vii. 1-11 and 25-40, xiv. 4.

[52](#).

Cf. “*Ueber den Willen in der Natur*,” second edition, p. 124; third edition, p. 135.

[53](#).

For example, John xii. 25, 31, xiv. 30, xv. 18, 19, xvi. 33; Col. ii. 20; Eph. ii. 1-3; 1 John ii. 15-17, iv. 4, 5. On this opportunity one may see how certain Protestant theologians, in their efforts to misinterpret the text of the New Testament in conformity with their rationalistic, optimistic, and unutterably shallow view of life, go so far that they actually falsify this text in their translations. Thus H. A. Schott, in his new version given with the Griesbach text of 1805, has translated the word κόσμος, John xv. 18, 19, by *Judæi*, 1 John iv. 4, by *profani homines*; and Col. ii. 20, στοιχεια του κοσμου by *elementa Judaica*; while Luther everywhere renders the word honestly and correctly by “*Welt*” (world).

[54](#).

*Unusquisque tantum juris habet, quantum potentiâ valet* (*Tract. pol.*, c. 2 § 8). *Fides alicui data tamdiu rata manet, quamdiu ejus, qui fidem dedit, non mutatur voluntas* (*Ibid.*, § 12). *Uniuscujusque jus potentiâ ejus definetur* (*Eth. iv.*, pr. 37, schol. 1.) Especially chap. 16 of the *Tractatus theologico-politicus* is the true compendium of the immorality of Spinoza’s philosophy.

# THE ART OF BEING RIGHT



## 38 WAYS TO WIN AN ARGUMENT

*Translated by T. Bailey Saunders*

*The Art of Being Right: 38 Ways to Win an Argument* is a satirical treatise, examining thirty-eight methods of showing up one's opponent in a debate. Schopenhauer introduces his essay with the idea that philosophers have concentrated in ample measure on the rules of logic, but have not (especially since the time of Immanuel Kant) engaged with the darker art of the dialectic and controversy. Whereas the purpose of logic is classically said to be a method of arriving at the truth, dialectic, Schopenhauer claims, "would treat of the intercourse between two rational beings who, because they are rational, ought to think in common, but who, as soon as they cease to agree like two clocks keeping exactly the same time, create a disputation, or intellectual contest."

Schopenhauer presents all the sophistic tricks so frequently occurring in argument, clearly presenting each in its characteristic setting, illustrated by examples and given a name of its own. He also offers advice to be used against these techniques, as a form of safeguard in philosophical debate. However, when Schopenhauer later revised the text, he found "that such a detailed and minute consideration of the crooked ways and tricks that are used by common human nature to cover up its shortcomings is no longer suited to my temperament and so I lay it aside." He then recorded a few stratagems as specimens for anyone in the future that might care to write a similar essay.

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# THE ART OF BEING RIGHT

## The Extension

The Extension. — This consists in carrying your opponent's proposition beyond its natural limits; in giving it as general a signification and as wide a sense as possible, so as to exaggerate it; and, on the other hand, in giving your own proposition as restricted a sense and as narrow limits as you can, because the more general a statement becomes, the more numerous are the objections to which it is open. The defence consists in an accurate statement of the point or essential question at issue.

Example 1. — I asserted that the English were supreme in drama. My opponent attempted to give an instance to the contrary, and replied that it was a well-known fact that in music, and consequently in opera, they could do nothing at all. I repelled the attack by reminding him that music was not included in dramatic art, which covered tragedy and comedy alone. This he knew very well. What he had done was to try to generalise my proposition, so that it would apply to all theatrical representations, and, consequently, to opera and then to music, in order to make certain of defeating me. Contrarily, we may save our proposition by reducing it within narrower limits than we had first intended, if our way of expressing it favours this expedient.

Example 2. — A. declares that the Peace of 1814 gave back their independence to all the German towns of the Hanseatic League. B. gives an instance to the contrary by reciting the fact that Dantzic, which received its independence from Buonaparte, lost it by that Peace. A. saves himself thus: "I said 'all German towns,' and Dantzic was in Poland."

This trick was mentioned by Aristotle in the *Topica* (bk. viii., cc. 11, 12).

Example 3. — Lamarck, in his *Philosophie Zoologique* (vol. i., ), states that the polype has no feeling, because it has no nerves. It is certain, however, that it has some sort of perception; for it advances towards light by moving in an ingenious fashion from branch to branch, and it seizes its prey. Hence it has been assumed that its nervous system is spread over the whole of its body in equal measure, as though it were blended with it; for it is obvious that the polype possesses some faculty of perception without having any separate organs of sense. Since this assumption refutes

Lamarck's position, he argues thus: "In that case all parts of its body must be capable of every kind of feeling, and also of motion, of will, of thought. The polype would have all the organs of the most perfect animal in every point of its body; every point could see, smell, taste, hear, and so on; nay, it could think, judge, and draw conclusions; every particle of its body would be a perfect animal, and it would stand higher than man, as every part of it would possess all the faculties which man possesses only in the whole of him. Further, there would be no reason for not extending what is true of the polype to all monads, the most imperfect of all creatures, and ultimately to the plants, which are also alive, etc., etc." By using dialectical tricks of this kind a writer betrays that he is secretly conscious of being in the wrong. Because it was said that the creature's whole body is sensitive to light, and is therefore possessed of nerves, he makes out that its whole body is capable of thought.

## The Homonymy

The *Homonymy*. — This trick is to extend a proposition to something which has little or nothing in common with the matter in question but the similarity of the word; then to refute it triumphantly, and so claim credit for having refuted the original statement.

It may be noted here that synonyms are two words for the same conception; homonyms, two conceptions which are covered by the same word. (See Aristotle, *Topica*, bk. i., c. 13.) “Deep,” “cutting,” “high,” used at one moment of bodies, at another of tones, are homonyms; “honourable” and “honest” are synonyms.

This is a trick which may be regarded as identical with the sophism *ex homonymia*; although, if the sophism is obvious, it will deceive no one.

Every light can be extinguished.

The intellect is a light.

Therefore it can, be extinguished.

Here it is at once clear that there are four terms in the syllogism, “light” being used both in a real and in a metaphorical sense. But if the sophism takes a subtle form, it is, of course, apt to mislead, especially where the conceptions which are covered by the same word are related, and inclined to be interchangeable. It is never subtle enough to deceive, if it is used intentionally; and therefore cases of it must be collected from actual and individual experience.

It would be a very good thing if every trick could receive some short and obviously appropriate name, so that when a man used this or that particular trick, he could be at once reproached for it.

I will give two examples of the homonymy.

Example 1 — A.: “You are not yet initiated into the mysteries of the Kantian philosophy.”

B.: “Oh, if it’s mysteries you’re talking of, I’ll have nothing to do with them.”

Example 2. — I condemned the principle involved in the word honour as a foolish one; for, according to it, a man loses his honour by receiving all insult, which he cannot wipe out unless he replies with a still greater insult,



or by shedding his adversary's blood or his own. I contended that a man's true honour cannot be outraged by what he suffers, but only and alone by what he does; for there is no saying what may befall any one of us. My opponent immediately attacked the reason I had given, and triumphantly proved to me that when a tradesman was falsely accused of misrepresentation, dishonesty, or neglect in his business, it was an attack upon his honour, which in this case was outraged solely by what he suffered, and that he could only retrieve it by punishing his aggressor and making him retract.

Here, by a homonymy, he was foisting civic honour, which is otherwise called good name, and which may be outraged by libel and slander, on to the conception of knightly honour, also called point d'honneur, which may be outraged by insult. And since an attack on the former cannot be disregarded, but must be repelled by public disproof, so, with the same justification, an attack on the latter must not be disregarded either, but it must be defeated by still greater insult and a duel. Here we have a confusion of two essentially different things through the homonymy in the word honour, and a consequent alteration of the point in dispute.

## **Generalize your Opponent's Specific Statements**

Another trick is to take a proposition which is laid down relatively, and in reference to some particular matter, as though it were uttered with a general or absolute application; or, at least, to take it in some quite different sense, and then refute it. Aristotle's example is as follows:

A Moor is black; but in regard to his teeth he is white; therefore, he is black and not black at the same moment. This is an obvious sophism, which will deceive no one. Let us contrast it with one drawn from actual experience.

In talking of philosophy, I admitted that my system upheld the Quietists, and commended them. Shortly afterwards the conversation turned upon Hegel, and I maintained that his writings were mostly nonsense; or, at any rate, that there were many passages in them where the author wrote the words, and it was left to the reader to find a meaning for them. My opponent did not attempt to refute this assertion *ad rem*, but contented himself by advancing the *argumentum ad hominem* and telling me that I had just been praising the Quietists, and that they had written a good deal of nonsense too.

This I admitted; but, by way of correcting him, I said that I had praised the Quietists, not as philosophers and writers, that is to say, for their achievements in the sphere of theory, but only as men, and for their conduct in mere matters of practice; and that in Hegel's case we were talking of theories. In this way I parried the attack.

The first three tricks are of a kindred character. They have this in common, that something different is attacked from that which was asserted. It would therefore be an *ignoratio elenchi* to allow oneself to be disposed of in such a manner.

For in all the examples that I have given, what the opponent says is true, but it stands in apparent and not in real contradiction with the thesis. All that the man whom he is attacking has to do is to deny the validity of his syllogism; to deny, namely, the conclusion which he draws, that because his proposition is true, ours is false. In this way his refutation is itself directly refuted by a denial of his conclusion, *per negationem consequentiae*. Another trick is to refuse to admit true premisses because of a foreseen

conclusion. There are two ways of defeating it, incorporated in the next two sections.

## **Conceal Your Game**

If you want to draw a conclusion, you must not let it be foreseen, but you must get the premisses admitted one by one, unobserved, mingling them here and there in your talk: otherwise, your opponent will attempt all sorts of chicanery. Or, if it is doubtful whether your opponent will admit them, you must advance the premisses of these premisses; that is to say, you must draw up pro-syllogisms, and get the premisses of several of them admitted in no definite order. In this way you conceal your game until you have obtained all the admissions that are necessary, and so reach your goal by making a circuit. These rules are given by Aristotle in his *Topica*, bk. viii., c. 1. It is a trick which needs no illustration.

## **False Propositions**

To prove the truth of a proposition, you may also employ previous propositions that are not true, should your opponent refuse to admit the true ones, either because he fails to perceive their truth, or because he sees that the thesis immediately follows from them. In that case the plan is to take propositions which are false in themselves but true for your opponent, and argue from the way in which he thinks, that is to say, *ex concessis*. For a true conclusion may follow from false premisses, but not vice versa. In the same fashion your opponent's false propositions may be refuted by other false propositions, which he, however takes to be true; for it is with him that you have to do, and you must use the thoughts that he uses. For instance, if he is a member of some sect to which you do not belong, you may employ the declared opinions of this sect against him, as principles.

## **Postulate What Has To Be Proved**

Another plan is to beg the question in disguise by postulating what has to be proved, either (1) under another name; for instance, “good repute” instead of “honour”; “virtue” instead of “virginity,” etc.; or by using such convertible terms as “red-blooded animals” and “vertebrates”; or (2) by making a general assumption covering the particular point in dispute: for instance, maintaining the uncertainty of medicine by postulating the uncertainty of all human knowledge. (3) If, vice versâ two things follow one from the other, and one is to be proved, you may postulate the other. (4) If a general proposition is to be proved, you may get your opponent to admit every one of the particulars. This is the converse of the second.

## **Yield Admissions Through Questions**

Should the disputation be conducted on somewhat strict and formal lines, and there be a desire to arrive at a very clear understanding, he who states the proposition and wants to prove it may proceed against his opponent by question, in order to show the truth of the statement from his admissions. This erotematic, or Socratic, method was especially in use among the ancients; and this and some of the tricks following later on are akin to it.

The plan is to ask a great many wide-reaching questions at once, so as to hide what you want to get admitted, and, on the other hand, quickly propound the argument resulting from the admissions; for those who are slow of understanding cannot follow accurately, and do not notice any mistakes or gaps there may be in the demonstration.

## **Make Your Opponent Angry**

This trick consists in making your opponent angry; for when he is angry he is incapable of judging aright, and perceiving where his advantage lies. You can make him angry by doing him repeated injustice, or practising some kind of chicanery, and being generally insolent.



## **Questions in Detouring Order**

Or you may put questions in an order different from that which the conclusion to be drawn from them requires, and transpose them, so as not to let him know at what you are aiming. He can then take no precautions. You may also use his answers for different or even opposite conclusions, according to their character. This is akin to the trick of masking your procedure.

## **Take Advantage of The Nay-Sayer**

If you observe that your opponent designedly returns a negative answer to the questions which, for the sake of your proposition, you want him to answer in the affirmative, you must ask the converse of the proposition, as though it were that which you were anxious to see affirmed; or, at any rate, you may give him his choice of both, so that he may not perceive which of them you are asking him to affirm.

## **Generalize Admissions of Specific Cases**

If you make an induction, and your opponent grants you the particular cases by which it is to be supported, you must refrain from asking him if he also admits the general truth which issues from the particulars, but introduce it afterwards as a settled and admitted fact; for, in the meanwhile, he will himself come to believe that he has admitted it, and the same impression will be received by the audience, because they will remember the many questions as to the particulars, and suppose that they must, of course, have attained their end.

## **Choose Metaphors Favourable to Your Proposition**

If the conversation turns upon some general conception which has no particular name, but requires some figurative or metaphorical designation, you must begin by choosing a metaphor that is favourable to your proposition. For instance, the names used to denote the two political parties in Spain, *Serviles* and *Liberales*, are obviously chosen by the latter. The name *Protestants* is chosen by themselves, and also the name *Evangelicals*; but the Catholics call them *heretics*. Similarly, in regard to the names of things which admit of a more exact and definite meaning: for example, if your opponent proposes an alteration, you can call it an *innovation*, as this is an invidious word. If you yourself make the proposal, it will be the converse. In the first case, you can call the antagonistic principle “the existing order,” in the second, “antiquated prejudice”. What an impartial man with no further purpose to serve would call “public worship” or a “system of religion,” is described by an adherent as “piety,” “godliness”; and by an opponent as “bigotry,” “superstition”. This is, at bottom, a subtle *petitio principii*. What is sought to be proved is, first of all, inserted in the definition, whence it is then taken by mere analysis. What one man calls “placing in safe custody,” another calls “throwing into prison”. A speaker often betrays his purpose beforehand by the names which he gives to things. One may talk of “the clergy”; another, of “the priests”.

Of all the tricks of controversy, this is the most frequent, and it is used instinctively. You hear of “religious zeal,” or “fanaticism”, a “faux pas,” a “piece of gallantry,” or “adultery”; an “equivocal,” or a “bawdy” story; “embarrassment,” or “bankruptcy”; “through influence and connection,” or by “bribery and nepotism”; “sincere gratitude,” or “good pay”.

## **Agree to Reject the Counter-Proposition**

To make your opponent accept a proposition, you must give him the counter-proposition as well, leaving him his choice of the two; and you must render the contrast as glaring as you can, so that to avoid being paradoxical he will accept the proposition, which is thus made to look quite probable. For instance, if you want to make him admit that a boy must do everything that his father tells him to do, ask him “whether in all things we must obey or disobey our parents”. Or, if a thing is said to occur “often,” ask whether by “often” you are to understand few or many cases; and he will say “many”. It is as though you were to put grey next to black, and call it white; or next to white, and call it black.

## **Claim Victory Despite Defeat**

This, which is an impudent trick, is played as follows: When your opponent has answered several of your questions without the answers turning out favourable to the conclusion at which you are aiming, advance the desired conclusion, — although it does not in the least follow, — as though it had been proved, and proclaim it in a tone of triumph. If your opponent is shy or stupid, and you yourself possess a great deal of impudence and a good voice, the trick may easily succeed. It is akin to the fallacy *non causae ut causae*.

## **Use Seemingly Absurd Propositions**

If you have advanced a paradoxical proposition and find a difficulty in proving it, you may submit for your opponent's acceptance or rejection some true proposition, the truth of which, however, is not quite palpable, as though you wished to draw your proof from it. Should he reject it because he suspects a trick, you can obtain your triumph by showing how absurd he is; should he accept it, you have got reason on your side for the moment, and must now look about you; or else you can employ the previous trick as well, and maintain that your paradox is proved by the proposition which he has accepted. For this an extreme degree of impudence is required; but experience shows cases of it, and there are people who practise it by instinct.

## **Arguments Ad Hominem**

Another trick is to use arguments ad hominem, or ex concessis. When your opponent makes a proposition, you must try to see whether it is not in some way — if needs be, only apparently — inconsistent with some other proposition which he has made or admitted, or with the principles of a school or sect which he has commended and approved, or with the actions of those who support the sect, or else of those who give it only an apparent and spurious support; or with his own actions or want of action. For example, should he defend suicide, you may at once exclaim, “Why don’t you hang yourself?” Should he maintain that Berlin is an unpleasant place to live in, you may say, “Why don’t you leave by the first train?” Some such claptrap is always possible.



## **Defense Through Subtle Distinction**

If your opponent presses you with a counter-proof, you will often be able to save yourself by advancing some subtle distinction, which, it is true, had not previously occurred to you; that is, if the matter admits of a double application, or of being taken in any ambiguous sense.

## **Interrupt, Break, Divert the Dispute**

If you observe that your opponent has taken up a line of argument which will end in your defeat, you must not allow him to carry it to its conclusion, but interrupt the course of the dispute in time, or break it off altogether, or lead him away from the subject, and bring him to others. In short, you must effect the trick which will be noticed later on, the *mutatio controversiae*.

## **Generalize the Matter, Then Argue Against it**

Should your opponent expressly challenge you to produce any objection to some definite point in his argument, and you have nothing much to say, you must try to give the matter a general turn, and then talk against that. If you are called upon to say why a particular physical hypothesis cannot be accepted, you may speak of the fallibility of human knowledge, and give various illustrations of it.

## **Draw Conclusions Yourself**

When you have elicited all your premisses, and your opponent has admitted them, you must refrain from asking him for the conclusion, but draw it at once for yourself; nay, even though one or other of the premisses should be lacking, you may take it as though it too had been admitted, and draw the conclusion. This trick is an application of the fallacy *non causae ut causae*.

## **Meet him With a Counter-Argument as Bad as His**

When your opponent uses a merely superficial or sophistical argument and you see through it, you can, it is true, refute it by setting forth its captious and superficial character; but it is better to meet him with a counter-argument which is just as superficial and sophistical, and so dispose of him; for it is with victory that you are concerned, and not with truth. If, for example, he adopts an argumentum ad hominem, it is sufficient to take the force out of it by a counter argumentum ad hominem or argumentum ex concessis; and, in general, instead of setting forth the true state of the case at equal length, it is shorter to take this course if it lies open to you.

## **Petitio principii**

If your opponent requires you to admit something from which the point in dispute will immediately follow, you must refuse to do so, declaring that it is a petitio principii. For he and the audience will regard a proposition which is near akin to the point in dispute as identical with it, and in this way you deprive him of his best argument.

## **Make Him Exaggerate his Statement**

Contradiction and contention irritate a man into exaggerating his statement. By contradicting your opponent you may drive him into extending beyond its proper limits a statement which, at all events within those limits and in itself, is true; and when you refute this exaggerated form of it, you look as though you had also refuted his original statement. Contrarily, you must take care not to allow yourself to be misled by contradiction into exaggerating or extending a statement of your own. It will often happen that your opponent will himself directly try to extend your statement further than you meant it; here you must at once stop him, and bring him back to the limits which you set up: "That's what I said, and no more".

## **State a False Syllogism**

This trick consists in stating a false syllogism. Your opponent makes a proposition, and by false inference and distortion of his ideas you force from it other propositions which it does not contain and he does not in the least mean; nay, which are absurd or dangerous. It then looks as if his proposition gave rise to others which are inconsistent either with themselves or with some acknowledged truth, and so it appears to be indirectly refuted. This is the diversion, and it is another application of the fallacy *non causae ut causae*.



## **Find One Instance to The Contrary**

This is a case of the diversion by means of an instance to the contrary. With an induction (epagoge), a great number of particular instances are required in order to establish it as a universal proposition; but with the diversion (apagoge) a single instance, to which the proposition does not apply, is all that is necessary to overthrow it. This is a controversial method known as the instance — instantia, eustasis. For example, “all ruminants are horned” is a proposition which may be upset by the single instance of the camel. The instance is a case in which a universal truth is sought to be applied, and something is inserted in the fundamental definition of it which is not universally true, and by which it is upset. But there is room for mistake; and when this trick is employed by your opponent, you must observe (1) whether the example which he gives is really true; for there are problems of which the only true solution is that the case in point is not true — for example, many miracles, ghost stories, and so on: and (2) whether it really comes under the conception of the truth thus stated: for it may only appear to do so, and the matter is one to be settled by precise distinctions; and (3) whether it is really inconsistent with this conception; for this again may be only an apparent inconsistency.

## **Turn The Tables**

A brilliant move is the *retorsio argumenti*, or turning of the tables, by which your opponent's argument is turned against himself. He declares, for instance, "So-and-so is a child, you must make allowance for him". You retort, "Just because he is a child, I must correct him; otherwise he will persist in his bad habits".

## **Anger Indicates a Weak Point**

Should your opponent surprise you by becoming particularly angry at an argument, you must urge it with all the more zeal; not only because it is a good thing to make him angry, but because it may be presumed that you have here put your finger on the weak side of his case, and that just here he is more open to attack than even for the moment you perceive.

## **Persuade the Audience, Not The Opponent**

This is chiefly practicable in a dispute between scholars in the presence of the unlearned. If you have no argument *ad rem*, and none either *ad hominem*, you can make one *ad auditores*; that is to say, you can start some invalid objection, which, however, only an expert sees to be invalid. Now your opponent is an expert, but those who form your audience are not, and accordingly in their eyes he is defeated; particularly if the objection which you make places him in any ridiculous light. People are ready to laugh, and you have the laughers on your side. To show that your objection is an idle one, would require a long explanation on the part of your opponent, and a reference to the principles of the branch of knowledge in question, or to the elements of the matter which you are discussing; and people are not disposed to listen to it. For example, your opponent states that in the original formation of a mountain-range the granite and other elements in its composition were, by reason of their high temperature, in a fluid or molten state; that the temperature must have amounted to some 480 degrees Fahrenheit; and that when the mass took shape it was covered by the sea. You reply, by an argument *ad auditores*, that at that temperature — nay, indeed, long before it had been reached, namely, at 212 degrees Fahrenheit — the sea would have been boiled away, and spread through the air in the form of steam. At this the audience laughs. To refute the objection, your opponent would have to show that the boiling-point depends not only on the degree of warmth, but also on the atmospheric pressure; and that as soon as about half the sea-water had gone off in the shape of steam, this pressure would be so greatly increased that the rest of it would fail to boil even at a temperature of 480 degrees. He is debarred from giving this explanation, as it would require a treatise to demonstrate the matter to those who had no acquaintance with physics.

## Diversion

If you find that you are being worsted, you can make a diversion — that is, you can suddenly begin to talk of something else, as though it had a bearing on the matter in dispute, and afforded an argument against your opponent. This may be done without presumption if the diversion has, in fact, some general bearing on the matter; but it is a piece of impudence if it has nothing to do with the case, and is only brought in by way of attacking your opponent.

For example, I praised the system prevailing in China, where there is no such thing as hereditary nobility, and offices are bestowed only on those who succeed in competitive examinations. My opponent maintained that learning, as little as the privilege of birth (of which he had a high opinion), fits a man for office. We argued, and he got the worst of it. Then he made a diversion, and declared that in China all ranks were punished with the bastinado, which he connected with the immoderate indulgence in tea, and proceeded to make both of them a subject of reproach to the Chinese. To follow him into all this would have been to allow oneself to be drawn into a surrender of the victory which had already been won. The diversion is mere impudence if it completely abandons the point in dispute, and raises, for instance, some such objection as “Yes, and you also said just now,” and so on. For then the argument becomes to some extent personal; of the kind which will be treated of in the last section. Strictly speaking, it is half-way between the *argumentum ad personam*, which will there be discussed, and the *argumentum ad hominem*.

How very innate this trick is, may be seen in every quarrel between common people. If one of the parties makes some personal reproach against the other, the latter, instead of answering it by refuting it, allows it to stand, — as it were, admits it; and replies by reproaching his antagonist on some other ground. This is a stratagem like that pursued by Scipio when he attacked the Carthaginians, not in Italy, but in Africa. In war, diversions of this kind may be profitable; but in a quarrel they are poor expedients, because the reproaches remain, and those who look on hear the worst that can be said of both parties. It is a trick that should be used only *faute de mieux*.

## **Appeal to Authority Rather Than Reason**

This is the *argumentum ad verecundiam*. It consists in making an appeal to authority rather than reason, and in using such an authority as may suit the degree of knowledge possessed by your opponent.

Every man prefers belief to the exercise of judgment, says Seneca; and it is therefore an easy matter if you have an authority on your side which your opponent respects. The more limited his capacity and knowledge, the greater is the number of the authorities who weigh with him. But if his capacity and knowledge are of a high order, there are very few; indeed, hardly any at all. He may, perhaps, admit the authority of professional men versed in a science or an art or a handicraft of which he knows little or nothing; but even so he will regard it with suspicion. Contrarily, ordinary folk have a deep respect for professional men of every kind. They are unaware that a man who makes a profession of a thing loves it not for the thing itself, but for the money he makes by it; or that it is rare for a man who teaches to know his subject thoroughly; for if he studies it as he ought, he has in most cases no time left in which to teach it.

But there are very many authorities who find respect with the mob, and if you have none that is quite suitable, you can take one that appears to be so; you may quote what some said in another sense or in other circumstances. Authorities which your opponent fails to understand are those of which he generally thinks the most. The unlearned entertain a peculiar respect for a Greek or a Latin flourish.

You may also, should it be necessary, not only twist your authorities, but actually falsify them, or quote something which you have invented entirely yourself. As a rule, your opponent has no books at hand, and could not use them if he had. The finest illustration of this is furnished by the French curé, who, to avoid being compelled, like other citizens, to pave the street in front of his house, quoted a saying which he described as biblical: *paveant illi, ego non pavebo*. That was quite enough for the municipal officers.

A universal prejudice may also be used as an authority; for most people think with Aristotle that that may be said to exist which many believe. There is no opinion, however absurd, which men will not readily embrace as soon as they can be brought to the conviction that it is generally adopted. Example affects their thought, just as it affects their action. They are like

sheep following the bell-wether just as he leads them. They would sooner die than think. It is very curious that the universality of an opinion should have so much weight with people, as their own experience might tell them that its acceptance is an entirely thoughtless and merely imitative process. But it tells them nothing of the kind, because they possess no self-knowledge whatever. It is only the elect who say with Plato *tois pollois polla dokei*; which means that the public has a good many bees in its bonnet, and that it would be a long business to get at them.

But to speak seriously, the universality of an opinion is no proof, nay, it is not even a probability, that the opinion is right. Those who maintain that it is so must assume (1) that length of time deprives a universal opinion of its demonstrative force, as otherwise all the old errors which were once universally held to be true would have to be recalled; for instance, the Ptolemaic system would have to be restored, or Catholicism re-established in all Protestant countries. They must assume (2) that distance of space has the same effect; otherwise the respective universality of opinion among the adherents of Buddhism, Christianity, and Islam will put them in a difficulty.

When we come to look into the matter, so-called universal opinion is the opinion of two or three persons; and we should be persuaded of this if we could see the way in which it really arises.

We should find that it is two or three persons who, in the first instance, accepted it, or advanced and maintained it; and of whom people were so good as to believe that they had thoroughly tested it. Then a few other persons, persuaded beforehand that the first were men of the requisite capacity, also accepted the opinion. These, again, were trusted by many others, whose laziness suggested to them that it was better to believe at once, than to go through the troublesome task of testing the matter for themselves. Thus the number of these lazy and credulous adherents grew from day to day; for the opinion had no sooner obtained a fair measure of support than its further supporters attributed this to the fact that the opinion could only have obtained it by the cogency of its arguments. The remainder were then compelled to grant what was universally granted, so as not to pass for unruly persons who resisted opinions which every one accepted, or pert fellows who thought themselves cleverer than any one else.

When opinion reaches this stage, adhesion becomes a duty; and henceforward the few who are capable of forming a judgment hold their peace. Those who venture to speak are such as are entirely incapable of

forming any opinions or any judgment of their own, being merely the echo of others' opinions; and, nevertheless, they defend them with all the greater zeal and intolerance. For what they hate in people who think differently is not so much the different opinions which they profess, as the presumption of wanting to form their own judgment; a presumption of which they themselves are never guilty, as they are very well aware. In short, there are very few who can think, but every man wants to have an opinion; and what remains but to take it ready-made from others, instead of forming opinions for himself?

Since this is what happens, where is the value of the opinion even of a hundred millions? It is no more established than an historical fact reported by a hundred chroniclers who can be proved to have plagiarised it from one another; the opinion in the end being traceable to a single individual. It is all what I say, what you say, and, finally, what he says; and the whole of it is nothing but a series of assertions: -

Dico ego, tu dicis, sed denique dixit et ille;  
Dictaque post toties, nil nisi dicta vides.

Nevertheless, in a dispute with ordinary people, we may employ universal opinion as an authority. For it will generally be found that when two of them are fighting, that is the weapon which both of them choose as a means of attack. If a man of the better sort has to deal with them, it is most advisable for him to condescend to the use of this weapon too, and to select such authorities as will make an impression on his opponent's weak side. For, *ex hypothesi*, he is as insensible to all rational argument as a horny-hided Siegfried, dipped in the flood of incapacity, and unable to think or judge.

Before a tribunal the dispute is one between authorities alone, — such authoritative statements, I mean, as are laid down by legal experts; and here the exercise of judgment consists in discovering what law or authority applies to the case in question. There is, however, plenty of room for Dialectic; for should the case in question and the law not really fit each other, they can, if necessary, be twisted until they appear to do so, or vice versa.



## **This is Beyond Me**

If you know that you have no reply to the arguments which your opponent advances, you may, by a fine stroke of irony, declare yourself to be an incompetent judge: “What you now say passes my poor powers of comprehension; it may be all very true, but I can’t understand it, and I refrain from any expression of opinion on it”. In this way you insinuate to the bystanders, with whom you are in good repute, that what your opponent says is nonsense. Thus, when Kant’s *Kritik* appeared, or, rather, when it began to make a noise in the world, many professors of the old eclectic school declared that they failed to understand it, in the belief that their failure settled the business. But when the adherents of the new school proved to them that they were quite right, and had really failed to understand it, they were in a very bad humour.

This is a trick which may be used only when you are quite sure that the audience thinks much better of you than of your opponent. A professor, for instance, may try it on a student.

Strictly, it is a case of the preceding trick: it is a particularly malicious assertion of one’s own authority, instead of giving reasons. The counter-trick is to say: “I beg your pardon; but, with your penetrating intellect, it must be very easy for you to understand anything; and it can only be my poor statement of the matter that is at fault”; and then go on to rub it into him until he understands it *nolens volens*, and sees for himself that it was really his own fault alone. In this way you parry his attack. With the greatest politeness he wanted to insinuate that you were talking nonsense; and you, with equal courtesy, prove to him that he is a fool.

## **Put His Thesis Into Some Odious Category**

If you are confronted with an assertion, there is a short way of getting rid of it, or, at any rate, of throwing suspicion on it, by putting it into some odious category; even though the connection is only apparent, or else of a loose character. You can say, for instance, “That is Manichaeism” or “It is Arianism,” or “Pelagianism,” or “Idealism,” or “Spinozism,” or “Pantheism,” or “Brownianism,” or “Naturalism,” or “Atheism,” or “Rationalism,” “Spiritualism,” “Mysticism,” and so on. In making an objection of this kind, you take it for granted (1) that the assertion in question is identical with, or is at least contained in, the category cited — that is to say, you cry out, “Oh, I have heard that before”; and (2) that the system referred to has been entirely refuted, and does not contain a word of truth.

## **It Applies in Theory, But Not in Practice**

“That’s all very well in theory, but it won’t do in practice.” In this sophism you admit the premisses but deny the conclusion, in contradiction with a well-known rule of logic. The assertion is based upon an impossibility: what is right in theory must work in practice; and if it does not, there is a mistake in the theory; something has been overlooked and not allowed for; and, consequently, what is wrong in practice is wrong in theory too.

## **Don't Let Him Off The Hook**

When you state a question or an argument, and your opponent gives you no direct answer or reply, but evades it by a counter-question or an indirect answer, or some assertion which has no bearing on the matter, and, generally, tries to turn the subject, it is a sure sign that you have touched a weak spot, sometimes without knowing it. You have, as it were, reduced him to silence. You must, therefore, urge the point all the more, and not let your opponent evade it, even when you do not know where the weakness which you have hit upon really lies.

## Will is More Effective Than Insight

There is another trick which, as soon as it is practicable, makes all others unnecessary. Instead of working on your opponent's intellect by argument, work on his will by motive; and he, and also the audience if they have similar interests, will at once be won over to your opinion, even though you got it out of a lunatic asylum; for, as a general rule, half an ounce of will is more effective than a hundred-weight of insight and intelligence. This, it is true, can be done only under peculiar circumstances. If you succeed in making your opponent feel that his opinion, should it prove true, will be distinctly prejudicial to his interest, he will let it drop like a hot potato, and feel that it was very imprudent to take it up.

A clergyman, for instance, is defending some philosophical dogma; you make him sensible of the fact that it is in immediate contradiction with one of the fundamental doctrines of his Church, and he abandons it.

A landed proprietor maintains that the use of machinery in agricultural operations, as practised in England, is an excellent institution, since an engine does the work of many men. You give him to understand that it will not be very long before carriages are also worked by steam, and that the value of his large stud will be greatly depreciated; and you will see what he will say.

In such cases every man feels how thoughtless it is to sanction a law unjust to himself — *quam temere in nosmet legem sancimus iniquam!* Nor is it otherwise if the bystanders, but not your opponent, belong to the same sect, guild, industry, club, etc., as yourself. Let his thesis be never so true, as soon as you hint that it is prejudicial to the common interests of the said society, all the bystanders will find that your opponent's arguments, however excellent they be, are weak and contemptible; and that yours, on the other hand, though they were random conjecture, are correct and to the point; you will have a chorus of loud approval on your side, and your opponent will be driven out of the field with ignominy. Nay, the bystanders will believe, as a rule, that they have agreed with you out of pure conviction. For what is not to our interest mostly seems absurd to us; our intellect being no *siccum lumen*. This trick might be called "taking the tree by its root"; its usual name is the *argumentum ab utili*.

## **Bewilder Your Opponent by Mere Bombast**

You may also puzzle and bewilder your opponent by mere bombast; and the trick is possible, because a man generally supposes that there must be some meaning in words:

Gewöhnlich glaubt der Mensch, wenn er nur Worte hört,  
Es müsse sich dabei doch auch was denken lassen.

If he is secretly conscious of his own weakness, and accustomed to hear much that he does not understand, and to make as though he did, you can easily impose upon him by some serious fooling that sounds very deep or learned, and deprives him of hearing, sight, and thought; and by giving out that it is the most indisputable proof of what you assert. It is a well-known fact that in recent times some philosophers have practised this trick on the whole of the public with the most brilliant success. But since present examples are odious, we may refer to *The Vicar of Wakefield* for an old one.

## **A Faulty Proof Refutes His Whole Position**

Should your opponent be in the right, but, luckily for your contention, choose a faulty proof, you can easily manage to refute it, and then claim that you have thus refuted his whole position. This is a trick which ought to be one of the first; it is, at bottom, an expedient by which an argumentum ad hominem is put forward as an argumentum ad rem. If no accurate proof occurs to him or to the bystanders, you have won the day. For example, if a man advances the ontological argument by way of proving God's existence, you can get the best of him, for the ontological argument may easily be refuted. This is the way in which bad advocates lose a good case, by trying to justify it by an authority which does not fit it, when no fitting one occurs to them.

## **Become Personal, Insulting, Rude**

A last trick is to become personal, insulting, rude, as soon as you perceive that your opponent has the upper hand, and that you are going to come off worst. It consists in passing from the subject of dispute, as from a lost game, to the disputant himself, and in some way attacking his person. It may be called the *argumentum ad personam*, to distinguish it from the *argumentum ad hominem*, which passes from the objective discussion of the subject pure and simple to the statements or admissions which your opponent has made in regard to it. But in becoming personal you leave the subject altogether, and turn your attack to his person, by remarks of an offensive and spiteful character. It is an appeal from the virtues of the intellect to the virtues of the body, or to mere animalism. This is a very popular trick, because every one is able to carry it into effect; and so it is of frequent application. Now the question is, What counter-trick avails for the other party? for if he has recourse to the same rule, there will be blows, or a duel, or an action for slander.



# ON THE WILL IN NATURE



*Translated by Mme. Karl Hillebrand*

During the last twenty-eight years of his life, Schopenhauer lived in Frankfurt, which he felt to be free from the threat of cholera, only leaving the city for brief interludes. He had finally renounced his career as a university professor and he lived henceforth as a recluse, wholly absorbed in his studies (particularly in the natural sciences) and his writings. His life now took on the shape that posterity first came to know: the measured uniformity of the days; the strict, ascetic lifestyle modelled after Kant; the old-fashioned attire; the tendency to gesticulative soliloquy.

His was not idle in his leisure, however. In 1836, after nineteen years of “silent indignation,” he published the short treatise *On the Will in Nature*, which skilfully utilises the queries and findings of the rapidly expanding natural sciences in support of his theory of the will. The preface for the first time openly expresses the philosopher’s devastating verdict on the “charlatan” Hegel and his clique.



*Schopenhauer's home in Frankfurt*

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*Georg Wilhelm Friedrich Hegel (1770–1831) by Jakob Schlesinger, 1831*

Τοιαῦτ' ἔμοῦ λόγοισιν ἐξηγουμένου,  
Οὐκ ἤξιωσαν οὐδὲ προσβλέψαι τὸ πᾶν·  
Ἄλλ' ἐκδιδάσκει πάνθ' ὁ γηράσκων χρόνος.

Æsch.

# ON THE WILL IN NATURE

## PREFACE TO THE SECOND EDITION.

To my great joy I have lived to revise even this little work, after a lapse of nineteen years, and that joy is enhanced by the special importance of this treatise for my philosophy. For, starting from the purely empirical, from the observations of unbiassed physical investigators — themselves following the clue of their own special sciences — I here immediately arrive at the very kernel of my Metaphysic; I establish its points of contact with the physical sciences and thus corroborate my fundamental dogma, in a sense, as the arithmetician proves a sum: for by this I not only confirm it more closely and specially, but even make it more clearly, easily, and rightly understood than anywhere else.

The improvements in this new edition are confined almost entirely to the Additions; for scarcely anything that is worth mentioning in the First Edition has been left out, while I have inserted many and, in some cases, important new passages.

But, even in a general sense, it may be looked upon as a good sign, that a new edition of the present treatise should have been found necessary; since it shows that there is an interest in serious philosophy and confirms the fact that the necessity for real progress in this direction is now more strongly felt than ever. This is based upon two circumstances. The first is the unparalleled zeal and activity displayed in every branch of Natural Science which, as this pursuit is mostly in the hands of people who have learned nothing else, threatens to lead to a gross, stupid Materialism, the *more immediately* offensive side of which is less the moral bestiality of its ultimate results, than the incredible absurdity of its first principles; for by it even vital force is denied, and organic Nature is degraded to a mere chance play of chemical forces.<sup>1</sup> These knights of the crucible and retort should be made to understand, that the mere study of Chemistry qualifies a man to become an apothecary, but not a philosopher. Certain other like-minded investigators of Nature, too, must be taught, that a man may be an accomplished zoologist and have the sixty species of monkeys at his fingers' ends, yet on the whole be an ignoramus to be classed with the vulgar, if he has learnt nothing else, save perhaps his school-catechism. But

in our time this frequently happens. Men set themselves up for enlighteners of mankind, who have studied Chemistry, or Physics, or Mineralogy and nothing else under the sun; to this they add their only knowledge of any other kind, that is to say, the little they may remember of the doctrines of the school-catechism, and when they find that these two elements will not harmonize, they straightway turn scoffers at religion and soon become shallow and absurd materialists.<sup>2</sup> They may perhaps have heard at college of the existence of a Plato and an Aristotle, of a Locke, and especially of a Kant; but as these folk never handled crucibles and retorts or even stuffed a monkey, they do not esteem them worthy of further acquaintance. They prefer calmly to toss out of the window the intellectual labour of two thousand years and treat the public to a philosophy concocted out of their own rich mental resources, on the basis of the catechism on the one hand, and on that of crucibles and retorts or the catalogue of monkeys on the other. They ought to be told in plain language that they are ignoramuses, who have much to learn before they can be allowed to have any voice in the matter. Everyone, in fact, who dogmatizes at random, with the *naïve* realism of a child on such arguments as God, the soul, the world's origin, atoms, &c. &c. &c., as if the Critique of Pure Reason had been written in the moon and no copy had found its way to our planet — is simply one of the vulgar. Send him into the servants' hall, where his wisdom will best find a market.<sup>3</sup>

The other circumstance which calls for a real progress in philosophy, is the steady growth of unbelief in the face of all the hypocritical dissembling and the outward conformity to the Church. This unbelief necessarily and unavoidably goes hand in hand with the growing expansion of empirical and historical knowledge. It threatens to destroy not only the form, but even the spirit of Christianity (a spirit which has a much wider reach than Christianity itself), and to deliver up mankind to *moral* materialism — a thing even more dangerous than the chemical materialism already mentioned. And nothing plays more into the hands of this unbelief, than the Tartuffianism *de rigueur* impudently flaunting itself everywhere just now, whose clumsy disciples, fee in hand, hold forth with such unction and emphasis, that their voices penetrate even into learned, critical reviews issued by Academies and Universities, and into physiological as well as philosophical books, where however, being quite in their wrong place, they only damage their own cause by rousing indignation.<sup>4</sup> Under such

circumstances as these, it is gratifying to see the public betray an interest in philosophy.

I have nevertheless one sad piece of news to communicate to our professors of philosophy. Their Caspar Hauser (according to Dorguth) whom they had so carefully secreted, so securely walled up for nearly forty years, that no sound could betray his existence to the world — their Caspar Hauser — I say, has escaped! He has escaped and is running about in the world; — some even say he is a prince. In plain language, the misfortune they feared more than anything has come to pass after all. In spite of their having done their best to prevent it for more than a generation by acting with united force, with rare constancy, secreting and ignoring to a degree that is without example, my books are beginning and henceforth will continue to be read. *Legor et legar*: there is no help for it. This is really dreadful and most inopportune; nay, it is a positive fatality, not to say calamity. Is this the recompense for all their faithful, snug secrecy; for having held so firmly and unitedly together? Poor time-servers! What becomes of Horace's assurance: —

“Est et fideli tuta silentio

Merces, —— ?”

For verily they have not been deficient in faithful reticence; rather do they excel in this quality wherever they scent merit. And, after all, it is no doubt the cleverest artifice; for what no one knows, is as though it did not exist. Whether the *merces* will remain quite so *tuta*, seems rather doubtful — unless we are to take *merces* in a *bad* sense; and for this the support of many a classical authority might certainly be found. These gentlemen had seen quite rightly that the only means to be used against my writings, was to secrete them from the public by maintaining profound silence concerning them, while they kept up a loud noise at the birth of every misshapen offspring of professorial philosophy; as the voice of the new-born Zeus was drowned in days of yore by the clashing of the cymbals of the Corybantes. But this expedient is now used up; the secret is out — the public has discovered me. The rage of our professors of philosophy at this is great, but powerless; for their only effective resource, so long successfully employed, being exhausted, no snarling can avail any longer against my influence, and in vain do they now take this, or that, or the other attitude. They have certainly succeeded, so far as the generation which was properly speaking contemporaneous with my philosophy, went to the grave in ignorance of it.



But this was a mere postponement, and Time has kept its word, as it always does.

Now there are two reasons why these gentlemen “in the philosophical trade” — as they call themselves with incredible *naïveté* — hate my philosophy. The first of them is, that my writings spoil the taste of the public for tissues of empty phrases, for accumulations of unmeaning words piled one upon another, for hollow, superficial, brain-racking twaddle, for Christian dogmatics under the disguise of the most wearisome Metaphysics, for systematized Philistinism of the flattest kind made to represent Ethics and even accompanied by instructions for card-playing and dancing — in short, they unfit my readers for the whole method of philosophising *à la vieille femme*, which has scared so many for ever from the pursuit of philosophy.

The second reason is, that our gentlemen “in the trade” are absolutely bound in conscience not to let my philosophy pass and are therefore debarred from using it for the benefit of “the trade;” — and this they even heartily regret; for my abundance might have been admirably turned to account for the benefit of their own needy poverty. But even if it contained the greatest hoards of human wisdom ever unearthed, my doctrine could never find favour with them either now or in the future; for it is absolutely wanting in all Speculative Theology and Rational Psychology, and these, just these, are the very breath of life to these gentlemen, the *sine qua non* of their existence. For they are anxious before all things in heaven and on earth, to hold their official appointments, and these appointments demand before all things in heaven and on earth a Speculative Theology and a Rational Psychology: *extra hæc non datur salus*. Theology there must and shall be, no matter whence it come; Moses and the Prophets must be made out to be in the right: this is the highest principle in philosophy; and there must be Rational Psychology to boot, as is proper. Now there is nothing of the sort to be found either in Kant’s philosophy or in mine. For, as we all know, the most cogent theological argumentation shivers to atoms like a glass thrown at a wall, when it is brought into contact with Kant’s Critique of all Speculative Theology, and under his hands not a shred remains entire of the whole tissue of Rational Psychology! As to myself, being the bold continuer of Kant’s philosophy, I have entirely done away with all Speculative Theology and all Rational Psychology, as is only consistent and honest.<sup>2</sup> On the other hand, the task incumbent upon University Philosophy

is at bottom this: to set forth the chief fundamental truths belonging to the Catechism under the veil of some very abstract, abstruse and difficult, therefore painfully wearisome formulas and sentences; wherefore, however confused, intricate, strange and eccentric the matter may seem at first sight, these truths invariably reveal themselves as its kernel. This proceeding may be useful, though to me it is unknown. All I know is, that philosophy, *i.e.* the search after truth — I mean the truth κατ' ἑξοχήν, by which the most sublime and important disclosures, more precious than anything else to the human race, are understood — will never advance a step, nay, an inch, by means of such manœuvring, by which its course is on the contrary impeded; therefore I found out long ago that University philosophy is the enemy of all genuine philosophy. Now, this being the state of the case, when a really honest philosophy arises, which seriously has truth for its sole aim, must not these gentlemen “of the philosophical trade” feel as might stage-knights in paste-board armour, were a knight suddenly to appear in the midst of them clad in real armour, who made the stage-floor creak under his ponderous tread? Such philosophy as this *must* therefore be bad and false and consequently places these gentlemen “of the trade” under the painful obligation of playing the part of him who, in order to appear what he is not, cannot allow others to pass for what they really are. Out of all this however there unrolls itself the amusing spectacle we enjoy, when these gentlemen, now that ignoring has unfortunately come to an end, after forty years, at last begin to measure me by their own puny standard and pass judgment upon me from the heights of their wisdom, as though they were amply qualified to do so by their office; but they are most amusing of all when they assume airs of superiority towards me.

Their abhorrence of Kant, though less openly expressed, is scarcely less great than their hatred of me; precisely because all speculative Theology and all Rational Psychology — the bread-winners of these gentlemen — have been undermined, not to say irrevocably ruined, by him in the eyes of all serious thinkers. What! Not hate him? him, who has made their “trade in philosophy” so difficult to them, that they hardly see how to pull through honourably! So Kant and I are accordingly both bad, and these gentlemen quite overlook us. For nearly forty years they have not deigned to cast a glance upon me, and now they look down condescendingly upon Kant from the heights of their wisdom, smiling in pity at his errors. This policy is both very wise and very profitable; since they are thus able to hold forth at their

ease volume after volume upon God and the soul, as if these were personalities with whom they were intimately acquainted, and to discourse upon the relation in which the former stands to the world and the latter to the body, just as if there had never been such a thing as a Critique of Pure Reason. When once the Critique of Pure Reason is done away with, all will go on splendidly! Now it is for this end that they have been endeavouring for many years quietly and gradually to set Kant aside, to make him obsolete, nay, to turn up their noses at him, and one being encouraged by the other in this, they are becoming bolder every day.<sup>6</sup> They have no opposition to fear from their own colleagues, since they all have the same aims and the same mission and all together form a numerous *coterie*, the brilliant members of which, *coram populo*, bow and scrape to each other on all sides. Thus by degrees things have come to such a point, that the wretchedest compilers of manuals have the presumption to treat Kant's grand, immortal discoveries as antiquated errors, nay, calmly to set them aside with the most ludicrous arrogance and most impudent dicta of their own, which they nevertheless lay down under the disguise of argumentation, because they know they may count upon a credulous public, to whom Kant's writings are not known.<sup>7</sup> And this is what happens to Kant on the part of writers, whose total incapacity strikes us in every page, not to say every line, we read of their unmeaning, stupefying verbiage! Were this to go on much longer, Kant would present the spectacle of the dead lion being kicked by the donkey. Even in France there is no lack of fellow-workers inspired by a similar orthodoxy, who are labouring towards the same end. A certain M. Barthélemy de St. Hilaire, for instance, in a lecture delivered in the *Académie des Sciences Morales* in April, 1850, has presumed to criticize Kant with an air of condescension and to use most improper language in speaking of him; luckily however in such a way, that no one could fail to see the underlying purpose.<sup>8</sup>

Now others among our German "traders in philosophy" again try to get rid of the obnoxious Kant in a different way: instead of attacking his philosophy point-blank, they rather seek to undermine the foundations on which it is built. These people however are so utterly forsaken by all the gods and by all power of judgment, that they attack *à priori* truths: that is to say, truths as old as the human understanding, nay, which constitute that understanding itself, and which it is therefore impossible to contradict without declaring war against that understanding also. So great however is

the courage of these gentlemen. I am sorry to say I know of three,<sup>9</sup> and I am afraid there are a good many more at work at this undermining process, who have the incredible presumption to maintain the *à posteriori* origin of Space as a consequence, a mere relation, of the objects *within it*; for they assert that Space and Time are of empirical origin and attached to those bodies, so that [according to them] Space first arises through our perception of the juxtaposition of bodies and Time likewise through our perception of the succession of changes (*sancta simplicitas!* as if the words “collateral” and “successive” would have any sense for us without the antecedent intuitions of Space and of Time to give them a meaning); consequently, that if there were no bodies, there would be no Space, therefore if they disappeared Space also must lapse, and that if all changes were to stop, Time also would stop.<sup>10</sup>

And such stuff as this is gravely taught fifty years after Kant’s death! The aim of it is, as we know, to undermine Kantian philosophy, and certainly if these propositions were true, *one* stroke would suffice to overthrow it. Fortunately however these assertions are of a kind which is met by derision rather than by serious refutation. For, in them, the question is one of heresy, not so much against Kantian philosophy, as against common sense; and they are not so much an attack upon any particular philosophical dogma, as upon an *à priori* truth which, as such, constitutes human understanding itself, and therefore must be instantaneously evident to every one who is in his senses, just as much as that  $2 \times 2 = 4$ . Fetch me a peasant from the plough; make the question intelligible to him; and he will tell you, that even if all things in Heaven and on Earth were to vanish, Space would nevertheless remain, and that if all changes in Heaven and on Earth were to cease, Time would nevertheless flow on. Compared with German pseudo-philosophers like these, how estimable does a man like the French physicist Pouillet appear, who, though he never troubles his head about Metaphysics, is careful to incorporate two long paragraphs, one on *l’Espace*, the other on *le Temps*, in the first chapter of his well-known Manual, on which public instruction in France is based, where he shows that if all Matter were annihilated, Space would still remain, and that Space is infinite; and that if all changes ceased, Time would still pursue its course without end. Now here he does not appeal, as in all other cases, to experience, because in this case experience is not possible; yet he speaks with apodeictic certainty. For, as a physicist, professing a science which is

absolutely immanent — *i.e.* limited to the reality that is empirically given — it never comes into his head to inquire whence he knows all this. It *did* come into Kant's head, and it was this very problem, clothed by him in the severe form of an inquiry as to the possibility of synthetical *à priori* judgments, that became the starting-point and the corner-stone of his immortal discoveries, or in other words, of Transcendental Philosophy which, precisely by answering this question and others related to it, shows what is the nature of that empirical reality itself.<sup>11</sup>

And seventy years after the Critique of Pure Reason had appeared and filled the world with its fame, these gentlemen dare to serve up such gross absurdities, which were done away with long ago, and to return to former barbarism. If Kant were to come back and see all this mischief, he would feel like Moses on returning from Mount Sinai, when he found his people worshipping the golden calf, and dashed the Tables to pieces in his anger. But if Kant were to take things as tragically as Moses, I should console him with the words of Jesus Sirach:<sup>12</sup> “He that telleth a tale to a fool speaketh to one in a slumber; when he hath told his tale, he will say, ‘What is the matter?’” For that diamond in Kant's crown, Transcendental *Æsthetic*, never has existed for these gentlemen — it is tacitly set aside, as *non-avenue*. I wonder what they think Nature means by producing the rarest of all her works, a great mind, one among so many hundreds of millions, if the worshipful company of numskulls are to be able at their pleasure and by their mere counter-assertion to annul the weightiest doctrines emanating from that mind, let alone to treat them with disregard and do as if they did not exist.

But this degenerate, barbarous state of philosophy which, in the present day, emboldens every tyro to hold forth at random upon subjects that have puzzled the greatest minds, is precisely a consequence still remaining of the impunity with which — thanks to the connivance of our professors of philosophy — that audacious scribbler, Hegel, has been allowed to flood the market with his monstrous vagaries and so to pass for the greatest of all philosophers for the last thirty years in Germany. Every one of course now thinks himself entitled to serve up confidently anything that may happen to come into his sparrow's brain.

Therefore, as I have said, the gentlemen of the ‘philosophical trade’ are anxious before all things to obliterate Kant's philosophy, in order to be able to return to the muddy canal of the old dogmatism and to talk at random to

their heart's content upon the favourite subjects which are specially recommended to them: just as if nothing had happened and neither a Kant nor a Critical Philosophy had ever come into the world.<sup>13</sup> The affected veneration for, and laudation of, Leibnitz too, which has been showing itself everywhere for some years, proceed from the same source. They like to place him in a line with, nay above, Kant, having at times the assurance to call him the greatest of all German philosophers. Now, compared with Kant, Leibnitz is a poor rushlight. Kant is a master-mind, to whom mankind is indebted for the discovery of never-to-be-forgotten truths. One of his chief merits is precisely, to have delivered us from Leibnitz and his subtleties: from pre-established harmonies, monads and *identitas indiscernibilium*. Kant has made philosophy serious and I am keeping it so. That these gentlemen should think differently is easily explained; for has not Leibnitz a central Monad and a *Theodicee* also, with which to deck it out? Now this is quite to the taste of my gentlemen 'of the philosophical trade.' It does not stand in the way of earning a honest livelihood; it allows one to subsist; whereas such a thing as Kant's "Critique of all Speculative Theology," makes one's hair stand on end. Kant is consequently a wrong-headed man and one to be set aside. Vivat Leibnitz! Vivat the 'philosophical trade!' Vivat old woman's philosophy! These gentlemen really imagine that, according to the standard of their own petty aims, they can obscure what is good, disparage what is great, and accredit what is false. They may perhaps succeed in doing so for a time, but certainly not in the long run, nor with impunity. Notwithstanding all their machinations and spiteful ignoring of me for forty years, have not even I at last made my way? During those forty years however I have learnt to appreciate Chamfort's words: "*En examinant la ligue des sots contre les gens d'esprit, on croirait voir une conspiration de valets pour écarter les maîtres.*"

We do not care to have much to do with those whom we dislike. One of the consequences of this antipathy for Kant, therefore, has been an incredible ignorance of his doctrines. I can scarcely believe my eyes at times, when I see certain proofs of this ignorance, and must here support my assertion by a few examples. First let me present a very singular specimen, though it is now some years old. In Professor Michelet's "Anthropology and Psychology" (p. 444), he states Kant's Categorical Imperative in the following words: "thou must, for thou canst" (*du sollst, denn du kannst*). This cannot be a *lapsus calami*, for he again states it in the



same words in his “History of the Development of Modern German Philosophy” (p. 38),<sup>14</sup> published three years later. Letting alone the fact that he appears to have studied Kantian philosophy in Schiller’s epigrams, he has thus turned the thing upside down, and expressed exactly the opposite of Kant’s argument; evidently without having the slightest inkling of what Kant meant by that postulate of Freedom on the basis of his Categorical Imperative. None of Professor Michelet’s colleagues, to my knowledge, have pointed out this mistake, but “*hanc veniam damus, petimusque vicissim.*” — Another more recent instance. The above mentioned reviewer of Oersted’s book (see note 1 (c), p. 202), to whose title the present treatise unfortunately had to stand godfather, comes in that work on the sentence that “bodies are spaces filled with force” (*krafterfüllte Räume*). This is new to him; so without the faintest suspicion that he has to do with a far-famed Kantian dogma, and taking this for a paradoxical opinion of Oersted’s, he attacks it and argues against it bravely, persistently and repeatedly in both his reviews, which appeared at an interval of three years from one another, using arguments like these: “Force cannot fill Space without something substantial, Matter;” then again three years later: “Force in Space does not yet constitute any thing. For Force to fill Space, there must be Substance, Matter. A mere force can never fill. Matter must be there for it to fill.” — Bravo! my cobbler would use just such arguments as these.<sup>15</sup> — When I see *specimina eruditionis* of this sort, I begin to have my misgivings whether I did not do the man injustice by naming him among those who endeavour to undermine Kant; but in this, to be sure, I had in view his assertions that “Space is but the relation, the juxtaposition of things,”<sup>16</sup> and that “Space is a relation in which things stand, a juxtaposition of things. This juxtaposition ceases to be a conception as soon as the conception of Matter ceases.”<sup>17</sup> For he might possibly have penned these sentences in sheer innocence, since he may have known no more of the “Transcendental Æsthetic” than of the “Metaphysical First Principles of Natural Science;” though to be sure, this would be rather extraordinary for a professor of philosophy. Now-a-days however we must not be surprised at anything. For all knowledge of Critical Philosophy has died out, in spite of its being the latest true philosophy that has appeared, and a doctrine withal, that has made a revolution and epoch in human knowledge and thought. Now therefore, since it has overthrown all previous systems, and since the knowledge of it has died out, philosophising no longer proceeds on the basis of any of the doctrines

propounded by the great minds of the past, but becomes a mere random untutored process, having an ordinary education and the catechism for its foundation. Now that I have startled them however, our professors may perhaps take to studying Kant's works again. Still Lichtenberg says: "Past a certain age, I think it as impossible to learn Kantian Philosophy as to learn rope-dancing."

I should certainly not have condescended to record the sins of these sinners had not the interests of truth required that I should do so, in order to show the state of degradation at which German Philosophy has arrived fifty years after Kant's death in consequence of the machinations of the gentlemen 'of the trade,' and also to show what would result, if these puny minds, who know nothing but their own ends, were to be suffered without hindrance to check the influence of the great geniuses who have illumined the world. I cannot look on at this in silence; it is rather a case to which Göthe's exhortation applies:

"Du Kräftiger, sei nicht so still,  
Wenn auch sich Andre scheuen:  
Wer den Teufel erschrecken will,  
Der muss laut schreien."

Dr. Martin Luther thought so also.

Hatred against Kant, hatred against me, hatred against truth, all however *in majorem Dei gloriam*, is what inspires these worthies who live on philosophy. Who can be so blind as not to see that University philosophy is the enemy of all true, serious philosophy, whose progress it feels bound to withstand? For a philosophy which deserves the name, is pure service of truth, therefore the most sublime of all human endeavours; but, as such, it is not adapted for a trade. Least of all can it have its seat in Universities, where a theological Faculty predominates and things are irrevocably decided beforehand ere philosophy comes to them. With Scholasticism, from which University philosophy descends, it was quite a different thing. Scholasticism was avowedly the *ancilla theologiæ*, so that here the name corresponded to the thing. Our University philosophy of to-day, on the contrary, disclaims the connection, and professes independent research; yet in reality it is only the *ancilla* disguised, and it is intended no less than its predecessor to be the servant of Theology. Thus genuine, sincerely meant philosophy has an adversary under the guise of an ally in University philosophy. Therefore I said long ago, that nothing would be of greater



benefit to philosophy than for it to cease altogether to be taught at Universities; and if at that time I still admitted the propriety of a brief, quite succinct course of History of Philosophy accompanying Logic — which undoubtedly ought to be taught at Universities — I have since withdrawn that hasty concession in consequence of the following disclosure made to us in the *Göttingischen Gelehrten Anzeigen* of the 1st January, 1853, p. 8, by the *Ordinarius loci* (one who writes History of Philosophy in thick volumes): “It could not be mistaken that Kant’s doctrine is ordinary Theism, and that it has contributed little or nothing towards transforming the current views on God and his relation to the world.” — If this is the state of the case, Universities are in my opinion no longer the right place even for teaching History of Philosophy. There designs and intentions reign paramount. I had indeed long ago begun to suspect, that History of Philosophy was taught at our Universities in the same spirit and with the same *granum salis* as Philosophy itself, and it needed but very little to make my suspicions certainty. Accordingly it is my wish to see both Philosophy and its History disappear from the lecture-list, because I desire to rescue them from the tender mercies of our court-councillors.<sup>18</sup> But far be it from me, to wish to see our professors of philosophy removed from their thriving business at our Universities. On the contrary, what I should like would be, to see them promoted three degrees higher in dignity and raised to the highest faculty, as professors of Theology. For at the bottom they have really been this for some time already, and have served quite long enough as volunteers.

Meanwhile my honest and kindly advice to the young generation is, not to waste any time with University philosophy, but to study Kant’s works and my own instead. I promise them that there they will learn something substantial, that will bring light and order into their brains: so far at least as they may be capable of receiving them. It is not good to crowd round a wretched farthing rushlight when brilliant torches are close by; still less to run after will o’ the wisps. Above all, my truth-seeking young friends, beware of letting our professors tell you what is contained in the Critique of Pure Reason. Read it yourselves, and you will find in it something very different from what they deem it advisable for you to know. — In our time a great deal too much study is generally devoted to the History of Philosophy; for this study, being adapted by its very nature to substitute knowledge for reflection, is just now cultivated downright with a view to making

philosophy consist in its own history. It is not only of doubtful necessity, but even of questionable profit, to acquire a superficial half-knowledge of the opinions and systems of all the philosophers who have taught for 2,500 years; yet what more does the most honest history of philosophy give? A real knowledge of philosophers can only be acquired from their own works, and not from the distorted image of their doctrines as it is found in the commonplace head.<sup>19</sup> But it is really urgent that order should be brought into our heads by some sort of philosophy, and that we should at the same time learn to look at the world with a really unbiassed eye. Now no philosophy is so near to us, both as regards time and language, as that of Kant, and it is at the same time a philosophy, compared with which all those which went before are superficial. On this account it is unhesitatingly to be preferred to all others.

But I perceive that the news of Caspar Hauser's escape has already spread among our professors of philosophy; for I see that some of them have already given vent to their feelings in bitter and venomous abuse of me in various periodicals, making up by falsehoods for their deficiency of wit.<sup>20</sup> Nevertheless I do not complain of all this, because I am rejoiced at the cause and amused by the effect of it, as illustrative of Göthe's verse:

“Es will der Spitz aus unserm Stall  
Uns immerfort begleiten:  
Doch seines Bellens lauter Schall  
Beweist nur, dass wir reiten.”  
Arthur Schopenhauer.

Frankfurt am Mein,  
*August, 1854.*

## EDITOR'S PREFACE TO THE THIRD EDITION.

Schopenhauer has left an interleaved copy of his work "On the Will in Nature," as well as of his other writings, and has inserted in it those Corrections and Additions which he intended to use for the Third Edition. I have therefore included them in this Third Edition.

The Corrections chiefly concern the style, here and there an expression being changed, and a word inserted or omitted. The Additions, on the contrary, concern the *matter* of the book; they amplify it more or less considerably, and are tolerably numerous.

The Corrections are incorporated by Schopenhauer with the text; whereas the Additions are designated by him as "Notes" (*Anmerkungen*) to be placed at the foot of the pages with the words, "added to the third edition." They will therefore be found at the places indicated by him for them, as foot-notes; and thus the reader will be enabled easily to discern how much has been added in this edition.

As to the value of the present work, Schopenhauer has expressed himself as follows in the "World as Will and Representation:"

"It would be a great mistake to consider the foreign deliverances with which I have connected my own exposition there (in the work "On the Will in Nature") as the real substance and argument of that work which, though small in size, is weighty in import. They are rather a mere occasion which I take as my starting-point in order to expound the fundamental truth of my doctrine more clearly there than has been done anywhere else, and to apply it all the way down even to the empirical knowledge of Nature. This I have done most exhaustively and stringently under the heading "Physical Astronomy," nor can I ever hope to find a more correct or accurate expression for the kernel of my doctrine than the one given there."<sup>21</sup>

I have nothing to add to testimony thus given by Schopenhauer himself.

Julius Frauenstädt.

Berlin, *March*, 1867.

## **EDITOR'S PREFACE TO THE FOURTH EDITION.**

The present Fourth Edition is an identical reprint of the Third: it therefore contains the same Corrections and Additions which I had already inserted in the Third Edition from Schopenhauer's own manuscript.

Julius Frauenstädt.

Berlin, *September, 1877.*

## INTRODUCTION.

I break silence after seventeen years,<sup>22</sup> in order to point out to the few who, in advance of the age, may have given their attention to my philosophy, sundry corroborations which have been contributed to it by unbiassed empiricists, unacquainted with my writings, who, in pursuing their own road in search of merely empirical knowledge, discovered at its extreme end what my doctrine has propounded as the Metaphysical (*das Metaphysische*), from which the explanation of experience as a whole must come. This circumstance is the more encouraging, as it confers upon my system a distinction over all hitherto existing ones; for all the other systems, even the latest — that of Kant — still leave a wide gap between their results and experience, and are far from coming down directly to, and into contact with, experience. By this my Metaphysic proves itself to be the only one having an extreme point in common with the physical sciences: a point up to which these sciences come to meet it by their own paths, so as really to connect themselves and to harmonize with it. Moreover this is not brought about by twisting and straining the empirical sciences in order to adapt them to Metaphysic, nor by Metaphysic having been secretly abstracted from them beforehand and then, *à la* Schelling, finding *à priori* what it had learnt *à posteriori*. On the contrary, both meet at the same point of their own accord, yet without collusion. My system therefore, far from soaring above all reality and all experience, descends to the firm ground of actuality, where its lessons are continued by the Physical Sciences.

Now the extraneous and empirical corroborations I am about to bring forward, all concern the kernel and chief point of my doctrine, its Metaphysic proper. They concern, that is, the paradoxical fundamental truth,

*that* what Kant opposed as *thing in itself* to mere *phenomenon* — called more decidedly by me *representation* — and what he held to be absolutely unknowable, that this *thing in itself*, this substratum of all phenomena, and therefore of the whole of Nature, is nothing but what we know directly and intimately and find within ourselves as *the will*;<sup>23</sup>

*that* accordingly, this *will*, far from being inseparable from, and even a mere result of, *knowledge*, differs radically and entirely from, and is quite independent of, knowledge, which is secondary and of later origin; and can

consequently subsist and manifest itself without knowledge: a thing which actually takes place throughout the whole of Nature, from the animal kingdom downwards;

*that* this *will*, being the one and only thing in itself, the sole truly real, primary, metaphysical thing in a world in which everything else is only phenomenon — *i.e.* mere representation — gives all things, whatever they may be, the power to exist and to act;

*that* accordingly, not only the voluntary actions of animals, but the organic mechanism, nay even the shape and quality of their living body, the vegetation of plants and finally, even in inorganic Nature, crystallization, and in general every primary force which manifests itself in physical and chemical phenomena, not excepting Gravity, — that all this, I say, in itself, *i.e.* independently of phenomenon (which only means, independently of our brain and its representations), is absolutely identical with the *will* we find within us and know as intimately as we can know anything;

*that* further, the individual manifestations of the will are set in motion by *motives* in beings gifted with an intellect, but no less by *stimuli* in the organic life of animals and of plants, and finally in all inorganic Nature, by *causes* in the narrowest sense of the word — these distinctions applying exclusively to phenomena;

*that*, on the other hand, knowledge with its substratum, the intellect, is a merely secondary phenomenon, differing completely from the will, only accompanying its higher degrees of objectification and not essential to it; which, as it depends upon the manifestations of the will in the animal organism, is therefore physical, and not, like the will, metaphysical;

*that* we are never able therefore to infer absence of will from absence of knowledge; for the will may be pointed out even in all phenomena of unconscious Nature, whether in plants or in inorganic bodies; in short,

*that* the will is not conditioned by knowledge, as has hitherto been universally assumed, although knowledge *is* conditioned by the will.

Now this fundamental truth, which even to-day sounds so like a paradox, is the part of my doctrine to which, in all its chief points, the empirical sciences — themselves ever eager to steer clear of all Metaphysic — have contributed just as many confirmations forcibly elicited by the irresistible cogency of truth, but which are most surprising on account of the quarter whence they proceed; and although they have certainly come to light since the publication of my chief work, it has been quite independently of it and

as the years went on. Now, that it should be precisely this fundamental doctrine of mine which has thus met with confirmation, is advantageous in two respects. First, because it is the main thought upon which my system is founded; secondly, because it is the only part of my philosophy that admits of confirmation through sciences which are alien to, and independent of, it. For although the last seventeen years, during which I have been constantly occupied with this subject, have, it is true, brought me many corroborations as to other parts, such as Ethics, Æsthetics, Dianoiology; still these, by their very nature, pass at once from the sphere of actuality, whence they arise, to that of philosophy itself: so they cannot claim to be extraneous evidence, nor can they, as collected by me, have the same irrefragable, unequivocal cogency as those concerning *Metaphysics* proper which are given by its correlate *Physics* (in the wide sense of the word which the Ancients gave it). For, in pursuing its own road, Physics, *i.e.*, Natural Science as a whole, must in all its branches finally come to a point where physical explanation ceases. Now this is precisely the *Metaphysical*, which Natural Science only apprehends as the impassable barrier at which it stops short and henceforth abandons its subject to Metaphysics. Kant therefore was quite right in saying: “It is evident, that the primary sources of Nature’s agency must absolutely belong to the sphere of Metaphysics.”<sup>24</sup> Physical science is wont to designate this unknown, inaccessible something, at which its investigations stop short and which is taken for granted in all its explanations, by such terms as physical force, vital force, formative principle, &c. &c., which in fact mean no more than *x*, *y*, *z*. Now if nevertheless, in single, propitious instances, specially acute and observant investigators succeed in casting as it were a furtive glance behind the curtain which bounds off the domain of Natural Science, and are able not only to feel it is a barrier but, in a sense, to obtain a view of its nature and thus to peep into the metaphysical region beyond; if moreover, having acquired this privilege, they explicitly designate the limit thus explored downright as that which is stated to be the true inner essence and final principle of all things by a system of Metaphysics unknown to them, which takes its reasons from a totally different sphere and, in every other respect, recognises all things merely as phenomena, *i.e.*, as representation — then indeed the two bodies of investigators must feel like two mining engineers driving a gallery, who, having started from two points far apart and worked for some time in subterranean darkness, trusting exclusively to compass and



spirit-level, suddenly to their great joy catch the sound of each other's hammers. For now indeed these investigators know, that the point so long vainly sought for has at last been reached at which Metaphysics and Physics meet — they, who were as hard to bring together as Heaven and Earth — that a reconciliation has been initiated and a connection found between these two sciences. But the philosophical system which has witnessed this triumph receives by it the strongest and most satisfactory proof possible of its own truth and accuracy. Compared with such a confirmation as this, which may, in fact, be looked upon as equivalent to proving a sum in arithmetic, the regard or disregard of a given period of time loses all importance, especially when we consider what has been the subject of interest meanwhile and find it to be — the sort of philosophy we have been treated to since Kant. The eyes of the public are gradually opening to the mystification by which it has been duped for the last forty years under the name of philosophy, and this will be more and more the case. The day of reckoning is at hand, when it will see whether all this endless scribbling and quibbling since Kant has brought to light a single truth of any kind. I may thus be dispensed from the obligation of entering here into subjects so unworthy; the more so, as I can accomplish my purpose more briefly and agreeably by narrating the following anecdote. During the carnival, Dante having lost himself in a crowd of masks, the Duke of Medici ordered him to be sought for. Those commissioned to look for him, being doubtful whether they would be able to find him, as he was himself masked, the Duke gave them a question to put to every mask they might meet who resembled Dante. It was this: "Who knows what is good?" After receiving several foolish answers, they finally met with a mask who replied: "He that knows what is bad," by which Dante was immediately recognised.<sup>25</sup> What is meant by this here is, that I have seen no reason to be disheartened on account of the want of sympathy of my contemporaries, since I had at the same time before my eyes the objects of their sympathy. What those authors were, posterity will see by their works; what the contemporaries were, will be seen by the reception they gave to those works. My doctrine lays no claim whatever to the name "Philosophy of the present time" which was disputed to the amusing adepts of Hegel's mystification; but it certainly does claim the title of "Philosophy of time to come:" that is, of a time when people will no longer content themselves with a mere jingle of words without meaning, with empty phrases and trivial parallelisms, but will exact real contents and



serious disclosures from philosophy, while, on the other hand, they will exempt it from the unjust and preposterous obligation of paraphrasing the national religion for the time being. “For it is an extremely absurd thing,” says Kant,<sup>26</sup> “to expect to be enlightened by Reason and yet to prescribe to her beforehand on which side she must incline.” — It is indeed sad to live in an age so degenerate, that it should be necessary to appeal to the authority of a great man to attest so obvious a truth. But it is absurd to expect marvels from a philosophy that is chained up, and particularly amusing to watch the solemn gravity with which it sets to work to accomplish great things, when we all know beforehand “the short meaning of the long speech.”<sup>27</sup> However the keen-sighted assert that under the cloak of philosophy they can mostly detect theology holding forth for the edification of students thirsting after truth, and instructing them after its own fashion; — and this again reminds us forcibly of a certain favourite scene in Faust. Others, who think that they see still further into the matter, maintain that what is thus disguised is neither theology nor philosophy, but simply a poor devil who, while solemnly protesting that he has lofty, sublime truth for his aim, is in fact only striving to get bread for himself and for his future young family. This he might no doubt obtain by other means with less labour and more dignity; meanwhile however for this price he is ready to do anything he is asked to do, even to deduce *à priori*, nay, should it come to the worst, to perceive, the ‘Devil and his dam,’ by intellectual intuition — and here indeed the exceedingly comical effect is brought to a climax by the contrast between the sublimity of the ostensible, and the lowliness of the real, aim. It remains nevertheless desirable, that the pure, sacred precincts of philosophy should be cleansed of all such traders, as was the temple of Jerusalem in former times of the buyers and sellers. — Biding such better times therefore, may our philosophical public bestow its attention and interest as it has done hitherto. May it continue as before invariably naming Fichte as an obligato accompaniment to, and in the same breath with, Kant — that great mind, produced but once by Nature, which has illumined its own depth — as if forsooth they were of the same kind; and this without a single voice being heard to exclaim in protest Ἡρακλῆς καὶ πίθηκος! May Hegel’s philosophy of absolute nonsense — three-fourths cash and one-fourth crazy fancies — continue to pass for unfathomable wisdom without anyone suggesting as an appropriate motto for his writings Shakespeare’s words: “Such stuff as madmen tongue and brain not,” or, as

an emblematical vignette, the cuttle-fish with its ink-bag, creating a cloud of darkness around it to prevent people from seeing what it is, with the device: *mea caligine tutus*. — May each day bring us, as hitherto, new systems adapted for University purposes, entirely made up of words and phrases and in a learned jargon besides, which allows people to talk whole days without saying anything; and may these delights never be disturbed by the Arabian proverb: “I hear the clapping of the mill, but I see no flour.” — For all this is in accordance with the age and must have its course. In all times some such thing occupies the contemporary public more or less noisily; then it dies off so completely, vanishes so entirely, without leaving a trace behind, that the next generation no longer knows what it was. Truth can bide its time, for it has a long life before it. Whatever is genuine and seriously meant, is always slow to make its way and certainly attains its end almost miraculously; for on its first appearance it as a rule meets with a cool, if not ungracious, reception: and this for exactly the same reason that, when once it is fully recognised and has passed on to posterity, the immense majority of men take it on credit, in order to avoid compromising themselves, whereas the number of genuine appreciators remains nearly as small as it was at first. These few nevertheless suffice to make the truth respected, for they are themselves respected. And thus it is passed from hand to hand through centuries over the heads of the inept multitude: so hard is the existence of mankind’s best inheritance! — On the other hand, if truth had to crave permission to be true from such as have quite different aims at heart, its cause might indeed be given up for lost; for then it might often be dismissed with the witches’ watch-word: “fair is foul, and foul is fair.” Luckily however this is not the case. Truth depends upon no one’s favour or disfavour, nor does it ask anyone’s leave: it stands upon its own feet, and has Time for its ally; its power is irresistible, its life indestructible.

## PHYSIOLOGY AND PATHOLOGY.

In classifying the above-mentioned empirical corroborations of my doctrine according to the sciences from which they come, while I take the graduated order of Nature from the highest to the lowest degree as a guiding-thread to my expositions, I must first mention a very striking confirmation lately received by my chief dogma in the physiological and pathological views of Dr. J. D. Brandis, private physician to the King of Denmark, a veteran in science, whose “Essay on Vital Force” (1795) had received Reil’s hearty commendation. In his two latest writings: “Experiences in the Application of Cold in Disease” (Berlin, 1833), and “Nosology and Therapeutics of Cachexiæ” (1834), we find him in the most emphatic and striking manner stating the primary source of all vital functions to be an *unconscious will*, from which he derives all processes in the machinery of the organism, in health as well as in disease, and which he represents as the *primum mobile* of life. I must support this by literal quotations from these essays, since few save medical readers are likely to have them at hand.

In the first of them, p. viii., we find: “The essence of every living organism consists in the will to maintain its own existence as much as possible over against the macrocosm;” — p. x.: “Only *one* living entity, *one* will can be in an organ at the same time; therefore if there is a diseased *will* in disagreement with the rest of the body in the organ of the skin, we may hold it in check by applying cold as long as the generation of warmth, a normal *will*, can be induced by it.” P. 1: “If we are forced to the conviction that there must be a *determining principle* — a *will*, in every vital action, by which the development suited to the whole organism is occasioned, and each metamorphosis of the parts conditioned, in harmony with the whole individuality, and likewise that there is a something capable of being determined and developed,” &c. &c. — P. 11: “With respect to individual life, the element which determines, the organic *will*, if it is to rest satisfied, must be able to attain what it wants from that which has to be determined. This occurs even when the vital movements are over-excited, as in inflammation: something new is formed, the noxious element is expelled; new plastic materials are meanwhile conveyed through the arteries, more venous blood is carried off, until the process of inflammation is finished and the organic *will* satisfied. It is however possible to excite this *will* to such a

degree, as to make satisfaction impossible. This exciting cause (or stimulus) either acts directly upon the particular organ (poison, contagion) or it affects the whole life; and this life then begins to make the most strenuous efforts to rid itself of the noxious element or to modify the disposition of the organic *will*, and provokes critical vital activity in particular parts (inflammations) or yields to the unappeased *will*.” — P. 12: “The insatiable *will* acts destructively upon the organism unless either (a) the whole life, in its efforts to attain unity (tendency to adapt means to end), produces other activities requiring satisfaction (*crises et lyses*) which hold that *will* in check — called decisive (*crises completæ*) when quite successful; *crises incompletæ*, when only partially so — or (b) some other stimulus (medicine) produces another *will* which represses the diseased one. If we place this in one and the same category with the *will* of which we have become conscious through our own representations, and bear in mind that here there can be no question of more or less distant resemblance, we gain the conviction that we have grasped the fundamental conception of the *one* unlimited, therefore indivisible, life which, according to its different manifestations in various more or less endowed and exercised organs, is just as able to make hair grow on the human body as to combine the most sublime representations. We see that the most violent passion — unsatisfied *will* — may be checked by more or less strong excitement,” &c. &c. — P. 18: “The determining element — *this organic will without representation*, this tendency to preserve the organism as a unity — is induced by outward temperature to modify its activity now in the same, now in a remoter organ. Every manifestation of life, however, whether in health or in disease, is a manifestation of the *organic will: this will determines vegetation*: in a healthy condition, in harmony with the unity of the whole; in an unhealthy one ... it is induced *not to will* in harmony with that unity” ... — P. 23: “Cold suddenly applied to the skin suppresses its function (chill); cold drinks check the *organic will* in the digestive organs and thereby intensify that of the skin and produce perspiration; just so with the diseased *organic will*: cold checks cutaneous eruptions,” &c. &c. — P. 33: “Fever is the complete participation of the whole vital process in a diseased *will*, *i.e.* it is to the entire vital process what inflammation is to particular organs — the effort of our vitality to form something definite, in order to content the diseased *will* and remove the noxious element. — We call this process of

formation *crisis* or *lysis* (turning-point or release). The first perception of the pernicious element which causes the diseased *will*, affects the individuality just in the same way as a noxious element apprehended by our senses, before we have brought to clear representation the entire relation in which it stands to our individuality and the means of removing it. It creates terror and its consequences, a standstill of the vital process in the *parenchyma*, especially in the parts directed towards the outer world; in the skin, and in all the motor muscles belonging to the entire individuality (outer body): shuddering, chills, trembling, pains in the limbs, &c. &c. The difference between them is, that in the latter case the noxious element, either at once or gradually, becomes clear representation, because it is compared with the individuality by means of all the senses, so that its relation to that individuality can be determined, and the means of protection against it (disregard, flight, warding off, defence, &c.) be brought to a *conscious will*; whereas, in the former case, we remain unconscious of that noxious element, and it is life alone (or Nature's curative power) which is striving to remove the noxious element and thereby to content the diseased *will*. Nor must this be taken for a simile; it is, on the contrary, a true description of the manifestation of life." — P. 58: "We must however always bear in mind, that cold acts here as a powerful stimulus to check or moderate the diseased *will* and to rouse in its place a natural *will*, accompanied by general warmth." —

In almost every page of this book similar expressions are to be found. In the second of the Essays I have named, Brandis no longer combines the explanation by the will so universally with each separate analysis, probably in consideration that this explanation is properly speaking, a metaphysical one. Nevertheless he maintains it entirely and completely, giving it even all the more distinct and decided expression, wherever he states it. Thus, for instance, in § 68 *et seq.* he speaks of an "*unconscious will*, which cannot be separated from the conscious one," and is the *primum mobile* of all life, as well in plants as in animals; for, in these, it is a desire and aversion manifesting itself in all the organs which determines all their vital processes, secretions, &c. &c. — §. 71: "All convulsions prove that the manifestation of the will can take place without distinct power of representation." — §. 72: "Everywhere do we meet with a spontaneous, uncommunicated activity, now determined by the sublimest human free will, now by animal desire and aversion, now again by simple, more

vegetative requirements; which activity, in order to maintain itself, calls forth several other kinds of activity in the unity of the individual.” — P. 96: “A creative, spontaneous, uncommunicated activity shows itself in every vital manifestation.” ...— “The third factor in this individual creation is the *will, the individual’s life itself.*” ...— “The nerves are the conductors of this individual creation: by their means form and mixture are varied according to desire and aversion.” — P. 97: “Assimilation of foreign substance ... makes the blood.... It is not an absorption or an exudation of organic matter; ... on the contrary, here the sole factor of the phenomenon is in all cases *the creative will*, a life which cannot be brought back to any sort of imparted movement.” —

When I wrote this (1835) I was still *naïf* enough seriously to believe that Brandis was unacquainted with my work, or I should not allude here to his writings; for they would then be merely a repetition, application and carrying out of my own doctrine on this point, not a corroboration of it. But I thought I might safely assume that he did not know me, because he has not mentioned me anywhere and because if he had known me, literary honesty would have made it his imperative duty not to remain silent concerning the man from whom he had borrowed his chief fundamental thought, the more so as he saw that man then enduring unmerited neglect, by his writings being generally ignored — a circumstance which might be construed as favourable to fraud. Add to this, that it lay in Brandis’ own interest as a writer, and would therefore have shown sagacity on his part, to have appealed to me as an authority. For the fundamental doctrine propounded by him is so striking and paradoxical, that even his Göttingen reviewer is amazed and hardly knows what to think of it; yet such a doctrine as this was left without foundation either through proof or induction, nor did Dr. Brandis establish its relation to the whole of our knowledge of Nature: he simply asserted it. I imagined therefore that it was by the peculiar gift of divination, which enables eminent physicians to see and do the right thing in cases of illness, that he had been led to this view, without being able to give a strict and methodical account of the grounds of this really metaphysical truth, although he must have seen how greatly it is opposed to the generally received views. Had he, thought I, been acquainted with my philosophy, which gives far greater extension to this truth, makes it valid for the whole of Nature and founds it both by proof and induction in close

connection with Kant's teaching, from which it proceeds as a final result of excogitation — how gladly must he have availed himself of such confirmation and support, rather than to stand alone by an unheard-of assertion which was never further carried out and, with him, never went beyond bare assertion. Such were the reasons that led me to believe myself entitled to take for granted Dr. Brandis' ignorance of my book.

Since then however I have become better acquainted with German scientists and Copenhagen Academicians, to which body Dr. Brandis belonged, and have gained the conviction that he knew me very well indeed. I stated my reasons for arriving at this conviction already in 1844 in the 2nd vol. of "Die Welt als Wille und Vorstellung,"<sup>28</sup> so that, as the subject is by no means edifying, it is needless to repeat them here; I will merely add that I have since been assured on trustworthy authority that Dr. Brandis not only knew my work but even possessed it, as it was found among his property after his death. — The unmerited obscurity to which writers like myself are long condemned, encourages such people to appropriate their thoughts without so much as naming them.

Another medical authority has carried this even farther; for, not content with the thought alone, he has appropriated to himself the expression of it also. I allude to Professor Anton Rosas of the University of Vienna, whose entire § 507 in the 1st vol. of his Textbook of Ophthalmology<sup>29</sup> (1830) is copied word for word from pp. 14-16 of my treatise "On Vision and Colours" (1816) without any mention whatever of me, or even the slightest hint that he is using the words of another. This sufficiently accounts for the care he has taken not to mention my treatise among the lists of twenty-one writings on Colours and forty on the Physiology of the Eye, which he gives in §§ 542 and 567; a caution which was however all the more advisable, as he had appropriated to himself a good deal more out of that pamphlet without mentioning me. All that is referred, for instance, in § 526 to 'them' (*man*), is only applicable to me. His entire § 527 is copied almost literally from my pp. 59 and 60. The theory which he introduces without further ceremony in § 535 by the word "evidently": that is, that yellow is  $\frac{3}{4}$  and violet  $\frac{1}{4}$  of the eye's activity, never was 'evident' to anyone until I made it so; even to this day it is a truth known to few and acknowledged by fewer still, and much is yet wanting — for example, that I should be dead and buried — ere it be possible to call it 'evident' without further ceremony. The matter will even have to wait till after my death to be seriously sifted,

since a close investigation might easily bring to ‘evidence’ the real difference between Newton’s theory of colours and my own, which is simply that his is false, and mine true: a discovery which could not fail to mortify my contemporaries. Wherefore, according to ancient custom, all serious examination into the question is wisely postponed for these few years. Professor Rosas knew no such policy as this and, as the matter was not alluded to anywhere, thought himself entitled, like the Danish Academician, to claim it as lawful prey (*de bonne prise*). Evidently North and South German honesty had not yet come to a satisfactory understanding. — Moreover the whole contents of §§ 538, 539 and 540 in Professor Rosas’ book are taken from my pamphlet, nay even in great part copied word for word from my § 13. Still once, where he stands in need of a voucher for a fact, he finds himself obliged to refer to my treatise: that is, in his § 531; and it is most amusing to see the way in which he even brings in the numerical fractions used by me, as a result of my theory, to express all colours. It had probably occurred to him, that appropriating them quite *sans façon* might be a delicate matter, so he says, p. 308: “*If we wished to express in numbers the first-mentioned relation in which colours stand to white, assuming white to be = 1, the following scale of proportion might by the way be adopted (as has already been done by Schopenhauer):*

yellow	= 3/4
orange	= 2/3
red	= 1/2
green	= 1/2
blue	= 1/3
violet	= 1/4
black	= 0”

Now I should like to know how anyone could do this *by the way*, without having first thought out my whole colour-theory, to which alone these numbers refer, and apart from which they are mere abstract numbers without meaning; above all, how anyone could do it who, like Professor Rosas, professes to be a follower of Newton’s colour-theory, with which these numbers are in direct contradiction? Finally, I should like to know how it came, that during the thousands of years in which men have thought and written, no one but myself and Professor Rosas should ever have thought of using just these particular fractions to denote colours? For the



words I have quoted above tell us, that he would have stated those fractions precisely as he has done, even had I not chanced to do it ‘already’ fourteen years before and thus needlessly anticipated his statement; they also tell us, that all that is required is ‘*to wish,*’ in order to do so. Now it is precisely in these numerical fractions that the secret of colours lies: by them alone can we rightly solve the mystery of their nature and of their difference from one another. — I should however be heartily glad, were plagiarism the worst kind of dishonesty that defiled German literature; there are others far more mischievous, which penetrate more deeply, and to which plagiarism bears the same proportion as picking pockets in a mild way to capital crime. I allude to that mean, despicable spirit, whose loadstar is personal interest, when it ought to be truth, and in which the voice of intention makes itself heard beneath the mask of insight. Double-dealing and time-serving are the order of the day. Tartuffe comedies are performed without *rouge*; nay, Capuchin sermons are preached in halls consecrated to Science; enlightenment, that once revered word, has become a term of opprobrium; the greatest thinkers of the past century, Voltaire, Rousseau, Locke, Hume, are slandered — those heroes, ornaments and benefactors of mankind, whose fame, diffused throughout both hemispheres, can only be increased, if by anything, by the fact that wherever and whenever obscurantists show themselves, it is as their bitterest enemies — and with good reason. Literary *coteries* and associations are formed to deal out praise and blame, and spurious merit is then trumpeted forth and extolled, while sterling merit is slandered or, as Göthe says, “*secreted, by means of an inviolable silence, in which sort of inquisitorial censure the Germans have attained great proficiency.*”<sup>30</sup> The motives and considerations however from which all this proceeds, are of too low a nature for me to care to enumerate them in detail. But what a difference there is between periodicals such as the “Edinburgh Review,” in which gentlemen of independent means are induced to write by a genuine interest in the subjects treated, and which honourably upholds its noble motto taken from Publius Syrus: *Judex damnatur cum nocens absolvitur*, and our mean-spirited, disingenuous, German literary journals, full of considerations and intentions, that are mostly compiled for the sake of pay by hired editors, and ought properly to have for their motto: *Accedas socius laudes, lauderis, ut absens.*<sup>31</sup> Now, after twenty years, do I understand what Göthe said to me at Berka in 1814. As I found him reading Madame de Staël’s “*De l’Allemagne,*” I remarked in course of conversation

that she had given too exaggerated a description of German honesty and one that might mislead foreigners. He laughed and said: "Yes, to be sure, they will not secure their baggage behind and will have it cut off." He then added in a graver tone: "But one has to know German literature in order to realise the full extent of German dishonesty." — All well and good! But the most revolting kind of dishonesty in German literature is that of the time-servers, who pass themselves off for philosophers, while in reality they are obscurantists. The word 'time-serving' no more needs explanation than the thing needs a proof; for anyone who had the face to deny it would furnish strong evidence in support of my present argument. Kant taught, that man ought to use his fellow-man only as an end, never as a means: he did not think it necessary to say, that philosophy ought only to be dealt with as an end, never as a means. Time-serving may after all be excused under every garb, the cowl as well as the ermine, save only the philosopher's cloak (*Tribonion*); for he who has once assumed this, has sworn allegiance to truth, and from that moment every other consideration, no matter of what kind, becomes base treachery. Therefore it was that Socrates did not shun the hemlock, nor Bruno the stake, while 'for a piece of bread these men will transgress.' Are they too short-sighted to see posterity close at hand, with the history of philosophy at its side, recording two lines of bitter condemnation with unflinching hand and iron pen in its immortal pages? Or has this no sting for them? — Well to be sure, if it comes to the worst, '*après moi le déluge*' may be pronounced; but as to '*après moi le mépris*,' that is a more difficult matter. Therefore I fancy they will answer that austere judge as follows: "Ah, dear posterity and history of philosophy! you are quite wrong to take us in earnest; we are not philosophers at all, Heaven forbid! No, we are only professors of philosophy, mere servants of the state, mere philosophers in jest. You might as well drag puppet-knights in pasteboard armour into a real tournament." Then the judge will most likely see how matters stand, erase all their names, and confer upon them the *beneficium perpetui silentii*.

From this digression — to which I had been led away eighteen years ago, by the cant and time-serving I then witnessed, though they were not nearly as flourishing then as they are now — I return to that part of my doctrine which Dr. Brandis has confirmed, though he did not originate it, in order to add a few explanations with which I shall then connect some further corroborations it has since received from Physiology.

The three assumptions which are criticised by Kant in his Transcendental Dialectic under the names of Ideas of Reason, and have in consequence since been set aside in theoretical philosophy, had always stood in the way of a deeper insight into Nature, until that great thinker brought about a complete transformation in philosophy. That supposed Idea of Reason, the soul: that metaphysical being, in it whose absolute singleness knowing and willing were knit and blended together to eternal, inseparable unity, was an impediment of this sort for the subject-matter of this chapter. As long as it lasted, no philosophical Physiology was possible: the less so, as its correlate, real, purely passive Matter, had necessarily also to be assumed together with it, as the substance of the body.<sup>32</sup> It was this Idea of Reason, the soul, therefore, that caused the celebrated chemist and physiologist, George Ernest Stahl, at the beginning of the last century to miss the discovery of the truth he so nearly approached and would have quite reached, had he been able to put that which is alone metaphysical, the bare *will* — as yet without intellect — in the place of the *anima rationalis*. Under the influence of this Idea of Reason however, he could not teach anything but that it is this simple, rational soul which builds itself a body, all whose inner organic functions it directs and performs, yet has no knowledge or consciousness of all this, although knowledge is the fundamental destination and, as it were, the substance, of its being. There was something absurd in this doctrine which made it utterly untenable. It was superseded by Haller's Irritability and Sensibility, which, to be sure, are taken in a purely empirical sense, but, to make up for this, are also two *qualitates occultæ*, at which all explanation ceases. The movement of the heart and of the intestines was now attributed to Irritability. But the *anima rationalis* still remained in undiminished honour and dignity as a visitor at the house of the body.<sup>33</sup> — "Truth lies at the bottom of a well," said Democritus; and the centuries with a sigh, have repeated his words. But small wonder, if it gets a rap on the knuckles as soon as it tries to come out!

The fundamental truth of my doctrine, which places that doctrine in opposition with all others that have ever existed, is the complete separation between the will and the intellect, which all philosophers before me had looked upon as inseparable; or rather, I ought to say that they had regarded the will as conditioned by, nay, mostly even as a mere function of, the intellect, assumed by them to be the fundamental substance of our spiritual being. But this separation, this analysis into two heterogeneous elements, of

the *ego* or *soul*, which had so long been deemed an indivisible unity, is, for philosophy, what the analysis of water has been for chemistry, though it may take time to be acknowledged. With me, that which is eternal and indestructible in man, therefore, that which constitutes his vital principle, is not *the soul*, but — if I may use a chemical term — its radical: and this is *the will*. The so-called soul is already a compound: it is the union of the will and the intellect (νοῦς). This intellect is the secondary element, the *posterius* of the organism and, as a mere cerebral function, is conditioned by the organism; whereas the will is what is primary, the *prius* of the organism, which is conditioned by it. For the will is that thing in itself, which only becomes apparent as an organic body in our representation (that mere function of the brain): it is only through the forms of knowledge (or cerebral function), that is, only in our representation — not apart from that representation, not immediately in our self-consciousness — that our body is given to each of us as a thing which has extension, limbs and organs. As the actions of our body are only acts of volition portraying themselves in representation, so likewise is their substratum, the shape of that body, in the main the portrait of the will: so that, in all the organic functions of our body, the will is just as much the *agent* as in its external actions. True Physiology, at its highest, shows the spiritual (the intellectual) in man to be the product of the physical in him, and no one has done this so thoroughly as Cabanis; but true Metaphysic teaches us, that the physical in man is itself mere product, or rather phenomenon, of a spiritual (the will); nay, that Matter itself is conditioned by representation, in which alone it exists. Perception and reflection will more and more find their explanation through the organism; but not the will, by which conversely the organism is explained, as I shall show in the following chapter. First of all therefore I place *the will, as thing in itself* and quite primary; secondly, its mere visibility, its objectification: *i.e.* the *body*; thirdly, the *intellect*, as a mere function of one part of that body. This part is itself the objectified will to know (the will to know having entered into representation), since the will needs knowledge to attain its own ends. Now the entire world as representation, together with the body itself therefore, inasmuch as it is a perceptible object, nay, Matter in general as existing only in representation, — all this, I say, is again conditioned by that function; for, duly considered, we cannot possibly conceive an objective world without a Subject, in whose consciousness it is present. Thus knowledge and matter (Subject and Object) exist only

relatively one for the other and constitute *phenomenon*. The whole thing therefore, owing to the radical change made by me, stands in a different light from that in which it has hitherto been regarded.

As soon as it is directed outwardly and acts upon a recognised object, as soon therefore as it has passed through the medium of knowledge, we all recognise the *will* at once to be the active principle, and call it by its right name. Yet it is no less active in those inner processes which have preceded such outward actions as their conditions: in those, for instance, which create and maintain organic life and its substratum; and the circulation of the blood, secretion, digestion, &c. &c., are its work likewise. But just because the will was only recognised as the active principle in those cases in which it abandons the individual whence it proceeds, in order to direct itself towards the outer world — now presenting itself precisely for this end, as perception — knowledge has been taken for its essential condition, its sole element, nay, as the substance of which it consists: and hereby was perpetrated the greatest ὕστερον πρότερον that has ever been.

But before all things we must learn to distinguish will [*Wille*] (*voluntas*) from free-will [*Willkühr*] (*arbitrium*)<sup>34</sup> and to understand that the former can subsist without the latter; this however presupposes my whole philosophy. The will is called free-will when it is illumined by knowledge, therefore when the causes which move it are motives: that is, representations. Objectively speaking this means: when the influence from outside which causes the act, has a *brain* for its mediator. A motive may be defined as an external stimulus, whose action first of all causes an *image* to arise in the *brain*, through the medium of which the will carries out the effect proper — an outward action of the body. Now, in the human species however, the place of such an image as this may be taken by a conception drawn from former images of this kind by dropping their differences, which conception consequently is no longer perceptible, but merely denoted and fixed by words. As the action of motives accordingly does not depend upon contact, they can try their power on the will against each other: in other words, they permit a certain choice which, in animals, is limited to the narrow sphere of that which has *perceptible* existence for them; whereas, in man, its range comprises the vast extent of all that is *thinkable*: that is, of his conceptions. Accordingly we designate as *voluntary* those movements which are occasioned, not by *causes* in the narrowest sense of the word, as in inorganic bodies, nor even by *mere stimuli*, as in plants, but by *motives*.<sup>35</sup>

These motives however presuppose an *intellect* as *their mediator*, through which causality here acts, without prejudice to its entire necessity in all other respects. Physiologically, the difference between stimulus and motive admits also of the following definition. The stimulus provokes *immediate* reaction, which proceeds from the very part on which the stimulus has acted; whereas the motive is a stimulus that has to go a roundabout way through the brain, where its action first causes an image to arise, which then, but not till then, provokes the consequent reaction, which is now called an act of volition, and *voluntary*. The distinction between voluntary and involuntary movement does not therefore concern what is essential and primary — for this is in both cases the will — but only what is secondary, the rousing of the will's manifestation: it has to do with the determination whether *causes* proper, *stimuli* or *motives* (*i.e.* causes having passed through the medium of knowledge) are the guidance under which that manifestation takes place. It is in human consciousness, — differing from that of animals by not only containing perceptible representations but also abstract conceptions independent of time-distinctions, which act simultaneously and collaterally, whereby deliberation, *i.e.* a conflict of motives, becomes possible — it is in human consciousness, I say, that free-will (*arbitrium*) in its narrowest sense first makes its appearance; and this I have called elective decision. It nevertheless merely consists in the *strongest* motive for a given individual character overcoming the others and thus determining the act, just as an impact is overcome by a stronger counter-impact, the result thus ensuing with precisely the same necessity as the movement of a stone that has been struck. That all great thinkers in all ages were decided and at one on this point, is just as certain, as that the multitude will never understand, never grasp, the important truth, that the work of our freedom must not be sought in our individual actions but in our very existence and nature itself. In my prize-essay on Freedom of the Will, I have shown this as clearly as possible. The *liberum arbitrium indifferentiæ* which is assumed to be the distinctive characteristic of movements proceeding from *the will*, is accordingly quite inadmissible: for it asserts that effects are possible without causes.

As soon therefore as we have got so far as to distinguish *will* [*Wille*] from *free-will* [*Willkühr*], and to consider the latter as a particular kind or particular phenomenon of the former, we shall find no difficulty in recognising the will, even in unconscious processes. Thus the assertion,

that all bodily movements, even those which are purely vegetative and organic, proceed from *the will*, by no means implies that they are voluntary. For that would mean that they were occasioned by motives; but motives are representations, and their seat is the brain: only those parts of our body which communicate with the brain by means of the nerves, can be put in movement by the brain, consequently by motives, and this movement alone is what is called voluntary. The movement of the inner economy of the organism, on the contrary, is directed, as in plant-life, by *stimuli*; only as, on the one hand, the complex nature of the animal organism necessitated an outer sensorium for the apprehension of the outer world and the will's reaction on that outer world, so, on the other hand, did it necessitate a *cerebrum abdominale*, the sympathetic nervous system, in order to direct the will's reaction upon inner stimuli likewise. We may compare the former to a Home Ministry, the latter to a Foreign Office; but the will remains the omnipresent Autocrat.

The progress made in Physiology since Haller has placed beyond doubt, that not only those actions which are consciously performed (*functiones animales*), but even vital processes that take place quite unconsciously (*functiones vitales et naturales*), are directed throughout by the *nervous system*. Likewise that their only difference, as far as our consciousness of them is concerned, consists in the former being directed by nerves proceeding from the brain, the latter by nerves that do not directly communicate with that chief centre of the nervous system — mainly directed towards the outside — but with subordinate, minor centres, with the nerve-knots, the ganglia and their net-work, which preside as it were like vice-gerents over the various departments of the nervous system, directing those internal processes that follow upon internal stimuli, just as the brain directs the external actions that follow upon external motives, and thus receiving impressions from inside upon which they react correspondingly, just as the brain receives representations on the strength of which it forms resolutions; only each of these minor centres is confined to a narrower sphere of action. Upon this rests the *vita propria* of each system, in referring to which Van Helmont said that each organ has, as it were, its own *ego*. It accounts also for life continuing in parts which have been cut off the bodies of insects, reptiles, and other inferior animals, whose brain has no marked preponderance over the ganglia of single parts; and it likewise explains how many reptiles are able to live for weeks, nay even



months, after their brain has been removed. Now, if our surest experience teaches us that *the will*, which is known to us in most immediate consciousness and in a totally different way from the outer world, is the real agent in actions attended by consciousness and directed by the chief centre of the nervous system; how can we help admitting that those other actions which, proceeding from that nervous system but obeying the direction of its subordinate centres, keep the vital processes constantly going, must also be manifestations of *the will*? Especially as we know perfectly well the cause because of which they are not, like the others, attended by consciousness: we know, that is to say, that all consciousness resides in the brain and therefore is limited to such parts as have nerves which communicate directly with the brain; and we know also that, even in these, consciousness ceases when those nerves are severed. By this the difference between all that is conscious and unconscious and together with it the difference between all that is voluntary and involuntary in the movements of the body is perfectly explained, and no reason remains for assuming two entirely different primary sources of movement: especially as *principia præter necessitatem non sunt multiplicanda*. All this is so obvious, that, on impartial reflection from this standpoint, it seems almost absurd to persist in making the body serve two masters by deriving its actions from two radically different origins and then ascribing on the one hand the movements of our arms and legs, of our eyes, lips, throat, tongue and lungs, of the facial and abdominal muscles, to the will; while on the other hand the action of the heart, the movements of the veins, the peristaltic movements of the intestines, the absorption by the intestinal villi and glands and all those movements which accompany secretion, are supposed to proceed from a totally different, ever mysterious principle of which we have no knowledge, and which is designated by names such as vitality, archeus, *spiritus animales*, vital energy, instinct, all of which mean no more than *x*.<sup>36</sup>

It is curious and instructive to see the trouble that excellent writer, Treviranus<sup>37</sup> takes, to find out in the lower animals, such as *infusoria* and *zoophyta*, which movements are voluntary, and which are what he calls automatic or physical, *i.e.* merely vital. He founds his inquiry upon the assumption that he has to do with two primarily different sources of movement; whereas in truth they all proceed from the will, and the whole difference consists in their being occasioned by stimuli or by motives, *i.e.* in their having a brain for their medium or not; and the stimulus may again



be merely interior or exterior. In several animals of a higher order — crustaceans and even fishes — he finds that the voluntary and vital movements, for instance locomotion and respiration, entirely coincide: a clear proof that their origin and essence are identical. He says p. 188: “In the family of the *actinia*, star-fishes, sea-urchins, and *holothurice* (*echinodermata pedata Cuv.*), it is evident that the movement of the fluids depends upon the will of the animals and that it is a means of locomotion.” Then again p. 288: “The gullet of mammals has at its upper end the pharynx, which expands and contracts by means of muscles resembling voluntary muscles in their formation, yet which do not obey the will.” Here we see how the limits of the movements proceeding from the will and of those assumed to be foreign to it, merge into one another. *Ibid.*, p. 293: “Thus movements having all the appearance of being voluntary, take place in the stomachs of ruminants. They do not however always stand in connection with the ruminating process only. Even the simpler human stomach and that of many animals only allows free passage to what is digestible through its lower orifice, and rejects what is indigestible by vomiting.”

There is moreover special evidence that the movements induced by stimuli (involuntary movements) proceed from the will just as well as those occasioned by motives (voluntary movements): for instance, when the same movement follows now upon a stimulus, now again upon a motive, as is the case when the pupil of the eye is contracted. This movement, when caused by increased light, follows upon a stimulus; whereas, when occasioned by the wish to examine a very small object minutely in close proximity, it follows upon a motive; because contracting the pupil enables us to see things distinctly even when quite near to us, and this distinctness may be increased by our looking through a hole pierced in a card with a pin; conversely, the pupil is dilated when we look at distant objects. Surely the same movement of the same organ is not likely to proceed alternately from two fundamentally different sources. — E. H. Weber<sup>38</sup> relates that he discovered in himself the power of dilating and contracting at will the pupil of one of his eyes, while looking at the same object, so as to make that object appear now distinct, now indistinct, while the other eye remained closed. — Joh. Müller<sup>39</sup> also tries to prove that the will acts upon the pupil.

The truth that the innermost mainspring of unconsciously performed vital and vegetative functions is the will, we find moreover confirmed by

the consideration, that even the movement of a limb recognised as voluntary, is only the ultimate result of a multitude of preceding changes which have taken place inside that limb and which no more enter into our consciousness than those organic functions. Yet these changes are evidently that which was first set in motion by the will, the movement of the limb being merely their remote consequence; nevertheless this remains so foreign to our consciousness that physiologists try to reach it by means of such hypotheses as these: that the sinews and muscular fibre are contracted by a change in the cellular tissue wrought by a precipitation of the blood-vapour in that tissue to serum; but that this change is brought about by the nerve's action, and this — by *the will*. Thus, even here, it is not the change which proceeded originally from the will which comes into consciousness, but only its remote result; and even this, properly speaking, only through the special perception of the brain in which it presents itself together with the whole organism. Now by following the path of experimental research and hypotheses physiologists would never have arrived at the truth, that the last link in this ascending causal series is *the will*; it is known to them, on the contrary, in quite a different way. The solution of the enigma comes to them in a whisper from outside the investigation, owing to the fortunate circumstance that the investigator is in this case at the same time himself the object of the investigation and by this learns the secret of the inward process, his explanation of which would otherwise, like that of every other phenomenon, be brought to a standstill by an inscrutable force. And conversely, if we stood in the same inward relation towards every natural phenomenon as towards our own organism, the explanation of every natural phenomenon, as well as of all the properties of every body, would likewise ultimately be reduced to a will manifesting itself in them. For the difference does not reside in the thing itself, but in our relation to the thing. Wherever explanation of the physical comes to an end, it is met by the metaphysical; and wherever this last is accessible to immediate knowledge, the result will be, as here, the will. That even those parts of the body whose movements do not proceed from the brain, do not follow upon motives, and are not voluntary, are nevertheless ruled and animated by the will, is also shown by their participation in all unusually violent movements of the will, *i.e.* emotions and passions. We see, for instance, the quickened pulse in joy or alarm, the blush in embarrassment, the cheek's pallor in terror or in suppressed anger, the tears of sorrow, the difficult breathing and increased

activity of the intestines in terror, watering of the mouth at the sight of dainties, nausea occasioned by that of loathsome objects, strongly accelerated circulation of the blood and even altered quality of bile through wrath, and of saliva through violent rage: this last even to the degree, that an excessively irritated dog may communicate hydrophobia by its bite without being itself affected with rabies, or even then contracting the disease — and the same is also asserted of cats and of cocks. The organism is further deeply undermined by lasting grief, and may be mortally affected by fright as well as by sudden joy. On the other hand, all those inner processes and changes which only have to do with the intellect and do not concern the will, however great may be their importance, remain without influence upon the machinery of the organism, with the one exception, that mental activity, prolonged to excess, fatigues and gradually exhausts the brain and finally undermines the organism. This again confirms the fact that the intellect is of a secondary character, and merely the organic function of a single part, a product of life; not the innermost kernel of our being, not the thing in itself, not metaphysical, incorporeal, eternal, like the will: the will never tires, never grows old, never learns, never improves by practice, is in infancy what it is in old age, eternally one and the same, and its character in each individual is unchangeable. Being essential moreover, it is likewise immutable, and therefore exists in animals as it does in us; for it does not, like the intellect, depend upon the perfection of the organization, but is in every essential respect in all animals the same thing which we know so intimately. Accordingly animals have all the feelings which belong to man: joy, grief, fear, anger, love, hate, desire, envy, &c. &c. The great difference between man and the brute creation consists exclusively in the degrees of perfection of the intellect. This however is leading us too far from our subject, so I refer my readers to my chief work, vol. ii. chap. 19, *sub.* 2.

After the cogent reasons just given in favour of the primary *agens* in the inward machinery of the organism being the very same will which rules the outward actions of the body and only reveals itself as the will in this passage through consciousness because here it needs the mediation of outwardly directed knowledge, we shall not be astonished to find that other physiologists besides Brandis had, by means of strictly empirical research, also recognised this truth more or less clearly. Meckel,<sup>40</sup> in his “Archiv für die Physiologie,” arrives quite empirically and impartially at the conclusion, that vegetative existence [in animals], the first growth of the embryo, the

assimilation of nourishment and plant-life, ought properly to be considered as manifestations of the will, nay, that even the inclination of the magnetic needle seems to be something of the same kind. "The assumption," he says, "of a certain free will in every vital movement may perhaps be justified." "Plants appear to seek light voluntarily," &c. &c. This book is dated 1819 just after the appearance of my work; and as, to say the least, it is doubtful whether it had any influence upon him or whether he was even aware of its existence, I class these utterances among the independent empirical confirmations of my doctrine. Burdach also,<sup>41</sup> in his great work on Physiology, arrives by a completely empirical road at the conclusion, that "self-love is a force belonging to all things indiscriminately." He points it out, first in animals, then in plants, and lastly in inanimate bodies. But what is self-love after all, if not the will to preserve our existence, the will to live? Under the heading "Comparative Anatomy," I shall quote a passage from the same book, which confirms my view still more decidedly. That the doctrine, which teaches that the will is the vital principle, has begun to spread even to the wider circles of medical science and to meet with a favourable reception from its younger representatives, I notice with particular pleasure in the theses sustained by Dr. Von Sigriz on taking his degree at Munich (August, 1835), which commence as follows: 1. *Sanguis est determinans formam organismi se evolventis*. 2. *Evolutio organica determinatur vitæ internæ actione et voluntate*.

Lastly, a very remarkable and unexpected corroboration of this part of my doctrine has to be mentioned, which has recently been communicated from ancient Hindoo philosophy by Colebrook. In his exposition of the philosophical schools of the Hindoos,<sup>42</sup> he quotes the following as the doctrine of the Nyaya school: "Volition, Yatna, effort or manifestation of the Will, is a self-determination to act which gives satisfaction. Desire is its occasion, perception its motive. Two kinds of perceptible effort of the will are distinguished: that which springs from desire which seeks the agreeable, and that which springs from aversion which shuns the repulsive. Another species, which escapes sensation and perception, but is inferred from analogy of spontaneous acts, comprises animal functions, having for a cause the vital, unseen power." Here the words "animal functions" are evidently used, not in a physiological, but in a popular sense: so that here organic life is unquestionably derived from the will. We find a similar statement in Colebrook's Report on the Vedas<sup>43</sup> where he says: "*Asu is unconscious*

*volition*, which occasions an act necessary to the support of life, as breathing, &c.”

Moreover my reduction of vital energy to the will by no means interferes with the old division of its functions into reproductive force, irritability and sensibility. This division remains a deep view of their difference, and gives occasion for interesting observations.

*The faculty of reproduction*, objectified in the cellular tissue of plants, constitutes the chief characteristic of plants and the vegetative element in Man. Where we find it predominant to excess in human beings, we assume them to be phlegmatic, dull, indolent, obtuse (Bœotians); though this assumption does not always meet with confirmation. *Irritability*, objectified in the muscular tissue, constitutes the chief characteristic of Animals and the animal element in Man. Where it predominates to excess, dexterity, strength, bravery, that is, fitness for bodily exertion and for war, is usually to be found (Spartans). Nearly all warm-blooded animals and even insects far surpass Man in irritability. It is by irritability that animals are most vividly conscious of their existence; wherefore they exult in manifesting it. There is even still a trace of that exultation perceptible in Man, in dancing. *Sensibility*, objectified in the nerves, is Man's chief characteristic, and constitutes what is properly human in him. In this no animal can in the remotest degree compare with Man. Where it predominates to excess, it produces *genius* (Athenians). Accordingly a man of genius is in a higher degree a *man*. This explains why some men of genius have been unwilling to recognise other men, with their monotonous physiognomies and universal stamp of commonplace mediocrity, as human beings: for in them they did not find their equals and naturally came to the erroneous conclusion that their own was the normal standard. Diogenes sought for men with a lantern in this sense; — in that work of genius, the Koheleth (Ecclesiastes) it is said:<sup>44</sup> “*One* man among a thousand have I found, but one woman among all those have I not found;” and Gracian in his Criticon — perhaps the grandest and most beautiful allegory ever written — says: “But what was strangest of all, in the whole country, even in the most populous cities, they did not meet with a single *man*; on the contrary these cities were inhabited by lions, tigers, leopards, wolves, foxes, apes, oxen, asses, pigs, — nowhere was there a man! They only made out after a time that the few existing human beings, in order to hide themselves and not to witness what was going on, had retired to those desert places which ought to have been

the dwellings of wild beasts.” The same reason indeed accounts for the peculiar inclination of all men of genius for solitude, to which they are driven by their difference from the rest, and for which their own inner wealth qualifies them. For, with humanity it is as with diamonds, the extraordinarily great ones alone are fitted to be *solitaires*, while those of ordinary size have to be set in clusters to produce any effect.

Even the three *Gunas*, or fundamental qualities of the Hindoos, tally with the three physiological fundamental forces. *Tamas-Guna*, obtuseness, stupidity, corresponds to reproductive power; *Rajas-Guna*, passionateness, to irritability; and *Sattwa-Guna*, wisdom and virtue, to sensibility. When however they add to this, that Tamas-Guna is the fate of animals, Rajas-Guna the fate of man, and Sattwa-Guna that of the Gods, this is to be taken in a mythological, rather than physiological sense.

In Chapter 20th of the 2nd Vol. of my chief work entitled “Objectification of the Will in the Animal Organism,” I have likewise treated the argument of the present chapter; therefore I advise my readers to read it after this, as a complement to what is here given.<sup>45</sup>

I may observe, that the passages I have quoted from pp. 14 and 15 of my Essay on Colours, refer to the first edition.



## COMPARATIVE ANATOMY.

Now, from my proposition: that the Will is what Kant calls the “thing in itself”<sup>46</sup> or the ultimate substratum of every phenomenon, I had however not only deduced that the will is the agent in all inner, unconscious functions of the body, but also that the organism itself is nothing but the will which has entered the region of representation, the will itself, perceived in the cognitive form of Space. I had accordingly said that, just as each single momentary act of willing presents itself at once directly and infallibly in the outer perception of the body as one of its actions, so also must the collective volition of each animal, the totality<sup>47</sup> of its efforts, be faithfully portrayed in its whole body, in the constitution of its organism; and that the means supplied by its organisation for attaining the aims of its will must as a whole exactly correspond to those aims — in short, that the same relation must exist between the whole character of its volition and the shape and nature of its body, as between each single act of its will and the single bodily action which carries it out. Even this too has recently been recognised as a fact, and accordingly been confirmed *à posteriori*, by thoughtful zootomists and physiologists from their own point of view and independently of my doctrine: their judgments on this point make Nature testify even here to the truth of my theory.

In Pander and d’Alton’s admirable illustrated work<sup>48</sup> we find: “Just as all that is characteristic in the formation of bones springs from the *character* of the animals, so does that character, on the other hand, develop out of their *tendencies and desires*. These *tendencies and desires* of animals, which are *so vividly expressed* in their whole organisation and of which that organisation only appears to be the medium, cannot be explained by special primary forces, since we can only deduce their inner reason from the general life of Nature.” By this last turn the author shows indeed that he has arrived at the point where, like all other investigators of Nature, he is brought to a standstill by the metaphysical; but he also shows, that up to this point beyond which Nature eludes investigation, *tendencies and desires* (*i.e.* will) were the utmost thing knowable. The shortest expression for his last conclusion about animals would be “As they will, so they are.”

The learned and thoughtful Burdach,<sup>49</sup> when treating of the ultimate reason of the genesis of the embryo in his great work on Physiology, bears

witness no less explicitly to the truth of my view. I must not, unfortunately, conceal the fact that in a weak moment, misled Heaven knows by what or how, this otherwise excellent man brings in just here a few sentences taken from that utterly worthless, tyrannically imposed pseudo-philosophy, about ‘thought’ being what is primary (it is just what is last and most conditioned of all) yet ‘no representation’ (that is to say, a wooden iron). Immediately after however, under the returning influence of his own better self, he proclaims the real truth (p. 710): “The brain curves itself outwards to the retina, because the central part of the embryo *desires* to take in the impressions of the activity of the world; the mucous membrane of the intestinal canal develops into the lung, because the organic body *desires* to enter into relation with the elementary substances of the universe; organs of generation spring from the vascular system, because the individual only lives in the species, and because the life which has commenced in the individual *desires* to multiply.” This assertion of Burdach’s, which so entirely agrees with my doctrine, reminds me of a passage in the ancient Mahabharata, which it is really difficult not to regard as a mythical version of the same truth. It is in the third Canto of “Sundas and Upasunda” in Bopp’s “Ardschuna’s Reise zu Indra’s Himmel”<sup>50</sup> (1824); Brahma has just created Tilottama, the fairest of women, who is walking round the circle of the assembled gods. Shiva conceives so violent a longing to gaze at her as she turns successively round the circle, that four faces arise in him according to her different positions, that is, according to the four cardinal points. This may account for Shiva being represented with five heads, as Pansh Mukhti Shiva. Countless eyes arise on every part of Indra’s body likewise on the same occasion.<sup>51</sup> In fact, every organ must be looked upon as the expression of a universal manifestation of the will, *i.e.* of one made once for all, of a fixed longing, of an act of volition proceeding, not from the individual, but from the species. Every animal form is a longing of the will to live which is roused by circumstances; for instance, the will is seized with a longing to live on trees, to hang on their branches, to devour their leaves, without contention with other animals and without ever touching the ground: this longing presents itself throughout endless time in the form (or Platonic Idea) of the sloth. It can hardly walk at all, being only adapted for climbing; helpless on the ground, it is agile on trees and looks itself like a moss-clad bough in order to escape the notice of its pursuers. But now let us



consider the matter from a somewhat more methodical and less poetical point of view.

The manifest adaptation of each animal for its mode of life and outward means of subsistence, even down to the smallest detail, together with the exceeding perfection of its organisation, form abundant material for teleological contemplation, which has always been a favourite occupation of the human mind, and which, extended even to inanimate Nature, has become the argument of the Physico-theological Proof. The universal fitness for their ends, the obviously intentional design in all the parts of the organism of the lower animals without exception, proclaim too distinctly for it ever to have been seriously questioned, that here no forces of Nature acting by chance and without plan have been at work, but a will. Now, that a will should act otherwise than under the guidance of knowledge was inconceivable, according to empirical science and views. For, up to my time, as has been shown in the last chapter, *will* and *intellect* had been regarded as absolutely inseparable, nay, the will was looked upon as a mere operation of the intellect, that presumptive basis of all that is spiritual. Accordingly wherever the will acted, knowledge must have been its guide; consequently it must have been its guide here also. But the mediation of knowledge, which, as such, is exclusively directed towards the outside, brings with it, that a will acting by means of it, can only act outwardly, that is, only from *one* being upon *another*. Therefore the will, of which unmistakable traces had been found, was not sought for where these were discovered, but was removed to the outside, and the animal became the product of a will foreign to it, guided by knowledge, which must have been very clear knowledge indeed, nay, the deeply excogitated conception of a purpose; and this purpose must have preceded the animal's existence, and, together with the will, whose product the animal is, have lain outside that animal. According to this, the animal would have existed in representation before existing in reality. This is the basis of the train of thought on which the Physico-theological Proof is founded. But this proof is no mere scholastic sophism, like the Ontological Proof: nor does it contain an untiring natural opponent within itself, like the Cosmological Proof, in that very same law of causality to which it owes its existence. On the contrary, it is, in reality, for the educated, what the Keraunological Proof<sup>52</sup> is for the vulgar,<sup>53</sup> and its plausibility is so great, so potent, that the most eminent and at the same time least prejudiced minds have been deeply entangled in it.

Voltaire, for instance, who, after all sorts of other doubts, always comes back to it, sees no possibility of getting over it and even places its evidence almost on a level with that of a mathematical demonstration. Even Priestley too declares it to be irrefutable.<sup>54</sup> Hume's reflection and acumen alone stood the test, even in this case; in his "Dialogues on Natural Religion,"<sup>55</sup> which are so well worth reading, this true precursor of Kant calls attention to the fact, that there is no resemblance at all between the works of Nature and those of an Art which proceeds according to a design. Now it is precisely where he cuts asunder the *nervus probandi* of this extremely insidious proof, as well as that of the two others — in his Critique of Judgment and in his Critique of Pure Reason — that Kant's merit shines most brilliantly. A very brief summary of this Kantian refutation of the Physico-theological Proof may be found in my chief work.<sup>56</sup> Kant has earned for himself great merit by it; for nothing stands so much in the way of a correct insight into Nature and into the essence of things as this view, by which they are looked upon as having been made according to a preconceived plan. Therefore, if a Duke of Bridgewater offers a prize of high value for the confirmation and perpetuation of such fundamental errors, let it be our task, following in the footsteps of Hume and Kant, to work undauntedly at their destruction, without any other reward than truth. Truth deserves respect: not what is opposed to it. Nevertheless here, as elsewhere, Kant has confined himself to negation; but a negation only takes full effect when it has been completed by a correct affirmation, this alone giving entire satisfaction and in itself dislodging and superseding error, according to the words of Spinoza: *Sicut lux se ipsa et tenebras manifestat, sic veritas norma sui et falsi est*. First of all therefore we say: the world is not made with the help of knowledge, consequently also not from the outside, but from the inside; and next we endeavour to point out the *punctum saliens*<sup>57</sup> of the world-egg. The physico-theological thought, that Nature must have been regulated and fashioned by an intellect, however well it may suit the untutored mind, is nevertheless fundamentally wrong. For the intellect is only known to us in animal nature, consequently as an absolutely secondary and subordinate principle in the world, a product of the latest origin; it can never therefore have been the condition of the existence of that world.<sup>58</sup> Now the will on the contrary, being that which fills every thing and manifests itself immediately in each — thus showing each thing to be its phenomenon — appears everywhere as that which is primary. It is just for this reason, that the explanation of all

teleological facts is to be found in the will of the being itself in which they are observed.

Besides, the Physico-theological Proof may be simply invalidated by the empirical observation, that works produced by animal instinct, such as the spider's web, the bee's honeycomb and its cells, the white ant's constructions, &c. &c., are throughout constituted as if they were the result of an intentional conception, of a wide-reaching providence and of rational deliberation; whereas they are evidently the work of a blind impulse, *i.e.* of a will not guided by knowledge. From this it follows, that the conclusion from such and such a nature to such and such a mode of coming into being, has not the same certainty as the conclusion from a consequent to its reason, which is in all cases a sure one. I have devoted the twenty-seventh chapter of the second volume of my chief work to a detailed consideration of the mechanical instincts of animals, which may be used, together with the preceding one on Teleology, to complete the whole examination of this subject in the present chapter.

Now, if we enter more closely into the above-mentioned fitness of every animal's organisation for its mode of life and means of subsistence, the question that first presents itself is, whether that mode of life has been adapted to the organisation, or *vice versa*. At first sight, the former assumption would seem to be the more correct one; since, in Time, the organisation precedes the mode of life, and the animal is thought to have adopted the mode of existence for which its structure was best suited, making the best use of the organs it found within itself: thus, for instance, we think that the bird flies because it has wings, and that the ox butts because it has horns; not conversely. This view is shared by Lucretius, (always an ominous sign for an opinion):

“Nil ideo quoniam natum est in corpore, ut uti

Possemus; sed, quod natum est, id procreat usum.”<sup>59</sup>

Only this assumption does not explain how, collectively, the quite different parts of an animal's organism so exactly correspond to its way of life; how no organ interferes with another, each rather assisting the others and none remaining unemployed; also that no subordinate organ would be better suited to another mode of existence, while the life which the animal really leads is determined by the principal organs alone, but, on the contrary, each part of the animal not only corresponds to every other part, but also to its mode of life: its claws, for instance, are invariably adapted for

seizing the prey which its teeth are suited to tear and break, and its intestinal canal to digest: its limbs are constructed to convey it where that prey is to be found, and no organ ever remains unemployed. The ant-bear, for instance, is not only armed with long claws on its fore-feet, in order to break into the nests of the white ant, but also with a prolonged cylindrical muzzle, in order to penetrate into them, with a small mouth and a long, threadlike tongue, covered with a glutinous slime, which it inserts into the white ants' nests and then withdraws covered with the insects that adhere to it: on the other hand it has no teeth, because it does not want them. Who can fail to see that the ant-bear's form stands in the same relation to the white ants, as an act of the will to its motive? The contradiction between the powerful fore-feet and long, strong, curved claws of the ant-bear and its complete lack of teeth, is at the same time so extraordinary, that if the earth ever undergoes a fresh transformation, the newly arising race of rational beings will find it an insoluble enigma, if white ants are unknown to them. The necks of birds, as of quadrupeds, are generally as long as their legs, to enable them to reach down to the ground where they pick up their food; but those of aquatic birds are often a good deal longer, because they have to fetch up their nourishment from under the water while swimming.<sup>60</sup> Moor-fowl have exceedingly long legs, to enable them to wade without drowning or wetting their bodies, and a correspondingly long neck and beak, this last being more or less strong, according to the things (reptiles, fishes or worms) which have to be crushed; and the intestines of these animals are invariably adapted likewise to this end. On the other hand, moor-fowl are provided neither with talons, like birds of prey, nor with web-feet, like ducks: for the *lex parsimoniæ naturæ* admits of no superfluous organ. Now, it is precisely this very law, added to the circumstance, that no organ required for its mode of life is ever wanting in any animal, and that all, even the most heterogeneous, harmonize together and are, as it were, calculated for a quite specially determined way of life, for the element in which the prey dwells, for the pursuit, the overcoming, the crushing and digesting of that prey, — all this, we say, proves, that the animal's structure has been determined by the mode of life by which the animal desired to find its sustenance, and not *vice versa*. It also proves, that the result is exactly the same as if a knowledge of that mode of life and of its outward conditions had preceded the structure, and as if therefore each animal had chosen its equipment before it assumed a body; just as a sportsman before starting chooses his

whole equipment, gun, powder, shot, pouch, hunting-knife and dress, according to the game he intends chasing. The latter does not take aim at the wild boar because he happens to have a rifle: he took the rifle with him and not a fowling-piece, because he intended to hunt the wild boar; and the ox does not butt because it happens to have horns: it has horns because it intends to butt. Now, to render this proof complete, we have the additional circumstance, that in many animals, during the time they are growing, the effort of the will to which a limb is destined to minister, manifests itself before the existence of the limb itself, its employment thus anticipating its existence. Young he-goats, rams, calves, for instance, butt with their bare polls before they have any horns; the young boar tries to gore on either side, before its tusks are fully developed which would respond to the intended effect, while on the other hand, it neglects to use the smaller teeth it already has in its mouth and with which it might really bite. Thus its mode of defending itself does not adapt itself to the existing weapons, but *vice versa*. This had already been noticed by Galenus<sup>61</sup> and by Lucretius<sup>62</sup> before him. All these circumstances give us complete certainty, that the will does not, as a supplementary thing proceeding from the intellect, employ those instruments which it may happen to find, or use the parts because just they and no others chance to be there; but that what is primary and original, is the endeavour to live in this particular way, to contend in this manner, an endeavour which manifests itself not only in the employment, but even in the existence of the weapon: so much so indeed, that the use of the weapon frequently precedes its existence, thus denoting that it is the weapon which arises out of the existence of the endeavour, not, conversely, the desire to use it out of the existence of the weapon. Aristotle expressed this long ago, when he said, with reference to insects armed with stings:<sup>63</sup> διὰ τὸ θυμὸν ἔχειν ὄπλον ἔχει (*quia iram habent, arma habent*), and further on, generally speaking:<sup>64</sup> Τὰ δ' ὄργανα πρὸς τὸ ἔργον ἢ φύσις ποιεῖ, ἀλλ' οὐ τὸ ἔργον πρὸς τὰ ὄργανα (*Natura enim instrumenta ad officium, non officium ad instrumenta accommodat*). From which it follows, that the structure of each animal is adapted to its will.

This truth forces itself upon thoughtful zoologists and zootomists with such cogency, that unless their mind is at the same time purified by a deeper philosophy, it may lead them into strange errors. Now this actually happened to a very eminent zoologist, the immortal De Lamarck, who has acquired everlasting fame by his discovery of the classification of animals



in *vertebrata* and *non-vertebrata*, so admirable in depth of view. For he quite seriously maintains and tries to prove<sup>65</sup> at length, that the shape of each animal species, the weapons peculiar to it, and its organs of every sort destined for outward use, were by no means present at the origin of that species, but have on the contrary *come into being* gradually *in the course of time* and through continued generation, in consequence of the exertions of the animal's will, evoked by the nature of its position and surroundings, through its own repeated efforts and the habits to which these gave rise. Aquatic birds and mammalia that swim, he says, have only become web-footed through stretching their toes asunder in swimming; moor-fowl acquired their long legs and necks by wading; horned cattle only gradually acquired horns because as they had no proper teeth for combating, they fought with their heads, and this combative propensity in course of time produced horns or antlers; the snail was originally, like other *mollusca*, without feelers; but out of the desire to feel the objects lying before it, these gradually arose; the whole feline species acquired claws only in course of time, from their desire to tear the flesh of their prey, and the moveable coverings of those claws, from the necessity of protecting them in walking without being prevented from using them when they wished; the giraffe, in the barren, grassless African deserts, being reduced for its food to the leaves of lofty trees, stretched out its neck and forelegs until at last it acquired its singular shape, with a height in front of twenty feet, and thus De Lamarck goes on describing a multitude of animal species as arising according to the same principle, in doing which he overlooks the obvious objection which may be made, that long before the organs necessary for its preservation could have been produced by means of such endeavours as these through countless generations, the whole species must have died out from the want of them. To such a degree may we be blinded by a hypothesis which has once laid hold of us! Nevertheless in this instance the hypothesis arose out of a very correct and profound view of Nature: it is an error of genius, which in spite of all the absurdity it contains, still does honour to its originator. The true part of it belongs to De Lamarck, as an investigator of Nature; he saw rightly that the primary element which has determined the animal's organisation, is the will of that animal itself. The false part must be laid to the account of the backward state of Metaphysics in France, where the views of Locke and of his feeble follower, Condillac, in fact still hold their ground and therefore bodies are held to be things in themselves, Time

and Space qualities of things in themselves; and where the great doctrine of the Ideal nature of Space and of Time and of all that is represented in them, which has been so extremely fertile in its results, has not yet penetrated. De Lamarck therefore could not conceive his construction of living beings otherwise than in Time, through succession. Errors of this sort, as well as the gross, absurd, atomic theory of the French and the edifying physico-theological considerations of the English, have been banished for ever from Germany by Kant's profound influence. So salutary was the effect produced by this great mind, even upon a nation capable of subsequently forsaking him to run after charlatanism and empty bombast. But the thought could never enter into De Lamarck's head, that the animal's will, as a thing in itself, might lie outside Time, and in this sense be prior to the animal itself. Therefore he assumes the animal to have first been without any clearly defined organs, but also without any clearly defined tendencies, and to have been equipped only with perception. Through this it learns to know the circumstances in which it has to live and from that knowledge arise its desires, *i.e.* its will, from which again spring its organs or definite embodiment; this last indeed with the help of generation and therefore in boundless Time. If De Lamarck had had the courage to carry out his theory fully, he ought to have assumed a primary animal<sup>66</sup> which, to be consistent, must have originally had neither shape nor organs, and then proceeded to transform itself according to climate and local conditions into myriads of animal shapes of all sorts, from the gnat to the elephant. — But this primary animal is in truth the *will to live*; as such however, it is metaphysical, not physical. Most certainly the shape and organisation of each animal species has been determined by its own will according to the circumstances in which it wished to live; not however as a thing physical in Time, but on the contrary as a thing metaphysical outside Time. The will did not proceed from the intellect, nor did the intellect exist, together with the animal, before the will made its appearance as a mere accident, a secondary, or rather tertiary, thing. It is on the contrary the will which is the *prius*, the thing in itself: its phenomenon (mere representation in the cognitive intellect and its forms of Space and Time) is the animal, fully equipped with all its organs which represent the will to live in those particular circumstances. Among these organs is the intellect also — knowledge itself — which, like the rest of those organs, is exactly adapted to the mode of life of each animal; whereas, according to De Lamarck, it is the will which

arises out of knowledge. Behold the countless varieties of animal shapes; how entirely is each of them the mere image of its volition, the evident expression of the strivings of the will which constitute its character! Their difference in shape is only the portrait of their difference in character. Ferocious animals, destined for combat and rapine, appear armed with formidable teeth and claws and strong muscles; their sight is adapted for great distances, especially when they have to mark their prey from a dizzy height, as is the case with eagles and condors. Timid animals, whose will it is to seek their safety in flight instead of contest, present themselves with light, nimble legs and sharp hearing in lieu of all weapons; a circumstance which has even necessitated a striking prolongation of the outer ear in the most timid of them all, the hare. The interior corresponds to the exterior: carnivorous animals have short intestines; herbivorous animals long ones, suited to a protracted assimilation. Vigorous respiration and rapid circulation of the blood, represented by appropriate organs, always accompany great muscular strength and irritability as their necessary conditions, and nowhere is contradiction possible. Each particular striving of the will presents itself in a particular modification of shape. The abode of the prey therefore has determined the shape of its pursuer: if that prey takes refuge in regions difficult of access, in remote hiding places, in night or darkness, the pursuer assumes the form best suited to those circumstances, and no shape is rejected as too grotesque by the will to live, in order to attain its ends. The cross-bill (*loxia curvirostra*) presents itself with this abnormal form of its organ of nutrition, in order to be able to extract the seeds out of the scales of the fir-cone. Moor-fowls appear equipped with extra long legs, extra long necks and extra long beaks, in short, the strangest shapes, in order to seek out reptiles in their marshes. Then we have the ant-bear with its body four feet long, its short legs, its strong claws, and its long, narrow, toothless muzzle provided with a threadlike, glutinous tongue for the purpose of digging out the white ants from their nests. The pelican goes fishing with a huge pouch under its beak in which to pack its fish, when caught. In order to surprise their prey while asleep in the night, owls fly out provided with enormous pupils which enable them to see in the dark, and with very soft feathers to make their flight noiseless and thus permit them to fall unawares upon their sleeping prey without awakening it by their movements. *Silurus*, *gymnotus* and *torpedo* bring a complete electric apparatus into the world with them, in order to stun their prey before they



can reach it; and also as a defence against *their own* pursuers. For wherever anything living breathed, there immediately came another to devour it,<sup>67</sup> and every animal is in a way designed and calculated throughout, down to the minutest detail, for the purpose of destroying some other animal. Ichneumons, for instance, among insects, lay their eggs in the bodies of certain caterpillars and similar *larvæ*, in which they bore holes with their stings, in order to ensure nourishment for their future brood. Now those kinds which feed on *larvæ* that crawl about freely, have short stings not more than about one-third of an inch long, whereas *pimpla manifestator*, which feeds upon *chelostoma maxillosa*, whose *larvæ* lie hidden in old trees at great depth and are not accessible to it, has a sting two inches long; and the sting of the *ichneumon strobillæ* which lays its eggs in *larvæ* dwelling in fir-cones, is nearly as long. With these stings they penetrate to the *larva* in which they bore a hole and deposit one egg, whose product subsequently devours this *larva*.<sup>68</sup> Just as clearly does the will to escape their enemies manifest itself in the defensive equipment of animals that are the objects of pursuit. Hedgehogs and porcupines raise up a forest of spears; armadillos, scaly ant-eaters and tortoises appear cased from head to foot in armour which is inaccessible to tooth, beak or claw; and so it is, on a smaller scale, with the whole class of *crustacea*. Others again seek protection by deceiving their pursuers rather than by resisting them physically: thus the sepia has provided itself with materials for surrounding itself with a dark cloud on the approach of danger. The sloth is deceptively like its moss-clad bough, and the frog its leaf; and many insects resemble their dwelling-places. The negro's louse is black;<sup>69</sup> so, to be sure, is our flea also; but the latter, in providing itself with an extremely powerful apparatus for making irregular jumps to a considerable distance, trusted to these for protection. — We can however make the anticipation in all these arrangements more intelligible to ourselves by the same anticipation which shows itself in the mechanical instincts of animals. Neither the young spider nor the ant-lion know the prey for which they lay traps, when they do it for the first time. And it is the same when they are on the defensive. According to Latreille, the insect *bombex* kills the *parnope* with its sting, although it neither eats it nor is attacked by it, simply because the *parnope* will lay its eggs in the *bombex's* nest, and by doing this will interfere with the development of its eggs; yet it does not know this. Anticipations of this kind once more

confirm the ideal nature of Time, which indeed always becomes manifest as soon as the will as thing in itself is in question. Not only with respect to the points here mentioned, but to many others besides, the mechanical instincts and physiological functions of animals serve to explain each other mutually, because the will without knowledge is the agent in both.

As the will has equipped itself with every organ and every weapon, offensive as well as defensive, so has it likewise provided itself in every animal shape with an *intellect*, as a means of preservation for the individual and the species. It was precisely in this account that the ancients called the intellect the ἡγεμονικόν, *i.e.* the guide and leader. Accordingly the intellect, being exclusively destined to serve the will, always exactly corresponds to it. Beasts of prey stood in greater need of intellect, and in fact have more intelligence, than herbivorous animals. The elephant certainly forms an exception, and so does even the horse to a certain extent; but the admirable intelligence of the elephant was necessary on account of the length of its life (200 years) and of the scantiness of its progeny, which obliged it to provide for a longer and surer preservation of the individual: and this moreover in countries teeming with the most rapacious, the strongest and the nimblest beasts of prey. The horse too has a longer life and a scantier progeny than the ruminants, and as it has neither horns, tusks, trunk, nor indeed any weapon save perhaps its hoofs, it needed greater intelligence and swiftness in order to elude pursuit. Monkeys needed their extraordinary intelligence, partly because of the length of their life, which even in the moderate-sized animal extends to fifty years; partly also because of their scanty progeny, which is limited to one at a time, but especially because of their *hands*, which, to be properly used, required the direction of an understanding. For monkeys depend upon their hands, not only for their defence by means of outer weapons such as sticks and stones, but also for their nourishment, this last necessitating a variety of artificial means and a social and artificial system of rapine in general, the passing from hand to hand of stolen fruit, the placing of sentinels, &c. &c. Add to this, that it is especially in their youth, before they have attained their full muscular development, that this intelligence is most prominent. In the *pongo* or ourang-outang for instance, the brain plays a far more important part and the understanding is much greater during its youth than at its maturity, when the muscular powers having attained full development, they take the place of the proportionately declining intellect. This holds good of all sorts of

monkeys, so that here therefore the intellect acts for a time vicariously for the yet undeveloped muscular strength. We find this process discussed at length in the “Résumé des Observations de Fr. Cuvier sur l’instinct et l’intelligence des animaux,” par Flourens (1841), from which I have quoted the whole passage referring to this question in the second volume of my chief work, at the end of the thirty-first chapter, and this is my only reason for not repeating it here. On the whole, intelligence gradually increases from the rodents<sup>70</sup> to the ruminants, from the ruminants to the pachyderms, and from these again to the beasts of prey and finally to the *quadrumana*, and anatomy shows a gradual development of the brain in similar order which corresponds to this result of external observation. (According to Flourens and Fr. Cuvier.)<sup>71</sup> Among the reptiles, serpents are the most intelligent, for they may even be trained; this is so, because they are beasts of prey and propagate more slowly than the rest — especially the venomous ones. And here also, as with the physical weapons, we find the will everywhere as the *prius*; its equipment, the intellect, as the *posterius*. Beasts of prey do not hunt, nor do foxes thief, because they have more intelligence; on the contrary, they have more intelligence, just as they have stronger teeth and claws too, because they wished to live by hunting and thieving. The fox even made up at once for his inferiority in muscular power and strength of teeth by the extraordinary subtlety of his understanding. Our thesis is singularly illustrated by the case of the bird *dodo* or *dronte* (*didus ineptus*) on the island of Mauritius, whose species, it is well known, has died out, and which, as its Latin name denotes, was exceedingly stupid, and this explains its disappearance; so that here it seems indeed as if Nature had for once gone too far in her *lex parsimoniae* and thereby in a sense brought forth an abortion in the species, as she so often does in the individual, which was unable to subsist, precisely because it was an abortion. If, on this occasion, anyone were to raise the question as to whether Nature ought not to have provided insects with at least sufficient intelligence to prevent them from flying into the flame of a candle, our answer would be: most certainly; only she did not know that men would make candles and light them, and *natura nihil agit frustra*. Insect intelligence is therefore only insufficient where the surroundings are artificial.<sup>72</sup>

Everywhere indeed intelligence depends in the first instance upon the cerebral system, and this stands in a necessary relation to the rest of the

organism; therefore cold-blooded animals are greatly inferior to warm-blooded ones, and invertebrate animals to *vertebrata*. But the organism is precisely nothing but the will become visible, to which, as that which is absolutely *prius*, everything constantly refers. The needs and aims of that will give in each phenomenon the rule for the means to be employed, and these means must harmonize with one another. Plants have no self-consciousness because they have no power of locomotion; for of what use would self-consciousness be to them unless it enabled them to seek what was salutary and flee what was noxious to them? And conversely, of what use could power of locomotion be to them, as they have no self-consciousness with which to guide it. The inseparable duality of Sensibility and Irritability does not yet appear therefore in the plant; they continue slumbering in the reproductive force which is their fundament, and in which alone the will here objectifies itself. The sun-flower, and every other plant, wills for light; but as yet their movement towards light is not separate from their apprehension of it, and both coincide with their growth. — Human understanding, which is so superior to that of all other beings, and is assisted by Reason (the faculty for non-perceptible representations, *i.e.* for conceptions; reflection, thinking faculty), is nevertheless only just proportionate, partly to Man's requirements, which greatly surpass those of animals and multiply to infinity; partly to his entire lack of all natural weapons and covering, and to his relatively weaker muscular strength, which is greatly inferior to that of monkeys of his own size;<sup>73</sup> lastly also, to the slowness with which his race multiplies and the length of his childhood and life, which demand secure preservation of the individual. All these great requirements had to be satisfied by means of intellectual powers, which, for this reason, predominate in him. But we find the intellect secondary and subordinate everywhere, and destined exclusively to serve the purposes of the will. As a rule too, it always remains true to its destiny and subservient to the will. How nevertheless, it frees itself in particular instances from this bondage through an abnormal preponderance of cerebral life, whereby purely objective cognition becomes possible which may be enhanced to genius, I have shown at length in the æsthetic part of my chief work.<sup>74</sup>

Now, after all these reflections upon the precise agreement between the will and the organisation of each animal, if we inspect a well-arranged osteological collection from this point of view, it will certainly seem to us

as if we saw one and the same being (De Lamarck's primary animal, or, more properly, *the will to live*) changing its shape according to circumstances, and thus producing all this multiplicity of forms out of the same number and arrangement of its bones, by prolonging and curtailing, strengthening and weakening them. This number and arrangement of the bones, which Geoffroy de St. Hilaire<sup>75</sup> called the anatomical element, continues, as he has thoroughly shown, in all essential points unchanged: it is a constant magnitude, something which is absolutely given beforehand, irrevocably fixed by an unfathomable necessity — an immutability which I should compare with the permanence of matter in all physical and chemical changes: but to this I shall soon return. Conjointly with this immutability of the anatomical element, we have the greatest susceptibility to modification, the greatest plasticity and flexibility of these same bones with reference to size, shape and adaptation to different purposes, all which we see determined by the will with primary strength and freedom according to the aims prescribed to it by external circumstances: it makes out of these materials whatever its necessity for the time being requires. If it desires to climb about in trees, it catches at the boughs at once with four hands, while it stretches the *ulva* and *radius* to an excessive length and immediately prolongs the *os coccygis* to a curly tail, a yard long, in order to hang by it to the boughs and swing itself from one branch to another. If, on the other hand, it desires to crawl in the mud as a crocodile, to swim as a seal, or to burrow as a mole, these same arm-bones are shortened till they are no longer recognisable; in the last case the *metacarpus* and *phalanges* are enlarged to disproportionately large shovel-paws, to the prejudice of the other bones. But if it wishes to fly through the air as a bat, not only are the *os humeri*, *radius* and *alnus* prolonged in an incredible manner, but the usually small and subordinate *carpus*, *metacarpus* and *phalanges digitorum* expand to an immense length, as in St. Anthony's vision, outmeasuring the length of the animal's body, in order to spread out the wing-membrane. If, in order to browse upon the tops of very tall African trees, it has, as a giraffe, placed itself upon extraordinarily high fore-legs, the same seven *vertebræ* of the neck, which never vary as to number and which, in the mole, were contracted so as to be no longer recognisable, are now prolonged to such a degree, that here, as everywhere else, the neck acquires the same length as the fore-legs, in order to enable the head to reach down to drinking-water. But where, as is the case when it appears as the elephant,

a long neck could not have borne the weight of the enormous, unwieldy head — a weight increased moreover by tusks a yard long — the neck remains short, as an exception, and a trunk is let down as an expedient, to lift up food and draw water from below and also to reach up to the tops of trees. In accordance with these transformations, we see in all of them the skull, the receptacle containing the understanding, at the same time proportionately expand, develop, curve itself, as the mode of procuring nourishment becomes more or less difficult and requires more or less intelligence; and the different degrees of the understanding manifest themselves clearly to the practised eye in the curves of the skull.

Now, in all this, that *anatomical element* we have mentioned above as fixed and invariable, certainly remains in so far an enigma, as it does not come within the teleological explanation, which only begins after the assumption of that element; since the intended organ might in many cases have been rendered equally suitable for its purpose even with a different number and disposition of bones. It is easy to understand, for instance, why the human skull should be formed out of eight bones: that is, to enable them to be drawn together by the fontanelles during birth; but we do not see why a chicken which breaks through its egg-shell should necessarily have the same number of skull-bones. We must therefore assume this anatomical element to be based, partly on the unity and identity of the will to live in general, partly on the circumstance, that the archetypal forms of animals have proceeded one from the other,<sup>26</sup> wherefore the fundamental type of the whole race was preserved. It is this anatomical element which Aristotle means by his ἀναγκαία φύσις, and the mutability of its shapes according to different purposes he calls τὴν κατὰ λόγον φύσιν,<sup>27</sup> and explains by it how the material for upper incisors has been employed for horns in horned cattle. Quite rightly: since the only ruminants which have no horns, the camel and the musk-ox, have upper incisors, and these are wanting in all horned ruminants.

No other explanation or assumption enables us nearly as well to understand either the complete suitability to purpose and to the external conditions of existence I have here shown in the skeleton, or the admirable harmony and fitness of internal mechanism in the structure of each animal, as the truth I have elsewhere firmly established: that the body of an animal is precisely nothing but the *will itself* of that animal brought to cerebral perception as representation — through the forms of Space, Time and



Causality — in other words, the mere visibility, objectivity of Will. For, if this is once pre-supposed, everything in and belonging to that body must conspire towards the final end: the life of this animal. Nothing superfluous, nothing deficient, nothing inappropriate, nothing insufficient or incomplete of its kind, can therefore be found in it; on the contrary, all that is required must be there, and just in the proportion needed, never more. For here artist, work and materials are one and the same. Each organism is therefore a consummate master-piece of exceeding perfection. Here the will did not first cherish the intention, first recognise the end and then adapt the means to it and conquer the material; its willing was rather immediately the aim and immediately the attainment of that aim; no foreign appliances needing to be overcome were wanted — willing, doing and attaining were here one and the same. Thus the organism presents itself as a miracle which admits of no comparison with any work of human artifice wrought by the lamplight of knowledge.<sup>78</sup>

Our admiration for the consummate perfection and fitness for their ends in all the works of Nature, is at the bottom based upon our viewing them in the same light as we do our own works. In these, in the first place, the will to do the work and the work are two different things; then again two other things lie between these two: firstly, the medium of representation, which, taken by itself, is foreign to the will, through which the will must pass before it realizes itself here; and secondly the material foreign to the will here at work, on which a form foreign to it has to be forced, which it resists, because the material already belongs to another will, that is to say, to its own nature, its *forma substantialis*, the (Platonic) idea, expressed by it: therefore this material has first to be overcome, and however deeply the artificial form may have penetrated, will always continue inwardly resisting. It is quite a different thing with Nature's works, which are not, like our own, indirect, but on the contrary, direct manifestations of the will. Here the will acts in its primordial nature, that is, unconsciously. No mediating representation here separates the will and the work: they are one. And even the material is one with them: for matter is the mere visibility of the will. Therefore here we find Matter completely permeated by Form; or, better still, they are of quite the same origin, only existing mutually one for the other; and in so far they are one. That we separate them in works of Nature as well as in works of Art, is a mere abstraction. Pure Matter, absolutely without Form or quality, which we think as the material of a

product of Nature, is merely an *ens rationis* and cannot enter into any experience: whereas the material of a work of Art is empirical Matter, consequently already has a Form. The [distinctive] character of Nature's products is the identity of form and substance; that of products of Art the diversity of these two.<sup>79</sup> It is because Matter is the mere visibility of Form in Nature's products, that, even empirically, we see Form appear as a mere production of Matter, bursting forth from its inside in crystallisation, in vegetable and animal *generatio æquivoca*, which last cannot be doubted, at any rate in the *epizoa*.<sup>80</sup> — For this reason we may even assume that nowhere, either on any planet or satellite, will Matter come to a state of endless repose, but rather that its inherent forces (*i.e.* the will, whose mere visibility it is) will always put an end again to the repose which has commenced, always awaking again from their sleep, to resume their activity as mechanical, physical, chemical, organic forces; since at all times they only wait for the opportunity to do so.

But if we want to understand Nature's proceeding, we must not try to do it by comparing her works with our own. The real essence of every animal form, is an act of the will outside representation, consequently outside its forms of Space and Time also; which act, just on that account, knows neither sequence nor juxtaposition, but has, on the contrary, the most indivisible unity. But when our cerebral perception comprehends that form, and still more when its inside is dissected by the anatomical knife, then that which originally and in itself was foreign to knowledge and its laws, is brought under the light of knowledge; but then also, it has to present itself in conformity with the laws and forms of knowledge. The original unity and indivisibility of that act of the will, of that truly metaphysical being, then appears divided into parts lying side by side and functions following one upon another, which all nevertheless present themselves as connected together in closest relationship one to another for mutual help and support, as means and ends one to the other. The understanding, in thus apprehending these things, now perceives the original unity re-establishing itself out of a multiplicity which its own form of knowledge had first brought about, and involuntarily taking for granted that its own way of perceiving this is the way in which this animal form comes into being, it is now struck with admiration for the profound wisdom with which those parts are arranged, those functions combined. This is the meaning of Kant's great doctrine, that Teleology is brought into Nature by our own understanding,



which accordingly wonders at a miracle of its own creation.<sup>81</sup> If I may use a trivial simile to elucidate so sublime a matter, this astonishment very much resembles that of our understanding when it discovers that all multiples of 9, when their single figures are added together, give as their product either the number 9 or one whose single figures again make 9; yet it is that very understanding itself which has prepared for itself this surprise in the decimal system. According to the Physico-theological argument, the actual existence of the world has been preceded by its existence in an intellect: if the world is designed for an end, it must have existed as representation before it came into being. Now I say, on the contrary, in Kant's sense: if the world is to be representation, it must present itself as designed for an end; and this only takes place in an intellect.

It undoubtedly follows from my doctrine, that every being is its own work. Nature, which is incapable of falsehood and is as *naïve* as genius, asserts the same thing downright; since each being merely kindles the spark of life at another exactly similar being, and then makes itself before our eyes, taking the materials for this from outside, form and movement from its own self: this process we call growth and development. Thus, even empirically, each being stands before us as its own work. But Nature's language is not understood because it is too simple.

## PHYSIOLOGY OF PLANTS.

The corroborations I am now about to bring forward of the phenomenon of the will in plants, proceed chiefly from French sources, from a nation whose tendencies are decidedly empirical and which is reluctant to go a step beyond what is immediately given. The informant moreover is Cuvier, whose rigid adherence to the purely empirical gave rise to the famous dispute between him and Geoffroy de St. Hilaire. So we must not be astonished if the language we meet with here is less decided than in the preceding German corroborations and if we find each concession made with cautious reserve.

In his “Histoire des Progrès des Sciences Naturelles depuis 1789 jusqu’à ce jour,”<sup>82</sup> Cuvier says: “Plants have certain apparently spontaneous movements, which they show under certain circumstances and which at times so closely resemble those of animals, that a sort of feeling and *will* might almost be attributed to plants on this account, especially by those who think they can perceive something of the same kind in the movements of the *inward* parts of animals. Thus the tops of trees always have a vertical tendency, excepting when they incline towards the light. Their roots seek out good earth and moisture and, in order to attain these, deviate from the straight course. Yet these different tendencies cannot be explained by the influence of external causes, unless we also assume the existence of an inner natural disposition, susceptible of being roused, which differs from the mere mechanical force in inorganic bodies.... Decandolle made some remarkable experiments that proved to him the existence of a sort of habit in plants which may be overcome by artificial light, but only after a certain time. Plants that had been shut up in a cellar which was continually lit by lamps, did not on this account leave off closing in the evening and opening again in the morning for several days. And there are other habits besides which plants are able to adopt and to abandon. Flowers that habitually close in wet weather, finish by remaining open if the wet weather lasts too long. When M. Desfontaines took a sensitive plant with him in his carriage, the jolting movement at first caused it to contract, but at last it expanded again as when in complete repose. Therefore even in these cases, light, moisture, &c., &c., only act in virtue of an inner disposition, which may be neutralized or modified by the continuation of that very activity itself; and

the vital energy of plants, like that of animals, is subject to fatigue and exhaustion. The *hedysarum gyrans* is singularly characterized by the movements of its leaves which continue day and night without needing any sort of stimulus. Surely, if any phenomenon can cause illusion and remind us of the voluntary movements of animals, it is this. Broussonet, Silvestre, Cels and Halle have fully described it, and have shown that the plant's action depends entirely upon its own healthy condition."

Again, in the third volume of the same work, p. 166 (1828), Cuvier says: "M. Dutrochet adds some physiological considerations to which his own experiments had led him, and which in his opinion prove that the movements of plants are *spontaneous*, i.e. that they depend upon an inner principle which immediately receives the influence of outer agencies. As he is however reluctant to admit that plants have feeling, he makes use of the word '*nervimotilité*.'" — Here I must observe, that when we come to examine it closely, what we think to ourselves in the conception of *spontaneity*, is in the end always the same thing as manifestation of will, with which spontaneity would therefore be simply synonymous. The only difference between them consists in the conception of spontaneity being derived from outer perception, while that of manifestation of will is drawn from our own consciousness. — I find a remarkable instance of the impetuous violence of this spontaneity, even in plants, in the following communication contained in the "Cheltenham Examiner:"<sup>83</sup> "Last Thursday four enormous mushrooms performed a heroic feat of a new kind, in one of our most crowded streets, by lifting up a huge block of stone in their strenuous effort to make their way into the visible world."

In the "Mém. de l'Acad. d. Sciences de l'année" (1821), Cuvier says<sup>84</sup>:—"For centuries botanists have been searching for the reason why in a seed which is germinating the root invariably grows downwards, while the stalk as invariably grows upwards, no matter what be the position in which the seed is placed. M. Dutrochet put some seeds into holes bored in the bottom of a vessel filled with damp mould, which he hung up to a beam in his room. Now, in this case, the stem might have been expected to grow downwards. Not at all: the roots found their way to the air below, and the stems were prolonged so as to traverse the damp mould until they reached its upper surface. According to M. Dutrochet, the direction in which plants grow, is determined by an inner principle and not at all by the attraction of the bodies towards which they direct themselves. A mistletoe seed that was

fastened to the point of a perfectly moveable needle fixed on a peg, with a small plank placed near it, was induced to germinate. It soon began to send out shoots towards the plank, which it reached in five days without having communicated the slightest movement to the needle. The stems of onions and leeks with their bulbs, deposited in dark places, grow upwards, although more slowly than in light ones; they grow upwards even if placed in water: a fact which suffices to prove that neither light nor moisture determines the direction of their growth.” — Still C. H. Schultz asserts<sup>85</sup> that he made seeds germinate in a dark box with holes bored in the bottom, and succeeded in inducing the plants to grow upside down, by means of a mirror fastened to the box, which reflected the sunlight.

In the “Dictionnaire des Sciences Naturelles” (article *Animal*) we find: “If, on the one hand, animals show avidity in their search after nourishment as well as power of discrimination in the selection of it, roots of plants may, on the other hand, be observed to direct themselves towards the side where the soil contains most nourishment, nay, even to seek out the smallest crevices in rocks which may contain any food. If we twist a bough so as to make the upper surface of its leaves the under one, these leaves even will twist their stems in order to regain the position best suited for the exercise of their functions (*i.e.* so as to have the smooth side uppermost). Is it quite certain that this takes place unconsciously?”

F. J. Meyen has devoted a chapter, entitled “Of the movements and sensations of plants,” to a full investigation of the subject now before us. In this he says<sup>86</sup>: “Not unfrequently potatoes, stored in deep, dark cellars, may be observed towards summer to shoot forth stems which invariably grow in the direction of the chinks through which the light comes into the cellar, and to continue thus growing until they at last reach the aperture which receives the light directly. In such cases potato-stalks have been known to reach a length of twenty feet; whereas under ordinary circumstances, even such as are most favourable to the growth of the potato, the stalk is seldom longer than from three to four feet. It is interesting to watch closely the course taken by a potato-stalk thus growing in darkness, in its endeavours to reach the light. It tries to do so by the shortest road, but not being firm enough to grow straight across through the air without support, it lets itself drop on to the floor, and thus creeps along the ground till it reaches the nearest wall, up which it then climbs.” Even this botanist too is led by his facts to the following assertion (p. 576): “On observing the freedom of movement of

*oscillatoria* and other inferior plants, we may perhaps have no alternative but to attribute a species of *will* to these beings.”

Creepers bear distinct evidence as to manifestation of will in plants; for, when they find no support near enough for their tendrils to cling to, they invariably direct their growth towards the shadiest place, or even towards a piece of dark-coloured paper, wherever it may be placed; whereas they avoid glass, on account of its glitter. In the “Philosophical Transactions” of 1812, Th. Andrew Knight relates some very pleasing experiments on this subject (especially with *ampelopsis quinquefolia*,)<sup>87</sup> although he strives hard to explain the matter mechanically, and will not admit that it is a manifestation of will. I appeal to his experiments, not to the conclusions he draws from them. A good test might be, to plant several free creepers in a circle round a tree-trunk and to observe whether they all crept towards the trunk centripetally. On the 6th Nov. 1843, Dutrochet read a treatise on this subject in the “Acad. de Sciences” called “Sur les Mouvements Révolutifs spontanés chez les Végétaux,” which, notwithstanding its great length, is well worth reading, and is published among the “Comptes rendus des Séances de l’Académie des Sciences” for Nov. 1843. The result is, that in *pisum sativum* (green pea), in *bryonia alba* (wild bryony) and in *cucumis sativus* (cucumber) the stems of those leaves which bear the tendrils, describe a very slow circular movement in the air, the time in which they complete an ellipsis varying from one to three hours according to temperature. By this movement they seek at random for solid bodies round which, when found, they twine their tendrils; these then support the plant, it being unable to stand by itself without help. That is, they do the same thing as the eyeless caterpillar, which when seeking a leaf describes circles in the air with the upper part of its body. Dutrochet contributes a good deal of information too concerning other movements in plants in this treatise: for instance, that *stylidium graminifolium* in New Holland, has a column in the middle of its *corolla* which bears the anthers and *stigma* and alternately folds up and unfolds again. What Treviranus adduces is to the same effect:<sup>88</sup> “In *parnassia palustris* and in *ruta graveolens*, the stamina incline one after the other, in *saxifraga tridactylites* in pairs, towards the stigma, and erect themselves again in the same order.” — Shortly before however, we read in Treviranus with reference to this subject: “Of all apparently voluntary movements of plants, the direction of their boughs and of the upper surface of their leaves towards the light and towards moist heat, and the twining

movements of creepers round their supports, are the most universal. In this last phenomenon especially there is something which resembles animal movements. While growing, creepers, it is true, if left to themselves, describe circles with their tips and by this means reach an object near at hand. But it is no merely mechanical cause that induces them to adapt their growth to the form of the object they have thus reached. The *cuscuta* does not twine round every kind of support: for instance, limbs of animals, dead vegetable matter, metals and inorganic substances are not used for this purpose, but only living plants, and not even all kinds — not mosses, for instance — only those from which it can extract nourishment by its *papillæ*; and these attract it from a considerable distance.”<sup>89</sup> The following special observation, communicated to the “Farmer’s Magazine,” and reproduced by the “Times” (13th July 1848) under the title “Vegetable Instinct,” is however still more to the point: “If a basin of water be placed within six inches of a young pumpkin-stalk, or of a stem of the large garden pea, no matter on what side, the stalk will approach the basin during the night and it will be found next morning with one of its leaves floating on the water. This experiment may be renewed every night till the plant begins to fructify. — Even if its position be changed every day, a stick fixed upright within six inches of a young convolvulus is sure to be found by the plant. If, after having wound itself for a certain distance round the stick, it is unwound and wound round again in the opposite direction, it will return to its original position or lose its life in the endeavour to do so. Nevertheless, if two such plants grow close to one another without having any stick near enough for them to cling to it, one of them will change the direction of its winding and they will twine round each other. Duhamel placed some Italian beans in a cylinder filled with moist earth; after a little while they began to germinate and naturally sent their *plumula* upwards in the direction of the light and their *radicula* downwards into the mould. After a few days the cylinder was turned round to the extent of a quarter of its circumference and the same process was repeated until it had been turned completely round. The beans were then removed from the earth, when it was found that both *plumula* and *radicula* had twisted at each turn that had been given, in order to adapt themselves to it, the one endeavouring to rise perpendicularly, the other to descend, so that they had formed a complete spiral. Yet, notwithstanding this natural tendency to descend, when the soil below is too dry, roots will



grow upwards in order to reach any moist substance which may be lying higher than themselves.”

In Froriep’s “Memoranda” for 1833 (No. 832) there is a short article upon the locomotivity of plants: in poor soil, where good mould lies near at hand, many plants will send out a shoot into the good mould; after a time the original plant then withers, but the offshoot prospers and itself becomes the plant. By means of this process, a plant has been known to climb down from a wall.

In the same periodical (1835, No. 981) is to be found a communication from Professor Daubeny, of Oxford (taken from the “Edinburgh New Philosophical Journal,” April-July, 1835), in which he shows with certainty, by means of new and very careful experiments, that roots of plants have, at any rate to a certain degree, the power to make choice from those substances in the soil which present themselves to their surface.<sup>90</sup>

Finally I will not omit to observe, that even so early an authority as Plato<sup>91</sup> had attributed desires, ἐπιθυμίας, *i.e.* *will*, to plants. In my chief work,<sup>92</sup> however, I have entered into the doctrines of the Ancients on this point, and the chapter there which treats of this subject may on the whole serve to complete the present one.

The reluctance and reserve with which we see the authors here quoted make up their minds to acknowledge the will, which nevertheless undoubtedly manifests itself in plants, comes from their being still hampered by the old opinion, that consciousness is a requisite and condition of the will: now it is evident that plants have no consciousness. The thought never entered into the heads of these naturalists, that the will might be the *prius* and therefore independent of the intellect, with which, as the *posterius*, consciousness first makes its appearance. As for knowledge or representation, plants have something merely analogous to it, a mere substitute for it; whereas they really have the will itself quite directly: for, as the thing in itself, it is the substratum of their phenomenal being as well as of every other. Taking a realistic view, starting accordingly from the objective, the matter might even be stated as follows: That which lives and moves in plant-nature and in the animal organism, when it has gradually enhanced itself in the scale of beings sufficiently for the light of knowledge to fall directly upon it, presents itself in this newly arising consciousness as *will*, and is here more immediately, consequently better, known than anywhere else. This knowledge therefore must supply the key for the

comprehension of all that is lower in the scale. For in this knowledge the thing in itself is no longer veiled by any other form than that of the most immediate apprehension. It is this immediate apprehension of one's own volition which has been called the inner sense. In itself the will is without apprehension, and remains so in the inorganic and vegetable kingdoms. Just as the world would remain in darkness, in spite of the sun, if there were no bodies to reflect its light; or as the mere vibration of a string can never become a sound without air or even without some sort of sounding-board: so likewise does the will first become conscious of itself when knowledge is added to it. Knowledge is, as it were, the sounding-board of the will, and consciousness the tone it produces. This becoming conscious of itself on the part of the will, was attributed to a supposed inner sense, because it is the first and most direct knowledge we have. The various emotions of our own will can alone be the object of this inner sense; for the process of representation itself cannot over again be perceived, but, at the very utmost, only be once more brought to consciousness in rational reflection, that second power of representing: that is, *in abstracto*. Therefore also, simple representation (intuition) is to thinking proper — that is, to knowing by means of abstract conceptions — what willing in itself is to becoming aware of that willing, *i.e.* to consciousness. For this reason, a perfectly clear and distinct consciousness, not only of our own existence but also of the existence of others, only arises with the advent of Reason (the faculty for conceptions), which raises Man as far above the brute, as the merely intuitive faculty of representation raises the brute above the plant. Now beings which, like plants, have no faculty for representation, are called unconscious, and we conceive this condition as only slightly differing from non-existence; since the only existence such beings have, is in the consciousness of others, as the representation of those others. They are nevertheless not wanting in what is primary in existence, the will, but only in what is secondary; still, what is primary — and this is after all the existence of the thing in itself — appears to us, without that secondary element, to pass over into nullity. We are unable directly and clearly to distinguish unconscious existence from non-existence, although we have our own experience of it in deep sleep.

Bearing in mind, according to the contents of the last chapter, that the faculty of knowing, like every other organ, has only arisen for the purpose of self-preservation, and that it therefore stands in a precise relation,



admitting of countless gradations, to the requirements of each animal species; we shall understand that plants, having so very much fewer requirements than animals, no longer need any knowledge at all. On this account precisely, as I have often said, knowledge is the true characteristic which denotes the limits of animality, because of the movement induced by motives which it conditions. Where animal life ceases, there knowledge proper, with whose essence our own experience has made us familiar, disappears; and henceforth analogy is our only way of making that which mediates between the influence of the outer world and the movements of beings intelligible to us. The will, on the other hand, which we have recognised as being the basis and kernel of every existing thing, remains one and the same at all times and in all places. Now, in the lower degree occupied by plant-life and by the vegetative life of animal organisms, it is the *stimulus* which takes the place of knowledge as a means of determining the individual manifestations of this omnipresent will and as a mediator between the outer world and the changes of such a being; finally, in inorganic Nature, it is *physical agency in general*; and when, as here, observation takes place from a higher to a lower degree, both stimulus and physical agency present themselves as substitutes for knowledge, therefore as mere analogues to it. Plants cannot properly be said to perceive light and the sun; yet we see them sensitive in various ways to the presence or absence of both. We see them incline and turn towards the light; and though this movement no doubt generally coincides with their growth, just as the moon's rotation on its axis coincides with its movement round the earth, it nevertheless exists, as well as that of the moon, and the direction of that growth is determined and systematically modified by light, just as an action is determined by a motive, and as the direction of the growth of creeping and clinging plants is determined by the shape and position of the supports they may chance to find. Thus because plants on the whole, still have wants, though not such wants as demand the luxury of a sensorium and an intellect, something analogous has to take the place of these, in order to enable the will to lay hold of, if not to seek out, the satisfactions which offer themselves to it. Now, this analogous substitute is susceptibility for stimuli, and I would express the difference between knowledge and this susceptibility as follows: in knowledge, the motive which presents itself as representation and the act of volition which follows from it, *remain distinctly separate one from the other*, this separation moreover being the

more distinct, the greater the perfection of the intellect; — whereas, in mere susceptibility for stimuli, the feeling of the stimulus can no longer be distinguished from the volition it occasions, and they coalesce. In inorganic nature finally, even susceptibility for stimuli, the analogy of which to knowledge is unmistakable, ceases, but the diversity of reaction of each body upon divers kinds of action remains; now, when the matter is considered, as we are doing, in the descending scale, this reaction still presents itself, even here, as a substitute for knowledge. If a body reacts differently, it must have been acted upon differently and that action must have roused a different sensation in it, which with all its dullness has nevertheless a distant analogy to knowledge. Thus when water that is shut up finds an outlet of which it eagerly avails itself, rushing vehemently in that direction, it certainly does not recognise that outlet any more than the acid perceives the alkali approaching it which will induce it to abandon its combination with a metal, or than the strip of paper perceives the amber which attracts it after being rubbed; yet we cannot help admitting that what brings about such sudden changes in all these bodies, bears a certain resemblance to that which takes place within us, when an unexpected motive presents itself. In former times I have availed myself of such considerations as these in order to point out the will in all things; I now employ them to indicate the sphere to which knowledge presents itself as belonging, when considered, not as is usual from the inside, but realistically, from a standpoint outside itself, as if it were something foreign: that is, when we gain the objective point of view for it, which is so extremely important in order to complete the subjective one.<sup>23</sup> We find that knowledge then presents itself as the *mediator of motives*, i.e. of the action of causality upon beings endowed with intellect — in other words, as that which receives the changes from outside upon which those in the inside must follow, as that which acts as mediator between both. Now upon this narrow line hovers *the world as representation* — that is to say, the whole corporeal world, stretched out in Space and Time, which *as such* can never exist anywhere but in the brain any more than dreams, which, as long as they last, exist in the same way. What the intellect does for animals and for man, as the mediator of motives, susceptibility for stimuli does for plants, and susceptibility for every sort of cause for inorganic bodies: and strictly speaking, all this differs merely in degree. For, exclusively as a consequence of this susceptibility to outward impressions having enhanced

itself in animals proportionately to their requirements till it has reached the point where a nervous system and a brain become necessary, does consciousness arise as a function of that brain, and in it the objective world, whose forms (Time, Space, Causality) are the way in which that function is performed. Therefore we find the intellect originally laid out entirely with a view to subjectivity, destined merely to serve the purposes of the will, consequently as something quite secondary and subordinate; nay, in a sense, as something which appears only *per accidens*; as a condition of the action of mere motives, instead of stimuli, which has become necessary in the higher degree of animal existence. The image of the world in Space and Time, which thus arises, is only the map<sup>94</sup> on which the motives present themselves as ends. It also conditions the spacial and causal connection in which the objects perceived stand to one another; nevertheless it is only the mediating link between the motive and the act of volition. Now, to take such an image as this of the world, arising in this manner, accidentally, in the intellect, *i.e.* in the cerebral function of animal beings, through the means to their ends being represented and the path of these ephemera on their planet being thus illumined — to take this image, we say, this mere cerebral phenomenon, for the true, ultimate essence of things (thing in itself), to take the concatenation of its parts for the absolute order of the Universe (relations between things in themselves), and to assume all this to exist even independently of the brain, would indeed be a leap! Here in fact, an assumption such as this must appear to us as the height of rashness and presumption; yet it is the foundation upon which all the systems of pre-Kantian *dogmatism* have been built up; for it is tacitly pre-supposed in all their Ontology, Cosmology and Theology, as well as in the *æternæ veritates* to which they appeal. But that leap had always been made tacitly and unconsciously, and it is precisely Kant's immortal achievement, to have brought it to our consciousness.

By our present realistic way of considering the matter therefore, we unexpectedly gain the *objective stand-point* for Kant's great discoveries; and, by the road of empirico-physiological contemplation, we arrive at the point whence his transcendental-critical view starts. For Kant's view takes the subjective for its standpoint and considers consciousness as given. But from consciousness itself and its law and order, given *à priori*, that view arrives at the conclusion, that all which appears in that consciousness can be nothing more than mere phenomenon. From our realistic, exterior

standpoint, on the contrary, which assumes the *objective* — all that exists in Nature — to be absolutely given, we see what the intellect is, as to its aim and origin, and to which class of phenomena it belongs, and we recognise (so far *à priori*) that it *must* be limited to mere phenomena. We see too, that what presents itself in the intellect can at all times only be conditioned — chiefly *subjectively* — that is, can, together with the order of the nexus of its parts, only be a *mundus phenomenon*, which is likewise subjectively conditioned; but that it can never be a knowledge of things as they may be in themselves, or as they may be connected in themselves. For, in the nexus of Nature, we have found the faculty of knowing as a conditioned faculty, whose assertions, precisely on that account, cannot claim unconditioned validity. To anyone who has studied and understood the Critique of Pure Reason — to which our standpoint is essentially foreign — it must nevertheless still appear as if Nature had intended the intellect for a puzzle-glass to mislead us and were playing at hide-and-seek with us. But by our realistic objective road, *i.e.* by starting from the objective world as given, we have now come to the very same result at which Kant had arrived by the idealistic, subjective road, *i.e.* by examining the intellect itself and the way in which it constitutes consciousness. We now see that the world as representation hovers on the narrow line between the external cause (motive) and the effect evoked (act of the will), in beings having knowledge (animals), in which beings for the first time there occurs a distinct separation between motive and voluntary act. *Ita res accendent lumina rebus*. It is only when it is reached by two quite opposite roads, that the great result attained by Kant is distinctly seen; and when light is thus thrown upon it from both sides, his whole meaning becomes clear. Our objective standpoint is realistic and therefore conditioned, so far as, in taking for granted the existence of beings in Nature, it abstracts from the fact that their objective existence postulates an intellect, which contains them as its representation; but Kant's subjective and idealistic standpoint is likewise conditioned, inasmuch as he starts from the intelligence, which itself, however, presupposes Nature, in consequence of whose development as far as animal life that intelligence is for the first time enabled to make its appearance. — Keeping steadily to this realistic, objective standpoint of ours, we may also define Kant's theory as follows: After Locke, in order to know things in themselves, had abstracted the share of sensuous functions — called by him secondary qualities — from things as they appear, Kant

with infinitely greater depth deducted from them the incomparably larger share of the cerebral function, which includes precisely what Locke calls primary qualities. But all I have done here has been to show why all this must necessarily be as it is, by indicating the place occupied by the intellect in the nexus of Nature, when we start realistically from the objective as given, but, in doing so, take the only thing of which we are quite directly conscious, the *will* — that true ποῦ στῶ of Metaphysics — for our support, as being what is primarily real, everything else being merely its phenomenon. What now follows serves to complete this.

I have mentioned already, that where knowledge takes place, the motive which appears as representation and the act of volition resulting from it, remain *the more clearly separated one from the other*, the more perfect the intellect; that is, the higher we ascend in the scale of beings. This calls for fuller explanation. As long as the will's activity is roused by stimuli alone, and no representation as yet takes place — that is, in plants — there is no separation at all between the receiving of impressions and the being determined by them. In the lowest order of animal intelligence, such as we find it in *radiaria*, *acalepha*, *acephala*, &c., the difference is still small; a feeling of hunger, a watchfulness roused by this, an apprehending and snapping at their prey, still constitute the whole content of their consciousness; nevertheless this is the first twilight of the dawning world as representation, the background of which — that is to say, everything excepting the motive which acts each time — still remains shrouded in impenetrable darkness. Here moreover the organs of the senses are correspondingly imperfect and incomplete, having exceedingly few data for perception to bring to an understanding yet in embryo. Nevertheless wherever there is sensibility, it is always accompanied by understanding, *i.e.* with the faculty for referring effects experienced to external causes; without this, sensibility would be superfluous and a mere source of aimless suffering. The higher we ascend in the scale of animals, the greater number and perfection of the senses we find, till at last we have all five; these are found in a small number of invertebrate animals, but they only become universal in the *vertebrata*. The brain and its function, the understanding, develop proportionately, and the object now gradually presents itself more and more distinctly and completely and even already in connection with other objects; because the service of the will requires apprehension of the mutual relations of objects. By this the world of representation acquires

some extent and background. Still that apprehension never goes beyond what is required for the will's service: the apprehending and the being roused to reaction by what is apprehended, are not clearly held asunder: the object is only perceived in as much as it is a motive. Even the more sagacious animals only see in objects what concerns themselves, what has reference to their will or, at the utmost, what may have reference to it in future: of this last we have an instance in cats, who take pains to acquire an accurate knowledge of localities, and in foxes, who endeavour to find hiding-places for their future prey. But they are insensible towards everything else; no animal has perhaps ever yet seen the starry sky: my dog started in terror when for the first time he accidentally caught sight of the sun. A first faint sign of a disinterested perception of their surroundings may at times be observed in the most intelligent animals, especially when they have been trained by taming. Dogs go so far as to stare at things; we may often see them sit down at the window and attentively watch all that passes. Monkeys look about them at times, as if trying to make up their mind about their surroundings. It is in Man that the separation between motive and action, between representation and will, first becomes quite distinct. But this does not immediately put an end to the subservience of the intellect to the will. Ordinary human beings after all only comprehend quite clearly that which, in some way or other, refers directly or indirectly to their own selves (has an interest for them); with respect to everything else, their understanding continues to be unconquerably inert; the rest therefore remains in the back-ground and does not come into consciousness under the radiant light of complete distinctness. Philosophical astonishment and artistic emotion occasioned by the contemplation of phenomena, remain eternally foreign to them, whatever they may do; for at the bottom, everything appears to them to be a matter of course. Complete liberation and separation of the intellect from the will and its bondage is the prerogative of genius, as I have fully shown in the æsthetic part of my chief work. Genius is objectivity. The pure objectivity and distinctness with which things present themselves in intuitive perception — that fundamental and most substantial source of knowledge — actually stands every moment in inverse proportion to the interest which the will has in those things; and knowing without willing is the condition, not to say the essence, of all gifts of æsthetic intelligence. Why does an ordinary artist produce so bad a painting of yonder landscape, notwithstanding all the pains he has taken?

Because he sees it so. And why does he see so little beauty in it? Because his intellect has not freed itself sufficiently from his will. The degrees of this separation give rise to great intellectual distinctions between men; for the more knowledge has freed itself from the will, the purer, consequently the more objective and correct, it is; just as that fruit is best, which has no after-taste of the soil on which it has grown.

This relation, as important as it is interesting, deserves surely to be made still clearer by a retrospective view of the whole scale of beings, and by recalling the gradual transition from absolute subjectivity to the highest degrees of objectivity in the intellect. Inorganic Nature namely, is absolutely subjective, no trace whatever of consciousness of an outer world being found in it. Stones, boulders, ice-blocks, even when they fall upon one another, or knock or rub against one another, have no consciousness of each other and of an outer world. Still even these are susceptible to external influence, which causes their position and movement to change and may therefore be considered as a first step towards consciousness. Now, although plants also have no consciousness of the outer world, and although the mere analogue of a consciousness which exists in them must, on the contrary, be conceived as a dull self-enjoyment; yet we see that they all seek light, and that many of them turn their flowers or leaves daily towards the sun, while creepers find their way to supports with which they are not in contact; and finally we see individual kinds of plants show even a sort of irritability. Unquestionably therefore, there is a connection and relation between their movements and surroundings, even those with which they are not in immediate contact; and this connection we must accordingly recognise as a faint analogue to perception. With animal life first appears decided perception — that is, consciousness of other things, as opposed to that clear consciousness of ourselves to which that consciousness of other things first gives rise. This constitutes precisely the true character of animal-nature, as opposed to plant-nature. In the lowest animals, consciousness of the outer world is very limited and dim: each increasing degree of understanding extends it and makes it clearer, and this gradual increase of the understanding again adapts itself to the gradually increasing requirements of the animal, and thus the process continues through the whole long ascending scale of the animal series up to Man, in whom consciousness of the outer world reaches its acme, and in whom the world accordingly presents itself more distinctly and completely than in any other

being. Still, even here, there are innumerable degrees in the clearness of consciousness, from the dullest blockhead to genius. Even in normal heads there still remains a considerable tinge of subjectivity in their objective perception of external objects, knowledge still bearing throughout the character of existing merely for the ends of the will. The more eminent the head, the less prominent is this character, and the more purely objective does the representation of the outer world become; till in genius finally it attains completely objectivity, by which the Platonic ideas detach themselves from the individual things, because the mind which comprehends them enhances itself to the pure subject of knowledge. Now, as perception is the basis of all knowledge, all thinking and all insight must be influenced by this fundamental difference in the quality of it, from which arises that complete difference between the ordinary and the superior mind in their whole way of viewing things, which may be noticed on all occasions. From this also proceeds the dull gravity, nearly resembling that of animals, which characterizes common-place heads whose knowledge is acquired solely for the benefit of the will, as opposed to the constant play of exuberant intellect which brightens the consciousness of the superior mind. The consideration of the two extremes in the great scale which we have here exhibited, seems to have given rise to the German hyperbolical expression "*Block*" (*Klotz*), as applied to human beings, and to the English "blockhead."

But another different consequence of the clear separation of the will from the intellect — therefore of the motive from the action, — which first appears in the human race, is the deceptive illusion of freedom in our individual actions. Where, as in inorganic nature, causes, or, as in the vegetable kingdom, stimuli, call forth the effect, the causal connection is so simple, that there is not even the slightest semblance of freedom. But already in animal life, where that which till then had manifested itself as cause or as stimulus, now appears as a *motive* — and a new world, that of representation, consequently presents itself, and cause and effect lie in different spheres — the causal connection between both, and with it the necessity, are less evident than they were in plants and in inorganic Nature. Nevertheless they are still unmistakable in animals, whose merely intuitive representation stands midway between organic functions induced by stimuli and the deliberate acts of Man. The animal's actions infallibly follow as soon as the perceptible motive is present, unless counter-acted by some



equally perceptible counter-motive or by training; yet here representation is already distinct from the act of volition and comes separately into consciousness. But in Man — whose representation has enhanced itself even to abstract conception and who now derives motives and counter-motives for his actions from a whole invisible thought-world which he carries about with him in his brain and which makes him independent of presence and of perceptible surroundings — this connection no longer exists at all for observation from outside, and even for inward observation it is only knowable through abstract and mature reflection. For these abstract motives, when observed from outside, give an impress of deliberation to all his movements, by which they acquire a semblance of independence that manifestly distinguishes them from those of animals, yet which after all only bears evidence to the fact, that Man is actuated by a class of representations in which animals do not share. Then again, in self-consciousness, the act of volition is known to us in the most immediate way, but the motive in most cases very indirectly, being often even intentionally veiled, out of consideration for our self-knowledge. This process therefore, in coincidence with the consciousness of that true freedom which belongs to the will, as thing in itself outside phenomenon, produces the deceptive illusion that even the single act of volition is unconditioned and free: that is, without a reason; whereas, when the character is given and the motive recognised, every act of volition really follows with the same strict necessity as the changes of which mechanics teach us the laws, and, to use Kant's words, were character and motive exactly known, might be calculated with precisely the same certainty as an eclipse of the moon; or again, to place a very heterogeneous authority by the side of Kant, as Dante says, who is older than Buridan: —

“Intra duo cibi distanti e moventi  
D'un modo, prima si morria di fame  
Che liber' uomo l'un recasse a' denti.”

*Paradiso*, iv. 1.<sup>95</sup>

## PHYSICAL ASTRONOMY.

No part of my doctrine could I have less hoped to see corroborated by empirical science than that, in which the fundamental truth, that Kant's thing in itself (*Ding an sich*) is the Will, is applied by me even to inorganic Nature, and in which I show the active principle in all fundamental forces of Nature to be absolutely identical with what is known to us within ourselves as the Will. — It has therefore been particularly gratifying to me to have found that an eminent empiricist, yielding to the force of truth, had gone so far as to express this paradox in the exposition of his scientific doctrine. I allude to Sir John Herschel and to his “Treatise on Astronomy,” the first edition of which appeared in 1833, and a second enlarged one in 1849, under the title “Outlines of Astronomy.” Herschel, — who, as an astronomer, was acquainted with gravity, not only in the one-sided and really coarse part which it acts on earth, but also in the nobler one performed by it in universal Space, where the celestial bodies play with each other, betray mutual inclination, exchange as it were amorous glances, yet never allow themselves to come into rude contact, and thus continue dancing their dignified minuet to the music of the spheres, while they keep at a respectful distance from one another — when he comes to the statement of the law of gravitation in the seventh chapter,<sup>96</sup> expresses himself as follows: —

“All bodies with which we are acquainted, when raised into the air and quietly abandoned, descend to the earth's surface in lines perpendicular to it. They are therefore urged thereto by a force or effort, the direct or indirect result of a consciousness and a will existing somewhere, though beyond our power to trace, which force we term *gravity*.”<sup>97</sup>

The writer who reviewed Herschel's book in the October number of the “Edinburgh Review” of 1833, anxious, as a true Englishman, before all things to prevent the Mosaic record<sup>98</sup> from being imperilled, takes great umbrage at this passage, rightly observing that it cannot refer to the will of God Almighty, who has called Matter and all its properties into being; he utterly refuses to recognise the validity of the proposition itself, and denies that it follows consistently from the preceding § upon which Herschel wishes to found it. My opinion is, that it undoubtedly would logically follow from that § (because the contents of a conception are determined by

its origin), but that the antecedent itself is false. It asserts namely, that the origin of the conception of causality is experience, more especially such experience as we ourselves make in acting by means of our own efforts upon bodies belonging to the outer world. It is only in countries like England, where the light of Kantian philosophy has not yet begun to dawn, that the conception of causality can be thought of as originating in experience (professors of philosophy who pooh-pooh Kant's doctrines and think me beneath their notice being left out of the question); least of all can it be thought of by those who are acquainted with my proof of the *à priori* of that conception, which differs completely from Kant's proof and rests upon the fact, that knowledge of causality must necessarily precede all perception of the outer world itself as its condition; since perception is only brought about through the *transition* — effected by the understanding — from the sensation in the organ of sense to its *cause*, which cause now presents itself as an *object* in Space, itself likewise an *à priori* intuition. Now, as the perception of objects must be anterior to our conscious action upon them, the experience of that conscious action cannot be the origin of the conception of causality; for, before I can act upon things, they must first have acted upon me as motives. I have entered fully into all that has to do with this in my chief work,<sup>99</sup> and in the second edition of my treatise on the Principle of Sufficient Reason, § 21,<sup>100</sup> where the assumption adopted by Herschel finds special refutation; it is therefore useless to enter into it once more here. But it would be even quite possible to refute this assumption empirically, since it would necessarily follow from it, that a man who came into the world without arms or legs, could never attain any knowledge of causality or perception of the outer world. Now Nature has effectually disproved this by a case, of which I have reproduced the account from its original source in the above-mentioned chapter of my chief work, p. 40.<sup>101</sup> — In this assertion of Herschel's therefore, we have another instance of a right conclusion drawn from wrong premisses. Now this always happens when we have obtained immediate insight into a truth by a right *aperçu* but are at a loss to find out and clearly define our reasons for knowing it, owing to our inability to bring them to clear consciousness. For, in all original insight, conviction exists before proof: the proof being invariably excogitated afterwards.

The immediate manifestation of gravity is more evident in each part of liquid, than of solid, matter, owing to the perfect freedom of motion of the

parts among each other. In order therefore to penetrate into this *aperçu*, which is the true source of Herschel's assertion, let us look attentively at a torrent dashing headlong over rocks and ask ourselves whether so determined an impetus, so boisterous a vehemence, can arise without an exertion of strength, and whether an exertion of strength is conceivable without will. And so it is precisely in every case in which we become aware of anything moving spontaneously, of any primary, uncommunicated force: we are constrained to think its innermost essence as will. — This much at any rate is certain, that Herschel, like all the empiricists in so many different branches of science whose evidence I have quoted above, had arrived here at the limit where nothing more is left behind the Physical but the Metaphysical; that this had brought him to a standstill, and that he, as well as the rest of them, was unable to find anything beyond that limit, but the *will*.

Herschel moreover, like most of these empiricists, is here still hampered by the opinion that will is inseparable from consciousness. As I have expatiated enough above upon this fallacy, and its correction through my doctrine, it is needless for me to enter into it here again.

The attempt has repeatedly been made, since the beginning of this century, to ascribe *vitality* to the inorganic world. Quite wrongly: for living and inorganic are convertible conceptions, and with death the organic ceases to be organic. But no limit in the whole of Nature is so sharply drawn as the line which separates the organic from the inorganic: that is to say, the line between the region in which Form is the essential and permanent, Matter the accidental and changing, — and the region in which this relation is entirely reversed. This is no vacillating boundary like that perhaps between animals and plants, between solid and liquid, between gas and steam: to endeavour to destroy it therefore, is intentionally to bring confusion into our ideas. On the other hand, I am the first who has asserted that a *will* must be attributed to all that is lifeless and inorganic. For, with me, the will is not, as has hitherto been assumed, an accident of cognition and therefore of life: but life itself is manifestation of will. Knowledge, on the contrary, is really an accident of life, and life of Matter. But Matter itself is only the perceptibility of the phenomena of the will. Therefore we are compelled to recognise *volition* in every effort or tendency which proceeds from the nature of a material body, and properly speaking constitutes that nature, or manifests itself as phenomenon by means of that nature; and there

can consequently be no Matter without manifestation of will. The lowest and on that account most universal manifestation of will is *gravity*, wherefore it has been called a primary and essential property of Matter.

The usual view of Nature assumes *two* fundamentally different principles of motion, therefore it supposes that the movement of a body may have *two different origins: i.e.*, that it proceeds either from the inside, in which case it is attributed to the *will*; or from the outside, and then it is occasioned by *causes*. This principle is generally taken for granted as a matter of course and only occasionally brought explicitly into prominence; nevertheless, in order to make the case quite certain, I will point out a few passages from the earliest to the latest authors in which it is specially stated. In Phædrus,<sup>102</sup> Plato makes the distinction between that which moves spontaneously from inside (*soul*) and that which receives movement only from outside (*body*) — τὸ ὑφ' ἑαυτοῦ κινούμενον καὶ τό, ὃ ἔξωθεν τὸ κινεῖσθαι.<sup>103</sup> — Aristotle establishes the principle in precisely the same way: ἅπαν τὸ φερόμενον ἢ ὑφ' ἑαυτοῦ κινεῖται, ἢ ὑπ' ἄλλου (*quidquid fertur a se movetur, aut ab alio*).<sup>104</sup> He returns to the subject in the next Book, chap. 4 and 5, and connects it with some explanatory details which lead him into considerable perplexity, on account precisely of the fallacy of the antithesis.<sup>105</sup> — In more recent times again J. J. Rousseau brings forward the same antithesis with great *naïveté* and candour in his famous “Profession de foi du vicaire Savoyard:”<sup>106</sup> “*J’aperçois dans les corps deux sortes de mouvement, savoir: mouvement communiqué et mouvement spontané ou volontaire: dans le premier la cause motrice est étrangère au corps mû; et dans le second elle est en lui-même.*” — But even in our time and in the stilted, puffed-up style which is peculiar to it, Burdach holds forth as follows:<sup>107</sup> “The cause that determines a movement lies either inside or outside of that which moves. Matter is external existence; it has powers of motion, but it only brings them into play under certain spacial conditions and external oppositions: the soul alone is an ever active and internal thing, and only those bodies which have souls find within themselves inducement to move, and move of their own free will, independently of outer mechanical circumstances.”

Now here however I must say, as Abélard once did: *si omnes patres sic, at ego non sic*: for, in opposition to this principle, however great may be its antiquity and universality, my doctrine maintains, that there are *not* two origins of movement differing fundamentally from one another; that

movement does *not* proceed either from inside, when it is ascribed to the will, or from outside, when it is brought about by causes; but that both things are inseparable and take place simultaneously with every movement made by a body. For movement which is admitted to arise from the *will*, always presupposes a *cause* also: this cause, in beings that have knowledge, is a *motive*; but without it, even in these beings, movement is impossible. On the other hand, the movement of a body which is admitted to have been brought about by an outward *cause*, is nevertheless in itself a manifestation of the *will* of that body which has only been evoked by that cause. Accordingly there is only one, uniform, universal and exceptionless principle of all movement, whose inner condition is *will* and whose outer occasion is *cause*, which latter may also take the form of a *stimulus* or of a *motive*, according to the nature of the thing moved.

All that is known to us of things in a merely empirical or *à posteriori*, way, is in itself *will*; whereas, so far as they can be determined *à priori*, things belong exclusively to *representation*, to mere phenomenon. Natural phenomena therefore become proportionately less easy to comprehend, the more distinctly the will manifests itself in them, *i.e.* the higher they stand on the scale of beings; whereas, they become more and more comprehensible the smaller the amount of their empirical content, because they remain more and more within the sphere of mere representation, the forms of which, known to us *à priori*, are the principle of comprehensibility. Accordingly, it is only so long as we limit ourselves to this sphere — that is to say, only when we have before us mere representation, mere form without empirical content — that our comprehension is complete and thorough: that is, in the *à priori* sciences, Arithmetic, Geometry, Phoronomy and Logic. Here everything is in the highest degree comprehensible; our insight is quite clear and satisfactory: it leaves nothing to be desired, since we are even unable to conceive that anything could be otherwise than it is. This comes from our having here exclusively to do with the forms of our own intellect. Thus the more we are able to comprehend in a relation, the more it consists of mere phenomenon and the less it has to do with the thing in itself. Applied Mathematics, Mechanics, Hydraulics, &c. &c., deal with the lowest degrees of objectification of the will, in which the largest part still remains within the sphere of mere representation; nevertheless even here there is already an

empirical element which stands in the way of entire comprehension, which makes the transparency less complete, and in which the inexplicable shows itself. For the same reason, only few departments of Physics and of Chemistry continue to admit of a mathematical treatment; whereas higher up in the scale of beings this has to be entirely done away with, precisely because of the preponderance of content over form in these phenomena. This content is will, the *à posteriori*, the thing in itself, the free, the causeless. Under the heading “Physiology of Plants,” I have shown how — in beings that live and have knowledge — motive and act of will, representation and volition, separate and detach themselves more and more distinctly one from the other, the higher we ascend in the scale of beings. Now, in inorganic Nature also, the cause separates itself from the effect in just the same proportion, and the purely empirical — which is precisely phenomenon of the will — detaches itself more and more prominently; but, just with this, comprehensibility diminishes. This point merits fuller investigation, and I request my readers to give their whole and undivided attention to what I am about to say, as it is calculated to place the leading thought of my doctrine in the strongest possible light, both as to comprehensibility and cogency. But this is all I can do; for it is beyond my power to induce my contemporaries to prefer thoughts to verbiage; I can only console myself for not being the man of the age.

On the lowest step of the scale of Nature, cause and effect are quite homogeneous and quite equivalent. Here therefore we have perfect comprehension of the causal connection: for instance, the cause of the movement of one ball propelled by impact, is the movement of another, which loses just as much movement as the first one receives. Here causality is in the highest degree intelligible. What notwithstanding still remains mysterious, is restricted to the possibility of the passage of movement — of a thing incorporeal — from one body to another. The receptivity of bodies in this mode is so slight, that the effect to be produced has to pass over completely from its cause. The same holds good of all purely mechanical influences; and if they are not all just as instantaneously understood, it is either because they are hidden from us by accessory circumstances, or because we are confused by the complicated connection of many causes and effects. In itself, mechanical causality is everywhere equally, that is, in the highest degree, comprehensible; because cause and effect do not differ here as to *quality*, and because where they differ as to *quantity*, as in the lever,

mere Space and Time relations suffice to make the thing clear. But as soon as weights come also into play, a second mysterious element supervenes, *gravity*: and, where elastic bodies are concerned, *elasticity* also. — Things change as soon as we begin to ascend in the scale of phenomena. Heat, considered as cause, and expansion, liquefaction, volatilization or crystallization, as effects, are not homogeneous; therefore their causal connection is not intelligible. The comprehensibility of causality has diminished: what a lower degree of heat caused to liquefy, a higher degree makes evaporate: that which crystallizes with less heat, melts when the heat is augmented. Warmth softens wax and hardens clay; light whitens wax and blackens chloride of silver. And, to go still further, when two salts are seen to decompose each other mutually and to form two new ones, elective affinity presents itself to us as an impenetrable mystery, and the properties of the two new bodies are not a combination of the properties of their separate elements. Nevertheless we are still able to follow the process and to indicate the elements out of which the new bodies are formed; we can even separate what has been united and restore the original quantities. Thus noticeable heterogeneousness and incommensurability between cause and effect have here made their appearance: causality has become more mysterious. And this becomes still more apparent when we compare the effects of electricity or of the Voltaic pile with their causes, *i.e.* with the friction of glass, or the piling and oxidation of the plates. Here all similarity between cause and effect at once vanishes; causality becomes shrouded in a thick veil, which men like Davy, Faraday and Ampère have strenuously endeavoured to lift. The only thing now discernible through that veil, are the laws ruling its mode of action, which may be brought into a schema such as + E - E, communication, distribution, shock, ignition, analysis, charging, isolation, discharging, electric current, &c. &c., to this schema we are able to reduce and even to direct the effect; but of the process itself we know nothing: that remains an *x*. Here therefore cause and effect are completely heterogeneous, their connection is unintelligible, and we see bodies show great susceptibility to causal influences, the nature of which remains a secret for us. Moreover in proportion as we mount higher in the scale, the effect seems to contain more, the cause less. When we reach organic Nature therefore, in which the phenomenon of life presents itself, this is the case in a far higher degree still. If, as is done in China, we fill a pit with decaying wood, cover it with leaves from the same tree as the



wood, and pour a solution of sulphur repeatedly over it, an abundant crop of edible mushrooms will spring up. A world of rapidly moving *infusoria* will arise from a little hay well watered. What a difference lies here between effect and cause! How much more does the former seem to contain than the latter! When we compare the seed, sometimes centuries, nay even thousands of years old, with the tree, or the soil with the specifically and strikingly different juices of innumerable plants — some healthy, some poisonous, some again nutritious — which spring from the same earth, upon which the same sun shines and the same rain falls, all resemblance ceases, and with it all comprehensibility for us. For here causality already appears in increased potency: that is, as stimulus and as susceptibility for stimulus. The schema of cause and effect alone has remained; we know that this is cause, that effect; but we know nothing whatever of the nature and disposition of causality. Between cause and effect there is not only no qualitative resemblance, but no quantitative relation: the relatively greater importance of the effect as compared with its cause increases more and more; the effect of the stimulus too does not augment in proportion with the enhancement of that stimulus; in fact just the contrary often takes place. Finally, when we come to the sphere of beings which have knowledge, there is no longer any sort of resemblance or relation between the action performed and the object which, as representation, evokes it. Animals, however, as they are restricted to *perceptible* representations, still need the *presence* of the object acting as a motive, which action is then immediate and infallible (if we leave training, *i.e.* habit enforced by fear, out of the question). For animals are unable to carry about with them conceptions that might render them independent of present impressions, enable them to reflect, and qualify them for deliberate action. Man can do this. Therefore when at last we come to rational beings, the motive is even no longer a present, perceptible, actually existing, real thing, but a mere conception having its present existence only in the brain of the person who acts, but which is extracted from many multifarious perceptions, from the experience of former years, or has been handed down in words. Here the separation between cause and effect is so wide, the effect has grown so much stronger as compared with the cause, that the vulgar mind no longer perceives the existence of a cause at all, and the acts of the will appear to it to be unconditioned, causeless: that is to say, free. This is just why, when we reflect upon them from outside, the movements of our own body present

themselves as if they took place without cause, or to speak more properly, by a miracle. Experience and reflection alone teach us that these movements, like all others, are only possible as the effects of causes, here called motives, and that, on this ascending scale, it is only as to material reality that the cause has failed to keep pace with the effect; whereas it has kept pace with it as to dynamical reality, energy. — At this degree of the scale therefore — the highest in Nature — causality has become less intelligible to us than ever. Nothing but the bare schema, taken in a quite general sense, now remains, and the ripest reflection is needed to recognise its applicability and the necessity that schema brings with it everywhere.

In the Grotto of Pausilippo, darkness continues to augment as we advance towards the interior; but when once we have passed the middle, day-light again appears at the other end and shows us the way; so also in this case: just at the point where the outwardly directed light of the understanding with its form of causality, gradually yielding to increasing darkness, had been reduced to a feeble, flickering glimmer, behold! we are met by a totally different light proceeding from quite another quarter, from our own inner self, through the chance circumstance, that we, the judges, happen here to be the very objects that are to be judged. The growing difficulty of the comprehension of the causal nexus, at first so clear, had now become so great for perception and for the understanding — the agent in it — that, in animal actions, the very existence of that nexus seemed almost doubtful and those actions appeared to be a sort of miracle. But, just at this point, the observer receives from his own inner self the direct information that the agent in them is the will — that very will, which he knows better and more intimately than anything that external perception can ever supply. This knowledge alone must be the philosopher's key to an insight into the heart of all those processes in unconscious Nature, concerning which causal explanation — although, here, to be sure, more satisfactory than in the processes last considered, and the clearer, the farther those processes were removed from these — nevertheless had still left an unknown *x*, and could never quite illumine the inside of the process, even in a body propelled by impact or attracted by gravity. This *x* had continued expanding till finally, on the highest degrees of the scale, it had wholly repelled causal explanation. But then, just when the power of causal explanation had been reduced to a minimum, that *x* revealed itself as *the will* — reminding us of Mephistopheles when, yielding to Faust's learned

exorcisms, he steps forth out of the huge grown poodle whose kernel he was. In consequence of the considerations I have here set forth at length, we can surely hardly avoid recognising *the identity of this x*, even on the lowest degrees of the scale, where it was but faintly perceptible; then higher up, where it extended its obscurity more and more; and finally on the highest degrees, where it cast a shadow upon all things — till, at the very top, it reveals itself to our consciousness in our own phenomenal being, as *the will*. The two primarily different sources of our knowledge, that is to say the inward and the outward source, have to be connected together at this point by reflection. It is quite exclusively out of this connection that our comprehension of Nature, and of our own selves arises; but then the inner side of Nature is disclosed to our intellect, which by itself alone can never reach further than to the mere outside; and the mystery which philosophy has so long tried to solve, lies open before us. For then indeed we clearly see what the Real and the Ideal (the thing in itself and the phenomenon) properly are; and this settles the principal question which has engaged the attention of philosophers since Descartes: that is to say, the question as to the relation between these two, whose complete diversity Kant had shown most thoroughly and with unexampled depth, yet whose absolute identity was immediately afterwards proclaimed by humbugs on the credit of intellectual intuition. But if we decline to avail ourselves of this insight, which is really the one strait gate to truth, we can never acquire comprehension of the intrinsic essence of Nature, to which absolutely no other road leads; for then indeed we fall into an irremovable error. Then, as I have already said, we maintain the view, that motion has two radically different primary principles with a solid partition-wall between them: *i.e.* movement by means of causes, and movement by means of the will. The first of these must then remain for ever incomprehensible as to its innermost essence, because, after all its explanations, there is still left that unknown *x* which contains the more, the higher the object under consideration stands in the scale of beings; while the second, movement by the will, presents itself as entirely disconnected from the principle of causality; as without reason; as freedom in individual actions: in other words, as completely opposed to Nature and utterly unexplainable. On the other hand, if the above-mentioned union of our external and internal knowledge has once been accomplished at the point where both meet, we then recognise two identities in spite of all accidental differences. That is to say, we recognise the identity

of causality with itself on every degree of the scale of beings, and the identity of the  $x$ , which at first was unknown (*i.e.* of physical forces and vital phenomena), with the will which is within us. We recognise, I say, firstly the essential identity of causality under the various forms it is forced to assume on the different degrees of the scale, as it may manifest itself, now as a mechanical, chemical, or physical cause, now as a stimulus, and again as a perceptible or an abstract motive: we know it to be one and the same, not only when a propelling body loses as much movement as it imparts by impact, but also when in the combats of thought against thought, the victorious one, as the more powerful motive, sets Man in motion, a motion which follows with no less necessity than that of the ball which is struck. Where we ourselves are the things set in motion, where therefore the kernel of the process is well and intimately known to us, instead of allowing ourselves to be dazzled and confused by this light and thereby losing sight of the causal connection as it lies before us everywhere else in the whole of Nature; instead of shutting out this insight for ever, we now apply the new knowledge we have acquired from within as a key to the knowledge of things outside us, and then we recognise the second identity, that of our will with the hitherto mysterious  $x$  that remains over after all causal explanation as an insoluble residue. Consequently we then say: even in cases in which the effect is brought about by the most palpable cause, the mysterious  $x$  in the process, the real innermost core of it, the true agent, the *in-itself* of all phenomena — which, after all, is only given us as representation and according to the forms and laws of representation — is essentially one and the same with what is known to us immediately and intimately as *the will* in the actions of our own body, which body is likewise given us as intuition and representation. — This is (say what you will) the basis of true philosophy, and if the present age does not see this, many following ages will. *Tempo è galant' uomo! (se nessun altro)*. — Thus, just as, on the one hand, the essence of causality, which appears most clearly only on the lowest degree of the objectification of the will, is recognised by us again at every ascending step, even at the highest; so also, on the other hand, is the essence of the will recognised by us at every descending step in that ladder, even at the lowest, although this knowledge is only immediately acquired at the very highest. The old error asserts, that where there is will, there is no causality; and that where there is causality, there is no will. But we say: everywhere where there is causality, there is will; and no will acts

without causality. The *punctum controversiæ* therefore, is, whether will and causality can and must subsist together in one and the same process at the same time. What makes the knowledge, that this is indeed the case, so difficult, is the circumstance, that we know causality and will in two fundamentally different ways: causality entirely from outside, quite indirectly, quite through the understanding; will entirely from inside, quite directly; and that accordingly the clearer the knowledge of the one in each given instance, the less clear is the knowledge of the other. Therefore we recognise the essence of the will least readily, where causality is most intelligible; and, where the will is most unmistakably evident, causality becomes so obscured, that the vulgar mind could venture to deny its existence altogether. — Now, as Kant has taught us, causality is nothing but the form of the understanding itself, knowable *à priori*: that is, the essence of *representation*, as such, which is one side of the world; the other side is *will*: which is the thing in itself. That relative increase and decrease of clearness in inverse proportion of causality and of the will, that mutual advancing and receding of both, depends consequently upon the fact, that the more a thing is given us as mere phenomenon, *i.e.* as representation, the more clearly does the *à priori* form of representation, *i.e.* causality, manifest itself: this is the case in inanimate Nature; conversely, the more immediate our knowledge of the will, the more does the form of representation recede into the background: this is the case with ourselves. That is: the nearer one side of the world approaches to us, the more do we lose sight of the other.

## LINGUISTIC.

All that I have to record under this head is an observation of my own, made within the last few years, which seems hitherto to have escaped notice. Yet, that it is worthy of consideration, is attested by Seneca's utterance:<sup>108</sup> *Mira in quibusdam rebus verborum proprietas est, et consuetudo sermonis antiqui quædam efficacissimis notis signat.* Lichtenberg too says: "If one thinks much oneself, one finds a good deal of wisdom deposited in language. It is hardly likely that we have laid it all there ourselves, but rather that a great deal of wisdom really lies there."

In many, perhaps in all, languages, the action even of those bodies which are without intellect, nay of inanimate bodies, is expressed by the words *to will*, so that the existence of a will in these bodies is thus taken for granted; but they are never credited with a faculty for knowing, representing, perceiving or thinking: I know of no expression which conveys this.

Seneca, when speaking of lightning shot down from heaven, says:<sup>109</sup> "*In his, ignibus accidit, quod arboribus: quarum cacumina, si tenera sunt, ita deorsum trahi possunt, ut etiam terram attingant; sed quum permiseris, in locum suum exsiliunt. Itaque non est quod eum spectes cujusque rei habitum, qui illi non ex voluntate est. Si ignem permittis ire quo velit, cælum repetet.*" In a more general sense Pliny says: *nec quærenda in ulla parte naturæ ratio, sed voluntas.*<sup>110</sup> Nor do we find Greek less fertile in instances. Aristotle, when explaining gravity, says: μικρὸν μὲν μόριον τῆς γῆς, ἐὰν μετεωρισθὲν ἀφεθῇ, φέρεται, καὶ μένειν οὐκ ἐθέλει (*parva quædam terræ pars, si elevata dimittitur, neque vult manere*).<sup>111</sup> And: Δεῖ δὲ ἕκαστον λέγειν τοιοῦτον εἶναι, ὃ φύσει βούλεται εἶναι, καὶ ὃ ὑπάρχει, ἀλλὰ μὴ ὃ βίᾳ καὶ παρὰ φύσιν (*unumquodque autem tale dicere oportet, quale naturâ suâ esse vult, et quod est; sed non id quod violentiâ et præter naturam est*).<sup>112</sup> Of great and more than merely linguistic importance is what Aristotle says in his "Ethica magna,"<sup>113</sup> where not only animals, but inanimate beings (fire striving upwards and earth downwards) are explicitly in question, and he asserts that they may be obliged to do something contrary to their nature or their will: παρὰ φύσιν τι, ἢ παρ' ἃ βούλονται ποιεῖν, — and therefore rightly places παρ' ἃ βούλονται as a paraphrase of παρὰ φύσιν. — Anacreon, in his 29th Ode, εἰς Βάθυλλον, in ordering the

portrait of his lady-love, says of her hair: “Ἐλικας δ’ ἐλευθέρους μοι πλοκάμων, ἄτακτα συνθείς, ἄφες, ὥς θέλωσι, κεῖσθαι (*capillorum cirros incomposite jungens, sine utut volunt jacere*).<sup>114</sup> In German, Bürger says: “*hinab will der Bach, nicht hinan*” (the brook *will* go downwards not upwards). In daily life we constantly hear: “the water boils, it *will* run over,” — “the glass *will* break,” — “the ladder *will* not stand,” — “*le feu ne veut pas brûler*.” — “*la corde, une fois tordue, veut toujours se retordre*.” — In English, the verb ‘*to will*’ is even the auxiliary of the future of all the other verbs, thus expressing the notion, that there lies a will at the bottom of every action. In English moreover, the endeavours of all inanimate and unconscious things, are expressly designated by the word *want*, which denotes every sort of human desire or endeavour: “the water *wants* to get out,” — “the steam *wants* to find an issue.” — In Italian too we have “*vuol piovere*,” “*quest’ orologio non vuol andare*.” — The conception of willing is besides so deeply rooted in this last language, that it seems to indicate everything that is requisite or necessary: “*ci vuol un contrappeso*,” “*ci vuol pazienza*.”

A very striking instance of this is to be found even in Chinese — a language which differs fundamentally from all those belonging to the Sanskrit family — it is in the commentary to the Y-King,<sup>115</sup> accurately rendered by Peter Regis as follows: “*Yang, seu materia cœlestis, vult rursus ingredi, vel (ut verbis doctoris Tsching-tse utar) vult rursus esse in superiore loco; scilicet illius naturæ ratio ita fert, seu innata lex*.”

The following passage from Liebig<sup>116</sup> has decidedly much more than a linguistic signification, for it expresses an intimate feeling and comprehension of the way in which a chemical process takes place. “Aldehyd arises, which with the same *avidity* as sulphurous acid, combines directly with oxygen to form acetic acid.” — And again:<sup>117</sup> “Aldehyd, which absorbs oxygen from the air with *great avidity*.” As Liebig uses this expression twice in speaking of the same phenomenon, it can hardly be by chance, but rather because it was the only adequate expression for the thing.<sup>118</sup> That most immediate stamp of our thoughts, language, shows us therefore, that every inward impulse must necessarily be conceived as volition; but it by no means ascribes knowledge to things as well. The agreement on this point between all languages, perhaps without a single exception, proves that here we have to do with no mere figure of speech,

but that the verbal expression is determined by a deeply-rooted feeling of the inner nature of things.



## ANIMAL MAGNETISM AND MAGIC.

In 1818, when my chief work first appeared, Animal Magnetism had only begun to struggle into existence. But, as to its explanation — although, to be sure, some light had been thrown upon the passive side of it, that is, upon what goes on within the patient, by the contrast between the cerebral and the ganglionic systems, to which Reil had drawn attention, having been taken for the principle of explanation — the active side, the agent proper by means of which the magnetiser evokes all these phenomena, was still completely shrouded in darkness. People groped about among all sorts of material principles of explanation, such as Mesmer's all-permeating ether, or the exhalations from the magnetiser's skin, assumed by Stieglitz to be the cause, &c. &c. At the utmost a nerve-spirit had been recognised and, after all, this was but a word for an unknown thing. The truth had scarcely begun to dawn upon a few persons, whom practice had more deeply initiated. But I was still far from hoping for any direct corroboration of my doctrine from Magnetism.

*Dies diem docet* however, and the great teacher, experience, has since brought to light an important fact concerning this deep-reaching agent which, proceeding from the magnetiser, produces effects apparently so contrary to the regular course of Nature that the long lasting doubt as to their existence, the stiff-necked incredulity, the condemnation of a Committee of which Lavoisier and Franklin were members, in short, the whole opposition that Magnetism encountered both in its first and second period (with the sole exception of the coarse, unintelligent condemnation without inquiry, which till very lately, prevailed in England) is quite excusable. The fact I allude to is, that this agent is nothing but the *will* of the magnetiser. To-day not a doubt exists on this point, I believe, among those who combine practice with insight; therefore I think it superfluous to quote the numerous assertions of magnetisers in corroboration of it.<sup>119</sup> Time has thus not only verified Puysegur's watchword and that of the older French magnetisers: "*Veillez et croyez!*" i.e. "Will with belief!" but this very watchword has even developed into a correct insight of the process itself.<sup>120</sup> From Kieser's "Tellurismus," still probably the most thorough and detailed text book of Animal Magnetism we have, it clearly results, that no act of Magnetism can take effect without the will; on the other hand the

bare will, without any outward action, is able to produce every magnetic effect. Manipulation seems to be only a means of fixing, and so to say incorporating, the will and its direction. In this sense Kieser says: “Inasmuch as the human hand — being the organ by which Man’s outward activity is most visibly expressed — is the efficient organ in magnetising, manipulation arises.” De Lausanne, a French magnetiser, pronounces himself with still greater precision on this point in the Fourth Book of his “Annales du Magnétisme Animal” (1814-1816), where he says: “*L’action du magnétisme dépend de la seule volonté, il est vrai; mais l’homme ayant une forme extérieure et sensible, tout ce qui est à son usage, tout ce qui doit agir sur lui, doit nécessairement en avoir une, et pour que la volonté agisse, il faut qu’elle emploie un mode d’action.*” As, according to my doctrine, the organism is but the mere phenomenon, the visibility, the objectivity of the will; nay, as it is properly speaking only the will itself, viewed as representation in the brain: so also does the outward act of manipulation coincide with the inward act of the will. But where magnetic effects are produced without manipulation, they take place as it were artificially, in a roundabout way, the imagination taking the place of the outer act and even occasionally that of personal presence: wherefore it is much more difficult and succeeds less frequently. Kieser accordingly alleges that the word “Sleep!” or “You must!” said aloud, has a more powerful effect upon a somnambulist than the mere inward willing of the magnetiser. — On the other hand manipulation, and in general outward action, is really an infallible means of fixing the magnetiser’s will and promoting its activity; precisely because outward acts are quite impossible apart from all will, the body and its organs being nothing but the visibility of the will itself. This explains the fact, that magnetisers at times magnetise without any conscious effort of volition and almost without thinking, and yet produce the desired effect. On the whole, it is not the consciousness of volition, reflection upon it, that acts magnetically, but pure volition itself, as detached as possible from all representation. In Kieser’s directions to magnetisers therefore,<sup>121</sup> we find all thinking and reflecting upon their respective doing and suffering, all conversation between them, forbidden both to physician and patient; also all outward impressions which arouse representations, the presence of strangers, and even daylight. He advises that everything should proceed as unconsciously as possible, as is likewise recommended in charm-cures. The true reason of all this is, that here the

will operates in its primariness, as thing in itself; and this demands the exclusion, as far as possible, of representation, as a different sphere, as secondary to the will. Facts to prove that the real agent in magnetising is the will and each outward act only its vehicle, may be found in all the more recent and more trustworthy writings upon Magnetism, and it would be needless prolixity to repeat them here. Nevertheless I will quote *one* case, not as being especially striking, but as furnished by a remarkable person and having a peculiar interest as his testimony. Jean Paul says in a letter:<sup>122</sup> “Twice in a large company I have made Frau von K. nearly go to sleep by merely looking at her with a *firm will*, no one else knowing anything about it, and before that, I had brought on palpitation of the heart and pallor to such a degree that Dr. S. had to be summoned to her assistance.”<sup>123</sup> Nowadays too, merely laying and keeping hold of the patient’s hands while fixing the eye steadily upon him, is frequently substituted with complete success for the customary manipulation; precisely because even this outward act is suited to fix the will in a determined direction. But this immediate power which the will can exercise over other persons, is brought to light best of all by the admirable experiments made, even in public, by M. Dupotet and his pupils in Paris, in which a stranger is guided and determined at pleasure by the magnetiser’s mere will, aided by a few gestures, and is even forced into the most extraordinary contortions. An apparently quite honestly written pamphlet, entitled “First glance into the wonder-world of Magnetism,” by Karl Scholl (1853), contains a brief account of this.

In the “Communications concerning the somnambulist, Auguste K. in Dresden” (1843), we find the truth in question confirmed in another way by what the somnambulist herself says, p. 53: “I was half asleep and my brother wished to play a piece he knew. As I did not like it, I requested him not to play it; nevertheless he tried to do so and then, by means of my firm will that he should not, I succeeded in making him unable to remember the piece, in spite of all his endeavours.” — The thing is however brought to a climax when this immediate power of the will is extended even to inanimate bodies. However incredible this may appear, we have nevertheless two accounts of it coming from entirely different quarters. In the book just mentioned,<sup>124</sup> it is related and testified by witnesses, that Auguste K. caused the needle of the compass to deviate at one time 7° and at another 4°, this experiment moreover being repeated four times. She did this moreover

without any use of her hands, through her mere will, by looking steadily at it. — The Parisian somnambulist, Prudence Bernard, again in a public *séance* in London, at which Mr. Brewster, the physicist's son and two other gentlemen from among the spectators acted as jurors, made the compass needle deviate and follow her movements by simply turning her head round.<sup>125</sup>

Now, if we thus see the will — stated by me to be the thing in itself, the only real thing in all existence, the kernel of Nature — accomplish through the human individual, in Animal Magnetism and even beyond it, things which cannot be explained according to the causal nexus, *i.e.* in the regular course of Nature; if we find it in a sense even annulling Nature's laws and actually performing *actio in distans*, consequently manifesting a supernatural, that is, metaphysical, mastery over Nature — what corroboration better founded on fact could I desire for my doctrine? Was not even Count Szapary, a magnetiser who certainly did not know my philosophy, led by the results of his own experience, after writing the title of his book: "A word about Animal Magnetism, soul-bodies and vital essence,"<sup>126</sup> to add the following remarkable explanatory words: "or physical proofs that the current of Animal Magnetism is the element, and *the will the principle of all spiritual and corporeal life?*"<sup>127</sup> — According to this, Animal Magnetism presents itself directly as *practical Metaphysic*, which was the term used by Bacon of Verulam<sup>128</sup> to define Magic in his classification of the sciences: it is empirical or experimental Metaphysic. — Further, because the will manifests itself in Animal Magnetism downright as the thing in itself, we see the *principium individuationis* (Space and Time), which belongs to mere phenomenon, at once annulled: its limits which separate individuals from one another, are destroyed; Space no longer separates magnetiser and somnambulist; community of thoughts and of motions of the will appears; the state of *clairvoyance* overleaps the relations belonging to mere phenomenon and conditioned by Time and Space, such as proximity and distance, the present and the future.

In consequence of these facts, notwithstanding many reasons and prejudices to the contrary, the opinion has gradually gained ground, nay almost raised itself to certainty, that Animal Magnetism and its phenomena are identical with part of the Magic of former times, of that ill-famed occult art, of whose reality not only the Christian ages by which it was so cruelly persecuted, but all, not excepting even savage, nations on the whole of the

earth, have been equally convinced throughout all ages. The Twelve Tables of the Romans,<sup>129</sup> the Books of Moses, and even Plato's Eleventh Book on Laws, already made its practice punishable by death, and Apuleius' beautiful speech<sup>130</sup> before the court of justice, when defending himself against the charge of practising magic by which his life was menaced, proves how seriously this matter was taken even in the most enlightened Roman period, under the Antonines; since he merely tries to clear himself personally from the charge in question, but by no means contests the possibility of witchcraft and even enters into a host of absurd details such as are wont to figure in all the mediæval trials for witchcraft. The eighteenth century makes an exception as regards this belief in Magic, and this is mainly because Balthasar Becker, Thomasius and some others, with the good intention of putting an end once for all to the cruel trials for witchcraft, declared all magic to be impossible. Favoured by the philosophy of the age, this opinion soon gained the upper hand, although only among the learned and educated classes. The common people have never ceased to believe in witchcraft, even in England; though here the educated classes contrive to unite a degrading religious bigotry with the firm incredulity of a Saint Thomas (or of a Thomasius) as to all facts transcending the laws of impact and counter-impact, acids and alkalis, and refuse to lend an ear to their great countryman, when he tells them that 'there are more things in heaven and earth than are dreamt of in their philosophy.' One branch of Magic is still notoriously preserved and practised among the lower orders, being tolerated on account of its beneficent purpose. This is *curing by charms* (*sympathetische Kuren*, as they are called in German), the reality of which can hardly be doubted. Charming away warts, is one of the commonest forms of this practice, and of this Bacon of Verulam, cautious and empirical though he was, attests the efficacy from personal experience.<sup>131</sup> The charming away of *erisypelas* in the face by a spell, is another instance, and so often succeeds, that it is easy to convince oneself of its existence. Fever too is often successfully combated by spells, &c. &c.<sup>132</sup> — That, in all this, the real agents are not the meaningless words and ceremonies, but that it is the will of the operator which acts, as in Animal Magnetism, needs no further explanation after what has been said above. For such as are still unacquainted with charm-cures, instances may be found in Kieser.<sup>133</sup> — These two facts therefore, Animal Magnetism and Charm-curing, bear empirical evidence to the possibility of magical, as opposed to



physical, influence, which possibility had been so peremptorily rejected by the past century; since it refused to recognise as possible any other than physical influences brought about in the way of the intelligible nexus of causality.

It is a fortunate circumstance, that the rectification of this view in our time should have come from medical science; because it ensures us at the same time against the danger of the pendulum of opinion receiving too strong an impulse in the contrary direction, and thus carrying us back to the superstition of ruder ages. Besides, as I have said, Animal Magnetism and Charm-curing only save the reality of a part of Magic, which included a good deal more, a considerable portion of which must, for the present at least, remain under the old sentence of condemnation or be left in uncertainty; whereas another portion will at any rate have to be conceived as possible, through its analogy to Animal Magnetism. For Animal Magnetism and Charm-cures are but salutary influences exercised for curative purposes, like those recorded in the “History of Magic” as practised by the so-called (Spanish) *Saludadores*,<sup>134</sup> who nevertheless were also condemned by the Church; whereas Magic was far oftener practised with an evil intent. Nevertheless, to judge by analogy, it is more than probable, that the same inherent force which, by acting directly upon another individuality, can exercise a salutary influence, will be at least as powerful to exercise a prejudicial and pernicious one. If therefore there was reality in any part of ancient Magic beyond what may be referred to Animal Magnetism and curing by charms, it must assuredly have been in that which is called *maleficium* and *fascinatio*, the very thing that gave rise to most of the trials for witchcraft. In Most’s book, too, already mentioned,<sup>135</sup> a few facts are related which must undoubtedly be ascribed to *maleficium*; in Kieser,<sup>136</sup> also we find instances of diseases which had been transmitted, especially to dogs, who died of them. In Plutarch<sup>137</sup> we find that *fascinatio* was already known to Democritus, who tried to explain it as a fact. Now admitting these stories to be true, they give us the key to the crime of witchcraft, the zealous persecution of which would therefore not have been quite without reason. For even if in most cases it may have been founded upon error and abuse, we are still not authorized to look upon our forefathers as having been so utterly benighted, as to persecute with the utmost vigour and cruelty for so many ages an absolutely impossible crime. From this point of view moreover, we can also understand that the common

people should still even to the present day persist in attributing certain cases of illness to a *maleficium*, and are not to be dissuaded from this conviction. Now if we are thus induced by the progress of the age to modify the extreme view adopted by the last century concerning the absolute nullity of this ill-famed art — at any rate with respect to some part of it — still nowhere is caution more necessary than here, in order to fish out from the chaos of fraud, falsehood and absurdity contained in the writings of Agrippa von Nettesheim, Wierus, Bodinus, Delrio, Bindsfeldt, &c. &c., the few isolated truths that may lie in them. For, frequent though they may be throughout the world, nowhere have lies and deceit freer play than where Nature's laws are avowedly set aside, nay declared invalid. Here therefore we find the wildest fictions, the strangest freaks of the imagination worked up into an edifice, lofty as the skies, on the narrow foundation of the slight particle of truth there may have been in Magic, and in consequence of this, the most sanguinary atrocities perpetrated age after age. In contemplating such things, the psychological reflection on the unlimited capability of the human intellect for accepting the most incredible absurdities and the readiness of the human heart to set its seal to them by cruelty, prevails over every other.

Yet the modification which has taken place of late in the views of German *savants* respecting magic, is not due exclusively to Animal Magnetism. The deep foundations of it had already been laid by the change in philosophy wrought by Kant, which makes German culture differ fundamentally from that of the rest of Europe, with respect to philosophy as well as to other branches of knowledge. — For a man to be able to smile beforehand at all occult sympathies, let alone magical influences, he must find the world very, nay completely, intelligible. But this is only possible if he looks at it with the utterly superficial glance which puts away from it all suspicion that we human beings are immersed in a sea of riddles and mysteries and have no exhaustive knowledge or understanding either of things or of ourselves in any direct way. Nearly all great men have been of the opposite frame of mind and therefore, whatever age or nation they belonged to, have always betrayed a slight tinge of superstition. If our natural mode of knowing were one that handed over to us things in themselves immediately and consequently gave us the absolutely true relations and connections of things, we might then, no doubt, be justified in rejecting *à priori*, therefore unconditionally, all prescience of future events,

all apparitions of absent, of dying, let alone of deceased persons, and all magical influence. But if all that we know is, as Kant teaches, mere phenomenon, the forms and laws of which do not extend to things in themselves, it must be obviously premature to reject all foreknowledge, all apparitions and all magic; since that rejection is based upon laws, whose *à priori* character precisely restricts them to phenomena; whereas things in themselves, to which even our own inner self must belong, remain untouched by them. But it is quite possible for these very things in themselves to have relations with us from which the above-mentioned occurrences may have arisen, concerning which accordingly we have to wait for the decision *à posteriori*, and must not forestall it. That the English and French should persist in denying *à priori* all such occurrences, comes at the bottom from the influence of Locke's philosophy, under which these nations still stand as to all essential points, and by which we are taught that, after merely subtracting sensation, we know things in themselves. According to this view therefore, the laws of the material world are held to be ultimate, and no other influence than *influxus physicus* is admitted. Consequently these nations believe, it is true, in a physical, but not in a metaphysical, science, and therefore reject all other than so-called "Natural Magic:" a term which contains the same *contradictio in adjecto* as "Supernatural Physics," but is nevertheless constantly used quite seriously, while the latter was used but once, and then in joke, by Lichtenberg. On the other hand, the common people, with their universal readiness to give credit to supernatural influences, express by it in their own way the conviction, that all things which we perceive and comprehend are mere phenomena, not things in themselves; although, with them, conviction is only felt. I quote the following passage from Kant's "Grundlegung zur Metaphysik der Sitten," as a proof that this is not saying too much: "There is an observation requiring no great subtlety of reflection, which we may on the contrary suppose the most ordinary understanding capable of making, albeit in its own way and by an obscure distinction of the faculty of judgment, which it calls feeling. It is this: that all our involuntary representations (such as those of the senses) give us no further knowledge of objects than as they affect us, whereby we are left in ignorance as to what those objects may be in themselves; that, as far as this sort of representation is concerned therefore, we are still only able by this means to attain knowledge of phenomena, but never of *things in themselves*, even by dint of the utmost



clearness and the most strenuous attention the understanding is able to give to this point. When once this distinction is made, however, it stands to reason, that the existence of something else behind these phenomena, something which is not phenomenon, *i.e.* the thing in itself, has still to be admitted and assumed.”<sup>138</sup>

When we read D. Tiedemann’s “History of Magic,”<sup>139</sup> we are astonished at the persistency with which mankind have clung to the thought of Magic in all places and at all times, notwithstanding frequent failure; and we come to the conclusion, that this thought must, to say the least, be deeply rooted in human nature, if not in things in general, and cannot be a mere arbitrary creation of the fancy. Although Magic is differently defined by the various authors who have treated of it, the fundamental thought which predominates in all its definitions is nevertheless unmistakeable. For the opinion, that there must be another quite different way of producing changes in the world besides the regular one through the causal nexus between bodies, and one moreover which is not founded at all upon that nexus, has found favour in all ages and countries. Therefore also the means belonging to this second way appeared absurd, when they were viewed in the same light as the first; since the cause applied was obviously not suited to the effect intended and a causal nexus between them was impossible. But here it was assumed, that apart from the outer connection between the phenomena of this world on which the *nexus physicus* is founded, there must exist another besides, passing through the very essence in itself of all things: a subterranean connection as it were, by means of which immediate action was possible from *one* point of the phenomenon on to every other point, through a *nexus metaphysicus*;

*that* accordingly, it must be possible to act upon things from inside, instead of from outside, as is usual;

*that* it must be possible for phenomenon to act upon phenomenon by means of that being in itself, which is one and the same in all phenomena;

*that*, just as we act causally as *natura naturata*, we might probably be able to act also as *natura naturans*, and momentarily to enable the microcosm to play the part of the macrocosm;

*that*, however firm the partition walls of individuation and separation might be, they might nevertheless occasionally permit a communication to take place as it were behind the scenes, or like a secret game under the table; and

*that*, just as a neutralisation of individual isolation takes place in somnambulist *clairvoyance*, so likewise might a neutralisation of the will in the individual be possible. Such a thought as this cannot have arisen empirically, nor can it have been confirmed through experience that has preserved it throughout all ages and in all countries: for in the majority of cases experience must result downright unfavourably to it. I opine therefore, that the origin of this thought, which has universally held its ground with the whole of mankind and, in spite of so much conflicting experience, in defiance of common sense, has never been eradicated, must be sought at great depth: namely in the inward feeling of the omnipotence of the will in itself — of that will, which constitutes at once the inner essence of Man and of the whole of Nature — and in the assumption connected with it that, somehow or other, this omnipotence might possibly for once make itself felt, even when proceeding from the individual. People were unable to investigate and distinguish the difference between the capabilities of the will as thing in itself and the same will in its individual manifestation; but they assumed without further ado, that under certain circumstances, the will might be enabled to break through the barriers of individuation. For the above-mentioned feeling rebelled obstinately against the knowledge forced upon it by experience, that

“Der Gott der mir im Busen wohnt,  
Kann tief mein Innerstes erregen,  
Der über allen meinen Kräften thront,  
Er kann nach Aussen nichts bewegen.”

According to the fundamental thought just expounded, we find that the physical medium used in all attempts at magic, never was regarded in any other light than in that of a vehicle for a thing metaphysical; otherwise it could evidently stand in no relation whatever to the effect contemplated. These media consisted in cabalistic words, symbolical actions, traced figures, wax images, &c. &c. We see too that, according to the original feeling, what this vehicle conveyed, was in the last resort always an act of *volition* that had been connected with it. The very natural inducement to do this, was the observation, that every moment men became aware of a completely unaccountable, that is, evidently metaphysical, agency of the will, in the movements of their own bodies. Might not this agency, they thought, be extended to other bodies also? To find out a way to annul the isolation in which the will finds itself in each individual, and to extend the

immediate sphere of the will's action beyond the organism of the person willing, was the aim of Magic.

A great deal was nevertheless still wanting ere this fundamental thought, from which Magic seems properly to have sprung, could pass over at once into distinct consciousness and be recognised *in abstracto*, and ere Magic could at once understand itself. Only a few thoughtful and learned writers of former ages — as I mean soon to prove by quotations — express the distinct thought, that it is in *the will* itself that the magic power lies, and that the strange signs and acts together with the senseless words that accompanied them, which passed for the means of exorcising and the connecting link with demons, are in fact merely vehicles and means for fixing *the will*, by which the act of volition, which is to act magically, ceases to be mere wish and becomes deed, or, to use the language of Paracelsus, “receives a *corpus*,” and the individual will in a sense distinctly proclaims that it is now acting as general will, as will in itself. For in every act of Magic — charm-cure or whatever else it may be — the outward action (the connecting link) is exactly what the passes are in magnetising: *i.e.* not what is really essential, but the mere vehicle, that by which the will, the only real agent, is directed and fixed in the material world and enters into reality. As a rule therefore, it is indispensable. — From the rest of the writers of those times we gather that, in conformity with that fundamental thought of Magic, their only aim was to obtain absolute, arbitrary power over Nature. But they were unable to elevate themselves to the thought that this power must be a *direct* one; they conceived it, on the contrary, absolutely as an *indirect* one. For all religions in all countries had placed Nature under the dominion of gods and of demons. Now, it was the magician's endeavour to subject these gods and demons to his will, to induce, nay, to force them to serve him; and he attributed all that he succeeded in achieving to their agency, just as Mesmer attributed the success of his Magnetism to the magnetic rods he held in his hands, instead of to his will which was the real agent. It was in this sense that all polytheistic nations took the matter, and even Plotinus,<sup>140</sup> but more especially Iamblichus, understood Magic: that is, as *Theurgy*, an expression which Porphyry was the first to use. That divine aristocracy, Pantheism, was favourable to this interpretation, since it distributed the dominion over the different forces of Nature among as many gods and demons — mostly mere personifications of natural forces — and the magician, by persuasion or by

force, subjected now one, now the other of these divinities to his power and made them do his bidding. But in a Divine Monarchy, where all Nature obeys a single ruler, the thought of contracting a private alliance with the Almighty, let alone of exercising sovereignty over him, would have been too audacious. Therefore where Judaism, Christianity or Islam prevailed, the omnipotence of the one God stood in the way of this interpretation of Magic: an omnipotence which the magician could not venture to attack. He had no alternative therefore, but to take refuge with the Devil, and with this rebellious spirit — perhaps even direct descendant of Ahriman — to whom some power over Nature was still attributed, he now entered into a compact, by which he ensured to himself his assistance. This was “necromancy” (the ‘black art’). Its antithesis, ‘white Magic,’ was opposed to it by the circumstance that, in it, the magician did not make friends with the Devil, but rather solicited the permission, not to say co-operation, of the Almighty himself, to intercede with the angels; oftener still, he invoked devils by pronouncing the rarer Hebrew names and titles of the One God, such as Adon-Ai, &c. &c., and compelled them to obey him, without promising them anything in return for their services, in a hell-compulsion<sup>141</sup> (*Höllenzwang*). — But all these mere interpretations and outward trappings of the thing were received so entirely as its essence and as objective processes, that writers like Bodinus, Delrio, Bindsfeldt, &c., whose knowledge of magic was second-hand and not derived from personal experience, all assert the essential characteristic of Magic to be, that it does not act either through forces of Nature or in a natural way, but through the assistance of the Devil. This view was, and long remained, current everywhere, locally modified according to the religions which prevailed in different countries. The laws against sorcery and the trials for witchcraft were based upon it; likewise, wherever the possibility of Magic was contested, the attacks were generally directed against this opinion. An objective view, such as this, was an inevitable consequence of the decided Realism which prevailed throughout ancient and mediæval Europe and which Descartes was the first to disturb. Till then, Man had not learnt to direct the light of speculative thought towards the mysterious depths of his own inner self, but, on the contrary, had sought everything outside himself. Above all the thought of making the will he found within him rule over Nature, was so bold, that people would have been alarmed by it: therefore it was made to rule over fictitious beings, supposed by the prevailing

superstition to have command over Nature, in order through them to obtain at least indirect mastery over Nature. Every sort of god or demon moreover, is always a hypostasis, by which believers of all sects and colours bring to their own comprehension the *Metaphysical*, that which lies *behind* Nature, that which gives her existence and consistence and consequently rules over her. Thus, when it is said, that Magic acts by the help of demons, the meaning which lies at the bottom of this thought still is, that it is an agency which is not physically, but *metaphysically* exercised: that it is not a natural, but a supernatural, agency. Now if, in the small amount of fact which speaks in favour of the reality of Magic: that is, in Animal Magnetism and charm-cures, we still do not recognise anything but an immediate action of the will which here manifests its direct power outside, instead of inside, the individual; if moreover, as I am about to show and to substantiate by decisive, unequivocal citations, those who are more deeply initiated into ancient Magic, derive all its effects from the magician's will alone: this is surely strong empirical evidence in support of my doctrine, that the Metaphysical in general, that which alone exists apart from representation, the *thing in itself* of the universe — is nothing but what is known to us within ourselves as *the will*.

Now, if the direct power which may occasionally be exercised over Nature by the will, was conceived by those magicians as a merely indirect one, acquired by the help of demons, this still could not prevent its efficiency wherever and whenever it may have taken place. For, precisely because, in things of this kind, the will acts in itself, in its primariness, therefore apart from representation, its efficiency cannot be frustrated by erroneous conceptions of the intellect; on the contrary, the distance here is a wide one between theory and practice: the errors of the former do not stand in the way of the latter, nor does a correct theory qualify for practice. Mesmer, in the beginning, attributed his agency to the magnetic rods he held in his hands and later on explained the wonders of Animal Magnetism by a materialistic theory of a subtle, all-permeating fluid; nevertheless he produced wonderfully powerful effects. I once myself knew the proprietor of an estate, whose peasants were wont by tradition to have their feverish attacks dispelled by a spell of their master's. Now, although he believed he had convinced himself of the impossibility of all such things, yet he continued good-naturedly to comply with their wish as usual, and indeed often succeeded in relieving them. This success he ascribed to his peasants'

firm belief, forgetting that a similar faith ought also to bring success to the medical treatment which is so often applied with complete inefficacy to believing patients.

Now, if Theurgy and Demonomagic, as described above, were but the mere interpretation and outward trappings of the thing, the mere husk, at which the majority were content to stop short: there were nevertheless some, who went below the surface and quite recognised that the agent in influences supposed to proceed from magic, was absolutely nothing but *the will*. We must not however look for such deeper observers as these among the discountenancers and antagonists of Magic, and the majority of the writers on this subject belong precisely to these: they derived their knowledge exclusively from Courts of Justice and from the examination of witnesses, so that they merely describe the outside of the matter; and, if at any time they chanced, through confessions, to gain an insight into the inner processes they took good care not to betray that knowledge, lest, by doing so, they should contribute to diffuse the terrible vice of sorcery. To this class belong Bodinus, Delrio, Bindsfeldt, and others. For information as to the real nature of the thing, we must on the contrary go to philosophers and investigators of Nature, who wrote in those times of prevailing superstition. Now, from what they say, it clearly follows, that the real agent in Magic, just as in Animal Magnetism, is nothing but *the will*. Here I must quote some passages in support of this assertion.<sup>142</sup> Theophrastus Paracelsus especially disclosed perhaps more concerning the inner nature of Magic than any other writer, and does not even hesitate to give a minute description of the processes used in it.<sup>143</sup> — He says:<sup>144</sup> “To be observed concerning wax images: if I bear malice in my will against anyone, that malice must be carried out by some medium or *corpus*. Thus it is possible for my spirit to stab or wound another person without help from my body in using a sword, merely by my *fervent desire*. Therefore it is also possible for me to convey my opponent’s spirit into the image by my *will* and then to deform or paralyze it at pleasure. — You must know, that the influence of the *will* is a great point in medicine. For if a man hate another and begrudge him anything good, it is possible that if he curse him, that curse may take effect. — This occurs also with animals and more easily than with men; for the spirit of man has far greater power of resistance than that of animals.”

And p. 375: “It follows from this, that one image has magic power over another, not by virtue of the characters or anything of that kind impressed

on the virgin wax; but the imagination overcomes its own constellation, so as to become a means for fulfilling the will of its heaven, *i.e.* of its man.”

p. 334: “All the imagining of man comes from his heart. The heart is the sun of the microcosm. And all the imagining of man passes from the small sun of the microcosm into the sun of the great Universe, into the heart of the macrocosm. Thus the *imaginatio* of the microcosm is a seed which becomes material,” &c.

p. 364: “It suffices for you to know what rigorous imagination does, which is the beginning of all magical works.”

p. 789: “Even my thought therefore is a looking at a mark. Now I must not turn my eye with my hands in this or that direction; but my imagination turns it as I wish. And this is also to be understood of walking: I desire, I propose to myself, therefore my body moves, and the firmer my thoughts, the more sure it is that I shall run. Thus *imaginatio* alone is an impulse for my running.”

p. 837: “*Imaginatio* used against me may be employed with such rigour, that I may be killed by the *imaginatio* of another person.”

Vol. ii. p. 274: “Imagination comes from longing and desire: envy, hatred, proceed from longing, for they do not arise unless you long for them. As soon as you wish, the act of the imagination follows. This longing must be quick, ardent, lively, as that of a pregnant woman, &c. &c. — A general curse is commonly verified. Why? It comes from the heart, and the seed lies and is born in that coming from the heart. Thus parents’ curses also come from the heart. The curse of the poor is likewise *imaginatio*. The prisoner’s curse, also mere *imaginatio*, comes from the heart.... Thus too, when one man wishes to stab or paralyze, &c., another by means of his *imaginatio*, he must first attract the thing and instrument to himself and then he can impress it (with his wish): for whatever enters into it, may also go out of it again by the medium of thought as well as by that of the hands.... In such imagining, women outdo men ... for they are more ardent in revenge.”

p. 298: “*Magica* is a great occult wisdom; just as Reason is a great, open folly.... No armour avails against sorcery, for it wounds the inner man, the vital spirit.... Some magicians make an image in the shape of a man they intend [to harm], knock a nail into the sole of its foot, and the man is invisibly struck with lameness, until the nail is removed.”



p. 307: “We ought to know, that we may convey the spirit of any man into an image, solely by faith and by our strong imagination. — No incantation is needed, and the ceremonies, drawing of circles, fumigations, seals, &c. &c. are mere humbug to mislead. — *Homunculi* and images are made, &c. &c. ... by which all the operations, powers and will of man are carried out.... The human heart is indeed so great a thing, that no one can express it: as God is eternal and imperishable, so also is the heart of man. If we men thoroughly recognised our heart, nothing would be impossible for us on earth.... Perfect imagination, coming from the stars (*astris*) arises from the heart.”

p. 513: “*Imaginatio* is confirmed and rendered perfect by the belief that it really takes place: for every doubt injures the effect. Faith must confirm the imagination, for faith decides the will.... But just the fact that man does not always perfectly imagine, perfectly *believe*, causes acts to be called uncertain, which nevertheless may certainly and quite well exist.” A passage from Campanella’s book, “*De sensu rerum et magia*,” may serve to elucidate this last sentence. *Efficiunt alii ne homo possi futuere, si tantum credat: non enim potest facere quod non credit posse facere* (l. iv. c. 18).

Agrippa von Nettesheim<sup>145</sup> speaks in the same sense. “*Non minus subjicitur corpus alieno animo, quam alieno corpori;*” and:<sup>146</sup> “*Quidquid dictat animus fortissime odientis habet efficaciam nocendi et destruendi; similiter in ceteris, quæ affectat animus fortissimo desiderio. Omnia enim quæ tunc agit et dictat ex characteribus, figuris, verbis, gestibus et ejusmodi, omnia sunt adjuvantia appetitum animæ et acquirunt mirabiles quasdam virtutes, tum ab anima laborantis in illa hora, quando ipsum appetitus ejusmodi maxime invadit, tum ab influxa cœlesti animum tunc taliter movente.*”<sup>147</sup> — “*Inest hominum animis virtus quædam immutandi et ligandi res et homines ad id quod desiderat, et omnes res obediunt illi, quando fertur in magnum excessum alicujus passionis, vel virtutis, in tantum, ut superet eos, quos ligat. Radix ejusmodi ligationis ipsa est affectio animæ vehemens et exterminata.*”

And likewise Jul. Cæs. Vanninus, “*De admir. naturæ arcan.*” L. iv. dial. 5, § 435: “*Vehementem imaginationem, cui spiritus et sanguis obediunt, rem mente conceptam realiter efficere, non solum intra, sed et extra.*”<sup>148</sup>

Just so Joh. Bapt. Van Helmont, who takes great pains to explain away as much as possible of the Devil’s influence, in order to attribute it to the will.



I quote a few passages from the voluminous collection of his works, *Ortus Medicinæ*:

*Recepta injecta.* § 12. *Quum hostis naturæ (diabolus) ipsam applicationem complere ex se nequeat, suscitatur ideam fortis desiderii et odii in saga, ut, mutuatis istis mentalibus et liberis mediis, transferat suum velle per quod quodque afficere intendit.*<sup>149</sup> *Quorsum imprimis etiam execrationes, cum idea desiderii et terroris, odiosissimis suis scrofis præscribit.* — § 13. *Quippe desiderium istud, ut est passio imaginantis, ita quoque creat ideam, non quidem inanem, sed executivam atque incantamenti motivam.* — § 19. *prout jam demonstravi, quod vis incantamenti potissima pendeat ab idea naturali sagæ.*

*De injectis materialibus.* § 15. *Saga, per ens naturale, imaginative format ideam liberam, naturalem et nocuam.... Sagæ operantur virtute naturali.... Homo etiam dimittit medium aliud executivum, emanativum et mandativum ad incantandum hominem; quod medium est Idea fortis desiderii. Est nempe desiderio inseparabile ferri circa optata.*

*De sympatheticis mediis.* § 2. *Ideæ scilicet desiderii, per modum influentiarum cælestium, jaciuntur in proprium objectum, utcunque localiter remotum. Diriguntur nempe a desiderio objectum sibi specificante.*

*De magnetica vulnerum curatione.* § 76. *Igitur in sanguine est quædam potestas exstatica, quæ, si quando ardenti desiderio excita fuerit, etiam ad absens aliquod objectum, exterioris hominis spiritu deducenda sit: ea autem potestas in exteriori homine latet, velut in potentia; nec ducitur ad actum, nisi excitetur, accensa imaginatione ferventi desiderio, vel arte aliqua pari.* — § 98. *Anima, prorsum spiritus, nequaquam posset spiritum vitalem (corporeum equidem), multo minus carnem et ossa movere aut concitare, nisi vis illi quæpiam naturalis, magica tamen et spiritualis, ex anima in spiritum et corpus descenderet. Cedo, quo pacto obediret spiritus corporeus jussui animæ, nisi jussus spiritum, et deinceps corpus movendo foret? At extemplo contra hanc magicam motricem objicies, istam esse intra concretum sibi, suumque hospitium naturale, idcirco hanc etsi magam vocitemus, tantum erit nominis detorsio et abusus, siquidem vera et superstitiosa magica non ex anima basin desumit; cum eadem hæc nil quidquam valeat, extra corpus suum movere, alterare aut ciere. Respondeo, vim et magicam illam naturalem animæ, quæ extra se agat, virtute imaginis Dei, latere jam obscuram in homine, velut obdormire (post*

*prævaricationem), excitationisque indigam: quæ eadem, utut somnolenta, ac velut ebria, alioqui sit in nobis quotidie: sufficit tamen ad obeunda munia in corpore suo: dormit itaque scientia et potestas magica, et solo nutu actrix in homine. — § 102. Satan itaque vim magicam hanc excitat (secus dormientem et scientia exterioris hominis impeditam) in suis mancipiis, et inservit eadem illis, ensis vice in manu potentis, id est sagæ. Nec aliud prorsus Satan ad homicidium affert, præter excitationem dictæ potestatis somnolentæ. — § 106. Saga in stabulo absente occidit equum: virtus quædam naturalis a spiritu sagæ, et non a Satana, derivatur, quæ opprimat vel strangulet spiritum vitalem equi. — § 139. Spiritus voco magnetismi patronos, non qui ex cælo demittuntur, multoque minus de infernalibus sermo est; sed de iis, qui fiunt in ipso homine, sicut ex silice ignis; ex voluntate hominis nempe aliquantillum spiritus vitalis influentis desumitur, et id ipsum assumit idealem entitatem, tanquam formam ad complementum. Qua nacta perfectione, spiritus mediam sortem inter corpora et non corpora assumit. Mittitur autem eo, quo voluntas ipsum dirigit; idealis igitur entitas ... nullis stringitur locorum, temporum aut dimensionum imperiis, ea nec dæmon est, nec ejus ullus effectus; sed spiritualis quædam est actio illius, nobis plane naturalis et vernacula. — § 168. Ingens mysterium propalare hactenus distuli, ostendere videlicet, ad manum in homine sitam esse energiam, qua, solo nutu et phantasia sua, queat agere extra se et imprimere virtutem aliquam, influentiam deinceps perseverantem, et agentem in objectum longissime absens.*

P. Pomponatius also says: *Sic contigit, tales esse homines, qui habeant ejusmodi vires in potentia, et per vim imaginativam et desiderativam cum actu operantur, tales virtus exit ad actum, et afficit sanguinem et spiritum, quæ per evaporationem petunt ad extra et producunt tales effectus.*<sup>150</sup>

Jane Leade, an English mystic visionary of Cromwell's time and pupil of Pordage, has given us some very curious disclosures of this kind. She is led to Magic in a very singular way. For, as the doctrine of their becoming one with the God of their religion is a fundamental characteristic of all Mystics, so is it with Jane Leade also. Now, with her however, the human will has its share in the omnipotence of the Divine will as a consequence of the two having become one, and accordingly acquires magic power. What other magicians therefore believe to be due to a compact with the Devil, she attributes to her becoming one with her God. Her Magic is therefore in the

highest sense ‘white Magic.’ Besides, this alters nothing as to the practice and results. She is reserved and mysterious, as people had to be in those times; still it is easy to see that the thing is not a mere theoretical corollary, but that it has sprung from knowledge and experience obtained in another way.

It is in her “Revelation of Revelations”<sup>151</sup> that we find the chief passage; but the following one, which is rather an abridgment than a literal quotation and is contained in Horst’s “Zauberbibliothek,”<sup>152</sup> comes from the same book: “Magic power enables its possessor to rule over and to renew the creation — *i.e.* the animal, vegetable and mineral kingdoms — so that, were *many* to co-operate in *one* magical power, Nature might be created anew as a paradise.... How is this magic power to be acquired? By renascence through faith: that is, by our *will* harmonizing with the divine *will*. For faith subjects the world to us, inasmuch as our own *will*, when it is in harmony with the divine *will*, results, as St. Paul tells us, in making everything submit to and obey us.” Thus far Horst. — p. 131 of the “Revelation, &c.,” Jane Leade shows that it was by the force of his will that Christ worked miracles, as, for instance, when he said to the leper: “I *will*; be thou clean.” Sometimes however he left it to the will of those who, he saw, believed in him, saying to them: “‘What *will* ye that I shall do unto you?’ in which cases no less was done for them than they had desired in their will that the Lord should do. These words of our Saviour’s are well deserving of notice, since the *highest Magia lies in the will*, so far as it is in union with the will of the Almighty: when these two wheels fit into each other, becoming in a sense *one*, they are, &c.” — Again, p. 132, she says: “For what could resist that which is united with the will of God? The power of such a will is so great, that it always achieves its end. It is no *naked will* deprived of its clothing, or power; on the contrary, it brings with it an irresistible omnipotence, which enables it to uproot, to plant, to put to death and to bring to life, to bind and to loose, to heal and to injure, which power will be collected and concentrated in its entirety in the royal, free-born will. Of this power we shall attain knowledge, when we shall have been made one with the Holy Ghost. or when we shall be united in one spirit and being.” — Again, p. 133: “We must quench or drown altogether the many multifarious wills which arise out of the mixed essence of souls, and they must lose themselves in the abysmal depth from which there will then arise and present itself the *virgin will*, which was never the slave of anything

belonging to degenerate man; on the contrary, it stands in connection with the Almighty Power, quite free and pure, and will infallibly produce fruits and results quite similar to those of the divine will ... wherefrom the burning oil of the Holy Ghost flows up in Magic, as it emits its fiery sparks.”

Jacob Böhme too<sup>153</sup> speaks of Magic precisely in the sense here described. Among other things he says: “Magic is the mother of the essence of all beings: for it creates itself and is understood in *desire*.... True Magic is not a being, but the *desiring spirit* of the being. — In fine: Magic is action in the *will's spirit*.”

In corroboration, or at any rate in explanation, of the above view of the will as the real agent in magic, a curious and interesting anecdote, related by Campanella, from Avicenna, may here find its place.<sup>154</sup> “*Mulieres quædam condixerunt, ut irent animi gratia in viridarium. Una earum non ivit. Ceteræ colludentes arangium acceperunt et perforabant eum stilis acutis, dicentes: ita perforamus mulierem talem, quæ nobiscum venire detrectavit, et, projecto arangio intra fontem, abierunt. Postmodum mulierem illam dolentem invenerunt, quod se transfigi quasi clavis acutis sentiret, ab ea hora, qua arangium ceteræ; perforarunt: et cruciata est valde donec arangii clavos extraxerunt imprecantes bona et salutem.*”

Krusenstern<sup>155</sup> gives a very curious and minute description of maleficent sorcery as practised, it is said successfully, by the priests of the savage tribes on the island of Nukahiva, the procedure in which is exactly similar to that of our cures by charms. — This fact is especially remarkable on account of the identity of the thing, notwithstanding the distance from all European tradition. With it ought to be compared Bende Bendsen's account of a headache he caused in another person by sorcery, through the medium of some of that person's hair which had been cut off. He concludes with the following words: “As far as I can learn, what is called witchcraft consists simply in preparing and applying noxious magnetic charms combined with a *maleficent influence of the will*: this is the detestable league with Satan.”<sup>156</sup>

The agreement of all these writers, not only among themselves, but with the convictions to which Animal Magnetism has led in latter years, and finally even with what might be concluded from my speculative doctrine on this point, is surely a most remarkable phenomenon. This much is at any rate certain, that at the bottom of all the experiments, successful or unsuccessful, which have ever been made in Magic, there lies an

anticipation of my Metaphysic. For in them is expressed the consciousness, that the causal law only connects phenomena, while the inner nature of things remains independent of it; and also, that if any *direct* influence on Nature be possible from within, it can only take place through the *will* itself. But even if Magic were to be ranked as practical Metaphysic, according to Bacon's classification, it is certain that no other theoretical Metaphysic would stand in the right relation to it but mine, by which the world is resolved into Will and Representation.

The zealous cruelty with which Magic has always been persecuted by the Church and to which the papal *malleus maleficarum* bears terrible evidence, seems not to have for its sole basis the criminal purposes often associated with the practice of Magic or the part assumed to be played by the Devil, but rather to proceed partly from a vague foreboding and fear lest Magic should trace back its original power to its true source; whereas the Church has assigned to it a place outside Nature.<sup>157</sup> The detestation shown by the cautious clergy of England towards Animal Magnetism<sup>158</sup> tends to confirm this supposition, and also the active zeal with which they oppose table-turning, which at any rate is harmless, yet which, for the same reason, has been violently assailed by the anathemas of the French, and even of the German, clergy.<sup>159</sup>

## SINOLOGY.

Nothing perhaps points more directly to a high degree of civilization in China than the almost incredible density of its population, now rated, according to Gützlaff, at 367 millions of inhabitants.<sup>160</sup> For whether we compare countries or ages, we find on the whole that civilization keeps pace with population.

The pertinacious zeal with which the Jesuit missionaries of the seventeenth and eighteenth centuries strove to inculcate their own relatively new doctrines into the minds of this very ancient nation, and their futile endeavours to discover early traces of their own faith in that country, left them no time for a profound study of the belief which prevails there. Therefore Europe has only lately obtained some slight knowledge of the religious state of the Chinese. We now know, that is to say, that in China there exists first of all a worship of Nature, which is universally professed, and dates from the earliest times, even, it is alleged, from before the discovery of fire, wherefore animals were sacrificed raw. The sacrifices offered up publicly at certain seasons or after great events by the Chinese Emperor and the chief dignitaries of the Empire, belong to this worship. These sacrifices are dedicated first and foremost to the blue sky and to the earth — to the blue sky in the winter solstice, to the earth in the summer solstice — and, after these, to every possible power of Nature: the sea, mountains, rivers, winds, thunder, rain, fire, &c. &c. A genius presides over each of these, and each genius has several temples. On the other hand, each genius presiding over every single province, town, village, or street, nay over family funerals and even sometimes over a merchant's warehouse, has also temples; only, in the two last cases they are destined exclusively for private worship. But public worship is besides offered up to former illustrious Emperors, founders of dynasties and to heroes, *i.e.* to all such as have benefited (Chinese) mankind by word or deed. Even these have their temples: Confucius alone having no less than 1,650 dedicated to him. This therefore accounts for the great number of small temples found throughout the Empire. With this hero-worship too, is associated the private worship offered up by every respectable family on the tombs of their ancestors. — Now besides this worship of Nature and of heroes, which is universal, there are three other prevailing religious doctrines in China, more with a



dogmatical intent. First among these is the doctrine of Taossee, founded by Laotse, an older contemporary of Confucius. This is the doctrine of Reason, as the inner order of the Universe or inherent principle of all things, of the great One, the sublime Gable-Beam (Taiki) which supports all the Rafters, yet is above them (properly the all-pervading Soul of the World) and of Tao, *i.e.* the *Way*, namely to salvation: that is, to redemption from the world and its misery. We have an exposition of this doctrine taken from the fountain-head in Stanislas Julien's translation (1842) of Laotse's Taoteking, in which we find that the Tao-doctrine completely harmonizes with Buddhism both in meaning and in spirit. This sect however seems to have fallen very much into the background, and its teachers to be now looked down upon. — Secondly, we find the wisdom of Confucius, which has special attractions for Chinese *savants* and statesmen. Judging from translations, it is a rambling, commonplace, predominantly political, moral philosophy, without any metaphysical support, which has something peculiarly insipid and tiresome about it. — Finally, there exists for the bulk of the nation Buddha's sublime doctrine full of love. The name, or rather title, of Buddha in China is *Fo* or *Fhu*, whilst in Tartary the "Victoriously-Perfect" is more frequently called by his family-name, *Shakia-Muni*, and also *Burkhan-Bakshi*; in Birma and Ceylon, he is generally called *Gótama* or *Tagátata*, but his original name was Prince *Siddharta*.<sup>161</sup> This religion which, on account of its intrinsic excellence and truth, as well as of the great number of its followers, may be considered as ranking highest among all religions on earth, prevails throughout the greater part of Asia, and according to the latest investigator, Spence Hardy, numbers 369 millions of believers: that is, far more than any other. — These three religions, the most widely diffused of which, Buddhism, subsists without any protection whatever from the State, by its own power alone — a circumstance which speaks greatly in its favour — are far from being hostile to one another, and exist quietly side by side, nay, harmonize even to a certain extent, perhaps by reciprocal influence, so that the sentence: "The three doctrines are only one", has become proverbial. The Emperor, as such, professes all three; still many of the Emperors, even up to the most recent times, have been especially devoted to Buddhism. This is shown by their profound respect for the Dalai-Lama, nay, even for the Teshoo-Lama, to whom they unhesitatingly yield precedence. — These three religions are neither monotheistic nor polytheistic, nor are they even pantheistic — Buddhism, at

any rate, is not; since Buddha did not look upon a world sunk in sin and suffering, whose tenants, all subject to death, only subsist for a short time by devouring each other, as a manifestation of God. Moreover the word Pantheism, properly speaking, contains a contradiction; for it denotes a self-destroying conception, and has therefore never been understood otherwise than as a polite term of expression by those who know what seriousness means. It accordingly never entered into the heads of the clever, acute philosophers of the eighteenth century, not to take Spinoza for an Atheist, on account of his having called the world Deus; on the contrary, this discovery was reserved for the sham philosophers of our own times, who know nothing but words: they even pique themselves on the achievement and accordingly talk about Acomism, the wags! But I would humbly suggest leaving their meanings to words — in short, calling the world, the world; and gods gods.

In their endeavours to acquire knowledge of the state of Religion in China, Europeans began as usual, and as the Greeks and Romans under similar circumstances had done, by first searching for points of contact with their own belief. Now as, in their own way of thinking, the conceptions of Religion and of Theism were almost identified, or at any rate had grown together so closely, that they could only be separated with great difficulty; as moreover, till a more accurate knowledge of Asia had reached Europe, the very erroneous opinion had been disseminated — for the purpose of argument *e consensu gentium* — that all nations on earth worship a single, or at any rate a highest, God, Creator of the Universe:<sup>162</sup> when they found themselves in a country where temples, priests and monasteries abounded, they started from the firm assumption that Theism would also be found there, though in some very unusual form. On seeing these expectations disappointed however, and on finding that the very conceptions of such things, let alone the words to express them, were unknown, it was but natural, considering the spirit in which their inquiries were made, that their first reports of these religions should refer rather to what they did not, than to what they did, contain. Besides, for many reasons, it can be no easy task for European heads to enter fully into the sense of these faiths. In the first place, they are brought up in Optimism, whereas in Asia, existence itself is looked upon as an evil and the world as a scene of misery, where it were better not to find oneself. Another reason is to be found in the decided Idealism which is essential to Buddhism and to Hindooism: a view only



known in Europe as a paradox hardly worth a serious thought, advanced by certain eccentric philosophers; whereas in Asia it is even embodied in popular belief. For in Hindoostan it prevails universally as the doctrine of *Maja*, and in Thibet, the chief seat of the Buddhist Church, it is taught in an extremely popular way, a religious comedy being performed on occasions of special solemnity, in which the Dalaï-Lama is represented arguing with the Arch-fiend. The former defends Idealism, the latter Realism, and among other things the Devil says: “What is perceived through the five sources of all knowledge (the senses), is no deception, and what you teach is not true.” After a long argumentation the matter is decided by a throw of the dice: the Realist (the Devil) loses, and is dismissed amid general jeering.<sup>163</sup> Keeping this fundamental difference in the whole way of thinking steadily in view, we shall find it not only excusable, but even natural, that in their investigation of the Asiatic religions Europeans should at first have stopped short at the negative stand-point; though, properly speaking, it has nothing to do with the matter. We therefore find a great deal referring to this negative stand-point which in no way advances our positive knowledge; it all however amounts to this: that Monotheism — an exclusively Jewish doctrine, to be sure — is alien to Buddhists and in general to the Chinese. For instance, in the “Lettres Édifiantes”<sup>164</sup> we find: “The Buddhists, whose views on the migration of souls are universally adopted, are accused of Atheism.” In the “Asiatic Researches” (vol. vi. p. 255) we find: “The religion of the Birmans (Buddhism) shows them to be a nation far advanced beyond the barbarism of a wild state and greatly influenced by religious opinions, but which nevertheless has no knowledge of a Supreme Being, Creator and Preserver of the world. Yet the system of morality recommended in their fables is perhaps as good as any other taught by the religious doctrines which prevail among mankind.” — And again, p. 258: “The followers of Gótama (*i.e.* of Buddha) are strictly speaking Atheists.” — *Ibid.*, p. 258: “Gótama’s sect consider the belief in a divine Being, Creator of the world, to be highly impious.” — *Ibid.*, p. 268, Buchanan relates, that Atuli, the Zarado or High-Priest of the Buddhists at Ava, in an article upon his religion which he presented to a Catholic bishop, “counted the doctrine, that there is a Being who has created the world and all things in it and is alone worthy of adoration, among the six damnable heresies.” Sangermano relates precisely the same thing,<sup>165</sup> and closes the list of the six grave heresies with the words: “The last of these impostors taught, that

there is a Supreme Being, the Creator of the world and of all things in it, and that he alone is worthy of adoration.” Colebrooke too says:<sup>166</sup> “The sects of Jaina, and Buddha are really atheistic, for they acknowledge no Creator of the world, nor any Supreme ruling Providence.” — I. J. Schmidt<sup>167</sup> likewise says: “The system of Buddhism knows no eternal, uncreated, single, divine Being, having existed before all Time, who has created all that is visible and invisible. This idea is quite foreign to Buddhism and there is not the slightest trace of it anywhere in Buddhistic books.” — We find the learned sinologist Morrison too<sup>168</sup> not less desirous to discover traces of a God in the Chinese dogmas and ready to put the most favourable construction upon everything which seems to point in that direction; yet he is finally obliged to own that nothing of the kind can be clearly discovered. Where he explains the words *Thung* and *Tsing*, *i.e.* repose and movement, as that on which Chinese cosmogony is based, he renews this inquiry and concludes it with the words: “It is perhaps impossible to acquit this system of the accusation of Atheism.” — And even recently Upham<sup>169</sup> says: “Buddhism presents to us a world without a moral ruler, guide or creator.” The German sinologist Neumann too, says in his treatise<sup>170</sup> mentioned further on: “In China, where neither Mahometans nor Christians found a Chinese word to express the theological conception of the Deity.... The words God, soul, spirit, as independent of Matter and ruling it arbitrarily, are utterly unknown in the Chinese language.... This range of ideas has become so completely one with the language itself, that the first verse of the book of Genesis cannot without considerable circumlocution be translated into genuine Chinese.” — It was this very thing that led Sir George Staunton to publish a book in 1848 entitled: “An Inquiry into the proper mode of rendering the word God in translating the Sacred Scriptures into the Chinese language.”<sup>171</sup>

My intention in giving the above quotations and explanations, is merely to prepare the way for the extremely remarkable passage, which it is the object of the present chapter to communicate, and to render that passage more intelligible to the reader by first making him realize the standpoint from which these investigations were made, and thus throwing light upon the relation between them and their subject. For Europeans, when investigating this matter in China in the way and in the spirit described, always inquiring for the supreme principle of all things, the power that rules the world, &c. &c., had often been referred to that which is designated by

the word Tien (Engl. T'héen). Now, the more usual meaning of this word is "Heaven," as Morrison also says in his dictionary; still it is a well-known thing that Tien is used in a figurative sense also, and then has a metaphysical signification. In the "Lettres Édifiantes"<sup>172</sup> we find the following explanation: "*Hing-tien* is the material, visible heaven; *Chin-tien* the spiritual and invisible heaven." Sonnerat too,<sup>173</sup> in his travels in East-India and China, says: "When the Jesuits disputed with the rest of the missionaries as to the meaning of the word Tien, whether it was Heaven or God, the Chinese looked upon these foreigners as restless folk and drove them away to Macao." It was at any rate through this word that Europeans could first hope to find the track of that Analogy of Chinese Metaphysic with their own faith, which had been so persistently sought for; and it was doubtless owing to investigations of this kind that the results we find communicated in an Essay entitled "Chinese Theory of the Creation" were attained.<sup>174</sup> As to *Choo-foo-tze*, called also *Choo-hi*, who is mentioned in it, I observe that he lived in the twelfth century according to our chronology, and that he is the most celebrated of all the Chinese men of learning; because he has collected together all the wisdom of his predecessors and reduced it to a system. His work is in our days the basis of all Chinese instruction, and his authority of the greatest weight. In the passage I allude to, we find: "The word *Teen*, would seem to denote 'the highest among the great' or 'above all what is great on earth:' but in practice its vagueness of signification is beyond all comparison greater, than that of the term *Heaven* in European languages.... Choo-foo-tze tells us that 'to affirm, that heaven has a *man* (*i.e.* a sapient being) there to judge and determine crimes, should not by any means be said; nor, on the other hand, must it be affirmed, that there is nothing at all to exercise a supreme control over these things.'

"The same author being asked about the *heart of heaven*, whether it was intelligent or not, answered: it must not be said that the mind of nature is unintelligent, but it does not resemble the cogitations of man....

"According to one of their authorities, *Teen* is call'd ruler or sovereign (Choo), from the idea of the supreme control, and another expresses himself thus: Had heaven (Teen) no designing mind, then it must happen, that the cow might bring forth a horse, and on the peach-tree be produced the blossom of the pear.' On the other hand it is said, that *the mind of Heaven is deducible from what is the Will of mankind!*"

The agreement between this last sentence and my doctrine is so striking and so astonishing, that if this passage had not been printed full eight years after my own work had appeared, I should no doubt have been accused of having taken my fundamental thought from it. For there are three well-known modes of repelling the attack of new thoughts: firstly, by ignoring them, secondly by denying them, and lastly by asserting that they are not new, but were known long before. But the fact that my fundamental thought was formed quite independently of this Chinese authority, is firmly established by the reasons I have given; for I may hope to be believed when I affirm, that I am unacquainted with the Chinese language and consequently unable to derive thoughts for my own use from original Chinese sources unknown to others. On further investigation I have elicited the fact, that the passage I have quoted, was most probably, nay almost certainly, taken from Morrison's "Chinese Dictionary," where it may be found under the sign *Tëen*: only I have no opportunity of verifying it.<sup>175</sup> — In an article by Neumann<sup>176</sup> there are some passages which have evidently a common source with those here quoted from the "Asiatic Journal." But they are written with the vagueness of expression which is so frequent in Germany, and excludes clear comprehension. Besides, this translator of Choo-hi evidently did not himself quite understand the original; though by this no blame need be implied, when we consider the enormous difficulty of the Chinese language for Europeans, and the insufficiency of the means for studying it. Meanwhile it does not give us the enlightenment desired. We must therefore console ourselves with the hope, that as a freer intercourse with China has now been established, some Englishman may one day give us more minute and thorough information concerning the above-mentioned dogma, of which we have hitherto received such deplorably imperfect accounts.

## REFERENCE TO ETHICS.

For reasons I have stated in the beginning, confirmations of the rest of my doctrine are excluded from my present task. Still, in concluding, I may perhaps be allowed to make a general reference to Ethics.

From time immemorial, all nations have acknowledged that the world has a moral, as well as a physical, import. Everywhere nevertheless the matter was only brought to an indistinct consciousness, which, in seeking for its adequate expression, has clothed itself in various images and myths. These are the different Religions. Philosophers, on their side, have at all times endeavoured to attain clear comprehension of the thing and, notwithstanding their differences in other respects, all, excepting the strictly materialistic, philosophical systems, agree in this one point: that what is most important, nay, alone essential, in our whole existence, that on which everything depends, the real meaning, pivot or point (*sit venia verbo*) of it, lies in the morality of human actions. But as to the sense of this, as to the ways and means, as to the possibility of the thing, they all again quite disagree, and find themselves before an abyss of obscurity. Thus it follows, that it is easy to preach, but difficult to found, morality. It is just because that point is determined by our conscience, that it becomes the touchstone of all systems; since we demand, and rightly demand, that Metaphysic should give support to Ethics: and now arises the difficult problem to show that, contrary to all experience, the physical order of things depends upon a moral one, and to find out a connection between the force which, by acting according to eternal laws of Nature, gives the world stability, and the morality which has its seat in the human breast. This is therefore the rock on which the best thinkers have foundered. Spinoza occasionally tacks a moral theory on to his Pantheistic Fatalism by means of sophisms, but more often leaves morality terribly in the lurch. Kant, when theoretical Reason is exhausted, sends his Categorical Imperative, laboriously worked out of mere conceptions,<sup>177</sup> on the stage, as *deus ex machina*, with an absolute *ought*. But the mistake he made by it only became quite clear when Fichte, who always took outbidding for outdoing, had spun it out with Christian Wolfian prolixity and wearisomeness to a complete system of *moral fatalism* in his “System of Moral Doctrine,” and subsequently presented it more briefly in his last pamphlet.<sup>178</sup>

Now, from this point of view, a system which places the reality of all existence and the root of the whole of Nature in *the Will*, and in this will places the root of the world, must undeniably carry with it, to say the least, a strong prejudice in its favour. For, by a direct and simple way, it reaches, nay, already holds in its hand before coming to Ethics, what other systems try to reach by roundabout, ever dubious by-paths. Nor indeed can any other road ever lead to this but the insight, that the active and impulsive force in Nature which presents this perceptible world to our intellect, is identical with the will within us. The only Metaphysic which really and immediately supports Ethics, is that one which is itself primarily ethical and constituted out of the material of Ethics. Therefore I had a far greater right to call my Metaphysic “Ethics,” than Spinoza, with whom the word sounds almost like irony, and whose “Ethics” might be said to bear the name like *lucus a non lucendo*; since it is only by means of sophistry that he has been able to tack his morality on to a system, from which it would never logically proceed. In general, moreover, he disavows it downright with revolting assurance.<sup>179</sup> On the whole, I can confidently assert, that there has never yet been a philosophical system so entirely cut out of one piece, so completely without any joins or patches, as mine. As I have said in my preface, it is the unfolding of a single thought, by which the ancient ἀπλοῦς ὁ μῦθος τῆς ἀληθείας ἔφω<sup>180</sup> is again confirmed. Then we must still take into consideration here, that freedom and responsibility — those pillars on which all morality rests — can certainly be asserted in words without the assumption of the aseity<sup>181</sup> of the will; but that it is absolutely impossible to think them without it. Whoever wishes to dispute this, must first invalidate the axiom, stated long ago by the Schoolmen: *operari sequitur esse* (*i.e.* the acts of each being follow from the nature of that being), or we must demonstrate the fallacy of the inference to be drawn from it: *unde esse, inde operari*. Responsibility has for its condition freedom; but freedom has for its condition primariness. For I *will* according to what I *am*; therefore I must *be* according to what I *will*. Aseity of the will is therefore the first condition of any Ethics based on serious thought, and Spinoza is right when he says: *Ea res libera dicetur, quæ ex sola suæ naturæ necessitate existit, et a se sola ad agendum determinatur.*<sup>182</sup> Dependence, as to existence and nature, united with freedom as to action, is a contradiction. Were Prometheus to call the creatures of his making to account for their actions, they would be



quite justified in answering: “We could only act according to our being: for actions arise from nature. If our actions were bad, the fault lay in our nature: this is thine own work; punish thyself.”<sup>183</sup> And it is just the same with the imperishableness of our true being in death; for this cannot be seriously thought without the aseity of that being, and can even hardly be conceived without a fundamental separation of the will from the intellect. This last point is peculiar to my philosophy; but Aristotle had already proved the first thoroughly, by showing at length how that alone can be imperishable which has not arisen, and that the two conceptions condition each other:<sup>184</sup> Ταῦτα ἀλλήλοις ἀκολουθεῖ, καὶ τό τε ἀγέννητον ἄφθαρτον, καὶ τὸ ἄφθαρτον ἀγέννητον.... τὸ γὰρ γεννητὸν καὶ τὸ φθαρτὸν ἀκολουθοῦσιν ἀλλήλοις. — εἰ γεννητὸν τι, φθαρτὸν ἀνάγκη<sup>185</sup> (*hæc mutuo se sequuntur, atque ingenerabile est incorruptibile, et incorruptibile ingenerabile.... generabile enim et corruptibile mutuo se sequuntur. — si generabile est, et corruptibile esse necesse est*). All those among the ancient philosophers who taught an immortality of the soul, understood it in this way; nor did it enter into the head of any of them to assign infinite permanence to a being *having arisen* in any way. We have evidence of the embarrassment to which the contrary assumption leads, in the ecclesiastical controversy between the advocates of Pre-existence, Creation and Traduction.

The Optimism moreover of all philosophical systems is a point closely allied to Ethics which must never fail in any of them, as in duty bound: for the world likes to hear that it is commendable and excellent, and philosophers like to please the world. With me it is different: I have seen what pleases the world, and therefore shall not swerve a step from the path of truth in order to please it. Thus in this point also my system varies from all the others and stands by itself. But when all the others have completed their demonstrations to the song of the best of worlds, quite at the last, at the background of the system, like a tardy avenger of the monster, like a spirit from the tomb, like the statue in Don Juan, there comes the question as to the origin of evil, of the monstrous, nameless evil, of the awful, heartrending misery in the world: — and here they are speechless, or can only find words, empty, sonorous words, with which to settle this heavy reckoning. On the other hand, a system, in whose basis already the existence of evil is interwoven with the existence of the world, need not fear that apparition any more than a vaccinated child need fear the smallpox. Now this is the case when freedom is placed in the *esse* instead of in the

*operari* and sin, evil and the world then proceed from that *esse*. — Moreover it is fair to let me, as a serious man, only speak of things which I really know and only make use of words to which I attach a quite definite meaning; since this alone can be communicated with security to others, and Vauvenargues is quite right in saying: “*la clarté est la bonne foi des philosophes*.” Therefore if I use the words ‘Will, Will to live,’ this is no mere *ens rationis*, no hypostasis set up by me, nor is it a term of vague, uncertain meaning; on the contrary, I refer him, who asks what it is, to his own inner self, where he will find it entire, nay, in colossal dimensions, as a true *ens realissimum*. I have accordingly not explained the world out of the unknown, but rather out of that which is better known than anything, and known to us moreover in quite a different way from all the rest. As to the paradoxical character finally, with which the ascetic results of my Ethics have been reproached, these results had given umbrage even to Jean Paul, otherwise so favourably disposed towards me, and had induced Herr Rätze also (not knowing that the only course to be adopted against me was silence) to write a book against me in 1820, with the best intentions. They have since become the standing rock of offence in my philosophy; but I beg my readers to take into consideration, that it is only in this north-western portion of the ancient continent, and even here only in Protestant countries, that the term paradoxical can be applied to such things; whereas throughout the whole of vast Asia — everywhere indeed, where the detestable doctrine of Islam has not prevailed over the ancient and profound Religions of mankind by dint of fire and sword — they would rather have to fear the reproach of being commonplace. I console myself therefore with the thought that, when referred to the Upanishads of the Sacred Vedas, my Ethics are quite orthodox,<sup>186</sup> and that even with primitive, genuine Christianity they stand in no contradiction. As to all other accusations of heresy, I am well armoured and my breast is fortified with triple steel.



## CONCLUSION.

The undoubtedly striking confirmations recorded in this treatise, which have been contributed to my doctrine by the Empirical Sciences since its first appearance, but independently of it, will unquestionably have been followed by many more: for how small is the portion which the individual can find time, opportunity and patience to become acquainted with, of the branch of literature dedicated to Natural Science which is so actively cultivated in all languages! Even what I have here mentioned however, inspires me with confidence that the time for my philosophy is ripening; and it is with heartfelt joy that I see the Empirical Sciences gradually come forward in the course of time, as witnesses above suspicion, to testify to the truth of a doctrine, concerning which a politic, inviolable silence has been maintained for seventeen years by our “philosophers by profession” (some of them give themselves this characteristic name, nay even that of “philosophers by trade”); so that it had been left to Jean Paul, who was ignorant of their tactics, to draw attention to it. For it may have appeared to them a delicate matter to praise it, and, on due consideration, they may have thought it not altogether safe to blame it either, and may have judged it unnecessary besides to show the public, as belonging neither to the profession nor to the trade, that it is quite possible to philosophize very seriously without being either unintelligible or wearisome. Why compromise themselves therefore with it, since no one betrays himself by silence and the favourite secretive method was ready at hand, the approved specific against merit; this much was besides soon agreed upon: that, considering the circumstances of the times, my philosophy did not possess the right qualifications for being taught professionally. Now the true, ultimate aim of all philosophy, with them, is to be taught professionally, — so much and so truly is it so, that were Truth to come down stark naked from lofty Olympus, but were what she brought with her not found to correspond to the requirements called for by the circumstances of the times, or to the purposes of their mighty superiors, these gentlemen “of the profession and trade” would verily waste no time with the indecent nymph, but would hasten to bow her out again to her Olympus, then place three fingers on their lips and return quietly to their compendia. For assuredly he who makes love to this nude beauty, to this fascinating syren, to this

portionless bride, will have to forego the good fortune of becoming a Government and University professor. He may even congratulate himself if he becomes a garret-philosopher. On the other hand, his audience will consist, not of hungry undergraduates anxious to turn their learning to account, but rather of those rare, select thinkers, thinly sprinkled among the countless multitude, who arise from time to time, almost as a freak of Nature. And a grateful posterity is beckoning from afar. But they can have no idea of the beauty and loveliness of Truth, of the delight there is in pursuing her track, of the rapture in possessing her, who can imagine that anyone who has once looked her in the face can ever desert, deny, or distort her for the sake of the venal approval, of the offices, of the money or the titles of such people. Better to grind spectacle-glasses like Spinoza or draw water like Cleanthes. Henceforth they may take whatever course they like: Truth will not change her nature to accommodate “the trade.” Serious philosophy has now really outgrown Universities, where Science stands under State-guardianship. It may however some day perhaps come to be counted among the occult sciences; while the spurious kind, that *ancilla theologiæ* in Universities, that inferior counterfeit of Scholasticism, for which the highest criterion of philosophical truth lies in the country catechism, will make our Lecture-halls doubly re-echo.— “You, that way: we, this way.” — [187](#)

## ENDNOTES.

<sup>1</sup> And this infatuation has reached such a point, that people seriously imagine themselves to have found the key to the mystery of the essence and existence of this wonderful and mysterious world in wretched *chemical affinities*! Compared with this illusion of our physiological chemists, that of the alchemists who sought after the philosopher's stone, and only hoped to find out the secret of making gold, was indeed a mere trifle. [Add. to 3rd ed.]

<sup>2</sup> “*Aut catechismus, aut materialismus*,” is their watchword. [Add. to 3rd ed.]

<sup>3</sup> There too he will meet with people who fling about words of foreign origin, which they have caught up without understanding them, just as readily as he does himself, when he talks about “*Idealism*” without knowing what it means, mostly therefore using the word instead of Spiritualism (which being Realism, is the opposite to Idealism). Hundreds of examples of this kind besides other *quid pro quos* are to be found in books, and critical periodicals. [Add. to 3rd ed.]

<sup>4</sup> They ought everywhere to be shown that their belief is not believed in. [Add. to 3rd ed.]

<sup>5</sup> For revelation goes for nothing in philosophy; therefore a philosopher must before all things be an unbeliever. [Add. to 3rd ed.].

<sup>6</sup> One always says the other is right, so that the public in its simplicity at last imagines them really to be right. [Add. to 3rd ed.]

<sup>7</sup> Here it is especially Ernst Reinhold's “System of Metaphysics” (3rd edition, 1854) that I have in my eye. In my “Parerga” I have explained how it comes, that brain-perverting books like this go through several editions. See “Parerga,” vol. i. p. 171 (2nd edition, vol. i. p. 194).

<sup>8</sup> Nevertheless, by Zeus, all such gentlemen, in France as well as Germany, should be taught that Philosophy has a different mission from that of playing into the hands of the clergy. We must let them clearly see before all things that we have no faith in their faith — from this follows what we think of them. [Add. to 3rd ed.]

<sup>9</sup> (a) Rosenkranz, “Meine Reform der Hegelschen Philosophie,” 1852, especially p. 41, in a pompous, dictatorial tone: “I have explicitly said, that Space and Time would not exist if Matter did not exist. Æther spread out within itself first constitutes real Space, and the movement of this æther and consequent real genesis of everything individual and separate, constitutes real Time.” (b) L.

Noack, "Die Theologie als Religionsphilosophie," 1853, pp. 8, 9. (c) V. Reuchlin-Meldegge, Two reviews of Oersted's "Geist in der Natur" in the Heidelberg Annals, Nov.-Dec., 1850, and May-June, 1854.

<sup>10</sup> Time is the condition of the *possibility* of succession, which could neither take place, nor be understood by us and expressed in words, without Time. And Space is likewise the condition of the *possibility* of juxtaposition, and Transcendental Æsthetic is the proof that these conditions have their seat in the constitution of our head. [Add. to 3rd ed.]

<sup>11</sup> In the Scholium to the eighth of the definitions he has placed at the top of his "Principia," Newton quite rightly distinguishes *absolute*, that is, *empty*, from relative, or filled Time, and likewise absolute from relative Space. He says, p. 11: *Tempus, spatium, locum, motum, ut omnibus notissima, non definio. Notandum tamen quod VULGUS* (that is, professors like those I have been mentioning) *quantitates hasce non aliter quam ex relatione ad sensibilia concipiat. Et inde oriuntur præjudicia quædam, quibus tollendis convenit easdem in absolutas et relativas, veras et apparentes, mathematicas et vulgares distingui.* And again (p. 12):

I. *Tempus absolutum, verum et mathematicum, in se et natura sua sine relatione ad externum quodvis, æquabiliter fluit, alioque nomine dicitur Duratio: relativum, apparens et vulgare est sensibilis et externa quævis Durationis per motum mensura (seu accurata seu inæquabilis) quâ vulgus vice veri temporis utitur; ut Hora, Dies, Mensis, Annus.*

II. *Spatium absolutum, natura sua sine relatione ad externum quodvis, semper manet simile et immobile: relativum est spatii hujus mensura seu dimensio quælibet mobilis, quæ a sensibus nostris per situm suum ad corpora definitur, et a vulgo pro spatio immobili usurpatur: uti dimensio spatii subterranei, ærei vel coelestis definita per situm suum ad terram.*

But even Newton never dreamt of asking how we know these two infinite entities, Space and Time; since, as he here impresses on us, they do not fall within the range of the senses; and how we know them moreover so intimately, that we are able to indicate their whole nature and rule down to the minutest detail. [Add. to 3rd ed.]

<sup>12</sup> Ecclesiasticus xxii. 8.

<sup>13</sup> For Kant has disclosed the dreadful truth, that philosophy must be quite a different thing from Jewish mythology. [Add. to 3rd ed.]

<sup>14</sup> Another instance of Michelet's ignorance is to be found in Schopenhauer's posthumous writings, see "Aus Arthur Schopenhauer's handschriftlichem Nachlass," Leipzig, A. Brockhaus, 1864, p. 327. [Editor's note.]

<sup>15</sup> The same reviewer (Von Reuchlin-Meldegg) when he expounds the doctrines of the philosophers concerning God in the August number of the Heidelberg Annals (1855), p. 579, says: "In Kant, God is a thing in itself which cannot be known." In his review of Frauenstädt's "Letters" in the Heidelberg Annals of May and June (1855) he says that there is no knowledge *à priori*. [Add. to 3rd ed.]

<sup>16</sup> C. 1. p. 899.

<sup>17</sup> p. 908.

<sup>18</sup> *Hofrätthe*. A title of honour often given for literary and scientific merit in Germany, and common among University professors. [Tr.'s note.]

<sup>19</sup> "*Potius de rebus ipsis judicare debemus, quam pro magno habere, de hominibus quid quisque senserit scire*," says St. Augustine ("*De civ. Dei*," l. 19, c. 3). Under the present mode of proceeding, however, the philosophical lecture-room becomes a sort of rag-fair for old worn out, cast-off opinions, which are brought there every six months to be aired and beaten. [Add. to 3rd ed.]

<sup>20</sup> I take this opportunity urgently to request that the public will not believe unconditionally any accounts of what I am supposed to have said, even when they are given as quotations; but will first verify the existence of these quotations in my works. In this way many a falsehood will be detected, which can however only be stamped as a direct forgery when accompanied by quotation marks (" "). [Add. to 3rd ed.]

<sup>21</sup> "Die Welt a. W. u. V.," vol. ii., c. 18, p. 213.

<sup>22</sup> So had I written in 1835, when the present treatise was first composed, having published nothing since 1818, before the close of which year "Die Welt als Wille und Vorstellung" had appeared. For a Latin version, which I had added to the third volume of "*Scriptores ophthalmologici minores*," edente J. Radio, in 1830, for the benefit of my foreign readers, of my treatise "On Vision and Colours" (published in 1816), can hardly be said to break the silence of that pause.

<sup>23</sup> As will be seen by the following detailed exposition, Schopenhauer attaches a far wider meaning to the word than is usually given, and regards the *will*, not merely as *conscious volition* enlightened

by Reason and determined by motives, but as the fundamental essence of all that occurs, even where there is no choice. [Tr.]

<sup>24</sup> Kant, “Von der wahren Schätzung der lebendigen Kräfte,” § 51.

<sup>25</sup> Baltazar Gracian, “*El Criticon*,” iii. 90, to whom I leave the responsibility for the anachronism.

<sup>26</sup> Kant, “Krit. d. r. V.” 5th edition, p. 755. (English translation by M. Müller, p. 640.)

<sup>27</sup> Schiller, “der langen Rede kurzer Sinn.” [Tr.]

<sup>28</sup> Chapter 20, p. 263; p. 295 of the 3rd edition.

<sup>29</sup> Rosas, “Handbuch der Augenheilkunde” (1830).

<sup>30</sup> Göthe, “Tag und Jahreshefte,” 1812.

<sup>31</sup> This I wrote in 1836. The “Edinburgh Review” has since however greatly deteriorated, and is no longer its old self. I have even seen clerical time-serving in its pages, written down to the level of the mob.

<sup>32</sup> As a being existing by itself, a thing in itself. [Add. to 3rd ed.]

<sup>33</sup> In which it is lodged in the garret. [Add. to 3rd ed.]

<sup>34</sup> By this Schopenhauer means the distinction between *the will* in its widest sense, regarded as the fundamental essence of all that happens, — even where there is no choice, even where it is *unconscious*, — and *conscious will*, implying deliberation and choice, commonly called *free-will*. We must however carefully guard against confounding this *relative* free-will, with *absolute* free-will (*liberum arbitrium indifferentiae*), which Schopenhauer declares to be inadmissible. The sense in which I have used the expression ‘*free-will*’ throughout this treatise, is that of *relative* freedom, *i.e.* power to choose between different motives, free of all outward restraint (*Willkühr*). (Tr.)

<sup>35</sup> I have shown the difference between *cause* in its narrowest sense, *stimulus*, and *motive*, at length in my “Grund-probleme der Ethik” p. 29 *et seq.*

<sup>36</sup> It is especially in secretive processes that we cannot avoid recognising a certain selection of the materials fitted for each purpose, consequently a *free will* in the secretive organs, which must even be

assisted by a certain dull sensation, and in virtue of which each secreting organ only extracts from the same blood that particular secretion which suits it and no others: for instance, the liver only absorbs bile from the blood flowing through it, sending the rest of the blood on, and likewise the salivary glands and the pancreas only secrete saliva, the kidneys only urine, &c. &c. We may therefore compare the organs of secretion to different kinds of cattle grazing on one and the same pasture-land, each of which only browses upon the one sort of herb which suits its own particular appetite. [Add. to 3rd ed.]

<sup>37</sup> Treviranus, “Die Erscheinungen und Gesetze des Organischen Lebens,” vol. i. pp. 178-185.

<sup>38</sup> E. H. Weber, “Additamenta ad E. H. Weberi tractatum de motu iridis.” Lipsia, 1823.

<sup>39</sup> Joh. Müller, “Handbuch der Physiologie,” p. 764.

<sup>40</sup> Meckel, “A. f. d. P.” vol. 5, pp. 195-198.

<sup>41</sup> Burdach, “Physiologie,” vol. i. § 259, p. 388.

<sup>42</sup> “Transactions of the Asiatic Society of Great Britain,” 1824, p. 110.

<sup>43</sup> “Asiatic Researches,” vol. 8, p. 426.

<sup>44</sup> Ecclesiastes, ch. 7, v. 28.

<sup>45</sup> In my “Parerga,” § 94 of the 2nd vol. (§ 96 in the 2nd edition) belongs also to the above.

<sup>46</sup> *Ding an sich*.

<sup>47</sup> *Inbegriff*.

<sup>48</sup> Pander and d’Alton, “Ueber die Skelette der Raubthiere,” 1822, p. 7.

<sup>49</sup> Burdach, “Physiologie,” vol. 2, § 474.

<sup>50</sup> Bopp, “Ardschuna’s Reise zu Indra’s Himmel, nebst anderen Episoden des Mahabharata” (Ardshuna’s Journey to Indra’s Heaven together with other episodes from the Mahabharata), 1824.

<sup>51</sup> The Matsya Parana attributes a similar origin to Brahma's four countenances. It relates that, having fallen in love with his daughter Satarupa, and gazed fixedly at her, she stepped aside to avoid his eye; he being ashamed, would not follow her movement; whereupon a new face arose on him directed towards the side where she was and, on her once more moving, the same thing occurred, and was repeated, until at last he had four faces. ("Asiatic Researches," vol. 6, p. 473.) [Add. to 3rd ed.]

<sup>52</sup> I should like under this name to add a fourth to the three proofs brought forward by Kant, *i.e.* the proof *a terrore*, which the ancient saying of Petronius: *primus in orbe Deos fecit timor*, designates and of which Hume's incomparable "Natural History of Religion" may be considered as the critique. Understood in this sense, even the theologist Schleiermacher's attempted proof might have its truth from the feeling of dependence, though perhaps not exactly that truth which its originator imagined it to have.

<sup>53</sup> Socrates propounded it already in detail in Xenophon. ("Mem." i. 4.) [Add. to 3rd ed.]

<sup>54</sup> Priestley, "Disqu. on Matter and Spirit," sect. 16, p. 188.

<sup>55</sup> Part 7, and in other places.

<sup>56</sup> See "Die Welt als W. u. V." vol. i. p. 597. (Vol. i. p. 631 of the 3rd ed.)

<sup>57</sup> The point at which the life-spark is kindled. [Tr.]

<sup>58</sup> Nor can a *mundus intelligibilis* precede a *mundus sensibilis*; since it receives its material from the latter alone. It is not an intellect which has brought forth Nature; it is, on the contrary, Nature which has brought forth the intellect. [Add. to 3rd ed.]

<sup>59</sup> This is expanded, vol. iv. pp. 825-843.

<sup>60</sup> I have seen (Zooplast. Cab. 1860) a humming-bird (*colibri*) with a beak as long as the whole bird, head and tail included. This bird must certainly have had to fetch out its food from a considerable depth, were it only from the calyx of a flower (Cuvier, "Anat. Comp." vol. iv. p. 374); otherwise it would not have given itself the luxury, or submitted to the encumbrance, of such a beak.

<sup>61</sup> Galenus, "De Usu Partium Anim.," i. 1.

<sup>62</sup> Lucretius, v. pp. 1032-1039.



<sup>63</sup> Aristot., “De Part. Animal.,” iv. 6: “They have a weapon because they have passion.” [Tr.]

<sup>64</sup> *Ibid.* c. 12: “Nature makes the tools for the work, not the work for the tools.” [Tr.]

<sup>65</sup> De Lamarck, “Philosophie Zoologique,” vol. i. c. 7, and “Histoire Naturelle des Animaux sans Vertèbres,” vol. i. Introd. pp. 180-212.

<sup>66</sup> *Urthier.*

<sup>67</sup> Animated by the feeling of this truth, Robert Owen, after passing in review the numerous and often very large Australian fossile *marsupialia* — sometimes as big as the rhinoceros — came as early as 1842 to the conclusion, that a large beast of prey must have contemporaneously existed. This conclusion was afterwards confirmed, for in 1846 he received part of the fossile skull of a beast of prey of the size of the lion, which he named *thylacoleo*, *i.e.* lion with a pouch, since it is also a marsupial. (See the “Times” of the 19th of May, 1866, where there is an article on “Palæontology,” with an account of Owen’s lecture at the Government School of Mines.) [Add. to 3rd ed.]

<sup>68</sup> Kirby and Spence, “Introduction to Entomology,” vol. i. p. 355. [Add. to 3rd ed.]

<sup>69</sup> Blumenbach, “De hum. gen. variet. nat.” p. 50. Sömmering, “On the Negro,” p. 8.

<sup>70</sup> That the lowest place should be given to the rodents, seems however to proceed from *à priori* rather than from *à posteriori* considerations: that is to say, from the circumstance, that their brain has extremely faint or small convolutions; so that too much weight may have been given to this point. In sheep and calves the convolutions are numerous and deep, yet how is it with their intelligence? The mechanical instincts of the beaver are again greatly assisted by its understanding, and even rabbits show remarkable intelligence (see Leroy’s beautiful work: “Lettres Philosophiques sur l’Intelligence des Animaux,” lettre 3, p. 149). Even rats give proof of quite uncommon intelligence, of which some remarkable instances may be found in the “Quarterly Review,” No. 201, Jan.-March, 1857, in a special article entitled “Rats.”

<sup>71</sup> The most intelligent birds are also birds of prey, wherefore many of them, especially falcons, are highly susceptible of training. [Add. to 3rd ed.]

<sup>72</sup> That the negroes should have become the special victims of the slave-trade, is evidently a consequence of the inferiority of their intelligence compared with that of other human races; though this by no means justifies the fact. [Add. to 3rd ed.]

<sup>73</sup> As is likewise his capacity for escaping from his pursuers; for in this respect all the four-footed mammalia surpass him. [Add. to 3rd ed.]

<sup>74</sup> [See Third Book of the W. a. W. u. V.; later also, in my “Parerga,” vol. ii. §§ 50-57 and § 206. (§§ 51-58, and § 210 of the 2nd edition.)]

<sup>75</sup> “Principes de Philosophie Zoologique,” 1830.

<sup>76</sup> “Parerga,” vol. ii. § 91; § 93 of the 2nd edition.

<sup>77</sup> See Aristotle, “De Partibus Animalium,” iii. c. 2 *sub finem*: πῶς δὲ τῆς ἀναγκαίας φύσεως κ. τ. λ.

<sup>78</sup> The appearance of every animal therefore presents a totality, a unity, a perfection and a rigidly carried out harmony in all its parts which is so entirely based upon a single fundamental thought, that even the strangest animal shape seems to the attentive observer as if it were the only right, nay, only possible form of existence, and as if there could be no other than just this very one. The expression “natural” used to denote that a thing is a matter of course, and that it cannot be otherwise, is in its deepest foundation based upon this. Göthe himself was struck by this unity when contemplating whelks and crabs at Venice, and it caused him to exclaim: “How delightful, how glorious is a living thing! how well adapted for its condition; how true, how real!” (“Life,” vol. iv. p. 223). No artist therefore, who has not made it his business to study such forms for years and to penetrate into their meaning and comprehension, can rightly imitate them. Without this study his work will seem as if it were pasted together: the parts no doubt will be there, but the bond which unites them and gives them cohesion, the spirit, the idea, which is the objectivity of the primary act of the will presenting itself as this or that particular species, will be wanting. [Add. to 3rd ed.]

<sup>79</sup> It is a great truth which Bruno expresses (“De Immenso et Innumerabili,” 8, 10): “*Ars tractat materiam alienam: natura materiam propriam. Ars circa materiam est; natura interior materiæ.*” He treats this subject much more fully, “Della Causa,” Dial. 3, p. 252 *et seqq.* Page 255 he declares the *forma substantialis* to be the form of every product of Nature, which is the same as the *soul*. [Add. to 3rd ed.]

<sup>80</sup> Thus the saying of the Schoolmen is verified: “*Materia appetit formam.*” See “Die Welt a. W. u. V.” 3rd edition, vol. ii. p. 352. [Add. to 3rd ed.]

<sup>81</sup> Compare “Die Welt a. W. u. V.” 3rd edition, vol. II. p. 375. [Add. to 3rd ed.]

<sup>82</sup> Vol. i. p. 245. 1826.

<sup>83</sup> Repeated in the “Times” of June 2nd, 1841.

<sup>84</sup> Vol. v. p. 171. Paris, 1826.

<sup>85</sup> C. H. Schultz, “Sur la Circulation dans les Plantes,” a prize-essay, 1839.

<sup>86</sup> F. J. Meyen, “Neues System der Pflanzenphysiologie” (1839), vol. iii. p. 585.

<sup>87</sup> These have been translated for the “Bibliothèque Britannique, Section des Sciences et Arts,” vol. lii.

<sup>88</sup> Treviranus, “Die Erscheinungen und Gesetze des Organischen Lebens” (Phenomena and Laws of Organic Life), vol. i. p. 173.

<sup>89</sup> Brandis, “On Life and Polarity,” 1836, p. 88, says: “The roots of rock-plants seek nourishing mould in the most delicate crevices of rocks. These roots cling to a nourishing bone in dense clusters. I saw a root whose growth was intercepted by the sole of an old shoe: it divided itself into as many fibres as the shoe-sole had holes — those by which it had been stitched together — but as soon as these fibres had overcome the obstruction and grown through the holes, they united again to a common stem.” And p. 87: “If Sprengel’s observations are confirmed, even mediate relations are perceived (by plants) in order to obtain this end (fructification): that is to say, the anthers of the *nigella* bend down in order to put the pollen on the bees’ backs, and the pistils bend in like manner to receive it from the bees.” [Add. to 3rd ed.]

<sup>90</sup> In this connection I may mention an analysis of an entirely different kind, given by the French Academician Babinet in an article in which he treats of the seasons on the planets. It is contained in the No. of the 15th January, 1856, of the “Revue des Deux Mondes,” and I will give the chief substance of it here in translation. The object of it is to refer to its direct cause the well-known fact, that cereals only thrive in temperate climates. “If grain did not necessarily perish in winter, if it were perennial, it would not bear ears, and there would be no harvest. In the hotter portions of Africa, Asia and America, where no winter kills the grain, these plants grow like grass with us: they multiply by means of shoots, remain always green, and neither form ears nor run to seed. In cold climates, on the contrary, the organism of these plants seems by some inconceivable miracle to feel, as it were by anticipation, the necessity of passing through the seed-phase in order to escape dying off in the winter season” (*L’organisme de la plante, par un inconcevable miracle, semble pressentir la nécessité de passer par l’état de graine, pour ne pas périr complètement pendant la saison rigoureuse*). In a similar way, districts which have a “droughty season,” — that is to say a season in which all plants are parched up with drought— “tropical countries, for instance Jamaica, produce grain; because there

the plant, moved by the same organic presentiment (*par le même pressentiment organique*), in order to multiply, hastens to bear seed at the approach of the season in which it would have to dry up.” In the fact which this author describes as an inconceivable miracle, we recognise a manifestation of the plant’s will in increased potency, since here it appears as the will of the species, and makes preparations for the future in a similar way to animal instinct, without being guided by knowledge of that future in doing so. Here we see plants in warmer climates dispensing with a complicated process to which a cold climate alone had obliged them. In similar instances animals do precisely the same thing, especially bees. Leroy in his admirable work “Lettres Philosophiques sur l’Intelligence des Animaux” (3rd letter, p. 231) relates, that some bees which had been taken to South America continued at first to gather honey as usual and to build their cells just as when they were at home; but that when they gradually became aware that plants blossom there all the year round, they left off working. The animal world supplies a fact analogous to the above mentioned change in the mode of multiplying in cereals. This is the abnormal mode of propagation for which the *aphides* have long been noted. The female *aphide*, as is well known, propagates for 10-12 generations without any pairing with the male, and by a variety of the ovoviviparous process. This goes on all summer; but in autumn the males appear, impregnation takes place, and eggs are laid as winter quarters for the whole species, since it is only in this shape that it is able to outlive the winter. (Add. to 3rd ed.)

<sup>91</sup> Plat. “Tim.” p. 403. Bip.

<sup>92</sup> “Die Welt a. W. u. V.” vol. ii. chap. 23.

<sup>93</sup> Compare “Die Welt a. W. u. V.” vol. ii. chap. 22: “Objective View of the Intellect.”

<sup>94</sup> *Plan*.

<sup>95</sup>

Between two kinds of food, both equally

Remote and tempting, first a man might die

Of hunger, ere he one could freely chuse. (*Cary’s Tr.*)

<sup>96</sup> Herschel, “Treatise on Astronomy,” chap. 7, § 371 of the 1st edition, 1833.

<sup>97</sup> Even Copernicus had said the same thing long before “*Equidem existimo Gravitatem non aliud esse quam appetentiam quandam naturalem, partibus inditam a divina providentia opificis*

*universorum, ut in unitatem integritatemque suam se conferant, in formam Globi coeuntes. Quam affectionem credibile est etiam Soli, Lunæ cæterisque errantium fulgoribus, inesse, ut ejus efficacia, in ea qua se repræsentant rotunditate permaneant; quæ nihilominus multis modis suos efficiunt circuitus*" ("Nicol. Copernici revol." Lib. I, Cap. IX. Compare "Exposition des Découvertes de M. le Chevalier Newton par M. Maclaurin; traduit de l'Anglois par M. Lavirotte," Paris, 1749, p. 45). Herschel evidently saw, that if we hesitate to explain gravity, as Descartes did, by an impulse from outside, we are absolutely driven to admit a will inherent in bodies, *Non datur tertium*. [Add. to 3rd ed.]

<sup>98</sup> Which he has more at heart than all the wisdom and truth in the world. [Add. to 3rd ed.]

<sup>99</sup> See "Die Welt a. W, u. V." vol. ii. ch. 4, pp. 38-42 (3rd edition, pp. 41-46).

<sup>100</sup> P. 74 (3rd edition, p. 79), p. 92 of the translation in the present volume.

<sup>101</sup> 3rd edition, p. 44.

<sup>102</sup> Plato, "Phæd." p. 319 Bip.

<sup>103</sup> "That which is moved by itself and that which is moved from outside." [Tr.] And we find the same distinction again in the 10th Book "De Legibus," p. 85. [After him Cicero repeats it in the two last chapters of his "Somnium Scipionis." Add. to 3rd ed.]

<sup>104</sup> "All that is moved, is moved either by itself or by something else." [Tr.] Aristotle, "Phys." vii. 2.

<sup>105</sup> Maclaurin, too, in his account of Newton's discoveries, p. 102, lays down this principle as his starting-point. [Add. to 3rd ed.]

<sup>106</sup> Émile, iv. p. 27. Bip.

<sup>107</sup> Burdach, "Physiologie," vol. iv. p. 323.

<sup>108</sup> Seneca, "Epist." 81.

<sup>109</sup> *Ibid.* "Quæst. nat." ii. 24.

<sup>110</sup> Plin. "Hist. nat." 37, 15.

<sup>111</sup> Aristot. “De Cœlo.” ii. c. 13, “If a small particle of earth is lifted and let loose, it is carried away and will not rest.” [Tr.’s add.]

<sup>112</sup> *Ibid.* c. 14, “But each thing ought to be named as it wills to be and really is according to its nature, not as it is by force and contrary to its nature.” [Tr.’s add.]

<sup>113</sup> Arist. “Eth. Mag.” i. c. 14.

<sup>114</sup> “Let the freely curling locks fall unarranged as they *will* [*like*].” [Tr.’s add.]

<sup>115</sup> “Y-King,” ed. J. Mohl, vol. i. p. 341.

<sup>116</sup> Liebig, “Die Chemie in ihrer Anwendung auf Agrikultur,” p. 394.

<sup>117</sup> *Ibid.* “Die Chemie in Anwendung auf Physiologie.”

<sup>118</sup> French chemists likewise say: “*Il est évident que les métaux ne sont pas tous également avides d’oxygène.*” ... “*La difficulté de la réduction devait correspondre nécessairement à une avidité fort grande du métal pour l’oxygène.*” — (See Paul de Rémusat, “La Chimie à l’Exposition.” “L’Aluminium,” “Revue des Deux Mondes,” 1855, p. 649).

Vaninus (“De Amirandis Naturæ Arcanis,” p. 170) had said: “*Argentum vivum etiam in aqua conglobatur; quemadmodum et in plumbi scobe etiam: at a scobe non refugit* (this is directed against an opinion expressed by Cardanus) *imo ex ea quantum potest colligit: quod nequit (scil. colligere), ut censeo, invitum relinquit: natura enim et sua appetit, et vorat.*” This is evidently more than a form of words. He here quite decidedly attributes a will to quicksilver. And thus it will invariably be found that where, in physical and chemical processes, there is a reference to elementary forces of Nature and to the primary qualities of bodies which cannot be further deduced, these are always expressed by words which belong to the will and its manifestations. [Add. to 3rd ed.]

<sup>119</sup> I only mention *one* work which has recently appeared, the explicit object of which is to show that the magnetiser’s will is the real agent: “Qu’est ce que le Magnétisme?” par E. Gromier. (Lyon, 1850.)

<sup>120</sup> Puységur himself says in the year 1784: “*Lorsque vous avez magnétisé le malade, votre but était de l’endormir, et vous y avez réussi par le seul acte de votre volonté; c’est de même par un autre acte de volonté que vous le réveillez.*” (Puységur, “Magnét. Anim.” 2me édit. 1820, “Catéchisme Magnétique,” p. 150-171.) [Add. to 3rd ed.]

<sup>121</sup> Kieser, “Tellur.” vol. i. p. 400, *et seqq.*

<sup>122</sup> See “Wahrheit aus Jean Paul’s Leben,” vol. viii. p. 120.

<sup>123</sup> I had the good fortune in the year 1854 myself to witness some extraordinary feats of this kind, performed here by Signor Regazzoni from Bergamo, in which the immediate, *i.e.* magical, power of his will over other persons was unmistakeable, and of which no one, excepting perhaps those to whom Nature has denied all capacity for apprehending pathological conditions, could doubt the genuineness. There are nevertheless such persons: they ought to become lawyers, clergymen, merchants or soldiers, but in heaven’s name not doctors; for the result would be homicidal, diagnosis being the principal thing in medicine. — Regazzoni was able at will to throw the somnambulist who was under his influence into a state of complete catalepsy, nay, he could make her fall down backwards, when he stood behind her and she was walking before him, by his mere will, without any gestures. He could paralyze her, give her *tetanos*, with the dilated pupils, the complete insensibility, and in short, all the unmistakeable symptoms of complete catalepsy. He made one of the lady spectators first play the piano; then standing fifteen paces behind her, he so completely paralyzed her by his will and gestures, that she was unable to continue playing. He next placed her against a column and charmed her to the spot, so that she was unable to move in spite of the strongest efforts. — *According to my own observation*, nearly all his feats are to be explained by his *isolating the brain from the spinal marrow*, either completely, in which case the sensible and motor nerves become paralyzed, and total catalepsy ensues; or partially, by the paralysis only affecting the *motor* nerves while sensibility remains — in other words, the head keeps its consciousness, while the body is apparently lifeless. This is precisely the effect of strychnine: it paralyzes the motor nerves only, even to complete *tetanos*, which induces death by *asphyxia*; but it leaves the sensible nerves, and with them consciousness, intact. Regazzoni does this same thing by the magic influence of his will. The moment at which this isolation takes place is distinctly visible in a peculiar trembling of the patient. I recommend a small French publication entitled “Antoine Regazzoni de Bergame à Francfort sur Mein,” by L. A. V. Dubourg (Frankfurt, Nov. 1854, 31 pages in 8vo.) on Regazzoni’s feats and the unmistakeably genuine character they bear for everyone who is not entirely devoid of all sense for organic Nature.

In the “Journal du Magnétisme,” edit. Dupotet, of the 15th August, 1856, in criticizing a treatise: “De la Catalepsie, mémoire couronné,” 1856, in 4to, the reviewer, Morin, says: “La plupart des caractères qui distinguent la catalepsie, peuvent être obtenus artificiellement et sans danger sur les sujets magnétiques, et c’est même là une des expériences les plus ordinaires des séances magnétiques.” [Add. to 3rd ed.]

<sup>124</sup> “Mittheilungen über die Somnambule, Auguste K., in Dresden.” 1845, pp. 115, 116, and 318.

<sup>125</sup> See extract from the English periodical “Britannia,” in “Galignani’s Messenger,” of the 23rd October, 1851.

<sup>126</sup> Szapary, “Ein Wort über Animalischen Magnetismus, Seelenkörper and Lebensessenz” (1840).

<sup>127</sup> “Oder physische Beweise, dass der Animalisch-magnetische Strom das Element, and *der Wille das Princip alles geistigen und Körperlichen Lebens sei.*”

<sup>128</sup> Bacon, “Instaur. Magna,” L. III.

<sup>129</sup> Plin. hist. nat. L. 30, c. 3. [Add. to 3rd ed.]

<sup>130</sup> Apuleius, “Oratio de Magia,” p. 104. Bip.

<sup>131</sup> Bacon, “Silva Silvarum,” § 997.

<sup>132</sup> In the “Times” of June the 12th, 1855, we find, p. 10, the following: —

“A Horse-charmer.

“On the voyage to England the ship ‘Simla’ experienced some heavy weather in the Bay of Biscay, in which the horses suffered severely, and some, including a charger of General Scarlett, became unmanageable. A valuable mare was so very bad, that a pistol was got ready to shoot her and to end her misery; when a Russian officer recommended a Cossak prisoner to be sent for, as he was a ‘juggler’ and could, by charms, cure any malady in a horse. He was sent for, and immediately said he could cure it at once. He was closely watched, but the only thing they could observe him do was to take his sash off and tie a knot in it three several times. However the mare, in a few minutes, got on her feet and began to eat heartily, and rapidly recovered.” [Add. to 3rd ed.]

<sup>133</sup> Kieser, “Archiv, für den thierischen Magnetismus,” vol. v. heft 3, p. 106; vol. viii. heft 3, p. 145; vol. ix. heft 2, p. 172; and vol. ix. heft 1, p. 128; Dr. Most’s book likewise: “Über Sympathetische Mittel und Kuren,” 1842, may be used as an introduction to this matter. (And even Pliny indicates a number of charm-cures in the 28th Book, chaps. 6 to 17. [Add. to 3rd ed.]

<sup>134</sup> Delrio. “Disqu. Mag.” L. III. P. 2, q. 4, s. 7 — and Bodinus, “Mag. Dæmon,” iii. 2.



<sup>135</sup> See note 2, p. 334, especially pp. 40, 41, and Nos. 89, 91, and 97 of Most's book.

<sup>136</sup> Kieser, "Archiv. f. t. M." See the account of Bende Bensen's illness, vol. ix. to vol. xii.

<sup>137</sup> Plutarch, "Symposiacæ quæstionis," qu. v. 7. 6.

<sup>138</sup> Kant, "First Principles of Ethical Metaphysic," 3rd edition, p. 105.

<sup>139</sup> D. Tiedemann, "Disputatio de quæstione, quæ fuerit artum magicarum origo." Marb. 1787. A prize-essay written for the Göttingen Society.

<sup>140</sup> Here and there, Plotinus betrays a more correct knowledge, for instance, "Enn." ii. lib. iii. c. 7; "Enn." iv. lib. iii. c. 12, et lib. ix. c. 3.

<sup>141</sup> Delrio, "Disq. mag." L. ii. qu. 2. Agrippa a Nettesheym, "De Vanit. Scient." c. 45.

<sup>142</sup> Roger Bacon already in the thirteenth century said: ... "*Quod si ulterius aliqua anima maligna cogitat fertiter de infectione alterius atque ardentem desideret et certitudinaliter intendat, atque vehementer consideret se posse nocere, non est dubium quin natura obediet cogitationibus animæ.*" (See Rogeri Bacon, "Opus Majus," Londini, 1733, p. 252.)

<sup>143</sup> Theophrastus Paracelsus, Strassburg edition in two folio vols., vol. i, pp. 91, 353, et seqq. and p. 789; vol. ii. pp. 362, 496.

<sup>144</sup> Vol. i. p. 19.

<sup>145</sup> "De occulta philosophia," lib. 1, c. 66.

<sup>146</sup> *Ibid.* c. 67.

<sup>147</sup> "De occulta philosophia," lib. 1, cc. 66, 67 et 68.

<sup>148</sup> *Ibid.* p. 440: *Addunt Avicennæ dictum: "Ad validam alicujus imaginationem cadit camelus."* *Ibid.* p. 478, speaking of charms: *fascinatio ne quis cum muliere coeat*, he says: *Equidem in Germania complures allocutus sum vulgari cognomento Necromantistas, qui ingenue confessi sunt, se firme satis credere, meras fabulas esse opiniones, quæ de dæmonibus vulgo circumferuntur, aliquid tamen ipsos operari, vel vi herbarum commovendo phantasiam, vel vi imaginationis et fidei vehementissimæ, quam ipsorum nugacissimis confictis excantationibus adhibent ignaræ mulieres,*

*quibus persuadent, recitatis magna cum devotione aliquibus preculis, statim effici fascinum, quare credulae ex intimo cordis effundunt excantationes, atque ita, non vi verborum, neque characterum, ut ipsae existimant, sed spiritibus<sup>188</sup>, fascini inferendi percupidis exsufflatis proximos effascinant. Hinc fit, ut ipsi Necromantici, in causa propria, vel aliena, si soli sint operarii, nihil unquam mirabile praestiterint: carent enim fide, quae cuncta operatur.* [Add. to 3rd ed.]

<sup>188</sup> Schopenhauer has added to *spiritibus* in parenthesis (*sc. vitalibus et animalibus*).

<sup>149</sup>

“Der Teufel hat sie’s zwar gelehrt;

Allein der Teufel kann’s nicht machen.” — Faust.

[Add. to 3rd ed.]

<sup>150</sup> De incantationibus. Opera Basil. 1567, p. 44.

<sup>151</sup> German translation, Amsterdam, 1695, pp. 126 to 151, especially the pages headed “the power of calm will.”

<sup>152</sup> Horst, “Zauberbibliothek” (Library of Magic), vol. i. p. 325.

<sup>153</sup> J. Böhme, “Erklärung von sechs Punkten,” under Punkt v.

<sup>154</sup> Campanella, “De sensu rerum et magia,” l. iv. c. 18.

<sup>155</sup> Krusenstern’s words are: “A universal belief in witchcraft, which is held to be very important by all islanders, seems to me to be connected with their religion; for they assert that the priests alone possess magic power, although some of the common people also, it is said, profess to have the secret, probably in order to make themselves feared, and to exact presents. This sorcery, which they call *Kaha*, consists in inflicting a lingering death upon those to whom they bear a grudge, twenty days being however fixed as the term for this. They go to work as follows. Whoever wishes to practise revenge by means of sorcery, seeks to procure either saliva or urine or excrements of his enemy in some way or other. These he mixes with a powder, lays the compound in a bag which is woven in a special manner, and buries it. The most important secret is in the art of weaving the bag in the right way and of preparing the powder. As soon as it is buried, the effects show themselves in the person who is the object of this witchcraft. He sickens, becomes daily weaker, loses at last all his strength,

and in twenty days is sure to die. If, on the other hand, he attempts to divert his enemy's revenge from himself by offering up a pig, or making some other valuable present in order to save his life, he may yet be saved, even on the nineteenth day, and no sooner is the bag unburied, than the attacks of illness cease. He recovers gradually, and after a few days is quite restored to health."— "Reise um die Welt." Ed. in 12mo, 1812, Part i., p. 249 *et seq.* [Add. to 3rd ed.]

<sup>156</sup> Kieser, "Archiv für thierischen Magnetismus," vol. ix. s. i. in the note, pp. 128-132.

<sup>157</sup> They scent something of the

"Nos habitat, non tartara sed nec sidera cœli:

Spiritus in nobis qui viget, illa facit."

(Not in the heavens it lives, nor yet in hell;

The spirit that does it all, doth in us dwell.)

Compare Johann Beaumont, "Historisch-Physiologisch-und Theologischer Tractat von Geistern, Erscheinungen, Hexereyen und andern Zauber-Händeln, Halle im Magdeburgischen, 1721," p. 281. [Add. to 3rd ed.]

<sup>158</sup> Compare Parerga, vol. i. p. 257 (2nd ed. vol. i. p. 286).

<sup>159</sup> On the 4th of August, 1856, the Roman Inquisition issued a circular to all the bishops, in which it called upon them in the name of the Church to use their utmost influence against the practice of Animal Magnetism. The reasons for this are given with striking want of lucidity and great vagueness, and even here and there are not unmixed with falsehood; and it is easy to see that the Church is reluctant to own the real reason. This circular is published in the "Turin Journal" of December, 1856, and again in the French "Univers," and reprinted from this in the "Journal des Débats" of January 3rd, 1857. [Add. to 3rd ed.]

<sup>160</sup> According to a Chinese official Report on the census, printed in Pekin, and found by the English in the Chinese Governor's palace on entering Canton, China had 396 millions of inhabitants in 1852, and allowing for a constant increase, may now have 400 millions. ("Moniteur de la Flotte," end of May, 1857.)

The Reports of the Russian Clerical Mission in Pekin give the returns of 1842 as 414,687,000.

According to the tables published by the Russian Embassy at Peking, the population, in 1849, amounted to 415 millions. ("Post-Zeitung," 1858.) [Add. to 3rd ed.]

<sup>161</sup> For the benefit of those who wish to acquire a fuller knowledge of Buddhism, I here note down those works belonging to its literature, and written in European languages, which I can really recommend, for I possess them and know them well; the omission of a few others, for instance of Hodgson's and A. Rémusat's books, is intentional.

1. "Dsanglun, or the Sage and the Fool," in Tibetan and German, by I. J. Schmidt, Petersburg, 1843, 2 vols. in 4to, contains in the preface to vol. i. (*i.e.* the Tibetan volume), from pp. xxxi to xxxviii, a very brief, but excellent, sketch of the whole doctrine, admirably calculated for a first introduction to the knowledge of it: the whole book even, as a part of the Kandshur (canonical books), may be recommended. — 2. In the Memoranda of the Academy of St. Petersburg are to be found several lectures by the same excellent author (I. J. Schmidt), which were delivered in German in that Academy in 1829-1832. As they are of very great value for the knowledge of this religion, it is to be hoped that they will be collected and published all together in Germany. — 3. By the same writer: "Forschungen über die Tibeter und Mongolen." Petersb. 1829, in 4to. (Investigations concerning the Tibetans and Mongols). — 4. By the same writer: "Über die Verwandtschaft der gnostisch-theosophischen Lehren mit dem Buddhismus," 1828. (On the relation between the Gnostic-Theosophic Doctrines and Buddhism.) — 5. By the same: "Geschichte der Ost-Mongolen," Petersb. 1829, in 4to. (History of the Eastern Mongols.) [This is very instructive, especially the explanations and appendix, which give long extracts from writings on Religion, in which many passages clearly show the deep meaning and breathe the genuine spirit of Buddhism. — Add. to 3rd ed.] — 6. Two treatises by Schiefner in German, in the "Mélanges Asiatiques tirés du Bulletin Historico-Philol. de l'Acad. d. St. Pétersburg," Tome 1, 1851. — 7. "Samuel Turner's journey to the Court of the Teshoo-Lama" (at the end), 1801. — 8. Boehner, "La Vie ascétique chez les Indous et les Bouddhistes," Strasbourg, 1831. — 9. In the 7th vol. of the "Journal Asiatique," 1825, an extremely beautiful biography of Buddha by Deshautesayes. — 10. Bournouf, "Introd. à l'Hist. d. Bouddhisme," vol. i. in 4to, 1844. — 11. "Rgya Tsher Rolpa," traduit du Tibétain, par Foucaux, 1848, in 4to. This is the "Lalita Vistara," *i.e.* life of Buddha, the gospel of the Buddhists. — 12. "Foe Koue Ki, relation des royaumes Bouddhiques," traduit du Chinois par Abel Rémusat, 1836, in 4to. — 13. "Description du Tibet," traduit du Chinois en Russe par Bitchourin, et du Russe en Français par Klaproth, 1831. — 14. Klaproth, "Fragments Bouddhiques," printed separately from the "Nouveau Journal Asiatique," Mars, 1831. — 15. Spiegel, "De officiis sacerdotum Buddharum," Palice et Latine, 1841. — 16. The same author's "Anecdota Palica," 1845. — [17. "Dhammapadam," palice edidit et latine vertit Fausböll, Hovniæ, 1855. — Add. to 3rd ed.] — 18. Asiatic Researches, vol. vi. Buchanan, "On the Religion of the Burmas," and vol. xx. (Calcutta, 1839), Part 2, contains three important articles by

Csoma Körösi, including Analyses of the Books of the Kandshur. — 19. Sangermano, “The Burmese Empire,” Rome, 1833. — 20. Turnour, “The Mahawanzo,” Ceylon, 1836. — 21. Upham, “The Mahavansi, Raja Ratnacari et Rajavali,” 3 vols. 1833. — 22. *ejusd.* “Doctrine of Buddhism,” 1839, fol. — 23. Spence Hardy, “Eastern Monachism,” 1850. — 24. *ejusd.* “Manual of Buddhism,” 1853. The two last books, written after a twenty years’ stay in Ceylon and from oral information supplied by the priests there, have given me a deeper insight into the essence of the Buddhist dogma than any other work. They deserve to be translated into German, but without abridgement, for otherwise the best part might be left out. — [25. C. F. Köppen, “Die Religion des Buddha,” 1857, a complete compendium of Buddhism, compiled not only with great erudition and serious industry but also with intelligence and insight from all the other works I have mentioned above and from many more besides, which contains all that is essential on the subject. — 26. “The Life of Buddha,” from the Chinese of Palladji, in the “Archiv für wissenschaftliche Kunde von Russland,” edited by Erman, vol. xv. Heft 1, 1856. — Add. to 3rd ed.]

[162](#) This is equivalent to imputing to the Chinese the thought, that all princes on earth are tributary to their Emperor. [Add. to 3rd ed.]

[163](#) “Description du Tibet,” traduite du Chinois en Russe par Bitchourin, et du Russe en Français par Klaproth, Paris, 1831, p. 65. Also in the “Asiatic Journal” new series, vol. i. p. 15. [Köppen, “Die Lamaische Hierarchie,” p. 315. — Add. to 3rd ed.]

[164](#) “Lettres édifiantes,” édit. de 1819, vol. viii. p. 46.

[165](#) “Description of the Burman Empire,” Rome. 1833. p. 81.

[166](#) Colebrooke, “Transactions of the Royal Asiatic Society,” vol. i.; “Essay on the Philosophy of the Hindoos,” published also among his “Miscellaneous Essays,” p. 236.

[167](#) “Investigations concerning the Tibetans and Mongols,” p. 180.

[168](#) Morrison, “Chinese Dictionary,” Macao, 1815, and following years, vol. i. p. 217.

[169](#) Upham, “History and Doctrine of Buddhism,” London, 1829, p. 102.

[170](#) Neumann, “Die Natur-und Religions-Philosophie der Chinesen, nach den Werken des Tehu-hi,” pp. 10, 11.

<sup>171</sup> The following account given by an American sea-captain, who had come to Japan, is very amusing from the *naïveté* with which he assumes that mankind consists exclusively of Jews. For the “Times” of the 18th October, 1854, relates that an American ship, under command of Captain Burr, had arrived in Jeddo Bay, and gives his account of the favourable reception he met with there, at the end of which we find: “He likewise asserts the Japanese to be a nation of Atheists, denying the existence of a God and selecting as an object of worship either the spiritual Emperor at Meaco, or any other Japanese. He was told by the interpreters that formerly their religion was similar to that of China, but that the belief in a supreme Being has latterly been entirely discarded — (this is a mistake) — and he professed to be much shocked at Deejunoskee (a slightly Americanised Japanese), declaring his belief in the Deity.” [Add. to 3rd ed.]

<sup>172</sup> Édition de, 1819, vol. xi. p. 461.

<sup>173</sup> Book iv. ch. i.

<sup>174</sup> To be found in the “Asiatic Journal,” vol. xxii. anno 1826, pp. 41 and 42.

<sup>175</sup> A note of Schopenhauer’s referring to this says:— “According to letters from Doss” (a friend of S.’s), “dated 26th February and 8th June, 1857, the passages I have here quoted are to be found in Morrison’s Chinese Dictionary, Macao, 1815, vol. i. p. 576, under 天 Tëen, although in a slightly different order, in nearly the same words. The important passage at the end alone differs and is as follows: ‘Heaven makes the mind of mankind its mind: in most ancient discussions respecting Heaven, its mind, or will, was *divined* (it stands thus, and not *derived*) from what was the will of mankind.’ — Neumann translated this passage for Doss, independently of Morrison’s rendering, and the end was: ‘Through the heart of the people Heaven is usually revealed.’” [Editor’s Note.]

<sup>176</sup> Neumann, “Die Natur-und Religions-Philosophie der Chinesen, nach dem Werke des Tschu-hi,” an article in Illgen’s “Periodical for Historical Theology,” vol. vii. 1837, from pp. 60 to 63.

<sup>177</sup> See my prize-essay “On the Fundament of Morality,” § 6.

<sup>178</sup> “Die Wissenschaftslehre in allgemeinen Umrissen” (The Doctrine of Science in a general outline), 18, 10.

<sup>179</sup> For instance, “Eth.” iv. prop. 37, Schol. 2.

<sup>180</sup> The language of truth is simple. [Tr.’s add.]

[<sup>181</sup>](#) Self-existence; self-dependence.

[<sup>182</sup>](#) “Eth.” i. def. 7. [Tr.]

[<sup>183</sup>](#) Compare “Parerga,” i. p. 115, *et seqq.* (p. 133 of 2nd ed.).

[<sup>184</sup>](#) Aristot. “De Cælo,” i. 12.

[<sup>185</sup>](#) “These two go together, the uncreated is imperishable, and the imperishable is uncreated.... For the created and the perishable go together.... If a thing is created it is necessarily perishable.” [Tr.]

[<sup>186</sup>](#) I refer those who may wish to be briefly, yet thoroughly, informed on this point, to the late Pasteur Bochinger’s work: “La vie contemplative, ascétique et monastique chez les peuples Bouddhistes,” Strasbourg, 1831.

[<sup>187</sup>](#) Shakespeare, “Love’s Labour’s Lost.”

# ON THE BASIS OF MORALITY



*Translated by Arthur Broderick Bullock*

This major work on ethics argues that morality stems from compassion. Schopenhauer begins with a criticism of Kant's *Groundwork of the Metaphysic of Morals*, which he considers to be the clearest explanation of Kantian ethics.

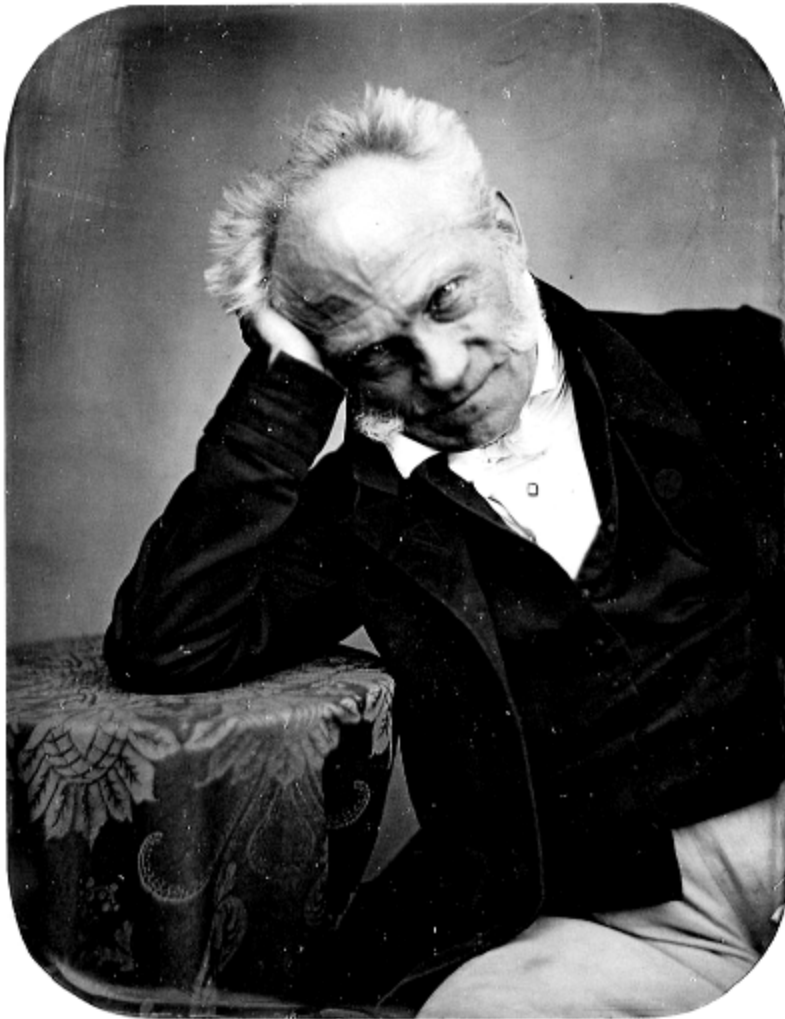
The treatise was written as a response to a question posed by the Royal Danish Society of Scientific Studies in 1837 for an essay contest. The question was, "Are the source and foundation of morals to be looked for in an idea of morality lying immediately in consciousness (or conscience) and in the analysis of other fundamental moral concepts springing from that idea, or are they to be looked for in a different ground of knowledge?" Schopenhauer submitted the only entry to the contest in July 1839, though he was not awarded the prize. On January 17, 1840, the society published a response to the essay, in which they refused to present him with the prize, claiming that he had misunderstood the question. Schopenhauer on the preface of the essay's publication went on to analyse the original question and preamble, later stating "I have proved incontrovertibly that the Royal Danish Society really did ask what it denies having asked; and on the contrary that it did not ask what it claims to have asked, and indeed could not even have asked it."

The Society's Judicium also read "Nor should it go unmentioned that several distinguished philosophers of recent times are mentioned in such an indecent fashion as to provoke just and grave offence". In response Schopenhauer, outraged, wrote: "These 'distinguished philosophers' are in fact — Fichte and Hegel!" This was followed by a series of invectives and quoting Hegel, attempting to show with three different examples that Hegel "lacked even common human understanding".

*On the Basis of Morality* is divided into four sections. The first section is an introduction in which Schopenhauer provides his account of the question posed by the Royal Danish Society and his interpretation of the history of western ethics. In the second section, Schopenhauer embarks on a criticism of Kantian ethics, which he views as the orthodoxy in ethics. The third



section of the work is Schopenhauer's positive construction of his own ethical theory. The final section of the work provides a brief description of the metaphysical foundations of ethics.



*Daguerreotype of Schopenhauer, 1852*

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Grundlegung  
zur  
**Metaphysik**  
der Sitten  
von  
Immanuel Kant.



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Riga,  
bey Johann Friedrich Hartnoch  
1785.

*The first edition's title page for Kant's seminal work 'Groundwork of the Metaphysic of Morals'*

## TRANSLATOR'S PREFACE.

This translation was undertaken in the belief that there are many English-speaking people who feel more than a merely superficial interest in ethical research, but who may not read German with sufficient ease to make them care to take up the original. The present Essay is one of the most important contributions to Ethics since the time of Kant, and, as such, is indispensable to a thorough knowledge of the subject. Moreover, from whatever point of view it be regarded, — whether the reader find, when he closes the book, that his conviction harmonises with the conclusion reached, or not; it would be difficult to find any treatise on Moral Science more calculated to stimulate thought, and lift it out of infantile imitation of some prescribed pattern. The believer in the Kantian, or any other, basis of Ethics, could hardly measure the strength or the weakness of his own position more surely than by comparing it with the Schopenhauerian; while he who is yet in search of a foundation will find much in the following pages to claim his attention.

Those acquainted with the luminous imagery, the subtle irony, the brusque and penetrating vigour of the German, will doubtless admit that it is no easy task to reduce Schopenhauer to adequate English prose; and if this has been attempted by the present writer, no one can be more conscious than he of the manifold shortcomings discoverable. But such as it is, the work is heartily offered to all who still follow the true student's rule, "Gladig wolde he lerne und gladig teche," with the single hope that it may help, however slightly, to widen their knowledge, and ripen their judgment.

My friend, R. E. Candy, Esq., I.C.S., has kindly given me information concerning several Indian names.

ROME: *June*, 1902.

## TRANSLATOR'S INTRODUCTION.

“Ὅν δὲ θεοὶ τιμῶσιν, ὃ καὶ μωμεύμενος αἶνεῖ.  
— Theognis: 169.

In 1837 the Danish Royal Society of Sciences propounded, as subject for a prize competition, the question with which this treatise opens; and Schopenhauer, who was glad to seize the opportunity of becoming better known, prepared, and sent to Copenhagen, the earliest form of “The Basis of Morality.” In January, 1840, the work was pronounced unsuccessful, though there was no other candidate. In September of the same year it was published by the author, with only a few unimportant additions, but preceded by a long introduction, which, cast in the form of an exceedingly caustic philippic, is, in its way, a masterpiece. In 1860, (only a month before Schopenhauer’s death,) the second edition was printed with many enlargements and insertions, the short preface, dated August being one of the last things he wrote.<sup>1</sup>

The reason why the prize was withheld is not far to seek, and need not detain us. At that time the philosophical atmosphere was saturated with Hegel, and, to a certain extent, with Fichte; hence it is easy to imagine with what ruffled, not to say, scandalised feelings the Academy must have risen from its perusal of the work. Moreover, putting Hegel and Fichte out of the question, the position advanced was in 1840 so new, indeed so paradoxical (as Schopenhauer himself admits); there is at times such an aggressiveness in the style; the whole essay is so much more calculated to startle than to conciliate; that we cannot feel much surprise at the official decision.

In the Judgment published by the Society three reasons are given for its unfavourable attitude. The second is declared to be not only dissatisfaction with the mode of discussion (*ipsa disserendi forma*), but also inability to see that Schopenhauer proves his case. As the third is alleged the “unseemly” language employed in connection with certain “*summi philosophi*” (Hegel and Fichte). These two objections are of course in themselves perfectly legitimate, and how far the Academy was right or wrong may be left for the reader to determine.

But the first reason stated is of a different kind, and affords as neat an instance of self-stultification proceeding *ex cathedra* as can well be found. It is true that the question is worded vaguely enough, but if it means anything, it asks where the “*philosophiae moralis fons et fundamentum*” — the foundation of moral science — is to be sought for, *i.e.*, where it is to be found. Turning to the Judgment we read: “He” (Schopenhauer) “has omitted to deal with the essential part of the question, apparently thinking that he was required to establish some fundamental principle of Ethics”: which he was required to do, unless the Society’s Latin is borrowed from *Νεφλοκοκκυγία*. And then it goes on to declare that he treated as secondary, indeed as an *opus supererogationis*, the very thing which the Academy intended should occupy the first place, namely, the connection between Metaphysics and Ethics.<sup>2</sup> But the “*metaphysicae et ethicae nexus*,” so far from being formulated in the question as the chief point to be considered, is not even mentioned! The Society thus denies having asked what it actually did ask, while the discussion, which it asserts was specially indicated, is not suggested by a single word. Its embarrassment is sufficiently shown by this unworthy shifting, to enlarge upon which would here be out of place.<sup>3</sup>

It is not intended to offer any criticism either on Schopenhauer’s main position in this essay, or on the various side-issues involved. The reader is supposed to be accurately acquainted with the fundamentals of his philosophy, as contained in *Die Welt als Wille und Vorstellung*, and is invited to be the critic himself. But perhaps a few remarks on the structure and general trend of the work may not be amiss.

After preliminary considerations, partly to show the difficulty of the subject, partly to clear the ground (Part I.), the treatise opens with a searching critique of Kant’s Ethical Basis, of the Leading Principle of his system, and of its derived forms. (Part II., Chapters I.-VI.)<sup>4</sup> Schopenhauer’s conclusion is that the Categorical Imperative is a very cleverly woven web, yet in reality nothing but the old theological basis in disguise, the latter being the indispensable, if invisible, clothes’ peg for the former; and that Kant’s *tour de main* of deducing his Moral Theology from Ethics is like inverting a pyramid. The theory of Conscience is next discussed (Chapter VII.). The half-supernatural element which Kant introduced under the highly dramatic form of a court of justice holding secret session in the



breast, is examined, and eliminated; and Conscience is defined as the knowledge that we have of ourselves through our acts.

But if, so far, the result obtained is distinctly unfavourable to Kant, Schopenhauer is glad to agree with him on one point, namely, the theory of Freedom, to a brief notice of which he now passes (Chapter VIII.). He points out that the solution of this question is found in the doctrine of the co-existence of Liberty and Necessity: according to which the basis of our nature, the so-called Intelligible Character, that lies outside the forms attaching to phaenomena, namely, Time, Space, and Causality, is transcendently free; while the Empirical Character, together with the whole person, being, as a phaenomenon, the transient objectivation of the Intelligible Character, under the laws of the *principium individuationis*, is strictly determined.<sup>5</sup> Part II. closes with a sufficiently amusing examination of Fichte (Chapter IX.). His proper function is shown to be that of a magnifying glass for Kant. By means of this powerful human lens we can see the monstrous shapes into which the Kantian pet creations are capable of developing. Thus we find the Categorical Imperative become a Despotic Imperative, the “Absolute Ought” grown into a fathomless inscrutable *Εἰσαρμένη*, etc.

With Part III. we reach the positive part of the work. Schopenhauer begins (Chapter I.) by emphasising the necessity of finding a basis for Ethics that appeals, not to the intellect, but to the intuitive perception. Such (he says) can never be any artificial formula, which surely crumbles to powder beneath the rough touch of real life; rather must it be something springing out of the heart of things, and therefore lying at the root of man’s nature. But is there, he asks (Chapter II.), after all, such a thing as natural morality? Is anything good ever done absolutely without an egoistic motive? The conclusion arrived at is that, although much may be, and has been, at all times, said in favour of the Sceptical View, and although this view is in fact true as regards the greater number of apparently unselfish acts, yet there can be no doubt that truly moral conduct does occur, that deeds of justice and loving-kindness are occasionally performed without the smallest hope of reward, or fear of punishment involved in their omission. The last paragraph of this chapter is important because it puts in the clearest light what, according to Schopenhauer, is the end of Ethics. Its aim, he says, is not to treat of that which people ought to do (for “ought” has no place except in theological Morals, whether explicit, or implicit); but “to

point out all the varied moral lines of human conduct; to explain them; and to trace them to their ultimate source.” This definition, which assigns no educative function to Ethics, strictly agrees with the doctrine of the unchangeableness of character. (*V. Chapter IX. of this Part.*)

Our philosopher then proceeds to show (*Chapter III.*) that there are two fundamental “antimoral” incentives in man’s nature: Egoism and Malice. Be it, however, here remarked that a still simpler classification would reduce these two to one. Malice may well be regarded as nothing but Egoism carried to its extreme, developed to gigantic proportions. It is a distinct source of gratification to certain natures to witness the suffering of another; because a diminution of the latter’s capacity for action, whether effected by itself, or not, is regarded by an ego of this kind as an increase of its own power to do as it likes, — as an enhancement of its own glorification.

In *Chapter IV.* the ultimate test of truly moral conduct is explained to be the absence of all egoistic motivation; and in *Chapters V.-VII.*, by a process of careful reasoning, every human act is traced to one of three original springs, namely, (1) Egoism, (2) Malice, and (3) Compassion; or to a combination of (1) and (3), or (1) and (2).<sup>6</sup> Of these the third is shown to be the only counter-motive to the first and second, and in fact the sole source of the two cardinal virtues, justice and loving-kindness, which are explained as the manifestation of Compassion in a lower, and a higher, degree, respectively. In the course of the demonstration the question as to how far a lie is legitimate comes incidentally under discussion; as also the theory of Duty; duties being defined as “actions, the simple omission of which constitutes a wrong.” (*Cf. Part II., Chapter III.*)

The position now reached, namely, that Compassion is the one and only fount of true morality, because it is the sole non-egoistic source of action, is (says Schopenhauer) a strange paradox; hence the testimony of experience and of universal human sentiment is appealed to, in confirmation of it, under nine different considerations (*Chapter VIII.*). They are as follows: —

(1) An imaginary case.

(2) Cruelty, which means the maximum deficiency in Compassion, is the mark of the deepest moral depravity. Therefore the real moral incentive must be Compassion.

(3) Compassion is the only thoroughly effective spring of moral conduct.

(4) Limitless Compassion for all living things is the surest and most certain token of a really good man.

(5) The evidence of separate matters of detail.

(6) Compassion is more easily discerned in its higher power; it is more obviously the root of loving-kindness than of justice.

(7) Compassion does not stop short with men; it includes all living beings.

(8) Considered simply from the empirical point of view, Compassion is the best possible antidote to Egoism, no less than the most soothing balsam for the world's inevitable suffering.

(9) Rousseau's testimony is quoted, as well as passages from the Pañcātāntra, Pausanias, Lucian, Stobaeus, and Lessing; and reference is made to Chinese Ethics and Hindu customs.

Part III. closes (Chapter IX.) with an inquiry into the Ethical Difference of Character. The theory that this difference is innate and immutable is supported by numerous extracts from various writers of all periods, and illustrated in many ways. But all the evidence accumulated hardly amounts to more than so many hints and indications, and the matter (says Schopenhauer) was only satisfactorily explained by Kant's doctrine of the Intelligible and Empirical Character. (Cf. Part II., Chapter VIII.) According to this, the ethical difference between man and man is an original and ultimate datum, caused by the transcendently free act of the Intelligible Character, that is, the Will, as Thing in itself, outside phaenomena; the Empirical Character being, so to say, the reflection of the Intelligible, mirrored through the functions of our perceptive faculty, namely, Time, Space, and Causality. Hence the former, while manifested in plurality and difference of acts, yet necessarily always wears the same unchangeable features, inasmuch as it is but the appearance-form of the unity behind. If the reader asks why "the essential constitution of the Thing in itself underlying the phaenomenon" is so enormously different in different individuals, it can only be said that our intellect, conditioned, as it is, by the laws of Causality, Space, and Time, has no power to deal with noumena, its range being limited to phaenomena; and that therefore this question is one of those which have no conceivable answer. (Cf. *Die Welt als Wille und Vorstellung*, vol. ii., chap. 50., Epiphilosophie.)<sup>2</sup>

The discussion now terminated points to the conclusion that nine-tenths, or perhaps nineteen-twentieths, of what we do is, more or less, due to Egoism, conscious or unconscious; while acts of real morality, that is, of unselfish justice and pure loving-kindness (admitting that they occur) are to be attributed to Compassion, that is, the sense of suffering with another. Nor is the principle of Altruism new. It is as old as man himself. All the rare and sensitive natures in the world have given utterance to it, each in his own way. Like a golden thread it runs from the earliest Indian literature to George Eliot, to Tolstoï; and every day, for unnumbered ages, “from youth to eld, from sire to son,” in lowly dwellings and in princes’ palaces, it has been unawares translated into action.

And if we may forecast the future from the past, it would appear that in all the stormy seas yet to be traversed by the human race, before its little day is spent, Compassion will ever be the surest guide to better things; and that the light of knowledge illuminating the path, whereby the world may become relatively happier, will always vary directly as man’s susceptibility to its promptings: for “Durch Mitleid wissend” is not truer of Parsifal than of all other saviours.

In the fourth Part of the treatise Schopenhauer attempts the metaphysical explanation of Compassion, which for those, who still think that Metaphysics is something more than a pseudo-science of the past — like Alchemy or Astrology — will have special interest.

It should be observed (as is pointed out in our author’s Preface to the first edition) that the line of thought followed does not belong to any particular metaphysical school, but to many; being in fact a principle at the root of the oldest systems in the world, and traceable in one form or another down to Kant. As in the dawn of history it was our own Aryan forefathers, who divined with subtle intuition the ideality of Time and Space; so in the fulness of the ages it was reserved for another Aryan of Scotch descent to formulate the same in exact language. Now, by the vast majority of men the ideality of the *principium individuationis* is undoubtedly either not consciously realised at all, or else but dimly perceived under the form of allegories and mythologies. Yet, if this theory be true, if individuation be only a phaenomenon depending on the subjectivity of Time and Space, then Compassion, and its external expression, the *ἀγάπη* that is greater than Faith and Hope, receive their final explanation. And every *εὐθανασία*; every word

that vibrates in harmony with the inspired rhapsody of 1 Corinthians xiii.; every act of genuine justice, or of true loving-kindness, done by man to man, as well as the uplifting emotion which stirs our hearts at the sight of such conduct: — all these things become fraught with a new and luminous significance: the secret writing is interpreted, its deepest meaning disclosed.

Moreover, the “thou shalt,” and the “thou shalt not,” no less of the various theologies than of the Categorical Imperative, may from this point of view be accounted for, on the ground of the identity of man, so far as he is noumenal, with the transcendental Reality behind phaenomena. The crude threats of punishment and promises of reward, the stern Moral Law, poised in mid air, — these hypotheses, and all their varieties (whose function is in reality nothing else but to check Egoism), are seen to be due to the intellect’s imperfect comprehension of, or rather, its vague groping after, the transcendental unity of life, however individualised and differentiated as a phaenomenon in Time and Space.<sup>8</sup> It thus becomes apparent that the position developed by Schopenhauer in the third and fourth parts of the Essay is not so much destructive, as explanatory, of the usual theories, which, if once the former be fully grasped, lose themselves in it as stars and moon in the light of day. They are at once interpreted, and shown to be no longer of importance. Similarly, all the religions of the world, “which are the Metaphysics of the people,” find their *raison d’être* in the same doctrine. The theory of an external *δημιουργός* takes its place as the natural mode of denoting, in children’s language, the internal metaphysical Entity, whose appearance-form, in terms of our consciousness, is called the Universe. The circle is completed; the discords vanish, and an ultimate harmony is reached. And so over the thrice-tangled skein of phaenominal existence a simplifying and integrating light is shed, showing that the *πᾶν* is but the reflection of the *ἓν*, under the forms of our faculty of perception, namely, Time, Space, and Causality — forms, which necessarily imply plurality and change, on which, again, in the last resort the *Welt-Schmerz* depends.

“The One remains, the many change and pass;

.....

Life, like a dome of many-coloured glass,  
Stains the white radiance of Eternity,  
Until Death tramples it to fragments.”

“What an unspeakable gain,” says Richard Wagner,<sup>9</sup> “we should bring to those who are terrified by the threats of the Church, and, on the other hand, to those who are reduced to despair by our physicists, if we could quicken the noble edifice of ‘Love, Faith, and Hope,’ with a clear consciousness of the ideality of the world, conditioned by the laws of Space and Time, which form the sole basis of our perceptive capacity! In that case all anxious inquiries as to a ‘Where’ and ‘When’ of the ‘other world’ would be understood to be only answerable by a blissful smile. For, if there is a solution to these questions, which seem of such boundless importance, our philosopher has given it with incomparable precision and beauty in the following sentence, which, to a certain extent, is only a corollary to the definition of the ideality of Time and Space: ‘Peace, Rest, and Bliss dwell only there where there is no where, and no when.’” (*V. Schopenhauer: Parerga and Paralipomena*, vol. ii., chap. 3, § 30 bis.)

## ENDNOTES.

<sup>1</sup> He died September 21st.

<sup>2</sup> It should be noticed that this “essential part of the question,” a few lines before, is said to have been passed over altogether (*omisso enim eo, quod potissimum postulabatur*).

<sup>3</sup> Any one who cares to see how this Judgment, the Danish Royal Society of Sciences, Hegel, Fichte, and “Professors of Philosophy” in general, are all pulverised together under our sage’s withering wrath and trenchant irony, should read his Introduction to each Edition.

<sup>4</sup> Incidentally (Chapter III.), duties towards ourselves, properly so called, are shown to be non-existent from the Schopenhauerian standpoint. Cf. the definition of Duty in Part III., Chapter VI.

<sup>5</sup> Schopenhauer treated this subject exhaustively in his Essay on “The Freedom of the Will,” which, written immediately before, and more fortunate than, the present treatise, was awarded the prize by the Royal Norwegian Society of Sciences in January, 1839.

<sup>6</sup> If, as above suggested, Malice be taken as a form of Egoism, we may simplify as follows: —

Egoism.

Compassion.

(a) Lower power: seen in

(a) Lower power: seen in

selfishness, covetousness, etc. justice.

(b) Higher power: seen in

(d) Higher power: seen in

malice, cruelty, etc.

loving-kindness.

Egoism (not in its higher power) may be simultaneously operative with Compassion in every possible proportion.

<sup>7</sup> V. Also the *Neue Paralipomena*, chap. vii.; *Zur Ethik*, § 248, where Schopenhauer calls this “the hardest of all problems.” On the one hand, we have the metaphysical unity of the Will, as Thing in itself, which, as the Intelligible Character, is present, whole and undivided, in all phaenomena, in every individual; on the other hand, we find, as a fact of experience, the widest possible difference in the Empirical Character, no less of animals than of men. That is to say, “*difference*” must be predicated of the Thing in itself! It is obvious that we here touch a contradiction, which, for the rest, lies at the root of the Schopenhauerian doctrine of the Will.

<sup>8</sup> The reader will remember the fine poetic presentment of this view of things, which Goethe with intuitive perception gives in the *Faust*, Part I., where the Erdgeist says:

“*So schaff’ ich am sausenden WEBSTUHL DER ZEIT,  
Und wirke DER GOTTHEIT LEBENDIGES KLEID.*”

<sup>9</sup> V. *Gesammelte Schriften und Dichtungen* von Richard Wagner. Zweite Auflage, vol. x. “Was nützt diese Erkenntnis?” p. 361: — *Welchen unsäglichen Gewinn würden wir aber den einerseits von den Drohungen der Kirche Erschreckten, andererseits den durch unsere Physiker zur Verzweiflung Gebrachten zuführen, wenn wir dein erhabenen Gebäude von “Liebe, Glaube und Hoffnung” eine deutliche Erkenntnis der, durch die unserer Wahrnehmung einzig zu Grunde liegenden Gesetze des Raumes und der Zeit bedingten, Idealität der Welt einfügen könnten, durch welche dann alle die Fragen des beängstigten Gemüthes nach einem “Wo” und “Wann” der “anderen Welt” als nur durch ein seliges Lächeln beantwortbar erkannt werden müssten? Denn, giebt es auf diese, so grenzenlos wichtig dünkenden Fragen eine Antwort, so hat sie unser Philosoph, mit unübertrefflicher Präzision und Schönheit, mit diesem, gewissermaassen nur der Definition der Idealität von Zeit und Raum beigegebenen Ausspruche ertheilt: “Friede, Ruhe, und Glückseligkeit wohnt allein da, wo es KEIN WO UND KEIN WANN giebt.”*



## THE QUESTION

The question advanced by the Royal Society, together with the considerations leading up to it, is as follows: —

*Quum primitiva,’, moralitatis idea, sive de summa lege morali principalis notio, sua quadam ‘propria eaque minime logica necessitate, turn in ea disciplina appareat, cui propositum est cognitionem τοῦ ἠθικοῦ explicare, turn in vita, partim in conscientiae judicio de nostris actionibus, partim in censura morali de actionibus aliorum hominum; quumque complures, quae ab illa ider inseparables sunt, eamque tanquam originem respiciunt, notiones principales ad τὸ ἠθικόν spectantes, velut officii notio et imputationis, eadem necessitate eodemque ambitu vim suam exserant, — et tamen inter eos cursus viasque, quas nostrae aetatis meditatio philosophica persequitur, magni momenti esse videatur, hoc argumentum ad disputationem revocare, — cupit Societas, ut accurate haec quaestio perpendatur et pertractetur:*

*Philosophiae moralis fons et fundamentum utrum in idea moralitatis, quae immediate conscientia contineatur, et ceteris notionibus fundamentalibus, quae ex illa prodeant, explicandis quaerenda sunt, an in alio cognoscendi principio?*

(The original idea of morality, or the leading conception of the supreme moral law, occurs by a necessity which seems peculiar to the subject, but which is by no means a logical one, both in that science, whose object it is to set forth the knowledge of what is moral, and also in real life, where it shows itself partly in the judgment passed by conscience on our own actions, partly in our moral estimation of the actions of others; moreover, most of the chief conceptions in Ethics, springing as they do out of that idea, and inseparable from it (as, for instance, the conception of duty, and the ascription of praise or blame) assert themselves with the same necessity, and under the same conditions. In view of these facts and because it appears highly desirable, considering the trend of philosophic investigation in our time, to submit this matter to further scrutiny; the Society desires that the following question be carefully considered and discussed: —

Is the fountain and basis of Morals to be sought for in an idea of morality which lies directly in the consciousness (or conscience), and in the analysis

of the other leading ethical conceptions which arise from it? or is it to be found in some other source of knowledge?)

## **PART I. INTRODUCTION.**

# CHAPTER I.

## THE PROBLEM.

“Why do philosophers differ so widely as to the first principles of Morals, but agree respecting the conclusions and duties which they deduce from those principles?”

This is the question which was set as subject for a prize essay by the Royal Society of Holland at Harlem, 1810, and solved by J. C. F. Meister; and in comparison with the task before us, the inquiry presented no extraordinary difficulty. For: —

(1) The present question of the Royal Society has to do with nothing less important than the objectively true basis of morals, and consequently of morality. It is an Academy, be it observed, which invites this inquiry; and hence, from its position, it has no practical purpose in view; it asks for no discourse inculcating the exercise of uprightness and virtue, with arguments based on evidence, of which the plausibility is dwelt on, and the sophistry evaded, as is done in popular manuals. Rather, as its aim is not practical, but only theoretical, it desires nothing but the purely philosophical, that is, the objective, undisguised, and naked exposition of the ultimate basis of all good moral conduct, independent of every positive law, of every improved assumption, and hence free from all groundwork, whether metaphysical or mythical. This, however, is a problem whose bristling difficulties are attested by the circumstance that all philosophers in every age and land have blunted their wits on it, and still more by the fact that all gods, oriental and occidental, actually derive their existence therefrom. Should therefore this opportunity serve to solve it, assuredly the Royal Society will not have expended its money amiss.

(2) Apart from this, a peculiar disadvantage will be found to attach to any theoretical examination of the basis of morals, because such an investigation is suspiciously like an attempt to undermine, and occasion the collapse of, the structure itself. The fact is, that in this matter we are apt to so closely associate practical aims with theory, that the well-meant zeal of the former is with difficulty restrained from ill-timed intervention. Nor is it within the power of every one to clearly dissociate the purely theoretical search for objective truth, purged of all interest, even of that of morality as practised, from a shameless attack on the heart's sacred convictions.

Therefore he, who here puts his hand to the plough, must, for his encouragement, ever bear in mind that from the doings and affairs of the populace, from the turmoil and bustle of the market-place, nothing is further removed than the quiet retreat and sanctuary of the Academy, where no noise of the world may enter, and where the only god raised on a pedestal is Truth, in solitary, naked sublimity.

The conclusion from these two premises is that I must be allowed complete freedom of speech, as well as the right of questioning everything; and furthermore, that if I succeed in really contributing something, however small, to this subject, then that contribution will be of no little importance.

But there are still other difficulties obstructing my path. The Royal Society asks for a short monograph setting forth the basis of Ethics entirely by itself; which means to say, independent of its connection with the general system, *i.e.*, the actual metaphysics of any philosophy. Such a demand must not only render the accomplishment of the task more difficult, but necessarily make it imperfect. Long ago Christian Wolff, in his *Philosophia Practica* (P. II., § 28) observed: “*Tenebrae in philosophia practica non dispelluntur, nisi luce metaphysica effulgente*” (Darkness in practical philosophy is only dispersed, when the light of metaphysics shines on it;) and Kant in the Preface to his *Grundlegung zur Metaphysik der Sitten* remarks: “Metaphysics must precede, and is in every case indispensable to, moral philosophy.” For, just as every religion on earth, so far as it prescribes morality, does not leave the latter to rest on itself, but backs it by a body of dogmas (the chief end of which is precisely to be the prop of the moral sense); so with philosophy, the ethical basis, whatever it be, must itself attach to, and find its support in, one system of metaphysics or another, that is to say, in a presupposed explanation of the world, and of existence in general. This is so, because the ultimate and true conclusion concerning the essential nature of the Universe must necessarily be closely connected with that touching the ethical significance of human action; and because, in any case, that which is presented as the foundation of morality, if it is not to be merely an abstract formula, floating in the clouds, and out of contact with the real world, must be some fact or other discoverable either in the objective kosmos, or else in man’s consciousness; but, as such, it can itself be only a phaenomenon; and consequently, like all other phaenomena, it requires a further explanation; and this explanation is supplied by Metaphysics. Philosophy indeed is such a connected whole that

it is impossible to exhaustively discuss any one part without all the others being involved. Thus Plato says quite correctly: *ψυχῆς οὐκ ἔστιν ἀξίως λόγου κατανοῆσαι οἷον δυνατόν εἶναι, ἄνευ τῆς τοῦ ὅλου φύσεως*; (Phaedr., p. 371, Ed. Bip.) (Do you think then it is possible to understand at all adequately the nature of the soul, without at the same time understanding the nature of the Whole, *i.e.*, the totality of things?) The metaphysics of nature, the metaphysics of morals, and the metaphysics of the beautiful mutually presuppose each other, and only when taken as connected together do they complete the explanation of things as they really are, and of existence in general. So that whoever should exactly trace one of these three to its ultimate origin, would be found to have necessarily brought the others into his solution of the problem; just as an absolutely clear and exhaustive understanding of any single thing in the world would imply a perfect comprehension of everything else.

Now if we were to start from a given system of metaphysics, which is assumed to be true, we should reach synthetically a basis of morals, and this basis, being, so to say, built up from below, would provide the resulting ethical structure with a sure foundation. But in the present case, since the terms of the question enforce the separation of ethics from all metaphysics, there remains nothing but the analytic method, which proceeds from facts either of external experience, or of consciousness. It is true that thus the ultimate origin of the latter may be traced back to the human spirit, a source which then, however, must be taken as a fundamental fact, a primary phaenomenon, underivable from anything else, with the result that the whole explanation remains simply a psychological one. At best its connection with any general metaphysical standpoint can only be described as accessory. On the other hand, the fundamental datum, the primary phaenomenon of Ethics, so found in man's nature, could itself in its turn be accounted for and explained, if we might first treat of metaphysics, and then by the synthetic method deduce Ethics from it. This would mean, however, nothing less than the construction of a complete system, of philosophy, whereby the limits of the given question would be far exceeded. I am, therefore, compelled to answer it within the lines which its own isolated narrowness has laid down.

And lastly, there is the following consideration. The basis on which it is here intended to place Ethics will prove to be a very small one; and the

consequence is that of the many lawful, approvable, and praiseworthy actions of mankind, only the minority will be found to spring from purely moral motives, while the majority will have to be attributed to other sources. This gives less satisfaction, has not such a specious glitter as, let us say, a Categorical Imperative, which always stands ready for commands, only that itself in its turn may command what ought to be done, and what ought to be left undone;<sup>1</sup> not to mention other foundations that are entirely material.

I can only, therefore, remind the reader of the saying in Ecclesiastes (iv. 6): “Better is an handful with quietness, than both the hands full with travail and vexation of spirit.” In all knowledge the genuine, proof-resisting, indestructible coefficient is never large; just as in the earth’s metallic strata a hundredweight of stone hides but a few ounces of gold. But whether others will prefer — as I do — the assured to the bulky possession, the small quantity of gold which remains in the crucible to the big lump of matter that was brought along with it; or whether I shall rather be charged with having removed from Ethics its basis, instead of providing one, in so far as I prove that the lawful and commendable actions of mankind often do not contain a particle of pure moral worth, and in most cases only a very little, resting, as they do, otherwise on motives, the sufficiency of which must ultimately be referred to the egoism of the doer; all this I must leave undecided; and I do so, not without anxiety, nay, rather with resignation, because I have long since been of the same mind as Johann Georg von Zimmermann, when he said: “Rest assured until your dying day, that nothing in the world is so rare as a good judge.” (*Ueber die Einsamkeit*; Pt. I., Ch. iii., p. 93.)

For all true and voluntary righteousness, for all loving-kindness, for all nobleness, wherever these qualities may be found, my theory can only point to a very small foundation; whereas my opponents confidently construct broad bases for Morals, which are made strong enough for every possible burden, and are at the same time thrust upon every doubter’s conscience, accompanied with a threatening side-glance at his own morality. As contrasted with these, my own position is indeed in sore and sorry plight. It is like that of Cordelia before King Lear, with her weakly worded assurance of dutiful affection, compared with the effusive protestations of her more eloquent sisters. So that there seems to be need of a cordial that may be furnished by some maxim taken from intellectual hunting grounds, such as,

*Magna est vis veritatis, et praevalebit.* (Great is the strength of truth, and it will prevail.) But to a man who has lived and laboured even this fails to give much encouragement. Meanwhile, I will for once make the venture with truth on my side; and what opposes me will at the same time oppose truth.



## ENDNOTES.

<sup>1</sup> That is, the Categorical Imperative appears at first as your “obedient humble servant,” ready to perform any useful service, *e.g.*, the solving of ethical riddles; while it ends by gaining the upper hand, and commanding. — (*Translator.*)

## CHAPTER II.

### GENERAL RETROSPECT.

For the people morality comes through, and is founded on, theology, as the express will of God. On the other hand, we see philosophers, with few exceptions, taking special pains to entirely exclude this kind of foundation; indeed, so they may but avoid it, they prefer even to find a refuge in sophistry. Whence comes this antithesis? Assuredly no more efficient basis for Ethics can be imagined than the theological; for who would be so bold as to oppose the will of the Almighty and the Omniscient? Unquestionably, no one; if only this will were proclaimed in an authentic, official manner (if one may say so), whereby no possible room for doubt could be left. This, however, is precisely the condition which does not admit of being realised. It is rather the inverse process which is attempted. The law declared to be the will of God men try to accredit as such, by demonstrating its agreement with our own independent, and hence, natural moral views, and an appeal is consequently made to these as being more direct and certain. But this is not all. We perceive that an action performed solely through threat of punishment and promise of reward would be moral much more in appearance than in reality; since, after all, it would have its root in Egoism, and in the last resort the scale would be turned by the greater or less amount of credulity evinced in each case. Now it was none other than Kant who destroyed the foundations of Speculative Theology, which up to his time were accounted unshakable. Speculative Theology had hitherto sustained Ethics, and in order to procure for the former an existence of some sort, if only an imaginary one, his wish was to proceed inversely, and make Ethics sustain Speculative Theology. So that it is now more than ever impossible to think of basing Ethics on Theology; for no one knows any longer which of the two is to be the supporter, and which the supported, and the consequence is a *circulus vitiosus*.

It is precisely through the influence of Kant's philosophy; through the contemporaneous effect of the unparalleled progress made in all the natural sciences, with regard to which every past age in comparison with our own appears childish; and lastly, through the knowledge of Sanskrit literature, and of those most ancient and widest spread faiths, Brahmanism and Buddhism, which, as far as time and space go, are the most important

religions systems of mankind, and, as a matter of fact, are the original native religions of our own race, now well known to be of Asiatic descent — our race, to which in its new strange home they once more send a message across the centuries; — it is because of all this, I say, that the fundamental philosophical convictions of learned Europe have in the course of the last fifty years undergone a revolution, which perhaps many only reluctantly admit, but which cannot be denied. The result of this change is that the old supports of Ethics have been shown to be rotten, while the assurance remains that Ethics itself can never collapse; whence the conviction arises that for it there must exist a groundwork different from any hitherto provided, and adaptable to the advanced views of the age. The need of such is making itself felt more and more, and in it we undoubtedly find the reason that has induced the Royal Society to make the present important question the subject of a prize essay.

In every age much good morality has been preached; but the explanation of its *raison d'être* has always been encompassed with difficulties. On the whole we discern an endeavour to get at some objective truth, from which the ethical injunctions could-be logically deduced; and it has been sought for both in the nature of things, and in the nature of man; but in vain. The result was always the same. The will of each human unit was found to gravitate solely towards its own individual welfare, the idea of which in its entirety is designated by the term “blissfulness” (*Glückseligkeit*); and this striving after self-satisfaction leads mankind by a path very, different to the one morality would fain point out. The endeavour was next made now to identify “blissfulness” with virtue, now to represent it as virtue’s consequence and effect. Both attempts have always failed; and this for no want of sophistry. Then recourse was had to artificial formulas, purely objective and abstract, as well *a posteriori* as *a priori*, from which correct ethical conduct undoubtedly admitted of being deduced. But there was nothing found in man’s nature to afford these a footing, whereby they might have availed to guide the strivings of his volition, in face of its egoistic tendency. It appears to me superfluous to verify all this by describing and criticising every hitherto existing foundation of morality; not only because I share Augustine’s opinion, *non est pro magno habendum quid homines senserint, sed quae sit rei veritas* (It is the truth about a thing, not men’s opinions thereon, that is of importance); but also because it would be like *γλαύκας εἰς Ἀθήνας κομίζειν* (i.e., carrying coals to Newcastle); for

previous attempts to give a foundation to Ethics are sufficiently well-known to the Royal Society, and the very question proposed shows that it is also convinced of their inadequateness. Any reader less well-informed will find a careful, if not complete, presentment of the attempts hitherto made, in Garve's *Uebersicht der vornehmsten Principien der Sittenlehre*, and again, in Stäudlin's *Geschichte der Moralphilosophie*. It is of course very disheartening to reflect that Ethics, which so directly concerns life, has met with the same unhappy fate as the abstruse science of Metaphysics, and that its first principle, though perpetually sought for ever since the time of Socrates, has still to be found. Moreover, we must remember that in Ethics, much more than in any other science, what is essential is contained in its fundamental propositions; the deductions are so simple that they come of themselves. For all are capable of drawing a conclusion, but few of judging. And this is exactly the reason why lengthy text-books and dissertations on Morals are as superfluous as they are tedious. Meantime, if I may postulate an acquaintance with all the former foundations of Ethics, my task will be lightened. Whoever observes how ancient as well as modern philosophers (the Church creed sufficed for the middle ages) have had recourse to the most diverse and extraordinary arguments, in order to provide for the generally recognised requirements of morality a basis capable of proof, and how notwithstanding they admittedly failed; he will be able to measure the difficulty of the problem, and estimate my contribution accordingly. And he who has learned to know that none of the roads hitherto struck on lead to the goal, will be the more willing to tread with me a very different path from these — a path which up to now either has not been noticed, or else has been passed over with contempt; perhaps because it was the most natural one.<sup>1</sup> As a matter of fact my solution of the question will remind many of Columbus' egg.

It is solely to the latest attempt at giving, a basis to Ethics — I mean the Kantian — that a critical examination will be devoted. I shall make it all the more exhaustive, partly because the great ethical reform of Kant gave to this science a foundation having a real superiority to previous ones and partly because it still remains the last important pronouncement in this domain; for which reason it has obtained general acceptance up to the present day, and is universally taught, although differently garnished by certain changes in the demonstration and in the terminology. It is the ethical

system of the last sixty years, which must be removed ere we enter on another path. Furthermore, my criticism of the Kantian basis will give me occasion to examine and discuss most of the fundamental conceptions of Ethics, and the outcome of this investigation I shall later on be able to postulate. Besides, inasmuch as opposites illustrate each other, it is exactly this course which will be the best preparation and guide, indeed the direct way, to my own position, which in its essential points is diametrically opposed to Kant's. It would therefore be a very perverse beginning to skip the following criticism, and turn at once to the positive part of my exposition, which then would remain only half intelligible.

In any case the time has assuredly arrived for once to cite Ethics before the bar of a searching scrutiny. During more than half a century it has been lying comfortably on the restful cushion which Kant arranged for it — the cushion of the Categorical Imperative of Practical Reason. In our day this Imperative is mostly introduced to us under a name which, being smoother and less ostentatious, has obtained more currency. It is called “the Moral Law”; and thus entitled, with a passing bow to reason and experience, it slips through unobserved into the house. Once inside, there is no end to its orders and commands; nor can it ever afterwards be brought to account. It was proper, indeed inevitable, that Kant, as the inventor of the thing, should remain satisfied with his creation, particularly as he shelved by its means errors still more glaring. But to be obliged to look on and see asses disporting themselves on the comfortable cushion which he prepared, and which since his time has been more and more trampled on and flattened out — this truly is hard. I allude to the daily hackney compilers, who, with the ready confidence born of stupidity, imagine that they have given a foundation to Ethics, if they do but appeal to that “Moral Law” which is alleged to be inherent in our reason; and then they complacently weave upon this such a confused and wide-reaching tissue of phrases that they succeed in rendering unintelligible the clearest and simplest relations of life: and all this, without ever once seriously asking themselves whether in point of fact there really does exist such a “Moral Law,” as a convenient code of morality, graven in our heads or hearts.

Hence I admit the especial pleasure I feel in proceeding to remove from Ethics its broad cushion of repose, and I unreservedly declare my intention of proving that Kant's Practical Reason and Categorical Imperative are completely unwarrantable, baseless, and fabricated assumptions; and I shall

further show that Kant's whole system, like those of his predecessors, is in want of a solid foundation. Consequently Ethics will again be consigned to its former entirely helpless condition, there to remain, until I come to demonstrate the true moral principle of human nature — a principle which is incontestably efficient, and has its root in our very being. The latter, however, has no such broad basis to offer as the above-mentioned cushion; so that, doubtless, those who are accustomed to take things easily, will not abandon their comfortable old seat, before they are thoroughly aware how deeply the ground on which it stands is undermined.

## ENDNOTES.

[1](#)

*Io dir non vi saprei per qual sventura,  
O piuttosto per qual fatalità,  
Da noi credito ottien più l'impostura,  
Che la semplice e nuda verità.*

CASTI.

[I cannot tell what mischief sly,  
Or rather what fatality,  
Leads man to credit more the lie  
Than truth in naked purity.]  
(Translator)

## **PART II. CRITIQUE OF KANT'S BASIS OF ETHICS.**



# CHAPTER I.

## PRELIMINARY REMARKS.

It is Kant's great service to moral science that he purified it of all Eudaemonism. With the ancients, Ethics was a doctrine of Eudaemonism; with the moderns for the most part it has been a doctrine of salvation. The former wished to prove that virtue and happiness are identical; but this was like having two figures which never coincide with each other, no matter how they may be placed. The latter have endeavoured to connect the two, not by the principle of identity, but by that of causation, thus making happiness the result of virtue; but to do this, they were obliged to have recourse to sophisms, or else to assume the existence of a world beyond any possible perception of the senses.

Among the ancients Plato alone forms an exception: his system is not eudaemonistic; it is mystic, instead. Even the Ethics of the Cynics and Stoics is nothing but a special form of Eudaemonism, to prove which, there is no lack of evidence and testimony, but the nature of my present task forbids the space.<sup>1</sup>

The ancients, then, equally with the moderns, Plato being the single exception, agree in making virtue only a means to an end. Indeed, strictly speaking, even Kant banished Eudaemonism from Ethics more in appearance than in reality, for between virtue and happiness he still leaves a certain mysterious connection; — there is an obscure and difficult passage in his doctrine of the Highest Good, where they occur together; while it is a patent fact that the course of; virtue runs entirely counter to that of happiness. But, passing over this, we may say that with Kant the ethical principle appears as something quite independent of experience and its teaching; it is transcendental, or metaphysical. He recognises that human conduct possesses a significance that oversteps all possibility of experience, and is therefore actually the bridge leading to that which he calls the “intelligible”<sup>2</sup> world, the *mundus noumenôn*, the world of Things in themselves.

The fame, which the Kantian Ethics has won, is due not only to this higher level, which it reached, but also to the moral purity and loftiness of its conclusions. It is by the latter that most people have been attracted,

without paying much attention to the foundation, which is propounded in a very complex, abstract and artificial form; and Kant himself required all his powers of acumen and synthesis to give it an appearance of solidity. Fortunately, he separated his Ethics from the exposition of its basis, devoting to the latter a special work entitled the *Grundlegung zur Metaphysik der Sitten*, the theme of which will be found to be precisely the same as that of our prize essay. For on page xiii of the preface he says: “The present treatise is nothing else but an attempt to find out and establish the supreme principle of morality. This is an investigation, whose scope is complete in itself, and which should be kept apart from all other moral researches.”. It is in this book that we find the basis, that is to say, the essentials of his Ethics set forth with an acute penetration and systematic conciseness, as in no other of his writings. It has, moreover, the great advantage of being the first of Kant’s moral works, appearing,<sup>3</sup> as it did, only four years later than the *Kritik der Reinen Vernunft*, and consequently it dates from the period when, although he was sixty-one, the detrimental effect of old age on his intellect was not yet perceptible. On the other hand, this is distinctly traceable in the *Kritik der Praktischen Vernunft*, which was published in 1788, or one year later than the unhappy remodelling of the *Kritik der Reinen Vernunft* in the second edition, whereby the latter, his immortal master-piece, was obviously marred. An analysis of this question is to be found in the preface to the new edition by Rosenkranz,<sup>4</sup> from which my own investigation makes it impossible for me to dissent. The *Kritik der Praktischen Vernunft* contains in its essentials the same material as the above-mentioned — *Grundlegung*; only the latter has a more concise and rigorous form, while in the former the subject is handled with greater prolixity, interspersed with digressions and even padded with some pieces of moral rhetoric, to heighten the impression. When Kant wrote it, he had at last, and late in life, become deservedly famous; hence, being certain of boundless attention, he allowed greater play to the garrulity of old age.

But the *Kritik der Praktischen Vernunft* contains two sections which are peculiar to itself. First: the exposition of the relation between Freedom and Necessity (pp. 169-179 of the fourth edition, and pp. 223-231 in Rosenkranz). This passage is above all praise, and undoubtedly was framed earlier in his life, as it is entirely in harmony with his treatment of the same subject in the *Kritik der Reinen Vernunft* (pp. 560-586; Rosenkranz, p. 438, sqq.). And secondly: the *Moraltheologie*, which will more and more come

to be recognised as the real object Kant had in view. In his *Metaphysische Anfangsgründe der Tugendlehre* this *pendant* to the deplorable *Rechtslehre*, written in 1797, the debility of old age is at length fully pre-ponderant. For all these reasons the present criticism will mainly deal with the treatise first mentioned, *viz.*, the *Grundlegung zur Metaphysik der Sitten*, and the reader will please understand that all the page numbers given by themselves refer to it. Both the other works will only be considered as accessory and secondary. For a proper comprehension of the present criticism, which, in probing the Kantian Ethics to its depths, bears directly and principally on this *Grundlegung*, it is very desirable that the latter be carefully read through again, so that the mind may have a perfectly clear and fresh presentment of what it contains. It is but a matter of 128 and xiv pages (in Rosenkranz only 100 pages altogether). I shall quote from the third edition of 1792, adding the page number of the new complete publication by Rosenkranz, with an R. prefixed.

## ENDNOTES.

<sup>1</sup> For a complete demonstration v. *Die Welt als Wille und Vorstellung*, Vol. I., § 16, p. 103, sqq., and Vol. II., Chap. 16, p. 166, sqq. of the third edition. *Die Welt als Wille und Vorstellung*, that is, *The World as Will and Idea*; “Idea” being used much as εἰδωλον sometimes is (cf. Xen. Sym., 4, 21), in the sense of “an image in the mind,” “a mental picture.” — (*Translator.*)

<sup>2</sup> It seems better to keep this technical word than to attempt a cumbrous periphrasis. The meaning is perfectly clear. The *sensibilia* (*phaenomena*) are opposed to the *intelligibilia* (*noumena*), which compose the transcendental world. So the individual, in so far as he is a phaenomenon, has an empirical character; in so far as he is a noumenon, his character is intelligible (*intelligibilis*). The *mundus intelligibilis*, or *mundus noumenon* is the κόσμος νοητὸς of New Platonism. — (*Translator.*)

<sup>3</sup> It was published in 1785: *The Kritik der Reinen Vernunft*, first edition, in 1781. — (*Translator.*)

<sup>4</sup> His analysis is really derived from myself, but in this place I am speaking incognito.

## CHAPTER II.

### ON THE IMPERATIVE FORM OF THE KANTIAN ETHICS.

Kant's *πρῶτον ψεῦδος* (first false step) lies in his conception of Ethics itself, and this is found very clearly expressed on page 62 (R., p. 54): "In a system of practical philosophy we are not concerned with adducing reasons for that which takes place, but with formulating laws regarding that which ought to take place, even if it never does take place." This is at once a distinct *petitio principii*. Who tells you that there are laws to which our conduct ought to be subject? Who tells you that that ought to take place, which in fact never does take place? What justification have you for making this assumption at the outset, and consequently for forcing upon us, as the only possible one, a system of Ethics couched in the imperative terms of legislation? I say, in contradistinction to Kant, that the student of Ethics, and no less the philosopher in general, must content himself with explaining and interpreting that which is given, in other words, that which really is, or takes place, so as to obtain an understanding of it, and I maintain furthermore that there is plenty to do in this direction, much more than has hitherto been done, after the lapse of thousands of years. Following the above *petitio principii*, Kant straightway, without any previous investigation, assumes in the preface (which is entirely devoted to the subject), that purely moral laws exist; and this assumption remains thenceforth undisturbed, and forms the very foundation of his whole system. We, however, prefer first of all to examine the conception denoted by the word "law." The true and original meaning of the term is limited to law as between citizens; it is the *lex*, *νόμος*, of the Romans and Greeks, a human institution, and depending on human volition. It has a secondary, derived, figurative, metaphorical meaning, when applied to Nature, whose operations, partly known *a priori*, partly learnt by experience, and which are always constant, we call natural laws. Only a very small portion of these natural laws can be discerned *a priori*, and with admirable acuteness, Kant set them apart, and classed them under the name "Metaphysics of Nature." There is also undoubtedly a law for the human will, in so far as man belongs to Nature; and this law is strictly provable, admits of no exception, is inviolable, and immovable as the mountains, and does not, like the Categorical Imperative, imply a quasi-necessity, but rather a complete and

absolute one. It is the law of motivation, a form of the law of causation; in other words, it is the causation which is brought about by the medium of the understanding. It is the sole demonstrable law to which the human will as such is subject. It means that every action can only take place in consequence of a sufficient motive. Like causality in general, it is a natural law. On the other hand, moral laws, apart from human institution, state ordinance, or religious doctrine, cannot rightly be assumed as existing without proof. Kant, therefore, by taking such laws for granted, is guilty of a *petitio principii*, which is all the bolder, in that he at once adds (page vi of the preface) that a moral law ought to imply “absolute necessity.” But “absolute necessity” is everywhere characterised by an inevitable chain of consequence; how, then, can such a conception be attached to these alleged moral laws (as an instance of which he adduces “thou shalt not lie”<sup>1</sup>)? Every one knows, and he himself admits, that no such consecution for the most part takes place; the reverse, indeed, is the rule.

In scientific Ethics before we admit as controlling the will other laws besides that of motivation-laws which are original and independent of all human ordinance — we must first prove and deduce their existence; that is, provided in things ethical we are concerned not merely with recommending honesty, but with practising it. Until that proof be furnished, I shall recognise only one source to which is traceable the importation into Ethics of the conception Law, Precept, Obligation. It is one which is foreign to philosophy. I mean the Mosaic Decalogue. Indeed the spelling “du sollt”<sup>2</sup> in the above instance of a moral law, the first put forward by Kant, naïvely betrays this origin. A conception, however, which can point to no other source than this, has no right, without undergoing further scrutiny, thus to force its way into philosophical Ethics. It will be rejected, until introduced by duly accredited proof. Thus on the threshold of the subject Kant makes his first *petitio principii*, and that no small one.

Our philosopher, then, by begging the question in his preface, simply assumes the conception of Moral Law as given and existing beyond all doubt; and he treats the closely related conception of Duty (page 8, R., p. 16) exactly in the same way. Without subjecting it to any further test, he admits it forthwith as a proper appurtenance of Ethics. But here, again, I am compelled to enter a protest. This conception, equally with the kindred notions of Law, Command, Obligation, etc., taken thus unconditionally, has its source in theological morals, and it will remain a stranger to

philosophical morals, so long as it fails to furnish sufficient credentials drawn either from man's nature, or from the objective world. Till then, I can only recognise the Decalogue as the origin of all these connected conceptions. Since the rise of Christianity there is no doubt that philosophical has been unconsciously moulded by theological ethics. And since the latter is essentially dictatorial, the former appears in the shape of precepts and inculcation of Duty, in all innocence, and without any suspicion that first an ulterior sanction is needful for this *rôle*; rather does she suppose it to be her proper and natural form. It is true that all peoples, ages, and creeds, and indeed all philosophers (with the exception of the materialists proper) have undeniably recognised that the ethical significance of human conduct is a metaphysical one, in other words, that it stretches out beyond this phaenomenal existence and reaches to eternity; but it is equally true that the presentment of this fact in terms of Command and Obedience, of Law and Duty, is no part of its essence. Furthermore, separated from the theological hypotheses whence they have sprung, these conceptions lose in reality all meaning, and to attempt a substitute for the former by talking with Kant of absolute obligation and of unconditioned duty, is to feed the reader with empty words, nay more, is to give him a *contradictio in adjecto*<sup>3</sup> to digest.

Every obligation derives all sense and meaning; simply and solely from its relation to threatened punishment or promised reward. Hence, long before Kant was thought of, Locke says: "For since it would be utterly in vain, to suppose a rule set to the free actions of man, without annexing to it some enforcement of good and evil to determine his will; we must, wherever we suppose a law, suppose also some reward or punishment annexed to that law." (*Essay on the Human Understanding*, Bk. II., ch. 33, § 6). What ought to be done is therefore necessarily conditioned by punishment or reward; consequently, to use Kant's language, it is essentially and inevitably hypothetical, and never, as he maintains, categorical. If we think away these conditions, the conception of obligation becomes void of sense; hence absolute obligation is most certainly a *contradictio in adjecto*. A commanding voice, whether it come from within, or from without, cannot possibly be imagined except as threatening or promising. Consequently obedience to it, which may be wise or foolish according to circumstances, is yet always actuated by selfishness, and therefore morally worthless.

The complete unthinkablebleness and nonsense of this conception of an unconditioned obligation, which lies at the root of the Kantian Ethics, appears later in the system itself, namely in the *Kritik der Praktischen Vernunft*: just as some concealed poison in an organism cannot remain hid, but sooner or later must come out and show itself. For this obligation, said to be so unconditioned, nevertheless postulates; more than one condition in the background; it assumes a rewarder, a reward, and the immortality of the person to be rewarded.

This is of course unavoidable, if one really makes Duty and Obligation the fundamental conception of Ethics; for these ideas are essentially relative, and depend for their significance on the threatened penalty or the promised reward. The guerdon which is assumed to be in store for virtue shows clearly enough that only in appearance she works for nothing. It is, however, put forward modestly veiled, under the name of the Highest Good, which is the union of Virtue and Happiness. But this is at bottom nothing else but a morality that derives its origin from Happiness, which means, a morality resting on selfishness. In other words, it is Eudaemonism, which Kant had solemnly thrust out of the front door of his system as an intruder, only to let it creep in again by the postern under the name of the Highest Good. This is how the assumption of unconditioned absolute obligation, concealing as it does a contradiction, avenges itself. Conditioned obligation, on the other hand, cannot of course be any first principle for Ethics, since everything done out of regard for reward or punishment is necessarily an egoistic transaction, and as such is without any real moral value. All this makes it clear that a nobler and wider view of Ethics is needed, if we are in earnest about our endeavour to truly account for the significance of human conduct — a significance which extends beyond phaenomena and is eternal.

As all obligation is entirely dependent on a condition, so also is all duty. Both conceptions are very closely related, indeed almost identical. The only difference between them might be said to be that obligation in general may rest on mere force, whereas duty involves the sense of obligation deliberately undertaken, such as we see between master and servant, principal and subordinate, rulers and the ruled. And since no one undertakes a duty *gratis*, every duty implies also a right. The slave has no duties, because he has no rights; but he is subject to an obligation which rests on sheer force. In the following Part I shall explain the only meaning which the conception “Duty” has in Ethics.



If we put Ethics in an imperative form, making it a Doctrine of Duties, and regard the moral worth or worthlessness of human conduct as the fulfilment or violation of duties, we must remember that this view of Duty, and of Obligation in general, is undeniably derived solely from theological Morals, and primarily from the Decalogue, and consequently that it rests essentially and inseparably on the assumption of man's dependence on another will which gives him commands and announces reward or punishment. But the more the assumption of such a will is in Theology positive and precise, the less should it be quietly and unsuspectingly introduced into philosophical Morals. Hence we have no right to assume beforehand that for the latter the imperative Form, the ordaining of commands, laws, and duties is an essential and a matter of course; and it is a very poor shift to substitute the word "absolute" or "categorical" for the external condition which is indissolubly attached to such conceptions by their very nature: for this gives rise, as explained above, to a *contradictio in adjecto*.

Kant, then, without more ado or any close examination, borrowed this imperative Form of Ethics from theological Morals. The hypotheses of the latter (in other words, Theology) really lie at the root of his system, and as these alone in point of fact lend it any meaning or sense, so they cannot be separated from, indeed are implicitly contained in, it. After this, when he had expounded his position the task of developing in turn a Theology out of his Morals — the famous *Moraltheologie* — was easy enough. For the conceptions which are implicitly involved in his Imperative, and which lie hidden at the base of his Morals, only required to be brought forward and expressed explicitly as postulates of Practical Reason. And so it was that, to the world's great edification, a Theology appeared depending simply on Ethics, indeed actually derived therefrom. But this came about because the ethical system itself rests on concealed theological hypotheses. I mean no derisive comparison, but in its form the process is analogous to that whereby a conjurer prepares a surprise for us, when he lets us find something where he had previously employed his art to place it. Described in the abstract, Kant's procedure is this: what ought to have been his first principle, or hypothesis (*viz.*, Theology) he made the conclusion, and what ought to have been deduced as the conclusion (*viz.*, the Categorical Command) he took as his hypothesis.<sup>4</sup> But after he had thus turned the thing upside down, nobody, not even he himself, recognised it as being what it

really was, namely the old well-known system of theological Morals. How this trick was accomplished we shall consider in the sixth and seventh chapters of the present Part.

Ethics was of course frequently put in the imperative form, and treated as a doctrine of duties also in pre-Kantian philosophy; but it was always then based upon the will of a God whose existence had been otherwise proved, and so there was no inconsequence. As soon, however, as the attempt was made, as Kant attempted, to give a foundation to Ethics independent of this will, and establish it without metaphysical hypotheses, there was no longer any justification for taking as its basis the words “thou shalt,” and “it is thy duty” (that is, the imperative form), without first deducing the truth thereof from some other source.

## ENDNOTES.

<sup>1</sup> Du sollt (*sic*) nicht lügen.

<sup>2</sup> Sollt is the old form for “*sollst*.” Cf. Eng., *shalt*: Icel; *skalt* — (*Translator*.)

<sup>3</sup> A contradiction in the adjective. This occurs when the epithet applied to a noun contradicts its essential meaning. — (*Translator*.)

<sup>4</sup> Like the converse of a geometrical proposition, this Kantian inversion is not necessarily true; its validity, in fact, depends on the conclusion being implicitly contained in the hypothesis. — (*Translator*.)

## CHAPTER III.

### ON THE ASSUMPTION OF DUTIES TOWARDS OURSELVES IN PARTICULAR.

This form of the doctrine of duties was very acceptable to Kant, and in working out his position he left it untouched; for, like his predecessors, along with the duties towards others he ranged also duties towards ourselves. I, however, entirely reject this assumption, and, as there will be no better opportunity, I shall here incidentally explain my view.

Duties towards ourselves must, just as all others, be based either on right or on love. Duties towards ourselves based on right are impossible, because of the self-evident fundamental principle *volenti non fit injuria* (where the will assents, no injury is done). For what I do is always what I will; consequently also what I do to myself is never anything but what I will, therefore it cannot be unjust. Next, as regards duties towards ourselves based on love. Ethics here finds her work already done, and comes too late. The impossibility of violating the duty of self-love is at once assumed by the first law of Christian Morals: "Love thy neighbour as thyself." According to this, the love which each man cherishes for himself is postulated as the *maximum*, and as the condition of all other love; while the converse, "Love thyself as thy neighbour" is never added; for every one would feel that the latter does not claim enough. Moreover, self-love would be the sole duty regularly involving an *opus supererogationis*. Kant himself says in the *Metaphysische Anfangsgründe zur Tugendlehre*, p. 13 (R., p. 230): "That which each man inevitably wills of himself, does not belong to the conception of Duty." This idea of duties towards ourselves is nevertheless still held in repute, indeed it enjoys for the most part special favour; nor need we feel surprise. But it has an amusing effect in cases where people begin to show anxiety about their persons, and talk quite earnestly of the duty of self-preservation; the while it is sufficiently clear that fear will lend them legs soon enough, and that they have no need of any law of duty to help them along.

First among the duties towards ourselves is generally placed that of not committing suicide, the line of argument taken being extremely prejudiced and resting on the shallowest basis. Unlike animals, man is not only a prey to bodily pain limited to the passing moment, but also to those

incomparably greater mental sufferings, which, reaching forwards and backwards, draw upon the future and the past; and nature, by way of compensation, has granted to man alone the privilege of being able to end his life at his own pleasure, before she herself sets a term to it; thus, while animals necessarily live so long as they can, man need only live so long as he will.

Whether he ought on ethical grounds to forego this privilege is a difficult question, which in any case cannot be decided by the usual superficial reasoning. The arguments against suicide which Kant does not deem unworthy of adducing (p. 53, R., p. 48 and p. 67, R., p. 57), I cannot conscientiously describe as other than pitiable, and quite undeserving of an answer. It is laughable indeed to suppose that reflections of such a kind could have wrested the dagger from the hands of Cato, of Cleopatra, of Cocceius Nerva (Tac. *Ann.*, vi. 26) or of Arria the wife of Paetus (Plin., *Ep.*, iii. 16). If real moral motives for not committing suicide actually exist, it is certain that they lie very deep, and cannot be reached by the plummet of ordinary Ethics. They belong to a higher view of things than is adaptable even to the standpoint of the present treatise.<sup>1</sup>

That which generally comes next on the rubric of duties towards ourselves may be divided partly into rules of worldly wisdom, partly into hygienic prescriptions; but neither class belongs to Morals in the proper sense. Last on the catalogue comes the prohibition of unnatural lust — onanism, *paederastia*, and bestiality. Of these onanism is mainly a vice of childhood, and must be fought against much more with the weapon of dietetics than with that of ethics; hence we find that the authors of books directed against it are physicians (*e.g.*, Tissot and others) rather than moralists. After dietetics and hygiene have done their work, and struck it down by irrefutable reasoning, if Ethics desires to take up the matter, she finds little left for her to do. Bestiality, again, is of very rare occurrence; it is thoroughly abnormal and exceptional, and, moreover, so loathsome and foreign to human nature, that itself, better than all arguments of reason, passes judgment on itself, and deters by sheer disgust. For the rest, as being a degradation of human nature, it is in reality an offence against the species as such, and in the abstract; not against human units. Of the three sexual perversions of which we are speaking it is consequently only with *paederastia* that Ethics has to do, and in treating of Justice this vice finds its

proper place. For Justice is infringed by it, in face of which fact, the dictum *volenti non fit injuria* is unavailing. The injustice consists in the seduction of the younger and inexperienced person, who is thereby ruined physically and morally.

## ENDNOTES.

<sup>1</sup> There are ascetic reasons, which may be found in the Fourth Book, Vol. I., § 69, of my chief work (*Die Welt als Wille und Vorstellung*).

## CHAPTER IV.

### ON THE BASIS OF THE KANTIAN ETHICS.

With the imperative Form of Ethics, which in Chapter II. we proved to be a *petitio principii*, is directly connected a favourite idea of Kant's, that may be excused, but cannot be adopted. Sometimes we see a physician, after having employed a certain remedy with conspicuous success, henceforth prescribing it for almost all diseases; to such a one Kant may be likened. By separating the *a priori* from the *a posteriori* in human knowledge he made the most brilliant and pregnant discovery that Metaphysics can boast of. What wonder then that thereafter he should try to apply this method, this sundering of the two forms, everywhere, and should consequently make Ethics also consist of two parts, a pure, *i.e.* an *a priori* knowable part, and an empirical? The latter of these he rejects as unreliable for the purpose of founding Ethics. To trace out the former and; exhibit it by itself is his purpose in the *Grundlegung der Metaphysik der Sitten*, which he accordingly represents as a science purely *a priori*, exactly in the same way as he sets forth the *Metaphysische Anfangsgründe der Naturwissenschaft*. He asserts in fact that the Moral Law, which without warrant, without deduction, or proof of any sort, he postulates as existing, is furthermore a Law knowable *a priori* and independent of all internal or external experience; it “rests” (he says) “solely on conceptions of pure Reason; and is to be taken as a synthetic proposition *a priori*” (*Kritik der Praktischen Vernunft*: p. 56 of fourth Edition; R., p. 142). But from this definition the implication immediately follows that such a Law can only be formal, like everything else known *a priori*, and consequently has only to do with the Form of actions, not with their Essence. Let it be thought what this means! He emphatically adds (p. vi of the preface to the *Grundlegung*; R., p. 5) that it is “useless to look for it either subjectively in man's nature, or objectively in the accidents of the external world,” and (preface of the same, page vii; R., p. 6) that “nothing whatever connected with it can be borrowed from knowledge relating to man, *i.e.*, from anthropology.” On page 59 (R., p. 52) he repeats, “That one ought on no account to fall into the mistake of trying to derive one's principle of morality from the special constitution of human nature”; and again, on page 60 (R., p. 52), he says that, “Everything derived from any natural disposition peculiar to man, or from certain feelings and



propensities, or indeed from any special trend attaching solely to human nature, and not necessarily to be taken as the Will of every rational being,” is incapable of affording a foundation for the moral law. This shows beyond all possibility of contradiction that Kant does not represent the alleged moral law as a *fact of consciousness*, capable of empirical proof — which is how the later would-be philosophers, both individually and collectively, wish to pass it off. In discarding every empirical basis for Morals, he rejects all internal, and still more decidedly all external, experience. Accordingly he founds — and I call special attention to this — his moral principle not on any provable *fact of consciousness*, such as an inner natural disposition, nor yet upon any objective relation of things in the external world. No! That would be an empirical foundation. Instead of this, *pure conceptions a priori*, *i.e.*, conceptions, which so far contain nothing derived from internal or external experience, and thus are simply shells without kernels — these are to be made the basis of Morals. Let us consider the full meaning of such a position. Human consciousness as well as the whole external world, together with all the experience and all the facts they comprise, are swept from under our feet. We have nothing to stand upon. And what have we to hold to? Nothing but a few entirely abstract, entirely unsubstantial conceptions, floating in the air equally with ourselves. It is from these, or, more correctly, from the mere form of their connection with judgments made, that a *Law* is declared to proceed, which by so-called absolute necessity is supposed to be valid, and to be strong enough to lay bit and bridle on the surging throng of human desires, on the storm of passion, on the giant might of *egoism*. We shall see if such be the case.

With this preconceived notion that the basis of Morals must be necessarily and strictly *a priori*, and entirely free from everything empirical, another of Kant’s favourite ideas is closely connected. The moral principle that he seeks to establish is, he says, a synthetic proposition *a priori*, of merely formal contents, and hence exclusively a matter of Pure Reason; and accordingly, as such, to be regarded as valid not only for men, but for all possible rational beings; indeed he declares it to hold good for man “on this account alone,” *i.e.*, because *per accidens* man comes under the category of rational beings. Here lies the cause of his basing the Moral principle not on any feeling, but on pure Reason (which knows nothing but itself and the statement of its antithesis). So that this pure Reason is taken, not as it really and exclusively is — an intellectual faculty of man — but as a self-existent

hypostatic essence, yet without the smallest authority; the pernicious effects of such example and precedent being sufficiently shown in the pitiful philosophy of the present day. Indeed, this view of Morals as existing not for men, as men, but for all rational beings, as such, is with Kant a principle so firmly established, an idea so favourite, that he is never tired of repeating it at every opportunity.

I, on the contrary, maintain that we are never entitled to raise into a *genus* that which we only know of in a single species. For we could bring nothing into our idea of the *genus* but what we had abstracted from this one species; so that what we should predicate of the *genus* could after all only be understood of the single species. While, if we should attempt to think away (without any warrant) the particular attributes of the species, in order to form our *genus*, we should perhaps remove the exact condition whereby the remaining attributes, hypostatized as a *genus*, are made possible. Just as we recognise intelligence in general to be an attribute of animal beings alone, and are therefore never justified in thinking of it as existing outside, and independent, of animal nature; so we recognise Reason as the exclusive attribute of the human race, and have not the smallest right to suppose that Reason exists externally to it, and then proceed to set up a *genus* called “Rational Beings,” differing from its single known species “Man”; still less are we warranted in laying down laws for such imaginary rational beings in the abstract. To talk of rational beings external to men is like talking of heavy beings external to bodies. One cannot help suspecting that Kant was thinking a little of the dear cherubim, or at any rate counted on their presence in the conviction of the reader. In any case this doctrine contains a tacit assumption of an *anima rationalis*, which as being entirely different from the *anima sensitiva*, and the *anima vegetativa*, is supposed to persist after death, and then to be indeed nothing else but *rationalis*. But in the *Kritik der Reinen Vernunft* Kant himself has expressly and elaborately made an end of this most transcendent hypostasis. Nevertheless, in his ethics generally, and in the *Kritik der Praktischen Vernunft* especially, there seems always to hover in the background the thought that the inner and eternal essence, of man consists of Reason. In this connection, where the matter only occurs incidentally, I must content myself with simply asserting the contrary. Reason, as indeed the intellectual faculty as a whole, is secondary, is an attribute of phaenomena, being in point of fact conditioned by the

organism; whereas it is the Will in man which is his very self, the only part of him which is metaphysical, and therefore indestructible.

The success with which Kant had applied his method to the theoretical side of philosophy led him on to extend it to the practical. Here also he endeavoured to separate pure *a priori* from empirical *a posteriori* knowledge. For this purpose he assumed that just as we know *a priori* the laws of Space, of Time, and of Causality, so in like manner, or at any rate analogously, we have the moral plumb-line for our conduct given us prior to all experience, and revealed in a Categorical Imperative, an absolute "Ought." But how wide is the difference between this alleged moral law *a priori*, and our theoretical knowledge *a priori* of Space, Time, and Causality! The latter are nothing but the expression of the forms, *i.e.*, the functions of our intellect, whereby alone we are capable of grasping an objective world, and wherein alone it can be mirrored; so that the world (as we know it) is absolutely conditioned by these forms, and all experience must invariably and exactly correspond to them — just as everything that I see through a blue glass must appear blue. While the former, the so-called moral law, is something that experience pours ridicule on at every step; indeed, as Kant himself says, it is doubtful whether in practice it has ever really been followed on any single occasion. How completely unlike are the things which are here classed together under the conception of apriority! Moreover, Kant overlooked the fact that, according to his own teaching, in theoretical philosophy, it is exactly the Apriority of our knowledge of Time, Space, and Causality — independent as this is of experience — that limits it strictly to phaenomena, *i.e.*, to the picture of the world as reflected in our consciousness, and makes it entirely invalid as regards the real nature of things, *i.e.*, as regards whatever exists independently of our capacity to grasp it.

Similarly, when we turn to practical philosophy, his alleged moral law, if it have an *a priori* origin in ourselves, must also be only phaenomenal, and leave entirely untouched the essential nature of things. Only this conclusion would stand in the sharpest contradiction as much to the facts themselves, as to Kant's view of them. For it is precisely the moral principle in us that he everywhere (*e.g.*, *Kritik der Praktischen Vernunft*, p. 175; R., p. 228) represents as being in the closest connection with the real essence of things, indeed, as directly in contact with it; and in all passages in the *Kritik der Reinen Vernunft*, where the mysterious Thing in itself comes forward a little

more clearly, it shows itself as the moral principle in us, as Will. But of this he failed to take account.

In Chapter II. of this Part, I explained how Kant took over bodily from theological Morals the imperative form of Ethics, *i.e.*, the conception of obligation, of law, and of duty; and how at the same time he was constrained to leave behind that which in the realm of theology alone lends force and significance to these ideas. But he felt the need of some basis for them, and accordingly went so far as to require that the *conception of duty* itself should be also the *ground of its fulfilment*; in other words, that it should itself be its own enforcement. An action, he says (p. 11; R., p. 18), has no genuine moral worth, unless it be done simply as a matter of duty, and for duty's sake, without any liking for it being felt; and the character only begins to have value, if a man, who has no sympathy in his heart, and is cold and indifferent to others' sufferings, and who is not by nature a lover of his kind, is nevertheless a doer of good actions, solely out of a pitiful sense of duty. This assertion, which is revolting to true moral sentiment; this apotheosis of lovelessness, the exact opposite, as it is, of the Christian doctrine of Morals, which places love before everything else, and teaches that without it nothing profiteth (1 Cor. xiii. 3); this stupid moral pedantry has been ridiculed by Schiller in two apposite epigrams, entitled *Gewissensskrupel* (Scruples of Conscience) and *Entscheidung* (Decision).<sup>1</sup>

It appears that some passages in the *Kritik der Praktischen Vernunft*, which exactly suit this connection, were the immediate occasion of the verses. Thus, for instance, on p. 150 (R., p. 211) we find: "Obedience to the moral law, which a man feels incumbent on him, is based not on voluntary inclination, nor on endeavour willingly put forth, without any authoritative command, but on a sense of duty." Yes, it must be commanded! What slavish morality! And again on p. 213 (R., p. 257): "Feelings of compassion, and of tender-hearted sympathy would be actually troublesome to persons who think aright, because through such emotions their well weighed maxims would become confused, and so the desire would grow up to be rid of them, and to be subject solely to the lawgiver — Reason." Now I maintain without hesitation that what opens the hand of the above-described (p. 11; R., p. 18) loveless doer of good, who is indifferent to the sufferings of other people, cannot (provided he have no secondary motives) be anything else than a slavish *δεισδαιμονία* (fear of the gods), equally

whether he calls his fetich “Categorical Imperative” or Fitzlipuzli.<sup>2</sup> For what but fear can move a hard heart?

Furthermore, on p. 13 (R., p. 19), in accordance with the above view, we find that the moral worth of an action is supposed to lie, by no means in the intention which led to it, but in the maxim which was followed. Whereas I, on the contrary, ask the reader to reflect that it is the intention alone which decides as to the moral worth, or worthlessness, of an action, so that the same act may deserve condemnation or praise according to the intention which determined it. Hence it is that, whenever men discuss a proceeding to which some moral importance is attached, the intention is always investigated, and by this standard alone the matter is judged; as, likewise, it is in the *intention* alone that every one seeks justification, if he see his conduct misinterpreted or excuse, if its consequence be mischievous.

On p. 14 (R., p. 20) we at last reach the definition of Duty, which is the fundamental conception of Kant’s entire ethical system. It is: “The necessity of an action out of respect for the law.” But what is necessary takes place with absolute certainty while conduct based on pure duty generally does not come off at all. And not only this; Kant himself admits (p. 25; R., p. 28) that there are no certain instances on record of conduct determined solely by pure duty; and on p. 26 (R., p. 29) he says: “It is utterly impossible to know with certainty from experience whether there has ever really been one single case in which an action, however true to duty, has rested simply on its idea.” — And similarly on p. 28 (R., p. 30) and p. 49 (R., p. 50). In what sense then can necessity be attributed to such an action? As it is only fair always to put the most favourable interpretation on an author’s words, we will suppose him to mean that an act true to duty is objectively necessary, but subjectively accidental. Only it is precisely this that is more easily said than thought for where is the Object of this objective necessity, the consequence of which for the most part, perhaps indeed always, fails to be realised in objective reality! With every wish to be unbiassed, I cannot but think that the expression — necessity of an action — is nothing but an artificially concealed, very forced paraphrase of the word “ought.”<sup>3</sup> This will become clearer if we notice that in the same definition the word *Achtung* (respect) is employed, where *Gehorsam* (obedience) is meant. Similarly in the note on p. 16 (R., p. 20) we read: “*Achtung* signifies simply the subordination of my will to a law. The direct determination of the will by a law, and the consciousness that it is so determined — this is what is

denoted by *Achtung*” In what language? In German the proper term is *Gehorsam*. But the word *Achtung*, so unsuitable as it is, cannot without a reason have been put in place of the word *Gehorsam*. It must serve some purpose; and this is obviously none other than to veil the derivation of the imperative form, and of the conception of duty, from theological Morals; just as we saw above that the expression “necessity of an action,” which is such a forced and awkward substitute for the word “shall,” was only chosen because “shall” is the exact language of the Decalogue. The above definition: “Duty is the necessity of an action out of respect for the law,” would therefore read in natural, undisguised, plain language: “Duty signifies an action which ought to be done out of obedience to a law.” This is “the real form of the poodle.”<sup>4</sup>

But now as to the Law, which is the real foundation stone of the Kantian Ethics. What does it contain? And where is it inscribed? This is the chief point of inquiry. In the first place, be it observed that we have two questions to deal with: the one has to do with the Principle, the other with the Basis of Ethics — two entirely different things, although they are frequently, and sometimes indeed intentionally, confused.

The principle or main proposition of an ethical system is the shortest and most concise definition of the line of conduct which it prescribes, or, if it have no imperative form, of the line of conduct to which it attaches real moral worth. It thus contains, in the general terms of a single enunciation, the direction for following the path of virtue, which is derived from that system: in other words, it is the  $\acute{\alpha}\lambda\eta\theta\epsilon\iota\alpha$ <sup>5</sup> of virtue. Whereas the Basis of any theory of Ethics is the  $\delta\iota\acute{o}\tau\iota$ <sup>6</sup> of virtue, the reason of the obligation enjoined, of the exhortation or praise given, whether it be sought in human nature, or in the external conditions of the world, or in anything else. As in all sciences, so also in Ethics the  $\acute{\alpha}\lambda\eta\theta\epsilon\iota\alpha$  must be clearly distinguished from the  $\delta\iota\acute{o}\tau\iota$ . But most teachers of Morals wilfully confound this difference: probably because the  $\acute{\alpha}\lambda\eta\theta\epsilon\iota\alpha$  is so easy, the  $\delta\iota\acute{o}\tau\iota$  so exceedingly difficult, to give. They are therefore glad to try to make up for the poverty on the one hand, by the riches on the other, and to bring about a happy marriage between *Πενία* (poverty) and *Πόρος* (plenty), by putting them together in one proposition.<sup>7</sup> This is generally done by taking the familiar  $\acute{\alpha}\lambda\eta\theta\epsilon\iota\alpha$  out of the simple form in which it can be expressed, and forcing it into an artificial formula, from which it is only to be deduced as the conclusion of given premises; and the reader is led by this performance to feel as if he had

grasped not only the thing, but its cause as well. We may easily convince ourselves of this by recalling all the most familiar principles of Morals. As, however, in what follows I have no intention of imitating acrobatic tricks of this sort, but purpose proceeding with all honesty and straightforwardness, I cannot make the principle of Ethics equivalent to its basis, but must keep the two quite separate. Accordingly, this  $\delta\acute{o}\tau\iota$  — *i.e.*, the principle, the fundamental proposition — as to which in its essence all teachers of Morals are really at one, however much they may clothe it in different costumes, I shall at once express in the form which I take to be the simplest and purest possible, *viz.*: *Neminem laede, immo omnes, quantum potes, juva.* (Do harm to no one; but rather help all people, as far as lies in your power.) This is in truth the proposition which all ethical writers expend their energies in endeavouring to account for. It is the common result of their manifold and widely differing deductions; it is the  $\delta\acute{o}\tau\iota$  for which the  $\delta\iota\acute{o}\tau\iota$  is still sought after; the consequence, the cause of which is wanting. Hence it is itself nothing but the *Datum* (the thing given), in relation to which the *Quaesitum* (the thing required) is the problem of every ethical system, as also of the present prize essay. The solution of this riddle will disclose the real foundation of Ethics, which, like the philosopher's stone, has been searched for from time immemorial. That the *Datum*, the  $\delta\acute{o}\tau\iota$ , the principle is most purely expressed by the enunciation I have given, can be seen from the fact that it stands to every other precept of Morals as a conclusion to given premises, and therefore constitutes the real goal it is desired to attain; so that all other ethical commandments can only be regarded as paraphrases, as indirect or disguised statements, of the above simple proposition. This is true, for instance, even of that trite and apparently elementary maxim: *Quod tibi fieri non vis, alteri ne feceris*<sup>8</sup> (Do not to another what you are unwilling should be done to yourself.) The defect here is that the wording only touches the duties imposed by law, not those required by virtue; — a thing which can be easily remedied by the omission of *non* and *ne*. Thus changed, it really means nothing else than: *Neminem laede, immo omnes, quantum potes, juva.* But as this sense is only reached by a periphrasis, the formula gains the appearance of having also revealed its own ultimate foundation, its  $\delta\iota\acute{o}\tau\iota$ ; which, however, is not the case, because it does not in the least follow that, if I am unwilling that something be done to myself, I ought not to do it to others. The same is true of every other principle or leading proposition of Ethics that has hitherto been put forward.



If we now return to the above question: — how does the law read, in obeying which, according to Kant, duty consists? and on what is it based? — we shall find that our philosopher, like most others, has in an extremely artificial manner closely connected the principle of Morals with its basis. I again call attention to what I have already examined at the outset — I mean, the Kantian claim that the principle of Ethics must be purely *a priori* and purely formal, indeed an *a priori* synthetical proposition, which consequently may not contain anything material, nor rest upon anything empirical, whether objectively in the external world, or subjectively in consciousness, such as any feeling, inclination, impulse, and the like. Kant was perfectly aware of the difficulty of this position; for on p. 60 (R., p. 53) he says: “It will be seen that philosophy has here indeed reached a precarious standpoint, which yet is to be immovable, notwithstanding that it is neither dependent on, nor supported by, anything in heaven or on earth.” We shall therefore with all the greater interest and curiosity await the solution of the problem he has set himself, namely, how something is to arise out of nothing, that is, how out of purely *a priori* conceptions, which contain nothing empirical or material, the laws of material human action are to grow up. This is a process which we may find symbolised in chemistry, where out of three invisible gases (Azote, Hydrogen, and Chlorine<sup>2</sup>), and thus in apparently empty space, solid sal-ammoniac is evolved before our eyes.

I will, however, explain, more clearly than Kant either would or could, the method whereby he accomplishes this difficult task. The demonstration is all the more necessary because what he did appears to be seldom properly understood. Almost all Kant’s disciples have fallen into the mistake of supposing that he presents his Categorical Imperative directly as a fact of consciousness. But in that case its origin would be anthropological, and, as resting on experience, although internal, it would have an empirical basis: a position which runs directly counter to the Kantian view, and which he repeatedly rejects. Thus on p. 48 (R., p. 44) he says: “It cannot be empirically determined whether any such Categorical Imperative exists everywhere”; and again, on p. 49 (R., p. 45): “The possibility of the Categorical Imperative must be investigated entirely on *a priori* grounds, because here we are not helped by any testimony of experience as to its



reality.” Even Reinhold, his first pupil, missed this point; for in his *Beitrage zur Uebersicht der Philosophie am Anfange des 19. Jahrhunderts*, No. 2, p. 21, we find him saying: “Kant assumes the moral law to be a direct and certain reality, an original fact of the moral consciousness.” But if Kant had wished to make the Categorical Imperative a fact of consciousness, and thus give it an empirical foundation, he certainly would not have failed at least to put it forward as such. And this is precisely what he never does. As far as I know, the Categorical Imperative appears for the first time in the *Kritik der Reinen Vernunft* (p. 802 of the first, and p. 830 of the fifth edition), entirely *ex nunc* (unexpectedly), without any preamble, and merely connected with the preceding sentence by an altogether unjustifiable “therefore.”; It is only in the *Grundlage zur Metaphysik der Sitten* — a book to which we here devote especial attention — that it is first introduced expressly and formally, as a deduction from certain concepts. Whereas in Reinhold’s *Formula concordiae des Kriticismus*,<sup>10</sup> we actually read on p. 122 the following sentence: “We distinguish moral self-consciousness from the experience with which it, as an original fact transcending all knowledge, is bound up in the human consciousness; and we understand by such self-consciousness the direct consciousness of duty, that is, of the necessity we are under of admitting the legitimacy — whether pleasurable or the reverse — of the will, as the stimulus and as the measure of its own operations.”

This would of course be “a charming *thesis*, with a very pretty *hypothesis* to boot.”<sup>11</sup> But seriously: into what an outrageous *petitio principii* do we find Kant’s moral law here developed! If that were true, Ethics would indubitably have a basis of incomparable solidity, and there would be no need of any questions being set for prize essays, to encourage inquiry in this direction. But the greatest marvel would be, that men had been so slow in discovering such a fact of consciousness, considering that for the space of thousands of years a basis for Morals has been sought after with zealous patient toil. How Kant himself is responsible for this deplorable mistake, I shall explain further on; nevertheless, one cannot but wonder at the undisputed predominance of such a radical error among his disciples. Have they never, whilst writing all their numberless books on the Kantian philosophy, noticed the disfigurement which the *Kritik der Reinen Vernunft* underwent in the second edition, and which made it an incoherent, self-contradictory work? It seems that this has only now come to light; and, in my opinion, the fact has been quite correctly analysed in Rosenkranz’s

preface to the second volume of his complete edition of Kant's works. We must, however, remember that many scholars, being unceasingly occupied as teachers and authors, find very little time left for private and exact research. It is certain that *docendo disco* (I learn by teaching) is not unconditionally true; sometimes indeed one is tempted to parody it by saying: *semper docendo nihil disco* (by always teaching I learn nothing); and even what Diderot puts into the mouth of Rameau's nephew is not altogether without reason: "And as for these teachers, do you suppose they understand the sciences they give instruction in? Not a bit of it, my dear sir, not a bit of it. If they possessed sufficient knowledge to be able to teach them, they would not do so.' 'Why?' 'Because they would have devoted their lives to the study of them.'" — (Goethe's translation, p. 104.) Lichtenberg too says: "I have rather observed that professional people are often exactly those who do not know best." But to return to the Kantian Ethics: most persons, provided only the conclusion reached agrees with their moral feelings, immediately assume that there is no flaw to be found in its derivation; and if the process of deduction looks difficult, they do not trouble themselves much about it, but are content to trust the faculty.

Thus the foundation which Kant gave to his moral law by no means consists in its being proved empirically to be a fact of consciousness; neither does he base it on an appeal to moral feeling, nor yet on a *petitio principii*, under its fine modern name of an "absolute Postulate." It is formed rather of a very subtle process of thought, which he twice advances, on p. 17 and p. 51 (R., p. 22, and p. 46), and which I shall now proceed to make clear.

Kant, be it observed, ridiculed all empirical stimuli of the will, and began by removing everything, whether subjective or objective, on which a law determining the will's action could be empirically based. The consequence is, that he has nothing left for the substance of his law but simply its Form. Now this can only be the abstract conception of lawfulness. But the conception of lawfulness is built up out of what is valid for all persons equally. Therefore the substance of the law consists of the conception of what is universally valid, and its contents are of course nothing else than its universal validity. Hence the formula will read as follows: "Act only in accordance with that precept which you can also wish should be a general law for all rational beings." This, then, is the real foundation — for the most part so greatly misunderstood — which Kant constructed for his principle

of Morals, and therefore for his whole ethical system. Compare also the *Kritik der Praktischen Vernunft*, p. 61 (R., p. 147); the end of Note 1.

I pay Kant a tribute of sincere admiration for the great acumen he displayed in carrying out this dexterous feat, but I continue in all seriousness my examination of his position according to the standard of truth. I will only observe — and this point I shall take up again later on — that here reason, because, and in so far as, it works out the above explained special ratiocination, receives the name of practical reason. Now the Categorical Imperative of Practical Reason is the law which results from this process of thought. Consequently Practical Reason is not in the least what most people, including even Fichte, have regarded it — a special faculty that cannot be traced to its source, a *qualitas occulta*, a sort of moral instinct, like Hutcheson's "moral sense"; but it is (as Kant himself in his preface, p. xii. [R., p. 8], and elsewhere, often enough declares) one and the same with theoretical reason — is, in fact, theoretical reason itself, in so far as the latter works out the ratiocinative process I have described. It is noticeable that Fichte calls the Categorical Imperative of Kant an absolute Postulate (*Grundlage der gesamten Wissenschaftslehre*, Tübingen, 1802, p. 240, Note). This is the modern, more showy, expression for *petitio principii*, and thus we see that he, too, regularly accepted the Categorical Imperative, and consequently must be included among those who have fallen into the mistake above criticised.

The objection, to which this Kantian basis of Morals is at once and directly exposed, lies in the fact that such an origin of a moral law in us is impossible, because of its assumption that man would quite of his own accord hit on the idea of looking about for, and inquiring after, a law to which his will should be subject, and which should shape its actions. This procedure, however, cannot possibly occur to him of itself; at best it could only be after another moral; stimulus had supplied the first impulse and motive thereto; and such a stimulus would have to be positively operative, and real; and show itself to be such, as well as spontaneously influence, indeed force its presence upon, the mind. But anything of this sort would run counter to Kant's assumption, which, according to the chain of reasoning above described, is to be regarded as itself the origin of all moral conceptions — in fact, the *punctum saliens* of Morality. Consequently, as long as there is no such antecedent incentive (because, *ex hypothesi*, there

exists no other moral stimulus but the process of thought already explained), so long Egoism alone must remain as the plumb-line of human conduct, as the guiding thread of the law of motivation; so long the entirely empirical and egoistic motives of the moment, alone and unchecked, must determine, in each separate case, the conduct of a man; since, on this assumption, there is no voice to arrest him, neither does any reason whatever exist, why he should be minded to inquire after, to say nothing of anxiously searching for, a law which should limit and govern his will. And yet it is only possible on this supposition that he should think out the above remarkable piece of mental legerdemain. It matters not how far we may care to put a strict and exact interpretation on this Kantian process, or whether we choose to tone it down to some dim, obscurely felt operation of thought. No modification of it can attack the primary truths that out of nothing, nothing comes, and that an effect requires a cause. The moral stimulus, like every motive that effects the will, must in all cases make itself felt spontaneously, and therefore have a positive working, and consequently be real. And because for men the only thing which has reality is the empirical, or else that which is supposed to have a possibly empirical existence, therefore it follows that the moral stimulus cannot but be empirical, and show itself as such of its own accord; and without waiting for us to begin our search, it must come and press itself upon us, and this with such force that it may, at least possibly, overcome the opposing egoistic motives in all their giant strength. For Ethics has to do with actual human conduct, and not with the *a priori* building of card houses — a performance which yields results that no man would ever turn to in the stern stress and battle of life, and which, in face of the storm of our passions, would be about as serviceable as a syringe in a great fire.

I have already noticed above how Kant considered it a special merit of his moral law that it is founded solely on abstract, pure *a priori* conceptions, consequently on pure reason; whereby its validity obtains (he says) not only for men, but for all rational beings as such. All the more must we regret that pure, abstract conceptions *a priori*, without real contents, and without any kind of empirical basis can never move, at any rate, men; of other rational beings I am of course incapable of speaking. The second defect, then, in Kant's ethical basis is its lack of real substance. So far this has escaped notice, because the real nature of his foundation has in all probability been thoroughly understood only by an exceedingly small

number of those who were its enthusiastic propagandists. The second fault, I repeat, is entire want of reality, and hence of possible efficacy. The structure floats in the air, like a web of the subtlest conceptions devoid of all contents; it is based on nothing, and can therefore support nothing, and move nothing. And yet Kant loaded it with a burden of enormous weight, namely, the hypothesis of the Freedom of the Will. In spite of his oft declared conviction that freedom in human action has absolutely no place; that theoretically not even its possibility is thinkable (*Kritik der Praktischen Vernunft*, p. 168; R., p. 223); that, if the character of a man, and all the motives which work on him were exactly known, his conduct could be calculated as certainly and as precisely as an eclipse of the moon (*ibidem*, p. 177; R., p. 230): he nevertheless makes an assumption of freedom (although only *idealiter*, and as a postulate) by his celebrated conclusion: “You can, because you ought”; and this on the strength of his precious ethical basis, which, as we see, floats in the air incorporeal. But if it has once been clearly recognised that a thing is not, and cannot be, what is the use of all the postulates in the world? It would be much more to the purpose to cast away that on which the postulate is based, because it is an impossible supposition; and this course would be justified by the rule *a non posse ad non esse valet consequentia*,<sup>12</sup> and by a *reductio ad absurdum*, which would at the same time be fatal to the Categorical Imperative. Instead of which one false doctrine is built up on the other.

The inadmissibility of a basis for Morals consisting of a few entirely abstract and empty conceptions must have been apparent to Kant himself in secret. For in the *Kritik der Praktischen Vernunft*, where (as I have already said) he is not so strict and methodical in his work, and where we find him becoming bolder on account of the fame he had gained, it is remarkable how the ethical basis gradually changes its nature, and almost forgets that it is a mere web of abstract ideas; in fact, it seems distinctly desirous of becoming more substantial. Thus, for instance, on p. 81 (R., p. 163) of the above work are the words: “The Moral Law in some sort a fact of Pure Reason.” What is one to think of this extraordinary expression? In every other place that which is fact is opposed to what is knowable by pure reason. Similarly on p. 83 (R., p. 164) we read of “a Reason which directly determines the Will”; and so on.

Now let us remember that in laying his foundation Kant expressly and repeatedly rejects every anthropological basis, everything that could prove

the Categorical Imperative to be a fact of consciousness, because such a proof would be empirical. Nevertheless, his successors were so emboldened by incidental utterances like the above that they went to much greater lengths. Fichte in his work, *System der Sittenlehre*, p. 49, warns us expressly “not to allow ourselves to be misled into trying to explain, and derive from external sources, the consciousness that we have duties, because this would be detrimental to the dignity and absoluteness of the law.” A very nice excuse! Again on p. 66 he says: “The principle of Morality is a thought which is based on the intellectual intuition of the absolute activity of the intelligence, and which is directly conceived by the pure intelligence of its own accord.” What a fine flourish to conceal the helplessness of this clap-trap! Whoever may like to convince himself how Kant’s disciples, little by little, totally forgot and ignored the real nature of the foundation and derivation which their master originally gave to the moral law, should read a very interesting essay in Reinhold’s *Beitrage zur Uebersicht der Philosophie im Anfange des 19. Jahrhunderts*, No. 2, 1801. In it, on pp. 105 and 106, it is maintained “that in the Kantian philosophy Autonomy (which is the same thing as the Categorical Imperative) is a fact of consciousness, and cannot be traced further back, inasmuch as it declares itself by means of a direct consciousness.”

But in this case, it would have an anthropological, and consequently empirical, foundation — a position which is diametrically opposed to Kant’s explicit and repeated utterances. Again, on p. 108 we find: “Both in the practical philosophy of criticism, and in the whole of the purified or higher transcendental philosophy, Autonomy is that which is founded, and which founds, by itself alone; and which is neither capable of, nor requires, any other foundation; it is that which is absolutely original, true and certain *per se*; the primal truth; the *prius κατ’ ἑξοχήν* (*par excellence*); the absolute principle. Whoever, therefore, imagines, requires, or seeks any basis for this Autonomy external to itself, can only be regarded by the Kantian School as wanting in moral consciousness;<sup>13</sup> or else as failing to interpret this consciousness correctly, through the employment of false first principles in his speculations. The School of Fichte and Schelling declares him to be afflicted with a dulness of intellect that renders him incapable of being a philosopher, and forms the characteristic of the unholy *canaille*, and the sluggish brute, or (to use Schelling’s more veiled expression) of the *profanum vulgus* and the *ignavum pecus*.” Every one will understand how

much truth there can be in a doctrine which it is sought to uphold by such defiant and dogmatic rhetoric. Meanwhile, we must doubtless explain by the respect that this language inspired, the really childish credulity with which Kant's followers accepted the Categorical Imperative, and at once treated it as a matter beyond dispute. The truth is that in this case any objections raised to a theoretical assertion might easily be confounded with moral obliquity; so that every one, although he had no very clear idea in his own mind of the Categorical Imperative, yet preferred to be silent, believing, as he did, in secret, that others were probably better off, and had succeeded in evolving a clearer and more definite mental picture of it. For no one likes to turn his conscience inside out.

Thus in the Kantian School Practical Reason with its Categorical Imperative appears more and more as a hyperphysical fact, as a Delphian temple in the human soul, out of whose dark recesses proceed oracles that infallibly declare not, alas! what will, but what ought to, happen. This doctrine of Practical Reason, as a direct and immediate fact, once it had been adopted, or rather introduced by artifice combined with defiance, was unhappily later on extended also to Theoretical Reason; and not unnaturally: for Kant himself had often said that both are but one and the same Reason (*e.g.*, Preface, p. xii; R., p. 8). After it had been once admitted that in the domain of the Practical there is a Reason which dictates *ex tripode*,<sup>14</sup> it was an easy step to concede the same privilege to Theoretical Reason also, closely related as the latter is to the former — indeed, consubstantial with it. The one was thus pronounced to be just as immediate as the other, the advantage of this being no less immense than obvious.

Then it was that all philosophasters and fancy-mongers, with J.H. Jacobi — the denouncer of atheists — at their head, came crowding to this postern which was so unexpectedly opened to them. They wanted to bring their small wares to market, or at least to save what they most valued of the old heirlooms which Kant's teaching threatened to pulverise. As in the life of the individual a single youthful mistake often ruins the whole career; so when Kant made that one false assumption of a Practical Reason furnished with credentials exclusively transcendent, and (like the supreme courts of appeal) with powers of decision “without grounds,” the result was that out of the austere gravity of the Critical Philosophy was evolved a teaching utterly heterogeneous to it. We hear of a Reason at first only dimly “surmising,” then clearly “comprehending” the “Supersensuous,” and at last



endowed with a perfect “intellectual intuition” of it. Every dreamer could now promulgate his mental freaks as the “absolute,” *i.e.*, officially issued, deliverances, and revelations of this Reason. Nor need we be surprised if the new privilege was fully taken advantage of.

Here, then, is the origin of that philosophical method which appeared immediately after Kant, and which is made up of clap-trap, of mystification, of imposture, of deception, and of throwing dust in the eyes. This era will be known one day in the History of Philosophy as “The Period of Dishonesty.” For it was signalised by the disappearance of the characteristic of honesty, of searching after truth in common with the reader, which was well marked in the writings of all previous philosophers. The philosophaster’s object was not to instruct, but to befool his hearers, as every page attests. At first Fichte and Schelling shine as the heroes of this epoch; to be followed by the man who is quite unworthy even of them, and greatly their inferior in point of talent — I mean the stupid and clumsy charlatan Hegel. The Chorus is composed of a mixed company of professors of philosophy, who in solemn fashion discourse to their public about the Endless, the Absolute, and many other matters of which they can know absolutely nothing.

As a stepping-stone to raise Reason to her prophetic throne a wretched *jeu d’esprit* was actually dragged in, and made to serve. It was asserted that, as the word *Vernunft* (Reason) comes from *vernehmen* (to comprehend), therefore *Vernunft* means a capacity to comprehend the so-called “Supersensuous,” *i.e.*, *Νεφελοκοκκυγία*,<sup>15</sup> or Cloud-cuckoo-town. This pretty notion met with boundless approval, and for the space of thirty years was constantly repeated in Germany with immense satisfaction; indeed, it was made the foundation of philosophic manuals. And yet it is as clear as noon-day that of course *Vernunft* (Reason) comes from *vernehmen* (to comprehend), but only because Reason makes man superior to animals, so that he not only hears, but also comprehends (*vernimmt*) — by no means, what is going on in Cloud-cuckoo-town — but what is said, as by one reasonable person to another, the words spoken being comprehended (*vernommen*) by the listener; and this capacity is called *Reason* (*Vernunft*).

Such is the interpretation that all peoples, ages, and languages have put on the word Reason. It has always been understood to mean the possession of general, abstract, non-intuitive ideas, named concepts, which are denoted and fixed by means of words. This faculty alone it is which in reality gives



to men their advantage over animals. For these abstract ideas, or concepts, that is, mental impressions formed of the sum of many separate things, are the condition of language and through it of actual thought; through which again they determine the consciousness not only of the present (which animals also have), but of the past and the future as such; whence it results that they are the *modulus*, so to say, of clear recollection, of circumspection, of foresight, and of intention; the constant factor in the evolution of systematic co-operation, of the state, of trades, arts, sciences, religions, and philosophies, in short, of everything that so sharply distinguishes human from animal life. Beasts have only intuitive ideas, and therefore also only intuitive motives; consequently the dependence of their volition on motives is manifest. With man this dependence is no less a fact; he, too (with due allowance for individual character), is affected by motives under the strictest law of necessity. Only these are for the most part not *intuitive* but *abstract* ideas, that is, conceptions, or thoughts, which nevertheless are the result of previous intuitions, hence of external influences. This, however, gives him a relative freedom — relative, that is, as compared with an animal. For his action is not determined (as it is in all other creatures) by the surroundings of the moment as intuitively perceived, but by the thoughts he has derived from experience, or gained by instruction. Consequently the motive, by which he, too, is necessarily swayed, is not always at once obvious to the looker-on simultaneously with the act; it lies concealed in the brain. It is this that lends: to all his movements, as well as to his conduct and work as a whole, a character manifestly different from that observable in the habits of beasts. He seems as though guided by finer, invisible threads; whence all his acts bear the stamp of deliberation and premeditation, thus gaining an appearance of independence, which sufficiently distinguishes them from those of animals. All these great differences, however, spring solely out of the capacity for abstract ideas, concepts. This capacity is therefore the essential part of Reason, that is, of the faculty peculiar to man, and it is called *το λόγιμον*,<sup>16</sup> *το λογιστικον*, ratio, la ragione, il discorso, raison, reason, discourse of reason. If I were asked what the distinction is between it and Verstand, *νοῦς*, intellectus, entendement, understanding; I should reply thus: The latter is that capacity for knowledge which animals also possess in varying degrees, and which is seen in us at its highest development; in other words, it is the direct consciousness of the law of Causality — a consciousness which precedes

fill experience, being constituted by the very form of the understanding, whose essential nature is, in fact, therein contained. On it depends in the first place the intuitive perception of the external world; for the senses by themselves are only capable of impression, a thing which is very far from being intuitive perception; indeed, the former is nothing but the material of the latter: νοῦς ὁρᾷ, καὶ νοῦς ἀκούει, τ' ἄλλα κωφὰ καὶ τυφλά. (The mind sees, the mind hears; everything else is deaf and blind.) Intuitive perception is the result of our directly referring the impressions of the sense-organs to their cause, which, exactly because of this act of the intelligence, presents itself as an external object under the mode of intuition proper to us, *i.e.*, in space. This is a proof that the Law of Causality is known to us *a priori*, and does not arise from experience, since experience itself, inasmuch as it presupposes intuitive perception, is only possible through the same law. All the higher qualities of the intellect, all cleverness, sagacity, penetration, acumen are directly proportional to the exactness and fulness with which the workings of Causality in all its relations are grasped; for all knowledge of the connection of things, in the widest sense of the word, is based on the comprehension of this law, and the clearness and accuracy with which it is understood is the measure of one man's superiority to another in understanding, shrewdness, cunning. On the other hand, the epithet reasonable has at all times been applied to the man who does not allow himself to be guided by intuitive impressions, but by thoughts and conceptions, and who therefore always sets to work logically after due reflection and forethought. Conduct of this sort is everywhere known as reasonable. Not that this by any means implies uprightness and love for one's fellows. On the contrary, it is quite possible to act in the most reasonable way, that is, according to conclusions scientifically deduced, and weighed with the nicest exactitude; and yet to follow the most selfish, unjust, and even iniquitous maxims. So that never before Kant did it occur to any one to identify just, virtuous, and noble conduct with reasonable; the two lines of behaviour have always been completely separated, and kept apart. The one depends on the kind of motivation; the other on the difference in fundamental principles. Only after Kant (because he taught that virtue has its source in Pure Reason) did the virtuous and the reasonable become one and the same thing, despite the usage of these words which all languages have adopted — a usage which is not fortuitous, but the work of universal, and therefore uniform, human judgment. "Reasonable"

and “vicious” are terms that go very well together; indeed great, far-reaching crimes are only possible from their union. Similarly, “unreasonable” and “noble-minded” are often found associated; *e.g.*, if I give to-day to the needy man what I shall myself require to-morrow more urgently than he; or, if I am so far affected as to hand over to one in distress the sum which my creditor is waiting for; and such cases could be multiplied indefinitely.

We have seen that this exaltation of Reason to be the source of all virtue rests on two assertions. First, as Practical Reason, it is said to issue, like an oracle, peremptory Imperatives purely *a priori*. Secondly, taken in connection with the false explanation of Theoretical Reason, as given in the *Kritik der Reinen Vernunft*, it is presented as a certain faculty essentially concerned with the Unconditioned, as manifested in three alleged Ideas<sup>17</sup> (the impossibility of which the intellect at the same time recognises *a priori*). And we found that this position, as an *exemplar vitiis imitabile*,<sup>18</sup> led our muddy-headed philosophers, Jacobi at their head, from bad to worse. They talked of Reason (*Vernunft*) as directly comprehending (*vernehmend*) the “Supersensuous,” and absurdly declared that it is a certain mental property which has to do essentially with things transcending all experience, *i.e.*, with metaphysics; and that it perceives directly and intuitively the ultimate causes of all things, and of all Being, the Supersensuous, the Absolute, the Divine, etc. Now, had it been wished to use Reason, instead of deifying it, such assertions as these must long ago have been met by the simple remark that, if man, by virtue of a special organ, furnished by his Reason, for solving the riddle of the world, possessed an innate metaphysics that only required development; in that case there would have to be just as complete agreement on metaphysical matters as on the truths of arithmetic and geometry; and this would make it totally impossible that there should exist on the earth a large number of radically different religions, and a still larger number of radically different systems of philosophy. Indeed, we may rather suppose that, if any one were found to differ from the rest in his religious or philosophical views, he would be at once regarded as a subject for mental pathology. Nor would the following plain reflection have failed to present itself. If we discovered a species of apes which intentionally prepared instruments for fighting, or building, or for any other purpose; we should immediately admit that it was endowed with Reason. On the other hand, if we meet with savages destitute

of all metaphysics, or of all religion (and there are such); it does not occur to us to deny them Reason on that account. The Reason that proves its pretended supersensuous knowledge was duly brought back to bounds by Kant's critique; but Jacobi's wonderful Reason, that directly comprehends the supersensuous, he must indeed have thought beneath all criticism. Meanwhile, a certain imperious and oracular Reason of the same kind is still, at the Universities, fastened on the shoulders of our innocent youth.

#### NOTE.

If we wish to reach the real origin of this hypothesis of Practical Reason, we must trace its descent a little further back. We shall find that it is derived from a doctrine, which Kant totally confuted, but which nevertheless, in this connection, lies secretly (indeed he himself is not aware of it) at the root of his assumption of a Practical Reason with its Imperatives and its Autonomy — a reminiscence of a former mode of thought. I mean the so-called Rational Psychology, according to which man is composed of two entirely heterogeneous substances — the material body, and the immaterial soul. Plato was the first to formulate this dogma, and he endeavoured to prove it as an objective truth. But it was Descartes who, by working it out with scientific exactness, perfectly developed and completed it. And this is just what brought its fallacy to light, as demonstrated by Spinoza, Locke, and Kant successively. It was demonstrated by Spinoza; because his philosophy consists chiefly in the refutation of his master's twofold dualism, and because he entirely and expressly denied the two Substances of Descartes, and took as his main principle the following proposition: "*Substantia cogitans et substantia externa una eademque est substantia, quae jam sub hoc, jam sub illo attribute comprehenditur.*"<sup>19</sup> It was demonstrated by Locke; for he combated the theory of innate ideas, derived all knowledge from the sensuous, and taught that it is not impossible that Matter should think. And lastly, it was demonstrated by Kant, in his *Kritik der Rationalen Psychologie*, as given in the first edition. Leibnitz and Wolff were the champions on the bad side; and this brought Leibnitz the undeserved honour of being compared to the great Plato, who was really so unlike him.

But to enter into details here would be out of place. According to this Rational Psychology, the soul was originally and in its essence a perceiving substance, and only as a consequence thereof did it become possessed of

volition. According as it carried on these two modes of its activity, Perception and Volition, conjoined with the body, or incorporeal, and entirely *per se*, so it was endowed with a lower or higher faculty of perception, and of volition in like kind. In its higher faculty the immaterial soul was active solely by itself, and without co-operation of the body. In this case it was *intellectus purus*, being composed of concepts, belonging exclusively to itself, and of the corresponding acts of will, both of which were absolutely spiritual, and had nothing sensuous about them — the sensuous being derived from the body.<sup>20</sup> So that it perceived nothing else but pure Abstracts, Universals, innate conceptions, *aeternae veritates*, etc.; wherefore also its volition was entirely controlled by purely spiritual ideas like these. On the other hand, the soul's lower faculty of Perception and Volition was the result of its working in concert and close union with the various organs of the body, whereby a prejudicial effect was produced on its an mixed spiritual activity. Here, *i.e.*, to this lower faculty, was supposed to belong every intuitive perception, which consequently would have to be obscure and confused, while the abstract, formed by separating from objects their qualities, would be clear! The will, which was determined by preceptions thus sensuously conditioned, formed the lower Volition, and it was for the most part bad; for its acts were guided by the impulse of the senses; while the other will (the higher) was untrammelled, was guided by Pure Reason, and appertained only to the immaterial soul. This doctrine of the Cartesians has been best expounded by De la Forge, in his *Tractatus de Mente Humana*, where in chap. 23 we read:<sup>21</sup> *Non nisi eadem voluntas est, quae appellatur appetitus sensitivus, quando excitatur per judicia, quae formantur consequenter ad perceptiones sensuum; et quae appetitus rationalis nominatur, cum mens judicia format de propriis suis ideis, independenter a cogitationibus sensuum confusis, quae inclinationum ejus sunt causae.... Id, quod occasionem dedit, ut duae istae diversae voluntatis propensiones pro duobus diversis appetitibus sumerentur, est, quod saepissime unus alteri opponatur, quia propositum, quod mens superaedificat propriis suis perceptionibus, non semper consentit cum cogitationibus, quae menti a corporis dispositione suggeruntur, per quam saepe obligatur ad aliquid volendum, dum ratio ejus earn aliud optare facit.*

Out of the dim reminiscence of such views there finally arose Kant's doctrine of the Autonomy of the Will, which, as the mouth-piece of Pure,

Practical Reason, lays down the law for all rational beings as such, and recognises nothing but formal motives, as opposed to material; the latter determining only the lower faculty of desires, to which the higher is hostile. For the rest, this whole theory, which was not really systematically set forth till the time of Descartes, is nevertheless to be found as far back as Aristotle. In his *De Anima* I. 1, it is sufficiently clearly stated; while Plato in the *Phaedo* (pp. 188 and 189, edit. Bipont.) had already paved the way, with no uncertain hints. After being elaborated to great perfection by the Cartesian doctrine, we find it a hundred years later waxed bold and strong, and occupying the foremost place; but precisely for this reason forced to reveal its true nature. An excellent *résumé* of the view which then prevailed is presented in Muratori's *Della Forza della Fantasia*, chaps. 1-4 and 13. In this work the imagination is regarded as a purely material, corporeal organ of the brain (the lower faculty of perception), its function being to intuitively apprehend the external world on the data of the senses; and nought remains for the immaterial soul but thinking, reflecting, and determining. It must have been felt how obviously this position involves the whole subject in doubt. For if Matter is capable of the intuitive apprehension of the world in all its complexity, it is inconceivable that it should not also be capable of abstracting this intuition; wherefrom everything else would follow. Abstraction is of course nothing else than an elimination of the qualities attaching to things which are not necessary for general purposes, in other words, the individual and special differences. For instance, if I disregard, or abstract, that which is peculiar to the sheep, ox, stag, camel, etc., I reach the conception of ruminants. By this operation the ideas lose their intuitiveness, and as merely abstract, non-intuitive notions or concepts, they require words to fix them in the consciousness, and allow of their being adequately handled. All this shows that Kant was still under the influence of the after-effect of that old-time doctrine, when he propounded his Practical Reason with its Imperatives.

## ENDNOTES.

<sup>1</sup> These epigrams form the close of Schiller's poem "Die Philosophen," which is worth reading in this connection — (*Translator.*)

<sup>2</sup> More correctly, Huitzilopochtli: a Mexican deity.

<sup>3</sup> Or "shall," as in the "thou shall," of the Decalogue — (*Translator.*)

<sup>4</sup> "Des Pudels Kern"; V. Goethe's *Faust*, Part I. *Studirzimmer*. Schopenhauer means that his analysis has forced the real meaning out of Kant's language, just as Faust by his exorcism compels Mephistopheles, who was in the form of a poodle, to resume his true form. — (*Translator.*)

<sup>5</sup> ὅτι: *i.e.*, the "what" a thing is; its principle, or essence. — (*Translator.*)

<sup>6</sup> διότι: *i.e.*, the "wherefore" of a thing; its *raison d'être*, its underlying cause. — (*Translator.*)

<sup>7</sup> Schopenhauer was doubtless thinking of the famous myth in Plato's *Symposium* Chap. 23 (Teubner's edition, Leipzig, 1875), where Eros is represented as the offspring of Πόρος and Πενία, who on the birthday of Aphrodite were united in the garden of Zeus. — (*Translator.*)

<sup>8</sup> Hugo Grotius attributes it to the Emperor Severus.

<sup>9</sup> Azote=Nitrogen. The formula for Ammonium Chloride or Sal-ammoniac is NH<sub>4</sub>Cl. — (*Translator.*)

<sup>10</sup> To be found in the fifth number of the *Beiträge zur Uebersicht der Philosophie am Anfange des 19. Jahrhunderts* — a journal of the greatest importance for critical philosophy.

<sup>11</sup> "Einen erklecklichen SATZ, ja, und der auch was SETZT." SCHILLER.

<sup>12</sup> To argue from impossibility to non-existence is valid — *i.e.* the impossibility of a thing makes its non-existence a safe conclusion. — (*Translator.*)

<sup>13</sup>



*Dacht' ich's doch! Wissen sie nichts Vernünftiges mehr  
zu erwidern,  
Schieben sie's Einem geschwind in das Gewissen hinein.*  
— SCHILLER, *Die Philosophen*.

*Just as I thought! Can they give no more any answer of reason,  
Quickly the ground is changed: Conscience, they say,  
is at fault.*  
— (Translator.)

<sup>14</sup> As from the Pythian tripod: *i.e.*, — with official authority, *ex cathedra*.

<sup>15</sup> *V. Aristoph., Aves*, 819 *et alibi*. — (Translator.)

<sup>16</sup> *λόγιμος* means “remarkable,” being never used in the sense of “rational.” *Tò logikòn* is perhaps a possible expression; the right word is *λόγος*. — (Translator.)

<sup>17</sup> The three Ideas are: (1) The Psychological; (2) The Cosmological; (3) The Theological. *V. The Paralogisms of Pure Reasons, in the Dialectics: Kritik der Reinen Vernunft*, Part I. — (Translator.)

<sup>18</sup> An example easy to be imitated in its faults. *V. Horace, Ep. Lib. I., xix. 17.* — (Translator.)

<sup>19</sup> The thinking substance, and substance in extension are one and the self-same substance, which is contained now under the latter attribute (*i.e.*, extension), now under the former (*i.e.*, the attribute of thinking). — *Ethica*, Part II., Prop. 7. Corollary.

<sup>20</sup> *Intellectio pura est intellectio, quae circa nullas imagines corporeas versatur.* (Pure intelligence is intelligence that has nothing to do with any bodily forms.) — *Cart., Medit.*, p. 188.

<sup>21</sup> It is nothing but one and the same will, which at one time is called sensuous desire, when it is stimulated by acts of judgment, formed in consequence of perceptions of the senses; and which at another time is called rational desire (*i.e.* desire of the reason), when the mind forms acts of judgment about its own proper ideas, independently of the thoughts belonging to, and mixed up with, the senses; which thoughts are the causes of the mind's tendencies.... That these two diverse propensities of the will should be regarded as two distinct desires is occasioned by the fact that very often the one is opposed to the other, because the intention, which is built up by the mind on the foundation of its own proper perceptions, does not always agree with the thoughts which are suggested to the mind by



the body's disposition; whereby it (the mind) is often constrained to will something, while its reason makes it choose something different. — (*Translator.*)

## CHAPTER V.

### ON THE LEADING PRINCIPLE OF THE KANTIAN ETHICS.

After having tested in the preceding chapter the actual basis of Kant's Ethics, I now turn to that which rests on it — his leading principle of Morals. The latter is very closely connected with the former; indeed, in a certain sense, they both grew up together. We have seen that the formula expressing the principle reads as follows: "Act only in accordance with that precept which you can also wish should be a general law for all rational beings." It is a strange proceeding for a man, who *ex hypothesi* is seeking a law to determine what he should do, and what he should leave undone, to be instructed first to search for one fit to regulate the conduct of all possible rational beings; but we will pass over that. It is sufficient only to notice the fact that in the above guiding rule, as put forth by Kant, we have obviously not reached the moral law itself, but only a finger-post, or indication where it is to be looked for. The money, so to say, is not yet paid down, but we hold a safe draft for it. And who, then, is the cashier? To say the truth at once: a paymaster in this connection surely very unexpected, being neither more nor less than Egoism, as I shall now demonstrate.

The precept, it is said, which I can wish were the guide of all men's conduct, is itself the real moral principle. That which I can wish is the hinge on which the given direction turns. But what can I truly wish, and what not? Clearly, in order to determine what I can wish in the matter under discussion, I require yet another criterion; for without such I could never find the key to the instruction which comes to me like a sealed order. Where, then, is this criterion to be discovered? Certainly nowhere else but in my Egoism, which is the nearest, ever ready, original, and living standard of all volition, and which has at any rate the *jus primi occupantis* before every moral principle. The direction for finding the real moral law, which is contained in the Kantian rule, rests, as a matter of fact, on the tacit assumption that I can only wish for that which is most to my advantage. Now because, in framing a precept to be generally followed, I cannot regard myself as always active, but must contemplate my playing a passive part *eventualiter* and at times; therefore from this point of view my egoism decides for justice and loving-kindness; not from any wish to practise these

virtues, but because it desires to experience them. We are reminded of the miser, who, after listening to a sermon on beneficence, exclaims:

“*Wie gründlich ausgeführt, wie schön! —*

*Fast möchte’ ich betteln gehn.*”

(How well thought out, how excellent! —

Almost I’d like to beg.)

This is the indispensable key to the direction in which Kant’s leading principle of Ethics is embedded; nor can he help supplying it himself. Only he refrains from doing so at the moment of propounding his precept, lest we should feel shocked. It is found further on in the text, at a decent distance, so as to prevent the fact at once leaping to light, that here, after all, in spite of his grand *a priori* edifice, Egoism is sitting on the judge’s seat, scales in hand. Moreover, it does not occur, till after he has decided, from the point of view of the *eventualiter* passive side, that this position holds good for the active *rôle* as well. Thus, on p. 19 (R., p. 24) we read: “That I could not wish for a general law to establish lying, because people would no longer believe me, or else pay me back in the same coin.” Again on p. 55 (R., p. 49): “The universality of a law to the effect that every one could promise what he likes, without any intention of keeping his word, would make the promise itself, together with the object in view, whatever that might be, impossible; for no one would believe it.” On p. 56 (R., p. 50), in connection with the maxim of hard-heartedness, we find the following: “A will, which should determine this, would contradict itself; for cases can occur, in which a man needs the love and sympathy of others, and in which he, by virtue of such a natural law, evolved from his own will, would deprive himself of all hope of the help, which he desires.” Similarly in the *Kritik der Praktischen Vernunft* (Part I., vol. i., chap. 2, p. 123; R., p. 192): “If every one were to regard others’ distress with total indifference, and you were to belong to such an order of things; would you be there with the concurrence of your will?” *Quam temere in nosmet legem sancimus iniquam!*<sup>1</sup> one could reply. These passages sufficiently show in what sense the phrase, “to be able to wish,” in Kant’s formula is to be understood. But it is in the *Metaphysische Anfangsgründe der Tugendlehre*, that this real nature of his ethical principle is most clearly stated. In § 30 we read: “For every one wishes to be helped. If, however, a man were to give utterance to his rule of unwillingness to

help others, all people would be justified in refusing him assistance. Thus this rule of selfishness contradicts itself.” Would be justified, he says, would be justified! Here, then, it is declared, as explicitly as anything can be, that moral obligation rests solely and entirely on presupposed reciprocity; consequently it is utterly selfish, and only admits of being interpreted by egoism, which, under the condition of reciprocity, knows how to make a compromise cleverly enough. Such a course would be quite in place if it were a question of laying down the fundamentals of state-organisation, but not, when we come to construct those of ethics. In the *Grundlegung*, p. 81 (R., p. 67), the following sentence occurs: “The principle of always acting in accordance with that precept which you can also wish were universally established as law — this is the only condition under which a man’s will can never be in antagonism with itself.” From what has been said above, it will be apparent that the true meaning of the word “antagonism” may be thus explained: if a man should sanction the precept of injustice and hard-heartedness, he would subsequently, in the event of his playing a passive part, recall it, and so his will would contradict itself.

From this analysis it is abundantly clear that Kant’s famous leading principle is not — as he maintains with tireless repetition — a categorical, but in reality a hypothetical Imperative; because it tacitly presupposes the condition that the law to be established for what I do — inasmuch as I make it universal — shall also be a law for what is done to me; and because I, under this condition, as the *eventualiter* non-active party, cannot possibly wish for injustice and hard-heartedness. But if I strike out this proviso, and, trusting perhaps to my surpassing strength of mind and body, think of myself as always active, and never passive; then, in choosing the precept which is to be universally valid, if there exists no basis for ethics other than Kant’s, I can perfectly well wish that injustice and hard-heartedness should be the general rule, and consequently order the world

Upon the simple plan,  
That they should take, who have the power,  
And they should keep, who can.  
— (WORDSWORTH.)

In the foregoing chapter we showed that the Kantian leading principle of Ethics is devoid of all real foundation. It is now clear that to this singular

defect must be added, notwithstanding Kant's express assertion to the contrary, its concealed hypothetical nature, whereby its basis turns out to be nothing else than Egoism, the latter being the secret interpreter of the direction which it contains. Furthermore, regarding it solely as a formula, we find that it is only a periphrasis, an obscure and disguised mode of expressing the well-known rule: *Quod tibi fieri non vis, alteri ne feceris* (do not to another what you are unwilling should be done to yourself); if, that is, by omitting the *non* and *ne*, we remove the limitation, and include the duties taught by love as well as those prescribed by law. For it is obvious that this is the only precept which I can wish should regulate the conduct of all men (speaking, of course, from the point of view of the possibly passive part I may play, where my Egoism is touched). This rule, *Quod tibi fieri, etc.*, is, however, in its turn, merely a circumlocution for, or, if it be preferred, a premise of, the proposition which I have laid down as the simplest and purest definition of the conduct required by the common consent of all ethical systems; namely, *Neminem laede, immo omnes, quantum potes, juva* (do harm to no one; but rather help all people, as far as lies in your power). The true and real substance of Morals is this, and never can be anything else. But on what is it based? What is it that lends force to this command? This is the old and difficult problem with which man is still to-day confronted. For, on the other side, we hear Egoism crying with a loud voice: *Neminem juva, immo omnes, si forte conducit, laede* (help nobody, but rather injure all people, if it brings you any advantage); nay more, Malice gives us the variant: *Immo omnes, quantum potes, laede* (but rather injure all people as far as you can). To bring into the lists a combatant equal, or rather superior to Egoism and Malice combined — this is the task of all Ethics. *Heic Rhodus, heic salta!*<sup>2</sup>

The division of human duty into two classes has long been recognised, and no doubt owes its origin to the nature of morality itself. We have. (1) the duties ordained by law (otherwise called the — perfect, obligatory, narrower duties), and (2) those prescribed by virtue (otherwise called imperfect, wider, meritorious, or, preferably, the duties taught by love). On p. 57 (R., p. 60) we find Kant desiring to give a further confirmation to the moral principle, which he propounded, by undertaking to derive this classification from it. But the attempt turns out to be so forced, and so obviously bad, that it only testifies in the strongest way against the soundness of his position. For, according to him, the duties laid down by

statutes rest on a precept, the contrary of which, taken as a general natural law, is declared to be quite unthinkable without contradiction; while the duties inculcated by virtue are made to depend on a maxim, the opposite of which can (he says) be conceived as a general natural law, but cannot possibly be wished for. I beg the reader to reflect that the rule of injustice, the reign of might instead of right, which in the Kantian view is not even thinkable as a natural law, is in reality, and in point of fact, the dominant order of things not only in the animal kingdom, but among men as well. It is true that an attempt has been made among civilised peoples to obviate its injurious effects by means of all the machinery of state government; but as soon as this, wherever, or of whatever kind, it be, is suspended or eluded, the natural law immediately resumes its sway. Indeed between nation and nation it never ceases to prevail; the customary jargon about justice is well known to be nothing but diplomacy's official style; the real arbiter is brute force. On the other hand, genuine, *i.e.*, voluntary, acts of justice, do occur beyond all doubt, but always only as exceptions to the rule. Furthermore: wishing to give instances by way of introducing the above-mentioned classification, Kant establishes the duties prescribed by law first (p. 53; R., p. 48) through the so-called duty towards oneself, — the duty of not ending one's life voluntarily, if the pain outweigh the pleasure. Accordingly, the rule of suicide is held to be not even thinkable as a general natural law. I, on the contrary, maintain that, since here there can be no intervention of state control, it is exactly this rule which is proved to be an actually existing, unchecked natural law. For it is absolutely certain (as daily experience attests) that men in the vast majority of cases turn to self-destruction directly the gigantic strength of the innate instinct of self-preservation is distinctly overpowered by great suffering. To suppose that there is any thought whatever that can have a deferring effect, after the fear of death, which is so strong and so closely bound up with the nature of every living thing, has shown itself powerless; in other words, to suppose that there is a thought still mightier than this fear — is a daring assumption, all the more so, when we see, that it is one which is so difficult to discover that the moralists are not yet able to determine it with precision. In any case, it is certain that arguments against suicide of the sort put forward by Kant in this connection (p. 53: R., p. 48, and p. 67; R., p. 57) have never hitherto restrained any one tired of life even for a moment. Thus a natural law, which incontestably exists, and is operative every day, is declared by Kant

to be simply unthinkable without contradiction, and all for the sake of making his Moral Principle the basis of the classification of duties! At this point it is, I confess, not without satisfaction that I look forward to the groundwork which I shall give to Ethics in the sequel. From it the division of Duty into what is prescribed by law, and what is taught by love, or, better, into justice and loving-kindness, results quite naturally though a principle of separation which arises from the nature of the subject, and which entirely of itself draws a sharp line of demarkation; so that the foundation of Morals, which I shall present, has in fact ready to hand that confirmation, to which Kant, with a view to support his own position, lays a completely groundless claim.

## ENDNOTES.

<sup>1</sup> How rashly do we sanction an unjust law, which will come home to ourselves! — (Hor., *Sat.*, Lib. I., iii. 67.)

<sup>2</sup> “Here is Rhodes, here make your leap!” *I.e.*, “Here is the place of trial, here let us see what you can do!” This Latin proverb is derived from one of Aesop’s fables. A braggart boasts of having once accomplished a wonderful jump in Rhodes, and appeals to the evidence of the eye-witnesses. The bystanders then exclaim: “Friend, if this be true, you have no need of witnesses; for this is Rhodes, and your leap you can make here.” The words are: ἀλλ’ ὦ φίλε, εἰ τοῦτο ἀληθές ἐστίν, οὐδὲν δεῖ σοι μαρτύρων αὕτη γὰρ Ῥόδος καὶ πηδημα. *V. Fabulae Aesopicae Collectae*. Edit. Halm, Leipzig: Teubner. 1875. Nr. 203b, p. 102. The other version of the fable (Nr. 203, p. 101) gives: ὦ οὔτος, εἰ ἀλὲθὲς τοῦτ ἐστίν, οὐδὲν δεῖ σοι μαρτυρῶν ἰδὼν ἢ Ρόδος, ἰδὼν καὶ τὸ πηδημα. — (Translator.)



## CHAPTER VI.

### ON THE DERIVED FORMS OF THE LEADING PRINCIPLE OF THE KANTIAN ETHICS.

It is well known that Kant put the leading principle of his Ethics into another quite different shape, in which it is expressed directly; the first being indirect, indeed nothing more than an indication as to how the principle is to be sought for. Beginning at p. 63 (R., p. 55), he prepares the way for his second formula by means of very strange, ambiguous, not to say distorted,<sup>1</sup> definitions of the conceptions End and Means, which may be much more simply and correctly denoted thus: an End is the direct motive of an act of the Will, a Means the indirect: *simplex sigillum veri* (simplicity is the seal of truth). Kant, however, slips through his wonderful enunciations to the statement: "Man, indeed every rational being, exists as an end in himself." On this I must remark that "to exist as an end in oneself" is an unthinkable expression, a *contradictio in adjecto*.<sup>2</sup> To be an end means to be an object of volition. Every end can only exist in relation to a will, whose end, *i.e.*, (as above stated), whose direct motive it is. Only thus can the idea, "end" have any sense, which is lost as soon as such connection is broken. But this relation, which is essential to the thing, necessarily excludes every "in itself." "End in oneself" is exactly like saying: "Friend in oneself; — enemy in oneself; — uncle in oneself; — north or east in itself; — above or below in itself;" and so on. At bottom the "end in itself" is in the same case as the "absolute ought"; the same thought — the theological — secretly, indeed, unconsciously lies at the root of each as its condition. Nor is the "absolute worth," which is supposed to be attached to this alleged, though unthinkable, "end in itself," at all better circumstanced. It also must be characterised, without pity, as a *contradictio in adjecto*. Every "worth" is a valuation by comparison, and its bearing is necessarily twofold. First, it is relative, since it exists for some one; and secondly, it is comparative, as being compared with something else, and estimated accordingly. Severed from these two conditions, the conception, "worth," loses all sense and meaning, and so obviously, that further demonstration is needless. But more: just as the phrases "end in itself" and "absolute worth" outrage logic, so true morality is outraged by the statement on p. 65 (R., p. 56), that irrational beings (that is, animals) are

things, and should therefore be treated simply as means, which are not at the same time ends. In harmony with this, it is expressly declared in the *Metaphysische Anfangsgründe der Tugendlehre*, § 16: “A man can have no duties towards any being, except towards his fellow-men;” and then, § 17, we read: “To treat animals cruelly runs counter to the duty of man towards himself; because it deadens the feeling of sympathy for them in their sufferings, and thus weakens a natural tendency which is very serviceable to morality in relation to other men.” So one is only to have compassion on animals for the sake of practice, and they are as it were the pathological phantom on which to train one’s sympathy with men! In common with the whole of Asia that is not tainted by Islâm (which is tantamount to Judaism), I regard such tenets as odious and revolting. Here, once again, we see withal how entirely this philosophical morality, which is, as explained above, only a theological one in disguise, depends in reality on the biblical Ethics. Thus, because Christian morals leave animals out of consideration (of which more later on); therefore in philosophical morals they are of course at once outlawed; they are merely “things,” simply means to ends of any sort; and so they are good for vivisection, for deer-stalking, bull-fights, horse-races, etc., and they may be whipped to death as they struggle along with heavy quarry carts. Shame on such a morality which is worthy of Pariahs, Chandalas and Mlechhas<sup>3</sup>; which fails to recognise the Eternal Reality immanent in everything that has life, and shining forth with inscrutable significance from all eyes that see the sun! This is a morality which knows and values only the precious species that gave it birth; whose characteristic — reason — it makes the condition under which a being may be an object of moral regard.

By this rough path, then, — indeed, *per fas et nefas* (by fair means and by foul), Kant reaches the second form in which he expresses the fundamental principle of his Ethics: “Act in such a way that you at all times treat mankind, as much in your own person, as in the person of every one else, not only as a Means, but also as an End.” Such a statement is a very artificial and roundabout way of saying: “Do not consider yourself alone, but others also;” this in turn is a paraphrase for: *Quod tibi fieri non vis, alteri ne feceris* (do not to another what you are unwilling should be done to yourself); and the latter, as I have said, contains nothing but the premises to the conclusion, which is the true and final goal of all morals and of all moralising; *Neminem laede, immo omnes, quantum potes juva* (do harm to

no one; but rather help all people as far as lies in your power). Like all beautiful things, this proposition looks best unveiled. Be it only observed that the alleged duties towards oneself are dragged into this second Kantian edict intentionally and not without difficulty. Some place of course had to be found for them.<sup>4</sup>

Another objection that could be raised against the formula is that the malefactor condemned to be executed is treated merely as an instrument, and not as an end, and this with perfectly good reason; for he is the indispensable means of upholding the terror of the law by its fulfilment, and of thus accomplishing the law's end — the repression of crime.

But if this second definition helps nothing towards laying a foundation for Ethics, if it cannot even pass muster as its leading principle, that is, as an adequate and direct summary of ethical precepts; it has nevertheless the merit of containing a fine *aperçu* of moral psychology, for it marks egoism by an exceedingly characteristic token, which is quite worth while being here more closely considered. This egoism, then, of which each of us is full, and to conceal which, as our *partie honteuse*, we have invented politeness, is perpetually peering through every veil cast over it, and may especially be detected in the fact that our dealings with all those, who come across our path, are directed by the one object of trying to find, before everything else, and as if by instinct, a possible means to any of the numerous ends with which we are always engrossed. When we make a new acquaintance, our first thought, as a rule, is whether the man can be useful to us in some way. If he can do nothing for our benefit, then as soon as we are convinced of this, he himself generally becomes nothing to us. To seek in all other people a possible means to our ends, in other words, to make them our instruments, is almost part of the very nature of human eyes; and whether the instrument will have to suffer more or less in the using, is a thought which comes much later, sometimes not at all. That we assume others to be similarly disposed is shown in many ways; *e.g.*, by the fact that, when we ask any one for information or advice, we lose all confidence in his words directly we discover that he may have some interest in the matter, however small or remote. For then we immediately take for granted that he will make us a means to his ends, and hence give his advice not in accordance with his discernment, but with his desire, and this, no matter how exact the former may be, or how little the latter seem involved; since we know only too well that a cubic inch of desire weighs much more than a cubic yard of

discernment. Conversely, when we ask in such cases: “What ought I to do?” as a rule, nothing else will occur to our counsellor, but how we should shape our action to suit his own ends; and to this effect he will give his reply immediately, and as it were mechanically, without so much as bestowing a thought on our ends; because it is his Will that directly dictates the answer, or ever the question can come before the bar of his real judgment. Hence he tries to mould our conduct to his own benefit, without even being conscious of it, and while he supposes that he is speaking out of the abundance of his discernment, in reality he is nothing but the mouth-piece of his own desire; indeed, such self-deception may lead him so far as to utter lies, without being aware of it. So greatly does the influence of the Will preponderate that of the Intelligence. Consequently, it is not the testimony of our own consciousness, but rather, for the most part, that of our interest, which avails to determine whether our language be in accordance with what we discern, or what we desire. To take another case. Let us suppose that a man pursued by enemies and in danger of life, meets a pedlar and inquires for some by-way of escape; it may happen that the latter will answer him by the question: “Do you need any of my wares?” It is not of course meant that matters are always like this. On the contrary, many a man is found to show a direct and real participation in another’s weal and woe, or (in Kant’s language) to regard him as an end and not as a means. How far it seems natural, or the reverse, to each one to treat his neighbour for once in the way as an end, instead of (as usual) a means, — this is the criterion of the great ethical difference existing between character and character; and that on which the mental attitude of sympathy rests in the last resort will be the true basis of Ethics, and will form the subject of the third part of this Essay.

Thus, in his second formula, Kant distinguishes Egoism and its opposite by a very characteristic trait; and this point of merit I have all the more gladly brought out into strong light and illustrated, because in other respects there is little in the groundwork of his Ethics that I can admit.

The third and last form in which Kant put forward his Moral Principle is the Autonomy of the Will: “The Will of every rational being is universally legislative for all rational beings.” This of course follows from the first form. As a consequence of the third, however, we are asked to believe (see p. 71; R., p. 60) that the specific characteristic of the Categorical Imperative lies in the renunciation of all interest by the Will when acting from a sense

of duty. All previous moral principles had thus (he says) broken down, “because the latter invariably attributed to human actions at bottom a certain interest, whether originating in compulsion, or in pleasurable attraction — an interest which might be one’s own, or another’s” (p. 73; R., p. 62). (Another’s: let this be particularly noticed.) “Whereas a universally legislative Will must prescribe actions which are not based on any interest at all, but solely on a feeling of duty.” I beg the reader to think what this really means. As a matter of fact, nothing less than volition without motive, in other words, effect without cause. Interest and Motive are interchangeable ideas; what is interest but *quod mea interest*, that which is of importance to me? And is not this, in one word, whatever stirs and sets in motion my Will? Consequently, what is an interest other than the working of a motive upon the Will? Therefore where a motive moves the Will, there the latter has an interest; but where the Will is affected by no motive, there in truth it can be as little active, as a stone is able to leave its place without being pushed or pulled. No educated person will require any demonstration of this. It follows that every action, inasmuch as it necessarily must have a motive, necessarily also presupposes an interest. Kaut, however, propounds a second entirely new class of actions which are performed without any interest, *i.e.*, without motive. And these actions are — all deeds of justice and loving-kindness! It will be seen that this monstrous assumption, to be refuted, needed only to be reduced to its real meaning, which was concealed through the word “interest” being trifled with. Meanwhile Kant celebrates (p. 74 sqq.; R., p. 62) the triumph of his Autonomy of the Will by setting up a moral Utopia called the Kingdom of Ends, which is peopled with nothing but rational beings *in abstracto*. These, one and all, are always willing, without willing any actual thing (*i.e.*, without interest): the only thing that they will is that they may all perpetually will in accordance with one maxim (*i.e.*, Autonomy). *Difficile est satiram non scribere*<sup>5</sup> (it is difficult to refrain from writing a satire).

But there is something else to which Kant is led by his autonomy of the will; and it involves more serious consequences than the little innocent Kingdom of Ends, which is perfectly harmless and may be left in peace. I mean the conception of human dignity. Now this “dignity” is made to rest solely on man’s autonomy, and to lie in the fact that the law which he ought to obey is his own work, his relation to it thus being the same as that of the subjects of a constitutional government to their statutes. As an ornamental

finish to the Kantian system of morals such a theory might after all be passed over. Only this expression “Human Dignity,” once it was uttered by Kant, became the shibboleth of all perplexed and empty-headed moralists. For behind that imposing formula they concealed their lack, not to say, of a real ethical basis, but of any basis at all which was possessed of an intelligible meaning; supposing cleverly enough that their readers would be so pleased to see themselves invested with such a “dignity” that they would be quite satisfied.<sup>6</sup> Let us, however, look at this conception a little more carefully, and submit it to the test of reality. Kant (p. 79; R., p. 66) defines dignity as “an unconditioned, incomparable value.” This is an explanation which makes such an effect by its magnificent sound that one does not readily summon up courage to examine it at close quarters; else we should find that it too is nothing but a hollow hyperbole, within which there lurks like a gnawing worm, the *contradictio in adjecto*. Every value is the estimation of one thing compared with another; it is thus a conception of comparison, and consequently relative; and this relativity is precisely that which forms the essence of the idea. According to Diogenes Laertius (Book VII., chap. 106),<sup>7</sup> this was already correctly taught by the Stoics. He says: *τὴν δὲ ἀξίαν εἶναι ἀμοιβὴν δοκιμάστων, ἣν ἂν ὁ ἔμπειρος τῶν Πραγμάτων τάξῃ ὅμοιον ἐπέτεῖν, ἀμείβεσθαι πυροῦς πρὸς τὰς σὺν ἡμιονῷ κριθάς.*<sup>8</sup> An incomparable, unconditioned, absolute value, such as “dignity” is declared by Kant to be, is thus, like so much else in Philosophy, the statement in words of a thought which is really unthinkable; just as much as “the highest number,” or “the greatest space.”

*“Doch eben wo Begriffe fehlen,  
Da stellt ein WORT zu rechter Zeit sich ein.”*  
(But where conceptions fail,  
Just there a WORD comes in to fill the blank.)

So it was with this expression, “Human Dignity.” A most acceptable phrase was brought into currency. Thereon every system of Morals, that was spun out through all classes of duty, and all forms of casuistry, found a broad basis; from which serene elevation it could comfortably go on preaching.

At the end of his exposition (p. 124; E., p. 97), Kant says: “But how it is that Pure Reason without other motives, that may have their derivation



elsewhere, can by itself be practical; that is, how, without there being any object for the Will to take an antecedent interest in, the simple principle of the universal validity of all the precepts of Pure Reason, as laws, can of itself provide a motive and bring about an interest which may be called purely moral; or, in other words, how it is that Pure Reason can be practical; — to explain this problem, all human reason is inadequate, and all trouble and work spent on it are vain.” Now it should be remembered that, if any one asserts the existence of a thing which cannot even be conceived as possible, it is incumbent on him to prove that it is an actual reality; whereas the Categorical Imperative of Practical Reason is expressly not put forward as a fact of consciousness, nor otherwise founded on experience. Rather are we frequently cautioned not to attempt to explain it by having recourse to empirical anthropology. (Cf. *e.g.*, p. vi. of the preface; R., p. 5; and pp. 59, 60; R., p. 52). Moreover, we are repeatedly (*e.g.*, p. 48; R., p. 44) assured “that no instance can show, and consequently there can be no empirical proof, that an Imperative of this sort exists everywhere.” And further, on p. 49 (R., p. 45), we read, “that the reality of the Categorical Imperative is not a fact of experience.” Now if we put all this together, we can hardly avoid the suspicion that Kant is jesting at his readers’ expense. But although this practice may be allowed by the present philosophical public of Germany, and seem good in their eyes, yet in Kant’s time it was not so much in vogue; and besides, Ethics, then, as always, was precisely the subject that least of all could lend itself to jokes. Hence we must continue to hold the conviction that what can neither be conceived as possible, nor proved as actual, is destitute of all credentials to attest its existence. And if, by a strong effort of the imagination, we try to picture to ourselves a man, possessed, as it were, by a *daemon*, in the form of an absolute Ought, that speaks only in Categorical Imperatives, and, confronting his wishes and inclinations, claims to be the perpetual controller of his actions; in this figure we see no true portrait of human nature, or of our inner life; what we do discern is an artificial substitute for theological Morals, to which it stands in the same relation as a wooden leg to a living one.

Our conclusion, therefore, is, that the Kantian Ethics, like all anterior systems, is devoid of any sure foundation. As I showed at the outset, in my examination of its imperative Form, the structure is at bottom nothing but an inversion of theological Morals, cloaked in very abstract formulae of an apparently *a priori* origin. That this disguise was most artificial and

unrecognisable is the more certain, from the fact that Kant, in all good faith, was actually himself deceived by it, and really believed that he could establish, independently of all theology, and on the basis of pure intelligence *a priori*, those conceptions of the Law and of the hefts of Duty, which obviously have no meaning except in theological Ethics; whereas I have sufficiently proved that with him they are destitute of all real foundation, and float loosely in mid air. However, the mask at length falls away in his own workshop, and theological Ethics stands forth unveiled, as witness his doctrine of the Highest Good, the Postulates of Practical Reason; and lastly, his Moral Theology. But this revelation freed neither Kant nor the public from their illusion as to the real state of things; on the contrary, both he and they rejoiced to see all those precepts, which hitherto had been sanctioned by Faith, now ratified and established by Ethics (although only *idealiter*, and for practical purposes). The truth is that they, in all sincerity, put the effect for the cause, and the cause for the effect, inasmuch as they failed to perceive that at the root of this system of Morals there lay, as absolutely necessary assumptions, however tacit and concealed, all the alleged consequences that had been drawn from it.

At the end of this severe investigation, which must also have been tiring to my readers, perhaps I may be allowed, by way of diversion, to make a jesting, indeed frivolous comparison. I would liken Kant, in his self-mystification, to a man who at a ball has been flirting the whole evening with a masked beauty, in hopes of making a conquest; till at last, throwing off her disguise, she reveals herself — as his wife.



## ENDNOTES.

<sup>1</sup> To keep the play of words in “*geschrobene*,” “*verschrobene*,” we may perhaps render them: “twisted ... mistwisted.” — (*Translator*.)

<sup>2</sup> A contradiction in that which is added. A term applied to two ideas which cannot be brought into a thinkable relationship. — (*Translator*.)

<sup>3</sup> A Chaṇḍāla (or Āṇḍāla) means one who is born of a Brahman woman by a Śūdra husband, such a union being an abomination. Hence it is a term applied to a low common person. Mlechcha (or Mlec̥c̥ha) means a foreigner; one who does not speak Sanskrit, and is not subject to Hindu institutions. The transition from a “a barbarian” to a bad or wicked man, is easy. — (*Translator*.)

<sup>4</sup> These so-called duties have been discussed in Chapter III. of this Part.

<sup>5</sup> Juvenal, *Sat.* I. 30.

<sup>6</sup> It appears that G. W. Block in his *Neue Grundlegung der Philosophie der Sitten*, 1802, was the first to make “Human Dignity” expressly and exclusively the foundation-stone of Ethics, which he then built up entirely on it.

<sup>7</sup> V. Diogenes Laertius, *de Clarorum Philosophorum Vitis, etc.*, edit. O. Gabr. Cobet. Paris; Didot, 1862. In this edition the passage quoted is in chap. 105 *ad fin.*, p. 182. — (*Translator*.)

<sup>8</sup> They teach that “worth” is the equivalent value of a thing which has been tested, whatever an expert may fix that value to be; as, for instance, to take wheat in exchange for barley and a mule. — (*Translator*.)

## CHAPTER VII.

### KANT'S DOCTRINE OF CONSCIENCE.

The alleged Practical Reason with its Categorical Imperative, is manifestly very closely connected with Conscience, although essentially different from it in two respects. In the first place, the Categorical Imperative, as commanding, necessarily speaks before the act, whereas Conscience does not till afterwards. Before the act Conscience can at best only speak indirectly, that is, by means of reflection, which holds up to it the recollection of previous cases, in which similar acts after they were committed received its disapproval. It is on this that the etymology of the word *Gewissen* (Conscience) appears to me to rest, because only what has already taken place is *gewiss*<sup>1</sup> (certain). Undoubtedly, through external inducement and kindled emotion, or by reason of the internal discord of bad humour, impure, base thoughts, and evil desires rise up in all people, even in the best. But for these a man is not morally responsible, and need not load his conscience with them; since they only show what the genus *homo*, not what the individual, who thinks them, would be capable of doing. Other motives, if not simultaneously, yet almost immediately, come into his consciousness, and confronting the unworthy inclinations prevent them from ever being crystallised into deeds; thus causing them to resemble the out-voted minority of an acting committee. By deeds alone each person gains an empirical knowledge no less of himself than of others, just as it is deeds alone that burden the conscience. For, unlike thoughts, these are not problematic; on the contrary, they are certain (*gewiss*), they are unchangeable, and are not only thought, but known (*gewusst*). The Latin *conscientia*,<sup>2</sup> and the Greek *συνείδησις*<sup>3</sup> have the same sense. Conscience is thus the knowledge that a man has about what he has done.

The second point of difference between the alleged Categorical Imperative and Conscience is, that the latter always draws its material from experience; which the former cannot do, since it is purely *a priori*. Nevertheless, we may reasonably suppose that Kant's Doctrine of Conscience will throw some light on this new conception of an absolute Ought which he introduced. His theory is most completely set forth in the *Metaphysische Anfangsgründe zur Tugendlehre*, § 13, and in the following

criticism I shall assume that the few pages which contain it are lying before the reader.

The Kantian interpretation of Conscience makes an exceedingly imposing effect, before which one used to stand with reverential awe, and all the less confidence was felt in demurring to it, because there lay heavy on the mind the ever-present fear of having theoretical objections construed as practical, and, if the correctness of Kant's view were denied, of being regarded as devoid of conscience. I, however, cannot be led astray in this manner, since the question here is of theory, not of practice; and I am not concerned with the preaching of Morals, but with the exact investigation of the ultimate ethical basis.

We notice at once that Kant employs exclusively Latin legal terminology, which, however, would seem little adapted to reflect the most secret stirrings of the human heart. Yet this language, this judicial way of treating the subject, he retains from first to last, as though it were essential and proper to the matter. And so we find brought upon the stage of our inner self a complete Court of justice, with indictment, judge, plaintiff, defendant, and sentence; — nothing is wanting. Now if this tribunal, as portrayed by Kant, really existed in our breasts, it would be astonishing if a single person could be found to be, I do not say, so bad, but so stupid, as to act against his conscience. For such a supernatural assize, of an entirely special kind, set up in our consciousness, such a secret court — like another Fehmgericht<sup>4</sup> — held in the dark recesses of our inmost being, would inspire everybody with a terror and fear of the gods strong enough to really keep him from grasping at short transient advantages, in face of the dreadful threats of superhuman powers, speaking in tones so near and so clear. In real life, on the contrary, we find, that the efficiency of conscience is generally considered such a vanishing quantity that all peoples have bethought themselves of helping it out by means of positive religion, or even of entirely replacing it by the latter. Moreover, if Conscience were indeed of this peculiar nature, the Royal Society could never have thought of the question put for the present Prize Essay.

But if we look more closely at Kant's exposition, we shall find that its imposing effect is mainly produced by the fact that he attributes to the moral verdict passed on ourselves, as its peculiar and essential characteristic, a form which in fact is not so at all. This metaphorical bar of

judgment is no more applicable to moral self-examination than it is to every other reflection as regards what we have done, and might have done otherwise, where no ethical question is involved. For it is not only true that the same procedure of indictment, defence, and sentence is occasionally assumed by that obviously spurious and artificial conscience which is based on mere superstition; as, for instance, when a Hindu reproaches himself with having been the murderer of a cow, or when a Jew remembers that he has smoked his pipe at home on the Sabbath; but even the self-questioning which springs from no ethical source, being indeed rather unmoral than moral, often appears in a shape of this sort, as the following case may exemplify. Suppose I, good-naturedly, but thoughtlessly, have made myself surety for a friend, and suppose there comes with evening the clear perception of the heavy responsibility I have taken on myself — a responsibility that may easily involve me in serious trouble, as the wise old saying, *ἔγγυα παρὰ δ' ἄτα!*<sup>2</sup> predicts; then at once there rise up within me the Accuser and the Counsel for the defence, ready to confront each other. The latter endeavours to palliate my rashness in giving bail so hastily, by pointing out the stress of circumstance or of obligation, or, it may be, the simple straightforwardness of the transaction; perhaps he even seeks excuse by commending my kind heart. Last of all comes the Judge who inexorably passes the sentence: “A fool’s piece of work!” and I am overwhelmed with confusion. So much for this judicial form of which Kant is so fond; his other modes of expression are, for the most part, open to the same criticism. For instance, that which he attributes to conscience, at the beginning of the paragraph, as its peculiar property, applies equally to all other scruples of an entirely different sort. He says: “It (conscience) follows him like his shadow, try though he may to escape. By pleasures and distractions he may be stupefied and bled to sleep, but he cannot avoid occasionally waking up and coming to himself; and then he is immediately aware of the terrible voice,” etc. Obviously, this may be just as well understood, word for word, of the secret consciousness of some person of private means, who feels that his expenses far exceed his income, and that thus his capital is being affected, and will gradually melt away.

We have seen that Kant represents the use of legal terms as essential to the subject, and that he keeps to them from beginning to end; let it now be noted how he employs the same style for the following finely devised sophism. He says: “That a person accused by his conscience should be

identified with the judge is an absurd way of portraying a court of justice; for in that case the accuser would invariably lose.” And he adds, by way of elucidating this statement, a very ambiguous and obscure note. His conclusion is that, if we would avoid falling into a contradiction, we must think of the judge (in the judicial conscience-drama that is enacted in our breasts) as different from us, in fact, as another person; nay more, as one that is an omniscient knower of hearts, whose hests are obligatory on all, and who is almighty for every purpose of executive authority.<sup>6</sup> He thus passes by a perfectly smooth path from conscience to superstition, making the latter a necessary consequence of the former; while he is secretly sure that he will be all the more willingly followed because the reader’s earliest training will have certainly rendered him familiar with such ideas, if not have made them his second nature. Here, then, Kant finds an easy task, — a thing he ought rather to have despised; for he should have concerned himself not only with preaching, but also with practising truthfulness. I entirely reject the above quoted sentence, and all the conclusions consequent thereon, and I declare it to be nothing but a shuffling trick. It is not true that the accuser must always lose, when the accused is the same person as the judge; at least not in the court of judgment in our hearts. In the instance I gave of one man going surety for another, did the accuser lose? Or must we in this case also, if we wish to avoid a contradiction, really assume a personification after Kant’s fashion, and be driven to view objectively as another person that voice whose deliverance would have been those terrible words: “A fool’s piece of work!”? A sort of Mercury, forsooth, in living flesh? Or perhaps a prosopopoeia of the *Mῆτις* (cunning) recommended by Homer (*Il.* xxiii. 313 sqq.)?<sup>7</sup> But thus we should only be landed, as before, on the broad path of superstition, aye, and pagan superstition too.

It is in this passage that Kant indicates his Moral Theology, briefly indeed, yet not without all its vital points. The fact that he takes care, not to attribute to it any objective validity, but rather to present it merely as a form subjectively unavoidable, does not free him from the arbitrariness with which he constructs it, even though he only claims its necessity for human consciousness. His fabric rests, as we have seen, on a tissue of baseless assumptions.

So much, then, is certain. The entire imagery — that of a judicial drama — whereby Kant depicts conscience is wholly unessential and in no way

peculiar to it; although he keeps this figure, as if it were proper to the subject, right through to the end, in order finally to deduce certain conclusions from it. As a matter of fact it is a sufficiently common form, which our thoughts easily take when we consider any circumstance of real life. It is due for the most part to the conflict of opposing motives which usually spring up, and which are successively weighed and tested by our reflecting reason. And no difference is made whether these motives are moral or egoistic in their nature, nor whether our deliberations are concerned with some action in the past, or in the future. Now if we strip from Kant's exposition its dress of legal metaphor, which is only an optional dramatic appendage, the surrounding nimbus with all its imposing effect immediately disappears as well, and there remains nothing but the fact that sometimes, when we think over our actions, we are seized with a certain self-dissatisfaction, which is marked by a special characteristic. It is with our conduct *per se* that we are discontented, not with its result, and this feeling does not, as in every other case in which we regret the stupidity of our behaviour, rest on egoistic grounds. For on these occasions the cause of our dissatisfaction is precisely because we have been too egoistic, because we have taken too much thought for ourselves, and not enough for our neighbour; or perhaps even because, without any resulting advantage, we have made the misery of others an object in itself. That we may be dissatisfied with ourselves, and saddened by reason of sufferings which we have inflicted, not undergone, is a plain fact and impossible to be denied. The connection of this with the only ethical basis that can stand an adequate test we shall examine further on. But Kant, like a clever special pleader, tried by magnifying and embellishing the original *datum* to make all that he possibly could of it, in order to prepare a very broad foundation for his Ethics and Moral Theology.

## ENDNOTES.

<sup>1</sup> Both words are, of course, derived from *wissen* = *scire* = *εἰδέναι*. — (Translator.)

<sup>2</sup> Cf. Horace's *conscire sibi, pallescere culpa*: *Epist.* I. 1, 61. To be conscious of having done wrong, to turn pale at the thought of the crime.

<sup>3</sup> *Συνείδησις* = *consciousness* (of right or wrong done). — (Translator.)

<sup>4</sup> The celebrated Secret Tribunal of Westphalia, which came into prominence about A.D. 1220. In A.D. 1335 the Archbishop of Cologne was appointed head of all the Fehme benches in Westphalia by the Emperor Charles IV. The reader will remember the description of the trial scene in Scott's *Anne of Geierstein*. Perhaps the Court of Star Chamber comes nearest to it in English History. — (Translator.)

<sup>5</sup> If you give a pledge, be sure that Ate (the goddess of mischief) is beside you; *i.e.*, beware of giving pledges. — Thales ap. Plat. *Charm.* 165 A.

<sup>6</sup> Kant leads up to this position with great ingenuity, by having recourse to the theory of the two characters coexistent in man — the *noumenal* (or *intelligible*) and the *empirical*; the one being in time, the other, timeless; the one, fast bound by the law of causality, the other free. — (Translator.)

<sup>7</sup> Greek: *Ἄλλ' ἄγε δὴ σὺ, φίλος, μέτιν ἐμβάλλεο θυμῷ, κ.τ.λ.*



## CHAPTER VIII.

### KANT'S DOCTRINE OF THE INTELLIGIBLE <sup>1</sup> AND EMPIRICAL CHARACTER. THEORY OF FREEDOM.

The attack I have made, in the cause of truth, on Kant's system of Morals, does not, like those of my predecessors, touch the surface only, but penetrates to its deepest roots. It seems, therefore, only just that, before I leave this part of my subject, I should bring to remembrance the brilliant and conspicuous service which he nevertheless rendered to ethical science. I allude to his doctrine of the co-existence of Freedom and Necessity. We find it first in the *Kritik der Reinen Vernunft* (pp. 533-554 of the first, and pp. 561-582 of the fifth, edition); but it is still more clearly expounded in the *Kritik der Praktischen Vernunft* (fourth edition, pp. 169-179; R., pp. 224-231).

The strict and absolute necessity of the acts of Will, determined by motives as they arise, was first shown by Hobbes, then by Spinoza, and Hume, and also by Dietrich von Holbach in his *Système de la Nature*; and lastly by Priestley it was most completely and precisely demonstrated. This point, indeed, has been so clearly proved, and placed beyond all doubt, that it must be reckoned among the number of perfectly established truths, and only crass ignorance could continue to speak of a freedom, of a *liberum arbitrium indifferentiae* (a free and indifferent choice) in the individual acts of men. Nor did Kant, owing to the irrefutable reasoning of his predecessors, hesitate to consider the Will as fast bound in the chains of Necessity, the matter admitting, as he thought, of no further dispute or doubt. This is proved by all the passages in which he speaks of freedom only from the theoretical standpoint. Nevertheless, it is true that our actions are attended with a consciousness of independence and original initiative, which makes us recognise them as our own work, and every one with ineradicable certainty feels that he is the real author of his conduct, and morally responsible for it. But since responsibility implies the possibility of having acted otherwise, which possibility means freedom in some sort or manner; therefore in the consciousness of responsibility is indirectly involved also the consciousness of freedom. The key to resolve the contradiction, that thus arises out of the nature of the case, was at last found by Kant through the distinction he drew with profound acumen, between



phaenomena and the Thing in itself (*das Ding an sich*). This distinction is the very core of his whole philosophy, and its greatest merit.

The individual, with his immutable, innate character strictly determined in all his modes of expression by the law of Causality, which, as acting through the medium of the intellect, is here called by the name of Motivation, — the individual so constituted is only the phaenomenon (*Erscheinung*). The Thing in itself which underlies this phaenomenon is outside of Time and Space, consequently free from all succession and plurality, one, and changeless. Its constitution in itself is the intelligible character, which is equally present in all the acts of the individual, and stamped on every one of them, like the impress of a signet on a thousand seals. The empirical character of the phaenomenon — the character which manifests itself in time, and in succession of acts — is thus determined by the intelligible character; and consequently, the individual, as phaenomenon, in all his modes of expression, which are called forth by motives, must show the invariableness of a natural law. Whence it results that all his actions are governed by strict necessity. Now it used to be commonly maintained that the character of a man may be transformed by moral admonitions and remonstrances appealing to reason; but when the distinction between the intelligible and empirical character had once been drawn, it followed that the unchangeableness, the inflexible rigidity of the empirical character, which thinking people had always observed, was explained and traced to a rational basis, and consequently accepted as an established fact by Philosophy. Thus the latter was so far harmonised with experience, and ceased to stand abashed, before popular wisdom, which long before had spoken the words of truth in the Spanish proverb: *Lo que entra con el capillo, sale con la mortaja* (that which comes in with the child's cap, goes out with the winding-sheet); or: *Lo que en la leche se mama, en la mortaja se derrama* (what is imbibed with the milk, is poured out again in the winding-sheet).

This doctrine of the co-existence of Freedom and Necessity I regard as the greatest of all the achievements of human sagacity. With the Transcendental Aesthetics it forms the two great diamonds in the crown of Kant's fame, which will never pass away. In his Treatise on Freedom, Schelling obviously served up the Kantian teaching in a paraphrase, which by reason of its lively colouring and graphic delineation, is for many people more comprehensible. The work would deserve praise if its author had had

the honesty to say that he is drawing on Kant's wisdom, not on his own. As it is, a certain part of the philosophic public still credits him with the entire performance.

The theory itself, and the whole question regarding the nature of Freedom, can be better understood if we view them in connection with a general truth, which I think, is most concisely expressed by a formula frequently occurring in the scholastic writings: *Operari sequitur esse*.<sup>2</sup> In other words, everything in the world operates in accordance with what it is, in accordance with its inherent nature, in which, consequently, all its modes of expression are already contained potentially, while actually they are manifested when elicited by external causes; so that external causes are the means whereby the essential constitution of the thing is revealed. And the modes of expression so resulting form the empirical character; whereas its hidden, ultimate basis, which is inaccessible to experience, is the intelligible character, that is, the real nature *per se* of the particular thing in question. Man forms no exception to the rest of nature; he too has a changeless character, which, however, is strictly individual and different in each case. This character is of course empirical as far as we can grasp it, and therefore only phaenomenal; while the intelligible character is whatever may be the real nature in itself of the person. His actions one and all, being, as regards their external constitution, determined by motives, can never be shaped otherwise than in accordance with the unchangeable individual character. As a man is, so he is bound to act. Hence for a given person in every single case, there is absolutely only one way of acting possible: *Operari sequitur esse*.<sup>3</sup> Freedom belongs only to the intelligible character, not to the empirical. The *operari* (conduct) of a given individual is necessarily determined externally by motives, internally by his character; therefore everything that he does necessarily takes place. But in his *esse* (*i.e.*, in what he is), there, we find Freedom. He might have been something different; and guilt or merit attaches to that which he is. All that he does follows from what he is, as a mere corollary. Through Kant's doctrine we are freed from the primary error of connecting Necessity with *esse* (what one is), and Freedom with *operari* (what one does); we become aware that this is a misplacement of terms, and that exactly the inverse arrangement is the true one. Hence it is clear that the moral responsibility of a man, while it, first of all, and obviously, of course, touches what he does, yet at bottom touches what he is; because, what he is being the original datum, his conduct, as

motives arise, could never take any other course than that which it actually does take. But, however strict be the necessity, whereby, in the individual, acts are elicited by motives, it yet never occurs to anybody — not even to him who is convinced of this necessity — to exonerate himself on that account, and cast the blame on the motives; for he knows well enough that, objectively considered, any given circumstance, and its causes, perfectly admitted quite a different, indeed, a directly opposite course of action; nay, that such a course would actually have taken place, if only he had been a different person. That he is precisely such a one as his conduct proclaims him to be, and no other — this it is for which he feels himself responsible; in his *esse* (what he is) lies the vulnerable place, where the sting of conscience penetrates. For Conscience is nothing but acquaintance with one's own self — an acquaintance that arises out of one's actual mode of conduct, and which becomes ever more intimate. So that it is the *esse* (what one is) which in reality is accused by conscience, while the *operari* (what one does) supplies the incriminating evidence. Since we are only conscious of Freedom through the sense of responsibility; therefore where the latter lies the former must also be; in the *esse* (in one's being). It is the *operari* (what one does) that is subject to necessity. But we can only get to know ourselves, as well as others empirically; we have no *a priori* knowledge of our character. Certainly our natural tendency is to cherish a very high opinion of it, because the maxim: *Quisque praesumitur bonus, donec probetur contrarium* (every one is presumed to be good, until the contrary is proved), is perhaps even more true of the inner court of justice than of the world's tribunals.

#### NOTE.

He who is capable of recognising the essential part of a thought, though clothed in a dress very different from what he is familiar with, will see, as I do, that this Kantian doctrine of the intelligible and empirical character is a piece of insight already possessed by Plato. The difference is, that with Kant it is sublimated to an abstract clearness; with Plato it is treated mythically, and connected with metempsychosis, because, as he did not perceive the ideality of Time, he could only represent it under a temporal form. The identity of the one doctrine with the other becomes exceedingly plain, if we read the explanation and illustration of the Platonic myth, which

Porphyrus has given with such clear exactitude, that its agreement with the abstract language of Kant comes out unmistakably. In the second book of his Eclogues, chap. 8, §§ 37-40,<sup>4</sup> Stobaeus has preserved for us *in extenso* that part of one of Porphyrius' lost writings which specially comments on the myth in question, as Plato gives it in the second half of the tenth book of the Republic.<sup>5</sup> The whole section is eminently worth reading. As a specimen I shall quote the short § 39, in the hope of inducing any one who cares for these things to study Stobaeus for himself. It will then immediately become apparent that this Platonic myth is nothing less than an allegory of the profound truth which Kant stated in its abstract purity, as the doctrine of the intelligible and empirical character, and consequently that the latter had been reached, in its essentials, by Plato thousands of years ago. Indeed, this view seems to go back much further still, for Porphyrius is of opinion that Plato took it from the Egyptians. Certainly we already find the same theory in the Brahmanical doctrine of metempsychosis, and it is from this Indian source that the Egyptian priests, in all probability, derived their wisdom. § 39 is as follows: —

Τὸ γὰρ ὅλον βούλημα τοιοῦτ' ἔοικεν εἶναι τὸ τοῦ Πλάτωνος ἔχειν μὲν τὸ αὐτεξουσίον τὰς ψυχὰς, πρὶν εἰς σώματα καὶ βίους διαφέρειν ἐμπεσεῖν, εἰς τὸ ἢ τοῦτον τὸν βίον ἔλθαι, ἢ ἄλλον, ὅν, μετὰ ποιᾶς ζωῆς καὶ σώματος οἰκίον τῇ ζωῇ, κτέλεσειν μέλλει (καὶ γὰρ λέοντος βίον ἐπ' αὐτῇ εἶναι ἔλθαι, καὶ ἀνδρὸς). Κακῆτι μόντοι τὸ αὐτεξουσίον, ἅμα τῇ πρὸς τινὰ τῶν τοιούτων βίων πτώσει, ἐμπεπόδισται. Κατελθοῦσαι γὰρ εἰς τὰ σώματα, καὶ ἀντὶ ψυχῶν ἀπολυτῶν γεγονῆναι ψυχὰς ζώων, τὸ αὐτεξουσίον φέρουσιν οἰκίον τῇ τοῦ ζώον κατασκευῇ, καὶ ἐφ' ὧν μὲν εἶναι πολύνουν καὶ πολυκίνητον, ὡς ἐπ' ἄνθρωπον, ἐφ' ὧν δὲ λυγοκίνητον καὶ μονότροπον, ὡς ἐπὶ τῶν ἄλλων σχεδὸν πάντων ζώων. Ἡρτῆσθαι δὲ τὸ αὐτεξουσίον τοῦτο ἀπὸ τῆς κατασκευῆς, κινούμενον μὲν ἐξ αὐτοῦ, φερόμενον δὲ κατὰ τὰς ἐκ τῆς κατασκευῆς γυγνομένας προθυμίας.<sup>6</sup>

## ENDNOTES.

<sup>1</sup> V. Note on “intelligible” in Chapter I. of this Part. — (*Translator*)

<sup>2</sup> *I.e.*, what is done is a consequence of that which is.

<sup>3</sup> *I.e.*, his acts are a consequence of what he is.

<sup>4</sup> V. Joannes Stobaeus. *Eclogae Physicae et Ethicae*, edit. Curtius Wachsmuth et Otto Hense; Weidmann, Berlin, 1884. Vol. II., pp. 163-168. — (*Translator*.)

<sup>5</sup> V. Plat., *Rep.*, edit. Stallbaum, 614 sqq. It is the *ἀπόλογος Ἡρὸς τοῦ Ἀρμενίου*. — (*translator*.)

<sup>6</sup> To sum up. What Plato meant seems to be this. Souls (he said) have free power, before passing into bodies and different modes of being, to choose this or that form of life, which they will pass through in a certain kind of existence, and in a body adapted thereto. (For a soul may choose a lion's, equally with a man's, mode of being.) But this free power of choice is removed simultaneously with entrance into one or other of such forms of life. For when once they have descended into bodies, and instead of unfettered souls have become the souls of living things, then they take that measure of free power which belongs in each case to the organism of the living thing. In some forms this power is very intelligent and full of movement, as in man; in some it has but little energy, and is of a simple nature, as in almost all other creatures. Moreover, this free power depends on the organism in such a way that while its capability of action is caused by itself alone, its impulses are determined by the desires which have their origin in the organism. — (*Translator*.)

## CHAPTER IX.

### FICHTE'S ETHICS AS A MAGNIFYING GLASS FOR THE ERRORS OF THE KANTIAN.

Just as in Anatomy and Zoology, many things are not so obvious to the pupil in preparations and natural products as in engravings where there is some exaggeration; so if there is any one who, after the above criticism, is still not entirely satisfied as to the worthlessness of the Kantian foundation of Ethics, I would recommend him Fichte's *System der Sittenlehre*, as a sure means of freeing him from all doubt.

In the old German Marionettes a fool always accompanied the emperor, or hero, so that he might afterwards give in his own way a highly coloured version of what had been said or done. In like manner behind the great Kant there stands the author of the *Wissenschaftslehre*,<sup>1</sup> a true *Wissenschaftsleere*.<sup>2</sup> In order to secure his own, and his family's welfare, Fichte formed the idea of creating a sensation by means of subtle mystification. It was a very suitable and reasonable plan, considering the nature of the German philosophic public, and he executed it admirably by outdoing Kant in every particular. He appeared as the latter's living superlative, and produced a perfect caricature of his philosophy by magnifying all its salient points. Nor did the Ethics escape similar treatment? In his *System der Sittenlehre*, we find the Categorical Imperative grown into a Despotic Imperative; while the absolute "Ought," the law-giving Reason, and the Hest of Duty have developed into a moral Fate, an unfathomable Necessity, requiring mankind to act strictly in accordance with certain maxims. To judge (pp. 308, 309) from the pompous show made, a great deal must depend on these formulae, although one never quite discovers what. So much only seems clear. As in bees there is implanted an instinct to build cells and a hive for life in common, so men (it is alleged) are endowed with an impulse leading them to play in common a great, strictly moral, world-embracing Comedy, their part being merely to figure as puppets — nothing else. But there is this important difference between the bees and men. The hive is really brought to completion; while instead of a moral World-Comedy, as a matter of fact, an exceedingly immoral one is enacted. Here, then, we see the imperative form of the Kantian Ethics, the moral Law, and the absolute "Ought" pushed further and further till a

system of ethical Fatalism is evolved, which, as it is worked out, lapses at times into the comic.<sup>3</sup>

If in Kant's doctrine we trace a certain moral pedantry; with Fichte this pedantry reaches the absurd, and furnishes abundant material for satire. Let the reader notice, for example (pp. 407-409), how he decides the well-known instance of casuistry, where of two human lives one must be lost. We find indeed all the errors of Kant raised to the superlative. Thus, on p. 199, we read: "To act in accordance with the dictates of sympathy, of compassion, and of loving-kindness is distinctly unmoral; indeed this line of conduct, as such, is contrary to morality." Again, on p. 402: "The impulse that makes us ready to serve others must never be an inconsiderate good-nature, but a clearly thought-out purpose; that, namely, of furthering as much as possible the causality of Reason." However, between these sallies of ridiculous pedantry, Fichte's real philosophic crudeness peeps out clearly enough, as we might only expect in the case of a man whose teaching left no time for learning. He seriously puts forward the *liberum arbitrium indifferentiae* (a free and indifferent choice), giving as its foundation the most trivial and frivolous reasons. (Pp. 160, 173, 205, 208, 237, 259, 261.) There can be no doubt that a motive, although working through the medium of the intelligence, is, nevertheless, a cause, and consequently involves the same necessity of effect as all other causes; the corollary being that all human action is a strictly necessary result. Whoever remains unconvinced of this, is still, philosophically speaking, barbarous, and ignorant of the rudiments of exact knowledge. The perception of the strict necessity governing man's conduct forms the line of demarcation which separates philosophic heads from all others; arrived at this limit Fichte clearly showed that he belonged to the others. Moreover, following the footsteps of Kant (p. 303), he proceeds to make various statements which are in direct contradiction to the above mentioned passages; but this inconsistency, like many more in his writings, only proves that he, being one who was never serious in the search for truth, possessed no strong convictions to build on; as indeed for his purpose they were not in the least necessary. Nothing is more laughable than the fact that this man has received so much posthumous praise for strictly consequential reasoning; his pedantic style full of loud declamation about trifling matters being actually mistaken for such.



The most complete development of Fichte's system of moral Fatalism is found in his last work: *Die Wissenschaftslehre in ihrem Allgemeinen Umrisse Dargestellt*, Berlin, 1810. It has the advantage of being only forty-six pages (duodecimo) long, while it contains his whole philosophy in a nutshell. It is therefore to be recommended to all those who consider their time too precious to be wasted on his larger productions, which are framed with a length and tediousness worthy of Christian Wolff, and with the intention, in reality, of deluding, not of instructing the reader. In this little treatise we read on p. 32: "The intuitive perception of a phaenomenal world only came about, to the end that in such a world the Ego as the absolute Ought might be visible to itself." On p. 33 we actually find: "The ought," (*i.e.*, the moral necessity,) "of the Ought's visibility;" and on p. 36: "An ought," (*i.e.*, a moral necessity,) "of the perception that I ought." This, then, is what we have come to so soon after Kant! His imperative Form, with its unproved Ought, which it secured as a most convenient  $\pi\omicron\upsilon\ \sigma\tau\tilde{\omega}$  (standpoint), is indeed an *exemplar vitiis imitabile*!

For the rest, all that I have said does not overthrow the service Fichte rendered. Kant's philosophy, this late masterpiece of human sagacity, in the very land where it arose, he obscured, nay, supplanted by empty, bombastic superlatives, by extravagances, and by the nonsense which is found, in his *Grundlage der gesamten Wissenschaftslehre*, appearing under the disguise of profound penetration. His merit was thus to show the world unmistakably what the capacity of the German philosophical public is; for he made it play the part of a child who is coaxed into giving up a precious gem in exchange for a Nürnberg toy. The fame he obtained in this fashion still lives on credit; and still Fichte is always mentioned in the same breath with Kant as being another such *Ἡρακλῆς καὶ πίθηκος*!<sup>4</sup> Indeed his name is often placed above the latter's.<sup>5</sup> It was, of course, Fichte's example that encouraged his successors in the art of enveloping the German people, in philosophic fog. These were animated by the same spirit, and crowned with the same prosperity. Every one knows their names; nor is this the place to consider them at length. Needless to say, their different opinions, down to the minutest details, are still set forth, and seriously discussed, by the Professors of Philosophy; as if one had really to do with philosophers! We must, then, thank Fichte for lucid documents now existing, which will have to be revised one day before the Tribunal of posterity, that Court of Appeal from the verdicts of the present, which — like the Last Judgment looked



forward to by the Saints — at almost all periods, has been left to give to true merit its just award.

## ENDNOTES.

<sup>1</sup> *I.e.* Scientific Doctrine.

<sup>2</sup> *I.e.* Scientific Blank. Perhaps we might translate:— “Scientific Instruction” and “Scientific Misinstruction.” — (*Translator.*)

<sup>3</sup> As evidence of the truth of my words, space prevents me from quoting more than a few passages. P. 196: “The moral instinct is absolute, and its requirements are peremptory, without any object outside itself.” P. 232: “In consequence of the Moral Law, the empirical Being in Time must be an exact copy of the original Ego.” P. 308: “The whole man is a vehicle of the Moral Law.” P. 342: “I am only an instrument, a mere tool of the Moral Law, not in any sense an end.” P. 343: “The end laid before every one is to be the means of realising Reason: this is the ultimate purpose of his existence; for this alone he has his being, and if this end should not be attained, there is not the least occasion for him to live.” P. 347: “I am an instrument of the Moral Law in the phaenomenal world.” P. 360: “It is an ordinance of the Moral Law to nourish one’s body, and study one’s health; this of course should be done in no way, and for no other purpose, except to provide an *efficient instrument* for furthering the end decreed by Reason, *i.e.*, its realisation,” — (cf. p. 371.) P. 376: “Every human body is an instrument for furthering the end decreed by Reason, *i.e.*, its realisation; therefore the greatest possible fitness of each instrument must constitute for me an end: consequently I must take thought for every one.” — This is Fichte’s derivation of loving-kindness! P. 377: “I can and dare take thought for myself, solely because, and is so far as I am, *an instrument of the Moral Law.*” P. 388: “To defend a hunted man at the risk of one’s own life, is an absolute duty; whenever the life of another human being is in danger, you have no right to think of the safety of your own.” P. 420: “In the province of the Moral Law there is no way whatever of regarding my fellow-man except as an *instrument* of Reason.”

<sup>4</sup> *I.e.*, Hercules and an ape. A Greek proverb denoting the juxtaposition of the sublime and the ridiculous. *V. Greg. Cypr. M.3*, 66; *Macar. 4*, 53; *Luc. pisc. 37*; and *Schol. Bachm. An. 2*, 332. — (*Translator.*)

<sup>5</sup> My proof for this is a passage from the latest philosophical literature. Herr Feuerbach, an Hegelian (*c’est tout dire!*) in his book, *Pierre Bayle: Ein Beitrag zur Geschichte der Philosophie*, 1838, p. 80, writes as follows: “But still more sublime than Kant’s are Fichte’s ideas as expressed in his Doctrine of Morals and elsewhere. Christianity has nothing in sublimity that could bear comparison with them.”

### **PART III. THE FOUNDING OF ETHICS.**

## CHAPTER I.

### CONDITIONS OF THE PROBLEM.

Thus the foundation which Kant gave to Ethics, which for the last sixty years has been regarded as a sure basis, proves to be an inadmissible assumption, and merely theological Morals in disguise; it sinks therefore before our eyes into the deep gulf of philosophic error, which perhaps will never be filled up. That the previous attempts to lay a foundation are still less satisfactory, I take for granted, as I have already said. They consist, for the most part, of unproved assertions, drawn from the impalpable world of dreams, and at the same time — like Kant's system itself — full of an artificial subtlety dealing with the finest distinctions, and resting on the most abstract conceptions. We find difficult combinations; rules invented for the purpose; formulae balanced on a needle's point; and stilted maxims, from which it is no longer possible to look down and see life as it really is with all its turmoil. Such niceties are doubtless admirably adapted for the lecture-room, if only with a view to sharpening the wits; but they can never be the cause of the impulse to act justly and to do good, which is found in every man; as also they are powerless to counterbalance the deep-seated tendency to injustice and hardness of heart. Neither is it possible to fasten the reproaches of conscience upon them; to attribute the former to the breaking of such hair-splitting precepts only serves to make the same ridiculous. In a word, artificial associations of ideas like these cannot possibly — if we take the matter seriously — contain the true incentive to justice and loving-kindness. Rather must this be something that requires but little reflection, and still less abstraction and complicated synthesis; something that, independent of the training of the understanding, speaks to every one, even to the rudest, — a something resting simply on intuitive perception, and forcing its way home as a direct emanation from the reality of things. So long as Ethics cannot point to a foundation of this sort, she may go on with her discussions, and make a great display in the lecture-rooms; but real life will only pour contempt upon her. I must therefore give our moralists the paradoxical advice, first to look about them a little among their fellow-men.

## CHAPTER II

### SCEPTICAL VIEW.

But when we cast a retrospect over the attempts made, and made in vain, for more than two thousand years, to find a sure basis for Ethics, ought we not perhaps to think that after all there is no natural morality, independent of human institution? Shall we not conclude that all moral systems are nothing but artificial products, means invented for the better restraint of the selfish and wicked race of men; and further, that, as they have no internal credentials and no natural basis, they would fail in their purpose, if without the support of positive religion? The legal code and the police are not sufficient in all cases; there are offences, the discovery of which is too difficult; some, indeed, where punishment is a precarious matter; where, in short, we are left without public protection. Moreover, the civil law can at most enforce justice, not loving-kindness and beneficence; because, of course, these are qualities as regards which every one would like to play the passive, and no one the active, part. All this has given rise to the hypothesis that morality rests solely on religion, and that both have the same aim — that of being complementary to the necessary inadequacy of state machinery and legislation. Consequently, there cannot be (it is said) a natural morality, *i.e.*, one based simply on the nature of things, or of man, and the fruitless search of philosophers for its foundation is explained. This view is not without plausibility; and we find it as far back as the Pyrrhonians:

οὔτε ἀγαθὸν ἐστὶ φύσει, οὔτε κακόν,  
ἀλλὰ πρὸς ἀνθρώπων ταῦτα νό κέκριται,  
κατὰ τὸν Τίρωνα<sup>1</sup>

— Sext. Emp. *adv. Math.*, XI., 140.

Also in modern times distinguished thinkers have given their adherence to it. A careful examination therefore it deserves; although the easier course would be to shelve it by giving an inquisitorial glance at the consciences of those in whom such a theory could arise.

We should fall into a great, a very childish blunder, if we believed all the just and legal actions of mankind to have a moral origin. This is far from being the case. As a rule, between the justice, which men practise, and genuine singleness of heart, there exists a relation analogous to that between polite expressions, and the true love of one's neighbour, which, unlike the former, does not ostensibly overcome Egoism, but really does so. That honesty of sentiment, everywhere so carefully exhibited, which requires to be regarded as above all suspicion; that deep indignation, which is stirred by the smallest sign of a doubt in this direction, and is ready to break out into furious anger; — to what are we to attribute these symptoms? None but the inexperienced and simple will take them for pure coin, for the working of a fine moral feeling, or conscience. In point of fact, the general correctness of conduct which is adopted in human intercourse, and insisted on as a rule no less immovable than the hills, depends principally on two external necessities; first, on legal ordinance, by virtue of which the rights of every man are protected by public authority; and secondly, on the recognised need of possessing civil honour, in other words, a good name, in order to advance in the world. This is why the steps taken by the individual are closely watched by public opinion, which is so inexorably severe that it never forgives even a single false move or slip, but remembers it against the guilty person as an indelible blot, all his life long. As far as this goes, public opinion is wise enough; for, starting from the fundamental principle: *Operari sequitur esse* (what one does is determined by what one is), it shows its conviction that the character is unchangeable, and that therefore what a man has once done, he will assuredly do again, if only the circumstances be precisely similar. Such are the two custodians that keep guard on the correct conduct of people, without which, to speak frankly, we should be in a sad case, especially with reference to property, this central point in human life, around which the chief part of its energy and activity revolves. For the purely ethical motives to integrity, assuming that they exist, cannot as a rule be applied, except very indirectly, to the question of ownership as guaranteed by the state. These motives, in fact, have a direct and essential bearing only on natural right; with positive right their connection is merely indirect, in so far as the latter is based on the former. Natural right, however, attaches to no other property than that which has been gained by one's own exertion; because, when this is seized, the owner is at the same time robbed of all the efforts he expended in acquiring it. The

theory of preoccupancy I reject absolutely, but cannot here set forth its refutation.<sup>2</sup> Now of course all estate based on positive right ought ultimately and in the last instance (it matters not how many intermediate links are involved) to rest on the natural right of possession. But what a distance there is, in most cases, between the title-deeds, that belong to our civil life, and this natural right — their original source! Indeed their connection with the latter is generally either very difficult, or else impossible, to prove. What we hold is ours by inheritance, by marriage, by success in the lottery; or if in no way of this kind, still it is not gained by our own work, with the sweat of the brow, but rather by shrewdness and bright ideas (*e.g.*, in the field of speculation), yes, and sometimes even by our very stupidity, which, through a conjunction of circumstances, is crowned and glorified by the *Deus eventus*. It is only in a very small minority of cases that property is the fruit of real labour and toil; and even then the work is usually mental, like that of lawyers, doctors, civilians, teachers, etc.; and this in the eyes of the rude appears to cost but little effort.

Now, when wealth is acquired in any such fashion, there is need of considerable education before the ethical right can be recognised and respected out of a purely moral impulse. Hence it comes about that not a few secretly regard the possessions of others as held merely by virtue of positive right. So, if they find means to wrest from another man his goods, by using, or perhaps by evading, the laws, they feel no scruples; for in their opinion he would lose what he holds, in the same way in which he had previously obtained it, and they consequently regard their own claims as equal to his. From their point of view, the right of the stronger in civil society is superseded by the right of the cleverer.

Incidentally we may notice that the rich man often shows an inflexible correctness of conduct. Why? Because with his whole heart he is attached to, and rigidly maintains, a rule, on the observance of which his entire wealth, and all its attendant advantages, depend. For this reason his profession of the principle: *Suum cuique* (to each his own), is thoroughly in earnest, and shows an unswerving consistency. No doubt there is an objective loyalty to sincerity and good faith, which avails to keep them sacred; but such loyalty is based simply on the fact that sincerity and good faith are the foundation of all free intercourse among men; of good order; and of secure ownership. Consequently they very often benefit ourselves, and with this end in view they must be preserved even at some cost: just as

a good piece of land is worth a certain outlay. But integrity thus derived is, as a rule, only to be met with among wealthy people, or at least those who are engaged in a lucrative business. It is an especial characteristic of tradesmen; because they have the strongest conviction that for all the operations of commerce the one thing indispensable is mutual trust and credit; and this is why mercantile honour stands quite by itself. On the other hand, the *poor* man, who cannot make both ends meet, and who, by reason of the unequal division of property, sees himself condemned to want and hard work, while others before his eyes are lapped in luxury and idleness, will not easily perceive that the *raison d'être* of this inequality is a corresponding inequality of service and honest industry. And if he does not recognise this, how is he to be governed by the purely ethical motive to uprightness, which should keep him from stretching out his hand to grasp the superfluity of another? Generally, it is the order of government as established by law that restrains him. But should ever the rare occasion present itself when he discovers that he is beyond the reach of the police, and that he could by a single act throw off the galling burden of penury, which is aggravated by the sight of others' opulence; if he feels this, and realises that he could thus enter into the possession and enjoyment of all that he has so often coveted: what is there then to stay his hand? Religions dogmas? It is seldom that faith is so firm. A purely moral incentive to be just and upright? Perhaps in a few isolated cases. But in by far the greater number there is in reality nothing but the anxiety a man feels to keep his good name, his civil honour — a thing that touches closely even those in humble circumstances. He knows the imminent danger incurred of having to pay for dishonest conduct by being expelled from the great Masonic Lodge of honourable people who live correct lives. He knows that property all over the world is in their hands, and duly apportioned among themselves, and that they wield the power of making him an outcast for life from good society, in case he commit a single disgraceful action. He knows that whoever takes one false step in this direction is marked as a person that no one trusts, whose company every one shuns, and from whom all advancement is cut off; to whom, as being "a fellow that has stolen," the proverb is applied: "He who steals once is a thief all his life."

These, then, are the guards that watch over correct behaviour between man and man, and he who has lived, and kept his eyes open, will admit that the vast majority of honourable actions in human intercourse must be



attributed to them; nay, he will go further, and say that there are not wanting people who hope to elude even their vigilance, and who regard justice and honesty merely as an external badge, as a flag, under the protection of which they can carry out their own freebooting propensities with better success. We need not therefore break out into holy wrath, and buckle on our armour, if a moralist is found to suggest that perhaps all integrity and uprightness may be at bottom only conventional. This is what Holbach, Helvetius, d'Alembert, and others of their time did; and, following out the theory, they endeavoured with great acumen to trace back all moral conduct to egoistic motives, however remote and indirect. That their position is literally true of most just actions, as having an ultimate foundation centred in the Self, I have shown above. That it is also true to a large extent of what is done in kindness and humanity, there can be no doubt; acts of this sort often arise from love of ostentation, still oftener from belief in a retribution to come, which may be dealt out in the second or even the third power;<sup>3</sup> or they can be explained by other egoistic motives. Nevertheless, it is equally certain that there occur actions of disinterested good-will and entirely voluntary justice. To prove the latter statement, I appeal only to the facts of experience, not to those of consciousness. There are isolated, yet indisputable cases on record, where not only the danger of legal prosecution, but also all chance of discovery, and even of suspicion has been excluded, and where, notwithstanding, the poor man has rendered to the rich his own. For example, things lost, and found, have been given back without any thought or hope of reward; a deposit made by a third person has been restored after his death to the rightful owner; a poor man, secretly intrusted with a treasure by a fugitive, has faithfully kept, and then returned, it. Instances of this sort can be found, beyond all doubt; only the surprise, the emotion, and the high respect awakened, when we hear of them, testify to the fact that they are unexpected and very exceptional. There are in truth really honest people: like four-leaved clover, their existence is not a fiction. But Hamlet uses no hyperbole when he says: "To be honest, as this world goes, is to be one man pick'd out of ten thousand." If it be objected that, after all, religious dogmas, involving rewards and penalties in another world, are at the root of conduct as above described; cases could probably be adduced where the actors possessed no religious faith whatever. And this is a thing by no means so infrequent as is generally maintained.

Those who combat the sceptical view appeal specially to the testimony of conscience. But conscience itself is impugned, and doubts are raised about its natural origin. Now, as a matter of fact, there is a *conscientia spuria* (false conscience), which is often confounded with the true. The regret and anxiety which many a man feels for what he has done is frequently, at bottom, nothing but fear of the possible consequences. Not a few people, if they break external, voluntary, and even absurd rules, suffer from painful searchings of heart, exactly similar to those inflicted by the real conscience. Thus, for instance, a bigoted Jew, if on Saturday he should smoke a pipe at home, becomes really oppressed with the sense of having disobeyed the command in Exodus xxxv. 3: "Ye shall kindle no fire throughout your habitations upon the Sabbath day." How often it happens that a nobleman or officer is the victim of self-reproach, because on some occasion or other he has not properly complied with that fools' codex, which is called knightly honour! Nay more: there are many of this class, who, if they see the impossibility of merely doing enough in some quarrel to satisfy the above-named code — to say nothing of keeping their pledged word of honour — are ready to shoot themselves. (Instances of both have come under my knowledge.) And this, while the self-same man would with an easy mind break his promise every day, if only the shibboleth "Honour" be not involved. In short, every inconsequent, and thoughtless action, all conduct contrary to our prejudices, principles, or convictions, whatever these may be; indeed, every indiscretion, every mistake, every piece of stupidity rankles in us secretly, and leaves its sting behind. The average individual, who thinks his conscience such an imposing structure, would be surprised, could he see of what it actually consists: probably of about one-fifth, fear of men; one-fifth, superstition; one-fifth, prejudice; one-fifth, vanity; and one-fifth, habit. So that in reality he is no better than the Englishman, who said quite frankly: "I cannot afford to keep a conscience." Religious people of every creed, as a rule, understand by conscience nothing else than the dogmas and injunctions of their religion, and the self-examination based thereon; and it is in this sense that the expressions coercion of conscience and liberty of conscience are used. The same interpretation was always given by the theologians, schoolmen, and casuists of the middle ages and of later times. Whatever a man knew of the formulae and prescriptions of the Church, coupled with a resolution to believe and obey it, constituted his conscience. Thus we find the terms "a doubting

conscience,” “an opinionated conscience,” “an erring conscience,” and the like; and councils were held, and confessors employed, for the special purpose of setting such irregularities straight. How little the conception of conscience, just as other conceptions, is determined by its own object; how differently it is viewed by different people; how wavering and uncertain it appears in books; all this is briefly but clearly set forth in Stäudlin’s *Geschichte der Lehre vom Gewissen*. These facts taken in conjunction are not calculated to establish the reality of the thing; they have rather given rise to the question whether there is in truth a genuine, inborn conscience. I have already had occasion in Part II., Chapter VIII., where the theory of Freedom is discussed, to touch on my view of conscience, and I shall return to it below.

All these sceptical objections added together do not in the least avail to prove that no true morality exists, however much they may moderate our expectations as to the moral tendency in man, and the natural basis of Ethics. Undoubtedly a great deal that is ascribed to the ethical sense can be proved to spring from other incentives; and when we contemplate the moral depravity of the world, it is sufficiently clear that the stimulus for good cannot be very powerful, especially as it often does not work even in cases where the opposing motives are weak, although then the individual difference of character makes itself fully felt.

It should be observed that this moral depravity is all the more difficult to discern, because its manifestations are checked and cloaked by public order, as enforced by law; by the necessity of having a good name; and even by ordinary polite manners. And this is not all. People commonly suppose that in the education of the young their moral interests are furthered by representing uprightness and virtue as principles generally followed by the world. Later on, it is often to their great harm that experience teaches them something else; for the discovery, that the instructors of their early years were the first to deceive them, is likely to have a more mischievous effect on their morality than if these persons had given them the first example of ingenuous truthfulness, by saying frankly: “The world is sunk in evil, and men are not what they ought to be; but be not misled thereby, and see that you do better.” All this, as I have said, increases the difficulty of recognising the real immorality of mankind. The state — this masterpiece, which sums up the self-conscious, intelligent egoism of all — consigns the rights of each person to a power, which, being enormously superior to that

of the individual, compels him to respect the rights of all others. This is the leash that restrains the limitless egoism of nearly every one, the malice of many, the cruelty of not a few. The illusion thus arising is so great that, when in special cases, where the executive power is ineffective, or is eluded, the insatiable covetousness, the base greed, the deep hypocrisy, or the spiteful tricks of men are apparent in all their ugliness, we recoil with horror, supposing that we have stumbled on some unheard-of monster: whereas, without the compulsion of law, and the necessity of keeping an honourable name, these sights would be of every day occurrence. In order to discover what, from a moral point of view, human beings are made of, we must study anarchist records, and the proceedings connected with criminals. The thousands that throng before our eyes, in peaceful intercourse each with the other, can only be regarded as so many tigers and wolves, whose teeth are secured by a strong muzzle. Let us now suppose this muzzle cast off, or, in other words, the power of the state abolished; the contemplation of the spectacle then to be awaited would make all thinking people shudder; and they would thus betray the small amount of trust they really have in the efficiency either of religion, or of conscience, or of the natural basis of Morals, whatever it be. But if these immoral, antinomian forces should be unshackled and let loose, it is precisely then that the true moral incentive, hidden before, would reveal its activity, and consequently be most easily recognised. And nothing would bring out so clearly as this the prodigious moral difference of character between man and man; it would be found to be as great as the intellectual, which is saying much.

The objection will perhaps be raised that Ethics is not concerned with what men actually do, but that it is the science which treats of what their conduct ought to be. Now this is exactly the position which I deny. In the critical part of the present treatise I have sufficiently demonstrated that the conception of ought, in other words, the imperative form of Ethics, is valid only in theological morals, outside of which it loses all sense and meaning. The end which I place before Ethical Science is to point out all the varied moral lines of human conduct; to explain them; and to trace them to their ultimate source. Consequently there remains no way of discovering the basis of Ethics except the empirical. We must search and see whether we can find any actions to which we are obliged to ascribe genuine moral worth: actions, that is, of voluntary justice, of pure loving-kindness, and of true nobleness. Such conduct, when found, is to be regarded as a given

phaenomenon, which has to be properly accounted for; in other words, its real origin must be explored, and this will involve the investigation and explanation of the peculiar motives which lead men to actions so radically distinct from all others, that they form a class by themselves. These motives, together with a responsive susceptibility for them, will constitute the ultimate basis of morality, and the knowledge of them will be the foundation of Ethics. This is the humble path to which I direct the Science of Morals. It contains no construction *a priori*, no absolute legislation for all rational beings *in abstracto*; it lacks all official, academic sanction. Therefore, whoever thinks it not sufficiently fashionable, may return to the Categorical Imperative; to the Shibboleth of "Human Dignity"; to the empty phrases, the cobwebs, and the soap-bubbles of the Schools; to principles on which experience pours contempt at every step, and of which no one, outside the lecture-rooms knows anything, or has ever had the least notion. On the other hand, the foundation which is reached by following my path is upheld by experience; and it is experience which daily and hourly delivers its silent testimony in favour of my theory.

## ENDNOTES.

<sup>1</sup> *I.e.*, there is nothing either good or bad by nature, but these things are decided by human judgment, as Timon says. *V. Sexti Empirici Opera Quae Exstant: Adversus Mathematicos*; p. 462 *A ad fin.* Aurelianae: Petrus et Jacobus Chouët, 1621. *V.* also: *Sexti Empirici Opera*, edit. Jo. Albertus Fabricius: Lipsiae, 1718, Lib. XI., 140, p. 716.

<sup>2</sup> See *Die Welt als Wille und Vorstellung*, Vol I., § 62, p. 396 sqq., and Vol. II., chap. 47, p. 682.

<sup>3</sup> In other words: If  $a$  be a given offence, or virtuous act, and  $x$  the punishment, or reward, proportional to it; then the punishment, or reward, actually inflicted, instead of being  $x$ , may be  $x^2$  or  $x^3$ . — (Translator.)

## CHAPTER III.

### ANTIMORAL INCENTIVES. <sup>1</sup>

The chief and fundamental incentive in man, as in animals, is Egoism, that is, the urgent impulse to exist, and exist under the best circumstances. The German word *Selbstsucht* (self-seeking) involves a false secondary idea of disease (*Sucht*).<sup>2</sup> The term *Eigennutz* (self-interest) denotes Egoism, so far as the latter is guided by reason, which enables it, by means of reflection, to prosecute its purposes systematically; so that animals may be called egoistic, but not self-interested (*eigennützig*). I shall therefore retain the word Egoism for the general idea. Now this Egoism is, both in animals and men, connected in the closest way with their very essence and being; indeed, it is one and the same thing. For this reason all human actions, as a rule, have their origin in Egoism, and to it, accordingly, we must always first turn, when we try to find the explanation of any given line of conduct; just as, when the endeavour is made to guide a man in any direction, the means to this end are universally calculated with reference to the same all-powerful motive. Egoism is, from its nature, limitless. The individual is filled with the unqualified desire of preserving his life, and of keeping it free from all pain, under which is included all want and privation. He wishes to have the greatest possible amount of pleasurable existence, and every gratification that he is capable of appreciating; indeed, he attempts, if possible, to evolve fresh capacities for enjoyment. Everything that opposes the strivings of his Egoism awakens his dislike, his anger, his hate: this is the mortal enemy, which he tries to annihilate. If it were possible, he would like to possess everything for his own pleasure; as this is impossible, he wishes at least to control everything. "All things for me, and nothing for others" is his maxim. Egoism is a huge giant overtopping the world. If each person were allowed to choose between his own destruction and that of the rest of mankind, I need not say what the decision would be in most cases. Thus, it is that every human unit makes himself the centre of the world, which he views exclusively from that standpoint. Whatever occurs, even, for instance, the most sweeping changes in the destinies of nations, he brings into relation first and foremost with his own interests, which, however slightly and indirectly they may be affected, he is sure to think of before anything else. No sharper contrast can be imagined than that between

the profound and exclusive attention which each person devotes to his own self, and the indifference with which, as a rule, all other people regard that self, — an indifference precisely like that with which he in turn looks upon them. To a certain extent it is actually comic to see how each individual out of innumerable multitudes considers himself, at least from the practical point of view, as the only real thing, and all others in some sort as mere phantoms. The ultimate reason of this lies in the fact that every one is directly conscious of himself, but of others only *indirectly*, through his mind's eye; and the direct impression asserts its right. In other words, it is in consequence of the subjectivity which is essential to our consciousness that each person is himself the whole world; for all that is objective exists only indirectly, as simply the mental picture of the subject; whence it comes about that everything is invariably expressed in terms of self-consciousness. The only world which the individual really grasps, and of which he has certain knowledge, he carries in himself, as a mirrored image fashioned by his brain; and he is, therefore, its centre. Consequently he is all in all to himself; and since he feels that he contains within his ego all that is real, nothing can be of greater importance to him than his own self.<sup>3</sup> Moreover this supremely important self, this microcosm, to which the macrocosm stands in relation as its mere modification or accident, — this, which is the individual's whole world, he knows perfectly well must be destroyed by death; which is therefore for him equivalent to the destruction of all things.

Such, then, are the elements out of which, on the basis of the Will to live, Egoism grows up, and like a broad trench it forms a perennial separation between man and man. If on any occasion some one actually jumps across, to help another, such an act is regarded as a sort of miracle, which calls forth amazement and wins approval. In Part II., Chapter VI., where Kant's principle of Morals is discussed, I had the opportunity of describing how Egoism behaves in everyday life, where it is always peering out of some corner or other, despite ordinary politeness, which, like the traditional fig-leaf, is used as a covering. In point of fact, politeness is the conventional and systematic disavowal of Egoism in the trifles of daily intercourse, and is, of course, a piece of recognised hypocrisy. Gentle manners are expected and commended, because that which they conceal — Egoism — is so odious, that no one wishes to see it, however much it is known to be there; just as people like to have repulsive objects hidden at least by a curtain. Now, unless external force (under which must be included every source of



fear whether of human or superhuman powers), or else the real moral incentive is in effective operation, it is certain that Egoism always pursues its purposes with unqualified directness; hence without these checks, considering the countless number of egoistic individuals, the *bellum omnium contra omnes*<sup>4</sup> would be the order of the day, and prove the ruin of all. Thus is explained the early construction by reflecting reason of state government, which, arising, as it does, from a mutual fear of reciprocal violence, obviates the disastrous consequences of the general Egoism, as far as it is possible to do by negative procedure. Where, however, the two forces that oppose Egoism fail to be operative, the latter is not slow to reveal all its horrible dimensions, nor is the spectacle exactly attractive. In order to express the strength of this antimoral power in a few words, to portray it, so to say, at one stroke, some very emphatic hyperbole is wanted. It may be put thus: many a man would be quite capable of killing another, simply to rub his boots over with the victim's fat. I am only doubtful whether this, after all, is any exaggeration. Egoism, then, is the first and principal, though not the only, power that the moral Motive has to contend against; and it is surely sufficiently clear that the latter, in order to enter the lists against such an opponent, must be something more real than a hair-splitting sophism or an *a priori* soap-bubble. In war the first thing to be done is to know the enemy well; and in the shock of battle, now impending, Egoism, as the chief combatant on its own side, is best set against the virtue of Justice, which, in my opinion, is the first and original cardinal virtue.

The virtue of loving-kindness, on the other hand, is rather to be matched with ill-will, or spitefulness, the origin and successive stages of which we will now consider. Ill-will, in its lower degrees, is very frequent, indeed, almost a common thing; and it easily rises in the scale. Goethe is assuredly right when he says that in this world indifference and aversion are quite at home. — (*Wahlverwandtschaften*, Part I., chap. 3.) It is very fortunate for us that the cloak, which prudence and politeness throw over this vice, prevents us from seeing how general it is, and how the *bellum omnium contra omnes* is constantly waged, at least in thought. Yet ever and anon there is some appearance of it: for instance, in the relentless backbiting so frequently observed; while its clearest manifestation is found in all out-breaks of anger, which, for the most part, are quite disproportional to their cause, and which could hardly be so violent, had they not been compressed — like gunpowder — into the explosive compound formed of long cherished

brooding hatred. Ill-will usually arises from the unavoidable collisions of Egoism which occur at every step. It is, moreover, objectively excited by the view of the weakness, the folly, the vices, failings, shortcomings, and imperfections of all kinds, which every one more or less, at least occasionally, affords to others. Indeed, the spectacle is such, that many a man, especially in moments of melancholy and depression, may be tempted to regard the world, from the aesthetic standpoint, as a cabinet of caricatures; from the intellectual, as a madhouse; and from the moral, as a nest of sharpers. If such a mental attitude be indulged, misanthropy is the result. Lastly, one of the chief sources of ill-will is envy; or rather, the latter is itself ill-will, kindled by the happiness, possessions, or advantages of others. No one is absolutely free from envy; and Herodotus (III. 80) said long ago: *φθόνος ἀρχὴ θεν ἐμφύεται ἀνθρώπῳ* (envy is a natural growth in man from the beginning). But its degrees vary considerably. It is most poisonous and implacable when directed against personal qualities, because then the envious have nothing to hope for. And precisely in such cases its vilest form also appears, because men are made to hate what they ought to love and honour. Yet so “the world wags,” even as Petrarca complained:

*Di lor par più, che d'altri, invidia s'abbia,  
Che per se stessi son levati a volo,  
Uscendo fuor della commune gabbia.*  
(For envy fastens most of all on those,  
Who, rising on their own strong wings, escape  
The bars wherein the vulgar crowd is cag'd.)

The reader is referred to the Parerga, vol. ii., § 114, for a more complete examination of envy.

In a certain sense the opposite of envy is the habit of gloating over the misfortunes of others, At any rate, while the former is human, the latter is diabolical. There is no sign more infallible of an entirely bad heart, and of profound moral worthlessness than open and candid enjoyment in seeing other people suffer. The man in whom this trait is observed ought to be for ever avoided: *Hic niger est, hunc tu, Romane, caveto.*<sup>5</sup> These two vices are in themselves merely theoretical; in practice they become malice and cruelty. It is true that Egoism may lead to wickedness and crime of every sort; but the resulting injury and pain to others are simply the means, not the

end, and are therefore involved only as an accident. Whereas malice and cruelty make others' misery the end in itself, the realisation of which affords distinct pleasure. They therefore constitute a higher degree of moral turpitude. The maxim of Egoism, at its worst is: *Neminem juva, immo omnes, si forte conducit* (thus there is always a condition), *laede* (help no body, but rather injure all people, if it brings you any advantage). The guiding rule of malice is: *Omnes, quantum potes, laede* (injure all people as far as you can). As malicious joy is in fact theoretical cruelty, so, conversely, cruelty is nothing but malicious joy put into practice; and the latter is sure to show itself in the form of cruelty, directly an opportunity offers.

An examination of the special vices that spring from these two primary antimoral forces forms no part of the present treatise: its proper place would be found in a detailed system of Ethics. From Egoism we should probably derive greed, gluttony, lust, selfishness, avarice, covetousness, injustice, hardness of heart, pride, arrogance, etc.; while to spitefulness might be ascribed disaffection, envy, ill-will, malice, pleasure in seeing others suffer, prying curiosity, slander, insolence, petulance, hatred, anger, treachery, fraud, thirst for revenge, cruelty, etc. The first root is more bestial, the second more devilish; and according as either is the stronger; or according as the moral incentive, to be described below, predominates, so the salient points for the ethical classification of character are determined. No man is entirely free from some traces of all three.

Here I bring to an end my review of these terrible powers of evil; it is an array reminding one of the Princes of Darkness in Milton's Pandemonium. But my plan, which in this respect of course differs from that of all other moralists, required me to consider at the outset this gloomy side of human nature, and, like Dante, to descend first to Tartarus.

It will now be fully apparent how difficult our problem is. We have to find a motive capable of making a man take up a line of conduct directly opposed to all those propensities which lie deeply ingrained in his nature; or, given such conduct as a fact of experience, we must search for a motive capable of supplying an adequate and non-artificial explanation of it. The difficulty, in fact, is so great that, in order to solve it, for the vast majority of mankind, it has been everywhere necessary to have recourse to machinery from another world. Gods have been pointed to, whose will and command the required mode of behaviour was said to be, and who were represented as

emphasising this command by penalties and rewards either in this, or in another world, to which death would be the gate. Now let us assume that belief in a doctrine of this sort took general root (a thing which is certainly possible through strenuous inculcation at a very early age); and let us also assume that it brought about the intended effect, — though this is a much harder matter to admit, and not nearly so well confirmed by experience; we should then no doubt succeed in obtaining strict legality of action, even beyond the limits that justice and the police can reach; but every one feels that this would not in the least imply what we mean by morality of the heart. For obviously, every act arising from motives like those just mentioned is after all derived simply from pure Egoism. How can I talk of unselfishness when I am enticed by a promised guerdon, or deterred by a threatened punishment? A recompense in another world, thoroughly believed in, must be regarded as a bill of exchange, which is perfectly safe, though only payable at a very distant date. It is thus quite possible that the profuse assurances, which beggars so constantly make, that those, who relieve them, will receive a thousandfold more for their gifts in the next world, may lead many a miser to generous alms-giving; for such a one complacently views the matter as a good investment of money, being perfectly convinced that he will rise again as a Croesus. For the mass of mankind, it will perhaps be always necessary to continue the appeal to incentives of this nature, and we know that such is the teaching promulgated by the different religions, which are in fact the metaphysics of the people. Be it, however, observed in this connection that a man is sometimes just as much in error as to the true motives that govern his own acts, as he is with regard to those of others. Hence it is certain that many persons, while they can only account to themselves for their noblest actions by attributing them to motives of the kind above described, are, nevertheless, really guided in their conduct by far higher and purer incentives, though the latter may be much more difficult to discover. They are doing, no doubt, out of direct love of their neighbour, that which they can but explain as the command of their God. On the other hand, Philosophy, in dealing with this, as with all other problems, endeavours to extract the true and ultimate cause of the given phaenomena from the disclosures which the nature itself of man yields, and which, freed as they must be from all mythical interpretation, from all religious dogmas, and transcendent hypostases, she requires to see confirmed by external or

internal experience. Now, as our present task is a philosophical one, we must entirely disregard all solutions conditioned by any religion; and I have here touched on them merely in order to throw a stronger light on the magnitude of the difficulty.

## ENDNOTES.

<sup>1</sup> I venture to use this word although irregularly formed, because “antiethical” would not here give an adequate meaning. *Sittlich* (in accordance with good manners) and *unsittlich* (contrary to good manners), which have lately come into vogue, are bad substitutes for *moralisch* (moral) and *unmoralisch* (immoral): first, because *moralisch* is a scientific conception, which, as such, requires to be denoted by a Greek or Latin term, for reasons which may be found in *Die Welt als Wille und Vorstellung*, vol. ii., chap. 12, p. 134 sqq.; and secondly, because *sittlich* is a weaker and tamer expression, difficult to distinguish from *sittsam* (modest) which in popular acceptance means *zimperlich* (simpering). No concessions must be made to this extravagant love of germanising!

<sup>2</sup> In *Sucht* (*siech* = sick) and *Selbst-sucht* (*suchen* = seek) there is an apparent confusion between the two bases *SUK* (*seuka*) to be ill, and *SÔKYAN*, to seek. *V. Skeat's Etymological Dictionary*. — (*Translator*.)

<sup>3</sup> It should be noticed that while from the *subjective* side a man's self assumes these gigantic proportions, *objectively* it shrinks to almost nothing — namely, to about the one-thousand-millionth part of the human race.

<sup>4</sup> The war of all against all. Hobbes uses this expression. — (*Translator*.)

<sup>5</sup> This man is black; of him shalt thou, O Roman, beware. *V. Horace, Sat., Lib. I. 4. 85*. — (*Translator*.)

## CHAPTER IV.

### CRITERION OF ACTIONS OF MORAL WORTH.

There is first the empirical question to be settled, whether actions of voluntary justice and unselfish loving-kindness, which are capable of rising to nobleness and magnanimity, actually occur in experience. Unfortunately, this inquiry cannot be decided altogether empirically, because it is invariably only the act that experience gives, the incentives not being apparent. Hence the possibility always remains that an egoistic motive may have had weight in determining a just or good deed. In a theoretical investigation like the present, I shall not avail myself of the inexcusable trick of shifting the matter on to the reader's conscience. But I believe there are few people who have any doubt about the matter, and who are not convinced from their own experience that just acts are often performed simply and solely to prevent a man suffering from injustice. Most of us, I do not hesitate to say, are persuaded that there are persons in whom the principle of giving others their due seems to be innate, who neither intentionally injure any one, nor unconditionally seek their own advantage, but in considering themselves show regard also for the rights of their neighbours; persons who, when they undertake matters involving reciprocal obligations, not only see that the other party does his duty, but also that he gets his own, because it is really against their will that any one, with whom they have to do, should be shabbily treated. These are the men of true probity, the few *aequi* (just) among the countless number of the *iniqui* (unjust). Such people exist. Similarly, it will be admitted, I think, that many help and give, perform services, and deny themselves, without having any further intention in their hearts than that of assisting another, whose distress they see. When Arnold von Winkelried exclaimed: "*Trüwen, lieben Eidgenossen, wullt's minem Wip und Kinde gedenken,*"<sup>1</sup> and then clasped in his arms as many hostile spears as he could grasp; can any one believe that he had some selfish purpose? I cannot. To cases of voluntary justice, which cannot be denied without deliberate and wilful trifling with facts, I have already drawn attention in Chapter II. of this Part. Should any one, however, persist in refusing to believe that such actions ever happen, then, according to his view, Ethics would be a science without any real object, like Astrology and Alchemy, and it would be waste of time to discuss its

basis any further. With him, therefore, I have nothing to do, and address myself to those who allow that we are dealing with something more than an imaginary citation.

It is, then, only to conduct of the above kind that genuine moral worth can be ascribed. Its special mark is that it rejects and excludes the whole class of motives by which otherwise all human action is prompted: I mean the self-interested motives, using the word in its widest sense. Consequently the moral value of an act is lowered by the disclosure of an accessory selfish incentive; while it is entirely destroyed, if that incentive stood alone. The absence of all egoistic motives is thus the Criterion of an action of moral value. It may, no doubt, be objected that also acts of pure malice and cruelty are not selfish.<sup>2</sup> But it is manifest that the latter cannot be meant, since they are, in kind, the exact opposite of those now being considered. If, however, the definition be insisted on in its strict sense, then we may expressly except such actions, because of their essential token — the compassing of others' suffering.

There is also another characteristic of conduct having real moral worth, which is entirely internal and therefore less obvious. I allude to the fact that it leaves behind a certain self-satisfaction which is called the approval of conscience: just as, on the other hand, injustice and unkindness, and still more malice and cruelty, involve a secret self-condemnation. Lastly, there is an external, secondary, and accidental sign that draws a clear line between the two classes. Acts of the former kind win the approval and respect of disinterested witnesses: those of the latter incur their disapproval and contempt.

Those actions that bear the stamp of moral value, so determined, and admitted to be realities, constitute the phaenomenon that lies before us, and which we have to explain. We must accordingly search out what it is that moves men to such conduct. If we succeed in our investigation, we shall necessarily bring to light the true moral incentive; and, as it is upon this that all ethical science must depend, our problem will then be solved.



## ENDNOTES.

<sup>1</sup> Comrades, true and loyal to our oath, care for my wife and child in remembrance of this.

<sup>2</sup> Acts of malice and cruelty are so many gratifications of the ego, and are therefore, in a certain sense, selfish. *V.* Introduction, pp. xvi. and xvii. — (*Translator.*)

## CHAPTER V.

### STATEMENT AND PROOF OF THE ONLY TRUE MORAL INCENTIVE.

The preceding considerations, which were unavoidably necessary in order to clear the ground, now enable me to indicate the true incentive which underlies all acts of real moral worth. The seriousness, and indisputable genuineness, with which we shall find it is distinguished, removes it far indeed from the hair-splittings, subtleties, sophisms, assertions formulated out of airy nothings, and *a priori* soap-bubbles, which all systems up to the present have tried to make at once the source of moral conduct and the basis of Ethics. This incentive I shall not put forward as an hypothesis to be accepted or rejected, as one pleases; I shall actually prove that it is the only possible one. But as this demonstration requires several fundamental truths to be borne in mind, the reader's attention is first called to certain propositions which we must presuppose, and which may properly be considered as axioms; except the last two, which result from the analysis contained in the preceding chapter, and in Part II., Chapter III.

(1) No action can take place without a sufficient motive; as little as a stone can move without a sufficient push or pull.

(2) Similarly, no action can be left undone, when, given the character of the doer, a sufficient motive is present; unless a stronger counter-motive necessarily prevents it.

(3) Whatever moves the Will, — this, and this alone, implies the sense of weal and woe, in the widest sense of the term; and conversely, weal and woe signify “that which is in conformity with, or which is contrary to, a Will.” Hence every motive must have a connection with weal and woe.

(4) Consequently every action stands in relation to, and has as its ultimate object, a being susceptible of weal and woe.

(5) This being is either the doer himself; or another, whose position as regards the action is therefore passive; since it is done either to his harm, or to his benefit and advantage.

(6) Every action, which has to do, as its ultimate object, with the weal and woe of the agent himself, is egoistic.

(7) The foregoing propositions with regard to what is done apply equally to what is left undone, in all cases where motive and counter-motive play

their parts.

(8) From the analysis in the foregoing chapter, it results that Egoism and the moral worth of an action absolutely exclude each other. If an act have an egoistic object as its motive, then no moral value can be attached to it; if an act is to have moral value, then no egoistic object, direct or indirect, near or remote, may be its motive.

(9) In consequence of my elimination in Part II., Chapter III., of alleged duties towards ourselves, the moral significance of our conduct can only lie in the effect produced upon others; its relation to the latter is alone that which lends it moral worth, or worthlessness, and constitutes it an act of justice, loving-kindness, etc., or the reverse.

From these propositions the following conclusion is obvious: The weal and woe, which (according to our third axiom) must, as its ultimate object, lie at the root of everything done, or left undone, is either that of the doer himself, or that of some other person, whose *rôle* with reference to the action is passive. Conduct in the first case is necessarily egoistic, as it is impelled by an interested motive. And this is not only true when men — as they nearly always do — plainly shape their acts for their own profit and advantage; it is equally true when from anything done we expect some benefit to ourselves, no matter how remote, whether in this or in another world. Nor is it less the fact when our honour, our good name, or the wish to win the respect of some one, the sympathy of the lookers on, etc., is the object we have in view; or when our intention is to uphold a rule of conduct, which, if generally followed, would occasionally be useful to ourselves, for instance, the principle of justice, of mutual succour and aid, and so forth. Similarly, the proceeding is at bottom egoistic, when a man considers it a prudent step to obey some absolute command issued by an unknown, but evidently supreme power; for in such a case nothing can be the motive but fear of the disastrous consequences of disobedience, however generally and indistinctly these may be conceived. Nor is it a whit the less Egoism that prompts us when we endeavour to emphasise, by something done or left undone, the high opinion (whether distinctly realised or not) which we have of ourselves, and of our value or dignity; for the diminution of self-satisfaction, which might otherwise occur, would involve the wounding of our pride. Lastly, it is still Egoism that is operative, when a man, following Wolff's principles, seeks by his conduct to work out his

own perfection. In short, one may make the ultimate incentive to an action what one pleases; it will always turn out, no matter by how circuitous a path, that in the last resort what affects the actual weal and woe of the agent himself is the real motive; consequently what he does is egoistic, and therefore without moral worth. There is only a single case in which this fails to happen: namely, when the ultimate incentive for doing something, or leaving it undone, is precisely and exclusively centred in the weal and woe of some one else, who plays a passive part; that is to say, when the person on the active side, by what he does, or omits to do, simply and solely regards the weal and woe of another, and has absolutely no other object than to benefit him, by keeping harm from his door, or, it may be, even by affording help, assistance, and relief. It is this aim alone that gives to what is done, or left undone, the stamp of moral worth; which is thus seen to depend exclusively on the circumstance that the act is carried out, or omitted, purely for the benefit and advantage of another. If and when this is not so, then the question of weal and woe which incites to, or deters from, every action contemplated, can only relate to the agent himself; whence its performance, or non-performance is entirely egoistic, and without moral value.

But if what I do is to take place solely on account of some one else; then it follows that his weal and woe must directly constitute my motive; just is, ordinarily, my own weal and woe form it. This narrows the limits of our problem, which may now be stated as follows: How is it possible that another's weal and woe should influence my will directly, that is, exactly in the same way as otherwise my own move it? How can that which affects another for good or bad become my immediate motive, and actually sometimes assume such importance that it more or less supplants my own interests, which are, as a rule, the single source of the incentives that appeal to me? Obviously, only because that other person becomes the ultimate object of my will, precisely as usually I myself am that object; in other words, because I directly desire weal, and not woe, for him, just as habitually I do for myself. This, however, necessarily implies that I suffer with him, and feel his woe, exactly as in most cases I feel only mine, and therefore desire his weal as immediately as at other times I desire only my own. But, for this to be possible, I must in some way or other be identified with him; that is, the difference between myself and him, which is the precise *raison d'être* of my Egoism, must be removed, at least to a certain

extent. Now, since I do not live in his skin, there remains only the knowledge, that is, the mental picture, I have of him, as the possible means whereby I can so far identify myself with him, that my action declares the difference to be practically effaced. The process here analysed is not a dream, a fancy floating in the air; it is perfectly real, and by no means infrequent. It is, what we see every day, — the phaenomenon of Compassion; in other words, the direct participation, independent of all ulterior considerations, in the sufferings of another, leading to sympathetic assistance in the effort to prevent or remove them; whereon in the last resort all satisfaction and all well-being and happiness depend. It is this Compassion alone which is the real basis of all voluntary justice and all genuine loving-kindness. Only so far as an action springs therefrom, has it moral value; and all conduct that proceeds from any other motive whatever has none. When once compassion is stirred within me, by another's pain, then his weal and woe go straight to my heart, exactly in the same way, if not always to the same degree, as otherwise I feel only my own. Consequently the difference between myself and him is no longer an absolute one.

No doubt this operation is astonishing, indeed hardly comprehensible. It is, in fact, the great mystery of Ethics, its original phaenomenon, and the boundary stone, past which only transcendental speculation may dare to take a step. Herein we see the wall of partition, which, according to the light of nature (as reason is called by old theologians), entirely separates being from being, broken down, and the non-ego to a certain extent identified with the ego. I wish for the moment to leave the metaphysical explanation of this enigma untouched, and first to inquire whether all acts of voluntary justice and true loving-kindness really arise from it. If so, our problem will be solved, for we shall have found the ultimate basis of morality, and shown that it lies in human nature itself. This foundation, however, in its turn cannot form a problem of Ethics, but rather, like every other ultimate fact as such, of Metaphysics. Only the solution, that the latter offers of the primary ethical phaenomenon, lies outside the limits of the question put by the Danish Royal Society, which is concerned solely with the basis; so that the transcendental explanation can be given merely as a voluntary and unessential appendix.

But before I turn to the derivation of the Cardinal virtues from the original incentive, as here disclosed, I have still to bring to the notice of the

reader two observations which the subject renders necessary.

(1) For the purpose of easier comprehension I have simplified the above presentation of compassion as the sole source of truly moral actions, by intentionally leaving out of consideration the incentive of Malice, which while it is equally useless to the self as compassion, makes the pain of others its ultimate purpose. We are now, however, in a position, by including it, to state the above proof more completely, and rigorously, as follows: —

There are only three fundamental springs of human conduct, and all possible motives arise from one or other of these. They are:

(a) Egoism; which desires the weal of the self, and is limitless.

(b) Malice; which desires the woe of others, and may develop to the utmost cruelty.

(c) Compassion; which desires the weal of others, and may rise to nobleness and magnanimity.

Every human act is referable to one of these springs; although two of them may work together. Now, as we have assumed that actions of moral worth are in point of fact realities; it follows that they also must proceed from one of these primal sources. But, by the eighth axiom, they cannot arise from the first, and still less from the second; since all conduct springing from the latter is morally worthless, while the offshoots of the former are in part neither good nor bad in themselves. Hence they must have their origin in the third incentive; and this will be established *a posteriori* in the sequel.

(2) Direct sympathy with another is limited to his sufferings, and is not immediately awakened by his well-being: the latter *per se* leaves us indifferent. J. J. Rousseau in his *Émile* (Bk. IV.) expresses the same view: “*Première maxime: il n’est pas dans le cœur humain, de se mettre à la place des gens, qui sont plus heureux que nous, mais seulement de ceux, qui sont plus à plaindre,*”<sup>1</sup> etc.

The reason of this is that pain or suffering, which includes all want, privation, need, indeed every wish, is positive, and works directly on the consciousness. Whereas the nature of satisfaction, of enjoyment, of happiness, and the like, consists solely in the fact that a hardship is done away with, a pain lulled: whence their effect is negative. We thus see why need or desire is the condition of every pleasure. Plato understood this well

enough, and only excepted sweet odours, and intellectual enjoyment. (*De Rep.*, IX., p. 264 sq., edit. Bipont.)<sup>2</sup> And Voltaire says: “*Il n’est pas de vrais plaisirs, qu’avec de vrais besoins.*”<sup>3</sup> Pain, then, is positive, and makes itself known by itself: satisfaction or pleasure is negative — simply the removal of the former. This principle explains the fact that only the suffering, the want, the danger, the helplessness of another awakens our sympathy directly and as such. The lucky or contented man, as such, leaves us indifferent — in reality because his state is negative; he is without pain, indigence, or distress. We may of course take pleasure in the success, the well-being, the enjoyment of others: but if we do, it is a secondary pleasure, and caused by our having previously sorrowed over their sufferings and privations. Or else we share the joy and happiness of a man, not as such, but because, and in so far as, he is our child, father, friend, relation, servant, subject, etc. In a word, the good fortune, or pleasure of another, purely as such, does not arouse in us the same direct sympathy as is certainly elicited by his misfortune, privation, or misery, purely as such. If even on our own behalf it is only suffering (under which must be reckoned all wants, needs, wishes, and even ennui) that stirs our activity; and if contentment and prosperity fill us with indolence and lazy repose; why should it not be the same when others are concerned? For (as we have seen) our sympathy rests on an identification of ourselves with them. Indeed, the sight of success and enjoyment, purely as such, is very apt to raise the envy, to which every man is prone, and which has its place among the antimoral forces enumerated above.

In connection with the exposition of Compassion here given, as the coming into play of motives directly occasioned by another’s calamity, I take the opportunity of condemning the mistake of Cassina,<sup>4</sup> which has been so often repeated. His view is that compassion arises from a sudden hallucination, which makes us put ourselves in the place of the sufferer, and then imagine that we are undergoing his pain in our own person. This is not in the least the case. The conviction never leaves us for a moment that he is the sufferer, not we; and it is precisely in his person, not in ours, that we feel the distress which afflicts us. We suffer with him, and therefore in him; we feel his trouble as his, and are not under the delusion that it is ours; indeed, the happier we are, the greater the contrast between our own state and his, the more we are open to the promptings of Compassion. The explanation of the possibility of this extraordinary phaenomenon is,

however, not so easy; nor is it to be reached by the path of pure psychology, as Cassina supposed. The key can be furnished by Metaphysics alone; and this I shall attempt to give in the last Part of the present treatise.

I now turn to consider the derivation of actions of real moral worth from the source which has been indicated. The general rule by which to test such conduct, and which, consequently, is the leading principle of Ethics, I have already enlarged upon in the foregoing Part, and enunciated as follows: *Neminem laede; immo omnes, quantum potes, juva.* (Do harm to no one; but rather help all people, as far as lies in your power.) As this formula contains two clauses, so the actions corresponding to it fall naturally into two classes.



## ENDNOTES.

<sup>1</sup> First maxim: it is not in our hearts to identify ourselves with those who are happier than we are, but only with those who are less happy.

<sup>2</sup> Stallbaum: p. 584, sq. — (*Translator*.)

<sup>3</sup> There are no real pleasures, without real needs.

<sup>4</sup> *V.* his *Saggio Analitico sulla Compassione*, 1788; German translation by Pockels, 1790.

## CHAPTER VI.

### THE VIRTUE OF JUSTICE.

If we look more closely at this process called Compassion, which we have shown to be the primary ethical phaenomenon, we remark at once that there are two distinct degrees in which another's suffering may become directly my motive, that is, may urge me to do something, or to leave it undone. The first degree of Compassion is seen when, by counter-acting egoistic and malicious motives, it keeps me from bringing pain on another, and from becoming myself the cause of trouble, which so far does not exist. The other higher degree is manifested, when it works positively, and incites me to active help. The distinction between the so-called duties of law and duties of virtue, better described as justice and loving-kindness, which was effected by Kant in such a forced and artificial manner, here results entirely of itself; whence the correctness of the principle is attested. It is the natural, unmistakable, and sharp separation between negative and positive, between doing no harm, and helping. The terms in common use — namely, “the duties of law,” and “the duties of virtue,” (the latter being also called “duties of love,” or “imperfect duties,”) are in the first place faulty because they co-ordinate the *genus* with the *species*; for justice is one of the virtues. And next, they owe their origin to the mistake of giving a much too wide extension to the idea “Duty”; which I shall reduce to its proper limits below. In place, therefore, of these duties I put two virtues; the one, justice, and the other, loving-kindness; and I name them cardinal virtues, since from them all others not only in fact proceed, but also may be theoretically derived.... Both have their root in natural Compassion. And this Compassion is an undeniable fact of human consciousness, is an essential part of it, and does not depend on assumptions, conceptions, religions, dogmas, myths, training, and education. On the contrary, it is original and immediate, and lies in human nature itself. It consequently remains unchanged under all circumstances, and reveals itself in every land, and at all times. This is why appeal is everywhere confidently made to it, as to something necessarily present in every man; and it is never an attribute of the “strange gods.”<sup>1</sup> As he, who appears to be without compassion, is called inhuman; so “humanity” is often used as its synonyme.

The first degree, then, in which this natural and genuine moral incentive shows itself is only negative. Originally we are all disposed to injustice and violence, because our need, our desire, our anger and hate pass into the consciousness directly, and hence have the *Jus primi occupantis*. (The right of the first occupant.) Whereas the sufferings of others, caused by our injustice and violence, enter the consciousness indirectly, that is, by the secondary channel of a mental picture, and not till they are understood by experience. Thus Seneca (*Ep.* 50) says: *Ad neminem ante bona mens venit, quam mala*. (Good feelings never come before bad ones.) In its first degree, therefore, Compassion opposes and baffles the design to which I am urged by the antimoral forces dwelling within me, and which will bring trouble on a fellow-being. It calls out to me: “Stop!” and encircles the other as with a fence, so as to protect him from the injury which otherwise my egoism or malice would lead me to inflict on him. So arises out of this first degree of compassion the rule: *Neminem laede*. (Do harm to no one.) This is the fundamental principle of the virtue of justice, and here alone is to be found its origin, pure and simple, — an origin which is truly moral, and free from all extraneous admixture. Otherwise derived, justice would have to rest on Egoism, — a *reductio ad absurdum*. If my nature is susceptible of Compassion up to this point, then it will avail to keep me back, whenever I should like to use others’ pain as a means to obtain my ends; equally, whether this pain be immediate, or an after-consequence, whether it be effected directly, or indirectly, through intermediate links. I shall therefore lay hands on the property as little as on the person of another, and avoid causing him distress, no less mental than bodily. I shall thus not only abstain from doing him physical injury, but also, with equal care I shall guard against inflicting on him the suffering of mind, which mortification and calumny, anxiety and vexation so surely work. The same sense of Compassion will check me from gratifying my desires at the cost of women’s happiness for life, or from seducing another man’s wife, or from ruining youths morally and physically by tempting them to *paederastia*. Not that it is at all necessary in each single case that Compassion should be definitely excited; indeed it would often come too late; but rather the rule: *Neminem laede*, is formed by noble minds out of the knowledge, gained once for all, of the injury which every unjust act necessarily entails upon others, and which is aggravated by the feeling of having to endure wrong through a *force majeure*. Such natures are led by reflecting reason to carry

out this principle with unswerving resolution. They respect the rights of every man, and abstain from all encroachment on them; they keep themselves free from self-reproach, by refusing to be the cause of others' trouble; they do not shift on to shoulders not their own, by force or by trickery, the burdens and sorrows of life, which circumstances bring to every one; they prefer to bear themselves the portions allotted to them, so as not to double those of their neighbours. For although generalising formulae, and abstract knowledge of whatever kind, are not in the least the cause, or the real basis of morality; these are nevertheless indispensable for a moral course of life. They are the cistern or reservoir, in which the habit of mind, that springs from the fount of all morality (a fount not at all moments flowing), may be stored up, thence to be drawn off, as occasion requires. There is thus an analogy between things moral and things physiological; among many instances of which we need only mention that of the gall-bladder, which is used for keeping the secretion of the liver. Without firmly held principles we should inevitably be at the mercy of the antimoral incentives, directly they are roused to activity by external influences; and self-control lies precisely in steadfast adherence and obedience to such principles, despite the motives which oppose them.

In general, the feminine half of humanity is inferior to the masculine in the virtue of justice, and its derivatives, uprightness, conscientiousness, etc.; the explanation is found in the fact that, owing to the weakness of its reasoning powers the former is much less capable than the latter of understanding and holding to general laws, and of taking them as a guiding thread. Hence injustice and falseness are women's besetting sins, and lies their proper element. On the other hand, they surpass men in the virtue of loving-kindness; because usually the stimulus to this is intuitive, and consequently appeals directly to the sense of Compassion, of which females are much more susceptible than males. For the former nothing but what is intuitive, present, and immediately real has a true existence; that which is knowable only by means of concepts, as for instance, the absent, the distant, the past, the future, they do not readily grasp. We thus find compensation here, as in so much else; justice is more the masculine, loving-kindness more the feminine virtue. The mere idea of seeing women sitting on the judges' bench raises a smile; but the sisters of mercy far excel the brothers of charity. Now animals, as they have no power of gaining knowledge by reason, that is, of forming abstract ideas, are entirely incapable of fixed

resolutions, to say nothing of principles; they consequently totally lack self-control, and are helplessly given over to external impressions and internal impulses. This is why they have no conscious morality; although the different species show great contrasts of good and evil in their characters, and as regards the highest races these are traceable even in individuals.

From the foregoing considerations we see that in the single acts of the just man Compassion works only indirectly through his formulated principles, and not so much *actu* as *potentiâ*; much in the same way as in statics the greater length of one of the scale-beams, owing to its greater power of motion, balances the smaller weight attached to it with the larger on the other side, and works, while at rest, only *potentiâ*, not *actu*; yet with the same efficiency.

Nevertheless, Compassion is always ready to pass into active operation. Therefore, whenever, in special cases, the established rule shows signs of breaking down, the one incentive (for we exclude of course those based on Egoism), which is capable of infusing fresh life into it, is that drawn from the fountain-head itself — Compassion. This is true not only where it is a question of personal violence, but also where property is concerned, for instance, when any one feels the desire to keep some valuable object which he has found. In such cases, — if we set aside all motives prompted by worldly wisdom, and by religion — nothing brings a man back so easily to the path of justice, as the realisation of the trouble, the grief, the lamentation of the loser. It is because this is felt to be true, that, when publicity is given to the loss of money, the assurance is so often added that the loser is a poor man, a servant, etc.

It is hoped that these considerations have made it clear that, however contrary appearances may be at first sight, yet undoubtedly justice, as a genuine and voluntary virtue has its origin in Compassion. But if any one should suppose such a soil too barren and meagre to bear this great cardinal virtue, let him reflect on what is said above, and remember how small is the amount of true, spontaneous, unselfish, unfeigned justice among men; how the real thing only occurs as a surprising exception, and how, to its counterfeit, — the justice that rests on mere worldly wisdom and is everywhere published abroad — it is related, both in quality and quantity, as gold is to copper. I should like to call the one *δικαιοσύνη πάνδημος* (common, ordinary justice), the other *οὐρανία* (heavenly justice).<sup>2</sup> For the latter is she, who, according to Hesiod,<sup>3</sup> leaves the earth in the iron age, to

dwell with the celestial gods. To produce such a rare exotic as this the root we have indicated is surely vigorous enough.

It will now be seen that injustice or wrong always consists in working harm on another. Therefore the conception of wrong is positive, and antecedent to the conception of right, which is negative, and simply denotes the actions performable without injury to others; in other words, without wrong being done. That to this class belongs also whatever is effected with no other object than that of warding off from oneself meditated mischief is an easy inference. For no participation in another's interests, and no sympathy for him, can require me to let myself be harmed by him, that is, to undergo wrong. The theory that right is negative, in contradistinction to wrong as positive, we find supported by Hugo Grotius, the father of philosophical jurisprudence. The definition of justice which he gives at the beginning of his work, *De Jure Belli et Pacis* (Bk. I., chap. 1., § 3), runs as follows: — *Jus hic nihil aliud, quam quod justum est, significant, idque negante magis sensu, quam aiente, ut jus sit, quod injustum non est.*<sup>4</sup> The negative character of justice is also established, little as it may appear, even by the familiar formula: "Give to each one his own." Now, there is no need to give a man his own, if he has it. The real meaning is therefore: "Take from none his own." Since the requirements of justice are only negative, they may be effected by coercion; for the *Neminem laede* can be practised by all alike. The coercive apparatus is the state, whose sole *raison d'être* is to protect its subjects, individually from each other, and collectively from external foes. It is true that a few German would-be philosophers of this venal age wish to distort the state into an institution for the spread of morality, education, and edifying instruction. But such a view contains, lurking in the background, the Jesuitical aim of doing away with personal freedom and individual development, and of making men mere wheels in a huge Chinese governmental and religious machine. And this is the road that once led to Inquisitions, to Autos-da-fé, and religious wars. Frederick the Great showed that he at least never wished to tread it, when he said: "In my land every one shall care for his own salvation, as he himself thinks best." Nevertheless, we still see everywhere (with the more apparent than real exception of North America) that the state undertakes to provide for the metaphysical needs of its members. The governments appear to have adopted as their guiding principle the tenet of Quintus Curtius: *Nulla res efficacius multitudinem regit, quam superstitio: alioquin impotens, saeva,*

*mutabilis; ubi vana religione capta est, melius vatibus, quam ducibus suis paret.*<sup>5</sup>

We have seen that “wrong” and “right” are convertible synonymes of “to do harm” and “to refrain from doing it,” and that under “right” is included the warding off of injury from oneself. It will be obvious that these conceptions are independent of, and antecedent to, all positive legislation. There is, therefore, a pure ethical right, or natural right, and a pure doctrine of right, detached from all positive statutes. The first principles of this doctrine have no doubt an empirical origin, so far as they arise from the idea of harm done, but *per se* they rest on the pure understanding, which *a priori* furnishes ready to hand the axiom: *causa causae est causa effectus*. (The cause of a cause is the cause of the effect.) Taken in this connection the words mean: if any one desires to injure me, it is not I, but he, that is the cause of whatever I am obliged to do in self-defence; and I can consequently oppose all encroachments on his part, without wronging him. Here we have, so to say, a law of moral repercussion. Thus it comes about that the union of the empirical idea of injury done with the axiom supplied by the pure understanding, gives rise to the fundamental conceptions of wrong and right, which every one grasps *a priori*, and learns by actual trial to immediately adopt. The empiric, who denies this, and refuses to accept anything but the verdict of experience, may be referred to the testimony of the savage races, who all distinguish between wrong and right quite correctly, often indeed with nice precision; as is strikingly manifested when they are engaged in bartering and other transactions with Europeans, or visit their ships. They are bold and self-assured, when they are in the right; but uneasy, when they know they are wrong. In disputes a just settlement satisfies them, whereas unjust procedure drives them to war. The Doctrine of Right is a branch of Ethics, whose function is to determine those actions which may not be performed, unless one wishes to injure others, that is, to be guilty of wrong-doing; and here the active part played is kept in view. But legislation applies this chapter of moral science conversely, that is, with reference to the passive side of the question, and declares that the same actions need not be endured, since no one ought to have wrong inflicted on him. To frustrate such conduct the state constructs the complete edifice of the law, as positive Right. Its intention is that no one shall suffer wrong; the intention of the Doctrine of Moral Right is that no one shall do wrong.<sup>6</sup>

If by unjust action I molest some one, whether in his person, his freedom, his property, or his honour, the wrong as regards quality remains the same. But with respect to quantity it may vary very much. This difference in the amount of wrong effected appears not to have been as yet investigated by moralists, although it is everywhere recognised in real life, because the censure passed is always proportional to the harm inflicted. So also with just actions, the right done is constant in quality, but not in quantity. To explain this better: he, who when dying of starvation steals a loaf, commits a wrong; but how small is this wrong in comparison with the act of an opulent proprietor, who, in whatever way, despoils a poor man of his last penny! Again: the rich person who pays his hired labourer, acts justly; but how insignificant is this piece of justice when contrasted with that of a penniless toiler, who voluntarily returns to its wealthy owner a purse of gold which he has found! The measure, however, of this striking difference in the quantity of justice, and injustice (the quality being always constant), is not direct and absolute, as on a graduated scale; it is indirect and relative, like the ratio of sines and tangents. I give therefore the following definition: the amount of injustice in my conduct varies as the amount of evil, which I thereby bring on another, divided by the amount of advantage, which I myself gain; and the amount of justice in my conduct varies as the amount of advantage, which injury done to another brings me, divided by the amount of harm which he thereby suffers.

We have further to notice a double form of injustice which is specifically different from the simple kind, be it never so great. This variety may be detected by the fact that the amount of indignation shown by disinterested witnesses, which is always proportional to the amount of wrong inflicted, never reaches the maximum except when it is present. We then see how the deed is loathed, as something revolting and heinous, as an *ἄγος* (*i.e.*, abomination), before which, as it were, the gods veil their faces. Double injustice occurs when some one, after definitely undertaking the obligation of protecting his friend, master, client, etc., in a special way, not only is guilty of non-fulfilment of that duty (which of itself would be injurious to the other, and therefore a wrong); but when, in addition, he turns round, and attacks the man, and strikes at the very spot which he promised to guard. Instances are: the appointed watch, or guide, who becomes an assassin; the trusted caretaker, who becomes a thief; the guardian, who robs his ward of her property; the lawyer, who prevaricates; the judge, who is corruptible;



the adviser, who deliberately gives some fatal counsel. All such conduct is known by the name of treachery, and is viewed with abhorrence by the whole world. Hence Dante puts traitors in the lowest circle of Hell, where Satan himself is found (*Inferno*: xi, 61-60).

As we have here had occasion to mention the word “obligation,” this is the place to determine the conception of Duty, which is so often spoken of both in Ethics and in real life, but with too wide an extension of meaning. We have seen that wrong always signifies injury done to another, whether it be in his person, his freedom, his property, or his honour. The consequence appears to be that every wrong must imply a positive aggression, and so a definite act. Only there are actions, the simple omission of which constitutes a wrong; and these are Duties. This is the true philosophic definition of the conception “Duty,” — a term which loses its characteristic note, and hence becomes valueless, if it is used (as hitherto it has been in Moral Science) to designate all praiseworthy conduct. It is forgotten that “Duty”<sup>2</sup> necessarily means a debt which is owing, being thus an action, by the simple omission of which another suffers harm, that is, a wrong comes about. Clearly in this case the injury only takes place through the person, who neglects the duty, having distinctly pledged or bound himself to it. Consequently all duties depend on an obligation which has been entered into. This, as a rule, takes the form of a definite, if sometimes tacit, agreement between two parties: as for instance, between prince and people, government and its servants, master and man, lawyer and client, physician and patient; in a word, between any and every one who undertakes to perform some task, and his employer in the widest sense of the word. Hence every duty involves a right; since no one undertakes an obligation without a motive, which means, in this case, without seeing some advantage for himself. There is only one obligation that I know of which is not subject to an agreement, but arises directly and solely through an act; this is because one of the persons with whom it has to do was not in existence when it was contracted. I refer to the duty of parents towards their children. Whoever brings a child into the world, has incumbent on him the duty of supporting his offspring, until the latter is able to maintain himself; and should this time never come, owing to incapacity from blindness, deformity, cretinism, and the like, neither does the duty ever come to an end. It is clear that merely by failing to provide for the needs of his son, that is, by a simple omission, the father would injure him, indeed jeopardise his life. Children’s

duty towards their parents is not so direct and imperative. It rests on the fact that, as every duty involves a right, parents also must have some just claim on their issue. This is the foundation of the duty of filial obedience, which, however, in course of time ceases simultaneously with the right out of which it sprang. It is replaced by gratitude for that which was done by father and mother over and above their strict duty. Nevertheless, although ingratitude is a hateful, often indeed a revolting vice, gratitude cannot be called a duty; because its omission inflicts no injury on the other side, and is therefore no wrong. Otherwise we should have to suppose that in his heart of hearts the benefactor aims at making a good bargain. It should be noticed that reparation made for harm done may also be regarded as a duty arising directly through an action. This, however, is something purely negative, as it is nothing but an attempt to remove and blot out the consequences of an unjust deed, as a thing that ought never to have taken place. Be it also observed that equity<sup>8</sup> is the foe of justice, and often comes into harsh collision with it; so that the former ought only to be admitted within certain limits. The German is a friend of equity, while the Englishman holds to justice.

The law of motivation is just as strict as that of physical causality, and hence involves the same irresistible necessity. Consequently wrong may be compassed not only by violence, but also by cunning. If by violence I am able to kill or rob another, or compel him to obey me, I can equally use cunning to accomplish the same ends; that is, I can place false motives before his intellect, by reason of which he must do what otherwise he would not. These false motives are effected by lies. In reality lies are unjustifiable solely in so far as they are instruments of cunning, in other words, of compulsion, by means of motivation.<sup>2</sup> And this is precisely their function, as a rule. For, in the first place, I cannot tell a falsehood without a motive, and this motive will certainly be, with the rarest exceptions, an unjust one; namely, the intention of holding others, over whom I have no power, under my will, that is, of coercing them through the agency of motivation. Also in mere exaggerations and untruthful bombast there is the same purpose at work; for, by employing such language, a man tries to place himself higher in the sight of others than is his due. The binding force of a promise or a compact is contained in the fact that, if it be not observed, it is a deliberate lie, pronounced in the most solemn manner, — a lie, whose intention (that of putting others under moral compulsion) is, in this case, all the clearer,

because its motive, the desired performance of something on the other side, is expressly declared. The contemptible part of the fraud is that hypocrisy is used to disarm the victim before he is attacked. The highest point of villainy is reached in treachery, which, as we have seen, is a double injustice, and is always, regarded with loathing.

It is, then, obvious that, just as I am not wrong, that is, right in resisting violence by violence, so where violence is not feasible, or it appears more convenient, I am at liberty to resort to cunning; accordingly, whenever I am entitled to use force, I may, if I please, employ falsehood; for instance, against robbers and miscreants of every sort, whom in this way I entice into a trap. Hence a promise which is extorted by violence is not binding. But, as a matter of fact, the right to avail myself of lies extends further. It occurs whenever an unjustifiable question is asked, which has to do with my private, or business affairs, and is hence prompted by curiosity; for to answer it, or even to put it off by the suspicion-awakening words, “I can’t tell you,” would expose me to danger. Here an untruth is the indispensable weapon against unwarranted inquisitiveness, whose motive is hardly ever a well-meaning one. For, just as I have the right to oppose the apparent bad will of another, and to anticipate with physical resistance, to the danger of my would-be aggressor, the physical violence presumably thence resulting; so that, for instance, as a precaution, I can protect my garden wall with sharp spikes, let loose savage dogs in my court at night, and even, if circumstances require it, set man-traps and spring-guns, for the evil consequences of which the burglar has only himself to thank: — if I have the right to do this, then I am equally authorised in keeping secret, at any price, that which, if known, would lay me bare to the attack of others. And I have good reason for acting thus, because, in moral, no less than in physical, relations, I am driven to assume that the bad will of others is very possible, and must therefore take all necessary preventive measures beforehand. Whence Ariosto says: —

*Quantunque il similar sia le più volte  
Ripreso, e dia di mala mente indict,  
Si trova pure in molte cose e molte  
Avere fatti evidenti benefici,  
E danni e biasmi e morti avere tolte:  
Che non conversiam’ sempre con gli amici,*

*In questa assai più oscura che serena  
Vita mortal, tutta d'invidia piena*<sup>10</sup>  
— *Orl. Fur.*, IV., 1.

I may, then, without any injustice match cunning with cunning, and anticipate all crafty encroachments on me, even if they be only probable; and I need neither render an account to him who unwarrantably pries into my personal circumstances, nor by replying: "I cannot answer this," show him the spot where I have a secret, which perilous to me, and perhaps advantageous to him, in any case puts me in his power, if divulged: *Scire volunt secreta domus, atque inde timeri*. (They wish to know family secrets, and thus become feared.) On the contrary, I am justified in putting him off with a lie, involving danger to himself, in case he is thereby led into a mistake that works him harm. Indeed, a falsehood is the only means of opposing inquisitive and suspicious curiosity; to meet which it is the one weapon of necessary self-defence. "Ask me no questions, and I'll tell you no lies" is here the right maxim. For among the English, who regard the reproach of being a liar as the deepest insult, and who on that account are really more truthful than other nations, all unjustifiable questions, having to do with another's affairs, are looked upon as a piece of ill-breeding, which is denoted by the expression, "to ask questions." Certainly every sensible person, even when he is of the strictest rectitude, follows the principle above set forth. Suppose, for instance, such a one is returning from a remote spot, where he has raised a sum of money; and suppose an unknown traveller joins him, and after the customary "whither" and "whence" gradually proceeds to inquire what may have taken him to that place; the former will undoubtedly give a false answer in order to avoid the danger of robbery. Again: if a man be found in the house of another, whose daughter he is wooing; and he is asked the cause of his unexpected presence; unless he has entirely lost his head, he will not give the true reason, but unhesitatingly invent a pretext. And the cases are numberless in which every reasonable being tells an untruth, without the least scruple of conscience. It is this view of the matter alone that removes the crying contradiction between the morality which is taught, and that which is daily practised, even by the best and most upright of men. At the same time, the restriction of a falsehood to the single purpose of self-defence must be rigidly observed; for otherwise this doctrine would admit of terrible abuse, a

lie being in itself a very dangerous instrument. But just as, even in time of public peace, the law allows every one to carry weapons and to use them, when required for self-defence, so Ethics permits lies to be employed for the same purpose, and — be it observed — for this one purpose only. Every mendacious word is a wrong, excepting only when the occasion arises of defending oneself against violence or cunning. Hence justice requires truthfulness towards all men. But the entirely unconditional and unreserved condemnation of lies, as properly involved in their nature, is sufficiently refuted by well known facts. Thus, there are cases where a falsehood is a duty, especially for doctors; and there are magnanimous lies, as, for instance, that of the Marquis Posa in *Don Carlos*<sup>11</sup> or that in the *Gerusalemme Liberata*, II., 22;<sup>12</sup> they occur, indeed, whenever a man wills to take on himself the guilt of another; and lastly, Jesus Christ himself is reported (*John* vii. 8; cf. ver. 10) on one occasion to have intentionally told an untruth. The reader will remember that Campanella, in his *Poesie Filosofiche* (Delia Bellezza: Madr. 9), does not hesitate to say: “*Bello è il mentir, se a fare gran ben’ si trova.*”<sup>13</sup> On the other hand, the current teaching as regards necessary falsehoods is a wretched patch on the dress of a poverty-stricken morality. Kant is responsible for the theory found in many text-books, which derives the unjustifiableness of lies from man’s faculty of speech; but the arguments are so tame, childish and absurd that one might well be tempted, if only to pour contempt on them, to join sides with the devil, and say with Talleyrand: *l’homme a reçu la parole pour pouvoir cacher sa pensée*<sup>14</sup>. The unqualified and boundless horror shown by Kant for falsehoods, whenever he has the opportunity, is due either to affectation, or to prejudice. In the chapter of his “*Tugendlehre*,” dealing with lies, he loads them with every kind of defamatory epithet, but does not adduce a single adequate reason for their condemnation; which would have been more to the point. Declamation is easier than demonstration, and to moralise less difficult than to be sincere. Kant would have done better to open the vials of his wrath on that vice which takes pleasure in seeing others suffer; it is the latter, and not a falsehood, which is truly fiendish. For malignant joy is the exact opposite of Compassion, and nothing else but powerless cruelty, which, unable itself to bring about the misery it so gladly beholds others enduring, is thankful to Τύχη for having done so instead. According to the code of knightly honour, the reproach of being a liar is of extreme gravity, and only to be washed out with the accuser’s blood. Now

this obtains, not because the lie is wrong in itself, since, were such the reason, to accuse a man of an injury done by violence would certainly be regarded as equally outrageous, — which is not the case, as every one knows; but it is due to that principle of chivalry, which in reality bases right on might; so that whoever, when trying to work mischief, has recourse to falsehood, proves that he lacks either power, or the requisite courage. Every untruth bears witness of his fear; and this is why a fatal verdict is passed on him.

## ENDNOTES.

<sup>1</sup> Thus, when the first gleam of *Mitleid* stole into her heart, Brünhilde could no longer remain a Walküre; and Wotan's end comes, when by the same solvent he is at length set free from the delusion of the *principium individuationis*. — (Translator.)

<sup>2</sup> There is here an allusion to the *πάνδημος* "Ἔρως and Οὐρανία in Plato's *Symposium*. V. Chap. 8, sq. Edit. Schmelzer: Weidmann, Berlin, 1882. — (Translator.)

<sup>3</sup> V. Hesiod, *Opera et Dies*, 174-201. — (Translator.)

<sup>4</sup> Justice here denotes nothing else than that which is just, and this, rather in a negative than in a positive sense; so that what is not unjust is to be regarded as justice.

<sup>5</sup> There is no more efficient instrument in ruling the masses than superstition. Without this they have no self-control; they are brutish; they are changeable; but once they are caught by some vain form of religion, they lend a more willing ear to its soothsayers than to their own leaders.

<sup>6</sup> The Doctrine of Eight in detail may be found in *Die Welt als Wille und Vorstellung*, vol. i., § 62.

<sup>7</sup> Duty = τὸ δέον = le devoir = Pflicht [cf. *plight*, O. H. G. *plegan*.] — (Translator.)

<sup>8</sup> The word here translated "equity" (*Billigkeit*: Lat. *aequitas*) means the sense of fairness, or of natural justice which determines what is fitting and due in all human relations, as opposed to justice (*Gerechtigkeit*) taken as positive written law. — (Translator.)

<sup>9</sup> Motivation is defined in Part II., Chapter VIII., as "the law of Causality acting through the medium of the intellect." It is thus the law of the determination of conduct by motives. — (Translator.)

<sup>10</sup>

However much we're won't to blame a lie,  
As index of a mind estranged from right,  
Yet times unnumber'd it hath shap'd results  
Of good most evident; disgrace and loss,  
It chang'd; e'en death it cheated. For with friends,  
Alas! not always in this mortal life,

Where envy fills all hearts, and gloom prevails  
Much more than light, are we in converse join'd.  
— (*Translator*.)

[<sup>11</sup>](#) *Vide*, Schiller's *Don Carlos*: Act V., Sc. 3. — (*Translator*.)

[<sup>12</sup>](#)

“*Magnanima menzogna, or quando è il vero  
Si hello che si possa a te preporre?*”

Cf. also the Horatian *splendid mendax*. *Carm.* III., 11, 35. — (*Translator*.)

[<sup>13</sup>](#) 'Tis well to lie, an there result much good therefrom. *Vide*, *Opere* di Tommaso Campanella, da Alessandro d'Ancona, Torino, 1854. — (*Translator*.)

[<sup>14</sup>](#) Man has received the gift of language, so as to be able to conceal his thoughts.



## CHAPTER VII.

### THE VIRTUE OF LOVING-KINDNESS.

Thus justice is the primary and essentially cardinal virtue. Ancient philosophers recognised it as such, but made it co-ordinate with three others unsuitably chosen.<sup>1</sup> Loving-kindness (*caritas*, ἀγάπη) was not as yet ranked as a virtue. Plato himself, who rises highest in moral science, reaches only so far as voluntary, disinterested justice. It is true that loving-kindness has existed at all times in practice and in fact; but it was reserved for Christianity, — whose greatest service is seen in this — to theoretically formulate, and expressly advance it not only as a virtue, but as the queen of all; and to extend it even to enemies. We are thinking of course only of Europe. For in Asia, a thousand years before, the boundless love of one's neighbour had been prescribed and taught, as well as practised: the Vedas<sup>2</sup> are full of it; while in the Dharma-Śāstra,<sup>3</sup> Itihāsa,<sup>4</sup> and Purāna<sup>5</sup> it constantly recurs, to say nothing of the preaching of Śākya-muni, the Buddha. And to be quite accurate we must admit that there are traces to be found among the Greeks and Romans of a recommendation to follow loving-kindness; for instance, in Cicero, *De Finibus*, V., 23;<sup>6</sup> and also in Pythagoras, according to Iamblichus, *De vita Pythagorae*, chap. 33.<sup>7</sup> My task is now to give a philosophical derivation of this virtue from the principle I have laid down.

It has been demonstrated in Chapter V. of this Part, that the sense of Compassion, however much its origin is shrouded in mystery, is the one and sole cause whereby the suffering I see in another, of itself, and as such, becomes directly my motive; and we have seen that the first stage of this process is negative. The second degree is sharply distinguished from the first, through the positive character of the actions resulting therefrom; for at this point Compassion does more than keep me back from injuring my neighbour; it impels me to help him. And according as, on the one hand, my sense of direct participation is keen and deep, and, on the other hand, the distress is great and urgent, so shall I be constrained by this motive, which (be it noted) is purely and wholly moral, to make a greater or less sacrifice in order to meet the need or the calamity which I observe; and this sacrifice may involve the expenditure of my bodily or mental powers, the loss of my property, freedom, or even life. So that in this direct suffering with another, which rests on no arguments and requires none, is found the one simple

origin of loving-kindness, *caritas*, ἀγάπη in other words, that virtue whose rule is: *Omnes, quantum potes, juva* (help all people, as far as lies in your power); and from which all those actions proceed which are prescribed by Ethics under the name of duties of virtue, otherwise called duties of love, or imperfect duties. It is solely by direct and, as it were, instinctive participation in the sufferings which we see, in other words, by Compassion, that conduct so defined is occasioned; at least when it can be said to have moral worth, that is, be declared free from all egoistic motives, and when on that account it awakens in us that inward contentment which is called a good, satisfied, approving conscience, and elicits from the spectator (not without making him cast a humiliating glance at himself), that remarkable commendation, respect, and admiration which are too well-known to be denied.

But if a beneficent action have any other motive whatever, then it must be egoistic, if not actually malicious. For as the fundamental springs of all human conduct (v. Chapter V. of this Part), are three, namely, Egoism, Malice, Compassion; so the various motives which are capable of affecting men may be grouped under three general heads: (1) one's own weal; (2) others' woe; (3) others' weal. Now if the motive of a kind act does not belong to the third class, it must of course be found in the first or second. To the second it is occasionally to be ascribed; for instance, if I do good to some one, in order to vex another, to whom I am hostile; or to make the latter's sufferings more acute; or, it may be, to put to shame a third person, who refrained from helping; or lastly, to inflict a mortification on the man whom I benefit. But it much more usually springs from the first class. And this is the case whenever, in doing some good, I have in view my own weal, no matter how remote or indirect it may be; that is, whenever I am influenced by the thought of reward whether in this, or in another, world, or by the hope of winning high esteem, and of gaining a reputation for nobleness of character; or again, when I reflect that the person, whom I now aid, may one day be able to assist me in return, or otherwise be of some service and benefit; or when, lastly, I am guided by the consideration that I must keep the rules of magnanimity and beneficence, because I too may on some occasion profit thereby. In a word, my motive is egoistic as soon as it is anything other than the purely objective desire of simply knowing, without any ulterior purpose, that my neighbour is helped, delivered from his distress and need, or freed from his suffering. If such an aim — shorn,

as it is, of all subjectivity — be really mine, then, and then only, have I given proof of that loving-kindness, *caritas*, ἀγάπη, which it is the great and distinguishing merit of Christianity to have preached. It should be observed, in this connection, that the injunctions which the Gospel adds to its commandment of love, e.g., μὴ γνώτω ἡ ἀρίστερα σου, τί ποιεῖ ἡ δεξιὰ σου (let not thy left hand know what thy right hand doeth), and the like, are, in point of fact, based on a consciousness of the conclusion I have here reached, — namely, that another's distress, of itself alone, without any further consideration, must be my motive, if what I do is to be of moral value. And in the same place (*Matth.* vi. 2) we find it stated with perfect truth that ostentatious almsgivers ἀπέχουσιν τὸν μισθὸν αὐτῶν. (Get in full — exhaust their reward.) Although, in this respect too, the Vedas shed on us the light of a higher teaching. They repeatedly declare that he, who desires any sort of recompense for his work, is still wandering in the path of darkness, and not yet ripe for deliverance. If any one should ask me what he gets from a charitable act, my answer in all sincerity would be: “This, that the lot of the poor man you relieve is just so much the lighter; otherwise absolutely nothing. If you are not satisfied, and feel that such is not a sufficient end, then your wish was not to give alms, but to make a purchase; and you have effected a bad bargain. But if the one thing you are concerned with is that he should feel the pressure of poverty less; then you have gained your object; you have diminished his suffering, and you see exactly how far your gift is requited.”

Now, how is it possible that trouble which is not mine, and by which I am untouched, should become as direct a motive to me as if it were my own, and incite me to action? As already explained, only through the fact that, although it comes before me merely as something outside myself, by means of the external medium of sight or hearing; I am, nevertheless, sensible of it with the sufferer; I feel it as my own, not indeed in myself, but in him And so what Calderon said comes to pass:

*que entre el ver*

*Padecer y el padecer*

*Ninguna distancia habia.*

(*No Siempre lo Peor es Cierto.* Jorn. II., Esc. 9.)<sup>8</sup>

This, however, presupposes that to a certain extent I have become identified with the other, and consequently that the barrier between the ego and the non-ego is, for the moment, broken down. It is then, and then only, that I make his interests, his need, his distress, his suffering directly my own; it is then that the empirical picture I have of him vanishes, and I no longer see the stranger, who is entirely unlike myself, and to whom I am indifferent; but I share his pain in him, despite the certainty that his skin does not enclose my nerves. Only in this way is it possible for his woe, his distress to become a motive for me; otherwise I should be influenced solely by my own. This process is, I repeat, mysterious. For it is one which Reason can give no direct account of, and its causes lie outside the field of experience. And yet it is of daily occurrence. Every one has often felt its working within himself; even to the most hard-hearted and selfish it is not unknown. Each day that passes brings it before our eyes, in single acts, on a small scale; whenever a man, by direct impulse, without much reflection, helps a fellow-creature and comes to his aid, sometimes even exposing himself to the most imminent peril for the sake of one he has never seen before, and this, without once thinking of anything but the fact that he witnesses another's great distress and danger. It was manifested on a large scale, when after long consideration, and many a stormy debate, the noble-hearted British nation gave twenty millions of pounds to ransom the negroes in its colonies, with the approbation and joy of a whole world. If any one refuses to recognise in Compassion the cause of this deed, magnificent as it is in its grand proportions, and prefers to ascribe it to Christianity; let him remember that in the whole of the New Testament not one word is said against slavery, though at that time it was practically universal; and further, that as late as A.D. 1860, in North America, when the question was being discussed, a man was found who thought to strengthen his case by appealing to the fact that Abraham and Jacob kept slaves!

What will be in each separate case the practical effect of this mysterious inner process may be left to Ethics to analyse, in chapters and paragraphs entitled "Duties of Virtue," "Duties of Love," "Imperfect Duties," or whatever other name be used. The root, the basis of all these is the one here indicated; for out of it arises the primary precept: *Omnes, quantum potes, juva*; from which in turn everything else required can very easily be deduced; just as out of the *Neminem laede* — the first half of my principle

— all duties of justice are derivable. Ethics is in truth the easiest of all sciences. And this is only to be expected, since it is incumbent on each person to construct it for himself, and himself form the rule for every case, as it occurs, out of the fundamental law which lies deep in his heart; for few have leisure and patience enough to learn a ready-made system of Morals. From justice and loving-kindness spring all the other virtues; for which reason these two may properly be called cardinal, and the disclosure of their origin lays the corner-stone of Moral Science. The entire ethical content of the Old Testament is justice; loving-kindness being that of the New. The latter is the *καινὴ ἐντολὴ* (the new commandment [*John* xiii. 34]), which according to Paul (*Romans* xiii. 8-10) includes all Christian virtues.

## ENDNOTES.

<sup>1</sup> Plato taught that Justice (*δικαιοσύνη*) includes in itself the three other virtues of Wisdom (*σοφία*), Fortitude (*ἀνδρεία*), and Temperance (*σωφροσύνη*). With Aristotle, too, Justice is the chief of virtues; while the Stoic doctrine is that Virtue is manifested in four leading co-ordinate forms: Wisdom, Justice, Fortitude, and Temperance. — (*Translator.*)

<sup>2</sup> There are four Vedas: the *Big-Veda*, *Yajur-Veda*, *Sāma-Veda*, and *Atharva-Veda*. — (*Translator.*)

<sup>3</sup> *Dharma-Śāstra* (“a law book”): the body or code of Hindu law. — (*Translator.*)

<sup>4</sup> *Itihāsa* (iti-ha-āsa, “so indeed it is”): talk, legend, traditional accounts of former events, heroic history; *e.g.*, the *Mahā-bhārata*. — (*Translator.*)

<sup>5</sup> *Purāṇa* (ancient, legendary): the name given to certain well-known sacred works, eighteen in number, comprising the whole body of modern Hindu mythology. *V.* Monier Williams’ *Sanskrit Dictionary*. — (*Translator.*)

<sup>6</sup> *Ipsa CARITAS generis humani, quae nata a primo satu, quod a procreatoribus nati diliguntur, et tota domus conjugio et stirpe conjungitur, serpit sensim foras, cognationibus primum, tum affinitatibus, deinde amicitiiis, post vicinitatibus tum civibus et iis, qui publice socii atque amici sunt, deinde TOTIUS COMPLEXU GENTIS HUMANAЕ.*

<sup>7</sup> This chapter describes the Pythagorean *φίλια πάντων πρὸς ἅπαντας*, which comes very near to loving-kindness. It contains also certain *καλὰ τῆς φιλίας τεκμήρια*. — (*Translator.*)

<sup>8</sup>

For between the view

Of pain, and pain itself, I never knew

A distance lie.

It is not Always the Worst that is Certain: Act II., Sc. 9. — (*Translator.*)

## CHAPTER VIII.

### THE PROOF NOW GIVEN CONFIRMED BY EXPERIENCE.

The truth I have here laid down, that Compassion is the sole non-egoistic stimulus, and therefore the only really moral one, is a strange, indeed almost incomprehensible paradox. I shall hope, therefore, to render it less extraordinary to the reader, if I show that it is confirmed by experience, and by the universal testimony of human sentiment.

(1) For this purpose I shall, in the first place, state an imaginary case, which in the present investigation may serve as an *experimentum crucis*<sup>1</sup> (a crucial test). But not to make the matter too easy, I shall take no instance of loving-kindness, but rather a breach of lawful right, and that of the worse kind. Let us suppose two young people, Caius and Titus, to be passionately in love, each with a different girl, and that both are completely thwarted by two other men who are preferred because of certain external circumstances. They have both resolved to put their rivals out of the way, and are perfectly secure from every chance of detection, even from all suspicion. But when they come to actually prepare for the murder, each of them, after an inward struggle, draws back. They are now to give us a truthful and clear account of the reasons why they abandoned their project. As for Caius, I leave it entirely to the reader to choose what motive he likes. It may be that religious grounds checked him; for instance, the thought of the Divine Will, of future retribution, of the judgment to come, etc. Or perhaps he may say: "I reflected that the principle I was going to apply in this case would not be adapted to provide a rule universally valid for all possible rational beings; because I should have treated my rival only as a means, and not at the same time as an end." Or, following Fichte, he may deliver himself as follows: "Every human life is a means towards realising the moral law; consequently, I cannot, without being indifferent to this realisation, destroy a being ordained to do his part in effecting it." — (*Sittenlehre*, p. 373.) (This scruple, be it observed in passing, he might well overcome by the hope of soon producing a new instrument of the moral law, when once in possession of his beloved.) Or, again, he may speak after the fashion of Wollaston: "I considered that such an action would be the expression of a false tenet." Or like Hutcheson: "The Moral Sense, whose perceptions, equally with those of every other sense, admit of no final explanation, forbade me to commit

such a deed.” Or like Adam Smith: “I foresaw that my act would awaken no sympathy with me in the minds of the spectators.” Or his language may be borrowed from Christian Wolff: “I recognised that I should thereby advance neither the work of making myself perfect, nor the same process in any one else.” Or from Spinoza: “*Homini nihil utilius homine: ergo hominem interimere nolui.*” (To man nothing is more useful than man: therefore I was unwilling to destroy a man.) In short, he may say what one pleases. But Titus, whose explanation is supplied by myself, will speak as follows: “When I came to make arrangements for the work, and so, for the moment, had to occupy myself not with my own passion, but with my rival; then for the first time I saw clearly what was going to happen to him. But simultaneously I was seized with compassion and pity; sorrow for him laid hold upon me, and overmastered me: I could not strike the blow.” Now I ask every honest and unprejudiced reader: Which of these two is the better man? To which would he prefer to entrust his own destiny? Which is restrained by the purer motive? Consequently, where does the basis of morality lie?

(2) There is nothing that revolts our moral sense so much as cruelty. Every other offence we can pardon, but not cruelty. The reason is found in the fact that cruelty is the exact opposite of Compassion. When we hear of intensely cruel conduct, as, for instance, the act, which has just been recorded in the papers, of a mother, who murdered her little son of five years, by pouring boiling oil into his throat, and her younger child, by burying it alive; or what was recently reported from Algiers: how a casual dispute between a Spaniard and an Algerine ended in a fight; and how the latter, having vanquished the other, tore out the whole of his lower jaw bone, and carried it off as a trophy, leaving his adversary still alive; — when we hear of cruelty like this, we are seized with horror, and exclaim: “How is it possible to do such a thing?” Now, let me ask what this question signifies. Does it mean: “How is it possible to fear so little the punishments of the future life?” It is difficult to admit this interpretation. Then perhaps it intends to say: “How is it possible to act according to a principle which is so absolutely unfitted to become a general law for all rational beings?” Certainly not. Or, once more: “How is it possible to neglect so utterly one’s own perfection as well as that of another?” This is equally unimaginable. The sense of the question is assuredly nothing but this: “How is it possible to be so utterly bereft of compassion?” The conclusion is that when an



action is characterised by an extraordinary absence of compassion, it bears the certain stamp of the deepest depravity and loathsomeness. Hence Compassion is the true moral incentive.

(3) The ethical basis, or the original moral stimulus, which I have disclosed, is the only one that can be justly said to have a real and extended sphere of effective influence. No one will surely venture to maintain as much of all the other moral principles that philosophers have set up; for these are composed of abstract, sometimes even of hair-splitting propositions, with no foundation other than an artificial combination of ideas; such that their application to actual conduct would often incline to the comic. A good action, inspired solely by Kant's Moral Principle, would be at bottom the work of philosophic pedantry; or else would lead the doer into self-deception, through his reason interpreting conduct, which had other, perhaps nobler, incentives, as the product of the Categorical Imperative, and of the conception of Duty, which, as we have seen, rests on nothing. But not only is it true that the philosophic moral principles, purely theoretical as they are, have seldom any operative power; of those established by religion, and expressly framed for practical purposes, it is equally difficult to predicate any marked efficiency. The chief evidence of this lies in the fact that in spite of the great religious differences in the world, the amount of morality, or rather of immorality, shows no corresponding variation, but in essentials is pretty much the same everywhere. Only it is important not to confound rudeness and refinement with morality and immorality. The religion of Hellas had an exceedingly small moral tendency, — it hardly went further than respect for oaths. No dogma was taught, and no system of Ethics publicly preached; nevertheless, all things considered, it does not appear that the Greeks were morally inferior to the men of the Christian era. The morality of Christianity is of a much higher kind than that of any other religion which previously appeared in Europe. But if any one should believe for this reason that European morals have improved proportionally, and that now at any rate they surpass what obtains elsewhere, it would not be difficult to demonstrate that among the Mohammedans, Gnebres, Hindus, and Buddhists, there is at least as much honesty, fidelity, toleration, gentleness, beneficence, nobleness, and self-denial as among Christian peoples. Indeed, the scale will be found rather to turn unfavourably for Christendom, when we put into the balance the long list of inhuman cruelties which have constantly been perpetrated within its limits and often

in its name. We need only recall for a moment the numerous religious wars; the crusades that nothing can justify; the extirpation of a large part of the American aborigines, and the peopling of that continent by negroes, brought over from Africa, without the shadow of a right, torn from their families, their country, their hemisphere, and, as slaves, condemned for life to forced labour;<sup>2</sup> the tireless persecution of heretics; the unspeakable atrocities of the Inquisition, that cried aloud to heaven; the Massacre of St. Bartholomew; the execution of 18,000 persons in the Netherlands by the Duke of Alva; and these are but a few facts among many. Speaking generally, however, if we compare with the performances of its followers the excellent morality which Christianity, and, more or less, every creed preaches, and then try to imagine how far theory would become practice, if crime were not impeded by the secular arm of the state; nay more, what would probably happen, if, for only one day all laws should be suspended; we shall be obliged to confess that the effect of the various religions on Morals is in fact very small. This is of course due to weakness of faith. Theoretically, and so long as it is only a question of piety in the abstract, every one supposes his belief to be firm enough. Only the searching touch-stone of all our convictions is — what we do. When the moment for acting arrives, and our faith has to be tested by great self-denial and heavy sacrifices, then its feebleness becomes evident. If a man is seriously planning some evil, he has already broken the bounds of true and pure morality. Thenceforward the chief restraint that checks him is invariably the dread of justice and the police. Should he be so hopeful of escaping detection as to cast such fears aside, the next barrier that meets him is regard for his honour. If this second rampart be crossed, there is very little likelihood, after both these powerful hindrances are withdrawn, that any religious dogma will appeal to him strongly enough to keep him back from the deed. For if he be not frightened by near and immediate dangers, he will hardly be curbed by terrors which are distant, and rest merely on belief. Moreover, there is a positive objection that may be brought against all good conduct proceeding solely from religious conviction; it is not purged of self-interest, but done out of regard for reward and punishment, and hence can have no purely moral value. This view we find very clearly expressed in a letter of the celebrated Grand-Duke of Weimar, Karl August. He writes: “Baron Weyhers was himself of opinion that he, who is good through religion, and not by natural inclination, must be a bad fellow at heart. *In vino veritas.*”<sup>3</sup> — (*Letters to J.*

*H. Merck*; No. 229.) But now let us turn to the moral incentive which I have disclosed. Who ventures for a moment to deny that it displays a marked and truly wonderful influence at all times, among all peoples, in all circumstances of life; even when constitutional law is suspended, and the horrors of revolutions and wars fill the air; in small things and in great, every day and every hour? Who will refuse to admit that it is constantly preventing much wrong, and calling into existence many a good action, often quite unexpectedly, and where there is no hope of reward? Is there any one who will gainsay the fact that, where it and it alone has been operative, we all with deep respect and emotion unreservedly recognise the presence of genuine moral worth?

(4) Boundless compassion for all living beings is the surest and most certain guarantee of pure moral conduct, and needs no casuistry. Whoever is filled with it will assuredly injure no one, do harm to no one, encroach on no man's rights; he will rather have regard for every one, forgive every one, help every one as far as he can, and all his actions will bear the stamp of justice and loving-kindness. On the other hand, if we try to say: "This man is virtuous, but he is a stranger to Compassion"; or: "he is an unjust and malicious man, yet very compassionate;" the contradiction at once leaps to light. In former times the English plays used to finish with a petition for the King. The old Indian dramas close with these words: "May all living beings be delivered from pain." Tastes differ; but in my opinion there is no more beautiful prayer than this.

(5) Also from separate matters of detail it may be inferred that the original stimulus of true morality is Compassion. For instance, to make a man lose a hundred thalers, by legal tricks involving no danger, is equally unjust, whether he be rich or poor; but in the latter case the rapping of conscience is much louder, the censure of disinterested witnesses more emphatic. Aristotle was well aware of this, and said: *δεινότερον δέ ἐστι τὸν ἀτυχοῦντα, ἢ τὸν εὐτυχοῦντα, ἀδικεῖν*. (It is worse to injure a man in adversity than one who is prosperous.) — (*Probl.* xxix. 2.) If the man have wealth, self-reproach is proportionally faint, and grows still fainter, if it be the treasury that has been overreached; for state coffers can form no object of Compassion. It thus appears that the grounds for self-accusation as well as for the spectators' blame are not furnished directly by the infringement of the law, but chiefly by the suffering thereby brought upon others. The violation of right, by itself and as such, which is involved in cheating the

exchequer, (to take the above instance,) will be disapproved by the conscience alike of actor and witness; but only because, and in so far as, the rule of respecting every right, which forms the *sine qua non* of all honourable conduct, is in consequence broken. The stricture passed will, in fact, be indirect and limited. If, however, it be a confidential *employé* in the service that commits the fraud, the case assumes quite another aspect; it then has all the specific attributes of, and belongs to, that class of actions described above, whose characteristic is a double injustice. The analysis here given explains why the worst charge which can ever be brought against rapacious extortioners and legal sharpers is, that they appropriate for themselves the goods of widows and orphans. The reason appears in the fact that the latter, more than others, owing to their helplessness, might be expected to excite Compassion in the most callous heart. Hence we conclude that the entire absence of this sense is sufficient to lower a man to the last degree of villainy.

(6) Compassion is the root no less of justice than of loving-kindness; but it is more clearly evidenced in the latter than in the former. We never receive proofs of genuine loving-kindness on the part of others, so long as we are in all respects prosperous. The happy man may, no doubt, often hear the words of good-will on his relations' and friends' lips; but the expression of that pure, disinterested, objective participation in the condition and lot of others, which loving-kindness begets, is reserved for him who is stricken with some sorrow or suffering, whatever it be. For the fortunate as such we do not feel sympathy; unless they have some other claim on us, they remain alien to our hearts: *habeant sibi sua*. (They may keep their own affairs, pleasures, etc., to themselves.) Nay, if a man has many advantages over others, he will easily become an object of envy, which is ready, should he once fall from his height of prosperity, to turn into malignant joy. Nevertheless this menace is, for the most part, not fulfilled; the Sophoclean *γελῶσι δ' ἔχθροί* (his enemies laugh) does not generally become an actual fact. As soon as the day of ruin comes to one of fortune's spoiled children, there usually takes place a great transformation in the minds of his acquaintances, which for us in this connection is very instructive. In the first place this change clearly reveals the real nature of the interest that the friends of his happiness took in him: *diffugiunt cadis cum faece siccatis amici*. (When the casks are drained to the dregs, one's friends run away.)<sup>4</sup> On the other hand, the exultation of those who envied his prosperity, the

mocking laugh of malicious satisfaction, which he feared more than adversity itself, and the contemplation of which he could not face, are things usually spared him. Jealousy is appeased, and disappears with its cause; while Compassion which takes its place is the parent of loving-kindness. Those who were envious of, and hostile to, a man in the full tide of success, after his downfall, have not seldom become his friends, ready to protect, comfort, and help. Who has not, at least in a small way, himself experienced something of the sort? Where is the man, who, when overtaken by some calamity, of whatever nature, has not noticed with surprise how the persons that previously had displayed the greatest coldness, nay, ill-will towards him, then came forward with unfeigned sympathy? For misfortune is the condition of Compassion, and Compassion the source of loving-kindness. When our wrath is kindled against a person, nothing quenches it so quickly, even when it is righteous, as the words: "He is an unfortunate man." And the reason is obvious: Compassion is to anger as water to fire. Therefore, whoever would fain have nothing to repent of, let him listen to my advice. When he is inflamed with rage, and meditates doing some one a grievous injury, he should bring the thing vividly before his mind, as a *fait accompli*; he should clearly picture to himself this other fellow-being tormented with mental or bodily pain, or struggling with need and misery; so that he is forced to exclaim: "This is my work!" Such thoughts as these, if anything, will avail to moderate his wrath. For Compassion is the true antidote of anger; and by practising on oneself this artifice of the imagination, one awakes beforehand, while there is yet time,

*la pitié, dont la voix,  
Alors qu'on est vengé, fait entendre ses lois.*<sup>5</sup>  
— (Voltaire, *Sémiramis*, V. 6.)

And in general, the hatred we may cherish for others is overcome by nothing so easily as by our taking a point of view whence they can appeal to our Compassion. The reason indeed why parents, as a rule, specially love the sickly one of their children is because the sight of it perpetually stirs their Compassion.

(7) There is another proof that the moral incentive disclosed by me is the true one. I mean the fact that animals also are included under its protecting aegis. In the other European systems of Ethics no place is found for them,

— strange and inexcusable as this may appear. It is asserted that beasts have no rights; the illusion is harboured that our conduct, so far as they are concerned, has no moral significance, or, as it is put in the language of these codes, that “there are no duties to be fulfilled towards animals.” Such a view is one of revolting coarseness, a barbarism of the West, whose source is Judaism. In philosophy, however, it rests on the assumption, despite all evidence to the contrary, of the radical difference between man and beast, — a doctrine which, as is well known, was proclaimed with more trenchant emphasis by Descartes than by any one else: it was indeed the necessary consequence of his mistakes. When Leibnitz and Wolff, following out the Cartesian view, built up out of abstract ideas their Rational Psychology, and constructed a deathless *anima rationalis* (rational soul); then the natural claims of the animal kingdom visibly rose up against this exclusive privilege, this human patent of immortality, and Nature, as always in such circumstances, entered her silent protest. Our philosophers, owing to the qualms of their intellectual conscience, were soon forced to seek aid for their Rational Psychology from the empirical method; they accordingly tried to reveal the existence of a vast chasm, an immeasurable gulf between animals and men, in order to represent them, in the teeth of opposing testimony, as existences essentially different. These efforts did not escape the ridicule of Boileau; for we find him saying:

*Les animaux ont-ils des universités?*

*Voit-on fleurir chez eux des quatre facultés?*<sup>6</sup>

Such a supposition would end in animals being pronounced incapable of distinguishing themselves from the external world, and of having any self-consciousness, any ego! As answer to such absurd tenets, it would only be necessary to point to the boundless Egoism innate in every animal, even the smallest and humblest; this amply proves how perfectly they are conscious of their self, as opposed to the world, which lies outside it. If any one of the Cartesian persuasion, with views like these in his head, should find himself in the claws of a tiger, he would be taught in the most forcible manner what a sharp distinction such a beast draws between his ego and the non-ego. Corresponding to these philosophical fallacies we notice a peculiar sophism in the speech of many peoples, especially the Germans. For the commonest matters connected with the processes of life, — for food, drink, conception,

the bringing forth of young; for death, and the dead body; such languages have special words applicable only to animals, not to men. In this way the necessity of using the same terms for both is avoided, and the perfect identity of the thing concealed under verbal differences. Now, since the ancient tongues show no trace of such a dual mode of expression, but frankly denote the same things by the same words; it follows that this miserable artifice is beyond all doubt the work of European priestcraft, which, in its profanity, knows no limit to its disavowal of, and blasphemy against, the Eternal Reality that lives in every animal. Thus was laid the foundation of that harshness and cruelty towards beasts which is customary in Europe, and on which a native of the Asiatic uplands could not look without righteous horror. In English this infamous invention is not to be found; assuredly because the Saxons, when they conquered England, were not yet Christians. Nevertheless the English language shows something analogous in the strange fact that it makes all animals of the neuter gender, the pronoun "it" being employed for them, just as if they were lifeless things. This idiom has a very objectionable sound, especially in the case of dogs, monkeys, and other Primates, and is unmistakably a priestly trick, designed to reduce beasts to the level of inanimate objects. The ancient Egyptians, who dedicated all their days to religion, were accustomed to place in the same vault with a human mummy that of an ibis, a crocodile, etc.; in Europe it is a crime, an abomination to bury a faithful dog beside the resting-place of his master, though it is there perhaps that he, with a fidelity and attachment unknown to the sons of men, awaited his own end. To a recognition of the identity, in all essentials, of the phaenomena which we call "man" and "beast," nothing leads more surely than the study of zoology and anatomy. What shall we say then, when in these days (1839) a canting dissector has been found, who presumes to insist on an absolute and radical difference between human beings and animals, and who goes so far as to attack and calumniate honest zoologists that keep aloof from all priestly guile, eye-service, and hypocrisy, and dare to follow the leading of nature and of truth?

Those persons must indeed be totally blind, or else completely chloroformed by the *foetor Judaicus* (Jewish stench), who do not discern that the truly essential and fundamental part in man and beast is identically the same thing. That which distinguishes the one from the other does not lie in the primary and original principle, in the inner nature, in the kernel of the



two phaenomena (this kernel being in both alike the Will of the individual); it is found in what is secondary, in the intellect, in the degree of perceptive capacity. It is true that the latter is incomparably higher in man, by reason of his added faculty of abstract knowledge, called Reason; nevertheless this superiority is traceable solely to a greater cerebral development, in other words, to the corporeal difference, which is quantitative, not qualitative, of a single part, the brain. In all other respects the similarity between men and animals, both psychical and bodily, is sufficiently striking. So that we must remind our judaised friends in the West, who despise animals, and idolise Reason, that if they were suckled by their mothers, so also was the dog by his. Even Kant fell into this common mistake of his age, and of his country, and I have already administered the censure<sup>7</sup> which it is impossible to withhold. The fact that Christian morality takes no thought for beasts is a defect in the system which is better admitted than perpetuated. One's astonishment is, however, all the greater, because, with this exception, it shows the closest agreement with the Ethics of Brahmanism and Buddhism, being only less strongly expressed, and not carried to the last consequences imposed by logic. On the whole, there seems little room for doubting that, in common with the idea of a god become man, or Avatar,<sup>8</sup> it has an Asiatic origin, and probably came to Judaea by way of Egypt; so that Christianity would be a secondary reflection of the primordial light that shone in India, which, falling first on Egypt, was unhappily refracted from its ruins upon Jewish soil. An apt symbol of the insensibility of Christian Ethics to animals, while in other points its similarity to the Indian is so great, may be found in the circumstance that John the Baptist comes before us in all respects like a Hindu Sannyasin,<sup>9</sup> except that he is clothed in skins: a thing which would be, as is well known, an abomination in the eyes of every follower of Brahmanism or Buddhism. The Royal Society of Calcutta only received their copy of the Vedas on their distinctly promising that they would not have it bound in leather, after European fashion. In silken binding, therefore, it is now to be seen on the shelves of their library. Again: the Gospel story of Peter's draught of fishes, which the Saviour blesses so signally that the boats are overladen, and begin to sink (*Luke* v. 1-10), forms a characteristic contrast to what is related of Pythagoras. It is said that the latter, initiated as he was in all the wisdom of the Egyptians, bought the draught from the fishermen, while the net was still under water, in order to at once set at liberty the captive denizens of the sea. (Apuleius: *De Magia*,



p. 36: edit. Bipont.)<sup>10</sup> Compassion for animals is intimately connected with goodness of character, and it may be confidently asserted that he, who is cruel to living creatures, cannot be a good man. Moreover, this compassion manifestly flows from the same source whence arise the virtues of justice and loving-kindness towards men. Thus, for instance, people of delicate sensitiveness, on realising that in a fit of ill-humour, or anger, or under the influence of wine, they punished their dog, their horse, their ape undeservedly, or unnecessarily, or excessively, are seized with the same remorse, feel the same dissatisfaction with themselves, as when they are conscious of having done some wrong to one of their fellows. The only difference — a purely nominal one — is that in the latter case this remorse, this dissatisfaction is called the voice of conscience rising in rebuke. I remember having read of an Englishman, who, when hunting in India, had killed a monkey, that he could not forget the dying look which the creature cast on him; so that he never fired at these animals again. Another sportsman, William Harris by name, a true Nimrod, has much the same story to tell. During the years 1836-7 he travelled far into the heart of Africa, merely to indulge his passion for the chase. A passage in his book, published at Bombay in 1838, describes how he shot his first elephant, a female. Next morning on going to look for his game, he found that all the elephants had fled from the neighbourhood, except a young one which had spent the night beside its dead mother. Seeing the huntsmen, it forgot all fear, and came to meet them, with the clearest and most lively signs of disconsolate grief, and put its tiny trunk about them, as if to beg for help. “Then,” says Harris, “I was filled with real remorse for what I had done, and felt as if I had committed a murder.”

The English nation, with its fine sensibility, is, in fact, distinguished above all others for extraordinary compassion towards animals, which appears at every opportunity, and is so strong that, despite the “cold superstition” which otherwise degrades them, these Anglo-Saxons have been led through its operation to fill up by legislation the *lacuna* that their religion leaves in morality. For this gap is precisely the reason why in Europe and America there is need of societies for the protection of animals, which are entirely dependent on the law for their efficiency. In Asia the religions themselves suffice, consequently no one there ever thinks of such associations. Meanwhile Europeans are awakening more and more to a sense that beasts have rights, in proportion as the strange notion is being

gradually overcome and outgrown, that the animal kingdom came into existence solely for the benefit and pleasure of man. This view,<sup>11</sup> with the corollary that non-human living creatures are to be regarded merely as things, is at the root of the rough and altogether reckless treatment of them, which obtains in the West. To the honour, then, of the English be it said that they are the first people who have, in downright earnest, extended the protecting arm of the law to animals: in England the miscreant, that commits an outrage on beasts, has to pay for it, equally whether they are his own or not. Nor is this all. There exists in London the Society for the Prevention of Cruelty to Animals, a corporate body voluntarily formed, which, without state assistance, and at great cost, is of no small service in lessening the tale of tortures inflicted on animals. Its emissaries are ubiquitous, and keep secret watch in order to inform against the tormentors of dumb, sensitive creatures; and such persons have therefore good reason to stand in fear of them.<sup>12</sup> At all the steep bridges in London this Society stations a pair of horses, which without any charge is attached to heavy freight-waggons. Is not this admirable? Does it not elicit our approval, as unfailingly as any beneficent action towards men? Also the Philanthropic Society of London has done its part. In 1837 it offered a prize of £30 for the best exposition of the moral reasons which exist to keep men from torturing animals. The line of argument, however, had to be taken almost exclusively from Christianity, whereby the difficulty of the task was, of course, increased; but two years later, in 1839, Mr. Macnamara was the successful competitor. At Philadelphia there is an Animals' Friends' Society, having the same aims; and it is to the President of the latter that a book called *Philozoia; or, Moral Reflections on the Actual Condition of Animals, and the Means of Improving the Same* (Brussels, 1839), has been dedicated by its author, T. Forster. It is original and well written. Mr. Forster earnestly commends to his readers the humane treatment of animals. As an Englishman he naturally tries to strengthen his position by the support of the Bible; but he is on slippery ground, and meets with such poor success that he ends by catching at the following ingenious position: Jesus Christ (he says) was born in a stable among oxen and asses; which was meant to indicate symbolically that we ought to regard the beasts as our brothers, and treat them accordingly. All that I have here adduced sufficiently proves that the moral chord, of which we are speaking, is now at length beginning to vibrate also in the West. For the rest, we may observe that compassion for

sentient beings is not to carry us to the length of abstaining from flesh, like the Brahmans. This is because, by a natural law, capacity for pain keeps pace with the intelligence; consequently men, by going without animal food, especially in the North, would suffer more than beasts do through a quick death, which is always unforeseen; although the latter ought to be made still easier by means of chloroform. Indeed without meat nourishment mankind would be quite unable to withstand the rigours of the Northern climate. The same reasoning explains, too, why we are right in making animals work for us; it is only when they are subjected to an excessive amount of toil that cruelty begins.

(8) It is perhaps not impossible to investigate and explain metaphysically the ultimate cause of that Compassion in which alone all non-egoistic conduct can have its source; but let us for the moment put aside such inquiries, and consider the phaenomenon in question, from the empirical point of view, simply as a natural arrangement. Now if Nature's intention was to soften as much as possible the numberless sufferings of every sort, to which our life is exposed, and which no one altogether escapes; if she wished to provide some counterbalance for the burning Egoism, which fills all beings, and often develops into malice; it will at once strike every one as obvious that she could not have chosen any method more effectual than that of planting in the human heart the wonderful disposition, which inclines one man to share the pain of another, and from which proceeds the voice that bids us, in tones strong and unmistakable, take thought for our neighbour; calling, at one time, "Protect!" at another, "Help!" Assuredly, from the mutual succour thus arising, there was more to be hoped for, towards the attainment of universal well-being, than from a stern Command of duty, couched in general, abstract terms, — the product of certain reasoning processes, and of artificial combinations of conceptions. From such an Imperative, indeed, all the less result could be expected because to the rough human unit general propositions and abstract truths are unintelligible, the concrete only having some meaning for him. And it should be remembered that mankind in its entirety, a very small part alone excepted, has always been rude, and must remain so, since the large amount of bodily toil, which for the race as a whole is inevitable, leaves no time for mental culture. Whereas, in order to awaken that sense, which has been proved to be the sole source of disinterested action, and consequently the true basis of Morals, there is no need of abstract knowledge, but only of intuitive

perception, of the simple comprehension of a concrete case. To this Compassion is at once responsive, without the mediation of other thoughts.

(9) The following circumstance will be found in complete accord with the last paragraph. The foundation, which I have given to Ethics, leaves me without a forerunner among the School Philosophers; indeed, my position is paradoxical, as far as their teaching goes, and many of them, for instance, the Stoics (Seneca, *De Clementia*, II., 5), Spinoza (*Ethica*, IV., prop. 50), and Kant (*Kritik der Praktischen Vernunft*, p. 213; R. p. 257) only notice the motive of Compassion to utterly reject and condemn it. On the other hand, my basis is supported by the authority of the greatest moralist of modern times; for such, undoubtedly, J. J. Rousseau is, — that profound reader of the human heart, who drew his wisdom not from books, but from life, and intended his doctrine not for the professorial chair, but for humanity; he, the foe of all prejudice, the foster-child of nature, whom alone she endowed with the gift of being able to moralise without tediousness, because he hit the truth and stirred the heart. I shall therefore venture here to cite some passages from his works in support of my theory, observing that, so far, I have been as sparing as possible with regard to quotations.

In the *Discours sur l'Origine de l'Inégalité*, p. 91 (edit. Bipont.), he says: *Il y a un autre principe, que Hobbes n'a point aperçu, et qui ayant été donné à l'homme pour adoucir, en certaines circonstances, la férocité de son amour-propre, tempère l'ardeur qu'il a pour son bien-être par une RÉPUGNANCE INNÉE À VOIR SOUFFRIR SON SEMBLABLE. Je ne crois pas avoir aucune contradiction à craindre en accordant à l'homme la SEULE VERTU NATURELLE qu'ait été forcé de reconnaître le détracteur le plus outré des vertus humaines. Je parle DE LA PITIÉ, etc.*<sup>13</sup>

P. 92: *Mandeville a bien senti qu'avec toute leur morale les hommes n'eussent jamais été que des monstres, si la nature ne leur eut donné LA PITIÉ à l'appui de la raison: mais il n'a pas vu, que DE CETTE SEULE QUALITÉ DECOULENT TOUTES LES VERTUS SOCIALES, qu'il veut disputer aux hommes. En effet, qu'est-ce que la générosité, la clémence, l'humanité, sinon LA PITIÉ, appliquée aux faibles, aux coupables, ou à l'espèce humaine en général? La bienveillance et l'amitié même sont, à le bien prendre, des productions d'une pitié constante, fixée sur un objet particulier; car désirer que quelqu'un ne souffre point, qu'est-ce autre chose, que désirer qu'il soit heureux?... La commisération sera d'autant*

*plus énergique, que L'ANIMAL SPECTATEUR S'IDENTIFIERA plus intimement avec L'ANIMAL SOUFFRANT.*<sup>14</sup>

P. 94: *Il est donc bien certain, que la pitié est un sentiment naturel, qui, modérant dans chaque individu l'amour de soi-même, concourt à la conservation mutuelle de toute l'espèce. C'est elle, qui dans l'état de nature, tient lieu de lois, de mœurs, et de vertus, avec cet avantage, que nul ne sera tenté de désobéir à sa douce voix: c'est elle, qui détournera tout sauvage robuste d'enlever à un faible enfant, ou à un vieillard infirme, sa subsistence acquise avec peine, si lui même espère pouvoir trouver la sienne ailleurs: c'est elle qui, au lieu de cette maxime sublime de justice raisonnée: "Fais à autrui comme tu veux qu'on te fasse;" inspire à tous les hommes cette autre maxime de bonté naturelle, bien moins parfaite, mais plus utile peut-être que la précédente: "Fais ton bien avec le moindre mal d'autrui qu'il est possible." C'est, en un mot, DANS CE SENTIMENT NATUREL PLUTÔT, QUE DANS LES ARGUMENTS SUBTILS, qu'il faut chercher la cause de la repugnance qu'éprouverait tout homme à mal faire, même indépendamment des maximes de l'éducation.*<sup>15</sup>

Let this be compared with what he says in *Émile*, Bk. IV., pp. 115-120 (edit. Bipont.), where the following passage occurs among others: —

*En effet, comment nous laissons-nous émouvoir à la pitié, si ce n'est en nous transportant hors de nous et en nous IDENTIFIANT AVEC L'ANIMAL SOUFFRANT: EN QUITTANT, pour ainsi dire, NOTRE ÊTRE, POUR PRENDRE LE SIEN? Nous ne souffrons qu'autant que nous jugeons qu'il souffre: CE N'EST PAS DANS NOUS, C'EST DANS LUI, que nous souffrons ... offrir au jeune homme des objets, sur lesquels puisse agir la force expansive de son cœur, qui le dilatent, qui l'étendent sur les autres êtres, qui le fassent partout SE RETROUVER HORS DE LUI: écarter avec soin ceux, qui le resserrent, le concentrent, et tendent le ressort DU MOI HUMAIN, etc.*<sup>16</sup>

Inside the pale of the Schools, as above remarked, there is not a single authority in favour of my position; but outside, I have other testimony to cite, in addition to Rousseau's. The Chinese admit five cardinal virtues (Tschang), of which the chief is Compassion (Sin). The other four are:

justice, courtesy, wisdom, and sincerity.<sup>17</sup> Similarly, among the Hindus, we find that on the tablets placed to the memory of dead chieftains, compassion for men and animals takes the first place in the record of their virtues. At Athens there was an altar to Compassion in the Agora, as we know from Pausanias, I. 17: Ἀθηναίοις δὲ ἐν τῇ ἀγορᾷ ἐστὶ Ἐλέου βωμός, ὃν, μάλιστα θεῶν ἐς ἀνθρώπινον βίον καὶ μεταβολὰς πραγμάτων ὅτι ὦ-φέλιμος, μόνοι τιμὰς Ἑλλήνων νέουσιν Ἀθηναῖοι.<sup>18</sup>

Lucian also mentions this altar in the *Timon*, § 99.<sup>19</sup> A phrase of Phocion, preserved by Stobaeus, describes Compassion as the most sacred thing in human life: οὔτε ἐξ ἱερῶν βωμόν, οὔτε ἐκ τῆς ἀνθρωπίνης φύσεως ἀφαιρετέον τὸν ἔλεον.<sup>20</sup> In the *Sapientia Indorum*, the Greek translation of the Pañca-tantra, we read (Section 3, p. 220): Λέγεται γάρ, ὡς πρώτη τῶν ἀρετῶν ἡ ἐλεημοσύνη.<sup>21</sup> It is clear, then, that the real source of morality has been distinctly recognised at all times and in all countries; Europe alone excepted, owing to the *foetor Judaicus* (Jewish stench), which here pervades everything, and is the reason why the Western races require for the object of their obedience a command of duty, a moral law, an imperative, in short, an order and decree. They remain wedded to this habit of thought, and refuse to open their eyes to the fact that such a view is, after all, based upon nothing but Egosim. Of course, now and then, isolated individuals of fine perception have felt the truth, and given it utterance: such a one was Rousseau; and such, Lessing. In a letter written by the latter in 1756 we read: “The best man, and the one most likely to excel in all social virtues, in all forms of magnanimity, is he who is most compassionate.”



## ENDNOTES.

<sup>1</sup> This term appears to have been first used by Newton and Boyle. The sense is undoubtedly derived from Bacon's phrase "*instantia crucis*," which is one of his "Prerogative Instances." *Vide, Novum Organum*: Lib. II., xxxvi., where it is explained as follows: *Inter Praerogativas Instantiarum ponemus loco decimo quarto INSTANTIAS CRUCIS; translate vocabulo a Crucibus, quae erectae in Biviis, indicant et signant viarum separationes. Has etiam Instantias Decisorias et Judiciales, et in Casibus nonnullis Instantias Oraculi et Mandati, appellare consuevimus, etc. — (Translator.)*

<sup>2</sup> According to Buxton (*The African Slave-trade*, 1839), their number is even now yearly increased by about 150,000 freshly imported; and to these more than 200,000 must be added, who perish miserably at the time of their capture, or on the voyage.

<sup>3</sup> *I.e.*, under the influence of wine one speaks the truth. Cf. Pliny, *Nat. Hist.* xiv., chap. 22, § 28, 141, edit. Teubner; *vulgoque VERITAS jam attributa VINO est.* Gk. *οἶνος καὶ ἀλήθεια.* *V. Paroemiographi*, edit. Gaisford. — (Translator.)

<sup>4</sup> Hor., *Carm.*, I., 35, 26. — (Translator.)

<sup>5</sup>

Compassion, who with no uncertain tone,  
The work of vengeance done, her laws makes known.

<sup>6</sup>

Have beasts, forsooth, their universities,  
Endowed, like ours, with all four faculties?

<sup>7</sup> *V.* Part II., Chapter VI.

<sup>8</sup> Avatāra (ava-tṛī to descend), descent of a deity from heaven; *e.g.*, the ten incarnations of Viṣṇu. *V.* Monier Williams' *Sanskrit Dictionary*. — (Translator.)

<sup>9</sup> Sannyāsin (one who lays down, or resigns), an ascetic; a religious mendicant, or Brāhman of the fourth order. *V.* Monier Williams' *Sanskrit Dictionary*. — (Translator.)

<sup>10</sup> V. Apuleius: *Apologia sive De Magia Liber* (Lipsiae, Teubner, 1900: page 41, chap, xxxi): *Pythagoram ... memoriae prodiderunt, cum animaduertisset proxime Metapontum in litore Italiae suae, quam subsiciam Graeciam fecerat, a quibusdam piscatoribus euerriculum trahi, fortunam iactus eius emisse et pretio dato iussisse, ilico piscis eos qui capti tenebantur solui retibus et reddi profundo.* — (Translator.)

<sup>11</sup> In Vol. II. of my *Parerga*, § 177, I have shown that its origin can be traced to the Old Testament.

<sup>12</sup> How seriously the matter is being taken up may be seen from the following case which is quite recent. I quote from the *Birmingham Journal* of December, 1839. “Arrest of a company of eighty-four abettors of dog-fights. — It had come to the knowledge of the Society of Animals’ Friends that the Square in Fox Street, Birmingham, was yesterday to be the scene of a dog-fight. Measures were accordingly taken to secure the assistance of the police, and a strong detachment of constables was sent to the spot. At the right moment all the persons present were arrested. These precious conspirators were then handcuffed together in pairs, and the whole party was made fast by a long rope passing between each couple. In this fashion they were marched off to the Police Station, where mayor and magistrate were sitting in readiness for them. The two ringleaders were condemned to pay, each, a fine of £1, and 8s. 6d. costs; in default, to undergo 14 days’ hard labour.” The coxcombs whose habit is never to miss noble sport of this sort, must have looked somewhat crestfallen in the midst of the procession. But the *Times* of April 6, 1855, p. 6, supplies a still more striking instance from the present day; and here we find the paper itself assuming judicial functions, and imposing the right punishment. It recounts the case of a very wealthy Scotch baronet’s daughter. The matter had been brought before the law, and the evidence showed that the girl had used a cudgel and knife on her horse with the greatest cruelty; for which she was ordered to pay a fine of £5. But for one in her position such a sum means nothing, and she would practically have got off scot-free, had not the *Times* intervened to inflict on her a proper correction, such as she would really feel. It twice mentions the young lady’s name in full, printing it in large type, and concludes as follows: “We cannot help saying that a few months’ imprisonment with the addition of an occasional whipping administered in private, but by the most muscular woman in Hampshire, would have been a much more suitable penalty for Miss M. N. A wretched being of this sort has forfeited all the consideration and the privileges that attach to her sex; we cannot regard her any longer as a woman.” These newspaper paragraphs I would especially recommend to the notice of the associations now formed in Germany against cruelty to animals; for they show what lines should be adopted, in order to reach some solid result. At the same time I desire to express my cordial appreciation of the praiseworthy zeal shown by Herrn Hofrath Perner, of Munich, who has entirely devoted himself to this branch of well-doing, and succeeded in arousing interest in it all over the country. [It should be observed that the first portion of this note belongs to the earliest edition of the work, published September, 1840; the latter



part was written for the second edition, which appeared in August, 1860. This explains why Schopenhauer says that the first instance, dated 1839, is “quite recent,” and that the second, dated 1855, is taken “from the present day.” — (*Translator.*)]

<sup>13</sup> There is another principle which Hobbes did not perceive at all. It was implanted in man in order to soften, in certain circumstances, the fierceness of his self-love, and it moderates the ardour, which he feels for his own well-being, by producing a certain *innate aversion to the sight of a fellow-creature's suffering*. In attributing to man *the only natural virtue*, which even the most advanced scepticism has been forced to recognise, I stand, assuredly, in no fear of any contradiction. I allude to *compassion*, etc.

<sup>14</sup> Mandeville was right in thinking that with all their systems of morality, men would never have been anything but monsters, if nature had not given them *compassion* to support their reason; but he failed to see that *from this one quality spring all the social virtues*, which he was unwilling to credit mankind with. In reality, what is generosity, clemency, humanity, if not *compassion*, applied to the weak, to the guilty, or to the human race, as a whole? Even benevolence and friendship, if we look at the matter rightly, are seen to result from a constant compassion, directed upon a particular object; for to desire that some one should not suffer is nothing else than to desire that he should be happy.... The more closely *the living spectator identifies himself with the living sufferer*, the more active does pity become.

<sup>15</sup> It is, then, quite certain that compassion is a natural feeling, which checking, as it does, the love of self in each individual, helps by a reciprocal process to preserve the whole race. This it is, which in the state of nature, takes the place of laws, customs, and virtues, with the added advantage that no one will be tempted to disobey its gentle voice; this it is, which will restrain every able-bodied savage, provided he hope to find his own livelihood elsewhere, from robbing a weak child, or depriving an infirm old man of the subsistence won by hard toil; this it is, which inspires all men, not indeed with that sublime maxim of reasoned justice: “Do to others as you would they should do unto you;” but with another rule of natural goodness, no doubt less perfect, but perhaps more useful, namely: “Do what is good for yourself with the least possible harm to others.” In a word, it is *in this natural feeling rather than in subtle arguments* that we must look for the reason of the repugnance with which every one is accustomed to view bad conduct, quite independently of the principles laid down by education.

<sup>16</sup> In fact, how is it that we let ourselves be moved to pity, if not by getting out of our own consciousness, and *becoming identified with the living sufferer; by leaving, so to say, our own being, and entering into his?* We do not suffer, except as we suppose he suffers; *it is not in us, it is in him*,

that we suffer ... offer a young man objects, on which the expansive force of his heart can act; objects such as may enlarge his nature, and incline it to go out to *other beings*, in whom he may everywhere *find himself again*. Keep carefully away those things which narrow his view, and make him self-centred, and which tighten the strings of the *human ego*. (*Tendent le ressort* (stretch the spring) *du moi humain*: *i.e.*, stimulate the *egoistic tendency*.) — (*Translator*.)

<sup>17</sup> *Journal Asiatique*, Vol. ix., p. 62. Cf. Meng-Tseu (otherwise called Mencius), edited by Stanislas Julien, 1824, Bk. I, § 45; also Meng-Tseu in the *Livres Sacrés de l'Orient*, by Panthier p. 281.

*V. Dictionnaire Français — Latin — Chinois*, par Paul Perny (Didot Frères, Paris, 1869); where the five cardinal virtues (image) are transliterated: ou châng. *V. also: A Syllabic Dictionary of the Chinese Language*; by S. Wells Williams, LL.B. (Shanghai: 1874); where Sin (Sin), *i.e.*, humanity, love of one's neighbour, is written Sin'. — (*Translator*.)

<sup>18</sup> The Athenians have an altar in their Agora to Compassion; for this deity, they believe, is of all the gods the most helpful in human life, and its vicissitudes. They are the only Greeks who have instituted this cultus. — (*Translator*.)

<sup>19</sup> *V. Lucian, Timon*, chap. 42 (*Ausgewählte Schriften des Lucian*, edit. Julius Sommerbrodt; Weidmann, Berlin, 1872, p. 75): *φίλος δὲ ἡ ζένοϛ ἡ ἑταῖροϛ ἡ ἑλέον βωμός ὕθλοϛ πολύϛ*. *V. also Apollodorus* (edit. J. Bekker); 2, 8, 1. 3, 7, 1. Dem. (edit. Reisk.), 57. Scholiast on Soph. *Æd. Col.*, 258. — (*Translator*.)

<sup>20</sup> A temple must not be despoiled of its altar, nor human nature of compassion. *V. Joannis Stobaei Anthologium*, edit. Curtius Wachsmuth et Otto Hense; Weidmann, Berlin, 1894; Vol. III., p. 20, Nr. 52. — (*Translator*.)

<sup>21</sup> The chief of virtues is said to be Compassion. The *Pañca-tantra* is a well-known collection of moral stories and fables in five (*pañcan*) books or chapters (*tantra*), from which the author of the *Hitopadeśa* drew a large portion of his materials. *V. Monier Williams' Sanskrit Dictionary*. — (*Translator*.)

## CHAPTER IX.

### ON THE ETHICAL DIFFERENCE OF CHARACTER.

There still remains a question to be resolved, before the basis which I have given to Ethics can be presented in all its completeness. It is this. On what does the great difference in the moral behaviour of men rest? If Compassion be the original incentive of all true, that is, disinterested justice and loving-kindness; how comes it that some are, while others are not, influenced thereby? Are we to suppose that Ethics, which discloses the moral stimulus, is also capable of setting it in motion? Can Ethics fashion the hard-hearted man anew, so that he becomes compassionate, and, as a consequence, just and humane? Certainly not. The difference of character is innate, and ineradicable. The wicked man is born with his wickedness as much as the serpent is with its poison-fangs and glands, nor can the former change his nature a whit more than the latter.<sup>1</sup> *Velle non discitur* (to use one's will is not a thing that can be taught) is a saying of Nero's tutor. In the *Meno*, Plato minutely investigates the nature of virtue, and inquires whether it can, or cannot, be taught. He quotes a passage from Theognis:

ἀλλὰ διδάσκων  
Οὔποτε ποιήσεις τὸν κακὸν ἄνδρ' ἀγαθόν.

(But thou wilt ne'er,  
By teaching make the bad man virtuous.)

and finally reaches this conclusion: ἀρετὴ ἂν εἴη οὔτε φύσει, οὔτε διδακτόν, ἀλλὰ θεία μοίρα παραγυγνομένη, ἄνευ νοῦ, οἷς ἂν παραγίγνηται.<sup>2</sup> Here the terms *φύσει* and *θεία μοίρα*, form a distinction, in my opinion, much the same as that between “physical” and “metaphysical.” Socrates, the father of Ethics, if we may trust Aristotle, declared that οὐκ ἐφ' ἣ μὲν γενέσθαι τὸ σπουδαίους εἶναι, ἣ φαύλους.<sup>3</sup> (*Moralia Magna*, i. 9.) Moreover, Aristotle himself expresses the same view; πασι γὰρ δοκεῖ ἕκαστα τῶν ἡθῶν ὑπάρχειν φύσει τως' καὶ γὰρ δίκαιοι, καὶ σωφρονικοί, καὶ τᾶλλα ἔχομεν εὐθγς ἐκ γενετῆς.<sup>4</sup> (*Eth. Nicom.* vi. 13.) We find also a similar conviction very decidedly expressed in the fragments attributed to the Pythagorean Archytas, and preserved by Stobaeus in the *Florilegium*

(Chap. i. § 77).<sup>5</sup> If not authentic, they are certainly very old. Orelli gives them in his *Opuscula Graecorum Sententiosa et Moralia*. There (Vol. II., p. 240) we read in the Dorian dialect as follows: — *Τὰς γὰρ λόγοις καὶ ἀποδείξεσιν ποτιχρωμένας ἀρετὰς δέον ἐπιστάμας ποταγορεύεν, ἀρετὰν δέ, τὰν ἠθικὰν καὶ βελτίστην ἔξιν τῷ ἀλόγῳ μέρει τᾶς ψυχᾶς, καθ' ἃν καὶ ποιοί τινες ἡμεν λεγόμεθα κατὰ τὸ ἦθος, οἷον ἐλευθέριοι, δίκαιοι καὶ σώφρονες.*<sup>6</sup> On examining the virtues and vices, as summarised by Aristotle in the *De Virtutibus et Vitiis*, it will be found that all of them, without exception, are not properly thinkable unless assumed to be inborn qualities, and that only as such can they be genuine. If, in consequence of reasoned reflection, we take them as voluntary, they are then seen to lose their reality, and pass into the region of empty forms; whence it immediately follows that their permanence and resistance under the storm and stress of circumstance could not be counted on. And the same is true of the virtue of loving-kindness, of which Aristotle, in common with all the ancients, knows nothing. Montaigne keeps, of course, his sceptical tone, but he practically agrees with the venerable authorities above quoted, when he says: *Serait-il vrai, que pour être bon tout à fait, il nous le faille être par occulte, naturelle et universelle propriété, sans lot, sans raison, sans exemple?*<sup>7</sup> — (Liv. II., chap. 11.) Lichtenberg hits the mark exactly in his *Vermischte Schriften*, (v. *Moralische Bemerkungen*). He writes: “All virtue arising from premeditation is not worth much. What is wanted is feeling or habit.” Lastly, it should be noted that Christianity itself, in its original teaching, recognises, and bears witness to this inherent, immutable difference between character and character. In the Sermon on the Mount we find the allegory of the fruit which is determined by the nature of the tree that bears it (*Luke* vi. 43, 44; cf. *Matthew* vii. 16-18); and then in the following verse (*Luke* vi. 45), we read: ὁ ἀγαθὸς ἄνθρωπος ἐκ τοῦ ἀγαθοῦ θησαυροῦ τῆς καρδίας αὐτοῦ προφέρει τὸ ἀγαθὸν καὶ ὁ πονηρὸς ἄνθρωπος ἐκ τοῦ πονηροῦ θησαυροῦ τῆς καρδίας αὐτοῦ προφέρει τὸ πονηρόν.<sup>8</sup> (Cf. *Matthew* xii. 35.)

But it was Kant who first completely cleared up this important point through his profound doctrine of the empirical and intelligible<sup>9</sup> character. He showed that the empirical character, which manifests itself in time and in multiplicity of action, is a phaenomenon; while the reality behind it is the intelligible character, which, being the essential constitution of the Thing in

itself underlying the phaenomenon, is independent of time, space, plurality, and change. In this way alone can be explained what is so astonishing, and yet so well known to all who have learnt life's lessons, — the fixed unchangeableness of human character. There are certain ethical writers, whose aim is the moral improvement of men, and who talk of progress made in the path of virtue; but their assurances are always met and victoriously confuted by the irrefragable facts of experience, which prove that virtue is nature's work and cannot be inculcated. The character is an original datum, immutable, and incapable of any amelioration through correction by the intellect. Now, were this not so; and further: if (as the above-mentioned dull-headed preachers maintain) an improvement of the character, and hence "a constant advance towards the good" were possible by means of moral instruction; then, unless we are prepared to suppose that all the various religious institutions, and all the efforts of the moralists fail in their purpose, we should certainly expect to find that the older half of mankind, at least on an average, is distinctly better than the younger. This, however, is so far from being the case, that it is not to the old, who have, as we see, grown worse by experience, but to the young that we look for something good. It may happen that in his old age one man appears somewhat better, another worse, than he was in his youth. But the reason is not far to seek. It is simply because with length of days the intelligence by constant correction becomes riper, and hence the character stands out in purer and clearer shape; while early life is a prey to ignorance, mistakes, and chimeras, which now present false motives, and now veil the real. For a fuller explanation I would refer the reader to the principles laid down in Chapter III. of the preceding Essay, on "The Freedom of the Will."<sup>10</sup> It is true that among convicts the young have a large majority; but this is because, when a tendency to crime exists in the character, it soon finds a way of expressing itself in acts, and of reaching its goal — the galleys, or the gibbet; while he, whom all the inducements to wrong doing, which a long life offers, have failed to lead astray, is not likely to fall at the eleventh hour. Hence the respect paid to age is, in my opinion, due to the fact that the old are considered to have passed through a test of sixty or seventy years, and kept their integrity unsullied; for this of course is the *sine qua non* of the honour accorded them. These things are too well known for any one, in real life, to be misled by the promises of the moralists we have spoken of. He who has once been proved guilty of evil-doing, is never again trusted,

just as the noble nature, of which a man has once given evidence, is always confidently believed in, whatever else may have changed. *Operari sequitur esse* (what one does follows from what one is) forms, as we have seen in Part II., Chapter VIII., a pregnant tenet of the Schoolmen. Everything in the world works according to the unchangeable constitution of which its being, its *essentia* is composed. And man is no exception. As the individual is, so will he, so must he, act: and the *liberum arbitrium indifferentiae* (free and indifferent choice) is an invention of philosophy in her childhood, long since exploded; although there are some old women, in doctor's academics, who still like to drag it about with them.

The three fundamental springs of human action — Egoism, Malice, Compassion — are inherent in every one in different and strangely unequal proportions. Their combination in any given case determines the weight of the motives that present themselves, and shapes the resulting line of conduct. To an egoistic character egoistic motives alone appeal, and those, which suggest either compassion or malice, have no appreciable effect. Thus, a man of this type will sacrifice his interests as little to take vengeance on his foes, as to help his friends. Another, whose nature is highly susceptible to malicious motives, will not shrink from doing great harm to himself, so only he may injure his neighbour. For there are characters which take such delight in working mischief on others, that they forget their own loss, which is perhaps, equal to what they inflict. One may say of such: *Dum alteri noceat sui negligens*<sup>u</sup> (disregarding himself so long as he injures the other). These are the people that plunge with passionate joy into the battle in which they expect to receive quite as many wounds as they deal; indeed, experience not seldom testifies that they are ready deliberately, first to kill the man who thwarts their purposes, and then themselves, in order to escape the penalty of the law. On the other hand, goodness of heart consists of a deeply felt, all-embracing Compassion for everything that has breath, and especially for man; because, in proportion as the intelligence develops, capacity for pain increases; and hence, the countless sufferings of human beings, in mind and body, have a much stronger claim to Compassion than those of animals, which are only physical, and in any case less acute. This goodness of heart, therefore, in the first place restrains a man from doing any sort of harm to others, and, next, it bids him give succour whenever and wherever he sees distress. And the path of Compassion may lead as far in one direction as Malice does in the other.



Certain rare characters of fine sensibility take to heart the calamities of others more than their own, so that they make sacrifices, which, it may be, entail on themselves a greater amount of suffering than that removed from those they benefit. Nay, in cases where several, or, perhaps, a large number of persons, at one time, can be helped in this way, such men do not, if need be, flinch from absolute self-effacement. Arnold von Winkelried was one of these. So was Paulinus, Bishop of Nola, in the fifth century, when the Vandals crossed over from Africa and invaded Italy. Of him we read in Johann von Müller's *Weltgeschichte* (Bk. X., chap. 10) that "in order to ransom some of the prisoners, he had already disposed of all the church plate, his own and his friends' private property. Then, on seeing the anguish of a widow, whose only son was being carried off, he offered himself for servitude in the other's stead. For whoever was of suitable age, and had not fallen by the sword, was taken captive to Carthage."

There is, then, an enormous difference between character and character. Being original and innate, it measures the responsiveness of the individual to this or that motive, and those alone, to which he is specially sensitive, will appeal to him with anything like compelling force. As in chemistry, with unchangeable certainty, one substance reacts only upon acids, another only upon alkalies, so, with equal invariableness, different natures respond to different stimuli. The motives suggesting loving-kindness, which stir so deeply a good disposition, can, of themselves, effect nothing in a heart that listens only to the promptings of Egoism. If it be wished to induce the egoist to act with beneficence and humanity, this can be done but in one way: he must be made to believe that the assuaging of others' suffering will, somehow or other, surely turn out to his own advantage. What, indeed, are most moral systems but attempts of different kinds in this direction? But such procedure only misleads, does not better, the will. To make a real improvement, it would be necessary to transform the entire nature of the individual's susceptibility for motives. Thus, from one we should have to remove his indifference to the suffering of others as such; from another, the delight which he feels in causing pain; from a third, the natural tendency which makes him regard the smallest increase of his own well-being as so far outweighing all other motives, that the latter become as dust in the balance. Only it is far easier to change lead into gold than to accomplish such a task. For it means the turning round, so to say, of a man's heart in his body, the remoulding of his very being. In point of fact, all that can be done

is to clear the intellect, correct the judgment, and so bring him to a better comprehension of the objective realities and actual relations of life. This effected, the only result gained is that his will reveals itself more logically, distinctly, and decidedly, with no false ring in its utterance. It should be noted that just as many a good act rests at bottom on false motives, on well-meant, yet illusory representations of an advantage to be obtained thereby in this, or another, world; so not a few misdeeds are due solely to an imperfect understanding of the conditions of human life. It is on this latter truth that the American penitentiary system is based. Here the aim is not, to improve the heart, but simply, to educate the head of the criminal, so that he may intellectually come to perceive that prosperity is more surely, indeed more easily, reached by work and honesty than by idleness and knavery.

By the proper presentment of motives legality may be secured, but not morality. It is possible to remodel what one does, but not what one wills to do; and it is to the will alone that real moral worth belongs. It is not possible to change the goal which the will strives after, but only the path expected to lead thither. Instruction may alter the selection of means, but not the choice of the ultimate object which the individual keeps before him in all he does; this is determined by his will in accordance with its original nature. It is true that the egoist may be brought to understand that, if he gives up certain small advantages, he will gain greater; and the malicious man may be taught that by injuring others he will injure himself still more. But Egoism itself, and Malice itself, will never be argued out of a person; as little as a cat can be talked out of her inclination for mice. Similarly with goodness of heart. If the judgment be trained, if the relations and conditions of life become understood, in a word, if the intellect be enlightened; the character dominated by loving-kindness will be led to express itself more consistently and completely than it otherwise could. This happens when we perceive the remoter consequences which our conduct has for others: the sufferings, perhaps, that overtake them indirectly, and only after lapse of time, through one act or another of ours, which we had no idea was so harmful. It occurs, too, when we come to discern the evil results of many a well-meant action, as, for instance, the screening of a criminal; and it is especially true when we realise that the *Neminem laede* (injure no one) has in all cases precedence over the *Omnes juva* (help all men). In this sense there is undoubtedly such a thing as a moral education, an ethical training capable of making men better. But it goes only as far as I have indicated, and its



limits are quickly discovered. The head is filled with the light of knowledge; the heart remains unimproved. The fundamental and determining element, in things moral, no less than in things intellectual, and things physical, is that which is inborn. Art is always subordinate, and can only lend a helping hand. Each man is, what he is, as it were, “by the grace of God,” *jure divino*, *θεία μοίρα*, (by divine dispensation).

*Du bist am Ende — WAS DU BIST.*

*Setz' dir Perrücken auf von Millionen Locken,*

*Setz' deinen Fuss auf ellenhohe Socken:*

*DU BLEIBST DOCH IMMER WAS DU BIST.*<sup>12</sup>

But the reader, I am sure, has long been wishing to put the question: Where, then, does blame and merit come in? The answer is fully contained in Part II, Chapter VIII., to which I therefore beg to call particular attention. It is there that the explanation, which otherwise would now follow, found a natural place; because the matter is closely connected with Kant's doctrine of the co-existence of Freedom and Necessity. Our investigation led to the conclusion that, once the motives are brought into play, the *Operari* (what, is done) is a thing of absolute necessity; consequently, Freedom, the existence of which is betokened solely by the sense of responsibility, cannot but belong to the *Esse* (what one is). No doubt the reproaches of conscience have to do, in the first place, and ostensibly, with our acts, but through these they, in reality, reach down to what we are; for what we do is the only indisputable index of what we are, and reflects our character just as faithfully as symptoms betray the malady. Hence it is to this *Esse*, to what we are, that blame and merit must ultimately be attributed. Whatever we esteem and love, or else despise and hate, in others, is not a changeable, transient appearance, but something constant, stable, and persistent; it is that which they are. If we find reason to alter our first opinion about any one, we do not suppose that he is changed, but that we have been mistaken in him. In like manner, when we are pleased or displeased with our own conduct, we say that we are satisfied or dissatisfied with ourselves, meaning, in reality, with that which we are, and are unalterably, irreversibly; and the same is true with regard to our intellectual qualities, nay, it even applies to the physiognomy. How is it possible, then, for blame and merit to lie otherwise than in what we are? As

we saw in Part II., Chapter VII., Conscience is that register of our acts, which is always growing longer, and therefore that acquaintance with ourselves which every day becomes more complete. Conscience concerns itself directly with all that we do; when, at one time, actuated by Egoism, or perhaps Malice, we turn a deaf ear to Compassion, which bids us at least refrain from harming others, if we will not afford them help and protection; or when again, at another time, we overcome the first two incentives, and listen to the voice of the third. Both cases measure the distinction we draw between ourselves and others. And on this distinction depends in the last resort the degree of our morality or immorality, that is, of our justice and loving-kindness, or the reverse. Little by little the number of those actions, whose testimony is significant on this point, accumulates in the storehouse of our memory; and thus the lineaments of our character are depicted with ever greater clearness, and a true knowledge of ourselves is nearer attainment. And out of such knowledge there springs a sense of satisfaction, or dissatisfaction with ourselves, with that which we are, according as we have been ruled by Egoism, by Malice, or else by Compassion; in other words, according as the difference we have made between ourselves and others is greater or smaller. And when we look outside ourselves, it is by the same standard that we judge those about us; and we become acquainted with their character — less perfectly indeed — yet by the same empirical method as we employ with reference to our own. In this case our feelings take the form of praise, approval, respect, or, on the other hand, of reproach, displeasure, contempt, and they are the objective translation, so to say, of the subjective satisfaction or dissatisfaction (the latter deepening perhaps into remorse), which arises in us when we sit in judgment on ourselves. Lastly, there is the evidence of language. We find certain constantly occurring forms of speech which bear eloquent testimony to the fact that the blame we cast upon others is in reality directed against their unchangeable character, touching but superficially what they do; that virtue and vice are practically, if tacitly, regarded as inherent unalterable qualities. The following are some of these expressions: *Jetzt sehe ich, wie du bist!* (Now I know your nature!) *In dir habe ich mich geirrt.* (I was mistaken in you.) “Now I see what you are!” *Voilà donc, comme tu es!* (This, then, is what you are!) *So bin ich nicht!* (I am not a person of that sort!) *Ich bin nicht der Mann, der fähig wäre, Sie zu hintergehen.* (I am not the man to impose upon you.) Also: *les âmes bien nées* (persons well-born, *i.e.*, noble-minded),

the Spanish *bien nacido*; εὐγενής (properly “well-born”), εὐγένεια (properly “nobility of birth”) used for “virtuous” and “virtue”; *generosioris animi amicus* (a friend of lofty mind. *Generosus*: lit. “of noble birth”), etc.

Reason is a necessary condition for conscience, but only because without the former a clear and connected recollection is impossible. From its very nature conscience does not speak till after the act; hence we talk of being arraigned before its bar. Strictly speaking, it is improper to say that conscience speaks beforehand; for it can only do so indirectly; that is, when the remembrance of particular cases in the past leads us, through reflection, to disapprove of some analogous course of action, while yet in embryo.

Such is the ethical fact as delivered by consciousness. It forms of itself a metaphysical problem, which does not directly belong to the present question, but which will be touched on in the last part.

Conscience, then, is nothing else than the acquaintance we make with our own changeless character through the instrumentality of our acts. A little consideration will show that this definition harmonises perfectly with, and hence receives additional confirmation from, what I have here specially emphasised: namely, the fact that susceptibility for the motives of Egoism, of Malice, and of Compassion, which is so widely dissimilar in different individuals, and on which the whole moral value of a man depends, cannot be interpreted by anything else, nor be gained, or removed, by instruction, as if it were something born in time, and therefore variable, and subject to chance. On the contrary, we have seen that it is innate and fixed, an ultimate datum, admitting of no further explanation. Thus an entire life, with the whole of its manifold activity, may be likened to a clock-dial, that marks every movement of the internal works, as they were made once for all; or it resembles a mirror, wherein alone, with the eye of his intellect, each person sees reflected the essential nature of his own Will, that is, the core of his being.

Whoever takes the trouble to thoroughly think out what has been put forward here, and in Part. II., Chapter VIII., will discover in the foundation given by me to Ethics a logical consecution, a rounded completeness, wanting to all other theories; to say nothing of the consonance of my view with the facts of experience, — a consonance which he will look for in vain elsewhere. For only the truth can uniformly and consistently agree with itself and with nature; while all false principles are internally at variance

with themselves, and externally contradict the testimony of experience, which at every step records its silent protest.

I am perfectly aware that the truths advanced in this Essay, and particularly here at the close, strike directly at many deeply rooted prejudices and mistakes, and especially at those attaching to a certain rudimentary system of morals, now much in vogue, and suitable for elementary schools. But I cannot own to feeling any penitence or regret. For, in the first place, I am addressing neither children, nor the *profanum vulgus*, but an Academy of light and learning. Their inquiry is a purely theoretical one, concerned with the ultimate fundamental verities of Ethics; and to a most serious question a serious answer is undoubtedly expected. And secondly, in my opinion, there can be no such thing as harmless mistakes, still less privileged or useful ones. On the contrary, every error works infinitely more evil than good. If, however, it is wished to make existing prepossessions the standard of truth, or the boundary beyond which its investigation is not to go, then it would be more honest to abolish philosophical Faculties and Academies altogether. For where no reality exists, there also no semblance of it should be.

## ENDNOTES.

<sup>1</sup> Cf. *Jeremiah* xiii. 23. — (*Translator.*)

<sup>2</sup> Virtue would appear not to come naturally (*i.e.*, through the physical order of things), nor can it be taught; but in whomsoever it dwells, there it is present, *apart from the intellect, under divine ordinance*. [*V. Platonis Opera*, edit. Didot, Paris, 1856; Vol. I. *Meno*, 96 and 99, *ad fin.* — (*Translator.*)]

<sup>3</sup> *It is not in our power* to be either good or bad.

<sup>4</sup> For it appears that the different characters of all men are in some way implanted in them *by nature*; if we are just, and temperate, and otherwise virtuous, we are so *straightway from our birth*.

<sup>5</sup> *V. Joannis Stobaei Florilegium*, edit. Meineke, publ. Lipsiae, Teubner, 1855; Vol. I., p. 33, l. 14, sqq. — (*Translator.*)

<sup>6</sup> For the so-called virtues, that require reasoning and demonstration, ought to be called sciences. By the term “virtue” we mean rather a certain moral and excellent disposition of *the soul’s unreasoning part*. This disposition determines the character which we show, and in accordance with which we are called generous, just, or temperate.

<sup>7</sup> Are we to believe it true that we can only be thoroughly good by virtue of a certain occult, natural, and universal faculty, without law, without reason, without precedent?

<sup>8</sup> The good man out of the good treasure of his heart bringeth forth that which is good; and the evil man out of the evil treasure of his heart bringeth forth that which is evil.

<sup>9</sup> *V. Note on “intelligible,” Part. II., Chapter I.* — (*translator.*)

<sup>10</sup> *Die Freiheit des Willens* and the present treatise were published by Schopenhauer together, under the title of *Die Beiden Grundprobleme der Ethik. V. Introduction*, p. xv., note. — (*Translator.*)

<sup>11</sup> Seneca, *De Ira*, I. 1.

<sup>12</sup>

In spite of all, thou art still — *what thou art*.  
Though wigs with countless curls thy head-gear be,  
Though shoes an ell in height adorn thy feet:  
*Unchang'd thou e'er remainest what thou art*.

V. Goethe's *Faust*, Part I., Studirzimmer. — (*Translator*.)

**PART IV. ON THE METAPHYSICAL EXPLANATION OF  
THE PRIMAL ETHICAL PHAENOMENON.**

## CHAPTER I.

### HOW THIS APPENDIX MUST BE UNDERSTOOD.

In the foregoing pages the moral incentive (Compassion) has been established as a fact, and I have shown that from it alone can proceed unselfish justice and genuine loving-kindness, and that on these two cardinal virtues all the rest depend. Now, for the purpose of supplying Ethics with a foundation, this is sufficient, in a certain sense; that is, in so far as Moral Science necessarily requires to be supported by some actual and demonstrable basis, whether existing in the external world, or in the consciousness. The only alternative is to tread in the footsteps that so many of my predecessors have left, in other words, to choose arbitrarily some proposition or other, — some bare and abstract formula — and make it the source of all that morality prescribes; or, like Kant, to sublimate a mere idea, that of law, into the key-stone of the ethical arch. But, dismissing this method for the reasons discussed above, in the Second Part, the investigation proposed by the Royal Society appears to me now completed. For their question, as it stands, deals only with the foundation of Ethics; as to a possible metaphysical explanation of this foundation nothing whatever is asked. Nevertheless, at the point we have reached, I am very sensible that the human spirit can find no abiding satisfaction, no real repose. As in all branches of practical research, so also in Ethical Science, when all is said, man is inevitably confronted with an ultimate phaenomenon, which while it renders an account of everything that it includes, and everything deducible from it, remains itself an unexplained riddle. So that here, as elsewhere, the want is felt of a final interpretation (which, obviously, cannot but be metaphysical) of the ultimate data, as such, and through these, — if they be taken in their entirety — of the world. And here, too, this want finds utterance in the question: How is it that, what is present to our senses, and grasped by our intellect, is as it is, and not otherwise? And how does the character of the phaenomenon, as manifest to us, shape itself out of the essential nature of things? Indeed, in Moral Science the need of a metaphysical basis is more urgent than in any other, because all systems, philosophical no less than religious, are at one in persistently attaching to conduct not only an ethical, but also a metaphysical significance, which, passing beyond the mere appearance of things, transcends every possibility



of experience, and therefore stands in the closest connection with human destiny and with the whole cosmic process. For if life (it is averred) have a meaning, then the supreme goal to which it points is undoubtedly ethical. Nor is this view a bare unsupported theory; it is sufficiently established by the undeniable fact that, as death draws nigh, the thoughts of each individual assume a moral trend, equally whether he be credulous of religious dogmas, or not; he is manifestly anxious to wind up the affairs of his life, now verging to its end, entirely from the moral standpoint. In this particular the testimony of the ancients is of special value, standing, as they do, outside the pale of Christian influence. I shall therefore here quote a remarkable passage preserved by Stobaeus, in his *Florilegium* (chap. 44, §. 20). It has been attributed to the earliest Hellenic lawgiver, Zaleucus, though, according to Bentley and Heyne, its source is Pythagorean. The language is graphic and unmistakable. Δεῖ τίθεσθαι πρὸ ὁμμάτων τὸν καιρὸν τοῦτον, ἐν ᾧ γίγνεται τὸ τέλος ἐκάστῳ τῆς ἀπαλλαγῆς τοῦ ξῆν. Πᾶσι γὰρ ἐμπίπτει μεταμέλεια τοῖς μέλλουσι τελευτᾶν, μεμνημένοις ὧν ἡδίκηκασιν, καὶ ὁρμὴ τοῦ βούλεσθαι πάντα πεπράχθαι δικαίως αὐτοῖς.<sup>1</sup>

Furthermore, to come to an historical personage, we find Pericles, on his death-bed, unwilling to hear anything about his great achievements, and only anxious to know that he had never brought trouble on a citizen. (Plutarch, *Life of Pericles*.) Turning to modern times, if a very different case may be placed beside the preceding, I remember having noticed in a report of depositions made before an English jury the following occurrence. A rough negro lad, fifteen years old, had been mortally injured in some brawl on board a ship. As he was dying, he eagerly begged that all his companions might be fetched in haste: he wanted to ask if he had ever vexed or insulted any one of them, and after hearing that he had not, his mind appeared greatly relieved. It is indeed the uniform teaching of experience that those near death wish to be reconciled with every one before they pass away.

But there is evidence of another kind that Ethics can only be finally explained by Metaphysics. It is well known that, while the author of an intellectual performance, — even should it be a supreme masterpiece — is quite willing to take whatever remuneration he can get, those, on the other hand, who have done something morally excellent, almost without exception, refuse compensation for it. The latter fact is specially observable where conduct rises to the heroic. For instance, when a man at the risk of his life has saved another, or perhaps many, from destruction, as a rule, he

simply declines all reward, poor though he may be; because he instinctively feels that the metaphysical value of his act would be thereby impaired. At the end of Bürger's song, "The Brave Man," we find a poetical presentment of this psychological process. Nor does the reality, for the most part, differ at all from the ideal, as I have frequently noticed in English papers. Conduct of this kind occurs in every part of the world, and independently of all religious differences. In human beings there is an undeniable ethical tendency, rooted (however unconsciously) in Metaphysics, and without an explanation of life on these lines, no religion could gain standing-ground; for it is by virtue of their ethical side that they all alike keep their hold on the mind. Every religion makes its body of dogmas the basis of the moral incentive which each man feels, but which he does not, on that account, understand; and it unites the two so closely, that they appear to be inseparable. Indeed the priests take special pains to proclaim unbelief and immorality as one and the same thing. The reason is thus apparent, why believers regard unbelievers as identical with the vicious, and why expressions such as "godless," "atheistic," "unchristian," "heretic," etc., are used as synonymes for moral depravity. The religions have, in fact, a sufficiently easy task. Faith is the principle they start from. Hence they are in a position to simply insist on its application to their dogmas, and this, even to the point of employing threats. But philosophy has no such convenient instrument ready to hand. If the different systems be examined, it will be found that the situation is beset with difficulties, both as regards the foundation to be provided for Ethics, and in relation to the point of connection discoverable in any such foundation with the given metaphysical theory. And yet, — as I have emphasised in the introduction, with an appeal to the authority of Wolff and Kant — we are under the stringent necessity of obtaining from Metaphysics a support for Moral Science.

Now, of all the problems that the human intellect has to grapple with, that of Metaphysics is by far the hardest; so much so that it is regarded by many thinkers as absolutely insoluble. Apart from this, in the present case, I labour under the special disadvantage which the form of a detached monograph involves. In other words, I am not at liberty to start from some definite metaphysical system, of which I may be an adherent; because, if I did, either it would have to be expounded in detail, which would take too much space; or else there would be the necessity of supposing it granted

and unquestioned, — an exceedingly precarious proceeding. The consequence is that I am as little able to use the synthetic method here as in the foregoing Part. Analysis alone is possible: that is, I must work backwards from the effects to their cause, and not *vice versâ*. This stern obligation, however, of having at the outset no previous hypothesis, no standpoint other than the commonly accepted one, made the discovery of the ethical basis so laborious that, as I look back upon the task, I seem to have accomplished some wondrous feat of dexterity, not unlike that of a man who executes with subtlest skill in mid air what otherwise is only done on a solid support. But now that we have come to the question whether there can be given a metaphysical explanation of the foundation obtained, the difficulty of proceeding without any assumption becomes so enormous, that but one course appears to me open, namely, to attempt nothing beyond a general sketch of the subject. I shall, therefore, indicate rather than elaborate the line of thought: I shall point out the way leading to the goal, but not follow it thither; in short, I shall present but a very small part of what, under other circumstances, could be adduced. In adopting this attitude for the reasons stated, I wish, before beginning, to emphatically remark, that in any case the actual problem put forward has now been solved; consequently, that what I here add is an *opus supererogationis*, an appendix to be given and taken entirely at will.

## ENDNOTES.

<sup>1</sup> We ought to realise as if before our eyes that moment of time when the end comes to each one for deliverance from living. Because all who are about to die are seized with repentance, remembering, as they do, their unjust deeds, and being filled with the wish that they had always acted justly. — *Ἀπαλλαγὴ* = *Erlösung*. V. Joannes Stobaeus, *Florilegium*, edit. Meineke; publ. Lipsiae: Teubner, 1855. Vol. ii., p. 164, l. 7 sqq. — (*Translator.*)

## CHAPTER II.

### THE METAPHYSICAL GROUNDWORK.

So far all our steps have been supported by the firm rock of experience. But at this point it fails us, and the solid earth sinks from under our feet, as we press forward in our search after a final theoretical satisfaction, there, where no experience can ever by any possibility penetrate; and happy shall we be, if perchance we gain one hint, one transient gleam, that may bring us a certain measure of content. What, however, shall not desert us is the honesty that has hitherto attended our procedure. We shall not make shift with dreams, and serve up fairy tales, after the fashion of the so-called post-Kantian philosophers; nor shall we, like them, seek, by a wordy exuberance, to impose upon the reader, and cast dust in his eyes. A little is all we promise; but that little will be presented in perfect sincerity.

The principle, which we discovered to be the final explanation of Ethics, now in turn itself requires explaining; so that our present problem has to deal with that natural Compassion, which in every man is innate and indestructible, and which has been shown to be the sole source of non-egoistic conduct, this kind alone being of real moral worth. Now many modern thinkers treat the conceptions of Good and Bad as simple, that is, as neither needing, nor admitting any elucidation, and then they go on, for the most part, to talk very mysteriously and devoutly of an "Idea of the Good," out of which they make a pedestal for their moral system, or at least a cloak for their poverty.<sup>1</sup> Hence I am obliged in this connection to point out parenthetically, that these conceptions are anything but simple, much less *a priori*; that they in fact express a relation, and are derived from the commonest daily experience. Whatever is in conformity with the desires of any individual will, is, relatively to it, termed good; for instance, good food, good roads, a good omen; the contrary is called bad, and, in the case of living beings, malicious. And so one, who by virtue of his character, has no wish to oppose what others strive after, but rather, as far as he reasonably may, shows himself favourable and helpful to them; one, who, instead of injuring, assists his neighbours, and promotes their interests, when he can; is named by the latter, in respect to themselves, a good man; the term good being applied to him in the sense of the above definition, and from their own point of view, which is thus relative, empirical, and centred in the

passive subject. Now, if we examine the nature of such a man, not only as it affects others, but as it is in itself, we are enabled by the foregoing exposition to perceive that the virtues of justice and loving-kindness, which he practises, are due to a direct participation in weal and woe external to himself; and we have learnt that the source of such participation is Compassion. If, further, we pause to consider what is the essential part in this type of character, we shall certainly find it to lie in the fact that such a person draws less distinction between himself and others than is usually done.

In the eyes of the malicious individual this difference is so great that he takes direct delight in the spectacle of suffering, — a delight, which he accordingly seeks without thought of any other benefit to himself, nay, sometimes, even to his own hurt. From the egoist's point of view the same difference is still large enough to make him bring much trouble on his neighbours, in order to obtain a small personal advantage. Hence for both of these, between the ego, which is limited to their own persons, and the non-ego, which includes all the rest of the world, there is fixed a great gulf, a mighty abyss: *Pereat mundus, dum ego salvus sim* (the world may perish, provided I be safe), is their maxim. For the good man, on the contrary, this distinction is by no means so pronounced; indeed, in the case of magnanimous deeds, it appears to become a vanishing quantity, because then the weal of another is advanced at the cost of the benefactor, the self of another placed on an equality with his own. And when it is a question of saving a number of fellow-beings, total self-obliteration may be developed, the one giving his life for many.

The inquiry now presents itself, whether the latter way of looking at the relation subsisting between the ego and the non-ego, which forms the mainspring of a good man's conduct, is mistaken and due to an illusion; or whether the error does not rather attach to the opposite view, on which Egoism and Malice are based.

No doubt the theory lying at the root of Egoism is, from the empirical standpoint, perfectly justified. From the testimony of experience, the distinction between one's own person and that of another appears to be absolute. I do not occupy the same space as my neighbour, and this difference, which separates me from him physically, separates me also from his weal and woe. But in the first place, it should be observed that the knowledge we have of our own selves is by no means exhaustive and

transparent to its depths. By means of the intuition, which the brain constructs out of the data supplied by the senses, that is to say, in an indirect manner, we recognise our body as an object in space; through an inward perception, we are aware of the continuous series of our desires, of our volitions, which arise through the agency of external motives; and finally, we come to discern the manifold movements, now stronger, now weaker, of our will itself, to which all feelings from within are ultimately traceable. And that is all: for the perceiving faculty is not in its turn perceived. On the contrary, the real substratum of our whole phaenomenal nature, our inmost essence in itself, that which wills and perceives, is not accessible to us. We see only the outward side of the ego; its inward part is veiled in darkness. Consequently, the knowledge we possess of ourselves is in no sort radical and complete, but rather very superficial. The larger and more important part of our being remains unknown, and forms a riddle to speculate about; or, as Kant puts it: "The ego knows itself only as a phaenomenon; of its real essence, whatever that may be, it has no knowledge." Now, as regards that side of the self which falls within our ken, we are, undoubtedly, sharply distinguished, each from the other; but it does not follow therefrom that the same is true of the remainder, which, shrouded in impenetrable obscurity, is yet, in fact, the very substance of which we consist. There remains at least the possibility that the latter is in all men uniform and identical.

What is the explanation of all plurality, of all numerical diversity of existence? Time and Space. Indeed it is only through the latter that the former is possible: because the concept "many" inevitably connotes the idea either of succession (time), or of relative position (space). Now, since a homogeneous plurality is composed of Individuals, I call Space and Time, as being the conditions of multiplicity, the *principium individuationis* (the principle of individuation); and I do not here pause to consider whether this expression was exactly so employed by the Schoolmen.

If in the disclosures which Kant's wonderful acumen gave to the world there is anything true beyond the shadow of a doubt, this is to be found in the Transcendental Aesthetics, that is to say, in his doctrine of the ideality of Space and Time. On such solid foundations is the structure built that no one has been able to raise even an apparent objection. It is Kant's triumph, and belongs to the very small number of metaphysical theories which may be regarded as really proved, and as actual conquests in that field of research. It teaches us that Space and Time are the forms of our own faculty of

intuition, to which they consequently belong, and not to the objects thereby perceived; and further, that they can in no way be a condition of things in themselves, but rather attach only to their mode of appearing, such as is alone possible for us who have a consciousness of the external world determined by strictly physiological limits. Now, if to the Thing in itself, that is, to the Reality underlying the kosmos, as we perceive it, Time and Space are foreign; so also must multiplicity be. Consequently that which is objectivated in the countless phaenomena of this world of the senses cannot but be a unity, a single indivisible entity, manifested in each and all of them. And conversely, the web of plurality, woven in the loom of Time and Space, is not the Thing in itself, but only its appearance-form. Externally to the thinking subject, this appearance-form, as such, has no existence; it is merely an attribute of our consciousness, bounded, as the latter is, by manifold conditions, indeed, depending on an organic function.

The view of things as above stated, — that all plurality is only apparent, that in the endless series of individuals, passing simultaneously and successively into and out of life, generation after generation, age after age, there is but one and the same entity really existing, which is present and identical in all alike; — this theory, I say, was of course known long before Kant; indeed, it may be carried back to the remotest antiquity. It is the alpha and omega of the oldest book in the world, the sacred Vedas, whose dogmatic part, or rather esoteric teaching, is found in the Upanishads.<sup>2</sup> There, in almost every page this profound doctrine lies enshrined; with tireless repetition, in countless adaptations, by many varied parables and similes it is expounded and inculcated. That such was, moreover, the fount whence Pythagoras drew his wisdom, cannot be doubted, despite the scanty knowledge we possess of what he taught. That it formed practically the central point in the whole philosophy of the Eleatic School, is likewise a familiar fact. Later on, the New Platonists were steeped in the same, one of their chief tenets being: *διὰ τὴν ἑνότητα πάντων πάσας ψυχὰς μίαν εἶναι*. (All souls are one, because all things form a unity.) In the ninth century we find it unexpectedly appearing in Europe. It kindles the spirit of no less a divine than Johannes Scotus Erigena, who endeavours to clothe it with the forms and terminology of the Christian religion. Among the Mohammedans we detect it again in the rapt mysticism of the Sûfi.<sup>3</sup> In the West Giordano Bruno cannot resist the impulse to utter it aloud; but his reward is a death of shame and torture. And at the same time we find the Christian Mystics



losing themselves in it, against their own will and intention, whenever and wherever we read of them!<sup>4</sup> Spinoza's name is identified with it. Lastly, in our own days, after Kant had annihilated the old dogmatism, and the world stood aghast at its smoking ruins, the same teaching was revived in Schelling's eclectic philosophy. The latter took all the systems of Plotinus, Spinoza, Kant, and Jacob Boehm, and mixing them together with the results of modern Natural Science, speedily served up a dish sufficient to satisfy for the moment the pressing needs of his contemporaries; and then proceeded to perform a series of variations on the original theme. The consequence is that in the learned circles of Germany this line of thought has come to be generally accepted; indeed even among people of ordinary education, it is almost universally diffused.<sup>5</sup> A solitary exception is formed by the University philosophers of the present day. They have the hard task of fighting what is called Pantheism. Being brought through the stress of battle into great embarrassment and difficulty, they anxiously catch now at the most pitiful sophisms, now at phrases of choicest bombast, so only they may patch together some sort of respectable disguise, wherein to dress up the favourite petticoat Philosophy, that has duly received official sanction. In a word, the *Ἐν καὶ πᾶν*<sup>6</sup> has been in all ages the laughing-stock of fools, for the wise a subject of perpetual meditation. Nevertheless, the strict demonstration of this theory is only to be obtained from the Kantian teaching, as I have just shown. Kant himself did not carry it out; after the fashion of clever orators, he only gave the premises, leaving to his hearers the pleasure of drawing the conclusion.

Now if plurality and difference belong only to the appearance-form; if there is but one and the same Entity manifested in all living things: it follows that, when we obliterate the distinction between the *ego* and the *non-ego*, we are not the sport of an illusion. Rather are we so, when we maintain the reality of individuation, — a thing the Hindus call *Mâyâ*,<sup>7</sup> that is, a deceptive vision, a phantasma. The former theory we have found to be the actual source of the phaenomenon of Compassion; indeed Compassion is nothing but its translation into definite expression. This, therefore, is what I should regard as the metaphysical foundation of Ethics, and should describe it as the sense which identifies the ego with the non-ego, so that the individual directly recognises in another his own self, his true and very being. From this standpoint the profoundest teaching of theory pushed to its furthest limits may be shown in the end to harmonise perfectly with the

rules of justice and loving-kindness, as exercised; and conversely, it will be clear that practical philosophers, that is, the upright, the beneficent, the magnanimous, do but declare through their acts the same truth as the man of speculation wins by laborious research, by the loftiest flights of intellect. Meanwhile moral excellence stands higher than all theoretical sapience. The latter is at best nothing but a very unfinished and partial structure, and only by the circuitous path of reasoning attains the goal which the former reaches in one step. He who is morally noble, however deficient in mental penetration, reveals by his conduct the deepest insight, the truest wisdom; and puts to shame the most accomplished and learned genius, if the latter's acts betray that his heart is yet a stranger to this great principle, — the metaphysical unity of life.

“Individuation is real. The *principium individuationis*, with the consequent distinction of individuals, is the order of things in themselves. Each living unit is an entity radically different from all others. In my own self alone I have my true being; everything outside it belongs to the non-ego, and is foreign to me.” This is the creed to the truth of which flesh and bone bear witness: which is at the root of all egoism, and which finds its objective expression in every loveless, unjust, or malicious act.

“Individuation is merely an appearance, born of Space and Time; the latter being nothing else than the forms under which the external world necessarily manifests itself to me, conditioned as they are by my brain's faculty of perception. Hence also the plurality and difference of individuals is but a phaenomenon, that is, exists only as my mental picture. My true inmost being subsists in every living thing, just as really, as directly as in my own consciousness it is evidenced only to myself.” This is the higher knowledge: for which there is in Sanskrit the standing formula, *tat tvam asi*, “that art thou.”<sup>8</sup> Out of the depths of human nature it wells up in the shape of Compassion, and is therefore the source of all genuine, that is, disinterested virtue, being, so to say, incarnate in every good deed. It is this which in the last resort is invoked, whenever we appeal to gentleness, to loving-kindness; whenever we pray for mercy instead of justice. For such appeal, such prayer is in reality the effort to remind a fellow-being of the ultimate truth that we are all one and the same entity. On the other hand, Egoism and its derivatives, envy, hatred, the spirit of persecution, hardness of heart, revenge, pleasure at the sight of suffering, and cruelty, all claim

support from the other view of things, and seek their justification in it. The emotion and joy we experience when we hear of, still more, when we see, and most of all, when we ourselves do, a noble act, are at bottom traceable to the feeling of certainty such a deed gives, that, beyond all plurality and distinction of individuals, which the *principium individuationis*, like a kaleidoscope, shows us in ever-shifting evanescent forms, there is an underlying unity, not only truly existing, but actually accessible to us; for lo! in tangible, objective form, it stands before our sight.

Of these two mental attitudes, according as the one or the other is adopted, so the *φιλία* (Love) or the *νεῖκος* (Hatred) of Empedocles appears between man and man. If any one, who is animated by *νεῖκος*, could forcibly break in upon his most detested foe, and compel him to lay bare the inmost recesses of his heart; to his surprise, he would find again in the latter his very self. For just as in dreams, all the persons that appear to us are but the masked images of ourselves; so in the dream of our waking life, it is our own being which looks on us from out our neighbours' eyes, — though this is not equally easy to discern. Nevertheless, *tat tvam asi*.

The preponderance of either mode of viewing life not only determines single acts; it shapes a man's whole nature and temperament. Hence the radical difference of mental habit between the good character and the bad. The latter feels everywhere that a thick wall of partition hedges him off from all others. For him the world is an absolute non-ego, and his relation to it an essentially hostile one; consequently, the key-note of his disposition is hatred, suspicion, envy, and pleasure in seeing distress. The good character, on the other hand, lives in an external world homogeneous with his own being; the rest of mankind is not in his eyes a non-ego; he thinks of it rather as "myself once more." He therefore stands on an essentially amicable footing with every one: he is conscious of being, in his inmost nature, akin to the whole human race,<sup>2</sup> takes direct interest in their weal and woe, and confidently assumes in their case the same interest in him. This is the source of his deep inward peace, and of that happy, calm, contented manner, which goes out on those around him, and is as the "presence of a good diffused." Whereas the bad character in time of trouble has no trust in the help of his fellow-creatures. If he invokes aid, he does so without confidence: obtained, he feels no real gratitude for it; because he can hardly discern therein anything but the effect of others' folly. For he is simply incapable of recognising his own self in some one else; and this, even after it has

furnished the most incontestible signs of existence in that other person: on which fact the repulsive nature of all unthankfulness in reality depends. The moral isolation, which thus naturally and inevitably encompasses the bad man, is often the cause of his becoming the victim of despair. The good man, on the contrary, will appeal to his neighbours for assistance, with an assurance equal to the consciousness he has of being ready himself to help them. As I have said: to the one type, humanity is a non-ego; to the other, "myself once more." The magnanimous character, who forgives his enemy, and returns good for evil, rises to the sublime, and receives the highest meed of praise; because he recognises his real self even there where it is most conspicuously disowned.

Every purely beneficent act all help entirely and genuinely unselfish, being, as such, exclusively inspired by another's distress, is, in fact, if we probe the matter to the bottom, a dark enigma, a piece of mysticism put into practice; inasmuch as it springs out of, and finds its only true explanation in, the same higher knowledge that constitutes the essence of whatever is mystical.

For how, otherwise than metaphysically, are we to account for even the smallest offering of alms made with absolutely no other object than that of lessening the want which afflicts a fellow-creature? Such an act is only conceivable, only possible, in so far as the giver knows that it is his very self which stands before him, clad in the garments of suffering; in other words, so far as he recognises the essential part of his own being, under a form not his own.<sup>10</sup> It now becomes apparent, why in the foregoing part I have called Compassion the great mystery of Ethics.

He, who goes to meet death for his fatherland, has freed himself from the illusion which limits a man's existence to his own person. Such a one has broken the fetters of the *principium individuationis*. In his widened, enlightened nature he embraces all his countrymen, and in them lives on and on. Nay, he reaches forward to, and merges himself in the generations yet unborn, for whom he works; and he regards death as a wink of the eyelids, so momentary that it does not interrupt the sight.

We may here sum up the characteristics of the two human types above indicated. To the Egoist all other people are uniformly and intrinsically strangers. In point of fact, he considers nothing to be truly real, except his own person, and regards the rest of mankind practically as troops of phantoms, to whom he assigns merely a relative existence, so far as they

may be instruments to serve, or barriers to obstruct, his purposes; the result being an immeasurable difference, a vast gulf between his ego on the one side, and the non-ego on the other. In a word, he lives exclusively centred in his own individuality, and on his death-day he sees all reality, indeed the whole world, coming to an end along with himself.<sup>11</sup> Whereas the Altruist discerns in all other persons, nay, in every living thing, his own entity, and feels therefore that his being is commingled, is identical with the being of whatever is alive. By death he loses only a small part of himself. Patting off the narrow limitations of the individual, he passes into the larger life of all mankind, in whom he always recognised, and, recognising, loved, his very self; and the illusion of Time and Space, which separated his consciousness from that of others, vanishes. These two opposite modes of viewing the world are probably the chief, though not indeed the sole cause of the difference we find between very good and exceptionally bad men, as to the manner in which they meet their last hour.

In all ages Truth, poor thing, has been put to shame for being paradoxical; and yet it is not her fault. She cannot assume the form of Error seated on his throne of world-wide sovereignty. So then, with a sigh, she looks up to her tutelary god, Time, who nods assurance to her of future victory and glory, but whose wings beat the air so slowly with their mighty strokes, that the individual perishes or ever the day of triumph be come. Hence I, too, am perfectly aware of the paradox which this metaphysical explanation of the ultimate ethical phaenomenon must present to Western minds, accustomed, as they are, to very different methods of providing Morals with a basis. Nevertheless, I cannot offer violence to the truth. All that is possible for me to do, out of consideration for European blindness, is to assert once more, and demonstrate by actual quotation, that the Metaphysics of Ethics, which I have here suggested, was thousands of years ago the fundamental principle of Indian wisdom. And to this wisdom I point back, as Copernicus did to the Pythagorean cosmic system, which was suppressed by Aristotle and Ptolemaeus. In the Bhagavadgîtâ (Lectio XIII.; 27, 28), according to A. W. von Schlegel's translation, we find the following passage: *Eundem in omnibus animantibus consistentem summum dominum, istis pereuntibus kaud pereuntem qui cernit, is vere cernit. Eundem vero cernens ubique praesentem dominum, non violat semet ipsum sua ipsius culpa: exinde pergît ad summum iter.*<sup>12</sup>

With these hints towards the elaboration of a metaphysical basis for Ethics I must close, although an important step still remains to be taken. The latter would presuppose a further advance in Moral Science itself; and this can hardly be made, because in the West the highest aim of Ethics is reached in the theory of justice and virtue. What lies beyond is unknown, or at any rate ignored. The omission, therefore, is unavoidable; and the reader need feel no surprise, if the above slight outline of the Metaphysics of Ethics does not bring into view — even remotely — the corner-stone of the whole metaphysical edifice, nor reveal the connection of all the parts composing the *Divina Commedia*. Such a presentment, moreover, is involved neither in the question set, nor in my own plan. A man cannot say everything in one day, and should not answer more than he is asked.

He who tries to promote human knowledge and insight is destined to always encounter the opposition of his age, which is like the dead weight of some mass that has to be dragged along: there on the ground it lies, a huge inert deformity, defying all efforts to quicken its shape with new life. But such a one must take comfort from the certainty that, although prejudices beset his path, yet the truth is with him. And Truth does but wait for her ally, Time, to join her; once he is at her side, she is perfectly sure of victory, which, if to-day delayed, will be won to-morrow.



## ENDNOTES.

<sup>1</sup> The conception of *the Good*, in its purity, is an *ultimate* one, “an *absolute Idea*, whose substance loses itself in infinity.” — (Bouterweek: *Praktische Aphorismen*, p. 54.)

It is obvious that this writer would like to transform the familiar, nay, trivial conception “*Good*” into a sort of *Διίπετής*, to be set up as an idol in his temple. *Διίπετής* lit., “fallen from Zeus”; and so “heaven-sent,” “a thing of divine origin.” Cf. Horn., *Il.* XVI, 174; *Od.* IV. 477. Eur., *Bacch.*, 1268. — (*Translator.*)

<sup>2</sup> The genuineness of the Oupnek’hat has been disputed on the ground of certain marginal glosses which were added by Mohammedan copyists, and then interpolated in the text, it has, however, been fully established by the Sanskrit scholar, F.H.H. Windischmann (junior) in his *Sancara, sive de Theologumenis Vedanticorum*, 1833, p. xix; and also by Boehner in his book *De la Vie Contemplative chez les Indous*, 1831, p. 12. The reader though ignorant of Sanskrit, may yet convince himself that Anquetil Duperron’s word for word Latin translation of the Persian version of the Upanishads made by the martyr of this creed, the Sultan Dârâ-Shukoh, is based on a thorough and exact knowledge of the language. He has only to compare it with recent translations of some of the Upanishads by Rammohun Boy, by Poley, and especially with that of Colebrooke, as also with Rœr’s, (the latest). These writers are obviously groping in obscurity, and driven to make shift with hazy conjectures, so that without doubt their work is much less accurate. More will be found on this subject in Vol. II. of the *Parerga*, chap. 16, § 184. [*V. The Upanishads*, translated by Max Müller, in *The Sacred Books of the East*, Vols. I. and XV. Cf. also Max Müller, *The Science of Language*, Vol. I., p. 171. Now that an adequate translation of the original exists, the Oupnek’hat has only an historical interest. The value which Schopenhauer attached to the Upanishads is very clearly expressed also in the *Welt als Wille und Vorstellung*, Preface to the first Edition; and in the *Parerga*, II., chap. xvi., § 184. — (*Translator.*)]

<sup>3</sup> For the Sûfî, more correctly \*Sûfîy a sect which appeared already in the first century of the Hijrah, the reader is referred to: Tholuck’s *Blüthensammlung aus der Morgenländischen Mystik* (Berlin, 1825); Tholuck’s *Sûfismus, sive Theosophia Persarum Pantheistica* (Berlin, 1821); Kremer’s *Geschichte der Herrschenden Ideen des Islâms* (Leipzig, 1868); Palmer’s *Oriental Mysticism* (London, 1867); Gobineau’s *Les Religions et les Philosophies dans l’Asie Centrale* (2nd edit. Paris, 1866); *A Dictionary of Islâm*, by T. P. Hughes (London, 1885), p. 608 sqq. — (*Translator.*)

<sup>4</sup> This is too well-known to need verification by references. The *Cantico del Sole* by St. Francis of Assisi sounds almost like a passage from the Upanishads or the Bhagavadgîtâ. — (Translator.)

<sup>5</sup>

*On peut assez longtemps, chez notre espèce,  
Fermer la porte à la Raison.  
Mais, dès qu'elle entre avec adresse,  
Elle reste dans la maison,  
Et bientôt elle en est maîtresse.*  
— (Voltaire.)

(We men may, doubtless, all our lives  
To Reason bar the door.  
But if to enter she contrives,  
The house she leaves no more,  
And soon as mistress there presides.)

<sup>6</sup>  $Tò \text{ ἔν}$  = the eternal Reality outside Time and Space  $Tò \pi \bar{\alpha} \nu$  = the phaenomenal universe. — (Translator.)

<sup>7</sup> Mâyâ is “the delusive reflection of the true eternal Entity.” — (Translator.)

<sup>8</sup> This expression is used in the Brahmanical philosophy to denote the relation between the world-fiction as a whole and its individualised parts. V. A. E. Gough, *Philosophy of the Upanishads*, 1882. — (Translator.)

<sup>9</sup> *Homo sum: humani nil a me alienum puto.* Terence, *Heaut.*, I. 1, 25. — (Translator.)

<sup>10</sup> It is probable that many, perhaps, most cases of truly disinterested Compassion — when they really occur — are due not to any conscious *knowledge* of this sort, but to an unconscious impulse springing from the ultimate unity of all living things, and acting, so to say, automatically. — (Translator.)

<sup>11</sup> Cf. Richard Wagner: *Jesus von Nazareth*; pp. 79-90. — (Translator.)

<sup>12</sup> That man is endowed with true insight who sees that the same ruling power is inherent in all things, and that when these perish, it perishes not. For if he discerns the same ruling power



everywhere present, he does not degrade himself by his own fault: thence he passes to the highest path. — For the *Bhagavadgîtâ* the reader is referred to Vol. VIII. of *The Sacred Books of the East* (Oxford: Clarendon Press), where (p. 105) this passage is translated as follows:— “He sees (truly) who sees the supreme lord abiding alike in all entities, and not destroyed though they are destroyed. For he who sees the lord abiding everywhere alike, does not destroy himself[\*] by himself, and then reaches the highest goal.”

[\*]”Not to have true knowledge, is equivalent to self-destruction.”

Cf. Fauche: *Le Mahā-bhārata*: Paris, 1867; Vol. VII., p. 128: —

“Celui-là possède une vue nette des choses, qui voit ce principe souverain en tous les êtres d’une manière égale, et leur survivre, quand ils périssent. Il ne se fait aucun tort à soi-même par cette vue d’un principe qui subsiste également partout: puis, après cette vie, il entre dans la voie supérieure.”

The obscurity of Schlegel’s Latin in the second sentence is sufficiently removed by these more recent translations. — (*Translator.*)

## JUDICIUM

### REGIAE DANICAE SCIENTIARUM SOCIETATIS.

*Quaestionem anno 1837 propositam, “utrum philosophiae moralis fons et fundamentum in idea moralitatis, quae immediate conscientia contineatur, et ceteris notionibus fundamentalibus, quae ex illa prodeant, explicandis quaerenda sint, an in alio cognoscendi principio,” unus tantum scriptor explicare conatus est, cujus commentationem, germanico sermone compositam, et his verbis notatam: “MORAL PREDIGEN IST LEICHT, MORAL BEGRÜNDEN IST SCHWER,” praemio dignam judicare nequivimus. Omisso enim eo, quod potissimum postulabatur, hoc expeti putavit, ut principium aliquod ethicae conderetur, itaque eam partem commentationis suae, in qua principii ethicae a se propositi et metaphysicae suae nexum exponit, appendices loco habuit, in qua plus quam postulatum esset praestaret, quum tamen ipsum thema ejusmodi disputationem flagitaret, in qua vel praecipuo loco metaphysicae et ethicae nexus consideraretur. Quod autem scriptor in sympathia fundamentum*

*ethicae constituere conatus est, neque ipsa disserendi forma nobis satisfacit, neque reapse, hoc fundamentum sufficere, evicit; quin ipse contra esse confiteri coactus est. Neque reticendum videtur, plures recentioris aetatis summos philosophos tam indecenter commemorari, ut justam et gravem offensionem habeat.*

## JUDGMENT OF THE DANISH ROYAL SOCIETY OF SCIENCES.

In 1837 the following question was set as subject for a Prize Essay: “Is the fountain and basis of Morals to be sought for in an idea of morality which lies directly in the consciousness (or conscience), and in the analysis of the other leading ethical conceptions which arise from it? Or is it to be found in some other source of knowledge?” There was only one competitor; but his dissertation, written in German, and bearing the motto: “*To preach Morality is easy, to found it is difficult*”<sup>1</sup> we cannot adjudge worthy of the Prize. He has omitted to deal with the essential part of the question, apparently thinking that he was asked to establish some fundamental principle of Ethics. Consequently, that part of the treatise, which explains how the moral basis he proposes is related to his system of metaphysics, we find relegated to an appendix, as an “*opus supererogationis*,” although it was precisely the connection between Metaphysics and Ethics that our question required to be put in the first and foremost place. The writer attempts to show that compassion is the ultimate source of morality; but neither does his mode of discussion appear satisfactory to us, nor has he, in point of fact, succeeded in proving that such a foundation is adequate. Indeed he himself is obliged to admit that it is not.<sup>2</sup> Lastly, the Society cannot pass over in silence the fact that he mentions several recent philosophers of the highest standing in an unseemly manner, such as to justly occasion serious offence.

## ENDNOTES.

<sup>1</sup> The Academy has been good enough to insert the second “is” on its own account, by way of proving the truth of Longinus’ theory (*V. De Sublimitate*: chap. 39, *ad fin.*), that the addition or subtraction of a single syllable is sufficient to destroy the whole force of a sentence. (P. Longinus: *De Sublimitate Libellus*; edit. Joannes Vahlen, Bonnae, 1887.) — (*Translator*)

<sup>2</sup> I suppose this is the meaning of *contra esse confiteri*. — (*Translator.*)

# WISDOM OF LIFE



*Translated by T. Bailey Saunders*

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## INTRODUCTION.

In these pages I shall speak of *The Wisdom of Life* in the common meaning of the term, as the art, namely, of ordering our lives so as to obtain the greatest possible amount of pleasure and success; an art the theory of which may be called *Eudaemonology*, for it teaches us how to lead a happy existence. Such an existence might perhaps be defined as one which, looked at from a purely objective point of view, or, rather, after cool and mature reflection — for the question necessarily involves subjective considerations, — would be decidedly preferable to non-existence; implying that we should cling to it for its own sake, and not merely from the fear of death; and further, that we should never like it to come to an end.

Now whether human life corresponds, or could possibly correspond, to this conception of existence, is a question to which, as is well-known, my philosophical system returns a negative answer. On the eudaemonistic hypothesis, however, the question must be answered in the affirmative; and I have shown, in the second volume of my chief work (ch. 49), that this hypothesis is based upon a fundamental mistake. Accordingly, in elaborating the scheme of a happy existence, I have had to make a complete surrender of the higher metaphysical and ethical standpoint to which my own theories lead; and everything I shall say here will to some extent rest upon a compromise; in so far, that is, as I take the common standpoint of every day, and embrace the error which is at the bottom of it. My remarks, therefore, will possess only a qualified value, for the very word *eudaemonology* is a euphemism. Further, I make no claims to completeness; partly because the subject is inexhaustible, and partly because I should otherwise have to say over again what has been already said by others.

The only book composed, as far as I remember, with a like purpose to that which animates this collection of aphorisms, is Cardan's *De utilitate ex adversis capienda*, which is well worth reading, and may be used to supplement the present work. Aristotle, it is true, has a few words on eudaemonology in the fifth chapter of the first book of his *Rhetoric*; but what he says does not come to very much. As compilation is not my business, I have made no use of these predecessors; more especially because in the process of compiling, individuality of view is lost, and individuality of view is the kernel of works of this kind. In general, indeed,

the wise in all ages have always said the same thing, and the fools, who at all times form the immense majority, have in their way too acted alike, and done just the opposite; and so it will continue. For, as Voltaire says, *we shall leave this world as foolish and as wicked as we found it on our arrival.*

# **WISDOM OF LIFE**

# CHAPTER I.

## DIVISION OF THE SUBJECT.

Aristotle divides the blessings of life into three classes — those which come to us from without, those of the soul, and those of the body. Keeping nothing of this division but the number, I observe that the fundamental differences in human lot may be reduced to three distinct classes:

(1) What a man is: that is to say, personality, in the widest sense of the word; under which are included health, strength, beauty, temperament, moral character, intelligence, and education.

(2) What a man has: that is, property and possessions of every kind.

(3) How a man stands in the estimation of others: by which is to be understood, as everybody knows, what a man is in the eyes of his fellowmen, or, more strictly, the light in which they regard him. This is shown by their opinion of him; and their opinion is in its turn manifested by the honor in which he is held, and by his rank and reputation.

The differences which come under the first head are those which Nature herself has set between man and man; and from this fact alone we may at once infer that they influence the happiness or unhappiness of mankind in a much more vital and radical way than those contained under the two following heads, which are merely the effect of human arrangements. Compared with *genuine personal advantages*, such as a great mind or a great heart, all the privileges of rank or birth, even of royal birth, are but as kings on the stage, to kings in real life. The same thing was said long ago by Metrodorus, the earliest disciple of Epicurus, who wrote as the title of one of his chapters, *The happiness we receive from ourselves is greater than that which we obtain from our surroundings*. And it is an obvious fact, which cannot be called in question, that the principal element in a man's well-being, — indeed, in the whole tenor of his existence, — is what he is made of, his inner constitution. For this is the immediate source of that inward satisfaction or dissatisfaction resulting from the sum total of his sensations, desires and thoughts; whilst his surroundings, on the other hand, exert only a mediate or indirect influence upon him. This is why the same external events or circumstances affect no two people alike; even with perfectly similar surroundings every one lives in a world of his own. For a man has immediate apprehension only of his own ideas, feelings and



volitions; the outer world can influence him only in so far as it brings these to life. The world in which a man lives shapes itself chiefly by the way in which he looks at it, and so it proves different to different men; to one it is barren, dull, and superficial; to another rich, interesting, and full of meaning. On hearing of the interesting events which have happened in the course of a man's experience, many people will wish that similar things had happened in their lives too, completely forgetting that they should be envious rather of the mental aptitude which lent those events the significance they possess when he describes them; to a man of genius they were interesting adventures; but to the dull perceptions of an ordinary individual they would have been stale, everyday occurrences. This is in the highest degree the case with many of Goethe's and Byron's poems, which are obviously founded upon actual facts; where it is open to a foolish reader to envy the poet because so many delightful things happened to him, instead of envying that mighty power of phantasy which was capable of turning a fairly common experience into something so great and beautiful.

In the same way, a person of melancholy temperament will make a scene in a tragedy out of what appears to the sanguine man only in the light of an interesting conflict, and to a phlegmatic soul as something without any meaning; — all of which rests upon the fact that every event, in order to be realized and appreciated, requires the co-operation of two factors, namely, a subject and an object, although these are as closely and necessarily connected as oxygen and hydrogen in water. When therefore the objective or external factor in an experience is actually the same, but the subjective or personal appreciation of it varies, the event is just as much a different one in the eyes of different persons as if the objective factors had not been alike; for to a blunt intelligence the fairest and best object in the world presents only a poor reality, and is therefore only poorly appreciated, — like a fine landscape in dull weather, or in the reflection of a bad *camera obscura*. In plain language, every man is pent up within the limits of his own consciousness, and cannot directly get beyond those limits any more than he can get beyond his own skin; so external aid is not of much use to him. On the stage, one man is a prince, another a minister, a third a servant or a soldier or a general, and so on, — mere external differences: the inner reality, the kernel of all these appearances is the same — a poor player, with all the anxieties of his lot. In life it is just the same. Differences of rank and wealth give every man his part to play, but this by no means implies a

difference of inward happiness and pleasure; here, too, there is the same being in all — a poor mortal, with his hardships and troubles. Though these may, indeed, in every case proceed from dissimilar causes, they are in their essential nature much the same in all their forms, with degrees of intensity which vary, no doubt, but in no wise correspond to the part a man has to play, to the presence or absence of position and wealth. Since everything which exists or happens for a man exists only in his consciousness and happens for it alone, the most essential thing for a man is the constitution of this consciousness, which is in most cases far more important than the circumstances which go to form its contents. All the pride and pleasure of the world, mirrored in the dull consciousness of a fool, are poor indeed compared with the imagination of Cervantes writing his *Don Quixote* in a miserable prison. The objective half of life and reality is in the hand of fate, and accordingly takes various forms in different cases: the subjective half is ourself, and in essentials is always remains the same.

Hence the life of every man is stamped with the same character throughout, however much his external circumstances may alter; it is like a series of variations on a single theme. No one can get beyond his own individuality. An animal, under whatever circumstances it is placed, remains within the narrow limits to which nature has irrevocably consigned it; so that our endeavors to make a pet happy must always keep within the compass of its nature, and be restricted to what it can feel. So it is with man; the measure of the happiness he can attain is determined beforehand by his individuality. More especially is this the case with the mental powers, which fix once for all his capacity for the higher kinds of pleasure. If these powers are small, no efforts from without, nothing that his fellowmen or that fortune can do for him, will suffice to raise him above the ordinary degree of human happiness and pleasure, half animal though it be; his only resources are his sensual appetite, — a cozy and cheerful family life at the most, — low company and vulgar pastime; even education, on the whole, can avail little, if anything, for the enlargement of his horizon. For the highest, most varied and lasting pleasures are those of the mind, however much our youth may deceive us on this point; and the pleasures of the mind turn chiefly on the powers of the mind. It is clear, then, that our happiness depends in a great degree upon what we *are*, upon our individuality, whilst lot or destiny is generally taken to mean only what we *have*, or our *reputation*. Our lot, in this sense, may improve; but we do not ask much of

it if we are inwardly rich: on the other hand, a fool remains a fool, a dull blockhead, to his last hour, even though he were surrounded by houris in paradise. This is why Goethe, in the *West-östliclien Divan*, says that every man, whether he occupies a low position in life, or emerges as its victor, testifies to personality as the greatest factor in happiness: —

*Volk und Knecht und Überwinder  
Sie gestehen, zu jeder Zeit,  
Höchstes Glück der Erdenkinder  
Sei nur die Persönlichkeit.*

Everything confirms the fact that the subjective element in life is incomparably more important for our happiness and pleasure than the objective, from such sayings as *Hunger is the best sauce*, and *Youth and Age cannot live together*, up to the life of the Genius and the Saint. Health outweighs all other blessings so much that one may really say that a healthy beggar is happier than an ailing king. A quiet and cheerful temperament, happy in the enjoyment of a perfectly sound physique, an intellect clear, lively, penetrating and seeing things as they are, a moderate and gentle will, and therefore a good conscience — these are privileges which no rank or wealth can make up for or replace. For what a man is in himself, what accompanies him when he is alone, what no one can give or take away, is obviously more essential to him than everything he has in the way of possessions, or even what he may be in the eyes of the world. An intellectual man in complete solitude has excellent entertainment in his own thoughts and fancies, while no amount of diversity or social pleasure, theatres, excursions and amusements, can ward off boredom from a dullard. A good, temperate, gentle character can be happy in needy circumstances, whilst a covetous, envious and malicious man, even if he be the richest in the world, goes miserable. Nay more; to one who has the constant delight of a special individuality, with a high degree of intellect, most of the pleasures which are run after by mankind are simply superfluous; they are even a trouble and a burden. And so Horace says of himself, that, however many are deprived of the fancy-goods of life, there is one at least who can live without them: —

*Gemmas, marmor, ebur, Tyrrhena sigilla, tabellas, Argentum, vestes,  
Gaetulo murice tinctas Sunt qui non habeant, est qui non curat habere;*

and when Socrates saw various articles of luxury spread out for sale, he exclaimed: *How much there is in the world I do not want.*

So the first and most essential element in our life's happiness is what we are, — our personality, if for no other reason than that it is a constant factor coming into play under all circumstances: besides, unlike the blessings which are described under the other two heads, it is not the sport of destiny and cannot be wrested from us; — and, so far, it is endowed with an absolute value in contrast to the merely relative worth of the other two. The consequence of this is that it is much more difficult than people commonly suppose to get a hold on a man from without. But here the all-powerful agent, Time, comes in and claims its rights, and before its influence physical and mental advantages gradually waste away. Moral character alone remains inaccessible to it. In view of the destructive effect of time, it seems, indeed, as if the blessings named under the other two heads, of which time cannot directly rob us, were superior to those of the first. Another advantage might be claimed for them, namely, that being in their very nature objective and external, they are attainable, and every one is presented with the possibility, at least, of coming into possession of them; whilst what is subjective is not open to us to acquire, but making its entry by a kind of *divine right*, it remains for life, immutable, inalienable, an inexorable doom. Let me quote those lines in which Goethe describes how an unalterable destiny is assigned to every man at the hour of his birth, so that he can develop only in the lines laid down for him, as it were, by the conjunctions of the stars: and how the Sybil and the prophets declare that *himself* a man can never escape, nor any power of time avail to change the path on which his life is cast: —

*Wie an dem Tag, der dich der Welt verliehen,  
Die Sonne stand zum Grusse der Planeten,  
Bist alsobald und fort und fort gediehen,  
Nach dem Gesetz, wonach du angetreten.  
So musst du sein, dir kannst du nicht entfliehen,  
So tagten schon Sybillen und Propheten;  
Und keine Zeit, und keine Macht zerstückelt  
Geprägte Form, die lebend sich entwickelt.*

The only thing that stands in our power to achieve, is to make the most advantageous use possible of the personal qualities we possess, and accordingly to follow such pursuits only as will call them into play, to strive after the kind of perfection of which they admit and to avoid every other; consequently, to choose the position, occupation and manner of life which are most suitable for their development.

Imagine a man endowed with herculean strength who is compelled by circumstances to follow a sedentary occupation, some minute exquisite work of the hands, for example, or to engage in study and mental labor demanding quite other powers, and just those which he has not got, — compelled, that is, to leave unused the powers in which he is pre-eminently strong; a man placed like this will never feel happy all his life through. Even more miserable will be the lot of the man with intellectual powers of a very high order, who has to leave them undeveloped and unemployed, in the pursuit of a calling which does not require them, some bodily labor, perhaps, for which his strength is insufficient. Still, in a case of this kind, it should be our care, especially in youth, to avoid the precipice of presumption, and not ascribe to ourselves a superfluity of power which is not there.

Since the blessings described under the first head decidedly outweigh those contained under the other two, it is manifestly a wiser course to aim at the maintenance of our health and the cultivation of our faculties, than at the amassing of wealth; but this must not be mistaken as meaning that we should neglect to acquire an adequate supply of the necessities of life. Wealth, in the strict sense of the word, that is, great superfluity, can do little for our happiness; and many rich people feel unhappy just because they are without any true mental culture or knowledge, and consequently have no objective interests which would qualify them for intellectual occupations. For beyond the satisfaction of some real and natural necessities, all that the possession of wealth can achieve has a very small influence upon our happiness, in the proper sense of the word; indeed, wealth rather disturbs it, because the preservation of property entails a great many unavoidable anxieties. And still men are a thousand times more intent on becoming rich than on acquiring culture, though it is quite certain that what a man *is* contributes much more to his happiness than what he *has*. So you may see many a man, as industrious as an ant, ceaselessly occupied from morning to night in the endeavor to increase his heap of gold. Beyond the narrow

horizon of means to this end, he knows nothing; his mind is a blank, and consequently unsusceptible to any other influence. The highest pleasures, those of the intellect, are to him inaccessible, and he tries in vain to replace them by the fleeting pleasures of sense in which he indulges, lasting but a brief hour and at tremendous cost. And if he is lucky, his struggles result in his having a really great pile of gold, which he leaves to his heir, either to make it still larger, or to squander it in extravagance. A life like this, though pursued with a sense of earnestness and an air of importance, is just as silly as many another which has a fool's cap for its symbol.

*What a man has in himself* is, then, the chief element in his happiness. Because this is, as a rule, so very little, most of those who are placed beyond the struggle with penury feel at bottom quite as unhappy as those who are still engaged in it. Their minds are vacant, their imagination dull, their spirits poor, and so they are driven to the company of those like them — for *similis simili gaudet* — where they make common pursuit of pastime and entertainment, consisting for the most part in sensual pleasure, amusement of every kind, and finally, in excess and libertinism. A young man of rich family enters upon life with a large patrimony, and often runs through it in an incredibly short space of time, in vicious extravagance; and why? Simply because, here too, the mind is empty and void, and so the man is bored with existence. He was sent forth into the world outwardly rich but inwardly poor, and his vain endeavor was to make his external wealth compensate for his inner poverty, by trying to obtain everything *from without*, like an old man who seeks to strengthen himself as King David or Maréchal de Rex tried to do. And so in the end one who is inwardly poor comes to be also poor outwardly.

I need not insist upon the importance of the other two kinds of blessings which make up the happiness of human life; now-a-days the value of possessing them is too well known to require advertisement. The third class, it is true, may seem, compared with the second, of a very ethereal character, as it consists only of other people's opinions. Still every one has to strive for reputation, that is to say, a good name. Rank, on the other hand, should be aspired to only by those who serve the state, and fame by very few indeed. In any case, reputation is looked upon as a priceless treasure, and fame as the most precious of all the blessings a man can attain, — the Golden Fleece, as it were, of the elect: whilst only fools will prefer rank to property. The second and third classes, moreover, are reciprocally cause and

effect; so far, that is, as Petronius' maxim, *habes habebis*, is true; and conversely, the favor of others, in all its forms, often puts us in the way of getting what we want.

## CHAPTER II.

### PERSONALITY, OR WHAT A MAN IS.

We have already seen, in general, that what a man *is* contributes much more to his happiness than what he *has*, or how he is regarded by others. What a man is, and so what he has in his own person, is always the chief thing to consider; for his individuality accompanies him always and everywhere, and gives its color to all his experiences. In every kind of enjoyment, for instance, the pleasure depends principally upon the man himself. Every one admits this in regard to physical, and how much truer it is of intellectual, pleasure. When we use that English expression, “to enjoy one’s self,” we are employing a very striking and appropriate phrase; for observe — one says, not “he enjoys Paris,” but “he enjoys himself in Paris.” To a man possessed of an ill-conditioned individuality, all pleasure is like delicate wine in a mouth made bitter with gall. Therefore, in the blessings as well as in the ills of life, less depends upon what befalls us than upon the way in which it is met, that is, upon the kind and degree of our general susceptibility. What a man is and has in himself, — in a word personality, with all it entails, is the only immediate and direct factor in his happiness and welfare. All else is mediate and indirect, and its influence can be neutralized and frustrated; but the influence of personality never. This is why the envy which personal qualities excite is the most implacable of all, — as it is also the most carefully dissembled.

Further, the constitution of our consciousness is the ever present and lasting element in all we do or suffer; our individuality is persistently at work, more or less, at every moment of our life: all other influences are temporal, incidental, fleeting, and subject to every kind of chance and change. This is why Aristotle says: *It is not wealth but character that lasts.*

[Greek: — hae gar phusis bebion ou ta chraemata]

And just for the same reason we can more easily bear a misfortune which comes to us entirely from without, than one which we have drawn upon ourselves; for fortune may always change, but not character. Therefore, subjective blessings, — a noble nature, a capable head, a joyful temperament, bright spirits, a well-constituted, perfectly sound physique, in a word, *mens sana in corpore sano*, are the first and most important



elements in happiness; so that we should be more intent on promoting and preserving such qualities than on the possession of external wealth and external honor.

And of all these, the one which makes us the most directly happy is a genial flow of good spirits; for this excellent quality is its own immediate reward. The man who is cheerful and merry has always a good reason for being so, — the fact, namely, that he is so. There is nothing which, like this quality, can so completely replace the loss of every other blessing. If you know anyone who is young, handsome, rich and esteemed, and you want to know, further, if he is happy, ask, Is he cheerful and genial? — and if he is, what does it matter whether he is young or old, straight or humpbacked, poor or rich? — he is happy. In my early days I once opened an old book and found these words: *If you laugh a great deal, you are happy; if you cry a great deal, you are unhappy*; — a very simple remark, no doubt; but just because it is so simple I have never been able to forget it, even though it is in the last degree a truism. So if cheerfulness knocks at our door, we should throw it wide open, for it never comes inopportunately; instead of that, we often make scruples about letting it in. We want to be quite sure that we have every reason to be contented; then we are afraid that cheerfulness of spirits may interfere with serious reflections or weighty cares. Cheerfulness is a direct and immediate gain, — the very coin, as it were, of happiness, and not, like all else, merely a cheque upon the bank; for it alone makes us immediately happy in the present moment, and that is the highest blessing for beings like us, whose existence is but an infinitesimal moment between two eternities. To secure and promote this feeling of cheerfulness should be the supreme aim of all our endeavors after happiness.

Now it is certain that nothing contributes so little to cheerfulness as riches, or so much, as health. Is it not in the lower classes, the so-called working classes, more especially those of them who live in the country, that we see cheerful and contented faces? and is it not amongst the rich, the upper classes, that we find faces full of ill-humor and vexation? Consequently we should try as much as possible to maintain a high degree of health; for cheerfulness is the very flower of it. I need hardly say what one must do to be healthy — avoid every kind of excess, all violent and unpleasant emotion, all mental overstrain, take daily exercise in the open air, cold baths and such like hygienic measures. For without a proper amount of daily exercise no one can remain healthy; all the processes of life

demand exercise for the due performance of their functions, exercise not only of the parts more immediately concerned, but also of the whole body. For, as Aristotle rightly says, *Life is movement*; it is its very essence. Ceaseless and rapid motion goes on in every part of the organism. The heart, with its complicated double systole and diastole, beats strongly and untiringly; with twenty-eight beats it has to drive the whole of the blood through arteries, veins and capillaries; the lungs pump like a steam-engine, without intermission; the intestines are always in peristaltic action; the glands are all constantly absorbing and secreting; even the brain has a double motion of its own, with every beat of the pulse and every breath we draw. When people can get no exercise at all, as is the case with the countless numbers who are condemned to a sedentary life, there is a glaring and fatal disproportion between outward inactivity and inner tumult. For this ceaseless internal motion requires some external counterpart, and the want of it produces effects like those of emotion which we are obliged to suppress. Even trees must be shaken by the wind, if they are to thrive. The rule which finds its application here may be most briefly expressed in Latin: *omnis motus, quo celerior, eo magis motus*.

How much our happiness depends upon our spirits, and these again upon our state of health, may be seen by comparing the influence which the same external circumstances or events have upon us when we are well and strong with the effects which they have when we are depressed and troubled with ill-health. It is not what things are objectively and in themselves, but what they are for us, in our way of looking at them, that makes us happy or the reverse. As Epictetus says, *Men are not influenced by things, but by their thoughts about things*. And, in general, nine-tenths of our happiness depends upon health alone. With health, everything is a source of pleasure; without it, nothing else, whatever it may be, is enjoyable; even the other personal blessings, — a great mind, a happy temperament — are degraded and dwarfed for want of it. So it is really with good reason that, when two people meet, the first thing they do is to inquire after each other's health, and to express the hope that it is good; for good health is by far the most important element in human happiness. It follows from all this that the greatest of follies is to sacrifice health for any other kind of happiness, whatever it may be, for gain, advancement, learning or fame, let alone, then, for fleeting sensual pleasures. Everything else should rather be postponed to it.

But however much health may contribute to that flow of good spirits which is so essential to our happiness, good spirits do not entirely depend upon health; for a man may be perfectly sound in his physique and still possess a melancholy temperament and be generally given up to sad thoughts. The ultimate cause of this is undoubtedly to be found in innate, and therefore unalterable, physical constitution, especially in the more or less normal relation of a man's sensitiveness to his muscular and vital energy. Abnormal sensitiveness produces inequality of spirits, a predominating melancholy, with periodical fits of unrestrained liveliness. A genius is one whose nervous power or sensitiveness is largely in excess; as Aristotle has very correctly observed, *Men distinguished in philosophy, politics, poetry or art appear to be all of a melancholy temperament*. This is doubtless the passage which Cicero has in his mind when he says, as he often does, *Aristoteles ait omnes ingeniosos melancholicos esse*. Shakespeare has very neatly expressed this radical and innate diversity of temperament in those lines in *The Merchant of Venice*:

*Nature has framed strange fellows in her time;  
Some that will evermore peep through their eyes,  
And laugh, like parrots at a bag-piper;  
And others of such vinegar aspect,  
That they'll not show their teeth in way of smile,  
Though Nestor swear the jest be laughable.*

This is the difference which Plato draws between [Greek: eukolos] and [Greek: dyskolos] — the man of *easy*, and the man of *difficult* disposition — in proof of which he refers to the varying degrees of susceptibility which different people show to pleasurable and painful impressions; so that one man will laugh at what makes another despair. As a rule, the stronger the susceptibility to unpleasant impressions, the weaker is the susceptibility to pleasant ones, and *vice versa*. If it is equally possible for an event to turn out well or ill, the [Greek: dyskolos] will be annoyed or grieved if the issue is unfavorable, and will not rejoice, should it be happy. On the other hand, the [Greek: eukolos] will neither worry nor fret over an unfavorable issue, but rejoice if it turns out well. If the one is successful in nine out of ten undertakings, he will not be pleased, but rather annoyed that one has

miscarried; whilst the other, if only a single one succeeds, will manage to find consolation in the fact and remain cheerful. But here is another instance of the truth, that hardly any evil is entirely without its compensation; for the misfortunes and sufferings which the [Greek: auskoloi], that is, people of gloomy and anxious character, have to overcome, are, on the whole, more imaginary and therefore less real than those which befall the gay and careless; for a man who paints everything black, who constantly fears the worst and takes measures accordingly, will not be disappointed so often in this world, as one who always looks upon the bright side of things. And when a morbid affection of the nerves, or a derangement of the digestive organs, plays into the hands of an innate tendency to gloom, this tendency may reach such a height that permanent discomfort produces a weariness of life. So arises an inclination to suicide, which even the most trivial unpleasantness may actually bring about; nay, when the tendency attains its worst form, it may be occasioned by nothing in particular, but a man may resolve to put an end to his existence, simply because he is permanently unhappy, and then coolly and firmly carry out his determination; as may be seen by the way in which the sufferer, when placed under supervision, as he usually is, eagerly waits to seize the first unguarded moment, when, without a shudder, without a struggle or recoil, he may use the now natural and welcome means of effecting his release. Even the healthiest, perhaps even the most cheerful man, may resolve upon death under certain circumstances; when, for instance, his sufferings, or his fears of some inevitable misfortune, reach such a pitch as to outweigh the terrors of death. The only difference lies in the degree of suffering necessary to bring about the fatal act, a degree which will be high in the case of a cheerful, and low in that of a gloomy man. The greater the melancholy, the lower need the degree be; in the end, it may even sink to zero. But if a man is cheerful, and his spirits are supported by good health, it requires a high degree of suffering to make him lay hands upon himself. There are countless steps in the scale between the two extremes of suicide, the suicide which springs merely from a morbid intensification of innate gloom, and the suicide of the healthy and cheerful man, who has entirely objective grounds for putting an end to his existence.

Beauty is partly an affair of health. It may be reckoned as a personal advantage; though it does not, properly speaking, contribute directly to our happiness. It does so indirectly, by impressing other people; and it is no

unimportant advantage, even in man. Beauty is an open letter of recommendation, predisposing the heart to favor the person who presents it. As is well said in these lines of Homer, the gift of beauty is not lightly to be thrown away, that glorious gift which none can bestow save the gods alone

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[Greek: outoi hapoblaet erti theon erikuoeta dora, ossa ken autoi dosin, ekon douk an tis eloito].

The most general survey shows us that the two foes of human happiness are pain and boredom. We may go further, and say that in the degree in which we are fortunate enough to get away from the one, we approach the other. Life presents, in fact, a more or less violent oscillation between the two. The reason of this is that each of these two poles stands in a double antagonism to the other, external or objective, and inner or subjective. Needy surroundings and poverty produce pain; while, if a man is more than well off, he is bored. Accordingly, while the lower classes are engaged in a ceaseless struggle with need, in other words, with pain, the upper carry on a constant and often desperate battle with boredom. The inner or subjective antagonism arises from the fact that, in the individual, susceptibility to pain varies inversely with susceptibility to boredom, because susceptibility is directly proportionate to mental power. Let me explain. A dull mind is, as a rule, associated with dull sensibilities, nerves which no stimulus can affect, a temperament, in short, which does not feel pain or anxiety very much, however great or terrible it may be. Now, intellectual dullness is at the bottom of that *vacuity of soul* which is stamped on so many faces, a state of mind which betrays itself by a constant and lively attention to all the trivial circumstances in the external world. This is the true source of boredom — a continual panting after excitement, in order to have a pretext for giving the mind and spirits something to occupy them. The kind of things people choose for this purpose shows that they are not very particular, as witness the miserable pastimes they have recourse to, and their ideas of social pleasure and conversation: or again, the number of people who gossip on the doorstep or gape out of the window. It is mainly because of this inner vacuity of soul that people go in quest of society, diversion, amusement, luxury of every sort, which lead many to extravagance and misery. Nothing is so good a protection against such misery as inward wealth, the wealth of the mind, because the greater it grows, the less room it leaves for boredom. The inexhaustible activity of thought! Finding ever new material to work

upon in the multifarious phenomena of self and nature, and able and ready to form new combinations of them, — there you have something that invigorates the mind, and apart from moments of relaxation, sets it far above the reach of boredom.

But, on the other hand, this high degree of intelligence is rooted in a high degree of susceptibility, greater strength of will, greater passionateness; and from the union of these qualities comes an increased capacity for emotion, an enhanced sensibility to all mental and even bodily pain, greater impatience of obstacles, greater resentment of interruption; — all of which tendencies are augmented by the power of the imagination, the vivid character of the whole range of thought, including what is disagreeable. This applies, in various degrees, to every step in the long scale of mental power, from the veriest dunce to the greatest genius that ever lived. Therefore the nearer anyone is, either from a subjective or from an objective point of view, to one of those sources of suffering in human life, the farther he is from the other. And so a man's natural bent will lead him to make his objective world conform to his subjective as much as possible; that is to say, he will take the greatest measures against that form of suffering to which he is most liable. The wise man will, above all, strive after freedom from pain and annoyance, quiet and leisure, consequently a tranquil, modest life, with as few encounters as may be; and so, after a little experience of his so-called fellowmen, he will elect to live in retirement, or even, if he is a man of great intellect, in solitude. For the more a man has in himself, the less he will want from other people, — the less, indeed, other people can be to him. This is why a high degree of intellect tends to make a man unsocial. True, if *quality* of intellect could be made up for by quantity, it might be worth while to live even in the great world; but unfortunately, a hundred fools together will not make one wise man.

But the individual who stands at the other end of the scale is no sooner free from the pangs of need than he endeavors to get pastime and society at any cost, taking up with the first person he meets, and avoiding nothing so much as himself. For in solitude, where every one is thrown upon his own resources, what a man has in himself comes to light; the fool in fine raiment groans under the burden of his miserable personality, a burden which he can never throw off, whilst the man of talent peoples the waste places with his animating thoughts. Seneca declares that folly is its own burden, — *omnis stultitia laborat fastidio sui*, — a very true saying, with which may be

compared the words of Jesus, the son of Sirach, *The life of a fool is worse than death*. And, as a rule, it will be found that a man is sociable just in the degree in which he is intellectually poor and generally vulgar. For one's choice in this world does not go much beyond solitude on one side and vulgarity on the other. It is said that the most sociable of all people are the negroes; and they are at the bottom of the scale in intellect. I remember reading once in a French paper that the blacks in North America, whether free or enslaved, are fond of shutting themselves up in large numbers in the smallest space, because they cannot have too much of one another's snub-nosed company.

The brain may be regarded as a kind of parasite of the organism, a pensioner, as it were, who dwells with the body: and leisure, that is, the time one has for the free enjoyment of one's consciousness or individuality, is the fruit or produce of the rest of existence, which is in general only labor and effort. But what does most people's leisure yield? — boredom and dullness; except, of course, when it is occupied with sensual pleasure or folly. How little such leisure is worth may be seen in the way in which it is spent: and, as Ariosto observes, how miserable are the idle hours of ignorant men! — *ozio lungo d'uomini ignoranti*. Ordinary people think merely how they shall *spend* their time; a man of any talent tries to *use* it. The reason why people of limited intellect are apt to be bored is that their intellect is absolutely nothing more than the means by which the motive power of the will is put into force: and whenever there is nothing particular to set the will in motion, it rests, and their intellect takes a holiday, because, equally with the will, it requires something external to bring it into play. The result is an awful stagnation of whatever power a man has — in a word, boredom. To counteract this miserable feeling, men run to trivialities which please for the moment they are taken up, hoping thus to engage the will in order to rouse it to action, and so set the intellect in motion; for it is the latter which has to give effect to these motives of the will. Compared with real and natural motives, these are but as paper money to coin; for their value is only arbitrary — card games and the like, which have been invented for this very purpose. And if there is nothing else to be done, a man will twirl his thumbs or beat the devil's tattoo; or a cigar may be a welcome substitute for exercising his brains. Hence, in all countries the chief occupation of society is card-playing, and it is the gauge of its value,

and an outward sign that it is bankrupt in thought. Because people have no thoughts to deal in, they deal cards, and try and win one another's money. Idiots! But I do not wish to be unjust; so let me remark that it may certainly be said in defence of card-playing that it is a preparation for the world and for business life, because one learns thereby how to make a clever use of fortuitous but unalterable circumstances (cards, in this case), and to get as much out of them as one can: and to do this a man must learn a little dissimulation, and how to put a good face upon a bad business. But, on the other hand, it is exactly for this reason that card-playing is so demoralizing, since the whole object of it is to employ every kind of trick and machination in order to win what belongs to another. And a habit of this sort, learnt at the card-table, strikes root and pushes its way into practical life; and in the affairs of every day a man gradually comes to regard *meum* and *tuum* in much the same light as cards, and to consider that he may use to the utmost whatever advantages he possesses, so long as he does not come within the arm of the law. Examples of what I mean are of daily occurrence in mercantile life. Since, then, leisure is the flower, or rather the fruit, of existence, as it puts a man into possession of himself, those are happy indeed who possess something real in themselves. But what do you get from most people's leisure? — only a good-for-nothing fellow, who is terribly bored and a burden to himself. Let us, therefore, rejoice, dear brethren, for *we are not children of the bondwoman, but of the free*.

Further, as no land is so well off as that which requires few imports, or none at all, so the happiest man is one who has enough in his own inner wealth, and requires little or nothing from outside for his maintenance, for imports are expensive things, reveal dependence, entail danger, occasion trouble, and when all is said and done, are a poor substitute for home produce. No man ought to expect much from others, or, in general, from the external world. What one human being can be to another is not a very great deal: in the end every one stands alone, and the important thing is *who* it is that stands alone. Here, then, is another application of the general truth which Goethe recognizes in *Dichtung und Wahrheit* (Bk. III.), that in everything a man has ultimately to appeal to himself; or, as Goldsmith puts it in *The Traveller*:

*Still to ourselves in every place consign'd  
Our own felicity we make or find.*



Himself is the source of the best and most a man can be or achieve. The more this is so — the more a man finds his sources of pleasure in himself — the happier he will be. Therefore, it is with great truth that Aristotle says, *To be happy means to be self-sufficient*. For all other sources of happiness are in their nature most uncertain, precarious, fleeting, the sport of chance; and so even under the most favorable circumstances they can easily be exhausted; nay, this is unavoidable, because they are not always within reach. And in old age these sources of happiness must necessarily dry up: — love leaves us then, and wit, desire to travel, delight in horses, aptitude for social intercourse; friends and relations, too, are taken from us by death. Then more than ever, it depends upon what a man has in himself; for this will stick to him longest; and at any period of life it is the only genuine and lasting source of happiness. There is not much to be got anywhere in the world. It is filled with misery and pain; and if a man escapes these, boredom lies in wait for him at every corner. Nay more; it is evil which generally has the upper hand, and folly makes the most noise. Fate is cruel, and mankind is pitiable. In such a world as this, a man who is rich in himself is like a bright, warm, happy room at Christmastide, while without are the frost and snow of a December night. Therefore, without doubt, the happiest destiny on earth is to have the rare gift of a rich individuality, and, more especially to be possessed of a good endowment of intellect; this is the happiest destiny, though it may not be, after all, a very brilliant one.

There was a great wisdom in that remark which Queen Christina of Sweden made, in her nineteenth year, about Descartes, who had then lived for twenty years in the deepest solitude in Holland, and, apart from report, was known to her only by a single essay: *M. Descartes*, she said, *is the happiest of men, and his condition seems to me much to be envied*. Of course, as was the case with Descartes, external circumstances must be favorable enough to allow a man to be master of his life and happiness; or, as we read in *Ecclesiastes* — *Wisdom is good together with an inheritance, and profitable unto them that see the sun*. The man to whom nature and fate have granted the blessing of wisdom, will be most anxious and careful to keep open the fountains of happiness which he has in himself; and for this, independence and leisure are necessary. To obtain them, he will be willing to moderate his desires and harbor his resources, all the more because he is not, like others, restricted to the external world for his pleasures. So he will not be misled by expectations of office, or money, or the favor and applause

of his fellowmen, into surrendering himself in order to conform to low desires and vulgar tastes; nay, in such a case he will follow the advice that Horace gives in his epistle to Maecenas.

*Nec somnum plebis laudo, satur altilium, nec Otia divitiis Arabum liberrima muto.*

It is a great piece of folly to sacrifice the inner for the outer man, to give the whole or the greater part of one's quiet, leisure and independence for splendor, rank, pomp, titles and honor. This is what Goethe did. My good luck drew me quite in the other direction.

The truth which I am insisting upon here, the truth, namely, that the chief source of human happiness is internal, is confirmed by that most accurate observation of Aristotle in the *Nichomachean Ethics* that every pleasure presupposes some sort of activity, the application of some sort of power, without which it cannot exist. The doctrine of Aristotle's, that a man's happiness consists in the free exercise of his highest faculties, is also enunciated by Stobaeus in his exposition of the Peripatetic philosophy: *happiness, he says, means vigorous and successful activity in all your undertakings*; and he explains that by *vigor* [Greek: *aretae*] he means *mastery* in any thing, whatever it be. Now, the original purpose of those forces with which nature has endowed man is to enable him to struggle against the difficulties which beset him on all sides. But if this struggle comes to an end, his unemployed forces become a burden to him; and he has to set to work and play with them, — to use them, I mean, for no purpose at all, beyond avoiding the other source of human suffering, boredom, to which he is at once exposed. It is the upper classes, people of wealth, who are the greatest victims of boredom. Lucretius long ago described their miserable state, and the truth of his description may be still recognized to-day, in the life of every great capital — where the rich man is seldom in his own halls, because it bores him to be there, and still he returns thither, because he is no better off outside; — or else he is away in post-haste to his house in the country, as if it were on fire; and he is no sooner arrived there, than he is bored again, and seeks to forget everything in sleep, or else hurries back to town once more.

*Exit saepe foras magnis ex aedibus ille,  
Esse domi quem pertaesum est, subitoque reventat,  
Quippe foris nihilo melius qui sentiat esse.  
Currit, agens mannos, ad villam precipitanter;  
Auxilium tectis quasi ferre ardentibus instans:  
Oscitat extemplo, tetigit quum limina villae;  
Aut abit in somnum gravis, atque obliviam quaerit;  
Aut etiam properans urbem petit atque revisit.*

In their youth, such people must have had a superfluity of muscular and vital energy, — powers which, unlike those of the mind, cannot maintain their full degree of vigor very long; and in later years they either have no mental powers at all, or cannot develop any for want of employment which would bring them into play; so that they are in a wretched plight. *Will*, however, they still possess, for this is the only power that is inexhaustible; and they try to stimulate their will by passionate excitement, such as games of chance for high stakes — undoubtedly a most degrading form of vice. And one may say generally that if a man finds himself with nothing to do, he is sure to choose some amusement suited to the kind of power in which he excels, — bowls, it may be, or chess; hunting or painting; horse-racing or music; cards, or poetry, heraldry, philosophy, or some other dilettante interest. We might classify these interests methodically, by reducing them to expressions of the three fundamental powers, the factors, that is to say, which go to make up the physiological constitution of man; and further, by considering these powers by themselves, and apart from any of the definite aims which they may subserve, and simply as affording three sources of possible pleasure, out of which every man will choose what suits him, according as he excels in one direction or another.

First of all come the pleasures of *vital energy*, of food, drink, digestion, rest and sleep; and there are parts of the world where it can be said that these are characteristic and national pleasures. Secondly, there are the pleasures of *muscular energy*, such as walking, running, wrestling, dancing, fencing, riding and similar athletic pursuits, which sometimes take the form of sport, and sometimes of a military life and real warfare. Thirdly, there are the pleasures of sensibility, such as observation, thought, feeling, or a taste for poetry or culture, music, learning, reading, meditation, invention, philosophy and the like. As regards the value, relative worth and duration of

each of these kinds of pleasure, a great deal might be said, which, however, I leave the reader to supply. But every one will see that the nobler the power which is brought into play, the greater will be the pleasure which it gives; for pleasure always involves the use of one's own powers, and happiness consists in a frequent repetition of pleasure. No one will deny that in this respect the pleasures of sensibility occupy a higher place than either of the other two fundamental kinds; which exist in an equal, nay, in a greater degree in brutes; it is this preponderating amount of sensibility which distinguishes man from other animals. Now, our mental powers are forms of sensibility, and therefore a preponderating amount of it makes us capable of that kind of pleasure which has to do with mind, so-called intellectual pleasure; and the more sensibility predominates, the greater the pleasure will be.

The normal, ordinary man takes a vivid interest in anything only in so far as it excites his will, that is to say, is a matter of personal interest to him. But constant excitement of the will is never an unmixed good, to say the least; in other words, it involves pain. Card-playing, that universal occupation of "good society" everywhere, is a device for providing this kind of excitement, and that, too, by means of interests so small as to produce slight and momentary, instead of real and permanent, pain. Card-playing is, in fact, a mere tickling of the will.

On the other hand, a man of powerful intellect is capable of taking a vivid interest in things in the way of mere *knowledge*, with no admixture of *will*; nay, such an interest is a necessity to him. It places him in a sphere where pain is an alien, — a diviner air, where the gods live serene.

[Greek: *phusis bebion ou ta chraematatheoi reia xoontes*]

Look on these two pictures — the life of the masses, one long, dull record of struggle and effort entirely devoted to the petty interests of personal welfare, to misery in all its forms, a life beset by intolerable boredom as soon as ever those aims are satisfied and the man is thrown back upon himself, whence he can be roused again to some sort of movement only by the wild fire of passion. On the other side you have a man endowed with a high degree of mental power, leading an existence rich in thought and full of life and meaning, occupied by worthy and interesting objects as soon as ever he is free to give himself to them, bearing in himself a source of the noblest pleasure. What external promptings he wants come from the works of nature, and from the contemplation of human affairs and

the achievements of the great of all ages and countries, which are thoroughly appreciated by a man of this type alone, as being the only one who can quite understand and feel with them. And so it is for him alone that those great ones have really lived; it is to him that they make their appeal; the rest are but casual hearers who only half understand either them or their followers. Of course, this characteristic of the intellectual man implies that he has one more need than the others, the need of reading, observing, studying, meditating, practising, the need, in short, of undisturbed leisure. For, as Voltaire has very rightly said, *there are no real pleasures without real needs*; and the need of them is why to such a man pleasures are accessible which are denied to others, — the varied beauties of nature and art and literature. To heap these pleasures round people who do not want them and cannot appreciate them, is like expecting gray hairs to fall in love. A man who is privileged in this respect leads two lives, a personal and an intellectual life; and the latter gradually comes to be looked upon as the true one, and the former as merely a means to it. Other people make this shallow, empty and troubled existence an end in itself. To the life of the intellect such a man will give the preference over all his other occupations: by the constant growth of insight and knowledge, this intellectual life, like a slowly-forming work of art, will acquire a consistency, a permanent intensity, a unity which becomes ever more and more complete; compared with which, a life devoted to the attainment of personal comfort, a life that may broaden indeed, but can never be deepened, makes but a poor show: and yet, as I have said, people make this baser sort of existence an end in itself.

The ordinary life of every day, so far as it is not moved by passion, is tedious and insipid; and if it is so moved, it soon becomes painful. Those alone are happy whom nature has favored with some superfluity of intellect, something beyond what is just necessary to carry out the behests of their will; for it enables them to lead an intellectual life as well, a life unattended by pain and full of vivid interests. Mere leisure, that is to say, intellect unoccupied in the service of the will, is not of itself sufficient: there must be a real superfluity of power, set free from the service of the will and devoted to that of the intellect; for, as Seneca says, *otium sine litteris mors est et vivi hominis sepultura* — illiterate leisure is a form of death, a living tomb. Varying with the amount of the superfluity, there will be countless developments in this second life, the life of the mind; it may be the mere

collection and labelling of insects, birds, minerals, coins, or the highest achievements of poetry and philosophy. The life of the mind is not only a protection against boredom; it also wards off the pernicious effects of boredom; it keeps us from bad company, from the many dangers, misfortunes, losses and extravagances which the man who places his happiness entirely in the objective world is sure to encounter. My philosophy, for instance, has never brought me in a six-pence; but it has spared me many an expense.

The ordinary man places his life's happiness in things external to him, in property, rank, wife and children, friends, society, and the like, so that when he loses them or finds them disappointing, the foundation of his happiness is destroyed. In other words, his centre of gravity is not in himself; it is constantly changing its place, with every wish and whim. If he is a man of means, one day it will be his house in the country, another buying horses, or entertaining friends, or traveling, — a life, in short, of general luxury, the reason being that he seeks his pleasure in things outside him. Like one whose health and strength are gone, he tries to regain by the use of jellies and drugs, instead of by developing his own vital power, the true source of what he has lost. Before proceeding to the opposite, let us compare with this common type the man who comes midway between the two, endowed, it may be, not exactly with distinguished powers of mind, but with somewhat more than the ordinary amount of intellect. He will take a dilettante interest in art, or devote his attention to some branch of science — botany, for example, or physics, astronomy, history, and find a great deal of pleasure in such studies, and amuse himself with them when external forces of happiness are exhausted or fail to satisfy him any more. Of a man like this it may be said that his centre of gravity is partly in himself. But a dilettante interest in art is a very different thing from creative activity; and an amateur pursuit of science is apt to be superficial and not to penetrate to the heart of the matter. A man cannot entirely identify himself with such pursuits, or have his whole existence so completely filled and permeated with them that he loses all interest in everything else. It is only the highest intellectual power, what we call *genius*, that attains to this degree of intensity, making all time and existence its theme, and striving to express its peculiar conception of the world, whether it contemplates life as the subject of poetry or of philosophy. Hence, undisturbed occupation with himself, his own thoughts and works, is a matter of urgent necessity to such a man;

solitude is welcome, leisure is the highest good, and everything else is unnecessary, nay, even burdensome.

This is the only type of man of whom it can be said that his centre of gravity is entirely in himself; which explains why it is that people of this sort — and they are very rare — no matter how excellent their character may be, do not show that warm and unlimited interest in friends, family, and the community in general, of which others are so often capable; for if they have only themselves they are not inconsolable for the loss of everything else. This gives an isolation to their character, which is all the more effective since other people never really quite satisfy them, as being, on the whole, of a different nature: nay more, since this difference is constantly forcing itself upon their notice they get accustomed to move about amongst mankind as alien beings, and in thinking of humanity in general, to say *they* instead of *we*.

So the conclusion we come to is that the man whom nature has endowed with intellectual wealth is the happiest; so true it is that the subjective concerns us more than the objective; for whatever the latter may be, it can work only indirectly, secondly, and through the medium of the former — a truth finely expressed by Lucian: —

[Greek: *Aeloutos ho taes psychaes ploutus monos estin alaethaes  
Talla dechei ataen pleiona ton kteanon —* ]

the wealth of the soul is the only true wealth, for with all other riches comes a bane even greater than they. The man of inner wealth wants nothing from outside but the negative gift of undisturbed leisure, to develop and mature his intellectual faculties, that is, to enjoy his wealth; in short, he wants permission to be himself, his whole life long, every day and every hour. If he is destined to impress the character of his mind upon a whole race, he has only one measure of happiness or unhappiness — to succeed or fail in perfecting his powers and completing his work. All else is of small consequence. Accordingly, the greatest minds of all ages have set the highest value upon undisturbed leisure, as worth exactly as much as the man himself. *Happiness appears to consist in leisure*, says Aristotle; and Diogenes Laertius reports that *Socrates praised leisure as the fairest of all possessions*. So, in the *Nichomachean Ethics*, Aristotle concludes that a life devoted to philosophy is the happiest; or, as he says in the *Politics*, *the free*

*exercise of any power, whatever it may be, is happiness.* This again, tallies with what Goethe says in *Wilhelm Meister: The man who is born with a talent which he is meant to use, finds his greatest happiness in using it.*

But to be in possession of undisturbed leisure, is far from being the common lot; nay, it is something alien to human nature, for the ordinary man's destiny is to spend life in procuring what is necessary for the subsistence of himself and his family; he is a son of struggle and need, not a free intelligence. So people as a rule soon get tired of undisturbed leisure, and it becomes burdensome if there are no fictitious and forced aims to occupy it, play, pastime and hobbies of every kind. For this very reason it is full of possible danger, and *difficilis in otio quies* is a true saying, — it is difficult to keep quiet if you have nothing to do. On the other hand, a measure of intellect far surpassing the ordinary, is as unnatural as it is abnormal. But if it exists, and the man endowed with it is to be happy, he will want precisely that undisturbed leisure which the others find burdensome or pernicious; for without it he is a Pegasus in harness, and consequently unhappy. If these two unnatural circumstances, external, and internal, undisturbed leisure and great intellect, happen to coincide in the same person, it is a great piece of fortune; and if the fate is so far favorable, a man can lead the higher life, the life protected from the two opposite sources of human suffering, pain and boredom, from the painful struggle for existence, and the incapacity for enduring leisure (which is free existence itself) — evils which may be escaped only by being mutually neutralized.

But there is something to be said in opposition to this view. Great intellectual gifts mean an activity pre-eminently nervous in its character, and consequently a very high degree of susceptibility to pain in every form. Further, such gifts imply an intense temperament, larger and more vivid ideas, which, as the inseparable accompaniment of great intellectual power, entail on its possessor a corresponding intensity of the emotions, making them incomparably more violent than those to which the ordinary man is a prey. Now, there are more things in the world productive of pain than of pleasure. Again, a large endowment of intellect tends to estrange the man who has it from other people and their doings; for the more a man has in himself, the less he will be able to find in them; and the hundred things in which they take delight, he will think shallow and insipid. Here, then, perhaps, is another instance of that law of compensation which makes itself



felt everywhere. How often one hears it said, and said, too, with some plausibility, that the narrow-minded man is at bottom the happiest, even though his fortune is unenviable. I shall make no attempt to forestall the reader's own judgment on this point; more especially as Sophocles himself has given utterance to two diametrically opposite opinions: —

[Greek: Pollo to phronein eudaimonias proton uparchei.]

he says in one place — wisdom is the greatest part of happiness; and again, in another passage, he declares that the life of the thoughtless is the most pleasant of all —

[Greek: En ta phronein gar maeden aedistos bios.]

The philosophers of the *Old Testament* find themselves in a like contradiction.

*The life of a fool is worse than death*

and —

*In much wisdom is much grief; and he that increaseth knowledge increaseth sorrow.*

I may remark, however, that a man who has no mental needs, because his intellect is of the narrow and normal amount, is, in the strict sense of the word, what is called a *philistine* — an expression at first peculiar to the German language, a kind of slang term at the Universities, afterwards used, by analogy, in a higher sense, though still in its original meaning, as denoting one who is not *a Son of the Muses*. A philistine is and remains [Greek: amousos anaer]. I should prefer to take a higher point of view, and apply the term *philistine* to people who are always seriously occupied with realities which are no realities; but as such a definition would be a transcendental one, and therefore not generally intelligible, it would hardly be in place in the present treatise, which aims at being popular. The other definition can be more easily elucidated, indicating, as it does, satisfactorily enough, the essential nature of all those qualities which distinguish the philistine. He is defined to be *a man without mental needs*. From this it follows, firstly, *in relation to himself*, that he has *no intellectual pleasures*; for, as was remarked before, there are no real pleasures without real needs. The philistine's life is animated by no desire to gain knowledge and insight for their own sake, or to experience that true aesthetic pleasure which is so nearly akin to them. If pleasures of this kind are fashionable, and the

philistine finds himself compelled to pay attention to them, he will force himself to do so, but he will take as little interest in them as possible. His only real pleasures are of a sensual kind, and he thinks that these indemnify him for the loss of the others. To him oysters and champagne are the height of existence; the aim of his life is to procure what will contribute to his bodily welfare, and he is indeed in a happy way if this causes him some trouble. If the luxuries of life are heaped upon him, he will inevitably be bored, and against boredom he has a great many fancied remedies, balls, theatres, parties, cards, gambling, horses, women, drinking, traveling and so on; all of which can not protect a man from being bored, for where there are no intellectual needs, no intellectual pleasures are possible. The peculiar characteristic of the philistine is a dull, dry kind of gravity, akin to that of animals. Nothing really pleases, or excites, or interests him, for sensual pleasure is quickly exhausted, and the society of philistines soon becomes burdensome, and one may even get tired of playing cards. True, the pleasures of vanity are left, pleasures which he enjoys in his own way, either by feeling himself superior in point of wealth, or rank, or influence and power to other people, who thereupon pay him honor; or, at any rate, by going about with those who have a superfluity of these blessings, sunning himself in the reflection of their splendor — what the English call a *snob*.

From the essential nature of the philistine it follows, secondly, *in regard to others*, that, as he possesses no intellectual, but only physical need, he will seek the society of those who can satisfy the latter, but not the former. The last thing he will expect from his friends is the possession of any sort of intellectual capacity; nay, if he chances to meet with it, it will rouse his antipathy and even hatred; simply because in addition to an unpleasant sense of inferiority, he experiences, in his heart, a dull kind of envy, which has to be carefully concealed even from himself. Nevertheless, it sometimes grows into a secret feeling of rancor. But for all that, it will never occur to him to make his own ideas of worth or value conform to the standard of such qualities; he will continue to give the preference to rank and riches, power and influence, which in his eyes seem to be the only genuine advantages in the world; and his wish will be to excel in them himself. All this is the consequence of his being a man *without intellectual needs*. The great affliction of all philistines is that they have no interest in *ideas*, and that, to escape being bored, they are in constant need of *realities*. But

realities are either unsatisfactory or dangerous; when they lose their interest, they become fatiguing. But the ideal world is illimitable and calm,  
*something afar From the sphere of our sorrow.*

NOTE. — In these remarks on the personal qualities which go to make happiness, I have been mainly concerned with the physical and intellectual nature of man. For an account of the direct and immediate influence of *morality* upon happiness, let me refer to my prize essay on *The Foundation of Morals* (Sec. 22.)

## CHAPTER III.

### PROPERTY, OR WHAT A MAN HAS.

Epicurus divides the needs of mankind into three classes, and the division made by this great professor of happiness is a true and a fine one. First come natural and necessary needs, such as, when not satisfied, produce pain, — food and clothing, *victus et amictus*, needs which can easily be satisfied. Secondly, there are those needs which, though natural, are not necessary, such as the gratification of certain of the senses. I may add, however, that in the report given by Diogenes Laertius, Epicurus does not mention which of the senses he means; so that on this point my account of his doctrine is somewhat more definite and exact than the original. These are needs rather more difficult to satisfy. The third class consists of needs which are neither natural nor necessary, the need of luxury and prodigality, show and splendor, which never come to an end, and are very hard to satisfy.

It is difficult, if not impossible, to define the limits which reason should impose on the desire for wealth; for there is no absolute or definite amount of wealth which will satisfy a man. The amount is always relative, that is to say, just so much as will maintain the proportion between what he wants and what he gets; for to measure a man's happiness only by what he gets, and not also by what he expects to get, is as futile as to try and express a fraction which shall have a numerator but no denominator. A man never feels the loss of things which it never occurs to him to ask for; he is just as happy without them; whilst another, who may have a hundred times as much, feels miserable because he has not got the one thing he wants. In fact, here too, every man has an horizon of his own, and he will expect as much as he thinks it is possible for him to get. If an object within his horizon looks as though he could confidently reckon on getting it, he is happy; but if difficulties come in the way, he is miserable. What lies beyond his horizon has no effect at all upon him. So it is that the vast possessions of the rich do not agitate the poor, and conversely, that a wealthy man is not consoled by all his wealth for the failure of his hopes. Riches, one may say, are like sea-water; the more you drink the thirstier you become; and the same is true of fame. The loss of wealth and prosperity leaves a man, as

soon as the first pangs of grief are over, in very much the same habitual temper as before; and the reason of this is, that as soon as fate diminishes the amount of his possessions, he himself immediately reduces the amount of his claims. But when misfortune comes upon us, to reduce the amount of our claims is just what is most painful; once that we have done so, the pain becomes less and less, and is felt no more; like an old wound which has healed. Conversely, when a piece of good fortune befalls us, our claims mount higher and higher, as there is nothing to regulate them; it is in this feeling of expansion that the delight of it lies. But it lasts no longer than the process itself, and when the expansion is complete, the delight ceases; we have become accustomed to the increase in our claims, and consequently indifferent to the amount of wealth which satisfies them. There is a passage in the *Odyssey* illustrating this truth, of which I may quote the last two lines:

[Greek: Toios gar noos estin epichthonion anthropon  
Oion eth aemar agei pataer andron te theou te]

— the thoughts of man that dwells on the earth are as the day granted him by the father of gods and men. Discontent springs from a constant endeavor to increase the amount of our claims, when we are powerless to increase the amount which will satisfy them.

When we consider how full of needs the human race is, how its whole existence is based upon them, it is not a matter for surprise that *wealth* is held in more sincere esteem, nay, in greater honor, than anything else in the world; nor ought we to wonder that gain is made the only good of life, and everything that does not lead to it pushed aside or thrown overboard — philosophy, for instance, by those who profess it. People are often reproached for wishing for money above all things, and for loving it more than anything else; but it is natural and even inevitable for people to love that which, like an unwearied Proteus, is always ready to turn itself into whatever object their wandering wishes or manifold desires may for the moment fix upon. Everything else can satisfy only *one* wish, *one* need: food is good only if you are hungry; wine, if you are able to enjoy it; drugs, if you are sick; fur for the winter; love for youth, and so on. These are all only relatively good, [Greek: agatha pros ti]. Money alone is absolutely good,

because it is not only a concrete satisfaction of one need in particular; it is an abstract satisfaction of all.

If a man has an independent fortune, he should regard it as a bulwark against the many evils and misfortunes which he may encounter; he should not look upon it as giving him leave to get what pleasure he can out of the world, or as rendering it incumbent upon him to spend it in this way. People who are not born with a fortune, but end by making a large one through the exercise of whatever talents they possess, almost always come to think that their talents are their capital, and that the money they have gained is merely the interest upon it; they do not lay by a part of their earnings to form a permanent capital, but spend their money much as they have earned it. Accordingly, they often fall into poverty; their earnings decreased, or come to an end altogether, either because their talent is exhausted by becoming antiquated, — as, for instance, very often happens in the case of fine art; or else it was valid only under a special conjunction of circumstances which has now passed away. There is nothing to prevent those who live on the common labor of their hands from treating their earnings in that way if they like; because their kind of skill is not likely to disappear, or, if it does, it can be replaced by that of their fellow-workmen; moreover, the kind of work they do is always in demand; so that what the proverb says is quite true, *a useful trade is a mine of gold*. But with artists and professionals of every kind the case is quite different, and that is the reason why they are well paid. They ought to build up a capital out of their earnings; but they recklessly look upon them as merely interest, and end in ruin. On the other hand, people who inherit money know, at least, how to distinguish between capital and interest, and most of them try to make their capital secure and not encroach upon it; nay, if they can, they put by at least an eighth of their interests in order to meet future contingencies. So most of them maintain their position. These few remarks about capital and interest are not applicable to commercial life, for merchants look upon money only as a means of further gain, just as a workman regards his tools; so even if their capital has been entirely the result of their own efforts, they try to preserve and increase it by using it. Accordingly, wealth is nowhere so much at home as in the merchant class.

It will generally be found that those who know what it is to have been in need and destitution are very much less afraid of it, and consequently more inclined to extravagance, than those who know poverty only by hearsay.

People who have been born and bred in good circumstances are as a rule much more careful about the future, more economical, in fact, than those who, by a piece of good luck, have suddenly passed from poverty to wealth. This looks as if poverty were not really such a very wretched thing as it appears from a distance. The true reason, however, is rather the fact that the man who has been born into a position of wealth comes to look upon it as something without which he could no more live than he could live without air; he guards it as he does his very life; and so he is generally a lover of order, prudent and economical. But the man who has been born into a poor position looks upon it as the natural one, and if by any chance he comes in for a fortune, he regards it as a superfluity, something to be enjoyed or wasted, because, if it comes to an end, he can get on just as well as before, with one anxiety the less; or, as Shakespeare says in Henry VI.,

*.... the adage must be verified That beggars mounted run their horse to death.*

But it should be said that people of this kind have a firm and excessive trust, partly in fate, partly in the peculiar means which have already raised them out of need and poverty, — a trust not only of the head, but of the heart also; and so they do not, like the man born rich, look upon the shallows of poverty as bottomless, but console themselves with the thought that once they have touched ground again, they can take another upward flight. It is this trait in human character which explains the fact that women who were poor before their marriage often make greater claims, and are more extravagant, than those who have brought their husbands a rich dowry; because, as a rule, rich girls bring with them, not only a fortune, but also more eagerness, nay, more of the inherited instinct, to preserve it, than poor girls do. If anyone doubts the truth of this, and thinks that it is just the opposite, he will find authority for his view in Ariosto's first Satire; but, on the other hand, Dr. Johnson agrees with my opinion. *A woman of fortune*, he says, *being used to the handling of money, spends it judiciously; but a woman who gets the command of money for the first time upon her marriage, has such a gusto in spending it, that she throws it away with great profusion.* And in any case let me advise anyone who marries a poor girl not to leave her the capital but only the interest, and to take especial care that she has not the management of the children's fortune.

I do not by any means think that I am touching upon a subject which is not worth my while to mention when I recommend people to be careful to

preserve what they have earned or inherited. For to start life with just as much as will make one independent, that is, allow one to live comfortably without having to work — even if one has only just enough for oneself, not to speak of a family — is an advantage which cannot be over-estimated; for it means exemption and immunity from that chronic disease of penury, which fastens on the life of man like a plague; it is emancipation from that forced labor which is the natural lot of every mortal. Only under a favorable fate like this can a man be said to be born free, to be, in the proper sense of the word, *sui juris*, master of his own time and powers, and able to say every morning, *This day is my own*. And just for the same reason the difference between the man who has a hundred a year and the man who has a thousand, is infinitely smaller than the difference between the former and a man who has nothing at all. But inherited wealth reaches its utmost value when it falls to the individual endowed with mental powers of a high order, who is resolved to pursue a line of life not compatible with the making of money; for he is then doubly endowed by fate and can live for his genius; and he will pay his debt to mankind a hundred times, by achieving what no other could achieve, by producing some work which contributes to the general good, and redounds to the honor of humanity at large. Another, again, may use his wealth to further philanthropic schemes, and make himself well-deserving of his fellowmen. But a man who does none of these things, who does not even try to do them, who never attempts to learn the rudiments of any branch of knowledge so that he may at least do what he can towards promoting it — such a one, born as he is into riches, is a mere idler and thief of time, a contemptible fellow. He will not even be happy, because, in his case, exemption from need delivers him up to the other extreme of human suffering, boredom, which is such martyrdom to him, that he would have been better off if poverty had given him something to do. And as he is bored he is apt to be extravagant, and so lose the advantage of which he showed himself unworthy. Countless numbers of people find themselves in want, simply because, when they had money, they spent it only to get momentary relief from the feeling of boredom which oppressed them.

It is quite another matter if one's object is success in political life, where favor, friends and connections are all-important, in order to mount by their aid step by step on the ladder of promotion, and perhaps gain the topmost rung. In this kind of life, it is much better to be cast upon the world without



a penny; and if the aspirant is not of noble family, but is a man of some talent, it will redound to his advantage to be an absolute pauper. For what every one most aims at in ordinary contact with his fellows is to prove them inferior to himself; and how much more is this the case in politics. Now, it is only an absolute pauper who has such a thorough conviction of his own complete, profound and positive inferiority from every point of view, of his own utter insignificance and worthlessness, that he can take his place quietly in the political machine. He is the only one who can keep on bowing low enough, and even go right down upon his face if necessary; he alone can submit to everything and laugh at it; he alone knows the entire worthlessness of merit; he alone uses his loudest voice and his boldest type whenever he has to speak or write of those who are placed over his head, or occupy any position of influence; and if they do a little scribbling, he is ready to applaud it as a masterwork. He alone understands how to beg, and so betimes, when he is hardly out of his boyhood, he becomes a high priest of that hidden mystery which Goethe brings to light.

*Über's Niederträchtige  
Niemand sich beklage:  
Denn es ist das Machtige  
Was man dir auch sage:*

— it is no use to complain of low aims; for, whatever people may say, they rule the world.

On the other hand, the man who is born with enough to live upon is generally of a somewhat independent turn of mind; he is accustomed to keep his head up; he has not learned all the arts of the beggar; perhaps he even presumes a little upon the possession of talents which, as he ought to know, can never compete with cringing mediocrity; in the long run he comes to recognize the inferiority of those who are placed over his head, and when they try to put insults upon him, he becomes refractory and shy. This is not the way to get on in the world. Nay, such a man may at least incline to the opinion freely expressed by Voltaire: *We have only two days to live; it is not worth our while to spend them in cringing to contemptible rascals.* But alas! let me observe by the way, that *contemptible rascal* is an attribute which may be predicated of an abominable number of people.

What Juvenal says — it is difficult to rise if your poverty is greater than your talent —

*Haud facile emergunt quorum virtutibus obstat  
Res angusta domi* —

is more applicable to a career of art and literature than to a political and social ambition.

Wife and children I have not reckoned amongst a man's possessions: he is rather in their possession. It would be easier to include friends under that head; but a man's friends belong to him not a whit more than he belongs to them.

## CHAPTER IV.

### POSITION, OR A MAN'S PLACE IN THE ESTIMATION OF OTHERS.

#### *Section 1. — Reputation.*

By a peculiar weakness of human nature, people generally think too much about the opinion which others form of them; although the slightest reflection will show that this opinion, whatever it may be, is not in itself essential to happiness. Therefore it is hard to understand why everybody feels so very pleased when he sees that other people have a good opinion of him, or say anything flattering to his vanity. If you stroke a cat, it will purr; and, as inevitably, if you praise a man, a sweet expression of delight will appear on his face; and even though the praise is a palpable lie, it will be welcome, if the matter is one on which he prides himself. If only other people will applaud him, a man may console himself for downright misfortune or for the pittance he gets from the two sources of human happiness already discussed: and conversely, it is astonishing how infallibly a man will be annoyed, and in some cases deeply pained, by any wrong done to his feeling of self-importance, whatever be the nature, degree, or circumstances of the injury, or by any depreciation, slight, or disregard.

If the feeling of honor rests upon this peculiarity of human nature, it may have a very salutary effect upon the welfare of a great many people, as a substitute for morality; but upon their happiness, more especially upon that peace of mind and independence which are so essential to happiness, its effect will be disturbing and prejudicial rather than salutary. Therefore it is advisable, from our point of view, to set limits to this weakness, and duly to consider and rightly to estimate the relative value of advantages, and thus temper, as far as possible, this great susceptibility to other people's opinion, whether the opinion be one flattering to our vanity, or whether it causes us pain; for in either case it is the same feeling which is touched. Otherwise, a man is the slave of what other people are pleased to think, — and how little it requires to disconcert or soothe the mind that is greedy of praise:

*Sic leve, sic parvum est, animum quod laudis avarum Subruit ac reficit.*

Therefore it will very much conduce to our happiness if we duly compare the value of what a man is in and for himself with what he is in the

eyes of others. Under the former conies everything that fills up the span of our existence and makes it what it is, in short, all the advantages already considered and summed up under the heads of personality and property; and the sphere in which all this takes place is the man's own consciousness. On the other hand, the sphere of what we are for other people is their consciousness, not ours; it is the kind of figure we make in their eyes, together with the thoughts which this arouses. But this is something which has no direct and immediate existence for us, but can affect us only mediately and indirectly, so far, that is, as other people's behavior towards us is directed by it; and even then it ought to affect us only in so far as it can move us to modify *what we are in and for ourselves*. Apart from this, what goes on in other people's consciousness is, as such, a matter of indifference to us; and in time we get really indifferent to it, when we come to see how superficial and futile are most people's thoughts, how narrow their ideas, how mean their sentiments, how perverse their opinions, and how much of error there is in most of them; when we learn by experience with what depreciation a man will speak of his fellow, when he is not obliged to fear him, or thinks that what he says will not come to his ears. And if ever we have had an opportunity of seeing how the greatest of men will meet with nothing but slight from half-a-dozen blockheads, we shall understand that to lay great value upon what other people say is to pay them too much honor.

At all events, a man is in a very bad way, who finds no source of happiness in the first two classes of blessings already treated of, but has to seek it in the third, in other words, not in what he is in himself, but in what he is in the opinion of others. For, after all, the foundation of our whole nature, and, therefore, of our happiness, is our physique, and the most essential factor in happiness is health, and, next in importance after health, the ability to maintain ourselves in independence and freedom from care. There can be no competition or compensation between these essential factors on the one side, and honor, pomp, rank and reputation on the other, however much value we may set upon the latter. No one would hesitate to sacrifice the latter for the former, if it were necessary. We should add very much to our happiness by a timely recognition of the simple truth that every man's chief and real existence is in his own skin, and not in other people's opinions; and, consequently, that the actual conditions of our personal life, — health, temperament, capacity, income, wife, children, friends, home, are a hundred times more important for our happiness than what other people

are pleased to think of us: otherwise we shall be miserable. And if people insist that honor is dearer than life itself, what they really mean is that existence and well-being are as nothing compared with other people's opinions. Of course, this may be only an exaggerated way of stating the prosaic truth that reputation, that is, the opinion others have of us, is indispensable if we are to make any progress in the world; but I shall come back to that presently. When we see that almost everything men devote their lives to attain, sparing no effort and encountering a thousand toils and dangers in the process, has, in the end, no further object than to raise themselves in the estimation of others; when we see that not only offices, titles, decorations, but also wealth, nay, even knowledge and art, are striven for only to obtain, as the ultimate goal of all effort, greater respect from one's fellowmen, — is not this a lamentable proof of the extent to which human folly can go? To set much too high a value on other people's opinion is a common error everywhere; an error, it may be, rooted in human nature itself, or the result of civilization, and social arrangements generally; but, whatever its source, it exercises a very immoderate influence on all we do, and is very prejudicial to our happiness. We can trace it from a timorous and slavish regard for what other people will say, up to the feeling which made Virginius plunge the dagger into his daughter's heart, or induces many a man to sacrifice quiet, riches, health and even life itself, for posthumous glory. Undoubtedly this feeling is a very convenient instrument in the hands of those who have the control or direction of their fellowmen; and accordingly we find that in every scheme for training up humanity in the way it should go, the maintenance and strengthening of the feeling of honor occupies an important place. But it is quite a different matter in its effect on human happiness, of which it is here our object to treat; and we should rather be careful to dissuade people from setting too much store by what others think of them. Daily experience shows us, however, that this is just the mistake people persist in making; most men set the utmost value precisely on what other people think, and are more concerned about it than about what goes on in their own consciousness, which is the thing most immediately and directly present to them. They reverse the natural order, — regarding the opinions of others as real existence and their own consciousness as something shadowy; making the derivative and secondary into the principal, and considering the picture they present to the world of more importance than their own selves. By thus trying to get a direct and

immediate result out of what has no really direct or immediate existence, they fall into the kind of folly which is called *vanity* — the appropriate term for that which has no solid or intrinsic value. Like a miser, such people forget the end in their eagerness to obtain the means.

The truth is that the value we set upon the opinion of others, and our constant endeavor in respect of it, are each quite out of proportion to any result we may reasonably hope to attain; so that this attention to other people's attitude may be regarded as a kind of universal mania which every one inherits. In all we do, almost the first thing we think about is, what will people say; and nearly half the troubles and bothers of life may be traced to our anxiety on this score; it is the anxiety which is at the bottom of all that feeling of self-importance, which is so often mortified because it is so very morbidly sensitive. It is solicitude about what others will say that underlies all our vanity and pretension, yes, and all our show and swagger too. Without it, there would not be a tenth part of the luxury which exists. Pride in every form, *point d'honneur* and *punctilio*, however varied their kind or sphere, are at bottom nothing but this — anxiety about what others will say — and what sacrifices it costs! One can see it even in a child; and though it exists at every period of life, it is strongest in age; because, when the capacity for sensual pleasure fails, vanity and pride have only avarice to share their dominion. Frenchmen, perhaps, afford the best example of this feeling, and amongst them it is a regular epidemic, appearing sometimes in the most absurd ambition, or in a ridiculous kind of national vanity and the most shameless boasting. However, they frustrate their own gains, for other people make fun of them and call them *la grande nation*.

By way of specially illustrating this perverse and exuberant respect for other people's opinion, let me take passage from the *Times* of March 31st, 1846, giving a detailed account of the execution of one Thomas Wix, an apprentice who, from motives of vengeance, had murdered his master. Here we have very unusual circumstances and an extraordinary character, though one very suitable for our purpose; and these combine to give a striking picture of this folly, which is so deeply rooted in human nature, and allow us to form an accurate notion of the extent to which it will go. On the morning of the execution, says the report, *the rev. ordinary was early in attendance upon him, but Wix, beyond a quiet demeanor, betrayed no interest in his ministrations, appearing to feel anxious only to acquit himself "bravely" before the spectators of his ignominious end.... In the*

*procession Wix fell into his proper place with alacrity, and, as he entered the Chapel-yard, remarked, sufficiently loud to be heard by several persons near him, "Now, then, as Dr. Dodd said, I shall soon know the grand secret." On reaching the scaffold, the miserable wretch mounted the drop without the slightest assistance, and when he got to the centre, he bowed to the spectators twice, a proceeding which called forth a tremendous cheer from the degraded crowd beneath.*

This is an admirable example of the way in which a man, with death in the most dreadful form before his very eyes, and eternity beyond it, will care for nothing but the impression he makes upon a crowd of gapers, and the opinion he leaves behind him in their heads. There was much the same kind of thing in the case of Lecompte, who was executed at Frankfurt, also in 1846, for an attempt on the king's life. At the trial he was very much annoyed that he was not allowed to appear, in decent attire, before the Upper House; and on the day of the execution it was a special grief to him that he was not permitted to shave. It is not only in recent times that this kind of thing has been known to happen. Mateo Aleman tells us, in the Introduction to his celebrated romance, *Juzman de Alfarache*, that many infatuated criminals, instead of devoting their last hours to the welfare of their souls, as they ought to have done, neglect this duty for the purpose of preparing and committing to memory a speech to be made from the scaffold.

I take these extreme cases as being the best illustrations to what I mean; for they give us a magnified reflection of our own nature. The anxieties of all of us, our worries, vexations, bothers, troubles, uneasy apprehensions and strenuous efforts are due, in perhaps the large majority of instances, to what other people will say; and we are just as foolish in this respect as those miserable criminals. Envy and hatred are very often traceable to a similar source.

Now, it is obvious that happiness, which consists for the most part in peace of mind and contentment, would be served by nothing so much as by reducing this impulse of human nature within reasonable limits, — which would perhaps make it one fiftieth part of what it is now. By doing so, we should get rid of a thorn in the flesh which is always causing us pain. But it is a very difficult task, because the impulse in question is a natural and innate perversity of human nature. Tacitus says, *The lust of fame is the last that a wise man shakes off* The only way of putting an end to this universal

folly is to see clearly that it is a folly; and this may be done by recognizing the fact that most of the opinions in men's heads are apt to be false, perverse, erroneous and absurd, and so in themselves unworthy of attention; further, that other people's opinions can have very little real and positive influence upon us in most of the circumstances and affairs of life. Again, this opinion is generally of such an unfavorable character that it would worry a man to death to hear everything that was said of him, or the tone in which he was spoken of. And finally, among other things, we should be clear about the fact that honor itself has no really direct, but only an indirect, value. If people were generally converted from this universal folly, the result would be such an addition to our piece of mind and cheerfulness as at present seems inconceivable; people would present a firmer and more confident front to the world, and generally behave with less embarrassment and restraint. It is observable that a retired mode of life has an exceedingly beneficial influence on our peace of mind, and this is mainly because we thus escape having to live constantly in the sight of others, and pay everlasting regard to their casual opinions; in a word, we are able to return upon ourselves. At the same time a good deal of positive misfortune might be avoided, which we are now drawn into by striving after shadows, or, to speak more correctly, by indulging a mischievous piece of folly; and we should consequently have more attention to give to solid realities and enjoy them with less interruption than at present. But [Greek: chalepa ga kala] — what is worth doing is hard to do.

*Section 2. — Pride.*

The folly of our nature which we are discussing puts forth three shoots, ambition, vanity and pride. The difference between the last two is this: *pride* is an established conviction of one's own paramount worth in some particular respect; while *vanity* is the desire of rousing such a conviction in others, and it is generally accompanied by the secret hope of ultimately coming to the same conviction oneself. Pride works *from within*; it is the direct appreciation of oneself. Vanity is the desire to arrive at this appreciation indirectly, *from without*. So we find that vain people are talkative, proud, and taciturn. But the vain person ought to be aware that the good opinion of others, which he strives for, may be obtained much more easily and certainly by persistent silence than by speech, even though he has very good things to say. Anyone who wishes to affect pride is not therefore



a proud man; but he will soon have to drop this, as every other, assumed character.

It is only a firm, unshakeable conviction of pre-eminent worth and special value which makes a man proud in the true sense of the word, — a conviction which may, no doubt, be a mistaken one or rest on advantages which are of an adventitious and conventional character: still pride is not the less pride for all that, so long as it be present in real earnest. And since pride is thus rooted in conviction, it resembles every other form of knowledge in not being within our own arbitrament. Pride's worst foe, — I mean its greatest obstacle, — is vanity, which courts the applause of the world in order to gain the necessary foundation for a high opinion of one's own worth, whilst pride is based upon a pre-existing conviction of it.

It is quite true that pride is something which is generally found fault with, and cried down; but usually, I imagine, by those who have nothing upon which they can pride themselves. In view of the impudence and foolhardiness of most people, anyone who possesses any kind of superiority or merit will do well to keep his eyes fixed on it, if he does not want it to be entirely forgotten; for if a man is good-natured enough to ignore his own privileges, and hob-nob with the generality of other people, as if he were quite on their level, they will be sure to treat him, frankly and candidly, as one of themselves. This is a piece of advice I would specially offer to those whose superiority is of the highest kind — real superiority, I mean, of a purely personal nature — which cannot, like orders and titles, appeal to the eye or ear at every moment; as, otherwise, they will find that familiarity breeds contempt, or, as the Romans used to say, *sus Minervam*. *Joke with a slave, and he'll soon show his heels*, is an excellent Arabian proverb; nor ought we to despise what Horace says,

*Sume superbiam Quaesitam meritis.*

— usurp the fame you have deserved. No doubt, when modesty was made a virtue, it was a very advantageous thing for the fools; for everybody is expected to speak of himself as if he were one. This is leveling down indeed; for it comes to look as if there were nothing but fools in the world.

The cheapest sort of pride is national pride; for if a man is proud of his own nation, it argues that he has no qualities of his own of which he can be proud; otherwise he would not have recourse to those which he shares with so many millions of his fellowmen. The man who is endowed with important personal qualities will be only too ready to see clearly in what

respects his own nation falls short, since their failings will be constantly before his eyes. But every miserable fool who has nothing at all of which he can be proud adopts, as a last resource, pride in the nation to which he belongs; he is ready and glad to defend all its faults and follies tooth and nail, thus reimbursing himself for his own inferiority. For example, if you speak of the stupid and degrading bigotry of the English nation with the contempt it deserves, you will hardly find one Englishman in fifty to agree with you; but if there should be one, he will generally happen to be an intelligent man.

The Germans have no national pride, which shows how honest they are, as everybody knows! and how dishonest are those who, by a piece of ridiculous affectation, pretend that they are proud of their country — the *Deutsche Bruder* and the demagogues who flatter the mob in order to mislead it. I have heard it said that gunpowder was invented by a German. I doubt it. Lichtenberg asks, *Why is it that a man who is not a German does not care about pretending that he is one; and that if he makes any pretence at all, it is to be a Frenchman or an Englishman?*

However that may be, individuality is a far more important thing than nationality, and in any given man deserves a thousand-fold more consideration. And since you cannot speak of national character without referring to large masses of people, it is impossible to be loud in your praises and at the same time honest. National character is only another name for the particular form which the littleness, perversity and baseness of mankind take in every country. If we become disgusted with one, we praise another, until we get disgusted with this too. Every nation mocks at other nations, and all are right.

The contents of this chapter, which treats, as I have said, of what we represent in the world, or what we are in the eyes of others, may be further distributed under three heads: honor rank and fame.

### *Section 3. — Rank.*

Let us take rank first, as it may be dismissed in a few words, although it plays an important part in the eyes of the masses and of the philistines, and is a most useful wheel in the machinery of the State.

It has a purely conventional value. Strictly speaking, it is a sham; its method is to exact an artificial respect, and, as a matter of fact, the whole thing is a mere farce.

Orders, it may be said, are bills of exchange drawn on public opinion, and the measure of their value is the credit of the drawer. Of course, as a substitute for pensions, they save the State a good deal of money; and, besides, they serve a very useful purpose, if they are distributed with discrimination and judgment. For people in general have eyes and ears, it is true; but not much else, very little judgment indeed, or even memory. There are many services of the State quite beyond the range of their understanding; others, again, are appreciated and made much of for a time, and then soon forgotten. It seems to me, therefore, very proper, that a cross or a star should proclaim to the mass of people always and everywhere, *This man is not like you; he has done something*. But orders lose their value when they are distributed unjustly, or without due selection, or in too great numbers: a prince should be as careful in conferring them as a man of business is in signing a bill. It is a pleonasm to inscribe on any order *for distinguished service*; for every order ought to be for distinguished service. That stands to reason.

*Section 4. — Honor.*

Honor is a much larger question than rank, and more difficult to discuss. Let us begin by trying to define it.

If I were to say *Honor is external conscience, and conscience is inward honor*, no doubt a good many people would assent; but there would be more show than reality about such a definition, and it would hardly go to the root of the matter. I prefer to say, *Honor is, on its objective side, other people's opinion of what we are worth; on its subjective side, it is the respect we pay to this opinion*. From the latter point of view, to be *a man of honor* is to exercise what is often a very wholesome, but by no means a purely moral, influence.

The feelings of honor and shame exist in every man who is not utterly depraved, and honor is everywhere recognized as something particularly valuable. The reason of this is as follows. By and in himself a man can accomplish very little; he is like Robinson Crusoe on a desert island. It is only in society that a man's powers can be called into full activity. He very soon finds this out when his consciousness begins to develop, and there arises in him the desire to be looked upon as a useful member of society, as one, that is, who is capable of playing his part as a man — *pro parte virili* — thereby acquiring a right to the benefits of social life. Now, to be a useful member of society, one must do two things: firstly, what everyone is

expected to do everywhere; and, secondly, what one's own particular position in the world demands and requires.

But a man soon discovers that everything depends upon his being useful, not in his own opinion, but in the opinion of others; and so he tries his best to make that favorable impression upon the world, to which he attaches such a high value. Hence, this primitive and innate characteristic of human nature, which is called the feeling of honor, or, under another aspect, the feeling of shame — *verecundia*. It is this which brings a blush to his cheeks at the thought of having suddenly to fall in the estimation of others, even when he knows that he is innocent, nay, even if his remissness extends to no absolute obligation, but only to one which he has taken upon himself of his own free will. Conversely, nothing in life gives a man so much courage as the attainment or renewal of the conviction that other people regard him with favor; because it means that everyone joins to give him help and protection, which is an infinitely stronger bulwark against the ills of life than anything he can do himself.

The variety of relations in which a man can stand to other people so as to obtain their confidence, that is, their good opinion, gives rise to a distinction between several kinds of honor, resting chiefly on the different bearings that *meum* may take to *tuum*; or, again, on the performance of various pledges; or finally, on the relation of the sexes. Hence, there are three main kinds of honor, each of which takes various forms — civic honor, official honor, and sexual honor.

*Civic honor* has the widest sphere of all. It consists in the assumption that we shall pay unconditional respect to the rights of others, and, therefore, never use any unjust or unlawful means of getting what we want. It is the condition of all peaceable intercourse between man and man; and it is destroyed by anything that openly and manifestly militates against this peaceable intercourse, anything, accordingly, which entails punishment at the hands of the law, always supposing that the punishment is a just one.

The ultimate foundation of honor is the conviction that moral character is unalterable: a single bad action implies that future actions of the same kind will, under similar circumstances, also be bad. This is well expressed by the English use of the word *character* as meaning credit, reputation, honor. Hence honor, once lost, can never be recovered; unless the loss rested on some mistake, such as may occur if a man is slandered or his actions viewed in a false light. So the law provides remedies against slander, libel,

and even insult; for insult though it amounts to no more than mere abuse, is a kind of summary slander with a suppression of the reasons. What I mean may be well put in the Greek phrase — not quoted from any author — [Greek: *estin hae loidoria diabolae*]. It is true that if a man abuses another, he is simply showing that he has no real or true causes of complaint against him; as, otherwise, he would bring these forward as the premises, and rely upon his hearers to draw the conclusion themselves: instead of which, he gives the conclusion and leaves out the premises, trusting that people will suppose that he has done so only for the sake of being brief.

Civic honor draws its existence and name from the middle classes; but it applies equally to all, not excepting the highest. No man can disregard it, and it is a very serious thing, of which every one should be careful not to make light. The man who breaks confidence has for ever forfeited confidence, whatever he may do, and whoever he may be; and the bitter consequences of the loss of confidence can never be averted.

There is a sense in which honor may be said to have a *negative* character in opposition to the *positive* character of fame. For honor is not the opinion people have of particular qualities which a man may happen to possess exclusively: it is rather the opinion they have of the qualities which a man may be expected to exhibit, and to which he should not prove false. Honor, therefore, means that a man is not exceptional; fame, that he is. Fame is something which must be won; honor, only something which must not be lost. The absence of fame is obscurity, which is only a negative; but loss of honor is shame, which is a positive quality. This negative character of honor must not be confused with anything *passive*; for honor is above all things active in its working. It is the only quality which proceeds *directly* from the man who exhibits it; it is concerned entirely with what he does and leaves undone, and has nothing to do with the actions of others or the obstacles they place in his way. It is something entirely in our own power — [Greek: *ton ephaemon*]. This distinction, as we shall see presently, marks off true honor from the sham honor of chivalry.

Slander is the only weapon by which honor can be attacked from without; and the only way to repel the attack is to confute the slander with the proper amount of publicity, and a due unmasking of him who utters it.

The reason why respect is paid to age is that old people have necessarily shown in the course of their lives whether or not they have been able to maintain their honor unblemished; while that of young people has not been

put to the proof, though they are credited with the possession of it. For neither length of years, — equalled, as it is, and even excelled, in the case of the lower animals, — nor, again, experience, which is only a closer knowledge of the world's ways, can be any sufficient reason for the respect which the young are everywhere required to show towards the old: for if it were merely a matter of years, the weakness which attends on age would call rather for consideration than for respect. It is, however, a remarkable fact that white hair always commands reverence — a reverence really innate and instinctive. Wrinkles — a much surer sign of old age — command no reverence at all; you never hear any one speak of *venerable wrinkles*; but *venerable white hair* is a common expression.

Honor has only an indirect value. For, as I explained at the beginning of this chapter, what other people think of us, if it affects us at all, can affect us only in so far as it governs their behavior towards us, and only just so long as we live with, or have to do with, them. But it is to society alone that we owe that safety which we and our possessions enjoy in a state of civilization; in all we do we need the help of others, and they, in their turn, must have confidence in us before they can have anything to do with us. Accordingly, their opinion of us is, indirectly, a matter of great importance; though I cannot see how it can have a direct or immediate value. This is an opinion also held by Cicero. I *quite agree*, he writes, *with what Chrysippus and Diogenes used to say, that a good reputation is not worth raising a finger to obtain, if it were not that it is so useful*. This truth has been insisted upon at great length by Helvetius in his chief work *De l'Esprit*, the conclusion of which is that *we love esteem not for its own sake, but solely for the advantages which it brings*. And as the means can never be more than the end, that saying, of which so much is made, *Honor is dearer than life itself*, is, as I have remarked, a very exaggerated statement. So much then, for civic honor.

*Official honor* is the general opinion of other people that a man who fills any office really has the necessary qualities for the proper discharge of all the duties which appertain to it. The greater and more important the duties a man has to discharge in the State, and the higher and more influential the office which he fills, the stronger must be the opinion which people have of the moral and intellectual qualities which render him fit for his post. Therefore, the higher his position, the greater must be the degree of honor

paid to him, expressed, as it is, in titles, orders and the generally subservient behavior of others towards him. As a rule, a man's official rank implies the particular degree of honor which ought to be paid to him, however much this degree may be modified by the capacity of the masses to form any notion of its importance. Still, as a matter of fact, greater honor is paid to a man who fulfills special duties than to the common citizen, whose honor mainly consists in keeping clear of dishonor.

Official honor demands, further, that the man who occupies an office must maintain respect for it, for the sake both of his colleagues and of those who will come after him. This respect an official can maintain by a proper observance of his duties, and by repelling any attack that may be made upon the office itself or upon its occupant: he must not, for instance, pass over unheeded any statement to the effect that the duties of the office are not properly discharged, or that the office itself does not conduce to the public welfare. He must prove the unwarrantable nature of such attacks by enforcing the legal penalty for them.

Subordinate to the honor of official personages comes that of those who serve the State in any other capacity, as doctors, lawyers, teachers, anyone, in short, who, by graduating in any subject, or by any other public declaration that he is qualified to exercise some special skill, claims to practice it; in a word, the honor of all those who take any public pledges whatever. Under this head comes military honor, in the true sense of the word, the opinion that people who have bound themselves to defend their country really possess the requisite qualities which will enable them to do so, especially courage, personal bravery and strength, and that they are perfectly ready to defend their country to the death, and never and under any circumstances desert the flag to which they have once sworn allegiance. I have here taken official honor in a wider sense than that in which it is generally used, namely, the respect due by citizens to an office itself.

In treating of *sexual honor* and the principles on which it rests, a little more attention and analysis are necessary; and what I shall say will support my contention that all honor really rests upon a utilitarian basis. There are two natural divisions of the subject — the honor of women and the honor of men, in either side issuing in a well-understood *esprit de corps*. The former is by far the more important of the two, because the most essential feature in woman's life is her relation to man.

Female honor is the general opinion in regard to a girl that she is pure, and in regard to a wife that she is faithful. The importance of this opinion rests upon the following considerations. Women depend upon men in all the relations of life; men upon women, it might be said, in one only. So an arrangement is made for mutual interdependence — man undertaking responsibility for all woman's needs and also for the children that spring from their union — an arrangement on which is based the welfare of the whole female race. To carry out this plan, women have to band together with a show of *esprit de corps*, and present one undivided front to their common enemy, man, — who possesses all the good things of the earth, in virtue of his superior physical and intellectual power, — in order to lay siege to and conquer him, and so get possession of him and a share of those good things. To this end the honor of all women depends upon the enforcement of the rule that no woman should give herself to a man except in marriage, in order that every man may be forced, as it were, to surrender and ally himself with a woman; by this arrangement provision is made for the whole of the female race. This is a result, however, which can be obtained only by a strict observance of the rule; and, accordingly, women everywhere show true *esprit de corps* in carefully insisting upon its maintenance. Any girl who commits a breach of the rule betrays the whole female race, because its welfare would be destroyed if every woman were to do likewise; so she is cast out with shame as one who has lost her honor. No woman will have anything more to do with her; she is avoided like the plague. The same doom is awarded to a woman who breaks the marriage tie; for in so doing she is false to the terms upon which the man capitulated; and as her conduct is such as to frighten other men from making a similar surrender, it imperils the welfare of all her sisters. Nay, more; this deception and coarse breach of troth is a crime punishable by the loss, not only of personal, but also of civic honor. This is why we minimize the shame of a girl, but not of a wife; because, in the former case, marriage can restore honor, while in the latter, no atonement can be made for the breach of contract.

Once this *esprit de corps* is acknowledged to be the foundation of female honor, and is seen to be a wholesome, nay, a necessary arrangement, as at bottom a matter of prudence and interest, its extreme importance for the welfare of women will be recognized. But it does not possess anything more than a relative value. It is no absolute end, lying beyond all other aims



of existence and valued above life itself. In this view, there will be nothing to applaud in the forced and extravagant conduct of a Lucretia or a Virginius — conduct which can easily degenerate into tragic farce, and produce a terrible feeling of revulsion. The conclusion of *Emilia Galotti*, for instance, makes one leave the theatre completely ill at ease; and, on the other hand, all the rules of female honor cannot prevent a certain sympathy with Clara in *Egmont*. To carry this principle of female honor too far is to forget the end in thinking of the means — and this is just what people often do; for such exaggeration suggests that the value of sexual honor is absolute; while the truth is that it is more relative than any other kind. One might go so far as to say that its value is purely conventional, when one sees from Thomasius how in all ages and countries, up to the time of the Reformation, irregularities were permitted and recognized by law, with no derogation to female honor, — not to speak of the temple of Mylitta at Babylon.

There are also of course certain circumstances in civil life which make external forms of marriage impossible, especially in Catholic countries, where there is no such thing as divorce. Ruling princes everywhere, would, in my opinion, do much better, from a moral point of view, to dispense with forms altogether rather than contract a morganatic marriage, the descendants of which might raise claims to the throne if the legitimate stock happened to die out; so that there is a possibility, though, perhaps, a remote one, that a morganatic marriage might produce a civil war. And, besides, such a marriage, concluded in defiance of all outward ceremony, is a concession made to women and priests — two classes of persons to whom one should be most careful to give as little tether as possible. It is further to be remarked that every man in a country can marry the woman of his choice, except one poor individual, namely, the prince. His hand belongs to his country, and can be given in marriage only for reasons of State, that is, for the good of the country. Still, for all that, he is a man; and, as a man, he likes to follow whither his heart leads. It is an unjust, ungrateful and priggish thing to forbid, or to desire to forbid, a prince from following his inclinations in this matter; of course, as long as the lady has no influence upon the Government of the country. From her point of view she occupies an exceptional position, and does not come under the ordinary rules of sexual honor; for she has merely given herself to a man who loves her, and whom she loves but cannot marry. And in general, the fact that the principle

of female honor has no origin in nature, is shown by the many bloody sacrifices which have been offered to it, — the murder of children and the mother's suicide. No doubt a girl who contravenes the code commits a breach of faith against her whole sex; but this faith is one which is only secretly taken for granted, and not sworn to. And since, in most cases, her own prospects suffer most immediately, her folly is infinitely greater than her crime.

The corresponding virtue in men is a product of the one I have been discussing. It is their *esprit de corps*, which demands that, once a man has made that surrender of himself in marriage which is so advantageous to his conqueror, he shall take care that the terms of the treaty are maintained; both in order that the agreement itself may lose none of its force by the permission of any laxity in its observance, and that men, having given up everything, may, at least, be assured of their bargain, namely, exclusive possession. Accordingly, it is part of a man's honor to resent a breach of the marriage tie on the part of his wife, and to punish it, at the very least by separating from her. If he condones the offence, his fellowmen cry shame upon him; but the shame in this case is not nearly so foul as that of the woman who has lost her honor; the stain is by no means of so deep a dye — *levioris notae macula*; — because a man's relation to woman is subordinate to many other and more important affairs in his life. The two great dramatic poets of modern times have each taken man's honor as the theme of two plays; Shakespeare in *Othello* and *The Winter's Tale*, and Calderon in *El medico de su honra*, (The Physician of his Honor), and *A secreto agravio secreta venganza*, (for Secret Insult Secret Vengeance). It should be said, however, that honor demands the punishment of the wife only; to punish her paramour too, is a work of supererogation. This confirms the view I have taken, that a man's honor originates in *esprit de corps*.

The kind of honor which I have been discussing hitherto has always existed in its various forms and principles amongst all nations and at all times; although the history of female honor shows that its principles have undergone certain local modifications at different periods. But there is another species of honor which differs from this entirely, a species of honor of which the Greeks and Romans had no conception, and up to this day it is perfectly unknown amongst Chinese, Hindoos or Mohammedans. It is a kind of honor which arose only in the Middle Age, and is indigenous only to Christian Europe, nay, only to an extremely small portion of the

population, that is to say, the higher classes of society and those who ape them. It is *knightly honor*, or *point d'honneur*. Its principles are quite different from those which underlie the kind of honor I have been treating until now, and in some respects are even opposed to them. The sort I am referring to produces the *cavalier*; while the other kind creates the *man of honor*. As this is so, I shall proceed to give an explanation of its principles, as a kind of code or mirror of knightly courtesy.

(1.) To begin with, honor of this sort consists, not in other people's opinion of what we are worth, but wholly and entirely in whether they express it or not, no matter whether they really have any opinion at all, let alone whether they know of reasons for having one. Other people may entertain the worst opinion of us in consequence of what we do, and may despise us as much as they like; so long as no one dares to give expression to his opinion, our honor remains untarnished. So if our actions and qualities compel the highest respect from other people, and they have no option but to give this respect, — as soon as anyone, no matter how wicked or foolish he may be, utters something depreciatory of us, our honor is offended, nay, gone for ever, unless we can manage to restore it. A superfluous proof of what I say, namely, that knightly honor depends, not upon what people think, but upon what they say, is furnished by the fact that insults can be withdrawn, or, if necessary, form the subject of an apology, which makes them as though they had never been uttered. Whether the opinion which underlays the expression has also been rectified, and why the expression should ever have been used, are questions which are perfectly unimportant: so long as the statement is withdrawn, all is well. The truth is that conduct of this kind aims, not at earning respect, but at extorting it.

(2.) In the second place, this sort of honor rests, not on what a man does, but on what he suffers, the obstacles he encounters; differing from the honor which prevails in all else, in consisting, not in what he says or does himself, but in what another man says or does. His honor is thus at the mercy of every man who can talk it away on the tip of his tongue; and if he attacks it, in a moment it is gone for ever, — unless the man who is attacked manages to wrest it back again by a process which I shall mention presently, a process which involves danger to his life, health, freedom, property and peace of mind. A man's whole conduct may be in accordance with the most righteous and noble principles, his spirit may be the purest that ever breathed, his intellect of the very highest order; and yet his honor may

disappear the moment that anyone is pleased to insult him, anyone at all who has not offended against this code of honor himself, let him be the most worthless rascal or the most stupid beast, an idler, gambler, debtor, a man, in short, of no account at all. It is usually this sort of fellow who likes to insult people; for, as Seneca rightly remarks, *ut quisque contemtissimus et ludibrio est, ita solutissimae est*, the more contemptible and ridiculous a man is, — the readier he is with his tongue. His insults are most likely to be directed against the very kind of man I have described, because people of different tastes can never be friends, and the sight of pre-eminent merit is apt to raise the secret ire of a ne'er-do-well. What Goethe says in the *Westöstlicher Divan* is quite true, that it is useless to complain against your enemies; for they can never become your friends, if your whole being is a standing reproach to them: —

*Was klagst du über Feinde?  
Sollten Solche je werden Freunde  
Denen das Wesen, wie du bist,  
Im stillen ein ewiger Vorwurf ist?*

It is obvious that people of this worthless description have good cause to be thankful to the principle of honor, because it puts them on a level with people who in every other respect stand far above them. If a fellow likes to insult any one, attribute to him, for example, some bad quality, this is taken *prima facie* as a well-founded opinion, true in fact; a decree, as it were, with all the force of law; nay, if it is not at once wiped out in blood, it is a judgment which holds good and valid to all time. In other words, the man who is insulted remains — in the eyes of all *honorable people* — what the man who uttered the insult — even though he were the greatest wretch on earth — was pleased to call him; for he has *put up with* the insult — the technical term, I believe. Accordingly, all *honorable people* will have nothing more to do with him, and treat him like a leper, and, it may be, refuse to go into any company where he may be found, and so on.

This wise proceeding may, I think, be traced back to the fact that in the Middle Age, up to the fifteenth century, it was not the accuser in any criminal process who had to prove the guilt of the accused, but the accused who had to prove his innocence. This he could do by swearing he was not guilty; and his backers — *consacramentales* — had to come and swear that

in their opinion he was incapable of perjury. If he could find no one to help him in this way, or the accuser took objection to his backers, recourse was had to trial by *the Judgment of God*, which generally meant a duel. For the accused was now *in disgrace*, and had to clear himself. Here, then, is the origin of the notion of disgrace, and of that whole system which prevails now-a-days amongst *honorable people* — only that the oath is omitted. This is also the explanation of that deep feeling of indignation which *honorable people* are called upon to show if they are given the lie; it is a reproach which they say must be wiped out in blood. It seldom comes to this pass, however, though lies are of common occurrence; but in England, more than elsewhere, it is a superstition which has taken very deep root. As a matter of order, a man who threatens to kill another for telling a lie should never have told one himself. The fact is, that the criminal trial of the Middle Age also admitted of a shorter form. In reply to the charge, the accused answered: *That is a lie*; whereupon it was left to be decided by *the Judgment of God*. Hence, the code of knightly honor prescribes that, when the lie is given, an appeal to arms follows as a matter of course. So much, then, for the theory of insult.

But there is something even worse than insult, something so dreadful that I must beg pardon of all *honorable people* for so much as mentioning it in this code of knightly honor; for I know they will shiver, and their hair will stand on end, at the very thought of it — the *summum malum*, the greatest evil on earth, worse than death and damnation. A man may give another — *horrible dictu!* — a slap or a blow. This is such an awful thing, and so utterly fatal to all honor, that, while any other species of insult may be healed by blood-letting, this can be cured only by the *coup-de-grace*.

(3.) In the third place, this kind of honor has absolutely nothing to do with what a man may be in and for himself; or, again, with the question whether his moral character can ever become better or worse, and all such pedantic inquiries. If your honor happens to be attacked, or to all appearances gone, it can very soon be restored in its entirety if you are only quick enough in having recourse to the one universal remedy — *a duel*. But if the aggressor does not belong to the classes which recognize the code of knightly honor, or has himself once offended against it, there is a safer way of meeting any attack upon your honor, whether it consists in blows, or

merely in words. If you are armed, you can strike down your opponent on the spot, or perhaps an hour later. This will restore your honor.

But if you wish to avoid such an extreme step, from fear of any unpleasant consequences arising therefrom, or from uncertainty as to whether the aggressor is subject to the laws of knightly honor or not, there is another means of making your position good, namely, the *Avantage*. This consists in returning rudeness with still greater rudeness; and if insults are no use, you can try a blow, which forms a sort of climax in the redemption of your honor; for instance, a box on the ear may be cured by a blow with a stick, and a blow with a stick by a thrashing with a horsewhip; and, as the approved remedy for this last, some people recommend you to spit at your opponent. If all these means are of no avail, you must not shrink from drawing blood. And the reason for these methods of wiping out insult is, in this code, as follows:

(4.) To receive an insult is disgraceful; to give one, honorable. Let me take an example. My opponent has truth, right and reason on his side. Very well. I insult him. Thereupon right and honor leave him and come to me, and, for the time being, he has lost them — until he gets them back, not by the exercise of right or reason, but by shooting and sticking me. Accordingly, rudeness is a quality which, in point of honor, is a substitute for any other and outweighs them all. The rudest is always right. What more do you want? However stupid, bad or wicked a man may have been, if he is only rude into the bargain, he condones and legitimizes all his faults. If in any discussion or conversation, another man shows more knowledge, greater love of truth, a sounder judgment, better understanding than we, or generally exhibits intellectual qualities which cast ours into the shade, we can at once annul his superiority and our own shallowness, and in our turn be superior to him, by being insulting and offensive. For rudeness is better than any argument; it totally eclipses intellect. If our opponent does not care for our mode of attack, and will not answer still more rudely, so as to plunge us into the ignoble rivalry of the *Avantage*, we are the victors and honor is on our side. Truth, knowledge, understanding, intellect, wit, must beat a retreat and leave the field to this almighty insolence.

*Honorable people* immediately make a show of mounting their war-horse, if anyone utters an opinion adverse to theirs, or shows more intelligence than they can muster; and if in any controversy they are at a loss for a reply, they look about for some weapon of rudeness, which will

serve as well and come readier to hand; so they retire masters of the position. It must now be obvious that people are quite right in applauding this principle of honor as having ennobled the tone of society. This principle springs from another, which forms the heart and soul of the entire code.

(5.) Fifthly, the code implies that the highest court to which a man can appeal in any differences he may have with another on a point of honor is the court of physical force, that is, of brutality. Every piece of rudeness is, strictly speaking, an appeal to brutality; for it is a declaration that intellectual strength and moral insight are incompetent to decide, and that the battle must be fought out by physical force — a struggle which, in the case of man, whom Franklin defines as *a tool-making animal*, is decided by the weapons peculiar to the species; and the decision is irrevocable. This is the well-known principle of *right of might* — irony, of course, like *the wit of a fool*, a parallel phrase. The honor of a knight may be called the glory of might.

(6.) Lastly, if, as we saw above, civic honor is very scrupulous in the matter of *meum* and *tuum*, paying great respect to obligations and a promise once made, the code we are here discussing displays, on the other hand, the noblest liberality. There is only one word which may not be broken, *the word of honor* — upon my *honor*, as people say — the presumption being, of course, that every other form of promise may be broken. Nay, if the worst comes to the worst, it is easy to break even one's word of honor, and still remain honorable — again by adopting that universal remedy, the duel, and fighting with those who maintain that we pledged our word. Further, there is one debt, and one alone, that under no circumstances must be left unpaid — a gambling debt, which has accordingly been called *a debt of honor*. In all other kinds of debt you may cheat Jews and Christians as much as you like; and your knightly honor remains without a stain.

The unprejudiced reader will see at once that such a strange, savage and ridiculous code of honor as this has no foundation in human nature, nor any warrant in a healthy view of human affairs. The extremely narrow sphere of its operation serves only to intensify the feeling, which is exclusively confined to Europe since the Middle Age, and then only to the upper classes, officers and soldiers, and people who imitate them. Neither Greeks nor Romans knew anything of this code of honor or of its principles; nor the highly civilized nations of Asia, ancient or modern. Amongst them no other kind of honor is recognized but that which I discussed first, in virtue of

which a man is what he shows himself to be by his actions, not what any wagging tongue is pleased to say of him. They thought that what a man said or did might perhaps affect his own honor, but not any other man's. To them, a blow was but a blow — and any horse or donkey could give a harder one — a blow which under certain circumstances might make a man angry and demand immediate vengeance; but it had nothing to do with honor. No one kept account of blows or insulting words, or of the *satisfaction* which was demanded or omitted to be demanded. Yet in personal bravery and contempt of death, the ancients were certainly not inferior to the nations of Christian Europe. The Greeks and Romans were thorough heroes, if you like; but they knew nothing about *point d'honneur*. If *they* had any idea of a duel, it was totally unconnected with the life of the nobles; it was merely the exhibition of mercenary gladiators, slaves devoted to slaughter, condemned criminals, who, alternately with wild beasts, were set to butcher one another to make a Roman holiday. When Christianity was introduced, gladiatorial shows were done away with, and their place taken, in Christian times, by the duel, which was a way of settling difficulties by *the Judgment of God*.

If the gladiatorial fight was a cruel sacrifice to the prevailing desire for great spectacles, dueling is a cruel sacrifice to existing prejudices — a sacrifice, not of criminals, slaves and prisoners, but of the noble and the free.

There are a great many traits in the character of the ancients which show that they were entirely free from these prejudices. When, for instance, Marius was summoned to a duel by a Teutonic chief, he returned answer to the effect that, if the chief were tired of his life, he might go and hang himself; at the same time he offered him a veteran gladiator for a round or two. Plutarch relates in his life of Themistocles that Eurybiades, who was in command of the fleet, once raised his stick to strike him; whereupon Themistocles, instead of drawing his sword, simply said: *Strike, but hear me*. How sorry the reader must be, if he is an *honorable* man, to find that we have no information that the Athenian officers refused in a body to serve any longer under Themistocles, if he acted like that! There is a modern French writer who declares that if anyone considers Demosthenes a man of honor, his ignorance will excite a smile of pity; and that Cicero was not a man of honor either! In a certain passage in Plato's *Laws* the philosopher speaks at length of [Greek: aikia] or *assault*, showing us clearly enough that



the ancients had no notion of any feeling of honor in connection with such matters. Socrates' frequent discussions were often followed by his being severely handled, and he bore it all mildly. Once, for instance, when somebody kicked him, the patience with which he took the insult surprised one of his friends. *Do you think*, said Socrates, *that if an ass happened to kick me, I should resent it?* On another occasion, when he was asked, *Has not that fellow abused and insulted you?* No, was his answer, *what he says is not addressed to me* Stobaeus has preserved a long passage from Musonius, from which we can see how the ancients treated insults. They knew no other form of satisfaction than that which the law provided, and wise people despised even this. If a Greek received a box on the ear, he could get satisfaction by the aid of the law; as is evident from Plato's *Gorgias*, where Socrates' opinion may be found. The same thing may be seen in the account given by Gellius of one Lucius Veratius, who had the audacity to give some Roman citizens whom he met on the road a box on the ear, without any provocation whatever; but to avoid any ulterior consequences, he told a slave to bring a bag of small money, and on the spot paid the trivial legal penalty to the men whom he had astonished by his conduct.

Crates, the celebrated Cynic philosopher, got such a box on the ear from Nicodromus, the musician, that his face swelled up and became black and blue; whereupon he put a label on his forehead, with the inscription, *Nicodromus fecit*, which brought much disgrace to the fluteplayer who had committed such a piece of brutality upon the man whom all Athens honored as a household god. And in a letter to Melesippus, Diogenes of Sinope tells us that he got a beating from the drunken sons of the Athenians; but he adds that it was a matter of no importance. And Seneca devotes the last few chapters of his *De Constantia* to a lengthy discussion on insult — *contumelia*; in order to show that a wise man will take no notice of it. In Chapter XIV, he says, *What shall a wise man do, if he is given a blow? What Cato did, when some one struck him on the mouth; — not fire up or avenge the insult, or even return the blow, but simply ignore it.*

*Yes, you say, but these men were philosophers. — And you are fools, eh? Precisely.*

It is clear that the whole code of knightly honor was utterly unknown to the ancients; for the simple reason that they always took a natural and unprejudiced view of human affairs, and did not allow themselves to be influenced by any such vicious and abominable folly. A blow in the face was to them a blow and nothing more, a trivial physical injury; whereas the moderns make a catastrophe out of it, a theme for a tragedy; as, for instance, in the *Cid* of Corneille, or in a recent German comedy of middle-class life, called *The Power of Circumstance*, which should have been entitled *The Power of Prejudice*. If a member of the National Assembly at Paris got a blow on the ear, it would resound from one end of Europe to the other. The examples which I have given of the way in which such an occurrence would have been treated in classic times may not suit the ideas of *honorable people*; so let me recommend to their notice, as a kind of antidote, the story of Monsieur Desglands in Diderot's masterpiece, *Jacques le fataliste*. It is an excellent specimen of modern knightly honor, which, no doubt, they will find enjoyable and edifying.

From what I have said it must be quite evident that the principle of knightly honor has no essential and spontaneous origin in human nature. It is an artificial product, and its source is not hard to find. Its existence obviously dates from the time when people used their fists more than their heads, when priestcraft had enchained the human intellect, the much bepraised Middle Age, with its system of chivalry. That was the time when people let the Almighty not only care for them but judge for them too; when difficult cases were decided by an ordeal, a *Judgment of God*; which, with few exceptions, meant a duel, not only where nobles were concerned, but in the case of ordinary citizens as well. There is a neat illustration of this in Shakespeare's *Henry VI*. Every judicial sentence was subject to an appeal to arms — a court, as it were, of higher instance, namely, *the Judgment of God*: and this really meant that physical strength and activity, that is, our animal nature, usurped the place of reason on the judgment seat, deciding in matters of right and wrong, not by what a man had done, but by the force with which he was opposed, the same system, in fact, as prevails to-day under the principles of knightly honor. If any one doubts that such is really the origin of our modern duel, let him read an excellent work by J.B. Millingen, *The History of Dueling*. Nay, you may still find amongst the

supporters of the system, — who, by the way are not usually the most educated or thoughtful of men, — some who look upon the result of a duel as really constituting a divine judgment in the matter in dispute; no doubt in consequence of the traditional feeling on the subject.

But leaving aside the question of origin, it must now be clear to us that the main tendency of the principle is to use physical menace for the purpose of extorting an appearance of respect which is deemed too difficult or superfluous to acquire in reality; a proceeding which comes to much the same thing as if you were to prove the warmth of your room by holding your hand on the thermometer and so make it rise. In fact, the kernel of the matter is this: whereas civic honor aims at peaceable intercourse, and consists in the opinion of other people that *we deserve full confidence*, because we pay unconditional respect to their rights; knightly honor, on the other hand, lays down that *we are to be feared*, as being determined at all costs to maintain our own.

As not much reliance can be placed upon human integrity, the principle that it is more essential to arouse fear than to invite confidence would not, perhaps, be a false one, if we were living in a state of nature, where every man would have to protect himself and directly maintain his own rights. But in civilized life, where the State undertakes the protection of our person and property, the principle is no longer applicable: it stands, like the castles and watch-towers of the age when might was right, a useless and forlorn object, amidst well-tilled fields and frequented roads, or even railways.

Accordingly, the application of knightly honor, which still recognizes this principle, is confined to those small cases of personal assault which meet with but slight punishment at the hands of the law, or even none at all, for *de minimis non*, — mere trivial wrongs, committed sometimes only in jest. The consequence of this limited application of the principle is that it has forced itself into an exaggerated respect for the value of the person, — a respect utterly alien to the nature, constitution or destiny of man — which it has elated into a species of sanctity: and as it considers that the State has imposed a very insufficient penalty on the commission of such trivial injuries, it takes upon itself to punish them by attacking the aggressor in life or limb. The whole thing manifestly rests upon an excessive degree of arrogant pride, which, completely forgetting what man really is, claims that he shall be absolutely free from all attack or even censure. Those who determine to carry out this principle by main force, and announce, as their

rule of action, *whoever insults or strikes me shall die!* ought for their pains to be banished the country.

As a palliative to this rash arrogance, people are in the habit of giving way on everything. If two intrepid persons meet, and neither will give way, the slightest difference may cause a shower of abuse, then fisticuffs, and, finally, a fatal blow: so that it would really be a more decorous proceeding to omit the intermediate steps and appeal to arms at once. An appeal to arms has its own special formalities; and these have developed into a rigid and precise system of laws and regulations, together forming the most solemn farce there is — a regular temple of honor dedicated to folly! For if two intrepid persons dispute over some trivial matter, (more important affairs are dealt with by law), one of them, the cleverer of the two, will of course yield; and they will agree to differ. That this is so is proved by the fact that common people, — or, rather, the numerous classes of the community who do not acknowledge the principle of knightly honor, let any dispute run its natural course. Amongst these classes homicide is a hundredfold rarer than amongst those — and they amount, perhaps, in all, to hardly one in a thousand, — who pay homage to the principle: and even blows are of no very frequent occurrence.

Then it has been said that the manners and tone of good society are ultimately based upon this principle of honor, which, with its system of duels, is made out to be a bulwark against the assaults of savagery and rudeness. But Athens, Corinth and Rome could assuredly boast of good, nay, excellent society, and manners and tone of a high order, without any support from the bogey of knightly honor. It is true that women did not occupy that prominent place in ancient society which they hold now, when conversation has taken on a frivolous and trifling character, to the exclusion of that weighty discourse which distinguished the ancients.

This change has certainly contributed a great deal to bring about the tendency, which is observable in good society now-a-days, to prefer personal courage to the possession of any other quality. The fact is that personal courage is really a very subordinate virtue, — merely the distinguishing mark of a subaltern, — a virtue, indeed, in which we are surpassed by the lower animals; or else you would not hear people say, *as brave as a lion*. Far from being the pillar of society, knightly honor affords a sure asylum, in general for dishonesty and wickedness, and also for small incivilities, want of consideration and unmannerliness. Rude behavior is

often passed over in silence because no one cares to risk his neck in correcting it.

After what I have said, it will not appear strange that the dueling system is carried to the highest pitch of sanguinary zeal precisely in that nation whose political and financial records show that they are not too honorable. What that nation is like in its private and domestic life, is a question which may be best put to those who are experienced in the matter. Their urbanity and social culture have long been conspicuous by their absence.

There is no truth, then, in such pretexts. It can be urged with more justice that as, when you snarl at a dog, he snarls in return, and when you pet him, he fawns; so it lies in the nature of men to return hostility by hostility, and to be embittered and irritated at any signs of depreciatory treatment or hatred: and, as Cicero says, *there is something so penetrating in the shaft of envy that even men of wisdom and worth find its wound a painful one*; and nowhere in the world, except, perhaps, in a few religious sects, is an insult or a blow taken with equanimity. And yet a natural view of either would in no case demand anything more than a requital proportionate to the offence, and would never go to the length of assigning *death* as the proper penalty for anyone who accuses another of lying or stupidity or cowardice. The old German theory of *blood for a blow* is a revolting superstition of the age of chivalry. And in any case the return or requital of an insult is dictated by anger, and not by any such obligation of honor and duty as the advocates of chivalry seek to attach to it. The fact is that, the greater the truth, the greater the slander; and it is clear that the slightest hint of some real delinquency will give much greater offence than a most terrible accusation which is perfectly baseless: so that a man who is quite sure that he has done nothing to deserve a reproach may treat it with contempt, and will be safe in doing so. The theory of honor demands that he shall show a susceptibility which he does not possess, and take bloody vengeance for insults which he cannot feel. A man must himself have but a poor opinion of his own worth who hastens to prevent the utterance of an unfavorable opinion by giving his enemy a black eye.

True appreciation of his own value will make a man really indifferent to insult; but if he cannot help resenting it, a little shrewdness and culture will enable him to save appearances and dissemble his anger. If he could only get rid of this superstition about honor — the idea, I mean, that it disappears when you are insulted, and can be restored by returning the insult; if we

could only stop people from thinking that wrong, brutality and insolence can be legalized by expressing readiness to give satisfaction, that is, to fight in defence of it, we should all soon come to the general opinion that insult and depreciation are like a battle in which the loser wins; and that, as Vincenzo Monti says, abuse resembles a church-procession, because it always returns to the point from which it set out. If we could only get people to look upon insult in this light, we should no longer have to say something rude in order to prove that we are in the right. Now, unfortunately, if we want to take a serious view of any question, we have first of all to consider whether it will not give offence in some way or other to the dullard, who generally shows alarm and resentment at the merest sign of intelligence; and it may easily happen that the head which contains the intelligent view has to be pitted against the noodle which is empty of everything but narrowness and stupidity. If all this were done away with, intellectual superiority could take the leading place in society which is its due — a place now occupied, though people do not like to confess it, by excellence of physique, mere fighting pluck, in fact; and the natural effect of such a change would be that the best kind of people would have one reason the less for withdrawing from society. This would pave the way for the introduction of real courtesy and genuinely good society, such as undoubtedly existed in Athens, Corinth and Rome. If anyone wants to see a good example of what I mean, I should like him to read Xenophon's *Banquet*.

The last argument in defence of knightly honor no doubt is, that, but for its existence, the world — awful thought! — would be a regular bear-garden. To which I may briefly reply that nine hundred and ninety-nine people out of a thousand who do not recognize the code, have often given and received a blow without any fatal consequences: whereas amongst the adherents of the code a blow usually means death to one of the parties. But let me examine this argument more closely.

I have often tried to find some tenable, or at any rate, plausible basis — other than a merely conventional one — some positive reasons, that is to say, for the rooted conviction which a portion of mankind entertains, that a blow is a very dreadful thing; but I have looked for it in vain, either in the animal or in the rational side of human nature. A blow is, and always will be, a trivial physical injury which one man can do to another; proving, thereby, nothing more than his superiority in strength or skill, or that his

enemy was off his guard. Analysis will carry us no further. The same knight who regards a blow from the human hand as the greatest of evils, if he gets a ten times harder blow from his horse, will give you the assurance, as he limps away in suppressed pain, that it is a matter of no consequence whatever. So I have come to think that it is the human hand which is at the bottom of the mischief. And yet in a battle the knight may get cuts and thrusts from the same hand, and still assure you that his wounds are not worth mentioning. Now, I hear that a blow from the flat of a sword is not by any means so bad as a blow from a stick; and that, a short time ago, cadets were liable to be punished by the one but not the other, and that the very greatest honor of all is the *accolade*. This is all the psychological or moral basis that I can find; and so there is nothing left me but to pronounce the whole thing an antiquated superstition that has taken deep root, and one more of the many examples which show the force of tradition. My view is confirmed by the well-known fact that in China a beating with a bamboo is a very frequent punishment for the common people, and even for officials of every class; which shows that human nature, even in a highly civilized state, does not run in the same groove here and in China.

On the contrary, an unprejudiced view of human nature shows that it is just as natural for a man to beat as it is for savage animals to bite and rend in pieces, or for horned beasts to butt or push. Man may be said to be the animal that beats. Hence it is revolting to our sense of the fitness of things to hear, as we sometimes do, that one man bitten another; on the other hand, it is a natural and everyday occurrence for him to get blows or give them. It is intelligible enough that, as we become educated, we are glad to dispense with blows by a system of mutual restraint. But it is a cruel thing to compel a nation or a single class to regard a blow as an awful misfortune which must have death and murder for its consequences. There are too many genuine evils in the world to allow of our increasing them by imaginary misfortunes, which brings real ones in their train: and yet this is the precise effect of the superstition, which thus proves itself at once stupid and malign.

It does not seem to me wise of governments and legislative bodies to promote any such folly by attempting to do away with flogging as a punishment in civil or military life. Their idea is that they are acting in the interests of humanity; but, in point of fact, they are doing just the opposite; for the abolition of flogging will serve only to strengthen this inhuman and abominable superstition, to which so many sacrifices have already been

made. For all offences, except the worst, a beating is the obvious, and therefore the natural penalty; and a man who will not listen to reason will yield to blows. It seems to me right and proper to administer corporal punishment to the man who possesses nothing and therefore cannot be fined, or cannot be put in prison because his master's interests would suffer by the loss of his service. There are really no arguments against it: only mere talk about *the dignity of man* — talk which proceeds, not from any clear notions on the subject, but from the pernicious superstition I have been describing. That it is a superstition which lies at the bottom of the whole business is proved by an almost laughable example. Not long ago, in the military discipline of many countries, the cat was replaced by the stick. In either case the object was to produce physical pain; but the latter method involved no disgrace, and was not derogatory to honor.

By promoting this superstition, the State is playing into the hands of the principle of knightly honor, and therefore of the duel; while at the same time it is trying, or at any rate it pretends it is trying, to abolish the duel by legislative enactment. As a natural consequence we find that this fragment of the theory that *might is right*, which has come down to us from the most savage days of the Middle Age, has still in this nineteenth century a good deal of life left in it — more shame to us! It is high time for the principle to be driven out bag and baggage. Now-a-days no one is allowed to set dogs or cocks to fight each other, — at any rate, in England it is a penal offence, — but men are plunged into deadly strife, against their will, by the operation of this ridiculous, superstitious and absurd principle, which imposes upon us the obligation, as its narrow-minded supporters and advocates declare, of fighting with one another like gladiators, for any little trifle. Let me recommend our purists to adopt the expression *baiting* instead of *duel*, which probably comes to us, not from the Latin *duellum*, but from the Spanish *duelo*, — meaning suffering, nuisance, annoyance.

In any case, we may well laugh at the pedantic excess to which this foolish system has been carried. It is really revolting that this principle, with its absurd code, can form a power within the State — *imperium in imperio* — a power too easily put in motion, which, recognizing no right but might, tyrannizes over the classes which come within its range, by keeping up a sort of inquisition, before which any one may be haled on the most flimsy pretext, and there and then be tried on an issue of life and death between himself and his opponent. This is the lurking place from which every rascal,



if he only belongs to the classes in question, may menace and even exterminate the noblest and best of men, who, as such, must of course be an object of hatred to him. Our system of justice and police-protection has made it impossible in these days for any scoundrel in the street to attack us with — *Your money or your life!* An end should be put to the burden which weighs upon the higher classes — the burden, I mean, of having to be ready every moment to expose life and limb to the mercy of anyone who takes it into his rascally head to be coarse, rude, foolish or malicious. It is perfectly atrocious that a pair of silly, passionate boys should be wounded, maimed or even killed, simply because they have had a few words.

The strength of this tyrannical power within the State, and the force of the superstition, may be measured by the fact that people who are prevented from restoring their knightly honor by the superior or inferior rank of their aggressor, or anything else that puts the persons on a different level, often come to a tragic-comic end by committing suicide in sheer despair. You may generally know a thing to be false and ridiculous by finding that, if it is carried to its logical conclusion, it results in a contradiction; and here, too, we have a very glaring absurdity. For an officer is forbidden to take part in a duel; but if he is challenged and declines to come out, he is punished by being dismissed the service.

As I am on the matter, let me be more frank still. The important distinction, which is often insisted upon, between killing your enemy in a fair fight with equal weapons, and lying in ambush for him, is entirely a corollary of the fact that the power within the State, of which I have spoken, recognizes no other right than might, that is, the right of the stronger, and appeals to a *Judgment of God* as the basis of the whole code. For to kill a man in a fair fight, is to prove that you are superior to him in strength or skill; and to justify the deed, *you must assume that the right of the stronger is really a right.*

But the truth is that, if my opponent is unable to defend himself, it gives me the possibility, but not by any means the right, of killing him. The *right*, the *moral justification*, must depend entirely upon the *motives* which I have for taking his life. Even supposing that I have sufficient motive for taking a man's life, there is no reason why I should make his death depend upon whether I can shoot or fence better than he. In such a case, it is immaterial in what way I kill him, whether I attack him from the front or the rear. From a moral point of view, the right of the stronger is no more convincing than

the right of the more skillful; and it is skill which is employed if you murder a man treacherously. Might and skill are in this case equally right; in a duel, for instance, both the one and the other come into play; for a feint is only another name for treachery. If I consider myself morally justified in taking a man's life, it is stupid of me to try first of all whether he can shoot or fence better than I; as, if he can, he will not only have wronged me, but have taken my life into the bargain.

It is Rousseau's opinion that the proper way to avenge an insult is, not to fight a duel with your aggressor, but to assassinate him, — an opinion, however, which he is cautious enough only to barely indicate in a mysterious note to one of the books of his *Emile*. This shows the philosopher so completely under the influence of the mediaeval superstition of knightly honor that he considers it justifiable to murder a man who accuses you of lying: whilst he must have known that every man, and himself especially, has deserved to have the lie given him times without number.

The prejudice which justifies the killing of your adversary, so long as it is done in an open contest and with equal weapons, obviously looks upon might as really right, and a duel as the interference of God. The Italian who, in a fit of rage, falls upon his aggressor wherever he finds him, and despatches him without any ceremony, acts, at any rate, consistently and naturally: he may be cleverer, but he is not worse, than the duelist. If you say, I am justified in killing my adversary in a duel, because he is at the moment doing his best to kill me; I can reply that it is your challenge which has placed him under the necessity of defending himself; and that by mutually putting it on the ground of self-defence, the combatants are seeking a plausible pretext for committing murder. I should rather justify the deed by the legal maxim *Volenti non fit injuria*; because the parties mutually agree to set their life upon the issue.

This argument may, however, be rebutted by showing that the injured party is not injured *volens*; because it is this tyrannical principle of knightly honor, with its absurd code, which forcibly drags one at least of the combatants before a bloody inquisition.

I have been rather prolix on the subject of knightly honor, but I had good reason for being so, because the Augean stable of moral and intellectual enormity in this world can be cleaned out only with the besom of philosophy. There are two things which more than all else serve to make the

social arrangements of modern life compare unfavorably with those of antiquity, by giving our age a gloomy, dark and sinister aspect, from which antiquity, fresh, natural and, as it were, in the morning of life, is completely free; I mean modern honor and modern disease, — *par nobile fratrum!* — which have combined to poison all the relations of life, whether public or private. The second of this noble pair extends its influence much farther than at first appears to be the case, as being not merely a physical, but also a moral disease. From the time that poisoned arrows have been found in Cupid's quiver, an estranging, hostile, nay, devilish element has entered into the relations of men and women, like a sinister thread of fear and mistrust in the warp and woof of their intercourse; indirectly shaking the foundations of human fellowship, and so more or less affecting the whole tenor of existence. But it would be beside my present purpose to pursue the subject further.

An influence analogous to this, though working on other lines, is exerted by the principle of knightly honor, — that solemn farce, unknown to the ancient world, which makes modern society stiff, gloomy and timid, forcing us to keep the strictest watch on every word that falls. Nor is this all. The principle is a universal Minotaur; and the goodly company of the sons of noble houses which it demands in yearly tribute, comes, not from one country alone, as of old, but from every land in Europe. It is high time to make a regular attack upon this foolish system; and this is what I am trying to do now. Would that these two monsters of the modern world might disappear before the end of the century!

Let us hope that medicine may be able to find some means of preventing the one, and that, by clearing our ideals, philosophy may put an end to the other: for it is only by clearing our ideas that the evil can be eradicated. Governments have tried to do so by legislation, and failed.

Still, if they are really concerned to stop the dueling system; and if the small success that has attended their efforts is really due only to their inability to cope with the evil, I do not mind proposing a law the success of which I am prepared to guarantee. It will involve no sanguinary measures, and can be put into operation without recourse either to the scaffold or the gallows, or to imprisonment for life. It is a small homeopathic pilule, with no serious after effects. If any man send or accept a challenge, let the corporal take him before the guard house, and there give him, in broad daylight, twelve strokes with a stick *a la Chinoise*; a non-commissioned

officer or a private to receive six. If a duel has actually taken place, the usual criminal proceedings should be instituted.

A person with knightly notions might, perhaps, object that, if such a punishment were carried out, a man of honor would possibly shoot himself; to which I should answer that it is better for a fool like that to shoot himself rather than other people. However, I know very well that governments are not really in earnest about putting down dueling. Civil officials, and much more so, officers in the army, (except those in the highest positions), are paid most inadequately for the services they perform; and the deficiency is made up by honor, which is represented by titles and orders, and, in general, by the system of rank and distinction. The duel is, so to speak, a very serviceable extra-horse for people of rank: so they are trained in the knowledge of it at the universities. The accidents which happen to those who use it make up in blood for the deficiency of the pay.

Just to complete the discussion, let me here mention the subject of *national honor*. It is the honor of a nation as a unit in the aggregate of nations. And as there is no court to appeal to but the court of force; and as every nation must be prepared to defend its own interests, the honor of a nation consists in establishing the opinion, not only that it may be trusted (its credit), but also that it is to be feared. An attack upon its rights must never be allowed to pass unheeded. It is a combination of civic and knightly honor.

#### *Section 5. — Fame.*

Under the heading of place in the estimation of the world we have put *Fame*; and this we must now proceed to consider.

Fame and honor are twins; and twins, too, like Castor and Pollux, of whom the one was mortal and the other was not. Fame is the undying brother of ephemeral honor. I speak, of course, of the highest kind of fame, that is, of fame in the true and genuine sense of the word; for, to be sure, there are many sorts of fame, some of which last but a day. Honor is concerned merely with such qualities as everyone may be expected to show under similar circumstances; fame only of those which cannot be required of any man. Honor is of qualities which everyone has a right to attribute to himself; fame only of those which should be left to others to attribute. Whilst our honor extends as far as people have knowledge of us; fame runs in advance, and makes us known wherever it finds its way. Everyone can

make a claim to honor; very few to fame, as being attainable only in virtue of extraordinary achievements.

These achievements may be of two kinds, either *actions* or *works*; and so to fame there are two paths open. On the path of actions, a great heart is the chief recommendation; on that of works, a great head. Each of the two paths has its own peculiar advantages and detriments; and the chief difference between them is that actions are fleeting, while works remain. The influence of an action, be it never so noble, can last but a short time; but a work of genius is a living influence, beneficial and ennobling throughout the ages. All that can remain of actions is a memory, and that becomes weak and disfigured by time — a matter of indifference to us, until at last it is extinguished altogether; unless, indeed, history takes it up, and presents it, fossilized, to posterity. Works are immortal in themselves, and once committed to writing, may live for ever. Of Alexander the Great we have but the name and the record; but Plato and Aristotle, Homer and Horace are alive, and as directly at work to-day as they were in their own lifetime. The *Vedas*, and their *Upanishads*, are still with us: but of all contemporaneous actions not a trace has come down to us.

Another disadvantage under which actions labor is that they depend upon chance for the possibility of coming into existence; and hence, the fame they win does not flow entirely from their intrinsic value, but also from the circumstances which happened to lend them importance and lustre. Again, the fame of actions, if, as in war, they are purely personal, depends upon the testimony of fewer witnesses; and these are not always present, and even if present, are not always just or unbiased observers. This disadvantage, however, is counterbalanced by the fact that actions have the advantage of being of a practical character, and, therefore, within the range of general human intelligence; so that once the facts have been correctly reported, justice is immediately done; unless, indeed, the motive underlying the action is not at first properly understood or appreciated. No action can be really understood apart from the motive which prompted it.

It is just the contrary with works. Their inception does not depend upon chance, but wholly and entirely upon their author; and whoever they are in and for themselves, that they remain as long as they live. Further, there is a difficulty in properly judging them, which becomes all the harder, the higher their character; often there are no persons competent to understand the work, and often no unbiased or honest critics. Their fame, however,

does not depend upon one judge only; they can enter an appeal to another. In the case of actions, as I have said, it is only their memory which comes down to posterity, and then only in the traditional form; but works are handed down themselves, and, except when parts of them have been lost, in the form in which they first appeared. In this case there is no room for any disfigurement of the facts; and any circumstance which may have prejudiced them in their origin, fall away with the lapse of time. Nay, it is often only after the lapse of time that the persons really competent to judge them appear — exceptional critics sitting in judgment on exceptional works, and giving their weighty verdicts in succession. These collectively form a perfectly just appreciation; and though there are cases where it has taken some hundreds of years to form it, no further lapse of time is able to reverse the verdict; — so secure and inevitable is the fame of a great work.

Whether authors ever live to see the dawn of their fame depends upon the chance of circumstance; and the higher and more important their works are, the less likelihood there is of their doing so. That was an incomparable fine saying of Seneca's, that fame follows merit as surely as the body casts a shadow; sometimes falling in front, and sometimes behind. And he goes on to remark that *though the envy of contemporaries be shown by universal silence, there will come those who will judge without enmity or favor*. From this remark it is manifest that even in Seneca's age there were rascals who understood the art of suppressing merit by maliciously ignoring its existence, and of concealing good work from the public in order to favor the bad: it is an art well understood in our day, too, manifesting itself, both then and now, in *an envious conspiracy of silence*.

As a general rule, the longer a man's fame is likely to last, the later it will be in coming; for all excellent products require time for their development. The fame which lasts to posterity is like an oak, of very slow growth; and that which endures but a little while, like plants which spring up in a year and then die; whilst false fame is like a fungus, shooting up in a night and perishing as soon.

And why? For this reason; the more a man belongs to posterity, in other words, to humanity in general, the more of an alien he is to his contemporaries; since his work is not meant for them as such, but only for them in so far as they form part of mankind at large; there is none of that familiar local color about his productions which would appeal to them; and so what he does, fails of recognition because it is strange.

People are more likely to appreciate the man who serves the circumstances of his own brief hour, or the temper of the moment, — belonging to it, living and dying with it.

The general history of art and literature shows that the highest achievements of the human mind are, as a rule, not favorably received at first; but remain in obscurity until they win notice from intelligence of a high order, by whose influence they are brought into a position which they then maintain, in virtue of the authority thus given them.

If the reason of this should be asked, it will be found that ultimately, a man can really understand and appreciate those things only which are of like nature with himself. The dull person will like what is dull, and the common person what is common; a man whose ideas are mixed will be attracted by confusion of thought; and folly will appeal to him who has no brains at all; but best of all, a man will like his own works, as being of a character thoroughly at one with himself. This is a truth as old as Epicharmus of fabulous memory —

[Greek: Thaumaston ouden esti me tauth outo legein  
Kal andanein autoisin autous kal dokein  
Kalos pethukenai kal gar ho kuon kuni  
Kalloton eimen phainetai koi bous boi  
Onos dono kalliston [estin], us dut.]

The sense of this passage — for it should not be lost — is that we should not be surprised if people are pleased with themselves, and fancy that they are in good case; for to a dog the best thing in the world is a dog; to an ox, an ox; to an ass, an ass; and to a sow, a sow.

The strongest arm is unavailing to give impetus to a featherweight; for, instead of speeding on its way and hitting its mark with effect, it will soon fall to the ground, having expended what little energy was given to it, and possessing no mass of its own to be the vehicle of momentum. So it is with great and noble thoughts, nay, with the very masterpieces of genius, when there are none but little, weak, and perverse minds to appreciate them, — a fact which has been deplored by a chorus of the wise in all ages. Jesus, the son of Sirach, for instance, declares that *He that telleth a tale to a fool speaketh to one in slumber: when he hath told his tale, he will say, What is*

*the matter?* And Hamlet says, *A knavish speech sleeps in a fool's ear.* And Goethe is of the same opinion, that a dull ear mocks at the wisest word,

*Das glücklichste Wort es wird verhöhnt,  
Wenn der Hörer ein Schiefohr ist:*

and again, that we should not be discouraged if people are stupid, for you can make no rings if you throw your stone into a marsh.

*Du iwirkest nicht, Alles bleibt so stumpf:  
Sei guter Dinge!  
Der Stein in Sumpf  
Macht keine Ringe.*

Lichtenberg asks: *When a head and a book come into collision, and one sounds hollow, is it always the book?* And in another place: *Works like this are as a mirror; if an ass looks in, you cannot expect an apostle to look out.* We should do well to remember old Gellert's fine and touching lament, that the best gifts of all find the fewest admirers, and that most men mistake the bad for the good, — a daily evil that nothing can prevent, like a plague which no remedy can cure. There is but one thing to be done, though how difficult! — the foolish must become wise, — and that they can never be. The value of life they never know; they see with the outer eye but never with the mind, and praise the trivial because the good is strange to them: —

*Nie kennen sie den Werth der Dinge,  
Ihr Auge schliesst, nicht ihr Verstand;  
Sie loben ewig das Geringe  
Weil sie das Gute nie gekannt.*

To the intellectual incapacity which, as Goethe says, fails to recognize and appreciate the good which exists, must be added something which comes into play everywhere, the moral baseness of mankind, here taking the form of envy. The new fame that a man wins raises him afresh over the heads of his fellows, who are thus degraded in proportion. All conspicuous



merit is obtained at the cost of those who possess none; or, as Goethe has it in the *Westöstlicher Divan*, another's praise is one's own depreciation —

*Wenn wir Andern Ehre geben Müssen wir uns selbst entadeln.*

We see, then, how it is that, whatever be the form which excellence takes, mediocrity, the common lot of by far the greatest number, is leagued against it in a conspiracy to resist, and if possible, to suppress it. The password of this league is *à bas le mérite*. Nay more; those who have done something themselves, and enjoy a certain amount of fame, do not care about the appearance of a new reputation, because its success is apt to throw theirs into the shade. Hence, Goethe declares that if we had to depend for our life upon the favor of others, we should never have lived at all; from their desire to appear important themselves, people gladly ignore our very existence: —

*Hätte ich gezaudert zu werden,  
Bis man mir's Leben geögnut,  
Ich wäre noch nicht auf Erden,  
Wie ihr begreifen könnt,  
Wenn ihr seht, wie sie sich geberden,  
Die, um etwas zu scheinen,  
Mich gerne mochten verneinen.*

Honor, on the contrary, generally meets with fair appreciation, and is not exposed to the onslaught of envy; nay, every man is credited with the possession of it until the contrary is proved. But fame has to be won in despite of envy, and the tribunal which awards the laurel is composed of judges biased against the applicant from the very first. Honor is something which we are able and ready to share with everyone; fame suffers encroachment and is rendered more unattainable in proportion as more people come by it. Further, the difficulty of winning fame by any given work stands in reverse ratio to the number of people who are likely to read it; and hence it is so much harder to become famous as the author of a learned work than as a writer who aspires only to amuse. It is hardest of all in the case of philosophical works, because the result at which they aim is rather vague, and, at the same time, useless from a material point of view; they appeal chiefly to readers who are working on the same lines themselves.

It is clear, then, from what I have said as to the difficulty of winning fame, that those who labor, not out of love for their subject, nor from pleasure in pursuing it, but under the stimulus of ambition, rarely or never leave mankind a legacy of immortal works. The man who seeks to do what is good and genuine, must avoid what is bad, and be ready to defy the opinions of the mob, nay, even to despise it and its misleaders. Hence the truth of the remark, (especially insisted upon by Osorius *de Gloria*), that fame shuns those who seek it, and seeks those who shun it; for the one adapt themselves to the taste of their contemporaries, and the others work in defiance of it.

But, difficult though it be to acquire fame, it is an easy thing to keep when once acquired. Here, again, fame is in direct opposition to honor, with which everyone is presumably to be accredited. Honor has not to be won; it must only not be lost. But there lies the difficulty! For by a single unworthy action, it is gone irretrievably. But fame, in the proper sense of the word, can never disappear; for the action or work by which it was acquired can never be undone; and fame attaches to its author, even though he does nothing to deserve it anew. The fame which vanishes, or is outlived, proves itself thereby to be spurious, in other words, unmerited, and due to a momentary overestimate of a man's work; not to speak of the kind of fame which Hegel enjoyed, and which Lichtenberg describes as *trumpeted forth by a clique of admiring undergraduates — the resounding echo of empty heads; — such a fame as will make posterity smile when it lights upon a grotesque architecture of words, a fine nest with the birds long ago flown; it will knock at the door of this decayed structure of conventionalities and find it utterly empty! — not even a trace of thought there to invite the passer-by.*

The truth is that fame means nothing but what a man is in comparison with others. It is essentially relative in character, and therefore only indirectly valuable; for it vanishes the moment other people become what the famous man is. Absolute value can be predicated only of what a man possesses under any and all circumstances, — here, what a man is directly and in himself. It is the possession of a great heart or a great head, and not the mere fame of it, which is worth having, and conducive to happiness. Not fame, but that which deserves to be famous, is what a man should hold in esteem. This is, as it were, the true underlying substance, and fame is only an accident, affecting its subject chiefly as a kind of external symptom, which serves to confirm his own opinion of himself. Light is not visible

unless it meets with something to reflect it; and talent is sure of itself only when its fame is noised abroad. But fame is not a certain symptom of merit; because you can have the one without the other; or, as Lessing nicely puts it, *Some people obtain fame, and others deserve it.*

It would be a miserable existence which should make its value or want of value depend upon what other people think; but such would be the life of a hero or a genius if its worth consisted in fame, that is, in the applause of the world. Every man lives and exists on his own account, and, therefore, mainly in and for himself; and what he is and the whole manner of his being concern himself more than anyone else; so if he is not worth much in this respect, he cannot be worth much otherwise. The idea which other people form of his existence is something secondary, derivative, exposed to all the chances of fate, and in the end affecting him but very indirectly. Besides, other people's heads are a wretched place to be the home of a man's true happiness — a fanciful happiness perhaps, but not a real one.

And what a mixed company inhabits the Temple of Universal Fame! — generals, ministers, charlatans, jugglers, dancers, singers, millionaires and Jews! It is a temple in which more sincere recognition, more genuine esteem, is given to the several excellencies of such folk, than to superiority of mind, even of a high order, which obtains from the great majority only a verbal acknowledgment.

From the point of view of human happiness, fame is, surely, nothing but a very rare and delicate morsel for the appetite that feeds on pride and vanity — an appetite which, however carefully concealed, exists to an immoderate degree in every man, and is, perhaps strongest of all in those who set their hearts on becoming famous at any cost. Such people generally have to wait some time in uncertainty as to their own value, before the opportunity comes which will put it to the proof and let other people see what they are made of; but until then, they feel as if they were suffering secret injustice.

But, as I explained at the beginning of this chapter, an unreasonable value is set upon other people's opinion, and one quite disproportionate to its real worth. Hobbes has some strong remarks on this subject; and no doubt he is quite right. *Mental pleasure*, he writes, *and ecstasy of any kind, arise when, on comparing ourselves with others, we come to the conclusion that we may think well of ourselves.* So we can easily understand the great

value which is always attached to fame, as worth any sacrifices if there is the slightest hope of attaining it.

*Fame is the spur that the clear spirit doth raise (That hath infirmity of noble mind) To scorn delights and live laborious days*

And again:

*How hard it is to climb The heights where Fame's proud temple shines afar!*

We can thus understand how it is that the vainest people in the world are always talking about *la gloire*, with the most implicit faith in it as a stimulus to great actions and great works. But there can be no doubt that fame is something secondary in its character, a mere echo or reflection — as it were, a shadow or symptom — of merit: and, in any case, what excites admiration must be of more value than the admiration itself. The truth is that a man is made happy, not by fame, but by that which brings him fame, by his merits, or to speak more correctly, by the disposition and capacity from which his merits proceed, whether they be moral or intellectual. The best side of a man's nature must of necessity be more important for him than for anyone else: the reflection of it, the opinion which exists in the heads of others, is a matter that can affect him only in a very subordinate degree. He who deserves fame without getting it possesses by far the more important element of happiness, which should console him for the loss of the other. It is not that a man is thought to be great by masses of incompetent and often infatuated people, but that he really is great, which should move us to envy his position; and his happiness lies, not in the fact that posterity will hear of him, but that he is the creator of thoughts worthy to be treasured up and studied for hundreds of years.

Besides, if a man has done this, he possesses something which cannot be wrested from him; and, unlike fame, it is a possession dependent entirely upon himself. If admiration were his chief aim, there would be nothing in him to admire. This is just what happens in the case of false, that is, unmerited, fame; for its recipient lives upon it without actually possessing the solid substratum of which fame is the outward and visible sign. False fame must often put its possessor out of conceit with himself; for the time may come when, in spite of the illusions borne of self-love, he will feel giddy on the heights which he was never meant to climb, or look upon himself as spurious coin; and in the anguish of threatened discovery and

well-merited degradation, he will read the sentence of posterity on the foreheads of the wise — like a man who owes his property to a forged will.

The truest fame, the fame that comes after death, is never heard of by its recipient; and yet he is called a happy man.

His happiness lay both in the possession of those great qualities which won him fame, and in the opportunity that was granted him of developing them — the leisure he had to act as he pleased, to dedicate himself to his favorite pursuits. It is only work done from the heart that ever gains the laurel.

Greatness of soul, or wealth of intellect, is what makes a man happy — intellect, such as, when stamped on its productions, will receive the admiration of centuries to come, — thoughts which make him happy at the time, and will in their turn be a source of study and delight to the noblest minds of the most remote posterity. The value of posthumous fame lies in deserving it; and this is its own reward. Whether works destined to fame attain it in the lifetime of their author is a chance affair, of no very great importance. For the average man has no critical power of his own, and is absolutely incapable of appreciating the difficulty of a great work. People are always swayed by authority; and where fame is widespread, it means that ninety-nine out of a hundred take it on faith alone. If a man is famed far and wide in his own lifetime, he will, if he is wise, not set too much value upon it, because it is no more than the echo of a few voices, which the chance of a day has touched in his favor.

Would a musician feel flattered by the loud applause of an audience if he knew that they were nearly all deaf, and that, to conceal their infirmity, they set to work to clap vigorously as soon as ever they saw one or two persons applauding? And what would he say if he got to know that those one or two persons had often taken bribes to secure the loudest applause for the poorest player!

It is easy to see why contemporary praise so seldom develops into posthumous fame. D'Alembert, in an extremely fine description of the temple of literary fame, remarks that the sanctuary of the temple is inhabited by the great dead, who during their life had no place there, and by a very few living persons, who are nearly all ejected on their death. Let me remark, in passing, that to erect a monument to a man in his lifetime is as much as declaring that posterity is not to be trusted in its judgment of him. If a man does happen to see his own true fame, it can very rarely be before

he is old, though there have been artists and musicians who have been exceptions to this rule, but very few philosophers. This is confirmed by the portraits of people celebrated by their works; for most of them are taken only after their subjects have attained celebrity, generally depicting them as old and grey; more especially if philosophy has been the work of their lives. From the eudaemonistic standpoint, this is a very proper arrangement; as fame and youth are too much for a mortal at one and the same time. Life is such a poor business that the strictest economy must be exercised in its good things. Youth has enough and to spare in itself, and must rest content with what it has. But when the delights and joys of life fall away in old age, as the leaves from a tree in autumn, fame buds forth opportunely, like a plant that is green in winter. Fame is, as it were, the fruit that must grow all the summer before it can be enjoyed at Yule. There is no greater consolation in age than the feeling of having put the whole force of one's youth into works which still remain young.

Finally, let us examine a little more closely the kinds of fame which attach to various intellectual pursuits; for it is with fame of this sort that my remarks are more immediately concerned.

I think it may be said broadly that the intellectual superiority it denotes consists in forming theories, that is, new combinations of certain facts. These facts may be of very different kinds; but the better they are known, and the more they come within everyday experience, the greater and wider will be the fame which is to be won by theorizing about them.

For instance, if the facts in question are numbers or lines or special branches of science, such as physics, zoology, botany, anatomy, or corrupt passages in ancient authors, or undecipherable inscriptions, written, it may be, in some unknown alphabet, or obscure points in history; the kind of fame that may be obtained by correctly manipulating such facts will not extend much beyond those who make a study of them — a small number of persons, most of whom live retired lives and are envious of others who become famous in their special branch of knowledge.

But if the facts be such as are known to everyone, for example, the fundamental characteristics of the human mind or the human heart, which are shared by all alike; or the great physical agencies which are constantly in operation before our eyes, or the general course of natural laws; the kind of fame which is to be won by spreading the light of a new and manifestly true theory in regard to them, is such as in time will extend almost all over

the civilized world: for if the facts be such as everyone can grasp, the theory also will be generally intelligible. But the extent of the fame will depend upon the difficulties overcome; and the more generally known the facts are, the harder it will be to form a theory that shall be both new and true: because a great many heads will have been occupied with them, and there will be little or no possibility of saying anything that has not been said before.

On the other hand, facts which are not accessible to everybody, and can be got at only after much difficulty and labor, nearly always admit of new combinations and theories; so that, if sound understanding and judgment are brought to bear upon them — qualities which do not involve very high intellectual power — a man may easily be so fortunate as to light upon some new theory in regard to them which shall be also true. But fame won on such paths does not extend much beyond those who possess a knowledge of the facts in question. To solve problems of this sort requires, no doubt, a great deal of study and labor, if only to get at the facts; whilst on the path where the greatest and most widespread fame is to be won, the facts may be grasped without any labor at all. But just in proportion as less labor is necessary, more talent or genius is required; and between such qualities and the drudgery of research no comparison is possible, in respect either of their intrinsic value, or of the estimation in which they are held.

And so people who feel that they possess solid intellectual capacity and a sound judgment, and yet cannot claim the highest mental powers, should not be afraid of laborious study; for by its aid they may work themselves above the great mob of humanity who have the facts constantly before their eyes, and reach those secluded spots which are accessible to learned toil.

For this is a sphere where there are infinitely fewer rivals, and a man of only moderate capacity may soon find an opportunity of proclaiming a theory which shall be both new and true; nay, the merit of his discovery will partly rest upon the difficulty of coming at the facts. But applause from one's fellow-students, who are the only persons with a knowledge of the subject, sounds very faint to the far-off multitude. And if we follow up this sort of fame far enough, we shall at last come to a point where facts very difficult to get at are in themselves sufficient to lay a foundation of fame, without any necessity for forming a theory; — travels, for instance, in remote and little-known countries, which make a man famous by what he has seen, not by what he has thought. The great advantage of this kind of

fame is that to relate what one has seen, is much easier than to impart one's thoughts, and people are apt to understand descriptions better than ideas, reading the one more readily than the other: for, as Asmus says,

*When one goes forth a-voyaging He has a tale to tell.*

And yet for all that, a personal acquaintance with celebrated travelers often remind us of a line from Horace — new scenes do not always mean new ideas —

*Caelum non animum mutant qui trans mare currunt.*

But if a man finds himself in possession of great mental faculties, such as alone should venture on the solution of the hardest of all problems — those which concern nature as a whole and humanity in its widest range, he will do well to extend his view equally in all directions, without ever straying too far amid the intricacies of various by-paths, or invading regions little known; in other words, without occupying himself with special branches of knowledge, to say nothing of their petty details. There is no necessity for him to seek out subjects difficult of access, in order to escape a crowd of rivals; the common objects of life will give him material for new theories at once serious and true; and the service he renders will be appreciated by all those — and they form a great part of mankind — who know the facts of which he treats. What a vast distinction there is between students of physics, chemistry, anatomy, mineralogy, zoology, philology, history, and the men who deal with the great facts of human life, the poet and the philosopher!



# COUNSELS AND MAXIMS



*Translated by T. Bailey Saunders*

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## INTRODUCTION.

If my object in these pages were to present a complete scheme of counsels and maxims for the guidance of life, I should have to repeat the numerous rules — some of them excellent — which have been drawn up by thinkers of all ages, from Theognis and Solomon down to La Rochefoucauld; and, in so doing, I should inevitably entail upon the reader a vast amount of well-worn commonplace. But the fact is that in this work I make still less claim to exhaust my subject than in any other of my writings.

An author who makes no claims to completeness must also, in a great measure, abandon any attempt at systematic arrangement. For his double loss in this respect, the reader may console himself by reflecting that a complete and systematic treatment of such a subject as the guidance of life could hardly fail to be a very wearisome business. I have simply put down those of my thoughts which appear to be worth communicating — thoughts which, as far as I know, have not been uttered, or, at any rate, not just in the same form, by any one else; so that my remarks may be taken as a supplement to what has been already achieved in the immense field.

However, by way of introducing some sort of order into the great variety of matters upon which advice will be given in the following pages, I shall distribute what I have to say under the following heads: (1) general rules; (2) our relation to ourselves; (3) our relation to others; and finally, (4) rules which concern our manner of life and our worldly circumstances. I shall conclude with some remarks on the changes which the various periods of life produce in us.

## **COUNSELS AND MAXIMS**

## CHAPTER I.

### GENERAL RULES. — SECTION 1.

The first and foremost rule for the wise conduct of life seems to me to be contained in a view to which Aristotle parenthetically refers in the *Nichomachean Ethics*: [Greek: o phronimoz to alupon dioke e ou to aedu] or, as it may be rendered, *not pleasure, but freedom from pain, is what the wise man will aim at.*

The truth of this remark turns upon the negative character of happiness, — the fact that pleasure is only the negation of pain, and that pain is the positive element in life. Though I have given a detailed proof of this proposition in my chief work, I may supply one more illustration of it here, drawn from a circumstance of daily occurrence. Suppose that, with the exception of some sore or painful spot, we are physically in a sound and healthy condition: the sore of this one spot, will completely absorb our attention, causing us to lose the sense of general well-being, and destroying all our comfort in life. In the same way, when all our affairs but one turn out as we wish, the single instance in which our aims are frustrated is a constant trouble to us, even though it be something quite trivial. We think a great deal about it, and very little about those other and more important matters in which we have been successful. In both these cases what has met with resistance is *the will*; in the one case, as it is objectified in the organism, in the other, as it presents itself in the struggle of life; and in both, it is plain that the satisfaction of the will consists in nothing else than that it meets with no resistance. It is, therefore, a satisfaction which is not directly felt; at most, we can become conscious of it only when we reflect upon our condition. But that which checks or arrests the will is something positive; it proclaims its own presence. All pleasure consists in merely removing this check — in other words, in freeing us from its action; and hence pleasure is a state which can never last very long.

This is the true basis of the above excellent rule quoted from Aristotle, which bids us direct our aim, not toward securing what is pleasurable and agreeable in life, but toward avoiding, as far as possible, its innumerable evils. If this were not the right course to take, that saying of Voltaire's, *Happiness is but a dream and sorrow is real*, would be as false as it is, in fact, true. A man who desires to make up the book of his life and determine

where the balance of happiness lies, must put down in his accounts, not the pleasures which he has enjoyed, but the evils which he has escaped. That is the true method of eudaemonology; for all eudaemonology must begin by recognizing that its very name is a euphemism, and that *to live happily* only means *to live less unhappily* — to live a tolerable life. There is no doubt that life is given us, not to be enjoyed, but to be overcome — to be got over. There are numerous expressions illustrating this — such as *degere vitam, vita defungi*; or in Italian, *si scampa cosi*; or in German, *man muss suchen durchzukommen; er wird schon durch die Welt kommen*, and so on. In old age it is indeed a consolation to think that the work of life is over and done with. The happiest lot is not to have experienced the keenest delights or the greatest pleasures, but to have brought life to a close without any very great pain, bodily or mental. To measure the happiness of a life by its delights or pleasures, is to apply a false standard. For pleasures are and remain something negative; that they produce happiness is a delusion, cherished by envy to its own punishment. Pain is felt to be something positive, and hence its absence is the true standard of happiness. And if, over and above freedom from pain, there is also an absence of boredom, the essential conditions of earthly happiness are attained; for all else is chimerical.

It follows from this that a man should never try to purchase pleasure at the cost of pain, or even at the risk of incurring it; to do so is to pay what is positive and real, for what is negative and illusory; while there is a net profit in sacrificing pleasure for the sake of avoiding pain. In either case it is a matter of indifference whether the pain follows the pleasure or precedes it. While it is a complete inversion of the natural order to try and turn this scene of misery into a garden of pleasure, to aim at joy and pleasure rather than at the greatest possible freedom from pain — and yet how many do it! — there is some wisdom in taking a gloomy view, in looking upon the world as a kind of Hell, and in confining one's efforts to securing a little room that shall not be exposed to the fire. The fool rushes after the pleasures of life and finds himself their dupe; the wise man avoids its evils; and even if, notwithstanding his precautions, he falls into misfortunes, that is the fault of fate, not of his own folly. As far as he is successful in his endeavors, he cannot be said to have lived a life of illusion; for the evils which he shuns are very real. Even if he goes too far out of his way to avoid evils, and makes an unnecessary sacrifice of pleasure, he is, in reality, not

the worse off for that; for all pleasures are chimerical, and to mourn for having lost any of them is a frivolous, and even ridiculous proceeding.

The failure to recognize this truth — a failure promoted by optimistic ideas — is the source of much unhappiness. In moments free from pain, our restless wishes present, as it were in a mirror, the image of a happiness that has no counterpart in reality, seducing us to follow it; in doing so we bring pain upon ourselves, and that is something undeniably real. Afterwards, we come to look with regret upon that lost state of painlessness; it is a paradise which we have gambled away; it is no longer with us, and we long in vain to undo what has been done.

One might well fancy that these visions of wishes fulfilled were the work of some evil spirit, conjured up in order to entice us away from that painless state which forms our highest happiness.

A careless youth may think that the world is meant to be enjoyed, as though it were the abode of some real or positive happiness, which only those fail to attain who are not clever enough to overcome the difficulties that lie in the way. This false notion takes a stronger hold on him when he comes to read poetry and romance, and to be deceived by outward show — the hypocrisy that characterizes the world from beginning to end; on which I shall have something to say presently. The result is that his life is the more or less deliberate pursuit of positive happiness; and happiness he takes to be equivalent to a series of definite pleasures. In seeking for these pleasures he encounters danger — a fact which should not be forgotten. He hunts for game that does not exist; and so he ends by suffering some very real and positive misfortune — pain, distress, sickness, loss, care, poverty, shame, and all the thousand ills of life. Too late he discovers the trick that has been played upon him.

But if the rule I have mentioned is observed, and a plan of life is adopted which proceeds by avoiding pain — in other words, by taking measures of precaution against want, sickness, and distress in all its forms, the aim is a real one, and something may be achieved which will be great in proportion as the plan is not disturbed by striving after the chimera of positive happiness. This agrees with the opinion expressed by Goethe in the *Elective Affinities*, and there put into the mouth of Mittler — the man who is always trying to make other people happy: *To desire to get rid of an evil is a definite object, but to desire a better fortune than one has is blind folly.* The same truth is contained in that fine French proverb: *le mieux est l'ennemi du*

*bien* — leave well alone. And, as I have remarked in my chief work, this is the leading thought underlying the philosophical system of the Cynics. For what was it led the Cynics to repudiate pleasure in every form, if it was not the fact that pain is, in a greater or less degree, always bound up with pleasure? To go out of the way of pain seemed to them so much easier than to secure pleasure. Deeply impressed as they were by the negative nature of pleasure and the positive nature of pain, they consistently devoted all their efforts to the avoidance of pain. The first step to that end was, in their opinion, a complete and deliberate repudiation of pleasure, as something which served only to entrap the victim in order that he might be delivered over to pain.

We are all born, as Schiller says, in Arcadia. In other words, we come into the world full of claims to happiness and pleasure, and we cherish the fond hope of making them good. But, as a rule, Fate soon teaches us, in a rough and ready way that we really possess nothing at all, but that everything in the world is at its command, in virtue of an unassailable right, not only to all we have or acquire, to wife or child, but even to our very limbs, our arms, legs, *eyes* and ears, nay, even to the nose in the middle of our face. And in any case, after some little time, we learn by experience that happiness and pleasure are a *fata morgana*, which, visible from afar, vanish as we approach; that, on the other hand, suffering and pain are a reality, which makes its presence felt without any intermediary, and for its effect, stands in no need of illusion or the play of false hope.

If the teaching of experience bears fruit in us, we soon give up the pursuit of pleasure and happiness, and think much more about making ourselves secure against the attacks of pain and suffering. We see that the best the world has to offer is an existence free from pain — a quiet, tolerable life; and we confine our claims to this, as to something we can more surely hope to achieve. For the safest way of not being very miserable is not to expect to be very happy. Merck, the friend of Goethe's youth, was conscious of this truth when he wrote: *It is the wretched way people have of setting up a claim to happiness — and, that to, in a measure corresponding with their desires — that ruins everything in this world. A man will make progress if he can get rid of this claim, and desire nothing but what he sees before him.* Accordingly it is advisable to put very moderate limits upon our expectations of pleasure, possessions, rank, honor and so on; because it is just this striving and struggling to be happy, to dazzle the world, to lead a

life full of pleasure, which entail great misfortune. It is prudent and wise, I say, to reduce one's claims, if only for the reason that it is extremely easy to be very unhappy; while to be very happy is not indeed difficult, but quite impossible. With justice sings the poet of life's wisdom:

*Auream quisquis mediocritatem  
Diligit, tutus caret obsoleti  
Sordibus tecti, caret invidenda  
Sobrius aula.  
Savius ventis agitur ingens  
Pinus: et celsae graviori casu  
Decidunt turres; feriuntque summos  
Fulgura monies.*

— the golden mean is best — to live free from the squalor of a mean abode, and yet not be a mark for envy. It is the tall pine which is cruelly shaken by the wind, the highest summits that are struck in the storm, and the lofty towers that fall so heavily.

He who has taken to heart the teaching of my philosophy — who knows, therefore, that our whole existence is something which had better not have been, and that to disown and disclaim it is the highest wisdom — he will have no great expectations from anything or any condition in life: he will spend passion upon nothing in the world, nor lament over-much if he fails in any of his undertakings. He will feel the deep truth of what Plato says: [Greek: oute ti ton anthroponon haxion on megalas spondaes] — nothing in human affairs is worth any great anxiety; or, as the Persian poet has it,

*Though from thy grasp all worldly things should flee,  
Grieve not for them, for they are nothing worth:  
And though a world in thy possession be,  
Joy not, for worthless are the things of earth.  
Since to that better world 'tis given to thee  
To pass, speed on, for this is nothing worth.*



The chief obstacle to our arriving at these salutary views is that hypocrisy of the world to which I have already alluded — an hypocrisy which should be early revealed to the young. Most of the glories of the world are mere outward show, like the scenes on a stage: there is nothing real about them. Ships festooned and hung with pennants, firing of cannon, illuminations, beating of drums and blowing of trumpets, shouting and applauding — these are all the outward sign, the pretence and suggestion, — as it were the hieroglyphic, — of *joy*: but just there, joy is, as a rule, not to be found; it is the only guest who has declined to be present at the festival. Where this guest may really be found, he comes generally without invitation; he is not formerly announced, but slips in quietly by himself *sans facon*; often making his appearance under the most unimportant and trivial circumstances, and in the commonest company — anywhere, in short, but where the society is brilliant and distinguished. Joy is like the gold in the Australian mines — found only now and then, as it were, by the caprice of chance, and according to no rule or law; oftenest in very little grains, and very seldom in heaps. All that outward show which I have described, is only an attempt to make people believe that it is really joy which has come to the festival; and to produce this impression upon the spectators is, in fact, the whole object of it.

With *mourning* it is just the same. That long funeral procession, moving up so slowly; how melancholy it looks! what an endless row of carriages! But look into them — they are all empty; the coachmen of the whole town are the sole escort the dead man has to his grave. Eloquent picture of the friendship and esteem of the world! This is the falsehood, the hollowness, the hypocrisy of human affairs!

Take another example — a roomful of guests in full dress, being received with great ceremony. You could almost believe that this is a noble and distinguished company; but, as a matter of fact, it is compulsion, pain and boredom who are the real guests. For where many are invited, it is a rabble — even if they all wear stars. Really good society is everywhere of necessity very small. In brilliant festivals and noisy entertainments, there is always, at bottom, a sense of emptiness prevalent. A false tone is there: such gatherings are in strange contrast with the misery and barrenness of our existence. The contrast brings the true condition into greater relief. Still, these gatherings are effective from the outside; and that is just their purpose. Chamfort makes the excellent remark that *society* — *les cercles, les salons*,

*ce qu'on appelle le monde* — is like a miserable play, or a bad opera, without any interest in itself, but supported for a time by mechanical aid, costumes and scenery.

And so, too, with academies and chairs of philosophy. You have a kind of sign-board hung out to show the apparent abode of *wisdom*: but wisdom is another guest who declines the invitation; she is to be found elsewhere. The chiming of bells, ecclesiastical millinery, attitudes of devotion, insane antics — these are the pretence, the false show of *piety*. And so on. Everything in the world is like a hollow nut; there is little kernel anywhere, and when it does exist, it is still more rare to find it in the shell. You may look for it elsewhere, and find it, as a rule, only by chance.

**SECTION 2. To estimate a man's condition in regard to happiness, it is necessary to ask, not what things please him, but what things trouble him; and the more trivial these things are in themselves, the happier the man will be. To be irritated by trifles, a man must be well off; for in misfortunes trifles are unfelt.**

**SECTION 3. Care should be taken not to build the happiness of life upon a *broad foundation* — not to require a great many things in order to be happy. For happiness on such a foundation is the most easily undermined; it offers many more opportunities for accidents; and accidents are always happening. The architecture of happiness follows a plan in this respect just the opposite of that adopted in every other case, where the broadest foundation offers the greatest security.**

**Accordingly, to reduce your claims to the lowest possible degree, in comparison with your means, — of whatever kind these may be — is the surest way of avoiding extreme misfortune.**

To make extensive preparations for life — no matter what form they may take — is one of the greatest and commonest of follies. Such preparations presuppose, in the first place, a long life, the full and complete term of years appointed to man — and how few reach it! and even if it be reached, it is still too short for all the plans that have been made; for to carry them out requites more time than was thought necessary at the beginning. And then how many mischances and obstacles stand in the way! how seldom the goal is ever reached in human affairs!

And lastly, even though the goal should be reached, the changes which Time works in us have been left out of the reckoning: we forget that the capacity whether for achievement or for enjoyment does not last a whole lifetime. So we often toil for things which are no longer suited to us when we attain them; and again, the years we spend in preparing for some work, unconsciously rob us of the power for carrying it out.

How often it happens that a man is unable to enjoy the wealth which he acquired at so much trouble and risk, and that the fruits of his labor are reserved for others; or that he is incapable of filling the position which he has won after so many years of toil and struggle. Fortune has come too late for him; or, contrarily, he has come too late for fortune, — when, for instance, he wants to achieve great things, say, in art or literature: the popular taste has changed, it may be; a new generation has grown up, which takes no interest in his work; others have gone a shorter way and got the start of him. These are the facts of life which Horace must have had in view, when he lamented the uselessness of all advice: —

*quid eternis minorem Consiliis animum fatigas?*

The cause of this commonest of all follies is that optical illusion of the mind from which everyone suffers, making life, at its beginning, seem of long duration; and at its end, when one looks back over the course of it, how short a time it seems! There is some advantage in the illusion; but for it, no great work would ever be done.

Our life is like a journey on which, as we advance, the landscape takes a different view from that which it presented at first, and changes again, as we come nearer. This is just what happens — especially with our wishes. We often find something else, nay, something better than what we are looking for; and what we look for, we often find on a very different path from that on which we began a vain search. Instead of finding, as we expected, pleasure, happiness, joy, we get experience, insight, knowledge — a real and permanent blessing, instead of a fleeting and illusory one.

This is the thought that runs through *Wilhelm Meister*, like the bass in a piece of music. In this work of Goethe's, we have a novel of the *intellectual* kind, and, therefore, superior to all others, even to Sir Walter Scott's, which are, one and all, *ethical*; in other words, they treat of human nature only from the side of the will. So, too, in the *Zauberflöte* — that grotesque, but still significant, and even hieroglyphic — the same thought is symbolized, but in great, coarse lines, much in the way in which scenery is painted. Here

the symbol would be complete if Tamino were in the end to be cured of his desire to possess Tainina, and received, in her stead, initiation into the mysteries of the Temple of Wisdom. It is quite right for Papageno, his necessary contrast, to succeed in getting his Papagena.

Men of any worth or value soon come to see that they are in the hands of Fate, and gratefully submit to be moulded by its teachings. They recognize that the fruit of life is experience, and not happiness; they become accustomed and content to exchange hope for insight; and, in the end, they can say, with Petrarch, that all they care for is to learn: —

*Altro diletto che 'mparar, non provo.*

It may even be that they to some extent still follow their old wishes and aims, trifling with them, as it were, for the sake of appearances; all the while really and seriously looking for nothing but instruction; a process which lends them an air of genius, a trait of something contemplative and sublime.

In their search for gold, the alchemists discovered other things — gunpowder, china, medicines, the laws of nature. There is a sense in which we are all alchemists.

## CHAPTER II.

### OUR RELATION TO OURSELVES. — SECTION 4.

The mason employed on the building of a house may be quite ignorant of its general design; or at any rate, he may not keep it constantly in mind. So it is with man: in working through the days and hours of his life, he takes little thought of its character as a whole.

If there is any merit or importance attaching to a man's career, if he lays himself out carefully for some special work, it is all the more necessary and advisable for him to turn his attention now and then to its *plan*, that is to say, the miniature sketch of its general outlines. Of course, to do that, he must have applied the maxim [Greek: *Gnothi seauton*]; he must have made some little progress in the art of understanding himself. He must know what is his real, chief, and foremost object in life, — what it is that he most wants in order to be happy; and then, after that, what occupies the second and third place in his thoughts; he must find out what, on the whole, his vocation really is — the part he has to play, his general relation to the world. If he maps out important work for himself on great lines, a glance at this miniature plan of his life will, more than anything else stimulate, rouse and ennoble him, urge him on to action and keep him from false paths.

Again, just as the traveler, on reaching a height, gets a connected view over the road he has taken, with its many turns and windings; so it is only when we have completed a period in our life, or approach the end of it altogether, that we recognize the true connection between all our actions, — what it is we have achieved, what work we have done. It is only then that we see the precise chain of cause and effect, and the exact value of all our efforts. For as long as we are actually engaged in the work of life, we always act in accordance with the nature of our character, under the influence of motive, and within the limits of our capacity, — in a word, from beginning to end, under a law of *necessity*; at every moment we do just what appears to us right and proper. It is only afterwards, when we come to look back at the whole course of our life and its general result, that we see the why and wherefore of it all.

When we are actually doing some great deed, or creating some immortal work, we are not conscious of it as such; we think only of satisfying present

aims, of fulfilling the intentions we happen to have at the time, of doing the right thing at the moment. It is only when we come to view our life as a connected whole that our character and capacities show themselves in their true light; that we see how, in particular instances, some happy inspiration, as it were, led us to choose the only true path out of a thousand which might have brought us to ruin. It was our genius that guided us, a force felt in the affairs of the intellectual as in those of the world; and working by its defect just in the same way in regard to evil and disaster.

**SECTION 5. Another important element in the wise conduct of life is to preserve a proper proportion between our thought for the present and our thought for the future; in order not to spoil the one by paying over-great attention to the other. Many live too long in the present — frivolous people, I mean; others, too much in the future, ever anxious and full of care. It is seldom that a man holds the right balance between the two extremes. Those who strive and hope and live only in the future, always looking ahead and impatiently anticipating what is coming, as something which will make them happy when they get it, are, in spite of their very clever airs, exactly like those donkeys one sees in Italy, whose pace may be hurried by fixing a stick on their heads with a wisp of hay at the end of it; this is always just in front of them, and they keep on trying to get it. Such people are in a constant state of illusion as to their whole existence; they go on living *ad interim*, until at last they die.**

Instead, therefore, of always thinking about our plans and anxiously looking to the future, or of giving ourselves up to regret for the past, we should never forget that the present is the only reality, the only certainty; that the future almost always turns out contrary to our expectations; that the past, too, was very different from what we suppose it to have been. But the past and the future are, on the whole, of less consequence than we think. Distance, which makes objects look small to the outward eye, makes them look big to the eye of thought. The present alone is true and actual; it is the only time which possesses full reality, and our existence lies in it exclusively. Therefore we should always be glad of it, and give it the welcome it deserves, and enjoy every hour that is bearable by its freedom from pain and annoyance with a full consciousness of its value. We shall

hardly be able to do this if we make a wry face over the failure of our hopes in the past or over our anxiety for the future. It is the height of folly to refuse the present hour of happiness, or wantonly to spoil it by vexation at by-gones or uneasiness about what is to come. There is a time, of course, for forethought, nay, even for repentance; but when it is over let us think of what is past as of something to which we have said farewell, of necessity subduing our hearts —

[Greek: alla ta men protuchthai easomen achnumenoi per tumhon eni staethessi philon damasntes hanankae],

and of the future as of that which lies beyond our power, in the lap of the gods —

[Greek: all aetoi men tauta theon en gounasi keitai.]

But in regard to the present let us remember Seneca's advice, and live each day as if it were our whole life, — *singulas dies singulas vitas puta*: let us make it as agreeable as possible, it is the only real time we have.

Only those evils which are sure to come at a definite date have any right to disturb us; and how few there are which fulfill this description. For evils are of two kinds; either they are possible only, at most probable; or they are inevitable. Even in the case of evils which are sure to happen, the time at which they will happen is uncertain. A man who is always preparing for either class of evil will not have a moment of peace left him. So, if we are not to lose all comfort in life through the fear of evils, some of which are uncertain in themselves, and others, in the time at which they will occur, we should look upon the one kind as never likely to happen, and the other as not likely to happen very soon.

Now, the less our peace of mind is disturbed by fear, the more likely it is to be agitated by desire and expectation. This is the true meaning of that song of Goethe's which is such a favorite with everyone: *Ich hab' mein' Sach' auf nichts gestellt*. It is only after a man has got rid of all pretension, and taken refuge in mere unembellished existence, that he is able to attain that peace of mind which is the foundation of human happiness. Peace of mind! that is something essential to any enjoyment of the present moment; and unless its separate moments are enjoyed, there is an end of life's happiness as a whole. We should always collect that *To-day* comes only

once, and never returns. We fancy that it will come again to-morrow; but *To-morrow* is another day, which, in its turn, comes once only. We are apt to forget that every day is an integral, and therefore irreplaceable portion of life, and to look upon life as though it were a collective idea or name which does not suffer if one of the individuals it covers is destroyed.

We should be more likely to appreciate and enjoy the present, if, in those good days when we are well and strong, we did not fail to reflect how, in sickness and sorrow, every past hour that was free from pain and privation seemed in our memory so infinitely to be envied — as it were, a lost paradise, or some one who was only then seen to have acted as a friend. But we live through our days of happiness without noticing them; it is only when evil comes upon us that we wish them back. A thousand gay and pleasant hours are wasted in ill-humor; we let them slip by unenjoyed, and sigh for them in vain when the sky is overcast. Those present moments that are bearable, be they never so trite and common, — passed by in indifference, or, it may be, impatiently pushed away, — those are the moments we should honor; never failing to remember that the ebbing tide is even now hurrying them into the past, where memory will store them transfigured and shining with an imperishable light, — in some after-time, and above all, when our days are evil, to raise the veil and present them as the object of our fondest regret.

**SECTION 6. *Limitations always make for happiness.* We are happy in proportion as our range of vision, our sphere of work, our points of contact with the world, are restricted and circumscribed. We are more likely to feel worried and anxious if these limits are wide; for it means that our cares, desires and terrors are increased and intensified. That is why the blind are not so unhappy as we might be inclined to suppose; otherwise there would not be that gentle and almost serene expression of peace in their faces.**

Another reason why limitation makes for happiness is that the second half of life proves even more dreary than the first. As the years wear on, the horizon of our aims and our points of contact with the world become more extended. In childhood our horizon is limited to the narrowest sphere about us; in youth there is already a very considerable widening of our view; in manhood it comprises the whole range of our activity, often stretching out



over a very distant sphere, — the care, for instance, of a State or a nation; in old age it embraces posterity.

But even in the affairs of the intellect, limitation is necessary if we are to be happy. For the less the will is excited, the less we suffer. We have seen that suffering is something positive, and that happiness is only a negative condition. To limit the sphere of outward activity is to relieve the will of external stimulus: to limit the sphere of our intellectual efforts is to relieve the will of internal sources of excitement. This latter kind of limitation is attended by the disadvantage that it opens the door to boredom, which is a direct source of countless sufferings; for to banish boredom, a man will have recourse to any means that may be handy — dissipation, society, extravagance, gaming, and drinking, and the like, which in their turn bring mischief, ruin and misery in their train. *Difficiles in otio quies* — it is difficult to keep quiet if you have nothing to do. That limitation in the sphere of outward activity is conducive, nay, even necessary to human happiness, such as it is, may be seen in the fact that the only kind of poetry which depicts men in a happy state of life — Idyllic poetry, I mean, — always aims, as an intrinsic part of its treatment, at representing them in very simple and restricted circumstances. It is this feeling, too, which is at the bottom of the pleasure we take in what are called *genre* pictures.

*Simplicity*, therefore, as far as it can be attained, and even *monotony*, in our manner of life, if it does not mean that we are bored, will contribute to happiness; just because, under such circumstances, life, and consequently the burden which is the essential concomitant of life, will be least felt. Our existence will glide on peacefully like a stream which no waves or whirlpools disturb.

**SECTION 7. Whether we are in a pleasant or a painful state depends, ultimately, upon the kind of matter that pervades and engrosses our consciousness. In this respect, purely intellectual occupation, for the mind that is capable of it, will, as a rule, do much more in the way of happiness than any form of practical life, with its constant alternations of success and failure, and all the shocks and torments it produces. But it must be confessed that for such occupation a pre-eminent amount of intellectual capacity is necessary. And in this connection it may be noted that, just as a life devoted to outward activity will distract and divert a man from study, and also deprive him of that quiet**

**concentration of mind which is necessary for such work; so, on the other hand, a long course of thought will make him more or less unfit for the noisy pursuits of real life. It is advisable, therefore, to suspend mental work for a while, if circumstances happen which demand any degree of energy in affairs of a practical nature.**

**SECTION 8. To live a life that shall be entirely prudent and discreet, and to draw from experience all the instruction it contains, it is requisite to be constantly thinking back, — to make a kind of recapitulation of what we have done, of our impressions and sensations, to compare our former with our present judgments — what we set before us and struggle to achieve, with the actual result and satisfaction we have obtained. To do this is to get a repetition of the private lessons of experience, — lessons which are given to every one.**

Experience of the world may be looked upon as a kind of text, to which reflection and knowledge form the commentary. Where there is great deal of reflection and intellectual knowledge, and very little experience, the result is like those books which have on each page two lines of text to forty lines of commentary. A great deal of experience with little reflection and scant knowledge, gives us books like those of the *editio Bipontina* where there are no notes and much that is unintelligible.

The advice here given is on a par with a rule recommended by Pythagoras, — to review, every night before going to sleep, what we have done during the day. To live at random, in the hurly-burly of business or pleasure, without ever reflecting upon the past, — to go on, as it were, pulling cotton off the reel of life, — is to have no clear idea of what we are about; and a man who lives in this state will have chaos in his emotions and certain confusion in his thoughts; as is soon manifest by the abrupt and fragmentary character of his conversation, which becomes a kind of mincemeat. A man will be all the more exposed to this fate in proportion as he lives a restless life in the world, amid a crowd of various impressions and with a correspondingly small amount of activity on the part of his own mind.

And in this connection it will be in place to observe that, when events and circumstances which have influenced us pass away in the course of time, we are unable to bring back and renew the particular mood or state of

feeling which they aroused in us: but we can remember what we were led to say and do in regard to them; and thus form, as it were, the result, expression and measure of those events. We should, therefore, be careful to preserve the memory of our thoughts at important points in our life; and herein lies the great advantage of keeping a journal.

**SECTION 9. To be self-sufficient, to be all in all to oneself, to want for nothing, to be able to say *omnia mea mecum porto* — that is assuredly the chief qualification for happiness. Hence Aristotle's remark, [Greek: *hae eudaimonia ton autarchon esti*] — to be happy means to be self-sufficient — cannot be too often repeated. It is, at bottom, the same thought as is present in the very well-turned sentence from Chamfort:**

*Le bonheur n'est pas chose aisée: il est très difficile de le trouver en nous, et impossible de le trouver ailleurs.*

For while a man cannot reckon with certainty upon anyone but himself, the burdens and disadvantages, the dangers and annoyances, which arise from having to do with others, are not only countless but unavoidable.

There is no more mistaken path to happiness than worldliness, revelry, *high life*: for the whole object of it is to transform our miserable existence into a succession of joys, delights and pleasures, — a process which cannot fail to result in disappointment and delusion; on a par, in this respect, with its *obligato* accompaniment, the interchange of lies.

All society necessarily involves, as the first condition of its existence, mutual accommodation and restraint upon the part of its members. This means that the larger it is, the more insipid will be its tone. A man can be *himself* only so long as he is alone; and if he does not love solitude, he will not love freedom; for it is only when he is alone that he is really free. Constraint is always present in society, like a companion of whom there is no riddance; and in proportion to the greatness of a man's individuality, it will be hard for him to bear the sacrifices which all intercourse with others demands. Solitude will be welcomed or endured or avoided, according as a man's personal value is large or small, — the wretch feeling, when he is alone, the whole burden of his misery; the great intellect delighting in its greatness; and everyone, in short, being just what he is.

Further, if a man stands high in Nature's lists, it is natural and inevitable that he should feel solitary. It will be an advantage to him if his

surroundings do not interfere with this feeling; for if he has to see a great deal of other people who are not of like character with himself, they will exercise a disturbing influence upon him, adverse to his peace of mind; they will rob him, in fact, of himself, and give him nothing to compensate for the loss.

But while Nature sets very wide differences between man and man in respect both of morality and of intellect, society disregards and effaces them; or, rather, it sets up artificial differences in their stead, — gradations of rank and position, which are very often diametrically opposed to those which Nature establishes. The result of this arrangement is to elevate those whom Nature has placed low, and to depress the few who stand high. These latter, then, usually withdraw from society, where, as soon as it is at all numerous, vulgarity reigns supreme.

What offends a great intellect in society is the equality of rights, leading to equality of pretensions, which everyone enjoys; while at the same time, inequality of capacity means a corresponding disparity of social power. So-called *good society* recognizes every kind of claim but that of intellect, which is a contraband article; and people are expected to exhibit an unlimited amount of patience towards every form of folly and stupidity, perversity and dullness; whilst personal merit has to beg pardon, as it were, for being present, or else conceal itself altogether. Intellectual superiority offends by its very existence, without any desire to do so.

The worst of what is called good society is not only that it offers us the companionship of people who are unable to win either our praise or our affection, but that it does not allow of our being that which we naturally are; it compels us, for the sake of harmony, to shrivel up, or even alter our shape altogether. Intellectual conversation, whether grave or humorous, is only fit for intellectual society; it is downright abhorrent to ordinary people, to please whom it is absolutely necessary to be commonplace and dull. This demands an act of severe self-denial; we have to forfeit three-fourths of ourselves in order to become like other people. No doubt their company may be set down against our loss in this respect; but the more a man is worth, the more he will find that what he gains does not cover what he loses, and that the balance is on the debit side of the account; for the people with whom he deals are generally bankrupt, — that is to say, there is nothing to be got from their society which can compensate either for its boredom, annoyance and disagreeableness, or for the self-denial which it

renders necessary. Accordingly, most society is so constituted as to offer a good profit to anyone who will exchange it for solitude.

Nor is this all. By way of providing a substitute for real — I mean intellectual — superiority, which is seldom to be met with, and intolerable when it is found, society has capriciously adopted a false kind of superiority, conventional in its character, and resting upon arbitrary principles, — a tradition, as it were, handed down in the higher circles, and, like a password, subject to alteration; I refer to *bon-ton* fashion. Whenever this kind of superiority comes into collision with the real kind, its weakness is manifest. Moreover, the presence of *good tone* means the absence of *good sense*.

No man can be in *perfect accord* with any one but himself — not even with a friend or the partner of his life; differences of individuality and temperament are always bringing in some degree of discord, though it may be a very slight one. That genuine, profound peace of mind, that perfect tranquillity of soul, which, next to health, is the highest blessing the earth can give, is to be attained only in solitude, and, as a permanent mood, only in complete retirement; and then, if there is anything great and rich in the man's own self, his way of life is the happiest that may be found in this wretched world.

Let me speak plainly. However close the bond of friendship, love, marriage — a man, ultimately, looks to himself, to his own welfare alone; at most, to his child's too. The less necessity there is for you to come into contact with mankind in general, in the relations whether of business or of personal intimacy, the better off you are. Loneliness and solitude have their evils, it is true; but if you cannot feel them all at once, you can at least see where they lie; on the other hand, society is *insidious* in this respect; as in offering you what appears to be the pastime of pleasing social intercourse, it works great and often irreparable mischief. The young should early be trained to bear being left alone; for it is a source of happiness and peace of mind.

It follows from this that a man is best off if he be thrown upon his own resources and can be all in all to himself; and Cicero goes so far as to say that a man who is in this condition cannot fail to be very happy — *nemo potest non beatissimus esse qui est totus aptus ex sese, quique in se uno ponit omnia*. The more a man has in himself, the less others can be to him. The feeling of self-sufficiency! it is that which restrains those whose

personal value is in itself great riches, from such considerable sacrifices as are demanded by intercourse with the world, let alone, then, from actually practicing self-denial by going out of their way to seek it. Ordinary people are sociable and complaisant just from the very opposite feeling; — to bear others' company is easier for them than to bear their own. Moreover, respect is not paid in this world to that which has real merit; it is reserved for that which has none. So retirement is at once a proof and a result of being distinguished by the possession of meritorious qualities. It will therefore show real wisdom on the part of any one who is worth anything in himself, to limit his requirements as may be necessary, in order to preserve or extend his freedom, and, — since a man must come into some relations with his fellow-men — to admit them to his intimacy as little as possible.

I have said that people are rendered sociable by their ability to endure solitude, that is to say, their own society. They become sick of themselves. It is this vacuity of soul which drives them to intercourse with others, — to travels in foreign countries. Their mind is wanting in elasticity; it has no movement of its own, and so they try to give it some, — by drink, for instance. How much drunkenness is due to this cause alone! They are always looking for some form of excitement, of the strongest kind they can bear — the excitement of being with people of like nature with themselves; and if they fail in this, their mind sinks by its own weight, and they fall into a grievous lethargy. Such people, it may be said, possess only a small fraction of humanity in themselves; and it requires a great many of them put together to make up a fair amount of it, — to attain any degree of consciousness as men. A man, in the full sense of the word, — a man *par excellence* — does not represent a fraction, but a whole number: he is complete in himself.

Ordinary society is, in this respect, very like the kind of music to be obtained from an orchestra composed of Russian horns. Each horn has only one note; and the music is produced by each note coming in just at the right moment. In the monotonous sound of a single horn, you have a precise illustration of the effect of most people's minds. How often there seems to be only one thought there! and no room for any other. It is easy to see why people are so bored; and also why they are sociable, why they like to go about in crowds — why mankind is so *gregarious*. It is the monotony of his own nature that makes a man find solitude intolerable. *Omnis stultitia*

*laborat fastidio sui*: folly is truly its own burden. Put a great many men together, and you may get some result — some music from your horns!

A man of intellect is like an artist who gives a concert without any help from anyone else, playing on a single instrument — a piano, say, which is a little orchestra in itself. Such a man is a little world in himself; and the effect produced by various instruments together, he produces single-handed, in the unity of his own consciousness. Like the piano, he has no place in a symphony: he is a soloist and performs by himself, — in solitude, it may be; or, if in company with other instruments, only as principal; or for setting the tone, as in singing. However, those who are fond of society from time to time may profit by this simile, and lay it down as a general rule that deficiency of quality in those we meet may be to some extent compensated by an increase in quantity. One man's company may be quite enough, if he is clever; but where you have only ordinary people to deal with, it is advisable to have a great many of them, so that some advantage may accrue by letting them all work together, — on the analogy of the horns; and may Heaven grant you patience for your task!

That mental vacuity and barrenness of soul to which I have alluded, is responsible for another misfortune. When men of the better class form a society for promoting some noble or ideal aim, the result almost always is that the innumerable mob of humanity comes crowding in too, as it always does everywhere, like vermin — their object being to try and get rid of boredom, or some other defect of their nature; and anything that will effect that, they seize upon at once, without the slightest discrimination. Some of them will slip into that society, or push themselves in, and then either soon destroy it altogether, or alter it so much that in the end it comes to have a purpose the exact opposite of that which it had at first.

This is not the only point of view from which the social impulse may be regarded. On cold days people manage to get some warmth by crowding together; and you can warm your mind in the same way — by bringing it into contact with others. But a man who has a great deal of intellectual warmth in himself will stand in no need of such resources. I have written a little fable illustrating this: it may be found elsewhere. As a general rule, it may be said that a man's sociability stands very nearly in inverse ratio to his intellectual value: to say that "so and so" is very unsociable, is almost tantamount to saying that he is a man of great capacity.

Solitude is doubly advantageous to such a man. Firstly, it allows him to be with himself, and, secondly, it prevents him being with others — an advantage of great moment; for how much constraint, annoyance, and even danger there is in all intercourse with the world. *Tout notre mal*, says La Bruyère, *vient de ne pouvoir être seul*. It is really a very risky, nay, a fatal thing, to be sociable; because it means contact with natures, the great majority of which are bad morally, and dull or perverse, intellectually. To be unsociable is not to care about such people; and to have enough in oneself to dispense with the necessity of their company is a great piece of good fortune; because almost all our sufferings spring from having to do with other people; and that destroys the peace of mind, which, as I have said, comes next after health in the elements of happiness. Peace of mind is impossible without a considerable amount of solitude. The Cynics renounced all private property in order to attain the bliss of having nothing to trouble them; and to renounce society with the same object is the wisest thing a man can do. Bernardin de Saint Pierre has the very excellent and pertinent remark that to be sparing in regard to food is a means of health; in regard to society, a means of tranquillity — *la diète des ailmens nous rend la santé du corps, et celle des hommes la tranquillité de l'âme*. To be soon on friendly, or even affectionate, terms with solitude is like winning a gold mine; but this is not something which everybody can do. The prime reason for social intercourse is mutual need; and as soon as that is satisfied, boredom drives people together once more. If it were not for these two reasons, a man would probably elect to remain alone; if only because solitude is the sole condition of life which gives full play to that feeling of exclusive importance which every man has in his own eyes, — as if he were the only person in the world! a feeling which, in the throng and press of real life, soon shrivels up to nothing, getting, at every step, a painful *démenti*. From this point of view it may be said that solitude is the original and natural state of man, where, like another Adam, he is as happy as his nature will allow.

But still, had Adam no father or mother? There is another sense in which solitude is not the natural state; for, at his entrance into the world, a man finds himself with parents, brothers, sisters, that is to say, in society, and not alone. Accordingly it cannot be said that the love of solitude is an original characteristic of human nature; it is rather the result of experience and



reflection, and these in their turn depend upon the development of intellectual power, and increase with the years.

Speaking generally, sociability stands in inverse ratio with age. A little child raises a piteous cry of fright if it is left alone for only a few minutes; and later on, to be shut up by itself is a great punishment. Young people soon get on very friendly terms with one another; it is only the few among them of any nobility of mind who are glad now and then to be alone; — but to spend the whole day thus would be disagreeable. A grown-up man can easily do it; it is little trouble to him to be much alone, and it becomes less and less trouble as he advances in years. An old man who has outlived all his friends, and is either indifferent or dead to the pleasures of life, is in his proper element in solitude; and in individual cases the special tendency to retirement and seclusion will always be in direct proportion to intellectual capacity.

For this tendency is not, as I have said, a purely natural one; it does not come into existence as a direct need of human nature; it is rather the effect of the experience we go through, the product of reflection upon what our needs really are; proceeding, more especially, from the insight we attain into the wretched stuff of which most people are made, whether you look at their morals or their intellects. The worst of it all is that, in the individual, moral and intellectual shortcomings are closely connected and play into each other's hands, so that all manner of disagreeable results are obtained, which make intercourse with most people not only unpleasant but intolerable. Hence, though the world contains many things which are thoroughly bad, the worst thing in it is society. Even Voltaire, that sociable Frenchman, was obliged to admit that there are everywhere crowds of people not worth talking to: *la terre est couverte de gens qui ne méritent pas qu'on leur parle*. And Petrarch gives a similar reason for wishing to be alone — that tender spirit! so strong and constant in his love of seclusion. The streams, the plains and woods know well, he says, how he has tried to escape the perverse and stupid people who have missed the way to heaven:

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*Cercato ho sempre solitaria vita*

*(Le rive il sanno, e le campagne e i boschi)*

*Per fuggir quest' ingegni storti e loschi*

*Che la strada del ciel' hanno smarrita.*

He pursues the same strain in that delightful book of his, *De Vita Solitaria*, which seems to have given Zimmerman the idea of his celebrated work on *Solitude*. It is the secondary and indirect character of the love of seclusion to which Chamfort alludes in the following passage, couched in his sarcastic vein: *On dit quelquefois d'un homme qui vit seul, il n'aime pas la société. C'est souvent comme si on disait d'un homme qu'il n'aime pas la promenade, sous le prétexte qu'il ne se promène pas volontiers le soir dans le forêt de Bondy.*

You will find a similar sentiment expressed by the Persian poet Sadi, in his *Garden of Roses*. *Since that time*, he says, *we have taken leave of society, preferring the path of seclusion; for there is safety in solitude.* Angelus Silesius, a very gentle and Christian writer, confesses to the same feeling, in his own mythical language. Herod, he says, is the common enemy; and when, as with Joseph, God warns us of danger, we fly from the world to solitude, from Bethlehem to Egypt; or else suffering and death await us! —

*Herodes ist ein Feind; der Joseph der Verstand,  
Dem machte Gott die Gefahr im Traum (in Geist) bekannt;  
Die Welt ist Bethlehem, Aegypten Einsamkeit,  
Fleuch, meine Seele! fleuch, sonst stirbest du vor Leid.*

Giordano Bruno also declares himself a friend of seclusion. *Tanti uomini*, he says, *che in terra hanno voluto gustare vita celeste, dissero con una voce, "ecce elongavi fugiens et mansi in solitudine"* — those who in this world have desired a foretaste of the divine life, have always proclaimed with one voice:

*Lo! then would I wander far off; I would lodge in the wilderness.*

And in the work from which I have already quoted, Sadi says of himself: *In disgust with my friends at Damascus, I withdrew into the desert about Jerusalem, to seek the society of the beasts of the field.* In short, the same thing has been said by all whom Prometheus has formed out of better clay. What pleasure could they find in the company of people with whom their only common ground is just what is lowest and least noble in their own nature — the part of them that is commonplace, trivial and vulgar? What do they want with people who cannot rise to a higher level, and for whom nothing remains but to drag others down to theirs? for this is what they aim

at. It is an aristocratic feeling that is at the bottom of this propensity to seclusion and solitude.

Rascals are always sociable — more's the pity! and the chief sign that a man has any nobility in his character is the little pleasure he takes in others' company. He prefers solitude more and more, and, in course of time, comes to see that, with few exceptions, the world offers no choice beyond solitude on one side and vulgarity on the other. This may sound a hard thing to say; but even Angelus Silesius, with all his Christian feelings of gentleness and love, was obliged to admit the truth of it. However painful solitude may be, he says, be careful not to be vulgar; for then you may find a desert everywhere: —

*Die Einsamkeit ist noth: doch sei nur nicht gemein, So kannst du überall in einer Wüste sein.*

It is natural for great minds — the true teachers of humanity — to care little about the constant company of others; just as little as the schoolmaster cares for joining in the gambols of the noisy crowd of boys which surround him. The mission of these great minds is to guide mankind over the sea of error to the haven of truth — to draw it forth from the dark abysses of a barbarous vulgarity up into the light of culture and refinement. Men of great intellect live in the world without really belonging to it; and so, from their earliest years, they feel that there is a perceptible difference between them and other people. But it is only gradually, with the lapse of years, that they come to a clear understanding of their position. Their intellectual isolation is then reinforced by actual seclusion in their manner of life; they let no one approach who is not in some degree emancipated from the prevailing vulgarity.

From what has been said it is obvious that the love of solitude is not a direct, original impulse in human nature, but rather something secondary and of gradual growth. It is the more distinguishing feature of nobler minds, developed not without some conquest of natural desires, and now and then in actual opposition to the promptings of Mephistopheles — bidding you exchange a morose and soul-destroying solitude for life amongst men, for society; even the worst, he says, will give a sense of human fellowship: —

*Hör' auf mit deinem Gram zu spielen,  
Der, wie ein Geier, dir am Leben frisst:*

*Die schlechteste Gesellschaft lässt dich fühlen  
Dass du ein Mensch mit Menschen bist.*

To be alone is the fate of all great minds — a fate deplored at times, but still always chosen as the less grievous of two evils. As the years increase, it always becomes easier to say, Dare to be wise — *sapere aude*. And after sixty, the inclination to be alone grows into a kind of real, natural instinct; for at that age everything combines in favor of it. The strongest impulse — the love of woman's society — has little or no effect; it is the sexless condition of old age which lays the foundation of a certain self-sufficiency, and that gradually absorbs all desire for others' company. A thousand illusions and follies are overcome; the active years of life are in most cases gone; a man has no more expectations or plans or intentions. The generation to which he belonged has passed away, and a new race has sprung up which looks upon him as essentially outside its sphere of activity. And then the years pass more quickly as we become older, and we want to devote our remaining time to the intellectual rather than to the practical side of life. For, provided that the mind retains its faculties, the amount of knowledge and experience we have acquired, together with the facility we have gained in the use of our powers, makes it then more than ever easy and interesting to us to pursue the study of any subject. A thousand things become clear which were formerly enveloped in obscurity, and results are obtained which give a feeling of difficulties overcome. From long experience of men, we cease to expect much from them; we find that, on the whole, people do not gain by a nearer acquaintance; and that — apart from a few rare and fortunate exceptions — we have come across none but defective specimens of human nature which it is advisable to leave in peace. We are no more subject to the ordinary illusions of life; and as, in individual instances, we soon see what a man is made of, we seldom feel any inclination to come into closer relations with him. Finally, isolation — our own society — has become a habit, as it were a second nature to us, more especially if we have been on friendly terms with it from our youth up. The love of solitude which was formerly indulged only at the expense of our desire for society, has now come to be the simple quality of our natural disposition — the element proper to our life, as water to a fish. This is why anyone who possesses a unique individuality — unlike others and therefore necessarily

isolated — feels that, as he becomes older, his position is no longer so burdensome as when he was young.

For, as a matter of fact, this very genuine privilege of old age is one which can be enjoyed only if a man is possessed of a certain amount of intellect; it will be appreciated most of all where there is real mental power; but in some degree by every one. It is only people of very barren and vulgar nature who will be just as sociable in their old age as they were in their youth. But then they become troublesome to a society to which they are no longer suited, and, at most, manage to be tolerated; whereas, they were formerly in great request.

There is another aspect of this inverse proportion between age and sociability — the way in which it conduces to education. The younger that people are, the more in every respect they have to learn; and it is just in youth that Nature provides a system of mutual education, so that mere intercourse with others, at that time of life, carries instruction with it. Human society, from this point of view, resembles a huge academy of learning, on the Bell and Lancaster system, opposed to the system of education by means of books and schools, as something artificial and contrary to the institutions of Nature. It is therefore a very suitable arrangement that, in his young days, a man should be a very diligent student at the place of learning provided by Nature herself.

But there is nothing in life which has not some drawback — *nihil est ab omni parte beatum*, as Horace says; or, in the words of an Indian proverb, *no lotus without a stalk*. Seclusion, which has so many advantages, has also its little annoyances and drawbacks, which are small, however, in comparison with those of society; hence anyone who is worth much in himself will get on better without other people than with them. But amongst the disadvantages of seclusion there is one which is not so easy to see as the rest. It is this: when people remain indoors all day, they become physically very sensitive to atmospheric changes, so that every little draught is enough to make them ill; so with our temper; a long course of seclusion makes it so sensitive that the most trivial incidents, words, or even looks, are sufficient to disturb or to vex and offend us — little things which are unnoticed by those who live in the turmoil of life.

When you find human society disagreeable and feel yourself justified in flying to solitude, you can be so constituted as to be unable to bear the depression of it for any length of time, which will probably be the case if

you are young. Let me advise you, then, to form the habit of taking some of your solitude with you into society, to learn to be to some extent alone even though you are in company; not to say at once what you think, and, on the other hand, not to attach too precise a meaning to what others say; rather, not to expect much of them, either morally or intellectually, and to strengthen yourself in the feeling of indifference to their opinion, which is the surest way of always practicing a praiseworthy toleration. If you do that, you will not live so much with other people, though you may appear to move amongst them: your relation to them will be of a purely objective character. This precaution will keep you from too close contact with society, and therefore secure you against being contaminated or even outraged by it. Society is in this respect like a fire — the wise man warming himself at a proper distance from it; not coming too close, like the fool, who, on getting scorched, runs away and shivers in solitude, loud in his complaint that the fire burns.

**SECTION 10. *Envy is natural to man; and still, it is at once a vice and a source of misery. We should treat it as the enemy of our happiness, and stifle it like an evil thought. This is the advice given by Seneca; as he well puts it, we shall be pleased with what we have, if we avoid the self-torture of comparing our own lot with some other and happier one —***  
*nostra nos sine comparatione delectent; nunquam erit felix quem torquebit felicius.* And again, *quum adspexeris quot te antecedent, cogita quot sequantur* — if a great many people appear to be better off than yourself, think how many there are in a worse position. It is a fact that if real calamity comes upon us, the most effective consolation — though it springs from the same source as envy — is just the thought of greater misfortunes than ours; and the next best is the society of those who are in the same luck as we — the partners of our sorrows.

So much for the envy which we may feel towards others. As regards the envy which we may excite in them, it should always be remembered that no form of hatred is so implacable as the hatred that comes from envy; and therefore we should always carefully refrain from doing anything to rouse it; nay, as with many another form of vice, it is better altogether to renounce any pleasure there may be in it, because of the serious nature of its consequences.

Aristocracies are of three kinds: (1) of birth and rank; (2) of wealth; and (3) of intellect. The last is really the most distinguished of the three, and its claim to occupy the first position comes to be recognized, if it is only allowed time to work. So eminent a king as Frederick the Great admitted it — *les âmes privilégiées rangent à l'égal des souverains*, as he said to his chamberlain, when the latter expressed his surprise that Voltaire should have a seat at the table reserved for kings and princes, whilst ministers and generals were relegated to the chamberlain's.

Every one of these aristocracies is surrounded by a host of envious persons. If you belong to one of them, they will be secretly embittered against you; and unless they are restrained by fear, they will always be anxious to let you understand that *you are no better than they*. It is by their anxiety to let you know this, that they betray how greatly they are conscious that the opposite is the truth.

The line of conduct to be pursued if you are exposed to envy, is to keep the envious persons at a distance, and, as far as possible, avoid all contact with them, so that there may be a wide gulf fixed between you and them; if this cannot be done, to bear their attacks with the greatest composure. In the latter case, the very thing that provokes the attack will also neutralize it. This is what appears to be generally done.

The members of one of these aristocracies usually get on very well with those of another, and there is no call for envy between them, because their several privileges effect an equipoise.

**SECTION 11. Give mature and repeated consideration to any plan before you proceed to carry it out; and even after you have thoroughly turned it over in your mind, make some concession to the incompetency of human judgment; for it may always happen that circumstances which cannot be investigated or foreseen, will come in and upset the whole of your calculation. This is a reflection that will always influence the negative side of the balance — a kind of warning to refrain from unnecessary action in matters of importance — *quieta non movere*. But having once made up your mind and begun your work, you must let it run its course and abide the result — not worry yourself by fresh reflections on what is already accomplished, or by a renewal of your scruples on the score of possible danger: free your mind from the subject altogether, and refuse to go into it again, secure in the thought**

**that you gave it mature attention at the proper time. This is the same advice as is given by an Italian proverb — *legala bene e poi lascia la andare* — which Goethe has translated thus: See well to your girths, and then ride on boldly.**

And if, notwithstanding that, you fail, it is because human affairs are the sport of chance and error. Socrates, the wisest of men, needed the warning voice of his good genius, or [Greek: daimonion], to enable him to do what was right in regard to his own personal affairs, or at any rate, to avoid mistakes; which argues that the human intellect is incompetent for the purpose. There is a saying — which is reported to have originated with one of the Popes — that when misfortune happens to us, the blame of it, at least in some degree, attaches to ourselves. If this is not true absolutely and in every instance, it is certainly true in the great majority of cases. It even looks as if this truth had a great deal to do with the effort people make as far as possible to conceal their misfortunes, and to put the best face they can upon them, for fear lest their misfortunes may show how much they are to blame.

## **SECTION 12.**

In the case of a misfortune which has already happened and therefore cannot be altered, you should not allow yourself to think that it might have been otherwise; still less, that it might have been avoided by such and such means; for reflections of this kind will only add to your distress and make it intolerable, so that you will become a tormentor to yourself — [Greek: heautontimoroumeaos]. It is better to follow the example of King David; who, as long as his son lay on the bed of sickness, assailed Jehovah with unceasing supplications and entreaties for his recovery; but when he was dead, snapped his fingers and thought no more of it. If you are not light-hearted enough for that, you can take refuge in fatalism, and have the great truth revealed to you that everything which happens is the result of necessity, and therefore inevitable.

However good this advice may be, it is one-sided and partial. In relieving and quieting us for the moment, it is no doubt effective enough; but when our misfortunes have resulted — as is usually the case — from our own carelessness or folly, or, at any rate, partly by our own fault, it is a



good thing to consider how they might have been avoided, and to consider it often in spite of its being a tender subject — a salutary form of self-discipline, which will make us wiser and better men for the future. If we have made obvious mistakes, we should not try, as we generally do, to gloss them over, or to find something to excuse or extenuate them; we should admit to ourselves that we have committed faults, and open our eyes wide to all their enormity, in order that we may firmly resolve to avoid them in time to come. To be sure, that means a great deal of self-inflicted pain, in the shape of discontent, but it should be remembered that to spare the rod is to spoil the child — [Greek: *ho mae dareis anthropos ou paideuetai*].

**SECTION 13. In all matters affecting our weal or woe, we should be careful not to let our imagination run away with us, and build no castles in the air. In the first place, they are expensive to build, because we have to pull them down again immediately, and that is a source of grief. We should be still more on our guard against distressing our hearts by depicting possible misfortunes. If these were misfortunes of a purely imaginary kind, or very remote and unlikely, we should at once see, on awaking from our dream, that the whole thing was mere illusion; we should rejoice all the more in a reality better than our dreams, or at most, be warned against misfortunes which, though very remote, were still possible. These, however, are not the sort of playthings in which imagination delights; it is only in idle hours that we build castles in the air, and they are always of a pleasing description. The matter which goes to form gloomy dreams are mischances which to some extent really threaten us, though it be from some distance; imagination makes us look larger and nearer and more terrible than they are in reality. This is a kind of dream which cannot be so readily shaken off on awaking as a pleasant one; for a pleasant dream is soon dispelled by reality, leaving, at most, a feeble hope lying in the lap of possibility. Once we have abandoned ourselves to a fit of the blues, visions are conjured up which do not so easily vanish again; for it is always just possible that the visions may be realized. But we are not always able to estimate the exact degree of possibility: possibility may easily pass into probability; and thus we deliver ourselves up to torture. Therefore we should be careful not to be over-anxious on any matter**

**affecting our weal or our woe, not to carry our anxiety to unreasonable or injudicious limits; but coolly and dispassionately to deliberate upon the matter, as though it were an abstract question which did not touch us in particular. We should give no play to imagination here; for imagination is not judgment — it only conjures up visions, inducing an unprofitable and often very painful mood.**

The rule on which I am here insisting should be most carefully observed towards evening. For as darkness makes us timid and apt to see terrifying shapes everywhere, there is something similar in the effect of indistinct thought; and uncertainty always brings with it a sense of danger. Hence, towards evening, when our powers of thought and judgment are relaxed, — at the hour, as it were, of subjective darkness, — the intellect becomes tired, easily confused, and unable to get at the bottom of things; and if, in that state, we meditate on matters of personal interest to ourselves, they soon assume a dangerous and terrifying aspect. This is mostly the case at night, when we are in bed; for then the mind is fully relaxed, and the power of judgment quite unequal to its duties; but imagination is still awake. Night gives a black look to everything, whatever it may be. This is why our thoughts, just before we go to sleep, or as we lie awake through the hours of the night, are usually such confusions and perversions of facts as dreams themselves; and when our thoughts at that time are concentrated upon our own concerns, they are generally as black and monstrous as possible. In the morning all such nightmares vanish like dreams: as the Spanish proverb has it, *noche tinta, bianco el dia* — the night is colored, the day is white. But even towards nightfall, as soon as the candles are lit, the mind, like the eye, no longer sees things so clearly as by day: it is a time unsuited to serious meditation, especially on unpleasant subjects. The morning is the proper time for that — as indeed for all efforts without exception, whether mental or bodily. For the morning is the youth of the day, when everything is bright, fresh, and easy of attainment; we feel strong then, and all our faculties are completely at our disposal. Do not shorten the morning by getting up late, or waste it in unworthy occupations or in talk; look upon it as the quintessence of life, as to a certain extent sacred. Evening is like old age: we are languid, talkative, silly. Each day is a little life: every waking and rising a little birth, every fresh morning a little youth, every going to rest and sleep a little death.

But condition of health, sleep, nourishment, temperature, weather, surroundings, and much else that is purely external, have, in general, an important influence upon our mood and therefore upon our thoughts. Hence both our view of any matter and our capacity for any work are very much subject to time and place. So it is best to profit by a good mood — for how seldom it comes! —

*Nehmt die gute Stimmung wahr, Denn sie kommt so selten.*

We are not always able to form new ideas about; our surroundings, or to command original thoughts: they come if they will, and when they will. And so, too, we cannot always succeed in completely considering some personal matter at the precise time at which we have determined beforehand to consider it, and just when we set ourselves to do so. For the peculiar train of thought which is favorable to it may suddenly become active without any special call being made upon it, and we may then follow it up with keen interest. In this way reflection, too, chooses its own time.

This reining-in of the imagination which I am recommending, will also forbid us to summon up the memory of the past misfortune, to paint a dark picture of the injustice or harm that has been done us, the losses we have sustained, the insults, slights and annoyances to which we have been exposed: for to do that is to rouse into fresh life all those hateful passions long laid asleep — the anger and resentment which disturb and pollute our nature. In an excellent parable, Proclus, the Neoplatonist, points out how in every town the mob dwells side by side with those who are rich and distinguished: so, too, in every man, be he never so noble and dignified, there is, in the depth of his nature, a mob of low and vulgar desires which constitute him an animal. It will not do to let this mob revolt or even so much as peep forth from its hiding-place; it is hideous of mien, and its rebel leaders are those flights of imagination which I have been describing. The smallest annoyance, whether it comes from our fellow-men or from the things around us, may swell up into a monster of dreadful aspect, putting us at our wits' end — and all because we go on brooding over our troubles and painting them in the most glaring colors and on the largest scale. It is much better to take a very calm and prosaic view of what is disagreeable; for that is the easiest way of bearing it.

If you hold small objects close to your eyes, you limit your field of vision and shut out the world. And, in the same way, the people or the things which stand nearest, even though they are of the very smallest

consequence, are apt to claim an amount of attention much beyond their due, occupying us disagreeably, and leaving no room for serious thoughts and affairs of importance. We ought to work against this tendency.

**SECTION 14. The sight of things which do not belong to us is very apt to raise the thought: *Ah, if that were only mine!* making us sensible of our privation. Instead of that we should do better by more frequently putting to ourselves the opposite case: *Ah, if that were not mine.* What I mean is that we should sometimes try to look upon our possessions in the light in which they would appear if we had lost them; whatever they may be, property, health, friends, a wife or child or someone else we love, our horse or our dog — it is usually only when we have lost them that we begin to find out their value. But if we come to look at things in the way I recommend, we shall be doubly the gainers; we shall at once get more pleasure out of them than we did before, and we shall do everything in our power to prevent the loss of them; for instance, by not risking our property, or angering our friends, or exposing our wives to temptation, or being careless about our children's health, and so on.**

We often try to banish the gloom and despondency of the present by speculating upon our chances of success in the future; a process which leads us to invent a great many chimerical hopes. Every one of them contains the germ of illusion, and disappointment is inevitable when our hopes are shattered by the hard facts of life.

It is less hurtful to take the chances of misfortune as a theme for speculation; because, in doing so, we provide ourselves at once with measures of precaution against it, and a pleasant surprise when it fails to make its appearance. Is it not a fact that we always feel a marked improvement in our spirits when we begin to get over a period of anxiety? I may go further and say that there is some use in occasionally looking upon terrible misfortunes — such as might happen to us — as though they had actually happened, for then the trivial reverses which subsequently come in reality, are much easier to bear. It is a source of consolation to look back upon those great misfortunes which never happened. But in following out this rule, care must be taken not to neglect what I have said in the preceding section.

**SECTION 15. The things which engage our attention — whether they are matters of business or ordinary events — are of such diverse kinds, that, if taken quite separately and in no fixed order or relation, they present a medley of the most glaring contrasts, with nothing in common, except that they one and all affect us in particular. There must be a corresponding abruptness in the thoughts and anxieties which these various matters arouse in us, if our thoughts are to be in keeping with their various subjects. Therefore, in setting about anything, the first step is to withdraw our attention from everything else: this will enable us to attend to each matter at its own time, and to enjoy or put up with it, quite apart from any thought of our remaining interests. Our thoughts must be arranged, as it were, in little drawers, so that we may open one without disturbing any of the others.**

In this way we can keep the heavy burden of anxiety from weighing upon us so much as to spoil the little pleasures of the present, or from robbing us of our rest; otherwise the consideration of one matter will interfere with every other, and attention to some important business may lead us to neglect many affairs which happen to be of less moment. It is most important for everyone who is capable of higher and nobler thoughts to keep their mind from being so completely engrossed with private affairs and vulgar troubles as to let them take up all his attention and crowd out worthier matter; for that is, in a very real sense, to lose sight of the true end of life — *propter vitam vivendi perdere causas*.

Of course for this — as for so much else — self-control is necessary; without it, we cannot manage ourselves in the way I have described. And self-control may not appear so very difficult, if we consider that every man has to submit to a great deal of very severe control on the part of his surroundings, and that without it no form of existence is possible. Further, a little self-control at the right moment may prevent much subsequent compulsion at the hands of others; just as a very small section of a circle close to the centre may correspond to a part near the circumference a hundred times as large. Nothing will protect us from external compulsion so much as the control of ourselves; and, as Seneca says, to submit yourself to reason is the way to make everything else submit to you — *si tibi vis omnia subjicere, te subjice rationi*. Self-control, too, is something which we have

in our own power; and if the worst comes to the worst, and it touches us in a very sensitive part, we can always relax its severity. But other people will pay no regard to our feelings, if they have to use compulsion, and we shall be treated without pity or mercy. Therefore it will be prudent to anticipate compulsion by self-control.

**SECTION 16.** We must set limits to our wishes, curb our desires, moderate our anger, always remembering that an individual can attain only an infinitesimal share in anything that is worth having; and that, on the other hand, everyone must incur many of the ills of life; in a word, we must bear and forbear — *abstinere et sustinere*; and if we fail to observe this rule, no position of wealth or power will prevent us from feeling wretched. This is what Horace means when he recommends us to study carefully and inquire diligently what will best promote a tranquil life — not to be always agitated by fruitless desires and fears and hopes for things, which, after all, are not worth very much: —

*Inter cuncta leges et percontabere doctos  
Qua ratione queas traducere leniter aevum;  
Ne te semper inops agitet vexetque cupido,  
Ne pavor, et rerum mediocriter utilium spes.*

**SECTION 17.** Life consists in movement, says Aristotle; and he is obviously right. We exist, physically, because our organism is the seat of constant motion; and if we are to exist intellectually, it can only be by means of continual occupation — no matter with what so long as it is some form of practical or mental activity. You may see that this is so by the way in which people who have no work or nothing to think about, immediately begin to beat the devil's tattoo with their knuckles or a stick or anything that comes handy. The truth is, that our nature is essentially *restless* in its character: we very soon get tired of having nothing to do; it is intolerable boredom. This impulse to activity should be regulated, and some sort of method introduced into it, which of itself will enhance the satisfaction we obtain. Activity! — doing something, if possible creating something, at any rate learning something — how fortunate it is that men cannot exist without that! A man wants to use

**his strength, to see, if he can, what effect it will produce; and he will get the most complete satisfaction of this desire if he can make or construct something — be it a book or a basket. There is a direct pleasure in seeing work grow under one's hands day by day, until at last it is finished. This is the pleasure attaching to a work of art or a manuscript, or even mere manual labor; and, of course, the higher the work, the greater pleasure it will give.**

From this point of view, those are happiest of all who are conscious of the power to produce great works animated by some significant purpose: it gives a higher kind of interest — a sort of rare flavor — to the whole of their life, which, by its absence from the life of the ordinary man, makes it, in comparison, something very insipid. For richly endowed natures, life and the world have a special interest beyond the mere everyday personal interest which so many others share; and something higher than that — a formal interest. It is from life and the world that they get the material for their works; and as soon as they are freed from the pressure of personal needs, it is to the diligent collection of material that they devote their whole existence. So with their intellect: it is to some extent of a two-fold character, and devoted partly to the ordinary affairs of every day — those matters of will which are common to them and the rest of mankind, and partly to their peculiar work — the pure and objective contemplation of existence. And while, on the stage of the world, most men play their little part and then pass away, the genius lives a double life, at once an actor and a spectator.

Let everyone, then, do something, according to the measure of his capacities. To have no regular work, no set sphere of activity — what a miserable thing it is! How often long travels undertaken for pleasure make a man downright unhappy; because the absence of anything that can be called occupation forces him, as it were, out of his right element. Effort, struggles with difficulties! that is as natural to a man as grubbing in the ground is to a mole. To have all his wants satisfied is something intolerable — the feeling of stagnation which comes from pleasures that last too long. To overcome difficulties is to experience the full delight of existence, no matter where the obstacles are encountered; whether in the affairs of life, in commerce or business; or in mental effort — the spirit of inquiry that tries to master its subject. There is always something pleasurable in the struggle and the victory. And if a man has no opportunity to excite himself, he will do what

he can to create one, and according to his individual bent, he will hunt or play Cup and Ball: or led on by this unsuspected element in his nature, he will pick a quarrel with some one, or hatch a plot or intrigue, or take to swindling and rascally courses generally — all to put an end to a state of repose which is intolerable. As I have remarked, *difficilis in otio quies* — it is difficult to keep quiet if you have nothing to do.

**SECTION 18. A man should avoid being led on by the phantoms of his imagination. This is not the same thing as to submit to the guidance of ideas clearly thought out: and yet these are rules of life which most people pervert. If you examine closely into the circumstances which, in any deliberation, ultimately turn the scale in favor of some particular course, you will generally find that the decision is influenced, not by any clear arrangement of ideas leading to a formal judgment, but by some fanciful picture which seems to stand for one of the alternatives in question.**

In one of Voltaire's or Diderot's romances, — I forget the precise reference, — the hero, standing like a young Hercules at the parting of ways, can see no other representation of Virtue than his old tutor holding a snuff-box in his left hand, from which he takes a pinch and moralizes; whilst Vice appears in the shape of his mother's chambermaid. It is in youth, more especially, that the goal of our efforts comes to be a fanciful picture of happiness, which continues to hover before our eyes sometimes for half and even for the whole of our life — a sort of mocking spirit; for when we think our dream is to be realized, the picture fades away, leaving us the knowledge that nothing of what it promised is actually accomplished. How often this is so with the visions of domesticity — the detailed picture of what our home will be like; or, of life among our fellow-citizens or in society; or, again, of living in the country — the kind of house we shall have, its surroundings, the marks of honor and respect that will be paid to us, and so on, — whatever our hobby may be; *chaque fou a sa marotte*. It is often the same, too, with our dreams about one we love. And this is all quite natural; for the visions we conjure up affect us directly, as though they were real objects; and so they exercise a more immediate influence upon our will than an abstract idea, which gives merely a vague, general outline, devoid of details; and the details are just the real part of it. We can be only



indirectly affected by an abstract idea, and yet it is the abstract idea alone which will do as much as it promises; and it is the function of education to teach us to put our trust in it. Of course the abstract idea must be occasionally explained — paraphrased, as it were — by the aid of pictures; but discreetly, *cum grano salis*.

**SECTION 19. The preceding rule may be taken as a special case of the more general maxim, that a man should never let himself be mastered by the impressions of the moment, or indeed by outward appearances at all, which are incomparably more powerful in their effects than the mere play of thought or a train of ideas; not because these momentary impressions are rich in virtue of the data they supply, — it is often just the contrary, — but because they are something palpable to the senses and direct in their working; they forcibly invade our mind, disturbing our repose and shattering our resolutions.**

It is easy to understand that the thing which lies before our very eyes will produce the whole of its effect at once, but that time and leisure are necessary for the working of thought and the appreciation of argument, as it is impossible to think of everything at one and the same moment. This is why we are so allured by pleasure, in spite of all our determination to resist it; or so much annoyed by a criticism, even though we know that its author is totally incompetent to judge; or so irritated by an insult, though it comes from some very contemptible quarter. In the same way, to mention no other instances, ten reasons for thinking that there is no danger may be outweighed by one mistaken notion that it is actually at hand. All this shows the radical unreason of human nature. Women frequently succumb altogether to this predominating influence of present impressions, and there are few men so overweighted with reason as to escape suffering from a similar cause.

If it is impossible to resist the effects of some external influence by the mere play of thought, the best thing to do is to neutralize it by some contrary influence; for example, the effect of an insult may be overcome by seeking the society of those who have a good opinion of us; and the unpleasant sensation of imminent danger may be avoided by fixing our attention on the means of warding it off.

Leibnitz tells of an Italian who managed to bear up under the tortures of the rack by never for a moment ceasing to think of the gallows which would have awaited him, had he revealed his secret; he kept on crying out: *I see it! I see it!* — afterwards explaining that this was part of his plan.

It is from some such reason as this, that we find it so difficult to stand alone in a matter of opinion, — not to be made irresolute by the fact that everyone else disagrees with us and acts accordingly, even though we are quite sure that they are in the wrong. Take the case of a fugitive king who is trying to avoid capture; how much consolation he must find in the ceremonious and submissive attitude of a faithful follower, exhibited secretly so as not to betray his master's strict *incognito*; it must be almost necessary to prevent him doubting his own existence.

**SECTION 20. In the first part of this work I have insisted upon the great value of *health* as the chief and most important element in happiness. Let me emphasize and confirm what I have there said by giving a few general rules as to its preservation.**

The way to harden the body is to impose a great deal of labor and effort upon it in the days of good health, — to exercise it, both as a whole and in its several parts, and to habituate it to withstand all kinds of noxious influences. But on the appearance of an illness or disorder, either in the body as a whole or in many of its parts, a contrary course should be taken, and every means used to nurse the body, or the part of it which is affected, and to spare it any effort; for what is ailing and debilitated cannot be hardened.

The muscles may be strengthened by a vigorous use of them; but not so the nerves; they are weakened by it. Therefore, while exercising the muscles in every way that is suitable, care should be taken to spare the nerves as much as possible. The eyes, for instance, should be protected from too strong a light, — especially when it is reflected light, — from any straining of them in the dark, or from the long-continued examination of minute objects; and the ears from too loud sounds. Above all, the brain should never be forced, or used too much, or at the wrong time; let it have a rest during digestion; for then the same vital energy which forms thoughts in the brain has a great deal of work to do elsewhere, — I mean in the digestive organs, where it prepares chyme and chyle. For similar reasons,

the brain should never be used during, or immediately after, violent muscular exercise. For the motor nerves are in this respect on a par with the sensory nerves; the pain felt when a limb is wounded has its seat in the brain; and, in the same way, it is not really our legs and arms which work and move, — it is the brain, or, more strictly, that part of it which, through the medium of the spine, excites the nerves in the limbs and sets them in motion. Accordingly, when our arms and legs feel tired, the true seat of this feeling is in the brain. This is why it is only in connection with those muscles which are set in motion consciously and voluntarily, — in other words, depend for their action upon the brain, — that any feeling of fatigue can arise; this is not the case with those muscles which work involuntarily, like the heart. It is obvious, then, that injury is done to the brain if violent muscular exercise and intellectual exertion are forced upon it at the same moment, or at very short intervals.

What I say stands in no contradiction with the fact that at the beginning of a walk, or at any period of a short stroll, there often comes a feeling of enhanced intellectual vigor. The parts of the brain that come into play have had no time to become tired; and besides, slight muscular exercise conduces to activity of the respiratory organs, and causes a purer and more oxydated supply of arterial blood to mount to the brain.

It is most important to allow the brain the full measure of sleep which is required to restore it; for sleep is to a man's whole nature what winding up is to a clock. This measure will vary directly with the development and activity of the brain; to overstep the measure is mere waste of time, because if that is done, sleep gains only so much in length as it loses in depth.

It should be clearly understood that thought is nothing but the organic function of the brain; and it has to obey the same laws in regard to exertion and repose as any other organic function. The brain can be ruined by overstrain, just like the eyes. As the function of the stomach is to digest, so it is that of the brain to think. The notion of a *soul*, — as something elementary and immaterial, merely lodging in the brain and needing nothing at all for the performance of its essential function, which consists in always and unweariedly *thinking* — has undoubtedly driven many people to foolish practices, leading to a deadening of the intellectual powers; Frederick the Great, even, once tried to form the habit of doing without sleep altogether. It would be well if professors of philosophy refrained from giving currency to

a notion which is attended by practical results of a pernicious character; but then this is just what professorial philosophy does, in its old-womanish endeavor to keep on good terms with the catechism. A man should accustom himself to view his intellectual capacities in no other light than that of physiological functions, and to manage them accordingly — nursing or exercising them as the case may be; remembering that every kind of physical suffering, malady or disorder, in whatever part of the body it occurs, has its effect upon the mind. The best advice that I know on this subject is given by Cabanis in his *Rapports du physique et du moral de l'homme*.

Through neglect of this rule, many men of genius and great scholars have become weak-minded and childish, or even gone quite mad, as they grew old. To take no other instances, there can be no doubt that the celebrated English poets of the early part of this century, Scott, Wordsworth, Southey, became intellectually dull and incapable towards the end of their days, nay, soon after passing their sixtieth year; and that their imbecility can be traced to the fact that, at that period of life, they were all led on? by the promise of high pay, to treat literature as a trade and to write for money. This seduced them into an unnatural abuse of their intellectual powers; and a man who puts his Pegasus into harness, and urges on his Muse with the whip, will have to pay a penalty similar to that which is exacted by the abuse of other kinds of power.

And even in the case of Kant, I suspect that the second childhood of his last four years was due to overwork in later life, and after he had succeeded in becoming a famous man.

Every month of the year has its own peculiar and direct influence upon health and bodily condition generally; nay, even upon the state of the mind. It is an influence dependent upon the weather.

## CHAPTER III.

### OUR RELATION TO OTHERS. — SECTION 21.

In making his way through life, a man will find it useful to be ready and able to do two things: to look ahead and to overlook: the one will protect him from loss and injury, the other from disputes and squabbles.

No one who has to live amongst men should absolutely discard any person who has his due place in the order of nature, even though he is very wicked or contemptible or ridiculous. He must accept him as an unalterable fact — unalterable, because the necessary outcome of an eternal, fundamental principle; and in bad cases he should remember the words of Mephistopheles: *es muss auch solche Käuze geben* — there must be fools and rogues in the world. If he acts otherwise, he will be committing an injustice, and giving a challenge of life and death to the man he discards. No one can alter his own peculiar individuality, his moral character, his intellectual capacity, his temperament or physique; and if we go so far as to condemn a man from every point of view, there will be nothing left him but to engage us in deadly conflict; for we are practically allowing him the right to exist only on condition that he becomes another man — which is impossible; his nature forbids it.

So if you have to live amongst men, you must allow everyone the right to exist in accordance with the character he has, whatever it turns out to be: and all you should strive to do is to make use of this character in such a way as its kind and nature permit, rather than to hope for any alteration in it, or to condemn it off-hand for what it is. This is the true sense of the maxim — Live and let live. That, however, is a task which is difficult in proportion as it is right; and he is a happy man who can once for all avoid having to do with a great many of his fellow creatures.

The art of putting up with people may be learned by practicing patience on inanimate objects, which, in virtue of some mechanical or general physical necessity, oppose a stubborn resistance to our freedom of action — a form of patience which is required every day. The patience thus gained may be applied to our dealings with men, by accustoming ourselves to regard their opposition, wherever we encounter it, as the inevitable outcome of their nature, which sets itself up against us in virtue of the same rigid law

of necessity as governs the resistance of inanimate objects. To become indignant at their conduct is as foolish as to be angry with a stone because it rolls into your path. And with many people the wisest thing you can do, is to resolve to make use of those whom you cannot alter.

**SECTION 22. It is astonishing how easily and how quickly similarity, or difference of mind and disposition, makes itself felt between one man and another as soon as they begin to talk: every little trifle shows it.**

**When two people of totally different natures are conversing, almost everything said by the one will, in a greater or less degree, displease the other, and in many cases produce positive annoyance; even though the conversation turn upon the most out-of-the-way subject, or one in which neither of the parties has any real interest. People of similar nature, on the other hand, immediately come to feel a kind of general agreement; and if they are cast very much in the same mould, complete harmony or even unison will flow from their intercourse.**

This explain two circumstances. First of all, it shows why it is that common, ordinary people are so sociable and find good company wherever they go. Ah! those good, dear, brave people. It is just the contrary with those who are not of the common run; and the less they are so, the more unsociable they become; so that if, in their isolation, they chance to come across some one in whose nature they can find even a single sympathetic chord, be it never so minute, they show extraordinary pleasure in his society. For one man can be to another only so much as the other is to him. Great minds are like eagles, and build their nest in some lofty solitude.

Secondly, we are enabled to understand how it is that people of like disposition so quickly get on with one another, as though they were drawn together by magnetic force — kindred souls greeting each other from afar. Of course the most frequent opportunity of observing this is afforded by people of vulgar tastes and inferior intellect, but only because their name is legion; while those who are better off in this respect and of a rarer nature, are not often to be met with: they are called rare because you can seldom find them.

Take the case of a large number of people who have formed themselves into a league for the purpose of carrying out some practical object; if there be two rascals among them, they will recognize each other as readily as if

they bore a similar badge, and will at once conspire for some misfeasance or treachery. In the same way, if you can imagine — *per impossible* — a large company of very intelligent and clever people, amongst whom there are only two blockheads, these two will be sure to be drawn together by a feeling of sympathy, and each of them will very soon secretly rejoice at having found at least one intelligent person in the whole company. It is really quite curious to see how two such men, especially if they are morally and intellectually of an inferior type, will recognize each other at first sight; with what zeal they will strive to become intimate; how affably and cheerily they will run to greet each other, just as though they were old friends; — it is all so striking that one is tempted to embrace the Buddhist doctrine of metempsychosis and presume that they were on familiar terms in some former state of existence.

Still, in spite of all this general agreement, men are kept apart who might come together; or, in some cases, a passing discord springs up between them. This is due to diversity of mood. You will hardly ever see two people exactly in the same frame of mind; for that is something which varies with their condition of life, occupation, surroundings, health, the train of thought they are in at the moment, and so on. These differences give rise to discord between persons of the most harmonious disposition. To correct the balance properly, so as to remove the disturbance — to introduce, as it were, a uniform temperature, — is a work demanding a very high degree of culture. The extent to which uniformity of mood is productive of good-fellowship may be measured by its effects upon a large company. When, for instance, a great many people are gathered together and presented with some objective interest which works upon all alike and influences them in a similar way, no matter what it be — a common danger or hope, some great news, a spectacle, a play, a piece of music, or anything of that kind — you will find them roused to a mutual expression of thought, and a display of sincere interest. There will be a general feeling of pleasure amongst them; for that which attracts their attention produces a unity of mood by overpowering all private and personal interests.

And in default of some objective interest of the kind I have mentioned, recourse is usually had to something subjective. A bottle of wine is not an uncommon means of introducing a mutual feeling of fellowship; and even tea and coffee are used for a like end.

The discord which so easily finds its way into all society as an effect of the different moods in which people happen to be for the moment, also in part explains why it is that memory always idealizes, and sometimes almost transfigures, the attitude we have taken up at any period of the past — a change due to our inability to remember all the fleeting influences which disturbed us on any given occasion. Memory is in this respect like the lens of a *camera obscura*: it contracts everything within its range, and so produces a much finer picture than the actual landscape affords. And, in the case of a man, absence always goes some way towards securing this advantageous light; for though the idealizing tendency of the memory requires times to complete its work, it begins it at once. Hence it is a prudent thing to see your friends and acquaintances only at considerable intervals of time; and on meeting them again, you will observe that memory has been at work.

**SECTION 23. No man can see *over his own height*. Let me explain what I mean.**

You cannot see in another man any more than you have in yourself; and your own intelligence strictly determines the extent to which he comes within its grasp. If your intelligence is of a very low order, mental qualities in another, even though they be of the highest kind, will have no effect at all upon you; you will see nothing in their possessor except the meanest side of his individuality — in other words, just those parts of his character and disposition which are weak and defective. Your whole estimate of the man will be confined to his defects, and his higher mental qualities will no more exist for you than colors exist for those who cannot see.

Intellect is invisible to the man who has none. In any attempt to criticise another's work, the range of knowledge possessed by the critic is as essential a part of his verdict as the claims of the work itself.

Hence intercourse with others involves a process of leveling down. The qualities which are present in one man, and absent in another, cannot come into play when they meet; and the self-sacrifice which this entails upon one of the parties, calls forth no recognition from the other.

Consider how sordid, how stupid, in a word, how *vulgar* most men are, and you will see that it is impossible to talk to them without becoming vulgar yourself for the time being. Vulgarity is in this respect like



electricity; it is easily distributed. You will then fully appreciate the truth and propriety of the expression, *to make yourself cheap*; and you will be glad to avoid the society of people whose only possible point of contact with you is just that part of your nature of which you have least reason to be proud. So you will see that, in dealing with fools and blockheads, there is only one way of showing your intelligence — by having nothing to do with them. That means, of course, that when you go into society, you may now and then feel like a good dancer who gets an invitation to a ball, and on arriving, finds that everyone is lame: — with whom is he to dance?

**SECTION 24. I feel respect for the man — and he is one in a hundred — who, when he is waiting or sitting unoccupied, refrains from rattling or beating time with anything that happens to be handy, — his stick, or knife and fork, or whatever else it may be. The probability is that he is thinking of something.**

With a large number of people, it is quite evident that their power of sight completely dominates over their power of thought; they seem to be conscious of existence only when they are making a noise; unless indeed they happen to be smoking, for this serves a similar end. It is for the same reason that they never fail to be all eyes and ears for what is going on around them.

**SECTION 25. La Rochefoucauld makes the striking remark that it is difficult to feel deep veneration and great affection for one and the same person. If this is so, we shall have to choose whether it is veneration or love that we want from our fellow-men.**

Their love is always selfish, though in very different ways; and the means used to gain it are not always of a kind to make us proud. A man is loved by others mainly in the degree in which he moderates his claim on their good feeling and intelligence: but he must act genuinely in the matter and without dissimulation — not merely out of forbearance, which is at bottom a kind of contempt. This calls to mind a very true observation of Helvetius: *the amount of intellect necessary to please us, is a most accurate measure of the amount of intellect we have ourselves*. With these remarks as premises, it is easy to draw the conclusion.

Now with veneration the case is just the opposite; it is wrung from men reluctantly, and for that very reason mostly concealed. Hence, as compared with love, veneration gives more real satisfaction; for it is connected with personal value, and the same is not directly true of love, which is subjective in its nature, whilst veneration is objective. To be sure, it is more useful to be loved than to be venerated.

**SECTION 26. Most men are so thoroughly subjective that nothing really interests them but themselves. They always think of their own case as soon as ever any remark is made, and their whole attention is engrossed and absorbed by the merest chance reference to anything which affects them personally, be it never so remote: with the result that they have no power left for forming an objective view of things, should the conversation take that turn; neither can they admit any validity in arguments which tell against their interest or their vanity. Hence their attention is easily distracted. They are so readily offended, insulted or annoyed, that in discussing any impersonal matter with them, no care is too great to avoid letting your remarks bear the slightest possible reference to the very worthy and sensitive individuals whom you have before you; for anything you may say will perhaps hurt their feelings. People really care about nothing that does not affect them personally. True and striking observations, fine, subtle and witty things are lost upon them: they cannot understand or feel them. But anything that disturbs their petty vanity in the most remote and indirect way, or reflects prejudicially upon their exceedingly precious selves — to that, they are most tenderly sensitive. In this respect they are like the little dog whose toes you are so apt to tread upon inadvertently — you know it by the shrill bark it sets up: or, again, they resemble a sick man covered with sores and boils, with whom the greatest care must be taken to avoid unnecessary handling. And in some people this feeling reaches such a pass that, if they are talking with anyone, and he exhibits, or does not sufficiently conceal, his intelligence and discernment, they look upon it as a downright insult; although for the moment they hide their ill will, and the unsuspecting author of it afterwards ruminates in vain upon their conduct, and racks**

**his brain to discover what he could possibly have done to excite their malice and hatred.**

But it is just as easy to flatter and win them over; and this is why their judgment is usually corrupt, and why their opinions are swayed, not by what is really true and right, but by the favor of the party or class to which they belong. And the ultimate reason of it all is, that in such people force of will greatly predominates over knowledge; and hence their meagre intellect is wholly given up to the service of the will, and can never free itself from that service for a moment.

Astrology furnishes a magnificent proof of this miserable subjective tendency in men, which leads them to see everything only as bearing upon themselves, and to think of nothing that is not straightway made into a personal matter. The aim of astrology is to bring the motions of the celestial bodies into relation with the wretched *Ego* and to establish a connection between a comet in the sky and squabbles and rascalities on earth.

**SECTION 27. When any wrong statement is made, whether in public or in society, or in books, and well received — or, at any rate, not refuted — that that is no reason why you should despair or think there the matter will rest. You should comfort yourself with the reflection that the question will be afterwards gradually subjected to examination; light will be thrown upon it; it will be thought over, considered, discussed, and generally in the end the correct view will be reached; so that, after a time — the length of which will depend upon the difficulty of the subject — everyone will come to understand that which a clear head saw at once.**

In the meantime, of course, you must have patience. He who can see truly in the midst of general infatuation is like a man whose watch keeps good time, when all clocks in the town in which he lives are wrong. He alone knows the right time; but what use is that to him? for everyone goes by the clocks which speak false, not even excepting those who know that his watch is the only one that is right.

**SECTION 28. Men are like children, in that, if you spoil them, they become naughty.**

Therefore it is well not to be too indulgent or charitable with anyone. You may take it as a general rule that you will not lose a friend by refusing him a loan, but that you are very likely to do so by granting it; and, for similar reasons, you will not readily alienate people by being somewhat proud and careless in your behaviour; but if you are very kind and complaisant towards them, you will often make them arrogant and intolerable, and so a breach will ensue.

There is one thing that, more than any other, throws people absolutely off their balance — the thought that you are dependent upon them. This is sure to produce an insolent and domineering manner towards you. There are some people, indeed, who become rude if you enter into any kind of relation with them; for instance, if you have occasion to converse with them frequently upon confidential matters, they soon come to fancy that they can take liberties with you, and so they try and transgress the laws of politeness. This is why there are so few with whom you care to become more intimate, and why you should avoid familiarity with vulgar people. If a man comes to think that I am more dependent upon him than he is upon me, he at once feels as though I had stolen something from him; and his endeavor will be to have his vengeance and get it back. The only way to attain superiority in dealing with men, is to let it be seen that you are independent of them.

And in this view it is advisable to let everyone of your acquaintance — whether man or woman — feel now and then that you could very well dispense with their company. This will consolidate friendship. Nay, with most people there will be no harm in occasionally mixing a grain of disdain with your treatment of them; that will make them value your friendship all the more. *Chi non istima vien stimato*, as a subtle Italian proverb has it — to disregard is to win regard. But if we really think very highly of a person, we should conceal it from him like a crime. This is not a very gratifying thing to do, but it is right. Why, a dog will not bear being treated too kindly, let alone a man!

**SECTION 29. It is often the case that people of noble character and great mental gifts betray a strange lack of worldly wisdom and a deficiency in the knowledge of men, more especially when they are young; with the result that it is easy to deceive or mislead them; and that, on the other hand, natures of the commoner sort are more ready and successful in making their way in the world.**

The reason of this is that, when a man has little or no experience, he must judge by his own antecedent notions; and in matters demanding judgment, an antecedent notion is never on the same level as experience. For, with the commoner sort of people, an antecedent notion means just their own selfish point of view. This is not the case with those whose mind and character are above the ordinary; for it is precisely in this respect — their unselfishness — that they differ from the rest of mankind; and as they judge other people's thoughts and actions by their own high standard, the result does not always tally with their calculation.

But if, in the end, a man of noble character comes to see, as the effect of his own experience, or by the lessons he learns from others, what it is that may be expected of men in general, — namely, that five-sixths of them are morally and intellectually so constituted that, if circumstances do not place you in relation with them, you had better get out of their way and keep as far as possible from having anything to do with them, — still, he will scarcely ever attain an adequate notion of their wretchedly mean and shabby nature: all his life long he will have to be extending and adding to the inferior estimate he forms of them; and in the meantime he will commit a great many mistakes and do himself harm.

Then, again, after he has really taken to heart the lessons that have been taught him, it will occasionally happen that, when he is in the society of people whom he does not know, he will be surprised to find how thoroughly reasonable they all appear to be, both in their conversation and in their demeanor — in fact, quite honest, sincere, virtuous and trustworthy people, and at the same time shrewd and clever.

But that ought not to perplex him. Nature is not like those bad poets, who, in setting a fool or a knave before us, do their work so clumsily, and with such evident design, that you might almost fancy you saw the poet standing behind each of his characters, and continually disavowing their sentiments, and telling you in a tone of warning: *This is a knave; that is a fool; do not mind what he says*. But Nature goes to work like Shakespeare and Goethe, poets who make every one of their characters — even if it is the devil himself! — appear to be quite in the right for the moment that they come before us in their several parts; the characters are described so objectively that they excite our interest and compel us to sympathize with their point of view; for, like the works of Nature, every one of these characters is evolved as the result of some hidden law or principle, which

makes all they say and do appear natural and therefore necessary. And you will always be the prey or the plaything of the devils and fools in this world, if you expect to see them going about with horns or jangling their bells.

And it should be borne in mind that, in their intercourse with others, people are like the moon, or like hunchbacks; they show you only one of their sides. Every man has an innate talent for mimicry, — for making a mask out of his physiognomy, so that he can always look as if he really were what he pretends to be; and since he makes his calculations always within the lines of his individual nature, the appearance he puts on suits him to a nicety, and its effect is extremely deceptive. He dons his mask whenever his object is to flatter himself into some one's good opinion; and you may pay just as much attention to it as if it were made of wax or cardboard, never forgetting that excellent Italian proverb: *non é si tristo cane che non meni la coda*, — there is no dog so bad but that he will wag his tail.

In any case it is well to take care not to form a highly favorable opinion of a person whose acquaintance you have only recently made, for otherwise you are very likely to be disappointed; and then you will be ashamed of yourself and perhaps even suffer some injury. And while I am on the subject, there is another fact that deserves mention. It is this. A man shows his character just in the way in which he deals with trifles, — for then he is off his guard. This will often afford a good opportunity of observing the boundless egoism of man's nature, and his total lack of consideration for others; and if these defects show themselves in small things, or merely in his general demeanor, you will find that they also underlie his action in matters of importance, although he may disguise the fact. This is an opportunity which should not be missed. If in the little affairs of every day, — the trifles of life, those matters to which the rule *de minimis non* applies, — a man is inconsiderate and seeks only what is advantageous or convenient to himself, to the prejudice of others' rights; if he appropriates to himself that which belongs to all alike, you may be sure there is no justice in his heart, and that he would be a scoundrel on a wholesale scale, only that law and compulsion bind his hands. Do not trust him beyond your door. He who is not afraid to break the laws of his own private circle, will break those of the State when he can do so with impunity.

If the average man were so constituted that the good in him outweighed the bad, it would be more advisable to rely upon his sense of justice,

fairness, gratitude, fidelity, love or compassion, than to work upon his fears; but as the contrary is the case, and it is the bad that outweighs the good, the opposite course is the more prudent one.

If any person with whom we are associated or have to do, exhibits unpleasant or annoying qualities, we have only to ask ourselves whether or not this person is of so much value to us that we can put up with frequent and repeated exhibitions of the same qualities in a somewhat aggravated form. In case of an affirmative answer to this question, there will not be much to be said, because talking is very little use. We must let the matter pass, with or without some notice; but we should nevertheless remember that we are thereby exposing ourselves to a repetition of the offence. If the answer is in the negative, we must break with our worthy friend at once and forever; or in the case of a servant, dismiss him. For he will inevitably repeat the offence, or do something tantamount to it, should the occasion return, even though for the moment he is deep and sincere in his assurances of the contrary. There is nothing, absolutely nothing, that a man cannot forget, — but not *himself, his own character*. For character is incorrigible; because all a man's actions emanate from an inward principle, in virtue of which he must always do the same thing under like circumstances; and he cannot do otherwise. Let me refer to my prize essay on the so-called *Freedom of the Will*, the perusal of which will dissipate any delusions the reader may have on this subject.

To become reconciled to a friend with whom you have broken, is a form of weakness; and you pay the penalty of it when he takes the first opportunity of doing precisely the very thing which brought about the breach; nay, he does it the more boldly, because he is secretly conscious that you cannot get on without him. This is also applicable to servants whom you have dismissed, and then taken into your service again.

For the same reason, you should just as little expect people to continue to act in a similar way under altered circumstances. The truth is that men alter their demeanor and sentiments just as fast as their interest changes; and their resign in this respect is a bill drawn for short payment that the man must be still more short-sighted who accepts the bill without protesting it. Accordingly, suppose you want to know how a man will behave in an office into which you think of putting him; you should not build upon expectations, on his promises or assurances. For, even allowing that he is quite sincere, he is speaking about a matter of which he has no knowledge.

The only way to calculate how he will behave, is to consider the circumstances in which he will be placed, and the extent to which they will conflict with his character.

If you wish to get a clear and profound insight — and it is very needful — into the true but melancholy elements of which most men are made, you will find in a very instructive thing to take the way they behave in the pages of literature as a commentary to their doings in practical life, and *vice versa*. The experience thus gained will be very useful in avoiding wrong ideas, whether about yourself or about others. But if you come across any special trait of meanness or stupidity — in life or in literature, — you must be careful not to let it annoy or distress you, but to look upon it merely as an addition to your knowledge — a new fact to be considered in studying the character of humanity. Your attitude towards it will be that of the mineralogist who stumbles upon a very characteristic specimen of a mineral.

Of course there are some facts which are very exceptional, and it is difficult to understand how they arise, and how it is that there come to be such enormous differences between man and man; but, in general, what was said long ago is quite true, and the world is in a very bad way. In savage countries they eat one another, in civilized they deceive one another; and that is what people call the way of the world! What are States and all the elaborate systems of political machinery, and the rule of force, whether in home or in foreign affairs, — what are they but barriers against the boundless iniquity of mankind? Does not all history show that whenever a king is firmly planted on a throne, and his people reach some degree of prosperity, he uses it to lead his army, like a band of robbers, against adjoining countries? Are not almost all wars ultimately undertaken for purposes of plunder? In the most remote antiquity, and to some extent also in the Middle Ages, the conquered became slaves, — in other words, they had to work for those who conquered them; and where is the difference between that and paying war-taxes, which represent the product of our previous work?

All war, says Voltaire, is a matter of robbery; and the Germans should take that as a warning.

**SECTION 30. No man is so formed that he can be left entirely to himself, to go his own ways; everyone needs to be guided by a**



**preconceived plan, and to follow certain general rules. But if this is carried too far, and a man tries to take on a character which is not natural or innate in him, but it artificially acquired and evolved merely by a process of reasoning, he will very soon discover that Nature cannot be forced, and that if you drive it out, it will return despite your efforts:**

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*Naturam expelles furca, tamen usque recurret.*

To understand a rule governing conduct towards others, even to discover it for oneself and to express it neatly, is easy enough; and still, very soon afterwards, the rule may be broken in practice. But that is no reason for despair; and you need not fancy that as it is impossible to regulate your life in accordance with abstract ideas and maxims, it is better to live just as you please. Here, as in all theoretical instruction that aims at a practical result, the first thing to do is to understand the rule; the second thing is to learn the practice of it. The theory may be understood at once by an effort of reason, and yet the practice of it acquired only in course of time.

A pupil may learn the various notes on an instrument of music, or the different position in fencing; and when he makes a mistake, as he is sure to do, however hard he tries, he is apt to think it will be impossible to observe the rules, when he is set to read music at sight or challenged to a furious duel. But for all that, gradual practice makes him perfect, through a long series of slips, blunders and fresh efforts. It is just the same in other things; in learning to write and speak Latin, a man will forget the grammatical rules; it is only by long practice that a blockhead turns into a courtier, that a passionate man becomes shrewd and worldly-wise, or a frank person reserved, or a noble person ironical. But though self-discipline of this kind is the result of long habit, it always works by a sort of external compulsion, which Nature never ceases to resist and sometimes unexpectedly overcomes. The difference between action in accordance with abstract principles, and action as the result of original, innate tendency, is the same as that between a work of art, say a watch — where form and movement are impressed upon shapeless and inert matter — and a living organism, where form and matter are one, and each is inseparable from the other.

There is a maxim attributed to the Emperor Napoleon, which expresses this relation between acquired and innate character, and confirms what I have said: *everything that is unnatural is imperfect*; — a rule of universal

application, whether in the physical or in the moral sphere. The only exception I can think of to this rule is aventurine, a substance known to mineralogists, which in its natural state cannot compare with the artificial preparation of it.

And in this connection let me utter a word of protest against any and every form of *affectation*. It always arouses contempt; in the first place, because it argues deception, and the deception is cowardly, for it is based on fear; and, secondly, it argues self-condemnation, because it means that a man is trying to appear what he is not, and therefore something which he thinks better than he actually is. To affect a quality, and to plume yourself upon it, is just to confess that you have not got it. Whether it is courage, or learning, or intellect, or wit, or success with women, or riches, or social position, or whatever else it may be that a man boasts of, you may conclude by his boasting about it that that is precisely the direction in which he is rather weak; for if a man really possesses any faculty to the full, it will not occur to him to make a great show of affecting it; he is quite content to know that he has it. That is the application of the Spanish proverb: *herradura que chacolotea clavo le falta* — a clattering hoof means a nail gone. To be sure, as I said at first, no man ought to let the reins go quite loose, and show himself just as he is; for there are many evil and bestial sides to our nature which require to be hidden away out of sight; and this justifies the negative attitude of dissimulation, but it does not justify a positive feigning of qualities which are not there. It should also be remembered that affectation is recognized at once, even before it is clear what it is that is being affected. And, finally, affectation cannot last very long, and one day the mask will fall off. *Nemo potest personam diu ferre fictam*, says Seneca; *ficta cito in naturam suam recidunt* — no one can persevere long in a fictitious character; for nature will soon reassert itself.

**SECTION 31. A man bears the weight of his own body without knowing it, but he soon feels the weight of any other, if he tries to move it; in the same way, a man can see other people's shortcomings and vices, but he is blind to his own. This arrangement has one advantage: it turns other people into a kind of mirror, in which a man can see clearly everything that is vicious, faulty, ill-bred and loathsome in his own nature; only, it is generally the old story of the dog barking at its own image; it is himself that he sees and not another dog, as he fancies.**

He who criticises others, works at the reformation of himself. Those who form the secret habit of scrutinizing other people's general behavior, and passing severe judgment upon what they do and leave undone, thereby improve themselves, and work out their own perfection: for they will have sufficient sense of justice, or at any rate enough pride and vanity, to avoid in their own case that which they condemn so harshly elsewhere. But tolerant people are just the opposite, and claim for themselves the same indulgence that they extend to others — *hanc veniam damus petimusque vicissim*. It is all very well for the Bible to talk about the mote in another's eye and the beam in one's own. The nature of the eye is to look not at itself but at other things; and therefore to observe and blame faults in another is a very suitable way of becoming conscious of one's own. We require a looking-glass for the due dressing of our morals.

The same rule applies in the case of style and fine writing. If, instead of condemning, you applaud some new folly in these matters, you will imitate it. That is just why literary follies have such vogue in Germany. The Germans are a very tolerant people — everybody can see that! Their maxim is — *Hanc veniam damus petimusque vicissim*.

**SECTION 32. When he is young, a man of noble character fancies that the relations prevailing amongst mankind, and the alliances to which these relations lead, are at bottom and essentially, *ideal* in their nature; that is to say, that they rest upon similarity of disposition or sentiment, or taste, or intellectual power, and so on.**

But, later on, he finds out that it is a *real* foundation which underlies these alliances; that they are based upon some *material* interest. This is the true foundation of almost all alliances: nay, most men have no notion of an alliance resting upon any other basis. Accordingly we find that a man is always measured by the office he holds, or by his occupation, nationality, or family relations — in a word, by the position and character which have been assigned him in the conventional arrangements of life, where he is ticketed and treated as so much goods. Reference to what he is in himself, as a man — to the measure of his own personal qualities — is never made unless for convenience' sake: and so that view of a man is something exceptional, to be set aside and ignored, the moment that anyone finds it disagreeable; and this is what usually happens. But the more of personal

worth a man has, the less pleasure he will take in these conventional arrangements; and he will try to withdraw from the sphere in which they apply. The reason why these arrangements exist at all, is simply that in this world of ours misery and need are the chief features: therefore it is everywhere the essential and paramount business of life to devise the means of alleviating them.

**SECTION 33. As paper-money circulates in the world instead of real coin, so, is the place of true esteem and genuine friendship, you have the outward appearance of it — a mimic show made to look as much like the real thing as possible.**

On the other hand, it may be asked whether there are any people who really deserve the true coin. For my own part, I should certainly pay more respect to an honest dog wagging his tail than to a hundred such demonstrations of human regard.

True and genuine friendship presupposes a strong sympathy with the weal and woe of another — purely objective in its character and quite disinterested; and this in its turn means an absolute identification of self with the object of friendship. The egoism of human nature is so strongly antagonistic to any such sympathy, that true friendship belongs to that class of things — the sea-serpent, for instance, — with regard to which no one knows whether they are fabulous or really exist somewhere or other.

Still, in many cases, there is a grain of true and genuine friendship in the relation of man to man, though generally, of course, some secret personal interest is at the bottom of them — some one among the many forms that selfishness can take. But in a world where all is imperfect, this grain of true feeling is such an ennobling influence that it gives some warrant for calling those relations by the name of friendship, for they stand far above the ordinary friendships that prevail amongst mankind. The latter are so constituted that, were you to hear how your dear friends speak of you behind your back, you would never say another word to them.

Apart from the case where it would be a real help to you if your friend were to make some great sacrifice to serve you, there is no better means of testing the genuineness of his feelings than the way in which he receives the news of a misfortune that has just happened to you. At that moment the expression of his features will either show that his one thought is that of

true and sincere sympathy for you; or else the absolute composure of his countenance, or the passing trace of something other than sympathy, will confirm the well-known maxim of La Rochefoucauld: *Dans l'adversite de nos meilleurs amis, nous trouvons toujours quelque chose qui ne nous deplait pas*. Indeed, at such a moment, the ordinary so-called friend will find it hard to suppress the signs of a slight smile of pleasure. There are few ways by which you can make more certain of putting people into a good humor than by telling them of some trouble that has recently befallen you, or by unreservedly disclosing some personal weakness of yours. How characteristic this is of humanity!

Distance and long absence are always prejudicial to friendship, however disinclined a man may be to admit. Our regard for people whom we do not see — even though they be our dearest friends — gradually dries up in the course of years, and they become abstract notions; so that our interest in them grows to be more and more intellectual, — nay, it is kept up only as a kind of tradition; whilst we retain a lively and deep interest in those who are constantly before our eyes, even if they be only pet animals. This shows how much men are limited by their senses, and how true is the remark that Goethe makes in *Tasso* about the dominant influence of the present moment: —

*Die Gegenwart ist eine mächtige Göttin*

*Friends of the house* are very rightly so called; because they are friends of the house rather than of its master; in other words, they are more like cats than dogs.

Your friends will tell you that they are sincere; your enemies are really so. Let your enemies' censure be like a bitter medicine, to be used as a means of self-knowledge.

A friend in need, as the saying goes, is rare. Nay, it is just the contrary; no sooner have you made a friend than he is in need, and asks for a loan.

**SECTION 34. A man must be still a greenhorn in the ways of the world, if he imagines that he can make himself popular in society by exhibiting intelligence and discernment. With the immense majority of people, such qualities excite hatred and resentment, which are rendered all the harder to bear by the fact that people are obliged to suppress — even from themselves — the real reason of their anger.**

What actually takes place is this. A man feels and perceives that the person with whom he is conversing is intellectually very much his superior.

He thereupon secretly and half unconsciously concludes that his interlocutor must form a proportionately low and limited estimate of his abilities. That is a method of reasoning — an enthymeme — which rouses the bitterest feelings of sullen and rancorous hatred. And so Gracian is quite right in saying that the only way to win affection from people is to show the most animal-like simplicity of demeanor — *para ser bien quisto, el unico medio vestirse la piel del mas simple de los brutos*.

To show your intelligence and discernment is only an indirect way of reproaching other people for being dull and incapable. And besides, it is natural for a vulgar man to be violently agitated by the sight of opposition in any form; and in this case envy comes in as the secret cause of his hostility. For it is a matter of daily observation that people take the greatest pleasure in that which satisfies their vanity; and vanity cannot be satisfied without comparison with others. Now, there is nothing of which a man is prouder than of intellectual ability, for it is this that gives him his commanding place in the animal world. It is an exceedingly rash thing to let any one see that you are decidedly superior to him in this respect, and to let other people see it too; because he will then thirst for vengeance, and generally look about for an opportunity of taking it by means of insult, because this is to pass from the sphere of *intellect* to that of *will* — and there, all are on an equal footing as regards the feeling of hostility. Hence, while rank and riches may always reckon upon deferential treatment in society, that is something which intellectual ability can never expect; to be ignored is the greatest favor shown to it; and if people notice it at all, it is because they regard it as a piece of impertinence, or else as something to which its possessor has no legitimate right, and upon which he dares to pride himself; and in retaliation and revenge for his conduct, people secretly try and humiliate him in some other way; and if they wait to do this, it is only for a fitting opportunity. A man may be as humble as possible in his demeanor, and yet hardly ever get people to overlook his crime in standing intellectually above them. In the *Garden of Roses*, Sadi makes the remark: — *You should know that foolish people are a hundredfold more averse to meeting the wise than the wise are indisposed for the company of the foolish*.

On the other hand, it is a real recommendation to be stupid. For just as warmth is agreeable to the body, so it does the mind good to feel its superiority; and a man will seek company likely to give him this feeling, as instinctively as he will approach the fireplace or walk in the sun if he wants to get warm. But this means that he will be disliked on account of his superiority; and if a man is to be liked, he must really be inferior in point of intellect; and the same thing holds good of a woman in point of beauty. To give proof of real and unfeigned inferiority to some of the people you meet — that is a very difficult business indeed!

Consider how kindly and heartily a girl who is passably pretty will welcome one who is downright ugly. Physical advantages are not thought so much of in the case of man, though I suppose you would rather a little man sat next to you than one who was bigger than yourself. This is why, amongst men, it is the dull and ignorant, and amongst women, the ugly, who are always popular and in request. It is likely to be said of such people that they are extremely good-natured, because every one wants to find a pretext for caring about them — a pretext which will blind both himself and other people to the real reason why he likes them. This is also why mental superiority of any sort always tends to isolate its possessor; people run away from him out of pure hatred, and say all manner of bad things about him by way of justifying their action. Beauty, in the case of women, has a similar effect: very pretty girls have no friends of their own sex, and they even find it hard to get another girl to keep them company. A handsome woman should always avoid applying for a position as companion, because the moment she enters the room, her prospective mistress will scowl at her beauty, as a piece of folly with which, both for her own and for her daughter's sake, she can very well dispense. But if the girl has advantages of rank, the case is very different; because rank, unlike personal qualities which work by the force of mere contrast, produces its effect by a process of reflection; much in the same way as the particular hue of a person's complexion depends upon the prevailing tone of his immediate surroundings.

**SECTION 35. Our trust in other people often consists in great measure of pure laziness, selfishness and vanity on our own part: I say *laziness*, because, instead of making inquiries ourselves, and exercising an active care, we prefer to trust others; *selfishness*, because we are led to confide**

**in people by the pressure of our own affairs; and *vanity*, when we ask confidence for a matter on which we rather pride ourselves. And yet, for all that, we expect people to be true to the trust we repose in them.**

But we ought not to become angry if people put no trust in us: because that really means that they pay honesty the sincere compliment of regarding it as a very rare thing, — so rare, indeed, as to leave us in doubt whether its existence is not merely fabulous.

**SECTION 36. *Politeness*, — which the Chinese hold to be a cardinal virtue, — is based upon two considerations of policy. I have explained one of these considerations in my *Ethics*; the other is as follows: — Politeness is a tacit agreement that people's miserable defects, whether moral or intellectual, shall on either side be ignored and not made the subject of reproach; and since these defects are thus rendered somewhat less obtrusive, the result is mutually advantageous.**

It is a wise thing to be polite; consequently, it is a stupid thing to be rude. To make enemies by unnecessary and willful incivility, is just as insane a proceeding as to set your house on fire. For politeness is like a counter — an avowedly false coin, with which it is foolish to be stingy. A sensible man will be generous in the use of it. It is customary in every country to end a letter with the words: — *your most obedient servant* — *votre très-humble serviteur* — *suo devotissimo servo*. (The Germans are the only people who suppress the word *servant* — *Diener* — because, of course, it is not true!) However, to carry politeness to such an extent as to damage your prospects, is like giving money where only counters are expected.

Wax, a substance naturally hard and brittle, can be made soft by the application of a little warmth, so that it will take any shape you please. In the same way, by being polite and friendly, you can make people pliable and obliging, even though they are apt to be crabbed and malevolent. Hence politeness is to human nature what warmth is to wax.

Of course, it is no easy matter to be polite; in so far, I mean, as it requires us to show great respect for everybody, whereas most people deserve none at all; and again in so far as it demands that we should feign the most lively interest in people, when we must be very glad that we have nothing to do with them. To combine politeness with pride is a masterpiece of wisdom.



We should be much less ready to lose our temper over an insult, — which, in the strict sense of the word, means that we have not been treated with respect, — if, on the one hand, we have not such an exaggerated estimate of our value and dignity — that is to say, if we were not so immensely proud of ourselves; and, on the other hand, if we had arrived at any clear notion of the judgment which, in his heart, one man generally passes upon another. If most people resent the slightest hint that any blame attaches to them, you may imagine their feelings if they were to overhear what their acquaintance say about them. You should never lose sight of the fact that ordinary politeness is only a grinning mask: if it shifts its place a little, or is removed for a moment, there is no use raising a hue and cry. When a man is downright rude, it is as though he had taken off all his clothes, and stood before you in *puris naturalibus*. Like most men in this condition, he does not present a very attractive appearance.

**SECTION 37. You ought never to take any man as a model for what you should do or leave undone; because position and circumstances are in no two cases alike, and difference of character gives a peculiar, individual tone to what a man does. Hence *duo cum faciunt idem, non est idem* — two persons may do the same thing with a different result. A man should act in accordance with his own character, as soon as he has carefully deliberated on what he is about to do.**

The outcome of this is that *originality* cannot be dispensed with in practical matters: otherwise, what a man does will not accord with what he is.

**SECTION 38. Never combat any man's opinion; for though you reached the age of Methuselah, you would never have done setting him right upon all the absurd things that he believes.**

It is also well to avoid correcting people's mistakes in conversation, however good your intentions may be; for it is easy to offend people, and difficult, if not impossible, to mend them.

If you feel irritated by the absurd remarks of two people whose conversation you happen to overhear, you should imagine that you are listening to a dialogue of two fools in a comedy. *Probatum est*.

The man who comes into the world with the notion that he is really going to instruct in matters of the highest importance, may thank his stars if he escapes with a whole skin.

**SECTION 39. If you want your judgment to be accepted, express it coolly and without passion. All violence has its seat in the *will*; and so, if your judgment is expressed with vehemence, people will consider it an effort of will, and not the outcome of knowledge, which is in its nature cold and unimpassioned. Since the will is the primary and radical element in human nature, and *intellect* merely supervenes as something secondary, people are more likely to believe that the opinion you express with so much vehemence is due to the excited state of your will, rather than that the excitement of the will comes only from the ardent nature of your opinion.**

**SECTION 40. Even when you are fully justified in praising yourself, you should never be seduced into doing so. For vanity is so very common, and merit so very uncommon, that even if a man appears to be praising himself, though very indirectly, people will be ready to lay a hundred to one that he is talking out of pure vanity, and that he has not sense enough to see what a fool he is making of himself.**

Still, for all that, there may be some truth in Bacon's remark that, as in the case of calumny, if you throw enough dirt, some of it will stick, so it is also in regard to self-praise; with the conclusion that self-praise, in small doses, is to be recommended.

**SECTION 41. If you have reason to suspect that a person is telling you a lie, look as though you believed every word he said. This will give him courage to go on; he will become more vehement in his assertions, and in the end betray himself.**

Again, if you perceive that a person is trying to conceal something from you, but with only partial success, look as though you did not believe him. This opposition on your part will provoke him into leading out his reserve of truth and bringing the whole force of it to bear upon your incredulity.

**SECTION 42. You should regard all your private affairs as secrets, and, in respect of them, treat your acquaintances, even though you are on good terms with them, as perfect strangers, letting them know nothing more than they can see for themselves. For in course of time, and under altered circumstances, you may find it a disadvantage that they know even the most harmless things about you.**

And, as a general rule, it is more advisable to show your intelligence by saying nothing than by speaking out; for silence is a matter of prudence, whilst speech has something in it of vanity. The opportunities for displaying the one or the other quality occur equally often; but the fleeting satisfaction afforded by speech is often preferred to the permanent advantage secured by silence.

The feeling of relief which lively people experience in speaking aloud when no one is listening, should not be indulged, lest it grow into a habit; for in this way thought establishes such very friendly terms with speech, that conversation is apt to become a process of thinking aloud. Prudence exacts that a wide gulf should be fixed between what we think and what we say.

At times we fancy that people are utterly unable to believe in the truth of some statement affecting us personally, whereas it never occurs to them to doubt it; but if we give them the slightest opportunity of doubting it, they find it absolutely impossible to believe it any more. We often betray ourselves into revealing something, simply because we suppose that people cannot help noticing it, — just as a man will throw himself down from a great height because he loses his head, in other words, because he fancies that he cannot retain a firm footing any longer; the torment of his position is so great, that he thinks it better to put an end to it at once. This is the kind of insanity which is called *acrophobia*.

But it should not be forgotten how clever people are in regard to affairs which do not concern them, even though they show no particularly sign of acuteness in other matters. This is a kind of algebra in which people are very proficient: give them a single fact to go upon, and they will solve the most complicated problems. So, if you wish to relate some event that happened long ago, without mentioning any names, or otherwise indicating the persons to whom you refer, you should be very careful not to introduce into your narrative anything that might point, however distantly, to some

definite fact, whether it is a particular locality, or a date, or the name of some one who was only to a small extent implicated, or anything else that was even remotely connected with the event; for that at once gives people something positive to go upon, and by the aid of their talent for this sort of algebra, they will discover all the rest. Their curiosity in these matters becomes a kind of enthusiasm: their will spurs on their intellect, and drives it forward to the attainment of the most remote results. For however unsusceptible and different people may be to general and universal truths, they are very ardent in the matter of particular details.

In keeping with what I have said, it will be found that all those who profess to give instructions in the wisdom of life are specially urgent in commending the practice of silence, and assign manifold reasons why it should be observed; so it is not necessary for me to enlarge upon the subject any further. However, I may just add one or two little known Arabian proverbs, which occur to me as peculiarly appropriate: —

*Do not tell a friend anything that you would conceal from an enemy.*

*A secret is in my custody, if I keep it; but should it escape me, it is I who am the prisoner.*

*The tree of silence bears the fruit of peace.*

**SECTION 43. Money is never spent to so much advantage as when you have been cheated out of it; for at one stroke you have purchased prudence.**

**SECTION 44. If possible, no animosity should be felt for anyone. But carefully observe and remember the manner in which a man conducts himself, so that you may take the measure of his value, — at any rate in regard to yourself, — and regulate your bearing towards him accordingly; never losing sight of the fact that character is unalterable, and that to forget the bad features in a man's disposition is like throwing away hard-won money. Thus you will protect yourself against the results of unwise intimacy and foolish friendship.**

*Give way neither to love nor to hate, is one-half of worldly wisdom: say nothing and believe nothing, the other half. Truly, a world where there is need of such rules as this and the following, is one upon which a man may well turn his back.*

**SECTION 45. To speak angrily to a person, to show your hatred by what you say or by the way you look, is an unnecessary proceeding — dangerous, foolish, ridiculous, and vulgar.**

Anger and hatred should never be shown otherwise than in what you do; and feelings will be all the more effective in action, in so far as you avoid the exhibition of them in any other way. It is only cold-blooded animals whose bite is poisonous.

**SECTION 46. To speak without emphasizing your words — *parler sans accent* — is an old rule with those who are wise in the world's ways. It means that you should leave other people to discover what it is that you have said; and as their minds are slow, you can make your escape in time. On the other hand, to emphasize your meaning — *parler avec accent* — is to address their feelings; and the result is always the opposite of what you expect. If you are polite enough in your manner and courteous in your tone there are many people whom you may abuse outright, and yet run no immediate risk of offending them.**

## CHAPTER IV,

### WORLDLY FORTUNE. — SECTION 47.

However varied the forms that human destiny may take, the same elements are always present; and so life is everywhere much of a piece, whether it passed in the cottage or in the palace, in the barrack or in the cloister. Alter the circumstance as much as you please! point to strange adventures, successes, failures! life is like a sweet-shop, where there is a great variety of things, odd in shape and diverse in color — one and all made from the same paste. And when men speak of some one's success, the lot of the man who has failed is not so very different as it seems. The inequalities in the world are like the combinations in a kaleidoscope; at every turn a fresh picture strikes the eye; and yet, in reality, you see only the same bits of glass as you saw before.

**SECTION 48. An ancient writer says, very truly, that there are three great powers in the world; *Sagacity, Strength, and Luck*, — [Greek: *sunetos, kratos, tuchu*.] I think the last is the most efficacious.**

A man's life is like the voyage of a ship, where luck — *secunda aut adversa fortuna* — acts the part of the wind, and speeds the vessel on its way or drives it far out of its course. All that the man can do for himself is of little avail; like the rudder, which, if worked hard and continuously, may help in the navigation of the ship; and yet all may be lost again by a sudden squall. But if the wind is only in the right quarter, the ship will sail on so as not to need any steering. The power of luck is nowhere better expressed than in a certain Spanish proverb: *Da Ventura a tu hijo, y echa lo en el mar* — give your son luck and throw him into the sea.

Still, chance, it may be said, is a malignant power, and as little as possible should be left to its agency. And yet where is there any giver who, in dispensing gifts, tells us quite clearly that we have no right to them, and that we owe them not to any merit on our part, but wholly to the goodness and grace of the giver — at the same time allowing us to cherish the joyful hope of receiving, in all humility, further undeserved gifts from the same hands — where is there any giver like that, unless it be *Chance*? who

understands the kingly art of showing the recipient that all merit is powerless and unavailing against the royal grace and favor.

On looking back over the course of his life, — that *labyrinthine way of error*, — a man must see many points where luck failed him and misfortune came; and then it is easy to carry self-reproach to an unjust excess. For the course of a man's life is in no wise entirely of his own making; it is the product of two factors — the series of things that happened, and his own resolves in regard to them, and these two are constantly interacting upon and modifying each other. And besides these, another influence is at work in the very limited extent of a man's horizon, whether it is that he cannot see very far ahead in respect of the plans he will adopt, or that he is still less able to predict the course of future events: his knowledge is strictly confined to present plans and present events. Hence, as long as a man's goal is far off, he cannot steer straight for it; he must be content to make a course that is approximately right; and in following the direction in which he thinks he ought to go, he will often have occasion to tack.

All that a man can do is to form such resolves as from time to time accord with the circumstances in which he is placed, in the hope of thus managing to advance a step nearer towards the final goal. It is usually the case that the position in which we stand, and the object at which we aim, resemble two tendencies working with dissimilar strength in different directions; and the course of our life is represented by their diagonal, or resultant force.

Terence makes the remark that life is like a game at dice, where if the number that turns up is not precisely the one you want, you can still contrive to use it equally: — *in vita est hominum quasi cum ludas tesseris; si illud quod maxime opus est jactu non cadit, illud quod cecidit forte, id arte ut corrigas*. Or, to put the matter more shortly, life is a game of cards, when the cards are shuffled and dealt by fate. But for my present purpose, the most suitable simile would be that of a game of chess, where the plan we determined to follow is conditioned by the play of our rival, — in life, by the caprice of fate. We are compelled to modify our tactics, often to such an extent that, as we carry them out, hardly a single feature of the original plan can be recognized.

But above and beyond all this, there is another influence that makes itself felt in our lives. It is a trite saying — only too frequently true — that we are often more foolish than we think. On the other hand, we are often wiser

than we fancy ourselves to be. This, however, is a discovery which only those can make, of whom it is really true; and it takes them a long time to make it. Our brains are not the wisest part of us. In the great moments of life, when a man decides upon an important step, his action is directed not so much by any clear knowledge of the right thing to do, as by an inner impulse — you may almost call it an instinct — proceeding from the deepest foundations of his being. If, later on, he attempts to criticise his action by the light of hard and fast ideas of what is right in the abstract — those unprofitable ideas which are learnt by rote, or, it may be, borrowed from other people; if he begins to apply general rules, the principles which have guided others, to his own case, without sufficiently weighing the maxim that one man's meat is another's poison, then he will run great risk of doing himself an injustice. The result will show where the right course lay. It is only when a man has reached the happy age of wisdom that he is capable of just judgment in regard either to his own actions or to those of others.

It may be that this impulse or instinct is the unconscious effect of a kind of prophetic dream which is forgotten when we awake — lending our life a uniformity of tone, a dramatic unity, such as could never result from the unstable moments of consciousness, when we are so easily led into error, so liable to strike a false note. It is in virtue of some such prophetic dream that a man feels himself called to great achievements in a special sphere, and works in that direction from his youth up out of an inner and secret feeling that that is his true path, just as by a similar instinct the bee is led to build up its cells in the comb. This is the impulse which Balthazar Gracian calls *la gran sindéresis* — the great power of moral discernment: it is something that a man instinctively feels to be his salvation without which he were lost.

To act in accordance with abstract principles is a difficult matter, and a great deal of practice will be required before you can be even occasionally successful; it often happens that the principles do not fit in with your particular case. But every man has certain innate *concrete principles* — a part, as it were, of the very blood that flows in his veins, the sum or result, in fact, of all his thoughts, feelings and volitions. Usually he has no knowledge of them in any abstract form; it is only when he looks back upon the course his life has taken, that he becomes aware of having been always led on by them — as though they formed an invisible clue which he had followed unawares.



**SECTION 49. That Time works great changes, and that all things are in their nature fleeting — these are truths that should never be forgotten. Hence, in whatever case you may be, it is well to picture to yourself the opposite: in prosperity, to be mindful of misfortune; in friendship, of enmity; in good weather, of days when the sky is overcast; in love, of hatred; in moments of trust, to imagine the betrayal that will make you regret your confidence; and so, too, when you are in evil plight, to have a lively sense of happier times — what a lasting source of true worldly wisdom were there! We should then always reflect, and not be so very easily deceived; because, in general, we should anticipate the very changes that the years will bring.**

Perhaps in no form of knowledge is personal experience so indispensable as in learning to see that all things are unstable and transitory in this world. There is nothing that, in its own place and for the time it lasts, is not a product of necessity, and therefore capable of being fully justified; and it is this fact that makes circumstances of every year, every month, even of every day, seem as though they might maintain their right to last to all eternity. But we know that this can never be the case, and that in a world where all is fleeting, change alone endures. He is a prudent man who is not only undeceived by apparent stability, but is able to forecast the lines upon which movement will take place.

But people generally think that present circumstances will last, and that matters will go on in the future as they have clone in the past. Their mistakes arises from the fact that they do not understand the cause of the things they see — causes which, unlike the effects they produce, contain in themselves the germ of future change. The effects are all that people know, and they hold fast to them on the supposition that those unknown causes, which were sufficient to bring them about, will also be able to maintain them as they are. This is a very common error; and the fact that it is common is not without its advantage, for it means that people always err in unison; and hence the calamity which results from the error affects all alike, and is therefore easy to bear; whereas, if a philosopher makes a mistake, he is alone in his error, and so at a double disadvantage.

But in saying that we should anticipate the effects of time, I mean that we should mentally forecast what they are likely to be; I do not mean that we should practically forestall them, by demanding the immediate

performance of promises which time alone can fulfill. The man who makes his demand will find out that there is no worse or more exacting usurer than Time; and that, if you compel Time to give money in advance, you will have to pay a rate of interest more ruinous than any Jew would require. It is possible, for instance, to make a tree burst forth into leaf, blossom, or even bear fruit within a few days, by the application of unslaked lime and artificial heat; but after that the tree will wither away. So a young man may abuse his strength — it may be only for a few weeks — by trying to do at nineteen what he could easily manage at thirty, and Time may give him the loan for which he asks; but the interest he will have to pay comes out of the strength of his later years; nay, it is part of his very life itself.

There are some kinds of illness in which entire restoration to health is possible only by letting the complaint run its natural course; after which it disappears without leaving any trace of its existence. But if the sufferer is very impatient, and, while he is still affected, insists that he is completely well, in this case, too, Time will grant the loan, and the complaint may be shaken off; but life-long weakness and chronic mischief will be the interest paid upon it.

Again, in time of war or general disturbance, a man may require ready money at once, and have to sell out his investments in land or consols for a third or even a still smaller fraction of the sum he would have received from them, if he could have waited for the market to right itself, which would have happened in due course; but he compels Time to grant him a loan, and his loss is the interest he has to pay. Or perhaps he wants to go on a long journey and requires the money: in one or two years he could lay by a sufficient sum out of his income, but he cannot afford to wait; and so he either borrows it or deducts it from his capital; in other words, he gets Time to lend him the money in advance. The interest he pays is a disordered state of his accounts, and permanent and increasing deficits, which he can never make good.

Such is Time's usury; and all who cannot wait are its victims. There is no more thriftless proceeding than to try and mend the measured pace of Time. Be careful, then, not to become its debtor.

**SECTION 50. In the daily affairs of life, you will have very many opportunities of recognizing a characteristic difference between ordinary people of prudence and discretion. In estimating the**

**possibility of danger in connection with any undertaking, an ordinary man will confine his inquiries to the kind of risk that has already attended such undertakings in the past; whereas a prudent person will look ahead, and consider everything that might possibly happen in the future, having regard to a certain Spanish maxim: *lo que no acaece en un ano, acaece en un rato* — a thing may not happen in a year, and yet may happen within two minutes.**

The difference in question is, of course, quite natural; for it requires some amount of discernment to calculate possibilities; but a man need only have his senses about him to see what has already happened.

Do not omit to sacrifice to evil spirits. What I mean is, that a man should not hesitate about spending time, trouble, and money, or giving up his comfort, or restricting his aims and denying himself, if he can thereby shut the door on the possibility of misfortune. The most terrible misfortunes are also the most improbable and remote — the least likely to occur. The rule I am giving is best exemplified in the practice of insurance, — a public sacrifice made on the altar of anxiety. Therefore take out your policy of insurance!

**SECTION 51. Whatever fate befalls you, do not give way to great rejoicings or great lamentations; partly because all things are full of change, and your fortune may turn at any moment; partly because men are so apt to be deceived in their judgment as to what is good or bad for them.**

Almost every one in his turn has lamented over something which afterwards turned out to be the very best thing for him that could have happened — or rejoiced at an event which became the source of his greatest sufferings. The right state of mind has been finely portrayed by Shakespeare:

*I have felt so many quirks of joy and grief That the first face of neither, on the start, Can woman me unto't.*

And, in general, it may be said that, if a man takes misfortunes quietly, it is because he knows that very many dreadful things may happen in the course of life; and so he looks upon the trouble of the moment as only a very small part of that which might come. This is the Stoic temper — never to be unmindful of the sad fate of humanity — *condicionis humanoe*

*oblitus*; but always to remember that our existence is full of woe and misery: and that the ills to which we are exposed are innumerable. Wherever he be, a man need only cast a look around, to revive the sense of human misery: there before his eyes he can see mankind struggling and floundering in torment, — all for the sake of a wretched existence, barren and unprofitable!

If he remembers this, a man will not expect very much from life, but learn to accommodate himself to a world where all is relative and no perfect state exists; — always looking misfortune in the face, and if he cannot avoid it, meeting it with courage.

It should never be forgotten that misfortune, be it great or small, is the element in which we live. But that is no reason why a man should indulge in fretful complaints, and, like Beresford, pull a long face over the *Miseries of Human Life*, — and not a single hour is free from them; or still less, call upon the Deity at every flea-bite — *in pulicis morsu Deum invocare*. Our aim should be to look well about us, to ward off misfortune by going to meet it, to attain such perfection and refinement in averting the disagreeable things of life, — whether they come from our fellow-men or from the physical world, — that, like a clever fox, we may slip out of the way of every mishap, great or small; remembering that a mishap is generally only our own awkwardness in disguise.

The main reason why misfortune falls less heavily upon us, if we have looked upon its occurrence as not impossible, and, as the saying is, prepared ourselves for it, may be this: if, before this misfortune comes, we have quietly thought over it as something which may or may not happen, the whole of its extent and range is known to us, and we can, at least, determine how far it will affect us; so that, if it really arrives, it does not depress us unduly — its weight is not felt to be greater than it actually is. But if no preparation has been made to meet it, and it comes unexpectedly, the mind is in a state of terror for the moment and unable to measure the full extent of the calamity; it seems so far-reaching in its effects that the victim might well think there was no limit to them; in any case, its range is exaggerated. In the same way, darkness and uncertainty always increase the sense of danger. And, of course, if we have thought over the possibility of misfortune, we have also at the same time considered the sources to which we shall look for help and consolation; or, at any rate, we have accustomed ourselves to the idea of it.

There is nothing that better fits us to endure the misfortunes of life with composure, than to know for certain that *everything that happens — from the smallest up to the greatest facts of existence — happens of necessity*. A man soon accommodates himself to the inevitable — to something that must be; and if he knows that nothing can happen except of necessity, he will see that things cannot be other than they are, and that even the strangest chances in the world are just as much a product of necessity as phenomena which obey well-known rules and turn out exactly in accordance with expectation. Let me here refer to what I have said elsewhere on the soothing effect of the knowledge that all things are inevitable and a product of necessity.

If a man is steeped in the knowledge of this truth, he will, first of all, do what he can, and then readily endure what he must.

We may regard the petty vexations of life that are constantly happening, as designed to keep us in practice for bearing great misfortunes, so that we may not become completely enervated by a career of prosperity. A man should be as Siegfried, armed *cap-à-pie*, towards the small troubles of every day — those little differences we have with our fellow-men, insignificant disputes, unbecoming conduct in other people, petty gossip, and many other similar annoyances of life; he should not feel them at all, much less take them to heart and brood over them, but hold them at arm's length and push them out of his way, like stones that lie in the road, and upon no account think about them and give them a place in his reflections.

**SECTION 52. What people commonly call *Fate* is, as a general rule, nothing but their own stupid and foolish conduct. There is a fine passage in Homer, illustrating the truth of this remark, where the poet praises [GREEK: maetis] — shrewd council; and his advice is worthy of all attention. For if wickedness is atoned for only in another world, stupidity gets its reward here — although, now and then, mercy may be shown to the offender.**

It is not ferocity but cunning that strikes fear into the heart and forebodes danger; so true it is that the human brain is a more terrible weapon than the lion's paw.

The most finished man of the world would be one who was never irresolute and never in a hurry.

**SECTION 53. *Courage* comes next to prudence as a quality of mind very essential to happiness. It is quite true that no one can endow himself with either, since a man inherits prudence from his mother and courage from his father; still, if he has these qualities, he can do much to develop them by means of resolute exercise.**

In this world, *where the game is played with loaded dice*, a man must have a temper of iron, with armor proof to the blows of fate, and weapons to make his way against men. Life is one long battle; we have to fight at every step; and Voltaire very rightly says that if we succeed, it is at the point of the sword, and that we die with the weapon in our hand — on *ne réussit dans ce monde qua la pointe de l'épee, et on meurt les armes à la main*. It is a cowardly soul that shrinks or grows faint and despondent as soon as the storm begins to gather, or even when the first cloud appears on the horizon. Our motto should be *No Surrender*; and far from yielding to the ills of life, let us take fresh courage from misfortune: —

*Tu ne cede malis sed contra audentior ito.*

As long as the issue of any matter fraught with peril is still in doubt, and there is yet some possibility left that all may come right, no one should ever tremble or think of anything but resistance, — just as a man should not despair of the weather if he can see a bit of blue sky anywhere. Let our attitude be such that we should not quake even if the world fell in ruins about us: —

*Si fractus illabatur orbis Impavidum ferient ruinae.*

Our whole life itself — let alone its blessings — would not be worth such a cowardly trembling and shrinking of the heart. Therefore, let us face life courageously and show a firm front to every ill: —

*Quocirca vivite fortes Fortiaque adversis opponite pectora rebus.*

Still, it is possible for courage to be carried to an excess and to degenerate into rashness. It may even be said that some amount of fear is necessary, if we are to exist at all in the world, and cowardice is only the exaggerated form of it. This truth has been very well expressed by Bacon, in his account of *Terror Panicus*; and the etymological account which he gives of its meaning, is very superior to the ancient explanation preserved

for us by Plutarch. He connects the expression with *Pan* the personification of Nature; and observes that fear is innate in every living thing, and, in fact, tends to its preservation, but that it is apt to come into play without due cause, and that man is especially exposed to it. The chief feature of this *Panie Terror* is that there is no clear notion of any definite danger bound up with it; that it presumes rather than knows that danger exists; and that, in case of need, it pleads fright itself as the reason for being afraid.

## CHAPTER V.

### THE AGES OF LIFE.

There is a very fine saying of Voltaire's to the effect that every age of life has its own peculiar mental character, and that a man will feel completely unhappy if his mind is not in accordance with his years: —

*Qui n'a pas l'esprit de son âge, De son âge atout le malheur.*

It will, therefore, be a fitting close to our speculations upon the nature of happiness, if we glance at the chances which the various periods of life produce in us.

Our whole life long it is *the present*, and the present alone, that we actually possess: the only difference is that at the beginning of life we look forward to a long future, and that towards the end we look back upon a long past; also that our temperament, but not our character, undergoes certain well-known changes, which make *the present* wear a different color at each period of life.

I have elsewhere stated that in childhood we are more given to using our *intellect* than our *will*; and I have explained why this is so. It is just for this reason that the first quarter of life is so happy: as we look back upon it in after years, it seems a sort of lost paradise. In childhood our relations with others are limited, our wants are few, — in a word, there is little stimulus for the will; and so our chief concern is the extension of our knowledge. The intellect — like the brain, which attains its full size in the seventh year, is developed early, though it takes time to mature; and it explores the whole world of its surroundings in its constant search for nutriment: it is then that existence is in itself an ever fresh delight, and all things sparkle with the charm of novelty.

This is why the years of childhood are like a long poem. For the function of poetry, as of all art, is to grasp the *Idea* — in the Platonic sense; in other words, to apprehend a particular object in such a way as to perceive its essential nature, the characteristics it has in common with all other objects of the same kind; so that a single object appears as the representative of a class, and the results of one experience hold good for a thousand.



It may be thought that my remarks are opposed to fact, and that the child is never occupied with anything beyond the individual objects or events which are presented to it from time to time, and then only in so far as they interest and excite its will for the moment; but this is not really the case. In those early years, life — in the full meaning of the word, is something so new and fresh, and its sensations are so keen and unblunted by repetition, that, in the midst of all its pursuits and without any clear consciousness of what it is doing, the child is always silently occupied in grasping the nature of life itself, — in arriving at its fundamental character and general outline by means of separate scenes and experiences; or, to use Spinoza's phraseology, the child is learning to see the things and persons about it *sub specie aeternitatis*, — as particular manifestations of universal law.

The younger we are, then, the more does every individual object represent for us the whole class to which it belongs; but as the years increase, this becomes less and less the case. That is the reason why youthful impressions are so different from those of old age. And that it also why the slight knowledge and experience gained in childhood and youth afterwards come to stand as the permanent rubric, or heading, for all the knowledge acquired in later life, — those early forms of knowledge passing into categories, as it were, under which the results of subsequent experience are classified; though a clear consciousness of what is being done, does not always attend upon the process.

In this way the earliest years of a man's life lay the foundation of his view of the world, whether it be shallow or deep; and although this view may be extended and perfected later on, it is not materially altered. It is an effect of this purely objective and therefore poetical view of the world, — essential to the period of childhood and promoted by the as yet undeveloped state of the volitional energy — that, as children, we are concerned much more with the acquisition of pure knowledge than with exercising the power of will. Hence that grave, fixed look observable in so many children, of which Raphael makes such a happy use in his depiction of cherubs, especially in the picture of the *Sistine Madonna*. The years of childhood are thus rendered so full of bliss that the memory of them is always coupled with longing and regret.

While we thus eagerly apply ourselves to learning the outward aspect of things, as the primitive method of understanding the objects about us, education aims at instilling into us *ideas*. But ideas furnish no information

as to the real and essential nature of objects, which, as the foundation and true content of all knowledge, can be reached only by the process called *intuition*. This is a kind of knowledge which can in no wise be instilled into us from without; we must arrive at it by and for ourselves.

Hence a man's intellectual as well as his moral qualities proceed from the depths of his own nature, and are not the result of external influences; and no educational scheme — of Pestalozzi, or of any one else — can turn a born simpleton into a man of sense. The thing is impossible! He was born a simpleton, and a simpleton he will die.

It is the depth and intensity of this early intuitive knowledge of the external world that explain why the experiences of childhood take such a firm hold on the memory. When we were young, we were completely absorbed in our immediate surroundings; there was nothing to distract our attention from them; we looked upon the objects about us as though they were the only ones of their kind, as though, indeed, nothing else existed at all. Later on, when we come to find out how many things there are in the world, this primitive state of mind vanishes, and with it our patience.

I have said elsewhere that the world, considered as *object*, — in other words, as it is *presented* to us objectively, — wears in general a pleasing aspect; but that in the world, considered as *subject*, — that is, in regard to its inner nature, which is *will*, — pain and trouble predominate. I may be allowed to express the matter, briefly, thus: *the world is glorious to look at, but dreadful in reality*.

Accordingly, we find that, in the years of childhood, the world is much better known to us on its outer or objective side, namely, as the presentation of will, than on the side of its inner nature, namely, as the will itself. Since the objective side wears a pleasing aspect, and the inner or subjective side, with its tale of horror, remains as yet unknown, the youth, as his intelligence develops, takes all the forms of beauty that he sees, in nature and in art, for so many objects of blissful existence; they are so beautiful to the outward eye that, on their inner side, they must, he thinks, be much more beautiful still. So the world lies before him like another Eden; and this is the Arcadia in which we are all born.

A little later, this state of mind gives birth to a thirst for real life — the impulse to do and suffer — which drives a man forth into the hurly-burly of the world. There he learns the other side of existence — the inner side, the will, which is thwarted at every step. Then comes the great period of

disillusion, a period of very gradual growth; but once it has fairly begun, a man will tell you that he has got over all his false notions — *l'âge des illusions est passé*; and yet the process is only beginning, and it goes on extending its sway and applying more and more to the whole of life.

So it may be said that in childhood, life looks like the scenery in a theatre, as you view it from a distance; and that in old age it is like the same scenery when you come up quite close to it.

And, lastly, there is another circumstance that contributes to the happiness of childhood. As spring commences, the young leaves on the trees are similar in color and much the same in shape; and in the first years of life we all resemble one another and harmonize very well. But with puberty divergence begins; and, like the radii of a circle, we go further and further apart.

The period of youth, which forms the remainder of this earlier half of our existence — and how many advantages it has over the later half! — is troubled and made miserable by the pursuit of happiness, as though there were no doubt that it can be met with somewhere in life, — a hope that always ends in failure and leads to discontent. An illusory image of some vague future bliss — born of a dream and shaped by fancy — floats before our eyes; and we search for the reality in vain. So it is that the young man is generally dissatisfied with the position in which he finds himself, whatever it may be; he ascribes his disappointment solely to the state of things that meets him on his first introduction to life, when he had expected something very different; whereas it is only the vanity and wretchedness of human life everywhere that he is now for the first time experiencing.

It would be a great advantage to a young man if his early training could eradicate the idea that the world has a great deal to offer him. But the usual result of education is to strengthen this delusion; and our first ideas of life are generally taken from fiction rather than from fact.

In the bright dawn of our youthful days, the poetry of life spreads out a gorgeous vision before us, and we torture ourselves by longing to see it realized. We might as well wish to grasp the rainbow! The youth expects his career to be like an interesting romance; and there lies the germ of that disappointment which I have been describing. What lends a charm to all these visions is just the fact that they are visionary and not real, and that in contemplating them we are in the sphere of pure knowledge, which is sufficient in itself and free from the noise and struggle of life. To try and

realize those visions is to make them an object of *will* — a process which always involves pain.

If the chief feature of the earlier half of life is a never-satisfied longing after happiness, the later half is characterized by the dread of misfortune. For, as we advance in years, it becomes in a greater or less degree clear that all happiness is chimerical in its nature, and that pain alone is real. Accordingly, in later years, we, or, at least, the more prudent amongst us, are more intent upon eliminating what is painful from our lives and making our position secure, than on the pursuit of positive pleasure. I may observe, by the way, that in old age, we are better able to prevent misfortunes from coming, and in youth better able to bear them when they come.

In my young days, I was always pleased to hear a ring at my door: ah! thought I, now for something pleasant. But in later life my feelings on such occasions were rather akin to dismay than to pleasure: heaven help me! thought I, what am I to do? A similar revulsion of feeling in regard to the world of men takes place in all persons of any talent or distinction. For that very reason they cannot be said properly to belong to the world; in a greater or less degree, according to the extent of their superiority, they stand alone. In their youth they have a sense of being abandoned by the world; but later on, they feel as though they had escaped it. The earlier feeling is an unpleasant one, and rests upon ignorance; the second is pleasurable — for in the meantime they have come to know what the world is.

The consequence of this is that, as compared with the earlier, the later half of life, like the second part of a musical period, has less of passionate longing and more restfulness about it. And why is this the case? Simply because, in youth, a man fancies that there is a prodigious amount of happiness and pleasure to be had in the world, only that it is difficult to come by it; whereas, when he becomes old, he knows that there is nothing of the kind; he makes his mind completely at ease on the matter, enjoys the present hour as well as he can, and even takes a pleasure in trifles.

The chief result gained by experience of life is *clearness of view*. This is what distinguishes the man of mature age, and makes the world wear such a different aspect from that which it presented in his youth or boyhood. It is only then that he sees things quite plain, and takes them for that which they really are: while in earlier years he saw a phantom-world, put together out of the whims and crotchets of his own mind, inherited prejudice and strange

delusion: the real world was hidden from him, or the vision of it distorted. The first thing that experience finds to do is to free us from the phantoms of the brain — those false notions that have been put into us in youth.

To prevent their entrance at all would, of course, be the best form of education, even though it were only negative in aim: but it would be a task full of difficulty. At first the child's horizon would have to be limited as much as possible, and yet within that limited sphere none but clear and correct notions would have to be given; only after the child had properly appreciated everything within it, might the sphere be gradually enlarged; care being always taken that nothing was left obscure, or half or wrongly understood. The consequence of this training would be that the child's notions of men and things would always be limited and simple in their character; but, on the other hand, they would be clear and correct, and only need to be extended, not to be rectified. The same line might be pursued on into the period of youth. This method of education would lay special stress upon the prohibition of novel reading; and the place of novels would be taken by suitable biographical literature — the life of Franklin, for instance, or Moritz' *Anton Reiser*.

In our early days we fancy that the leading events in our life, and the persons who are going to play an important part in it, will make their entrance to the sound of drums and trumpets; but when, in old age, we look back, we find that they all came in quite quietly, slipped in, as it were, by the side-door, almost unnoticed.

From the point of view we have been taking up until now, life may be compared to a piece of embroidery, of which, during the first half of his time, a man gets a sight of the right side, and during the second half, of the wrong. The wrong side is not so pretty as the right, but it is more instructive; it shows the way in which the threads have been worked together.

Intellectual superiority, even if it is of the highest kind, will not secure for a man a preponderating place in conversation until after he is forty years of age. For age and experience, though they can never be a substitute for intellectual talent, may far outweigh it; and even in a person of the meanest capacity, they give a certain counterpoise to the power of an extremely intellectual man, so long as the latter is young. Of course I allude here to personal superiority, not to the place a man may gain by his works.

And on passing his fortieth year, any man of the slightest power of mind — any man, that is, who has more than the sorry share of intellect with which Nature has endowed five-sixths of mankind — will hardly fail to show some trace of misanthropy. For, as is natural, he has by that time inferred other people's character from an examination of his own; with the result that he has been gradually disappointed to find that in the qualities of the head or in those of the heart — and usually in both — he reaches a level to which they do not attain; so he gladly avoids having anything more to do with them. For it may be said, in general, that every man will love or hate solitude — in other Words, his own society — just in proportion as he is worth anything in himself. Kant has some remarks upon this kind of misanthropy in his *Critique of the Faculty of Judgment*.

In a young man, it is a bad sign, as well from an intellectual as from a moral point of view, if he is precocious in understanding the ways of the world, and in adapting himself to its pursuits; if he at once knows how to deal with men, and enters upon life, as it were, fully prepared. It argues a vulgar nature. On the other hand, to be surprised and astonished at the way people act, and to be clumsy and cross-grained in having to do with them, indicates a character of the nobler sort.

The cheerfulness and vivacity of youth are partly due to the fact that, when we are ascending the hill of life, death is not visible: it lies down at the bottom of the other side. But once we have crossed the top of the hill, death comes in view — death — which, until then, was known to us only by hearsay. This makes our spirits droop, for at the same time we begin to feel that our vital powers are on the ebb. A grave seriousness now takes the place of that early extravagance of spirit; and the change is noticeable even in the expression of a man's face. As long as we are young, people may tell us what they please! we look upon life as endless and use our time recklessly; but the older we become, the more we practice economy. For towards the close of life, every day we live gives us the same kind of sensation as the criminal experiences at every step on his way to be tried.

From the standpoint of youth, life seems to stretch away into an endless future; from the standpoint of old age, to go back but a little way into the past; so that, at the beginning, life presents us with a picture in which the objects appear a great way off, as though we had reversed our telescope; while in the end everything seems so close. To see how short life is, a man must have grown old, that is to say, he must have lived long.

On the other hand, as the years increase, things look smaller, one and all; and Life, which had so firm and stable a base in the days of our youth, now seems nothing but a rapid flight of moments, every one of them illusory: we have come to see that the whole world is vanity!

Time itself seems to go at a much slower pace when we are young; so that not only is the first quarter of life the happiest, it is also the longest of all; it leaves more memories behind it. If a man were put to it, he could tell you more out of the first quarter of his life than out of two of the remaining periods. Nay, in the spring of life, as in the spring of the year, the days reach a length that is positively tiresome; but in the autumn, whether of the year or of life, though they are short, they are more genial and uniform.

But why is it that to an old man his past life appears so short? For this reason: his memory is short; and so he fancies that his life has been short too. He no longer remembers the insignificant parts of it, and much that was unpleasant is now forgotten; how little, then, there is left! For, in general, a man's memory is as imperfect as his intellect; and he must make a practice of reflecting upon the lessons he has learned and the events he has experienced, if he does not want them both to sink gradually into the gulf of oblivion. Now, we are unaccustomed to reflect upon matters of no importance, or, as a rule, upon things that we have found disagreeable, and yet that is necessary if the memory of them is to be preserved. But the class of things that may be called insignificant is continually receiving fresh additions: much that wears an air of importance at first, gradually becomes of no consequence at all from the fact of its frequent repetition; so that in the end we actually lose count of the number of times it happens. Hence we are better able to remember the events of our early than of our later years. The longer we live, the fewer are the things that we can call important or significant enough to deserve further consideration, and by this alone can they be fixed in the memory; in other words, they are forgotten as soon as they are past. Thus it is that time runs on, leaving always fewer traces of its passage.

Further, if disagreeable things have happened to us, we do not care to ruminate upon them, least of all when they touch our vanity, as is usually the case; for few misfortunes fall upon us for which we can be held entirely blameless. So people are very ready to forget many things that are disagreeable, as well as many that are unimportant.

It is from this double cause that our memory is so short; and a man's recollection of what has happened always becomes proportionately shorter, the more things that have occupied him in life. The things we did in years gone by, the events that happened long ago, are like those objects on the coast which, to the seafarer on his outward voyage, become smaller every minute, more unrecognizable and harder to distinguish.

Again, it sometimes happens that memory and imagination will call up some long past scene as vividly as if it had occurred only yesterday; so that the event in question seems to stand very near to the present time. The reason of this is that it is impossible to call up all the intervening period in the same vivid way, as there is no one figure pervading it which can be taken in at a glance; and besides, most of the things that happened in that period are forgotten, and all that remains of it is the general knowledge that we have lived through it — a mere notion of abstract existence, not a direct vision of some particular experience. It is this that causes some single event of long ago to appear as though it took place but yesterday: the intervening time vanishes, and the whole of life looks incredibly short. Nay, there are occasional moments in old age when we can scarcely believe that we are so advanced in years, or that the long past lying behind us has had any real existence — a feeling which is mainly due to the circumstance that the present always seems fixed and immovable as we look at it. These and similar mental phenomena are ultimately to be traced to the fact that it is not our nature in itself, but only the outward presentation of it, that lies in time, and that the present is the point of contact between the world as subject and the world as object.

Again, why is it that in youth we can see no end to the years that seem to lie before us? Because we are obliged to find room for all the things we hope to attain in life. We cram the years so full of projects that if we were to try and carry them all out, death would come prematurely though we reached the age of Methuselah.

Another reason why life looks so long when we are young, is that we are apt to measure its length by the few years we have already lived. In those early years things are new to us, and so they appear important; we dwell upon them after they have happened and often call them to mind; and thus in youth life seems replete with incident, and therefore of long duration.

Sometimes we credit ourselves with a longing to be in some distant spot, whereas, in truth, we are only longing to have the time back again which we



spent there — days when we were younger and fresher than we are now. In those moments Time mocks us by wearing the mask of space; and if we travel to the spot, we can see how much we have been deceived.

There are two ways of reaching a great age, both of which presuppose a sound constitution as a *conditio sine quâ non*. They may be illustrated by two lamps, one of which burns a long time with very little oil, because it has a very thin wick; and the other just as long, though it has a very thick one, because there is plenty of oil to feed it. Here, the oil is the vital energy, and the difference in the wick is the manifold way in which the vital energy is used.

Up to our thirty-sixth year, we may be compared, in respect of the way in which we use our vital energy, to people who live on the interest of their money: what they spend to-day, they have again to-morrow. But from the age of thirty-six onwards, our position is like that of the investor who begins to entrench upon his capital. At first he hardly notices any difference at all, as the greater part of his expenses is covered by the interest of his securities; and if the deficit is but slight, he pays no attention to it. But the deficit goes on increasing, until he awakes to the fact that it is becoming more serious every day: his position becomes less and less secure, and he feels himself growing poorer and poorer, while he has no expectation of this drain upon his resources coming to an end. His fall from wealth to poverty becomes faster every moment — like the fall of a solid body in space, until at last he has absolutely nothing left. A man is truly in a woeful plight if both the terms of this comparison — his vital energy and his wealth — really begin to melt away at one and the same time. It is the dread of this calamity that makes love of possession increase with age.

On the other hand, at the beginning of life, in the years before we attain majority, and for some little time afterwards — the state of our vital energy puts us on a level with those who each year lay by a part of their interest and add it to their capital: in other words, not only does their interest come in regularly, but the capital is constantly receiving additions. This happy condition of affairs is sometimes brought about — with health as with money — under the watchful care of some honest guardian. O happy youth, and sad old age!

Nevertheless, a man should economize his strength even when he is young. Aristotle observes that amongst those who were victors at Olympia only two or three gained a prize at two different periods, once in boyhood

and then again when they came to be men; and the reason of this was that the premature efforts which the training involved, so completely exhausted their powers that they failed to last on into manhood. As this is true of muscular, so it is still more true of nervous energy, of which all intellectual achievements are the manifestation. Hence, those infant prodigies — *ingenia praecoda* — the fruit of a hot-house education, who surprise us by their cleverness as children, afterwards turn out very ordinary folk. Nay, the manner in which boys are forced into an early acquaintance with the ancient tongues may, perhaps, be to blame for the dullness and lack of judgment which distinguish so many learned persons.

I have said that almost every man's character seems to be specially suited to some one period of life, so that on reaching it the man is at his best. Some people are charming so long as they are young, and afterwards there is nothing attractive about them; others are vigorous and active in manhood, and then lose all the value they possess as they advance in years; many appear to best advantage in old age, when their character assumes a gentler tone, as becomes men who have seen the world and take life easily. This is often the case with the French.

This peculiarity must be due to the fact that the man's character has something in it akin to the qualities of youth or manhood or old age — something which accords with one or another of these periods of life, or perhaps acts as a corrective to its special failings.

The mariner observes the progress he makes only by the way in which objects on the coast fade away into the distance and apparently decrease in size. In the same way a man becomes conscious that he is advancing in years when he finds that people older than himself begin to seem young to him.

It has already been remarked that the older a man becomes, the fewer are the traces left in his mind by all that he sees, does or experiences, and the cause of this has been explained. There is thus a sense in which it may be said that it is only in youth that a man lives with a full degree of consciousness, and that he is only half alive when he is old. As the years advance, his consciousness of what goes on about him dwindles, and the things of life hurry by without making any impression upon him, just as none is made by a work of art seen for the thousandth time. A man does what his hand finds to do, and afterwards he does not know whether he has done it or not.

As life becomes more and more unconscious, the nearer it approaches the point at which all consciousness ceases, the course of time itself seems to increase in rapidity. In childhood all the things and circumstances of life are novel; and that is sufficient to awake us to the full consciousness of existence: hence, at that age, the day seems of such immense length. The same thing happens when we are traveling: one month seems longer than four spent at home. Still, though time seems to last longer when we are young or on a journey, the sense of novelty does not prevent it from now and then in reality hanging heavily upon our hands under both these circumstances, at any rate more than is the case when we are old or staying at home. But the intellect gradually becomes so rubbed down and blunted by long habituation to such impressions that things have a constant tendency to produce less and less impression upon us as they pass by; and this makes time seem increasingly less important, and therefore shorter in duration: the hours of the boy are longer than the days of the old man. Accordingly, time goes faster and faster the longer we live, like a ball rolling down a hill. Or, to take another example: as in a revolving disc, the further a point lies from the centre, the more rapid is its rate of progression, so it is in the wheel of life; the further you stand from the beginning, the faster time moves for you. Hence it may be said that as far as concerns the immediate sensation that time makes upon our minds, the length of any given year is in direct proportion to the number of times it will divide our whole life: for instance, at the age of fifty the year appears to us only one-tenth as long as it did at the age of five.

This variation in the rate at which time appears to move, exercises a most decided influence upon the whole nature of our existence at every period of it. First of all, it causes childhood — even though it embrace only a span of fifteen years — to seem the longest period of life, and therefore the richest in reminiscences. Next, it brings it about that a man is apt to be bored just in proportion as he is young. Consider, for instance, that constant need of occupation — whether it is work or play — that is shown by children: if they come to an end of both work and play, a terrible feeling of boredom ensues. Even in youth people are by no means free from this tendency, and dread the hours when they have nothing to do. As manhood approaches, boredom disappears; and old men find the time too short when their days fly past them like arrows from a bow. Of course, I must be understood to speak of *men*, not of decrepit *brutes*. With this increased

rapidity of time, boredom mostly passes away as we advance in life; and as the passions with all their attendant pain are then laid asleep, the burden of life is, on the whole, appreciably lighter in later years than in youth, provided, of course, that health remains. So it is that the period immediately preceding the weakness and troubles of old age, receives the name of a man's *best years*.

That may be a true appellation, in view of the comfortable feeling which those years bring; but for all that the years of youth, when our consciousness is lively and open to every sort of impression, have this privilege — that then the seeds are sown and the buds come forth; it is the springtime of the mind. Deep truths may be perceived, but can never be excogitated — that is to say, the first knowledge of them is immediate, called forth by some momentary impression. This knowledge is of such a kind as to be attainable only when the impressions are strong, lively and deep; and if we are to be acquainted with deep truths, everything depends upon a proper use of our early years. In later life, we may be better able to work upon other people, — upon the world, because our natures are then finished and rounded off, and no more a prey to fresh views; but then the world is less able to work upon us. These are the years of action and achievement; while youth is the time for forming fundamental conceptions, and laying down the ground-work of thought.

In youth it is the outward aspect of things that most engages us; while in age, thought or reflection is the predominating quality of the mind. Hence, youth is the time for poetry, and age is more inclined to philosophy. In practical affairs it is the same: a man shapes his resolutions in youth more by the impression that the outward world makes upon him; whereas, when he is old, it is thought that determines his actions. This is partly to be explained by the fact that it is only when a man is old that the results of outward observation are present in sufficient numbers to allow of their being classified according to the ideas they represent, — a process which in its turn causes those ideas to be more fully understood in all their bearings, and the exact value and amount of trust to be placed in them, fixed and determined; while at the same time he has grown accustomed to the impressions produced by the various phenomena of life, and their effects on him are no longer what they were. Contrarily, in youth, the impressions that things make, that is to say, the outward aspects of life, are so overpoweringly strong, especially in the case of people of lively and

imaginative disposition, that they view the world like a picture; and their chief concern is the figure they cut in it, the appearance they present; nay, they are unaware of the extent to which this is the case. It is a quality of mind that shows itself — if in no other way — in that personal vanity, and that love of fine clothes, which distinguish young people.

There can be no doubt that the intellectual powers are most capable of enduring great and sustained efforts in youth, up to the age of thirty-five at latest; from which period their strength begins to decline, though very gradually. Still, the later years of life, and even old age itself, are not without their intellectual compensation. It is only then that a man can be said to be really rich in experience or in learning; he has then had time and opportunity enough to enable him to see and think over life from all its sides; he has been able to compare one thing with another, and to discover points of contact and connecting links, so that only then are the true relations of things rightly understood. Further, in old age there comes an increased depth in the knowledge that was acquired in youth; a man has now many more illustrations of any ideas he may have attained; things which he thought he knew when he was young, he now knows in reality. And besides, his range of knowledge is wider; and in whatever direction it extends, it is thorough, and therefore formed into a consistent and connected whole; whereas in youth knowledge is always defective and fragmentary.

A complete and adequate notion of life can never be attained by any one who does not reach old age; for it is only the old man who sees life whole and knows its natural course; it is only he who is acquainted — and this is most important — not only with its entrance, like the rest of mankind, but with its exit too; so that he alone has a full sense of its utter vanity; whilst the others never cease to labor under the false notion that everything will come right in the end.

On the other hand, there is more conceptive power in youth, and at that time of life a man can make more out of the little that he knows. In age, judgment, penetration and thoroughness predominate. Youth is the time for amassing the material for a knowledge of the world that shall be distinctive and peculiar, — for an original view of life, in other words, the legacy that a man of genius leaves to his fellow-men; it is, however, only in later years that he becomes master of his material. Accordingly it will be found that, as a rule, a great writer gives his best work to the world when he is about fifty

years of age. But though the tree of knowledge must reach its full height before it can bear fruit, the roots of it lie in youth.

Every generation, no matter how paltry its character, thinks itself much wiser than the one immediately preceding it, let alone those that are more remote. It is just the same with the different periods in a man's life; and yet often, in the one case no less than in the other, it is a mistaken opinion. In the years of physical growth, when our powers of mind and our stores of knowledge are receiving daily additions, it becomes a habit for to-day to look down with contempt upon yesterday. The habit strikes root, and remains even after the intellectual powers have begun to decline, — when to-day should rather look up with respect to yesterday. So it is that we often unduly depreciate the achievements as well as the judgments of our youth. This seems the place for making the general observation, that, although in its main qualities a man's *intellect* or *head*, as well as his *character* or *heart*, is innate, yet the former is by no means so unalterable in its nature as the latter. The fact is that the intellect is subject to very many transformations, which, as a rule, do not fail to make their actual appearance; and this is so, partly because the intellect has a deep foundation in the physique, and partly because the material with which it deals is given in experience. And so, from a physical point of view, we find that if a man has any peculiar power, it first gradually increases in strength until it reaches its acme, after which it enters upon a path of slow decadence, until it ends in imbecility. But, on the other hand, we must not lose sight of the fact that the material which gives employment to a man's powers and keeps them in activity, — the subject-matter of thought and knowledge, experience, intellectual attainments, the practice of seeing to the bottom of things, and so a perfect mental vision, form in themselves a mass which continues to increase in size, until the time comes when weakness shows itself, and the man's powers suddenly fail. The way in which these two distinguishable elements combine in the same nature, — the one absolutely unalterable, and the other subject to change in two directions opposed to each other — explains the variety of mental attitude and the dissimilarity of value which attach to a man at different periods of life.

The same truth may be more broadly expressed by saying that the first forty years of life furnish the text, while the remaining thirty supply the commentary; and that without the commentary we are unable to understand

aright the true sense and coherence of the text, together with the moral it contains and all the subtle application of which it admits.

Towards the close of life, much the same thing happens as at the end of a *bal masqué* — the masks are taken off. Then you can see who the people really are, with whom you have come into contact in your passage through the world. For by the end of life characters have come out in their true light, actions have borne fruit, achievements have been rightly appreciated, and all shams have fallen to pieces. For this, Time was in every case requisite.

But the most curious fact is that it is also only towards the close of life than a man really recognizes and understands his own true self, — the aims and objects he has followed in life, more especially the kind of relation in which he has stood to other people and to the world. It will often happen that as a result of this knowledge, a man will have to assign himself a lower place than he formerly thought was his due. But there are exceptions to this rule; and it will occasionally be the case that he will take a higher position than he had before. This will be owing to the fact that he had no adequate notion of the *baseness* of the world, and that he set up a higher aim for himself than was followed by the rest of mankind.

The progress of life shows a man the stuff of which he is made.

It is customary to call youth the happy, and age the sad part of life. This would be true if it were the passions that made a man happy. Youth is swayed to and fro by them; and they give a great deal of pain and little pleasure. In age the passions cool and leave a man at rest, and then forthwith his mind takes a contemplative tone; the intellect is set free and attains the upper hand. And since, in itself, intellect is beyond the range of pain, and man feels happy just in so far as his intellect is the predominating part of him.

It need only be remembered that all pleasure is negative, and that pain is positive in its nature, in order to see that the passions can never be a source of happiness, and that age is not the less to be envied on the ground that many pleasures are denied it. For every sort of pleasure is never anything more than the quietive of some need or longing; and that pleasure should come to an end as soon as the need ceases, is no more a subject of complaint than that a man cannot go on eating after he has had his dinner, or fall asleep again after a good night's rest.

So far from youth being the happiest period of life, there is much more truth in the remark made by Plato, at the beginning of the *Republic*, that the

prize should rather be given to old age, because then at last a man is freed from the animal passion which has hitherto never ceased to disquiet him. Nay, it may even be said that the countless and manifold humors which have their source in this passion, and the emotions that spring from it, produce a mild state of madness; and this lasts as long as the man is subject to the spell of the impulse — this evil spirit, as it were, of which there is no riddance — so that he never really becomes a reasonable being until the passion is extinguished.

There is no doubt that, in general, and apart from individual circumstances and particular dispositions, youth is marked by a certain melancholy and sadness, while genial sentiments attach to old age; and the reason for this is nothing but the fact that the young man is still under the service, nay, the forced labor, imposed by that evil spirit, which scarcely ever leaves him a moment to himself. To this source may be traced, directly or indirectly, almost all and every ill that befalls or menaces mankind. The old man is genial and cheerful because, after long lying in the bonds of passion, he can now move about in freedom.

Still, it should not be forgotten that, when this passion is extinguished, the true kernel of life is gone, and nothing remains but the hollow shell; or, from another point of view, life then becomes like a comedy, which, begun by real actors, is continued and brought to an end by automata dressed in their clothes.

However that may be, youth is the period of unrest, and age of repose; and from that very circumstance, the relative degree of pleasure belonging to each may be inferred. The child stretches out its little hands in the eager desire to seize all the pretty things that meet its sight, charmed by the world because all its senses are still so young and fresh. Much the same thing happens with the youth, and he displays greater energy in his quest. He, too, is charmed by all the pretty things and the many pleasing shapes that surround him; and forthwith his imagination conjures up pleasures which the world can never realize. So he is filled with an ardent desire for he knows not what delights — robbing him of all rest and making happiness impossible. But when old age is reached, all this is over and done with, partly because the blood runs cooler and the senses are no longer so easily allured; partly because experience has shown the true value of things and the futility of pleasure, whereby illusion has been gradually dispelled, and the strange fancies and prejudices which previously concealed or distorted a



free and true view of the world, have been dissipated and put to flight; with the result that a man can now get a juster and clearer view, and see things as they are, and also in a measure attain more or less insight into the nullity of all things on this earth.

It is this that gives almost every old man, no matter how ordinary his faculties may be, a certain tincture of wisdom, which distinguishes him from the young. But the chief result of all this change is the peace of mind that ensues — a great element in happiness, and, in fact, the condition and essence of it. While the young man fancies that there is a vast amount of good things in the world, if he could only come at them, the old man is steeped in the truth of the Preacher's words, that *all things are vanity* — knowing that, however gilded the shell, the nut is hollow.

In these later years, and not before, a man comes to a true appreciation of Horace's maxim: *Nil admirari*. He is directly and sincerely convinced of the vanity of everything and that all the glories of the world are as nothing: his illusions are gone. He is no more beset with the idea that there is any particular amount of happiness anywhere, in the palace or in the cottage, any more than he himself enjoys when he is free from bodily or mental pain. The worldly distinctions of great and small, high and low, exist for him no longer; and in this blissful state of mind the old man may look down with a smile upon all false notions. He is completely undeceived, and knows that whatever may be done to adorn human life and deck it out in finery, its paltry character will soon show through the glitter of its surroundings; and that, paint and be jewel it as one may, it remains everywhere much the same, — an existence which has no true value except in freedom from pain, and is never to be estimated by the presence of pleasure, let alone, then, of display.

Disillusion is the chief characteristic of old age; for by that time the fictions are gone which gave life its charm and spurred on the mind to activity; the splendors of the world have been proved null and vain; its pomp, grandeur and magnificence are faded. A man has then found out that behind most of the things he wants, and most of the pleasures he longs for, there is very little after all; and so he comes by degrees to see that our existence is all empty and void. It is only when he is seventy years old that he quite understands the first words of the Preacher; and this again explains why it is that old men are sometimes fretful and morose.

It is often said that the common lot of old age is disease and weariness of life. Disease is by no means essential to old age; especially where a really long span of years is to be attained; for as life goes on, the conditions of health and disorder tend to increase — *crescente vita, crescit sanitas et morbus*. And as far as weariness or boredom is concerned, I have stated above why old age is even less exposed to that form of evil than youth. Nor is boredom by any means to be taken as a necessary accompaniment of that solitude, which, for reasons that do not require to be explained, old age certainly cannot escape; it is rather the fate that awaits those who have never known any other pleasures but the gratification of the senses and the delights of society — who have left their minds unenlightened and their faculties unused. It is quite true that the intellectual faculties decline with the approach of old age; but where they were originally strong, there will always be enough left to combat the onslaught of boredom. And then again, as I have said, experience, knowledge, reflection, and skill in dealing with men, combine to give an old man an increasingly accurate insight into the ways of the world; his judgment becomes keen and he attains a coherent view of life: his mental vision embraces a wider range. Constantly finding new uses for his stores of knowledge and adding to them at every opportunity, he maintains uninterrupted that inward process of self-education, which gives employment and satisfaction to the mind, and thus forms the due reward of all its efforts.

All this serves in some measure as a compensation for decreased intellectual power. And besides, Time, as I have remarked, seems to go much more quickly when we are advanced in years; and this is in itself a preventive of boredom. There is no great harm in the fact that a man's bodily strength decreases in old age, unless, indeed, he requires it to make a living. To be poor when one is old, is a great misfortune. If a man is secure from that, and retains his health, old age may be a very passable time of life. Its chief necessity is to be comfortable and well off; and, in consequence, money is then prized more than ever, because it is a substitute for failing strength. Deserted by Venus, the old man likes to turn to Bacchus to make him merry. In the place of wanting to see things, to travel and learn, comes the desire to speak and teach. It is a piece of good fortune if the old man retains some of his love of study or of music or of the theatre, — if, in general, he is still somewhat susceptible to the things about him; as is, indeed, the case with some people to a very late age. At that time of life,

*what a man has in himself* is of greater advantage to him than ever it was before.

There can be no doubt that most people who have never been anything but dull and stupid, become more and more of automata as they grow old. They have always thought, said and done the same things as their neighbors; and nothing that happens now can change their disposition, or make them act otherwise. To talk to old people of this kind is like writing on the sand; if you produce any impression at all, it is gone almost immediately; old age is here nothing but the *caput mortuum* of life — all that is essential to manhood is gone. There are cases in which nature supplies a third set of teeth in old age, thereby apparently demonstrating the fact that that period of life is a second childhood.

It is certainly a very melancholy thing that all a man's faculties tend to waste away as he grows old, and at a rate that increases in rapidity: but still, this is a necessary, nay, a beneficial arrangement, as otherwise death, for which it is a preparation, would be too hard to bear. So the greatest boon that follows the attainment of extreme old age is *euthanasia*, — an easy death, not ushered in by disease, and free from all pain and struggle. For let a man live as long as he may, he is never conscious of any moment but the present, one and indivisible; and in those late years the mind loses more every day by sheer forgetfulness than ever it gains anew.

The main difference between youth and age will always be that youth looks forward to life, and old age to death; and that while the one has a short past and a long future before it, the case is just the opposite with the other. It is quite true that when a man is old, to die is the only thing that awaits him; while if he is young, he may expect to live; and the question arises which of the two fates is the more hazardous, and if life is not a matter which, on the whole, it is better to have behind one than before? Does not the Preacher say: *the day of death [is better] than the day of one's birth*. It is certainly a rash thing to wish for long life; for as the Spanish proverb has it, it means to see much evil, — *Quien larga vida vive mucho mal vive*.

A man's individual career is not, as Astrology wishes to make out, to be predicted from observation of the planets; but the course of human life in general, as far as the various periods of it are concerned, may be likened to

the succession of the planets: so that we may be said to pass under the influence of each one of them in turn.

At ten, *Mercury* is in the ascendant; and at that age, a youth, like this planet, is characterized by extreme mobility within a narrow sphere, where trifles have a great effect upon him; but under the guidance of so crafty and eloquent a god, he easily makes great progress. *Venus* begins her sway during his twentieth year, and then a man is wholly given up to the love of women. At thirty, *Mars* comes to the front, and he is now all energy and strength, — daring, pugnacious and arrogant.

When a man reaches the age of forty, he is under the rule of the four *Asteroids*; that is to say, his life has gained something in extension. He is frugal; in other words, by the help of *Ceres*, he favors what is useful; he has his own hearth, by the influence of *Vesta*; *Pallas* has taught him that which is necessary for him to know; and his wife — his *Juno* — rules as the mistress of his house.

But at the age of fifty, *Jupiter* is the dominant influence. At that period a man has outlived most of his contemporaries, and he can feel himself superior to the generation about him. He is still in the full enjoyment of his strength, and rich in experience and knowledge; and if he has any power and position of his own, he is endowed with authority over all who stand in his immediate surroundings. He is no more inclined to receive orders from others; he wants to take command himself. The work most suitable to him now is to guide and rule within his own sphere. This is the point where *Jupiter* culminates, and where the man of fifty years is at his best.

Then comes *Saturn*, at about the age of sixty, a weight as of *lead*, dull and slow: —

*But old folks, many feign as they were dead;*

*Unwieldy, slow, heavy and pale as lead.*

Last of all, *Uranus*; or, as the saying is, a man goes to heaven.

I cannot find a place for *Neptune*, as this planet has been very thoughtlessly named; because I may not call it as it should be called — *Eros*. Otherwise I should point out how Beginning and End meet together, and how closely and intimately *Eros* is connected with Death: how *Orcus*, or *Amenthes*, as the Egyptians called him, is not only the receiver but the giver of all things — [Greek: lambanon kai didous]. Death is the great

reservoir of Life. Everything comes from Orcus; everything that is alive now was once there. Could we but understand the great trick by which that is done, all would be clear!

# RELIGION: A DIALOGUE



*Translated by T. Bailey Saunders*

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## PREFATORY NOTE

Schopenhauer is one of the few philosophers who can be generally understood without a commentary. All his theories claim to be drawn direct from the facts, to be suggested by observation, and to interpret the world as it is; and whatever view he takes, he is constant in his appeal to the experience of common life. This characteristic endows his style with a freshness and vigor which would be difficult to match in the philosophical writing of any country, and impossible in that of Germany. If it were asked whether there were any circumstances apart from heredity, to which he owed his mental habit, the answer might be found in the abnormal character of his early education, his acquaintance with the world rather than with books, the extensive travels of his boyhood, his ardent pursuit of knowledge for its own sake and without regard to the emoluments and endowments of learning. He was trained in realities even more than in ideas; and hence he is original, forcible, clear, an enemy of all philosophic indefiniteness and obscurity; so that it may well be said of him, in the words of a writer in the *Revue Contemporaine*, *ce n'est pas un philosophe comme les autres, c'est un philosophe qui a vu le monde*.

It is not my purpose, nor would it be possible within the limits of a prefatory note, to attempt an account of Schopenhauer's philosophy, to indicate its sources, or to suggest or rebut the objections which may be taken to it. M. Ribot, in his excellent little book, has done all that is necessary in this direction. But the essays here presented need a word of explanation. It should be observed, and Schopenhauer himself is at pains to point out, that his system is like a citadel with a hundred gates: at whatever point you take it up, wherever you make your entrance, you are on the road to the center. In this respect his writings resemble a series of essays composed in support of a single thesis; a circumstance which led him to insist, more emphatically even than most philosophers, that for a proper understanding of his system it was necessary to read every line he had written. Perhaps it would be more correct to describe *Die Welt als Wille und Vorstellung* as his main thesis, and his other treatises as merely corollary to it. The essays in this volume form part of the corollary; they are taken from a collection published towards the close of Schopenhauer's life, and by him entitled *Parerga und Paralipomena*, as being in the nature of surplusage

and illustrative of his main position. They are by far the most popular of his works, and since their first publication in 1851, they have done much to build up his fame. Written so as to be intelligible enough in themselves, the tendency of many of them is towards the fundamental idea on which his system is based. It may therefore be convenient to summarize that idea in a couple of sentences; more especially as Schopenhauer sometimes writes as if his advice had been followed and his readers were acquainted with the whole of his work.

All philosophy is in some sense the endeavor to find a unifying principle, to discover the most general conception underlying the whole field of nature and of knowledge. By one of those bold generalizations which occasionally mark a real advance in Science, Schopenhauer conceived this unifying principle, this underlying unity, to consist in something analogous to that *will* which self-consciousness reveals to us. *Will* is, according to him, the fundamental reality of the world, the thing-in-itself; and its objectivation is what is presented in phenomena. The struggle of the will to realize itself evolves the organism, which in its turn evolves intelligence as the servant of the will. And in practical life the antagonism between the will and the intellect arises from the fact that the former is the metaphysical substance, the latter something accidental and secondary. And further, will is *desire*, that is to say, need of something; hence need and pain are what is positive in the world, and the only possible happiness is a negation, a renunciation of *the will to live*.

It is instructive to note, as M. Ribot points out, that in finding the origin of all things, not in intelligence, as some of his predecessors in philosophy had done, but in will, or the force of nature, from which all phenomena have developed, Schopenhauer was anticipating something of the scientific spirit of the nineteenth century. To this it may be added that in combating the method of Fichte and Hegel, who spun a system out of abstract ideas, and in discarding it for one based on observation and experience, Schopenhauer can be said to have brought down philosophy from heaven to earth.

In Schopenhauer's view the various forms of Religion are no less a product of human ingenuity than Art or Science. He holds, in effect, that all religions take their rise in the desire to explain the world; and that, in regard to truth and error, they differ, in the main, not by preaching monotheism polytheism or pantheism, but in so far as they recognize pessimism or



optimism as the true description of life. Hence any religion which looked upon the world as being radically evil appealed to him as containing an indestructible element of truth. I have endeavored to present his view of two of the great religions of the world in the extract which concludes this volume, and to which I have given the title of *The Christian System*. The tenor of it is to show that, however little he may have been in sympathy with the supernatural element, he owed much to the moral doctrines of Christianity and of Buddhism, between which he traced great resemblance. In the following *Dialogue* he applies himself to a discussion of the practical efficacy of religious forms; and though he was an enemy of clericalism, his choice of a method which allows both the affirmation and the denial of that efficacy to be presented with equal force may perhaps have been directed by the consciousness that he could not side with either view to the exclusion of the other. In any case his practical philosophy was touched with the spirit of Christianity. It was more than artistic enthusiasm which led him in profound admiration to the Madonna di San Sisto:

Sie trägt zur Welt ihn, und er schaut entsetzt  
In ihrer Gräu'l chaotische Verwirrung,  
In ihres Tobens wilde Raserei,  
In ihres Treibens nie geheilte Thorheit,  
In ihrer Quaalen nie gestillten Schmerz;  
Entsetzt: doch strahlet Rub' and Zuversicht  
Und Siegesglanz sein Aug', verkündigend  
Schon der Erlösung ewige gewissheit.

Pessimism is commonly and erroneously supposed to be the distinguishing feature of Schopenhauer's system. It is right to remember that the same fundamental view of the world is presented by Christianity, to say nothing of Oriental religions.

That Schopenhauer conceives life as an evil is a deduction, and possibly a mistaken deduction, from his metaphysical theory. Whether his scheme of things is correct or not — and it shares the common fate of all metaphysical systems in being unverifiable, and to that extent unprofitable — he will in the last resort have made good his claim to be read by his insight into the varied needs of human life. It may be that a future age will consign his metaphysics to the philosophical lumber-room; but he is a literary artist as

well as a philosopher, and he can make a bid for fame in either capacity. What is remarked with much truth of many another writer, that he suggests more than he achieves, is in the highest degree applicable to Schopenhauer; and his *obiter dicta*, his sayings by the way, will always find an audience.

T.B. SAUNDERS.

## RELIGION: A DIALOGUE.

*Demopheles.* Between ourselves, my dear fellow, I don't care about the way you sometimes have of exhibiting your talent for philosophy; you make religion a subject for sarcastic remarks, and even for open ridicule. Every one thinks his religion sacred, and therefore you ought to respect it.

*Philaletes.* That doesn't follow! I don't see why, because other people are simpletons, I should have any regard for a pack of lies. I respect truth everywhere, and so I can't respect what is opposed to it. My maxim is *Vigeat veritas et pereat mundus*, like the lawyers' *Fiat justitia et pereat mundus*. Every profession ought to have an analogous advice.

*Demopheles.* Then I suppose doctors should say *Fiant pilulae et pereat mundus*, — there wouldn't be much difficulty about that!

*Philaletes.* Heaven forbid! You must take everything *cum grano salis*.

*Demopheles.* Exactly; that's why I want you to take religion *cum grano salis*. I want you to see that one must meet the requirements of the people according to the measure of their comprehension. Where you have masses of people of crude susceptibilities and clumsy intelligence, sordid in their pursuits and sunk in drudgery, religion provides the only means of proclaiming and making them feel the high import of life. For the average man takes an interest, primarily, in nothing but what will satisfy his physical needs and hankerings, and beyond this, give him a little amusement and pastime. Founders of religion and philosophers come into the world to rouse him from his stupor and point to the lofty meaning of existence; philosophers for the few, the emancipated, founders of religion for the many, for humanity at large. For, as your friend Plato has said, the multitude can't be philosophers, and you shouldn't forget that. Religion is the metaphysics of the masses; by all means let them keep it: let it therefore command external respect, for to discredit it is to take it away. Just as they have popular poetry, and the popular wisdom of proverbs, so they must have popular metaphysics too: for mankind absolutely needs *an interpretation of life*; and this, again, must be suited to popular comprehension. Consequently, this interpretation is always an allegorical investiture of the truth: and in practical life and in its effects on the feelings, that is to say, as a rule of action and as a comfort and consolation in suffering and death, it accomplishes perhaps just as much as the truth itself

could achieve if we possessed it. Don't take offense at its unkempt, grotesque and apparently absurd form; for with your education and learning, you have no idea of the roundabout ways by which people in their crude state have to receive their knowledge of deep truths. The various religions are only various forms in which the truth, which taken by itself is above their comprehension, is grasped and realized by the masses; and truth becomes inseparable from these forms. Therefore, my dear sir, don't take it amiss if I say that to make a mockery of these forms is both shallow and unjust.

*Philaletes*. But isn't it every bit as shallow and unjust to demand that there shall be no other system of metaphysics but this one, cut out as it is to suit the requirements and comprehension of the masses? that its doctrine shall be the limit of human speculation, the standard of all thought, so that the metaphysics of the few, the emancipated, as you call them, must be devoted only to confirming, strengthening, and explaining the metaphysics of the masses? that the highest powers of human intelligence shall remain unused and undeveloped, even be nipped in the bud, in order that their activity may not thwart the popular metaphysics? And isn't this just the very claim which religion sets up? Isn't it a little too much to have tolerance and delicate forbearance preached by what is intolerance and cruelty itself? Think of the heretical tribunals, inquisitions, religious wars, crusades, Socrates' cup of poison, Bruno's and Vanini's death in the flames! Is all this to-day quite a thing of the past? How can genuine philosophical effort, sincere search after truth, the noblest calling of the noblest men, be let and hindered more completely than by a conventional system of metaphysics enjoying a State monopoly, the principles of which are impressed into every head in earliest youth, so earnestly, so deeply, and so firmly, that, unless the mind is miraculously elastic, they remain indelible. In this way the groundwork of all healthy reason is once for all deranged; that is to say, the capacity for original thought and unbiased judgment, which is weak enough in itself, is, in regard to those subjects to which it might be applied, for ever paralyzed and ruined.

*Demopheles*. Which means, I suppose, that people have arrived at a conviction which they won't give up in order to embrace yours instead.

*Philaletes*. Ah! if it were only a conviction based on insight. Then one could bring arguments to bear, and the battle would be fought with equal weapons. But religions admittedly appeal, not to conviction as the result of

argument, but to belief as demanded by revelation. And as the capacity for believing is strongest in childhood, special care is taken to make sure of this tender age. This has much more to do with the doctrines of belief taking root than threats and reports of miracles. If, in early childhood, certain fundamental views and doctrines are paraded with unusual solemnity, and an air of the greatest earnestness never before visible in anything else; if, at the same time, the possibility of a doubt about them be completely passed over, or touched upon only to indicate that doubt is the first step to eternal perdition, the resulting impression will be so deep that, as a rule, that is, in almost every case, doubt about them will be almost as impossible as doubt about one's own existence. Hardly one in ten thousand will have the strength of mind to ask himself seriously and earnestly — is that true? To call such as can do it strong minds, *esprits forts*, is a description more apt than is generally supposed. But for the ordinary mind there is nothing so absurd or revolting but what, if inculcated in that way, the strongest belief in it will strike root. If, for example, the killing of a heretic or infidel were essential to the future salvation of his soul, almost every one would make it the chief event of his life, and in dying would draw consolation and strength from the remembrance that he had succeeded. As a matter of fact, almost every Spaniard in days gone by used to look upon an *auto da fe* as the most pious of all acts and one most agreeable to God. A parallel to this may be found in the way in which the Thugs (a religious sect in India, suppressed a short time ago by the English, who executed numbers of them) express their sense of religion and their veneration for the goddess Kali; they take every opportunity of murdering their friends and traveling companions, with the object of getting possession of their goods, and in the serious conviction that they are thereby doing a praiseworthy action, conducive to their eternal welfare. The power of religious dogma, when inculcated early, is such as to stifle conscience, compassion, and finally every feeling of humanity. But if you want to see with your own eyes and close at hand what timely inoculation will accomplish, look at the English. Here is a nation favored before all others by nature; endowed, more than all others, with discernment, intelligence, power of judgment, strength of character; look at them, abased and made ridiculous, beyond all others, by their stupid ecclesiastical superstition, which appears amongst their other abilities like a fixed idea or monomania. For this they have to thank the circumstance that education is in the hands of the clergy, whose endeavor it is to impress all

the articles of belief, at the earliest age, in a way that amounts to a kind of paralysis of the brain; this in its turn expresses itself all their life in an idiotic bigotry, which makes otherwise most sensible and intelligent people amongst them degrade themselves so that one can't make head or tail of them. If you consider how essential to such a masterpiece is inoculation in the tender age of childhood, the missionary system appears no longer only as the acme of human importunity, arrogance and impertinence, but also as an absurdity, if it doesn't confine itself to nations which are still in their infancy, like Caffirs, Hottentots, South Sea Islanders, etc. Amongst these races it is successful; but in India, the Brahmans treat the discourses of the missionaries with contemptuous smiles of approbation, or simply shrug their shoulders. And one may say generally that the proselytizing efforts of the missionaries in India, in spite of the most advantageous facilities, are, as a rule, a failure. An authentic report in the Vol. XXI. of the Asiatic Journal (1826) states that after so many years of missionary activity not more than three hundred living converts were to be found in the whole of India, where the population of the English possessions alone comes to one hundred and fifteen millions; and at the same time it is admitted that the Christian converts are distinguished for their extreme immorality. Three hundred venal and bribed souls out of so many millions! There is no evidence that things have gone better with Christianity in India since then, in spite of the fact that the missionaries are now trying, contrary to stipulation and in schools exclusively designed for secular English instruction, to work upon the children's minds as they please, in order to smuggle in Christianity; against which the Hindoos are most jealously on their guard. As I have said, childhood is the time to sow the seeds of belief, and not manhood; more especially where an earlier faith has taken root. An acquired conviction such as is feigned by adults is, as a rule, only the mask for some kind of personal interest. And it is the feeling that this is almost bound to be the case which makes a man who has changed his religion in mature years an object of contempt to most people everywhere; who thus show that they look upon religion, not as a matter of reasoned conviction, but merely as a belief inoculated in childhood, before any test can be applied. And that they are right in their view of religion is also obvious from the way in which not only the masses, who are blindly credulous, but also the clergy of every religion, who, as such, have faithfully and zealously studied its sources, foundations, dogmas and disputed points, cleave as a body to the religion of

their particular country; consequently for a minister of one religion or confession to go over to another is the rarest thing in the world. The Catholic clergy, for example, are fully convinced of the truth of all the tenets of their Church, and so are the Protestant clergy of theirs, and both defend the principles of their creeds with like zeal. And yet the conviction is governed merely by the country native to each; to the South German ecclesiastic the truth of the Catholic dogma is quite obvious, to the North German, the Protestant. If then, these convictions are based on objective reasons, the reasons must be climatic, and thrive, like plants, some only here, some only there. The convictions of those who are thus locally convinced are taken on trust and believed by the masses everywhere.

*Demopheles.* Well, no harm is done, and it doesn't make any real difference. As a fact, Protestantism is more suited to the North, Catholicism to the South.

*Philaletes.* So it seems. Still I take a higher standpoint, and keep in view a more important object, the progress, namely, of the knowledge of truth among mankind. And from this point of view, it is a terrible thing that, wherever a man is born, certain propositions are inculcated in him in earliest youth, and he is assured that he may never have any doubts about them, under penalty of thereby forfeiting eternal salvation; propositions, I mean, which affect the foundation of all our other knowledge and accordingly determine for ever, and, if they are false, distort for ever, the point of view from which our knowledge starts; and as, further, the corollaries of these propositions touch the entire system of our intellectual attainments at every point, the whole of human knowledge is thoroughly adulterated by them. Evidence of this is afforded by every literature; the most striking by that of the Middle Age, but in a too considerable degree by that of the fifteenth and sixteenth centuries. Look at even the first minds of all those epochs; how paralyzed they are by false fundamental positions like these; how, more especially, all insight into the true constitution and working of nature is, as it were, blocked up. During the whole of the Christian period Theism lies like a mountain on all intellectual, and chiefly on all philosophical efforts, and arrests or stunts all progress. For the scientific men of these ages God, devil, angels, demons hid the whole of nature; no inquiry was followed to the end, nothing ever thoroughly examined; everything which went beyond the most obvious casual nexus was immediately set down to those personalities. "*It was at once explained*

by a reference to God, angels or demons,” as Pomponatius expressed himself when the matter was being discussed, “*and philosophers at any rate have nothing analogous.*” There is, to be sure, a suspicion of irony in this statement of Pomponatius, as his perfidy in other matters is known; still, he is only giving expression to the general way of thinking of his age. And if, on the other hand, any one possessed the rare quality of an elastic mind, which alone could burst the bonds, his writings and he himself with them were burnt; as happened to Bruno and Vanini. How completely an ordinary mind is paralyzed by that early preparation in metaphysics is seen in the most vivid way and on its most ridiculous side, where such a one undertakes to criticise the doctrines of an alien creed. The efforts of the ordinary man are generally found to be directed to a careful exhibition of the incongruity of its dogmas with those of his own belief: he is at great pains to show that not only do they not say, but certainly do not mean, the same thing; and with that he thinks, in his simplicity, that he has demonstrated the falsehood of the alien creed. He really never dreams of putting the question which of the two may be right; his own articles of belief he looks upon as *à priori* true and certain principles.

*Demopheles.* So that’s your higher point of view? I assure you there is a higher still. *First live, then philosophize* is a maxim of more comprehensive import than appears at first sight. The first thing to do is to control the raw and evil dispositions of the masses, so as to keep them from pushing injustice to extremes, and from committing cruel, violent and disgraceful acts. If you were to wait until they had recognized and grasped the truth, you would undoubtedly come too late; and truth, supposing that it had been found, would surpass their powers of comprehension. In any case an allegorical investiture of it, a parable or myth, is all that would be of any service to them. As Kant said, there must be a public standard of Right and Virtue; it must always flutter high overhead. It is a matter of indifference what heraldic figures are inscribed on it, so long as they signify what is meant. Such an allegorical representation of truth is always and everywhere, for humanity at large, a serviceable substitute for a truth to which it can never attain, — for a philosophy which it can never grasp; let alone the fact that it is daily changing its shape, and has in no form as yet met with general acceptance. Practical aims, then, my good Philalethes, are in every respect superior to theoretical.



*Philaletes*. What you say is very like the ancient advice of Timaeus of Locrus, the Pythagorean, *stop the mind with falsehood if you can't speed it with truth*. I almost suspect that your plan is the one which is so much in vogue just now, that you want to impress upon me that

The hour is nigh  
When we may feast in quiet.

You recommend us, in fact, to take timely precautions, so that the waves of the discontented raging masses mayn't disturb us at table. But the whole point of view is as false as it is now-a-days popular and commended; and so I make haste to enter a protest against it. It is *false*, that state, justice, law cannot be upheld without the assistance of religion and its dogmas; and that justice and public order need religion as a necessary complement, if legislative enactments are to be carried out. It is *false*, were it repeated a hundred times. An effective and striking argument to the contrary is afforded by the ancients, especially the Greeks. They had nothing at all of what we understand by religion. They had no sacred documents, no dogma to be learned and its acceptance furthered by every one, its principles to be inculcated early on the young. Just as little was moral doctrine preached by the ministers of religion, nor did the priests trouble themselves about morality or about what the people did or left undone. Not at all. The duty of the priests was confined to temple-ceremonial, prayers, hymns, sacrifices, processions, lustrations and the like, the object of which was anything but the moral improvement of the individual. What was called religion consisted, more especially in the cities, in giving temples here and there to some of the gods of the greater tribes, in which the worship described was carried on as a state matter, and was consequently, in fact, an affair of police. No one, except the functionaries performing, was in any way compelled to attend, or even to believe in it. In the whole of antiquity there is no trace of any obligation to believe in any particular dogma. Merely in the case of an open denial of the existence of the gods, or any other reviling of them, a penalty was imposed, and that on account of the insult offered to the state, which served those gods; beyond this it was free to everyone to think of them what he pleased. If anyone wanted to gain the favor of those gods privately, by prayer or sacrifice, it was open to him to do so at his own expense and at his own risk; if he didn't do it, no one made any objection,

least of all the state. In the case of the Romans, everyone had his own Lares and Penates at home; they were, however, in reality, only the venerated busts of ancestors. Of the immortality of the soul and a life beyond the grave, the ancients had no firm, clear or, least of all, dogmatically fixed idea, but very loose, fluctuating, indefinite and problematical notions, everyone in his own way: and the ideas about the gods were just as varying, individual and vague. There was, therefore, really no *religion*, in our sense of the word, amongst the ancients. But did anarchy and lawlessness prevail amongst them on that account? Is not law and civil order, rather, so much their work, that it still forms the foundation of our own? Was there not complete protection for property, even though it consisted for the most part of slaves? And did not this state of things last for more than a thousand years? So that I can't recognize, I must even protest against the practical aims and the necessity of religion in the sense indicated by you, and so popular now-a-days, that is, as an indispensable foundation of all legislative arrangements. For, if you take that point of view, the pure and sacred endeavor after truth would, to say the least, appear quixotic, and even criminal, if it ventured, in its feeling of justice, to denounce the authoritative creed as a usurper who had taken possession of the throne of truth and maintained his position by keeping up the deception.

*Demopheles.* But religion is not opposed to truth; it itself teaches truth. And as the range of its activity is not a narrow lecture room, but the world and humanity at large, religion must conform to the requirements and comprehension of an audience so numerous and so mixed. Religion must not let truth appear in its naked form; or, to use a medical simile, it must not exhibit it pure, but must employ a mythical vehicle, a medium, as it were. You can also compare truth in this respect to certain chemical stuffs which in themselves are gaseous, but which for medicinal uses, as also for preservation or transmission, must be bound to a stable, solid base, because they would otherwise volatilize. Chlorine gas, for example, is for all purposes applied only in the form of chlorides. But if truth, pure, abstract and free from all mythical alloy, is always to remain unattainable, even by philosophers, it might be compared to fluorine, which cannot even be isolated, but must always appear in combination with other elements. Or, to take a less scientific simile, truth, which is inexpressible except by means of myth and allegory, is like water, which can be carried about only in vessels; a philosopher who insists on obtaining it pure is like a man who breaks the

jug in order to get the water by itself. This is, perhaps, an exact analogy. At any rate, religion is truth allegorically and mythically expressed, and so rendered attainable and digestible by mankind in general. Mankind couldn't possibly take it pure and unmixed, just as we can't breathe pure oxygen; we require an addition of four times its bulk in nitrogen. In plain language, the profound meaning, the high aim of life, can only be unfolded and presented to the masses symbolically, because they are incapable of grasping it in its true signification. Philosophy, on the other hand, should be like the Eleusinian mysteries, for the few, the *élite*.

*Philaletes*. I understand. It comes, in short, to truth wearing the garment of falsehood. But in doing so it enters on a fatal alliance. What a dangerous weapon is put into the hands of those who are authorized to employ falsehood as the vehicle of truth! If it is as you say, I fear the damage caused by the falsehood will be greater than any advantage the truth could ever produce. Of course, if the allegory were admitted to be such, I should raise no objection; but with the admission it would rob itself of all respect, and consequently, of all utility. The allegory must, therefore, put in a claim to be true in the proper sense of the word, and maintain the claim; while, at the most, it is true only in an allegorical sense. Here lies the irreparable mischief, the permanent evil; and this is why religion has always been and always will be in conflict with the noble endeavor after pure truth.

*Demopheles*. Oh no! that danger is guarded against. If religion mayn't exactly confess its allegorical nature, it gives sufficient indication of it.

*Philaletes*. How so?

*Demopheles*. In its mysteries. "Mystery," is in reality only a technical theological term for religious allegory. All religions have their mysteries. Properly speaking, a mystery is a dogma which is plainly absurd, but which, nevertheless, conceals in itself a lofty truth, and one which by itself would be completely incomprehensible to the ordinary understanding of the raw multitude. The multitude accepts it in this disguise on trust, and believes it, without being led astray by the absurdity of it, which even to its intelligence is obvious; and in this way it participates in the kernel of the matter so far as it is possible for it to do so. To explain what I mean, I may add that even in philosophy an attempt has been made to make use of a mystery. Pascal, for example, who was at once a pietist, a mathematician, and a philosopher, says in this threefold capacity: *God is everywhere center and nowhere periphery*. Malebranche has also the just remark: *Liberty is a mystery*. One

could go a step further and maintain that in religions everything is mystery. For to impart truth, in the proper sense of the word, to the multitude in its raw state is absolutely impossible; all that can fall to its lot is to be enlightened by a mythological reflection of it. Naked truth is out of place before the eyes of the profane vulgar; it can only make its appearance thickly veiled. Hence, it is unreasonable to require of a religion that it shall be true in the proper sense of the word; and this, I may observe in passing, is now-a-days the absurd contention of Rationalists and Supernaturalists alike. Both start from the position that religion must be the real truth; and while the former demonstrate that it is not the truth, the latter obstinately maintain that it is; or rather, the former dress up and arrange the allegorical element in such a way, that, in the proper sense of the word, it could be true, but would be, in that case, a platitude; while the latter wish to maintain that it is true in the proper sense of the word, without any further dressing; a belief, which, as we ought to know is only to be enforced by inquisitions and the stake. As a fact, however, myth and allegory really form the proper element of religion; and under this indispensable condition, which is imposed by the intellectual limitation of the multitude, religion provides a sufficient satisfaction for those metaphysical requirements of mankind which are indestructible. It takes the place of that pure philosophical truth which is infinitely difficult and perhaps never attainable.

*Philaletes.* Ah! just as a wooden leg takes the place of a natural one; it supplies what is lacking, barely does duty for it, claims to be regarded as a natural leg, and is more or less artfully put together. The only difference is that, whilst a natural leg as a rule preceded the wooden one, religion has everywhere got the start of philosophy.

*Demopheles.* That may be, but still for a man who hasn't a natural leg, a wooden one is of great service. You must bear in mind that the metaphysical needs of mankind absolutely require satisfaction, because the horizon of men's thoughts must have a background and not remain unbounded. Man has, as a rule, no faculty for weighing reasons and discriminating between what is false and what is true; and besides, the labor which nature and the needs of nature impose upon him, leaves him no time for such enquiries, or for the education which they presuppose. In his case, therefore, it is no use talking of a reasoned conviction; he has to fall back on belief and authority. If a really true philosophy were to take the place of religion, nine-tenths at least of mankind would have to receive it on authority; that is to say, it too

would be a matter of faith, for Plato's dictum, that the multitude can't be philosophers, will always remain true. Authority, however, is an affair of time and circumstance alone, and so it can't be bestowed on that which has only reason in its favor, it must accordingly be allowed to nothing but what has acquired it in the course of history, even if it is only an allegorical representation of truth. Truth in this form, supported by authority, appeals first of all to those elements in the human constitution which are strictly metaphysical, that is to say, to the need man feels of a theory in regard to the riddle of existence which forces itself upon his notice, a need arising from the consciousness that behind the physical in the world there is a metaphysical, something permanent as the foundation of constant change. Then it appeals to the will, to the fears and hopes of mortal beings living in constant struggle; for whom, accordingly, religion creates gods and demons whom they can cry to, appease and win over. Finally, it appeals to that moral consciousness which is undeniably present in man, lends to it that corroboration and support without which it would not easily maintain itself in the struggle against so many temptations. It is just from this side that religion affords an inexhaustible source of consolation and comfort in the innumerable trials of life, a comfort which does not leave men in death, but rather then only unfolds its full efficacy. So religion may be compared to one who takes a blind man by the hand and leads him, because he is unable to see for himself, whose concern it is to reach his destination, not to look at everything by the way.

*Philaletes.* That is certainly the strong point of religion. If it is a fraud, it is a pious fraud; that is undeniable. But this makes priests something between deceivers and teachers of morality; they daren't teach the real truth, as you have quite rightly explained, even if they knew it, which is not the case. A true philosophy, then, can always exist, but not a true religion; true, I mean, in the proper understanding of the word, not merely in that flowery or allegorical sense which you have described; a sense in which all religions would be true, only in various degrees. It is quite in keeping with the inextricable mixture of weal and woe, honesty and deceit, good and evil, nobility and baseness, which is the average characteristic of the world everywhere, that the most important, the most lofty, the most sacred truths can make their appearance only in combination with a lie, can even borrow strength from a lie as from something that works more powerfully on mankind; and, as revelation, must be ushered in by a lie. This might, indeed,

be regarded as the *cachet* of the moral world. However, we won't give up the hope that mankind will eventually reach a point of maturity and education at which it can on the one side produce, and on the other receive, the true philosophy. *Simplex sigillum veri*: the naked truth must be so simple and intelligible that it can be imparted to all in its true form, without any admixture of myth and fable, without disguising it in the form of *religion*.

*Demopheles*. You've no notion how stupid most people are.

*Philaletes*. I am only expressing a hope which I can't give up. If it were fulfilled, truth in its simple and intelligible form would of course drive religion from the place it has so long occupied as its representative, and by that very means kept open for it. The time would have come when religion would have carried out her object and completed her course: the race she had brought to years of discretion she could dismiss, and herself depart in peace: that would be the *euthanasia* of religion. But as long as she lives, she has two faces, one of truth, one of fraud. According as you look at one or the other, you will bear her favor or ill-will. Religion must be regarded as a necessary evil, its necessity resting on the pitiful imbecility of the great majority of mankind, incapable of grasping the truth, and therefore requiring, in its pressing need, something to take its place.

*Demopheles*. Really, one would think that you philosophers had truth in a cupboard, and that all you had to do was to go and get it!

*Philaletes*. Well, if we haven't got it, it is chiefly owing to the pressure put upon philosophy by religion at all times and in all places. People have tried to make the expression and communication of truth, even the contemplation and discovery of it, impossible, by putting children, in their earliest years, into the hands of priests to be manipulated; to have the lines, in which their fundamental thoughts are henceforth to run, laid down with such firmness as, in essential matters, to be fixed and determined for this whole life. When I take up the writings even of the best intellects of the sixteenth and seventeenth centuries, (more especially if I have been engaged in Oriental studies), I am sometimes shocked to see how they are paralyzed and hemmed in on all sides by Jewish ideas. How can anyone think out the true philosophy when he is prepared like this?

*Demopheles*. Even if the true philosophy were to be discovered, religion wouldn't disappear from the world, as you seem to think. There can't be one system of metaphysics for everybody; that's rendered impossible by the

natural differences of intellectual power between man and man, and the differences, too, which education makes. It is a necessity for the great majority of mankind to engage in that severe bodily labor which cannot be dispensed with if the ceaseless requirements of the whole race are to be satisfied. Not only does this leave the majority no time for education, for learning, for contemplation; but by virtue of the hard and fast antagonism between muscles and mind, the intelligence is blunted by so much exhausting bodily labor, and becomes heavy, clumsy, awkward, and consequently incapable of grasping any other than quite simple situations. At least nine-tenths of the human race falls under this category. But still the people require a system of metaphysics, that is, an account of the world and our existence, because such an account belongs to the most natural needs of mankind, they require a popular system; and to be popular it must combine many rare qualities. It must be easily understood, and at the same time possess, on the proper points, a certain amount of obscurity, even of impenetrability; then a correct and satisfactory system of morality must be bound up with its dogmas; above all, it must afford inexhaustible consolation in suffering and death; the consequence of all this is, that it can only be true in an allegorical and not in a real sense. Further, it must have the support of an authority which is impressive by its great age, by being universally recognized, by its documents, their tone and utterances; qualities which are so extremely difficult to combine that many a man wouldn't be so ready, if he considered the matter, to help to undermine a religion, but would reflect that what he is attacking is a people's most sacred treasure. If you want to form an opinion on religion, you should always bear in mind the character of the great multitude for which it is destined, and form a picture to yourself of its complete inferiority, moral and intellectual. It is incredible how far this inferiority goes, and how perseveringly a spark of truth will glimmer on even under the crudest covering of monstrous fable or grotesque ceremony, clinging indestructibly, like the odor of musk, to everything that has once come into contact with it. In illustration of this, consider the profound wisdom of the Upanishads, and then look at the mad idolatry in the India of to-day, with its pilgrimages, processions and festivities, or at the insane and ridiculous goings-on of the Saniassi. Still one can't deny that in all this insanity and nonsense there lies some obscure purpose which accords with, or is a reflection of the profound wisdom I mentioned. But for the brute multitude, it had to be dressed up in

this form. In such a contrast as this we have the two poles of humanity, the wisdom of the individual and the bestiality of the many, both of which find their point of contact in the moral sphere. That saying from the Kural must occur to everybody. *Base people look like men, but I have never seen their exact counterpart.* The man of education may, all the same, interpret religion to himself *cum grano salis*; the man of learning, the contemplative spirit may secretly exchange it for a philosophy. But here again one philosophy wouldn't suit everybody; by the laws of affinity every system would draw to itself that public to whose education and capacities it was most suited. So there is always an inferior metaphysical system of the schools for the educated multitude, and a higher one for the *élite*. Kant's lofty doctrine, for instance, had to be degraded to the level of the schools and ruined by such men as Fries, Krug and Salat. In short, here, if anywhere, Goethe's maxim is true, *One does not suit all*. Pure faith in revelation and pure metaphysics are for the two extremes, and for the intermediate steps mutual modifications of both in innumerable combinations and gradations. And this is rendered necessary by the immeasurable differences which nature and education have placed between man and man.

*Philaletes*. The view you take reminds me seriously of the mysteries of the ancients, which you mentioned just now. Their fundamental purpose seems to have been to remedy the evil arising from the differences of intellectual capacity and education. The plan was, out of the great multitude utterly impervious to unveiled truth, to select certain persons who might have it revealed to them up to a given point; out of these, again, to choose others to whom more would be revealed, as being able to grasp more; and so on up to the Epopts. These grades correspond to the little, greater and greatest mysteries. The arrangement was founded on a correct estimate of the intellectual inequality of mankind.

*Demopheles*. To some extent the education in our lower, middle and high schools corresponds to the varying grades of initiation into the mysteries.

*Philaletes*. In a very approximate way; and then only in so far as subjects of higher knowledge are written about exclusively in Latin. But since that has ceased to be the case, all the mysteries are profaned.

*Demopheles*. However that may be, I wanted to remind you that you should look at religion more from the practical than from the theoretical side. *Personified* metaphysics may be the enemy of religion, but all the



same *personified* morality will be its friend. Perhaps the metaphysical element in all religions is false; but the moral element in all is true. This might perhaps be presumed from the fact that they all disagree in their metaphysics, but are in accord as regards morality.

*Philalethes*. Which is an illustration of the rule of logic that false premises may give a true conclusion.

*Demopheles*. Let me hold you to your conclusion: let me remind you that religion has two sides. If it can't stand when looked at from its theoretical, that is, its intellectual side; on the other hand, from the moral side, it proves itself the only means of guiding, controlling and mollifying those races of animals endowed with reason, whose kinship with the ape does not exclude a kinship with the tiger. But at the same time religion is, as a rule, a sufficient satisfaction for their dull metaphysical necessities. You don't seem to me to possess a proper idea of the difference, wide as the heavens asunder, the deep gulf between your man of learning and enlightenment, accustomed to the process of thinking, and the heavy, clumsy, dull and sluggish consciousness of humanity's beasts of burden, whose thoughts have once and for all taken the direction of anxiety about their livelihood, and cannot be put in motion in any other; whose muscular strength is so exclusively brought into play that the nervous power, which makes intelligence, sinks to a very low ebb. People like that must have something tangible which they can lay hold of on the slippery and thorny pathway of their life, some sort of beautiful fable, by means of which things can be imparted to them which their crude intelligence can entertain only in picture and parable. Profound explanations and fine distinctions are thrown away upon them. If you conceive religion in this light, and recollect that its aims are above all practical, and only in a subordinate degree theoretical, it will appear to you as something worthy of the highest respect.

*Philalethes*. A respect which will finally rest upon the principle that the end sanctifies the means. I don't feel in favor of a compromise on a basis like that. Religion may be an excellent means of training the perverse, obtuse and ill-disposed members of the biped race: in the eyes of the friend of truth every fraud, even though it be a pious one, is to be condemned. A system of deception, a pack of lies, would be a strange means of inculcating virtue. The flag to which I have taken the oath is truth; I shall remain faithful to it everywhere, and whether I succeed or not, I shall fight for light and truth! If I see religion on the wrong side —

*Demopheles.* But you won't. Religion isn't a deception: it is true and the most important of all truths. Because its doctrines are, as I have said, of such a lofty kind that the multitude can't grasp them without an intermediary, because, I say, its light would blind the ordinary eye, it comes forward wrapt in the veil of allegory and teaches, not indeed what is exactly true in itself, but what is true in respect of the lofty meaning contained in it; and, understood in this way, religion is the truth.

*Philalethes.* It would be all right if religion were only at liberty to be true in a merely allegorical sense. But its contention is that it is downright true in the proper sense of the word. Herein lies the deception, and it is here that the friend of truth must take up a hostile position.

*Demopheles.* The deception is a *sine qua non*. If religion were to admit that it was only the allegorical meaning in its doctrine which was true, it would rob itself of all efficacy. Such rigorous treatment as this would destroy its invaluable influence on the hearts and morals of mankind. Instead of insisting on that with pedantic obstinacy, look at its great achievements in the practical sphere, its furtherance of good and kindly feelings, its guidance in conduct, the support and consolation it gives to suffering humanity in life and death. How much you ought to guard against letting theoretical cavils discredit in the eyes of the multitude, and finally wrest from it, something which is an inexhaustible source of consolation and tranquillity, something which, in its hard lot, it needs so much, even more than we do. On that score alone, religion should be free from attack.

*Philalethes.* With that kind of argument you could have driven Luther from the field, when he attacked the sale of indulgences. How many a one got consolation from the letters of indulgence, a consolation which nothing else could give, a complete tranquillity; so that he joyfully departed with the fullest confidence in the packet of them which he held in his hand at the hour of death, convinced that they were so many cards of admission to all the nine heavens. What is the use of grounds of consolation and tranquillity which are constantly overshadowed by the Damocles-sword of illusion? The truth, my dear sir, is the only safe thing; the truth alone remains steadfast and trusty; it is the only solid consolation; it is the indestructible diamond.

*Demopheles.* Yes, if you had truth in your pocket, ready to favor us with it on demand. All you've got are metaphysical systems, in which nothing is

certain but the headaches they cost. Before you take anything away, you must have something better to put in its place.

*Philaletes*. That's what you keep on saying. To free a man from error is to give, not to take away. Knowledge that a thing is false is a truth. Error always does harm; sooner or later it will bring mischief to the man who harbors it. Then give up deceiving people; confess ignorance of what you don't know, and leave everyone to form his own articles of faith for himself. Perhaps they won't turn out so bad, especially as they'll rub one another's corners down, and mutually rectify mistakes. The existence of many views will at any rate lay a foundation of tolerance. Those who possess knowledge and capacity may betake themselves to the study of philosophy, or even in their own persons carry the history of philosophy a step further.

*Demopheles*. That'll be a pretty business! A whole nation of raw metaphysicians, wrangling and eventually coming to blows with one another!

*Philaletes*. Well, well, a few blows here and there are the sauce of life; or at any rate a very inconsiderable evil compared with such things as priestly dominion, plundering of the laity, persecution of heretics, courts of inquisition, crusades, religious wars, massacres of St. Bartholomew. These have been the result of popular metaphysics imposed from without; so I stick to the old saying that you can't get grapes from thistles, nor expect good to come from a pack of lies.

*Demopheles*. How often must I repeat that religion is anything but a pack of lies? It is truth itself, only in a mythical, allegorical vesture. But when you spoke of your plan of everyone being his own founder of religion, I wanted to say that a particularism like this is totally opposed to human nature, and would consequently destroy all social order. Man is a metaphysical animal, — that is to say, he has paramount metaphysical necessities; accordingly, he conceives life above all in its metaphysical signification, and wishes to bring everything into line with that. Consequently, however strange it may sound in view of the uncertainty of all dogmas, agreement in the fundamentals of metaphysics is the chief thing, because a genuine and lasting bond of union is only possible among those who are of one opinion on these points. As a result of this, the main point of likeness and of contrast between nations is rather religion than government, or even language; and so the fabric of society, the State, will stand firm only when founded on a system of metaphysics which is

acknowledged by all. This, of course, can only be a popular system, — that is, a religion: it becomes part and parcel of the constitution of the State, of all the public manifestations of the national life, and also of all solemn acts of individuals. This was the case in ancient India, among the Persians, Egyptians, Jews, Greeks and Romans; it is still the case in the Brahman, Buddhist and Mohammedan nations. In China there are three faiths, it is true, of which the most prevalent — Buddhism — is precisely the one which is not protected by the State; still, there is a saying in China, universally acknowledged, and of daily application, that “the three faiths are only one,” — that is to say, they agree in essentials. The Emperor confesses all three together at the same time. And Europe is the union of Christian States: Christianity is the basis of every one of the members, and the common bond of all. Hence Turkey, though geographically in Europe, is not properly to be reckoned as belonging to it. In the same way, the European princes hold their place “by the grace of God:” and the Pope is the vicerent of God. Accordingly, as his throne was the highest, he used to wish all thrones to be regarded as held in fee from him. In the same way, too, Archbishops and Bishops, as such, possessed temporal power; and in England they still have seats and votes in the Upper House. Protestant princes, as such, are heads of their churches: in England, a few years ago, this was a girl eighteen years old. By the revolt from the Pope, the Reformation shattered the European fabric, and in a special degree dissolved the true unity of Germany by destroying its common religious faith. This union, which had practically come to an end, had, accordingly, to be restored later on by artificial and purely political means. You see, then, how closely connected a common faith is with the social order and the constitution of every State. Faith is everywhere the support of the laws and the constitution, the foundation, therefore, of the social fabric, which could hardly hold together at all if religion did not lend weight to the authority of government and the dignity of the ruler.

*Philaletes.* Oh, yes, princes use God as a kind of bogey to frighten grown-up children to bed with, if nothing else avails: that’s why they attach so much importance to the Deity. Very well. Let me, in passing, recommend our rulers to give their serious attention, regularly twice every year, to the fifteenth chapter of the First Book of Samuel, that they may be constantly reminded of what it means to prop the throne on the altar. Besides, since the stake, that *ultima ration theologorum*, has gone out of fashion, this method

of government has lost its efficacy. For, as you know, religions are like glow-worms; they shine only when it is dark. A certain amount of general ignorance is the condition of all religions, the element in which alone they can exist. And as soon as astronomy, natural science, geology, history, the knowledge of countries and peoples have spread their light broadcast, and philosophy finally is permitted to say a word, every faith founded on miracles and revelation must disappear; and philosophy takes its place. In Europe the day of knowledge and science dawned towards the end of the fifteenth century with the appearance of the Renaissance Platonists: its sun rose higher in the sixteenth and seventeenth centuries so rich in results, and scattered the mists of the Middle Age. Church and Faith were compelled to disappear in the same proportion; and so in the eighteenth century English and French philosophers were able to take up an attitude of direct hostility; until, finally, under Frederick the Great, Kant appeared, and took away from religious belief the support it had previously enjoyed from philosophy: he emancipated the handmaid of theology, and in attacking the question with German thoroughness and patience, gave it an earnest instead of a frivolous tone. The consequence of this is that we see Christianity undermined in the nineteenth century, a serious faith in it almost completely gone; we see it fighting even for bare existence, whilst anxious princes try to set it up a little by artificial means, as a doctor uses a drug on a dying patient. In this connection there is a passage in Condorcet's "*Des Progrès de l'esprit humain*" which looks as if written as a warning to our age: "the religious zeal shown by philosophers and great men was only a political devotion; and every religion which allows itself to be defended as a belief that may usefully be left to the people, can only hope for an agony more or less prolonged." In the whole course of the events which I have indicated, you may always observe that faith and knowledge are related as the two scales of a balance; when the one goes up, the other goes down. So sensitive is the balance that it indicates momentary influences. When, for instance, at the beginning of this century, those inroads of French robbers under the leadership of Bonaparte, and the enormous efforts necessary for driving them out and punishing them, had brought about a temporary neglect of science and consequently a certain decline in the general increase of knowledge, the Church immediately began to raise her head again and Faith began to show fresh signs of life; which, to be sure, in keeping with the times, was partly poetical in its nature. On the other hand, in the more than

thirty years of peace which followed, leisure and prosperity furthered the building up of science and the spread of knowledge in an extraordinary degree: the consequence of which is what I have indicated, the dissolution and threatened fall of religion. Perhaps the time is approaching which has so often been prophesied, when religion will take her departure from European humanity, like a nurse which the child has outgrown: the child will now be given over to the instructions of a tutor. For there is no doubt that religious doctrines which are founded merely on authority, miracles and revelations, are only suited to the childhood of humanity. Everyone will admit that a race, the past duration of which on the earth all accounts, physical and historical, agree in placing at not more than some hundred times the life of a man of sixty, is as yet only in its first childhood.

*Demopheles.* Instead of taking an undisguised pleasure in prophesying the downfall of Christianity, how I wish you would consider what a measureless debt of gratitude European humanity owes to it, how greatly it has benefited by the religion which, after a long interval, followed it from its old home in the East. Europe received from Christianity ideas which were quite new to it, the Knowledge, I mean, of the fundamental truth that life cannot be an end-in-itself, that the true end of our existence lies beyond it. The Greeks and Romans had placed this end altogether in our present life, so that in this sense they may certainly be called blind heathens. And, in keeping with this view of life, all their virtues can be reduced to what is serviceable to the community, to what is useful in fact. Aristotle says quite naively, *Those virtues must necessarily be the greatest which are the most useful to others.* So the ancients thought patriotism the highest virtue, although it is really a very doubtful one, since narrowness, prejudice, vanity and an enlightened self-interest are main elements in it. Just before the passage I quoted, Aristotle enumerates all the virtues, in order to discuss them singly. They are *Justice, Courage, Temperance, Magnificence, Magnanimity, Liberality, Gentleness, Good Sense and Wisdom.* How different from the Christian virtues! Plato himself, incomparably the most transcendental philosopher of pre-Christian antiquity, knows no higher virtue than *Justice*; and he alone recommends it unconditionally and for its own sake, whereas the rest make a happy life, *vita beata*, the aim of all virtue, and moral conduct the way to attain it. Christianity freed European humanity from this shallow, crude identification of itself with the hollow, uncertain existence of every day,

coelumque tueri  
Jussit, et erectos ad sidera tollere vultus.

Christianity, accordingly, does not preach mere Justice, but *the Love of Mankind, Compassion, Good Works, Forgiveness, Love of your Enemies, Patience, Humility, Resignation, Faith and Hope*. It even went a step further, and taught that the world is of evil, and that we need deliverance. It preached despal of the world, self-denial, chastity, giving up of one's will, that is, turning away from life and its illusory pleasures. It taught the healing power of pain: an instrument of torture is the symbol of Christianity. I am quite ready to admit that this earnest, this only correct view of life was thousands of years previously spread all over Asia in other forms, as it is still, independently of Christianity; but for European humanity it was a new and great revelation. For it is well known that the population of Europe consists of Asiatic races driven out as wanderers from their own homes, and gradually settling down in Europe; on their wanderings these races lost the original religion of their homes, and with it the right view of life: so, under a new sky, they formed religions for themselves, which were rather crude; the worship of Odin, for instance, the Druidic or the Greek religion, the metaphysical content of which was little and shallow. In the meantime the Greeks developed a special, one might almost say, an instinctive sense of beauty, belonging to them alone of all the nations who have ever existed on the earth, peculiar, fine and exact: so that their mythology took, in the mouth of their poets, and in the hands of their artists, an exceedingly beautiful and pleasing shape. On the other hand, the true and deep significance of life was lost to the Greeks and Romans. They lived on like grown-up children, till Christianity came and recalled them to the serious side of existence.

*Philaletes*. And to see the effects one need only compare antiquity with the Middle Age; the time of Pericles, say, with the fourteenth century. You could scarcely believe you were dealing with the same kind of beings. There, the finest development of humanity, excellent institutions, wise laws, shrewdly apportioned offices, rationally ordered freedom, all the arts, including poetry and philosophy, at their best; the production of works which, after thousands of years, are unparalleled, the creations, as it were, of a higher order of beings, which we can never imitate; life embellished by the noblest fellowship, as portrayed in Xenophen's *Banquet*. Look on the

other picture, if you can; a time at which the Church had enslaved the minds, and violence the bodies of men, that knights and priests might lay the whole weight of life upon the common beast of burden, the third estate. There, you have might as right, Feudalism and Fanaticism in close alliance, and in their train abominable ignorance and darkness of mind, a corresponding intolerance, discord of creeds, religious wars, crusades, inquisitions and persecutions; as the form of fellowship, chivalry, compounded of savagery and folly, with its pedantic system of ridiculous false pretences carried to an extreme, its degrading superstition and apish veneration for women. Gallantry is the residue of this veneration, deservedly requited as it is by feminine arrogance; it affords continual food for laughter to all Asiatics, and the Greeks would have joined in it. In the golden Middle Age the practice developed into a regular and methodical service of women; it imposed deeds of heroism, *cours d'amour*, bombastic Troubadour songs, etc.; although it is to be observed that these last buffooneries, which had an intellectual side, were chiefly at home in France; whereas amongst the material sluggish Germans, the knights distinguished themselves rather by drinking and stealing; they were good at boozing and filling their castles with plunder; though in the courts, to be sure, there was no lack of insipid love songs. What caused this utter transformation? Migration and Christianity.

*Demopheles.* I am glad you reminded me of it. Migration was the source of the evil; Christianity the dam on which it broke. It was chiefly by Christianity that the raw, wild hordes which came flooding in were controlled and tamed. The savage man must first of all learn to kneel, to venerate, to obey; after that he can be civilized. This was done in Ireland by St. Patrick, in Germany by Winifred the Saxon, who was a genuine Boniface. It was migration of peoples, the last advance of Asiatic races towards Europe, followed only by the fruitless attempts of those under Attila, Zenghis Khan, and Timur, and as a comic afterpiece, by the gipsies, — it was this movement which swept away the humanity of the ancients. Christianity was precisely the principle which set itself to work against this savagery; just as later, through the whole of the Middle Age, the Church and its hierarchy were most necessary to set limits to the savage barbarism of those masters of violence, the princes and knights: it was what broke up the icefloes in that mighty deluge. Still, the chief aim of Christianity is not so much to make this life pleasant as to render us worthy of a better. It looks



away over this span of time, over this fleeting dream, and seeks to lead us to eternal welfare. Its tendency is ethical in the highest sense of the word, a sense unknown in Europe till its advent; as I have shown you, by putting the morality and religion of the ancients side by side with those of Christendom.

*Philalethes.* You are quite right as regards theory: but look at the practice! In comparison with the ages of Christianity the ancient world was unquestionably less cruel than the Middle Age, with its deaths by exquisite torture, its innumerable burnings at the stake. The ancients, further, were very enduring, laid great stress on justice, frequently sacrificed themselves for their country, showed such traces of every kind of magnanimity, and such genuine manliness, that to this day an acquaintance with their thoughts and actions is called the study of Humanity. The fruits of Christianity were religious wars, butcheries, crusades, inquisitions, extermination of the natives in America, and the introduction of African slaves in their place; and among the ancients there is nothing analogous to this, nothing that can be compared with it; for the slaves of the ancients, the *familia*, the *vernae*, were a contented race, and faithfully devoted to their masters' service, and as different from the miserable negroes of the sugar plantations, which are a disgrace to humanity, as their two colors are distinct. Those special moral delinquencies for which we reproach the ancients, and which are perhaps less uncommon now-a-days than appears on the surface to be the case, are trifles compared with the Christian enormities I have mentioned. Can you then, all considered, maintain that mankind has been really made morally better by Christianity?

*Demopheles.* If the results haven't everywhere been in keeping with the purity and truth of the doctrine, it may be because the doctrine has been too noble, too elevated for mankind, that its aim has been placed too high. It was so much easier to come up to the heathen system, or to the Mohammedan. It is precisely what is noble and dignified that is most liable everywhere to misuse and fraud: *abusus optimi pessimus*. Those high doctrines have accordingly now and then served as a pretext for the most abominable proceedings, and for acts of unmitigated wickedness. The downfall of the institutions of the old world, as well as of its arts and sciences, is, as I have said, to be attributed to the inroad of foreign barbarians. The inevitable result of this inroad was that ignorance and savagery got the upper hand; consequently violence and knavery

established their dominion, and knights and priests became a burden to mankind. It is partly, however, to be explained by the fact that the new religion made eternal and not temporal welfare the object of desire, taught that simplicity of heart was to be preferred to knowledge, and looked askance at all worldly pleasure. Now the arts and sciences subserve worldly pleasure; but in so far as they could be made serviceable to religion they were promoted, and attained a certain degree of perfection.

*Philaletes*. In a very narrow sphere. The sciences were suspicious companions, and as such, were placed under restrictions: on the other hand, darling ignorance, that element so necessary to a system of faith, was carefully nourished.

*Demopheles*. And yet mankind's possessions in the way of knowledge up to that period, which were preserved in the writings of the ancients, were saved from destruction by the clergy, especially by those in the monasteries. How would it have fared if Christianity hadn't come in just before the migration of peoples.

*Philaletes*. It would really be a most useful inquiry to try and make, with the coldest impartiality, an unprejudiced, careful and accurate comparison of the advantages and disadvantages which may be put down to religion. For that, of course, a much larger knowledge of historical and psychological data than either of us command would be necessary. Academies might make it a subject for a prize essay.

*Demopheles*. They'll take good care not to do so.

*Philaletes*. I'm surprised to hear you say that: it's a bad look out for religion. However, there are academies which, in proposing a subject for competition, make it a secret condition that the prize is to go to the man who best interprets their own view. If we could only begin by getting a statistician to tell us how many crimes are prevented every year by religious, and how many by other motives, there would be very few of the former. If a man feels tempted to commit a crime, you may rely upon it that the first consideration which enters his head is the penalty appointed for it, and the chances that it will fall upon him: then comes, as a second consideration, the risk to his reputation. If I am not mistaken, he will ruminate by the hour on these two impediments, before he ever takes a thought of religious considerations. If he gets safely over those two first bulwarks against crime, I think religion alone will very rarely hold him back from it.

*Demopheles.* I think that it will very often do so, especially when its influence works through the medium of custom. An atrocious act is at once felt to be repulsive. What is this but the effect of early impressions? Think, for instance, how often a man, especially if of noble birth, will make tremendous sacrifices to perform what he has promised, motivated entirely by the fact that his father has often earnestly impressed upon him in his childhood that “a man of honor” or “a gentleman” or a “a cavalier” always keeps his word inviolate.

*Philaletes.* That’s no use unless there is a certain inborn honorableness. You mustn’t ascribe to religion what results from innate goodness of character, by which compassion for the man who would suffer by his crime keeps a man from committing it. This is the genuine moral motive, and as such it is independent of all religions.

*Demopheles.* But this is a motive which rarely affects the multitude unless it assumes a religious aspect. The religious aspect at any rate strengthens its power for good. Yet without any such natural foundation, religious motives alone are powerful to prevent crime. We need not be surprised at this in the case of the multitude, when we see that even people of education pass now and then under the influence, not indeed of religious motives, which are founded on something which is at least allegorically true, but of the most absurd superstition, and allow themselves to be guided by it all their life long; as, for instance, undertaking nothing on a Friday, refusing to sit down thirteen at a table, obeying chance omens, and the like. How much more likely is the multitude to be guided by such things. You can’t form any adequate idea of the narrow limits of the mind in its raw state; it is a place of absolute darkness, especially when, as often happens, a bad, unjust and malicious heart is at the bottom of it. People in this condition — and they form the great bulk of humanity — must be led and controlled as well as may be, even if it be by really superstitious motives; until such time as they become susceptible to truer and better ones. As an instance of the direct working of religion, may be cited the fact, common enough, in Italy especially, of a thief restoring stolen goods, through the influence of his confessor, who says he won’t absolve him if he doesn’t. Think again of the case of an oath, where religion shows a most decided influence; whether it be that a man places himself expressly in the position of a purely *moral being*, and as such looks upon himself as solemnly appealed to, as seems to be the case in France, where the formula is simply

*je le jure*, and also among the Quakers, whose solemn *yea* or *nay* is regarded as a substitute for the oath; or whether it be that a man really believes he is pronouncing something which may affect his eternal happiness, — a belief which is presumably only the investiture of the former feeling. At any rate, religious considerations are a means of awakening and calling out a man's moral nature. How often it happens that a man agrees to take a false oath, and then, when it comes to the point, suddenly refuses, and truth and right win the day.

*Philaletes*. Oftener still false oaths are really taken, and truth and right trampled under foot, though all witnesses of the oath know it well! Still you are quite right to quote the oath as an undeniable example of the practical efficacy of religion. But, in spite of all you've said, I doubt whether the efficacy of religion goes much beyond this. Just think; if a public proclamation were suddenly made announcing the repeal of all the criminal laws; I fancy neither you nor I would have the courage to go home from here under the protection of religious motives. If, in the same way, all religions were declared untrue, we could, under the protection of the laws alone, go on living as before, without any special addition to our apprehensions or our measures of precaution. I will go beyond this, and say that religions have very frequently exercised a decidedly demoralizing influence. One may say generally that duties towards God and duties towards humanity are in inverse ratio.

It is easy to let adulation of the Deity make amends for lack of proper behavior towards man. And so we see that in all times and in all countries the great majority of mankind find it much easier to beg their way to heaven by prayers than to deserve to go there by their actions. In every religion it soon comes to be the case that faith, ceremonies, rites and the like, are proclaimed to be more agreeable to the Divine will than moral actions; the former, especially if they are bound up with the emoluments of the clergy, gradually come to be looked upon as a substitute for the latter. Sacrifices in temples, the saying of masses, the founding of chapels, the planting of crosses by the roadside, soon come to be the most meritorious works, so that even great crimes are expiated by them, as also by penance, subjection to priestly authority, confessions, pilgrimages, donations to the temples and the clergy, the building of monasteries and the like. The consequence of all this is that the priests finally appear as middlemen in the corruption of the gods. And if matters don't go quite so far as that, where is the religion

whose adherents don't consider prayers, praise and manifold acts of devotion, a substitute, at least in part, for moral conduct? Look at England, where by an audacious piece of priestcraft, the Christian Sunday, introduced by Constantine the Great as a subject for the Jewish Sabbath, is in a mendacious way identified with it, and takes its name, — and this in order that the commands of Jehovah for the Sabbath (that is, the day on which the Almighty had to rest from his six days' labor, so that it is essentially the last day of the week), might be applied to the Christian Sunday, the *dies solis*, the first day of the week which the sun opens in glory, the day of devotion and joy. The consequence of this fraud is that "Sabbath-breaking," or "the desecration of the Sabbath," that is, the slightest occupation, whether of business or pleasure, all games, music, sewing, worldly books, are on Sundays looked upon as great sins. Surely the ordinary man must believe that if, as his spiritual guides impress upon him, he is only constant in "a strict observance of the holy Sabbath," and is "a regular attendant at Divine Service," that is, if he only invariably idles away his time on Sundays, and doesn't fail to sit two hours in church to hear the same litany for the thousandth time and mutter it in tune with the others, he may reckon on indulgence in regard to those little peccadilloes which he occasionally allows himself. Those devils in human form, the slave owners and slave traders in the Free States of North America (they should be called the Slave States) are, as a rule, orthodox, pious Anglicans who would consider it a grave sin to work on Sundays; and having confidence in this, and their regular attendance at church, they hope for eternal happiness. The demoralizing tendency of religion is less problematical than its moral influence. How great and how certain that moral influence must be to make amends for the enormities which religions, especially the Christian and Mohammedan religions, have produced and spread over the earth! Think of the fanaticism, the endless persecutions, the religious wars, that sanguinary frenzy of which the ancients had no conception! think of the crusades, a butchery lasting two hundred years and inexcusable, its war cry "*It is the will of God*," its object to gain possession of the grave of one who preached love and sufferance! think of the cruel expulsion and extermination of the Moors and Jews from Spain! think of the orgies of blood, the inquisitions, the heretical tribunals, the bloody and terrible conquests of the Mohammedans in three continents, or those of Christianity in America, whose inhabitants were for the most part, and in Cuba entirely,

exterminated. According to Las Cases, Christianity murdered twelve millions in forty years, of course all *in majorem Dei gloriam*, and for the propagation of the Gospel, and because what wasn't Christian wasn't even looked upon as human! I have, it is true, touched upon these matters before; but when in our day, we hear of *Latest News from the Kingdom of God*, we shall not be weary of bringing old news to mind. And above all, don't let us forget India, the cradle of the human race, or at least of that part of it to which we belong, where first Mohammedans, and then Christians, were most cruelly infuriated against the adherents of the original faith of mankind. The destruction or disfigurement of the ancient temples and idols, a lamentable, mischievous and barbarous act, still bears witness to the monotheistic fury of the Mohammedans, carried on from Marmud, the Ghaznevid of cursed memory, down to Aureng Zeb, the fratricide, whom the Portuguese Christians have zealously imitated by destruction of temples and the *auto de fé* of the inquisition at Goa. Don't let us forget the chosen people of God, who after they had, by Jehovah's express command, stolen from their old and trusty friends in Egypt the gold and silver vessels which had been lent to them, made a murderous and plundering inroad into "the Promised Land," with the murderer Moses at their head, to tear it from the rightful owners, — again, by the same Jehovah's express and repeated commands, showing no mercy, exterminating the inhabitants, women, children and all (Joshua, ch. 9 and 10). And all this, simply because they weren't circumcised and didn't know Jehovah, which was reason enough to justify every enormity against them; just as for the same reason, in earlier times, the infamous knavery of the patriarch Jacob and his chosen people against Hamor, King of Shalem, and his people, is reported to his glory because the people were unbelievers! (Genesis xxxiii. 18.) Truly, it is the worst side of religions that the believers of one religion have allowed themselves every sin again those of another, and with the utmost ruffianism and cruelty persecuted them; the Mohammedans against the Christians and Hindoos; the Christians against the Hindoos, Mohammedans, American natives, Negroes, Jews, heretics, and others.

Perhaps I go too far in saying *all* religions. For the sake of truth, I must add that the fanatical enormities perpetrated in the name of religion are only to be put down to the adherents of monotheistic creeds, that is, the Jewish faith and its two branches, Christianity and Islamism. We hear of nothing of the kind in the case of Hindoos and Buddhists. Although it is a matter of

common knowledge that about the fifth century of our era Buddhism was driven out by the Brahmans from its ancient home in the southernmost part of the Indian peninsula, and afterwards spread over the whole of the rest of Asia, as far as I know, we have no definite account of any crimes of violence, or wars, or cruelties, perpetrated in the course of it.

That may, of course, be attributable to the obscurity which veils the history of those countries; but the exceedingly mild character of their religion, together with their unceasing inculcation of forbearance towards all living things, and the fact that Brahmanism by its caste system properly admits no proselytes, allows one to hope that their adherents may be acquitted of shedding blood on a large scale, and of cruelty in any form. Spence Hardy, in his excellent book on *Eastern Monachism*, praises the extraordinary tolerance of the Buddhists, and adds his assurance that the annals of Buddhism will furnish fewer instances of religious persecution than those of any other religion.

As a matter of fact, it is only to monotheism that intolerance is essential; an only god is by his nature a jealous god, who can allow no other god to exist. Polytheistic gods, on the other hand, are naturally tolerant; they live and let live; their own colleagues are the chief objects of their sufferance, as being gods of the same religion. This toleration is afterwards extended to foreign gods, who are, accordingly, hospitably received, and later on admitted, in some cases, to an equality of rights; the chief example of which is shown by the fact, that the Romans willingly admitted and venerated Phrygian, Egyptian and other gods. Hence it is that monotheistic religions alone furnish the spectacle of religious wars, religious persecutions, heretical tribunals, that breaking of idols and destruction of images of the gods, that razing of Indian temples, and Egyptian colossi, which had looked on the sun three thousand years, just because a jealous god had said, *Thou shalt make no graven image*.

But to return to the chief point. You are certainly right in insisting on the strong metaphysical needs of mankind; but religion appears to me to be not so much a satisfaction as an abuse of those needs. At any rate we have seen that in regard to the furtherance of morality, its utility is, for the most part, problematical, its disadvantages, and especially the atrocities which have followed in its train, are patent to the light of day. Of course it is quite a different matter if we consider the utility of religion as a prop of thrones; for where these are held "by the grace of God," throne and altar are intimately

associated; and every wise prince who loves his throne and his family will appear at the head of his people as an exemplar of true religion. Even Machiavelli, in the eighteenth chapter of his book, most earnestly recommended religion to princes. Beyond this, one may say that revealed religions stand to philosophy exactly in the relation of “sovereigns by the grace of God,” to “the sovereignty of the people”; so that the two former terms of the parallel are in natural alliance.

*Demopheles.* Oh, don’t take that tone! You’re going hand in hand with ochlocracy and anarchy, the arch enemy of all legislative order, all civilization and all humanity.

*Philaletes.* You are right. It was only a sophism of mine, what the fencing master calls a feint. I retract it. But see how disputing sometimes makes an honest man unjust and malicious. Let us stop.

*Demopheles.* I can’t help regretting that, after all the trouble I’ve taken, I haven’t altered your disposition in regard to religion. On the other hand, I can assure you that everything you have said hasn’t shaken my conviction of its high value and necessity.

*Philaletes.* I fully believe you; for, as we may read in Hudibras —

A man convinced against his will  
Is of the same opinion still.

My consolation is that, alike in controversies and in taking mineral waters, the after effects are the true ones.

*Demopheles.* Well, I hope it’ll be beneficial in your case.

*Philaletes.* It might be so, if I could digest a certain Spanish proverb.

*Demopheles.* Which is?

*Philaletes.* *Behind the cross stands the devil.*

*Demopheles.* Come, don’t let us part with sarcasms. Let us rather admit that religion, like Janus, or better still, like the Brahman god of death, Yama, has two faces, and like him, one friendly, the other sullen. Each of us has kept his eye fixed on one alone.

*Philaletes.* You are right, old fellow.



## A FEW WORDS ON PANTHEISM.

The controversy between Theism and Pantheism might be presented in an allegorical or dramatic form by supposing a dialogue between two persons in the pit of a theatre at Milan during the performance of a piece. One of them, convinced that he is in Girolamo's renowned marionette-theatre, admires the art by which the director gets up the dolls and guides their movements. "Oh, you are quite mistaken," says the other, "we're in the Teatro della Scala; it is the manager and his troupe who are on the stage; they are the persons you see before you; the poet too is taking a part."

The chief objection I have to Pantheism is that it says nothing. To call the world "God" is not to explain it; it is only to enrich our language with a superfluous synonym for the word "world." It comes to the same thing whether you say "the world is God," or "God is the world." But if you start from "God" as something that is given in experience, and has to be explained, and they say, "God is the world," you are affording what is to some extent an explanation, in so far as you are reducing what is unknown to what is partly known (*ignotum per notius*); but it is only a verbal explanation. If, however, you start from what is really given, that is to say, from the world, and say, "the world is God," it is clear that you say nothing, or at least you are explaining what is unknown by what is more unknown.

Hence, Pantheism presupposes Theism; only in so far as you start from a god, that is, in so far as you possess him as something with which you are already familiar, can you end by identifying him with the world; and your purpose in doing so is to put him out of the way in a decent fashion. In other words, you do not start clear from the world as something that requires explanation; you start from God as something that is given, and not knowing what to do with him, you make the world take over his role. This is the origin of Pantheism. Taking an unprejudiced view of the world as it is, no one would dream of regarding it as a god. It must be a very ill-advised god who knows no better way of diverting himself than by turning into such a world as ours, such a mean, shabby world, there to take the form of innumerable millions who live indeed, but are fretted and tormented, and who manage to exist a while together, only by preying on one another; to bear misery, need and death, without measure and without object, in the form, for instance, of millions of negro slaves, or of the three million

weavers in Europe who, in hunger and care, lead a miserable existence in damp rooms or the cheerless halls of a factory. What a pastime this for a god, who must, as such, be used to another mode of existence!

We find accordingly that what is described as the great advance from Theism to Pantheism, if looked at seriously, and not simply as a masked negation of the sort indicated above, is a transition from what is unproved and hardly conceivable to what is absolutely absurd. For however obscure, however loose or confused may be the idea which we connect with the word "God," there are two predicates which are inseparable from it, the highest power and the highest wisdom. It is absolutely absurd to think that a being endowed with these qualities should have put himself into the position described above. Theism, on the other hand, is something which is merely unproved; and if it is difficult to look upon the infinite world as the work of a personal, and therefore individual, Being, the like of which we know only from our experience of the animal world, it is nevertheless not an absolutely absurd idea. That a Being, at once almighty and all-good, should create a world of torment is always conceivable; even though we do not know why he does so; and accordingly we find that when people ascribe the height of goodness to this Being, they set up the inscrutable nature of his wisdom as the refuge by which the doctrine escapes the charge of absurdity. Pantheism, however, assumes that the creative God is himself the world of infinite torment, and, in this little world alone, dies every second, and that entirely of his own will; which is absurd. It would be much more correct to identify the world with the devil, as the venerable author of the *Deutsche Theologie* has, in fact, done in a passage of his immortal work, where he says, "*Wherefore the evil spirit and nature are one, and where nature is not overcome, neither is the evil adversary overcome.*"

It is manifest that the Pantheists give the Sansara the name of God. The same name is given by the Mystics to the Nirvana. The latter, however, state more about the Nirvana than they know, which is not done by the Buddhists, whose Nirvana is accordingly a relative nothing. It is only Jews, Christians, and Mohammedans who give its proper and correct meaning to the word "God."

The expression, often heard now-a-days, "the world is an end-in-itself," leaves it uncertain whether Pantheism or a simple Fatalism is to be taken as the explanation of it. But, whichever it be, the expression looks upon the world from a physical point of view only, and leaves out of sight its moral

significance, because you cannot assume a moral significance without presenting the world as means to a higher end. The notion that the world has a physical but not a moral meaning, is the most mischievous error sprung from the greatest mental perversity.

## ON BOOKS AND READING.

Ignorance is degrading only when found in company with riches. The poor man is restrained by poverty and need: labor occupies his thoughts, and takes the place of knowledge. But rich men who are ignorant live for their lusts only, and are like the beasts of the field; as may be seen every day: and they can also be reproached for not having used wealth and leisure for that which gives them their greatest value.

When we read, another person thinks for us: we merely repeat his mental process. In learning to write, the pupil goes over with his pen what the teacher has outlined in pencil: so in reading; the greater part of the work of thought is already done for us. This is why it relieves us to take up a book after being occupied with our own thoughts. And in reading, the mind is, in fact, only the playground of another's thoughts. So it comes about that if anyone spends almost the whole day in reading, and by way of relaxation devotes the intervals to some thoughtless pastime, he gradually loses the capacity for thinking; just as the man who always rides, at last forgets how to walk. This is the case with many learned persons: they have read themselves stupid. For to occupy every spare moment in reading, and to do nothing but read, is even more paralyzing to the mind than constant manual labor, which at least allows those engaged in it to follow their own thoughts. A spring never free from the pressure of some foreign body at last loses its elasticity; and so does the mind if other people's thoughts are constantly forced upon it. Just as you can ruin the stomach and impair the whole body by taking too much nourishment, so you can overfill and choke the mind by feeding it too much. The more you read, the fewer are the traces left by what you have read: the mind becomes like a tablet crossed over and over with writing. There is no time for ruminating, and in no other way can you assimilate what you have read. If you read on and on without setting your own thoughts to work, what you have read can not strike root, and is generally lost. It is, in fact, just the same with mental as with bodily food: hardly the fifth part of what one takes is assimilated. The rest passes off in evaporation, respiration and the like.

The result of all this is that thoughts put on paper are nothing more than footsteps in the sand: you see the way the man has gone, but to know what he saw on his walk, you want his eyes.

There is no quality of style that can be gained by reading writers who possess it; whether it be persuasiveness, imagination, the gift of drawing comparisons, boldness, bitterness, brevity, grace, ease of expression or wit, unexpected contrasts, a laconic or naive manner, and the like. But if these qualities are already in us, exist, that is to say, potentially, we can call them forth and bring them to consciousness; we can learn the purposes to which they can be put; we can be strengthened in our inclination to use them, or get courage to do so; we can judge by examples the effect of applying them, and so acquire the correct use of them; and of course it is only when we have arrived at that point that we actually possess these qualities. The only way in which reading can form style is by teaching us the use to which we can put our own natural gifts. We must have these gifts before we begin to learn the use of them. Without them, reading teaches us nothing but cold, dead mannerisms and makes us shallow imitators.

The strata of the earth preserve in rows the creatures which lived in former ages; and the array of books on the shelves of a library stores up in like manner the errors of the past and the way in which they have been exposed. Like those creatures, they too were full of life in their time, and made a great deal of noise; but now they are stiff and fossilized, and an object of curiosity to the literary palaeontologist alone.

Herodotus relates that Xerxes wept at the sight of his army, which stretched further than the eye could reach, in the thought that of all these, after a hundred years, not one would be alive. And in looking over a huge catalogue of new books, one might weep at thinking that, when ten years have passed, not one of them will be heard of.

It is in literature as in life: wherever you turn, you stumble at once upon the incorrigible mob of humanity, swarming in all directions, crowding and soiling everything, like flies in summer. Hence the number, which no man can count, of bad books, those rank weeds of literature, which draw nourishment from the corn and choke it. The time, money and attention of the public, which rightfully belong to good books and their noble aims, they take for themselves: they are written for the mere purpose of making money or procuring places. So they are not only useless; they do positive mischief. Nine-tenths of the whole of our present literature has no other aim than to get a few shillings out of the pockets of the public; and to this end author, publisher and reviewer are in league.

Let me mention a crafty and wicked trick, albeit a profitable and successful one, practised by littérateurs, hack writers, and voluminous authors. In complete disregard of good taste and the true culture of the period, they have succeeded in getting the whole of the world of fashion into leading strings, so that they are all trained to read in time, and all the same thing, viz., *the newest books*; and that for the purpose of getting food for conversation in the circles in which they move. This is the aim served by bad novels, produced by writers who were once celebrated, as Spindler, Bulwer Lytton, Eugene Sue. What can be more miserable than the lot of a reading public like this, always bound to peruse the latest works of extremely commonplace persons who write for money only, and who are therefore never few in number? and for this advantage they are content to know by name only the works of the few superior minds of all ages and all countries. Literary newspapers, too, are a singularly cunning device for robbing the reading public of the time which, if culture is to be attained, should be devoted to the genuine productions of literature, instead of being occupied by the daily bungling commonplace persons.

Hence, in regard to reading, it is a very important thing to be able to refrain. Skill in doing so consists in not taking into one's hands any book merely because at the time it happens to be extensively read; such as political or religious pamphlets, novels, poetry, and the like, which make a noise, and may even attain to several editions in the first and last year of their existence. Consider, rather, that the man who writes for fools is always sure of a large audience; be careful to limit your time for reading, and devote it exclusively to the works of those great minds of all times and countries, who o'ertop the rest of humanity, those whom the voice of fame points to as such. These alone really educate and instruct. You can never read bad literature too little, nor good literature too much. Bad books are intellectual poison; they destroy the mind. Because people always read what is new instead of the best of all ages, writers remain in the narrow circle of the ideas which happen to prevail in their time; and so the period sinks deeper and deeper into its own mire.

There are at all times two literatures in progress, running side by side, but little known to each other; the one real, the other only apparent. The former grows into permanent literature; it is pursued by those who live *for* science or poetry; its course is sober and quiet, but extremely slow; and it produces in Europe scarcely a dozen works in a century; these, however, are

permanent. The other kind is pursued by persons who live *on* science or poetry; it goes at a gallop with much noise and shouting of partisans; and every twelve-month puts a thousand works on the market. But after a few years one asks, Where are they? where is the glory which came so soon and made so much clamor? This kind may be called fleeting, and the other, permanent literature.

In the history of politics, half a century is always a considerable time; the matter which goes to form them is ever on the move; there is always something going on. But in the history of literature there is often a complete standstill for the same period; nothing has happened, for clumsy attempts don't count. You are just where you were fifty years previously.

To explain what I mean, let me compare the advance of knowledge among mankind to the course taken by a planet. The false paths on which humanity usually enters after every important advance are like the epicycles in the Ptolemaic system, and after passing through one of them, the world is just where it was before it entered it. But the great minds, who really bring the race further on its course do not accompany it on the epicycles it makes from time to time. This explains why posthumous fame is often bought at the expense of contemporary praise, and *vice versa*. An instance of such an epicycle is the philosophy started by Fichte and Schelling, and crowned by Hegel's caricature of it. This epicycle was a deviation from the limit to which philosophy had been ultimately brought by Kant; and at that point I took it up again afterwards, to carry it further. In the intervening period the sham philosophers I have mentioned and some others went through their epicycle, which had just come to an end; so that those who went with them on their course are conscious of the fact that they are exactly at the point from which they started.

This circumstance explains why it is that, every thirty years or so, science, literature, and art, as expressed in the spirit of the time, are declared bankrupt. The errors which appear from time to time amount to such a height in that period that the mere weight of their absurdity makes the fabric fall; whilst the opposition to them has been gathering force at the same time. So an upset takes place, often followed by an error in the opposite direction. To exhibit these movements in their periodical return would be the true practical aim of the history of literature: little attention, however, is paid to it. And besides, the comparatively short duration of these periods makes it difficult to collect the data of epochs long gone by, so

that it is most convenient to observe how the matter stands in one's own generation. An instance of this tendency, drawn from physical science, is supplied in the Neptunian geology of Werter.

But let me keep strictly to the example cited above, the nearest we can take. In German philosophy, the brilliant epoch of Kant was immediately followed by a period which aimed rather at being imposing than at convincing. Instead of being thorough and clear, it tried to be dazzling, hyperbolical, and, in a special degree, unintelligible: instead of seeking truth, it intrigued. Philosophy could make no progress in this fashion; and at last the whole school and its method became bankrupt. For the effrontery of Hegel and his fellows came to such a pass, — whether because they talked such sophisticated nonsense, or were so unscrupulously puffed, or because the entire aim of this pretty piece of work was quite obvious, — that in the end there was nothing to prevent charlatanry of the whole business from becoming manifest to everybody: and when, in consequence of certain disclosures, the favor it had enjoyed in high quarters was withdrawn, the system was openly ridiculed. This most miserable of all the meagre philosophies that have ever existed came to grief, and dragged down with it into the abyss of discredit, the systems of Fichte and Schelling which had preceded it. And so, as far as Germany is concerned, the total philosophical incompetence of the first half of the century following upon Kant is quite plain: and still the Germans boast of their talent for philosophy in comparison with foreigners, especially since an English writer has been so maliciously ironical as to call them “a nation of thinkers.”

For an example of the general system of epicycles drawn from the history of art, look at the school of sculpture which flourished in the last century and took its name from Bernini, more especially at the development of it which prevailed in France. The ideal of this school was not antique beauty, but commonplace nature: instead of the simplicity and grace of ancient art, it represented the manners of a French minuet.

This tendency became bankrupt when, under Winkelman's direction, a return was made to the antique school. The history of painting furnishes an illustration in the first quarter of the century, when art was looked upon merely as a means and instrument of mediaeval religious sentiment, and its themes consequently drawn from ecclesiastical subjects alone: these, however, were treated by painters who had none of the true earnestness of faith, and in their delusion they followed Francesco Francia, Pietro



Perugino, Angelico da Fiesole and others like them, rating them higher even than the really great masters who followed. It was in view of this terror, and because in poetry an analogous aim had at the same time found favor, that Goethe wrote his parable *Pfaffenspiel*. This school, too, got the reputation of being whimsical, became bankrupt, and was followed by a return to nature, which proclaimed itself in *genre* pictures and scenes of life of every kind, even though it now and then strayed into what was vulgar.

The progress of the human mind in literature is similar. The history of literature is for the most part like the catalogue of a museum of deformities; the spirit in which they keep best is pigskin. The few creatures that have been born in goodly shape need not be looked for there. They are still alive, and are everywhere to be met with in the world, immortal, and with their years ever green. They alone form what I have called real literature; the history of which, poor as it is in persons, we learn from our youth up out of the mouths of all educated people, before compilations recount it for us.

As an antidote to the prevailing monomania for reading literary histories, in order to be able to chatter about everything, without having any real knowledge at all, let me refer to a passage in Lichtenberg's works (vol. II., ), which is well worth perusal.

I believe that the over-minute acquaintance with the history of science and learning, which is such a prevalent feature of our day, is very prejudicial to the advance of knowledge itself. There is pleasure in following up this history; but as a matter of fact, it leaves the mind, not empty indeed, but without any power of its own, just because it makes it so full. Whoever has felt the desire, not to fill up his mind, but to strengthen it, to develop his faculties and aptitudes, and generally, to enlarge his powers, will have found that there is nothing so weakening as intercourse with a so-called *littérateur*, on a matter of knowledge on which he has not thought at all, though he knows a thousand little facts appertaining to its history and literature. It is like reading a cookery-book when you are hungry. I believe that so-called literary history will never thrive amongst thoughtful people, who are conscious of their own worth and the worth of real knowledge. These people are more given to employing their own reason than to troubling themselves to know how others have employed theirs. The worst of it is that, as you will find, the more knowledge takes the direction of literary research, the less the power of promoting knowledge becomes; the only thing that increases is pride in the possession of it. Such persons

believe that they possess knowledge in a greater degree than those who really possess it. It is surely a well-founded remark, that knowledge never makes its possessor proud. Those alone let themselves be blown out with pride, who incapable of extending knowledge in their own persons, occupy themselves with clearing up dark points in its history, or are able to recount what others have done. They are proud, because they consider this occupation, which is mostly of a mechanical nature, the practice of knowledge. I could illustrate what I mean by examples, but it would be an odious task.

Still, I wish some one would attempt a *tragical* history of literature, giving the way in which the writers and artists, who form the proudest possession of the various nations which have given them birth, have been treated by them during their lives. Such a history would exhibit the ceaseless warfare, which what was good and genuine in all times and countries has had to wage with what was bad and perverse. It would tell of the martyrdom of almost all those who truly enlightened humanity, of almost all the great masters of every kind of art: it would show us how, with few exceptions, they were tormented to death, without recognition, without sympathy, without followers; how they lived in poverty and misery, whilst fame, honor, and riches, were the lot of the unworthy; how their fate was that of Esau, who while he was hunting and getting venison for his father, was robbed of the blessing by Jacob, disguised in his brother's clothes, how, in spite of all, they were kept up by the love of their work, until at last the bitter fight of the teacher of humanity is over, until the immortal laurel is held out to him, and the hour strikes when it can be said:

Der schwere Panzer wird zum Flügelkleide  
Kurz ist der Schmerz, unendlich ist die Freude.

## PHYSIOGNOMY.

That the outer man is a picture of the inner, and the face an expression and revelation of the whole character, is a presumption likely enough in itself, and therefore a safe one to go by; evidenced as it is by the fact that people are always anxious to see anyone who has made himself famous by good or evil, or as the author of some extraordinary work; or if they cannot get a sight of him, to hear at any rate from others what he looks like. So people go to places where they may expect to see the person who interests them; the press, especially in England, endeavors to give a minute and striking description of his appearance; painters and engravers lose no time in putting him visibly before us; and finally photography, on that very account of such high value, affords the most complete satisfaction of our curiosity. It is also a fact that in private life everyone criticises the physiognomy of those he comes across, first of all secretly trying to discern their intellectual and moral character from their features. This would be a useless proceeding if, as some foolish people fancy, the exterior of a man is a matter of no account; if, as they think, the soul is one thing and the body another, and the body related to the soul merely as the coat to the man himself.

On the contrary, every human face is a hieroglyphic, and a hieroglyphic, too, which admits of being deciphered, the alphabet of which we carry about with us already perfected. As a matter of fact, the face of a man gives us a fuller and more interesting information than his tongue; for his face is the compendium of all he will ever say, as it is the one record of all his thoughts and endeavors. And, moreover, the tongue tells the thought of one man only, whereas the face expresses a thought of nature itself: so that everyone is worth attentive observation, even though everyone may not be worth talking to. And if every individual is worth observation as a single thought of nature, how much more so is beauty, since it is a higher and more general conception of nature, is, in fact, her thought of a species. This is why beauty is so captivating: it is a fundamental thought of nature: whereas the individual is only a by-thought, a corollary.

In private, people always proceed upon the principle that a man is what he looks; and the principle is a right one, only the difficulty lies in its application. For though the art of applying the principle is partly innate and may be partly gained by experience, no one is a master of it, and even the most experienced is not infallible. But for all that, whatever Figaro may say,

it is not the face which deceives; it is we who deceive ourselves in reading in it what is not there.

The deciphering of a face is certainly a great and difficult art, and the principles of it can never be learnt in the abstract. The first condition of success is to maintain a purely objective point of view, which is no easy matter. For, as soon as the faintest trace of anything subjective is present, whether dislike or favor, or fear or hope, or even the thought of the impression we ourselves are making upon the object of our attention the characters we are trying to decipher become confused and corrupt. The sound of a language is really appreciated only by one who does not understand it, and that because, in thinking of the signification of a word, we pay no regard to the sign itself. So, in the same way, a physiognomy is correctly gauged only by one to whom it is still strange, who has not grown accustomed to the face by constantly meeting and conversing with the man himself. It is, therefore, strictly speaking, only the first sight of a man which affords that purely objective view which is necessary for deciphering his features. An odor affects us only when we first come in contact with it, and the first glass of wine is the one which gives us its true taste: in the same way, it is only at the first encounter that a face makes its full impression upon us. Consequently the first impression should be carefully attended to and noted, even written down if the subject of it is of personal importance, provided, of course, that one can trust one's own sense of physiognomy. Subsequent acquaintance and intercourse will obliterate the impression, but time will one day prove whether it is true.

Let us, however, not conceal from ourselves the fact that this first impression is for the most part extremely unedifying. How poor most faces are! With the exception of those that are beautiful, good-natured, or intellectual, that is to say, the very few and far between, I believe a person of any fine feeling scarcely ever sees a new face without a sensation akin to a shock, for the reason that it presents a new and surprising combination of unedifying elements. To tell the truth, it is, as a rule, a sorry sight. There are some people whose faces bear the stamp of such artless vulgarity and baseness of character, such an animal limitation of intelligence, that one wonders how they can appear in public with such a countenance, instead of wearing a mask. There are faces, indeed, the very sight of which produces a feeling of pollution. One cannot, therefore, take it amiss of people, whose privileged position admits of it, if they manage to live in retirement and

completely free from the painful sensation of “seeing new faces.” The metaphysical explanation of this circumstance rests upon the consideration that the individuality of a man is precisely that by the very existence of which he should be reclaimed and corrected. If, on the other hand, a psychological explanation is satisfactory, let any one ask himself what kind of physiognomy he may expect in those who have all their life long, except on the rarest occasions, harbored nothing but petty, base and miserable thoughts, and vulgar, selfish, envious, wicked and malicious desires. Every one of these thoughts and desires has set its mark upon the face during the time it lasted, and by constant repetition, all these marks have in course of time become furrows and blotches, so to speak. Consequently, most people’s appearance is such as to produce a shock at first sight; and it is only gradually that one gets accustomed to it, that is to say, becomes so deadened to the impression that it has no more effect on one.

And that the prevailing facial expression is the result of a long process of innumerable, fleeting and characteristic contractions of the features is just the reason why intellectual countenances are of gradual formation. It is, indeed, only in old age that intellectual men attain their sublime expression, whilst portraits of them in their youth show only the first traces of it. But on the other hand, what I have just said about the shock which the first sight of a face generally produces, is in keeping with the remark that it is only at that first sight that it makes its true and full impression. For to get a purely objective and uncorrupted impression of it, we must stand in no kind of relation to the person; if possible, we must not yet have spoken with him. For every conversation places us to some extent upon a friendly footing, establishes a certain *rapport*, a mutual subjective relation, which is at once unfavorable to an objective point of view. And as everyone’s endeavor is to win esteem or friendship for himself, the man who is under observation will at once employ all those arts of dissimulation in which he is already versed, and corrupt us with his airs, hypocrisies and flatteries; so that what the first look clearly showed will soon be seen by us no more.

This fact is at the bottom of the saying that “most people gain by further acquaintance”; it ought, however, to run, “delude us by it.” It is only when, later on, the bad qualities manifest themselves, that our first judgment as a rule receives its justification and makes good its scornful verdict. It may be that “a further acquaintance” is an unfriendly one, and if that is so, we do not find in this case either that people gain by it. Another reason why

people apparently gain on a nearer acquaintance is that the man whose first aspect warns us from him, as soon as we converse with him, no longer shows his own being and character, but also his education; that is, not only what he really is by nature, but also what he has appropriated to himself out of the common wealth of mankind. Three-fourths of what he says belongs not to him, but to the sources from which he obtained it; so that we are often surprised to hear a minotaur speak so humanly. If we make a still closer acquaintance, the animal nature, of which his face gave promise, will manifest itself “in all its splendor.” If one is gifted with an acute sense for physiognomy, one should take special note of those verdicts which preceded a closer acquaintance and were therefore genuine. For the face of a man is the exact impression of what he is; and if he deceives us, that is our fault, not his. What a man says, on the other hand, is what he thinks, more often what he has learned, or it may be even, what he pretends to think. And besides this, when we talk to him, or even hear him talking to others, we pay no attention to his physiognomy proper. It is the underlying substance, the fundamental *datum*, and we disregard it; what interests us is its pathognomy, its play of feature during conversation. This, however, is so arranged as to turn the good side upwards.

When Socrates said to a young man who was introduced to him to have his capabilities tested, “Talk in order that I may see you,” if indeed by “seeing” he did not simply mean “hearing,” he was right, so far as it is only in conversation that the features and especially the eyes become animated, and the intellectual resources and capacities set their mark upon the countenance. This puts us in a position to form a provisional notion of the degree and capacity of intelligence; which was in that case Socrates’ aim. But in this connection it is to be observed, firstly, that the rule does not apply to moral qualities, which lie deeper, and in the second place, that what from an objective point of view we gain by the clearer development of the countenance in conversation, we lose from a subjective standpoint on account of the personal relation into which the speaker at once enters in regard to us, and which produces a slight fascination, so that, as explained above, we are not left impartial observers. Consequently from the last point of view we might say with greater accuracy, “Do not speak in order that I may see you.”

For to get a pure and fundamental conception of a man’s physiognomy, we must observe him when he is alone and left to himself. Society of any

kind and conversation throw a reflection upon him which is not his own, generally to his advantage; as he is thereby placed in a state of action and reaction which sets him off. But alone and left to himself, plunged in the depths of his own thoughts and sensations, he is wholly himself, and a penetrating eye for physiognomy can at one glance take a general view of his entire character. For his face, looked at by and in itself, expresses the keynote of all his thoughts and endeavors, the *arrêt irrevocable*, the irrevocable decree of his destiny, the consciousness of which only comes to him when he is alone.

The study of physiognomy is one of the chief means of a knowledge of mankind, because the cast of a man's face is the only sphere in which his arts of dissimulation are of no avail, since these arts extended only to that play of feature which is akin to mimicry. And that is why I recommend such a study to be undertaken when the subject of it is alone and given up to his own thoughts, and before he is spoken to: and this partly for the reason that it is only in such a condition that inspection of the physiognomy pure and simple is possible, because conversation at once lets in a pathognomical element, in which a man can apply the arts of dissimulation which he has learned: partly again because personal contact, even of the very slightest kind, gives a certain bias and so corrupts the judgment of the observer.

And in regard to the study of physiognomy in general, it is further to be observed that intellectual capacity is much easier of discernment than moral character. The former naturally takes a much more outward direction, and expresses itself not only in the face and the play of feature, but also in the gait, down even to the very slightest movement. One could perhaps discriminate from behind between a blockhead, a fool and a man of genius. The blockhead would be discerned by the torpidity and sluggishness of all his movements: folly sets its mark upon every gesture, and so does intellect and a studious nature. Hence that remark of La Bruyère that there is nothing so slight, so simple or imperceptible but that our way of doing it enters in and betrays us: a fool neither comes nor goes, nor sits down, nor gets up, nor holds his tongue, nor moves about in the same way as an intelligent man. (And this is, be it observed by way of parenthesis, the explanation of that sure and certain instinct which, according to Helvetius, ordinary folk possess of discerning people of genius, and of getting out of their way.)

The chief reason for this is that, the larger and more developed the brain, and the thinner, in relation to it, the spine and nerves, the greater is the

intellect; and not the intellect alone, but at the same time the mobility and pliancy of all the limbs; because the brain controls them more immediately and resolutely; so that everything hangs more upon a single thread, every movement of which gives a precise expression to its purpose.

This is analogous to, nay, is immediately connected with the fact that the higher an animal stands in the scale of development, the easier it becomes to kill it by wounding a single spot. Take, for example, batrachia: they are slow, cumbrous and sluggish in their movements; they are unintelligent, and, at the same time, extremely tenacious of life; the reason of which is that, with a very small brain, their spine and nerves are very thick. Now gait and movement of the arms are mainly functions of the brain; our limbs receive their motion and every little modification of it from the brain through the medium of the spine.

This is why conscious movements fatigue us: the sensation of fatigue, like that of pain, has its seat in the brain, not, as people commonly suppose, in the limbs themselves; hence motion induces sleep.

On the other hand those motions which are not excited by the brain, that is, the unconscious movements of organic life, of the heart, of the lungs, etc., go on in their course without producing fatigue. And as thought, equally with motion, is a function of the brain, the character of the brain's activity is expressed equally in both, according to the constitution of the individual; stupid people move like lay-figures, while every joint of an intelligent man is eloquent.

But gesture and movement are not nearly so good an index of intellectual qualities as the face, the shape and size of the brain, the contraction and movement of the features, and above all the eye, — from the small, dull, dead-looking eye of a pig up through all gradations to the irradiating, flashing eyes of a genius.

The look of good sense and prudence, even of the best kind, differs from that of genius, in that the former bears the stamp of subjection to the will, while the latter is free from it.

And therefore one can well believe the anecdote told by Squarzafichi in his life of Petrarch, and taken from Joseph Brivius, a contemporary of the poet, how once at the court of the Visconti, when Petrarch and other noblemen and gentlemen were present, Galeazzo Visconti told his son, who was then a mere boy (he was afterwards first Duke of Milan), to pick out the wisest of the company; how the boy looked at them all for a little, and



then took Petrarch by the hand and led him up to his father, to the great admiration of all present. For so clearly does nature set the mark of her dignity on the privileged among mankind that even a child can discern it.

Therefore, I should advise my sagacious countrymen, if ever again they wish to trumpet about for thirty years a very commonplace person as a great genius, not to choose for the purpose such a beerhouse-keeper physiognomy as was possessed by that philosopher, upon whose face nature had written, in her clearest characters, the familiar inscription, "commonplace person."

But what applies to intellectual capacity will not apply to moral qualities, to character. It is more difficult to discern its physiognomy, because, being of a metaphysical nature, it lies incomparably deeper.

It is true that moral character is also connected with the constitution, with the organism, but not so immediately or in such direct connection with definite parts of its system as is intellectual capacity.

Hence while everyone makes a show of his intelligence and endeavors to exhibit it at every opportunity, as something with which he is in general quite contented, few expose their moral qualities freely, and most people intentionally cover them up; and long practice makes the concealment perfect. In the meantime, as I explained above, wicked thoughts and worthless efforts gradually set their mask upon the face, especially the eyes. So that, judging by physiognomy, it is easy to warrant that a given man will never produce an immortal work; but not that he will never commit a great crime.

## **PSYCHOLOGICAL OBSERVATIONS.**

For every animal, and more especially for man, a certain conformity and proportion between the will and the intellect is necessary for existing or making any progress in the world. The more precise and correct the proportion which nature establishes, the more easy, safe and agreeable will be the passage through the world. Still, if the right point is only approximately reached, it will be enough to ward off destruction. There are, then, certain limits within which the said proportion may vary, and yet preserve a correct standard of conformity. The normal standard is as follows. The object of the intellect is to light and lead the will on its path, and therefore, the greater the force, impetus and passion, which spurs on the will from within, the more complete and luminous must be the intellect which is attached to it, that the vehement strife of the will, the glow of passion, and the intensity of the emotions, may not lead man astray, or urge him on to ill considered, false or ruinous action; this will, inevitably, be the result, if the will is very violent and the intellect very weak. On the other hand, a phlegmatic character, a weak and languid will, can get on and hold its own with a small amount of intellect; what is naturally moderate needs only moderate support. The general tendency of a want of proportion between the will and the intellect, in other words, of any variation from the normal proportion I have mentioned, is to produce unhappiness, whether it be that the will is greater than the intellect, or the intellect greater than the will. Especially is this the case when the intellect is developed to an abnormal degree of strength and superiority, so as to be out of all proportion to the will, a condition which is the essence of real genius; the intellect is then not only more than enough for the needs and aims of life, it is absolutely prejudicial to them. The result is that, in youth, excessive energy in grasping the objective world, accompanied by a vivid imagination and a total lack of experience, makes the mind susceptible, and an easy prey to extravagant ideas, nay, even to chimeras; and the result is an eccentric and phantastic character. And when, in later years, this state of mind yields and passes away under the teaching of experience, still the genius never feels himself at home in the common world of every day and the ordinary business of life; he will never take his place in it, and accommodate himself to it as accurately as the person of moral intellect; he will be much more

likely to make curious mistakes. For the ordinary mind feels itself so completely at home in the narrow circle of its ideas and views of the world that no one can get the better of it in that sphere; its faculties remain true to their original purpose, viz., to promote the service of the will; it devotes itself steadfastly to this end, and abjures extravagant aims. The genius, on the other hand, is at bottom a *monstrum per excessum*; just as, conversely, the passionate, violent and unintelligent man, the brainless barbarian, is a *monstrum per defectum*.

*The will to live*, which forms the inmost core of every living being, exhibits itself most conspicuously in the higher order of animals, that is, the cleverer ones; and so in them the nature of the will may be seen and examined most clearly. For in the lower orders its activity is not so evident; it has a lower degree of objectivation; whereas, in the class which stands above the higher order of animals, that is, in men, reason enters in; and with reason comes discretion, and with discretion, the capacity of dissimulation, which throws a veil over the operations of the will. And in mankind, consequently, the will appears without its mask only in the affections and the passions. And this is the reason why passion, when it speaks, always wins credence, no matter what the passion may be; and rightly so. For the same reason the passions are the main theme of poets and the stalking horse of actors. The conspicuousness of the will in the lower order of animals explains the delight we take in dogs, apes, cats, etc.; it is the entirely naive way in which they express themselves that gives us so much pleasure.

The sight of any free animal going about its business undisturbed, seeking its food, or looking after its young, or mixing in the company of its kind, all the time being exactly what it ought to be and can be, — what a strange pleasure it gives us! Even if it is only a bird, I can watch it for a long time with delight; or a water rat or a hedgehog; or better still, a weasel, a deer, or a stag. The main reason why we take so much pleasure in looking at animals is that we like to see our own nature in such a simplified form. There is only one mendacious being in the world, and that is man. Every other is true and sincere, and makes no attempt to conceal what it is, expressing its feelings just as they are.

Many things are put down to the force of habit which are rather to be attributed to the constancy and immutability of original, innate character,

according to which under like circumstances we always do the same thing: whether it happens for the first or the hundredth time, it is in virtue of the same necessity. Real force of habit, as a matter of fact, rests upon that indolent, passive disposition which seeks to relieve the intellect and the will of a fresh choice, and so makes us do what we did yesterday and have done a hundred times before, and of which we know that it will attain its object. But the truth of the matter lies deeper, and a more precise explanation of it can be given than appears at first sight. Bodies which may be moved by mechanical means only are subject to the power of inertia; and applied to bodies which may be acted on by motives, this power becomes the force of habit. The actions which we perform by mere habit come about, in fact, without any individual separate motive brought into play for the particular case: hence, in performing them, we really do not think about them. A motive was present only on the first few occasions on which the action happened, which has since become a habit: the secondary after-effect of this motive is the present habit, and it is sufficient to enable the action to continue: just as when a body had been set in motion by a push, it requires no more pushing in order to continue its motion; it will go on to all eternity, if it meets with no friction. It is the same in the case of animals: training is a habit which is forced upon them. The horse goes on drawing his cart quite contentedly, without having to be urged on: the motion is the continued effect of those strokes of the whip, which urged him on at first: by the law of inertia they have become perpetuated as habit. All this is really more than a mere parable: it is the underlying identity of the will at very different degrees of its objectivation, in virtue of which the same law of motion takes such different forms.

*Vive muchos años* is the ordinary greeting in Spain, and all over the earth it is quite customary to wish people a long life. It is presumably not a knowledge of life which directs such a wish; it is rather knowledge of what man is in his inmost nature, *the will to live*.

The wish which everyone has that he may be remembered after his death, — a wish which rises to the longing for posthumous glory in the case of those whose aims are high, — seems to me to spring from this clinging to life. When the time comes which cuts a man off from every possibility of real existence, he strives after a life which is still attainable, even though it be a shadowy and ideal one.

The deep grief we feel at the loss of a friend arises from the feeling that in every individual there is something which no words can express, something which is peculiarly his own and therefore irreparable. *Omne individuum ineffabile.*

We may come to look upon the death of our enemies and adversaries, even long after it has occurred, with just as much regret as we feel for that of our friends, viz., when we miss them as witnesses of our brilliant success.

That the sudden announcement of a very happy event may easily prove fatal rests upon the fact that happiness and misery depend merely on the proportion which our claims bear to what we get. Accordingly, the good things we possess, or are certain of getting, are not felt to be such; because all pleasure is in fact of a negative nature and effects the relief of pain, while pain or evil is what is really positive; it is the object of immediate sensation. With the possession or certain expectation of good things our demands rise, and increase our capacity for further possession and larger expectations. But if we are depressed by continual misfortune, and our claims reduced to a minimum, the sudden advent of happiness finds no capacity for enjoying it. Neutralized by an absence of pre-existing claims, its effects are apparently positive, and so its whole force is brought into play; hence it may possibly break our feelings, *i.e.*, be fatal to them. And so, as is well known, one must be careful in announcing great happiness. First, one must get the person to hope for it, then open up the prospect of it, then communicate part of it, and at last make it fully known. Every portion of the good news loses its efficacy, because it is anticipated by a demand, and room is left for an increase in it. In view of all this, it may be said that our stomach for good fortune is bottomless, but the entrance to it is narrow. These remarks are not applicable to great misfortunes in the same way. They are more seldom fatal, because hope always sets itself against them. That an analogous part is not played by fear in the case of happiness results from the fact that we are instinctively more inclined to hope than to fear; just as our eyes turn of themselves towards light rather than darkness.

Hope is the result of confusing the desire that something should take place with the probability that it will. Perhaps no man is free from this folly of the heart, which deranges the intellect's correct appreciation of probability to such an extent that, if the chances are a thousand to one against it, yet the event is thought a likely one. Still in spite of this, a sudden misfortune is like a death stroke, whilst a hope that is always disappointed and still never dies, is like death by prolonged torture.

He who has lost all hope has also lost all fear; this is the meaning of the expression "desperate." It is natural to a man to believe what he wishes to be true, and to believe it because he wishes it, If this characteristic of our nature, at once beneficial and assuaging, is rooted out by many hard blows of fate, and a man comes, conversely, to a condition in which he believes a thing must happen because he does not wish it, and what he wishes to happen can never be, just because he wishes it, this is in reality the state described as "desperation."

That we are so often deceived in others is not because our judgment is at fault, but because in general, as Bacon says, *intellectus luminis sicci non est, sed recipit infusionem a voluntate et affectibus*: that is to say, trifles unconsciously bias us for or against a person from the very beginning. It may also be explained by our not abiding by the qualities which we really discover; we go on to conclude the presence of others which we think inseparable from them, or the absence of those which we consider incompatible. For instance, when we perceive generosity, we infer justice; from piety, we infer honesty; from lying, deception; from deception, stealing, etc.; a procedure which opens the door to many false views, partly because human nature is so strange, partly because our standpoint is so one-sided. It is true, indeed, that character always forms a consistent and connected whole; but the roots of all its qualities lie too deep to allow of our concluding from particular data in a given case whether certain qualities can or cannot exist together.

We often happen to say things that may in some way or other be prejudicial to us; but we keep silent about things that might make us look ridiculous; because in this case effect follows very quickly on cause.

The pain of an unfulfilled wish is small in comparison with that of repentance; for the one stands in the presence of the vast open future, whilst the other has the irrevocable past closed behind it.

*Geduld*, *patientia*, patience, especially the Spanish *sufimiento*, is strongly connected with the notion of *suffering*. It is therefore a passive state, just as the opposite is an active state of the mind, with which, when great, patience is incompatible. It is the innate virtue of a phlegmatic, indolent, and spiritless people, as also of women. But that it is nevertheless so very useful and necessary is a sign that the world is very badly constituted.

Money is human happiness in the abstract: he, then, who is no longer capable of enjoying human happiness in the concrete, devotes his heart entirely to money.

Obstinacy is the result of the will forcing itself into the place of the intellect.

If you want to find out your real opinion of anyone, observe the impression made upon you by the first sight of a letter from him.

The course of our individual life and the events in it, as far as their true meaning and connection is concerned, may be compared to a piece of rough mosaic. So long as you stand close in front of it, you cannot get a right view of the objects presented, nor perceive their significance or beauty. Both come in sight only when you stand a little way off. And in the same way you often understand the true connection of important events in your life, not while they are going on, nor soon after they are past, but only a considerable time afterwards.

Is this so, because we require the magnifying effect of imagination? or because we can get a general view only from a distance? or because the school of experience makes our judgment ripe? Perhaps all of these together: but it is certain that we often view in the right light the actions of others, and occasionally even our own, only after the lapse of years. And as it is in one's own life, so it is in history.

Happy circumstances in life are like certain groups of trees. Seen from a distance they look very well: but go up to them and amongst them, and the beauty vanishes; you don't know where it can be; it is only trees you see. And so it is that we often envy the lot of others.

The doctor sees all the weakness of mankind, the lawyer all the wickedness, the theologian all the stupidity.

A person of phlegmatic disposition who is a blockhead, would, with a sanguine nature, be a fool.

Now and then one learns something, but one forgets the whole day long.

Moreover our memory is like a sieve, the holes of which in time get larger and larger: the older we get, the quicker anything entrusted to it slips from the memory, whereas, what was fixed fast in it in early days is there still. The memory of an old man gets clearer and clearer, the further it goes back, and less clear the nearer it approaches the present time; so that his memory, like his eyes, becomes short-sighted.

In the process of learning you may be apprehensive about bewildering and confusing the memory, but not about overloading it, in the strict sense of the word. The faculty for remembering is not diminished in proportion to what one has learnt, just as little as the number of moulds in which you cast sand, lessens its capacity for being cast in new moulds. In this sense the memory is bottomless. And yet the greater and more various any one's knowledge, the longer he takes to find out anything that may suddenly be asked him; because he is like a shopkeeper who has to get the article wanted from a large and multifarious store; or, more strictly speaking, because out of many possible trains of thought he has to recall exactly that one which, as a result of previous training, leads to the matter in question. For the memory is not a repository of things you wish to preserve, but a mere dexterity of the intellectual powers; hence the mind always contains its sum of knowledge only potentially, never actually.

It sometimes happens that my memory will not reproduce some word in a foreign language, or a name, or some artistic expression, although I know it very well. After I have bothered myself in vain about it for a longer or a shorter time, I give up thinking about it altogether. An hour or two



afterwards, in rare cases even later still, sometimes only after four or five weeks, the word I was trying to recall occurs to me while I am thinking of something else, as suddenly as if some one had whispered it to me. After noticing this phenomenon with wonder for very many years, I have come to think that the probable explanation of it is as follows. After the troublesome and unsuccessful search, my will retains its craving to know the word, and so sets a watch for it in the intellect. Later on, in the course and play of thought, some word by chance occurs having the same initial letters or some other resemblance to the word which is sought; then the sentinel springs forward and supplies what is wanting to make up the word, seizes it, and suddenly brings it up in triumph, without my knowing where and how he got it; so it seems as if some one had whispered it to me. It is the same process as that adopted by a teacher towards a child who cannot repeat a word; the teacher just suggests the first letter of the word, or even the second too; then the child remembers it. In default of this process, you can end by going methodically through all the letters of the alphabet.

In the ordinary man, injustice rouses a passionate desire for vengeance; and it has often been said that vengeance is sweet. How many sacrifices have been made just to enjoy the feeling of vengeance, without any intention of causing an amount of injury equivalent to what one has suffered. The bitter death of the centaur Nessus was sweetened by the certainty that he had used his last moments to work out an extremely clever vengeance. Walter Scott expresses the same human inclination in language as true as it is strong: "Vengeance is the sweetest morsel to the mouth that ever was cooked in hell!" I shall now attempt a psychological explanation of it.

Suffering which falls to our lot in the course of nature, or by chance, or fate, does not, *ceteris paribus*, seem so painful as suffering which is inflicted on us by the arbitrary will of another. This is because we look upon nature and chance as the fundamental masters of the world; we see that the blow we received from them might just as well have fallen on another. In the case of suffering which springs from this source, we bewail the common lot of humanity rather than our own misfortune. But that it is the arbitrary will of another which inflicts the suffering, is a peculiarly bitter addition to the pain or injury it causes, viz., the consciousness that some one else is superior to us, whether by force or cunning, while we lie helpless. If amends are possible, amends heal the injury; but that bitter addition, "and it

was you who did that to me,” which is often more painful than the injury itself, is only to be neutralized by vengeance. By inflicting injury on the one who has injured us, whether we do it by force or cunning, is to show our superiority to him, and to annul the proof of his superiority to us. That gives our hearts the satisfaction towards which it yearns. So where there is a great deal of pride and vanity, there also will there be a great desire of vengeance. But as the fulfillment of every wish brings with it more or less of a sense of disappointment, so it is with vengeance. The delight we hope to get from it is mostly embittered by compassion. Vengeance taken will often tear the heart and torment the conscience: the motive to it is no longer active, and what remains is the evidence of our malice.

## THE CHRISTIAN SYSTEM.

When the Church says that, in the dogmas of religion, reason is totally incompetent and blind, and its use to be reprehended, it is in reality attesting the fact that these dogmas are allegorical in their nature, and are not to be judged by the standard which reason, taking all things *sensu proprio*, can alone apply. Now the absurdities of a dogma are just the mark and sign of what is allegorical and mythical in it. In the case under consideration, however, the absurdities spring from the fact that two such heterogeneous doctrines as those of the Old and New Testaments had to be combined. The great allegory was of gradual growth. Suggested by external and adventitious circumstances, it was developed by the interpretation put upon them, an interpretation in quiet touch with certain deep-lying truths only half realized. The allegory was finally completed by Augustine, who penetrated deepest into its meaning, and so was able to conceive it as a systematic whole and supply its defects. Hence the Augustinian doctrine, confirmed by Luther, is the complete form of Christianity; and the Protestants of to-day, who take Revelation *sensu proprio* and confine it to a single individual, are in error in looking upon the first beginnings of Christianity as its most perfect expression. But the bad thing about all religions is that, instead of being able to confess their allegorical nature, they have to conceal it; accordingly, they parade their doctrine in all seriousness as true *sensu proprio*, and as absurdities form an essential part of these doctrines, you have the great mischief of a continual fraud. And, what is worse, the day arrives when they are no longer true *sensu proprio*, and then there is an end of them; so that, in that respect, it would be better to admit their allegorical nature at once. But the difficulty is to teach the multitude that something can be both true and untrue at the same time. And as all religions are in a greater or less degree of this nature, we must recognize the fact that mankind cannot get on without a certain amount of absurdity, that absurdity is an element in its existence, and illusion indispensable; as indeed other aspects of life testify. I have said that the combination of the Old Testament with the New gives rise to absurdities. Among the examples which illustrate what I mean, I may cite the Christian doctrine of Predestination and Grace, as formulated by Augustine and adopted from him by Luther; according to which one man is endowed with

grace and another is not. Grace, then, comes to be a privilege received at birth and brought ready into the world; a privilege, too, in a matter second to none in importance. What is obnoxious and absurd in this doctrine may be traced to the idea contained in the Old Testament, that man is the creation of an external will, which called him into existence out of nothing. It is quite true that genuine moral excellence is really innate; but the meaning of the Christian doctrine is expressed in another and more rational way by the theory of metempsychosis, common to Brahmans and Buddhists. According to this theory, the qualities which distinguish one man from another are received at birth, are brought, that is to say, from another world and a former life; these qualities are not an external gift of grace, but are the fruits of the acts committed in that other world. But Augustine's dogma of Predestination is connected with another dogma, namely, that the mass of humanity is corrupt and doomed to eternal damnation, that very few will be found righteous and attain salvation, and that only in consequence of the gift of grace, and because they are predestined to be saved; whilst the remainder will be overwhelmed by the perdition they have deserved, viz., eternal torment in hell. Taken in its ordinary meaning, the dogma is revolting, for it comes to this: it condemns a man, who may be, perhaps, scarcely twenty years of age, to expiate his errors, or even his unbelief, in everlasting torment; nay, more, it makes this almost universal damnation the natural effect of original sin, and therefore the necessary consequence of the Fall. This is a result which must have been foreseen by him who made mankind, and who, in the first place, made them not better than they are, and secondly, set a trap for them into which he must have known they would fall; for he made the whole world, and nothing is hidden from him. According to this doctrine, then, God created out of nothing a weak race prone to sin, in order to give them over to endless torment. And, as a last characteristic, we are told that this God, who prescribes forbearance and forgiveness of every fault, exercises none himself, but does the exact opposite; for a punishment which comes at the end of all things, when the world is over and done with, cannot have for its object either to improve or deter, and is therefore pure vengeance. So that, on this view, the whole race is actually destined to eternal torture and damnation, and created expressly for this end, the only exception being those few persons who are rescued by election of grace, from what motive one does not know.

Putting these aside, it looks as if the Blessed Lord had created the world for the benefit of the devil! it would have been so much better not to have made it at all. So much, then, for a dogma taken *sensu proprio*. But look at it *sensu allegorico*, and the whole matter becomes capable of a satisfactory interpretation. What is absurd and revolting in this dogma is, in the main, as I said, the simple outcome of Jewish theism, with its “creation out of nothing,” and really foolish and paradoxical denial of the doctrine of metempsychosis which is involved in that idea, a doctrine which is natural, to a certain extent self-evident, and, with the exception of the Jews, accepted by nearly the whole human race at all times. To remove the enormous evil arising from Augustine’s dogma, and to modify its revolting nature, Pope Gregory I., in the sixth century, very prudently matured the doctrine of *Purgatory*, the essence of which already existed in Origen (cf. Bayle’s article on Origen, note B.). The doctrine was regularly incorporated into the faith of the Church, so that the original view was much modified, and a certain substitute provided for the doctrine of metempsychosis; for both the one and the other admit a process of purification. To the same end, the doctrine of “the Restoration of all things” [Greek: *apokatastasis*] was established, according to which, in the last act of the Human Comedy, the sinners one and all will be reinstated *in integrum*. It is only Protestants, with their obstinate belief in the Bible, who cannot be induced to give up eternal punishment in hell. If one were spiteful, one might say, “much good may it do them,” but it is consoling to think that they really do not believe the doctrine; they leave it alone, thinking in their hearts, “It can’t be so bad as all that.”

The rigid and systematic character of his mind led Augustine, in his austere dogmatism and his resolute definition of doctrines only just indicated in the Bible and, as a matter of fact, resting on very vague grounds, to give hard outlines to these doctrines and to put a harsh construction on Christianity: the result of which is that his views offend us, and just as in his day Pelagianism arose to combat them, so now in our day Rationalism does the same. Take, for example, the case as he states it generally in the *De Civitate Dei*, Bk. xii. ch. 21. It comes to this: God creates a being out of nothing, forbids him some things, and enjoins others upon him; and because these commands are not obeyed, he tortures him to all eternity with every conceivable anguish; and for this purpose, binds soul and body inseparably together, so that, instead, of the torment destroying

this being by splitting him up into his elements, and so setting him free, he may live to eternal pain. This poor creature, formed out of nothing! At least, he has a claim on his original nothing: he should be assured, as a matter of right, of this last retreat, which, in any case, cannot be a very evil one: it is what he has inherited. I, at any rate, cannot help sympathizing with him. If you add to this Augustine's remaining doctrines, that all this does not depend on the man's own sins and omissions, but was already predestined to happen, one really is at a loss what to think. Our highly educated Rationalists say, to be sure, "It's all false, it's a mere bugbear; we're in a state of constant progress, step by step raising ourselves to ever greater perfection." Ah! what a pity we didn't begin sooner; we should already have been there.

In the Christian system the devil is a personage of the greatest importance. God is described as absolutely good, wise and powerful; and unless he were counterbalanced by the devil, it would be impossible to see where the innumerable and measureless evils, which predominate in the world, come from, if there were no devil to account for them. And since the Rationalists have done away with the devil, the damage inflicted on the other side has gone on growing, and is becoming more and more palpable; as might have been foreseen, and was foreseen, by the orthodox. The fact is, you cannot take away one pillar from a building without endangering the rest of it. And this confirms the view, which has been established on other grounds, that Jehovah is a transformation of Ormuzd, and Satan of the Ahriman who must be taken in connection with him. Ormuzd himself is a transformation of Indra.

Christianity has this peculiar disadvantage, that, unlike other religions, it is not a pure system of doctrine: its chief and essential feature is that it is a history, a series of events, a collection of facts, a statement of the actions and sufferings of individuals: it is this history which constitutes dogma, and belief in it is salvation. Other religions, Buddhism, for instance, have, it is true, historical appendages, the life, namely, of their founders: this, however, is not part and parcel of the dogma but is taken along with it. For example, the Lalitavistara may be compared with the Gospel so far as it contains the life of Sakya-muni, the Buddha of the present period of the world's history: but this is something which is quite separate and different from the dogma, from the system itself: and for this reason; the lives of former Buddhas were quite other, and those of the future will be quite other,

than the life of the Buddha of to-day. The dogma is by no means one with the career of its founder; it does not rest on individual persons or events; it is something universal and equally valid at all times. The Lalitavistara is not, then, a gospel in the Christian sense of the word; it is not the joyful message of an act of redemption; it is the career of him who has shown how each one may redeem himself. The historical constitution of Christianity makes the Chinese laugh at missionaries as story-tellers.

I may mention here another fundamental error of Christianity, an error which cannot be explained away, and the mischievous consequences of which are obvious every day: I mean the unnatural distinction Christianity makes between man and the animal world to which he really belongs. It sets up man as all-important, and looks upon animals as merely things. Brahmanism and Buddhism, on the other hand, true to the facts, recognize in a positive way that man is related generally to the whole of nature, and specially and principally to animal nature; and in their systems man is always represented by the theory of metempsychosis and otherwise, as closely connected with the animal world. The important part played by animals all through Buddhism and Brahmanism, compared with the total disregard of them in Judaism and Christianity, puts an end to any question as to which system is nearer perfection, however much we in Europe may have become accustomed to the absurdity of the claim. Christianity contains, in fact, a great and essential imperfection in limiting its precepts to man, and in refusing rights to the entire animal world. As religion fails to protect animals against the rough, unfeeling and often more than bestial multitude, the duty falls to the police; and as the police are unequal to the task, societies for the protection of animals are now formed all over Europe and America. In the whole of uncircumcised Asia, such a procedure would be the most superfluous thing in the world, because animals are there sufficiently protected by religion, which even makes them objects of charity. How such charitable feelings bear fruit may be seen, to take an example, in the great hospital for animals at Surat, whither Christians, Mohammedans and Jews can send their sick beasts, which, if cured, are very rightly not restored to their owners. In the same way when a Brahman or a Buddhist has a slice of good luck, a happy issue in any affair, instead of mumbling a *Te Deum*, he goes to the market-place and buys birds and opens their cages at the city gate; a thing which may be frequently seen in Astrachan, where the adherents of every religion meet together: and so on

in a hundred similar ways. On the other hand, look at the revolting ruffianism with which our Christian public treats its animals; killing them for no object at all, and laughing over it, or mutilating or torturing them: even its horses, who form its most direct means of livelihood, are strained to the utmost in their old age, and the last strength worked out of their poor bones until they succumb at last under the whip. One might say with truth, Mankind are the devils of the earth, and the animals the souls they torment. But what can you expect from the masses, when there are men of education, zoologists even, who, instead of admitting what is so familiar to them, the essential identity of man and animal, are bigoted and stupid enough to offer a zealous opposition to their honest and rational colleagues, when they class man under the proper head as an animal, or demonstrate the resemblance between him and the chimpanzee or ourang-outang. It is a revolting thing that a writer who is so pious and Christian in his sentiments as Jung Stilling should use a simile like this, in his *Scenen aus dem Geisterreich*. (Bk. II. sc. i., .) “Suddenly the skeleton shriveled up into an indescribably hideous and dwarf-like form, just as when you bring a large spider into the focus of a burning glass, and watch the purulent blood hiss and bubble in the heat.” This man of God then was guilty of such infamy! or looked on quietly when another was committing it! in either case it comes to the same thing here. So little harm did he think of it that he tells us of it in passing, and without a trace of emotion. Such are the effects of the first chapter of Genesis, and, in fact, of the whole of the Jewish conception of nature. The standard recognized by the Hindus and Buddhists is the Mahavakya (the great word), — “tat-twam-asi” (this is thyself), which may always be spoken of every animal, to keep us in mind of the identity of his inmost being with ours. Perfection of morality, indeed! Nonsense.

The fundamental characteristics of the Jewish religion are realism and optimism, views of the world which are closely allied; they form, in fact, the conditions of theism. For theism looks upon the material world as absolutely real, and regards life as a pleasant gift bestowed upon us. On the other hand, the fundamental characteristics of the Brahman and Buddhist religions are idealism and pessimism, which look upon the existence of the world as in the nature of a dream, and life as the result of our sins. In the doctrines of the Zendavesta, from which, as is well known, Judaism sprang, the pessimistic element is represented by Ahriman. In Judaism, Ahriman has as Satan only a subordinate position; but, like Ahriman, he is the lord of



snakes, scorpions, and vermin. But the Jewish system forthwith employs Satan to correct its fundamental error of optimism, and in the *Fall* introduces the element of pessimism, a doctrine demanded by the most obvious facts of the world. There is no truer idea in Judaism than this, although it transfers to the course of existence what must be represented as its foundation and antecedent.

The New Testament, on the other hand, must be in some way traceable to an Indian source: its ethical system, its ascetic view of morality, its pessimism, and its Avatar, are all thoroughly Indian. It is its morality which places it in a position of such emphatic and essential antagonism to the Old Testament, so that the story of the Fall is the only possible point of connection between the two. For when the Indian doctrine was imported into the land of promise, two very different things had to be combined: on the one hand the consciousness of the corruption and misery of the world, its need of deliverance and salvation through an Avatar, together with a morality based on self-denial and repentance; on the other hand the Jewish doctrine of Monotheism, with its corollary that “all things are very good” [Greek: *panta kala lian*]. And the task succeeded as far as it could, as far, that is, as it was possible to combine two such heterogeneous and antagonistic creeds.

As ivy clings for the support and stay it wants to a rough-hewn post, everywhere conforming to its irregularities and showing their outline, but at the same time covering them with life and grace, and changing the former aspect into one that is pleasing to the eye; so the Christian faith, sprung from the wisdom of India, overspreads the old trunk of rude Judaism, a tree of alien growth; the original form must in part remain, but it suffers a complete change and becomes full of life and truth, so that it appears to be the same tree, but is really another.

Judaism had presented the Creator as separated from the world, which he produced out of nothing. Christianity identifies this Creator with the Saviour, and through him, with humanity: he stands as their representative; they are redeemed in him, just as they fell in Adam, and have lain ever since in the bonds of iniquity, corruption, suffering and death. Such is the view taken by Christianity in common with Buddhism; the world can no longer be looked at in the light of Jewish optimism, which found “all things very good”: nay, in the Christian scheme, the devil is named as its Prince or Ruler ([Greek: *ho archon tou kosmoutoutou*.] John 12, 33). The world is no

longer an end, but a means: and the realm of everlasting joy lies beyond it and the grave. Resignation in this world and direction of all our hopes to a better, form the spirit of Christianity. The way to this end is opened by the Atonement, that is the Redemption from this world and its ways. And in the moral system, instead of the law of vengeance, there is the command to love your enemy; instead of the promise of innumerable posterity, the assurance of eternal life; instead of visiting the sins of the fathers upon the children to the third and fourth generations, the Holy Spirit governs and overshadows all.

We see, then, that the doctrines of the Old Testament are rectified and their meaning changed by those of the New, so that, in the most important and essential matters, an agreement is brought about between them and the old religions of India. Everything which is true in Christianity may also be found in Brahmanism and Buddhism. But in Hinduism and Buddhism you will look in vain for any parallel to the Jewish doctrines of “a nothing quickened into life,” or of “a world made in time,” which cannot be humble enough in its thanks and praises to Jehovah for an ephemeral existence full of misery, anguish and need.

Whoever seriously thinks that superhuman beings have ever given our race information as to the aim of its existence and that of the world, is still in his childhood. There is no other revelation than the thoughts of the wise, even though these thoughts, liable to error as is the lot of everything human, are often clothed in strange allegories and myths under the name of religion. So far, then, it is a matter of indifference whether a man lives and dies in reliance on his own or another's thoughts; for it is never more than human thought, human opinion, which he trusts. Still, instead of trusting what their own minds tell them, men have as a rule a weakness for trusting others who pretend to supernatural sources of knowledge. And in view of the enormous intellectual inequality between man and man, it is easy to see that the thoughts of one mind might appear as in some sense a revelation to another.

# THE ART OF LITERATURE



*Translated by T. Bailey Saunders*

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## TRANSLATOR'S PREFACE.

The contents of this, as of the other volumes in the series, have been drawn from Schopenhauer's *Parerga*, and amongst the various subjects dealt with in that famous collection of essays, Literature holds an important place. Nor can Schopenhauer's opinions fail to be of special value when he treats of literary form and method. For, quite apart from his philosophical pretensions, he claims recognition as a great writer; he is, indeed, one of the best of the few really excellent prose-writers of whom Germany can boast. While he is thus particularly qualified to speak of Literature as an Art, he has also something to say upon those influences which, outside of his own merits, contribute so much to an author's success, and are so often undervalued when he obtains immediate popularity. Schopenhauer's own sore experiences in the matter of reputation lend an interest to his remarks upon that subject, although it is too much to ask of human nature that he should approach it in any dispassionate spirit.

In the following pages we have observations upon style by one who was a stylist in the best sense of the word, not affected, nor yet a phrasemonger; on thinking for oneself by a philosopher who never did anything else; on criticism by a writer who suffered much from the inability of others to understand him; on reputation by a candidate who, during the greater part of his life, deserved without obtaining it; and on genius by one who was incontestably of the privileged order himself. And whatever may be thought of some of his opinions on matters of detail — on anonymity, for instance, or on the question whether good work is never done for money — there can be no doubt that his general view of literature, and the conditions under which it flourishes, is perfectly sound.

It might be thought, perhaps, that remarks which were meant to apply to the German language would have but little bearing upon one so different from it as English. This would be a just objection if Schopenhauer treated literature in a petty spirit, and confined himself to pedantic inquiries into matters of grammar and etymology, or mere niceties of phrase. But this is not so. He deals with his subject broadly, and takes large and general views; nor can anyone who knows anything of the philosopher suppose this to mean that he is vague and feeble. It is true that now and again in the course of these essays he makes remarks which are obviously meant to apply to the

failings of certain writers of his own age and country; but in such a case I have generally given his sentences a turn, which, while keeping them faithful to the spirit of the original, secures for them a less restricted range, and makes Schopenhauer a critic of similar faults in whatever age or country they may appear. This has been done in spite of a sharp word on page seventeen of this volume, addressed to translators who dare to revise their author; but the change is one with which not even Schopenhauer could quarrel.

It is thus a significant fact — a testimony to the depth of his insight and, in the main, the justice of his opinions — that views of literature which appealed to his own immediate contemporaries, should be found to hold good elsewhere and at a distance of fifty years. It means that what he had to say was worth saying; and since it is adapted thus equally to diverse times and audiences, it is probably of permanent interest.

The intelligent reader will observe that much of the charm of Schopenhauer's writing comes from its strongly personal character, and that here he has to do, not with a mere maker of books, but with a man who thinks for himself and has no false scruples in putting his meaning plainly upon the page, or in unmasking sham wherever he finds it. This is nowhere so true as when he deals with literature; and just as in his treatment of life, he is no flatterer to men in general, so here he is free and outspoken on the peculiar failings of authors. At the same time he gives them good advice. He is particularly happy in recommending restraint in regard to reading the works of others, and the cultivation of independent thought; and herein he recalls a saying attributed to Hobbes, who was not less distinguished as a writer than as a philosopher, to the effect that "*if he had read as much as other men, he should have been as ignorant as they.*"

Schopenhauer also utters a warning, which we shall do well to take to heart in these days, against mingling the pursuit of literature with vulgar aims. If we follow him here, we shall carefully distinguish between literature as an object of life and literature as a means of living, between the real love of truth and beauty, and that detestable false love which looks to the price it will fetch in the market. I am not referring to those who, while they follow a useful and honorable calling in bringing literature before the public, are content to be known as men of business. If, by the help of some second witch of Endor, we could raise the ghost of Schopenhauer, it would be interesting to hear his opinion of a certain kind of literary enterprise

which has come into vogue since his day, and now receives an amount of attention very much beyond its due. We may hazard a guess at the direction his opinion would take. He would doubtless show us how this enterprise, which is carried on by self-styled *literary men*, ends by making literature into a form of merchandise, and treating it as though it were so much goods to be bought and sold at a profit, and most likely to produce quick returns if the maker's name is well known. Nor would it be the ghost of the real Schopenhauer unless we heard a vigorous denunciation of men who claim a connection with literature by a servile flattery of successful living authors — the dead cannot be made to pay — in the hope of appearing to advantage in their reflected light and turning that advantage into money.

In order to present the contents of this book in a convenient form, I have not scrupled to make an arrangement with the chapters somewhat different from that which exists in the original; so that two or more subjects which are there dealt with successively in one and the same chapter, here stand by themselves. In consequence of this, some of the titles of the sections are not to be found in the original. I may state, however, that the essays on *Authorship* and *Style* and the latter part of that on *Criticism* are taken direct from the chapter headed *Ueber Schriftstellerei und Stil*; and that the remainder of the essay on *Criticism*, with that of *Reputation*, is supplied by the remarks *Ueber Urtheil, Kritik, Beifall und Ruhm*. The essays on *The Study of Latin*, on *Men of Learning*, and on *Some Forms of Literature*, are taken chiefly from the four sections *Ueber Gelehrsamkeit und Gelehrte*, *Ueber Sprache und Worte*, *Ueber Lesen und Bücher: Anhang*, and *Zur Metaphysik des Schönen*. The essay on *Thinking for Oneself* is a rendering of certain remarks under the heading *Selbstdenken*. *Genius* was a favorite subject of speculation with Schopenhauer, and he often touches upon it in the course of his works; always, however, to put forth the same theory in regard to it as may be found in the concluding section of this volume. Though the essay has little or nothing to do with literary method, the subject of which it treats is the most needful element of success in literature; and I have introduced it on that ground. It forms part of a chapter in the *Parerga* entitled *Den Intellekt überhaupt und in jeder Beziehung betreffende Gedanken: Anhang verwandter Stellen*.

It has also been part of my duty to invent a title for this volume; and I am well aware that objection may be made to the one I have chosen, on the ground that in common language it is unusual to speak of literature as an

art, and that to do so is unduly to narrow its meaning and to leave out of sight its main function as the record of thought. But there is no reason why the word *Literature* should not be employed in that double sense which is allowed to attach to *Painting, Music, Sculpture*, as signifying either the objective outcome of a certain mental activity, seeking to express itself in outward form; or else the particular kind of mental activity in question, and the methods it follows. And we do, in fact, use it in this latter sense, when we say of a writer that he pursues literature as a calling. If, then, literature can be taken to mean a process as well as a result of mental activity, there can be no error in speaking of it as Art. I use that term in its broad sense, as meaning skill in the display of thought; or, more fully, a right use of the rules of applying to the practical exhibition of thought, with whatever material it may deal. In connection with literature, this is a sense and an application of the term which have been sufficiently established by the example of the great writers of antiquity.

It may be asked, of course, whether the true thinker, who will always form the soul of the true author, will not be so much occupied with what he has to say, that it will appear to him a trivial thing to spend great effort on embellishing the form in which he delivers it. Literature, to be worthy of the name, must, it is true, deal with noble matter — the riddle of our existence, the great facts of life, the changing passions of the human heart, the discernment of some deep moral truth. It is easy to lay too much stress upon the mere garment of thought; to be too precise; to give to the arrangement of words an attention that should rather be paid to the promotion of fresh ideas. A writer who makes this mistake is like a fop who spends his little mind in adorning his person. In short, it may be charged against the view of literature which is taken in calling it an Art, that, instead of making truth and insight the author's aim, it favors sciolism and a fantastic and affected style. There is, no doubt, some justice in the objection; nor have we in our own day, and especially amongst younger men, any lack of writers who endeavor to win confidence, not by adding to the stock of ideas in the world, but by despising the use of plain language. Their faults are not new in the history of literature; and it is a pleasing sign of Schopenhauer's insight that a merciless exposure of them, as they existed half a century ago, is still quite applicable to their modern form.

And since these writers, who may, in the slang of the hour, be called "impressionists" in literature, follow their own bad taste in the manufacture

of dainty phrases, devoid of all nerve, and generally with some quite commonplace meaning, it is all the more necessary to discriminate carefully between artifice and art.

But although they may learn something from Schopenhauer's advice, it is not chiefly to them that it is offered. It is to that great mass of writers, whose business is to fill the columns of the newspapers and the pages of the review, and to produce the ton of novels that appear every year. Now that almost everyone who can hold a pen aspires to be called an author, it is well to emphasize the fact that literature is an art in some respects more important than any other. The problem of this art is the discovery of those qualities of style and treatment which entitled any work to be called good literature.

It will be safe to warn the reader at the very outset that, if he wishes to avoid being led astray, he should in his search for these qualities turn to books that have stood the test of time.

For such an amount of hasty writing is done in these days that it is really difficult for anyone who reads much of it to avoid contracting its faults, and thus gradually coming to terms of dangerous familiarity with bad methods. This advice will be especially needful if things that have little or no claim to be called literature at all — the newspapers, the monthly magazine, and the last new tale of intrigue or adventure — fill a large measure, if not the whole, of the time given to reading. Nor are those who are sincerely anxious to have the best thought in the best language quite free from danger if they give too much attention to the contemporary authors, even though these seem to think and write excellently. For one generation alone is incompetent to decide upon the merits of any author whatever; and as literature, like all art, is a thing of human invention, so it can be pronounced good only if it obtains lasting admiration, by establishing a permanent appeal to mankind's deepest feeling for truth and beauty.

It is in this sense that Schopenhauer is perfectly right in holding that neglect of the ancient classics, which are the best of all models in the art of writing, will infallibly lead to a degeneration of literature.

And the method of discovering the best qualities of style, and of forming a theory of writing, is not to follow some trick or mannerism that happens to please for the moment, but to study the way in which great authors have done their best work.



It will be said that Schopenhauer tells us nothing we did not know before. Perhaps so; as he himself says, the best things are seldom new. But he puts the old truths in a fresh and forcible way; and no one who knows anything of good literature will deny that these truths are just now of very fit application.

It was probably to meet a real want that, a year or two ago, an ingenious person succeeded in drawing a great number of English and American writers into a confession of their literary creed and the art they adopted in authorship; and the interesting volume in which he gave these confessions to the world contained some very good advice, although most of it had been said before in different forms. More recently a new departure, of very doubtful use, has taken place; and two books have been issued, which aim, the one at being an author's manual, the other at giving hints on essays and how to write them.

A glance at these books will probably show that their authors have still something to learn.

Both of these ventures seem, unhappily, to be popular; and, although they may claim a position next-door to that of the present volume I beg to say that it has no connection with them whatever. Schopenhauer does not attempt to teach the art of making bricks without straw.

I wish to take this opportunity of tendering my thanks to a large number of reviewers for the very gratifying reception given to the earlier volumes of this series. And I have great pleasure in expressing my obligations to my friend Mr. W.G. Collingwood, who has looked over most of my proofs and often given me excellent advice in my effort to turn Schopenhauer into readable English.

T.B.S.

## ON AUTHORSHIP.

There are, first of all, two kinds of authors: those who write for the subject's sake, and those who write for writing's sake. While the one have had thoughts or experiences which seem to them worth communicating, the others want money; and so they write, for money. Their thinking is part of the business of writing. They may be recognized by the way in which they spin out their thoughts to the greatest possible length; then, too, by the very nature of their thoughts, which are only half-true, perverse, forced, vacillating; again, by the aversion they generally show to saying anything straight out, so that they may seem other than they are. Hence their writing is deficient in clearness and definiteness, and it is not long before they betray that their only object in writing at all is to cover paper. This sometimes happens with the best authors; now and then, for example, with Lessing in his *Dramaturgie*, and even in many of Jean Paul's romances. As soon as the reader perceives this, let him throw the book away; for time is precious. The truth is that when an author begins to write for the sake of covering paper, he is cheating the reader; because he writes under the pretext that he has something to say.

Writing for money and reservation of copyright are, at bottom, the ruin of literature. No one writes anything that is worth writing, unless he writes entirely for the sake of his subject. What an inestimable boon it would be, if in every branch of literature there were only a few books, but those excellent! This can never happen, as long as money is to be made by writing. It seems as though the money lay under a curse; for every author degenerates as soon as he begins to put pen to paper in any way for the sake of gain. The best works of the greatest men all come from the time when they had to write for nothing or for very little. And here, too, that Spanish proverb holds good, which declares that honor and money are not to be found in the same purse — *honora y provecho no caben en un saco*. The reason why Literature is in such a bad plight nowadays is simply and solely that people write books to make money. A man who is in want sits down and writes a book, and the public is stupid enough to buy it. The secondary effect of this is the ruin of language.

A great many bad writers make their whole living by that foolish mania of the public for reading nothing but what has just been printed, —

journalists, I mean. Truly, a most appropriate name. In plain language it is *journeymen, day-laborers!*

Again, it may be said that there are three kinds of authors. First come those who write without thinking. They write from a full memory, from reminiscences; it may be, even straight out of other people's books. This class is the most numerous. Then come those who do their thinking whilst they are writing. They think in order to write; and there is no lack of them. Last of all come those authors who think before they begin to write. They are rare.

Authors of the second class, who put off their thinking until they come to write, are like a sportsman who goes forth at random and is not likely to bring very much home. On the other hand, when an author of the third or rare class writes, it is like a *battue*. Here the game has been previously captured and shut up within a very small space; from which it is afterwards let out, so many at a time, into another space, also confined. The game cannot possibly escape the sportsman; he has nothing to do but aim and fire — in other words, write down his thoughts. This is a kind of sport from which a man has something to show.

But even though the number of those who really think seriously before they begin to write is small, extremely few of them think about *the subject itself*: the remainder think only about the books that have been written on the subject, and what has been said by others. In order to think at all, such writers need the more direct and powerful stimulus of having other people's thoughts before them. These become their immediate theme; and the result is that they are always under their influence, and so never, in any real sense of the word, are original. But the former are roused to thought by the subject itself, to which their thinking is thus immediately directed. This is the only class that produces writers of abiding fame.

It must, of course, be understood that I am speaking here of writers who treat of great subjects; not of writers on the art of making brandy.

Unless an author takes the material on which he writes out of his own head, that is to say, from his own observation, he is not worth reading. Book-manufacturers, compilers, the common run of history-writers, and many others of the same class, take their material immediately out of books; and the material goes straight to their finger-tips without even paying freight or undergoing examination as it passes through their heads, to say nothing of elaboration or revision. How very learned many a man would be

if he knew everything that was in his own books! The consequence of this is that these writers talk in such a loose and vague manner, that the reader puzzles his brain in vain to understand what it is of which they are really thinking. They are thinking of nothing. It may now and then be the case that the book from which they copy has been composed exactly in the same way: so that writing of this sort is like a plaster cast of a cast; and in the end, the bare outline of the face, and that, too, hardly recognizable, is all that is left to your Antinous. Let compilations be read as seldom as possible. It is difficult to avoid them altogether; since compilations also include those text-books which contain in a small space the accumulated knowledge of centuries.

There is no greater mistake than to suppose that the last work is always the more correct; that what is written later on is in every case an improvement on what was written before; and that change always means progress. Real thinkers, men of right judgment, people who are in earnest with their subject, — these are all exceptions only. Vermin is the rule everywhere in the world: it is always on the alert, taking the mature opinions of the thinkers, and industriously seeking to improve upon them (save the mark!) in its own peculiar way.

If the reader wishes to study any subject, let him beware of rushing to the newest books upon it, and confining his attention to them alone, under the notion that science is always advancing, and that the old books have been drawn upon in the writing of the new. They have been drawn upon, it is true; but how? The writer of the new book often does not understand the old books thoroughly, and yet he is unwilling to take their exact words; so he bungles them, and says in his own bad way that which has been said very much better and more clearly by the old writers, who wrote from their own lively knowledge of the subject. The new writer frequently omits the best things they say, their most striking illustrations, their happiest remarks; because he does not see their value or feel how pregnant they are. The only thing that appeals to him is what is shallow and insipid.

It often happens that an old and excellent book is ousted by new and bad ones, which, written for money, appear with an air of great pretension and much puffing on the part of friends. In science a man tries to make his mark by bringing out something fresh. This often means nothing more than that he attacks some received theory which is quite correct, in order to make room for his own false notions. Sometimes the effort is successful for a

time; and then a return is made to the old and true theory. These innovators are serious about nothing but their own precious self: it is this that they want to put forward, and the quick way of doing so, as they think, is to start a paradox. Their sterile heads take naturally to the path of negation; so they begin to deny truths that have long been admitted — the vital power, for example, the sympathetic nervous system, *generatio equivoca*, Bichat's distinction between the working of the passions and the working of intelligence; or else they want us to return to crass atomism, and the like. Hence it frequently happens that *the course of science is retrogressive*.

To this class of writers belong those translators who not only translate their author but also correct and revise him; a proceeding which always seems to me impertinent. To such writers I say: Write books yourself which are worth translating, and leave other people's works as they are!

The reader should study, if he can, the real authors, the men who have founded and discovered things; or, at any rate, those who are recognized as the great masters in every branch of knowledge. Let him buy second-hand books rather than read their contents in new ones. To be sure, it is easy to add to any new discovery — *inventis aliquid addere facile est*; and, therefore, the student, after well mastering the rudiments of his subject, will have to make himself acquainted with the more recent additions to the knowledge of it. And, in general, the following rule may be laid down here as elsewhere: if a thing is new, it is seldom good; because if it is good, it is only for a short time new.

What the address is to a letter, the title should be to a book; in other words, its main object should be to bring the book to those amongst the public who will take an interest in its contents. It should, therefore, be expressive; and since by its very nature it must be short, it should be concise, laconic, pregnant, and if possible give the contents in one word. A prolix title is bad; and so is one that says nothing, or is obscure and ambiguous, or even, it may be, false and misleading; this last may possibly involve the book in the same fate as overtakes a wrongly addressed letter. The worst titles of all are those which have been stolen, those, I mean, which have already been borne by other books; for they are in the first place a plagiarism, and secondly the most convincing proof of a total lack of originality in the author. A man who has not enough originality to invent a new title for his book, will be still less able to give it new contents. Akin to these stolen titles are those which have been imitated, that is to say, stolen

to the extent of one half; for instance, long after I had produced my treatise *On Will in Nature*, Oersted wrote a book entitled *On Mind in Nature*.

A book can never be anything more than the impress of its author's thoughts; and the value of these will lie either in *the matter about which he has thought*, or in the *form* which his thoughts take, in other words, *what it is that he has thought about it*.

The matter of books is most various; and various also are the several excellences attaching to books on the score of their matter. By matter I mean everything that comes within the domain of actual experience; that is to say, the facts of history and the facts of nature, taken in and by themselves and in their widest sense. Here it is the *thing* treated of, which gives its peculiar character to the book; so that a book can be important, whoever it was that wrote it.

But in regard to the form, the peculiar character of a book depends upon the *person* who wrote it. It may treat of matters which are accessible to everyone and well known; but it is the way in which they are treated, what it is that is thought about them, that gives the book its value; and this comes from its author. If, then, from this point of view a book is excellent and beyond comparison, so is its author. It follows that if a writer is worth reading, his merit rises just in proportion as he owes little to his matter; therefore, the better known and the more hackneyed this is, the greater he will be. The three great tragedians of Greece, for example, all worked at the same subject-matter.

So when a book is celebrated, care should be taken to note whether it is so on account of its matter or its form; and a distinction should be made accordingly.

Books of great importance on account of their matter may proceed from very ordinary and shallow people, by the fact that they alone have had access to this matter; books, for instance, which describe journeys in distant lands, rare natural phenomena, or experiments; or historical occurrences of which the writers were witnesses, or in connection with which they have spent much time and trouble in the research and special study of original documents.

On the other hand, where the matter is accessible to everyone or very well known, everything will depend upon the form; and what it is that is thought about the matter will give the book all the value it possesses. Here only a really distinguished man will be able to produce anything worth

reading; for the others will think nothing but what anyone else can think. They will just produce an impress of their own minds; but this is a print of which everyone possesses the original.

However, the public is very much more concerned to have matter than form; and for this very reason it is deficient in any high degree of culture. The public shows its preference in this respect in the most laughable way when it comes to deal with poetry; for there it devotes much trouble to the task of tracking out the actual events or personal circumstances in the life of the poet which served as the occasion of his various works; nay, these events and circumstances come in the end to be of greater importance than the works themselves; and rather than read Goethe himself, people prefer to read what has been written about him, and to study the legend of Faust more industriously than the drama of that name. And when Bürger declared that “people would write learned disquisitions on the question, Who Leonora really was,” we find this literally fulfilled in Goethe’s case; for we now possess a great many learned disquisitions on Faust and the legend attaching to him. Study of this kind is, and remains, devoted to the material of the drama alone. To give such preference to the matter over the form, is as though a man were to take a fine Etruscan vase, not to admire its shape or coloring, but to make a chemical analysis of the clay and paint of which it is composed.

The attempt to produce an effect by means of the material employed — an attempt which panders to this evil tendency of the public — is most to be condemned in branches of literature where any merit there may be lies expressly in the form; I mean, in poetical work. For all that, it is not rare to find bad dramatists trying to fill the house by means of the matter about which they write. For example, authors of this kind do not shrink from putting on the stage any man who is in any way celebrated, no matter whether his life may have been entirely devoid of dramatic incident; and sometimes, even, they do not wait until the persons immediately connected with him are dead.

The distinction between matter and form to which I am here alluding also holds good of conversation. The chief qualities which enable a man to converse well are intelligence, discernment, wit and vivacity: these supply the form of conversation. But it is not long before attention has to be paid to the matter of which he speaks; in other words, the subjects about which it is possible to converse with him — his knowledge. If this is very small, his

conversation will not be worth anything, unless he possesses the above-named formal qualities in a very exceptional degree; for he will have nothing to talk about but those facts of life and nature which everybody knows. It will be just the opposite, however, if a man is deficient in these formal qualities, but has an amount of knowledge which lends value to what he says. This value will then depend entirely upon the matter of his conversation; for, as the Spanish proverb has it, *mas sabe el necio en su casa, que el sabio en la agena* — a fool knows more of his own business than a wise man does of others.



## ON STYLE.

Style is the physiognomy of the mind, and a safer index to character than the face. To imitate another man's style is like wearing a mask, which, be it never so fine, is not long in arousing disgust and abhorrence, because it is lifeless; so that even the ugliest living face is better. Hence those who write in Latin and copy the manner of ancient authors, may be said to speak through a mask; the reader, it is true, hears what they say, but he cannot observe their physiognomy too; he cannot see their *style*. With the Latin works of writers who think for themselves, the case is different, and their style is visible; writers, I mean, who have not condescended to any sort of imitation, such as Scotus Erigena, Petrarch, Bacon, Descartes, Spinoza, and many others. An affectation in style is like making grimaces. Further, the language in which a man writes is the physiognomy of the nation to which he belongs; and here there are many hard and fast differences, beginning from the language of the Greeks, down to that of the Caribbean islanders.

To form a provincial estimate of the value of a writer's productions, it is not directly necessary to know the subject on which he has thought, or what it is that he has said about it; that would imply a perusal of all his works. It will be enough, in the main, to know *how* he has thought. This, which means the essential temper or general quality of his mind, may be precisely determined by his style. A man's style shows the *formal* nature of all his thoughts — the formal nature which can never change, be the subject or the character of his thoughts what it may: it is, as it were, the dough out of which all the contents of his mind are kneaded. When Eulenspiegel was asked how long it would take to walk to the next village, he gave the seemingly incongruous answer: *Walk*. He wanted to find out by the man's pace the distance he would cover in a given time. In the same way, when I have read a few pages of an author, I know fairly well how far he can bring me.

Every mediocre writer tries to mask his own natural style, because in his heart he knows the truth of what I am saying. He is thus forced, at the outset, to give up any attempt at being frank or naïve — a privilege which is thereby reserved for superior minds, conscious of their own worth, and therefore sure of themselves. What I mean is that these everyday writers are absolutely unable to resolve upon writing just as they think; because they

have a notion that, were they to do so, their work might possibly look very childish and simple. For all that, it would not be without its value. If they would only go honestly to work, and say, quite simply, the things they have really thought, and just as they have thought them, these writers would be readable and, within their own proper sphere, even instructive.

But instead of that, they try to make the reader believe that their thoughts have gone much further and deeper than is really the case. They say what they have to say in long sentences that wind about in a forced and unnatural way; they coin new words and write prolix periods which go round and round the thought and wrap it up in a sort of disguise. They tremble between the two separate aims of communicating what they want to say and of concealing it. Their object is to dress it up so that it may look learned or deep, in order to give people the impression that there is very much more in it than for the moment meets the eye. They either jot down their thoughts bit by bit, in short, ambiguous, and paradoxical sentences, which apparently mean much more than they say, — of this kind of writing Schelling's treatises on natural philosophy are a splendid instance; or else they hold forth with a deluge of words and the most intolerable diffusiveness, as though no end of fuss were necessary to make the reader understand the deep meaning of their sentences, whereas it is some quite simple if not actually trivial idea, — examples of which may be found in plenty in the popular works of Fichte, and the philosophical manuals of a hundred other miserable dunces not worth mentioning; or, again, they try to write in some particular style which they have been pleased to take up and think very grand, a style, for example, *par excellence* profound and scientific, where the reader is tormented to death by the narcotic effect of longspun periods without a single idea in them, — such as are furnished in a special measure by those most impudent of all mortals, the Hegelians; or it may be that it is an intellectual style they have striven after, where it seems as though their object were to go crazy altogether; and so on in many other cases. All these endeavors to put off the *nascetur ridiculus mus* — to avoid showing the funny little creature that is born after such mighty throes — often make it difficult to know what it is that they really mean. And then, too, they write down words, nay, even whole sentences, without attaching any meaning to them themselves, but in the hope that someone else will get sense out of them.

And what is at the bottom of all this? Nothing but the untiring effort to sell words for thoughts; a mode of merchandise that is always trying to make fresh openings for itself, and by means of odd expressions, turns of phrase, and combinations of every sort, whether new or used in a new sense, to produce the appearance of intellect in order to make up for the very painfully felt lack of it.

It is amusing to see how writers with this object in view will attempt first one mannerism and then another, as though they were putting on the mask of intellect! This mask may possibly deceive the inexperienced for a while, until it is seen to be a dead thing, with no life in it at all; it is then laughed at and exchanged for another. Such an author will at one moment write in a dithyrambic vein, as though he were tipsy; at another, nay, on the very next page, he will be pompous, severe, profoundly learned and prolix, stumbling on in the most cumbrous way and chopping up everything very small; like the late Christian Wolf, only in a modern dress. Longest of all lasts the mask of unintelligibility; but this is only in Germany, whither it was introduced by Fichte, perfected by Schelling, and carried to its highest pitch in Hegel — always with the best results.

And yet nothing is easier than to write so that no one can understand; just as contrarily, nothing is more difficult than to express deep things in such a way that every one must necessarily grasp them. All the arts and tricks I have been mentioning are rendered superfluous if the author really has any brains; for that allows him to show himself as he is, and confirms to all time Horace's maxim that good sense is the source and origin of good style:

*Scribendi recte sapere est et principium et fons.*

But those authors I have named are like certain workers in metal, who try a hundred different compounds to take the place of gold — the only metal which can never have any substitute. Rather than do that, there is nothing against which a writer should be more upon his guard than the manifest endeavor to exhibit more intellect than he really has; because this makes the reader suspect that he possesses very little; since it is always the case that if a man affects anything, whatever it may be, it is just there that he is deficient.

That is why it is praise to an author to say that he is *naïve*; it means that he need not shrink from showing himself as he is. Generally speaking, to be *naïve* is to be attractive; while lack of naturalness is everywhere repulsive.

As a matter of fact we find that every really great writer tries to express his thoughts as purely, clearly, definitely and shortly as possible. Simplicity has always been held to be a mark of truth; it is also a mark of genius. Style receives its beauty from the thought it expresses; but with sham-thinkers the thoughts are supposed to be fine because of the style. Style is nothing but the mere silhouette of thought; and an obscure or bad style means a dull or confused brain.

The first rule, then, for a good style is that *the author should have something to say*; nay, this is in itself almost all that is necessary. Ah, how much it means! The neglect of this rule is a fundamental trait in the philosophical writing, and, in fact, in all the reflective literature, of my country, more especially since Fichte. These writers all let it be seen that they want to appear as though they had something to say; whereas they have nothing to say. Writing of this kind was brought in by the pseudo-philosophers at the Universities, and now it is current everywhere, even among the first literary notabilities of the age. It is the mother of that strained and vague style, where there seem to be two or even more meanings in the sentence; also of that prolix and cumbrous manner of expression, called *le stile empesé*; again, of that mere waste of words which consists in pouring them out like a flood; finally, of that trick of concealing the direst poverty of thought under a farrago of never-ending chatter, which clacks away like a windmill and quite stupefies one — stuff which a man may read for hours together without getting hold of a single clearly expressed and definite idea. However, people are easy-going, and they have formed the habit of reading page upon page of all sorts of such verbiage, without having any particular idea of what the author really means. They fancy it is all as it should be, and fail to discover that he is writing simply for writing's sake.

On the other hand, a good author, fertile in ideas, soon wins his reader's confidence that, when he writes, he has really and truly *something to say*; and this gives the intelligent reader patience to follow him with attention. Such an author, just because he really has something to say, will never fail to express himself in the simplest and most straightforward manner; because his object is to awake the very same thought in the reader that he has in himself, and no other. So he will be able to affirm with Boileau that his thoughts are everywhere open to the light of the day, and that his verse always says something, whether it says it well or ill:

*Ma pensée au grand jour partout s'offre et s'expose, Et mon vers, bien ou mal, dit toujours quelque chose:*

while of the writers previously described it may be asserted, in the words of the same poet, that they talk much and never say anything at all — *quiparlant beaucoup ne disent jamais rien*.

Another characteristic of such writers is that they always avoid a positive assertion wherever they can possibly do so, in order to leave a loophole for escape in case of need. Hence they never fail to choose the more *abstract* way of expressing themselves; whereas intelligent people use the more *concrete*; because the latter brings things more within the range of actual demonstration, which is the source of all evidence.

There are many examples proving this preference for abstract expression; and a particularly ridiculous one is afforded by the use of the verb *to condition* in the sense of *to cause* or *to produce*. People say *to condition something* instead of *to cause it*, because being abstract and indefinite it says less; it affirms that *A* cannot happen without *B*, instead of that *A* is caused by *B*. A back door is always left open; and this suits people whose secret knowledge of their own incapacity inspires them with a perpetual terror of all positive assertion; while with other people it is merely the effect of that tendency by which everything that is stupid in literature or bad in life is immediately imitated — a fact proved in either case by the rapid way in which it spreads. The Englishman uses his own judgment in what he writes as well as in what he does; but there is no nation of which this eulogy is less true than of the Germans. The consequence of this state of things is that the word *cause* has of late almost disappeared from the language of literature, and people talk only of *condition*. The fact is worth mentioning because it is so characteristically ridiculous.

The very fact that these commonplace authors are never more than half-conscious when they write, would be enough to account for their dullness of mind and the tedious things they produce. I say they are only half-conscious, because they really do not themselves understand the meaning of the words they use: they take words ready-made and commit them to memory. Hence when they write, it is not so much words as whole phrases that they put together — *phrases banales*. This is the explanation of that palpable lack of clearly-expressed thought in what they say. The fact is that they do not possess the *die* to give this stamp to their writing; clear thought of their own is just what they have not got. And what do we find in its

place? — a vague, enigmatical intermixture of words, current phrases, hackneyed terms, and fashionable expressions. The result is that the foggy stuff they write is like a page printed with very old type.

On the other hand, an intelligent author really speaks to us when he writes, and that is why he is able to rouse our interest and commune with us. It is the intelligent author alone who puts individual words together with a full consciousness of their meaning, and chooses them with deliberate design. Consequently, his discourse stands to that of the writer described above, much as a picture that has been really painted, to one that has been produced by the use of a stencil. In the one case, every word, every touch of the brush, has a special purpose; in the other, all is done mechanically. The same distinction may be observed in music. For just as Lichtenberg says that Garrick's soul seemed to be in every muscle in his body, so it is the omnipresence of intellect that always and everywhere characterizes the work of genius.

I have alluded to the tediousness which marks the works of these writers; and in this connection it is to be observed, generally, that tediousness is of two kinds; objective and subjective. A work is objectively tedious when it contains the defect in question; that is to say, when its author has no perfectly clear thought or knowledge to communicate. For if a man has any clear thought or knowledge in him, his aim will be to communicate it, and he will direct his energies to this end; so that the ideas he furnishes are everywhere clearly expressed. The result is that he is neither diffuse, nor unmeaning, nor confused, and consequently not tedious. In such a case, even though the author is at bottom in error, the error is at any rate clearly worked out and well thought over, so that it is at least formally correct; and thus some value always attaches to the work. But for the same reason a work that is objectively tedious is at all times devoid of any value whatever.

The other kind of tediousness is only relative: a reader may find a work dull because he has no interest in the question treated of in it, and this means that his intellect is restricted. The best work may, therefore, be tedious subjectively, tedious, I mean, to this or that particular person; just as, contrarily, the worst work may be subjectively engrossing to this or that particular person who has an interest in the question treated of, or in the writer of the book.

It would generally serve writers in good stead if they would see that, whilst a man should, if possible, think like a great genius, he should talk the

same language as everyone else. Authors should use common words to say uncommon things. But they do just the opposite. We find them trying to wrap up trivial ideas in grand words, and to clothe their very ordinary thoughts in the most extraordinary phrases, the most far-fetched, unnatural, and out-of-the-way expressions. Their sentences perpetually stalk about on stilts. They take so much pleasure in bombast, and write in such a high-flown, bloated, affected, hyperbolical and acrobatic style that their prototype is Ancient Pistol, whom his friend Falstaff once impatiently told to say what he had to say *like a man of this world*.

There is no expression in any other language exactly answering to the French *stile empesé*; but the thing itself exists all the more often. When associated with affectation, it is in literature what assumption of dignity, grand airs and primeness are in society; and equally intolerable. Dullness of mind is fond of donning this dress; just as an ordinary life it is stupid people who like being demure and formal.

An author who writes in the prim style resembles a man who dresses himself up in order to avoid being confounded or put on the same level with a mob — a risk never run by the *gentleman*, even in his worst clothes. The plebeian may be known by a certain showiness of attire and a wish to have everything spick and span; and in the same way, the commonplace person is betrayed by his style.

Nevertheless, an author follows a false aim if he tries to write exactly as he speaks. There is no style of writing but should have a certain trace of kinship with the *epigraphic* or *monumental* style, which is, indeed, the ancestor of all styles. For an author to write as he speaks is just as reprehensible as the opposite fault, to speak as he writes; for this gives a pedantic effect to what he says, and at the same time makes him hardly intelligible.

An obscure and vague manner of expression is always and everywhere a very bad sign. In ninety-nine cases out of a hundred it comes from vagueness of thought; and this again almost always means that there is something radically wrong and incongruous about the thought itself — in a word, that it is incorrect. When a right thought springs up in the mind, it strives after expression and is not long in reaching it; for clear thought easily finds words to fit it. If a man is capable of thinking anything at all, he is also always able to express it in clear, intelligible, and unambiguous terms. Those writers who construct difficult, obscure, involved, and

equivocal sentences, most certainly do not know aright what it is that they want to say: they have only a dull consciousness of it, which is still in the stage of struggle to shape itself as thought. Often, indeed, their desire is to conceal from themselves and others that they really have nothing at all to say. They wish to appear to know what they do not know, to think what they do not think, to say what they do not say. If a man has some real communication to make, which will he choose — an indistinct or a clear way of expressing himself? Even Quintilian remarks that things which are said by a highly educated man are often easier to understand and much clearer; and that the less educated a man is, the more obscurely he will write — *plerumque accidit ut faciliora sint ad intelligendum et lucidiora multo que a doctissimo quoque dicuntur.... Erit ergo etiam obscurior quo quisque deterior.*

An author should avoid enigmatical phrases; he should know whether he wants to say a thing or does not want to say it. It is this indecision of style that makes so many writers insipid. The only case that offers an exception to this rule arises when it is necessary to make a remark that is in some way improper.

As exaggeration generally produces an effect the opposite of that aimed at; so words, it is true, serve to make thought intelligible — but only up to a certain point. If words are heaped up beyond it, the thought becomes more and more obscure again. To find where the point lies is the problem of style, and the business of the critical faculty; for a word too much always defeats its purpose. This is what Voltaire means when he says that *the adjective is the enemy of the substantive*. But, as we have seen, many people try to conceal their poverty of thought under a flood of verbiage.

Accordingly let all redundancy be avoided, all stringing together of remarks which have no meaning and are not worth perusal. A writer must make a sparing use of the reader's time, patience and attention; so as to lead him to believe that his author writes what is worth careful study, and will reward the time spent upon it. It is always better to omit something good than to add that which is not worth saying at all. This is the right application of Hesiod's maxim, [Greek: pleon aemisu pantos] — the half is more than the whole. *Le secret pour être ennuyeux, c'est de tout dire*. Therefore, if possible, the quintessence only! mere leading thoughts! nothing that the reader would think for himself. To use many words to communicate few



thoughts is everywhere the unmistakable sign of mediocrity. To gather much thought into few words stamps the man of genius.

Truth is most beautiful undraped; and the impression it makes is deep in proportion as its expression has been simple. This is so, partly because it then takes unobstructed possession of the hearer's whole soul, and leaves him no by-thought to distract him; partly, also, because he feels that here he is not being corrupted or cheated by the arts of rhetoric, but that all the effect of what is said comes from the thing itself. For instance, what declamation on the vanity of human existence could ever be more telling than the words of Job? *Man that is born of a woman hath but a short time to live and is full of misery. He cometh up, and is cut down, like a flower; he fleeth as it were a shadow, and never continueth in one stay.*

For the same reason Goethe's naïve poetry is incomparably greater than Schiller's rhetoric. It is this, again, that makes many popular songs so affecting. As in architecture an excess of decoration is to be avoided, so in the art of literature a writer must guard against all rhetorical finery, all useless amplification, and all superfluity of expression in general; in a word, he must strive after *chastity* of style. Every word that can be spared is hurtful if it remains. The law of simplicity and naïveté holds good of all fine art; for it is quite possible to be at once simple and sublime.

True brevity of expression consists in everywhere saying only what is worth saying, and in avoiding tedious detail about things which everyone can supply for himself. This involves correct discrimination between what is necessary and what is superfluous. A writer should never be brief at the expense of being clear, to say nothing of being grammatical. It shows lamentable want of judgment to weaken the expression of a thought, or to stunt the meaning of a period for the sake of using a few words less. But this is the precise endeavor of that false brevity nowadays so much in vogue, which proceeds by leaving out useful words and even by sacrificing grammar and logic. It is not only that such writers spare a word by making a single verb or adjective do duty for several different periods, so that the reader, as it were, has to grope his way through them in the dark; they also practice, in many other respects, an unseemingly economy of speech, in the effort to effect what they foolishly take to be brevity of expression and conciseness of style. By omitting something that might have thrown a light over the whole sentence, they turn it into a conundrum, which the reader tries to solve by going over it again and again.

It is wealth and weight of thought, and nothing else, that gives brevity to style, and makes it concise and pregnant. If a writer's ideas are important, luminous, and generally worth communicating, they will necessarily furnish matter and substance enough to fill out the periods which give them expression, and make these in all their parts both grammatically and verbally complete; and so much will this be the case that no one will ever find them hollow, empty or feeble. The diction will everywhere be brief and pregnant, and allow the thought to find intelligible and easy expression, and even unfold and move about with grace.

Therefore instead of contracting his words and forms of speech, let a writer enlarge his thoughts. If a man has been thinned by illness and finds his clothes too big, it is not by cutting them down, but by recovering his usual bodily condition, that he ought to make them fit him again.

Let me here mention an error of style, very prevalent nowadays, and, in the degraded state of literature and the neglect of ancient languages, always on the increase; I mean *subjectivity*. A writer commits this error when he thinks it enough if he himself knows what he means and wants to say, and takes no thought for the reader, who is left to get at the bottom of it as best he can. This is as though the author were holding a monologue; whereas, it ought to be a dialogue; and a dialogue, too, in which he must express himself all the more clearly inasmuch as he cannot hear the questions of his interlocutor.

Style should for this very reason never be subjective, but *objective*; and it will not be objective unless the words are so set down that they directly force the reader to think precisely the same thing as the author thought when he wrote them. Nor will this result be obtained unless the author has always been careful to remember that thought so far follows the law of gravity that it travels from head to paper much more easily than from paper to head; so that he must assist the latter passage by every means in his power. If he does this, a writer's words will have a purely objective effect, like that of a finished picture in oils; whilst the subjective style is not much more certain in its working than spots on the wall, which look like figures only to one whose phantasy has been accidentally aroused by them; other people see nothing but spots and blurs. The difference in question applies to literary method as a whole; but it is often established also in particular instances. For example, in a recently published work I found the following sentence: *I have not written in order to increase the number of existing*

*books*. This means just the opposite of what the writer wanted to say, and is nonsense as well.

He who writes carelessly confesses thereby at the very outset that he does not attach much importance to his own thoughts. For it is only where a man is convinced of the truth and importance of his thoughts, that he feels the enthusiasm necessary for an untiring and assiduous effort to find the clearest, finest, and strongest expression for them, — just as for sacred relics or priceless works of art there are provided silvern or golden receptacles. It was this feeling that led ancient authors, whose thoughts, expressed in their own words, have lived thousands of years, and therefore bear the honored title of *classics*, always to write with care. Plato, indeed, is said to have written the introduction to his *Republic* seven times over in different ways.

As neglect of dress betrays want of respect for the company a man meets, so a hasty, careless, bad style shows an outrageous lack of regard for the reader, who then rightly punishes it by refusing to read the book. It is especially amusing to see reviewers criticising the works of others in their own most careless style — the style of a hireling. It is as though a judge were to come into court in dressing-gown and slippers! If I see a man badly and dirtily dressed, I feel some hesitation, at first, in entering into conversation with him: and when, on taking up a book, I am struck at once by the negligence of its style, I put it away.

Good writing should be governed by the rule that a man can think only one thing clearly at a time; and, therefore, that he should not be expected to think two or even more things in one and the same moment. But this is what is done when a writer breaks up his principal sentence into little pieces, for the purpose of pushing into the gaps thus made two or three other thoughts by way of parenthesis; thereby unnecessarily and wantonly confusing the reader. And here it is again my own countrymen who are chiefly in fault. That German lends itself to this way of writing, makes the thing possible, but does not justify it. No prose reads more easily or pleasantly than French, because, as a rule, it is free from the error in question. The Frenchman strings his thoughts together, as far as he can, in the most logical and natural order, and so lays them before his reader one after the other for convenient deliberation, so that every one of them may receive undivided attention. The German, on the other hand, weaves them together into a sentence which he twists and crosses, and crosses and twists again; because he wants

to say six things all at once, instead of advancing them one by one. His aim should be to attract and hold the reader's attention; but, above and beyond neglect of this aim, he demands from the reader that he shall set the above mentioned rule at defiance, and think three or four different thoughts at one and the same time; or since that is impossible, that his thoughts shall succeed each other as quickly as the vibrations of a cord. In this way an author lays the foundation of his *stile empesé*, which is then carried to perfection by the use of high-flown, pompous expressions to communicate the simplest things, and other artifices of the same kind.

In those long sentences rich in involved parenthesis, like a box of boxes one within another, and padded out like roast geese stuffed with apples, it is really the *memory* that is chiefly taxed; while it is the understanding and the judgment which should be called into play, instead of having their activity thereby actually hindered and weakened. This kind of sentence furnishes the reader with mere half-phrases, which he is then called upon to collect carefully and store up in his memory, as though they were the pieces of a torn letter, afterwards to be completed and made sense of by the other halves to which they respectively belong. He is expected to go on reading for a little without exercising any thought, nay, exerting only his memory, in the hope that, when he comes to the end of the sentence, he may see its meaning and so receive something to think about; and he is thus given a great deal to learn by heart before obtaining anything to understand. This is manifestly wrong and an abuse of the reader's patience.

The ordinary writer has an unmistakable preference for this style, because it causes the reader to spend time and trouble in understanding that which he would have understood in a moment without it; and this makes it look as though the writer had more depth and intelligence than the reader. This is, indeed, one of those artifices referred to above, by means of which mediocre authors unconsciously, and as it were by instinct, strive to conceal their poverty of thought and give an appearance of the opposite. Their ingenuity in this respect is really astounding.

It is manifestly against all sound reason to put one thought obliquely on top of another, as though both together formed a wooden cross. But this is what is done where a writer interrupts what he has begun to say, for the purpose of inserting some quite alien matter; thus depositing with the reader a meaningless half-sentence, and bidding him keep it until the completion comes. It is much as though a man were to treat his guests by handing them

an empty plate, in the hope of something appearing upon it. And commas used for a similar purpose belong to the same family as notes at the foot of the page and parenthesis in the middle of the text; nay, all three differ only in degree. If Demosthenes and Cicero occasionally inserted words by ways of parenthesis, they would have done better to have refrained.

But this style of writing becomes the height of absurdity when the parenthesis are not even fitted into the frame of the sentence, but wedged in so as directly to shatter it. If, for instance, it is an impertinent thing to interrupt another person when he is speaking, it is no less impertinent to interrupt oneself. But all bad, careless, and hasty authors, who scribble with the bread actually before their eyes, use this style of writing six times on a page, and rejoice in it. It consists in — it is advisable to give rule and example together, wherever it is possible — breaking up one phrase in order to glue in another. Nor is it merely out of laziness that they write thus. They do it out of stupidity; they think there is a charming *légèreté* about it; that it gives life to what they say. No doubt there are a few rare cases where such a form of sentence may be pardonable.

Few write in the way in which an architect builds; who, before he sets to work, sketches out his plan, and thinks it over down to its smallest details. Nay, most people write only as though they were playing dominoes; and, as in this game, the pieces are arranged half by design, half by chance, so it is with the sequence and connection of their sentences. They only have an idea of what the general shape of their work will be, and of the aim they set before themselves. Many are ignorant even of this, and write as the coral-insects build; period joins to period, and the Lord only knows what the author means.

Life now-a-days goes at a gallop; and the way in which this affects literature is to make it extremely superficial and slovenly.

## ON THE STUDY OF LATIN.

The abolition of Latin as the universal language of learned men, together with the rise of that provincialism which attaches to national literatures, has been a real misfortune for the cause of knowledge in Europe. For it was chiefly through the medium of the Latin language that a learned public existed in Europe at all — a public to which every book as it came out directly appealed. The number of minds in the whole of Europe that are capable of thinking and judging is small, as it is; but when the audience is broken up and severed by differences of language, the good these minds can do is very much weakened. This is a great disadvantage; but a second and worse one will follow, namely, that the ancient languages will cease to be taught at all. The neglect of them is rapidly gaining ground both in France and Germany.

If it should really come to this, then farewell, humanity! farewell, noble taste and high thinking! The age of barbarism will return, in spite of railways, telegraphs and balloons. We shall thus in the end lose one more advantage possessed by all our ancestors. For Latin is not only a key to the knowledge of Roman antiquity; its also directly opens up to us the Middle Age in every country in Europe, and modern times as well, down to about the year 1750. Erigena, for example, in the ninth century, John of Salisbury in the twelfth, Raimond Lully in the thirteenth, with a hundred others, speak straight to us in the very language that they naturally adopted in thinking of learned matters.

They thus come quite close to us even at this distance of time: we are in direct contact with them, and really come to know them. How would it have been if every one of them spoke in the language that was peculiar to his time and country? We should not understand even the half of what they said. A real intellectual contact with them would be impossible. We should see them like shadows on the farthest horizon, or, may be, through the translator's telescope.

It was with an eye to the advantage of writing in Latin that Bacon, as he himself expressly states, proceeded to translate his *Essays* into that language, under the title *Sermones fideles*; at which work Hobbes assisted him.

Here let me observe, by way of parenthesis, that when patriotism tries to urge its claims in the domain of knowledge, it commits an offence which should not be tolerated. For in those purely human questions which interest all men alike, where truth, insight, beauty, should be of sole account, what can be more impertinent than to let preference for the nation to which a man's precious self happens to belong, affect the balance of judgment, and thus supply a reason for doing violence to truth and being unjust to the great minds of a foreign country in order to make much of the smaller minds of one's own! Still, there are writers in every nation in Europe, who afford examples of this vulgar feeling. It is this which led Yriarte to caricature them in the thirty-third of his charming *Literary Fables*.

In learning a language, the chief difficulty consists in making acquaintance with every idea which it expresses, even though it should use words for which there is no exact equivalent in the mother tongue; and this often happens. In learning a new language a man has, as it were, to mark out in his mind the boundaries of quite new spheres of ideas, with the result that spheres of ideas arise where none were before. Thus he not only learns words, he gains ideas too.

This is nowhere so much the case as in learning ancient languages, for the differences they present in their mode of expression as compared with modern languages is greater than can be found amongst modern languages as compared with one another. This is shown by the fact that in translating into Latin, recourse must be had to quite other turns of phrase than are used in the original. The thought that is to be translated has to be melted down and recast; in other words, it must be analyzed and then recomposed. It is just this process which makes the study of the ancient languages contribute so much to the education of the mind.

It follows from this that a man's thought varies according to the language in which he speaks. His ideas undergo a fresh modification, a different shading, as it were, in the study of every new language. Hence an acquaintance with many languages is not only of much indirect advantage, but it is also a direct means of mental culture, in that it corrects and matures ideas by giving prominence to their many-sided nature and their different varieties of meaning, as also that it increases dexterity of thought; for in the process of learning many languages, ideas become more and more independent of words. The ancient languages effect this to a greater degree than the modern, in virtue of the difference to which I have alluded.

From what I have said, it is obvious that to imitate the style of the ancients in their own language, which is so very much superior to ours in point of grammatical perfection, is the best way of preparing for a skillful and finished expression of thought in the mother-tongue. Nay, if a man wants to be a great writer, he must not omit to do this: just as, in the case of sculpture or painting, the student must educate himself by copying the great masterpieces of the past, before proceeding to original work. It is only by learning to write Latin that a man comes to treat diction as an art. The material in this art is language, which must therefore be handled with the greatest care and delicacy.

The result of such study is that a writer will pay keen attention to the meaning and value of words, their order and connection, their grammatical forms. He will learn how to weigh them with precision, and so become an expert in the use of that precious instrument which is meant not only to express valuable thought, but to preserve it as well. Further, he will learn to feel respect for the language in which he writes and thus be saved from any attempt to remodel it by arbitrary and capricious treatment. Without this schooling, a man's writing may easily degenerate into mere chatter.

To be entirely ignorant of the Latin language is like being in a fine country on a misty day. The horizon is extremely limited. Nothing can be seen clearly except that which is quite close; a few steps beyond, everything is buried in obscurity. But the Latinist has a wide view, embracing modern times, the Middle Age and Antiquity; and his mental horizon is still further enlarged if he studies Greek or even Sanscrit.

If a man knows no Latin, he belongs to the vulgar, even though he be a great virtuoso on the electrical machine and have the base of hydrofluoric acid in his crucible.

There is no better recreation for the mind than the study of the ancient classics. Take any one of them into your hand, be it only for half an hour, and you will feel yourself refreshed, relieved, purified, ennobled, strengthened; just as though you had quenched your thirst at some pure spring. Is this the effect of the old language and its perfect expression, or is it the greatness of the minds whose works remain unharmed and unweakened by the lapse of a thousand years? Perhaps both together. But this I know. If the threatened calamity should ever come, and the ancient languages cease to be taught, a new literature will arise, of such barbarous, shallow and worthless stuff as never was seen before.



## ON MEN OF LEARNING.

When one sees the number and variety of institutions which exist for the purposes of education, and the vast throng of scholars and masters, one might fancy the human race to be very much concerned about truth and wisdom. But here, too, appearances are deceptive. The masters teach in order to gain money, and strive, not after wisdom, but the outward show and reputation of it; and the scholars learn, not for the sake of knowledge and insight, but to be able to chatter and give themselves airs. Every thirty years a new race comes into the world — a youngster that knows nothing about anything, and after summarily devouring in all haste the results of human knowledge as they have been accumulated for thousands of years, aspires to be thought cleverer than the whole of the past. For this purpose he goes to the University, and takes to reading books — new books, as being of his own age and standing. Everything he reads must be briefly put, must be new! he is new himself. Then he falls to and criticises. And here I am not taking the slightest account of studies pursued for the sole object of making a living.

Students, and learned persons of all sorts and every age, aim as a rule at acquiring *information* rather than insight. They pique themselves upon knowing about everything — stones, plants, battles, experiments, and all the books in existence. It never occurs to them that information is only a means of insight, and in itself of little or no value; that it is his way of *thinking* that makes a man a philosopher. When I hear of these portents of learning and their imposing erudition, I sometimes say to myself: Ah, how little they must have had to think about, to have been able to read so much! And when I actually find it reported of the elder Pliny that he was continually reading or being read to, at table, on a journey, or in his bath, the question forces itself upon my mind, whether the man was so very lacking in thought of his own that he had to have alien thought incessantly instilled into him; as though he were a consumptive patient taking jellies to keep himself alive. And neither his undiscerning credulity nor his inexpressibly repulsive and barely intelligible style — which seems like of a man taking notes, and very economical of paper — is of a kind to give me a high opinion of his power of independent thought.

We have seen that much reading and learning is prejudicial to thinking for oneself; and, in the same way, through much writing and teaching, a man loses the habit of being quite clear, and therefore thorough, in regard to the things he knows and understands; simply because he has left himself no time to acquire clearness or thoroughness. And so, when clear knowledge fails him in his utterances, he is forced to fill out the gaps with words and phrases. It is this, and not the dryness of the subject-matter, that makes most books such tedious reading. There is a saying that a good cook can make a palatable dish even out of an old shoe; and a good writer can make the driest things interesting.

With by far the largest number of learned men, knowledge is a means, not an end. That is why they will never achieve any great work; because, to do that, he who pursues knowledge must pursue it as an end, and treat everything else, even existence itself, as only a means. For everything which a man fails to pursue for its own sake is but half-pursued; and true excellence, no matter in what sphere, can be attained only where the work has been produced for its own sake alone, and not as a means to further ends.

And so, too, no one will ever succeed in doing anything really great and original in the way of thought, who does not seek to acquire knowledge for himself, and, making this the immediate object of his studies, decline to trouble himself about the knowledge of others. But the average man of learning studies for the purpose of being able to teach and write. His head is like a stomach and intestines which let the food pass through them undigested. That is just why his teaching and writing is of so little use. For it is not upon undigested refuse that people can be nourished, but solely upon the milk which secretes from the very blood itself.

The wig is the appropriate symbol of the man of learning, pure and simple. It adorns the head with a copious quantity of false hair, in lack of one's own: just as erudition means endowing it with a great mass of alien thought. This, to be sure, does not clothe the head so well and naturally, nor is it so generally useful, nor so suited for all purposes, nor so firmly rooted; nor when alien thought is used up, can it be immediately replaced by more from the same source, as is the case with that which springs from soil of one's own. So we find Sterne, in his *Tristram Shandy*, boldly asserting that *an ounce of a man's own wit is worth a ton of other people's*.

And in fact the most profound erudition is no more akin to genius than a collection of dried plants in like Nature, with its constant flow of new life, ever fresh, ever young, ever changing. There are no two things more opposed than the childish naïveté of an ancient author and the learning of his commentator.

*Dilettanti, dilettanti!* This is the slighting way in which those who pursue any branch of art or learning for the love and enjoyment of the thing, — *per il loro diletto*, are spoken of by those who have taken it up for the sake of gain, attracted solely by the prospect of money. This contempt of theirs comes from the base belief that no man will seriously devote himself to a subject, unless he is spurred on to it by want, hunger, or else some form of greed. The public is of the same way of thinking; and hence its general respect for professionals and its distrust of *dilettanti*. But the truth is that the *dilettante* treats his subject as an end, whereas the professional, pure and simple, treats it merely as a means. He alone will be really in earnest about a matter, who has a direct interest therein, takes to it because he likes it, and pursues it *con amore*. It is these, and not hirelings, that have always done the greatest work.

In the republic of letters it is as in other republics; favor is shown to the plain man — he who goes his way in silence and does not set up to be cleverer than others. But the abnormal man is looked upon as threatening danger; people band together against him, and have, oh! such a majority on their side.

The condition of this republic is much like that of a small State in America, where every man is intent only upon his own advantage, and seeks reputation and power for himself, quite heedless of the general weal, which then goes to ruin. So it is in the republic of letters; it is himself, and himself alone, that a man puts forward, because he wants to gain fame. The only thing in which all agree is in trying to keep down a really eminent man, if he should chance to show himself, as one who would be a common peril. From this it is easy to see how it fares with knowledge as a whole.

Between professors and independent men of learning there has always been from of old a certain antagonism, which may perhaps be likened to that existing between dogs and wolves. In virtue of their position, professors enjoy great facilities for becoming known to their contemporaries. Contrarily, independent men of learning enjoy, by their position, great facilities for becoming known to posterity; to which it is necessary that,

amongst other and much rarer gifts, a man should have a certain leisure and freedom. As mankind takes a long time in finding out on whom to bestow its attention, they may both work together side by side.

He who holds a professorship may be said to receive his food in the stall; and this is the best way with ruminant animals. But he who finds his food for himself at the hands of Nature is better off in the open field.

Of human knowledge as a whole and in every branch of it, by far the largest part exists nowhere but on paper, — I mean, in books, that paper memory of mankind. Only a small part of it is at any given period really active in the minds of particular persons. This is due, in the main, to the brevity and uncertainty of life; but it also comes from the fact that men are lazy and bent on pleasure. Every generation attains, on its hasty passage through existence, just so much of human knowledge as it needs, and then soon disappears. Most men of learning are very superficial. Then follows a new generation, full of hope, but ignorant, and with everything to learn from the beginning. It seizes, in its turn, just so much as it can grasp or find useful on its brief journey and then too goes its way. How badly it would fare with human knowledge if it were not for the art of writing and printing! This it is that makes libraries the only sure and lasting memory of the human race, for its individual members have all of them but a very limited and imperfect one. Hence most men of learning as are loth to have their knowledge examined as merchants to lay bare their books.

Human knowledge extends on all sides farther than the eye can reach; and of that which would be generally worth knowing, no one man can possess even the thousandth part.

All branches of learning have thus been so much enlarged that he who would “do something” has to pursue no more than one subject and disregard all others. In his own subject he will then, it is true, be superior to the vulgar; but in all else he will belong to it. If we add to this that neglect of the ancient languages, which is now-a-days on the increase and is doing away with all general education in the humanities — for a mere smattering of Latin and Greek is of no use — we shall come to have men of learning who outside their own subject display an ignorance truly bovine.

An exclusive specialist of this kind stands on a par with a workman in a factory, whose whole life is spent in making one particular kind of screw, or catch, or handle, for some particular instrument or machine, in which, indeed, he attains incredible dexterity. The specialist may also be likened to

a man who lives in his own house and never leaves it. There he is perfectly familiar with everything, every little step, corner, or board; much as Quasimodo in Victor Hugo's *Nôtre Dame* knows the cathedral; but outside it, all is strange and unknown.

For true culture in the humanities it is absolutely necessary that a man should be many-sided and take large views; and for a man of learning in the higher sense of the word, an extensive acquaintance with history is needful. He, however, who wishes to be a complete philosopher, must gather into his head the remotest ends of human knowledge: for where else could they ever come together?

It is precisely minds of the first order that will never be specialists. For their very nature is to make the whole of existence their problem; and this is a subject upon which they will every one of them in some form provide mankind with a new revelation. For he alone can deserve the name of genius who takes the All, the Essential, the Universal, for the theme of his achievements; not he who spends his life in explaining some special relation of things one to another.

## ON THINKING FOR ONESELF.

A library may be very large; but if it is in disorder, it is not so useful as one that is small but well arranged. In the same way, a man may have a great mass of knowledge, but if he has not worked it up by thinking it over for himself, it has much less value than a far smaller amount which he has thoroughly pondered. For it is only when a man looks at his knowledge from all sides, and combines the things he knows by comparing truth with truth, that he obtains a complete hold over it and gets it into his power. A man cannot turn over anything in his mind unless he knows it; he should, therefore, learn something; but it is only when he has turned it over that he can be said to know it.

Reading and learning are things that anyone can do of his own free will; but not so *thinking*. Thinking must be kindled, like a fire by a draught; it must be sustained by some interest in the matter in hand. This interest may be of purely objective kind, or merely subjective. The latter comes into play only in things that concern us personally. Objective interest is confined to heads that think by nature; to whom thinking is as natural as breathing; and they are very rare. This is why most men of learning show so little of it.

It is incredible what a different effect is produced upon the mind by thinking for oneself, as compared with reading. It carries on and intensifies that original difference in the nature of two minds which leads the one to think and the other to read. What I mean is that reading forces alien thoughts upon the mind — thoughts which are as foreign to the drift and temper in which it may be for the moment, as the seal is to the wax on which it stamps its imprint. The mind is thus entirely under compulsion from without; it is driven to think this or that, though for the moment it may not have the slightest impulse or inclination to do so.

But when a man thinks for himself, he follows the impulse of his own mind, which is determined for him at the time, either by his environment or some particular recollection. The visible world of a man's surroundings does not, as reading does, impress a *single* definite thought upon his mind, but merely gives the matter and occasion which lead him to think what is appropriate to his nature and present temper. So it is, that much reading deprives the mind of all elasticity; it is like keeping a spring continually under pressure. The safest way of having no thoughts of one's own is to

take up a book every moment one has nothing else to do. It is this practice which explains why erudition makes most men more stupid and silly than they are by nature, and prevents their writings obtaining any measure of success. They remain, in Pope's words:

*For ever reading, never to be read!*

Men of learning are those who have done their reading in the pages of a book. Thinkers and men of genius are those who have gone straight to the book of Nature; it is they who have enlightened the world and carried humanity further on its way. If a man's thoughts are to have truth and life in them, they must, after all, be his own fundamental thoughts; for these are the only ones that he can fully and wholly understand. To read another's thoughts is like taking the leavings of a meal to which we have not been invited, or putting on the clothes which some unknown visitor has laid aside. The thought we read is related to the thought which springs up in ourselves, as the fossil-impress of some prehistoric plant to a plant as it buds forth in spring-time.

Reading is nothing more than a substitute for thought of one's own. It means putting the mind into leading-strings. The multitude of books serves only to show how many false paths there are, and how widely astray a man may wander if he follows any of them. But he who is guided by his genius, he who thinks for himself, who thinks spontaneously and exactly, possesses the only compass by which he can steer aright. A man should read only when his own thoughts stagnate at their source, which will happen often enough even with the best of minds. On the other hand, to take up a book for the purpose of scaring away one's own original thoughts is sin against the Holy Spirit. It is like running away from Nature to look at a museum of dried plants or gaze at a landscape in copperplate.

A man may have discovered some portion of truth or wisdom, after spending a great deal of time and trouble in thinking it over for himself and adding thought to thought; and it may sometimes happen that he could have found it all ready to hand in a book and spared himself the trouble. But even so, it is a hundred times more valuable if he has acquired it by thinking it out for himself. For it is only when we gain our knowledge in this way that it enters as an integral part, a living member, into the whole system of our thought; that it stands in complete and firm relation with what we know; that it is understood with all that underlies it and follows from it; that it wears the color, the precise shade, the distinguishing mark, of our own way

of thinking; that it comes exactly at the right time, just as we felt the necessity for it; that it stands fast and cannot be forgotten. This is the perfect application, nay, the interpretation, of Goethe's advice to earn our inheritance for ourselves so that we may really possess it:

*Was due ererbt von deinen Vätern hast, Erwirb es, um es zu besitzen.*

The man who thinks for himself, forms his own opinions and learns the authorities for them only later on, when they serve but to strengthen his belief in them and in himself. But the book-philosopher starts from the authorities. He reads other people's books, collects their opinions, and so forms a whole for himself, which resembles an automaton made up of anything but flesh and blood. Contrarily, he who thinks for himself creates a work like a living man as made by Nature. For the work comes into being as a man does; the thinking mind is impregnated from without, and it then forms and bears its child.

Truth that has been merely learned is like an artificial limb, a false tooth, a waxen nose; at best, like a nose made out of another's flesh; it adheres to us only because it is put on. But truth acquired by thinking of our own is like a natural limb; it alone really belongs to us. This is the fundamental difference between the thinker and the mere man of learning. The intellectual attainments of a man who thinks for himself resemble a fine painting, where the light and shade are correct, the tone sustained, the color perfectly harmonized; it is true to life. On the other hand, the intellectual attainments of the mere man of learning are like a large palette, full of all sorts of colors, which at most are systematically arranged, but devoid of harmony, connection and meaning.

Reading is thinking with some one else's head instead of one's own. To think with one's own head is always to aim at developing a coherent whole — a system, even though it be not a strictly complete one; and nothing hinders this so much as too strong a current of others' thoughts, such as comes of continual reading. These thoughts, springing every one of them from different minds, belonging to different systems, and tinged with different colors, never of themselves flow together into an intellectual whole; they never form a unity of knowledge, or insight, or conviction; but, rather, fill the head with a Babylonian confusion of tongues. The mind that is over-loaded with alien thought is thus deprived of all clear insight, and is well-nigh disorganized. This is a state of things observable in many men of learning; and it makes them inferior in sound sense, correct judgment and



practical tact, to many illiterate persons, who, after obtaining a little knowledge from without, by means of experience, intercourse with others, and a small amount of reading, have always subordinated it to, and embodied it with, their own thought.

The really scientific *thinker* does the same thing as these illiterate persons, but on a larger scale. Although he has need of much knowledge, and so must read a great deal, his mind is nevertheless strong enough to master it all, to assimilate and incorporate it with the system of his thoughts, and so to make it fit in with the organic unity of his insight, which, though vast, is always growing. And in the process, his own thought, like the bass in an organ, always dominates everything and is never drowned by other tones, as happens with minds which are full of mere antiquarian lore; where shreds of music, as it were, in every key, mingle confusedly, and no fundamental note is heard at all.

Those who have spent their lives in reading, and taken their wisdom from books, are like people who have obtained precise information about a country from the descriptions of many travellers. Such people can tell a great deal about it; but, after all, they have no connected, clear, and profound knowledge of its real condition. But those who have spent their lives in thinking, resemble the travellers themselves; they alone really know what they are talking about; they are acquainted with the actual state of affairs, and are quite at home in the subject.

The thinker stands in the same relation to the ordinary book-philosopher as an eye-witness does to the historian; he speaks from direct knowledge of his own. That is why all those who think for themselves come, at bottom, to much the same conclusion. The differences they present are due to their different points of view; and when these do not affect the matter, they all speak alike. They merely express the result of their own objective perception of things. There are many passages in my works which I have given to the public only after some hesitation, because of their paradoxical nature; and afterwards I have experienced a pleasant surprise in finding the same opinion recorded in the works of great men who lived long ago.

The book-philosopher merely reports what one person has said and another meant, or the objections raised by a third, and so on. He compares different opinions, ponders, criticises, and tries to get at the truth of the matter; herein on a par with the critical historian. For instance, he will set out to inquire whether Leibnitz was not for some time a follower of

Spinoza, and questions of a like nature. The curious student of such matters may find conspicuous examples of what I mean in Herbart's *Analytical Elucidation of Morality and Natural Right*, and in the same author's *Letters on Freedom*. Surprise may be felt that a man of the kind should put himself to so much trouble; for, on the face of it, if he would only examine the matter for himself, he would speedily attain his object by the exercise of a little thought. But there is a small difficulty in the way. It does not depend upon his own will. A man can always sit down and read, but not — think. It is with thoughts as with men; they cannot always be summoned at pleasure; we must wait for them to come. Thought about a subject must appear of itself, by a happy and harmonious combination of external stimulus with mental temper and attention; and it is just that which never seems to come to these people.

This truth may be illustrated by what happens in the case of matters affecting our own personal interest. When it is necessary to come to some resolution in a matter of that kind, we cannot well sit down at any given moment and think over the merits of the case and make up our mind; for, if we try to do so, we often find ourselves unable, at that particular moment, to keep our mind fixed upon the subject; it wanders off to other things. Aversion to the matter in question is sometimes to blame for this. In such a case we should not use force, but wait for the proper frame of mind to come of itself. It often comes unexpectedly and returns again and again; and the variety of temper in which we approach it at different moments puts the matter always in a fresh light. It is this long process which is understood by the term *a ripe resolution*. For the work of coming to a resolution must be distributed; and in the process much that is overlooked at one moment occurs to us at another; and the repugnance vanishes when we find, as we usually do, on a closer inspection, that things are not so bad as they seemed.

This rule applies to the life of the intellect as well as to matters of practice. A man must wait for the right moment. Not even the greatest mind is capable of thinking for itself at all times. Hence a great mind does well to spend its leisure in reading, which, as I have said, is a substitute for thought; it brings stuff to the mind by letting another person do the thinking; although that is always done in a manner not our own. Therefore, a man should not read too much, in order that his mind may not become accustomed to the substitute and thereby forget the reality; that it may not form the habit of walking in well-worn paths; nor by following an alien

course of thought grow a stranger to its own. Least of all should a man quite withdraw his gaze from the real world for the mere sake of reading; as the impulse and the temper which prompt to thought of one's own come far oftener from the world of reality than from the world of books. The real life that a man sees before him is the natural subject of thought; and in its strength as the primary element of existence, it can more easily than anything else rouse and influence the thinking mind.

After these considerations, it will not be matter for surprise that a man who thinks for himself can easily be distinguished from the book-philosopher by the very way in which he talks, by his marked earnestness, and the originality, directness, and personal conviction that stamp all his thoughts and expressions. The book-philosopher, on the other hand, lets it be seen that everything he has is second-hand; that his ideas are like the number and trash of an old furniture-shop, collected together from all quarters. Mentally, he is dull and pointless — a copy of a copy. His literary style is made up of conventional, nay, vulgar phrases, and terms that happen to be current; in this respect much like a small State where all the money that circulates is foreign, because it has no coinage of its own.

Mere experience can as little as reading supply the place of thought. It stands to thinking in the same relation in which eating stands to digestion and assimilation. When experience boasts that to its discoveries alone is due the advancement of the human race, it is as though the mouth were to claim the whole credit of maintaining the body in health.

The works of all truly capable minds are distinguished by a character of *decision* and *definiteness*, which means they are clear and free from obscurity. A truly capable mind always knows definitely and clearly what it is that it wants to express, whether its medium is prose, verse, or music. Other minds are not decisive and not definite; and by this they may be known for what they are.

The characteristic sign of a mind of the highest order is that it always judges at first hand. Everything it advances is the result of thinking for itself; and this is everywhere evident by the way in which it gives its thoughts utterance. Such a mind is like a Prince. In the realm of intellect its authority is imperial, whereas the authority of minds of a lower order is delegated only; as may be seen in their style, which has no independent stamp of its own.

Every one who really thinks for himself is so far like a monarch. His position is undelegated and supreme. His judgments, like royal decrees, spring from his own sovereign power and proceed directly from himself. He acknowledges authority as little as a monarch admits a command; he subscribes to nothing but what he has himself authorized. The multitude of common minds, laboring under all sorts of current opinions, authorities, prejudices, is like the people, which silently obeys the law and accepts orders from above.

Those who are so zealous and eager to settle debated questions by citing authorities, are really glad when they are able to put the understanding and the insight of others into the field in place of their own, which are wanting. Their number is legion. For, as Seneca says, there is no man but prefers belief to the exercise of judgment — *unusquisque mavult credere quam judicare*. In their controversies such people make a promiscuous use of the weapon of authority, and strike out at one another with it. If any one chances to become involved in such a contest, he will do well not to try reason and argument as a mode of defence; for against a weapon of that kind these people are like Siegfrieds, with a skin of horn, and dipped in the flood of incapacity for thinking and judging. They will meet his attack by bringing up their authorities as a way of abashing him — *argumentum ad verecundiam*, and then cry out that they have won the battle.

In the real world, be it never so fair, favorable and pleasant, we always live subject to the law of gravity which we have to be constantly overcoming. But in the world of intellect we are disembodied spirits, held in bondage to no such law, and free from penury and distress. Thus it is that there exists no happiness on earth like that which, at the auspicious moment, a fine and fruitful mind finds in itself.

The presence of a thought is like the presence of a woman we love. We fancy we shall never forget the thought nor become indifferent to the dear one. But out of sight, out of mind! The finest thought runs the risk of being irrevocably forgotten if we do not write it down, and the darling of being deserted if we do not marry her.

There are plenty of thoughts which are valuable to the man who thinks them; but only few of them which have enough strength to produce repercussive or reflect action — I mean, to win the reader's sympathy after they have been put on paper.

But still it must not be forgotten that a true value attaches only to what a man has thought in the first instance *for his own case*. Thinkers may be classed according as they think chiefly for their own case or for that of others. The former are the genuine independent thinkers; they really think and are really independent; they are the true *philosophers*; they alone are in earnest. The pleasure and the happiness of their existence consists in thinking. The others are the *sophists*; they want to seem that which they are not, and seek their happiness in what they hope to get from the world. They are in earnest about nothing else. To which of these two classes a man belongs may be seen by his whole style and manner. Lichtenberg is an example for the former class; Herder, there can be no doubt, belongs to the second.

When one considers how vast and how close to us is *the problem of existence* — this equivocal, tortured, fleeting, dream-like existence of ours — so vast and so close that a man no sooner discovers it than it overshadows and obscures all other problems and aims; and when one sees how all men, with few and rare exceptions, have no clear consciousness of the problem, nay, seem to be quite unaware of its presence, but busy themselves with everything rather than with this, and live on, taking no thought but for the passing day and the hardly longer span of their own personal future, either expressly discarding the problem or else over-ready to come to terms with it by adopting some system of popular metaphysics and letting it satisfy them; when, I say, one takes all this to heart, one may come to the opinion that man may be said to be *a thinking being* only in a very remote sense, and henceforth feel no special surprise at any trait of human thoughtlessness or folly; but know, rather, that the normal man's intellectual range of vision does indeed extend beyond that of the brute, whose whole existence is, as it were, a continual present, with no consciousness of the past or the future, but not such an immeasurable distance as is generally supposed.

This is, in fact, corroborated by the way in which most men converse; where their thoughts are found to be chopped up fine, like chaff, so that for them to spin out a discourse of any length is impossible.

If this world were peopled by really thinking beings, it could not be that noise of every kind would be allowed such generous limits, as is the case with the most horrible and at the same time aimless form of it. If Nature had meant man to think, she would not have given him ears; or, at any rate, she

would have furnished them with airtight flaps, such as are the enviable possession of the bat. But, in truth, man is a poor animal like the rest, and his powers are meant only to maintain him in the struggle for existence; so he must need keep his ears always open, to announce of themselves, by night as by day, the approach of the pursuer.

In the drama, which is the most perfect reflection of human existence, there are three stages in the presentation of the subject, with a corresponding variety in the design and scope of the piece.

At the first, which is also the most common, stage, the drama is never anything more than merely *interesting*. The persons gain our attention by following their own aims, which resemble ours; the action advances by means of intrigue and the play of character and incident; while wit and raillery season the whole.

At the second stage, the drama becomes *sentimental*. Sympathy is roused with the hero and, indirectly, with ourselves. The action takes a pathetic turn; but the end is peaceful and satisfactory.

The climax is reached with the third stage, which is the most difficult. There the drama aims at being *tragic*. We are brought face to face with great suffering and the storm and stress of existence; and the outcome of it is to show the vanity of all human effort. Deeply moved, we are either directly prompted to disengage our will from the struggle of life, or else a chord is struck in us which echoes a similar feeling.

The beginning, it is said, is always difficult. In the drama it is just the contrary; for these the difficulty always lies in the end. This is proved by countless plays which promise very well for the first act or two, and then become muddled, stick or falter — notoriously so in the fourth act — and finally conclude in a way that is either forced or unsatisfactory or else long foreseen by every one. Sometimes, too, the end is positively revolting, as in Lessing's *Emilia Galotti*, which sends the spectators home in a temper.

This difficulty in regard to the end of a play arises partly because it is everywhere easier to get things into a tangle than to get them out again; partly also because at the beginning we give the author *carte blanche* to do as he likes, but, at the end, make certain definite demands upon him. Thus we ask for a conclusion that shall be either quite happy or else quite tragic; whereas human affairs do not easily take so decided a turn; and then we expect that it shall be natural, fit and proper, unlabored, and at the same time foreseen by no one.

These remarks are also applicable to an epic and to a novel; but the more compact nature of the drama makes the difficulty plainer by increasing it.

*E nihilo nihil fit.* That nothing can come from nothing is a maxim true in fine art as elsewhere. In composing an historical picture, a good artist will use living men as a model, and take the groundwork of the faces from life; and then proceed to idealize them in point of beauty or expression. A similar method, I fancy, is adopted by good novelists. In drawing a character they take a general outline of it from some real person of their acquaintance, and then idealize and complete it to suit their purpose.

A novel will be of a high and noble order, the more it represents of inner, and the less it represents of outer, life; and the ratio between the two will supply a means of judging any novel, of whatever kind, from *Tristram Shandy* down to the crudest and most sensational tale of knight or robber. *Tristram Shandy* has, indeed, as good as no action at all; and there is not much in *La Nouvelle Heloïse* and *Wilhelm Meister*. Even *Don Quixote* has relatively little; and what there is, very unimportant, and introduced merely for the sake of fun. And these four are the best of all existing novels.

Consider, further, the wonderful romances of Jean Paul, and how much inner life is shown on the narrowest basis of actual event. Even in Walter Scott's novels there is a great preponderance of inner over outer life, and incident is never brought in except for the purpose of giving play to thought and emotion; whereas, in bad novels, incident is there on its own account. Skill consists in setting the inner life in motion with the smallest possible array of circumstance; for it is this inner life that really excites our interest.

The business of the novelist is not to relate great events, but to make small ones interesting.

History, which I like to think of as the contrary of poetry [Greek: istoroumenon — pepoiaemenon], is for time what geography is for space; and it is no more to be called a science, in any strict sense of the word, than is geography, because it does not deal with universal truths, but only with particular details. History has always been the favorite study of those who wish to learn something, without having to face the effort demanded by any branch of real knowledge, which taxes the intelligence. In our time history is a favorite pursuit; as witness the numerous books upon the subject which appear every year.

If the reader cannot help thinking, with me, that history is merely the constant recurrence of similar things, just as in a kaleidoscope the same bits

of glass are represented, but in different combinations, he will not be able to share all this lively interest; nor, however, will he censure it. But there is a ridiculous and absurd claim, made by many people, to regard history as a part of philosophy, nay, as philosophy itself; they imagine that history can take its place.

The preference shown for history by the greater public in all ages may be illustrated by the kind of conversation which is so much in vogue everywhere in society. It generally consists in one person relating something and then another person relating something else; so that in this way everyone is sure of receiving attention. Both here and in the case of history it is plain that the mind is occupied with particular details. But as in science, so also in every worthy conversation, the mind rises to the consideration of some general truth.

This objection does not, however, deprive history of its value. Human life is short and fleeting, and many millions of individuals share in it, who are swallowed by that monster of oblivion which is waiting for them with ever-open jaws. It is thus a very thankworthy task to try to rescue something — the memory of interesting and important events, or the leading features and personages of some epoch — from the general shipwreck of the world.

From another point of view, we might look upon history as the sequel to zoology; for while with all other animals it is enough to observe the species, with man individuals, and therefore individual events have to be studied; because every man possesses a character as an individual. And since individuals and events are without number or end, an essential imperfection attaches to history. In the study of it, all that a man learns never contributes to lessen that which he has still to learn. With any real science, a perfection of knowledge is, at any rate, conceivable.

When we gain access to the histories of China and of India, the endlessness of the subject-matter will reveal to us the defects in the study, and force our historians to see that the object of science is to recognize the many in the one, to perceive the rules in any given example, and to apply to the life of nations a knowledge of mankind; not to go on counting up facts *ad infinitum*.

There are two kinds of history; the history of politics and the history of literature and art. The one is the history of the will; the other, that of the intellect. The first is a tale of woe, even of terror: it is a record of agony, struggle, fraud, and horrible murder *en masse*. The second is everywhere



pleasing and serene, like the intellect when left to itself, even though its path be one of error. Its chief branch is the history of philosophy. This is, in fact, its fundamental bass, and the notes of it are heard even in the other kind of history. These deep tones guide the formation of opinion, and opinion rules the world. Hence philosophy, rightly understood, is a material force of the most powerful kind, though very slow in its working. The philosophy of a period is thus the fundamental bass of its history.

The NEWSPAPER, is the second-hand in the clock of history; and it is not only made of baser metal than those which point to the minute and the hour, but it seldom goes right.

The so-called leading article is the chorus to the drama of passing events.

Exaggeration of every kind is as essential to journalism as it is to the dramatic art; for the object of journalism is to make events go as far as possible. Thus it is that all journalists are, in the very nature of their calling, alarmists; and this is their way of giving interest to what they write. Herein they are like little dogs; if anything stirs, they immediately set up a shrill bark.

Therefore, let us carefully regulate the attention to be paid to this trumpet of danger, so that it may not disturb our digestion. Let us recognize that a newspaper is at best but a magnifying-glass, and very often merely a shadow on the wall.

The *pen* is to thought what the stick is to walking; but you walk most easily when you have no stick, and you think with the greatest perfection when you have no pen in your hand. It is only when a man begins to be old that he likes to use a stick and is glad to take up his pen.

When an *hypothesis* has once come to birth in the mind, or gained a footing there, it leads a life so far comparable with the life of an organism, as that it assimilates matter from the outer world only when it is like in kind with it and beneficial; and when, contrarily, such matter is not like in kind but hurtful, the hypothesis, equally with the organism, throws it off, or, if forced to take it, gets rid of it again entire.

To gain *immortality* an author must possess so many excellences that while it will not be easy to find anyone to understand and appreciate them all, there will be men in every age who are able to recognize and value some of them. In this way the credit of his book will be maintained throughout the long course of centuries, in spite of the fact that human interests are always changing.

An author like this, who has a claim to the continuance of his life even with posterity, can only be a man who, over the wide earth, will seek his like in vain, and offer a palpable contrast with everyone else in virtue of his unmistakable distinction. Nay, more: were he, like the wandering Jew, to live through several generations, he would still remain in the same superior position. If this were not so, it would be difficult to see why his thoughts should not perish like those of other men.

*Metaphors* and *similes* are of great value, in so far as they explain an unknown relation by a known one. Even the more detailed simile which grows into a parable or an allegory, is nothing more than the exhibition of some relation in its simplest, most visible and palpable form. The growth of ideas rests, at bottom, upon similes; because ideas arise by a process of combining the similarities and neglecting the differences between things. Further, intelligence, in the strict sense of the word, ultimately consists in a seizing of relations; and a clear and pure grasp of relations is all the more often attained when the comparison is made between cases that lie wide apart from one another, and between things of quite different nature. As long as a relation is known to me as existing only in a single case, I have but an *individual* idea of it — in other words, only an intuitive knowledge of it; but as soon as I see the same relation in two different cases, I have a *general* idea of its whole nature, and this is a deeper and more perfect knowledge.

Since, then, similes and metaphors are such a powerful engine of knowledge, it is a sign of great intelligence in a writer if his similes are unusual and, at the same time, to the point. Aristotle also observes that by far the most important thing to a writer is to have this power of metaphor; for it is a gift which cannot be acquired, and it is a mark of genius.

As regards *reading*, to require that a man shall retain everything he has ever read, is like asking him to carry about with him all he has ever eaten. The one kind of food has given him bodily, and the other mental, nourishment; and it is through these two means that he has grown to be what he is. The body assimilates only that which is like it; and so a man retains in his mind only that which interests him, in other words, that which suits his system of thought or his purposes in life.

If a man wants to read good books, he must make a point of avoiding bad ones; for life is short, and time and energy limited.

*Repetitio est mater studiorum.* Any book that is at all important ought to be at once read through twice; partly because, on a second reading, the connection of the different portions of the book will be better understood, and the beginning comprehended only when the end is known; and partly because we are not in the same temper and disposition on both readings. On the second perusal we get a new view of every passage and a different impression of the whole book, which then appears in another light.

A man's works are the quintessence of his mind, and even though he may possess very great capacity, they will always be incomparably more valuable than his conversation. Nay, in all essential matters his works will not only make up for the lack of personal intercourse with him, but they will far surpass it in solid advantages. The writings even of a man of moderate genius may be edifying, worth reading and instructive, because they are his quintessence — the result and fruit of all his thought and study; whilst conversation with him may be unsatisfactory.

So it is that we can read books by men in whose company we find nothing to please, and that a high degree of culture leads us to seek entertainment almost wholly from books and not from men.

## ON CRITICISM.

The following brief remarks on the critical faculty are chiefly intended to show that, for the most part, there is no such thing. It is a *rara avis*; almost as rare, indeed, as the phoenix, which appears only once in five hundred years.

When we speak of *taste* — an expression not chosen with any regard for it — we mean the discovery, or, it may be only the recognition, of what is *right aesthetically*, apart from the guidance of any rule; and this, either because no rule has as yet been extended to the matter in question, or else because, if existing, it is unknown to the artist, or the critic, as the case may be. Instead of *taste*, we might use the expression *aesthetic sense*, if this were not tautological.

The perceptive critical taste is, so to speak, the female analogue to the male quality of productive talent or genius. Not capable of *begetting* great work itself, it consists in a capacity of *reception*, that is to say, of recognizing as such what is right, fit, beautiful, or the reverse; in other words, of discriminating the good from the bad, of discovering and appreciating the one and condemning the other.

In appreciating a genius, criticism should not deal with the errors in his productions or with the poorer of his works, and then proceed to rate him low; it should attend only to the qualities in which he most excels. For in the sphere of intellect, as in other spheres, weakness and perversity cleave so firmly to human nature that even the most brilliant mind is not wholly and at all times free from them. Hence the great errors to be found even in the works of the greatest men; or as Horace puts it, *quandoque bonus dormitat Homerus*.

That which distinguishes genius, and should be the standard for judging it, is the height to which it is able to soar when it is in the proper mood and finds a fitting occasion — a height always out of the reach of ordinary talent. And, in like manner, it is a very dangerous thing to compare two great men of the same class; for instance, two great poets, or musicians, or philosophers, or artists; because injustice to the one or the other, at least for the moment, can hardly be avoided. For in making a comparison of the kind the critic looks to some particular merit of the one and at once discovers that it is absent in the other, who is thereby disparaged. And then if the

process is reversed, and the critic begins with the latter and discovers his peculiar merit, which is quite of a different order from that presented by the former, with whom it may be looked for in vain, the result is that both of them suffer undue depreciation.

There are critics who severally think that it rests with each one of them what shall be accounted good, and what bad. They all mistake their own toy-trumpets for the trombones of fame.

A drug does not effect its purpose if the dose is too large; and it is the same with censure and adverse criticism when it exceeds the measure of justice.

The disastrous thing for intellectual merit is that it must wait for those to praise the good who have themselves produced nothing but what is bad; nay, it is a primary misfortune that it has to receive its crown at the hands of the critical power of mankind — a quality of which most men possess only the weak and impotent semblance, so that the reality may be numbered amongst the rarest gifts of nature. Hence La Bruyère's remark is, unhappily, as true as it is neat. *Après l'esprit de discernement*, he says, *ce qu'il y a au monde de plus rare, ce sont les diamans et les perles*. The spirit of discernment! the critical faculty! it is these that are lacking. Men do not know how to distinguish the genuine from the false, the corn from the chaff, gold from copper; or to perceive the wide gulf that separates a genius from an ordinary man. Thus we have that bad state of things described in an old-fashioned verse, which gives it as the lot of the great ones here on earth to be recognized only when they are gone:

*Es ist nun das Geschick der Grossen fïier auf Erden, Erst wann sie nicht mehr sind; von uns erkannt zu werden.*

When any genuine and excellent work makes its appearance, the chief difficulty in its way is the amount of bad work it finds already in possession of the field, and accepted as though it were good. And then if, after a long time, the new comer really succeeds, by a hard struggle, in vindicating his place for himself and winning reputation, he will soon encounter fresh difficulty from some affected, dull, awkward imitator, whom people drag in, with the object of calmly setting him up on the altar beside the genius; not seeing the difference and really thinking that here they have to do with another great man. This is what Yriarte means by the first lines of his twenty-eighth Fable, where he declares that the ignorant rabble always sets equal value on the good and the bad:

*Siempre acostumbra hacer el vulgo necio De lo bueno y lo malo igual aprecio.*

So even Shakespeare's dramas had, immediately after his death, to give place to those of Ben Jonson, Massinger, Beaumont and Fletcher, and to yield the supremacy for a hundred years. So Kant's serious philosophy was crowded out by the nonsense of Fichte, Schelling, Jacobi, Hegel. And even in a sphere accessible to all, we have seen unworthy imitators quickly diverting public attention from the incomparable Walter Scott. For, say what you will, the public has no sense for excellence, and therefore no notion how very rare it is to find men really capable of doing anything great in poetry, philosophy, or art, or that their works are alone worthy of exclusive attention. The dabblers, whether in verse or in any other high sphere, should be every day unsparingly reminded that neither gods, nor men, nor booksellers have pardoned their mediocrity:

*mediocribus esse poetis Non homines, non Dî, non concessere columnae.*

Are they not the weeds that prevent the corn coming up, so that they may cover all the ground themselves? And then there happens that which has been well and freshly described by the lamented Feuchtersleben, who died so young: how people cry out in their haste that nothing is being done, while all the while great work is quietly growing to maturity; and then, when it appears, it is not seen or heard in the clamor, but goes its way silently, in modest grief:

*“Ist doch” — rufen sie vermessen —  
Nichts im Werke, nichts gethan!”  
Und das Grosse, reift indessen  
Still heran.*

*Es erscheint nun: niemand sieht es,  
Niemand hört es im Geschrei  
Mit bescheid'ner Trauer zieht es  
Still vorbei.*

This lamentable death of the critical faculty is not less obvious in the case of science, as is shown by the tenacious life of false and disproved theories. If they are once accepted, they may go on bidding defiance to truth for fifty or even a hundred years and more, as stable as an iron pier in the

midst of the waves. The Ptolemaic system was still held a century after Copernicus had promulgated his theory. Bacon, Descartes and Locke made their way extremely slowly and only after a long time; as the reader may see by d'Alembert's celebrated Preface to the *Encyclopedie*. Newton was not more successful; and this is sufficiently proved by the bitterness and contempt with which Leibnitz attacked his theory of gravitation in the controversy with Clarke. Although Newton lived for almost forty years after the appearance of the *Principia*, his teaching was, when he died, only to some extent accepted in his own country, whilst outside England he counted scarcely twenty adherents; if we may believe the introductory note to Voltaire's exposition of his theory. It was, indeed, chiefly owing to this treatise of Voltaire's that the system became known in France nearly twenty years after Newton's death. Until then a firm, resolute, and patriotic stand was made by the Cartesian *Vortices*; whilst only forty years previously, this same Cartesian philosophy had been forbidden in the French schools; and now in turn d'Agnesseau, the Chancellor, refused Voltaire the *Imprimatur* for his treatise on the Newtonian doctrine. On the other hand, in our day Newton's absurd theory of color still completely holds the field, forty years after the publication of Goethe's. Hume, too, was disregarded up to his fiftieth year, though he began very early and wrote in a thoroughly popular style. And Kant, in spite of having written and talked all his life long, did not become a famous man until he was sixty.

Artists and poets have, to be sure, more chance than thinkers, because their public is at least a hundred times as large. Still, what was thought of Beethoven and Mozart during their lives? what of Dante? what even of Shakespeare? If the latter's contemporaries had in any way recognized his worth, at least one good and accredited portrait of him would have come down to us from an age when the art of painting flourished; whereas we possess only some very doubtful pictures, a bad copperplate, and a still worse bust on his tomb. And in like manner, if he had been duly honored, specimens of his handwriting would have been preserved to us by the hundred, instead of being confined, as is the case, to the signatures to a few legal documents. The Portuguese are still proud of their only poet Camoëns. He lived, however, on alms collected every evening in the street by a black slave whom he had brought with him from the Indies. In time, no doubt, justice will be done everyone; *tempo è galant uomo*; but it is as late and slow in arriving as in a court of law, and the secret condition of it is that the

recipient shall be no longer alive. The precept of Jesus the son of Sirach is faithfully followed: *Judge none blessed before his death*. He, then, who has produced immortal works, must find comfort by applying to them the words of the Indian myth, that the minutes of life amongst the immortals seem like years of earthly existence; and so, too, that years upon earth are only as the minutes of the immortals.

This lack of critical insight is also shown by the fact that, while in every century the excellent work of earlier time is held in honor, that of its own is misunderstood, and the attention which is its due is given to bad work, such as every decade carries with it only to be the sport of the next. That men are slow to recognize genuine merit when it appears in their own age, also proves that they do not understand or enjoy or really value the long-acknowledged works of genius, which they honor only on the score of authority. The crucial test is the fact that bad work — Fichte's philosophy, for example — if it wins any reputation, also maintains it for one or two generations; and only when its public is very large does its fall follow sooner.

Now, just as the sun cannot shed its light but to the eye that sees it, nor music sound but to the hearing ear, so the value of all masterly work in art and science is conditioned by the kinship and capacity of the mind to which it speaks. It is only such a mind as this that possesses the magic word to stir and call forth the spirits that lie hidden in great work. To the ordinary mind a masterpiece is a sealed cabinet of mystery, — an unfamiliar musical instrument from which the player, however much he may flatter himself, can draw none but confused tones. How different a painting looks when seen in a good light, as compared with some dark corner! Just in the same way, the impression made by a masterpiece varies with the capacity of the mind to understand it.

A fine work, then, requires a mind sensitive to its beauty; a thoughtful work, a mind that can really think, if it is to exist and live at all. But alas! it may happen only too often that he who gives a fine work to the world afterwards feels like a maker of fireworks, who displays with enthusiasm the wonders that have taken him so much time and trouble to prepare, and then learns that he has come to the wrong place, and that the fancied spectators were one and all inmates of an asylum for the blind. Still even that is better than if his public had consisted entirely of men who made



fireworks themselves; as in this case, if his display had been extraordinarily good, it might possibly have cost him his head.

The source of all pleasure and delight is the feeling of kinship. Even with the sense of beauty it is unquestionably our own species in the animal world, and then again our own race, that appears to us the fairest. So, too, in intercourse with others, every man shows a decided preference for those who resemble him; and a blockhead will find the society of another blockhead incomparably more pleasant than that of any number of great minds put together. Every man must necessarily take his chief pleasure in his own work, because it is the mirror of his own mind, the echo of his own thought; and next in order will come the work of people like him; that is to say, a dull, shallow and perverse man, a dealer in mere words, will give his sincere and hearty applause only to that which is dull, shallow, perverse or merely verbose. On the other hand, he will allow merit to the work of great minds only on the score of authority, in other words, because he is ashamed to speak his opinion; for in reality they give him no pleasure at all. They do not appeal to him; nay, they repel him; and he will not confess this even to himself. The works of genius cannot be fully enjoyed except by those who are themselves of the privileged order. The first recognition of them, however, when they exist without authority to support them, demands considerable superiority of mind.

When the reader takes all this into consideration, he should be surprised, not that great work is so late in winning reputation, but that it wins it at all. And as a matter of fact, fame comes only by a slow and complex process. The stupid person is by degrees forced, and as it were, tamed, into recognizing the superiority of one who stands immediately above him; this one in his turn bows before some one else; and so it goes on until the weight of the votes gradually prevail over their number; and this is just the condition of all genuine, in other words, deserved fame. But until then, the greatest genius, even after he has passed his time of trial, stands like a king amidst a crowd of his own subjects, who do not know him by sight and therefore will not do his behests; unless, indeed, his chief ministers of state are in his train. For no subordinate official can be the direct recipient of the royal commands, as he knows only the signature of his immediate superior; and this is repeated all the way up into the highest ranks, where the under-secretary attests the minister's signature, and the minister that of the king. There are analogous stages to be passed before a genius can attain

widespread fame. This is why his reputation most easily comes to a standstill at the very outset; because the highest authorities, of whom there can be but few, are most frequently not to be found; but the further down he goes in the scale the more numerous are those who take the word from above, so that his fame is no more arrested.

We must console ourselves for this state of things by reflecting that it is really fortunate that the greater number of men do not form a judgment on their own responsibility, but merely take it on authority. For what sort of criticism should we have on Plato and Kant, Homer, Shakespeare and Goethe, if every man were to form his opinion by what he really has and enjoys of these writers, instead of being forced by authority to speak of them in a fit and proper way, however little he may really feel what he says. Unless something of this kind took place, it would be impossible for true merit, in any high sphere, to attain fame at all. At the same time it is also fortunate that every man has just so much critical power of his own as is necessary for recognizing the superiority of those who are placed immediately over him, and for following their lead. This means that the many come in the end to submit to the authority of the few; and there results that hierarchy of critical judgments on which is based the possibility of a steady, and eventually wide-reaching, fame.

The lowest class in the community is quite impervious to the merits of a great genius; and for these people there is nothing left but the monument raised to him, which, by the impression it produces on their senses, awakes in them a dim idea of the man's greatness.

Literary journals should be a dam against the unconscionable scribbling of the age, and the ever-increasing deluge of bad and useless books. Their judgments should be uncorrupted, just and rigorous; and every piece of bad work done by an incapable person; every device by which the empty head tries to come to the assistance of the empty purse, that is to say, about nine-tenths of all existing books, should be mercilessly scourged. Literary journals would then perform their duty, which is to keep down the craving for writing and put a check upon the deception of the public, instead of furthering these evils by a miserable toleration, which plays into the hands of author and publisher, and robs the reader of his time and his money.

If there were such a paper as I mean, every bad writer, every brainless compiler, every plagiarist from other's books, every hollow and incapable place-hunter, every sham-philosopher, every vain and languishing poetaster,

would shudder at the prospect of the pillory in which his bad work would inevitably have to stand soon after publication. This would paralyze his twitching fingers, to the true welfare of literature, in which what is bad is not only useless but positively pernicious. Now, most books are bad and ought to have remained unwritten. Consequently praise should be as rare as is now the case with blame, which is withheld under the influence of personal considerations, coupled with the maxim *accedas socius, laudes lauderis ut absens*.

It is quite wrong to try to introduce into literature the same toleration as must necessarily prevail in society towards those stupid, brainless people who everywhere swarm in it. In literature such people are impudent intruders; and to disparage the bad is here duty towards the good; for he who thinks nothing bad will think nothing good either. Politeness, which has its source in social relations, is in literature an alien, and often injurious, element; because it exacts that bad work shall be called good. In this way the very aim of science and art is directly frustrated.

The ideal journal could, to be sure, be written only by people who joined incorruptible honesty with rare knowledge and still rarer power of judgment; so that perhaps there could, at the very most, be one, and even hardly one, in the whole country; but there it would stand, like a just Aeropagus, every member of which would have to be elected by all the others. Under the system that prevails at present, literary journals are carried on by a clique, and secretly perhaps also by booksellers for the good of the trade; and they are often nothing but coalitions of bad heads to prevent the good ones succeeding. As Goethe once remarked to me, nowhere is there so much dishonesty as in literature.

But, above all, anonymity, that shield of all literary rascality, would have to disappear. It was introduced under the pretext of protecting the honest critic, who warned the public, against the resentment of the author and his friends. But where there is one case of this sort, there will be a hundred where it merely serves to take all responsibility from the man who cannot stand by what he has said, or possibly to conceal the shame of one who has been cowardly and base enough to recommend a book to the public for the purpose of putting money into his own pocket. Often enough it is only a cloak for covering the obscurity, incompetence and insignificance of the critic. It is incredible what impudence these fellows will show, and what literary trickery they will venture to commit, as soon as they know they are

safe under the shadow of anonymity. Let me recommend a general *Anti-criticism*, a universal medicine or panacea, to put a stop to all anonymous reviewing, whether it praises the bad or blames the good: *Rascal! your name!* For a man to wrap himself up and draw his hat over his face, and then fall upon people who are walking about without any disguise — this is not the part of a gentleman, it is the part of a scoundrel and a knave.

An anonymous review has no more authority than an anonymous letter; and one should be received with the same mistrust as the other. Or shall we take the name of the man who consents to preside over what is, in the strict sense of the word, *une société anonyme* as a guarantee for the veracity of his colleagues?

Even Rousseau, in the preface to the *Nouvelle Héloïse*, declares *tout honnête homme doit avouer les livres qu'il public*; which in plain language means that every honorable man ought to sign his articles, and that no one is honorable who does not do so. How much truer this is of polemical writing, which is the general character of reviews! Riemer was quite right in the opinion he gives in his *Reminiscences of Goethe: An overt enemy*, he says, *an enemy who meets you face to face, is an honorable man, who will treat you fairly, and with whom you can come to terms and be reconciled: but an enemy who conceals himself is a base, cowardly scoundrel, who has not courage enough to avow his own judgment; it is not his opinion that he cares about, but only the secret pleasures of wreaking his anger without being found out or punished.* This will also have been Goethe's opinion, as he was generally the source from which Riemer drew his observations. And, indeed, Rousseau's maxim applies to every line that is printed. Would a man in a mask ever be allowed to harangue a mob, or speak in any assembly; and that, too, when he was going to attack others and overwhelm them with abuse?

Anonymity is the refuge for all literary and journalistic rascality. It is a practice which must be completely stopped. Every article, even in a newspaper, should be accompanied by the name of its author; and the editor should be made strictly responsible for the accuracy of the signature. The freedom of the press should be thus far restricted; so that when a man publicly proclaims through the far-sounding trumpet of the newspaper, he should be answerable for it, at any rate with his honor, if he has any; and if he has none, let his name neutralize the effect of his words. And since even

the most insignificant person is known in his own circle, the result of such a measure would be to put an end to two-thirds of the newspaper lies, and to restrain the audacity of many a poisonous tongue.

## ON REPUTATION.

Writers may be classified as meteors, planets and fixed stars. A meteor makes a striking effect for a moment. You look up and cry *There!* and it is gone for ever. Planets and wandering stars last a much longer time. They often outshine the fixed stars and are confounded with them by the inexperienced; but this only because they are near. It is not long before they must yield their place; nay, the light they give is reflected only, and the sphere of their influence is confined to their own orbit — their contemporaries. Their path is one of change and movement, and with the circuit of a few years their tale is told. Fixed stars are the only ones that are constant; their position in the firmament is secure; they shine with a light of their own; their effect to-day is the same as it was yesterday, because, having no parallax, their appearance does not alter with a difference in our standpoint. They belong not to *one* system, *one* nation only, but to the universe. And just because they are so very far away, it is usually many years before their light is visible to the inhabitants of this earth.

We have seen in the previous chapter that where a man's merits are of a high order, it is difficult for him to win reputation, because the public is uncritical and lacks discernment. But another and no less serious hindrance to fame comes from the envy it has to encounter. For even in the lowest kinds of work, envy balks even the beginnings of a reputation, and never ceases to cleave to it up to the last. How great a part is played by envy in the wicked ways of the world! Ariosto is right in saying that the dark side of our mortal life predominates, so full it is of this evil:

*questa assai più oscura che serena Vita mortal, tutta d'invidia piena.*

For envy is the moving spirit of that secret and informal, though flourishing, alliance everywhere made by mediocrity against individual eminence, no matter of what kind. In his own sphere of work no one will allow another to be distinguished: he is an intruder who cannot be tolerated. *Si quelq'un excelle parmi nous, qu'il aille exceller ailleurs!* this is the universal password of the second-rate. In addition, then, to the rarity of true merit and the difficulty it has in being understood and recognized, there is the envy of thousands to be reckoned with, all of them bent on suppressing, nay, on smothering it altogether. No one is taken for what he is, but for what others make of him; and this is the handle used by mediocrity to keep down

distinction, by not letting it come up as long as that can possibly be prevented.

There are two ways of behaving in regard to merit: either to have some of one's own, or to refuse any to others. The latter method is more convenient, and so it is generally adopted. As envy is a mere sign of deficiency, so to envy merit argues the lack of it. My excellent Balthazar Gracian has given a very fine account of this relation between envy and merit in a lengthy fable, which may be found in his *Discreto* under the heading *Hombre de ostentacion*. He describes all the birds as meeting together and conspiring against the peacock, because of his magnificent feathers. *If, said the magpie, we could only manage to put a stop to the cursed parading of his tail, there would soon be an end of his beauty; for what is not seen is as good as what does not exist.*

This explains how modesty came to be a virtue. It was invented only as a protection against envy. That there have always been rascals to urge this virtue, and to rejoice heartily over the bashfulness of a man of merit, has been shown at length in my chief work. In Lichtenberg's *Miscellaneous Writings* I find this sentence quoted: *Modesty should be the virtue of those who possess no other.* Goethe has a well-known saying, which offends many people: *It is only knaves who are modest! — Nur die Lumpen sind bescheiden!* but it has its prototype in Cervantes, who includes in his *Journey up Parnassus* certain rules of conduct for poets, and amongst them the following: *Everyone whose verse shows him to be a poet should have a high opinion of himself, relying on the proverb that he is a knave who thinks himself one.* And Shakespeare, in many of his Sonnets, which gave him the only opportunity he had of speaking of himself, declares, with a confidence equal to his ingenuousness, that what he writes is immortal.

A method of underrating good work often used by envy — in reality, however, only the obverse side of it — consists in the dishonorable and unscrupulous laudation of the bad; for no sooner does bad work gain currency than it draws attention from the good. But however effective this method may be for a while, especially if it is applied on a large scale, the day of reckoning comes at last, and the fleeting credit given to bad work is paid off by the lasting discredit which overtakes those who abjectly praised it. Hence these critics prefer to remain anonymous.

A like fate threatens, though more remotely, those who depreciate and censure good work; and consequently many are too prudent to attempt it. But there is another way; and when a man of eminent merit appears, the first effect he produces is often only to pique all his rivals, just as the peacock's tail offended the birds. This reduces them to a deep silence; and their silence is so unanimous that it savors of preconcertion. Their tongues are all paralyzed. It is the *silentium livoris* described by Seneca. This malicious silence, which is technically known as *ignoring*, may for a long time interfere with the growth of reputation; if, as happens in the higher walks of learning, where a man's immediate audience is wholly composed of rival workers and professed students, who then form the channel of his fame, the greater public is obliged to use its suffrage without being able to examine the matter for itself. And if, in the end, that malicious silence is broken in upon by the voice of praise, it will be but seldom that this happens entirely apart from some ulterior aim, pursued by those who thus manipulate justice. For, as Goethe says in the *West-östlicher Divan*, a man can get no recognition, either from many persons or from only one, unless it is to publish abroad the critic's own discernment:

*Denn es ist kein Anerkennen,  
Weder Vieler, noch des Einen,  
Wenn es nicht am Tage fördert,  
Wo man selbst was möchte scheinen.*

The credit you allow to another man engaged in work similar to your own or akin to it, must at bottom be withdrawn from yourself; and you can praise him only at the expense of your own claims.

Accordingly, mankind is in itself not at all inclined to award praise and reputation; it is more disposed to blame and find fault, whereby it indirectly praises itself. If, notwithstanding this, praise is won from mankind, some extraneous motive must prevail. I am not here referring to the disgraceful way in which mutual friends will puff one another into a reputation; outside of that, an effectual motive is supplied by the feeling that next to the merit of doing something oneself, comes that of correctly appreciating and recognizing what others have done. This accords with the threefold division of heads drawn up by Hesiod and afterwards by Machiavelli *There are*, says the latter, *in the capacities of mankind, three varieties: one man will*



*understand a thing by himself; another so far as it is explained to him; a third, neither of himself nor when it is put clearly before him.* He, then, who abandons hope of making good his claims to the first class, will be glad to seize the opportunity of taking a place in the second. It is almost wholly owing to this state of things that merit may always rest assured of ultimately meeting with recognition.

To this also is due the fact that when the value of a work has once been recognized and may no longer be concealed or denied, all men vie in praising and honoring it; simply because they are conscious of thereby doing themselves an honor. They act in the spirit of Xenophon's remark: *he must be a wise man who knows what is wise*. So when they see that the prize of original merit is for ever out of their reach, they hasten to possess themselves of that which comes second best — the correct appreciation of it. Here it happens as with an army which has been forced to yield; when, just as previously every man wanted to be foremost in the fight, so now every man tries to be foremost in running away. They all hurry forward to offer their applause to one who is now recognized to be worthy of praise, in virtue of a recognition, as a rule unconscious, of that law of homogeneity which I mentioned in the last chapter; so that it may seem as though their way of thinking and looking at things were homogeneous with that of the celebrated man, and that they may at least save the honor of their literary taste, since nothing else is left them.

From this it is plain that, whereas it is very difficult to win fame, it is not hard to keep it when once attained; and also that a reputation which comes quickly does not last very long; for here too, *quod cito fit, cito perit*. It is obvious that if the ordinary average man can easily recognize, and the rival workers willingly acknowledge, the value of any performance, it will not stand very much above the capacity of either of them to achieve it for themselves. *Tantum quisque laudat, quantum se posse sperat imitari* — a man will praise a thing only so far as he hopes to be able to imitate it himself. Further, it is a suspicious sign if a reputation comes quickly; for an application of the laws of homogeneity will show that such a reputation is nothing but the direct applause of the multitude. What this means may be seen by a remark once made by Phocion, when he was interrupted in a speech by the loud cheers of the mob. Turning to his friends who were

standing close by, he asked: *Have I made a mistake and said something stupid?*

Contrarily, a reputation that is to last a long time must be slow in maturing, and the centuries of its duration have generally to be bought at the cost of contemporary praise. For that which is to keep its position so long, must be of a perfection difficult to attain; and even to recognize this perfection requires men who are not always to be found, and never in numbers sufficiently great to make themselves heard; whereas envy is always on the watch and doing its best to smother their voice. But with moderate talent, which soon meets with recognition, there is the danger that those who possess it will outlive both it and themselves; so that a youth of fame may be followed by an old age of obscurity. In the case of great merit, on the other hand, a man may remain unknown for many years, but make up for it later on by attaining a brilliant reputation. And if it should be that this comes only after he is no more, well! he is to be reckoned amongst those of whom Jean Paul says that extreme unction is their baptism. He may console himself by thinking of the Saints, who also are canonized only after they are dead.

Thus what Mahlmann has said so well in *Herodes* holds good; in this world truly great work never pleases at once, and the god set up by the multitude keeps his place on the altar but a short time:

*Ich denke, das wahre Grosse in der Welt  
Ist immer nur Das was nicht gleich gefällt  
Und wen der Pöbel zum Gotte weiht,  
Der steht auf dem Altar nur kurze Zeit.*

It is worth mention that this rule is most directly confirmed in the case of pictures, where, as connoisseurs well know, the greatest masterpieces are not the first to attract attention. If they make a deep impression, it is not after one, but only after repeated, inspection; but then they excite more and more admiration every time they are seen.

Moreover, the chances that any given work will be quickly and rightly appreciated, depend upon two conditions: firstly, the character of the work, whether high or low, in other words, easy or difficult to understand; and, secondly, the kind of public it attracts, whether large or small. This latter condition is, no doubt, in most instances a, corollary of the former; but it

also partly depends upon whether the work in question admits, like books and musical compositions, of being produced in great numbers. By the compound action of these two conditions, achievements which serve no materially useful end — and these alone are under consideration here — will vary in regard to the chances they have of meeting with timely recognition and due appreciation; and the order of precedence, beginning with those who have the greatest chance, will be somewhat as follows: acrobats, circus riders, ballet-dancers, jugglers, actors, singers, musicians, composers, poets (both the last on account of the multiplication of their works), architects, painters, sculptors, philosophers.

The last place of all is unquestionably taken by philosophers because their works are meant not for entertainment, but for instruction, and because they presume some knowledge on the part of the reader, and require him to make an effort of his own to understand them. This makes their public extremely small, and causes their fame to be more remarkable for its length than for its breadth. And, in general, it may be said that the possibility of a man's fame lasting a long time, stands in almost inverse ratio with the chance that it will be early in making its appearance; so that, as regards length of fame, the above order of precedence may be reversed. But, then, the poet and the composer will come in the end to stand on the same level as the philosopher; since, when once a work is committed to writing, it is possible to preserve it to all time. However, the first place still belongs by right to the philosopher, because of the much greater scarcity of good work in this sphere, and the high importance of it; and also because of the possibility it offers of an almost perfect translation into any language. Sometimes, indeed, it happens that a philosopher's fame outlives even his works themselves; as has happened with Thales, Empedocles, Heraclitus, Democritus, Parmenides, Epicurus and many others.

My remarks are, as I have said, confined to achievements that are not of any material use. Work that serves some practical end, or ministers directly to some pleasure of the senses, will never have any difficulty in being duly appreciated. No first-rate pastry-cook could long remain obscure in any town, to say nothing of having to appeal to posterity.

Under fame of rapid growth is also to be reckoned fame of a false and artificial kind; where, for instance, a book is worked into a reputation by means of unjust praise, the help of friends, corrupt criticism, prompting from above and collusion from below. All this tells upon the multitude,

which is rightly presumed to have no power of judging for itself. This sort of fame is like a swimming bladder, by its aid a heavy body may keep afloat. It bears up for a certain time, long or short according as the bladder is well sewed up and blown; but still the air comes out gradually, and the body sinks. This is the inevitable fate of all works which are famous by reason of something outside of themselves. False praise dies away; collusion comes to an end; critics declare the reputation ungrounded; it vanishes, and is replaced by so much the greater contempt. Contrarily, a genuine work, which, having the source of its fame in itself, can kindle admiration afresh in every age, resembles a body of low specific gravity, which always keeps up of its own accord, and so goes floating down the stream of time.

Men of great genius, whether their work be in poetry, philosophy or art, stand in all ages like isolated heroes, keeping up single-handed a desperate struggling against the onslaught of an army of opponents. Is not this characteristic of the miserable nature of mankind? The dullness, grossness, perversity, silliness and brutality of by far the greater part of the race, are always an obstacle to the efforts of the genius, whatever be the method of his art; they so form that hostile army to which at last he has to succumb. Let the isolated champion achieve what he may: it is slow to be acknowledged; it is late in being appreciated, and then only on the score of authority; it may easily fall into neglect again, at any rate for a while. Ever afresh it finds itself opposed by false, shallow, and insipid ideas, which are better suited to that large majority, that so generally hold the field. Though the critic may step forth and say, like Hamlet when he held up the two portraits to his wretched mother, *Have you eyes? Have you eyes?* alas! they have none. When I watch the behavior of a crowd of people in the presence of some great master's work, and mark the manner of their applause, they often remind me of trained monkeys in a show. The monkey's gestures are, no doubt, much like those of men; but now and again they betray that the real inward spirit of these gestures is not in them. Their irrational nature peeps out.

It is often said of a man that *he is in advance of his age*; and it follows from the above remarks that this must be taken to mean that he is in advance of humanity in general. Just because of this fact, a genius makes no direct appeal except to those who are too rare to allow of their ever forming a numerous body at any one period. If he is in this respect not particularly

favoured by fortune, he will be *misunderstood by his own age*; in other words, he will remain unaccepted until time gradually brings together the voices of those few persons who are capable of judging a work of such high character. Then posterity will say: *This man was in advance of his age*, instead of *in advance of humanity*; because humanity will be glad to lay the burden of its own faults upon a single epoch.

Hence, if a man has been superior to his own age, he would also have been superior to any other; provided that, in that age, by some rare and happy chance, a few just men, capable of judging in the sphere of his achievements, had been born at the same time with him; just as when, according to a beautiful Indian myth, Vischnu becomes incarnate as a hero, so, too, Brahma at the same time appears as the singer of his deeds; and hence Valmiki, Vyasa and Kalidasa are incarnations of Brahma.

In this sense, then, it may be said that every immortal work puts its age to the proof, whether or no it will be able to recognize the merit of it. As a rule, the men of any age stand such a test no better than the neighbors of Philemon and Baucis, who expelled the deities they failed to recognize. Accordingly, the right standard for judging the intellectual worth of any generation is supplied, not by the great minds that make their appearance in it — for their capacities are the work of Nature, and the possibility of cultivating them a matter of chance circumstance — but by the way in which contemporaries receive their works; whether, I mean, they give their applause soon and with a will, or late and in niggardly fashion, or leave it to be bestowed altogether by posterity.

This last fate will be especially reserved for works of a high character. For the happy chance mentioned above will be all the more certain not to come, in proportion as there are few to appreciate the kind of work done by great minds. Herein lies the immeasurable advantage possessed by poets in respect of reputation; because their work is accessible to almost everyone. If it had been possible for Sir Walter Scott to be read and criticised by only some hundred persons, perhaps in his life-time any common scribbler would have been preferred to him; and afterwards, when he had taken his proper place, it would also have been said in his honor that he was *in advance of his age*. But if envy, dishonesty and the pursuit of personal aims are added to the incapacity of those hundred persons who, in the name of their generation, are called upon to pass judgment on a work, then indeed it

meets with the same sad fate as attends a suitor who pleads before a tribunal of judges one and all corrupt.

In corroboration of this, we find that the history of literature generally shows all those who made knowledge and insight their goal to have remained unrecognized and neglected, whilst those who paraded with the vain show of it received the admiration of their contemporaries, together with the emoluments.

The effectiveness of an author turns chiefly upon his getting the reputation that he should be read. But by practicing various arts, by the operation of chance, and by certain natural affinities, this reputation is quickly won by a hundred worthless people: while a worthy writer may come by it very slowly and tardily. The former possess friends to help them; for the rabble is always a numerous body which holds well together. The latter has nothing but enemies; because intellectual superiority is everywhere and under all circumstances the most hateful thing in the world, and especially to bunglers in the same line of work, who want to pass for something themselves.

This being so, it is a prime condition for doing any great work — any work which is to outlive its own age, that a man pay no heed to his contemporaries, their views and opinions, and the praise or blame which they bestow. This condition is, however, fulfilled of itself when a man really does anything great, and it is fortunate that it is so. For if, in producing such a work, he were to look to the general opinion or the judgment of his colleagues, they would lead him astray at every step. Hence, if a man wants to go down to posterity, he must withdraw from the influence of his own age. This will, of course, generally mean that he must also renounce any influence upon it, and be ready to buy centuries of fame by foregoing the applause of his contemporaries.

For when any new and wide-reaching truth comes into the world — and if it is new, it must be paradoxical — an obstinate stand will be made against it as long as possible; nay, people will continue to deny it even after they slacken their opposition and are almost convinced of its truth. Meanwhile it goes on quietly working its way, and, like an acid, undermining everything around it. From time to time a crash is heard; the old error comes tottering to the ground, and suddenly the new fabric of thought stands revealed, as though it were a monument just uncovered. Everyone recognizes and admires it. To be sure, this all comes to pass for

the most part very slowly. As a rule, people discover a man to be worth listening to only after he is gone; their *hear, hear*, resounds when the orator has left the platform.

Works of the ordinary type meet with a better fate. Arising as they do in the course of, and in connection with, the general advance in contemporary culture, they are in close alliance with the spirit of their age — in other words, just those opinions which happen to be prevalent at the time. They aim at suiting the needs of the moment. If they have any merit, it is soon recognized; and they gain currency as books which reflect the latest ideas. Justice, nay, more than justice, is done to them. They afford little scope for envy; since, as was said above, a man will praise a thing only so far as he hopes to be able to imitate it himself.

But those rare works which are destined to become the property of all mankind and to live for centuries, are, at their origin, too far in advance of the point at which culture happens to stand, and on that very account foreign to it and the spirit of their own time. They neither belong to it nor are they in any connection with it, and hence they excite no interest in those who are dominated by it. They belong to another, a higher stage of culture, and a time that is still far off. Their course is related to that of ordinary works as the orbit of Uranus to the orbit of Mercury. For the moment they get no justice done to them. People are at a loss how to treat them; so they leave them alone, and go their own snail's pace for themselves. Does the worm see the eagle as it soars aloft?

Of the number of books written in any language about one in 100,000 forms a part of its real and permanent literature. What a fate this one book has to endure before it outstrips those 100,000 and gains its due place of honor! Such a book is the work of an extraordinary and eminent mind, and therefore it is specifically different from the others; a fact which sooner or later becomes manifest.

Let no one fancy that things will ever improve in this respect. No! the miserable constitution of humanity never changes, though it may, to be sure, take somewhat varying forms with every generation. A distinguished mind seldom has its full effect in the life-time of its possessor; because, at bottom, it is completely and properly understood only by minds already akin to it.

As it is a rare thing for even one man out of many millions to tread the path that leads to immortality, he must of necessity be very lonely. The

journey to posterity lies through a horribly dreary region, like the Lybian desert, of which, as is well known, no one has any idea who has not seen it for himself. Meanwhile let me before all things recommend the traveler to take light baggage with him; otherwise he will have to throw away too much on the road. Let him never forget the words of Balthazar Gracian: *lo bueno si breve, dos veces bueno* — good work is doubly good if it is short. This advice is specially applicable to my own countrymen.

Compared with the short span of time they live, men of great intellect are like huge buildings, standing on a small plot of ground. The size of the building cannot be seen by anyone, just in front of it; nor, for an analogous reason, can the greatness of a genius be estimated while he lives. But when a century has passed, the world recognizes it and wishes him back again.

If the perishable son of time has produced an imperishable work, how short his own life seems compared with that of his child! He is like Semela or Maia — a mortal mother who gave birth to an immortal son; or, contrarily, he is like Achilles in regard to Thetis. What a contrast there is between what is fleeting and what is permanent! The short span of a man's life, his necessitous, afflicted, unstable existence, will seldom allow of his seeing even the beginning of his immortal child's brilliant career; nor will the father himself be taken for that which he really is. It may be said, indeed, that a man whose fame comes after him is the reverse of a nobleman, who is preceded by it.

However, the only difference that it ultimately makes to a man to receive his fame at the hands of contemporaries rather than from posterity is that, in the former case, his admirers are separated from him by space, and in the latter by time. For even in the case of contemporary fame, a man does not, as a rule, see his admirers actually before him. Reverence cannot endure close proximity; it almost always dwells at some distance from its object; and in the presence of the person revered it melts like butter in the sun. Accordingly, if a man is celebrated with his contemporaries, nine-tenths of those amongst whom he lives will let their esteem be guided by his rank and fortune; and the remaining tenth may perhaps have a dull consciousness of his high qualities, because they have heard about him from remote quarters. There is a fine Latin letter of Petrarch's on this incompatibility between reverence and the presence of the person, and between fame and life. It comes second in his *Epistolae familiares*? and it is addressed to Thomas Messanensis. He there observes, amongst other things, that the learned men



of his age all made it a rule to think little of a man's writings if they had even once seen him.

Since distance, then, is essential if a famous man is to be recognized and revered, it does not matter whether it is distance of space or of time. It is true that he may sometimes hear of his fame in the one case, but never in the other; but still, genuine and great merit may make up for this by confidently anticipating its posthumous fame. Nay, he who produces some really great thought is conscious of his connection with coming generations at the very moment he conceives it; so that he feels the extension of his existence through centuries and thus lives *with* posterity as well as *for* it. And when, after enjoying a great man's work, we are seized with admiration for him, and wish him back, so that we might see and speak with him, and have him in our possession, this desire of ours is not unrequited; for he, too, has had his longing for that posterity which will grant the recognition, honor, gratitude and love denied by envious contemporaries.

If intellectual works of the highest order are not allowed their due until they come before the tribunal of posterity, a contrary fate is prepared for certain brilliant errors which proceed from men of talent, and appear with an air of being well grounded. These errors are defended with so much acumen and learning that they actually become famous with their own age, and maintain their position at least during their author's lifetime. Of this sort are many false theories and wrong criticisms; also poems and works of art, which exhibit some false taste or mannerism favored by contemporary prejudice. They gain reputation and currency simply because no one is yet forthcoming who knows how to refute them or otherwise prove their falsity; and when he appears, as he usually does, in the next generation, the glory of these works is brought to an end. Posthumous judges, be their decision favorable to the appellant or not, form the proper court for quashing the verdict of contemporaries. That is why it is so difficult and so rare to be victorious alike in both tribunals.

The unfailing tendency of time to correct knowledge and judgment should always be kept in view as a means of allaying anxiety, whenever any grievous error appears, whether in art, or science, or practical life, and gains ground; or when some false and thoroughly perverse policy of movement is undertaken and receives applause at the hands of men. No one should be angry, or, still less, despondent; but simply imagine that the world has already abandoned the error in question, and now only requires time and

experience to recognize of its own accord that which a clear vision detected at the first glance.

When the facts themselves are eloquent of a truth, there is no need to rush to its aid with words: for time will give it a thousand tongues. How long it may be before they speak, will of course depend upon the difficulty of the subject and the plausibility of the error; but come they will, and often it would be of no avail to try to anticipate them. In the worst cases it will happen with theories as it happens with affairs in practical life; where sham and deception, emboldened by success, advance to greater and greater lengths, until discovery is made almost inevitable. It is just so with theories; through the blind confidence of the blockheads who broach them, their absurdity reaches such a pitch that at last it is obvious even to the dullest eye. We may thus say to such people: *the wilder your statements, the better.*

There is also some comfort to be found in reflecting upon all the whims and crotchets which had their day and have now utterly vanished. In style, in grammar, in spelling, there are false notions of this sort which last only three or four years. But when the errors are on a large scale, while we lament the brevity of human life, we shall in any case, do well to lag behind our own age when we see it on a downward path. For there are two ways of not keeping on a level with the times. A man may be below it; or he may be above it.

## ON GENIUS.

No difference of rank, position, or birth, is so great as the gulf that separates the countless millions who use their head only in the service of their belly, in other words, look upon it as an instrument of the will, and those very few and rare persons who have the courage to say: No! it is too good for that; my head shall be active only in its own service; it shall try to comprehend the wondrous and varied spectacle of this world, and then reproduce it in some form, whether as art or as literature, that may answer to my character as an individual. These are the truly noble, the real *noblesse* of the world. The others are serfs and go with the soil — *glebae adscripti*. Of course, I am here referring to those who have not only the courage, but also the call, and therefore the right, to order the head to quit the service of the will; with a result that proves the sacrifice to have been worth the making. In the case of those to whom all this can only partially apply, the gulf is not so wide; but even though their talent be small, so long as it is real, there will always be a sharp line of demarcation between them and the millions.

The works of fine art, poetry and philosophy produced by a nation are the outcome of the superfluous intellect existing in it.

For him who can understand aright — *cum grano salis* — the relation between the genius and the normal man may, perhaps, be best expressed as follows: A genius has a double intellect, one for himself and the service of his will; the other for the world, of which he becomes the mirror, in virtue of his purely objective attitude towards it. The work of art or poetry or philosophy produced by the genius is simply the result, or quintessence, of this contemplative attitude, elaborated according to certain technical rules.

The normal man, on the other hand, has only a single intellect, which may be called *subjective* by contrast with the *objective* intellect of genius. However acute this subjective intellect may be — and it exists in very various degrees of perfection — it is never on the same level with the double intellect of genius; just as the open chest notes of the human voice, however high, are essentially different from the falsetto notes. These, like the two upper octaves of the flute and the harmonics of the violin, are produced by the column of air dividing itself into two vibrating halves, with a node between them; while the open chest notes of the human voice and the lower octave of the flute are produced by the undivided column of air

vibrating as a whole. This illustration may help the reader to understand that specific peculiarity of genius which is unmistakably stamped on the works, and even on the physiognomy, of him who is gifted with it. At the same time it is obvious that a double intellect like this must, as a rule, obstruct the service of the will; and this explains the poor capacity often shown by genius in the conduct of life. And what specially characterizes genius is that it has none of that sobriety of temper which is always to be found in the ordinary simple intellect, be it acute or dull.

The brain may be likened to a parasite which is nourished as a part of the human frame without contributing directly to its inner economy; it is securely housed in the topmost story, and there leads a self-sufficient and independent life. In the same way it may be said that a man endowed with great mental gifts leads, apart from the individual life common to all, a second life, purely of the intellect. He devotes himself to the constant increase, rectification and extension, not of mere learning, but of real systematic knowledge and insight; and remains untouched by the fate that overtakes him personally, so long as it does not disturb him in his work. It is thus a life which raises a man and sets him above fate and its changes. Always thinking, learning, experimenting, practicing his knowledge, the man soon comes to look upon this second life as the chief mode of existence, and his merely personal life as something subordinate, serving only to advance ends higher than itself.

An example of this independent, separate existence is furnished by Goethe. During the war in the Champagne, and amid all the bustle of the camp, he made observations for his theory of color; and as soon as the numberless calamities of that war allowed of his retiring for a short time to the fortress of Luxembourg, he took up the manuscript of his *Farbenlehre*. This is an example which we, the salt of the earth, should endeavor to follow, by never letting anything disturb us in the pursuit of our intellectual life, however much the storm of the world may invade and agitate our personal environment; always remembering that we are the sons, not of the bondwoman, but of the free. As our emblem and coat of arms, I propose a tree mightily shaken by the wind, but still bearing its ruddy fruit on every branch; with the motto *Dum convellor mitescunt*, or *Conquassata sed ferax*.

That purely intellectual life of the individual has its counterpart in humanity as a whole. For there, too, the real life is the life of the *will*, both in the empirical and in the transcendental meaning of the word. The purely

intellectual life of humanity lies in its effort to increase knowledge by means of the sciences, and its desire to perfect the arts. Both science and art thus advance slowly from one generation to another, and grow with the centuries, every race as it hurries by furnishing its contribution. This intellectual life, like some gift from heaven, hovers over the stir and movement of the world; or it is, as it were, a sweet-scented air developed out of the ferment itself — the real life of mankind, dominated by will; and side by side with the history of nations, the history of philosophy, science and art takes its innocent and bloodless way.

The difference between the genius and the ordinary man is, no doubt, a *quantitative* one, in so far as it is a difference of degree; but I am tempted to regard it also as *qualitative*, in view of the fact that ordinary minds, notwithstanding individual variation, have a certain tendency to think alike. Thus on similar occasions their thoughts at once all take a similar direction, and run on the same lines; and this explains why their judgments constantly agree — not, however, because they are based on truth. To such lengths does this go that certain fundamental views obtain amongst mankind at all times, and are always being repeated and brought forward anew, whilst the great minds of all ages are in open or secret opposition to them.

A genius is a man in whose mind the world as an object is presented in a mirror, but with a degree more of clearness and a greater distinction of outline than is attained by ordinary people. It is from him that humanity may look for most instruction; for the deepest insight into the most important matters is to be acquired, not by an observant attention to detail, but by a close study of things as a whole. And if his mind reaches maturity, the instruction he gives will be conveyed now in one form, now in another. Thus genius may be defined as an eminently clear consciousness of things in general, and therefore, also of that which is opposed to them, namely, one's own self.

The world looks up to a man thus endowed, and expects to learn something about life and its real nature. But several highly favorable circumstances must combine to produce genius, and this is a very rare event. It happens only now and then, let us say once in a century, that a man is born whose intellect so perceptibly surpasses the normal measure as to amount to that second faculty which seems to be accidental, as it is out of all relation to the will. He may remain a long time without being recognized or appreciated, stupidity preventing the one and envy the other. But should

this once come to pass, mankind will crowd round him and his works, in the hope that he may be able to enlighten some of the darkness of their existence or inform them about it. His message is, to some extent, a revelation, and he himself a higher being, even though he may be but little above the ordinary standard.

Like the ordinary man, the genius is what he is chiefly for himself. This is essential to his nature: a fact which can neither be avoided nor altered, he may be for others remains a matter of chance and of secondary importance. In no case can people receive from his mind more than a reflection, and then only when he joins with them in the attempt to get his thought into their heads; where, however, it is never anything but an exotic plant, stunted and frail.

In order to have original, uncommon, and perhaps even immortal thoughts, it is enough to estrange oneself so fully from the world of things for a few moments, that the most ordinary objects and events appear quite new and unfamiliar. In this way their true nature is disclosed. What is here demanded cannot, perhaps, be said to be difficult; it is not in our power at all, but is just the province of genius.

By itself, genius can produce original thoughts just as little as a woman by herself can bear children. Outward circumstances must come to fructify genius, and be, as it were, a father to its progeny.

The mind of genius is among other minds what the carbuncle is among precious stones: it sends forth light of its own, while the others reflect only that which they have received. The relation of the genius to the ordinary mind may also be described as that of an idio-electrical body to one which merely is a conductor of electricity.

The mere man of learning, who spends his life in teaching what he has learned, is not strictly to be called a man of genius; just as idio-electrical bodies are not conductors. Nay, genius stands to mere learning as the words to the music in a song. A man of learning is a man who has learned a great deal; a man of genius, one from whom we learn something which the genius has learned from nobody. Great minds, of which there is scarcely one in a hundred millions, are thus the lighthouses of humanity; and without them mankind would lose itself in the boundless sea of monstrous error and bewilderment.

And so the simple man of learning, in the strict sense of the word — the ordinary professor, for instance — looks upon the genius much as we look

upon a hare, which is good to eat after it has been killed and dressed up. So long as it is alive, it is only good to shoot at.

He who wishes to experience gratitude from his contemporaries, must adjust his pace to theirs. But great things are never produced in this way. And he who wants to do great things must direct his gaze to posterity, and in firm confidence elaborate his work for coming generations. No doubt, the result may be that he will remain quite unknown to his contemporaries, and comparable to a man who, compelled to spend his life upon a lonely island, with great effort sets up a monument there, to transmit to future sea-farers the knowledge of his existence. If he thinks it a hard fate, let him console himself with the reflection that the ordinary man who lives for practical aims only, often suffers a like fate, without having any compensation to hope for; inasmuch as he may, under favorable conditions, spend a life of material production, earning, buying, building, fertilizing, laying out, founding, establishing, beautifying with daily effort and unflagging zeal, and all the time think that he is working for himself; and yet in the end it is his descendants who reap the benefit of it all, and sometimes not even his descendants. It is the same with the man of genius; he, too, hopes for his reward and for honor at least; and at last finds that he has worked for posterity alone. Both, to be sure, have inherited a great deal from their ancestors.

The compensation I have mentioned as the privilege of genius lies, not in what it is to others, but in what it is to itself. What man has in any real sense lived more than he whose moments of thought make their echoes heard through the tumult of centuries? Perhaps, after all, it would be the best thing for a genius to attain undisturbed possession of himself, by spending his life in enjoying the pleasure of his own thoughts, his own works, and by admitting the world only as the heir of his ample existence. Then the world would find the mark of his existence only after his death, as it finds that of the Ichnolith.

It is not only in the activity of his highest powers that the genius surpasses ordinary people. A man who is unusually well-knit, supple and agile, will perform all his movements with exceptional ease, even with comfort, because he takes a direct pleasure in an activity for which he is particularly well-equipped, and therefore often exercises it without any object. Further, if he is an acrobat or a dancer, not only does he take leaps which other people cannot execute, but he also betrays rare elasticity and

agility in those easier steps which others can also perform, and even in ordinary walking. In the same way a man of superior mind will not only produce thoughts and works which could never have come from another; it will not be here alone that he will show his greatness; but as knowledge and thought form a mode of activity natural and easy to him, he will also delight himself in them at all times, and so apprehend small matters which are within the range of other minds, more easily, quickly and correctly than they. Thus he will take a direct and lively pleasure in every increase of Knowledge, every problem solved, every witty thought, whether of his own or another's; and so his mind will have no further aim than to be constantly active. This will be an inexhaustible spring of delight; and boredom, that spectre which haunts the ordinary man, can never come near him.

Then, too, the masterpieces of past and contemporary men of genius exist in their fullness for him alone. If a great product of genius is recommended to the ordinary, simple mind, it will take as much pleasure in it as the victim of gout receives in being invited to a ball. The one goes for the sake of formality, and the other reads the book so as not to be in arrear. For La Bruyère was quite right when he said: *All the wit in the world is lost upon him who has none*. The whole range of thought of a man of talent, or of a genius, compared with the thoughts of the common man, is, even when directed to objects essentially the same, like a brilliant oil-painting, full of life, compared with a mere outline or a weak sketch in water-color.

All this is part of the reward of genius, and compensates him for a lonely existence in a world with which he has nothing in common and no sympathies. But since size is relative, it comes to the same thing whether I say, Caius was a great man, or Caius has to live amongst wretchedly small people: for Brobdingnack and Lilliput vary only in the point from which they start. However great, then, however admirable or instructive, a long posterity may think the author of immortal works, during his lifetime he will appear to his contemporaries small, wretched, and insipid in proportion. This is what I mean by saying that as there are three hundred degrees from the base of a tower to the summit, so there are exactly three hundred from the summit to the base. Great minds thus owe little ones some indulgence; for it is only in virtue of these little minds that they themselves are great.

Let us, then, not be surprised if we find men of genius generally unsociable and repellent. It is not their want of sociability that is to blame.



Their path through the world is like that of a man who goes for a walk on a bright summer morning. He gazes with delight on the beauty and freshness of nature, but he has to rely wholly on that for entertainment; for he can find no society but the peasants as they bend over the earth and cultivate the soil. It is often the case that a great mind prefers soliloquy to the dialogue he may have in this world. If he condescends to it now and then, the hollowness of it may possibly drive him back to his soliloquy; for in forgetfulness of his interlocutor, or caring little whether he understands or not, he talks to him as a child talks to a doll.

Modesty in a great mind would, no doubt, be pleasing to the world; but, unluckily, it is a *contradictio in adjecto*. It would compel a genius to give the thoughts and opinions, nay, even the method and style, of the million preference over his own; to set a higher value upon them; and, wide apart as they are, to bring his views into harmony with theirs, or even suppress them altogether, so as to let the others hold the field. In that case, however, he would either produce nothing at all, or else his achievements would be just upon a level with theirs. Great, genuine and extraordinary work can be done only in so far as its author disregards the method, the thoughts, the opinions of his contemporaries, and quietly works on, in spite of their criticism, on his side despising what they praise. No one becomes great without arrogance of this sort. Should his life and work fall upon a time which cannot recognize and appreciate him, he is at any rate true to himself; like some noble traveler forced to pass the night in a miserable inn; when morning comes, he contentedly goes his way.

A poet or philosopher should have no fault to find with his age if it only permits him to do his work undisturbed in his own corner; nor with his fate if the corner granted him allows of his following his vocation without having to think about other people.

For the brain to be a mere laborer in the service of the belly, is indeed the common lot of almost all those who do not live on the work of their hands; and they are far from being discontented with their lot. But it strikes despair into a man of great mind, whose brain-power goes beyond the measure necessary for the service of the will; and he prefers, if need be, to live in the narrowest circumstances, so long as they afford him the free use of his time for the development and application of his faculties; in other words, if they give him the leisure which is invaluable to him.

It is otherwise with ordinary people: for them leisure has no value in itself, nor is it, indeed, without its dangers, as these people seem to know. The technical work of our time, which is done to an unprecedented perfection, has, by increasing and multiplying objects of luxury, given the favorites of fortune a choice between more leisure and culture upon the one side, and additional luxury and good living, but with increased activity, upon the other; and, true to their character, they choose the latter, and prefer champagne to freedom. And they are consistent in their choice; for, to them, every exertion of the mind which does not serve the aims of the will is folly. Intellectual effort for its own sake, they call eccentricity. Therefore, persistence in the aims of the will and the belly will be concentricity; and, to be sure, the will is the centre, the kernel of the world.

But in general it is very seldom that any such alternative is presented. For as with money, most men have no superfluity, but only just enough for their needs, so with intelligence; they possess just what will suffice for the service of the will, that is, for the carrying on of their business. Having made their fortune, they are content to gape or to indulge in sensual pleasures or childish amusements, cards or dice; or they will talk in the dullest way, or dress up and make obeisance to one another. And how few are those who have even a little superfluity of intellectual power! Like the others they too make themselves a pleasure; but it is a pleasure of the intellect. Either they will pursue some liberal study which brings them in nothing, or they will practice some art; and in general, they will be capable of taking an objective interest in things, so that it will be possible to converse with them. But with the others it is better not to enter into any relations at all; for, except when they tell the results of their own experience or give an account of their special vocation, or at any rate impart what they have learned from some one else, their conversation will not be worth listening to; and if anything is said to them, they will rarely grasp or understand it aright, and it will in most cases be opposed to their own opinions. Balthazar Gracian describes them very strikingly as men who are not men — *hombres che non lo son*. And Giordano Bruno says the same thing: *What a difference there is in having to do with men compared with those who are only made in their image and likeness!* And how wonderfully this passage agrees with that remark in the Kurral: *The common people look like men but I have never seen anything quite like them*. If the reader will consider the extent to which these ideas agree in thought and even in

expression, and in the wide difference between them in point of date and nationality, he cannot doubt but that they are at one with the facts of life. It was certainly not under the influence of those passages that, about twenty years ago, I tried to get a snuff-box made, the lid of which should have two fine chestnuts represented upon it, if possible in mosaic; together with a leaf which was to show that they were horse-chestnuts. This symbol was meant to keep the thought constantly before my mind. If anyone wishes for entertainment, such as will prevent him feeling solitary even when he is alone, let me recommend the company of dogs, whose moral and intellectual qualities may almost afford delight and gratification.

Still, we should always be careful to avoid being unjust. I am often surprised by the cleverness, and now and again by the stupidity of my dog; and I have similar experiences with mankind. Countless times, in indignation at their incapacity, their total lack of discernment, their bestiality, I have been forced to echo the old complaint that folly is the mother and the nurse of the human race:

*Humani generis mater nutrixque profecto Stultitia est.*

But at other times I have been astounded that from such a race there could have gone forth so many arts and sciences, abounding in so much use and beauty, even though it has always been the few that produce them. Yet these arts and sciences have struck root, established and perfected themselves: and the race has with persistent fidelity preserved Homer, Plato, Horace and others for thousands of years, by copying and treasuring their writings, thus saving them from oblivion, in spite of all the evils and atrocities that have happened in the world. Thus the race has proved that it appreciates the value of these things, and at the same time it can form a correct view of special achievements or estimate signs of judgment and intelligence. When this takes place amongst those who belong to the great multitude, it is by a kind of inspiration. Sometimes a correct opinion will be formed by the multitude itself; but this is only when the chorus of praise has grown full and complete. It is then like the sound of untrained voices; where there are enough of them, it is always harmonious.

Those who emerge from the multitude, those who are called men of genius, are merely the *lucida intervalla* of the whole human race. They achieve that which others could not possibly achieve. Their originality is so great that not only is their divergence from others obvious, but their individuality is expressed with such force, that all the men of genius who

have ever existed show, every one of them, peculiarities of character and mind; so that the gift of his works is one which he alone of all men could ever have presented to the world. This is what makes that simile of Ariosto's so true and so justly celebrated: *Natura lo fece e poi ruppe lo stampo*. After Nature stamps a man of genius, she breaks the die.

But there is always a limit to human capacity; and no one can be a great genius without having some decidedly weak side, it may even be, some intellectual narrowness. In other words, there will be some faculty in which he is now and then inferior to men of moderate endowments. It will be a faculty which, if strong, might have been an obstacle to the exercise of the qualities in which he excels. What this weak point is, it will always be hard to define with any accuracy even in a given case. It may be better expressed indirectly; thus Plato's weak point is exactly that in which Aristotle is strong, and *vice versa*; and so, too, Kant is deficient just where Goethe is great.

Now, mankind is fond of venerating something; but its veneration is generally directed to the wrong object, and it remains so directed until posterity comes to set it right. But the educated public is no sooner set right in this, than the honor which is due to genius degenerates; just as the honor which the faithful pay to their saints easily passes into a frivolous worship of relics. Thousands of Christians adore the relics of a saint whose life and doctrine are unknown to them; and the religion of thousands of Buddhists lies more in veneration of the Holy Tooth or some such object, or the vessel that contains it, or the Holy Bowl, or the fossil footprint, or the Holy Tree which Buddha planted, than in the thorough knowledge and faithful practice of his high teaching. Petrarch's house in Arquà; Tasso's supposed prison in Ferrara; Shakespeare's house in Stratford, with his chair; Goethe's house in Weimar, with its furniture; Kant's old hat; the autographs of great men; these things are gaped at with interest and awe by many who have never read their works. They cannot do anything more than just gape.

The intelligent amongst them are moved by the wish to see the objects which the great man habitually had before his eyes; and by a strange illusion, these produce the mistaken notion that with the objects they are bringing back the man himself, or that something of him must cling to them. Akin to such people are those who earnestly strive to acquaint themselves with the subject-matter of a poet's works, or to unravel the personal circumstances and events in his life which have suggested

particular passages. This is as though the audience in a theatre were to admire a fine scene and then rush upon the stage to look at the scaffolding that supports it. There are in our day enough instances of these critical investigators, and they prove the truth of the saying that mankind is interested, not in the *form* of a work, that is, in its manner of treatment, but in its actual matter. All it cares for is the theme. To read a philosopher's biography, instead of studying his thoughts, is like neglecting a picture and attending only to the style of its frame, debating whether it is carved well or ill, and how much it cost to gild it.

This is all very well. However, there is another class of persons whose interest is also directed to material and personal considerations, but they go much further and carry it to a point where it becomes absolutely futile. Because a great man has opened up to them the treasures of his inmost being, and, by a supreme effort of his faculties, produced works which not only redound to their elevation and enlightenment, but will also benefit their posterity to the tenth and twentieth generation; because he has presented mankind with a matchless gift, these varlets think themselves justified in sitting in judgment upon his personal morality, and trying if they cannot discover here or there some spot in him which will soothe the pain they feel at the sight of so great a mind, compared with the overwhelming feeling of their own nothingness.

This is the real source of all those prolix discussions, carried on in countless books and reviews, on the moral aspect of Goethe's life, and whether he ought not to have married one or other of the girls with whom he fell in love in his young days; whether, again, instead of honestly devoting himself to the service of his master, he should not have been a man of the people, a German patriot, worthy of a seat in the *Paulskirche*, and so on. Such crying ingratitude and malicious detraction prove that these self-constituted judges are as great knaves morally as they are intellectually, which is saying a great deal.

A man of talent will strive for money and reputation; but the spring that moves genius to the production of its works is not as easy to name. Wealth is seldom its reward. Nor is it reputation or glory; only a Frenchman could mean that. Glory is such an uncertain thing, and, if you look at it closely, of so little value. Besides it never corresponds to the effort you have made:

*Responsura tuo nunquam est par fama labori.*

Nor, again, is it exactly the pleasure it gives you; for this is almost outweighed by the greatness of the effort. It is rather a peculiar kind of instinct, which drives the man of genius to give permanent form to what he sees and feels, without being conscious of any further motive. It works, in the main, by a necessity similar to that which makes a tree bear its fruit; and no external condition is needed but the ground upon which it is to thrive.

On a closer examination, it seems as though, in the case of a genius, the will to live, which is the spirit of the human species, were conscious of having, by some rare chance, and for a brief period, attained a greater clearness of vision, and were now trying to secure it, or at least the outcome of it, for the whole species, to which the individual genius in his inmost being belongs; so that the light which he sheds about him may pierce the darkness and dullness of ordinary human consciousness and there produce some good effect.

Arising in some such way, this instinct drives the genius to carry his work to completion, without thinking of reward or applause or sympathy; to leave all care for his own personal welfare; to make his life one of industrious solitude, and to strain his faculties to the utmost. He thus comes to think more about posterity than about contemporaries; because, while the latter can only lead him astray, posterity forms the majority of the species, and time will gradually bring the discerning few who can appreciate him. Meanwhile it is with him as with the artist described by Goethe; he has no princely patron to prize his talents, no friend to rejoice with him:

*Ein Fürst der die Talente schätzt, Ein Freund, der sich mit mir ergötzt,  
Die haben leider mir gefehlt.*

His work is, as it were, a sacred object and the true fruit of his life, and his aim in storing it away for a more discerning posterity will be to make it the property of mankind. An aim like this far surpasses all others, and for it he wears the crown of thorns which is one day to bloom into a wreath of laurel. All his powers are concentrated in the effort to complete and secure his work; just as the insect, in the last stage of its development, uses its whole strength on behalf of a brood it will never live to see; it puts its eggs in some place of safety, where, as it well knows, the young will one day find life and nourishment, and then dies in confidence.

# STUDIES IN PESSIMISM



*Translated by T. Bailey Saunders*

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## NOTE.

The Essays here presented form a further selection from Schopenhauer's *Parerga*, brought together under a title which is not to be found in the original, and does not claim to apply to every chapter in the volume. The first essay is, in the main, a rendering of the philosopher's remarks under the heading of *Nachträge zur Lehre vom Leiden der Welt*, together with certain parts of another section entitled *Nachträge zur Lehre von der Bejahung und Verneinung des Willens zum Leben*. Such omissions as I have made are directed chiefly by the desire to avoid repeating arguments already familiar to readers of the other volumes in this series. The *Dialogue on Immortality* sums up views expressed at length in the philosopher's chief work, and treated again in the *Parerga*. The *Psychological Observations* in this and the previous volume practically exhaust the chapter of the original which bears this title.

The essay on *Women* must not be taken in jest. It expresses Schopenhauer's serious convictions; and, as a penetrating observer of the faults of humanity, he may be allowed a hearing on a question which is just now receiving a good deal of attention among us.

T.B.S.



## ON THE SUFFERINGS OF THE WORLD.

Unless *suffering* is the direct and immediate object of life, our existence must entirely fail of its aim. It is absurd to look upon the enormous amount of pain that abounds everywhere in the world, and originates in needs and necessities inseparable from life itself, as serving no purpose at all and the result of mere chance. Each separate misfortune, as it comes, seems, no doubt, to be something exceptional; but misfortune in general is the rule.

I know of no greater absurdity than that propounded by most systems of philosophy in declaring evil to be negative in its character. Evil is just what is positive; it makes its own existence felt. Leibnitz is particularly concerned to defend this absurdity; and he seeks to strengthen his position by using a palpable and paltry sophism. It is the good which is negative; in other words, happiness and satisfaction always imply some desire fulfilled, some state of pain brought to an end.

This explains the fact that we generally find pleasure to be not nearly so pleasant as we expected, and pain very much more painful.

The pleasure in this world, it has been said, outweighs the pain; or, at any rate, there is an even balance between the two. If the reader wishes to see shortly whether this statement is true, let him compare the respective feelings of two animals, one of which is engaged in eating the other.

The best consolation in misfortune or affliction of any kind will be the thought of other people who are in a still worse plight than yourself; and this is a form of consolation open to every one. But what an awful fate this means for mankind as a whole!

We are like lambs in a field, disporting themselves under the eye of the butcher, who chooses out first one and then another for his prey. So it is that in our good days we are all unconscious of the evil Fate may have presently in store for us — sickness, poverty, mutilation, loss of sight or reason.

No little part of the torment of existence lies in this, that Time is continually pressing upon us, never letting us take breath, but always coming after us, like a taskmaster with a whip. If at any moment Time stays his hand, it is only when we are delivered over to the misery of boredom.

But misfortune has its uses; for, as our bodily frame would burst asunder if the pressure of the atmosphere was removed, so, if the lives of men were relieved of all need, hardship and adversity; if everything they took in hand were successful, they would be so swollen with arrogance that, though they

might not burst, they would present the spectacle of unbridled folly — nay, they would go mad. And I may say, further, that a certain amount of care or pain or trouble is necessary for every man at all times. A ship without ballast is unstable and will not go straight.

Certain it is that *work, worry, labor* and *trouble*, form the lot of almost all men their whole life long. But if all wishes were fulfilled as soon as they arose, how would men occupy their lives? what would they do with their time? If the world were a paradise of luxury and ease, a land flowing with milk and honey, where every Jack obtained his Jill at once and without any difficulty, men would either die of boredom or hang themselves; or there would be wars, massacres, and murders; so that in the end mankind would inflict more suffering on itself than it has now to accept at the hands of Nature.

In early youth, as we contemplate our coming life, we are like children in a theatre before the curtain is raised, sitting there in high spirits and eagerly waiting for the play to begin. It is a blessing that we do not know what is really going to happen. Could we foresee it, there are times when children might seem like innocent prisoners, condemned, not to death, but to life, and as yet all unconscious of what their sentence means. Nevertheless, every man desires to reach old age; in other words, a state of life of which it may be said: “It is bad to-day, and it will be worse to-morrow; and so on till the worst of all.”

If you try to imagine, as nearly as you can, what an amount of misery, pain and suffering of every kind the sun shines upon in its course, you will admit that it would be much better if, on the earth as little as on the moon, the sun were able to call forth the phenomena of life; and if, here as there, the surface were still in a crystalline state.

Again, you may look upon life as an unprofitable episode, disturbing the blessed calm of non-existence. And, in any case, even though things have gone with you tolerably well, the longer you live the more clearly you will feel that, on the whole, life is *a disappointment, nay, a cheat*.

If two men who were friends in their youth meet again when they are old, after being separated for a life-time, the chief feeling they will have at the sight of each other will be one of complete disappointment at life as a whole; because their thoughts will be carried back to that earlier time when life seemed so fair as it lay spread out before them in the rosy light of dawn, promised so much — and then performed so little. This feeling will so

completely predominate over every other that they will not even consider it necessary to give it words; but on either side it will be silently assumed, and form the ground-work of all they have to talk about.

He who lives to see two or three generations is like a man who sits some time in the conjurer's booth at a fair, and witnesses the performance twice or thrice in succession. The tricks were meant to be seen only once; and when they are no longer a novelty and cease to deceive, their effect is gone.

While no man is much to be envied for his lot, there are countless numbers whose fate is to be deplored.

Life is a task to be done. It is a fine thing to say *defunctus est*; it means that the man has done his task.

If children were brought into the world by an act of pure reason alone, would the human race continue to exist? Would not a man rather have so much sympathy with the coming generation as to spare it the burden of existence? or at any rate not take it upon himself to impose that burden upon it in cold blood.

I shall be told, I suppose, that my philosophy is comfortless — because I speak the truth; and people prefer to be assured that everything the Lord has made is good. Go to the priests, then, and leave philosophers in peace! At any rate, do not ask us to accommodate our doctrines to the lessons you have been taught. That is what those rascals of sham philosophers will do for you. Ask them for any doctrine you please, and you will get it. Your University professors are bound to preach optimism; and it is an easy and agreeable task to upset their theories.

I have reminded the reader that every state of welfare, every feeling of satisfaction, is negative in its character; that is to say, it consists in freedom from pain, which is the positive element of existence. It follows, therefore, that the happiness of any given life is to be measured, not by its joys and pleasures, but by the extent to which it has been free from suffering — from positive evil. If this is the true standpoint, the lower animals appear to enjoy a happier destiny than man. Let us examine the matter a little more closely.

However varied the forms that human happiness and misery may take, leading a man to seek the one and shun the other, the material basis of it all is bodily pleasure or bodily pain. This basis is very restricted: it is simply health, food, protection from wet and cold, the satisfaction of the sexual instinct; or else the absence of these things. Consequently, as far as real physical pleasure is concerned, the man is not better off than the brute,

except in so far as the higher possibilities of his nervous system make him more sensitive to every kind of pleasure, but also, it must be remembered, to every kind of pain. But then compared with the brute, how much stronger are the passions aroused in him! what an immeasurable difference there is in the depth and vehemence of his emotions! — and yet, in the one case, as in the other, all to produce the same result in the end: namely, health, food, clothing, and so on.

The chief source of all this passion is that thought for what is absent and future, which, with man, exercises such a powerful influence upon all he does. It is this that is the real origin of his cares, his hopes, his fears — emotions which affect him much more deeply than could ever be the case with those present joys and sufferings to which the brute is confined. In his powers of reflection, memory and foresight, man possesses, as it were, a machine for condensing and storing up his pleasures and his sorrows. But the brute has nothing of the kind; whenever it is in pain, it is as though it were suffering for the first time, even though the same thing should have previously happened to it times out of number. It has no power of summing up its feelings. Hence its careless and placid temper: how much it is to be envied! But in man reflection comes in, with all the emotions to which it gives rise; and taking up the same elements of pleasure and pain which are common to him and the brute, it develops his susceptibility to happiness and misery to such a degree that, at one moment the man is brought in an instant to a state of delight that may even prove fatal, at another to the depths of despair and suicide.

If we carry our analysis a step farther, we shall find that, in order to increase his pleasures, man has intentionally added to the number and pressure of his needs, which in their original state were not much more difficult to satisfy than those of the brute. Hence luxury in all its forms; delicate food, the use of tobacco and opium, spirituous liquors, fine clothes, and the thousand and one things than he considers necessary to his existence.

And above and beyond all this, there is a separate and peculiar source of pleasure, and consequently of pain, which man has established for himself, also as the result of using his powers of reflection; and this occupies him out of all proportion to its value, nay, almost more than all his other interests put together — I mean ambition and the feeling of honor and shame; in plain words, what he thinks about the opinion other people have

of him. Taking a thousand forms, often very strange ones, this becomes the goal of almost all the efforts he makes that are not rooted in physical pleasure or pain. It is true that besides the sources of pleasure which he has in common with the brute, man has the pleasures of the mind as well. These admit of many gradations, from the most innocent trifling or the merest talk up to the highest intellectual achievements; but there is the accompanying boredom to be set against them on the side of suffering. Boredom is a form of suffering unknown to brutes, at any rate in their natural state; it is only the very cleverest of them who show faint traces of it when they are domesticated; whereas in the case of man it has become a downright scourge. The crowd of miserable wretches whose one aim in life is to fill their purses but never to put anything into their heads, offers a singular instance of this torment of boredom. Their wealth becomes a punishment by delivering them up to misery of having nothing to do; for, to escape it, they will rush about in all directions, traveling here, there and everywhere. No sooner do they arrive in a place than they are anxious to know what amusements it affords; just as though they were beggars asking where they could receive a dole! Of a truth, need and boredom are the two poles of human life. Finally, I may mention that as regards the sexual relation, a man is committed to a peculiar arrangement which drives him obstinately to choose one person. This feeling grows, now and then, into a more or less passionate love, which is the source of little pleasure and much suffering.

It is, however, a wonderful thing that the mere addition of thought should serve to raise such a vast and lofty structure of human happiness and misery; resting, too, on the same narrow basis of joy and sorrow as man holds in common with the brute, and exposing him to such violent emotions, to so many storms of passion, so much convulsion of feeling, that what he has suffered stands written and may be read in the lines on his face. And yet, when all is told, he has been struggling ultimately for the very same things as the brute has attained, and with an incomparably smaller expenditure of passion and pain.

But all this contributes to increase the measures of suffering in human life out of all proportion to its pleasures; and the pains of life are made much worse for man by the fact that death is something very real to him. The brute flies from death instinctively without really knowing what it is, and therefore without ever contemplating it in the way natural to a man, who has this prospect always before his eyes. So that even if only a few

brutes die a natural death, and most of them live only just long enough to transmit their species, and then, if not earlier, become the prey of some other animal, — whilst man, on the other hand, manages to make so-called natural death the rule, to which, however, there are a good many exceptions, — the advantage is on the side of the brute, for the reason stated above. But the fact is that man attains the natural term of years just as seldom as the brute; because the unnatural way in which he lives, and the strain of work and emotion, lead to a degeneration of the race; and so his goal is not often reached.

The brute is much more content with mere existence than man; the plant is wholly so; and man finds satisfaction in it just in proportion as he is dull and obtuse. Accordingly, the life of the brute carries less of sorrow with it, but also less of joy, when compared with the life of man; and while this may be traced, on the one side, to freedom from the torment of *care* and *anxiety*, it is also due to the fact that *hope*, in any real sense, is unknown to the brute. It is thus deprived of any share in that which gives us the most and best of our joys and pleasures, the mental anticipation of a happy future, and the inspiriting play of phantasy, both of which we owe to our power of imagination. If the brute is free from care, it is also, in this sense, without hope; in either case, because its consciousness is limited to the present moment, to what it can actually see before it. The brute is an embodiment of present impulses, and hence what elements of fear and hope exist in its nature — and they do not go very far — arise only in relation to objects that lie before it and within reach of those impulses: whereas a man's range of vision embraces the whole of his life, and extends far into the past and future.

Following upon this, there is one respect in which brutes show real wisdom when compared with us — I mean, their quiet, placid enjoyment of the present moment. The tranquillity of mind which this seems to give them often puts us to shame for the many times we allow our thoughts and our cares to make us restless and discontented. And, in fact, those pleasures of hope and anticipation which I have been mentioning are not to be had for nothing. The delight which a man has in hoping for and looking forward to some special satisfaction is a part of the real pleasure attaching to it enjoyed in advance. This is afterwards deducted; for the more we look forward to anything, the less satisfaction we find in it when it comes. But the brute's enjoyment is not anticipated, and therefore, suffers no deduction; so that the

actual pleasure of the moment comes to it whole and unimpaired. In the same way, too, evil presses upon the brute only with its own intrinsic weight; whereas with us the fear of its coming often makes its burden ten times more grievous.

It is just this characteristic way in which the brute gives itself up entirely to the present moment that contributes so much to the delight we take in our domestic pets. They are the present moment personified, and in some respects they make us feel the value of every hour that is free from trouble and annoyance, which we, with our thoughts and preoccupations, mostly disregard. But man, that selfish and heartless creature, misuses this quality of the brute to be more content than we are with mere existence, and often works it to such an extent that he allows the brute absolutely nothing more than mere, bare life. The bird which was made so that it might rove over half of the world, he shuts up into the space of a cubic foot, there to die a slow death in longing and crying for freedom; for in a cage it does not sing for the pleasure of it. And when I see how man misuses the dog, his best friend; how he ties up this intelligent animal with a chain, I feel the deepest sympathy with the brute and burning indignation against its master.

We shall see later that by taking a very high standpoint it is possible to justify the sufferings of mankind. But this justification cannot apply to animals, whose sufferings, while in a great measure brought about by men, are often considerable even apart from their agency. And so we are forced to ask, Why and for what purpose does all this torment and agony exist? There is nothing here to give the will pause; it is not free to deny itself and so obtain redemption. There is only one consideration that may serve to explain the sufferings of animals. It is this: that the will to live, which underlies the whole world of phenomena, must, in their case satisfy its cravings by feeding upon itself. This it does by forming a gradation of phenomena, every one of which exists at the expense of another. I have shown, however, that the capacity for suffering is less in animals than in man. Any further explanation that may be given of their fate will be in the nature of hypothesis, if not actually mythical in its character; and I may leave the reader to speculate upon the matter for himself.

*Brahma* is said to have produced the world by a kind of fall or mistake; and in order to atone for his folly, he is bound to remain in it himself until he works out his redemption. As an account of the origin of things, that is admirable! According to the doctrines of *Buddhism*, the world came into

being as the result of some inexplicable disturbance in the heavenly calm of Nirvana, that blessed state obtained by expiation, which had endured so long a time — the change taking place by a kind of fatality. This explanation must be understood as having at bottom some moral bearing; although it is illustrated by an exactly parallel theory in the domain of physical science, which places the origin of the sun in a primitive streak of mist, formed one knows not how. Subsequently, by a series of moral errors, the world became gradually worse and worse — true of the physical orders as well — until it assumed the dismal aspect it wears to-day. Excellent! The *Greeks* looked upon the world and the gods as the work of an inscrutable necessity. A passable explanation: we may be content with it until we can get a better. Again, *Ormuzd* and *Ahriman* are rival powers, continually at war. That is not bad. But that a God like Jehovah should have created this world of misery and woe, out of pure caprice, and because he enjoyed doing it, and should then have clapped his hands in praise of his own work, and declared everything to be very good — that will not do at all! In its explanation of the origin of the world, Judaism is inferior to any other form of religious doctrine professed by a civilized nation; and it is quite in keeping with this that it is the only one which presents no trace whatever of any belief in the immortality of the soul.

Even though Leibnitz' contention, that this is the best of all possible worlds, were correct, that would not justify God in having created it. For he is the Creator not of the world only, but of possibility itself; and, therefore, he ought to have so ordered possibility as that it would admit of something better.

There are two things which make it impossible to believe that this world is the successful work of an all-wise, all-good, and, at the same time, all-powerful Being; firstly, the misery which abounds in it everywhere; and secondly, the obvious imperfection of its highest product, man, who is a burlesque of what he should be. These things cannot be reconciled with any such belief. On the contrary, they are just the facts which support what I have been saying; they are our authority for viewing the world as the outcome of our own misdeeds, and therefore, as something that had better not have been. Whilst, under the former hypothesis, they amount to a bitter accusation against the Creator, and supply material for sarcasm; under the latter they form an indictment against our own nature, our own will, and teach us a lesson of humility. They lead us to see that, like the children of a



libertine, we come into the world with the burden of sin upon us; and that it is only through having continually to atone for this sin that our existence is so miserable, and that its end is death.

There is nothing more certain than the general truth that it is the grievous *sin of the world* which has produced the grievous *suffering of the world*. I am not referring here to the physical connection between these two things lying in the realm of experience; my meaning is metaphysical. Accordingly, the sole thing that reconciles me to the Old Testament is the story of the Fall. In my eyes, it is the only metaphysical truth in that book, even though it appears in the form of an allegory. There seems to me no better explanation of our existence than that it is the result of some false step, some sin of which we are paying the penalty. I cannot refrain from recommending the thoughtful reader a popular, but at the same time, profound treatise on this subject by Claudius which exhibits the essentially pessimistic spirit of Christianity. It is entitled: *Cursed is the ground for thy sake*.

Between the ethics of the Greeks and the ethics of the Hindoos, there is a glaring contrast. In the one case (with the exception, it must be confessed, of Plato), the object of ethics is to enable a man to lead a happy life; in the other, it is to free and redeem him from life altogether — as is directly stated in the very first words of the *Sankhya Karika*.

Allied with this is the contrast between the Greek and the Christian idea of death. It is strikingly presented in a visible form on a fine antique sarcophagus in the gallery of Florence, which exhibits, in relief, the whole series of ceremonies attending a wedding in ancient times, from the formal offer to the evening when Hymen's torch lights the happy couple home. Compare with that the Christian coffin, draped in mournful black and surmounted with a crucifix! How much significance there is in these two ways of finding comfort in death. They are opposed to each other, but each is right. The one points to the *affirmation* of the will to live, which remains sure of life for all time, however rapidly its forms may change. The other, in the symbol of suffering and death, points to the *denial* of the will to live, to redemption from this world, the domain of death and devil. And in the question between the affirmation and the denial of the will to live, Christianity is in the last resort right.

The contrast which the New Testament presents when compared with the Old, according to the ecclesiastical view of the matter, is just that existing

between my ethical system and the moral philosophy of Europe. The Old Testament represents man as under the dominion of Law, in which, however, there is no redemption. The New Testament declares Law to have failed, frees man from its dominion, and in its stead preaches the kingdom of grace, to be won by faith, love of neighbor and entire sacrifice of self. This is the path of redemption from the evil of the world. The spirit of the New Testament is undoubtedly asceticism, however your protestants and rationalists may twist it to suit their purpose. Asceticism is the denial of the will to live; and the transition from the Old Testament to the New, from the dominion of Law to that of Faith, from justification by works to redemption through the Mediator, from the domain of sin and death to eternal life in Christ, means, when taken in its real sense, the transition from the merely moral virtues to the denial of the will to live. My philosophy shows the metaphysical foundation of justice and the love of mankind, and points to the goal to which these virtues necessarily lead, if they are practised in perfection. At the same time it is candid in confessing that a man must turn his back upon the world, and that the denial of the will to live is the way of redemption. It is therefore really at one with the spirit of the New Testament, whilst all other systems are couched in the spirit of the Old; that is to say, theoretically as well as practically, their result is Judaism — mere despotic theism. In this sense, then, my doctrine might be called the only true Christian philosophy — however paradoxical a statement this may seem to people who take superficial views instead of penetrating to the heart of the matter.

If you want a safe compass to guide you through life, and to banish all doubt as to the right way of looking at it, you cannot do better than accustom yourself to regard this world as a penitentiary, a sort of a penal colony, or [Greek: *ergastæron*] as the earliest philosopher called it. Amongst the Christian Fathers, Origen, with praiseworthy courage, took this view, which is further justified by certain objective theories of life. I refer, not to my own philosophy alone, but to the wisdom of all ages, as expressed in Brahmanism and Buddhism, and in the sayings of Greek philosophers like Empedocles and Pythagoras; as also by Cicero, in his remark that the wise men of old used to teach that we come into this world to pay the penalty of crime committed in another state of existence — a doctrine which formed part of the initiation into the mysteries. And Vanini — whom his contemporaries burned, finding that an easier task than to

confute him — puts the same thing in a very forcible way. *Man*, he says, *is so full of every kind of misery that, were it not repugnant to the Christian religion, I should venture to affirm that if evil spirits exist at all, they have posed into human form and are now atoning for their crimes.* And true Christianity — using the word in its right sense — also regards our existence as the consequence of sin and error.

If you accustom yourself to this view of life you will regulate your expectations accordingly, and cease to look upon all its disagreeable incidents, great and small, its sufferings, its worries, its misery, as anything unusual or irregular; nay, you will find that everything is as it should be, in a world where each of us pays the penalty of existence in his own peculiar way. Amongst the evils of a penal colony is the society of those who form it; and if the reader is worthy of better company, he will need no words from me to remind him of what he has to put up with at present. If he has a soul above the common, or if he is a man of genius, he will occasionally feel like some noble prisoner of state, condemned to work in the galleys with common criminals; and he will follow his example and try to isolate himself.

In general, however, it should be said that this view of life will enable us to contemplate the so-called imperfections of the great majority of men, their moral and intellectual deficiencies and the resulting base type of countenance, without any surprise, to say nothing of indignation; for we shall never cease to reflect where we are, and that the men about us are beings conceived and born in sin, and living to atone for it. That is what Christianity means in speaking of the sinful nature of man.

*Pardon's the word to all!* Whatever folly men commit, be their shortcomings or their vices what they may, let us exercise forbearance; remembering that when these faults appear in others, it is our follies and vices that we behold. They are the shortcomings of humanity, to which we belong; whose faults, one and all, we share; yes, even those very faults at which we now wax so indignant, merely because they have not yet appeared in ourselves. They are faults that do not lie on the surface. But they exist down there in the depths of our nature; and should anything call them forth, they will come and show themselves, just as we now see them in others. One man, it is true, may have faults that are absent in his fellow;

and it is undeniable that the sum total of bad qualities is in some cases very large; for the difference of individuality between man and man passes all measure.

In fact, the conviction that the world and man is something that had better not have been, is of a kind to fill us with indulgence towards one another. Nay, from this point of view, we might well consider the proper form of address to be, not *Monsieur*, *Sir*, *mein Herr*, but *my fellow-sufferer*, *Soci malorum*, *compagnon de miseres*! This may perhaps sound strange, but it is in keeping with the facts; it puts others in a right light; and it reminds us of that which is after all the most necessary thing in life — the tolerance, patience, regard, and love of neighbor, of which everyone stands in need, and which, therefore, every man owes to his fellow.

## THE VANITY OF EXISTENCE.

This vanity finds expression in the whole way in which things exist; in the infinite nature of Time and Space, as opposed to the finite nature of the individual in both; in the ever-passing present moment as the only mode of actual existence; in the interdependence and relativity of all things; in continual Becoming without ever Being; in constant wishing and never being satisfied; in the long battle which forms the history of life, where every effort is checked by difficulties, and stopped until they are overcome. Time is that in which all things pass away; it is merely the form under which the will to live — the thing-in-itself and therefore imperishable — has revealed to it that its efforts are in vain; it is that agent by which at every moment all things in our hands become as nothing, and lose any real value they possess.

That which *has been* exists no more; it exists as little as that which has *never* been. But of everything that exists you must say, in the next moment, that it has been. Hence something of great importance now past is inferior to something of little importance now present, in that the latter is a *reality*, and related to the former as something to nothing.

A man finds himself, to his great astonishment, suddenly existing, after thousands and thousands of years of non-existence: he lives for a little while; and then, again, comes an equally long period when he must exist no more. The heart rebels against this, and feels that it cannot be true. The crudest intellect cannot speculate on such a subject without having a presentiment that Time is something ideal in its nature. This ideality of Time and Space is the key to every true system of metaphysics; because it provides for quite another order of things than is to be met with in the domain of nature. This is why Kant is so great.

Of every event in our life we can say only for one moment that it *is*; for ever after, that it *was*. Every evening we are poorer by a day. It might, perhaps, make us mad to see how rapidly our short span of time ebbs away; if it were not that in the furthest depths of our being we are secretly conscious of our share in the exhaustible spring of eternity, so that we can always hope to find life in it again.

Consideration of the kind, touched on above, might, indeed, lead us to embrace the belief that the greatest *wisdom* is to make the enjoyment of the

present the supreme object of life; because that is the only reality, all else being merely the play of thought. On the other hand, such a course might just as well be called the greatest *folly*: for that which in the next moment exists no more, and vanishes utterly, like a dream, can never be worth a serious effort.

The whole foundation on which our existence rests is the present — the ever-fleeting present. It lies, then, in the very nature of our existence to take the form of constant motion, and to offer no possibility of our ever attaining the rest for which we are always striving. We are like a man running downhill, who cannot keep on his legs unless he runs on, and will inevitably fall if he stops; or, again, like a pole balanced on the tip of one's finger; or like a planet, which would fall into its sun the moment it ceased to hurry forward on its way. Unrest is the mark of existence.

In a world where all is unstable, and nought can endure, but is swept onwards at once in the hurrying whirlpool of change; where a man, if he is to keep erect at all, must always be advancing and moving, like an acrobat on a rope — in such a world, happiness is inconceivable. How can it dwell where, as Plato says, *continual Becoming and never Being* is the sole form of existence? In the first place, a man never is happy, but spends his whole life in striving after something which he thinks will make him so; he seldom attains his goal, and when he does, it is only to be disappointed; he is mostly shipwrecked in the end, and comes into harbor with masts and rigging gone. And then, it is all one whether he has been happy or miserable; for his life was never anything more than a present moment always vanishing; and now it is over.

At the same time it is a wonderful thing that, in the world of human beings as in that of animals in general, this manifold restless motion is produced and kept up by the agency of two simple impulses — hunger and the sexual instinct; aided a little, perhaps, by the influence of boredom, but by nothing else; and that, in the theatre of life, these suffice to form the *primum mobile* of how complicated a machinery, setting in motion how strange and varied a scene!

On looking a little closer, we find that inorganic matter presents a constant conflict between chemical forces, which eventually works dissolution; and on the other hand, that organic life is impossible without continual change of matter, and cannot exist if it does not receive perpetual help from without. This is the realm of *finality*; and its opposite would be

*an infinite existence*, exposed to no attack from without, and needing nothing to support it; [Greek: *haei hosautos dn*], the realm of eternal peace; [Greek: *oute giguomenon oute apollumenon*], some timeless, changeless state, one and undiversified; the negative knowledge of which forms the dominant note of the Platonic philosophy. It is to some such state as this that the denial of the will to live opens up the way.

The scenes of our life are like pictures done in rough mosaic. Looked at close, they produce no effect. There is nothing beautiful to be found in them, unless you stand some distance off. So, to gain anything we have longed for is only to discover how vain and empty it is; and even though we are always living in expectation of better things, at the same time we often repent and long to have the past back again. We look upon the present as something to be put up with while it lasts, and serving only as the way towards our goal. Hence most people, if they glance back when they come to the end of life, will find that all along they have been living *ad interim*: they will be surprised to find that the very thing they disregarded and let slip by unenjoyed, was just the life in the expectation of which they passed all their time. Of how many a man may it not be said that hope made a fool of him until he danced into the arms of death!

Then again, how insatiable a creature is man! Every satisfaction he attains lays the seeds of some new desire, so that there is no end to the wishes of each individual will. And why is this? The real reason is simply that, taken in itself, Will is the lord of all worlds: everything belongs to it, and therefore no one single thing can ever give it satisfaction, but only the whole, which is endless. For all that, it must rouse our sympathy to think how very little the Will, this lord of the world, really gets when it takes the form of an individual; usually only just enough to keep the body together. This is why man is so very miserable.

Life presents itself chiefly as a task — the task, I mean, of subsisting at all, *gagner sa vie*. If this is accomplished, life is a burden, and then there comes the second task of doing something with that which has been won — of warding off boredom, which, like a bird of prey, hovers over us, ready to fall wherever it sees a life secure from need. The first task is to win something; the second, to banish the feeling that it has been won; otherwise it is a burden.

Human life must be some kind of mistake. The truth of this will be sufficiently obvious if we only remember that man is a compound of needs

and necessities hard to satisfy; and that even when they are satisfied, all he obtains is a state of painlessness, where nothing remains to him but abandonment to boredom. This is direct proof that existence has no real value in itself; for what is boredom but the feeling of the emptiness of life? If life — the craving for which is the very essence of our being — were possessed of any positive intrinsic value, there would be no such thing as boredom at all: mere existence would satisfy us in itself, and we should want for nothing. But as it is, we take no delight in existence except when we are struggling for something; and then distance and difficulties to be overcome make our goal look as though it would satisfy us — an illusion which vanishes when we reach it; or else when we are occupied with some purely intellectual interest — when in reality we have stepped forth from life to look upon it from the outside, much after the manner of spectators at a play. And even sensual pleasure itself means nothing but a struggle and aspiration, ceasing the moment its aim is attained. Whenever we are not occupied in one of these ways, but cast upon existence itself, its vain and worthless nature is brought home to us; and this is what we mean by boredom. The hankering after what is strange and uncommon — an innate and ineradicable tendency of human nature — shows how glad we are at any interruption of that natural course of affairs which is so very tedious.

That this most perfect manifestation of the will to live, the human organism, with the cunning and complex working of its machinery, must fall to dust and yield up itself and all its strivings to extinction — this is the naïve way in which Nature, who is always so true and sincere in what she says, proclaims the whole struggle of this will as in its very essence barren and unprofitable. Were it of any value in itself, anything unconditioned and absolute, it could not thus end in mere nothing.

If we turn from contemplating the world as a whole, and, in particular, the generations of men as they live their little hour of mock-existence and then are swept away in rapid succession; if we turn from this, and look at life in its small details, as presented, say, in a comedy, how ridiculous it all seems! It is like a drop of water seen through a microscope, a single drop teeming with *infusoria*; or a speck of cheese full of mites invisible to the naked eye. How we laugh as they bustle about so eagerly, and struggle with one another in so tiny a space! And whether here, or in the little span of human life, this terrible activity produces a comic effect.



It is only in the microscope that our life looks so big. It is an indivisible point, drawn out and magnified by the powerful lenses of Time and Space.

## ON SUICIDE.

As far as I know, none but the votaries of monotheistic, that is to say, Jewish religions, look upon suicide as a crime. This is all the more striking, inasmuch as neither in the Old nor in the New Testament is there to be found any prohibition or positive disapproval of it; so that religious teachers are forced to base their condemnation of suicide on philosophical grounds of their own invention. These are so very bad that writers of this kind endeavor to make up for the weakness of their arguments by the strong terms in which they express their abhorrence of the practice; in other words, they declaim against it. They tell us that suicide is the greatest piece of cowardice; that only a madman could be guilty of it; and other insipidities of the same kind; or else they make the nonsensical remark that suicide is *wrong*; when it is quite obvious that there is nothing in the world to which every man has a more unassailable title than to his own life and person.

Suicide, as I have said, is actually accounted a crime; and a crime which, especially under the vulgar bigotry that prevails in England, is followed by an ignominious burial and the seizure of the man's property; and for that reason, in a case of suicide, the jury almost always brings in a verdict of insanity. Now let the reader's own moral feelings decide as to whether or not suicide is a criminal act. Think of the impression that would be made upon you by the news that some one you know had committed the crime, say, of murder or theft, or been guilty of some act of cruelty or deception; and compare it with your feelings when you hear that he has met a voluntary death. While in the one case a lively sense of indignation and extreme resentment will be aroused, and you will call loudly for punishment or revenge, in the other you will be moved to grief and sympathy; and mingled with your thoughts will be admiration for his courage, rather than the moral disapproval which follows upon a wicked action. Who has not had acquaintances, friends, relations, who of their own free will have left this world; and are these to be thought of with horror as criminals? Most emphatically, No! I am rather of opinion that the clergy should be challenged to explain what right they have to go into the pulpit, or take up their pens, and stamp as a crime an action which many men whom we hold in affection and honor have committed; and to refuse an honorable burial to those who relinquish this world voluntarily. They have no Biblical authority

to boast of, as justifying their condemnation of suicide; nay, not even any philosophical arguments that will hold water; and it must be understood that it is arguments we want, and that we will not be put off with mere phrases or words of abuse. If the criminal law forbids suicide, that is not an argument valid in the Church; and besides, the prohibition is ridiculous; for what penalty can frighten a man who is not afraid of death itself? If the law punishes people for trying to commit suicide, it is punishing the want of skill that makes the attempt a failure.

The ancients, moreover, were very far from regarding the matter in that light. Pliny says: *Life is not so desirable a thing as to be protracted at any cost. Whoever you are, you are sure to die, even though your life has been full of abomination and crime. The chief of all remedies for a troubled mind is the feeling that among the blessings which Nature gives to man, there is none greater than an opportune death; and the best of it is that every one can avail himself of it.* And elsewhere the same writer declares: *Not even to God are all things possible; for he could not compass his own death, if he willed to die, and yet in all the miseries of our earthly life, this is the best of his gifts to man.* Nay, in Massilia and on the isle of Ceos, the man who could give valid reasons for relinquishing his life, was handed the cup of hemlock by the magistrate; and that, too, in public. And in ancient times, how many heroes and wise men died a voluntary death. Aristotle, it is true, declared suicide to be an offence against the State, although not against the person; but in Stobaeus' exposition of the Peripatetic philosophy there is the following remark: *The good man should flee life when his misfortunes become too great; the bad man, also, when he is too prosperous.* And similarly: *So he will marry and beget children and take part in the affairs of the State, and, generally, practice virtue and continue to live; and then, again, if need be, and at any time necessity compels him, he will depart to his place of refuge in the tomb.* And we find that the Stoics actually praised suicide as a noble and heroic action, as hundreds of passages show; above all in the works of Seneca, who expresses the strongest approval of it. As is well known, the Hindoos look upon suicide as a religious act, especially when it takes the form of self-immolation by widows; but also when it consists in casting oneself under the wheels of the chariot of the god at Juggernaut, or being eaten by crocodiles in the Ganges, or being drowned in the holy tanks in the temples, and so on. The same thing occurs on the stage

— that mirror of life. For example, in *L'Orphelin de la Chine* a celebrated Chinese play, almost all the noble characters end by suicide; without the slightest hint anywhere, or any impression being produced on the spectator, that they are committing a crime. And in our own theatre it is much the same — Palmira, for instance, in *Mahomet*, or Mortimer in *Maria Stuart*, Othello, Countess Terzky. Is Hamlet's monologue the meditation of a criminal? He merely declares that if we had any certainty of being annihilated by it, death would be infinitely preferable to the world as it is. But *there lies the rub!*

The reasons advanced against suicide by the clergy of monotheistic, that is to say, Jewish religions, and by those philosophers who adapt themselves thereto, are weak sophisms which can easily be refuted. The most thorough-going refutation of them is given by Hume in his *Essay on Suicide*. This did not appeal until after his death, when it was immediately suppressed, owing to the scandalous bigotry and outrageous ecclesiastical tyranny that prevailed in England; and hence only a very few copies of it were sold under cover of secrecy and at a high price. This and another treatise by that great man have come to us from Basle, and we may be thankful for the reprint. It is a great disgrace to the English nation that a purely philosophical treatise, which, proceeding from one of the first thinkers and writers in England, aimed at refuting the current arguments against suicide by the light of cold reason, should be forced to sneak about in that country, as though it were some rascally production, until at last it found refuge on the Continent. At the same time it shows what a good conscience the Church has in such matters.

In my chief work I have explained the only valid reason existing against suicide on the score of mortality. It is this: that suicide thwarts the attainment of the highest moral aim by the fact that, for a real release from this world of misery, it substitutes one that is merely apparent. But from a *mistake* to a *crime* is a far cry; and it is as a crime that the clergy of Christendom wish us to regard suicide.

The inmost kernel of Christianity is the truth that suffering — *the Cross* — is the real end and object of life. Hence Christianity condemns suicide as

thwarting this end; whilst the ancient world, taking a lower point of view, held it in approval, nay, in honor. But if that is to be accounted a valid reason against suicide, it involves the recognition of asceticism; that is to say, it is valid only from a much higher ethical standpoint than has ever been adopted by moral philosophers in Europe. If we abandon that high standpoint, there is no tenable reason left, on the score of morality, for condemning suicide. The extraordinary energy and zeal with which the clergy of monotheistic religions attack suicide is not supported either by any passages in the Bible or by any considerations of weight; so that it looks as though they must have some secret reason for their contention. May it not be this — that the voluntary surrender of life is a bad compliment for him who said that *all things were very good*? If this is so, it offers another instance of the crass optimism of these religions, — denouncing suicide to escape being denounced by it.

It will generally be found that, as soon as the terrors of life reach the point at which they outweigh the terrors of death, a man will put an end to his life. But the terrors of death offer considerable resistance; they stand like a sentinel at the gate leading out of this world. Perhaps there is no man alive who would not have already put an end to his life, if this end had been of a purely negative character, a sudden stoppage of existence. There is something positive about it; it is the destruction of the body; and a man shrinks from that, because his body is the manifestation of the will to live.

However, the struggle with that sentinel is, as a rule, not so hard as it may seem from a long way off, mainly in consequence of the antagonism between the ills of the body and the ills of the mind. If we are in great bodily pain, or the pain lasts a long time, we become indifferent to other troubles; all we think about is to get well. In the same way great mental suffering makes us insensible to bodily pain; we despise it; nay, if it should outweigh the other, it distracts our thoughts, and we welcome it as a pause in mental suffering. It is this feeling that makes suicide easy; for the bodily pain that accompanies it loses all significance in the eyes of one who is tortured by an excess of mental suffering. This is especially evident in the case of those who are driven to suicide by some purely morbid and exaggerated ill-humor. No special effort to overcome their feelings is necessary, nor do such people require to be worked up in order to take the step; but as soon as the keeper into whose charge they are given leaves them for a couple of minutes, they quickly bring their life to an end.

When, in some dreadful and ghastly dream, we reach the moment of greatest horror, it awakes us; thereby banishing all the hideous shapes that were born of the night. And life is a dream: when the moment of greatest horror compels us to break it off, the same thing happens.

Suicide may also be regarded as an experiment — a question which man puts to Nature, trying to force her to an answer. The question is this: What change will death produce in a man's existence and in his insight into the nature of things? It is a clumsy experiment to make; for it involves the destruction of the very consciousness which puts the question and awaits the answer.

# **IMMORTALITY: A DIALOGUE.**

## THRASYMACHOS — PHILALETHES.

*Thrasymachos.* Tell me now, in one word, what shall I be after my death? And mind you be clear and precise.

*Philalethes.* All and nothing!

*Thrasymachos.* I thought so! I gave you a problem, and you solve it by a contradiction. That's a very stale trick.

*Philalethes.* Yes, but you raise transcendental questions, and you expect me to answer them in language that is only made for immanent knowledge. It's no wonder that a contradiction ensues.

*Thrasymachos.* What do you mean by transcendental questions and immanent knowledge? I've heard these expressions before, of course; they are not new to me. The Professor was fond of using them, but only as predicates of the Deity, and he never talked of anything else; which was all quite right and proper. He argued thus: if the Deity was in the world itself, he was immanent; if he was somewhere outside it, he was transcendent. Nothing could be clearer and more obvious! You knew where you were. But this Kantian rigmarole won't do any more: it's antiquated and no longer applicable to modern ideas. Why, we've had a whole row of eminent men in the metropolis of German learning —

*Philalethes.* (Aside.) German humbug, he means.

*Thrasymachos.* The mighty Schleiermacher, for instance, and that gigantic intellect, Hegel; and at this time of day we've abandoned that nonsense. I should rather say we're so far beyond it that we can't put up with it any more. What's the use of it then? What does it all mean?

*Philalethes.* Transcendental knowledge is knowledge which passes beyond the bounds of possible experience, and strives to determine the nature of things as they are in themselves. Immanent knowledge, on the other hand, is knowledge which confines itself entirely with those bounds; so that it cannot apply to anything but actual phenomena. As far as you are an individual, death will be the end of you. But your individuality is not your true and inmost being: it is only the outward manifestation of it. It is not the *thing-in-itself*, but only the phenomenon presented in the form of time; and therefore with a beginning and an end. But your real being knows neither time, nor beginning, nor end, nor yet the limits of any given individual. It is everywhere present in every individual; and no individual can exist apart from it. So when death comes, on the one hand you are



annihilated as an individual; on the other, you are and remain everything. That is what I meant when I said that after your death you would be all and nothing. It is difficult to find a more precise answer to your question and at the same time be brief. The answer is contradictory, I admit; but it is so simply because your life is in time, and the immortal part of you in eternity. You may put the matter thus: Your immortal part is something that does not last in time and yet is indestructible; but there you have another contradiction! You see what happens by trying to bring the transcendental within the limits of immanent knowledge. It is in some sort doing violence to the latter by misusing it for ends it was never meant to serve.

*Thrasymachos.* Look here, I shan't give twopence for your immortality unless I'm to remain an individual.

*Philalethes.* Well, perhaps I may be able to satisfy you on this point. Suppose I guarantee that after death you shall remain an individual, but only on condition that you first spend three months of complete unconsciousness.

*Thrasymachos.* I shall have no objection to that.

*Philalethes.* But remember, if people are completely unconscious, they take no account of time. So, when you are dead, it's all the same to you whether three months pass in the world of consciousness, or ten thousand years. In the one case as in the other, it is simply a matter of believing what is told you when you awake. So far, then, you can afford to be indifferent whether it is three months or ten thousand years that pass before you recover your individuality.

*Thrasymachos.* Yes, if it comes to that, I suppose you're right.

*Philalethes.* And if by chance, after those ten thousand years have gone by, no one ever thinks of awakening you, I fancy it would be no great misfortune. You would have become quite accustomed to non-existence after so long a spell of it — following upon such a very few years of life. At any rate you may be sure you would be perfectly ignorant of the whole thing. Further, if you knew that the mysterious power which keeps you in your present state of life had never once ceased in those ten thousand years to bring forth other phenomena like yourself, and to endow them with life, it would fully console you.

*Thrasymachos.* Indeed! So you think you're quietly going to do me out of my individuality with all this fine talk. But I'm up to your tricks. I tell you I won't exist unless I can have my individuality. I'm not going to be put

off with ‘mysterious powers,’ and what you call ‘phenomena.’ I can’t do without my individuality, and I won’t give it up.

*Philaletes*. You mean, I suppose, that your individuality is such a delightful thing, so splendid, so perfect, and beyond compare — that you can’t imagine anything better. Aren’t you ready to exchange your present state for one which, if we can judge by what is told us, may possibly be superior and more endurable?

*Thrasymachos*. Don’t you see that my individuality, be it what it may, is my very self? To me it is the most important thing in the world.

*For God is God and I am I.*

I want to exist, *I, I*. That’s the main thing. I don’t care about an existence which has to be proved to be mine, before I can believe it.

*Philaletes*. Think what you’re doing! When you say *I, I, I* want to exist, it is not you alone that says this. Everything says it, absolutely everything that has the faintest trace of consciousness. It follows, then, that this desire of yours is just the part of you that is *not individual* — the part that is common to all things without distinction. It is the cry, not of the individual, but of existence itself; it is the intrinsic element in everything that exists, nay, it is the cause of anything existing at all. This desire craves for, and so is satisfied with, nothing less than existence in general — not any definite individual existence. No! that is not its aim. It seems to be so only because this desire — this *Will* — attains consciousness only in the individual, and therefore looks as though it were concerned with nothing but the individual. There lies the illusion — an illusion, it is true, in which the individual is held fast: but, if he reflects, he can break the fetters and set himself free. It is only indirectly, I say, that the individual has this violent craving for existence. It is *the Will to Live* which is the real and direct aspirant — alike and identical in all things. Since, then, existence is the free work, nay, the mere reflection of the will, where existence is, there, too, must be will; and for the moment the will finds its satisfaction in existence itself; so far, I mean, as that which never rests, but presses forward eternally, can ever find any satisfaction at all. The will is careless of the individual: the individual is not its business; although, as I have said, this seems to be the case, because the individual has no direct consciousness of will except in himself. The effect of this is to make the individual careful to maintain his own existence; and if this were not so, there would be no surety for the preservation of the species. From all this it is clear that individuality is not a

form of perfection, but rather of limitation; and so to be freed from it is not loss but gain. Trouble yourself no more about the matter. Once thoroughly recognize what you are, what your existence really is, namely, the universal will to live, and the whole question will seem to you childish, and most ridiculous!

*Thrasymachos.* You're childish yourself and most ridiculous, like all philosophers! and if a man of my age lets himself in for a quarter-of-an-hour's talk with such fools, it is only because it amuses me and passes the time. I've more important business to attend to, so Good-bye.

## PSYCHOLOGICAL OBSERVATIONS.

There is an unconscious propriety in the way in which, in all European languages, the word *person* is commonly used to denote a human being. The real meaning of *persona* is *a mask*, such as actors were accustomed to wear on the ancient stage; and it is quite true that no one shows himself as he is, but wears his mask and plays his part. Indeed, the whole of our social arrangements may be likened to a perpetual comedy; and this is why a man who is worth anything finds society so insipid, while a blockhead is quite at home in it.

\* \* \* \* \*

Reason deserves to be called a prophet; for in showing us the consequence and effect of our actions in the present, does it not tell us what the future will be? This is precisely why reason is such an excellent power of restraint in moments when we are possessed by some base passion, some fit of anger, some covetous desire, that will lead us to do things whereof we must presently repent.

\* \* \* \* \*

*Hatred* comes from the heart; *contempt* from the head; and neither feeling is quite within our control. For we cannot alter our heart; its basis is determined by motives; and our head deals with objective facts, and applies to them rules which are immutable. Any given individual is the union of a particular heart with a particular head.

Hatred and contempt are diametrically opposed and mutually exclusive. There are even not a few cases where hatred of a person is rooted in nothing but forced esteem for his qualities. And besides, if a man sets out to hate all the miserable creatures he meets, he will not have much energy left for anything else; whereas he can despise them, one and all, with the greatest ease. True, genuine contempt is just the reverse of true, genuine pride; it keeps quite quiet and gives no sign of its existence. For if a man shows that he despises you, he signifies at least this much regard for you, that he wants to let you know how little he appreciates you; and his wish is dictated by hatred, which cannot exist with real contempt. On the contrary, if it is

genuine, it is simply the conviction that the object of it is a man of no value at all. Contempt is not incompatible with indulgent and kindly treatment, and for the sake of one's own peace and safety, this should not be omitted; it will prevent irritation; and there is no one who cannot do harm if he is roused to it. But if this pure, cold, sincere contempt ever shows itself, it will be met with the most truculent hatred; for the despised person is not in a position to fight contempt with its own weapons.

\* \* \* \* \*

Melancholy is a very different thing from bad humor, and of the two, it is not nearly so far removed from a gay and happy temperament. Melancholy attracts, while bad humor repels.

Hypochondria is a species of torment which not only makes us unreasonably cross with the things of the present; not only fills us with groundless anxiety on the score of future misfortunes entirely of our own manufacture; but also leads to unmerited self-reproach for what we have done in the past.

Hypochondria shows itself in a perpetual hunting after things that vex and annoy, and then brooding over them. The cause of it is an inward morbid discontent, often co-existing with a naturally restless temperament. In their extreme form, this discontent and this unrest lead to suicide.

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Any incident, however trivial, that rouses disagreeable emotion, leaves an after-effect in our mind, which for the time it lasts, prevents our taking a clear objective view of the things about us, and tinges all our thoughts: just as a small object held close to the eye limits and distorts our field of vision.

\* \* \* \* \*

What makes people *hard-hearted* is this, that each man has, or fancies he has, as much as he can bear in his own troubles. Hence, if a man suddenly finds himself in an unusually happy position, it will in most cases result in his being sympathetic and kind. But if he has never been in any other than a happy position, or this becomes his permanent state, the effect of it is often just the contrary: it so far removes him from suffering that he is incapable

of feeling any more sympathy with it. So it is that the poor often show themselves more ready to help than the rich.

\* \* \* \* \*

At times it seems as though we both wanted and did not want the same thing, and felt at once glad and sorry about it. For instance, if on some fixed date we are going to be put to a decisive test about anything in which it would be a great advantage to us to come off victorious, we shall be anxious for it to take place at once, and at the same time we shall tremble at the thought of its approach. And if, in the meantime, we hear that, for once in a way, the date has been postponed, we shall experience a feeling both of pleasure and of annoyance; for the news is disappointing, but nevertheless it affords us momentary relief. It is just the same thing if we are expecting some important letter carrying a definite decision, and it fails to arrive.

In such cases there are really two different motives at work in us; the stronger but more distant of the two being the desire to stand the test and to have the decision given in our favor; and the weaker, which touches us more nearly, the wish to be left for the present in peace and quiet, and accordingly in further enjoyment of the advantage which at any rate attaches to a state of hopeful uncertainty, compared with the possibility that the issue may be unfavorable.

\* \* \* \* \*

In my head there is a permanent opposition-party; and whenever I take any step or come to any decision — though I may have given the matter mature consideration — it afterwards attacks what I have done, without, however, being each time necessarily in the right. This is, I suppose, only a form of rectification on the part of the spirit of scrutiny; but it often reproaches me when I do not deserve it. The same thing, no doubt, happens to many others as well; for where is the man who can help thinking that, after all, it were better not to have done something that he did with great deliberation:

*Quid tam dextro pede concipis ut te Conatus non poeniteat votique peracti?*

\* \* \* \* \*

Why is it that *common* is an expression of contempt? and that *uncommon*, *extraordinary*, *distinguished*, denote approbation? Why is everything that is common contemptible?

*Common* in its original meaning denotes that which is peculiar to all men, *i.e.*, shared equally by the whole species, and therefore an inherent part of its nature. Accordingly, if an individual possesses no qualities beyond those which attach to mankind in general, he is a *common man*. *Ordinary* is a much milder word, and refers rather to intellectual character; whereas *common* has more of a moral application.

What value can a creature have that is not a whit different from millions of its kind? Millions, do I say? nay, an infiniture of creatures which, century after century, in never-ending flow, Nature sends bubbling up from her inexhaustible springs; as generous with them as the smith with the useless sparks that fly around his anvil.

It is obviously quite right that a creature which has no qualities except those of the species, should have to confine its claim to an existence entirely within the limits of the species, and live a life conditioned by those limits.

In various passages of my works, I have argued that whilst a lower animal possesses nothing more than the generic character of its species, man is the only being which can lay claim to possess an individual character. But in most men this individual character comes to very little in reality; and they may be almost all ranged under certain classes: *ce sont des espèces*. Their thoughts and desires, like their faces, are those of the species, or, at any rate, those of the class to which they belong; and accordingly, they are of a trivial, every-day, common character, and exist by the thousand. You can usually tell beforehand what they are likely to do and say. They have no special stamp or mark to distinguish them; they are like manufactured goods, all of a piece.

If, then, their nature is merged in that of the species, how shall their existence go beyond it? The curse of vulgarity puts men on a par with the lower animals, by allowing them none but a generic nature, a generic form of existence. Anything that is high or great or noble, must then, as a matter of course, and by its very nature, stand alone in a world where no better expression can be found to denote what is base and contemptible than that which I have mentioned as in general use, namely, *common*.

\* \* \* \* \*

Will, as the *thing-in-itself*, is the foundation of all being; it is part and parcel of every creature, and the permanent element in everything. Will, then, is that which we possess in common with all men, nay, with all animals, and even with lower forms of existence; and in so far we are akin to everything — so far, that is, as everything is filled to overflowing with will. On the other hand, that which places one being over another, and sets differences between man and man, is intellect and knowledge; therefore in every manifestation of self we should, as far as possible, give play to the intellect alone; for, as we have seen, the will is the *common* part of us. Every violent exhibition of will is common and vulgar; in other words, it reduces us to the level of the species, and makes us a mere type and example of it; in that it is just the character of the species that we are showing. So every fit of anger is something *common* — every unrestrained display of joy, or of hate, or fear — in short, every form of emotion; in other words, every movement of the will, if it's so strong as decidedly to outweigh the intellectual element in consciousness, and to make the man appear as a being that *wills* rather than *knows*.

In giving way to emotion of this violent kind, the greatest genius puts himself on a level with the commonest son of earth. Contrarily, if a man desires to be absolutely uncommon, in other words, great, he should never allow his consciousness to be taken possession of and dominated by the movement of his will, however much he may be solicited thereto. For example, he must be able to observe that other people are badly disposed towards him, without feeling any hatred towards them himself; nay, there is no surer sign of a great mind than that it refuses to notice annoying and insulting expressions, but straightway ascribes them, as it ascribes countless other mistakes, to the defective knowledge of the speaker, and so merely observes without feeling them. This is the meaning of that remark of Gracian, that nothing is more unworthy of a man than to let it be seen that he is one — *el mayor desdoro de un hombre es dar muestras de que es hombre*.

And even in the drama, which is the peculiar province of the passions and emotions, it is easy for them to appear common and vulgar. And this is specially observable in the works of the French tragic writers, who set no other aim before themselves but the delineation of the passions; and by indulging at one moment in a vaporous kind of pathos which makes them ridiculous, at another in epigrammatic witticisms, endeavor to conceal the



vulgarity of their subject. I remember seeing the celebrated Mademoiselle Rachel as Maria Stuart: and when she burst out in fury against Elizabeth — though she did it very well — I could not help thinking of a washerwoman. She played the final parting in such a way as to deprive it of all true tragic feeling, of which, indeed, the French have no notion at all. The same part was incomparably better played by the Italian Ristori; and, in fact, the Italian nature, though in many respects very different from the German, shares its appreciation for what is deep, serious, and true in Art; herein opposed to the French, which everywhere betrays that it possesses none of this feeling whatever.

The noble, in other words, the uncommon, element in the drama — nay, what is sublime in it — is not reached until the intellect is set to work, as opposed to the will; until it takes a free flight over all those passionate movements of the will, and makes them subject of its contemplation. Shakespeare, in particular, shows that this is his general method, more especially in Hamlet. And only when intellect rises to the point where the vanity of all effort is manifest, and the will proceeds to an act of self-annulment, is the drama tragic in the true sense of the word; it is then that it reaches its highest aim in becoming really sublime.

\* \* \* \* \*

Every man takes the limits of his own field of vision for the limits of the world. This is an error of the intellect as inevitable as that error of the eye which lets us fancy that on the horizon heaven and earth meet. This explains many things, and among them the fact that everyone measures us with his own standard — generally about as long as a tailor's tape, and we have to put up with it: as also that no one will allow us to be taller than himself — a supposition which is once for all taken for granted.

\* \* \* \* \*

There is no doubt that many a man owes his good fortune in life solely to the circumstance that he has a pleasant way of smiling, and so wins the heart in his favor.

However, the heart would do better to be careful, and to remember what Hamlet put down in his tablets — *that one may smile, and smile, and be a villain.*

\* \* \* \* \*

Everything that is really fundamental in a man, and therefore genuine works, as such, unconsciously; in this respect like the power of nature. That which has passed through the domain of consciousness is thereby transformed into an idea or picture; and so if it comes to be uttered, it is only an idea or picture which passes from one person to another.

Accordingly, any quality of mind or character that is genuine and lasting, is originally unconscious; and it is only when unconsciously brought into play that it makes a profound impression. If any like quality is consciously exercised, it means that it has been worked up; it becomes intentional, and therefore matter of affectation, in other words, of deception.

If a man does a thing unconsciously, it costs him no trouble; but if he tries to do it by taking trouble, he fails. This applies to the origin of those fundamental ideas which form the pith and marrow of all genuine work. Only that which is innate is genuine and will hold water; and every man who wants to achieve something, whether in practical life, in literature, or in art, must *follow the rules without knowing them*.

\* \* \* \* \*

Men of very great capacity, will as a rule, find the company of very stupid people preferable to that of the common run; for the same reason that the tyrant and the mob, the grandfather and the grandchildren, are natural allies.

\* \* \* \* \*

That line of Ovid's,

*Pronaque cum spectent animalia cetera terram,*

can be applied in its true physical sense to the lower animals alone; but in a metaphorical and spiritual sense it is, alas! true of nearly all men as well. All their plans and projects are merged in the desire of physical enjoyment, physical well-being. They may, indeed, have personal interests, often embracing a very varied sphere; but still these latter receive their importance entirely from the relation in which they stand to the former. This is not only proved by their manner of life and the things they say, but it even shows itself in the way they look, the expression of their

physiognomy, their gait and gesticulations. Everything about them cries out; *in terram prona!*

It is not to them, it is only to the nobler and more highly endowed natures — men who really think and look about them in the world, and form exceptional specimens of humanity — that the next lines are applicable;

*Os homini sublime dedit coelumque tueri Jussit et erectos ad sidera tollere vultus.*

\* \* \* \* \*

No one knows what capacities for doing and suffering he has in himself, until something comes to rouse them to activity: just as in a pond of still water, lying there like a mirror, there is no sign of the roar and thunder with which it can leap from the precipice, and yet remain what it is; or again, rise high in the air as a fountain. When water is as cold as ice, you can have no idea of the latent warmth contained in it.

\* \* \* \* \*

Why is it that, in spite of all the mirrors in the world, no one really knows what he looks like?

A man may call to mind the face of his friend, but not his own. Here, then, is an initial difficulty in the way of applying the maxim, *Know thyself*.

This is partly, no doubt, to be explained by the fact that it is physically impossible for a man to see himself in the glass except with face turned straight towards it and perfectly motionless; where the expression of the eye, which counts for so much, and really gives its whole character to the face, is to a great extent lost. But co-existing with this physical impossibility, there seems to me to be an ethical impossibility of an analogous nature, and producing the same effect. A man cannot look upon his own reflection as though the person presented there were *a stranger* to him; and yet this is necessary if he is to take *an objective view*. In the last resort, an objective view means a deep-rooted feeling on the part of the individual, as a moral being, that that which he is contemplating is *not himself*; and unless he can take this point of view, he will not see things in a really true light, which is possible only if he is alive to their actual defects,

exactly as they are. Instead of that, when a man sees himself in the glass, something out of his own egotistic nature whispers to him to take care to remember that *it is no stranger, but himself, that he is looking at*; and this operates as a *noli me tang ere*, and prevents him taking an objective view. It seems, indeed, as if, without the leaven of a grain of malice, such a view were impossible.

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According as a man's mental energy is exerted or relaxed, will life appear to him either so short, and petty, and fleeting, that nothing can possibly happen over which it is worth his while to spend emotion; that nothing really matters, whether it is pleasure or riches, or even fame, and that in whatever way a man may have failed, he cannot have lost much — or, on the other hand, life will seem so long, so important, so all in all, so momentous and so full of difficulty that we have to plunge into it with our whole soul if we are to obtain a share of its goods, make sure of its prizes, and carry out our plans. This latter is the immanent and common view of life; it is what Gracian means when he speaks of the serious way of looking at things — *tomar muy de veras el vivir*. The former is the transcendental view, which is well expressed in Ovid's *non est tanti* — it is not worth so much trouble; still better, however, by Plato's remark that nothing in human affairs is worth any great anxiety — [Greek: *oute ti ton anthroponon axion esti megalaes spoudaes*.] This condition of mind is due to the intellect having got the upper hand in the domain of consciousness, where, freed from the mere service of the will, it looks upon the phenomena of life objectively, and so cannot fail to gain a clear insight into its vain and futile character. But in the other condition of mind, will predominates; and the intellect exists only to light it on its way to the attainment of its desires.

A man is great or small according as he leans to the one or the other of these views of life.

\* \* \* \* \*

People of very brilliant ability think little of admitting their errors and weaknesses, or of letting others see them. They look upon them as something for which they have duly paid; and instead of fancying that these

weaknesses are a disgrace to them, they consider they are doing them an honor. This is especially the case when the errors are of the kind that hang together with their qualities — *conditiones sine quibus non* — or, as George Sand said, *les défauts de ses vertus*.

Contrarily, there are people of good character and irreproachable intellectual capacity, who, far from admitting the few little weaknesses they have, conceal them with care, and show themselves very sensitive to any suggestion of their existence; and this, just because their whole merit consists in being free from error and infirmity. If these people are found to have done anything wrong, their reputation immediately suffers.

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With people of only moderate ability, modesty is mere honesty; but with those who possess great talent, it is hypocrisy. Hence, it is just as becoming in the latter to make no secret of the respect they bear themselves and no disguise of the fact that they are conscious of unusual power, as it is in the former to be modest. Valerius Maximus gives some very neat examples of this in his chapter on self-confidence, *de fiducia sui*.

\* \* \* \* \*

Not to go to the theatre is like making one's toilet without a mirror. But it is still worse to take a decision without consulting a friend. For a man may have the most excellent judgment in all other matters, and yet go wrong in those which concern himself; because here the will comes in and deranges the intellect at once. Therefore let a man take counsel of a friend. A doctor can cure everyone but himself; if he falls ill, he sends for a colleague.

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In all that we do, we wish, more or less, to come to the end; we are impatient to finish and glad to be done. But the last scene of all, the general end, is something that, as a rule, we wish as far off as may be.

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Every parting gives a foretaste of death; every coming together again a foretaste of the resurrection. This is why even people who were indifferent to each other, rejoice so much if they come together again after twenty or thirty years' separation.

\* \* \* \* \*

Intellects differ from one another in a very real and fundamental way: but no comparison can well be made by merely general observations. It is necessary to come close, and to go into details; for the difference that exists cannot be seen from afar; and it is not easy to judge by outward appearances, as in the several cases of education, leisure and occupation. But even judging by these alone, it must be admitted that many a man has *a degree of existence* at least ten times as high as another — in other words, exists ten times as much.

I am not speaking here of savages whose life is often only one degree above that of the apes in their woods. Consider, for instance, a porter in Naples or Venice (in the north of Europe solicitude for the winter months makes people more thoughtful and therefore reflective); look at the life he leads, from its beginning to its end: — driven by poverty; living on his physical strength; meeting the needs of every day, nay, of every hour, by hard work, great effort, constant tumult, want in all its forms, no care for the morrow; his only comfort rest after exhaustion; continuous quarreling; not a moment free for reflection; such sensual delights as a mild climate and only just sufficient food will permit of; and then, finally, as the metaphysical element, the crass superstition of his church; the whole forming a manner of life with only a low degree of consciousness, where a man hustles, or rather is hustled, through his existence. This restless and confused dream forms the life of how many millions!

Such men *think* only just so much as is necessary to carry out their will for the moment. They never reflect upon their life as a connected whole, let alone, then, upon existence in general; to a certain extent they may be said to exist without really knowing it. The existence of the mobsman or the slave who lives on in this unthinking way, stands very much nearer than ours to that of the brute, which is confined entirely to the present moment; but, for that very reason, it has also less of pain in it than ours. Nay, since all pleasure is in its nature negative, that is to say, consists in freedom from

some form of misery or need, the constant and rapid interchange between setting about something and getting it done, which is the permanent accompaniment of the work they do, and then again the augmented form which this takes when they go from work to rest and the satisfaction of their needs — all this gives them a constant source of enjoyment; and the fact that it is much commoner to see happy faces amongst the poor than amongst the rich, is a sure proof that it is used to good advantage.

Passing from this kind of man, consider, next, the sober, sensible merchant, who leads a life of speculation, thinks long over his plans and carries them out with great care, founds a house, and provides for his wife, his children and descendants; takes his share, too, in the life of a community. It is obvious that a man like this has a much higher degree of consciousness than the former, and so his existence has a higher degree of reality.

Then look at the man of learning, who investigates, it may be, the history of the past. He will have reached the point at which a man becomes conscious of existence as a whole, sees beyond the period of his own life, beyond his own personal interests, thinking over the whole course of the world's history.

Then, finally, look at the poet or the philosopher, in whom reflection has reached such a height, that, instead of being drawn on to investigate any one particular phenomenon of existence, he stands in amazement *before existence itself*, this great sphinx, and makes it his problem. In him consciousness has reached the degree of clearness at which it embraces the world itself: his intellect has completely abandoned its function as the servant of his will, and now holds the world before him; and the world calls upon him much more to examine and consider it, than to play a part in it himself. If, then, the degree of consciousness is the degree of reality, such a man will be said to exist most of all, and there will be sense and significance in so describing him.

Between the two extremes here sketched, and the intervening stages, everyone will be able to find the place at which he himself stands.

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We know that man is in general superior to all other animals, and this is also the case in his capacity for being trained. Mohammedans are trained to pray

with their faces turned towards Mecca, five times a day; and they never fail to do it. Christians are trained to cross themselves on certain occasions, to bow, and so on. Indeed, it may be said that religion is the *chef d'oeuvre* of the art of training, because it trains people in the way they shall think: and, as is well known, you cannot begin the process too early. There is no absurdity so palpable but that it may be firmly planted in the human head if you only begin to inculcate it before the age of five, by constantly repeating it with an air of great solemnity. For as in the case of animals, so in that of men, training is successful only when you begin in early youth.

Noblemen and gentlemen are trained to hold nothing sacred but their word of honor — to maintain a zealous, rigid, and unshaken belief in the ridiculous code of chivalry; and if they are called upon to do so, to seal their belief by dying for it, and seriously to regard a king as a being of a higher order.

Again, our expressions of politeness, the compliments we make, in particular, the respectful attentions we pay to ladies, are a matter of training; as also our esteem for good birth, rank, titles, and so on. Of the same character is the resentment we feel at any insult directed against us; and the measure of this resentment may be exactly determined by the nature of the insult. An Englishman, for instance, thinks it a deadly insult to be told that he is no gentleman, or, still worse, that he is a liar; a Frenchman has the same feeling if you call him a coward, and a German if you say he is stupid.

There are many persons who are trained to be strictly honorable in regard to one particular matter, while they have little honor to boast of in anything else. Many a man, for instance, will not steal your money; but he will lay hands on everything of yours that he can enjoy without having to pay for it. A man of business will often deceive you without the slightest scruple, but he will absolutely refuse to commit a theft.

Imagination is strong in a man when that particular function of the brain which enables him to observe is roused to activity without any necessary excitement of the senses. Accordingly, we find that imagination is active just in proportion as our senses are not excited by external objects. A long period of solitude, whether in prison or in a sick room; quiet, twilight, darkness — these are the things that promote its activity; and under their influence it comes into play of itself. On the other hand, when a great deal of material is presented to our faculties of observation, as happens on a journey, or in the hurly-burly of the world, or, again, in broad daylight, the



imagination is idle, and, even though call may be made upon it, refuses to become active, as though it understood that that was not its proper time.

However, if the imagination is to yield any real product, it must have received a great deal of material from the external world. This is the only way in which its storehouse can be filled. The phantasy is nourished much in the same way as the body, which is least capable of any work and enjoys doing nothing just in the very moment when it receives its food which it has to digest. And yet it is to this very food that it owes the power which it afterwards puts forth at the right time.

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Opinion is like a pendulum and obeys the same law. If it goes past the centre of gravity on one side, it must go a like distance on the other; and it is only after a certain time that it finds the true point at which it can remain at rest.

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By a process of contradiction, distance in space makes things look small, and therefore free from defect. This is why a landscape looks so much better in a contracting mirror or in a *camera obscura*, than it is in reality. The same effect is produced by distance in time. The scenes and events of long ago, and the persons who took part in them, wear a charming aspect to the eye of memory, which sees only the outlines and takes no note of disagreeable details. The present enjoys no such advantage, and so it always seems defective.

And again, as regards space, small objects close to us look big, and if they are very close, we may be able to see nothing else, but when we go a little way off, they become minute and invisible. It is the same again as regards time. The little incidents and accidents of every day fill us with emotion, anxiety, annoyance, passion, as long as they are close to us, when they appear so big, so important, so serious; but as soon as they are borne down the restless stream of time, they lose what significance they had; we think no more of them and soon forget them altogether. They were big only because they were near.

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*Joy* and *sorrow* are not ideas of the mind, but affections of the will, and so they do not lie in the domain of memory. We cannot recall our joys and sorrows; by which I mean that we cannot renew them. We can recall only the *ideas* that accompanied them; and, in particular, the things we were led to say; and these form a gauge of our feelings at the time. Hence our memory of joys and sorrows is always imperfect, and they become a matter of indifference to us as soon as they are over. This explains the vanity of the attempt, which we sometimes make, to revive the pleasures and the pains of the past. Pleasure and pain are essentially an affair of the will; and the will, as such, is not possessed of memory, which is a function of the intellect; and this in its turn gives out and takes in nothing but thoughts and ideas, which are not here in question.

It is a curious fact that in bad days we can very vividly recall the good time that is now no more; but that in good days, we have only a very cold and imperfect memory of the bad.

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We have a much better memory of actual objects or pictures than for mere ideas. Hence a good imagination makes it easier to learn languages; for by its aid, the new word is at once united with the actual object to which it refers; whereas, if there is no imagination, it is simply put on a parallel with the equivalent word in the mother tongue.

Mnemonics should not only mean the art of keeping something indirectly in the memory by the use of some direct pun or witticism; it should, rather, be applied to a systematic theory of memory, and explain its several attributes by reference both to its real nature, and to the relation in which these attributes stand to one another.

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There are moments in life when our senses obtain a higher and rarer degree of clearness, apart from any particular occasion for it in the nature of our surroundings; and explicable, rather, on physiological grounds alone, as the result of some enhanced state of susceptibility, working from within outwards. Such moments remain indelibly impressed upon the memory, and preserve themselves in their individuality entire. We can assign no reason for it, nor explain why this among so many thousand moments like it should

be specially remembered. It seems as much a matter of chance as when single specimens of a whole race of animals now extinct are discovered in the layers of a rock; or when, on opening a book, we light upon an insect accidentally crushed within the leaves. Memories of this kind are always sweet and pleasant.

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It occasionally happens that, for no particular reason, long-forgotten scenes suddenly start up in the memory. This may in many cases be due to the action of some hardly perceptible odor, which accompanied those scenes and now recurs exactly same as before. For it is well known that the sense of smell is specially effective in awakening memories, and that in general it does not require much to rouse a train of ideas. And I may say, in passing, that the sense of sight is connected with the understanding, the sense of hearing with the reason, and, as we see in the present case, the sense of smell with the memory. Touch and Taste are more material and dependent upon contact. They have no ideal side.

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It must also be reckoned among the peculiar attributes of memory that a slight state of intoxication often so greatly enhances the recollection of past times and scenes, that all the circumstances connected with them come back much more clearly than would be possible in a state of sobriety; but that, on the other hand, the recollection of what one said or did while the intoxication lasted, is more than usually imperfect; nay, that if one has been absolutely tipsy, it is gone altogether. We may say, then, that whilst intoxication enhances the memory for what is past, it allows it to remember little of the present.

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Men need some kind of external activity, because they are inactive within. Contrarily, if they are active within, they do not care to be dragged out of themselves; it disturbs and impedes their thoughts in a way that is often most ruinous to them.

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I am not surprised that some people are bored when they find themselves alone; for they cannot laugh if they are quite by themselves. The very idea of it seems folly to them.

Are we, then, to look upon laughter as merely O signal for others — a mere sign, like a word? What makes it impossible for people to laugh when they are alone is nothing but want of imagination, dullness of mind generally — [Greek: *anaisthaesia kai bradutaes psuchaes*], as Theophrastus has it. The lower animals never laugh, either alone or in company. Myson, the misanthropist, was once surprised by one of these people as he was laughing to himself. *Why do you laugh?* he asked; *there is no one with you.* *That is just why I am laughing,* said Myson.

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Natural *gesticulation*, such as commonly accompanies any lively talk, is a language of its own, more widespread, even, than the language of words — so far, I mean, as it is independent of words and alike in all nations. It is true that nations make use of it in proportion as they are vivacious, and that in particular cases, amongst the Italians, for instance, it is supplemented by certain peculiar gestures which are merely conventional, and therefore possessed of nothing more than a local value.

In the universal use made of it, gesticulation has some analogy with logic and grammar, in that it has to do with the form, rather than with the matter of conversation; but on the other hand it is distinguishable from them by the fact that it has more of a moral than of an intellectual bearing; in other words, it reflects the movements of the will. As an accompaniment of conversation it is like the bass of a melody; and if, as in music, it keeps true to the progress of the treble, it serves to heighten the effect.

In a conversation, the gesture depends upon the form in which the subject-matter is conveyed; and it is interesting to observe that, whatever that subject-matter may be, with a recurrence of the form, the very same gesture is repeated. So if I happen to see — from my window, say — two persons carrying on a lively conversation, without my being able to catch a word, I can, nevertheless, understand the general nature of it perfectly well; I mean, the kind of thing that is being said and the form it takes. There is no

mistake about it. The speaker is arguing about something, advancing his reasons, then limiting their application, then driving them home and drawing the conclusion in triumph; or he is recounting his experiences, proving, perhaps, beyond the shadow of a doubt, how much he has been injured, but bringing the clearest and most damning evidence to show that his opponents were foolish and obstinate people who would not be convinced; or else he is telling of the splendid plan he laid, and how he carried it to a successful issue, or perhaps failed because the luck was against him; or, it may be, he is saying that he was completely at a loss to know what to do, or that he was quick in seeing some traps set for him, and that by insisting on his rights or by applying a little force, he succeeded in frustrating and punishing his enemies; and so on in hundreds of cases of a similar kind.

Strictly speaking, however, what I get from gesticulation alone is an abstract notion of the essential drift of what is being said, and that, too, whether I judge from a moral or an intellectual point of view. It is the quintessence, the true substance of the conversation, and this remains identical, no matter what may have given rise to the conversation, or what it may be about; the relation between the two being that of a general idea or class-name to the individuals which it covers.

As I have said, the most interesting and amusing part of the matter is the complete identity and solidarity of the gestures used to denote the same set of circumstances, even though by people of very different temperament; so that the gestures become exactly like words of a language, alike for every one, and subject only to such small modifications as depend upon variety of accent and education. And yet there can be no doubt but that these standing gestures, which every one uses, are the result of no convention or collusion. They are original and innate — a true language of nature; consolidated, it may be, by imitation and the influence of custom.

It is well known that it is part of an actor's duty to make a careful study of gesture; and the same thing is true, to a somewhat smaller degree, of a public speaker. This study must consist chiefly in watching others and imitating their movements, for there are no abstract rules fairly applicable to the matter, with the exception of some very general leading principles, such as — to take an example — that the gesture must not follow the word, but rather come immediately before it, by way of announcing its approach and attracting the hearer's attention.

Englishmen entertain a peculiar contempt for gesticulation, and look upon it as something vulgar and undignified. This seems to me a silly prejudice on their part, and the outcome of their general prudery. For here we have a language which nature has given to every one, and which every one understands; and to do away with and forbid it for no better reason than that it is opposed to that much-lauded thing, gentlemanly feeling, is a very questionable proceeding.

## ON EDUCATION.

The human intellect is said to be so constituted that *general ideas* arise by abstraction from *particular observations*, and therefore come after them in point of time. If this is what actually occurs, as happens in the case of a man who has to depend solely upon his own experience for what he learns — who has no teacher and no book, — such a man knows quite well which of his particular observations belong to and are represented by each of his general ideas. He has a perfect acquaintance with both sides of his experience, and accordingly, he treats everything that comes in his way from a right standpoint. This might be called the *natural* method of education.

Contrarily, the *artificial* method is to hear what other people say, to learn and to read, and so to get your head crammed full of general ideas before you have any sort of extended acquaintance with the world as it is, and as you may see it for yourself. You will be told that the particular observations which go to make these general ideas will come to you later on in the course of experience; but until that time arrives, you apply your general ideas wrongly, you judge men and things from a wrong standpoint, you see them in a wrong light, and treat them in a wrong way. So it is that education perverts the mind.

This explains why it so frequently happens that, after a long course of learning and reading, we enter upon the world in our youth, partly with an artless ignorance of things, partly with wrong notions about them; so that our demeanor savors at one moment of a nervous anxiety, at another of a mistaken confidence. The reason of this is simply that our head is full of general ideas which we are now trying to turn to some use, but which we hardly ever apply rightly. This is the result of acting in direct opposition to the natural development of the mind by obtaining general ideas first, and particular observations last: it is putting the cart before the horse. Instead of developing the child's own faculties of discernment, and teaching it to judge and think for itself, the teacher uses all his energies to stuff its head full of the ready-made thoughts of other people. The mistaken views of life, which spring from a false application of general ideas, have afterwards to be corrected by long years of experience; and it is seldom that they are wholly corrected. This is why so few men of learning are possessed of common-

sense, such as is often to be met with in people who have had no instruction at all.

*To acquire a knowledge of the world* might be defined as the aim of all education; and it follows from what I have said that special stress should be laid upon beginning to acquire this knowledge *at the right end*. As I have shown, this means, in the main, that the particular observation of a thing shall precede the general idea of it; further, that narrow and circumscribed ideas shall come before ideas of a wide range. It means, therefore, that the whole system of education shall follow in the steps that must have been taken by the ideas themselves in the course of their formation. But whenever any of these steps are skipped or left out, the instruction is defective, and the ideas obtained are false; and finally, a distorted view of the world arises, peculiar to the individual himself — a view such as almost everyone entertains for some time, and most men for as long as they live. No one can look into his own mind without seeing that it was only after reaching a very mature age, and in some cases when he least expected it, that he came to a right understanding or a clear view of many matters in his life, that, after all, were not very difficult or complicated. Up till then, they were points in his knowledge of the world which were still obscure, due to his having skipped some particular lesson in those early days of his education, whatever it may have been like — whether artificial and conventional, or of that natural kind which is based upon individual experience.

It follows that an attempt should be made to find out the strictly natural course of knowledge, so that education may proceed methodically by keeping to it; and that children may become acquainted with the ways of the world, without getting wrong ideas into their heads, which very often cannot be got out again. If this plan were adopted, special care would have to be taken to prevent children from using words without clearly understanding their meaning and application. The fatal tendency to be satisfied with words instead of trying to understand things — to learn phrases by heart, so that they may prove a refuge in time of need, exists, as a rule, even in children; and the tendency lasts on into manhood, making the knowledge of many learned persons to consist in mere verbiage.

However, the main endeavor must always be to let particular observations precede general ideas, and not *vice versa*, as is usually and unfortunately the case; as though a child should come feet foremost into the



world, or a verse be begun by writing down the rhyme! The ordinary method is to imprint ideas and opinions, in the strict sense of the word, *prejudices*, on the mind of the child, before it has had any but a very few particular observations. It is thus that he afterwards comes to view the world and gather experience through the medium of those ready-made ideas, rather than to let his ideas be formed for him out of his own experience of life, as they ought to be.

A man sees a great many things when he looks at the world for himself, and he sees them from many sides; but this method of learning is not nearly so short or so quick as the method which employs abstract ideas and makes hasty generalizations about everything. Experience, therefore, will be a long time in correcting preconceived ideas, or perhaps never bring its task to an end; for wherever a man finds that the aspect of things seems to contradict the general ideas he has formed, he will begin by rejecting the evidence it offers as partial and one-sided; nay, he will shut his eyes to it altogether and deny that it stands in any contradiction at all with his preconceived notions, in order that he may thus preserve them uninjured. So it is that many a man carries about a burden of wrong notions all his life long — crotchets, whims, fancies, prejudices, which at last become fixed ideas. The fact is that he has never tried to form his fundamental ideas for himself out of his own experience of life, his own way of looking at the world, because he has taken over his ideas ready-made from other people; and this it is that makes him — as it makes how many others! — so shallow and superficial.

Instead of that method of instruction, care should be taken to educate children on the natural lines. No idea should ever be established in a child's mind otherwise than by what the child can see for itself, or at any rate it should be verified by the same means; and the result of this would be that the child's ideas, if few, would be well-grounded and accurate. It would learn how to measure things by its own standard rather than by another's; and so it would escape a thousand strange fancies and prejudices, and not need to have them eradicated by the lessons it will subsequently be taught in the school of life. The child would, in this way, have its mind once for all habituated to clear views and thorough-going knowledge; it would use its own judgment and take an unbiased estimate of things.

And, in general, children should not form their notions of what life is like from the copy before they have learned it from the original, to whatever aspect of it their attention may be directed. Instead, therefore, of hastening

to place *books*, and books alone, in their hands, let them be made acquainted, step by step, with *things* — with the actual circumstances of human life. And above all let care be taken to bring them to a clear and objective view of the world as it is, to educate them always to derive their ideas directly from real life, and to shape them in conformity with it — not to fetch them from other sources, such as books, fairy tales, or what people say — then to apply them ready-made to real life. For this will mean that their heads are full of wrong notions, and that they will either see things in a false light or try in vain to *remodel the world* to suit their views, and so enter upon false paths; and that, too, whether they are only constructing theories of life or engaged in the actual business of it. It is incredible how much harm is done when the seeds of wrong notions are laid in the mind in those early years, later on to bear a crop of prejudice; for the subsequent lessons, which are learned from real life in the world have to be devoted mainly to their extirpation. *To unlearn the evil* was the answer, according to Diogenes Laertius, Antisthenes gave, when he was asked what branch of knowledge was most necessary; and we can see what he meant.

No child under the age of fifteen should receive instruction in subjects which may possibly be the vehicle of serious error, such as philosophy, religion, or any other branch of knowledge where it is necessary to take large views; because wrong notions imbibed early can seldom be rooted out, and of all the intellectual faculties, judgment is the last to arrive at maturity. The child should give its attention either to subjects where no error is possible at all, such as mathematics, or to those in which there is no particular danger in making a mistake, such as languages, natural science, history and so on. And in general, the branches of knowledge which are to be studied at any period of life should be such as the mind is equal to at that period and can perfectly understand. Childhood and youth form the time for collecting materials, for getting a special and thorough knowledge of the individual and particular things. In those years it is too early to form views on a large scale; and ultimate explanations must be put off to a later date. The faculty of judgment, which cannot come into play without mature experience, should be left to itself; and care should be taken not to anticipate its action by inculcating prejudice, which will paralyze it for ever.

On the other hand, the memory should be specially taxed in youth, since it is then that it is strongest and most tenacious. But in choosing the things that should be committed to memory the utmost care and forethought must

be exercised; as lessons well learnt in youth are never forgotten. This precious soil must therefore be cultivated so as to bear as much fruit as possible. If you think how deeply rooted in your memory are those persons whom you knew in the first twelve years of your life, how indelible the impression made upon you by the events of those years, how clear your recollection of most of the things that happened to you then, most of what was told or taught you, it will seem a natural thing to take the susceptibility and tenacity of the mind at that period as the ground-work of education. This may be done by a strict observance of method, and a systematic regulation of the impressions which the mind is to receive.

But the years of youth allotted to a man are short, and memory is, in general, bound within narrow limits; still more so, the memory of any one individual. Since this is the case, it is all-important to fill the memory with what is essential and material in any branch of knowledge, to the exclusion of everything else. The decision as to what is essential and material should rest with the masterminds in every department of thought; their choice should be made after the most mature deliberation, and the outcome of it fixed and determined. Such a choice would have to proceed by sifting the things which it is necessary and important for a man to know in general, and then, necessary and important for him to know in any particular business or calling. Knowledge of the first kind would have to be classified, after an encyclopaedic fashion, in graduated courses, adapted to the degree of general culture which a man may be expected to have in the circumstances in which he is placed; beginning with a course limited to the necessary requirements of primary education, and extending upwards to the subjects treated of in all the branches of philosophical thought. The regulation of the second kind of knowledge would be left to those who had shown genuine mastery in the several departments into which it is divided; and the whole system would provide an elaborate rule or canon for intellectual education, which would, of course, have to be revised every ten years. Some such arrangement as this would employ the youthful power of the memory to best advantage, and supply excellent working material to the faculty of judgment, when it made its appearance later on.

A man's knowledge may be said to be mature, in other words, it has reached the most complete state of perfection to which he, as an individual, is capable of bringing it, when an exact correspondence is established between the whole of his abstract ideas and the things he has actually

perceived for himself. This will mean that each of his abstract ideas rests, directly or indirectly, upon a basis of observation, which alone endows it with any real value; and also that he is able to place every observation he makes under the right abstract idea which belongs to it. Maturity is the work of experience alone; and therefore it requires time. The knowledge we derive from our own observation is usually distinct from that which we acquire through the medium of abstract ideas; the one coming to us in the natural way, the other by what people tell us, and the course of instruction we receive, whether it is good or bad. The result is, that in youth there is generally very little agreement or correspondence between our abstract ideas, which are merely phrases in the mind, and that real knowledge which we have obtained by our own observation. It is only later on that a gradual approach takes place between these two kinds of knowledge, accompanied by a mutual correction of error; and knowledge is not mature until this coalition is accomplished. This maturity or perfection of knowledge is something quite independent of another kind of perfection, which may be of a high or a low order — the perfection, I mean, to which a man may bring his own individual faculties; which is measured, not by any correspondence between the two kinds of knowledge, but by the degree of intensity which each kind attains.

For the practical man the most needful thing is to acquire an accurate and profound knowledge of *the ways of the world*. But this, though the most needful, is also the most wearisome of all studies, as a man may reach a great age without coming to the end of his task; whereas, in the domain of the sciences, he masters the more important facts when he is still young. In acquiring that knowledge of the world, it is while he is a novice, namely, in boyhood and in youth, that the first and hardest lessons are put before him; but it often happens that even in later years there is still a great deal to be learned.

The study is difficult enough in itself; but the difficulty is doubled by *novels*, which represent a state of things in life and the world, such as, in fact, does not exist. Youth is credulous, and accepts these views of life, which then become part and parcel of the mind; so that, instead of a merely negative condition of ignorance, you have positive error — a whole tissue of false notions to start with; and at a later date these actually spoil the schooling of experience, and put a wrong construction on the lessons it teaches. If, before this, the youth had no light at all to guide him, he is now

misled by a will-o'-the-wisp; still more often is this the case with a girl. They have both had a false view of things foisted on them by reading novels; and expectations have been aroused which can never be fulfilled. This generally exercises a baneful influence on their whole life. In this respect those whose youth has allowed them no time or opportunity for reading novels — those who work with their hands and the like — are in a position of decided advantage. There are a few novels to which this reproach cannot be addressed — nay, which have an effect the contrary of bad. First and foremost, to give an example, *Gil Blas*, and the other works of Le Sage (or rather their Spanish originals); further, *The Vicar of Wakefield*, and, to some extent Sir Walter Scott's novels. *Don Quixote* may be regarded as a satirical exhibition of the error to which I am referring.

## OF WOMEN.

Schiller's poem in honor of women, *Würde der Frauen*, is the result of much careful thought, and it appeals to the reader by its antithetic style and its use of contrast; but as an expression of the true praise which should be accorded to them, it is, I think, inferior to these few words of Jouy's: *Without women, the beginning of our life would be helpless; the middle, devoid of pleasure; and the end, of consolation.* The same thing is more feelingly expressed by Byron in *Sardanapalus*:

*The very first  
Of human life must spring from woman's breast,  
Your first small words are taught you from her lips,  
Your first tears quench'd by her, and your last sighs  
Too often breathed out in a woman's hearing,  
When men have shrunk from the ignoble care  
Of watching the last hour of him who led them.*

(Act I Scene 2.)

These two passages indicate the right standpoint for the appreciation of women.

You need only look at the way in which she is formed, to see that woman is not meant to undergo great labor, whether of the mind or of the body. She pays the debt of life not by what she does, but by what she suffers; by the pains of child-bearing and care for the child, and by submission to her husband, to whom she should be a patient and cheering companion. The keenest sorrows and joys are not for her, nor is she called upon to display a great deal of strength. The current of her life should be more gentle, peaceful and trivial than man's, without being essentially happier or unhappier.

Women are directly fitted for acting as the nurses and teachers of our early childhood by the fact that they are themselves childish, frivolous and short-sighted; in a word, they are big children all their life long — a kind of intermediate stage between the child and the full-grown man, who is man in the strict sense of the word. See how a girl will fondle a child for days

together, dance with it and sing to it; and then think what a man, with the best will in the world, could do if he were put in her place.

With young girls Nature seems to have had in view what, in the language of the drama, is called *a striking effect*; as for a few years she dowers them with a wealth of beauty and is lavish in her gift of charm, at the expense of all the rest of their life; so that during those years they may capture the fantasy of some man to such a degree that he is hurried away into undertaking the honorable care of them, in some form or other, as long as they live — a step for which there would not appear to be any sufficient warranty if reason only directed his thoughts. Accordingly, Nature has equipped woman, as she does all her creatures, with the weapons and implements requisite for the safeguarding of her existence, and for just as long as it is necessary for her to have them. Here, as elsewhere, Nature proceeds with her usual economy; for just as the female ant, after fecundation, loses her wings, which are then superfluous, nay, actually a danger to the business of breeding; so, after giving birth to one or two children, a woman generally loses her beauty; probably, indeed, for similar reasons.

And so we find that young girls, in their hearts, look upon domestic affairs or work of any kind as of secondary importance, if not actually as a mere jest. The only business that really claims their earnest attention is love, making conquests, and everything connected with this — dress, dancing, and so on.

The nobler and more perfect a thing is, the later and slower it is in arriving at maturity. A man reaches the maturity of his reasoning powers and mental faculties hardly before the age of twenty-eight; a woman at eighteen. And then, too, in the case of woman, it is only reason of a sort — very niggard in its dimensions. That is why women remain children their whole life long; never seeing anything but what is quite close to them, cleaving to the present moment, taking appearance for reality, and preferring trifles to matters of the first importance. For it is by virtue of his reasoning faculty that man does not live in the present only, like the brute, but looks about him and considers the past and the future; and this is the origin of prudence, as well as of that care and anxiety which so many people exhibit. Both the advantages and the disadvantages which this involves, are shared in by the woman to a smaller extent because of her weaker power of reasoning. She may, in fact, be described as intellectually

short-sighted, because, while she has an intuitive understanding of what lies quite close to her, her field of vision is narrow and does not reach to what is remote; so that things which are absent, or past, or to come, have much less effect upon women than upon men. This is the reason why women are more often inclined to be extravagant, and sometimes carry their inclination to a length that borders upon madness. In their hearts, women think that it is men's business to earn money and theirs to spend it — if possible during their husband's life, but, at any rate, after his death. The very fact that their husband hands them over his earnings for purposes of housekeeping, strengthens them in this belief.

However many disadvantages all this may involve, there is at least this to be said in its favor; that the woman lives more in the present than the man, and that, if the present is at all tolerable, she enjoys it more eagerly. This is the source of that cheerfulness which is peculiar to women, fitting her to amuse man in his hours of recreation, and, in case of need, to console him when he is borne down by the weight of his cares.

It is by no means a bad plan to consult women in matters of difficulty, as the Germans used to do in ancient times; for their way of looking at things is quite different from ours, chiefly in the fact that they like to take the shortest way to their goal, and, in general, manage to fix their eyes upon what lies before them; while we, as a rule, see far beyond it, just because it is in front of our noses. In cases like this, we need to be brought back to the right standpoint, so as to recover the near and simple view.

Then, again, women are decidedly more sober in their judgment than we are, so that they do not see more in things than is really there; whilst, if our passions are aroused, we are apt to see things in an exaggerated way, or imagine what does not exist.

The weakness of their reasoning faculty also explains why it is that women show more sympathy for the unfortunate than men do, and so treat them with more kindness and interest; and why it is that, on the contrary, they are inferior to men in point of justice, and less honorable and conscientious. For it is just because their reasoning power is weak that present circumstances have such a hold over them, and those concrete things, which lie directly before their eyes, exercise a power which is seldom counteracted to any extent by abstract principles of thought, by fixed rules of conduct, firm resolutions, or, in general, by consideration for the past and the future, or regard for what is absent and remote.



Accordingly, they possess the first and main elements that go to make a virtuous character, but they are deficient in those secondary qualities which are often a necessary instrument in the formation of it.

Hence, it will be found that the fundamental fault of the female character is that it has *no sense of justice*. This is mainly due to the fact, already mentioned, that women are defective in the powers of reasoning and deliberation; but it is also traceable to the position which Nature has assigned to them as the weaker sex. They are dependent, not upon strength, but upon craft; and hence their instinctive capacity for cunning, and their ineradicable tendency to say what is not true. For as lions are provided with claws and teeth, and elephants and boars with tusks, bulls with horns, and cuttle fish with its clouds of inky fluid, so Nature has equipped woman, for her defence and protection, with the arts of dissimulation; and all the power which Nature has conferred upon man in the shape of physical strength and reason, has been bestowed upon women in this form. Hence, dissimulation is innate in woman, and almost as much a quality of the stupid as of the clever. It is as natural for them to make use of it on every occasion as it is for those animals to employ their means of defence when they are attacked; they have a feeling that in doing so they are only within their rights. Therefore a woman who is perfectly truthful and not given to dissimulation is perhaps an impossibility, and for this very reason they are so quick at seeing through dissimulation in others that it is not a wise thing to attempt it with them. But this fundamental defect which I have stated, with all that it entails, gives rise to falsity, faithlessness, treachery, ingratitude, and so on. Perjury in a court of justice is more often committed by women than by men. It may, indeed, be generally questioned whether women ought to be sworn in at all. From time to time one finds repeated cases everywhere of ladies, who want for nothing, taking things from shop-counters when no one is looking, and making off with them.

Nature has appointed that the propagation of the species shall be the business of men who are young, strong and handsome; so that the race may not degenerate. This is the firm will and purpose of Nature in regard to the species, and it finds its expression in the passions of women. There is no law that is older or more powerful than this. Woe, then, to the man who sets up claims and interests that will conflict with it; whatever he may say and do, they will be unmercifully crushed at the first serious encounter. For the innate rule that governs women's conduct, though it is secret and

unformulated, nay, unconscious in its working, is this: *We are justified in deceiving those who think they have acquired rights over the species by paying little attention to the individual, that is, to us. The constitution and, therefore, the welfare of the species have been placed in our hands and committed to our care, through the control we obtain over the next generation, which proceeds from us; let us discharge our duties conscientiously.* But women have no abstract knowledge of this leading principle; they are conscious of it only as a concrete fact; and they have no other method of giving expression to it than the way in which they act when the opportunity arrives. And then their conscience does not trouble them so much as we fancy; for in the darkest recesses of their heart, they are aware that in committing a breach of their duty towards the individual, they have all the better fulfilled their duty towards the species, which is infinitely greater.

And since women exist in the main solely for the propagation of the species, and are not destined for anything else, they live, as a rule, more for the species than for the individual, and in their hearts take the affairs of the species more seriously than those of the individual. This gives their whole life and being a certain levity; the general bent of their character is in a direction fundamentally different from that of man; and it is this to which produces that discord in married life which is so frequent, and almost the normal state.

The natural feeling between men is mere indifference, but between women it is actual enmity. The reason of this is that trade-jealousy — *odium figulinum* — which, in the case of men does not go beyond the confines of their own particular pursuit; but, with women, embraces the whole sex; since they have only one kind of business. Even when they meet in the street, women look at one another like Guelphs and Ghibellines. And it is a patent fact that when two women make first acquaintance with each other, they behave with more constraint and dissimulation than two men would show in a like case; and hence it is that an exchange of compliments between two women is a much more ridiculous proceeding than between two men. Further, whilst a man will, as a general rule, always preserve a certain amount of consideration and humanity in speaking to others, even to those who are in a very inferior position, it is intolerable to see how proudly and disdainfully a fine lady will generally behave towards one who is in a lower social rank (I do not mean a woman who is in her service), whenever

she speaks to her. The reason of this may be that, with women, differences of rank are much more precarious than with us; because, while a hundred considerations carry weight in our case, in theirs there is only one, namely, with which man they have found favor; as also that they stand in much nearer relations with one another than men do, in consequence of the one-sided nature of their calling. This makes them endeavor to lay stress upon differences of rank.

It is only the man whose intellect is clouded by his sexual impulses that could give the name of *the fair sex* to that under-sized, narrow-shouldered, broad-hipped, and short-legged race; for the whole beauty of the sex is bound up with this impulse. Instead of calling them beautiful, there would be more warrant for describing women as the un-aesthetic sex. Neither for music, nor for poetry, nor for fine art, have they really and truly any sense or susceptibility; it is a mere mockery if they make a pretence of it in order to assist their endeavor to please. Hence, as a result of this, they are incapable of taking a *purely objective interest* in anything; and the reason of it seems to me to be as follows. A man tries to acquire *direct* mastery over things, either by understanding them, or by forcing them to do his will. But a woman is always and everywhere reduced to obtaining this mastery *indirectly*, namely, through a man; and whatever direct mastery she may have is entirely confined to him. And so it lies in woman's nature to look upon everything only as a means for conquering man; and if she takes an interest in anything else, it is simulated — a mere roundabout way of gaining her ends by coquetry, and feigning what she does not feel. Hence, even Rousseau declared: *Women have, in general, no love for any art; they have no proper knowledge of any; and they have no genius.*

No one who sees at all below the surface can have failed to remark the same thing. You need only observe the kind of attention women bestow upon a concert, an opera, or a play — the childish simplicity, for example, with which they keep on chattering during the finest passages in the greatest masterpieces. If it is true that the Greeks excluded women from their theatres they were quite right in what they did; at any rate you would have been able to hear what was said upon the stage. In our day, besides, or in lieu of saying, *Let a woman keep silence in the church*, it would be much to the point to say *Let a woman keep silence in the theatre*. This might, perhaps, be put up in big letters on the curtain.

And you cannot expect anything else of women if you consider that the most distinguished intellects among the whole sex have never managed to produce a single achievement in the fine arts that is really great, genuine, and original; or given to the world any work of permanent value in any sphere. This is most strikingly shown in regard to painting, where mastery of technique is at least as much within their power as within ours — and hence they are diligent in cultivating it; but still, they have not a single great painting to boast of, just because they are deficient in that objectivity of mind which is so directly indispensable in painting. They never get beyond a subjective point of view. It is quite in keeping with this that ordinary women have no real susceptibility for art at all; for Nature proceeds in strict sequence — *non facit saltum*. And Huarte in his *Examen de ingenios para las ciencias* — a book which has been famous for three hundred years — denies women the possession of all the higher faculties. The case is not altered by particular and partial exceptions; taken as a whole, women are, and remain, thorough-going Philistines, and quite incurable. Hence, with that absurd arrangement which allows them to share the rank and title of their husbands they are a constant stimulus to his ignoble ambitions. And, further, it is just because they are Philistines that modern society, where they take the lead and set the tone, is in such a bad way. Napoleon's saying — that *women have no rank* — should be adopted as the right standpoint in determining their position in society; and as regards their other qualities Chamfort makes the very true remark: *They are made to trade with our own weaknesses and our follies, but not with our reason. The sympathies that exist between them and men are skin-deep only, and do not touch the mind or the feelings or the character.* They form the *sexus sequior* — the second sex, inferior in every respect to the first; their infirmities should be treated with consideration; but to show them great reverence is extremely ridiculous, and lowers us in their eyes. When Nature made two divisions of the human race, she did not draw the line exactly through the middle. These divisions are polar and opposed to each other, it is true; but the difference between them is not qualitative merely, it is also quantitative.

This is just the view which the ancients took of woman, and the view which people in the East take now; and their judgment as to her proper

position is much more correct than ours, with our old French notions of gallantry and our preposterous system of reverence — that highest product of Teutonico-Christian stupidity. These notions have served only to make women more arrogant and overbearing; so that one is occasionally reminded of the holy apes in Benares, who in the consciousness of their sanctity and inviolable position, think they can do exactly as they please.

But in the West, the woman, and especially the *lady*, finds herself in a false position; for woman, rightly called by the ancients, *sexus sequior*, is by no means fit to be the object of our honor and veneration, or to hold her head higher than man and be on equal terms with him. The consequences of this false position are sufficiently obvious. Accordingly, it would be a very desirable thing if this Number-Two of the human race were in Europe also relegated to her natural place, and an end put to that lady nuisance, which not only moves all Asia to laughter, but would have been ridiculed by Greece and Rome as well. It is impossible to calculate the good effects which such a change would bring about in our social, civil and political arrangements. There would be no necessity for the Salic law: it would be a superfluous truism. In Europe the *lady*, strictly so-called, is a being who should not exist at all; she should be either a housewife or a girl who hopes to become one; and she should be brought up, not to be arrogant, but to be thrifty and submissive. It is just because there are such people as *ladies* in Europe that the women of the lower classes, that is to say, the great majority of the sex, are much more unhappy than they are in the East. And even Lord Byron says: *Thought of the state of women under the ancient Greeks — convenient enough. Present state, a remnant of the barbarism of the chivalric and the feudal ages — artificial and unnatural. They ought to mind home — and be well fed and clothed — but not mixed in society. Well educated, too, in religion — but to read neither poetry nor politics — nothing but books of piety and cookery. Music — drawing — dancing — also a little gardening and ploughing now and then. I have seen them mending the roads in Epirus with good success. Why not, as well as hay-making and milking?*

The laws of marriage prevailing in Europe consider the woman as the equivalent of the man — start, that is to say, from a wrong position. In our part of the world where monogamy is the rule, to marry means to halve one's rights and double one's duties. Now, when the laws gave women equal rights with man, they ought to have also endowed her with a

masculine intellect. But the fact is, that just in proportion as the honors and privileges which the laws accord to women, exceed the amount which nature gives, is there a diminution in the number of women who really participate in these privileges; and all the remainder are deprived of their natural rights by just so much as is given to the others over and above their share. For the institution of monogamy, and the laws of marriage which it entails, bestow upon the woman an unnatural position of privilege, by considering her throughout as the full equivalent of the man, which is by no means the case; and seeing this, men who are shrewd and prudent very often scruple to make so great a sacrifice and to acquiesce in so unfair an arrangement.

Consequently, whilst among polygamous nations every woman is provided for, where monogamy prevails the number of married women is limited; and there remains over a large number of women without stay or support, who, in the upper classes, vegetate as useless old maids, and in the lower succumb to hard work for which they are not suited; or else become *filles de joie*, whose life is as destitute of joy as it is of honor. But under the circumstances they become a necessity; and their position is openly recognized as serving the special end of warding off temptation from those women favored by fate, who have found, or may hope to find, husbands. In London alone there are 80,000 prostitutes. What are they but the women, who, under the institution of monogamy have come off worse? Theirs is a dreadful fate: they are human sacrifices offered up on the altar of monogamy. The women whose wretched position is here described are the inevitable set-off to the European lady with her arrogance and pretension. Polygamy is therefore a real benefit to the female sex if it is taken as a whole. And, from another point of view, there is no true reason why a man whose wife suffers from chronic illness, or remains barren, or has gradually become too old for him, should not take a second. The motives which induce so many people to become converts to Mormonism appear to be just those which militate against the unnatural institution of monogamy.

Moreover, the bestowal of unnatural rights upon women has imposed upon them unnatural duties, and, nevertheless, a breach of these duties makes them unhappy. Let me explain. A man may often think that his social or financial position will suffer if he marries, unless he makes some brilliant alliance. His desire will then be to win a woman of his own choice under conditions other than those of marriage, such as will secure her position and

that of the children. However fair, reasonable, fit and proper these conditions may be, and the woman consents by foregoing that undue amount of privilege which marriage alone can bestow, she to some extent loses her honor, because marriage is the basis of civic society; and she will lead an unhappy life, since human nature is so constituted that we pay an attention to the opinion of other people which is out of all proportion to its value. On the other hand, if she does not consent, she runs the risk either of having to be given in marriage to a man whom she does not like, or of being landed high and dry as an old maid; for the period during which she has a chance of being settled for life is very short. And in view of this aspect of the institution of monogamy, Thomasius' profoundly learned treatise, *de Concubinato*, is well worth reading; for it shows that, amongst all nations and in all ages, down to the Lutheran Reformation, concubinage was permitted; nay, that it was an institution which was to a certain extent actually recognized by law, and attended with no dishonor. It was only the Lutheran Reformation that degraded it from this position. It was seen to be a further justification for the marriage of the clergy; and then, after that, the Catholic Church did not dare to remain behind-hand in the matter.

There is no use arguing about polygamy; it must be taken as *de facto* existing everywhere, and the only question is as to how it shall be regulated. Where are there, then, any real monogamists? We all live, at any rate, for a time, and most of us, always, in polygamy. And so, since every man needs many women, there is nothing fairer than to allow him, nay, to make it incumbent upon him, to provide for many women. This will reduce woman to her true and natural position as a subordinate being; and the *lady* — that monster of European civilization and Teutonico-Christian stupidity — will disappear from the world, leaving only *women*, but no more *unhappy women*, of whom Europe is now full.

In India, no woman is ever independent, but in accordance with the law of Mamu, she stands under the control of her father, her husband, her brother or her son. It is, to be sure, a revolting thing that a widow should immolate herself upon her husband's funeral pyre; but it is also revolting that she should spend her husband's money with her paramours — the money for which he toiled his whole life long, in the consoling belief that he was providing for his children. Happy are those who have kept the middle course — *medium tenuere beati*.

The first love of a mother for her child is, with the lower animals as with men, of a purely *instinctive* character, and so it ceases when the child is no longer in a physically helpless condition. After that, the first love should give way to one that is based on habit and reason; but this often fails to make its appearance, especially where the mother did not love the father. The love of a father for his child is of a different order, and more likely to last; because it has its foundation in the fact that in the child he recognizes his own inner self; that is to say, his love for it is metaphysical in its origin.

In almost all nations, whether of the ancient or the modern world, even amongst the Hottentots, property is inherited by the male descendants alone; it is only in Europe that a departure has taken place; but not amongst the nobility, however. That the property which has cost men long years of toil and effort, and been won with so much difficulty, should afterwards come into the hands of women, who then, in their lack of reason, squander it in a short time, or otherwise fool it away, is a grievance and a wrong as serious as it is common, which should be prevented by limiting the right of women to inherit. In my opinion, the best arrangement would be that by which women, whether widows or daughters, should never receive anything beyond the interest for life on property secured by mortgage, and in no case the property itself, or the capital, except where all male descendants fail. The people who make money are men, not women; and it follows from this that women are neither justified in having unconditional possession of it, nor fit persons to be entrusted with its administration. When wealth, in any true sense of the word, that is to say, funds, houses or land, is to go to them as an inheritance they should never be allowed the free disposition of it. In their case a guardian should always be appointed; and hence they should never be given the free control of their own children, wherever it can be avoided. The vanity of women, even though it should not prove to be greater than that of men, has this much danger in it, that it takes an entirely material direction. They are vain, I mean, of their personal beauty, and then of finery, show and magnificence. That is just why they are so much in their element in society. It is this, too, which makes them so inclined to be extravagant, all the more as their reasoning power is low. Accordingly we find an ancient writer describing woman as in general of an extravagant nature — [Greek: *Gynae to synolon esti dapanaeron Physei*] But with men vanity often takes the direction of non-material advantages, such as intellect, learning, courage.



In the *Politics* Aristotle explains the great disadvantage which accrued to the Spartans from the fact that they conceded too much to their women, by giving them the right of inheritance and dower, and a great amount of independence; and he shows how much this contributed to Sparta's fall. May it not be the case in France that the influence of women, which went on increasing steadily from the time of Louis XIII., was to blame for that gradual corruption of the Court and the Government, which brought about the Revolution of 1789, of which all subsequent disturbances have been the fruit? However that may be, the false position which women occupy, demonstrated as it is, in the most glaring way, by the institution of the *lady*, is a fundamental defect in our social scheme, and this defect, proceeding from the very heart of it, must spread its baneful influence in all directions.

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That woman is by nature meant to obey may be seen by the fact that every woman who is placed in the unnatural position of complete independence, immediately attaches herself to some man, by whom she allows herself to be guided and ruled. It is because she needs a lord and master. If she is young, it will be a lover; if she is old, a priest.

## ON NOISE.

Kant wrote a treatise on *The Vital Powers*. I should prefer to write a dirge for them. The superabundant display of vitality, which takes the form of knocking, hammering, and tumbling things about, has proved a daily torment to me all my life long. There are people, it is true — nay, a great many people — who smile at such things, because they are not sensitive to noise; but they are just the very people who are also not sensitive to argument, or thought, or poetry, or art, in a word, to any kind of intellectual influence. The reason of it is that the tissue of their brains is of a very rough and coarse quality. On the other hand, noise is a torture to intellectual people. In the biographies of almost all great writers, or wherever else their personal utterances are recorded, I find complaints about it; in the case of Kant, for instance, Goethe, Lichtenberg, Jean Paul; and if it should happen that any writer has omitted to express himself on the matter, it is only for want of an opportunity.

This aversion to noise I should explain as follows: If you cut up a large diamond into little bits, it will entirely lose the value it had as a whole; and an army divided up into small bodies of soldiers, loses all its strength. So a great intellect sinks to the level of an ordinary one, as soon as it is interrupted and disturbed, its attention distracted and drawn off from the matter in hand; for its superiority depends upon its power of concentration — of bringing all its strength to bear upon one theme, in the same way as a concave mirror collects into one point all the rays of light that strike upon it. Noisy interruption is a hindrance to this concentration. That is why distinguished minds have always shown such an extreme dislike to disturbance in any form, as something that breaks in upon and distracts their thoughts. Above all have they been averse to that violent interruption that comes from noise. Ordinary people are not much put out by anything of the sort. The most sensible and intelligent of all nations in Europe lays down the rule, *Never Interrupt!* as the eleventh commandment. Noise is the most impertinent of all forms of interruption. It is not only an interruption, but also a disruption of thought. Of course, where there is nothing to interrupt, noise will not be so particularly painful. Occasionally it happens that some slight but constant noise continues to bother and distract me for a time before I become distinctly conscious of it. All I feel is a steady increase in

the labor of thinking — just as though I were trying to walk with a weight on my foot. At last I find out what it is. Let me now, however, pass from genus to species. The most inexcusable and disgraceful of all noises is the cracking of whips — a truly infernal thing when it is done in the narrow resounding streets of a town. I denounce it as making a peaceful life impossible; it puts an end to all quiet thought. That this cracking of whips should be allowed at all seems to me to show in the clearest way how senseless and thoughtless is the nature of mankind. No one with anything like an idea in his head can avoid a feeling of actual pain at this sudden, sharp crack, which paralyzes the brain, rends the thread of reflection, and murders thought. Every time this noise is made, it must disturb a hundred people who are applying their minds to business of some sort, no matter how trivial it may be; while on the thinker its effect is woeful and disastrous, cutting his thoughts asunder, much as the executioner's axe severs the head from the body. No sound, be it ever so shrill, cuts so sharply into the brain as this cursed cracking of whips; you feel the sting of the lash right inside your head; and it affects the brain in the same way as touch affects a sensitive plant, and for the same length of time.

With all due respect for the most holy doctrine of utility, I really cannot see why a fellow who is taking away a wagon-load of gravel or dung should thereby obtain the right to kill in the bud the thoughts which may happen to be springing up in ten thousand heads — the number he will disturb one after another in half an hour's drive through the town. Hammering, the barking of dogs, and the crying of children are horrible to hear; but your only genuine assassin of thought is the crack of a whip; it exists for the purpose of destroying every pleasant moment of quiet thought that any one may now and then enjoy. If the driver had no other way of urging on his horse than by making this most abominable of all noises, it would be excusable; but quite the contrary is the case. This cursed cracking of whips is not only unnecessary, but even useless. Its aim is to produce an effect upon the intelligence of the horse; but through the constant abuse of it, the animal becomes habituated to the sound, which falls upon blunted feelings and produces no effect at all. The horse does not go any faster for it. You have a remarkable example of this in the ceaseless cracking of his whip on the part of a cab-driver, while he is proceeding at a slow pace on the lookout for a fare. If he were to give his horse the slightest touch with the whip, it would have much more effect. Supposing, however, that it were

absolutely necessary to crack the whip in order to keep the horse constantly in mind of its presence, it would be enough to make the hundredth part of the noise. For it is a well-known fact that, in regard to sight and hearing, animals are sensitive to even the faintest indications; they are alive to things that we can scarcely perceive. The most surprising instances of this are furnished by trained dogs and canary birds.

It is obvious, therefore, that here we have to do with an act of pure wantonness; nay, with an impudent defiance offered to those members of the community who work with their heads by those who work with their hands. That such infamy should be tolerated in a town is a piece of barbarity and iniquity, all the more as it could easily be remedied by a police-notice to the effect that every lash shall have a knot at the end of it. There can be no harm in drawing the attention of the mob to the fact that the classes above them work with their heads, for any kind of headwork is mortal anguish to the man in the street. A fellow who rides through the narrow alleys of a populous town with unemployed post-horses or cart-horses, and keeps on cracking a whip several yards long with all his might, deserves there and then to stand down and receive five really good blows with a stick.

All the philanthropists in the world, and all the legislators, meeting to advocate and decree the total abolition of corporal punishment, will never persuade me to the contrary! There is something even more disgraceful than what I have just mentioned. Often enough you may see a carter walking along the street, quite alone, without any horses, and still cracking away incessantly; so accustomed has the wretch become to it in consequence of the unwarrantable toleration of this practice. A man's body and the needs of his body are now everywhere treated with a tender indulgence. Is the thinking mind then, to be the only thing that is never to obtain the slightest measure of consideration or protection, to say nothing of respect? Carters, porters, messengers — these are the beasts of burden amongst mankind; by all means let them be treated justly, fairly, indulgently, and with forethought; but they must not be permitted to stand in the way of the higher endeavors of humanity by wantonly making a noise. How many great and splendid thoughts, I should like to know, have been lost to the world by the crack of a whip? If I had the upper hand, I should soon produce in the heads of these people an indissoluble association of ideas between cracking a whip and getting a whipping.

Let us hope that the more intelligent and refined among the nations will make a beginning in this matter, and then that the Germans may take example by it and follow suit. Meanwhile, I may quote what Thomas Hood says of them: *For a musical nation, they are the most noisy I ever met with.* That they are so is due to the fact, not that they are more fond of making a noise than other people — they would deny it if you asked them — but that their senses are obtuse; consequently, when they hear a noise, it does not affect them much. It does not disturb them in reading or thinking, simply because they do not think; they only smoke, which is their substitute for thought. The general toleration of unnecessary noise — the slamming of doors, for instance, a very unmannerly and ill-bred thing — is direct evidence that the prevailing habit of mind is dullness and lack of thought. In Germany it seems as though care were taken that no one should ever think for mere noise — to mention one form of it, the way in which drumming goes on for no purpose at all.

Finally, as regards the literature of the subject treated of in this chapter, I have only one work to recommend, but it is a good one. I refer to a poetical epistle in *terzo rimo* by the famous painter Bronzino, entitled *De' Romori: a Messer Luca Martini*. It gives a detailed description of the torture to which people are put by the various noises of a small Italian town. Written in a tragicomic style, it is very amusing. The epistle may be found in *Opere burlesche del Berni, Aretino ed altri*, Vol. II., ; apparently published in Utrecht in 1771.

## A FEW PARABLES.

In a field of ripening corn I came to a place which had been trampled down by some ruthless foot; and as I glanced amongst the countless stalks, every one of them alike, standing there so erect and bearing the full weight of the ear, I saw a multitude of different flowers, red and blue and violet. How pretty they looked as they grew there so naturally with their little foliage! But, thought I, they are quite useless; they bear no fruit; they are mere weeds, suffered to remain only because there is no getting rid of them. And yet, but for these flowers, there would be nothing to charm the eye in that wilderness of stalks. They are emblematic of poetry and art, which, in civic life — so severe, but still useful and not without its fruit — play the same part as flowers in the corn.

\* \* \* \* \*

There are some really beautifully landscapes in the world, but the human figures in them are poor, and you had not better look at them.

\* \* \* \* \*

The fly should be used as the symbol of impertinence and audacity; for whilst all other animals shun man more than anything else, and run away even before he comes near them, the fly lights upon his very nose.

\* \* \* \* \*

Two Chinamen traveling in Europe went to the theatre for the first time. One of them did nothing but study the machinery, and he succeeded in finding out how it was worked. The other tried to get at the meaning of the piece in spite of his ignorance of the language. Here you have the Astronomer and the Philosopher.

\* \* \* \* \*

Wisdom which is only theoretical and never put into practice, is like a double rose; its color and perfume are delightful, but it withers away and

leaves no seed.

No rose without a thorn. Yes, but many a thorn without a rose.

\* \* \* \* \*

A wide-spreading apple-tree stood in full bloom, and behind it a straight fir raised its dark and tapering head. *Look at the thousands of gay blossoms which cover me everywhere*, said the apple-tree; *what have you to show in comparison? Dark-green needles! That is true*, replied the fir, *but when winter comes, you will be bared of your glory; and I shall be as I am now.*

\* \* \* \* \*

Once, as I was botanizing under an oak, I found amongst a number of other plants of similar height one that was dark in color, with tightly closed leaves and a stalk that was very straight and stiff. When I touched it, it said to me in firm tones: *Let me alone; I am not for your collection, like these plants to which Nature has given only a single year of life. I am a little oak.*

So it is with a man whose influence is to last for hundreds of years. As a child, as a youth, often even as a full-grown man, nay, his whole life long, he goes about among his fellows, looking like them and seemingly as unimportant. But let him alone; he will not die. Time will come and bring those who know how to value him.

\* \* \* \* \*

The man who goes up in a balloon does not feel as though he were ascending; he only sees the earth sinking deeper under him.

There is a mystery which only those will understand who feel the truth of it.

\* \* \* \* \*

Your estimation of a man's size will be affected by the distance at which you stand from him, but in two entirely opposite ways according as it is his physical or his mental stature that you are considering. The one will seem smaller, the farther off you move; the other, greater.

\* \* \* \* \*

Nature covers all her works with a varnish of beauty, like the tender bloom that is breathed, as it were, on the surface of a peach or a plum. Painters and poets lay themselves out to take off this varnish, to store it up, and give it us to be enjoyed at our leisure. We drink deep of this beauty long before we enter upon life itself; and when afterwards we come to see the works of Nature for ourselves, the varnish is gone: the artists have used it up and we have enjoyed it in advance. Thus it is that the world so often appears harsh and devoid of charm, nay, actually repulsive. It were better to leave us to discover the varnish for ourselves. This would mean that we should not enjoy it all at once and in large quantities; we should have no finished pictures, no perfect poems; but we should look at all things in that genial and pleasing light in which even now a child of Nature sometimes sees them — some one who has not anticipated his aesthetic pleasures by the help of art, or taken the charms of life too early.

\* \* \* \* \*

The Cathedral in Mayence is so shut in by the houses that are built round about it, that there is no one spot from which you can see it as a whole. This is symbolic of everything great or beautiful in the world. It ought to exist for its own sake alone, but before very long it is misused to serve alien ends. People come from all directions wanting to find in it support and maintenance for themselves; they stand in the way and spoil its effect. To be sure, there is nothing surprising in this, for in a world of need and imperfection everything is seized upon which can be used to satisfy want. Nothing is exempt from this service, no, not even those very things which arise only when need and want are for a moment lost sight of — the beautiful and the true, sought for their own sakes.

This is especially illustrated and corroborated in the case of institutions — whether great or small, wealthy or poor, founded, no matter in what century or in what land, to maintain and advance human knowledge, and generally to afford help to those intellectual efforts which ennoble the race. Wherever these institutions may be, it is not long before people sneak up to them under the pretence of wishing to further those special ends, while they are really led on by the desire to secure the emoluments which have been



left for their furtherance, and thus to satisfy certain coarse and brutal instincts of their own. Thus it is that we come to have so many charlatans in every branch of knowledge. The charlatan takes very different shapes according to circumstances; but at bottom he is a man who cares nothing about knowledge for its own sake, and only strives to gain the semblance of it that he may use it for his own personal ends, which are always selfish and material.

\* \* \* \* \*

Every hero is a Samson. The strong man succumbs to the intrigues of the weak and the many; and if in the end he loses all patience he crushes both them and himself. Or he is like Gulliver at Lilliput, overwhelmed by an enormous number of little men.

\* \* \* \* \*

A mother gave her children Aesop's fables to read, in the hope of educating and improving their minds; but they very soon brought the book back, and the eldest, wise beyond his years, delivered himself as follows: *This is no book for us; it's much too childish and stupid. You can't make us believe that foxes and wolves and ravens are able to talk; we've got beyond stories of that kind!*

In these young hopefuls you have the enlightened Rationalists of the future.

\* \* \* \* \*

A number of porcupines huddled together for warmth on a cold day in winter; but, as they began to prick one another with their quills, they were obliged to disperse. However the cold drove them together again, when just the same thing happened. At last, after many turns of huddling and dispersing, they discovered that they would be best off by remaining at a little distance from one another. In the same way the need of society drives the human porcupines together, only to be mutually repelled by the many prickly and disagreeable qualities of their nature. The moderate distance which they at last discover to be the only tolerable condition of intercourse, is the code of politeness and fine manners; and those who transgress it are

roughly told — in the English phrase — *to keep their distance*. By this arrangement the mutual need of warmth is only very moderately satisfied; but then people do not get pricked. A man who has some heat in himself prefers to remain outside, where he will neither prick other people nor get pricked himself.

# ON HUMAN NATURE



*Translated by T. Bailey Saunders*

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## TRANSLATOR'S PREFACE.

The following essays are drawn from the chapters entitled *Zur Ethik* and *Zur Rechtslehre und Politik* which are to be found both in Schopenhauer's *Parerga* and in his posthumous writings. As in my previous volumes, so also in this, I have omitted a few passages which appeared to me to be either antiquated or no longer of any general interest. For convenience' sake I have divided the original chapters into sections, which I have had to name; and I have also had to invent a title which should express their real scope. The reader will find that it is not so much *Ethics* and *Politics* that are here treated, as human nature itself in various aspects.

T.B.S.

## HUMAN NATURE.

Truths of the physical order may possess much external significance, but internal significance they have none. The latter is the privilege of intellectual and moral truths, which are concerned with the objectivation of the will in its highest stages, whereas physical truths are concerned with it in its lowest.

For example, if we could establish the truth of what up till now is only a conjecture, namely, that it is the action of the sun which produces thermoelectricity at the equator; that this produces terrestrial magnetism; and that this magnetism, again, is the cause of the *aurora borealis*, these would be truths externally of great, but internally of little, significance. On the other hand, examples of internal significance are furnished by all great and true philosophical systems; by the catastrophe of every good tragedy; nay, even by the observation of human conduct in the extreme manifestations of its morality and immorality, of its good and its evil character. For all these are expressions of that reality which takes outward shape as the world, and which, in the highest stages of its objectivation, proclaims its innermost nature.

To say that the world has only a physical and not a moral significance is the greatest and most pernicious of all errors, the fundamental blunder, the real perversity of mind and temper; and, at bottom, it is doubtless the tendency which faith personifies as Anti-Christ. Nevertheless, in spite of all religions — and they are systems which one and all maintain the opposite, and seek to establish it in their mythical way — this fundamental error never becomes quite extinct, but raises its head from time to time afresh, until universal indignation compels it to hide itself once more.

Yet, however certain we may feel of the moral significance of life and the world, to explain and illustrate it, and to resolve the contradiction between this significance and the world as it is, form a task of great difficulty; so great, indeed, as to make it possible that it has remained for me to exhibit the true and only genuine and sound basis of morality everywhere and at all times effective, together with the results to which it leads. The actual facts of morality are too much on my side for me to fear that my theory can ever be replaced or upset by any other.

However, so long as even my ethical system continues to be ignored by the professorial world, it is Kant's moral principle that prevails in the

universities. Among its various forms the one which is most in favour at present is “the dignity of man.” I have already exposed the absurdity of this doctrine in my treatise on the *Foundation of Morality*. Therefore I will only say here that if the question were asked on what the alleged dignity of man rests, it would not be long before the answer was made that it rests upon his morality. In other words, his morality rests upon his dignity, and his dignity rests upon his morality.

But apart from this circular argument it seems to me that the idea of dignity can be applied only in an ironical sense to a being whose will is so sinful, whose intellect is so limited, whose body is so weak and perishable as man’s. How shall a man be proud, when his conception is a crime, his birth a penalty, his life a labour, and death a necessity! —

*Quid superbit homo? cujus conceptio culpa, Nasci poena, labor vita, necesse mori!*

Therefore, in opposition to the above-mentioned form of the Kantian principle, I should be inclined to lay down the following rule: When you come into contact with a man, no matter whom, do not attempt an objective appreciation of him according to his worth and dignity. Do not consider his bad will, or his narrow understanding and perverse ideas; as the former may easily lead you to hate and the latter to despise him; but fix your attention only upon his sufferings, his needs, his anxieties, his pains. Then you will always feel your kinship with him; you will sympathise with him; and instead of hatred or contempt you will experience the commiseration that alone is the peace to which the Gospel calls us. The way to keep down hatred and contempt is certainly not to look for a man’s alleged “dignity,” but, on the contrary, to regard him as an object of pity.

The Buddhists, as the result of the more profound views which they entertain on ethical and metaphysical subjects, start from the cardinal vices and not the cardinal virtues; since the virtues make their appearance only as the contraries or negations of the vices. According to Schmidt’s *History of the Eastern Mongolians* the cardinal vices in the Buddhist scheme are four: Lust, Indolence, Anger, and Avarice. But probably instead of Indolence, we should read Pride; for so it stands in the *Lettres édifiantes et curieuses*, where Envy, or Hatred, is added as a fifth. I am confirmed in correcting the statement of the excellent Schmidt by the fact that my rendering agrees with the doctrine of the Sufis, who are certainly under the influence of the Brahmins and Buddhists. The Sufis also maintain that there are four

cardinal vices, and they arrange them in very striking pairs, so that Lust appears in connection with Avarice, and Anger with Pride. The four cardinal virtues opposed to them would be Chastity and Generosity, together with Gentleness and Humility.

When we compare these profound ideas of morality, as they are entertained by oriental nations, with the celebrated cardinal virtues of Plato, which have been recapitulated again and again — Justice, Valour, Temperance, and Wisdom — it is plain that the latter are not based on any clear, leading idea, but are chosen on grounds that are superficial and, in part, obviously false. Virtues must be qualities of the will, but Wisdom is chiefly an attribute of the Intellect. [Greek: *Sophrosynae*], which Cicero translates *Temperantia*, is a very indefinite and ambiguous word, and it admits, therefore, of a variety of applications: it may mean discretion, or abstinence, or keeping a level head. Courage is not a virtue at all; although sometimes it is a servant or instrument of virtue; but it is just as ready to become the servant of the greatest villainy. It is really a quality of temperament. Even Geulinx (in the preface to this *Ethics*) condemned the Platonic virtues and put the following in their place: Diligence, Obedience, Justice and Humility; which are obviously bad. The Chinese distinguish five cardinal virtues: Sympathy, Justice, Propriety, Wisdom, and Sincerity. The virtues of Christianity are theological, not cardinal: Faith, Love, and Hope.

Fundamental disposition towards others, assuming the character either of Envy or of Sympathy, is the point at which the moral virtues and vices of mankind first diverge. These two diametrically opposite qualities exist in every man; for they spring from the inevitable comparison which he draws between his own lot and that of others. According as the result of this comparison affects his individual character does the one or the other of these qualities become the source and principle of all his action. Envy builds the wall between *Thee* and *Me* thicker and stronger; Sympathy makes it slight and transparent; nay, sometimes it pulls down the wall altogether; and then the distinction between self and not-self vanishes.

Valour, which has been mentioned as a virtue, or rather the Courage on which it is based (for valour is only courage in war), deserves a closer examination. The ancients reckoned Courage among the virtues, and cowardice among the vices; but there is no corresponding idea in the Christian scheme, which makes for charity and patience, and in its teaching

forbids all enmity or even resistance. The result is that with the moderns Courage is no longer a virtue. Nevertheless it must be admitted that cowardice does not seem to be very compatible with any nobility of character — if only for the reason that it betrays an overgreat apprehension about one's own person.

Courage, however, may also be explained as a readiness to meet ills that threaten at the moment, in order to avoid greater ills that lie in the future; whereas cowardice does the contrary. But this readiness is of the same quality as *patience*, for patience consists in the clear consciousness that greater evils than those which are present, and that any violent attempt to flee from or guard against the ills we have may bring the others upon us. Courage, then, would be a kind of patience; and since it is patience that enables us to practise forbearance and self control, Courage is, through the medium of patience, at least akin to virtue.

But perhaps Courage admits of being considered from a higher point of view. The fear of death may in every case be traced to a deficiency in that natural philosophy — natural, and therefore resting on mere feeling — which gives a man the assurance that he exists in everything outside him just as much as in his own person; so that the death of his person can do him little harm. But it is just this very assurance that would give a man heroic Courage; and therefore, as the reader will recollect from my *Ethics*, Courage comes from the same source as the virtues of Justice and Humanity. This is, I admit, to take a very high view of the matter; but apart from it I cannot well explain why cowardice seems contemptible, and personal courage a noble and sublime thing; for no lower point of view enables me to see why a finite individual who is everything to himself — nay, who is himself even the very fundamental condition of the existence of the rest of the world — should not put his own preservation above every other aim. It is, then, an insufficient explanation of Courage to make it rest only on utility, to give it an empirical and not a transcendental character. It may have been for some such reason that Calderon once uttered a sceptical but remarkable opinion in regard to Courage, nay, actually denied its reality; and put his denial into the mouth of a wise old minister, addressing his young sovereign. “Although,” he observed, “natural fear is operative in all alike, a man may be brave in not letting it be seen; and it is this that constitutes Courage”:



*Que aunque el natural temor  
En todos obra igualmente,  
No mostrarle es ser valiente  
Y esto es lo que hace el valor.*

In regard to the difference which I have mentioned between the ancients and the moderns in their estimate of Courage as a virtue, it must be remembered that by Virtue, *virtus*, [Greek: aretae], the ancients understood every excellence or quality that was praiseworthy in itself, it might be moral or intellectual, or possibly only physical. But when Christianity demonstrated that the fundamental tendency of life was moral, it was moral superiority alone that henceforth attached to the notion of Virtue. Meanwhile the earlier usage still survived in the elder Latinists, and also in Italian writers, as is proved by the well-known meaning of the word *virtuoso*. The special attention of students should be drawn to this wider range of the idea of Virtue amongst the ancients, as otherwise it might easily be a source of secret perplexity. I may recommend two passages preserved for us by Stobaeus, which will serve this purpose. One of them is apparently from the Pythagorean philosopher Metopos, in which the fitness of every bodily member is declared to be a virtue. The other pronounces that the virtue of a shoemaker is to make good shoes. This may also serve to explain why it is that in the ancient scheme of ethics virtues and vices are mentioned which find no place in ours.

As the place of Courage amongst the virtues is a matter of doubt, so is that of Avarice amongst the vices. It must not, however, be confounded with greed, which is the most immediate meaning of the Latin word *avaritia*. Let us then draw up and examine the arguments *pro et contra* in regard to Avarice, and leave the final judgment to be formed by every man for himself.

On the one hand it is argued that it is not Avarice which is a vice, but extravagance, its opposite. Extravagance springs from a brutish limitation to the present moment, in comparison with which the future, existing as it does only in thought, is as nothing. It rests upon the illusion that sensual pleasures possess a positive or real value. Accordingly, future need and misery is the price at which the spendthrift purchases pleasures that are empty, fleeting, and often no more than imaginary; or else feeds his vain, stupid self-conceit on the bows and scrapes of parasites who laugh at him in

secret, or on the gaze of the mob and those who envy his magnificence. We should, therefore, shun the spendthrift as though he had the plague, and on discovering his vice break with him betimes, in order that later on, when the consequences of his extravagance ensue, we may neither have to help to bear them, nor, on the other hand, have to play the part of the friends of Timon of Athens.

At the same time it is not to be expected that he who foolishly squanders his own fortune will leave another man's intact, if it should chance to be committed to his keeping; nay, *sui profusus* and *alieni appetens* are by Sallust very rightly conjoined. Hence it is that extravagance leads not only to impoverishment but also to crime; and crime amongst the moneyed classes is almost always the result of extravagance. It is accordingly with justice that the *Koran* declares all spendthrifts to be "brothers of Satan."

But it is superfluity that Avarice brings in its train, and when was superfluity ever unwelcome? That must be a good vice which has good consequences. Avarice proceeds upon the principle that all pleasure is only negative in its operation and that the happiness which consists of a series of pleasures is a chimaera; that, on the contrary, it is pains which are positive and extremely real. Accordingly, the avaricious man foregoes the former in order that he may be the better preserved from the latter, and thus it is that *bear and forbear* — *sustine et abstine* — is his maxim. And because he knows, further, how inexhaustible are the possibilities of misfortune, and how innumerable the paths of danger, he increases the means of avoiding them, in order, if possible, to surround himself with a triple wall of protection. Who, then, can say where precaution against disaster begins to be exaggerated? He alone who knows where the malignity of fate reaches its limit. And even if precaution were exaggerated it is an error which at the most would hurt the man who took it, and not others. If he will never need the treasures which he lays up for himself, they will one day benefit others whom nature has made less careful. That until then he withdraws the money from circulation is no misfortune; for money is not an article of consumption: it only represents the good things which a man may actually possess, and is not one itself. Coins are only counters; their value is what they represent; and what they represent cannot be withdrawn from circulation. Moreover, by holding back the money, the value of the remainder which is in circulation is enhanced by precisely the same amount. Even though it be the case, as is said, that many a miser comes in the end to

love money itself for its own sake, it is equally certain that many a spendthrift, on the other hand, loves spending and squandering for no better reason. Friendship with a miser is not only without danger, but it is profitable, because of the great advantages it can bring. For it is doubtless those who are nearest and dearest to the miser who on his death will reap the fruits of the self-control which he exercised; but even in his lifetime, too, something may be expected of him in cases of great need. At any rate one can always hope for more from him than from the spendthrift, who has lost his all and is himself helpless and in debt. *Mas da el duro que el desnudo*, says a Spanish proverb; the man who has a hard heart will give more than the man who has an empty purse. The upshot of all this is that Avarice is not a vice.

On the other side, it may be said that Avarice is the quintessence of all vices. When physical pleasures seduce a man from the right path, it is his sensual nature — the animal part of him — which is at fault. He is carried away by its attractions, and, overcome by the impression of the moment, he acts without thinking of the consequences. When, on the other hand, he is brought by age or bodily weakness to the condition in which the vices that he could never abandon end by abandoning him, and his capacity for physical pleasure dies — if he turns to Avarice, the intellectual desire survives the sensual. Money, which represents all the good things of this world, and is these good things in the abstract, now becomes the dry trunk overgrown with all the dead lusts of the flesh, which are egoism in the abstract. They come to life again in the love of the Mammon. The transient pleasure of the senses has become a deliberate and calculated lust of money, which, like that to which it is directed, is symbolical in its nature, and, like it, indestructible.

This obstinate love of the pleasures of the world — a love which, as it were, outlives itself; this utterly incorrigible sin, this refined and sublimated desire of the flesh, is the abstract form in which all lusts are concentrated, and to which it stands like a general idea to individual particulars. Accordingly, Avarice is the vice of age, just as extravagance is the vice of youth.

This *disputatio in utramque partem* — this debate for and against — is certainly calculated to drive us into accepting the *juste milieu* morality of Aristotle; a conclusion that is also supported by the following consideration.

Every human perfection is allied to a defect into which it threatens to pass; but it is also true that every defect is allied to a perfection. Hence it is that if, as often happens, we make a mistake about a man, it is because at the beginning of our acquaintance with him we confound his defects with the kinds of perfection to which they are allied. The cautious man seems to us a coward; the economical man, a miser; the spendthrift seems liberal; the rude fellow, downright and sincere; the foolhardy person looks as if he were going to work with a noble self-confidence; and so on in many other cases.

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No one can live among men without feeling drawn again and again to the tempting supposition that moral baseness and intellectual incapacity are closely connected, as though they both sprang direct from one source. That that, however, is not so, I have shown in detail. That it seems to be so is merely due to the fact that both are so often found together; and the circumstance is to be explained by the very frequent occurrence of each of them, so that it may easily happen for both to be compelled to live under one roof. At the same time it is not to be denied that they play into each other's hands to their mutual benefit; and it is this that produces the very unedifying spectacle which only too many men exhibit, and that makes the world to go as it goes. A man who is unintelligent is very likely to show his perfidy, villainy and malice; whereas a clever man understands how to conceal these qualities. And how often, on the other hand, does a perversity of heart prevent a man from seeing truths which his intelligence is quite capable of grasping!

Nevertheless, let no one boast. Just as every man, though he be the greatest genius, has very definite limitations in some one sphere of knowledge, and thus attests his common origin with the essentially perverse and stupid mass of mankind, so also has every man something in his nature which is positively evil. Even the best, nay the noblest, character will sometimes surprise us by isolated traits of depravity; as though it were to acknowledge his kinship with the human race, in which villainy — nay, cruelty — is to be found in that degree. For it was just in virtue of this evil in him, this bad principle, that of necessity he became a man. And for the same reason the world in general is what my clear mirror of it has shown it to be.

But in spite of all this the difference even between one man and another is incalculably great, and many a one would be horrified to see another as he really is. Oh, for some Asmodeus of morality, to make not only roofs and walls transparent to his favourites, but also to lift the veil of dissimulation, fraud, hypocrisy, pretence, falsehood and deception, which is spread over all things! to show how little true honesty there is in the world, and how often, even where it is least to be expected, behind all the exterior outwork of virtue, secretly and in the innermost recesses, unrighteousness sits at the helm! It is just on this account that so many men of the better kind have four-footed friends: for, to be sure, how is a man to get relief from the endless dissimulation, falsity and malice of mankind, if there were no dogs into whose honest faces he can look without distrust?

For what is our civilised world but a big masquerade? where you meet knights, priests, soldiers, men of learning, barristers, clergymen, philosophers, and I don't know what all! But they are not what they pretend to be; they are only masks, and, as a rule, behind the masks you will find moneymakers. One man, I suppose, puts on the mask of law, which he has borrowed for the purpose from a barrister, only in order to be able to give another man a sound drubbing; a second has chosen the mask of patriotism and the public welfare with a similar intent; a third takes religion or purity of doctrine. For all sorts of purposes men have often put on the mask of philosophy, and even of philanthropy, and I know not what besides. Women have a smaller choice. As a rule they avail themselves of the mask of morality, modesty, domesticity, and humility. Then there are general masks, without any particular character attaching to them like dominoes. They may be met with everywhere; and of this sort is the strict rectitude, the courtesy, the sincere sympathy, the smiling friendship, that people profess. The whole of these masks as a rule are merely, as I have said, a disguise for some industry, commerce, or speculation. It is merchants alone who in this respect constitute any honest class. They are the only people who give themselves out to be what they are; and therefore they go about without any mask at all, and consequently take a humble rank.

It is very necessary that a man should be apprised early in life that it is a masquerade in which he finds himself. For otherwise there are many things which he will fail to understand and put up with, nay, at which he will be completely puzzled, and that man longest of all whose heart is made of better clay —

*Et meliore luto finxit praecordia Titan.*

Such for instance is the favour that villainy finds; the neglect that merit, even the rarest and the greatest, suffers at the hands of those of the same profession; the hatred of truth and great capacity; the ignorance of scholars in their own province; and the fact that true wares are almost always despised and the merely specious ones in request. Therefore let even the young be instructed betimes that in this masquerade the apples are of wax, the flowers of silk, the fish of pasteboard, and that all things — yes, all things — are toys and trifles; and that of two men whom he may see earnestly engaged in business, one is supplying spurious goods and the other paying for them in false coin.

But there are more serious reflections to be made, and worse things to be recorded. Man is at bottom a savage, horrible beast. We know it, if only in the business of taming and restraining him which we call civilisation. Hence it is that we are terrified if now and then his nature breaks out. Wherever and whenever the locks and chains of law and order fall off and give place to anarchy, he shows himself for what he is. But it is unnecessary to wait for anarchy in order to gain enlightenment on this subject. A hundred records, old and new, produce the conviction that in his unrelenting cruelty man is in no way inferior to the tiger and the hyaena. A forcible example is supplied by a publication of the year 1841 entitled *Slavery and the Internal Slave Trade in the United States of North America: being replies to questions transmitted by the British Anti-slavery Society to the American Anti-slavery Society*. This book constitutes one of the heaviest indictments against the human race. No one can put it down with a feeling of horror, and few without tears. For whatever the reader may have ever heard, or imagined, or dreamt, of the unhappy condition of slavery, or indeed of human cruelty in general, it will seem small to him when he reads of the way in which those devils in human form, those bigoted, church-going, strictly Sabbatarian rascals — and in particular the Anglican priests among them — treated their innocent black brothers, who by wrong and violence had got into their diabolical clutches.

Other examples are furnished by Tshudi's *Travels in Peru*, in the description which he gives of the treatment of the Peruvian soldiers at the hands of their officers; and by Macleod's *Travels in Eastern Africa*, where the author tells of the cold-blooded and truly devilish cruelty with which the Portuguese in Mozambique treat their slaves. But we need not go for

examples to the New World, that obverse side of our planet. In the year 1848 it was brought to life that in England, not in one, but apparently in a hundred cases within a brief period, a husband had poisoned his wife or *vice versa*, or both had joined in poisoning their children, or in torturing them slowly to death by starving and ill-treating them, with no other object than to get the money for burying them which they had insured in the Burial Clubs against their death. For this purpose a child was often insured in several, even in as many as twenty clubs at once.

Details of this character belong, indeed, to the blackest pages in the criminal records of humanity. But, when all is said, it is the inward and innate character of man, this god *par excellence* of the Pantheists, from which they and everything like them proceed. In every man there dwells, first and foremost, a colossal egoism, which breaks the bounds of right and justice with the greatest freedom, as everyday life shows on a small scale, and as history on every page of it on a large. Does not the recognised need of a balance of power in Europe, with the anxious way in which it is preserved, demonstrate that man is a beast of prey, who no sooner sees a weaker man near him than he falls upon him without fail? and does not the same hold good of the affairs of ordinary life?

But to the boundless egoism of our nature there is joined more or less in every human breast a fund of hatred, anger, envy, rancour and malice, accumulated like the venom in a serpent's tooth, and waiting only for an opportunity of venting itself, and then, like a demon unchained, of storming and raging. If a man has no great occasion for breaking out, he will end by taking advantage of the smallest, and by working it up into something great by the aid of his imagination; for, however small it may be, it is enough to rouse his anger —

*Quantulacunque adeo est occasio, sufficit irae* —

and then he will carry it as far as he can and may. We see this in daily life, where such outbursts are well known under the name of “venting one's gall on something.” It will also have been observed that if such outbursts meet with no opposition the subject of them feels decidedly the better for them afterwards. That anger is not without its pleasure is a truth that was recorded even by Aristotle; and he quotes a passage from Homer, who declares anger to be sweeter than honey. But not in anger alone — in hatred too, which stands to anger like a chronic to an acute disease, a man may indulge with the greatest delight:

*Now hatred is by far the longest pleasure, Men love in haste, but they detest at leisure*

Gobineau in his work *Les Races Humaines* has called man *l'animal méchant par excellence*. People take this very ill, because they feel that it hits them; but he is quite right, for man is the only animal which causes pain to others without any further purpose than just to cause it. Other animals never do it except to satisfy their hunger, or in the rage of combat. If it is said against the tiger that he kills more than eats, he strangles his prey only for the purpose of eating it; and if he cannot eat it, the only explanation is, as the French phrase has it, that *ses yeux sont plus grands que son estomac*. No animal ever torments another for the mere purpose of tormenting, but man does it, and it is this that constitutes the diabolical feature in his character which is so much worse than the merely animal. I have already spoken of the matter in its broad aspect; but it is manifest even in small things, and every reader has a daily opportunity of observing it. For instance, if two little dogs are playing together — and what a genial and charming sight it is — and a child of three or four years joins them, it is almost inevitable for it to begin hitting them with a whip or stick, and thereby show itself, even at that age, *l'animal méchant par excellence*. The love of teasing and playing tricks, which is common enough, may be traced to the same source. For instance, if a man has expressed his annoyance at any interruption or other petty inconvenience, there will be no lack of people who for that very reason will bring it about: *animal méchant par excellence*! This is so certain that a man should be careful not to express any annoyance at small evils. On the other hand he should also be careful not to express his pleasure at any trifle, for, if he does so, men will act like the jailer who, when he found that his prisoner had performed the laborious task of taming a spider, and took a pleasure in watching it, immediately crushed it under his foot: *l'animal méchant par excellence*! This is why all animals are instinctively afraid of the sight, or even of the track of a man, that *animal méchant par excellence*! nor does their instinct them false; for it is man alone who hunts game for which he has no use and which does him no harm.

It is a fact, then, that in the heart of every man there lies a wild beast which only waits for an opportunity to storm and rage, in its desire to inflict pain on others, or, if they stand in his way, to kill them. It is this which is the source of all the lust of war and battle. In trying to tame and to some



extent hold it in check, the intelligence, its appointed keeper, has always enough to do. People may, if they please, call it the radical evil of human nature — a name which will at least serve those with whom a word stands for an explanation. I say, however, that it is the will to live, which, more and more embittered by the constant sufferings of existence, seeks to alleviate its own torment by causing torment in others. But in this way a man gradually develops in himself real cruelty and malice. The observation may also be added that as, according to Kant, matter subsists only through the antagonism of the powers of expansion and contraction, so human society subsists only by the antagonism of hatred, or anger, and fear. For there is a moment in the life of all of us when the malignity of our nature might perhaps make us murderers, if it were not accompanied by a due admixture of fear to keep it within bounds; and this fear, again, would make a man the sport and laughing stock of every boy, if anger were not lying ready in him, and keeping watch.

But it is *Schadenfreude*, a mischievous delight in the misfortunes of others, which remains the worst trait in human nature. It is a feeling which is closely akin to cruelty, and differs from it, to say the truth, only as theory from practice. In general, it may be said of it that it takes the place which pity ought to take — pity which is its opposite, and the true source of all real justice and charity.

*Envy* is also opposed to pity, but in another sense; envy, that is to say, is produced by a cause directly antagonistic to that which produces the delight in mischief. The opposition between pity and envy on the one hand, and pity and the delight in mischief on the other, rests, in the main, on the occasions which call them forth. In the case of envy it is only as a direct effect of the cause which excites it that we feel it at all. That is just the reason why envy, although it is a reprehensible feeling, still admits of some excuse, and is, in general, a very human quality; whereas the delight in mischief is diabolical, and its taunts are the laughter of hell.

The delight in mischief, as I have said, takes the place which pity ought to take. Envy, on the contrary, finds a place only where there is no inducement to pity, or rather an inducement to its opposite; and it is just as this opposite that envy arises in the human breast; and so far, therefore, it may still be reckoned a human sentiment. Nay, I am afraid that no one will be found to be entirely free from it. For that a man should feel his own lack of things more bitterly at the sight of another's delight in the enjoyment of

them, is natural; nay, it is inevitable; but this should not rouse his hatred of the man who is happier than himself. It is just this hatred, however, in which true envy consists. Least of all should a man be envious, when it is a question, not of the gifts of fortune, or chance, or another's favour, but of the gifts of nature; because everything that is innate in a man rests on a metaphysical basis, and possesses justification of a higher kind; it is, so to speak, given him by Divine grace. But, unhappily, it is just in the case of personal advantages that envy is most irreconcilable. Thus it is that intelligence, or even genius, cannot get on in the world without begging pardon for its existence, wherever it is not in a position to be able, proudly and boldly, to despise the world.

In other words, if envy is aroused only by wealth, rank, or power, it is often kept down by egoism, which perceives that, on occasion, assistance, enjoyment, support, protection, advancement, and so on, may be hoped for from the object of envy or that at least by intercourse with him a man may himself win honour from the reflected light of his superiority; and here, too, there is the hope of one day attaining all those advantages himself. On the other hand, in the envy that is directed to natural gifts and personal advantages, like beauty in women, or intelligence in men, there is no consolation or hope of one kind or the other; so that nothing remains but to indulge a bitter and irreconcilable hatred of the person who possesses these privileges; and hence the only remaining desire is to take vengeance on him.

But here the envious man finds himself in an unfortunate position; for all his blows fall powerless as soon as it is known that they come from him. Accordingly he hides his feelings as carefully as if they were secret sins, and so becomes an inexhaustible inventor of tricks and artifices and devices for concealing and masking his procedure, in order that, unperceived, he may wound the object of his envy. For instance, with an air of the utmost unconcern he will ignore the advantages which are eating his heart out; he will neither see them, nor know them, nor have observed or even heard of them, and thus make himself a master in the art of dissimulation. With great cunning he will completely overlook the man whose brilliant qualities are gnawing at his heart, and act as though he were quite an unimportant person; he will take no notice of him, and, on occasion, will have even quite forgotten his existence. But at the same time he will before all things endeavour by secret machination carefully to deprive those advantages of

any opportunity of showing themselves and becoming known. Then out of his dark corner he will attack these qualities with censure, mockery, ridicule and calumny, like the toad which spurts its poison from a hole. No less will he enthusiastically praise unimportant people, or even indifferent or bad performances in the same sphere. In short, he will become a Proteus in stratagem, in order to wound others without showing himself. But what is the use of it? The trained eye recognises him in spite of it all. He betrays himself, if by nothing else, by the way in which he timidly avoids and flies from the object of his envy, who stands the more completely alone, the more brilliant he is; and this is the reason why pretty girls have no friends of their own sex. He betrays himself, too, by the causeless hatred which he shows — a hatred which finds vent in a violent explosion at any circumstance however trivial, though it is often only the product of his imagination. How many such men there are in the world may be recognised by the universal praise of modesty, that is, of a virtue invented on behalf of dull and commonplace people. Nevertheless, it is a virtue which, by exhibiting the necessity for dealing considerately with the wretched plight of these people, is just what calls attention to it.

For our self-consciousness and our pride there can be nothing more flattering than the sight of envy lurking in its retreat and plotting its schemes; but never let a man forget that where there is envy there is hatred, and let him be careful not to make a false friend out of any envious person. Therefore it is important to our safety to lay envy bare; and a man should study to discover its tricks, as it is everywhere to be found and always goes about *incognito*; or as I have said, like a venomous toad it lurks in dark corners. It deserves neither quarter nor sympathy; but as we can never reconcile it let our rule of conduct be to scorn it with a good heart, and as our happiness and glory is torture to it we may rejoice in its sufferings:

*Den Neid wirst nimmer du versöhnen;  
So magst du ihn getrost verhöhnen.  
Dein Glück, dein Ruhm ist ihm ein Leiden:  
Magst drum an seiner Quaal dich weiden.*

We have been taking a look at the *depravity* of man, and it is a sight which may well fill us with horror. But now we must cast our eyes on the *misery* of his existence; and when we have done so, and are horrified by

that too, we must look back again at his depravity. We shall then find that they hold the balance to each other. We shall perceive the eternal justice of things; for we shall recognise that the world is itself the Last Judgment on it, and we shall begin to understand why it is that everything that lives must pay the penalty of its existence, first in living and then in dying. Thus the evil of the penalty accords with the evil of the sin — *malum poenae* with *malum culpae*. From the same point of view we lose our indignation at that intellectual incapacity of the great majority of mankind which in life so often disgusts us. In this *Sansara*, as the Buddhists call it, human misery, human depravity and human folly correspond with one another perfectly, and they are of like magnitude. But if, on some special inducement, we direct our gaze to one of them, and survey it in particular, it seems to exceed the other two. This, however, is an illusion, and merely the effect of their colossal range.

All things proclaim this *Sansara*; more than all else, the world of mankind; in which, from a moral point of view, villainy and baseness, and from an intellectual point of view, incapacity and stupidity, prevail to a horrifying extent. Nevertheless, there appear in it, although very spasmodically, and always as a fresh surprise, manifestations of honesty, of goodness, nay, even of nobility; and also of great intelligence, of the thinking mind of genius. They never quite vanish, but like single points of light gleam upon us out of the great dark mass. We must accept them as a pledge that this *Sansara* contains a good and redeeming principle, which is capable of breaking through and of filling and freeing the whole of it.

\* \* \* \* \*

The readers of my *Ethics* know that with me the ultimate foundation of morality is the truth which in the *Vedas* and the *Vedanta* receives its expression in the established, mystical formula, *Tat twam asi* (*This is thyself*), which is spoken with reference to every living thing, be it man or beast, and is called the *Mahavakya*, the great word.

Actions which proceed in accordance with this principle, such as those of the philanthropist, may indeed be regarded as the beginning of mysticism. Every benefit rendered with a pure intention proclaims that the man who exercises it acts in direct conflict with the world of appearance; for he recognises himself as identical with another individual, who exists in

complete separation from him. Accordingly, all disinterested kindness is inexplicable; it is a mystery; and hence in order to explain it a man has to resort to all sorts of fictions. When Kant had demolished all other arguments for theism, he admitted one only, that it gave the best interpretation and solution of such mysterious actions, and of all others like them. He therefore allowed it to stand as a presumption unsusceptible indeed of theoretical proof, but valid from a practical point of view. I may, however, express my doubts whether he was quite serious about it. For to make morality rest on theism is really to reduce morality to egoism; although the English, it is true, as also the lowest classes of society with us, do not perceive the possibility of any other foundation for it.

The above-mentioned recognition of a man's own true being in another individual objectively presented to him, is exhibited in a particularly beautiful and clear way in the cases in which a man, already destined to death beyond any hope of rescue, gives himself up to the welfare of others with great solicitude and zeal, and tries to save them. Of this kind is the well-known story of a servant who was bitten in a courtyard at night by a mad dog. In the belief that she was beyond hope, she seized the dog and dragged it into a stable, which she then locked, so that no one else might be bitten. Then again there is the incident in Naples, which Tischbein has immortalised in one of his *aquarelles*. A son, fleeing from the lava which is rapidly streaming toward the sea, is carrying his aged father on his back. When there is only a narrow strip of land left between the devouring elements, the father bids the son put him down, so that the son may save himself by flight, as otherwise both will be lost. The son obeys, and as he goes casts a glance of farewell on his father. This is the moment depicted. The historical circumstance which Scott represents in his masterly way in *The Heart of Midlothian*, chap. ii., is of a precisely similar kind; where, of two delinquents condemned to death, the one who by his awkwardness caused the capture of the other happily sets him free in the chapel by overpowering the guard after the execution-sermon, without at the same time making any attempt on his own behalf. Nay, in the same category must also be placed the scene which is represented in a common engraving, which may perhaps be objectionable to western readers — I mean the one in which a soldier, kneeling to be shot, is trying by waving a cloth to frighten away his dog who wants to come to him.

In all these cases we see an individual in the face of his own immediate and certain destruction no longer thinking of saving himself, so that he may direct the whole of his efforts to saving some one else. How could there be a clearer expression of the consciousness that what is being destroyed is only a phenomenon, and that the destruction itself is only a phenomenon; that, on the other hand, the real being of the man who meets his death is untouched by that event, and lives on in the other man, in whom even now, as his action betrays, he so clearly perceives it to exist? For if this were not so, and it was his real being which was about to be annihilated, how could that being spend its last efforts in showing such an ardent sympathy in the welfare and continued existence of another?

There are two different ways in which a man may become conscious of his own existence. On the one hand, he may have an empirical perception of it, as it manifests itself externally — something so small that it approaches vanishing point; set in a world which, as regards time and space, is infinite; one only of the thousand millions of human creatures who run about on this planet for a very brief period and are renewed every thirty years. On the other hand, by going down into the depths of his own nature, a man may become conscious that he is all in all; that, in fact, he is the only real being; and that, in addition, this real being perceives itself again in others, who present themselves from without, as though they formed a mirror of himself.

Of these two ways in which a man may come to know what he is, the first grasps the phenomenon alone, the mere product of *the principle of individuation*; whereas the second makes a man immediately conscious that he is *the thing-in-itself*. This is a doctrine in which, as regards the first way, I have Kant, and as regards both, I have the *Vedas*, to support me.

There is, it is true, a simple objection to the second method. It may be said to assume that one and the same being can exist in different places at the same time, and yet be complete in each of them. Although, from an empirical point of view, this is the most palpable impossibility — nay, absurdity — it is nevertheless perfectly true of the thing-in-itself. The impossibility and the absurdity of it, empirically, are only due to the forms which phenomena assume, in accordance with the principle of individuation. For the thing-in-itself, the will to live, exists whole and undivided in every being, even in the smallest, as completely as in the sum-total of all things that ever were or are or will be. This is why every being,

even the smallest, says to itself, So long as I am safe, let the world perish — *dum ego salvus sim, pereat mundus*. And, in truth, even if only one individual were left in the world, and all the rest were to perish, the one that remained would still possess the whole self-being of the world, uninjured and undiminished, and would laugh at the destruction of the world as an illusion. This conclusion *per impossible* may be balanced by the counter-conclusion, which is on all fours with it, that if that last individual were to be annihilated in and with him the whole world would be destroyed. It was in this sense that the mystic Angelus Silesius declared that God could not live for a moment without him, and that if he were to be annihilated God must of necessity give up the ghost:

*Ich weiss dass ohne mich Gott nicht ein Nu kann leben;  
Werd' ich zunicht, er muss von Noth den Geist aufgeben.*

But the empirical point of view also to some extent enables us to perceive that it is true, or at least possible, that our self can exist in other beings whose consciousness is separated and different from our own. That this is so is shown by the experience of somnambulists. Although the identity of their ego is preserved throughout, they know nothing, when they awake, of all that a moment before they themselves said, did or suffered. So entirely is the individual consciousness a phenomenon that even in the same ego two consciousnesses can arise of which the one knows nothing of the other.

## GOVERNMENT.

It is a characteristic failing of the Germans to look in the clouds for what lies at their feet. An excellent example of this is furnished by the treatment which the idea of *Natural Right* has received at the hands of professors of philosophy. When they are called upon to explain those simple relations of human life which make up the substance of this right, such as Right and Wrong, Property, State, Punishment and so on, they have recourse to the most extravagant, abstract, remote and meaningless conceptions, and out of them build a Tower of Babel reaching to the clouds, and taking this or that form according to the special whim of the professor for the time being. The clearest and simplest relations of life, such as affect us directly, are thus made quite unintelligible, to the great detriment of the young people who are educated in such a school. These relations themselves are perfectly simple and easily understood — as the reader may convince himself if he will turn to the account which I have given of them in the *Foundation of Morality*, § 17, and in my chief work, bk. i., § 62. But at the sound of certain words, like Right, Freedom, the Good, Being — this nugatory infinitive of the cupola — and many others of the same sort, the German's head begins to swim, and falling straightway into a kind of delirium he launches forth into high-flown phrases which have no meaning whatever. He takes the most remote and empty conceptions, and strings them together artificially, instead of fixing his eyes on the facts, and looking at things and relations as they really are. It is these things and relations which supply the ideas of Right and Freedom, and give them the only true meaning that they possess.

The man who starts from the preconceived opinion that the conception of Right must be a positive one, and then attempts to define it, will fail; for he is trying to grasp a shadow, to pursue a spectre, to search for what does not exist. The conception of Right is a negative one, like the conception of Freedom; its content is mere negation. It is the conception of Wrong which is positive; Wrong has the same significance as *injury* — *laesio* — in the widest sense of the term. An injury may be done either to a man's person or to his property or to his honour; and accordingly a man's rights are easy to define: every one has a right to do anything that injures no one else.



To have a right to do or claim a thing means nothing more than to be able to do or take or use it without thereby injuring any one else. *Simplex sigillum veri*. This definition shows how senseless many questions are; for instance, the question whether we have the right to take our own life. As far as concerns the personal claims which others may possibly have upon us, they are subject to the condition that we are alive, and fall to the ground when we die. To demand of a man, who does not care to live any longer for himself, that he should live on as a mere machine for the advantage of others is an extravagant pretension.

Although men's powers differ, their rights are alike. Their rights do not rest upon their powers, because Right is of a moral complexion; they rest on the fact that the same will to live shows itself in every man at the same stage of its manifestation. This, however, only applies to that original and abstract Right, which a man possesses as a man. The property, and also the honour, which a man acquires for himself by the exercise of his powers, depend on the measure and kind of power which he possesses, and so lend his Right a wider sphere of application. Here, then, equality comes to an end. The man who is better equipped, or more active, increases by adding to his gains, not his Right, but the number of the things to which it extends.

In my chief work I have proved that the State in its essence is merely an institution existing for the purpose of protecting its members against outward attack or inward dissension. It follows from this that the ultimate ground on which the State is necessary is the acknowledged lack of Right in the human race. If Right were there, no one would think of a State; for no one would have any fear that his rights would be impaired; and a mere union against the attacks of wild beasts or the elements would have very little analogy with what we mean by a State. From this point of view it is easy to see how dull and stupid are the philosophasters who in pompous phrases represent that the State is the supreme end and flower of human existence. Such a view is the apotheosis of Philistinism.

If it were Right that ruled in the world, a man would have done enough in building his house, and would need no other protection than the right of possessing it, which would be obvious. But since Wrong is the order of the day, it is requisite that the man who has built his house should also be able to protect it. Otherwise his Right is *de facto* incomplete; the aggressor, that is to say, has the right of might — *Faustrecht*; and this is just the conception of Right which Spinoza entertains. He recognises no other. His words are:

*unusquisque tantum juris habet quantum potentia valet*; each man has as much right as he has power. And again: *uniuscujusque jus potentia ejus definitur*; each man's right is determined by his power. Hobbes seems to have started this conception of Right, and he adds the strange comment that the Right of the good Lord to all things rests on nothing but His omnipotence.

Now this is a conception of Right which, both in theory and in practice, no longer prevails in the civic world; but in the world in general, though abolished in theory, it continues to apply in practice. The consequences of neglecting it may be seen in the case of China. Threatened by rebellion within and foes without, this great empire is in a defenceless state, and has to pay the penalty of having cultivated only the arts of peace and ignored the arts of war.

There is a certain analogy between the operations of nature and those of man which is a peculiar but not fortuitous character, and is based on the identity of the will in both. When the herbivorous animals had taken their place in the organic world, beasts of prey made their appearance — necessarily a late appearance — in each species, and proceeded to live upon them. Just in the same way, as soon as by honest toil and in the sweat of their faces men have won from the ground what is needed for the support of their societies, a number of individuals are sure to arise in some of these societies, who, instead of cultivating the earth and living on its produce, prefer to take their lives in their hands and risk health and freedom by falling upon those who are in possession of what they have honestly earned, and by appropriating the fruits of their labour. These are the beasts of prey in the human race; they are the conquering peoples whom we find everywhere in history, from the most ancient to the most recent times. Their varying fortunes, as at one moment they succeed and at another fail, make up the general elements of the history of the world. Hence Voltaire was perfectly right when he said that the aim of all war is robbery. That those who engage in it are ashamed of their doings is clear by the fact that governments loudly protest their reluctance to appeal to arms except for purposes of self-defence. Instead of trying to excuse themselves by telling public and official lies, which are almost more revolting than war itself, they should take their stand, as bold as brass, on Macchiavelli's doctrine. The gist of it may be stated to be this: that whereas between one individual

and another, and so far as concerns the law and morality of their relations, the principle, *Don't do to others what you wouldn't like done to yourself*, certainly applies, it is the converse of this principle which is appropriate in the case of nations and in politics: *What you wouldn't like done to yourself do to others*. If you do not want to be put under a foreign yoke, take time by the forelock, and put your neighbour under it himself; whenever, that is to say, his weakness offers you the opportunity. For if you let the opportunity pass, it will desert one day to the enemy's camp and offer itself there. Then your enemy will put you under his yoke; and your failure to grasp the opportunity may be paid for, not by the generation which was guilty of it, but by the next. This Macchiavellian principle is always a much more decent cloak for the lust of robbery than the rags of very obvious lies in a speech from the head of the State; lies, too, of a description which recalls the well-known story of the rabbit attacking the dog. Every State looks upon its neighbours as at bottom a horde of robbers, who will fall upon it as soon as they have the opportunity.

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Between the serf, the farmer, the tenant, and the mortgagee, the difference is rather one of form than of substance. Whether the peasant belongs to me, or the land on which he has to get a living; whether the bird is mine, or its food, the tree or its fruit, is a matter of little moment; for, as Shakespeare makes Shylock say:

*You take my life When you do take the means whereby I live.*

The free peasant has, indeed, the advantage that he can go off and seek his fortune in the wide world; whereas the serf who is attached to the soil, *glebae adscriptus*, has an advantage which is perhaps still greater, that when failure of crops or illness, old age or incapacity, render him helpless, his master must look after him, and so he sleeps well at night; whereas, if the crops fail, his master tosses about on his bed trying to think how he is to procure bread for his men. As long ago as Menander it was said that it is better to be the slave of a good master than to live miserably as a freeman. Another advantage possessed by the free is that if they have any talents they can improve their position; but the same advantage is not wholly withheld from the slave. If he proves himself useful to his master by the exercise of

any skill, he is treated accordingly; just as in ancient Rome mechanics, foremen of workshops, architects, nay, even doctors, were generally slaves.

Slavery and poverty, then, are only two forms, I might almost say only two names, of the same thing, the essence of which is that a man's physical powers are employed, in the main, not for himself but for others; and this leads partly to his being over-loaded with work, and partly to his getting a scanty satisfaction for his needs. For Nature has given a man only as much physical power as will suffice, if he exerts it in moderation, to gain a sustenance from the earth. No great superfluity of power is his. If, then, a not inconsiderable number of men are relieved from the common burden of sustaining the existence of the human race, the burden of the remainder is augmented, and they suffer. This is the chief source of the evil which under the name of slavery, or under the name of the proletariat, has always oppressed the great majority of the human race.

But the more remote cause of it is luxury. In order, it may be said, that some few persons may have what is unnecessary, superfluous, and the product of refinement — nay, in order that they may satisfy artificial needs — a great part of the existing powers of mankind has to be devoted to this object, and therefore withdrawn from the production of what is necessary and indispensable. Instead of building cottages for themselves, thousands of men build mansions for a few. Instead of weaving coarse materials for themselves and their families, they make fine cloths, silk, or even lace, for the rich, and in general manufacture a thousand objects of luxury for their pleasure. A great part of the urban population consists of workmen who make these articles of luxury; and for them and those who give them work the peasants have to plough and sow and look after the flocks as well as for themselves, and thus have more labour than Nature originally imposed upon them. Moreover, the urban population devotes a great deal of physical strength, and a great deal of land, to such things as wine, silk, tobacco, hops, asparagus and so on, instead of to corn, potatoes and cattle-breeding. Further, a number of men are withdrawn from agriculture and employed in ship-building and seafaring, in order that sugar, coffee, tea and other goods may be imported. In short, a large part of the powers of the human race is taken away from the production of what is necessary, in order to bring what is superfluous and unnecessary within the reach of a few. As long therefore as luxury exists, there must be a corresponding amount of over-work and misery, whether it takes the name of poverty or of slavery. The fundamental

difference between the two is that slavery originates in violence, and poverty in craft. The whole unnatural condition of society — the universal struggle to escape from misery, the sea-trade attended with so much loss of life, the complicated interests of commerce, and finally the wars to which it all gives rise — is due, only and alone, to luxury, which gives no happiness even to those who enjoy it, nay, makes them ill and bad-tempered. Accordingly it looks as if the most effective way of alleviating human misery would be to diminish luxury, or even abolish it altogether.

There is unquestionably much truth in this train of thought. But the conclusion at which it arrives is refuted by an argument possessing this advantage over it — that it is confirmed by the testimony of experience. A certain amount of work is devoted to purposes of luxury. What the human race loses in this way in the *muscular power* which would otherwise be available for the necessities of existence is gradually made up to it a thousandfold by the *nervous power*, which, in a chemical sense, is thereby released. And since the intelligence and sensibility which are thus promoted are on a higher level than the muscular irritability which they supplant, so the achievements of mind exceed those of the body a thousandfold. One wise counsel is worth the work of many hands:

[Greek: Hos en sophon bouleuma tas pollon cheiras nika.]

A nation of nothing but peasants would do little in the way of discovery and invention; but idle hands make active heads. Science and the Arts are themselves the children of luxury, and they discharge their debt to it. The work which they do is to perfect technology in all its branches, mechanical, chemical and physical; an art which in our days has brought machinery to a pitch never dreamt of before, and in particular has, by steam and electricity, accomplished things the like of which would, in earlier ages, have been ascribed to the agency of the devil. In manufactures of all kinds, and to some extent in agriculture, machines now do a thousand times more than could ever have been done by the hands of all the well-to-do, educated, and professional classes, and could ever have been attained if all luxury had been abolished and every one had returned to the life of a peasant. It is by no means the rich alone, but all classes, who derive benefit from these industries. Things which in former days hardly any one could afford are now cheap and abundant, and even the lowest classes are much better off in point of comfort. In the Middle Ages a King of England once borrowed a pair of silk stockings from one of his lords, so that he might wear them in

giving an audience to the French ambassador. Even Queen Elizabeth was greatly pleased and astonished to receive a pair as a New Year's present; to-day every shopman has them. Fifty years ago ladies wore the kind of calico gowns which servants wear now. If mechanical science continues to progress at the same rate for any length of time, it may end by saving human labour almost entirely, just as horses are even now being largely superseded by machines. For it is possible to conceive that intellectual culture might in some degree become general in the human race; and this would be impossible as long as bodily labour was incumbent on any great part of it. Muscular irritability and nervous sensibility are always and everywhere, both generally and particularly, in antagonism; for the simple reason that it is one and the same vital power which underlies both. Further, since the arts have a softening effect on character, it is possible that quarrels great and small, wars and duels, will vanish from the world; just as both have become much rarer occurrences. However, it is not my object here to write a *Utopia*.

But apart from all this the arguments used above in favour of the abolition of luxury and the uniform distribution of all bodily labour are open to the objection that the great mass of mankind, always and everywhere, cannot do without leaders, guides and counsellors, in one shape or another, according to the matter in question; judges, governors, generals, officials, priests, doctors, men of learning, philosophers, and so on, are all a necessity. Their common task is to lead the race for the greater part so incapable and perverse, through the labyrinth of life, of which each of them according to his position and capacity has obtained a general view, be his range wide or narrow. That these guides of the race should be permanently relieved of all bodily labour as well as of all vulgar need and discomfort; nay, that in proportion to their much greater achievements they should necessarily own and enjoy more than the common man, is natural and reasonable. Great merchants should also be included in the same privileged class, whenever they make far-sighted preparations for national needs.

The question of the sovereignty of the people is at bottom the same as the question whether any man can have an original right to rule a people against its will. How that proposition can be reasonably maintained I do not see. The people, it must be admitted, is sovereign; but it is a sovereign who is always a minor. It must have permanent guardians, and it can never

exercise its rights itself, without creating dangers of which no one can foresee the end; especially as like all minors, it is very apt to become the sport of designing sharpers, in the shape of what are called demagogues.

Voltaire remarks that the first man to become a king was a successful soldier. It is certainly the case that all princes were originally victorious leaders of armies, and for a long time it was as such that they bore sway. On the rise of standing armies princes began to regard their people as a means of sustaining themselves and their soldiers, and treated them, accordingly, as though they were a herd of cattle, which had to be tended in order that it might provide wool, milk, and meat. The why and wherefore of all this, as I shall presently show in detail, is the fact that originally it was not right, but might, that ruled in the world. Might has the advantage of having been the first in the field. That is why it is impossible to do away with it and abolish it altogether; it must always have its place; and all that a man can wish or ask is that it should be found on the side of right and associated with it. Accordingly says the prince to his subjects: "I rule you in virtue of the power which I possess. But, on the other hand, it excludes that of any one else, and I shall suffer none but my own, whether it comes from without, or arises within by one of you trying to oppress another. In this way, then, you are protected." The arrangement was carried out; and just because it was carried out the old idea of kingship developed with time and progress into quite a different idea, and put the other one in the background, where it may still be seen, now and then, flitting about like a spectre. Its place has been taken by the idea of the king as father of his people, as the firm and unshakable pillar which alone supports and maintains the whole organisation of law and order, and consequently the rights of every man. But a king can accomplish this only by inborn prerogative which reserves authority to him and to him alone — an authority which is supreme, indubitable, and beyond all attack, nay, to which every one renders instinctive obedience. Hence the king is rightly said to rule "by the grace of God." He is always the most useful person in the State, and his services are never too dearly repaid by any Civil List, however heavy.

But even as late a writer as Macchiavelli was so decidedly imbued with the earlier or mediaeval conception of the position of a prince that he treats it as a matter which is self-evident: he never discusses it, but tacitly takes it as the presupposition and basis of his advice. It may be said generally that his book is merely the theoretical statement and consistent and systematic

exposition of the practice prevailing in his time. It is the novel statement of it in a complete theoretical form that lends it such a poignant interest. The same thing, I may remark in passing, applies to the immortal little work of La Rochefaucauld, who, however, takes private and not public life for his theme, and offers, not advice, but observations. The title of this fine little book is open, perhaps, to some objection: the contents are not, as a rule, either *maxims* or *reflections*, but *aperçus*; and that is what they should be called. There is much, too, in Macchiavelli that will be found also to apply to private life.

Right in itself is powerless; in nature it is Might that rules. To enlist might on the side of right, so that by means of it right may rule, is the problem of statesmanship. And it is indeed a hard problem, as will be obvious if we remember that almost every human breast is the seat of an egoism which has no limits, and is usually associated with an accumulated store of hatred and malice; so that at the very start feelings of enmity largely prevail over those of friendship. We have also to bear in mind that it is many millions of individuals so constituted who have to be kept in the bonds of law and order, peace and tranquillity; whereas originally every one had a right to say to every one else: *I am just as good as you are!* A consideration of all this must fill us with surprise that on the whole the world pursues its way so peacefully and quietly, and with so much law and order as we see to exist. It is the machinery of State which alone accomplishes it. For it is physical power alone which has any direct action on men; constituted as they generally are, it is for physical power alone that they have any feeling or respect.

If a man would convince himself by experience that this is the case, he need do nothing but remove all compulsion from his fellows, and try to govern them by clearly and forcibly representing to them what is reasonable, right, and fair, though at the same time it may be contrary to their interests. He would be laughed to scorn; and as things go that is the only answer he would get. It would soon be obvious to him that moral force alone is powerless. It is, then, physical force alone which is capable of securing respect. Now this force ultimately resides in the masses, where it is associated with ignorance, stupidity and injustice. Accordingly the main aim of statesmanship in these difficult circumstances is to put physical force in subjection to mental force — to intellectual superiority, and thus to make it serviceable. But if this aim is not itself accompanied by justice and good



intentions the result of the business, if it succeeds, is that the State so erected consists of knaves and fools, the deceivers and the deceived. That this is the case is made gradually evident by the progress of intelligence amongst the masses, however much it may be repressed; and it leads to revolution. But if, contrarily, intelligence is accompanied by justice and good intentions, there arises a State as perfect as the character of human affairs will allow. It is very much to the purpose if justice and good intentions not only exist, but are also demonstrable and openly exhibited, and can be called to account publicly, and be subject to control. Care must be taken, however, lest the resulting participation of many persons in the work of government should affect the unity of the State, and inflict a loss of strength and concentration on the power by which its home and foreign affairs have to be administered. This is what almost always happens in republics. To produce a constitution which should satisfy all these demands would accordingly be the highest aim of statesmanship. But, as a matter of fact, statesmanship has to consider other things as well. It has to reckon with the people as they exist, and their national peculiarities. This is the raw material on which it has to work, and the ingredients of that material will always exercise a great effect on the completed scheme.

Statesmanship will have achieved a good deal if it so far attains its object as to reduce wrong and injustice in the community to a minimum. To banish them altogether, and to leave no trace of them, is merely the ideal to be aimed at; and it is only approximately that it can be reached. If they disappear in one direction, they creep in again in another; for wrong and injustice lie deeply rooted in human nature. Attempts have been made to attain the desired aim by artificial constitutions and systematic codes of law; but they are not in complete touch with the facts — they remain an asymptote, for the simple reason that hard and fast conceptions never embrace all possible cases, and cannot be made to meet individual instances. Such conceptions resemble the stones of a mosaic rather than the delicate shading in a picture. Nay, more: all experiments in this matter are attended with danger; because the material in question, namely, the human race, is the most difficult of all material to handle. It is almost as dangerous as an explosive.

No doubt it is true that in the machinery of the State the freedom of the press performs the same function as a safety-valve in other machinery; for it enables all discontent to find a voice; nay, in doing so, the discontent

exhausts itself if it has not much substance; and if it has, there is an advantage in recognising it betimes and applying the remedy. This is much better than to repress the discontent, and let it simmer and ferment, and go on increasing until it ends in an explosion. On the other hand, the freedom of the press may be regarded as a permission to sell poison — poison for the heart and the mind. There is no idea so foolish but that it cannot be put into the heads of the ignorant and incapable multitude, especially if the idea holds out some prospect of any gain or advantage. And when a man has got hold of any such idea what is there that he will not do? I am, therefore, very much afraid that the danger of a free press outweighs its utility, particularly where the law offers a way of redressing wrongs. In any case, however, the freedom of the press should be governed by a very strict prohibition of all and every anonymity.

Generally, indeed, it may be maintained that right is of a nature analogous to that of certain chemical substances, which cannot be exhibited in a pure and isolated condition, but at the most only with a small admixture of some other substance, which serves as a vehicle for them, or gives them the necessary consistency; such as fluorine, or even alcohol, or prussic acid. Pursuing the analogy we may say that right, if it is to gain a footing in the world and really prevail, must of necessity be supplemented by a small amount of arbitrary force, in order that, notwithstanding its merely ideal and therefore ethereal nature, it may be able to work and subsist in the real and material world, and not evaporate and vanish into the clouds, as it does in Hesiod. Birth-right of every description, all heritable privileges, every form of national religion, and so on, may be regarded as the necessary chemical base or alloy; inasmuch as it is only when right has some such firm and actual foundation that it can be enforced and consistently vindicated. They form for right a sort of [Greek: *os moi pou sto*] — a fulcrum for supporting its lever.

Linnaeus adopted a vegetable system of an artificial and arbitrary character. It cannot be replaced by a natural one, no matter how reasonable the change might be, or how often it has been attempted to make it, because no other system could ever yield the same certainty and stability of definition. Just in the same way the artificial and arbitrary basis on which, as has been shown, the constitution of a State rests, can never be replaced by a purely natural basis. A natural basis would aim at doing away with the conditions that have been mentioned: in the place of the privileges of birth

it would put those of personal merit; in the place of the national religion, the results of rationalistic inquiry, and so on. However agreeable to reason this might all prove, the change could not be made; because a natural basis would lack that certainty and fixity of definition which alone secures the stability of the commonwealth. A constitution which embodied abstract right alone would be an excellent thing for natures other than human, but since the great majority of men are extremely egoistic, unjust, inconsiderate, deceitful, and sometimes even malicious; since in addition they are endowed with very scanty intelligence there arises the necessity for a power that shall be concentrated in one man, a power that shall be above all law and right, and be completely irresponsible, nay, to which everything shall yield as to something that is regarded as a creature of a higher kind, a ruler by the grace of God. It is only thus that men can be permanently held in check and governed.

The United States of North America exhibit the attempt to proceed without any such arbitrary basis; that is to say, to allow abstract right to prevail pure and unalloyed. But the result is not attractive. For with all the material prosperity of the country what do we find? The prevailing sentiment is a base Utilitarianism with its inevitable companion, ignorance; and it is this that has paved the way for a union of stupid Anglican bigotry, foolish prejudice, coarse brutality, and a childish veneration of women. Even worse things are the order of the day: most iniquitous oppression of the black freemen, lynch law, frequent assassination often committed with entire impunity, duels of a savagery elsewhere unknown, now and then open scorn of all law and justice, repudiation of public debts, abominable political rascality towards a neighbouring State, followed by a mercenary raid on its rich territory, — afterwards sought to be excused, on the part of the chief authority of the State, by lies which every one in the country knew to be such and laughed at — an ever-increasing ochlocracy, and finally all the disastrous influence which this abnegation of justice in high quarters must have exercised on private morals. This specimen of a pure constitution on the obverse side of the planet says very little for republics in general, but still less for the imitations of it in Mexico, Guatemala, Colombia and Peru.

A peculiar disadvantage attaching to republics — and one that might not be looked for — is that in this form of government it must be more difficult for men of ability to attain high position and exercise direct political influence than in the case of monarchies. For always and everywhere and

under all circumstances there is a conspiracy, or instinctive alliance, against such men on the part of all the stupid, the weak, and the commonplace; they look upon such men as their natural enemies, and they are firmly held together by a common fear of them. There is always a numerous host of the stupid and the weak, and in a republican constitution it is easy for them to suppress and exclude the men of ability, so that they may not be outflanked by them. They are fifty to one; and here all have equal rights at the start.

In a monarchy, on the other hand, this natural and universal league of the stupid against those who are possessed of intellectual advantages is a one-sided affair; it exists only from below, for in a monarchy talent and intelligence receive a natural advocacy and support from above. In the first place, the position of the monarch himself is much too high and too firm for him to stand in fear of any sort of competition. In the next place, he serves the State more by his will than by his intelligence; for no intelligence could ever be equal to all the demands that would in his case be made upon it. He is therefore compelled to be always availing himself of other men's intelligence. Seeing that his own interests are securely bound up with those of his country; that they are inseparable from them and one with them, he will naturally give the preference to the best men, because they are his most serviceable instruments, and he will bestow his favour upon them — as soon, that is, as he can find them; which is not so difficult, if only an honest search be made. Just in the same way even ministers of State have too much advantage over rising politicians to need to regard them with jealousy; and accordingly for analogous reasons they are glad to single out distinguished men and set them to work, in order to make use of their powers for themselves. It is in this way that intelligence has always under a monarchical government a much better chance against its irreconcilable and ever-present foe, stupidity; and the advantage which it gains is very great.

In general, the monarchical form of government is that which is natural to man; just as it is natural to bees and ants, to a flight of cranes, a herd of wandering elephants, a pack of wolves seeking prey in common, and many other animals, all of which place one of their number at the head of the business in hand. Every business in which men engage, if it is attended with danger — every campaign, every ship at sea — must also be subject to the authority of one commander; everywhere it is one will that must lead. Even the animal organism is constructed on a monarchical principle: it is the brain alone which guides and governs, and exercises the hegemony.

Although heart, lungs, and stomach contribute much more to the continued existence of the whole body, these philistines cannot on that account be allowed to guide and lead. That is a business which belongs solely to the brain; government must proceed from one central point. Even the solar system is monarchical. On the other hand, a republic is as unnatural as it is unfavourable to the higher intellectual life and the arts and sciences. Accordingly we find that everywhere in the world, and at all times, nations, whether civilised or savage, or occupying a position between the two, are always under monarchical government. The rule of many as Homer said, is not a good thing: let there be one ruler, one king;

[Greek: Ouk agathon polykoiraniae-eis koiranos esto  
Eis basileus.]

How would it be possible that, everywhere and at all times, we should see many millions of people, nay, even hundreds of millions, become the willing and obedient subjects of one man, sometimes even one woman, and provisionally, even, of a child, unless there were a monarchical instinct in men which drove them to it as the form of government best suited to them? This arrangement is not the product of reflection. Everywhere one man is king, and for the most part his dignity is hereditary. He is, as it were, the personification, the monogram, of the whole people, which attains an individuality in him. In this sense he can rightly say: *l'état c'est moi*. It is precisely for this reason that in Shakespeare's historical plays the kings of England and France mutually address each other as *France* and *England*, and the Duke of Austria goes by the name of his country. It is as though the kings regarded themselves as the incarnation of their nationalities. It is all in accordance with human nature; and for this very reason the hereditary monarch cannot separate his own welfare and that of his family from the welfare of his country; as, on the other hand, mostly happens when the monarch is elected, as, for instance, in the States of the Church. The Chinese can conceive of a monarchical government only; what a republic is they utterly fail to understand. When a Dutch legation was in China in the year 1658, it was obliged to represent that the Prince of Orange was their king, as otherwise the Chinese would have been inclined to take Holland for a nest of pirates living without any lord or master. Stobaeus, in a chapter in his *Florilegium*, at the head of which he wrote *That monarchy is best*,

collected the best of the passages in which the ancients explained the advantages of that form of government. In a word, republics are unnatural and artificial; they are the product of reflection. Hence it is that they occur only as rare exceptions in the whole history of the world. There were the small Greek republics, the Roman and the Carthaginian; but they were all rendered possible by the fact that five-sixths, perhaps even seven-eighths, of the population consisted of slaves. In the year 1840, even in the United States, there were three million slaves to a population of sixteen millions. Then, again, the duration of the republics of antiquity, compared with that of monarchies, was very short. Republics are very easy to found, and very difficult to maintain, while with monarchies it is exactly the reverse. If it is Utopian schemes that are wanted, I say this: the only solution of the problem would be a despotism of the wise and the noble, of the true aristocracy and the genuine nobility, brought about by the method of generation — that is, by the marriage of the noblest men with the cleverest and most intellectual women. This is my Utopia, my Republic of Plato.

Constitutional kings are undoubtedly in much the same position as the gods of Epicurus, who sit upon high in undisturbed bliss and tranquillity, and do not meddle with human affairs. Just now they are the fashion. In every German duodecimo-principality a parody of the English constitution is set up, quite complete, from Upper and Lower Houses down to the Habeas Corpus Act and trial by jury. These institutions, which proceed from English character and English circumstances, and presuppose both, are natural and suitable to the English people. It is just as natural to the German people to be split up into a number of different stocks, under a similar number of ruling Princes, with an Emperor over them all, who maintains peace at home, and represents the unity of the State board. It is an arrangement which has proceeded from German character and German circumstances. I am of opinion that if Germany is not to meet with the same fate as Italy, it must restore the imperial crown, which was done away with by its arch-enemy, the first Napoleon; and it must restore it as effectively as possible. For German unity depends on it, and without the imperial crown it will always be merely nominal, or precarious. But as we no longer live in the days of Günther of Schwarzburg, when the choice of Emperor was a serious business, the imperial crown ought to go alternately to Prussia and to Austria, for the life of the wearer. In any case, the absolute sovereignty of

the small States is illusory. Napoleon I. did for Germany what Otto the Great did for Italy: he divided it into small, independent States, on the principle, *divide et impera*.

The English show their great intelligence, amongst other ways, by clinging to their ancient institutions, customs and usages, and by holding them sacred, even at the risk of carrying this tenacity too far, and making it ridiculous. They hold them sacred for the simple reason that those institutions and customs are not the invention of an idle head, but have grown up gradually by the force of circumstance and the wisdom of life itself, and are therefore suited to them as a nation. On the other hand, the German Michel allows himself to be persuaded by his schoolmaster that he must go about in an English dress-coat, and that nothing else will do. Accordingly he has bullied his father into giving it to him; and with his awkward manners this ungainly creature presents in it a sufficiently ridiculous figure. But the dress-coat will some day be too tight for him and incommode him. It will not be very long before he feels it in trial by jury. This institution arose in the most barbarous period of the Middle Ages — the times of Alfred the Great, when the ability to read and write exempted a man from the penalty of death. It is the worst of all criminal procedures. Instead of judges, well versed in law and of great experience, who have grown grey in daily unravelling the tricks and wiles of thieves, murderers and rascals of all sorts, and so are well able to get at the bottom of things, it is gossiping tailors and tanners who sit in judgment; it is their coarse, crude, unpractised, and awkward intelligence, incapable of any sustained attention, that is called upon to find out the truth from a tissue of lies and deceit. All the time, moreover, they are thinking of their cloth and their leather, and longing to be at home; and they have absolutely no clear notion at all of the distinction between probability and certainty. It is with this sort of a calculus of probabilities in their stupid heads that they confidently undertake to seal a man's doom.

The same remark is applicable to them which Dr. Johnson made of a court-martial in which he had little confidence, summoned to decide a very important case. He said that perhaps there was not a member of it who, in the whole course of his life, had ever spent an hour by himself in balancing probabilities. Can any one imagine that the tailor and the tanner would be impartial judges? What! the vicious multitude impartial! as if partiality were not ten times more to be feared from men of the same class as the accused

than from judges who knew nothing of him personally, lived in another sphere altogether, were irremovable, and conscious of the dignity of their office. But to let a jury decide on crimes against the State and its head, or on misdemeanours of the press, is in a very real sense to set the fox to keep the geese.

Everywhere and at all times there has been much discontent with governments, laws and public regulations; for the most part, however, because men are always ready to make institutions responsible for the misery inseparable from human existence itself; which is, to speak mythically, the curse that was laid on Adam, and through him on the whole race. But never has that delusion been proclaimed in a more mendacious and impudent manner than by the demagogues of the *Jetstzeit* — of the day we live in. As enemies of Christianity, they are, of course, optimists: to them the world is its own end and object, and accordingly in itself, that is to say, in its own natural constitution, it is arranged on the most excellent principles, and forms a regular habitation of bliss. The enormous and glaring evils of the world they attribute wholly to governments: if governments, they think, were to do their duty, there would be a heaven upon earth; in other words, all men could eat, drink, propagate and die, free from trouble and want. This is what they mean when they talk of the world being “its own end and object”; this is the goal of that “perpetual progress of the human race,” and the other fine things which they are never tired of proclaiming.

Formerly it was *faith* which was the chief support of the throne; nowadays it is *credit*. The Pope himself is scarcely more concerned to retain the confidence of the faithful than to make his creditors believe in his own good faith. If in times past it was the guilty debt of the world which was lamented, now it is the financial debts of the world which arouse dismay. Formerly it was the Last Day which was prophesied; now it is the [Greek: *seisachtheia*] the great repudiation, the universal bankruptcy of the nations, which will one day happen; although the prophet, in this as in the other case, entertains a firm hope that he will not live to see it himself.

From an ethical and a rational point of view, the *right of possession* rests upon an incomparably better foundation than the *right of birth*; nevertheless, the right of possession is allied with the right of birth and has come to be part and parcel of it, so that it would hardly be possible to abolish the right of birth without endangering the right of possession. The



reason of this is that most of what a man possesses he inherited, and therefore holds by a kind of right of birth; just as the old nobility bear the names only of their hereditary estates, and by the use of those names do no more than give expression to the fact that they own the estates. Accordingly all owners of property, if instead of being envious they were wise, ought also to support the maintenance of the rights of birth.

The existence of a nobility has, then, a double advantage: it helps to maintain on the one hand the rights of possession, and on the other the right of birth belonging to the king. For the king is the first nobleman in the country, and, as a general rule, he treats the nobility as his humble relations, and regards them quite otherwise than the commoners, however trusty and well-beloved. It is quite natural, too, that he should have more confidence in those whose ancestors were mostly the first ministers, and always the immediate associates, of his own. A nobleman, therefore, appeals with reason to the name he bears, when on the occurrence of anything to rouse distrust he repeats his assurance of fidelity and service to the king. A man's character, as my readers are aware, assuredly comes to him from his father. It is a narrow-minded and ridiculous thing not to consider whose son a man is.

## FREE-WILL AND FATALISM.

No thoughtful man can have any doubt, after the conclusions reached in my prize-essay on *Moral Freedom*, that such freedom is to be sought, not anywhere in nature, but outside of it. The only freedom that exists is of a metaphysical character. In the physical world freedom is an impossibility. Accordingly, while our several actions are in no wise free, every man's individual character is to be regarded as a free act. He is such and such a man, because once for all it is his will to be that man. For the will itself, and in itself, and also in so far as it is manifest in an individual, and accordingly constitutes the original and fundamental desires of that individual, is independent of all knowledge, because it is antecedent to such knowledge. All that it receives from knowledge is the series of motives by which it successively develops its nature and makes itself cognisable or visible; but the will itself, as something that lies beyond time, and so long as it exists at all, never changes. Therefore every man, being what he is and placed in the circumstances which for the moment obtain, but which on their part also arise by strict necessity, can absolutely never do anything else than just what at that moment he does do. Accordingly, the whole course of a man's life, in all its incidents great and small, is as necessarily predetermined as the course of a clock.

The main reason of this is that the kind of metaphysical free act which I have described tends to become a knowing consciousness — a perceptive intuition, which is subject to the forms of space and time. By means of those forms the unity and indivisibility of the act are represented as drawn asunder into a series of states and events, which are subject to the Principle of Sufficient Reason in its four forms — and it is this that is meant by *necessity*. But the result of it all assumes a moral complexion. It amounts to this, that by what we do we know what we are, and by what we suffer we know what we deserve.

Further, it follows from this that a man's *individuality* does not rest upon the principle of individuation alone, and therefore is not altogether phenomenal in its nature. On the contrary, it has its roots in the thing-in-itself, in the will which is the essence of each individual. The character of this individual is itself individual. But how deep the roots of individuality extend is one of the questions which I do not undertake to answer.

In this connection it deserves to be mentioned that even Plato, in his own way, represented the individuality of a man as a free act. He represented him as coming into the world with a given tendency, which was the result of the feelings and character already attaching to him in accordance with the doctrine of metempsychosis. The Brahmin philosophers also express the unalterable fixity of innate character in a mystical fashion. They say that Brahma, when a man is produced, engraves his doings and sufferings in written characters on his skull, and that his life must take shape in accordance therewith. They point to the jagged edges in the sutures of the skull-bones as evidence of this writing; and the purport of it, they say, depends on his previous life and actions. The same view appears to underlie the Christian, or rather, the Pauline, dogma of Predestination.

But this truth, which is universally confirmed by experience, is attended with another result. All genuine merit, moral as well as intellectual, is not merely physical or empirical in its origin, but metaphysical; that is to say, it is given *a priori* and not *a posteriori*; in other words, it lies innate and is not acquired, and therefore its source is not a mere phenomenon, but the thing-in-itself. Hence it is that every man achieves only that which is irrevocably established in his nature, or is born with him. Intellectual capacity needs, it is true, to be developed just as many natural products need to be cultivated in order that we may enjoy or use them; but just as in the case of a natural product no cultivation can take the place of original material, neither can it do so in the case of intellect. That is the reason why qualities which are merely acquired, or learned, or enforced — that is, qualities *a posteriori*, whether moral or intellectual — are not real or genuine, but superficial only, and possessed of no value. This is a conclusion of true metaphysics, and experience teaches the same lesson to all who can look below the surface. Nay, it is proved by the great importance which we all attach to such innate characteristics as physiognomy and external appearance, in the case of a man who is at all distinguished; and that is why we are so curious to see him. Superficial people, to be sure, — and, for very good reasons, commonplace people too, — will be of the opposite opinion; for if anything fails them they will thus be enabled to console themselves by thinking that it is still to come.

The world, then, is not merely a battlefield where victory and defeat receive their due recompense in a future state. No! the world is itself the Last Judgment on it. Every man carries with him the reward and the

disgrace that he deserves; and this is no other than the doctrine of the Brahmins and Buddhists as it is taught in the theory of metempsychosis.

The question has been raised, What two men would do, who lived a solitary life in the wilds and met each other for the first time. Hobbes, Pufendorf, and Rousseau have given different answers. Pufendorf believed that they would approach each other as friends; Hobbes, on the contrary, as enemies; Rousseau, that they would pass each other by in silence. All three are both right and wrong. This is just a case in which the incalculable difference that there is in innate moral disposition between one individual and another would make its appearance. The difference is so strong that the question here raised might be regarded as the standard and measure of it. For there are men in whom the sight of another man at once rouses a feeling of enmity, since their inmost nature exclaims at once: That is not me! There are, others in whom the sight awakens immediate sympathy; their inmost nature says: *That is me over again!* Between the two there are countless degrees. That in this most important matter we are so totally different is a great problem, nay, a mystery.

In regard to this *a priori* nature of moral character there is matter for varied reflection in a work by Bastholm, a Danish writer, entitled *Historical Contributions to the Knowledge of Man in the Savage State*. He is struck by the fact that intellectual culture and moral excellence are shown to be entirely independent of each other, inasmuch as one is often found without the other. The reason of this, as we shall find, is simply that moral excellence in no wise springs from reflection, which is developed by intellectual culture, but from the will itself, the constitution of which is innate and not susceptible in itself of any improvement by means of education. Bastholm represents most nations as very vicious and immoral; and on the other hand he reports that excellent traits of character are found amongst some savage peoples; as, for instance, amongst the Orotchyses, the inhabitants of the island Savu, the Tunguses, and the Pelew islanders. He thus attempts to solve the problem, How it is that some tribes are so remarkably good, when their neighbours are all bad,

It seems to me that the difficulty may be explained as follows: Moral qualities, as we know, are heritable, and an isolated tribe, such as is described, might take its rise in some one family, and ultimately in a single ancestor who happened to be a good man, and then maintain its purity. Is it not the case, for instance, that on many unpleasant occasions, such as

repudiation of public debts, filibustering raids and so on, the English have often reminded the North Americans of their descent from English penal colonists? It is a reproach, however, which can apply only to a small part of the population.

It is marvellous how *every man's individuality* (that is to say, the union of a definite character with a definite intellect) accurately determines all his actions and thoughts down to the most unimportant details, as though it were a dye which pervaded them; and how, in consequence, one man's whole course of life, in other words, his inner and outer history, turns out so absolutely different from another's. As a botanist knows a plant in its entirety from a single leaf; as Cuvier from a single bone constructed the whole animal, so an accurate knowledge of a man's whole character may be attained from a single characteristic act; that is to say, he himself may to some extent be constructed from it, even though the act in question is of very trifling consequence. Nay, that is the most perfect test of all, for in a matter of importance people are on their guard; in trifles they follow their natural bent without much reflection. That is why Seneca's remark, that even the smallest things may be taken as evidence of character, is so true: *argumenta morum ex minimis quoque licet capere*. If a man shows by his absolutely unscrupulous and selfish behaviour in small things that a sentiment of justice is foreign to his disposition, he should not be trusted with a penny unless on due security. For who will believe that the man who every day shows that he is unjust in all matters other than those which concern property, and whose boundless selfishness everywhere protrudes through the small affairs of ordinary life which are subject to no scrutiny, like a dirty shirt through the holes of a ragged jacket — who, I ask, will believe that such a man will act honourably in matters of *meum* and *tuum* without any other incentive but that of justice? The man who has no conscience in small things will be a scoundrel in big things. If we neglect small traits of character, we have only ourselves to blame if we afterwards learn to our disadvantage what this character is in the great affairs of life. On the same principle, we ought to break with so-called friends even in matters of trifling moment, if they show a character that is malicious or bad or vulgar, so that we may avoid the bad turn which only waits for an opportunity of being done us. The same thing applies to servants. Let it always be our maxim: Better alone than amongst traitors.

Of a truth the first and foremost step in all knowledge of mankind is the conviction that a man's conduct, taken as a whole, and in all its essential particulars, is not governed by his reason or by any of the resolutions which he may make in virtue of it. No man becomes this or that by wishing to be it, however earnestly. His acts proceed from his innate and unalterable character, and they are more immediately and particularly determined by motives. A man's conduct, therefore, is the necessary product of both character and motive. It may be illustrated by the course of a planet, which is the result of the combined effect of the tangential energy with which it is endowed, and the centripetal energy which operates from the sun. In this simile the former energy represents character, and the latter the influence of motive. It is almost more than a mere simile. The tangential energy which properly speaking is the source of the planet's motion, whilst on the other hand the motion is kept in check by gravitation, is, from a metaphysical point of view, the will manifesting itself in that body.

To grasp this fact is to see that we really never form anything more than a conjecture of what we shall do under circumstances which are still to happen; although we often take our conjecture for a resolve. When, for instance, in pursuance of a proposal, a man with the greatest sincerity, and even eagerness, accepts an engagement to do this or that on the occurrence of a certain future event, it is by no means certain that he will fulfil the engagement; unless he is so constituted that the promise which he gives, in itself and as such, is always and everywhere a motive sufficient for him, by acting upon him, through considerations of honour, like some external compulsion. But above and beyond this, what he will do on the occurrence of that event may be foretold from true and accurate knowledge of his character and the external circumstances under the influence of which he will fall; and it may with complete certainty be foretold from this alone. Nay, it is a very easy prophecy if he has been already seen in a like position; for he will inevitably do the same thing a second time, provided that on the first occasion he had a true and complete knowledge of the facts of the case. For, as I have often remarked, a final cause does not impel a man by being real, but by being known; *causa finalis non movet secundum suum esse reale, sed secundum esse cognitum*. Whatever he failed to recognise or understand the first time could have no influence upon his will; just as an electric current stops when some isolating body hinders the action of the conductor. This unalterable nature of character, and the consequent

necessity of our actions, are made very clear to a man who has not, on any given occasion, behaved as he ought to have done, by showing a lack either of resolution or endurance or courage, or some other quality demanded at the moment. Afterwards he recognises what it is that he ought to have done; and, sincerely repenting of his incorrect behaviour, he thinks to himself, *If the opportunity were offered to me again, I should act differently*. It is offered once more; the same occasion recurs; and to his great astonishment he does precisely the same thing over again.

The best examples of the truth in question are in every way furnished by Shakespeare's plays. It is a truth with which he was thoroughly imbued, and his intuitive wisdom expressed it in a concrete shape on every page. I shall here, however, give an instance of it in a case in which he makes it remarkably clear, without exhibiting any design or affectation in the matter; for he was a real artist and never set out from general ideas. His method was obviously to work up to the psychological truth which he grasped directly and intuitively, regardless of the fact that few would notice or understand it, and without the smallest idea that some dull and shallow fellows in Germany would one day proclaim far and wide that he wrote his works to illustrate moral commonplaces. I allude to the character of the Earl of Northumberland, whom we find in three plays in succession, although he does not take a leading part in any one of them; nay, he appears only in a few scenes distributed over fifteen acts. Consequently, if the reader is not very attentive, a character exhibited at such great intervals, and its moral identity, may easily escape his notice, even though it has by no means escaped the poet's. He makes the earl appear everywhere with a noble and knightly grace, and talk in language suitable to it; nay, he sometimes puts very beautiful and even elevated passages, into his mouth. At the same time he is very far from writing after the manner of Schiller, who was fond of painting the devil black, and whose moral approval or disapproval of the characters which he presented could be heard in their own words. With Shakespeare, and also with Goethe, every character, as long as he is on the stage and speaking, seems to be absolutely in the right, even though it were the devil himself. In this respect let the reader compare Duke Alba as he appears in Goethe with the same character in Schiller.

We make the acquaintance of the Earl of Northumberland in the play of *Richard II.*, where he is the first to hatch a plot against the King in favour of

Bolingbroke, afterwards Henry IV., to whom he even offers some personal flattery (Act II., Sc. 3). In the following act he suffers a reprimand because, in speaking of the King he talks of him as “Richard,” without more ado, but protests that he did it only for brevity’s sake. A little later his insidious words induce the King to surrender. In the following act, when the King renounces the crown, Northumberland treats him with such harshness and contempt that the unlucky monarch is quite broken, and losing all patience once more exclaims to him: *Fiend, thou torment’st me ere I come to hell!* At the close, Northumberland announces to the new King that he has sent the heads of the former King’s adherents to London.

In the following tragedy, *Henry IV.*, he hatches a plot against the new King in just the same way. In the fourth act we see the rebels united, making preparations for the decisive battle on the morrow, and only waiting impatiently for Northumberland and his division. At last there arrives a letter from him, saying that he is ill, and that he cannot entrust his force to any one else; but that nevertheless the others should go forward with courage and make a brave fight. They do so, but, greatly weakened by his absence, they are completely defeated; most of their leaders are captured, and his own son, the valorous Hotspur, falls by the hand of the Prince of Wales.

Again, in the following play, the *Second Part of Henry IV.*, we see him reduced to a state of the fiercest wrath by the death of his son, and maddened by the thirst for revenge. Accordingly he kindles another rebellion, and the heads of it assemble once more. In the fourth act, just as they are about to give battle, and are only waiting for him to join them, there comes a letter saying that he cannot collect a proper force, and will therefore seek safety for the present in Scotland; that, nevertheless, he heartily wishes their heroic undertaking the best success. Thereupon they surrender to the King under a treaty which is not kept, and so perish.

So far is character from being the work of reasoned choice and consideration that in any action the intellect has nothing to do but to present motives to the will. Thereafter it looks on as a mere spectator and witness at the course which life takes, in accordance with the influence of motive on the given character. All the incidents of life occur, strictly speaking, with the same necessity as the movement of a clock. On this point let me refer to my prize-essay on *The Freedom of the Will*. I have there explained the true meaning and origin of the persistent illusion that the will is entirely free in



every single action; and I have indicated the cause to which it is due. I will only add here the following teleological explanation of this natural illusion.

Since every single action of a man's life seems to possess the freedom and originality which in truth only belong to his character as he apprehends it, and the mere apprehension of it by his intellect is what constitutes his career; and since what is original in every single action seems to the empirical consciousness to be always being performed anew, a man thus receives in the course of his career the strongest possible moral lesson. Then, and not before, he becomes thoroughly conscious of all the bad sides of his character. Conscience accompanies every act with the comment: *You should act differently*, although its true sense is: *You could be other than you are*. As the result of this immutability of character on the one hand, and, on the other, of the strict necessity which attends all the circumstances in which character is successively placed, every man's course of life is precisely determined from Alpha right through to Omega. But, nevertheless, one man's course of life turns out immeasurably happier, nobler and more worthy than another's, whether it be regarded from a subjective or an objective point of view, and unless we are to exclude all ideas of justice, we are led to the doctrine which is well accepted in Brahmanism and Buddhism, that the subjective conditions in which, as well as the objective conditions under which, every man is born, are the moral consequences of a previous existence.

Macchiavelli, who seems to have taken no interest whatever in philosophical speculations, is drawn by the keen subtlety of his very unique understanding into the following observation, which possesses a really deep meaning. It shows that he had an intuitive knowledge of the entire necessity with which, characters and motives being given, all actions take place. He makes it at the beginning of the prologue to his comedy *Clitia*. *If*, he says, *the same men were to recur in the world in the way that the same circumstances recur, a hundred years would never elapse without our finding ourselves together once more, and doing the same things as we are doing now — Se nel mondo tornassino i medesimi uomini, como tornano i medesimi casi, non passerebbono mai cento anni che noi non ci trovassimo un'altra volta insieme, a fare le medesime cose che hora*. He seems however to have been drawn into the remark by a reminiscence of what Augustine says in his *De Civitate Dei*, bk. xii., ch. xiii.

Again, Fate, or the [Greek: eimarmenae] of the ancients, is nothing but the conscious certainty that all that happens is fast bound by a chain of causes, and therefore takes place with a strict necessity; that the future is already ordained with absolute certainty and can undergo as little alteration as the past. In the fatalistic myths of the ancients all that can be regarded as fabulous is the prediction of the future; that is, if we refuse to consider the possibility of magnetic clairvoyance and second sight. Instead of trying to explain away the fundamental truth of Fatalism by superficial twaddle and foolish evasion, a man should attempt to get a clear knowledge and comprehension of it; for it is demonstrably true, and it helps us in a very important way to an understanding of the mysterious riddle of our life. Predestination and Fatalism do not differ in the main. They differ only in this, that with Predestination the given character and external determination of human action proceed from a rational Being, and with Fatalism from an irrational one. But in either case the result is the same: that happens which must happen.

On the other hand the conception of *Moral Freedom* is inseparable from that of *Originality*. A man may be said, but he cannot be conceived, to be the work of another, and at the same time be free in respect of his desires and acts. He who called him into existence out of nothing in the same process created and determined his nature — in other words, the whole of his qualities. For no one can create without creating a something, that is to say, a being determined throughout and in all its qualities. But all that a man says and does necessarily proceeds from the qualities so determined; for it is only the qualities themselves set in motion. It is only some external impulse that they require to make their appearance. As a man is, so must he act; and praise or blame attaches, not to his separate acts, but to his nature and being.

That is the reason why Theism and the moral responsibility of man are incompatible; because responsibility always reverts to the creator of man and it is there that it has its centre. Vain attempts have been made to make a bridge from one of these incompatibles to the other by means of the conception of moral freedom; but it always breaks down again. What is *free* must also be *original*. If our will is *free*, our will is also *the original element*, and conversely. Pre-Kantian dogmatism tried to separate these two predicaments. It was thereby compelled to assume two kinds of freedom, one cosmological, of the first cause, and the other moral and theological, of

human will. These are represented in Kant by the third as well as the fourth antimony of freedom.

On the other hand, in my philosophy the plain recognition of the strictly necessary character of all action is in accordance with the doctrine that what manifests itself even in the organic and irrational world is *will*. If this were not so, the necessity under which irrational beings obviously act would place their action in conflict with will; if, I mean, there were really such a thing as the freedom of individual action, and this were not as strictly necessitated as every other kind of action. But, as I have just shown, it is this same doctrine of the necessary character of all acts of will which makes it needful to regard a man's existence and being as itself the work of his freedom, and consequently of his will. The will, therefore, must be self-existent; it must possess so-called *a-se-ity*. Under the opposite supposition all responsibility, as I have shown, would be at an end, and the moral like the physical world would be a mere machine, set in motion for the amusement of its manufacturer placed somewhere outside of it. So it is that truths hang together, and mutually advance and complete one another; whereas error gets jostled at every corner.

What kind of influence it is that *moral instruction* may exercise on conduct, and what are the limits of that influence, are questions which I have sufficiently examined in the twentieth section of my treatise on the *Foundation of Morality*. In all essential particulars an analogous influence is exercised by *example*, which, however, has a more powerful effect than doctrine, and therefore it deserves a brief analysis.

In the main, example works either by restraining a man or by encouraging him. It has the former effect when it determines him to leave undone what he wanted to do. He sees, I mean, that other people do not do it; and from this he judges, in general, that it is not expedient; that it may endanger his person, or his property, or his honour.

He rests content, and gladly finds himself relieved from examining into the matter for himself. Or he may see that another man, who has not refrained, has incurred evil consequences from doing it; this is example of the deterrent kind. The example which encourages a man works in a twofold manner. It either induces him to do what he would be glad to leave undone, if he were not afraid lest the omission might in some way endanger him, or injure him in others' opinion; or else it encourages him to do what he is glad to do, but has hitherto refrained from doing from fear of danger or

shame; this is example of the seductive kind. Finally, example may bring a man to do what he would have otherwise never thought of doing. It is obvious that in this last case example works in the main only on the intellect; its effect on the will is secondary, and if it has any such effect, it is by the interposition of the man's own judgment, or by reliance on the person who presented the example.

The whole influence of example — and it is very strong — rests on the fact that a man has, as a rule, too little judgment of his own, and often too little knowledge, to explore his own way for himself, and that he is glad, therefore, to tread in the footsteps of some one else. Accordingly, the more deficient he is in either of these qualities, the more is he open to the influence of example; and we find, in fact, that most men's guiding star is the example of others; that their whole course of life, in great things and in small, comes in the end to be mere imitation; and that not even in the pettiest matters do they act according to their own judgment. Imitation and custom are the spring of almost all human action. The cause of it is that men shrink shy of all and any sort of reflection, and very properly mistrust their own discernment. At the same time this remarkably strong imitative instinct in man is a proof of his kinship with apes.

But the kind of effect which example exercises depends upon a man's character, and thus it is that the same example may possibly seduce one man and deter another. An easy opportunity of observing this is afforded in the case of certain social impertinences which come into vogue and gradually spread. The first time that a man notices anything of the kind, he may say to himself: *For shame! how can he do it! how selfish and inconsiderate of him! really, I shall take care never to do anything like that.* But twenty others will think: *Aha! if he does that, I may do it too.*

As regards morality, example, like doctrine, may, it is true, promote civil or legal amelioration, but not that inward amendment which is, strictly speaking, the only kind of moral amelioration. For example always works as a personal motive alone, and assumes, therefore, that a man is susceptible to this sort of motive. But it is just the predominating sensitiveness of a character to this or that sort of motive that determines whether its morality is true and real; though, of whatever kind it is, it is always innate. In general it may be said that example operates as a means of promoting the good and the bad qualities of a character, but it does not create them; and so it is that Seneca's maxim, *velle non discitur* — *will cannot be learned* — also holds

good here. But the innateness of all truly moral qualities, of the good as of the bad, is a doctrine that consorts better with the metempsychosis of the Brahmins and Buddhists, according to which a man's good and bad deeds follow him from one existence to another like his shadow, than with Judaism. For Judaism requires a man to come into the world as a moral blank, so that, in virtue of an inconceivable free will, directed to objects which are neither to be sought nor avoided — *liberum arbitrium indifferentiae* — and consequently as the result of reasoned consideration, he may choose whether he is to be an angel or a devil, or anything else that may lie between the two. Though I am well aware what the Jewish scheme is, I pay no attention to it; for my standard is truth. I am no professor of philosophy, and therefore I do not find my vocation in establishing the fundamental ideas of Judaism at any cost, even though they for ever bar the way to all and every kind of philosophical knowledge. *Liberum arbitrium indifferentiae* under the name of *moral freedom* is a charming doll for professors of philosophy to dandle; and we must leave it to those intelligent, honourable and upright gentlemen.

## CHARACTER.

Men who aspire to a happy, a brilliant and a long life, instead of to a virtuous one, are like foolish actors who want to be always having the great parts, — the parts that are marked by splendour and triumph. They fail to see that the important thing is not *what* or *how much*, but *how* they act.

Since *a man does not alter*, and his *moral character* remains absolutely the same all through his life; since he must play out the part which he has received, without the least deviation from the character; since neither experience, nor philosophy, nor religion can effect any improvement in him, the question arises, What is the meaning of life at all? To what purpose is it played, this farce in which everything that is essential is irrevocably fixed and determined?

It is played that a man may come to understand himself, that he may see what it is that he seeks and has sought to be; what he wants, and what, therefore, he is. *This is a knowledge which must be imparted to him from without.* Life is to man, in other words, to will, what chemical re-agents are to the body: it is only by life that a man reveals what he is, and it is only in so far as he reveals himself that he exists at all. Life is the manifestation of character, of the something that we understand by that word; and it is not in life, but outside of it, and outside time, that character undergoes alteration, as a result of the self-knowledge which life gives. Life is only the mirror into which a man gazes not in order that he may get a reflection of himself, but that he may come to understand himself by that reflection; that he may see *what* it is that the mirror shows. Life is the proof sheet, in which the compositors' errors are brought to light. How they become visible, and whether the type is large or small, are matters of no consequence. Neither in the externals of life nor in the course of history is there any significance; for as it is all one whether an error occurs in the large type or in the small, so it is all one, as regards the essence of the matter, whether an evil disposition is mirrored as a conqueror of the world or a common swindler or ill-natured egoist. In one case he is seen of all men; in the other, perhaps only of himself; but that he should see himself is what signifies.

Therefore if egoism has a firm hold of a man and masters him, whether it be in the form of joy, or triumph, or lust, or hope, or frantic grief, or annoyance, or anger, or fear, or suspicion, or passion of any kind — he is in

the devil's clutches and how he got into them does not matter. What is needful is that he should make haste to get out of them; and here, again, it does not matter how.

I have described *character* as *theoretically* an act of will lying beyond time, of which life in time, or *character in action*, is the development. For matters of practical life we all possess the one as well as the other; for we are constituted of them both. Character modifies our life more than we think, and it is to a certain extent true that every man is the architect of his own fortune. No doubt it seems as if our lot were assigned to us almost entirely from without, and imparted to us in something of the same way in which a melody outside us reaches the ear. But on looking back over our past, we see at once that our life consists of mere variations on one and the same theme, namely, our character, and that the same fundamental bass sounds through it all. This is an experience which a man can and must make in and by himself.

Not only a man's life, but his intellect too, may be possessed of a clear and definite character, so far as his intellect is applied to matters of theory. It is not every man, however, who has an intellect of this kind; for any such definite individuality as I mean is genius — an original view of the world, which presupposes an absolutely exceptional individuality, which is the essence of genius. A man's intellectual character is the theme on which all his works are variations. In an essay which I wrote in Weimar I called it the knack by which every genius produces his works, however various. This intellectual character determines the physiognomy of men of genius — what I might call *the theoretical physiognomy* — and gives it that distinguished expression which is chiefly seen in the eyes and the forehead. In the case of ordinary men the physiognomy presents no more than a weak analogy with the physiognomy of genius. On the other hand, all men possess *the practical physiognomy*, the stamp of will, of practical character, of moral disposition; and it shows itself chiefly in the mouth.

Since character, so far as we understand its nature, is above and beyond time, it cannot undergo any change under the influence of life. But although it must necessarily remain the same always, it requires time to unfold itself and show the very diverse aspects which it may possess. For character consists of two factors: one, the will-to-live itself, blind impulse, so-called impetuosity; the other, the restraint which the will acquires when it comes to understand the world; and the world, again, is itself will. A man may

begin by following the craving of desire, until he comes to see how hollow and unreal a thing is life, how deceitful are its pleasures, what horrible aspects it possesses; and this it is that makes people hermits, penitents, Magdalenes. Nevertheless it is to be observed that no such change from a life of great indulgence in pleasure to one of resignation is possible, except to the man who of his own accord renounces pleasure. A really bad life cannot be changed into a virtuous one. The most beautiful soul, before it comes to know life from its horrible side, may eagerly drink the sweets of life and remain innocent. But it cannot commit a bad action; it cannot cause others suffering to do a pleasure to itself, for in that case it would see clearly what it would be doing; and whatever be its youth and inexperience it perceives the sufferings of others as clearly as its own pleasures. That is why one bad action is a guarantee that numberless others will be committed as soon as circumstances give occasion for them. Somebody once remarked to me, with entire justice, that every man had something very good and humane in his disposition, and also something very bad and malignant; and that according as he was moved one or the other of them made its appearance. The sight of others' suffering arouses, not only in different men, but in one and the same man, at one moment an inexhaustible sympathy, at another a certain satisfaction; and this satisfaction may increase until it becomes the cruellest delight in pain. I observe in myself that at one moment I regard all mankind with heartfelt pity, at another with the greatest indifference, on occasion with hatred, nay, with a positive enjoyment of their pain.

All this shows very clearly that we are possessed of two different, nay, absolutely contradictory, ways of regarding the world: one according to the principle of individuation, which exhibits all creatures as entire strangers to us, as definitely not ourselves. We can have no feelings for them but those of indifference, envy, hatred, and delight that they suffer. The other way of regarding the world is in accordance with what I may call the *Tat-twam-asi* — *this-is-thyself* principle. All creatures are exhibited as identical with ourselves; and so it is pity and love which the sight of them arouses.

The one method separates individuals by impassable barriers; the other removes the barrier and brings the individuals together. The one makes us feel, in regard to every man, *that is what I am*; the other, *that is not what I am*. But it is remarkable that while the sight of another's suffering makes us feel our identity with him, and arouses our pity, this is not so with the sight



of another's happiness. Then we almost always feel some envy; and even though we may have no such feeling in certain cases, — as, for instance, when our friends are happy, — yet the interest which we take in their happiness is of a weak description, and cannot compare with the sympathy which we feel with their suffering. Is this because we recognise all happiness to be a delusion, or an impediment to true welfare? No! I am inclined to think that it is because the sight of the pleasure, or the possessions, which are denied to us, arouses envy; that is to say, the wish that we, and not the other, had that pleasure or those possessions.

It is only the first way of looking at the world which is founded on any demonstrable reason. The other is, as it were, the gate out of this world; it has no attestation beyond itself, unless it be the very abstract and difficult proof which my doctrine supplies. Why the first way predominates in one man, and the second in another — though perhaps it does not exclusively predominate in any man; why the one or the other emerges according as the will is moved — these are deep problems. The paths of night and day are close together:

[Greek: Engus gar nuktos de kai aematos eisi keleuthoi.]

It is a fact that there is a great and original difference between one empirical character and another; and it is a difference which, at bottom, rests upon the relation of the individual's will to his intellectual faculty. This relation is finally determined by the degree of will in his father and of intellect in his mother; and the union of father and mother is for the most part an affair of chance. This would all mean a revolting injustice in the nature of the world, if it were not that the difference between parents and son is phenomenal only and all chance is, at bottom, necessity.

As regards the freedom of the will, if it were the case that the will manifested itself in a single act alone, it would be a free act. But the will manifests itself in a course of life, that is to say, in a series of acts. Every one of these acts, therefore, is determined as a part of a complete whole, and cannot happen otherwise than it does happen. On the other hand, the whole series is free; it is simply the manifestation of an individualised will.

If a man feels inclined to commit a bad action and refrains, he is kept back either (1) by fear of punishment or vengeance; or (2) by superstition in other words, fear of punishment in a future life; or (3) by the feeling of sympathy, including general charity; or (4) by the feeling of honour, in other words, the fear of shame; or (5) by the feeling of justice, that is, an

objective attachment to fidelity and good-faith, coupled with a resolve to hold them sacred, because they are the foundation of all free intercourse between man and man, and therefore often of advantage to himself as well. This last thought, not indeed as a thought, but as a mere feeling, influences people very frequently. It is this that often compels a man of honour, when some great but unjust advantage is offered him, to reject it with contempt and proudly exclaim: *I am an honourable man!* For otherwise how should a poor man, confronted with the property which chance or even some worse agency has bestowed on the rich, whose very existence it is that makes him poor, feel so much sincere respect for this property, that he refuses to touch it even in his need; and although he has a prospect of escaping punishment, what other thought is it that can be at the bottom of such a man's honesty? He is resolved not to separate himself from the great community of honourable people who have the earth in possession, and whose laws are recognised everywhere. He knows that a single dishonest act will ostracise and proscribe him from that society for ever. No! a man will spend money on any soil that yields him good fruit, and he will make sacrifices for it.

With a good action, — that, every action in which a man's own advantage is ostensibly subordinated to another's, — the motive is either (1) self-interest, kept in the background; or (2) superstition, in other words, self-interest in the form of reward in another life; or (3) sympathy; or (4) the desire to lend a helping hand, in other words, attachment to the maxim that we should assist one another in need, and the wish to maintain this maxim, in view of the presumption that some day we ourselves may find it serve our turn. For what Kant calls a good action done from motives of duty and for the sake of duty, there is, as will be seen, no room at all. Kant himself declares it to be doubtful whether an action was ever determined by pure motives of duty alone. I affirm most certainly that no action was ever so done; it is mere babble; there is nothing in it that could really act as a motive to any man. When he shelters himself behind verbiage of that sort, he is always actuated by one of the four motives which I have described. Among these it is obviously sympathy alone which is quite genuine and sincere.

*Good* and *bad* apply to character only *à potiori*; that is to say, we prefer the good to the bad; but, absolutely, there is no such distinction. The difference arises at the point which lies between subordinating one's own advantage to that of another, and not subordinating it. If a man keeps to the

exact middle, he is *just*. But most men go an inch in their regard for others' welfare to twenty yards in regard for their own.

The source of *good* and of *bad character*, so far as we have any real knowledge of it, lies in this, that with the bad character the thought of the external world, and especially of the living creatures in it, is accompanied — all the more, the greater the resemblance between them and the individual self — by a constant feeling of *not I, not I, not I*.

Contrarily, with the good character (both being assumed to exist in a high degree) the same thought has for its accompaniment, like a fundamental bass, a constant feeling of *I, I, I*. From this spring benevolence and a disposition to help all men, and at the same time a cheerful, confident and tranquil frame of mind, the opposite of that which accompanies the bad character.

The difference, however, is only phenomenal, although it is a difference which is radical. But now we come to *the hardest of all problems*: How is it that, while the will, as the thing-in-itself, is identical, and from a metaphysical point of view one and the same in all its manifestations, there is nevertheless such an enormous difference between one character and another? — the malicious, diabolical wickedness of the one, and set off against it, the goodness of the other, showing all the more conspicuously. How is it that we get a Tiberius, a Caligula, a Carcalla, a Domitian, a Nero; and on the other hand, the Antonines, Titus, Hadrian, Nerva? How is it that among the animals, nay, in a higher species, in individual animals, there is a like difference? — the malignity of the cat most strongly developed in the tiger; the spite of the monkey; on the other hand, goodness, fidelity and love in the dog and the elephant. It is obvious that the principle of wickedness in the brute is the same as in man.

We may to some extent modify the difficulty of the problem by observing that the whole difference is in the end only one of degree. In every living creature, the fundamental propensities and instincts all exist, but they exist in very different degrees and proportions. This, however, is not enough to explain the facts.

We must fall back upon the intellect and its relation to the will; it is the only explanation that remains. A man's intellect, however, by no means stands in any direct and obvious relation with the goodness of his character. We may, it is true, discriminate between two kinds of intellect: between understanding, as the apprehension of relation in accordance with the

Principle of Sufficient Reason, and cognition, a faculty akin to genius, which acts more directly, is independent of this law, and passes beyond the Principle of Individuation. The latter is the faculty which apprehends Ideas, and it is the faculty which has to do with morality. But even this explanation leaves much to be desired. *Fine minds are seldom fine souls* was the correct observation of Jean Paul; although they are never the contrary. Lord Bacon, who, to be sure, was less a fine soul than a fine mind, was a scoundrel.

I have declared space and time to be part of the Principle of Individuation, as it is only space and time that make the multiplicity of similar objects a possibility. But multiplicity itself also admits of variety; multiplicity and diversity are not only quantitative, but also qualitative. How is it that there is such a thing as qualitative diversity, especially in ethical matters? Or have I fallen into an error the opposite of that in which Leibnitz fell with his *identitas indiscernibilium*?

The chief cause of intellectual diversity is to be found in the brain and nervous system. This is a fact which somewhat lessens the obscurity of the subject. With the brutes the intellect and the brain are strictly adapted to their aims and needs. With man alone there is now and then, by way of exception, a superfluity, which, if it is abundant, may yield genius. But ethical diversity, it seems, proceeds immediately from the will. Otherwise ethical character would not be above and beyond time, as it is only in the individual that intellect and will are united. The will is above and beyond time, and eternal; and character is innate; that is to say, it is sprung from the same eternity, and therefore it does not admit of any but a transcendental explanation.

Perhaps some one will come after me who will throw light into this dark abyss.

## MORAL INSTINCT.

An act done by instinct differs from every other kind of act in that an understanding of its object does not precede it but follows upon it. Instinct is therefore a rule of action given *à priori*. We may be unaware of the object to which it is directed, as no understanding of it is necessary to its attainment. On the other hand, if an act is done by an exercise of reason or intelligence, it proceeds according to a rule which the understanding has itself devised for the purpose of carrying out a preconceived aim. Hence it is that action according to rule may miss its aim, while instinct is infallible.

On the *à priori* character of instinct we may compare what Plato says in the *Philebus*. With Plato instinct is a reminiscence of something which a man has never actually experienced in his lifetime; in the same way as, in the *Phaedo* and elsewhere, everything that a man learns is regarded as a reminiscence. He has no other word to express the *à priori* element in all experience.

There are, then, three things that are *à priori*:

(1) Theoretical Reason, in other words, the conditions which make all experience possible.

(2) Instinct, or the rule by which an object promoting the life of the senses may, though unknown, be attained.

(3) The Moral Law, or the rule by which an action takes place without any object.

Accordingly rational or intelligent action proceeds by a rule laid down in accordance with the object as it is understood. Instinctive action proceeds by a rule without an understanding of the object of it. Moral action proceeds by a rule without any object at all.

*Theoretical Reason* is the aggregate of rules in accordance with which all my knowledge — that is to say, the whole world of experience — necessarily proceeds. In the same manner *Instinct* is the aggregate of rules in accordance with which all my action necessarily proceeds if it meets with no obstruction. Hence it seems to me that Instinct may most appropriately be called *practical reason*, for like theoretical reason it determines the *must* of all experience.

The so-called moral law, on the other hand, is only one aspect of *the better consciousness*, the aspect which it presents from the point of view of

instinct. This better consciousness is something lying beyond all experience, that is, beyond all reason, whether of the theoretical or the practical kind, and has nothing to do with it; whilst it is in virtue of the mysterious union of it and reason in the same individual that the better consciousness comes into conflict with reason, leaving the individual to choose between the two.

In any conflict between the better consciousness and reason, if the individual decides for reason, should it be theoretical reason, he becomes a narrow, pedantic philistine; should it be practical, a rascal.

If he decides for the better consciousness, we can make no further positive affirmation about him, for if we were to do so, we should find ourselves in the realm of reason; and as it is only what takes place within this realm that we can speak of at all it follows that we cannot speak of the better consciousness except in negative terms.

This shows us how it is that reason is hindered and obstructed; that *theoretical reason* is suppressed in favour of *genius*, and *practical reason* in favour of *virtue*. Now the better consciousness is neither theoretical nor practical; for these are distinctions that only apply to reason. But if the individual is in the act of choosing, the better consciousness appears to him in the aspect which it assumes in vanquishing and overcoming the practical reason (or instinct, to use the common word). It appears to him as an imperative command, an *ought*. It so appears to him, I say; in other words, that is the shape which it takes for the theoretical reason which renders all things into objects and ideas. But in so far as the better consciousness desires to vanquish and overcome the theoretical reason, it takes no shape at all; on the simple ground that, as it comes into play, the theoretical reason is suppressed and becomes the mere servant of the better consciousness. That is why genius can never give any account of its own works.

In the morality of action, the legal principle that both sides are to be heard must not be allowed to apply; in other words, the claims of self and the senses must not be urged. Nay, on the contrary, as soon as the pure will has found expression, the case is closed; *nec audienda altera pars*.

The lower animals are not endowed with moral freedom. Probably this is not because they show no trace of the better consciousness which in us is manifested as morality, or nothing analogous to it; for, if that were so, the lower animals, which are in so many respects like ourselves in outward appearance that we regard man as a species of animal, would possess some

*raison d'être* entirely different from our own, and actually be, in their essential and inmost nature, something quite other than ourselves. This is a contention which is obviously refuted by the thoroughly malignant and inherently vicious character of certain animals, such as the crocodile, the hyaena, the scorpion, the snake, and the gentle, affectionate and contented character of others, such as the dog. Here, as in the case of men, the character, as it is manifested, must rest upon something that is above and beyond time. For, as Jacob Böhme says, *there is a power in every animal which is indestructible, and the spirit of the world draws it into itself, against the final separation at the Last Judgment*. Therefore we cannot call the lower animals free, and the reason why we cannot do so is that they are wanting in a faculty which is profoundly subordinate to the better consciousness in its highest phase, I mean reason. Reason is the faculty of supreme comprehension, the idea of totality. How reason manifests itself in the theoretical sphere Kant has shown, and it does the same in the practical: it makes us capable of observing and surveying the whole of our life, thought, and action, in continual connection, and therefore of acting according to general maxims, whether those maxims originate in the understanding as prudential rules, or in the better consciousness as moral laws.

If any desire or passion is aroused in us, we, and in the same way the lower animals, are for the moment filled with this desire; we are all anger, all lust, all fear; and in such moments neither the better consciousness can speak, nor the understanding consider the consequences. But in our case reason allows us even at that moment to see our actions and our life as an unbroken chain, — a chain which connects our earlier resolutions, or, it may be, the future consequences of our action, with the moment of passion which now fills our whole consciousness. It shows us the identity of our person, even when that person is exposed to influences of the most varied kind, and thereby we are enabled to act according to maxims. The lower animal is wanting in this faculty; the passion which seizes it completely dominates it, and can be checked only by another passion — anger, for instance, or lust, by fear; even though the vision that terrifies does not appeal to the senses, but is present in the animal only as a dim memory and imagination. Men, therefore, may be called irrational, if, like the lower animals, they allow themselves to be determined by the moment.

So far, however, is reason from being the source of morality that it is reason alone which makes us capable of being rascals, which the lower animals cannot be. It is reason which enables us to form an evil resolution and to keep it when the provocation to evil is removed; it enables us, for example, to nurse vengeance. Although at the moment that we have an opportunity of fulfilling our resolution the better consciousness may manifest itself as love or charity, it is by force of reason, in pursuance of some evil maxim, that we act against it. Thus Goethe says that a man may use his reason only for the purpose of being more bestial than any beast:

*Er hat Vernunft, doch braucht er sie allein Um theiirischer als jedes Thier zu sein.*

For not only do we, like the beasts, satisfy the desires of the moment, but we refine upon them and stimulate them in order to prepare the desire for the satisfaction.

Whenever we think that we perceive a trace of reason in the lower animals, it fills us with surprise. Now our surprise is not excited by the good and affectionate disposition which some of them exhibit — we recognise that as something other than reason — but by some action in them which seems to be determined not by the impression of the moment, but by a resolution previously made and kept. Elephants, for instance, are reported to have taken premeditated revenge for insults long after they were suffered; lions, to have requited benefits on an opportunity tardily offered. The truth of such stories has, however, no bearing at all on the question, What do we mean by reason? But they enable us to decide whether in the lower animals there is any trace of anything that we can call reason.

Kant not only declares that all our moral sentiments originate in reason, but he lays down that reason, *in my sense of the word*, is a condition of moral action; as he holds that for an action to be virtuous and meritorious it must be done in accordance with maxims, and not spring from a resolve taken under some momentary impression. But in both contentions he is wrong. If I resolve to take vengeance on some one, and when an opportunity offers, the better consciousness in the form of love and humanity speaks its word, and I am influenced by it rather than by my evil resolution, this is a virtuous act, for it is a manifestation of the better consciousness. It is possible to conceive of a very virtuous man in whom the better consciousness is so continuously active that it is never silent, and never allows his passions to get a complete hold of him. By such



consciousness he is subject to a direct control, instead of being guided indirectly, through the medium of reason, by means of maxims and moral principles. That is why a man may have weak reasoning powers and a weak understanding and yet have a high sense of morality and be eminently good; for the most important element in a man depends as little on intellectual as it does on physical strength. Jesus says, *Blessed are the poor in spirit*. And Jacob Böhme has the excellent and noble observation: *Whoso lies quietly in his own will, like a child in the womb, and lets himself be led and guided by that inner principle from which he is sprung, is the noblest and richest on earth*.

## ETHICAL REFLECTIONS.

The philosophers of the ancient world united in a single conception a great many things that had no connection with one another. Of this every dialogue of Plato's furnishes abundant examples. The greatest and worst confusion of this kind is that between ethics and politics. The State and the Kingdom of God, or the Moral Law, are so entirely different in their character that the former is a parody of the latter, a bitter mockery at the absence of it. Compared with the Moral Law the State is a crutch instead of a limb, an automaton instead of a man.

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The *principle of honour* stands in close connection with human freedom. It is, as it were, an abuse of that freedom. Instead of using his freedom to fulfil the moral law, a man employs his power of voluntarily undergoing any feeling of pain, of overcoming any momentary impression, in order that he may assert his self-will, whatever be the object to which he directs it. As he thereby shows that, unlike the lower animals, he has thoughts which go beyond the welfare of his body and whatever makes for that welfare, it has come about that the principle of honour is often confused with virtue. They are regarded as if they were twins. But wrongly; for although the principle of honour is something which distinguishes man from the lower animals, it is not, in itself, anything that raises him above them. Taken as an end and aim, it is as dark a delusion as any other aim that springs from self. Used as a means, or casually, it may be productive of good; but even that is good which is vain and frivolous. It is the misuse of freedom, the employment of it as a weapon for overcoming the world of feeling, that makes man so infinitely more terrible than the lower animals; for they do only what momentary instinct bids them; while man acts by ideas, and his ideas may entail universal ruin before they are satisfied.

There is another circumstance which helps to promote the notion that honour and virtue are connected. A man who can do what he wants to do shows that he can also do it if what he wants to do is a virtuous act. But that those of our actions which we are ourselves obliged to regard with contempt are also regarded with contempt by other people serves more than anything

that I have here mentioned to establish the connection. Thus it often happens that a man who is not afraid of the one kind of contempt is unwilling to undergo the other. But when we are called upon to choose between our own approval and the world's censure, as may occur in complicated and mistaken circumstances, what becomes of the principle of honour then?

Two characteristic examples of the principle of honour are to be found in Shakespeare's *Henry VI.*, Part II., Act IV., Sc. 1. A pirate is anxious to murder his captive instead of accepting, like others, a ransom for him; because in taking his captive he lost an eye, and his own honour and that of his forefathers would in his opinion be stained, if he were to allow his revenge to be bought off as though he were a mere trader. The prisoner, on the other hand, who is the Duke of Suffolk, prefers to have his head grace a pole than to uncover it to such a low fellow as a pirate, by approaching him to ask for mercy.

Just as civic honour — in other words, the opinion that we deserve to be trusted — is the palladium of those whose endeavour it is to make their way in the world on the path of honourable business, so knightly honour — in other words, the opinion that we are men to be feared — is the palladium of those who aim at going through life on the path of violence; and so it was that knightly honour arose among the robber-knights and other knights of the Middle Ages.

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A theoretical philosopher is one who can supply in the shape of ideas for the reason, a copy of the presentations of experience; just as what the painter sees he can reproduce on canvas; the sculptor, in marble; the poet, in pictures for the imagination, though they are pictures which he supplies only in sowing the ideas from which they sprang.

A so-called practical philosopher, on the other hand, is one who, contrarily, deduces his action from ideas. The theoretical philosopher transforms life into ideas. The practical philosopher transforms ideas into life; he acts, therefore, in a thoroughly reasonable manner; he is consistent, regular, deliberate; he is never hasty or passionate; he never allows himself to be influenced by the impression of the moment.

And indeed, when we find ourselves among those full presentations of experience, or real objects, to which the body belongs — since the body is only an objectified will, the shape which the will assumes in the material world — it is difficult to let our bodies be guided, not by those presentations, but by a mere image of them, by cold, colourless ideas, which are related to experience as the shadow of Orcus to life; and yet this is the only way in which we can avoid doing things of which we may have to repent.

The theoretical philosopher enriches the domain of reason by adding to it; the practical philosopher draws upon it, and makes it serve him.

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According to Kant the truth of experience is only a hypothetical truth. If the suppositions which underlie all the intimations of experience — subject, object, time, space and causality — were removed, none of those intimations would contain a word of truth. In other words, experience is only a phenomenon; it is not knowledge of the thing-in-itself.

If we find something in our own conduct at which we are secretly pleased, although we cannot reconcile it with experience, seeing that if we were to follow the guidance of experience we should have to do precisely the opposite, we must not allow this to put us out; otherwise we should be ascribing an authority to experience which it does not deserve, for all that it teaches rests upon a mere supposition. This is the general tendency of the Kantian Ethics.

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Innocence is in its very nature stupid. It is stupid because the aim of life (I use the expression only figuratively, and I could just as well speak of the essence of life, or of the world) is to gain a knowledge of our own bad will, so that our will may become an object for us, and that we may undergo an inward conversion. Our body is itself our will objectified; it is one of the first and foremost of objects, and the deeds that we accomplish for the sake of the body show us the evil inherent in our will. In the state of innocence, where there is no evil because there is no experience, man is, as it were, only an apparatus for living, and the object for which the apparatus exists is not yet disclosed. An empty form of life like this, a stage untenanted, is in

itself, like the so-called real world, null and void; and as it can attain a meaning only by action, by error, by knowledge, by the convulsions of the will, it wears a character of insipid stupidity. A golden age of innocence, a fools' paradise, is a notion that is stupid and unmeaning, and for that very reason in no way worthy of any respect. The first criminal and murderer, Cain, who acquired a knowledge of guilt, and through guilt acquired a knowledge of virtue by repentance, and so came to understand the meaning of life, is a tragical figure more significant, and almost more respectable, than all the innocent fools in the world put together.

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If I had to write about *modesty* I should say: I know the esteemed public for which I have the honour to write far too well to dare to give utterance to my opinion about this virtue. Personally I am quite content to be modest and to apply myself to this virtue with the utmost possible circumspection. But one thing I shall never admit — that I have ever required modesty of any man, and any statement to that effect I repel as a slander.

The paltry character of most men compels the few who have any merit or genius to behave as though they did not know their own value, and consequently did not know other people's want of value; for it is only on this condition that the mob acquiesces in tolerating merit. A virtue has been made out of this necessity, and it is called modesty. It is a piece of hypocrisy, to be excused only because other people are so paltry that they must be treated with indulgence.

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Human misery may affect us in two ways, and we may be in one of two opposite moods in regard to it.

In one of them, this misery is immediately present to us. We feel it in our own person, in our own will which, imbued with violent desires, is everywhere broken, and this is the process which constitutes suffering. The result is that the will increases in violence, as is shown in all cases of passion and emotion; and this increasing violence comes to a stop only when the will turns and gives way to complete resignation, in other words, is redeemed. The man who is entirely dominated by this mood will regard

any prosperity which he may see in others with envy, and any suffering with no sympathy.

In the opposite mood human misery is present to us only as a fact of knowledge, that is to say, indirectly. We are mainly engaged in looking at the sufferings of others, and our attention is withdrawn from our own. It is in their person that we become aware of human misery; we are filled with sympathy; and the result of this mood is general benevolence, philanthropy. All envy vanishes, and instead of feeling it, we are rejoiced when we see one of our tormented fellow-creatures experience any pleasure or relief.

After the same fashion we may be in one of two opposite moods in regard to human baseness and depravity. In the one we perceive this baseness indirectly, in others. Out of this mood arise indignation, hatred, and contempt of mankind. In the other we perceive it directly, in ourselves. Out of it there arises humiliation, nay, contrition.

In order to judge the moral value of a man, it is very important to observe which of these four moods predominate in him. They go in pairs, one out of each division. In very excellent characters the second mood of each division will predominate.

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The categorical imperative, or absolute command, is a contradiction. Every command is conditional. What is unconditional and necessary is a *must*, such as is presented by the laws of nature.

It is quite true that the moral law is entirely conditional. There is a world and a view of life in which it has neither validity nor significance. That world is, properly speaking, the real world in which, as individuals, we live; for every regard paid to morality is a denial of that world and of our individual life in it. It is a view of the world, however, which does not go beyond the principle of sufficient reason; and the opposite view proceeds by the intuition of Ideas.

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If a man is under the influence of two opposite but very strong motives, A and B, and I am greatly concerned that he should choose A, but still more that he should never be untrue to his choice, and by changing his mind betray me, or the like, it will not do for me to say anything that might hinder

the motive B from having its full effect upon him, and only emphasise A; for then I should never be able to reckon on his decision. What I have to do is, rather, to put both motives before him at the same time, in as vivid and clear a way as possible, so that they may work upon him with their whole force. The choice that he then makes is the decision of his inmost nature, and stands firm to all eternity. In saying *I will do this*, he has said *I must do this*. I have got at his will, and I can rely upon its working as steadily as one of the forces of nature. It is as certain as fire kindles and water wets that he will act according to the motive which has proved to be stronger for him. Insight and knowledge may be attained and lost again; they may be changed, or improved, or destroyed; but will cannot be changed. That is why *I apprehend*, *I perceive*, *I see*, is subject to alteration and uncertainty; *I will*, pronounced on a right apprehension of motive, is as firm as nature itself. The difficulty, however, lies in getting at a right apprehension. A man's apprehension of motive may change, or be corrected or perverted; and on the other hand, his circumstances may undergo an alteration.

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A man should exercise an almost boundless toleration and placability, because if he is capricious enough to refuse to forgive a single individual for the meanness or evil that lies at his door, it is doing the rest of the world a quite unmerited honour.

But at the same time the man who is every one's friend is no one's friend. It is quite obvious what sort of friendship it is which we hold out to the human race, and to which it is open to almost every man to return, no matter what he may have done.

\* \* \* \* \*

With the ancients *friendship* was one of the chief elements in morality. But friendship is only limitation and partiality; it is the restriction to one individual of what is the due of all mankind, namely, the recognition that a man's own nature and that of mankind are identical. At most it is a compromise between this recognition and selfishness.

\* \* \* \* \*

A lie always has its origin in the desire to extend the dominion of one's own will over other individuals, and to deny their will in order the better to affirm one's own. Consequently a lie is in its very nature the product of injustice, malevolence and villainy. That is why truth, sincerity, candour and rectitude are at once recognised and valued as praiseworthy and noble qualities; because we presume that the man who exhibits them entertains no sentiments of injustice or malice, and therefore stands in no need of concealing such sentiments. He who is open cherishes nothing that is bad.

\* \* \* \* \*

There is a certain kind of courage which springs from the same source as good-nature. What I mean is that the good-natured man is almost as clearly conscious that he exists in other individuals as in himself. I have often shown how this feeling gives rise to good-nature. It also gives rise to courage, for the simple reason that the man who possesses this feeling cares less for his own individual existence, as he lives almost as much in the general existence of all creatures. Accordingly he is little concerned for his own life and its belongings. This is by no means the sole source of courage for it is a phenomenon due to various causes. But it is the noblest kind of courage, as is shown by the fact that in its origin it is associated with great gentleness and patience. Men of this kind are usually irresistible to women.

\* \* \* \* \*

All general rules and precepts fail, because they proceed from the false assumption that men are constituted wholly, or almost wholly, alike; an assumption which the philosophy of Helvetius expressly makes. Whereas the truth is that the original difference between individuals in intellect and morality is immeasurable.

\* \* \* \* \*

The question as to whether morality is something real is the question whether a well-grounded counter-principle to egoism actually exists.

As egoism restricts concern for welfare to a single individual, *viz.*, the man's own self, the counter-principle would have to extend it to all other individuals.



\* \* \* \* \*

It is only because the will is above and beyond time that the stings of conscience are ineradicable, and do not, like other pains, gradually wear away. No! an evil deed weighs on the conscience years afterwards as heavily as if it had been freshly committed.

\* \* \* \* \*

Character is innate, and conduct is merely its manifestation; the occasion for great misdeeds comes seldom; strong counter-motives keep us back; our disposition is revealed to ourselves by our desires, thoughts, emotions, when it remains unknown to others. Reflecting on all this, we might suppose it possible for a man to possess, in some sort, an innate evil conscience, without ever having done anything very bad.

\* \* \* \* \*

*Don't do to others what you wouldn't like done to yourself.* This is, perhaps, one of those arguments that prove, or rather ask, too much. For a prisoner might address it to a judge.

\* \* \* \* \*

Stupid people are generally malicious, for the very same reason as the ugly and the deformed.

Similarly, genius and sanctity are akin. However simple-minded a saint may be, he will nevertheless have a dash of genius in him; and however many errors of temperament, or of actual character, a genius may possess, he will still exhibit a certain nobility of disposition by which he shows his kinship with the saint.

\* \* \* \* \*

The great difference between Law without and Law within, between the State and the Kingdom of God, is very clear. It is the State's business to see that *every one should have justice done to him*; it regards men as passive beings, and therefore takes no account of anything but their actions. The

Moral Law, on the other hand, is concerned that *every one should do justice*; it regards men as active, and looks to the will rather than the deed. To prove that this is the true distinction let the reader consider what would happen if he were to say, conversely, that it is the State's business that every one should do justice, and the business of the Moral Law that every one should have justice done to him. The absurdity is obvious.

As an example of the distinction, let me take the case of a debtor and a creditor disputing about a debt which the former denies. A lawyer and a moralist are present, and show a lively interest in the matter. Both desire that the dispute should end in the same way, although what they want is by no means the same. The lawyer says, *I want this man to get back what belongs to him*; and the moralist, *I want that man to do his duty*.

It is with the will alone that morality is concerned. Whether external force hinders or fails to hinder the will from working does not in the least matter. For morality the external world is real only in so far as it is able or unable to lead and influence the will. As soon as the will is determined, that is, as soon as a resolve is taken, the external world and its events are of no further moment and practical do not exist. For if the events of the world had any such reality — that is to say, if they possessed a significance in themselves, or any other than that derived from the will which is affected by them — what a grievance it would be that all these events lie in the realm of chance and error! It is, however, just this which proves that the important thing is not what happens, but what is willed. Accordingly, let the incidents of life be left to the play of chance and error, to demonstrate to man that he is as chaff before the wind.

The State concerns itself only with the incidents — with what happens; nothing else has any reality for it. I may dwell upon thoughts of murder and poison as much as I please: the State does not forbid me, so long as the axe and rope control my will, and prevent it from becoming action.

Ethics asks: What are the duties towards others which justice imposes upon us? in other words, What must I render? The Law of Nature asks: What need I not submit to from others? that is, What must I suffer? The question is put, not that I may do no injustice, but that I may not do more than every man must do if he is to safeguard his existence, and than every man will approve being done, in order that he may be treated in the same way himself; and, further, that I may not do more than society will permit me to do. The same answer will serve for both questions, just as the same

straight line can be drawn from either of two opposite directions, namely, by opposing forces; or, again, as the angle can give the sine, or the sine the angle.

It has been said that the historian is an inverted prophet. In the same way it may be said that a teacher of law is an inverted moralist (*viz.*, a teacher of the duties of justice), or that politics are inverted ethics, if we exclude the thought that ethics also teaches the duty of benevolence, magnanimity, love, and so on. The State is the Gordian knot that is cut instead of being untied; it is Columbus' egg which is made to stand by being broken instead of balanced, as though the business in question were to make it stand rather than to balance it. In this respect the State is like the man who thinks that he can produce fine weather by making the barometer go up.

\* \* \* \* \*

The pseudo-philosophers of our age tell us that it is the object of the State to promote the moral aims of mankind. This is not true; it is rather the contrary which is true. The aim for which mankind exists — the expression is parabolic — is not that a man should act in such and such a manner; for all *opera operata*, things that have actually been done, are in themselves matters of indifference. No! the aim is that the Will, of which every man is a complete specimen — nay, is the very Will itself — should turn whither it needs to turn; that the man himself (the union of Thought and Will) should perceive what this will is, and what horrors it contains; that he should show the reflection of himself in his own deeds, in the abomination of them. The State, which is wholly concerned with the general welfare, checks the manifestation of the bad will, but in no wise checks the will itself; the attempt would be impossible. It is because the State checks the manifestation of his will that a man very seldom sees the whole abomination of his nature in the mirror of his deeds. Or does the reader actually suppose there are no people in the world as bad as Robespierre, Napoleon, or other murderers? Does he fail to see that there are many who would act like them if only they could?

Many a criminal dies more quietly on the scaffold than many a non-criminal in the arms of his family. The one has perceived what his will is and has discarded it. The other has not been able to discard it, because he has never been able to perceive what it is. The aim of the State is to produce

a fool's paradise, and this is in direct conflict with the true aim of life, namely, to attain a knowledge of what the will, in its horrible nature, really is.

\* \* \* \* \*

Napoleon was not really worse than many, not to say most, men. He was possessed of the very ordinary egoism that seeks its welfare at the expense of others. What distinguished him was merely the greater power he had of satisfying his will, and greater intelligence, reason and courage; added to which, chance gave him a favourable scope for his operations. By means of all this he did for his egoism what a thousand other men would like to do for theirs, but cannot. Every feeble lad who by little acts of villainy gains a small advantage for himself by putting others to some disadvantage, although it may be equally small, is just as bad as Napoleon.

Those who fancy that retribution comes after death would demand that Napoleon should by unutterable torments pay the penalty for all the numberless calamities that he caused. But he is no more culpable than all those who possess the same will, unaccompanied by the same power.

The circumstance that in his case this extraordinary power was added allowed him to reveal the whole wickedness of the human will; and the sufferings of his age, as the necessary obverse of the medal, reveal the misery which is inextricably bound up with this bad will. It is the general manipulation of this will that constitutes the world. But it is precisely that it should be understood how inextricably the will to live is bound up with, and is really one and the same as, this unspeakable misery, that is the world's aim and purpose; and it is an aim and purpose which the appearance of Napoleon did much to assist. Not to be an unmeaning fools' paradise but a tragedy, in which the will to live understands itself and yields — that is the object for which the world exists. Napoleon is only an enormous mirror of the will to live.

The difference between the man who causes suffering and the man who suffers it, is only phenomenal. It is all a will to live, identical with great suffering; and it is only by understanding this that the will can mend and end.

\* \* \* \* \*

What chiefly distinguishes ancient from modern times is that in ancient times, to use Napoleon's expression, it was affairs that reigned: *les paroles aux choses*. In modern times this is not so. What I mean is that in ancient times the character of public life, of the State, and of Religion, as well as of private life, was a strenuous affirmation of the will to live. In modern times it is a denial of this will, for such is the character of Christianity. But now while on the one hand that denial has suffered some abatement even in public opinion, because it is too repugnant to human character, on the other what is publicly denied is secretly affirmed. Hence it is that we see half measures and falsehood everywhere; and that is why modern times look so small beside antiquity.

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The structure of human society is like a pendulum swinging between two impulses, two evils in polar opposition, *despotism* and *anarchy*. The further it gets from the one, the nearer it approaches the other. From this the reader might hit on the thought that if it were exactly midway between the two, it would be right. Far from it. For these two evils are by no means equally bad and dangerous. The former is incomparably less to be feared; its ills exist in the main only as possibilities, and if they come at all it is only one among millions that they touch. But, with anarchy, possibility and actuality are inseparable; its blows fall on every man every day. Therefore every constitution should be a nearer approach to a despotism than to anarchy; nay, it must contain a small possibility of despotism.

# THE ART OF CONTROVERSY



*Translated by T. Bailey Saunders*

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## TRANSLATOR'S PREFACE.

The volume now before the reader is a tardy addition to a series in which I have endeavoured to present Schopenhauer's minor writings in an adequate form.

Its contents are drawn entirely from his posthumous papers. A selection of them was given to the world some three or four years after his death by his friend and literary executor, Julius Frauenstädt, who for this and other offices of piety, has received less recognition than he deserves. The papers then published have recently been issued afresh, with considerable additions and corrections, by Dr. Eduard Grisebach, who is also entitled to gratitude for the care with which he has followed the text of the manuscripts, now in the Royal Library at Berlin, and for having drawn attention — although in terms that are unnecessarily severe — to a number of faults and failings on the part of the previous editor.

The fact that all Schopenhauer's works, together with a volume of his correspondence, may now be obtained in a certain cheap collection of the best national and foreign literature displayed in almost every bookshop in Germany, is sufficient evidence that in his own country the writer's popularity is still very great; nor does the demand for translations indicate that his fame has at all diminished abroad. The favour with which the new edition of his posthumous papers has been received induces me, therefore, to resume a task which I thought, five years ago, that I had finally completed; and it is my intention to bring out one more volume, selected partly from these papers and partly from his *Parerga*.

A small part of the essay on *The Art of Controversy* was published in Schopenhauer's lifetime, in the chapter of the *Parerga* headed *Zur Logik und Dialektik*. The intelligent reader will discover that a good deal of its contents is of an ironical character. As regards the last three essays I must observe that I have omitted such passages as appear to be no longer of any general interest or otherwise unsuitable. I must also confess to having taken one or two liberties with the titles, in order that they may the more effectively fulfil the purpose for which titles exist. In other respects I have adhered to the original with the kind of fidelity which aims at producing an impression as nearly as possible similar to that produced by the original.

T.B.S.

February, 1896



**THE ART OF CONTROVERSY.**

## PRELIMINARY: LOGIC AND DIALECTIC.

By the ancients, Logic and Dialectic were used as synonymous terms; although [Greek: *logizesthai*], “to think over, to consider, to calculate,” and [Greek: *dialogesthai*], “to converse,” are two very different things.

The name Dialectic was, as we are informed by Diogenes Laertius, first used by Plato; and in the *Phaedrus*, *Sophist*, *Republic*, bk. vii., and elsewhere, we find that by Dialectic he means the regular employment of the reason, and skill in the practice of it. Aristotle also uses the word in this sense; but, according to Laurentius Valla, he was the first to use Logic too in a similar way. Dialectic, therefore, seems to be an older word than Logic. Cicero and Quintilian use the words in the same general signification.

This use of the words and synonymous terms lasted through the Middle Ages into modern times; in fact, until the present day. But more recently, and in particular by Kant, Dialectic has often been employed in a bad sense, as meaning “the art of sophistical controversy”; and hence Logic has been preferred, as of the two the more innocent designation. Nevertheless, both originally meant the same thing; and in the last few years they have again been recognised as synonymous.

It is a pity that the words have thus been used from of old, and that I am not quite at liberty to distinguish their meanings. Otherwise, I should have preferred to define *Logic* (from [Greek: *logos*], “word” and “reason,” which are inseparable) as “the science of the laws of thought, that is, of the method of reason”; and *Dialectic* (from [Greek: *dialogesthai*], “to converse” — and every conversation communicates either facts or opinions, that is to say, it is historical or deliberative) as “the art of disputation,” in the modern sense of the word. It is clear, then, that Logic deals with a subject of a purely *à priori* character, separable in definition from experience, namely, the laws of thought, the process of reason or the [Greek: *logos*], the laws, that is, which reason follows when it is left to itself and not hindered, as in the case of solitary thought on the part of a rational being who is in no way misled. Dialectic, on the other hand, would treat of the intercourse between two rational beings who, because they are rational, ought to think in common, but who, as soon as they cease to agree like two clocks keeping exactly the same time, create a disputation, or intellectual contest. Regarded as purely rational beings, the individuals would, I say, necessarily be in agreement,

and their variation springs from the difference essential to individuality; in other words, it is drawn from experience.

Logic, therefore, as the science of thought, or the science of the process of pure reason, should be capable of being constructed *à priori*. Dialectic, for the most part, can be constructed only *à posteriori*; that is to say, we may learn its rules by an experiential knowledge of the disturbance which pure thought suffers through the difference of individuality manifested in the intercourse between two rational beings, and also by acquaintance with the means which disputants adopt in order to make good against one another their own individual thought, and to show that it is pure and objective. For human nature is such that if A. and B. are engaged in thinking in common, and are communicating their opinions to one another on any subject, so long as it is not a mere fact of history, and A. perceives that B.'s thoughts on one and the same subject are not the same as his own, he does not begin by revising his own process of thinking, so as to discover any mistake which he may have made, but he assumes that the mistake has occurred in B.'s. In other words, man is naturally obstinate; and this quality in him is attended with certain results, treated of in the branch of knowledge which I should like to call Dialectic, but which, in order to avoid misunderstanding, I shall call Controversial or Eristical Dialectic. Accordingly, it is the branch of knowledge which treats of the obstinacy natural to man. Eristic is only a harsher name for the same thing.

Controversial Dialectic is the art of disputing, and of disputing in such a way as to hold one's own, whether one is in the right or the wrong — *per fas et nefas*. A man may be objectively in the right, and nevertheless in the eyes of bystanders, and sometimes in his own, he may come off worst. For example, I may advance a proof of some assertion, and my adversary may refute the proof, and thus appear to have refuted the assertion, for which there may, nevertheless, be other proofs. In this case, of course, my adversary and I change places: he comes off best, although, as a matter of fact, he is in the wrong.

If the reader asks how this is, I reply that it is simply the natural baseness of human nature. If human nature were not base, but thoroughly honourable, we should in every debate have no other aim than the discovery of truth; we should not in the least care whether the truth proved to be in favour of the opinion which we had begun by expressing, or of the opinion of our adversary. That we should regard as a matter of no moment, or, at

any rate, of very secondary consequence; but, as things are, it is the main concern. Our innate vanity, which is particularly sensitive in reference to our intellectual powers, will not suffer us to allow that our first position was wrong and our adversary's right. The way out of this difficulty would be simply to take the trouble always to form a correct judgment. For this a man would have to think before he spoke. But, with most men, innate vanity is accompanied by loquacity and innate dishonesty. They speak before they think; and even though they may afterwards perceive that they are wrong, and that what they assert is false, they want it to seem the contrary. The interest in truth, which may be presumed to have been their only motive when they stated the proposition alleged to be true, now gives way to the interests of vanity: and so, for the sake of vanity, what is true must seem false, and what is false must seem true.

However, this very dishonesty, this persistence in a proposition which seems false even to ourselves, has something to be said for it. It often happens that we begin with the firm conviction of the truth of our statement; but our opponent's argument appears to refute it. Should we abandon our position at once, we may discover later on that we were right after all; the proof we offered was false, but nevertheless there was a proof for our statement which was true. The argument which would have been our salvation did not occur to us at the moment. Hence we make it a rule to attack a counter-argument, even though to all appearances it is true and forcible, in the belief that its truth is only superficial, and that in the course of the dispute another argument will occur to us by which we may upset it, or succeed in confirming the truth of our statement. In this way we are almost compelled to become dishonest; or, at any rate, the temptation to do so is very great. Thus it is that the weakness of our intellect and the perversity of our will lend each other mutual support; and that, generally, a disputant fights not for truth, but for his proposition, as though it were a battle *pro aris et focis*. He sets to work *per fas et nefas*; nay, as we have seen, he cannot easily do otherwise. As a rule, then, every man will insist on maintaining whatever he has said, even though for the moment he may consider it false or doubtful.

To some extent every man is armed against such a procedure by his own cunning and villainy. He learns by daily experience, and thus comes to have his own *natural Dialectic*, just as he has his own *natural Logic*. But his Dialectic is by no means as safe a guide as his Logic. It is not so easy for

any one to think or draw an inference contrary to the laws of Logic; false judgments are frequent, false conclusions very rare. A man cannot easily be deficient in natural Logic, but he may very easily be deficient in natural Dialectic, which is a gift apportioned in unequal measure. In so far natural Dialectic resembles the faculty of judgment, which differs in degree with every man; while reason, strictly speaking, is the same. For it often happens that in a matter in which a man is really in the right, he is confounded or refuted by merely superficial arguments; and if he emerges victorious from a contest, he owes it very often not so much to the correctness of his judgment in stating his proposition, as to the cunning and address with which he defended it.

Here, as in all other cases, the best gifts are born with a man; nevertheless, much may be done to make him a master of this art by practice, and also by a consideration of the tactics which may be used to defeat an opponent, or which he uses himself for a similar purpose. Therefore, even though Logic may be of no very real, practical use, Dialectic may certainly be so; and Aristotle, too, seems to me to have drawn up his Logic proper, or Analytic, as a foundation and preparation for his Dialectic, and to have made this his chief business. Logic is concerned with the mere form of propositions; Dialectic, with their contents or matter — in a word, with their substance. It was proper, therefore, to consider the general form of all propositions before proceeding to particulars.

Aristotle does not define the object of Dialectic as exactly as I have done it here; for while he allows that its principal object is disputation, he declares at the same time that it is also the discovery of truth. Again, he says, later on, that if, from the philosophical point of view, propositions are dealt with according to their truth, Dialectic regards them according to their plausibility, or the measure in which they will win the approval and assent of others. He is aware that the objective truth of a proposition must be distinguished and separated from the way in which it is pressed home, and approbation won for it; but he fails to draw a sufficiently sharp distinction between these two aspects of the matter, so as to reserve Dialectic for the latter alone. The rules which he often gives for Dialectic contain some of those which properly belong to Logic; and hence it appears to me that he has not provided a clear solution of the problem.

We must always keep the subject of one branch of knowledge quite distinct from that of any other. To form a clear idea of the province of Dialectic, we must pay no attention to objective truth, which is an affair of Logic; we must regard it simply as *the art of getting the best of it in a dispute*, which, as we have seen, is all the easier if we are actually in the right. In itself Dialectic has nothing to do but to show how a man may defend himself against attacks of every kind, and especially against dishonest attacks; and, in the same fashion, how he may attack another man's statement without contradicting himself, or generally without being defeated. The discovery of objective truth must be separated from the art of winning acceptance for propositions; for objective truth is an entirely different matter: it is the business of sound judgment, reflection and experience, for which there is no special art.

Such, then, is the aim of Dialectic. It has been defined as the Logic of appearance; but the definition is a wrong one, as in that case it could only be used to repel false propositions. But even when a man has the right on his side, he needs Dialectic in order to defend and maintain it; he must know what the dishonest tricks are, in order to meet them; nay, he must often make use of them himself, so as to beat the enemy with his own weapons.

Accordingly, in a dialectical contest we must put objective truth aside, or, rather, we must regard it as an accidental circumstance, and look only to the defence of our own position and the refutation of our opponent's.

In following out the rules to this end, no respect should be paid to objective truth, because we usually do not know where the truth lies. As I have said, a man often does not himself know whether he is in the right or not; he often believes it, and is mistaken: both sides often believe it. Truth is in the depths. At the beginning of a contest each man believes, as a rule, that right is on his side; in the course of it, both become doubtful, and the truth is not determined or confirmed until the close.

Dialectic, then, need have nothing to do with truth, as little as the fencing master considers who is in the right when a dispute leads to a duel. Thrust and parry is the whole business. Dialectic is the art of intellectual fencing; and it is only when we so regard it that we can erect it into a branch of knowledge. For if we take purely objective truth as our aim, we are reduced to mere Logic; if we take the maintenance of false propositions, it is mere Sophistic; and in either case it would have to be assumed that we were

aware of what was true and what was false; and it is seldom that we have any clear idea of the truth beforehand. The true conception of Dialectic is, then, that which we have formed: it is the art of intellectual fencing used for the purpose of getting the best of it in a dispute; and, although the name *Eristic* would be more suitable, it is more correct to call it controversial Dialectic, *Dialectica eristica*.

Dialectic in this sense of the word has no other aim but to reduce to a regular system and collect and exhibit the arts which most men employ when they observe, in a dispute, that truth is not on their side, and still attempt to gain the day. Hence, it would be very inexpedient to pay any regard to objective truth or its advancement in a science of Dialectic; since this is not done in that original and natural Dialectic innate in men, where they strive for nothing but victory. The science of Dialectic, in one sense of the word, is mainly concerned to tabulate and analyse dishonest stratagems, in order that in a real debate they may be at once recognised and defeated. It is for this very reason that Dialectic must admittedly take victory, and not objective truth, for its aim and purpose.

I am not aware that anything has been done in this direction, although I have made inquiries far and wide. It is, therefore, an uncultivated soil. To accomplish our purpose, we must draw from our experience; we must observe how in the debates which often arise in our intercourse with our fellow-men this or that stratagem is employed by one side or the other. By finding out the common elements in tricks repeated in different forms, we shall be enabled to exhibit certain general stratagems which may be advantageous, as well for our own use, as for frustrating others if they use them.

What follows is to be regarded as a first attempt.

## THE BASIS OF ALL DIALECTIC.

First of all, we must consider the essential nature of every dispute: what it is that really takes place in it.

Our opponent has stated a thesis, or we ourselves, — it is all one. There are two modes of refuting it, and two courses that we may pursue.

I. The modes are (1) *ad rem*, (2) *ad hominem* or *ex concessis*. That is to say: We may show either that the proposition is not in accordance with the nature of things, i.e., with absolute, objective truth; or that it is inconsistent with other statements or admissions of our opponent, i.e., with truth as it appears to him. The latter mode of arguing a question produces only a relative conviction, and makes no difference whatever to the objective truth of the matter.

II. The two courses that we may pursue are (1) the direct, and (2) the indirect refutation. The direct attacks the reason for the thesis; the indirect, its results. The direct refutation shows that the thesis is not true; the indirect, that it cannot be true.

The direct course admits of a twofold procedure. Either we may show that the reasons for the statement are false (*negō majorem, minorem*); or we may admit the reasons or premisses, but show that the statement does not follow from them (*negō consequentiam*); that is, we attack the conclusion or form of the syllogism.

The direct refutation makes use either of the *diversion* or of the *instance*.

(a) The *diversion*. — We accept our opponent's proposition as true, and then show what follows from it when we bring it into connection with some other proposition acknowledged to be true. We use the two propositions as the premisses of a syllogism giving a conclusion which is manifestly false, as contradicting either the nature of things, or other statements of our opponent himself; that is to say, the conclusion is false either *ad rem* or *ad hominem*. Consequently, our opponent's proposition must have been false; for, while true premisses can give only a true conclusion, false premisses need not always give a false one.

(b) The *instance*, or the example to the contrary. — This consists in refuting the general proposition by direct reference to particular cases which



are included in it in the way in which it is stated, but to which it does not apply, and by which it is therefore shown to be necessarily false.

Such is the framework or skeleton of all forms of disputation; for to this every kind of controversy may be ultimately reduced. The whole of a controversy may, however, actually proceed in the manner described, or only appear to do so; and it may be supported by genuine or spurious arguments. It is just because it is not easy to make out the truth in regard to this matter, that debates are so long and so obstinate.

Nor can we, in ordering the argument, separate actual from apparent truth, since even the disputants are not certain about it beforehand. Therefore I shall describe the various tricks or stratagems without regard to questions of objective truth or falsity; for that is a matter on which we have no assurance, and which cannot be determined previously. Moreover, in every disputation or argument on any subject we must agree about something; and by this, as a principle, we must be willing to judge the matter in question. We cannot argue with those who deny principles: *Contra negantem principia non est disputandum*.

## STRATAGEMS.

### I.

The *Extension*. — This consists in carrying your opponent's proposition beyond its natural limits; in giving it as general a signification and as wide a sense as possible, so as to exaggerate it; and, on the other hand, in giving your own proposition as restricted a sense and as narrow limits as you can, because the more general a statement becomes, the more numerous are the objections to which it is open. The defence consists in an accurate statement of the point or essential question at issue.

Example 1. — I asserted that the English were supreme in drama. My opponent attempted *to* give an instance to the contrary, and replied that it was a well-known fact that in music, and consequently in opera, they could do nothing at all. I repelled the attack by reminding him that music was not included in dramatic art, which covered tragedy and comedy alone. This he knew very well. What he had done was to try to generalise my proposition, so that it would apply to all theatrical representations, and, consequently, to opera and then to music, in order to make certain of defeating me. Contrarily, we may save our proposition by reducing it within narrower limits than we had first intended, if our way of expressing it favours this expedient.

Example 2. — A. declares that the Peace of 1814 gave back their independence to all the German towns of the Hanseatic League. B. gives an instance to the contrary by reciting the fact that Dantzic, which received its independence from Buonaparte, lost it by that Peace. A. saves himself thus: "I said 'all German towns,' and Dantzic was in Poland."

This trick was mentioned by Aristotle in the *Topica* (bk. viii., cc. 11, 12).

Example 3. — Lamarck, in his *Philosophic Zoologique* (vol. i., ), states that the polype has no feeling, because it has no nerves. It is certain, however, that it has some sort of perception; for it advances towards light by moving in an ingenious fashion from branch to branch, and it seizes its prey. Hence it has been assumed that its nervous system is spread over the whole of its body in equal measure, as though it were blended with it; for it is obvious that the polype possesses some faculty of perception without having any separate organs of sense. Since this assumption refutes

Lamarck's position, he argues thus: "In that case all parts of its body must be capable of every kind of feeling, and also of motion, of will, of thought. The polype would have all the organs of the most perfect animal in every point of its body; every point could see, smell, taste, hear, and so on; nay, it could think, judge, and draw conclusions; every particle of its body would be a perfect animal and it would stand higher than man, as every part of it would possess all the faculties which man possesses only in the whole of him. Further, there would be no reason for not extending what is true of the polype to all monads, the most imperfect of all creatures, and ultimately to the plants, which are also alive, etc., etc." By using dialectical tricks of this kind a writer betrays that he is secretly conscious of being in the wrong. Because it was said that the creature's whole body is sensitive to light, and is therefore possessed of nerves, he makes out that its whole body is capable of thought.

## II.

The *Homonymy*. — This trick is to extend a proposition to something which has little or nothing in common with the matter in question but the similarity of the word; then to refute it triumphantly, and so claim credit for having refuted the original statement.

It may be noted here that synonyms are two words for the same conception; homonyms, two conceptions which are covered by the same word. (See Aristotle, *Topica*, bk. i., c. 13.) "Deep," "cutting," "high," used at one moment of bodies at another of tones, are homonyms; "honourable" and "honest" are synonyms.

This is a trick which may be regarded as identical with the sophism *ex homonymia*; although, if the sophism is obvious, it will deceive no one.

*Every light can be extinguished.*

*The intellect is a light.*

*Therefore it can be extinguished.*

Here it is at once clear that there are four terms in the syllogism, "light" being used both in a real and in a metaphorical sense. But if the sophism takes a subtle form, it is, of course, apt to mislead, especially where the conceptions which are covered by the same word are related, and inclined

to be interchangeable. It is never subtle enough to deceive, if it is used intentionally; and therefore cases of it must be collected from actual and individual experience.

It would be a very good thing if every trick could receive some short and obviously appropriate name, so that when a man used this or that particular trick, he could be at once reproached for it.

I will give two examples of the homonymy.

Example 1. — A.: “You are not yet initiated into the mysteries of the Kantian philosophy.”

B.: “Oh, if it’s mysteries you’re talking of, I’ll have nothing to do with them.”

Example 2. — I condemned the principle involved in the word *honour* as a foolish one; for, according to it, a man loses his honour by receiving an insult, which he cannot wipe out unless he replies with a still greater insult, or by shedding his adversary’s blood or his own. I contended that a man’s true honour cannot be outraged by what he suffers, but only and alone by what he does; for there is no saying what may befall any one of us. My opponent immediately attacked the reason I had given, and triumphantly proved to me that when a tradesman was falsely accused of misrepresentation, dishonesty, or neglect in his business, it was an attack upon his honour, which in this case was outraged solely by what he suffered, and that he could only retrieve it by punishing his aggressor and making him retract.

Here, by a homonymy, he was foisting *civic honour*, which is otherwise called *good name*, and which may be outraged by libel and slander, on to the conception of *knightly honour*, also called *point d’honneur*, which may be outraged by insult. And since an attack on the former cannot be disregarded, but must be repelled by public disproof, so, with the same justification, an attack on the latter must not be disregarded either, but it must be defeated by still greater insult and a duel. Here we have a confusion of two essentially different things through the homonymy in the word *honour*, and a consequent alteration of the point in dispute.

### III.

Another trick is to take a proposition which is laid down relatively, and in reference to some particular matter, as though it were uttered with a general or absolute application; or, at least, to take it in some quite different sense, and then refute it. Aristotle's example is as follows:

A Moor is black; but in regard to his teeth he is white; therefore, he is black and not black at the same moment. This is an obvious sophism, which will deceive no one. Let us contrast it with one drawn from actual experience.

In talking of philosophy, I admitted that my system upheld the Quietists, and commended them. Shortly afterwards the conversation turned upon Hegel, and I maintained that his writings were mostly nonsense; or, at any rate, that there were many passages in them where the author wrote the words, and it was left to the reader to find a meaning for them. My opponent did not attempt to refute this assertion *ad rem*, but contented himself by advancing the *argumentum ad hominem*, and telling me that I had just been praising the Quietists, and that they had written a good deal of nonsense too.

This I admitted; but, by way of correcting him, I said that I had praised the Quietists, not as philosophers and writers, that is to say, for their achievements in the sphere of *theory*, but only as men, and for their conduct in mere matters of *practice*; and that in Hegel's case we were talking of theories. In this way I parried the attack.

The first three tricks are of a kindred character. They have this in common, that something different is attacked from that which was asserted. It would therefore be an *ignoratio elenchi* to allow oneself to be disposed of in such a manner.

For in all the examples that I have given, what the opponent says is true, but it stands in apparent and not in real contradiction with the thesis. All that the man whom he is attacking has to do is to deny the validity of his syllogism; to deny, namely, the conclusion which he draws, that because his proposition is true, ours is false. In this way his refutation is itself directly refuted by a denial of his conclusion, *per negationem consequentiae*. Another trick is to refuse to admit true premisses because of a foreseen conclusion. There are two ways of defeating it, incorporated in the next two sections.

#### IV.

If you want to draw a conclusion, you must not let it be foreseen, but you must get the premisses admitted one by one, unobserved, mingling them here and there in your talk; otherwise, your opponent will attempt all sorts of chicanery. Or, if it is doubtful whether your opponent will admit them, you must advance the premisses of these premisses; that is to say, you must draw up pro-syllogisms, and get the premisses of several of them admitted in no definite order. In this way you conceal your game until you have obtained all the admissions that are necessary, and so reach your goal by making a circuit. These rules are given by Aristotle in his *Topica*, bk. viii., c. 1. It is a trick which needs no illustration.

## V.

To prove the truth of a proposition, you may also employ previous propositions that are not true, should your opponent refuse to admit the true ones, either because he fails to perceive their truth, or because he sees that the thesis immediately follows from them. In that case the plan is to take propositions which are false in themselves but true for your opponent, and argue from the way in which he thinks, that is to say, *ex concessis*. For a true conclusion may follow from false premisses, but not *vice versâ*. In the same fashion your opponent's false propositions may be refuted by other false propositions, which he, however, takes to be true; for it is with him that you have to do, and you must use the thoughts that he uses. For instance, if he is a member of some sect to which you do not belong, you may employ the declared opinions of this sect against him, as principles.

## VI.

Another plan is to beg the question in disguise by postulating what has to be proved, either (1) under another name; for instance, "good repute" instead of "honour"; "virtue" instead of "virginity," etc.; or by using such convertible terms as "red-blooded animals" and "vertebrates"; or (2) by making a general assumption covering the particular point in dispute; for instance, maintaining the uncertainty of medicine by postulating the uncertainty of all human knowledge. (3) If, *vice versâ*, two things follow one from the other, and one is to be proved, you may postulate the other. (4)

If a general proposition is to be proved, you may get your opponent to admit every one of the particulars. This is the converse of the second.

## VII.

Should the disputation be conducted on somewhat strict and formal lines, and there be a desire to arrive at a very clear understanding, he who states the proposition and wants to prove it may proceed against his opponent by question, in order to show the truth of the statement from his admissions. The erotematic, or Socratic, method was especially in use among the ancients; and this and some of the tricks following later on are akin to it.

The plan is to ask a great many wide-reaching questions at once, so as to hide what you want to get admitted, and, on the other hand, quickly propound the argument resulting from the admissions; for those who are slow of understanding cannot follow accurately, and do not notice any mistakes or gaps there may be in the demonstration.

## VIII.

This trick consists in making your opponent angry; for when he is angry he is incapable of judging aright, and perceiving where his advantage lies. You can make him angry by doing him repeated injustice, or practising some kind of chicanery, and being generally insolent.

## IX.

Or you may put questions in an order different from that which the conclusion to be drawn from them requires, and transpose them, so as not to let him know at what you are aiming. He can then take no precautions. You may also use his answers for different or even opposite conclusions, according to their character. This is akin to the trick of masking your procedure.

## X.

If you observe that your opponent designedly returns a negative answer to the questions which, for the sake of your proposition, you want him to answer in the affirmative, you must ask the converse of the proposition, as

though it were that which you were anxious to see affirmed; or, at any rate, you may give him his choice of both, so that he may not perceive which of them you are asking him to affirm.

## XL.

If you make an induction, and your opponent grants you the particular cases by which it is to be supported, you must refrain from asking him if he also admits the general truth which issues from the particulars, but introduce it afterwards as a settled and admitted fact; for, in the meanwhile, he will himself come to believe that he has admitted it, and the same impression will be received by the audience, because they will remember the many questions as to the particulars, and suppose that they must, of course, have attained their end.

## XII.

If the conversation turns upon some general conception which has no particular name, but requires some figurative or metaphorical designation, you must begin by choosing a metaphor that is favourable to your proposition. For instance, the names used to denote the two political parties in Spain, *Serviles* and *Liberates*, are obviously chosen by the latter. The name *Protestants* is chosen by themselves, and also the name *Evangelicals*; but the Catholics call them *heretics*. Similarly, in regard to the names of things which admit of a more exact and definite meaning: for example, if your opponent proposes an *alteration*, you can call it an *innovation*, as this is an invidious word. If you yourself make the proposal, it will be the converse. In the first case, you can call the antagonistic principle “the existing order,” in the second, “antiquated prejudice.” What an impartial man with no further purpose to serve would call “public worship” or a “system of religion,” is described by an adherent as “piety,” “godliness”: and by an opponent as “bigotry,” “superstition.” This is, at bottom, a subtle *petitio principii*. What is sought to be proved is, first of all, inserted in the definition, whence it is then taken by mere analysis. What one man calls “placing in safe custody,” another calls “throwing into prison.” A speaker often betrays his purpose beforehand by the names which he gives to things. One man talks of “the clergy”; another, of “the priests.”



Of all the tricks of controversy, this is the most frequent, and it is used instinctively. You hear of “religious zeal,” or “fanaticism”; a “*faux pas*” a “piece of gallantry,” or “adultery”; an “equivocal,” or a “bawdy” story; “embarrassment,” or “bankruptcy”; “through influence and connection,” or by “bribery and nepotism”; “sincere gratitude,” or “good pay.”

### XIII.

To make your opponent accept a proposition, you must give him the counter-proposition as well, leaving him his choice of the two; and you must render the contrast as glaring as you can, so that to avoid being paradoxical he will accept the proposition, which is thus made to look quite probable. For instance, if you want to make him admit that a boy must do everything that his father tells him to do, ask him “whether in all things we must obey or disobey our parents.” Or, if a thing is said to occur “often,” ask whether by “often” you are to understand few or many cases; and he will say “many.” It is as though you were to put grey next black, and call it white; or next white, and call it black.

### XIV.

This, which is an impudent trick, is played as follows: When your opponent has answered several of your questions without the answers turning out favourable to the conclusion at which you are aiming, advance the desired conclusion, — although it does not in the least follow, — as though it had been proved, and proclaim it in a tone of triumph. If your opponent is shy or stupid, and you yourself possess a great deal of impudence and a good voice, the trick may easily succeed. It is akin to the fallacy *non causae ut causae*.

### XV.

If you have advanced a paradoxical proposition and find a difficulty in proving it, you may submit for your opponent’s acceptance or rejection some true proposition, the truth of which, however, is not quite palpable, as though you wished to draw your proof from it. Should he reject it because he suspects a trick, you can obtain your triumph by showing how absurd he is; should he accept it> you have got reason on your side for the moment,

and must now look about you; or else you can employ the previous trick as well, and maintain that your paradox is proved by the proposition which he has accepted. For this an extreme degree of impudence is required; but experience shows cases of it, and there are people who practise it by instinct.

#### XVI.

Another trick is to use arguments *ad hominem*, or *ex concessis* When your opponent makes a proposition, you must try to see whether it is not in some way — if needs be, only apparently — inconsistent with some other proposition which he has made or admitted, or with the principles of a school or sect which he has commended and approved, or with the actions of those who support the sect, or else of those who give it only an apparent and spurious support, or with his own actions or want of action. For example, should he defend suicide, you may at once exclaim, “Why don’t you hang yourself?” Should he maintain that Berlin is an unpleasant place to live in, you may say, “Why don’t you leave by the first train?” Some such claptrap is always possible.

#### XVII.

If your opponent presses you with a counter-proof, you will often be able to save yourself by advancing some subtle distinction, which, it is true, had not previously occurred to you; that is, if the matter admits of a double application, or of being taken in any ambiguous sense.

#### XVIII.

If you observe that your opponent has taken up a line of argument which will end in your defeat, you must not allow him to carry it to its conclusion, but interrupt the course of the dispute in time, or break it off altogether, or lead him away from the subject, and bring him to others. In short, you must effect the trick which will be noticed later on, the *mutatio controversiae*. (See § xxix.)

#### XIX.

Should your opponent expressly challenge you to produce any objection to some definite point in his argument, and you have nothing much to say, you must try to give the matter a general turn, and then talk against that. If you are called upon to say why a particular physical hypothesis cannot be accepted, you may speak of the fallibility of human knowledge, and give various illustrations of it.

## XX.

When you have elicited all your premisses, and your opponent has admitted them, you must refrain from asking him for the conclusion, but draw it at once for yourself; nay, even though one or other of the premisses should be lacking, you may take it as though it too had been admitted, and draw the conclusion. This trick is an application of the fallacy *non causae ut causae*.

## XXI.

When your opponent uses a merely superficial or sophistical argument and you see through it, you can, it is true, refute it by setting forth its captious and superficial character; but it is better to meet him with a counter-argument which is just as superficial and sophistical, and so dispose of him; for it is with victory that you are concerned, and not with truth. If, for example, he adopts an *argumentum ad hominem*, it is sufficient to take the force out of it by a counter *argumentum ad hominem* or *argumentum ex concessis*; and, in general, instead of setting forth the true state of the case at equal length, it is shorter to take this course if it lies open to you.

## XXII.

If your opponent requires you to admit something from which the point in dispute will immediately follow, you must refuse to do so, declaring that it is a *petitio principii*. For he and the audience will regard a proposition which is near akin to the point in dispute as identical with it, and in this way you deprive him of his best argument.

## XXIII.

Contradiction and contention irritate a man into exaggerating his statement. By contradicting your opponent you may drive him into extending beyond its proper limits a statement which, at all events within those limits and in itself, is true; and when you refute this exaggerated form of it, you look as though you had also refuted his original statement. Contrarily, you must take care not to allow yourself to be misled by contradictions into exaggerating or extending a statement of your own. It will often happen that your opponent will himself directly try to extend your statement further than you meant it; here you must at once stop him, and bring him back to the limits which you set up; "That's what I said, and no more."

#### XXIV.

This trick consists in stating a false syllogism. Your opponent makes a proposition, and by false inference and distortion of his ideas you force from it other propositions which it does not contain and he does not in the least mean; nay, which are absurd or dangerous. It then looks as if his proposition gave rise to others which are inconsistent either with themselves or with some acknowledged truth, and so it appears to be indirectly refuted. This is the *diversion*, and it is another application of the fallacy *non causae ut causae*.

#### XXV.

This is a case of the *diversion* by means of an *instance to the contrary*. With an induction ([Greek: epagogae]), a great number of particular instances are required in order to establish it as a universal proposition; but with the *diversion* ([Greek: apagogae]) a single instance, to which the proposition does not apply, is all that is necessary to overthrow it. This is a controversial method known as the *instance* — *instantia*, [Greek: enstasis]. For example, "all ruminants are horned" is a proposition which may be upset by the single instance of the camel. The *instance* is a case in which a universal truth is sought to be applied, and something is inserted in the fundamental definition of it which is not universally true, and by which it is upset. But there is room for mistake; and when this trick is employed by your opponent, you must observe (1) whether the example which he gives is really true; for there are problems of which the only true solution is that the

case in point is not true — for example, many miracles, ghost stories, and so on; and (2) whether it really comes under the conception of the truth thus stated; for it may only appear to do so, and the matter is one to be settled by precise distinctions; and (3) whether it is really inconsistent with this conception; for this again may be only an apparent inconsistency.

## XXVI.

A brilliant move is the *retorsio argumenti*, or turning of the tables, by which your opponent's argument is turned against himself. He declares, for instance, "So-and-so is a child, you must make allowance for him." You retort, "Just because he is a child, I must correct him; otherwise he will persist in his bad habits."

## XXVII.

Should your opponent surprise you by becoming particularly angry at an argument, you must urge it with all the more zeal; not only because it is a good thing to make him angry, but because it may be presumed that you have here put your finger on the weak side of his case, and that just here he is more open to attack than even for the moment you perceive.

## XXVIII.

This is chiefly practicable in a dispute between scholars in the presence of the unlearned. If you have no argument *ad rem*, and none either *ad hominem*, you can make one *ad auditores*; that is to say, you can start some invalid objection, which, however, only an expert sees to be invalid. Now your opponent is an expert, but those who form your audience are not, and accordingly in their eyes he is defeated; particularly if the objection which you make places him in any ridiculous light. People are ready to laugh, and you have the laughers on your side. To show that your objection is an idle one, would require a long explanation on the part of your opponent, and a reference to the principles of the branch of knowledge in question, or to the elements of the matter which you are discussing; and people are not disposed to listen to it.

For example, your opponent states that in the original formation of a mountain-range the granite and other elements in its composition were, by

reason of their high temperature, in a fluid or molten state; that the temperature must have amounted to some 480° Fahrenheit; and that when the mass took shape it was covered by the sea. You reply, by an argument *ad auditores*, that at that temperature — nay, indeed, long before it had been reached, namely, at 212° Fahrenheit — the sea would have been boiled away, and spread through the air in the form of steam. At this the audience laughs. To refute the objection, your opponent would have to show that the boiling-point depends not only on the degree of warmth, but also on the atmospheric pressure; and that as soon as about half the sea-water had gone off in the shape of steam, this pressure would be so greatly increased that the rest of it would fail to boil even at a temperature of 480°. He is debarred from giving this explanation, as it would require a treatise to demonstrate the matter to those who had no acquaintance with physics.

## XXIX.

If you find that you are being worsted, you can make a *diversion* — that is, you can suddenly begin to talk of something else, as though it had a bearing on the matter in dispute, and afforded an argument against your opponent. This may be done without presumption if the diversion has, in fact, some general bearing on the matter; but it is a piece of impudence if it has nothing to do with the case, and is only brought in by way of attacking your opponent.

For example, I praised the system prevailing in China, where there is no such thing as hereditary nobility, and offices are bestowed only on those who succeed in competitive examinations. My opponent maintained that learning, as little as the privilege of birth (of which he had a high opinion) fits a man for office. We argued, and he got the worst of it. Then he made a diversion, and declared that in China all ranks were punished with the bastinado, which he connected with the immoderate indulgence in tea, and proceeded to make both of them a subject of reproach to the Chinese. To follow him into all this would have been to allow oneself to be drawn into a surrender of the victory which had already been won.

The diversion is mere impudence if it completely abandons the point in dispute, and raises, for instance, some such objection as “Yes, and you also said just now,” and so on. For then the argument becomes to some extent personal; of the kind which will be treated of in the last section. Strictly

speaking, it is half-way between the *argumentum ad personam*, which will there be discussed, and the *argumentum ad hominem*.

How very innate this trick is, may be seen in every quarrel between common people. If one of the parties makes some personal reproach against the other, the latter, instead of answering it by refuting it, allows it to stand, — as it were, admits it; and replies by reproaching his antagonist on some other ground. This is a stratagem like that pursued by Scipio when he attacked the Carthaginians, not in Italy, but in Africa. In war, diversions of this kind may be profitable; but in a quarrel they are poor expedients, because the reproaches remain, and those who look on hear the worst that can be said of both parties. It is a trick that should be used only *faute de mieux*.

### XXX.

This is the *argumentum ad verecundiam*. It consists in making an appeal to authority rather than reason, and in using such an authority as may suit the degree of knowledge possessed by your opponent.

Every man prefers belief to the exercise of judgment, says Seneca; and it is therefore an easy matter if you have an authority on your side which your opponent respects. The more limited his capacity and knowledge, the greater is the number of the authorities who weigh with him. But if his capacity and knowledge are of a high order, there are very few; indeed, hardly any at all. He may, perhaps, admit the authority of professional men versed in a science or an art or a handicraft of which he knows little or nothing; but even so he will regard it with suspicion. Contrarily, ordinary folk have a deep respect for professional men of every kind. They are unaware that a man who makes a profession of a thing loves it not for the thing itself, but for the money he makes by it; or that it is rare for a man who teaches to know his subject thoroughly; for if he studies it as he ought, he has in most cases no time left in which to teach it.

But there are very many authorities who find respect with the mob, and if you have none that is quite suitable, you can take one that appears to be so; you may quote what some said in another sense or in other circumstances. Authorities which your opponent fails to understand are those of which he generally thinks the most. The unlearned entertain a peculiar respect for a Greek or a Latin flourish. You may also, should it be

necessary, not only twist your authorities, but actually falsify them, or quote something which you have invented entirely yourself. As a rule, your opponent has no books at hand, and could not use them if he had. The finest illustration of this is furnished by the French *curé*, who, to avoid being compelled, like other citizens, to pave the street in front of his house, quoted a saying which he described as biblical: *paveant illi, ego non pavebo*. That was quite enough for the municipal officers. A universal prejudice may also be used as an authority; for most people think with Aristotle that that may be said to exist which many believe. There is no opinion, however absurd, which men will not readily embrace as soon as they can be brought to the conviction that it is generally adopted. Example affects their thought just as it affects their action. They are like sheep following the bell-wether just as he leads them. They would sooner die than think. It is very curious that the universality of an opinion should have so much weight with people, as their own experience might tell them that its acceptance is an entirely thoughtless and merely imitative process. But it tells them nothing of the kind, because they possess no self-knowledge whatever. It is only the elect Who Say with Plato: [Greek: *tois pollois polla dokei*] which means that the public has a good many bees in its bonnet, and that it would be a long business to get at them.

But to speak seriously, the universality of an opinion is no proof, nay, it is not even a probability, that the opinion is right. Those who maintain that it is so must assume (1) that length of time deprives a universal opinion of its demonstrative force, as otherwise all the old errors which were once universally held to be true would have to be recalled; for instance, the Ptolemaic system would have to be restored, or Catholicism re-established in all Protestant countries. They must assume (2) that distance of space has the same effect; otherwise the respective universality of opinion among the adherents of Buddhism, Christianity, and Islam will put them in a difficulty.

When we come to look into the matter, so-called universal opinion is the opinion of two or three persons; and we should be persuaded of this if we could see the way in which it really arises.

We should find that it is two or three persons who, in the first instance, accepted it, or advanced and maintained it; and of whom people were so good as to believe that they had thoroughly tested it. Then a few other persons, persuaded beforehand that the first were men of the requisite capacity, also accepted the opinion. These, again, were trusted by many



others, whose laziness suggested to them that it was better to believe at once, than to go through the troublesome task of testing the matter for themselves. Thus the number of these lazy and credulous adherents grew from day to day; for the opinion had no sooner obtained a fair measure of support than its further supporters attributed this to the fact that the opinion could only have obtained it by the cogency of its arguments. The remainder were then compelled to grant what was universally granted, so as not to pass for unruly persons who resisted opinions which every one accepted, or pert fellows who thought themselves cleverer than any one else.

When opinion reaches this stage, adhesion becomes a duty; and henceforward the few who are capable of forming a judgment hold their peace. Those who venture to speak are such as are entirely incapable of forming any opinions or any judgment of their own, being merely the echo of others' opinions; and, nevertheless, they defend them with all the greater zeal and intolerance. For what they hate in people who think differently is not so much the different opinions which they profess, as the presumption of wanting to form their own judgment; a presumption of which they themselves are never guilty, as they are very well aware. In short, there are very few who can think, but every man wants to have an opinion; and what remains but to take it ready-made from others, instead of forming opinions for himself?

Since this is what happens, where is the value of the opinion even of a hundred millions? It is no more established than an historical fact reported by a hundred chroniclers who can be proved to have plagiarised it from one another; the opinion in the end being traceable to a single individual. It is all what I say, what you say, and, finally, what he says; and the whole of it is nothing but a series of assertions:

*Dico ego, tu dicis, sed denique dixit et ille; Dictaque post toties, nil nisi dicta vides.*

Nevertheless, in a dispute with ordinary people, we may employ universal opinion as an authority. For it will generally be found that when two of them are fighting, that is the weapon which both of them choose as a means of attack. If a man of the better sort has to deal with them, it is most advisable for him to condescend to the use of this weapon too, and to select such authorities as will make an impression on his opponent's weak side. For, *ex hypothesi*, he is as insensible to all rational argument as a horny-hided Siegfried, dipped in the flood of incapacity, and unable to think or

judge. Before a tribunal the dispute is one between authorities alone, — such authoritative statements, I mean, as are laid down by legal experts; and here the exercise of judgment consists in discovering what law or authority applies to the case in question. There is, however, plenty of room for Dialectic; for should the case in question and the law not really fit each other, they can, if necessary, be twisted until they appear to do so, or *vice versa*.

### XXXI.

If you know that you have no reply to the arguments which your opponent advances, you may, by a fine stroke of irony, declare yourself to be an incompetent judge: “What you now say passes my poor powers of comprehension; it may be all very true, but I can’t understand it, and I refrain from any expression of opinion on it.” In this way you insinuate to the bystanders, with whom you are in good repute, that what your opponent says is nonsense. Thus, when Kant’s *Kritik* appeared, or, rather, when it began to make a noise in the world, many professors of the old eclectic school declared that they failed to understand it, in the belief that their failure settled the business. But when the adherents of the new school proved to them that they were quite right, and had really failed to understand it, they were in a very bad humour.

This is a trick which may be used only when you are quite sure that the audience thinks much better of you than of your opponent. A professor, for instance may try it on a student.

Strictly, it is a case of the preceding trick: it is a particularly malicious assertion of one’s own authority, instead of giving reasons. The counter-trick is to say: “I beg your pardon; but, with your penetrating intellect, it must be very easy for you to understand anything; and it can only be my poor statement of the matter that is at fault”; and then go on to rub it into him until he understands it *nolens volens*, and sees for himself that it was really his own fault alone. In this way you parry his attack. With the greatest politeness he wanted to insinuate that you were talking nonsense; and you, with equal courtesy, prove to him that he is a fool.

### XXXII.

If you are confronted with an assertion, there is a short way of getting rid of it, or, at any rate, of throwing suspicion on it, by putting it into some odious category; even though the connection is only apparent, or else of a loose character. You can say, for instance, “That is Manichasism,” or “It is Arianism,” or “Pelagianism,” or “Idealism,” or “Spinozism,” or “Pantheism,” or “Brownianism,” or “Naturalism,” or “Atheism,” or “Rationalism,” “Spiritualism,” “Mysticism,” and so on. In making an objection of this kind, you take it for granted (1) that the assertion in question is identical with, or is at least contained in, the category cited — that is to say, you cry out, “Oh, I have heard that before”; and (2) that the system referred to has been entirely refuted, and does not contain a word of truth.

### XXXIII.

“That’s all very well in theory, but it won’t do in practice.” In this sophism you admit the premisses but deny the conclusion, in contradiction with a well-known rule of logic. The assertion is based upon an impossibility: what is right in theory *must* work in practice; and if it does not, there is a mistake in the theory; something has been overlooked and not allowed for; and, consequently, what is wrong in practice is wrong in theory too.

### XXXIV.

When you state a question or an argument, and your opponent gives you no direct answer or reply, but evades it by a counter-question or an indirect answer, or some assertion which has no bearing on the matter, and, generally, tries to turn the subject, it is a sure sign that you have touched a weak spot, sometimes without knowing it. You have, as it were, reduced him to silence. You must, therefore, urge the point all the more, and not let your opponent evade it, even when you do not know where the weakness which you have hit upon really lies.

### XXXV.

There is another trick which, as soon as it is practicable, makes all others unnecessary. Instead of working on your opponent’s intellect by argument, work on his will by motive; and he, and also the audience if they have

similar interests, will at once be won over to your opinion, even though you got it out of a lunatic asylum; for, as a general rule, half an ounce of will is more effective than a hundredweight of insight and intelligence. This, it is true, can be done only under peculiar circumstances. If you succeed in making your opponent feel that his opinion, should it prove true, will be distinctly prejudicial to his interest, he will let it drop like a hot potato, and feel that it was very imprudent to take it up.

A clergyman, for instance, is defending some philosophical dogma; you make him sensible of the fact that it is in immediate contradiction with one of the fundamental doctrines of his Church, and he abandons it.

A landed proprietor maintains that the use of machinery in agricultural operations, as practised in England, is an excellent institution, since an engine does the work of many men. You give him to understand that it will not be very long before carriages are also worked by steam, and that the value of his large stud will be greatly depreciated; and you will see what he will say.

In such cases every man feels how thoughtless it is to sanction a law unjust to himself — *quam temere in nosmet legem sancimus iniquam!* Nor is it otherwise if the bystanders, but not your opponent, belong to the same sect, guild, industry, club, etc., as yourself. Let his thesis be never so true, as soon as you hint that it is prejudicial to the common interests of the said society, all the bystanders will find that your opponent's arguments, however excellent they be, are weak and contemptible; and that yours, on the other hand, though they were random conjecture, are correct and to the point; you will have a chorus of loud approval on your side, and your opponent will be driven out of the field with ignominy. Nay, the bystanders will believe, as a rule, that they have agreed with you out of pure conviction. For what is not to our interest mostly seems absurd to us; our intellect being no *siccum lumen*. This trick might be called "taking the tree by its root"; its usual name is the *argumentum ab utili*.

### XXXVI.

You may also puzzle and bewilder your opponent by mere bombast; and the trick is possible, because a man generally supposes that there must be some meaning in words:

*Gewöhnlich glaubt der Mensch, wenn er nur Worte hört, Es müsse sich dabei doch auch was denken lassen.*

If he is secretly conscious of his own weakness, and accustomed to hear much that he does not understand, and to make as though he did, you can easily impose upon him by some serious fooling that sounds very deep or learned, and deprives him of hearing, sight, and thought; and by giving out that it is the most indisputable proof of what you assert. It is a well-known fact that in recent times some philosophers have practised this trick on the whole of the public with the most brilliant success. But since present examples are odious, we may refer to *The Vicar of Wakefield* for an old one.

### XXXVII.

Should your opponent be in the right, but, luckily for your contention, choose a faulty proof, you can easily manage to refute it, and then claim that you have thus refuted his whole position. This is a trick which ought to be one of the first; it is, at bottom, an expedient by which an *argumentum ad hominem* is put forward as an *argumentum ad rem*. If no accurate proof occurs to him or to the bystanders, you have won the day. For example, if a man advances the ontological argument by way of proving God's existence, you can get the best of him, for the ontological argument may easily be refuted. This is the way in which bad advocates lose a good case, by trying to justify it by an authority which does not fit it, when no fitting one occurs to them.

### XXXVIII.

A last trick is to become personal, insulting, rude, as soon as you perceive that your opponent has the upper hand, and that you are going to come off worst. It consists in passing from the subject of dispute, as from a lost game, to the disputant himself, and in some way attacking his person. It may be called the *argumentum ad personam*, to distinguish it from the *argumentum ad hominem*, which passes from the objective discussion of the subject pure and simple to the statements or admissions which your opponent has made in regard to it. But in becoming personal you leave the subject altogether, and turn your attack to his person, by remarks of an offensive and spiteful character. It is an appeal from the virtues of the

intellect to the virtues of the body, or to mere animalism. This is a very popular trick, because every one is able to carry it into effect; and so it is of frequent application. Now the question is, What counter-trick avails for the other party? for if he has recourse to the same rule, there will be blows, or a duel, or an action for slander.

It would be a great mistake to suppose that it is sufficient not to become personal yourself. For by showing a man quite quietly that he is wrong, and that what he says and thinks is incorrect — a process which occurs in every dialectical victory — you embitter him more than if you used some rude or insulting expression. Why is this? Because, as Hobbes observes, all mental pleasure consists in being able to compare oneself with others to one's own advantage. Nothing is of greater moment to a man than the gratification of his vanity, and no wound is more painful than that which is inflicted on it. Hence such phrases as "Death before dishonour," and so on. The gratification of vanity arises mainly by comparison of oneself with others, in every respect, but chiefly in respect of one's intellectual powers; and so the most effective and the strongest gratification of it is to be found in controversy. Hence the embitterment of defeat, apart from any question of injustice; and hence recourse to that last weapon, that last trick, which you cannot evade by mere politeness. A cool demeanour may, however, help you here, if, as soon as your opponent becomes personal, you quietly reply, "That has no bearing on the point in dispute," and immediately bring the conversation back to it, and continue to show him that he is wrong, without taking any notice of his insults. Say, as Themistocles said to Eurybiades — *Strike, but hear me*. But such demeanour is not given to every one.

As a sharpening of wits, controversy is often, indeed, of mutual advantage, in order to correct one's thoughts and awaken new views. But in learning and in mental power both disputants must be tolerably equal. If one of them lacks learning, he will fail to understand the other, as he is not on the same level with his antagonist. If he lacks mental power, he will be embittered, and led into dishonest tricks, and end by being rude.

The only safe rule, therefore, is that which Aristotle mentions in the last chapter of his *Topica*: not to dispute with the first person you meet, but only with those of your acquaintance of whom you know that they possess sufficient intelligence and self-respect not to advance absurdities; to appeal to reason and not to authority, and to listen to reason and yield to it; and, finally, to cherish truth, to be willing to accept reason even from an

opponent, and to be just enough to bear being proved to be in the wrong, should truth lie with him. From this it follows that scarcely one man in a hundred is worth your disputing with him. You may let the remainder say what they please, for every one is at liberty to be a fool — *desipere est jus gentium*. Remember what Voltaire says: *La paix vaut encore mieux que la vérité*. Remember also an Arabian proverb which tells us that *on the tree of silence there hangs its fruit, which is peace*.

## ON THE COMPARATIVE PLACE OF INTEREST AND BEAUTY IN WORKS OF ART.

In the productions of poetic genius, especially of the epic and dramatic kind, there is, apart from Beauty, another quality which is attractive: I mean Interest.

The beauty of a work of art consists in the fact that it holds up a clear mirror to certain *ideas* inherent in the world in general; the beauty of a work of poetic art in particular is that it renders the ideas inherent in mankind, and thereby leads it to a knowledge of these ideas. The means which poetry uses for this end are the exhibition of significant characters and the invention of circumstances which will bring about significant situations, giving occasion to the characters to unfold their peculiarities and show what is in them; so that by some such representation a clearer and fuller knowledge of the many-sided idea of humanity may be attained. Beauty, however, in its general aspect, is the inseparable characteristic of the idea when it has become known. In other words, everything is beautiful in which an idea is revealed; for to be beautiful means no more than clearly to express an idea.

Thus we perceive that beauty is always an affair of *knowledge*, and that it appeals to *the knowing subject*, and not to *the will*; nay, it is a fact that the apprehension of beauty on the part of the subject involves a complete suppression of the will.

On the other hand, we call drama or descriptive poetry interesting when it represents events and actions of a kind which necessarily arouse concern or sympathy, like that which we feel in real events involving our own person. The fate of the person represented in them is felt in just the same fashion as our own: we await the development of events with anxiety; we eagerly follow their course; our hearts quicken when the hero is threatened; our pulse falters as the danger reaches its acme, and throbs again when he is suddenly rescued. Until we reach the end of the story we cannot put the book aside; we lie away far into the night sympathising with our hero's troubles as though they were our own. Nay, instead of finding pleasure and recreation in such representations, we should feel all the pain which real life often inflicts upon us, or at least the kind which pursues us in our uneasy dreams, if in the act of reading or looking at the stage we had not the firm



ground of reality always beneath our feet. As it is, in the stress of a too violent feeling, we can find relief from the illusion of the moment, and then give way to it again at will. Moreover, we can gain this relief without any such violent transition as occurs in a dream, when we rid ourselves of its terrors only by the act of awaking.

It is obvious that what is affected by poetry of this character is our *will*, and not merely our intellectual powers pure and simple. The word *interest* means, therefore, that which arouses the concern of the individual will, *quod nostrâ interest*; and here it is that beauty is clearly distinguished from interest. The one is an affair of the intellect, and that, too, of the purest and simplest kind. The other works upon the will. Beauty, then, consists in an apprehension of ideas; and knowledge of this character is beyond the range of the principle that nothing happens without a cause. Interest, on the other hand, has its origin nowhere but in the course of events; that is to say, in the complexities which are possible only through the action of this principle in its different forms.

We have now obtained a clear conception of the essential difference between the beauty and the interest of a work of art. We have recognised that beauty is the true end of every art, and therefore, also, of the poetic art. It now remains to raise the question whether the interest of a work of art is a second end, or a means to the exhibition of its beauty; or whether the interest of it is produced by its beauty as an essential concomitant, and comes of itself as soon as it is beautiful; or whether interest is at any rate compatible with the main end of art; or, finally, whether it is a hindrance to it.

In the first place, it is to be observed that the interest of a work of art is confined to works of poetic art. It does not exist in the case of fine art, or of music or architecture. Nay, with these forms of art it is not even conceivable, unless, indeed, the interest be of an entirely personal character, and confined to one or two spectators; as, for example, where a picture is a portrait of some one whom we love or hate; the building, my house or my prison; the music, my wedding dance, or the tune to which I marched to the war. Interest of this kind is clearly quite foreign to the essence and purpose of art; it disturbs our judgment in so far as it makes the purely artistic attitude impossible. It may be, indeed, that to a smaller extent this is true of all interest.

Now, since the interest of a work of art lies in the fact that we have the same kind of sympathy with a poetic representation as with reality, it is obvious that the representation must deceive us for the moment; and this it can do only by its truth. But truth is an element in perfect art. A picture, a poem, should be as true as nature itself; but at the same time it should lay stress on whatever forms the unique character of its subject by drawing out all its essential manifestations, and by rejecting everything that is unessential and accidental. The picture or the poem will thus emphasize its *idea*, and give us that *ideal truth* which is superior to nature.

*Truth*, then, forms the point that is common both to interest and beauty in a work of art, as it is its truth which produces the illusion. The fact that the truth of which I speak is *ideal truth* might, indeed, be detrimental to the illusion, since it is just here that we have the general difference between poetry and reality, art and nature. But since it is possible for reality to coincide with the ideal, it is not actually necessary that this difference should destroy the illusion. In the case of fine arts there is, in the range of the means which art adopts, a certain limit, and beyond it illusion is impossible. Sculpture, that is to say, gives us mere colourless form; its figures are without eyes and without movement; and painting provides us with no more than a single view, enclosed within strict limits, which separate the picture from the adjacent reality. Here, then, there is no room for illusion, and consequently none for that interest or sympathy which resembles the interest we have in reality; the will is at once excluded, and the object alone is presented to us in a manner that frees it from any personal concern.

It is a highly remarkable fact that a spurious kind of fine art oversteps these limits, produces an illusion of reality, and arouses our interest; but at the same time it destroys the effect which fine art produces, and serves as nothing but a mere means of exhibiting the beautiful, that is, of communicating a knowledge of the ideas which it embodies. I refer to *waxwork*. Here, we might say, is the dividing line which separates it from the province of fine art. When waxwork is properly executed, it produces a perfect illusion; but for that very reason we approach a wax figure as we approach a real man, who, as such, is for the moment an object presented to our will. That is to say, he is an object of interest; he arouses the will, and consequently stills the intellect. We come up to a wax figure with the same reserve and caution as a real man would inspire in us: our will is excited; it

waits to see whether he is going to be friendly to us, or the reverse, fly from us, or attack us; in a word, it expects some action of him. But as the figure, nevertheless, shows no sign of life, it produces the impression which is so very disagreeable, namely, of a corpse. This is a case where the interest is of the most complete kind, and yet where there is no work of art at all. In other words, interest is not in itself a real end of art.

The same truth is illustrated by the fact that even in poetry it is only the dramatic and descriptive kind to which interest attaches; for if interest were, with beauty, the aim of art, poetry of the lyrical kind would, for that very reason, not take half so great a position as the other two.

In the second place, if interest were a means in the production of beauty, every interesting work would also be beautiful. That, however, is by no means the case. A drama or a novel may often attract us by its interest, and yet be so utterly deficient in any kind of beauty that we are afterwards ashamed of having wasted our time on it. This applies to many a drama which gives no true picture of the real life of man; which contains characters very superficially drawn, or so distorted as to be actual monstrosities, such as are not to be found in nature; but the course of events and the play of the action are so intricate, and we feel so much for the hero in the situation in which he is placed, that we are not content until we see the knot untangled and the hero rescued. The action is so cleverly governed and guided in its course that we remain in a state of constant curiosity as to what is going to happen, and we are utterly unable to form a guess; so that between eagerness and surprise our interest is kept active; and as we are pleasantly entertained, we do not notice the lapse of time. Most of Kotzebue's plays are of this character. For the mob this is the right thing: it looks for amusement, something to pass the time, not for intellectual perception. Beauty is an affair of such perception; hence sensibility to beauty varies as much as the intellectual faculties themselves. For the inner truth of a representation, and its correspondence with the real nature of humanity, the mob has no sense at all. What is flat and superficial it can grasp, but the depths of human nature are opened to it in vain.

It is also to be observed that dramatic representations which depend for their value on their interest lose by repetition, because they are no longer able to arouse curiosity as to their course, since it is already known. To see them often, makes them stale and tedious. On the other hand, works of

which the value lies in their beauty gain by repetition, as they are then more and more understood.

Most novels are on the same footing as dramatic representations of this character. They are creatures of the same sort of imagination as we see in the story-teller of Venice and Naples, who lays a hat on the ground and waits until an audience is assembled. Then he spins a tale which so captivates his hearers that, when he gets to the catastrophe, he makes a round of the crowd, hat in hand, for contributions, without the least fear that his hearers will slip away. Similar story-tellers ply their trade in this country, though in a less direct fashion. They do it through the agency of publishers and circulating libraries. Thus they can avoid going about in rags, like their colleagues elsewhere; they can offer the children of their imagination to the public under the title of novels, short stories, romantic poems, fairy tales, and so on; and the public, in a dressing-gown by the fireside, sits down more at its ease, but also with a greater amount of patience, to the enjoyment of the interest which they provide.

How very little aesthetic value there generally is in productions of this sort is well known; and yet it cannot be denied that many of them are interesting; or else how could they be so popular?

We see, then, in reply to our second question, that interest does not necessarily involve beauty; and, conversely, it is true that beauty does not necessarily involve interest. Significant characters may be represented, that open up the depths of human nature, and it may all be expressed in actions and sufferings of an exceptional kind, so that the real nature of humanity and the world may stand forth in the picture in the clearest and most forcible lines; and yet no high degree of interest may be excited in the course of events by the continued progress of the action, or by the complexity and unexpected solution of the plot. The immortal masterpieces of Shakespeare contain little that excites interest; the action does not go forward in one straight line, but falters, as in *Hamlet*, all through the play; or else it spreads out in breadth, as in *The Merchant of Venice*, whereas length is the proper dimension of interest; or the scenes hang loosely together, as in *Henry IV*. Thus it is that Shakespeare's dramas produce no appreciable effect on the mob.

The dramatic requirement stated by Aristotle, and more particularly the unity of action, have in view the interest of the piece rather than its artistic beauty. It may be said, generally, that these requirements are drawn up in

accordance with the principle of sufficient reason to which I have referred above. We know, however, that the *idea*, and, consequently, the beauty of a work of art, exist only for the perceptive intelligence which has freed itself from the domination of that principle. It is just here that we find the distinction between interest and beauty; as it is obvious that interest is part and parcel of the mental attitude which is governed by the principle, whereas beauty is always beyond its range. The best and most striking refutation of the Aristotelian unities is Manzoni's. It may be found in the preface to his dramas.

What is true of Shakespeare's dramatic works is true also of Goethe's. Even *Egmont* makes little effect on the public, because it contains scarcely any complication or development; and if *Egmont* fails, what are we to say of *Tasso* or *Iphigenia*? That the Greek tragedians did not look to interest as a means of working upon the public, is clear from the fact that the material of their masterpieces was almost always known to every one: they selected events which had often been treated dramatically before. This shows us how sensitive was the Greek public to the beautiful, as it did not require the interest of unexpected events and new stories to season its enjoyment.

Neither does the quality of interest often attach to masterpieces of descriptive poetry. Father Homer lays the world and humanity before us in its true nature, but he takes no trouble to attract our sympathy by a complexity of circumstance, or to surprise us by unexpected entanglements. His pace is lingering; he stops at every scene; he puts one picture after another tranquilly before us, elaborating it with care. We experience no passionate emotion in reading him; our demeanour is one of pure perceptive intelligence; he does not arouse our will, but sings it to rest; and it costs us no effort to break off in our reading, for we are not in condition of eager curiosity. This is all still more true of Dante, whose work is not, in the proper sense of the word, an epic, but a descriptive poem. The same thing may be said of the four immortal romances: *Don Quixote*, *Tristram Shandy*, *La Nouvelle Heloïse*, and *Wilhelm Meister*. To arouse our interest is by no means the chief aim of these works; in *Tristram Shandy* the hero, even at the end of the book, is only eight years of age.

On the other hand, we must not venture to assert that the quality of interest is not to be found in masterpieces of literature. We have it in Schiller's dramas in an appreciable degree, and consequently they are popular; also in the *Oedipus Rex* of Sophocles. Amongst masterpieces of

description, we find it in Ariosto's *Orlando Furioso*; nay, an example of a high degree of interest, bound up with the beautiful, is afforded in an excellent novel by Walter Scott — *The Heart of Midlothian*. This is the most interesting work of fiction that I know, where all the effects due to interest, as I have given them generally in the preceding remarks, may be most clearly observed. At the same time it is a very beautiful romance throughout; it shows the most varied pictures of life, drawn with striking truth; and it exhibits highly different characters with great justice and fidelity.

Interest, then, is certainly compatible with beauty. That was our third question. Nevertheless, a comparatively small admixture of the element of interest may well be found to be most advantageous as far as beauty is concerned; for beauty is and remains the end of art. Beauty is in twofold opposition with interest; firstly, because it lies in the perception of the idea, and such perception takes its object entirely out of the range of the forms enunciated by the principle of sufficient reason; whereas interest has its sphere mainly in circumstance, and it is out of this principle that the complexity of circumstance arises. Secondly, interest works by exciting the will; whereas beauty exists only for the pure perceptive intelligence, which has no will. However, with dramatic and descriptive literature an admixture of interest is necessary, just as a volatile and gaseous substance requires a material basis if it is to be preserved and transferred. The admixture is necessary, partly, indeed, because interest is itself created by the events which have to be devised in order to set the characters in motion; partly because our minds would be weary of watching scene after scene if they had no concern for us, or of passing from one significant picture to another if we were not drawn on by some secret thread. It is this that we call interest; it is the sympathy which the event in itself forces us to feel, and which, by riveting our attention, makes the mind obedient to the poet, and able to follow him into all the parts of his story.

If the interest of a work of art is sufficient to achieve this result, it does all that can be required of it; for its only service is to connect the pictures by which the poet desires to communicate a knowledge of the idea, as if they were pearls, and interest were the thread that holds them together, and makes an ornament out of the whole. But interest is prejudicial to beauty as soon as it oversteps this limit; and this is the case if we are so led away by the interest of a work that whenever we come to any detailed description in

a novel, or any lengthy reflection on the part of a character in a drama, we grow impatient and want to put spurs to our author, so that we may follow the development of events with greater speed. Epic and dramatic writings, where beauty and interest are both present in a high degree, may be compared to the working of a watch, where interest is the spring which keeps all the wheels in motion. If it worked unhindered, the watch would run down in a few minutes. Beauty, holding us in the spell of description and reflection, is like the barrel which checks its movement.

Or we may say that interest is the body of a poetic work, and beauty the soul. In the epic and the drama, interest, as a necessary quality of the action, is the matter; and beauty, the form that requires the matter in order to be visible.

## PSYCHOLOGICAL OBSERVATIONS.

In the moment when a great affliction overtakes us, we are hurt to find that the world about us is unconcerned and goes its own way. As Goethe says in *Tasso*, how easily it leaves us helpless and alone, and continues its course like the sun and the moon and the other gods:

*... die Welt, wie sie so leicht, Uns hilflos, einsam lässt, und ihren Weg, Wie Sonn' und Mond und andre Götter geht.*

Nay more! it is something intolerable that even we ourselves have to go on with the mechanical round of our daily business, and that thousands of our own actions are and must be unaffected by the pain that throbs within us. And so, to restore the harmony between our outward doings and our inward feelings, we storm and shout, and tear our hair, and stamp with pain or rage.

Our temperament is so *despotic* that we are not satisfied unless we draw everything into our own life, and force all the world to sympathise with us. The only way of achieving this would be to win the love of others, so that the afflictions which oppress our own hearts might oppress theirs as well. Since that is attended with some difficulty, we often choose the shorter way, and blab out our burden of woe to people who do not care, and listen with curiosity, but without sympathy, and much oftener with satisfaction.

Speech and the communication of thought, which, in their mutual relations, are always attended by a slight impulse on the part of the will, are almost a physical necessity. Sometimes, however, the lower animals entertain me much more than the average man. For, in the first place, what can such a man say? It is only conceptions, that is, the driest of ideas, that can be communicated by means of words; and what sort of conceptions has the average man to communicate, if he does not merely tell a story or give a report, neither of which makes conversation? The greatest charm of conversation is the mimetic part of it, — the character that is manifested, be it never so little. Take the best of men; how little he can *say* of what goes on within him, since it is only conceptions that are communicable; and yet a conversation with a clever man is one of the greatest of pleasures.

It is not only that ordinary men have little to say, but what intellect they have puts them in the way of concealing and distorting it; and it is the necessity of practising this concealment that gives them such a pitiable



character; so that what they exhibit is not even the little that they have, but a mask and disguise. The lower animals, which have no reason, can conceal nothing; they are altogether *naïve*, and therefore very entertaining, if we have only an eye for the kind of communications which they make. They speak not with words, but with shape and structure, and manner of life, and the things they set about; they express themselves, to an intelligent observer, in a very pleasing and entertaining fashion. It is a varied life that is presented to him, and one that in its manifestation is very different from his own; and yet essentially it is the same. He sees it in its simple form, when reflection is excluded; for with the lower animals life is lived wholly in and for the present moment: it is the present that the animal grasps; it has no care, or at least no conscious care, for the morrow, and no fear of death; and so it is wholly taken up with life and living.

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The conversation among ordinary people, when it does not relate to any special matter of fact, but takes a more general character, mostly consists in hackneyed commonplaces, which they alternately repeat to each other with the utmost complacency.

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Some men can despise any blessing as soon as they cease to possess it; others only when they have obtained it. The latter are the more unhappy, and the nobler, of the two.

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When the aching heart grieves no more over any particular object, but is oppressed by life as a whole, it withdraws, as it were, into itself. There is here a retreat and gradual extinction of the will, whereby the body, which is the manifestation of the will, is slowly but surely undermined; and the individual experiences a steady dissolution of his bonds, — a quiet presentiment of death. Hence the heart which aches has a secret joy of its own; and it is this, I fancy, which the English call “the joy of grief.”

The pain that extends to life as a whole, and loosens our hold on it, is the only pain that is really *tragic*. That which attaches to particular objects is a

will that is broken, but not resigned; it exhibits the struggle and inner contradiction of the will and of life itself; and it is comic, be it never so violent. It is like the pain of the miser at the loss of his hoard. Even though pain of the tragic kind proceeds from a single definite object, it does not remain there; it takes the separate affliction only as a *symbol* of life as a whole, and transfers it thither.

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*Vexation* is the attitude of the individual as intelligence towards the check imposed upon a strong manifestation of the individual as will. There are two ways of avoiding it: either by repressing the violence of the will — in other words, by virtue; or by keeping the intelligence from dwelling upon the check — in other words, by Stoicism.

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To win the favour of a very beautiful woman by one's personality alone is perhaps a greater satisfaction to one's vanity than to anything else; for it is an assurance that one's personality is an equivalent for the person that is treasured and desired and defied above all others. Hence it is that despised love is so great a pang, especially when it is associated with well-founded jealousy.

With this joy and this pain, it is probable that vanity is more largely concerned than the senses, because it is only the things of the mind, and not mere sensuality, that produce such violent convulsions. The lower animals are familiar with lust, but not with the passionate pleasures and pains of love.

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To be suddenly placed in a strange town or country where the manner of life, possibly even the language, is very different from our own, is, at the first moment, like stepping into cold water. We are brought into sudden contact with a new temperature, and we feel a powerful and superior influence from without which affects us uncomfortably. We find ourselves in a strange element, where we cannot move with ease; and, over and above that, we have the feeling that while everything strikes us as strange, we

ourselves strike others in the same way. But as soon as we are a little composed and reconciled to our surroundings, as soon as we have appropriated some of its temperature, we feel an extraordinary sense of satisfaction, as in bathing in cool water; we assimilate ourselves to the new element, and cease to have any necessary pre-occupation with our person. We devote our attention undisturbed to our environment, to which we now feel ourselves superior by being able to view it in an objective and disinterested fashion, instead of being oppressed by it, as before.

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When we are on a journey, and all kinds of remarkable objects press themselves on our attention, the intellectual food which we receive is often so large in amount that we have no time for digestion; and we regret that the impressions which succeed one another so quickly leave no permanent trace. But at bottom it is the same with travelling as with reading. How often do we complain that we cannot remember one thousandth part of what we read! In both cases, however, we may console ourselves with the reflection that the things we see and read make an impression on the mind before they are forgotten, and so contribute to its formation and nurture; while that which we only remember does no more than stuff it and puff it out, filling up its hollows with matter that will always be strange to it, and leaving it in itself a blank.

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It is the very many and varied forms in which human life is presented to us on our travels that make them entertaining. But we never see more than its outside, such as is everywhere open to public view and accessible to strangers. On the other hand, human life on its inside, the heart and centre, where it lives and moves and shows its character, and in particular that part of the inner side which could be seen at home amongst our relatives, is not seen; we have exchanged it for the outer side. This is why on our travels we see the world like a painted landscape, with a very wide horizon, but no foreground; and why, in time, we get tired of it.

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One man is more concerned with the impression which he makes upon the rest of mankind; another, with the impression which the rest of mankind makes upon him. The disposition of the one is subjective; of the other, objective; the one is, in the whole of his existence, more in the nature of an idea which is merely presented; the other, more of the being who presents it.

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A woman (with certain exceptions which need not be mentioned) will not take the first step with a man; for in spite of all the beauty she may have, she risks a refusal. A man may be ill in mind or body, or busy, or gloomy, and so not care for advances; and a refusal would be a blow to her vanity. But as soon as he takes the first step, and helps her over this danger, he stands on a footing of equality with her, and will generally find her quite tractable.

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The praise with which many men speak of their wives is really given to their own judgment in selecting them. This arises, perhaps, from a feeling of the truth of the saying, that a man shows what he is by the way in which he dies, and by the choice of his wife.

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If education or warning were of any avail, how could Seneca's pupil be a Nero?

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The Pythagorean principle that *like is known only by like* is in many respects a true one. It explains how it is that every man understands his fellow only in so far as he resembles him, or, at least, is of a similar character. What one man is quite sure of perceiving in another is that which is common to all, namely, the vulgar, petty or mean elements of our nature; here every man has a perfect understanding of his fellows; but the advantage which one man has over another does not exist for the other, who, be the talents in question as extraordinary as they may, will never see

anything beyond what he possesses himself, for the very good reason that this is all he wants to see. If there is anything on which he is in doubt, it will give him a vague sense of fear, mixed with pique; because it passes his comprehension, and therefore is uncongenial to him.

This is why it is mind alone that understands mind; why works of genius are wholly understood and valued only by a man of genius, and why it must necessarily be a long time before they indirectly attract attention at the hands of the crowd, for whom they will never, in any true sense, exist. This, too, is why one man will look another in the face, with the impudent assurance that he will never see anything but a miserable resemblance of himself; and this is just what he will see, as he cannot grasp anything beyond it. Hence the bold way in which one man will contradict another. Finally, it is for the same reason that great superiority of mind isolates a man, and that those of high gifts keep themselves aloof from the vulgar (and that means every one); for if they mingle with the crowd, they can communicate only such parts of them as they share with the crowd, and so make themselves *common*. Nay, even though they possess some well-founded and authoritative reputation amongst the crowd, they are not long in losing it, together with any personal weight it may give them, since all are blind to the qualities on which it is based, but have their eyes open to anything that is vulgar and common to themselves. They soon discover the truth of the Arabian proverb: *Joke with a slave, and he'll show you his heels*.

It also follows that a man of high gifts, in his intercourse with others, must always reflect that the best part of him is out of sight in the clouds; so that if he desires to know accurately how much he can be to any one else, he has only to consider how much the man in question is to him. This, as a rule, is precious little; and therefore he is as uncongenial to the other, as the other to him.

\* \* \* \* \*

Goethe says somewhere that man is not without a vein of veneration. To satisfy this impulse to venerate, even in those who have no sense for what is really worthy, substitutes are provided in the shape of princes and princely families, nobles, titles, orders, and money-bags.

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Vague longing and boredom are close akin.

\* \* \* \* \*

When a man is dead, we envy him no more; and we only half envy him when he is old.

\* \* \* \* \*

Misanthropy and love of solitude are convertible ideas.

\* \* \* \* \*

In chess, the object of the game, namely, to checkmate one's opponent, is of arbitrary adoption; of the possible means of attaining it, there is a great number; and according as we make a prudent use of them, we arrive at our goal. We enter on the game of our own choice.

Nor is it otherwise with human life, only that here the entrance is not of our choosing, but is forced on us; and the object, which is to live and exist, seems, indeed, at times as though it were of arbitrary adoption, and that we could, if necessary, relinquish it. Nevertheless it is, in the strict sense of the word, a natural object; that is to say, we cannot relinquish it without giving up existence itself. If we regard our existence as the work of some arbitrary power outside us, we must, indeed, admire the cunning by which that creative mind has succeeded in making us place so much value on an object which is only momentary and must of necessity be laid aside very soon, and which we see, moreover, on reflection, to be altogether vanity — in making, I say, this object so dear to us that we eagerly exert all our strength in working at it; although we knew that as soon as the game is over, the object will exist for us no longer, and that, on the whole, we cannot say what it is that makes it so attractive. Nay, it seems to be an object as arbitrarily adopted as that of checkmating our opponent's king; and, nevertheless, we are always intent on the means of attaining it, and think and brood over nothing else. It is clear that the reason of it is that our intellect is only capable of looking outside, and has no power at all of looking within; and,

since this is so, we have come to the conclusion that we must make the best of it.

## ON THE WISDOM OF LIFE: APHORISMS.

The simple Philistine believes that life is something infinite and unconditioned, and tries to look upon it and live it as though it left nothing to be desired. By method and principle the learned Philistine does the same: he believes that his methods and his principles are unconditionally perfect and objectively valid; so that as soon as he has found them, he has nothing to do but apply them to circumstances, and then approve or condemn. But happiness and truth are not to be seized in this fashion. It is phantoms of them alone that are sent to us here, to stir us to action; the average man pursues the shadow of happiness with unwearied labour; and the thinker, the shadow of truth; and both, though phantoms are all they have, possess in them as much as they can grasp. Life is a language in which certain truths are conveyed to us; could we learn them in some other way, we should not live. Thus it is that wise sayings and prudential maxims will never make up for the lack of experience, or be a substitute for life itself. Still they are not to be despised; for they, too, are a part of life; nay, they should be highly esteemed and regarded as the loose pages which others have copied from the book of truth as it is imparted by the spirit of the world. But they are pages which must needs be imperfect, and can never replace the real living voice. Still less can this be so when we reflect that life, or the book of truth, speaks differently to us all; like the apostles who preached at Pentecost, and instructed the multitude, appearing to each man to speak in his own tongue.

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Recognise the truth in yourself, recognise yourself in the truth; and in the same moment you will find, to your astonishment, that the home which you have long been looking for in vain, which has filled your most ardent dreams, is there in its entirety, with every detail of it true, in the very place where you stand. It is there that your heaven touches your earth.

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What makes us almost inevitably ridiculous is our serious way of treating the passing moment, as though it necessarily had all the importance which it



seems to have. It is only a few great minds that are above this weakness, and, instead of being laughed at, have come to laugh themselves.

\* \* \* \* \*

The bright and good moments of our life ought to teach us how to act aright when we are melancholy and dull and stupid, by preserving the memory of their results; and the melancholy, dull, and stupid moments should teach us to be modest when we are bright. For we generally value ourselves according to our best and brightest moments; and those in which we are weak and dull and miserable, we regard as no proper part of us. To remember them will teach us to be modest, humble, and tolerant.

Mark my words once for all, my dear friend, and be clever. Men are entirely self-centred, and incapable of looking at things objectively. If you had a dog and wanted to make him fond of you, and fancied that of your hundred rare and excellent characteristics the mongrel would be sure to perceive one, and that that would be sufficient to make him devoted to you body and soul — if, I say, you fancied that, you would be a fool. Pat him, give him something to eat; and for the rest, be what you please: he will not in the least care, but will be your faithful and devoted dog. Now, believe me, it is just the same with men — exactly the same. As Goethe says, man or dog, it is a miserable wretch:

*Denn ein erbärmlicher Schuft, so wie der Mensch, ist der hund.*

If you ask why these contemptible fellows are so lucky, it is just because, in themselves and for themselves and to themselves, they are nothing at all. The value which they possess is merely comparative; they exist only for others; they are never more than means; they are never an end and object in themselves; they are mere bait, set to catch others. I do not admit that this rule is susceptible of any exception, that is to say, complete exceptions. There are, it is true, men — though they are sufficiently rare — who enjoy some subjective moments; nay, there are perhaps some who for every hundred subjective moments enjoy a few that are objective; but a higher state of perfection scarcely ever occurs. But do not take yourself for an exception: examine your love, your friendship, and consider if your objective judgments are not mostly subjective judgments in disguise; consider if you duly recognise the good qualities of a man who is not fond of you. Then be tolerant: confound it! it's your duty. As you are all so self-

centred, recognise your own weakness. You know that you cannot like a man who does not show himself friendly to you; you know that he cannot do so for any length of time unless he likes you, and that he cannot like you unless you show that you are friendly to him; then do it: your false friendliness will gradually become a true one. Your own weakness and subjectivity must have some illusion.

This is really an *à priori* justification of politeness; but I could give a still deeper reason for it.

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Consider that chance, which, with error, its brother, and folly, its aunt, and malice, its grandmother, rules in this world; which every year and every day, by blows great and small, embitters the life of every son of earth, and yours too; consider, I say, that it is to this wicked power that you owe your prosperity and independence; for it gave you what it refused to many thousands, just to be able to give it to individuals like you. Remembering all this, you will not behave as though you had a right to the possession of its gifts; but you will perceive what a capricious mistress it is that gives you her favours; and therefore when she takes it into her head to deprive you of some or all of them, you will not make a great fuss about her injustice; but you will recognise that what chance gave, chance has taken away; if needs be, you will observe that this power is not quite so favourable to you as she seemed to be hitherto. Why, she might have disposed not only of what she gave you, but also of your honest and hard-earned gains.

But if chance still remains so favourable to you as to give you more than almost all others whose path in life you may care to examine, oh! be happy; do not struggle for the possession of her presents; employ them properly; look upon them as property held from a capricious lord; use them wisely and well.

\* \* \* \* \*

The Aristotelian principle of keeping the mean in all things is ill suited to the moral law for which it was intended; but it may easily be the best general rule of worldly wisdom, the best precept for a happy life. For life is so full of uncertainty; there are on all sides so many discomforts, burdens, sufferings, dangers, that a safe and happy voyage can be accomplished only

by steering carefully through the rocks. As a rule, the fear of the ills we know drive us into the contrary ills; the pain of solitude, for example, drives us into society, and the first society that comes; the discomforts of society drive us into solitude; we exchange a forbidding demeanour for incautious confidence and so on. It is ever the mark of folly to avoid one vice by rushing into its contrary:

*Stulti dum vitant vitia in contraria currunt.*

Or else we think that we shall find satisfaction in something, and spend all our efforts on it; and thereby we omit to provide for the satisfaction of a hundred other wishes which make themselves felt at their own time. One loss and omission follows another, and there is no end to the misery.

[Greek: Maeden agan] and *nil admirari* are, therefore, excellent rules of worldly wisdom.

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We often find that people of great experience are the most frank and cordial in their intercourse with complete strangers, in whom they have no interest whatever. The reason of this is that men of experience know that it is almost impossible for people who stand in any sort of mutual relation to be sincere and open with one another; but that there is always more or less of a strain between them, due to the fact that they are looking after their own interests, whether immediate or remote. They regret the fact, but they know that it is so; hence they leave their own people, rush into the arms of a complete stranger, and in happy confidence open their hearts to him. Thus it is that monks and the like, who have given up the world and are strangers to it, are such good people to turn to for advice.

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It is only by practising mutual restraint and self-denial that we can act and talk with other people; and, therefore, if we have to converse at all, it can only be with a feeling of resignation. For if we seek society, it is because we want fresh impressions: these come from without, and are therefore foreign to ourselves. If a man fails to perceive this, and, when he seeks the society of others, is unwilling to practise resignation, and absolutely refuses to deny himself, nay, demands that others, who are altogether different from himself, shall nevertheless be just what he wants them to be for the

moment, according to the degree of education which he has reached, or according to his intellectual powers or his mood — the man, I say, who does this, is in contradiction with himself. For while he wants some one who shall be different from himself, and wants him just because he is different, for the sake of society and fresh influence, he nevertheless demands that this other individual shall precisely resemble the imaginary creature who accords with his mood, and have no thoughts but those which he has himself.

Women are very liable to subjectivity of this kind; but men are not free from it either.

I observed once to Goethe, in complaining of the illusion and vanity of life, that when a friend is with us we do not think the same of him as when he is away. He replied: "Yes! because the absent friend is yourself, and he exists only in your head; whereas the friend who is present has an individuality of his own, and moves according to laws of his own, which cannot always be in accordance with those which you form for yourself."

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A good supply of resignation is of the first importance in providing for the journey of life. It is a supply which we shall have to extract from disappointed hopes; and the sooner we do it, the better for the rest of the journey.

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How should a man be content so long as he fails to obtain complete unity in his inmost being? For as long as two voices alternately speak in him, what is right for one must be wrong for the other. Thus he is always complaining. But has any man ever been completely at one with himself? Nay, is not the very thought a contradiction?

That a man shall attain this inner unity is the impossible and inconsistent pretension put forward by almost all philosophers. For as a man it is natural to him to be at war with himself as long as he lives. While he can be only one thing thoroughly, he has the disposition to be everything else, and the inalienable possibility of being it. If he has made his choice of one thing, all the other possibilities are always open to him, and are constantly claiming to be realised; and he has therefore to be continuously keeping them back,

and to be overpowering and killing them as long as he wants to be that one thing. For example, if he wants to think only, and not act and do business, the disposition to the latter is not thereby destroyed all at once; but as long as the thinker lives, he has every hour to keep on killing the acting and pushing man that is within him; always battling with himself, as though he were a monster whose head is no sooner struck off than it grows again. In the same way, if he is resolved to be a saint, he must kill himself so far as he is a being that enjoys and is given over to pleasure; for such he remains as long as he lives. It is not once for all that he must kill himself: he must keep on doing it all his life. If he has resolved upon pleasure, whatever be the way in which it is to be obtained, his lifelong struggle is with a being that desires to be pure and free and holy; for the disposition remains, and he has to kill it every hour. And so on in everything, with infinite modifications; it is now one side of him, and now the other, that conquers; he himself is the battlefield. If one side of him is continually conquering, the other is continually struggling; for its life is bound up with his own, and, as a man, he is the possibility of many contradictions.

How is inner unity even possible under such circumstances? It exists neither in the saint nor in the sinner; or rather, the truth is that no man is wholly one or the other. For it is *men* they have to be; that is, luckless beings, fighters and gladiators in the arena of life.

To be sure, the best thing he can do is to recognise which part of him smarts the most under defeat, and let it always gain the victory. This he will always be able to do by the use of his reason, which is an ever-present fund of ideas. Let him resolve of his own free will to undergo the pain which the defeat of the other part involves. This is *character*. For the battle of life cannot be waged free from all pain; it cannot come to an end without bloodshed; and in any case a man must suffer pain, for he is the conquered as well as the conqueror. *Haec est vivendi conditio.*

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The clever man, when he converses, will think less of what he is saying than of the person with whom he is speaking; for then he is sure to say nothing which he will afterwards regret; he is sure not to lay himself open, nor to commit an indiscretion. But his conversation will never be particularly interesting.

An intellectual man readily does the opposite, and with him the person with whom he converses is often no more than the mere occasion of a monologue; and it often happens that the other then makes up for his subordinate *rôle* by lying in wait for the man of intellect, and drawing his secrets out of him.

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Nothing betrays less knowledge of humanity than to suppose that, if a man has a great many friends, it is a proof of merit and intrinsic value: as though men gave their friendship according to value and merit! as though they were not, rather, just like dogs, which love the person that pats them and gives them bits of meat, and never trouble themselves about anything else! The man who understands how to pat his fellows best, though they be the nastiest brutes, — that's the man who has many friends.

It is the converse that is true. Men of great intellectual worth, or, still more, men of genius, can have only very few friends; for their clear eye soon discovers all defects, and their sense of rectitude is always being outraged afresh by the extent and the horror of them. It is only extreme necessity that can compel such men not to betray their feelings, or even to stroke the defects as if they were beautiful additions. Personal love (for we are not speaking of the reverence which is gained by authority) cannot be won by a man of genius, unless the gods have endowed him with an indestructible cheerfulness of temper, a glance that makes the world look beautiful, or unless he has succeeded by degrees in taking men exactly as they are; that is to say, in making a fool of the fools, as is right and proper. On the heights we must expect to be solitary.

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Our constant discontent is for the most part rooted in the impulse of self-preservation. This passes into a kind of selfishness, and makes a duty out of the maxim that we should always fix our minds upon what we lack, so that we may endeavour to procure it. Thus it is that we are always intent on finding out what we want, and on thinking of it; but that maxim allows us to overlook undisturbed the things which we already possess; and so, as soon as we have obtained anything, we give it much less attention than before. We seldom think of what we have, but always of what we lack.

This maxim of egoism, which has, indeed, its advantages in procuring the means to the end in view, itself concurrently destroys the ultimate end, namely, contentment; like the bear in the fable that throws a stone at the hermit to kill the fly on his nose. We ought to wait until need and privation announce themselves, instead of looking for them. Minds that are naturally content do this, while hypochondrists do the reverse.

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A man's nature is in harmony with itself when he desires to be nothing but what he is; that is to say, when he has attained by experience a knowledge of his strength and of his weakness, and makes use of the one and conceals the other, instead of playing with false coin, and trying to show a strength which he does not possess. It is a harmony which produces an agreeable and rational character; and for the simple reason that everything which makes the man and gives him his mental and physical qualities is nothing but the manifestation of his will; is, in fact, what he *wills*. Therefore it is the greatest of all inconsistencies to wish to be other than we are.

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People of a strange and curious temperament can be happy only under strange circumstances, such as suit their nature, in the same way as ordinary circumstances suit the ordinary man; and such circumstances can arise only if, in some extraordinary way, they happen to meet with strange people of a character different indeed, but still exactly suited to their own. That is why men of rare or strange qualities are seldom happy.

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All this pleasure is derived from the use and consciousness of power; and the greatest of pains that a man can feel is to perceive that his powers fail just when he wants to use them. Therefore it will be advantageous for every man to discover what powers he possesses, and what powers he lacks. Let him, then, develop the powers in which he is pre-eminent, and make a strong use of them; let him pursue the path where they will avail him; and even though he has to conquer his inclinations, let him avoid the path where such powers are requisite as he possesses only in a low degree. In this way

he will often have a pleasant consciousness of strength, and seldom a painful consciousness of weakness; and it will go well with him. But if he lets himself be drawn into efforts demanding a kind of strength quite different from that in which he is pre-eminent, he will experience humiliation; and this is perhaps the most painful feeling with which a man can be afflicted.

Yet there are two sides to everything. The man who has insufficient self-confidence in a sphere where he has little power, and is never ready to make a venture, will on the one hand not even learn how to use the little power that he has; and on the other, in a sphere in which he would at least be able to achieve something, there will be a complete absence of effort, and consequently of pleasure. This is always hard to bear; for a man can never draw a complete blank in any department of human welfare without feeling some pain.

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As a child, one has no conception of the inexorable character of the laws of nature, and of the stubborn way in which everything persists in remaining what it is. The child believes that even lifeless things are disposed to yield to it; perhaps because it feels itself one with nature, or, from mere unacquaintance with the world, believes that nature is disposed to be friendly. Thus it was that when I was a child, and had thrown my shoe into a large vessel full of milk, I was discovered entreating the shoe to jump out. Nor is a child on its guard against animals until it learns that they are ill-natured and spiteful. But not before we have gained mature experience do we recognise that human character is unalterable; that no entreaty, or representation, or example, or benefit, will bring a man to give up his ways; but that, on the contrary, every man is compelled to follow his own mode of acting and thinking, with the necessity of a law of nature; and that, however we take him, he always remains the same. It is only after we have obtained a clear and profound knowledge of this fact that we give up trying to persuade people, or to alter them and bring them round to our way of thinking. We try to accommodate ourselves to theirs instead, so far as they are indispensable to us, and to keep away from them so far as we cannot possibly agree.



Ultimately we come to perceive that even in matters of mere intellect — although its laws are the same for all, and the subject as opposed to the object of thought does not really enter into individuality — there is, nevertheless, no certainty that the whole truth of any matter can be communicated to any one, or that any one can be persuaded or compelled to assent to it; because, as Bacon says, *intellectus humanus luminis sicci non est*: the light of the human intellect is coloured by interest and passion.

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It is just because *all happiness is of a negative character* that, when we succeed in being perfectly at our ease, we are not properly conscious of it. Everything seems to pass us softly and gently, and hardly to touch us until the moment is over; and then it is the positive feeling of something lacking that tells us of the happiness which has vanished; it is then that we observe that we have failed to hold it fast, and we suffer the pangs of self-reproach as well as of privation.

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Every happiness that a man enjoys, and almost every friendship that he cherishes, rest upon illusion; for, as a rule, with increase of knowledge they are bound to vanish. Nevertheless, here as elsewhere, a man should courageously pursue truth, and never weary of striving to settle accounts with himself and the world. No matter what happens to the right or to the left of him, — be it a chimaera or fancy that makes him happy, let him take heart and go on, with no fear of the desert which widens to his view. Of one thing only must he be quite certain: that under no circumstances will he discover any lack of worth in himself when the veil is raised; the sight of it would be the Gorgon that would kill him. Therefore, if he wants to remain undeceived, let him in his inmost being feel his own worth. For to feel the lack of it is not merely the greatest, but also the only true affliction; all other sufferings of the mind may not only be healed, but may be immediately relieved, by the secure consciousness of worth. The man who is assured of it can sit down quietly under sufferings that would otherwise bring him to despair; and though he has no pleasures, no joys and no friends, he can rest in and on himself; so powerful is the comfort to be derived from a vivid

consciousness of this advantage; a comfort to be preferred to every other earthly blessing. Contrarily, nothing in the world can relieve a man who knows his own worthlessness; all that he can do is to conceal it by deceiving people or deafening them with his noise; but neither expedient will serve him very long.

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We must always try to preserve large views. If we are arrested by details we shall get confused, and see things awry. The success or the failure of the moment, and the impression that they make, should count for nothing.

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How difficult it is to learn to understand oneself, and clearly to recognise what it is that one wants before anything else; what it is, therefore, that is most immediately necessary to our happiness; then what comes next; and what takes the third and the fourth place, and so on.

Yet, without this knowledge, our life is planless, like a captain without a compass.

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The sublime melancholy which leads us to cherish a lively conviction of the worthlessness of everything of all pleasures and of all mankind, and therefore to long for nothing, but to feel that life is merely a burden which must be borne to an end that cannot be very distant, is a much happier state of mind than any condition of desire, which, be it never so cheerful, would have us place a value on the illusions of the world, and strive to attain them.

This is a fact which we learn from experience; and it is clear, *à priori*, that one of these is a condition of illusion, and the other of knowledge.

Whether it is better to marry or not to marry is a question which in very many cases amounts to this: Are the cares of love more endurable than the anxieties of a livelihood?

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Marriage is a trap which nature sets for us.

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Poets and philosophers who are married men incur by that very fact the suspicion that they are looking to their own welfare, and not to the interests of science and art.

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Habit is everything. Hence to be calm and unruffled is merely to anticipate a habit; and it is a great advantage not to need to form it.

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“Personality is the element of the greatest happiness.” Since *pain* and *boredom* are the two chief enemies of human happiness, nature has provided our personality with a protection against both. We can ward off pain, which is more often of the mind than of the body, by *cheerfulness*; and boredom by *intelligence*. But neither of these is akin to the other; nay, in any high degree they are perhaps incompatible. As Aristotle remarks, genius is allied to melancholy; and people of very cheerful disposition are only intelligent on the surface. The better, therefore, anyone is by nature armed against one of these evils, the worse, as a rule, is he armed against the other.

There is no human life that is free from pain and boredom; and it is a special favour on the part of fate if a man is chiefly exposed to the evil against which nature has armed him the better; if fate, that is, sends a great deal of pain where there is a very cheerful temper in which to bear it, and much leisure where there is much intelligence, but not *vice versâ*. For if a man is intelligent, he feels pain doubly or trebly; and a cheerful but unintellectual temper finds solitude and unoccupied leisure altogether unendurable.

\* \* \* \* \*

In the sphere of thought, absurdity and perversity remain the masters of this world, and their dominion is suspended only for brief periods. Nor is it otherwise in art; for there genuine work, seldom found and still more

seldom appreciated, is again and again driven out by dullness, insipidity, and affectation.

It is just the same in the sphere of action. Most men, says Bias, are bad. Virtue is a stranger in this world; and boundless egoism, cunning and malice, are always the order of the day. It is wrong to deceive the young on this point, for it will only make them feel later on that their teachers were the first to deceive them. If the object is to render the pupil a better man by telling him that others are excellent, it fails; and it would be more to the purpose to say: Most men are bad, it is for you to be better. In this way he would, at least, be sent out into the world armed with a shrewd foresight, instead of having to be convinced by bitter experience that his teachers were wrong.

All ignorance is dangerous, and most errors must be dearly paid. And good luck must he have that carries unchastised an error in his head unto his death.

\* \* \* \* \*

Every piece of success has a doubly beneficial effect upon us when, apart from the special and material advantage which it brings it is accompanied by the enlivening assurance that the world, fate, or the daemon within, does not mean so badly with us, nor is so opposed to our prosperity as we had fancied; when, in fine, it restores our courage to live.

Similarly, every misfortune or defeat has, in the contrary sense, an effect that is doubly depressing.

\* \* \* \* \*

If we were not all of us exaggeratedly interested in ourselves, life would be so uninteresting that no one could endure it.

\* \* \* \* \*

Everywhere in the world, and under all circumstances, it is only by force that anything can be done; but power is mostly in bad hands, because baseness is everywhere in a fearful majority.

\* \* \* \* \*

Why should it be folly to be always intent on getting the greatest possible enjoyment out of the moment, which is our only sure possession? Our whole life is no more than a magnified present, and in itself as fleeting.

\* \* \* \* \*

As a consequence of his individuality and the position in which he is placed, everyone without exception lives in a certain state of limitation, both as regards his ideas and the opinions which he forms. Another man is also limited, though not in the same way; but should he succeed in comprehending the other's limitation he can confuse and abash him, and put him to shame, by making him feel what his limitation is, even though the other be far and away his superior. Shrewd people often employ this circumstance to obtain a false and momentary advantage.

\* \* \* \* \*

The only genuine superiority is that of the mind and character; all other kinds are fictitious, affected, false; and it is good to make them feel that it is so when they try to show off before the superiority that is true.

\* \* \* \* \*

*All the world's a stage, And all the men and women merely players.*

Exactly! Independently of what a man really is in himself, he has a part to play, which fate has imposed upon him from without, by determining his rank, education, and circumstances. The most immediate application of this truth appears to me to be that in life, as on the stage, we must distinguish between the actor and his part; distinguish, that is, the man in himself from his position and reputation — from the part which rank and circumstances have imposed upon him. How often it is that the worst actor plays the king, and the best the beggar! This may happen in life, too; and a man must be very *crude* to confuse the actor with his part.

\* \* \* \* \*

Our life is so poor that none of the treasures of the world can make it rich; for the sources of enjoyment are soon found to be all very scanty, and it is

in vain that we look for one that will always flow. Therefore, as regards our own welfare, there are only two ways in which we can use wealth. We can either spend it in ostentatious pomp, and feed on the cheap respect which our imaginary glory will bring us from the infatuated crowd; or, by avoiding all expenditure that will do us no good, we can let our wealth grow, so that we may have a bulwark against misfortune and want that shall be stronger and better every day; in view of the fact that life, though it has few delights, is rich in evils.

\* \* \* \* \*

It is just because our real and inmost being is *will* that it is only by its exercise that we can attain a vivid consciousness of existence, although this is almost always attended by pain. Hence it is that existence is essentially painful, and that many persons for whose wants full provision is made arrange their day in accordance with extremely regular, monotonous, and definite habits. By this means they avoid all the pain which the movement of the will produces; but, on the other hand, their whole existence becomes a series of scenes and pictures that mean nothing. They are hardly aware that they exist. Nevertheless, it is the best way of settling accounts with life, so long as there is sufficient change to prevent an excessive feeling of boredom. It is much better still if the Muses give a man some worthy occupation, so that the pictures which fill his consciousness have some meaning, and yet not a meaning that can be brought into any relation with his will.

\* \* \* \* \*

A man is *wise* only on condition of living in a world full of fools.

## GENIUS AND VIRTUE.

When I think, it is the spirit of the world which is striving to express its thought; it is nature which is trying to know and fathom itself. It is not the thoughts of some other mind, which I am endeavouring to trace; but it is I who transform that which exists into something which is known and thought, and would otherwise neither come into being nor continue in it.

In the realm of physics it was held for thousands of years to be a fact beyond question that water was a simple and consequently an original element. In the same way in the realm of metaphysics it was held for a still longer period that the *ego* was a simple and consequently an indestructible entity. I have shown, however, that it is composed of two heterogeneous parts, namely, the *Will*, which is metaphysical in its character, a thing in itself, and the *knowing subject*, which is physical and a mere phenomenon.

Let me illustrate what I mean. Take any large, massive, heavy building: this hard, ponderous body that fills so much space exists, I tell you, only in the soft pulp of the brain. There alone, in the human brain, has it any being. Unless you understand this, you can go no further.

Truly it is the world itself that is a miracle; the world of material bodies. I looked at two of them. Both were heavy, symmetrical, and beautiful. One was a jasper vase with golden rim and golden handles; the other was an organism, an animal, a man. When I had sufficiently admired their exterior, I asked my attendant genius to allow me to examine the inside of them; and I did so. In the vase I found nothing but the force of gravity and a certain obscure desire, which took the form of chemical affinity. But when I entered into the other — how shall I express my astonishment at what I saw? It is more incredible than all the fairy tales and fables that were ever conceived. Nevertheless, I shall try to describe it, even at the risk of finding no credence for my tale.

In this second thing, or rather in the upper end of it, called the head, which on its exterior side looks like anything else — a body in space, heavy, and so on — I found no less an object than the whole world itself, together with the whole of the space in which all of it exists, and the whole of the time in which all of it moves, and finally everything that fills both time and space in all its variegated and infinite character; nay, strangest sight of all, I found myself walking about in it! It was no picture that I saw;

it was no peep-show, but reality itself. This it is that is really and truly to be found in a thing which is no bigger than a cabbage, and which, on occasion, an executioner might strike off at a blow, and suddenly smother that world in darkness and night. The world, I say, would vanish, did not heads grow like mushrooms, and were there not always plenty of them ready to snatch it up as it is sinking down into nothing, and keep it going like a ball. This world is an idea which they all have in common, and they express the community of their thought by the word "objectivity."

In the face of this vision I felt as if I were Ardschuna when Krishna appeared to him in his true majesty, with his hundred thousand arms and eyes and mouths.

When I see a wide landscape, and realise that it arises by the operation of the functions of my brain, that is to say, of time, space, and causality, on certain spots which have gathered on my retina, I feel that I carry it within me. I have an extraordinarily clear consciousness of the identity of my own being with that of the external world.

Nothing provides so vivid an illustration of this identity as a *dream*. For in a dream other people appear to be totally distinct from us, and to possess the most perfect objectivity, and a nature which is quite different from ours, and which often puzzles, surprises, astonishes, or terrifies us; and yet it is all our own self. It is even so with the will, which sustains the whole of the external world and gives it life; it is the same will that is in ourselves, and it is there alone that we are immediately conscious of it. But it is the intellect, in ourselves and in others, which makes all these miracles possible; for it is the intellect which everywhere divides actual being into subject and object; it is a hall of phantasmagorical mystery, inexpressibly marvellous, incomparably magical.

The difference in degree of mental power which sets so wide a gulf between the genius and the ordinary mortal rests, it is true, upon nothing else than a more or less perfect development of the cerebral system. But it is this very difference which is so important, because the whole of the real world in which we live and move possesses an existence only in relation to this cerebral system. Accordingly, the difference between a genius and an ordinary man is a total diversity of world and existence. The difference between man and the lower animals may be similarly explained.

When Momus was said to ask for a window in the breast, it was an allegorical joke, and we cannot even imagine such a contrivance to be a



possibility; but it would be quite possible to imagine that the skull and its integuments were transparent, and then, good heavens! what differences should we see in the size, the form, the quality, the movement of the brain! what degrees of value! A great mind would inspire as much respect at first sight as three stars on a man's breast, and what a miserable figure would be cut by many a one who wore them!

Men of genius and intellect, and all those whose mental and theoretical qualities are far more developed than their moral and practical qualities — men, in a word, who have more mind than character — are often not only awkward and ridiculous in matters of daily life, as has been observed by Plato in the seventh book of the *Republic*, and portrayed by Goethe in his *Tasso*; but they are often, from a moral point of view, weak and contemptible creatures as well; nay, they might almost be called bad men. Of this Rousseau has given us genuine examples. Nevertheless, that better consciousness which is the source of all virtue is often stronger in them than in many of those whose actions are nobler than their thoughts; nay, it may be said that those who think nobly have a better acquaintance with virtue, while the others make a better practice of it. Full of zeal for the good and for the beautiful, they would fain fly up to heaven in a straight line; but the grosser elements of this earth oppose their flight, and they sink back again. They are like born artists, who have no knowledge of technique, or find that the marble is too hard for their fingers. Many a man who has much less enthusiasm for the good, and a far shallower acquaintance with its depths, makes a better thing of it in practice; he looks down upon the noble thinkers with contempt, and he has a right to do it; nevertheless, he does not understand them, and they despise him in their turn, and not unjustly. They are to blame; for every living man has, by the fact of his living, signed the conditions of life; but they are still more to be pitied. They achieve their redemption, not on the way of virtue, but on a path of their own; and they are saved, not by works, but by faith.

Men of no genius whatever cannot bear solitude: they take no pleasure in the contemplation of nature and the world. This arises from the fact that they never lose sight of their own will, and therefore they see nothing of the objects of the world but the bearing of such objects upon their will and person. With objects which have no such bearing there sounds within them a constant note: *It is nothing to me*, which is the fundamental base in all their music. Thus all things seem to them to wear a bleak, gloomy, strange,

hostile aspect. It is only for their will that they seem to have any perceptive faculties at all; and it is, in fact, only a moral and not a theoretical tendency, only a moral and not an intellectual value, that their life possesses. The lower animals bend their heads to the ground, because all that they want to see is what touches their welfare, and they can never come to contemplate things from a really objective point of view. It is very seldom that unintellectual men make a true use of their erect position, and then it is only when they are moved by some intellectual influence outside them.

The man of intellect or genius, on the other hand, has more of the character of the eternal subject that knows, than of the finite subject that wills; his knowledge is not quite engrossed and captivated by his will, but passes beyond it; he is the son, *not of the bondwoman, but of the free*. It is not only a moral but also a theoretical tendency that is evinced in his life; nay, it might perhaps be said that to a certain extent he is beyond morality. Of great villainy he is totally incapable; and his conscience is less oppressed by ordinary sin than the conscience of the ordinary man, because life, as it were, is a game, and he sees through it.

The relation between *genius* and *virtue* is determined by the following considerations. Vice is an impulse of the will so violent in its demands that it affirms its own life by denying the life of others. The only kind of knowledge that is useful to the will is the knowledge that a given effect is produced by a certain cause. Genius itself is a kind of knowledge, namely, of ideas; and it is a knowledge which is unconcerned with any principle of causation. The man who is devoted to knowledge of this character is not employed in the business of the will. Nay, every man who is devoted to the purely objective contemplation of the world (and it is this that is meant by the knowledge of ideas) completely loses sight of his will and its objects, and pays no further regard to the interests of his own person, but becomes a pure intelligence free of any admixture of will.

Where, then, devotion to the intellect predominates over concern for the will and its objects, it shows that the man's will is not the principal element in his being, but that in proportion to his intelligence it is weak. Violent desire, which is the root of all vice, never allows a man to arrive at the pure and disinterested contemplation of the world, free from any relation to the will, such as constitutes the quality of genius; but here the intelligence remains the constant slave of the will.

Since genius consists in the perception of ideas, and men of genius *contemplate* their object, it may be said that it is only the eye which is any real evidence of genius. For the contemplative gaze has something steady and vivid about it; and with the eye of genius it is often the case, as with Goethe, that the white membrane over the pupil is visible. With violent, passionate men the same thing may also happen, but it arises from a different cause, and may be easily distinguished by the fact that the eyes roll. Men of no genius at all have no interest in the idea expressed by an object, but only in the relations in which that object stands to others, and finally to their own person. Thus it is that they never indulge in contemplation, or are soon done with it, and rarely fix their eyes long upon any object; and so their eyes do not wear the mark of genius which I have described. Nay, the regular Philistine does the direct opposite of contemplating — he spies. If he looks at anything it is to pry into it; as may be specially observed when he screws up his eyes, which he frequently does, in order to see the clearer. Certainly, no real man of genius ever does this, at least habitually, even though he is short-sighted.

What I have said will sufficiently illustrate the conflict between genius and vice. It may be, however, nay, it is often the case, that genius is attended by a strong will; and as little as men of genius were ever consummate rascals, were they ever perhaps perfect saints either.

Let me explain. Virtue is not exactly a positive weakness of the will; it is, rather, an intentional restraint imposed upon its violence through a knowledge of it in its inmost being as manifested in the world. This knowledge of the world, the inmost being of which is communicable only in *ideas*, is common both to the genius and to the saint. The distinction between the two is that the genius reveals his knowledge by rendering it in some form of his own choice, and the product is Art. For this the saint, as such, possesses no direct faculty; he makes an immediate application of his knowledge to his own will, which is thus led into a denial of the world. With the saint knowledge is only a means to an end, whereas the genius remains at the stage of knowledge, and has his pleasure in it, and reveals it by rendering what he knows in his art.

In the hierarchy of physical organisation, strength of will is attended by a corresponding growth in the intelligent faculties. A high degree of knowledge, such as exists in the genius, presupposes a powerful will, though, at the same time, a will that is subordinate to the intellect. In other

words, both the intellect and the will are strong, but the intellect is the stronger of the two. Unless, as happens in the case of the saint, the intellect is at once applied to the will, or, as in the case of the artist, it finds its pleasures in a reproduction of itself, the will remains untamed. Any strength that it may lose is due to the predominance of pure objective intelligence which is concerned with the contemplation of ideas, and is not, as in the case of the common or the bad man, wholly occupied with the objects of the will. In the interval, when the genius is no longer engaged in the contemplation of ideas, and his intelligence is again applied to the will and its objects, the will is re-awakened in all its strength. Thus it is that men of genius often have very violent desires, and are addicted to sensual pleasure and to anger. Great crimes, however, they do not commit; because, when the opportunity of them offers, they recognise their idea, and see it very vividly and clearly. Their intelligence is thus directed to the idea, and so gains the predominance over the will, and turns its course, as with the saint; and the crime is uncommitted.

The genius, then, always participates to some degree in the characteristics of the saint, as he is a man of the same qualification; and, contrarily, the saint always participates to some degree in the characteristics of the genius.

The good-natured character, which is common, is to be distinguished from the saintly by the fact that it consists in a weakness of will, with a somewhat less marked weakness of intellect. A lower degree of the knowledge of the world as revealed in ideas here suffices to check and control a will that is weak in itself. Genius and sanctity are far removed from good-nature, which is essentially weak in all its manifestations.

Apart from all that I have said, so much at least is clear. What appears under the forms of time, space, and casuality, and vanishes again, and in reality is nothing, and reveals its nothingness by death — this vicious and fatal appearance is the will. But what does not appear, and is no phenomenon, but rather the noumenon; what makes appearance possible; what is not subject to the principle of causation, and therefore has no vain or vanishing existence, but abides for ever unchanged in the midst of a world full of suffering, like a ray of light in a storm, — free, therefore, from all pain and fatality, — this, I say, is the intelligence. The man who is more intelligence than will, is thereby delivered, in respect of the greatest part of him, from nothingness and death; and such a man is in his nature a genius.

By the very fact that he lives and works, the man who is endowed with genius makes an entire sacrifice of himself in the interests of everyone. Accordingly, he is free from the obligation to make a particular sacrifice for individuals; and thus he can refuse many demands which others are rightly required to meet. He suffers and achieves more than all the others.

The spring which moves the genius to elaborate his works is not fame, for that is too uncertain a quality, and when it is seen at close quarters, of little worth. No amount of fame will make up for the labour of attaining it:

*Nulla est fama tuum par oequiparare laborem.*

Nor is it the delight that a man has in his work; for that too is outweighed by the effort which he has to make. It is, rather, an instinct *sui generis*; in virtue of which the genius is driven to express what he sees and feels in some permanent shape, without being conscious of any further motive.

It is manifest that in so far as it leads an individual to sacrifice himself for his species, and to live more in the species than in himself, this impulse is possessed of a certain resemblance with such modifications of the sexual impulse as are peculiar to man. The modifications to which I refer are those that confine this impulse to certain individuals of the other sex, whereby the interests of the species are attained. The individuals who are actively affected by this impulse may be said to sacrifice themselves for the species, by their passion for each other, and the disadvantageous conditions thereby imposed upon them, — in a word, by the institution of marriage. They may be said to be serving the interests of the species rather than the interests of the individual.

The instinct of the genius does, in a higher fashion, for the idea, what passionate love does for the will. In both cases there are peculiar pleasures and peculiar pains reserved for the individuals who in this way serve the interests of the species; and they live in a state of enhanced power.

The genius who decides once for all to live for the interests of the species in the way which he chooses is neither fitted nor called upon to do it in the other. It is a curious fact that the perpetuation of a man's name is effected in both ways.

In music the finest compositions are the most difficult to understand. They are only for the trained intelligence. They consist of long movements, where it is only after a labyrinthine maze that the fundamental note is recovered. It is just so with genius; it is only after a course of struggle, and doubt, and error, and much reflection and vacillation, that great minds attain

their equilibrium. It is the longest pendulum that makes the greatest swing. Little minds soon come to terms with themselves and the world, and then fossilise; but the others flourish, and are always alive and in motion.

The essence of genius is a measure of intellectual power far beyond that which is required to serve the individual's will. But it is a measure of a merely relative character, and it may be reached by lowering the degree of the will, as well as by raising that of the intellect. There are men whose intellect predominates over their will, and are yet not possessed of genius in any proper sense. Their intellectual powers do, indeed, exceed the ordinary, though not to any great extent, but their will is weak. They have no violent desires; and therefore they are more concerned with mere knowledge than with the satisfaction of any aims. Such men possess talent; they are intelligent, and at the same time very contented and cheerful.

A clear, cheerful and reasonable mind, such as brings a man happiness, is dependent on the relation established between his intellect and his will — a relation in which the intellect is predominant. But genius and a great mind depend on the relation between a man's intellect and that of other people — a relation in which his intellect must exceed theirs, and at the same time his will may also be proportionately stronger. That is the reason why genius and happiness need not necessarily exist together.

When the individual is distraught by cares or pleasantries, or tortured by the violence of his wishes and desires, the genius in him is enchained and cannot move. It is only when care and desire are silent that the air is free enough for genius to live in it. It is then that the bonds of matter are cast aside, and the pure spirit — the pure, knowing subject — remains. Hence, if a man has any genius, let him guard himself from pain, keep care at a distance, and limit his desires; but those of them which he cannot suppress let him satisfy to the full. This is the only way in which he will make the best use of his rare existence, to his own pleasure and the world's profit.

To fight with need and care or desires, the satisfaction of which is refused and forbidden, is good enough work for those who, were they free of would have to fight with boredom, and so take to bad practices; but not for the man whose time, if well used, will bear fruit for centuries to come. As Diderot says, he is not merely a moral being.

Mechanical laws do not apply in the sphere of chemistry, nor do chemical laws in the sphere in which organic life is kindled. In the same

way, the rules which avail for ordinary men will not do for the exceptions, nor will their pleasures either.

It is a persistent, uninterrupted activity that constitutes the superior mind. The object to which this activity is directed is a matter of subordinate importance; it has no essential bearing on the superiority in question, but only on the individual who possesses it. All that education can do is to determine the direction which this activity shall take; and that is the reason why a man's nature is so much more important than his education. For education is to natural faculty what a wax nose is to a real one; or what the moon and the planets are to the sun. In virtue of his education a man says, not what he thinks himself, but what others have thought and he has learned as a matter of training; and what he does is not what he wants, but what he has been accustomed to do.

The lower animals perform many intelligent functions much better than man; for instance, the finding of their way back to the place from which they came, the recognition of individuals, and so on. In the same way, there are many occasions in real life to which the genius is incomparably less equal and fitted than the ordinary man. Nay more: just as animals never commit a folly in the strict sense of the word, so the average man is not exposed to folly in the same degree as the genius.

The average man is wholly relegated to the sphere of *being*; the genius, on the other hand, lives and moves chiefly in the sphere of *knowledge*. This gives rise to a twofold distinction. In the first place, a man can be one thing only, but he may *know* countless things, and thereby, to some extent, identify himself with them, by participating in what Spinoza calls their *esse objectivum*. In the second place, the world, as I have elsewhere observed, is fine enough in appearance, but in reality dreadful; for torment is the condition of all life.

It follows from the first of these distinctions that the life of the average man is essentially one of the greatest boredom; and thus we see the rich warring against boredom with as much effort and as little respite as fall to the poor in their struggle with need and adversity. And from the second of them it follows that the life of the average man is overspread with a dull, turbid, uniform gravity; whilst the brow of genius glows with mirth of a unique character, which, although he has sorrows of his own more poignant than those of the average man, nevertheless breaks out afresh, like the sun through clouds. It is when the genius is overtaken by an affliction which

affects others as well as himself, that this quality in him is most in evidence; for then he is seen to be like man, who alone can laugh, in comparison with the beast of the field, which lives out its life grave and dull.

It is the curse of the genius that in the same measure in which others think him great and worthy of admiration, he thinks them small and miserable creatures. His whole life long he has to suppress this opinion; and, as a rule, they suppress theirs as well. Meanwhile, he is condemned to live in a bleak world, where he meets no equal, as it were an island where there are no inhabitants but monkeys and parrots. Moreover, he is always troubled by the illusion that from a distance a monkey looks like a man.

Vulgar people take a huge delight in the faults and follies of great men; and great men are equally annoyed at being thus reminded of their kinship with them.

The real dignity of a man of genius or great intellect, the trait which raises him over others and makes him worthy of respect, is at bottom the fact, that the only unsullied and innocent part of human nature, namely, the intellect, has the upper hand in him? and prevails; whereas, in the other there is nothing but sinful will, and just as much intellect as is requisite for guiding his steps, — rarely any more, very often somewhat less, — and of what use is it?

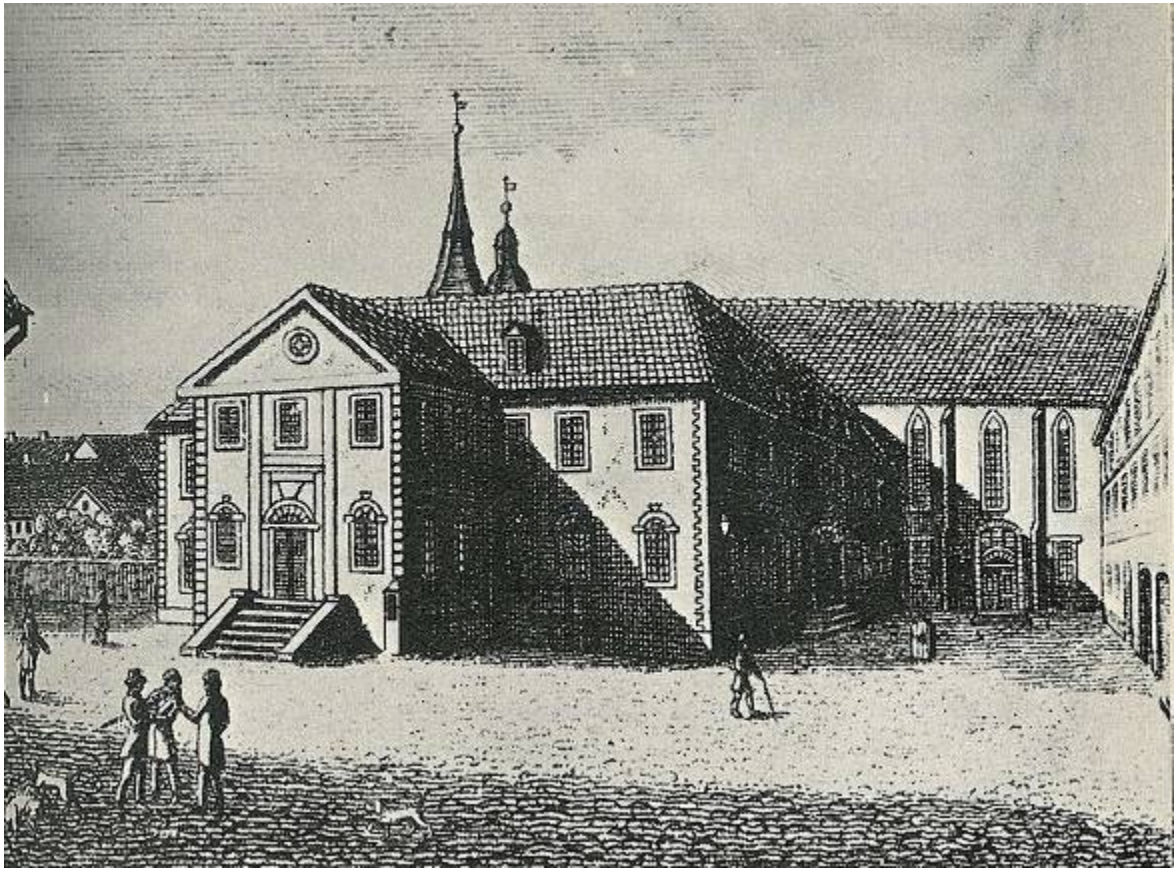
It seems to me that genius might have its root in a certain perfection and vividness of the memory as it stretches back over the events of past life. For it is only by dint of memory, which makes our life in the strict sense a complete whole, that we attain a more profound and comprehensive understanding of it.



# The Essays



*In 1809 Schopenhauer became a student at the University of Göttingen, where he studied metaphysics and psychology under Gottlob Ernst Schulze, the author of 'Aenesidemus', who advised him to concentrate on Plato and Immanuel Kant.*



*The old building of the university and its library in 1815*

# LIST OF ESSAYS IN ALPHABETICAL ORDER



A FEW PARABLES.  
A FEW WORDS ON PANTHEISM.  
CHARACTER.  
COUNSELS AND MAXIMS  
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WISDOM OF LIFE



# The Biographies



*Arthur Schopenhauer by Ludwig Sigismund Ruhl, 1815*

# SCHOPENHAUER by Thomas Whittaker



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## CHAPTER I. LIFE AND WRITINGS

Arthur Schopenhauer may be distinctively described as the greatest philosophic writer of his century. So evident is this that he has sometimes been regarded as having more importance in literature than in philosophy; but this is an error. As a metaphysician he is second to no one since Kant. Others of his age have surpassed him in system and in comprehensiveness; but no one has had a firmer grasp of the essential and fundamental problems of philosophy. On the theory of knowledge, the nature of reality, and the meaning of the beautiful and the good, he has solutions to offer that are all results of a characteristic and original way of thinking.

In one respect, as critics have noted, his spirit is different from that of European philosophy in general. What preoccupies him in a special way is the question of evil in the world. Like the philosophies of the East, emerging as they do without break from religion, Schopenhauer's philosophy is in its outcome a doctrine of redemption from sin. The name of pessimism commonly applied to it is in some respects misleading, though it was his own term; but it is correct if understood as he explained it. As he was accustomed to insist, his final ethical doctrine coincides with that of all the religions that aim, for their adepts or their elect, at deliverance from 'this evil world.' But, as the 'world-fleeing' religions have their mitigations and accommodations, so also has the philosophy of Schopenhauer. At various points indeed it seems as if a mere change of accent would turn it into optimism.

This preoccupation does not mean indifference to the theoretical problems of philosophy. No one has insisted more strongly that the end of philosophy is pure truth, and that only the few who care about pure truth have any concern with it. But for Schopenhauer the desire for speculative truth does not by itself suffice to explain the impulse of philosophical inquiries. On one side of his complex character, he had more resemblance to the men who turn from the world to religion, like St. Augustine, than to the normal type of European thinker, represented pre-eminently by Aristotle. He was a temperamental pessimist, feeling from the first the trouble of existence; and here he finds the deepest motive for the desire to become clear about it. He saw in the world, what he felt in himself, a vain effort after ever new objects of desire which give no permanent satisfaction;

and this view, becoming predominant, determined, not indeed all the ideas of his philosophy, but its general complexion as a 'philosophy of redemption.'

With his pessimism, personal misfortunes had nothing to do. He was, and always recognised that he was, among the most fortunately placed of mankind. He does not hesitate to speak sometimes of his own happiness in complete freedom from the need to apply himself to any compulsory occupation. This freedom, as he has put gratefully on record, he owed to his father, Heinrich Floris Schopenhauer, who was a rich merchant of Danzig, where the philosopher was born on the 22nd of February 1788. Both his parents were of Dutch ancestry. His mother, Johanna Schopenhauer, won celebrity as a novelist; and his sister, Adele, also displayed some literary talent. Generalising from his own case, Schopenhauer holds that men of intelligence derive their character from their father and their intellect from their mother. With his mother, however, he was not on sympathetic terms, as may be read in the biographies. His father intended him for a mercantile career, and with this view began to prepare him from the first to be a cosmopolitan man of the world. The name of Arthur was given to him because it is spelt alike in the leading European languages. He was taken early to France, where he resided from 1797 to 1799, learning French so well that on his return he had almost forgotten his German. Portions of the years 1803 to 1804 were spent in England, France, Switzerland, and Austria. In England he was three months at a Wimbledon boarding-school kept by a clergyman. This experience he found extremely irksome. He afterwards became highly proficient in English: was always pleased to be taken for an Englishman, and regarded both the English character and intelligence as on the whole the first in Europe; but all the more deplorable did he find the oppressive pietism which was the special form taken in the England of that period by the reaction against the French Revolution. He is never tired of denouncing that phase of 'cold superstition,' the dominance of which lasted during his lifetime; for the publication of Mill's *Liberty* and of Darwin's *Origin of Species*, which may be considered as marking the close of it, came only the year before his death.

The only real break in the conformity of Schopenhauer's circumstances to his future career came in 1805, when he was placed in a merchant's office at Hamburg, whither his father had migrated in disgust at the annexation of his native Danzig, then under a republican constitution of its



own, by Prussia in 1793. Soon afterwards his father died; but out of loyalty he tried for some time longer to reconcile himself to commercial life. Finding this at length impossible, he gained permission from his mother, in 1807, to leave the office for the gymnasium. At this time he seems to have begun his classical studies, his education having hitherto been exclusively modern. They were carried on first at Gotha and then at Weimar. In 1809 he entered the university of Göttingen as a student of medicine. This, however, was with a view only to scientific studies, not to practice; and he transferred himself to the philosophical faculty in 1810. Generally he was little regardful of academical authority. His father's deliberately adopted plan of letting him mix early with the world had given him a certain independence of judgment. At Göttingen, however, he received an important influence from his teacher, G. E. Schulze (known by the revived scepticism of his *Ænesidemus*), who advised him to study Plato and Kant before Aristotle and Spinoza. From 1811 to 1813 he was at Berlin, where he heard Fichte, but was not impressed. In 1813 the degree of Doctor of Philosophy was conferred on him at Jena for the dissertation *On the Fourfold Root of the Principle of Sufficient Reason* (*Ueber die vierfache Wurzel des Satzes vom zureichenden Grunde*, 2nd ed., 1847). This was the first result of his Kantian studies. In the same year he began to be acquainted with Goethe at Weimar, where his mother and sister had gone to reside in 1806. A consequence of this acquaintance was that he took up and further developed Goethe's theory of colours. His dissertation *Ueber das Sehen und die Farben* was published in 1816. A second edition did not appear till 1854; but in the meantime he had published a restatement of his doctrine in Latin, entitled *Theoria Colorum Physiologica* (1830). This, however, was an outlying part of his work. He had already been seized by the impulse to set forth the system of philosophy that took shape in him, as he says, by some formative process of which he could give no conscious account. His great work, *Die Welt als Wille und Vorstellung*, was ready for publication before the end of 1818, and was published with the date 1819. Thus he is one of the most precocious philosophers on record. For in that single volume, written before he was thirty, the outlines of his whole system are fixed. There is some development later, and there are endless new applications and essays towards confirmation from all sources. His mind never rested, and his literary power gained by exercise. Still, it has been said with truth, that there never was a greater illusion than when he thought that he seldom

repeated himself. In reality he did little but repeat his fundamental positions with infinite variations in expression.

After completing his chief work, Schopenhauer wrote some verses in which he predicted that posterity would erect a monument to him. This prediction was fulfilled in 1895; but, for the time, the work which he never doubted would be his enduring title to fame seemed, like Hume's *Treatise*, to have fallen 'deadborn from the press.' This he attributed to the hostility of the academical philosophers; and, in his later works, attacks on the university professors form a characteristic feature. The official teachers of the Hegelian school, he declared, were bent only on obtaining positions for themselves by an appearance of supporting Christian dogma; and they resented openness on the part of any one else. Yet on one side he maintained that his own pessimism was more truly Christian than their optimism. The essential spirit of Christianity is that of Brahmanism and Buddhism, the great religions that sprang from India, the first home of our race. He is even inclined to see in it traces of Indian influence. What vitiates it in his eyes is the Jewish element, which finds its expression in the flat modern 'Protestant-rationalistic optimism.' As optimistic religions, he groups together Judaism, Islam, and Græco-Roman Polytheism. His antipathy, however, only extends to the two former. He was himself in great part a child of Humanism and of the eighteenth century, rejoicing over the approaching downfall of all the faiths, and holding that a weak religion (entirely different from those he admires) is favourable to civilisation. Nothing can exceed his scorn for nearly everything that characterised the Middle Ages. With Catholicism as a political system he has no sympathy whatever; while on the religious side the Protestant are as sympathetic to him as the Catholic mystics. What is common to all priesthoods, he holds, is to exploit the metaphysical need of mankind (in which he also believes) for the sake of their own power. Clericalism, 'Pfaffenthum,' whether Catholic or Protestant, is the object of his unvarying hatred and contempt. If he had cared to appreciate Hegel, he would have found on this point much community of spirit; but of course there was a real antithesis between the two as philosophers. No 'conspiracy' need be invoked to explain the failure of Schopenhauer to win early recognition. Belief in the State and in progress was quite alien to him; and Germany was then full of political hopes, which found nourishment in optimistic pantheism. What at length gave his philosophy vogue was the collapse of this enthusiasm on the

failure of the revolutionary movement in 1848. Once known, it contained enough of permanent value to secure it from again passing out of sight with the next change of fashion.

The rest of Schopenhauer's life in its external relations may be briefly summed up. For a few years, it was diversified by travels in Italy and elsewhere, and by an unsuccessful attempt at academical teaching in Berlin. In 1831 he moved to Frankfort, where he finally settled in 1833. He lived unmarried there till his death on the 21st of September 1860. The monument, already spoken of, was unveiled at Frankfort on the 6th of June 1895.

The almost unbroken silence with which his great work was received, though it had a distempering effect on the man, did not discourage the thinker. The whole series of Schopenhauer's works, indeed, was completed before he attained anything that could be called fame. Constantly on the alert as he was to seize upon confirmations of his system, he published in 1836 his short work *On the Will in Nature*, pointing out verifications of his metaphysics by recent science. In 1839 his prize essay, *On the Freedom of the Human Will* (finished in 1837), was crowned by the Royal Scientific Society of Drontheim in Norway. This and another essay, *On the Basis of Morality*, not crowned by the Royal Danish Society of Copenhagen in 1840, he published in 1841, with the inclusive title, *Die beiden Grundprobleme der Ethik*. In 1844 appeared the second edition of his principal work, to which there was added, in the form of a second volume, a series of elucidations and extensions larger in bulk than the first. This new volume contains much of his best and most effective writing. His last work, *Parerga und Paralipomena*, which appeared in 1851 (2 vols.), is from the literary point of view the most brilliant. It was only from this time that he began to be well known among the general public; though the philosophic 'apostolate' of Julius Frauenstädt, who afterwards edited his works, had begun in 1840. His activity was henceforth confined to modifying and extending his works for new editions; an employment in which he was always assiduous. In consequence of this, all of them, as they stand, contain references from one to another; but the development of his thinking, so far as there was such a process after 1818, can be easily traced without reference to the earlier editions. There is some growth; but, as has been said, it does not affect many of the chief points. A brief exposition of his philosophy can on the whole take it as something fixed. The heads under

which it must fall are those assigned to the original four books of *Die Welt als Wille und Vorstellung*.

Although Schopenhauer discountenanced the attempt to connect a philosophers biography with his work, something has to be said about his character, since this has been dwelt on to his disadvantage by opponents. There is abundant material for a personal estimate in the correspondence and reminiscences published after his death by his disciples Julius Frauenstädt and Wilhelm Gwinner. The apparent contradiction is at once obvious between the ascetic consummation of his ethics and his unascetic life, carefully occupied in its latter part with rules for the preservation of his naturally robust health. He was quite aware of this, but holds it absurd to require that a moralist should commend only the virtues which he possesses. It is as if the requirement were set up that a sculptor is to be himself a model of beauty. A saint need not be a philosopher, nor a philosopher a saint. The science of morals is as theoretical as any other branch of philosophy. Fundamentally character is unmodifiable, though knowledge, it is allowed, may change the mode of action within the limits of the particular character. The passage to the state of asceticism cannot be effected by moral philosophy, but depends on a kind of 'grace.' After all, it might be replied, philosophers, whether they succeed or not, do usually make at least an attempt to live in accordance with the moral ideal they set up. The best apology in Schopenhauer's case is that the fault may have been as much in his ideal as in his failure to conform to it. The eloquent pages he has devoted to the subject of holiness only make manifest the inconsequence (which he admits) in the passage to it. For, as we shall see, this has nothing in common with the essentially rational asceticism of the schools of later antiquity; which was a rule of self-limitation in view of the philosophic life. He did in a way of his own practise something of this; and, on occasion, he sets forth the theory of it; but he quite clearly sees the difference. His own ideal, which he never attempted to practise, is that of the self-torturing ascetics of the Christian Middle Age. Within the range of properly human virtue, he can in many respects hold his own, not only as a philosopher but as a man. If his egoism and vanity are undeniable, he undoubtedly possessed the virtues of rectitude and compassion. What he would have especially laid stress on was the conscientious devotion to his work. With complete singleness of purpose he used for a disinterested end

the leisure which he regarded as the most fortunate of endowments. As he said near the close of his life, his intellectual conscience was clear.

Of Schopenhauer's expositions of his pessimism it would be true to say, as Spinoza says of the Book of Job, that the matter, like the style, is not that of a man sitting among the ashes, but of one meditating in a library. This of course does not prove that they are not a genuine, if one-sided, rendering of human experience. All that can be said is that they did not turn him away from appreciation of the apparent goods of life. His own practical principle was furnished by what he regarded as a lower point of view; and this gives its direction to the semi-popular philosophy of the *Parerga*. From what he takes to be the higher point of view, the belief that happiness is attainable by man on earth is an illusion; but he holds that, by keeping steadily in view a kind of tempered happiness as the end, many mistakes may be avoided in the conduct of life, provided that each recognises at once the strength and weakness of his own character, and does not attempt things that, with the given limitations, are impossible. Of the highest truth, as he conceived it, he could therefore make no use. Only by means of a truth that he was bound to hold half-illusory could a working scheme be constructed for himself and others. This result may give us guidance in seeking to learn what we can from a thinker who is in reality no representative of a decadence, but is fundamentally sane and rational, even in spite of himself.

## CHAPTER II. THEORY OF KNOWLEDGE

The title of Schopenhauer's chief work is rendered in the English translation, *The World as Will and Idea*. Here the term 'idea' is used in the sense it had for Locke and Berkeley; namely, any object of mental activity. Thus it includes not merely imagery, but also perception. Since Hume distinguished ideas' from 'impressions,' it has tended to be specialised in the former sense. The German word, *Vorstellung*, which it is used to render, conveys the generalised meaning of the Lockian 'idea,' now frequently expressed in English and French philosophical works by the more technical term 'presentation' or 'representation.' By Schopenhauer himself the word 'Idea' was used exclusively in the sense of the Platonic Idea, which, as we shall see, plays an important part in his philosophy. The distinction is preserved in the translation by the use of a capital when Idea has the latter meaning; but in a brief exposition it seems convenient to adopt a more technical rendering of *Vorstellung*; and, from its common employment in psychological text-books, I have selected 'presentation' as the most suitable.

The first proposition of Schopenhauer's philosophical system is, 'The world is my presentation.' By this he means that it presents itself as appearance to the knowing subject. This appearance is in the forms of time, space and causality. Under these forms every phenomenon necessarily appears, because they are *a priori* forms of the subject. The world as it presents itself consists entirely of phenomena, that is, appearances, related according to these forms. The most fundamental form of all is the relation between object and subject, which is implied in all of them. Without a subject there can be no presented object.

Schopenhauer is therefore an idealist in the sense in which we call Berkeley's theory of the external world idealism; though the expressions used are to some extent different. The difference proceeds from his following of Kant. His Kantianism consists in the recognition of *a priori* forms by which the subject constructs for itself an 'objective' world of appearances. With Berkeley he agrees as against Kant in not admitting any residue whatever, in the object as such, that is not wholly appearance. But while he allows that Berkeley, as regards the general formulation of idealism, was more consistent than Kant, he finds him, in working out the

principle, altogether inadequate. For the modern mind there is henceforth no way in philosophy except through Kant, from whom dates the revolution by which scholastic dualism was finally overthrown. Kant's systematic construction, however, he in effect reduces to very little. His is a much simplified 'Apriorism.' While accepting the 'forms of sensible intuition,' that is, time and space, just as Kant sets them forth, he clears away nearly all the superimposed mechanism. Kant's 'Transcendental Æsthetic,' he says, was a real discovery in metaphysics; but on the basis of this he for the most part only gave free play to his architectonic impulse. Of the twelve 'categories of the understanding,' which he professed to derive from the logical forms of judgment, all except causality are mere 'blind windows.' This alone, therefore, Schopenhauer adopts; placing it, however, not at a higher level but side by side with time and space, Kant's forms of intuition. These three forms, according to Schopenhauer, make up the understanding of men and animals. 'All intuition is intellectual.' It is not first mere appearance related in space and time, and waiting for understanding to organise it; but, in animals as in man, it is put in order at once under the three forms that suffice to explain the knowledge all have of the phenomenal world.

To Reason as distinguished from Understanding, Schopenhauer assigns no such exalted function as was attributed to it in portions of his system by Kant, and still more by some of his successors. The name of 'reason,' he maintains, ought on etymological grounds to be restricted to the faculty of abstract concepts. This, and not understanding, is what distinguishes man from animals. It discovers and invents nothing, but it puts in a generalised and available form what the understanding has discovered in intuition.

For the historical estimation of Schopenhauer, it is necessary to place him in relation to Kant, as he himself always insisted. Much also in his chief work is made clearer by knowledge of his dissertation *On the Fourfold Root of the Principle of Sufficient Reason*, to which he is constantly referring. Later, his manner of exposition became more independent; so that he can be read by the general reader with profit simply by himself, and without reference to antecedents. Still, it will always be advisable for an expositor to follow his directions, at least to the extent of giving some short account of the dissertation. This I proceed to give approximately in the place to which he has assigned it in his system.

The name of the principle (*principium rationis sufficientis*) he took over from Leibniz and his successor Wolff, but gave it a new amplitude. With him, it stands as an inclusive term for four modes of connection by which the thoroughgoing relativity of phenomena to one another is constituted for our intelligence. The general statement adopted is, 'Nothing is without a reason why it should be rather than not be.' Its four forms are the principles of becoming (*fiendi*), of knowing (*cognoscendi*), of being (*essendi*), and of acting (*agendi*). (1) Under the first head come 'causes.' These are divided into 'cause proper,' for inorganic things; 'stimulus,' for the vegetative life both of plants and animals, and 'motive,' for animals and men. The law of causation is applicable only to changes; not to the forces of nature, to matter, or to the world as a whole, which are perdurable. Cause precedes effect in time. Not one thing, but one state of a thing, is the cause of another. From the law of causation there results an infinite series *a parte ante* as well as *a parte post*. (2) The principle of sufficient reason of knowing is applicable to concepts, which are all derived from intuition, that is, from percepts. The laws of logic, which come under this head, can yield nothing original, but can only render explicit what was in the understanding. (3) Under the third head come arithmetical and geometrical relations. These are peculiar relations of presentations, distinct from all others, and only intelligible in virtue of a pure *a priori* intuition. For geometry this is space; for arithmetic time, in which counting goes on. Scientifically, arithmetic is fundamental. (4) As the third form of causality was enumerated 'motive' for the will; but in that classification it was viewed from without, as belonging to the world of objects. Through the direct knowledge we have of our own will, we know also from within this determination by the presentation we call a motive. Hence emerges the fourth form of the principle of sufficient reason. This at a later stage makes possible the transition from physics to metaphysics.

All these forms alike are forms of necessary determination. Necessity has no clear and true sense but certainty of the consequence when the ground is posited. All necessity therefore is conditional. In accordance with the four expressions of the principle of sufficient reason, it takes the fourfold shape of physical, logical, mathematical, and moral necessity.

The sharp distinction between logical and mathematical truth, with the assignment of the former to conceptual and of the latter to intuitive relations, comes to Schopenhauer directly from Kant. So also does his view



that the necessary form of causation is sequence; though here his points of contact with English thinkers, earlier and later, are very marked. Only in his statement of the 'law of motivation' as 'causality seen from within' does he hint at his own distinctive metaphysical doctrine. Meanwhile, it is evident that he is to be numbered with the group of modern thinkers who have arrived in one way or another at a complete scientific phenomenism. Expositors have noted that in his earlier statements of this he tends to lay more stress on the character of the visible and tangible world as mere appearance. The impermanence, the relativity, of all that exists in time and space, leads him to describe it, in a favourite term borrowed from Indian philosophy, as Maya, or illusion. Later, he dwells more on the relative reality of things as they appear. His position, however, does not essentially alter, but only finds varying expression as he turns more to the scientific or to the metaphysical side. From Hume's view on causation he differs not by opposing its pure phenomenism, but only by recognising, as Kant does, an *a priori* element in the form of its law. German critics have seen in his own formulation an anticipation of Mill, and this is certainly striking as regards the general conception of the causal order, although there is no anticipation of Mill's inductive logic. On the same side there is a close agreement with Malebranche and the Occasionalists, pointed out by Schopenhauer himself. The causal explanations of science, he is at one with them in insisting, give no ultimate account of anything. All its causes are no more than 'occasional causes,' — merely instances, as Mill expressed it afterwards, of 'invariable and unconditional sequence.' From Mill of course he differs in holding its form to be necessary and *a priori*, not ultimately derived from a summation of experiences; and, with the Occasionalists, he goes on to metaphysics in its sense of ontology, as Mill never did. The difference here is that he does not clothe his metaphysics in a theological dress.

In the later development of his thought, Schopenhauer dealt more expressly with the question, how this kind of phenomenism is reconcilable with a scientific cosmogony. On one side the proposition, 'No object without subject,' makes materialism for ever impossible; for the materialist tries to explain from relations among presentations what is the condition of all presentation. On the other side, we are all compelled to agree with the materialists that knowledge of the object comes late in a long series of material events. Inorganic things existed in time before life; vegetative life

before animal life; and only with animal life does knowledge emerge. Reasoned knowledge of the whole series comes only at the end of it in the human mind. This apparent contradiction he solves by leaving a place for metaphysics. Our representation of the world as it existed before the appearance of life was indeed non-existent at the time to which we assign it; but the real being of the world had a manifestation not imaginable by us. For this, we substitute a picture of a world such as we should have been aware of had our 'subject,' with its *a priori* forms of time, space, and causality, been then present. What the reality is, is the problem of the thing-in-itself (to use the Kantian term). This problem remains over; but we know that the metaphysical reality cannot be matter; for matter, with all its qualities, is phenomenal. It exists only 'for understanding, through understanding, in understanding.' These discriminations made, Schopenhauer offers us a scientific cosmogony beginning with the nebular hypothesis and ending with an outline of organic evolution. This last differs from the Darwinian theory in supposing a production of species by definite steps instead of by accumulation of small individual variations. At a certain time, a form that has all the characters of a new species appears among the progeny of an existing species. Man is the last and highest form to be evolved. From Schopenhauer's metaphysics, as we shall see, it follows that no higher form of life will ever appear.

A word may be said here on a materialistic-sounding phrase which is very prominent in Schopenhauer's later expositions, and has been remarked on as paradoxical for an idealist. The world as presentation, he often says, is 'in the brain.' This, it must be allowed, is not fully defensible from his own point of view, except with the aid of a later distinction. The brain as we know it is of course only a part of the phenomenon of the subject, — a grouping of possible perceptions. How then, since it is itself only appearance, can it be the bearer of the whole universe as appearance? The answer is that Schopenhauer meant in reality 'the being of the brain,' and not the brain as phenomenon. He had a growing sense of the importance of physiology for the investigation of mind; and his predilection led him to adopt a not quite satisfactory shorthand expression for the correspondence we know scientifically to exist between our mental processes and changes capable of objective investigation in the matter of the brain.

In science his distinctive bent was to the borderland between psychology and physiology. Hence came the attraction exercised on him by Goethe's

theory of colours. To his own theory, though, unlike his philosophical system, it has always failed to gain the attention he predicted for it, the merit must be allowed of treating the problem as essentially one of psychophysics. What he does is to attempt to ascertain the conditions in the sensibility of the retina that account for our actual colour-sensations. This problem was untouched by the Newtonian theory; but Schopenhauer followed Goethe in the error of trying to overthrow this on its own ground. He had no aptitude for the special inquiries of mathematics and physics, though he had gained a clear insight into their general nature as sciences. On the psycho-physical side there is to-day no fully authorised theory. The problem indeed has become ever more complex. Schopenhauer's attempt, by combination of sensibilities to 'light' and 'darkness,' to explain the phenomena of complementary colours, deserves at least a record in the long series of essays of which the best known are the 'Young-Helmholtz theory' and that of Hering. It marks an indubitable advance on Goethe in the clear distinction drawn between the mixture, in the ordinary sense, that can only result in dilution to different shades of grey, and the kinds of mixture from which, in their view, true colours arise.

A characteristic position in Schopenhauer's theory of knowledge, and one that is constantly finding new expression in his writings, is the distinction between abstract and intuitive knowledge already touched on. Intuitive knowledge of the kind that is common to men and animals, as we have seen, makes up, in his terminology, the 'understanding'; while 'reason' is the distinctively human faculty of concepts. When he depreciates this, as he often does, in comparison with 'intuition,' it must be remembered that he does not limit this term to perception of particulars, but ascribes to what he calls the 'Platonic Idea' a certain kind of union between reason and 'phantasy,' which gives it an intuitive character of its own. Thus intuition can stand, though not in every case for what is higher, yet always for that which is wider and greater and more immediate. Whatever may be done with reflective reason and its abstractions, every effectual process of thought must end, alike for knowledge and art and virtue, in some intuitive presentation. The importance of reason for practice is due to its generality. Its function is subordinate. It does not furnish the ground of virtuous action any more than æsthetic precepts can enable any one to produce a work of art; but it can help to preserve constancy to certain maxims, as also in art a reasoned plan is necessary because the inspiration of genius is not every

moment at command. Virtue and artistic genius alike, however, depend ultimately on intuition: and so also does every true discovery in science. The nature of pedantry is to try to be guided everywhere by concepts, and to trust nothing to perception in the particular case. Philosophy also Schopenhauer regards as depending ultimately on a certain intuitive view; but he allows that it has to translate this into abstractions. Its problem is to express the *what* of the world in abstract form: science dealing only with the *why* of phenomena related within the world. This character of philosophy as a system of abstract concepts deprives it of the immediate attractiveness of art; so that, as he says in one place, it is more fortunate to be a poet than a philosopher.

### CHAPTER III. METAPHYSICS OF THE WILL

We have seen that scientific explanation does not go beyond presentations ordered in space and time. This is just as true of the sciences of causation — the ‘ætiological’ sciences — as it is of mathematical science. All that we learn from Mechanics, Physics, Chemistry and Physiology, is ‘how, in accordance with an infallible rule, one determinate state of matter necessarily follows another: how a determinate change necessarily conditions and brings on another determinate change.’ This knowledge does not satisfy us. We wish to learn the significance of phenomena; but we find that from outside, while we view them as presentations, their inner meaning is for ever inaccessible.

The starting-point for the metaphysical knowledge we seek is given us in our own body. The animal body is ‘the immediate object of the subject’: in it as presentation the ‘effects’ of ‘causes’ in the order of presentations external to it are first recognised. Now in virtue of his body the investigator is not pure knowing subject standing apart from that which he knows. In the case of the particular system of presentations constituting his organism, he knows what these presentations signify, and that is his *will* in a certain modification. The subject appears as individual through its identity with the body, and this body is given to it in two different ways: on one side as object among objects, and subjected to their laws; on the other side as the will immediately known to each. The act of will and the movement of the body are not two different states related as cause and effect; for the relation of cause and effect belongs only to the object, the phenomenon, the presentation. They are one and the same act given in different manners: the will, immediately to the subject; the movement, in sensible intuition for understanding. The action of the body is the objectified act of will. Called at first the immediate object of presentation, the body may now, from the other side, be called ‘the objectivity of the will.’

Thus, as was said, the ‘law of motivation’ discloses the inner nature of causality. In causality in general we know only relations of phenomena; but in the case of our own body we know something else that those relations express; namely, the act of will determined by motives. Now there are in the world as presentation other systems like that which we call our body. Unless all these are to be supposed mere phantoms without inner reality, we

must infer by analogy, in correspondence with like phenomena, other individual wills similar to that which we know in ourselves. This inference from analogy, universally admitted in the case of human and animal bodies, must be extended to the whole corporeal world. The failure to take this step is where the purely intellectual forms of idealism have come short. Kant's 'thing-in-itself,' which is not subject to the forms by which presentations become experience, but which experience and its forms indicate as the reality, has been wrongly condemned by his successors as alien to idealism. It is true that Kant did in some respects fail to maintain the idealistic position with the clearness of Berkeley; but his shortcoming was not in affirming a thing-in-itself beyond phenomena. Here, in Schopenhauer's view, is the metaphysical problem that he left a place for but did not solve. The word of the riddle has now been pronounced. Beyond presentation, that is, in itself and according to its innermost essence, the world is that which we find in ourselves immediately as will. By this it is not meant that a falling stone, for example, acts from a motive; knowledge and the consequent action from motives belongs only to the determinate form that the will has in animals and men; but the reality in the stone also is the same in essence as that to which we apply the name of will in ourselves. He who possesses this key to the knowledge of nature's innermost being will interpret the forces of vegetation, of crystallisation, of magnetism, of chemical affinity, even of weight itself, as different only in phenomenal manifestation but in essence the same; namely, that which is better known to each than all else, and where it emerges most clearly is called will. Only the will is thing-in-itself. It is wholly different from presentation, and is that of which presentation is the phenomenon, the visibility, the objectivity. Differences affect only the degree of the appearing, not the essence of that which appears.

While the reality everywhere present is not will as specifically known in man, the mode of indicating its essence by reference to this, Schopenhauer contends, is a gain in insight. The thing-in-itself ought to receive its name from that among all its manifestations which is the clearest, the most perfect, the most immediately illumined by knowledge; and this is man's will. When we say that every force in nature is to be thought of as Will, we are subsuming an unknown under a known. For the conception of Force is abstracted from the realm of cause and effect, and indicates the limit of scientific explanation. Having arrived at the forces of nature on the one side

and the forms of the subject on the other, science can go no further. The conception of Will can make known that which was so far concealed, because it proceeds from the most intimate consciousness that each has of himself, where the knower and the known coincide.

By this consciousness, in which subject and object are not yet set apart, we reach something universal. In itself the Will is not individualised, but exists whole and undivided in every single thing in nature, as the Subject of contemplation exists whole and undivided in each cognitive being. It is entirely free from all forms of the phenomenon. What makes plurality possible is subjection to the forms of time and space, by which only the phenomenon is affected. Time and space may therefore be called, in scholastic terminology, the 'principle of individuation.' While each of its phenomena is subject to the law of sufficient reason, which is the law of appearance in these forms, there is for the Will as thing-in-itself no rational ground: it is 'grundlos.' It is free from all plurality, although its phenomena in space and time are innumerable. It is one, not with the unity of an object or of a concept, but as that which lies outside of space and time, beyond the *principium individuationis*, that is, the possibility of plurality. The individual, the person, is not will as thing-in-itself, but phenomenon of the will, and as such determined. The will is 'free' because there is nothing beyond itself to determine it. Further, it is in itself mere activity without end, a blind striving. Knowledge appears only as the accompaniment of its ascending stages.

Here we have arrived at the thought which, in its various expressions, constitutes Schopenhauer's metaphysics. That this cannot be scientifically deduced he admits; but he regards it as furnishing such explanation as is possible of science itself. For science there is in everything an inexplicable element to which it runs back, and which is real, not merely phenomenal. From this reality we are most remote in pure mathematics and in the pure *a priori* science of nature as it was formulated by Kant. These owe their transparent clearness precisely to their absence of real content, or to the slightness of this. The attempt to reduce organic life to chemistry, this again to mechanism, and at last everything to arithmetic, could it succeed, would leave mere form behind, from which all the content of phenomena would have vanished. And the form would in the end be form of the subject. But the enterprise is vain. 'For in everything in nature there is something of which no ground can ever be given, of which no explanation is possible, no

cause further is to be sought.' What for man is his inexplicable character, presupposed in every explanation of his deeds from motives, that for every inorganic body is its inexplicable quality, the manner of its acting.

The basis of this too is will, and 'groundless,' inexplicable will; but evidently the conception here is not identical with that of the Will that is one and all. How do we pass from the universal to that which has a particular character or quality? For of the Will as thing-in-itself we are told that there is not a greater portion in a man and a less in a stone. The relation of part and whole belongs exclusively to space. The more and less touches only the phenomenon, that is, the visibility, the objectivation. A higher degree of this is in the plant than in the stone, in the animal than in the plant, and so forth; but the Will that is the essence of all is untouched by degree, as it is beyond plurality, space and time, and the relation of cause and effect.

The answer to the question here raised is given in Schopenhauer's interpretation of the Platonic Ideas. These he regards as stages of objectivation of the Will. They are, as Plato called them, eternal forms related to particular things as models. The lowest stage of objectivation of the Will is represented by the forces of inorganic nature. Some of these, such as weight and impenetrability, appear in all matter. Some are divided among its different kinds, as rigidity, fluidity, elasticity, electricity, magnetism, chemical properties. They are not subject to the relation of cause and effect, but are presupposed by it. A force is neither cause of an effect nor effect of a cause. Philosophically, it is immediate objectivity of the will; in ætiology, *qualitas occulta*. At the lowest stages of objectivation, there is no individuality. This does not appear in inorganic things, nor even in merely organic or vegetative life, but only as we ascend the scale of animals. Even in the higher animals the specific enormously predominates over the individual character. Only in man is the Idea objectified in the individual character as such. 'The character of each individual man, so far as it is thoroughly individual and not entirely comprehended in that of the species, may be regarded as a particular Idea, corresponding to a peculiar act of objectivation of the Will.'

Schopenhauer warns us against substituting this philosophical explanation for scientific ætiology. The chain of causes and effects, he points out, is not broken by the differences of the original, irreducible forces. The ætiology and the philosophy of nature go side by side, regarding



the same object from different points of view. Yet he also gives us in relation to his philosophy much that is not unsuggestive scientifically. His doctrine is not properly evolutionary, since the Ideas are eternal; but he has guarded incidentally against our supposing that all the natural kinds that manifest the Ideas phenomenally must be always represented in every world. For our particular world, comprising the sun and planets of the solar system, he sets forth in the *Parerga* an account of the process by which it develops from the nebula to man. This was referred to in the preceding chapter. In his fundamental work he describes a struggle, present through the whole of nature, in which the phenomenal manifestations of the higher Ideas conquer and subjugate those of the lower, though they leave them still existent and ever striving to get loose. Here has been seen an adumbration of natural selection: he himself admits the difficulty he has in making it clear. We must remember that it is pre-Darwinian.

Knowledge or intelligence he seeks to explain as an aid to the individual organism in its struggle to subsist and to propagate its kind. It first appears in animal life. It is represented by the brain or a large ganglion, as every endeavour of the Will in its self-objectivation is represented by some organ; that is, displays itself for presentation as such and such an appearance. Superinduced along with this contrivance for aid in the struggle, the world as presentation, with all its forms, subject and object, time, space, plurality and causality, is all at once there. 'Hitherto only will, it is now at the same time presentation, object of the knowing subject.' Then in man, as a higher power beyond merely intuitive intelligence, appears reason as the power of abstract conception. For the most part, rational as well as intuitive knowledge, evolved originally as a mere means to higher objectivation of the Will, remains wholly in its service. How, in exceptional cases, intellect emancipates itself, will be discussed under the heads of *Æsthetics* and *Ethics*.

That this view implies a teleology Schopenhauer expressly recognises. Indeed he is a very decided teleologist on lines of his own, and, in physiology, takes sides strongly with 'vitalism' as against pure mechanicism. True, the Will is 'endless' blind striving, and is essentially divided against itself. Everywhere in nature there is strife, and this takes the most horrible forms. Yet somehow there is in each individual manifestation of will a principle by which first the organism with its vital processes, and then the portion of it called the brain, in which is represented the intellect

with its *a priori* forms, are evolved as aids in the strife. And, adapting all the manifestations to one another, there is a teleology of the universe. The whole world, with all its phenomena, is the objectivity of the one and indivisible Will; the Idea which is related to all other Ideas as the harmony to the single voices. The unity of the Will shows itself in the unison of all its phenomena as related to one another. Man, its clearest and completest objectivation, is the summit of a pyramid, and could not exist without this. Inorganic and organic nature, then, were adapted to the future appearance of man, as man is adapted to the development that preceded him. But in thinking the reality, time is to be abstracted from. The earlier, we are obliged to say, is fitted to the later, as the later is fitted to the earlier; but the relation of means to end, under which we cannot help figuring the adaptation, is only appearance for our manner of knowledge. And the harmony described does not get rid of the conflict inherent in all will.

In this account of Schopenhauer's metaphysical doctrine, I have tried to make the exposition as smooth as possible; but at two points the discontinuity can scarcely be concealed. First, the relation of the universal Will to the individual will is not made clear; and, secondly, the emergence of the world of presentation, with the knowledge in which it culminates, is left unintelligible because the will is conceived as mere blind striving without an aim. As regards the first point, disciples and expositors have been able to show that, by means of distinctions in his later writings, apparent contradictions are to some extent cleared away; and, moreover, that he came to recognise more reality in the individual will. On the second point, I think it will be necessary to admit that his system as such breaks down. But both points must be considered in their connection.

One of the most noteworthy features of Schopenhauer's philosophy is, as he himself thought, the acceptance from first to last of Kant's distinction between the 'empirical' and the 'intelligible' character of the individual. Every act of will of every human being follows with necessity as phenomenon from its phenomenal causes; so that all the events of each person's life are determined in accordance with scientific law. Nevertheless, the character empirically manifested in the phenomenal world, while it is completely necessitated, is the expression of something that is free from necessitation. This 'intelligible character' is out of time, and, itself undetermined, manifests itself through that which develops in time as a chain of necessary causes and effects. That this doctrine had been taken up,

without any ambiguity as regards the determinism, by Schelling as well as by himself, he expressly acknowledges; and he finds it, as he also finds modern idealism, anticipated in various passages by the Neo-Platonists. His adaptation of it to his doctrine of the Ideas is distinctly Neo-Platonic in so far as he recognises 'Ideas of individuals'; but of course to make Will the essence belongs to his own system. 'The intelligible character,' he says, 'coincides with the Idea, or, yet more precisely, with the original act of will that manifests itself in it: in so far, not only is the empirical character of each man, but also of each animal species, nay, of each plant species, and even of each original force of inorganic nature, to be regarded as phenomenon of an intelligible character, that is, of an indivisible act of will out of time.' This is what he called the '*aseitas*' of the will; borrowing a scholastic term to indicate its derivation (if we may speak of it as derived) from itself (*a se*), and not from a supposed creative act. Only if we adopt this view are we entitled to regard actions as worthy of moral approval or disapproval. They are such not because they are not necessitated, but because they necessarily show forth the nature of an essence the freedom of which consists in being what it is. Yet he could not but find a difficulty in reconciling this with his position that the one universal Will is identical in all things, and in each is 'individuated' only by space and time. For the Ideas, like the thing-in-itself, are eternal, that is, outside of time as well as space; and all the things now enumerated, forces of nature, plant and animal species, and individual characters of men, are declared to be in themselves Ideas.

He in part meets this difficulty by the subtlety that time and space do not, strictly speaking, determine individuality, but arise along with it. The diremption of individualities becomes explicit in those forms. Yet he must have perceived that this is not a complete answer, and various modifications can be seen going on. His first view clearly was that the individual is wholly impermanent, and at death simply disappears; nothing is left but the one Will and the universal Subject of contemplation identical in all. Metempsychosis is the best mythological rendering of what happens, but it is no more. Later, he puts forward the not very clearly defined theory of a 'palingenesia' by which a particular will, but not the intellect that formerly accompanied it, may reappear in the phenomenal world. And the hospitality he showed to stories of magic, clairvoyance, and ghost-seeing, is scarcely compatible with the view that the individual will is no more than a

phenomenal differentiation of the universal will. A speculation (not put forward as anything more) on the appearance of a special providence in the destiny of the individual, points, as Professor Volkelt has noted, to the idea of a guidance, not from without, but by a kind of good daemon or genius that is the ultimate reality of the person. On all this we must not lay too much stress; but there is certainly one passage that can only be described as a definite concession that the individual is real in a sense not at first allowed. Individuality, it is said in so many words (*Parerga*, ii. § 117), does not rest only on the 'principle of individuation' (time and space), and is therefore not through and through phenomenon, but is rooted in the thing-in-itself. 'How deep its roots go belongs to the questions which I do not undertake to answer.'

This tends to modify considerably, but does not overthrow, Schopenhauer's original system. In very general terms, he is in the number of the 'pantheistic' thinkers; and it is remarkable, on examination, how these, in Europe at least, have nearly always recognised in the end some permanent reality in the individual. This is contrary to first impressions: but the great names may be cited of Plotinus, John Scotus Erigena, Giordano Bruno, Spinoza (in Part v. of the *Ethics*), and finally of Schopenhauer's special aversion, Hegel, who has been supposed most unfavourable of all to any recognition of individuality as real. It is more true, Hegel maintains, that the individuality determines its world than that it is determined by it; and there is no explanation why the determination should be such and such except that the individuality was already what it is. And, if Schopenhauer's more imaginative speculations seek countenance from the side of empiricism, there is nothing in them quite so audacious as a speculation of J. S. Mill on disembodied mind, thrown out during the time when he was writing his *Logic*.

The association with pantheism Schopenhauer accepts in principle, though the name is not congenial to him. In his system the Will is one and all, like the 'Deus' of Spinoza. The difference is that, instead of ascribing perfection to the universe that is its manifestation, he regards the production of a world as a lapse from which redemption is to be sought. His doctrine has been rightly described, in common with the predominant philosophical doctrines of his period, as a resultant of the deepened subjective analysis brought by Kant into modern philosophy on the one side, and of the return to Spinoza in the quest for unity of principle on the other. Why, then, it may

be asked, are Fichte, Schelling, and Hegel the constant objects of his attack? The true explanation is not the merely external one, that they were his successful rivals for public favour, but is to be found in a real antithesis of thought. Within the limits of the idealism they all hold in common, Schopenhauer is at the opposite pole. In spite of his attempt to incorporate the Platonic Ideas, and in spite of his following of Kant, whose 'intelligible world' was in essence Platonic or neo-Platonic, he could find no place in his system for a rational order at the summit. Now this order was precisely what Fichte and Hegel aimed at demonstrating. If Schopenhauer is less unsympathetic in his references to Schelling, that is because Schelling's world-soul appeared to him to prefigure his own attempt to discover in nature the manifestation of a blindly striving will or feeling rather than reason. Suspicious as he shows himself of possible plagiarisms by others, the charge cannot be retorted against himself. The supreme principle of Fichte, it has been pointed out, has an actively volitional character and was formulated before Schopenhauer's: but then it is essentially rational. For Hegel, what is supreme is the world-reason. Hence they are at one with Plato in holding that in some sense 'mind is king.' For Schopenhauer, on the contrary, mind, or pure intellect, is an emancipated slave. Having reached its highest point, and seen through the work of the will, it does not turn back and organise it, but abolishes it as far as its insight extends.

Yet to say merely this is to give a wrong impression of Schopenhauer. Starting though he does with blind will, and ending with the flight of the ascetic from the suffering inherent in the world that is the manifestation of such a will, he nevertheless, in the intermediate stages, makes the world a cosmos and not a chaos. And the Platonists on their side have to admit that 'the world of all of us' does not present itself on the surface as a manifestation of pure reason, and that it is a serious task to 'rationalise' it. Where he completely fails is where the Platonic systems also fail, though from the opposite starting-point. His attempt to derive presentation, intellect, knowledge, from blind striving, is undoubtedly a failure. But so also is the attempt of the Platonising thinkers to deduce a world of mixture from a principle of pure reason without aid from anything else empirically assumed. Not that in either case there is failure to give explanations in detail; but in both cases much is taken from experience without reduction to the principles of the system. What we may say by way of comparison is this: that if Schopenhauer had in so many words recognised an immanent

Reason as well as Will in the reality of the universe, he would have formally renounced his pessimism; while it cannot be said that on the other side a more explicit empiricism in the account of the self-manifestation of Reason would necessarily destroy the optimism.

## CHAPTER IV. ÆSTHETICS

A portion of Schopenhauer's system by which its pessimism is considerably mitigated is his theory of the Beautiful and of Fine Art. The characteristic of æsthetic contemplation is, he finds, that intellect throws off the yoke and subsists purely for itself as clear mirror of the world, free from all subjection to practical purposes of the will. In this state of freedom, temporary painlessness is attained.

The theory starts from his adaptation of the Platonic Ideas. Regarded purely as an æsthetic theory, it departs from Plato, as he notes; for, with the later Platonists, who took up the defence of poetic myths and of the imitative arts as against their master, he holds that Art penetrates to the general Idea through the particular, and hence that the work of art is no mere 'copy of a copy.' The difference of the Idea from the Concept is that it is not merely abstract and general, but combines with generality the characters of an intuition.

The Ideas, as we have seen, constitute the determinate stages of objectivation of the Will. The innumerable individuals of which the Ideas are the patterns are subject to the law of sufficient reason. They appear, that is to say, under the forms of time, space, and causality. The Idea is beyond these forms, and therefore is clear of plurality and change. Since the law of sufficient reason is the common form under which stands all the subject's knowledge so far as the subject knows as individual, the Ideas lie outside the sphere of knowledge of the individual as such. If, therefore, the Ideas are to be the object of knowledge, this can only be by annulling individuality in the knowing subject.

As thing-in-itself, the Will is exempt even from the first of the forms of knowledge, the form of being 'object for a subject.' The Platonic Idea, on the other hand, is necessarily an object, something known, a presentation. It has laid aside, or rather has not taken on, the subordinate forms; but it has retained the first and most general form. It is the immediate and most adequate possible objectivity of the Will; whereas particular things are an objectivation troubled by the forms of which the law of sufficient reason is the common expression.

When intellect breaks loose from the service of the will, for which it was originally destined in the teleology of nature, then the subject ceases to be

merely individual and becomes pure will-less subject of knowledge. In this state the beholder no longer tracks out relations in accordance with the principle of sufficient reason — which is the mode of scientific as well as of common knowledge — but rests in fixed contemplation of the given object apart from its connection with anything else. The contemplator thus ‘lost’ in the object, it is not the single thing as such that is known, but the Idea, the eternal form, the immediate objectivity of the Will at this stage. The correlate of this object — the pure Subject exempt from the principle of sufficient reason — is eternal, like the Idea.

The objectivation of the Will appears faintly in inorganic things, — clouds, water, crystals, — more fully in the plant, yet more fully in the animal, most completely in man. Only the essential in these stages of objectivation constitutes the Idea. Its development into manifold phenomena under the forms of the principle of sufficient reason, is unessential, lies merely in the mode of knowledge for the individual, and has reality only for this. It is not otherwise with the unfolding of that Idea which is the completest objectivation of the Will. To the Idea of Man, the occurrences of human history are as unessential as the shapes they assume to the clouds, as the figures of its whirlpools and foam-drift to the stream, as its frost-flowers to the ice. The same underlying passions and dispositions everlastingly recur in the same modes. It is idle to suppose that anything is gained. But also nothing is lost: so the Earth-spirit might reply to one who complained of high endeavours frustrated, faculties wasted, promises of world-enlightenment brought to nought; for there is infinite time to dispose of, and all possibilities are for ever renewed.

The kind of knowledge for which the Ideas are the object of contemplation finds its expression in Art, the work of genius. Art repeats in its various media the Ideas grasped by pure contemplation. Its only end is the communication of these. While Science, following the stream of events according to their determinate relations, never reaches an ultimate end, Art is always at the end. ‘It stops the wheel of time; relations vanish for it: only the essence, the Idea, is its object.’ The characteristic of genius is a predominant capacity for thus contemplating things independently of the principle of sufficient reason. Since this requires a forgetting of one’s own person and the relations between it and things, the attitude of genius is simply the completest ‘objectivity.’ The ‘subjectivity’ opposed to this, in Schopenhauer’s phraseology, is preoccupation with the interests of one’s



own will. It is, he says, as if there fell to the share of genius a measure of intelligence far beyond the needs of the individual will: and this makes possible the setting aside of individual interests, the stripping off of the particular personality, so that the subject becomes 'pure knowing subject,' 'clear world-eye,' in a manner sufficiently sustained for that which has been grasped to be repeated in the work of art. A necessary element in genius is therefore Imagination. For without imagination to represent, in a shape not merely abstract, things that have not come within personal experience, genius would remain limited to immediate intuition, and could not make its vision apprehensible by others. Nor without imagination could the particular things that express the Idea be cleared of the imperfections by which their limited expression of it falls short of what nature was aiming at in their production. 'Inspiration' is ascribed to genius because its characteristic attitude is intermittent. The man of genius cannot always remain on a height, but has to fall back to the level of the common man, who can scarcely at all regard things except as they affect his interests, — have a relation to his will, direct or indirect.

This is the statement in its first outline of a theory that became one of Schopenhauer's most fruitful topics. Many are the pages he has devoted to the contrast between the man of genius and 'the wholesale ware of nature, which she turns out daily by thousands.' The genius is for him primarily the artist. Scientific genius as a distinctive thing he does not fully recognise; and he regards men of action, and especially statesmen, rather as men of highly competent ability endowed with an exceptionally good physical constitution than as men of genius in the proper sense. Philosophers like himself, who, as he frankly says, appear about once in a hundred years, he classes in the end with the artists; though this was left somewhat indeterminate in his first exposition. The weakness of the man of genius in dealing with the ordinary circumstances of life he allows, and even insists on. Genius, grasping the Idea in its perfection, fails to understand individuals. A poet may know man profoundly, and men very ill. He admits the proximity of genius to madness on one side, and explains it in this way. What marks the stage of actual madness, as distinguished from illusion or hallucination, is complete disruption of the memory of past life, of the history of the personality as something continuous; so that the particular thing is viewed by itself, out of relation. This gives a kind of resemblance to the attitude of genius, for which present intuition excludes from view the

relations of things to each other. Or, as we may perhaps sum up his thought in its most general form, 'alienation' or dissolution of personality has the resemblance often noted between extremes to the impersonality, or, as he calls it, 'objectivity,' that is super-personal.

In spite of his contempt for the crowd, he has to admit, of course, that the capacity of genius to recognise the Ideas of things and to become momentarily impersonal must in some measure belong to all men; otherwise, they could not even enjoy a work of art when produced. Genius has the advantage only in the much higher degree and the greater prolongation of the insight. Since, then, the actual achievement of the artist is to make us look into the world through his eyes, the feelings for the beautiful and the sublime may be treated irrespectively of the question whether they are aroused by nature and human life directly or by means of art.

Æsthetic pleasure in contemplation of the beautiful proceeds partly from recognition of the individual object not as one particular thing but as Platonic Idea, that is, as the enduring form of this whole kind of things; partly from the consciousness the knower has of himself not as individual, but as pure, will-less Subject of Knowledge. All volition springs out of need, therefore out of want, therefore out of suffering. No attained object of will can give permanent satisfaction. Thus, there can be no durable happiness or rest for us as long as we are subjects of will. 'The Subject of Will lies continually on the turning wheel of Ixion, draws ever in the sieve of the Danaides, is the eternally thirsting Tantalus. But in the moment of pure objective contemplation, free from all interest of the particular subjectivity, we enter a painless state: the wheel of Ixion stands still. The Flemish painters produce this æsthetic effect by the sense of disinterested contemplation conveyed in their treatment of insignificant objects. There are certain natural scenes that have power in themselves, apart from artistic treatment, to put us in this state; but the slightest obtrusion of individual interest destroys the magic. Past and distant objects, through their apparent detachment, have the same power. The essential thing æsthetically, whether we contemplate the present or the past, the near or the distant, is that only the world of presentation remains; the world as will has vanished.

The difference between the feelings of the Beautiful and of the Sublime is this. In the feeling of the beautiful, pure intelligence gains the victory without a struggle, leaving in consciousness only the pure subject of

knowledge, so that no reminiscence of the will remains. In the feeling of the sublime, on the other hand, the state of pure intelligence has to be won by a conscious breaking loose from relations in the object that suggest something threatening to the will; though there must not be actual danger; for in that case the individual will itself would come into play, and æsthetic detachment would cease. Elevation above the sense of terror has not only to be consciously won but consciously maintained, and involves a continuous reminiscence, not indeed of any individual will, but of the will of man in general, so far as it is expressed through its objectivity, the human body, confronted by forces hostile to it. Pre-eminently this feeling arises from contrast between the immensities of space and time and the apparent insignificance of man. It means in the last resort that the beholder is upheld by the consciousness that as pure subject of knowledge (not as individual subject) he himself bears within him all the worlds and all the ages, and is eternal as the forces that vainly seem to threaten him with annihilation.

On the objective side, and apart from the subjective distinction just set forth, the sublime and the beautiful are not essentially different. In both cases alike, the object of æsthetic contemplation is not the single thing, but the Idea that is striving towards manifestation in it. Whatever is viewed æsthetically is viewed out of relation to time and space: 'along with the law of sufficient reason the single thing and the knowing individual are taken away, and nothing remains over but the Idea and the pure Subject of Knowledge, which together make up the adequate objectivity of the Will at this stage.' There is thus a sense in which everything is beautiful; since the Will appears in everything at some stage of objectivity, and this means that it is the expression of some Idea. But one thing can be more beautiful than another by facilitating æsthetic contemplation. This facilitation proceeds either from the greater clearness and perfection with which the particular thing shows forth the Idea of its kind, or from the higher stage of objectivation to which that Idea corresponds. Man being the highest stage of objectivation of the Will, the revelation of his essence is the highest aim of art. In æsthetic contemplation of inorganic nature and vegetative life, whether in the reality or through the medium of art, and in appreciation of architecture, the subjective aspect, that is to say, the enjoyment of pure will-less knowledge, is predominant; the Ideas themselves being here lower stages of objectivity. On the other hand, when animals and men are the object of æsthetic contemplation or representation, the enjoyment consists

more in the objective apprehension of those Ideas in which the essence of the Will is most clearly and fully manifested.

Of all Schopenhauer's work, its æsthetic part has met with the most general appreciation. Here especially he abounds in observations drawn directly, in his own phrase, from intuition. To make a selection of these, however, is not appropriate to a brief sketch like the present. I pass on, therefore, to those portions of his theory of Art by which he makes the transition, in terms of his system, to Morality.

From Architecture onward the arts are obliged to represent the Will as divided. Here, at the first stage, its division subsists only in a conflict of inorganic forces which have to be brought to equilibrium. The conflict between weight and rigidity is in truth the only æsthetic material of architecture as a fine art. When we come to animal and lastly to human life, which, in the Plastic Arts and in Poetry, as form, individualised expression, and action, is the highest object of æsthetic representation, the vehemence of divided will is fully revealed; and here too is revealed the essential identity of every will with our own. In the words of the Indian wisdom, 'Tat twam asi'; 'that thou art.' Under the head of Ethics it will be shown expressly that by this insight, when it reacts on the will, the will can deny itself. For the temporary release from its striving, given in æsthetic contemplation, is then substituted permanent release. To this 'resignation,' the innermost essence of all virtue and holiness, and the final redemption from the world, Art itself, at its highest stages, points the way.

The summits of pictorial and poetic art Schopenhauer finds in the great Italian painters so far as they represent the ethical spirit of Christianity, and in the tragic poets, ancient and modern. It is true that the poverty of their sacred history or mythology puts the Christian artists at a disadvantage; but events are merely the accidents of their art. Not in these, as related according to the law of sufficient reason, is the essence, but in the spirit we divine through the forms portrayed. In their representation of men full of that spirit, and especially in the eyes, we see mirrored the knowledge that has seized the whole essence of the world and of life, and that has reacted on the will, not so as to give it motives, but as a 'quietive'; whence proceeds complete resignation, and with it the annulling of the will and of the whole essence of this world. Of tragedy, the subject-matter is the conflict of the will with itself at its highest stage of objectivity. Here also

the end is the resignation brought on by complete knowledge of the essence of the world. The hero, on whom at last this knowledge has acted as a quietive, gives up, not merely life, but the whole will to live. 'The true meaning of tragedy is the deeper insight, that what the hero expiates is not his particular sins, but original sin, that is, the guilt of existence itself.' To illustrate this position Schopenhauer is fond of quoting a passage from Calderon which declares that the greatest sin of man is to have been born.

It seems strange that, after deriding as he does the popular notion of 'poetic justice' so detached a thinker should imagine an at least equally one-sided view to receive its final confirmation from the Spanish dramatist's poetic phrasing of a Christian dogma. The great tragic poets, for Schopenhauer also, are Æschylus, Sophocles and Shakespeare. Now it is safe to say that by none of these was any such general doctrine held either in conceptual or in intuitive form. The whole effect of any kind of art, of course he would admit, cannot be packed into a formula; but if we seek one as an aid to understanding, some adaptation of his own theory of the sublime would probably serve much better as applied to tragedy than his direct theory of the drama. In the case of pictorial art, all that is proved by what he says about the representation of ascetic saintliness, is that this, like many other things, can be so brought within the scope of art as to make us momentarily identify ourselves with its Idea in the impersonal manner he has himself described. His purely æsthetic theory is quite adequate to the case, without any assumption that this is the representation of what is best. Art, pictorial or poetic, can no more prove pessimism than optimism. We pick out expressions of one or the other for quotation according to our moods or subjective preferences; but, if we have the feeling for art itself, our sense of actual æsthetic value ought to be independent of these.

Schopenhauer's æsthetic theory, however, does not end here. There follows the part of it by which he has had an influence on artists themselves. For him, a position separate from all the other arts is held by music. While the rest objectify the Will mediately, that is to say, by means of the Ideas, Music is as immediate an objectivation of the whole Will as the world itself, or as the Ideas, of which the pluralised phenomenon constitutes the sum of particular things. The other arts speak of the shadow, music of the substance. There is indeed a parallelism, an analogy, between Music and the Ideas; yet Music never expresses the phenomenon in which

these are manifested, but only the inner essence behind the appearance, the Will itself. In a sense it renders not feeling in its particularity, but feeling *in abstracto*; joy, sorrow, not a joy, a sorrow. The phenomenal world and music are to be regarded as two different expressions of the same thing. The world might be called embodied Music as well as embodied Will. 'Melodies are to a certain extent like general concepts, an abstract of reality.' A complete explanation of music, that is, a detailed repetition of it in concepts, were this possible, would be a complete explanation of the world (since both express the same thing) and therefore a true and final philosophy. As music only reaches its perfection in the full harmony, 'so the one Will out of time finds its perfect objectivation only in complete union of all the stages which in innumerable degrees of heightened distinctness reveal its essence.' But here, too, Schopenhauer adds, the Will is felt, and can be proved, to be a divided will; and the deliverance wrought by this supreme art, as by all the others, is only temporary.

## CHAPTER V. ETHICS

Permanent redemption from the suffering of the world is to be found only in the holiness of the ascetic; but to this there are many stages, constituting the generally accepted human virtues. Of these Schopenhauer has a rational account to give in terms of his philosophy; and if the last stage does not seem to follow by logical sequence from the others, this is only what is to be expected; for it is reached, in his view, by a sort of miracle. To the highest kind of intuitive knowledge, from which the ascetic denial of the will proceeds, artistic contemplation ought to prepare the way; and so also, on his principles, ought the practice of justice and goodness. Yet he is obliged to admit that few thus reach the goal. Of those that do reach it, the most arrive through personal suffering, which may be deserved. A true miracle is often worked in the repentant criminal, by which final deliverance is achieved. Though the 'intelligible character' is unalterable, and the empirical character can only be the unfolding of this, as every great dramatist intuitively recognises, yet the 'convertites,' like Duke Frederick in *As You Like It*, are not to be regarded as hypocrites. The 'second voyage' to the harbour, that of the disappointed egoist, on condition of this miracle, brings the passenger to it as surely as the first, that of the true saints, which is only for the few. And in these equally a miraculous conversion of the will has to be finally worked.

At the entrance to his distinctive theory of ethics, Schopenhauer places a restatement of his metaphysics as the possible basis of a mode of contemplating life which, he admits, has some community with an optimistic pantheism. The Will, through the presentation and the accompanying intelligence developed in its service, becomes conscious that that which it wills is precisely the world, life as it is. To call it 'the will to live' is therefore a pleonasm. 'Will' and 'will to live' are equivalent. For this will, life is everlastingly a certainty. 'Neither the will, the thing-in-itself in all phenomena, nor the subject of knowledge, the spectator of all phenomena, is ever touched by birth and death.' It is true that the individual appears and disappears; but individuality is illusory. Past and future exist only in conceptual thought. 'The form of life is a present without end, howsoever the individuals, phenomena of the Idea, come into existence and vanish in time, like fugitive dreams.' Only as phenomenon is each man

different from the other things of the world: as thing-in-itself he is the Will, which appears in all, and death takes away the illusion that divides his consciousness from the rest. 'Death is a sleep in which the individuality is forgotten: everything else wakes again, or rather has remained awake.' It is, in the expression adopted by Schopenhauer later, an awakening from the dream of life: though this bears with it somewhat different implications; and, as has been said, his theory of individuality became modified.

With the doctrine of the eternal life of the Will are connected Schopenhauer's theories, developed later, of the immortality of the species and of individualised sexual love. The latter is by itself a remarkable achievement, and constitutes the one distinctly new development brought to completion in his later years; for the modifications in his theory of individuality are only tentative. His theory of love has a determinate conclusion, of great value for science, and not really compatible, it seems to me, with his pessimism. In its relation to ethics, on which he insisted, it is rightly placed in the position it occupies, between the generalised statement of his metaphysics just now set forth on the one side, and his theory of human virtue on the other.

The teleology that manifests itself in individualised love is, in his view, not related in reality to the interests of the individual life, but to those of the species. That this is immortal follows from the eternity of the Idea it unfolds. The end sought is aimed at unconsciously by the person. Fundamentally, for Schopenhauer, teleology must of course be unconscious, since the will is blind, and will, not intelligence, is primordial. Its typical case is the instinct of animals; but the 'instinctive' character belongs also to the accomplishment of the highest aims, as in art and virtue. What characterises individualised love internally is the aim, attributed to 'nature' or 'the species,' at a certain typical beauty or perfection of the offspring. The lover is therefore deluded in thinking that he is seeking his own happiness. What looks through the eyes of lovers is the genius of the race, meditating on the composition of the next generation. It may, in the complexity of circumstances, be thwarted. When it reaches its end, often personal happiness is sacrificed. Marriages dictated by interest tend to be happier than love-matches. Yet, though the sacrifice of the individual to the race is involuntary in these, egoism is after all overcome; hence they are quite rightly the object of a certain admiration and sympathy, while the prudential ones are looked upon with a tinge of contempt. For here too that



element appears which alone gives nobility to the life either of intellect or of art or of moral virtue, namely, the rising above a subjective interest of the individual will.

No doubt there are touches of pessimism in this statement; but the general theory does not seem reconcilable finally with pessimism as Schopenhauer understands it. For it is a definitely stated position of his that nature keeps up the process of the world by yielding just enough to prevent discontinuance of the striving for an illusory end. Yet he admits here in the result something beyond bare continuance of life; for this is already secured without the particular modification of feeling described. What the feeling is brought in to secure is a better realisation of the type in actual individuals; and such realisation is certainly more than bare subsistence with the least possible expenditure of nature's resources.

As the immediate preliminary to his ethics proper, Schopenhauer restates his doctrine on the intelligible and the empirical character in man, and lays down a generalised psychological position regarding the suffering inherent in life. Everything as phenomenon, we have seen already, is determined because it is subject to the law of sufficient reason. On the other hand, everything as thing-in-itself is free; for 'freedom' means only non-subjection to that law. The intelligible character of each man is an indivisible, unalterable act of will out of time; the developed and explicit phenomenon of this in time and space is the empirical character. Man is his own work, not in the light of knowledge, but before all knowledge; this is secondary and an instrument. Ultimately, freedom is a mystery, and takes us beyond even will as the name for the thing-in-itself. In reality, that which is 'will to live' need not have been such (though we cannot see how this is so), but has become such from itself and from nothing else. This is its '*aseitas*.' Hence it is in its power to deny itself as will to live. When it does this, the redemption (like the fall) comes from itself. This denial does not mean annihilation, except relatively to all that we know under the forms of our understanding. For the will, though the nearest we can get to the thing-in-itself, is in truth a partially phenomenalised expression of this. As the will to live expresses itself phenomenally, so also does the denial of the will to live, when this, by special 'grace,' is achieved. Only in man does the freedom thus attained find phenomenal expression. That man can attain to it proves that in him the will has reached its highest possible stage of objectivation; for, after it has turned back and denied itself, there is

evidently nothing more that we can call existence, that is to say, phenomenal existence, beyond. What there is beyond in the truth of being is something that the mystics know — or rather, possess, for it is beyond knowledge — but cannot communicate.

The psychological reason that can be assigned for the ascetic flight from the world is that all pleasure, happiness, satisfaction, is merely negative. The will is a striving that has no ultimate aim. It is sustained only by hindrances. Hindrance means suffering; and every satisfaction attained is only temporary, a mere liberation from need, want, pain, which is positive. Suffering increases with the degree of consciousness. The life of civilised man is an alternation between pain and *ennui*, which can itself become as intolerable a suffering as anything. The problem of moral philosophy, then, is ultimately how redemption from such a world is to be attained, but only so far as this is a matter of conceptual knowledge. For philosophy, being from beginning to end theoretical, cannot work the practical miracle by which the will denies itself.

The intuitive, as distinguished from merely conceptual, knowledge by which the return is made, consists essentially in a clear insight into the identity of the suffering will in all things and the necessity of its suffering as long as it is will to live. This, then, is the true foundation of morality. The universe as metaphysical thing-in-itself, as noumenon, has an ethical meaning. All its stages of objectivation, though in the process what seems to be aimed at is preservation of the will as manifested, have in truth for their ultimate aim its redemption by suppression of the phenomenal world in which it manifests itself.

Affirmation of the will is affirmation of the body, which is the objectivity of the will. The sexual impulse, since it affirms life beyond the death of the individual, is the strongest of self-affirmations. In it is found the meaning of the mythical representation that has taken shape in the theological dogma of original sin. For by this affirmation going beyond the individual body, suffering and death, as the necessary accompaniment of the phenomenon of life, are reaffirmed, and the possibility of redemption this time declared fruitless. But through the whole process there runs eternal justice. The justification of suffering is that the will affirms itself; and the self-affirmation is justified by payment of the penalty.

Before the final redemption — which is not for the world but for the individual — there are many stages of ethical progress. These consist in the

gradual overcoming of egoism by sympathy. And here Schopenhauer proceeds to set forth a practical scheme for the social life of man, differing from ordinary utilitarianism only by reducing all sympathy to pity, in accordance with his view that there can be no such thing as positive happiness.

He begins with a theory of justice, legal and moral, very much on the lines of Hobbes, except that he regards it as up to a certain point *a priori*. Here he is consistent throughout. As in his philosophical account of mathematics and physics, so also in his aesthetics and ethics, he retained, side by side with a strong empirical tendency, belief in certain irreducible *a priori* forms without which our knowledge cannot be constituted. The pure ethical theory of justice, he says, bears to the political theory the relation of pure to applied mathematics. Injustice he holds to be the positive conception. It means the breaking into the sphere of another person's will to live. The self-affirmation of the will that appears in one individual body is extended to denial of the will that appears in other bodies. Justice consists in non-encroachment. There is a 'natural right,' or 'moral right,' of resistance to injustice by infliction of what, apart from the attempted encroachment, would be wrong. Either force or deception may be used; as either may be the instrument of injustice. The purely ethical doctrine of justice applies only to action; since only the not doing of injustice depends on us. With the State and its laws, the relation is reversed. The object of these is to prevent the suffering of injustice. The State is not directed against egoism, but has sprung out of a rationalised collective egoism. It has for its purpose only to avoid the inconvenient consequences of individual aggressions on others. Outside of the State, there is a right of self-defence against injustice, but no right of punishment. The punishment threatened by the State is essentially a motive against committing wrong, intended to supply the place of ethical motives for those who are insufficiently accessible to them. Actual infliction of it is the carrying out of the threat when it has failed, so that in general the expectation of the penalty may be certain. Revenge, which has a view to the past, cannot be justified ethically: punishment is directed only to the future. There is no right in any one to set himself up as a moral judge and inflict pain; but man has a right to do what is needful for social security. The criminal's acts are of course necessitated;

but he cannot justly complain of being punished for them, since it is ultimately from himself, from what he is, that they sprang.

With the doctrine of 'eternal justice,' touched on above, we pass into a different region of thought. What is responsible for the guilt in the world is the Will by which everything exists, and the suffering everlastingly falls where the guilt is. Take the case of apparently unpunished injustice (from the human point of view) expressing itself in the extreme form of deliberate cruelty. Through this also, eternal justice, from which there is no escape, is fulfilled. 'The torturer and the tortured are one. The former errs in thinking he has no share in the torture; the latter in thinking he has no share in the guilt.' For all the pain of the world is the expiation of the sin involved in the self-affirmation of will, and the Will as thing-in-itself is one and the same in all.

If this could satisfy any one, there would be no need to go further. The whole being as it ought to be, why try to rectify details that are absolutely indifferent? But of course the implication is that individuality is simply illusory; and this, as has been said, was a position that Schopenhauer neither could nor did consistently maintain. Indeed, immediately after setting forth this theory of 'eternal justice,' he goes on to a relative justification of those acts of disinterested vengeance by which a person knowingly sacrifices his own life for the sake of retribution on some extraordinary criminal. This, he says, is a form of punishment, not mere revenge, although it involves an error concerning the nature of eternal justice. Suicide involves a similar error, in so far as it supposes that the real being of the individual can be assailed through its phenomenal manifestation. It is not a denial of the will to live, but a strong affirmation of it, only not in the given circumstances: different circumstances are desired with such intensity that the present cannot be borne. Therefore the individual manifestation of the will is not suppressed. Yet, one might reply, if individuality is an illusion attached to the appearance in time and space of a particular organism, it would seem that, with the disappearance of this, all that distinguishes the individual must disappear also.

Schopenhauer had no will thus to escape from life; nor did he afterwards devote himself to expounding further his theory of eternal justice. What he wrote later, either positively or as mere speculation, implies both greater reality in the individual and more of cosmic equity to correspond. His next step, even at his first stage, is to continue the exposition of a practicable

ethics for human life. His procedure consists in adding beneficence to justice, with the proviso already mentioned, which is required by his psychology, that all beneficence can consist only in the relief of pain. For Schopenhauer, as for Comte, what is to be overcome is 'egoism,' an excessive degree of which is the mark of the character we call 'bad.' The 'good' is what Comte and Spencer call the 'altruistic' character. This difference between characters Schopenhauer goes on to explain in terms of his metaphysics. The egoist is so deluded by the principle of individuation that he supposes an absolute cleft between his own person and all others. The remorse of conscience from which he suffers proceeds in part from an obscure perception that the principle of individuation is illusory. Genuine virtue springs out of the intuitive (not merely abstract) knowledge that recognises in another individuality the same essence as in one's own. The characteristic of the good man is that he makes less difference than is customary between himself and others. Justice is an intermediate stage between the encroaching egoism of the bad and positive goodness. In the renunciation of rights of property, and provision for all personal needs without aid from others, practised by some religious and philosophical ascetics, it is passing over into something more. There is, however, a certain misunderstanding involved in so interpreting strict justice; for there are many ways in which the rich and powerful can be positively beneficent. At the other extreme, when they simply live on their inherited wealth, without doing anything in return, their mode of life is morally, though not legally, unjust. Rights of property Schopenhauer derived from labour spent on the things appropriated. The injustice, in many ways, of the present social order he quite recognises. If he has no sympathy with revolutions, it is because he has no belief in the realisation of an ideal state. This follows from his view of history. Human life, it is his conviction, never has been and never will be different as a whole. Redemption from evil can be attained only by the individual. All that the State can do is to provide certain very general conditions of security under which there will be no hindrance to those who desire to live in accordance with a moral ideal.

Yet there are qualifications to make. Many passages in Schopenhauer's writings prove his firm belief in the future triumph of reason over superstition. It is to the honour of humanity, he says, that so detestable a form of evil as organised religious persecution has appeared only in one section of history. And, in his own personal case, he has the most complete

confidence that the truths he has put forth cannot fail sometime to gain a hearing. In all cases, error is only temporary, and truth will prevail. His language on this subject, and indeed often on others, is indistinguishable from that of an optimist.

In the last resort, his pessimism entrenches itself behind the psychological proposition that every satisfaction is negative, being only the removal of a pain. If this is unsustainable, there is nothing finally in his *Metaphysics of Will* to necessitate the pessimistic conclusion drawn. The mode of deduction by which he proceeds is to argue first to the position already noticed: that all that love of others on which morality is based is fundamentally pity. True benevolence can only be the desire to relieve others' pain, springing from the identification of this with our own. For that reason, moral virtue must finally pass over into asceticism — the denial of the will to live. In others, if we are able to see through the principle of individuation, we recognise the same essence as in ourselves, and we perceive that as long as this wills it must necessarily suffer. The end then is to destroy the will to live. This is to be done by *askesis*, self-mortification. The first step is complete chastity. If, says Schopenhauer, the highest phenomenon of will, that is, man, were to disappear through a general refusal to affirm life beyond the individual body, man's weaker reflexion in the animal world would disappear also, and the consciousness of the whole would cease. Knowledge being taken away, the rest would vanish into nothingness, since there is 'no object without subject.' That this will come to pass, however, he certainly did not believe. He has no cosmogony, like that of Hartmann, ending in a general redemption of the universe by such a collective act. Nor did he hold, like his later successor Mainländer, that through the conflict and gradual extinction of individualities, 'this great world shall so wear out to nought.' The world for him is without beginning and without end. But the exceptional individual can redeem himself. What he does when he has reached the height of holiness is by voluntary poverty and all other privations, inflicted for their own sake, to break and kill the will, which he recognises as the source of his own and of the world's suffering existence. In his case not merely the phenomenon ends at death, as with others, but the being is taken away. To be a 'world-overcomer' in this sense (as opposed to a 'world-conqueror') is the essence of sanctity when cleared of all the superstitious dogmas by which the saints try to explain their mode of life to themselves.

The absolutely pure expression of this truth is to be found only in philosophy; but of the religions Buddhism comes nearest to expressing it without admixture. For the Buddhist saint asks aid from no god. True Christianity, however, — the Christianity of the New Testament and of the Christian mystics, — agrees both with Buddhism and with Brahmanism in ultimate aim. What spoils it for Schopenhauer is the Judaic element. This, on one side, infects it with the optimism of the Biblical story of creation, in which God ‘saw everything that he had made, and, behold, it was very good.’ On the other side, it contaminates the myth of original sin, which bears in itself a profound philosophical truth, by this same doctrine of a creative God; from which follows all the injustice and irrationality necessarily involved in the Augustinian theology, and not to be expelled except with its theism. Nevertheless, the story of the Fall of Man, of which that theology, in its fundamentally true part, is a reasoned expression, is the one thing, Schopenhauer avows, that reconciles him to the Old Testament. The truth that it clothes he finds also among the Greeks; Empedocles, after the Orphics and Pythagoreans, having taught that the soul had been doomed to wander because of some antenatal sin. And the mysticism that accompanies all these more or less pure expressions of one metaphysical truth he finds represented by the Sufis even in optimistic Islam; so that he can claim for his philosophy a world-wide consent.

Religion, if we take this to include mysticism, at once rises above philosophy and falls below it. As ‘metaphysics of the people,’ it is a mythological expression of philosophical truth: as mysticism, it is a kind of ‘epi-philosophy.’ Beyond pure philosophy Schopenhauer does not profess to go; but he accepts what the mystics say as the description of a positive experience which becomes accessible when supreme insight is attained intuitively. For the philosopher as such, insight into that which is beyond the forms of our knowledge and even beyond the will itself, remains only conceptual; though it is within the province of philosophy to mark out the place for this. The ‘something else’ that is left when the will has been denied, is indicated by the ‘ecstasy,’ ‘illumination,’ ‘union with God,’ spoken of by the mystics. Paradoxically, some of the mystics themselves even have identified it with ‘nothing’; but the result of the denial of the will to live is to be called nothing only in relation to the world as we know it. ‘On the other hand, to those in whom the will has turned back and denied

itself, this so very real world of ours with all its suns and milky ways is — nothing.’

In this terminus of his philosophy, Schopenhauer recognised his kinship with Indian thought, of which he was a lifelong student. To call his doctrine a kind of Buddhism is, however, in some ways a misapprehension. Undoubtedly he accepts as his ideal the ethical attitude that he finds to be common to Buddhism and the Christianity of the New Testament; but metaphysical differences mark him off from both. We have seen that he rejects the extra-mundane God of Semitic derivation, adopted by historical Christianity. Indeed he is one of the most pronounced anti-Jehovists of all literature. But equally his belief in a positive metaphysical doctrine marks him off from Buddhism, according to the account given of it by its most recent students, who regard it either as ultimately nihilistic or as having no metaphysics at all, but only a psychology and ethics. Nor can he be precisely identified with the Vedantists of orthodox Hinduism. Their ultimate reality, if we are to find an analogue for it in European metaphysics, seems to resemble the hypostasised *ego* of Fichte, or the Kantian ‘transcendental unity of apperception’, much more than it resembles Schopenhauer’s blindly striving will as thing-in-itself. Even in practical ethics, he does not follow the Indian systems at all closely. Philosophical doctrines of justice are of course purely European; and Schopenhauer himself points out the sources of his own theory. In his extension of ethics to animals, on which he lays much stress, he cites the teachings of Eastern non-Semitic religions as superior to the rest; but he does not follow the Indians, nor even the Pythagoreans, so far as to make abstinence from flesh part of the ideal. He condemns vivisection on the ground that animals have rights: certain ways of treating them are unjust, not simply uncompassionate. The discussion here again is of course wholly within European thought. Thus, in trying to determine his significance for modern philosophy, we may consider his system in its immediate environment, leaving it to more special students to determine how far it received a peculiar colouring from the Oriental philosophies, of which, in his time, the more exact knowledge was just beginning to penetrate to the West.



## CHAPTER VI. HISTORICAL SIGNIFICANCE

Schopenhauer is not one of the philosophers who have founded a school, though he has had many disciples and enthusiastic admirers. The pessimism that was for a time a watchword with certain literary groups has passed as a mode, and his true significance must be sought elsewhere. Of the thinkers who have followed him in his pessimism, two indeed stand out as the architects of distinct systems, Eduard von Hartmann and Philipp Mainländer (both already incidentally referred to); but while they are to be classed unquestionably as philosophers, their systems contain an element that their master would have regarded as mythological. Schopenhauer declared as clearly as any of the Greeks that the phenomenal world is without beginning and without end. Kant's positing of an 'antinomy' on this point he regarded as wholly without rational justification. What Kant calls the 'antithesis,' namely, the infinite series, can be logically proved for phenomena. The 'thesis,' which asserts a beginning in time, is defended by mere fallacies. Now Hartmann and Mainländer both hold, though in different fashions, that there is a world-process from a beginning to an end, namely, the extinction of consciousness. This is the redemption of the world. Their affinity, therefore, seems to be with the Christian Gnostics rather than with the pure philosophers of the Greek tradition, continued in modern times by Bruno, Spinoza, and Schopenhauer.

Whatever may be thought of the pessimism by which Schopenhauer's mood is distinguished from that of his precursors, few will fail to recognise that special doctrines of his system contain at least a large portion of truth. His theories of Art, of Genius, and of Love are enough to found an enduring reputation for any thinker, even if there were nothing else of value in his writings. But there is much else, both in systematic construction and in the illumination of detail. I have been inclined to put forward first of all the translation into idealistic terms of the universal sentiency held by the Ionian thinkers to be inherent in the primordial elements of nature. While they viewed the world as an objective thing having psychological qualities, Schopenhauer, after the long intermediate process of thought, could treat it as phenomenal object with a psychological or subjective essence. For both doctrines alike, however, mind or soul is immanent. Still, it must be allowed that a difference remains by which Schopenhauer was even more remote

than they were from the later Greek idealism. As they were not materialists, so they did not exclude reason from the psychical properties of their substances. Schopenhauer, while he rejected the materialism of their ancient and modern successors alike, took the step of formally derationalising the elements of mind. This, no doubt, is unsustainable ultimately, if reason is ever to emerge from them. Yet the one-sidedness of the position has had a peculiar value in combating an equally one-sided rationalistic idealism. This is recognised by clear-sighted opponents. And Schopenhauer's calling the non-rational or anti-rational element in the world 'will' helps to make plainer the real problem of evil. There is truth in the Hegelian paradox that 'pessimism is an excellent basis for optimism.' An optimist like Plotinus saw that, even if good comes of evil, the case of the optimist must fail unless evil can be shown to be a necessary constituent of the world. The Platonic and Neo-Platonic 'matter,' a principle of diremption or individuation, like time and space for Schopenhauer, was an attempt to solve this problem; but something more positive seemed to be needed as the source of the stronger manifestations of evil. To the strength of these Plato drew attention in a passage (*Republic*, x. 610) where it is acknowledged that injustice confers a character of vitality and sleeplessness upon its possessor. In the notion of a blind and vehement striving, Schopenhauer supplies something adequate; only, to maintain a rational optimism, it must be regarded as a necessary element in a mixture, not as the spring of the whole.

Much might be said on the teleology by which he tries to educe intelligence from the primordial strife. Against his view, that it is evolved as a mere instrument for preserving races in a struggle, another may be set that is ready to hand in a dialogue of Plutarch. The struggle among animals, it is there incidentally argued, has for its end to sharpen their intelligence. Both these theories are on the surface compatible with evolution. If, leaving aside the problem of mechanism, we try to verify them by the test of results, the latter undoubtedly seems the more plausible. For if the struggle was a means to the improvement of intelligence, nature has succeeded more and more; whereas, if her intention was to preserve races, she has continually failed. This argument is at any rate perfectly valid against Schopenhauer himself; for he holds in common with the optimistic teleologists that 'nature does nothing in vain.'

I will conclude with a few detached criticisms on the ethical doctrine which he regarded as the culmination of his system. The antithesis, it may

first be noted, between the temporary release from the vehemence of the will that is gained through art, and the permanent release through asceticism, is not consistently maintained. Schopenhauer admits that the knowledge which for the ascetic is the 'quietive' of the will has to be won anew in a perpetual conflict. 'No one can have enduring rest on earth.' Again, revision of his doctrine concerning the reality of the individual would, I think, necessitate revision also of the position that not only asceticism but 'all true and pure love, nay, even freely rendered justice, proceeds from seeing through the *principium individuationis*.' If the individual is in some sense ultimately real, then love must be to a certain extent literally altruism. We are brought down to the elementary fact, in terms of the metaphysics of ethics, that the object of love is a real being that is itself and not ourselves, though having some resemblance to us and united in a larger whole. An objection not merely verbal might indeed be taken to Schopenhauer's metaphysics of ethics strictly on his own ground. If it is purely and simply the essence of ourselves that we recognise in everything, does not this reduce all love finally to a well-understood egoism? The genuine fact of sympathy seems to escape his mode of formulation. And, in the end, we shall perhaps not find the ascetic to be the supreme ethical type. Of the self-tormenting kind of asceticism, it is not enough to say with Schopenhauer that, since it is a world-wide phenomenon of human nature, it calls for some account from philosophy. The account may be sufficiently rendered by historical psychology; the result being to class it as an aberration born of the illusions incident to a certain type of mind at a certain stage. Indeed, that seems to be the conclusion of the Buddhists, who claim to have transcended it by finding it superfluous for the end it aims at. Let us then take, as our example of the completed type, not the monks of the Thebaid, but the mild ascetics of the Buddhist communities. Does not this type, even in its most attractive form, represent a 'second best'? Is not the final judgment that of Plato, that to save oneself is something, but that there is no full achievement unless for the life of the State also the ideal has been brought nearer realisation? When there is nothing in the world but irredeemable tyranny or anarchy, flight from it may be the greatest success possible as far as the individual life is concerned; but this is not the normal condition of humanity. Finally, may not some actual achievement, either practical or, like that of Schopenhauer, speculative,

even if accompanied by real imperfections of character, possess a higher human value than the sanctity that rests always in itself?

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# SCHOPENHAUER by Elbert Hubbard



*From 'Little Journeys to the Homes of Great Philosophers'*

Wherever one goes one immediately comes upon this incorrigible mob of humanity. It exists everywhere in legions; crowding, soiling everything, like flies in summer. Hence the numberless bad books, those rank weeds of literature which extract nourishment from the corn and choke it. They monopolize the time, money and attention which really belong to good books and their noble aims; they are written merely with a view to making money or procuring places. They are not only useless, but they do positive harm. Nine-tenths of the whole of our present literature aims solely at taking a few shillings out of the public's pocket, and to accomplish this, author, publisher and reviewer have joined forces.

— *Schopenhauer*



## SCHOPENHAUER

The philosophy we evolve is determined by what we are; just as a nation passes laws legalizing the things it wishes to do. “Where the artist is, there you will find art,” said Whistler. We will not get the Ideal Commonwealth until we get Ideal People; and we will not get an ideal philosophy until we get an ideal philosopher. Place the mentally and morally slipshod in ideal surroundings and they will quickly evolve a slum, just as did John Shakespeare, when at Stratford he was fined two pounds ten for maintaining a sequinarium. All we can say for John is that he was the author of a fine boy, who resembled his mother much more than he did his father. This seems to prove Schopenhauer’s remark concerning a divine sonship: “Paternity is a cheap office, anyway, accomplished without cost, care or

risk, and of it no one should boast. A divine motherhood is the only thing that is really sacred.”

It isn't his philosophy that makes a man — man makes his philosophy, and he makes it in his own image. Living in a world of strife, where the most savage beast that roams the earth is man, the Philosophy of Pessimism has its place.

Schopenhauer proved himself a true philosopher when he said: “All we see in the world is a projection from our own minds. I may see one thing, you another; and according to the test of a third party we are both wrong, for he sees something else. So we are all wrong, yet all are right.”

He was quite willing to admit that he had a well-defined moral squint and a touch of mental strabismus; but he revealed his humanity by blaming his limitations on his parents, and charging up his faults and foibles to other people.

It is possible that Carlyle's famous remark about the people who daily cross London Bridge was inspired by Schopenhauer, who, when asked what kind of people the Berliners were, replied, “Mostly fools!”

“I believe,” ventured the interrogator— “I believe, Herr Schopenhauer, that you yourself live at Berlin?”

“I do,” was the response, “and I feel very much at home there.”

Heinrich Schopenhauer, the father of Arthur Schopenhauer, was a banker and shipping merchant of the city of Danzig, Germany. He was a successful man, and, like all successful men, he was an egotist. Before the world will believe in you, you must believe in yourself. And another necessary element in success is that you must exaggerate your own importance, and the importance of your work. Self-esteem will not alone make you successful, but without a goodly jigger of self-esteem, success will forever dally and dance just beyond your reach. The humble men who have succeeded in impressing themselves upon the world have all taken much pride in their humility.

Heinrich Schopenhauer was a proud man — as proud as the Merchant of Venice — and in his veins there ran a strain of the blue blood of the Castilian Jew. Too much success is most unfortunate. Heinrich Schopenhauer was proud, unbending, harsh, arbitrary, wore a full beard and a withering smile, and looked upon musicians, painters, sculptors and writers as court clowns, to be trusted only as far as you could fling Taurus

by the tail. All good bookkeepers have, even yet, this pitying contempt for those whose chief assets are ideas — the legal tender of the spirit. The Alameda smile is the smile of scorn worn by the bookkeepers who prepare the balance-sheets for the great merchants of San Francisco. Alameda is young, but the Alameda smile is classic.

When Heinrich Schopenhauer was forty he married a beautiful girl of twenty. She had ideas about art and poetry, and was passing through her Byronic stage, before Byron did, and taking it rather hard, when her parents gave her in troth to Heinrich Schopenhauer, the rich merchant. It was regarded as a great catch.

I wish that I could say that Heinrich and Johanna were happy ever after, but in view of the well-known facts put forth by their firstborn child, I can not do it.

Before marriage the woman has her way: let her make the most of her power — she'll not keep it long! Shortly after their marriage Heinrich saw symptoms of the art instinct creeping in, and players on sweet zither-strings, who occasionally called, compelled him to take measures. He bought a country seat, four miles from the city, on an inaccessible road, and sent his bride thither. Here he visited her only on Saturdays and Sundays, and her callers were the good folk he chose to bring with him.

Marital peace is only possible where women are properly suppressed — lumity dee!

It was under these conditions that Arthur Schopenhauer was born, on February Twenty-second — in deference to our George Washington — Seventeen Hundred Eighty-eight.

The chief quality that Schopenhauer inherited from his father was the Alameda smile — and this smile of contempt was for all those who did not think as he did. The mother never professed to have any love for her husband, or the child either, and the child never professed to have any love for his mother. He once wrote this: "I was an unwelcome child, born of a mother in rebellion — she never wanted me, and I reciprocate the sentiment."

In that troublous year of Seventeen Hundred Ninety-three, the Free City of Danzig fell under the sway of Prussia.

Heinrich Schopenhauer, who loved freedom, jealous of his privileges, fearful of his rights, immediately packed up his effects, sold out his



property — at great loss — and moved to the Free City of Hamburg.

That his fears for the future were quite groundless, as most fears are, is a fact relevant but not consequent.

Johanna was vivacious and eminently social. She spoke French, German, English and Italian. She played the harp, sang, wrote poetry and acted in dramas of her own composition. Around her there always clustered a goodly group of men with long hair, dreamy eyes and pointed beards, who soared high, dived deep, but seldom paid cash. This is the paradise to which most women wish to attain: to be followed by a concourse of artistic archangels — what nobler ambition! And let the great biological and historical fact here be written down — that there are no female angels.

Heinrich did not settle down in Hamburg and go into business, as he expected. He and his wife and boy traveled much — through England, France, Germany and Switzerland.

This man and his wife were trying to get away from themselves. Long years after, their son wrote, “When people die and wake up in hell they will probably be surprised to find that they are just such beings as they were when they were on earth.”

For a year the lad was left at school with a clergyman at Wimbledon, in England. The strict religious discipline to which he was there subjected seemed to have had much to do with forming in him a fierce hatred of English orthodoxy; but he learned the language and became familiar with the great names in English literature. The King Arthur stories pleased him, and he always took a peculiar satisfaction in the fact that the name Arthur was the same in English, German and French. He was a prenatal cosmopolitan.

Boarding-schools are a great scheme for getting the children out of the way — it throws the responsibility upon some one else. When nine years of age, Arthur was placed in a French boarding-school, remaining for two years. There he learned to speak French so fluently that when he returned to Hamburg and tried to talk to his mother in German, his broken speech threw that excellent woman into fits of laughter.

When the mature man of affairs takes a young girl to wife, he expects to mold her to his nature, but he reckons without his host. Heinrich Schopenhauer’s opposition to his wife’s wishes was not strong enough to crush her — it simply developed in her a deal of wilful, dogged strength.

One winter day in Eighteen Hundred Four the body of Heinrich Schopenhauer was found in the canal at Hamburg.

Arthur was then sixteen years of age — old for his years, traveled, clever — strong in body and robust in health.

In wandering with his parents, he had met Goethe, Wieland, Madame De Stael, Lord Nelson and Lady Hamilton, and many other distinguished people, for his mother was a famous lion-hunter, and wherever they went, the great ones were tracked to their lairs. But however much Madame Schopenhauer indulged in hero-worship, she had no expectations or ambitions for her son. She apprenticed him as a clerk and did her utmost to immerse him in commerce. What she desired was freedom for herself, and the popular plan to gain freedom is to enslave others. Madame Schopenhauer moved to Weimar and opened there a sort of literary salon. She wrote verses, novels, essays, and her home became the center of a certain artistic group. The fortune her husband had left was equal to about forty thousand dollars, one-third of which was to go to Arthur when he was twenty-one. The mother had the handling of it all until that time, and as the funds were well invested, her income was equal to about two thousand dollars a year.

A handsome widow, under forty, with no encumbrances to speak of, and a fair income, is very fortunately situated. Indeed, a great writer has recently written an essay showing that widows, discreetly bereaved, are the happiest creatures on earth.

Young Schopenhauer, at his desk in Hamburg, grieved over the death of his father. That which is lost becomes valuable — bereavement softens the heart. The only tenderness that is revealed in the writings of Schopenhauer refers to his father. He affirms the sterling honesty of the man, and lauds the merchant who boldly states that he is in business to make money, and compares him with the philosophers who clutch for power and fame and yet pretend they are working for humanity. When Schopenhauer was past sixty, he dedicated his complete works to the memory of his father. As nothing purifies like fire, so does nothing sanctify like death — the love we lose is the only love we keep.

Mathematics, bills and balance-sheets were odious to young Schopenhauer. He revered the memory of his father, but his mother had endowed him with a strong impulse for expression. He wrote little essays on the backs of envelopes, philosophized over his bills, sneaked out of the

countingroom the back way to attend the afternoon lectures by the great Doctor Gall, and finally, boldly followed his mother to Weimar, that he might bask in the shadow of the mighty Goethe. It was shortly after this that he sat in a niche of Goethe's library, musing, sad and solitary, while a gay throng chattered by. Some young women, seeing him there, laughed, and one asked, "Is it alive?" And Goethe, overhearing the pleasantries, rebuked it by saying, "Do not smile at that youth — he will yet eclipse us all."

At Weimar there was no greeting for Schopenhauer from his mother — she welcomed all but her son. Unfortunately for her, she put herself on record by writing him letters. Scathing letters are all right, but they should be directed and stamped, then burned just before they are trusted to the mails. To record unkindness is tragedy, for the unkind word lives long after the event that caused it is forgotten. Here is one letter written by Madame Schopenhauer that this methodical son saved for posterity:

*My Dear Son:*

I have always told you it is difficult to live with you. The more I get to know you, the more I feel this difficulty increase. I will not hide it from you: as long as you are what you are, I would rather bring any sacrifice than consent to be near you. I do not undervalue your good points, and that which repels me does not lie in your heart; it is in your outer, not your inner being; in your ideas, your judgment, your habits; in a word, there is nothing concerning the outer world in which we agree. Your ill-humor, your complaints of things inevitable, your sullen looks, the extraordinary opinions you utter, like oracles, none may presume to contradict; all this depresses me and troubles me, without helping you. Your eternal quibbles, your laments over the stupid world and human misery, give me bad nights and unpleasant dreams....

Your Dear Mother, etc.,  
*Johanna Schopenhauer*

The young man took lodgings at Weimar, at a goodly distance from his mother. Goethe held out a friendly hand, as he did to Mendelssohn, and all bright young men. They talked much, and Goethe read to Arthur his essay on the theory of colors (for Wolfgang Goethe was human and dearly loved the sound of his own voice). The reasoning so impressed the youth that he

devised a chromatic theory of his own — almost as peculiar. Theories are for the theorizer, so all theories are useful.

At the earnest importunity of his mother, who starved him to it, Arthur went back to his clerkship, but soon returned and made terms, agreeing not to call on his mother, in consideration of a pound a week. He took lessons in Greek and Latin of a retired professor, attended lectures, fell in love with an actress — vowed he would marry her, but, luckily for her, he didn't.

When he was twenty-one, his mother turned over to him his patrimony, amounting to about fourteen thousand dollars; and suggested that he leave Weimar and make his fortune elsewhere — the world was wide.

His money was invested so it brought him an income of seven hundred dollars a year. And here seems a good place to say that Schopenhauer's income was never over a thousand dollars a year until after he was fifty-six years of age. Although he could not make money, yet he had inherited from his father an ability to care for it. Throughout his life he kept exact books of account, never ran in debt, and never allowed his expenditures to outrun his income, thus complying with Charles Dickens' recipe for happiness.

In still another way he revealed that he could apply philosophy to daily life: he exercised regularly in the open air, took long walks, was absurdly exact about his cold baths, and like Kant, served the neighbors as a chronometer, so they set their clocks at three when they saw him going forth for a walk. And in the interests of truth, we will have to make the embarrassing admission that the great Apostle of Pessimism was neither a dyspeptic nor an invalid — if he was ever aware that he had a stomach we do not hear of it.

The life of Schopenhauer is the life of a recluse — a visionary — a hermit who lost himself amid the maze of city streets, and moved solitary in the throng. Berlin, Dresden, Hamburg, Gottingen, Frankfort, engaged him, and from one to the other he turned, looking for the rest he never found, and which he knew he would never find, so in the vain search there was no disappointment. He was always happiest when most miserable, for then were his theories proved.

A single room in a lodging-house sufficed, and this room always had the appearance of being occupied by a transient. He had few books, accumulated no belongings in way of domestic ballast, persistently giving away things that were presented to him, satisfied if he had a chair, a bed,

and a table upon which to write; getting his own breakfast, dining at the table d'hôte of the nearest inn, with supper at a "Gast-Haus" — so passed his days. He had no intimate friends, and his chief dissipation was playing the flute. His black poodle, named "Homo" in a subtle mood of irony, accompanied him everywhere, and on this dog he lavished what he was pleased to call his love. He anticipated Rip Van Winkle concerning dogs and women, and when Homo died, he bought another dog that looked exactly like the first, and was just as good.

In a few instances Schopenhauer read his essays in public as lectures, but his ideas were keyed to concert pitch and were too pronounced for average audiences. He was offered a professorship at Gottingen and also at Heidelberg, if he would "tone things down," but he scornfully declined the proposition, and said, "The Universities must grow to my level before I can talk to them." By his caustic criticisms of contemporaries he became both feared and shunned, and no doubt he found a certain satisfaction in the fact that the so-called learned men of his time would neither listen to his lectures, read his books, nor abide his presence. He had made himself felt in any event. "Blessed are ye when men shall revile you," is the sweet consolation of all persecuted persons — and persecution is only the natural resentment towards those who have too much ego in their cosmos.

His opinions concerning love and marriage need not be taken too seriously. Ideas are the results of temperaments and moods. When a man amplifies on the woman question he describes the women he knows best, and more especially the particular She who is in his head. Literature is only autobiography, more or less discreetly veiled. Schopenhauer hated his mother to the day of her death, and although during the last twenty-four years of her life he never once saw her, her image could at any time be quickly and vividly thrown upon the screen. The women a strong man has known are never forgotten — here is where time does not tarnish, nor the days grow dim.

Between his twenty-eighth and fortieth years, Schopenhauer had wandered through Italy — spent months at Venice, and dawdled away the days at Rome and Florence. He had dipped deep into life — and the wrong kind of life. And his experiences had confirmed his suspicions — it was all bitter — he was not disappointed.

Until Schopenhauer was past thirty he was known as the son of Johanna Schopenhauer. And when he once told her that posterity would never

remember her except as the mother of her son, she reciprocated by congratulating him that his books could always be had cheap in the first editions.

He retorted, "Mamma Dear, my books will be read when butchers are using yours for wrapping up meat." In some ways this precious pair were very much alike.

It is very probable that Schopenhauer's mother was not so base as he thought; and when he declared, "Woman's morality is only a kind of prudence," he might have said the same of his own. He stood aloof from life and said things about it. He had no wife, no child, no business, no home — he dared not venture boldly into the tide of existence — he stood forever on the bank, and watched the current carrying its flotsam and jetsam to the hungry sea.

In his love for the memory of his father, and in his tender care for his dog, we get a glimpse of depths that were never sounded. One side of his nature was never developed. And the words of the undeveloped man are worth what they are worth.

Schopenhauer once said to Wieland, "Life is a ticklish business — I propose to spend my time looking at it." This he did, viewing existence from every angle, and writing out his thoughts in terse, epigrammatic language.

Among all the German writers on philosophy, the only one who had a distinct literary style is Schopenhauer. Form was quite as much to him as matter — and in this he showed rare wisdom; although I am told that the writers who have no literary style are the only ones who despise it. Dishes to be palatable must be rightly served: appetite — literary, gastronomic or sexual — is largely a matter of imagination.

Schopenhauer need not be regarded as final. The chief virtue of the man lies in the fact that he makes us think, and thus are we his debtors.

In this summary of Schopenhauer's philosophy I have had the valuable assistance of my friend and fellow-worker in the Roycroft Shop, George Pannebakker, a kinsman and enthusiastic admirer of the great Prophet of Pessimism.

In talking to Mr. Pannebakker, I am inclined to exclaim, "Thou almost persuadest me to be a pessimist!" It is unfortunate that our English tongue contains no word that stands somewhere between pessimism and optimism — that symbols a judicial cast of mind which sees the Truth without

blinking and accepts it without complaint. The word Pessimist was first flung in contempt at those who dared to express unpalatable truth. It is now accepted by a large number of intellectuals, and if to be a pessimist is to have insight, wit, calm courage, patience, persistency, and a disposition that accepts all Fate sends and makes the best of it, then pity 'tis we haven't more.

The root of existence, the inmost kernel of all being, the original vitalizing power, the fundamental reality of the universe, is, according to Schopenhauer, "WILL." What is Will? Will, in the usual sense, is the faculty of our mind by which we decide to do or not to do. Will is the power to choose. In Schopenhauer's philosophy, Will is something less as we know will, and something more than force. Will, connected with consciousness, as peculiar to man, is, in a less developed form, the real essence of all matter, of all things, organic or inorganic. Will is the blind, irresistible striving for existence; the unconscious organizing power, the omnipotent creative force of Nature, pervading the whole limitless universe; the endeavor to be, to evolve, to expand.

The whole world of phenomena is the objectivation or apparition of Will.

Will, the same force which slumbers in the stone as inert gravity, forms the crystals with such wonderful regularity.

Will impels a piece of iron to move with ardent desire toward the magnet. Will causes the magnet to point with unfailing constancy to the north. Will causes the embryo to cling as a parasite and feed on the body of the mother. Will causes the mother's breast to fill that her babe may be fed. Will fills the mother-heart with love that the young may be cared for.

The same force urges the tender germ of the plant to break through the hard crust of the earth and, stretching toward the light, to enfold itself in the proud crown of the palm-tree. Will sharpens the beak of the eagle and the tooth of the tiger and, finally, reaches its highest grade of objectivation in the human brain. Want, the struggle for existence, the necessity of procuring and selecting sufficient food for the preservation of the individual and the species, has at last developed a suitable tool, the brain, and its function, the intellect. With the intellect appear consciousness and a realm of rational life full of yearning and desires, pleasures and pain, hatred and love. Brothers slay their brothers, conquerors trample down the races of the earth, and tyrants are forging chains for the nations.

There is violence and fear, vexation and trouble. Unrest is the mark of existence, and onward we are swept in the hurrying whirlpool of change. This manifold restless motion is produced and kept up by the agency of two single impulses — hunger and the sexual instinct. These are the chief agents of the Lord of the Universe — the Will — and set in motion so strange and varied a scene.

The Will-to-Live is at the bottom of all love-affairs. Every kind of love springs entirely from the instinct of sex.

Love is under bonds to secure the existence of the human race in future times. The real aim of the whole of love's romance, although the persons concerned are unconscious of the fact, is that a particular being may come into the world.

It is the Will-to-Live, presenting itself in the whole species, which so forcibly and exclusively attracts two individuals of different sex towards each other.

This yearning and this pain do not arise from the needs of an ephemeral individual, but are, on the contrary, the sigh of the Spirit of the Species.

Since life is essentially suffering, the propagation of the species is an evil — the feeling of shame proves it.

In his "Metaphysics of Love," Schopenhauer says: "We see a pair of lovers exchanging longing glances — yet why so secretly, timidly and stealthily? Because these lovers are traitors secretly striving to perpetuate all the misery and turmoil that otherwise would come to a timely end."

Will, as the source of life, is the origin of all evil.

Having awakened to life from the night of unconsciousness, the individual finds itself in an endless and boundless world, striving, suffering, erring; and, as though passing through an ominous dream, it hurries back to the old unconsciousness. Until then, however, its desires are boundless, and every satisfied wish begets a new one. So-called pleasures are only a mode of temporary relief. Pain soon returns in the form of satiety. Life is a more or less violent oscillation between pain and ennui. The latter, like a bird of prey, hovers over us, ready to swoop down wherever it sees a life secure from need.

The enjoyment of art, as the disinterested cognition devoid of Will, can afford an interval of rest from the drudgery of Will service. But esthetic beatitude can be obtained only by a few; it is not for the hoi polloi. And then, art can give only a transient consolation.



Everything in life indicates that earthly happiness is destined to be frustrated or to be recognized as an illusion. Life proves a continuous deception, in great as well as in small matters. If it makes a promise, it does not keep it, unless to show that the coveted object was little desirable.

Life is a business that does not pay expenses.

Misery and pain form the essential feature of existence.

Life is hell, and happy is that man who is able to procure for himself an asbestos overcoat and a fire-proof room.

Looking at the turmoil of life, we find all occupied with its want and misery, exerting all their strength in order to satisfy its endless needs and avert manifold suffering, without daring to expect anything else in return than merely the preservation of this tormented individual existence, full of want and misery, toil and moil, strife and struggle, sorrow and trouble, anguish and fear — from the cradle to the grave.

Existence, when summed up, has an enormous surplus of pain over pleasure.

You complain that this philosophy is comfortless! But Schopenhauer sees life through Schopenhauer's eyes, and tells the truth about it as he sees it. He does not care for your likes and dislikes. If you want to hear soft platitudes, he advises you to go to a non-conformist church — read the newspapers, go somewhere else, but not to the philosopher who cares only for Truth.

Although Schopenhauer's picture of the world is gloomy and somber, there is nothing weak or cowardly in his writings, and the extent to which he is read, proves he is not depressing. Since a happy life is impossible, he says the highest that a man can attain to is the fate of a hero.

A man must take misfortune quietly, because he knows that very many dreadful things may happen in the course of life. He must look upon the trouble of the moment as only a very small part of that which will probably come.

We must not expect very much from life, but learn to accommodate ourselves to a world where all is relative and no perfect state exists.

Let us look misfortune in the face and meet it with courage and calmness!

Fate is cruel and men are miserable. Life is synonymous with suffering; positive happiness a *fata morgana*, an illusion.

Only negative happiness, the cessation of suffering, is possible, and can be obtained by the annihilation of the Will-to-Live.

But it is not suicide that can deliver us from the pains of existence.

Suicide, according to Schopenhauer, frustrates the attainment of the highest moral aim by the fact that, for a real release from this world of misery, it substitutes one that is merely apparent. For death merely destroys the phenomenon, that is, the body, and never my inmost being, or the universal Will.

Suicide can deliver me merely from my phenomenal existence, and not from my real self, which can not die.

How, then, can man be released from this life of misery and pain? Where is the road that leads to Salvation?

Slow and weary is the way of redemption.

The deliverance from life and its sufferings is the freedom of the intellect from its creator and despot, the Will.

The intellect, freed from the bondage of the Will, sees through the veil of selfhood into the unity of all being, and finds that he who has done wrong to another has done wrong to his own self. For selfhood — the asserting of the Ego — is the root of all evil.

Covetousness and sensuality are the causes of misery.

Sympathy is the basis of all true morality, and only through renunciation, through self-sacrifice, and universal benevolence, can salvation be obtained.

He who has recognized that existence is evil, that life is vanity, and self an illusion, has obtained true knowledge, which is the reflection of reality. He is in possession of the highest wisdom, which is not merely theoretical, but also practical perfection; it is the ultimate true cognition of all things in mass and in detail, which has so penetrated man's being that it appears as the guide of all his actions. It illumines his head, warms his heart, leads his hand. We take the sting out of life by accepting it as it is. "Drink ye all of it."

Arthur Schopenhauer very early in life contracted a bad habit of telling the truth. He stated the thing absolutely as he saw it. He spared no one's feelings, and conciliation was not in his bright lexicon of words. If any belief or any institution was in his way, the pilot in charge of the craft had better put his prow hard a' port — Schopenhauer swerved for nobody.

Should every one deal in plain speaking on all occasions, the philosophy of Ali Baba — that this earth is hell, and we are now suffering for sins committed in a former incarnation — would be fully proved. Our friends are the pleasant hypocrites who sustain our illusions. Society is made possible only through a vast web of delicate evasions, polite subterfuges, and agreeable falsehoods. The word person comes from “persona,” which means a mask. The reference is to one who plays a part — assumes a role. The naked truth is not pleasant to look upon, and that is the reason it is so seldom put upon parade.

The man Schopenhauer would be intolerable, but the writer Schopenhauer is gaining ground in inverse ratio to the square of the distance we are from him. “Where shall we bury you?” a friend asked him a few days before his death.

“Oh, anywhere — posterity will find me!” was the answer. And so on the modest stone that marks his resting-place at Frankfort, are engraved the two words, ARTHUR SCHOPENHAUER, and nothing more. The world will not soon forget the pessimist who had such undying optimism — such unquenchable faith — that he knew the world would make a path to his tomb.

Schopenhauer was the only prominent writer that ever lived who persistently affirmed that life is an evil — existence a curse. Yet every man who has ever lived has at times thought so; but to proclaim the thought — or even entertain it long — would stagger sanity, befog the intellect and make mind lose its way.

And yet we prize Schopenhauer the more for having said the thing that we secretly thought; in some subtle way we get a satisfaction out of his statement, and at the same time, we perceive the man was wrong.

The man who can vivisect an emotion, and lay bare a heart-beat in print, knows a subtle joy. The misery that can explain itself is not all misery. Complete misery is dumb; and pain that is all pain is quickly transformed into insensibility. Schopenhauer’s life was quite as happy as that of many men who persistently depress us by requesting us to “cheer up.” Schopenhauer says, “Don’t try to cheer up — the worst is yet to come.” And we can not refrain a smile. A mother once called to her little boy to come into the house. And the boy answered, “I won’t do it!” And the mother replied, “Stay out then!” And very soon the child came in.

Truth is only a point of view, and when a man tells us what he sees, we swiftly take into consideration who and what the man is. Everybody does this, unconsciously. It depends upon who says it! The garrulous man who habitually overstates — painting things large — does not deceive anybody, and is quite as good a companion as the painstaking, exact man who is always setting us straight on our statistics. One man we take gross and the other net. The liar gross is all right, but the liar net is very bad.

Schopenhauer was a talkative, whimsical and sensitive personality, with a fine assortment of harmless superstitions of his own manufacture. He was vain, frivolous, self-absorbed, but he had an eye for the subtleties of existence that quite escape the average individual. He lived in a world of mind — alert, active, receptive mind — with a rapid-fire gun in way of a caustic, biting, scathing vocabulary at his command.

The test of every literary work is time. The trite, the commonplace, and the irrelevant die and turn to dust. The vital lives. Schopenhauer began writing in his youth. Neglect, indifference and contempt were his portion until he was over fifty years of age. His passion for truth was so repelling that the Mutual Admiration Society refused to record his name even on its waiting-list. He was of that elect few who early in life succeed in ridding themselves of the friendship of the many. His enemies discovered him first, and gave him to the world, and after they had launched his fame with their charges of plagiarism, pretense, bombast, insincerity and fraud, he has never been out of the limelight, and in favor he has steadily grown.

No man was ever more thoroughly denounced than Schopenhauer, but even his most rabid foe never accused him of buying his way into popular favor, or bribing the judges who sit on the bookcase.

We admire the man because he is such a sublime egotist — he is so fearfully honest. We love him because he is so often wrong in his conclusions: he gives us the joy of putting him straight.

Schopenhauer's writing is never the product of a tired pen and ink unstirred by the spirit. With him we lose our self-consciousness.

And the man who can make other men forget themselves has conferred upon the world a priceless boon. Introspection is insanity — to open the windows and look out is health.

# ARTHUR SCHOPENHAUER by William Wallace



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ARTHUR SCHOPENHAUER (1788-1860), German philosopher, was born in Danzig on the 22nd of February 1788. His parents belonged to the mercantile aristocracy — the bankers and traders of Danzig. His father, Heinrich Floris Schopenhauer, the youngest of a family to which the mother had brought the germs of mental malady, was a man of strong will and originality, and so proud of the independence of his native town that when Danzig in 1793 surrendered to the Prussians he and his whole establishment withdrew to Hamburg. At the age of forty he married Johanna Henrietta Trosiener, then only twenty, but the marriage owing to difference of temperament was unhappy. Their two children, Arthur and Adele (born 1796), bore the penalty of their parents' incompatibilities. They were burdened by an abnormal urgency of desire and capacity for suffering, which no doubt took different phases in the man and the woman, but linked them together in a common susceptibility to ideal pain.

In the summer of 1787, a year after the marriage, the elder Schopenhauer, whom commercial experiences had made a cosmopolitan in heart, took his wife on a tour to western Europe. It had been his plan that the expected child should see the light in England, but the intention was frustrated by the state of his wife's health. The name Arthur was chosen because it remains the same in English, French and German. During the twelve years which followed the removal of the family to Hamburg (1793-1805) the Schopenhauers made frequent excursions. From 1797 to 1799 Arthur was a boarder with M. Gregoire, a merchant of Havre, and friend of the Hamburg house, with whose son Anthime he formed a fast friendship. Returning to Hamburg, for the next four years he had but indifferent training. When he reached the age of fifteen the scholarly and literary instincts began to awaken. But his father, steeped in the spirit of commerce, was unwilling that a son of his should worship knowledge and truth. Accordingly he offered his son the choice between the classical school and

an excursion to England. A boy of fifteen could scarcely hesitate. In 1803 the Schopenhauers and their son set out on a lengthened tour, of which Johanna has given an account, to Holland, England, France and Austria. Six months were spent in England. He found English ways dull and precise and the religious observances exacting; and his mother had — not for the last time — to talk seriously with him on his unsocial and wilful character. At Hamburg in the beginning of 1805 he was placed in a merchant's office. He had only been there for three months when his father, who had shown symptoms of mental alienation, fell or threw himself into the canal. After his death the young widow (still under forty), leaving Arthur at Hamburg, proceeded with her daughter Adele in the middle of 1806 to Weimar, where she arrived only a fortnight before the tribulation which followed the victory of Napoleon at Jena. At Weimar her talents, hitherto held in check, found an atmosphere to stimulate and foster them, her aesthetic and literary tastes formed themselves under the influence of Goethe and his circle, and her little salon gained a certain celebrity. Arthur, meanwhile, became more and more restless, and his mother allowed him to leave his employment. He began his education again at Gotha, but a satire on one of the teachers led to his dismissal. He was then placed with the Greek scholar Franz Passow, who superintended his classical studies. This time he made so much progress that in two years he read Greek and Latin with fluency and interest.

In 1809 his mother handed over to him (aged twenty-one) the third part of the paternal estate, which gave him an income of r50, and in October 1809 he entered the university of Göttingen. The direction of his philosophical reading was fixed by the advice of G. E. Schulze to study, especially, Plato and Kant. For the former he soon found himself full of reverence, and from the latter he acquired the standpoint of modern philosophy. The names of "Plato the divine and the marvellous Kant" are conjunctly invoked at the beginning of his earliest work. But even at this stage of his career the pessimism of his later writings began to manifest itself, together with a susceptibility to morbid fears which led him to keep loaded weapons always at his bedside. He was a man of few acquaintances, amongst the few being Bunsen, the subsequent scholar-diplomatist, and Bunsen's pupil, W. B. Astor, the son of Washington Irving's millionaire hero. Even then he found his trustiest mate in a poodle, and its bearskin was an institution in his lodging. Yet, precisely because he met the world so

seldom in easy dialogue, he was unnecessarily dogmatic in controversy; and many a bottle of wine went to pay for lost wagers. But he had made up his mind to be not an actor but an onlooker and critic in the battle of life; and when Wieland, whom he met on one of his excursions, suggested doubts as to the wisdom of his choice, Schopenhauer replied, "Life is a ticklish business; I have resolved to spend it in reflecting upon it." After two years at Göttingen he took two years at Berlin. Here also he dipped into divers stores of learning, notably classics under Wolf. In philosophy he heard Fichte and Schleiermacher. Between 1811 and 1813 the lectures of Fichte (subsequently published from his notes in his *Nachgelassene Werke*) dealt with what he called the "facts of consciousness" and the "theory of science," and struggled to present his final conception of philosophy. These lectures Schopenhauer attended - at first, it is allowed, with interest, but afterwards with a spirit of opposition which is said to have degenerated into contempt, and which in after years never permitted him to refer to Fichte without contumely. Yet the words Schopenhauer then listened to, often with baffled curiosity, certainly influenced his speculation.

In Berlin Schopenhauer was lonely and unhappy. One of his interests was to visit the hospital La Charite and study the evidence it afforded of the interdependence of the moral and the physical in man. In the early days of 1813 sympathy with the national enthusiasm against the French carried him so far as to buy a set of arms; but he stopped short of volunteering for active service, reflecting that Napoleon gave after all only concentrated and untrammelled utterance to that self-assertion and lust for more life which weaker mortals feel but must perforce disguise. Leaving the nation and its statesmen to fight out their freedom, he hurried away to Weimar, and thence to the quiet Thuringian town of Rudolstadt, where in the inn "Zum Ritter," out of sight of soldier and sound of drum, he wrote, helped by books from the Weimar library, his essay for the degree of doctor in philosophy. On the 2nd of October 1813 he received his diploma from Jena; and in the same year from the press at Rudolstadt there was published - without winning notice or readers - his first book, *Über die vierfache Wurzel des Satzes vom zureichenden Grunde*, trans. in Bohn's Philological Library (1889).

In November 1813 Schopenhauer returned to Weimar, and for a few months boarded with his mother. But the strain of daily association was too much for their antagonistic natures. His splenetic temper and her volatility culminated in an open rupture in May 1814. From that time till her death in

1838 Schopenhauer never saw his mother again. During these few months at Weimar, however, he made some acquaintances destined to influence the subsequent course of his thought. Conversations with the Orientalist F. Mayer directed his studies to the philosophical speculations of ancient India. In 1808 Friedrich Schlegel had in his *Language and Wisdom of the Old Hindus* brought Brahmanical philosophy within the range of European literature. Still more instructive for Schopenhauer was the imperfect and obscure Latin translation of the *Upanishads* which in 1801-1802 Anquetil Duperron had published from a Persian version of the Sanskrit original. Another friendship of the same period had more palpable immediate effect, but not so permanent. This was with Goethe, who succeeded in securing his interest for those investigations on colours on which he was himself engaged. Schopenhauer took up the subject in earnest, and the result of his reflexions (and a few elementary observations) soon after appeared (Easter 1816) as a monograph, *Über das Sehen und die Farben* (ed. Leipzig, 1854). The essay, which must be treated as an episode or digression from the direct path of Schopenhauer's development, due to the potent force of Goethe, was written at Dresden, to which he had transferred his abode after the rupture with his mother. It had been sent in MS. to Goethe in the autumn of 1815, who, finding in it a transformation rather than an expansion of his own ideas, inclined to regard the author as an opponent rather than an adherent.

The pamphlet begins by re-stating with reference to sight the general theory that perception of an objective world rests upon an *Essay on sight and colours* instinctive causal postulation, which even when it misleads still remains to haunt us (instead of being, like errors of reason, open to extirpation by evidence), and proceeds to deal with physiological colour, *i.e.* with colours as felt (not perceived) modifications of the action of the retina. First of all, the distinction of white and black, with their mean point in grey, is referred to the activity or inactivity of the total retina in the graduated presence or absence of full light. Further, the eye is endowed with polarity, by which its activity is divided into two parts qualitatively distinct. It is this circumstance which gives rise to the phenomenon of colour. All colours are complementary, or go in pairs; each pair makes up the whole activity of the retina, and so is equivalent to white; and the two partial activities are so connected that when the first is exhausted the other spontaneously succeeds. Such pairs of colour may be regarded as infinite in



number; but there are three pairs which stand out prominently, and admit of easy expression for the ratio in which each contributes to the total action. These are red and green (each=), orange and blue (2:1), and yellow and violet (3:1). This theory of complementary colours as due to the polarity in the qualitative action of the retina is followed by some criticism of Newton and the seven colours, by an attempt to explain some facts noted by Goethe, and by some reference to the external stimuli which cause colour.

The grand interest of his life at Dresden was the composition of a work which should give expression in all its aspects to the idea of man's nature and destiny which had been gradually forming within him. Without cutting himself altogether either from social pleasures or from art, he read and took notes with regularity. More and more he learned from Cabanis and Helvetius to see in the will and the passions the determinants of intellectual life, and in the character and the temper the source of theories and beliefs. The conviction was borne in upon him that scientific explanation could never do more than systematize and classify the mass of appearances which to our habit-blinded eyes seem to be the reality. To get at this reality and thus to reach a standpoint higher than that of aetiology was the problem of his as of all philosophy. It is only by such a tower of speculation that an escape is possible from the spectre of materialism, theoretical and practical; and so, says Schopenhauer, "the just and good must all have this creed: I believe in a metaphysic." The mere reasonings of theoretical science leave no room for art, and practical prudence usurps the place of morality. The higher life of aesthetic and ethical activity - the beautiful and the good - can only be based upon an intuition which penetrates the heart of reality. Towards the spring of 1818 the work was nearing its end, and Brockhaus of Leipzig had agreed to publish it and pay the author one ducat for every sheet of printed matter. But, as the press loitered, Schopenhauer, suspecting treachery, wrote so rudely and haughtily to the publisher that the latter broke off correspondence with his client. In the end of 1818, however, the book appeared (with the date 1819) as *Die Welt als Wille and Vorstellung*, in four books, with an appendix containing a criticism of the Kantian philosophy (Eng. trans. by R. B. Haldane and J. Kemp, 1883). Long before the work had come to the hands of the public Schopenhauer had rushed off to Italy. He stayed for a time in Venice, where Byron was then living; but the two did not meet. At Rome he visited the art galleries, the opera, the theatre, and gladly seized every chance of conversing in English with

Englishmen. In March 1819 he went as far as Naples and Paestium. About this time the fortunes of his mother and sister and himself were threatened by the failure of the firm in Danzig. His sister accepted a compromise of 70%, but Schopenhauer angrily refused this, and eventually recovered 9400 thalers.

After some stay at Dresden, hesitating between fixing himself as university teacher at Göttingen, Heidelberg or Berlin, he finally chose the last-mentioned. He was, however, not a good lecturer, and his work soon came to an end. His failure he attributed to Hegelian intrigues. Thus, except for some attention to physiology, the first two years at Berlin were wasted. In May 1822 he set out by way of Switzerland for Italy. After spending the winter at Florence and Rome, he left in the spring of 1823 for Munich, where he stayed for nearly a year, the prey of illness and isolation. When at the end of this wretched time he left for Gastein, in May 1824, he had almost entirely lost the hearing of his right ear. Dresden, which he reached in August, no longer presented the same hospitable aspect as of old, and he was reluctantly drawn onwards to Berlin in May 1825.

The six years at Berlin were a dismal period in the life of Schopenhauer. In vain did he watch for any sign of recognition of his philosophic genius. Hegelianism reigned in the schools and in literature and basked in the sunshine of authority. Thus driven back upon himself, Schopenhauer fell into morbid meditations, and the world which he saw, if it was stripped naked of its disguises, lost its proportions in the distorting light. The sexual passion had a strong attraction for him at all times, and, according to his biographers, the notes he set down in English, when he was turned thirty, on marriage and kindred topics are unfit for publication. Yet in the loneliness of life at Berlin the idea of a wife as the comfort of gathering age sometimes rose before his mind - only to be driven away by cautious hesitations as to the capacity of his means, and by the shrinking from the loss of familiar liberties. He wrote nothing material. In 1828 he made inquiries about a chair at Heidelberg; and in 1830 he got a shortened Latin version of his physiological theory of colours inserted in the third volume of the *Scriptores ophthalmologici minores* (edited by Radius).

Another pathway to reputation was suggested by some remarks he saw in the seventh number of the *Foreign Review*, in an article on Damiron's *French Philosophy in the 19th Century*. With reference to some statements in the article on the importance of Kant, he sent in very fair English a letter

to the writer, offering to translate Kant's principal works into English. He named his wages and enclosed a specimen of his work. His correspondent, Francis Haywood, made a counter-proposal which so disgusted Schopenhauer that he addressed his next letter to the publishers of the review. When they again referred him to Haywood, he applied to Thomas Campbell, then chairman of a company formed for buying up the copyright of meritorious but rejected works. Nothing came of this application. A translation of selections from the works of Balthazar Gracian, which was published by Frauenstltdt in 1862, seems to have been made about this time.

In 1833 he settled finally at Frankfort, gloomily waiting for the recognition of his work, and terrified by fears of assassination and robbery. As the years passed he noted down every confirmation he found of his own opinions in the writings of others, and every instance in which his views appeared to be illustrated by new researches. Full of the conviction of his idea, he saw everything in the light of it, and gave each *aperçu* a place in his alphabetically arranged note-book. Everything he published in later life may be called a commentary, an excursus or a scholium to his main book; and many of them are decidedly of the nature of commonplace books or collectanea of notes. But along with the accumulation of his illustrative and corroborative materials grew the bitterness of heart which found its utterances neglected and other names the oracles of the reading world. The gathered illhumour of many years, aggravated by the confident assurance of the Hegelians, found vent at length in the introduction to his next book, where Hegel's works are described as three-quarters utter absurdity and one-quarter mere paradox - a specimen of the language in which during his subsequent career he used to advert to his three predecessors Fichte, Schelling, but above all Hegel. This work, with its wild outcry against the philosophy of the professoriate, was entitled *Über den Willen in der Natur*, and was published in 1836 (revised and enlarged, 1854; Eng. trans., 1889).

In 1837 Schopenhauer sent to the committee entrusted with the execution of the proposed monument to Goethe at Frankfort a long and deliberate expression of his views, in general and particular, on the best mode of carrying out the design. But his fellow-citizens passed by the remarks of the mere writer of books. More weight was naturally attached to the opinion he had advocated in his early criticism of Kant as to the importance, if not the superiority, of the first edition of the *Kritik*; in the collected issue of Kant's works by Rosenkranz and Schubert in 1838 that

edition was put as the substantive text, with supplementary exhibition of the differences of the second.

In 1841 he published under the title *Die beiden Grundprobleme der Ethik* two essays which he had sent in 1838-1839 in competition for prizes offered. The first was in answer to the question "Whether man's free will can be proved from self-consciousness," proposed by the Norwegian Academy of Sciences at Drontheim. His essay was awarded the prize, and the author elected a member of the society. But proportionate to his exultation in this first recognition of his merit was the depth of his mortification and the height of his indignation at the result of the second competition. He had sent to the Danish Academy at Copenhagen in 1839 an essay "On the Foundations of Morality" in answer to a vaguely worded subject of discussion to which they had invited candidates. His essay, though it was the only one in competition, was refused the prize on the grounds that he had failed to examine the chief problem (*i.e.* whether the basis of morality was to be sought in an intuitive idea of right), that his explanation was inadequate, and that he had been wanting in due respect to the *summi philosophi* of the age that was just passing. This last reason, while probably most effective with the judges, only stirred up more furiously the fury in Schopenhauer's breast, and his preface is one long fulmination against the ineptitudes and the charlatanry of his *bête noire*, Hegel.

In 1844 appeared the second edition of *The World as Will and Idea*, in two volumes. The first volume was a slightly altered reprint of the earlier issue; the second consisted of a series of chapters forming a commentary parallel to those into which the original work was now first divided. The longest of these new chapters deal with the primacy of the will, with death and with the metaphysics of sexual love. But, though only a small edition was struck off (500 copies of vol. i. and 750 of vol. ii.), the report of sales which Brockhaus rendered in 1846 was unfavourable, and the price had afterwards to be reduced. Yet there were faint indications of coming fame, and the eagerness with which each new tribute from critic and admirer was welcomed is both touching and amusing. From 1843 onwards a jurist named F. Dorguth had trumpeted abroad Schopenhauer's name. In 1844 a letter from a Darmstadt lawyer, Joh. August Becker, asking for explanation of some difficulties, began an intimate correspondence which went on for some time (and which was published by Becker's son in 1883). But the

chief evangelist (so Schopenhauer styled his literary followers as distinct from the apostles who published not) was Frauenstadt, who made his personal acquaintance in 1846. It was Frauenstadt who succeeded in finding a publisher for the *Parerga and Paralipomena*, which appeared at Berlin in 1851 (2 vols., p 65, 531; sel. trans. by J. B. Saunders, 1889; French by A. Dietrich, 'clog). Yet for this bulky collection of essays, philosophical and others, Schopenhauer received as honorarium only ten free copies of the work. Soon afterwards, Dr E. O. Lindner, assistant editor of the *Vossische Zeitung*, began a series of Schopenhauerite articles. Amongst them may be reckoned a translation by Mrs Lindner of an article by John Oxenford which appeared in the *Westminster Review* for April 1853, entitled "Iconoclasm in German Philosophy," being an outline of Schopenhauer's system. In 1854 Frauenstadt's *Letters on the Schopenhauerian Philosophy* showed that the new doctrines were become a subject of discussion - a state of things made still more obvious by the university of Leipzig offering a prize for the best exposition and examination of the principles of Schopenhauer's system. Besides this, the response his ideas gave to popular needs and feelings was evinced by the numerous correspondents who sought his advice in their difficulties. And for the same reason new editions of his works were called for - a second edition of his degree dissertation in 1847, of his *Essay on Colours and of The Will in Nature* in 1854, a third edition of *The World as Will and Idea* in 1859, and in 1860 a second edition of *The Main Problems of Ethics*.

In 1854 Richard Wagner sent him a copy of the *Ring of the Nibelung*, with some words of thanks for a theory of music which had fallen in with his own conceptions. Three years later he received a visit from his old college friend Bunsen, who was then staying in Heidelberg. On his seventieth birthday congratulations flowed in from many quarters. In April 1860 he began to be affected by occasional difficulty in breathing and by palpitation of the heart. Another attack came on in autumn (9th September), and again a week later. On the evening of the 18th his friend and subsequent biographer, Dr Gwinner, sat with him and conversed. On the morning of the 21st September he rose and sat down alone to breakfast; shortly afterwards his doctor called and found him dead in his chair. By his will, made in 1852, with a codicil dated February 1859, his property, with the exception of some small bequests, was devised to the above-mentioned institution at Berlin.

Gwinner was named executor, and Frauenstalt was entrusted with the care of his manuscripts and other literary remains.

It is often said that a philosophic system cannot be rightly understood without reference to the character and circumstances of the philosopher. The remark finds ample application in the case of Schopenhauer. The conditions of his training, which brought him in contact with the realities of life before he learned the phrases of scholastic language, give to his words the stamp of self-seen truth and the clearness of original conviction. They explain at the same time the naïveté which set a high price on the products his own energies had turned out, and could not see that what was so original to himself might seem less unique to other judges. Preoccupied with his own ideas, he chafed under the indifference of thinkers who had grown *blasé* in speculation and fancied himself persecuted by a conspiracy of professors of philosophy. It is not so easy to demonstrate the connexion between a man's life and doctrine. But it is at least plain that in the case of any philosopher, what makes him such is the faculty he has, more than other men, to get a clear idea of what he himself is and does. More than others he leads a second life in the spirit or intellect alongside of his life in the flesh - the life of knowledge beside the life of will. It is inevitable that he should be especially struck by the points in which the sensible and temporal life comes in conflict with the intellectual and eternal. It was thus that Schopenhauer by his own experience saw in the primacy of the will the fundamental fact of his philosophy, and found in the engrossing interests of the selfish 'pros the perennial hindrances of the higher life. For his absolute individualism, which recognizes in the state, the church, the family only so many superficial and incidental provisions of human craft, the means of relief was absorption in the intellectual and purely ideal aims which prepare the way for the cessation of temporal individuality altogether. But theory is one thing and practice another; and he will often lay most stress on the theory who is most conscious of defects in the practice. It need not, therefore, surprise us that the man who formulated the sum of virtue in justice and benevolence was unable to be just to his own kinsfolk and reserved his compassion largely for the brutes, and that the delineator of asceticism was more than moderately sensible of the comforts and enjoyments of life.

The philosophy of Schopenhauer, like almost every system of the 19th century, can hardly be understood without reference to the ideas of Kant.

Anterior to Kant the gradual advance of *Philosophy from Kant to Schopenhauer* idealism had been the most conspicuous feature in philosophic speculation. That the direct objects of knowledge, the realities of experience, were after all only our ideas or from perceptions was the lesson of every thinker from Descartes to Hume. And this doctrine was generally understood to mean that human thought, limited as it was by its own weakness and acquired habits, could hardly hope to cope successfully with the problem of apprehending the real things. The idealist position Kant seemed at first sight to retain with an even stronger force than ever. But it is darkest just before the dawn; and Kant, the Copernicus of philosophy, had really altered the aspects of the doctrine of ideas. It was his purpose to show that the forms of thought (which he sought to isolate from the peculiarities incident to the organic body) were not merely customary means for licking into convenient shape the data of perception, but entered as underlying elements into the constitution of objects, making experience possible and determining the fundamental structure of nature. In other words, the forms of knowledge were the main factor in making objects. By Kant, however, these forms are generally treated psychologically as the action of the several faculties of a mind. Behind thinking there is the thinker. But in his successors, from Fichte to Hegel, this axiom of the plain man is set aside as antiquated. Thought or conception without a subject-agent appears as the principle - thought or thinking in its universality without any individual substrata in which it is embodied: τὸ νοεῖν or νόησις is to be substituted for νοῦς. This is the step of advance which is required alike by Fichte when he asks his reader to rise from the empirical ego to the ego which is subject-object (i.e. neither and both), and by Hegel when he tries to substitute the *Begriff* or notion for the *Vorstellung* or pictorial conception. As spiritism asks us to accept such suspension of ordinary mechanics. as permits human bodies to float through the air and part without injury to their members, so the new philosophy of Kant's immediate successors requires from the postulant for initiation willingness to reverse his customary beliefs in quasi-material subjects of thought.

But, besides removing the psychological slag which clung to Kant's ideas from their matrix and presenting reason as the active principle in the formation of a universe, his successors carried out with far more detail, and far more enthusiasm and historical scope, his principle that in reason lay the a priori or the anticipation of the world, moral and physical. Not content

with the barren assertion that the understanding makes nature, and that we can construct science only on the hypothesis that there is reason in the world, they proceeded to show how the thing was actually done. But to do so they had first to brush away a stone of stumbling which Kant had left in the way. This was the thing as it is by itself and apart from our knowledge of it - the something which we know, when and as we know it not. This somewhat is what Kant calls a limit-concept. It marks only that we feel our knowledge to be inadequate, and for the reason that there may be another species of sensation than ours, that other beings may not be tied by the special laws of our constitution, and may apprehend, as Plato says, by the soul itself apart from the senses. But this limitation, say the successors of Kant, rests upon a misconception. The sense of inadequacy is only a condition of growing knowledge in a being subject to the laws of space and time; and the very feeling is a proof of its implicit removal. Look at reason not in its single temporal manifestations but in its eternal operation, and then this universal thought, which may be called God, as the sense-conditioned reason is called man, becomes the very breath and structure of the world. Thus in the true idea of things there is no irreducible residuum of matter: mind is the Alpha and Omega, at once the initial postulate and the final truth of reality.

In various ways a reaction arose against this absorption of everything in reason. In Fichte himself the source of being is primeval activity, the groundless and incomprehensible deed-action (*That Handlung*) of the absolute ego. The innermost character of that ego is an infinitude in act and effort. "The will is the living principle of reason," he says again. "In the last resort," says Schelling (1809), in his *Inquiries into the Nature of Human Freedom*, "there is no other being but will. *Wollen ist Ursein* (will is primal being); and to this alone apply the predicates fathomless, eternal, independent of time, self-affirming." It is unnecessary to multiply instances to prove that idealism was never without a protest that there is a heart of existence, life, will, action, which is presupposed by all knowledge and is not itself amenable to explanation. We may, if we like, call this element, which is assumed as the basis of all scientific method, irrational - will instead of reason, feeling rather than knowledge.

It is under the banner of this protest against rationalizing idealism that Schopenhauer advances. But what marks out his armament is its pronounced realism. He fights with the weapons of physical doctrine and on



the basis of the material earth. He knows no reason but the human, no intelligence save what is exhibited by the animals. He knows that both animals and men have come into existence within assignable limits of time, and that there was an anterior age when no eye or ear gathered the life of the universe into perceptions. Knowledge, therefore, with its vehicle, the intellect, is dependent upon the existence of certain nerve-organs located in an animal system; and its function is originally only to present an image of the interconnexions of the manifestations external to the individual organism, and so to give to the individual in a partial and reflected form that feeling with other things, or innate sympathy, which it loses as organization becomes more complex and characteristic. Knowledge or intellect, therefore, is only the surrogate of that more intimate unity of feeling or will which is the underlying reality - the principle of all existence, the essence of all manifestations, inorganic and organic. And the perfection of reason is attained when man has transcended those limits of individuation in which his knowledge at first presents him to himself, when by art he has risen from single objects to universal types, and by suffering and sacrifice has penetrated to that innermost sanctuary where the euthanasia of consciousness is reached - the blessedness of eternal repose.

In substantials the theory of Schopenhauer may be compared with a more prosaic statement of Herbert Spencer (modernizing *Schopenhauer and Herbert Spencer*Hume). All psychical states may, according to him, be treated as incidents of the correspondence between the organism and its environment. In this adjustment the lowest stage is taken by 'reflex action and instinct, where Spencer the change of the organs is purely automatic. As the external complexity increases, this automatic regularity fails; there is only an incipient excitation of the nerves. This feeble echo of the full response to stimulus is an idea, which is thus only another word for imperfect organization or adjustment. But gradually this imperfect correspondence is improved, and the idea passes over again into the state of unconscious or organic memory. Intellect, in short, is only the consequence of insufficient response between stimulus and action. Where action is entirely automatic, feeling does not exist. It is when the excitation is partial only, when it does not inevitably and immediately appear as action, that we have the appearance of intellect in the gap. The chief and fundamental difference between Schopenhauer and Spencer lies in the refusal of the latter to give this "adjustment" or "automatic action" the name of will. Will,

according to Mr Spencer, is only another aspect of what is reason, memory or feeling - the difference lying in the fact that as will the nascent excitation (ideal motion) is conceived as passing into complete or full motion. But he agrees with Schopenhauer in basing consciousness, in all its forms of reason, feeling or will, upon "automatic movement - psychical change," from which consciousness emerges and in which it disappears.

What Schopenhauer professed, therefore, is to have dispelled the claims of reason to priority and to demonstrate the relativity *Main tendencies of his system* and limitation of science. Science, he reminds us, is based on final inexplicabilities; and its attempts by theories of evolution to find an historical origin for humanity in rudimentary matter show a misconception of the problem. In the successions of material states there can nowhere be an absolute first. The true origin of man, as of all else, is to be sought in an action which is everlasting and which is ever present: *nec te quaesiveris extra*. There is a source of knowledge within us by which we know, and more intimately than we can ever know anything external, that we will and feel. That is the first and the highest knowledge, the only knowledge that can strictly be called immediate; and to ourselves we as the subject of will are truly the "immediate object." It is in this sense of will - of will without motives, but not without consciousness of some sort - that reality is revealed. Analogy and experience make us assume it to be omnipresent. It is a mistake to say will means for Schopenhauer only force. It means a great deal more; and it is his contention that what the scientist calls force is really will. In so doing he is only following the line predicted by Kant and anticipated by Leibnitz. If we wish, said Kant, to give a real existence to the thing in itself or the noumenon we can only do so by investing it with the attributes found in our own internal sense, viz. with thinking or something analogous thereto. It is thus that Fechner in his "day-view" of things sees in plants and planets the same fundamental "soul" as in us - that is, "one simple being which appears to none but itself, in us as elsewhere wherever it occurs self-luminous, dark for every other eye, at the least connecting sensations in itself, upon which, as the grade of soul mounts higher and higher, there is constructed the consciousness of higher and still higher relations." It is thus that Lotze declares that "behind the tranquil surface of matter, behind its rigid and regular habits of behaviour, we are forced to seek the glow of a hidden spiritual activity." So Schopenhauer, but in a way all his own, finds the truth of things in a will which is indeed unaffected by

conscious motives and yet cannot be separated from. some faint analogue of non-intellectual consciousness.

In two ways Schopenhauer has influenced the world. He has. shown with unusual lucidity of expression how feeble is the spontaneity of that intellect which is so highly lauded, and how overpowering the sway of original will in all our action. He thus re- asserted realism, whose gospel reads, "In the beginning was appetite, passion, will," and has discredited the doctrinaire belief that ideas have original force of their own. This creed of naturalism is. dangerous, and it may be true that the pessimism it implies often degenerates into cynicism and a cold-blooded denial that there is any virtue and any truth. But in the crash of established creeds. and the spread of political indifferentism and social disintegration it is probably wise, if not always agreeable, to lay bare the wounds under which humanity suffers, though pride would prompt their concealment. But Schopenhauer's theory has another side. If it is daringly realistic, it is no less audacious in its idealism. The second aspect of his influence is the doctrine of redemption of the soul from its sensual bonds, first by the medium of art and second by the path of renunciation and ascetic life. It may be difficult in each case to draw the line between social duty and individual perfection. But Schopenhauer reminds us that the welfare of society is a temporal and subordinate aim, never to be allowed to dwarf the full realization of our ideal being. Man's duty is undoubtedly to join in the common service of sentient beings; but his final goal is to rise above the toils and comforts of the visible creature into the vast bosom of a peaceful Nirvana.

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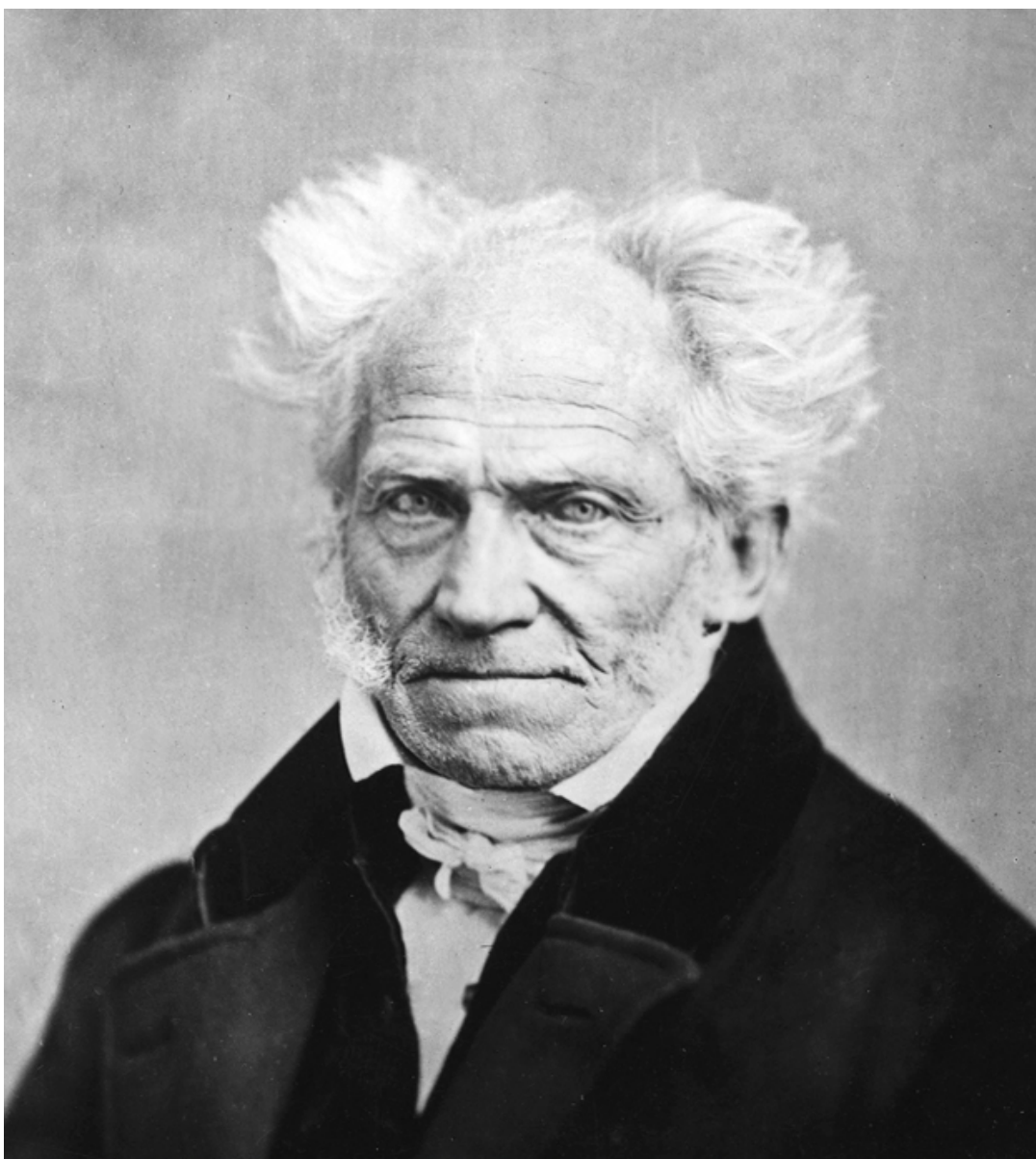
*Frankfurt's Main Cemetery — Schopenhauer's final resting place*



*Schopenhauer's grave*







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